

Baryonic excitations in in heavy-quark QCD

Based on arXiv:2508.09927

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Collab. in

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Quark number susceptibilities

1

The thermodynamic quantities which relate fluctuations of quark number.

n th quark number susceptibilities $\chi_n^{(Q)}$ is defined as

$$\chi_n^{(Q)} \equiv \frac{\partial^n}{\partial (\mu_q/T)^n} \frac{\Omega(T, \mu_q)}{VT} = 3^n \chi_n^{(B)}$$

T : Temperature

μ_q : quark chemical potential

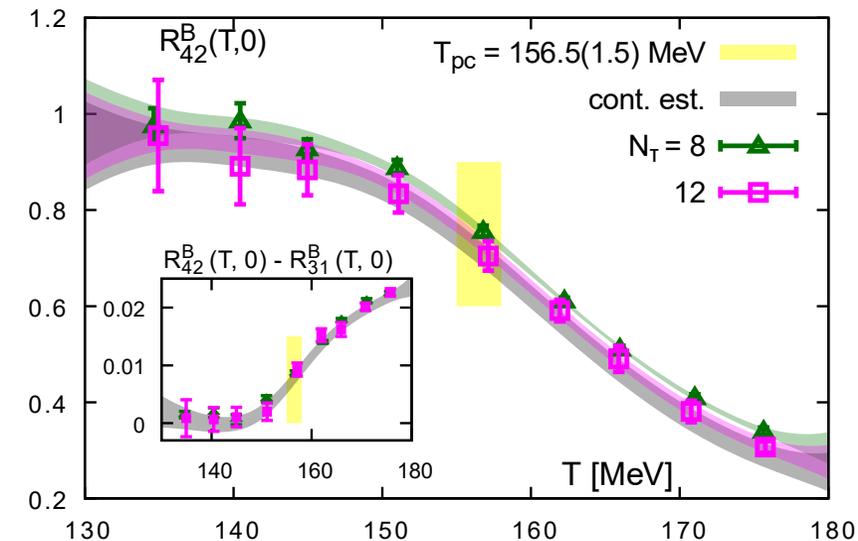
V : Spatial volume

$\chi_n^{(B)}$: n th baryon number susceptibility

This ratio can detect the phase of the system:

$$\frac{\chi_4^{(Q)}}{\chi_2^{(Q)}} = \begin{cases} 1 & (T \gg T_c) \\ 9 & (T \ll T_c) \end{cases} \Leftrightarrow \frac{\chi_4^{(B)}}{\chi_2^{(B)}} = \begin{cases} 1/9 & (T \gg T_c) \\ 1 & (T \ll T_c) \end{cases}$$

Ejiri, Karsch and Redlich (2006)



A. Bazavov, D. Bollweg, H.-T. Ding, P. Enns, J. Goswami, P. Hegde, O. Kaczmarek, F. Karsch, R. Larsen et al. (HotQCD Collaboration), Phys. Rev. D **101**, 074502 (2020)

Purpose:

Analytical derivation of the above ratio in heavy-quark QCD.

Hopping Parameter Expansion (HPE)

2

SU(3) lattice QCD with N_f flavor Wilson fermion (P.B.C. for the temporal direction)

WF action:
$$S_Q = \sum_{f=1}^{N_f} \sum_{s,s'} \bar{q}_f(s) \left[1 - \kappa_f \underbrace{H_f(s, s')}_{\text{Hopping matrix}} \right] q_f(s') \quad \kappa_f \simeq \frac{1}{m_f} \ll 1$$

$$H_f(s, s') = \sum_{k=1}^3 \left[(1 - \gamma_k) U_k(s) \delta_{s-\hat{k}, s'} + (1 + \gamma_k) U_k^\dagger(s - \hat{k}) \delta_{s+\hat{k}, s'} \right] \\ + \left[(1 - \gamma_4) e^{\mu_f a} U_4(s) \delta_{s-\hat{4}, s'} + (1 + \gamma_4) e^{-\mu_f a} U_4^\dagger(s - \hat{4}) \delta_{s+\hat{4}, s'} \right]$$

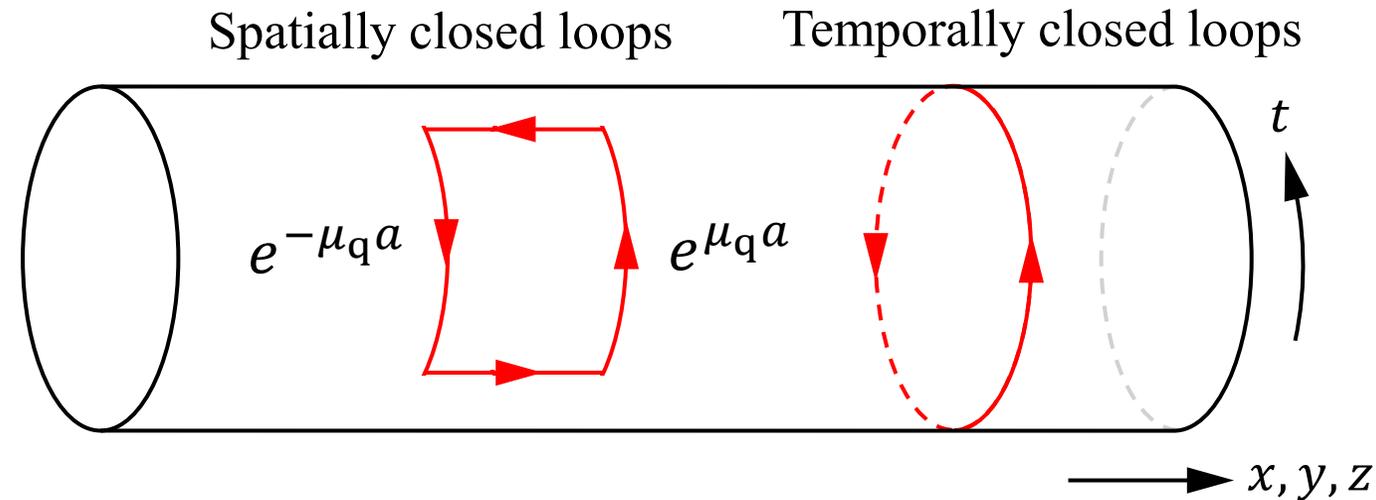
In this talk, we suppose degenerated quark masses and common chemical potential,

$$m_f = m \iff \kappa_f = \kappa, \quad \mu_f = \mu_q .$$

Partition function

$$Z = \int \mathcal{D}U \mathcal{D}\bar{q} \mathcal{D}q \exp[-S_g - S_Q] = \int \mathcal{D}U e^{-S_g} \exp \left[-N_f \sum_{l=1}^{\infty} \frac{\kappa^l}{l} \text{Tr } H^l \right]$$

Closed trajectories of length l .



μ_q -dependences

- Spatially closed loops: μ_q -independent
- Temporally closed loops: μ_q -dependent

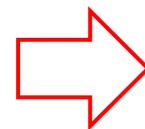
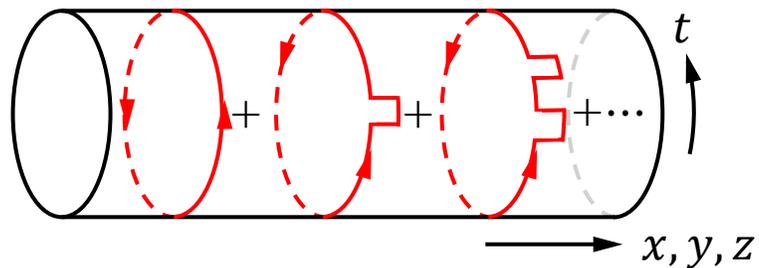
μ_q -dependence of temporally closed loops

4

μ_q -dependence:

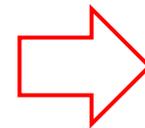
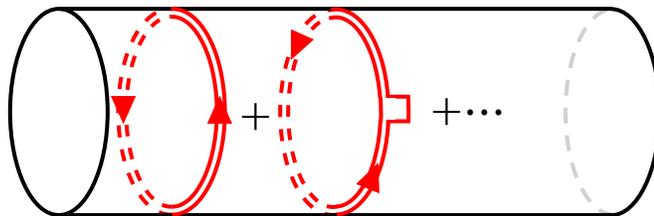
Specified by winding numbers of loop operators in the temporal direction.

Single winding



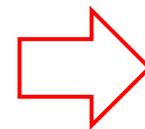
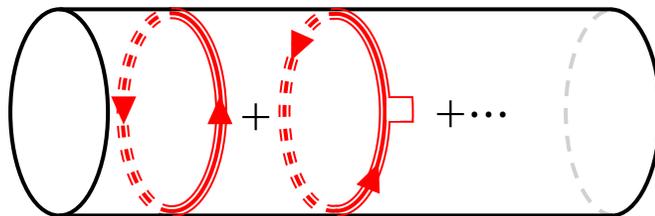
$$e^{\hat{\mu}_q} \quad (\hat{\mu}_q \equiv \mu_q/T)$$

Double winding



$$e^{2\hat{\mu}_q}$$

Triple winding

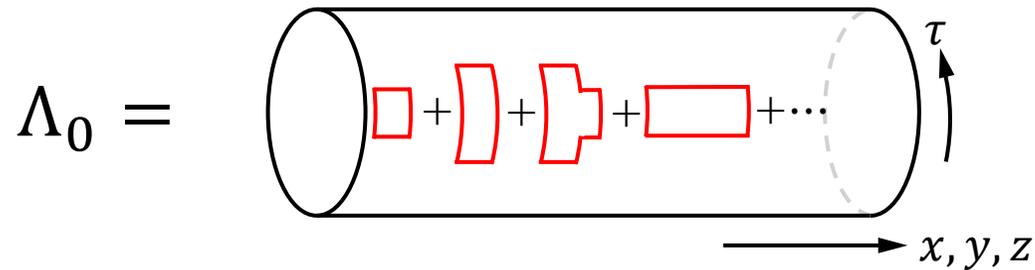


$$e^{3\hat{\mu}_q}$$

Fermion action

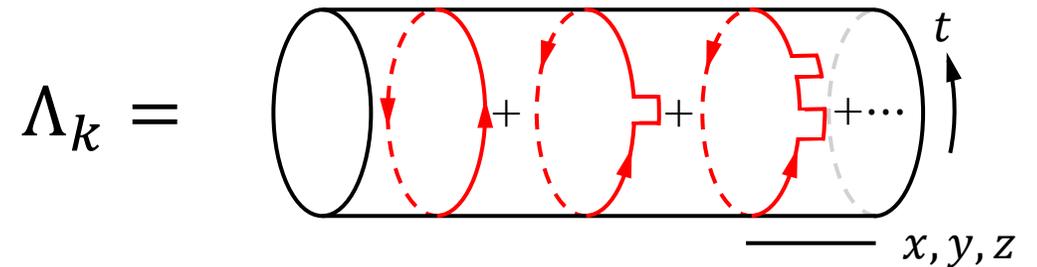
$$\ln \text{Det}(1 - \kappa H) = -N_f \sum_{l=1}^{\infty} \frac{\kappa^l}{l} \text{Tr} H^l = \sum_{k=-\infty}^{\infty} e^{k\hat{\mu}_q} \Lambda_k$$

Spatially closed loops ($k = 0$)



$$\Lambda_0 \equiv (2\kappa)^4 N_f \sum_s \sum_{\mu < \nu} \text{Retr}_c P_{\mu\nu}(s) + \mathcal{O}(\kappa^6)$$

Temporally closed loops ($k \neq 0$)



$$\Lambda_k \equiv (2\kappa)^{kN_t} 2N_f N_c \frac{(-1)^{k+1}}{k} L_k + \mathcal{O}(\kappa^{kN_t+2})$$

$$L_k \equiv \frac{1}{N_c} \sum_s \text{tr}_c \left[\prod_{j=0}^{kN_t-1} U_t(\mathbf{s} + j\hat{4}) \right].$$

k -winding Polyakov loop

The partition function

$$\mathbf{Z} = \mathbf{Z}_g \left\langle \exp \left[\sum_{k=-\infty}^{\infty} e^{k\hat{\mu}_q} \Lambda_k \right] \right\rangle$$

$$Z_g = \int \mathcal{D}U \exp[-S_g],$$
$$\langle \mathcal{O} \rangle = \lim_{\alpha \rightarrow 0} \frac{1}{Z_g} \int \mathcal{D}U \exp[-S_g - \alpha S_Q] \mathcal{O}.$$

The grand potential

$$\Omega(T, \mu_q) = -T \ln Z_g - T \ln \left\langle \exp \left[\sum_{k=-\infty}^{\infty} e^{k\hat{\mu}_q} \Lambda_k \right] \right\rangle$$

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→ cumulant expansion

Cumulant expanded grand potential

$$\Omega(T, \mu_q) = -T \ln Z_g - T \sum_{\{m_k\}} \frac{e^{\sum_k k m_k \hat{\mu}_q}}{\prod_k m_k!} \left\langle \prod_{k=-\infty}^{\infty} \Lambda_k^{m_k} \right\rangle_c .$$

Ω_q : quark contributions

Cumulant expanded grand potential

$$\Omega(T, \mu_q) = -T \ln Z_g - T \sum_{\{m_k\}} \frac{e^{\sum_k k m_k \hat{\mu}_q}}{\prod_k m_k!} \left\langle \prod_{k=-\infty}^{\infty} \Lambda_k^{m_k} \right\rangle_c .$$

Ω_q : quark contributions

$$\frac{\Omega_q(T, \mu_q)}{-T} = X_0 + \sum_{w=1}^{\infty} (e^{w \hat{\mu}_q} + e^{-w \hat{\mu}_q}) X_w$$

X_w : an expectation value consists of several Λ_k operators with a total winding number given by the sum of those of the Λ_k 's, $w = \sum_k k m_k$.

$$\chi_n^{(Q)}(T, \mu_q) \equiv -\frac{\partial^n}{\partial \hat{\mu}_q^n} \frac{\Omega(T, \mu_q)}{TV} = -\frac{\partial^n}{\partial \hat{\mu}_q^n} \frac{\Omega_q(T, \mu_q)}{TV} .$$


$$\Omega_q(T, \mu_q) = X_0 + \sum_{w=1}^{\infty} (e^{w\hat{\mu}_q} + e^{-w\hat{\mu}_q}) X_w$$
$$= \frac{1}{V} \sum_{w=1}^{\infty} w^n [e^{w\hat{\mu}_q} + (-1)^n e^{-w\hat{\mu}_q}] X_w .$$

$$\chi_n^{(Q)}(T, \mu_q) \equiv -\frac{\partial^n}{\partial \hat{\mu}_q^n} \frac{\Omega(T, \mu_q)}{TV} = -\frac{\partial^n}{\partial \hat{\mu}_q^n} \frac{\Omega_q(T, \mu_q)}{TV} .$$



$$\Omega_q(T, \mu_q) = X_0 + \sum_{w=1}^{\infty} (e^{w\hat{\mu}_q} + e^{-w\hat{\mu}_q}) X_w$$

$$= \frac{1}{V} \sum_{w=1}^{\infty} w^n [e^{w\hat{\mu}_q} + (-1)^n e^{-w\hat{\mu}_q}] X_w .$$

The ratio of 4th to 2nd :

$$\frac{\chi_4^{(Q)}(T, \mu_q)}{\chi_2^{(Q)}(T, \mu_q)} = \frac{\sum_{w=1}^{\infty} w^4 C_w X_w}{\sum_{w'=1}^{\infty} w'^2 C_{w'} X_{w'}} . \quad (C_w = e^{w\hat{\mu}_q} + e^{-w\hat{\mu}_q})$$

@ deconfined phase ($T > T_c$)

\mathbb{Z}_3 -symmetry is broken and all X_w can have nonzero value.

→ The leading contribution: X_1 .

$$\begin{aligned}\frac{\chi_4^{(Q)}(T, \mu_q)}{\chi_2^{(Q)}(T, \mu_q)} &= \frac{C_1 X_1 + 2^4 C_2 X_2 + \dots}{C_1 X_1 + 2^2 C_2 X_2 + \dots} \\ &= \mathbf{1} + 12 \frac{C_2 X_2}{C_1 X_1} \\ &\quad \mathcal{O}(\kappa^{N_t})\end{aligned}$$

@ confined phase ($T < T_c$)

@ deconfined phase ($T > T_c$)

\mathbb{Z}_3 -symmetry is broken and all X_w can have nonzero value.

→ The leading contribution: X_1 .

$$\frac{\chi_4^{(Q)}(T, \mu_q)}{\chi_2^{(Q)}(T, \mu_q)} = \frac{C_1 X_1 + 2^4 C_2 X_2 + \dots}{C_1 X_1 + 2^2 C_2 X_2 + \dots}$$

$$= \mathbf{1} + 12 \frac{C_2 X_2}{C_1 X_1}.$$

$\mathcal{O}(\kappa^{N_t})$

@ confined phase ($T < T_c$)

\mathbb{Z}_3 -symmetry is preserved and X_{3n} ($n \in \mathbb{Z}$) can have nonzero value.

→ The leading contribution: X_3 .

$$\frac{\chi_4^{(Q)}(T, \mu_q)}{\chi_2^{(Q)}(T, \mu_q)} = \frac{3^4 C_3 X_3 + 6^4 C_6 X_6 + \dots}{3^2 C_3 X_3 + 6^2 C_6 X_6 + \dots}$$

$$= \mathbf{9} + 140 \frac{C_6 X_6}{C_3 X_3}.$$

$\mathcal{O}(\kappa^{3N_t})$

@ deconfined phase ($T > T_c$)

\mathbb{Z}_3 -symmetry is broken and all X_w can have nonzero value.

→ The leading contribution: X_1 .

$$\begin{aligned} \frac{\chi_4^{(Q)}(T, \mu_q)}{\chi_2^{(Q)}(T, \mu_q)} &= \frac{C_1 X_1 + 2^4 C_2 X_2 + \dots}{C_1 X_1 + 2^2 C_2 X_2 + \dots} \\ &= \mathbf{1} + 12 \frac{C_2 X_2}{C_1 X_1} \\ &\quad \underbrace{\hspace{10em}}_{\mathcal{O}(\kappa^{N_t})} \end{aligned}$$

@ confined phase ($T < T_c$)

\mathbb{Z}_3 -symmetry is preserved and X_{3n} ($n \in \mathbb{Z}$) can have nonzero value.

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$$\begin{aligned} \frac{\chi_4^{(Q)}(T, \mu_q)}{\chi_2^{(Q)}(T, \mu_q)} &= \frac{3^4 C_3 X_3 + 6^4 C_6 X_6 + \dots}{3^2 C_3 X_3 + 6^2 C_6 X_6 + \dots} \\ &= \mathbf{9} + 140 \frac{C_6 X_6}{C_3 X_3} \\ &\quad \underbrace{\hspace{10em}}_{\mathcal{O}(\kappa^{3N_t})} \end{aligned}$$

$$\frac{\chi_4^{(Q)}}{\chi_2^{(Q)}} = \begin{cases} \mathbf{1} & (T > T_c) \\ \mathbf{9} & (T < T_c) \end{cases} \text{ is analytically reproduced!}$$

Theory:

SU(3) lattice QCD with N_f flavor Wilson fermion.

Assumption:

- Heavy quark
(\mathbb{Z}_3 symmetry)

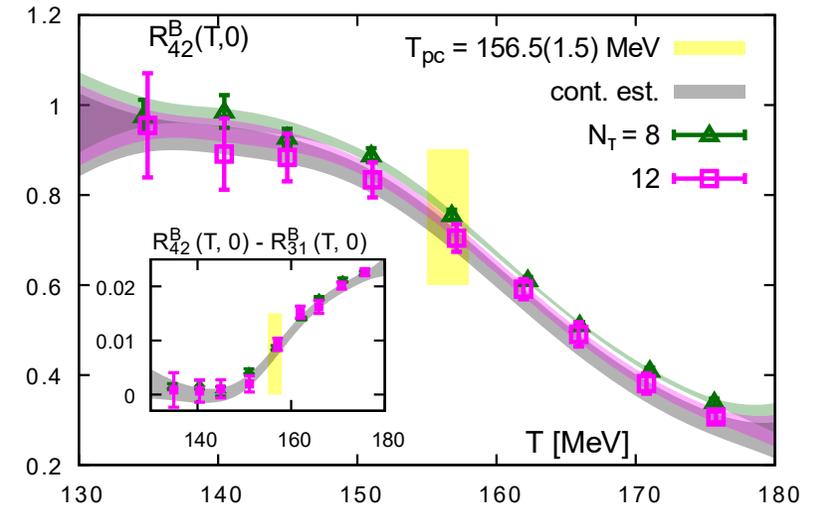
Method:

1. Hopping parameter expansion (HPE)
2. Cumulant expansion

Result:

$\chi_4^{(Q)} / \chi_2^{(Q)}$ is analytically calculated as

$$\frac{\chi_4^{(Q)}}{\chi_2^{(Q)}} = \begin{cases} \mathbf{1} & (T > T_c) \\ \mathbf{9} & (T < T_c) \end{cases} \quad \text{at the LO of HPE.}$$



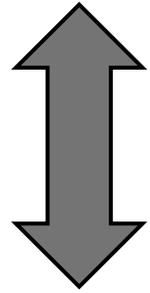
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Thank you for listening!

Strategy

$$\Omega_{\text{SB}}(T, \mu_q) = -T g N_{\text{site}} (e^{\hat{\mu}_q} + e^{-\hat{\mu}_q}) \underline{e^{-\beta M}}$$

Same at the LO

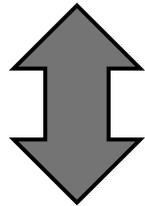


$$\Omega_q(T, \mu_q) = -T X_0 - T \sum_{w=1}^{\infty} (e^{w \hat{\mu}_q} + e^{-w \hat{\mu}_q}) \underline{X_w}$$

The Mass of the excitation can be extracted from LO behavior of X_w .

Quark excitation

$$\Omega_{\text{SB}}(T, \mu_q) = -T \cdot 2N_f N_c \cdot N_{\text{site}} \left(e^{\hat{\mu}_q} + e^{-\hat{\mu}_q} \right) \underline{e^{-\beta M_Q}}$$



$$\Omega_q(T, \mu_q) = -TX_0 - T \cdot 2N_f N_c \cdot N_{\text{site}} \left(e^{\hat{\mu}_q} + e^{-\hat{\mu}_q} \right) \underbrace{(2\kappa)^{N_t} \frac{L_1}{N_{\text{site}}}}_{2\kappa = e^{-m_q a}}$$

Mass of quark excitation

$$M_Q = -\frac{1}{\beta} \ln \left[(2\kappa)^{N_t} \frac{\langle L_1 \rangle}{N_{\text{site}}} \right] = m_q + \underline{F_Q}$$

Free energy of a quark

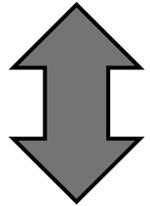
Baryon excitation

$$\Omega_{\text{SB}}(T, \mu_q) = -TN_{\text{site}}(e^{3\hat{\mu}_q} + e^{-3\hat{\mu}_q}) \sum_b g_b e^{-M_b/T}$$

b : baryons

g_b : degeneracy

M_b : mass of baryon b



$$\Omega_q(T, \mu_q) = -TX_0 - TN_{\text{site}}(e^{3\hat{\mu}_q} + e^{-3\hat{\mu}_q})(2\kappa)^{3N_t} \left(\frac{2}{3} N_f \frac{\langle L_3 \rangle}{N_{\text{site}}} - 2N_f^2 \frac{\langle L_2 L_1 \rangle_c}{N_{\text{site}}} + \frac{8N_f^3}{3!} \frac{\langle L_1^3 \rangle_c}{N_{\text{site}}} \right)$$

How can we distinguish each sectors of baryonic excitations?

Symmetric ψ_{space}

Spin 3/2:

$$g_{3/2}^{(S)} = 4 \times \frac{(N_f + 2)(N_f + 1)N_f}{6}$$

Spin 1/2:

$$g_{1/2}^{(M)} = 2 \times 2 \frac{(N_f + 1)N_f(N_f - 1)}{6}$$

Anti-symmetric ψ_{space}

Spin 3/2:

$$g_{3/2}^{(A)} = 4 \times \frac{N_f(N_f - 1)(N_f - 2)}{6}$$

Spin 1/2:

$$g_{1/2}^{(M)} = 2 \times \frac{(N_f + 1)N_f(N_f - 1)}{6}$$

Contributions from each baryons can be distinguished by the N_f -dependences.

Baryon contribution:

$$\begin{aligned}
 \Omega_q(T, \mu_q) &= \dots + \#(2\kappa)^{3N_t} \left(\frac{2}{3} N_f \frac{\langle L_3 \rangle}{N_{\text{site}}} - 2N_f^2 \frac{\langle L_2 L_1 \rangle_c}{N_{\text{site}}} + \frac{4N_f^3}{3} \frac{\langle L_1^3 \rangle_c}{N_{\text{site}}} \right) \\
 &= g_{3/2}^{(S)} \times \frac{2}{3} \times \frac{\langle L_3 \rangle - 3\langle L_2 L_1 \rangle_c + 2\langle L_1^3 \rangle_c}{N_{\text{site}}} && \text{Spin 3/2} \\
 & && \text{Flavor sym.} \\
 &+ g_{1/2}^{(M)} \times \frac{2}{3} \times \frac{\langle L_3 \rangle + 3\langle L_2 L_1 \rangle_c + 2\langle L_1^3 \rangle_c}{N_{\text{site}}} && \text{Spin 1/2} \\
 & && \text{Flavor mixed} \\
 &+ g_{3/2}^{(A)} \times \frac{1}{3} \times \frac{-\langle L_3 \rangle + 4\langle L_1^3 \rangle_c}{N_{\text{site}}} && \text{Spin 3/2} \\
 & && \text{Flavor anti-sym.}
 \end{aligned}$$

Classification of each baryons seems to be achieved in heavy-quark QCD.

However, difficult to calculate them in an analytical manner.

Strong coupling expansion:

$$\Omega_q(T, \mu_q) = \dots + \#(2\kappa)^{3N_t} \left(\frac{2}{3} N_f \frac{\langle L_3 \rangle}{N_{\text{site}}} - 2N_f^2 \frac{\langle L_2 L_1 \rangle_c}{N_{\text{site}}} + \frac{4N_f^3}{3} \frac{\langle L_1^3 \rangle_c}{N_{\text{site}}} \right)$$

$$\simeq g_{3/2}^{(S)} \times 4 \times e^{-3m_q/T}$$

Spin 3/2

Flavor sym.

$$+ g_{1/2}^{(M)} \times 2 \times e^{-3m_q/T}$$

Spin 1/2

Flavor mixed

$$+ g_{3/2}^{(A)} \times 0 \times$$

Spin 3/2

Flavor anti-sym.

Very simple and reasonable results are derived.

For a single variable

Let $P(x)$ be a probability distribution function of x ,
a cumulant generating function is defined as

$$C(\theta) = \ln \langle e^{\theta x} \rangle_{P(x)} \quad . \quad \langle f(x) \rangle_{P(x)} \equiv \int dx f(x) P(x)$$

m th cumulant of x is calculated as a m th derivative of $C(\theta)$ with respect to θ ,

$$\langle x^m \rangle_c = \left. \frac{\partial^m}{\partial \theta^m} \ln \langle e^{\theta x} \rangle_{P(x)} \right|_{\theta=0} \quad ,$$

and thus

$$C(\theta) = \sum_{m=0}^{\infty} \frac{\langle x^m \rangle_c}{m!} \theta^m \quad .$$

For multiple variables

Let $P(\vec{x})$ be a probability distribution function of $\vec{x} = (x_1, \dots, x_N)$,
a cumulant generating function is defined as

$$C(\vec{\theta}) = \ln \left\langle e^{\sum_{k=1}^N \theta_k x_k} \right\rangle_{P(\vec{x})} \quad \cdot \quad \langle f(\vec{x}) \rangle_{P(\vec{x})} \equiv \int d\vec{x} f(\vec{x}) P(\vec{x})$$
$$\vec{\theta} = (\theta_1, \dots, \theta_N)$$

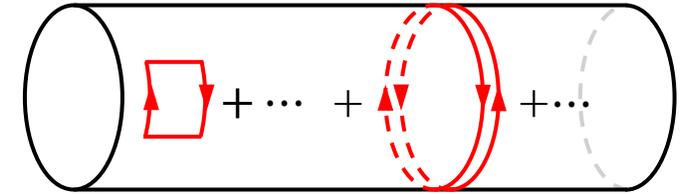
A cumulant of \vec{x} is calculated as derivatives of $C(\vec{\theta})$ with respect to $\vec{\theta}$,

$$\langle x_1^{m_1} \dots x_N^{m_N} \rangle_c = \frac{\partial^{m_1}}{\partial \theta_1^{m_1}} \dots \frac{\partial^{m_N}}{\partial \theta_N^{m_N}} \ln \left\langle e^{\sum_{k=1}^N \theta_k x_k} \right\rangle_{P(\vec{x})} \Big|_{\vec{\theta}=0},$$

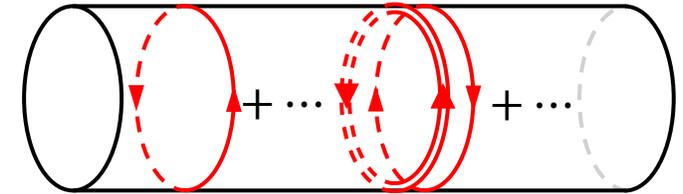
and thus

$$C(\vec{\theta}) = \left\langle \prod_{k=1}^n \sum_{m_k=0}^{\infty} \frac{(\theta_k x_k)^{m_k}}{m_k!} \right\rangle_c \cdot$$

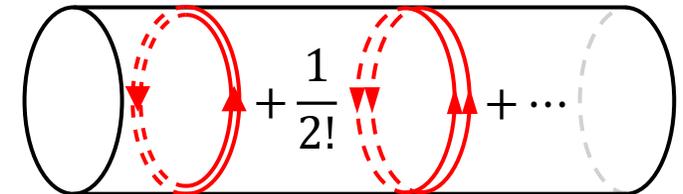
$$X_0 = \underbrace{\langle \Lambda_0 \rangle}_{\text{LO}} + \langle \Lambda_1 \Lambda_{-1} \rangle_c + \dots = \mathcal{O}(\kappa^4)$$



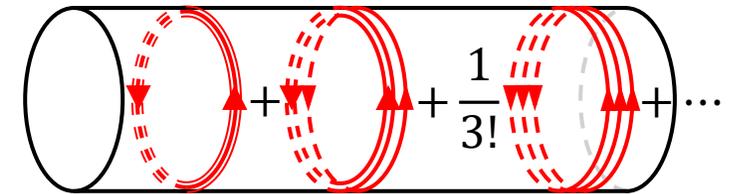
$$X_1 = \underbrace{\langle \Lambda_1 \rangle}_{\text{LO}} + \langle \Lambda_2 \Lambda_{-1} \rangle_c + \dots = \mathcal{O}(\kappa^{N_t})$$



$$X_2 = \underbrace{\langle \Lambda_2 \rangle}_{\text{LO}} + \frac{1}{2!} \langle \Lambda_1^2 \rangle_c + \dots = \mathcal{O}(\kappa^{2N_t})$$



$$X_3 = \underbrace{\langle \Lambda_3 \rangle}_{\text{LO}} + \langle \Lambda_2 \Lambda_1 \rangle_c + \frac{1}{3!} \langle \Lambda_1^3 \rangle_c + \dots = \mathcal{O}(\kappa^{3N_t})$$



Note: X_w is a real (because $\Omega_q \in \mathbb{R}$ by its definition), i.e., $X_w = X_w^* = X_{-w}$.

$$\frac{\Omega_q(T, \mu_q)}{-T} = X_0 + \sum_{w=1}^{\infty} (e^{w\hat{\mu}_q} X_w + e^{-w\hat{\mu}_q} X_{-w}) = X_0 + \sum_{w=1}^{\infty} (e^{w\hat{\mu}_q} + e^{-w\hat{\mu}_q}) X_w$$

In our case, $c(\vec{\theta}) = \ln \left\langle e^{\sum_{k=1}^N \theta_k x_k} \right\rangle_{P(\vec{x})}$ is

$$\ln \left\langle \exp \left[\sum_{k=-\infty}^{\infty} e^{k\hat{\mu}_q} \Lambda_k \right] \right\rangle = \ln \left\langle \exp \left[\sum_{k=-\infty}^{\infty} \theta_k \Lambda_k \right] \right\rangle_{\theta_k = e^{k\hat{\mu}_q}} .$$

Applying the cumulant expansion method,

$$\begin{aligned} \ln \left\langle \exp \left[\sum_{k=-\infty}^{\infty} e^{k\hat{\mu}_q} \Lambda_k \right] \right\rangle &= \left\langle \prod_{k=-\infty}^{\infty} \sum_{m_k=0}^{\infty} \frac{e^{km_k\hat{\mu}_q} \Lambda_k^{m_k}}{m_k!} \right\rangle_c \\ &= \sum_{\{m_k\}} \frac{e^{\sum_k km_k\hat{\mu}_q}}{\prod_k m_k!} \left\langle \prod_{k=-\infty}^{\infty} \Lambda_k^{m_k} \right\rangle_c . \end{aligned}$$