

# LATEST RESULTS FROM THE NA62 EXPERIMENT @CERN

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on behalf of NA62 Collaboration

**BLOIS 2024**

35<sup>th</sup> Rencontres de Blois on Particle Physics and Cosmology

Blois 20<sup>th</sup> – 25<sup>th</sup> October 2024



Bundesministerium  
für Bildung  
und Forschung



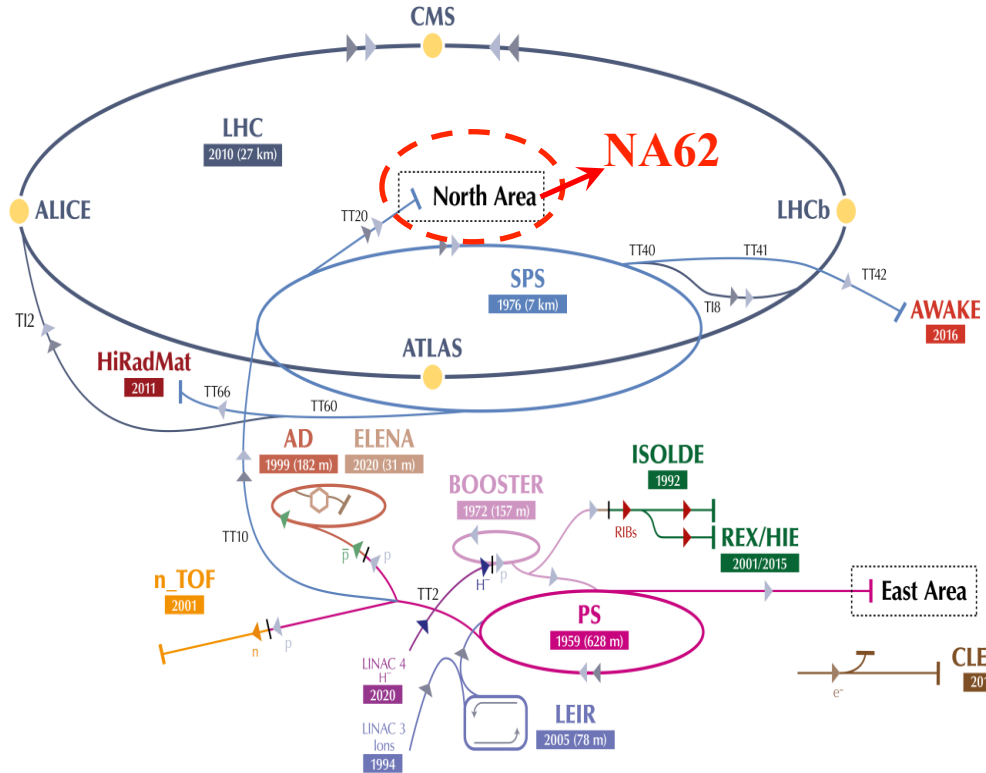
JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ



PRISMA + Cluster of Excellence



# NA62 EXPERIMENT



2009–2014 Detector R&D Installation  
 2015 Pilot run and commissioning  
 2016–2018 NA62 Physics Run 1  
 2019–2020 CERN Long Shutdown 2 (LS2)  
 2021–LS3 NA62 Physics Run 2

→ Data taking is currently ongoing

This talk

Currently ~300 participants from ~30 institutions

!!! New Results  
 F. Brizioli talk at Plenary  
 Flavor session !!!

- Multi purpose high-intensity Kaon experiment
- Broad physics programme in kaon and pion sectors
- **Primary goal:** precision measurement of  $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

# NA62 BROAD PHYSICS PROGRAMME



## Kaon Beam Mode

400 GeV/c SPS  
proton beam on  
target

## Flavor Physics

- Low energy QCD tests
- Precise tests of lepton flavor universality, lepton number and flavor violation
- First row CKM unitarity
- **New Physics** searches at the EW scale with sizeable coupling to SM particles **via indirect effects in loops**

## Dump Beam Mode

400 GeV/c SPS  
proton beam  
on dump module

## Hidden Sector

- **New Physics** searches below the EW scales (MeV-GeV range) feebly-coupled to SM particles **via direct detection of long-lived particles**
- Dark Photon (DP), Axion Like Particle (ALP), Dark Scalar (S), Heavy Neutral Lepton (N)

# OUTLINE



- Experiment apparatus
- Precision measurements with kaon and pion
  - $K^+ \rightarrow \pi^+ \gamma \gamma$  ,  $\pi^0 \rightarrow e^+ e^-$
- Lepton Number and Flavor Violation searches
  - $K^+ \rightarrow \pi \pi^0 \mu e$
- Hidden Sector searches with kaons and in dump mode
  - $K^+ \rightarrow \pi^+ X$  ( $X \rightarrow \gamma \gamma$ ),  $A' \rightarrow \ell^+ \ell^-$  and  $X \rightarrow hadrons$
- Conclusions

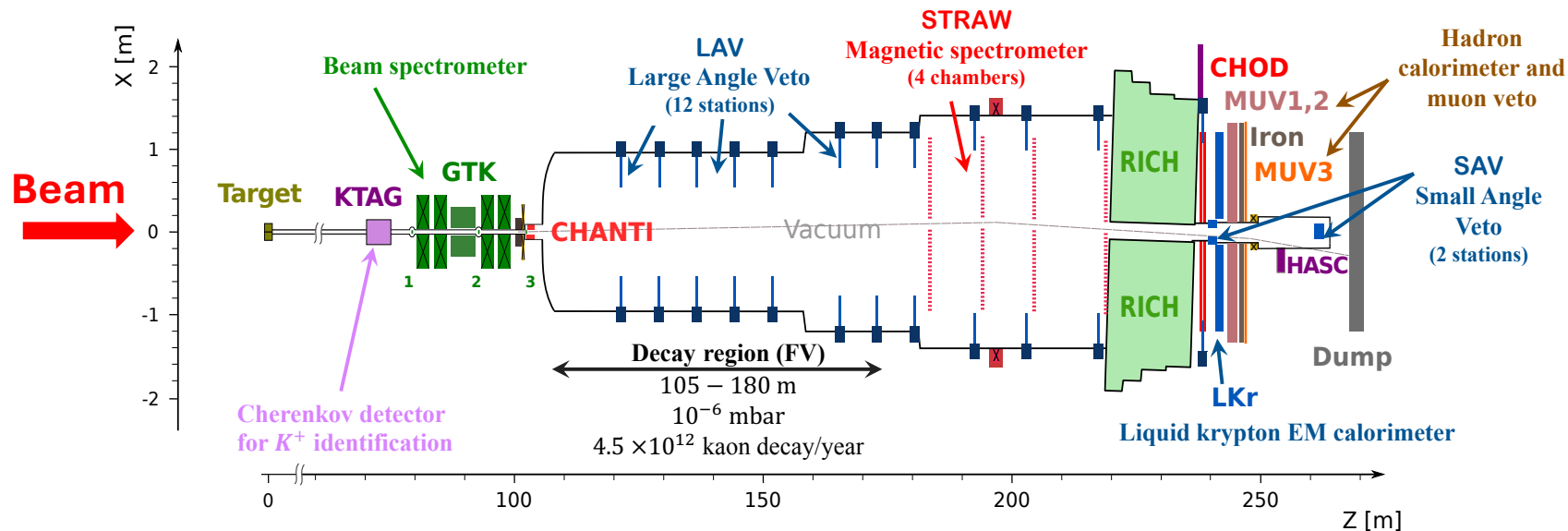
# NA62 DETECTOR LAYOUT



[NA62 Detector Paper, 2017 JINST 12 P05025]

Detector layout optimized for the main measurement  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

TOP  
view



## NA62 Performance Keystones:

- ❑ High-precision time measurements  $\mathcal{O}(100)$ ps timing between detectors
- ❑ High-efficiency and high-precision tracking  $\mathcal{O}(10^4)$  background suppression from kinematics
- ❑ High-performance particle identification system  $> 10^7$  muon rejection
- ❑ Hermetic photon-veto system  $> 10^8$  rejection of  $\pi^0$  from  $K^+ \rightarrow \pi^+ \pi^0$  decays

# NA62 DETECTOR LAYOUT



## Primary beam from SPS:

✧ 400 GeV/c protons

## Secondary hadronic beam:

✧  $K^+$  (6%)/ $p$ (23%)/ $\pi^+$ (70%)

✧ 75 GeV/c ( $\pm 1\%$ )

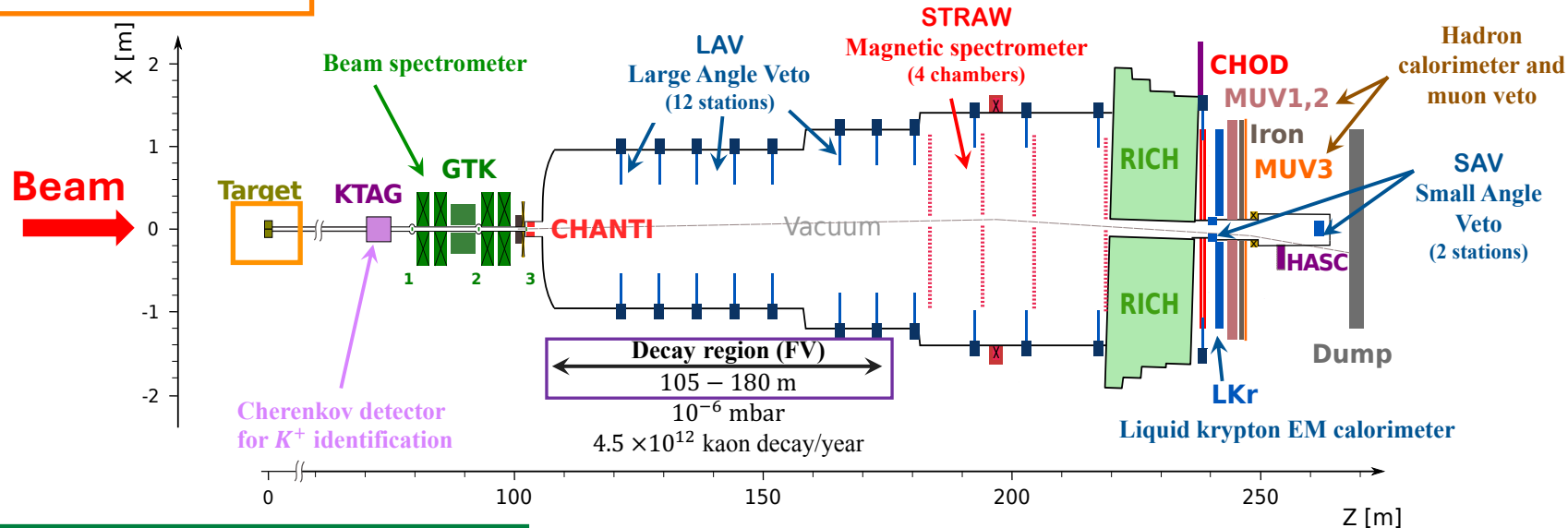
[NA62 Detector Paper, 2017 JINST 12 P05025]

Upstream detectors for  $K^+$  identification, momentum and directions

Fiducial volume 105-165 m from target  $4.5 \times 10^{12}$  kaon decays/year

Downstream detectors for  $K^+$  decay products

TOP  
view



## ✧ Kaon tagger KTAG

Cherenkov detector 70ps time resolution

## ✧ Beam spectrometer GTK

Si-pixel stations for momentum and position

## ✧ Anticounter CHANTI

veto detector

## ✧ Tracking STRAW Spectrometer 4 chambers + 1 dipole magnet

## ✧ Timing and trigger hodoscopes (CHODs)

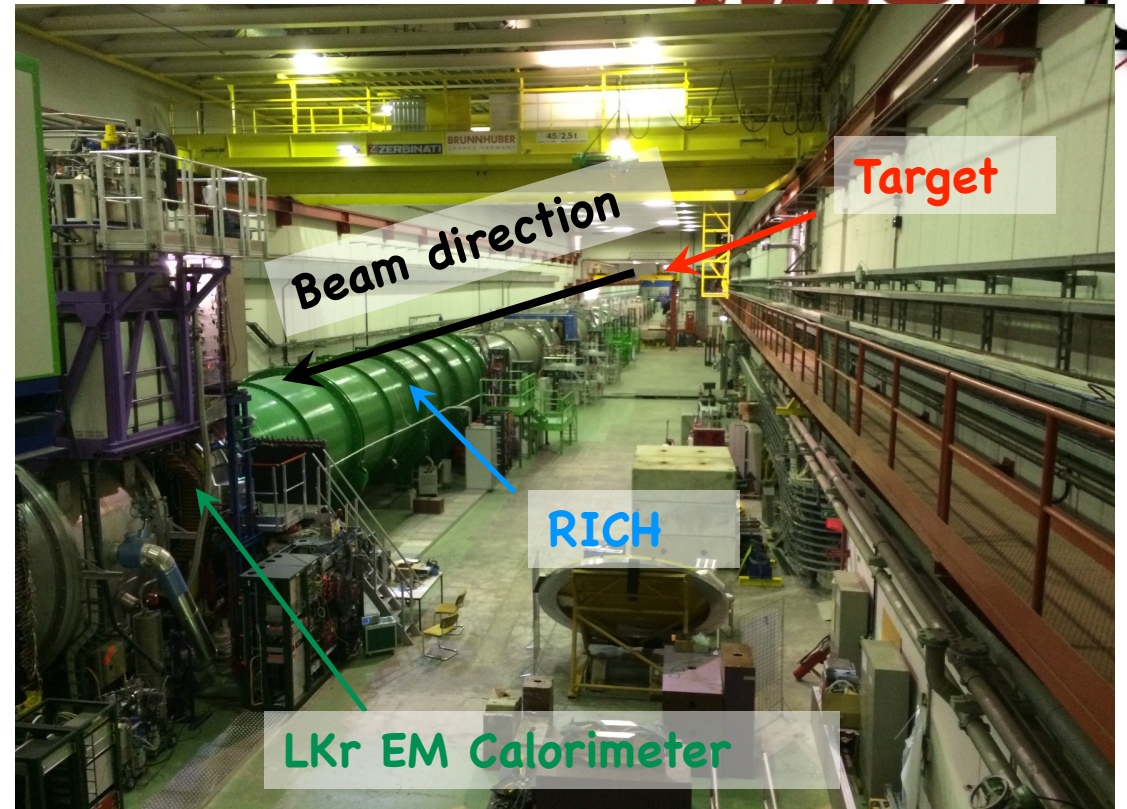
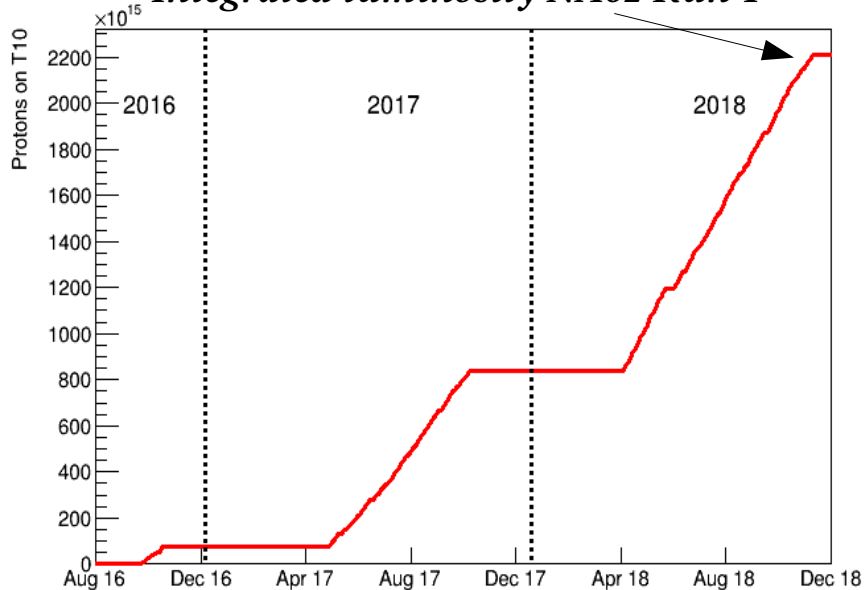
## ✧ Particle ID: RICH + Calorimeters (EM + hadron) + Muon Veto

## ✧ Photon Veto system (LKr, LAV, SAV) hermetic veto 0-50mrad

# NA62 DATA TAKING

- **Run1** 2016 (45 days), 2017 (160 days) and 2018 (217 days)  
~ $2.2 \times 10^{18}$  Proton On Target (POT) collected in Run1  
 $6 \times 10^{12}$   $K^+$  decays
- **Run2** 2021 (85 days), 2022 (215 days), 2023 (150 days),  
2024 ongoing and until LS3  
Larger  $K^+$  sample expected  
 $1.4 \times 10^{17}$  POT collected in beam dump (10 days in 2021)  
One additional week in beam dump both in 2023&2024

*Integrated luminosity NA62 Run 1*



- Dedicate trigger streams to collect both single-track and multi-track final state events, based on hardware L0 and software L1 trigger

[Performance of the NA62 Trigger System, JHEP03 (2023) 122]

# PRECISION MEASUREMENTS

Additional analyses on precision not covered here:

$$K^+ \rightarrow \pi^+ \mu^+ \mu^- \text{ [JHEP11 (2022) 011]}$$

$$K^+ \rightarrow \pi^0 e^+ \nu \gamma \text{ [JHEP09 (2023) 040]}$$



# $K^+ \rightarrow \pi^+ \gamma \gamma$

Published results in  
**Phys. Lett. B850 (2024) 138513**



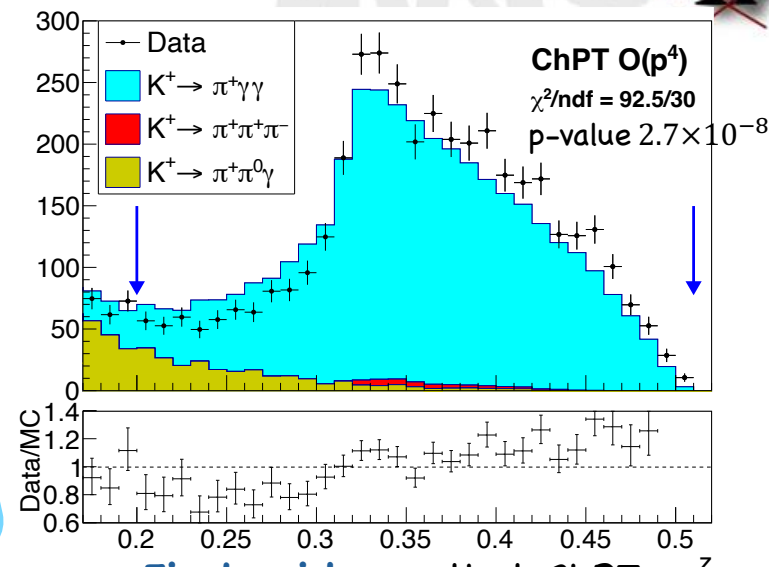
- Crucial test of Chiral Perturbation Theory (ChPT)
- **All** results so far consistent with leading order  $\mathcal{O}(p^4)$  ChPT description
- Decay rate and spectrum determined by a **single**, a priori unknown,  $\mathcal{O}(1)$  **parameter**  $\hat{c}$  [Phys. Lett. B386 (1996) 403]

- Decay described kinematic variable  $z = \frac{m_{\gamma\gamma}^2}{m_K^2}$

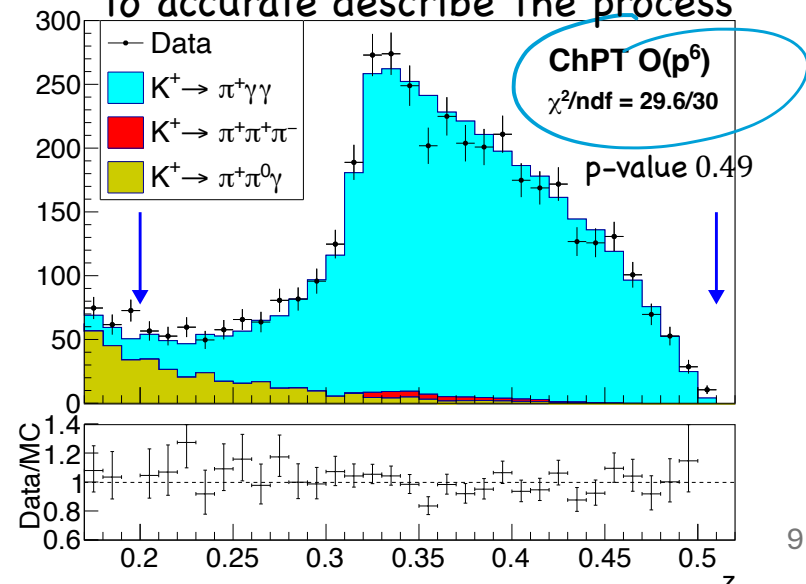
Fit to data point of  $z$  distribution to extract  $\hat{c}$  parameter

NA62 analysis details:

- ❑ Full Run1 data sets
- ❑ External parameters from [Rev. Mod. Phys. 84 (2012)][Phys. Lett. B835 (2022)]
- ❑ Normalize to  $K^+ \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \gamma \gamma$  events  $N_K \sim 5.6 \times 10^{10}$
- ❑ Analysis performed in  $z = \frac{(P_{K^+} - P_{\pi^+})^2}{m_{K^+}^2} > 0.2$  **signal region**
- ❑  $N_{K\pi\gamma\gamma}^{obs} = 3984$  ,  $N_{bkg}^{exp} = 291 \pm 14$
- ❑ Backgrounds (validate in control regions with enhanced background and check Data/MC agreement)
  - Multi- $\gamma$  with merged clusters (e.g.  $K^+ \rightarrow \pi^+ \pi^0 \gamma$ )
  - $K^+ \rightarrow \pi^+ \pi^+ \pi^-$  with 2 non reconstructed tracks



**First evidence that ChPT description at NLO  $\mathcal{O}(p^6)$  is needed to accurately describe the process**



# $K^+ \rightarrow \pi^+ \gamma\gamma$

Published results in  
**Phys. Lett. B50 (2024) 138513**



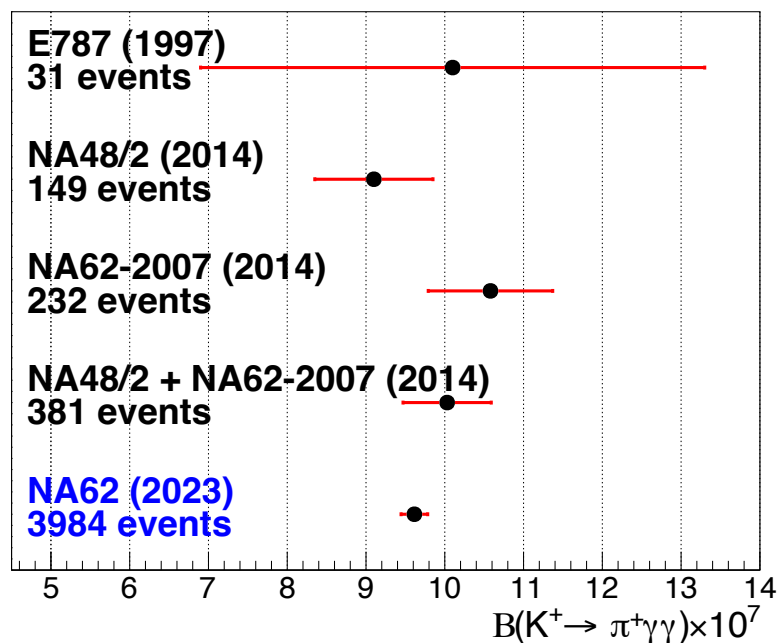
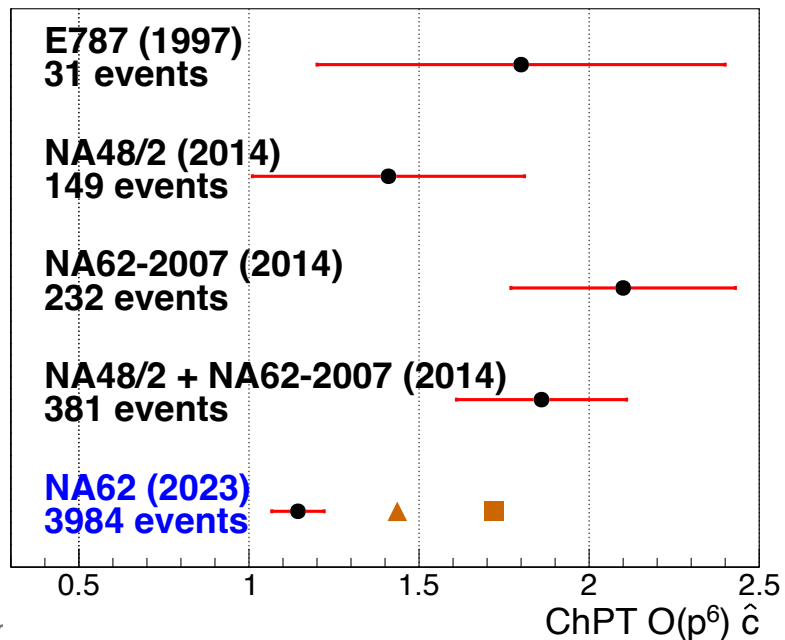
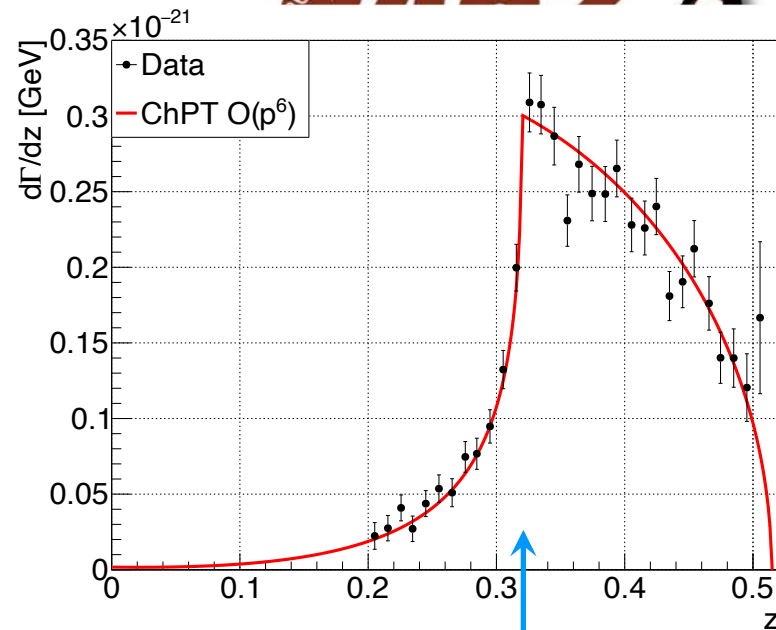
Results in the ChPT  $\mathcal{O}(p^6)$  description:

$$\hat{c}_6 = 1.144 \pm 0.077$$

$$\text{BR}_{\text{ChPT}\mathcal{O}(p^6)}(K^+ \rightarrow \pi^+ \gamma\gamma) = (9.61 \pm 0.17) \times 10^{-7}$$

Model independent branching ratio summing over  $z$  bins

$$\text{BR}_{\text{MI}}(K^+ \rightarrow \pi^+ \gamma\gamma | z > 0.2) = (9.46 \pm 0.20) \times 10^{-7}$$



Good agreement  
between model-  
independent BR  
and ChPT  
description

# $\pi^0 \rightarrow e^+ e^-$

## PRELIMINARY RESULTS



$$\text{BR}(\pi^0 \rightarrow \gamma\gamma) \approx 98.82\%$$

$$\text{BR}(\pi_D^0 \rightarrow \gamma e^+ e^-) \approx 1.17\%$$

- Measurement of a very rare decay of  $\pi^0$
- Measurement experimentally challenging due to presence of radiative photon in final state
- Important role of radiative corrections
- Observable accessible by the experiments **BR inclusive of final-state radiation**

$$\text{BR}(\pi^0 \rightarrow e^+ e^- (\gamma) \mid x > x_{cut}) \quad x = \frac{m_{ee}^2}{m_{\pi^0}^2}$$

- ❑ Dalitz decay  $\pi_D^0 \rightarrow \gamma e^+ e^-$  dominant in low- $x$  region
- ❑ For  $x > 0.95$  only  $\approx 3.3\%$  of  $\pi_D^0$  enter the  $\pi^0 \rightarrow e^+ e^- (\gamma)$  signal region

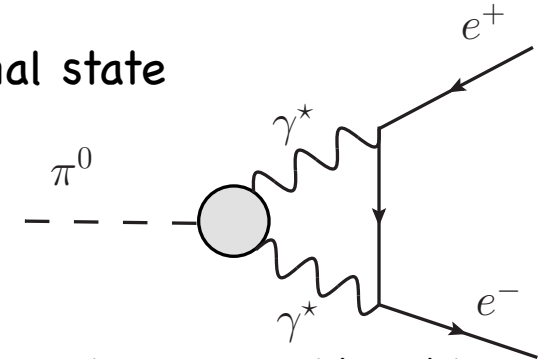


Diagram considered in theoretical predictions leading to  $\text{BR}(\pi^0 \rightarrow e^+ e^-)$ . Various  $\pi^0 \rightarrow \gamma^* \gamma^*$  transition form factors are considered

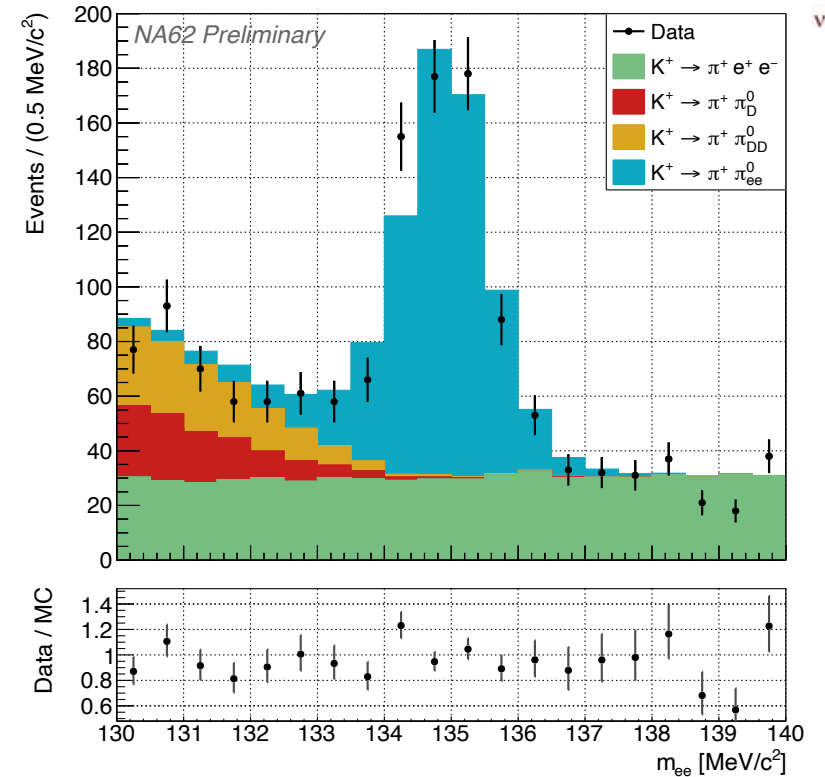
- State of the art so far (latest radiative corrections [JHEP10 (2011) 122] [Eur. Phys. J. 74 (2014) 8, 3010])

Experimental KTeV  $\text{BR}(\pi^0 \rightarrow e^+ e^-) = (6.84 \pm 0.35) \times 10^{-8}$   
 Phys. Rev. D 75 (2014) 012004

Theory  $\text{BR}(\pi^0 \rightarrow e^+ e^-) = (6.25 \pm 0.03) \times 10^{-8}$   
 Phys. Rev. Lett. 128 (2022) 112002

# $\pi^0 \rightarrow e^+ e^-$

- Large sample of  $\pi^0$  available in NA62 via  $K^+ \rightarrow \pi^+ \pi^0$  decay
- Dedicate **multi-track electron** trigger line
- Normalization  $K^+ \rightarrow \pi^+ e^+ e^-$  (same final state and trigger)
  - $N_K \sim 8.6 \times 10^{11}$
  - 3-track final state with vertex in FV
  - $m_{ee}$  130 – 140 MeV/c<sup>2</sup> for signal
  - $m_{ee}$  140 – 360 MeV/c<sup>2</sup> for normalization
- Main backgrounds:
  - ❖  $K^+ \rightarrow \pi^+ e^+ e^-$  irreducible and flat in signal region
  - ❖  $K^+ \rightarrow \pi^+ \pi_D^0 (\pi^0 \rightarrow e^+ e^- \gamma)$  irreducible at high  $x$  tail, or  $\gamma$  conversion in STRAW Spectrometer
  - ❖  $K^+ \rightarrow \pi^+ \pi_D^0 \pi_D^0$  with two undetected  $e^\pm$



- ❑ 1402 observed candidates
- ❑ Acceptance  $\sim 5.7\%$
- ❑ **Maximum likelihood fit of simulated samples to data to extract BR**
- ❑ Signal yield  $597 \pm 29$
- ❑  $\chi^2/ndf = 25.3/19$  p-value = 0.152

**$BR_{\text{preliminary}}(\pi^0 \rightarrow e^+ e^-) = (6.22 \pm 0.39) \times 10^{-8}$**

# LEPTON NUMBER AND LEPTON FLAVOR VIOLATION SEARCHES

Additional analyses on precision not covered here:

$$K^+ \rightarrow \pi^- \mu^+ \mu^+ \text{ [Phys. Lett. B797 (2019) 134794]}$$

$$K^+ \rightarrow \pi^- (\pi^0) e^+ e^+ \text{ [Phys. Lett. B830 (2022) 137172]}$$

$$K^+ \rightarrow \pi^{\mp} \mu^{\pm} e^+, \pi^0 \rightarrow \mu^- e^+ \text{ [Phys. Rev. Lett. 127 (2021) 131802]}$$

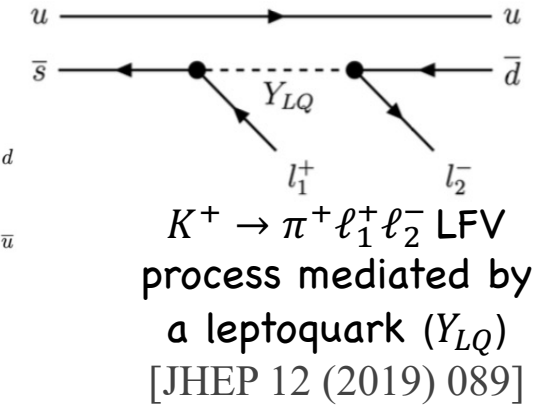
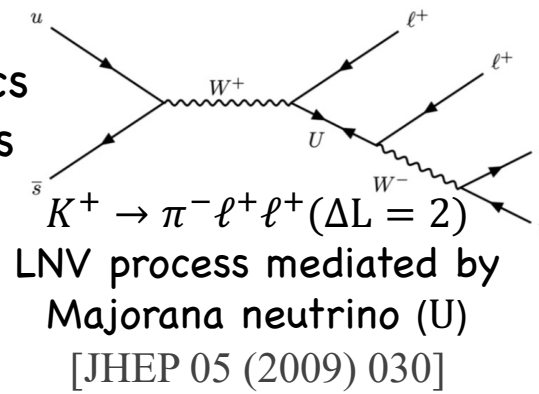
$$K^+ \rightarrow \mu^- \nu e^+ e^+ \text{ NA62Coll., Phys. Lett. B838 (2023) 137679]}$$

# $K^+ \rightarrow \pi\pi^0\mu e$

Published results in  
 arXiv:2409.12981  
 Submitted to Phys. Lett. B



- Lepton Number (LN) and Lepton Flavor (LF) conserved in SM
- Observations of LN and LF violation clear signs of New Physics
- Several scenarios for generating LNV/LFV in charged processes



- Rich programme @NA62 in search for LN and LF violation
- Dedicate multi-track trigger streams with electron and/or muon in final states
- Analysis carried on with blind principle
- World's leading sensitivity in many channels with UL  $\mathcal{O}(10^{-10})/\mathcal{O}(10^{-11})$

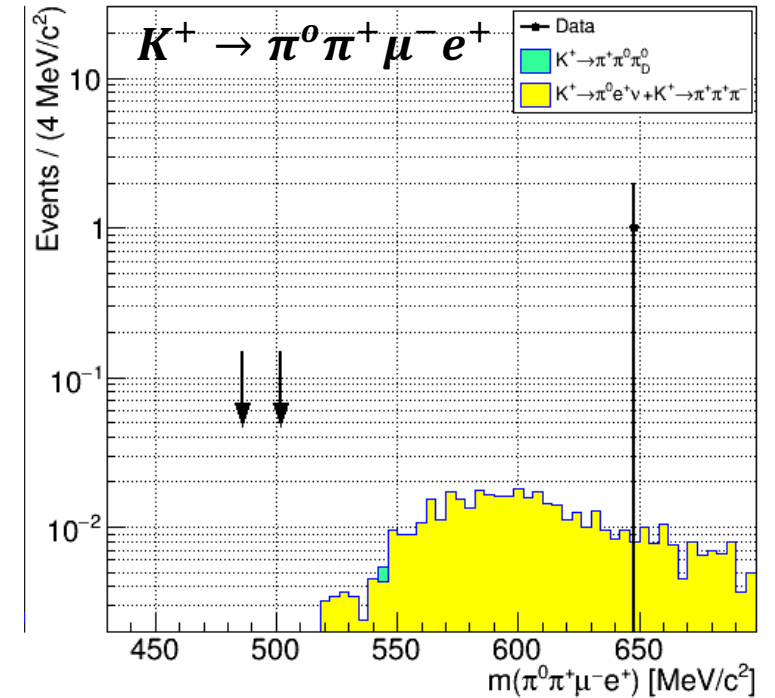
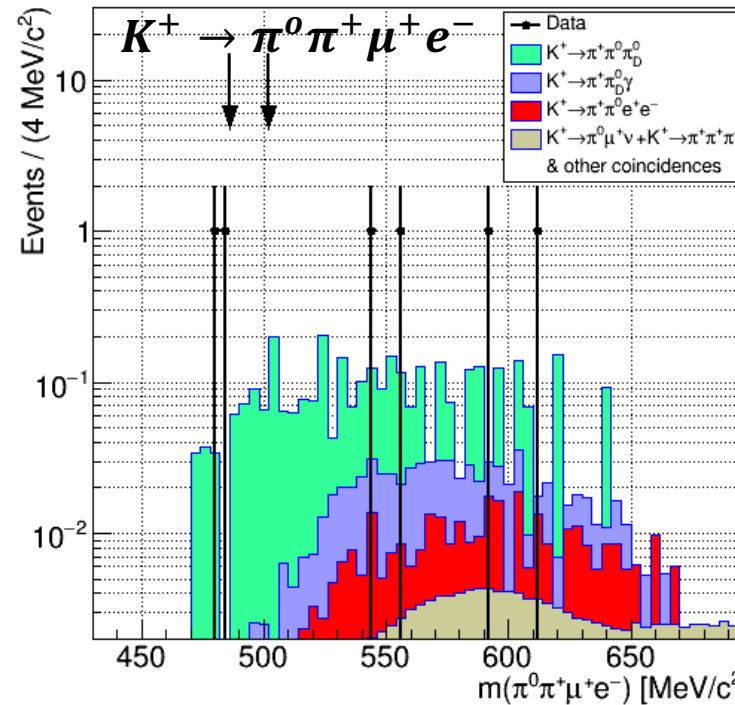
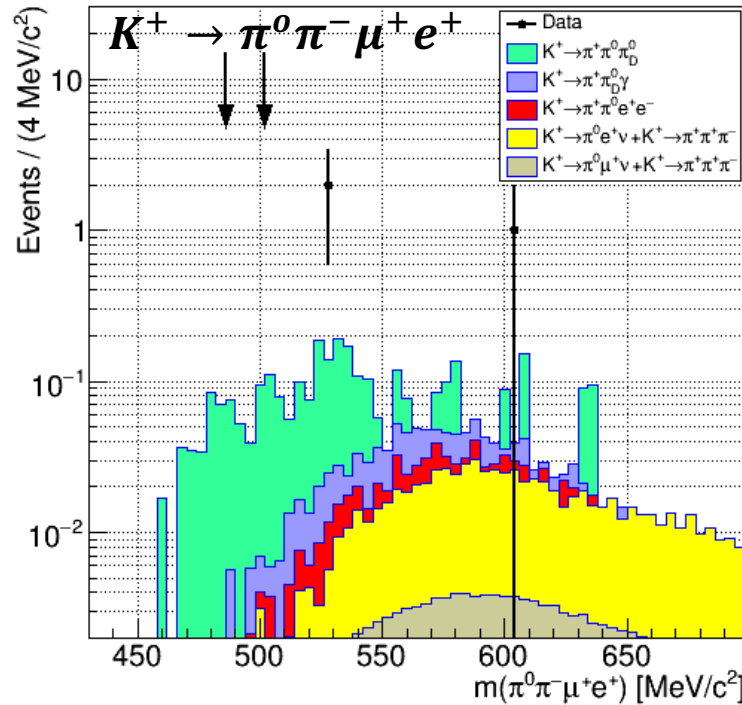
$$K^+ \rightarrow \pi^- \mu^+ \mu^+, \quad K^+ \rightarrow \pi^- (\pi^0) e^+ e^+, \quad K^+ \rightarrow \pi^\mp \mu^\pm e^+, \quad \pi^0 \rightarrow \mu^- e^+, \quad K^+ \rightarrow \mu^- \nu e^+ e^+$$

- First search for LNV decay  $K^+ \rightarrow \pi^0 \pi^- \mu^+ e^+$  and LFV decays  $K^+ \rightarrow \pi^0 \pi^+ \mu^\pm e^\mp$ 
  - ❑ Normalization channel  $K^+ \rightarrow \pi^+ e^+ e^-$  (BR  $\sim 3 \times 10^{-7}$ ) 10975 candidates selected  $\rightarrow N_K \approx 2 \times 10^{12}$
  - ❑ Full reconstruction of signal final state  $\pi^0 \rightarrow \gamma\gamma$  in EM calo, 3 charged tracks with PID  $\pi\mu e$
  - ❑ Background from single or coincidence of two  $K^+$  decays, with mis-PID and/or  $\pi$  decay-in-flight (evaluated with simulation validated in control samples)

# $K^+ \rightarrow \pi\pi^0\mu e$

Published results in  
arXiv:2409.12981

Submitted to Phys. Lett. B



**0 signal observed in the signal region  $486 < m_{\pi^0\pi\mu e} < 502 \text{ MeV}/c^2$  for all 3 investigated**

Channel	Expected Background	Mechanism	UL on BR @ 90% CL
$K^+ \rightarrow \pi^0\pi^-\mu^+e^+$	$0.33 \pm 0.07$	$K^+ \rightarrow \pi^+\pi^0\pi_D^0$ with soft $\gamma$ undetected, $\pi^+ \rightarrow \mu^+\nu$ DIF and $e \rightarrow \pi$ mis-ID	$2.9 \times 10^{-10}$
$K^+ \rightarrow \pi^0\pi^+\mu^+e^-$	$0.29 \pm 0.07$		$5.0 \times 10^{-10}$
$K^+ \rightarrow \pi^0\pi^+\mu^-e^+$	$0.004 \pm 0.003$	$K^+ \rightarrow \pi^0e^+\nu + K^+ \rightarrow \pi^+\pi^+\pi^-$ with $\pi^- \rightarrow \mu^-\nu$ DIF and 1 $\pi^+$ undetected	$3.1 \times 10^{-10}$

# HIDDEN SECTOR SEARCHES

Additional analyses on hidden sector not covered here:

$K^+ \rightarrow \pi^+ X X (X \rightarrow e^+ e^-, X \rightarrow e^+ e^-)$  [Phys. Lett. B 846 (2023) 138193]

$K^+ \rightarrow e^+ N$  [Phys. Lett. B 807 (2020) 135599]

$K^+ \rightarrow \mu^+ N$  [Phys. Lett. B 816 (2021) 136259]

$K^+ \rightarrow \mu^+ \nu X, K^+ \rightarrow \mu^+ \nu \bar{\nu}$  [Phys. Lett. B 816 (2021) 136259]

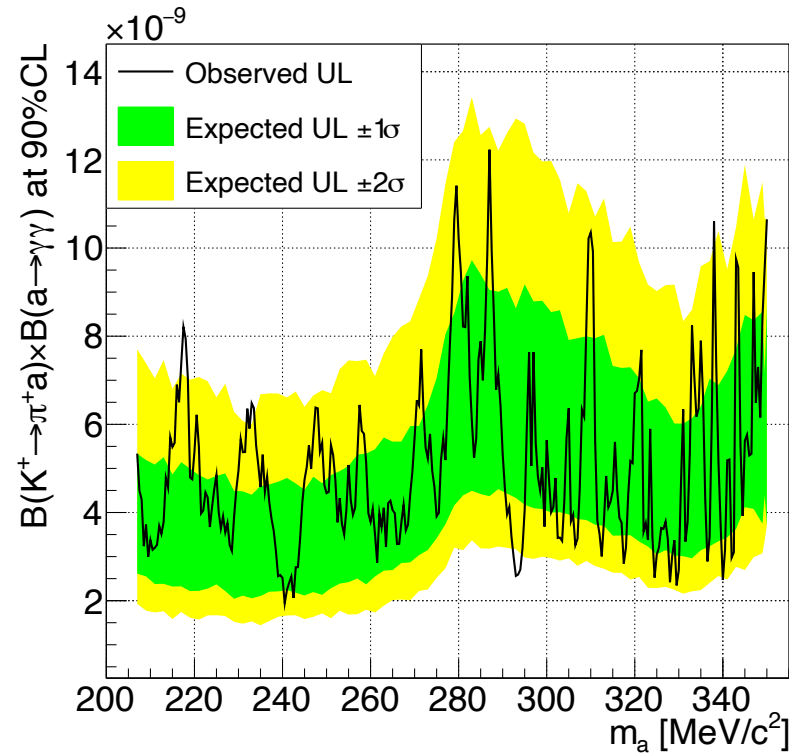


# $K^+ \rightarrow \pi^+ X$

Published results in  
**Phys. Lett. B850 (2024) 138513**



- Axion-like particle (ALP) search in  $a \rightarrow \gamma\gamma$  channel (dominant final state for  $m_a < 3m_\pi$ )
- **Direct by product of  $K^+ \rightarrow \pi^+ \gamma\gamma$  analysis**



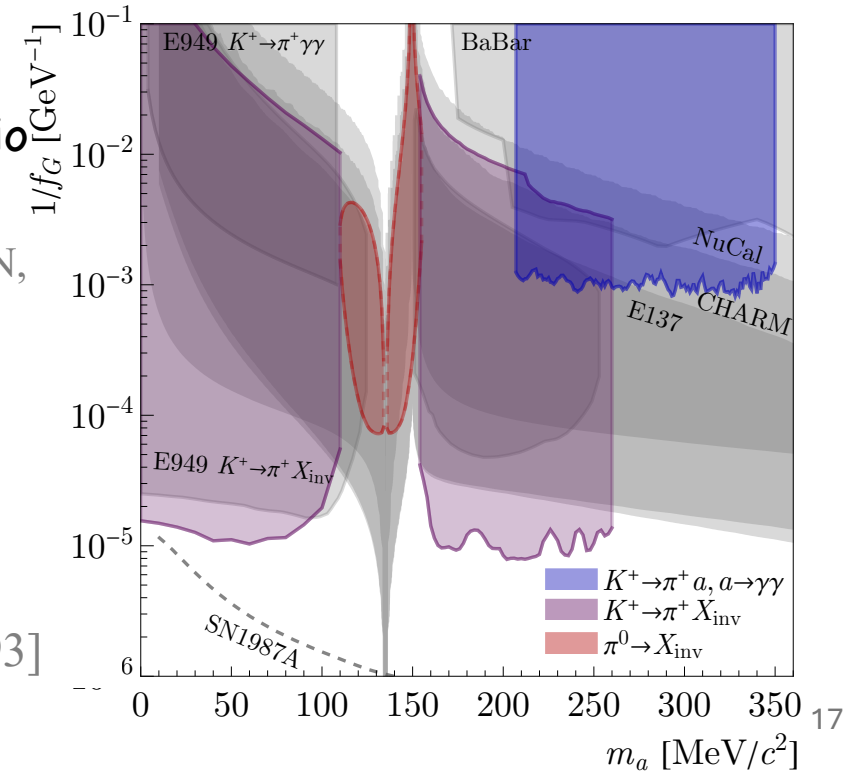
- Peak search over  $m_a = \sqrt{(P_K - P_\pi)^2}$  in the range **207 – 350 MeV/c<sup>2</sup>** in step of 0.5 MeV/c<sup>2</sup>
- In each mass hyp. background estimation with simulations
- **UL** on BR( $K^+ \rightarrow \pi^+ a$ ) assuming **prompt**  $a \rightarrow \gamma\gamma$  ( $\tau_a = 0$ )
- Sensitivity limited by  $K^+ \rightarrow \pi^+ \gamma\gamma$  background

Results interpreted in the **BC11** scenario  
**ALP with dominant gluon coupling**

[Physics Beyond Collider project at CERN,  
 J. Phys. G47 (2020) 010501]

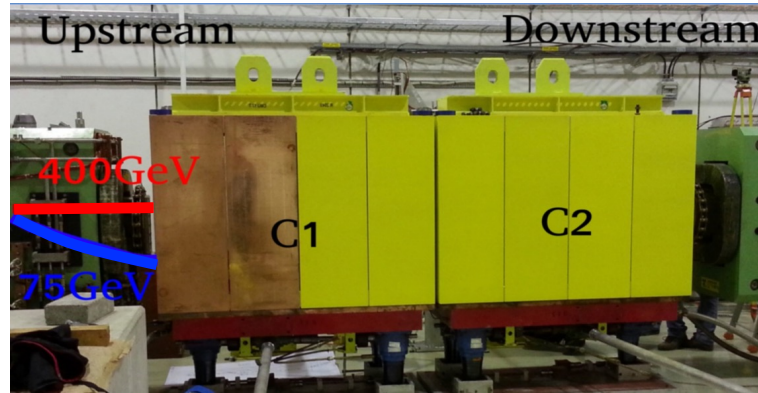
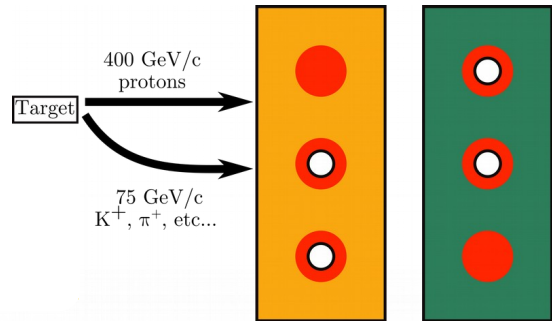
Exclusion limits in the parameter  
 space coupling  $vs$  mass  
 together with NA62 results for  
 invisible  $a$  decays

[JHEP02 (2021) 201][JHEP06 (2021) 93]



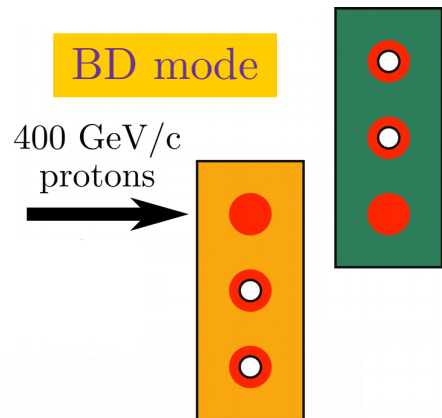
# NA62 IN BEAM DUMP CONFIGURATION

Normal data taking with Be target in place

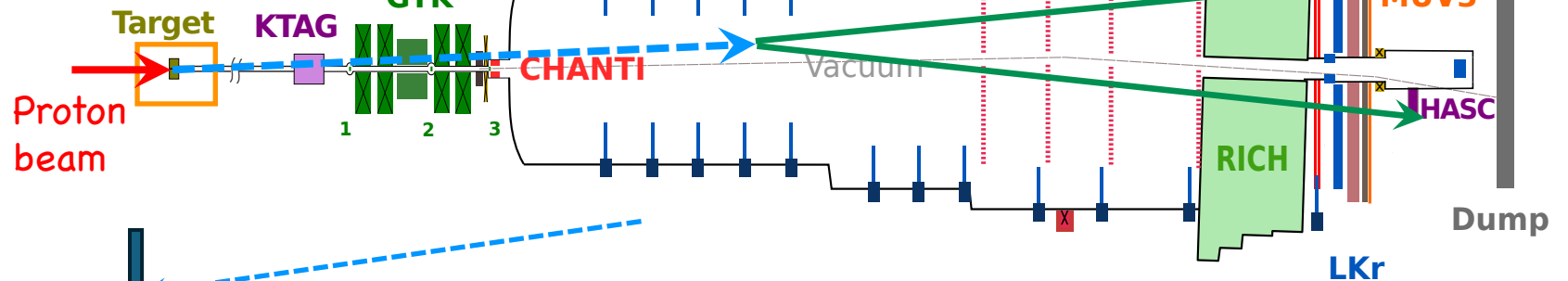


- 3.2m long Cu-Fe collimator (TAX)
- 19.6 interaction length
- $\times 1.5$  nominal proton intensity wrt kaon mode
- $1.4 \times 10^{17}$  POT collected and analyzed in 2021
- additional data collected in 2023-2024
- aim at  $10^{18}$  POT before end of Run2

Beam Dump mode  
Be target lifted and Tax collimator closed



Target removed and TAX closed



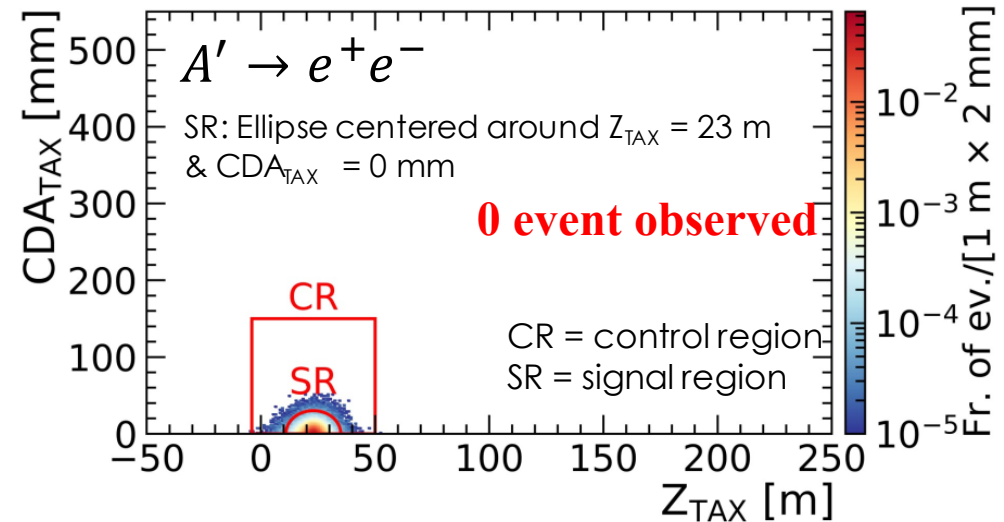
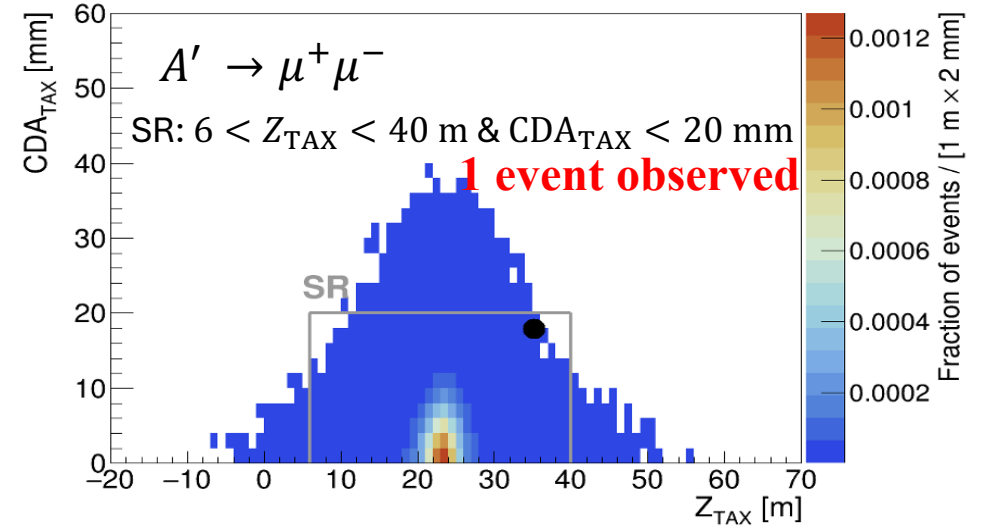
- Signal region of the search define in the plane
  - $Z_{TAX}$  longitudinal position primary vertex
  - $CDA_{TAX}$  closest distance of approach between beam direction (at TAX entrance) and track pair direction

$$A' \rightarrow \ell^+ \ell^-$$

Published results in  
**Phys. Rev. Lett. 133 (2024) 111802**  
**JHEP 09 (2023)**



- SM extension via vector portal **Dark Photon**  $A'$
- New vector field feebly interacting with SM particles
- **Free parameters of the model**  $m_{A'}$  and kinetic coupling  $\varepsilon$
  
- Production mechanism
  - ❑ Bremsstrahlung:  $\gamma^* p \rightarrow A' p'$   
virtual photon exchange between proton and nucleus
  - ❑ Meson decay:  
 $P \rightarrow \gamma A', V \rightarrow P A'$  with  $V = \{\rho, \omega, \phi\}$  and  $P = \{\pi^0, \eta, \eta'\}$
  
- **Dominant decay in  $\ell\bar{\ell}$  pair for  $m_{A'} < 700 \text{ MeV}/c^2$**   
 $e^+e^-$  and  $\mu^+\mu^-$  channels investigated
  
- **Blind technique**
- **Backgrounds data-driven and simulation**
  - ❑ Combinatorial dominant for  $\mu$  channel  
random superposition of 2 uncorrelated halo  $\mu$
  - ❑ Prompt dominant for  $e$  channel  
secondary particles from  $\mu$ -halo interaction with material upstream or inside decay volume



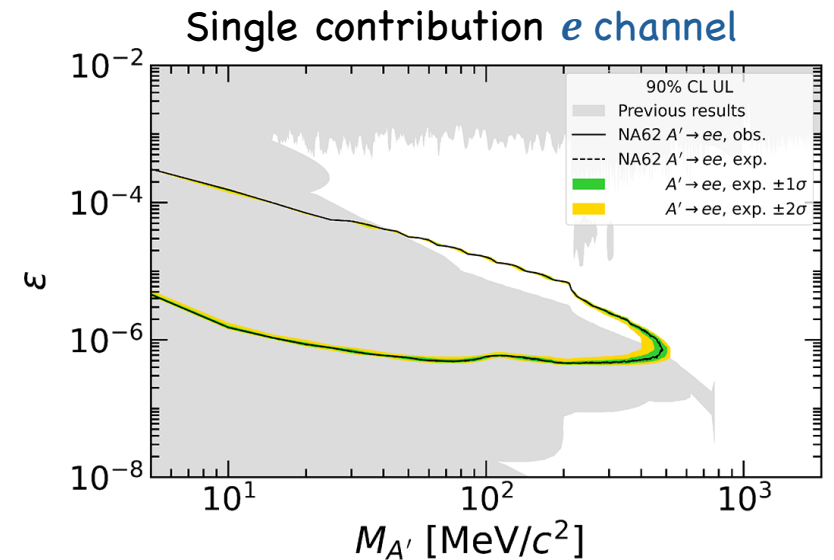
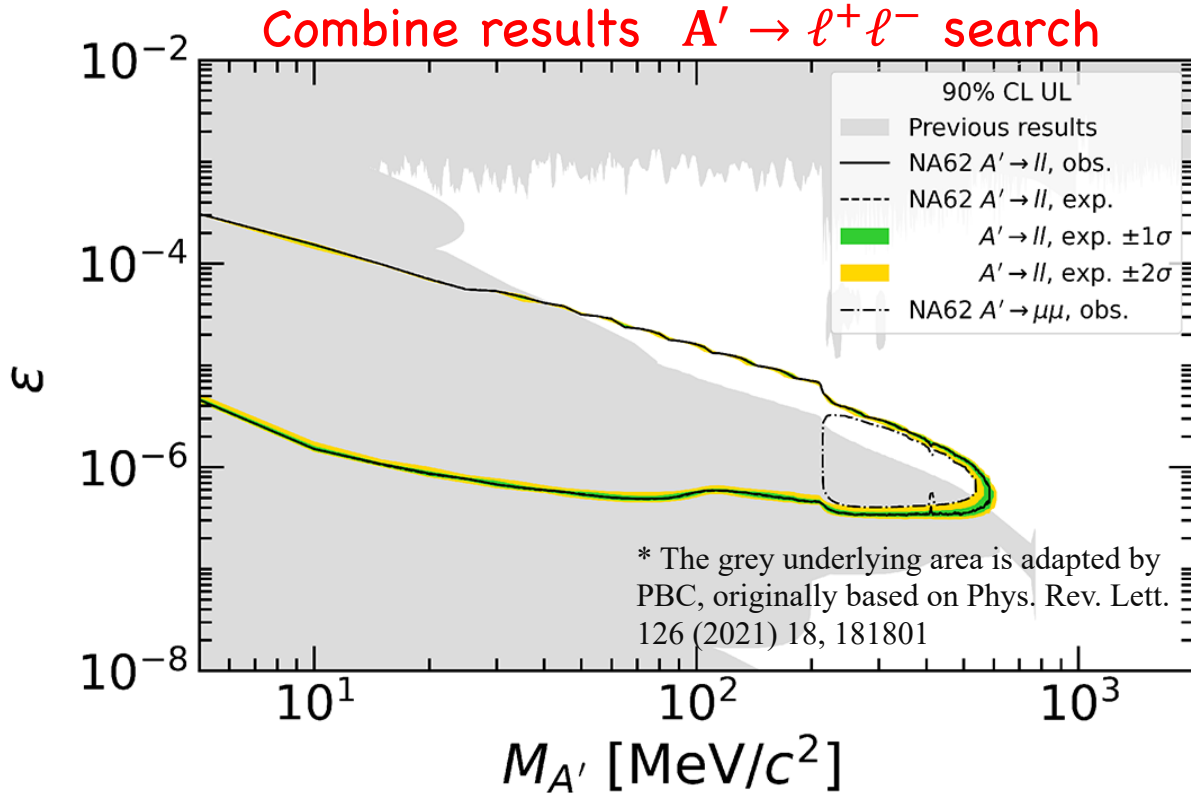
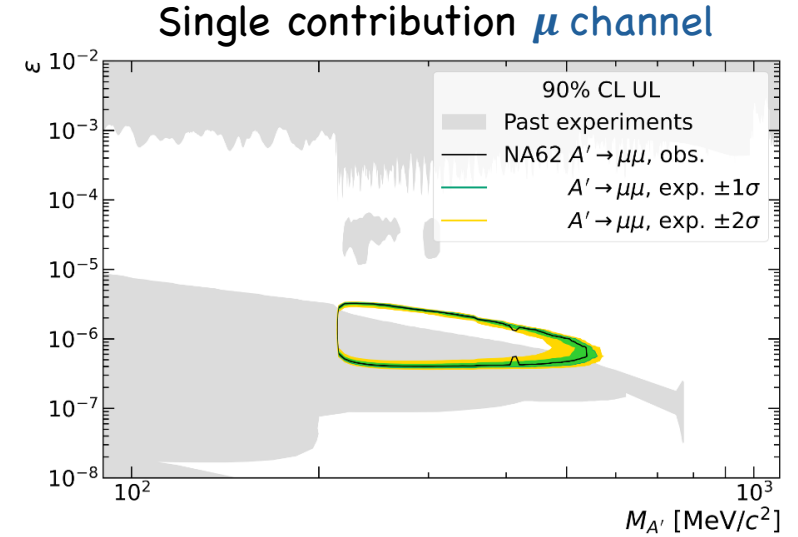
$$A' \rightarrow \ell^+ \ell^-$$

Published results in  
 Phys. Rev. Lett. 133 (2024) 111802  
 JHEP 09 (2023)



- Exclusion limit at 90% CL in the parameter space coupling  $\varepsilon$  vs  $m_{A'}$
- Region enclosed by the contour is excluded
- Mass region  $50 < m_{A'} < 600 \text{ MeV}/c^2$

(In published papers also ALP scenario is presented)



# $X \rightarrow \text{hadrons}$

- New Physics search with hadron final state
- Dark Photon
  - ❑ Bremsstrahlung:  $\gamma^* p \rightarrow A' p'$   
virtual photon exchange between proton and nucleus
  - ❑ Meson decays:  
 $P \rightarrow \gamma A', V \rightarrow PA'$  with  $V = \{\rho, \omega, \phi\}$  and  $P = \{\pi^0, \eta, \eta'\}$
- Dark Scalar
  - ❑ B meson decays:  $B^{\pm,0} \rightarrow K^{\pm,0,(*)} S$
- ALP
  - ❑ Primakoff (on-/off-shell)
  - ❑ Mixing with pseudoscalar mesons  $P = \{\pi^0, \eta, \eta'\}$
  - ❑ B meson decays:  $B^{\pm,0} \rightarrow K^{\pm,0,(*)} a$

Proven that searches are **background free** not only at  $N_{\text{POT}} = 1.4 \times 10^{17}$  but also in the future **full Run2** beam dump dataset of  $N_{\text{POT}} = 10^{18}$

Particle	Final State
Dark Photon $A'$	$\pi^+ \pi^-, \pi^+ \pi^- \pi^0, \pi^+ \pi^- \pi^0 \pi^0, K^+ K^-, K^+ K^- \pi^0$
Dark Scalar $S$	$\pi^+ \pi^-, \pi^+ \pi^- \pi^0 \pi^0, K^+ K^-$
ALP $a$	$\pi^+ \pi^- \gamma, \pi^+ \pi^- \pi^0, \pi^+ \pi^- \pi^0 \pi^0, \pi^+ \pi^- \eta, K^+ K^- \pi^0$

Altogether **36** combinations of production and decay channels studied

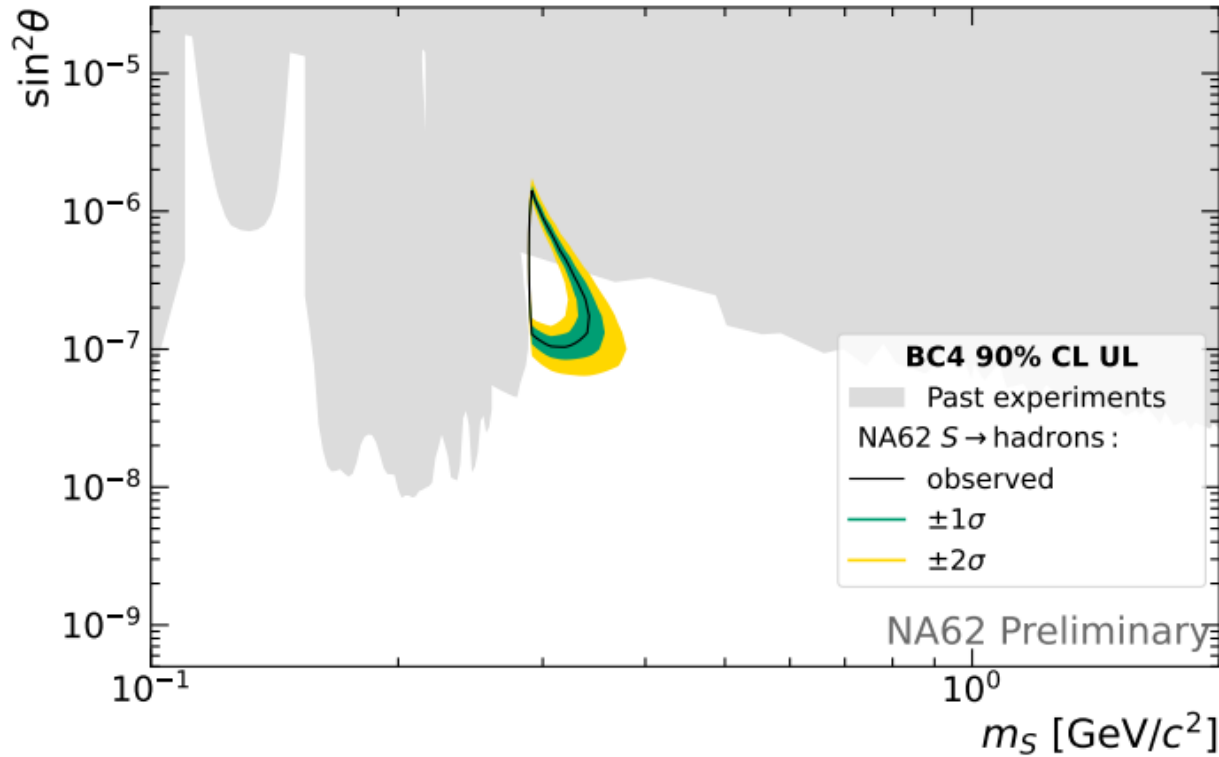
- ❖ 2 charged hadrons in the final state
- ❖ full PID (calorimeter and RICH)
- ❖ neutral cluster in EM calorimeter to reconstruct  $\gamma, \pi^0$  and  $\eta$
- ❖ Vertex in FV and pointing back to TAX

# $X \rightarrow \text{hadrons}$

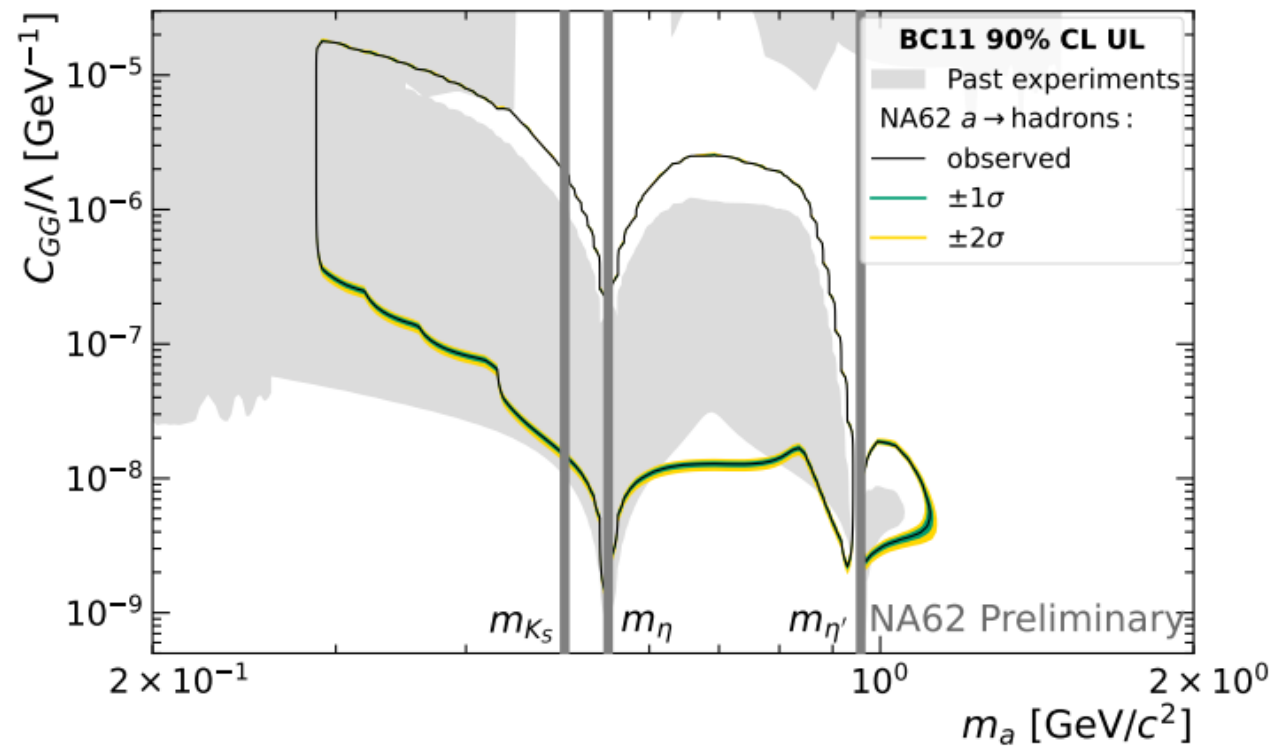
PRELIMINARY RESULTS



Dark Scalar exclusion limit



ALP exclusion limit



- 0 events observed in all control and signal regions
- Exclusion limit at 90% CL set on the parameter space coupling  $\nu$  vs mass

Combination of all 36 productions/decay modes made through ALPINIST

# CONCLUSIONS

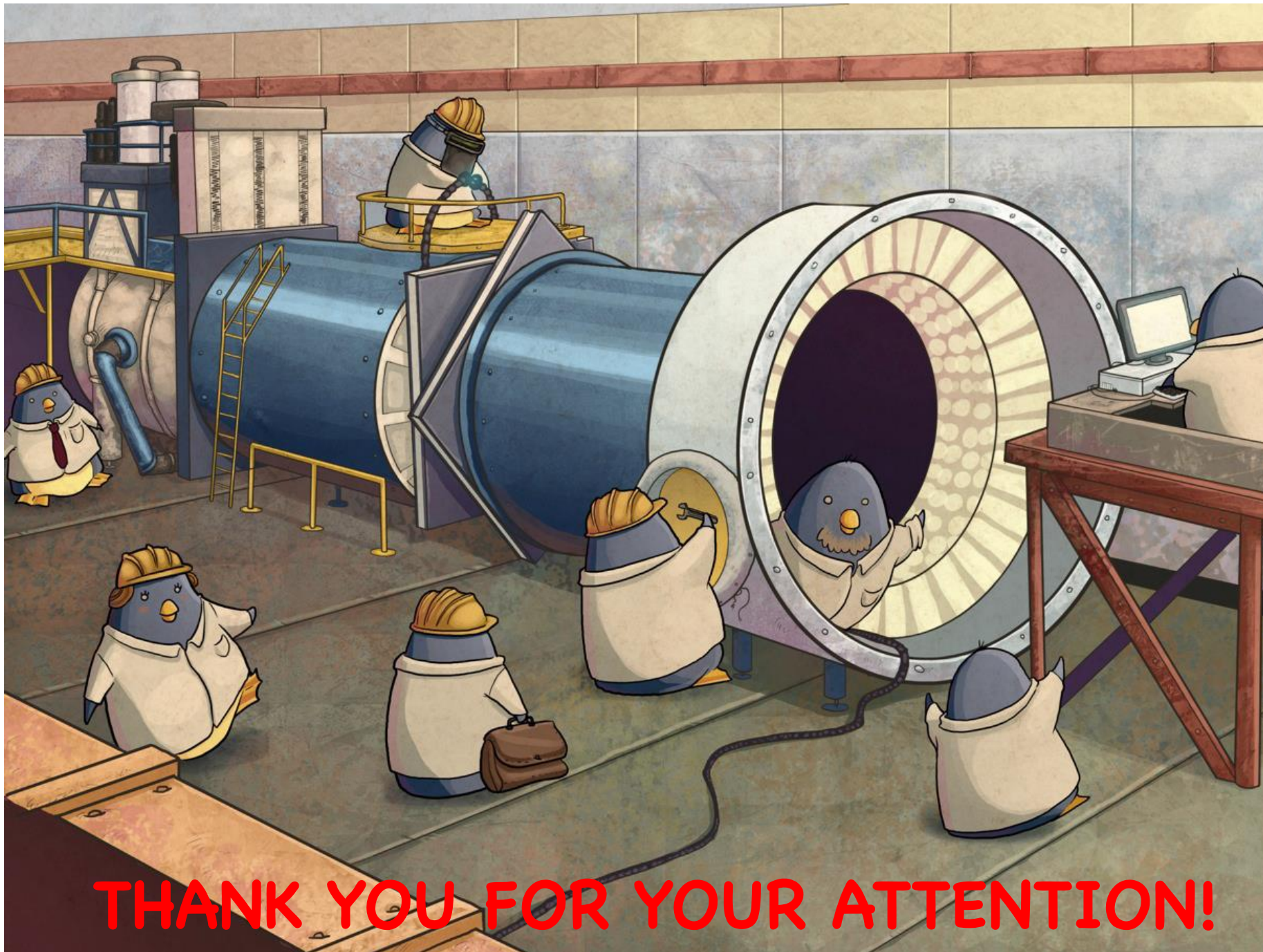


- **NA62 successfully completed Run1 (2016–2018)**
- **Run2 data taking currently ongoing (from 2021 until LS3 at CERN)**
- **Rich physics programme to test the Standard Model and search for New Physics**
  - ❑ Precision measurement in kaon and pion decays
$$K^+ \rightarrow \pi^+ \gamma \gamma \text{ and } \pi^0 \rightarrow e^+ e^-$$
  - ❑ Lepton Number and Flavor Violation decays
$$K^+ \rightarrow \pi^0 \pi \mu e$$
  - ❑ Hidden Sector with kaons
$$K^+ \rightarrow \pi^+ X (X \rightarrow \gamma \gamma)$$
  - ❑ Hidden Sector in dump mode
$$A' \rightarrow \ell^+ \ell^-, a \rightarrow \ell^+ \ell^- \text{ and } X \rightarrow \text{hadrons} (X = A', S, a)$$

**Many other analyses ongoing...**

**...and many new data are ready to be analyzed...**

**... and to be collected until LS3!**



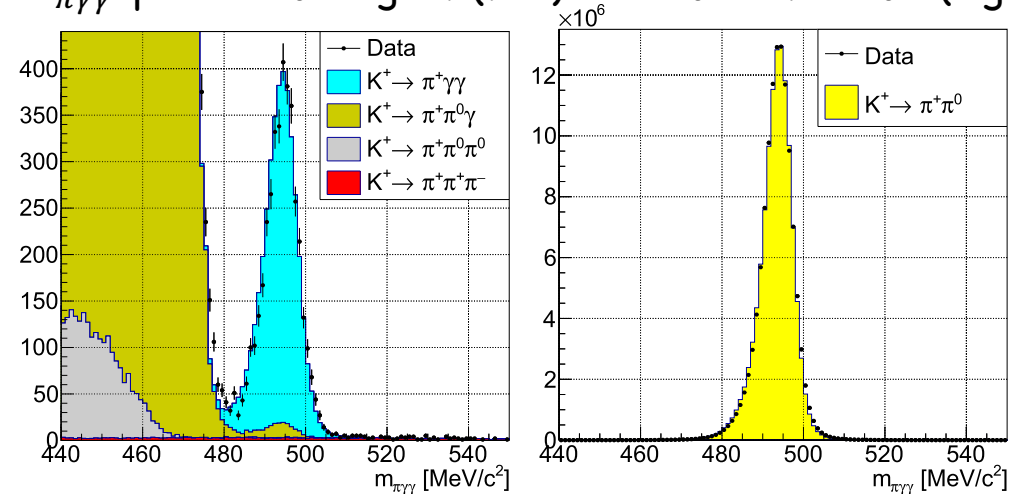
**THANK YOU FOR YOUR ATTENTION!**



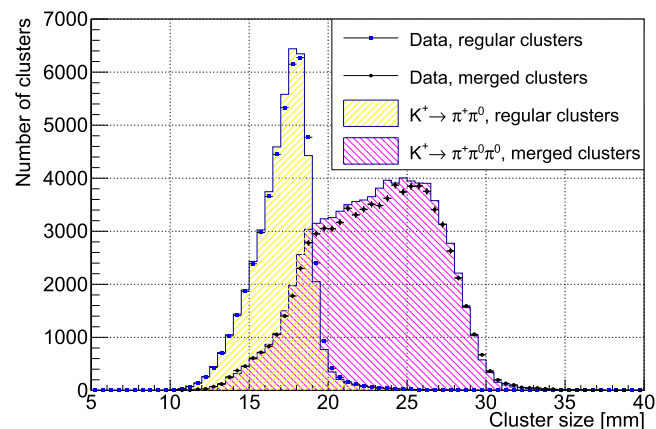
# BACKUP SLIDES

# $K^+ \rightarrow \pi^+ \gamma \gamma$

$m_{\pi\gamma\gamma}$  spectra for signal (left) and normalization (right)



- ❑ Background contamination in normalization  $< 10^{-5}$
- ❑ Select event in  $440 < m_{\pi\gamma\gamma} < 550 \text{ MeV}/c^2$
- ❑ Normalization  $z \in [0.04, 0.12]$
- ❑ Signal  $z \in [0.20, 0.51]$



Cluster size cut against multi-photon background with merged clusters in EM calorimeter

Published results in  
Phys. Lett. B850 (2024) 138513



$$\frac{\partial^2 \Gamma}{\partial y \partial z} = \frac{m_K}{2^9 \pi^3} \left[ z^2 (|A(\hat{c}, z, y^2) + B(z)|^2 + |C(z)|^2) + \left( y^2 - \frac{1}{4} \lambda(1, r_\pi^2, z) \right)^2 |B(z)|^2 \right]$$

Decay rate parametrization in ChPT framework from  
[G. D'Amborsio, J. Portolés, Phys. Lett. B386 (1996) 403]

Parameter	E787 [4]	NA48/2, NA62 [5,6]	This measurement
$G_8 m_K^2 \times 10^6$	2.24	2.202	2.202
$\alpha_1 \times 10^8$	91.71	93.16	92.80
$\alpha_3 \times 10^8$	-7.36	-7.62	-7.45
$\beta_1 \times 10^8$	-25.68	-27.06	-26.46
$\beta_3 \times 10^8$	-2.43	-2.22	-2.50
$\gamma_3 \times 10^8$	2.26	2.95	2.78
$\xi_1 \times 10^8$	-0.47	-0.40	-0.11
$\xi_1 \times 10^8$	-1.51	-1.83	-1.20
$\eta_i (i = 1, 2, 3)$	0	0	0

External parameters used in this analysis and in previous analysis

- [4] [Phys. Rev. Lett. 79 (1997) 4079]
- [5] [Phys. Lett. B730 (2014) 141]
- [6] [Phys. Lett. B732 (2014) 65]

Estimated background contributions in the  $K_{\pi\gamma\gamma}$  sample.

Source	Estimated background
$K^+ \rightarrow \pi^+ \pi^0 \gamma$	$240 \pm 8_{\text{stat}} \pm 12_{\text{syst}}$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$35 \pm 1_{\text{stat}} \pm 1_{\text{syst}}$
$K^+ \rightarrow \pi^+ \pi^0 \pi^0$	$9 \pm 2_{\text{stat}}$
$K^+ \rightarrow \pi^0 e^+ \nu(\gamma)$	$7 \pm 1_{\text{stat}}$
Total	$291 \pm 8_{\text{stat}} \pm 12_{\text{syst}}$

Background contributions

Systematics sources

Systematic uncertainties for  $\hat{c}$ ,  $B$  and  $B_{\text{MI}}(z > 0.2)$  measurements.

Source	$\delta \hat{c}$	$\delta B \times 10^7$	$\delta B_{\text{MI}}(z > 0.2) \times 10^7$
Number of kaon decays	0.026	0.056	0.064
Simulation of multi-photon backgrounds	0.016	0.034	0.026
Simulation of $K_{3\pi}$ background	0.001	0.002	0.003
Limited size of simulated samples	0.014	0.030	0.018
Total	0.034	0.072	0.072

# $\pi^0 \rightarrow e^+ e^-$

PRELIMINARY RESULTS



Previous best measurement by KTeV

$$BR_{\text{KTeV}}(\pi^0 \rightarrow e^+ e^- (\gamma) | x > 0.95) = (6.44 \pm 0.25 \pm 0.22) \times 10^{-8}$$

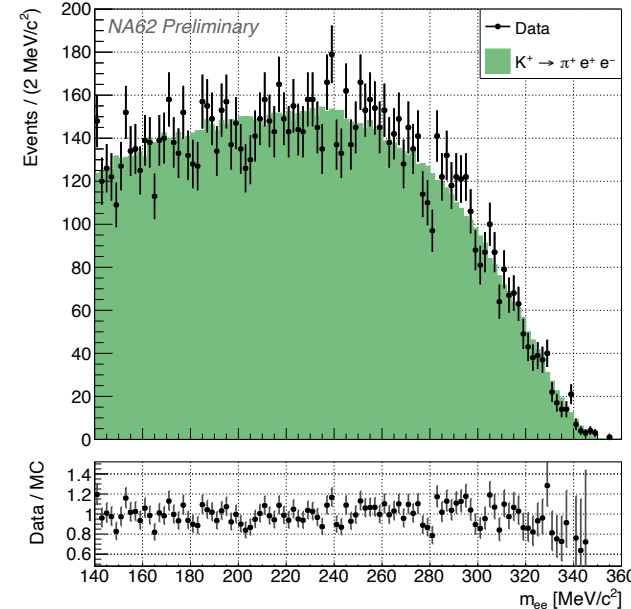
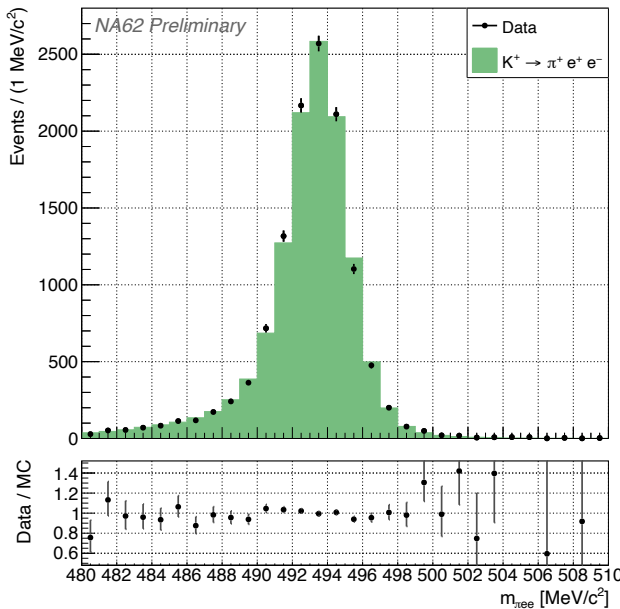
794 signal candidates with  $52.7 \pm 11.2$  background events

[Phys. Rev. D75 (2007) 012004]

	$BR(\pi^0 \rightarrow e^+ e^-)$
KTeV, PRD 75 (2007) 012004	$(6.84 \pm 0.35) \times 10^8$
Knecht et al., PRL 83 (1999)	$(6.2 \pm 0.3) \times 10^8$
Dorokhov and Ivanov, PRD 75 (2007)	$(6.23 \pm 0.09) \times 10^8$
Husek and Leupold, EPJ C 75 (2015)	$(6.12 \pm 0.06) \times 10^8$
Hoferichter et al., PRL 128 (2022)	$(6.25 \pm 0.03) \times 10^8$

$m_{\pi ee}$  spectra

$m_{ee}$  spectra for normalization



$$BR(\pi^0 \rightarrow e^+ e^- | x > 0.95) = (5.86 \pm 0.30_{\text{stat.}} \pm 0.11_{\text{syst.}} \pm 0.19_{\text{ext.}}) \times 10^{-8}$$

$$= (5.86 \pm 0.37) \times 10^{-8}$$

$$BR(\pi^0 \rightarrow e^+ e^-) = (6.22 \pm 0.39) \times 10^{-8}$$

12160 candidates for normalization

Acceptance  $\sim 4.7\%$

Background  $< 1\%$

$N_K$  dominated by external uncertainty from  $BR$

NA62

preliminary

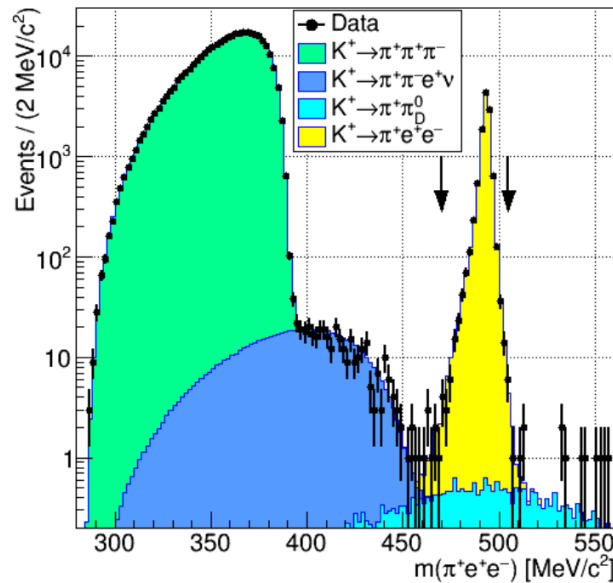
result:

uncertainty

contributions

	$\delta B [10^{-8}]$	$\delta B/B [\%]$
Statistical uncertainty	0.30	5.1
Total external uncertainty	0.19	3.2
Total systematic uncertainty	0.11	1.9
Trigger efficiency	0.07	1.2
Radiative corrections for $\pi^0 \rightarrow e^+ e^-$	0.05	0.9
Background	0.04	0.7
Reconstruction and particle identification	0.04	0.7
Beam simulation	0.03	0.5

# $K^+ \rightarrow \pi\pi^0\mu e$



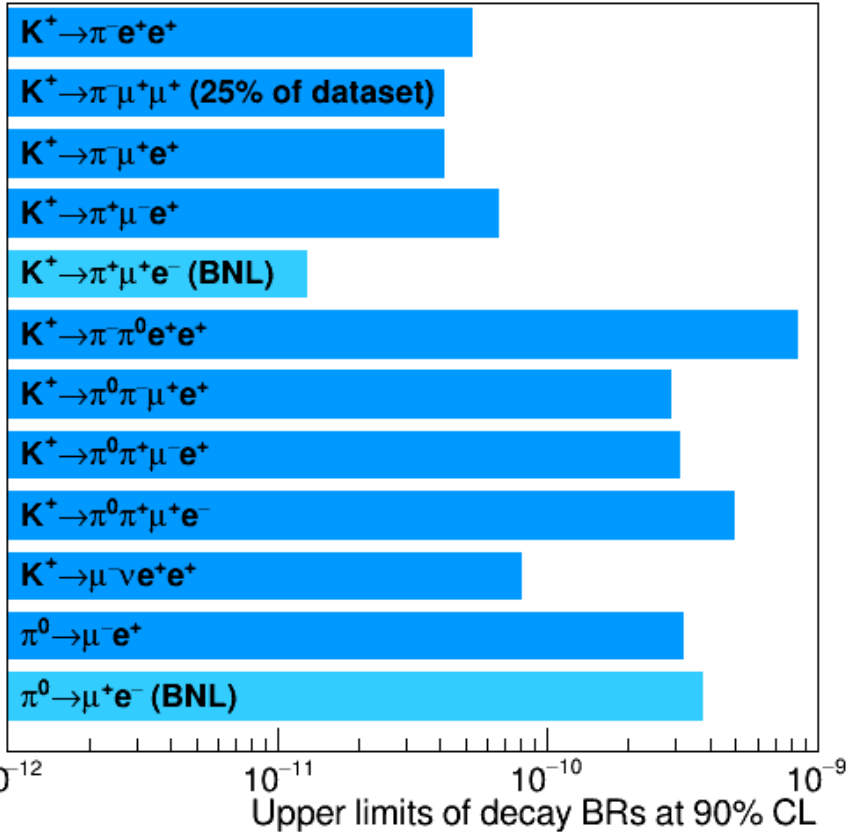
- ❑ Normalization channel  $K^+ \rightarrow \pi^+e^+e^-$
- ❑ Similar final state (3 tracks with one pion and one electron)
- ❑ Same trigger stream
- ❑ Close decay
- ❑  $470 < m_{\pi ee} < 505 \text{ MeV}/c^2$
- ❑ 10975 candidate observed
- ❑ Background contamination  $\mathcal{O}(10^{-3})$

Background source	$\mathcal{B}$	$\pi^0\pi^-\mu^+e^+$	$\pi^0\pi^+\mu^-e^+$	$\pi^0\pi^+\mu^+e^-$
$K^+ \rightarrow \pi^+\pi^0\pi_D^0$	$4.1 \times 10^{-4}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$e^+ \rightarrow \pi^+$ or $e^+ \rightarrow \mu^+$
$K^+ \rightarrow \pi^+\pi_D^0\gamma (E_\gamma^* > 10 \text{ MeV})$	$7.8 \times 10^{-6}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$e^+ \rightarrow \pi^+$ or $e^+ \rightarrow \mu^+$
$K^+ \rightarrow \pi^+\pi^0e^+e^-$	$4.2 \times 10^{-6}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$e^+ \rightarrow \pi^+$ or $e^+ \rightarrow \mu^+$
$K^+ \rightarrow \pi_D^0\mu^+\nu\gamma (E_\gamma^* > 10 \text{ MeV})$	$7.4 \times 10^{-7}$	$e^- \rightarrow \pi^-$	-	$e^+ \rightarrow \pi^+$
$K^+ \rightarrow \pi^0\pi_D^0\mu^+\nu$	$7.9 \times 10^{-8}$	$e^- \rightarrow \pi^-$	-	$e^+ \rightarrow \pi^+$
$K^+ \rightarrow \pi^+\pi^0\pi^0, \pi^0 \rightarrow e^+e^-$	$2.6 \times 10^{-9}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$e^+ \rightarrow \pi^+$ or $e^+ \rightarrow \mu^+$
$K^+ \rightarrow \pi^+\pi^0 + K^+ \rightarrow \pi^+\pi^+\pi^-$	$1.1 \times 10^{-2}$	$\pi^+ \rightarrow e^+$	$\pi^+ \rightarrow e^+$	$\pi^- \rightarrow e^-$
$K^+ \rightarrow \pi^+\pi^0\pi^0 + K^+ \rightarrow \pi^+\pi^+\pi^-$	$1.0 \times 10^{-3}$	$\pi^+ \rightarrow e^+$	$\pi^+ \rightarrow e^+$	$\pi^- \rightarrow e^-$
$K^+ \rightarrow \pi^0e^+\nu + K^+ \rightarrow \pi^+\pi^+\pi^-$	$2.8 \times 10^{-3}$	$\pi^+$ DIF	$\pi^-$ DIF	$\pi^- \rightarrow e^-$
$K^+ \rightarrow \pi^0\mu^+\nu + K^+ \rightarrow \pi^+\pi^+\pi^-$	$1.8 \times 10^{-3}$	$\pi^+ \rightarrow e^+$	$\pi^+ \rightarrow e^+$	$\pi^- \rightarrow e^-$
$K^+ \rightarrow \pi^+\pi_D^0 + K^+ \rightarrow \mu^+\nu$	$1.5 \times 10^{-3}$	-	-	$e^+ \rightarrow \gamma$
$K^+ \rightarrow \pi^+\pi_D^0 + K^+ \rightarrow \pi^+\pi^0$	$5.0 \times 10^{-4}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$\pi^+$ DIF
$K^+ \rightarrow \pi^+\pi^0\pi_D^0 + K^+ \rightarrow \mu^+\nu$	$2.6 \times 10^{-4}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	direct
$K^+ \rightarrow \pi^+\pi^0\pi_D^0 + K^+ \rightarrow \pi^+\pi^0$	$8.3 \times 10^{-5}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$\pi^+$ DIF
$K^+ \rightarrow \pi^0\mu^+\nu + K^+ \rightarrow \pi^+\pi_D^0$	$8.0 \times 10^{-5}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	direct
$K^+ \rightarrow \pi_D^0\mu^+\nu + K^+ \rightarrow \pi^+\pi^0$	$8.0 \times 10^{-5}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	direct
$K^+ \rightarrow \pi^+\pi^0\pi^0 + K^+ \rightarrow \pi^+\pi_D^0$	$4.2 \times 10^{-5}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	$\pi^+$ DIF
$K^+ \rightarrow \pi_D^0e^+\nu + K^+ \rightarrow \pi^0\mu^+\nu$	$2.0 \times 10^{-5}$	$e^- \rightarrow \pi^-$	-	$e^+ \rightarrow \pi^+$
$K^+ \rightarrow \pi^0e^+\nu + K^+ \rightarrow \pi_D^0\mu^+\nu$	$2.0 \times 10^{-5}$	$e^- \rightarrow \pi^-$	-	$e^+ \rightarrow \pi^+$
$K^+ \rightarrow \pi^0\mu^+\nu + K^+ \rightarrow \pi^+\pi^0\pi_D^0$	$1.4 \times 10^{-5}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	direct
$K^+ \rightarrow \pi^0\mu^+\nu + K^+ \rightarrow \pi_D^0\mu^+\nu$	$1.3 \times 10^{-5}$	$e^- \rightarrow \pi^-$	-	$e^+ \rightarrow \pi^+$
$K^+ \rightarrow \pi_D^0\mu^+\nu + K^+ \rightarrow \pi^+\pi^0\pi^0$	$6.8 \times 10^{-6}$	$e^- \rightarrow \pi^-$	$e^- \rightarrow \mu^-$	direct
$K^+ \rightarrow \pi^+\pi^-e^+\nu + K^+ \rightarrow \pi^0\mu^+\nu$	$1.4 \times 10^{-6}$	direct	$\pi^-$ DIF	$\pi^- \rightarrow e^-$
$K^+ \rightarrow \pi^0\pi^0e^+\nu + K^+ \rightarrow \pi^+\pi^+\pi^-$	$1.4 \times 10^{-6}$	$\pi^+$ DIF	$\pi^-$ DIF	$\pi^- \rightarrow e^-$

# LVN&LFV STATE-OF-THE-ART



NA62 searches with Run1 data



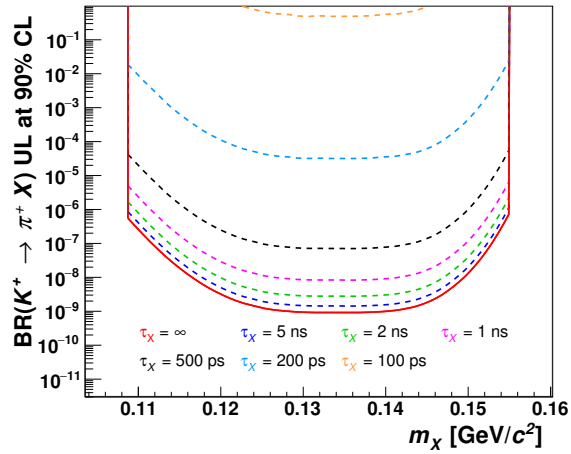
Channel	NA62 UL @ 90% CL	Improvement
$K^+ \rightarrow \pi^- e^+ e^+$	$5.3 \times 10^{-11}$ Phys. Lett. B 830 (2022) 137172	factor ~12
$K^+ \rightarrow \pi^- \mu^+ \mu^+$	$4.2 \times 10^{-11}$ Phys. Lett. B 797 (2019) 134794	factor ~2
$K^+ \rightarrow \pi^- \mu^+ e^+$	$4.2 \times 10^{-11}$ Phys. Rev. Lett. 127 (2021) 12, 131802	factor ~12
$K^+ \rightarrow \pi^+ \mu^- e^+$	$6.6 \times 10^{-11}$ Phys. Rev. Lett. 127 (2021) 12, 131802	factor ~8
$K^+ \rightarrow \pi^- \pi^0 e^+ e^+$	$8.5 \times 10^{-11}$ Phys. Lett. B 830 (2022) 137172	first search
$K^+ \rightarrow \pi^0 \pi^- \mu^+ e^+$	$2.9 \times 10^{-10}$ arXiv:2409.12981 Submitted to Phys. Lett. B	first search
$K^+ \rightarrow \pi^0 \pi^+ \mu^- e^+$	$3.1 \times 10^{-10}$ arXiv:2409.12981 Submitted to Phys. Lett. B	first search
$K^+ \rightarrow \pi^0 \pi^+ \mu^+ e^-$	$5.0 \times 10^{-10}$ arXiv:2409.12981 Submitted to Phys. Lett. B	first search
$K^+ \rightarrow \mu^- \nu e^+ e^+$	$2.1 \times 10^{-8}$ Phys. Lett. B838 (2023) 137679	factor ~250
$\pi^0 \rightarrow \mu^- e^+$	$2.1 \times 10^{-8}$ Phys. Lett. B838 (2023) 137679	factor ~250
$\pi^0 \rightarrow \mu^+ e^-$	$3.4 \times 10^{-9}$ Phys. Rev. Lett. 127 (2021) 12, 131802	factor ~10



**Plusblished results**

$$K^+ \rightarrow \pi^+ X_{inv}$$

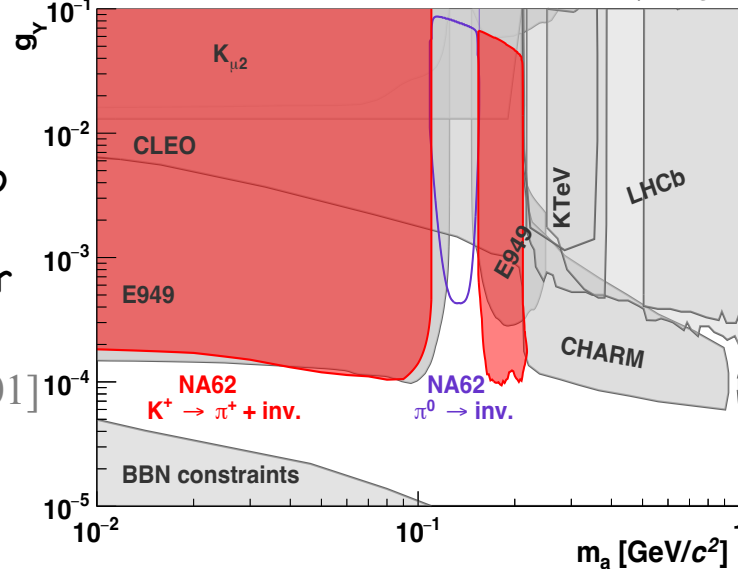
- Search as a direct by product of  $\pi^0 \rightarrow invisible$  analysis
- Signal in  $110 \leq m_X \leq 155 \text{ MeV}/c^2$
- Main background  $\pi^0 \rightarrow \gamma\gamma$  rejection  $\varepsilon = (2.8^{+5.9}_{-2.1}) \times 10^{-9}$



[JHEP02 (2021) 201]

$BR(\pi^0 \rightarrow inv) < 4.4 \times 10^{-9}$   
@ 90% CL

Limits on BC10 scenario  
(ALP with dominant fermion coupling)



Hidden Sector scenario  
from  
Physics Beyond Collider  
project at CERN

[J. Phys. G47 (2020) 010501]

Published results in

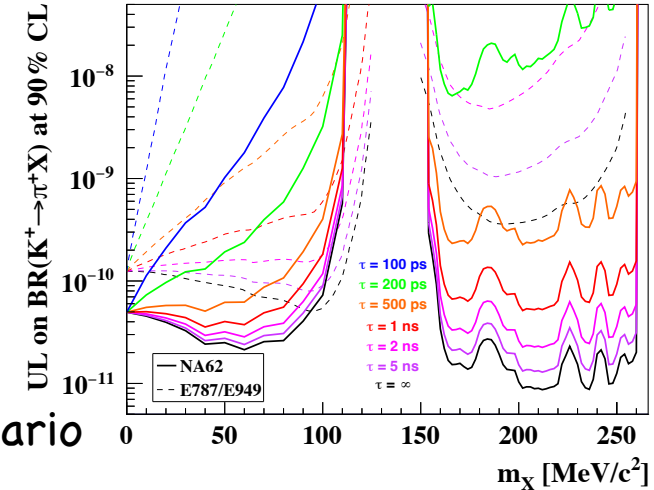
JHEP02 (2021) 201

JHEP 06 (2021) 93

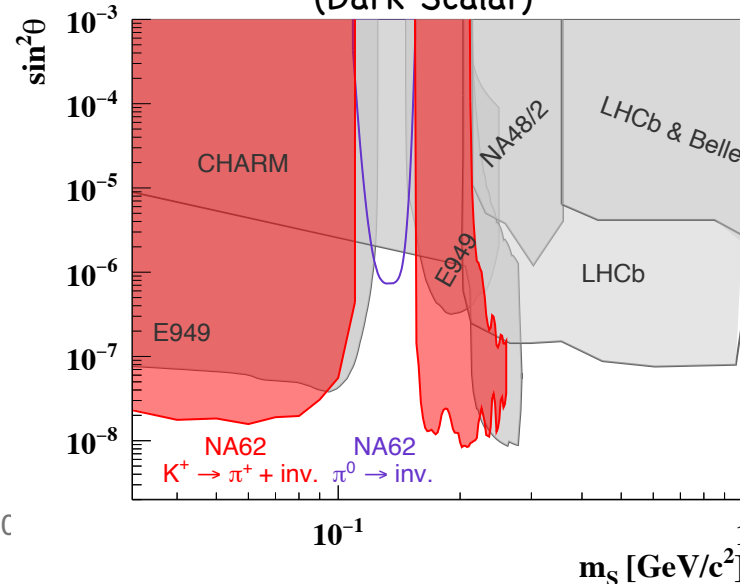
- Search as a direct by product of main  $K^+ \rightarrow \pi^+ \nu\bar{\nu}$  analysis
- Signal in  $0 \leq m_X \leq 110 \text{ MeV}/c^2$  and  $154 \leq m_X \leq 260 \text{ MeV}/c^2$
- Main background is  $K^+ \rightarrow \pi^+ \nu\bar{\nu}$

[JHEP06 (2021) 93]

NA62 Run1 results vs  $m_X$   
for different X lifetime



Limits on BC4 scenario  
(Dark Scalar)



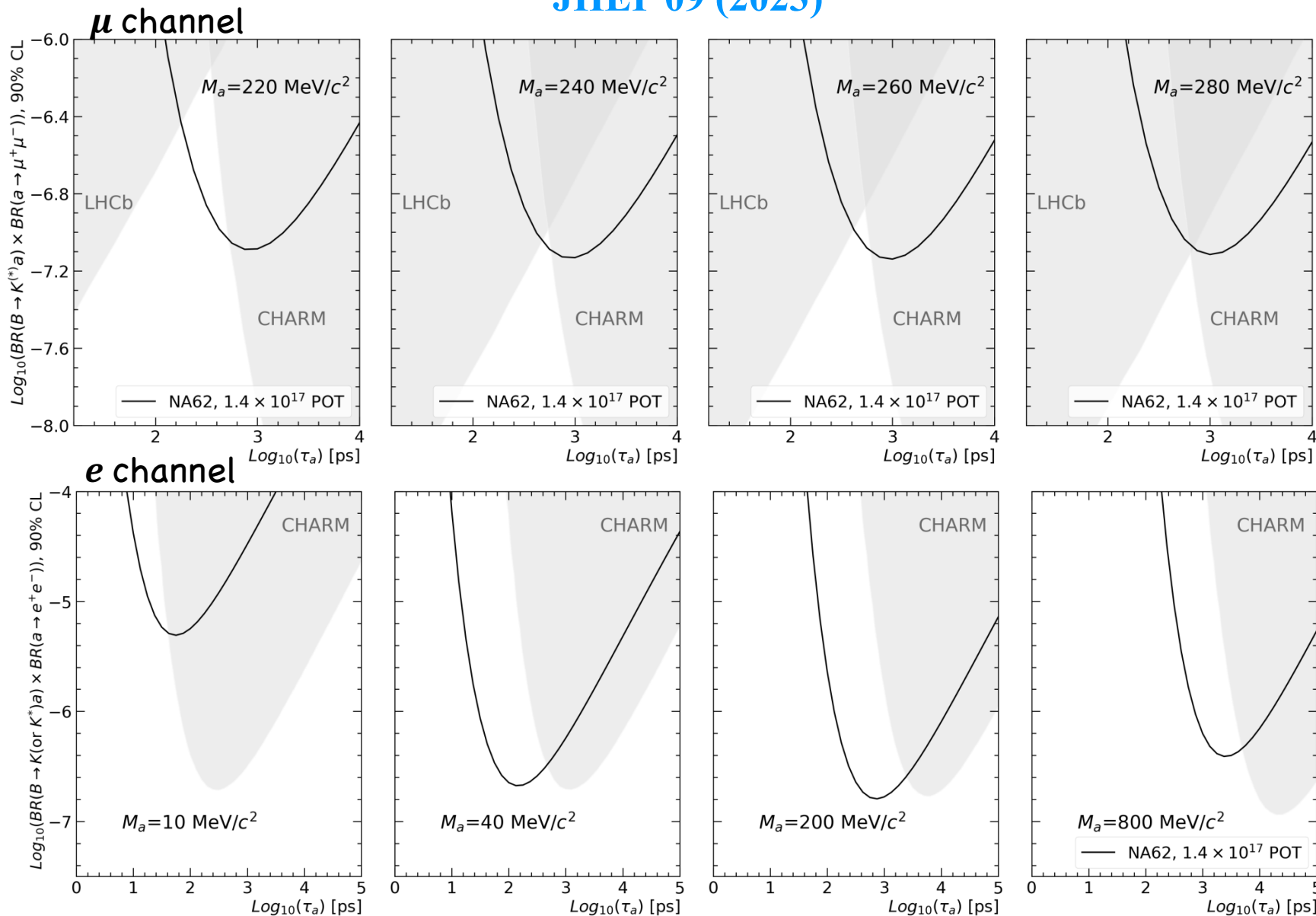
$$a \rightarrow \ell^+ \ell^-$$

Published results in  
**Phys. Rev. Lett. 133 (2024) 111802**  
**JHEP 09 (2023)**



### ALP search

- Production mechanism:  
 B meson decay:  $B \rightarrow K^{(*)}a$
- **Model independent approach:** assume mass  $m_a$ , lifetime  $\tau_a$  and coupling to be independent parameters
- $BR(B \rightarrow Ka) \times BR(a \rightarrow \ell\ell)$  vs  $\tau_a$  for each mass separately

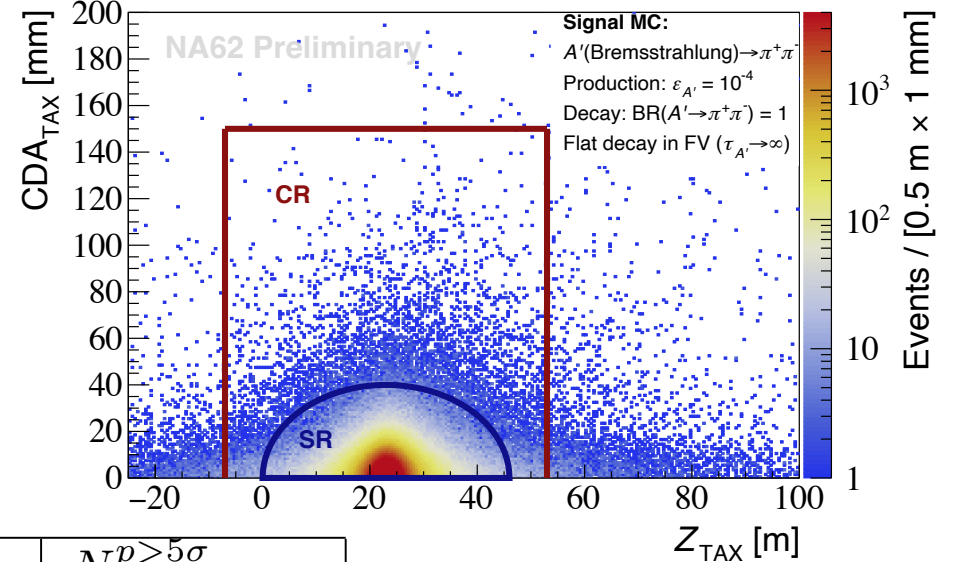


# $X \rightarrow \text{hadrons}$

## Background sources

- Combinatorial data-driven event overlay (negligible)
- Neutrino-induced: GENIE+PYTHIA+GEANT4 (negligible)
- Prompt: data-driven+GEANT4, inelastic interaction of halo  $\mu$  ( $< 10^{-4}$  in all channels)
- Upstream: data-driven+GEANT4, particles selected by the GTK achromat:
  - ❑ Upstream interactions (veto by ANTI0 and vertex)
  - ❑  $K_S$  candidates ( $3\sigma$  window around  $m_{K_S}$  kept masked)
  - ❑  $K^+$ -induced background (simulated using selected single  $K^+$  tracks forced to decay in  $K^+ \rightarrow \pi^+\pi^+\pi^-$  in FV)

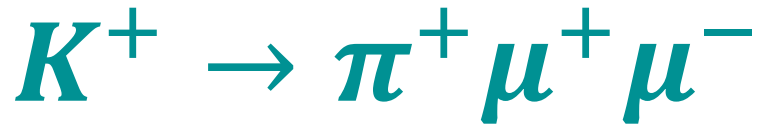
Example signal region for  $A' \rightarrow \pi^+\pi^-$   
 SR: ellipse center  $\{Z_{\text{TAX}}, \text{CDA}_{\text{TAX}}\} = \{23\text{m}, 0\text{mm}\}$ ,  
 semi-axes of 23m and 40mm  
 CR:  $\text{CDA}_{\text{TAX}} < 150\text{mm}$  and  $-7\text{m} < Z_{\text{TAX}} < 53\text{m}$



Channel	$N_{\text{exp,CR}} \pm \delta N_{\text{exp,CR}}$	$N_{\text{exp,SR}} \pm \delta N_{\text{exp,SR}}$	$N_{\text{obs,SR}}^{p>5\sigma}$	$N_{\text{obs,SR+CR}}^{p>5\sigma}$
$\pi^+\pi^-$	$0.013 \pm 0.007$	$0.007 \pm 0.005$	3	4
$\pi^+\pi^-\gamma$	$0.031 \pm 0.016$	$0.007 \pm 0.004$	3	5
$\pi^+\pi^-\pi^0$	$(1.3^{+4.4}_{-1.0}) \times 10^{-7}$	$(1.2^{+4.3}_{-1.0}) \times 10^{-7}$	1	1
$\pi^+\pi^-\pi^0\pi^0$	$(1.6^{+7.6}_{-1.4}) \times 10^{-8}$	$(1.6^{+7.4}_{-1.4}) \times 10^{-8}$	1	1
$\pi^+\pi^-\eta$	$(7.3^{+27.0}_{-6.1}) \times 10^{-8}$	$(7.0^{+26.2}_{-5.8}) \times 10^{-8}$	1	1
$K^+K^-$	$(4.7^{+15.7}_{-3.9}) \times 10^{-7}$	$(4.6^{+15.2}_{-3.8}) \times 10^{-7}$	1	2
$K^+K^-\pi^0$	$(1.6^{+3.2}_{-1.2}) \times 10^{-9}$	$(1.5^{+3.1}_{-1.2}) \times 10^{-9}$	1	1

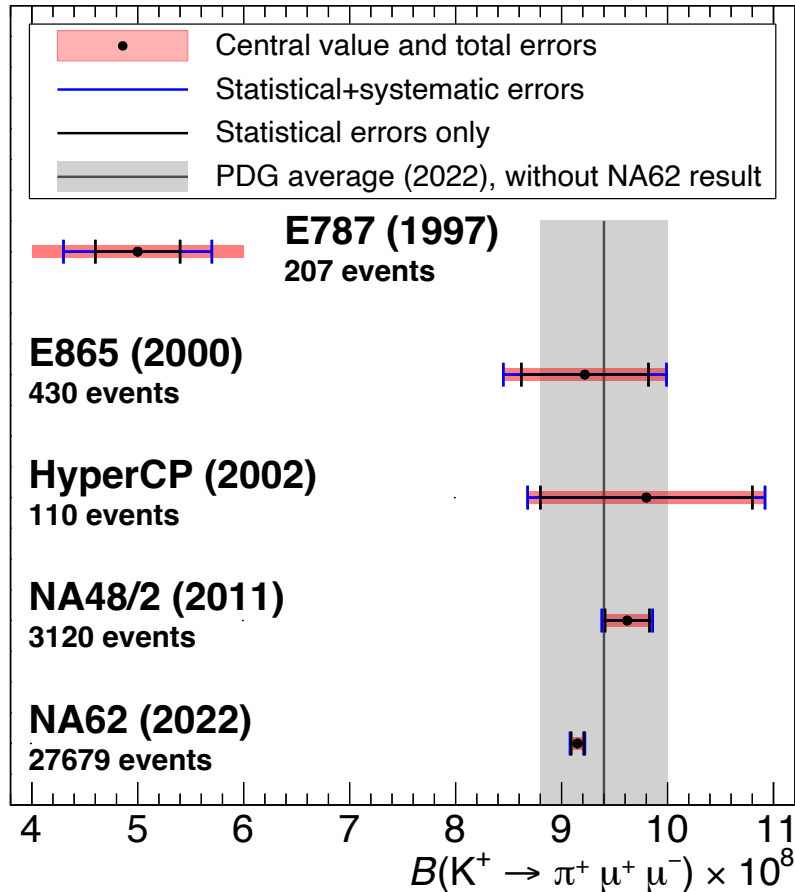
Summary of background expectation (68% CL) for each final state and minimum number of observed events for a background-only p-value above  $5\sigma$





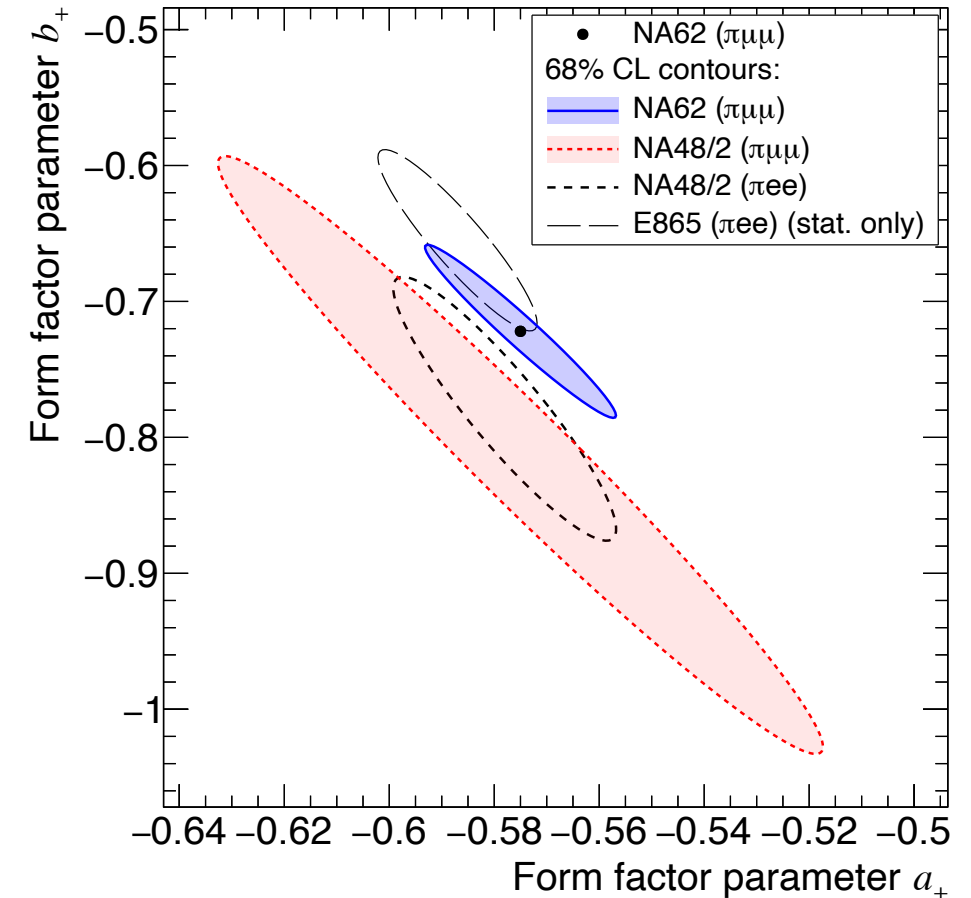
➤ Model-independent BR

- **BR( $K^+ \rightarrow \pi^+ \mu^+ \mu^-$ ) =  $(9.15 \pm 0.08) \times 10^{-8}$**
- **Improvement by a factor  $\geq 3$**
- Consistent with previous measurements



➤ ChPT form factor parameter

- **$a_+ = -0.575 \pm 0.013$ ,  $b_+ = -0.722 \pm 0.043$**
- **Compatible with previous measurements (as expected by LFU) in  $\mu\mu$  and  $ee$  channel**



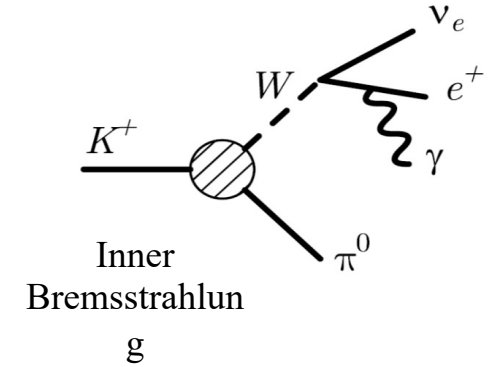
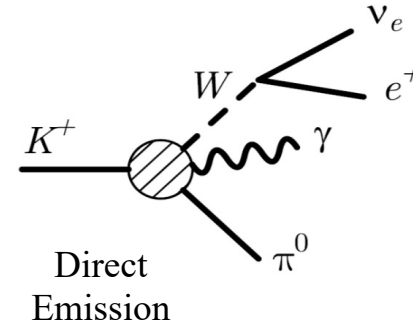
# $K^+ \rightarrow \pi^0 e^+ \nu \gamma$

Published results in  
**JHEP09 (2023) 040**



- Decay described in ChPT as direct emission, inner bremsstrahlung and their interference
- BR strongly **depends on  $E_\gamma$  and  $\theta_{e\gamma}$**  cuts in  $K^+$  rest frame
- **Three kinematic regions** considered

$$R_j = \frac{\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu \gamma | E_\gamma^j, \theta_{e,\gamma}^j)}{\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu)}$$



- Test of **T-conservation** via T-odd variable

$$\xi = \frac{\vec{p}_\gamma \cdot (\vec{p}_e \times \vec{p}_\pi)}{m_K^3}, \quad A_\xi = \frac{N_+ - N_-}{N_+ + N_-}$$

State of the art:

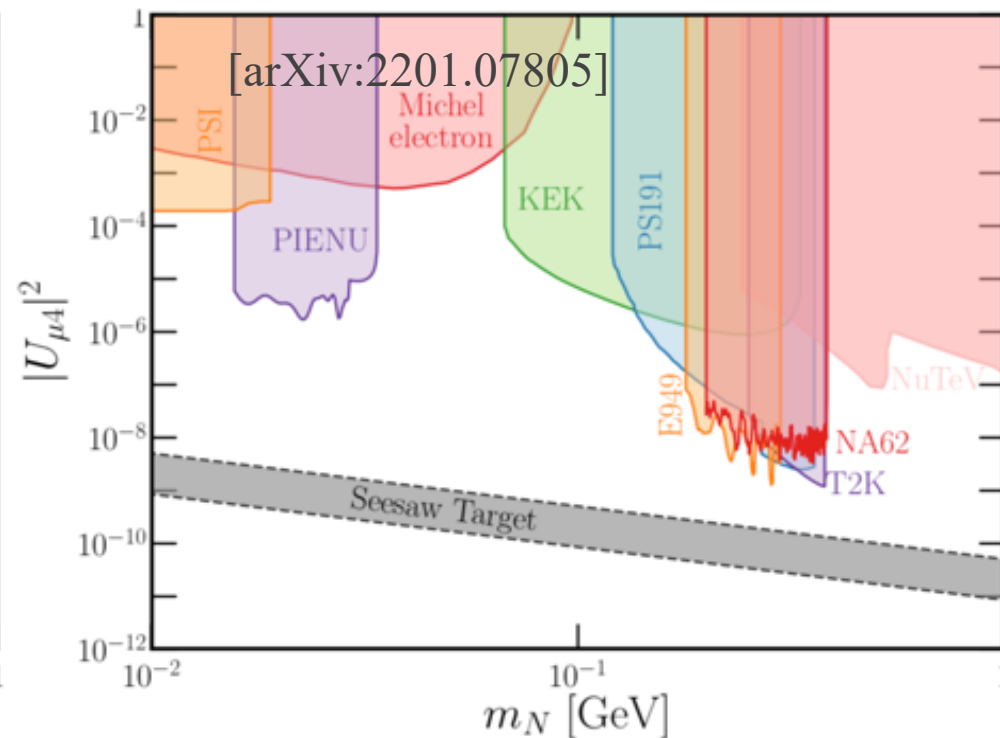
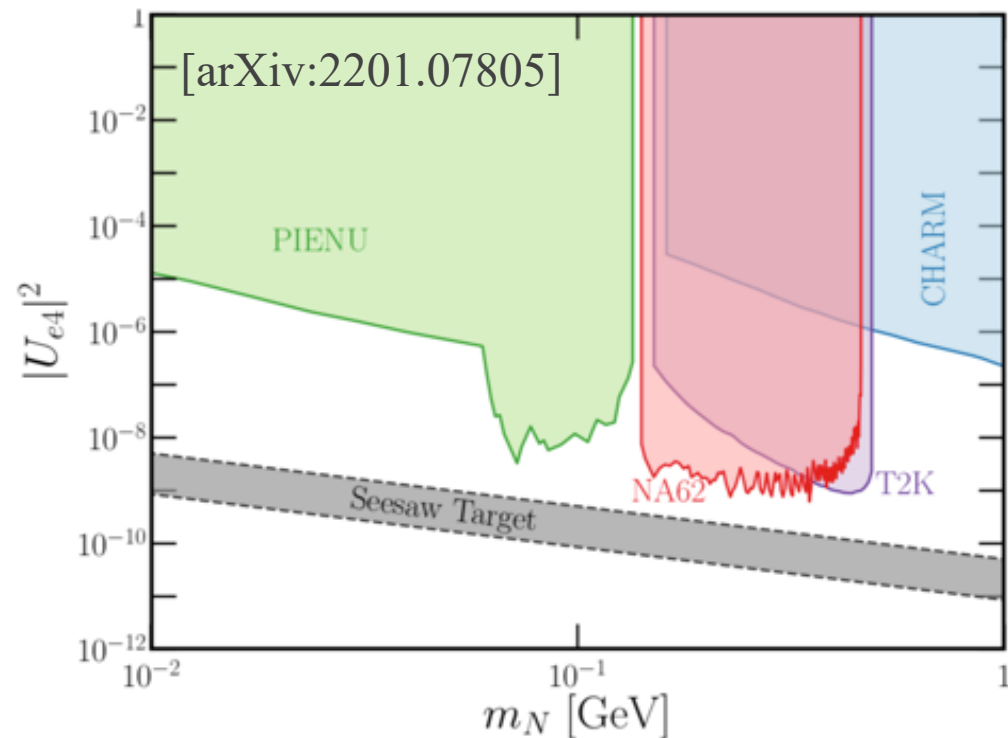
	$E_\gamma^j, \theta_{e\gamma}^j$	$\mathcal{O}(p^6)$ ChPT [EPJ C 50 (2007)]	ISTRA+ [PAN 70 (2007)]	OKA [EPJ C 81 (2021)]
$R_1 \times 10^2$	$E_\gamma > 10 \text{ MeV}, \theta_{e\gamma} > 10^\circ$	$1.804 \pm 0.021$	$1.81 \pm 0.03 \pm 0.07$	$1.990 \pm 0.017 \pm 0.021$
$R_2 \times 10^2$	$E_\gamma > 30 \text{ MeV}, \theta_{e\gamma} > 20^\circ$	$0.640 \pm 0.008$	$0.63 \pm 0.02 \pm 0.03$	$0.587 \pm 0.010 \pm 0.015$
$R_3 \times 10^2$	$E_\gamma > 10 \text{ MeV}, 0.6 < \cos \theta_{e\gamma} < 0.9$	$0.559 \pm 0.006$	$0.47 \pm 0.02 \pm 0.03$	$0.532 \pm 0.010 \pm 0.012$

NA62 measurements of  $R_j$  smaller than  $\mathcal{O}(p^6)$  ChPT by 5% relative (disagreement: 3 standard deviations)

Improvement on experimental precision of  $R_j$  measurements by a factor  $> 2$

	range 1	range 2	range 3
$R \times 10^2$	$1.715 \pm 0.005_{\text{stat}} \pm 0.010_{\text{syst}}$	$0.609 \pm 0.003_{\text{stat}} \pm 0.006_{\text{syst}}$	$0.533 \pm 0.003_{\text{stat}} \pm 0.004_{\text{syst}}$
$A_\xi \times 10^2$	$-0.1 \pm 0.3_{\text{stat}} \pm 0.2_{\text{syst}}$	$-0.3 \pm 0.4_{\text{stat}} \pm 0.3_{\text{syst}}$	$-0.9 \pm 0.5_{\text{stat}} \pm 0.4_{\text{syst}}$

$$K^+ \rightarrow \ell^+ N$$



NA62 results:

- $|U_{e4}|^2$  UL  $\mathcal{O}(10^{-9})$  complimentary to search for  $\pi^+ \rightarrow e^+ N$
- $|U_{\mu 4}|^2$  UL  $\mathcal{O}(10^{-8})$  complimentary to search for  $\pi^+ \rightarrow \mu^+ N$
- Muon channel extension:
  - $K^+ \rightarrow \mu^+ \nu X$  scalar or vector with  $m_X \in 10 - 310 \text{ MeV}/c^2$  UL  $\mathcal{O}(10^{-5} - 10^{-7})$
  - $\text{BR}(K^+ \rightarrow \mu^+ \nu \bar{\nu}) < 1.0 \times 10^{-6}$  @ 90% CL NA62 Coll., Phys. Lett. B816 (2021) 136259