



Bayrogenesis and Leptogenesis

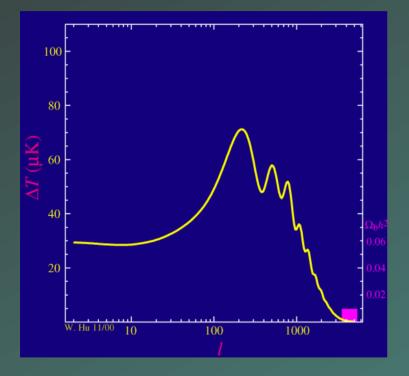
May 15th 2024, 4th EuCAPT Annual Symposium, CERN, Geneva, Switzerland

Paul Klee, Doppelzelt, 1923





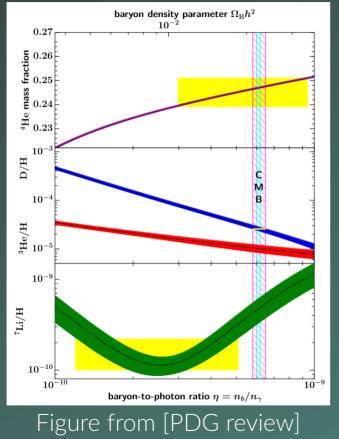
Evidence for the Baryon Asymmetry of the Universe



Animation by [Wayne Hu]

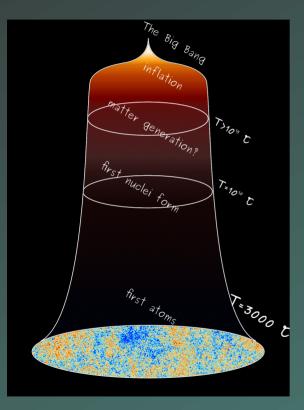
- Our immediate surroundings is made out of matter
- Key observational evidence coming from the Cosmic Microwave Background
 - The baryon/photon ratio changes the ratio of the odd and even peaks
- Complementary evidence from Big Bang Nucleosynthesis

Evidence for the Baryon Asymmetry of the Universe

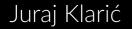


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Where did the asymmetry come from?



- Was it always there?
 - Not compatible with inflation
 - Pre-inflationary relics are exponentially diluted
- It was generated through some process in the early Universe?



The Sakharov Conditions



Any baryogenesis mechanism needs to satisfy the three [Sakharov '67] conditions:

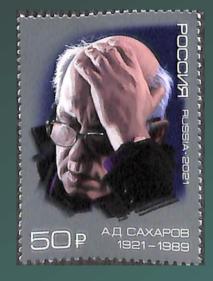
I) Baryon Number Violation
 – Sphaleron processes √

II) C and CP violation

– CP violation in the CKM matrix?

III) Deviation from equilibrium– Phase transition (crossover) X

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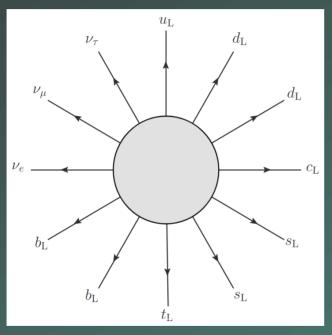
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Sphalerons?



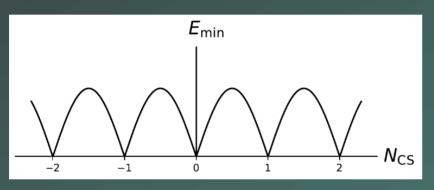
Weak Sphaleron, Fig. from Garbrecht '18

- Standard model processes that conserve B-L, but violate B+L by six units ['t Hooft '76]
- Exponentially suppressed for T=0 (practically unobservable in terrestrial experiments)
- Unsuppressed at high temperatures T> 130 GeV [Kuzmin,Rubakov, Shaposhnikov '85]

Can we use this to generate the BAU?

Juraj Klarić

Sphalerons?



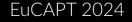
Sphaleron potential, Fig from [Bodeker, Buchmuller '20]

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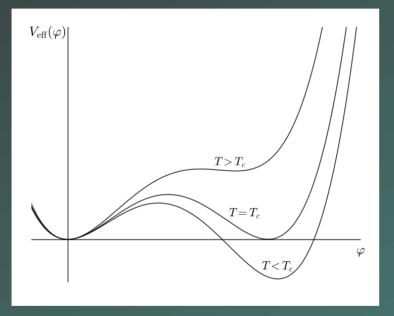
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Electroweak Baryogenesis (EWBG)



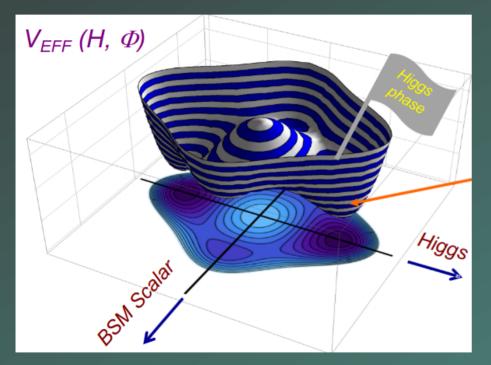
The EW phase transition



Higgs potential at finite temperature, Fig. from [Bodeker, Buchmuller '20]

- In EWBG the 1st order phase transition provides the deviation from equilibrium
- We have two phases:
 - Symmetric phase $\langle arphi
 angle = 0$
 - Broken phase $\langle arphi
 angle
 eq 0$
- For m_H > 70 GeV, this transition is a crossover instead! [Buchmuller & Philippsen '94, Kajantie et. al. '96]
- 1st order P.T. still possible in extensions of the SM!
 - e.g. a two-step P.T.

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Fig. by [Ramsey-Musolf]

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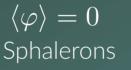
– Sphaleron processes √

II) C and CP violation

– CP violation in the CKM matrix?

III) Deviation from equilibrium
 − 1st order Phase Transition √

Asymmetry generation in EWBG



 $\left< \varphi \right>
e 0$ No Sphalerons

- For a strongly 1st order P.T. bubbles of true vacuum nucleate as the Universe is cooling down
- As the bubble wall expands CPviolating interactions can lead to a spin separation:
 - Different transmission/reflection for particles and antiparticles
 - Sphaleron processes outside the bubble wash-out any asymmetry
 - The asymmetry inside the bubble survives

CP violating source

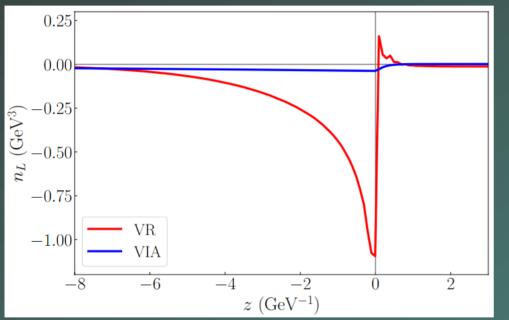
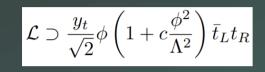


Fig. From [Li et. al '24]

- The question of CP violation is a difficult non-equilibrium QFT problem
- The full equations often untractable we rely on controlled **approximations** to estimate the CP asymmetry:
 - Different computations can lead to different asymmetries – improved understanding is needed
- Simplest CPV sources should also lead to electron **Electric Dipole Moments**
- Depending on the CPV source could already be excluded!

CP violating source



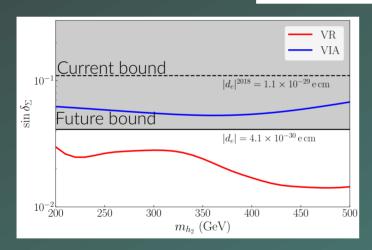


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The Sakharov Conditions



Any baryogenesis mechanism needs to satisfy the three [Sakharov '67] conditions:
I) Baryon Number Violation
- Sphaleron processes √

II) C and CP violation

- BSM CP violation \checkmark

III) Deviation from equilibrium
 − 1st order Phase Transition √

EWBG wishlist

- Modified Higgs potential:
 - Collider target below 1 TeV!
- Fast estimates of the **bubble nucleation rates**
- CP violating source terms:
 - Probes in Electric Dipole Moments
- Bubble wall velocities:
 - Connection to Primordial Gravitational Waves?

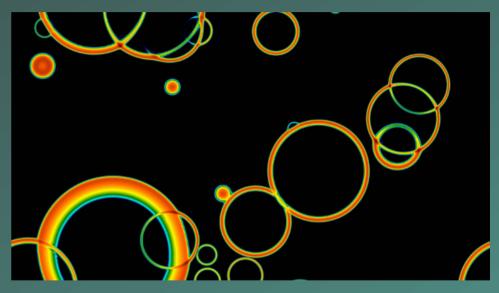
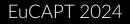


Fig. From [D. Weir '19]

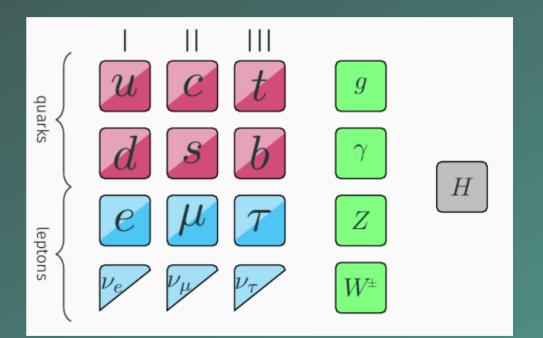
Leptogenesis





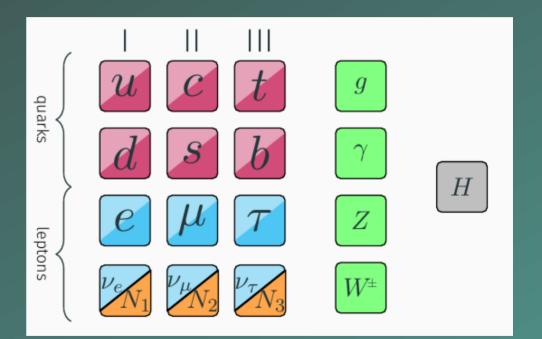
Can we generate a Lepton asymmetry instead?

- Neutrino masses are one of the best signs for physics beyond the SM
- Adding right-handed neutrinos is one of the easiest ways to generate the light neutrino masses
- The RHN decays can lead to a lepton asymmetry
- The lepton asymmetry is converted to a BAU through sphalerons
- This process is known as leptogenesis [Fukugita/Yanagida '86]

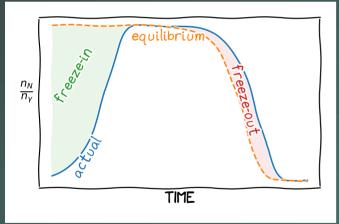


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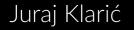
Any baryogenesis mechanism needs to satisfy the three [Sakharov '67] conditions:
I) Baryon Number Violation

– Sphaleron processes √

II) C and CP violation

– CP violation in RHN decays and oscillations \checkmark

III) Deviation from equilibrium− Production and decays of RHNs √



What is the mass scale of the right-handed neutrinos?

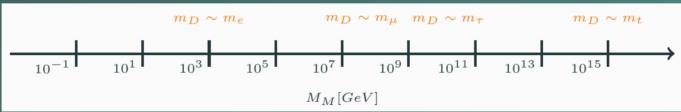
The see-saw Lagrangian $\mathcal{L} \supset rac{1}{2} igg(\overline{
u_L} \quad \overline{
u_R^c} igg) igg(egin{matrix} 0 & m_D \ m_D^T & M_M \end{pmatrix} igg(egin{matrix}
u_L^c \
u_R \end{pmatrix} igg)$

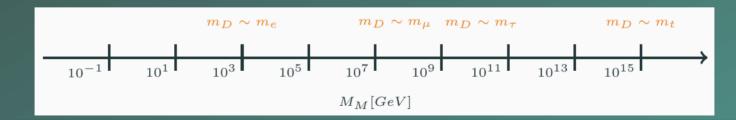
Mohapatra '93 Mohapatra/Valle '86 Bernabeu/Santamaria/Vidal/Mendez/Valle '86 Gavela/Hambye/Hernandez/Hernandez '09 Branco/Grimus/Lavoura '89 Malinsky/Romao/Lavoura '89 The light neutrino masses $m_{\nu} = -m_D M_M^{-1} m_D^T$

> Minkowski '77 Gell-Mann/Ramond/Slansky '79 Mohapatra/Senjanović '80 Yanagida '79 Schechter/Valle '80

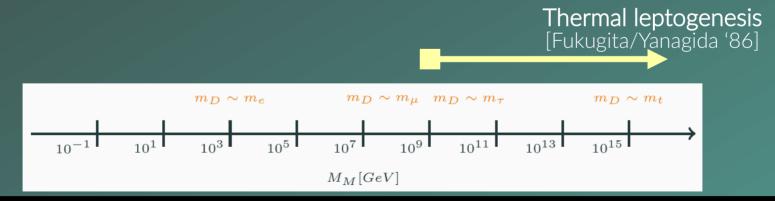
Low-scale linear and inverse seesaws

Canonical type-I seesaw

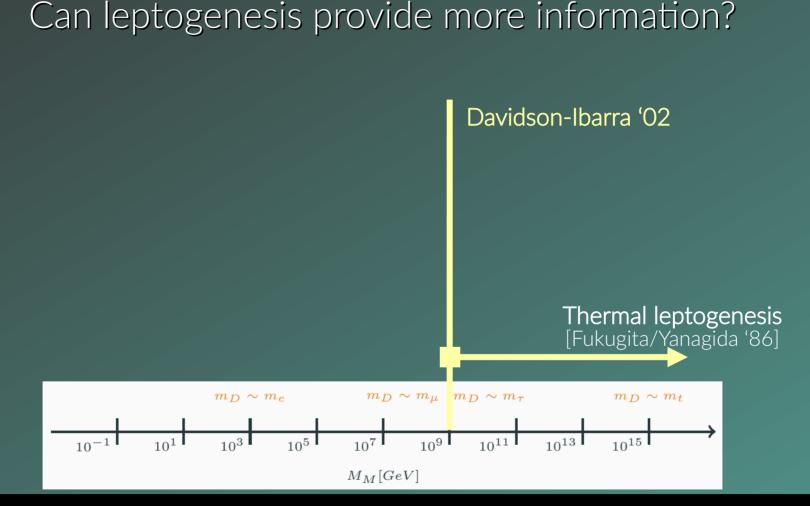




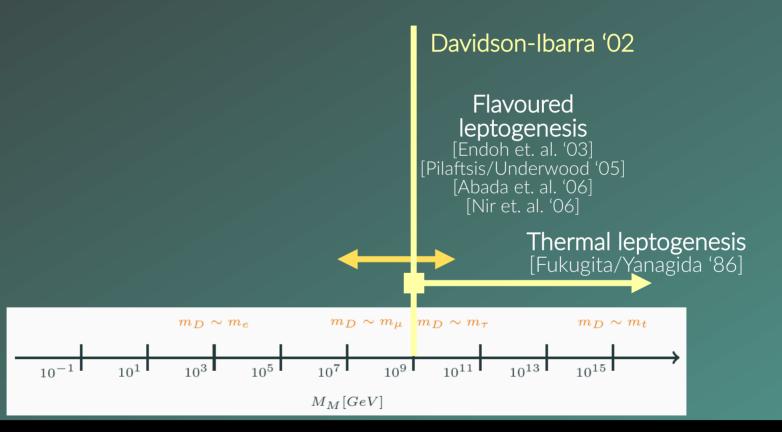
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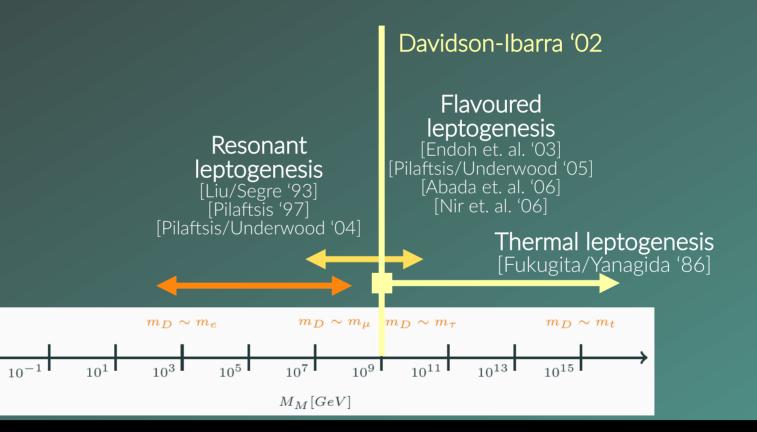
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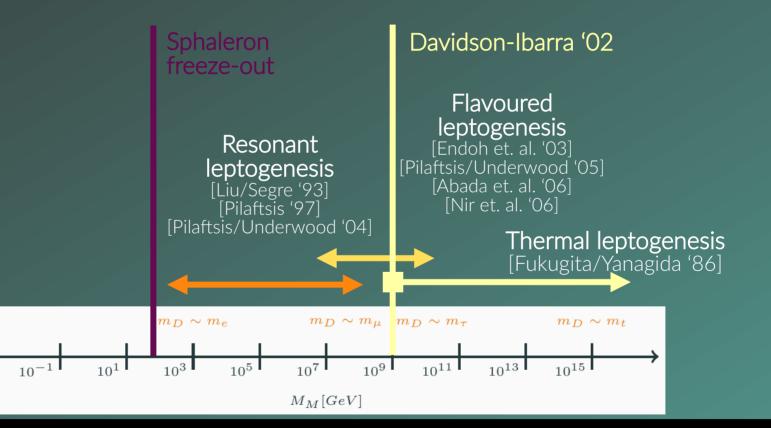
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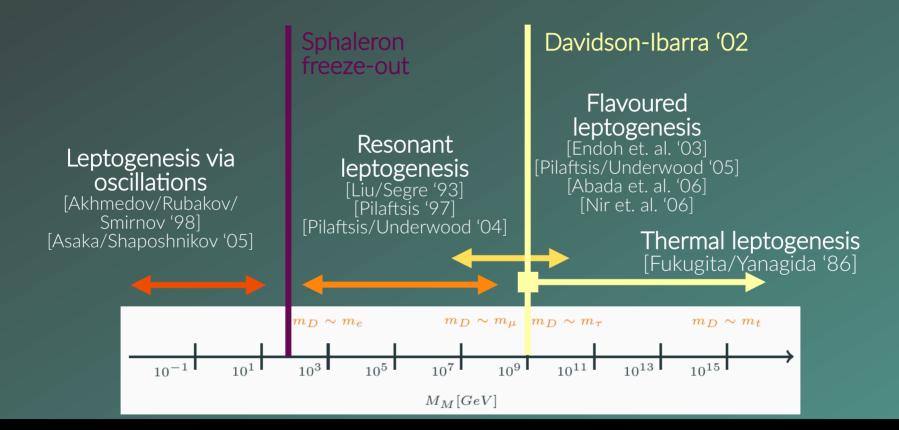


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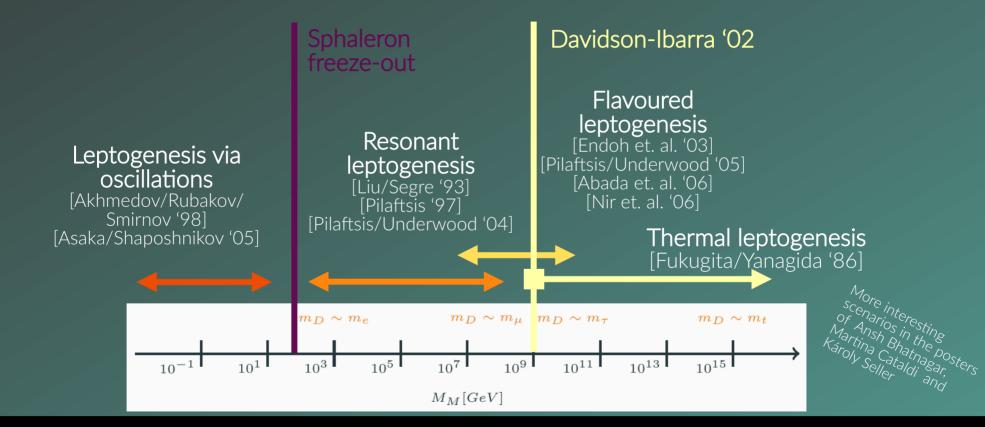


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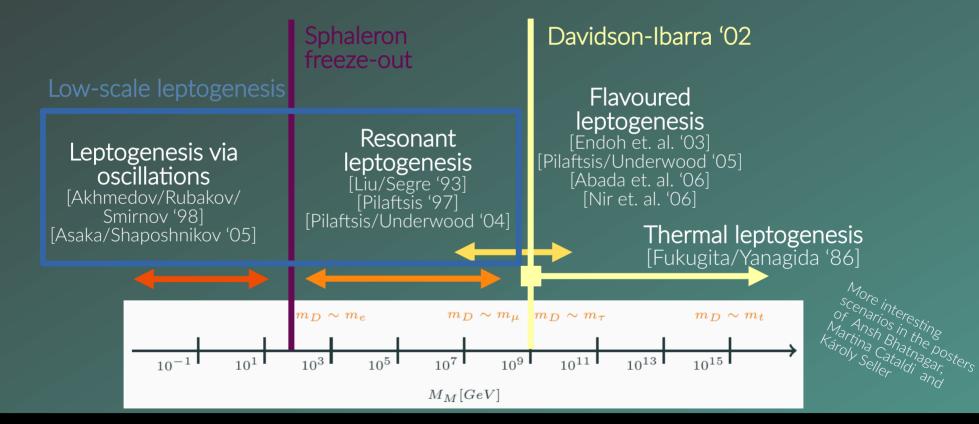




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Thermal leptogenesis

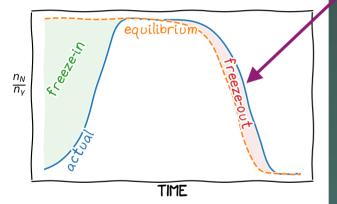
- the BAU is mainly produced in the decays of RHN
- as the universe expands, cools down to $T \le M$ the RHN become non-relativistic and begin to decay

The lepton asymmetries follow the equation:

$$\frac{dY_{\ell_a}}{dz} = -\epsilon_a \frac{\Gamma_N}{Hz} (Y_N - Y_N^{\text{eq}}) - W_{ab} Y_{\ell_b}$$

The key quantity determining the BAU is the decay asymmetry:

$$\epsilon_a \equiv \frac{\Gamma_{N \to l_a} - \Gamma_{N \to \bar{l}_a}}{\Gamma_{N \to l_a} + \Gamma_{N \to \bar{l}_a}}$$





Resonant leptogenesis

• For hierarchical neutrinos, the decay asymmetry is limited by the Davidson-Ibarra bound: $3M_1m_{\mu}$

$$|\epsilon| \lesssim \frac{3M_1 m_\nu}{8\pi v^2}$$

• However, if have a careful look at the diagrams:

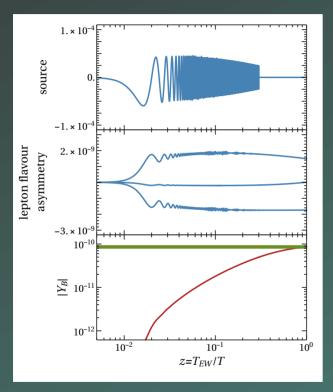
• we find that the wave-function diagram becomes enhanced when $M_2 \rightarrow M_1$

$$\epsilon = \frac{1}{8\pi} \frac{\mathrm{Im}(F^{\dagger}F)_{12}^2}{(F^{\dagger}F)_{11}} \frac{M_1 M_2}{M_1^2 - M_2^2}$$

[Liu/Segrè/Flanz/Paschos/Sarkar/Weiss/Covi/Roulet/Vissani/Pilaftsis/Underwood/Buchmüller/Plumacher...]

This enhancement is known as **resonant leptogenesis**!

Leptogenesis via oscillations



- The lepton asymmetry is produced during RHN production (freeze-in) instead of decays
- The RHN interaction basis and mass basis are mismatched
- The RHNs begin to oscillate, and build up CP odd correlations
- Further scatterings lead to a lepton flavor asymmetry
- The lepton flavor asymmetry is converted t a lepton number asymmetry through washout effects

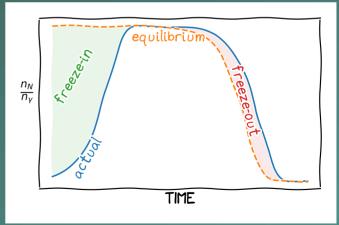
How to describe this process?

System of kinetic equations

$$\begin{split} i\frac{dn_{\Delta\alpha}}{dt} &= -2i\frac{\mu\alpha}{T}\int \frac{d^3k}{(2\pi)^3}\operatorname{Tr}\left[\Gamma_{\alpha}\right]f_N\left(1-f_N\right) \\ &+i\int \frac{d^3k}{(2\pi)^3}\operatorname{Tr}\left[\tilde{\Gamma}_{\alpha}\left(\bar{\rho}_N-\rho_N\right)\right],\\ i\frac{d\rho_N}{dt} &= \left[H_N,\rho_N\right] - \frac{i}{2}\left\{\Gamma,\rho_N-\rho_N^{eq}\right\} - \frac{i}{2}\sum_{\alpha}\tilde{\Gamma}_{\alpha}\left[2\frac{\mu\alpha}{T}f_N\left(1-f_N\right)\right],\\ i\frac{d\bar{\rho}_N}{dt} &= -\left[H_N,\bar{\rho}_N\right] - \frac{i}{2}\left\{\Gamma,\bar{\rho}_N-\rho_N^{eq}\right\} + \frac{i}{2}\sum_{\alpha}\tilde{\Gamma}_{\alpha}\left[2\frac{\mu\alpha}{T}f_N\left(1-f_N\right)\right], \end{split}$$

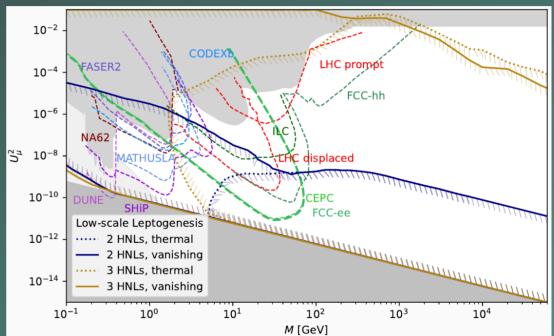
- Equations used to describe resonant leptogenesis and leptogenesis via oscillations are quite similar
- Both can be shown as specific limits of a generalized set of equations
- Other leptogenesis may require further care

- Physically, the same resonant enhancement present for in both types of low scale leptogenesis
- Main difference is in the **deviation** from equilibrium
- More accurate naming: freeze-in and freeze-out leptogenesis



The parameter space of leptogenesis

- With 2 RHNs leptogenesis is possible for *all masses above 100 MeV*
- Leptogenesis is possible in the entire experimentally accessible parameter space for 3 RHNs
- Large overlap between freeze-in and freeze-out leptogeneses



Conclusions

- Baryogenesis and leptogenesis are testable mechanisms to generate the observed BAU
- Conclusive tests may require a combination of different experiments and observations:
 - High energy experiments
 - Gravitational wave observations
 - Precision observables (EDMs, neutrinoless double beta decay, LFV...)
- I only covered a small portion of baryogenesis mechanisms: there are many exciting options on the table