

# Heavy Quark Fragmentation to NNLO+NNLL Accuracy in Perturbative QCD in $e^+e^-$ Collisions

**Milan Christmas Meeting - 22/12/2023**



**Universität  
Zürich<sup>UZH</sup>**



**Swiss National  
Science Foundation**

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in collaboration with  
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# Introduction

## Motivation

- How well can we describe **hadronisation** at large- $p_T$  ?
- “Stress-test” of **perturbative** QCD  $\rightarrow$  improve knowledge of **non-perturbative** physics
- Fragmentation functions are **universal** (process independent)
  - $e^+e^-$  results useful for **LHC** phenomenology
- Growing interest in  $e^+e^-$  **fragmentation**
  - NLO + NLL phenomenology available from 2005 [Cacciari et al.\_0510032]
  - **Next-to-next** frontier started recently
- Work based on [2312.12519]

# Theory overview

## Fragmentation function formalism

- Goal: describe fragmentation of heavy quarks into hadrons
- Process:  $e^+e^- \rightarrow V(Q) \rightarrow h(p) + X$
- Tool: QCD perturbative fragmentation function formalism

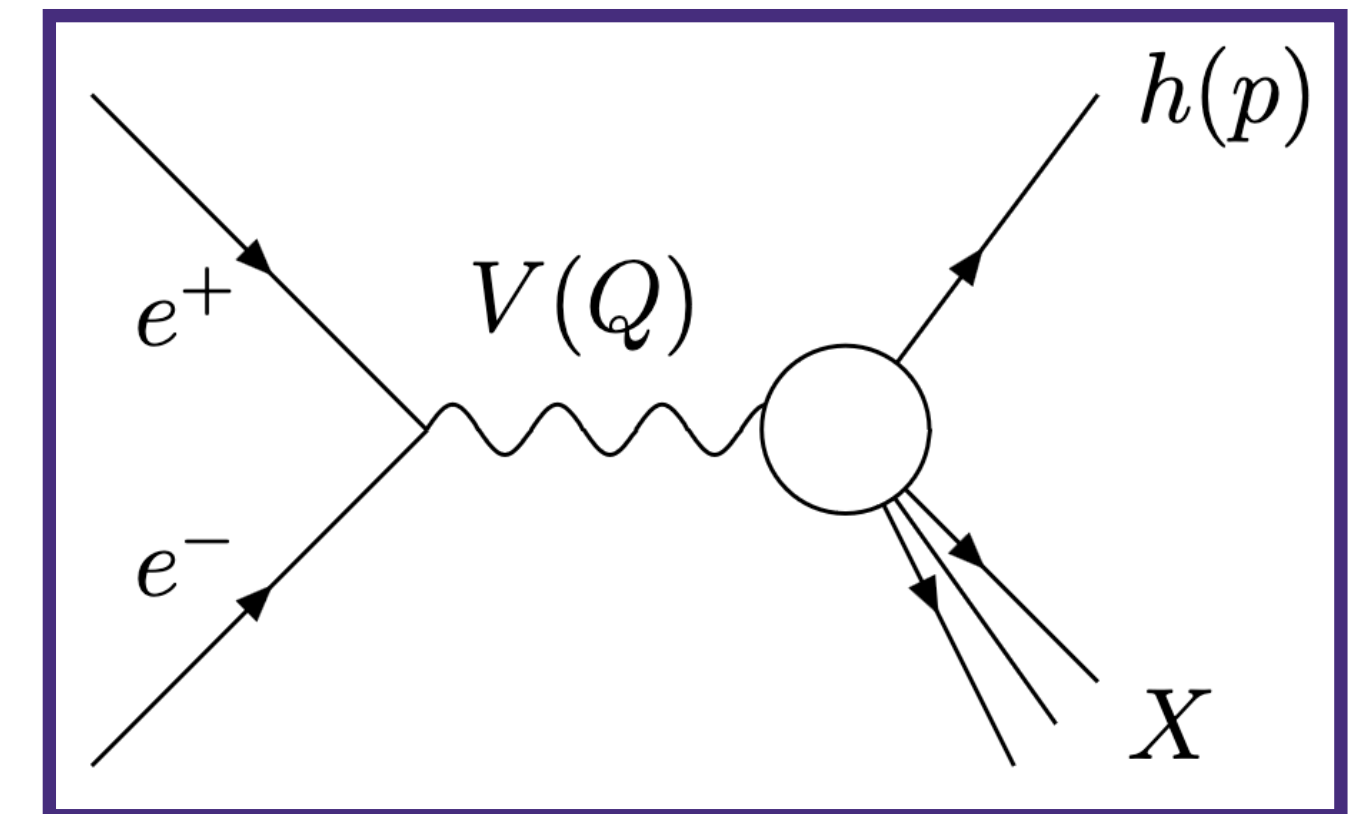
- Factorisation theorem  $\rightarrow$  hadron cross section  $\sigma_h(x, Q)$

$$\sigma_h(x, Q) \simeq \sigma_Q(x, Q, m) \otimes D_{Q \rightarrow h}^{np}(x, \{\text{par}\})$$

- Fully perturbative cross section for heavy quark  $Q$  of mass  $m$

$$\sigma_Q(x, Q, m) = \hat{\sigma}_i(x, Q, \mu_F) \otimes D_{i \rightarrow Q}(x, \mu_F, m) + \mathcal{O}\left(\left(\frac{m}{Q}\right)^p\right) \quad [\text{Mele, Nason}_{1991} \text{ B 361 626}]$$

- Analytically also in Mellin space (convolution  $\rightarrow$  simple product)




$\mu_F$ : factorisation scale

# Theory overview

## Perturbative ingredients

- $$\frac{1}{\sigma_Q^{tot}} \sigma_Q(Q, m) = \frac{1}{\sigma_Q^{tot}} \sigma^{(0)} \sum_{i,j} C_i(Q, \mu, \mu_F) E_{ij}(\mu_F, \mu_{0F}) D_{j \rightarrow Q}(\mu_{0F}, m)$$

- Initial conditions  $D_{j \rightarrow Q}$  @ NNLO [Melnikov, Mitov\_0404143] [Mitov\_0410205] [Maltoni et al.\_2207.10038]
- DGLAP Evolution  $E_{ij}$  with MELA [Bertone et al.\_1501.00494] [Ridolfi et al.\_1911.01975] **MELA** 
- Coefficient functions  $C_i$  @ NNLO [Rijken, van Neerven\_B487 (1997) 233-207] [Blümlein, Ravindran\_0604019] [Mitov, Moch\_0604160]
- Poor behaviour in large- $N$  ( $x \rightarrow 1$ ) region (Sudakov region)
  - Need resummation @ NNLL in initial conditions and coefficient functions [Cacciari, Catani\_0107138] [Aglietti et. al\_0610035] [Maltoni et al.\_2207.10038] [Czakon et al.\_2210.06078]

# Theory overview

## Soft-gluon resummation

- Sudakov-resummed **initial conditions**

- Constant large- $N$  limit of fixed order result & Sudakov form factor

$$D_{Q \rightarrow Q}^{res} = [D_{Q \rightarrow Q}]_c \exp \left[ \ln N g_{ini}^{(1)}(\lambda_0) + g_{ini}^{(2)}(\lambda_0) + \alpha_s g_{ini}^{(3)}(\lambda_0) \right]$$

- Different **matchings** to fixed order result (e.g.  $\log R$ )

$$\log D_{i \rightarrow Q}^{fo+res, \log R, reg} = \log D_{i \rightarrow Q}^{fo} + \log D_{i \rightarrow Q}^{res, reg} - [\log D_{i \rightarrow Q}^{res(,reg)}]_{\alpha_s^p}$$

- **Landau pole** in  $g_{ini}^{(i)}(\lambda_0) : \lambda_0 = 1/2 \rightarrow N_0^L = \exp(1/(2b_0\alpha_s))$

- $N_0^L \sim 7$  for **charm** @ 10.6 GeV &  $N_0^L \sim 32$  for **bottom** @ 91.2 GeV  $\rightarrow$  (many) **prescriptions**

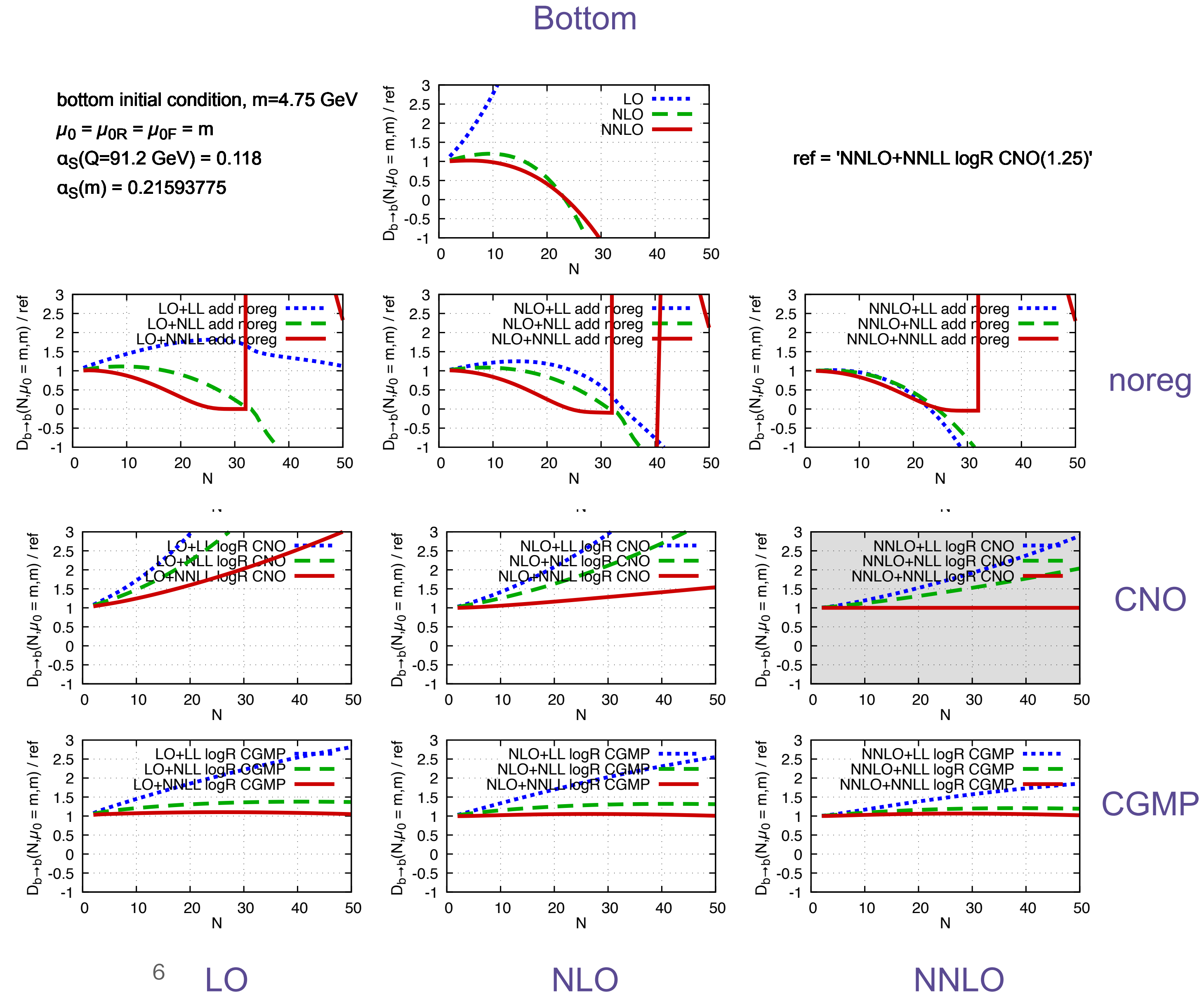
- “**CNO**” (Cacciari-Nason-Oleari): shift in  $N$  [Cacciari et al.\_0510032]

- “**CGMP**” (Czakon-Generet-Mitov-Poncelet): truncation of Sudakov factor [Czakon et al.\_2210.06078]

# Numerical results

## Heavy quark initial condition

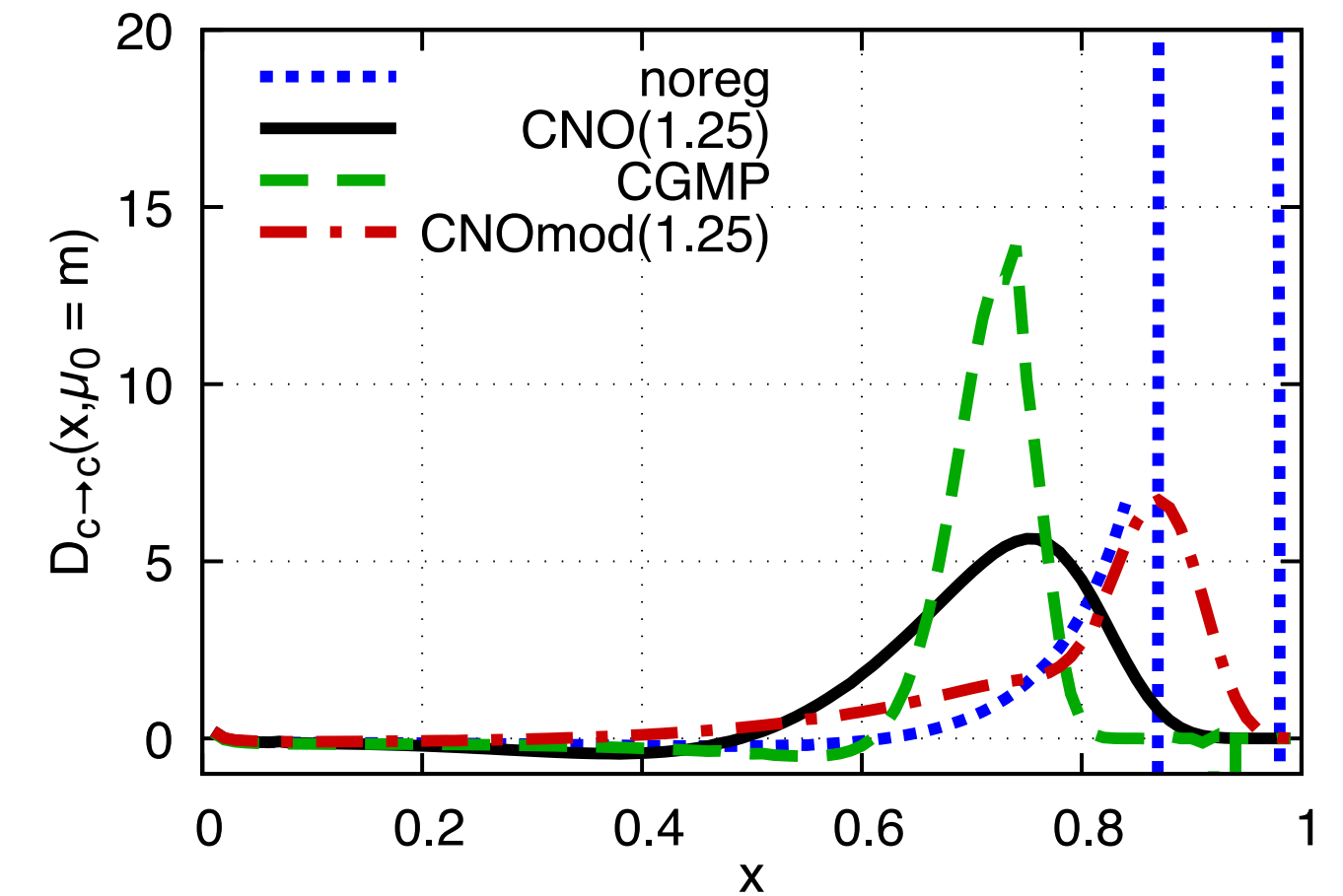
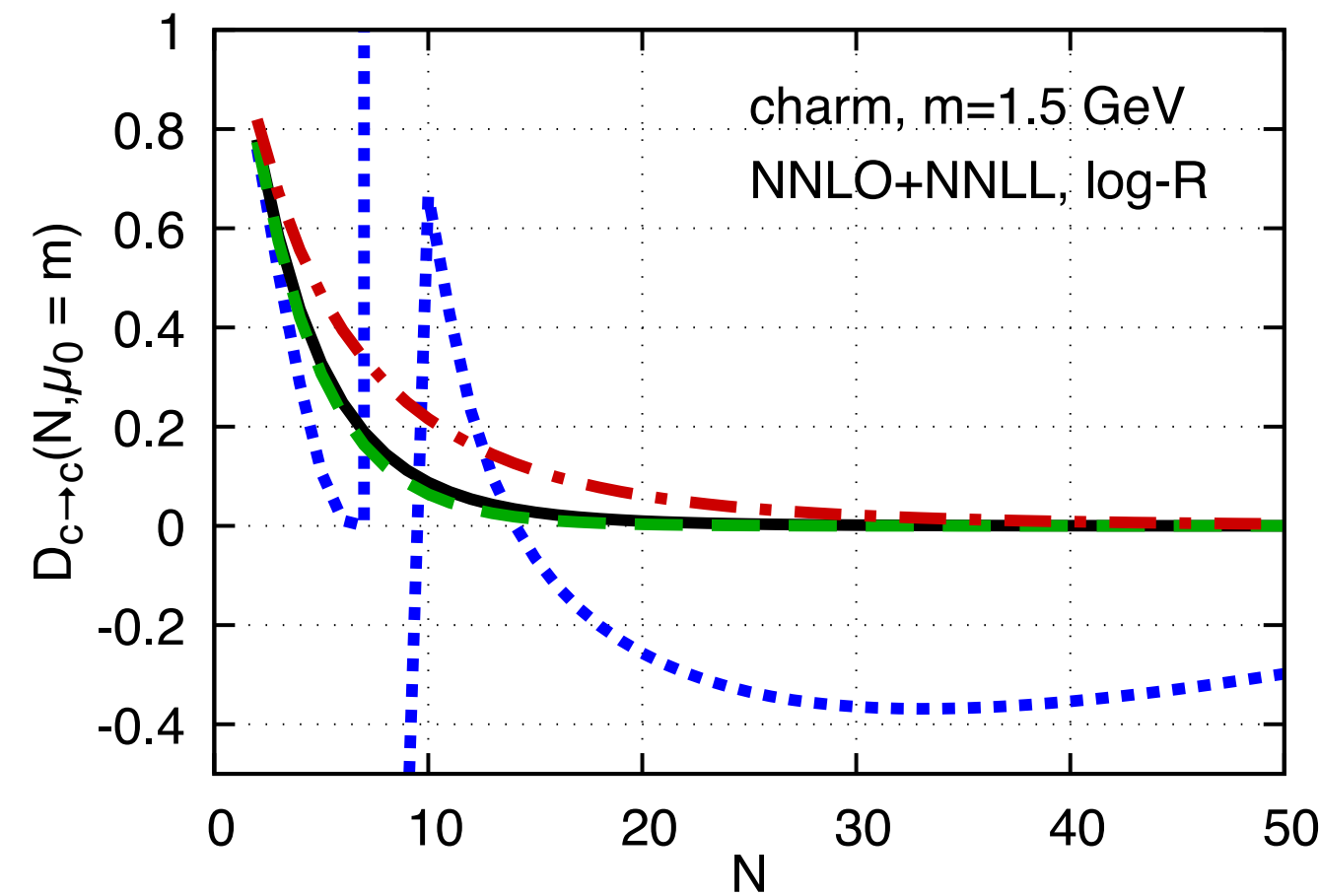
- Full range of perturbative orders
  - Ratio to **NNLO+NNLL log-R CNO**
- No obvious perturbative hierarchy  $NNLL < NLL < LL$
- No systematic convergence
  - But for log-R CGMP
- **NNLO+NNLL log-R CNO** (default)



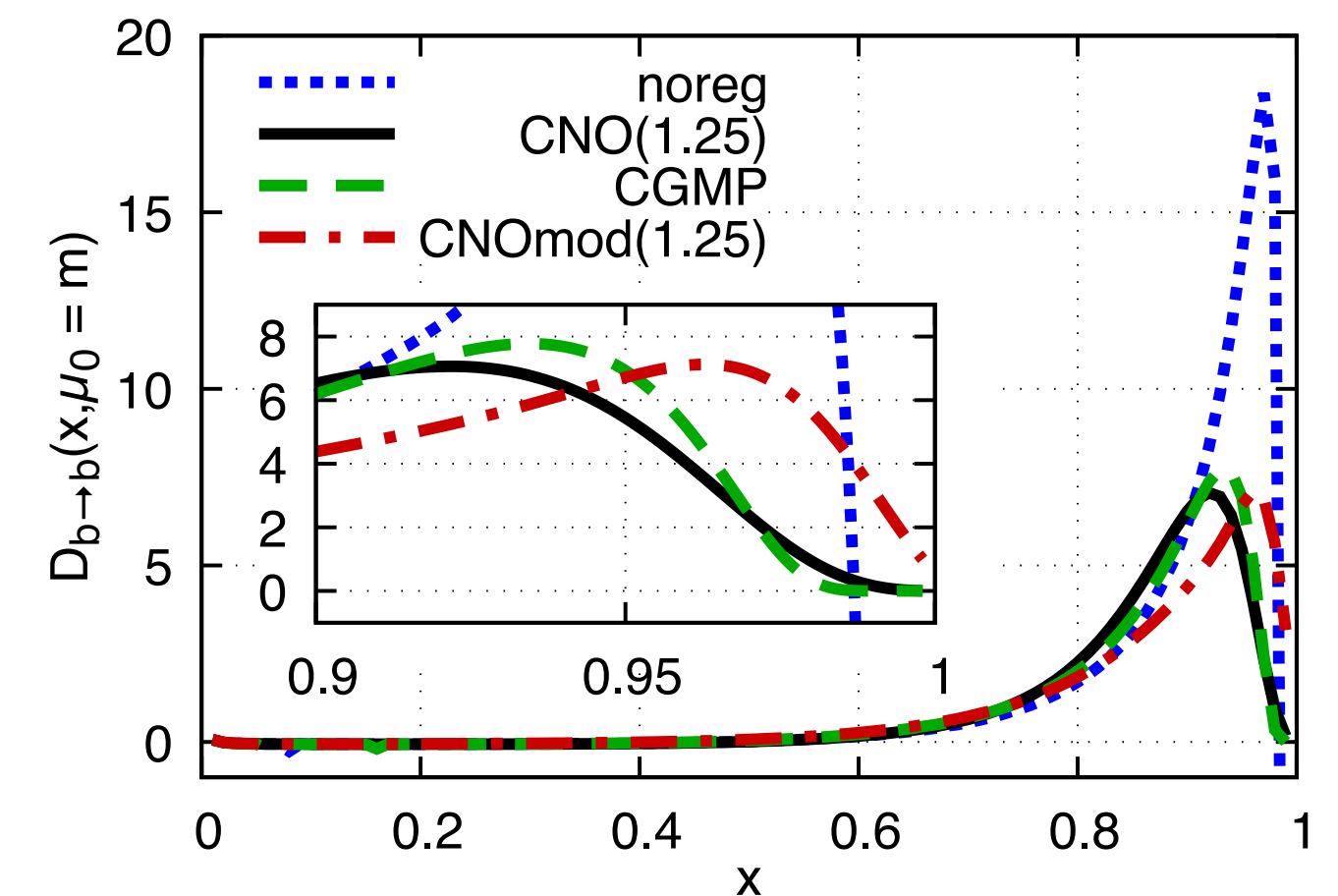
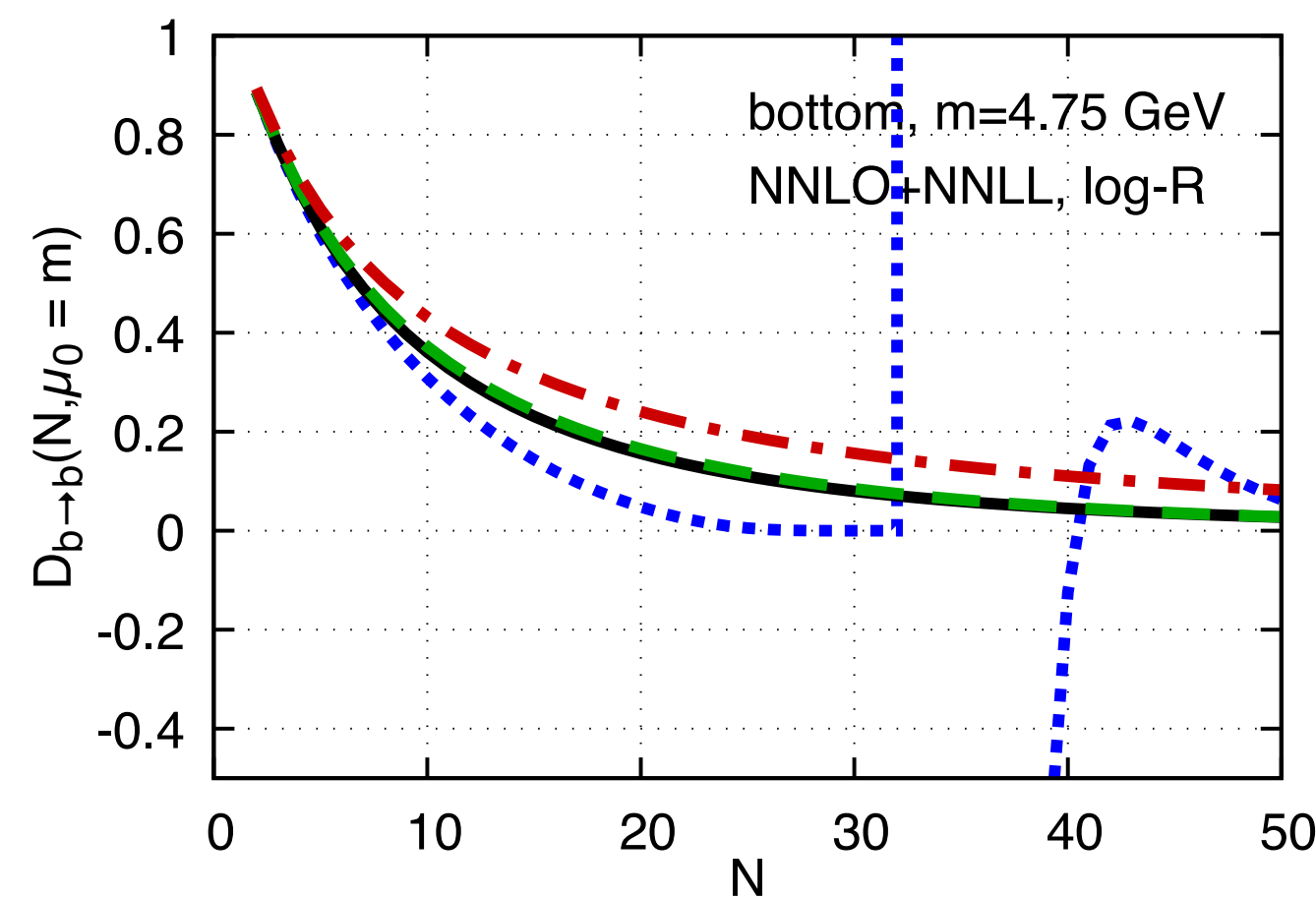
# Numerical results

## Heavy quark initial condition

- Prescription chosen for Landau pole has **huge impact**
- Some shapes not suited for fits
  - **NNLO+NNLL log-R** (default)
- Lower mass  $\rightarrow$  **more sensitivity to Landau**
- Evolution and convolution do not help ...
- What about the **full FF?**



Charm



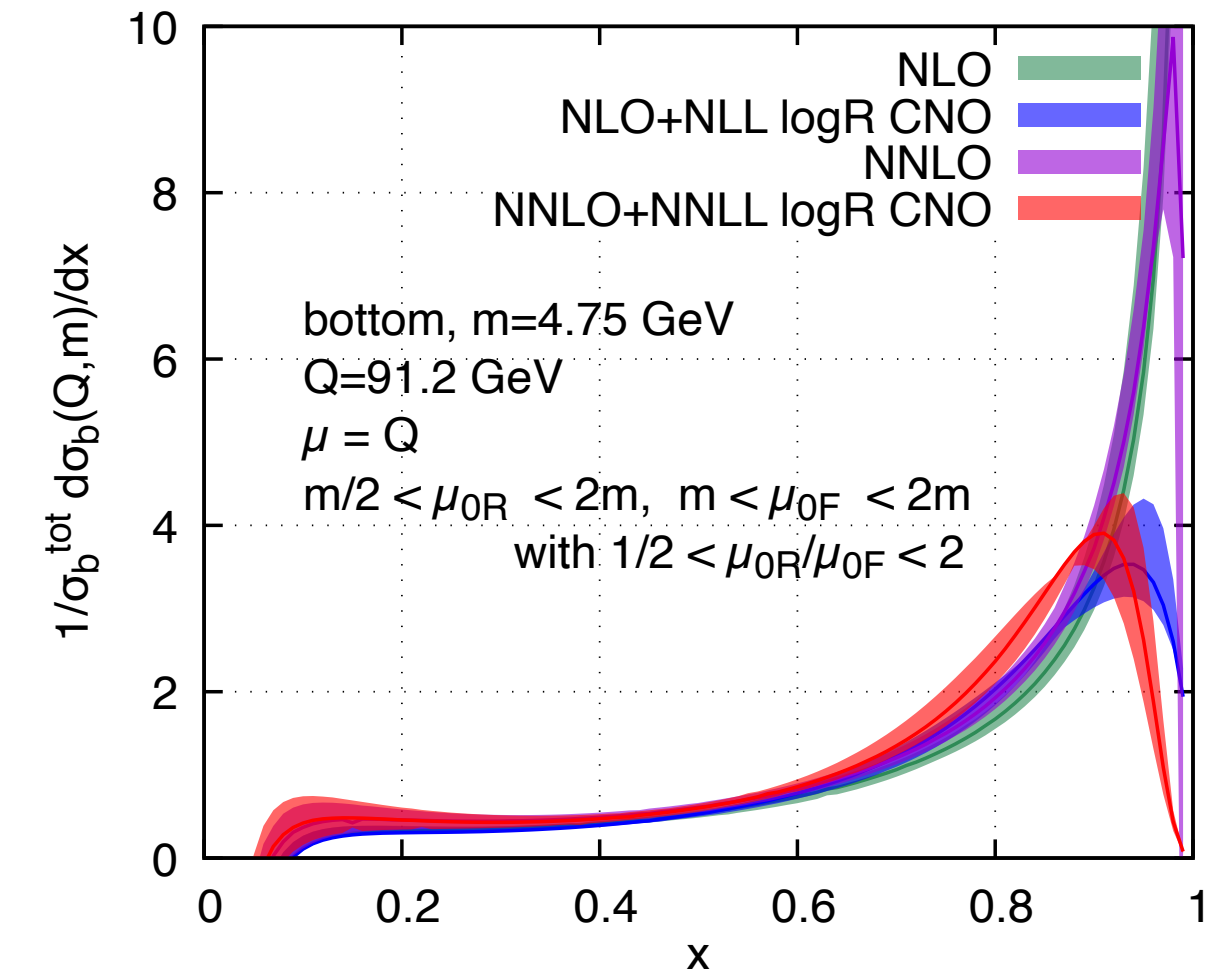
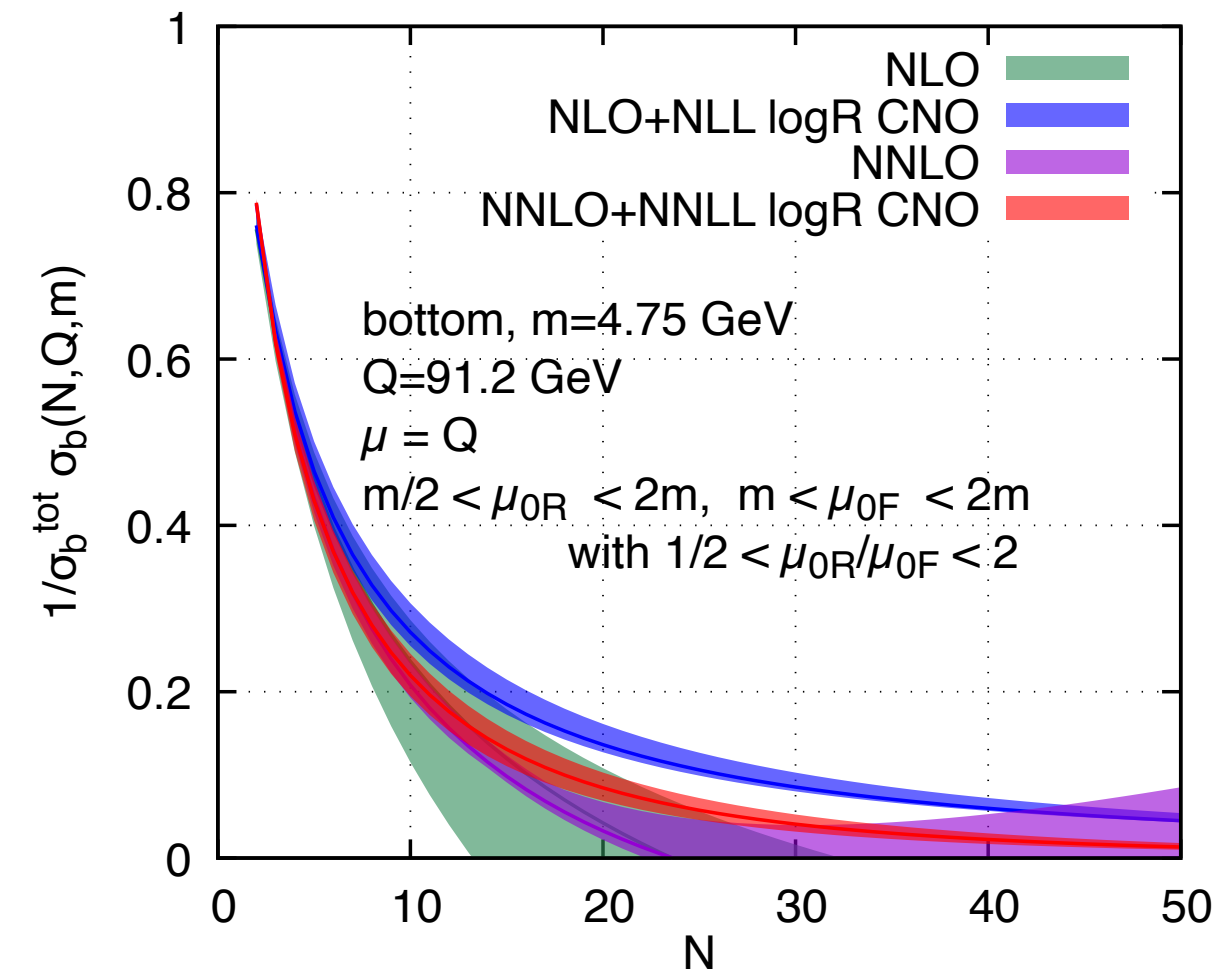
Bottom

# Numerical results

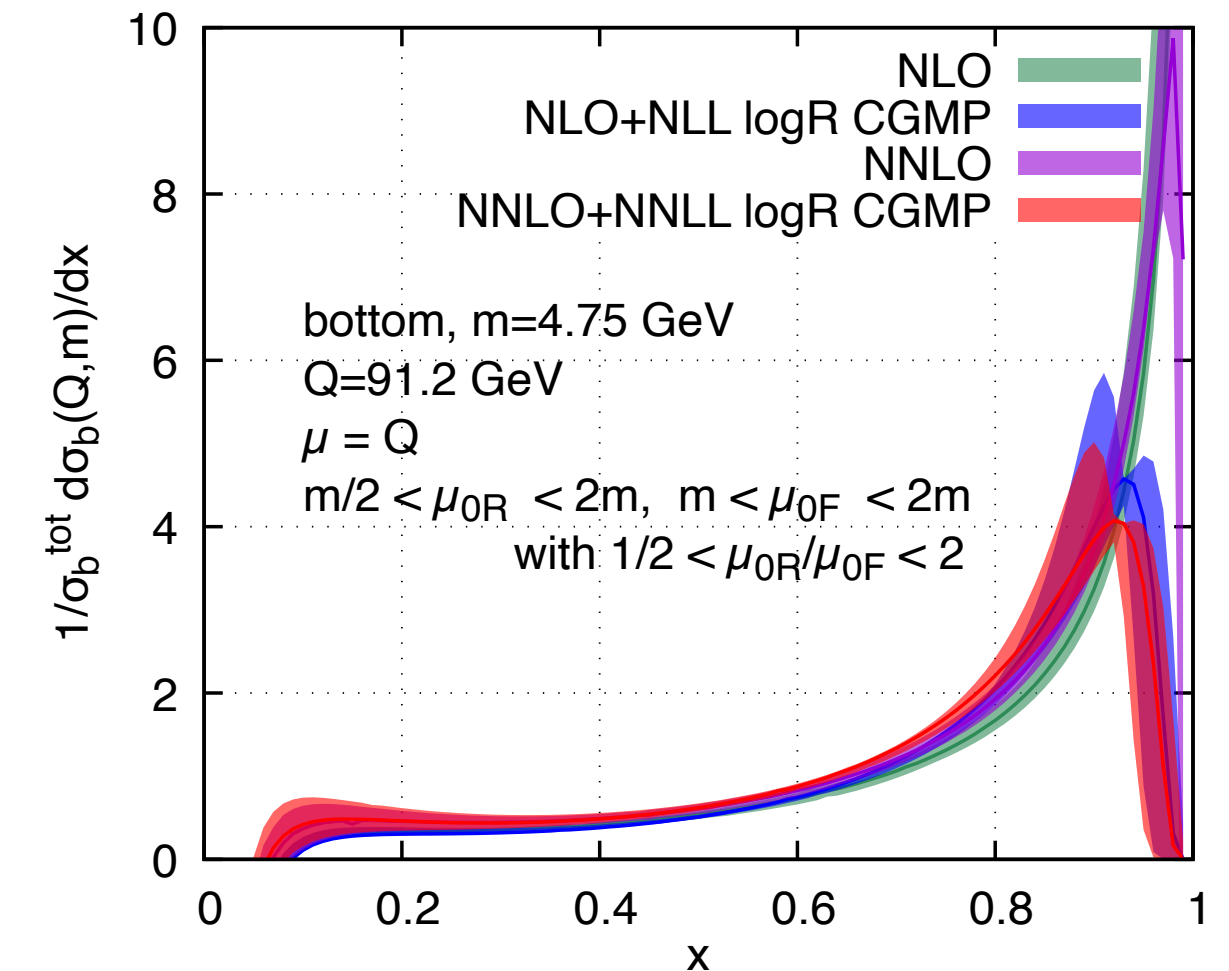
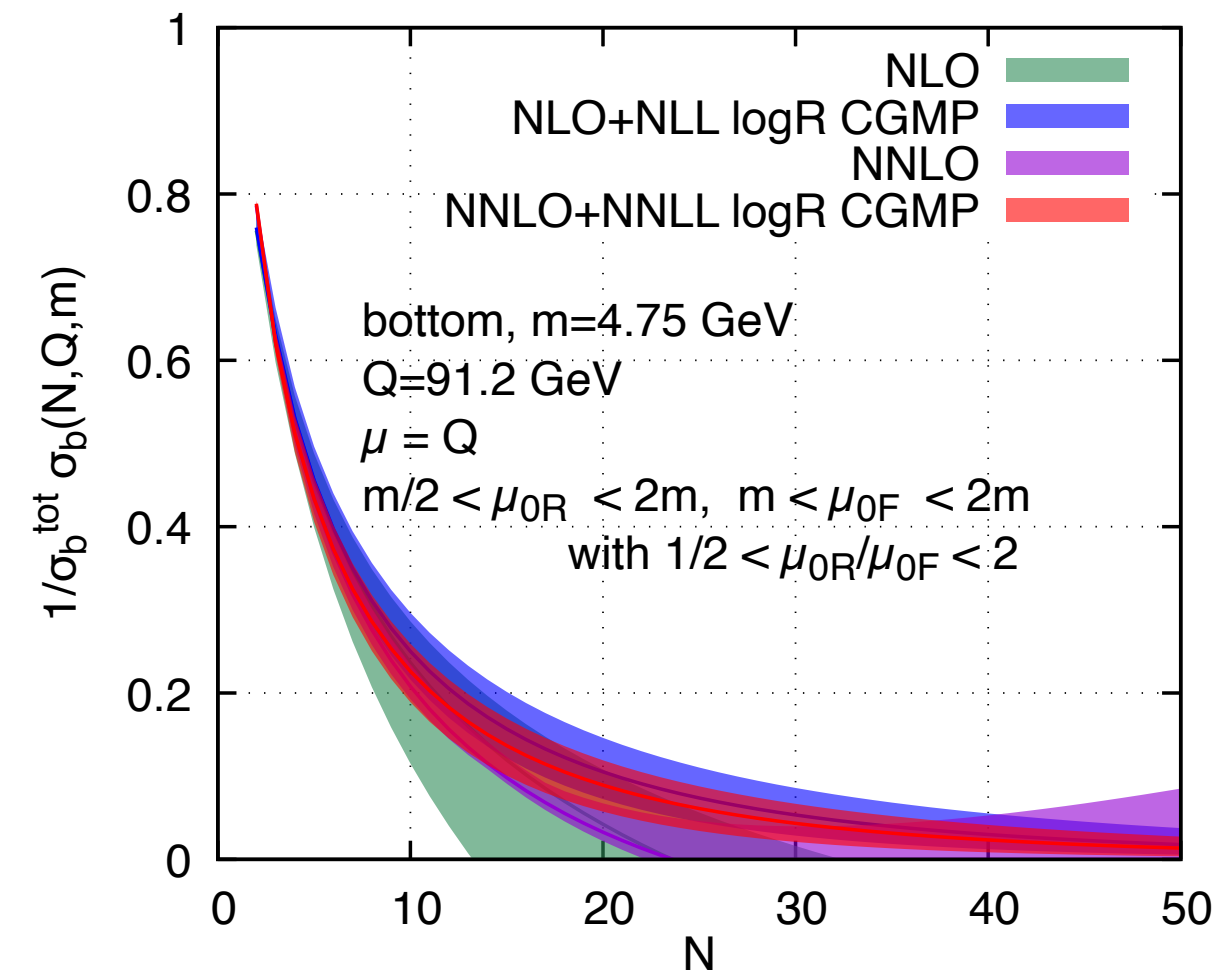
## Full $e^+e^-$ fragmentation function

- Uncertainty bands for  $\mu_{0R}$  and  $\mu_{0F}$  5-point scale variation in initial conditions (around  $m$ )
  - NNLO+NNLL band (red) not much narrower than NLO+NLL one (blue)
  - Bands do not overlap  $\rightarrow$  poor convergence of perturbative series (CNO)
- Drastic dependence on Landau pole regularisation
- Perturbative hierarchy better respected for  $\mu_R$  and  $\mu_F$  variations around  $Q$

Bottom



CNO



CGMP

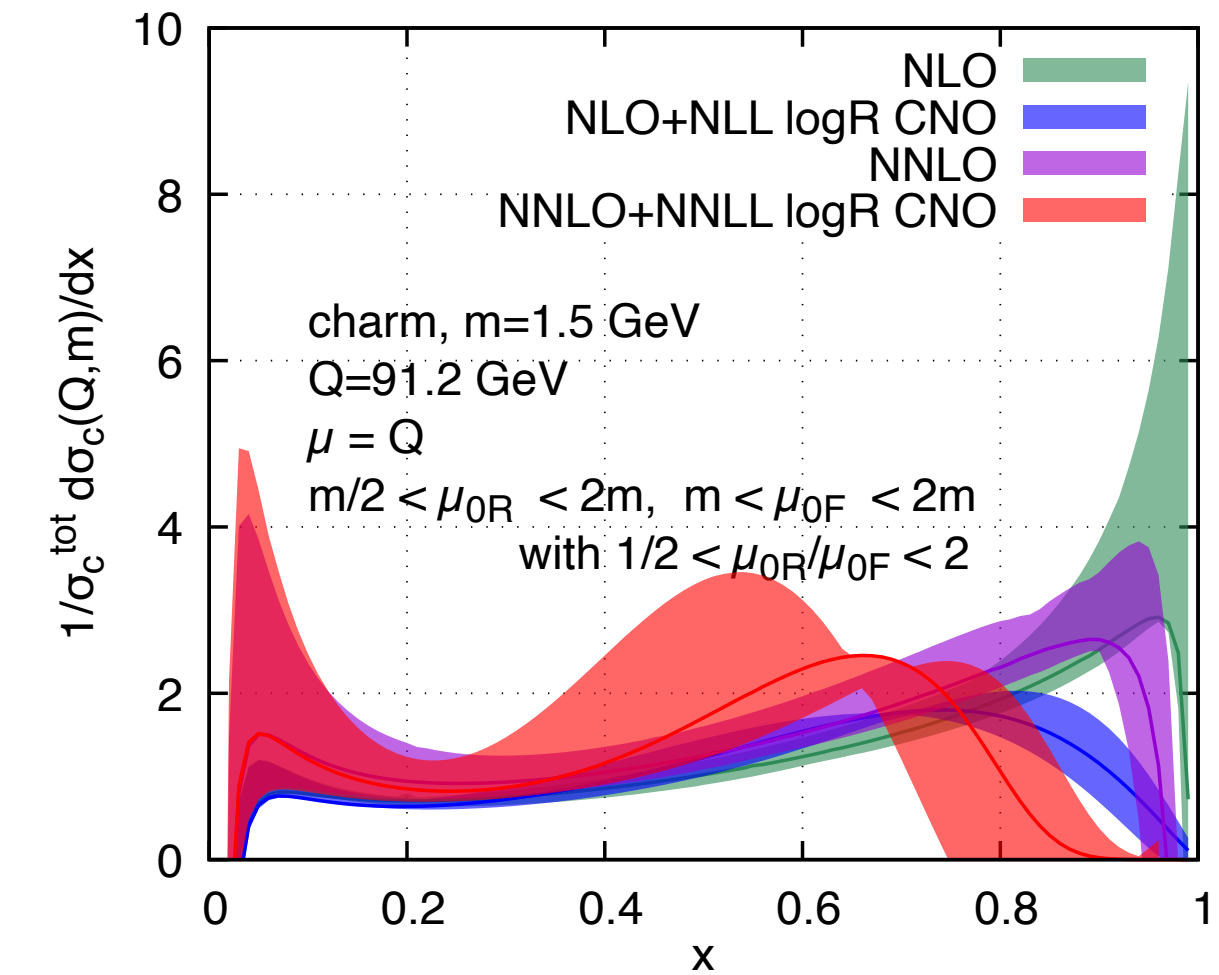
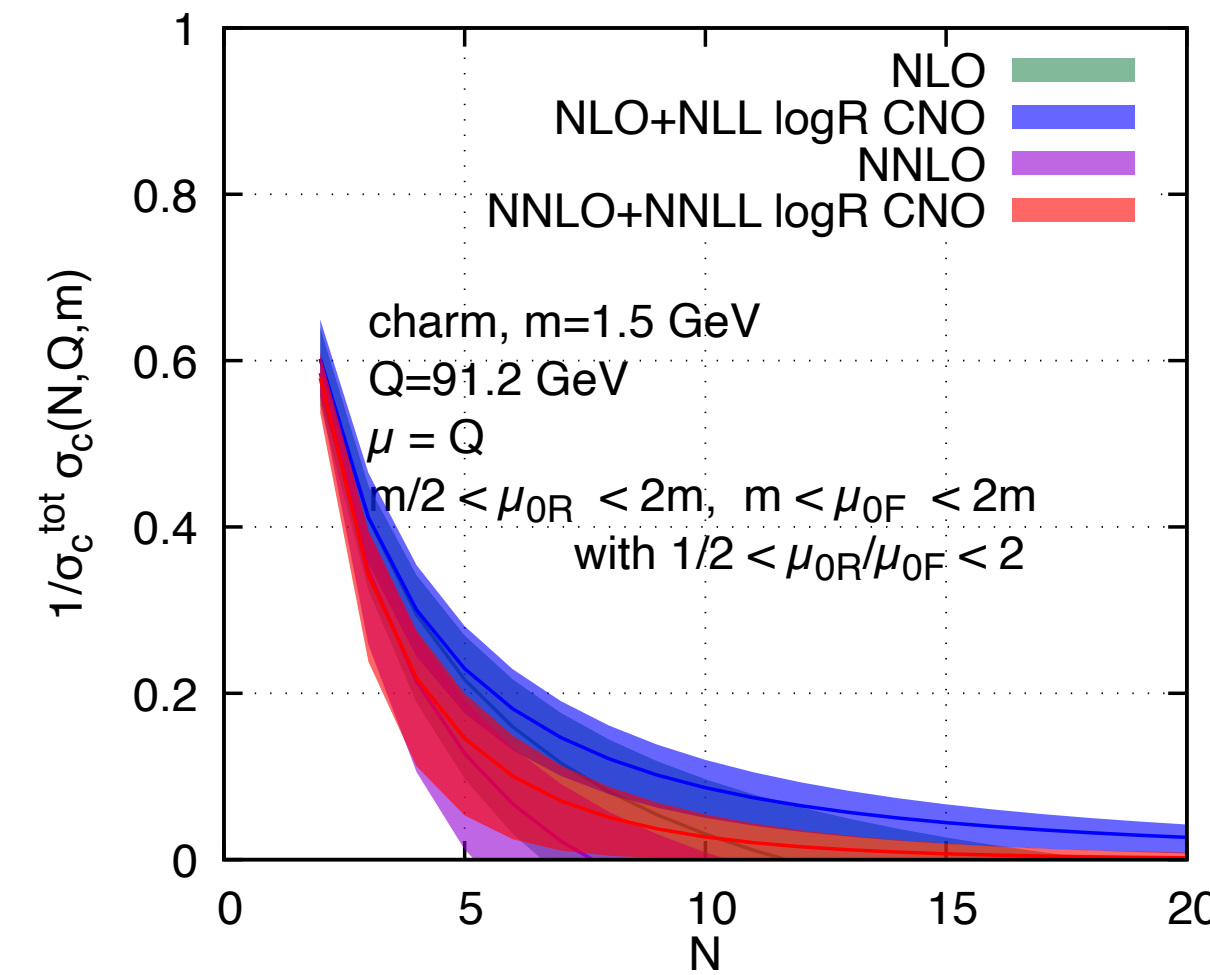


# Numerical results

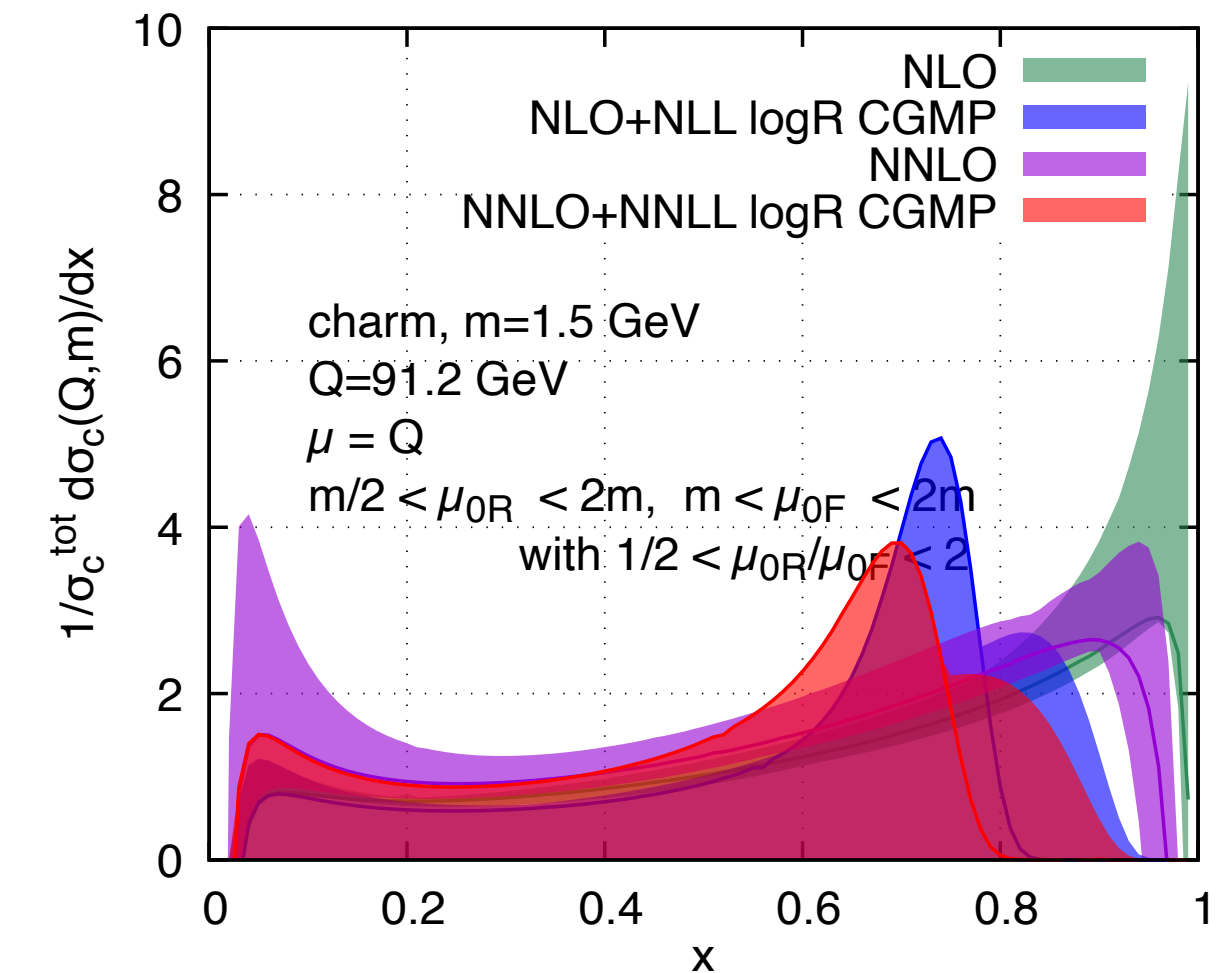
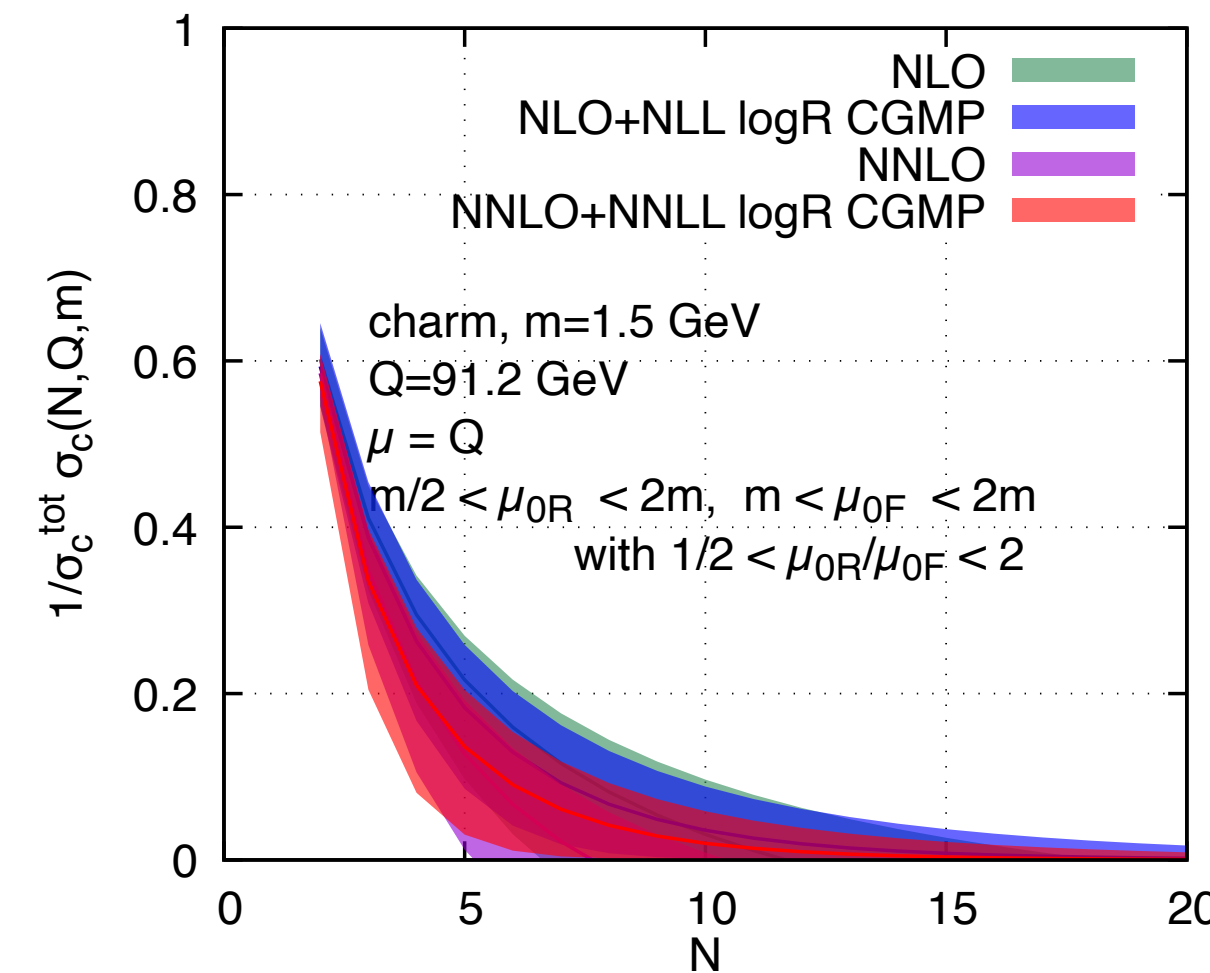
## Full $e^+e^-$ fragmentation function

- Scale variation around  $m$ 
  - Wider bands than bottom case
  - Any perturbative hierarchy essentially lost
- Larger sensitivity to Landau pole regularisation than bottom
- Can we still compare with the data?

Charm



CNO

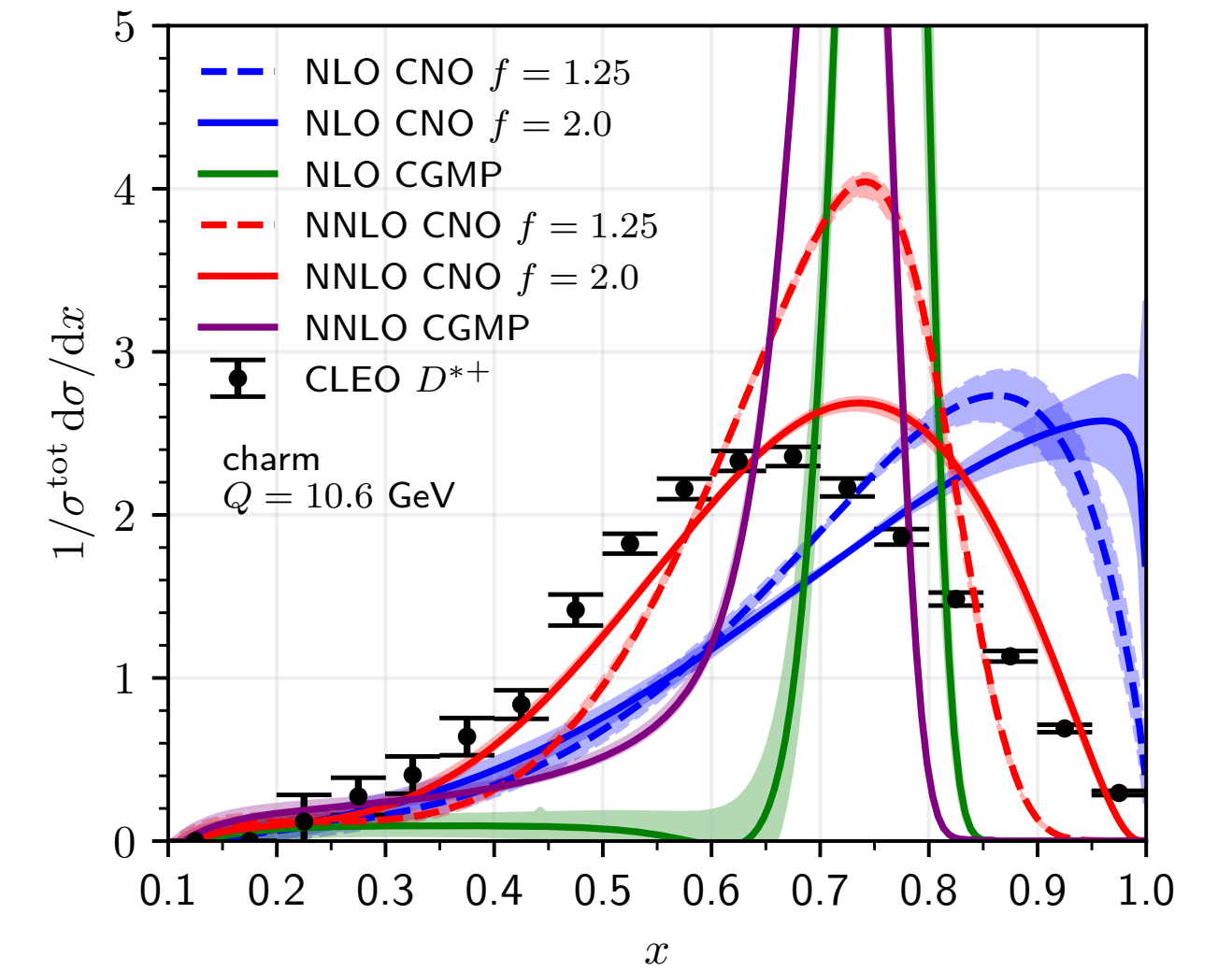
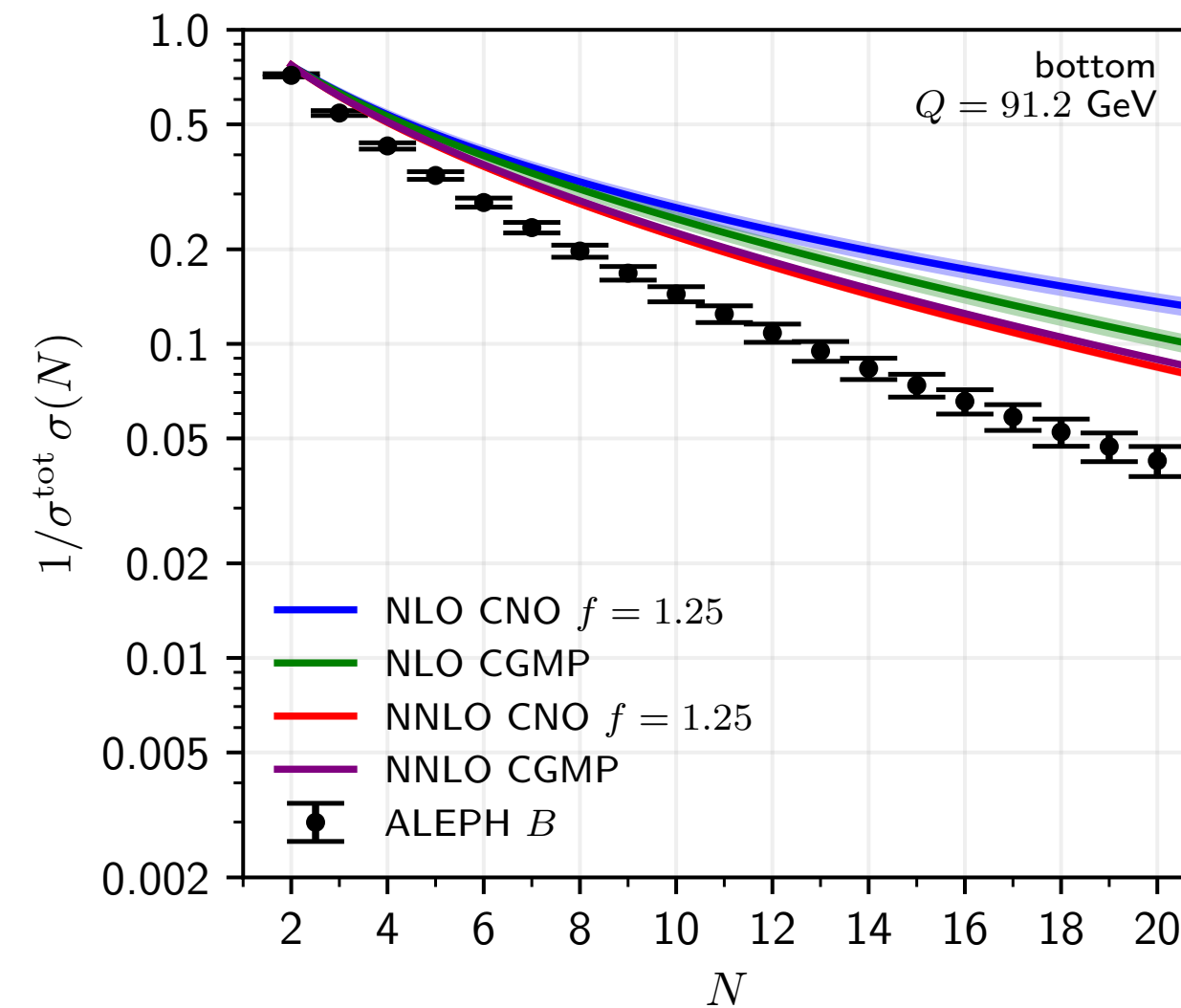
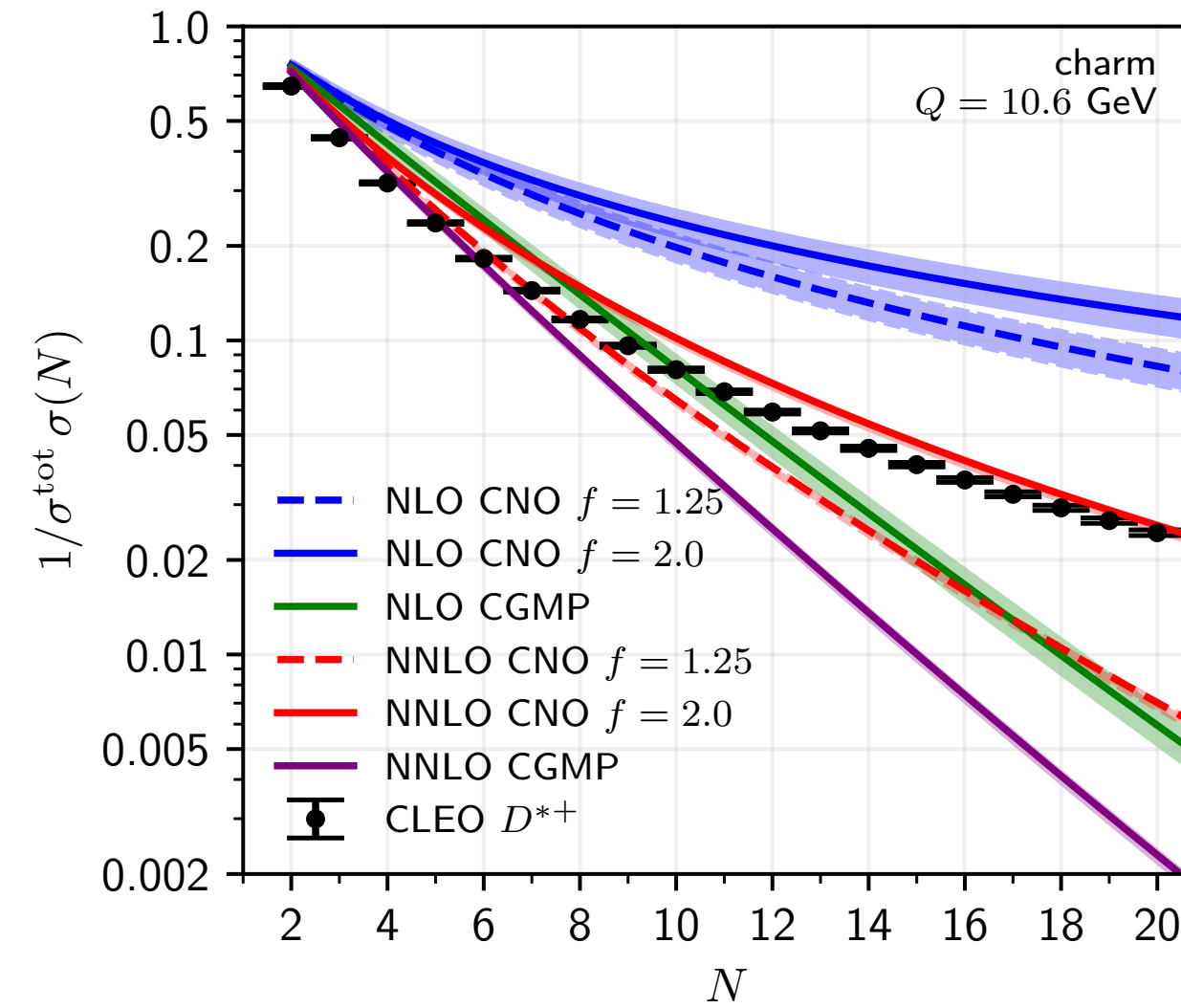


CGMP

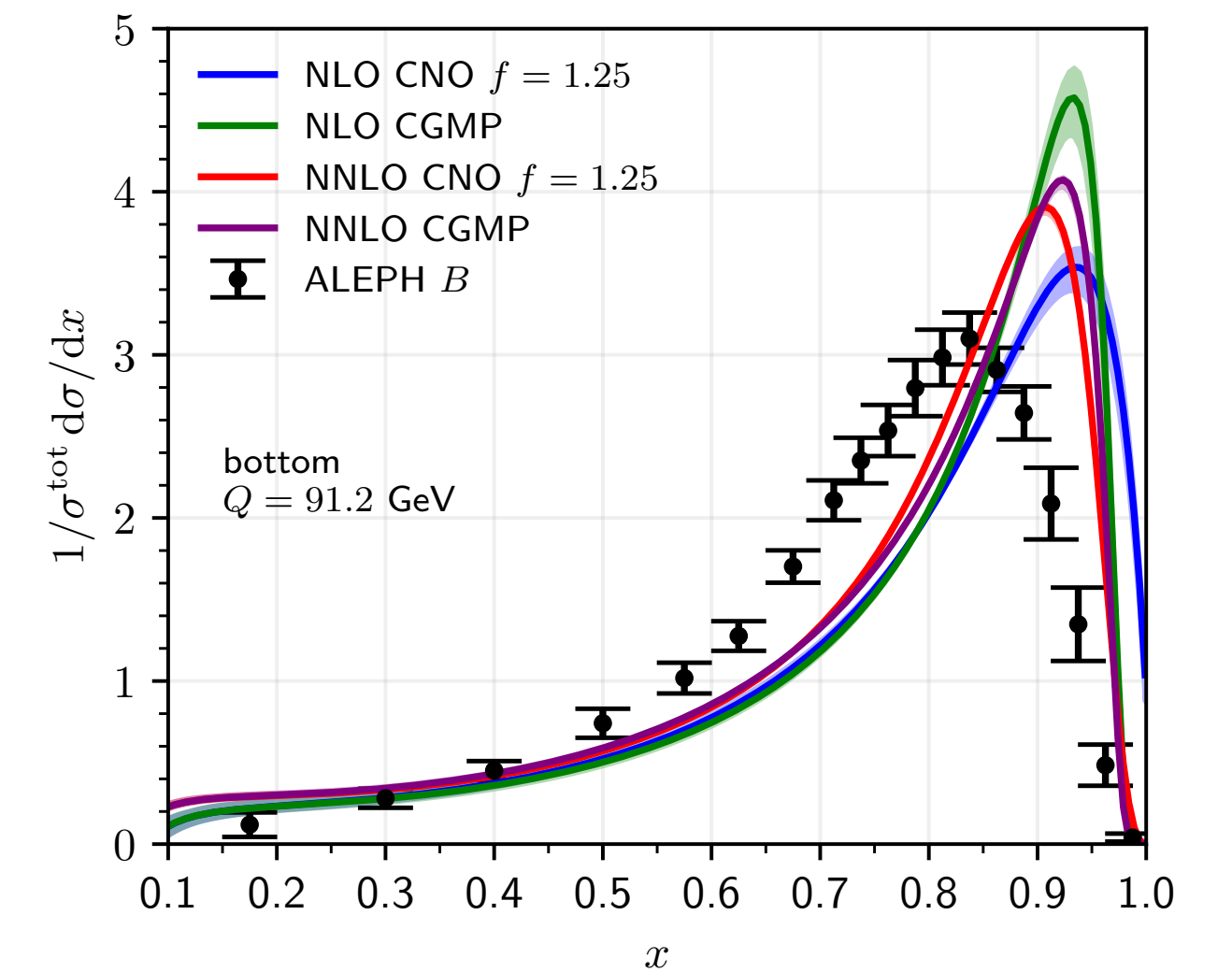
# Towards phenomenology

## pQCD at comparison with data

- Many data comprising **bottom** and **charm**
  - ALEPH, SLD, DELPHI, OPAL (B-mesons)
  - ALEPH, BELLE, CLEO (D-mesons)
- **Bottom**: all prescriptions can be used for fits
- **Charm**: most NNLO+NNLL curves dip below data at  $N \gtrsim 6$
- $D^{np}$  can only “lower” theoretical prediction  $\rightarrow$
- Our best choice
  - Bottom: NNLO+NNLL logR CNO ( $f=1.25$ )
  - Charm: NNLO+NNLL logR CNO ( $f=2.0$ )
- Single-moment and single-parameter “fits”



Charm



Bottom

# Single-point fits

## CNO bottom single-point fits up to NNLO + NNLL

- Recall: non-perturbative FF factorised

$$\sigma_h(Q) = \sigma_Q(Q, m) D_{Q \rightarrow h}^{np}(\{\text{par}\})$$

- Our take: as simple as possible

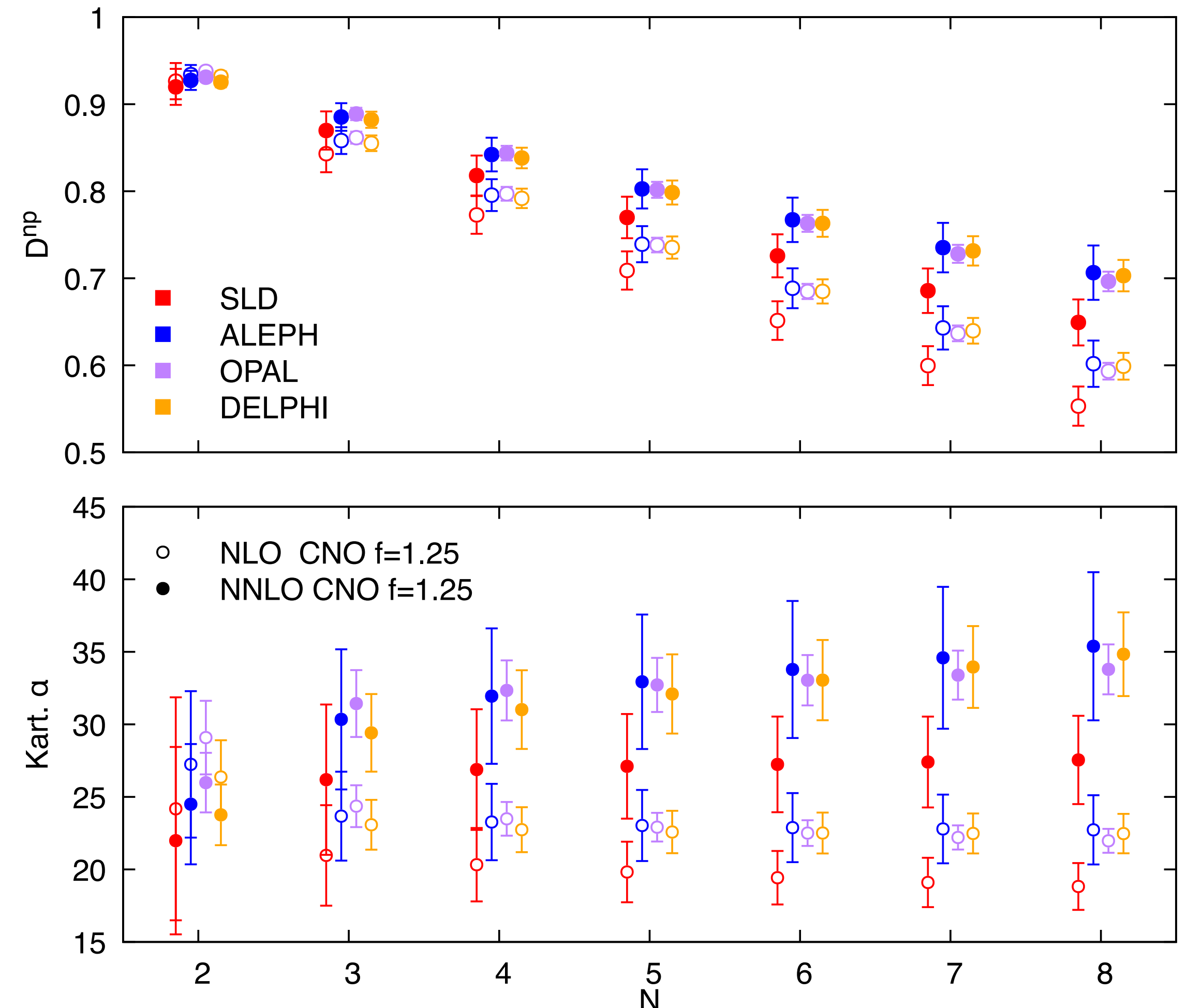
- (For now) single parameter non-perturbative function

$$D_K^{np}(x) = (\alpha + 1)(\alpha + 2)x^\alpha(1 - x) \text{ [Kartvelishvili et al. B 78 (1978) 615.]}$$

- “Fit” moments between 2 and 8 (relevant for hadronic collisions)

- $D^{np} = \text{data}/(\text{pert. theo})$

- $\alpha$  values stable in  $N$
- NNLO+NNLL results closer to data  $\rightarrow$  smaller non-perturbative component

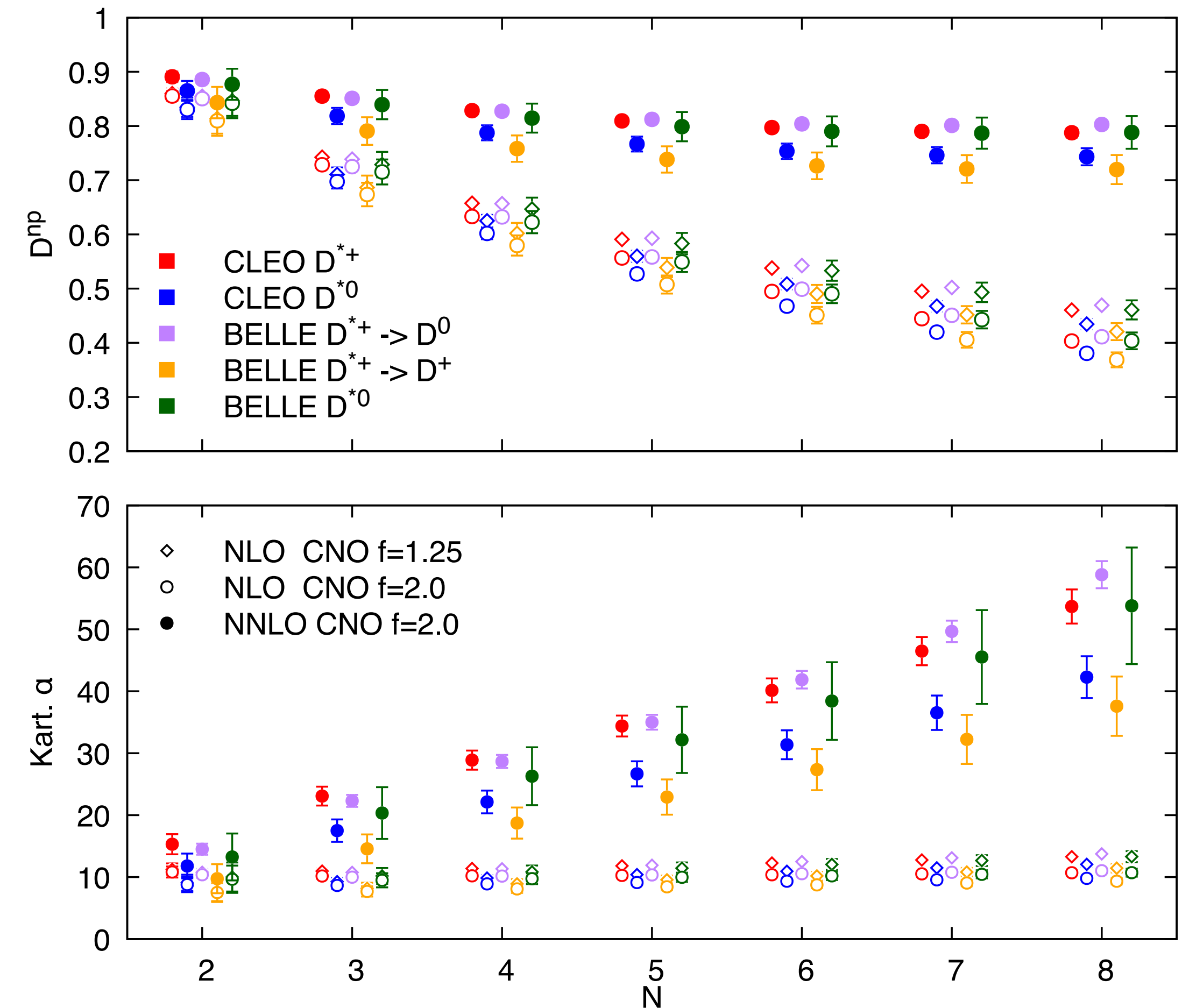


# Single-point fits

## CNO charm single-point fits up to NNLO + NNLL

- @ NLO+NLL
  - $\alpha$  values stable in  $N$
  - Stable under variation of  $f$
- @ NNLO+NNLL
  - large dependency of  $\alpha$  on  $N$
  - $f = 2.0$  mandatory choice

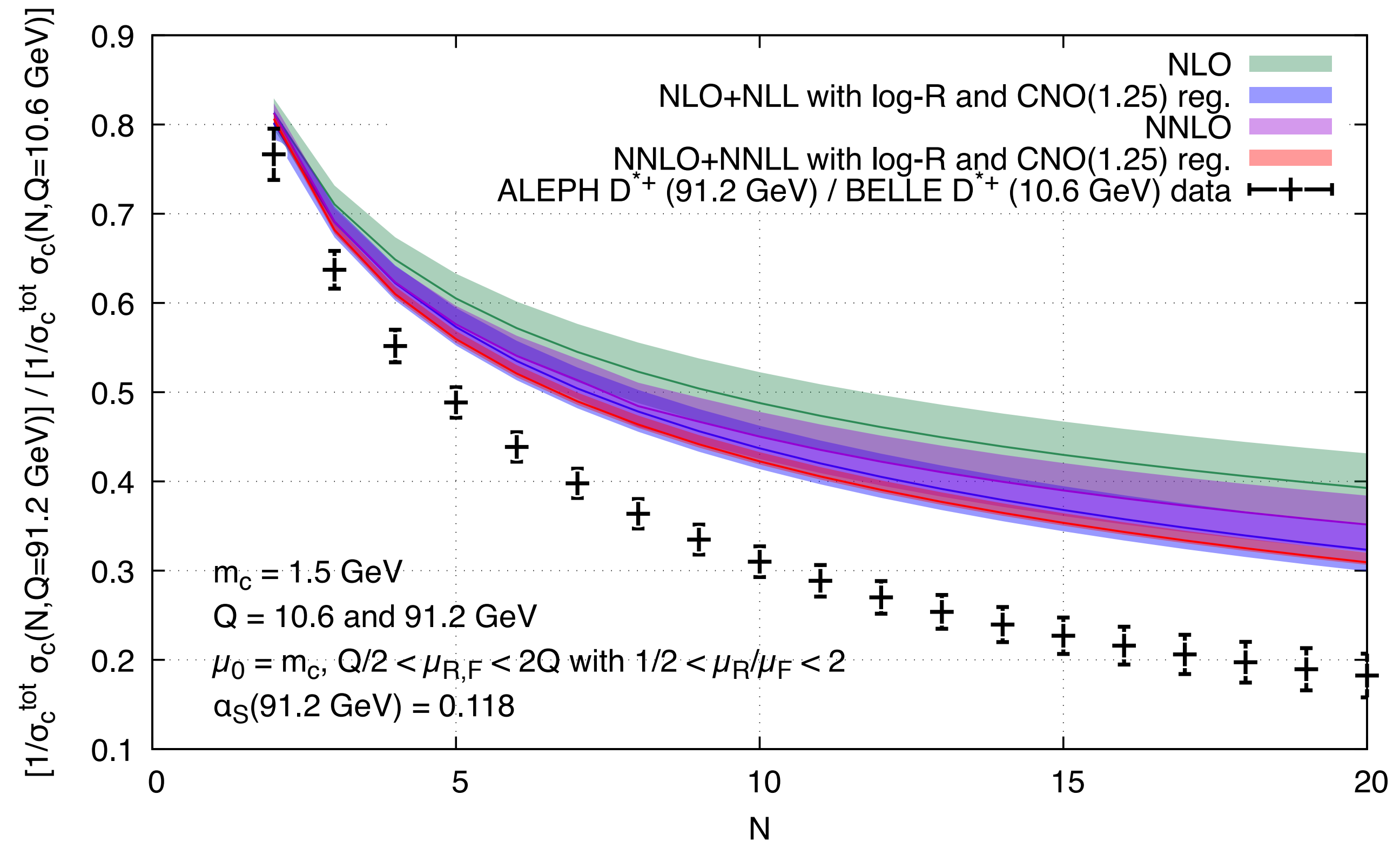
$f$ : CNO regularisation parameter



# Charm ratio

## A perturbative observable

- Ratio of ALEPH (91.2 GeV) and BELLE (10.6 GeV) moments for  $D^{*+}$  ( $N$ -space)
- Non-perturbative and low-scales effects largely cancel in theory prediction  $\rightarrow$  **entirely perturbative**
- Data undershoot pure QCD prediction
- Large power suppressed effects in coefficient functions?
- Observable and prediction exclusively depend upon evolution between  $\Upsilon(4S)$  and  $Z^0$  energies

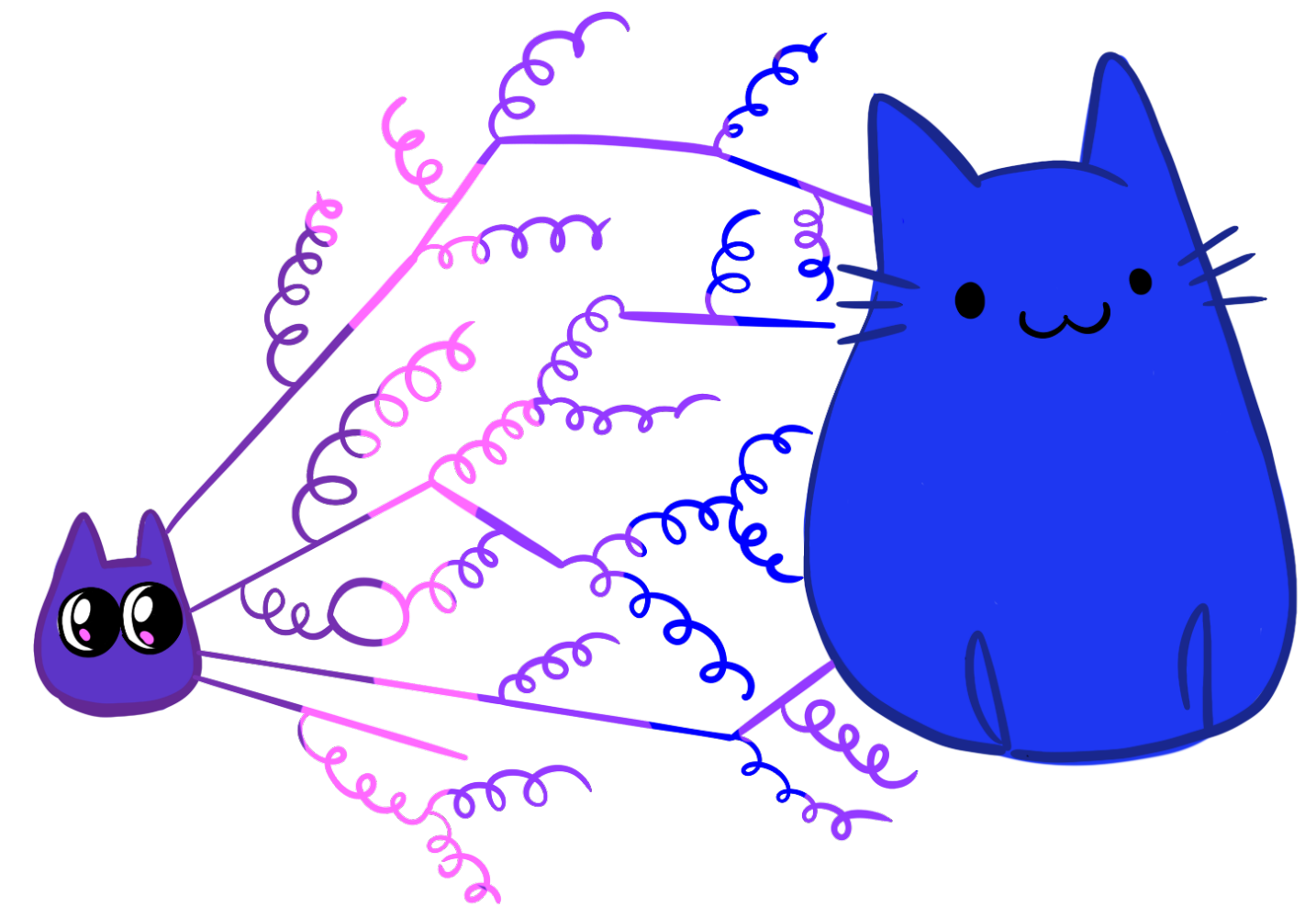


$$\frac{\sigma_Q(M_Z, m)}{\sigma_Q(M_\Upsilon, m)} \simeq \frac{C_q(M_Z, \mu_Z)}{\sigma_Q^{\text{tot}}(M_Z)} E(\mu_Z, \mu_\Upsilon) \frac{\sigma_Q^{\text{tot}}(M_\Upsilon)}{C_q(M_\Upsilon, \mu_\Upsilon)}$$

# Conclusions

- **NNLO + NNLL** reached for bottom & charm
  - Open question on **Landau pole regularisation**
    - Can we do better?
    - Current **limit** on theoretical precision
  - Something about **charm-ratio** not understood
- Results important for  $pp$ –phenomenology
- Public code: work in progress

Realistic representation of a heavy quark fragmenting into a hadron  
by Gaia Fontana



# Backup: Landau pole regularisations

## CNO & CGMP prescription

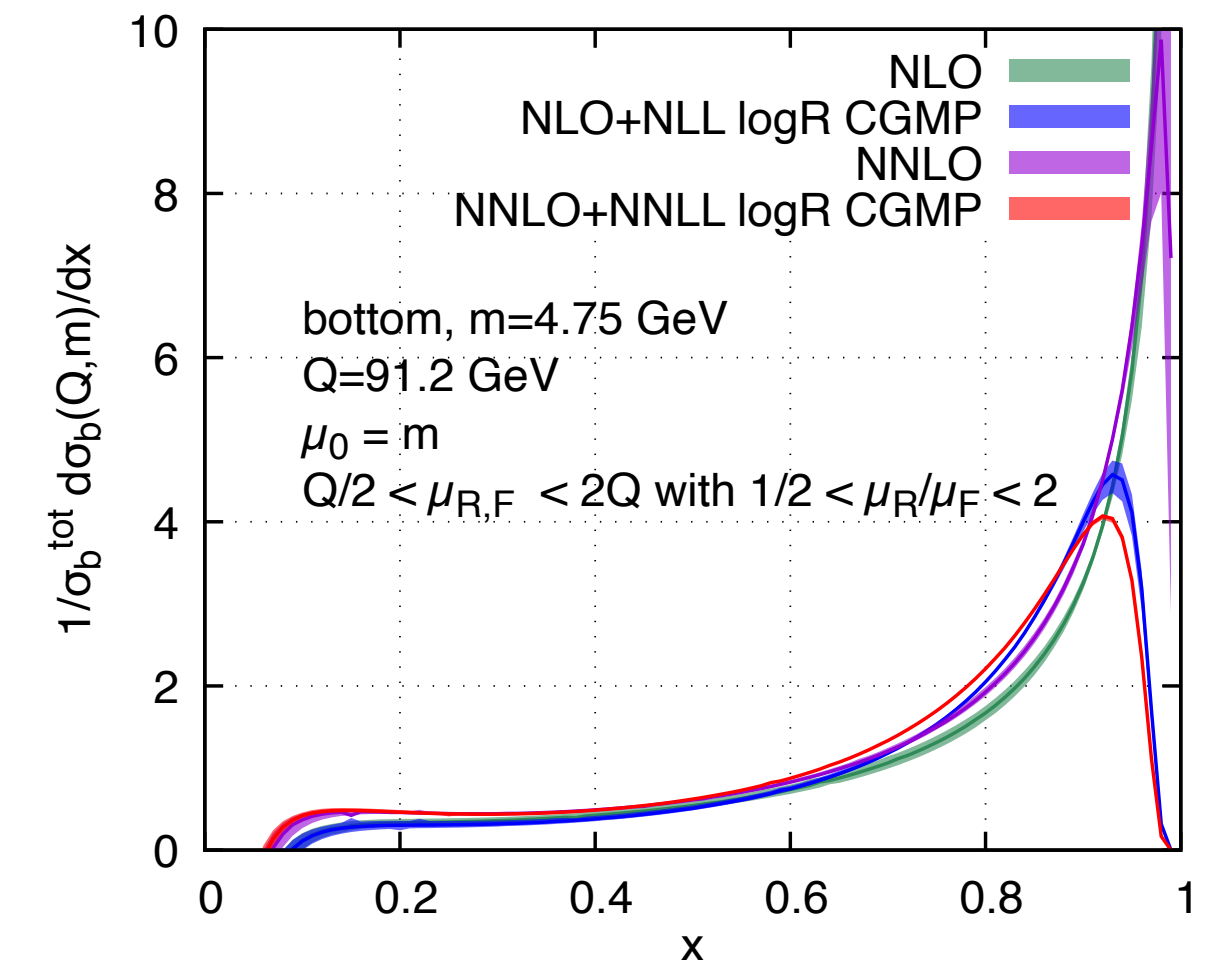
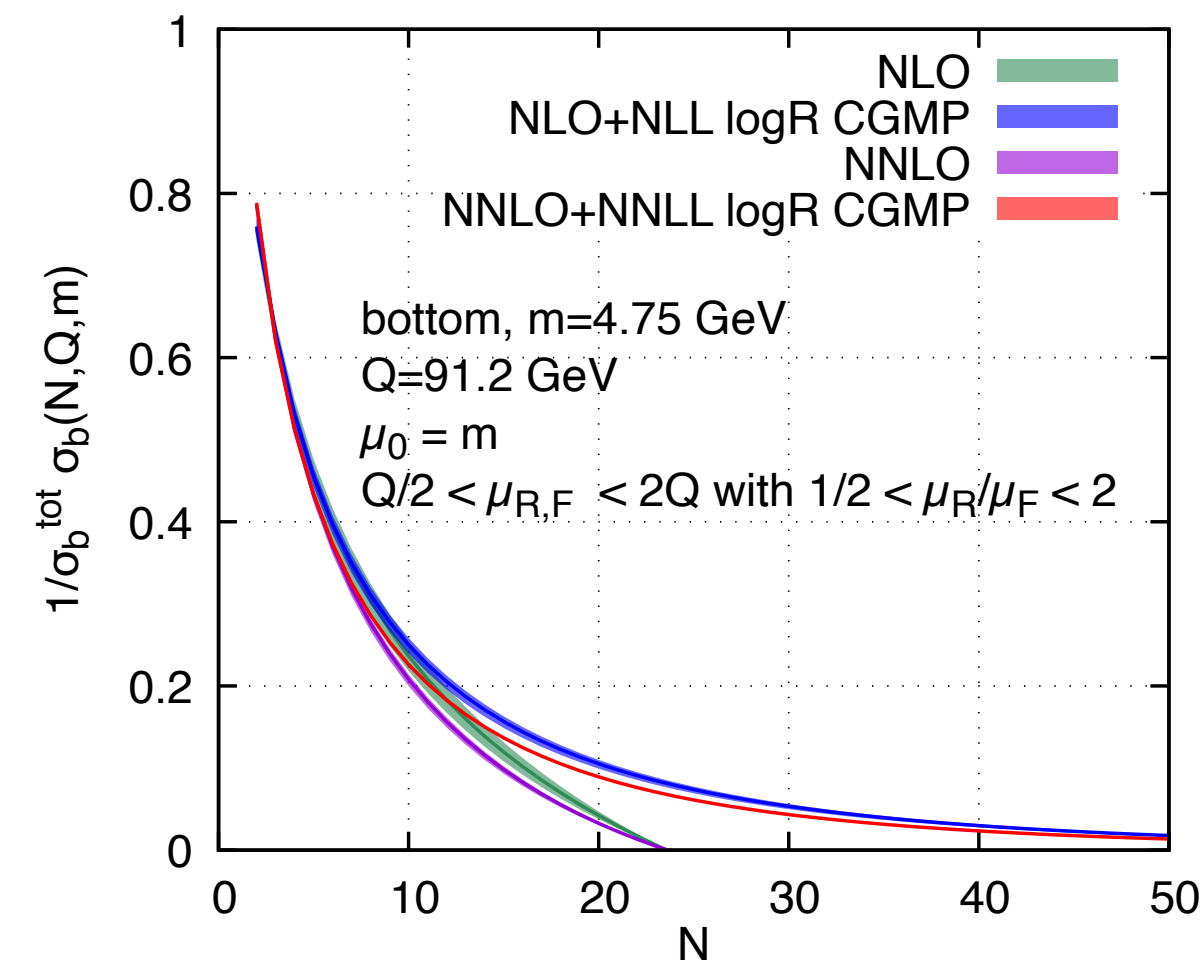
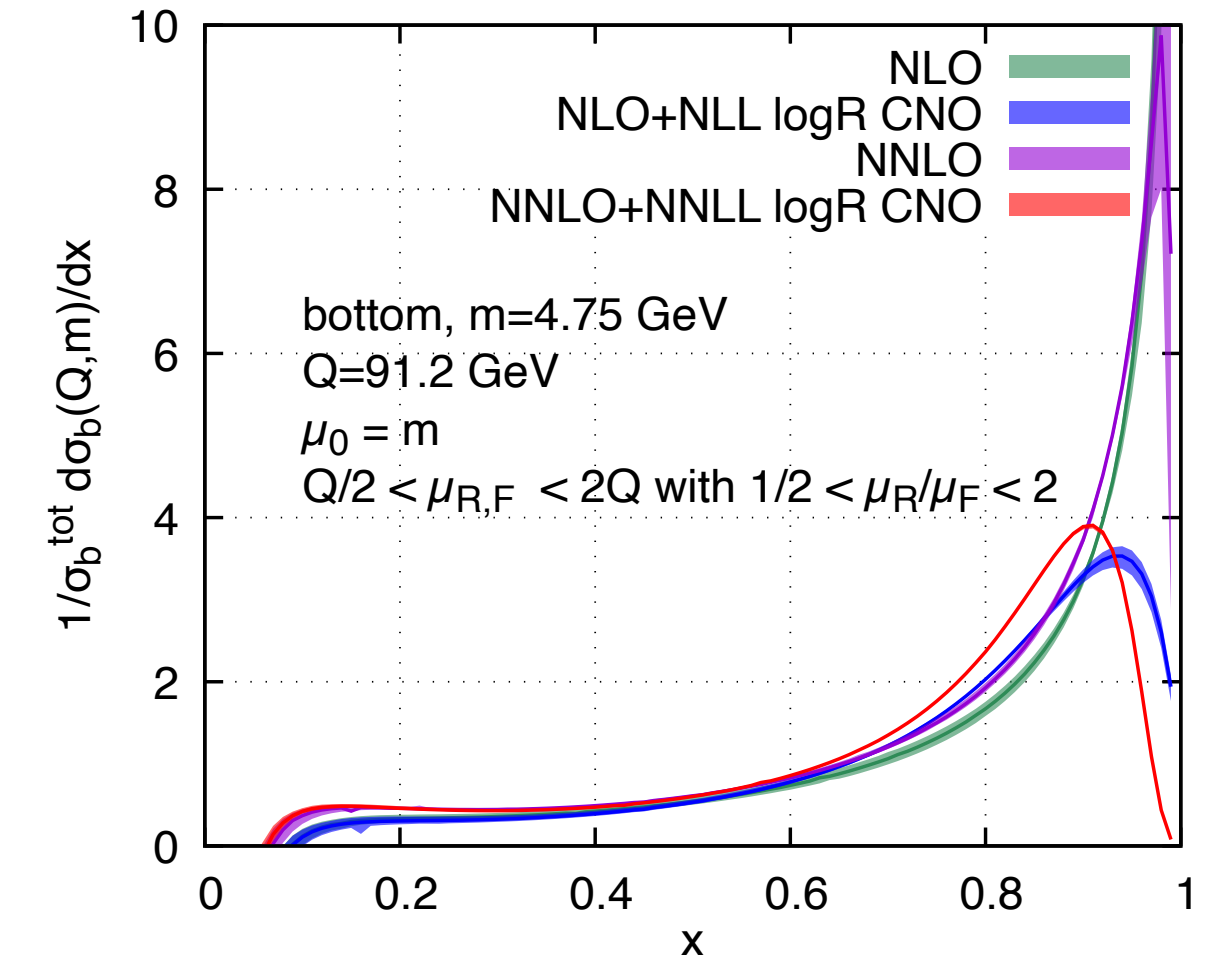
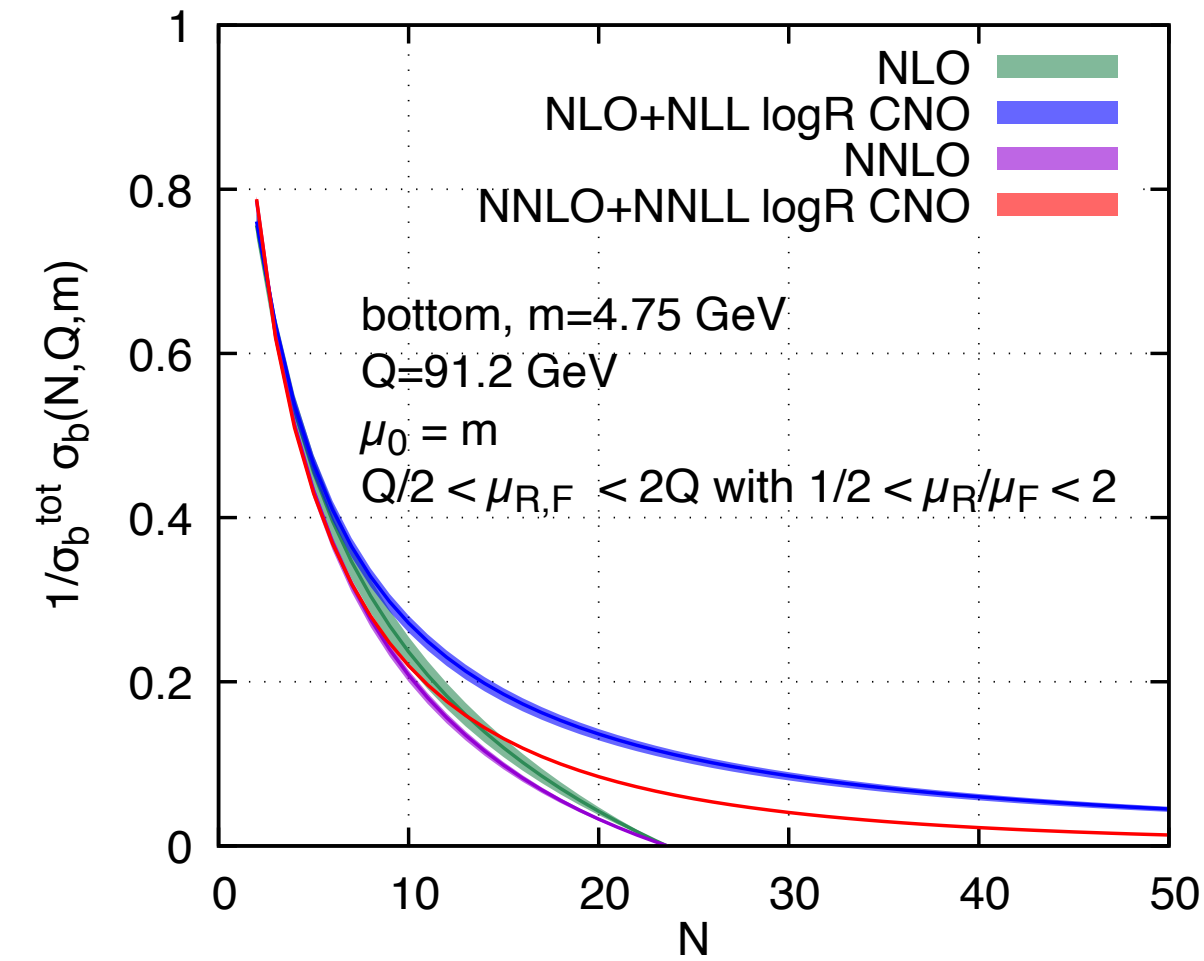
- “CNO” (Cacciari-Nason-Oleari): shift in  $N$  [Cacciari et al.\_0510032]  $N \rightarrow N \frac{1 + f/N^L}{1 + fN/N^L}$ 
  - Consistent with all known perturbative results ✓
  - Yields physically acceptable results ✓
  - Does not introduce power corrections larger than generally expected for the process in question:  $N\Lambda_{QCD}/m$  for IC and  $N\Lambda_{QCD}^2/Q^2$  for CF ✓
- “CGMP” (Czakon-Generet-Mitov-Poncelet): truncation in the **exponent** of Sudakov factor [Czakon et al.\_2210.06078]
  - introduces power corrections larger than generally expected

# Backup: numerical results

Bottom

## Full $e^+e^-$ fragmentation function

- Perturbative hierarchy **better** respected for  $\mu_R$  and  $\mu_F$  variations around  $Q$



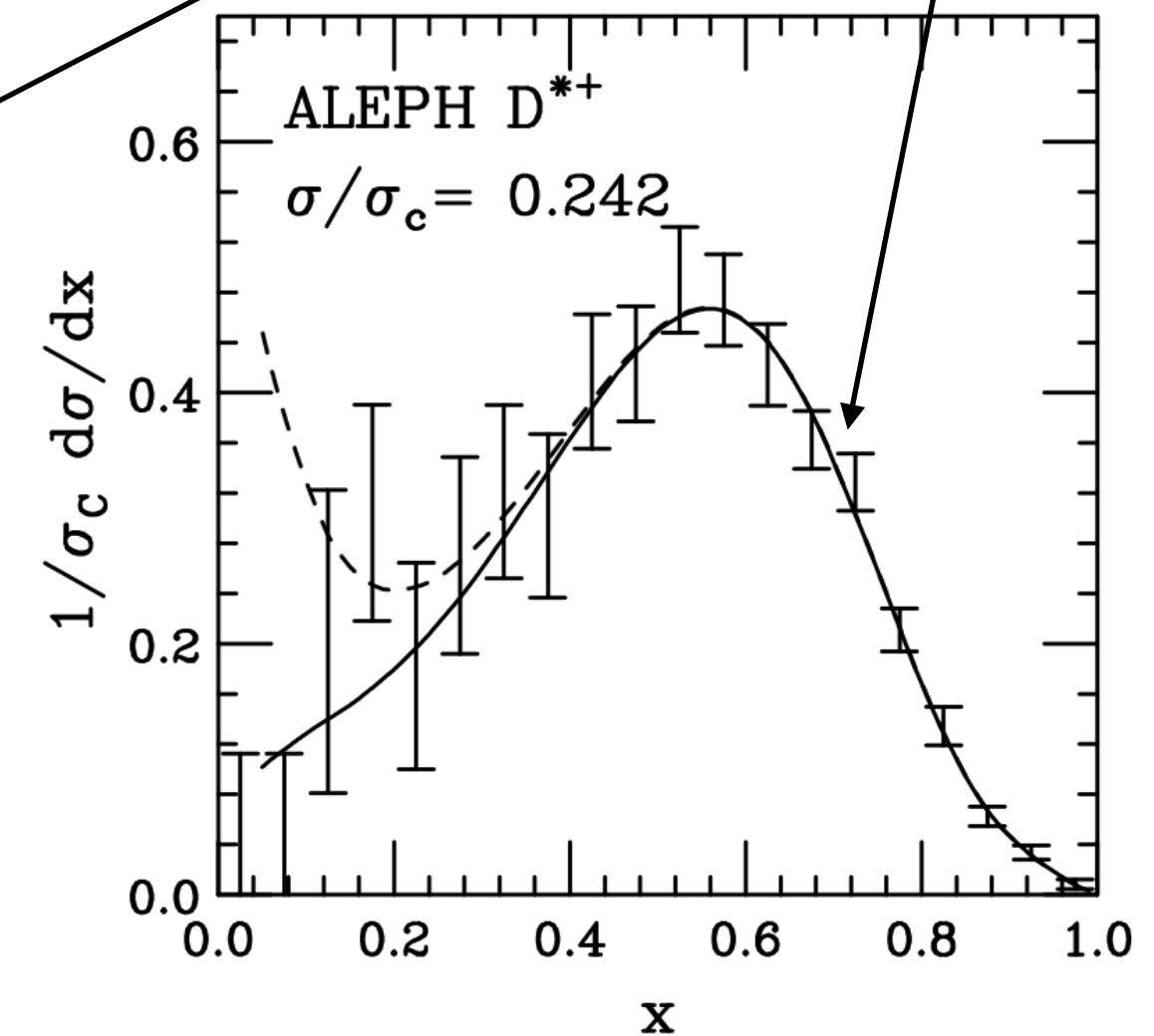
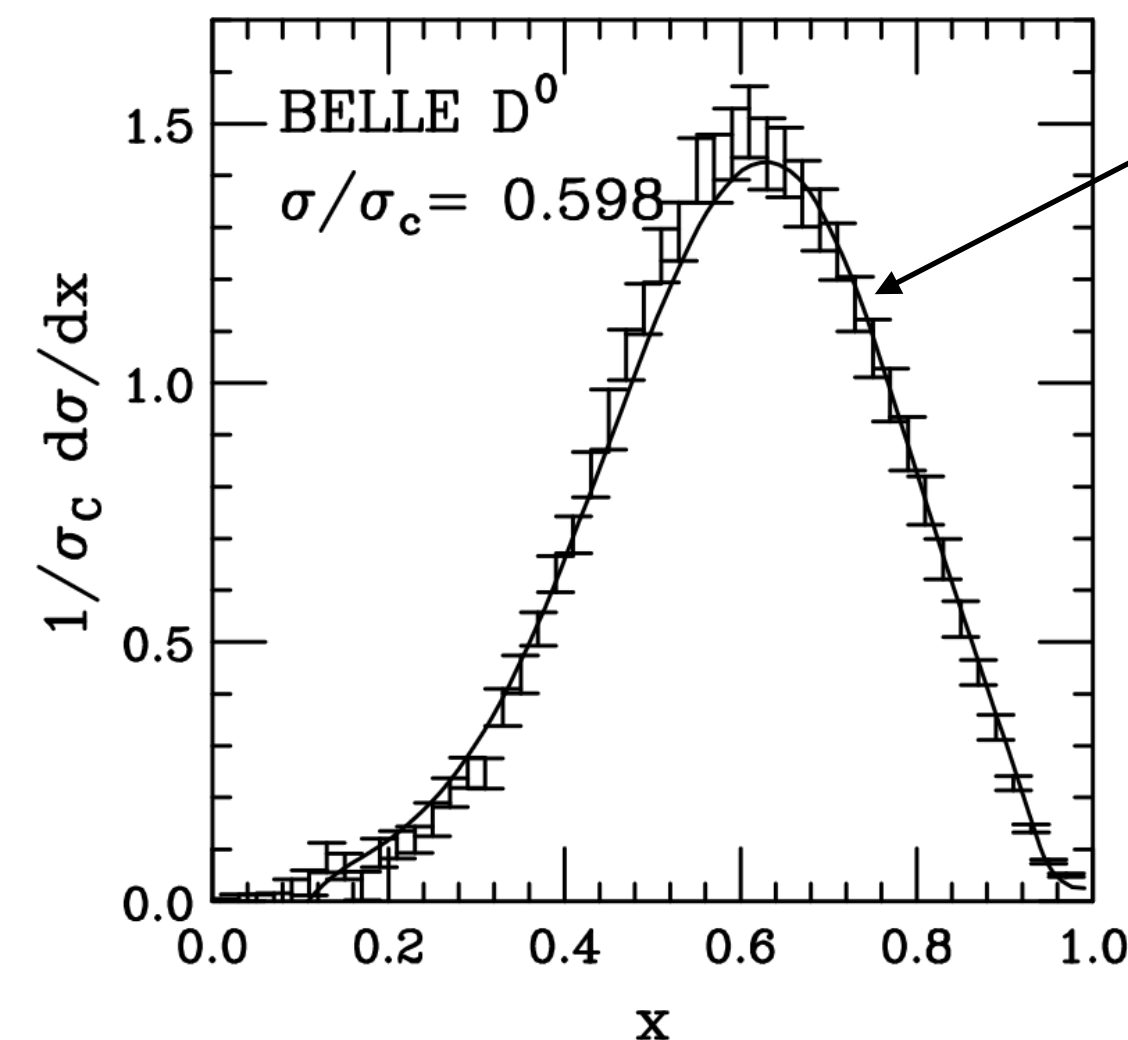
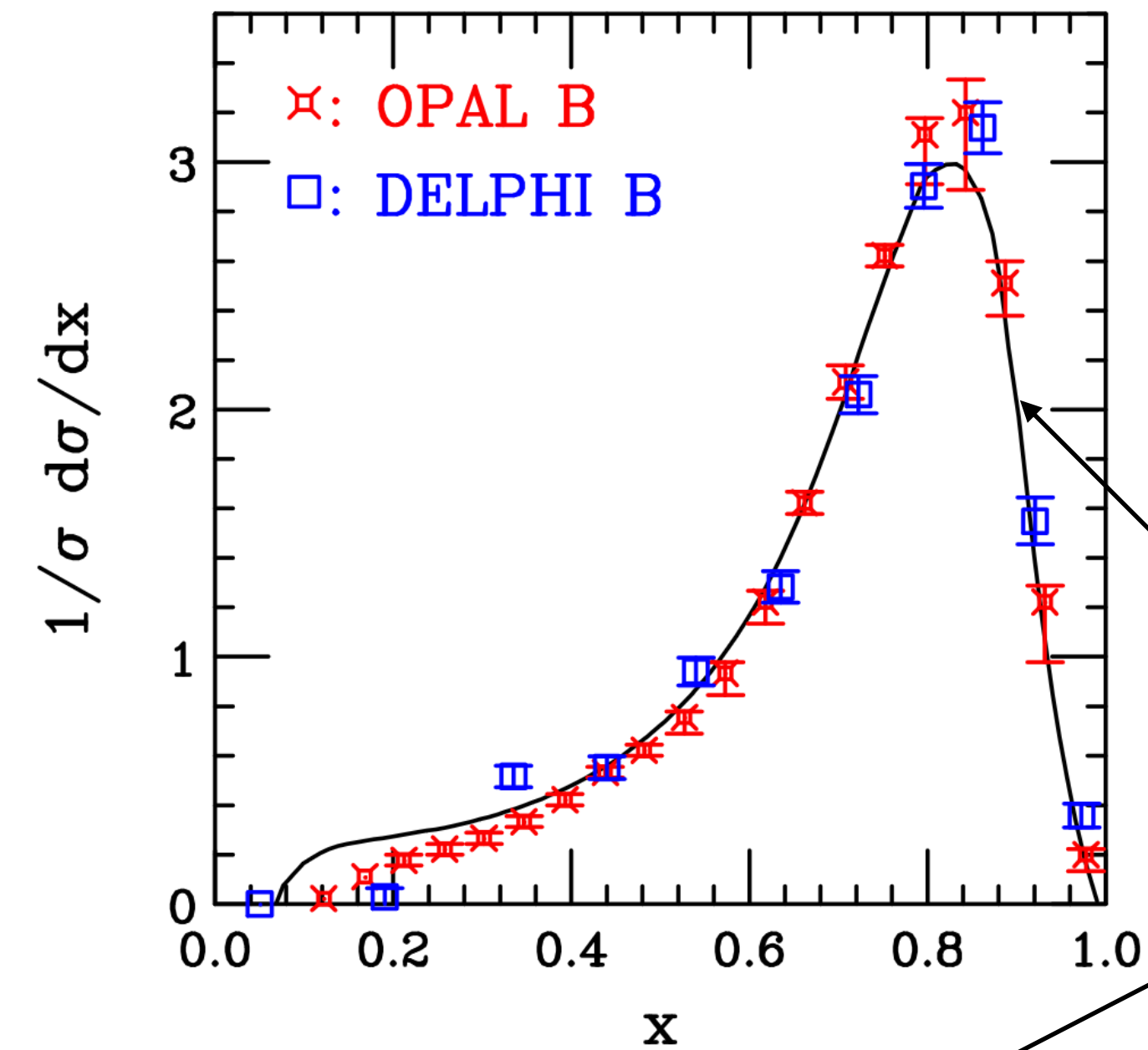


# Backup: the data

## At $e^+e^-$ colliders

- Compare with the data, what do we have?
- Many data comprising bottom and charm
- $B$  mesons @ 91.2 GeV ( $Z^0$ -peak):
  - ALEPH (mesons) [ALEPH\_0106051], SLD [SLD\_0202031], OPAL [OPAL\_0210031], DELPHI (some baryons as well) [DELPHI\_002-069 CONF 603]
- $D$  mesons, 2 energies:
  - ALEPH @ 91.2 GeV [ALEPH\_9909032], BELLE [Belle\_0506068], CLEO @ 10.6 GeV (ISR-corrected) [CLEO\_0402040] [CLEO\_9707018]

From: [Cacciari et al.\_0510032]



# Backup:state of the art for fits

## New results on bottom fragmentation

- Cacciari-Nason-Oleari 2005 → bottom & charm fits @ NLO + NLL
  - Good fits to  $D$  and  $B$  mesons fragmentation spectra
- Aglietti-Corcella-Ferrera 2007 → bottom & charm @ NLO + NNLL
  - Effective  $\alpha_S$ : call for full NNLO analysis [Aglietti et. al\_0610035] [Corcella, Ferrera\_0706.2357]
- Czakon-Generet-Mitov-Poncelet 2022 → bottom @ NNLO + NNLL
  - Fits to  $D^{np}$  with 5-8 parameters
- Our contribution:
  - Charm @ NNLO + NNLL through  $b$ -threshold → fits to charm
  - Public code!

