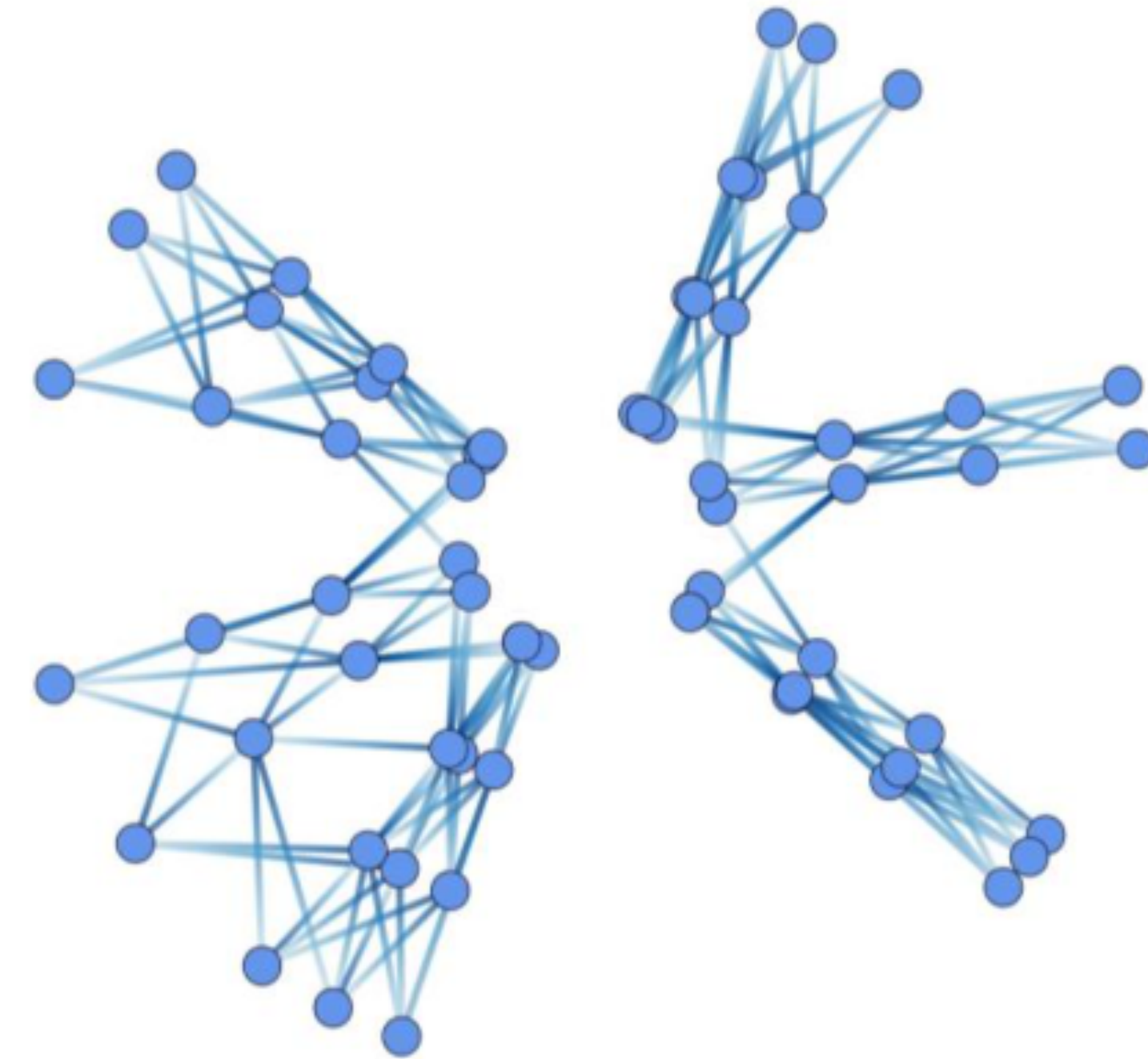


# Energy-efficient graph-based algorithm for tracking at the HL-LHC

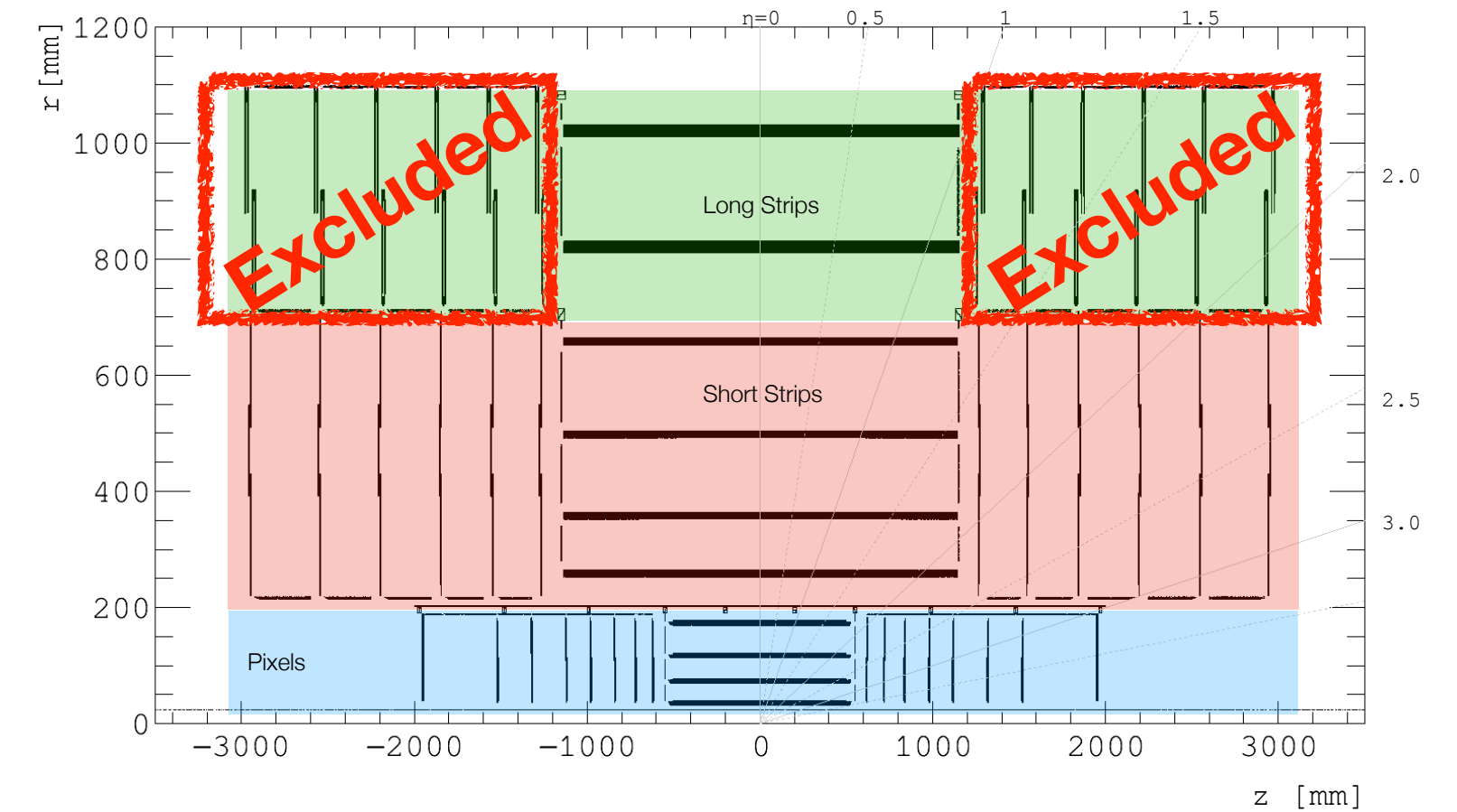


Heberth Torres (L2I Toulouse)  
CHEP conference  
24/10/2024

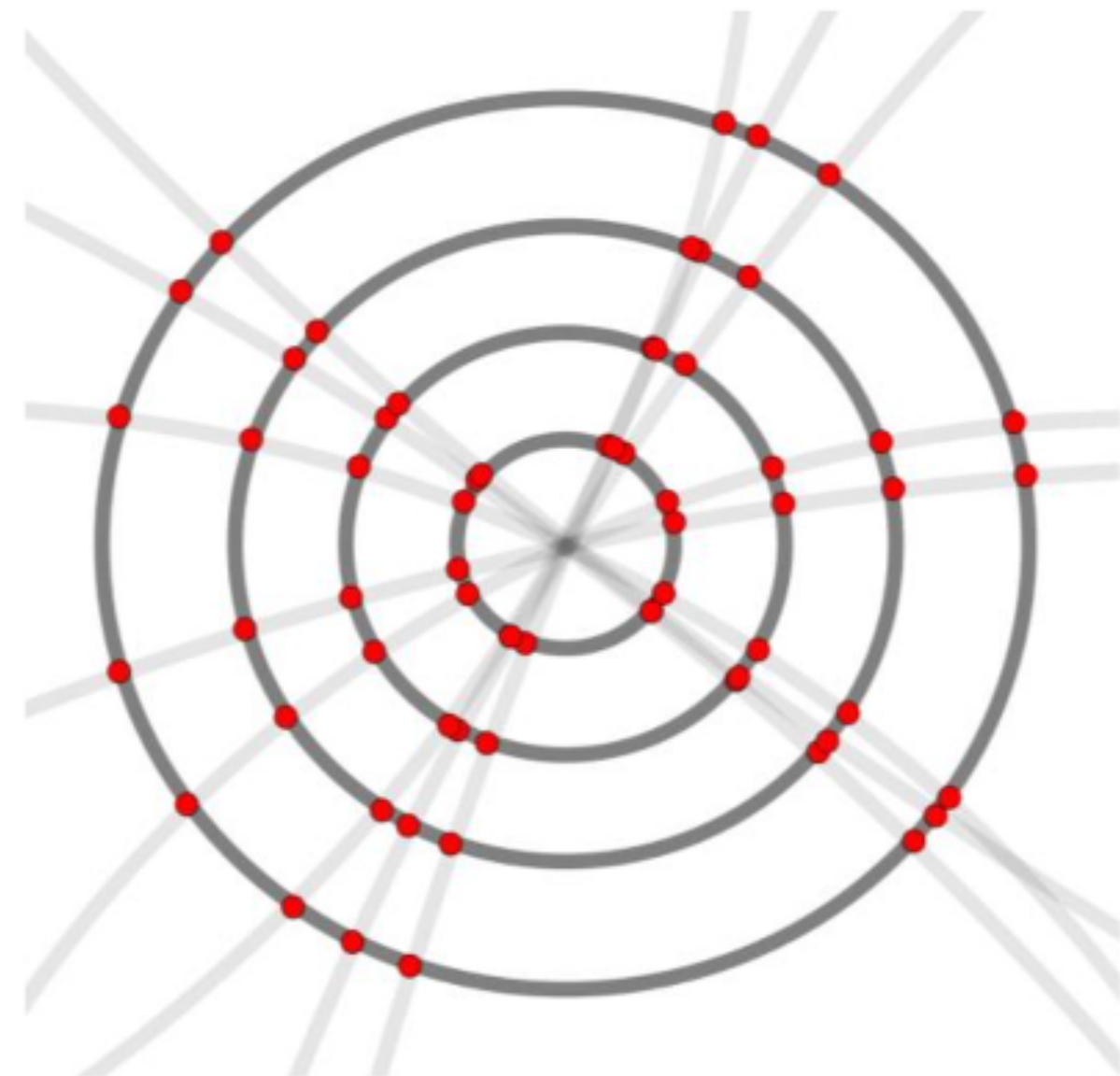


# Introduction

- **Target: Track finding:** Identifying hits belonging to each track.  
(Fit to extract track physics parameters: standard  $\chi^2$  fit.)
- **Status:** Work in progress. Today showing preliminary versions of some parts.  
(Still need to propagate the barrel long strip treatment to the endcap long strips.)
- Sample prepared with ACTS (v36.3.0): Pythia8  $t\bar{t}$  samples,  $\langle\mu\rangle = 200$ , OpenDataDetector with Geant4 sim.
- Working with space-points from ACTS,  
getting on average  $\sim 110\text{K}$  spacepoints per event (simplified setup compared e.g. to ATLAS ITk with 300K/evt.),  
currently excluding the endcap long strips (work in progress to take them into account).
- Target particles: Primary particles,  $p_T > 1 \text{ GeV}$ ,  $|\eta| < 3$ , at least 3 hits, excluding electrons.
- **Execution time in one CPU core:  $< 0.5 \text{ s}$**  (std. cluster CPU at CC-IN2P3-Lyon).  
[Quoted values estimated with one “Asimov event” (i.e. number of space-points = average = 110K)].  
Algorithm highly parallelizable for GPU, which should reduce time by factor  $> 10$ .

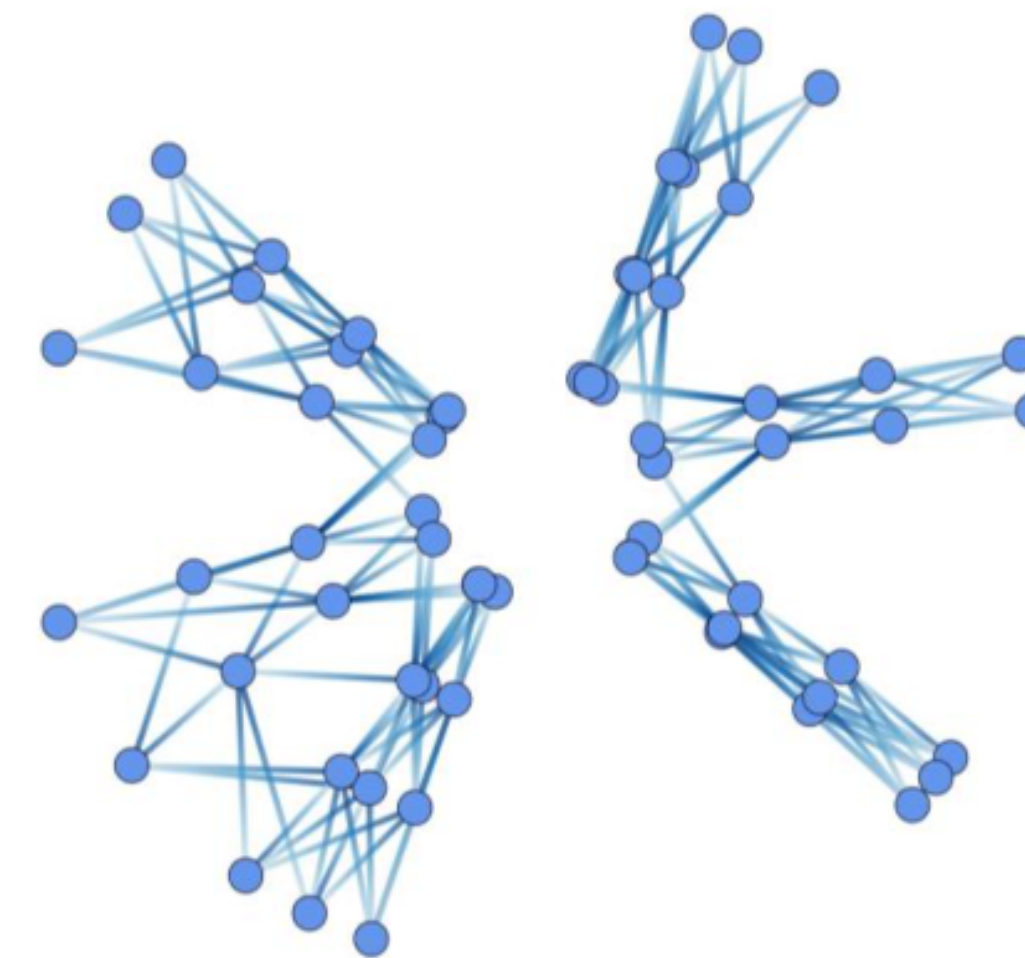


# GNN4ITk graph definition



Hits

- Hit or space point in ITk



Graph

- **Graph:** Set of nodes and edges
- **Node:** Hit or space point
- **Edge:** Hypothesis: The two associated nodes represent two successive **hits of the same particle**

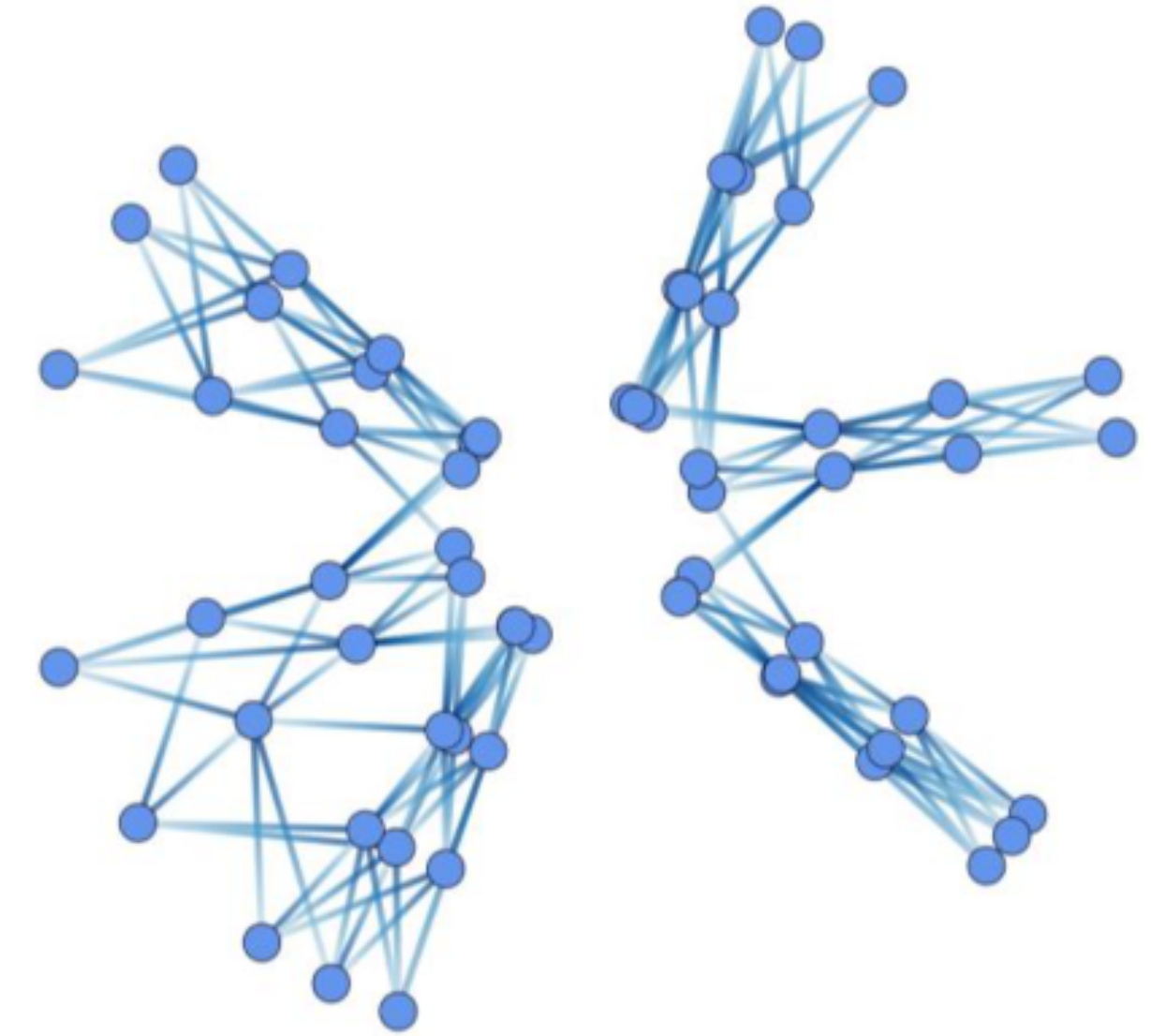
# Algorithm overview

1. Graph construction
2. Refinement of strip edges
3. Triplet construction
4. Graph segmentation

Output: Loose proto-tracks with high hit efficiency

5. Final refinement step, still to add

Either a GNN, or removing outlier with  $\chi^2$  fit, or ...



# 1. Graph construction

## 2D $(r, z)$ Module Map + $\Delta\phi$ cut

Modified version of the Module Map  
(C. Biscarat et al., C. Rougier et al.)

- **2D Module Map:** Omitting the  $\phi$  coordinate, built a **lookup table of possible “module ring” pair connections** using MC sample.

Have ~270 modules rings and ~1000 connections.

- Graph construction:

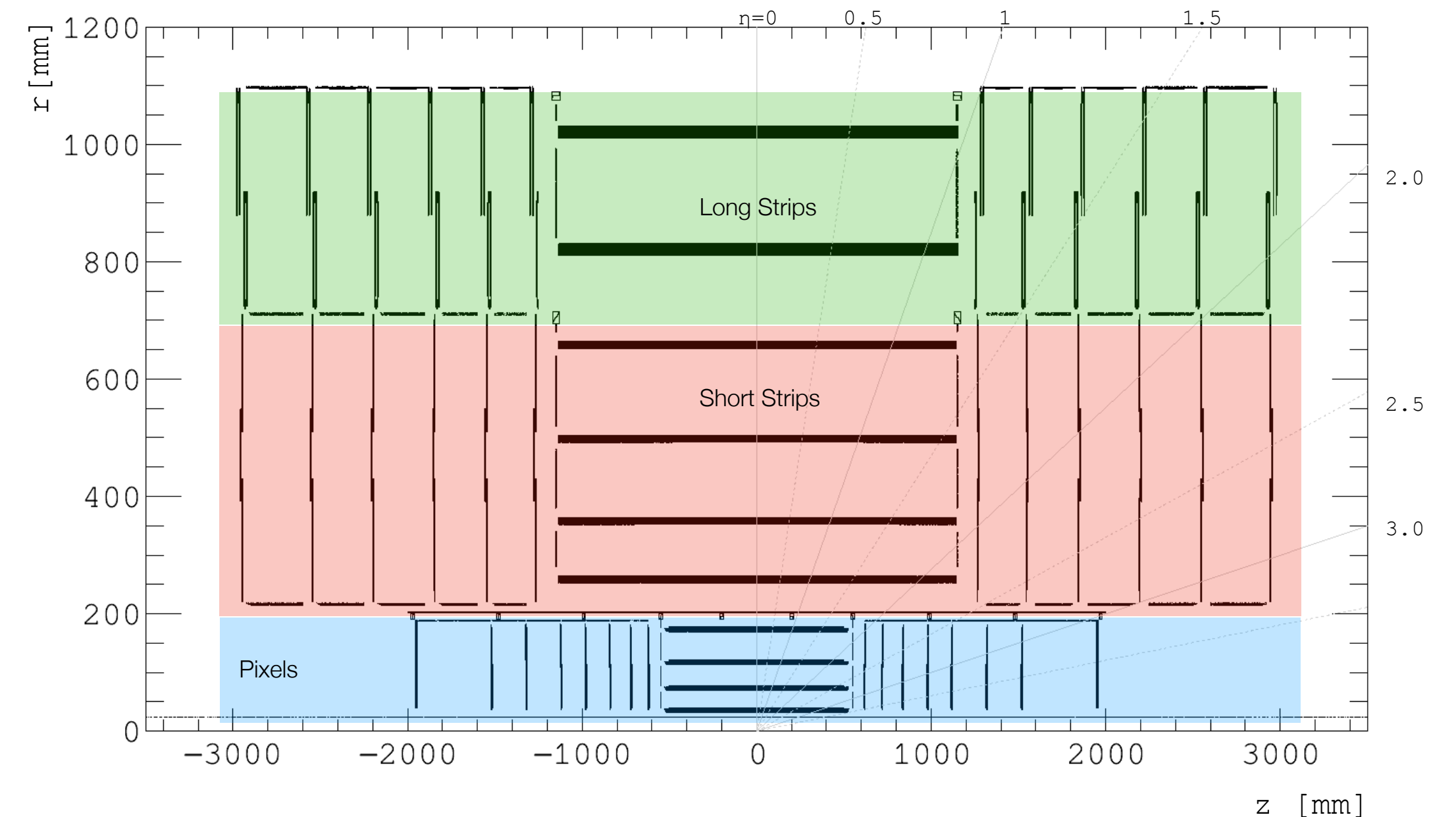
- Build edges (hit pairs) based on 2D MM,
- considering only hit pairs within a  $\Delta\phi$  window
- and apply a  $z_0$  cut.

- Advantages: For MM training, enhances MC statistics by a factor equal to number of  $\phi$  modules per ring, speed up production using directly hit  $\phi$  instead of module granularity.

- Execution time: **210 ms** (graph construction + strip edge treatment).

Algorithm speed up: First organize hits on groups per module and consider only relevant group pairs.

Hits are  $\phi$ -sorted per group, which is time convenient for the  $\Delta\phi$  window cut.

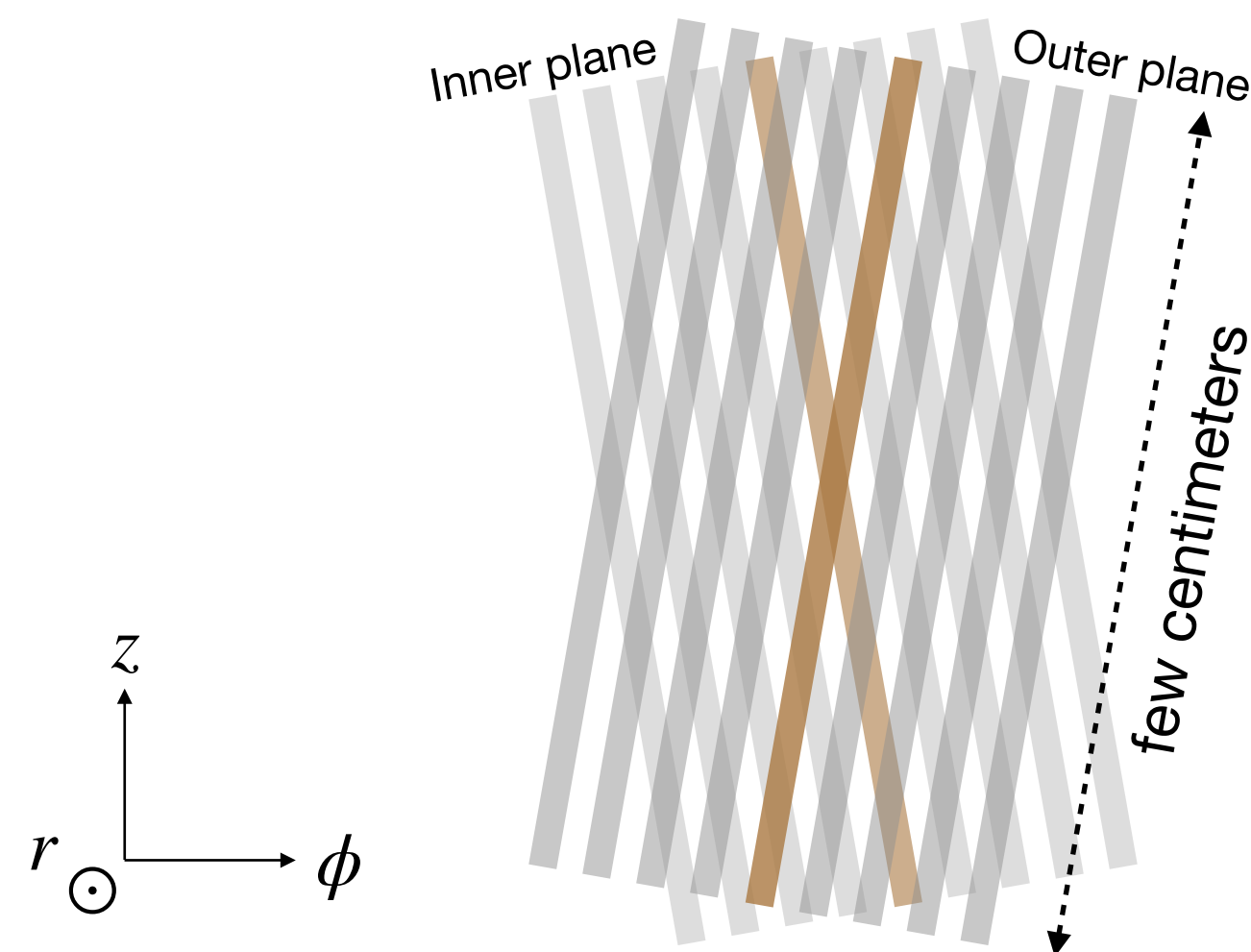
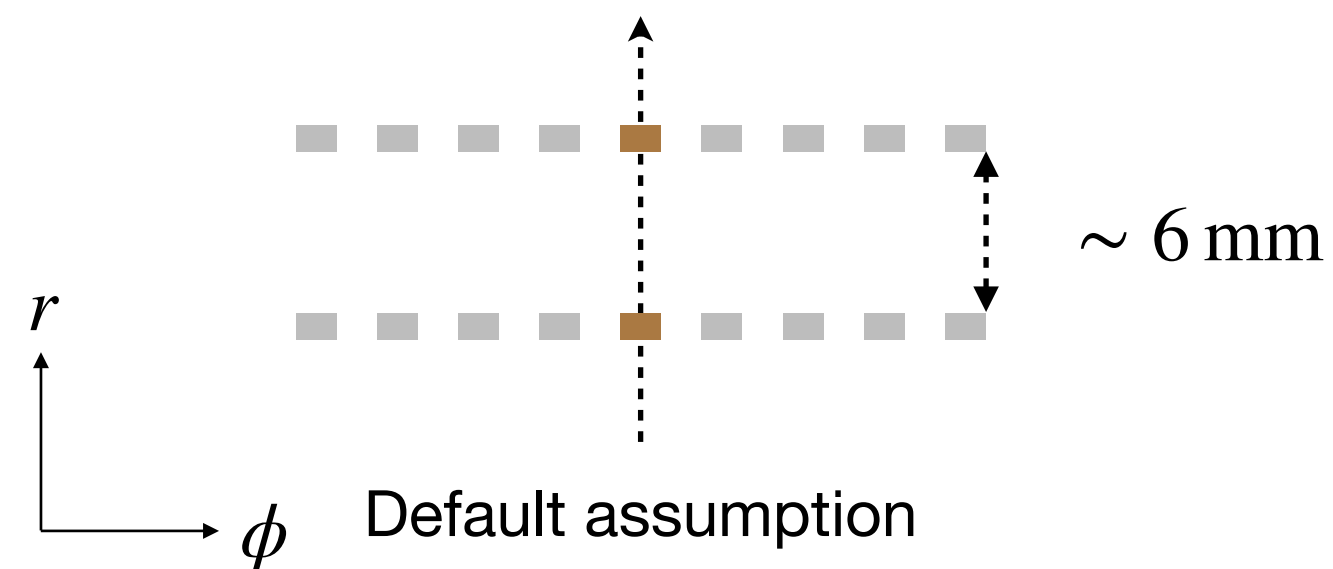


OpenDataDetector

# 2. Strip edge refinement

## Calculation of strip-hit position

Some possible options:



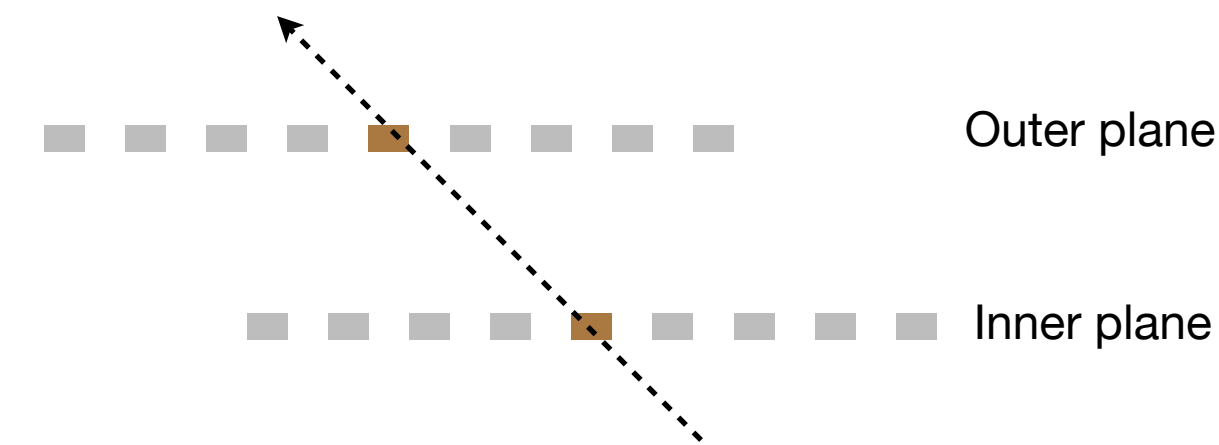
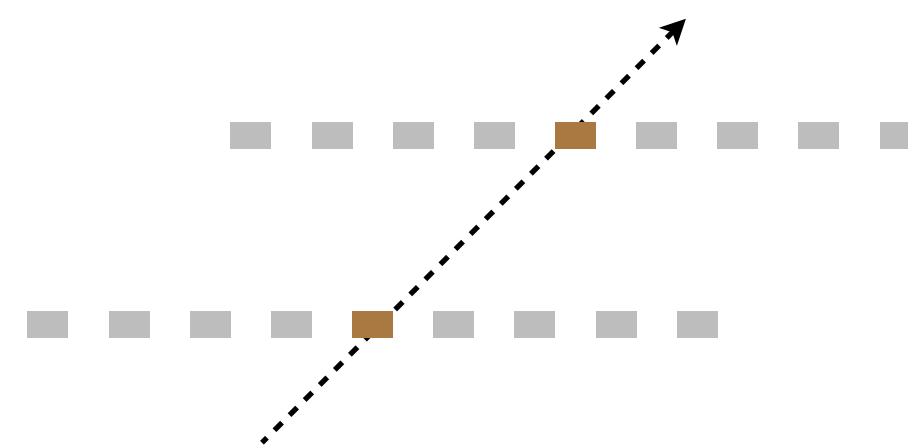
Double strip sensor planes in barrel module

Two strips fired by a particle in brown

Where did the particle hit the inner plane?

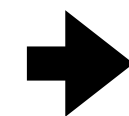
Note: This strip edge refinement:

- Barrel done ✓
- Endcap still to do

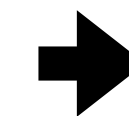


Default hits:

Poor  $\sigma_z \sim$  centimeters



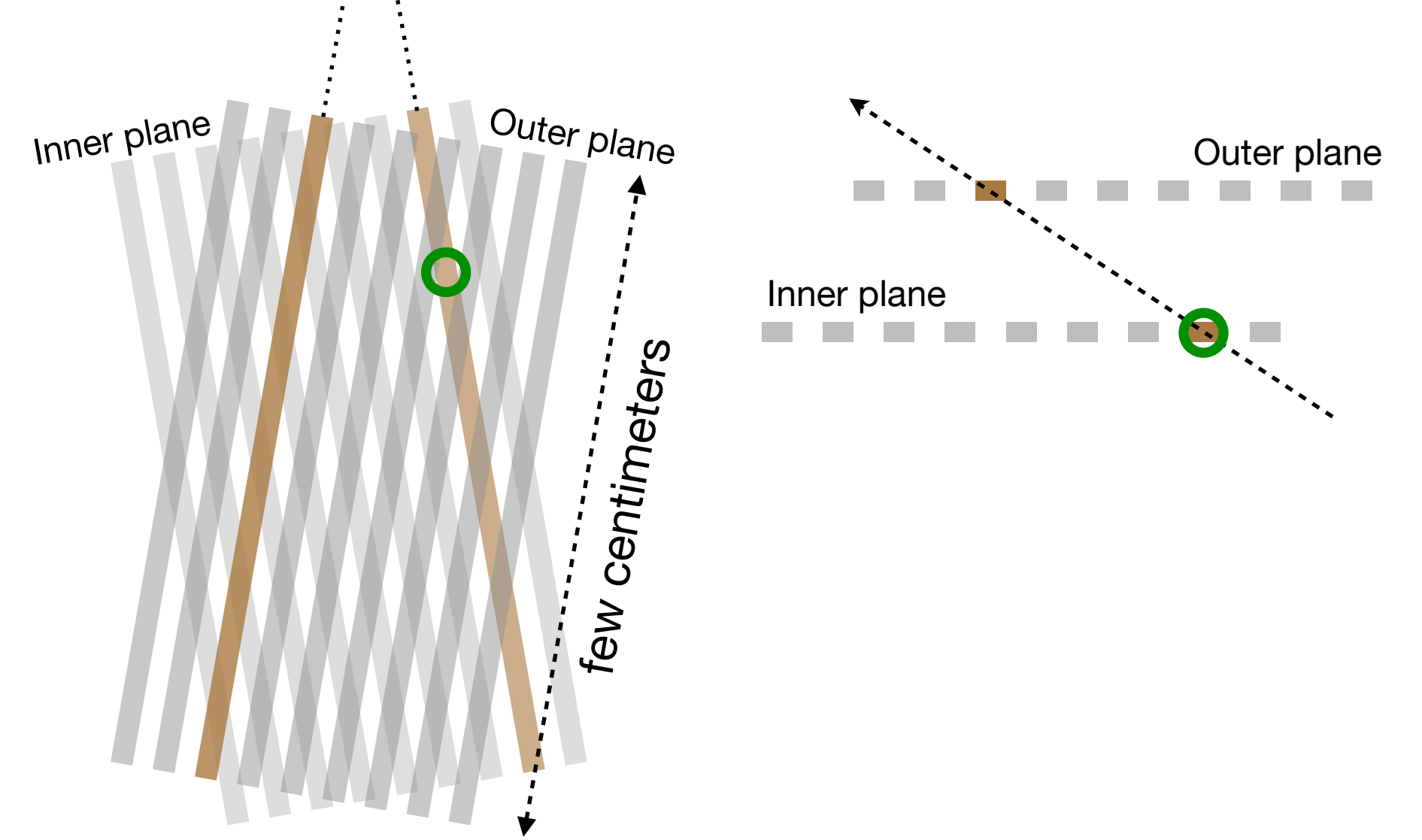
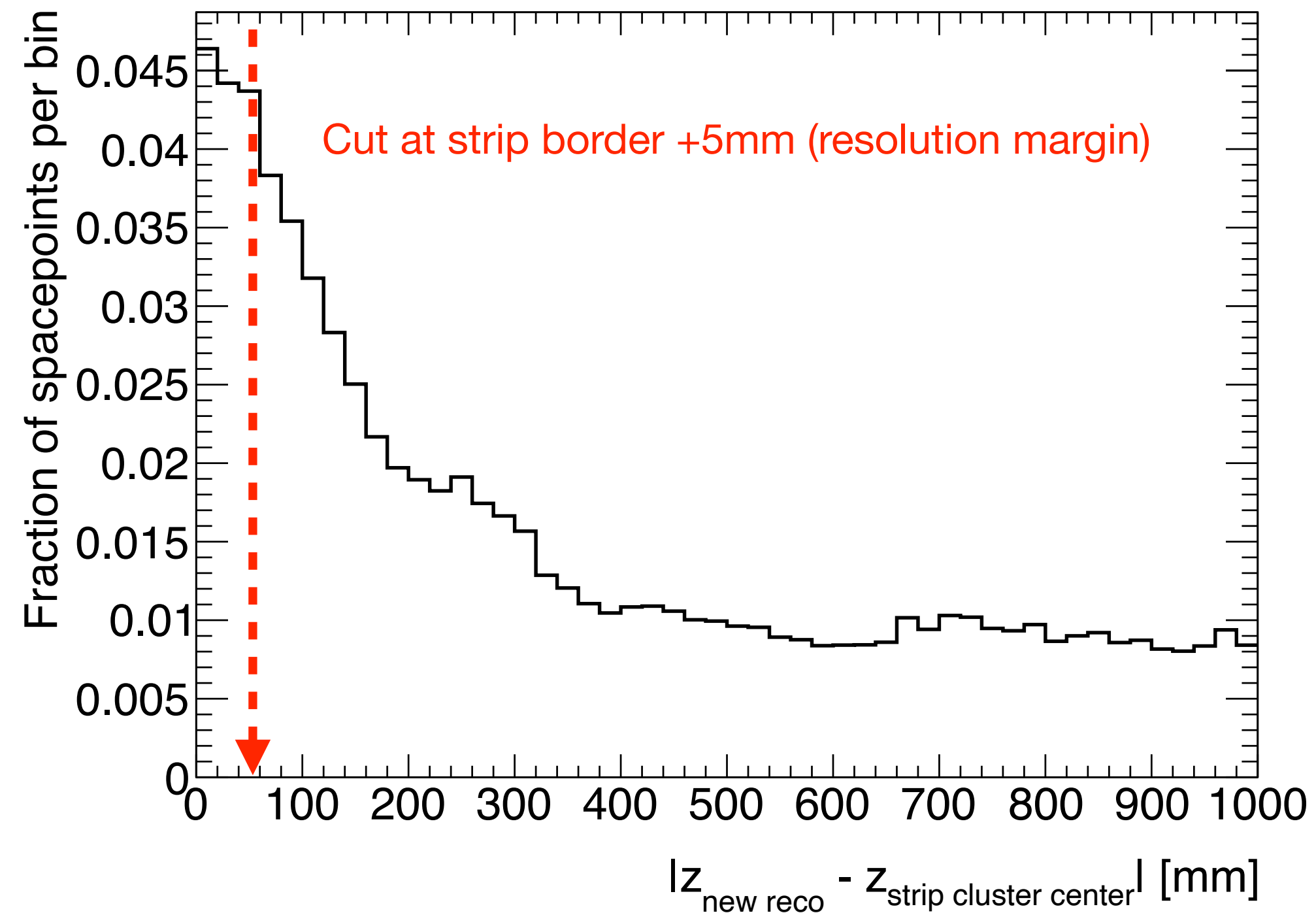
Use hit pair info to estimate the **particle's direction** when traversing the strip planes



**New hits** re-calculated taking into account particle's direction  
→ Improve to  $\sigma_z \sim 2$  mm

# 2. Strip edge refinement

Calculated strip spacepoints  
for all considered strip edges (dominated by fakes):  
Randomly wrong direction → nonsensical spacepoints out of strip length



**Removal of inconsistent strip edges** by requesting

$$\left| z_{\text{rel.}}^{\text{hit}} \right| < z_{\text{rel.}}^{\text{strip border}} + 5\text{mm}$$

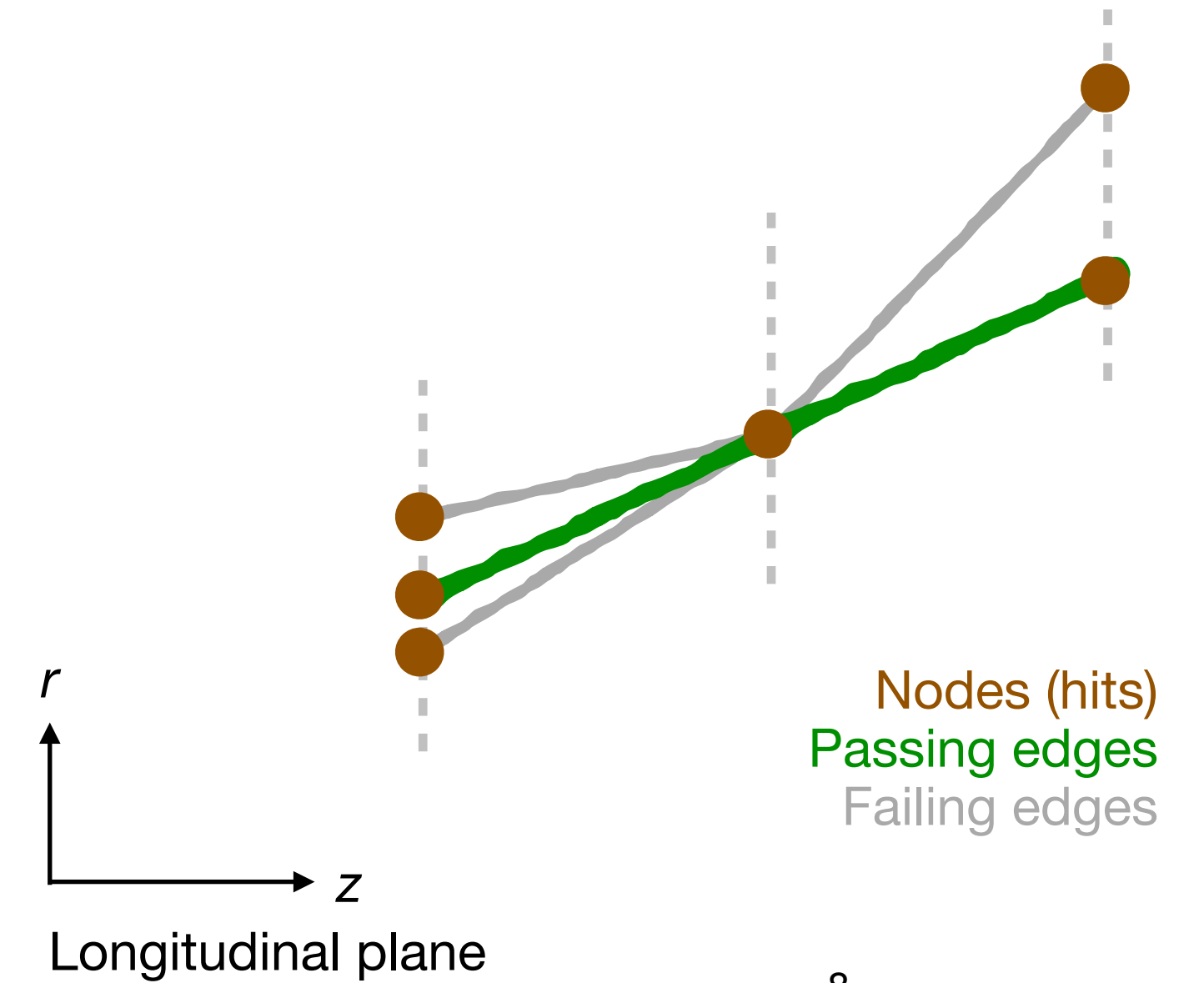
removes ~80% of fake strip edges,  
with true edge inefficiency < 2‰

Execution time: **210 ms**

(graph construction + strip edge treatment).

# 3. Triplet construction

- At each node, compare each incoming with each outgoing edge. If they are compatible, build up a hit triplet.
- Edge compatibility tested based on two edge features:  $\eta$  direction and an estimator of  $q/p_T$  (see next slide).
- For each MM module pair  $\sigma_\eta$  and  $\sigma_{q/p_T}$  are pre-estimated, as well as a calibration factor for  $q/p_T$  to take into account the magnetic field inhomogeneity.
- For each pair of edges, compute  $\chi_i = \Delta x_i / \sigma_i$  (with  $i = \eta, q/p_T$ ).
- Build a triplet if  $\chi^2 = \chi_\eta^2 + \chi_{q/p_T}^2 < \chi_{\text{cut}}^2$ .  
Edges not part of any triplet are discarded.
- Execution time: 130 ms





# 3. Triplet construction

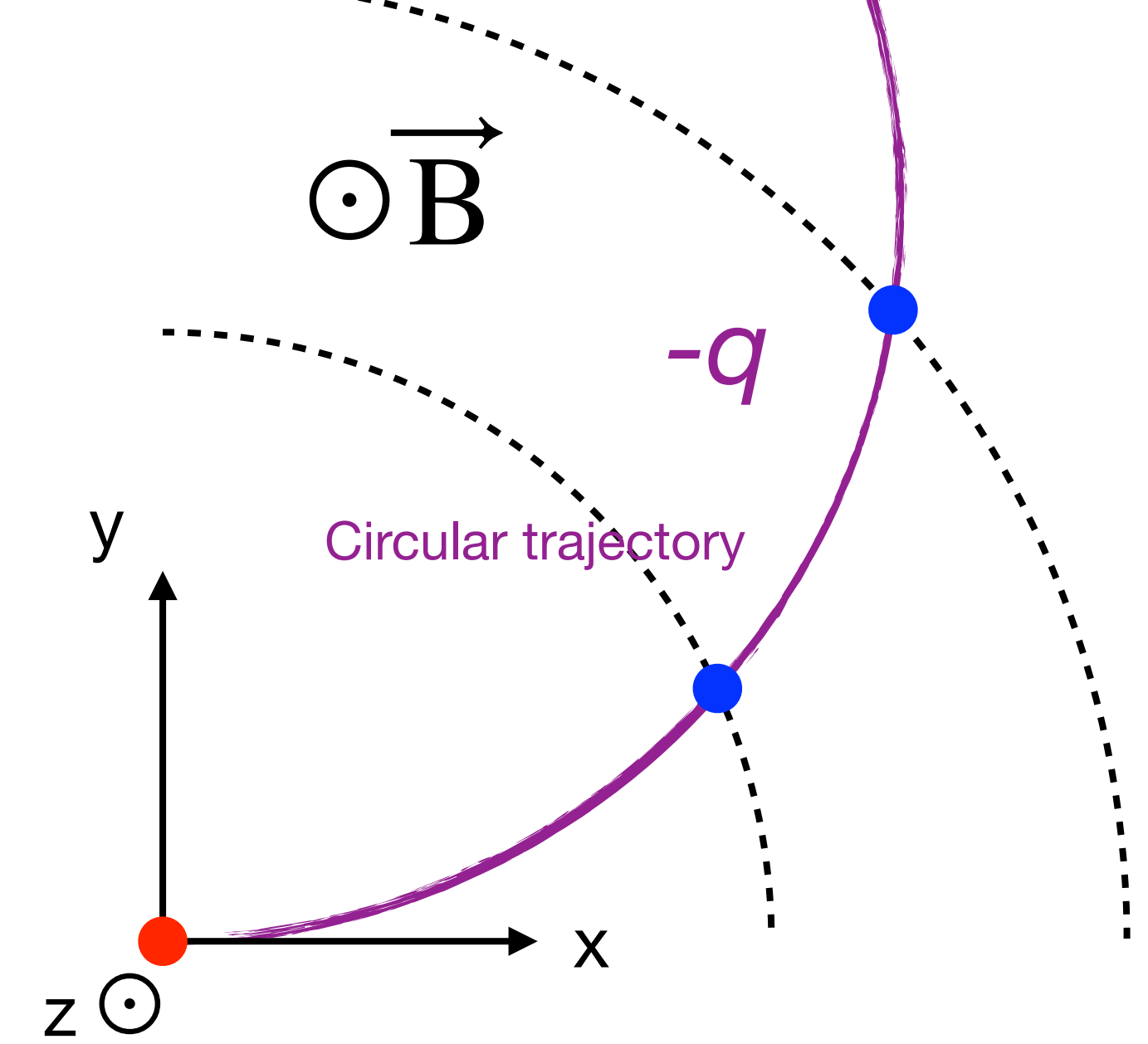
$q/p_T$

- For each edge, assuming the particles to have  $d_0 = 0$  gives us a 3rd space point in addition to the hit pair, a triplet.
- With a triplet and assuming an **homogeneous magnetic field** (circular trajectory in the transverse plane), we get

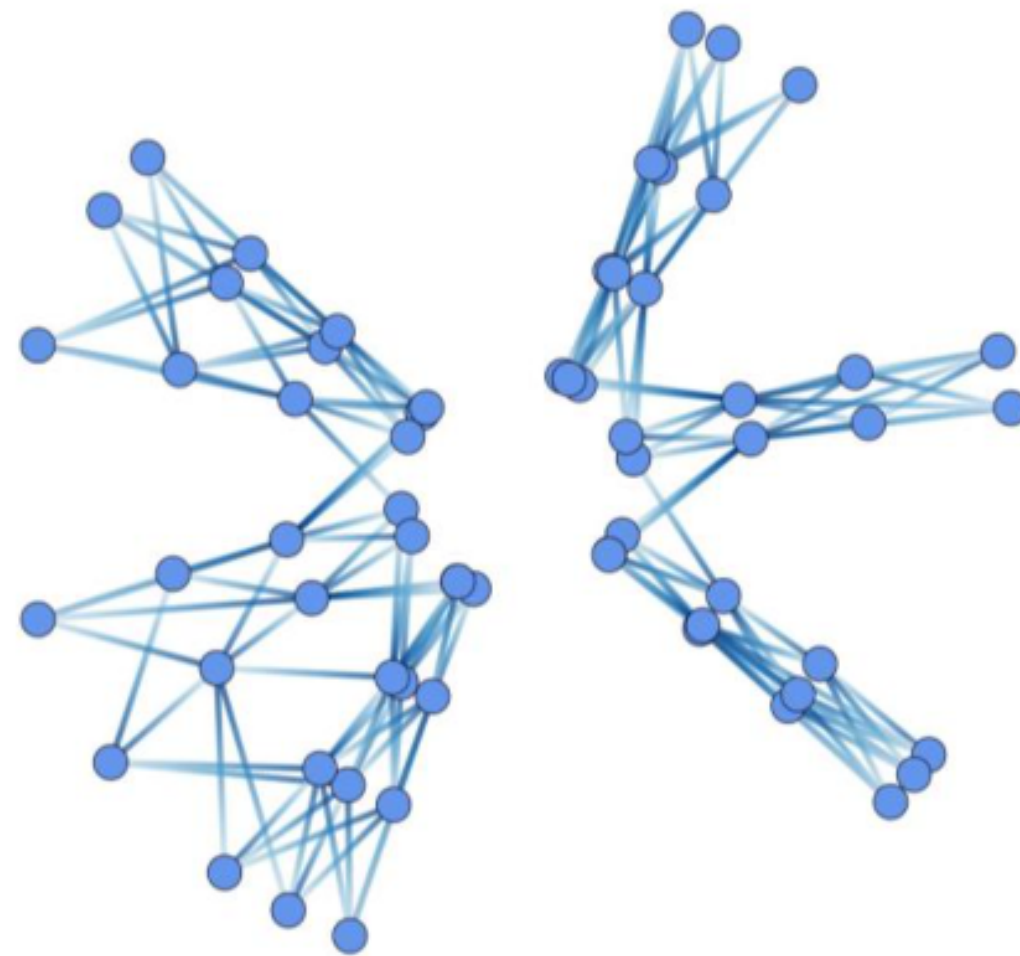
$$q/p_T = -\frac{\sin \Delta\phi}{0.3 d_T},$$

where  $d_T$  : hit separation in the transverse plane.

- A calibration factor is applied to take into account the inhomogeneous magnetic field.
- The actual  $d_0$  distribution of the target particles is taken into account by the uncertainty  $\sigma_{q/p_T}$ , specially for small  $r$  values.

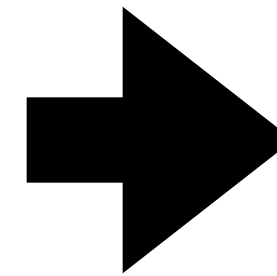


# 4. Graph segmentation



Initial graph definition

- **Graph:** Set of nodes and edges
- **Node:** Hit or space point
- **Edge:** Hypothesis: The two associated nodes represent two successive **hits of the same particle**

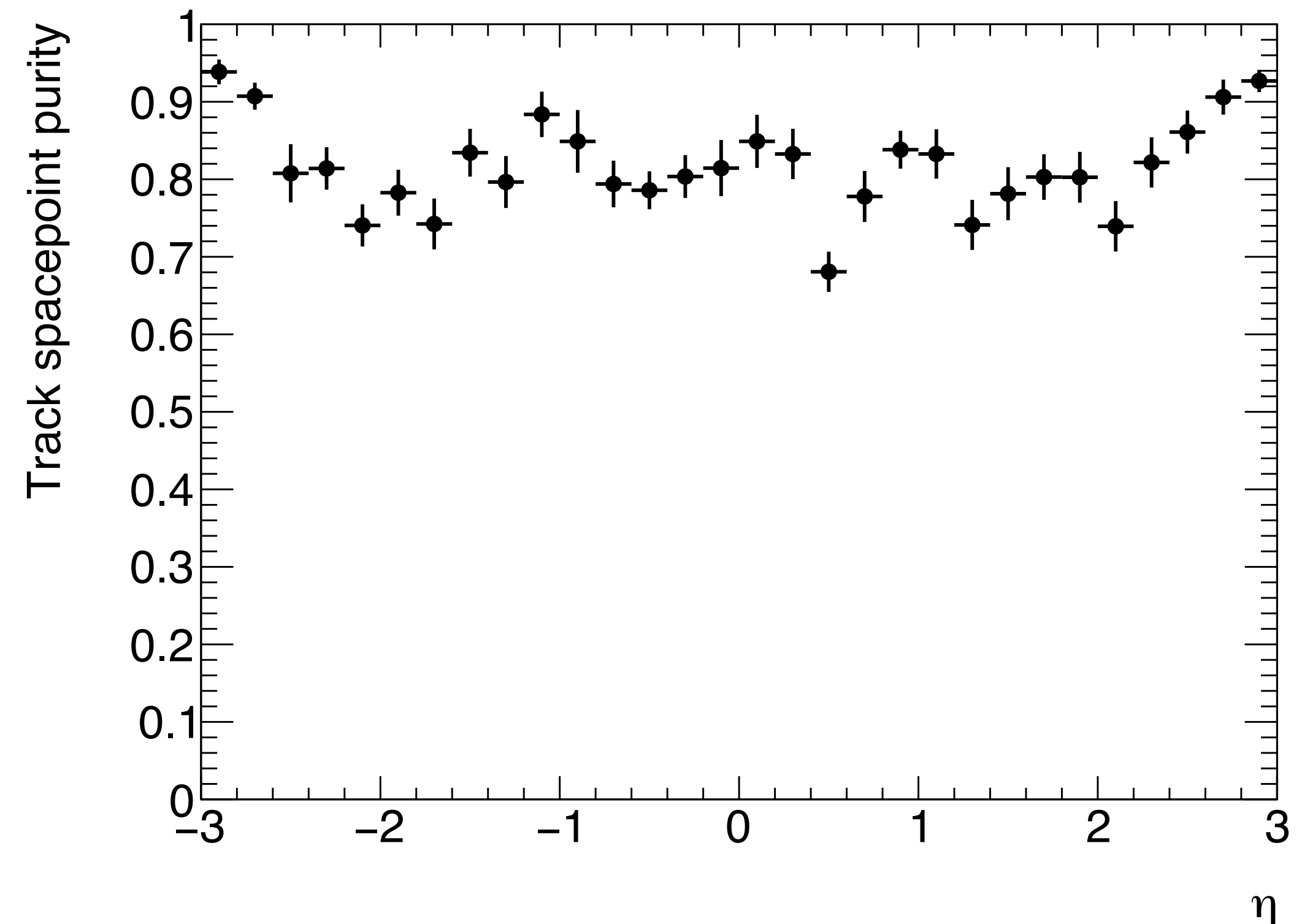


- Change of graph definition:
  - **Node:** Hit pair (previous edges).
  - **Edge:** Hit triplet, involving two hit pairs.
- A Connected Component algorithm is applied (Z. Zhang's algorithm).
- Each group of connected hit pairs represents a proto-track, which includes all hits involved in the pairs.
- Note: Each individual hit can belong to more than one proto-track.
- Execution time: **30 ms**

# Performance result example

## for these loose proto-tracks

- For a triplet cut  $\chi^2_{\text{triplet}} < 9$   
( $\chi^2$  with ndf = 2 tail prob. = 1%)
- Spacepoint purity vs.  $\eta$  for standard matching (> 50% purity) tracks  $\longrightarrow$
- Tracking efficiency for std. matching: 99%.
- Requiring 100% spacepoint efficiency, tracking efficiency: 93%.



# Summary

- Have an energy-efficient graph-based algorithm for track finding.  
Takes **370 ms** in one CPU core for this ODD sample, and can be easily parallelized for GPU.
- To do list:
  - Include the endcap long strips (same method as for barrel).
  - Test different options for the final proto-track purity refinement step, for example:
    - Feed output graphs into a GNN, either as one graph per event or as proto-track mini-graphs.
    - Or feed those loose proto-tracks into the  $\chi^2$  fit and remove outliers, or ...
  - Check computing and physics performance with an ATLAS ITk sample (a more realistic sample).
  - Plan to implement this algorithm in ACTS, to make it available to different tracking chains.