Machine learning for the analysis of hard probes

Hannah Bossi (MIT) Hard Probes 2024 Nagasaki, Japan September 27th, 2024

What is AI/ML and why is it useful for the analysis of hard probes? Roadmap

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WHERE ARE WE heading?

How is AI/ML currently being used for analysis?

Artificial intelligence

Machine learning

WHAT IS AI/ML?

Artificial Intelligence: Programs with the ability to acquire and apply knowledge and skills.

Ex: Chatbots (humans give rules)

At its core, pattern recognition \rightarrow humans can do this by eye!

Machine Learning: algorithms that imitate human learning, i.e. gradually improving accuracy over time.

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How does the machine learn?

Algorithm learns from a labeled set of "true values".

Algorithm finds structure in the data without knowing the desired outcome.

Driven by the Task **Driven by the Data** Driven by the Reward Analogy: Taking a test **Analogy: Clustering Analogy: Dog training**

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SUPERVISED LEARNING UNSUPERVISED LEARNING REINFORCEMENT LEARNING

Algorithm learns in a reward based system to determine a series of actions.

Best tool depends on the problem! (Intro to these algorithms in backup)

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WHAT CAN ML NOT DO?

Garbage In Garbage Out

ML for hard and electromagnetic probes

- Higher particle multiplicities, much more complex system (even by eye)!
- Dependence on simulation used in training makes application to data difficult.

HI environment can be challenging for ML.

- **Hard Probes:** Products of early-stage hard scatterings that interact with the QGP medium.
- **Electromagnetic Probes:** probes that have a long mean free path relative to the size of the QGP (negligible interactions)

• Hard and electromagnetic probes offer a clean and well-calibrated environment!

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Machine learning can be used throughout the analysis pipeline!

EVENT FILTERING

- solutions for limited computing resources.
	- If we took all raw data, would easily exceed storage capabilities.

EVENT FILTERING

- Data volume is increasing at a fast rate, need solutions for limited computing resources.
	- If we took all raw data, would easily exceed storage capabilities.
- Perform fast selection/rejection of data with ML integrated into the firmware (FPGAs)
	- Use high level synthesis packages ex: his4ml

ATLAS Fake Track Rejection in Event Filter [[ATLAS-TDR-029-ADD-1](https://cds.cern.ch/record/2802799/files/ATLAS-TDR-029-ADD-1.pdf)]

CMS L1 Trigger [[CMS-TDR-021](https://cds.cern.ch/record/2714892/files/CMS-TDR-021.pdf)] sPHENIX HF Trigger [[JINST 19 C02066\]](https://iopscience.iop.org/article/10.1088/1748-0221/19/02/C02066)

LHCb track reconstruction for HLT system [\[See website here\]](https://sse-ml-lhcb.gitlab.io/)

LHCb trigger system [\[See website here\]](https://sse-ml-lhcb.gitlab.io/)

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example, with auto-encoders.

- **Conventional approach:** Apply cuts to identify signal based on expert knowledge
	- Becomes difficult w/ complex signals or in HI environment with a large background.
- **Solution:** Employ multiple variables simultaneously essence of ML!

Signal/background discrimination

- **Conventional approach:** Apply cuts to identify signal based on expert knowledge
	- Becomes difficult w/ complex signals or in HI environment with a large background.
- **Solution:** Employ multiple variables simultaneously essence of ML!

$$
E(x) = \sum -p(x)log_2(p(x))
$$

Signal/background discrimination

- •Decision trees are commonly used for signal classification •Each node is a classification rule that splits the data into
	- In training you determine the proper rules that
		- maximizes the information gain and minimize entropy

- **Conventional approach:** Apply cuts to tag particle based on decay topology
	- Becomes difficult in heavy-ion environment with a large background.
- **Solution:** Employ multiple variables simultaneously essence of ML!

•Boosted decision trees are used when multiple weaker learners are combined in a series where each additional component seeks to minimize error of previous one.

Signal/background discrimination

- Ex: Use BDT in order to reconstruct the $\psi(2s)$ signal.
	- XGBoost is the core of the application

- Ex: Use BDT in order to reconstruct the *ψ*(2*s*) signal.
	- XGBoost is the core of the application
- Many other examples! (Not an exhaustive list.)
	- [[Phys. Lett. B 839 \(2023\) 137796\]](https://arxiv.org/abs/2112.08156)
	- [[JHEP 05 \(2021\) 220\]](https://arxiv.org/abs/2102.13601)
	- [[Phys. Lett. B 782 \(2018\) 474\]](https://arxiv.org/abs/1708.04962)
	- [[PRL 124, 172301 \(2020\)](https://arxiv.org/abs/1910.14628)] ………..

See also heavy ion physics environment for machine learning ([hipe4ml](https://github.com/hipe4ml/hipe4ml))

[JINST 13 (2018) 05, [P05011](https://arxiv.org/pdf/1712.07158.pdf)]

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Heavy flavor jet tagging **Goal:** identify jets initiated by a heavy-quark **Conventional approach:** Apply cuts to select jets with displaced decay vertices and large impact parameter tracks.

ML approach: Learn from low-level features in a supervised approach using BDT or a GNN

[CMS-PAS-HIN-24-005](https://cds.cern.ch/record/2909071/files/HIN-24-005-pas.pdf)

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[JINST 13 (2018) 05, [P05011](https://arxiv.org/pdf/1712.07158.pdf)]

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Heavy flavor jet tagging **Goal:** identify jets initiated by a heavy-quark (HF jet) **Conventional approach:** Apply cuts to select jets with displaced decay vertices and large impact parameter tracks. **ML approach:** Learn in a supervised approach using BDT or a GNN

- Hard Probes 2024 C. CHOI [Poster](https://indico.cern.ch/event/1339555/contributions/6041088/) Hard Probes 2024 KALIPOLIT [Wed. 9:40](https://indico.cern.ch/event/1339555/contributions/6040771/attachments/2934138/5153268/Kalipoliti_hp2024.pdf)
-
-

•Differential measurements of jets are key to understanding jet quenching effects! •These often involve pushing to large R and/or low p_T , where background contribution is

difficult to subtract.

By now many methods in which ML can be used to solve this problem! We will discuss two.

See also [\[Phys. Rev C. 108.L021901 \(2023\) 6\]](https://arxiv.org/abs/2303.08275)

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•**Method 1:** Shallow NN in [scikit-learn](https://scikit-learn.org/stable/) (simple tools) trained on PYTHIA embedded into HI background [\[PRC 99, 064904 \(2019\)\]](https://journals.aps.org/prc/abstract/10.1103/PhysRevC.99.064904)

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- **This is an important source of uncertainty!**

- jet background in an *unsupervised* way.
	- - One to translate from domain $A \rightarrow B$
		- One to translate from domain $B \to A$

•**Method 2:** Use generative AI (unpaired image-to-image translation, cycleGANs) to subtract

• Composed of two generator-discriminator pairs w/ cyclic closure (i.e. $A \rightarrow B \rightarrow A \sim A$)

Unfolding with ML

[\[PRL 124, 182001 \(2020\)\]](https://arxiv.org/abs/1911.09107)

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Conventional Approach: Apply unfolding procedure on a binned distribution and repeat for each observable.

ML-based Approach: Use ML to calculate weighting factors and unfold the phase space all at once, before the choice of binning or observable!

Allows for multi-differential measurements of jet substructure in pp and Au+Au*! ** model uncertainty not yet evaluated in Au+Au*

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Conventional App^{ort}s (AR X

Unfolding with ML

- **Tested for the first time on HI environment** (PYTHIA/HERWIG + thermal background), similar or better performance to Bayesian unfolding in 3D.
- Modify the approach in **FRL 124 182001 (2020)** in order to also treat the case of ...
	- Measured events without true match (fakes, F)
	- True events that are not measured (trash, T)
- *• No explicit background subtraction, built into MultiFold-HI!*

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WHERE ARE WE heading?

How is AI/ML currently being used for analysis?

Very large volumes of will be taken and analyzed in the decades to come - new tools will be *increasingly important***!**

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WHERE ARE WE GOING? Large Hadron Collider FRI

sPHENIX SPHE<mark>N</mark>IX) SEEING EXPONENTIAL GROWTH IN AMOUNT OF DATA STORED! **s s** and and the **large semant come (2.573 TB)**
 E SPHENIX QUICKLY BECOMING SIZABLE FRACTION OF THE TOTAL Sphenix quickly becoming sizable fraction of the totaL

 $\frac{1}{2}$ LS2 RUN 3 LS3 RUN 4 LS4 RUN 5 LS5 RUN

ARGE HADRON COLLIDER

Very large volumes of will be taken and and **and and analyzing the analyzing the set of the vear** ill be *increasingly insAME* TRENDS TRUE AT THE LHC!

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How do we construct more interpretable MODELS?

DO WE NEED TO standardize ml applications across experiments?

based and the market ml-based and the mass of the mass of \mathcal{L} reproducable? How can we make ML-based applications reproducible?

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~ Given an answer ~ "White Box" ML ~ Underlying physics

- "Data"-based learning complements simulation-based inference.
	- ~ Domain knowledge
	- ~ "Black Box" ML
	- ~ Answer

- Learning from data is difficult due to systematic experimental biases.
- Helpful in understanding uncertainties or shortcomings of models!

Proof of concept identifying the AP splitting function exists [\[PLB 829 \(2022\) 137055\]](https://arxiv.org/abs/2012.06582)

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- This is a long term effort!

CONCLUSIONS

- We are taking more data and making more complex measurements than ever before!
- Machine learning has led to new physics insights and can be used throughout the whole analysis pinelinel analysis pipeline!
	- Many great examples at this conference! amples at this
- Will be crucial at future facilities such as the HL-LHC and the EIC! future faciliti

Thank you!!

[deep ai image editor](https://deepai.org/machine-learning-model/image-editor)

Special thanks to Fabio Catalano, Changwhan Choi, Raymond Ehlers, Alexandre Falcão, Yeonju Go, Laura Havener, Maja Karwowska, Diptanil Roy, Youqi Song, Adam Tackas and MIT Heavy Ion group for useful discussions and feedback!

Backup

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[deep ai image editor](https://deepai.org/machine-learning-model/image-editor)

Studies with NN jet pT reconstruction

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- See offset (bias) in δp_T when ML is trained in PYTHIA vs. LBT.
- Crucial for applications in data to correct for this bias in an unfolding procedure.
	- Apply same model on your data and the response matrix.

[\[JHEP 2021, 206 \(2021\)\]](https://arxiv.org/pdf/2012.07797.pdf)

Use supervised learning on jet images with a CNN to perform the regression task of predicting the energy loss ratio in HI collisions (hybrid model).

Shows good performance!

• Very useful to separate and study quenched vs. unquenched jets as well as extracting the initial energy of the jet. (Ideal probe of selection bias!)

learning part come in?

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Weights: $w(x) = p_0(x)/p_1(x)$ Ok for 1D

 $\approx f(x)/(1-f(x))$ (Andreassen and Nachman PRD 101, 091901 (2020))

where f(x) is a neural network and trained with the binary crossentropy loss function

> to distinguish jets coming from data vs from simulation

Unfolding \rightarrow Reweighting histograms \rightarrow Classification \rightarrow Neural network

• Extract splitting function from the network in white-box ML.

Was able to reproduce AP splitting function.

Done with a GAN split into two components.

1. Time independent learns the *z*, *ϕ*

2. Time dependent learns the *θ*

Event classification at the EIC

- Study the effectiveness of ML-based classifiers to
	- Identify the flavor of the jet
	- Identify the underlying hard process of the collision
- Additionally study the effectiveness of different ways of representing information
	- Particle Flow Networks [[JHEP 01 \(2019\) 121](https://arxiv.org/abs/1810.05165)]

$$
f(p_1,\ldots,p_N)=F\bigg(\sum_{i=1}\Phi(p_i)\bigg)\qquad p_i=(z_i,\eta_i,\phi_i,\text{PII})
$$

• Energy Flow Polynomials [\[JHEP 04 \(2018\) 013\]](https://arxiv.org/abs/1712.07124)

$$
\mathrm{EFP}_G = \sum_{i_1} \cdots \sum_{i_V} z_{i_1} \cdots z_{i_V} \prod_{(k,l) \in E} \theta_{i_k i_l}
$$

Indications that ML-based methods will have an improved performance over traditional techniques! See also event classification with large language models, [\[arXiv:2404.05752\]](https://arxiv.org/abs/2404.05752)

[[JHEP 03 \(2023\) 085](https://arxiv.org/abs/2210.06450)]

• Use NN trained on a specific particle type to predict a certainty value that is then compared to a pre-set threshold. • Decide threshold based on efficiency/purity tradeoff. • Takes into account particles from different sub-detectors (here TPC, TOF, TRD of ALICE) , robust against missing data.

See also LHCb NN to identify calo hits [[Int. J. Mod. Phys. A 30, 1530022 \(2015\)](https://arxiv.org/abs/1412.6352)], ATLAS Electron PID w/ CNN [\[ATL-PHYS-PUB-2023-001\]](https://inspirehep.net/files/52692cb97d3af1d009cff48500b36e22) CMS Deep NN to identify hadronic *τ*-lepton decays [[JINST 17 \(2022\) P07023](https://arxiv.org/abs/2201.08458)]

• When comparing the standard method to the proposed method, proposed method has

better balance of precision (purity) and recall (efficiency)!

Track reconstruction at the HL-LHC

- •Data volume and reconstruction will also be a problem for the HL-LHC
	- the power of the multiplicity.

•Reconstructing charged particle trajectory is computationally expensive - increases with

Standard approach: Kalman Filter used to locate hits in charged particle trajectory

ML-based approach: Use ML tools to speed this up such as…

- Recurrent Neural Network [\[arXiv:2212.02348\]](https://arxiv.org/abs/2212.02348)
- Convolutional neural network [\[See Here\]](https://www.semanticscholar.org/paper/CNNs-on-FPGAs-for-Track-Reconstruction-Boser-Nielsen/c5c156922f7fd00155f0ffa37b046e716763d974)

Signal/background discrimination

Traditional Techniques With BDT

- Boosted Decision Tree implemented in $\overline{{\rm ROOT\;TMVA}}$ to optimize signal for Λ_c baryon production.
- Trained in a supervised manner with [EvtGen](https://www.sciencedirect.com/science/article/pii/S0168900201000894?via=ihub)
- 50% increase in signal significance with ML!

[\[PRL 124, 172301 \(2020\)\]](https://arxiv.org/abs/1910.14628)

Quark and gluon discrimination is a difficult and ongoing effort in HIs! Future: Apply these methods to data in pp and Pb—Pb!

The performance worsens for Pb—Pb, due to the large UE.

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-

ML @ the RHIC Accelerator Complex

• Boosted Decision Trees to identify and predict magnet quenches from historical data.

- Algorithms need to be robust to machine parameters.
	- Reinforcement or unsupervised learning useful.
- Need machine development time, can use simulations. [JACoW [ICALEPCS2023](https://inspirehep.net/files/c33626b8accb4827ebcb1d6099b9ad70) (2023) FR2AO04]

• Combined with Autoencoders used to identify signs indicative of future quenches.

• Autoencoders and PCA used for dimensionality reductions to see which parameters are useful for beam cooling. [JACoW [NAPAC2022](https://inspirehep.net/files/69b621ce5afb7e019ec2b970808cc2a3) (2022) 260-262]

[JACoW [IPAC2023](https://inspirehep.net/files/49a0dc3ffe4c3b50a77f989da082d7cb) (2023) WEPA10]

•For higher p_{T} HF jets, background rejection increases, but purity decreases

For input to the model treat the jet as a set of particles $\mathcal{J} = \{(p_{T,i}, \eta_i, \phi_i, \dots)\}_{i=1}^n$ Model includes pooling layer that takes set of feature descriptors as an input and returns a fixed-length feature vector that characterizes each set.

•Fragmentation changes as function of $p_{\rm T}$ leads to an overlap of feature space

This is a challenging problem! Especially in Au+Au!

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Electron Ion Collider is a future facility being designed with future techniques in mind!

Ongoing Activities w/ AI

- Detector design
- Simulation
- Reconstruction
- Particle Identification
- Analysis

See [\[AI4EIC](https://indico.bnl.gov/event/19560/)] for a comprehensive overview

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Image Credit: [\[Brookhaven National Lab](https://www.bnl.gov/eic/machine.php)]

Data volumes comparable to medium-sized industry applications.

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Inspire HEP search results for "machine learning HEP"

Inspire HEP search results for "machine learning heavy ion"

ML is a rapidly growing field for HEP and heavy-ions!

Two networks compete with one another in a game.

Indirect training \rightarrow generative network never sees the true distribution!

The generative network seeks to fool the discriminative network.

The discriminative network seeks to find the real sample from the generated samples.

Intro to Random Forest

Random forests are composed of decision trees. Decision trees are a set of rules organized in a tree structure.

Each node is a rule which subdivides the dataset into two or more parts (think 20 questions).

> Output of the random forest is a combination of the output of each of the decision trees.

In training, the algorithm sets up the rules of each decision tree.

Neural Networks

Flow of information happens between nodes.

A weight is associated with each input to a given node.

In training we seek to learn the set of weights which minimize the total error of the network.

The output of each node is a function of the weighted inputs. The output of a node j, is generally written something like

$$
O_j = \sum_{i=0}^{N-1} w_{ij} O_i
$$

Convolutional Neural Networks (CNNs)

Input Layer Convolution Layer

Key component of a CNN is the **convolution layer**, which (with the help of a filter) will determine if a feature/pattern is present.

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Input image

Auto-Encoders

Simple task: NN architecture trained to copy inputs to outputs!

Encoder takes the input and dramatically reduces its complexity via a NN.

Decoder takes the encoded data and reconstructs outputs like the data.

<https://www.compthree.com/blog/autoencoder/>

Does not require labeled data as input!

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Anomaly detections: If you fail to reconstruct data in the decoding step you have an

anomaly! **3**

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Different algorithms for different problems!

Networks (GANs) → *Powerful tool for generating samples!*

Convolutional Neural (Shallow or Deep) Neural *Networks* (CNNs)→ Great for *image processing!*

Random Forest (Decision Trees) Linear Regression

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(Shallow or Deep) Neural Networks → Great for *making predictions!*