



# Hyper-Kamiokande



CHARLES  
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# Status and Prospects of the Hyper-Kamiokande Experiment

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*on behalf of the Hyper-Kamiokande collaboration*

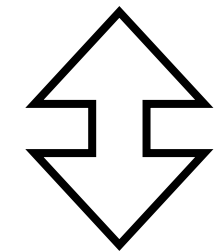


IAS Program on High Energy Physics 2024  
HKUST, Hong Kong  
24 January 2024

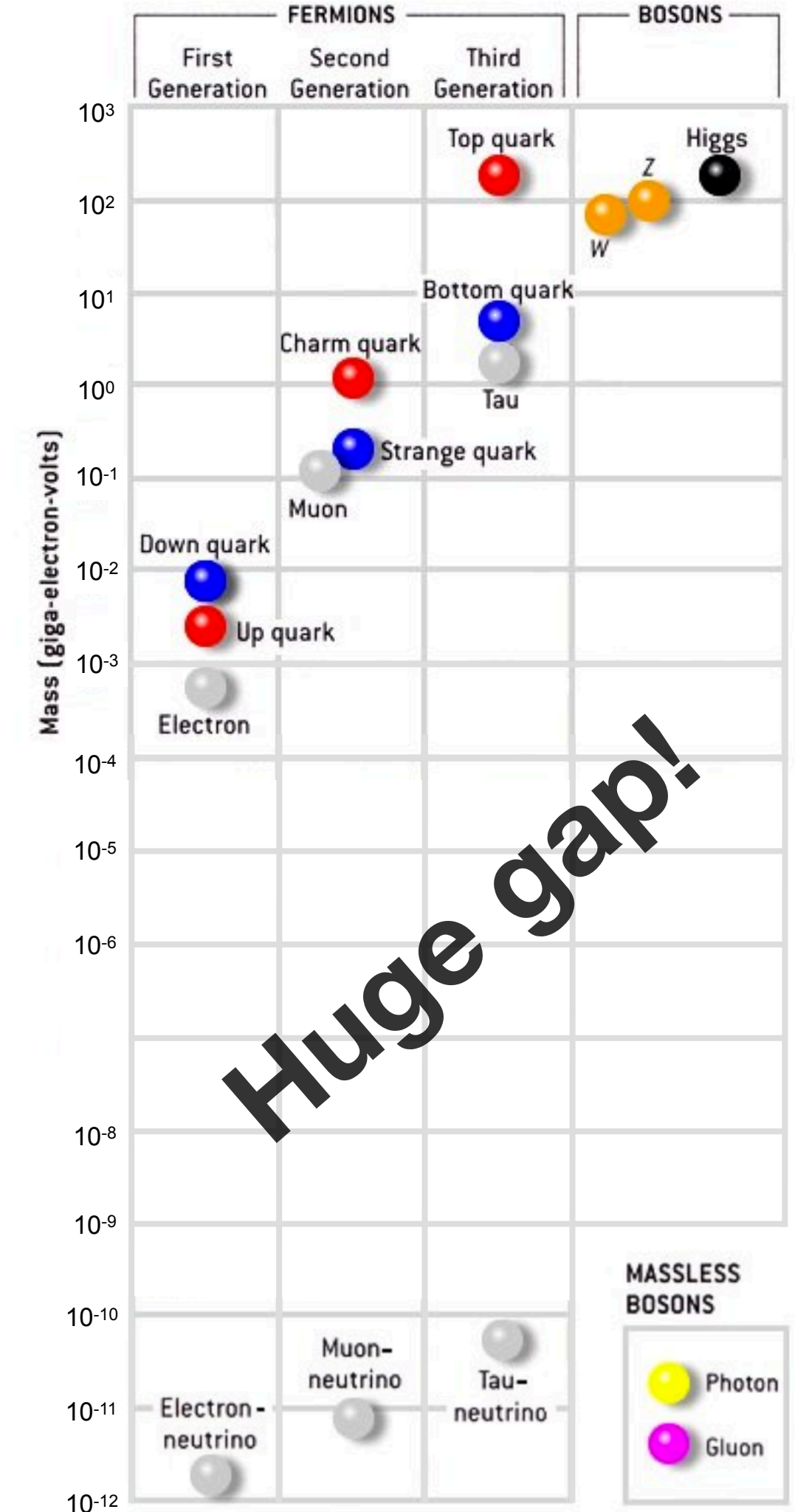
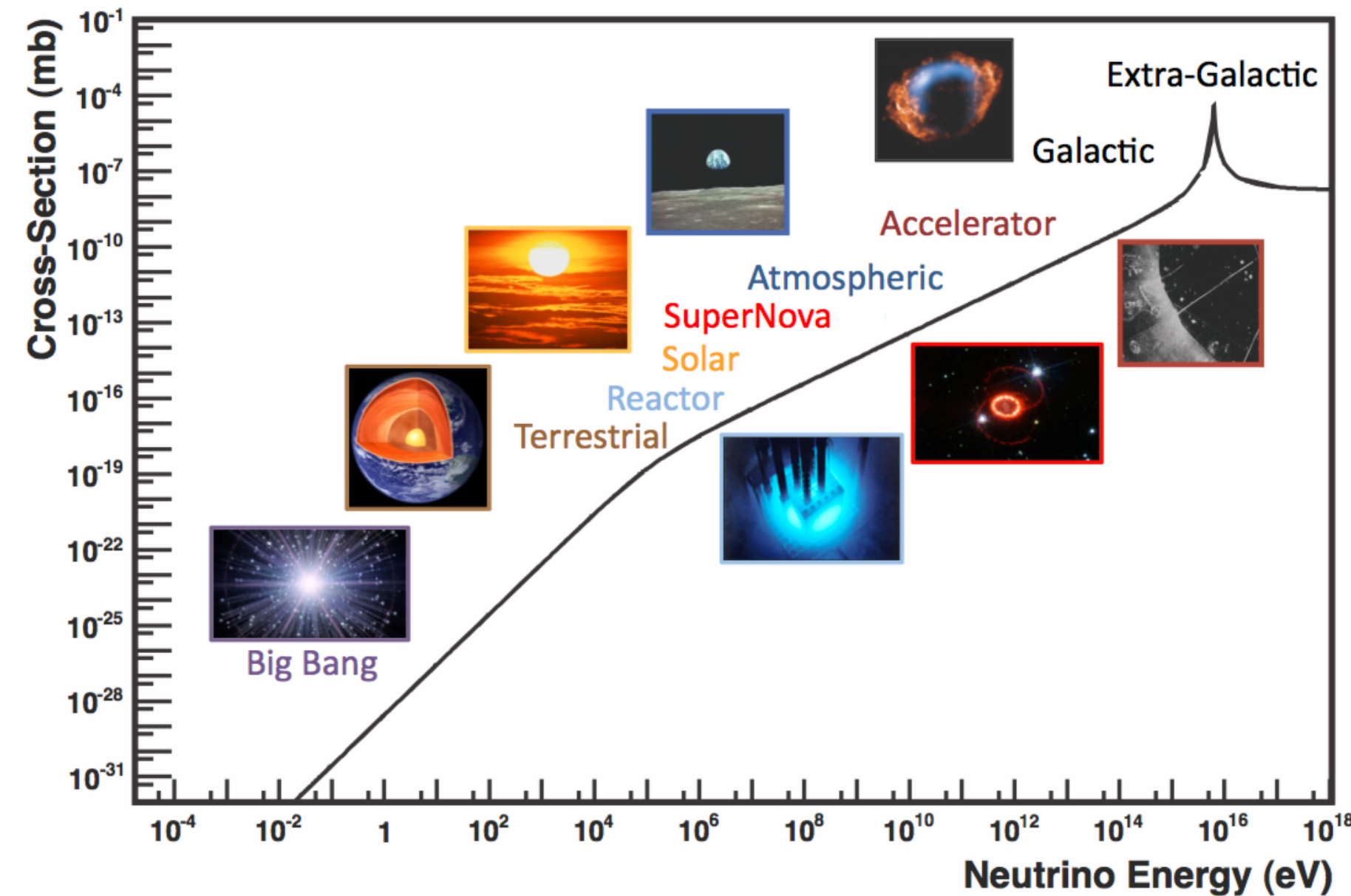
- Second most abundant particle in the universe
- Odd particle of the Standard Model
  - Very light compared to the other particles
  - Non-zero mass - does not originate from the interaction with the Higgs  
→ evidence of the physics beyond the Standard Model

- Neutrinos come from various sources with broad range of energies

- Bearers of key information
- **Understanding the neutrinos**



**Understanding the universe**



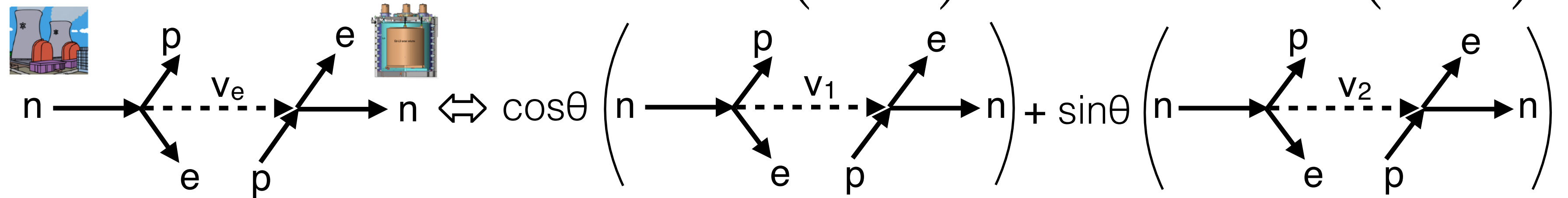
**Huge gap!**

- Neutrino mixing: Flavor states  $\neq$  Mass states

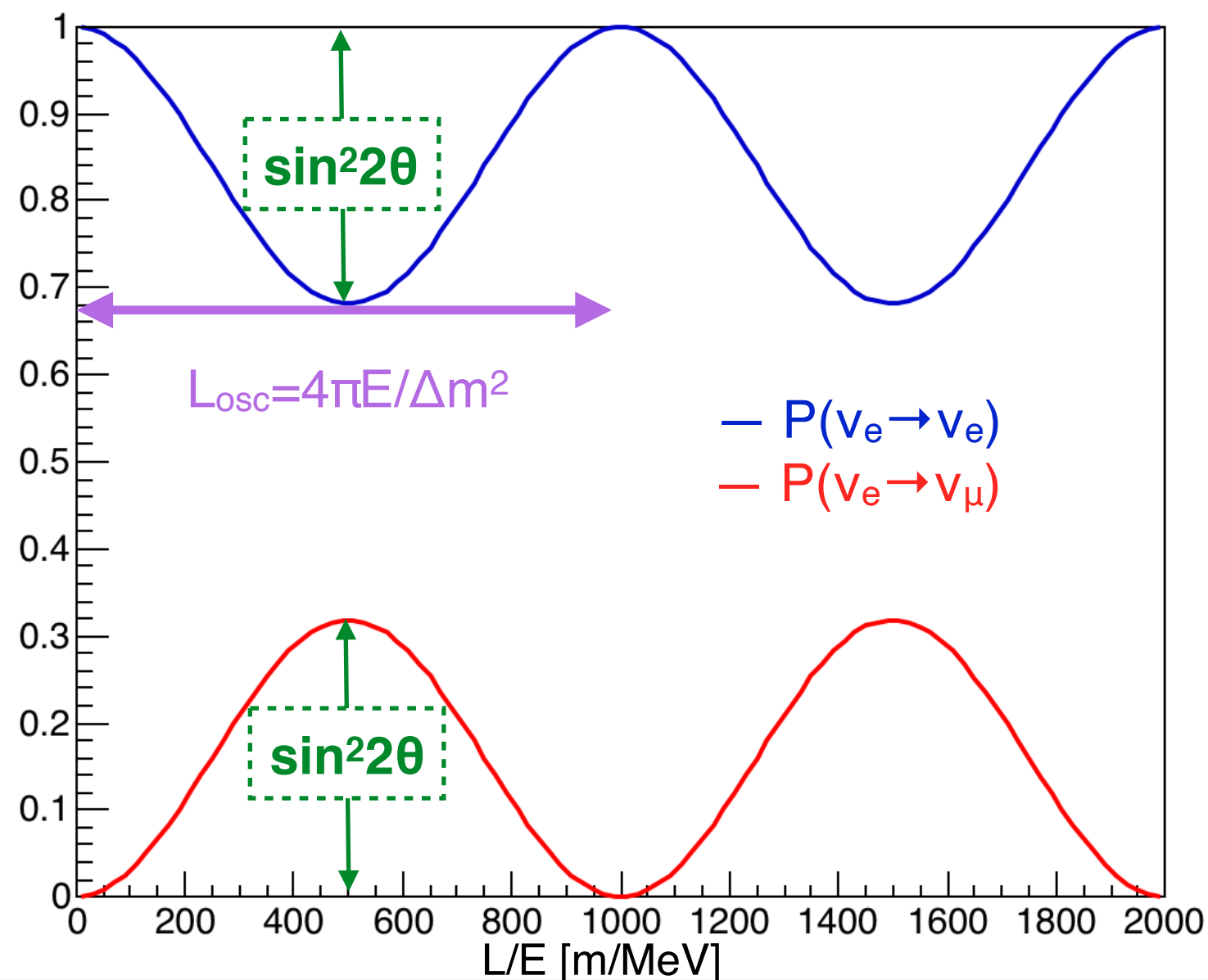
$$|\nu_e\rangle = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

$$|\nu_\mu\rangle = -\sin\theta |\nu_1\rangle + \cos\theta |\nu_2\rangle$$

$$|\nu_1(L)\rangle = \exp\left(-i\frac{m_1^2 L}{2E}\right) |\nu_1(0)\rangle \quad |\nu_2(L)\rangle = \exp\left(-i\frac{m_2^2 L}{2E}\right) |\nu_2(0)\rangle$$



- Neutrino Oscillation:



### Disappearance Channel

$$P_{\nu_e \rightarrow \nu_e}(L, E) = 1 - \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$$

$$P_{\nu_e \rightarrow \nu_e} + P_{\nu_e \rightarrow \nu_\mu} = 1$$

### Appearance Channel

$$P_{\nu_e \rightarrow \nu_\mu}(L, E) = \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$$

### Oscillation essentials:

- Probability oscillation in  $L/E$
- Mass squared difference  $\Delta m^2 \equiv m_1^2 - m_2^2$ 
  - drives the frequency
- Mixing angle  $\theta$ 
  - drives the amplitude

## Three-neutrino mixing matrix:

Flavor states  $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} =$

Atmospheric, accelerator  $\nu$      
 Solar, reactor  $L \sim 60$  km  $\nu$

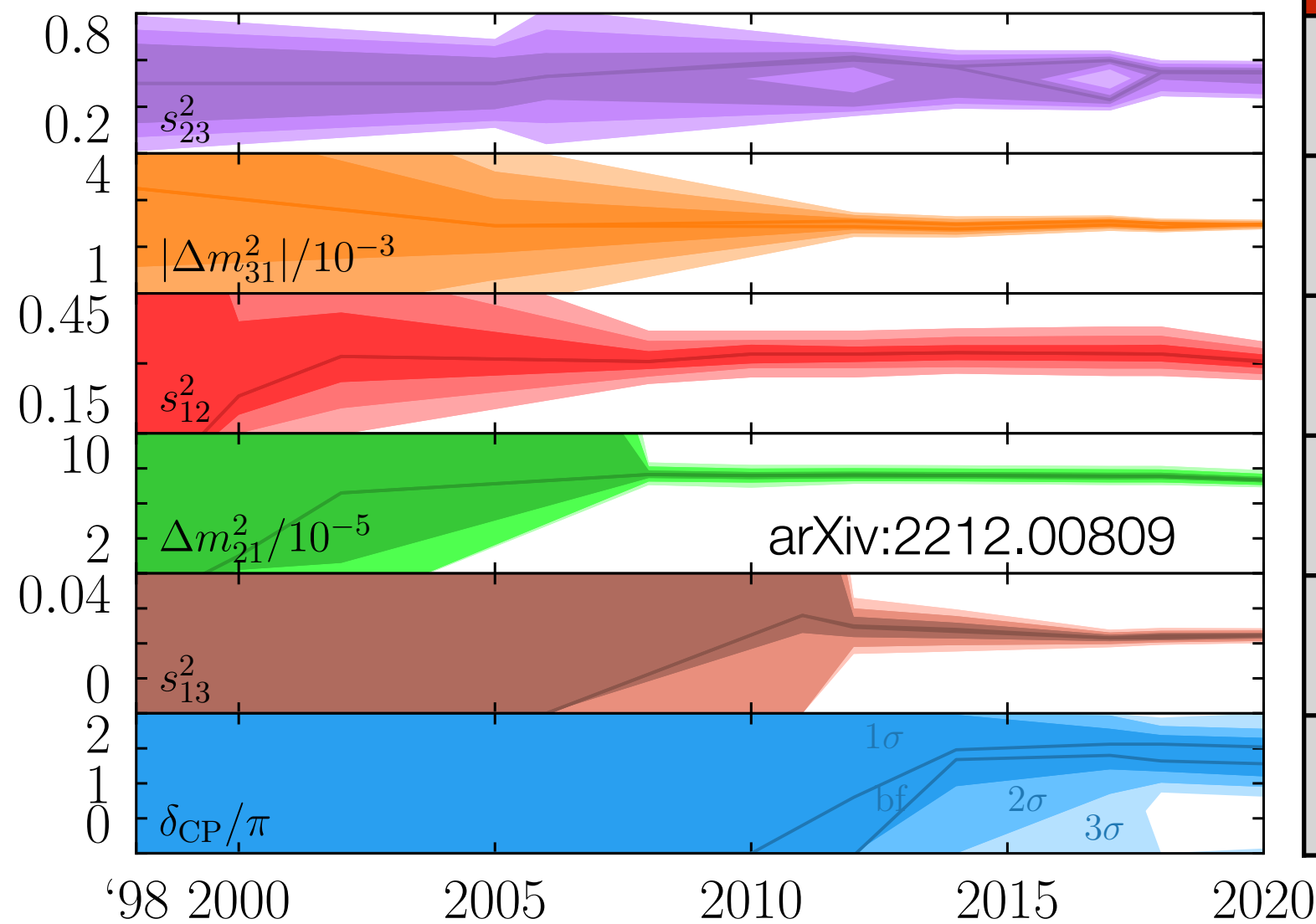
$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Reactor  $L \sim 2$  km, accelerator  $\nu$

**Mass states**

$c_{ij} = \cos\theta_{ij}$   
 $s_{ij} = \sin\theta_{ij}$   
 $\Delta m^2_{ij} \equiv m^2_i - m^2_j$

## Oscillation parameters:



Parameter	Value	Precision
$\theta_{23}$	$47^\circ$	3.8%
$ \Delta m_{32}^2  \approx  \Delta m_{31}^2 $	$2.44 \times 10^{-3} \text{ eV}^2$	1.4%
$\theta_{12}$	$34^\circ$	4.2%
$\Delta m_{21}^2$	$7.53 \times 10^{-5} \text{ eV}^2$	2.4%
$\theta_{13}$	$9^\circ$	2.8 %
$\delta_{CP}$	$\sim -\pi/2$	$\sim 30\%$

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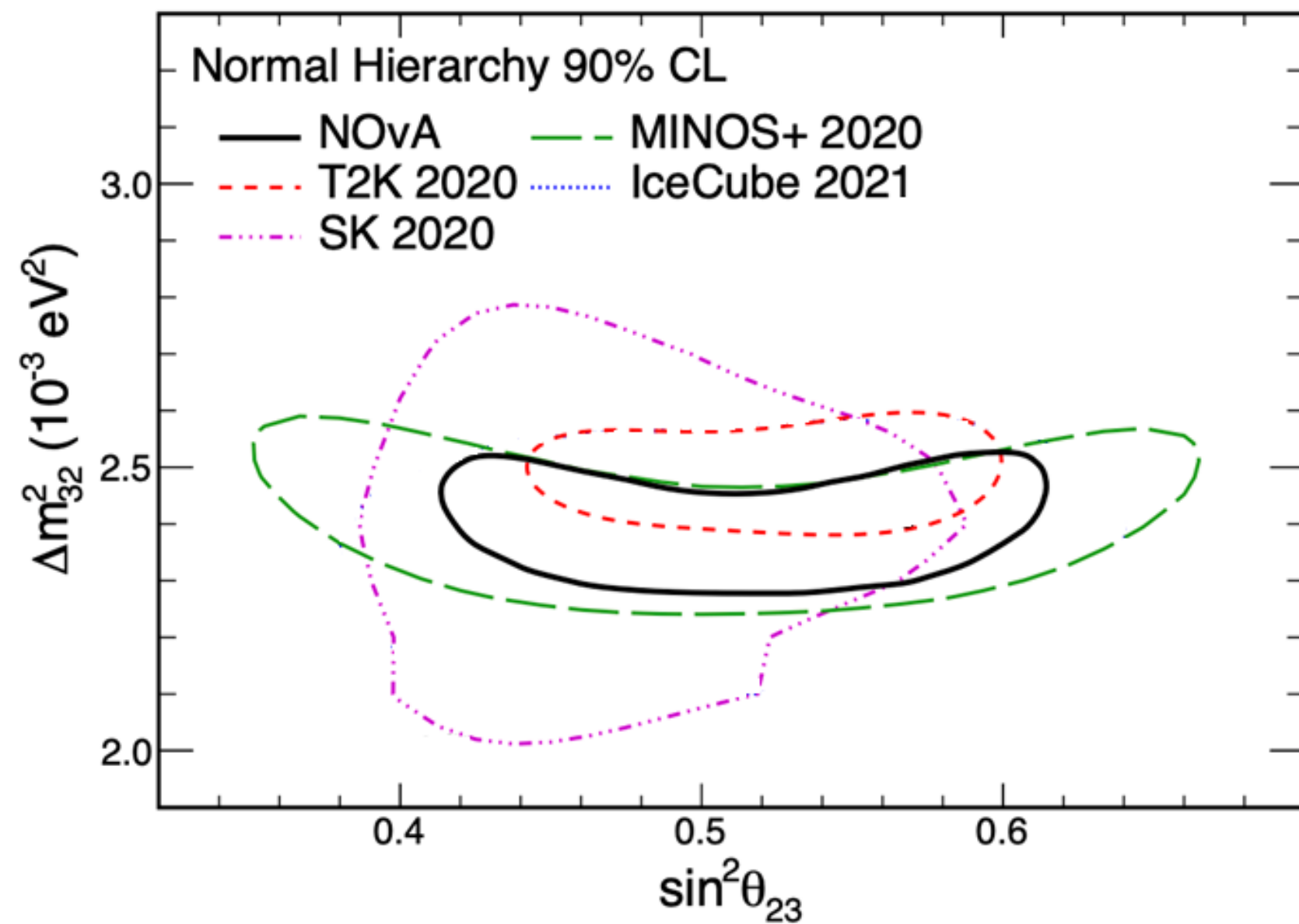
Reactor  $L \sim 2$  km, accelerator  $\nu$

Solar, reactor  $L \sim 60$  km  $\nu$

Mass states  $\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$

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## Open questions in $\nu$ -physics:

- $\theta_{23}$  octant  $\Leftrightarrow \theta_{23} \gtrless 45^\circ$ ?

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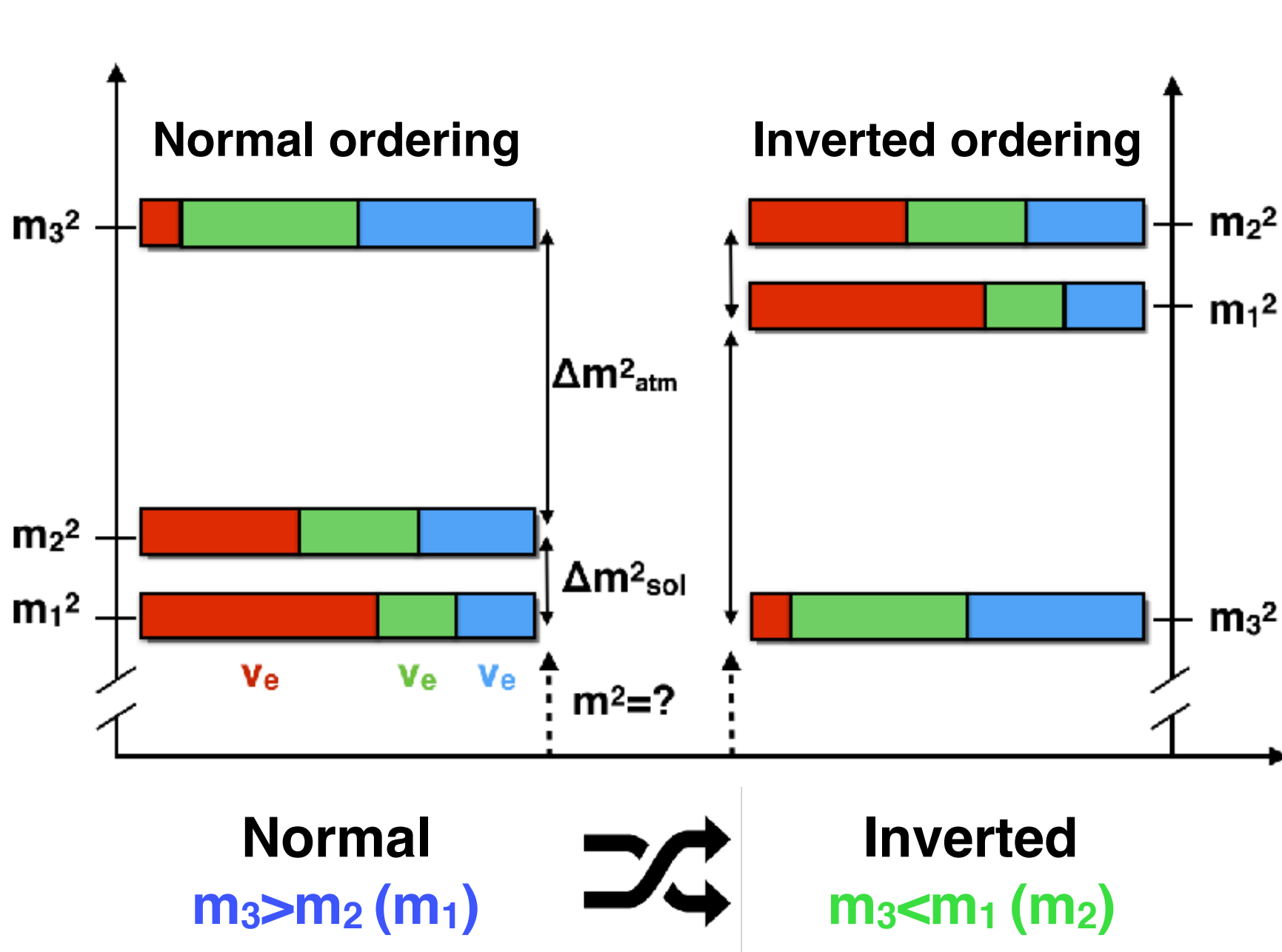
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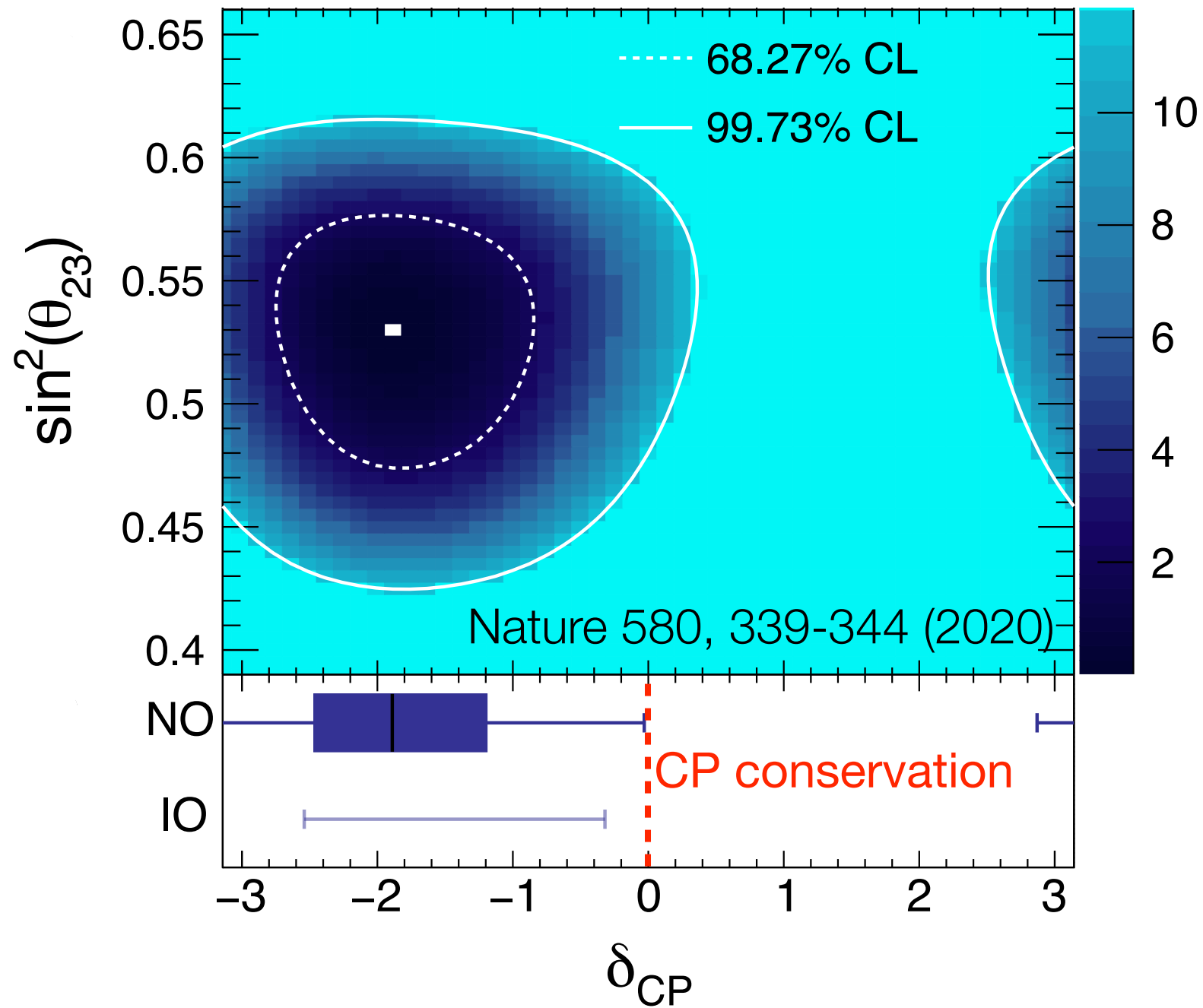
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- Mass ordering

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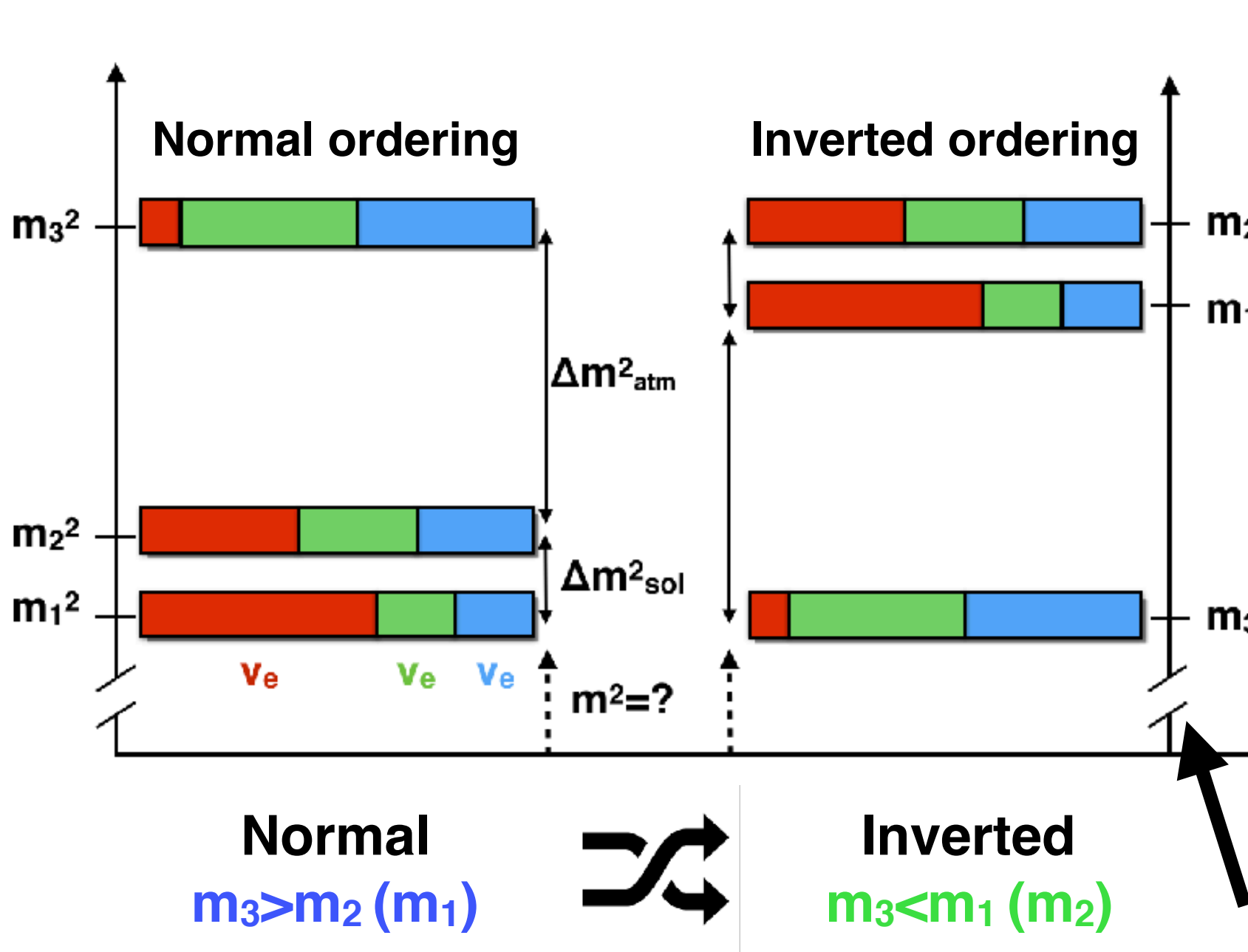
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What is the absolute mass?

## Open questions in $\nu$ -physics:

- $\theta_{23}$  octant  $\Leftrightarrow \theta_{23} \gtrless 45^\circ$ ?
- Mass ordering
- Value of the  $\delta_{CP}$
- Absolute mass scale
- Are  $\nu$ 's Dirac or Majorana nature?



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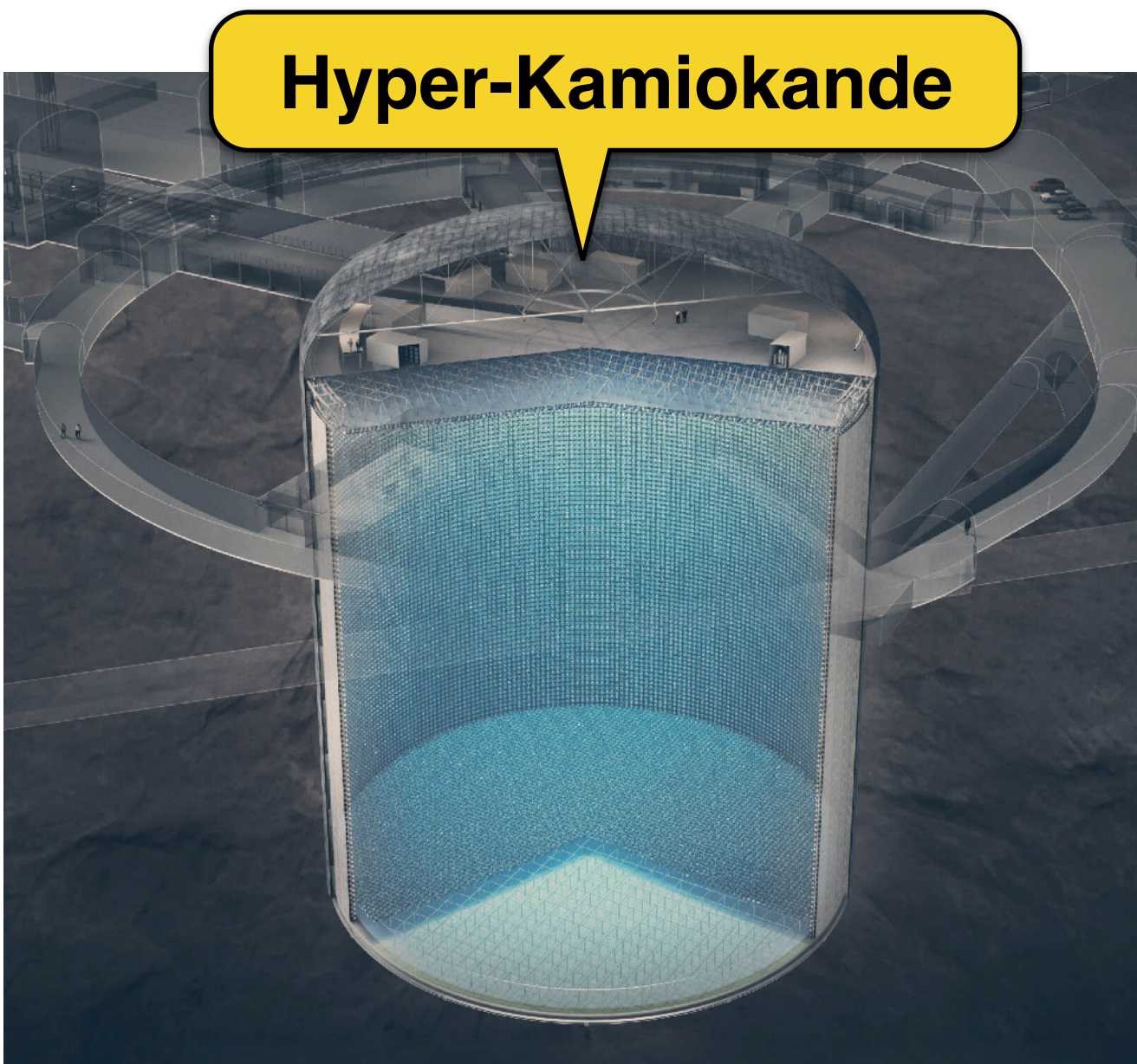
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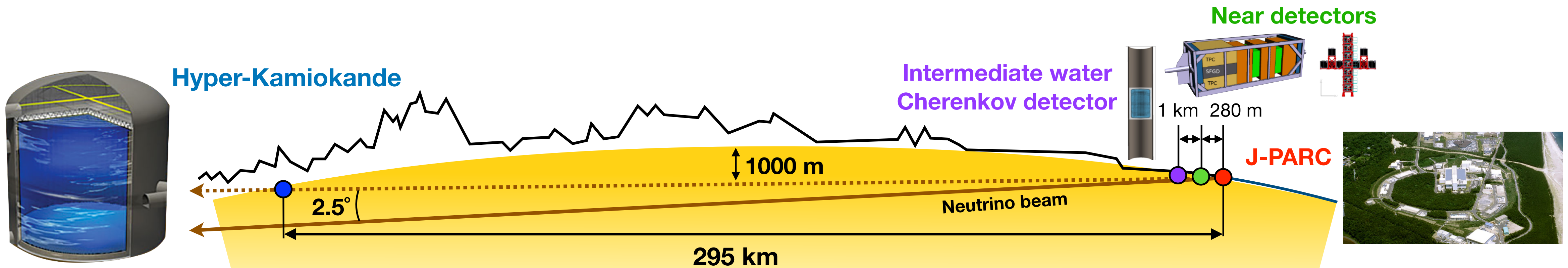
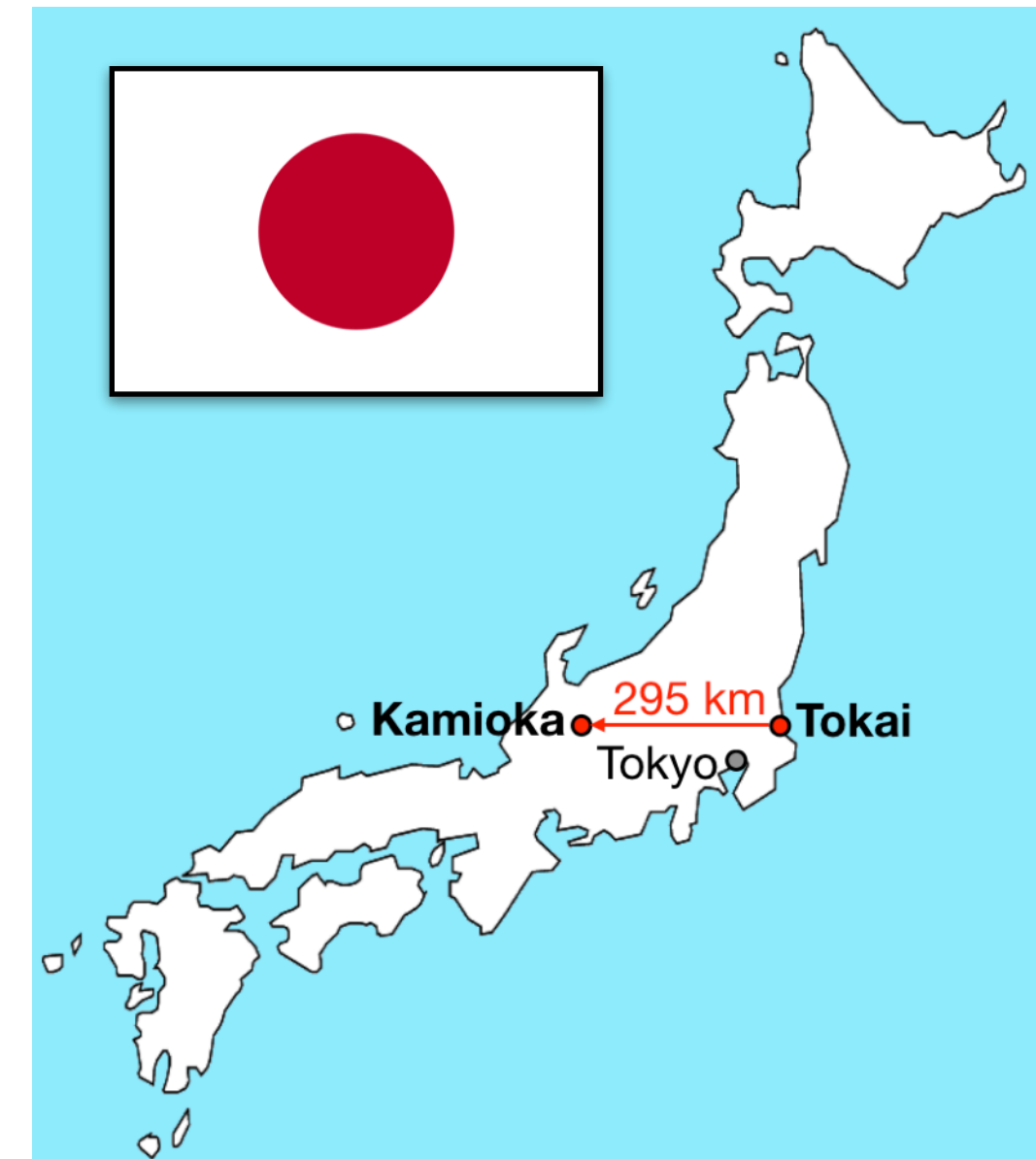
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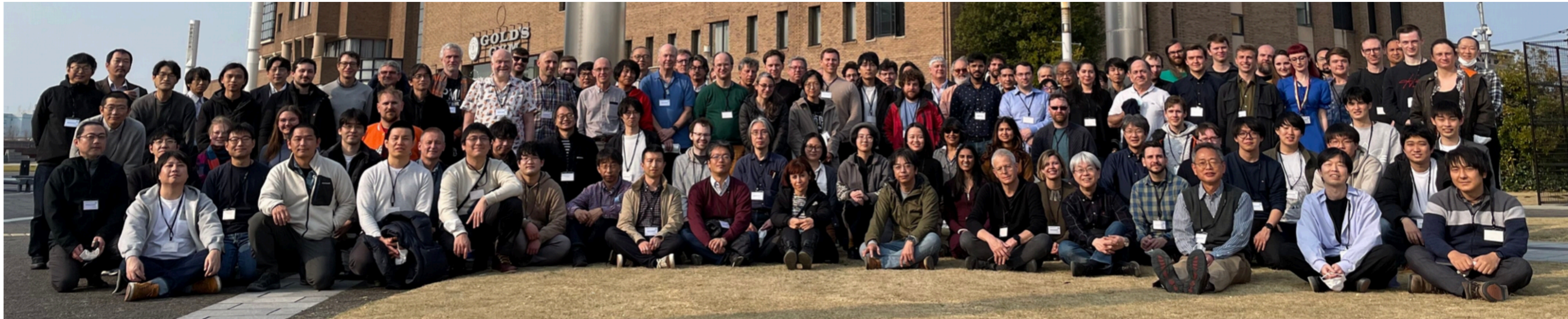
- $\theta_{23}$  octant  $\Leftrightarrow \theta_{23} \gtrless 45^\circ$ ?
- Mass ordering
- Value of the  $\delta_{CP}$
- Absolute mass
- Are  $\nu$ 's Dirac or Majorana nature?

Will be addressed by Hyper-Kamiokande

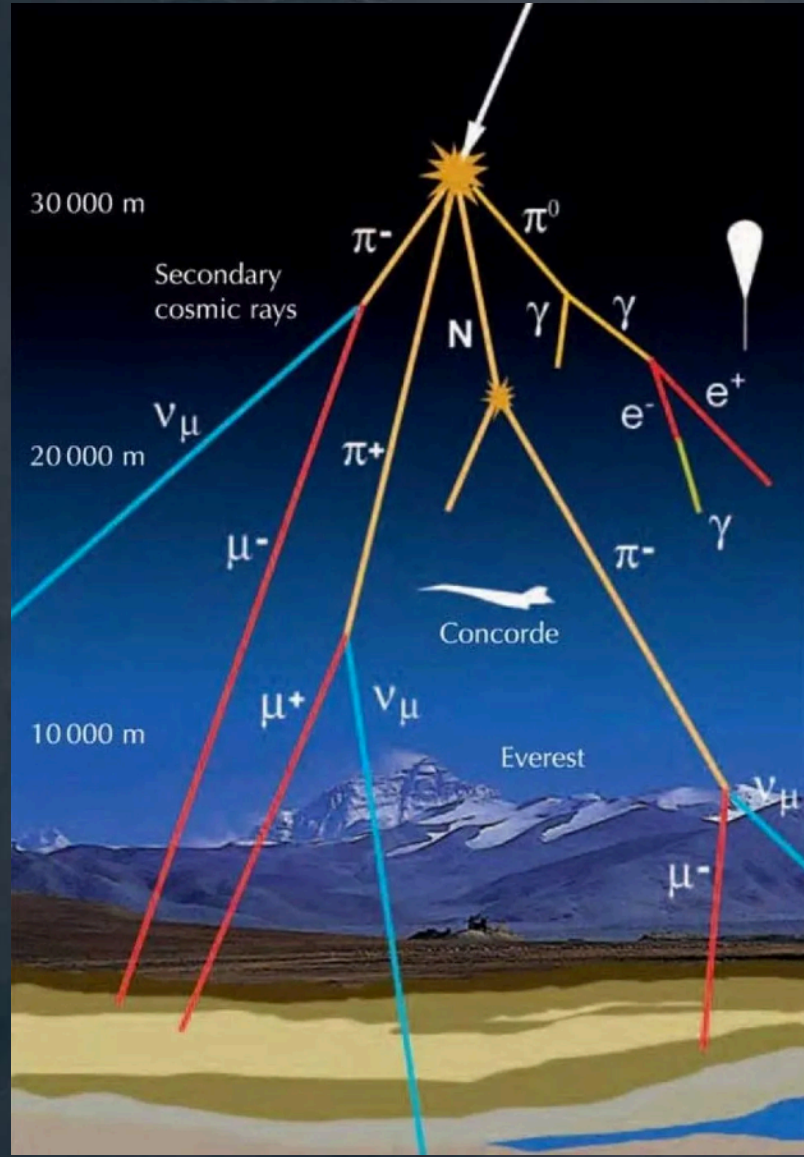
- International multipurpose experiment under construction in Japan - long baseline neutrino oscillation as well as non-accelerator physics
- The world's most-intense neutrino beam from J-PARC (ultimately 1.3 MW)
- Near detectors to control the systematics (@J-PARC):
  - INGRID & ND280 (common with T2K) - further improved with upgrades
  - Intermediate Water Cherenkov detector - newly built movable detector
- Hyper-Kamiokande (far) detector will be the largest neutrino and nucleon decay detector in the world with 260 kt of water (@Kamioka)



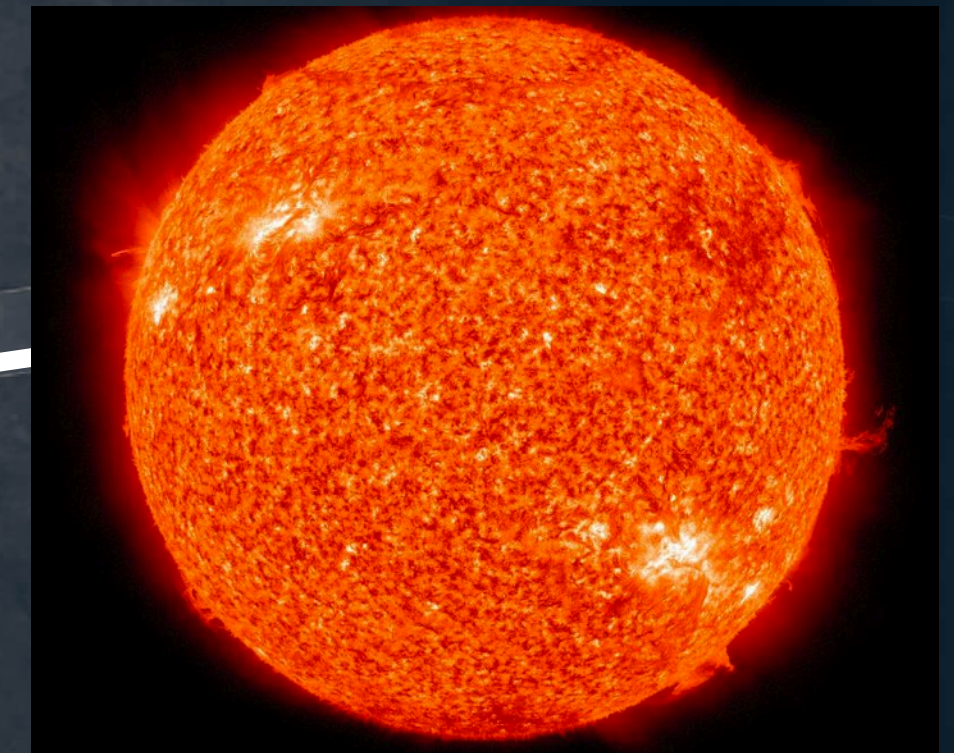
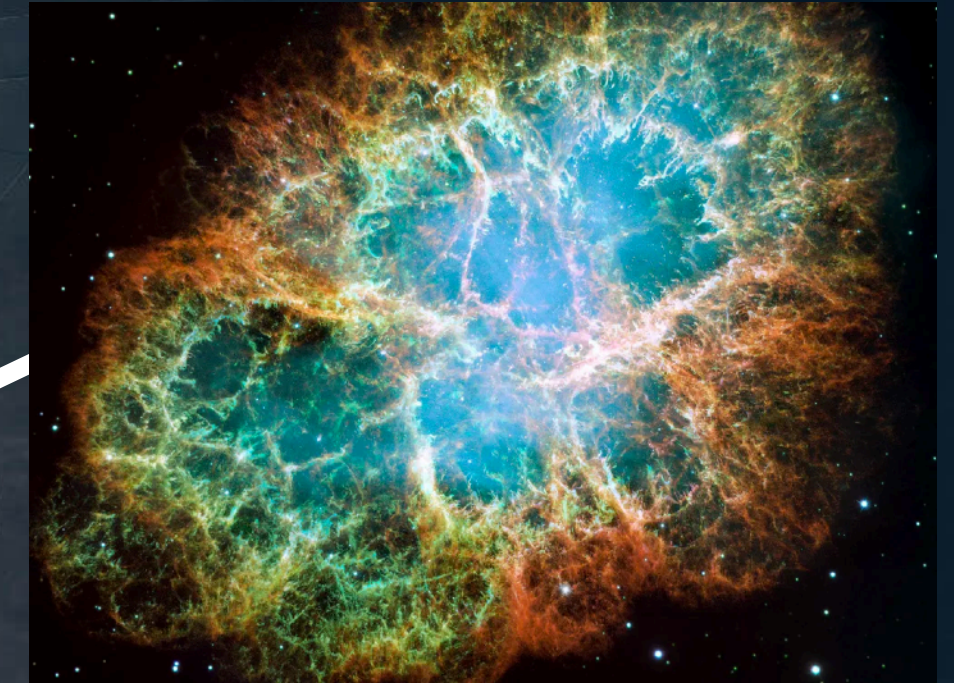
- About 560 scientists from 103 institutions from 22 countries



## Atmospheric neutrinos



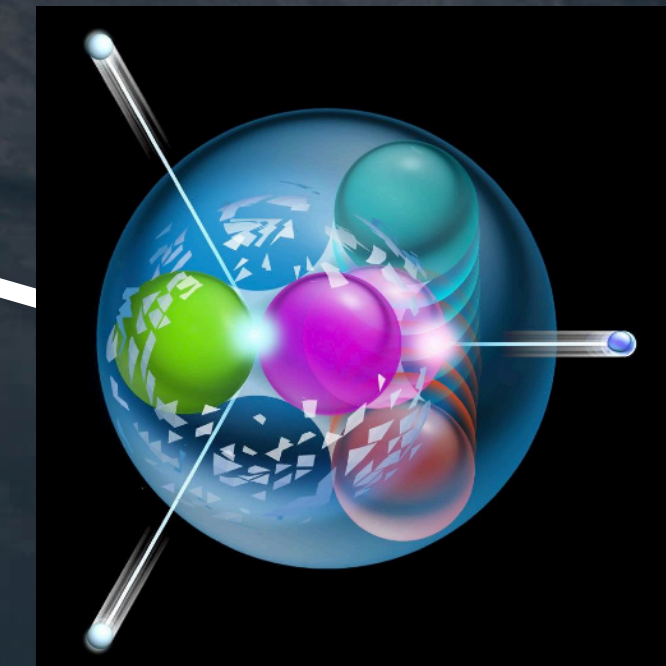
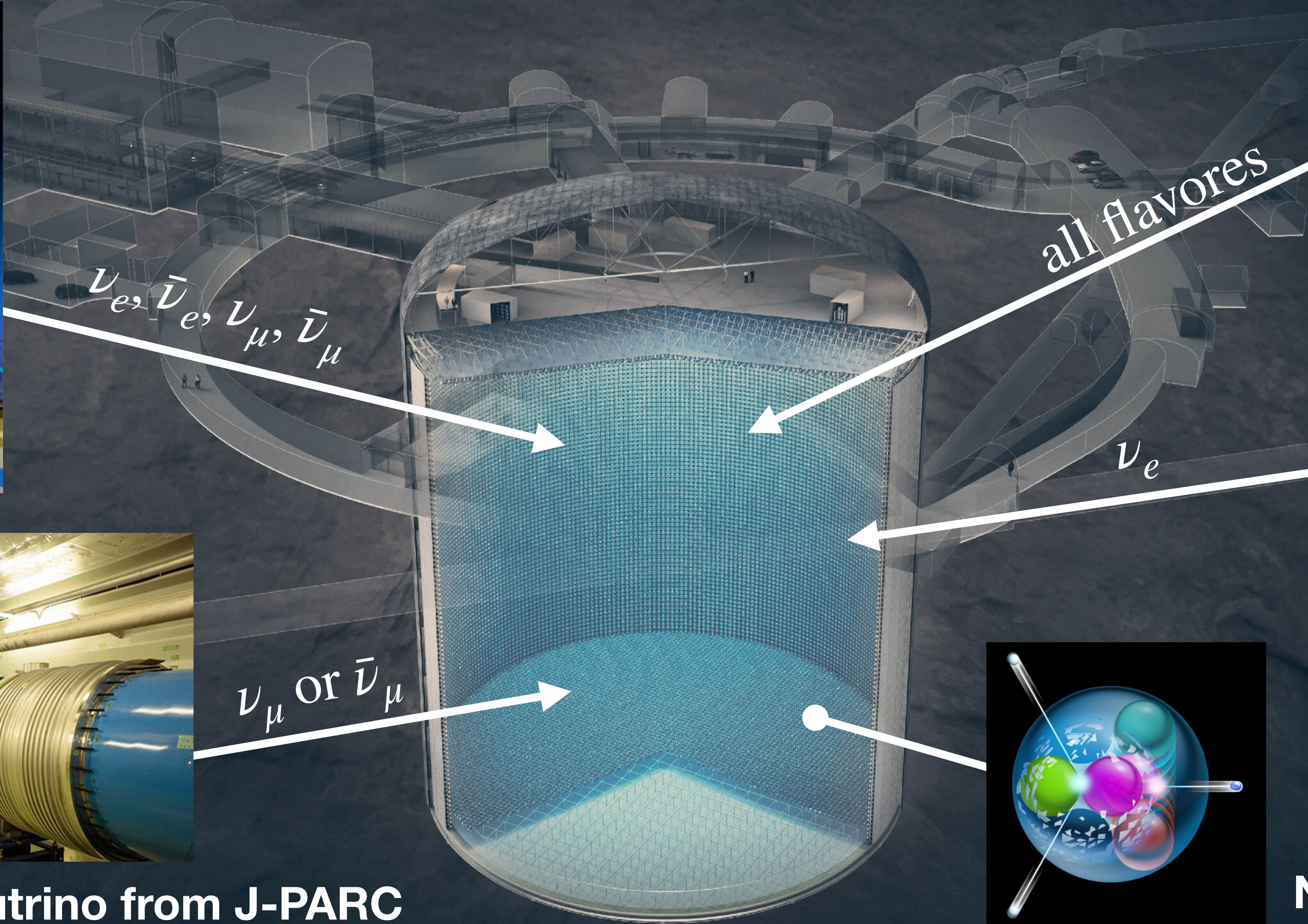
## Supernova neutrinos



Solar neutrinos

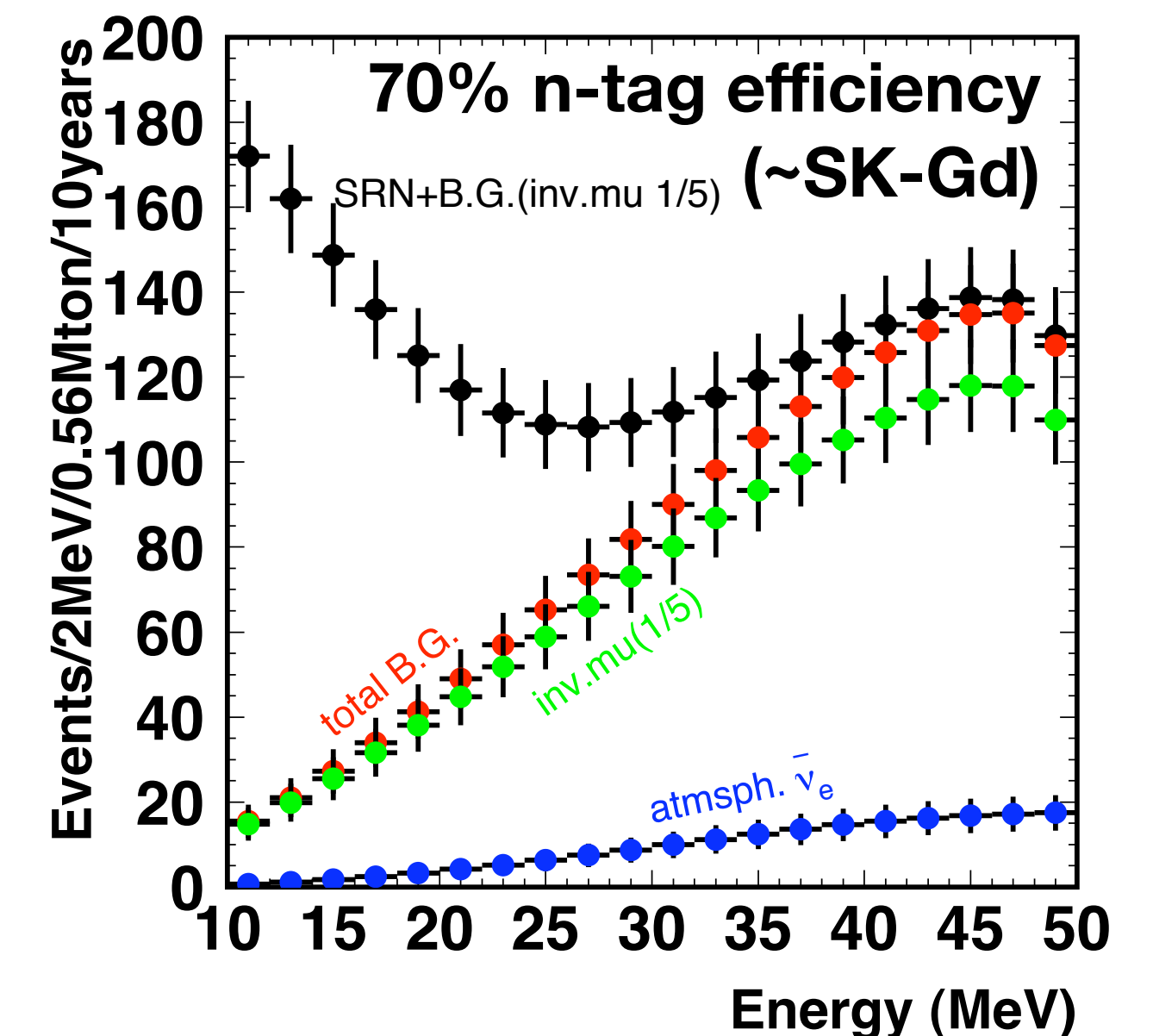
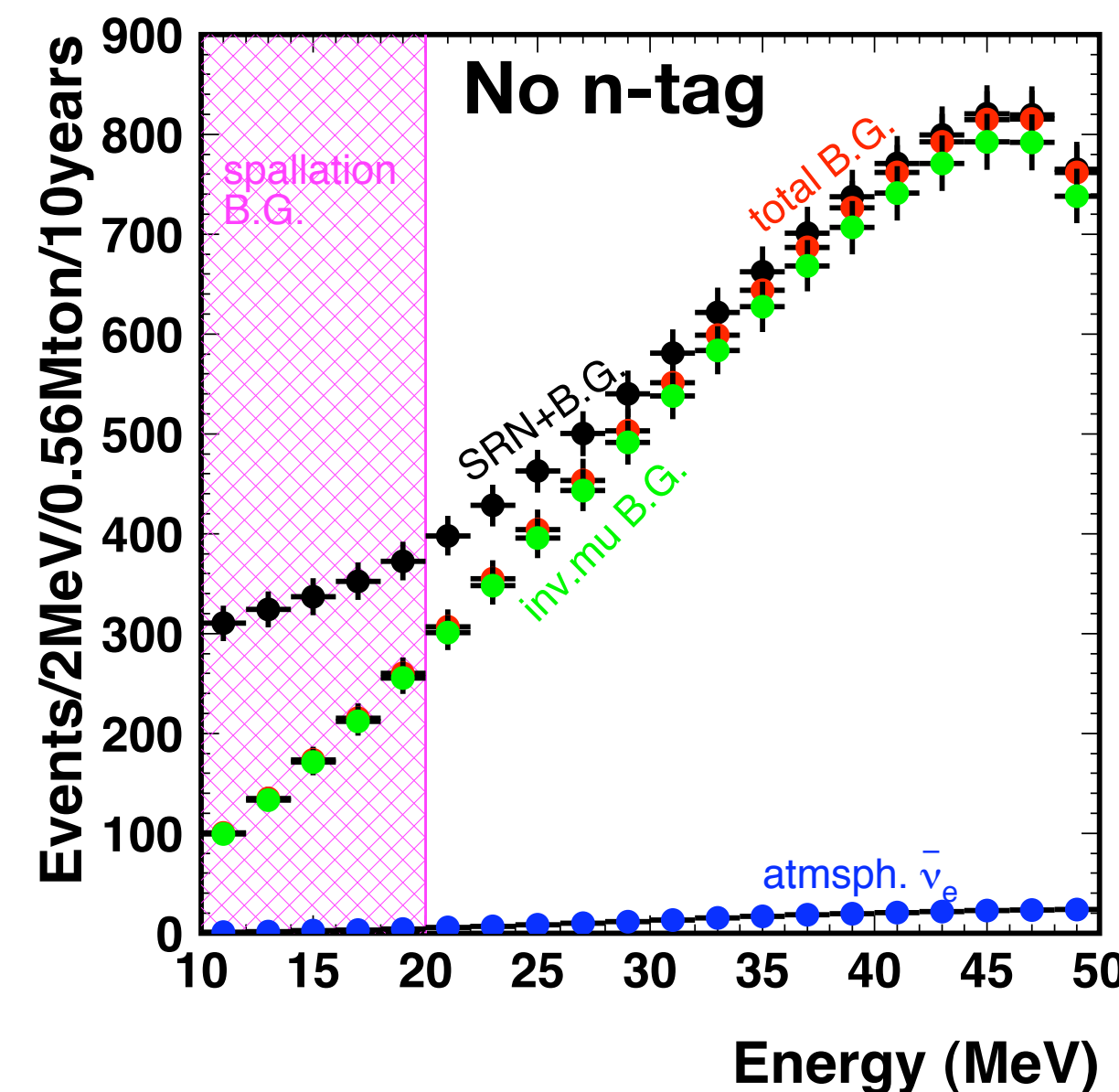
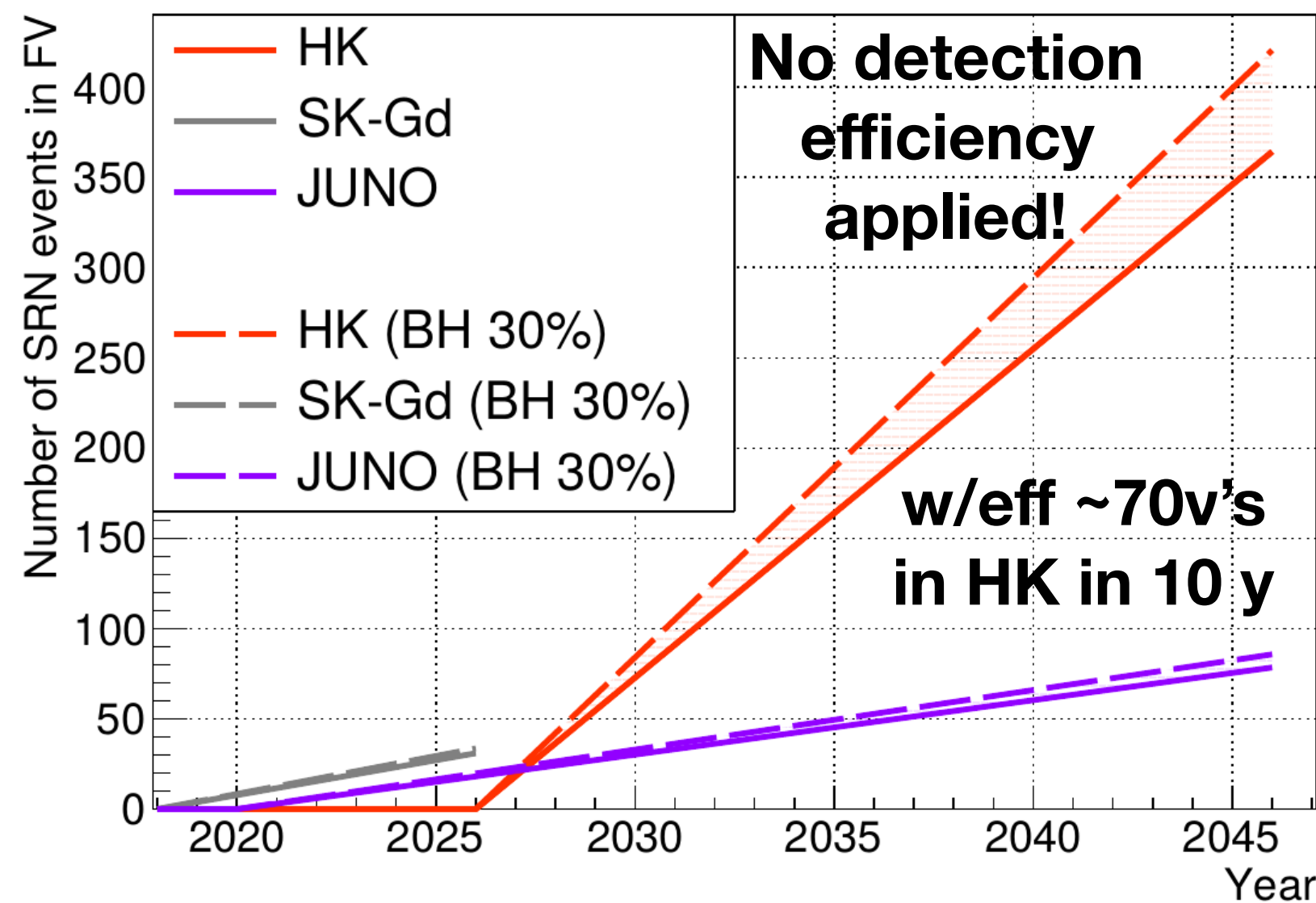


Accelerator neutrino from J-PARC

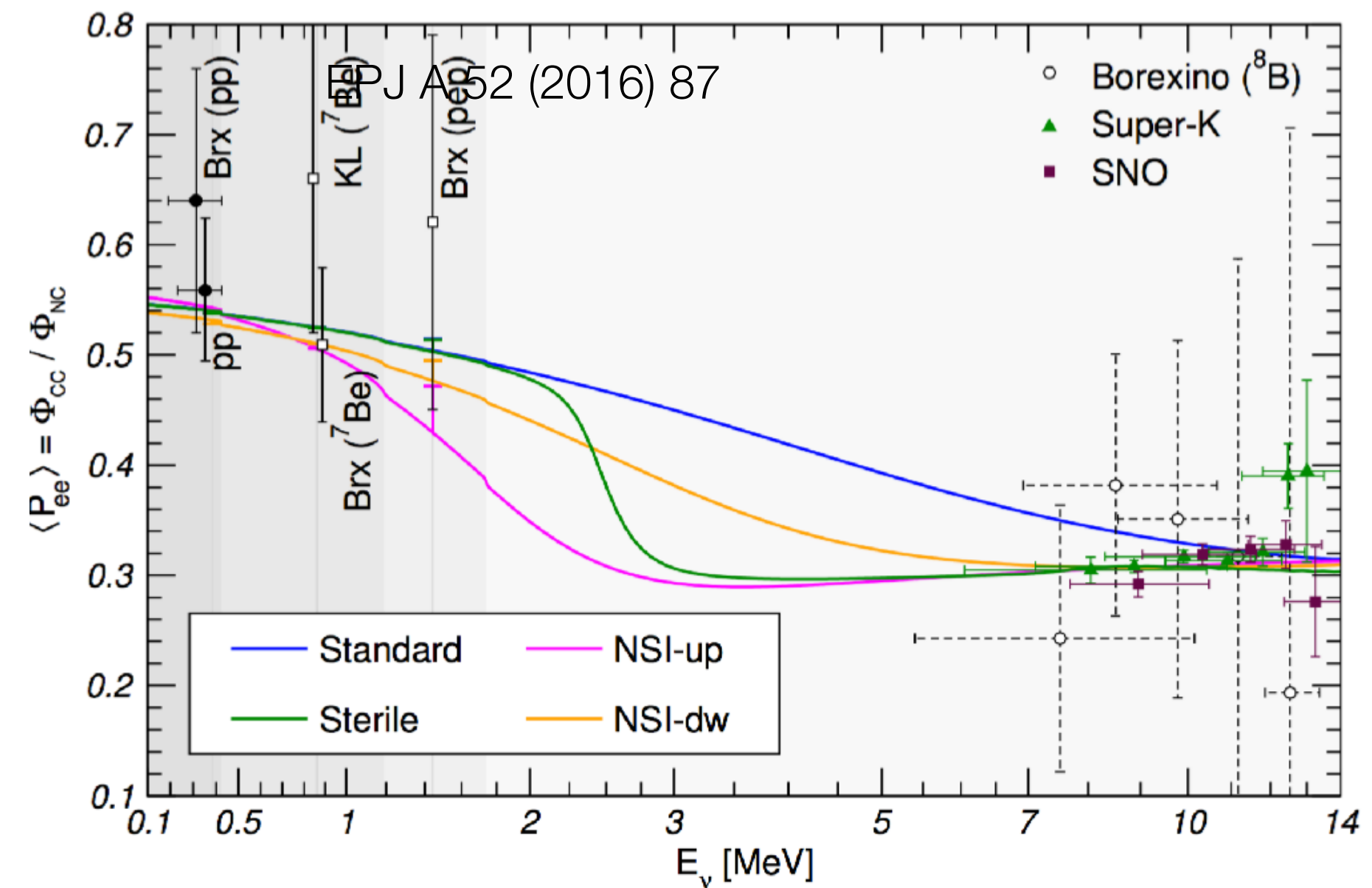
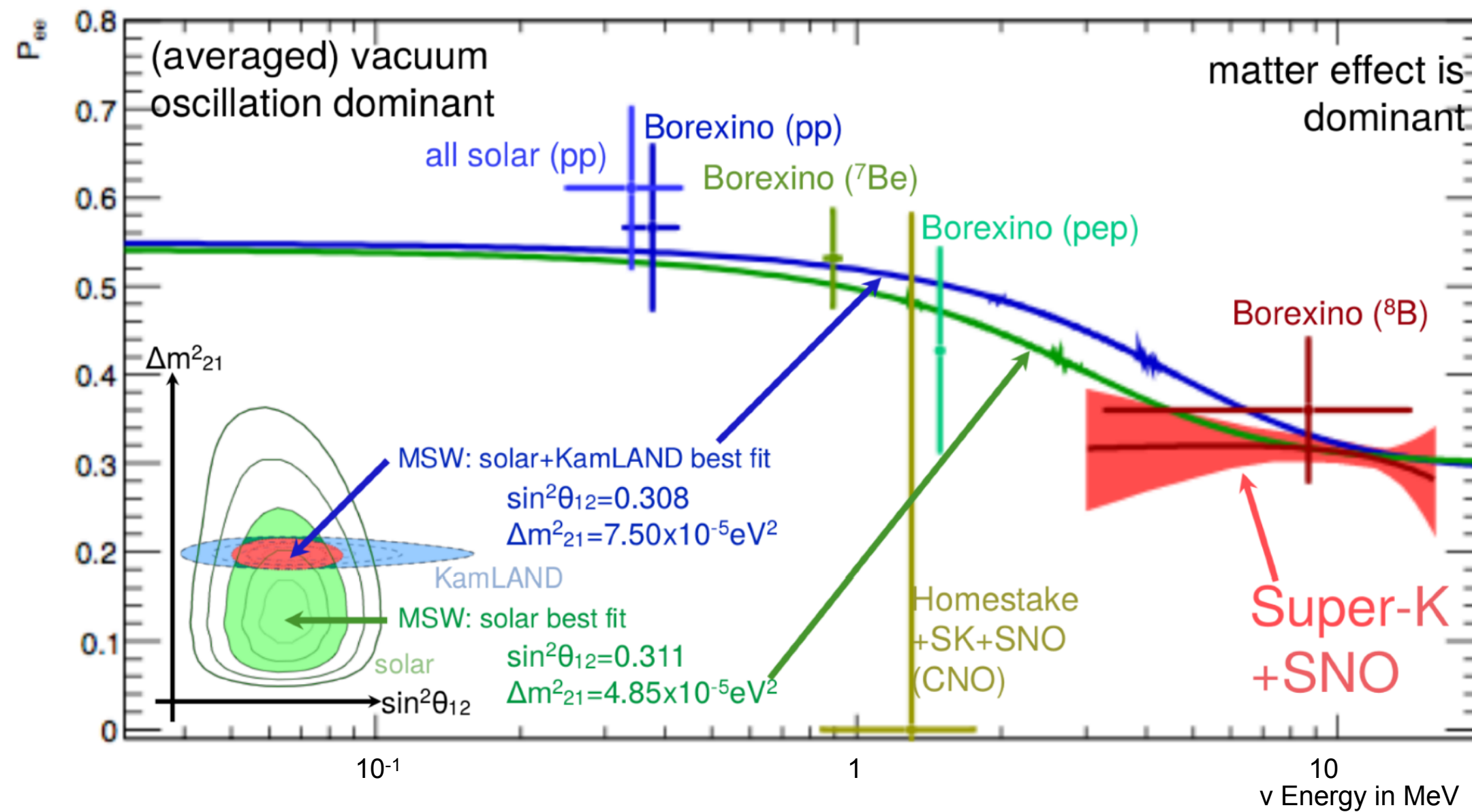


Nucleon decay

- 99% of the gravitational energy released in  $\nu$ 's with energy up to  $\sim 50$  MeV
- Supernova burst neutrinos - 54k-90k events in HK for supernova @10 kpc
  - Distinguishing explosion mechanism through time and energy spectra (ApJ 916 15 2021)
  - Event alert with  $\sim 1^\circ$  direction precision - multi-messenger astronomy
- Supernova relic neutrinos - not yet observed - determine the past stellar collapse rate
  - Detection through inverse beta decay  $\bar{\nu}_e + p \rightarrow e^+ + n$  - neutron tagging efficiency key aspect

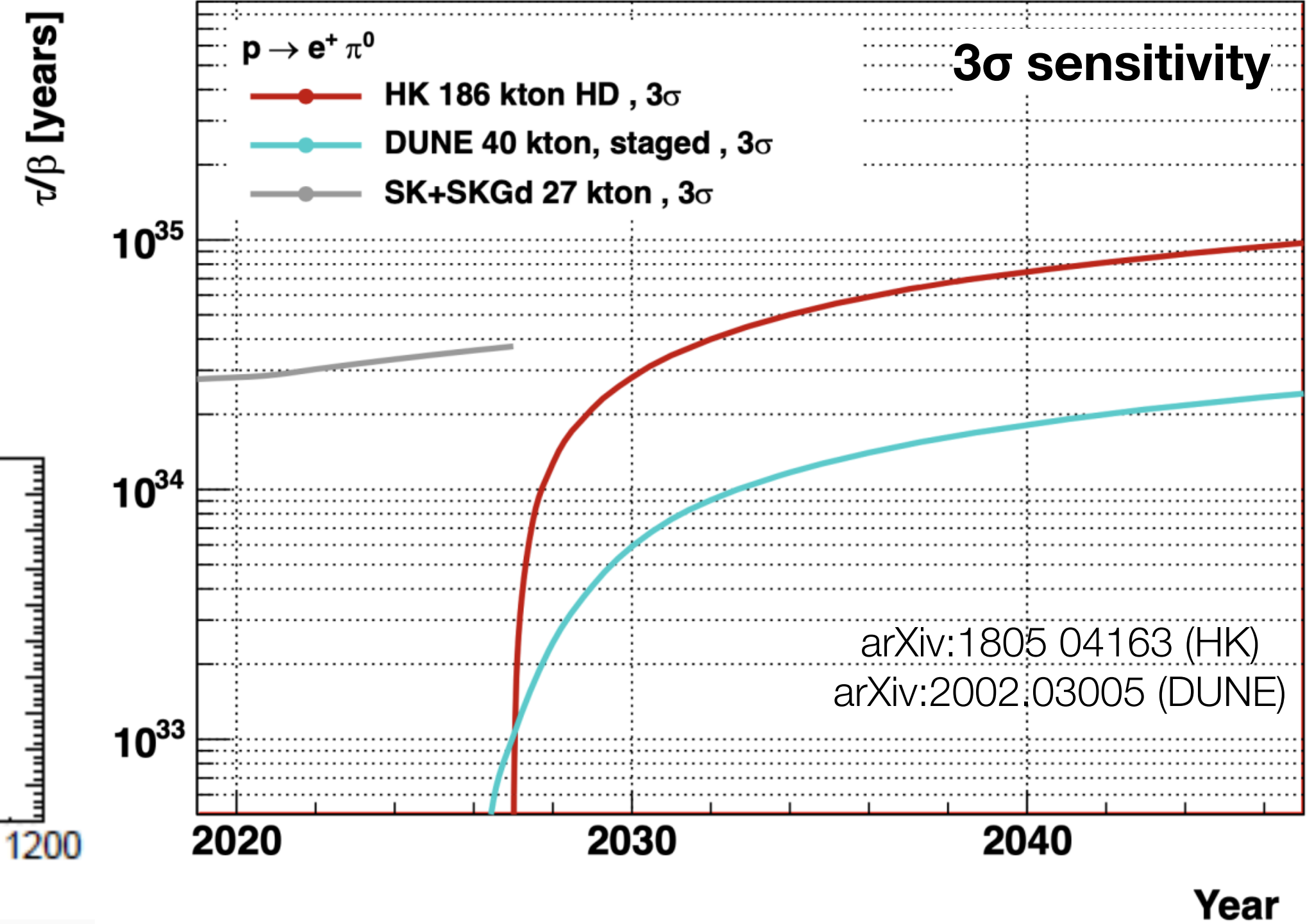
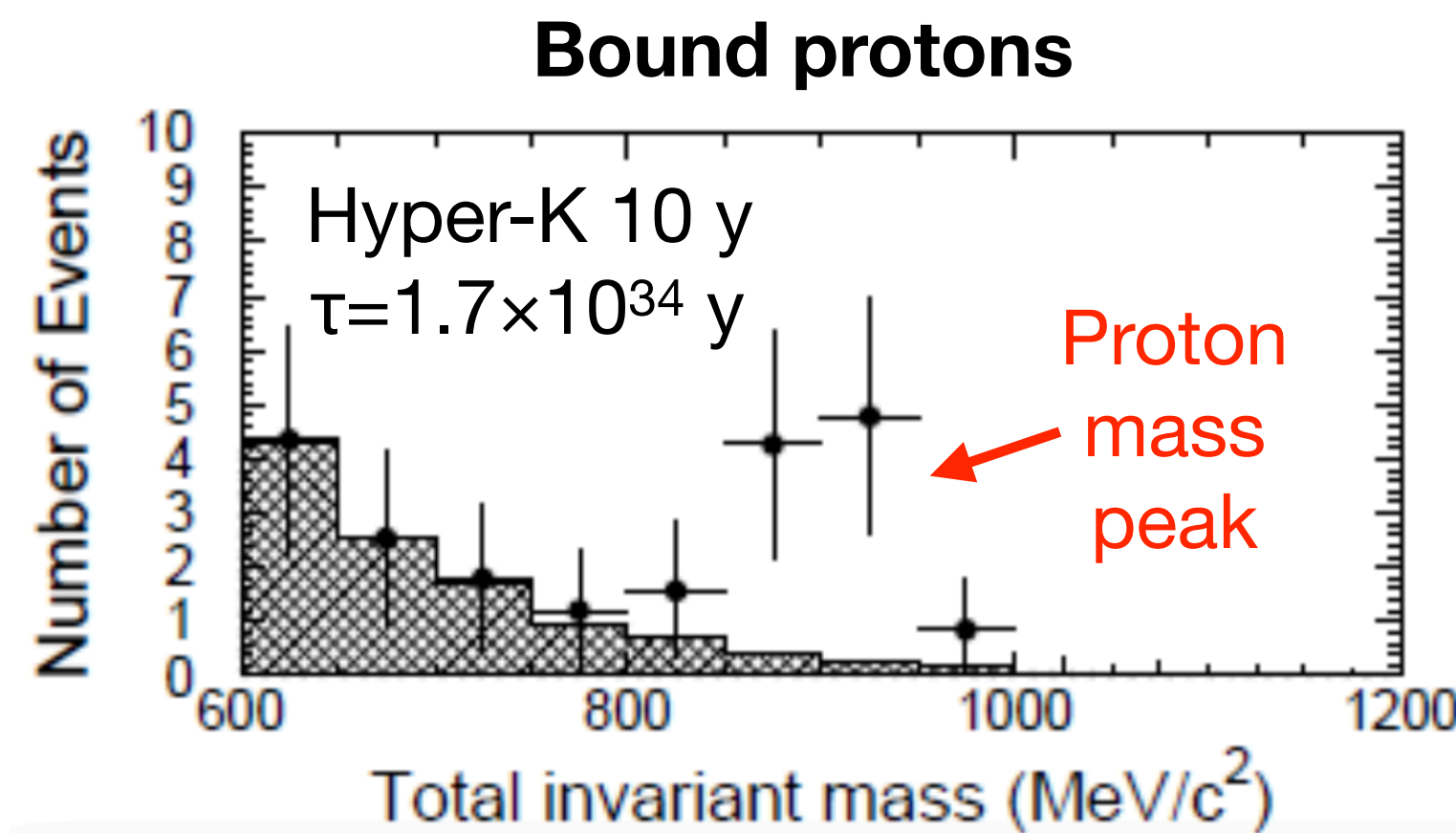
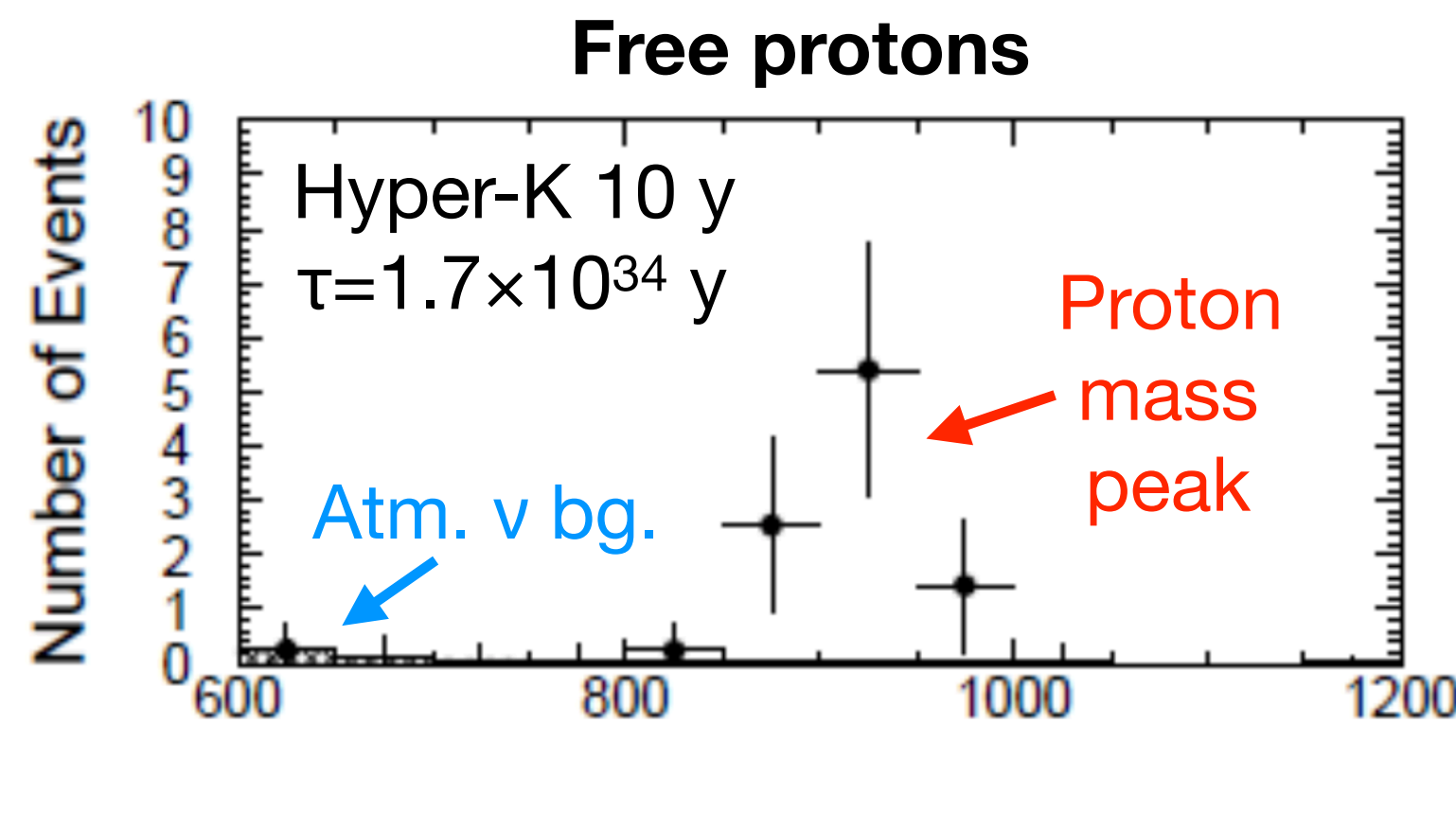
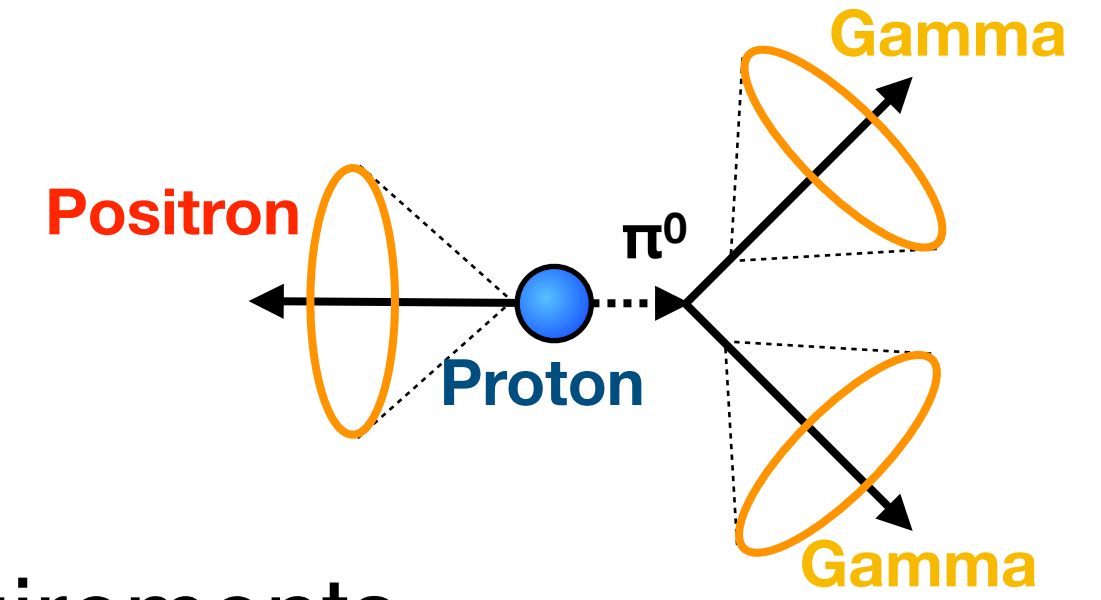


- So-called up-turn (transition between MSW-vacuum oscillations) - sensitive to various (non)standard parameters
- Current tension between standard prediction and measurements
- High-precision measurement by among others Hyper-Kamiokande separates between statistical fluke and other non-standard physics
- Hyper-K will further probe day/night asymmetry and measure high-energy solar neutrino flux (hep)

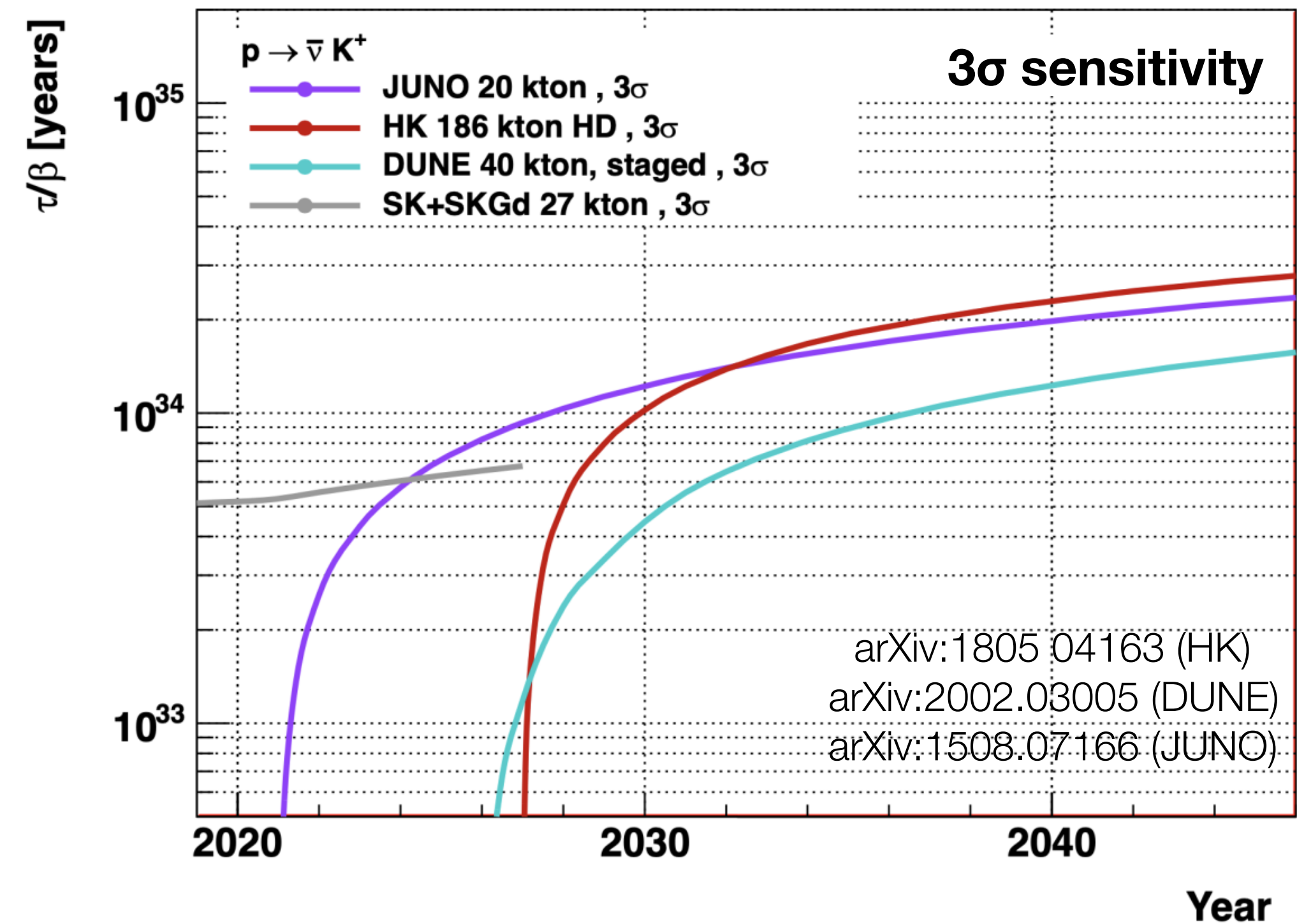
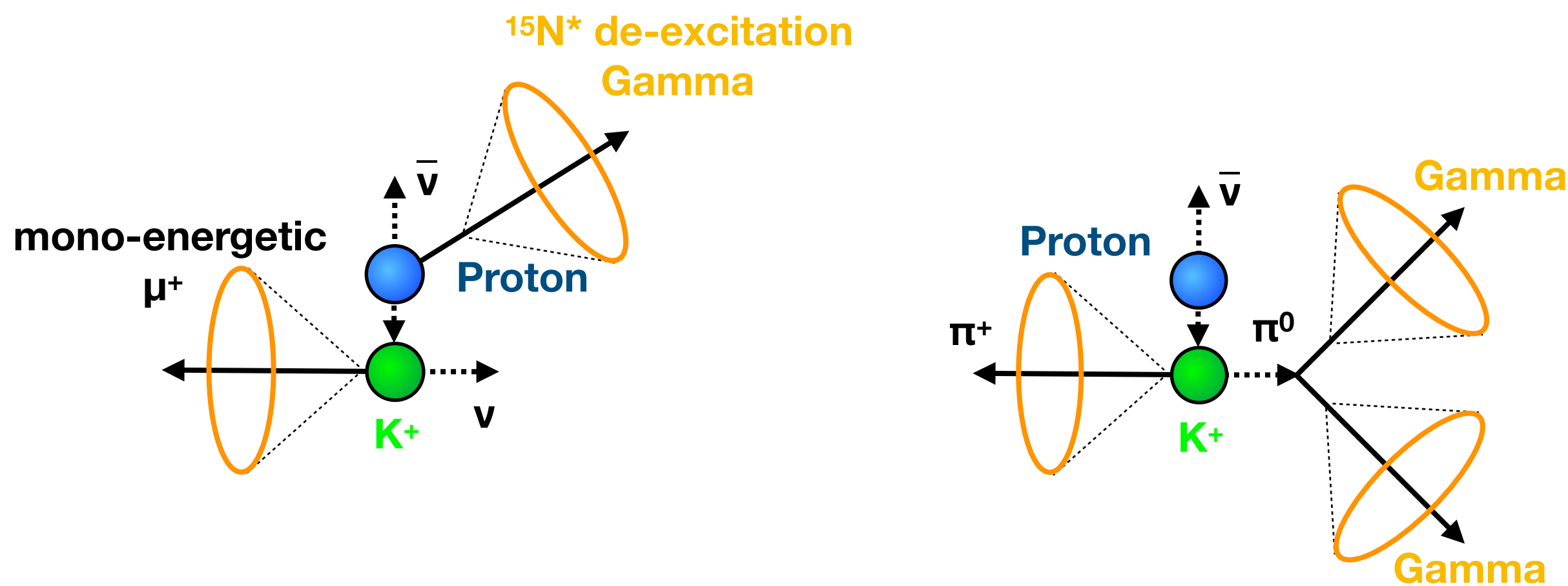


# Nucleon Decay Search

- Nucleon decay present in majority of the Grand Unified Theories
- Very long lifetime - Hyper-Kamiokande balance this with very huge mass
- “Golden” channel  $p \rightarrow e^+ + \pi^0$ 
  - Almost background free for free protons due to kinematics&topology requirements
  - Signal also from bound proton decays
- An order of magnitude improvement w.r.t. Super-K  $1.7 \times 10^{34} \text{ y}$
- $3\sigma$  sensitivity for  $\tau \sim 10^{35} \text{ y}$



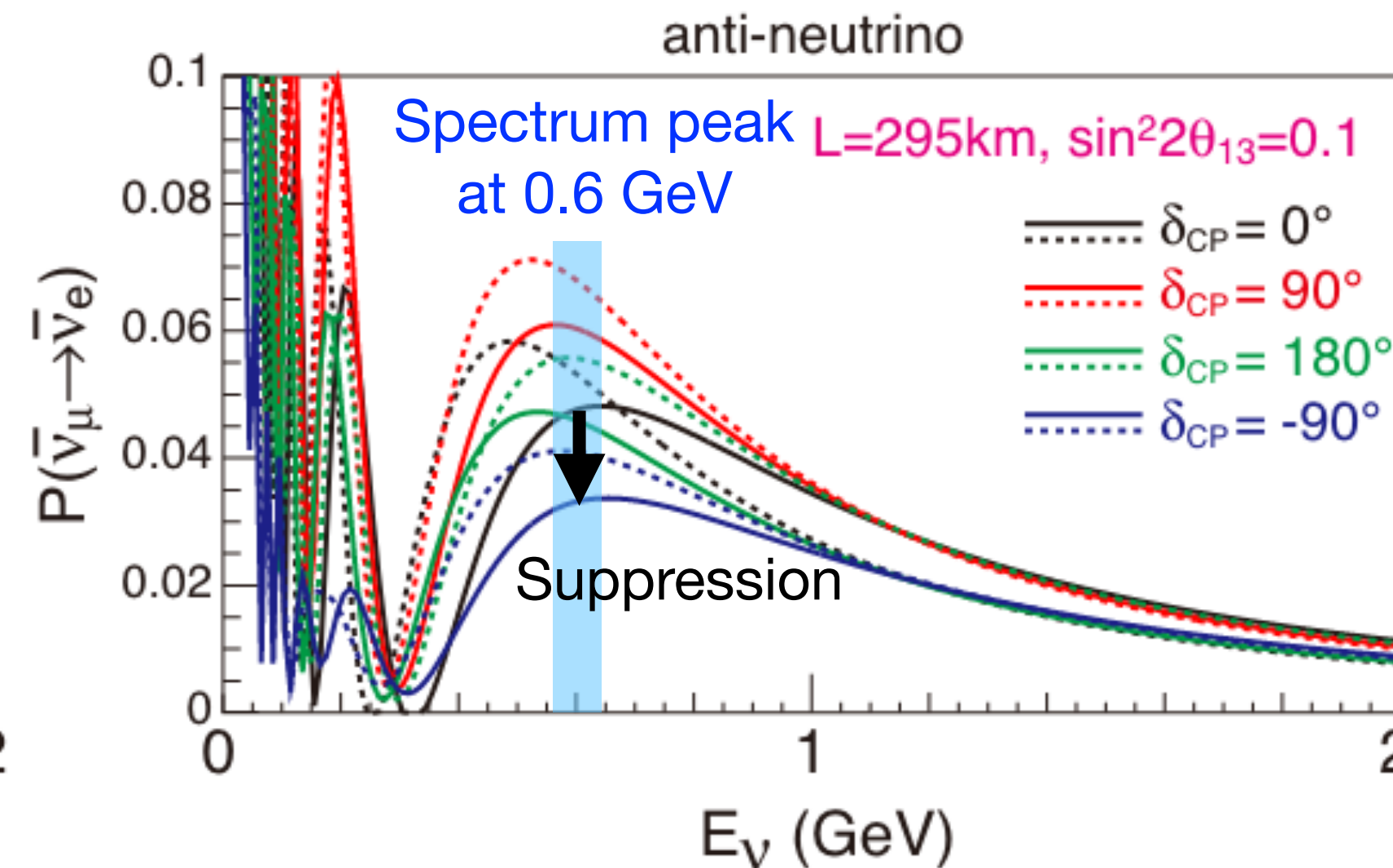
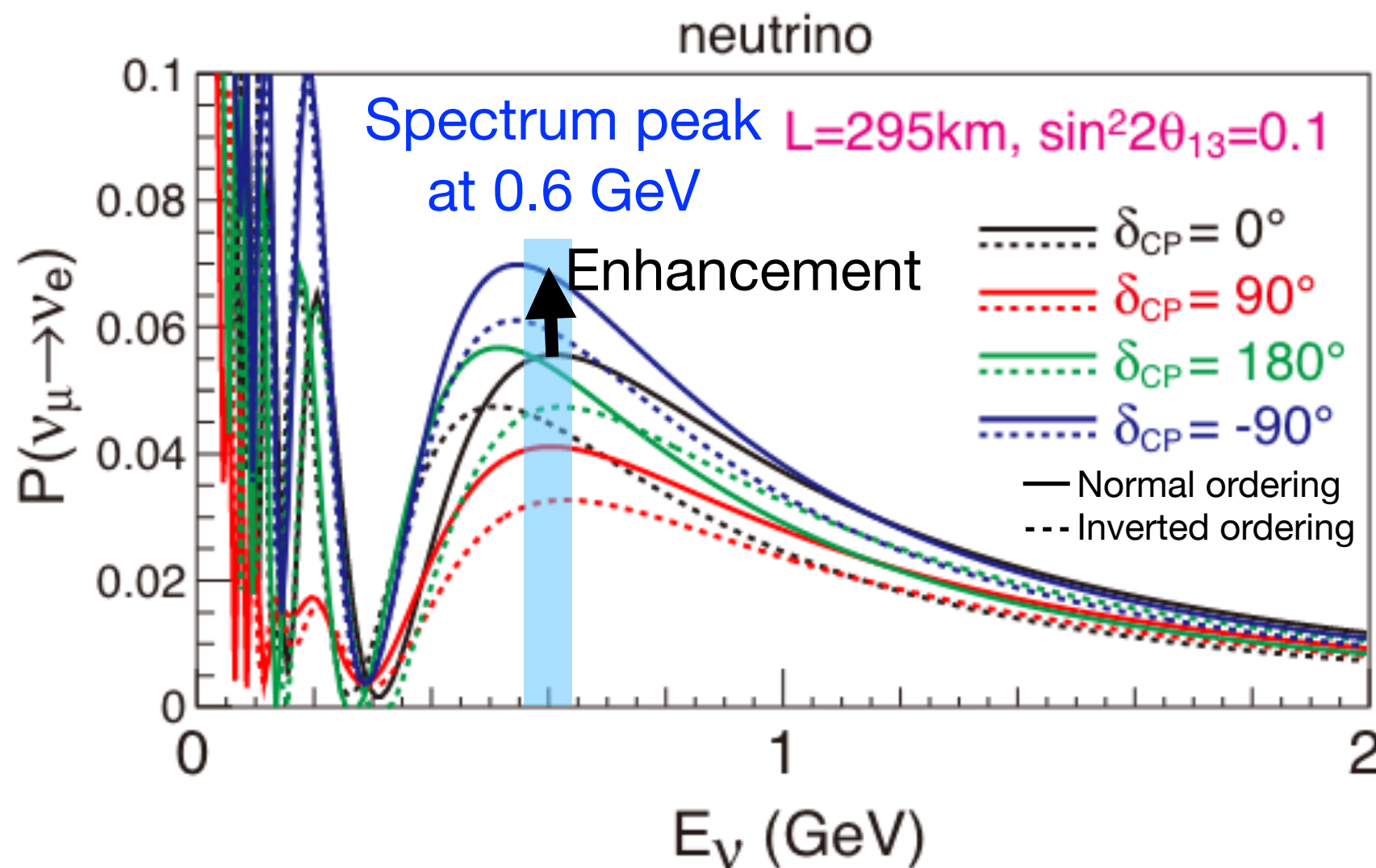
- The  $p \rightarrow K^+ + \bar{\nu}$  channel preferred by Supersymmetry theories
- Kaon decay signatures:
  - $K^+ \rightarrow \mu^+ + \nu_\mu$  (BR 64%) produces a mono-energetic 258 MeV muon and  $^{15}\text{N}^*$  de-excitation gamma  $\rightarrow$  prompt-delayed signal coincidence greatly suppresses the background
  - $K^+ \rightarrow \pi^+ + \pi^0$  (BR 21%) with a clear kinematic&topology pattern
- Hyper-Kamiokande the most sensitive experiment in this channel in about a year of data taking





- The narrow spectrum of 2.5° off-axis  $\nu_\mu/\bar{\nu}_\mu$  beam peaks at 0.6 GeV (tuned to 295 km baseline)
- Strategy for the CP violation measurement - comparison of  $P(\nu_\mu \rightarrow \nu_e)$  and  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
- Example for  $\delta_{CP}=-90^\circ$ : probability enhancement for neutrinos vs. suppression for antineutrinos
- High statistics measurement (1.3 MW beam + huge HK det.) with  $\sim 2k$  events both for  $\nu_e$  and  $\bar{\nu}_e$  (1:3)
- Improved systematics with new/upgraded near detectors

Error model	$\mu$ -like		e-like			
	$\nu$ -mode	$\bar{\nu}$ -mode	$\nu$ -mode 0 d.e.	$\bar{\nu}$ -mode 0 d.e.	$\nu$ -mode 1 d.e.	$\nu/\bar{\nu}$ modes 0 d.e.
T2K 2020	3.0%	4.0%	4.7%	5.9%	14.1%	4.6%
Improved	1.2%	1.1%	2.1%	2.2%	5.2%	2.0%

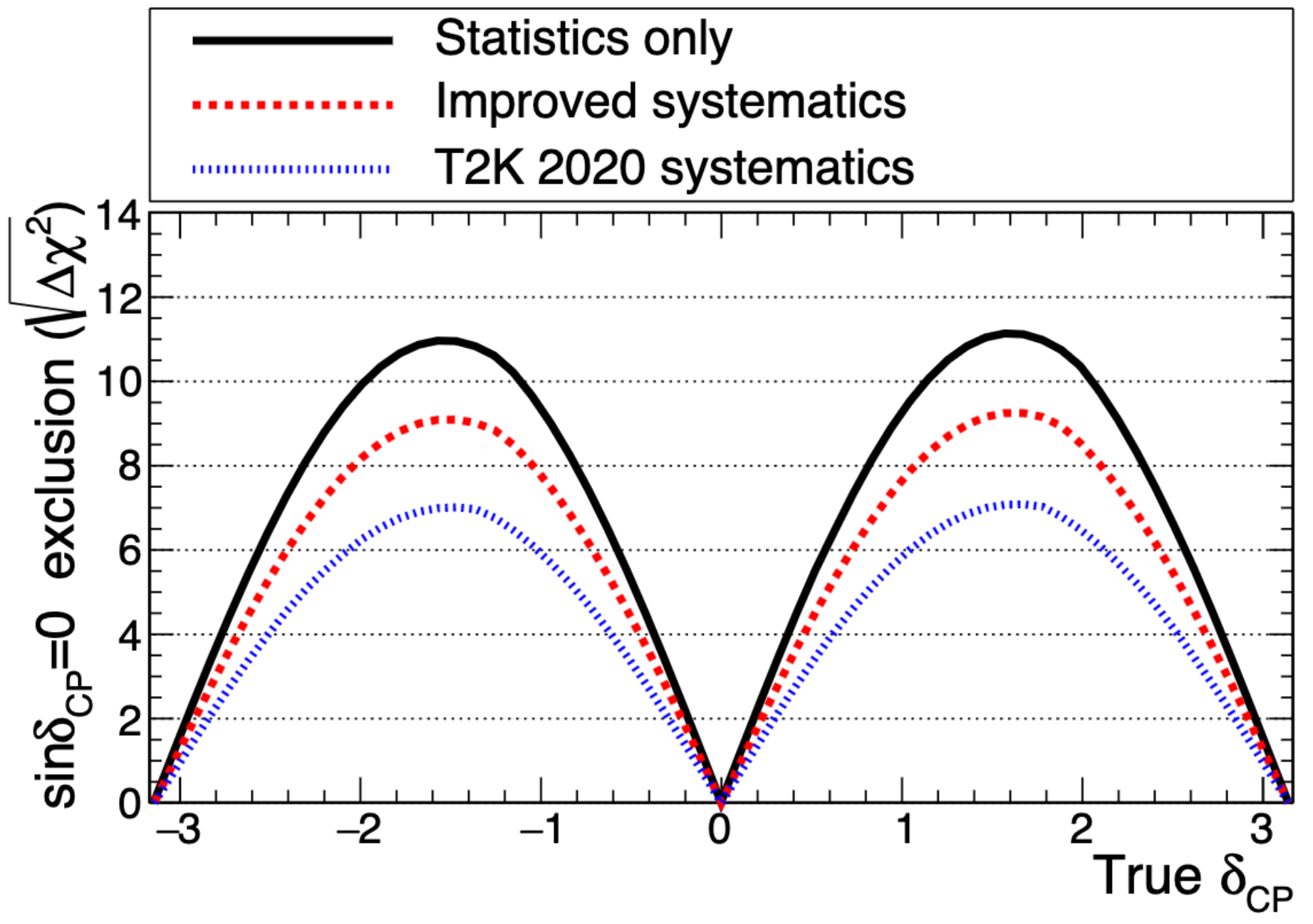


Expected event rate @ 10 years (2.7E22 POT),  
 $\nu:\bar{\nu} = 1:3$ , @  $\delta_{CP} = 0$

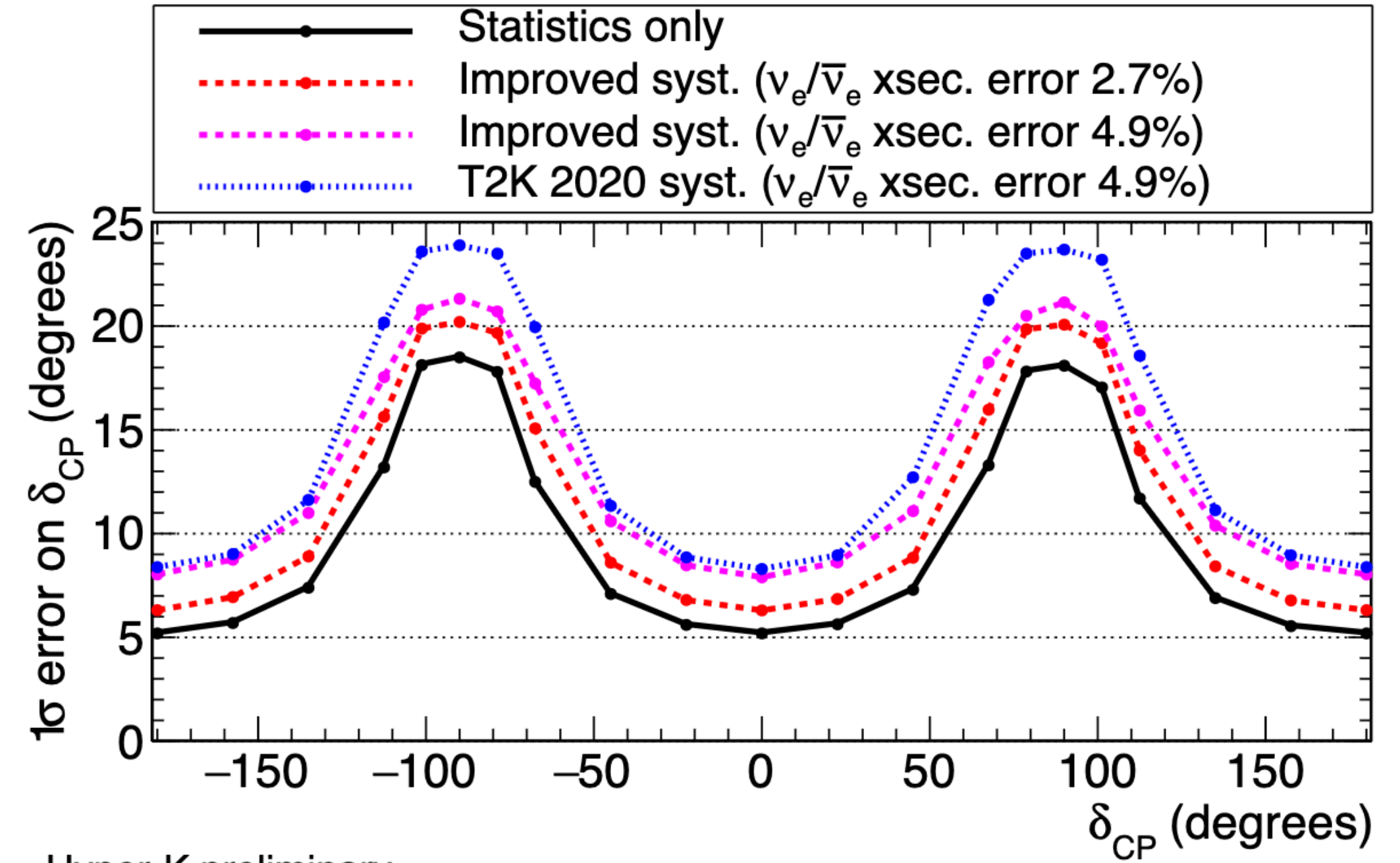
$\nu$ -mode, 1-ring $\mu$ -like	$\sim 8800$
$\bar{\nu}$ -mode, 1-ring $\mu$ -like	$\sim 12000$
$\nu$ -mode, 1-ring e-like + 0 decay e	$\sim 2100$
$\bar{\nu}$ -mode, 1-ring e-like + 0 decay e	$\sim 1800$
$\nu$ -mode, 1-ring e-like + 1 decay e	$\sim 300$

- Excluding CP conservation for 62% of  $\delta_{CP}$  parameter space @ $5\sigma$  in 10 y (assuming know mass ordering)
- Improved systematics has great impact

- 20.2° error at true  $\delta_{CP}=-90^\circ$
- 6.3° error at true  $\delta_{CP}=0^\circ$

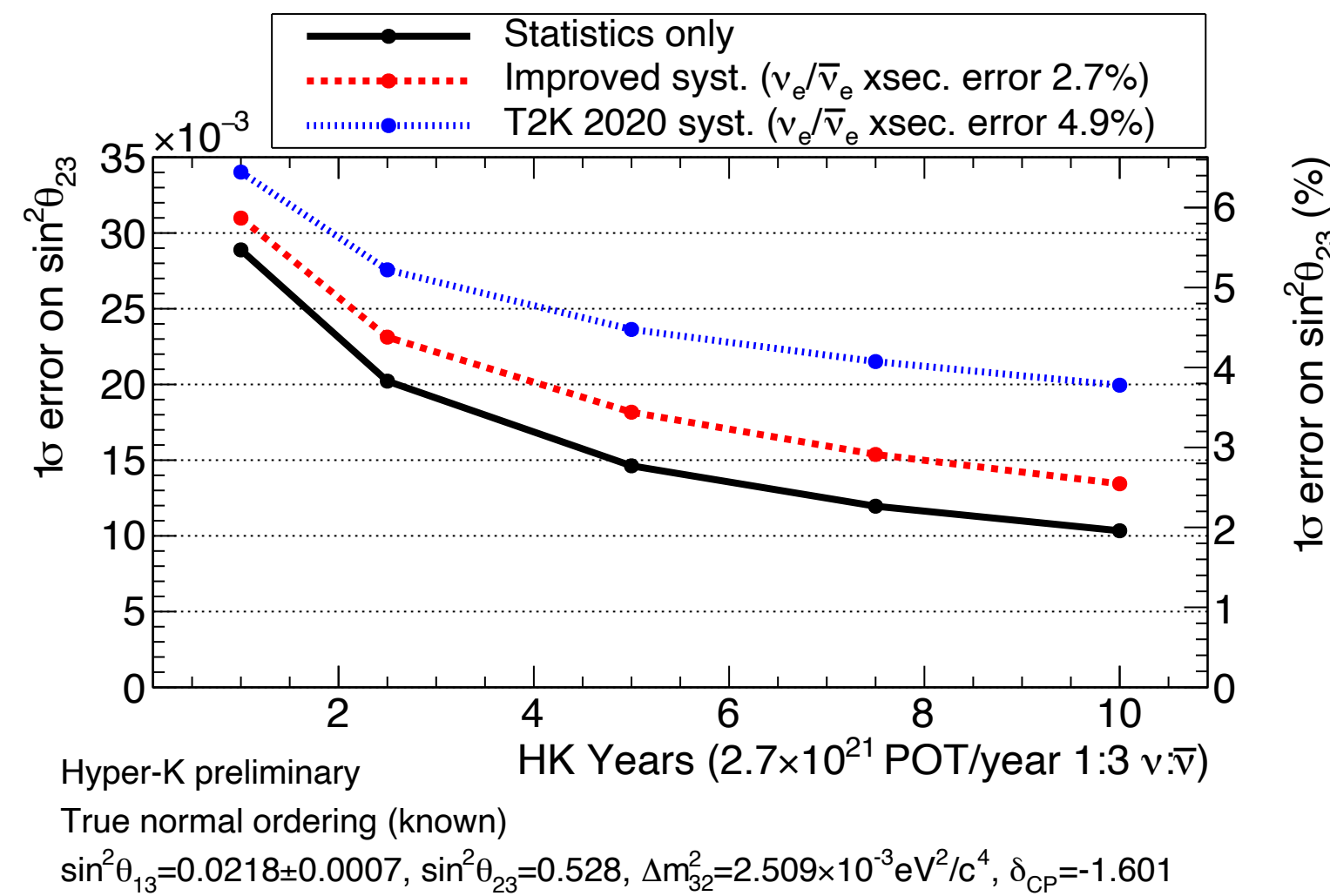


Hyper-K preliminary  
 True normal ordering (known), 10 years ( $2.7 \times 10^{22}$  POT 1:3  $\nu:\bar{\nu}$ )  
 $\sin^2\theta_{13}=0.0218\pm 0.0007$ ,  $\sin^2\theta_{23}=0.528$ ,  $\Delta m_{32}^2=2.509 \times 10^{-3} \text{eV}^2/c^4$



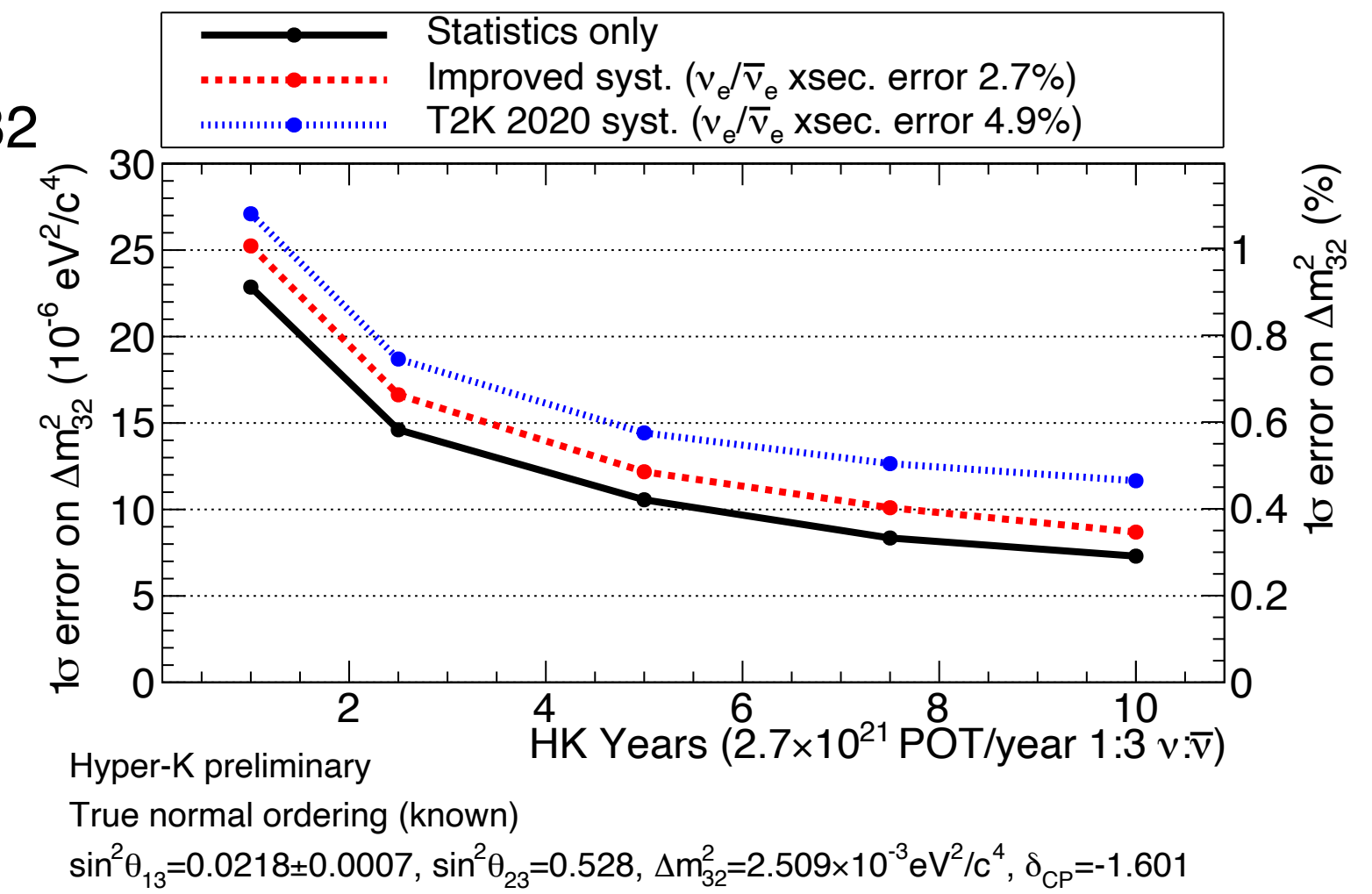
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■ Precision of  $\theta_{23}$   
4%  $\rightarrow$  2.5%

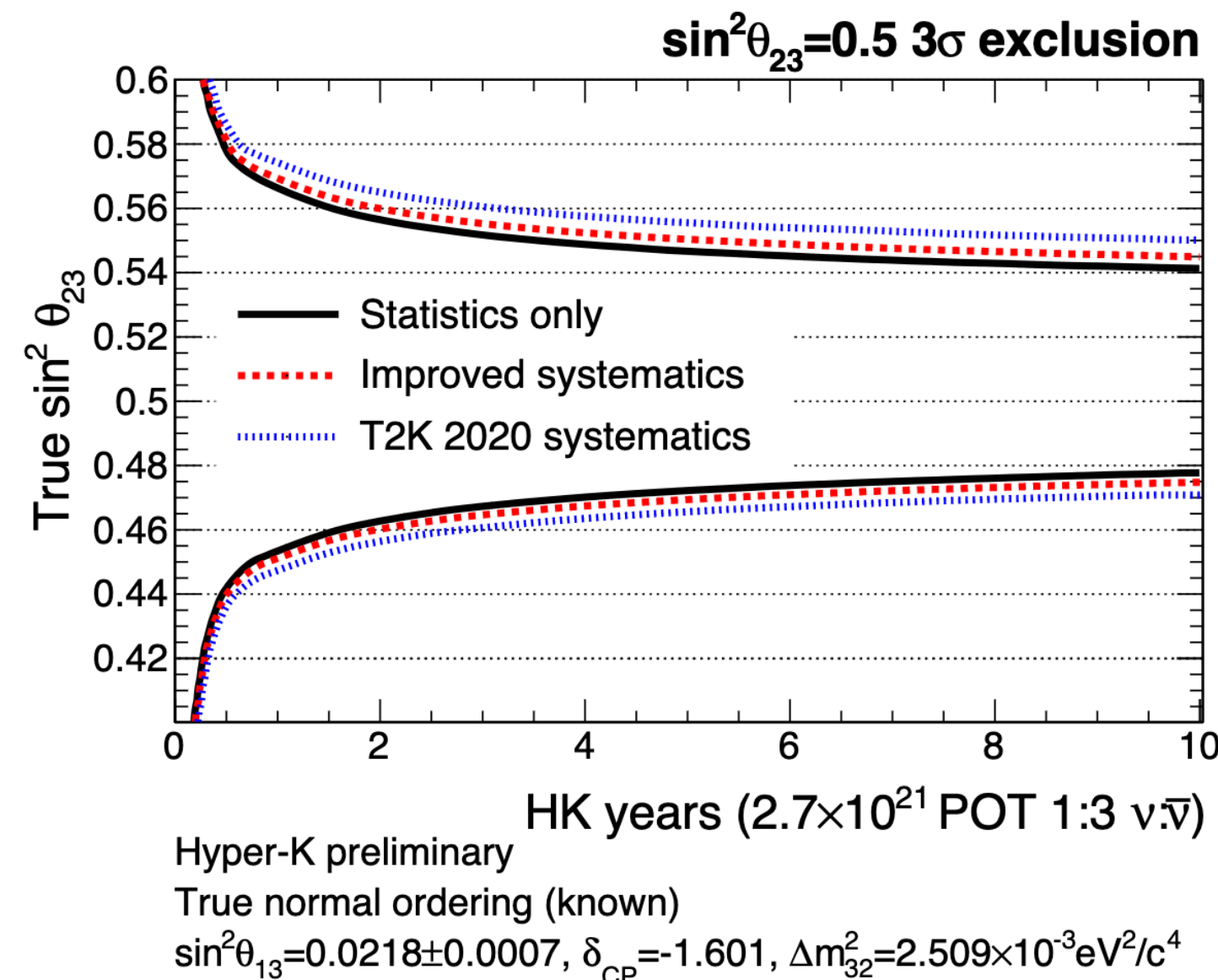


■ Precision of  $\Delta m_{32}^2$   
 $\sim 2\% \rightarrow 0.36\%$

■ JUNO more precise



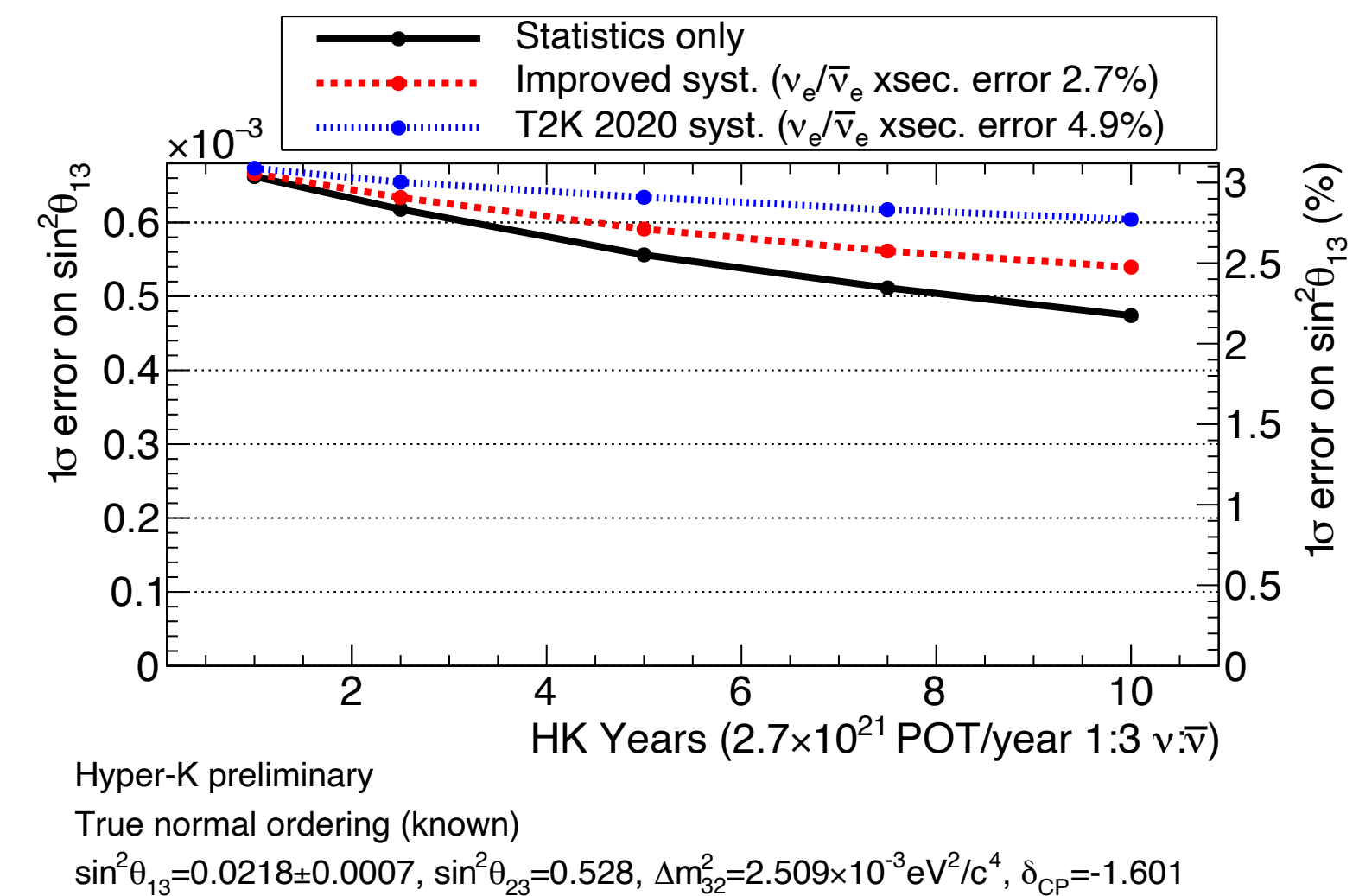
■ Octant of  $\theta_{23}$   
determined at  
 $3\sigma$  for  $\Delta\theta_{23}\gtrsim 2^\circ$



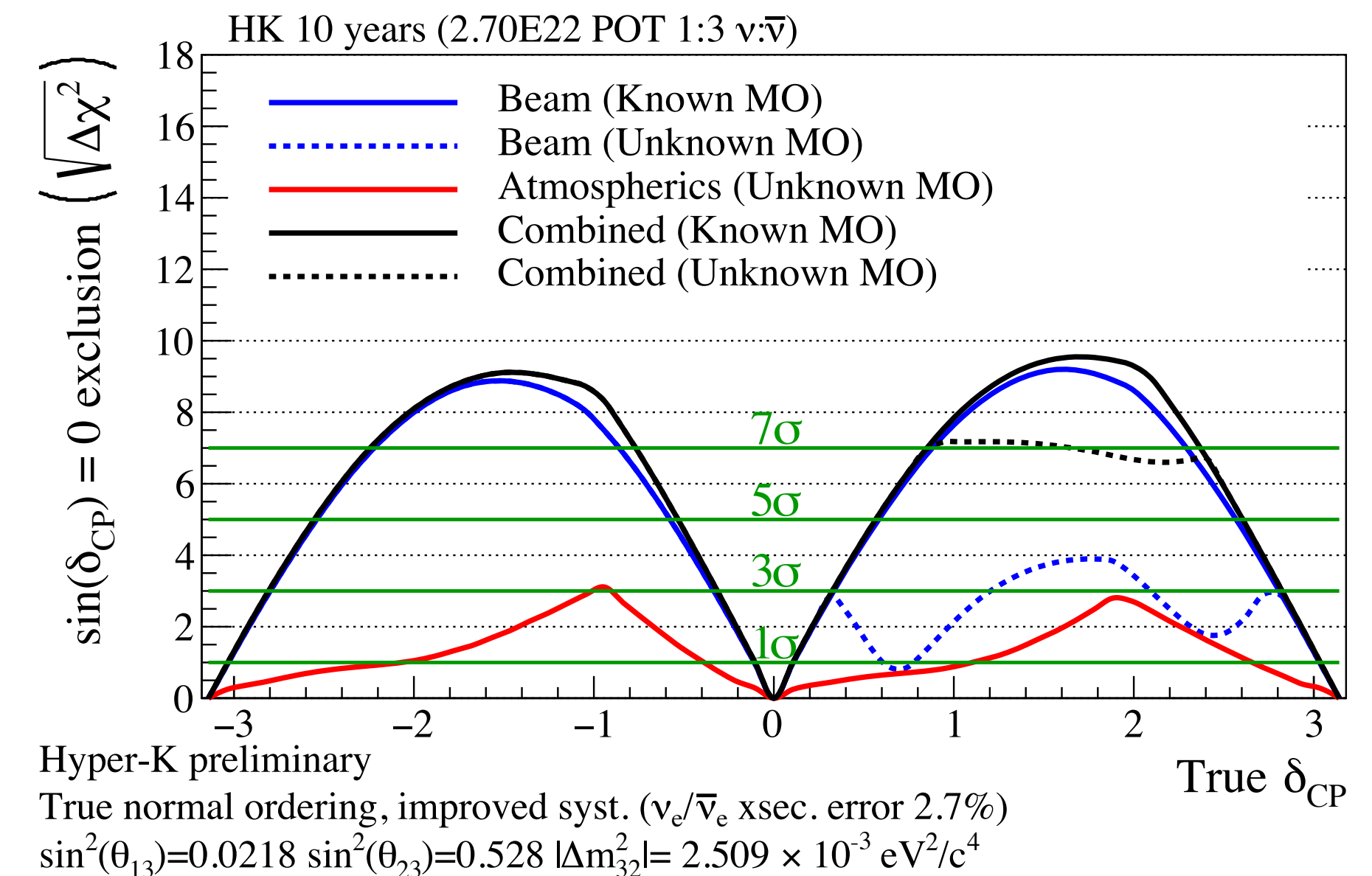
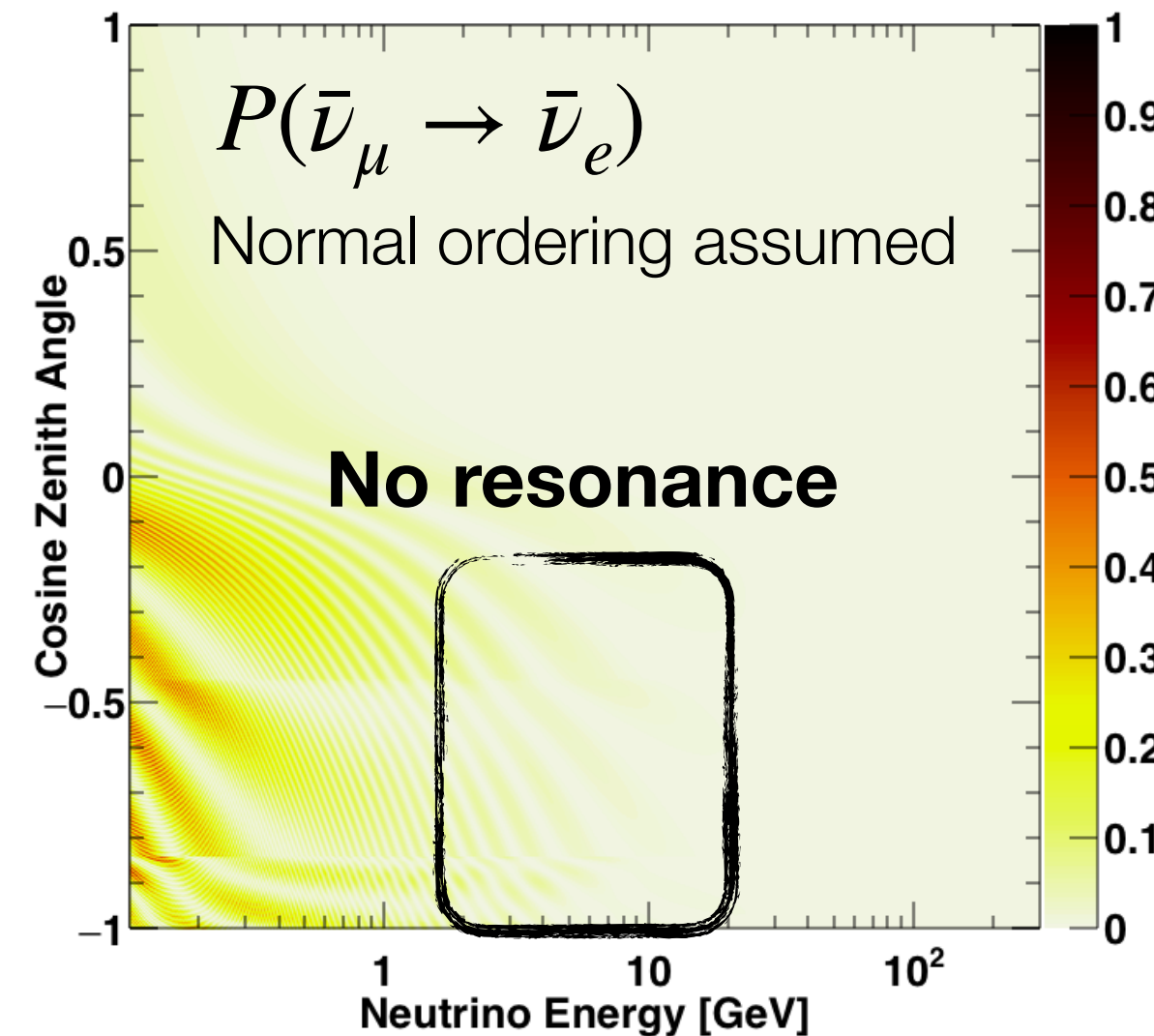
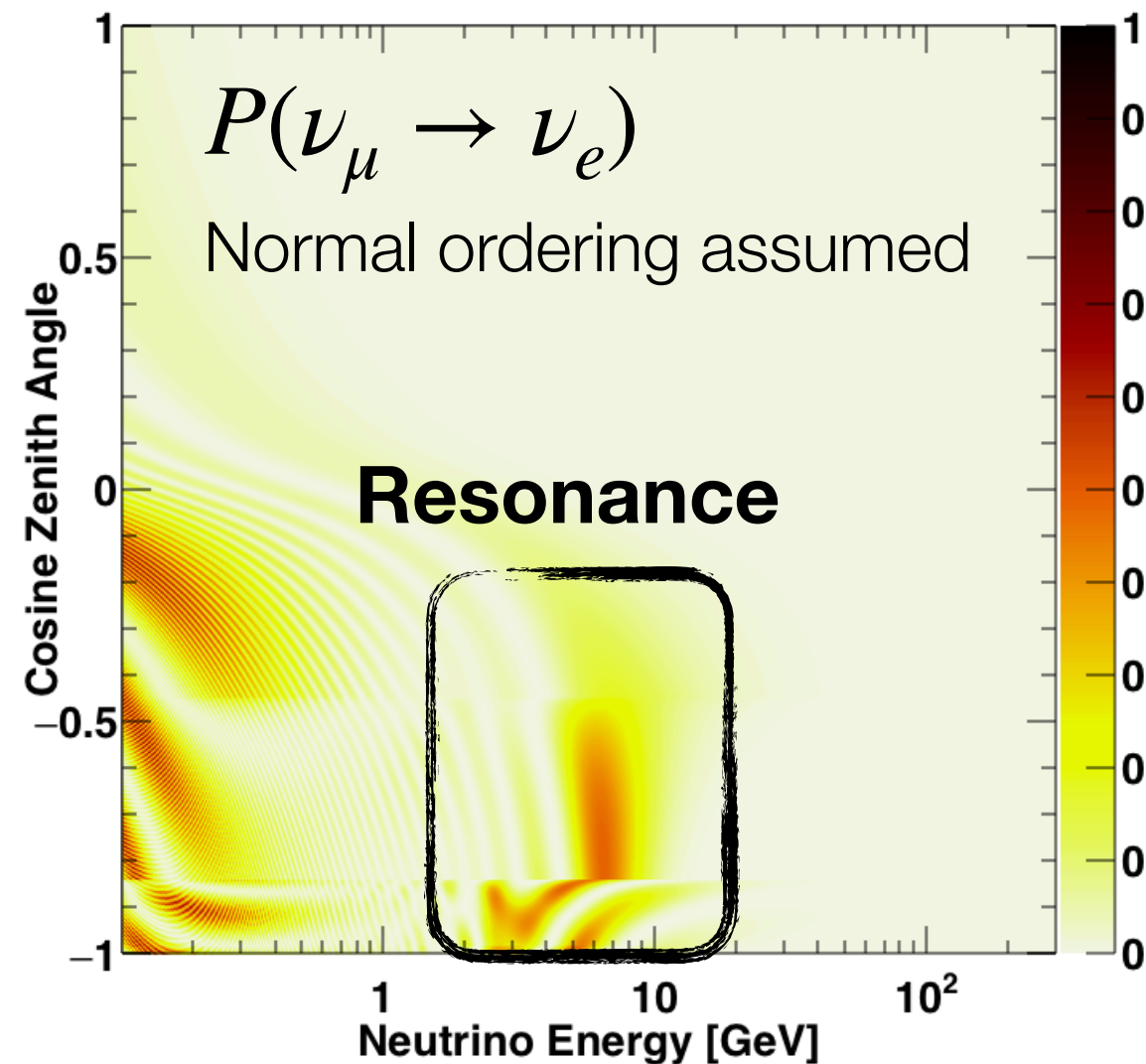
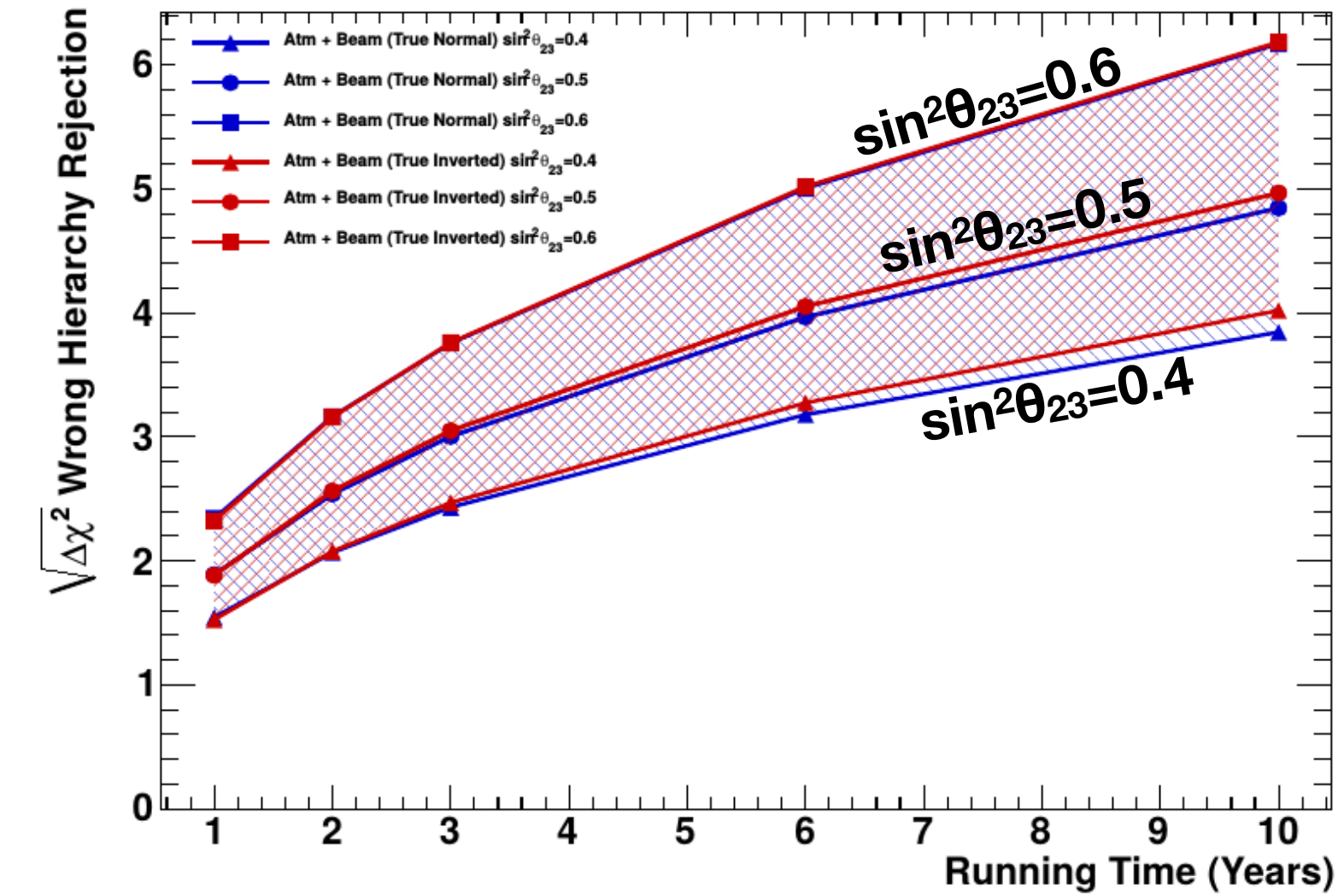
■ Assuming react. constraints

■ Precision of  $\theta_{13}$   
 $\sim 2.8\% \rightarrow 2.5\%$


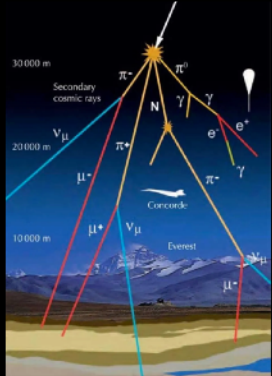

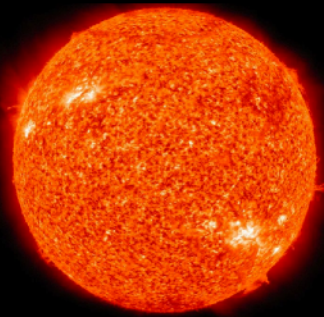
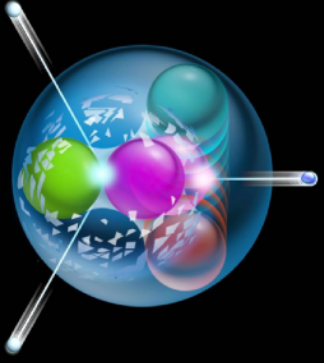
■ No improvement w/o constraints due to  $\theta_{13}-\theta_{23}$  correlation



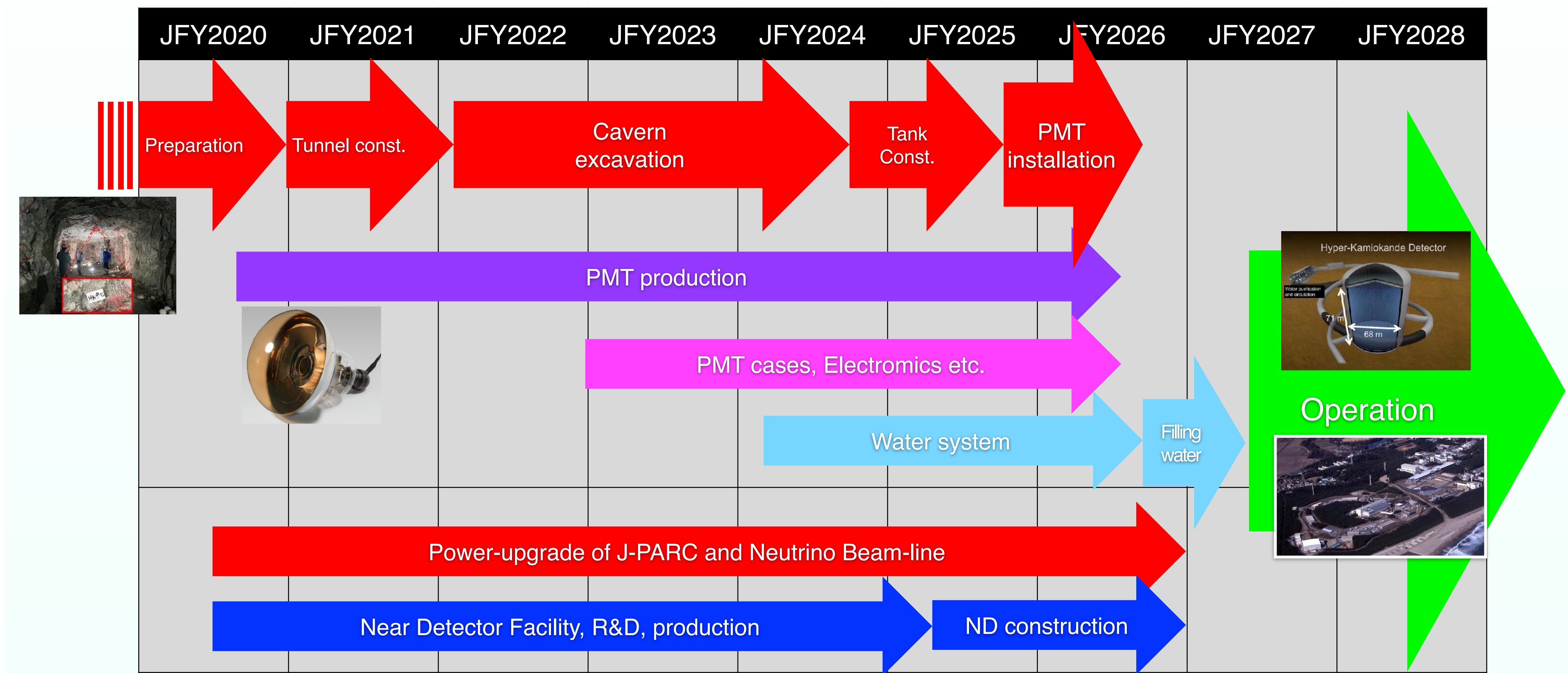
- Atmospheric neutrinos sensitive to mass ordering through matter effect (higher energy + longer baseline in the matter)
- Breaks out degeneracy between mass ordering and  $\delta_{CP}$ 
  - Long baseline  $\delta_{CP}$  measurement no longer relies on know ordering when combined with atmospheric neutrinos
  - Exclusion of wrong ordering on about  $4-6\sigma$  in 10 y, depends on true value of  $\theta_{23}$  ( $>3\sigma$  in 6 y)



# Physics Program Summary

	Physics	Parameter	Sensitivity
	Long baseline $\nu$ (1.3 MW $\times$ 10 y)	$\delta_{CP}$ precision	7-20°
		$\delta_{CP}$ coverage (3/5 $\sigma$ )	76%/58%
		$\sin^2\theta_{23}$ error (for 0.5)	$\pm 0.017?$
	Atm. $\nu$ + LBL $\nu$ (10 y)	Mass ordering	$>3.8\sigma$
		$\theta_{23}$ octant (3 $\sigma$ )	$ \theta_{23}-45^\circ  > 2^\circ$
	Supernova $\nu$	SN burst @ 10 kpc	54k-90k $\nu$ 's
		SN Relic $\nu$ (10 y)	$\sim 70$ $\nu$ 's
	Solar $\nu$ (10 y)	Upturn	$>3\sigma$
		Day/Night asymmetry	8 $\sigma$
	Proton decay (20 y)	$\tau$ for $p \rightarrow e^+\pi^0$ (3 $\sigma$ )	$1 \times 10^{35}$ y
		$\tau$ for $p \rightarrow K^+\nu$ (3 $\sigma$ )	$3 \times 10^{34}$ y

- Construction: 2020-2027 - on time
- Operation: 2027 -



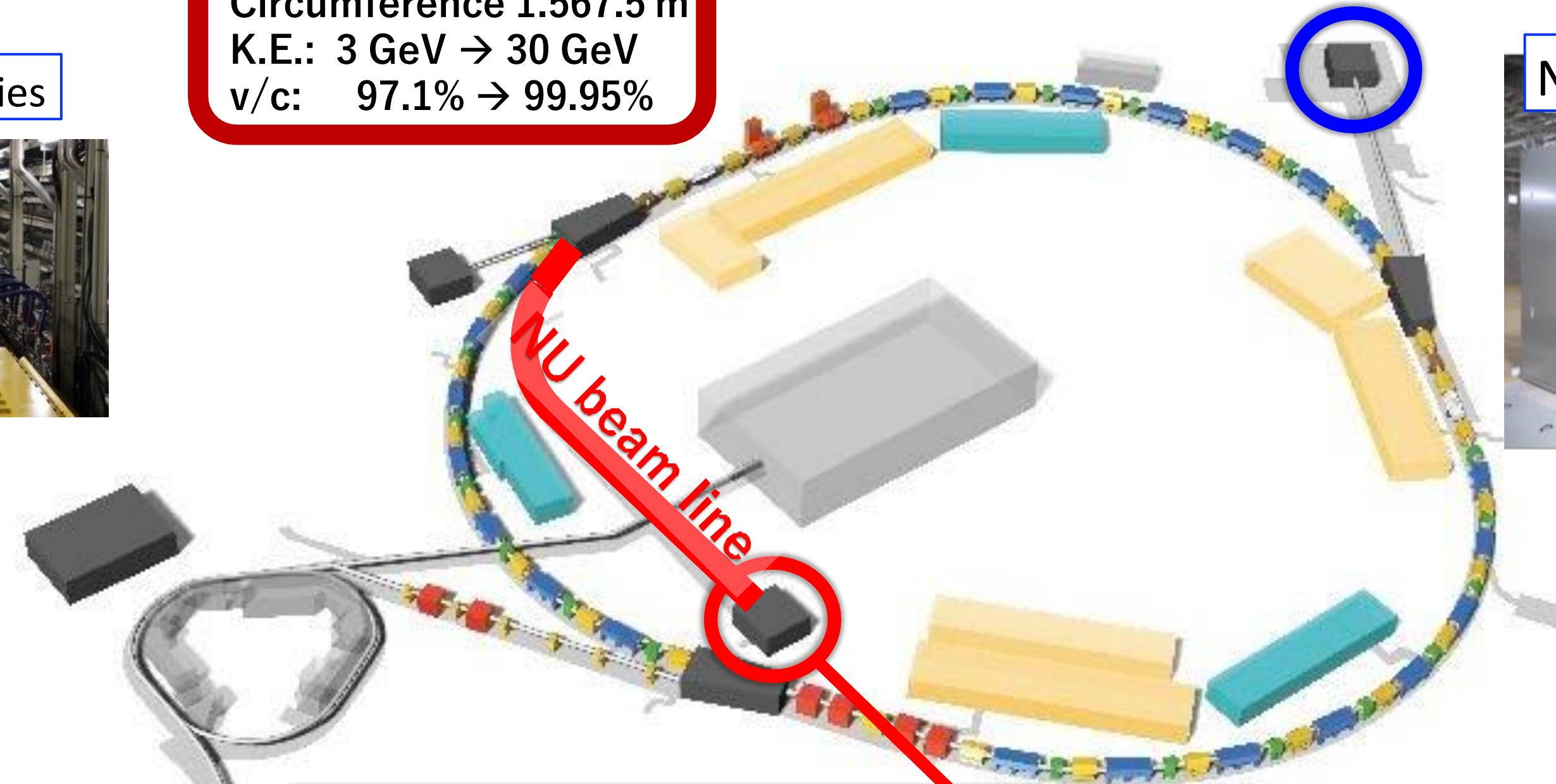


**Main Ring**  
 Circumference 1.567.5 m  
 K.E.: 3 GeV → 30 GeV  
 v/c: 97.1% → 99.95%

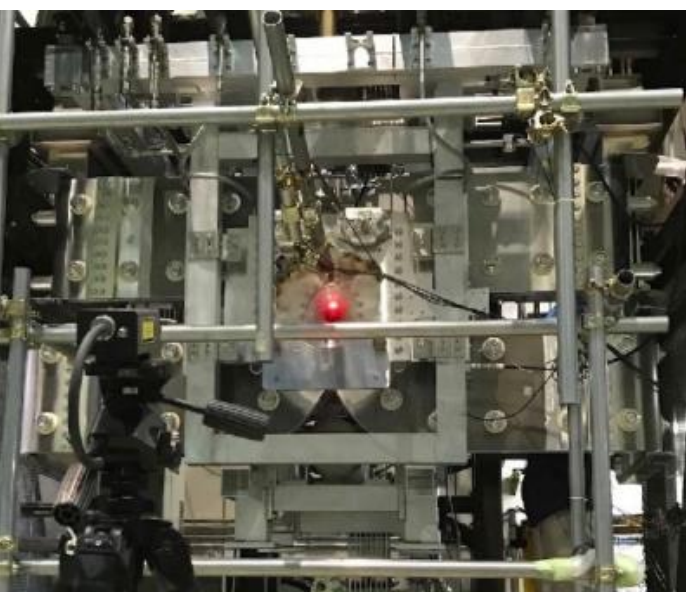
MR-RF cavities



New main magnet PS for high rep. rate



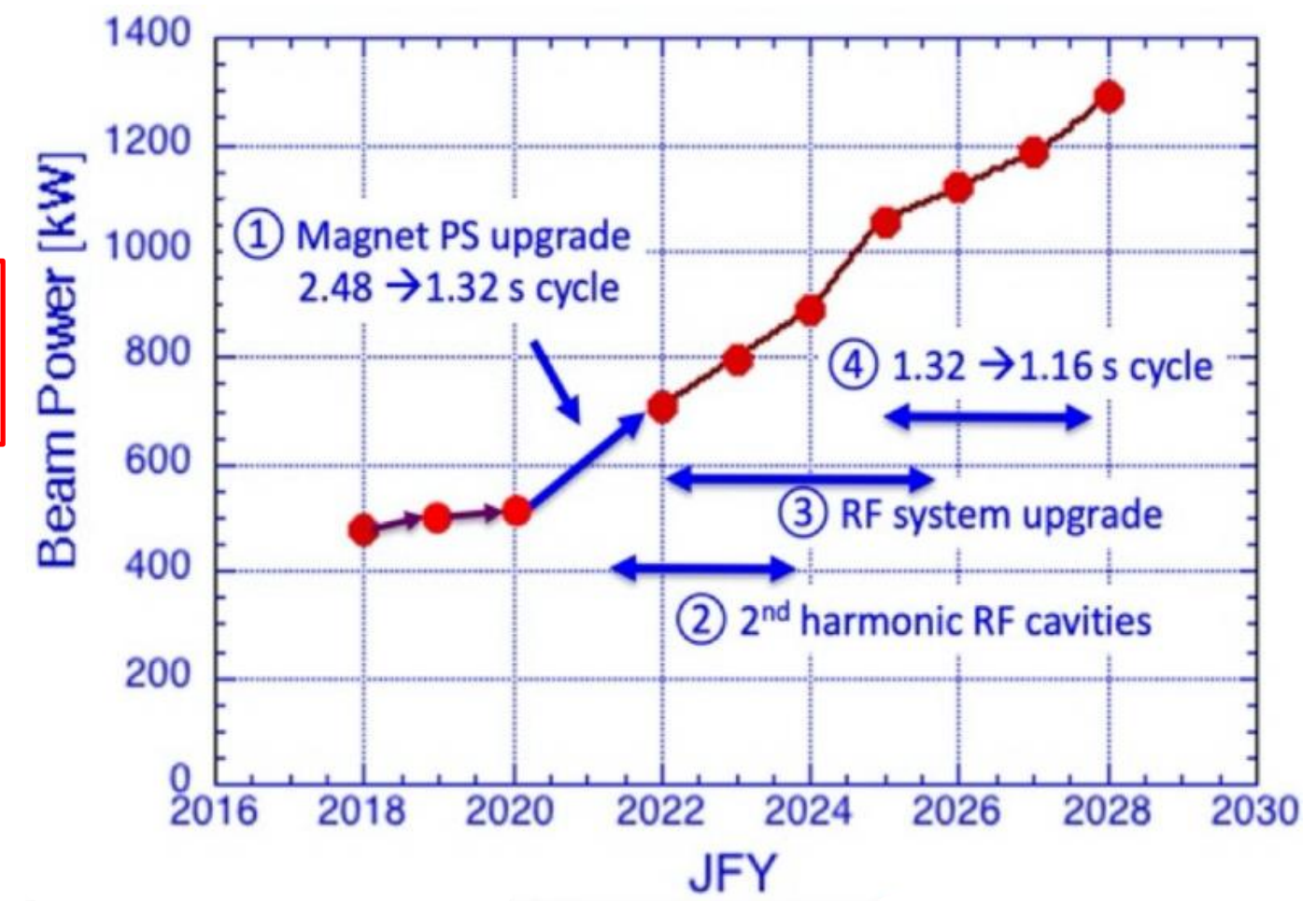
320kA horn operation



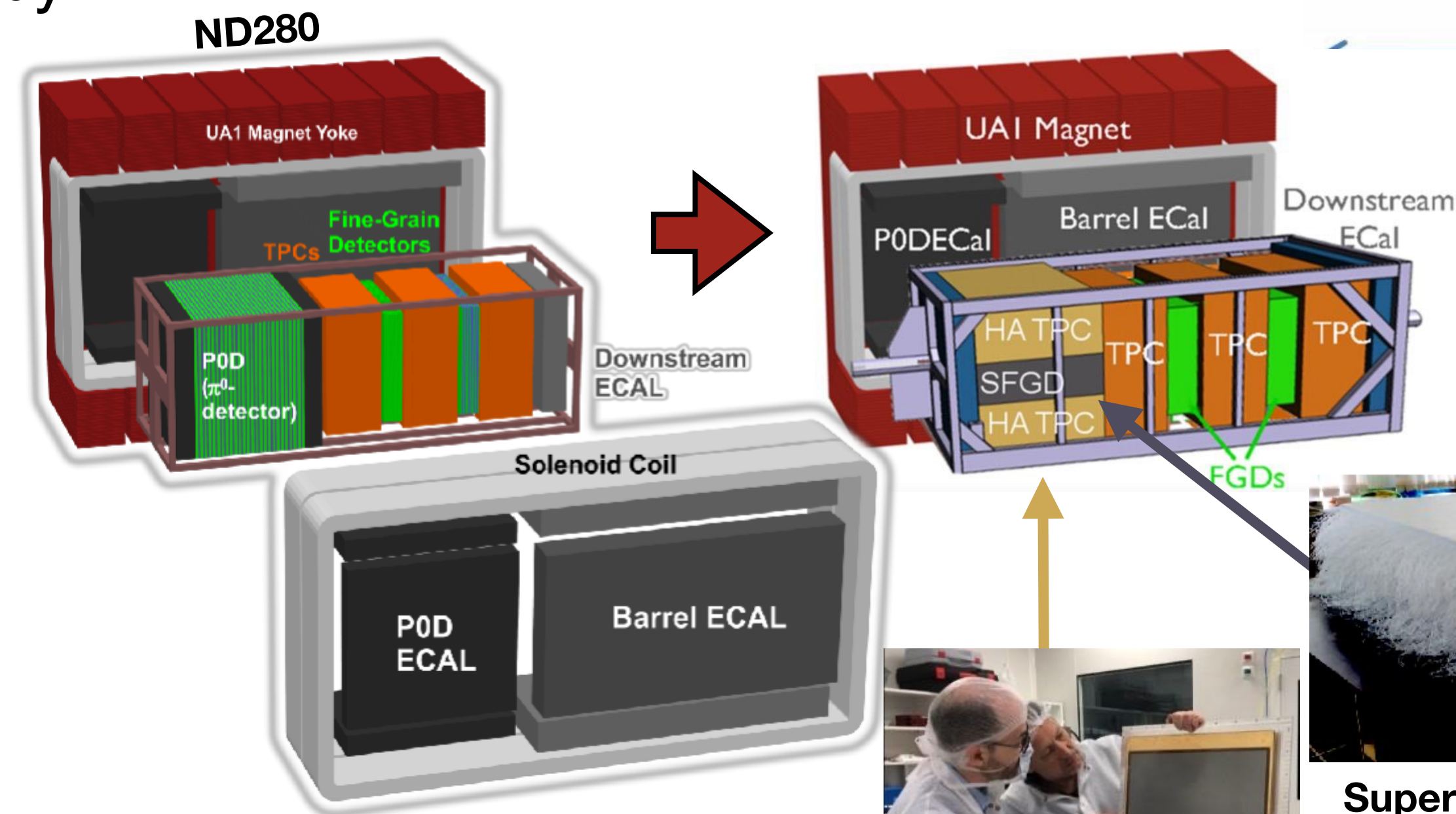
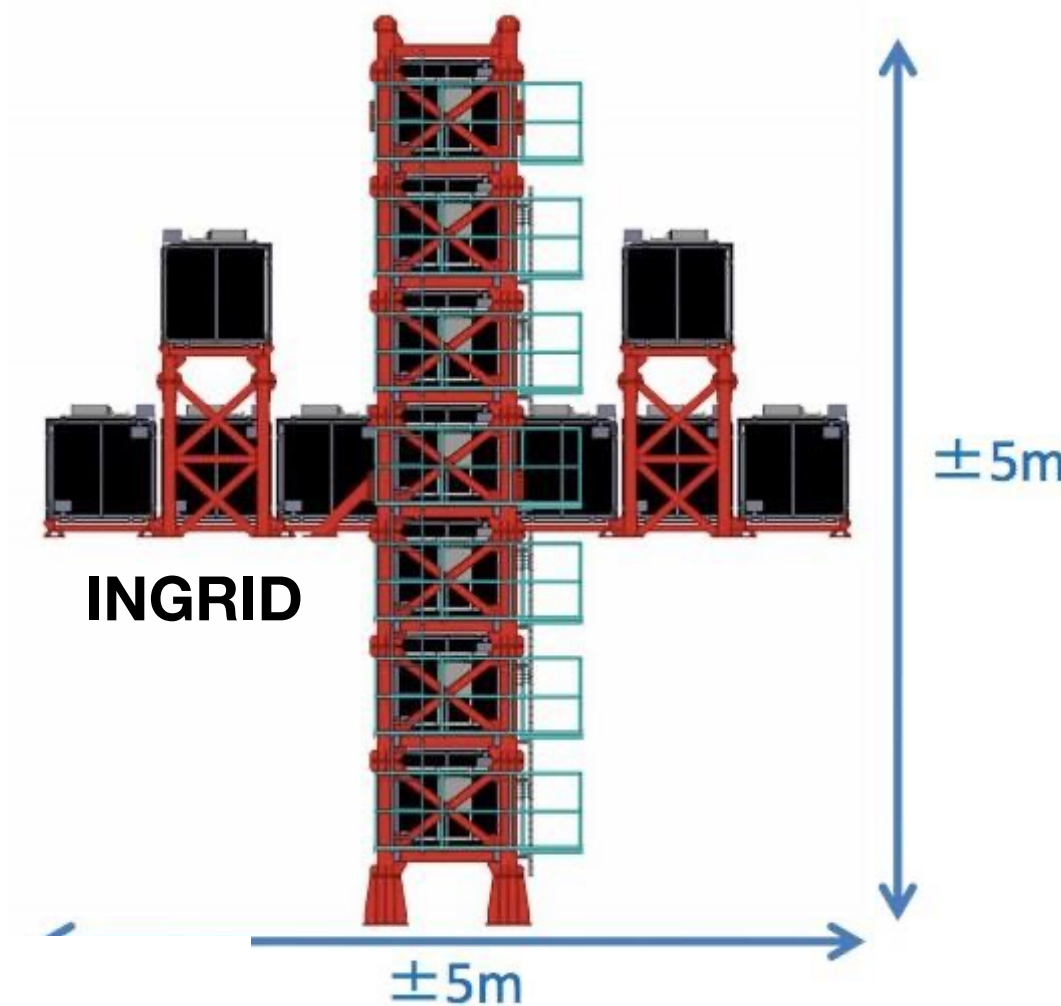
**Neutrino Exp. Facility**

Neutrino beam  
 Anti-neutrino beam

**Achieved 515 kW in JFY2020**  
**Aiming 1.3 MW by JFY2028**



- Near detectors common with T2K - upgrades planned for T2K and Hyper-K
- On-axis detector INGRID - measures beam direction and monitors flux
- Off-axis detector ND280 - primary measures (anti)neutrino rate and spectrum, magnetized to separate wrong-sign background
- Upgrade for/by T2K: P0D replaced by
  - Super fine-grained detector
  - High-angle TPCs
  - Time-of-flight planes
- Further upgrades for Hyper-K era under investigation



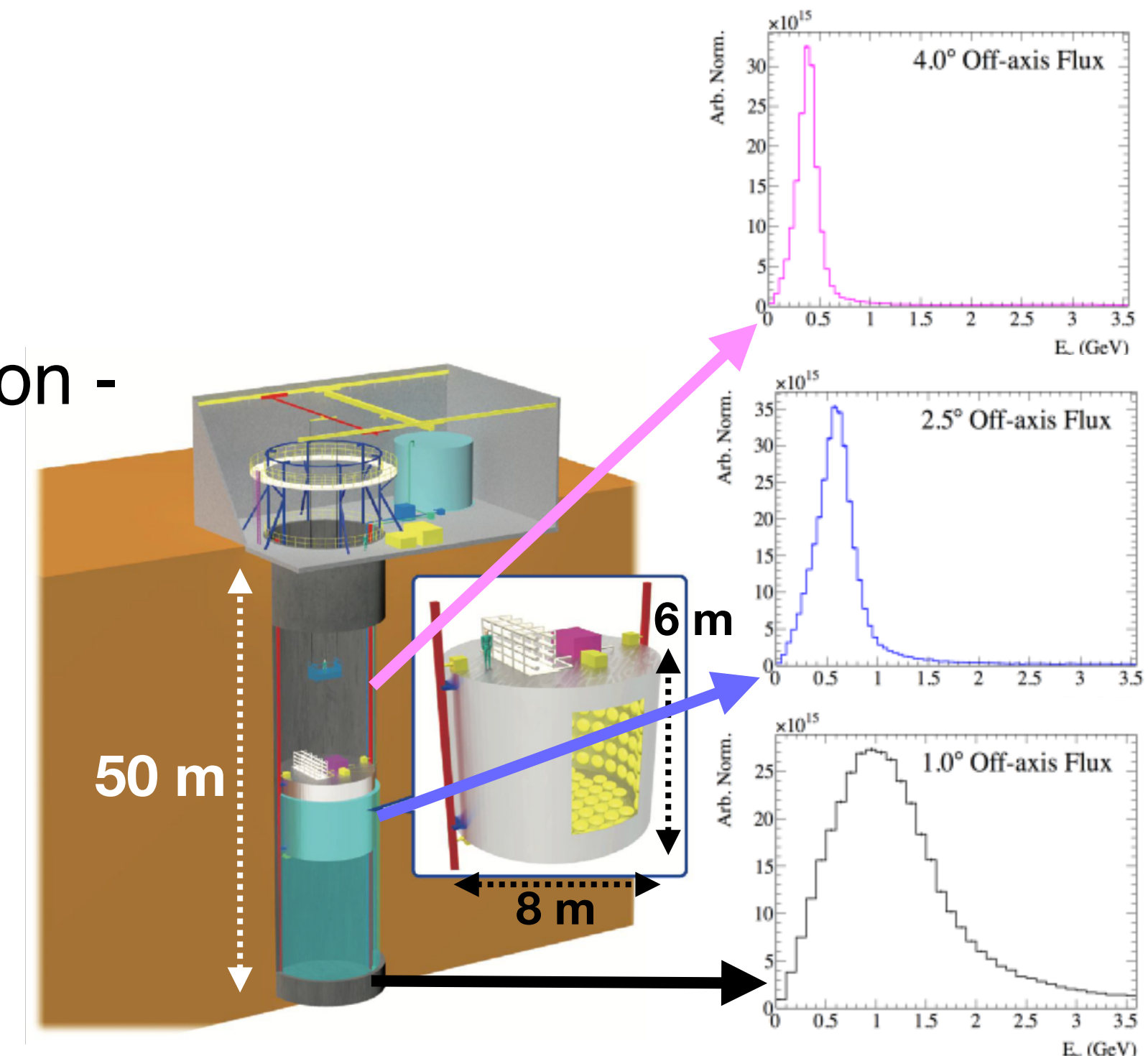
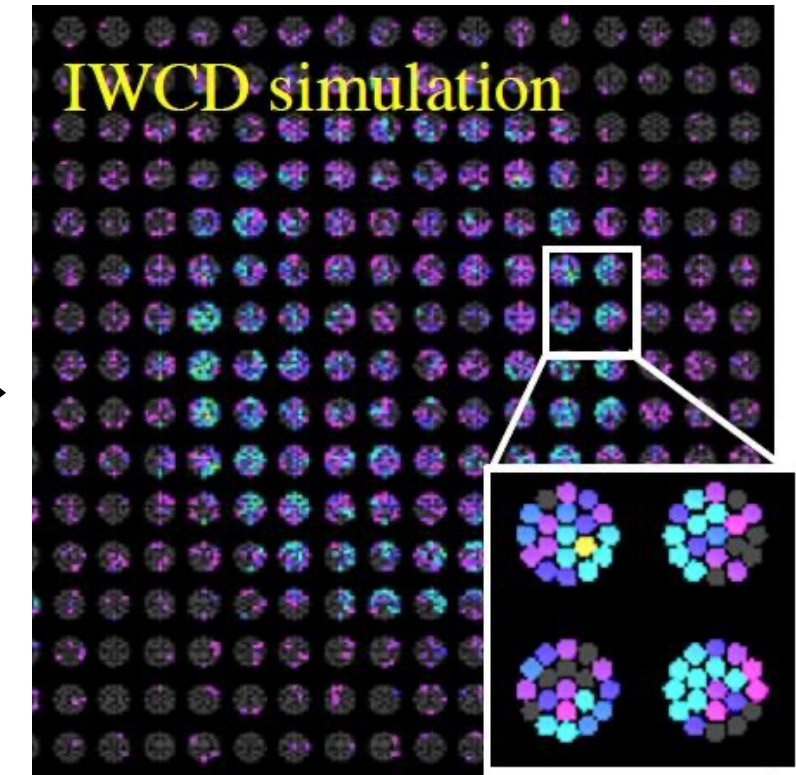
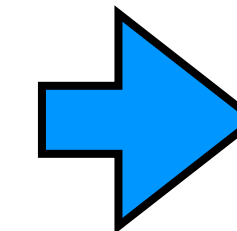
Super fine-grained det. (SFGD)

High-angle TPC



# Intermediate Water Cherenkov Detector

- Newly constructed movable (1° to 4° off-axis) 1 kt water Cherenkov detector at ~1 km from target
- Instrumented with 400 multi-PMT modules
  - 19 8 cm PMTs, dedicated fast electronics, etc.
  - High granularity and superb time resolution
- Precise cross-section measurement
  - Same target material and detection technique as Hyper-K
  - Reduction of dominant systematics of  $\nu_e/\bar{\nu}_e$  interaction cross section - ND280+IWCD complementary reduce the systematics  $\approx 3\%$  (alone  $\approx 7.5\%$  and  $\approx 3.7\%$  respectively)
- Precise measurement of the (anti)neutrino spectrum
  - Sampling at different off-axis angles to get a spectrum prediction for the Hyper-Kamiokande far detector





■ **Hyper-Kamiokande: 2027-onwards**

- Extend nucleon decay search
- Precision measurement of neutrino oscillation
- Determination of CP violation and mass ordering, ...
- Unknown unknowns?

+8.4 =



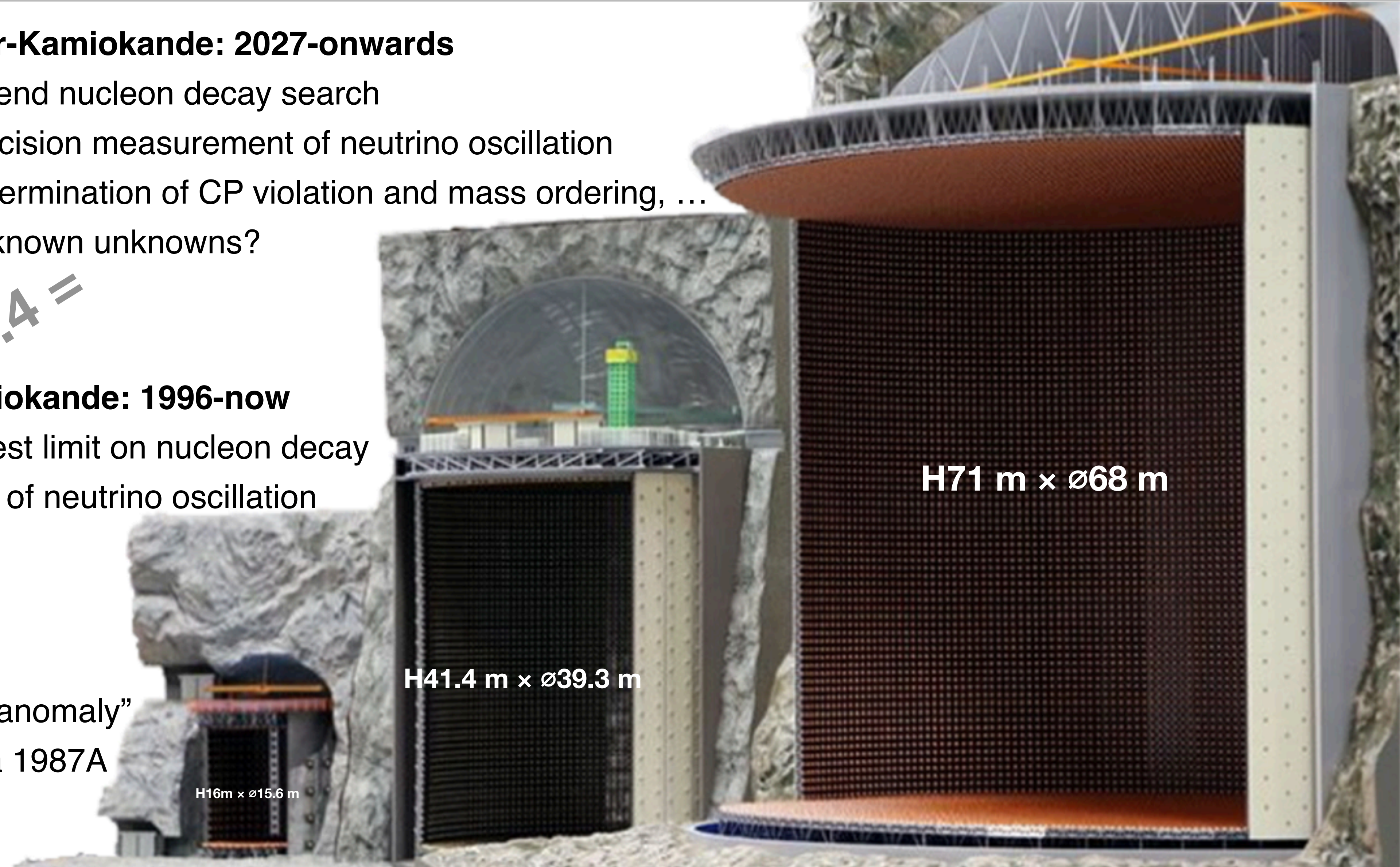
■ **Super-Kamiokande: 1996-now**

- World's best limit on nucleon decay
- Discovery of neutrino oscillation

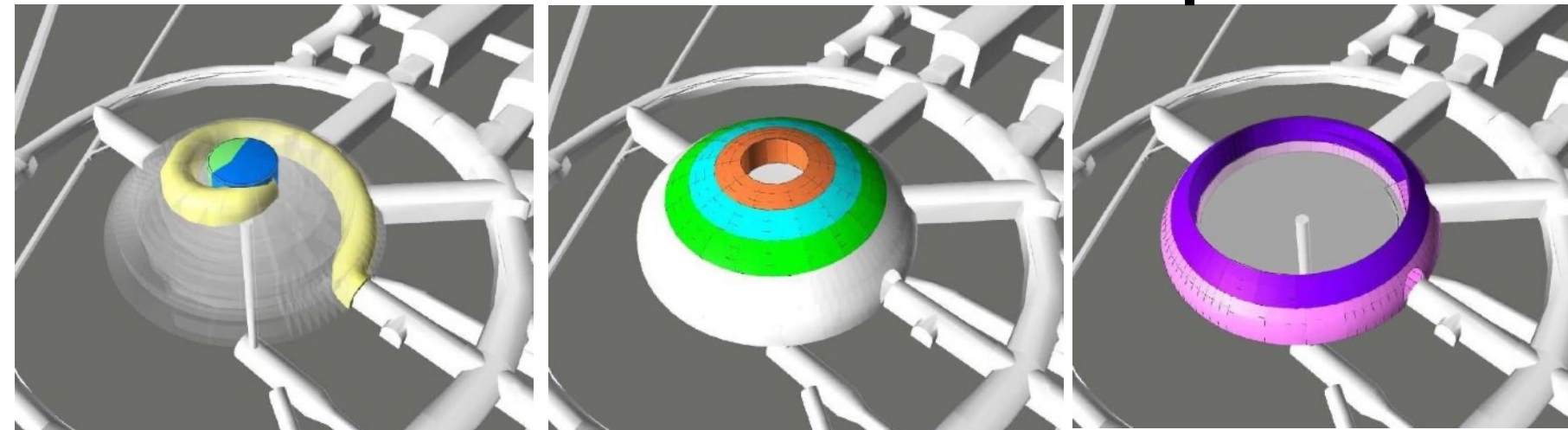
+20 =

■ **Kamiokande: 1983-1996**

- Atm. and solar neutrino “anomaly”
- Neutrino from Supernova 1987A



## Cavern dome excavation sequence



Center of the cavern dome reached **July 2022**



Cavity for water purification (size of Super-K)

Cavity for water purification system

Circular tunnel

2<sup>nd</sup> approach tunnel

1<sup>st</sup> approach tunnel

Cavern Dome

Vertical shaft

4<sup>th</sup> approach tunnel

Main cavern

Access tunnel

1.87km

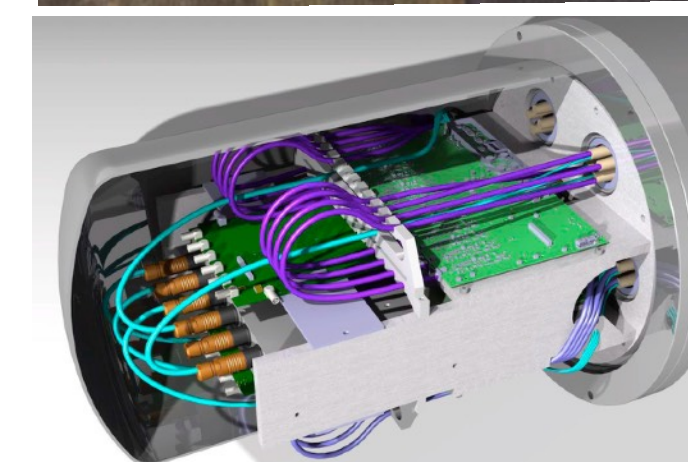
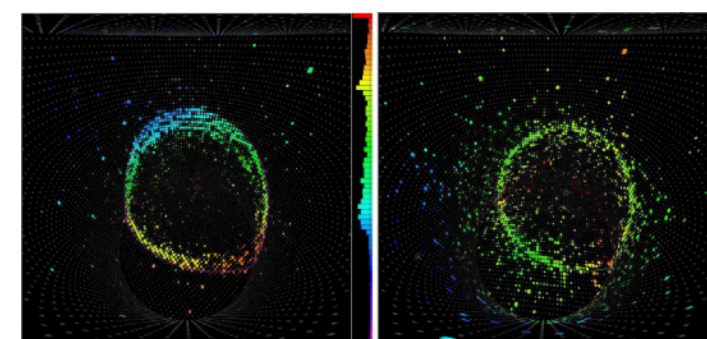
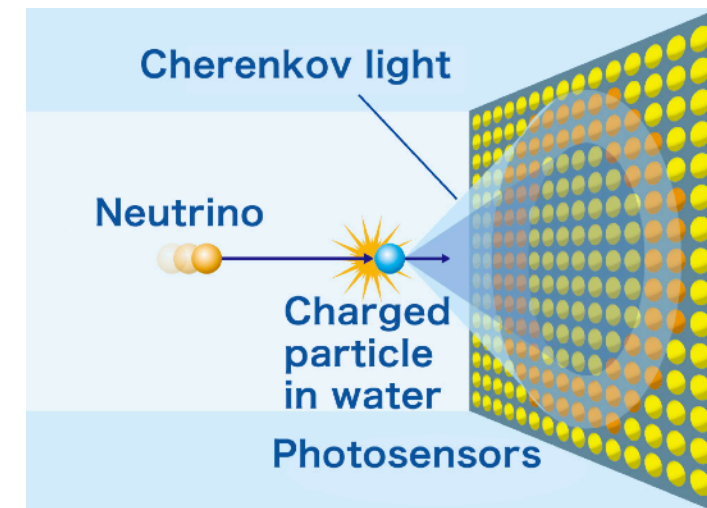
Ground-breaking ceremony **May 2021**



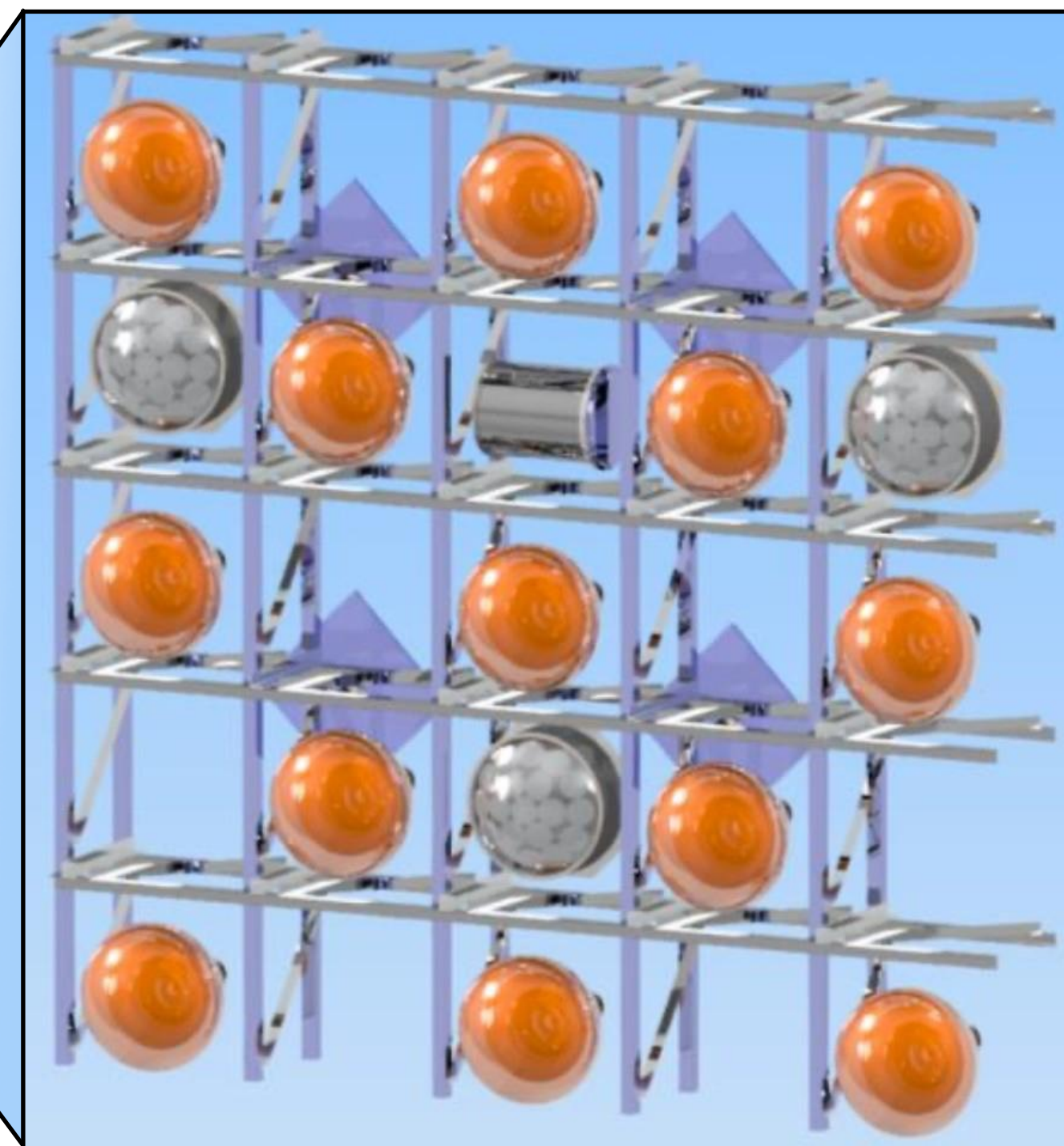
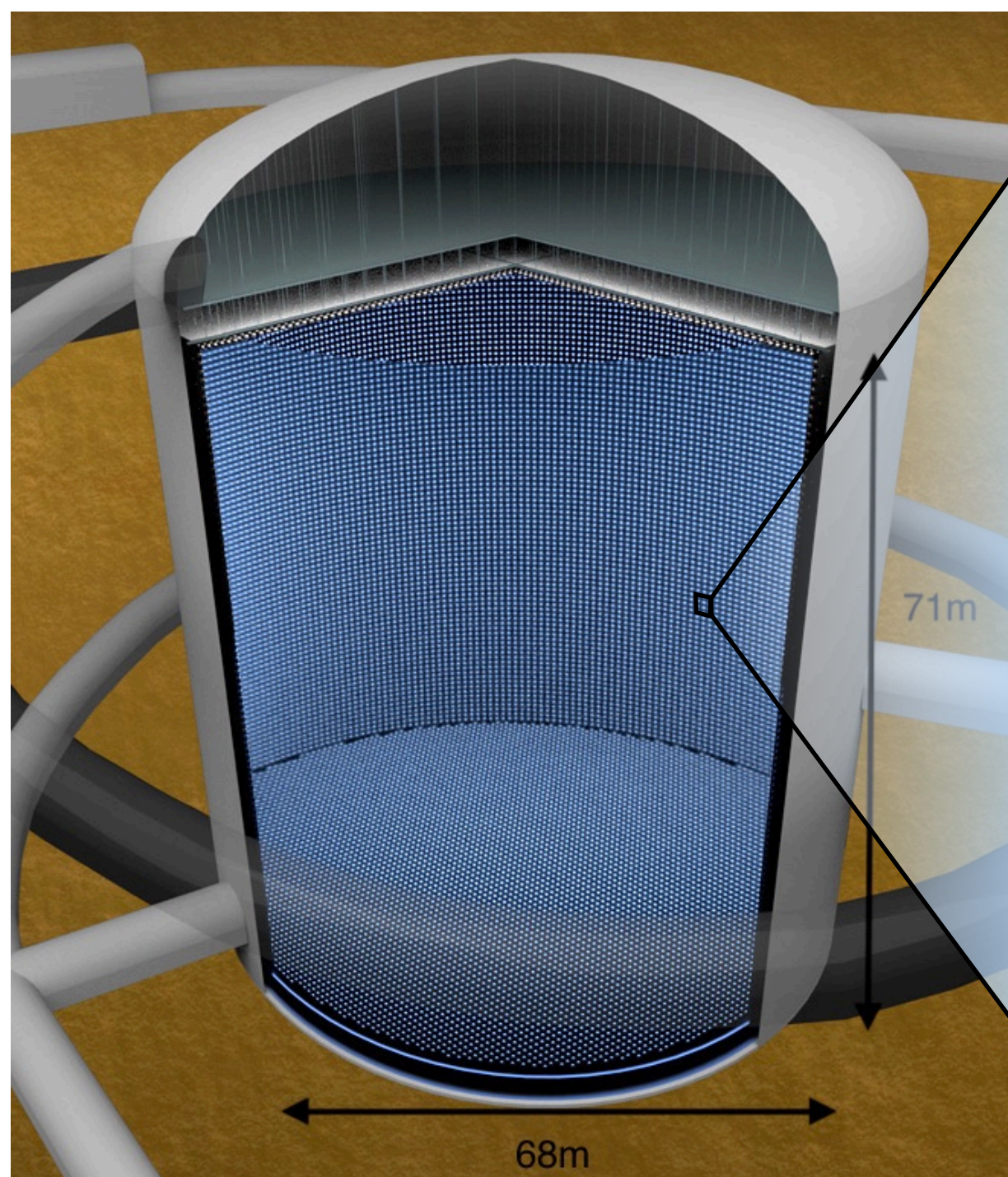
Cavern dome completed **October 2023**



- 260kt water Cherenkov detector
  - 1700 m.w.e. underground
  - Inner detector (ID) H64.8 m x  $\varnothing$ 65.8 m
    - Fiducial mass of 190 kt (8.4 $\times$ SK)
  - Outer detector (OD) 1m/2m thick

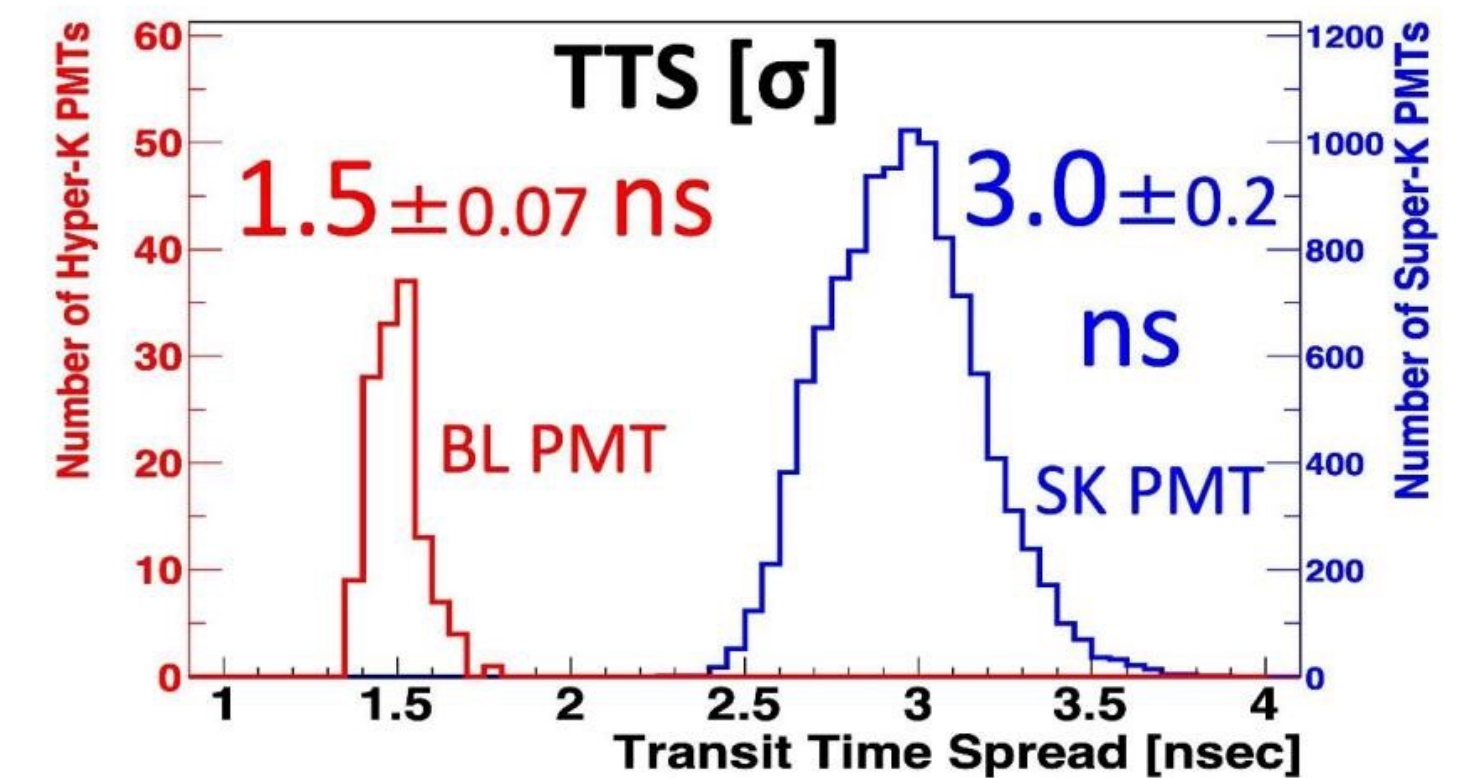
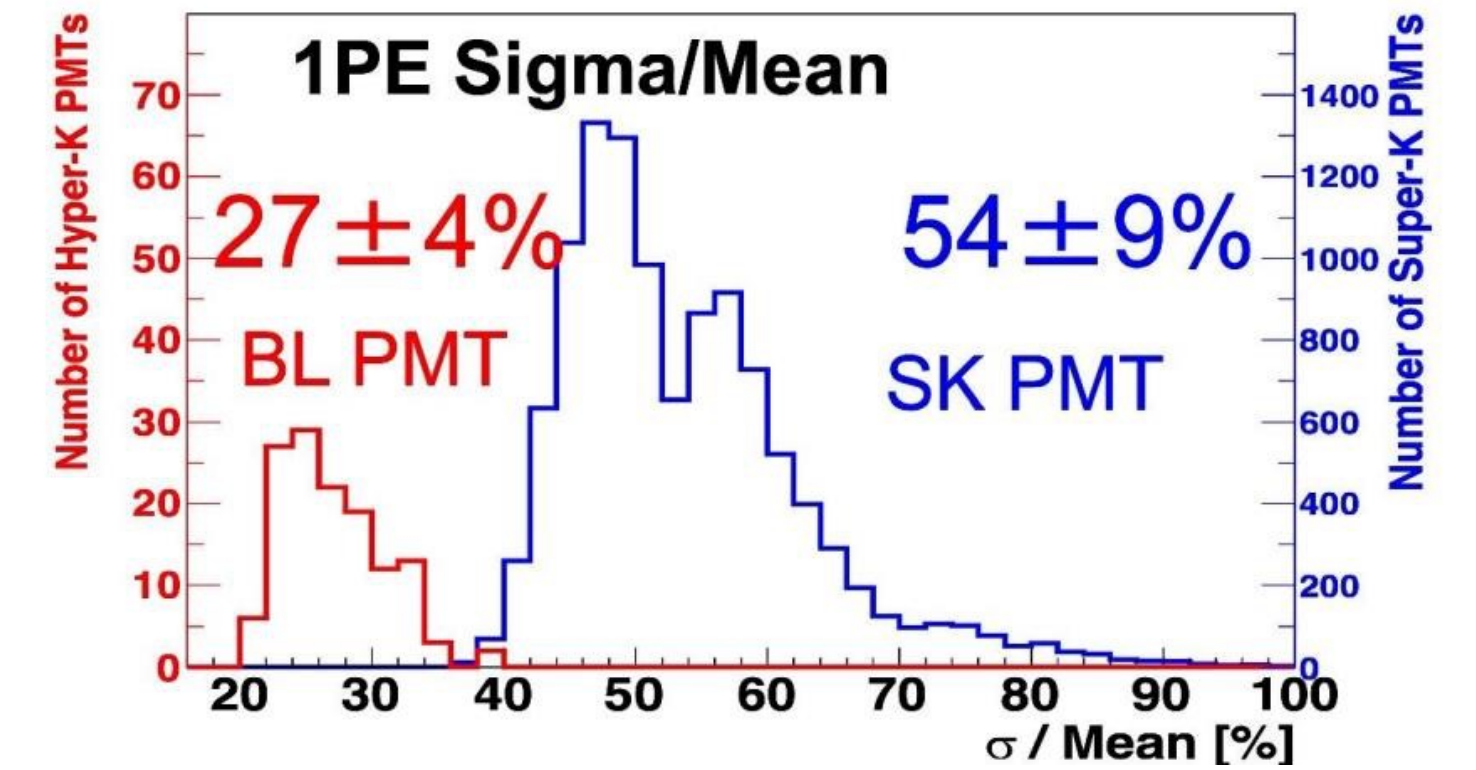
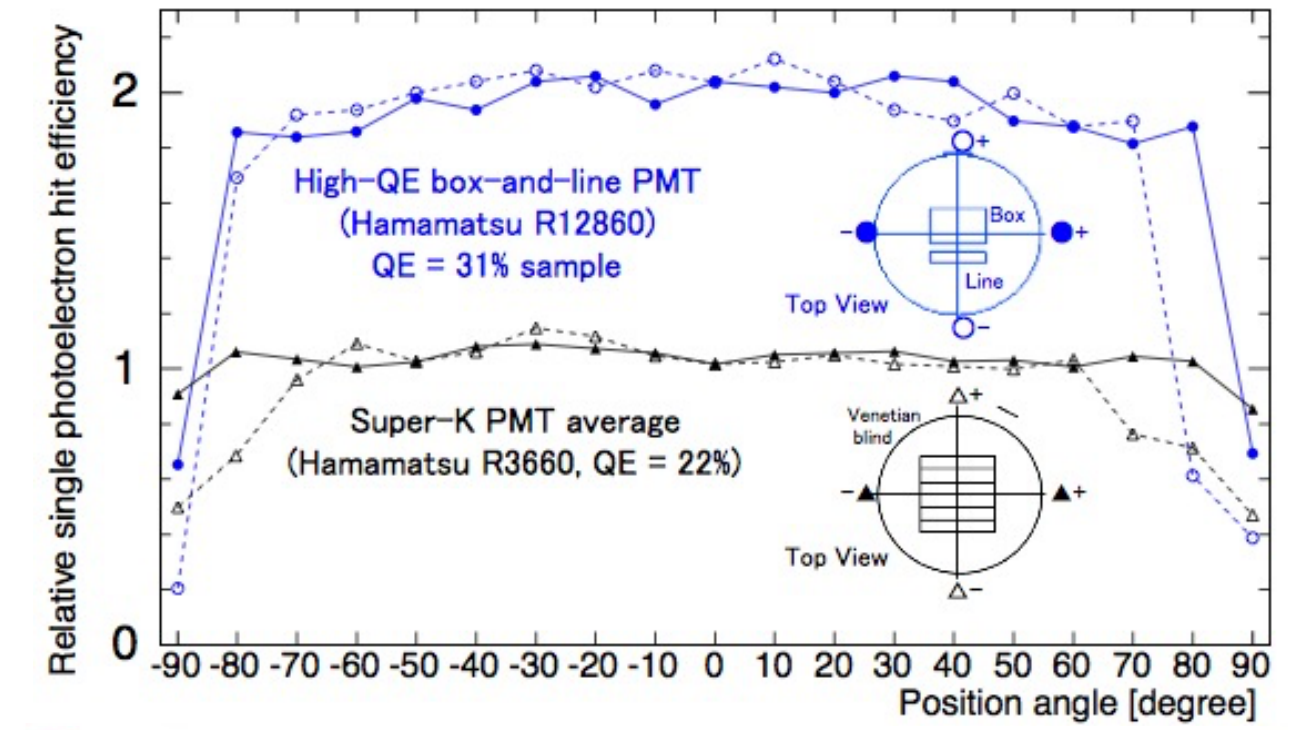
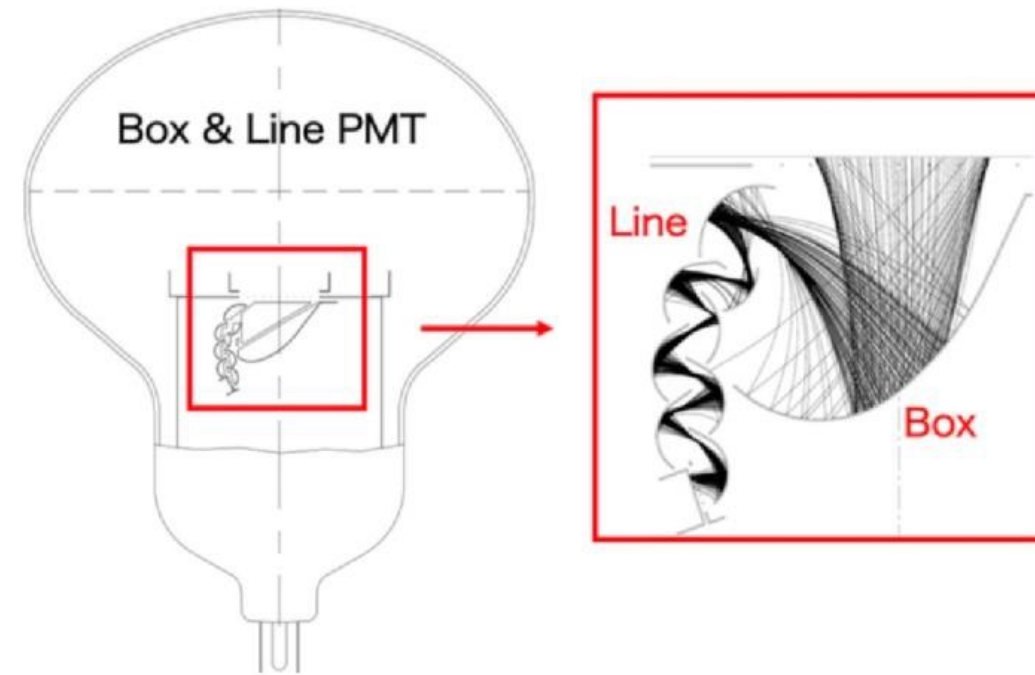


- 20k 50 cm PMTs
- Main detection system of ID
- 20% photo-coverage
- ~800 mPMTs modules
- Direction and time resolution
- Direct/scattered light
- 3600 OD modules
- 8cm PMT and WLS plate
- Provides veto against muons
- Underwater electronics vessels
- ID & Hybrid (ID+OD) vessel
- mPMT signal-collecting vessel

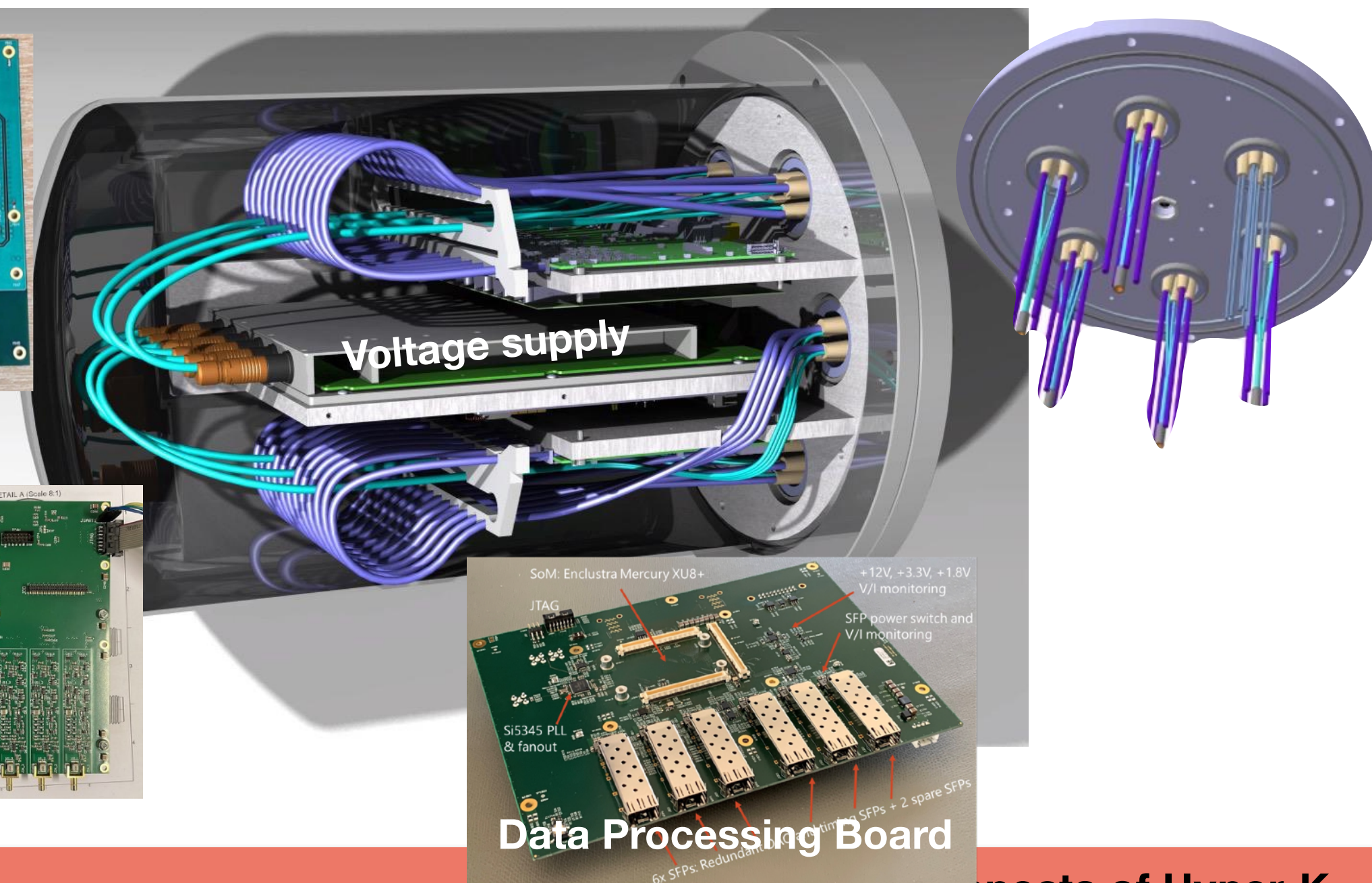
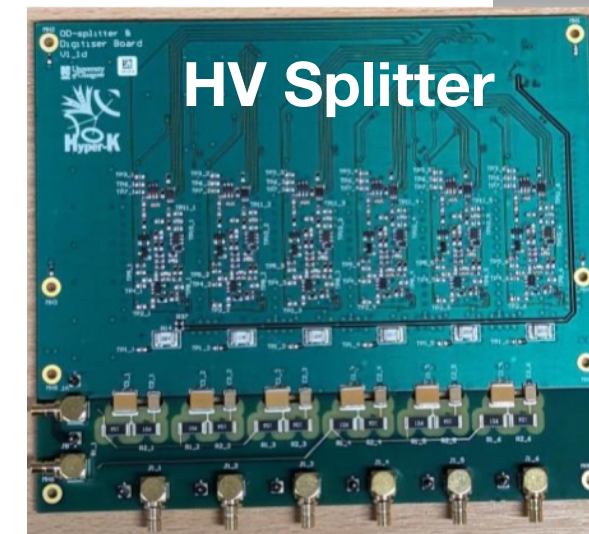
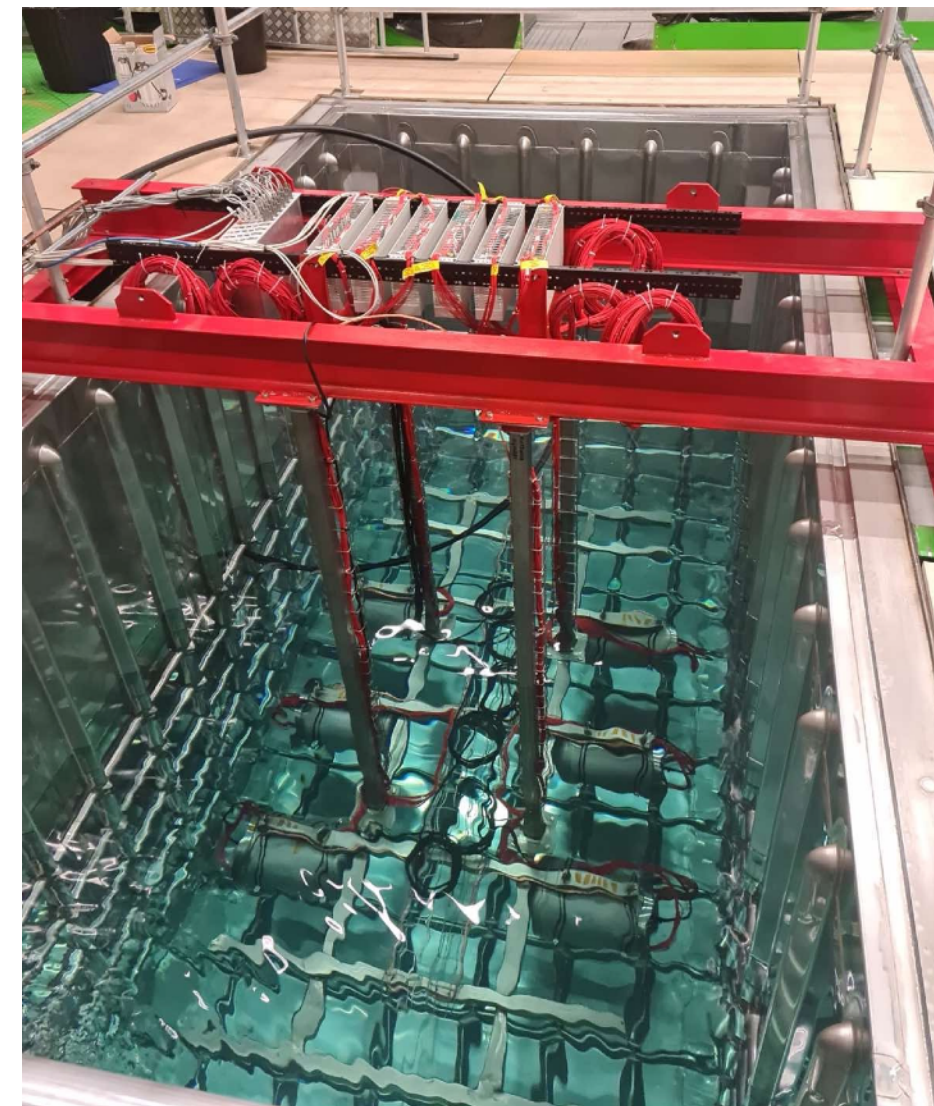
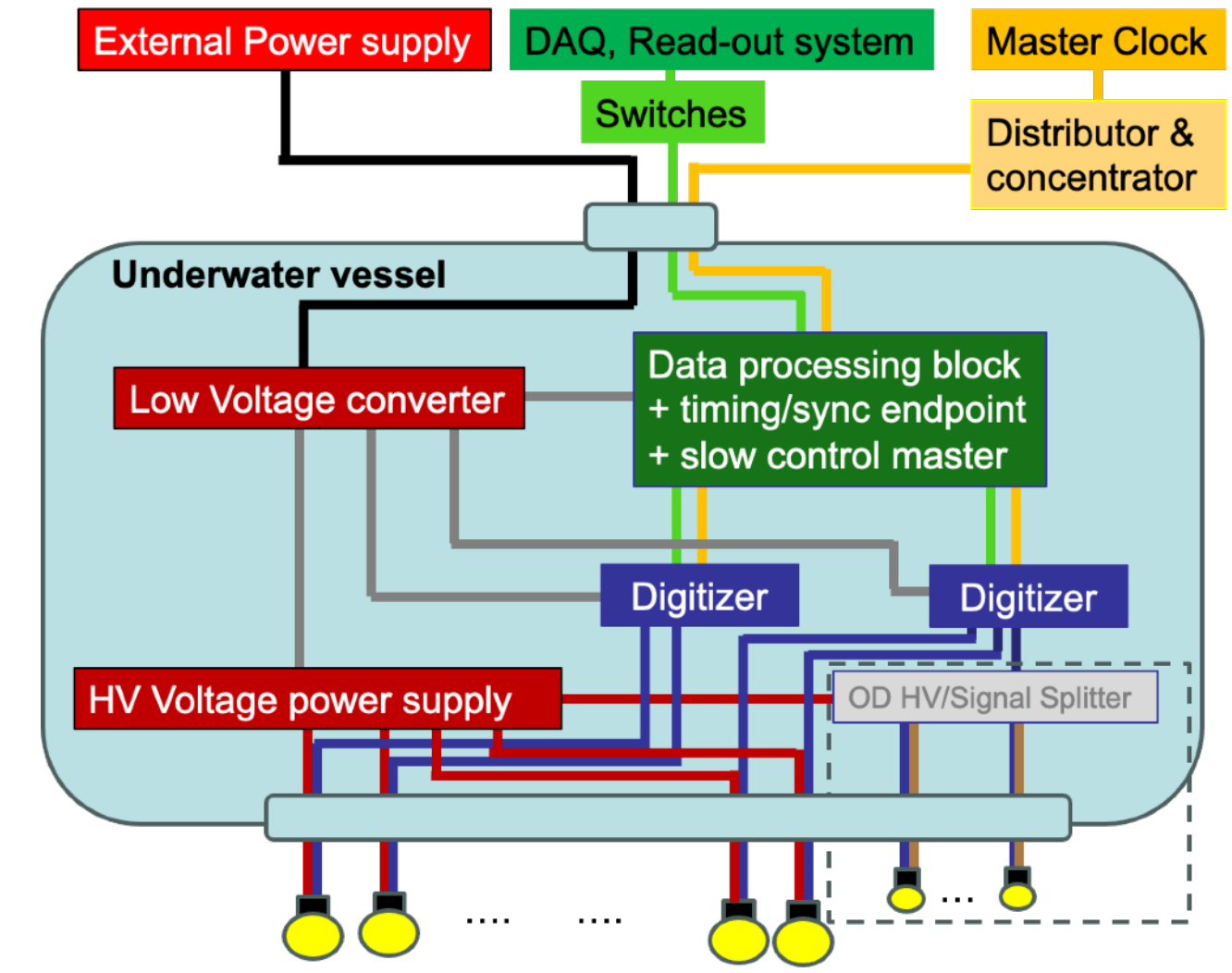


- Hamamatsu Photonics R12860 Box&Line dynode PMTs
  - x2 better photon detection efficiency
  - x2 better single photoelectron resolution
  - x2 better time resolution
  - x2 higher pressure tolerance
  - Low dark rate of 4 kHz
- Production resumed - 6000+ delivered and tested @Kamioka
  - Previous issues due to flashers successfully resolved

(all w.r.t. SK  
Hamamatsu  
R3660)

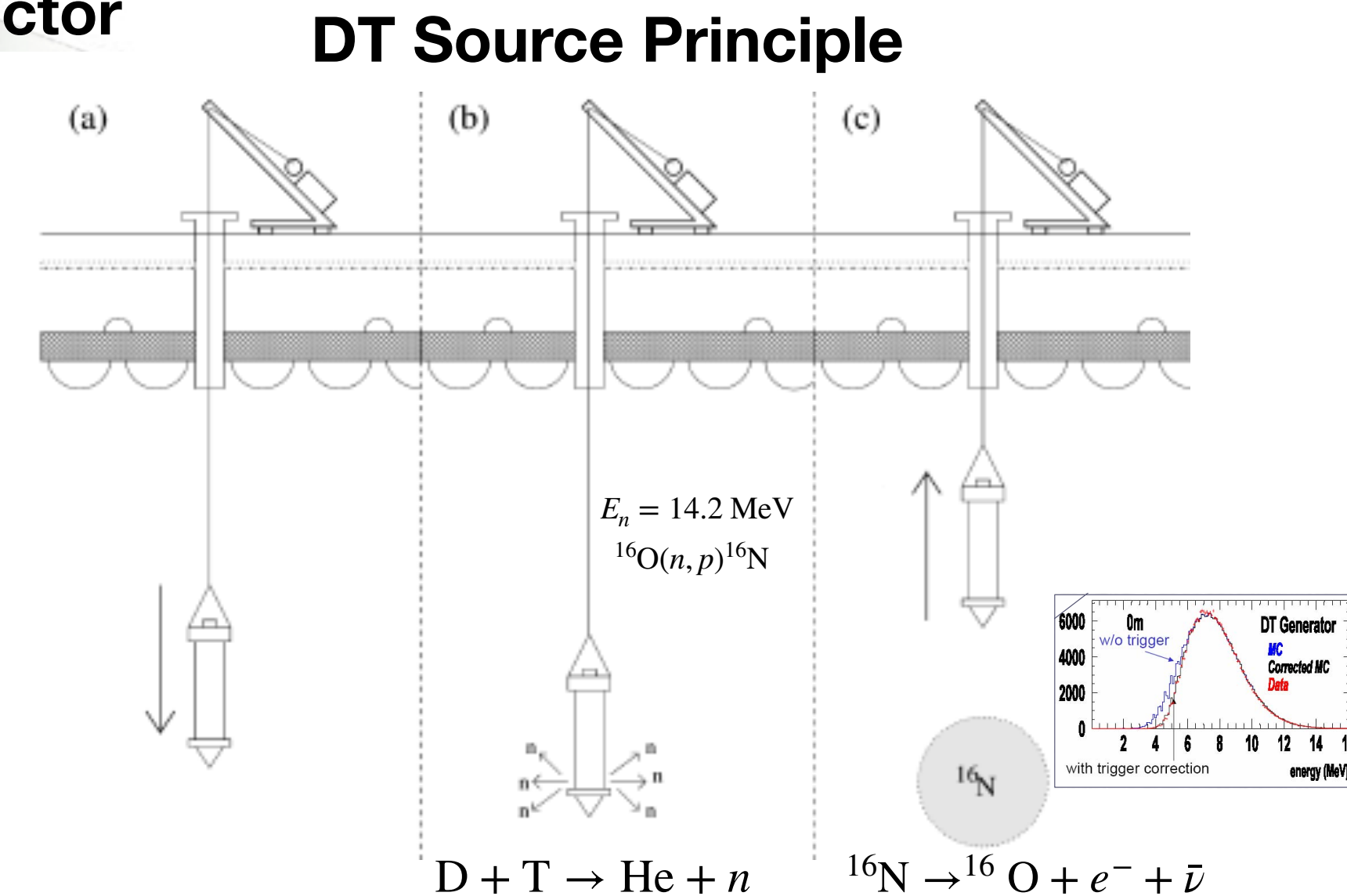
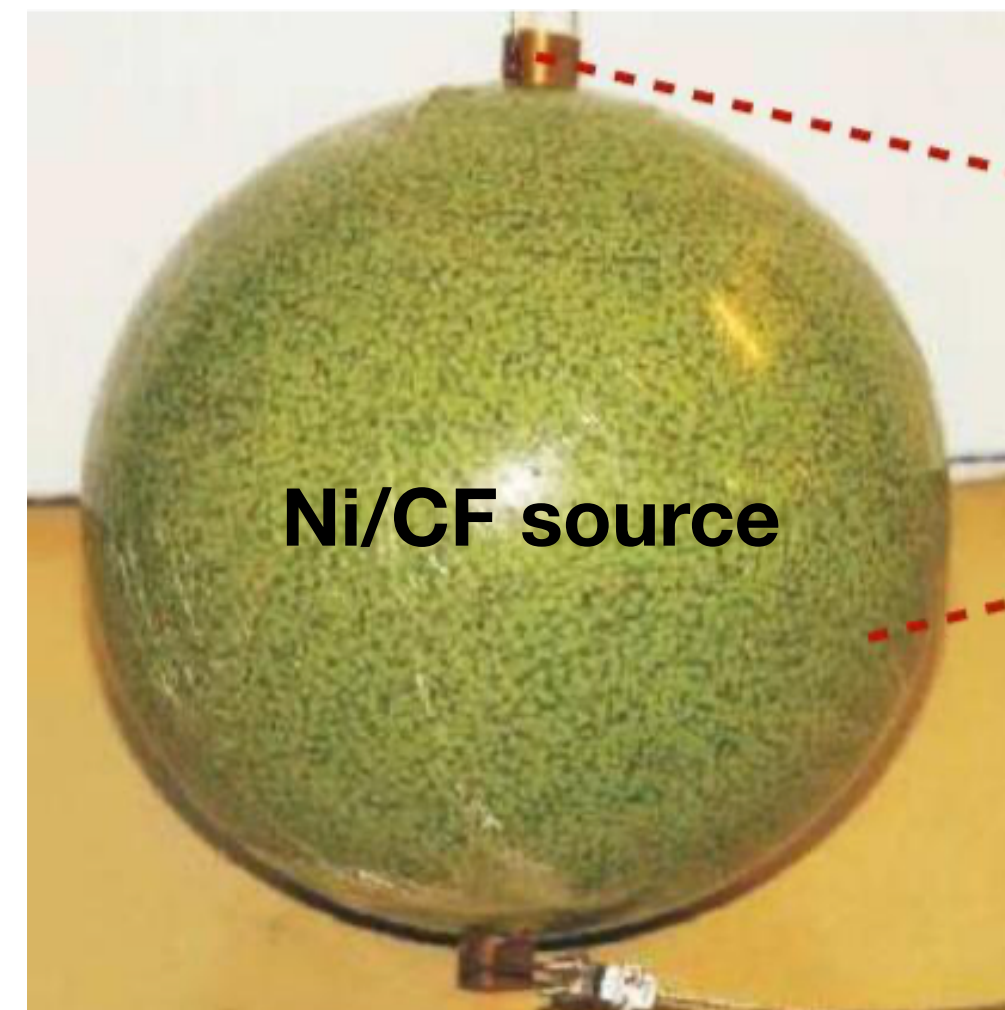
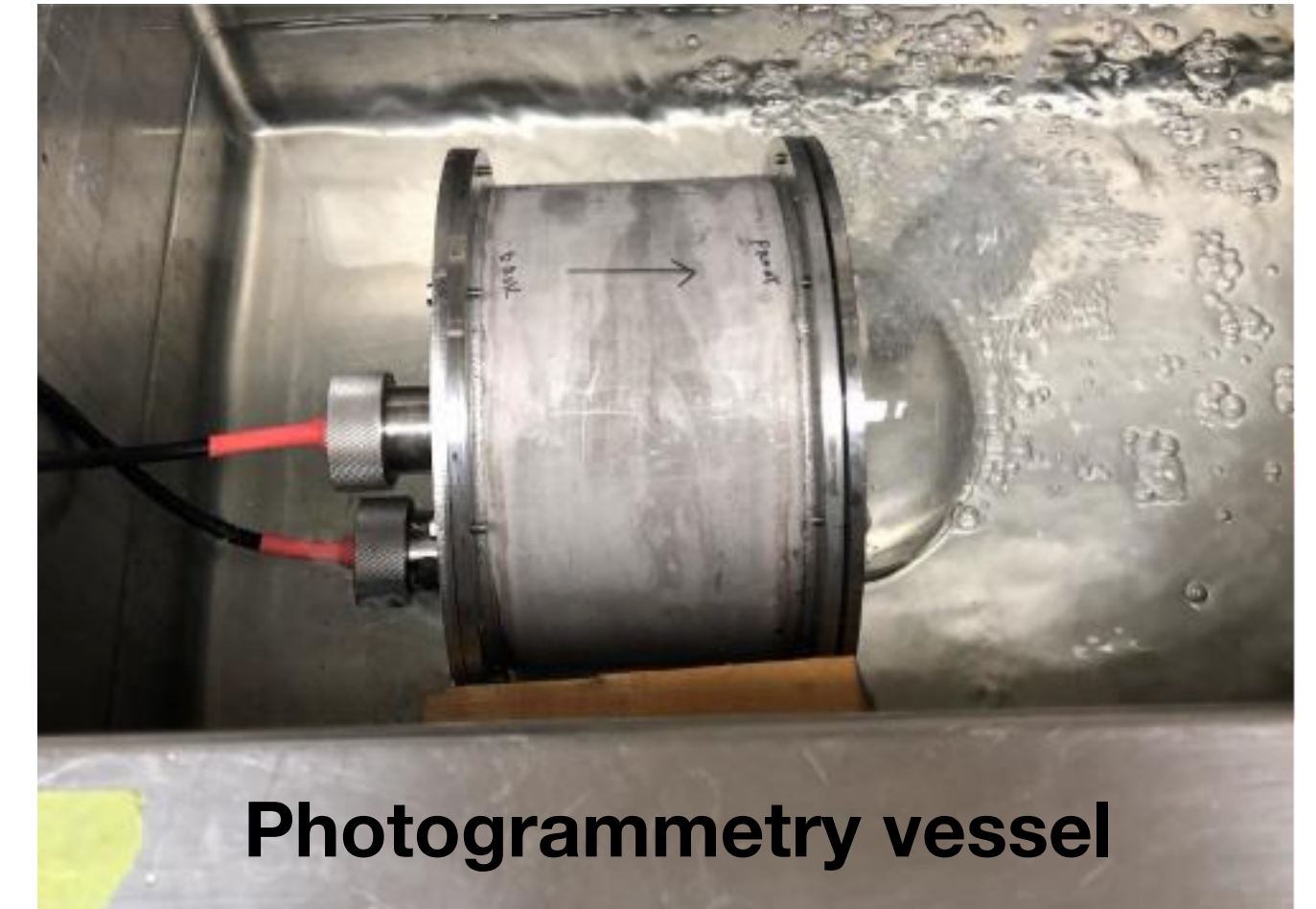
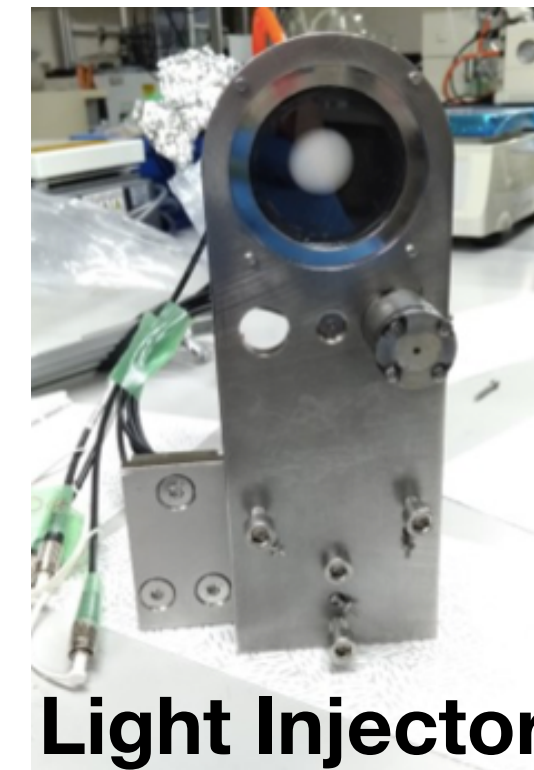


- Underwater electronics to reduce the cable length (signal quality, cost)
- El. vessel includes low-voltage & high-voltage power supply, data processing board, digitizer board
- Two configurations:
  - ID vessel services 24 ID PMTs
  - Hybrid (ID+OD) vessel services 20 ID PMTs and 12 OD modules
- Long-term underwater test conducted at CERN



**Data Processing Board**

- Great experience from Super-K
- Calibration through optical & radioactive sources and control sample
- Pre-calibration: calibrated PMTs and photogrammetry
- Regular calibration
  - Light injection through diffusers and collimators
  - mPMT flashing LEDs
  - Outer detector light injectors
- Electron LINAC: 3-24 MeV electrons
- Radioactive sources
  - DT source
  - AmBe+BGO - tagged neutrons
  - Ni/Cf 9 MeV  $\gamma$  cascade



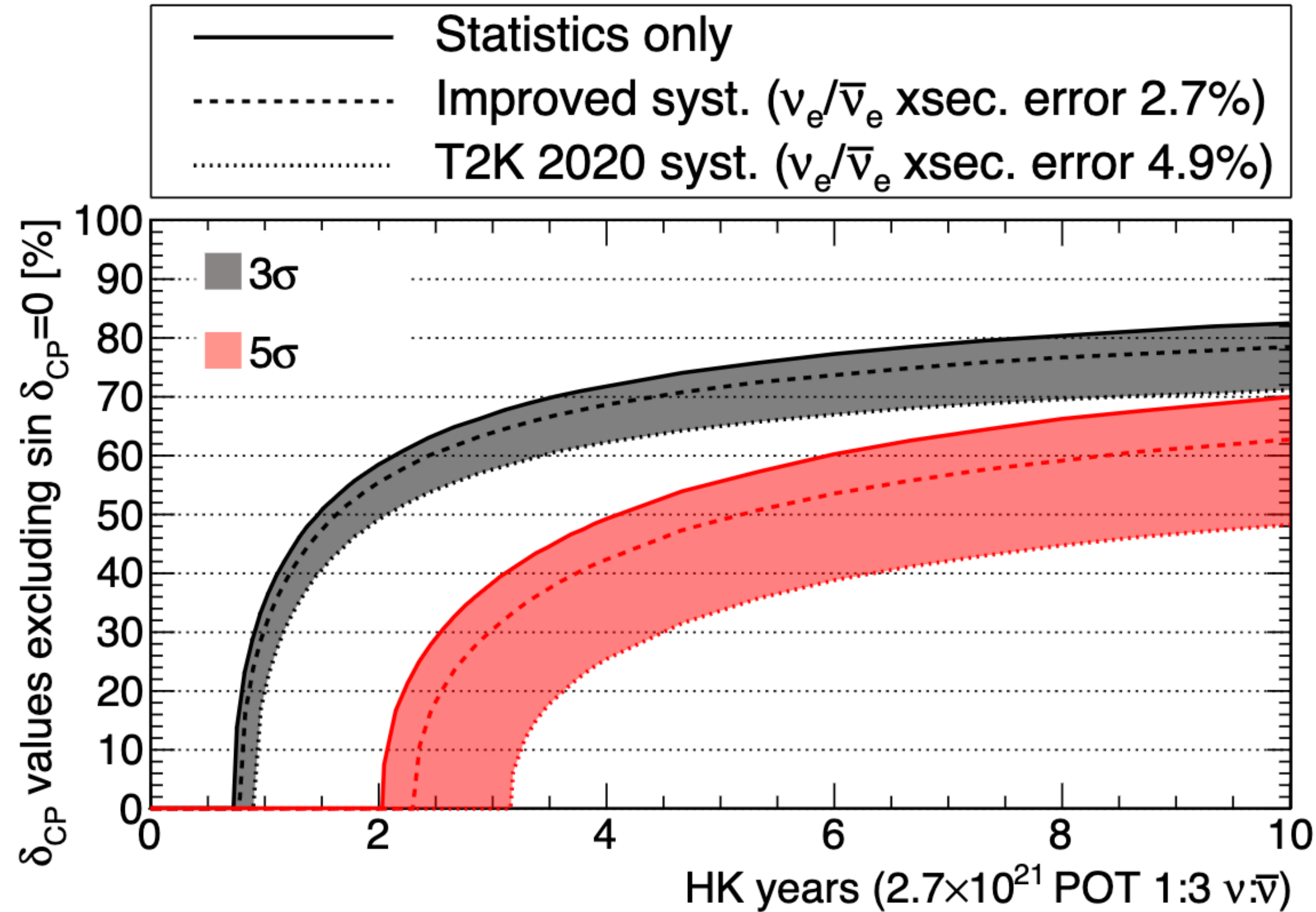
- Hyper-Kamiokande is a 3<sup>rd</sup> generation water Cherenkov experiment @ Kamioka
  - World's largest accelerator long baseline neutrino oscillation and nucleon decay detector
  - Built on well-proven technology
- Upgrade of the near detectors + introduction of the Intermediate Water Cherenkov Detector to reduce systematics
- Construction on time and on the way to start operation in 2027
- Many results in 20 years of data taking
  - CP violation in neutrino oscillation discovery for 62% of true  $\delta_{CP}$  @  $5\sigma$
  - Nucleon decay explored up to  $\tau > 10^{35}$  y
  - A lot more...





# Extras





Hyper-K preliminary

True normal ordering (known)

$$\sin^2 \theta_{13} = 0.0218 \pm 0.0007, \quad \sin^2 \theta_{23} = 0.528, \quad \Delta m_{32}^2 = 2.509 \times 10^{-3} \text{ eV}^2/c^4$$

