Uli Haisch, MPI Munich HEFT 2024, 12.06.24

Precision tests of 3rd-generation four-quark SMEFT operators



SM effective field theory

If electroweak (EW) symmetry is linearly realised, SM effective field theory aka SMEFT is higher-dimensional extension of SM. Already @ dimension 6, SMEFT is sort of a mutated millipede having 2499 independent baryon & lepton number conserving operators. In fact, large number mainly due to flavour indices

[see for instance Buchmüller & Wyler, NPB 268, 621 (1986); Grzadkowski et al., 1008.4884; Brivio & Trott, 1706.08945]





Status of global SMEFT fits



Most sophisticated fit considers 50 operators & employs Higgs, diboson & top data from LHC, including partially also EW precision observables (EWPOs)

[see for instance Brivio et al., 1910.03606; Ellis et al., 2012.02779; Ethier et al., 2105.00006; Celada et al., 2404.12809]



Status of global SMEFT fits

 $|cpG| \lesssim \frac{1}{(13 \text{ TeV})^2} \simeq \frac{\alpha_s}{4\pi} \frac{1}{(1 \text{ TeV})^2}$

Some operators like those altering leading Higgs couplings are well constrained, implying lower bounds on new weakly-coupled particle masses of about 1 TeV

[see for instance Brivio et al., 1910.03606; Ellis et al., 2012.02779; Ethier et al., 2105.00006; Celada et al., 2404.12809]





Status of global SMEFT fits



Other effective interactions remain poorly bounded. One such type are fourquark contact interactions that contain only 3rd generation fields

[see for instance Brivio et al., 1910.03606; Ellis et al., 2012.02779; Ethier et al., 2105.00006; Celada et al., 2404.12809]





Why are bounds on cQQ1, etc. so bad?



[see ATLAS, 2303.15061; CMS, 2303.03864 for latest 4t measurements]

At LHC, 3rd generation four-quark operators can be probed @ tree level only in 4t, 4b || 2b2t production. Present measurements all have sizeable uncertainties



Why are bounds on cQQ1, etc. so bad?



[see for instance Brivio et al., 1910.03606; Degrande et al., 2008.11743; 2402.06528 & next talk by Andres]

Since bottom-quark-initiated contributions are strongly PDF suppressed, leading effects from 3rd generation four-quark operators arise @ 1-loop in 2t production





Why are bounds on cQQ1, etc. so bad?

Limits depend on whether linear or quadratic terms are used & whether a single or several operators are studied. Raises questions about stability of fit under dimension-8 deformations & EFT applicability in general. Issue relevant, as limits arise from configurations with momentum transfer of around 0.4 TeV (1.3 TeV) in 2t (4t) production

[Degrande et al., 2402.06528]





Precision tests of cQQ1, etc.

- Given discussed limitations worthwhile to entertain alternative probes of 3rd generation four-quark operators by identify suitable low-energy precision tests
- Clearly, relevant operators can modify low-energy observables first @ loop level. But loop suppression can be partially mitigated if a given observable receives corrections enhanced by top-quark Yukawa coupling & is precisely measured
- Thinking about SM, it is straightforward to figure out what relevant processes are

[see also Alasfar et al., 2202.02333; Di Noi et al., 2310.18221 & talk by Stefano for related work in Higgs sector]



Precision tests of cQQ1, etc.



top decay





Z penguin

[see also Boughezal et al., 1907.00997; Dawson & Giardino, 2201.09887 for calculation of top & Z decay, respectively]

Peskin-Takeuchi parameters



B_s mixing

B_s mixing in case of up-alignment

 $q = \begin{pmatrix} t_L \\ \sum_{\psi=d,s,b} V_{t\psi} \psi_L \end{pmatrix}$ $\mathcal{L} \supset \frac{c_{QQ}^1}{\Lambda^2} (\bar{q}\gamma_\mu q)^2 \supset \frac{c_{QQ}^1}{\Lambda^2} (V_{ts}^* V_{tb})^2 (\bar{s}_L \gamma_\mu b_L)^2 + \text{h.c.}$

[see for instance Barducci et al., 1802.07237; Allwicher et al., 2311.00020]



[HFLAV, 2206.07501; Albrecht et al., 2402.04224]

 $\frac{|c_{QQ}^{\scriptscriptstyle \perp}|}{\Lambda^2} \lesssim \frac{1}{(8\,{\rm TeV})^2}$

Calculation in a nutshell

- Choice of Top WG operator basis avoids appearance of non-zero traces involving γ_5 . Expressions for 1-loop observables differ from known Warsawbasis results by finite terms related to a Fierz-evanescent operator
- 2-loop calculations performed off-shell using a background field gauge when necessary to maintain gauge invariance at level of Green's functions. Wilson coefficients renormalised in MS, while pole mass* used for internal top quarks
- To avoid tree-level effects in B_s mixing, we consider down-alignment. Charm-& up-quark contributions still need to be included & lead to GIM mechanism in all 2-loop flavour-changing neutral current (FCNC) amplitudes

*scheme change to MS top-quark mass trivial & also computed



Anatomy of SMEFT corrections to EWPOs

$$\Delta\Gamma(Z \to b\bar{b}) \simeq -\frac{\alpha^2 m_Z}{192\pi c_w^4 s_w^4} \frac{m_t^2}{m_Z^2} \frac{v^2}{\Lambda^2}$$
$$\times \left\{ \left[6\left(2s_w^2 - 3\right)\left(c_{QQ}^1 - c_{Qt}^1\right) + 12\right] \right\} \right\}$$

$$\Delta T \simeq -\frac{m_t^2}{256\pi^3 c_w^2 s_w^2 m_Z^2} \frac{m_t^2}{\Lambda^2} \left(42c_{QQ}^1 + 8c_{QQ}^8 - 72c_{Qt}^1 + 96c_{tt}^1\right) \ln^2\left(\frac{m_t^2}{m_Z^2}\right)$$

[UH & Schnell, 2406.xxxx]

$$2s_w^2(c_{Qb}^1 - c_{tb}^1) \left[\ln\left(\frac{m_t^2}{m_Z^2}\right) + (2s_w^2 - 3) c_{QQ}^8 \right]$$

Dominant effects in Z decay observables are proportional to m_t^2 & have a single logarithm. Peskin-Takeuchi parameters such as T instead can develop double logarithms proportional to m_t^4 . Can be understood in terms of operator mixing



Anatomy of SMEFT corrections to EWPOs

$$\begin{split} \Delta \Gamma(Z \to b\bar{b}) \simeq &-\frac{\alpha^2 m_Z}{192\pi c_w^4 s_w^4} \frac{m_t^2}{m_Z^2} \frac{v^2}{\Lambda^2} \\ &\times \left\{ \left[6 \left(2s_w^2 - 3 \right) \left(c_{QQ}^1 - c_{Qt}^1 \right) + 12s_w^2 \left(c_{Qb}^1 - c_{tb}^1 \right) \right] \ln \left(\frac{m_t^2}{m_Z^2} \right) + \left(2s_w^2 - 3 \right) c_{QQ}^8 \right\} \end{split}$$

$$\Delta T \simeq -\frac{m_t^2}{256\pi^3 c_w^2 s_w^2 m_Z^2} \frac{m_t^2}{\Lambda^2} \left(42c_{QQ}^1 + 8c_{QQ}^8 - 72c_{Qt}^1 + 96c_{tt}^1\right) \ln^2\left(\frac{m_t^2}{m_Z^2}\right)$$

Observables depend differently on various SMEFT operators. In particular, Z-pole observables not sensitive to purely right-handed top-quark coefficient c_{tt}^1

[UH & Schnell, 2406.xxxx]



Anatomy of SMEFT corrections to FCNCs



[UH & Schnell, 2406.xxxx]

$$\frac{y^2}{\Lambda^2} \left[-8.0 \left(c_{QQ}^1 + \frac{4}{3} c_{QQ}^8 \right) - 8.8 \left(c_{Qt}^1 + \frac{4}{3} c_{Qt}^8 \right) - 12 c_{tt}^1 \right]$$

 B_s -mixing & Z-penguin amplitudes scale as m_t^4 . b \rightarrow sl+l- box & photon penguin only scale as m², but need to be included to obtain gauge-independent C₉ & C₁₀



Anatomy of SMEFT corrections to FCNCs



[UH & Schnell, 2406.xxxx]

$$\frac{y^2}{\Lambda^2} \left[-8.0 \left(c_{QQ}^1 + \frac{4}{3} c_{QQ}^8 \right) - 8.8 \left(c_{Qt}^1 + \frac{4}{3} c_{Qt}^8 \right) - 12 c_{tt}^1 \right]$$

For calculated 1- & 2-loop observables, higher-order terms in heavy top-quark mass expansion important & therefore should be included in numerical analysis



Some preliminary fit results

Class	DoF	95% CL bounds, $\mathcal{O}(\Lambda^{-2})$		95% CL bounds, $\mathcal{O}(\Lambda^{-4})$,	
		Individual	Marginalised	Individual	Marginalised
	cQt1	[-195, 159]	[-190, 189]	[-1.830, 1.862]	[-1.391, 1.251]



[UH & Schnell, 2406.xxxx]

[Ethier et al., 2105.00006]



Some preliminary fit results

Class	DoF	95% CL bounds, $\mathcal{O}(\Lambda^{-2})$		95% CL bounds, $\mathcal{O}(\Lambda^{-4})$,	
		Individual	Marginalised	Individual	Marginalised
	ctt1	[-2.782, 12.114]	[-115, 153]	[-1.151, 1.025]	[-0.791, 0.714]



[UH & Schnell, 2406.xxxx]

[Ethier et al., 2105.00006]





Summary & outlook



To obtain best possible & most robust constraints on Wilson coefficients of 3rd generation four-quark operators crucial to combine information from 4t, 4b, 2b2t & 2t production @ LHC, top decays, EWPOs & flavour physics



Summary & outlook



etc. In case you are interested ask or look at backup slides

Presented calculation of 1- & 2-loop SMEFT corrections displays some interesting QFT features such as evanescent operators, anomalous terms,



Backup



Top WG operator basis

$$\mathcal{O}_{QQ}^1 = \frac{1}{2} \left(\bar{q} \gamma_\mu q \right) \left(\bar{q} \gamma^\mu q \right),$$

$$\mathcal{O}_{Qt}^1 = (\bar{q}\gamma_\mu q)(\bar{t}\gamma^\mu t) \,,$$

$$\mathcal{O}^1_{Qb} = (\bar{q}\gamma_\mu q)(\bar{b}\gamma^\mu b) \,,$$

$$\mathcal{O}_{tt}^1 = (\bar{t}\gamma_\mu t)(\bar{t}\gamma^\mu t), \qquad \qquad \mathcal{O}_{tb}^1 = (\bar{t}\gamma_\mu t)(\bar{t}\gamma^\mu t),$$

$$\mathcal{O}_{QtQb}^{1} = \left(\bar{q}t\right)\varepsilon\left(\bar{q}b\right),\,$$

[Barducci et al., 1802.07237]

$$\begin{split} \mathcal{O}_{QQ}^8 &= \frac{1}{2} \left(\bar{q} \gamma_\mu T^a q \right) (\bar{q} \gamma^\mu T^a q) \,, \\ \mathcal{O}_{Qt}^8 &= (\bar{q} \gamma_\mu T^a q) (\bar{t} \gamma^\mu T^a t) \,, \\ \mathcal{O}_{Qb}^8 &= (\bar{q} \gamma_\mu T^a q) (\bar{b} \gamma^\mu T^a b) \,, \\ (\bar{b} \gamma^\mu b) \,, \qquad \qquad \mathcal{O}_{tb}^8 &= (\bar{t} \gamma_\mu T^a t) (\bar{b} \gamma^\mu T^a b) \,, \end{split}$$

$$\mathcal{O}_{QtQb}^8 = (\bar{q}T^a t) \varepsilon (\bar{q}T^a b)$$



D mixing in case of down-alignment

$$\mathcal{L} \supset \frac{c_{QQ}^1}{\Lambda^2} (\bar{q}\gamma_\mu q)^2 \supset \frac{c_{QQ}^1}{\Lambda^2}$$

$$\frac{|c_{\zeta}^{1}|}{\Lambda}$$

[see for instance Barducci et al., 1802.07237; Allwicher et al., 2311.00020]

 $q = \begin{pmatrix} \sum_{\psi=u,c,t} V_{\psi b}^* \psi_L \\ b_L \end{pmatrix}$

 $\frac{Q}{2} (V_{cb}^* V_{ub})^2 (\bar{u}_L \gamma_\mu c_L)^2 + \text{h.c.}$







Some more preliminary fit results



[UH & Schnell, 2406.xxxx]







FCNC constraints: B_s mixing





[UH & Schnell, 2406.xxxx]

+ $\Delta F = \left(26.3c_{QQ}^1 - 0.3c_{QQ}^8 + 2.4c_{Qt}^1 - 3.6c_{Qt}^8 + 4.3c_{tt}^1\right) \cdot 10^{-4}$

[HFLAV, 2206.07501; Albrecht et al., 2402.04224]

$$\frac{c_{QQ}^1}{\Lambda^2} \in \frac{[-41, 18]}{\text{TeV}^2}$$



FCNC constraints: $B_s \rightarrow \mu^+ \mu^-$

 $R_{\mu^{+}\mu^{-}} = \frac{\operatorname{Br}(B_{s} \to \mu^{+}\mu^{-})}{\operatorname{Br}(B_{s} \to \mu^{+}\mu^{-})_{\mathrm{SM}}} = (1 - 0.24\Delta C_{10})^{2}$

[UH & Schnell, 2406.xxxx]

$\Delta C_{10} = -\left(4.77c_{QQ}^{1} + 6.35c_{QQ}^{8} + 6.40c_{Qt}^{1} + 8.53c_{Qt}^{8} + 9.53c_{tt}^{1}\right) \cdot 10^{-4}$





$R_{\mu^+\mu^-} = 0.94 \pm 0.09$

[HFLAV, 2206.07501; Beneke et al., 1708.09152]

$$\frac{c_{tt}^1}{\Lambda^2} \in \frac{[-533, 242]}{\text{TeV}^2}$$



Fit results based on latest ATLAS 4t analysis



From a quadratic fit to each single operator, ATLAS obtains limits on suppression scales in range [380, 770] GeV. Earlier fits by theorists find very similar bounds

[ATLAS, 2303.15061]

Expected C_i/Λ^2 [TeV $^{-2}$]Observed C_i/Λ^2 [TeV $^{-2}$][-2.4, 3.0][-3.5, 4.1][-2.5, 2.0][-3.5, 3.0][-1.1, 1.3][-1.7, 1.9]

[-6.2, 6.9]



Change of operator basis

Top WG: $\mathcal{O}_{QQ}^{1} = \frac{1}{2} \left(\bar{q} \gamma_{\mu} q \right) \left(\bar{q} \gamma^{\mu} q \right),$ $\mathcal{O}_{QQ}^8 = \frac{1}{2} \left(\bar{q} \gamma_\mu T^a q \right) \left(\bar{q} \gamma^\mu T^a q \right),$

 $(\gamma_{\mu}P_{L})_{ij} \otimes (\gamma^{\mu}P_{L})_{kl} = -(\gamma_{\mu}P_{L})_{il} \otimes (\gamma^{\mu}P_{L})_{kj}$

[Barducci et al., 1802.07237; Grzadkowski et al., 1008.4884]



Warsaw:

$$\mathcal{O}_{qq}^1 = (\bar{q}\gamma_\mu q)(\bar{q}\gamma^\mu q) \,,$$

$$\mathcal{O}_{qq}^3 = (\bar{q}\gamma_\mu \tau^i q)(\bar{q}\gamma^\mu \tau^i q) \,,$$

Fierz identity



 $Q_{QQ}^8 = \frac{1}{24}Q_{qq}^1 + \frac{1}{8}Q_{qq}^3$



Change of operator basis

Top WG:

$$\begin{split} \mathcal{O}^1_{QQ} &= \frac{1}{2} \left(\bar{q} \gamma_\mu q \right) (\bar{q} \gamma^\mu q) \,, \\ \mathcal{O}^8_{QQ} &= \frac{1}{2} \left(\bar{q} \gamma_\mu T^a q \right) (\bar{q} \gamma^\mu T^a q) \,, \end{split}$$

$(\gamma_{\mu}P_{L})_{ij} \otimes (\gamma^{\mu}P_{L})_{kl} = -(\gamma_{\mu}P_{L})_{il} \otimes (\gamma^{\mu}P_{L})_{kj}$ Fierz identity

[Barducci et al., 1802.07237; Grzadkowski et al., 1008.4884]



Warsaw: $\mathcal{O}_{qq}^1 = (\bar{q}\gamma_\mu q)(\bar{q}\gamma^\mu q) \,,$

 $\mathcal{O}_{qq}^3 = (\bar{q}\gamma_\mu \tau^i q) (\bar{q}\gamma^\mu \tau^i q) \,,$



evanescent operator

 $Q_{QQ}^{8} = \frac{1}{24}Q_{qq}^{1} + \frac{1}{8}Q_{qq}^{3} + E_{qq}$



Top WG operator basis: b→sl+l- box



$$\xi_{QQ}^{1} = -12x_{t/W}^{2} - 12x_{t/W}^{2} \ln x_{t/W} + 3x_{t/W}^{2} \left(2x_{t/W} - 1\right) \ln^{2} x_{t/W} + 6x_{t/W}^{2} \left(2x_{t/W} - 1\right) \operatorname{Li}_{2} \left(1 - x_{t/W}\right) + \pi^{2} x_{t/W}^{2} \left(2x_{t/W} - 1\right) + 6f(x_{t/W}) \ln x_{\mu/t} , \xi_{QQ}^{8} = \frac{4}{3} \xi_{QQ}^{1} \qquad f(x) = \frac{x^{2}}{x - 1} - \frac{x^{2}}{(x - 1)^{2}} \ln x \qquad (T^{a}T^{a})_{ij} = C_{F} \delta_{ij} = \frac{4}{3} \delta_{ij}$$

[UH & Schnell, 2406.xxxx]

$$\Delta B = -\frac{\alpha}{384\pi s_w^2} \frac{v^2}{\Lambda^2} \sum_{i=1}^{N} \sum_{\substack{k=0\\QQ, k=0}} \xi_i c_i$$



Warsaw operator basis: b→sl+l- box



[UH & Schnell, 2406.xxxx]

$$\Delta B = -\frac{\alpha}{384\pi s_w^2} \frac{v^2}{\Lambda^2} \sum_{i=1}^{N} \sum_{\substack{k=1\\QQ, k=0}} \xi_i c_i$$

Computation from from L_qq



Warsaw operator basis: anomalous terms





In Warsaw operator basis, insertions of operator Q_{qq}^3 lead to non-zero traces involving γ_5 . Does this mean that SMEFT has new anomalies?



Warsaw operator basis: anomalous terms



[see for instance Bonnefoy et al., 2012.07740; Feruglio, 2012.13989]



Well-known that this is not case in general — also clear in case at hand because anomalous terms absent in Top WG operator basis



Warsaw operator basis: anomalous terms



[UH & Schnell, 2406.xxxx]



In order to proof statement need to show that anomalous 2-loop terms can be removed by local counterterms, i.e. Wess-Zumino terms. Work in progress



UV brane







In flavour-anarchic Randall-Sundrum model with fully IR localised right-handed top quark, KK gluon exchange leads to sizeable tree-level contribution to Q_{tt}^1 . Left-right (left-left) contributions smaller by a factor of around 5 (50)















