

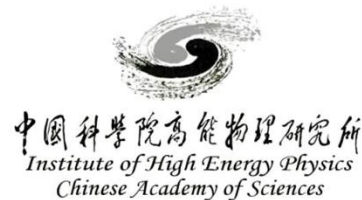
# Drift Chamber with Cluster Counting for the CEPC 4<sup>th</sup> Concept

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IAS Program on High Energy Physics, Hong Kong

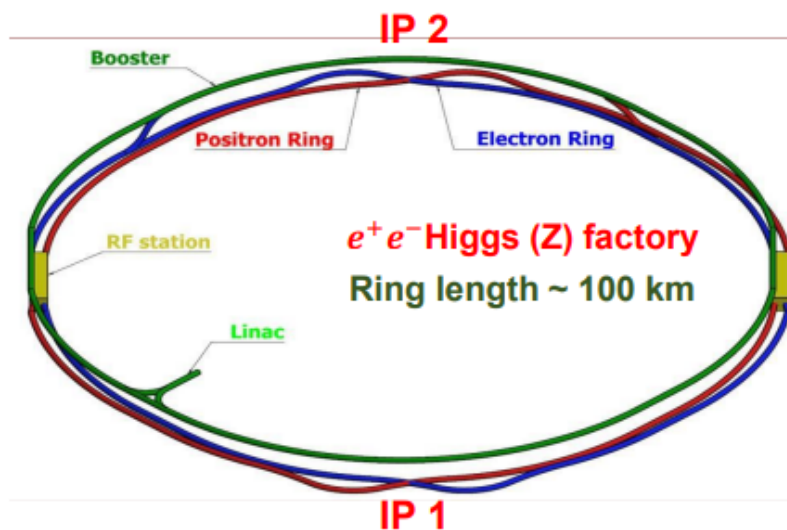


# Outline

- **Part 1: Baseline simulation study**
- **Part 2: Recent feasibility studies**
  - Reconstruction algorithm with deep learning
  - Prototype experiment and mechanical studies
  - Physics study with Delphes

# Physics programs at CEPC

- ❑ The CEPC aims to start operation in 2030's, as a Higgs (Z) factory in China. The plan is to operate
  - Above **ZH** threshold ( $\sqrt{s} \sim 240$  GeV) for 7 years.
  - Around and at the **Z** pole for 2 years.
  - Around and above **W<sup>+</sup>W<sup>-</sup>** threshold for 1 year.
  - It is upgradeable to run at the **t $\bar{t}$**  threshold.
- ❑ Possible *pp* collider (SppC) of  $\sqrt{s} \sim 50\text{--}100$  TeV in the future.



Particle	E <sub>c.m.</sub> (GeV)	Years	SR Power (MW)	Lumi. /IP (10 <sup>34</sup> cm <sup>-2</sup> s <sup>-1</sup> )	Integrated Lumi. /yr (ab <sup>-1</sup> , 2 IPs)	Total Integrated L (ab <sup>-1</sup> , 2 IPs)	Total no. of events
H*	240	10	50	8.3	2.2	21.6	4.3 × 10 <sup>6</sup>
			30	5	1.3	13	2.6 × 10 <sup>6</sup>
Z	91	2	50	192**	50	100	4.1 × 10 <sup>12</sup>
			30	115**	30	60	2.5 × 10 <sup>12</sup>
W	160	1	50	26.7	6.9	6.9	2.1 × 10 <sup>8</sup>
			30	16	4.2	4.2	1.3 × 10 <sup>8</sup>
t $\bar{t}$	360	5	50	0.8	0.2	1.0	0.6 × 10 <sup>6</sup>
			30	0.5	0.13	0.65	0.4 × 10 <sup>6</sup>

\* Higgs is the top priority. The CEPC will commence its operation with a focus on Higgs.

\*\* Detector solenoid field is 2 Tesla during Z operation, 3 Tesla for all other energies.

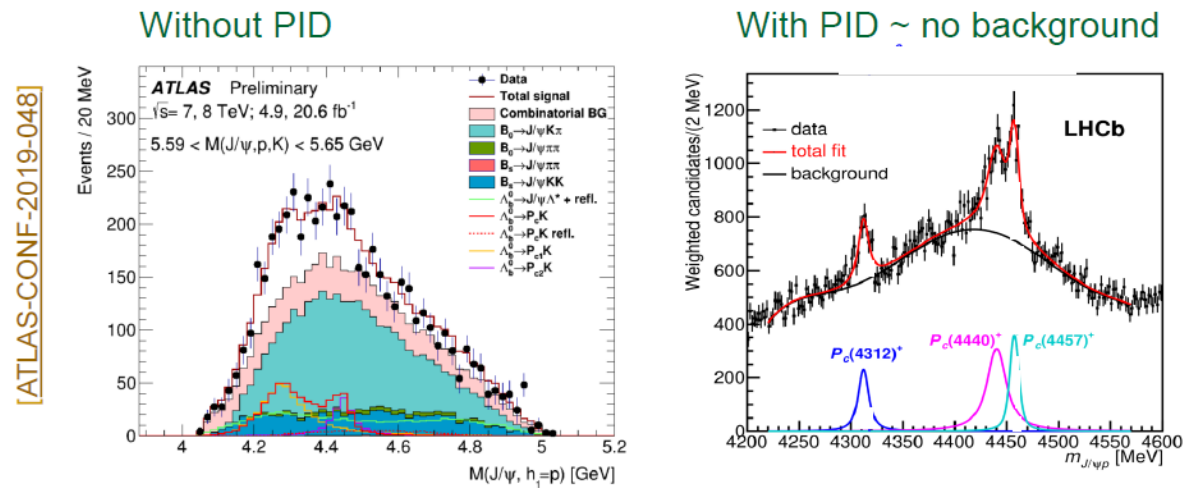
\*\*\* Calculated using 3,600 hours per year for data collection.

- ❑ The large samples from 2 IPs: **10<sup>6</sup>** Higgs, **10<sup>12</sup>** Z, **10<sup>8</sup>** W bosons, provide a unique opportunity for
  - High precision Higgs, EW measurements,
  - Study of flavor physics (b, c, tau) and QCD,
  - Probe physics beyond the standard model.
  - ...

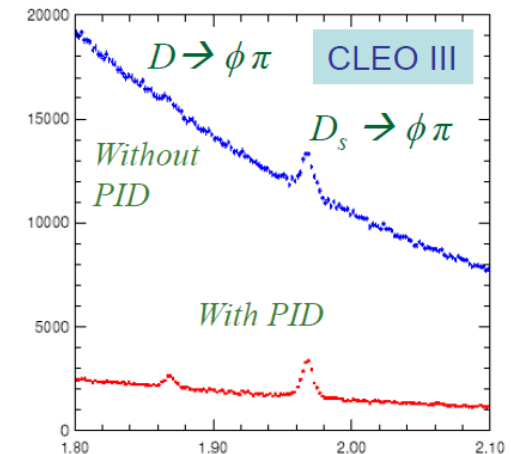
# Particle identification

- PID is essential for CEPC, especially for flavor physics
  - Suppressing combinatorics
  - Distinguishing between same topology final-states
  - Adding valuable additional information for flavor tagging of jets
  - ...

## Pentaquark search in $\Lambda_b \rightarrow J/\Psi p K$



## $D_{(s)} \rightarrow \phi \pi$

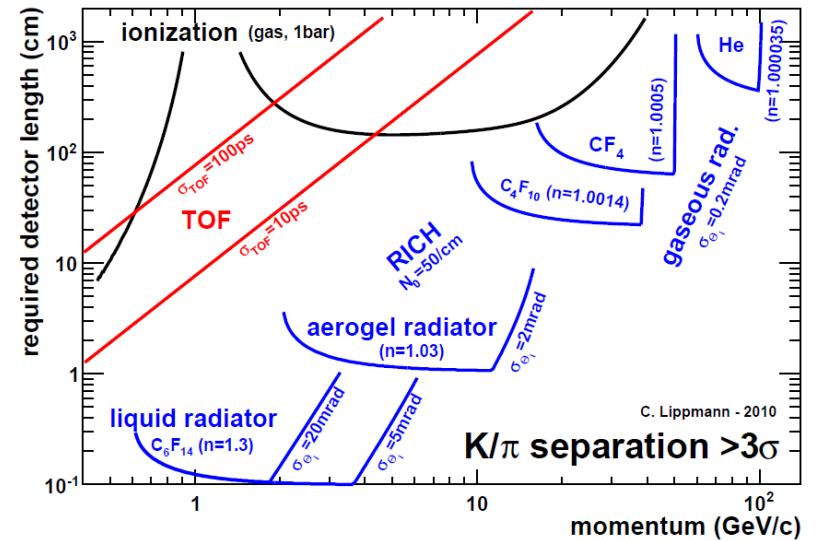


# PID detector system

- A gaseous tracking detector is favored because

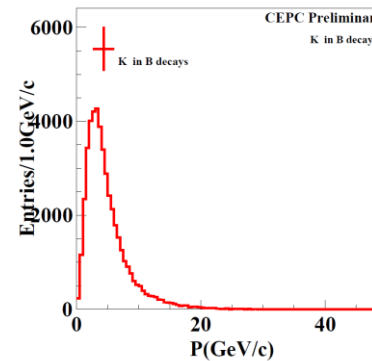
- Additional to tracking, the gaseous detector can also provide PID with ionization measurement “for almost free”
- The PID power of a gaseous detector can cover the hadron momenta range of interest for CEPC ( $< 20$  GeV)
- NOTE: There is always a “blind spot” at low momentum, which needs to be fixed by a supplementary timing detector

- Proposed PID detectors: DC + thin supplementary ToF

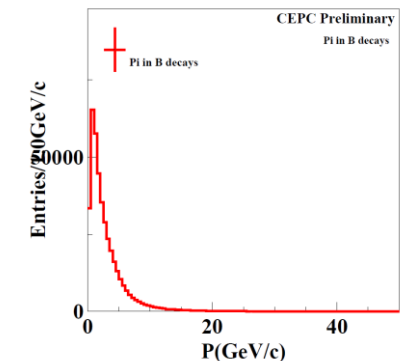


arXiv:1101.3276v4

K in B decays

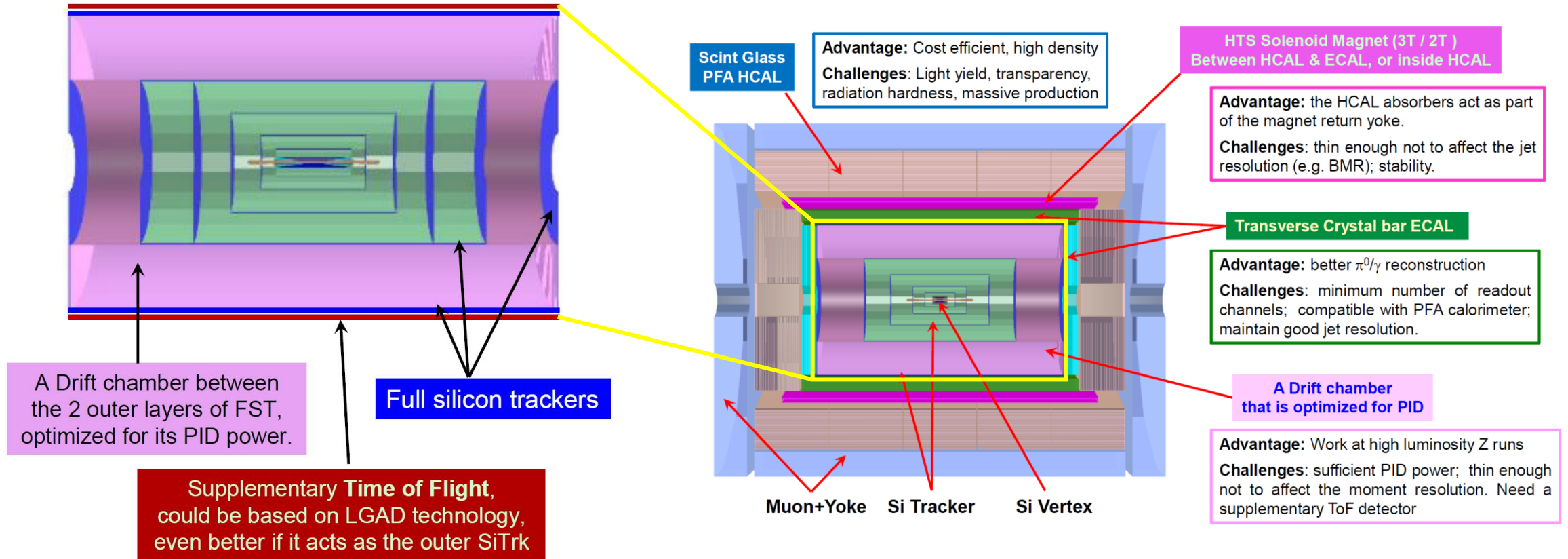


π in B decays



Most K/pi momentum  $< 20$  GeV/c

# CEPC 4<sup>th</sup> concept detector

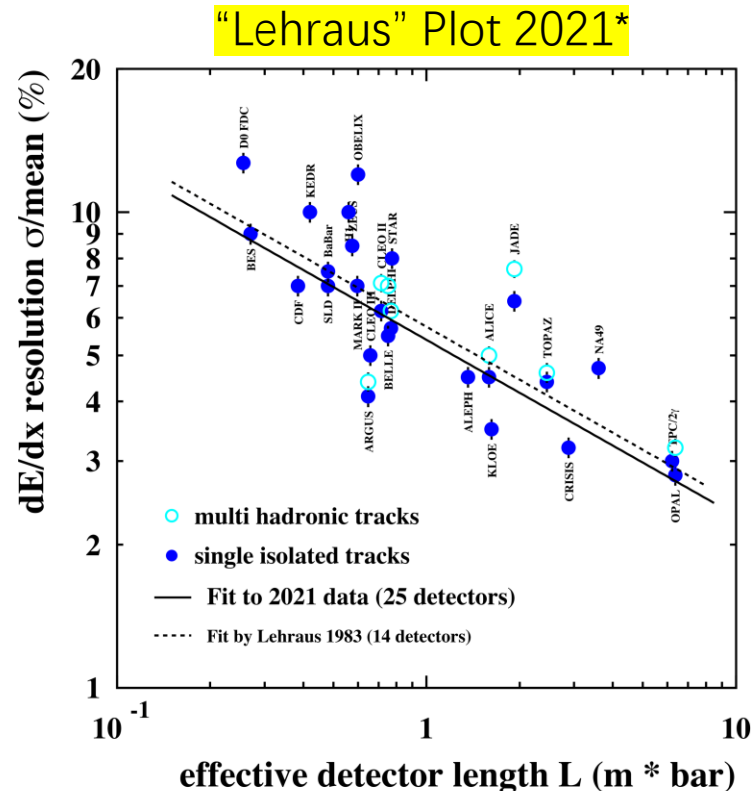
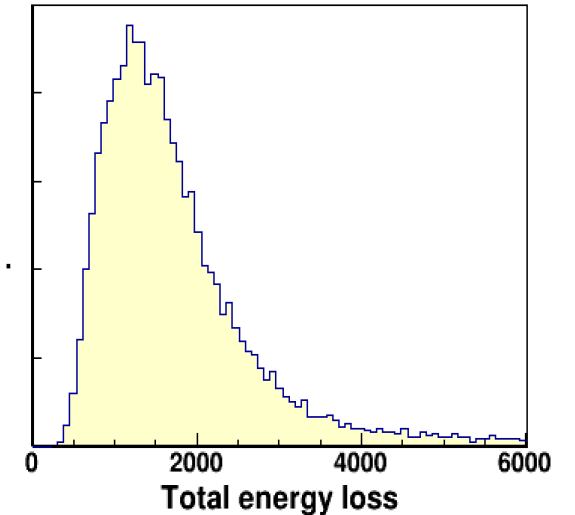


Preliminary PID requirement:  $>2\sigma$  K/ $\pi$  separation for 20 GeV/c tracks

# Energy loss measurement: dE/dx

- Main mechanism: Ionization of charged tracks
- Traditional method: Total energy loss (dE/dx)
  - Landau distribution due to secondary ionizations
  - Large fluctuation from many sources: energy loss, amplification ...

Integrated charge

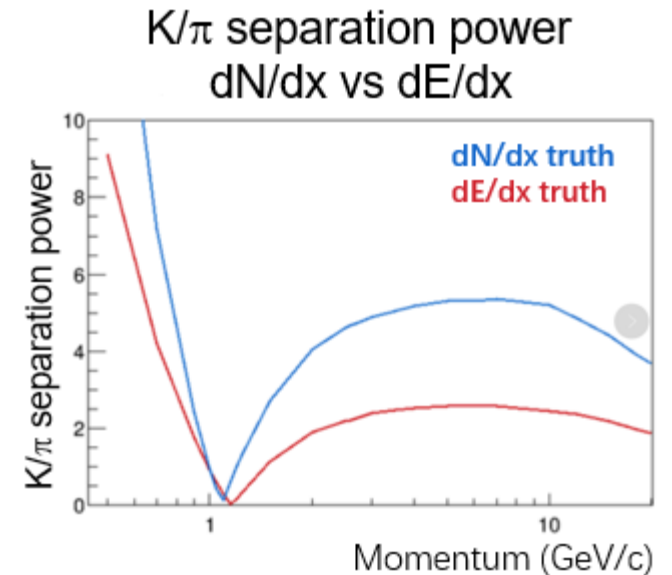
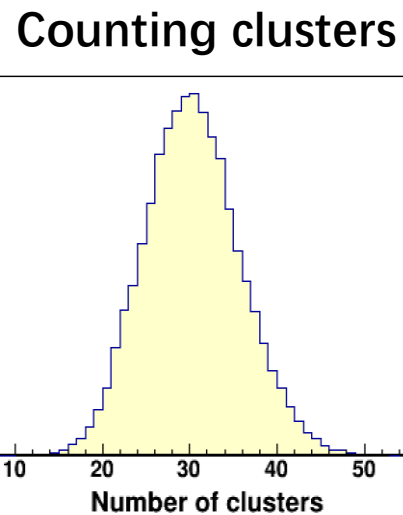
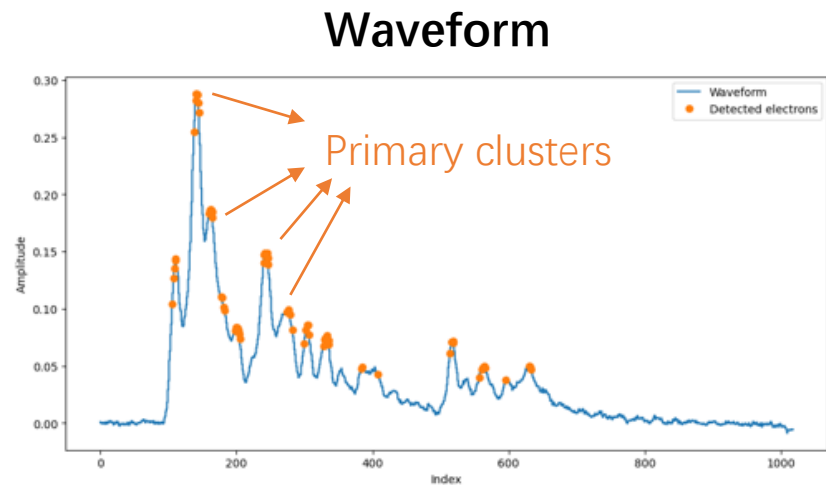


- Fit by Lehraus 1983:
  - $dE/dx \text{ res.} = 5.7 * L^{-0.37} (\%)$
- Fit in 2021:
  - $dE/dx \text{ res.} = 5.4 * L^{-0.37} (\%)$
- **No significant improvement in the past 40 years**

\* From Michael Hauschild's talk @ RD51 workshop

# Cluster counting measurement: $dN/dx$

- **Alternative method: Counting primary clusters ( $dN/dx$  or CC)**
  - Poisson distribution → Get rid of the secondary ionizations
  - **Small fluctuation → Potentially, a factor of 2 better resolution than  $dE/dx$**



Require fast electronics and sophisticated counting algorithm

**CC is extremely powerful, proposed in ILC, FCC-ee, CEPC**

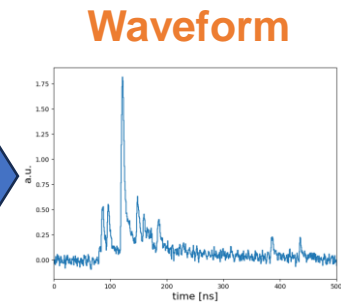
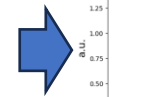
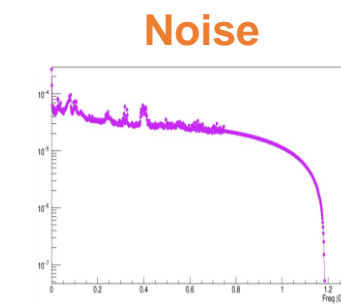
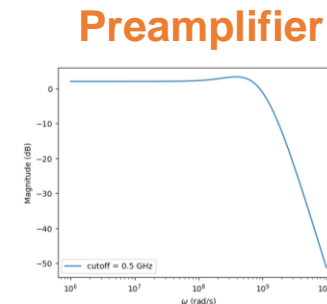
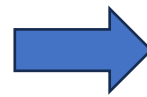
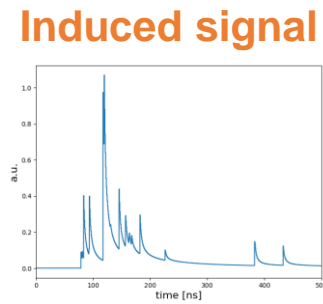
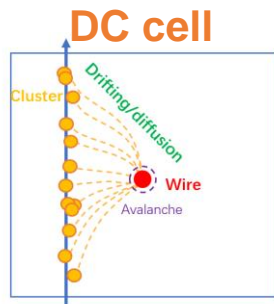
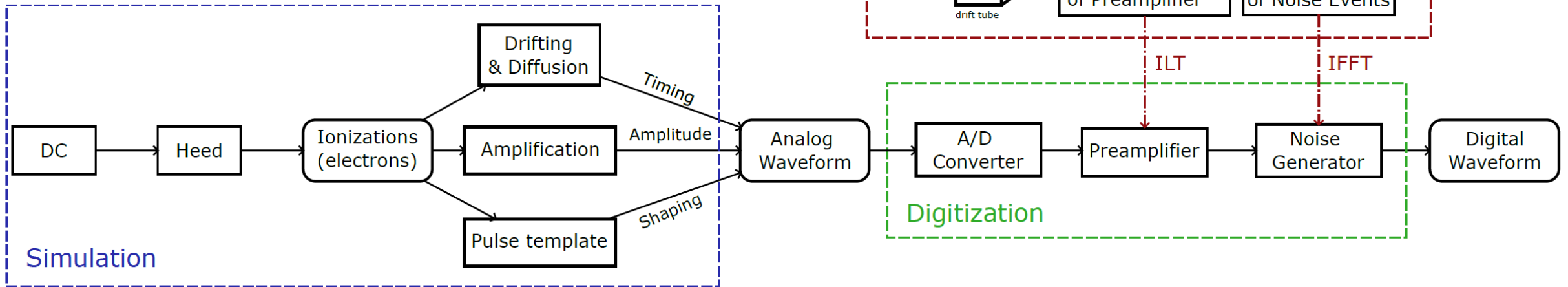


# Baseline simulation study

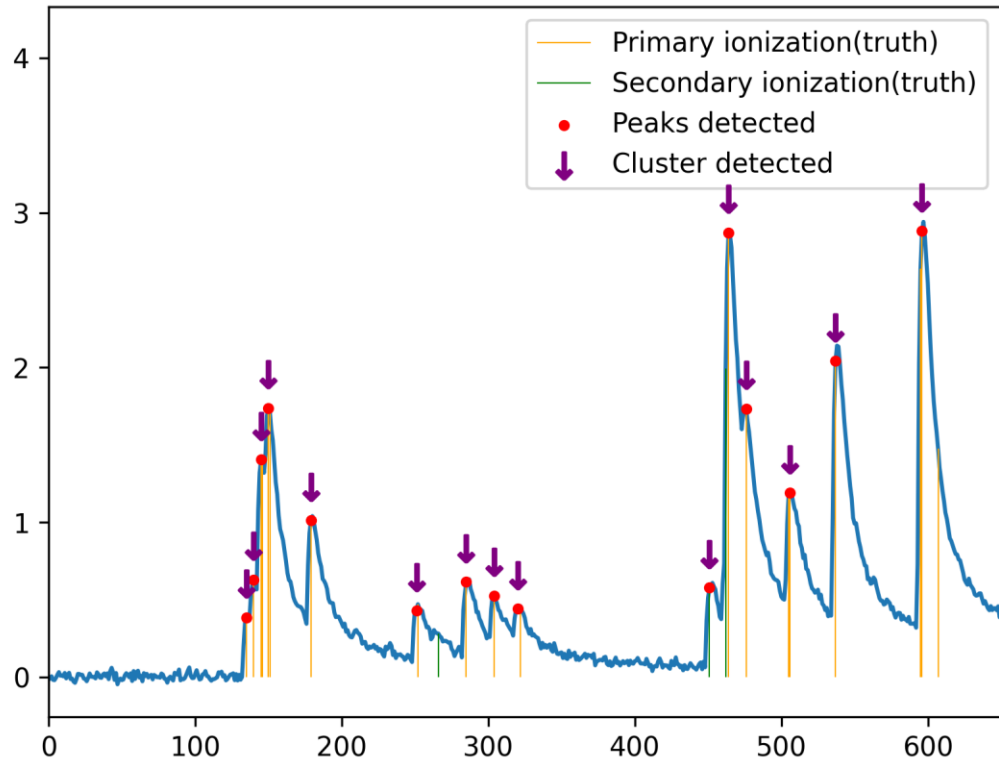
PID, tracking and mechanicals

# Waveform-based full simulation

Develop sophisticated software tools for DC PID simulation



# Reconstruction algorithm



**Reconstruction:** Each primary and secondary electrons forms a peak in the waveform. Need to determine the # of primary peaks.

**Peak finding:** Detect all electron peaks

- Taking 1<sup>st</sup> and 2<sup>nd</sup> order derivatives
- Peak detection by threshold passing

**Clusterization:** Merge electrons to form clusters

- Merge peaks within  $[0, t_{\text{cut}})$
- The  $t_{\text{cut}}$  is related to diffusion

■ **Pros:** Fast and easy to implement

■ **Cons:** Suboptimal efficiency for highly pile-up and noisy waveforms

# Optimization

## ■ Figure of merit

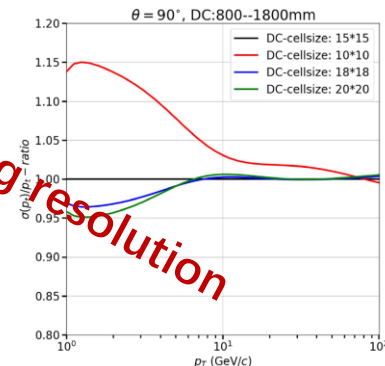
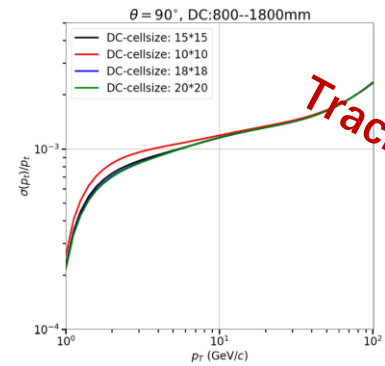
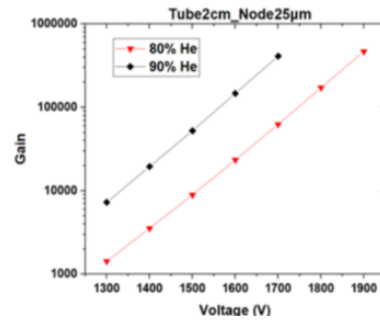
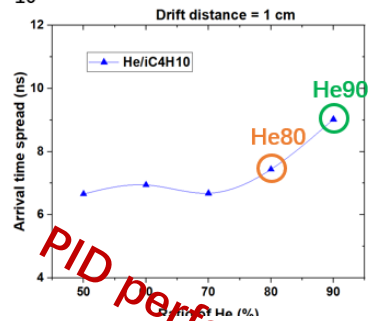
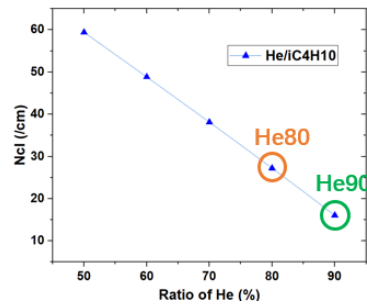
- PID performance:  $K/p_i$  separation power  $n = |\mu_\pi - \mu_K| / (\sigma_\pi + \sigma_K) \times 2$  (Waveform sim.)
- Tracking resolution:  $\sigma(1/p_t)$ ,  $\sigma(d_0)$  (Fast tracking)
- Mechanical stability (FEM)

## ■ Parameters

- Gas mixture
- Cell size
- Detector thickness
- Mechanical structures

# Optimization (cont.)

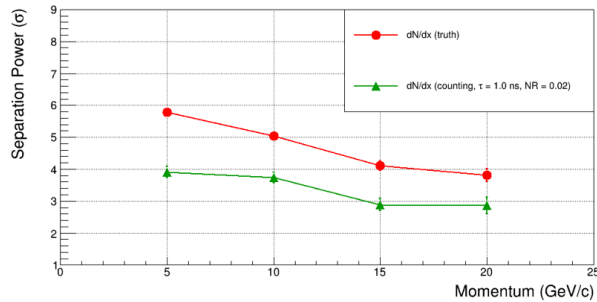
## Gas mixture choice: He + C<sub>4</sub>H<sub>10</sub>



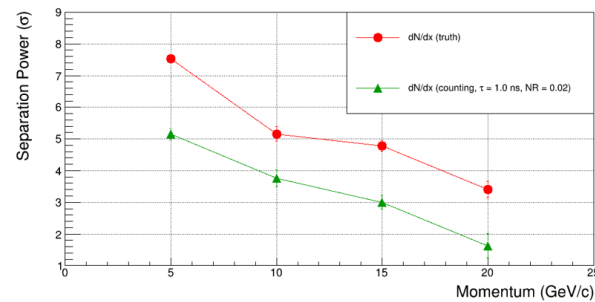
PID performance

Tracking resolution

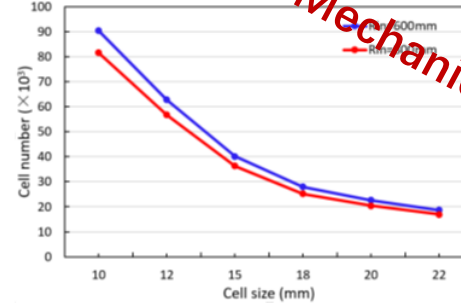
He 90% + iC<sub>4</sub>H<sub>10</sub> 10%, L = 1m, NR = 0.02, 1x1cm cell



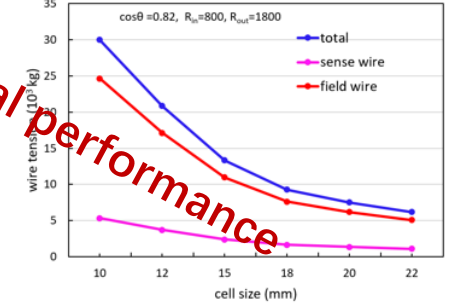
He 80% + iC<sub>4</sub>H<sub>10</sub> 20%, L = 1m, NR = 0.02, 1x1cm cell



# of cells vs. cell size



wire tension vs. cell size

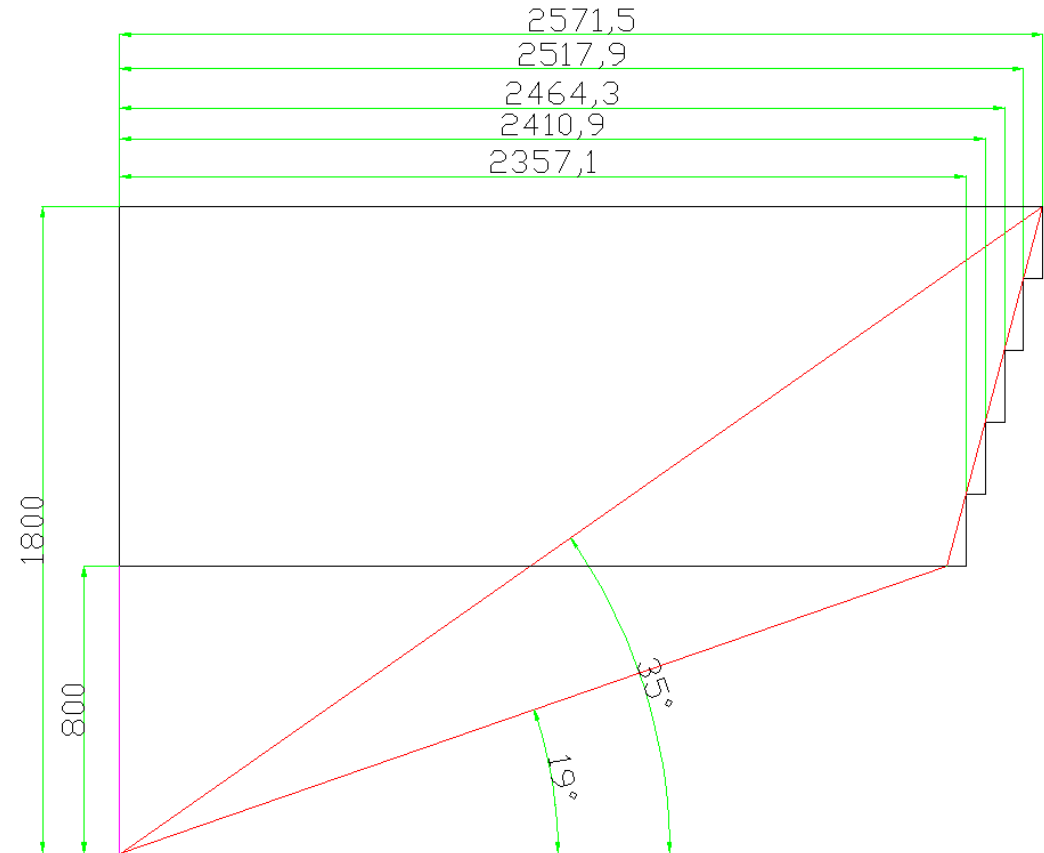


Mechanical performance

# DC baseline design

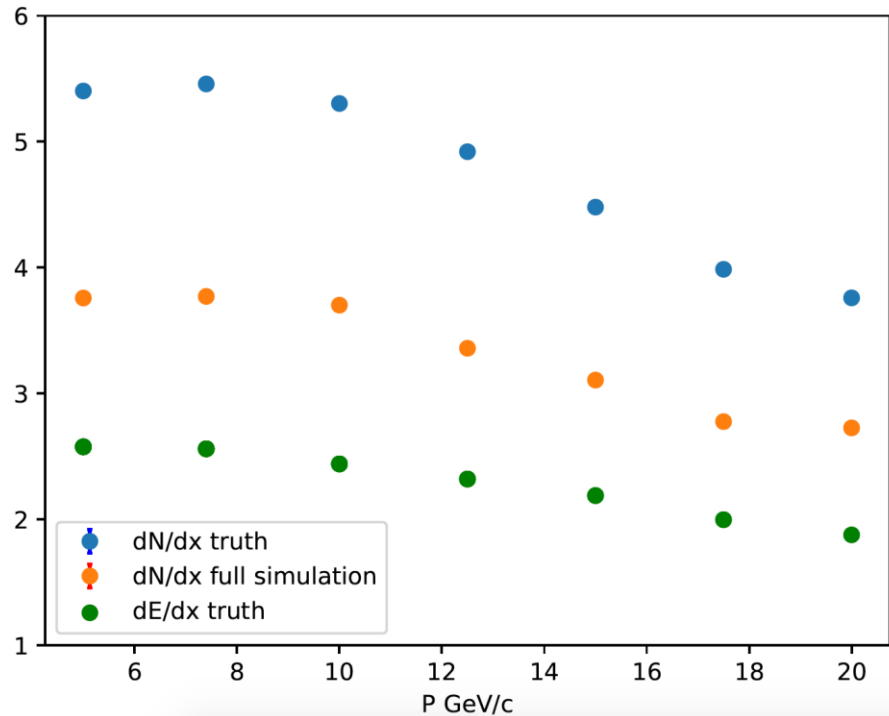
## Optimized DC Parameters

DC Parameters	
Radius extension	800-1800 mm
Length of outermost wires ( $\cos\theta=0.82$ )	5143 mm
Thickness of inner CF cylinder	200 $\mu\text{m}$
Outer CF frame structure	Equivalent CF thickness: 1.63 mm
Thickness of end Al plate	35 mm
Cell size	18 mm $\times$ 18 mm
# of cells	24766
Ratio of field wires to sense wires	3:1
Gas mixture	He/iC <sub>4</sub> H <sub>10</sub> =90:10

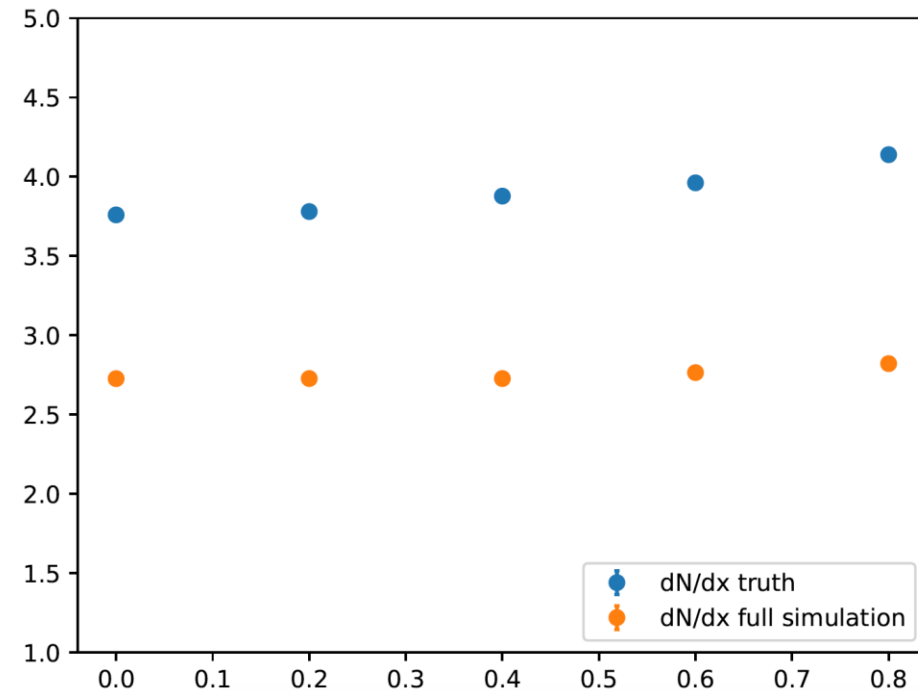


# PID performance

K/ $\pi$  separation power vs P  
(1m track length,  $\cos\theta=0$ )



K/ $\pi$  separation power vs  $\cos\theta$   
(P=20GeV/c)



Separation power

$$S = \frac{\left| \left( \frac{dN}{dx} \right)_{\pi} - \left( \frac{dN}{dx} \right)_{K} \right|}{(\sigma_{\pi} + \sigma_K)/2}$$

**2 $\sigma$  K/ $\pi$  separation for 20 GeV/c tracks could be achieved (preliminary)**

# Mechanicals: Wire tension

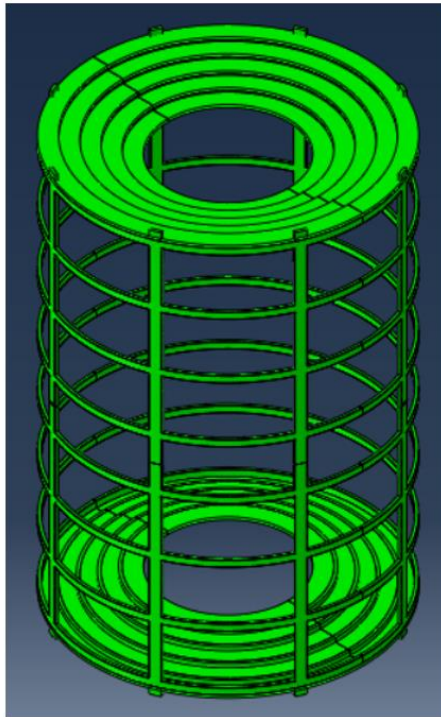
- ✓ Diameter of field wire (Al coated with Au) : 60  $\mu\text{m}$
- ✓ Diameter of sense wire (W coated with Au): 20  $\mu\text{m}$
- ✓ Sag = 280  $\mu\text{m}$

Step	cell number /step	length	single sense wire tension (g)	Single field wire tension (g)	total tension /step (kg)
1	3417	4715	60.15	92.42	1153.08
2	4185	4822	62.91	96.66	1477.02
3	4953	4929	65.74	101.00	1826.47
4	5721	5036	68.62	105.44	2202.24
5	6489	5143	71.57	109.96	2605.11
<b>total</b>	<b>24766</b>				<b>9263.92</b>

**Meet requirements of stability condition:  $T > \left(\frac{VLC}{d}\right)^2 / (4\pi\epsilon_0)$**



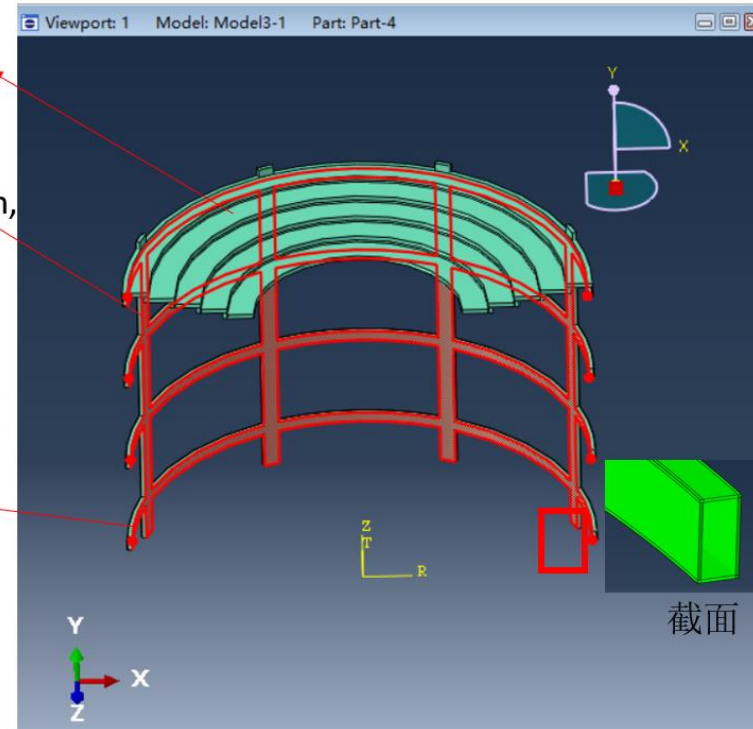
# Mechanicals: Support structures



Al endplates :  
35 mm

Longitudinal beam,  
Cross section:  
 $122 \times 40\text{mm}$

Annular beam,  
Cross section:  
 $80 \times 40\text{mm}$



- Carbon fiber frame structure, including 8 longitudinal hollow beams and 8 annular hollow beams
- Thickness of inner CF cylinder:  $200 \mu\text{m}/\text{layer}$
- Effective outer CF frame structure:  $1.63 \text{ mm}$
- Thickness of end Al plate:  $35 \text{ mm}$

- **Mises stress:  $70 \text{ MPa}$**
- **Principal stress:  $33 \text{ Mpa}$**
- **Deformation:  $0.8 \text{ mm}$**
- **Buckling coefficient:  $17.2$**

**Mechanicals are generally stable**

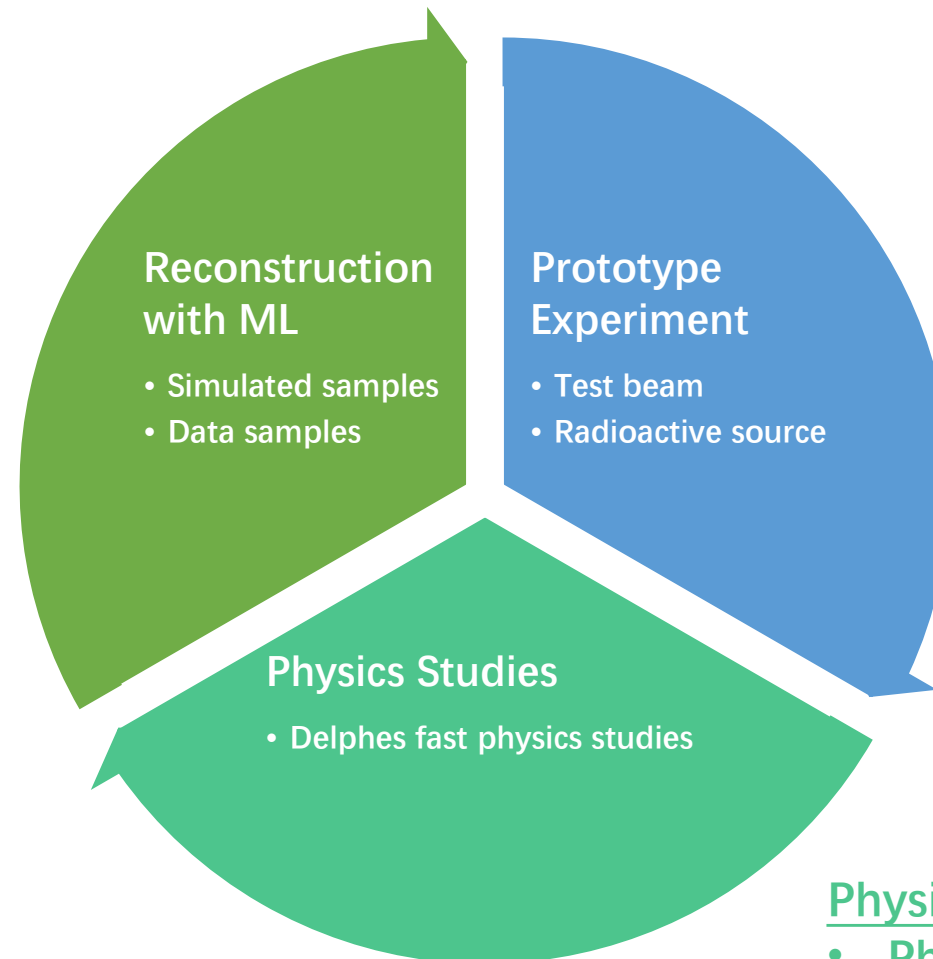
# Recent feasibility studies

ML reconstruction, prototype experiments and physics studies

# Recent feasibility studies

## Software challenges:

- Efficient algorithm to count clusters in high noise-levels and pile-ups



## Hardware challenges:

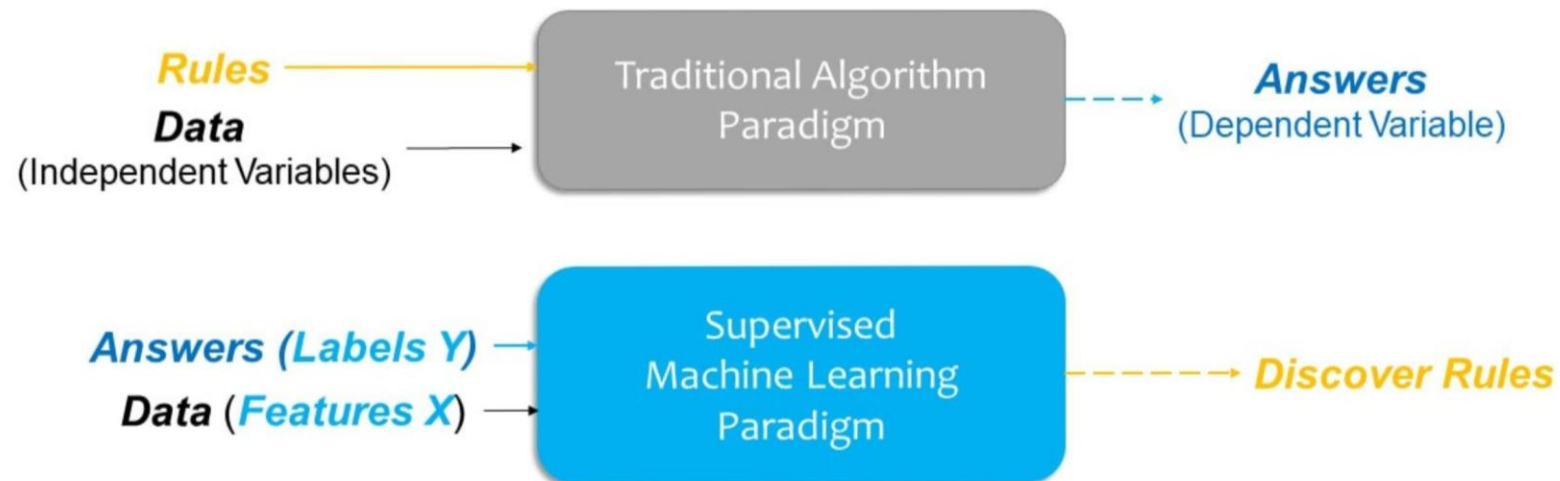
- Large volume detector design
- Fast front-end electronics
- Efficient data preprocessing

## Physics performances:

- Physics benchmarks to evaluate CC technique

# Reconstruction algorithm with ML

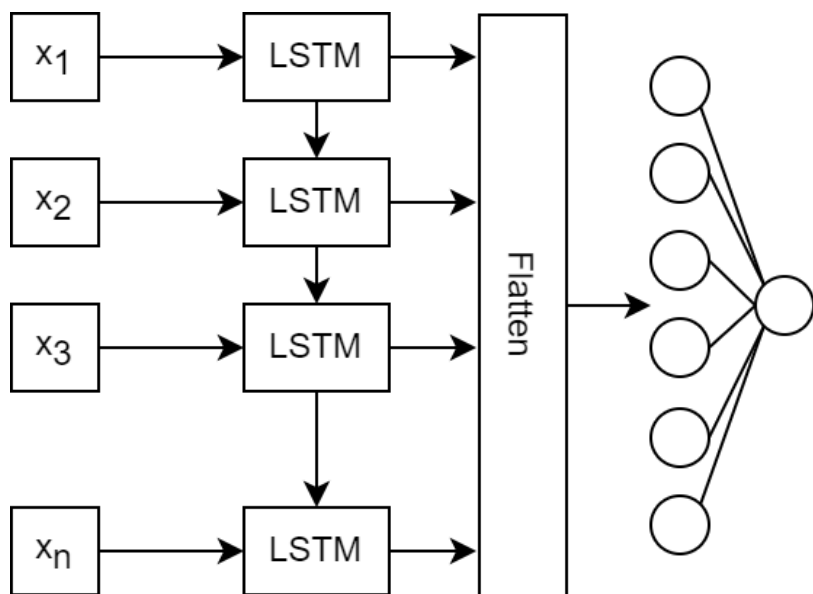
- **Traditional algorithm:**
  - Use partial information of the raw waveform
  - Require prior knowledge
- **Supervised learning could be more powerful** because
  - make full use of the waveform information
  - automatically learn characteristics of signals and noises from large labeled samples



# Supervised model for simulated samples

## Peak finding with LSTM

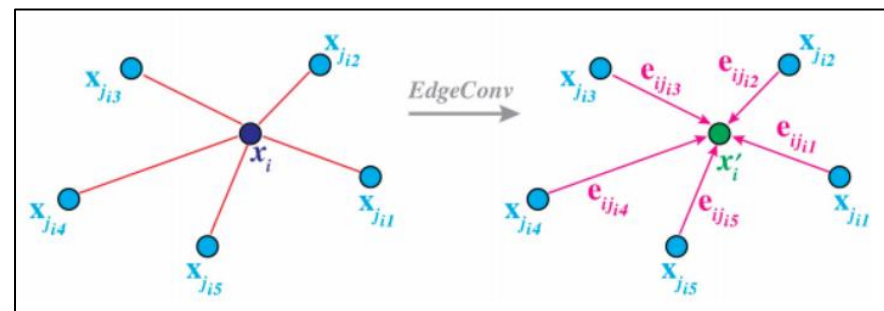
Why LSTM? → Waveforms are time series



- Architecture: LSTM (RNN-based)
- Method: Binary classification of signals and noises on slide windows of peak candidates

## Clusterization with DGCNN

Why DGCNN? → Locality of the electrons from the same primary cluster, perform message passing through neighbor nodes in GNN

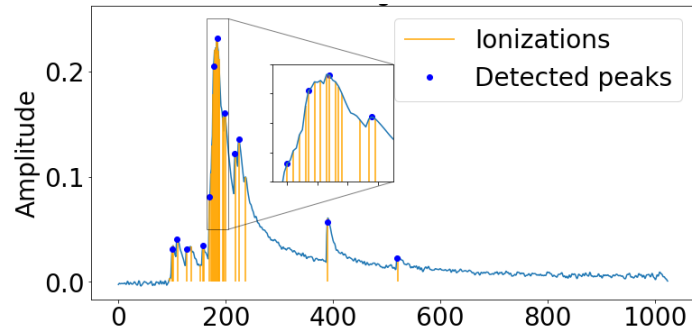


arXiv: 1801.07829

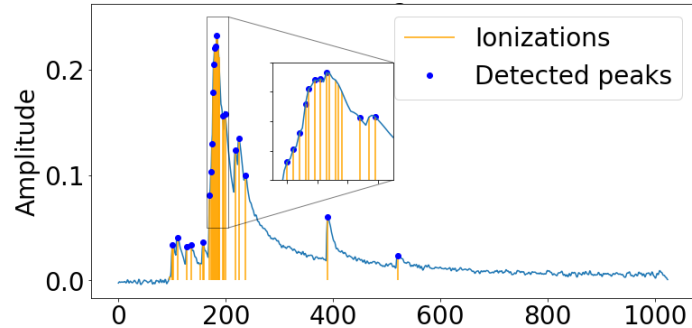
- Architecture: DGCNN (GNN-based)
- Method: Binary classification of primary and secondary electrons

# Reconstruction results

Derivative-based method

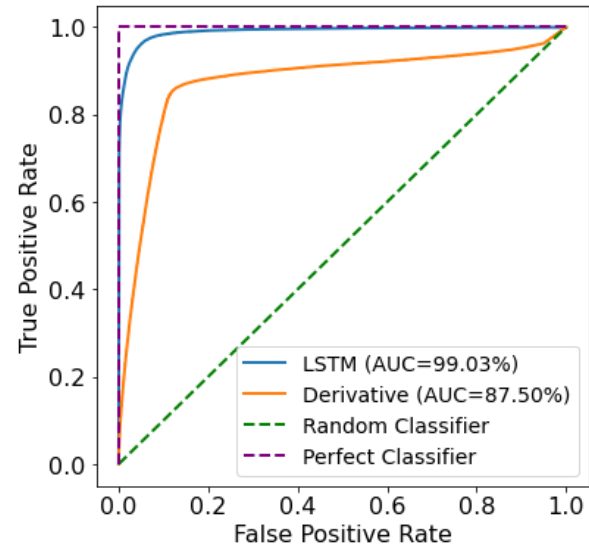


LSTM

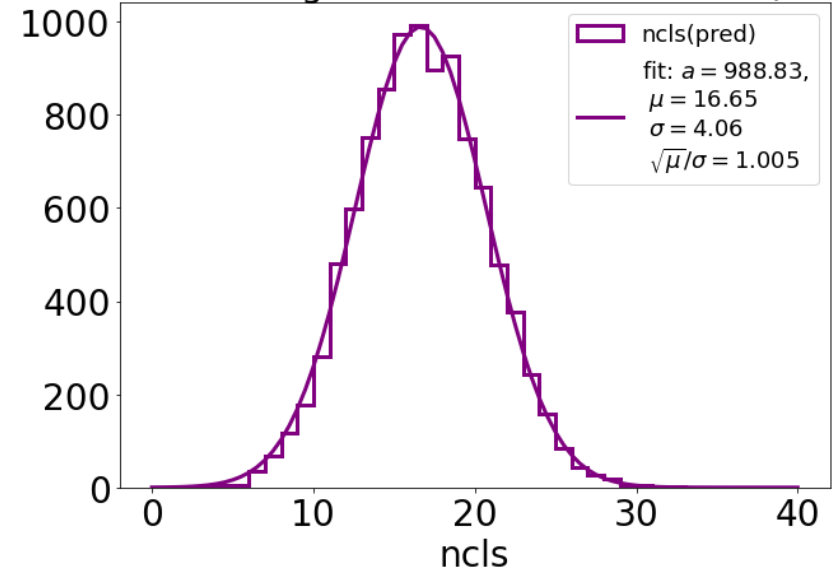


**Peak finding: ML is better than derivative-based method**

ROC Curve

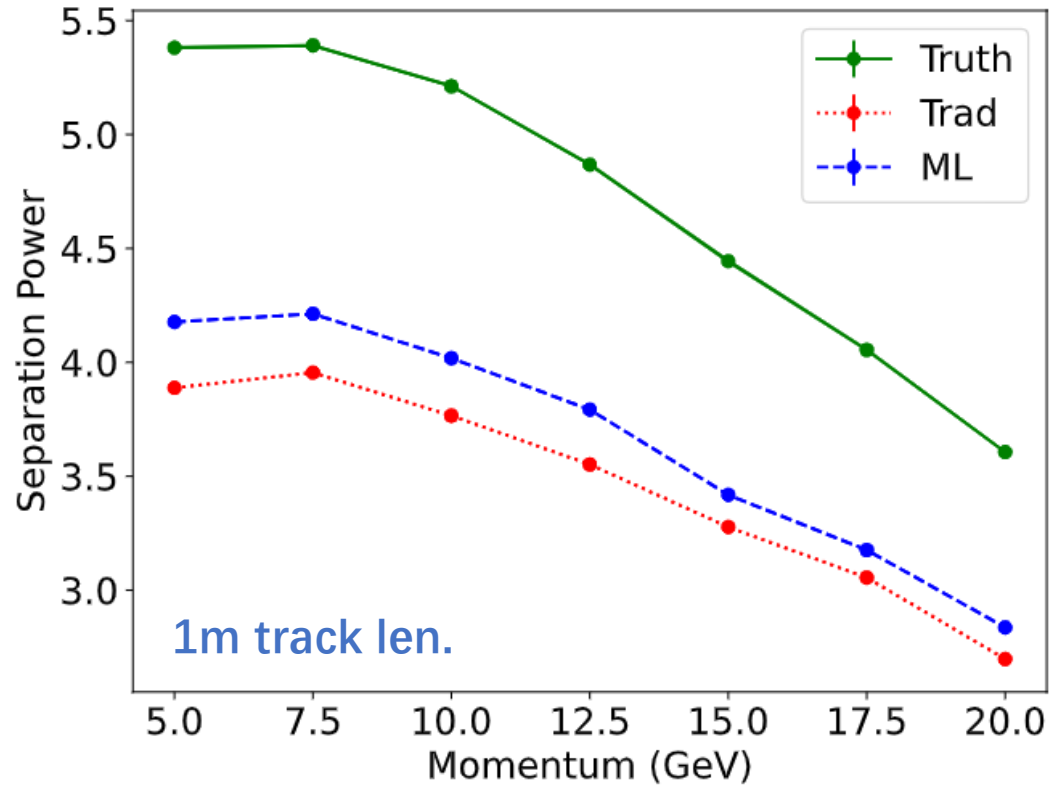


LSTM Peak Finding + DGCNN Classification (thr=0.61)



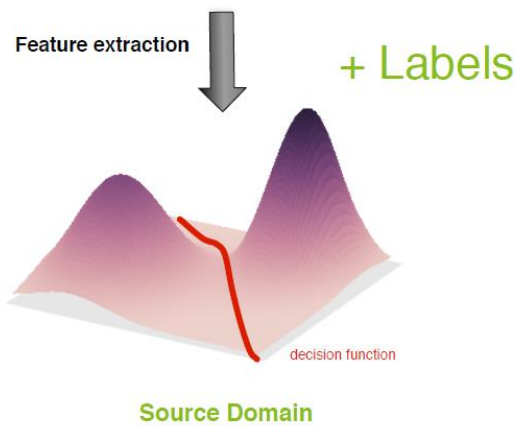
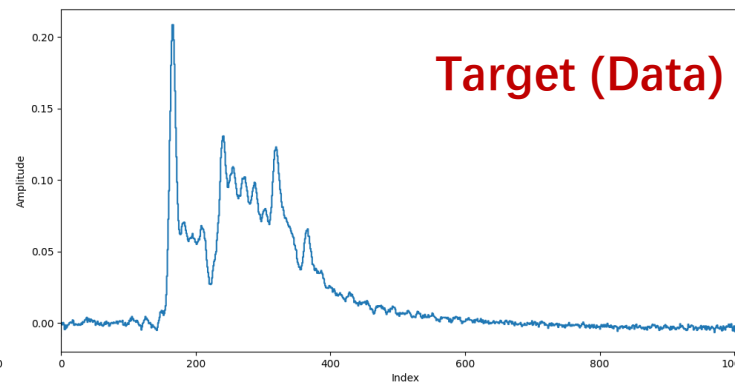
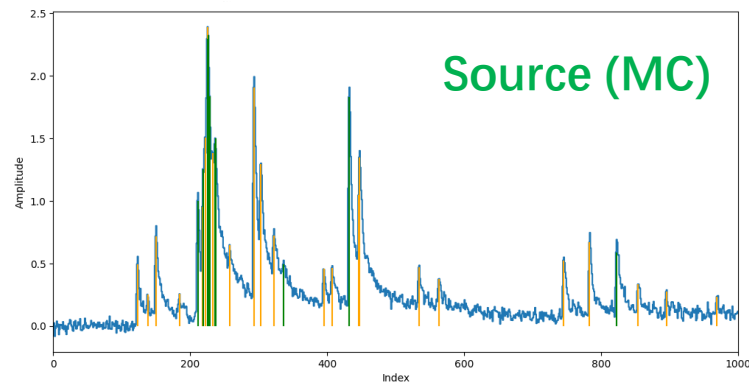
**Peak finding + Clusterization: Very well Poisson-like distribution**

# PID performances with supervised models

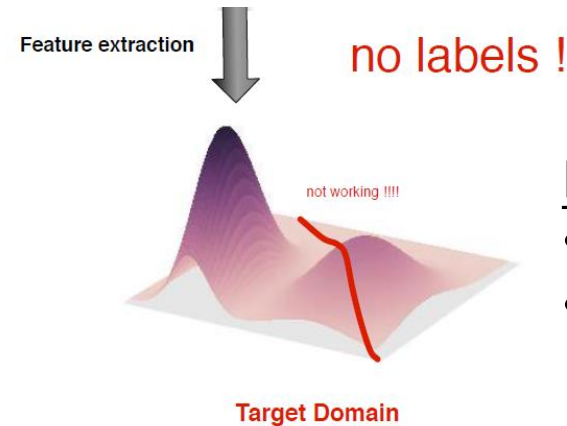


**~10% improvement on K/ $\pi$  separation power with ML (equivalent to a detector with 20% larger radius)**

# Challenge of ML algorithm on experimental data samples



≠



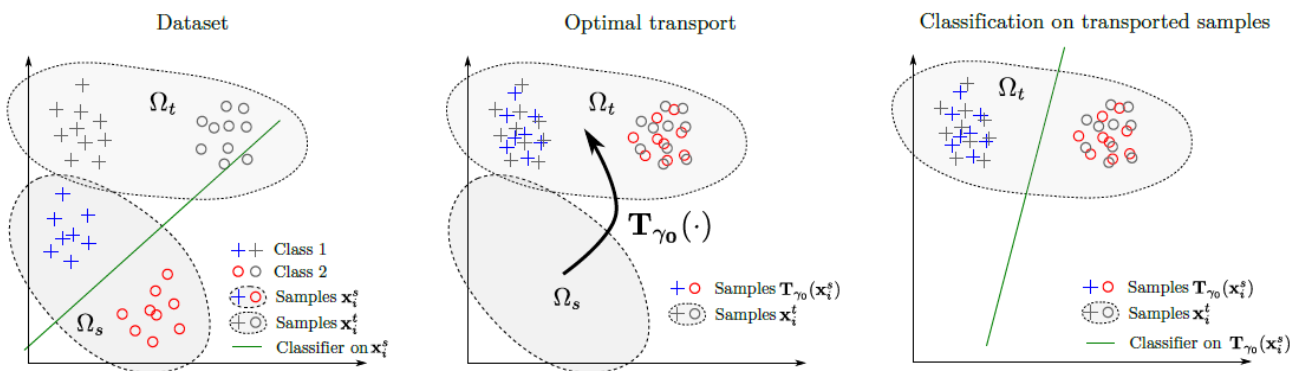
## Main challenges:

- Discrepancies between data and MC
- Lack of labels in experimental data

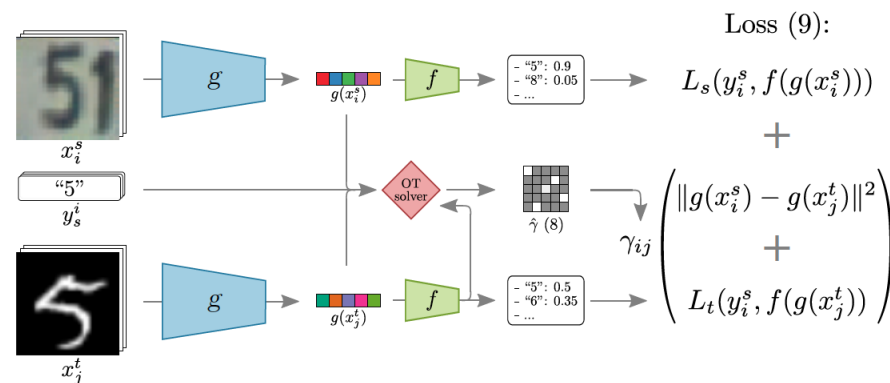
➔ **Cannot directly apply the supervised model trained by simulated samples**



# Semi-supervised domain adaptation



Align data/MC samples with **Optimal Transport**



Original work by Damodaran, et al. (arXiv: 1803.10081)

Loss for labeled samples in source domain

$$\min_{f, g} \left[ \sum_{i=1}^m L_s(y_i^s, f(g(x_i^s))) + \frac{1}{m_t} \sum_{i=1}^{m_t} L_t(y_i^{t,l}, f(g(x_i^{t,l}))) + \min_{\gamma \in \Delta} \sum_{i,j} \gamma_{ij} \left( \alpha \|g(x_i^s) - g(x_j^t)\|^2 + \lambda_t L_t(y_i^s, f(g(x_j^t))) \right) \right]$$

Loss for labeled samples in target domain (THIS WORK)

Cost of feature differences between source and target

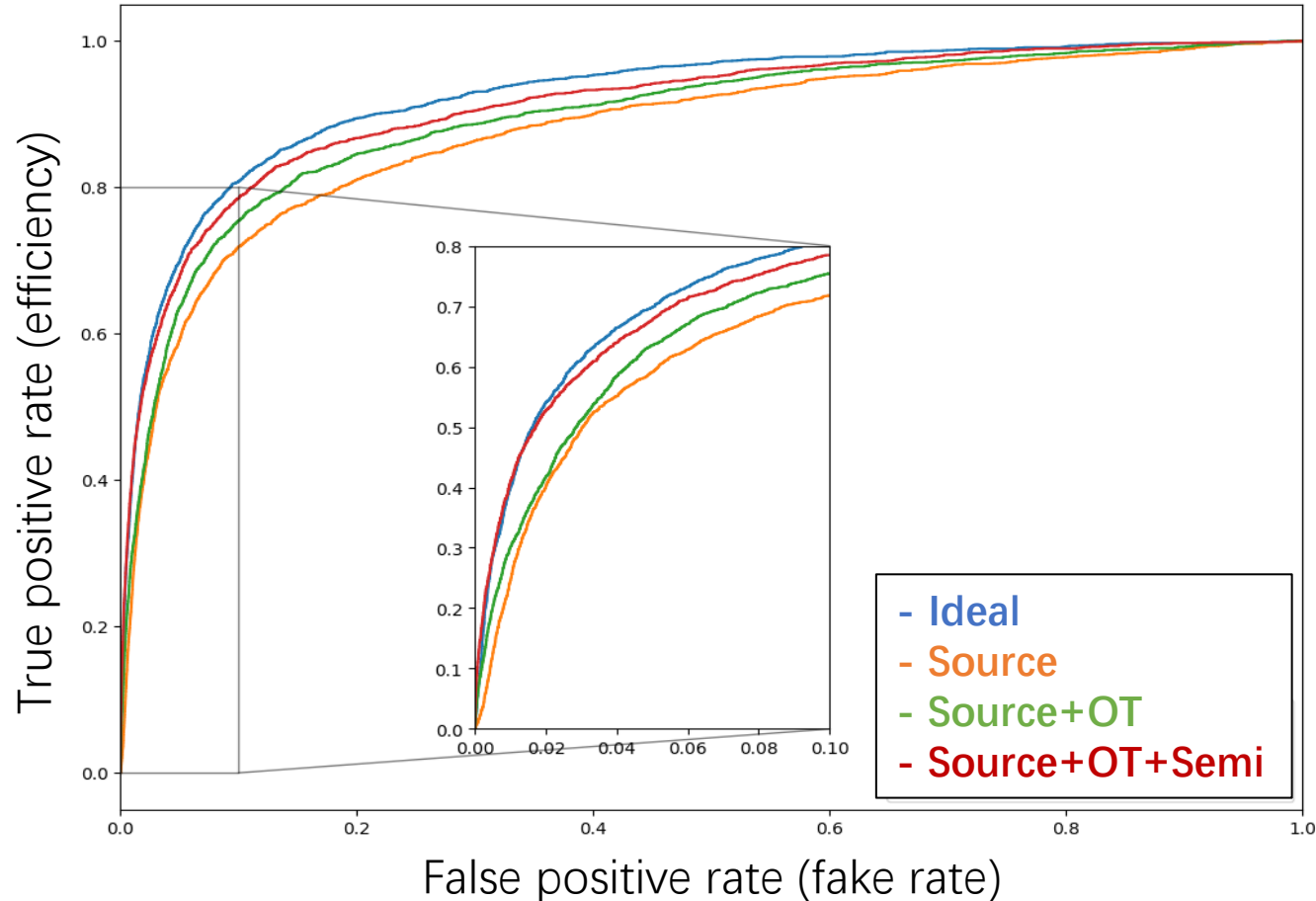
Cost of 'label' differences between source and target

Cost of joint feature-label distribution for OT

**Semi-supervised implementation in our work**

# Numeric experiment

ROC Curve



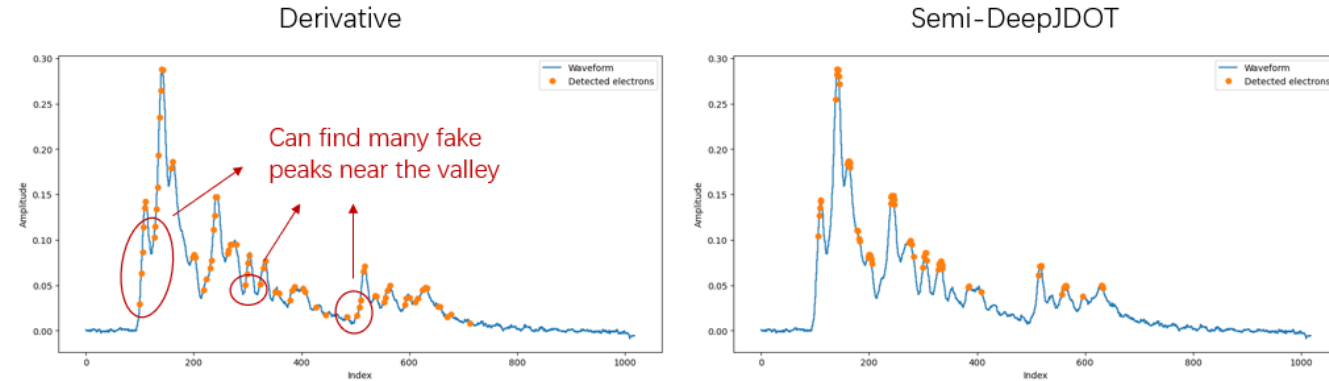
Numeric experiment with pseudo data:  
• Use labels in pseudo data to evaluate

Model	AUC	pAUC (FPR<0.1)
<b>Ideal (supervised)</b>	<b>0.926</b>	<b>0.812</b>
<b>Source (baseline)</b>	<b>0.878</b>	<b>0.749</b>
<b>Source + OT</b>	<b>0.895</b>	<b>0.769</b>
<b>Source + OT + Semi (Semi-supervised DA)</b>	<b>0.912</b>	<b>0.793</b>

**Validation: Performance of Semi-DeepJDOT model is very close to the ideal model (supervised model)**

# Peak finding for test beam data

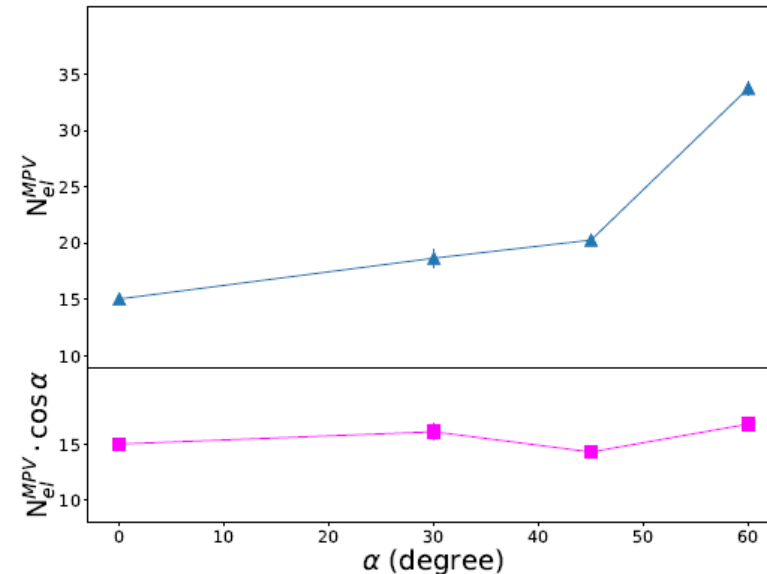
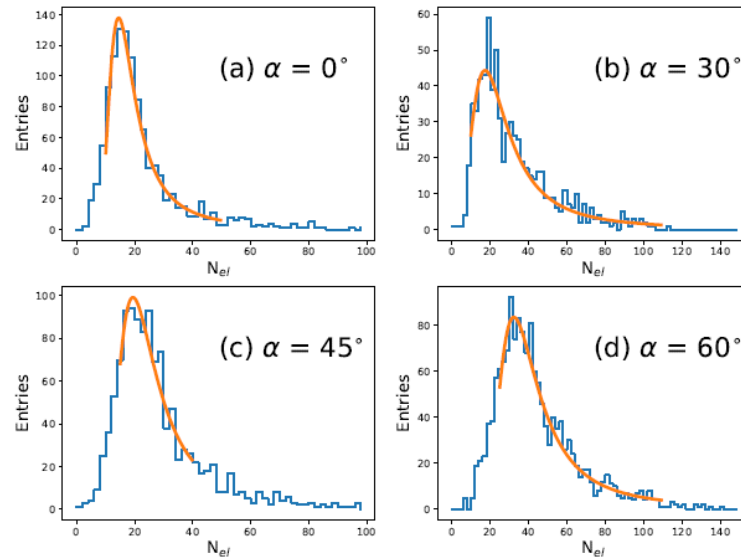
Single-waveform results between derivative alg. and DL alg.



Note: Require similar efficiency for both cases

DL algorithm is more powerful to discriminate signals and noises

Multi-waveform results for samples in different angles



Scale w.r.t. track length

The algorithm is stable w.r.t. track length

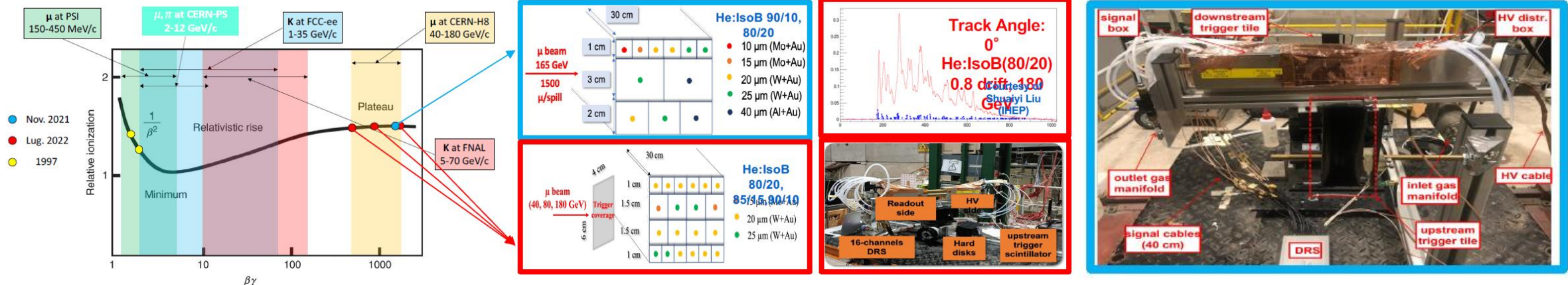
# Prototype experiment with test beam

## Beam tests organized by INFN group:

- Two muon beam tests performed at CERN-H8 ( $\beta\gamma > 400$ ) in Nov. 2021 and July 2022.
- A muon beam test (from 4 to 12 GeV/c) in 2023 performed at CERN.
- Ultimate test at FNAL-MT6 in 2024 with  $\pi$  and K ( $\beta\gamma = 10-14$ ) to fully exploit the relativistic rise.

## Contributions from IHEP group:

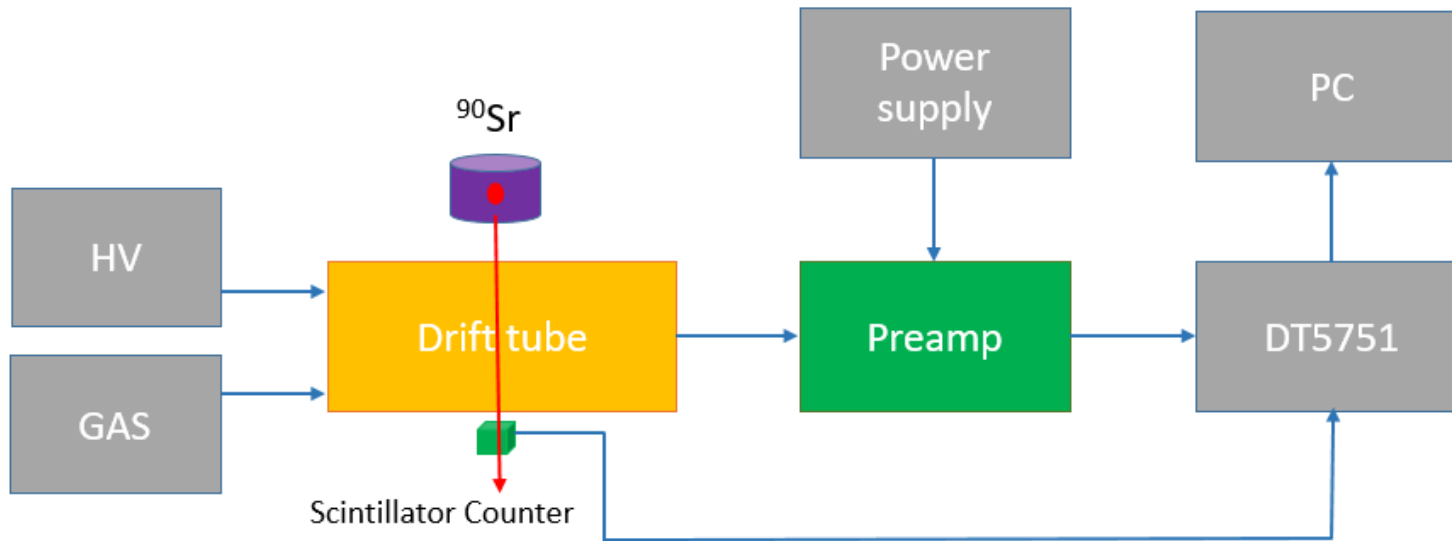
- Participate data taking and collaboratively analyze the test beam data
- **Develop the machine learning reconstruction algorithm**



See Nicola De Filippis's talk at the CEPC Workshop for details

# Prototype experiment with radioactive source

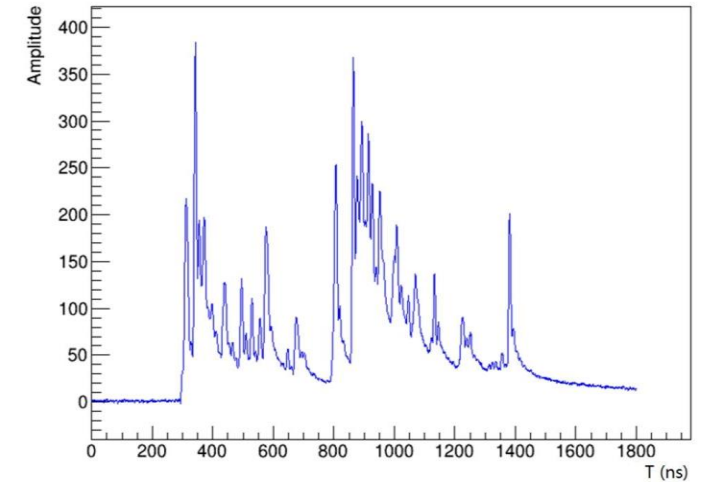
## Experiment layout



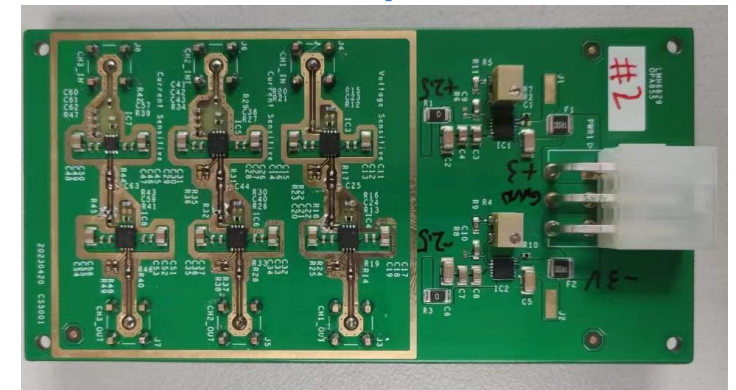
- Diameter of the tube: 30 mm
- Working gas:  $\text{He}/i\text{C}_4\text{H}_{10}=90:10$

[See Mingyi's talk](#)

Waveform with Sr-90  $\beta$  source



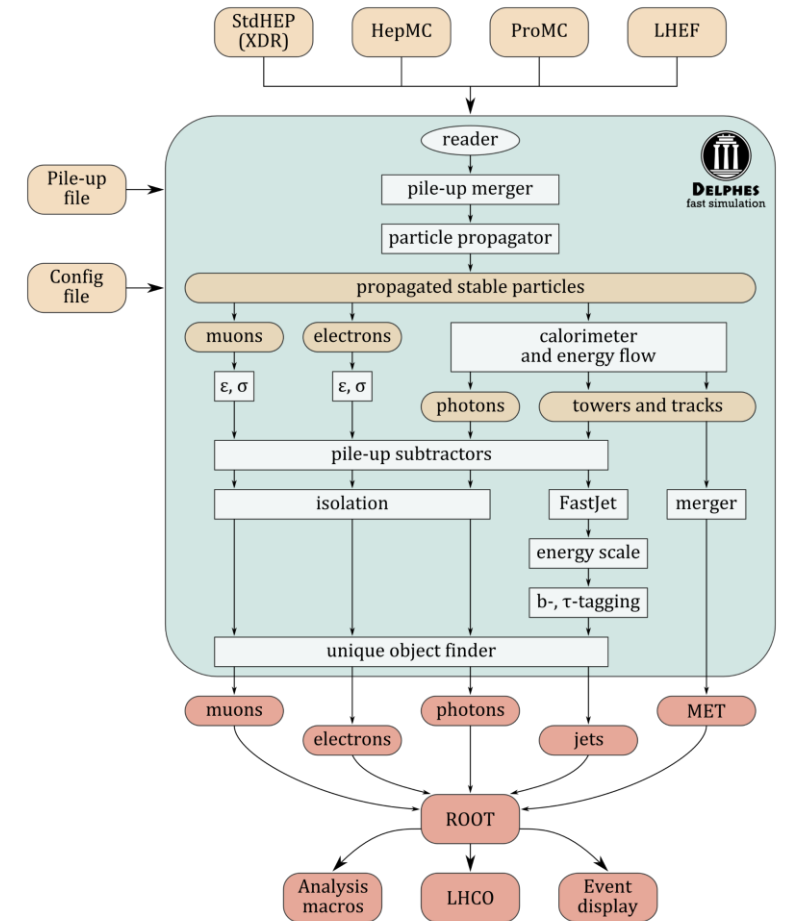
Preamplifier



- Bandwidth  $\sim 1\text{GHz}$
- ADC sampling rate  $1\text{GHz}$

# Physics study with Delphes

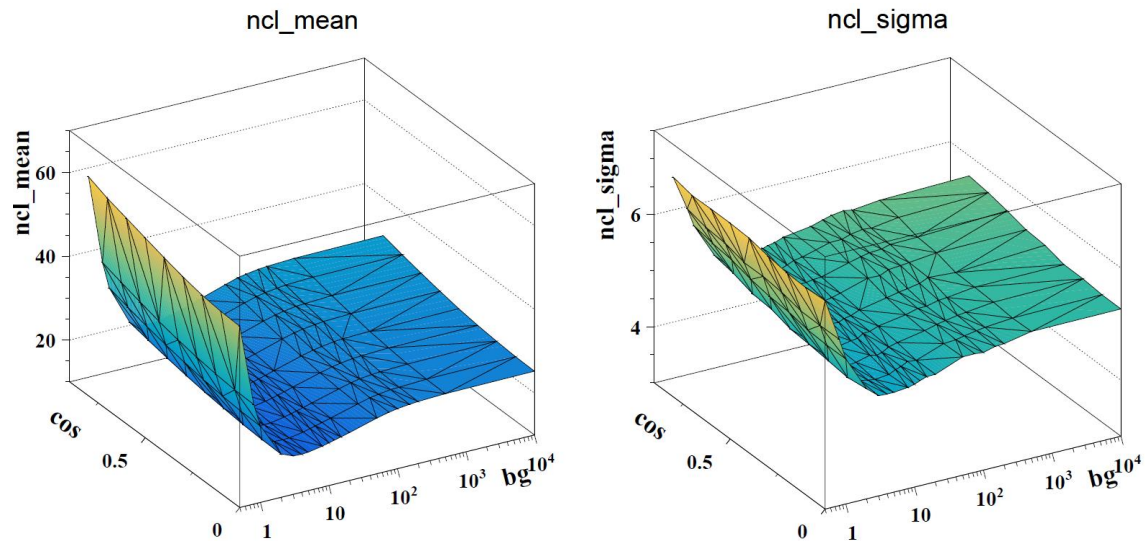
- Delphes: A C++ framework, performing a fast multipurpose detector response simulation
  - $10^2 \sim 10^3$  faster than the fully GEANT-based simulations
  - Sufficient and widely used for phenomenological studies
- Develop dedicated PID modules (dN/dx and TOF) and perform quick physics studies



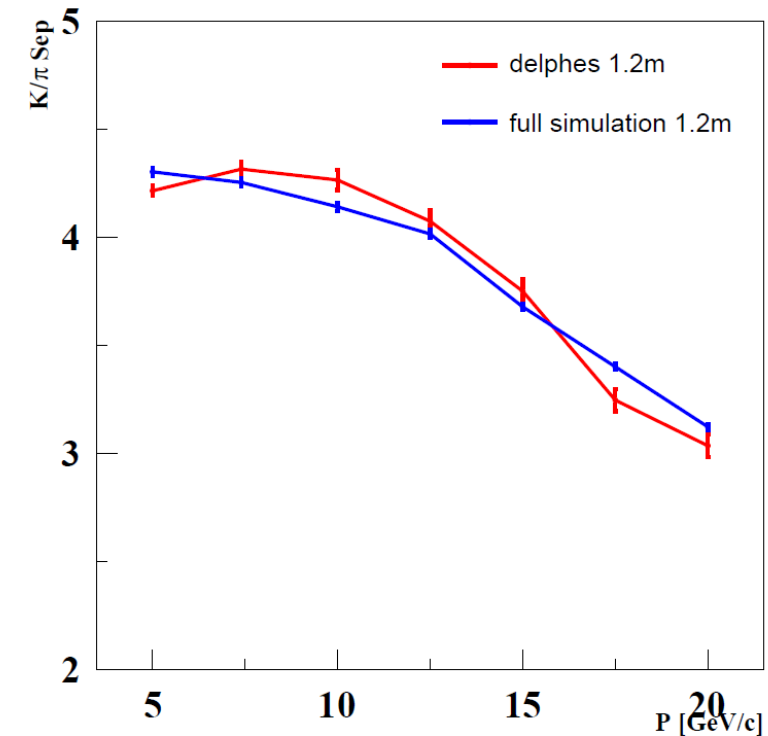
*J. High Energ. Phys.* **2014**, 57 (2014)

# PID modules implementation

- **dN/dx parameterization from full simulation**
  - $dN/dx_{\text{mean}}$  vs.  $\beta\gamma$  and  $\cos\theta$
  - $dN/dx_{\text{sigma}}$  vs.  $\beta\gamma$  and  $\cos\theta$
- **TOF parameterization by assuming a resolution of 30 ps**



$K/\pi$  separation power



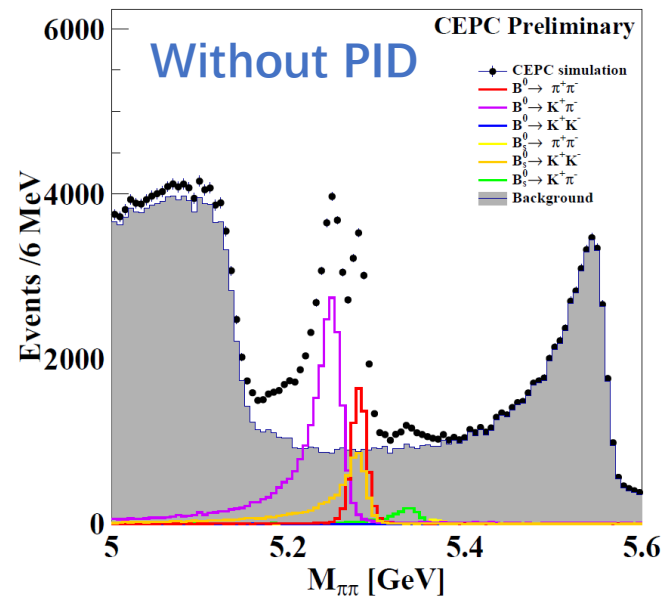
**Good consistent to full simulation**

# Study of $B_{(s)}^0 \rightarrow h^+ h'^-$

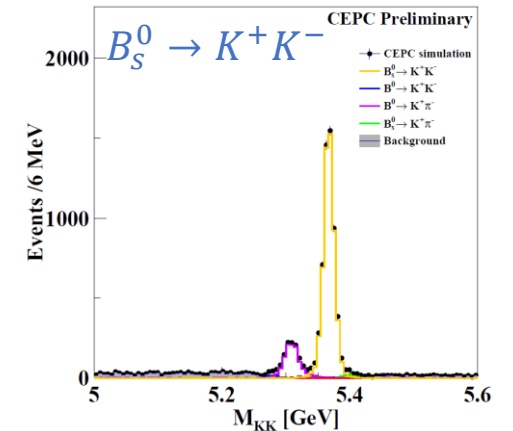
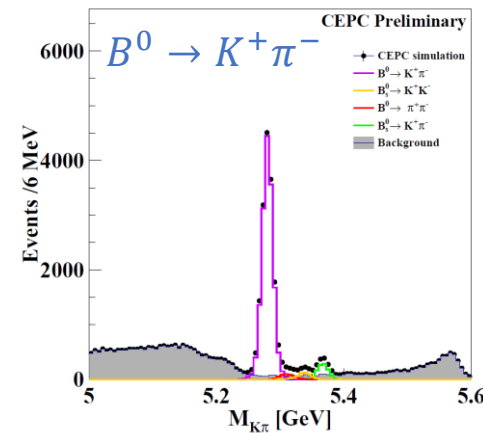
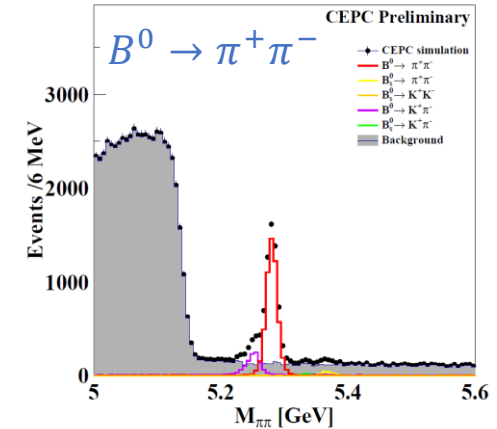
## ■ Motivation

- Rich physics programs in  $B_{(s)}^0 \rightarrow h^+ h'^-$  decays
  - Time-dependent asymmetry, direct CP violation, lifetime measurement, ...
- Good test bed to study impact of PID in flavor physics
- Explore physics potential of Tera-Z

- **Significantly improved SNR with PID**
- More detailed studies ongoing



With PID





# Summary

## ■ **Baseline DC design with full simulation**

- PID performance: Close to  $3\sigma$  K/ $\pi$  separation at 20 GeV/c for 1m track length
- Mechanical stability: Stable with FEM simulations

## ■ **Recent efforts on cluster counting feasibility**

- Deep learning algorithms: Models for both simulated and experimental samples, outperform traditional algorithms
- Prototype experiment: Improved setup and new preamplifier, show potential for cluster counting; Collaboration on beam tests
- Delphes fast simulation: PID performance consistent to full simulation, improved signal sensitivity with PID in physics channels

## ■ **Future works**

- Further optimize the design
- Optimize deep learning algorithm, prepare papers
- Test beam data analysis
- More prototype experiments

# Backup

# Requirements of detector and key technologies

Sub-detector	Key technology	Key Specifications
Silicon vertex detector	Spatial resolution and materials	$\sigma_{r\phi} \sim 3 \mu\text{m}, X/X_0 < 0.15\%$ (per layer)
Silicon tracker	Large-area silicon detector	$\sigma\left(\frac{1}{p_T}\right) \sim 2 \times 10^{-5} \oplus \frac{1 \times 10^{-3}}{p \times \sin^{3/2} \theta} (\text{GeV}^{-1})$
TPC/Drift Chamber	Precise dE/dx (dN/dx) measurement	Relative uncertainty 2%
Time of Flight detector	Large-area silicon timing detector	$\sigma(t) \sim 30 \text{ ps}$
Electromagnetic Calorimeter	High granularity 4D crystal calorimeter	EM energy resolution $\sim 3\%/\sqrt{E(\text{GeV})}$ Granularity $\sim 2 \times 2 \times 2 \text{ cm}^3$
Magnet system	Ultra-thin High temperature Superconducting magnet	Magnet field 2 – 3 T Material budget $< 1.5X_0$ Thickness $< 150 \text{ mm}$
Hadron calorimeter	Scintillating glass Hadron calorimeter	Support PFA jet reconstruction Single hadron $\sigma_E^{had} \sim 40\%/\sqrt{E(\text{GeV})}$ Jet $\sigma_E^{jet} \sim 30\%/\sqrt{E(\text{GeV})}$

# Analytical tracking resolution calculation

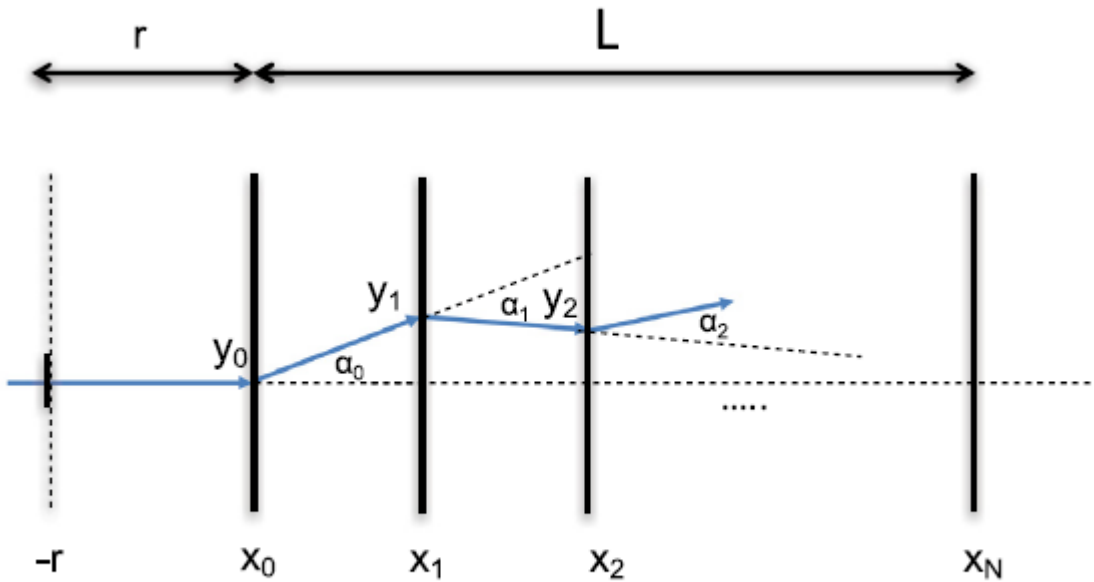


Fig. 2. Effect of multiple scattering in the different detector planes.

Covariance calculation considered  
position resolution and multiple scattering

$$\text{Least square: } \chi^2 = (\mathbf{y} - \mathbf{G}\mathbf{a})^T \mathbf{C}_y^{-1} (\mathbf{y} - \mathbf{G}\mathbf{a})$$

$$\text{Covariance of 5-parameters : } \mathbf{C}_a = (\mathbf{G}^T \mathbf{C}_y^{-1} \mathbf{G})^{-1}$$

$$G_{mn} = \frac{\partial F(a, x_n)}{\partial a_m}$$

Helix:

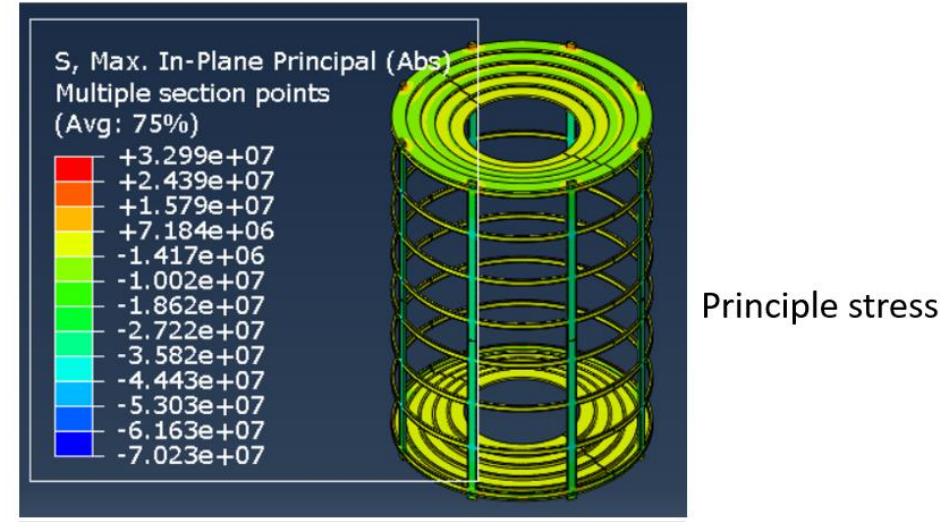
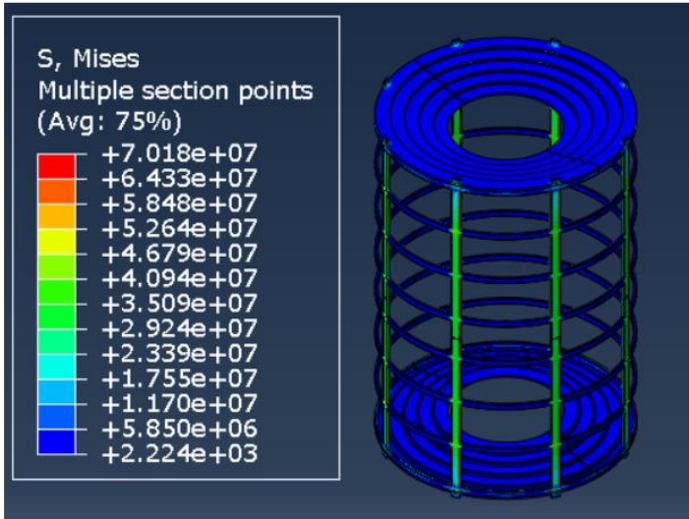
$$x = d_0 \cos \phi + R[\cos \phi - \cos(\phi + \varphi)]$$

$$y = d_0 \sin \phi + R[\sin \phi - \sin(\phi + \varphi)]$$

$$z = z_0 - R \tan \lambda \cdot \varphi$$

Ref : *Nuclear Inst. and Methods in Physics Research, A 910 (2018) 127–132*

# Mechanical study: stability



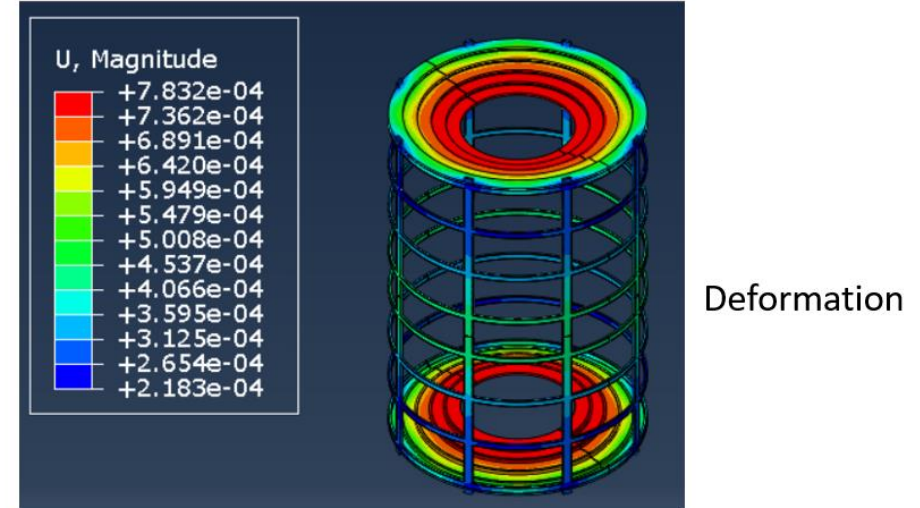
**Finite element model**—wire tension + weight loads  
(supported by eight blocks at each endplate)

Mises stress: 70MPa

Principal stress : 33MPa

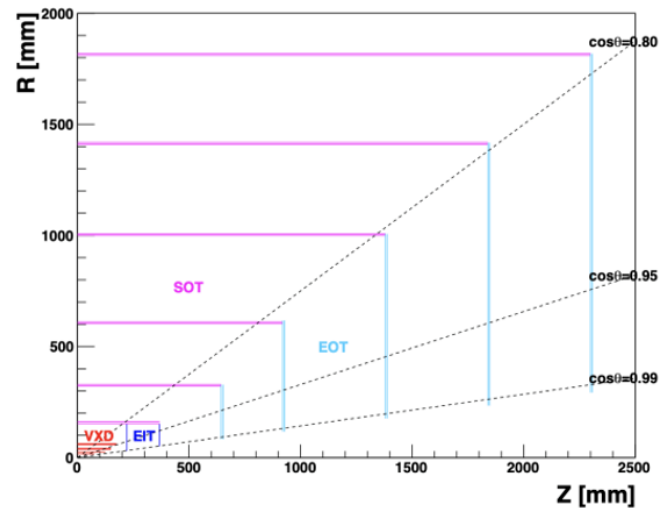
Deformation: 0.8mm

Buckling coefficient: 17.2 , it is safe

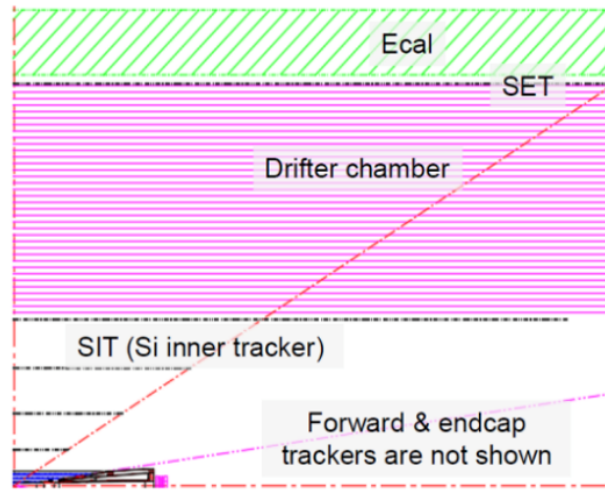


The support structure is stable, and the deformation is acceptable

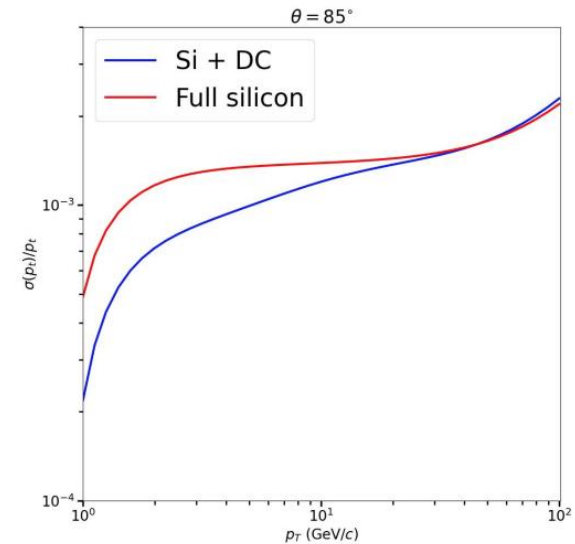
# Momentum resolution



Full Silicon Tracker (FST)  
from CDR



Si + DC



Momentum resolution in low momentum range is benefited with Si+DC