



Studies of heavy-quark hadronisation in pp collisions with ALICE

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on behalf of the ALICE collaboration

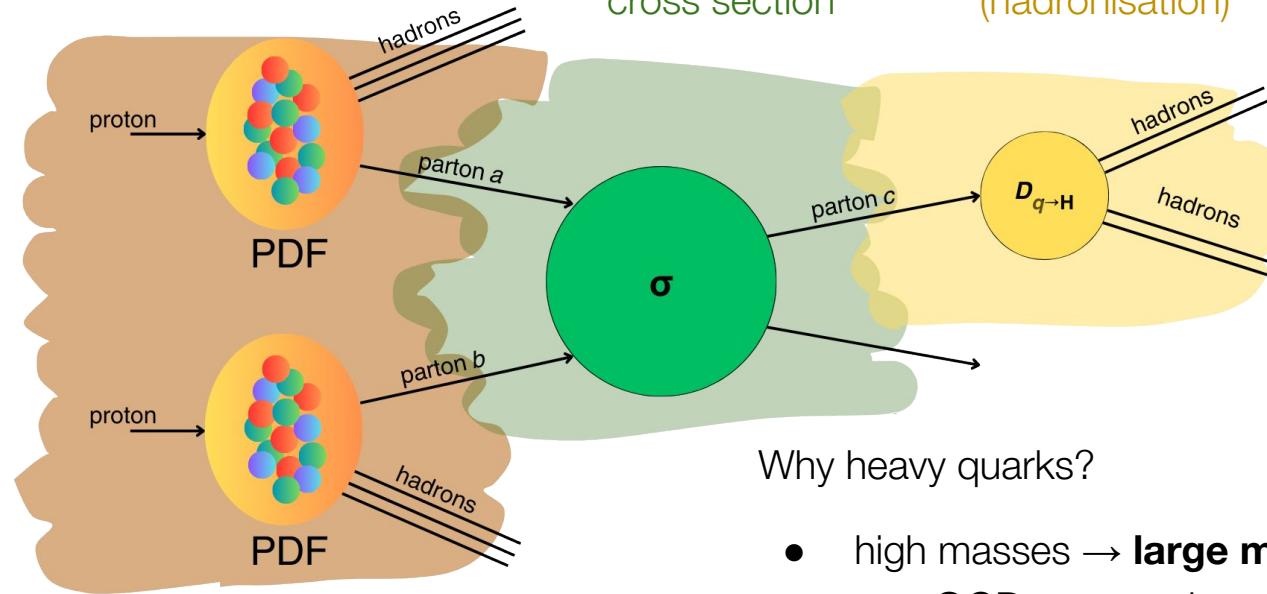
Probing hadronisation in the pQCD scheme

$$\sigma_{AB \rightarrow H} = \text{PDF}(x_a, Q^2) \text{PDF}(x_b, Q^2) \otimes \sigma_{ab \rightarrow qq}(x_a, x_b, Q^2) \otimes D_{q \rightarrow H}(z = p_H/p_q, Q^2)$$

parton distribution functions

hard scattering
cross section

fragmentation function
(hadronisation)



- perturbative approach not applicable
- assumed to be universal among collision systems

Why heavy quarks?

- high masses \rightarrow **large momentum transfer**, Q^2
 \rightarrow pQCD approach can be used
- the fragmentation function for charm and beauty can be studied via **heavy-flavour particle ratios**

The ALICE experiment in Run 2

Time-Of-Flight detector

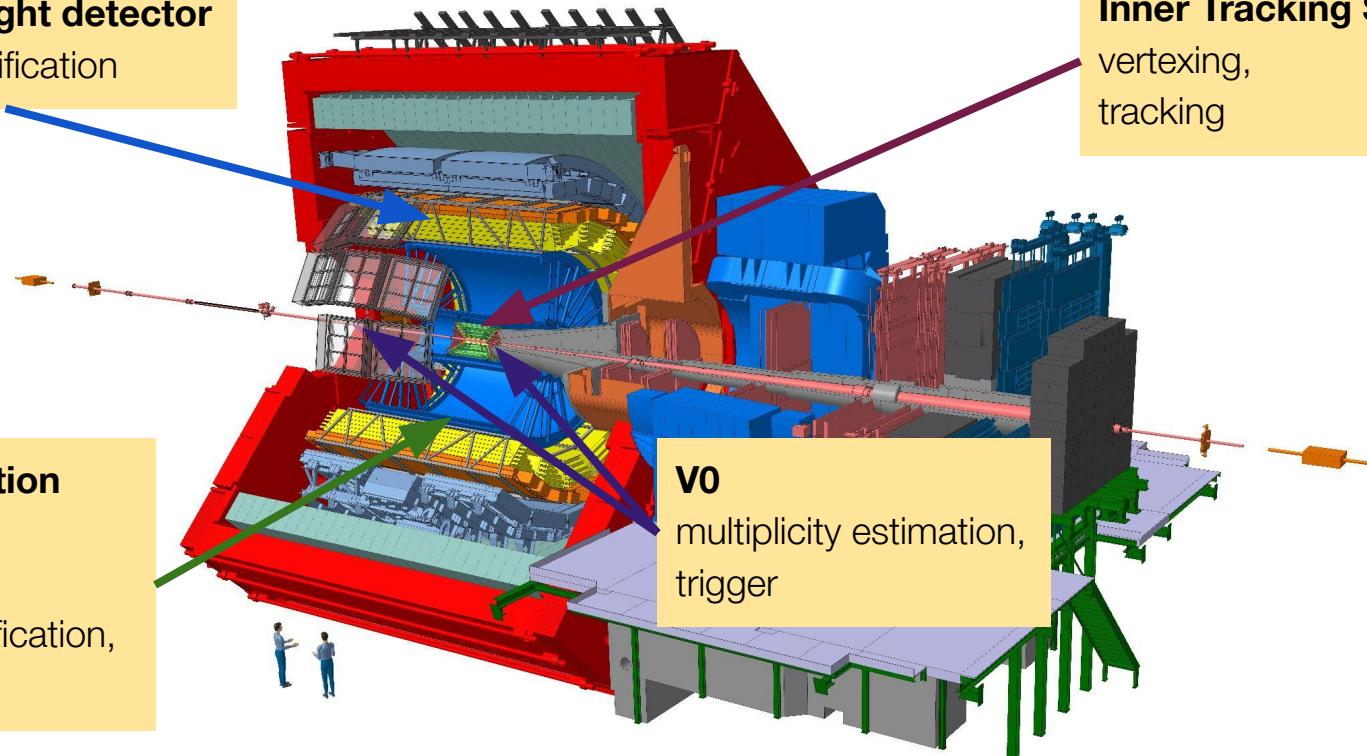
particle identification

Time Projection Chamber

tracking,
particle identification,
vertexing

Inner Tracking System

vertexing,
tracking



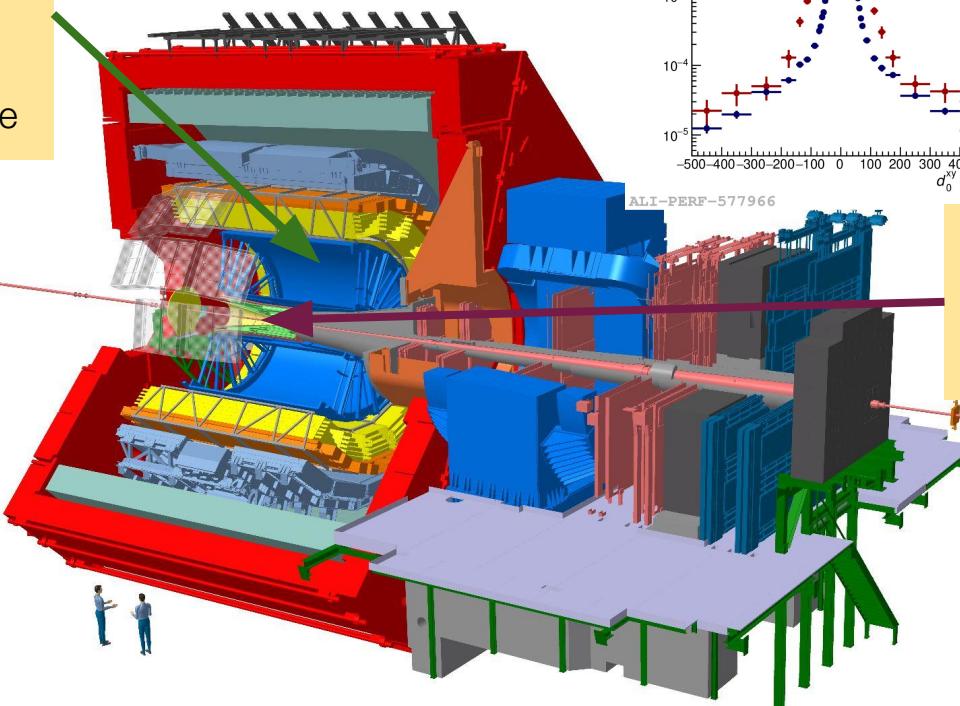
Upgraded ALICE in Run 3

Time Projection Chamber

new readout with GEM

allows for continuous data stream

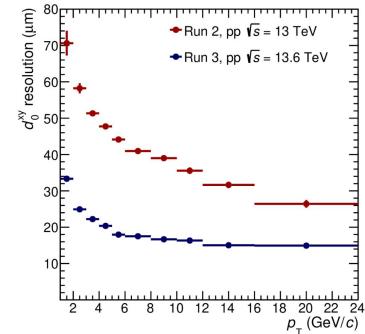
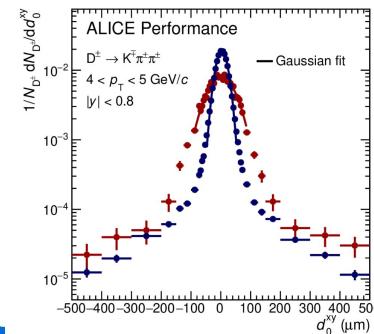
→ much larger data sample



Fast Interaction

Trigger

luminosity,
multiplicity estimation,
and trigger

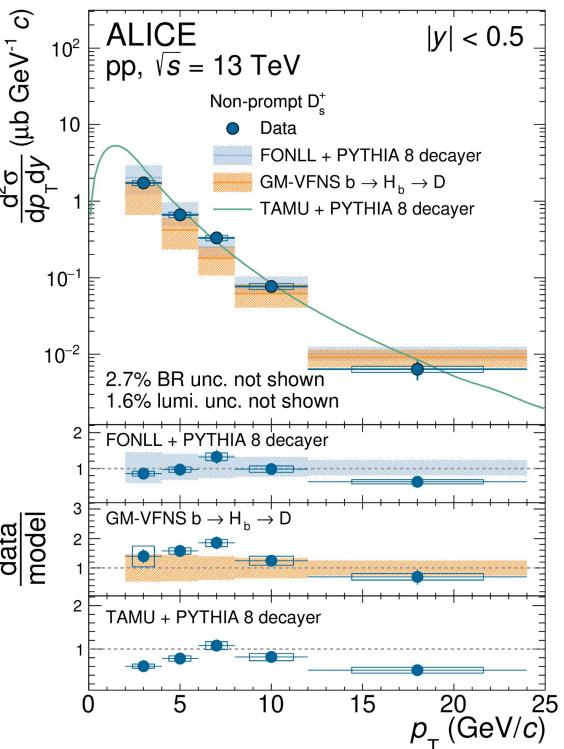
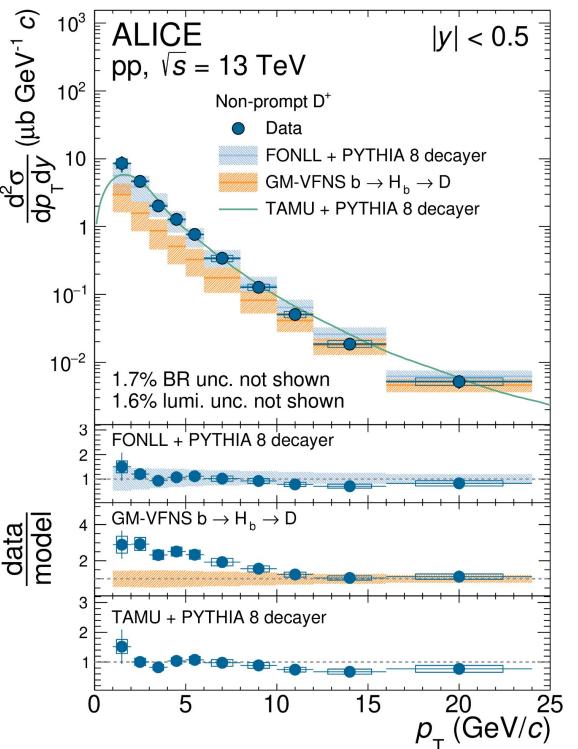
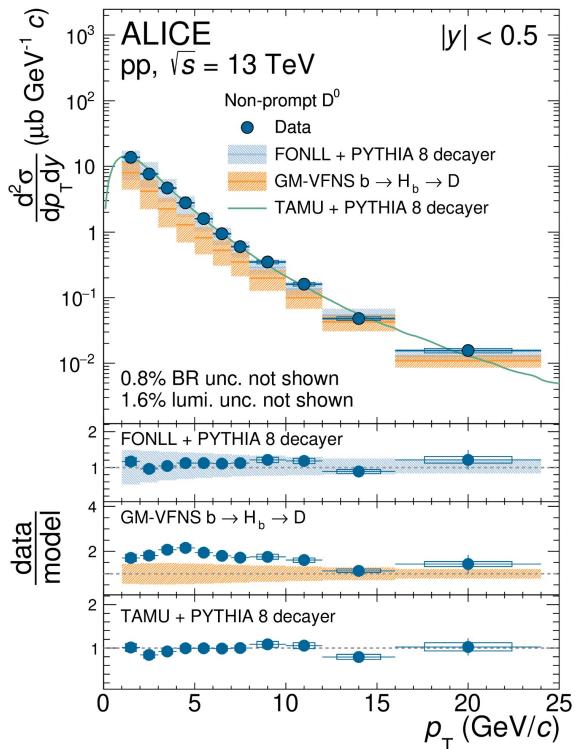


Inner Tracking System

improved resolution,
closer to the beam pipe

Non-prompt D-meson cross sections

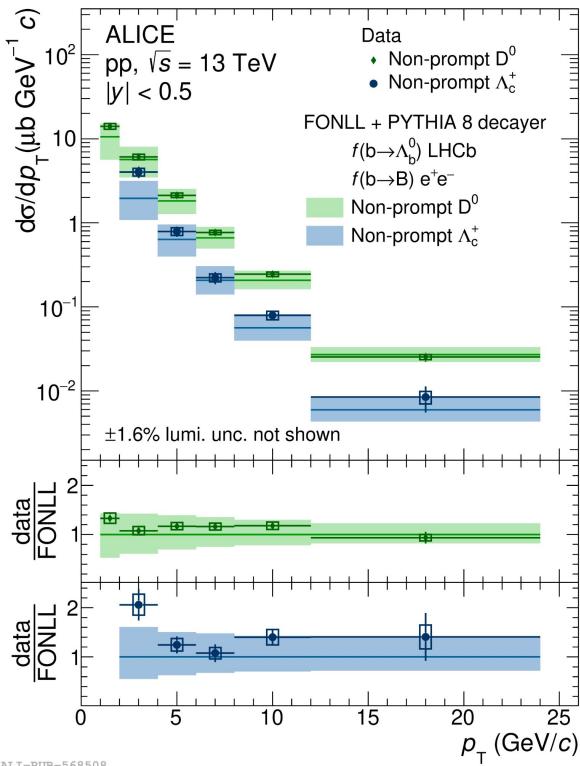
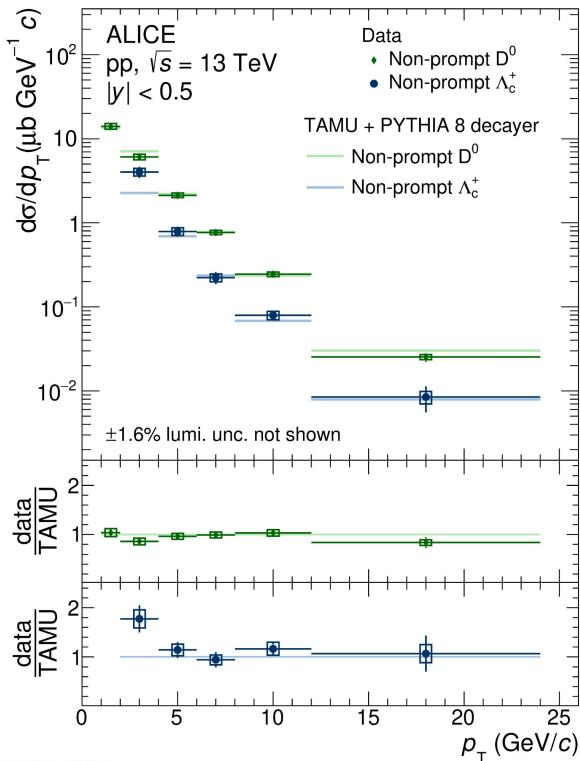
GM-VFNS **underestimates** data at low p_T , and TAMU + PYTHIA 8 tends to **overestimate** D_s^+
 FONLL + PYTHIA 8 **agrees** with data



GM-VFNS: Kniehl et al., Phys. Rev. D 71 (2005a) 014018
 TAMU: He et al., Phys. Lett. B 795 (2019) 117
 FONLL: Cacciari et al., JHEP05 (1998) 007
 Pythia: Sjöstrand et al., JHEP05 (2006) 026; CPC 191 (2015) 159

Non-prompt Λ_c^+ cross sections

Both **TAMU** and **FONLL** underestimate Λ_c^+ cross section at low p_T



Λ_c^+ : weighted average of the results from

$$\Lambda_c^+ \rightarrow p K^0 \rightarrow p \pi^+ \pi^-$$
 and
$$\Lambda_c^+ \rightarrow p K^- \pi^+$$

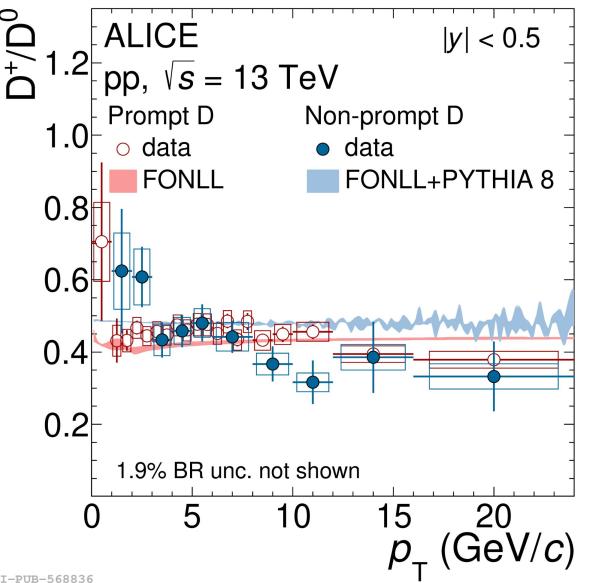
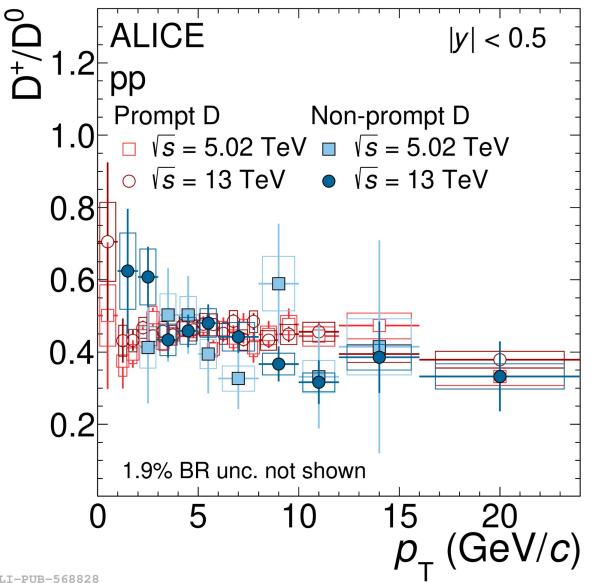
TAMU: He et al., Phys. Lett. B 795 (2019) 117
 FONLL: Cacciari et al., JHEP05 (1998) 007

Meson-to-meson ratio

Similar fractions for **prompt and non-prompt** D mesons.

FONLL uses fragmentation functions based on e^+e^- measurements, and it describes the data
 → **fragmentation universality preserved in the meson sector.**

Results compatible for **different centre-of-mass energies.**



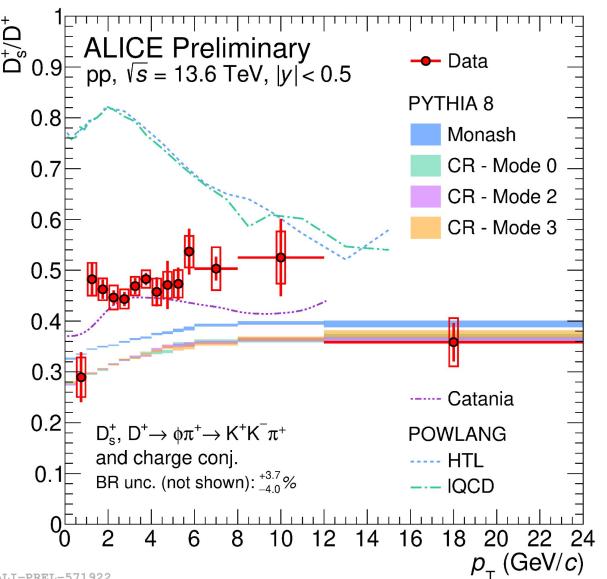
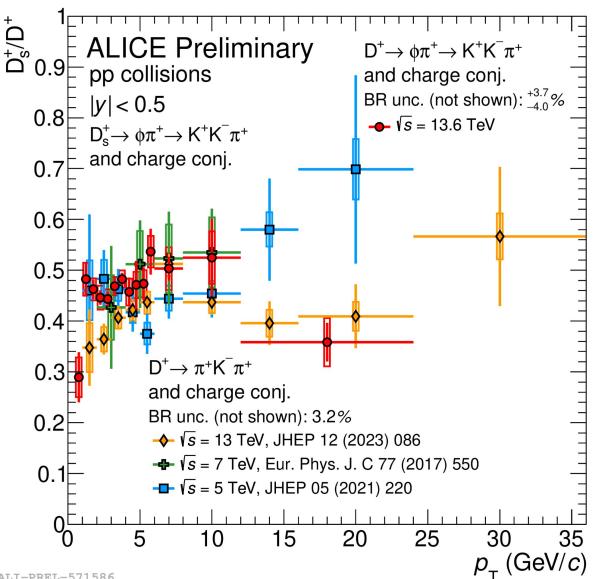
Strange-meson-to-meson ratio

Results in general compatible across **different centre-of-mass energies**.

PYTHIA 8 models generally **underestimate** the data.

POWLANG models **overestimate** the data.

Catania is compatible with the data in a **limited p_T range**.

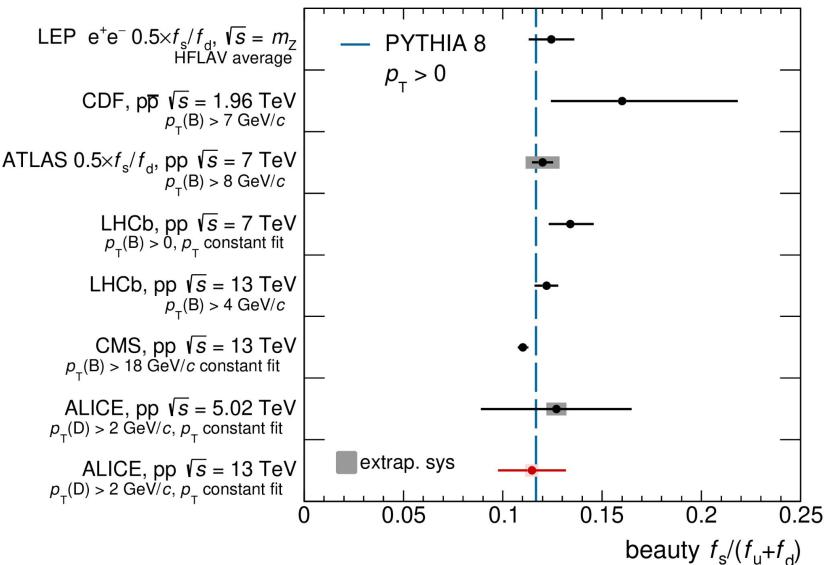
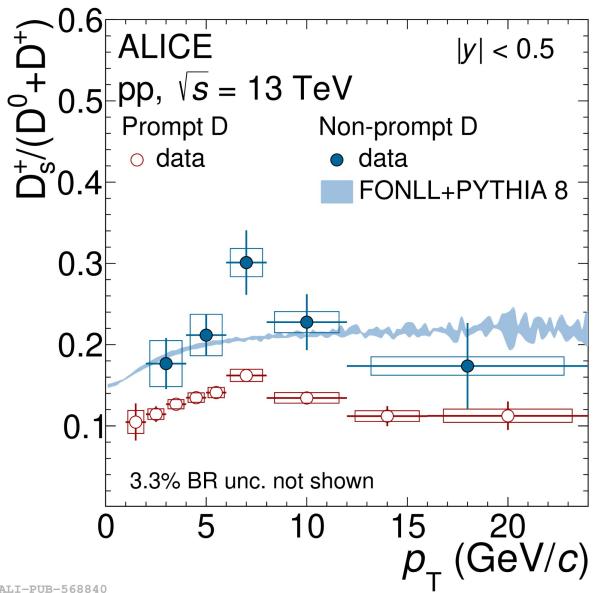


- Pythia: Sjöstrand et al., JHEP 05 (2006) 026; CPC 191 (2015) 159
- Monash: Skands et al., EPJ C 74 (2014) 3024
- CR-BLC: Christiansen et al., JHEP 08 (2015) 003
- Powlang: Berardo et al., arXiv:2306.02152
- Catania: Greco et al., PLB 821 (2021) 136622

Beauty fragmentation fraction ratio

Non-prompt $D_s^+/(D^0 + D^+)$: main ingredient to evaluate the **fragmentation fraction ratio** of **beauty quarks** into strange-to-non-strange **B mesons**.

Result **consistent** with **FONLL + PYTHIA 8** and previous results, including **e^+e^- collisions**



Baryon-to-meson ratio

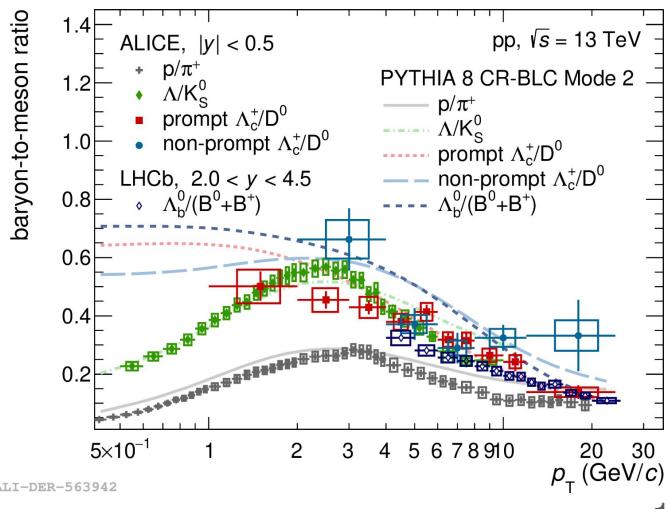
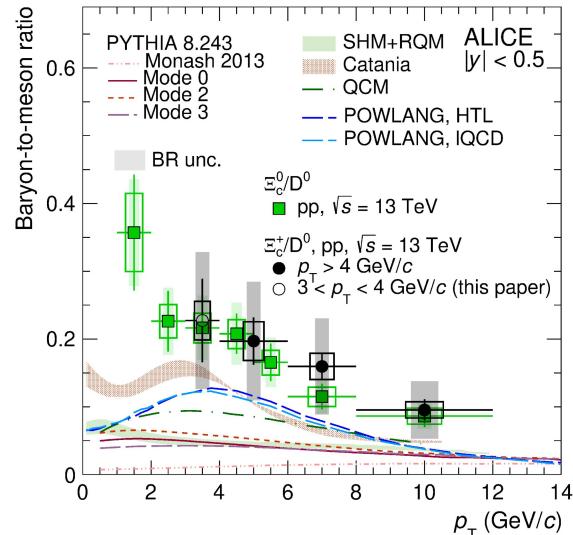
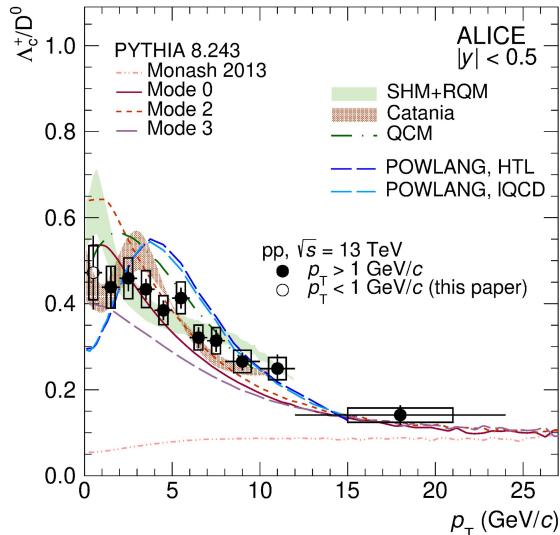
Depends on p_T : larger ratio at lower p_T .

PYTHIA 8 with Monash tune (tuned to e^-e^+ measurements) largely **underestimates** the data.

Other models, implementing modified hadronisation, are closer to the data.

Strange charm baryons: all models tend to **underestimate** the data
larger enhancement than for non-strange charm?

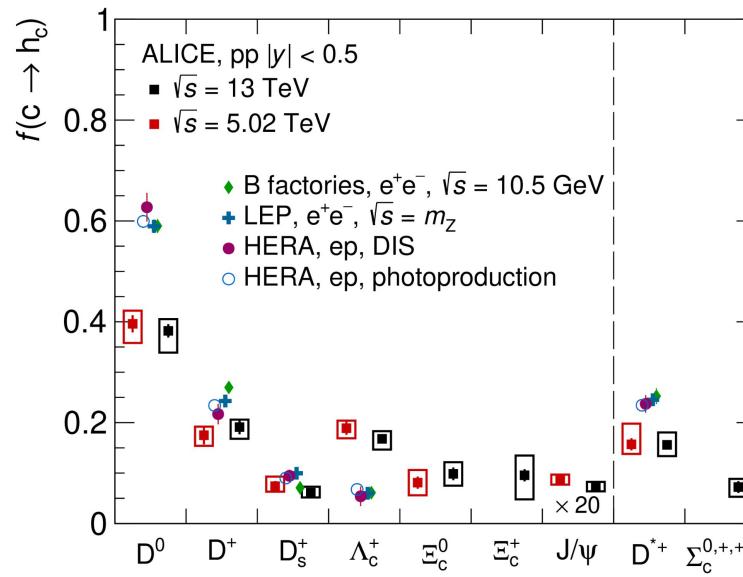
Modified mechanisms of charm- and beauty-quark hadronization in pp collisions compared to e^+e^- collisions (in-vacuum fragmentation).



Charm fragmentation fractions

- assumed **universality of fragmentation functions**
- ALICE: significantly **larger fraction** of heavy quarks hadronising into **baryons** in **pp collisions** compared to leptonic collisions with a corresponding **decrease** of **non-strange D mesons**.
- compatible results at **different centre-of-mass-energies**.

~x3 enhancement of Λ_c^+ fraction
~x1.2-1.5 decrease for charm mesons



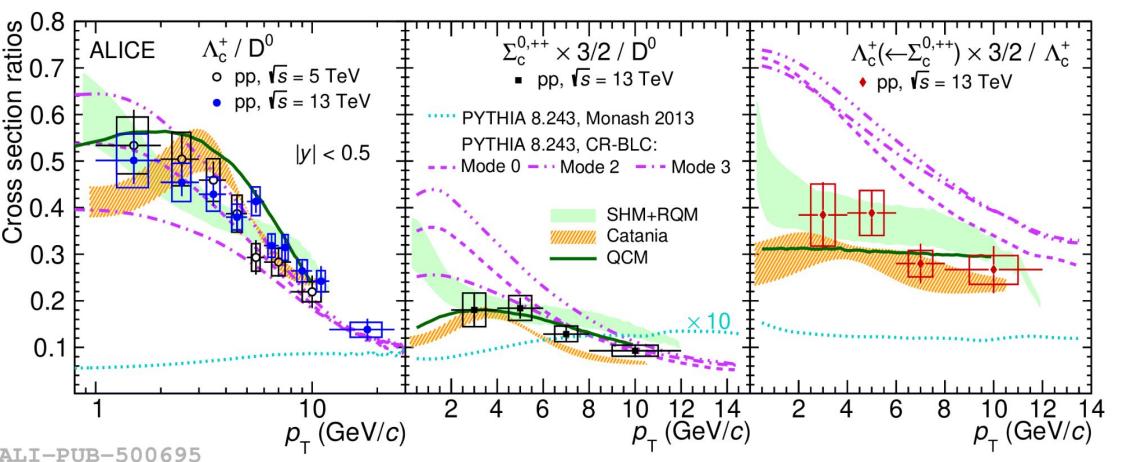
Λ_c^+ from $\Sigma_c^{0,++}(2455)$ decay

Λ_c^+/D^0 ratio is compatible at different center-of-mass energies.

Around 40% of Λ_c^+ comes from $\Sigma_c^{0,++}(2455)$ decay → increased non-prompt Λ_c^+ production partially contributes to increase in overall Λ_c^+/D^0 ratio.

SHM + RQM is close to data for $\Lambda_c^+(-\Sigma_c^{0,++}(2455))/\Lambda_c^+$.

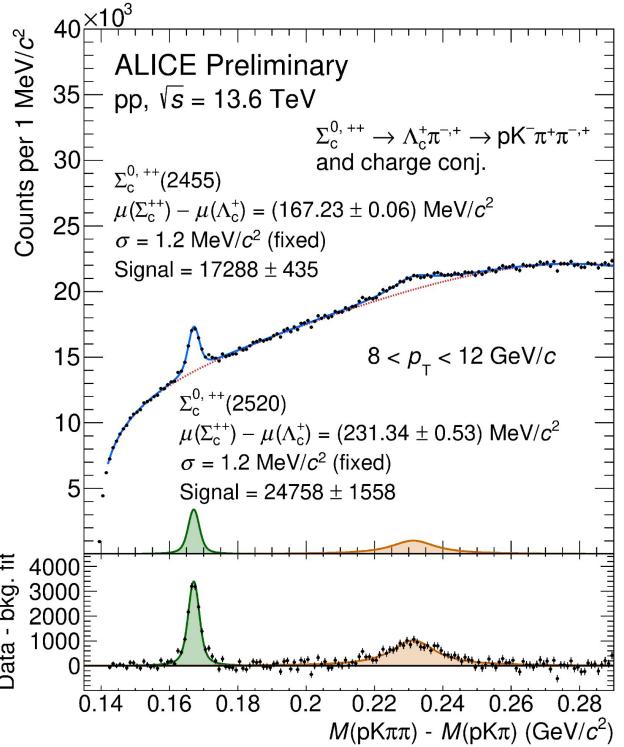
PYTHIA Mode 2 describes results for Λ_c^+/D^0 , but fails to describe $\Lambda_c^+(-\Sigma_c^{0,++}(2455))/\Lambda_c^+$.



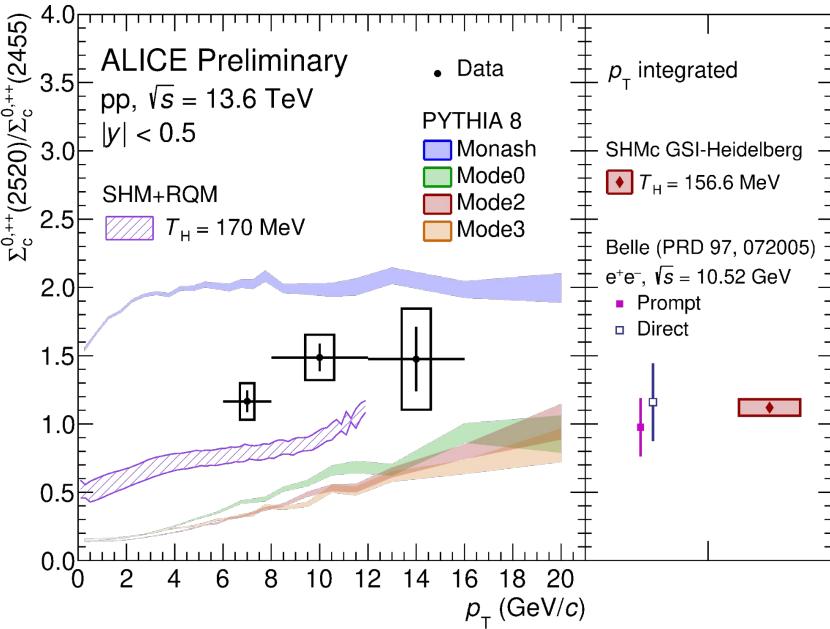
- Pythia: Sjöstrand et al., JHEP05 (2006) 026; CPC 191 (2015) 159
 Monash: Skands et al., EPJ C 74 (2014) 3024
 CR-BLC: Christiansen et al., JHEP 08 (2015) 003
 SHM + RQM: He et al., PLB 795 (2019) 117-121
 QCM: Song et al., EPJ C 78 (2018) 344.
 Catania: Greco et al., PLB 821 (2021) 136622

$\sum_c 0,++$ ratios

First measurement of $\Sigma_c^{0,++}(2520)$ at the LHC



ALICE measurement **compatible with e^+e^-** (p_T -integrated) and **SHM+RQM**.
PYTHIA 8 Monash **overestimates** the data,
CR-BLC tunes **underestimate** them.



Pythia: Sjöstrand et al., JHEP05 (2006) 026; CPC 191 (2015) 159
Monash: Skands et al., EPJ C 74 (2014) 3024
CR-BLC: Christiansen et al., JHEP 08 (2015) 003
SHM + RQM: He et al., PLB 795 (2019) 117-121
SHMc: Andronic et al., PLB 797 (2019) 134836
Belle: Belle, Phys. Rev. D 97 (2018) 072005

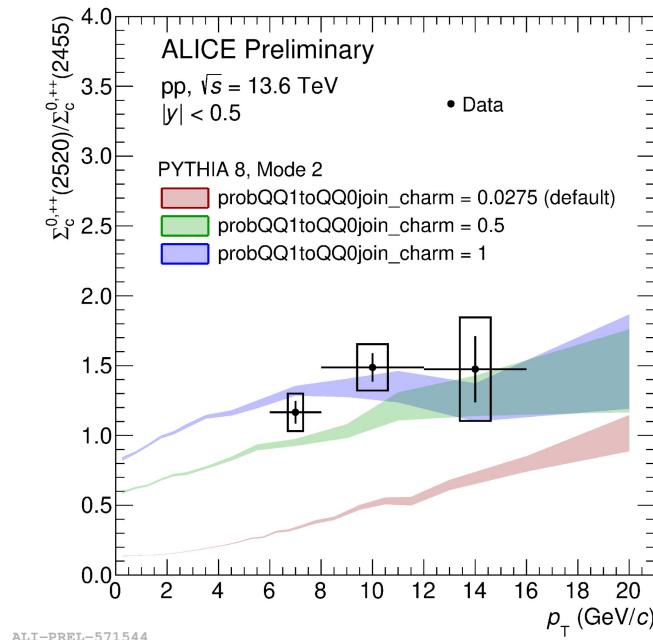
$\Sigma_c^{0,++}$ ratios – different PYTHIA 8 settings

PYTHIA 8 Mode {0,2,3} underestimates the data.

PYTHIA 8 Mode 2 parameter `probQQ1toQQ0join_charm` controls the amount of **the suppression of Σ_c production relative to Λ_c** .

- $\Sigma_c^{0,++}(2520)$ more likely to decay to Λ_c^+ than $\Sigma_c^{0,++}(2455)$
→ amount of **$\Sigma_c^{0,++}(2520)$ production** modifies the **$\Lambda_c^+ (\leftarrow \Sigma_c^{0,++}(2455)) / \Lambda_c^+$ feeddown fraction**.
- Measurement important to understand the role of **spin-1 diquarks for charm-baryon hadronisation**.

Σ_c measurements essential for tuning the model.

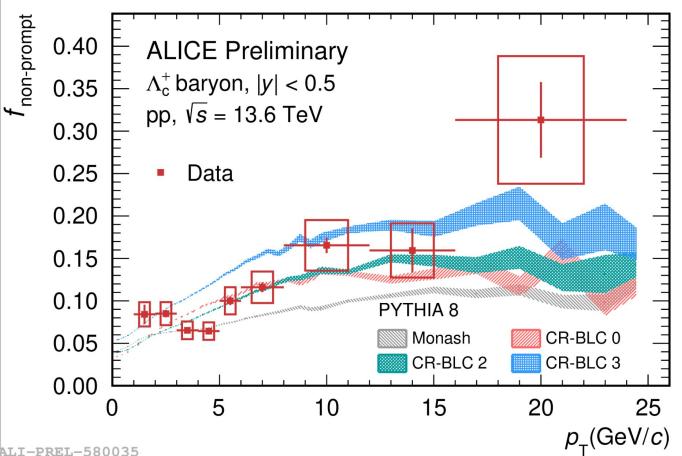


Non-prompt fractions in Run 3

- p_T range extended to $0 < p_T < 24$ GeV/c for D^0 and $1 < p_T < 24$ GeV/c for Λ_c^+ .
- more granular results compared to Run 2

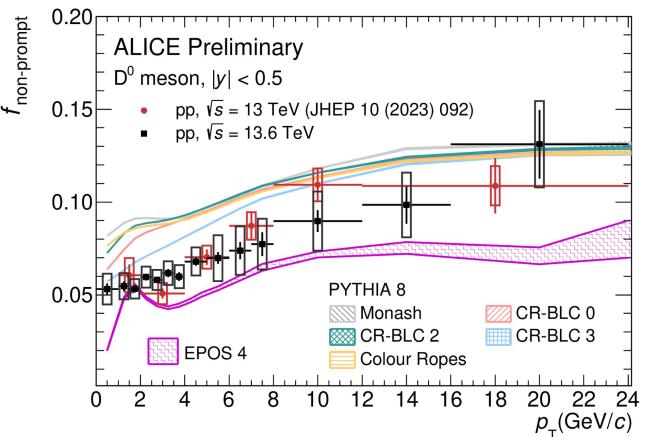
Λ_c^+ non-prompt fraction in Run 3

- Pythia Monash **underestimates** the data
- **Pythia with CR-BLC closer to the data** over the full p_T range



D^0 non-prompt fraction in Run 3

- the data points tend to be **overestimated** by all **Pythia** models
- the data are slightly **underestimated** by **EPOS 4**



Pythia: Sjöstrand et al., JHEP05 (2006) 026; CPC 191 (2015) 159
Monash: Skands et al., EPJ C 74 (2014) 3024
CR-BLC: Christiansen et al., JHEP 08 (2015) 003
Colour Ropes: Andersson et al., Nucl.Phys. B 355 (1991) 82–105
EPOS 4: Pierog et al., Phys. Rev. C 92 (2015) 034906

Summary

D-mesons cross sections and **meson-to-meson ratios** described well by existing models based on fragmentation functions evaluated from **e^+e^- and ep measurements**.

pQCD calculations do not describe well **baryon cross sections** and **baryon-to-meson ratios**

- **large enhancement** at **low and intermediate p_T**
- possibly **larger enhancement** for **strange charm baryons**

Charm-quark hadronization in pp collisions could occur via **additional mechanisms** compared to leptonic collisions.

Further $\Sigma_c^{0,++}$ **measurements essential** to constrain **model parameters** and get insight on the role of **spin-1 diquarks**.

Some tension for the models in the description of the **non-prompt D_s fraction** and **charm-strange baryon enhancement**.

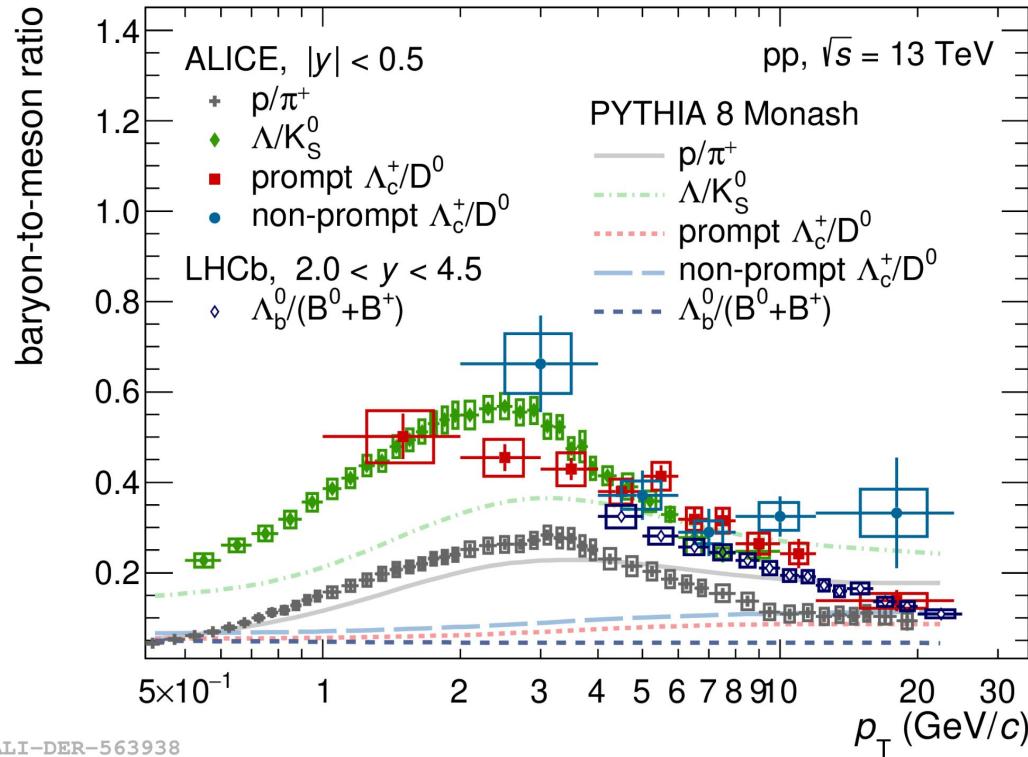
More results to come from Run 3.



Thank you for your attention!

Backup

Beauty baryon-to-meson ratio vs PYTHIA 8 Monash



ALI-DER-563938

Non-prompt fractions in Run 3

Λ_c^+ vs D^0 non-prompt fraction in Run 3

- Λ_c^+ non-prompt fraction tends to be larger than D^0 non-prompt fraction

