

# First results from ICARUS at the Short-Baseline Neutrino program

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October 20-25  
BLOIS



**PARTICLE PHYSICS AND COSMOLOGY 2024**



**Università  
di Genova**

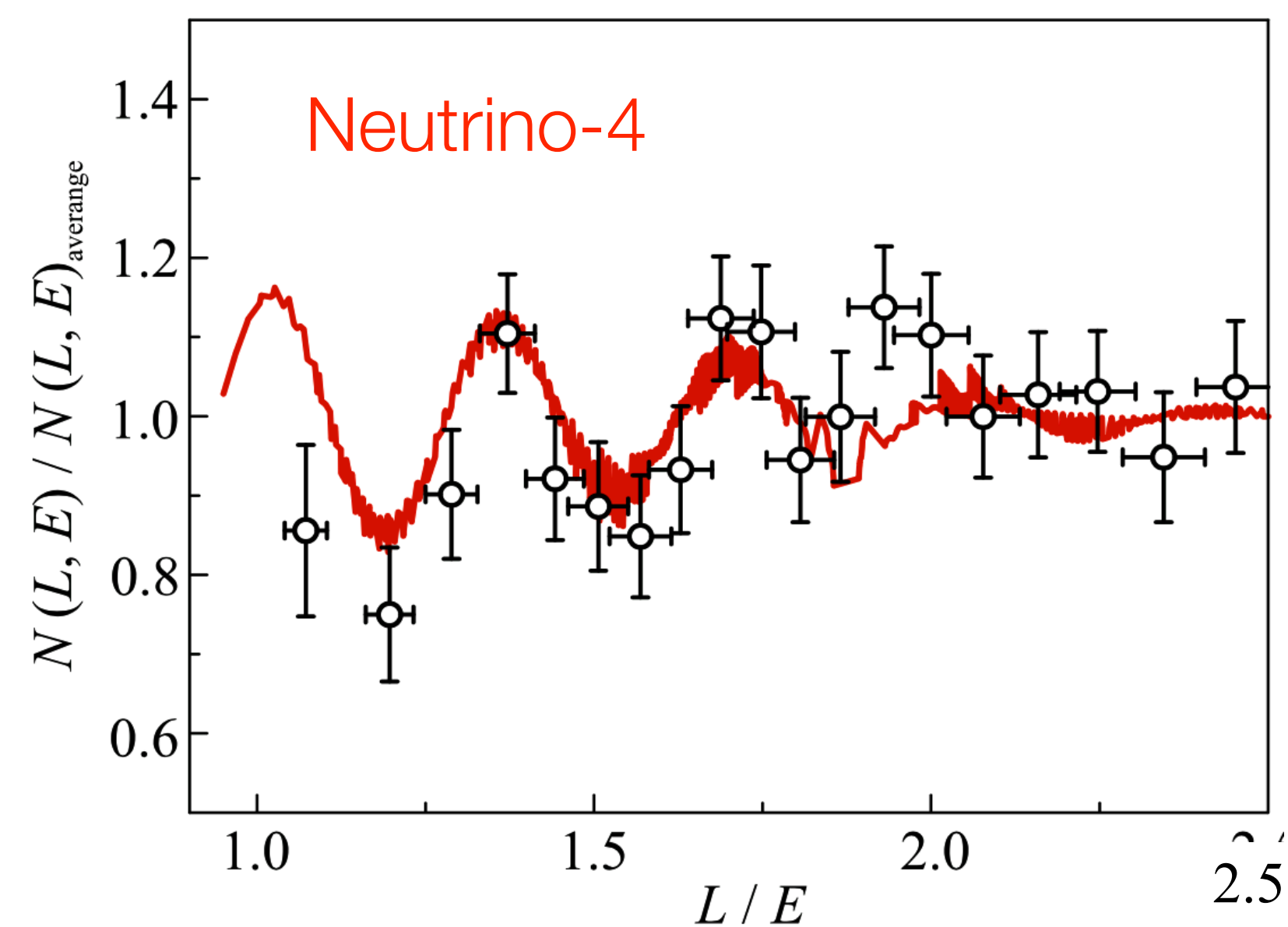
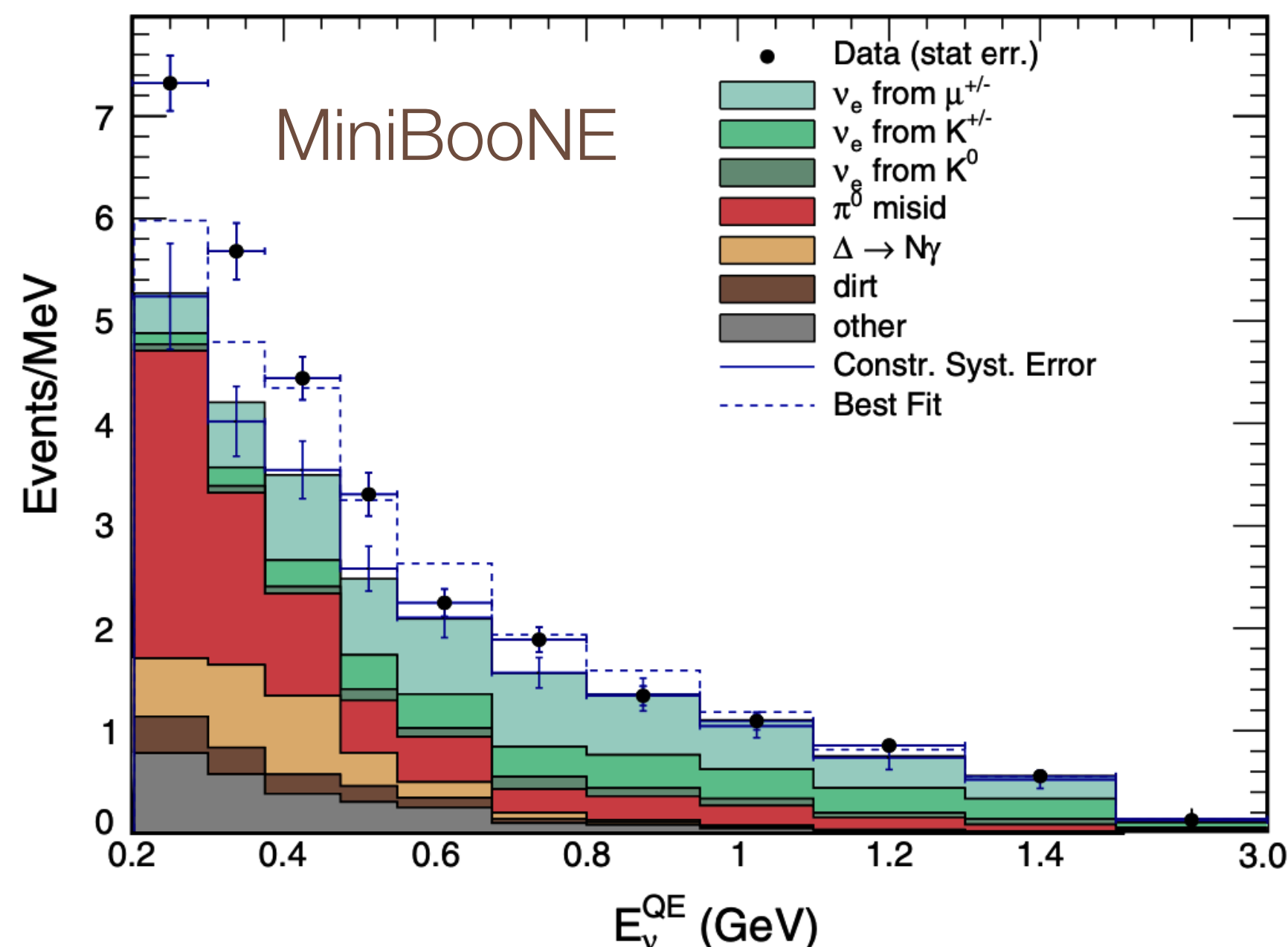
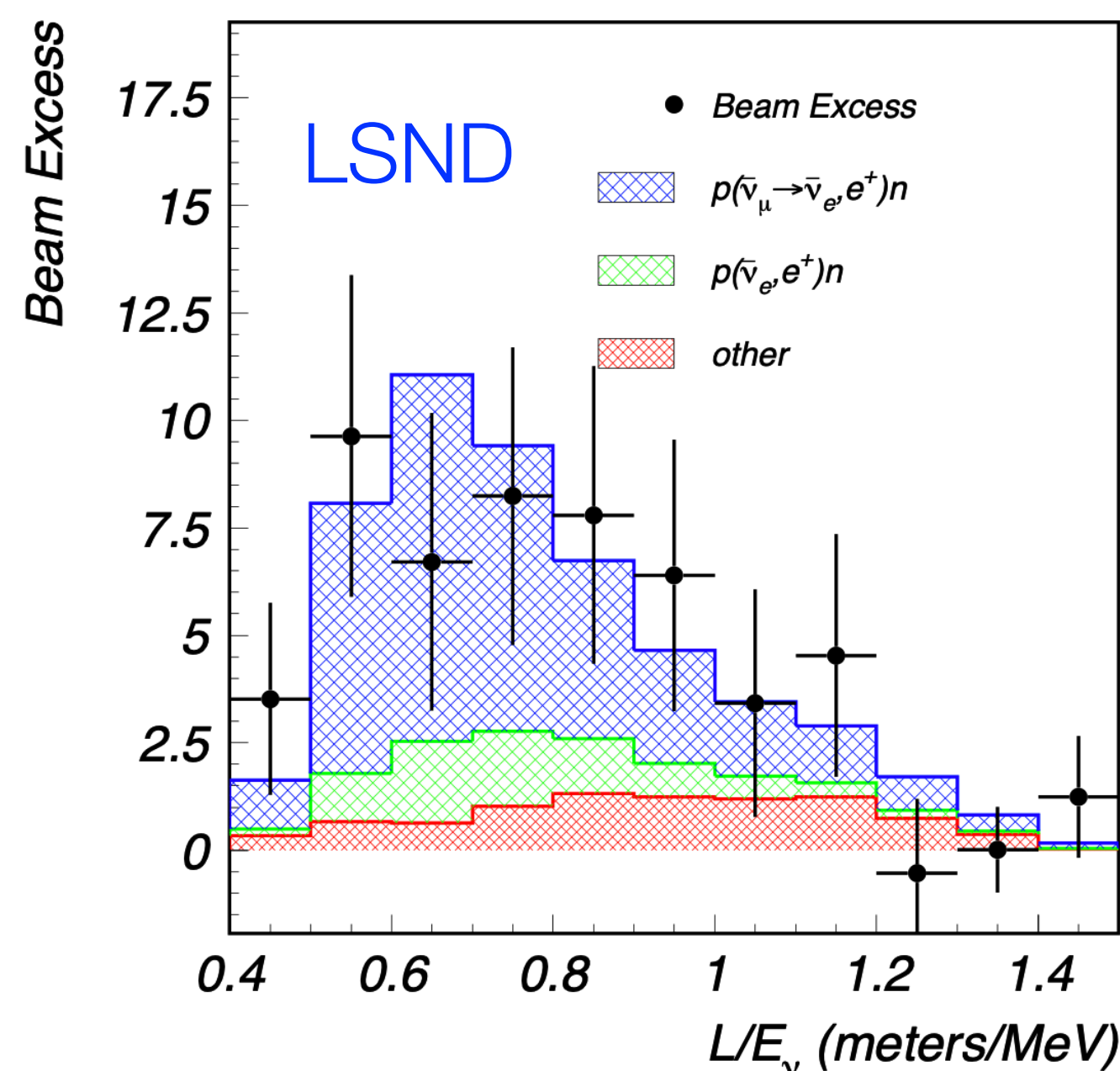


Istituto Nazionale di Fisica Nucleare



# THE STERILE NEUTRINO PUZZLE

- Clear tension between **appearance** and **disappearance** results in the past 20 years data



- LSND and MiniBooNE reported anomalous signals of  $\nu_e$  excess at low energy: this could be explained with an additional  $\Delta m_{\text{new}}^2 \sim 1.0 \text{ eV}^2$  driving  $\nu_\mu \rightarrow \nu_e$  oscillations at small distances and pointing towards the possible existence of **non-standard** heavier **sterile neutrino(s)**

- Possible hint from **Neutrino-4**: measurements are compatible with  $\Delta m_{\text{new}}^2 \sim 7.3 \text{ eV}^2$  and  $\sin^2(2\theta) \sim 0.36$  - same  $L/E$  as ICARUS NuMI  $\nu_e$  dis. channel

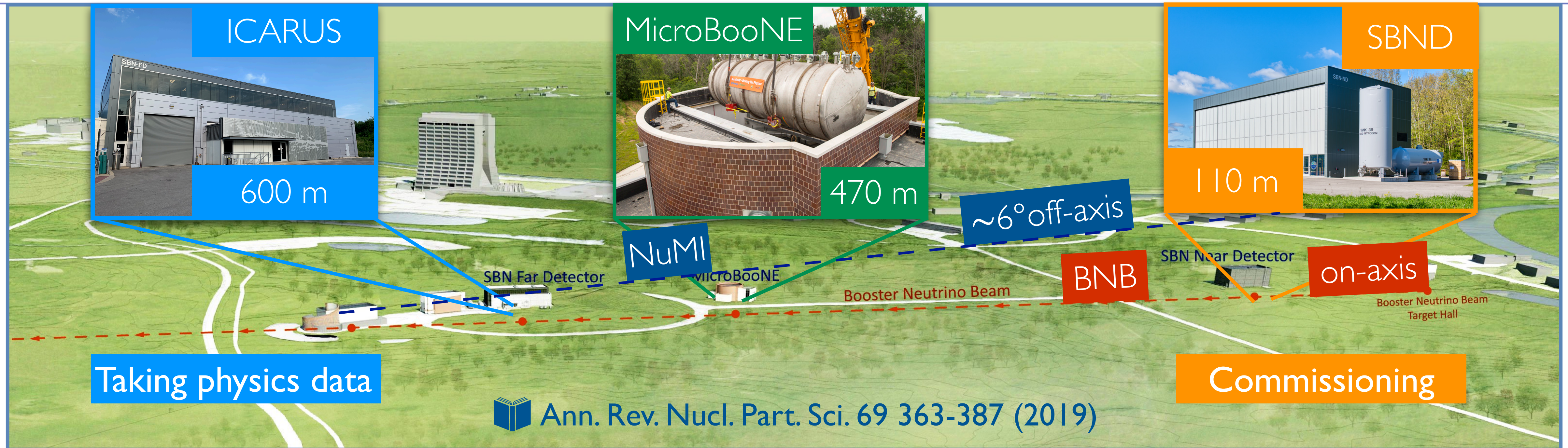
 Phys. Rev. D 64, 112007 (2001)

 Phys. Rev. D 103, 052002 (2021)

 JETP Lett. 116, 669–682 (2022)



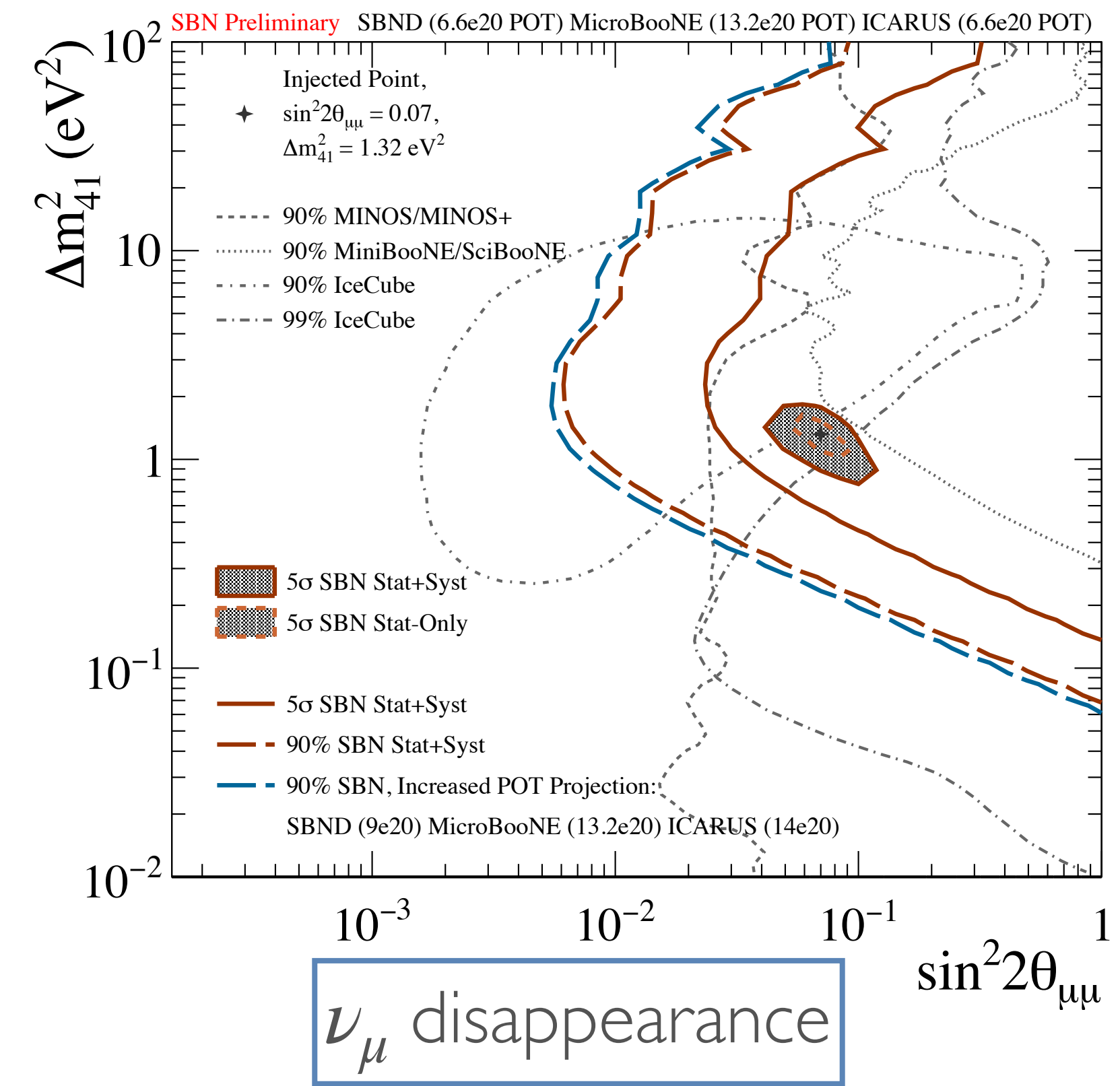
# THE SHORT-BASELINE NEUTRINO PROGRAM



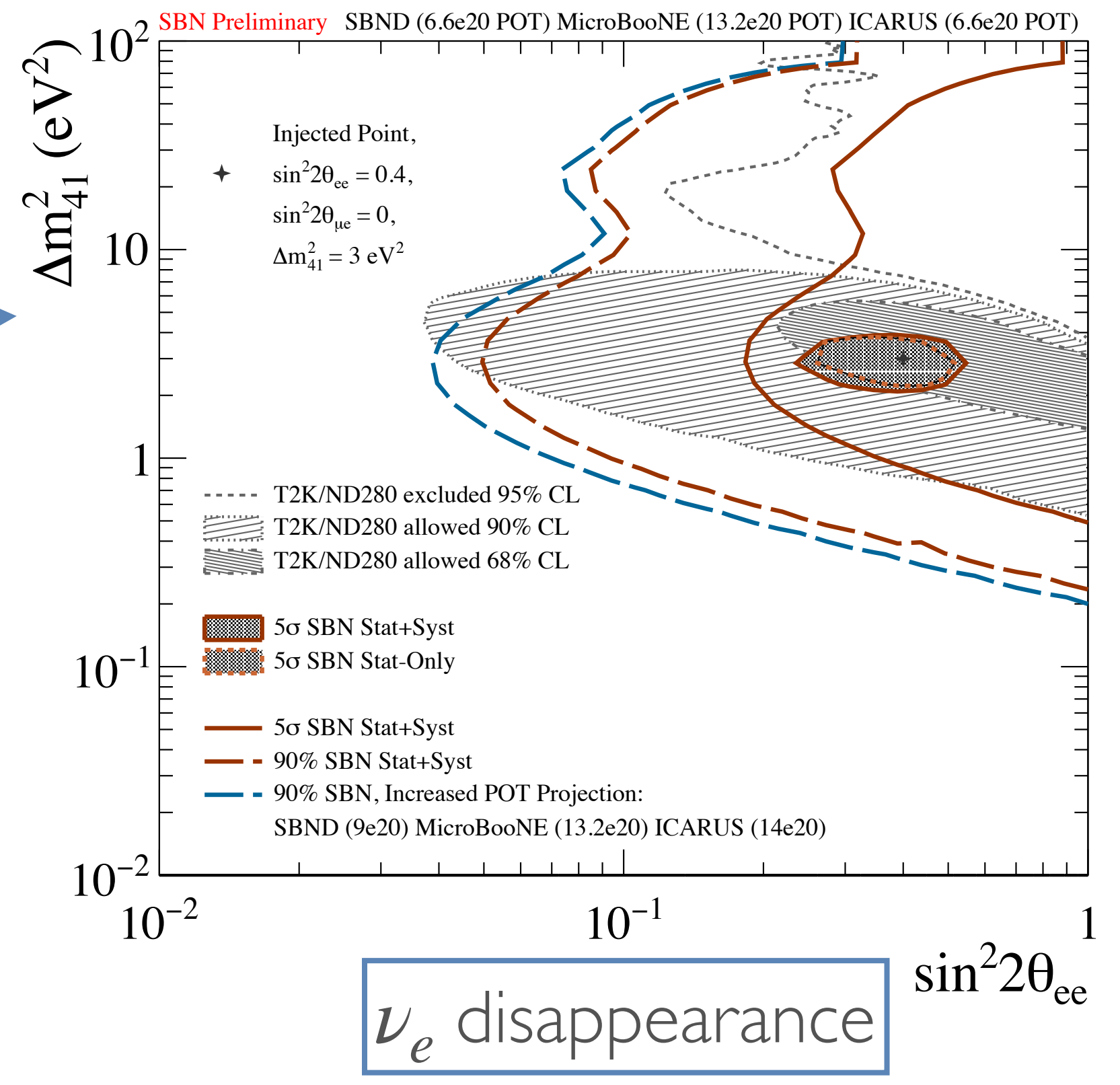
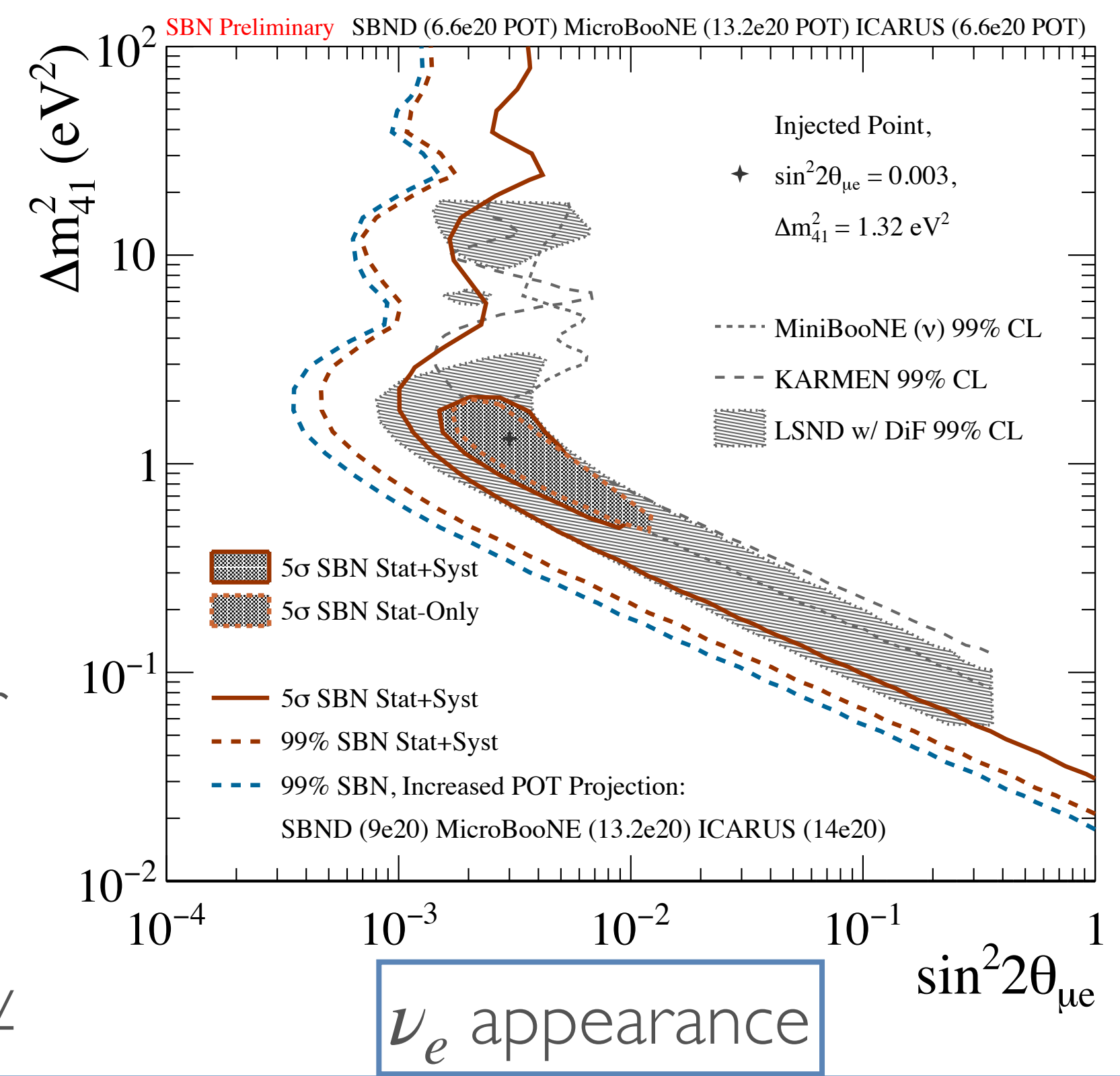
- Same technology (*near*→**SBND**, *far* detector→**ICARUS**) to minimize beam, bkg & detector systematics
- 0.8 GeV Booster Neutrino Beam (**BNB**) and for ICARUS also Neutrino at the Main Injector (**NuMI**)
- Sensitive search in the  $\nu_e$  ( $\nu_\mu$ ) (dis)appearance channels to confirm/rule out past anomalies in data
- Main goals: precision search of **1 eV** mass scale **sterile neutrino** and high statistics measurements of  $\nu - \text{Ar}$  **cross sections** in view of **DUNE** - also studies of Beyond Standard Model (**BSM**) channels



# THE SHORT-BASELINE NEUTRINO PROGRAM



The combined analysis of near and far detector grants the unique capability to study appearance and disappearance channels simultaneously

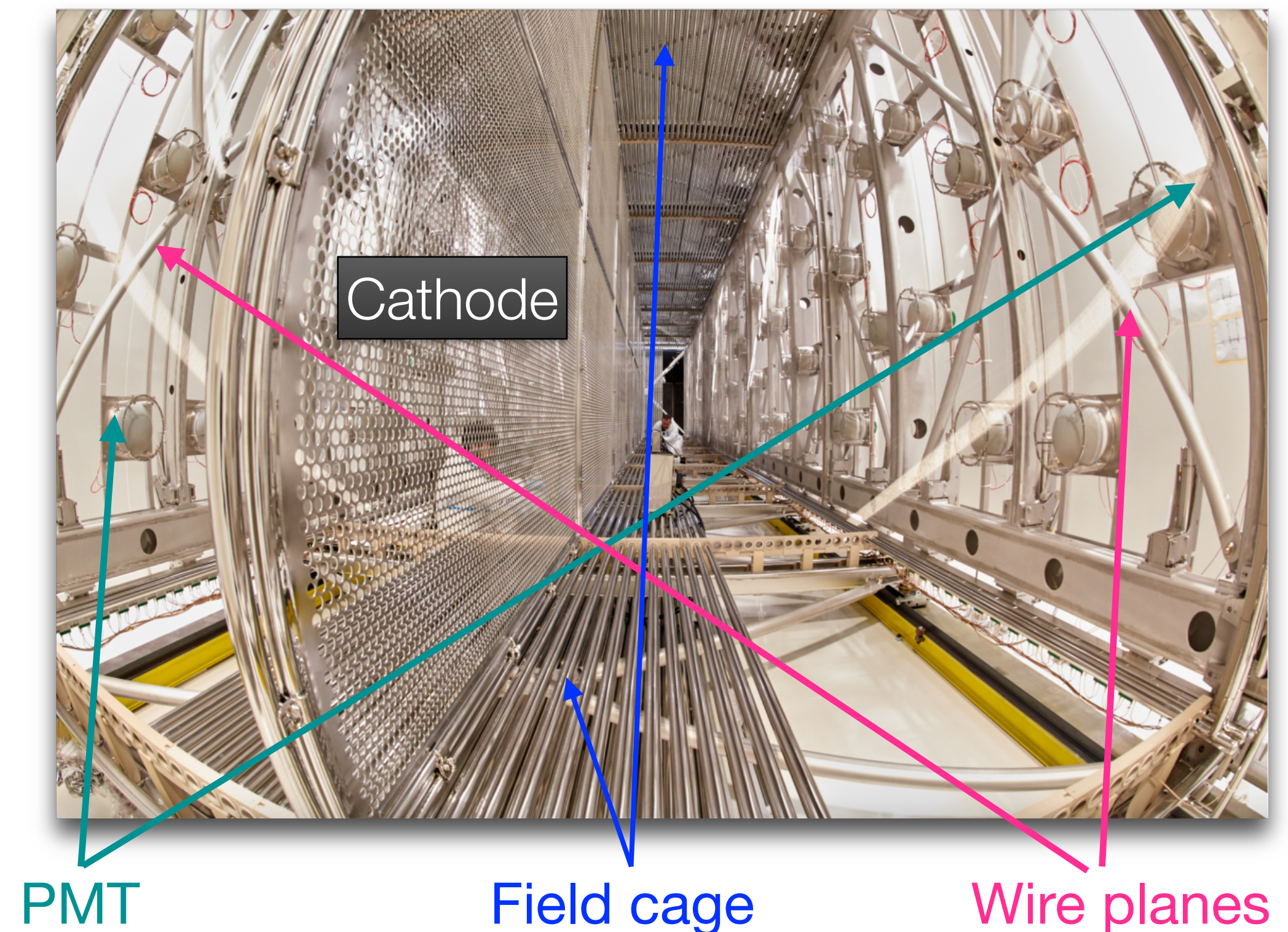


**5σ sensitivity** to LSND anomaly in **3 years of data taking** - reactor and gallium anomalies will be also probed



# THE ICARUS EXPERIMENT IN A NUTSHELL

- Liquid Argon Time Projection Chambers (**LArTPCs**) [C. Rubbia, 1977] are high granularity, continuously sensitive, self-triggering detectors - 3D imaging, topological and calorimetric reconstruction: ideal for  $\nu$  **physics!**
- **ICARUS T600**: the first large scale LArTPC  
760 tons of pure LAr, **470 tons** active mass  
LAr purification by copper filters and molecular sieves
- 2 cryostats ( $3.6 \times 3.9 \times 19.6 \text{ m}^3$ ) - 2 TPCs each with central cathode  $E_d = 1.5 \text{ kV/cm}$ , 1.5 m drift,  $t_d \sim 1 \text{ ms}$
- **3 planes** at  $0, \pm 60^\circ$  read ionization charge, 54k wires, 3 mm pitch
- **360** 8" TPB-coated **PMTs** behind the wires measure scintillation light providing  $t_0$  timing and trigger
- 2.85 m **overburden** and external  $4\pi$  Cosmic Ray Tagger (**CRT**) to suppress and tag incoming cosmics - 11  $\mu$  tracks per trigger
- Successful **3 yr physics run at LNGS** and **intensive overhaul at CERN** to upgrade cryogenics, LAr purification system, TPC readout electronics and PMTs - CRT (overburden) **installation** completed in 2021 (**2022**)





# DETECTOR OPERATIONS AND ACQUISITION

- **Data taking** started in June 2022, 3 physics run since then: **run 3** (both  $\nu$  beams) 15 March - 12 July 2024
- Steady data taking with excellent **stability** at BNB rates  $> 4\text{Hz}$ ,  **$>90\%$  efficiency** with  $E_{\text{dep}} > 200\text{ MeV}$
- **Impurities** in LAr  $\sim 40$  p.p.t.  $\text{O}_2$  equivalent  $\rightarrow$  **free  $\tau_e \approx 7\text{-}8\text{ ms}$** ,  $\sim$ full track det. efficiency in the 1.5 m drift
- **Trigger:** light signal registered simultaneously by 4 PMT pairs in a 6 m longitudinal slice in coincidence with BNB ( $1.6\ \mu\text{s}$ ), NuMI ( $9.5\ \mu\text{s}$ ) beam spills - CRT activity in 2 ms is recorded to tag cosmics (rate  $\sim 0.7\text{ Hz}$ )

## Collected statistics (PoT)

Run	Duration	BNB (FHC) [*] positive focusing	NuMI (FHC) [*] positive focusing	NuMI (RHC) [*] negative focusing
1	Jun-July '22	$0.41 \cdot 10^{20}$	$0.68 \cdot 10^{20}$	-
2	Dec '22-July '23	$2.05 \cdot 10^{20}$	$2.74 \cdot 10^{20}$	-
3	Mar-July '24 [**]	$1.36 \cdot 10^{20}$	-	$2.82 \cdot 10^{20}$
<b>Total</b>	/	$3.82 \cdot 10^{20}$	$3.42 \cdot 10^{20}$	$2.82 \cdot 10^{20}$

[\*] **FHC** = Forward Horn Current, **RHC** = Reverse Horn Current

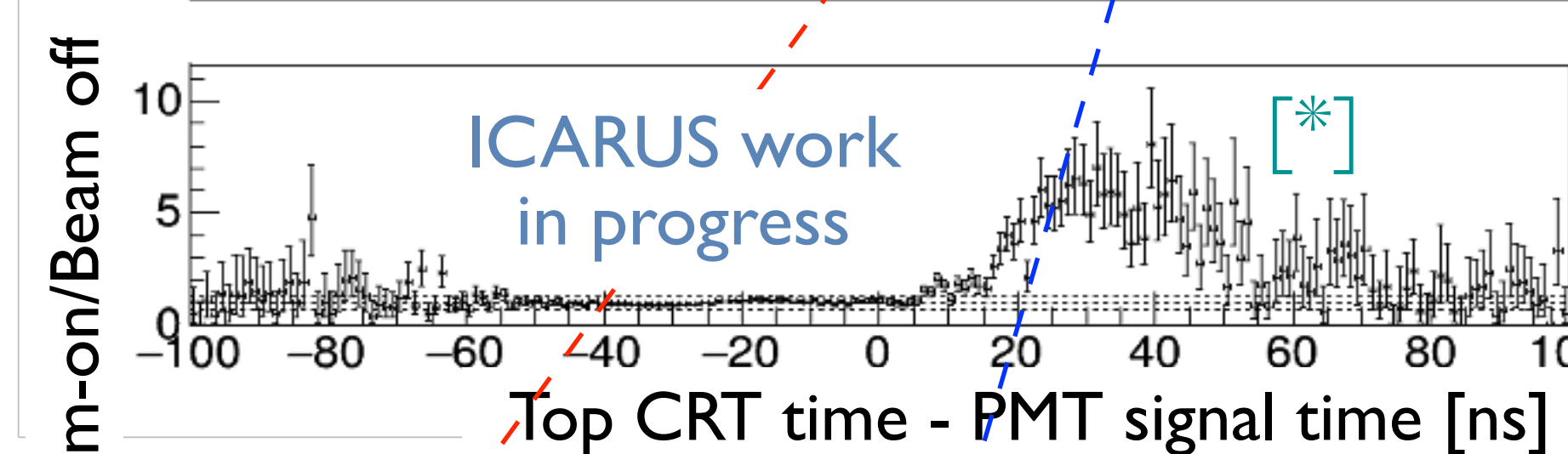
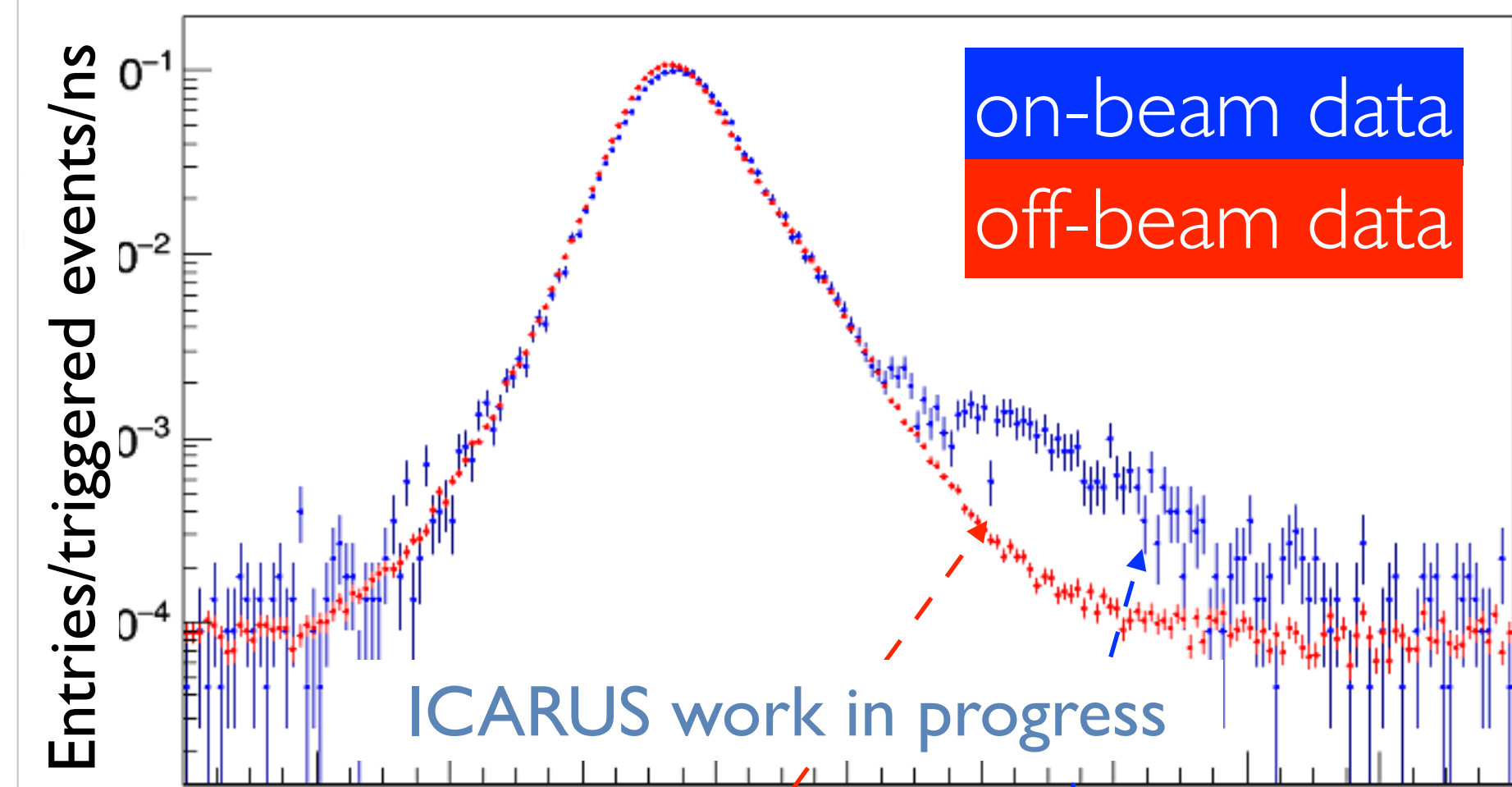
[\*\*] Reduced duration  $\rightarrow$  exposure due to prolonged accelerator shutdown

Further details in:

 [Eur. Phys. J. C 83:467 \(2023\)](#)



# DETECTOR PERFORMANCE

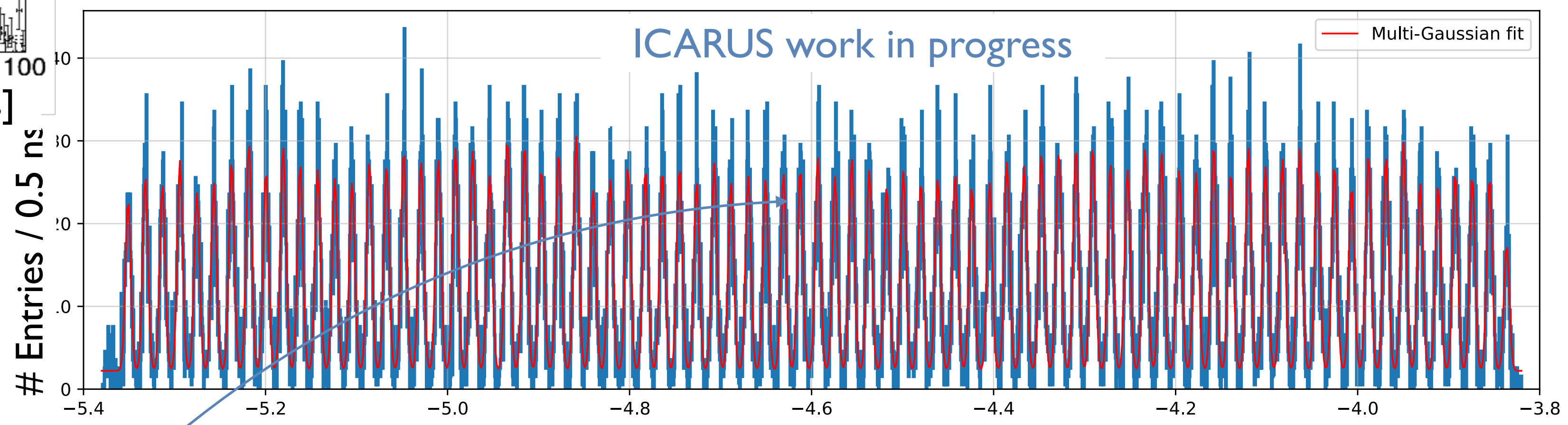


Cosmics entering from top CRT  
 $\Delta T_{\text{CRT-PMT}} < 0$  (bkg)

$\nu$  products exiting through top CRT  
 $\Delta T_{\text{CRT-PMT}} > 0$  (signal)

[\*] Excess due to  $\nu$  beam (signal)

- All **subsystems fully operational** since the start of physics data taking
  - external CRT tag incoming cosmics with  $\sim 95\%$  efficiency
  - Inner PMTs have gain equalized within 1% to  $G=5 \times 10^6$
- Time of flight (ToF) **rejection of cosmics** using **CRT & PMT** systems
- **Bunched structure** of BNB, NuMI **beam spill identified** with neutrino interaction time (PMT) with respect to the proton beam extraction counters post cosmics rejection (CRT) & correction for the neutrino ToF distance



All 81 bunches identified!

$(t_L + t_R)/2 - \text{ToF} - T_{\text{RWM}}$  [us]



# DETECTOR CALIBRATION AND MODELLING

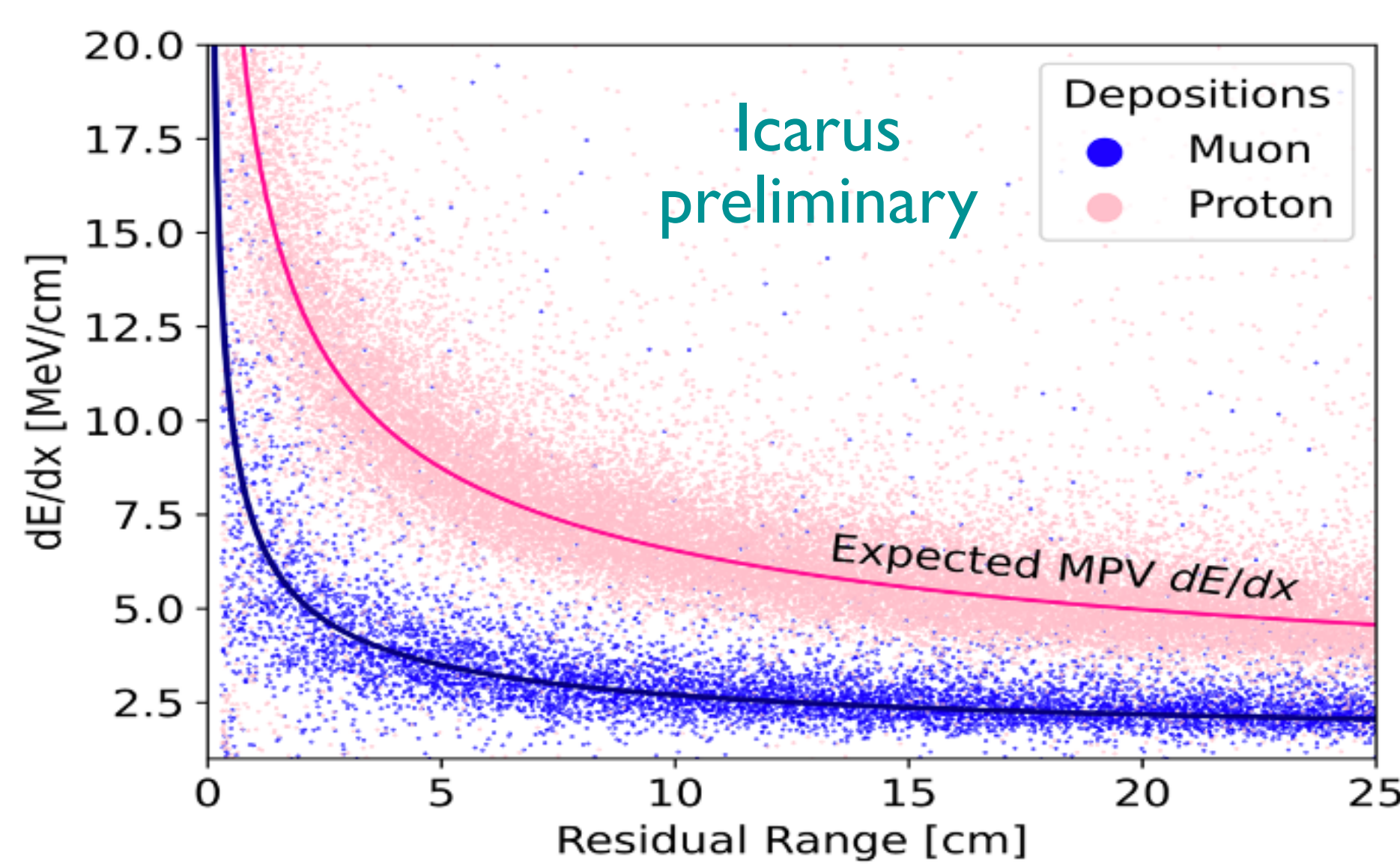
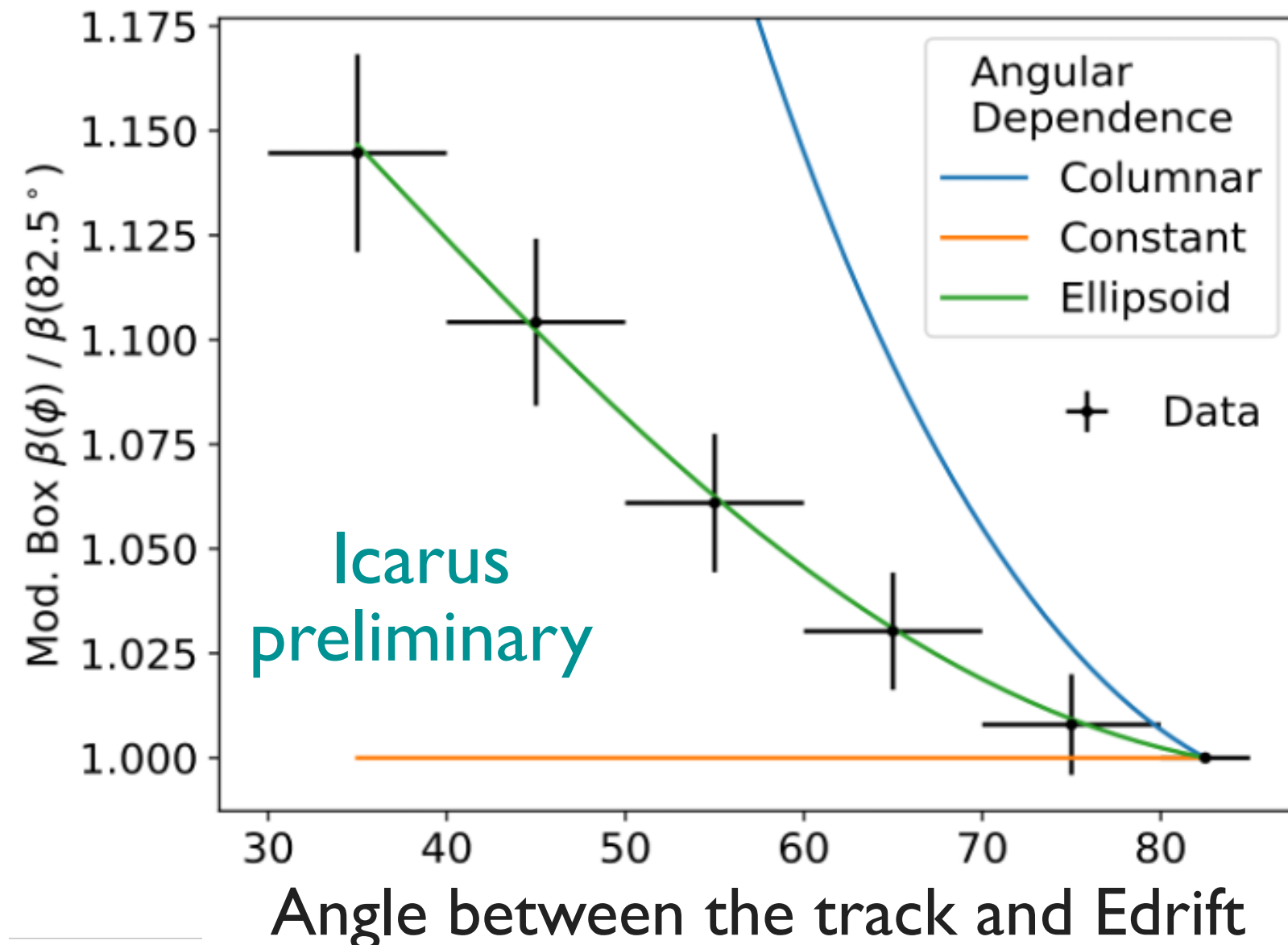
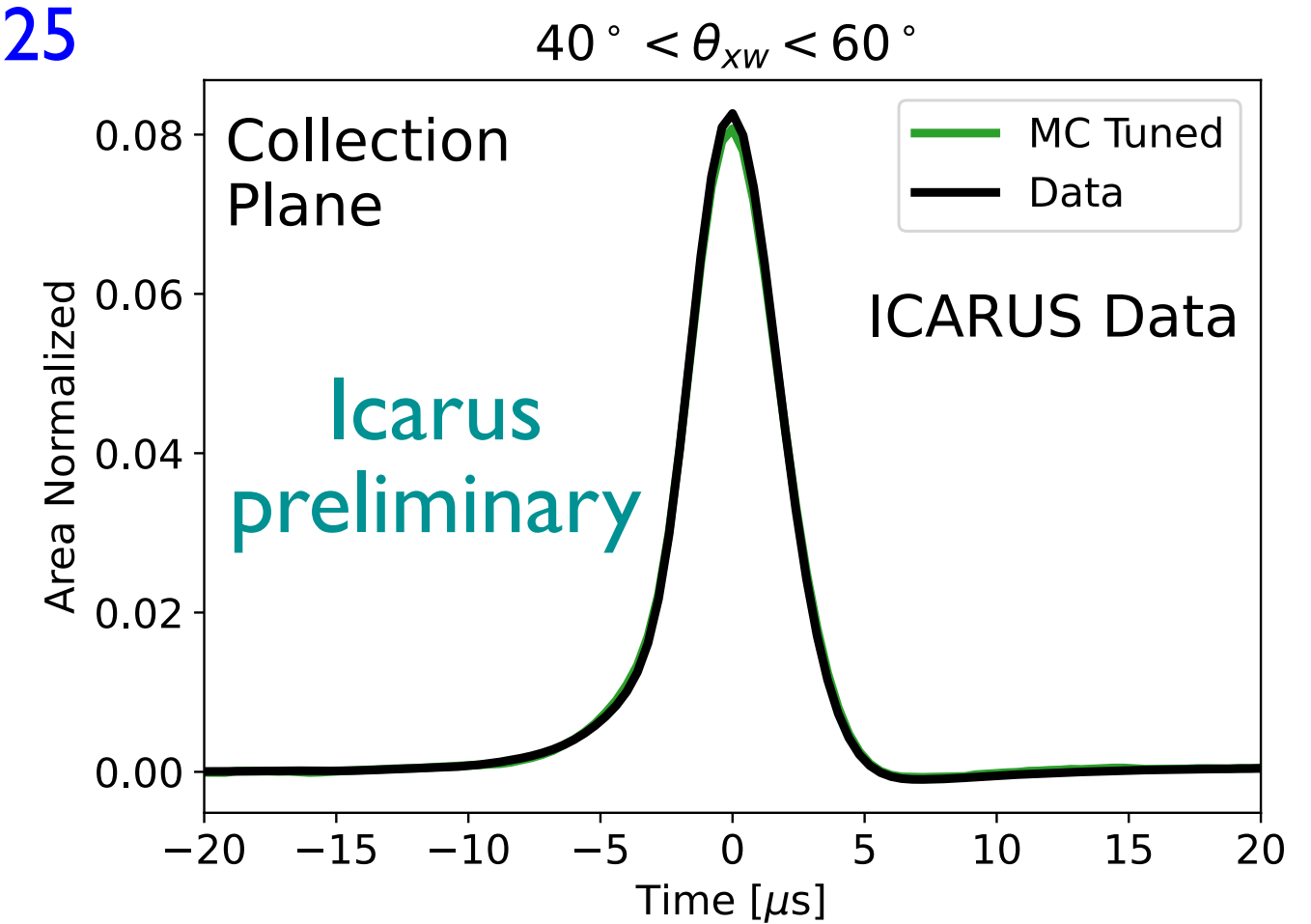
- Accurate TPC wire signal characterization/modeling in Monte Carlo [arXiv: 2407.11925](#)

Average signal response per plane per track angular bin evaluated

- Detector response **calibration**: cosmic muons (MIP), protons from  $\nu$  interactions
- Future data processing to account for charge shared amongst nearby wires

- New angular dependent (*Ellipsoidal*) recombination model [arXiv: 2407.12969](#)

- Energy loss per unit length ( $dE/dx$ ) vs residual range for  $\mu$ s and protons **PID**

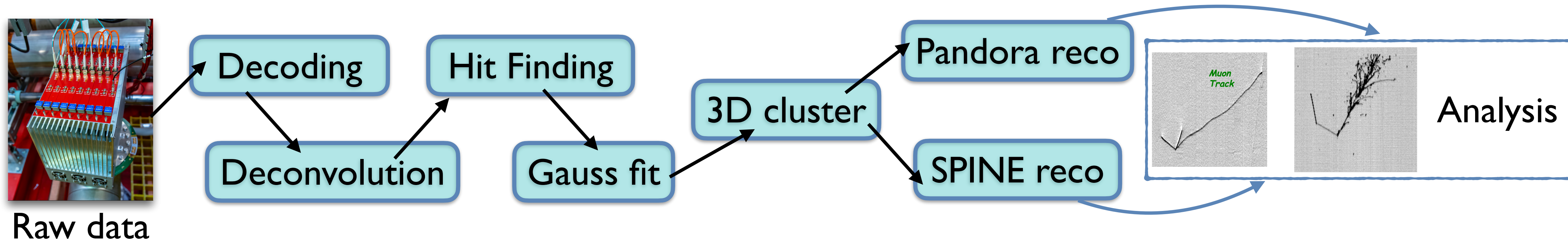


Calorimetric reconstruction of Edep is validated with:

- sample of  $\pi^0$  from **BNB**  $\nu_\mu$  CC interactions ( $\sim 10\%$  resolution on  $m_{\gamma\gamma}$ )
- stopping protons in **NuMI**  $\nu_\mu$  CC interactions ( $\sim 3\%$ )

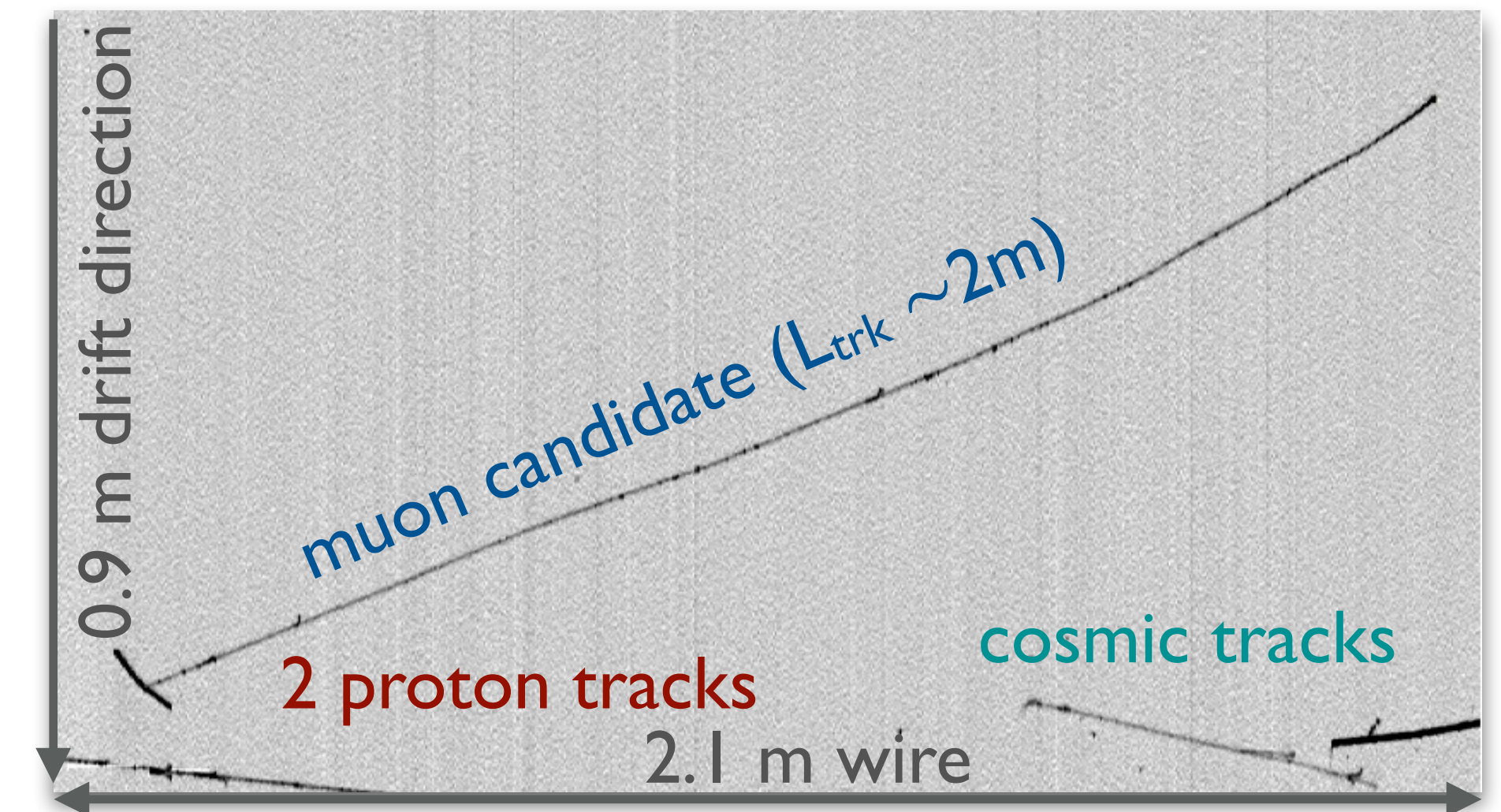


# NEUTRINO CANDIDATES EVENT RECONSTRUCTION



- Two LArTPC event reconstruction frameworks:
  - **Pandora**, pattern recognition software widely used in LArTPCs
  - **SPINE**, entirely based on Machine Learning techniques ([arxiv](#))
- Powerful tool to validate data processing and analysis and study data/MC agreement is the **visual scanning** of collected events:
  - reconstruction of the  $\nu$  vertex using a BNB  $\nu_\mu$  CC sample
  - matching of light & charge signals:  $\sim 1$  m agreement along beam
  - test selection/reconstruction performance in view of analyses

BNB  $\nu_\mu$  CC candidate





# ICARUS PHYSICS PROGRAM

- **SBN physics program: sterile neutrino** search with the study of BNB  $\nu_\mu, \nu_e$  interactions measured at different distances from the beam target thanks to SBND and ICARUS LArTPCs
- Before the joint-analysis with SBND, ICARUS focuses on a **standalone physics program**:
  - **Blinding policy** defined to ensure robust and unbiased interpretation of the collected data: analyses are validated using sidebands, a subset of the full dataset and variables insensitive to oscillation
  - Analysis of the  $\nu_\mu$  **disappearance channel with BNB** (selection ready and validated), to be complemented with  $\nu_e$  **disappearance from NuMI** data - the goal is to verify the **Neutrino-4 claim**
  - study of  $\nu_\mu, \nu_e$  interactions from NuMI to **measure  $\nu$ -Ar cross sections** and optimize reconstruction in the energy range that **DUNE** will explore (selection ready, sidebands studied on a fraction of data)
  - search for **sub-GeV BSM signals** using NuMI  
forthcoming publication of the **di-muon decay channel** analysis



# $\nu_\mu$ DISAPPEARANCE ANALYSIS: SELECTION & SYSTEMATICS

- Selection of **fully contained**  $\nu_\mu$  CC events with **1 $\mu$ +N protons**

Event kinematic extracted from range measurements

- (I) Light signal within 1.6  $\mu$ s beam spill in coincidence with reconstructed TPC tracks and no CRT signal
- (II) A muon with  $L_{\text{track}} > 50$  cm,  $N > 1$  protons with  $E_K > 50$  MeV ( $L_{\text{track}} > 2.3$  cm), PID scores in range
- (III) No additional pion/photon

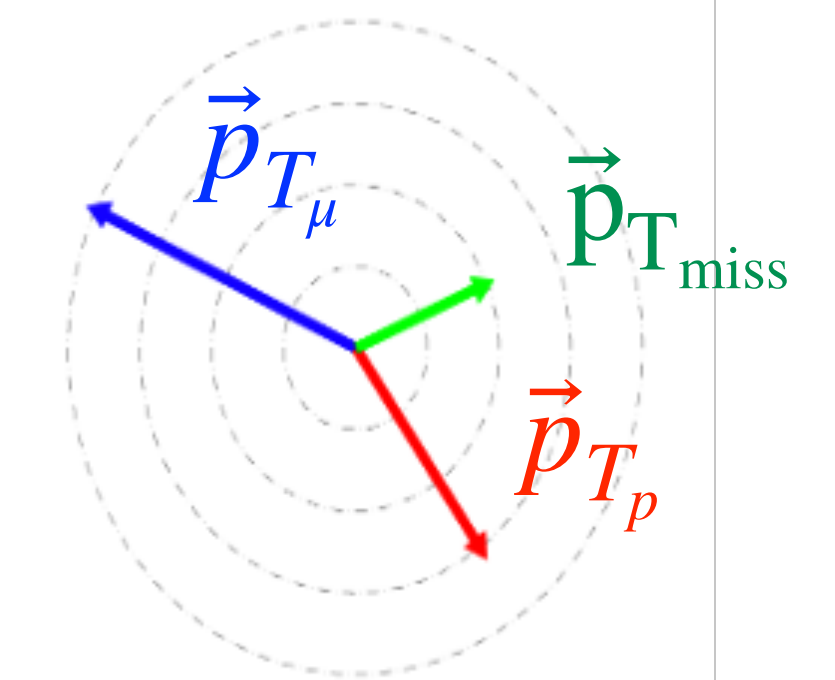
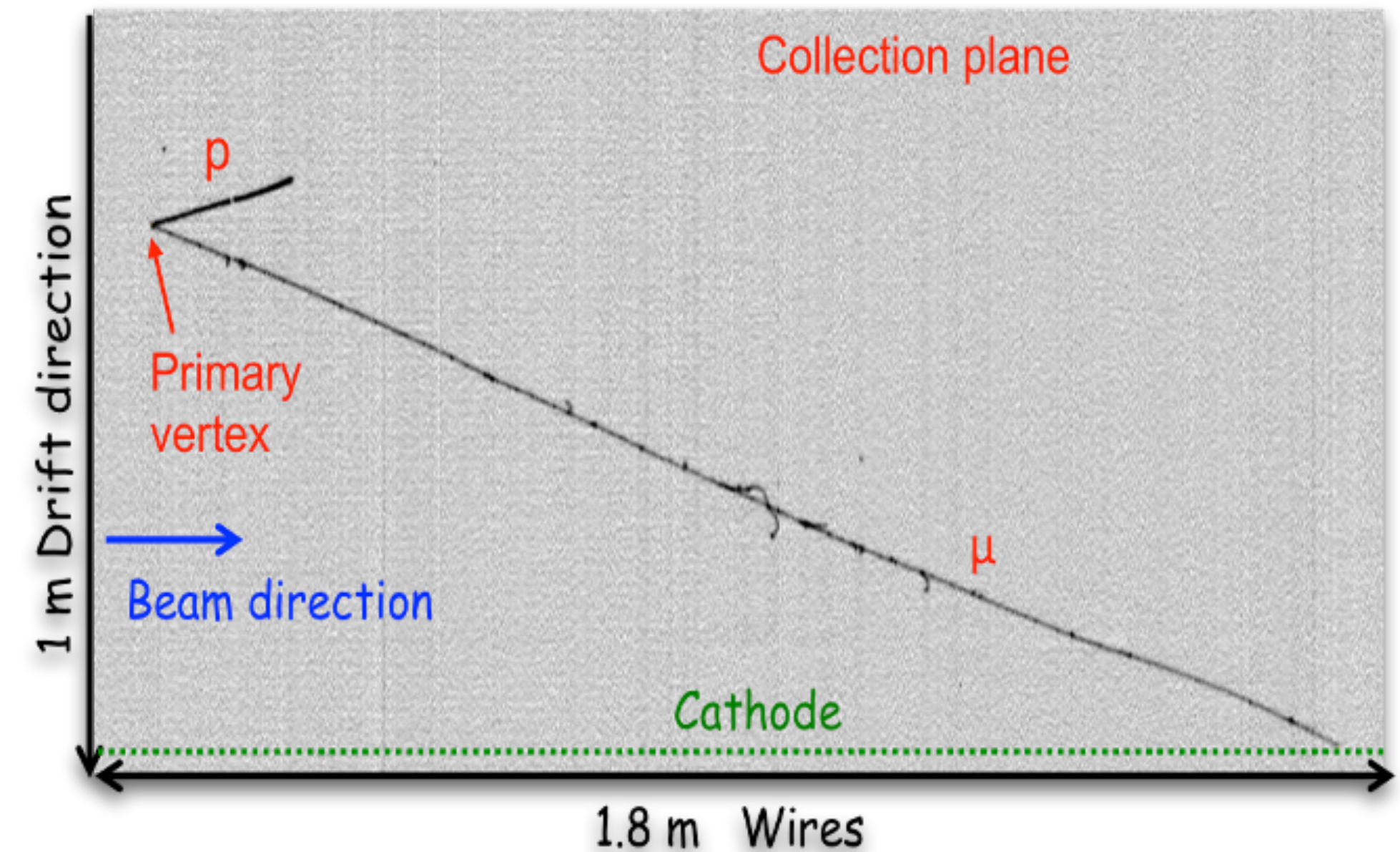
- Residual cosmic background < 1%

- $\Phi$ ,  $\sigma$  and (conservative) detector **systematic uncertainties** included ( $\sim$ equal): **Momentum in the transverse plane**

- comparison of (un)calibrated MC gives preliminary estimates of detector effects

A reduction of the impact of detector systematics is expected from improved MC, while  $\Phi$ ,  $\sigma$  effects should **cancel** with a **joint** SBND/ICARUS spectra analysis

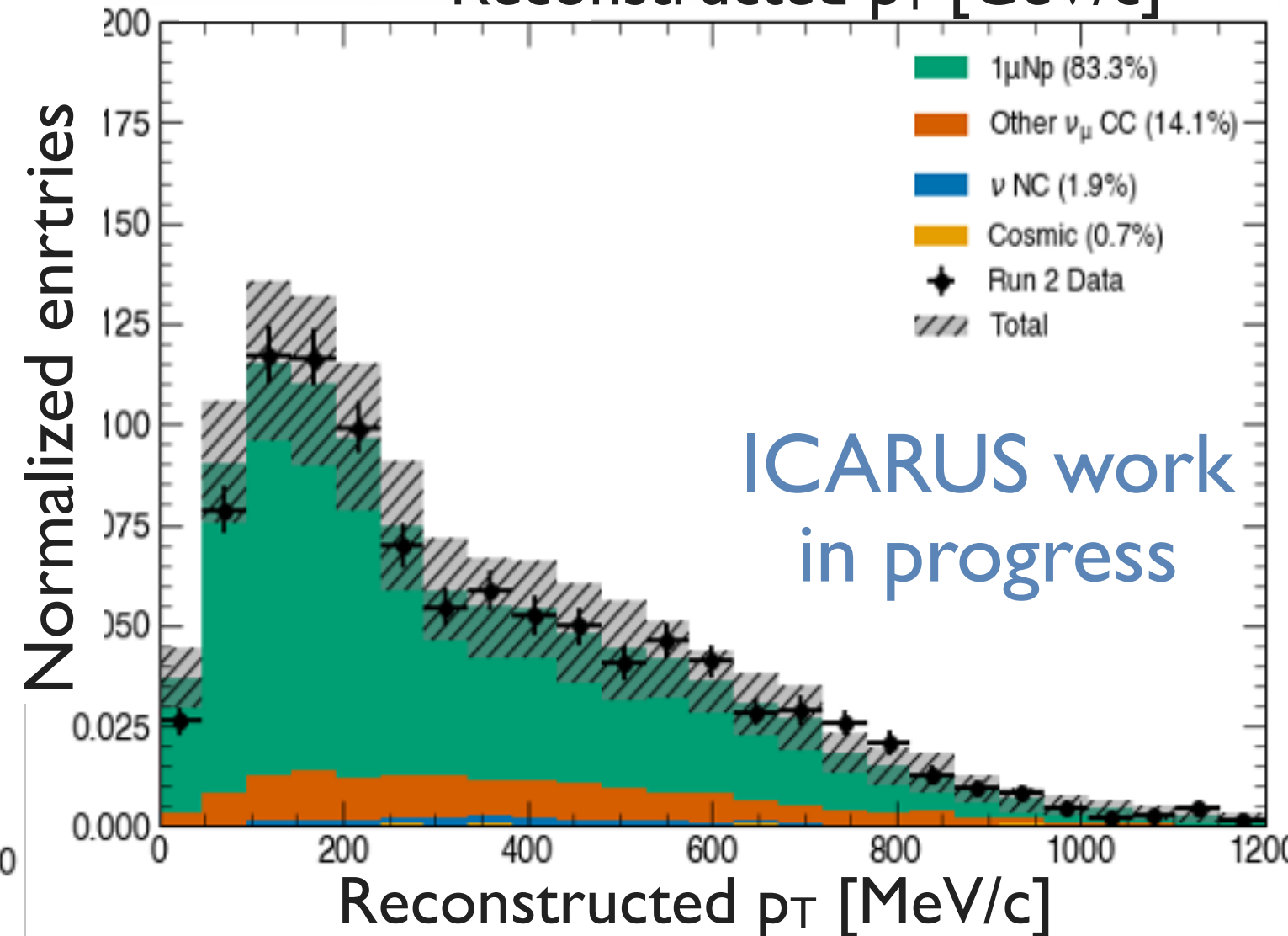
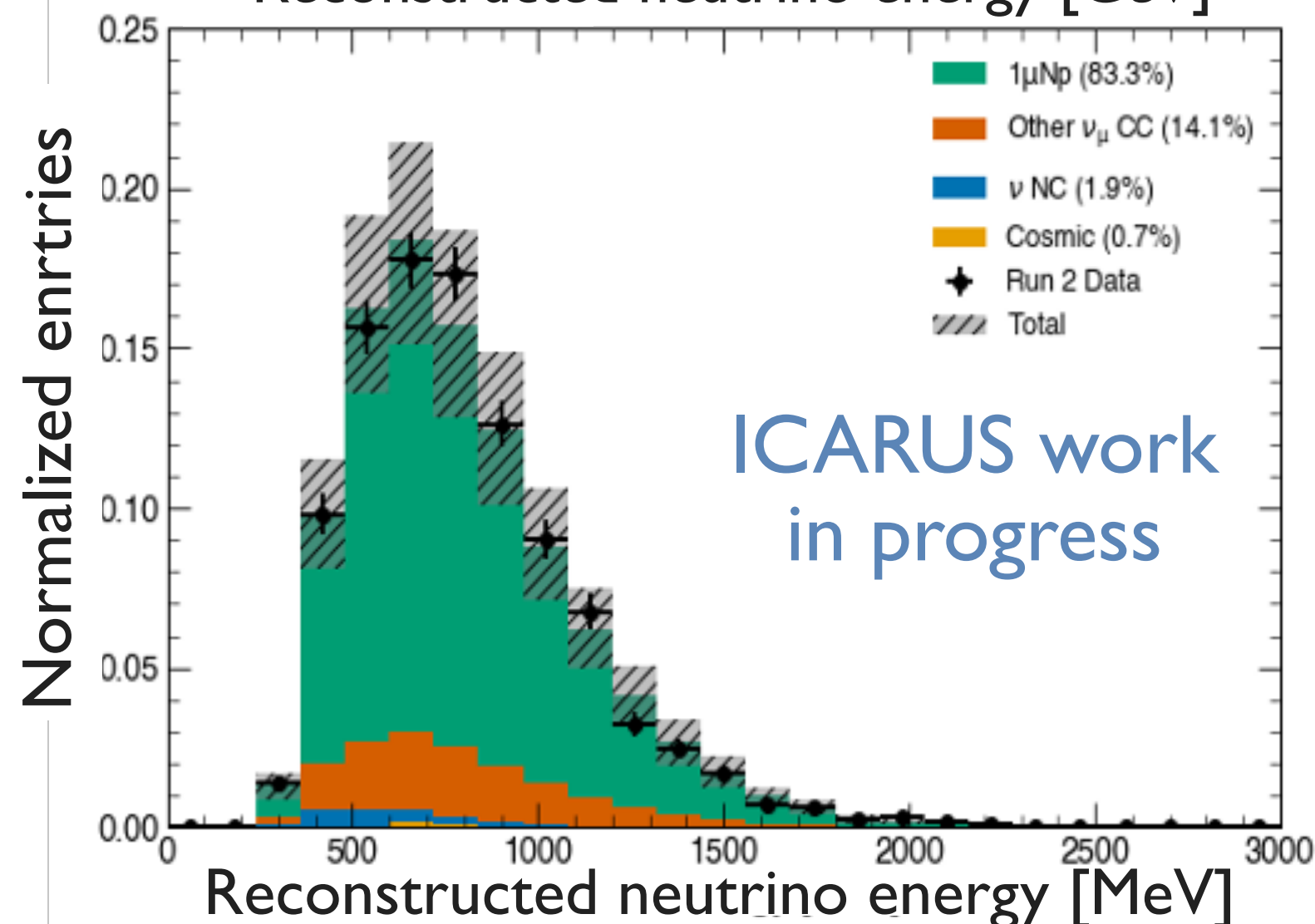
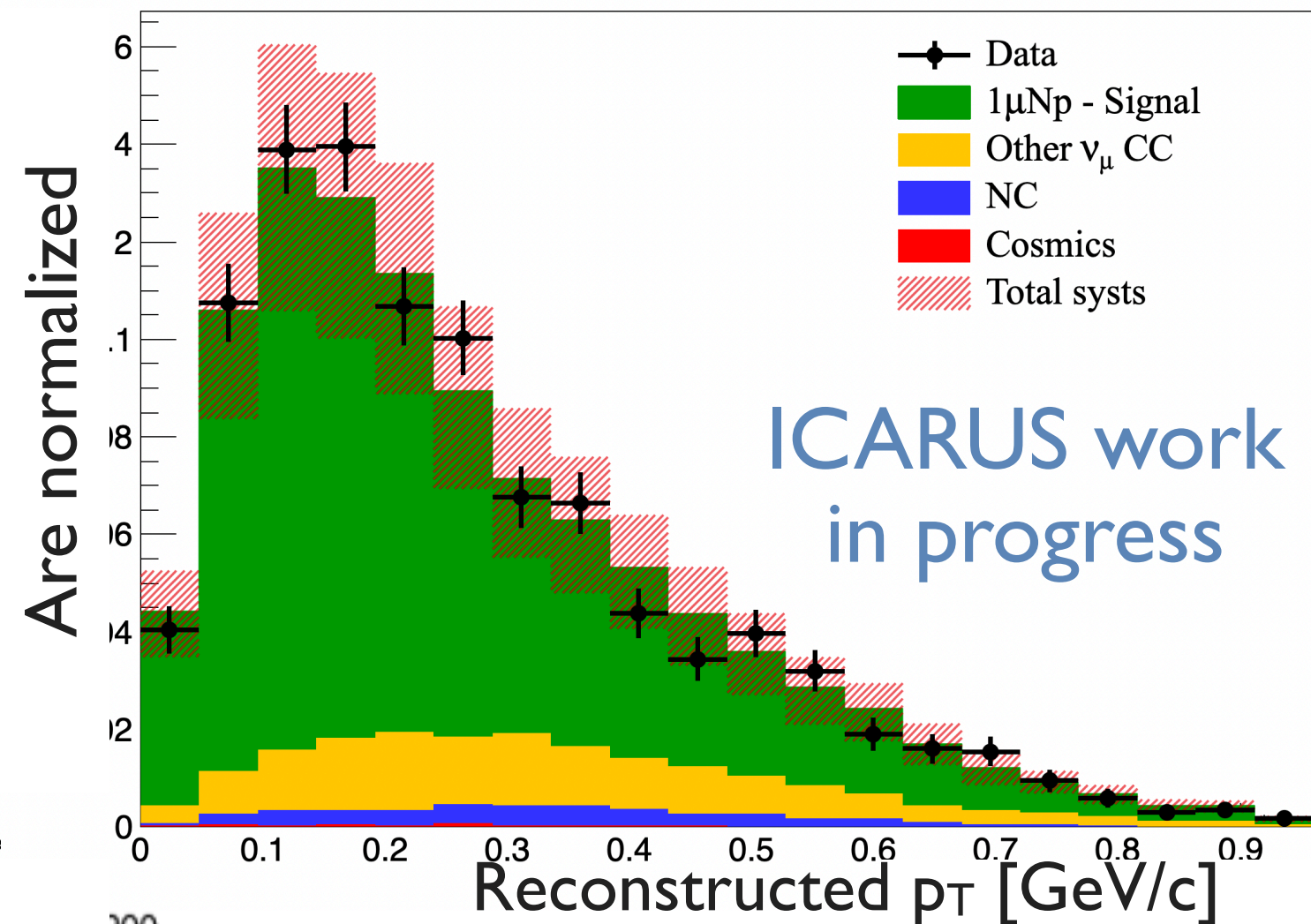
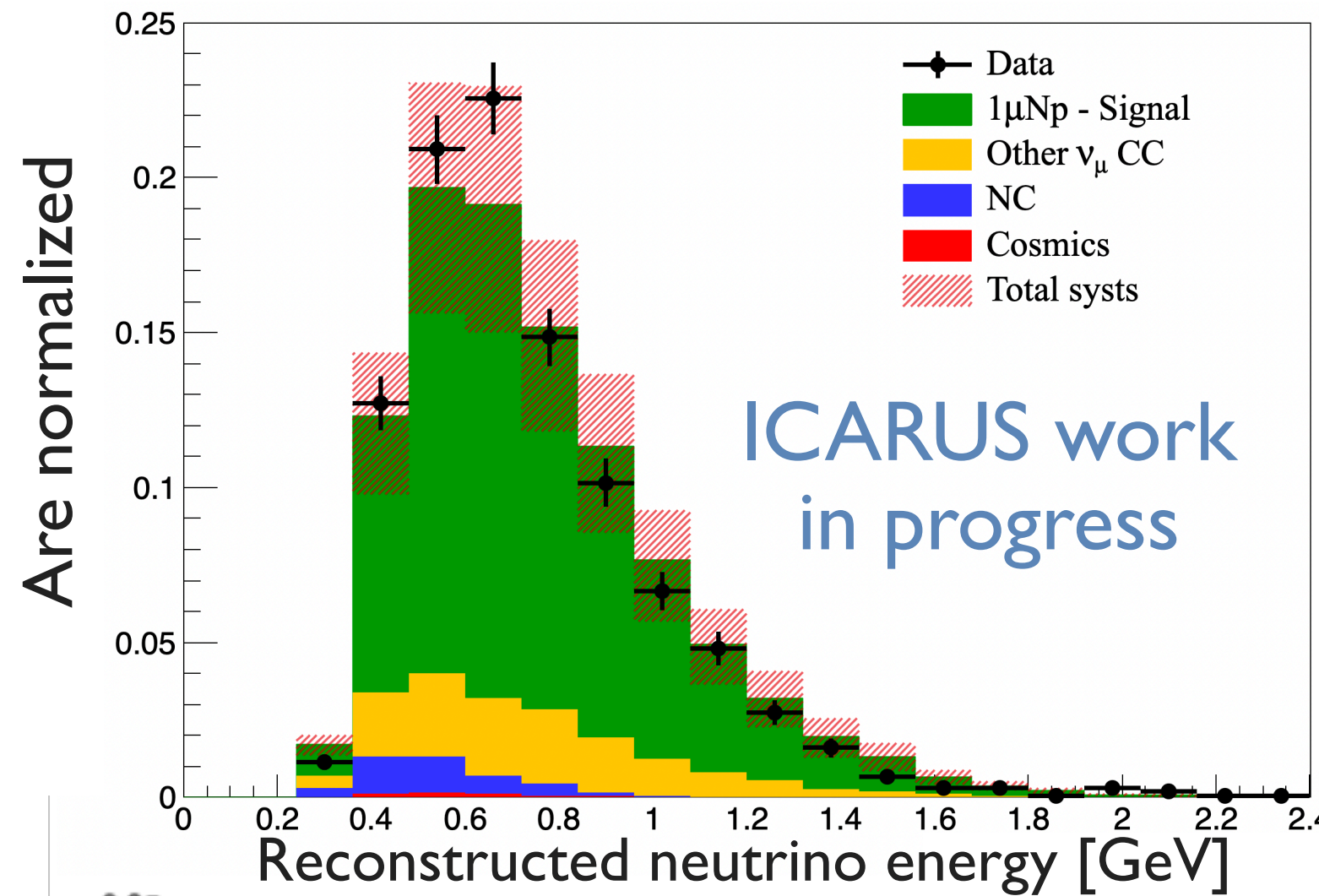
Current estimates are flux  $\sim$  **10%** / cross section  $\sim$  **15%** / detector effects  $\sim$  **15%**





# $\nu_\mu$ DISAPPEARANCE ANALYSIS: PRELIMINARY RESULTS

- 10% of the data analysed ( $\sim 20 \times$  data available) showing data/MC agreement within systematic effects



- Pandora-based analysis on BNB  $\nu$  beam  
 $\sim 50\%$  signal efficiency, **80%** signal purity  
 $1.93 \times 10^{19}$  Proton on Target (PoT)  
**34000** events (Run 1-3)

- SPINE-based analysis on BNB  $\nu$  beam  
 $\sim 75\%$  signal efficiency, **80%** purity  
 $1.92 \times 10^{19}$  PoT  
**47000** events (Run 1-3)

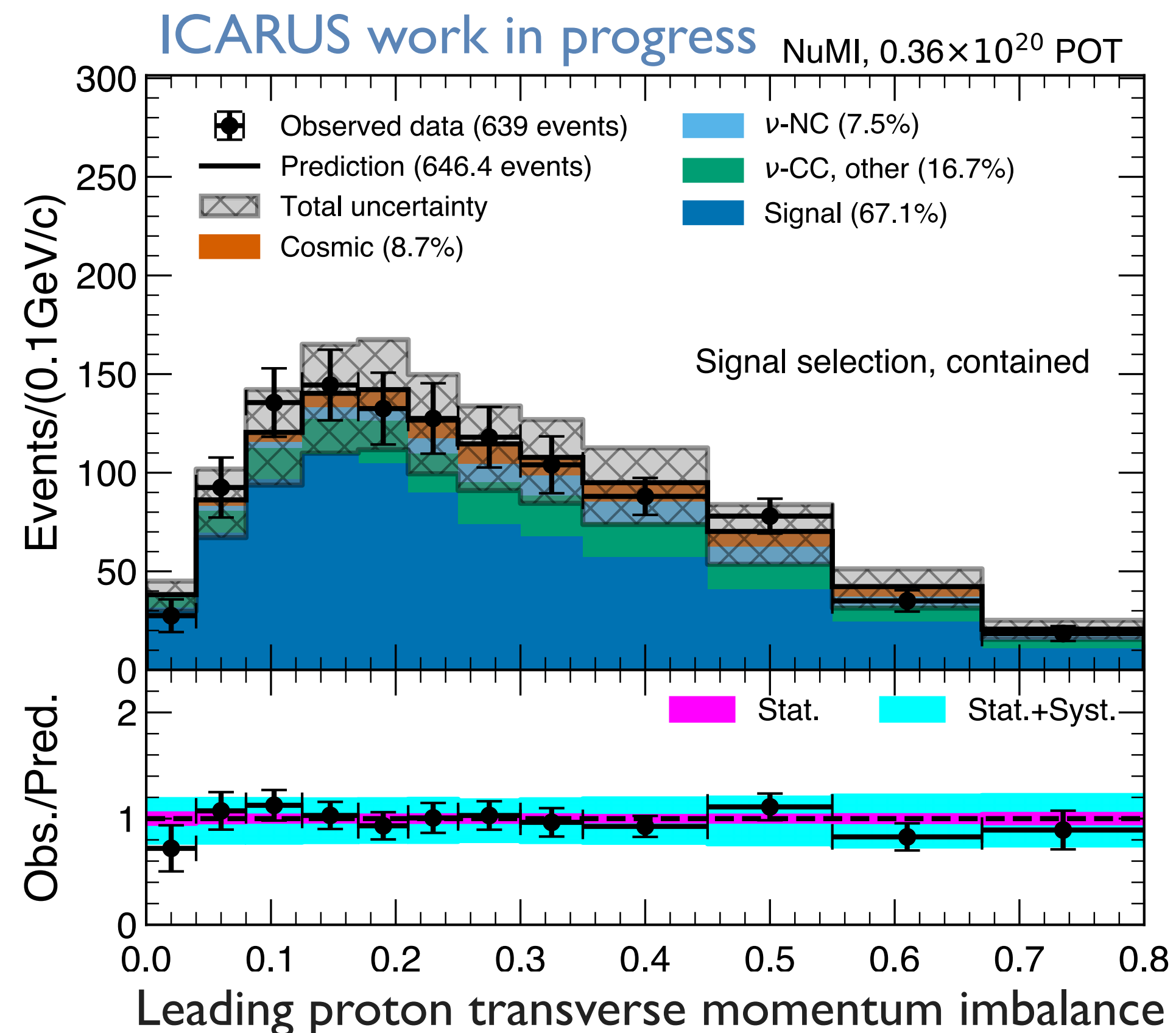
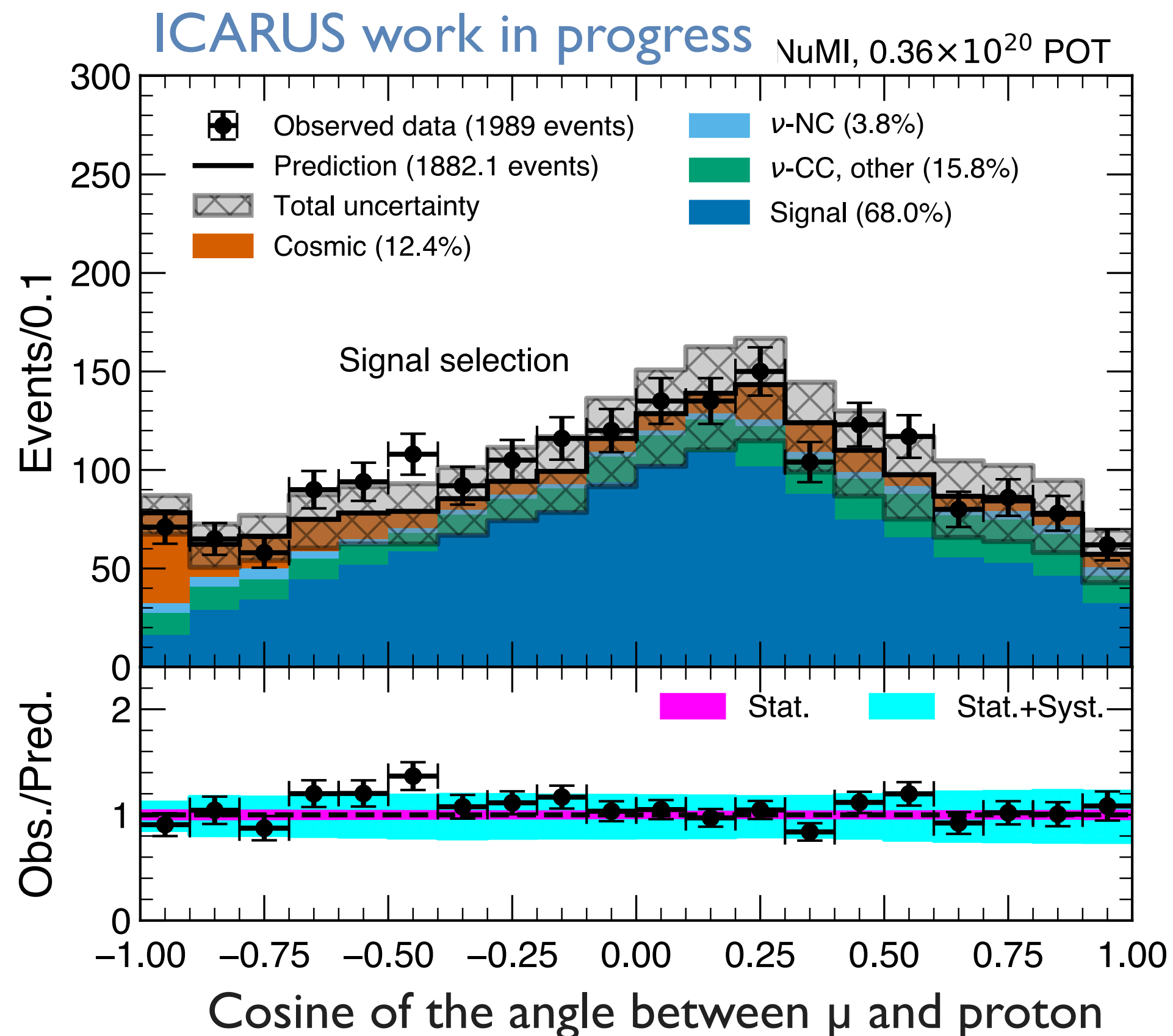
We are ready for the next analysis steps:

- enlarge the control sample to confirm the robustness of the analysis
- proceed to full data unblinding and oscillation fit



# NUMI $1\mu Np 0\pi$ ANALYSIS

- **Huge statistics** to measure quasi-elastic (QE), resonance and deep inelastic scattering  $\nu_\mu, \nu_e$  cross sections  
CC events/ $6 \times 10^{20}$  PoT: **332 000  $\nu_\mu$ , 17000  $\nu_e$**  -  $3.42 \times 10^{20}$  PoT already available for the present analysis
- First oscillation peak & **relevant phase space for DUNE is covered** by NuMI energy spectrum @ ICARUS



- First analysis targets  $1\mu Np 0\pi$  enhanced in QE and 2p2h interactions
- Event selection, relevant variables, including systematics and control sample in the next slide

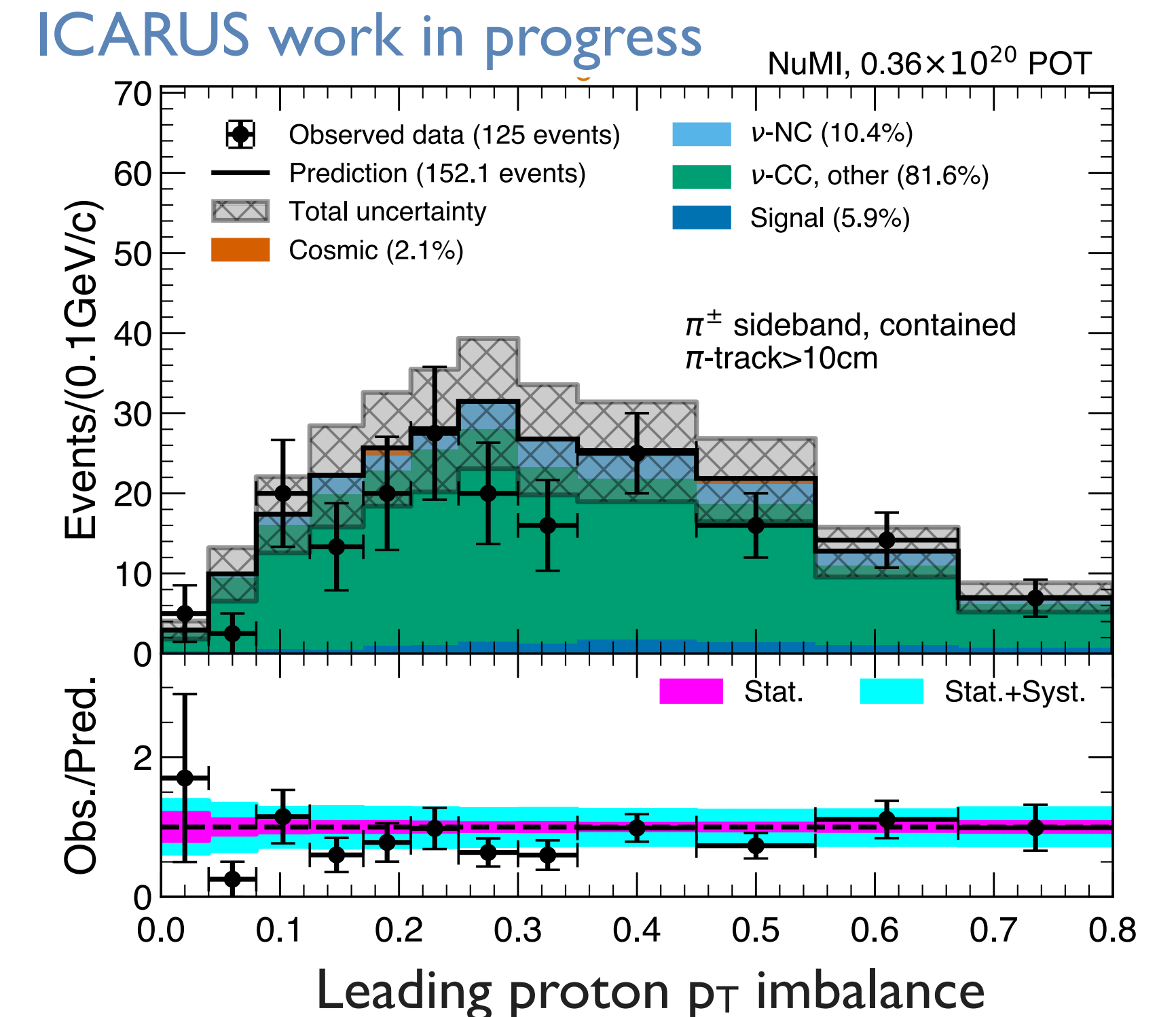
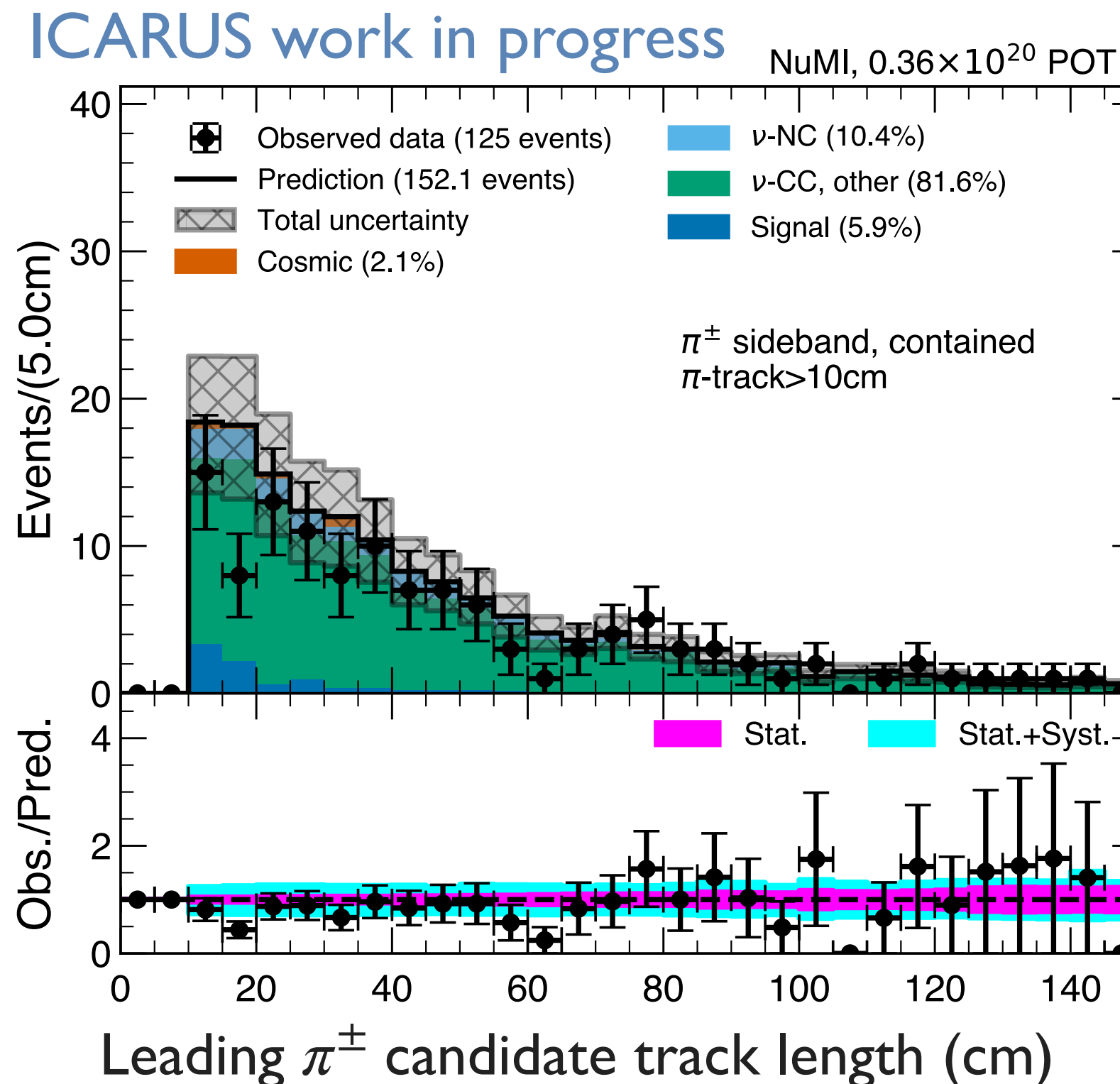


# NUMI $1\mu Np 0\pi$ ANALYSIS

- Signal: no  $\pi/\gamma$ , at least 1  $\mu$  with  $p > 226$  MeV/c and proton(s) with  $p \in [0.4, 1]$  GeV/c identified w/ PID  
 $\cos \theta_{\mu,p}$  should encode all info about **Final State Interactions**, transverse kinematic variables are relevant
- Systematics: flux, interaction model and detector systematic uncertainties (same as BNB) included

- **Major background:**  
 events with undetected or misidentified pions
- **Control sample** w/  $\pi^\pm$  and secondary  $\mu$ -like track
- First study using 15% of the data

We are ready to study the sidebands with the full Run I & Run2 event statistics

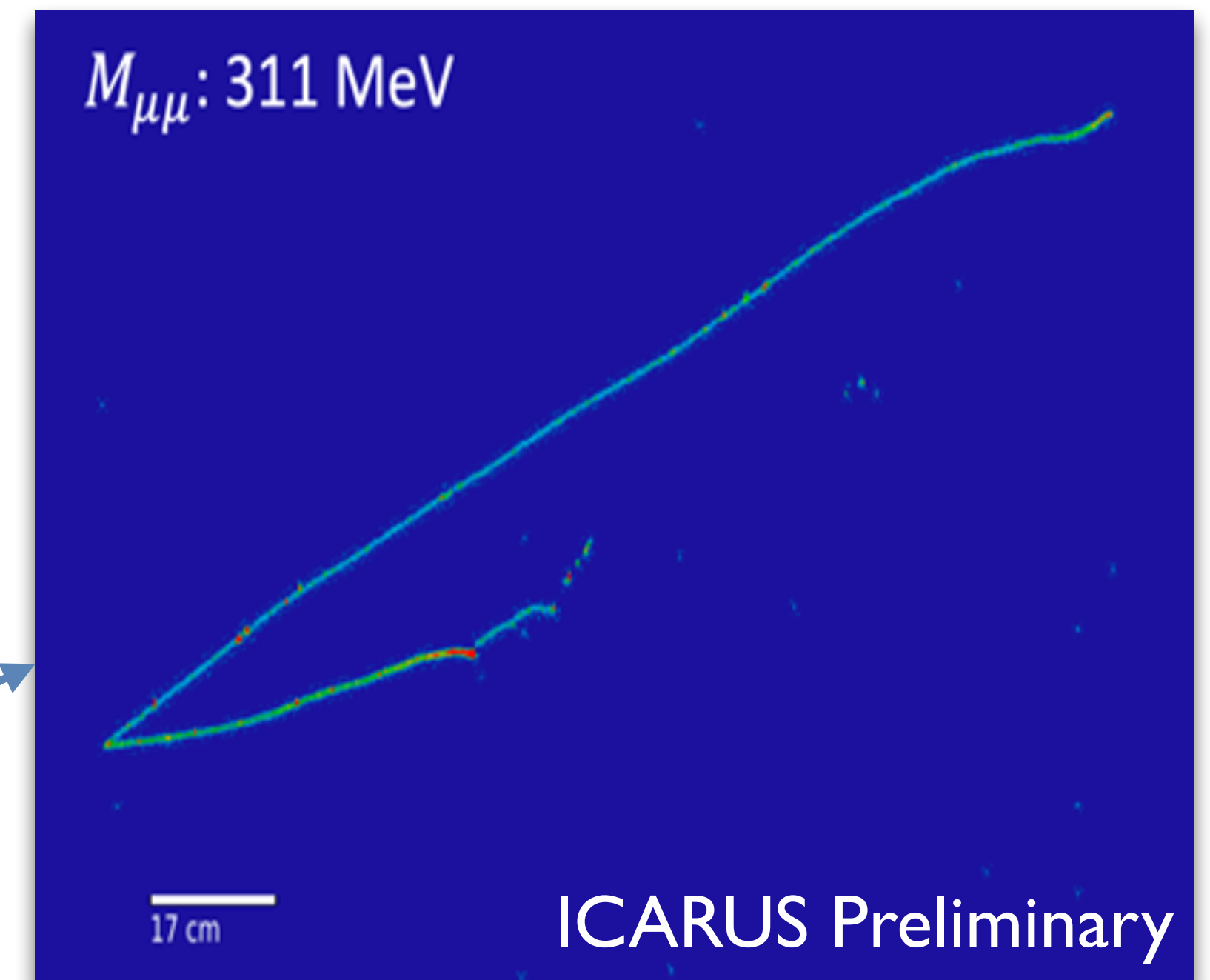




# BEYOND STANDARD MODEL PHYSICS PROGRAM

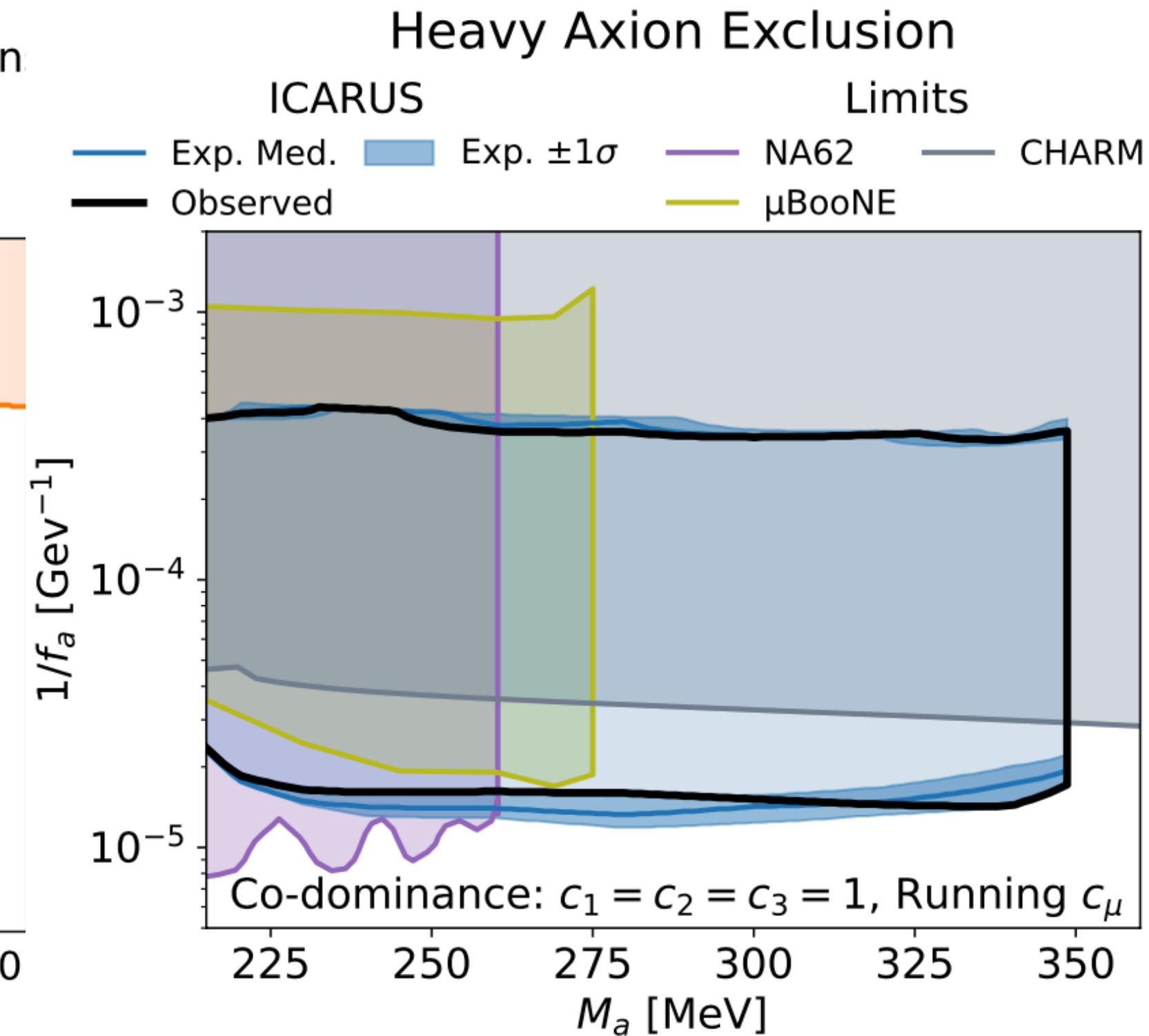
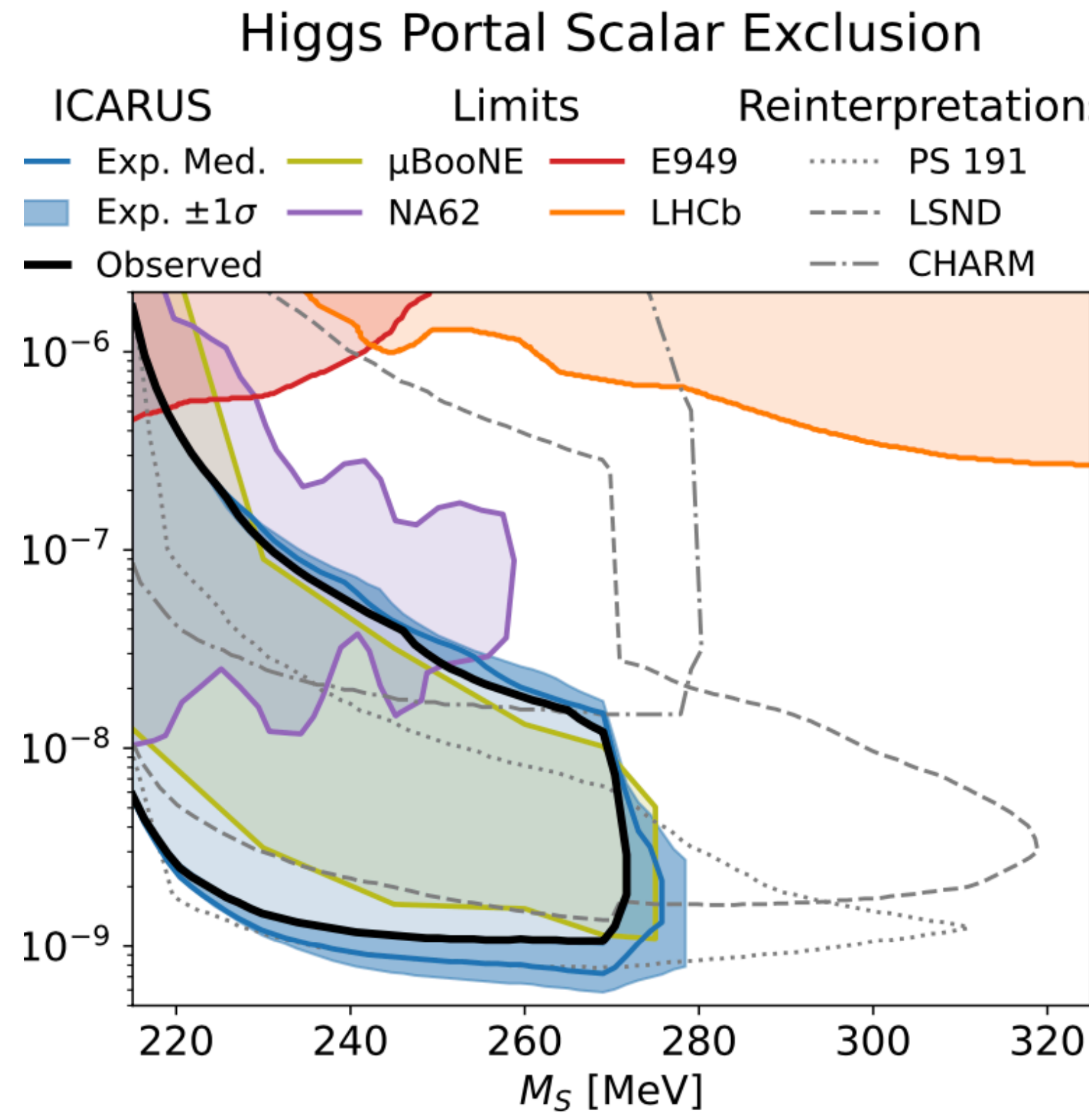
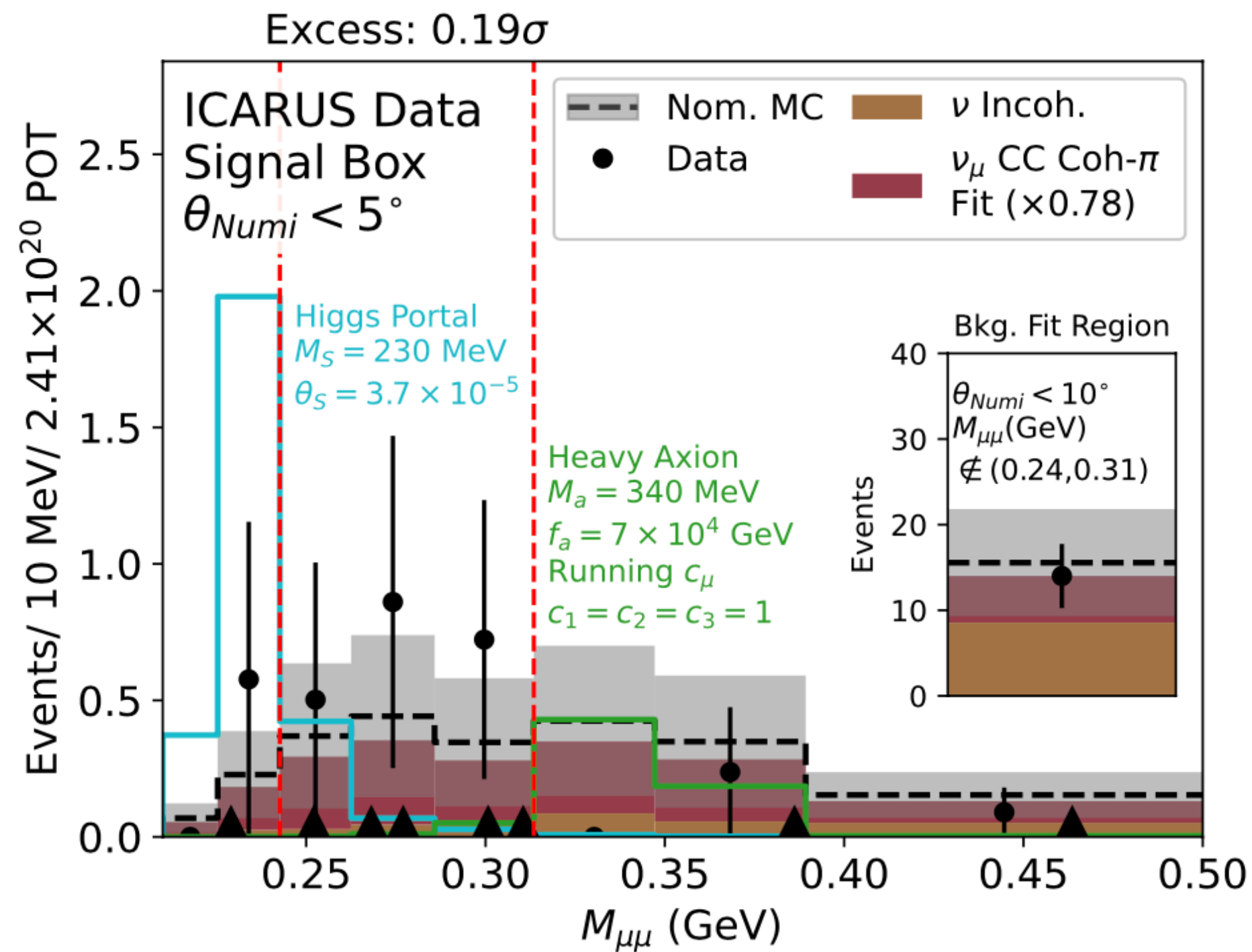
- Rich BSM search program (dark matter, heavy neutral leptons, ...) based on the off-axis **NuMI** beam
- **Models** explored so far feature dark particles coupling to SM particles via **Scalar Portal Interactions**
  - **Higgs Portal Scalar**: scalar dark particles, interacting with SM particles with Higgs boson mixing
  - **Heavy QCD axion**: pseudo-scalar particles, interacting with SM ones via pseudo-scalar mesons
- First search for BSM particle decaying into **di-muon** just **completed**
  - Event selection: 2 stopping  $\mu$ -like particles (fully contained) with resolvable mass peak, proxy of the scalar particle mass
  - Signal peak expected at small angles wrt beam ( $\theta_{\text{NuMI}} < 5^\circ$ )
  - All systematics (flux, cross section and detector) included

Typical signal candidate





# DI-LEPTON ANALYSIS



- **Data unblinding:** 8 events vs 8 events expected mostly from  $\nu_\mu$  CC coherent  $\pi$  production in the null hypothesis
- **No evidence of a new signal:** maximum **excess** is  $0.19\sigma$



# CONCLUSIONS AND NEXT STEPS

- ICARUS is **running stably** and acquiring physics runs since summer 2022, exposed to both on-axis BNB ( $\nu$ -mode) and off-axis NuMI ( $\nu$ - and  $\bar{\nu}$ -mode) neutrino beams
- **Accurate** detector **calibration** and response **modelling** (electronic and calorimetric) extracted exploiting cosmic  $\mu$ s and protons from  $\nu$  interactions and now fully embedded in our simulations
- Both waiting and in view of the upcoming joint-SBN analyses, several **single detector studies** are progressing and **quite advanced**:
  - $\nu_{\mu}$  **disappearance** channel with **BNB** beam  $\rightarrow$  control sample will be enlarged to complete validation
  - $\nu$ -**Ar cross section measurements** with **NuMI** beam, first selection includes  $1 \mu\text{Np}0\pi$  events  $\rightarrow$  ready to study the sidebands with the full statistics available
  - Search for **sub-GeV dark matter particles** with **NuMI** beam data
    - Search for **di-muon** final state topology available  $\rightarrow$  first results available: analysis completed
- Interesting results are foreseen soon ( $\nu_e$  analyses on BNB, NuMI data are starting) so **stay tuned!**



# THANKS FOR YOUR ATTENTION

