

Anomalous Dimensions via On-Shell Methods

Operator Mixing and Leading Mass Effects
Higgs and Effective Field Theory – HEFT 2024

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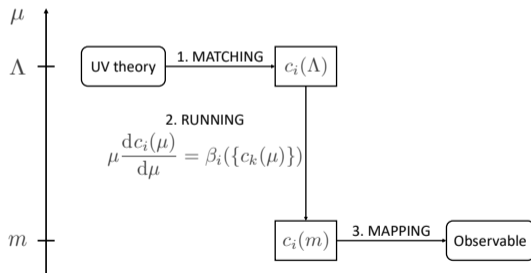
EFT & Running

1 Introduction

- **EFT Approach:** Standard Model as the low-energy description of a more fundamental theory emerging at a large energy scale Λ

$$\mathcal{L}_{\text{EFT}} = \sum_i \frac{c_i}{\Lambda^{[\mathcal{O}_i]-4}} \mathcal{O}_i.$$

- **Running:** The high-scale Wilson coefficients c_i to be evolved from the scale Λ down to the experimental scale.
- **EFT anomalous dimensions:** crucial for interpreting experimental results.





On-Shell Methods

1 Introduction

- Only (products of) operators with the **same quantum numbers** can mix.
- By focusing on the physical degrees of freedom, on-shell methods have been exploited to prove several **nonrenormalization theorems** (i.e. selection rules) based on:
 - HELICITY; [Cheung, Shen (15)]
 - LENGTH; [Bern, Parra-Martinez, Sawyer (20)]
 - ANGULAR MOMENTUM. [Jiang, Shu, Xiao, Zheng (21)]



S-Matrix & Dilatation Operator

2 The Method of Form Factors

- **Form factor** associated with a local, gauge-invariant operator \mathcal{O}_i :

$$F_i(\vec{n}; q) = \frac{1}{\Lambda^{[\mathcal{O}_i]-4}} \langle \vec{n} | \mathcal{O}_i(q) | 0 \rangle .$$

- Exploiting the fundamental relations [Miró, Ingoldby, Riembau (20)]

◇ **Analyticity:**

$$F_i^*(\{s_{ij} - i\epsilon\}) = F_i(\{s_{ij} + i\epsilon\})$$

◇ **Unitarity:**

$$\sum_{\vec{n}} \int d\Pi_n |\vec{n}\rangle \langle \vec{n}| = \mathbb{1}, \quad d\Pi_n = \prod_{i=1}^n \frac{d^3 p_i}{(2\pi)^3} \frac{1}{2E_i}$$

◇ **CPT theorem:**

$$\langle \vec{n}; \text{out} | \mathcal{O}_i(x) | 0 \rangle = \langle 0 | \mathcal{O}_i^\dagger(-x) | \vec{n}; \text{in} \rangle$$

it is possible to show that [Caron-Huot, Wilhelm (16)]

$$e^{-i\pi D} F_i^*(\vec{n}) = (S F_i^*)(\vec{n}) \left(= \sum_{\vec{m}} \int d\Pi_m \langle \vec{n} | S | \vec{m} \rangle F_i^*(\vec{m}) \right)$$

where $S = \mathbb{1} + i\mathcal{M}$ is the **S-matrix** and $D = \sum_i p_i \cdot \partial / \partial p_i$ is the **dilatation operator**.



Nonperturbative Relations

2 The Method of Form Factors

S&*D* Relation

$$(e^{-i\pi D} - 1)F_i^* = i(\mathcal{M}F_i^*)$$



Nonperturbative Relations

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S&D Relation

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- In dimensional regularization and in **absence of masses**, $D \simeq -\mu \partial/\partial\mu$, which implies

Callan-Symanzik Equation

$$DF_j = \left(\frac{\partial\beta_i}{\partial c_j} - \delta_{ij}\gamma_{i,\text{IR}} + \delta_{ij}\beta_g \frac{\partial}{\partial g} \right) F_i$$



Nonperturbative Relations

2 The Method of Form Factors

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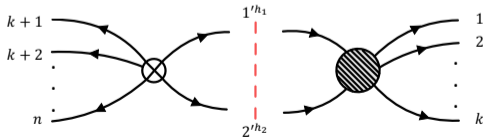
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- Can be combined and expanded, e.g. at one-loop

$$\left(\frac{\partial\beta_i^{(1)}}{\partial c_j} - \delta_{ij}\gamma_{i,\text{IR}}^{(1)} + \delta_{ij}\beta_g^{(1)} \frac{\partial}{\partial g} \right) F_i^{(0)} = -\frac{1}{\pi}(\mathcal{M}F_j)^{(1)}$$





Anomalous Dimensions

3 General Operator Mixing

- Near the **Gaussian fixed point (*)**, where $c_i = 0 \forall i$, the RGEs for the Wilson coefficients c_i can be Taylor expanded as

$$\mu \frac{dc_i}{d\mu} = \sum_{n>0} \frac{1}{n!} \gamma_{i \leftarrow j_1, \dots, j_n} c_{j_1} \cdots c_{j_n} = \gamma_{i \leftarrow j} c_j + \frac{1}{2} \gamma_{i \leftarrow j, k} c_j c_k + \cdots,$$

where

Anomalous Dimensions

$$\gamma_{i \leftarrow j_1, \dots, j_n} = \left. \frac{\partial^n \beta_i}{\partial c_{j_1} \cdots \partial c_{j_n}} \right|_*$$

- They can be perturbatively expanded as functions of the couplings of the renormalizable Lagrangian

$$\gamma_{i \leftarrow j_1, \dots, j_n} = \sum_{\ell>0} \gamma_{i \leftarrow j_1, \dots, j_n}^{(\ell)}$$



Master formulae

3 General Operator Mixing

- In the case of a **double operator insertion** with $j, k \neq i$, we can neglect $\gamma_{i,IR}$ and obtain the **master formulae** at the desired order:

One-loop order

$$\gamma_{i \leftarrow j, k}^{(1)} F_i|_*^{(0)} = -\frac{1}{\pi} \frac{\partial}{\partial c_k} \Big|_* (\mathcal{M} F_j)^{(1)}$$

Two-loop order

$$\gamma_{i \leftarrow j, k}^{(2)} F_i|_*^{(0)} = -\frac{1}{\pi} \frac{\partial}{\partial c_k} \Big|_* (\text{Re } \mathcal{M} \text{ Re } F_j)^{(2)} - \gamma_{i \leftarrow j}^{(1)} \frac{\partial}{\partial c_k} \Big|_* \text{Re } F_i^{(1)} - \gamma_{i \leftarrow j, k}^{(1)} \text{Re } F_i|_*^{(1)}$$

- The extension of these formulae for multiple operator insertions $\gamma_{i \leftarrow j_1, \dots, j_n}$ is straightforward.



Higgs Low-Energy Theorem

4 Leading Mass Effects

- Dimensions of the renormalizing operators and the renormalized one **do not match** \implies anomalous dimension must scale as a power of dimensionful couplings (e.g. **fermion masses**).
- $m_f \neq 0 \implies$ **chirality-violating** and **preserving** operators can mix.



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- Fermionic Higgs interaction in the SM

$$\mathcal{L}_h^{\text{int}} = - \left(1 + \frac{h}{v} \right) \sum_f m_f \bar{f} f$$

implies [Ellis, Gaillard, Nanopoulos (76); Shifman et al. (79)]

Higgs Low-Energy Theorem

$$\lim_{\{p_h\} \rightarrow 0} \mathcal{M}(A \rightarrow B + Nh) = \sum_f \left(\frac{m_f}{v} \frac{\partial}{\partial m_f} \right)^N \mathcal{M}(A \rightarrow B)$$



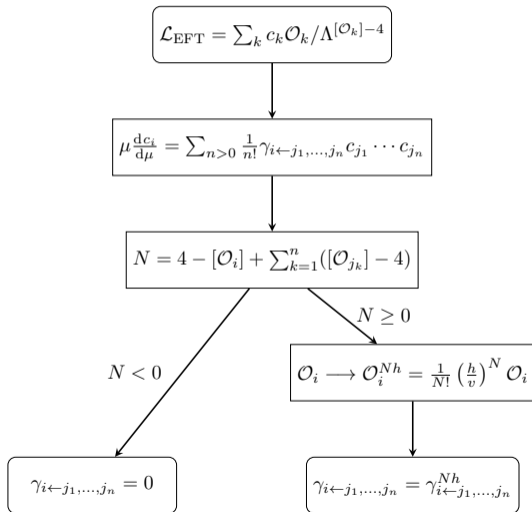
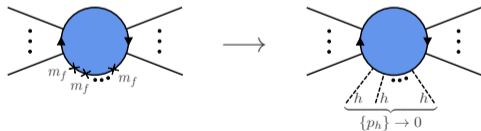
Flowchart

4 Leading Mass Effects

The amplitude requires N fermion mass insertions not to vanish



Consider an equivalent amplitude entailing N extra massless Higgs fields





Example: Running of θ_{QCD} in LEFT

5 Phenomenological Applications

LEFT

Low-energy EFT of the SM below the electroweak scale [Jenkins, Manohar, Stoffer (18); Jenkins, Manohar, Stoffer (18)]. The dimension-5 Lagrangian consists of **dipole operators**

$$\mathcal{L}_{\text{LEFT}}^{(5)} \supset \frac{a_f}{\Lambda} \mathcal{O}_{\text{CM}} + \frac{d_f}{\Lambda} \mathcal{O}_{\text{CE}}, \quad \mathcal{O}_{\text{CM}} = \bar{f} \sigma^{\mu\nu} T^a f G_{\mu\nu}^a, \quad \mathcal{O}_{\text{CE}} = i \bar{f} \sigma^{\mu\nu} \gamma_5 T^a f G_{\mu\nu}^a.$$

- Consider the renormalization of $\mathcal{O}_\vartheta = G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$ as induced by \mathcal{O}_{CM} and \mathcal{O}_{CE} .



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- Consider the renormalization of $\mathcal{O}_\vartheta = G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$ as induced by \mathcal{O}_{CM} and \mathcal{O}_{CE} .
- In the limit of massless fermions, $\gamma_{\vartheta \leftarrow \text{CM,CE}} = 0$, and possible mass effects must be of **order** $(m_f/\Lambda)^2$. Indeed $N = (4 - [\mathcal{O}_\vartheta]) + ([\mathcal{O}_{\text{CM}}] - 4) + ([\mathcal{O}_{\text{CE}}] - 4) = 2$.
- Thus, the required double Higgs insertion can be accounted for by introducing the operator $\mathcal{O}_\vartheta^{2h} = (h^2/2v^2) G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$.



Example: Running of θ_{QCD} in LEFT

5 Phenomenological Applications

- Then, $\gamma_{\vartheta \leftarrow \text{CM,CE}}^{(1)}$ can be extracted from

$$\gamma_{\vartheta \leftarrow \text{CM,CE}}^{(1)} F_{\vartheta}^{2h}|_*^{(0)} = -\frac{1}{\pi} \frac{\partial}{\partial d_f} \Big|_* (\mathcal{M}F_{\text{CM}})^{(1)}.$$

$$\gamma_{\vartheta \leftarrow \text{CM,CE}}^{(1)} = -\frac{1}{\pi} \frac{\partial}{\partial d_f} \Big|_{d_f=0} \sum_{h_1, h_2} \left[\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \end{array} \right] + \text{permutations} \Big|_{*, d_f \neq 0}$$

The diagrams show the extraction of the anomalous dimension from the derivative of the form factor. Diagram 1 shows a gluon exchange between a quark and a gluon. Diagram 2 shows a ghost exchange between a quark and a gluon. Diagram 3 shows a ghost exchange between a quark and a ghost. The diagrams are summed over h_1, h_2 and include permutations.

- From the calculation of tree-level form factors and amplitudes, we obtain

$$F_{\vartheta}^{2h}|_*^{(0)}(1_{g^a}^-, 2_{g^b}^-, 3_h, 4_h) = -\frac{2i}{v^2} \delta^{ab} \langle 12 \rangle^2,$$

$$(\mathcal{M}F_{\text{CM}})|_{*, d_f \neq 0}^{(1)}(1_{g^a}^-, 2_{g^b}^-, 3_h, 4_h) = \frac{id_f}{\pi \Lambda^2} \frac{m_f^2}{v^2} \delta^{ab} \langle 12 \rangle^2,$$

implying $\gamma_{\vartheta \leftarrow \text{CM,CE}}^{(1)} = m_f^2 / (2\pi^2 \Lambda^2)$.



Summary

6 Conclusions

- We derived a master formula accounting for the **general operator mixings** of operators at one- and two-loop orders.
- **Leading mass effects** can be included within this framework, still working in the massless limit and relying on the **Higgs low-energy theorem**.
- These findings have been validated by reproducing well-established results of the literature, relative to popular EFTs.



Future Prospects

6 Conclusions

- **Future experimental advances** will improve the limits on **low-energy observables** (e.g. flavor violating processes, electric and magnetic dipole moments) by orders of magnitude.
- The computation of **higher-order contributions** will be crucial for the precise assessment of **new physics** effects.
- While this is a very challenging task when approached with standard techniques, **on-shell and unitarity-based methods** offer a simpler, more efficient and elegant way to reach this goal.



Thank you for your attention!
Q&A



Selection Rules: Dimension-6 Operators

7 Backup Slides

	F^3	$\phi^2 F^2$	$F\phi\psi^2$	$D^2\phi^4$	$D\phi^2\psi^2$	ψ^4	$\phi^3\psi^2$	ϕ^6
F^3		\times_1	(2)	\times_2	\times_2	\times_2	\times_3	\times_3
$\phi^2 F^2$							(2)	\times_2
$F\phi\psi^2$							\times_1	\times_3
$D^2\phi^4$							\times_1	\times_2
$D\phi^2\psi^2$							\times_1	(3)
ψ^4							(2)	(4)
$\phi^3\psi^2$								(2)
ϕ^6								

Table: From [Bern, Parra-Martinez, Sawyer (20)].
Dimension-6 operator mixing pattern. Operators labeling the rows are renormalized by the operators labeling the columns.

- \times_L : length selection rules apply at L -loop order
- (L) : no diagrams before L loops, but renormalization is possible at that order
- Light-gray: zero at one loop due to helicity selection rules



Spinor-Helicity Formalism

7 Backup Slides

The 4-momentum of an on-shell state is mapped onto a 2×2 matrix

$$p^\mu = (p^0, \vec{p}) \quad \longrightarrow \quad p^{\dot{\alpha}\alpha} = \bar{\sigma}_\mu^{\dot{\alpha}\alpha} p^\mu = \begin{pmatrix} p^0 + p^3 & p^1 - ip^2 \\ p^1 + ip^2 & p^0 - p^3 \end{pmatrix},$$

where $\bar{\sigma}^{\mu \dot{\alpha}\alpha} = (\mathbb{1}, -\vec{\sigma})^{\dot{\alpha}\alpha}$. If the particle is massless then

$$p^2 = \det(p^{\dot{\alpha}\alpha}) = m^2 = 0 \quad \implies \quad p^{\dot{\alpha}\alpha} = \tilde{\lambda}^{\dot{\alpha}} \lambda^\alpha,$$

where $\lambda, \tilde{\lambda}$ are commuting Weyl spinors known as **helicity spinors**.

The **angle** and **square** inner products are Lorentz invariant

$$\langle i j \rangle \equiv \lambda_i^\alpha \lambda_{j\alpha} = \epsilon_{\alpha\beta} \lambda_i^\alpha \lambda_j^\beta = -\langle j i \rangle, \quad [i j] \equiv \tilde{\lambda}_{i\dot{\alpha}} \tilde{\lambda}_j^{\dot{\alpha}} = -\epsilon_{\dot{\alpha}\dot{\beta}} \tilde{\lambda}_i^{\dot{\alpha}} \tilde{\lambda}_j^{\dot{\beta}} = -[j i].$$

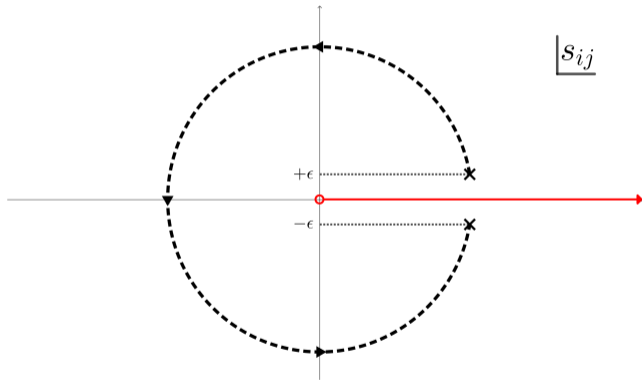
The **Mandelstam invariants** can thus be written as

$$s_{ij} \equiv (p_i + p_j)^2 = 2p_i \cdot p_j = \langle i j \rangle [j i].$$



D as Generator of Complex Rotations

7 Backup Slides



Dilatation operator

$$D = \sum_i p_i \cdot \frac{\partial}{\partial p_i} .$$

Transformation of the analytically continued Mandelstam invariants s_{ij} under the action of the D

$$p_i \rightarrow e^{i\alpha} p_i, \quad F_{\mathcal{O}} \rightarrow e^{i\alpha D} F_{\mathcal{O}} .$$

For $\alpha = \pi$ their infinitesimal imaginary part ϵ changes sign

$$F_{\mathcal{O}}(\{s_{ij} - i\epsilon\}) = e^{i\pi D} F_{\mathcal{O}}(\{s_{ij} + i\epsilon\}) .$$



Phase Space Integration

7 Backup Slides

$$(\mathcal{M}F_{\mathcal{O}})^{(1)}(1, 2, 3) = \sum_{\{x,y\}} \int d\Pi_2 F_{\mathcal{O}}^{(0)}(3, x, y) \mathcal{M}^{(0)}(x, y \rightarrow 1, 2) + \text{permutations}$$

Angular integration

$$\begin{pmatrix} \lambda_x \\ \lambda_y \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta e^{i\phi} \\ \sin \theta e^{-i\phi} & \cos \theta \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_2 \end{pmatrix}$$
$$\int d\Pi_2 = \frac{1}{8\pi} \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{\pi/2} 2 \cos \theta \sin \theta d\theta$$

Stokes' integration

$$\begin{pmatrix} \lambda_x \\ \lambda_y \end{pmatrix} = \frac{1}{\sqrt{1+z\bar{z}}} \begin{pmatrix} 1 & \bar{z} \\ -z & 1 \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_2 \end{pmatrix}$$
$$\int d\Pi_2 = -\frac{1}{8\pi} \oint dz \int d\bar{z} \frac{1}{(1+z\bar{z})^2}$$



IR Anomalous Dimensions

7 Backup Slides

- In theories with **massless fields**, IR singularities originate from configurations where loop momenta become **soft** or **collinear**.
- The **IR anomalous dimension** only depends on the external state $\langle \vec{n} |$

$$\gamma_{\text{IR}}^{(1)}(\{s_{ij}\}; \mu) = \frac{g^2}{4\pi^2} \sum_{i < j} T_i^a T_j^a \log \frac{\mu^2}{-s_{ij}} + \sum_i \gamma_{i, \text{coll.}}^{(1)} .$$

- Since the **stress-energy tensor** $T_{\mu\nu}$ is **UV protected**, it can be computed as

$$\gamma_{\text{IR}}^{(1)} F_{T_{\mu\nu}}^{(0)}(\vec{n}) = \frac{1}{\pi} (\mathcal{M} F_{T_{\mu\nu}})^{(1)}(\vec{n}) .$$