<u>deep ai image editor</u>

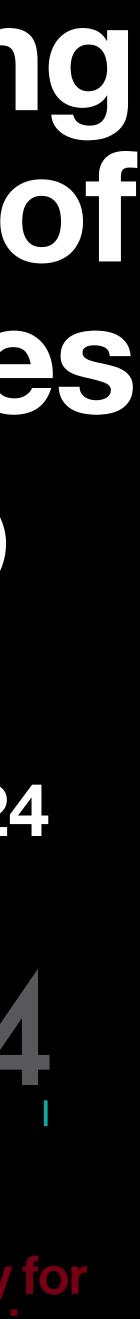
Machine learning for the analysis of hard probes

Hannah Bossi (MIT) Hard Probes 2024 Nagasaki, Japan September 27th, 2024









ROADMAP WHAT IS AI/ML AND WHY IS IT **USEFUL FOR THE** ANALYSIS OF HARD PROBES?



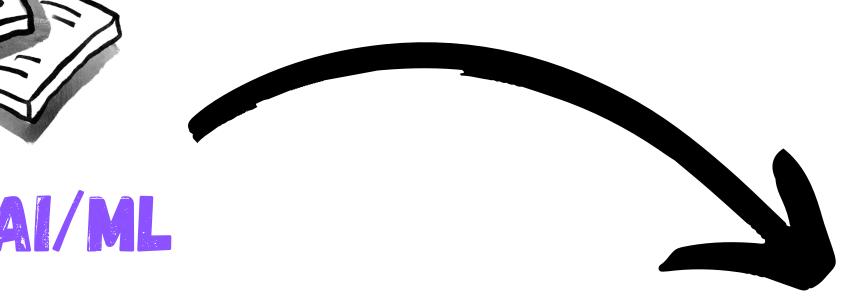


Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



HOW IS AI/ML CURRENTLY BEING USED FOR ANALYSIS?

WHERE ARE WE HEADING?









WHAT IS AI/ML?

Artificial Intelligence: Programs with the ability to acquire and apply knowledge and skills.

ARTIFICIAL INTELLIGENCE

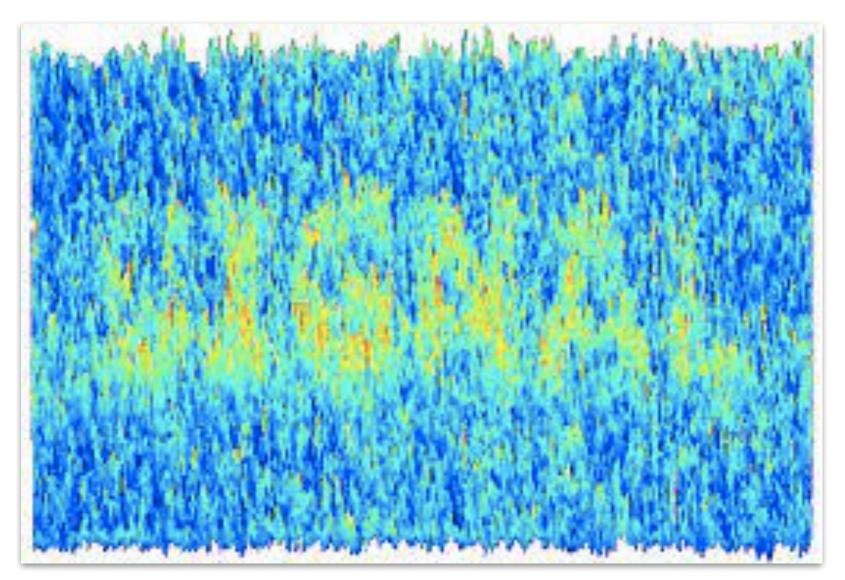
MACHINE LEARNING

Machine Learning: algorithms that imitate human learning, i.e. gradually improving accuracy over time.



Hannah Bossi (hannah.bossi@cern.ch)

Ex: Chatbots (humans give rules)



At its core, pattern recognition \rightarrow humans can do this by eye!





HOW DOES THE MACHINE LEARN?

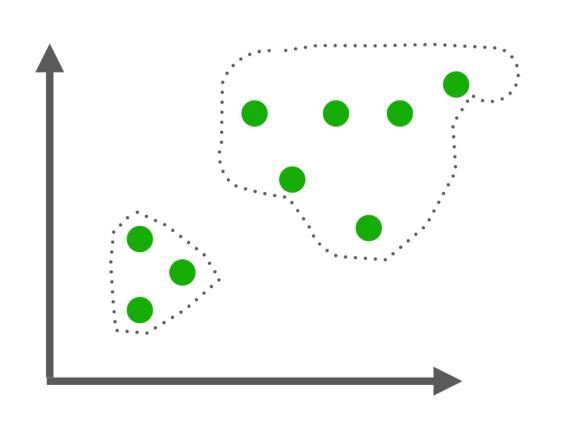
SUPERVISED LEARNING

Algorithm learns from a labeled set of "true values".

UNSUPERVISED LEARNING

Algorithm finds structure in the data without knowing the desired outcome.





Driven by the Task Analogy: Taking a test

Driven by the Data Analogy: Clustering



Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



REINFORCEMENT LEARNING

Algorithm learns in a reward based system to determine a series of actions.

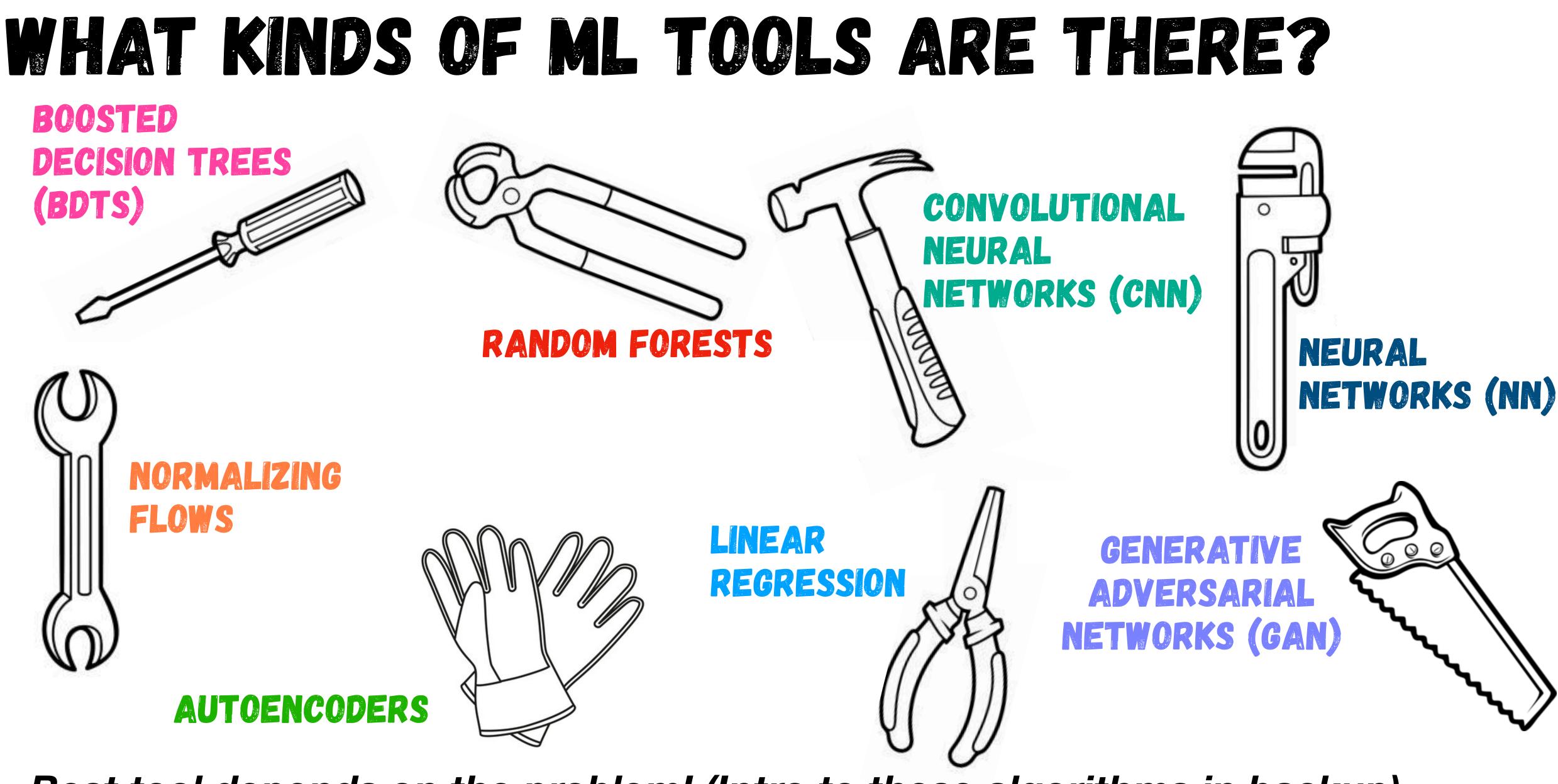












Best tool depends on the problem! (Intro to these algorithms in backup)

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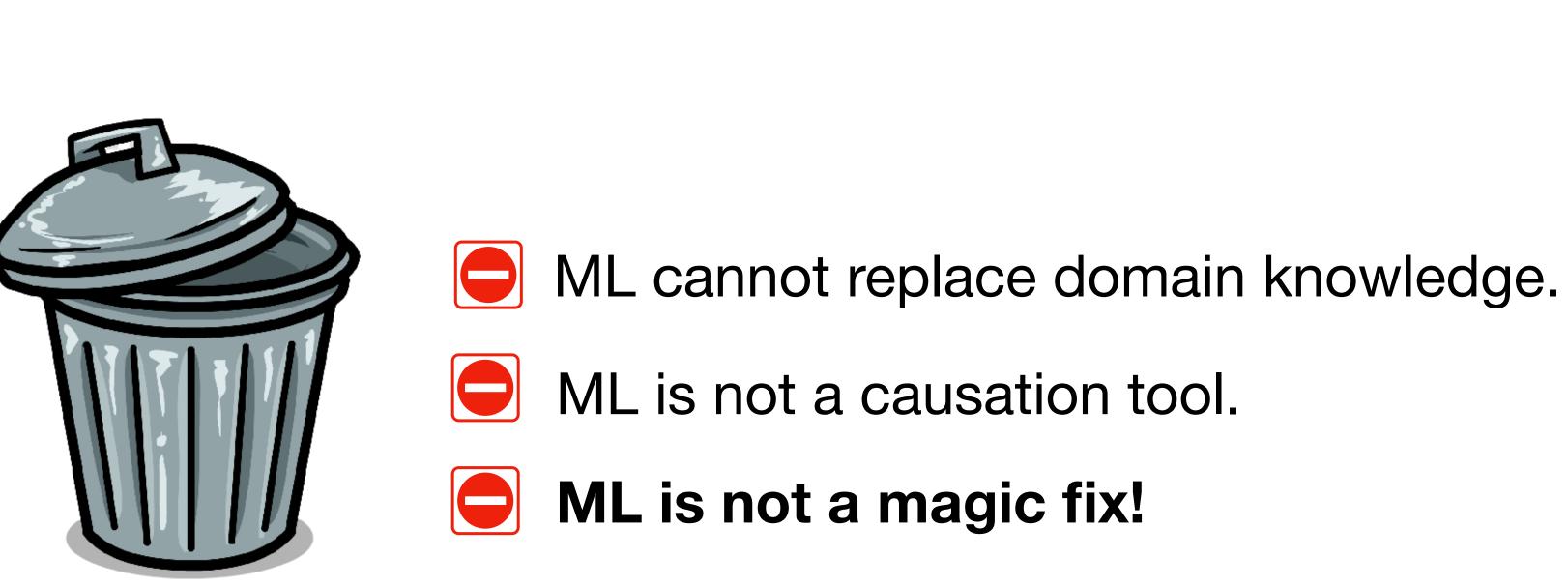




WHAT CAN ML NOT D0?



Garbage In



Garbage Out



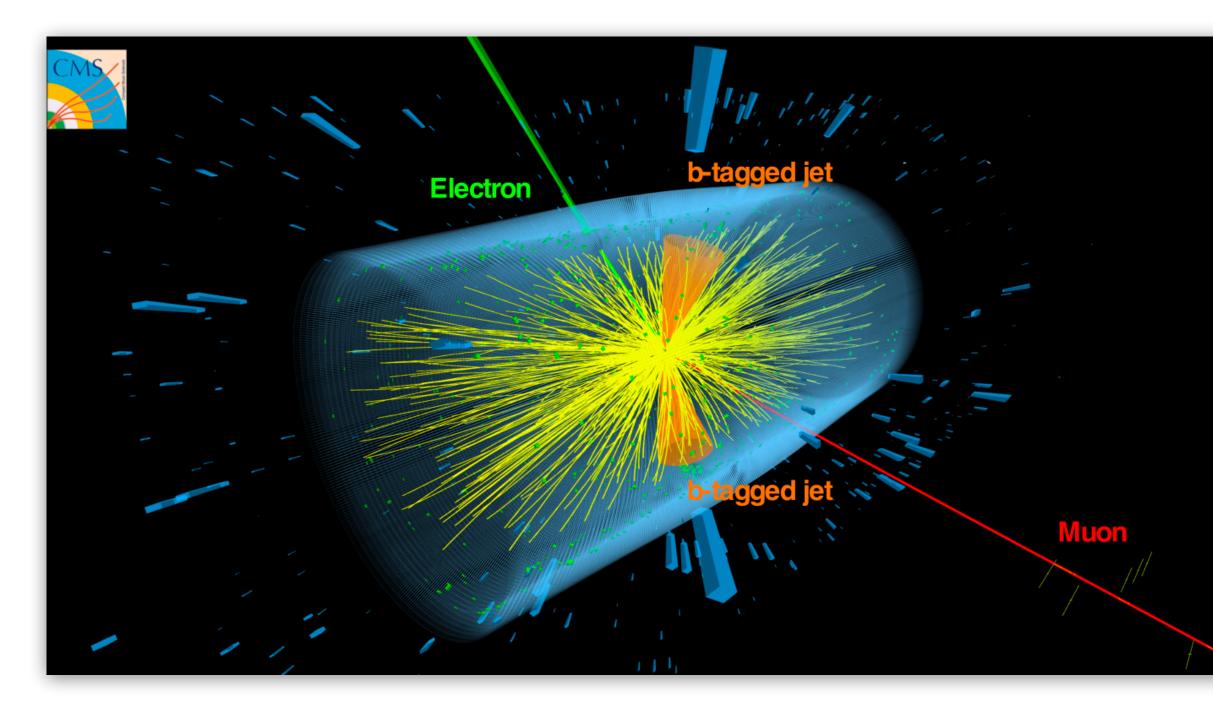
Hannah Bossi (hannah.bossi@cern.ch)







ML FOR HARD AND ELECTROMAGNETIC PROBES



Hard and electromagnetic probes offer a clean and well-calibrated environment!

HI environment can be challenging for ML.

- Higher particle multiplicities, much more complex system (even by eye)!
- Dependence on simulation used in training makes application to data difficult.

- Hard Probes: Products of early-stage hard scatterings that interact with the QGP medium.
- Electromagnetic Probes: probes that have a long mean free path relative to the size of the QGP (negligible interactions)



6

ROADMAP WHAT IS AI/ML AND WHY IS IT **USEFUL FOR THE** ANALYSIS OF HARD PROBES?



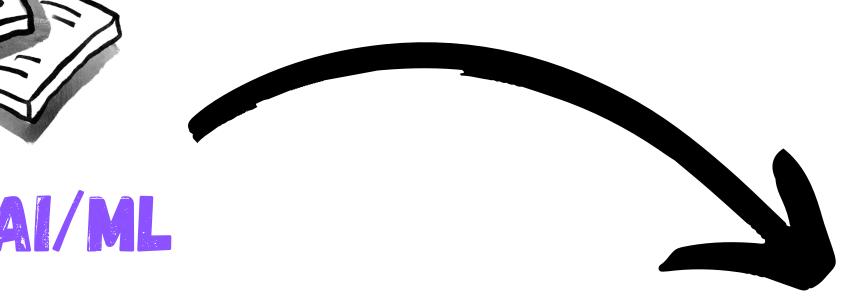


Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



HOW IS AI/ML CURRENTLY BEING USED FOR ANALYSIS?

WHERE ARE WE HEADING?

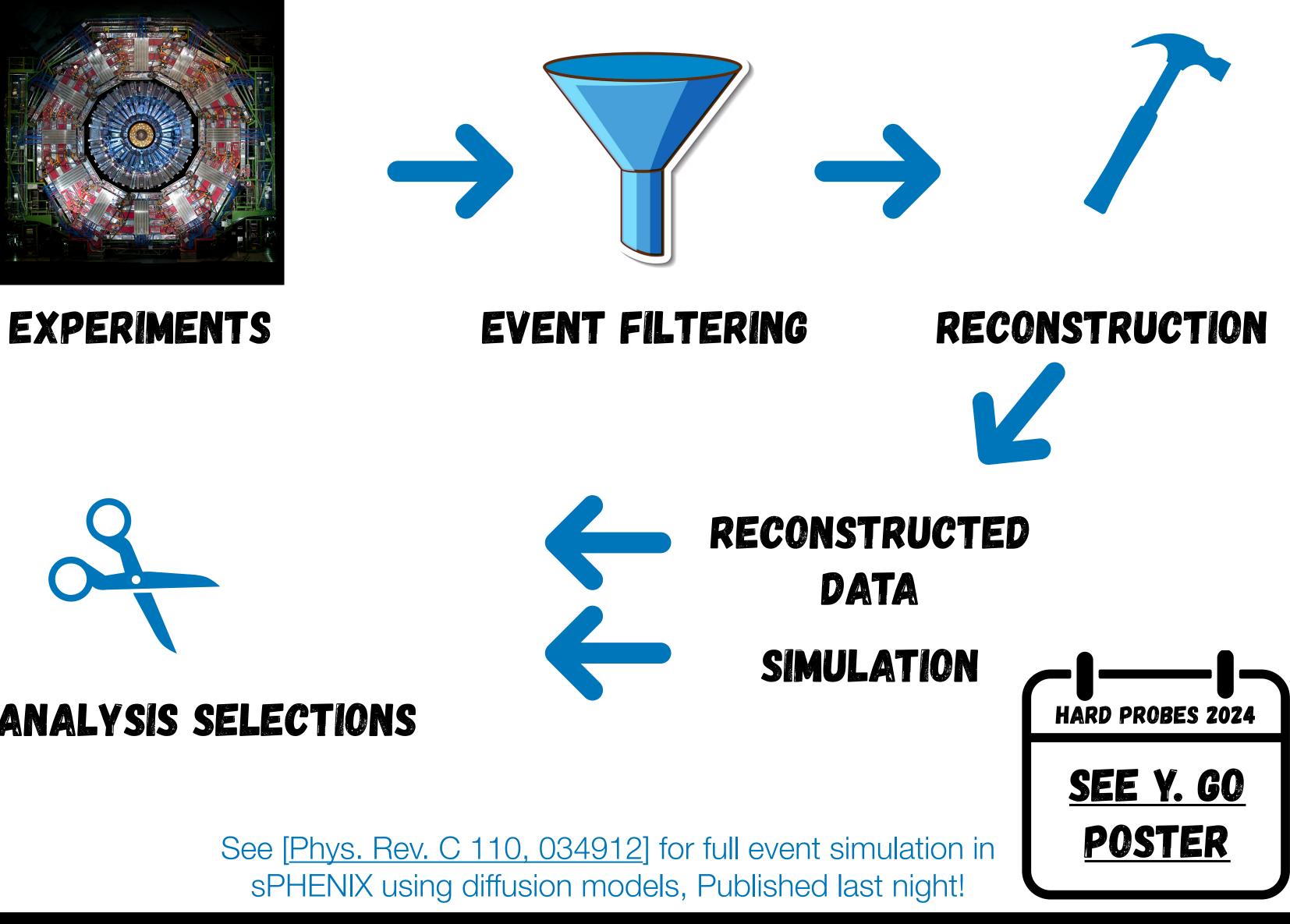




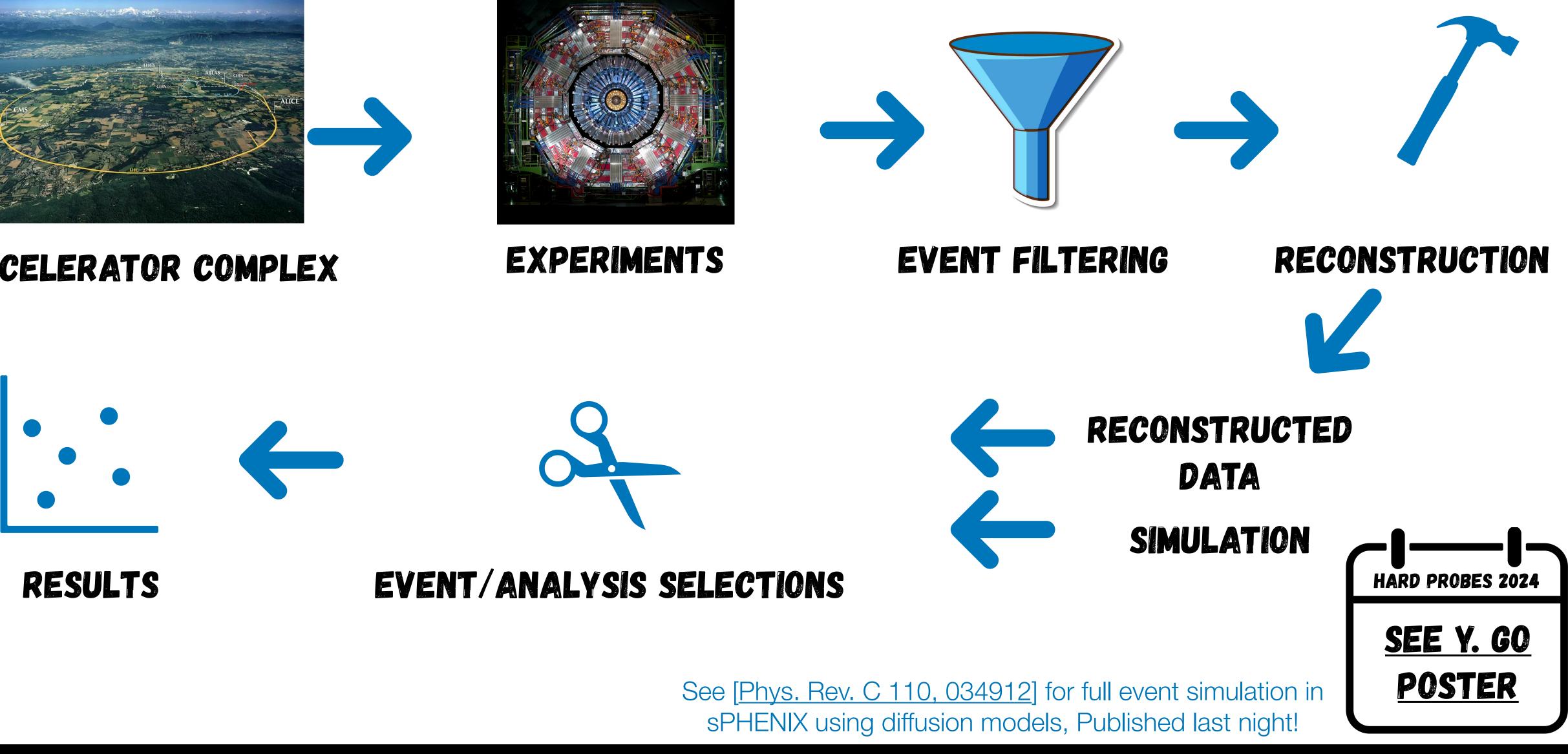








ACCELERATOR COMPLEX







Hannah Bossi (<u>hannah.bossi@cern.ch</u>)

MACHINE LEARNING CAN BE USED THROUGHOUT THE ANALYSIS PIPELINE!



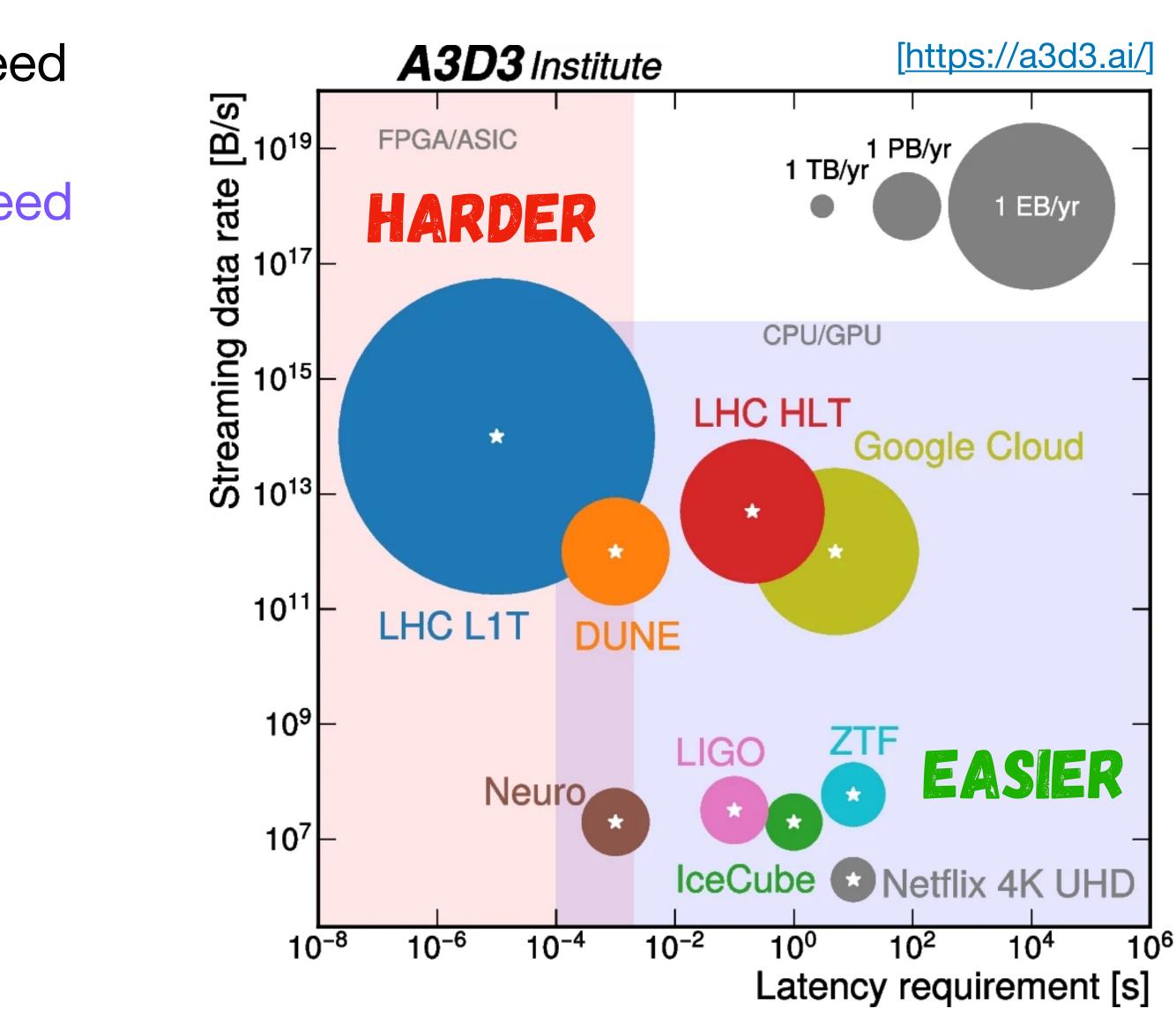




EVENT FLTERNG

- Data volume is increasing at a fast rate, need solutions for limited computing resources.
 - If we took all raw data, would easily exceed storage capabilities.







EVENT FLTERNG

- Data volume is increasing at a fast rate, need solutions for limited computing resources.
 - If we took all raw data, would easily exceed storage capabilities.
- Perform fast selection/rejection of data with ML integrated into the firmware (FPGAs)
 - Use high level synthesis packages ex: <u>hls4ml</u>

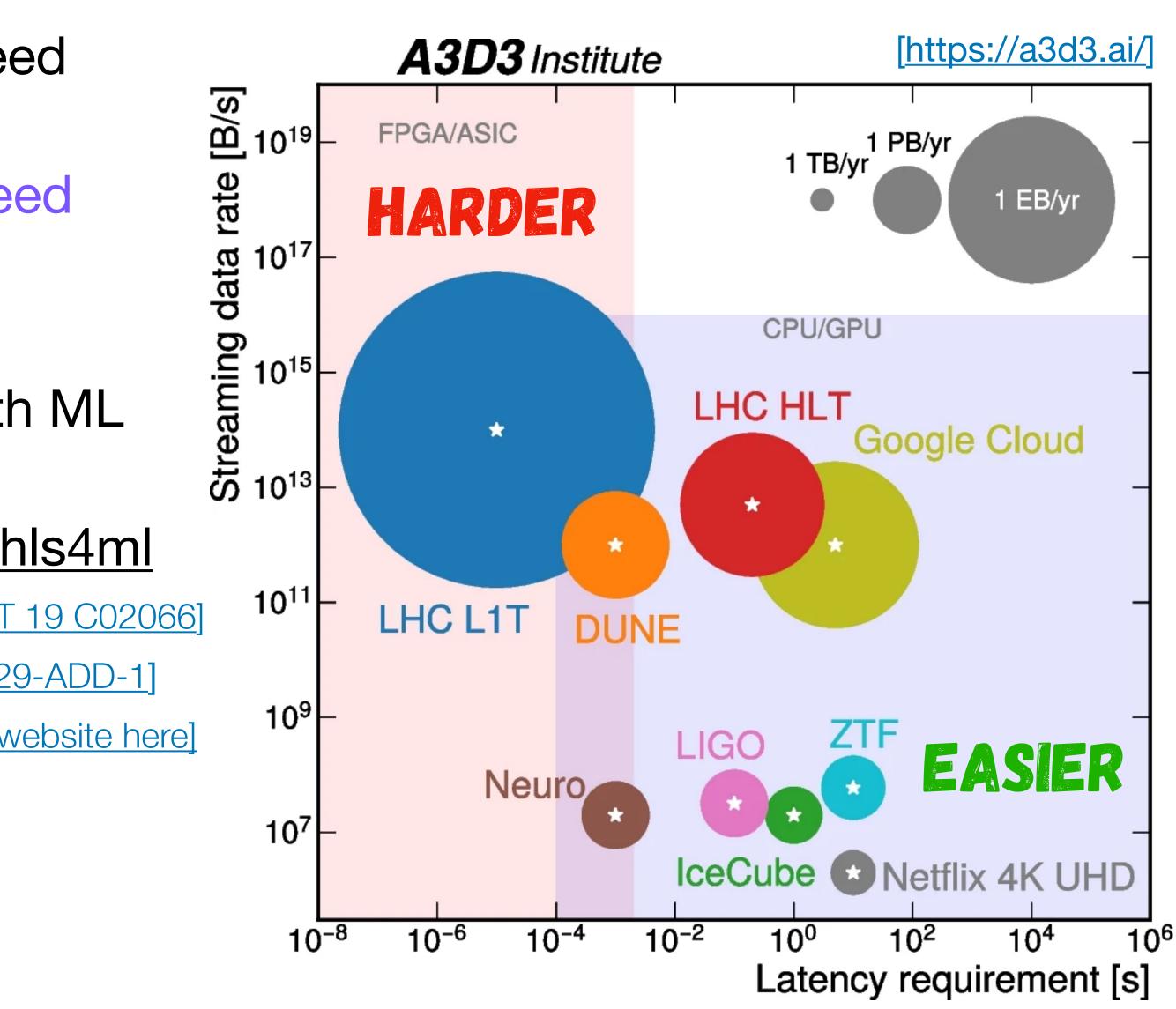
CMS L1 Trigger [CMS-TDR-021] sPHENIX HF Trigger [JINST 19 C02066]

ATLAS Fake Track Rejection in Event Filter [ATLAS-TDR-029-ADD-1]

LHCb track reconstruction for HLT system [See website here]



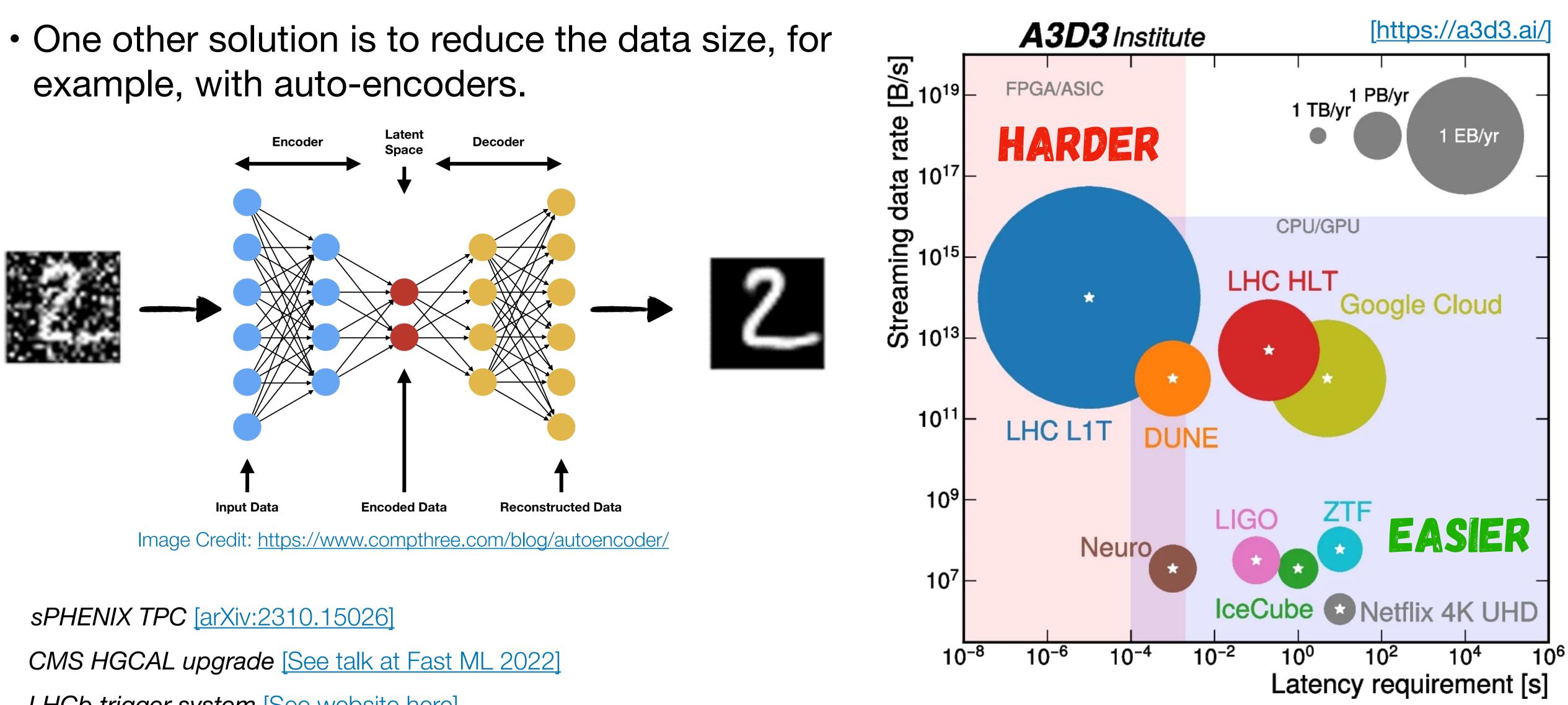








example, with auto-encoders.



LHCb trigger system [See website here]

Hannah Bossi (<u>hannah.bossi@cern.ch</u>)





SIGNAL/BACKGROUND DISCRIMINATION

- Conventional approach: Apply cuts to identify signal based on expert knowledge
 - Becomes difficult w/ complex signals or in HI environment with a large background.
- Solution: Employ multiple variables simultaneously essence of ML!

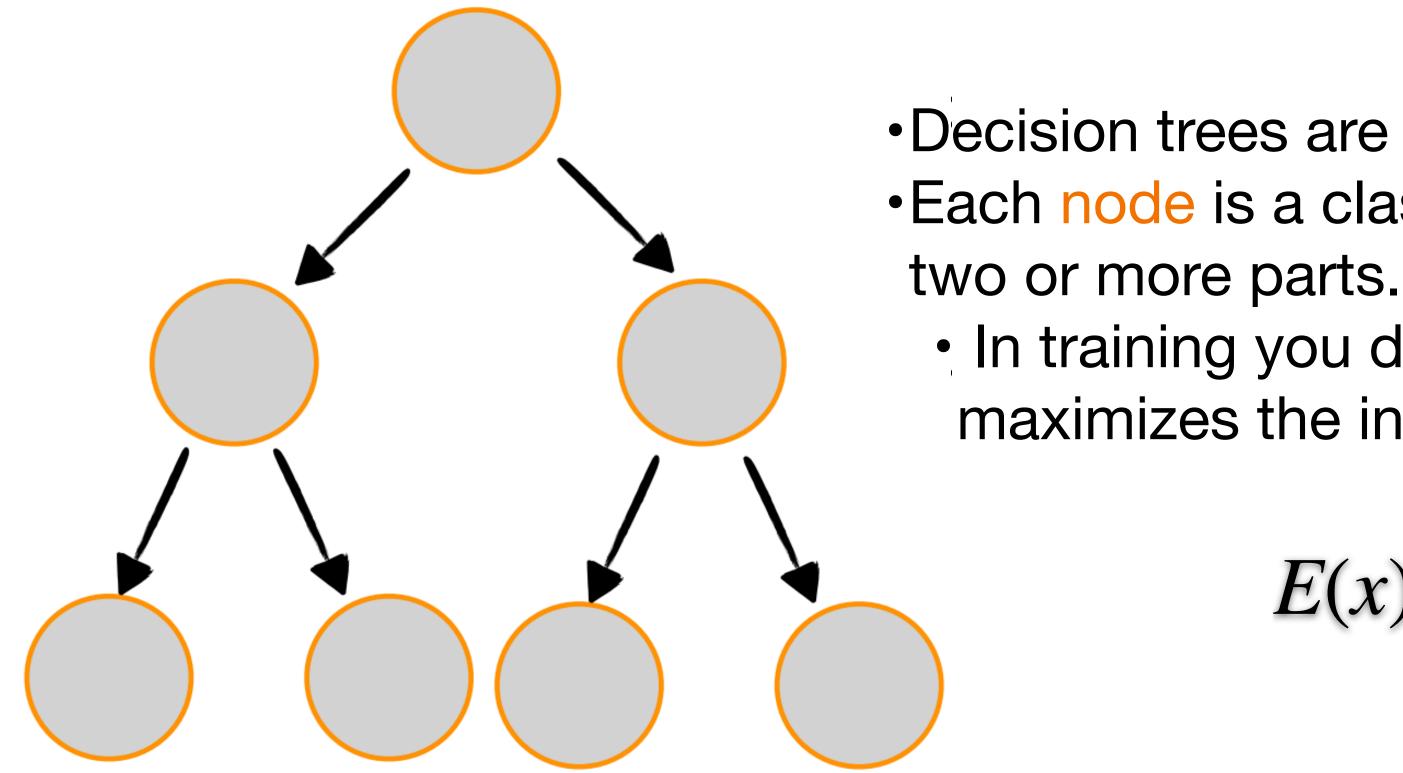






SIGNAL/BACKGROUND DISCRIMINATION

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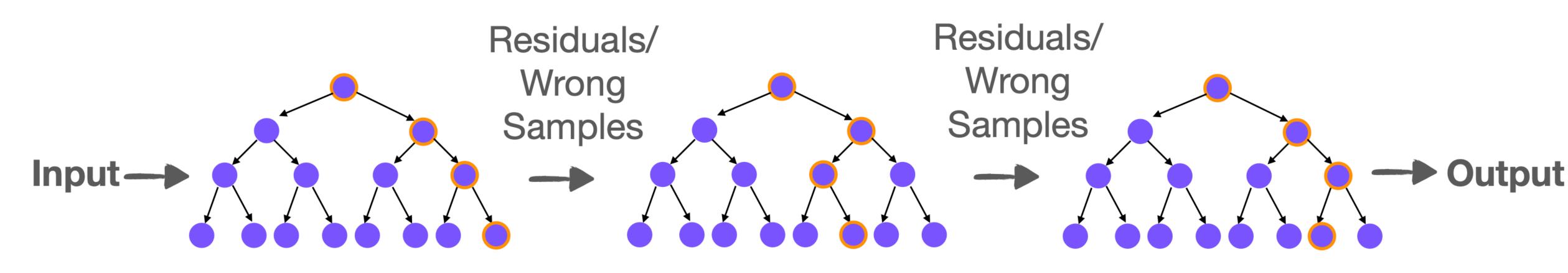
- Decision trees are commonly used for signal classification •Each node is a classification rule that splits the data into
 - In training you determine the proper rules that
 - maximizes the information gain and minimize entropy

$$E(x) = \sum -p(x)log_2(p(x))$$



SIGNAL/BACKGROUND DISCRIMINATION

- Conventional approach: Apply cuts to tag particle based on decay topology
 - Becomes difficult in heavy-ion environment with a large background.
- Solution: Employ multiple variables simultaneously essence of ML!



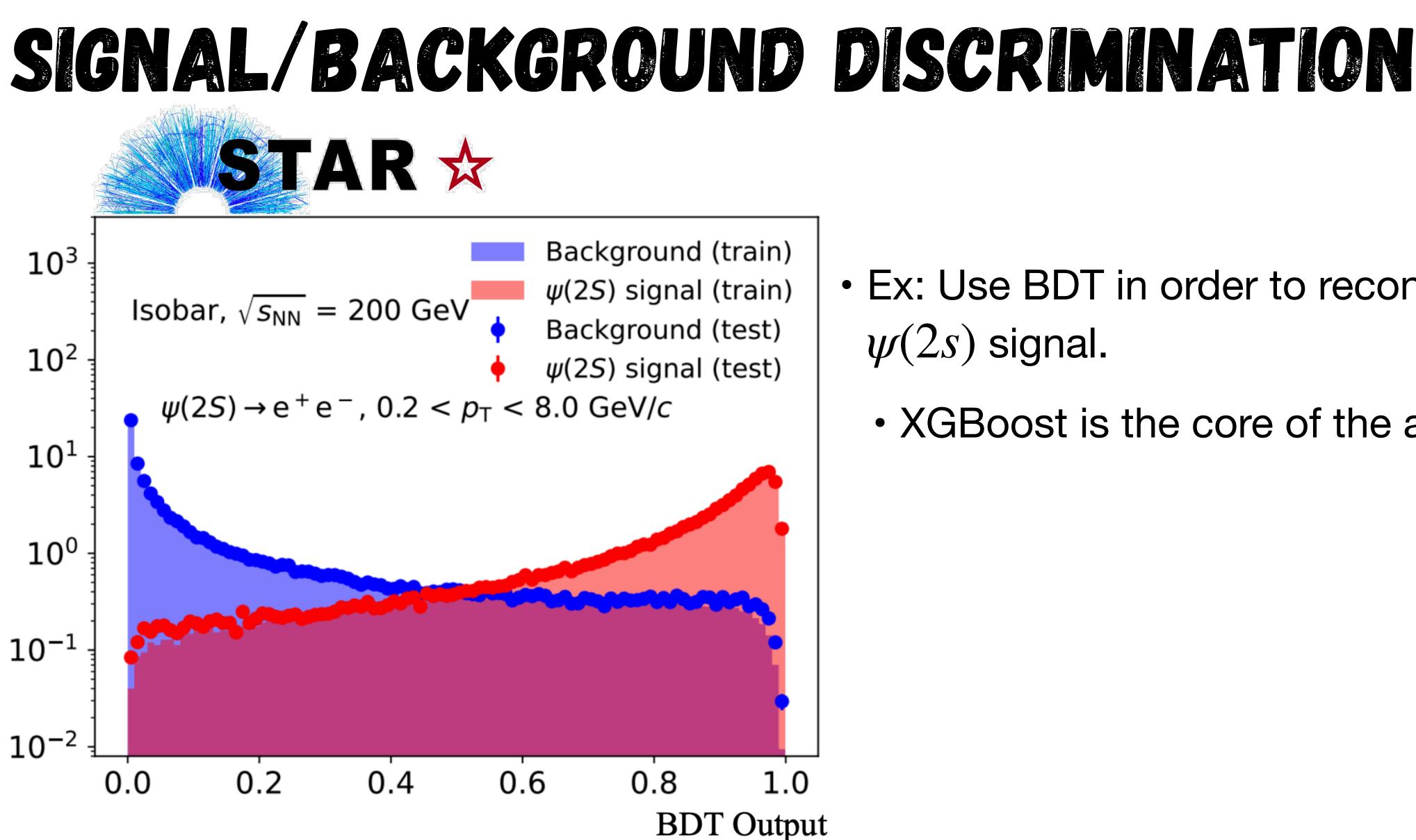
•Boosted decision trees are used when multiple weaker learners are combined in a series where each additional component seeks to minimize error of previous one.













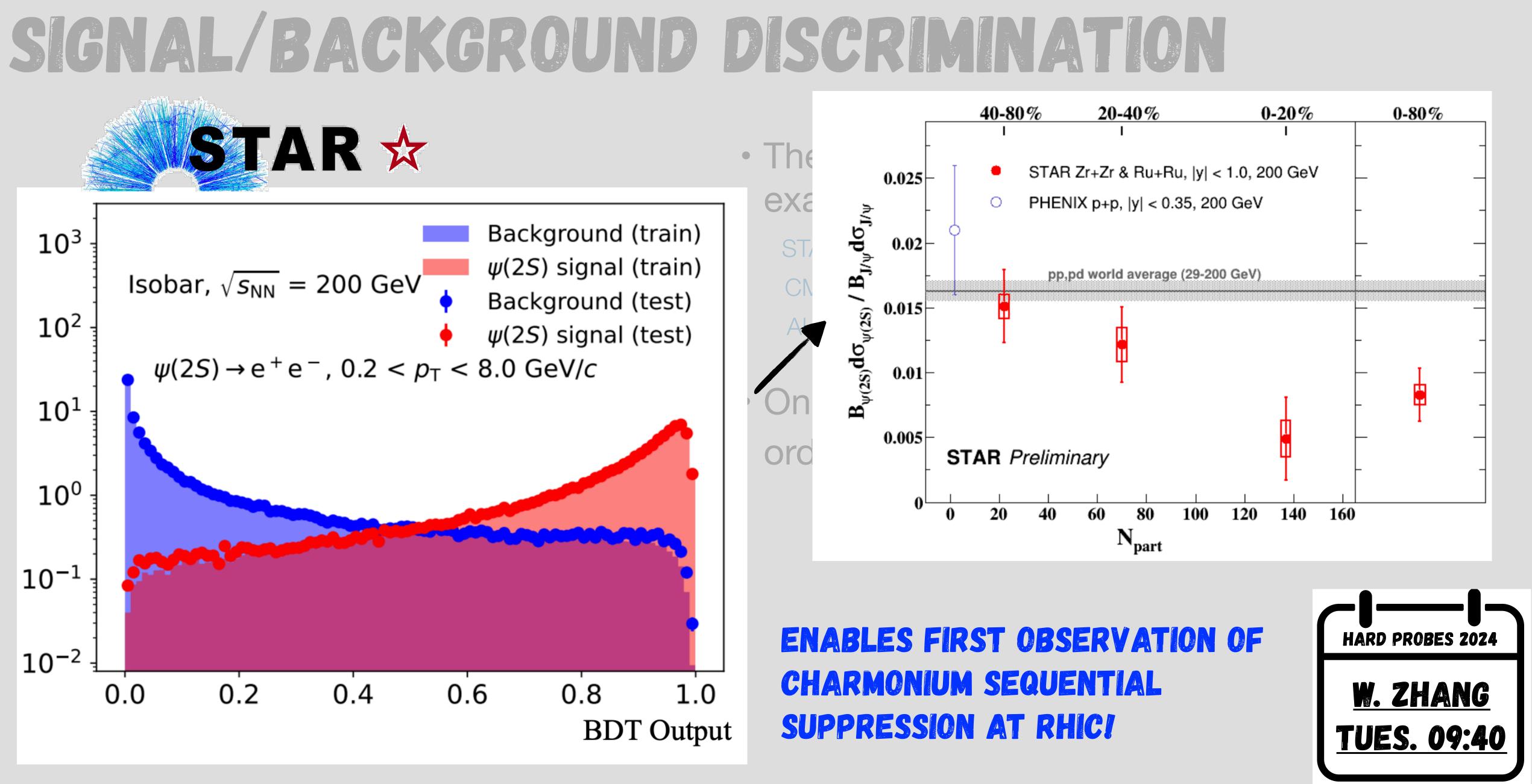
Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



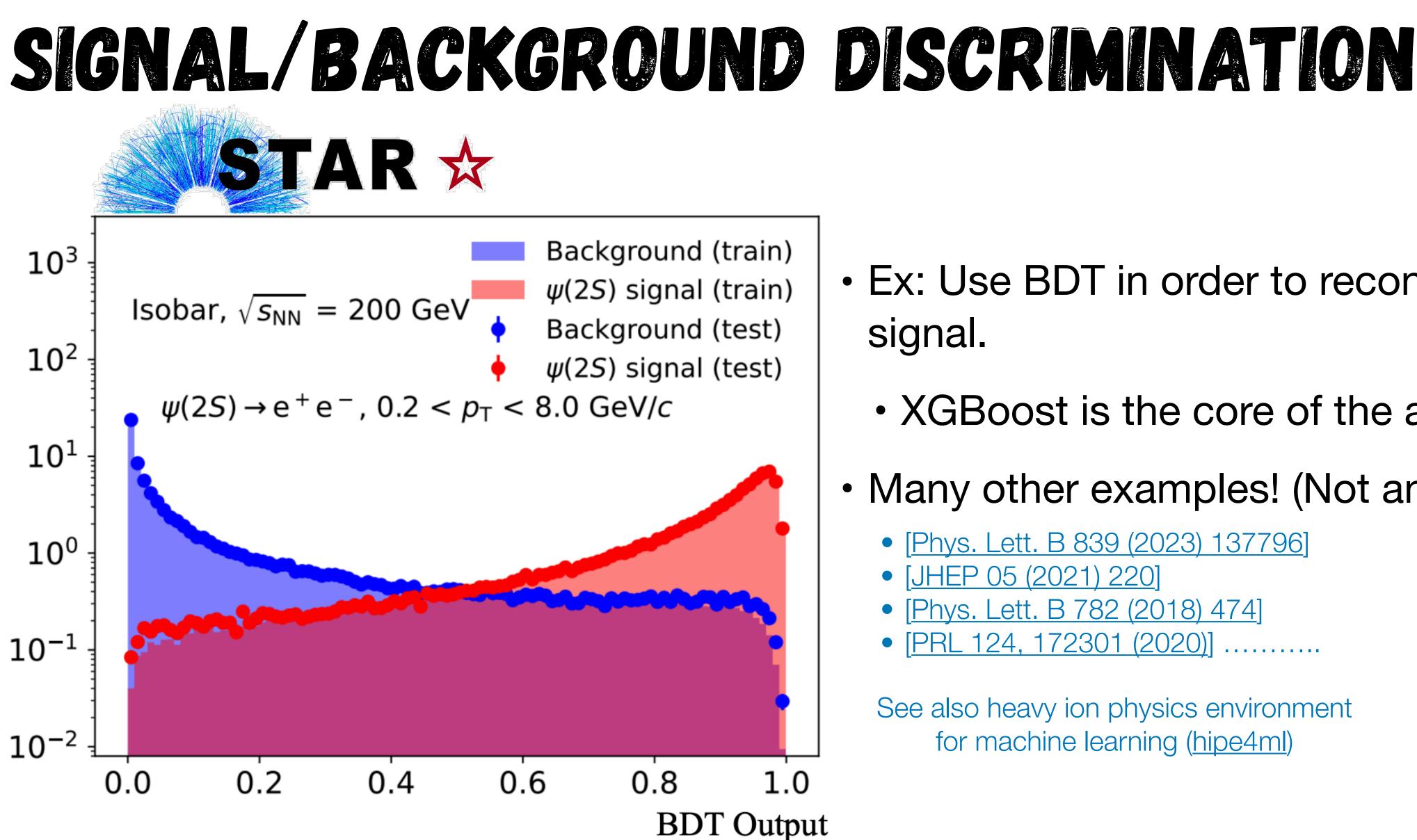
- Ex: Use BDT in order to reconstruct the $\psi(2s)$ signal.
 - XGBoost is the core of the application











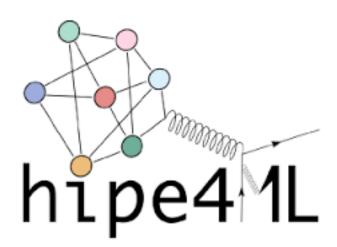


Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



- Ex: Use BDT in order to reconstruct the $\psi(2s)$ signal.
 - XGBoost is the core of the application
- Many other examples! (Not an exhaustive list.)
 - [Phys. Lett. B 839 (2023) 137796]
 - [JHEP 05 (2021) 220]
 - [Phys. Lett. B 782 (2018) 474]
 - [PRL 124, 172301 (2020)]

See also heavy ion physics environment for machine learning (hipe4ml)









HEAVY FLAVOR JET TAGGING **Goal:** identify jets initiated by a heavy-quark **Conventional approach:** Apply cuts to select jets with displaced decay vertices and large impact parameter tracks.

[JINST 13 (2018) 05, P05011]



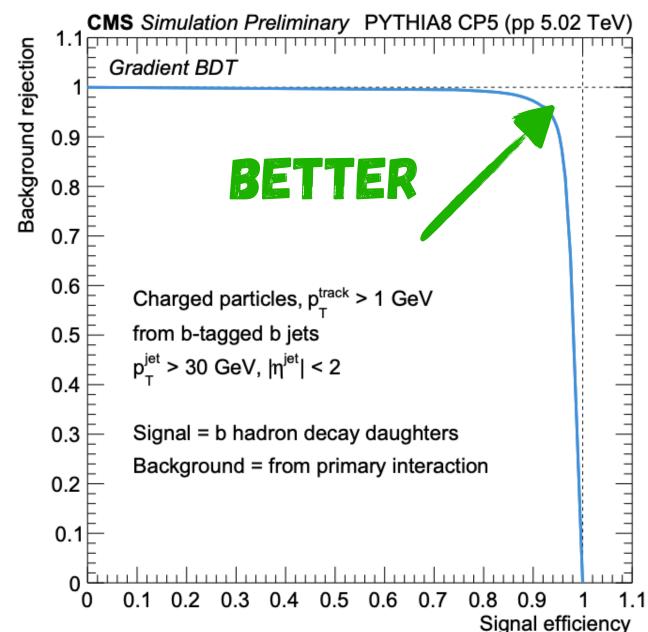
Hannah Bossi (<u>hannah.bossi@cern.ch</u>)





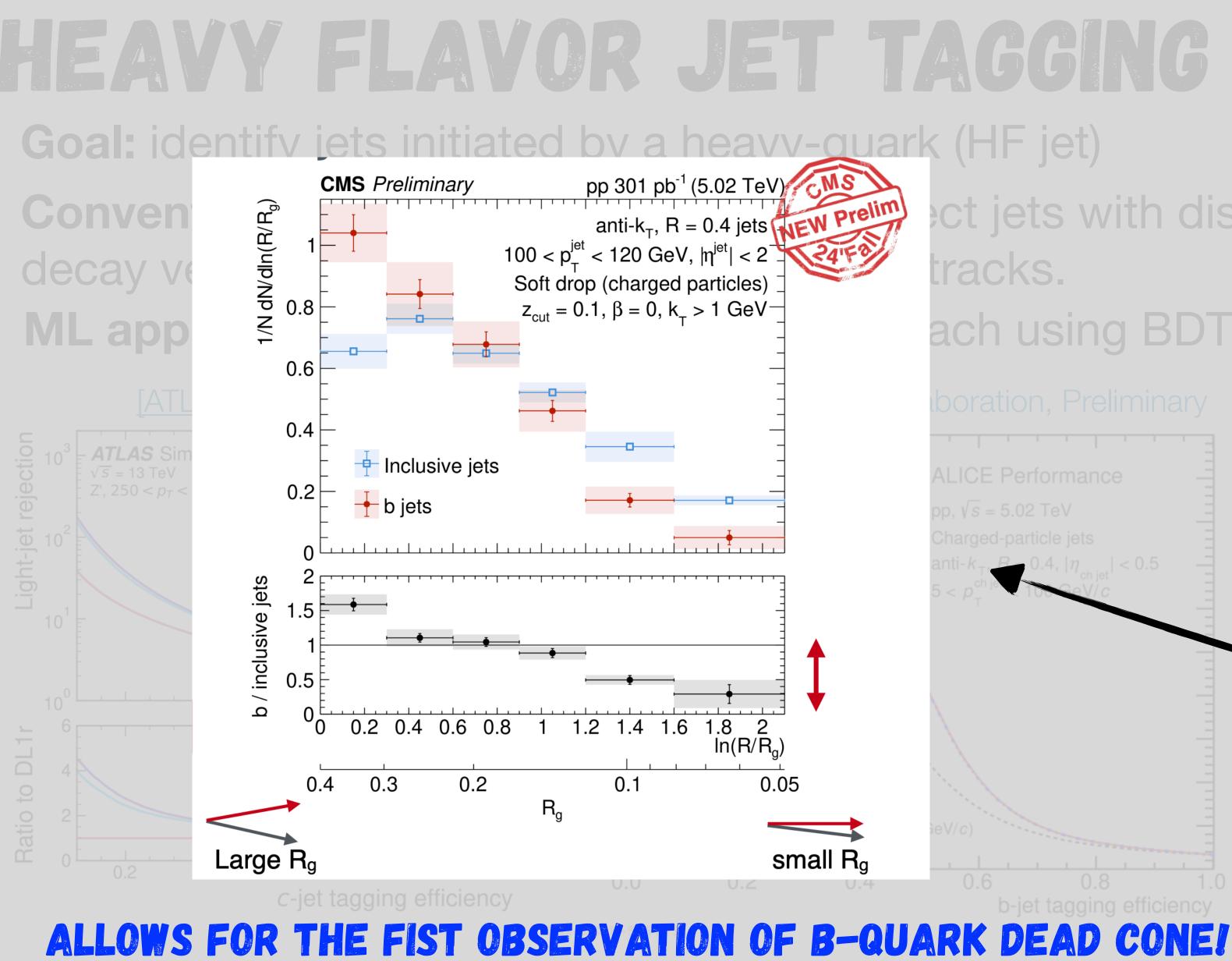
ML approach: Learn from low-level features in a supervised approach using BDT or a GNN

CMS-PAS-HIN-24-005









Hannah Bossi (<u>hannah.bossi@cern.ch</u>)

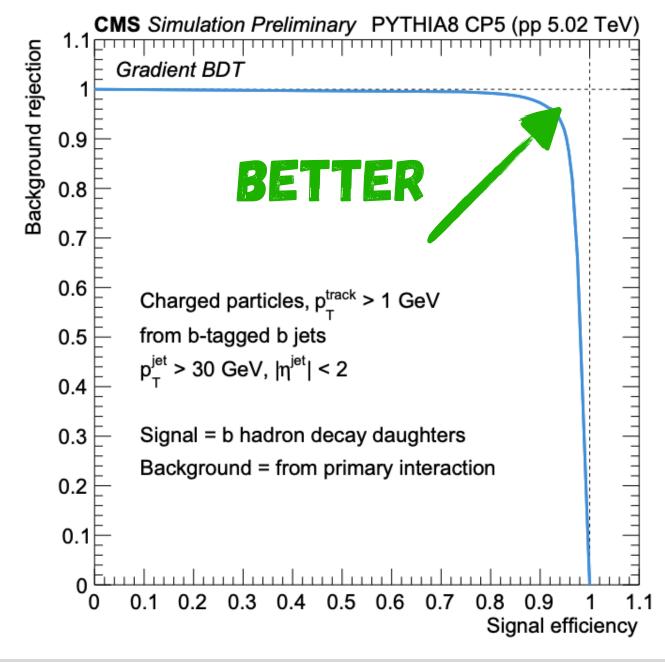


ect jets with displaced

ach using BDT or a GNN



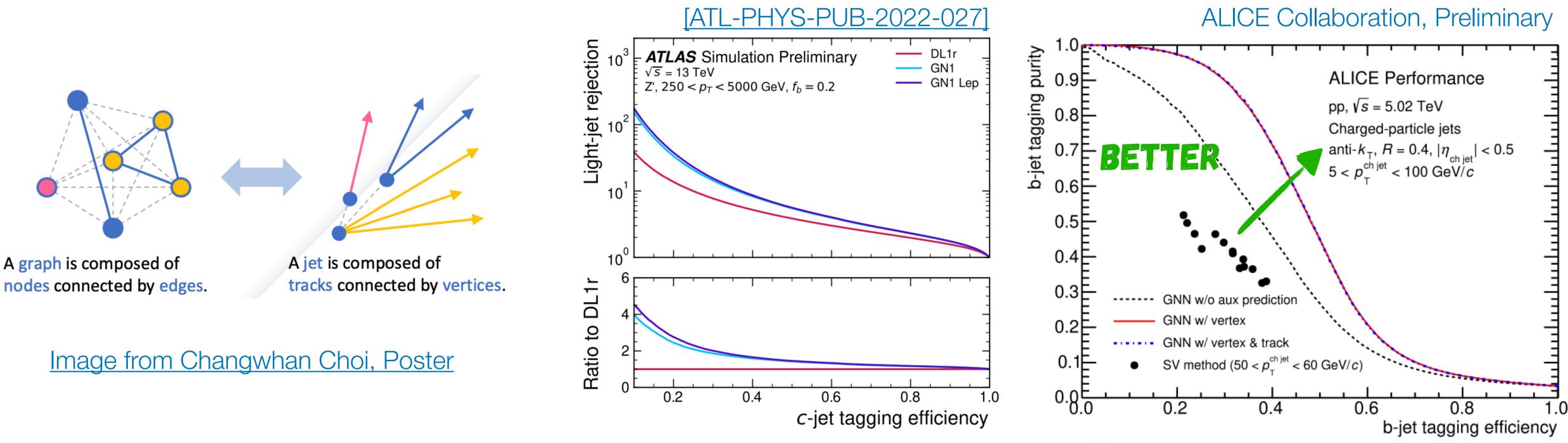
CMS-PAS-HIN-24-005



Hard Probes 2024

11

HEAVY FLAVOR JET TAGGING Goal: identify jets initiated by a heavy-quark (HF jet) **Conventional approach:** Apply cuts to select jets with displaced decay vertices and large impact parameter tracks. **ML approach:** Learn in a supervised approach using BDT or a GNN



[JINST 13 (2018) 05, P05011]



Hannah Bossi (<u>hannah.bossi@cern.ch</u>)





- HARD PROBES 2024 HARD PROBES 2024 KALIPOLIT <u>C. CHOI</u> WED. 9:40 POSTER







•Differential measurements of jets are key to understanding jet quenching effects! •These often involve pushing to large R and/or low $p_{\rm T}$, where background contribution is

difficult to subtract.

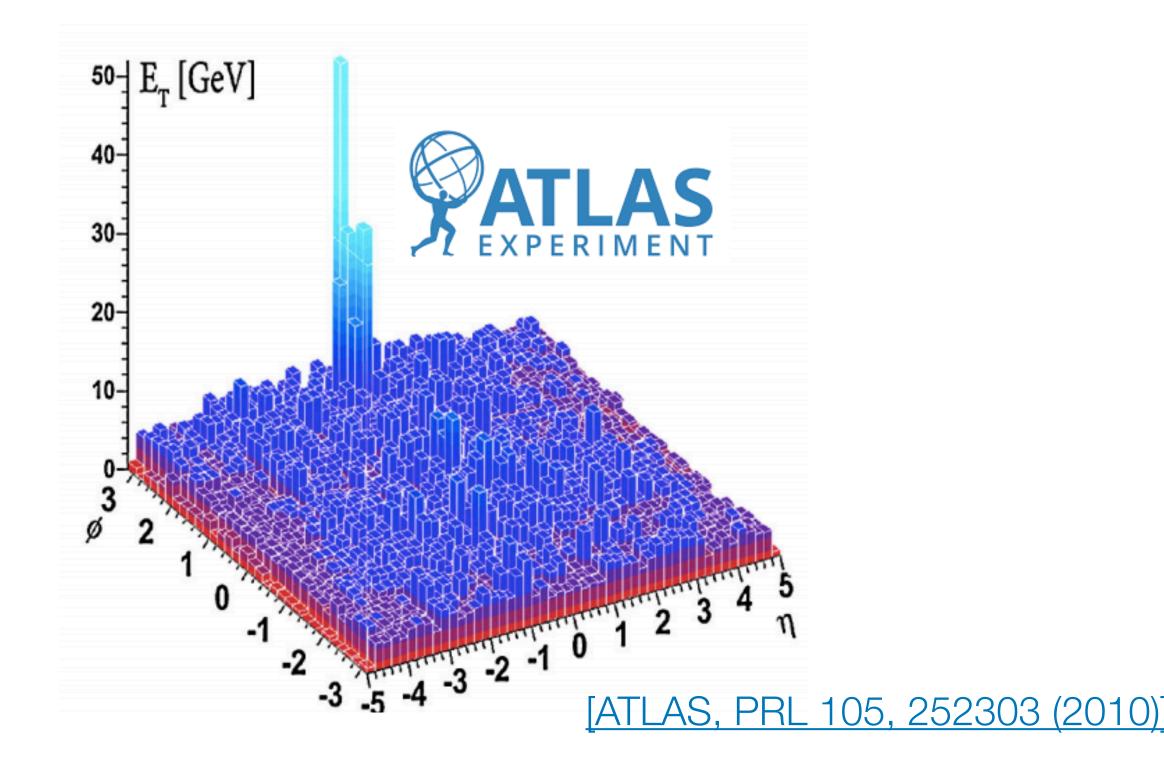
By now many methods in which ML can be used to solve this problem! We will discuss two.

See also [Phys. Rev C. 108.L021901 (2023) 6]



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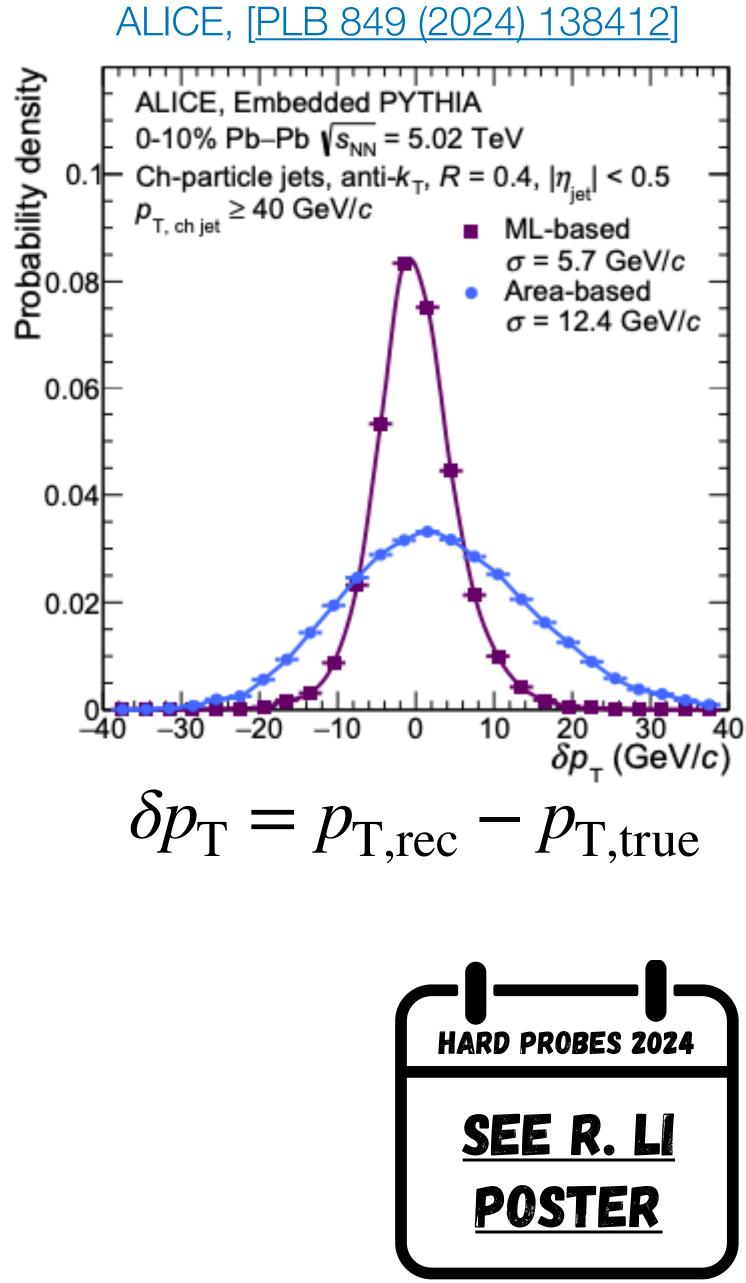


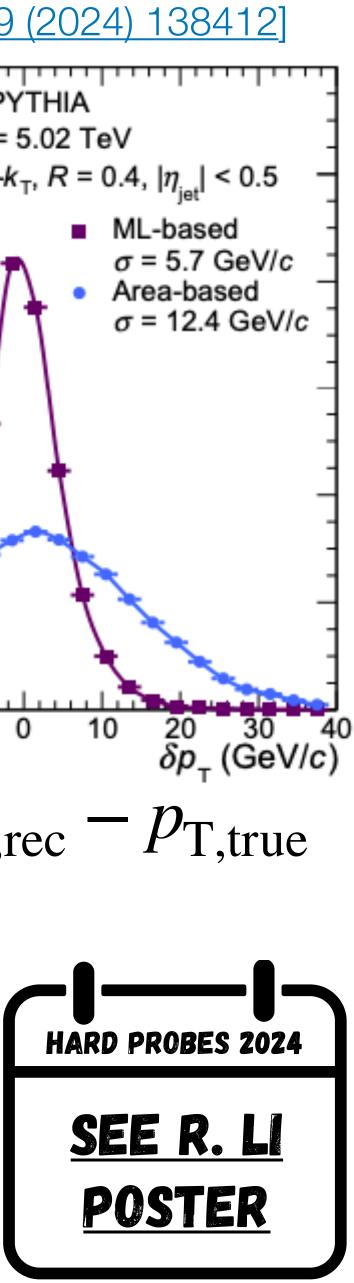
•Method 1: Shallow NN in <u>scikit-learn</u> (simple tools) trained on PYTHIA embedded into HI background [PRC 99, 064904 (2019)]



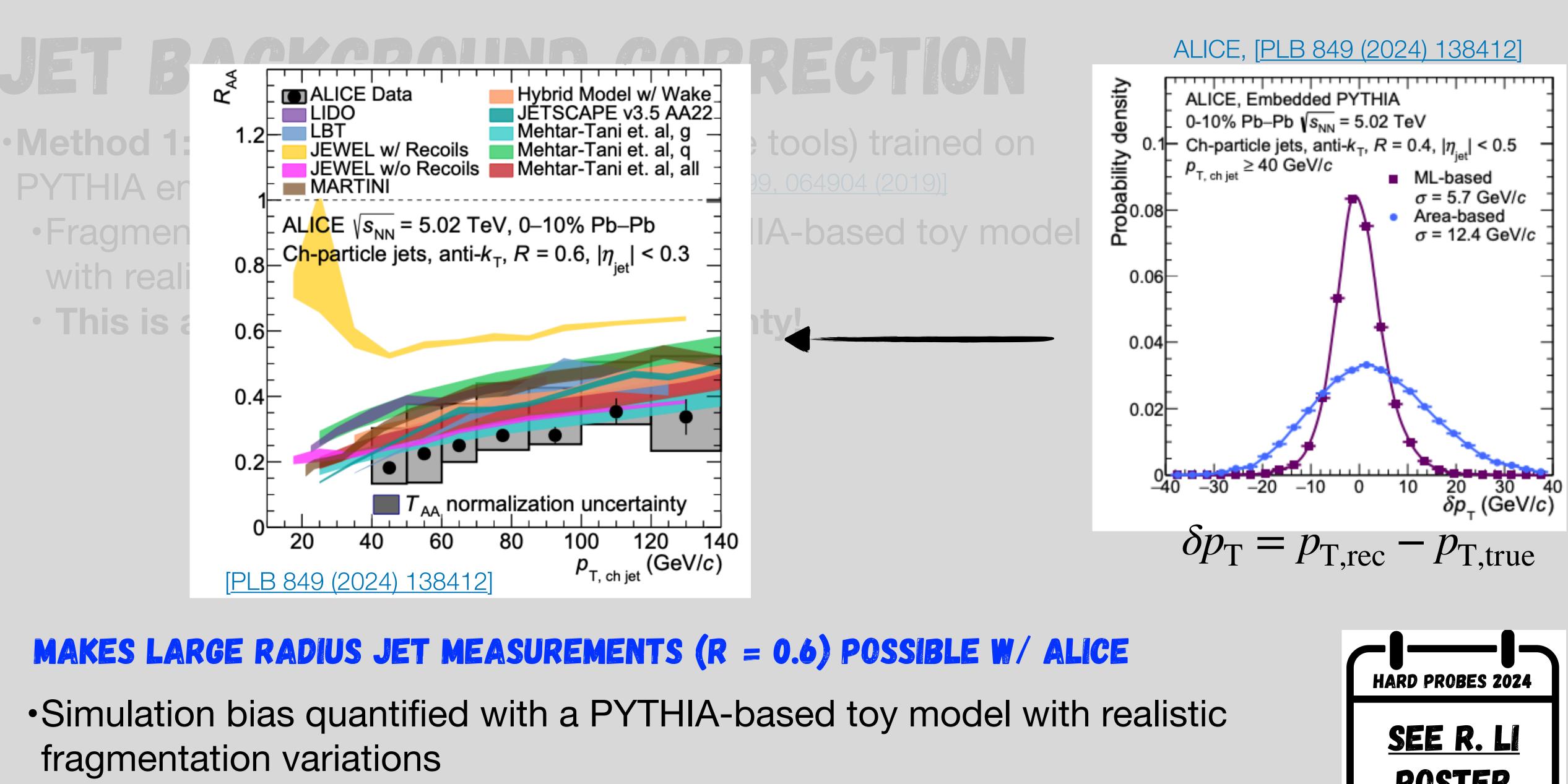
Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



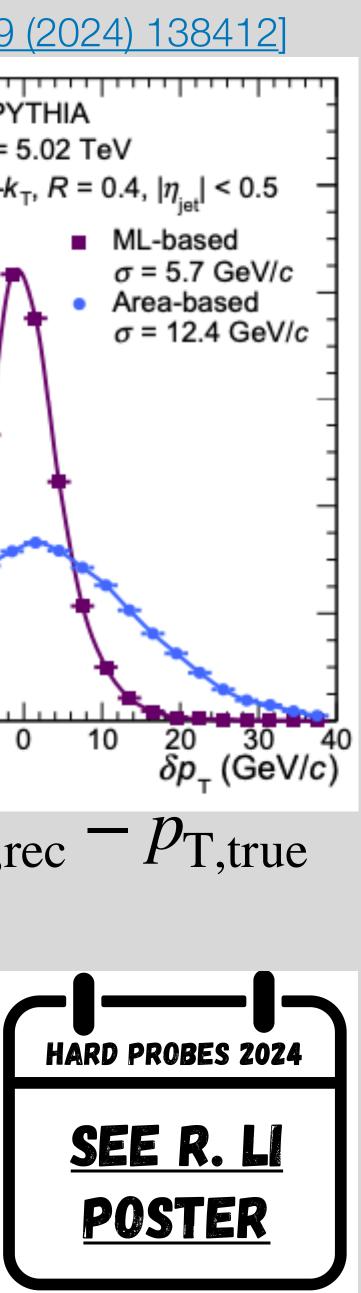








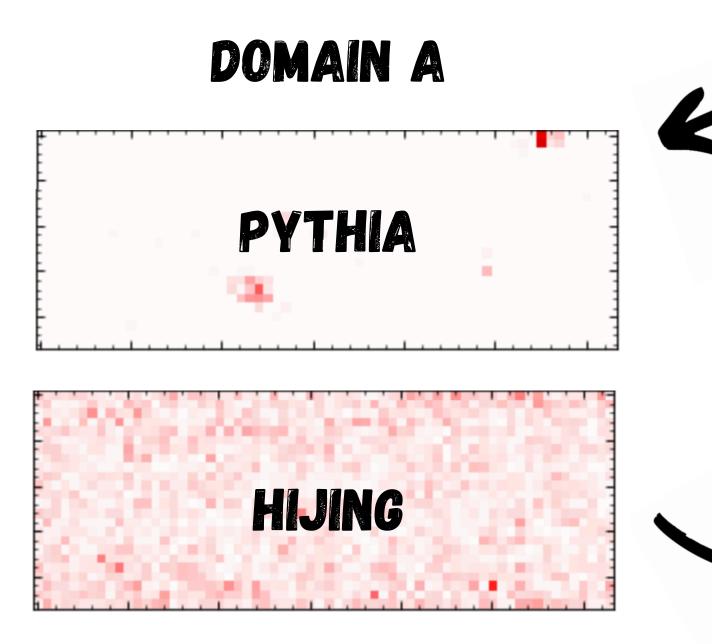
- This is an important source of uncertainty!







- jet background in an *unsupervised* way.
 - - One to translate from domain $A \rightarrow B$
 - One to translate from domain $B \rightarrow A$

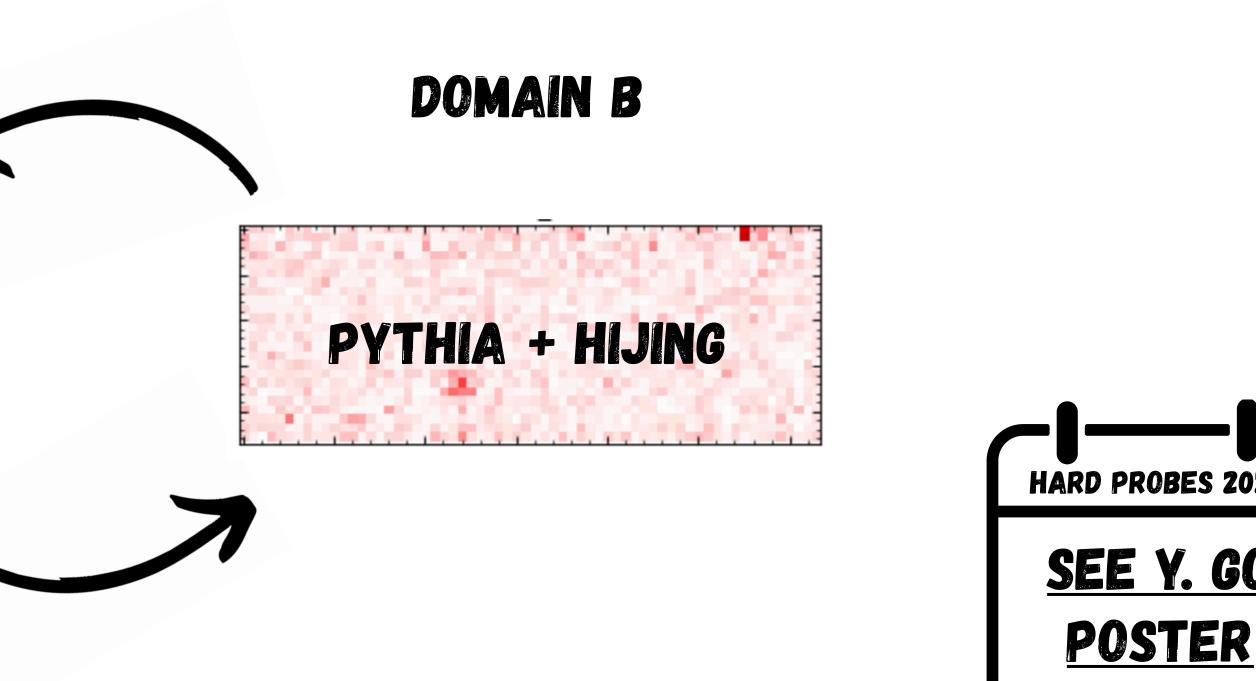






•Method 2: Use generative AI (unpaired image-to-image translation, cycleGANs) to subtract

• Composed of two generator-discriminator pairs w/ cyclic closure (i.e. $A \rightarrow B \rightarrow A \sim A$)







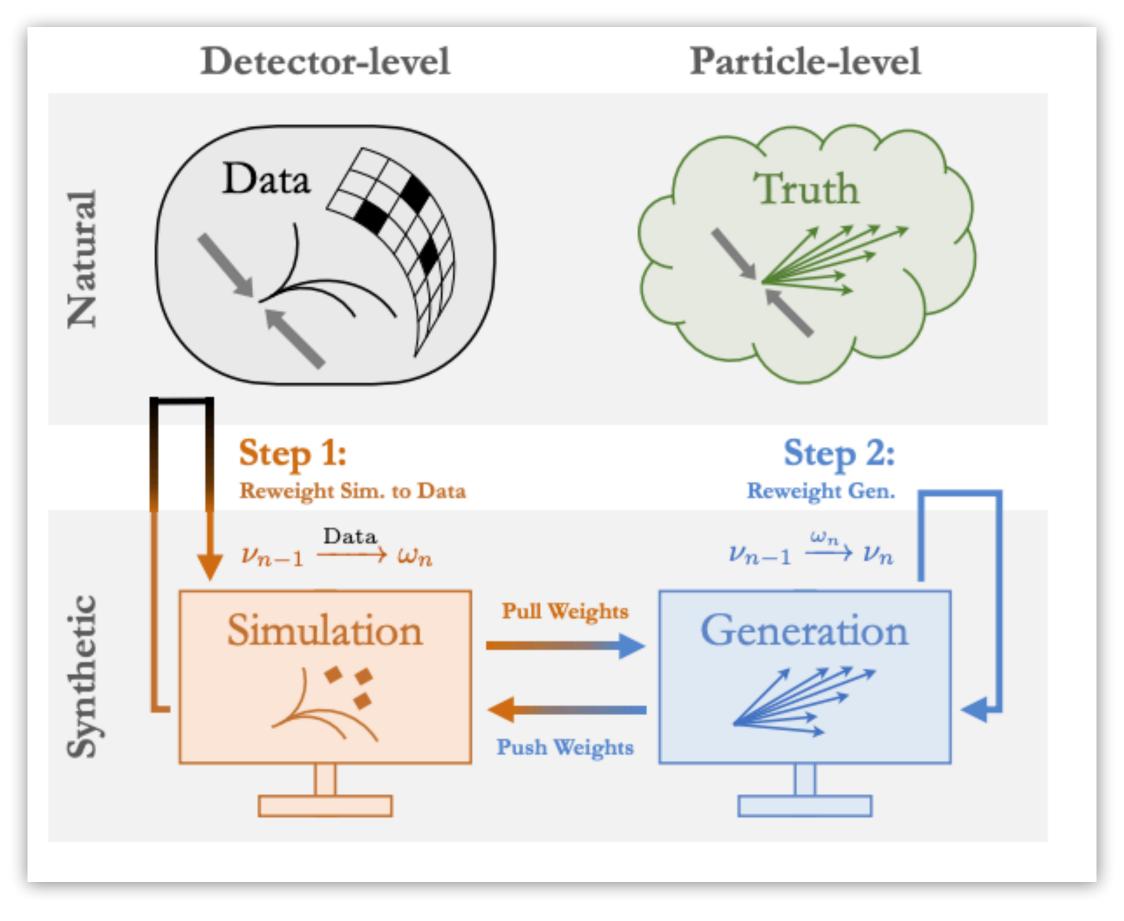






UNFOLDING WITH ML

[PRL 124, 182001 (2020)]





Hannah Bossi (<u>hannah.bossi@cern.ch</u>)

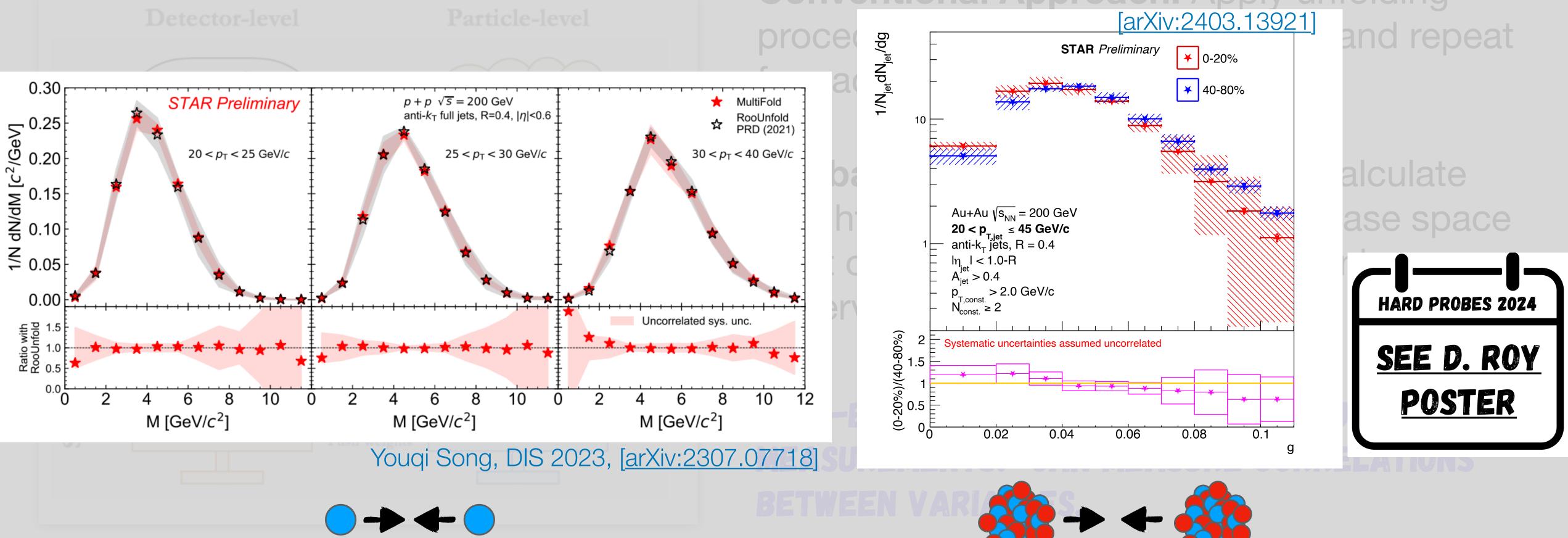


Conventional Approach: Apply unfolding procedure on a binned distribution and repeat for each observable.

ML-based Approach: Use ML to calculate weighting factors and unfold the phase space all at once, before the choice of binning or observable!







ALLOWS FOR MULTI-DIFFERENTIAL MEASUREMENTS OF JET SUBSTRUCTURE IN PP AND AU+AU*/

Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



Conventional A

* model uncertainty not yet evaluated in Au+Au

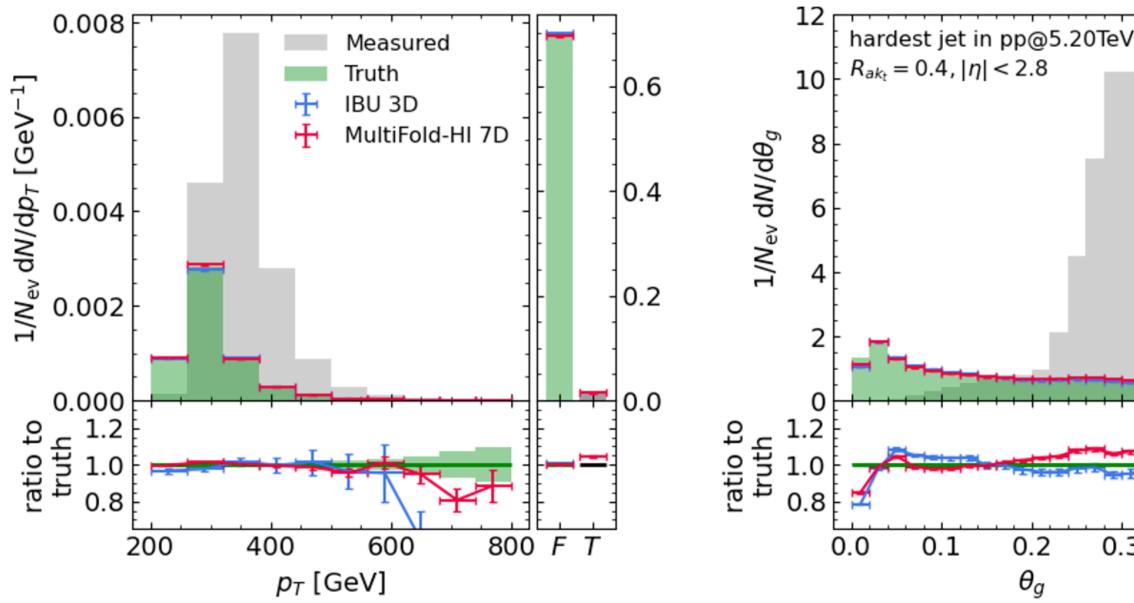
STAR





UNFOLDING WITH ML

- Tested for the first time on HI environment (PYTHIA/HERWIG + thermal background), similar or better performance to Bayesian unfolding in 3D.
- Modify the approach in [PRL 124 182001 (2020)] in order to also treat the case of ...
 - Measured events without true match (fakes, F)
 - True events that are not measured (trash, T)
- No explicit background subtraction, built into MultiFold-HI!





0.10 -1] 0.6 0.6 1/N_{ev} dN/dk_{T,g} [GeV⁻ 700 00 700 00 700 00 700 00 700 00 0.08 0.4 0.4 0.04 0.2 0.2 0.00 0.0 truth atio 60 F T 0.4 F T 0.3 0.2 20 40 Delph 0 *k_{T,g}* [GeV] θ_{g}





ROADMAP WHAT IS AI/ML AND WHY IS IT **USEFUL FOR THE** ANALYSIS OF HARD PROBES?



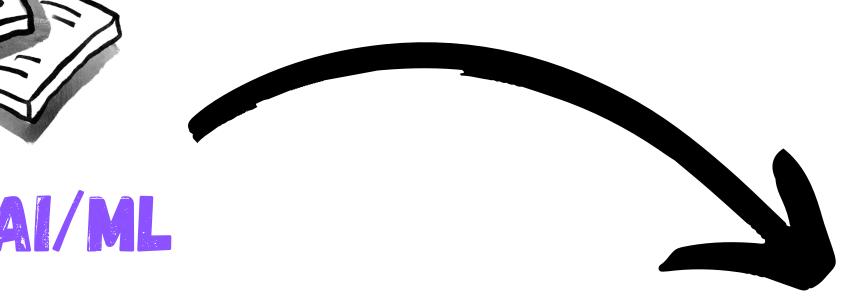


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HOW IS AI/ML CURRENTLY BEING USED FOR ANALYSIS?

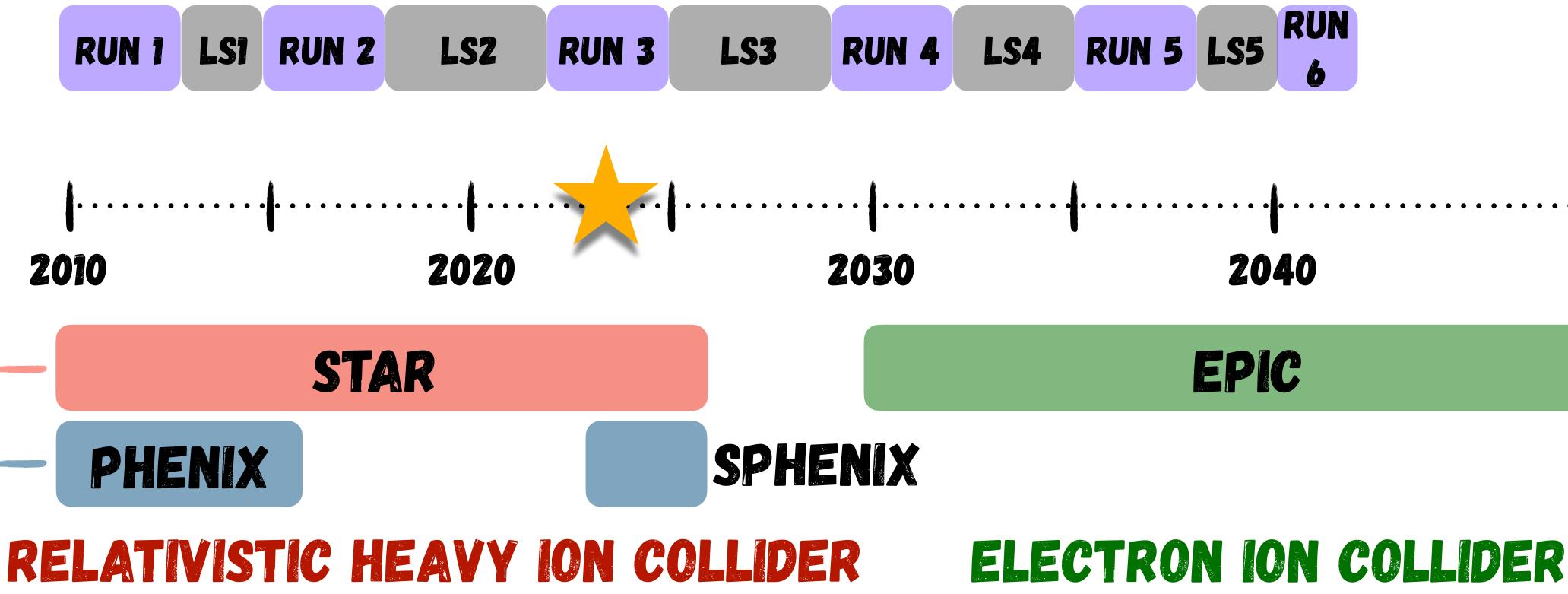
WHERE ARE WE HEADING?







WHERE ARE WE GOING? LARGE HADRON COLLIDER ER LSI RUN 2 RUN 4 LS3 **RUN 1** LS2 RUN 3







Brookhaven

National Laboratory

Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



Very large volumes of will be taken and analyzed in the decades to come - new tools will be increasingly important!

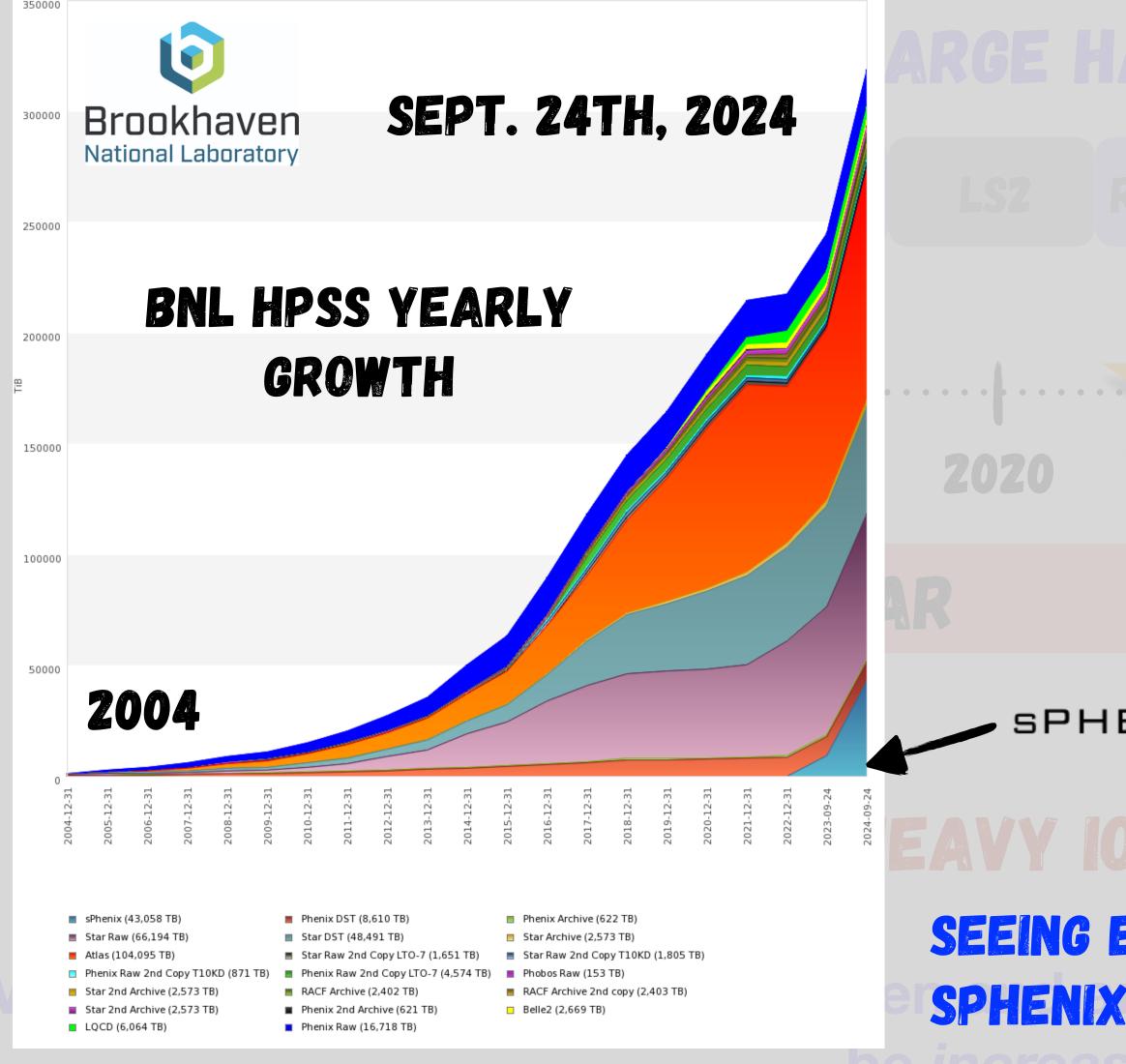
Hard Probes 2024





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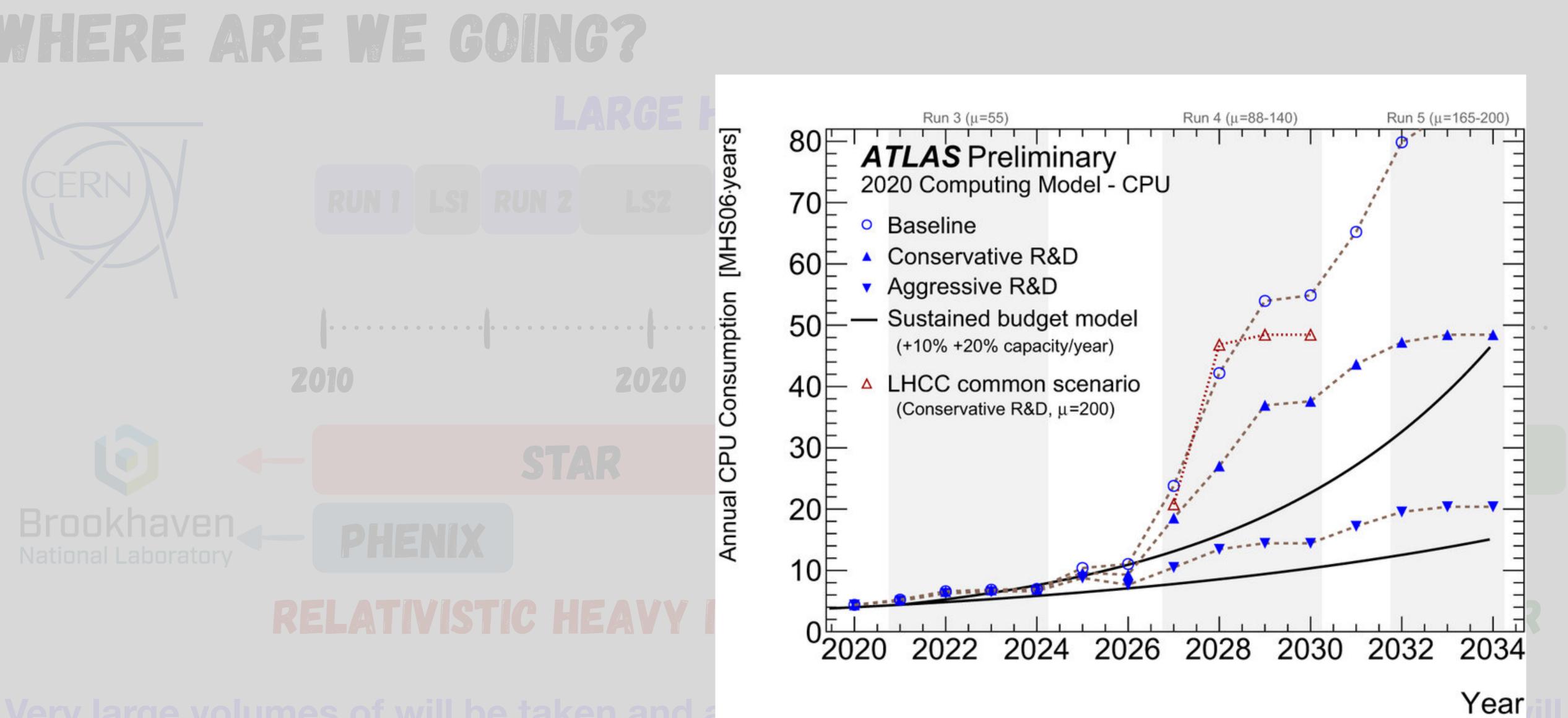




SPHENIX SPHE<mark>N</mark>IX) SEEING EXPONENTIAL GROWTH IN AMOUNT OF DATA STORED! SPHENIX QUICKLY BECOMING SIZABLE FRACTION OF THE TOTAL









Hannah Bossi (hannah.bossi@cern.ch)



be increasingly in SAME TRENDS TRUE AT THE LHC!







HOW CAN WE MAKE ML-BASED **APPLICATIONS REPRODUCIBLE?**



Hannah Bossi (<u>hannah.bossi@cern.ch</u>)



DO WE NEED TO STANDARDIZE ML **APPLICATIONS ACROSS EXPERIMENTS?**

HOW DO WE CONSTRUCT MORE INTERPRETABLE **MODELS?**





~ Given an answer ~ "White Box" ML ~ Underlying physics



Helpful in understanding uncertainties or shortcomings of models!

Proof of concept identifying the AP splitting function exists [PLB 829 (2022) 137055]



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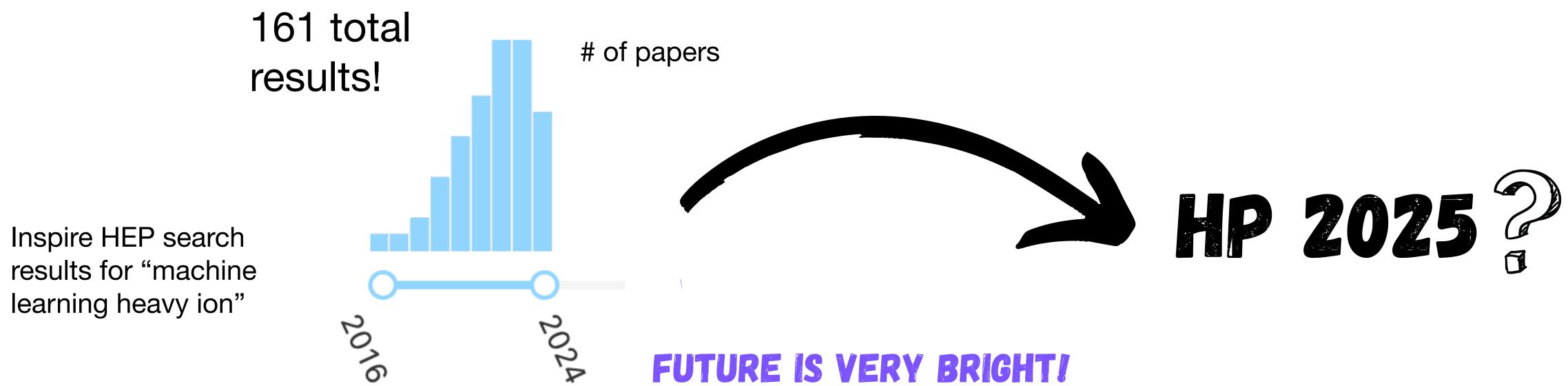
- "Data"-based learning complements simulation-based inference.
 - ~ Domain knowledge
 - ~ "Black Box" ML
 - ~ Answer

- THIS IS A LONG TERM EFFORT!



CONCLUSIONS

- We are taking more data and making more complex measurements than ever before!
- analysis pipeline!
 - Many great examples at this conference!
- Will be crucial at future facilities such as the HL-LHC and the EIC!





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Machine learning has led to new physics insights and can be used throughout the whole





deep ai image editor

Special thanks to Fabio Catalano, Changwhan Choi, Raymond Ehlers, Alexandre Falcão, Yeonju Go, Laura Havener, Maja Karwowska, Diptanil Roy, Youqi Song, Adam Tackas and MIT Heavy Ion group for useful discussions and feedback!





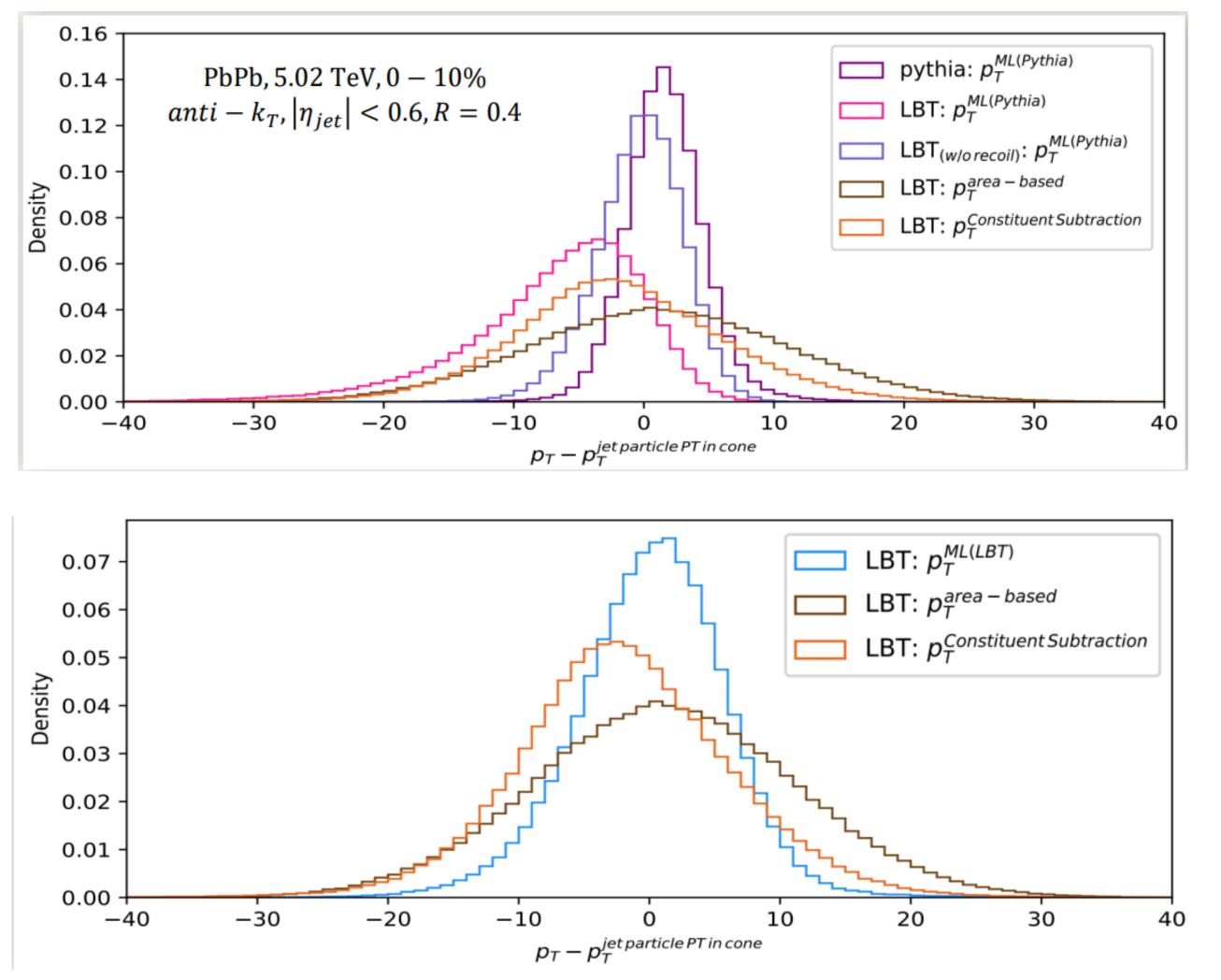
deep ai image editor

Backup

111



STUDIES WITH NN JET PT RECONSTRUCTION





Hannah Bossi (<u>hannah.bossi@cern.ch</u>)

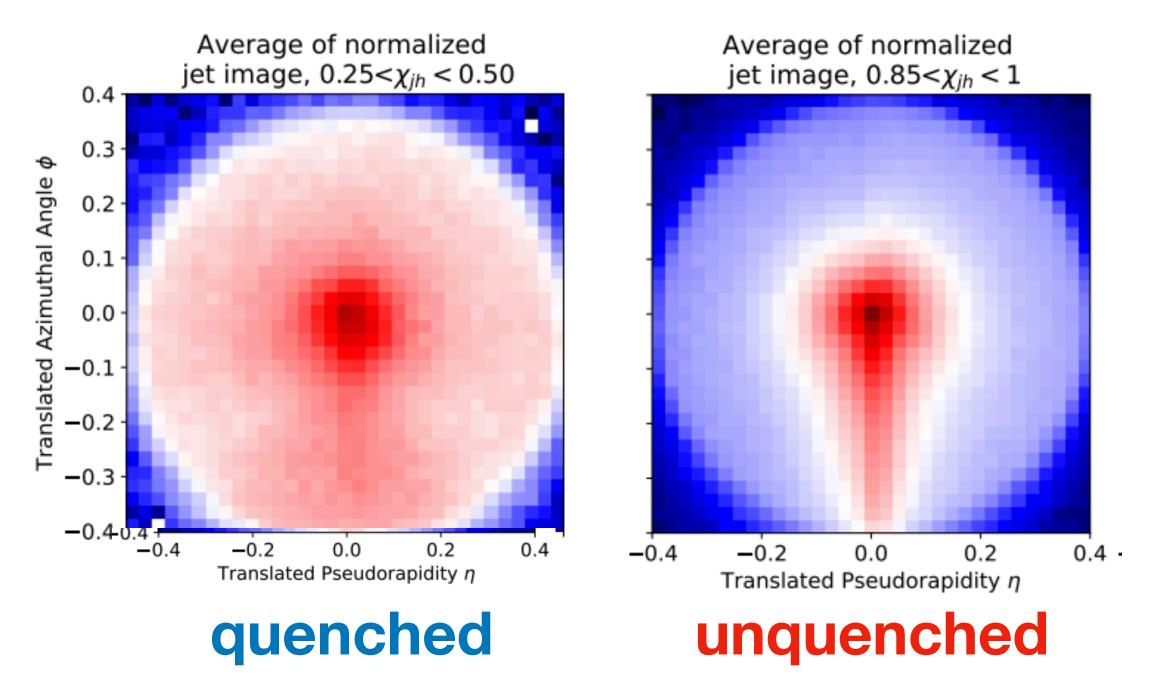
- See offset (bias) in $\delta p_{\rm T}$ when ML is trained in PYTHIA vs. LBT.
- Crucial for applications in data to correct for this bias in an unfolding procedure.
 - Apply same model on your data and the response matrix.







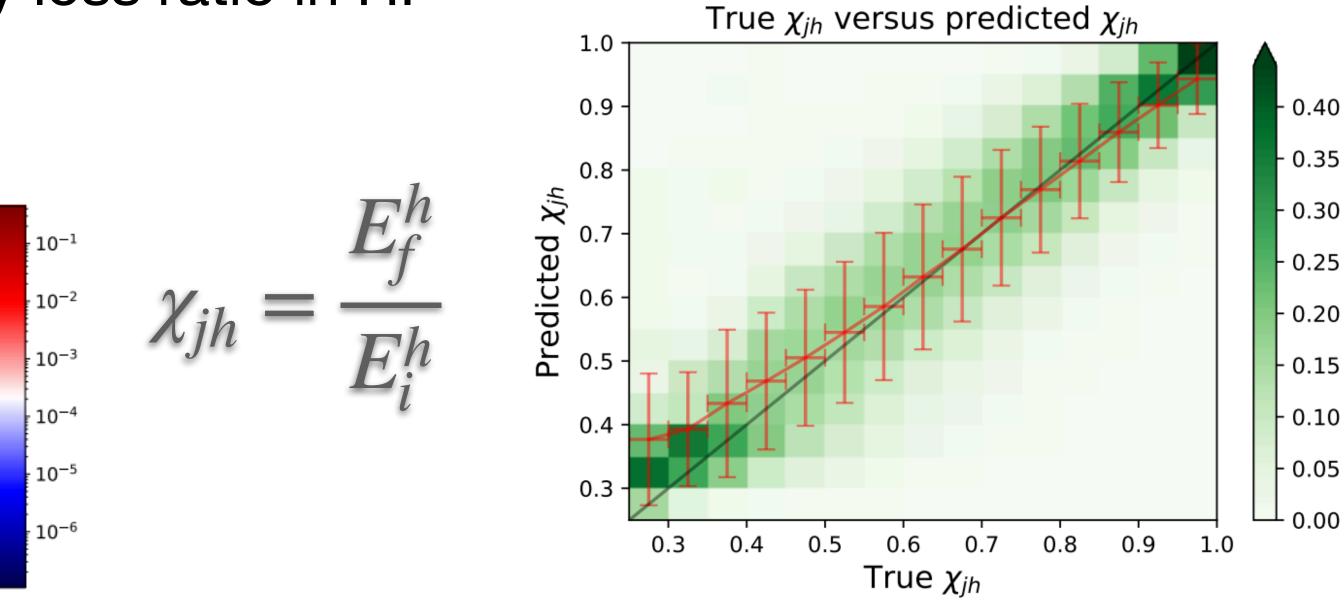
Use supervised learning on jet images with a CNN to perform the regression task of predicting the energy loss ratio in HI collisions (hybrid model).



 Very useful to separate and study quenched vs. unquenched jets as well as extracting the initial energy of the jet. (Ideal probe of selection bias!)



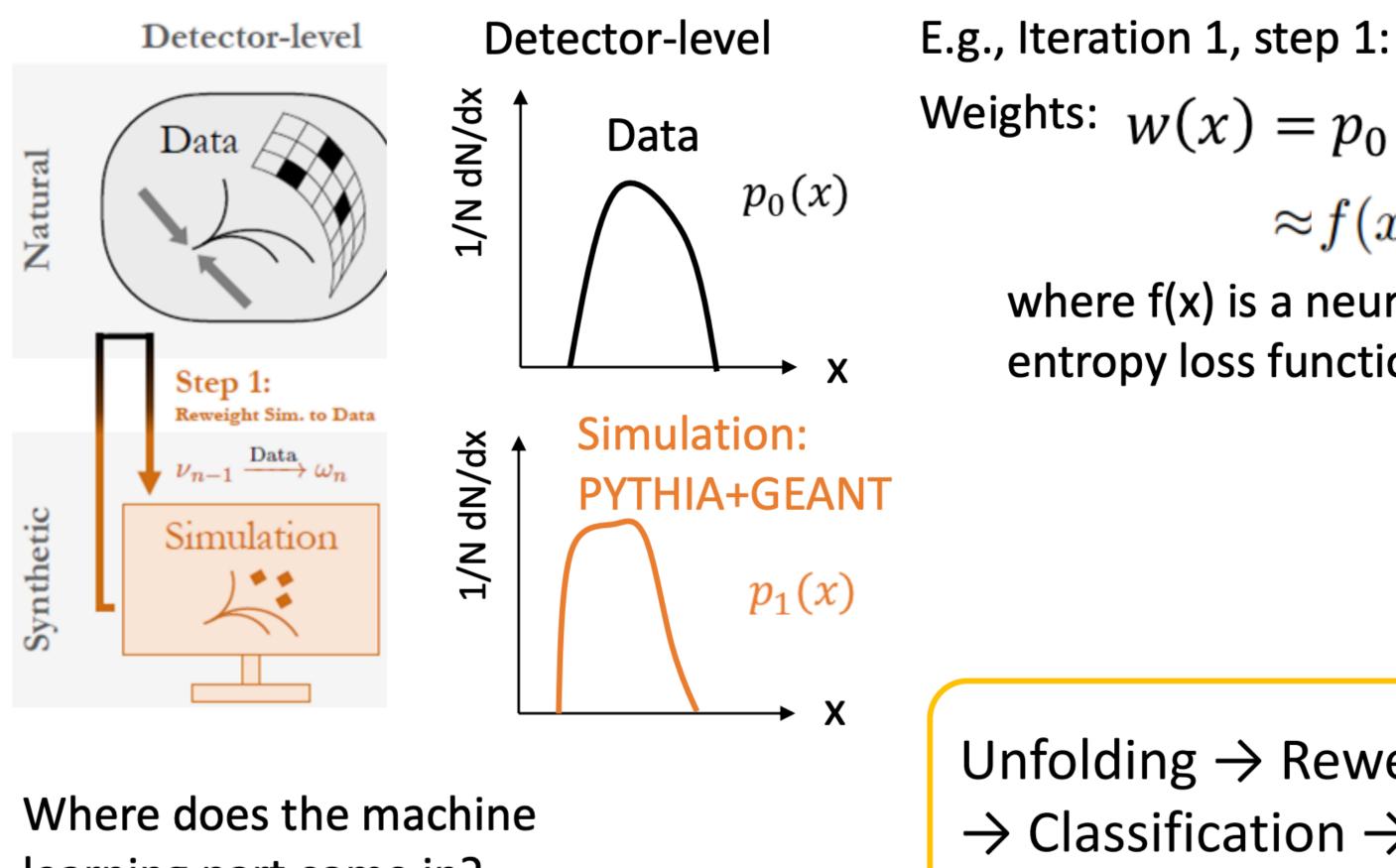
[JHEP 2021, 206 (2021)]



Shows good performance!







learning part come in?

Unfolding \rightarrow Reweighting histograms \rightarrow Classification \rightarrow Neural network



Hannah Bossi (<u>hannah.bossi@cern.ch</u>)





Weights: $w(x) = p_0(x)/p_1(x)$ Ok for 1D

 $\approx f(x)/(1 - f(x))$ (Andreassen and Nachman PRD 101, 091901 (2020))

where f(x) is a neural network and trained with the binary crossentropy loss function

> to distinguish jets coming from <u>data</u> vs from simulation

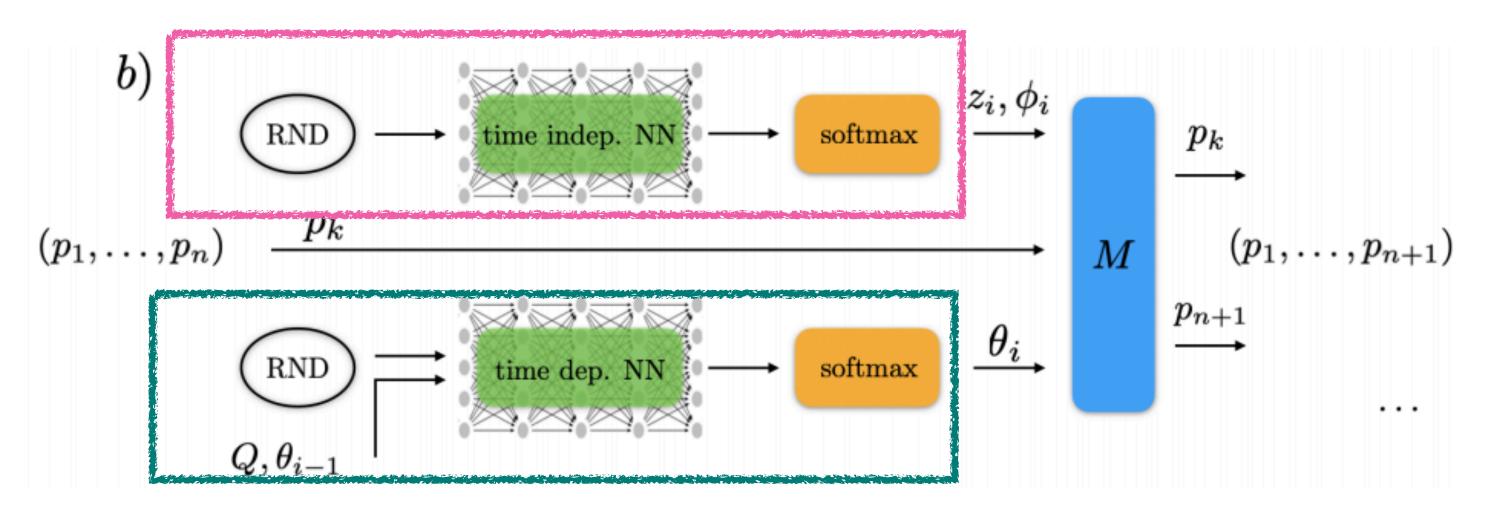




Extract splitting function from the network in white-box ML.

Done with a GAN split into two components.

1. Time independent learns the z, ϕ



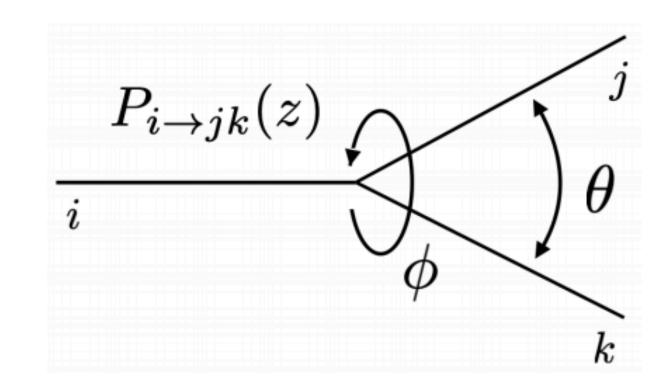
2. Time dependent learns the θ

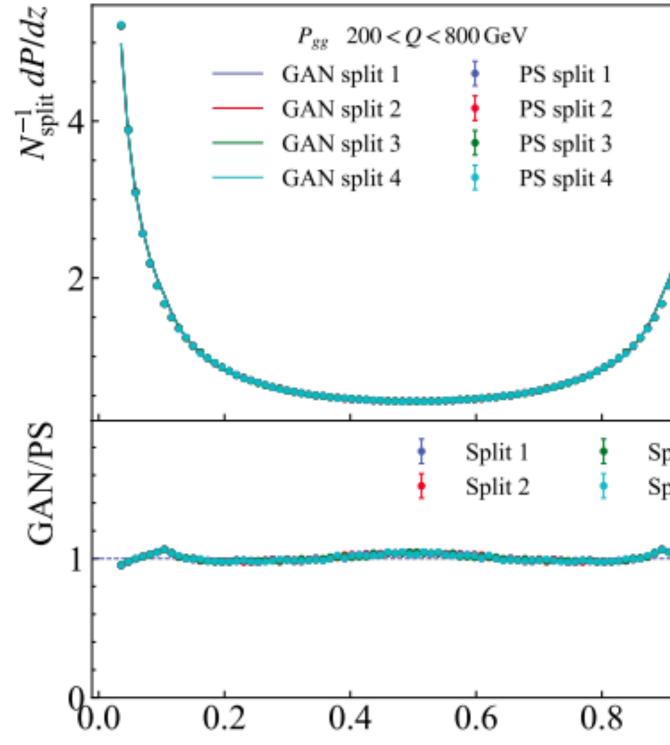
Was able to reproduce AP splitting function.



Hannah Bossi (<u>hannah.bossi@cern.ch</u>)









EVENT CLASSIFICATION AT THE EIC

- Study the effectiveness of ML-based classifiers to
 - Identify the flavor of the jet
 - Identify the underlying hard process of the collision
- Additionally study the effectiveness of different ways of representing information
 - Particle Flow Networks [JHEP 01 (2019) 121]

$$(p_1, \dots, p_N) = F\left(\sum_{i=1} \Phi(p_i)\right) \quad p_i = (z_i, \eta_i, \phi_i, \text{PII})$$

Energy Flow Polynomials [JHEP 04 (2018) 013]

$$\operatorname{EFP}_{G} = \sum_{i_{1}} \cdots \sum_{i_{V}} z_{i_{1}} \cdots z_{i_{V}} \prod_{(k,l) \in E} \theta_{i_{k}i_{l}}$$

Indications that ML-based methods will have an improved performance over traditional techniques! See also event classification with large language models, [arXiv:2404.05752]

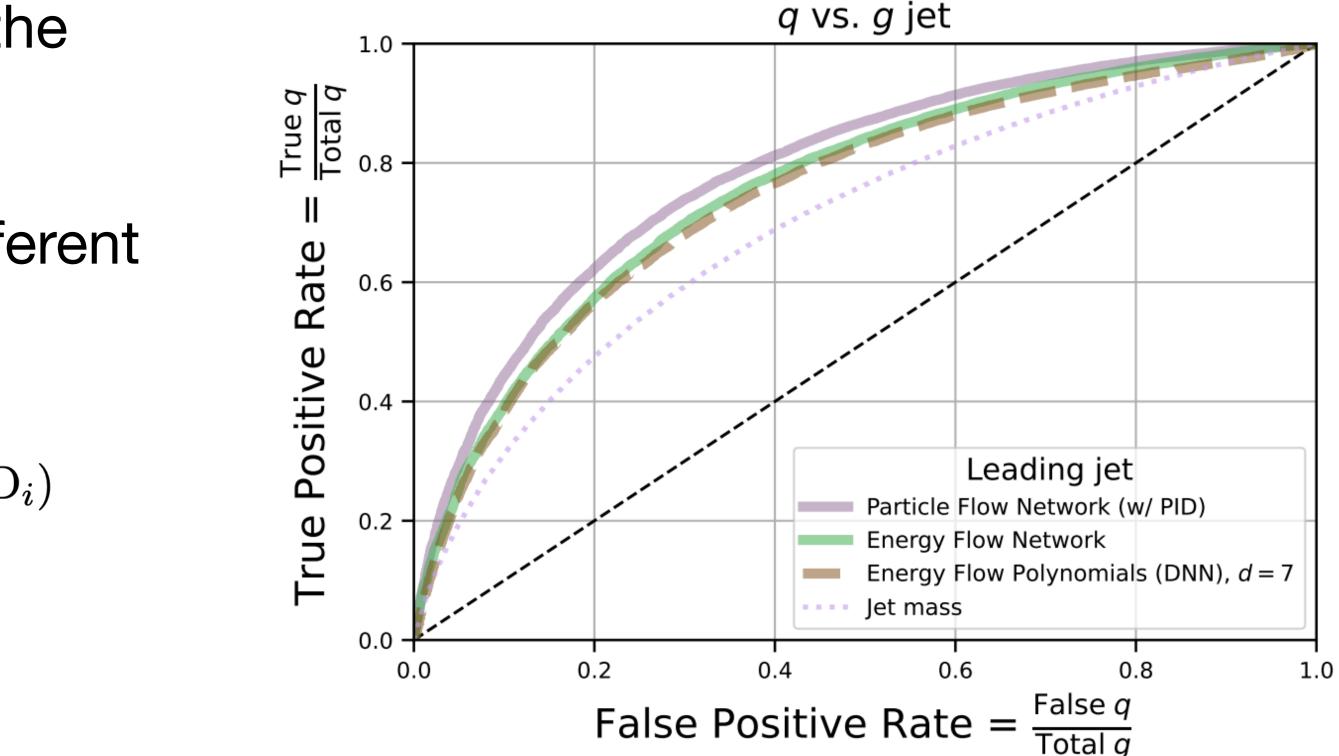


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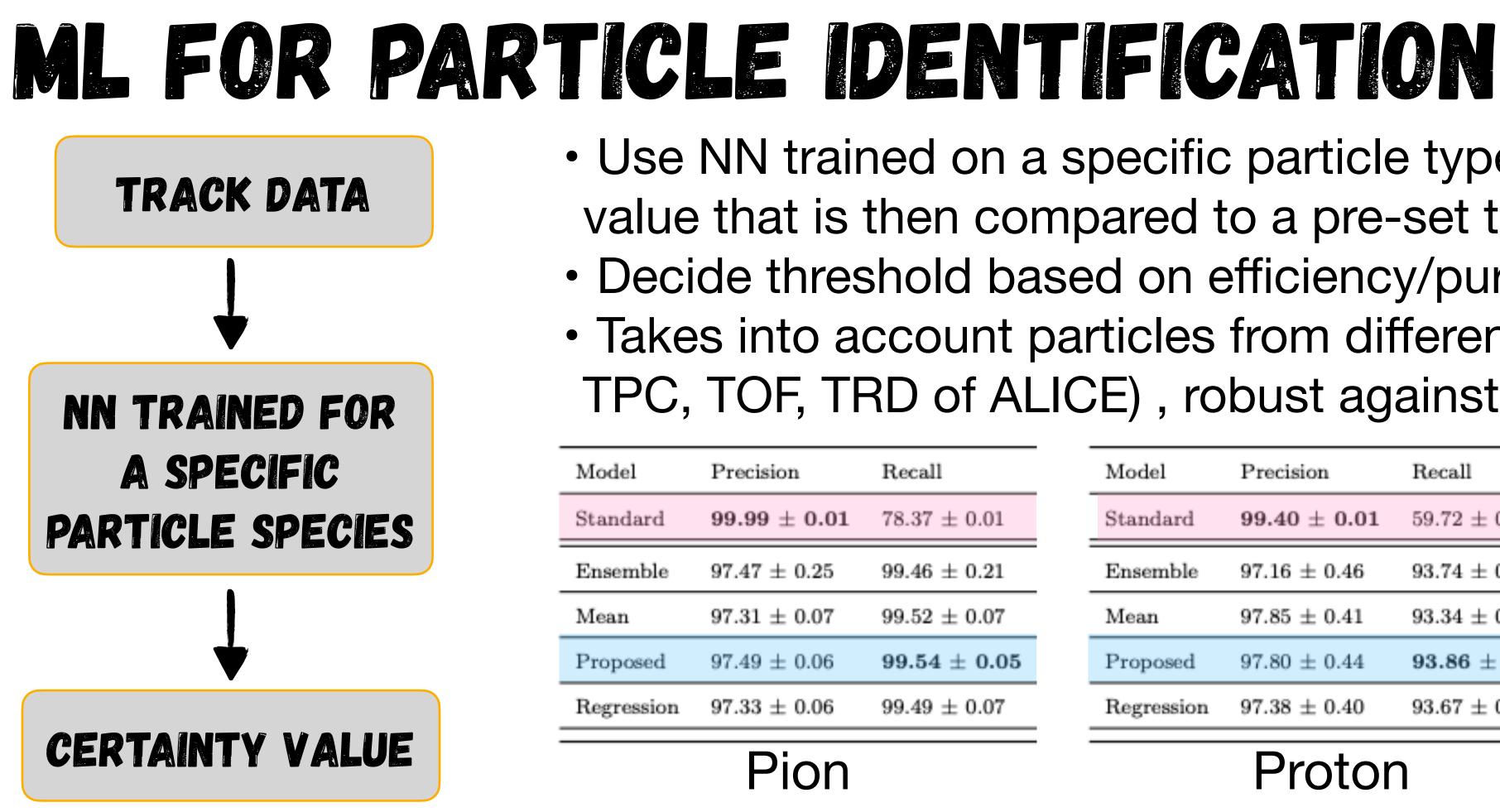


[JHEP 03 (2023) 085]









better balance of precision (purity) and recall (efficiency)!

See also LHCb NN to identify calo hits [Int. J. Mod. Phys. A 30, 1530022 (2015)], ATLAS Electron PID w/ CNN [ATL-PHYS-PUB-2023-001] CMS Deep NN to identify hadronic τ -lepton decays [JINST 17 (2022) P07023]



 Use NN trained on a specific particle type to predict a certainty value that is then compared to a pre-set threshold. Decide threshold based on efficiency/purity tradeoff. Takes into account particles from different sub-detectors (here TPC, TOF, TRD of ALICE), robust against missing data.

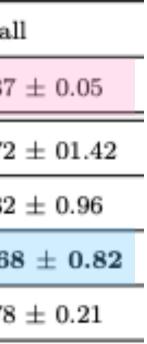
	Proton				Kaon		
07	Regression	97.38 ± 0.40	93.67 ± 0.38		Regression	91.17 ± 01.00	81.78
0.05	Proposed	97.80 ± 0.44	93.86 ± 0.27		Proposed	91.55 ± 0.71	83.68
07	Mean	97.85 ± 0.41	93.34 ± 0.32		Mean	90.83 ± 01.71	82.32
21	Ensemble	97.16 ± 0.46	93.74 ± 0.30		Ensemble	91.18 ± 02.00	82.72
01	Standard	99.40 ± 0.01	59.72 ± 0.03		Standard	92.87 ± 0.01	60.37
	Model	Precision	Recall		Model	Precision	Recal
				4 V			

ΓΙΟΙΟΠ

Ναυτι

• When comparing the standard method to the proposed method, proposed method has









TRACK RECONSTRUCTION AT THE HL-LHC

- •Data volume and reconstruction will also be a problem for the HL-LHC
 - the power of the multiplicity.

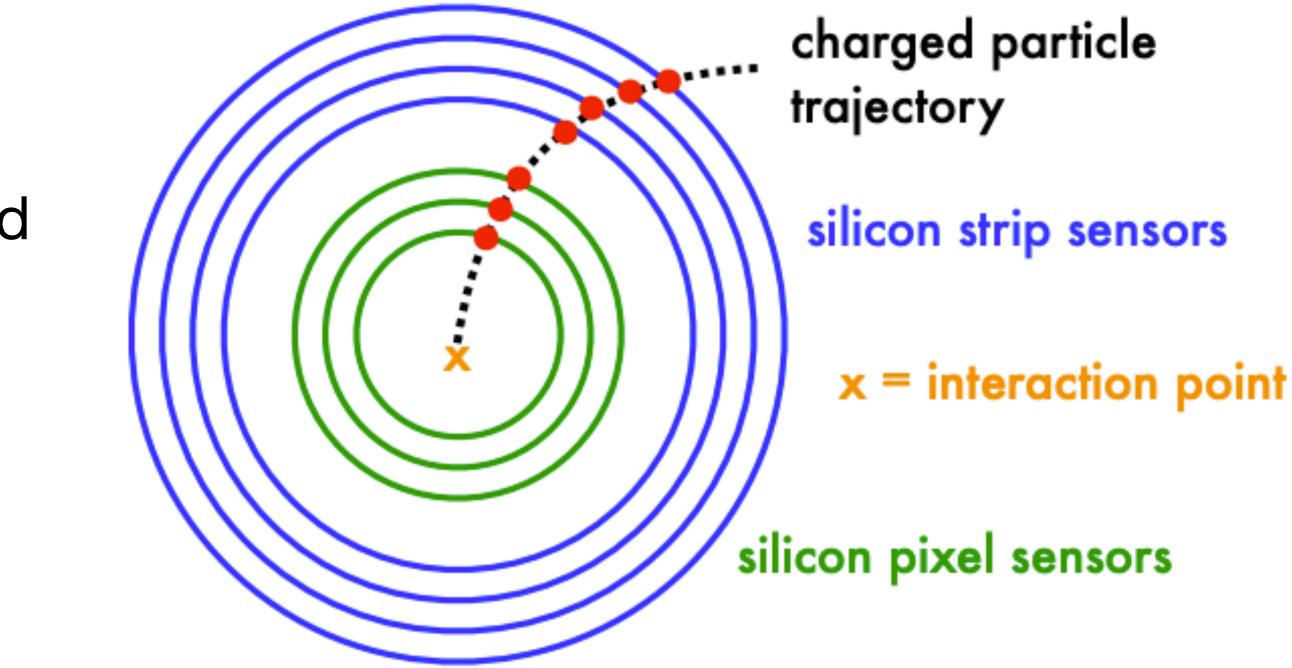
Standard approach: Kalman Filter used to locate hits in charged particle trajectory

ML-based approach: Use ML tools to speed this up such as...

- Recurrent Neural Network [arXiv:2212.02348]
- Convolutional neural network [See Here]



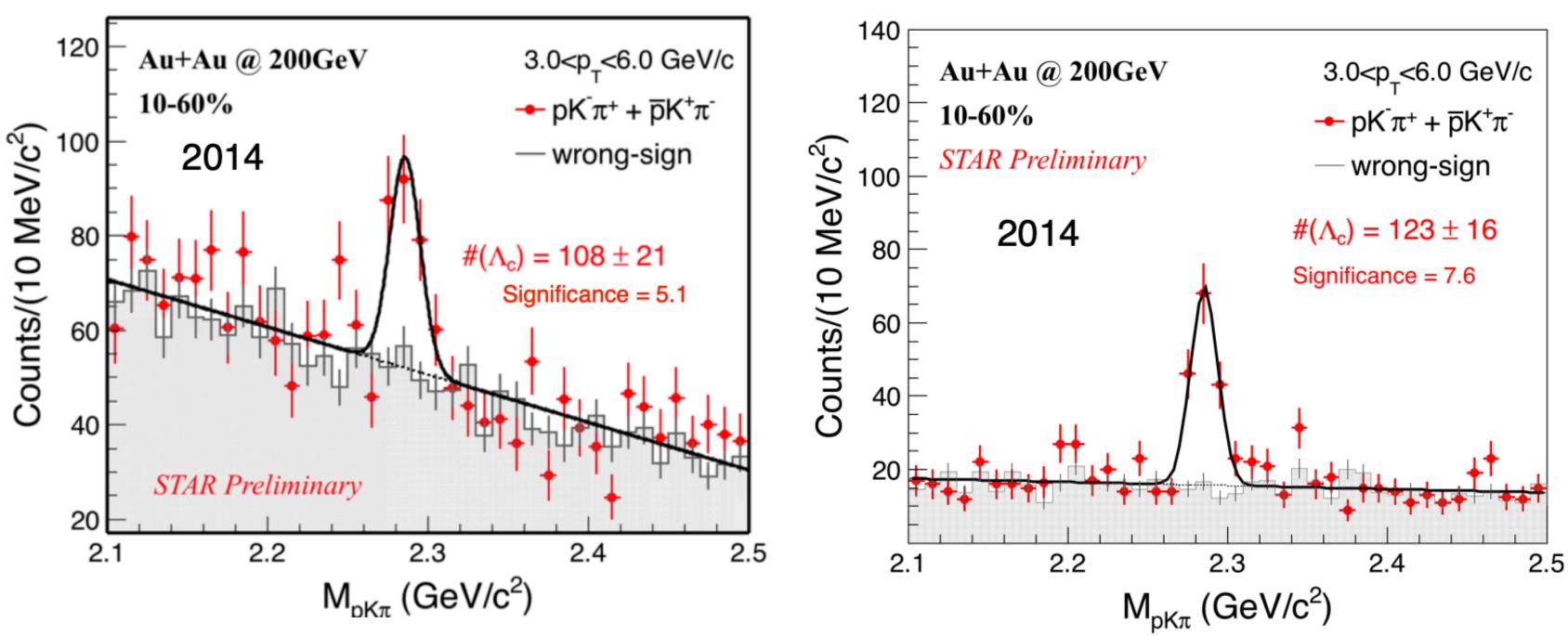
Reconstructing charged particle trajectory is computationally expensive - increases with





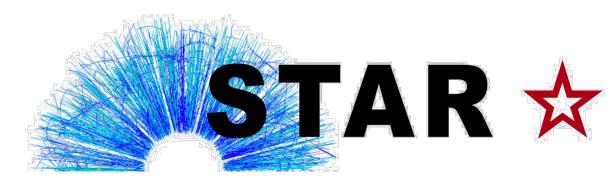
SIGNAL/BACKGROUND DISCRIMINATION

Traditional Techniques



- production.
- Trained in a supervised manner with <u>EvtGen</u>
- 50% increase in signal significance with ML!

With **BDT**

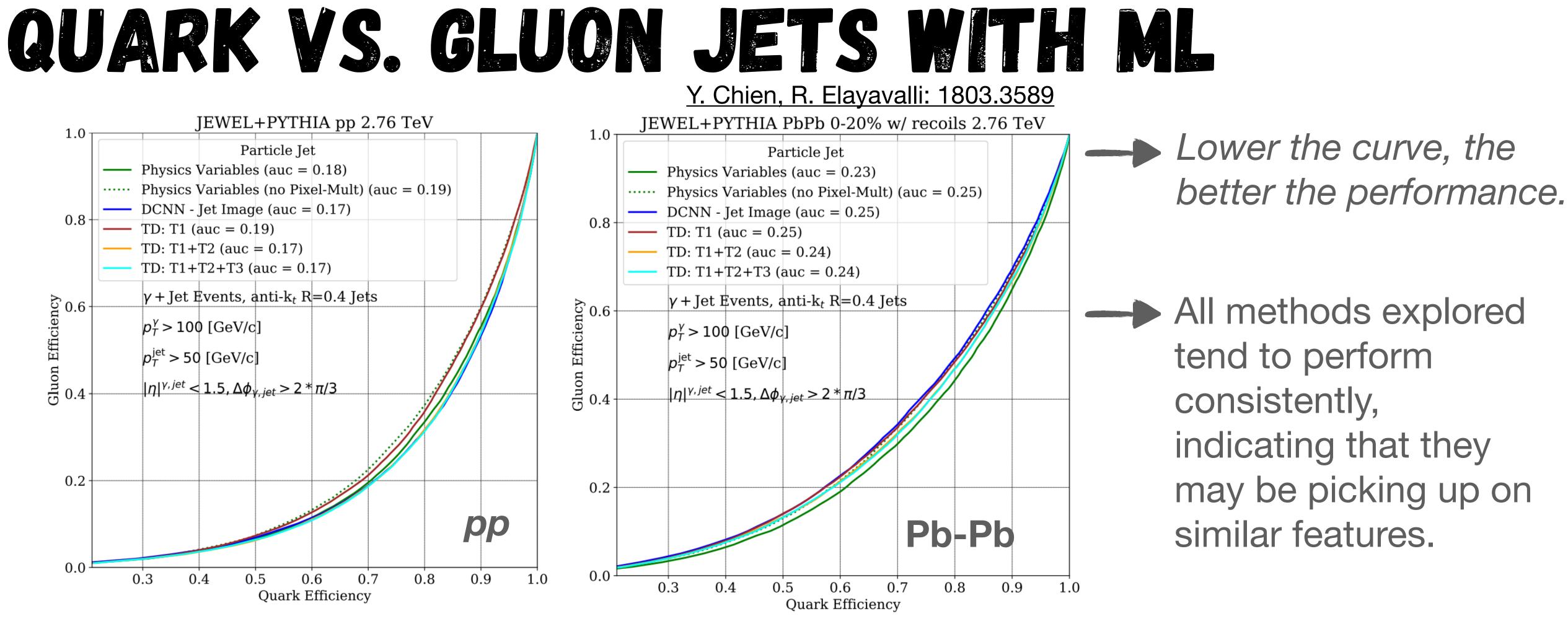


[PRL 124, 172301 (2020)]

- Boosted Decision Tree implemented in ROOT TMVA to optimize signal for Λ_c baryon







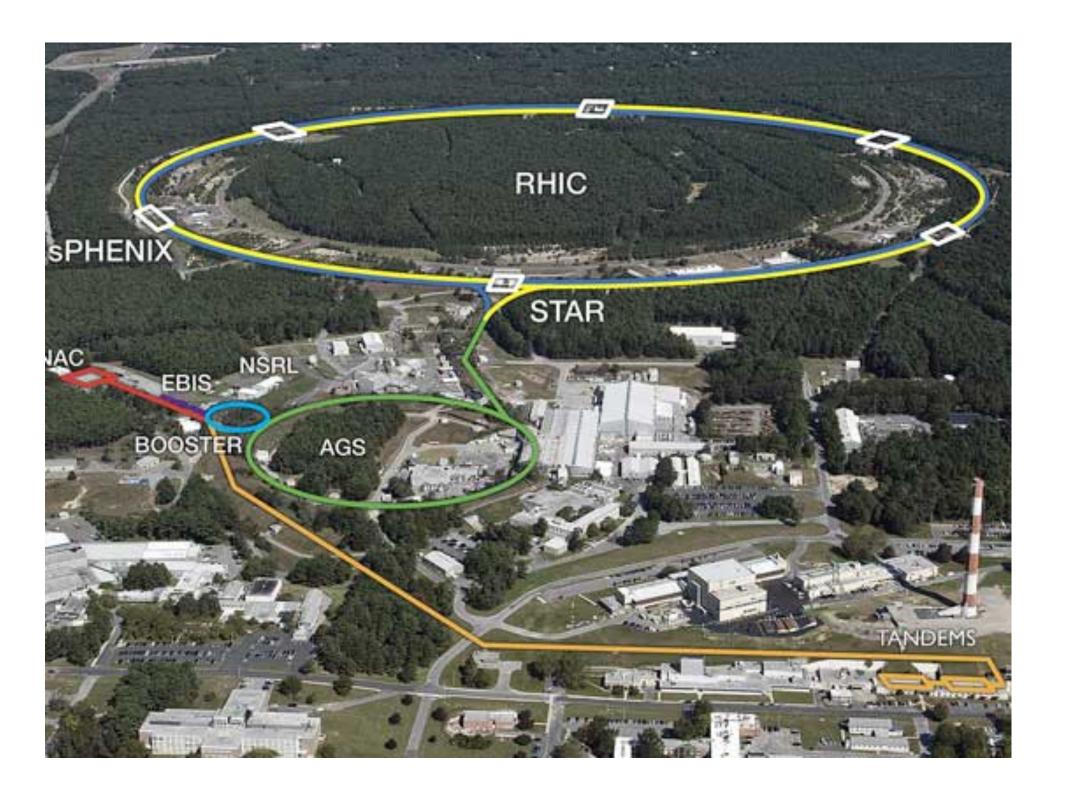
The performance worsens for Pb-Pb, due to the large UE.

Quark and gluon discrimination is a difficult and ongoing effort in HIs! Future: Apply these methods to data in pp and Pb—Pb!



- Hard Probes 2024

ML @ THE RHIC ACCELERATOR COMPLEX



- Algorithms need to be robust to machine parameters.
 - Reinforcement or unsupervised learning useful.
- Need machine development time, can use simulations.

 Boosted Decision Trees to identify and predict magnet quenches from historical data.

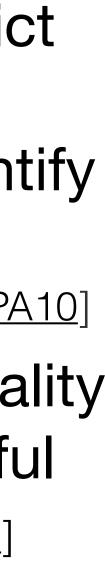
 Combined with Autoencoders used to identify signs indicative of future quenches.

[JACoW IPAC2023 (2023) WEPA10]

• Autoencoders and PCA used for dimensionality reductions to see which parameters are useful for beam cooling. [JACoW NAPAC2022 (2022) 260-262]

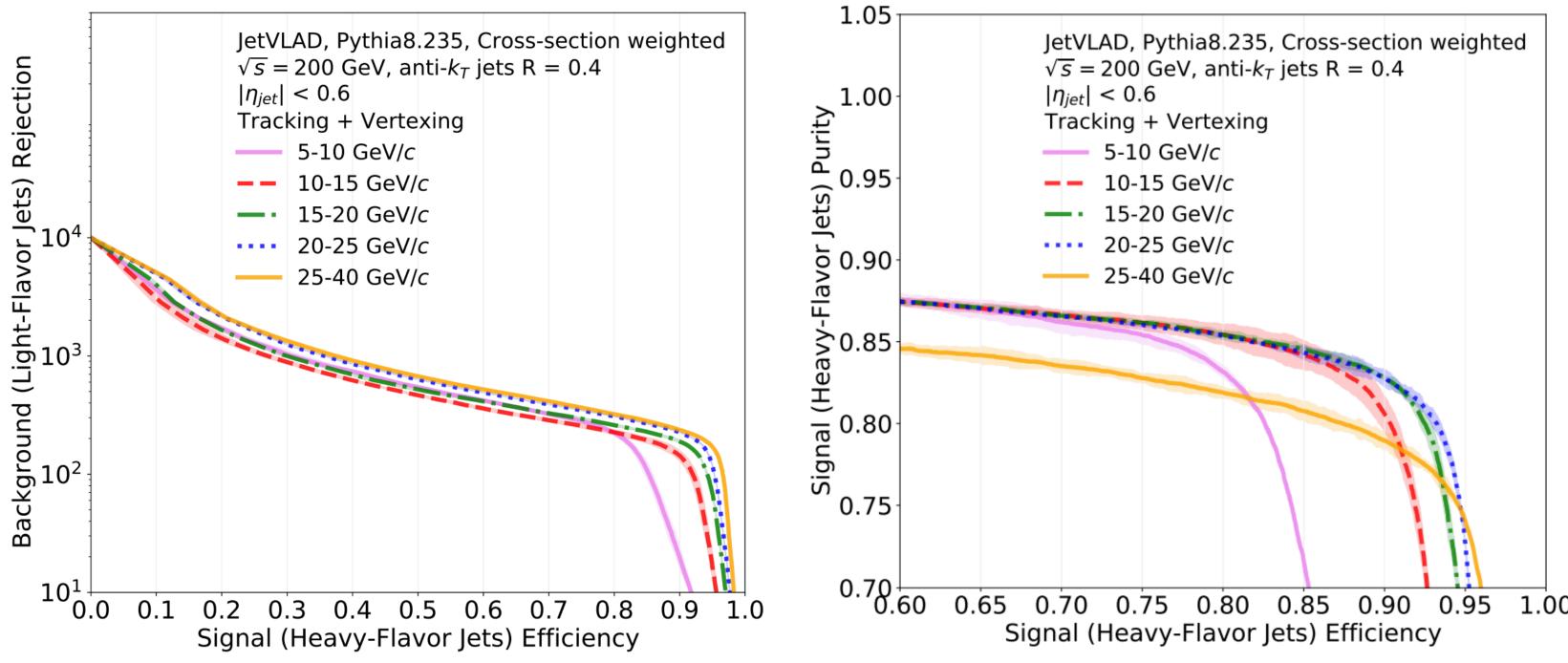
parameters. Juseful.

[JACoW ICALEPCS2023 (2023) FR2A004]





For input to the model treat the jet as a set of particles $\mathcal{J} = \{(p_{T,i}, \eta_i, \phi_i, \dots)\}_{i=1}^n$ Model includes pooling layer that takes set of feature descriptors as an input and returns a fixed-length feature vector that characterizes each set.



This is a challenging problem! Especially in Au+Au!



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1.00

•For higher $p_{\rm T}$ HF jets, background rejection increases, but purity decreases

 Fragmentation changes as function of $p_{\rm T}$ leads to an overlap of feature space





Electron Ion Collider is a future facility being designed with future techniques in mind!

Ongoing Activities w/ Al

- Detector design
- Simulation
- Reconstruction
- Particle Identification
- Analysis



See [<u>AI4EIC</u>] for a comprehensive overview



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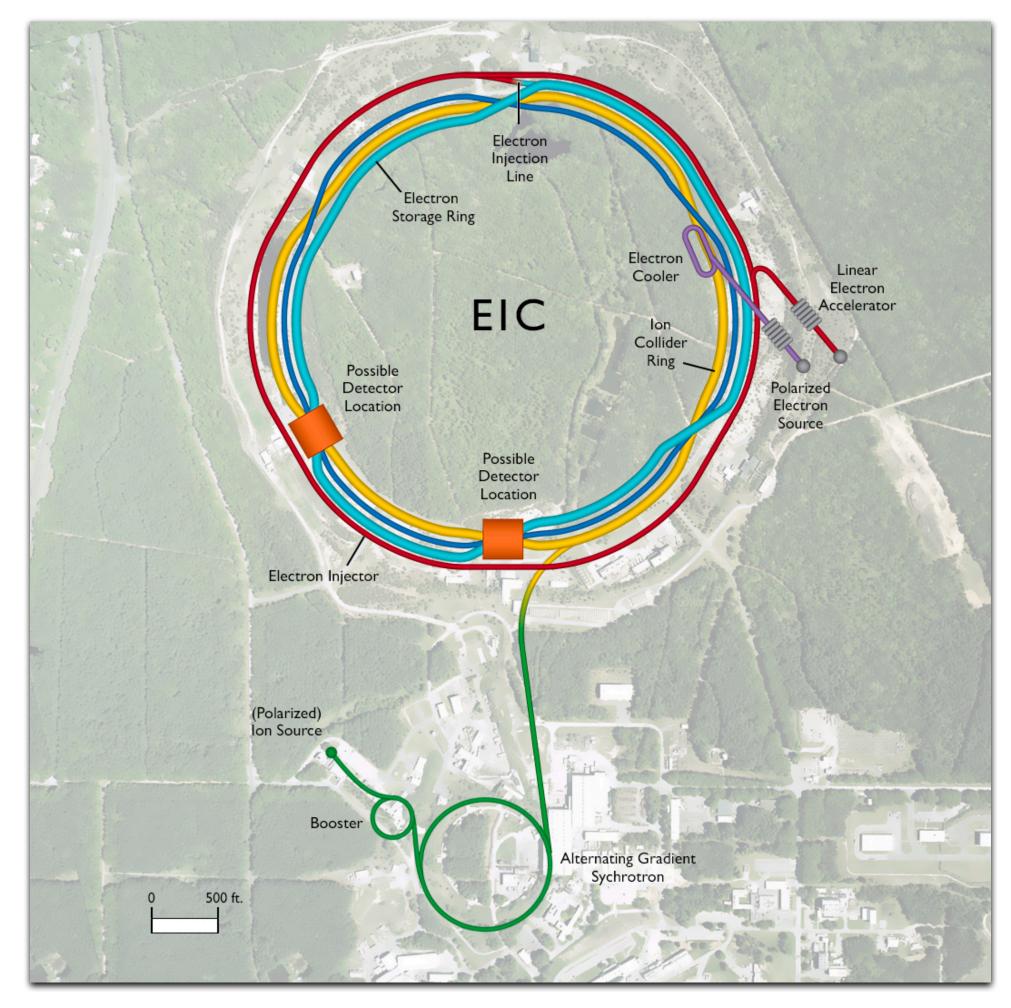
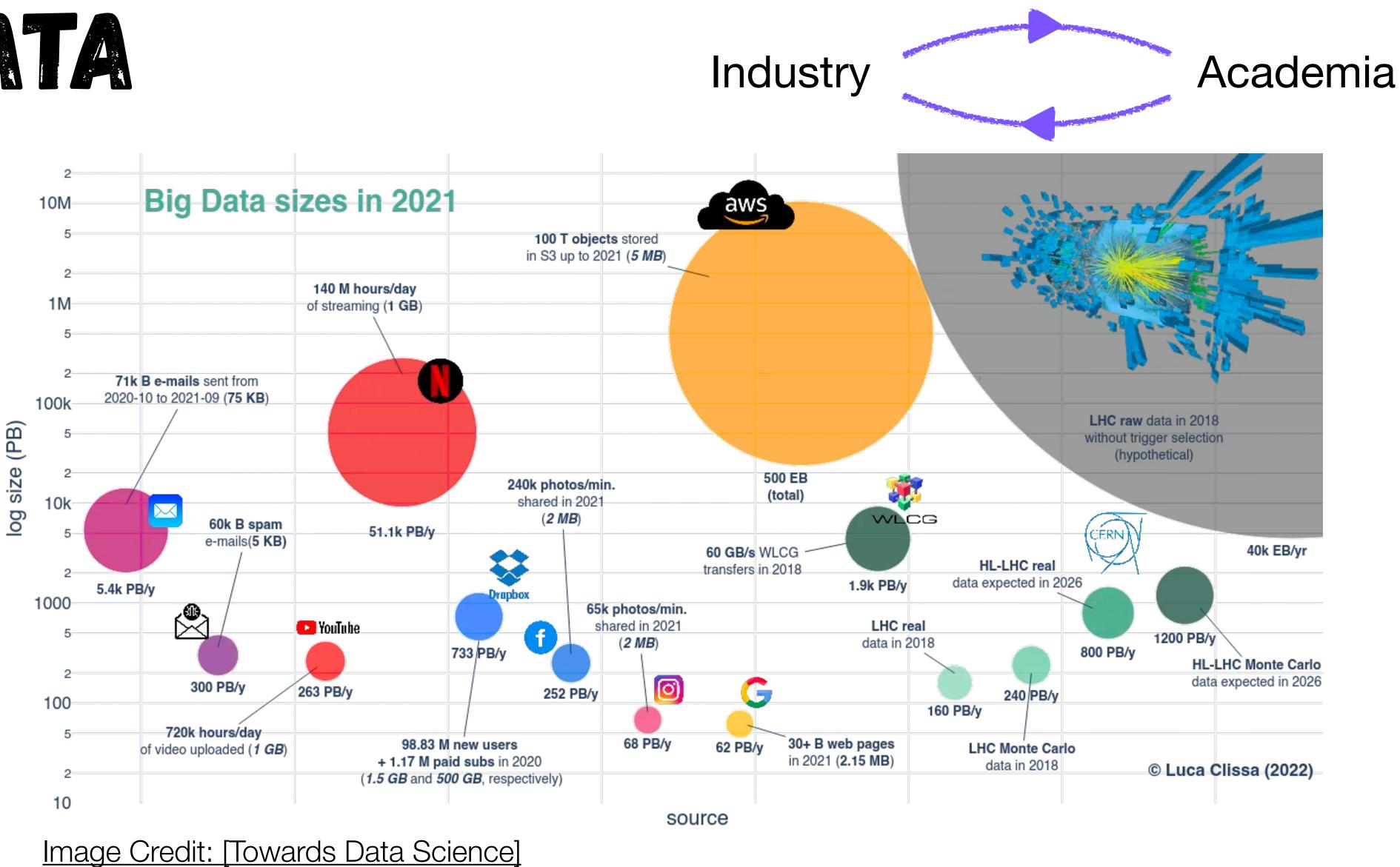


Image Credit: [Brookhaven National Lab]









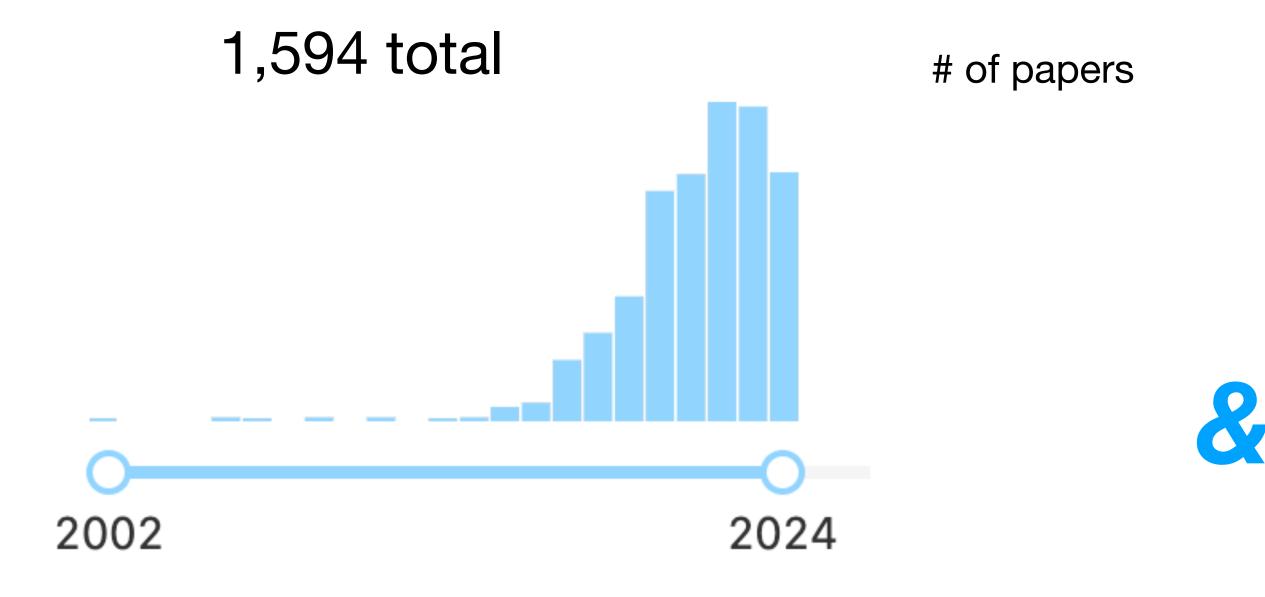
Data volumes comparable to medium-sized industry applications.



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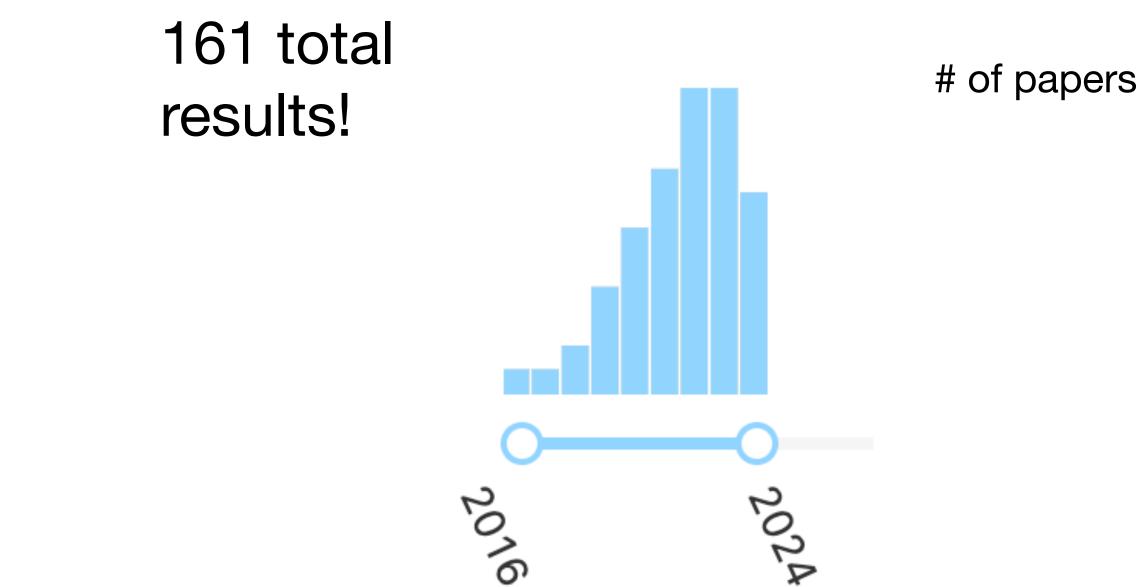




Inspire HEP search results for "machine learning HEP"







Inspire HEP search results for "machine learning heavy ion"

ML is a rapidly growing field for HEP and heavy-ions!

Two networks compete with one another in a game.

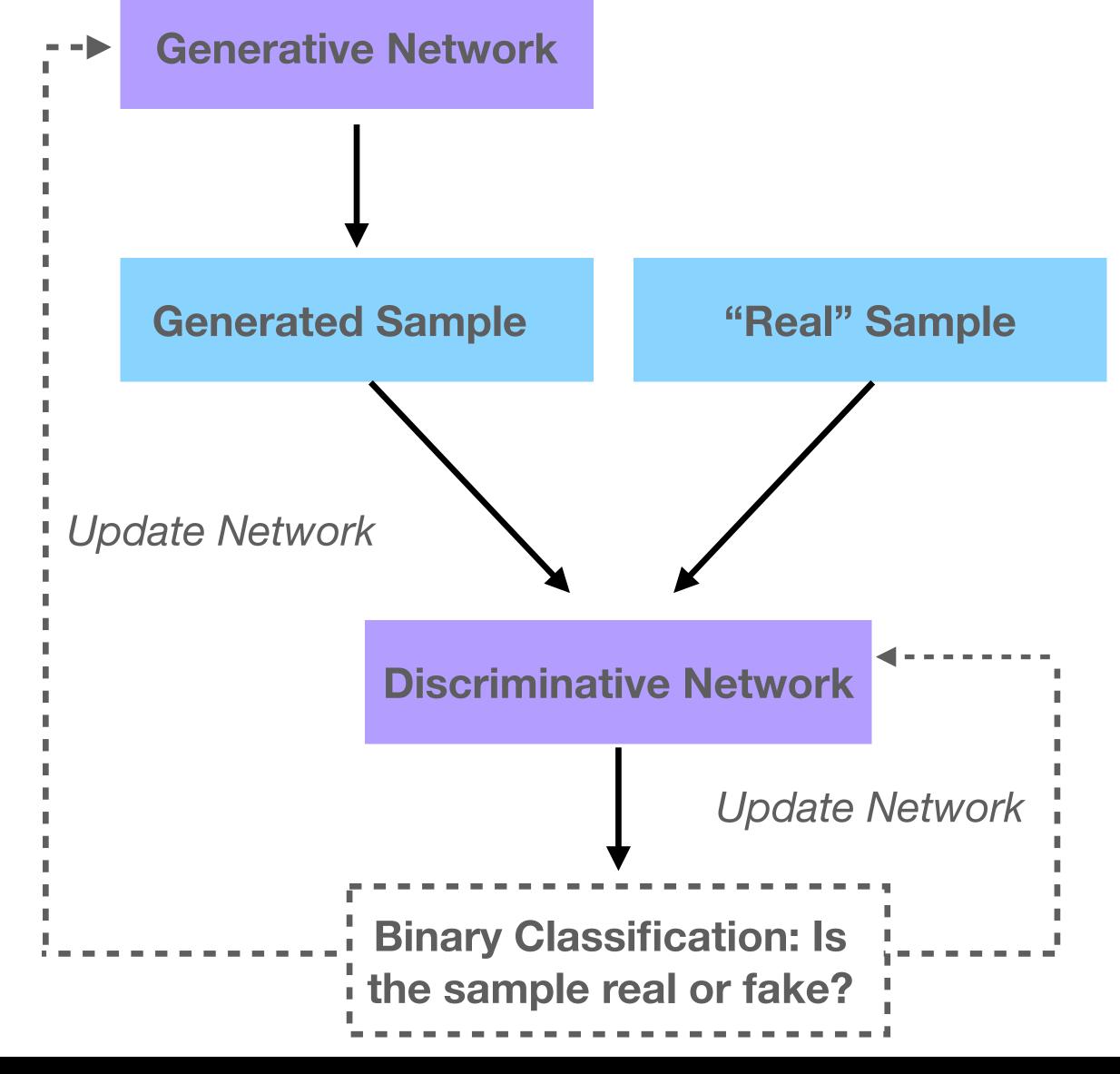
The generative network seeks to fool the discriminative network.

The discriminative network seeks to find the real sample from the generated samples.

Indirect training \rightarrow generative network never sees the true distribution!





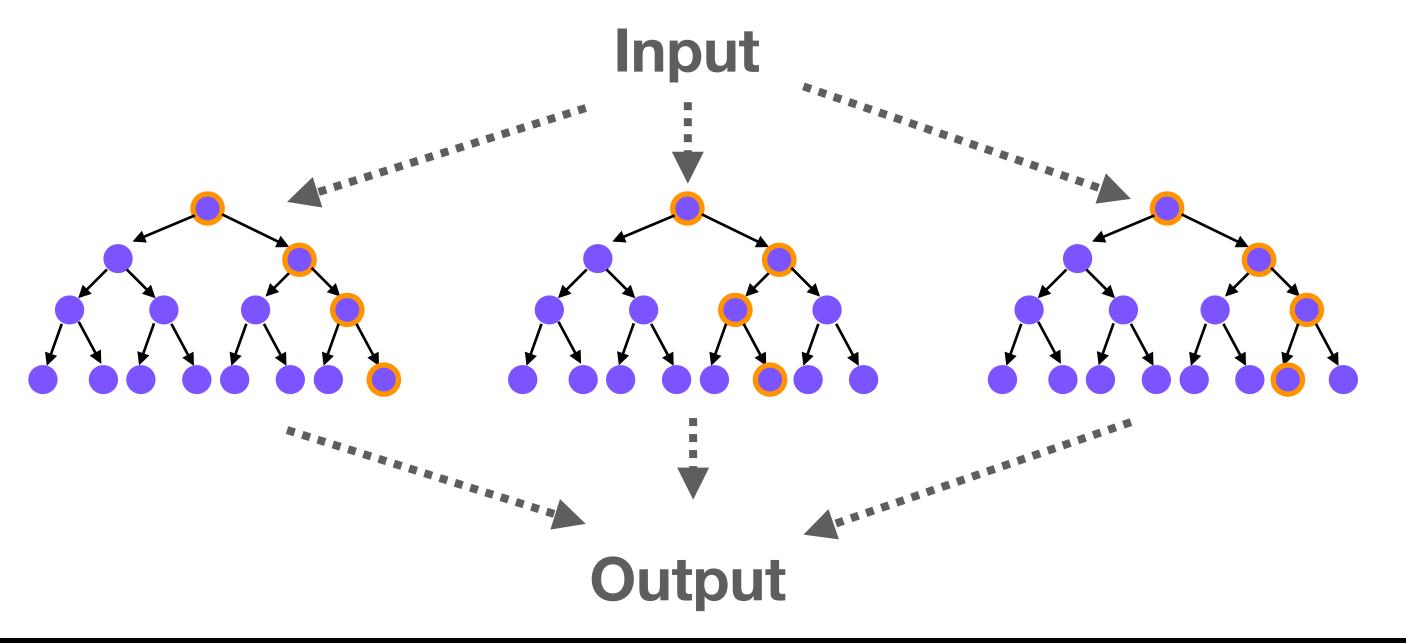




INTRO TO RANDOM FOREST

Random forests are composed of decision trees. Decision trees are a set of rules organized in a tree structure.

Each node is a rule which subdivides the dataset into two or more parts (think 20 questions).

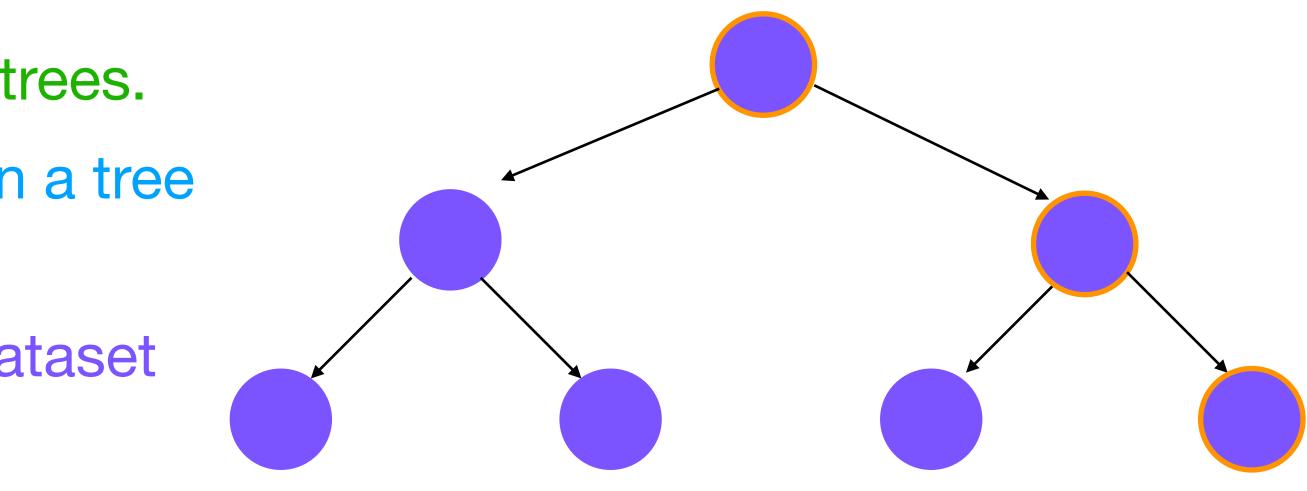




Hannah Bossi (<u>hannah.bossi@cern.ch</u>)







Output of the random forest is a combination of the output of each of the decision trees.

In training, the algorithm sets up the rules of each decision tree.



NEURAL NETWORKS

Flow of information happens between nodes.

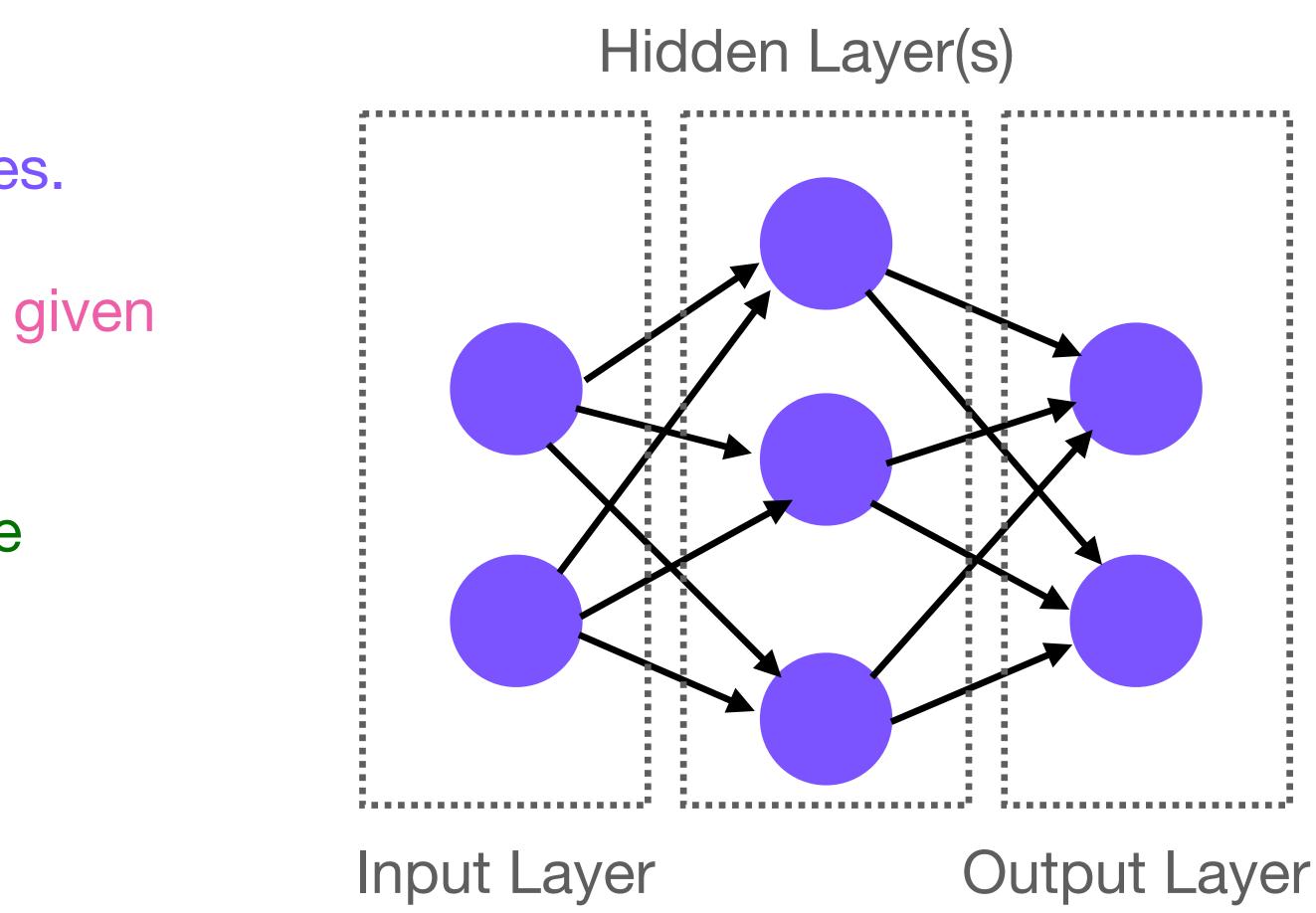
A weight is associated with each input to a given node.

The output of each node is a function of the weighted inputs. The output of a node j, is generally written something like

$$O_j = \sum_{i=0}^{N-1} w_{ij}O_i$$

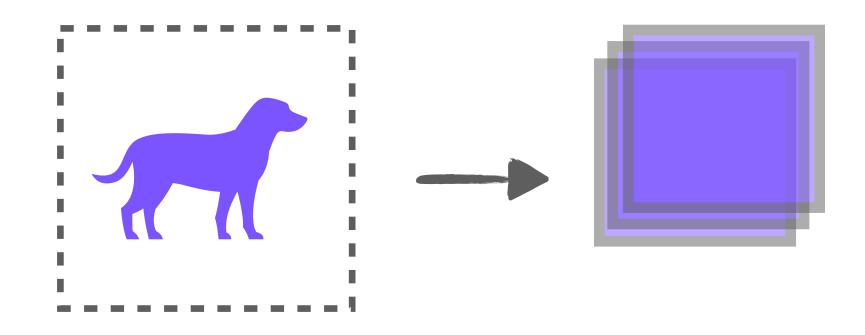


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In training we seek to learn the set of weights which minimize the total error of the network.

CONVOLUTIONAL NEURAL NETWORKS (CNNS)



Input Layer

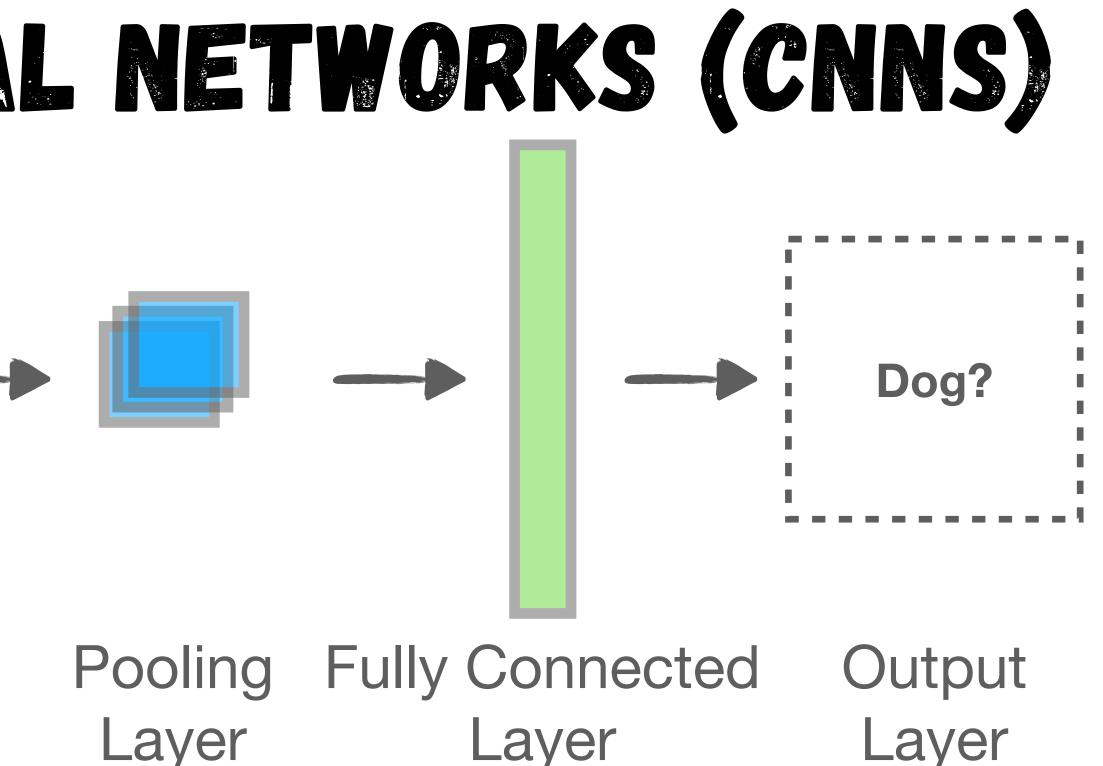
Convolution Layer

Key component of a CNN is the **convolution layer**, which (with the help of a filter) will determine if a feature/pattern is present.

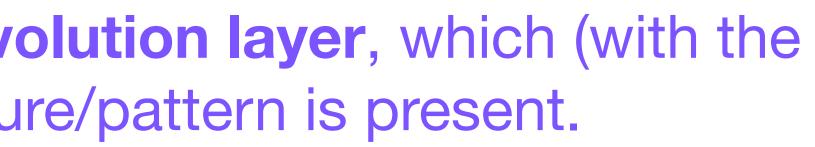


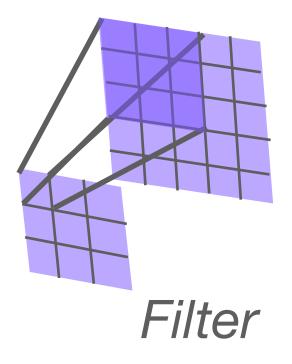
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Input image







AUTO-ENCODERS

Simple task: NN architecture trained to copy inputs to outputs!

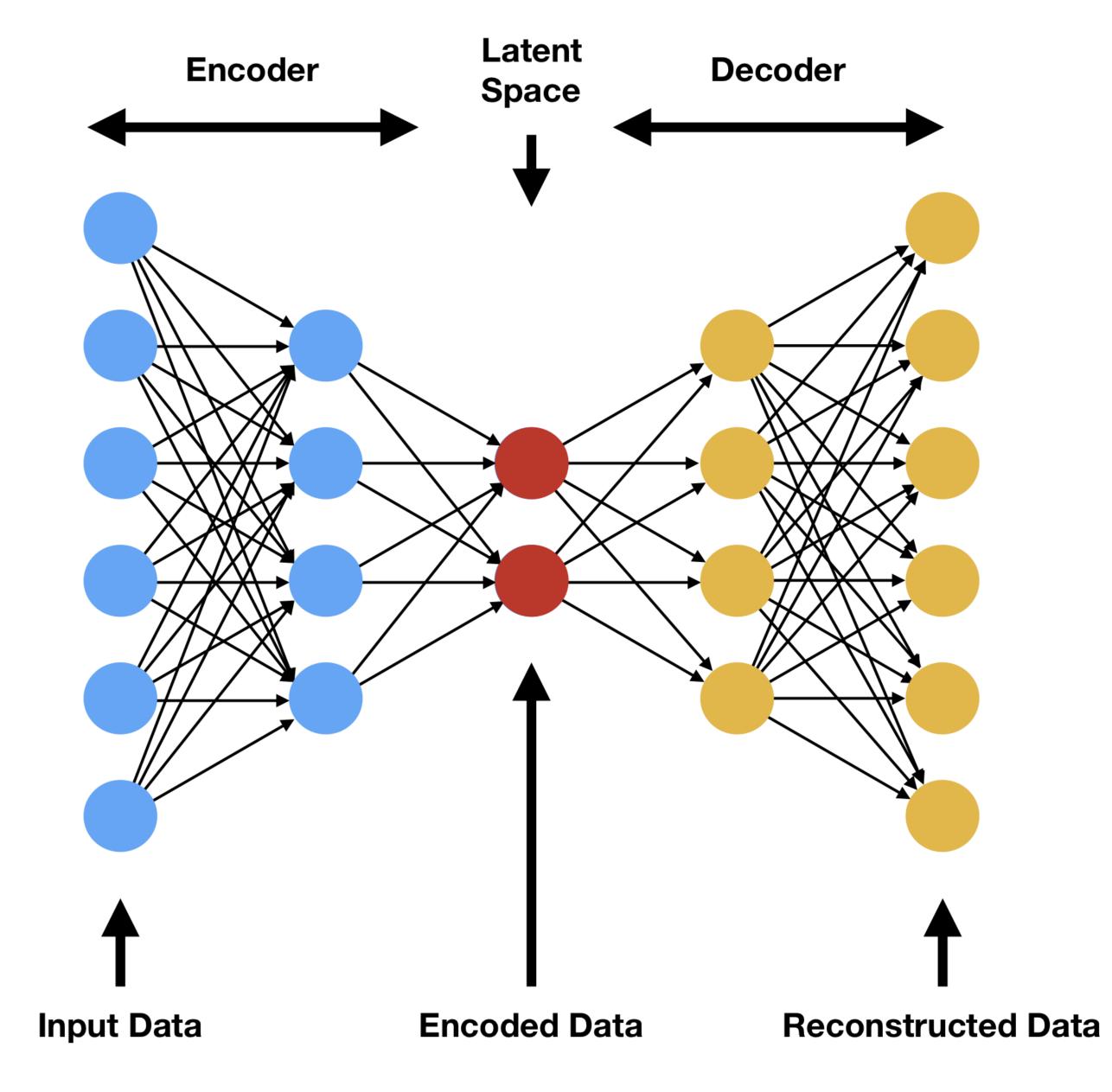
Encoder takes the input and dramatically reduces its complexity via a NN.

Decoder takes the encoded data and reconstructs outputs like the data.

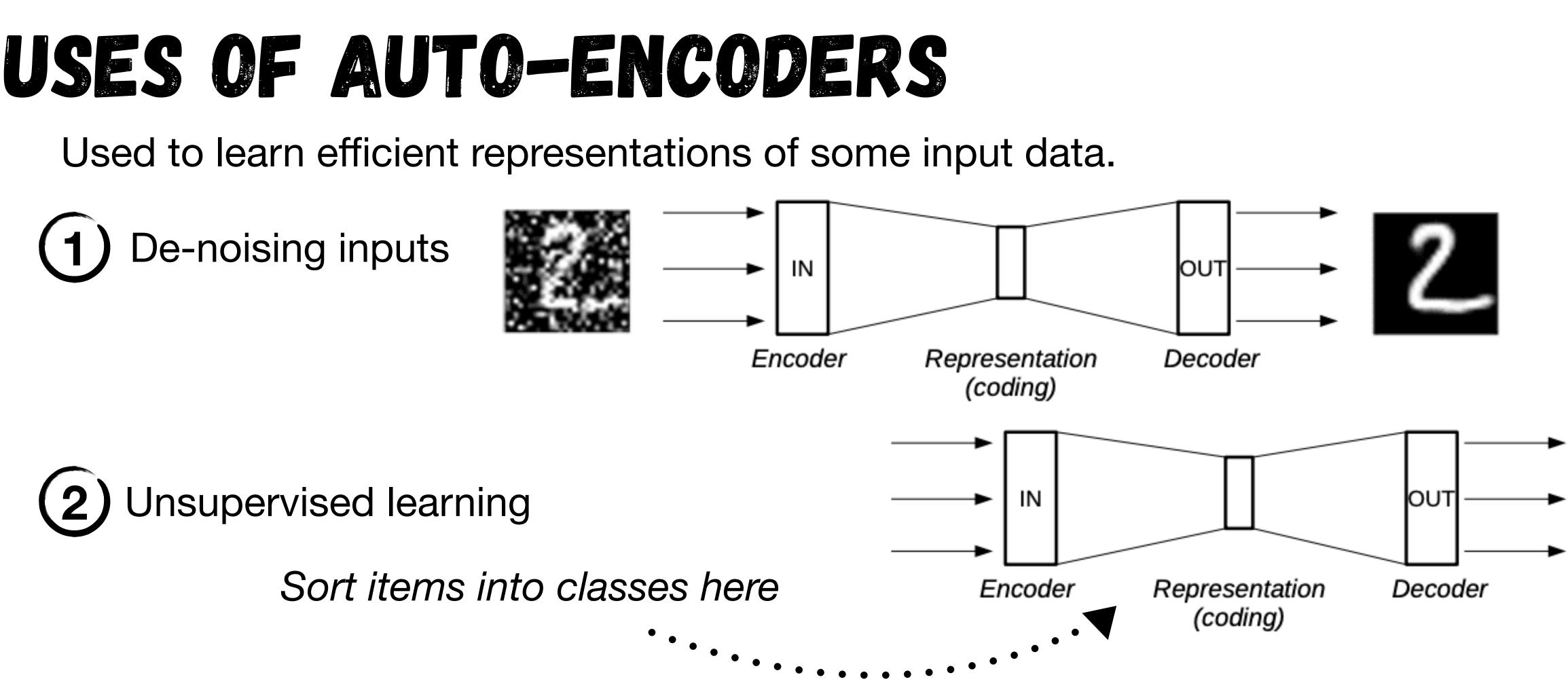
Does not require labeled data as input!



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https://www.compthree.com/blog/autoencoder/



3 anomaly!



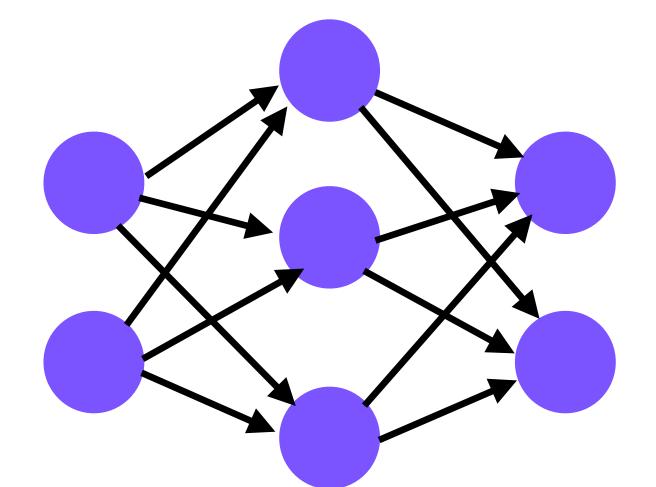
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Anomaly detections: If you fail to reconstruct data in the decoding step you have an



DIFFERENT ALGORITHMS FOR DIFFERENT PROBLEMS!

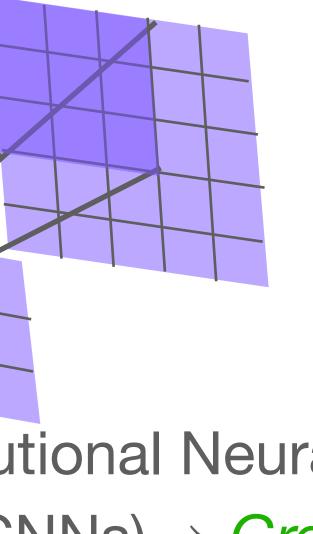


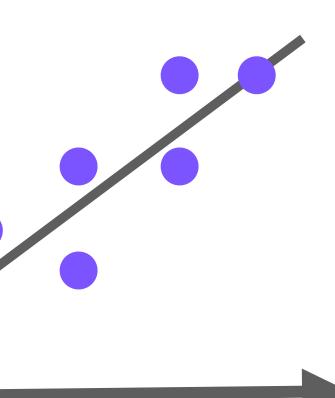
(Shallow or Deep) Neural Networks \rightarrow *Great for* making predictions!

Convolutional Neural Networks (CNNs)→ *Great for* image processing!

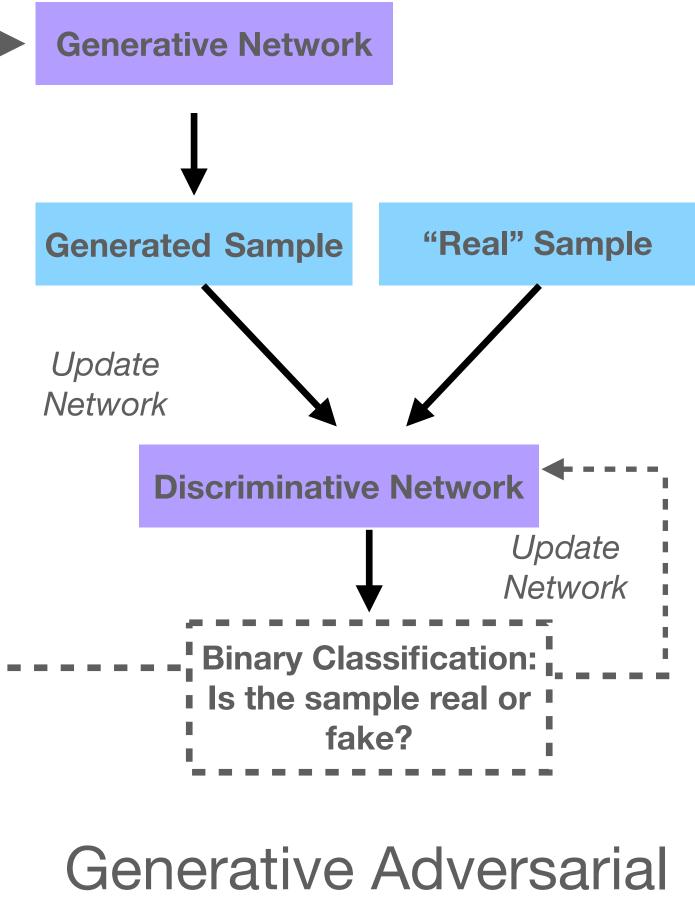
Random Forest (Decision Trees)

🐹 Hannah Bossi (<u>hannah.bossi@cern.ch</u>)









Networks (GANs) \rightarrow Powerful tool for generating samples!



