



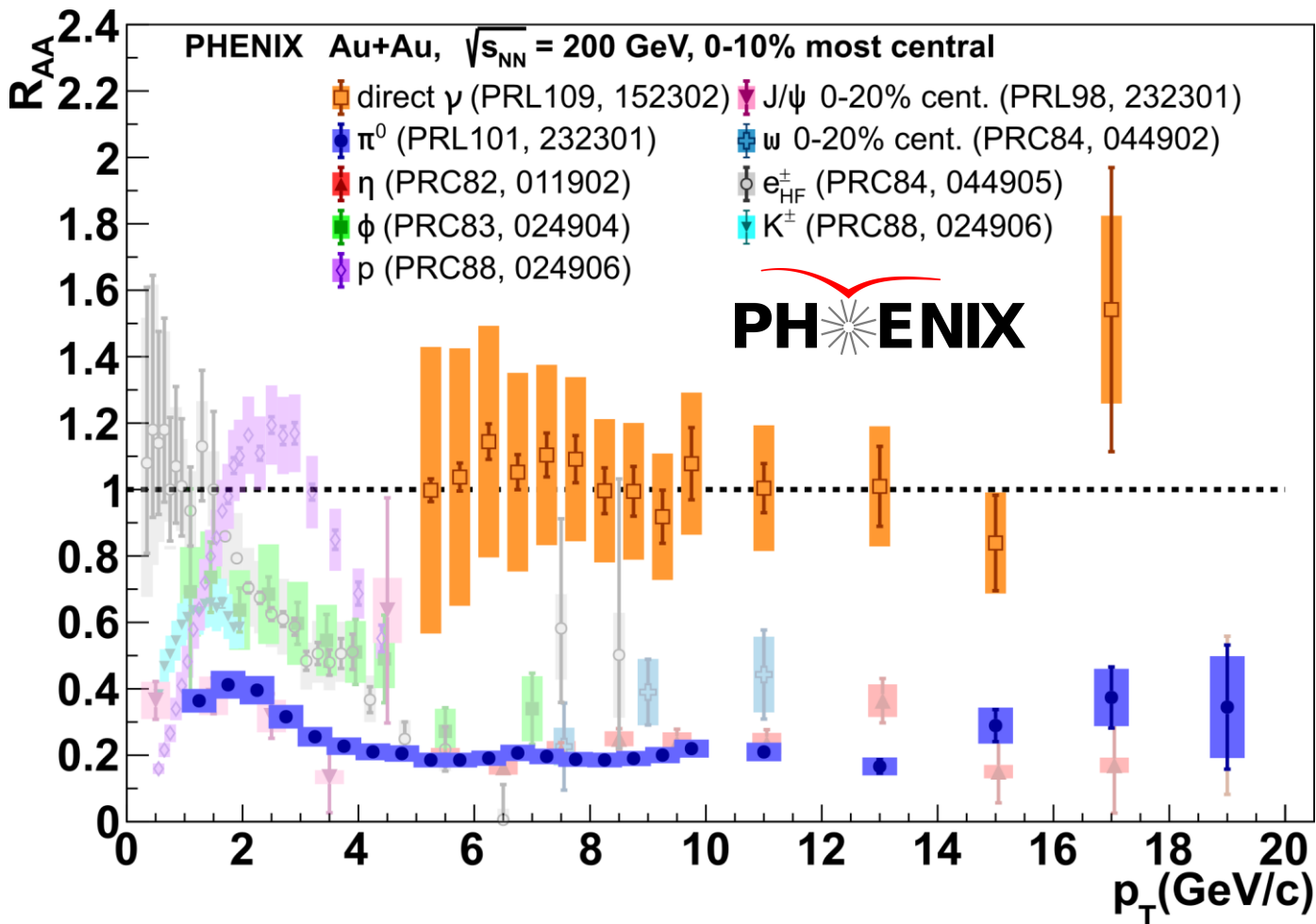
# Measurement of high $p_T$ direct photon and $\pi^0$ s in small collision systems at PHENIX

Daniel Firak (for the PHENIX collaboration) - Stony Brook University

- Outline:**
- Motivation:  $R_{AB}(p_T)$
  - Selection bias, Energy conservation in small systems
    - Glauber Model in Small systems
  - Direct Photons, Bjorken-x
  - Nuclear modification factor in d+Au (PHENIX: arXiv:2303.12899)



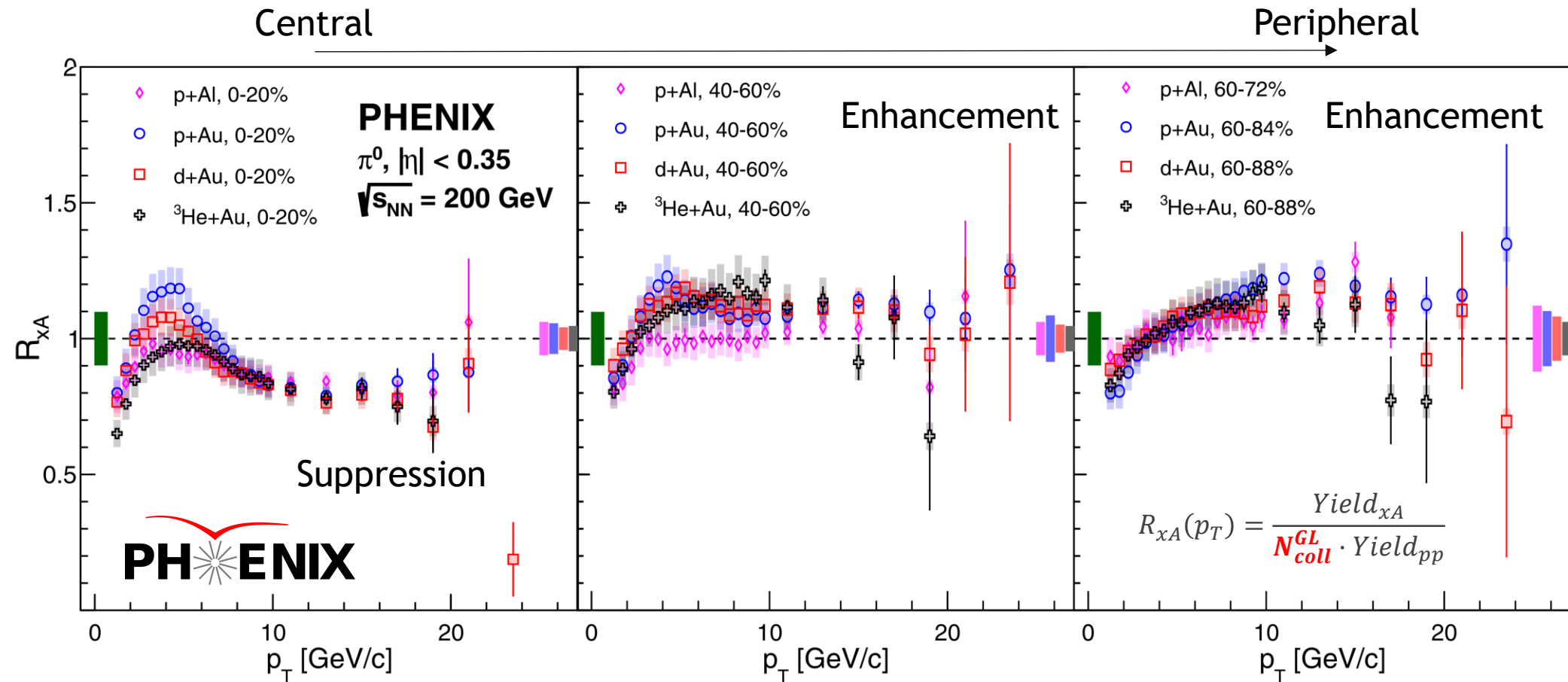
# Nuclear modification factor in Au+Au



$$R_{AB}(p_T) = \frac{Yield_{AB}}{\langle N_{coll} \rangle \cdot Yield_{pp}}$$

- For **neutral pions** (hadrons),  $R_{AB}^{\pi^0}$  shows suppression in large systems
- For **photons**,  $R_{AB}^\gamma$  is consistent with 1

# Nuclear modification factor in small systems

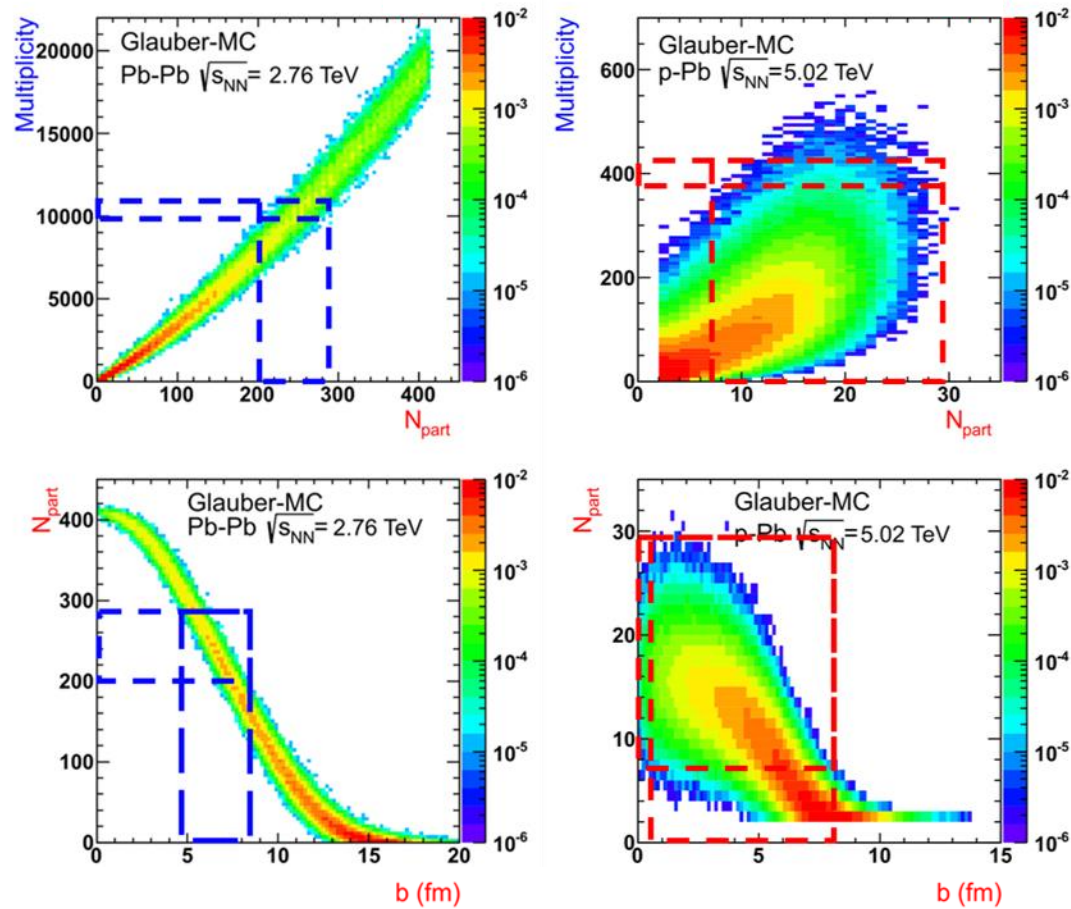


PHENIX: PRC105 (2022) 064902

- Suppression for the central events could be explained with QGP formation. Enhancement cannot be explained (easily) from physical arguments.

# Is the Glauber Model good both in small and large systems?

ALICE: PRC91 (2015) 064905



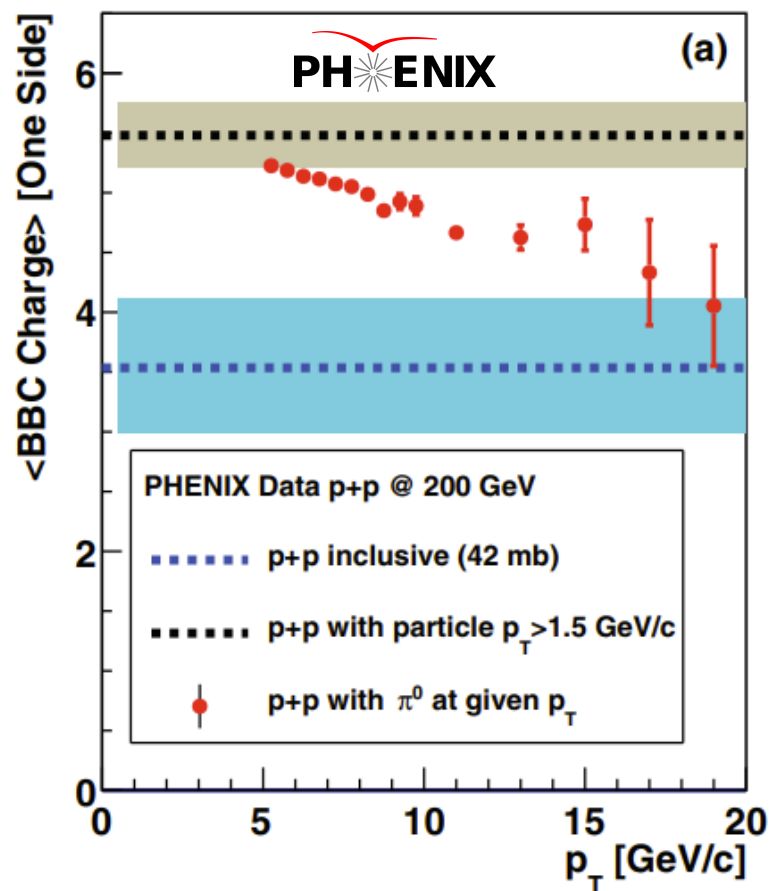
Red axis: theory / model calculations  
Blue axis: experimentally measurable

$$\frac{dN_{ch}}{d\eta} \Rightarrow N_{coll} \xrightarrow[\text{Model/Theory}]{\text{====}} N_{par} \xrightarrow[\text{Theory}]{\text{====}} b$$

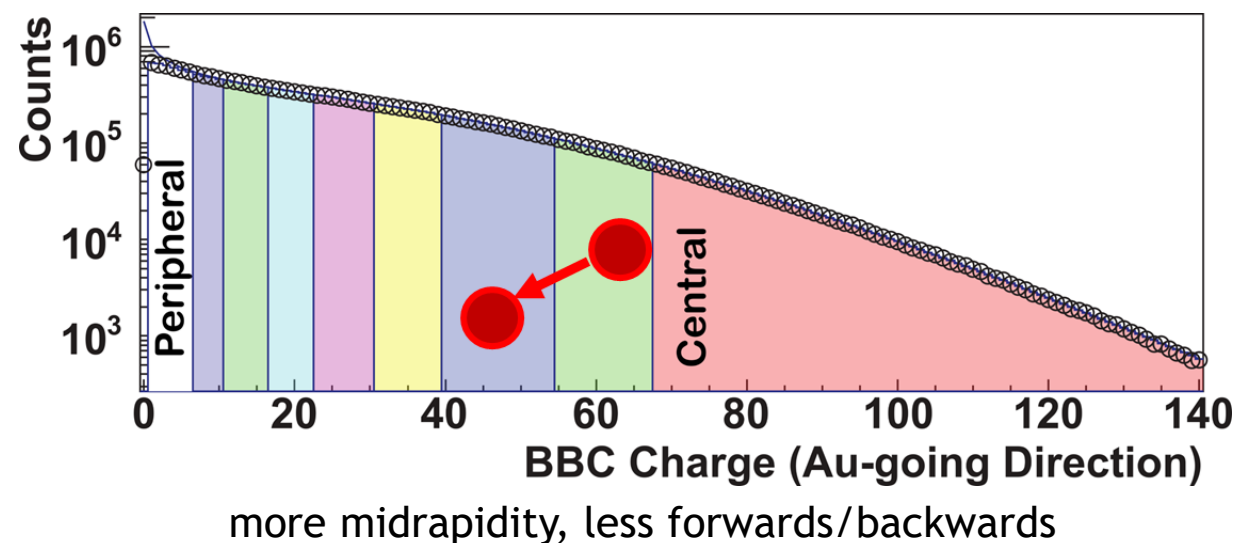
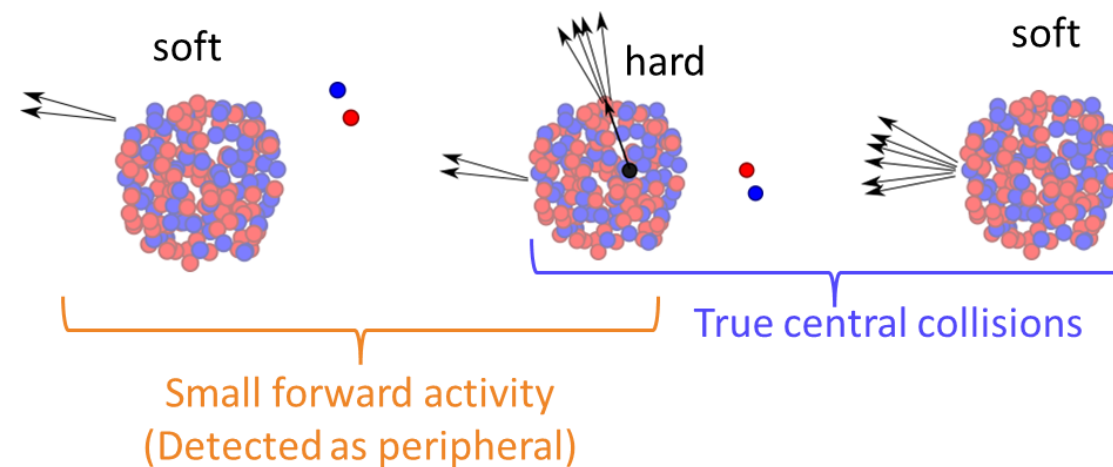
- Multiplicity window = centrality class
  - Measurable
- $N_{coll}^{GL} \propto \left(\frac{dN_{ch}}{d\eta}\right)^a$  : Not directly measurable!
  - Obtained through Glauber model

# There IS bias in small systems!

Centrality is determined by event activity in the BBC, on the Au going direction (PHENIX)

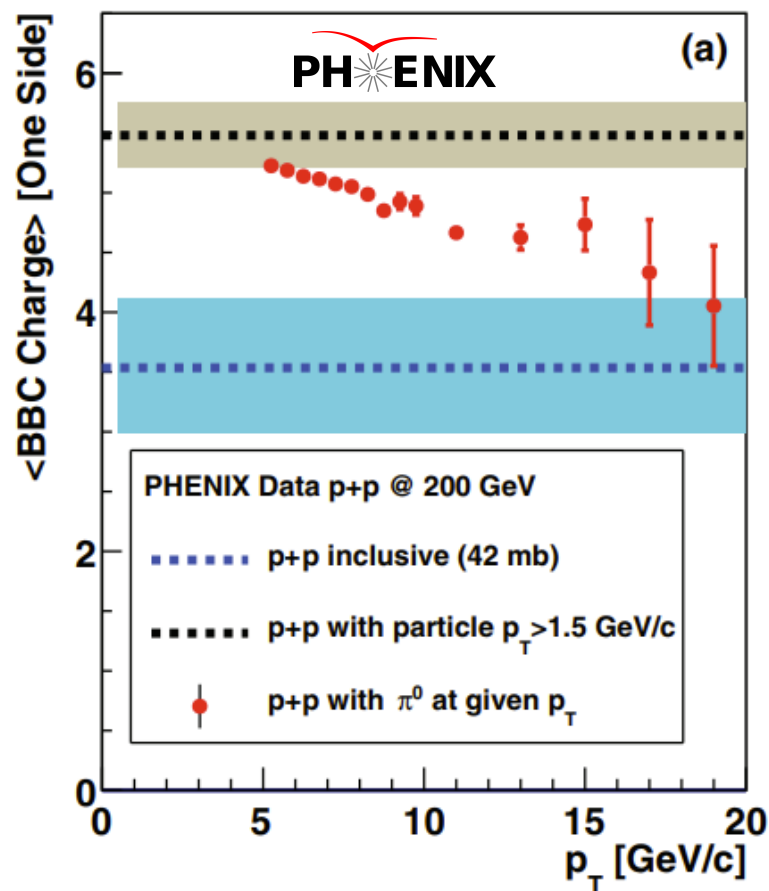


PHENIX: PRC90 (2014) 034902



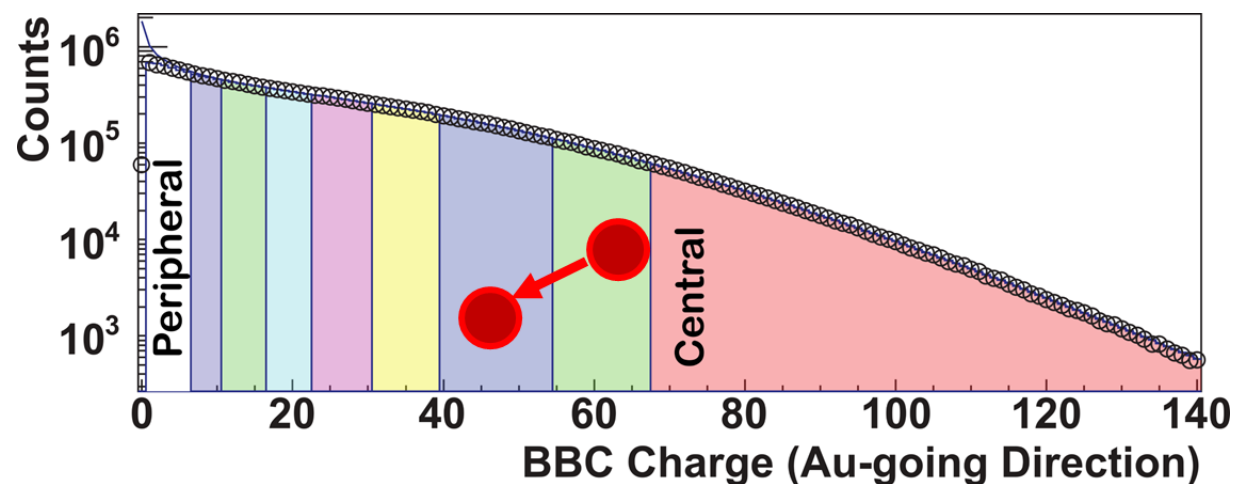
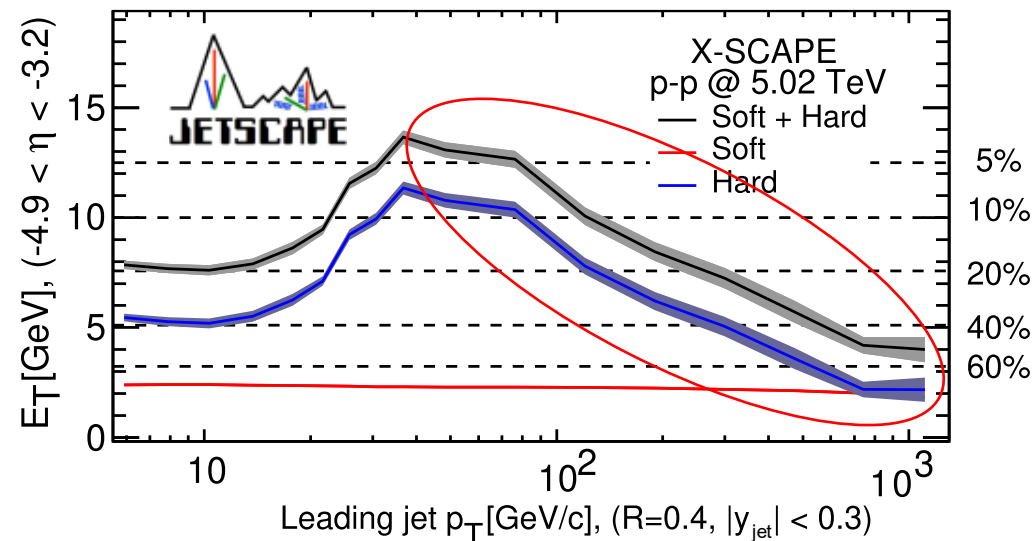
# There IS bias in small systems!

Centrality is determined by event activity in the BBC, on the Au going direction (PHENIX)



PHENIX: PRC90 (2014) 034902

JETSCAPE: arXiv: 2407.17443



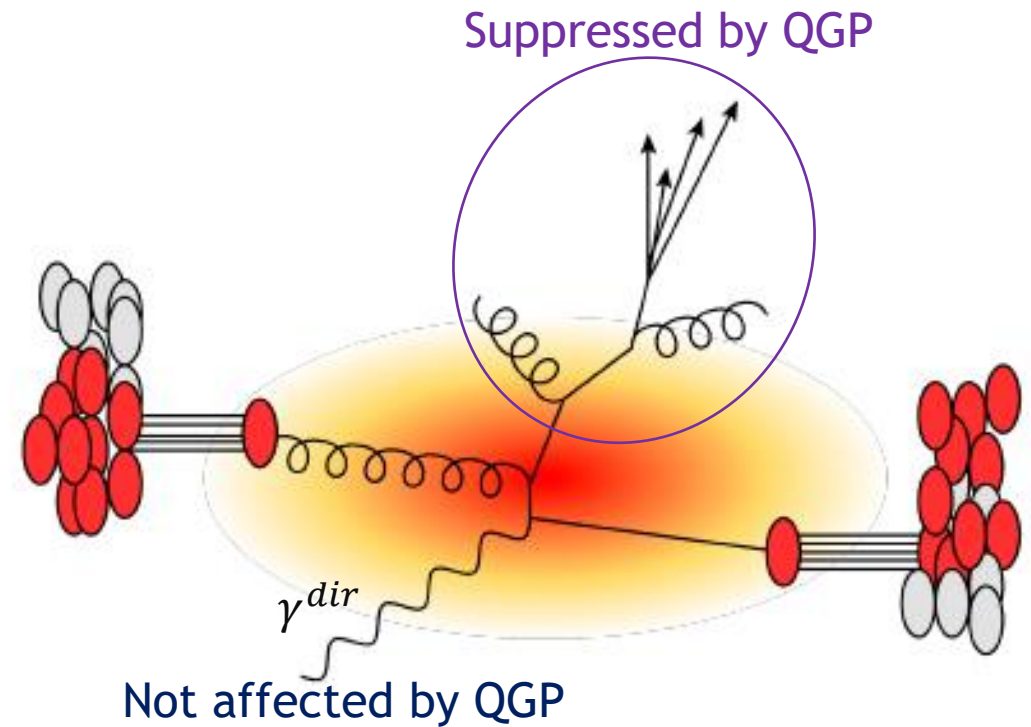
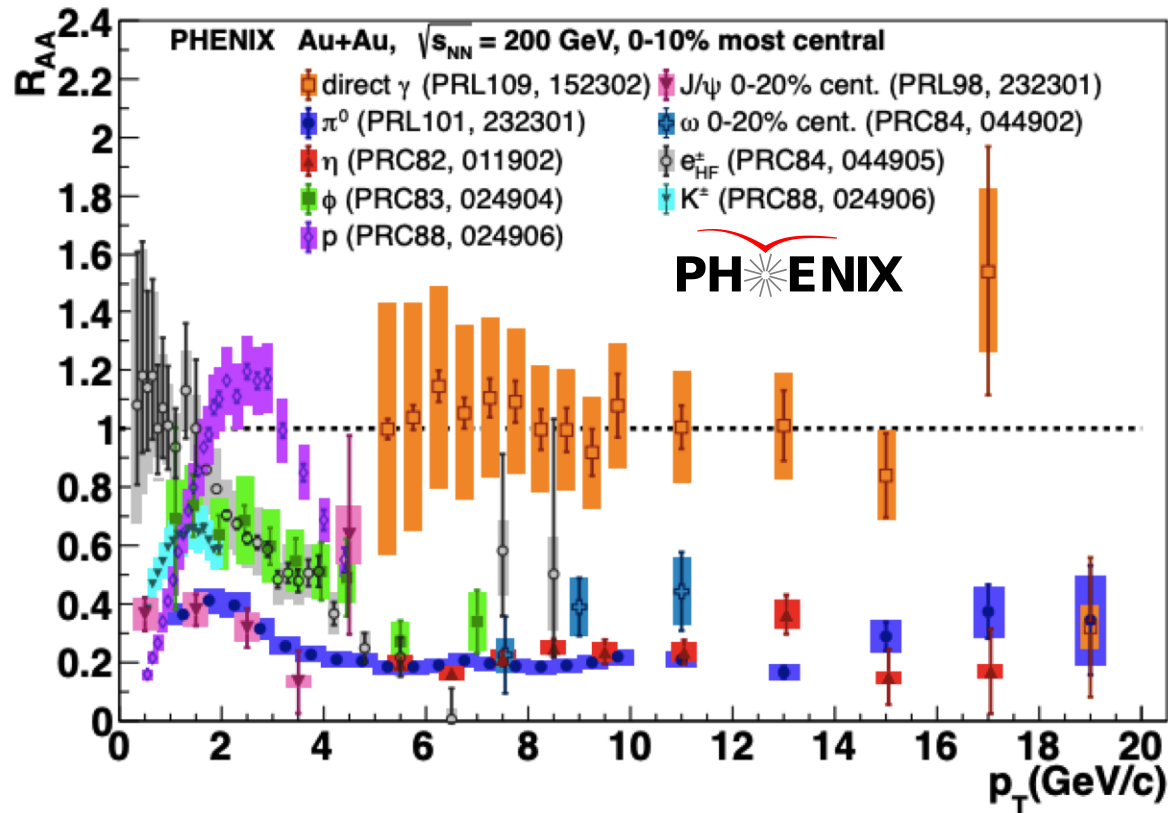
more midrapidity, less forwards/backwards

“Correlations between hard probes and bulk dynamics in small systems”

Sangyong Jeon – in ~30 minutes!



# Direct photons to the rescue!



- Unlike color charged matter, direct photons are unaffected by QGP.
- $\gamma^{dir}$  can be used as a less biased **direct** measure of  $N_{Coll}$

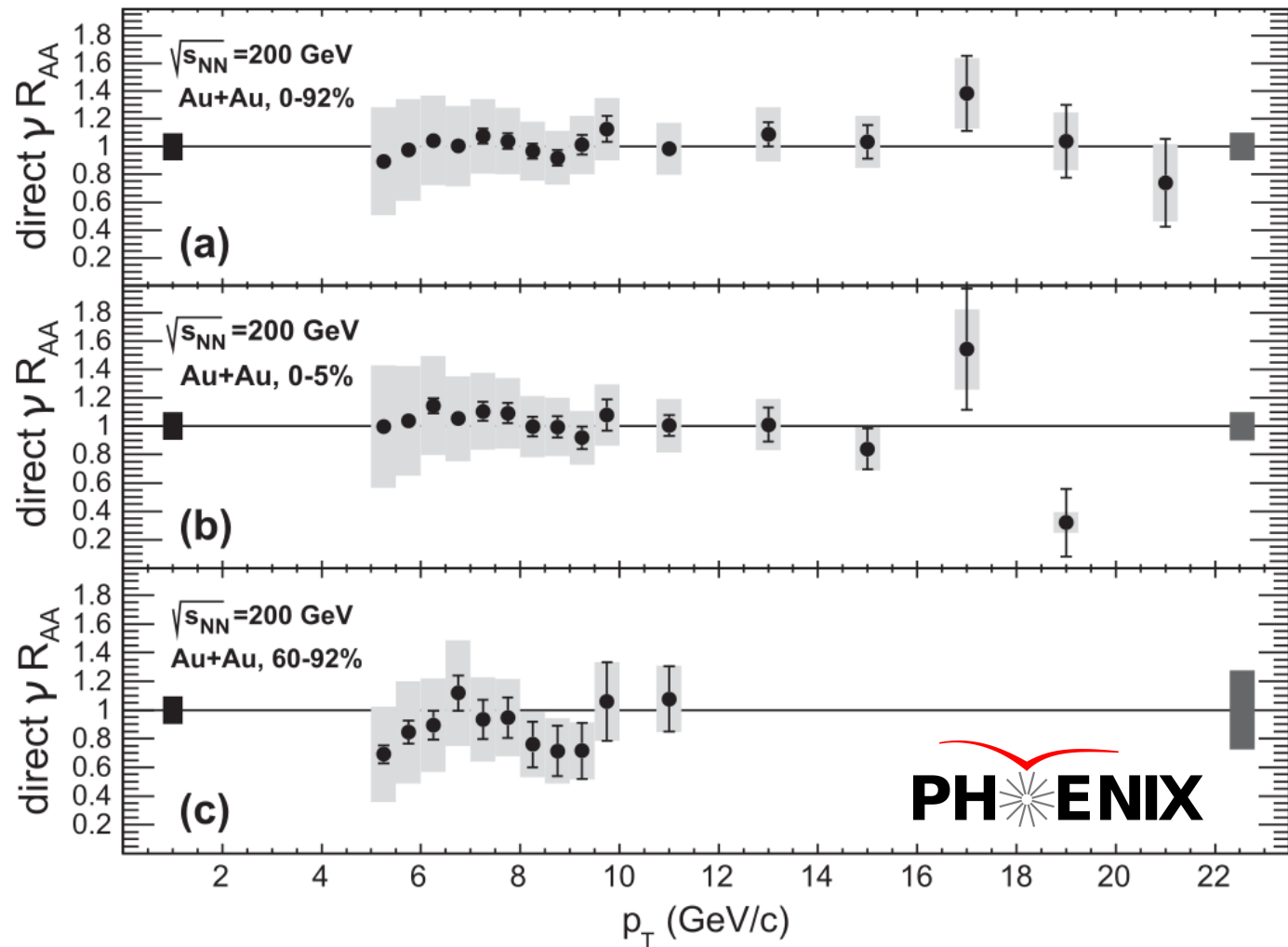
# Direct measurement of the $N_{coll}$

$$R_{AB}^{\gamma^{dir}}(p_T) = \frac{Y_{AB}^{\gamma^{dir}}(p_T)}{N_{coll} \cdot Y_{pp}^{\gamma^{dir}}(p_T)} \approx 1$$

- The ratio of direct photon yields can be used as a measure of  $N_{coll}$ :

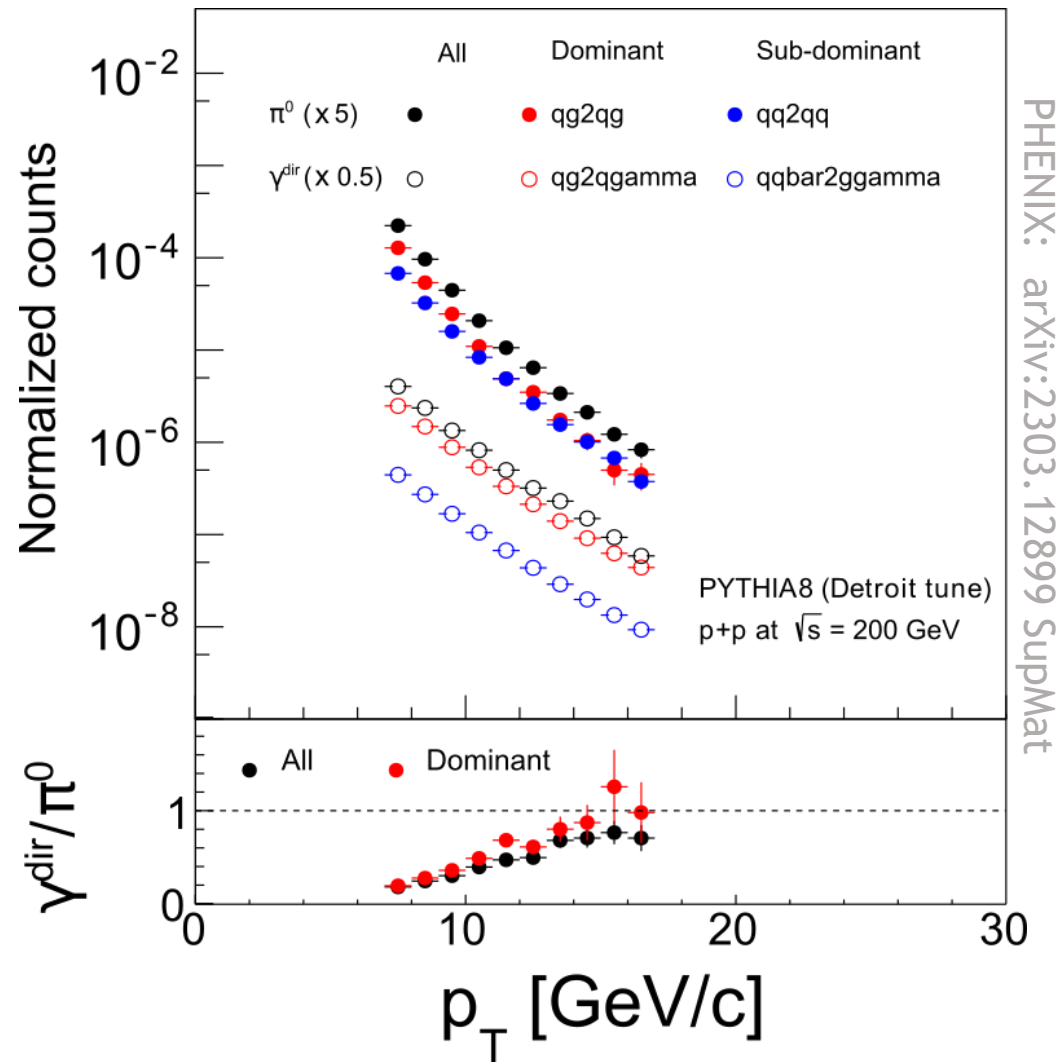
$$N_{Coll}^{EXP} = \frac{Y_{AB}^{\gamma^{dir}}(p_T)}{Y_{pp}^{\gamma^{dir}}(p_T)}$$

$$R_{AB,exp}^{\pi^0}(p_T) = \frac{Y_{AB}^{\pi^0}(p_T)}{N_{Coll}^{EXP} \cdot Y_{pp}^{\pi^0}(p_T)} \Rightarrow \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{AB}}$$

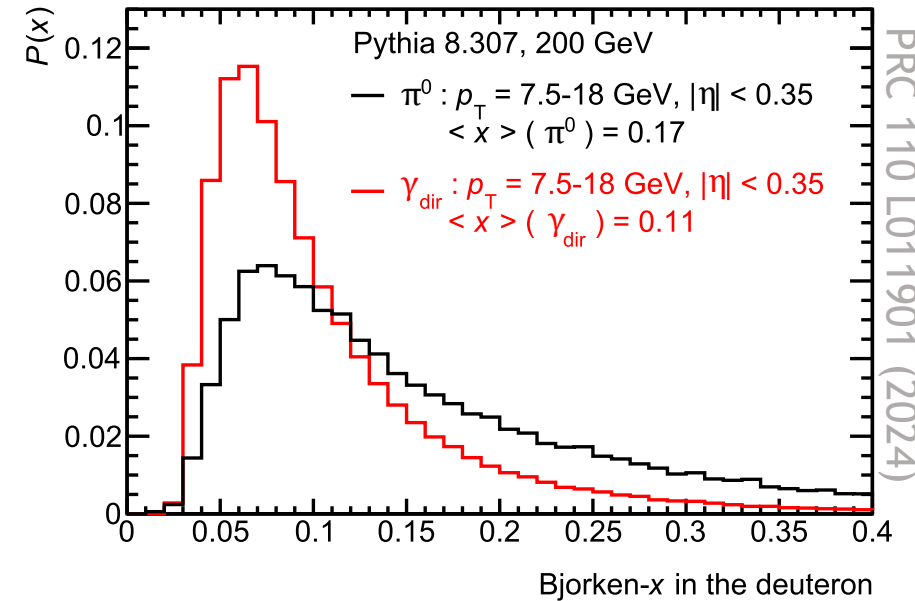




# The Bjorken-x bias



PHENIX: arXiv:2303.12899 SuppMat



PRC 110 L011901 (2024)

- To first order, the same kinematic bias would affect both  $p + p$  and  $d + Au$

$$R_{dAu,exp}^{\pi^0}(p_T) = \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{dAu}}$$

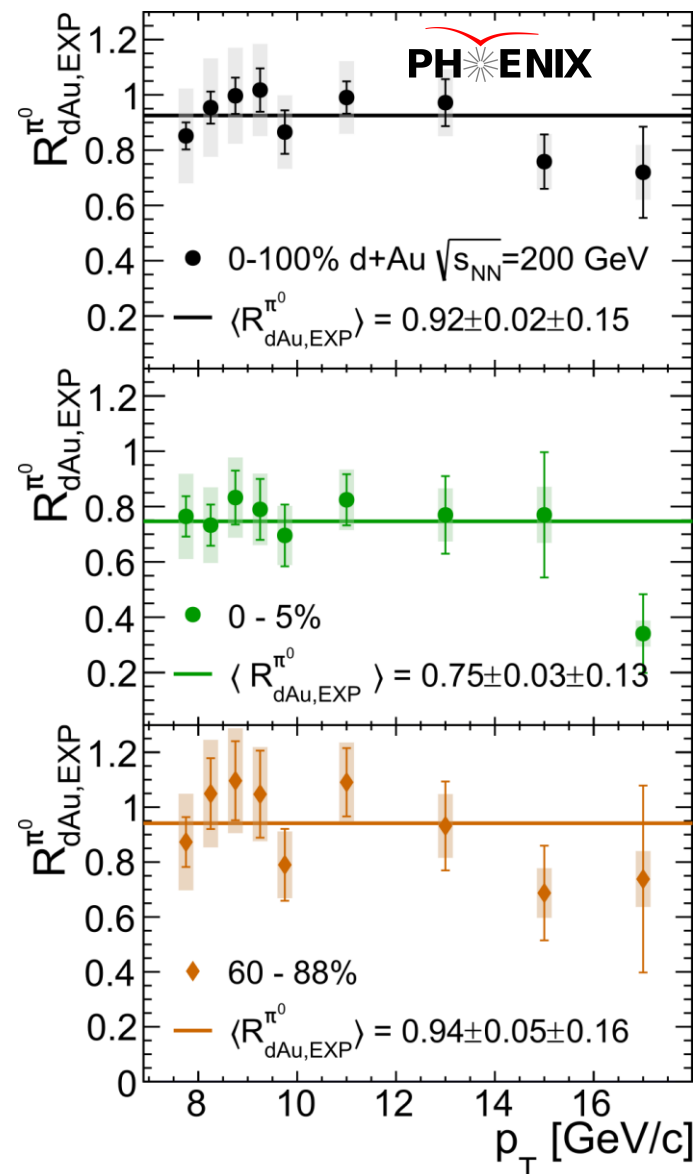
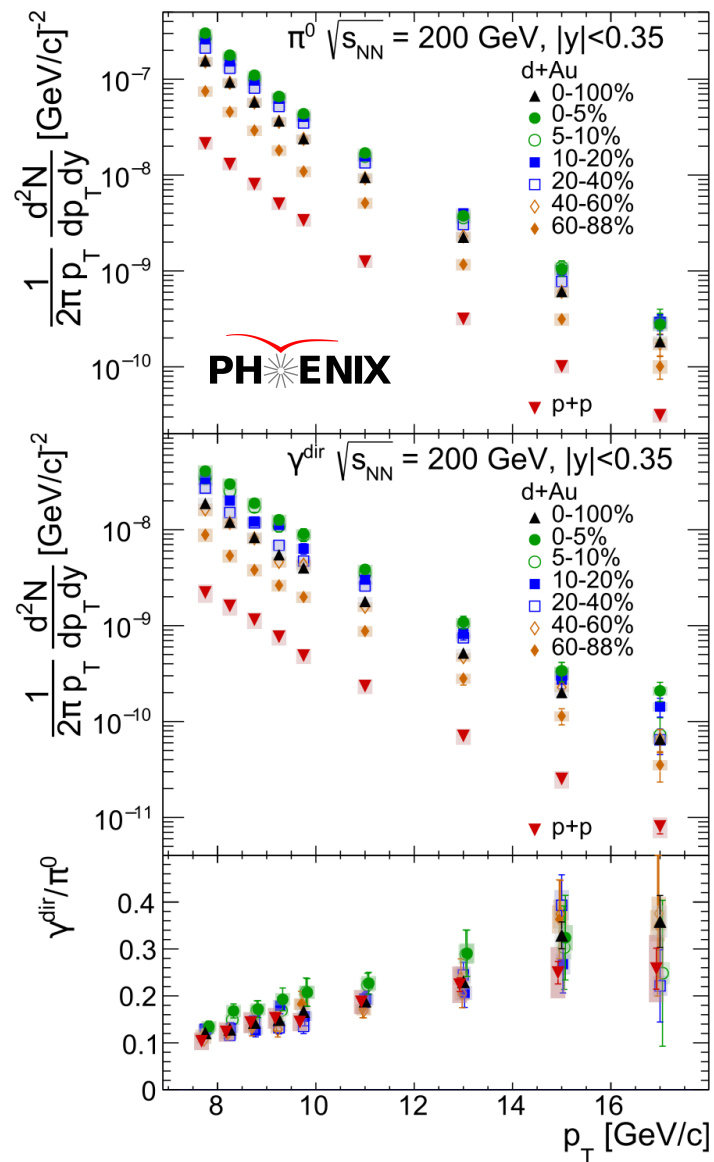
# The Bjorken-x bias

- High  $p_T$   $\gamma^{dir}$  and  $\pi^0$  ( $7.5 < p_T < 18$  GeV/c)
  - $\gamma^{dir}$  consistent with 2003 min bias data (PHENIX: PRC87(2013)54907)
  - $\pi^0$  consistent with 2008 data (PHENIX:PRC(2022)64902)

$$N_{Coll}^{EXP}(p_T) = \frac{Y^{\gamma^{dir}}(p_T)}{Y^{\gamma^{dir}}_{pp}(p_T)}$$

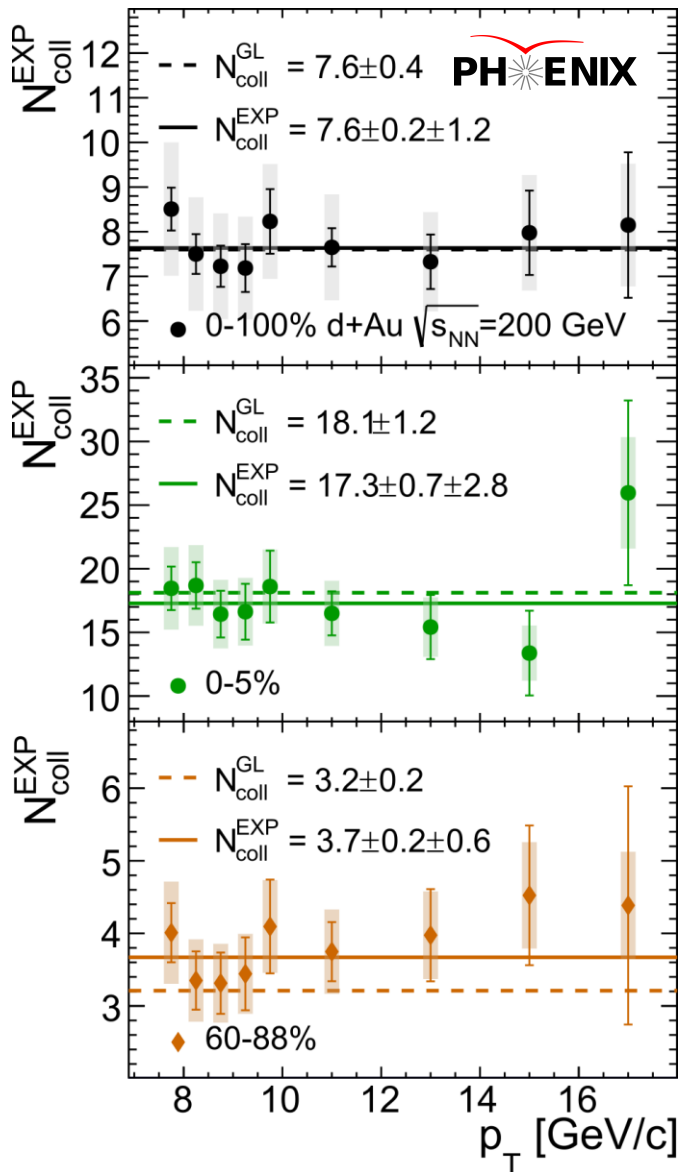
$$R_{dAu,EXP}^{\pi^0}(p_T) = \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{dAu}}$$

- No obvious  $p_T$  dependence.
  - $pp$  and  $dAu$  ( $\gamma^{dir}/\pi^0$ ) behave similarly



PHENIX: arXiv:2303.12899

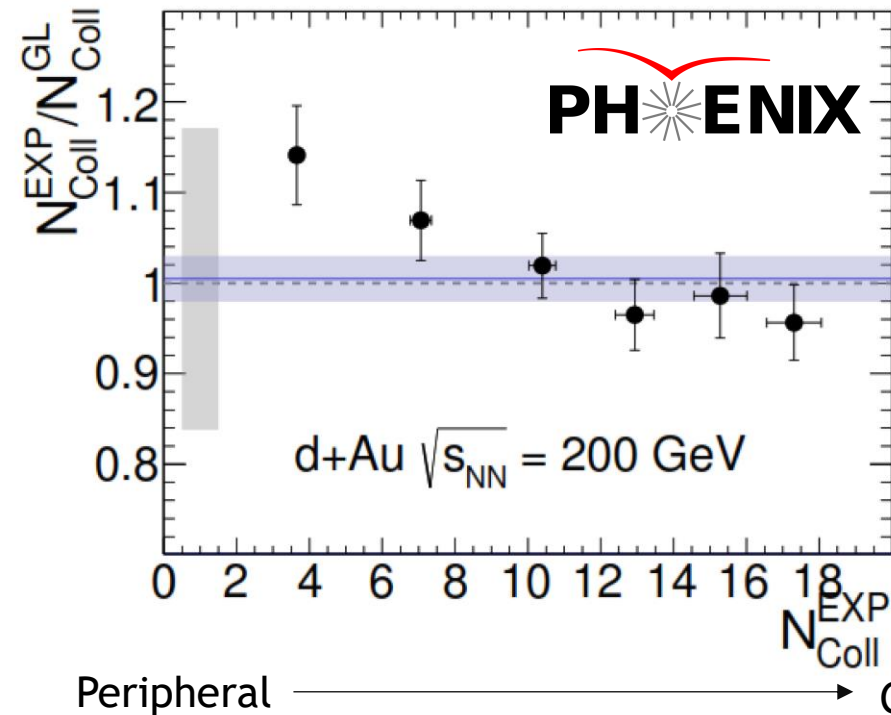
# Comparison with Glauber $N_{coll}$



PHENIX: arXiv:2303.12899

$$N_{Coll}^{EXP} = \frac{Y_{AB}^{\gamma^{dir}}(p_T)}{Y_{pp}^{\gamma^{dir}}(p_T)}$$

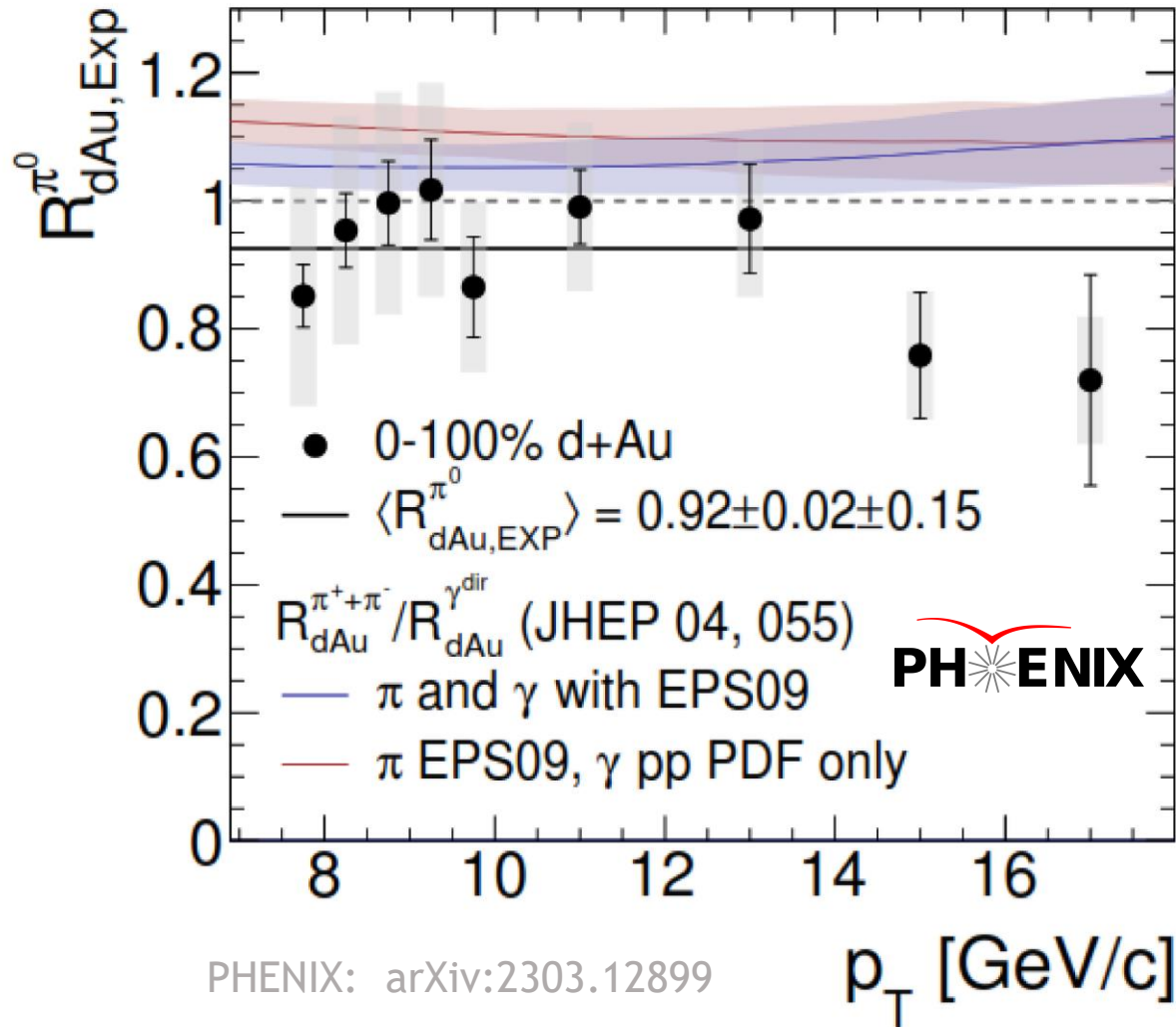
- Good agreement between  $N_{Coll}^{EXP}$  and  $N_{Coll}^{GL}$  is seen in central collisions
- 15% deviation is seen in peripheral collisions



PHENIX: arXiv:2303.12899

Peripheral  $\longrightarrow$  Central

# Nuclear modification factor for $\pi^0$ in d+Au



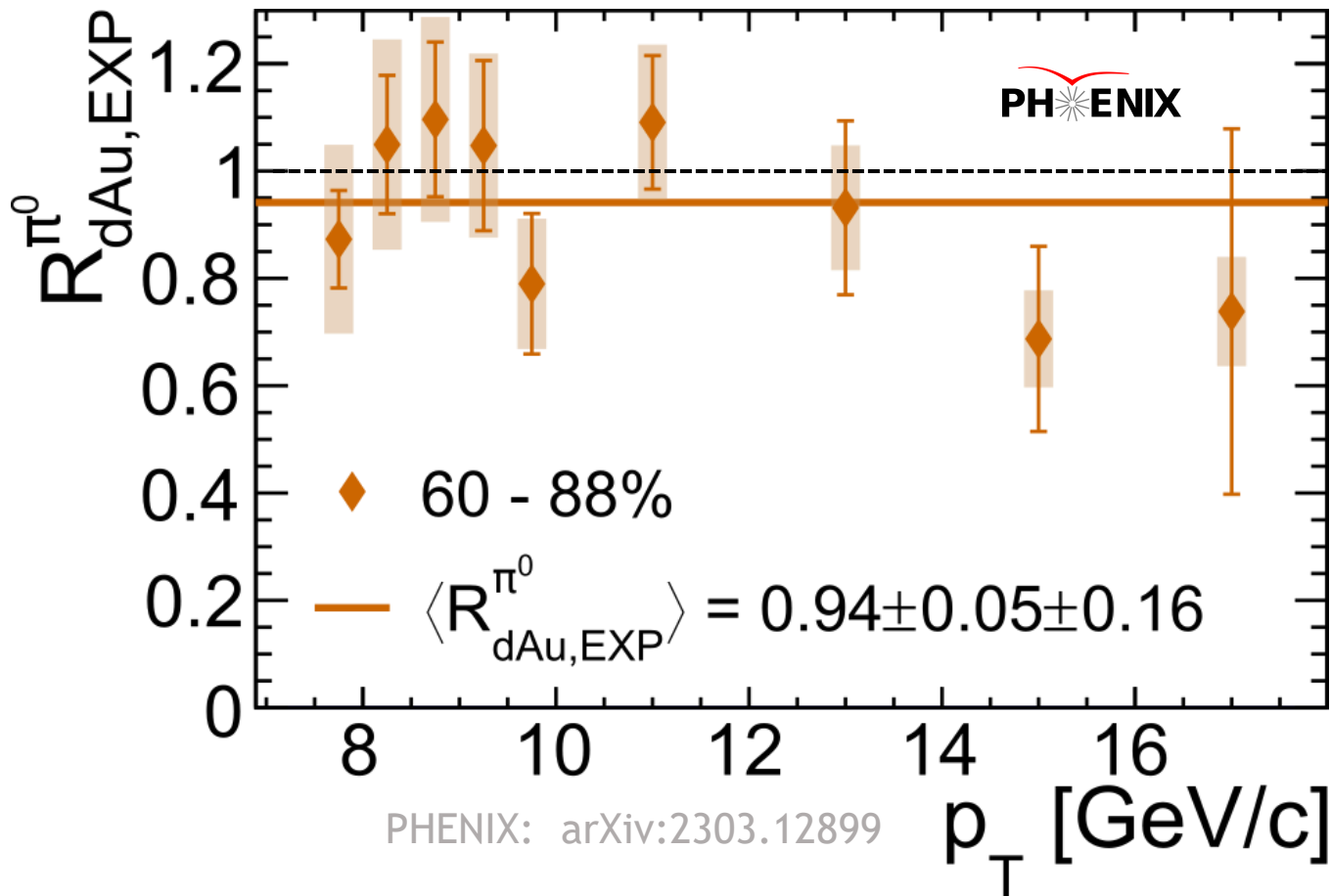
$$R_{AB,exp}^{\pi^0}(p_T) = \frac{Y_{AB}^{\pi^0}(p_T)}{N_{Coll}^{EXP} \cdot Y_{pp}^{\pi^0}(p_T)} \Rightarrow \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{AB}}$$

- Minimum bias (0-100%):
  - No significant  $p_T$  dependence
  - Average:
 
$$\langle R_{dAu,exp}^{\pi^0} \rangle = 0.92 \pm 0.02 \pm 0.15$$
- Consistent with unity
- Consistent with 5% enhancement from CNM effects\*

\*Arleo et al.: CNM effects largely cancel in the  $\gamma^{dir}/\pi^0$  in this  $p_T$  range

# Nuclear modification factor for $\pi^0$ in d+Au

$$R_{AB,exp}^{\pi^0}(p_T) = \frac{Y_{AB}^{\pi^0}(p_T)}{N_{Coll}^{EXP} \cdot Y_{pp}^{\pi^0}(p_T)} \Rightarrow \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{AB}}$$

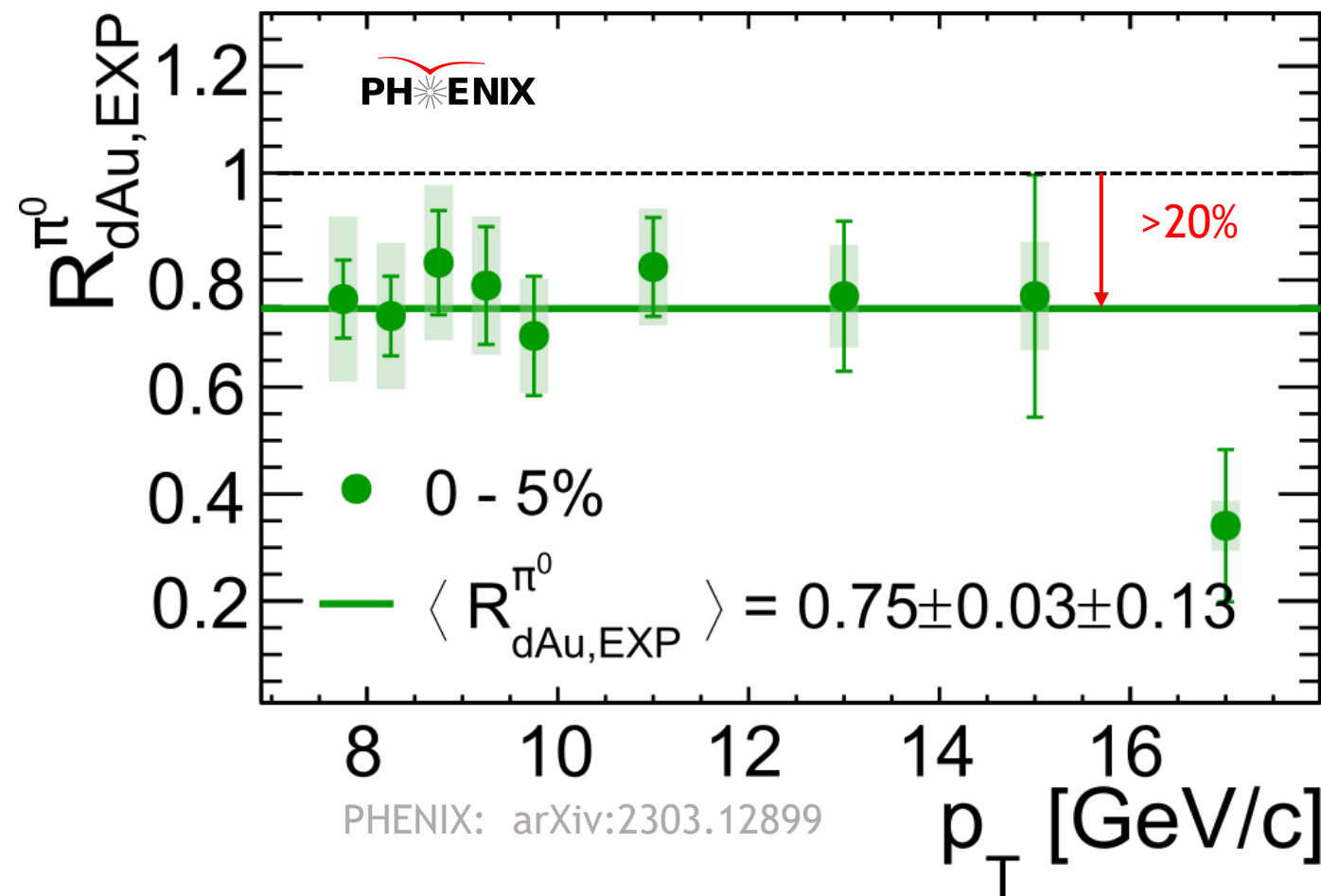


- Peripheral collisions are consistent with inclusive (0-100%)
- No peripheral enhancement

# Nuclear modification factor for $\pi^0$ in d+Au

$$R_{AB,exp}^{\pi^0}(p_T) = \frac{Y_{AB}^{\pi^0}(p_T)}{N_{Coll}^{EXP} \cdot Y_{pp}^{\pi^0}(p_T)} \Rightarrow \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{AB}}$$

- Central collisions (0-5%) are consistent with **>20% suppression**
  - No enhancement
  - Clear suppression!





# Nuclear modification factor for $\pi^0$ in d+Au

Average  $R_{dAu,exp}^{\pi^0}$  vs  $N_{coll}^{exp}$

- For  $N_{coll}^{exp} < 14$ :

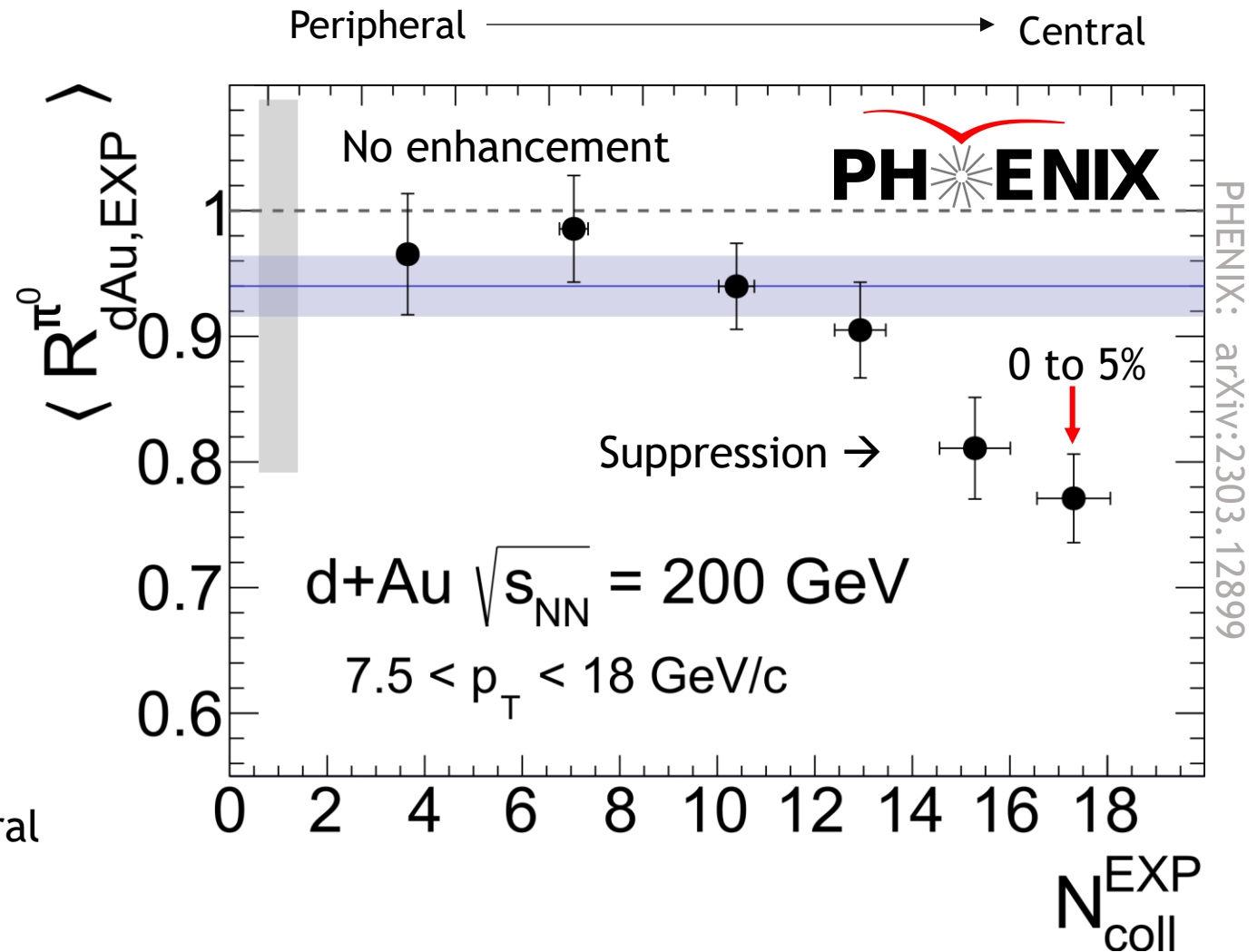
$$\frac{R_{dAu,exp}^{\pi^0}(60 - 88\%)}{R_{dAu,exp}^{\pi^0}(0 - 100\%)} = 1.017 \pm 0.56$$

- Consistent with inclusive d+Au

- Suppression for  $N_{coll}^{exp} > 14$ .

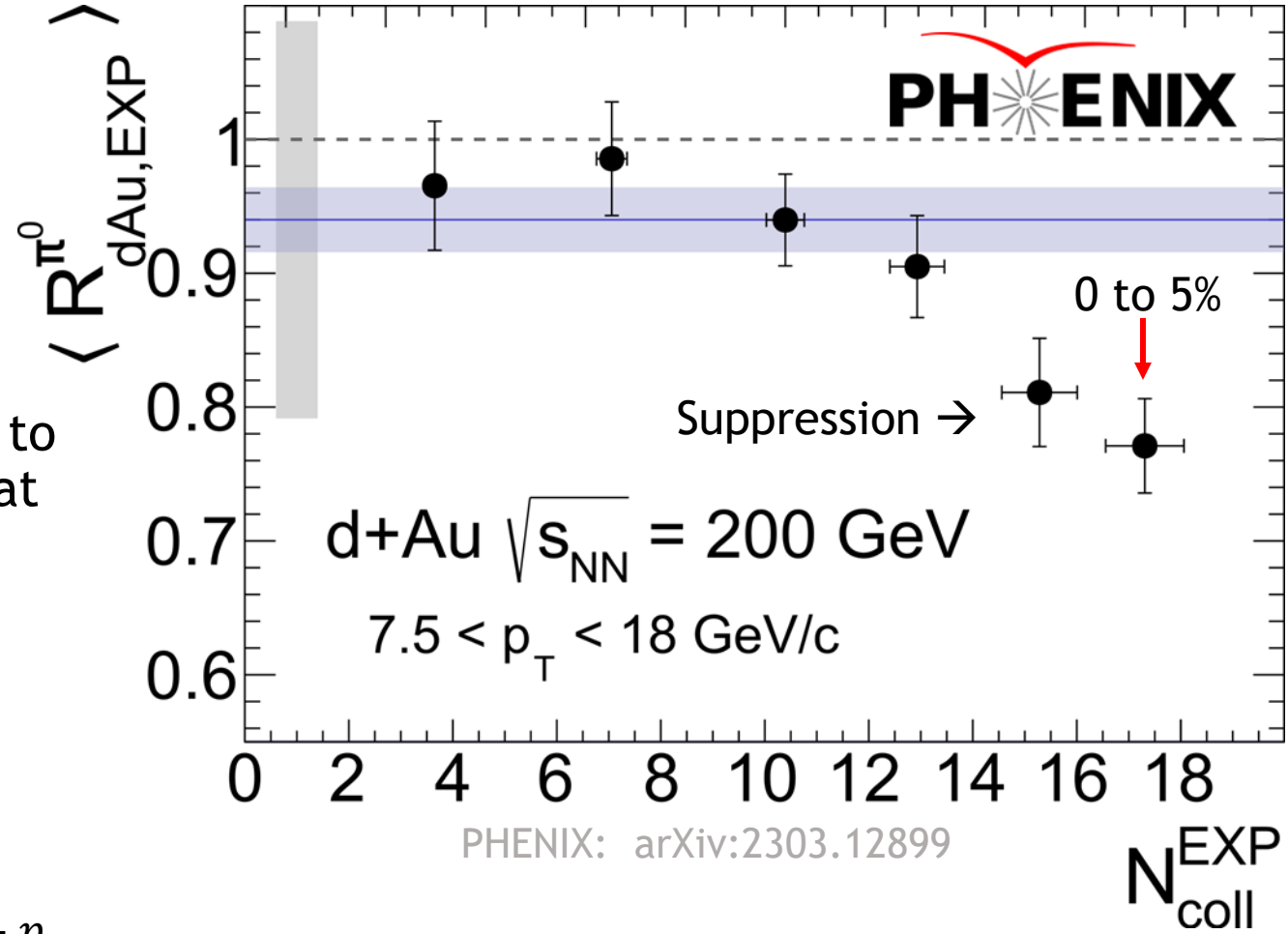
$$\frac{R_{dAu,exp}^{\pi^0}(0 - 5\%)}{R_{dAu,exp}^{\pi^0}(0 - 100\%)} = 0.806 \pm 0.042$$

- 20% suppression with  $4.5\sigma$  significance in central d+Au collisions at 200GeV!



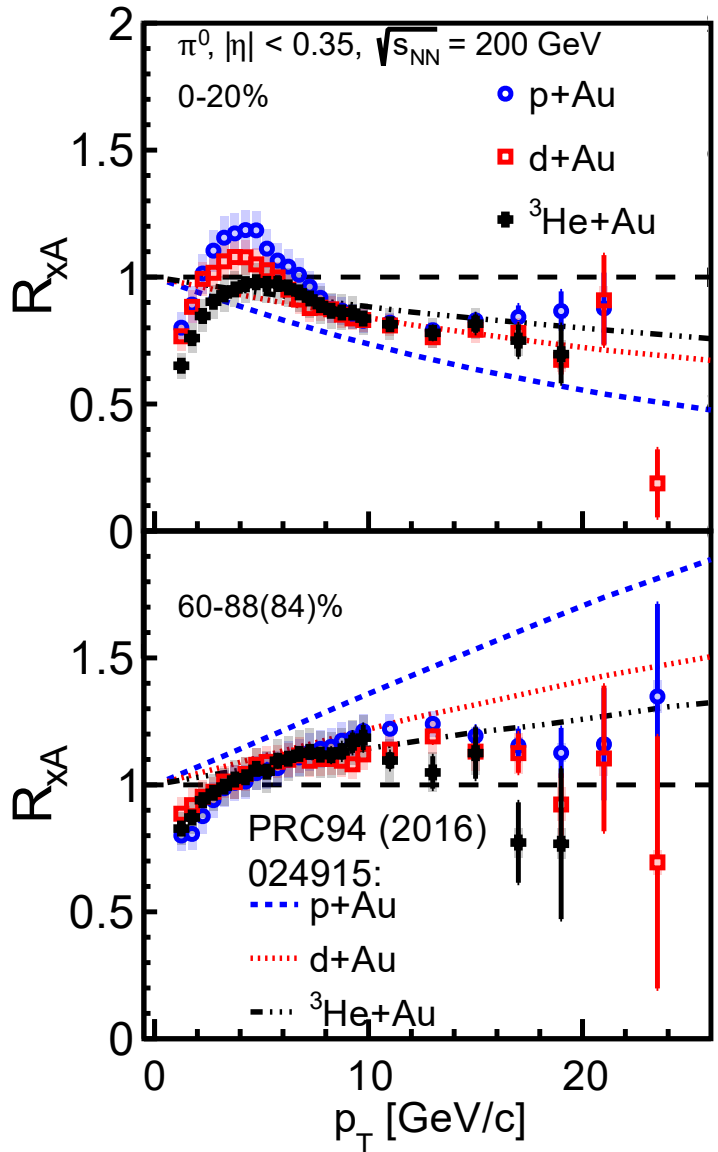
# Summary

- New method of obtaining  $N_{coll}^{exp}$ 
  - Ratio of  $\gamma^{dir}$  in  $d+Au$  to  $p + p$
  - No dependence in Glauber model
  - **No longer enhancement in peripheral events:** selection bias
- Evidence of suppression (20%!) of high  $p_T$  (7.5 to 18 GeV/c)  $\pi^0$ s in central 0-5%  $d+Au$  collisions at 200 GeV
- Further investigations:
  - Ordering of other small systems  
 $p+Au \stackrel{<}{>} d+Au \stackrel{<}{>} {}^3He+Au$
  - Reduction of systematic uncertainties from  $p + p$  dataset

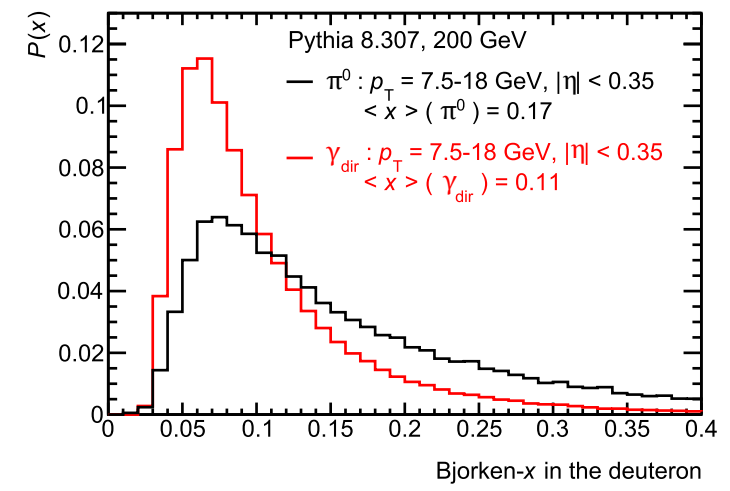
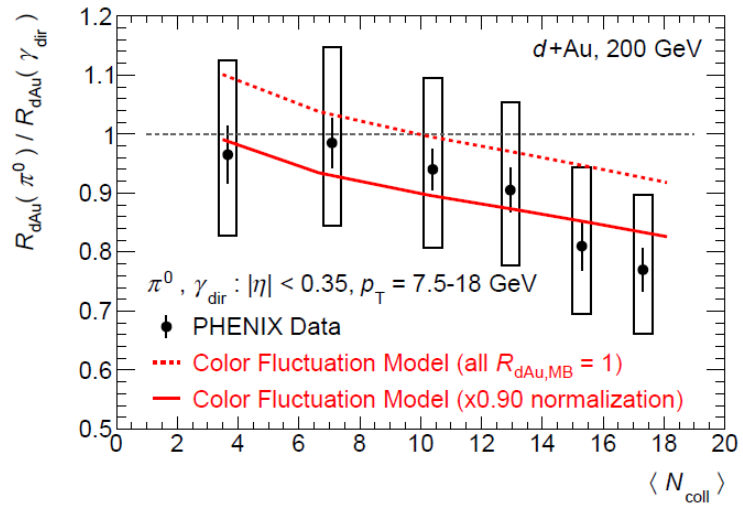


# Backup:

# The Bjorken-x bias



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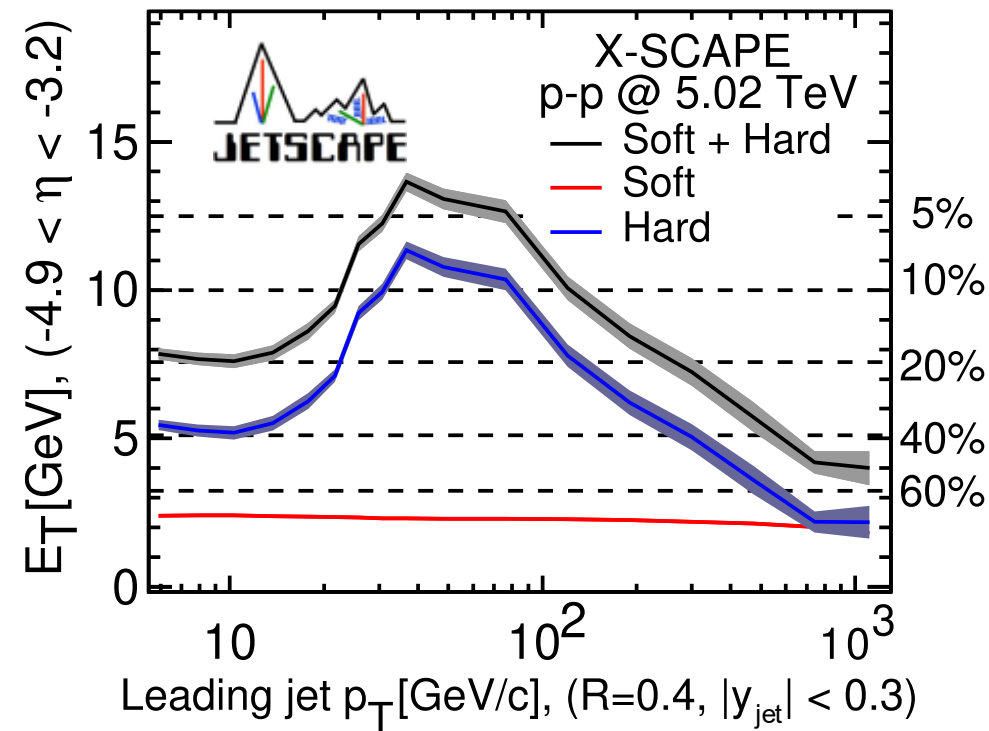
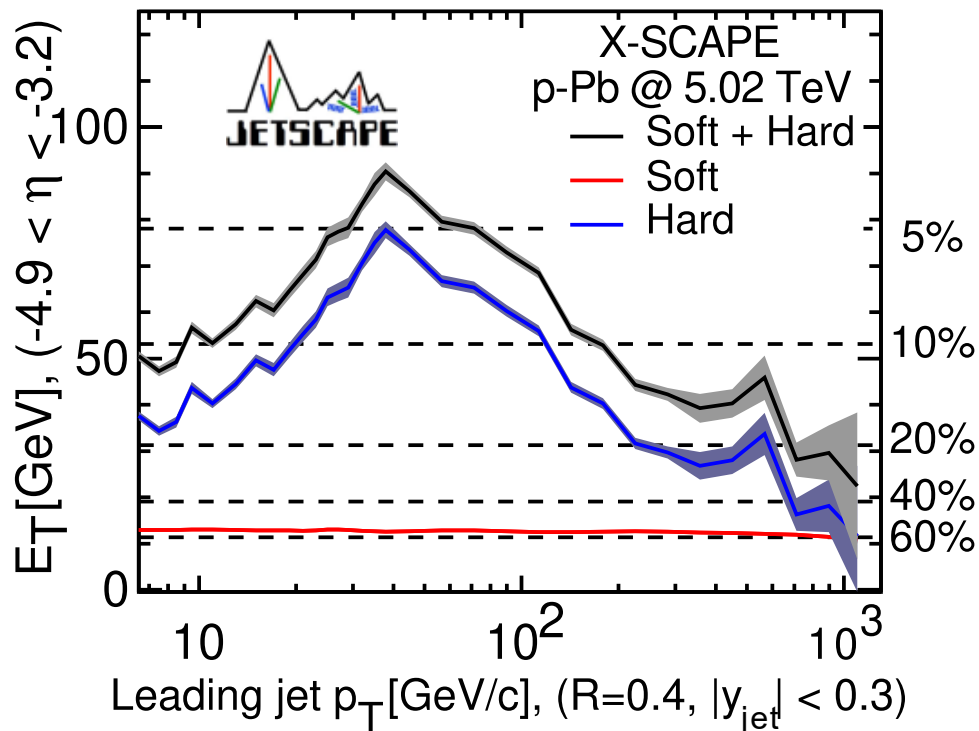


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- Even so, the final answer to this puzzle comes from the (upcoming) systematic study of small systems
- Final State  
 $^3\text{He} > d > p$
- p Size fluctuation  
 $p > d > ^3\text{He}$

# Energy conservation in small systems

- Pb + Pb
  - 208 + 208
- p + Pb
  - 1 + 208
- p + p
  - 1 + 1
- d + Au,
- <sup>3</sup>He + Au,...



JETSCAPE: arXiv: 2407.17443

“Correlations between hard probes and bulk dynamics in small systems”

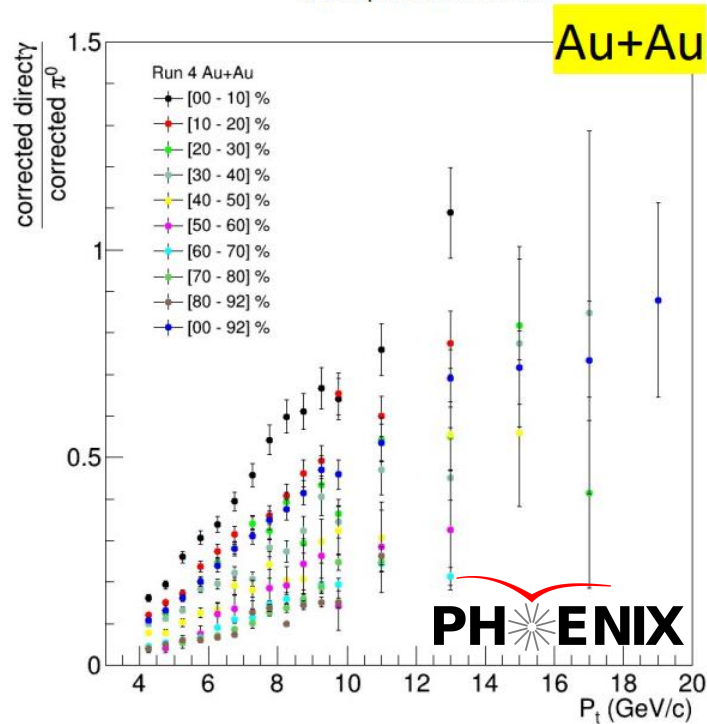
Sangyong Jeon – in ~30 minutes!

# $\frac{\gamma^{dir}}{\pi^0}$ : An observable of centrality bias

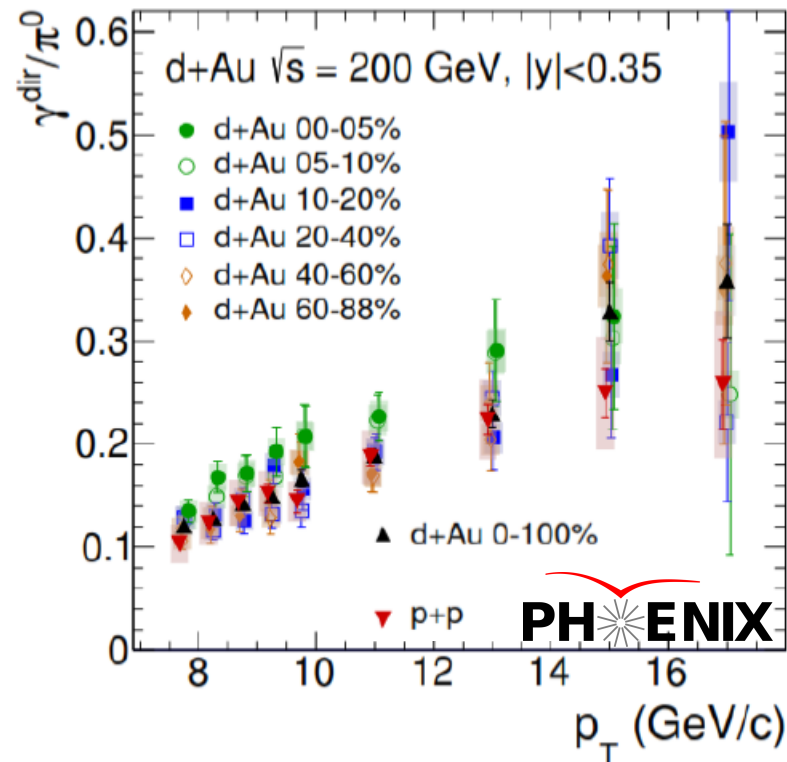
Corrected direct  $\gamma$  spectrum  
(centrality independent)

Corrected  $\pi^0$  spectrum  
(centrality dependent)

Neutral  $\pi^0$ 's : arXiv:0801.4020  
direct  $\gamma$  : arXiv:1205.5759



PHENIX: arXiv:2303.12899



- Au+Au shows a clear centrality separation
- d+Au shows consistency between peripheral events and min. bias
- Central (0-5%) separates



# Data analysis

The 2016 dataset for d+Au at 200 GeV is used

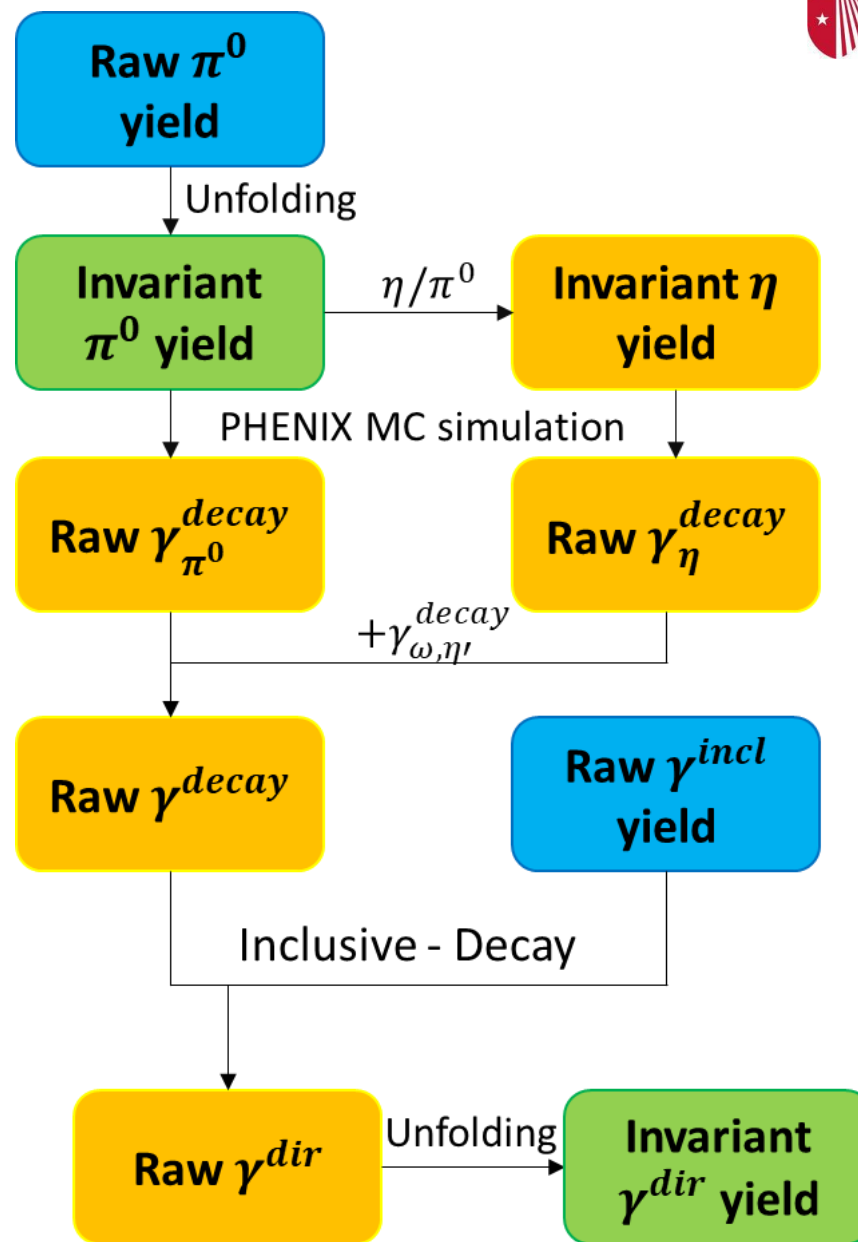
- $\pi^0$  reconstructed from  $\gamma$  clusters on the EMCAL
- Triggered on high  $p_T$  range. Analysis done for  $\gamma$  and  $\pi^0$  on  $p_T > 7.5$  GeV

Analysis chain:

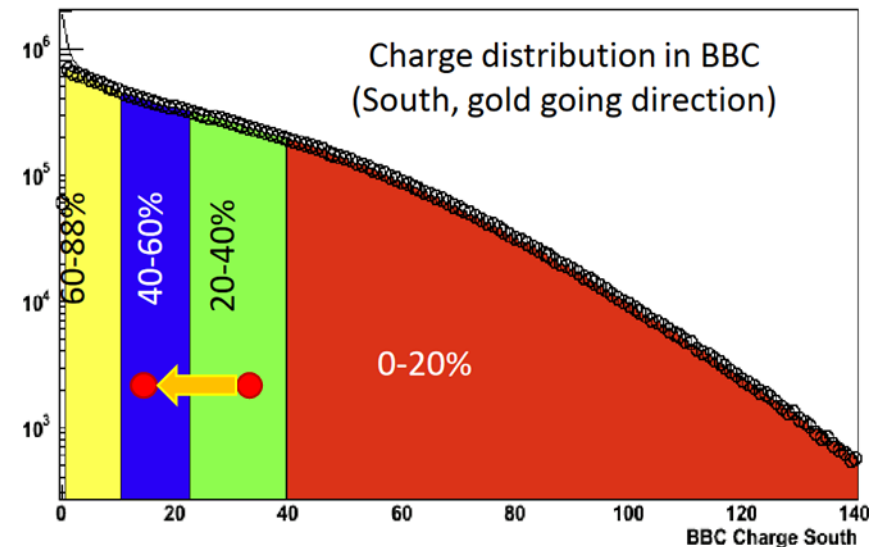
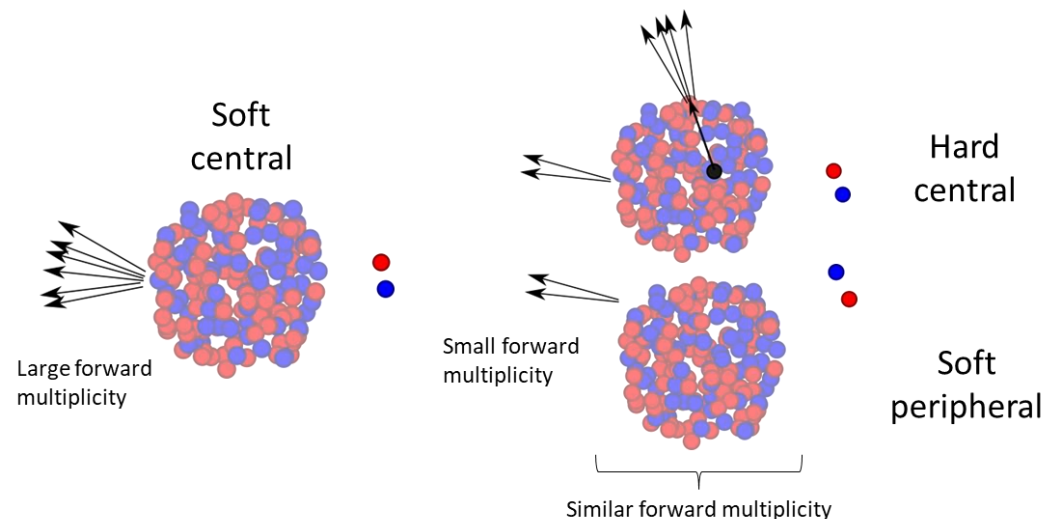
- Reconstructed Raw  $\pi^0$  from  $\gamma$  showers ( $\pi^0 \rightarrow \gamma\gamma$ )
- Raw spectra is unfolded to obtain Invariant  $\pi^0$ 
  - $\frac{\eta}{\pi^0}$  ratio used to obtain invariant  $\eta$  yield
- Model  $\pi^0$  and  $\eta$  decay in PHENIX to obtain  $\gamma^{decay}$
- Subtraction of decay from inclusive raw  $\gamma$  to obtain Raw  $\gamma^{dir}$
- Unfolding Raw  $\gamma^{dir}$  to obtain Invariant  $\gamma^{dir}$

Systematic uncertainties

- $\sim 12\%$  on  $\pi^0$  and  $\gamma^{dir}$
- $6\%$  on  $\gamma^{dir}/\pi^0$
- Uncertainties on  $\gamma^{dir}/\pi^0$  are common to all centralities



# Bias in Centrality determination



- Since the event activity is measured in the forward region of the detector, a hard event (think jets) can deplete the forward activity, and would have a high  $p_T$  event on the central detectors
- This can drive central events to appear as peripheral, explaining a source of “peripheral enhancement” at high  $p_T$

# $\frac{\gamma^{dir}}{\pi^0}$ : An observable of centrality bias

## RATIO:

Corrected direct  $\gamma$   
spectrum (centrality  
independent)

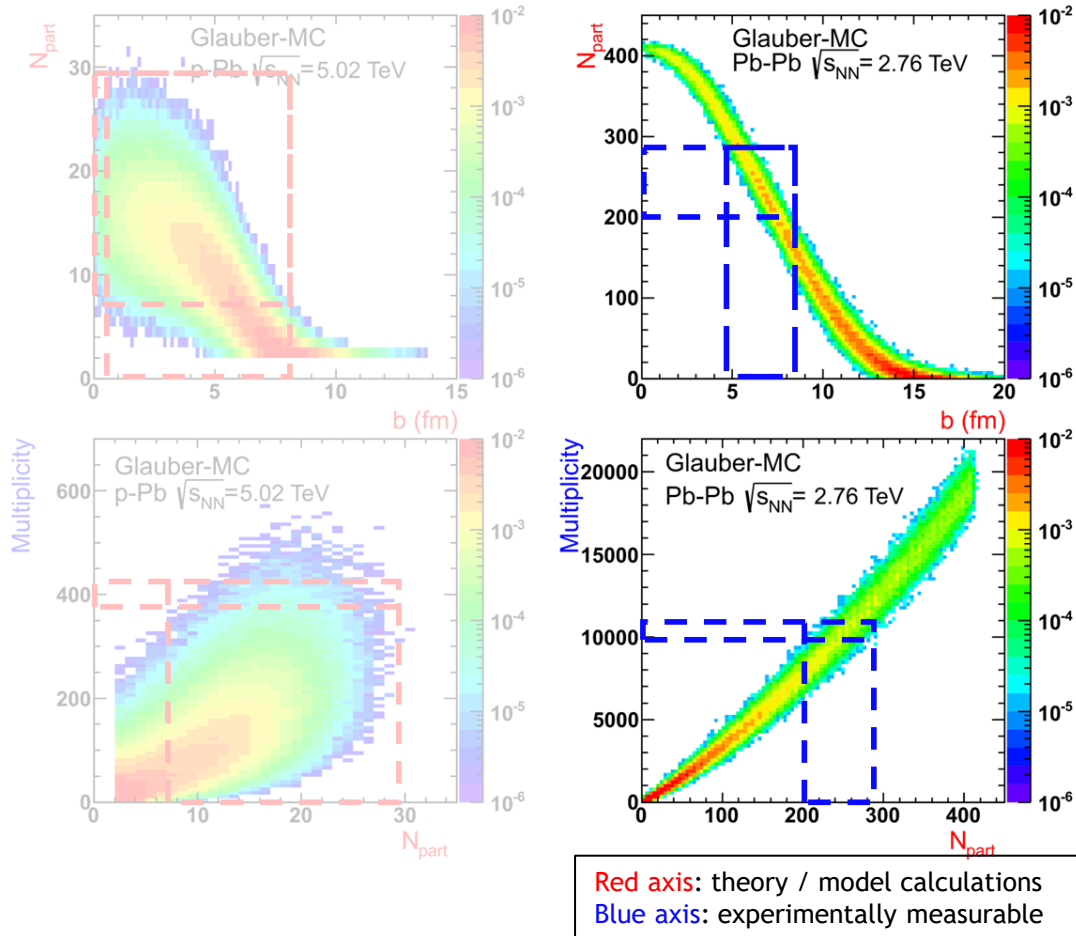
Corrected  $\pi^0$   
spectrum (centrality  
dependent)

Centrality dependent: direct photons  
are not affected - centrality  
dependence in  $\pi^0$  is genuine physics

Centrality Independent: affects direct  
photons - bias on centrality  
determination affecting  $\pi^0$ s

# Event activity to centrality

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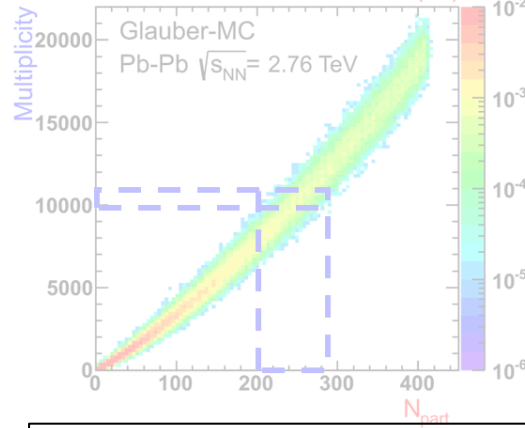
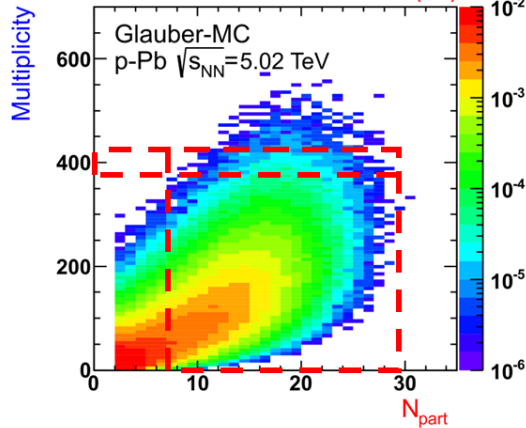
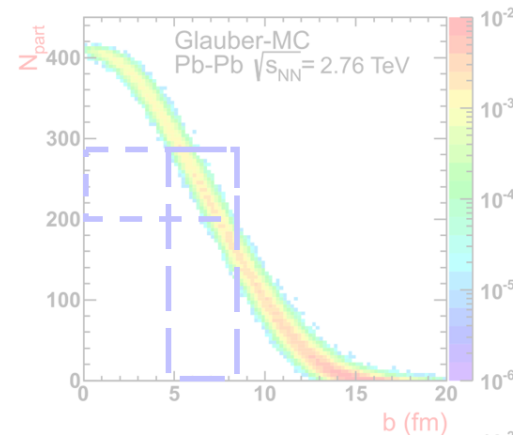
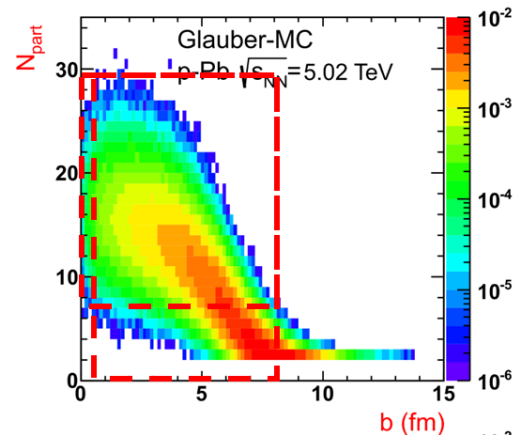
- Centrality is determined by event activity in the BBC, on the Au going direction

$$\frac{dN_{ch}}{d\eta} \Rightarrow N_{coll} \xrightarrow[\text{Model/Theory}]{\text{=====}} N_{par} \xrightarrow[\text{Theory}]{\text{=====}} b$$

$$N_{coll} \propto \left( \frac{dN_{ch}}{d\eta} \right)^a$$

# Event activity to centrality

ALICE: PRC91 (2015) 064905



Red axis: theory / model calculations  
Blue axis: experimentally measurable

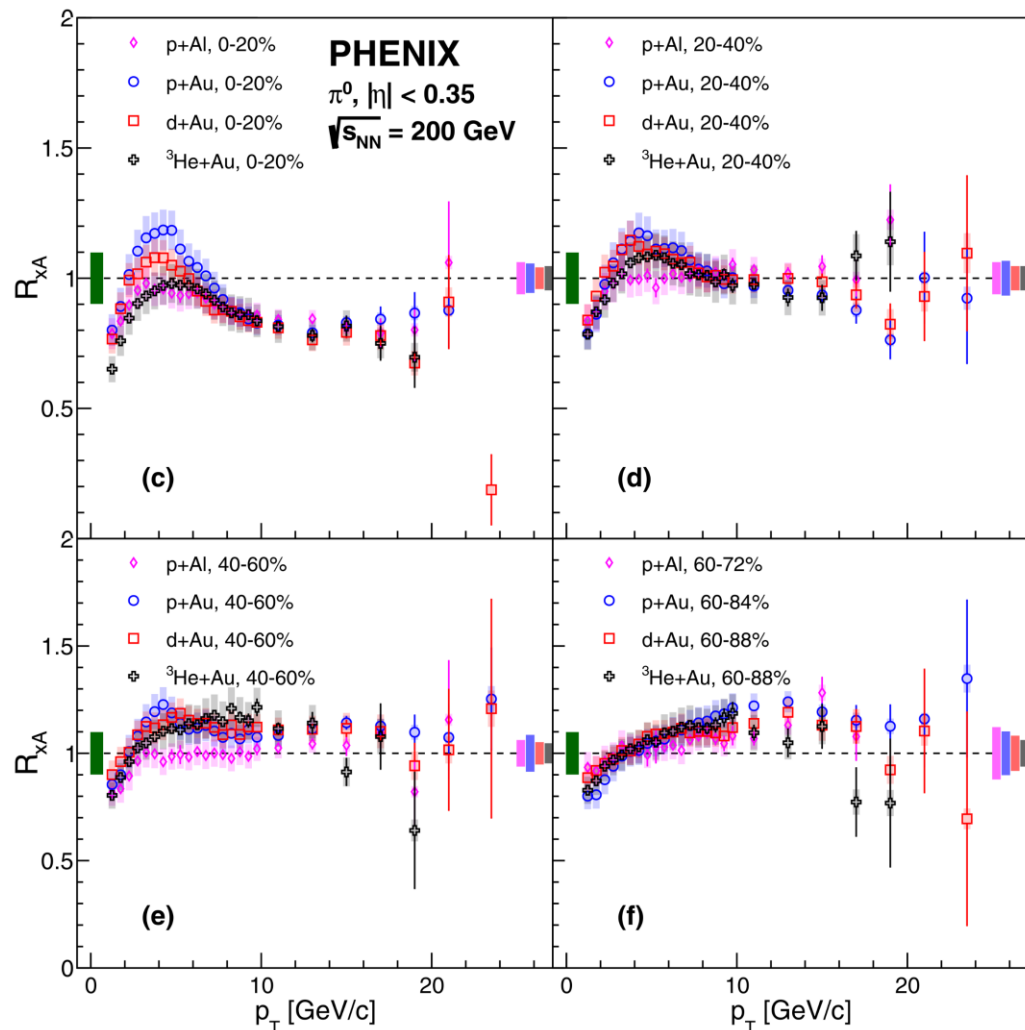
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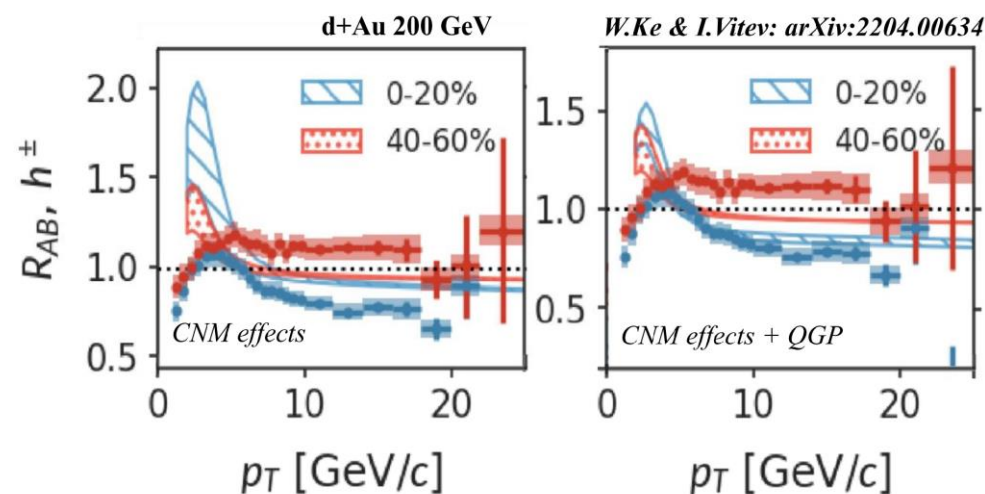
$$N_{coll} \propto \left( \frac{dN_{ch}}{d\eta} \right)^a$$

# Nuclear modification factor in d+Au

PHENIX: PRC105 (2022) 064902



- For high  $p_T$   $\pi^0$ s in small systems, large centrality dependence is observed:
  - Suppression for central events



- Suppression for the central events could be explained with QGP formation. Enhancement cannot be trivially explained from physical arguments.



$$R_{AB,exp}^{\pi^0}(p_T) = \frac{(\gamma^{dir}/\pi^0)^{pp}}{(\gamma^{dir}/\pi^0)^{AB}}$$

$\frac{\gamma}{\pi^0}$ : same normalization  
 peak extraction  
 energy scale

In pp - pp cross section

Double: Hadron contamination

Assumption:  $R_{AA}^{\gamma^{dir}} \equiv 1$

Glauber Bias

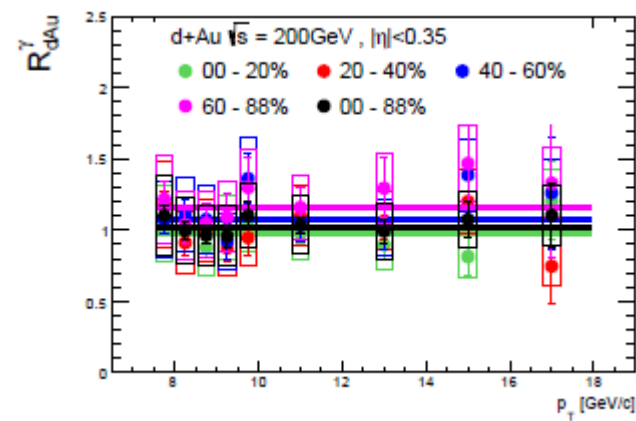
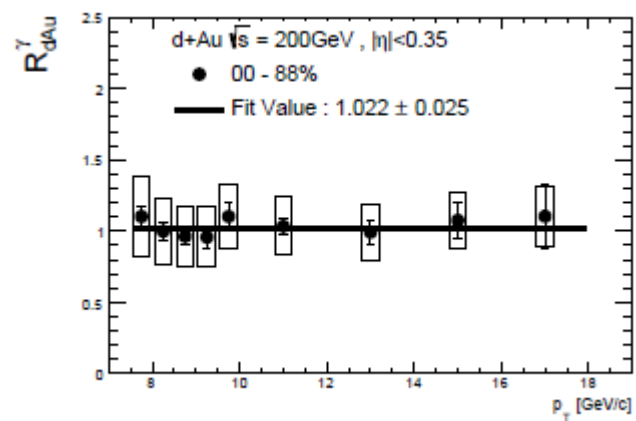
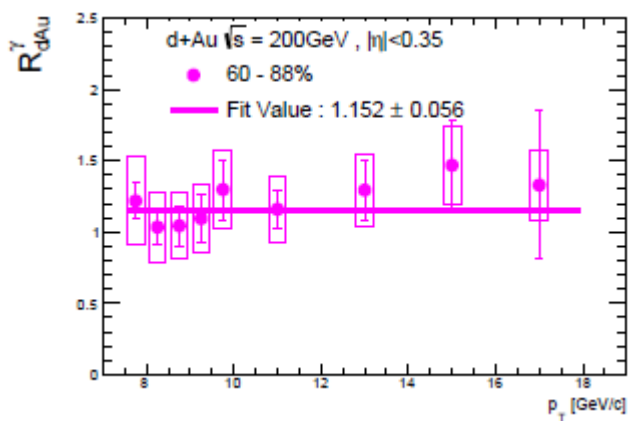
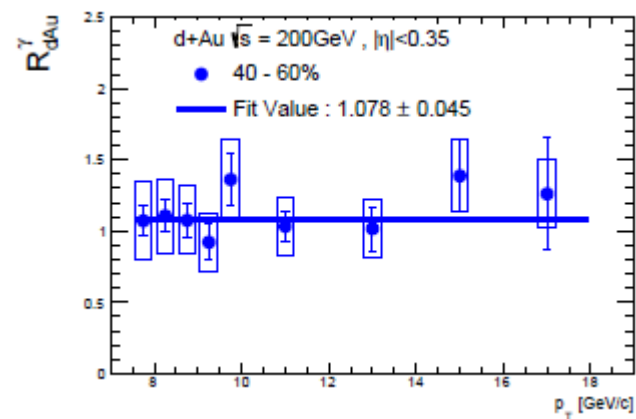
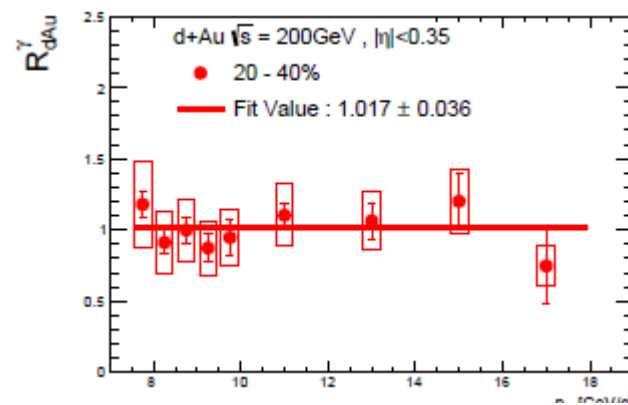
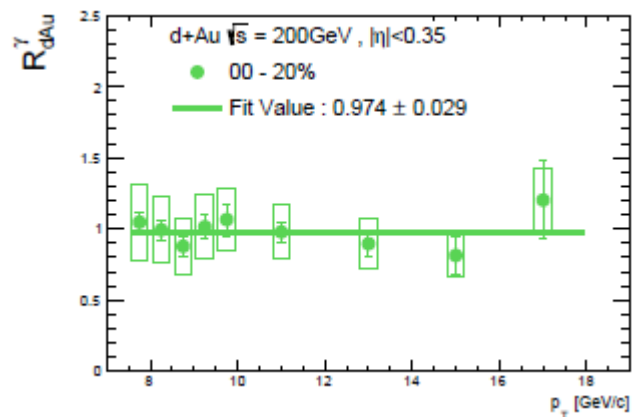
Pp cross section

Centrality bias

Model dependent

$$R_{AB,GL}^{\pi^0}(p_T) = \frac{Y_{AB}^{\pi^0}}{N_{Coll}^{GL} \cdot Y_{pp}^{\pi^0}}$$

# $R_{dAu}^{\gamma dir}$



A large, stylized version of the PHENIX logo. It features a thick red swoosh arching over the text. The text "PHENIX" is rendered in a very bold, black, sans-serif font. The letter "E" is replaced by a grey sunburst icon consisting of a central white circle with 16 grey rays extending outwards.