

# Radiative and electroweak penguin *B* decays at Belle and Belle II

Niharika Rout (DESY)

On behalf of Belle and Belle II collaborations



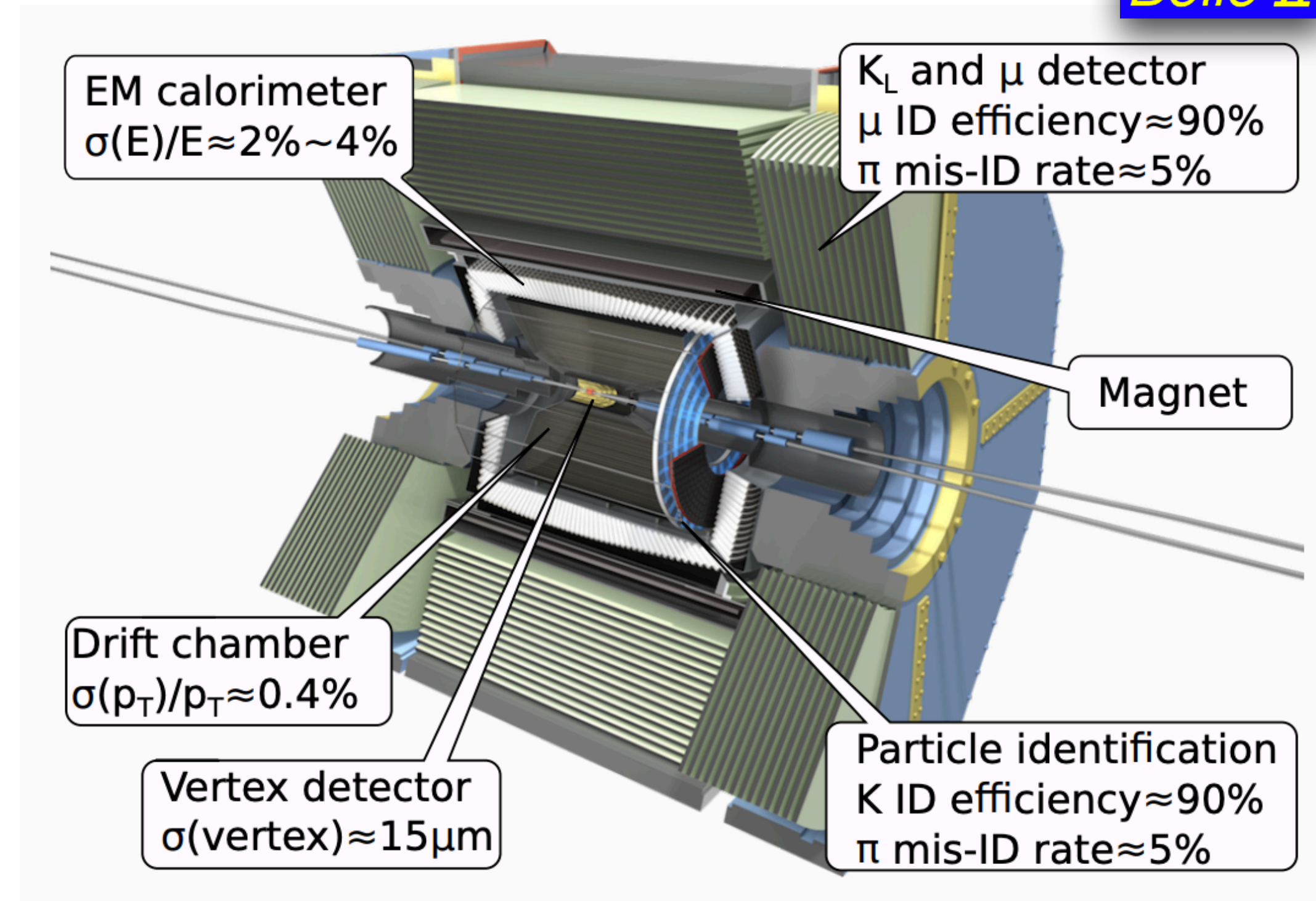
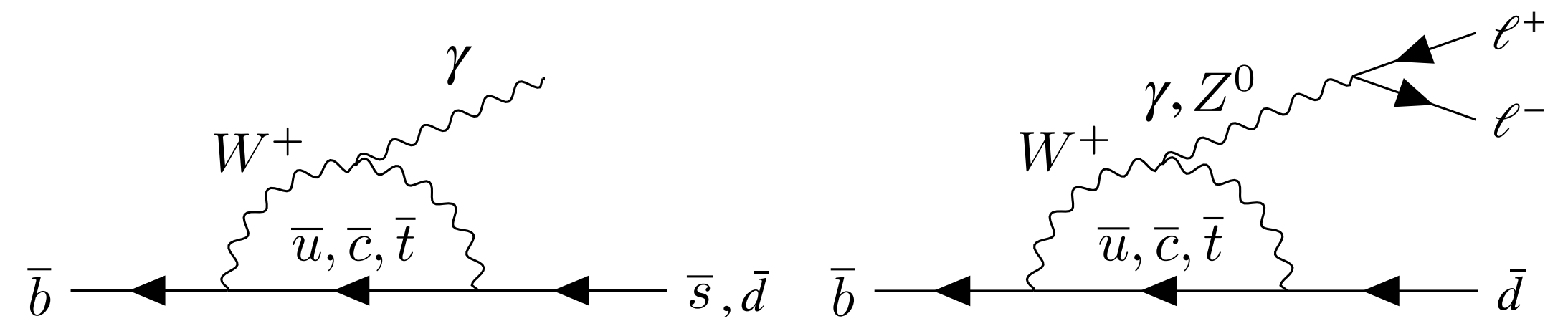
35th Rencontres de Blois — parallel session  
23rd October, 2024



# Introduction



- FCNC processes are forbidden in SM at tree level. BSM particles could enhance decay amplitude as “loop” allows heavy mass exchange.
  - new tree level interaction
  - reduce GIM cancellation in loop corrections
- Exploit our available dataset, 387 M (Belle II) + 772 M (Belle)  $B\bar{B}$  pairs, to look for enhancements in FCNC due to BSM contributions
- Today’s topics:
  - radiative:  $B \rightarrow K^*\gamma, B \rightarrow \rho\gamma, B^0 \rightarrow \gamma\gamma$
  - electroweak:  $B^+ \rightarrow K^+\nu\bar{\nu}, b \rightarrow d\ell\ell$   
 $B^0 \rightarrow K^{*0}\tau\tau, B^0 \rightarrow K_S^0\tau\ell$  (LFV)



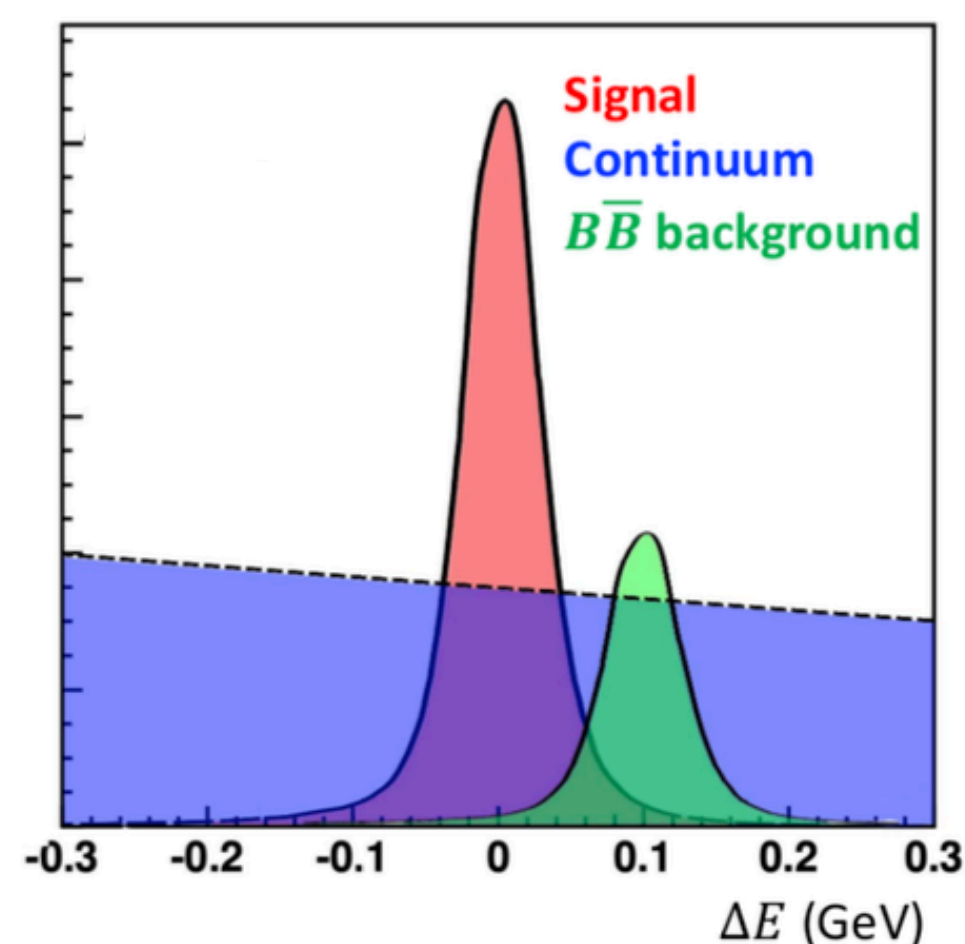


# B-factory basics

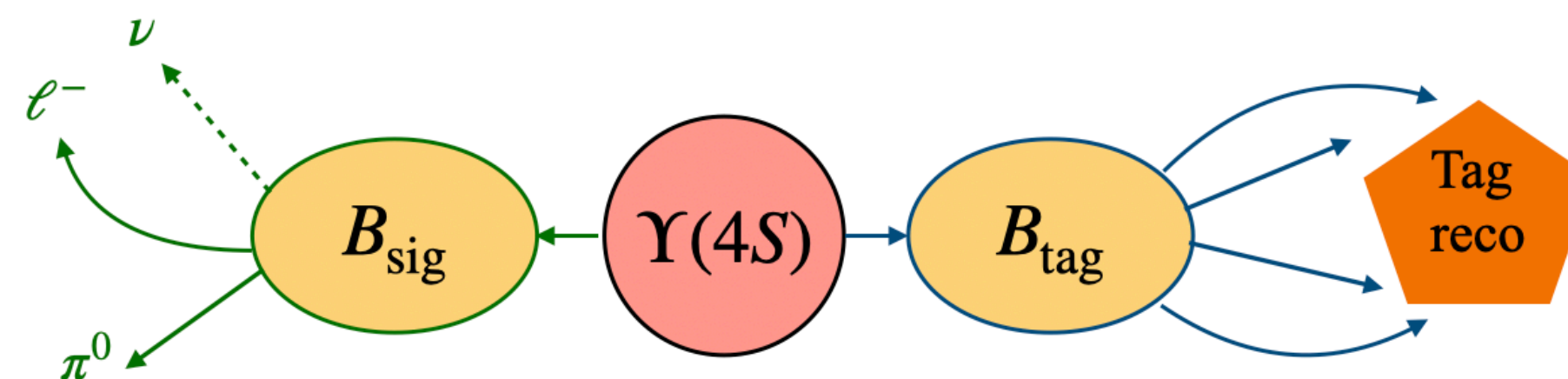
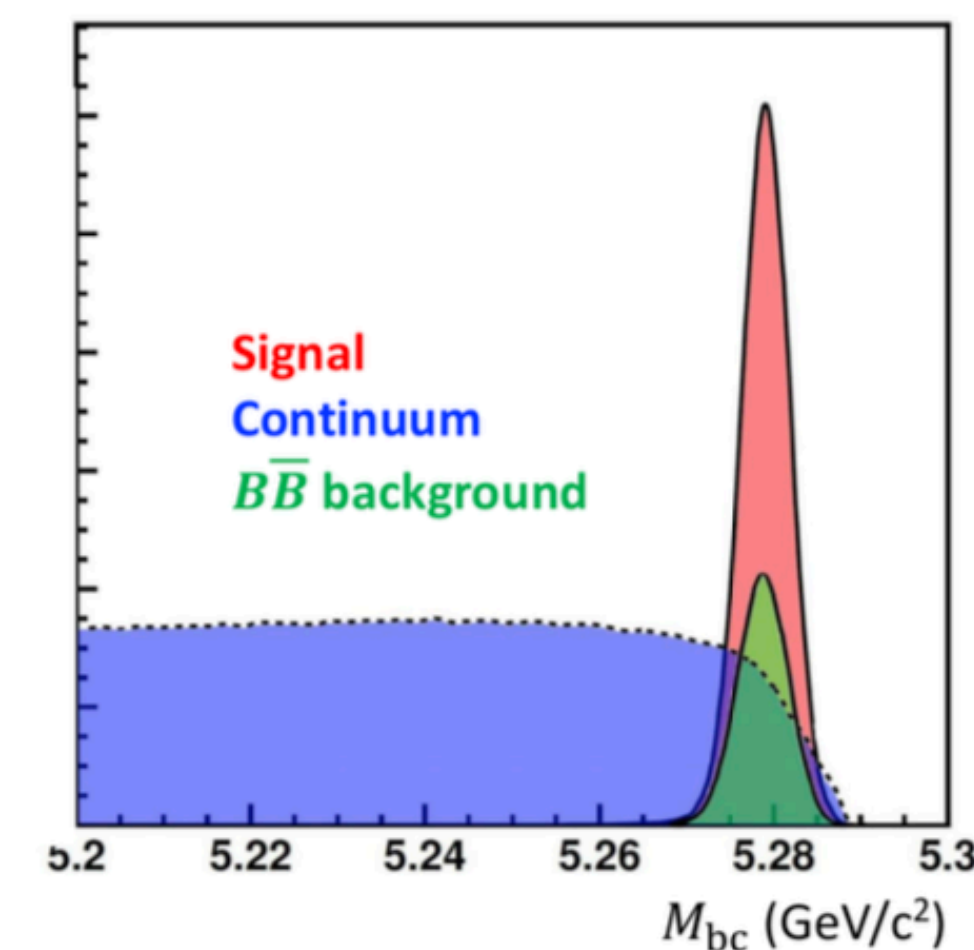


- SuperKEKB collides 7 GeV- $e^-$  on 4 GeV- $e^+$  in a submillimeter region
- $B$  production threshold from point-like colliding particles,  $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$ : **kinematics well constrained**
- Hermetic detector: **full event reconstruction**
- **Promising with multiple neutral particle final states**
- Inclusive and missing energy decays rely on  $B$ -tagging:

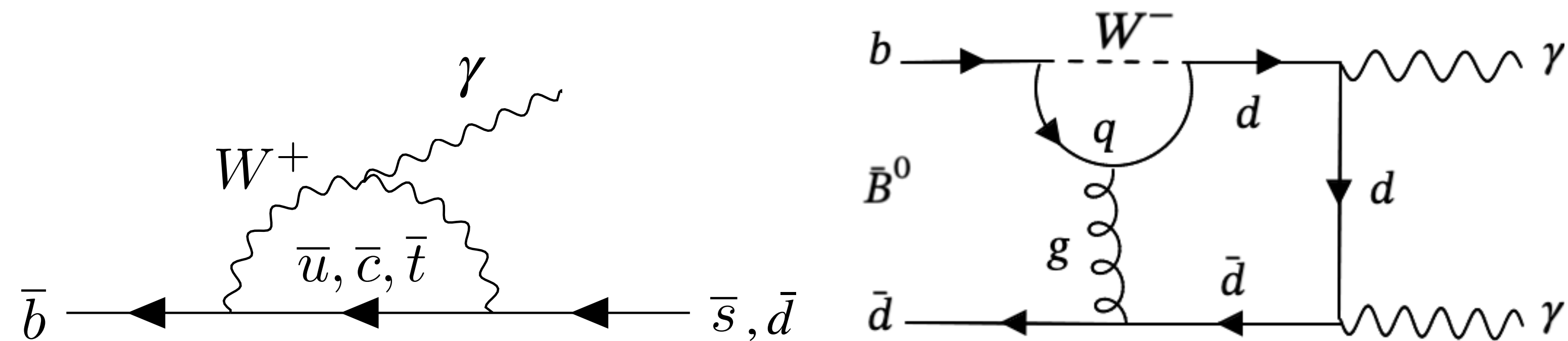
Difference between expected and observed  $B$  energy



Invariant  $B$  mass with energy replaced by beam energy



# Radiative penguin $B$ decays





# Measurement of $B \rightarrow K^* \gamma$



- Less precise  $\mathcal{B}$  measurement: more reliably predicted CP ( $A_{CP}$ ) and isospin ( $\Delta_{0+}$ ) asymmetries
- Isospin violation evidence ( $3.1\sigma$ ) in Belle [[PRL 119, 191802 \(2017\)](#)]
- Suppress large  $\pi^0(\eta)$  from  $q\bar{q}$  background and fit to  $M_{bc}$  and  $\Delta E$

$$A_{CP}(B^0 \rightarrow K^{*0} \gamma) = (-3.2 \pm 2.4 \pm 0.4) \%$$

$$A_{CP}(B^+ \rightarrow K^{*+} \gamma) = (-1.0 \pm 3.0 \pm 0.6) \%$$

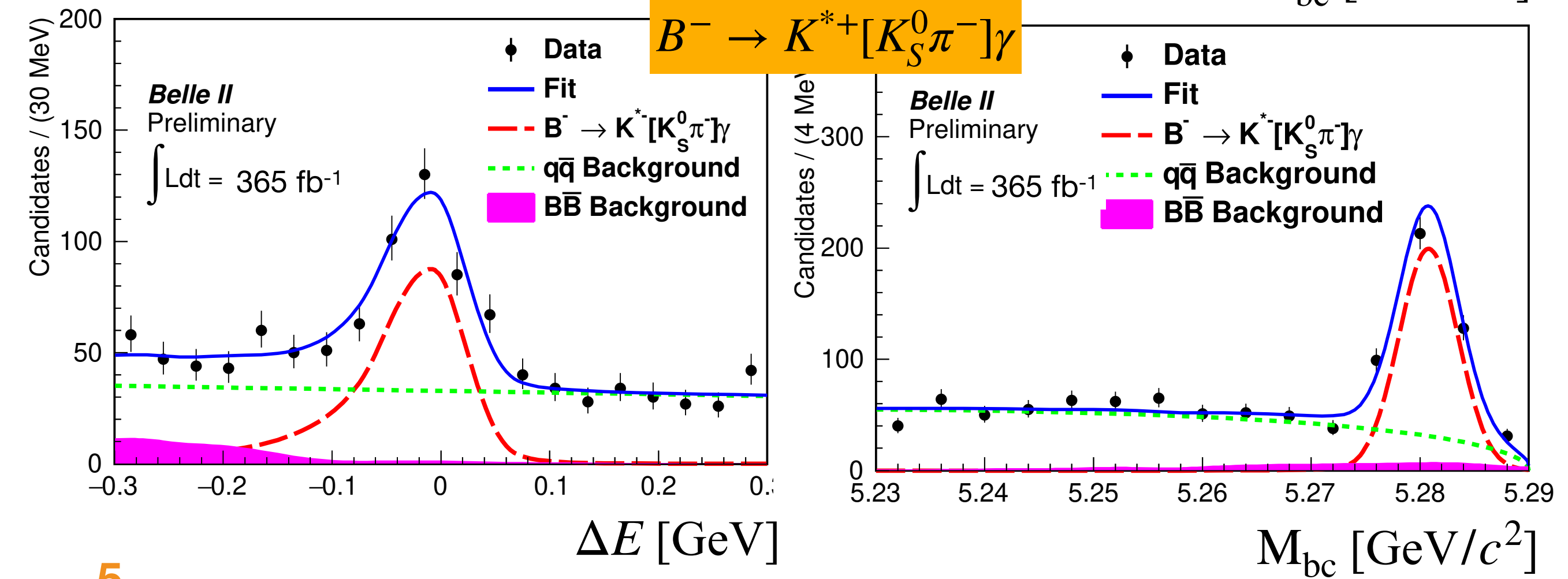
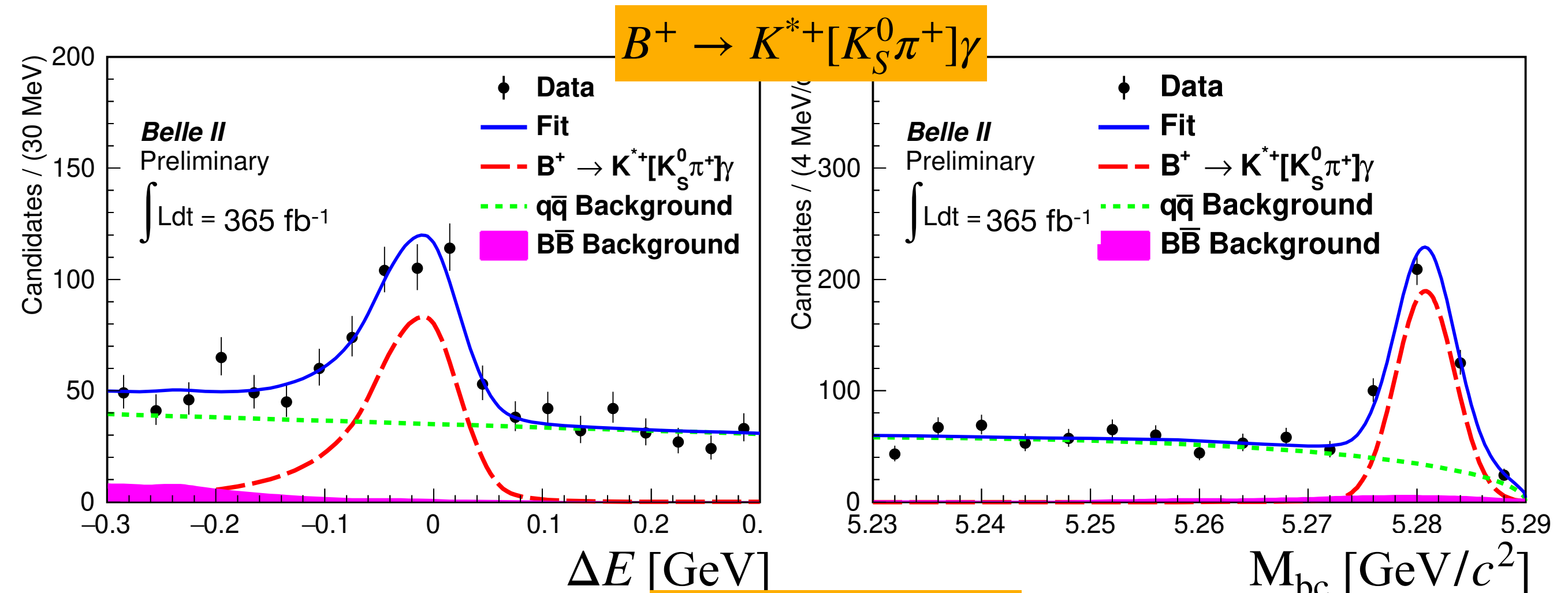
$$\Delta_{0+} = (5.1 \pm 2.0 \pm 1.0 \pm 1.2) \%$$

Consistent with WA and SM

30% less precise than world's best with half statistics

**Belle II (365 fb<sup>-1</sup>)**

$$A_{CP} = \frac{\Gamma(\bar{B} \rightarrow \bar{K}^* \gamma) - \Gamma(B \rightarrow K^* \gamma)}{\Gamma(\bar{B} \rightarrow \bar{K}^* \gamma) + \Gamma(B \rightarrow K^* \gamma)} \quad \Delta_{0+} = \frac{\Gamma(B^0 \rightarrow K^{*0} \gamma) - \Gamma(B^+ \rightarrow K^{*+} \gamma)}{\Gamma(B^0 \rightarrow K^{*0} \gamma) + \Gamma(B^+ \rightarrow K^{*+} \gamma)}$$



# Measurement of $B \rightarrow \rho\gamma$

arXiv:2407.08984



- CKM suppressed than  $b \rightarrow s\gamma$ :  $|V_{td}|^2 / |V_{ts}|^2 \approx 0.04$
- Sensitive to flavor dependent new physics
- Suppress  $\pi^0(\eta) \rightarrow \gamma\gamma$  from  $q\bar{q}$  background
- Signal extraction fit to  $M_{K\pi}$ ,  $M_{bc}$ , and  $\Delta E$

**Belle + Belle II**  
(711 + 365 fb<sup>-1</sup>)

$$A_I = \frac{2\Gamma(\bar{B}^0 \rightarrow \rho^0\gamma) - \Gamma(B^\pm \rightarrow \rho^\pm\gamma)}{2\Gamma(\bar{B}^0 \rightarrow \rho^0\gamma) + \Gamma(B^\pm \rightarrow \rho^\pm\gamma)}$$

$$\mathcal{B}(B^+ \rightarrow \rho^+\gamma) = (12.87^{+2.02+1.00}_{-1.92-1.17}) \times 10^{-7}$$

$$\mathcal{B}(B^0 \rightarrow \rho^0\gamma) = (7.45^{+1.33+1.00}_{-1.27-0.80}) \times 10^{-7}$$

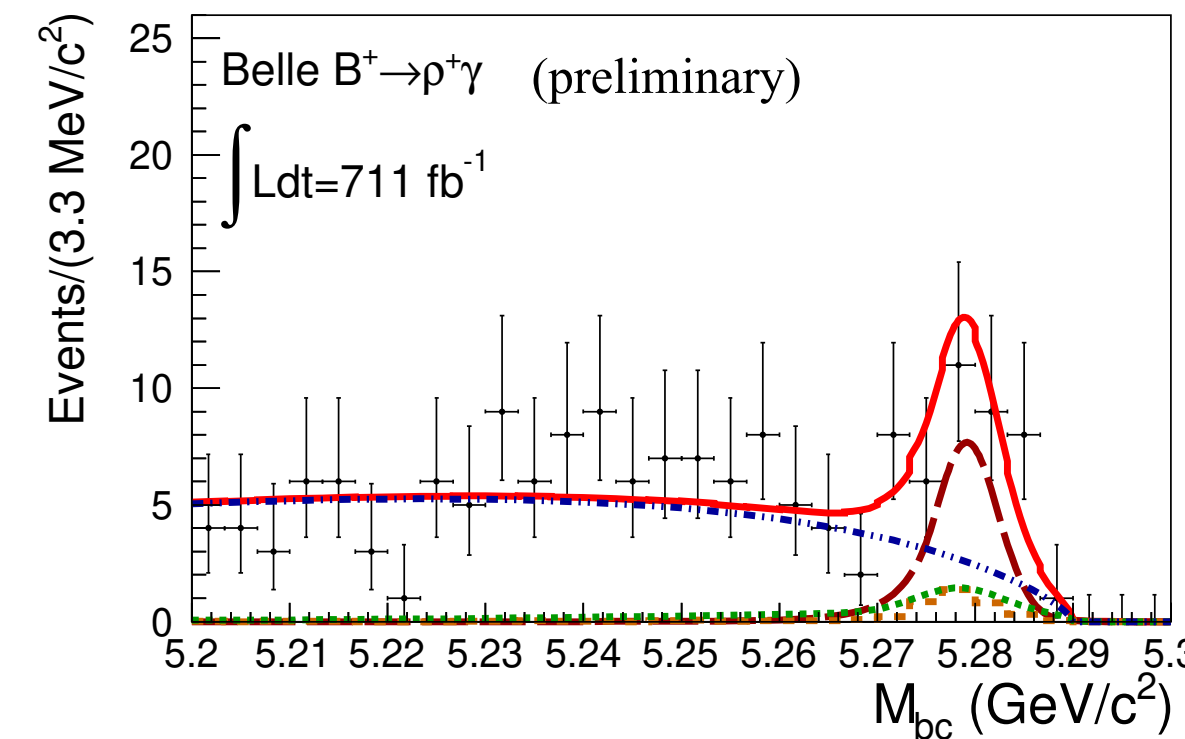
$$A_{CP}(B^+ \rightarrow \rho^+\gamma) = (-8.4^{+15.2+1.3}_{-15.3-1.4}) \%$$

$$A_I = (14.2^{+11.0+8.9}_{-11.7-9.1}) \%$$

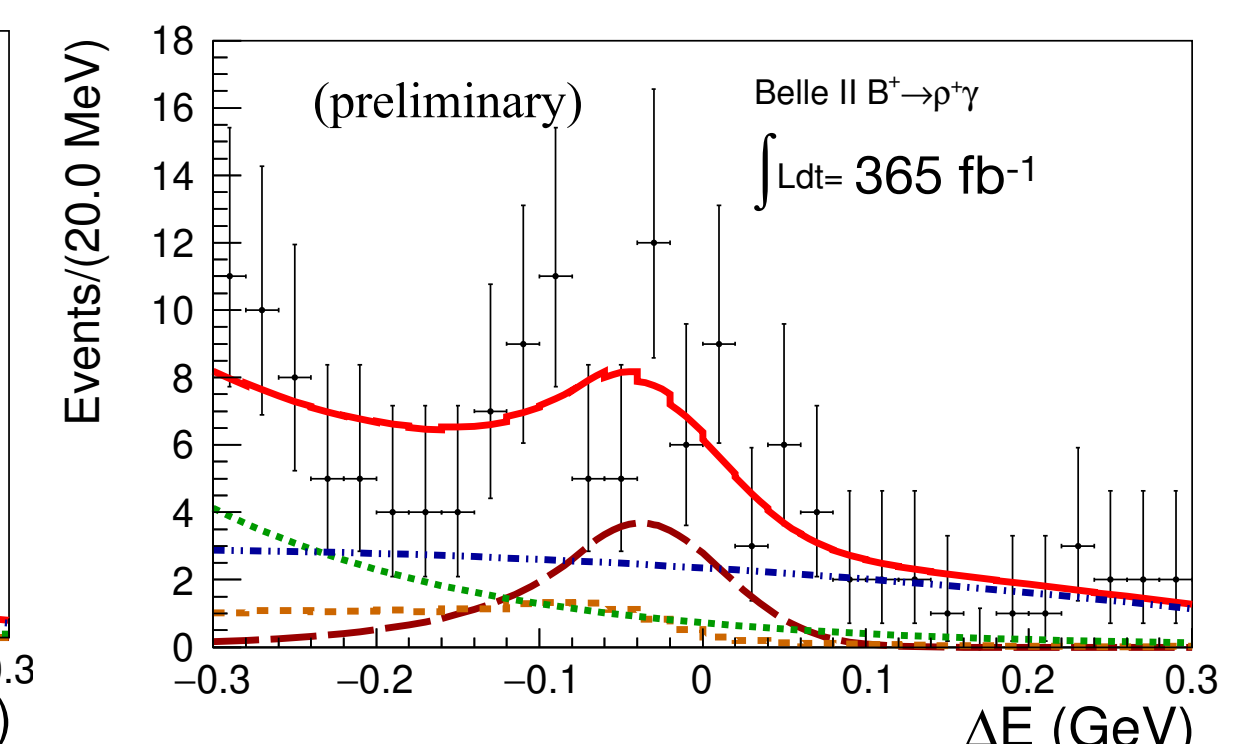
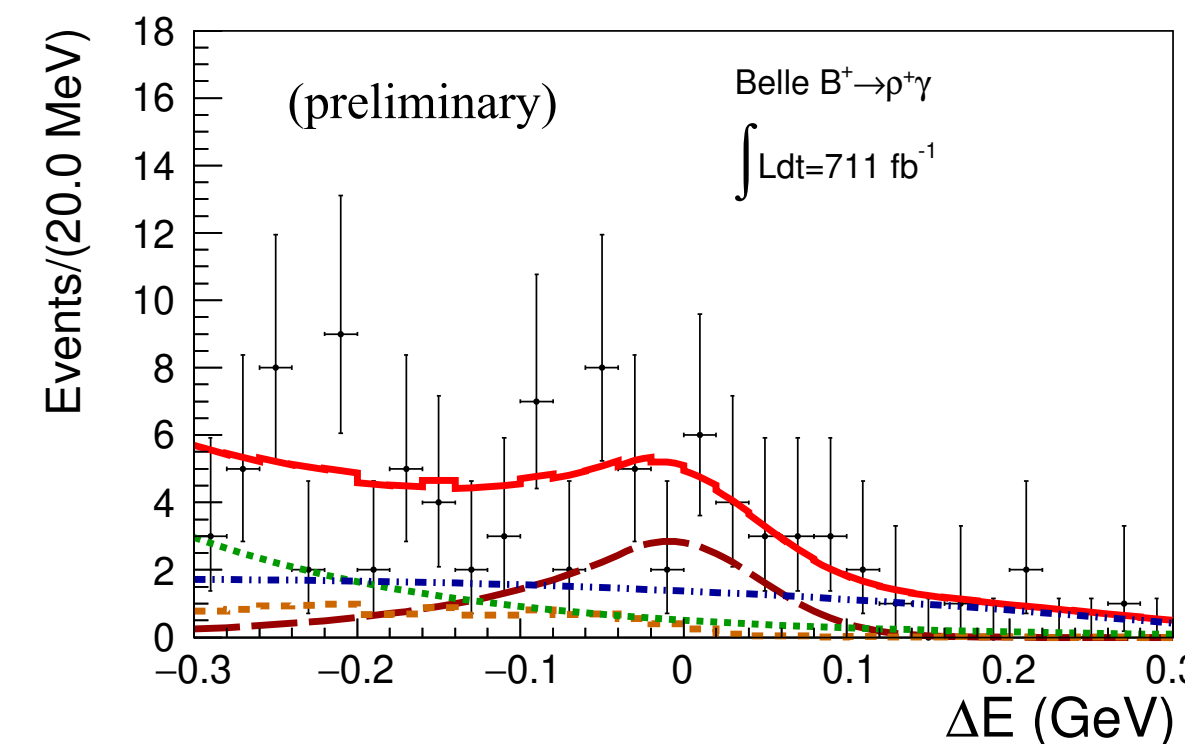
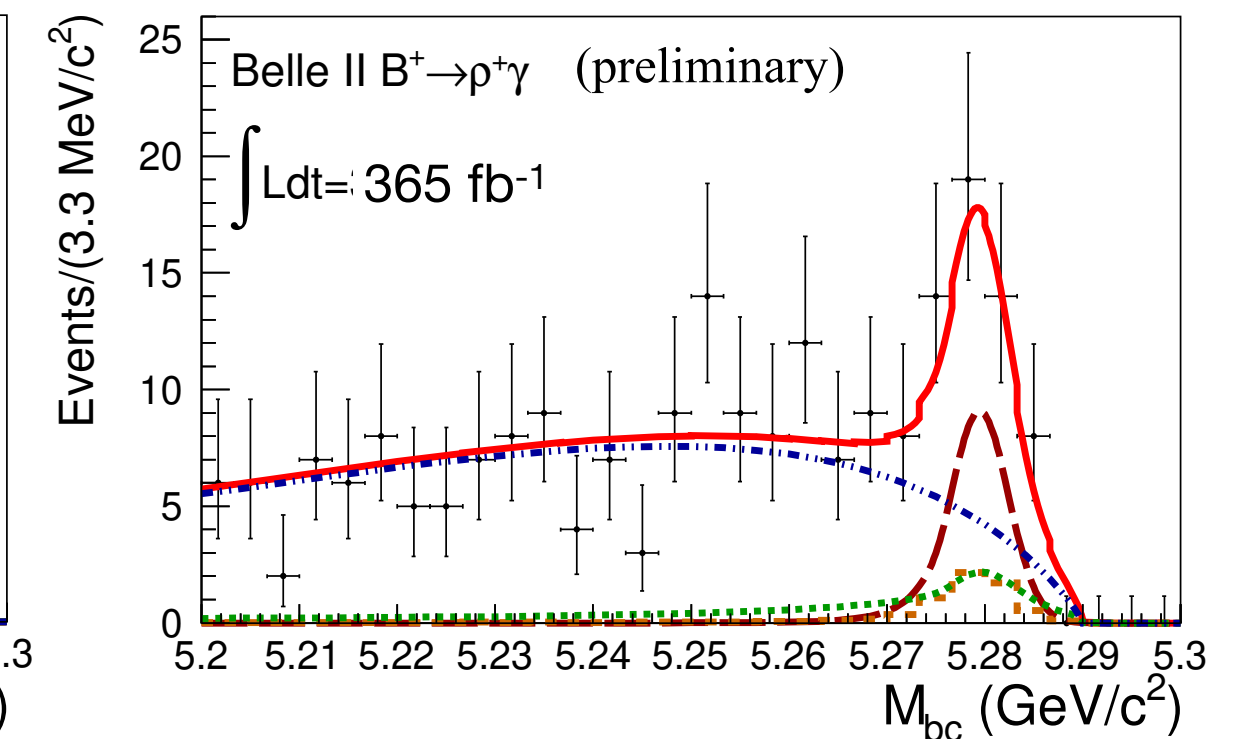
Most precise measurement

$A_I$  consistent with SM at  $0.6\sigma$

**Belle**



**Belle II**



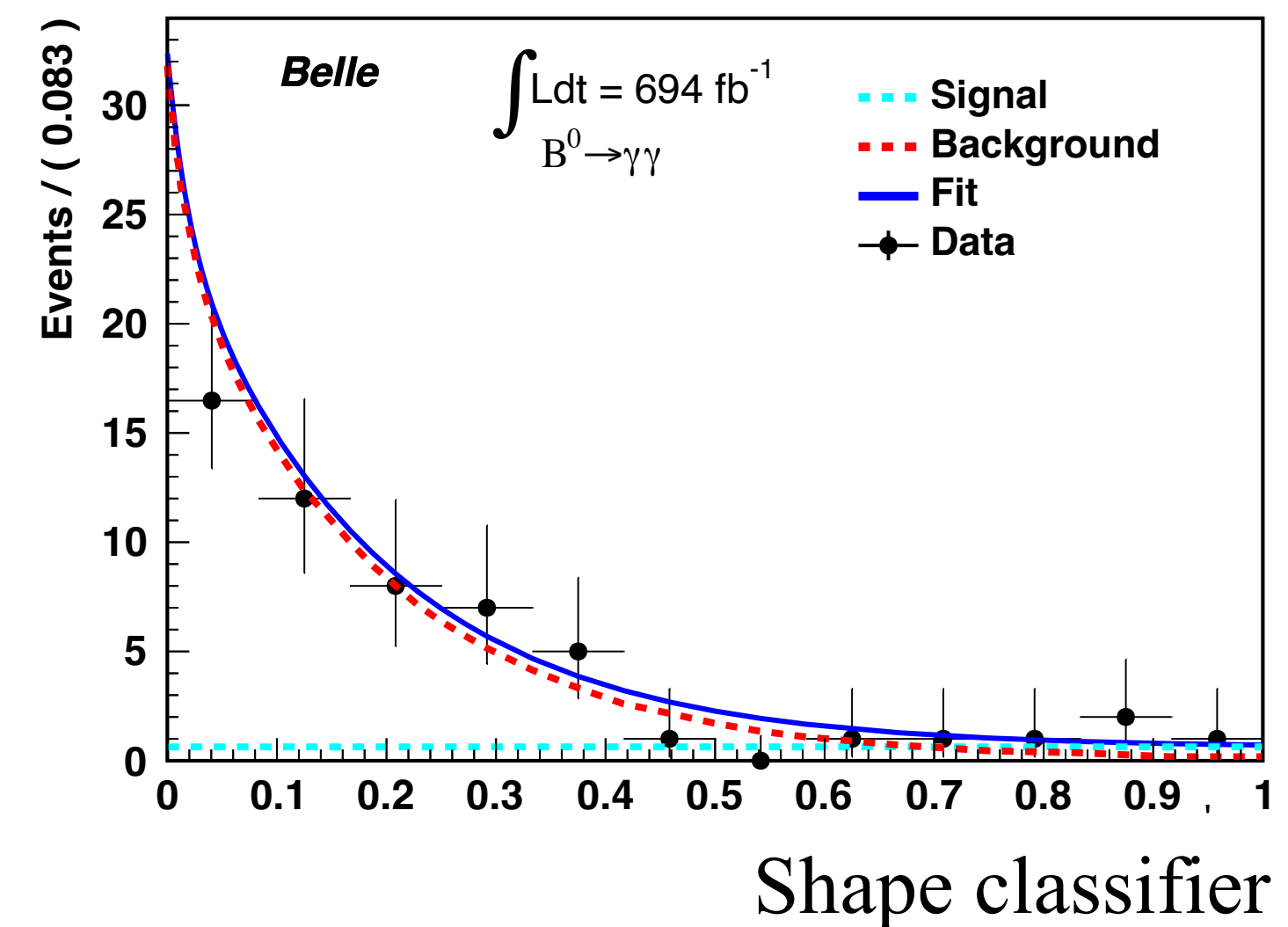
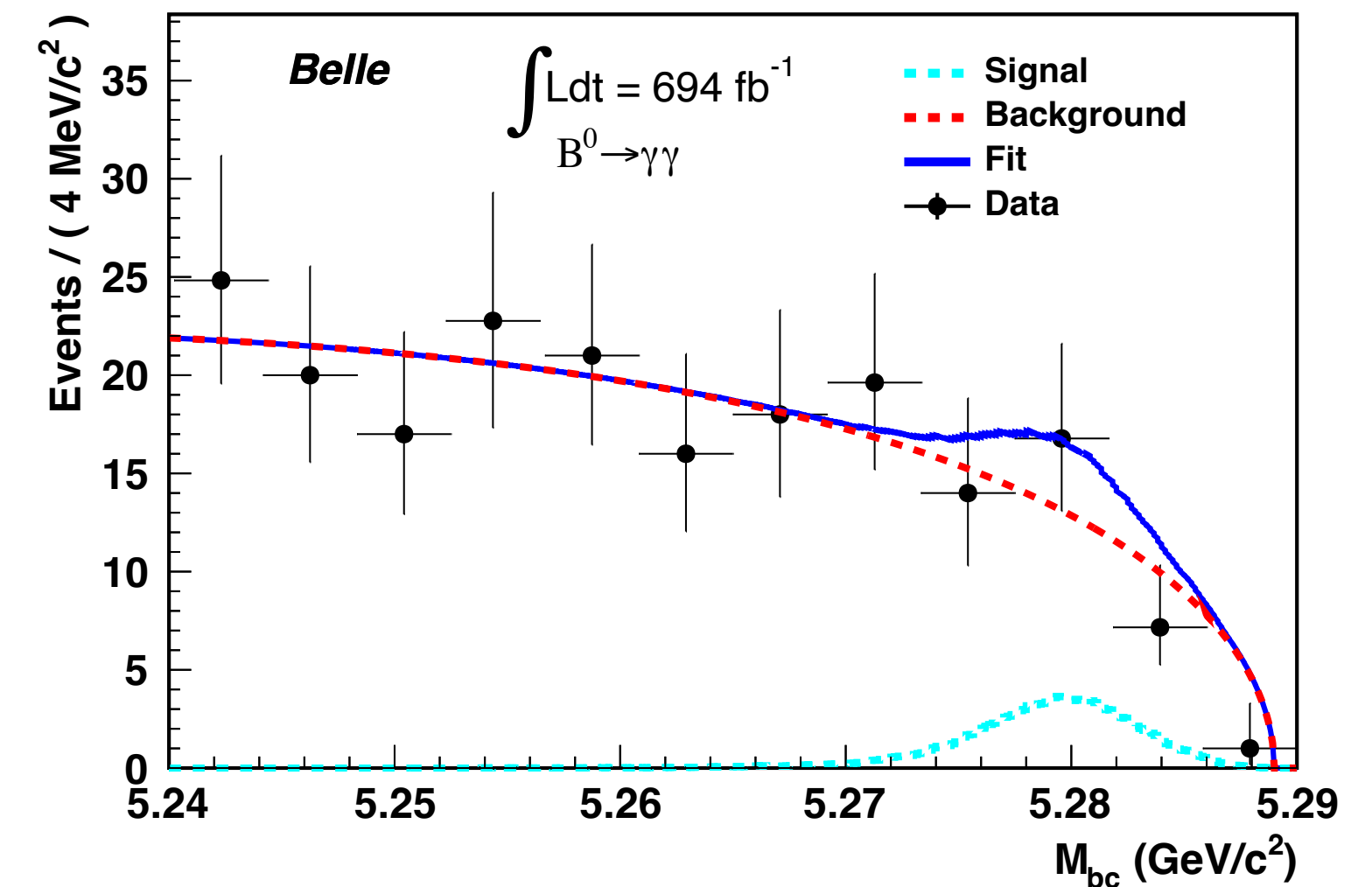
# Search for $B^0 \rightarrow \gamma\gamma$

PRD 110, 031106 (2024)



- Double radiative with  $\mathcal{B}_{\text{SM}} = (1.4_{-0.8}^{+1.4}) \times 10^{-8}$  [JHEP 12 (2020) 169]
- Reliable prediction: non-hadronic final state
- Suppress off-time photon background
- Dominant  $\pi^0(\eta) \rightarrow \gamma\gamma$  from  $q\bar{q}$  background  
Fit to  $M_{bc}$ ,  $\Delta E$ , shape classifier

**Belle + Belle II**  
**(694 + 365 fb<sup>-1</sup>)**



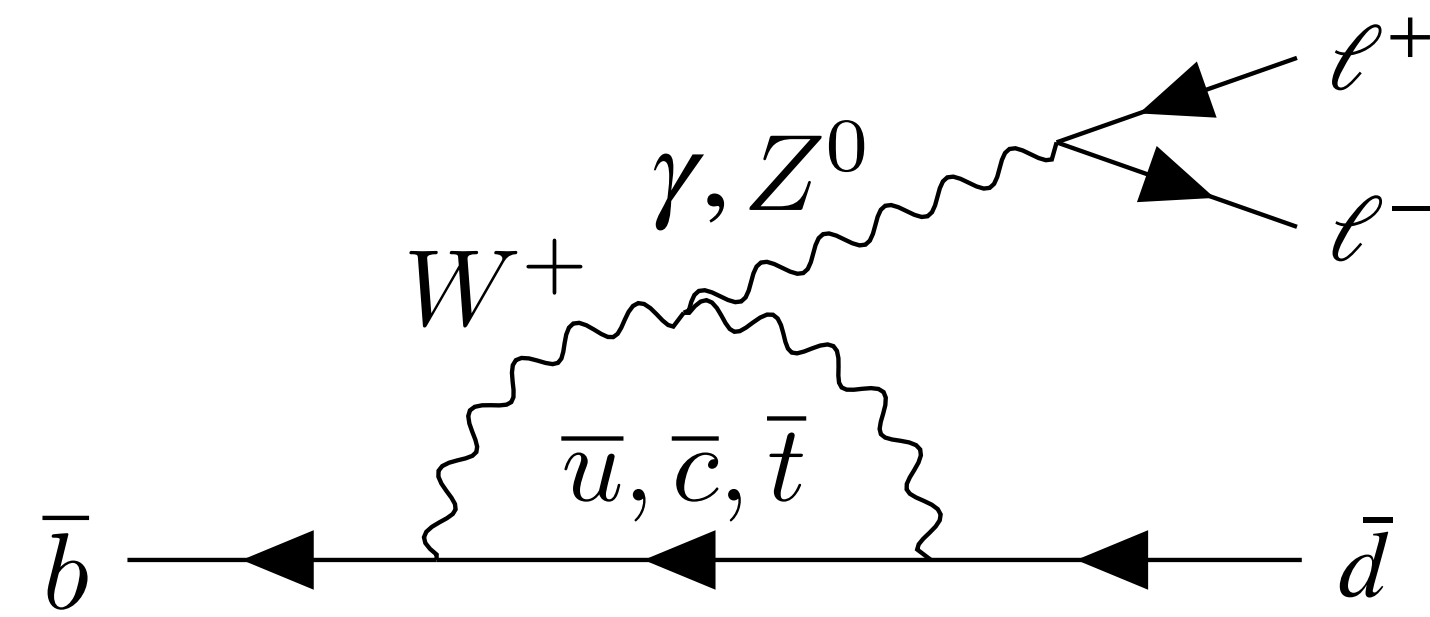
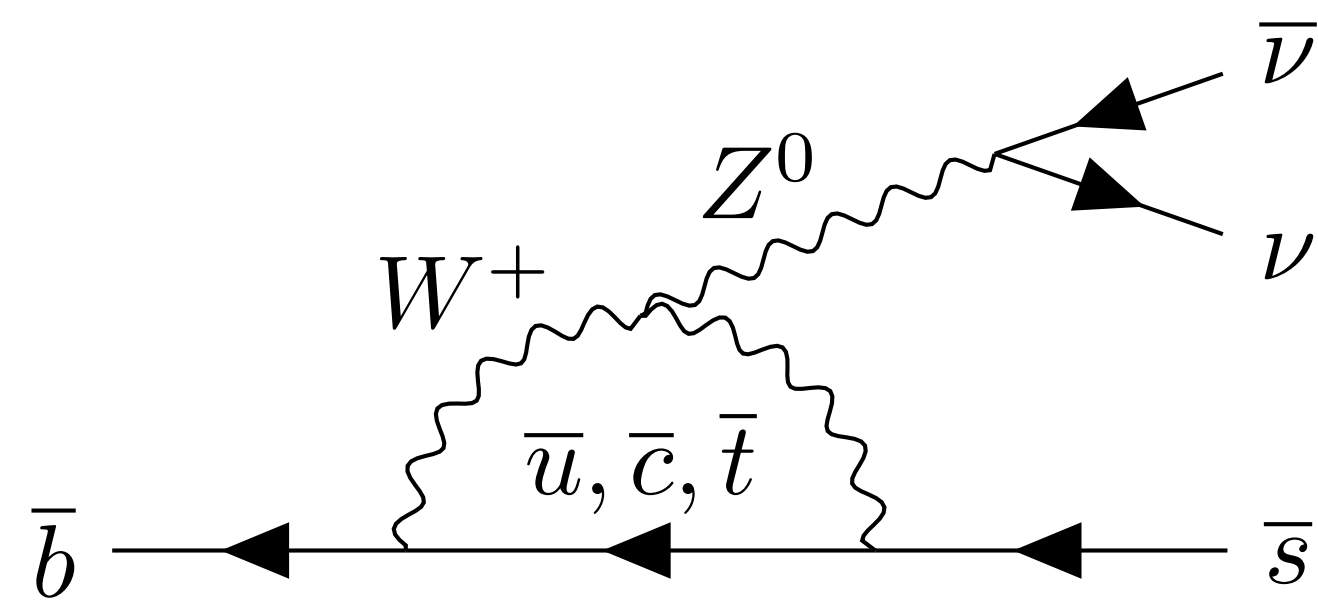
	$\mathcal{B}(B^0 \rightarrow \gamma\gamma)$	$\mathcal{B}(B^0 \rightarrow \gamma\gamma)$ (at 90% CL)
Belle	$(5.4_{-2.6}^{+3.3} \pm 0.5) \times 10^{-8}$	$< 9.9 \times 10^{-8}$
Belle II	$(1.7_{-2.4}^{+3.7} \pm 0.3) \times 10^{-8}$	$< 7.4 \times 10^{-8}$
Combined	$(3.7_{-1.8}^{+2.2} \pm 0.5) \times 10^{-8}$	$< 6.4 \times 10^{-8}$

Consistent with SM

Five times better limit than the current world best



# Electroweak penguin $B$ decays



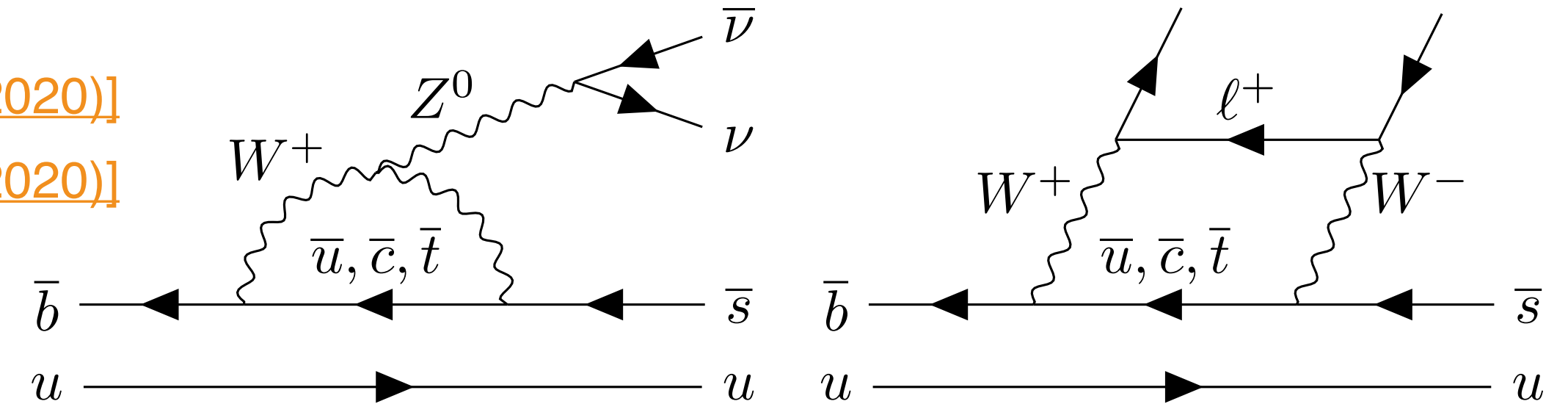
# Evidence for $B^+ \rightarrow K^+ \nu \bar{\nu}$



- More reliable than  $b \rightarrow s \ell^+ \ell^-$ : no photon exchange factorization.  $\mathcal{B}_{\text{SM}} = (5.6 \pm 0.4) \times 10^{-6}$  [PRD 107, 014511 (2023)]

Belle II ( $365 \text{ fb}^{-1}$ )

- BSM may significantly increase its  $\mathcal{B}$  [PRD 102, 015023 (2020)]  
[PRD 101, 095006 (2020)]
- **Challenges:** 3 body kinematics with 2 neutrinos

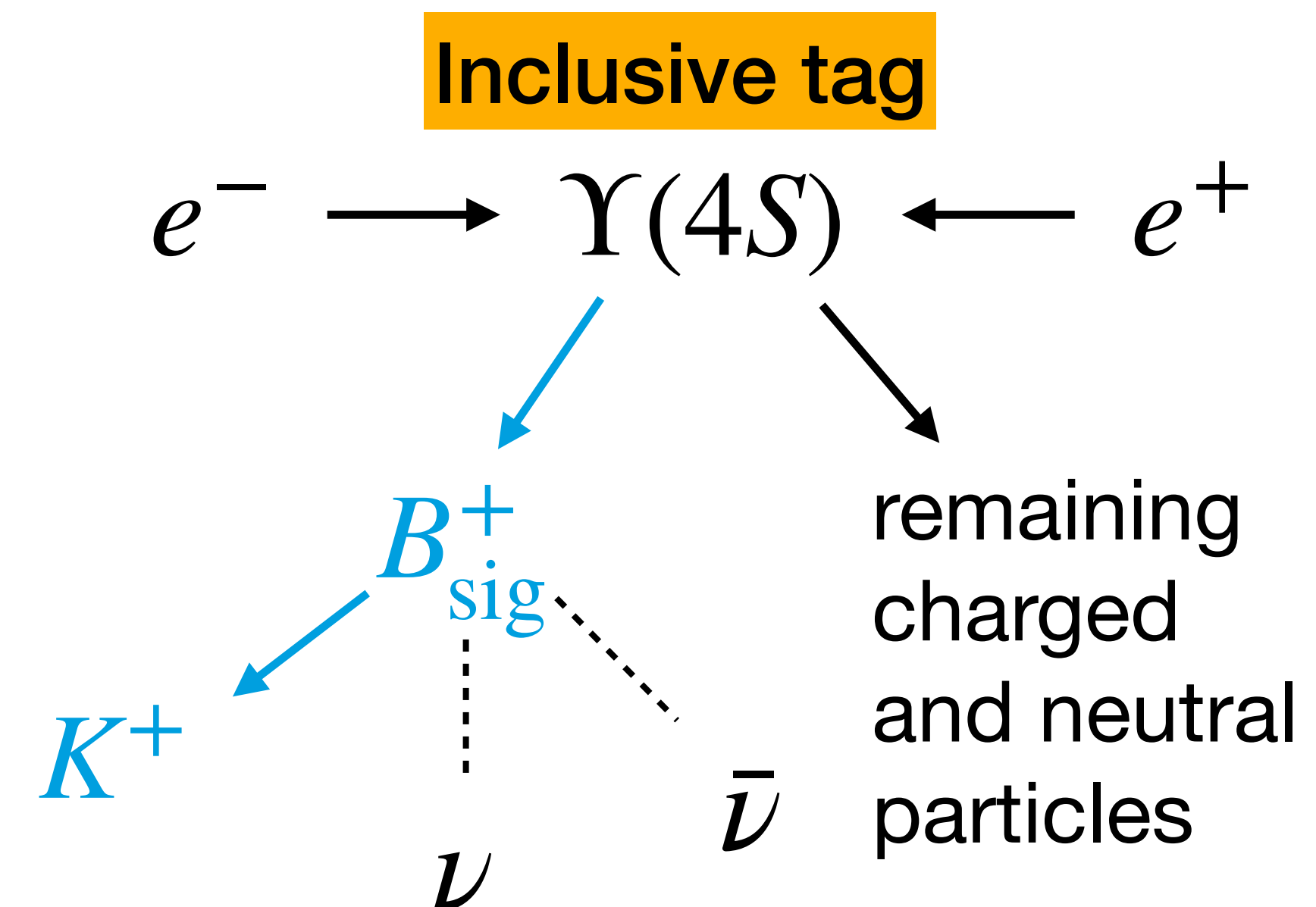


- no signal peaking kinematic observable
- high background with one prompt track

- Relies on missing energy information. Belle II is ideally suited

- **Novel approach: include all companion  $B$  decays (inclusive tag)**

- Increase signal efficiency by 35 % over conventional exclusive tag approaches

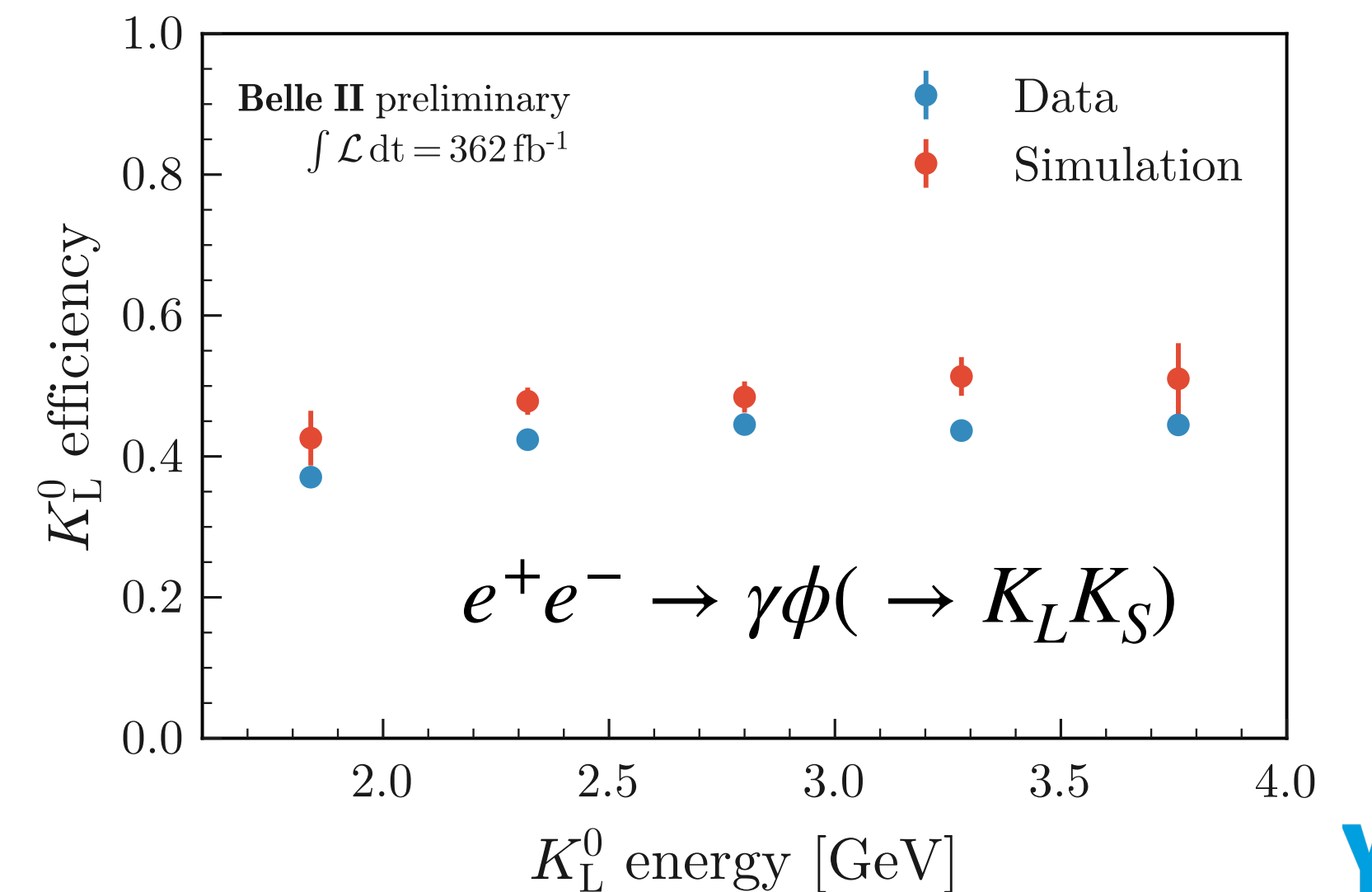
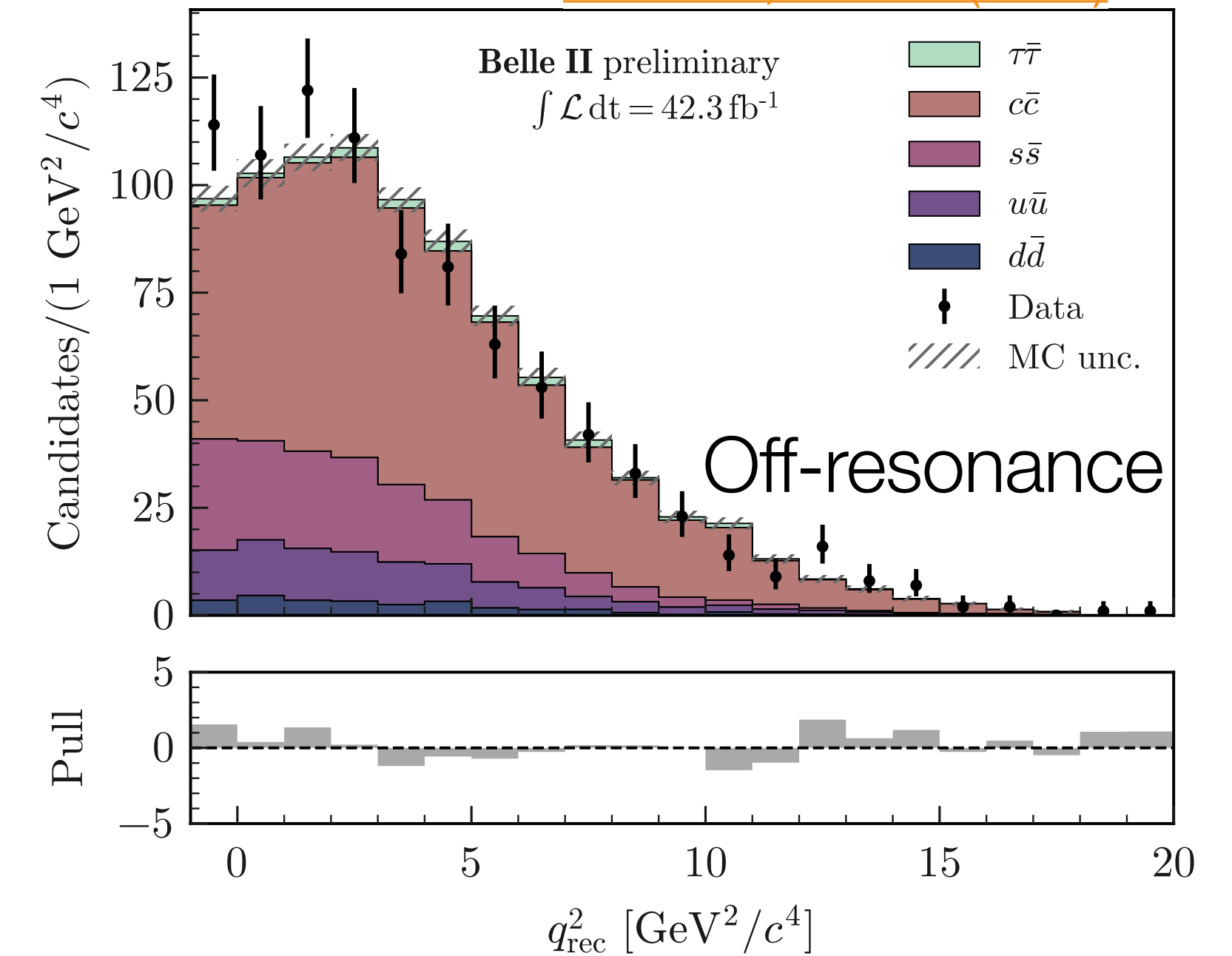


# $B^+ \rightarrow K^+ \nu \bar{\nu}$ : strategy and validation



PRD 109, 112006 (2024)

- Two consecutive classifiers with signal kaon, event shape and non-signal reconstruction information
- Signal efficiency validation with  $B^+ \rightarrow J/\psi K^+$  with modified kinematics to match signal
- Various background yield correction from off-resonance ( $\times 1.4$ ),  $K_L$  efficiency ( $\times 0.83$ )
- Closure test:  $\mathcal{B}(B^+ \rightarrow K^0 \pi^+) = (2.5 \pm 0.5) \times 10^{-5}$ ;  
PDG compatible:  $(2.38 \pm 0.08) \times 10^{-5}$
- Major systematics sources in terms of signal strength ( $\mu$ ):
  - background yield (16%)
  - limited sample size for fit model (9%)
- Analysis cross-checked with hadronic tagged  $B^+ \rightarrow K^+ \nu \bar{\nu}$ :  
companion  $B$  from hadronic decays



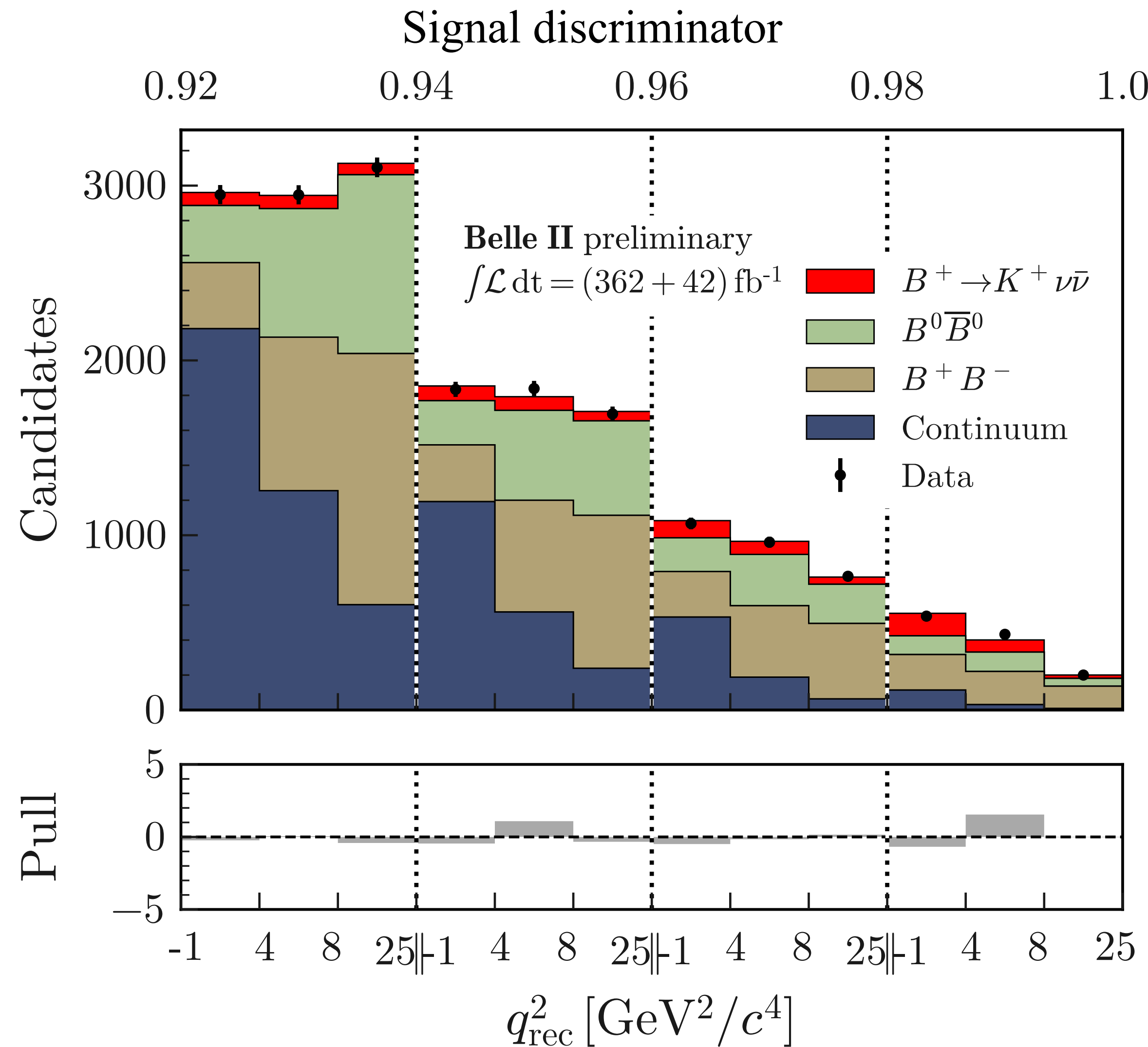


# $B^+ \rightarrow K^+ \nu \bar{\nu}$ : fit

PRD 109, 112006 (2024)



- Fit in bins of dineutrino mass ( $q_{\text{rec}}^2$ ) and classifier output



# $B^+ \rightarrow K^+ \nu \bar{\nu}$ : result

PRD 109, 112006 (2024)



Inclusive tag:

$$\mathcal{B} = (2.7 \pm 0.5 \pm 0.5) \times 10^{-5}$$

Excess significance:  $3.5\sigma$

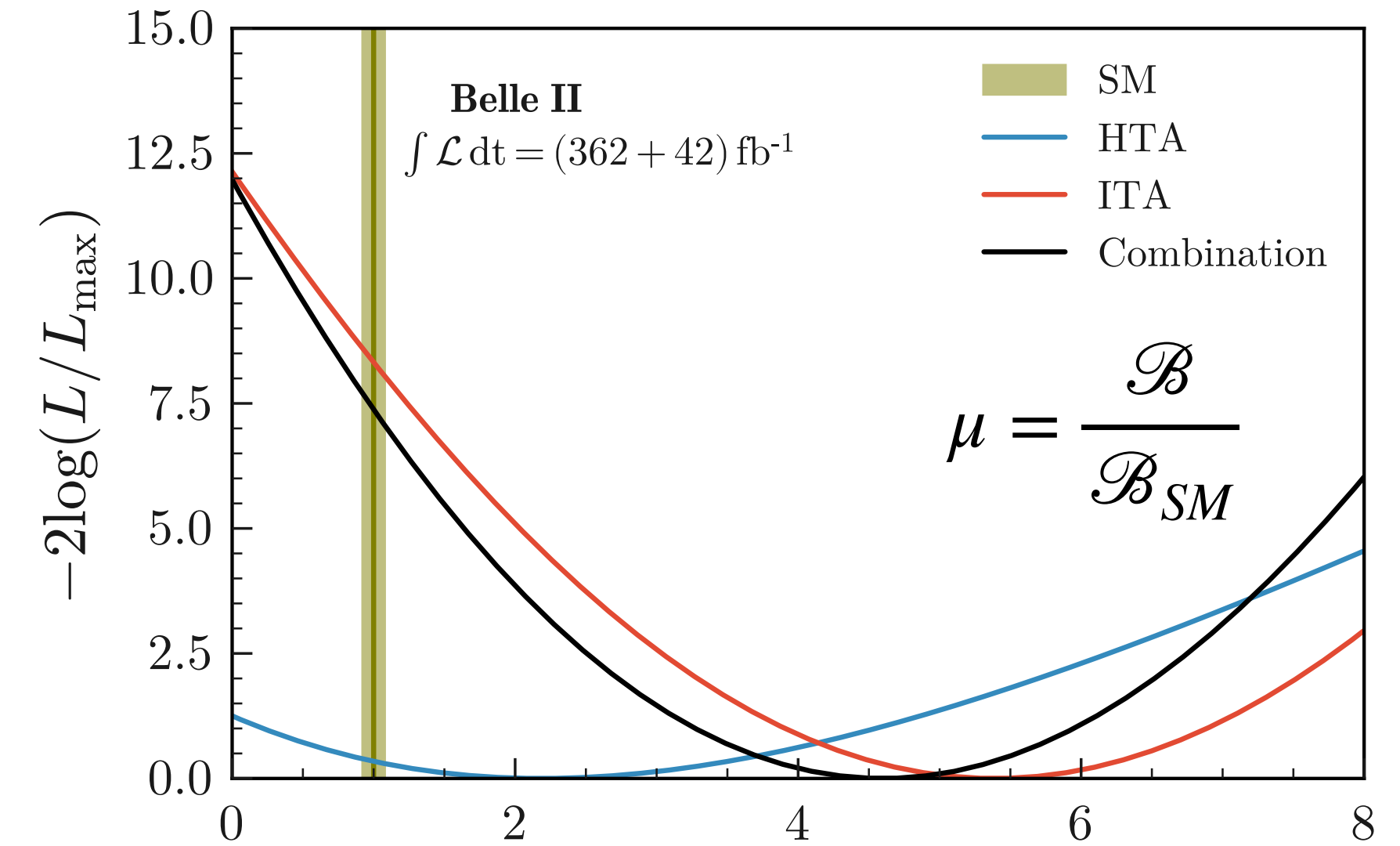
SM deviation:  $2.9\sigma$

Hadronic tag:

$$\mathcal{B} = (1.1^{+0.9+0.8}_{-0.8-0.5}) \times 10^{-5}$$

Excess significance:  $1.1\sigma$

SM deviation  $0.6\sigma$



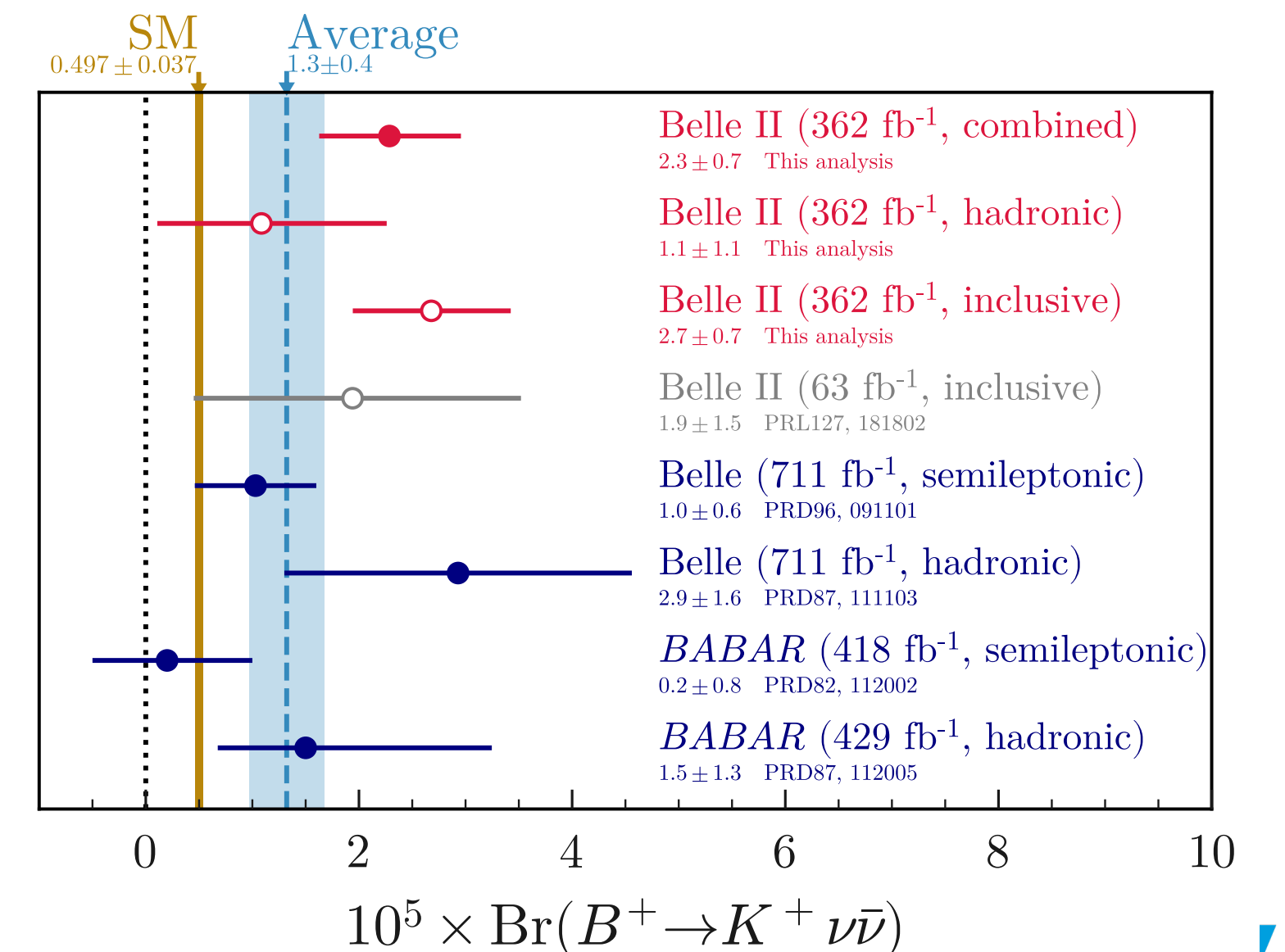
- Combination: excluded common events from inclusive sample

Combined:  $\mathcal{B} = (2.3 \pm 0.5^{+0.5}_{-0.4}) \times 10^{-5}$

Significance of the excess is  $3.5\sigma$

$2.7\sigma$  deviation from SM

First evidence of  $B^+ \rightarrow K^+ \nu \bar{\nu}$

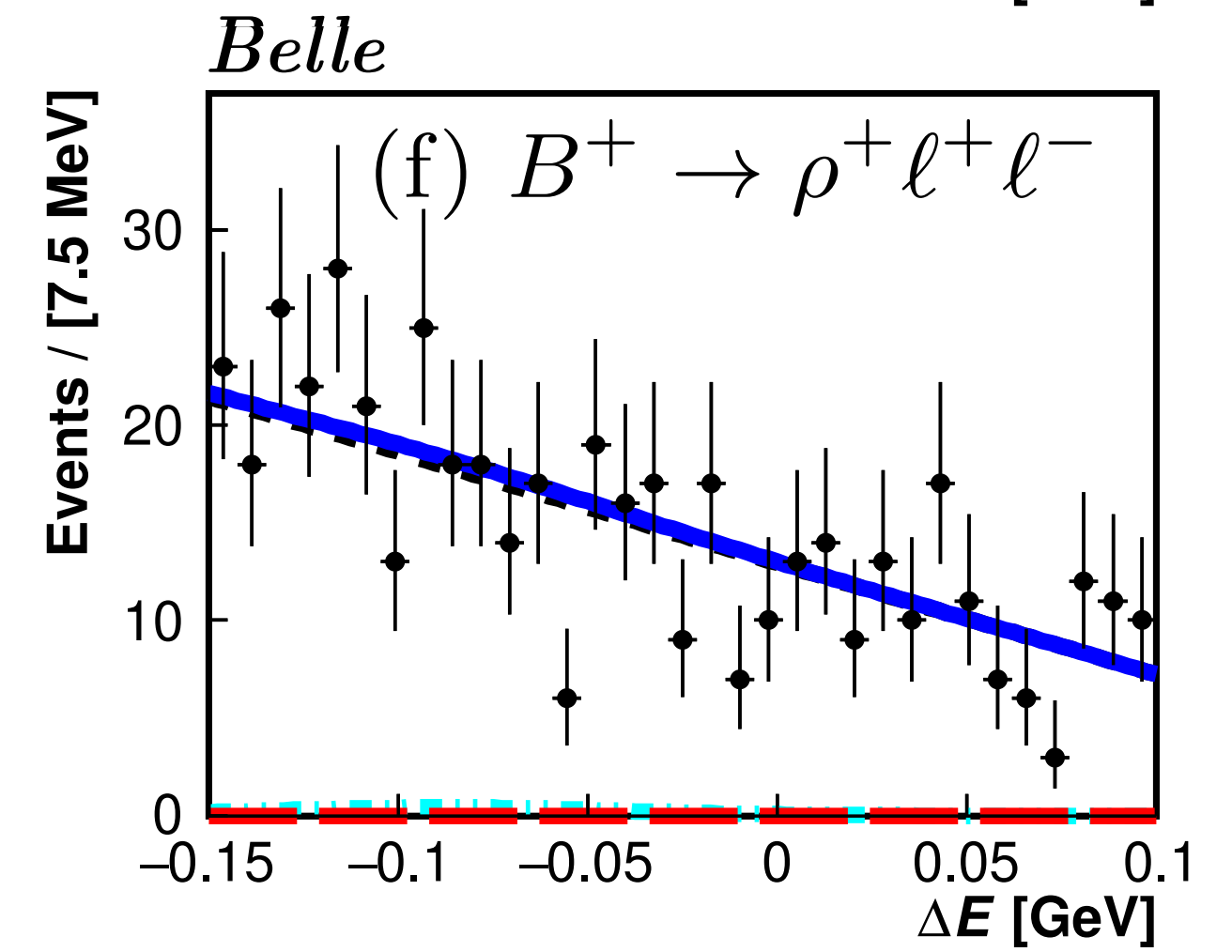
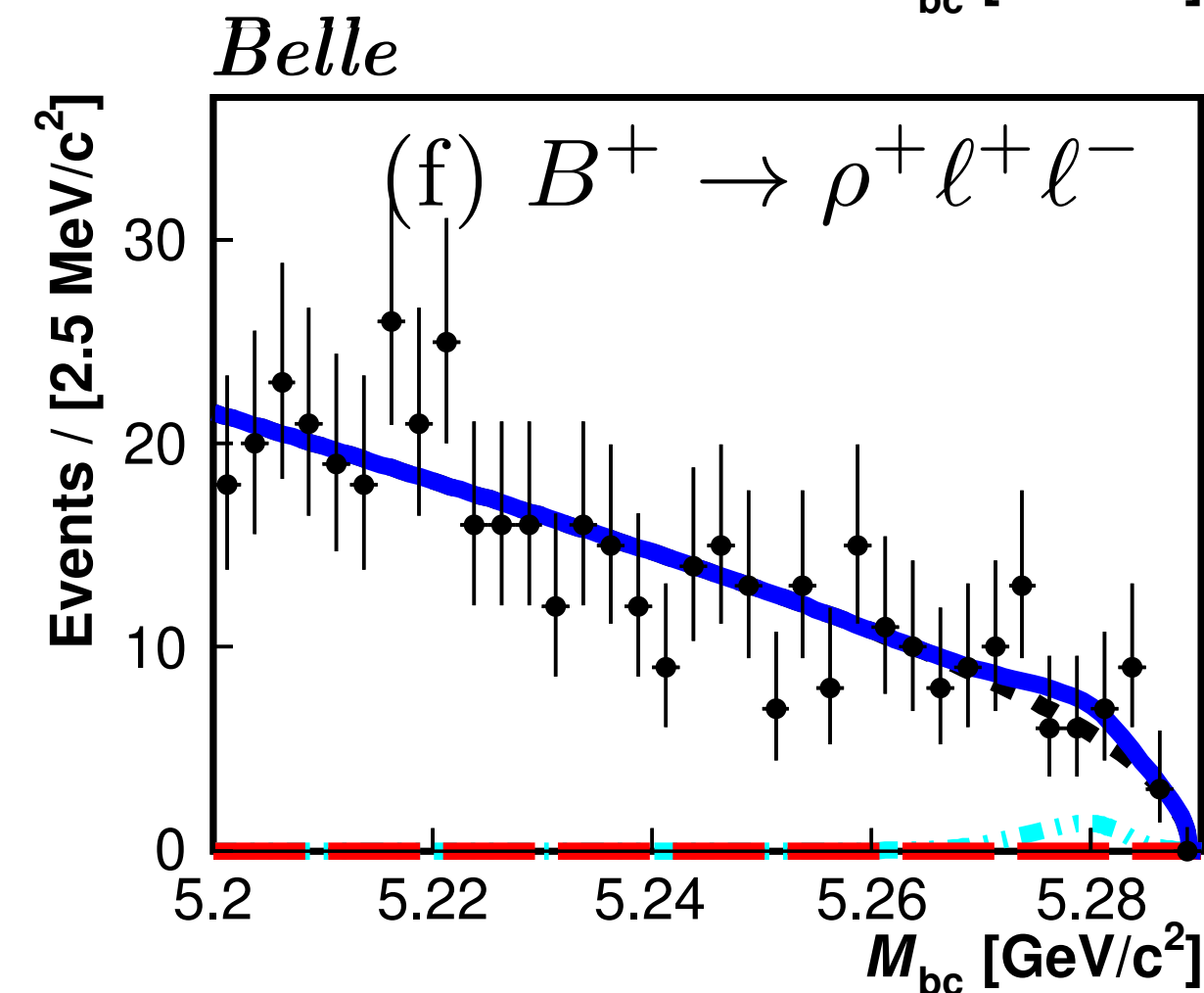
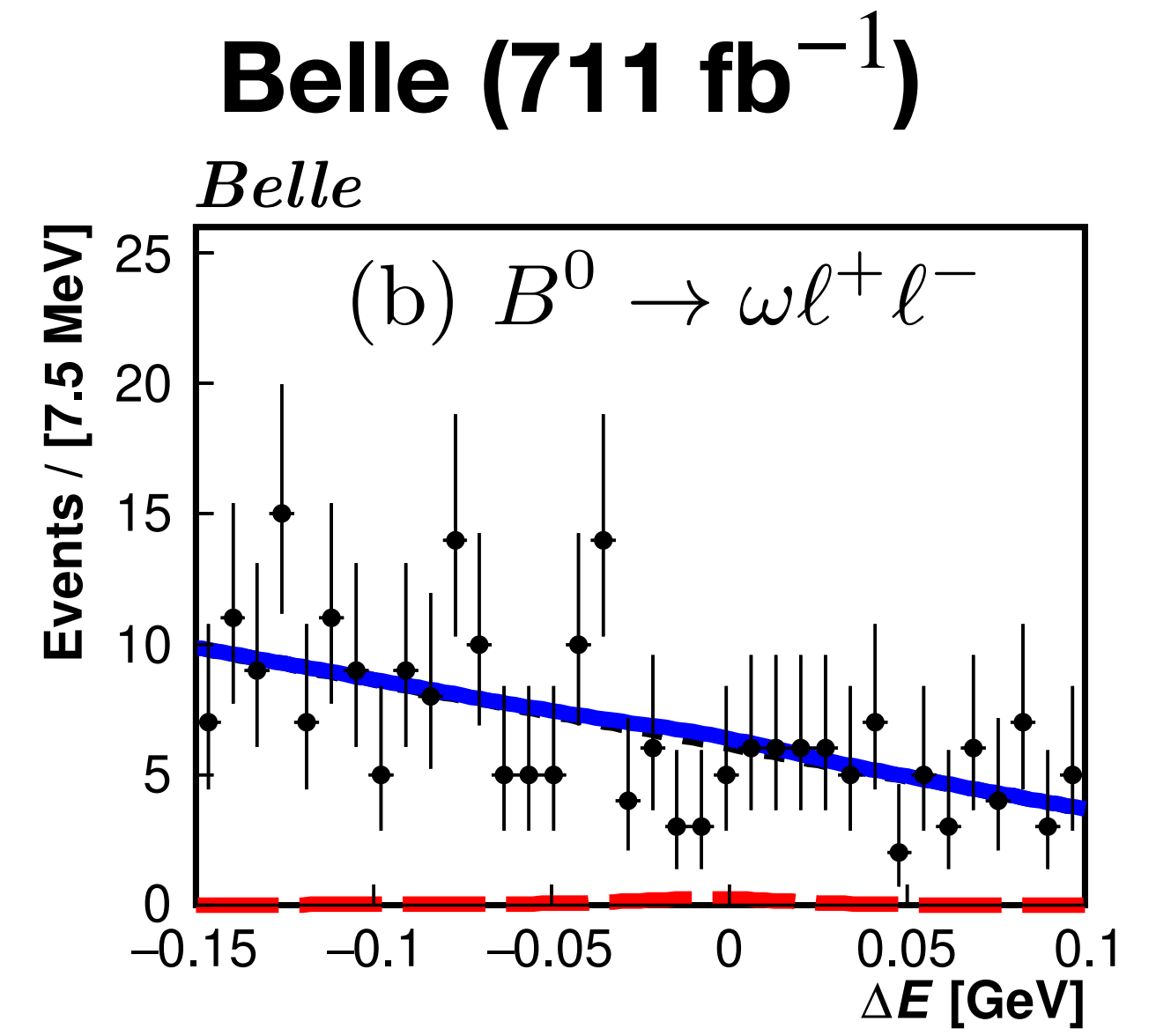
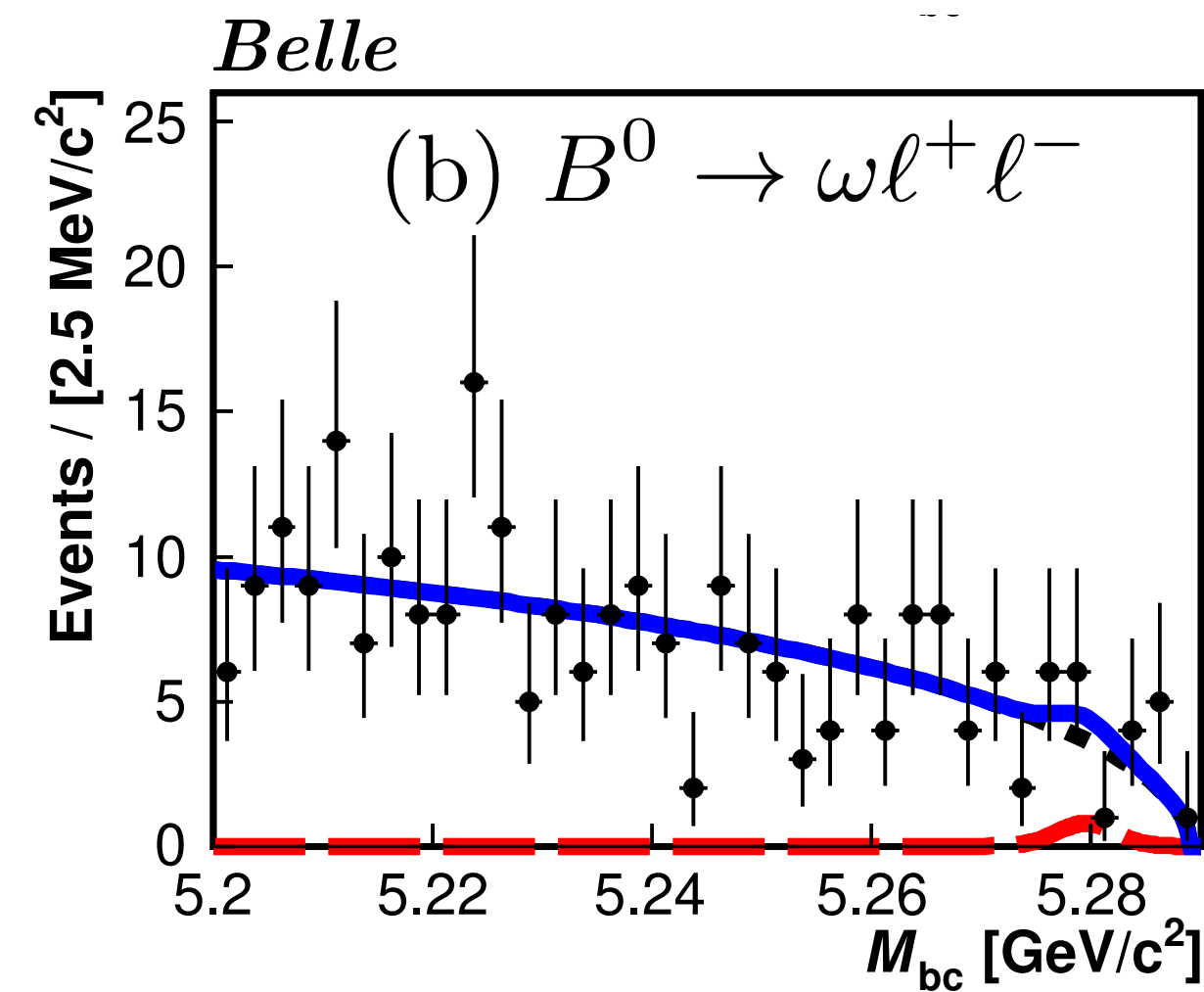


# Search for $b \rightarrow d\ell^+\ell^-$

PRL 133, 101804 (2024)



- $\mathcal{B}_{\text{SM}} \leq \mathcal{O}(10^{-8})$  [PRD 86, 114025 (2012)]
- Probe lepton flavour universality
- LHCb ( $3 \text{ fb}^{-1}$ ) observed final states with  $\pi^\pm$  in muon modes [JHEP 10 (2015) 034]
- Suppress peaking  $J/\psi$  and  $\psi(2S)$  background and fit to  $\Delta E$  and  $M_{bc}$



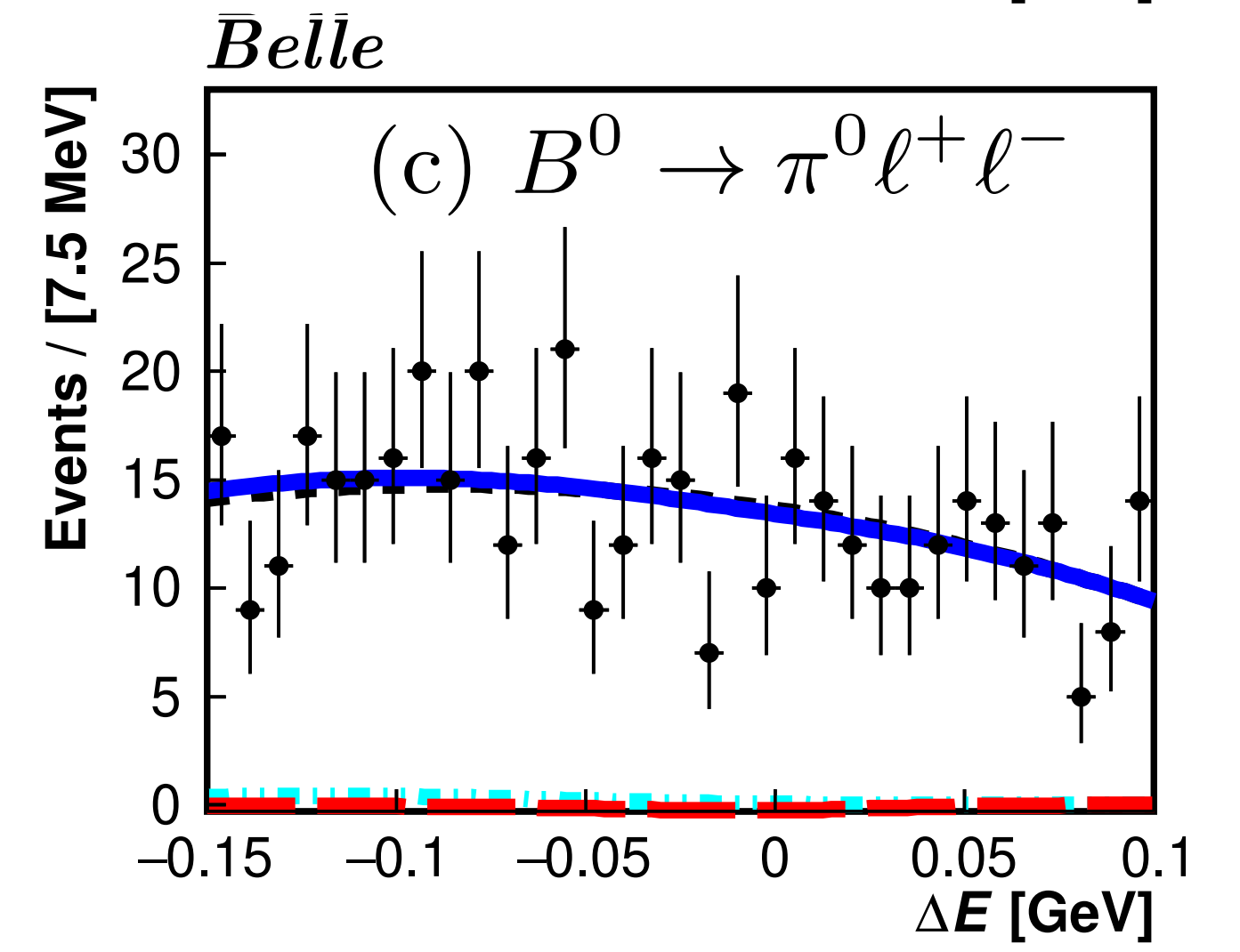
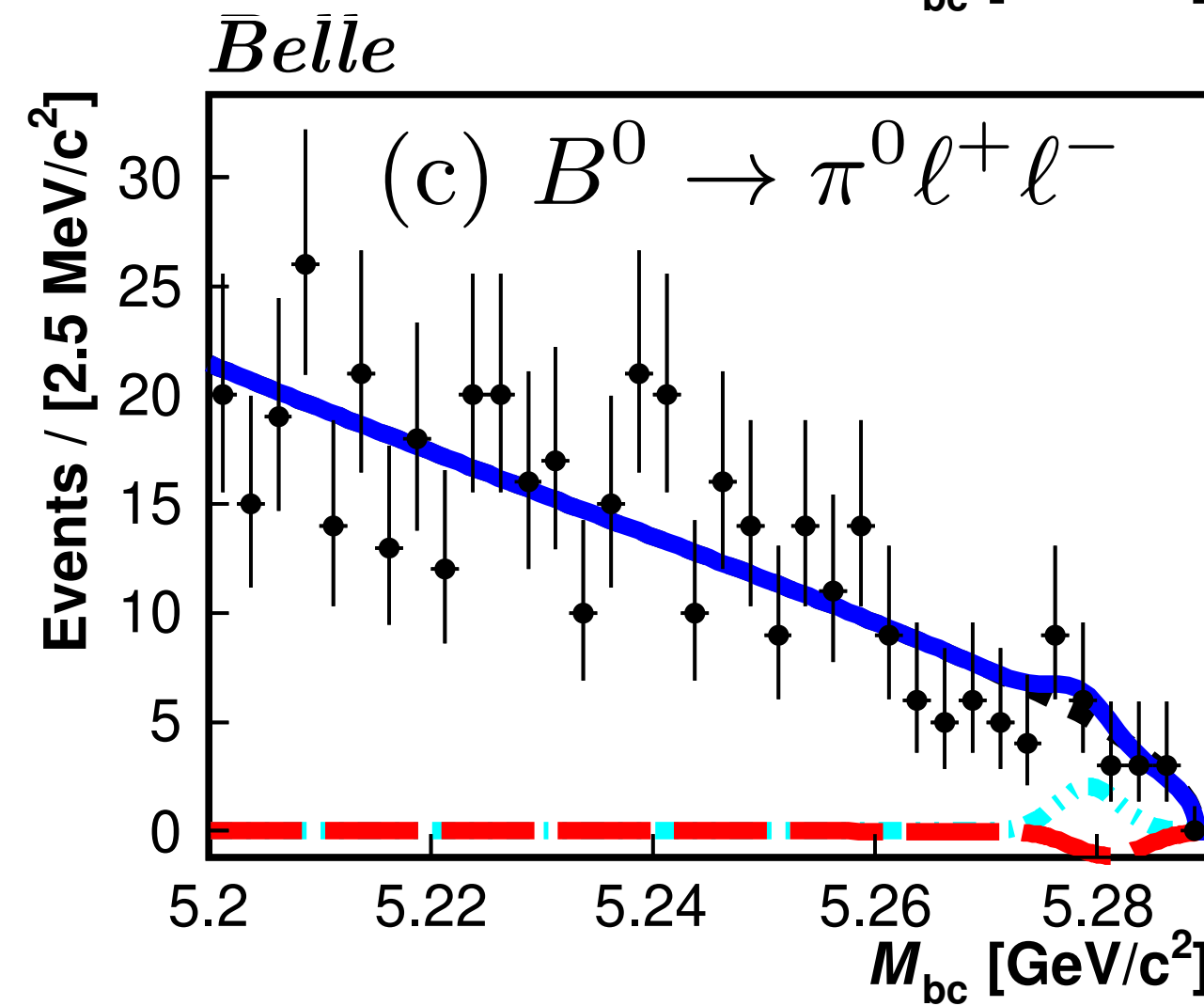
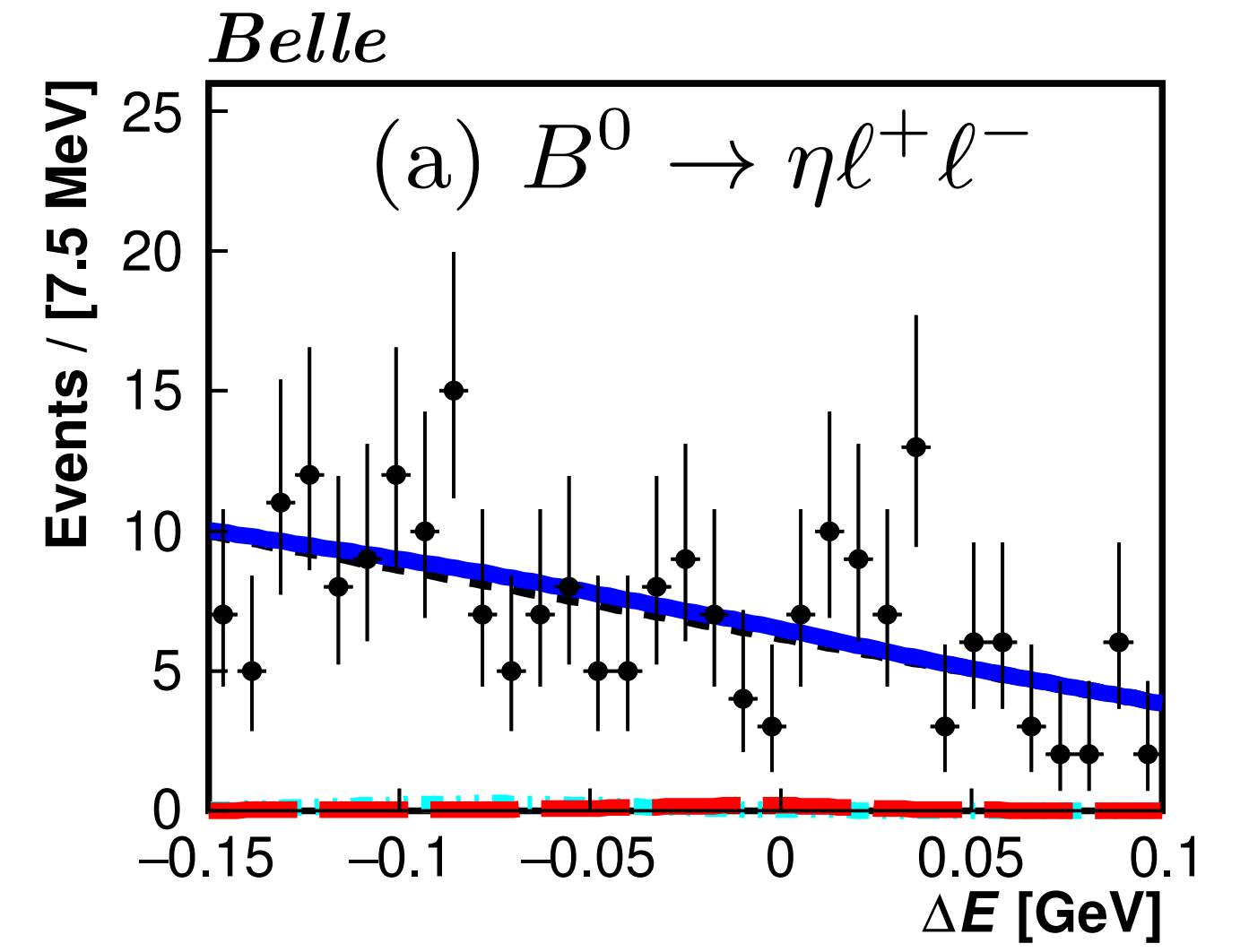
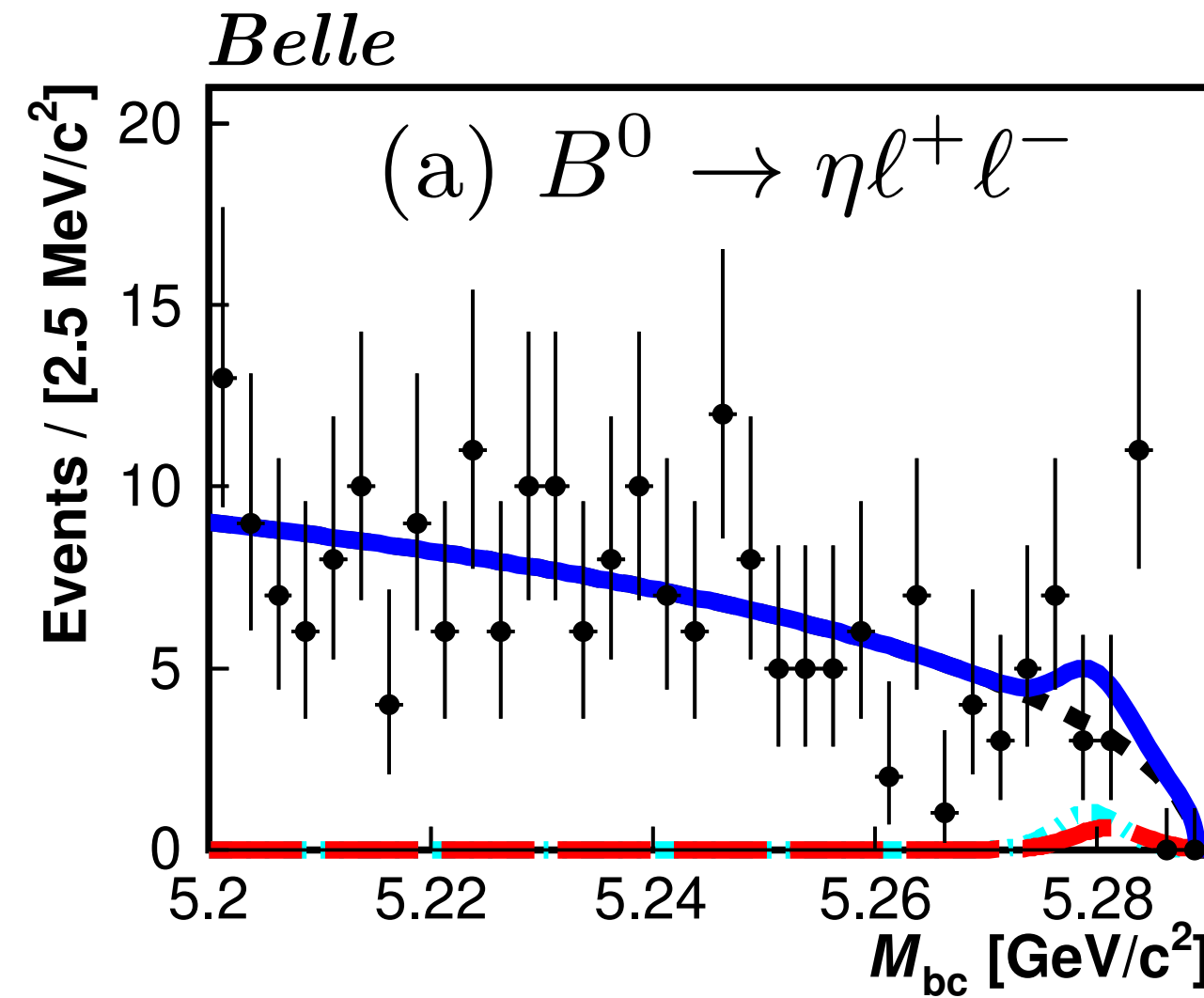


# $b \rightarrow d\ell^+\ell^-$ : result

PRL 133, 101804 (2024)



	$\mathcal{B}^{\text{UL}} (10^{-8})$	$\mathcal{B} (10^{-8})$
$B^0 \rightarrow \eta e^+ e^-$	$< 10.5$	$0.0_{-3.4}^{+4.9} \pm 0.1$
$B^0 \rightarrow \eta \mu^+ \mu^-$	$< 9.4$	$1.9_{-2.5}^{+3.4} \pm 0.2$
$B^0 \rightarrow \eta \ell^+ \ell^-$	$< 4.8$	$1.3_{-2.2}^{+2.8} \pm 0.1$
$B^0 \rightarrow \omega e^+ e^-$	$< 30.7$	$-2.1_{-20.8}^{+26.5} \pm 0.2$
$B^0 \rightarrow \omega \mu^+ \mu^-$	$< 24.9$	$7.7_{-7.5}^{+10.8} \pm 0.6$
$B^0 \rightarrow \omega \ell^+ \ell^-$	$< 22.0$	$6.4_{-7.8}^{+10.7} \pm 0.5$
$B^0 \rightarrow \pi^0 e^+ e^-$	$< 7.9$	$-5.8_{-2.8}^{+3.6} \pm 0.5$
$B^0 \rightarrow \pi^0 \mu^+ \mu^-$	$< 5.9$	$-0.4_{-2.6}^{+3.5} \pm 0.1$
$B^0 \rightarrow \pi^0 \ell^+ \ell^-$	$< 3.8$	$-2.3_{-1.5}^{+2.1} \pm 0.2$
$B^+ \rightarrow \pi^+ e^+ e^-$	$< 5.4$	$0.1_{-1.8}^{+2.7} \pm 0.1$
$B^0 \rightarrow \rho^0 e^+ e^-$	$< 45.5$	$23.6_{-11.2}^{+14.6} \pm 1.1$
$B^+ \rightarrow \rho^+ e^+ e^-$	$< 46.7$	$-38.2_{-17.2}^{+24.5} \pm 3.4$
$B^+ \rightarrow \rho^+ \mu^+ \mu^-$	$< 38.1$	$13.0_{-13.3}^{+17.5} \pm 1.1$
$B^+ \rightarrow \rho^+ \ell^+ \ell^-$	$< 18.9$	$2.5_{-11.8}^{+14.6} \pm 0.2$

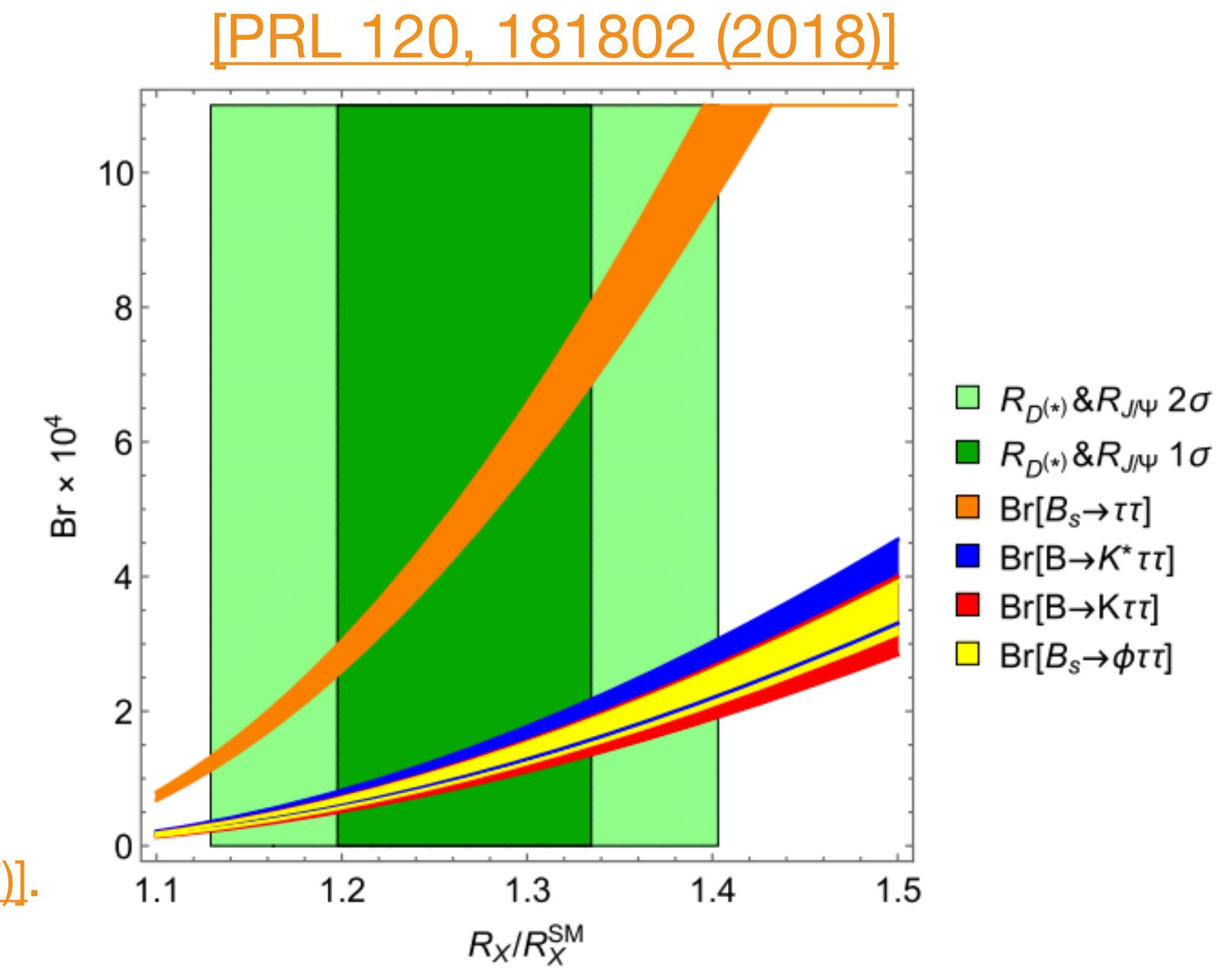


World's best limits in all channels. First search for  $\omega \ell^+ \ell^-$ ,  $\rho^0 e^+ e^-$ ,  $\rho^\pm \ell^+ \ell^-$  modes

# Search for $B^0 \rightarrow K^{*0} \tau^+ \tau^-$



- Suppressed in SM with  $\mathcal{B}_{\text{SM}} = (0.98 \pm 0.10) \times 10^{-7}$  [\[PRL 120, 181802 \(2018\)\]](#)
- NP models explaining  $b \rightarrow c\tau\nu$  anomalies predict a significant BF enhancement with a  $\tau$  pair in the final state, involving third-generation fermion couplings

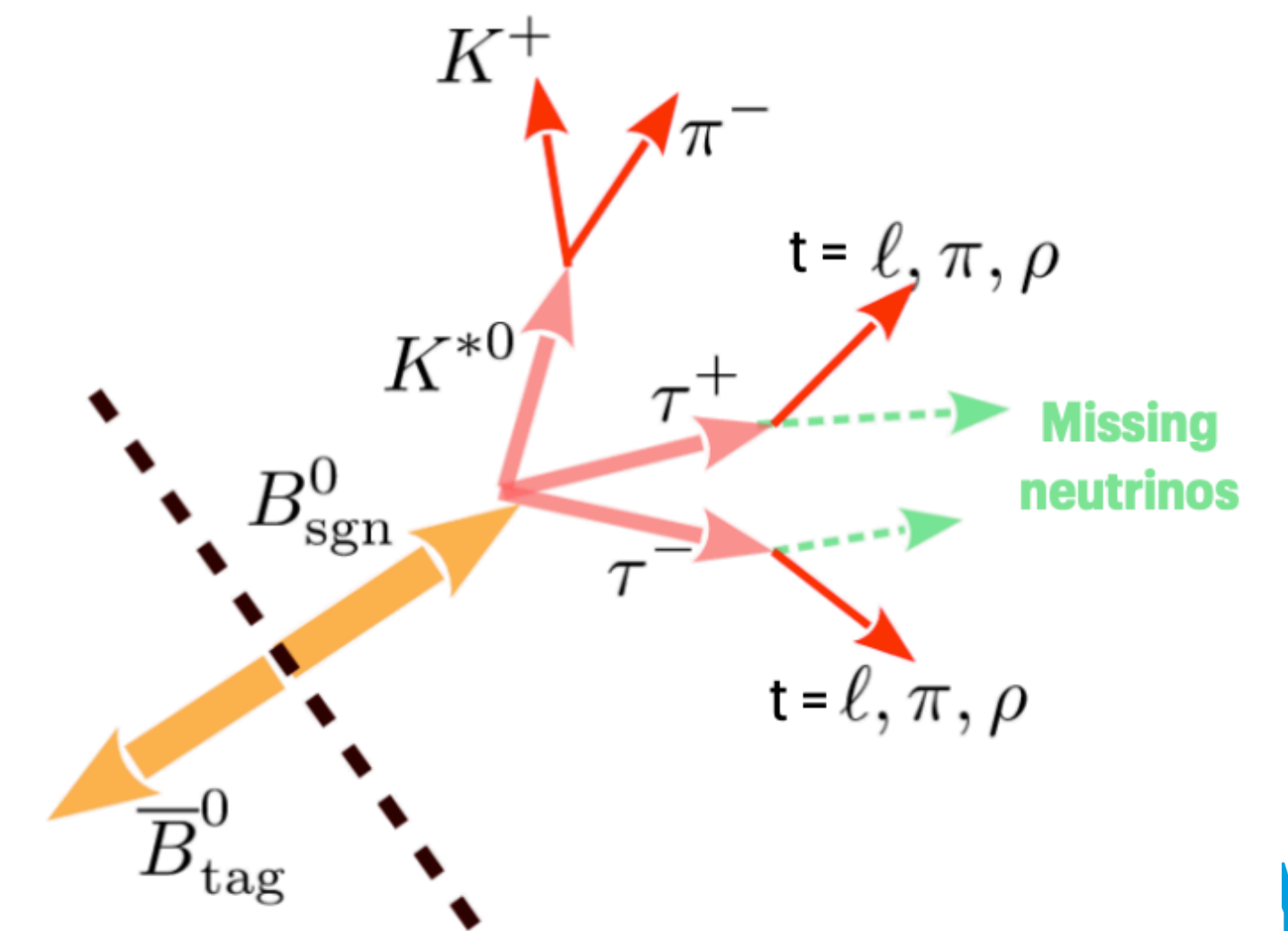


$\mathcal{B}^{\text{UL}}(B^0 \rightarrow K^{*0} \tau^+ \tau^-) < 3.1 \times 10^{-3}$   711 fb<sup>-1</sup> [\[PRD 108, L011102 \(2023\)\]](#)

$\mathcal{B}^{\text{UL}}(B^+ \rightarrow K^+ \tau^+ \tau^-) < 2.3 \times 10^{-3}$   424 fb<sup>-1</sup> [\[PRL 118, 031802 \(2017\)\]](#).

## Challenges:

- No signal peaking kinematic observable due to multiple  $\nu$ s
- Large backgrounds
- Overcome by  $B_{\text{tag}}$  reconstruction from fully hadronic final states



# $B^0 \rightarrow K^{*0} \tau^+ \tau^-$ : strategy and results

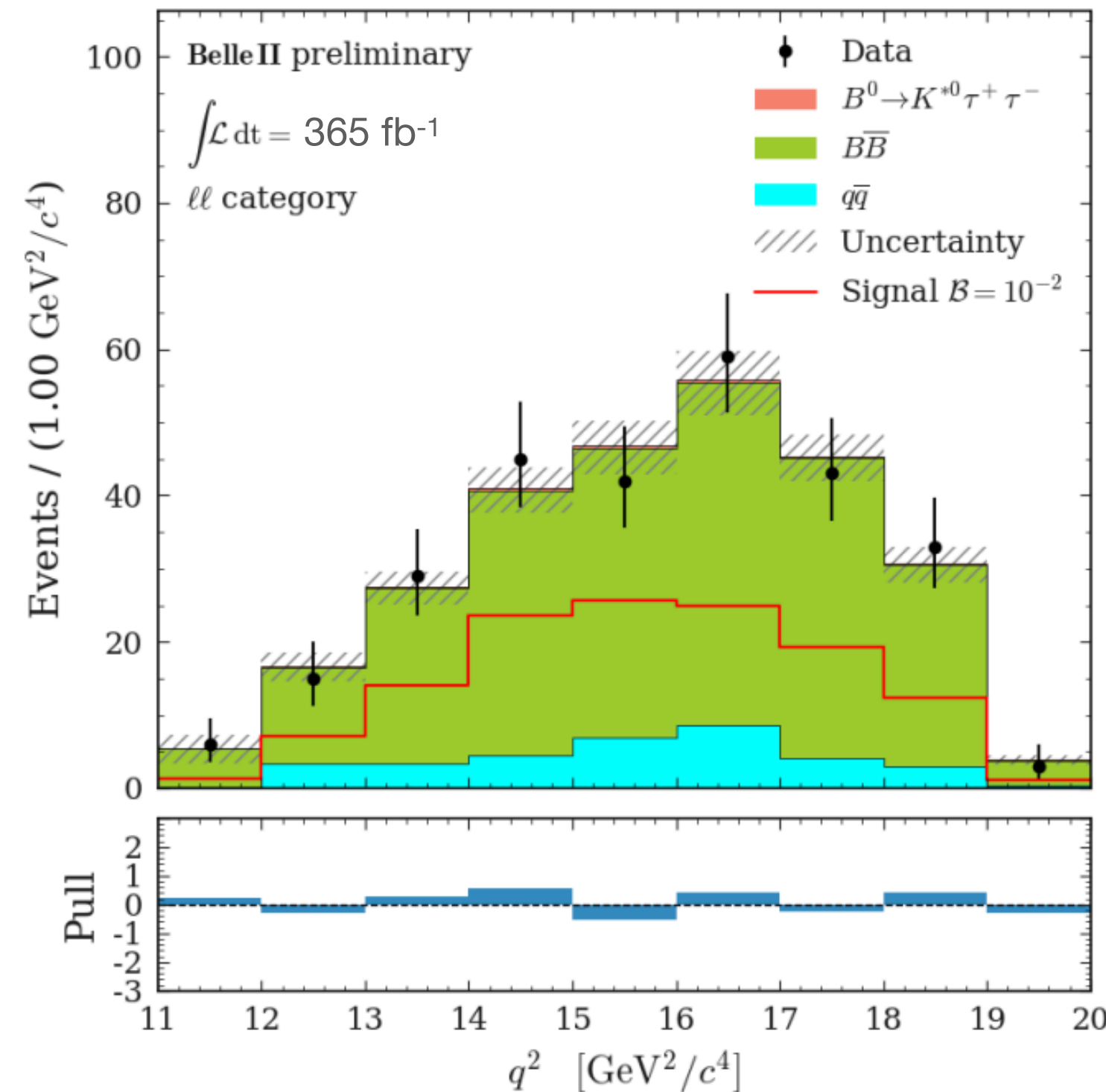


- Four final state categories from  $\tau^+ \tau^-$  pair:  $\ell\ell$ ,  $\ell\pi$ ,  $\pi\pi$ ,  $\rho X$
- BDT trained using missing energy, residual energy in calorimeter,  $M(K^{*0}t)$ , dilepton mass ( $q^2$ ), etc
- Signal extraction from BDT score ( $\eta$ ) via simultaneous fit of all categories

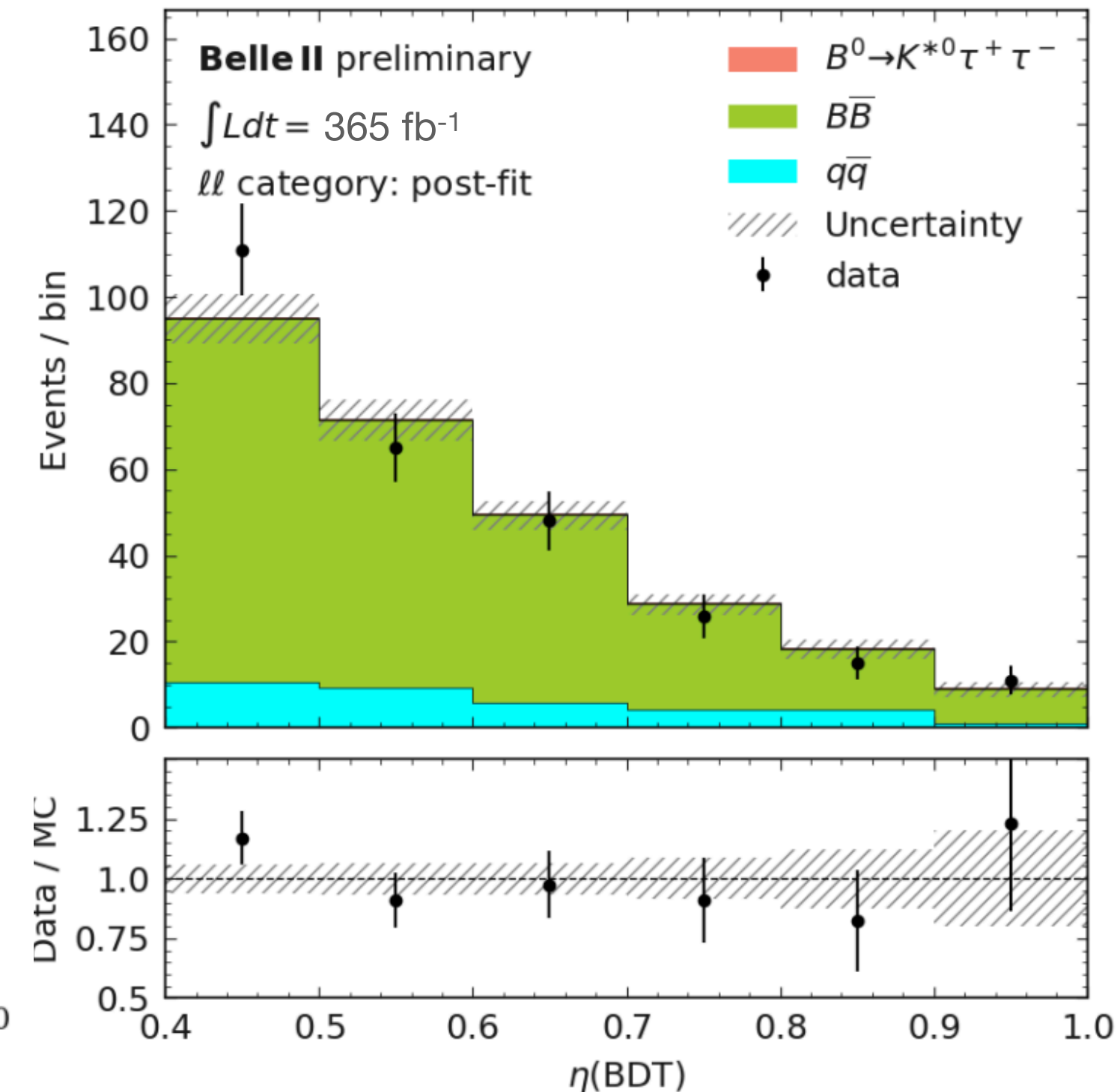
$$\mathcal{B} < 1.8 \times 10^{-3} \text{ at 90\% C.L.}$$

Dominant systematics from simulated sample size and BF of semileptonic  $D^{**}$  backgrounds

$\ell\ell$  as an example



Belle II ( $365 \text{ fb}^{-1}$ )



Twice better with half the statistics vs. world best  
Most stringent limit on  $b \rightarrow s\tau\tau$  transition

Better tagging + more categories + BDT



# Search for $B^0 \rightarrow K_S^0 \tau^\pm \ell^\mp$



- $\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu})$  excess and  $b \rightarrow c \tau \ell$  anomalies suggest new heavy particles coupling to 3rd-gen leptons

- BSM extensions predict LFV  $b \rightarrow s \tau \ell$  decay rates near current experimental limits

- Third-gen couplings +  $\tau$  lepton mass increases NP sensitivity

## Challenges:

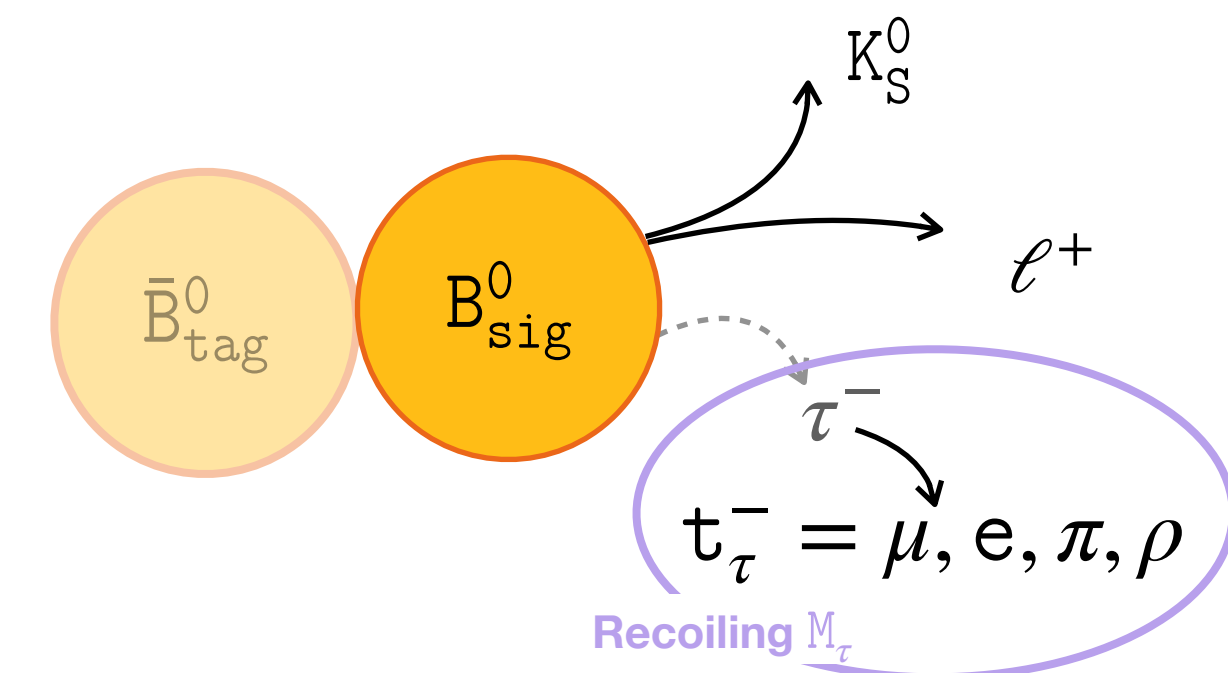
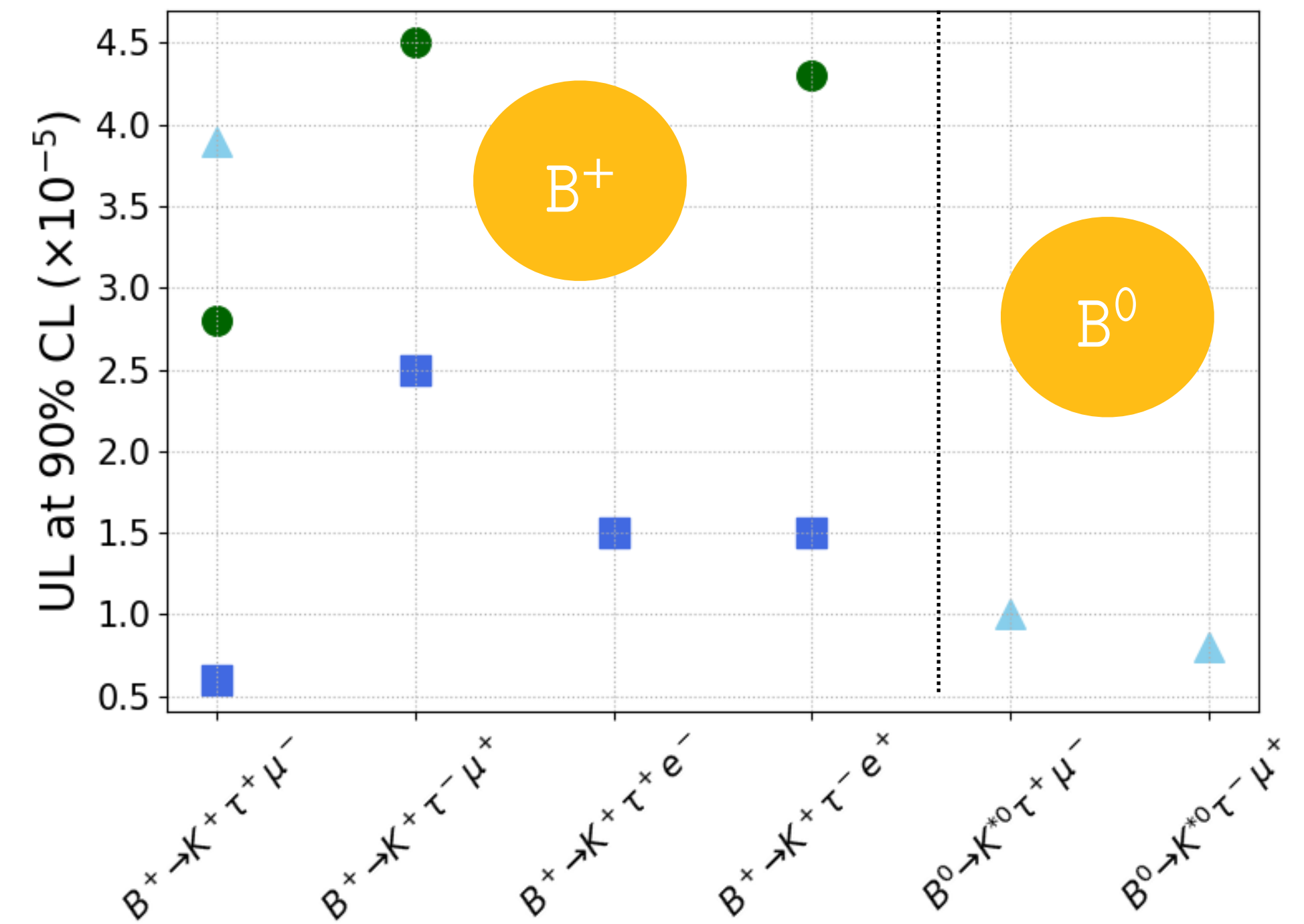
- Forbidden decay
- One missing  $\nu$  in the final state
- Large backgrounds
- Overcome by  $B_{\text{tag}}$  reconstruction from fully hadronic final states

● BaBar (428 fb<sup>-1</sup>)  $B^+ \rightarrow K^+ \tau^\pm \ell^\mp$  [PRD 86, 012004 (2012)]

■ Belle (711 fb<sup>-1</sup>)  $B^+ \rightarrow K^+ \tau^\pm \ell^\mp$  [PRL 130, 261802 (2023)]

▲ LHCb (9 fb<sup>-1</sup>)  $B^+ \rightarrow K^+ \tau^+ \mu^-$ ,  $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$

[JHEP 06 (2020) 129] [JHEP 06 (2023) 143]





# $B^0 \rightarrow K_S^0 \tau^\pm \ell^\mp$ : strategy and results



- Advantage of having only one  $\tau$  in the final state, can compute recoil mass of  $\tau$

$$M_{\text{recoil}}^2 = m_\tau^2 = (p_{e^+e^-} - p_K - p_\ell - p_{B_{\text{tag}}})^2$$

- Reject main semileptonic  $B$  background via selection on  $m_{K_S^0 \ell}$  and other bkg's using BDT

- Fit  $M_{\text{recoil}}$  for signal extraction at 90% CL

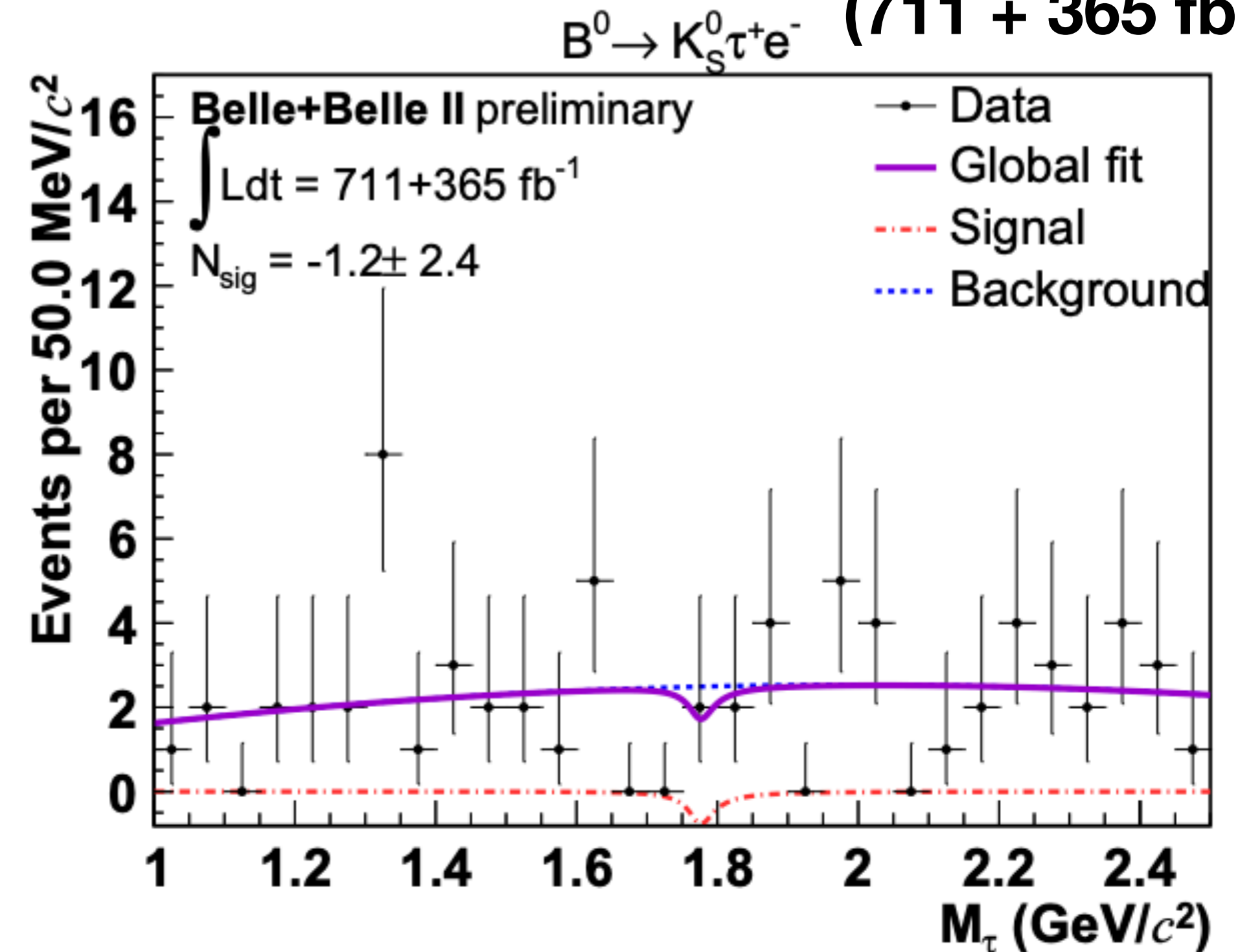
$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^+ \mu^-) < 1.1 \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^- \mu^+) < 3.6 \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^+ e^-) < 1.5 \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K_S^0 \tau^- e^+) < 0.8 \times 10^{-5}$$

**Belle + Belle II**  
(711 + 365 fb<sup>-1</sup>)



First search for  $B^0 \rightarrow K_S^0 \tau^\pm \ell^\mp$  decays

Limits are among the most stringent limit

# Summary



- Radiative and electroweak penguin  $B$  decays are prime processes to probe BSM
- Analyses are possible due to Belle (II) unique abilities
- Several new exciting Belle and Belle II results are shown today with many having world best results
- $B^+ \rightarrow K^+ \nu \bar{\nu}$ : first evidence with  $2.7\sigma$  deviation from SM
- $B^0 \rightarrow K^{*0} \tau^+ \tau^-$ : provides the most stringent limit on  $b \rightarrow s \tau \tau$  transition
- Run 2 is ongoing, stay tuned for more luminosity

$B^0 \rightarrow \gamma\gamma$	<a href="#">PRD 110, 031106 (2024)</a>
$B \rightarrow \rho\gamma$	<a href="#">arXiv:2407.08984</a>
$B \rightarrow K^*\gamma$	Paper in preparation
$B^+ \rightarrow K^+ \nu \bar{\nu}$	<a href="#">PRD 109, 112006 (2024)</a>
$b \rightarrow d \ell \ell$	<a href="#">PRL 133, 101804 (2024)</a>
$B^0 \rightarrow K^{*0} \tau \tau$	Paper in preparation
$B^0 \rightarrow K_S^0 \tau \ell$	Paper in preparation

*Thank you for your attention!*

# Backup

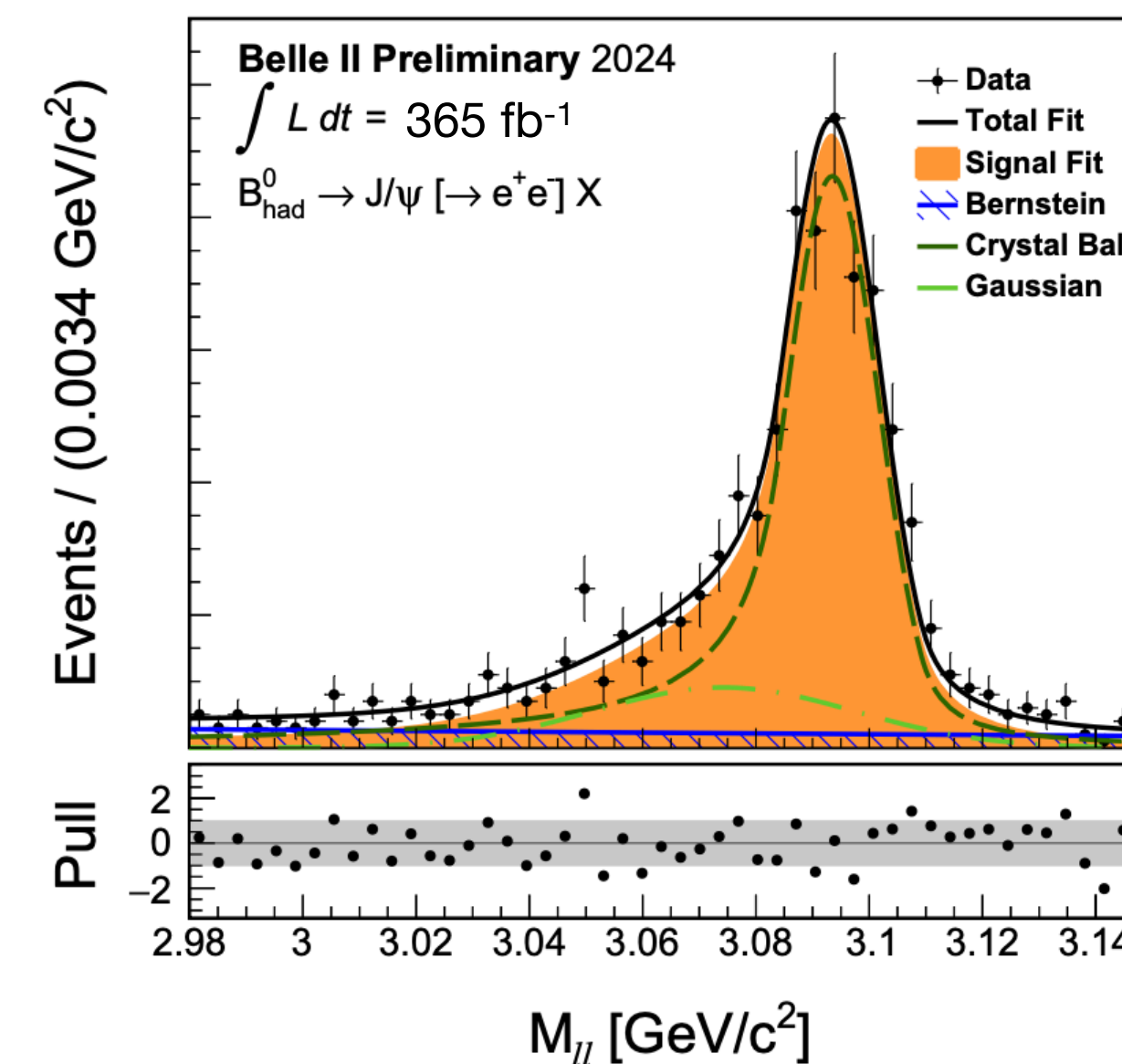
# Measurement of $B \rightarrow J/\psi X$

New



- Useful for studying color suppression in weak decays
- $J/\psi$  momentum spectrum is sensitive to Fermi motion inside  $B$  meson, a key uncertainty in inclusive  $V_{ub}$  determination
- Obtain full signal kinematic information from hadronic tag-side  $B$
- Signal extraction from fit to  $m(\ell^+ \ell^-)$

Belle II (365 fb<sup>-1</sup>)

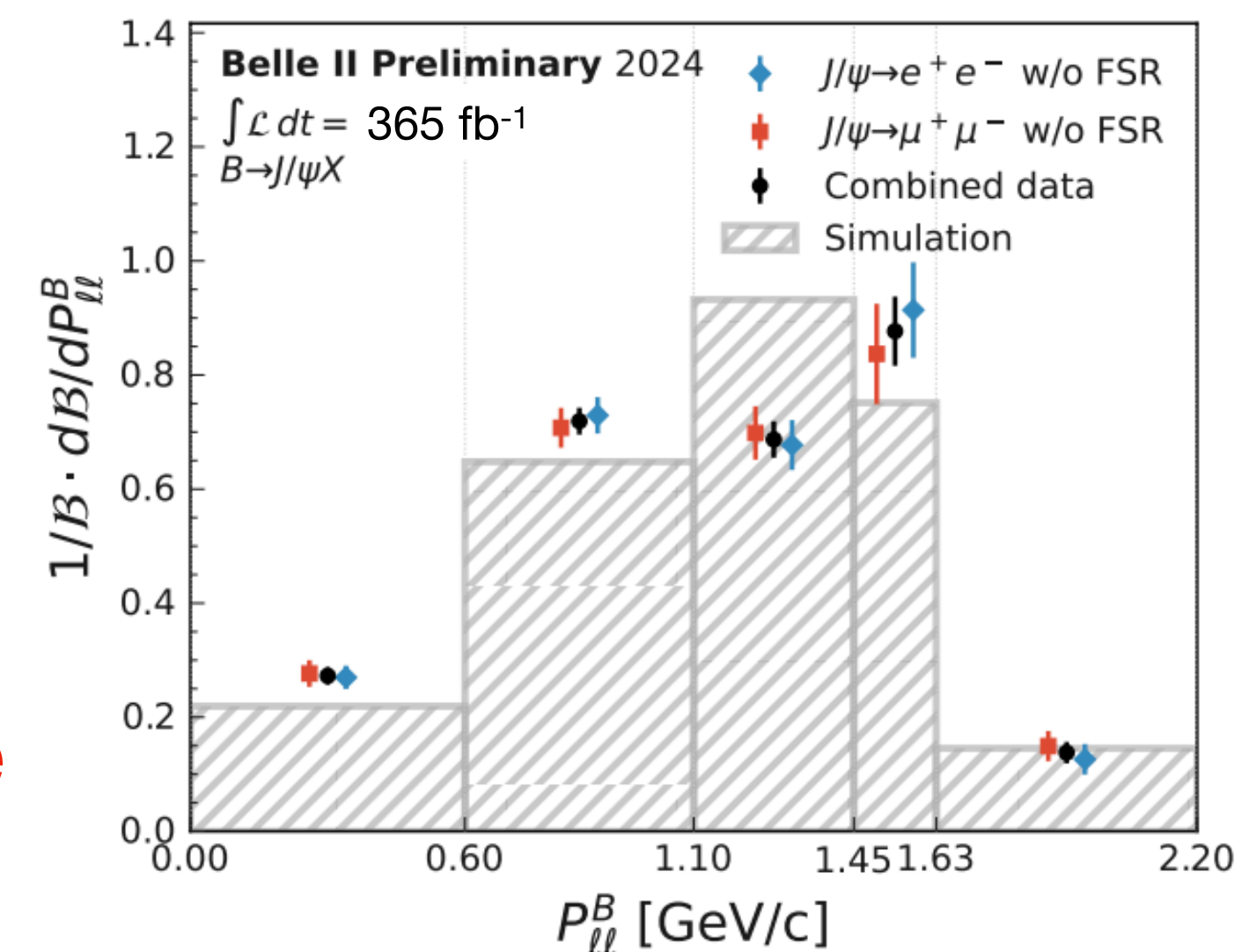


$$\mathcal{B}(B^0 \rightarrow J/\psi X) = (0.97 \pm 0.03 \pm 0.06) \%$$

$$\mathcal{B}(B^+ \rightarrow J/\psi X) = (1.21 \pm 0.03 \pm 0.08) \%$$

First separate branching fraction measurement of  $B^0$  and  $B^+$

First measurement  $J/\psi$  momentum and helicity angle in  $B$  rest frame





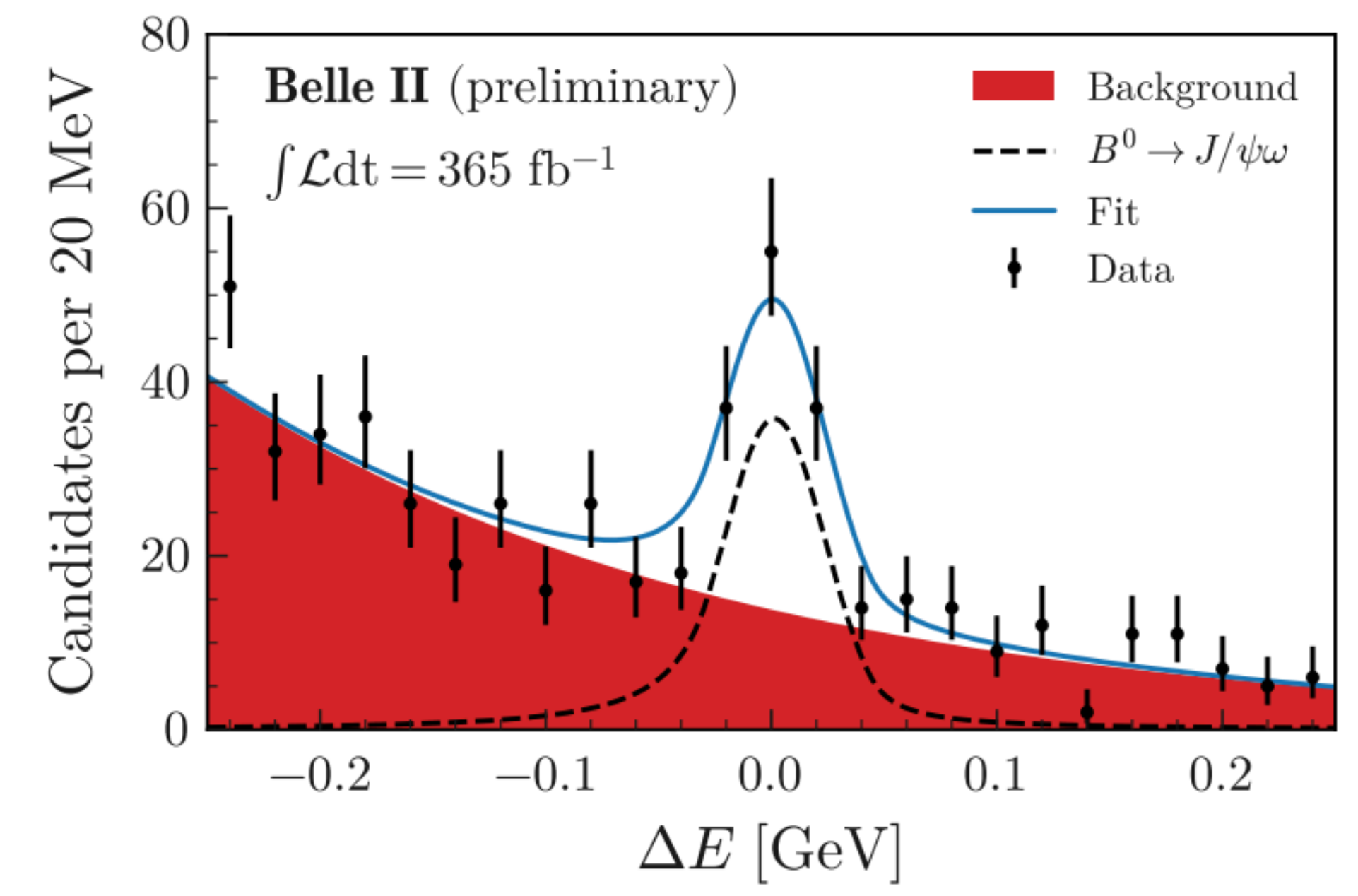


# Observation of $B^0 \rightarrow J/\psi\omega$



- Color-suppressed tree diagrams involving  $b \rightarrow c\bar{c}d$  transitions
- Control mode for  $b \rightarrow d\ell\ell$  decays at  $B$ -factories
- Challenge: low BF and background from  $B^0 \rightarrow J/\psi X$
- Reject  $B^0 \rightarrow J/\psi X$  via dedicated selection
- Signal extraction from fit to  $\Delta E$

**Belle II (365 fb<sup>-1</sup>)**



$$\mathcal{B}(B^0 \rightarrow J/\psi\omega) = (1.84 \pm 0.25 \pm 0.12) \times 10^{-5}$$

First observation and most precise to date consistent with WA

Systematically limited by  $\pi^0$ -efficiency knowledge