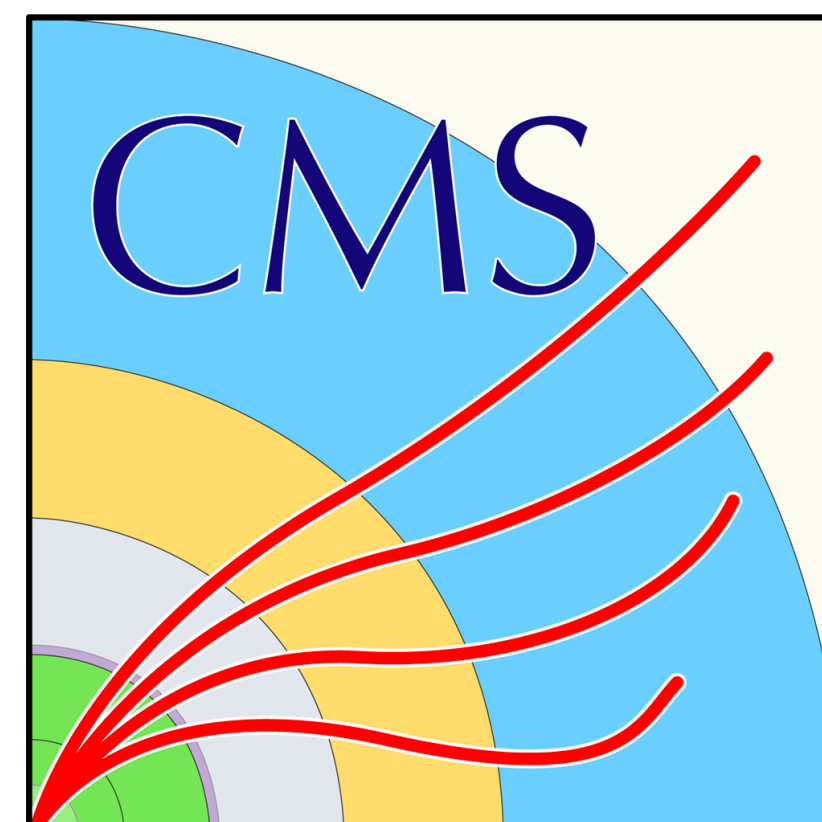




HP 2024
N A G A S A K I

Understanding initial and final state charm production in pPb collisions with CMS

**SooHwan Lee, on behalf of CMS
(Korea University)**



Introduction

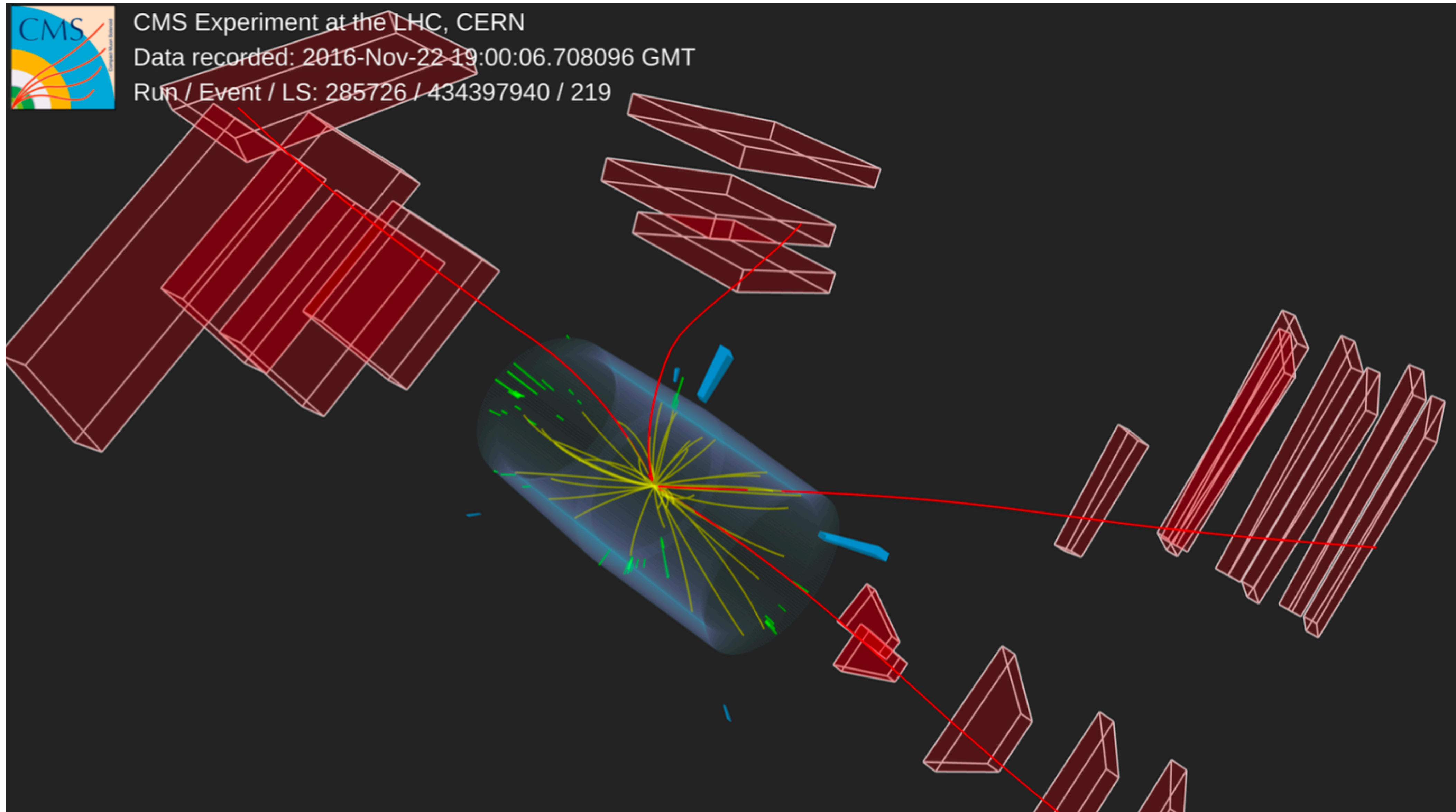
- Heavy flavor (HF) is great probe to study high-density QCD phenomena
 - Produced in initial hard scattering, $m_{HQ} \gg \Lambda_{\text{QCD}}, m_{HQ} \gg T_c, \dots$
 - Testing our knowledge of HF production in small system crucial to extract hot QCD effect from heavy ion data
 - nPDF, multi parton interaction (MPI), hadronization, ...
 - 2 recent final results from CMS to better understand charm in nuclear collisions
 - I) Measurement double J/ψ production in pPb
 - II) Baryon-to-meson ratio of Λ_c^+ and D^0 in pPb



CMS Experiment at the LHC, CERN

Data recorded: 2016-Nov-22 19:00:06.708096 GMT

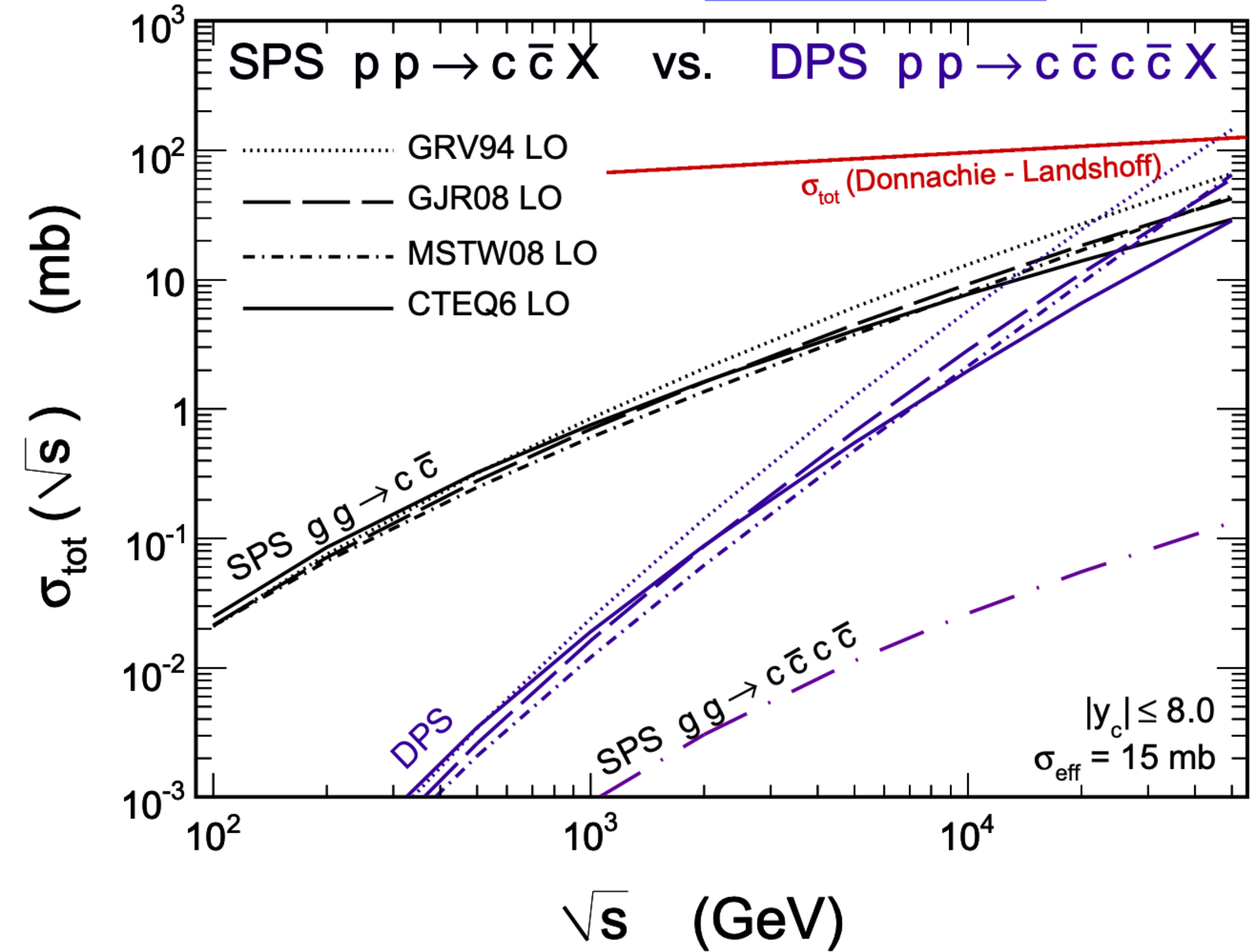
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Understanding initial multi charm production via double J/ψ

- Multi parton scattering is fundamental in hadron collisions
- Cross section increase with $\sqrt{s_{NN}}$, and the nucleus A

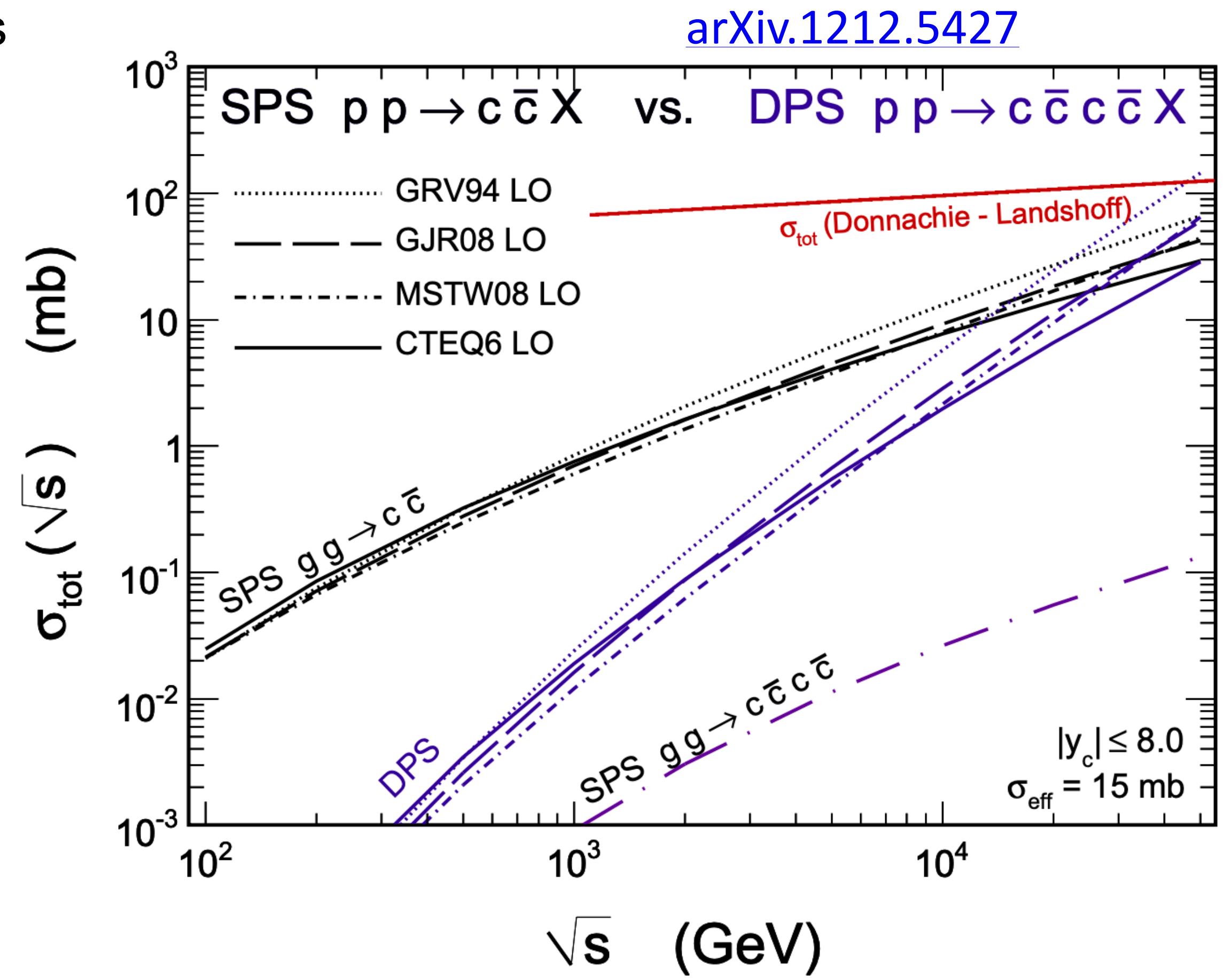
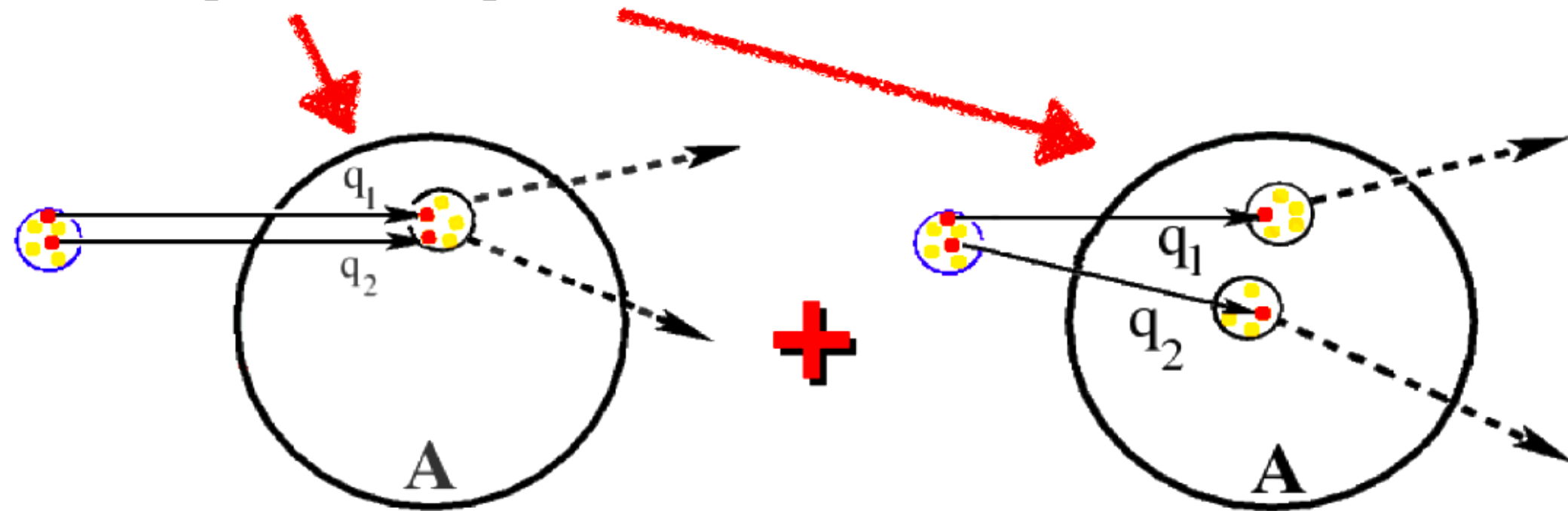
[arXiv.1212.5427](https://arxiv.org/abs/1212.5427)



Understanding initial multi charm production via double J/ψ

- Multi parton scattering is fundamental in hadron collisions
- Cross section increase with $\sqrt{s_{NN}}$, and the nucleus A
- For double parton scattering (DPS), production in pPb enhanced by $A + A^{4/3}/\pi \sim 600$

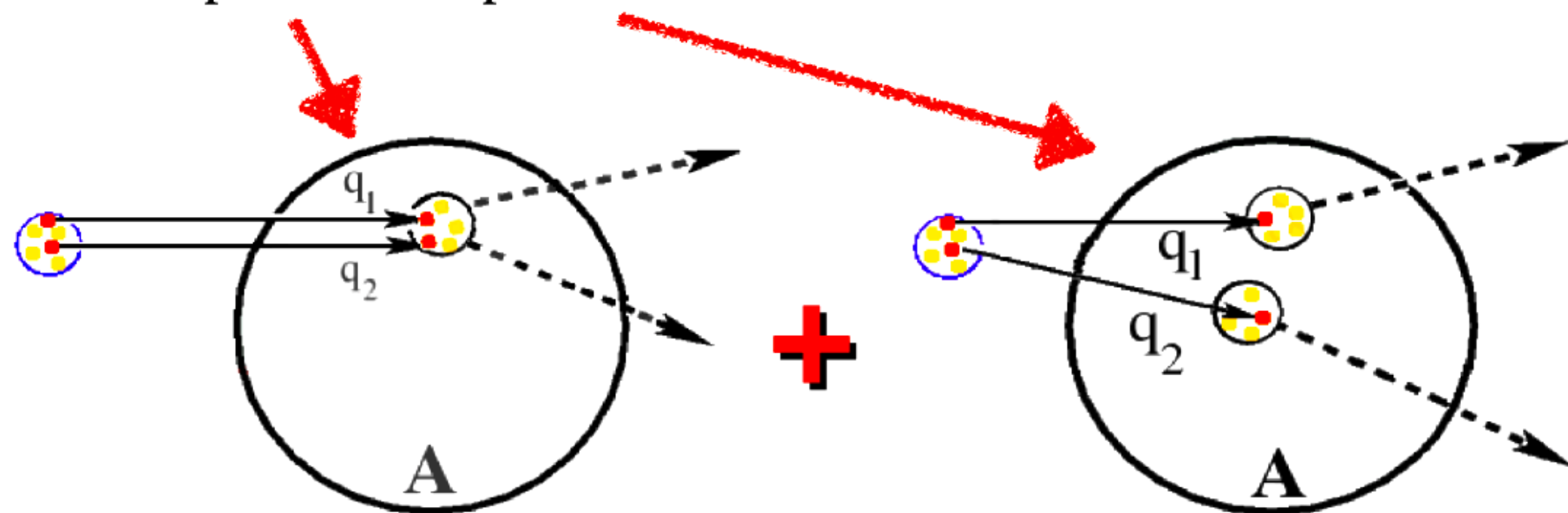
$$\sigma_{pA \rightarrow ab}^{\text{DPS}} = \sigma_{pA \rightarrow ab}^{\text{DPS},1} + \sigma_{pA \rightarrow ab}^{\text{DPS},2}$$



Understanding initial multi charm production via double J/ψ

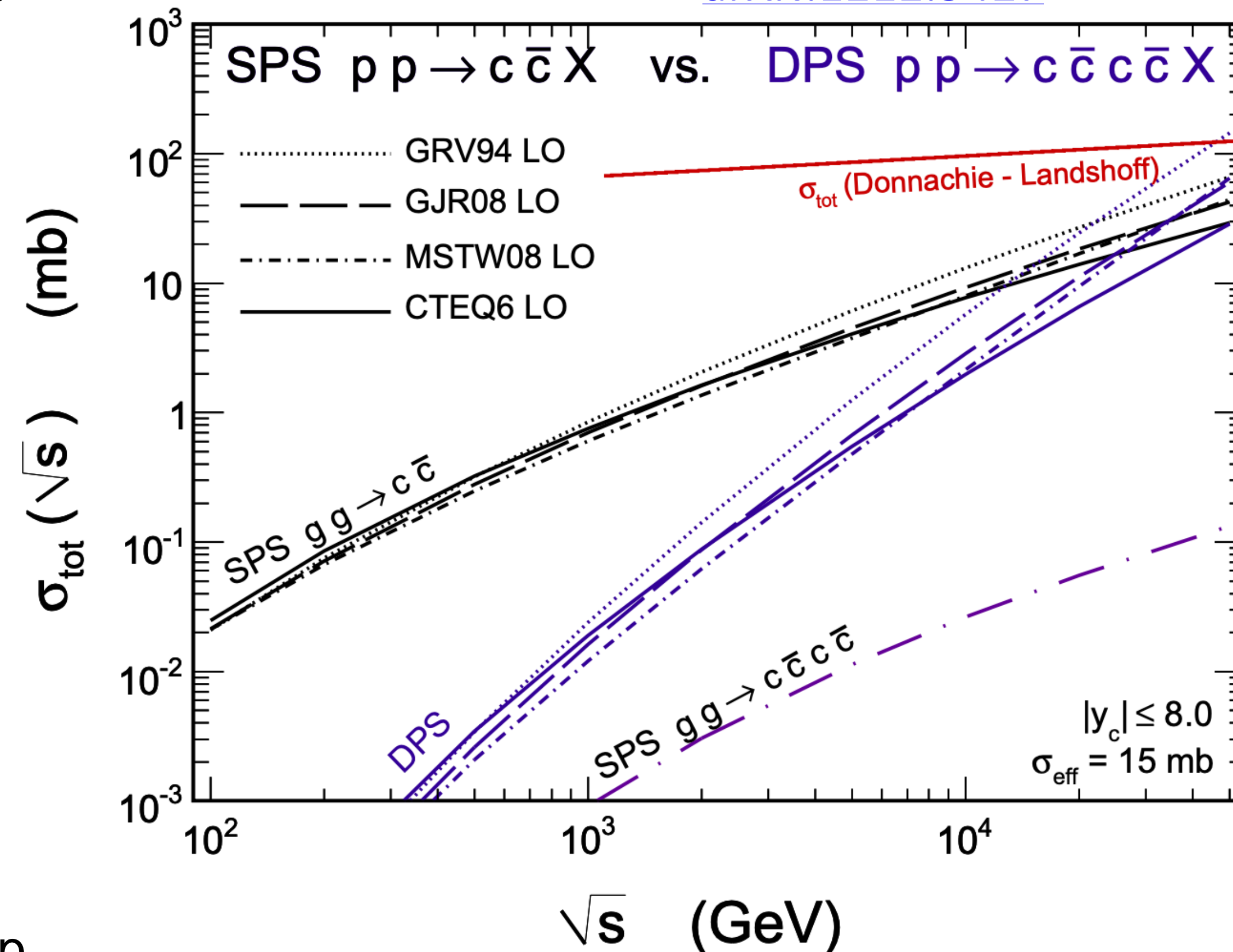
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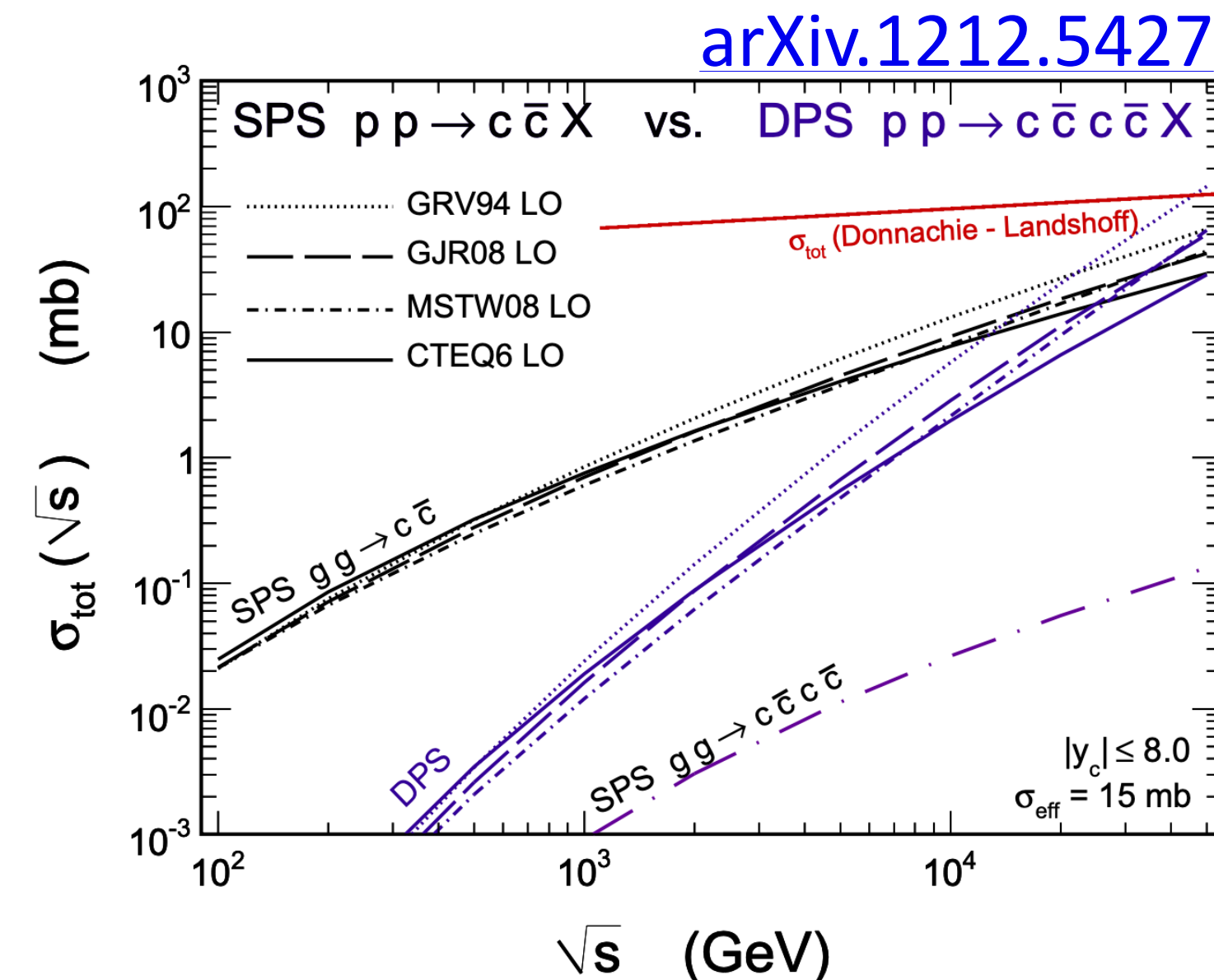
- Understanding DPS process in nuclear collision can help understand parton structure in nucleus and its geometry

[arXiv.1212.5427](https://arxiv.org/abs/1212.5427)

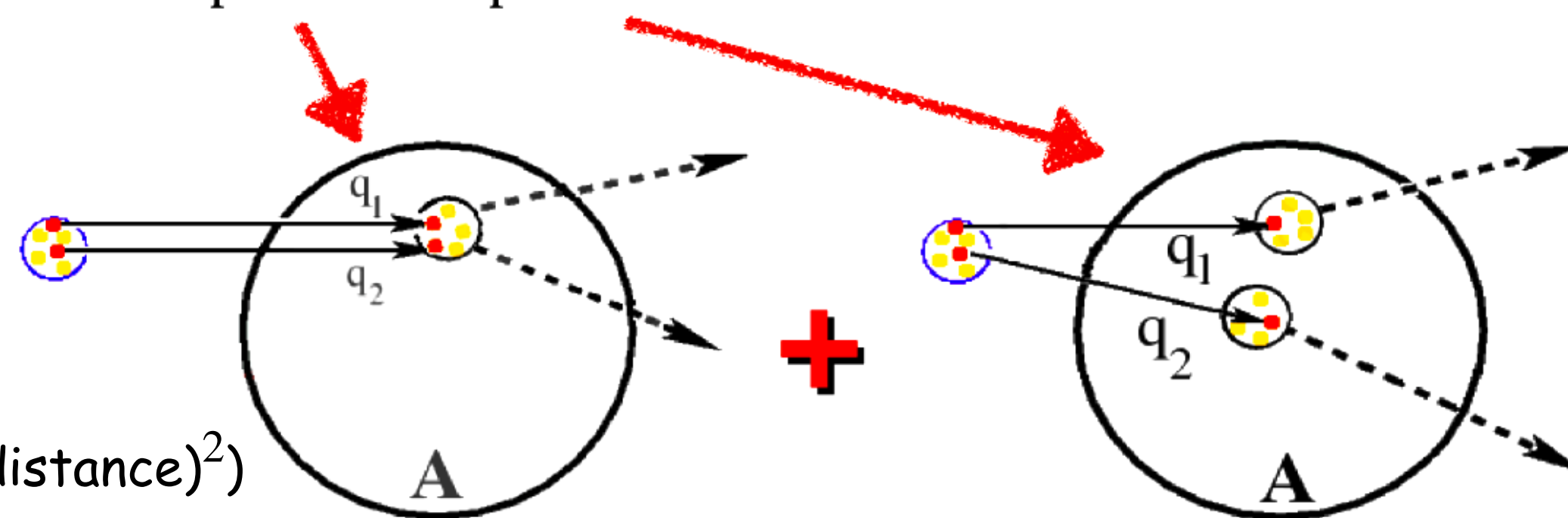


Understanding initial multi charm production via double J/ψ

- Multi parton scattering is fundamental in hadron collision
- Cross section increase with $\sqrt{s_{NN}}$, and the nucleus A
- For double parton scattering (DPS), production in pPb enhanced by $A + A^{4/3}/\pi \sim 600$
- Understanding DPS process in nuclear collision can help understand parton structure in nucleus and its geometry
- Simple DPS cross section can be formulated in purely geometric approach



$$\sigma_{pA \rightarrow ab}^{\text{DPS}} = \sigma_{pA \rightarrow ab}^{\text{DPS},1} + \sigma_{pA \rightarrow ab}^{\text{DPS},2}$$



$$\sigma_{\text{DPS,pPb}} = \left(\frac{1}{2} \right) \frac{\sigma_{\text{SPS}}^{\text{pPb} \rightarrow J/\psi + X} \sigma_{\text{SPS}}^{\text{pPb} \rightarrow J/\psi + X}}{\sigma_{\text{eff,pPb}}}$$

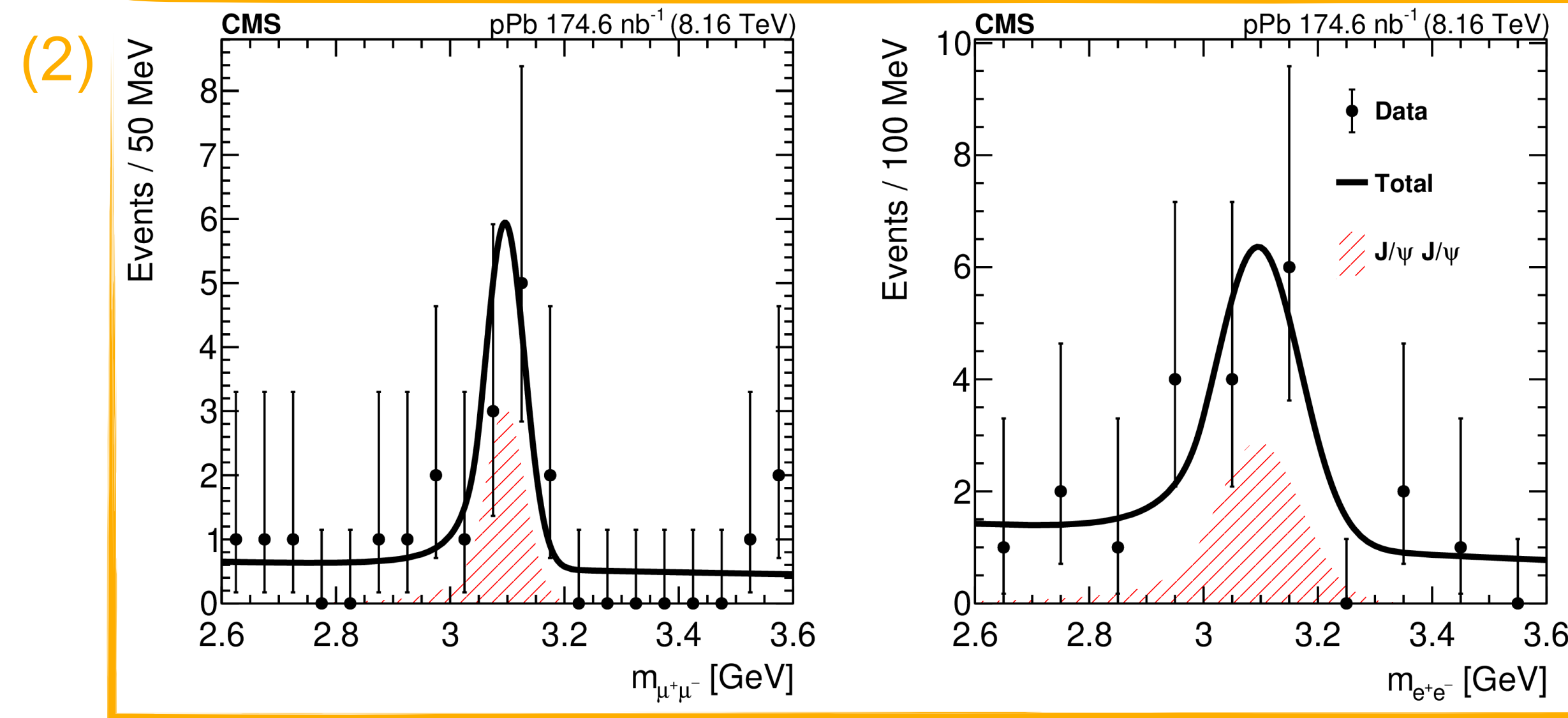
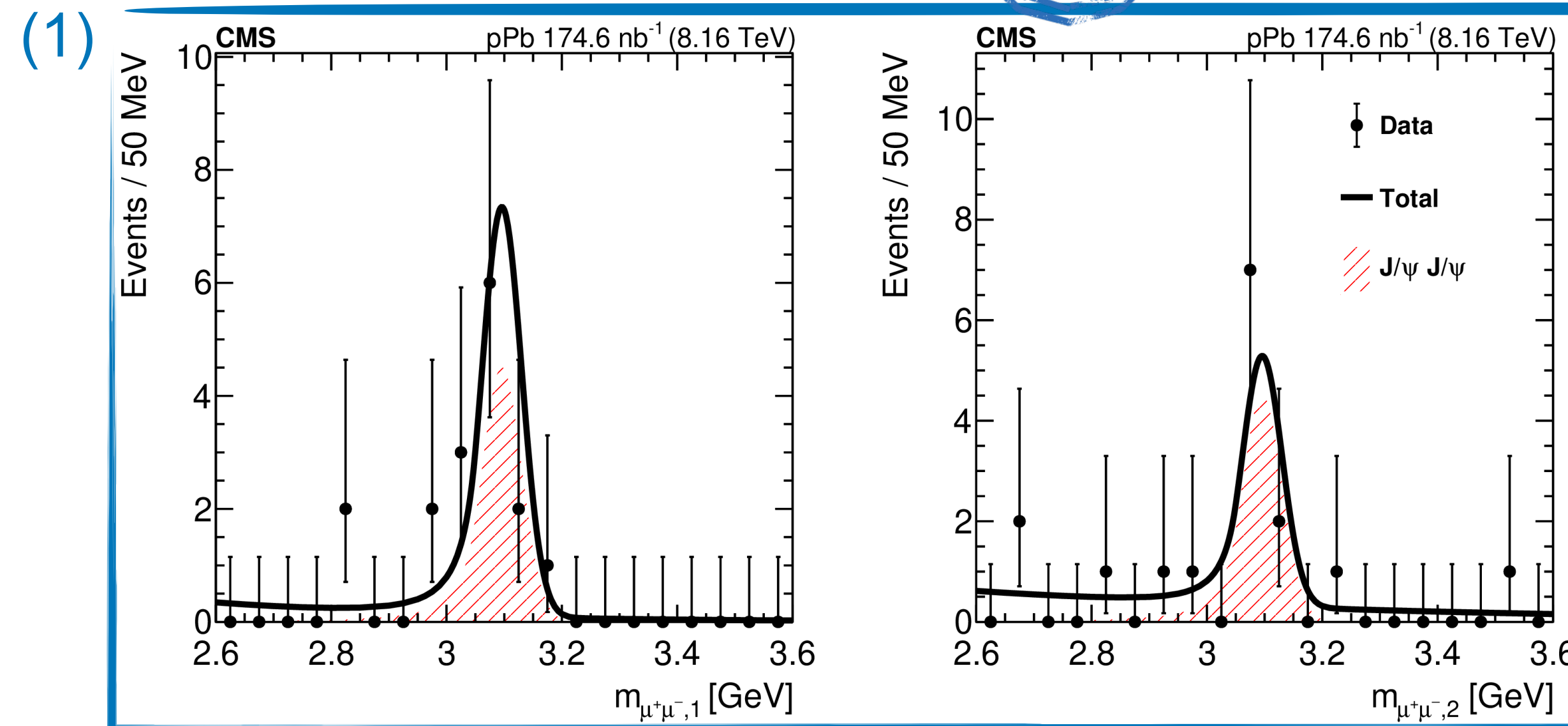
(General expression in [1])

(Serves as (inter parton distance)²)

This work: extracting $\sigma_{\text{eff,pPb}}$ from di- J/ψ measurement

Double J/ψ production in pPb

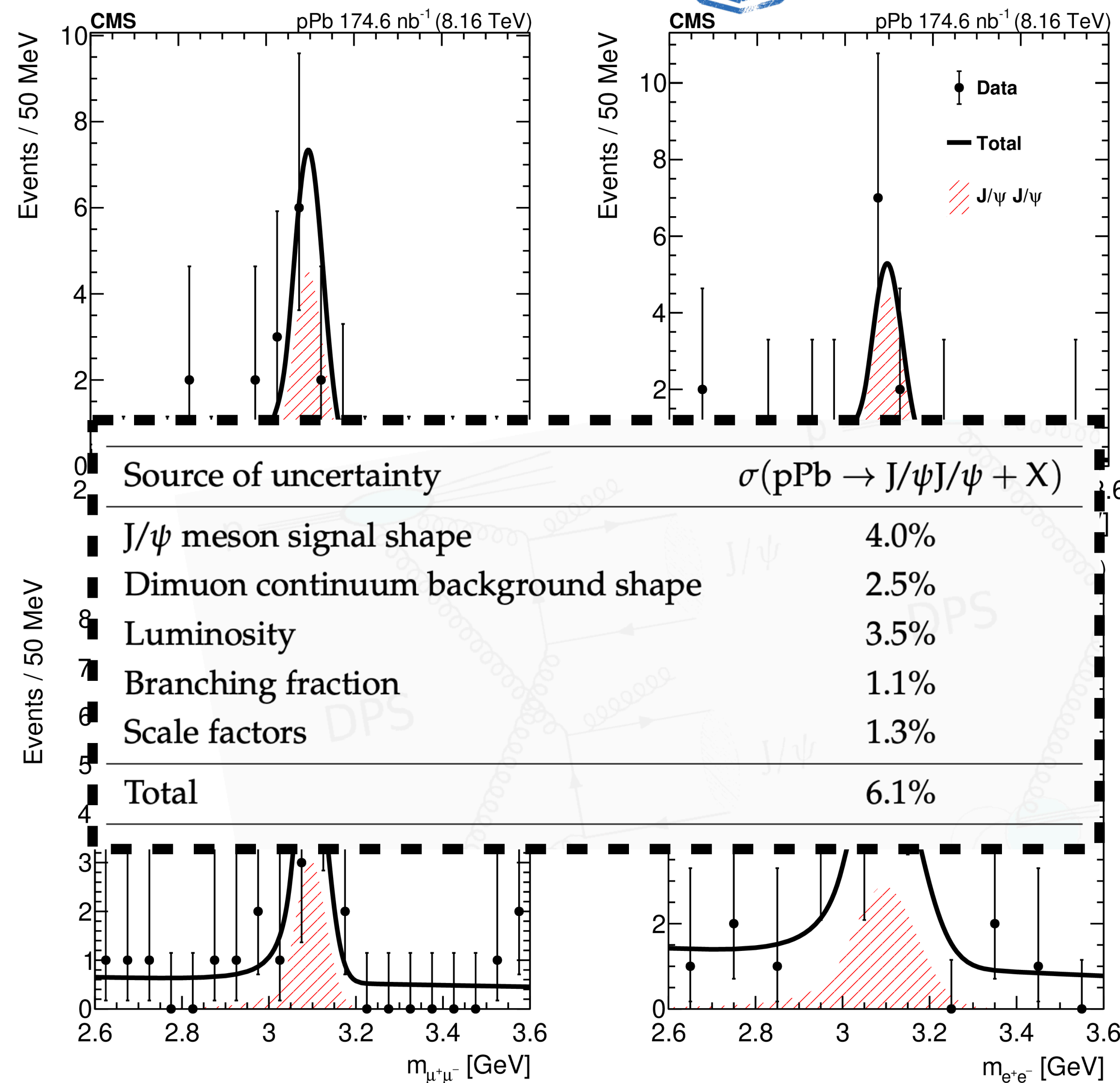
- pPb (Pbp) $\sqrt{s_{NN}} = 8.16$ TeV data collected in 2016
- Integrated luminosity: 174.56 nb^{-1}
- Leptonic decay channels of J/ψ considered
 - $J/\psi + J/\psi \rightarrow 4\mu$ (1)
 - $J/\psi + J/\psi \rightarrow \mu\mu + ee$ (2)
- 2D fit on mass distribution to extract signal
 - (1) : 8.5 ± 3.4 (stat.)
 - (2) : 5.7 ± 4.0 (stat.)
- Combined with Fischer formalism, signal 5.3σ !



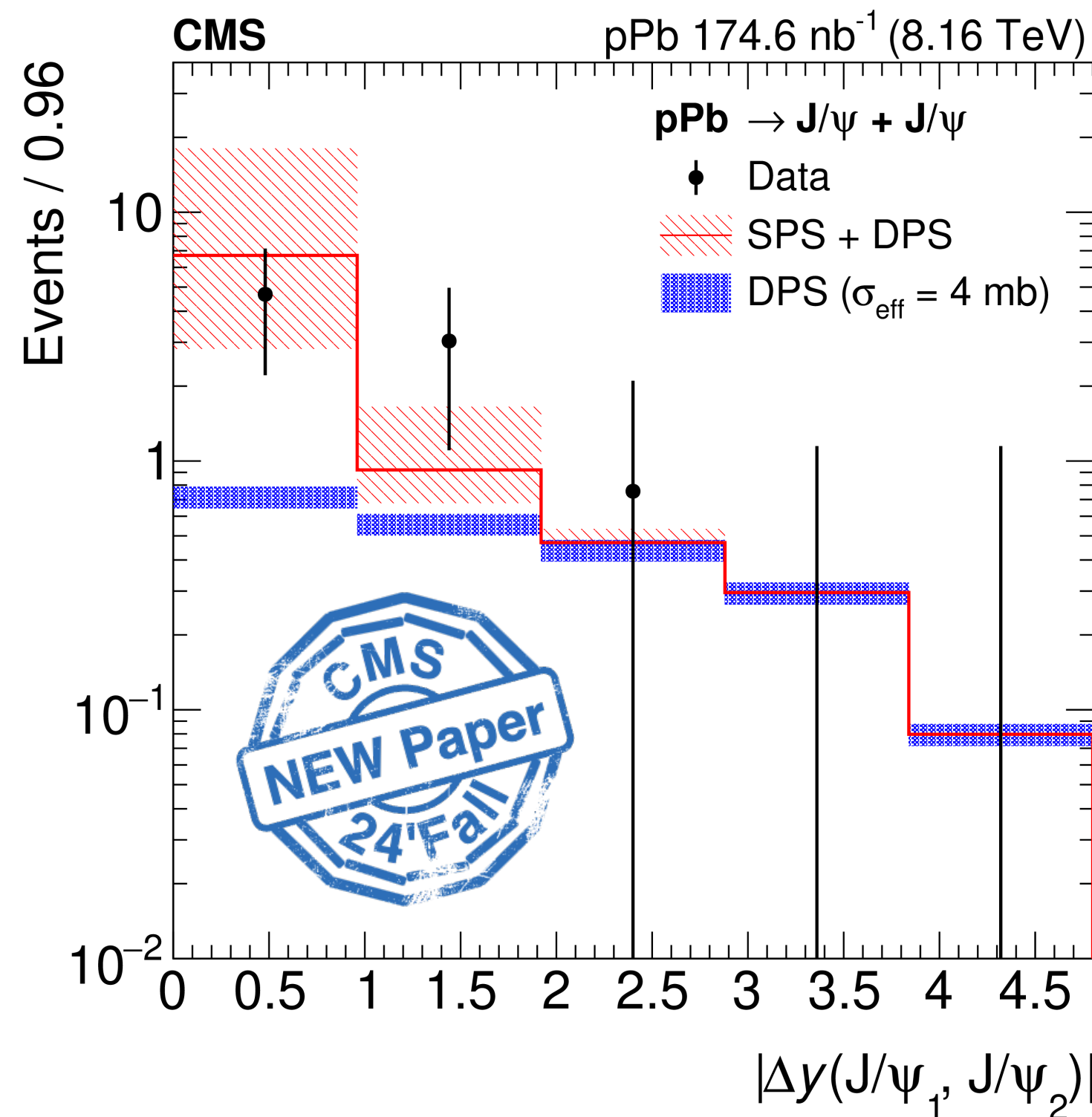
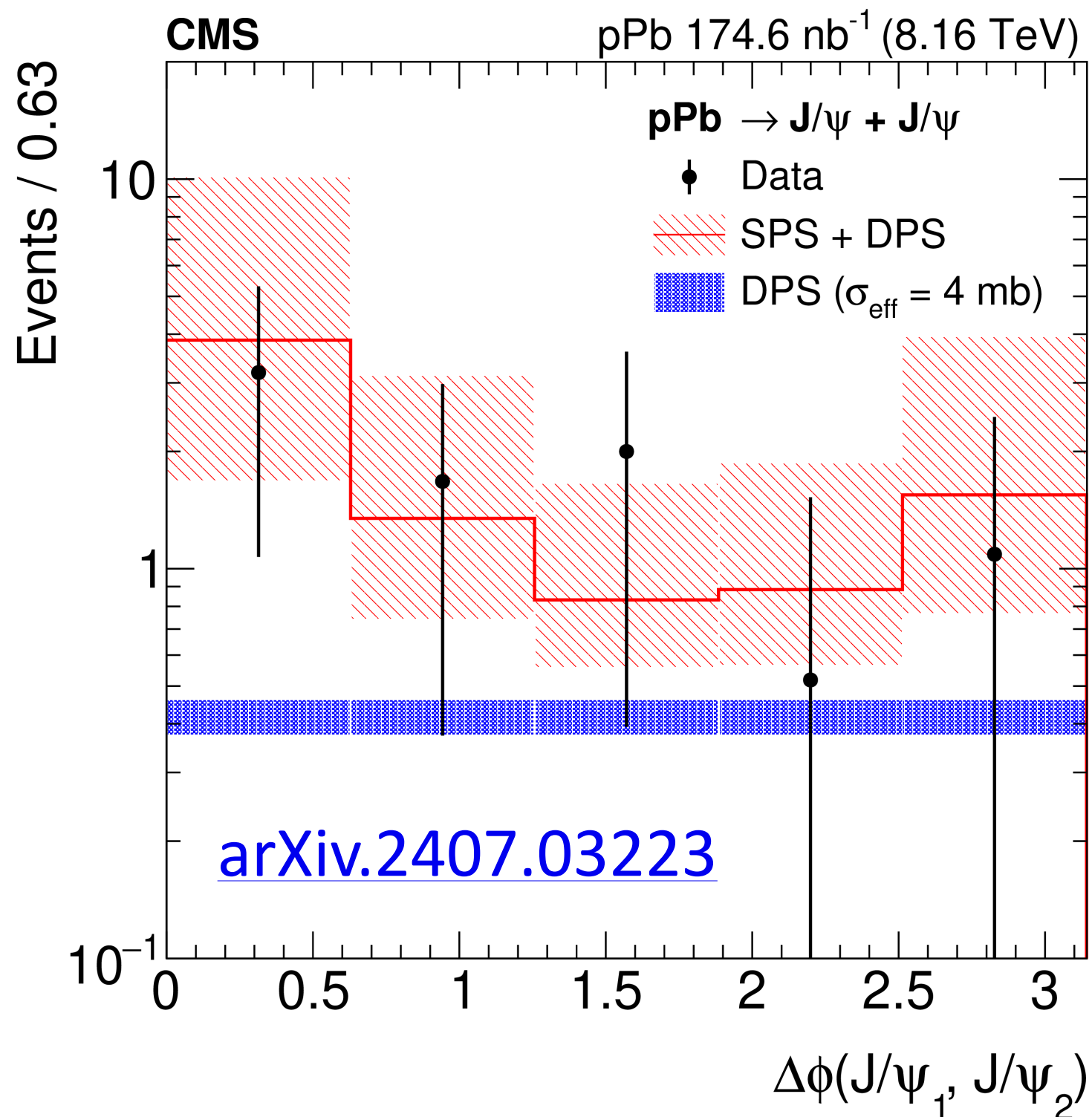
• J/ψ mesons $p_T > 6.5 \text{ GeV}$ and $|y| < 2.4$

Double J/ψ production in pPb

- pPb (Pbp) $\sqrt{s_{NN}} = 8.16$ TeV data collected in 2016
- Integrated luminosity: 174.56 nb^{-1}
- Leptonic decay channels of J/ψ considered
 - $J/\psi + J/\psi \rightarrow 4\mu$ (1)
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- 2D fit on mass distribution to extract signal
 - (1) : 8.5 ± 3.4 (stat.)
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- Combined with Fischer formalism, signal 5.3σ !
- Total systematic 6.1 %



Extracting σ_{eff}



- SPS/DPS signal extracted from template fits

- $N_{\text{SPS}} = 6.4 \pm 4.2$

- $N_{\text{DPS}} = 2.1 \pm 2.4$

- Fiducial σ (nb) (see back up)

- $\sigma_{\text{SPS}}^{\text{pPb} \rightarrow \text{J}/\psi \text{J}/\psi + \text{X}} = 16.5 \pm 10.8 \text{ (stat)} \pm 0.1 \text{ (syst)}$

- $\sigma_{\text{DPS}}^{\text{pPb} \rightarrow \text{J}/\psi \text{J}/\psi + \text{X}} = 5.4 \pm 6.2 \text{ (stat)} \pm 0.4 \text{ (syst)}$

Theoretical prediction of SPS σ from HELAC-ONIA + CT14nlo PDF, reweighted to EPPS16 nPDF

| Process | Theoretical cross section |
|--|----------------------------------|
| $\sigma_{\text{SPS}}^{\text{pPb} \rightarrow \text{J}/\psi + \text{X}} \mathcal{B}(\text{J}/\psi \rightarrow \mu^+ \mu^-)$ | $4.51 \pm 0.42 \mu\text{b}$ |
| $\sigma_{\text{SPS}}^{\text{pPb} \rightarrow \text{J}/\psi \text{J}/\psi + \text{X}} \mathcal{B}^2(\text{J}/\psi \rightarrow \mu^+ \mu^-)$ | $20.2_{-13.1}^{+38.5} \text{pb}$ |

$$\sigma_{\text{DPS,pPb}} = \left(\frac{1}{2} \right) \frac{\sigma_{\text{SPS}}^{\text{pPb} \rightarrow \text{J}/\psi + \text{X}} \sigma_{\text{SPS}}^{\text{pPb} \rightarrow \text{J}/\psi + \text{X}}}{\sigma_{\text{eff,pPb}}}$$

- Extracted DPS cross section correspond to $\sigma_{\text{eff,pPb}} = 0.53_{-0.2}^{+\infty} \text{ b}$

- Data compatible with SPS only

Result

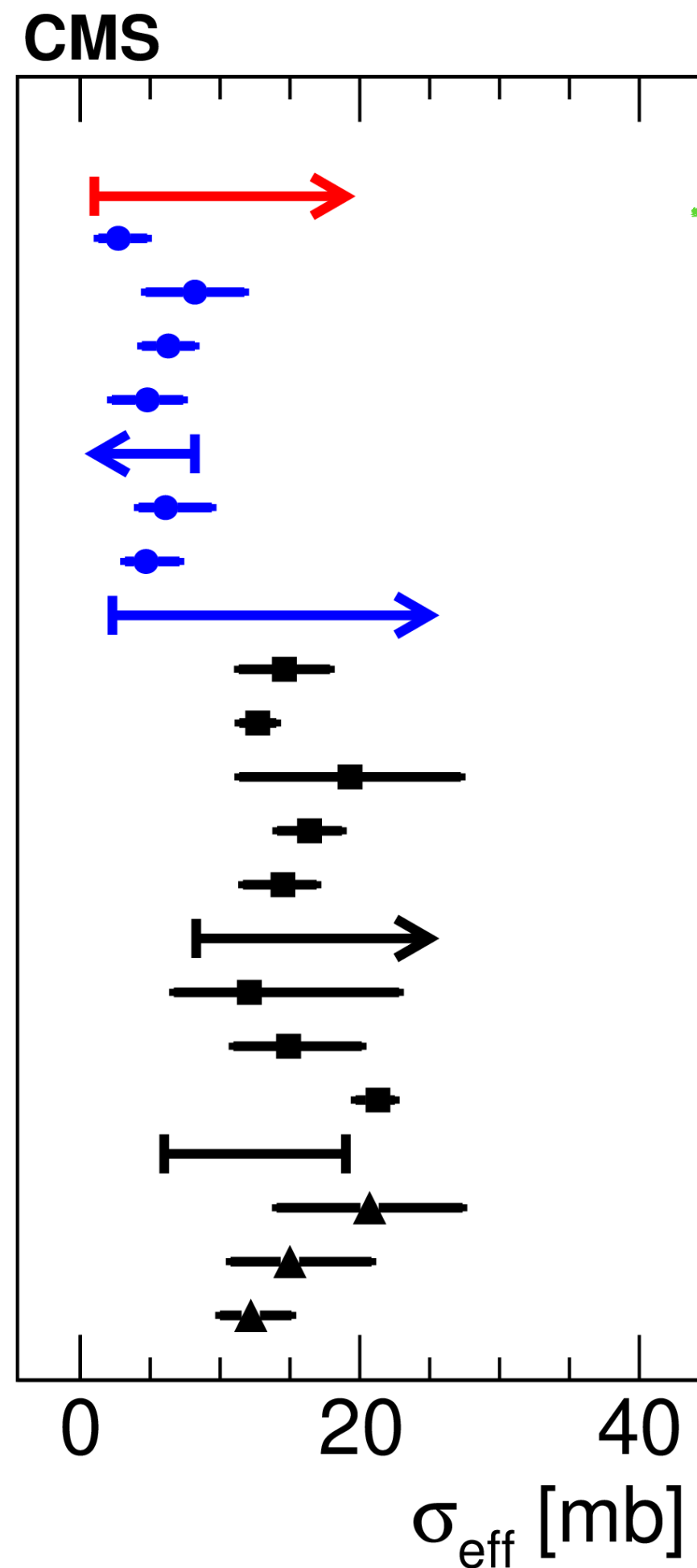


- Equivalent pp σ_{eff} extracted assuming purely geometric effect (no parton correlation)

$$\sigma_{\text{eff}} = \frac{\sigma_{\text{eff,pA}}}{A - \sigma_{\text{eff,pA}} F_{\text{pA}}/A}$$

- $A = 208, F_{\text{pA}} = 29.5 \text{ mb}^{-1}$ (from Glauber MC)

- $\sigma_{\text{eff}} = 4.0_{-1.5}^{+\infty} \text{ mb} \rightarrow \sigma_{\text{eff}} > 1.0 \text{ mb at 95 \% C.L.}$



pPb \rightarrow J/ ψ +J/ ψ , $\sqrt{s_{\text{NN}}}$ =8.16 TeV, **CMS** (this work) [arXiv.2407.03223](https://arxiv.org/abs/2407.03223)
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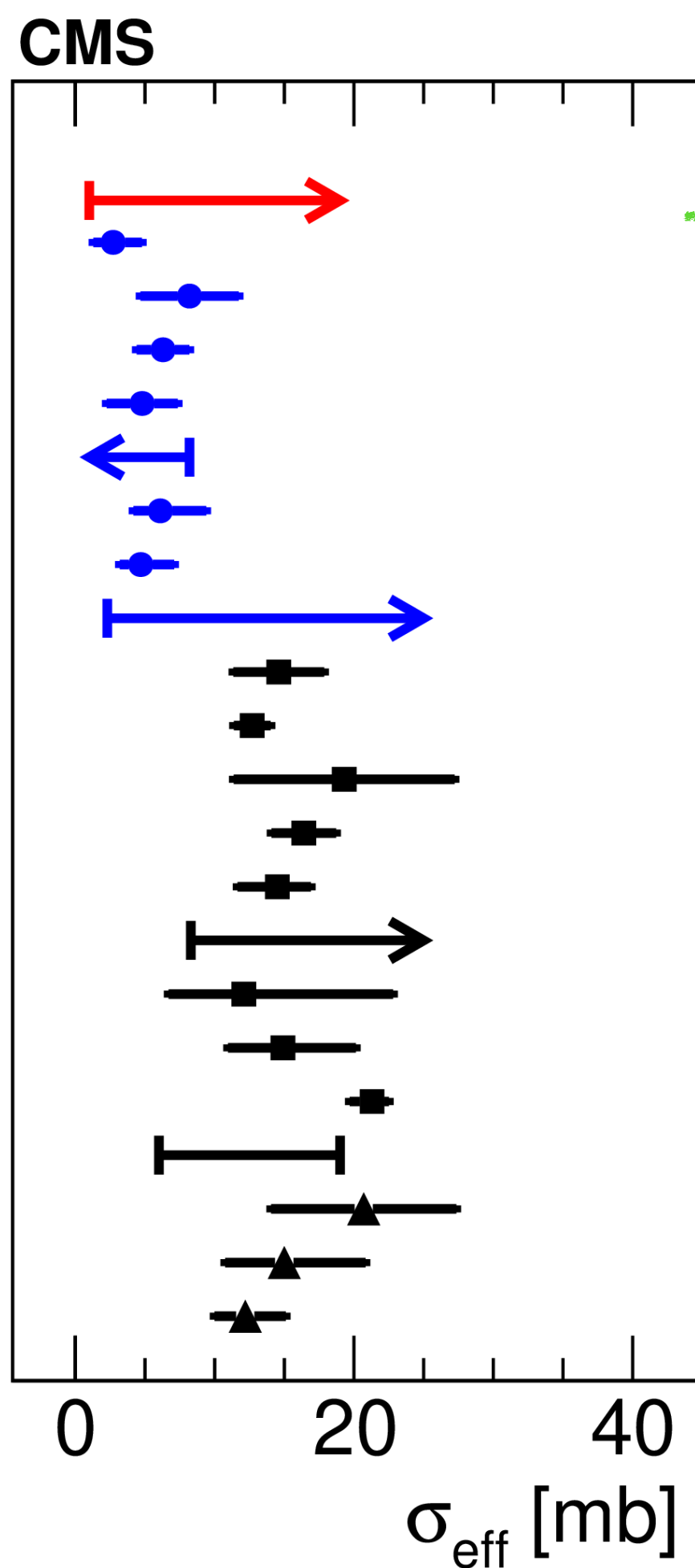
Result



- Equivalent pp σ_{eff} extracted assuming purely geometric effect (no parton correlation)

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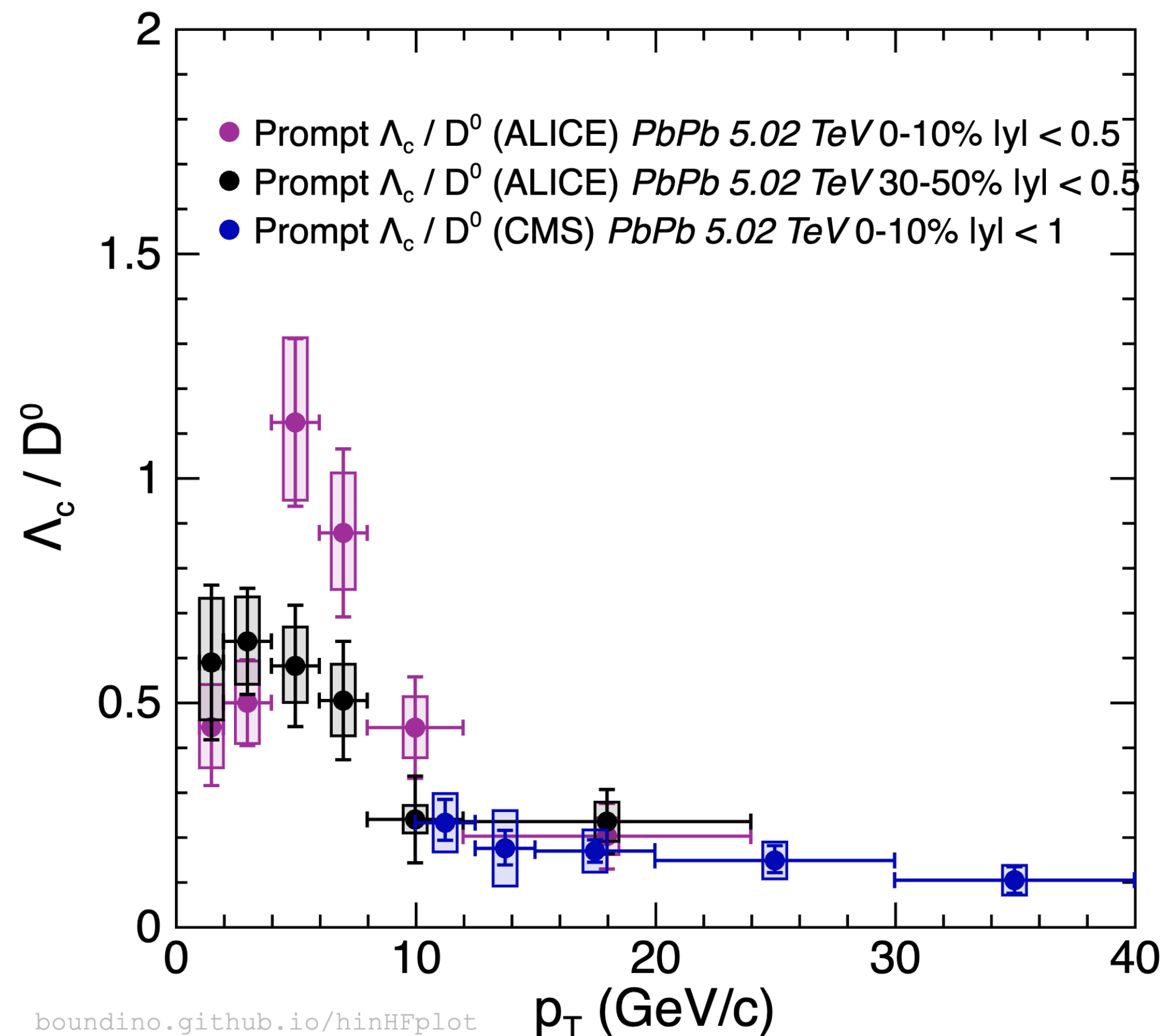
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- $\sigma_{\text{eff}} = 4.0^{+\infty}_{-1.5} \text{ mb} \rightarrow \sigma_{\text{eff}} > 1.0 \text{ mb at } 95 \% \text{ C.L.}$
- Compatible with pp di-quarkonium results
 - Difference between measurement suggest DPS may depend on flavor/final state
 - Difference from nuclear gluon PDF?



pPb \rightarrow J/ ψ +J/ ψ , $\sqrt{s_{\text{NN}}}=8.16 \text{ TeV}$, **CMS** (this work) [arXiv.2407.03223](https://arxiv.org/abs/2407.03223)
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Probing final state charm production via baryon to meson ratio

- Λ_c^+ over D^0 ratio measured to study hadronization mechanism
- Enhancement of baryon in central PbPb collision, coalescence in action
- Study baryon to meson ratio in high multiplicity pPb collision for final state modification in small system!



[PLB 839 \(2023\) 137796](#)

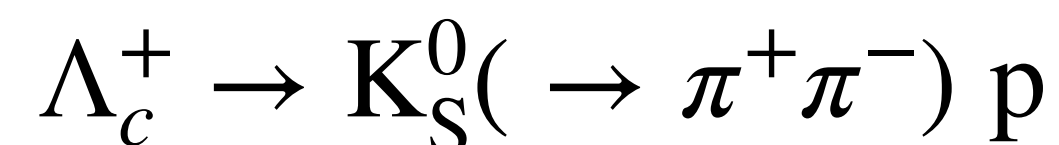
[JHEP 01 \(2024\) 128](#)

Analysis method

- CMS 8.16 TeV pPb (Pbp) collision data from 2016
- **Measured produced baryon to meson ratio Λ_c^+ / D^0 in spectrum of p_T and reconstructed track multiplicity ($N_{\text{trk}}^{\text{offline}}$)**

Analyzed Λ_c^+ and D^0 (and their charge conjugate)

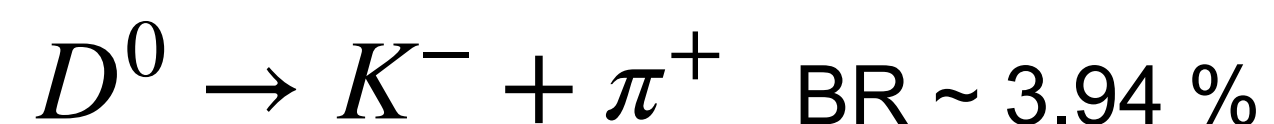
Reconstruction of Λ_c^+ from decay mode



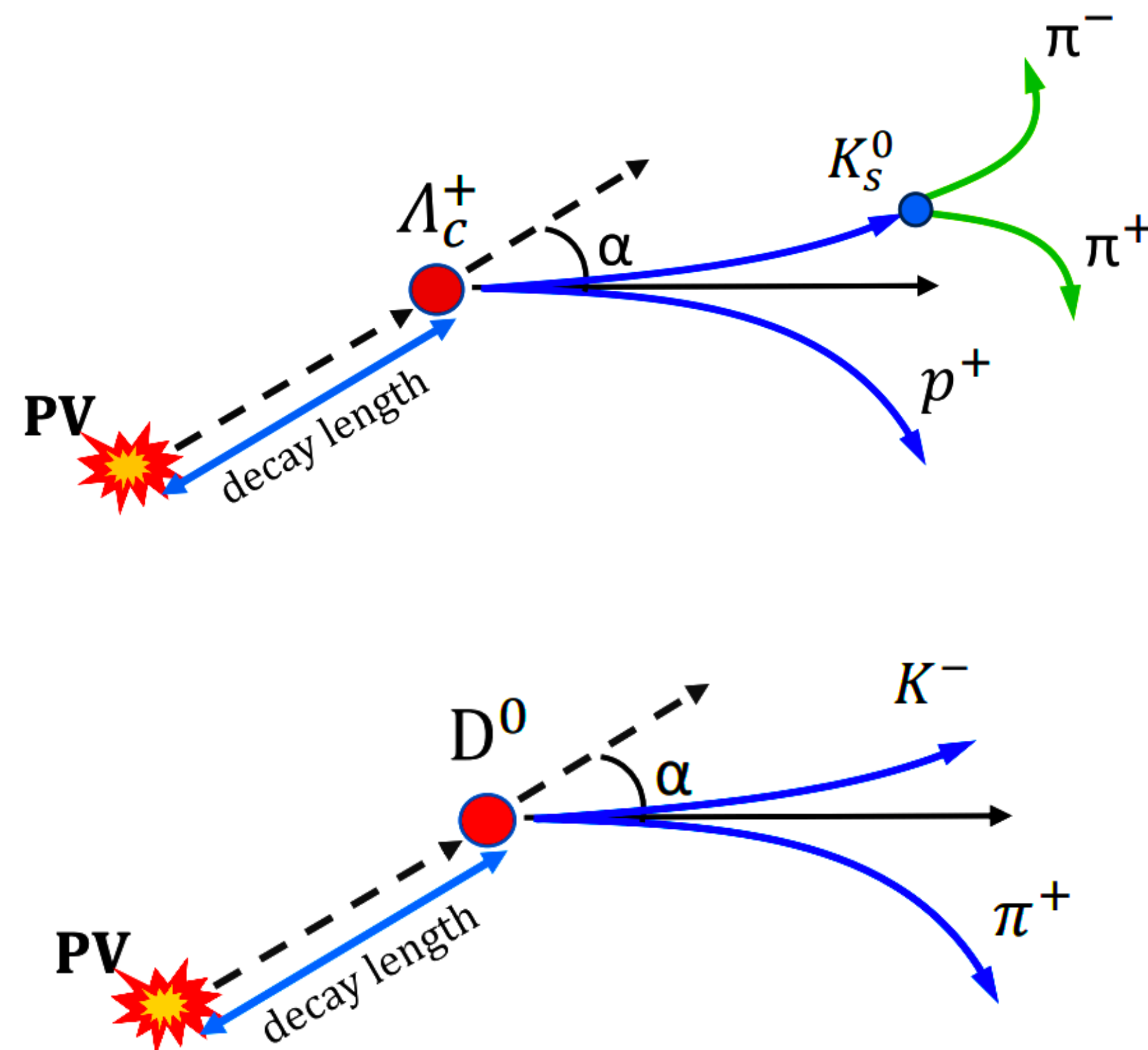
BR \sim 1.59 % ($K_S^0 \rightarrow \pi^+ \pi^-$ BR \sim 69.2 %)

Signal enhanced via MLP leveraging candidate kinematics and proton dE/dx

Reconstruction of D^0 from decay mode

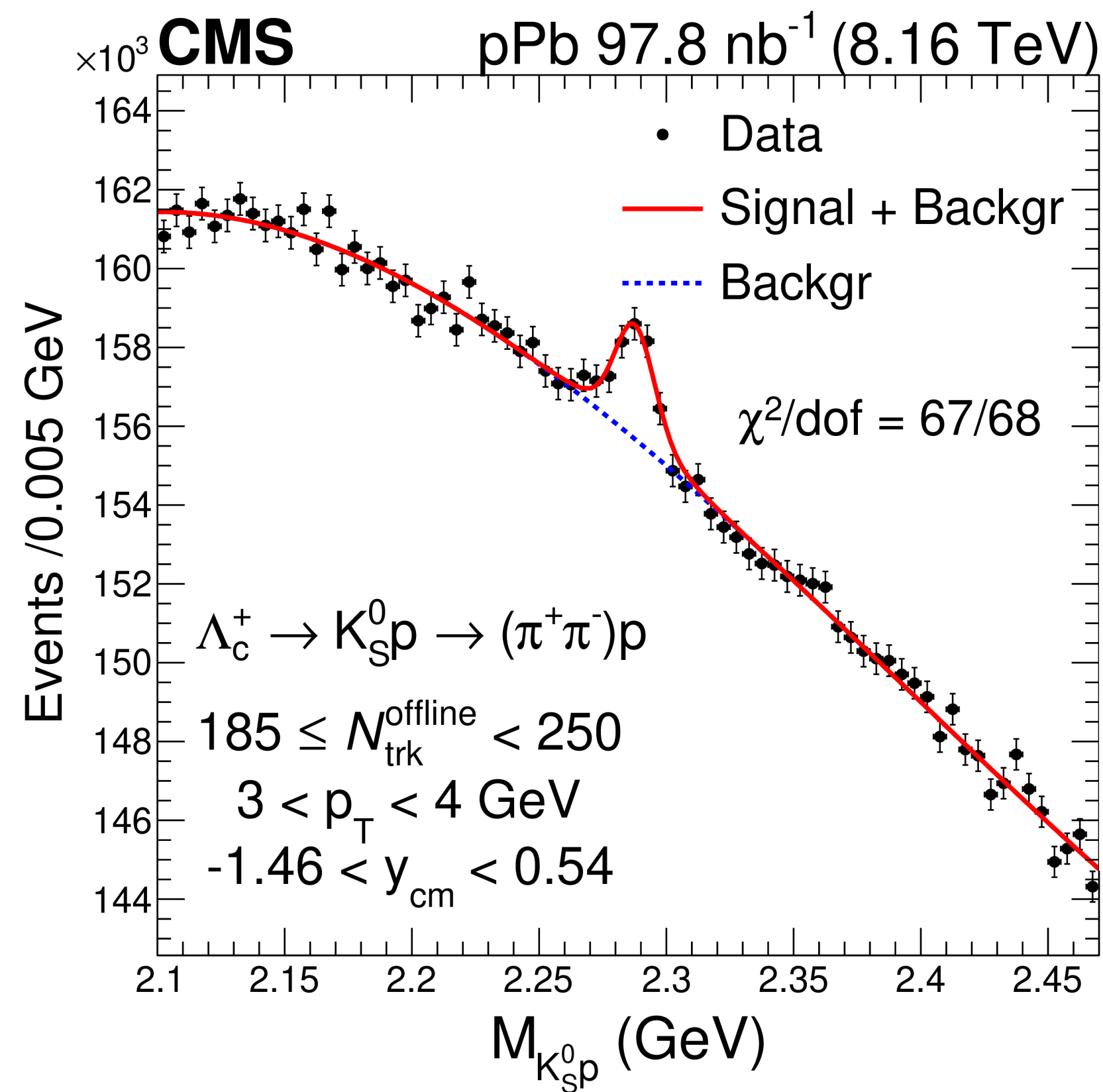
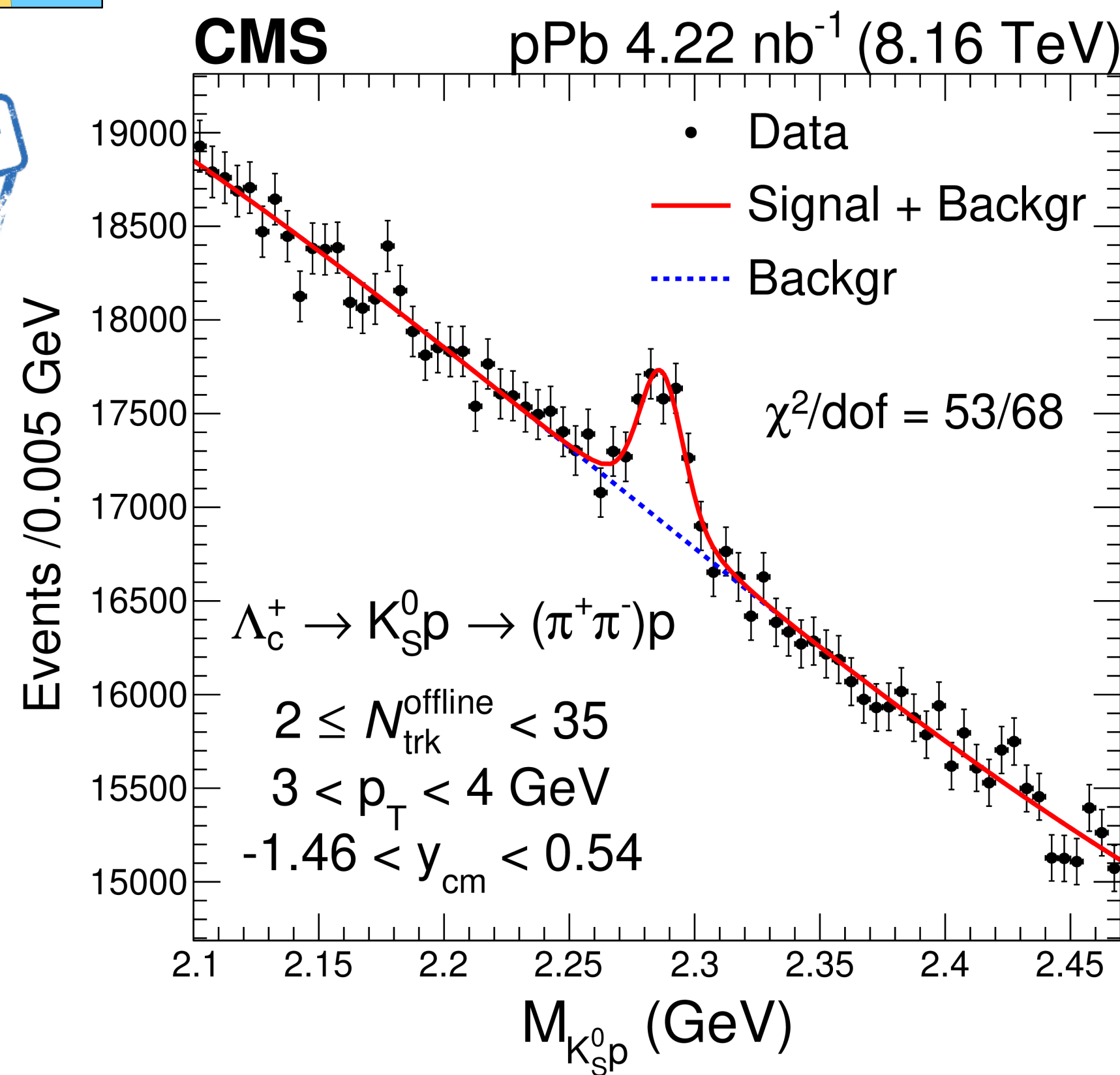


Signal enhanced via BDT



- Candidates built from all possible n-track combinations

Λ_c^+, D^0 Signal extraction



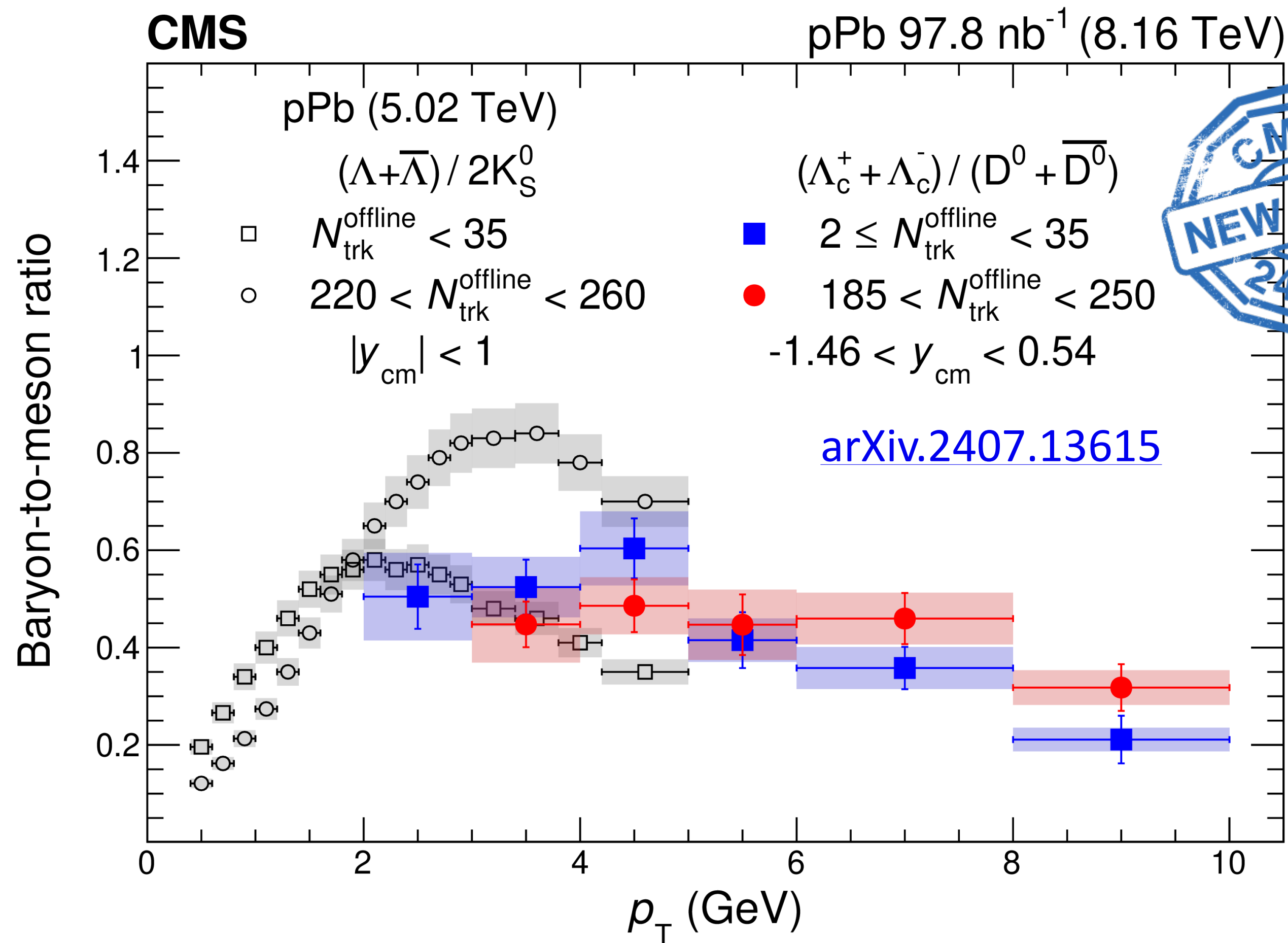
[arXiv.2407.13615](https://arxiv.org/abs/2407.13615)
(Submitted to PLB)

| Systematic uncertainty | | |
|------------------------|-------------------|-------------|
| Source | Λ_c^+ (%) | D^0 (%) |
| Selections | 2–6 | 1–5 |
| Fit | 6–28 | <2 |
| b feed-down | 3–11 | <15 |
| Tracking efficiency | 7 | 5 |
| Pileup effects | 3–6 | <1 |
| Branching fraction | 5 | 1 |
| Total | 10–32 | 5–16 |

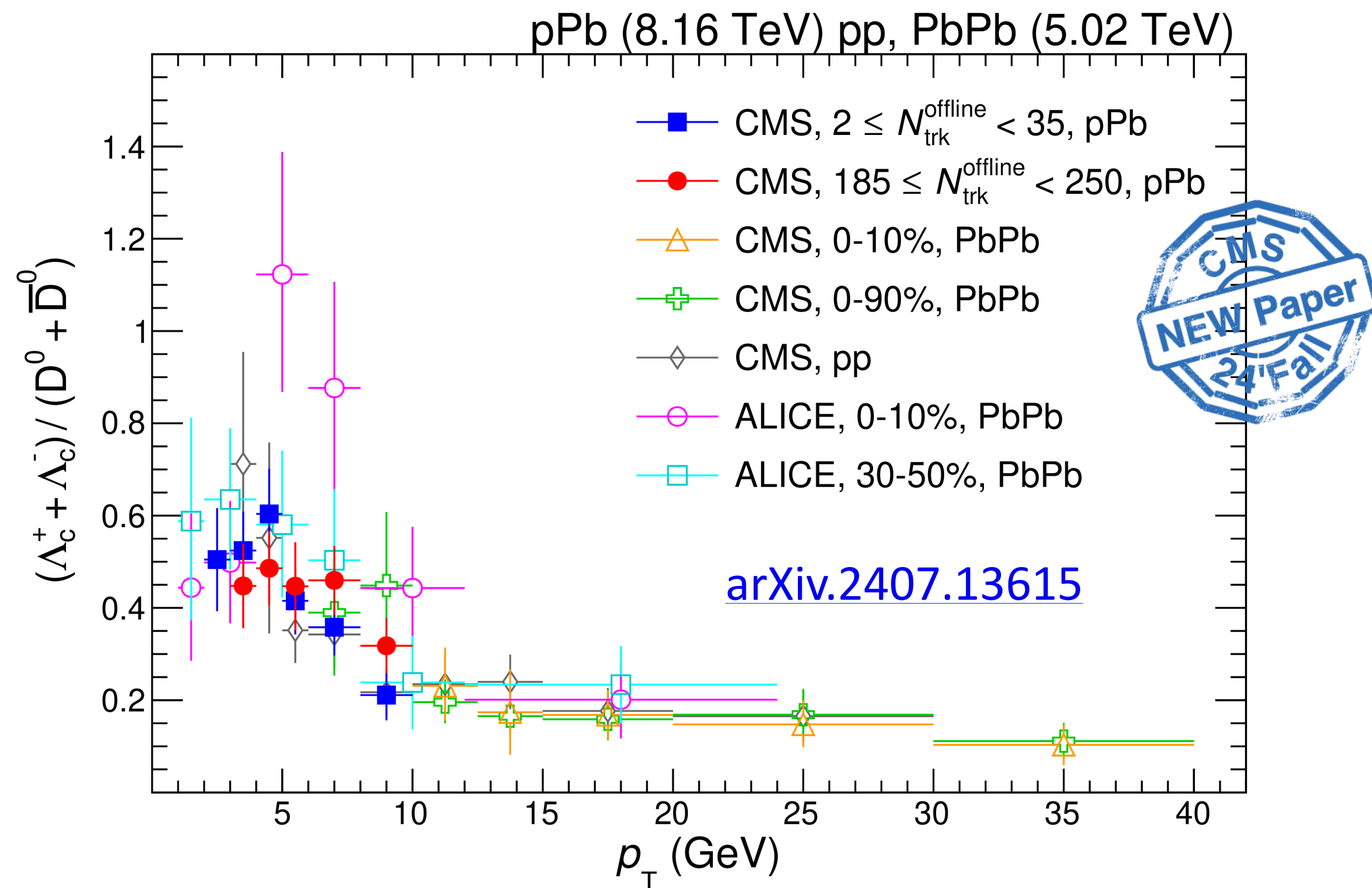
- Selected Λ_c^+ candidates with $|M_{\pi^+\pi^-} - M_{K_S^0}| < 0.02 \text{ GeV}$, $|M_{K_S^0 p} - M_{\Lambda_c^+}| < 0.2 \text{ GeV}$, $|M_{K^-\pi^+} - M_{D^0}| < 0.2 \text{ GeV}$ for D^0
- Raw yield extracted from extended maximum likelihood fit.
- Λ_c^+ prompt fraction (f^{prompt}) estimated from FONLL + LHCb Λ_b^0 data (A scaled)
- DCA based template fit to extract f^{prompt} for D^0 result

Results

$$\text{Differential yield} = \frac{f^{\text{prompt}} N^{\text{sig}}}{2\alpha\epsilon\Delta p_T} \frac{1}{\mathcal{B}}$$

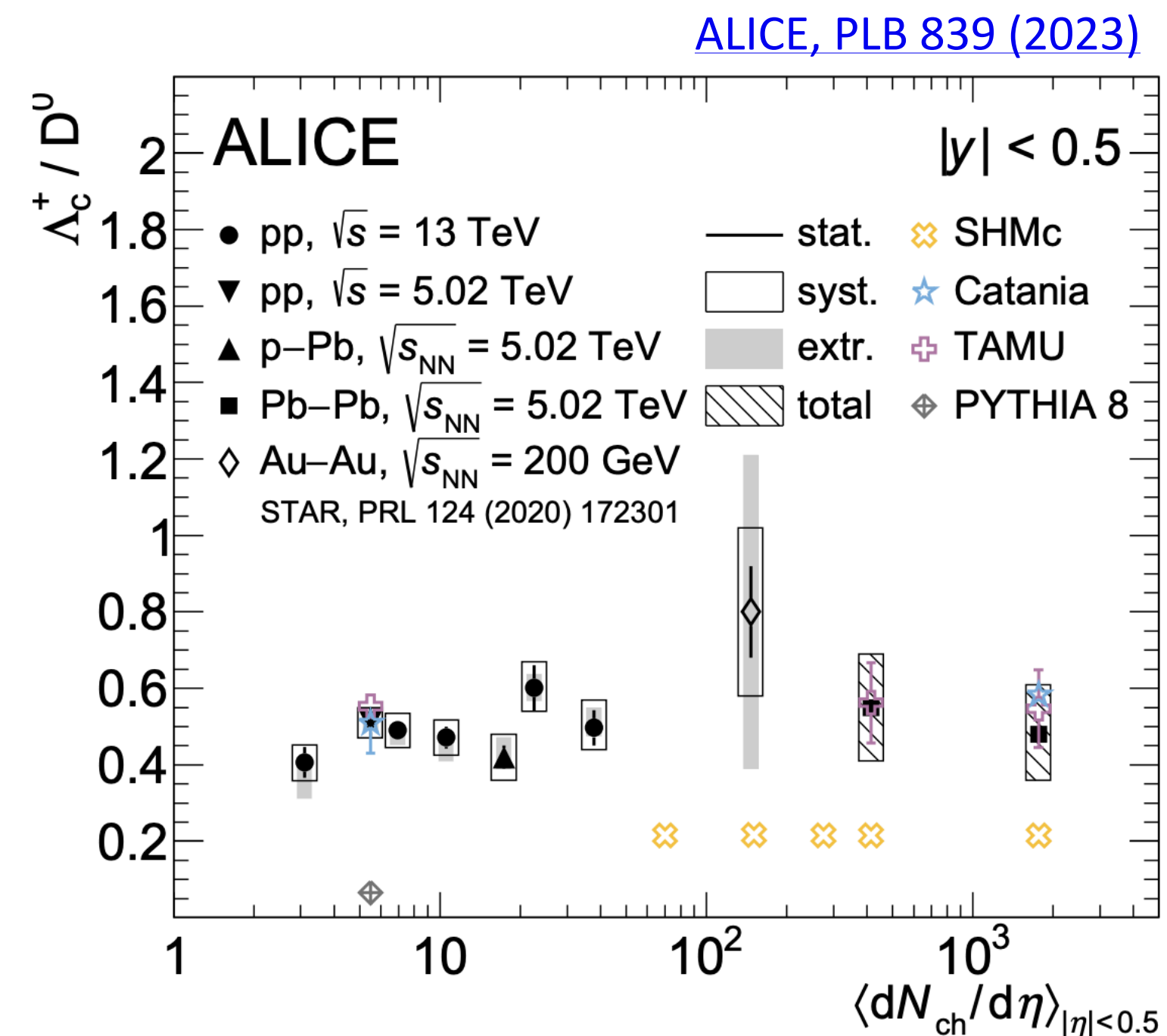
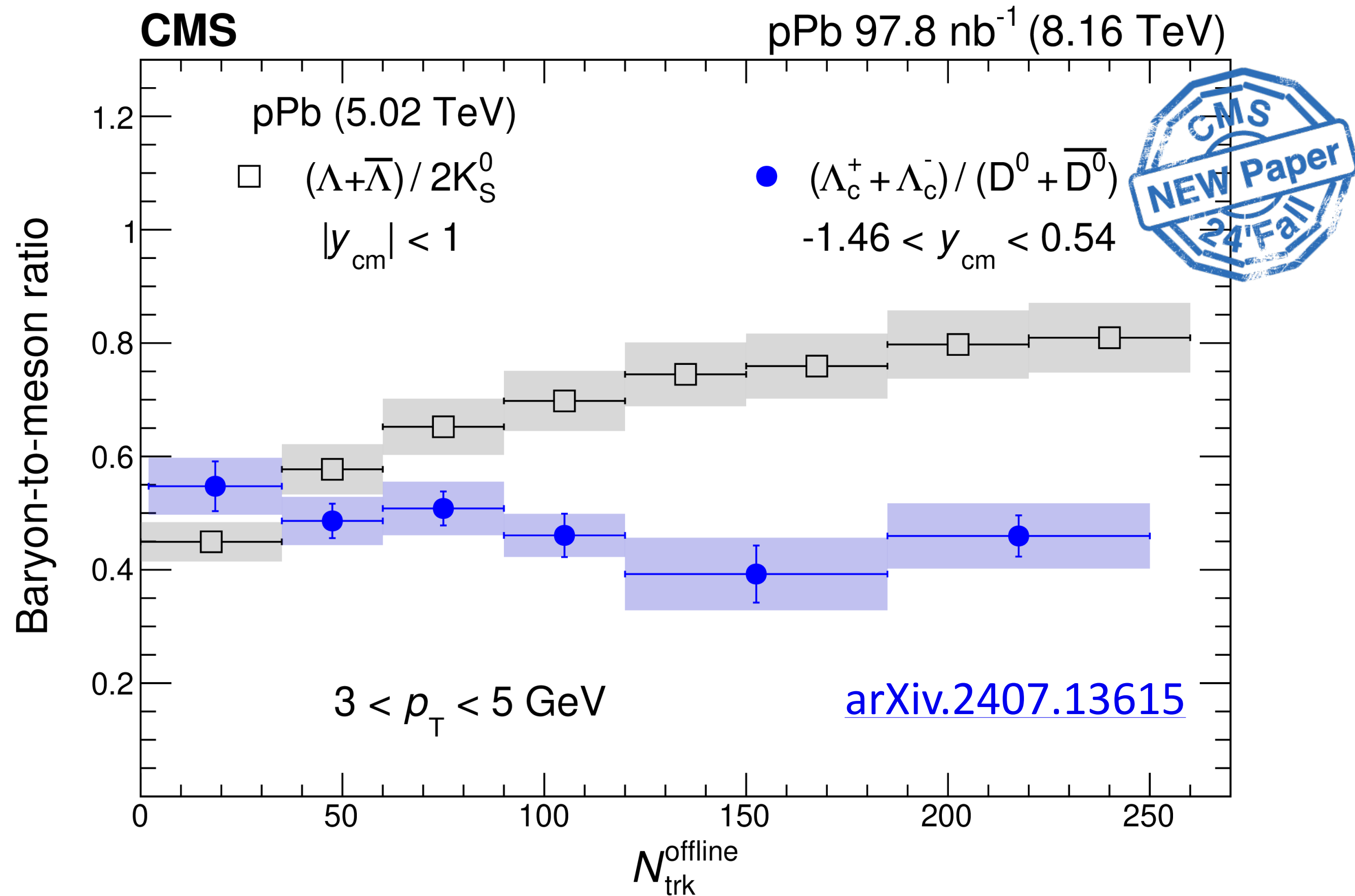


- Ratios of high and low multiplicity result compatible, decreasing with increasing p_T
- Clear difference in trend for 3 GeV compared to strange sector → flavor dependent mechanism in roll

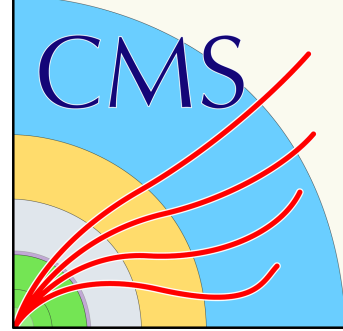


- High multiplicity pPb collision data comparable to semi-central PbPb
- Also comparable to pp, large deviation seen only in central PbPb collision
- Ratio converges to same slope in higher $p_T \rightarrow$ less sensitivity to surrounding for fast escaping particles

Results



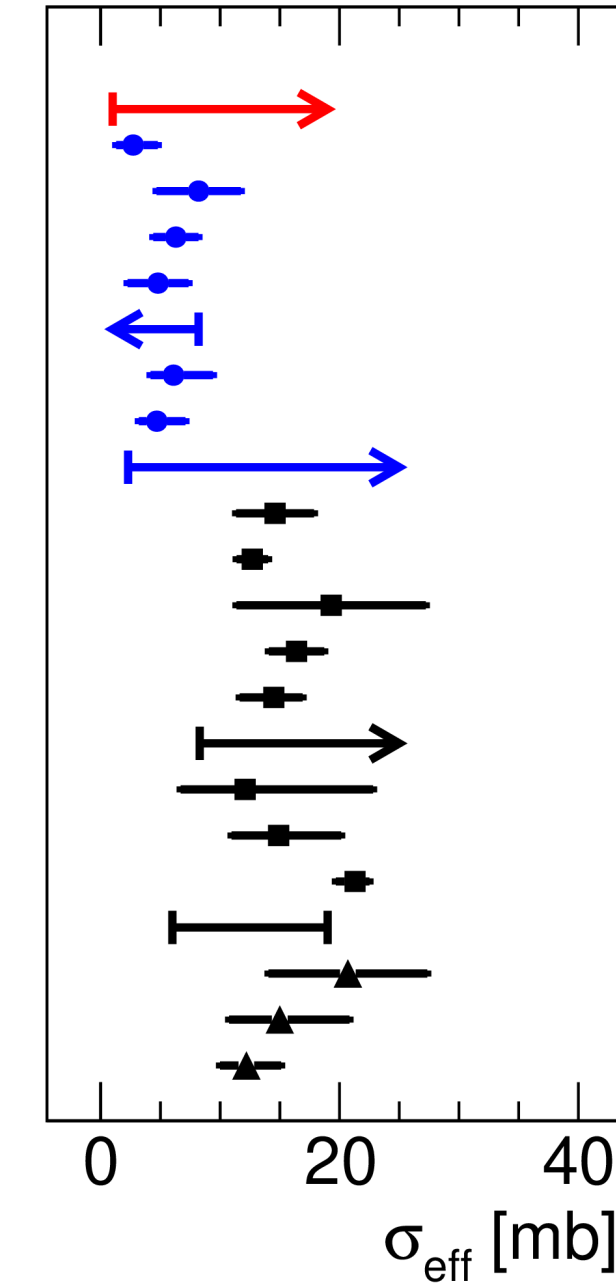
- Comparison of ratio from strange sector show flatter trend for charm consistent with ALICE result
- Different hadronization timing (earlier for HF)?



Summary

- Associated production of charmonium via $J/\psi + J/\psi$
- Independent extraction of σ_{eff} , compatible with pp result
 - Room for improvement with better statistical power
- Heavy quark hadronization in dense final state?
 - Coalescence maybe only in scene for c quark in central nuclear collision
 - Recent data suggest hadronization in action in earlier stage than light quarks

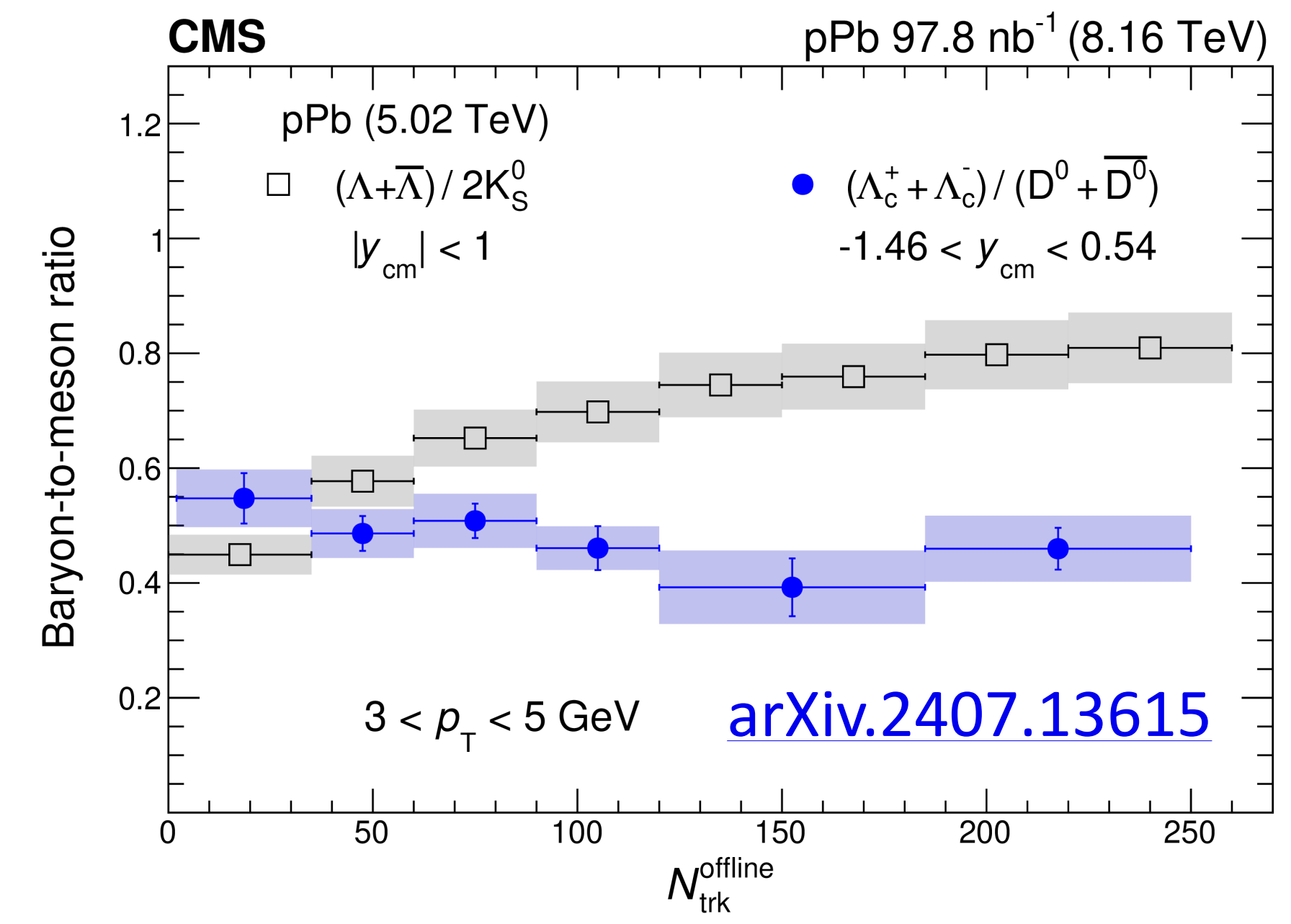
CMS



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arXiv.2407.03223

CMS



pPb 97.8 nb⁻¹ (8.16 TeV)

arXiv.2407.13615

Thank you

Future works

- LHCb study on associated D^0 production in pPb measures $\sigma_{\text{eff},pp}$
- Limit for pure geometric approach
 - J/ψ - D^0 vs. D^0D^0 , forward/backward difference
- Another way of probing transverse gluon PDF (shadowing)

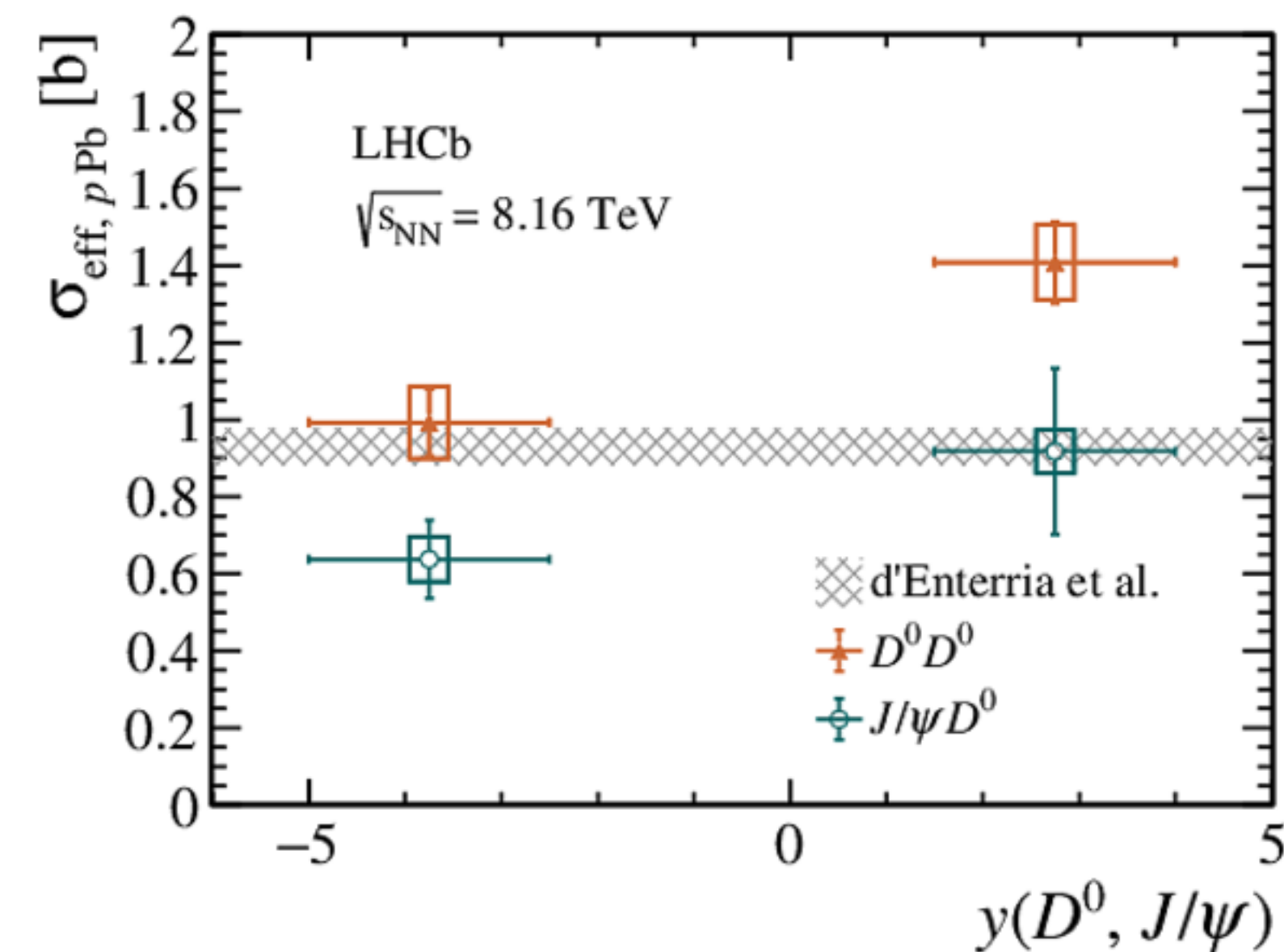
- Double heavy flavor production study in pPb collision continues ..

Better statistical power with D^0 ?

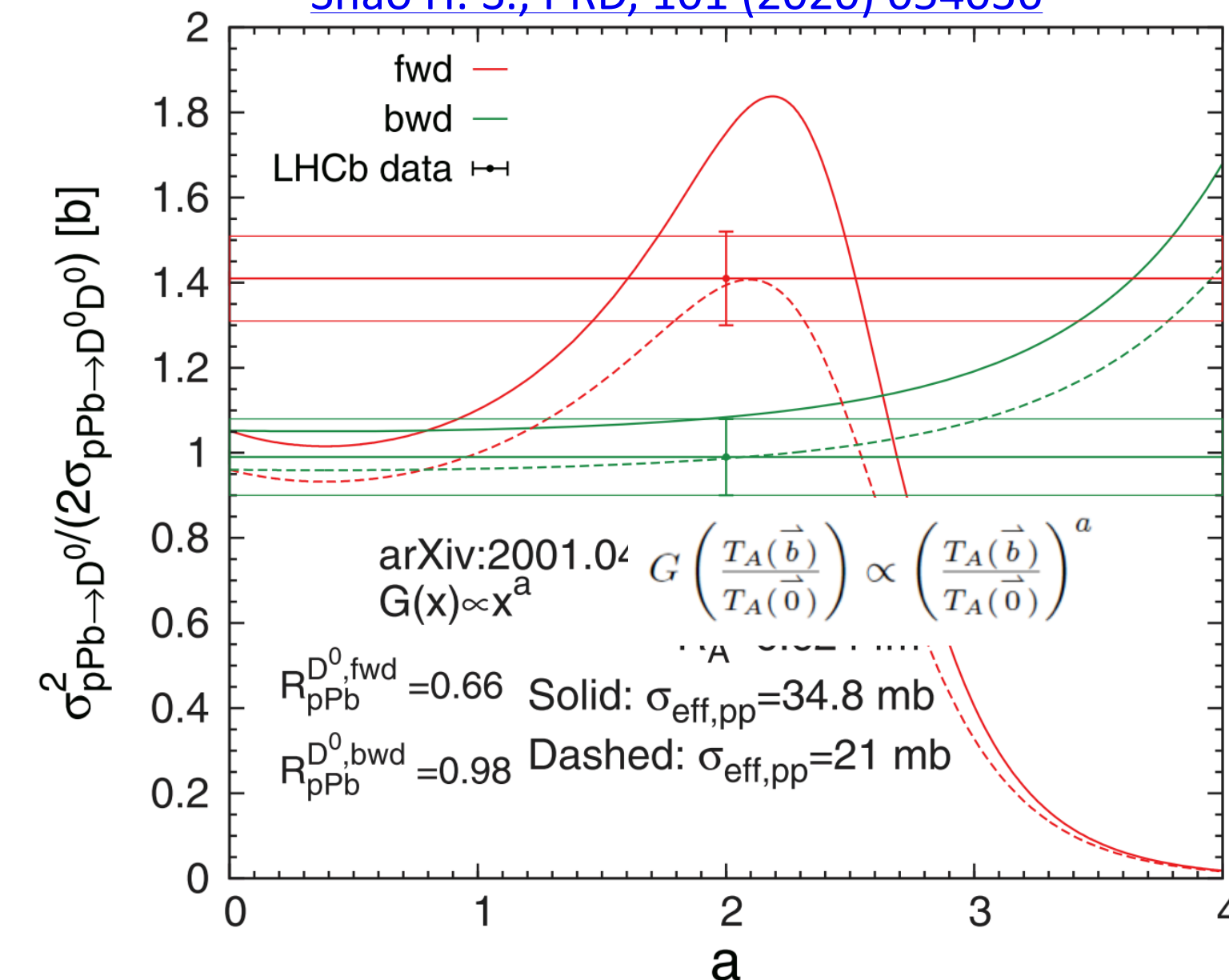
Stay tuned for future CMS results!



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Shao H.-S., PRD, 101 (2020) 054036



$$G\left(\frac{T_A(\vec{b})}{T_A(\vec{0})}\right) \propto \left(\frac{T_A(\vec{b})}{T_A(\vec{0})}\right)^a$$

Analysis fiducial region

| Particle | Fiducial requirement |
|------------------|--|
| Muons | $p_T > 3.4 \text{ GeV}$ for $ \eta < 0.3$ |
| | $p_T > 3.3 \text{ GeV}$ for $0.3 < \eta < 1.1$ |
| | $p_T > 5.5 - 2.0 \eta \text{ GeV}$ for $1.1 < \eta < 2.1$ |
| | $p_T > 1.3 \text{ GeV}$ for $2.1 < \eta < 2.4$ |
| J/ ψ mesons | $p_T > 6.5 \text{ GeV}$ and $ y < 2.4$ |

- Fiducial σ (nb)
- $\sigma_{\text{SPS}}^{\text{pPb} \rightarrow \text{J}/\psi \text{J}\psi + \text{X}} = 16.5 \pm 10.8 \text{ (stat)} \pm 0.1 \text{ (syst)}$
- $\sigma_{\text{DPS}}^{\text{pPb} \rightarrow \text{J}/\psi \text{J}\psi + \text{X}} = 5.4 \pm 6.2 \text{ (stat)} \pm 0.4 \text{ (syst)}$

c vs. b

