

# Studies of beauty-quark production, hadronisation and cold nuclear matter effects in pp and p–Pb collisions with ALICE

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# Studying pQCD using heavy quarks

## Heavy quarks are excellent probes to test perturbative QCD

- Produced **early in the collision** via hard scattering processes, they experience the **full evolution** of the medium
- Large masses  $\rightarrow$  **Large squared momentum transfer,  $Q^2$** , allowing us to use perturbative QCD

Factorisation approach in pQCD calculations:

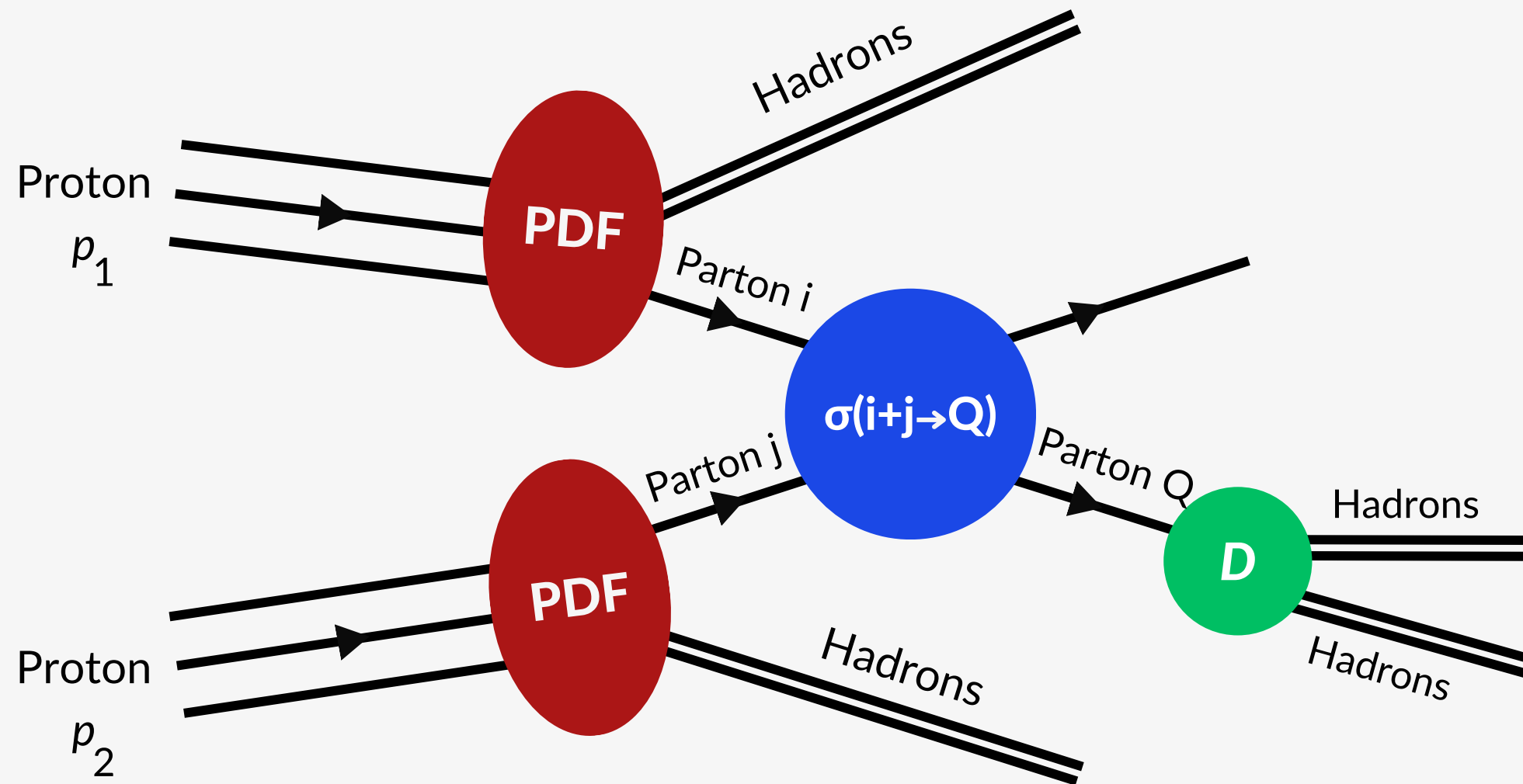
$$\frac{d\sigma^{pp \rightarrow H_Q}}{dp_T} = f_i(x_i, \mu_f^2) f_j(x_j, \mu_f^2) \otimes$$

**PDFs**

$$\frac{d\sigma^{ij \rightarrow Q}}{dp_T} \otimes D_{Q \rightarrow H_Q} \left( z_Q = \frac{p_{H_Q}}{p_Q}, \mu_f^2 \right)$$

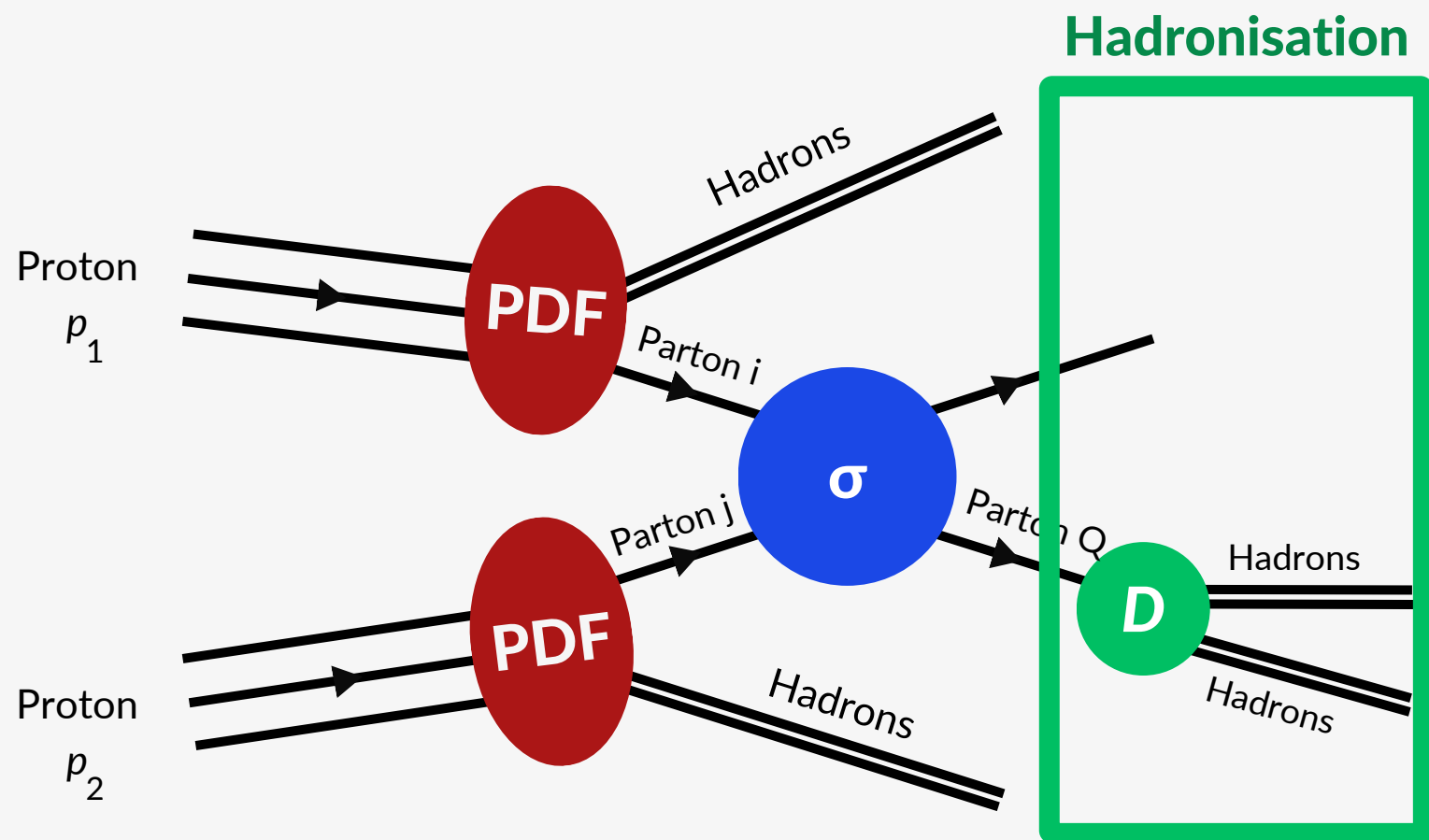
**Parton scattering cross section**

**Fragmentation function (Hadronisation)**





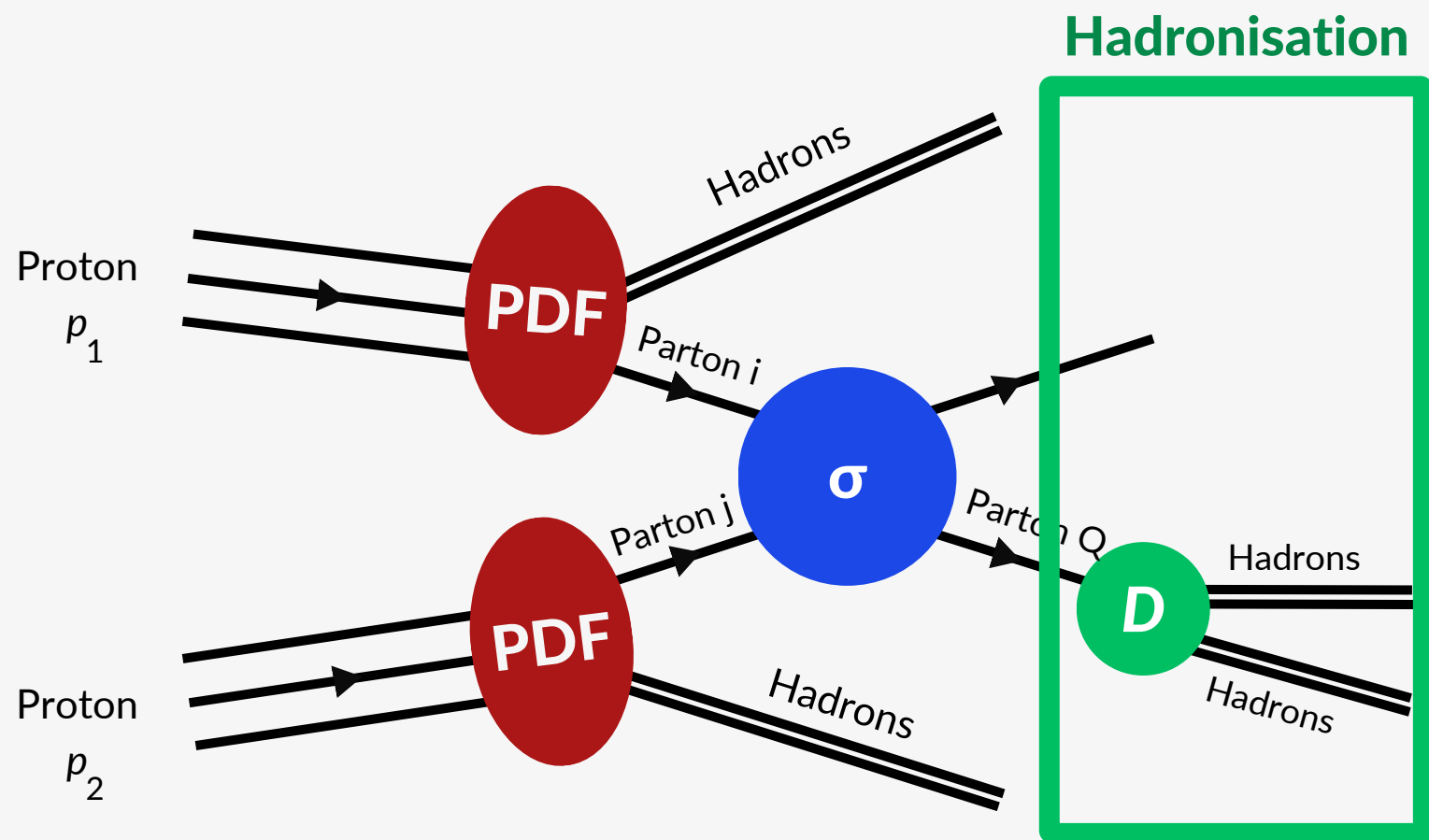
# Heavy quarks and hadronisation



- Fragmentation functions are generally considered to be **universal across collision systems**
  - Extracted from  $e^+e^-$  and  $ep$  measurements
- One way to study hadronisation is through **particle yields and ratios:**
  - **Meson-to-meson:** measurements in  $pp$  and  $p-Pb$  are consistent with  $e^+e^-$  and  $ep$
  - **Baryon-to-meson:** differences between  $e^+e^-$  and  $pp$  (or  $p-Pb$ )!



# Heavy quarks and hadronisation

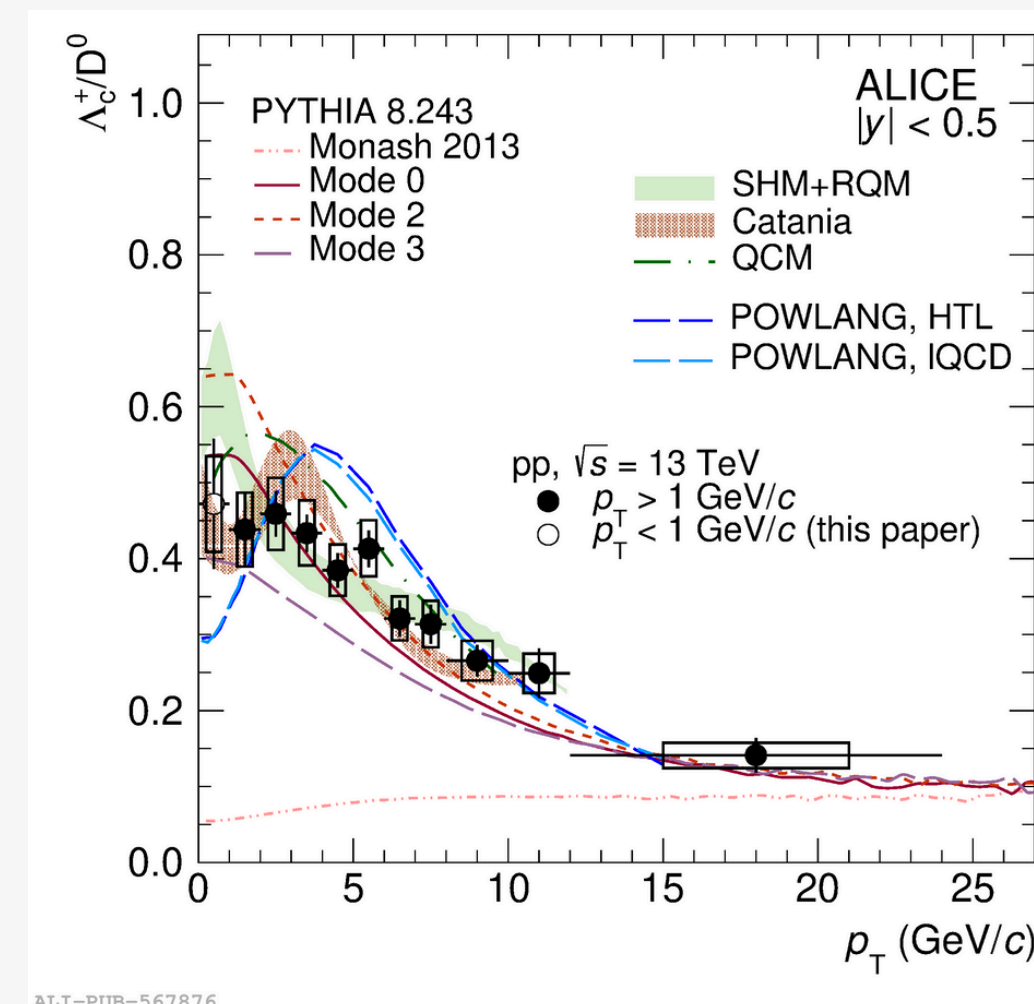


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- One way to study hadronisation is through **particle yields and ratios**:
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  - **Baryon-to-meson**: differences between  $e^+e^-$  and pp (or p–Pb)!

Heavy-flavour baryon-to-meson ratios show a **strong enhancement at low  $p_T$** , even in pp. Some possible explanations include:

- Existence of unobserved **excited baryon states**
- **Colour reconnection** beyond leading colour approximation
- **Coalescence**

**More studies are needed**



JHEP 12 (2023) 086

# Cold nuclear matter (CNM) effects

Effects caused by the presence of nuclei in the colliding system

## Initial-state effects

- PDFs are modified depending on  $x$ . These effects can be described by **nuclear PDFs** (nPDFs) [1]
  - **Gloun saturation** could cause this modification at low  $x$  [2]
- Enhanced initial-state radiation, leading to **energy loss** [3]
- Soft partonic collisions that cause **momentum broadening** [4]

## Final-state effects

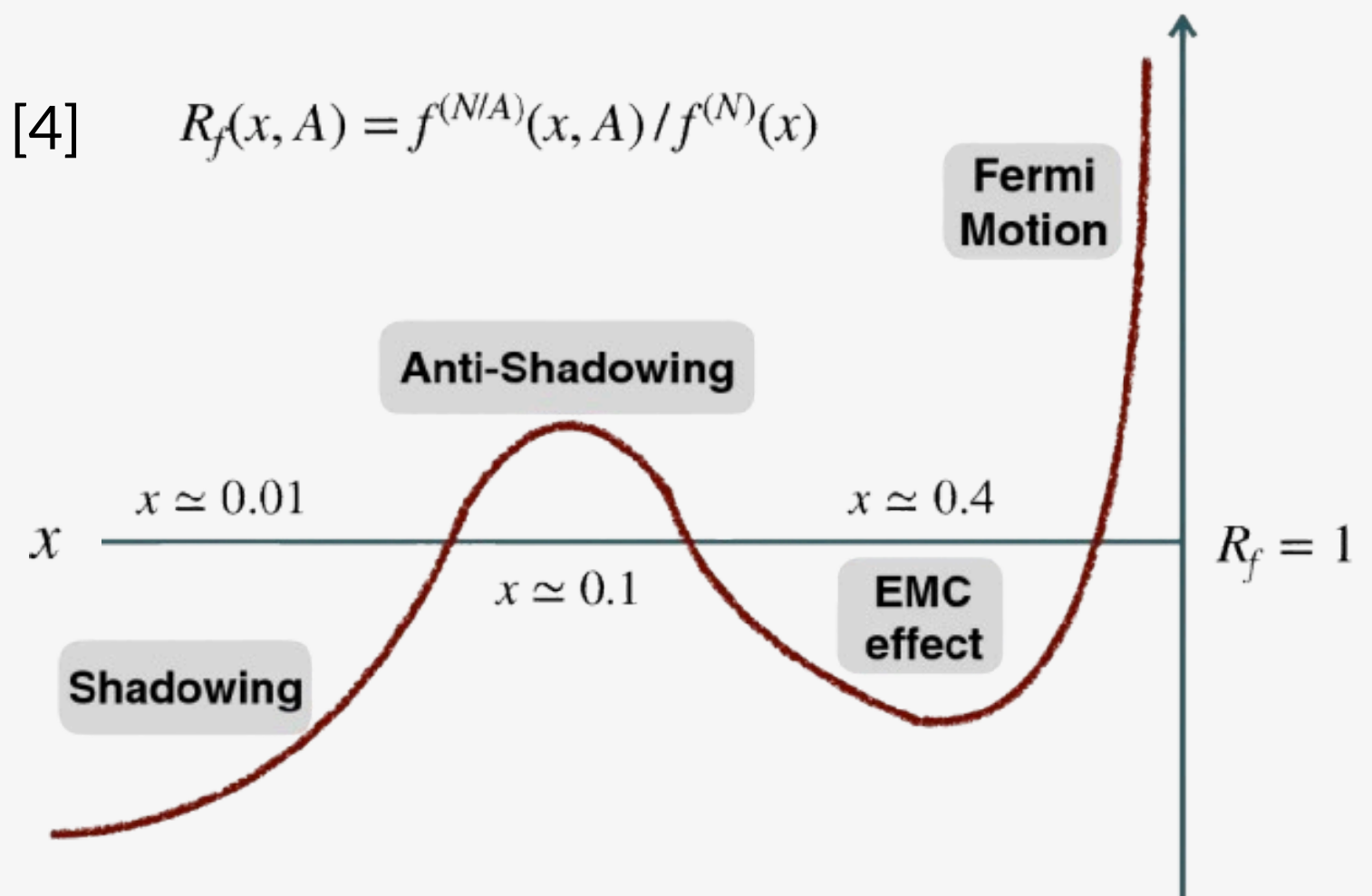
- **Flow-like** effects from collective motion
- **Quark recombination** enhancement

[1] Khalek, R.A., Ethier, J.J. & Rojo, J. [Eur. Phys. J. C 79, 471 \(2019\)](#).

[2] P. Tribedy, R. Venugopalan, [Phys.Lett.B 718 \(2013\) 1154](#)

[3] I. Vitev, [Phys.Rev.C75 \(2007\), 064906](#)

[4] X. Wang, [Phys.Rev.C 61 \(2000\), 064910](#)



# Cold nuclear matter (CNM) effects

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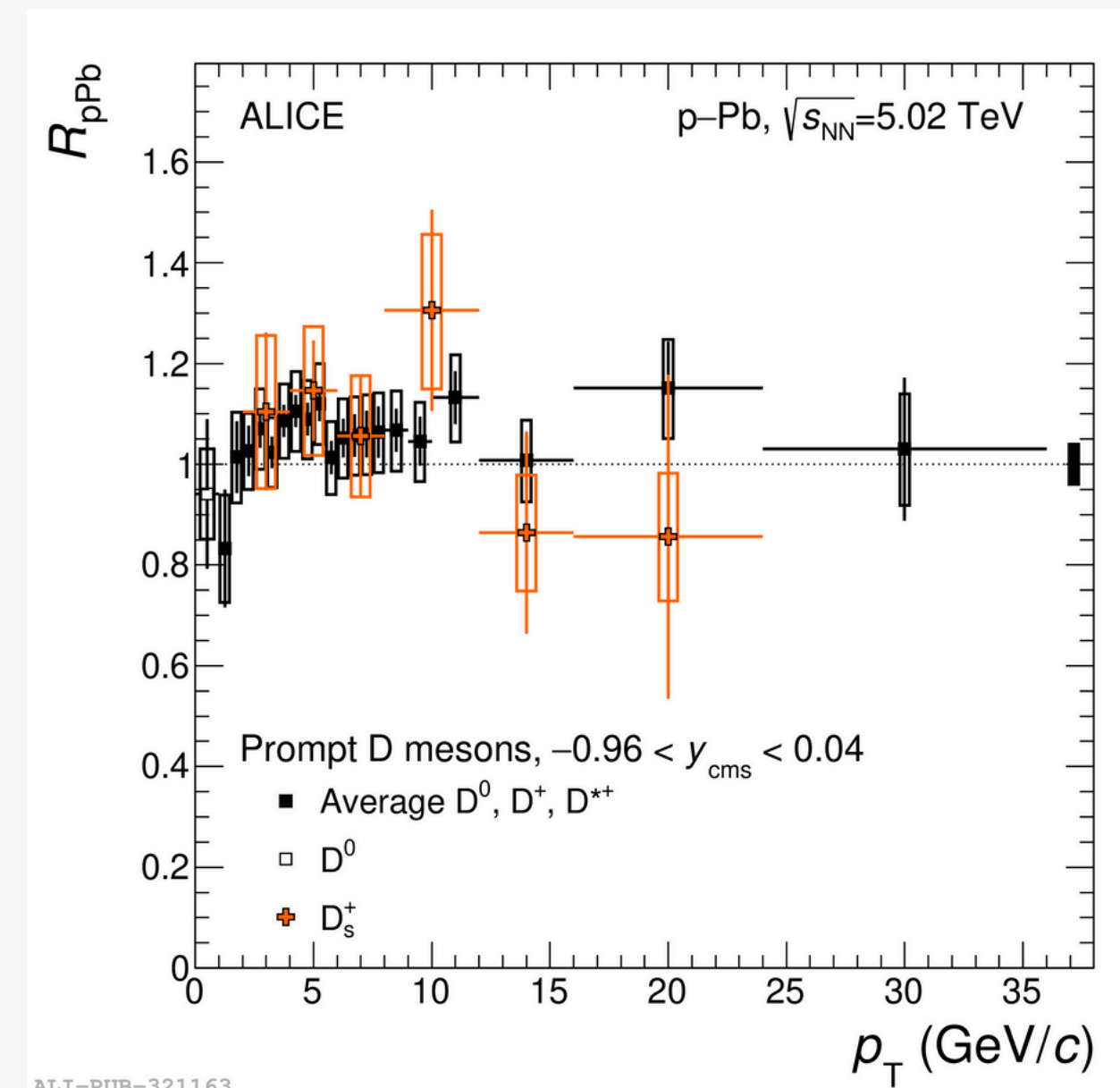
## Final-state effects

- **Flow-like** effects from collective motion
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CNM effects can be investigated by studying the **nuclear modification factor**,  $R_{pPb}$ :

$$R_{pPb} = \frac{1}{A_{Pb}} \frac{d^2\sigma_{pPb}/dp_T dy}{d^2\sigma_{pp}/dp_T dy}$$

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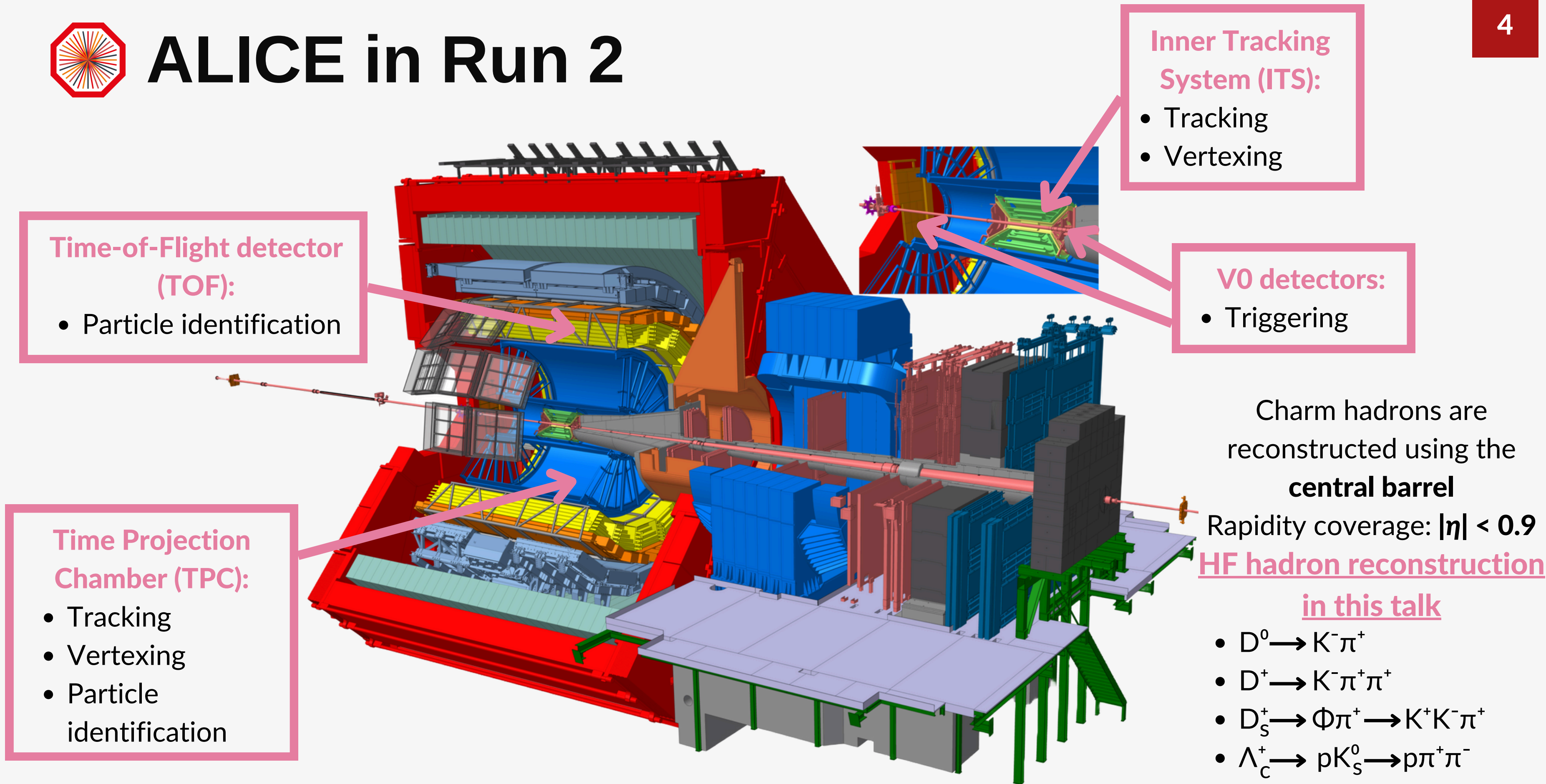


ALI-PUB-321163

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# ALICE in Run 2



**Time-of-Flight detector (TOF):**

- Particle identification

**Inner Tracking System (ITS):**

- Tracking
- Vertexing

**V0 detectors:**

- Triggering

**Time Projection Chamber (TPC):**

- Tracking
- Vertexing
- Particle identification

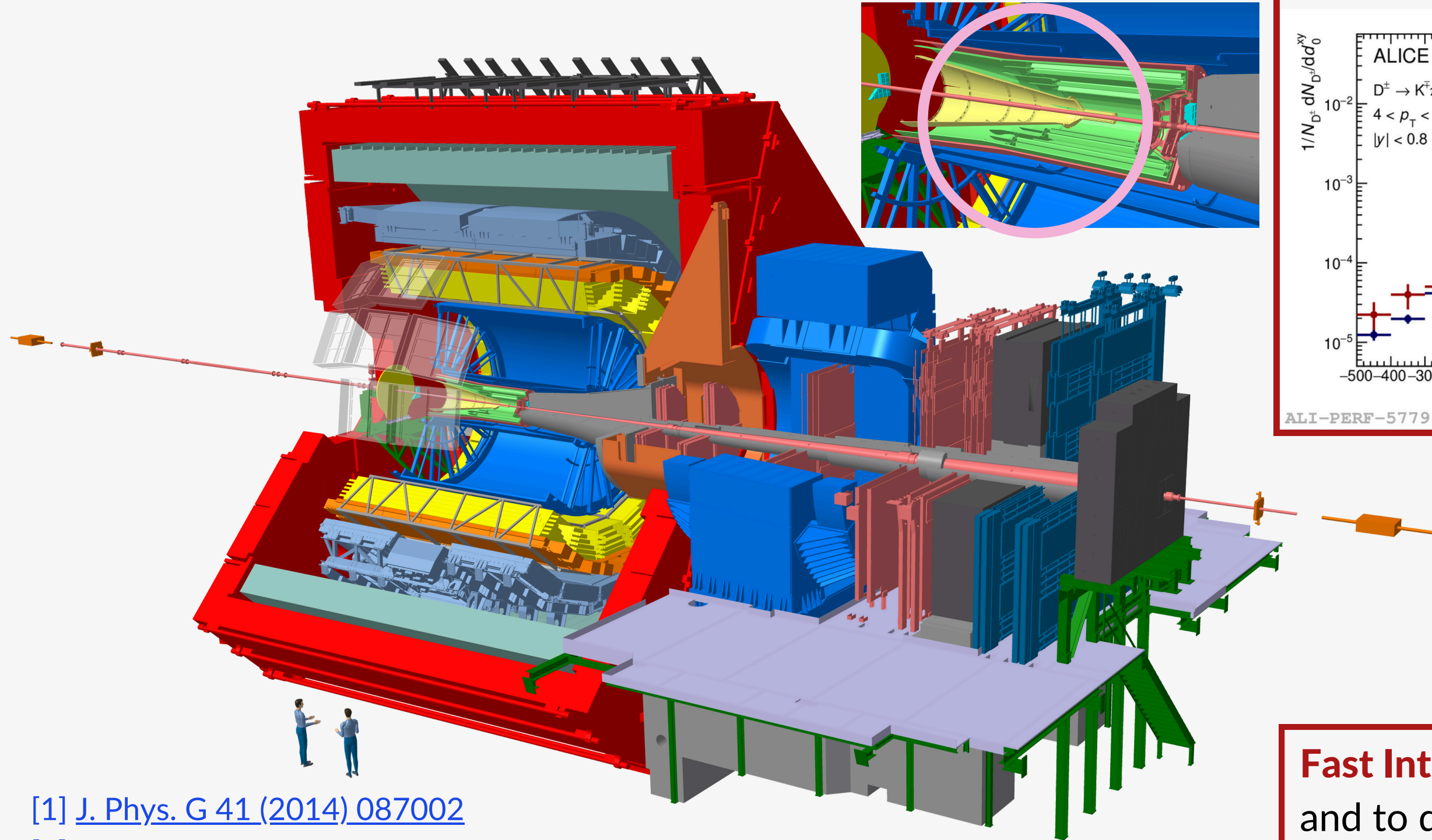
Charm hadrons are reconstructed using the **central barrel**  
Rapidity coverage:  $|\eta| < 0.9$   
**HF hadron reconstruction**

in this talk

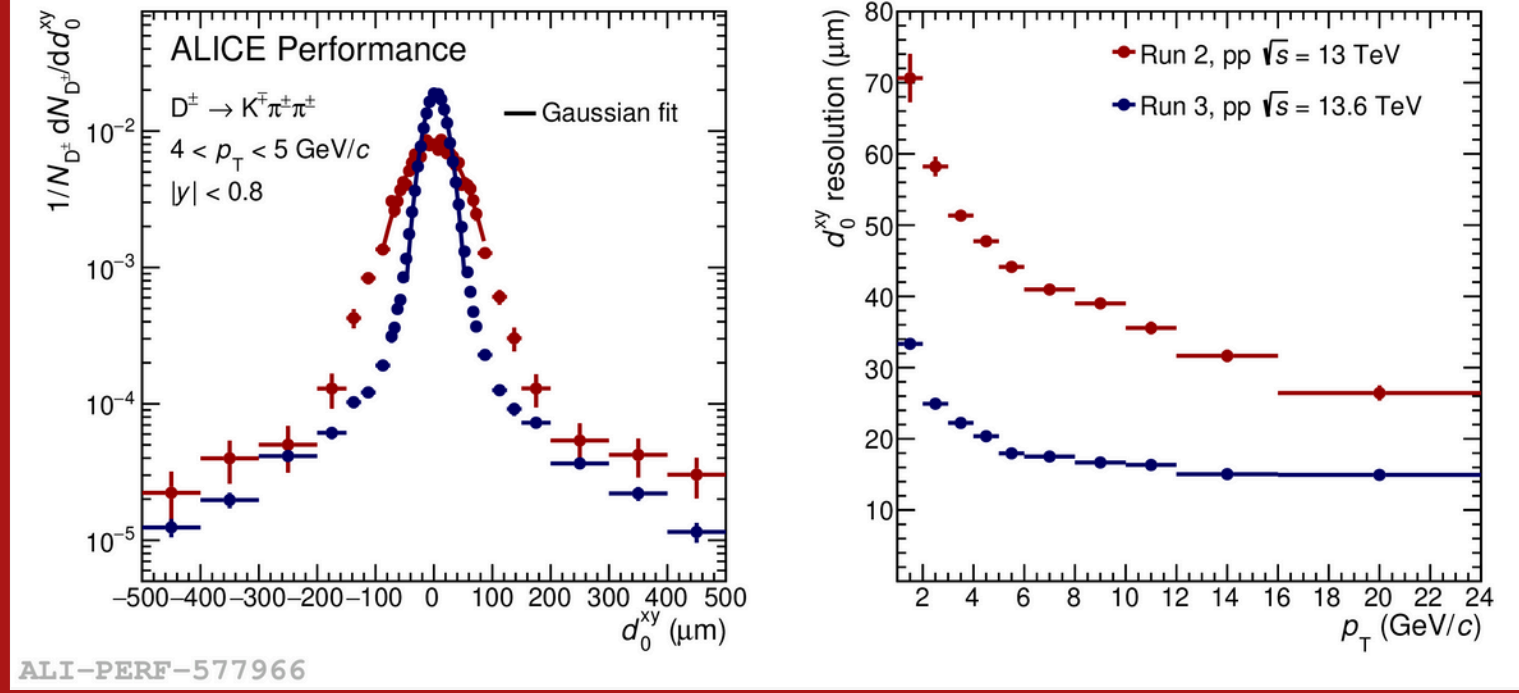
- $D^0 \rightarrow K^- \pi^+$
- $D^+ \rightarrow K^- \pi^+ \pi^+$
- $D_s^+ \rightarrow \Phi \pi^+ \rightarrow K^+ K^- \pi^+$
- $\Lambda_c^+ \rightarrow p K_S^0 \rightarrow p \pi^+ \pi^-$
- $\Lambda_c^+ \rightarrow p K^- \pi^+$



# Run 3 vs. Run 2



## ITS2 [1]: improved resolution and faster readout



**New TPC readout [2]:** allows for continuous readout, granting **much larger datasets** than in Run 2

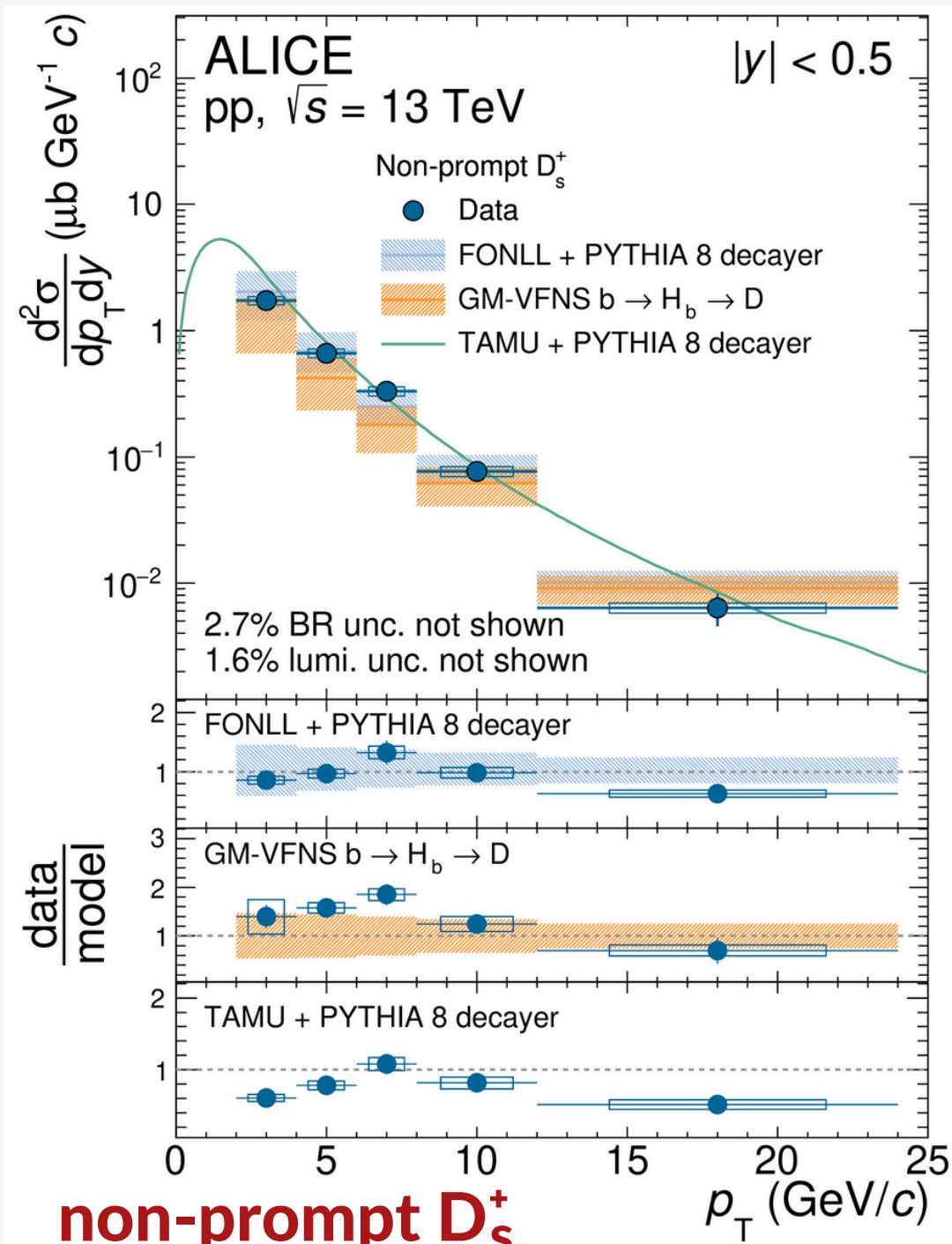
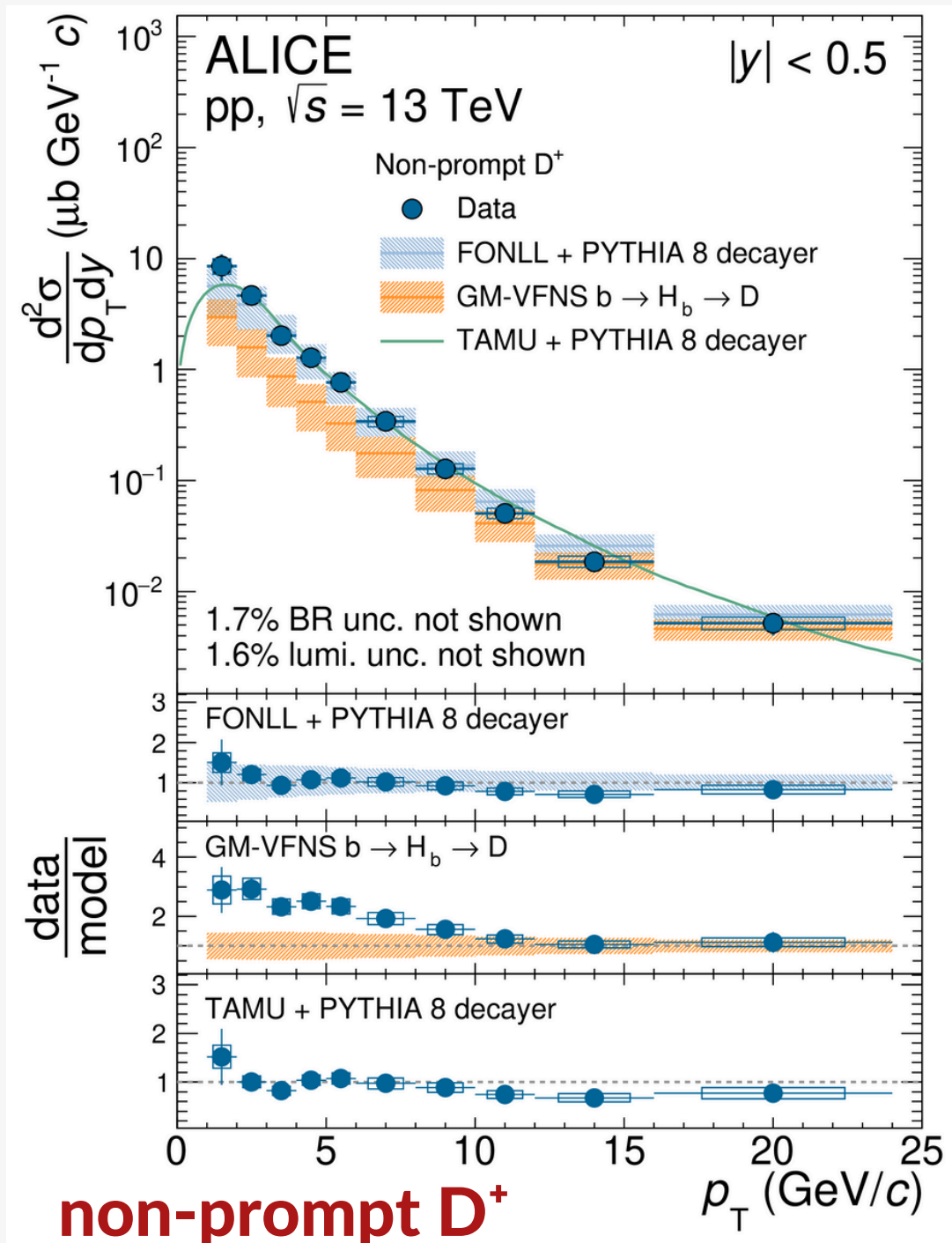
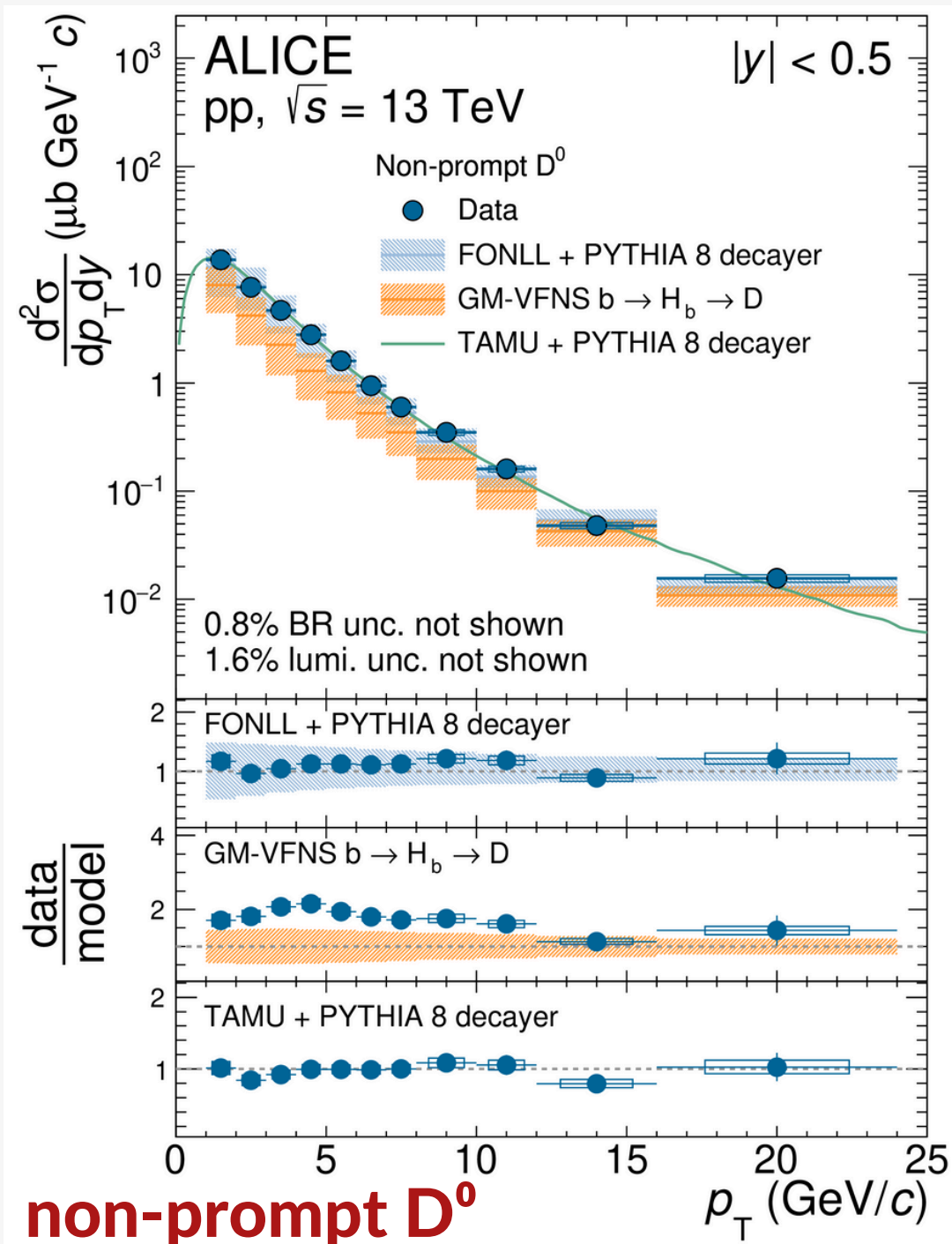
**Fast Interaction Trigger (FIT) [3]:** used to trigger and to determine luminosity and multiplicity

[1] [J. Phys. G 41 \(2014\) 087002](#)  
 [2] [CERN-LHCC-2013-020 / ALICE-TDR-016](#)  
 [3] [EPJ Web Conf. 204 \(2019\) 11003](#)





# Non-prompt D-meson cross sections



arXiv:2402.16417

- **FONLL + PYTHIA 8 decayer** is consistent with the data for all D-mesons
- **GM-VFNS** underestimates the measurements at low  $p_T$
- **TAMU + PYTHIA 8** agrees with the data for  $D^0$  and  $D^+$ , but overestimates the data for  $D_s^+$

ALI-PUB-568816

ALI-PUB-568820

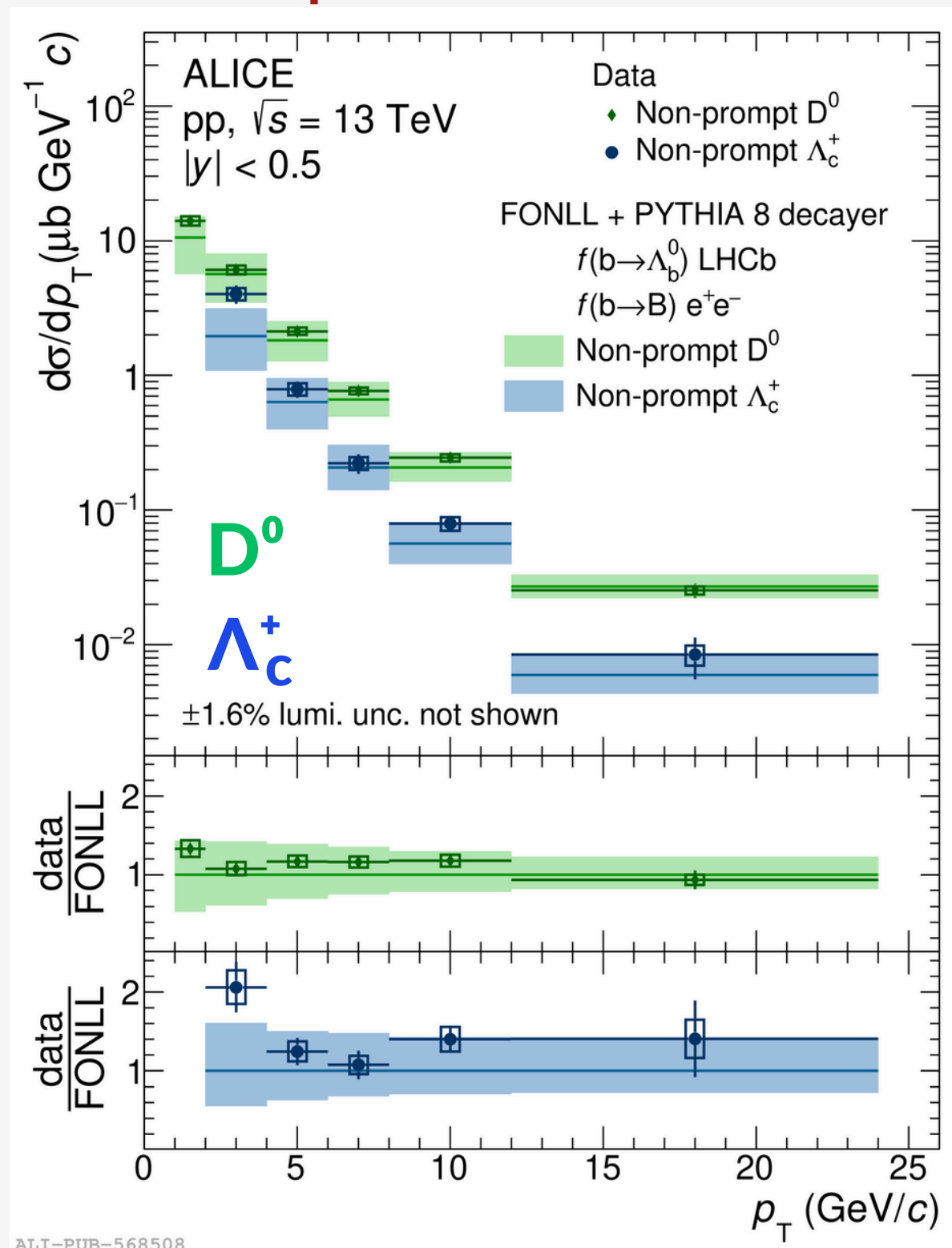
ALI-PUB-568824



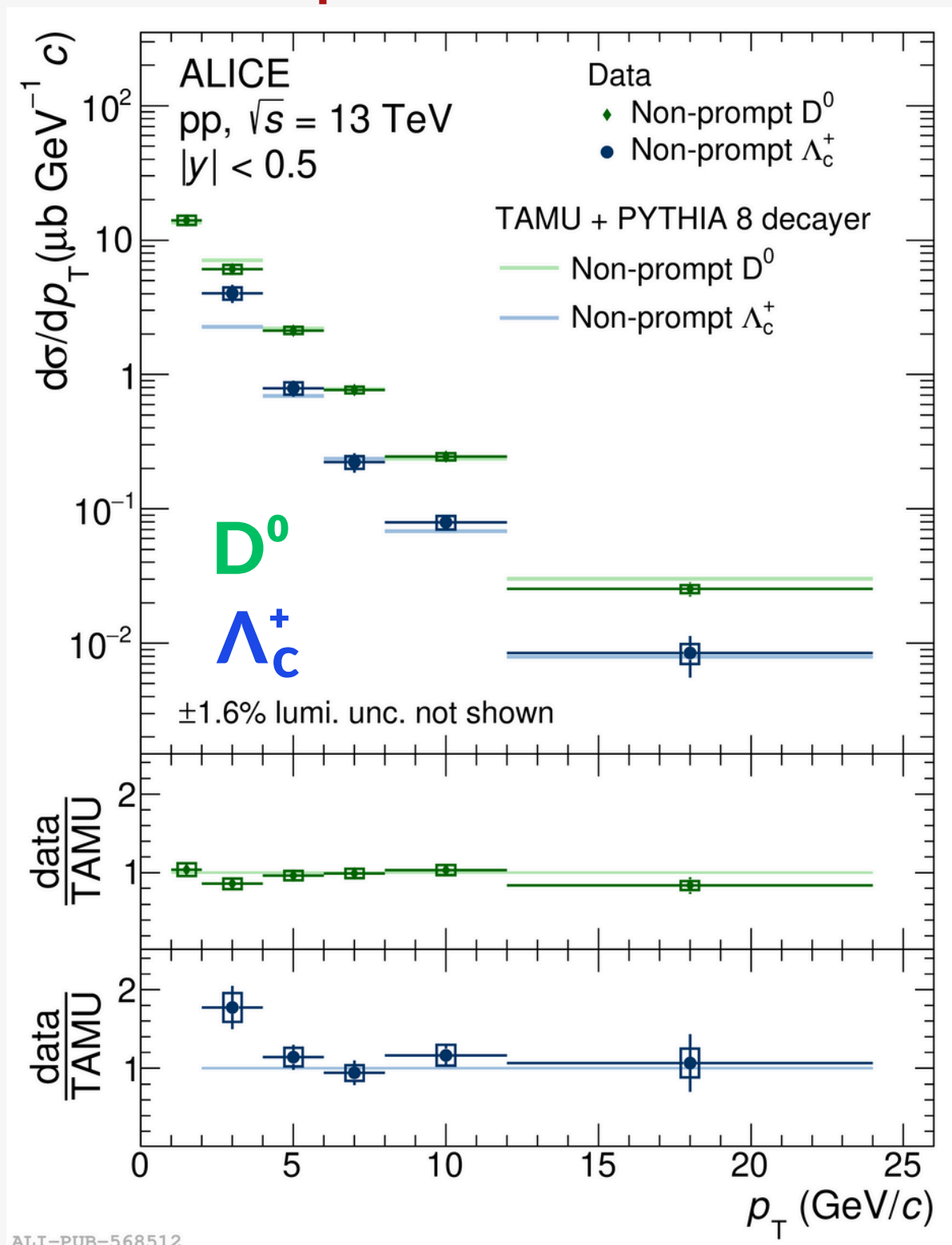
# Non-prompt $\Lambda_c^+$ cross-section



## Comparison with FONLL



## Comparison with TAMU

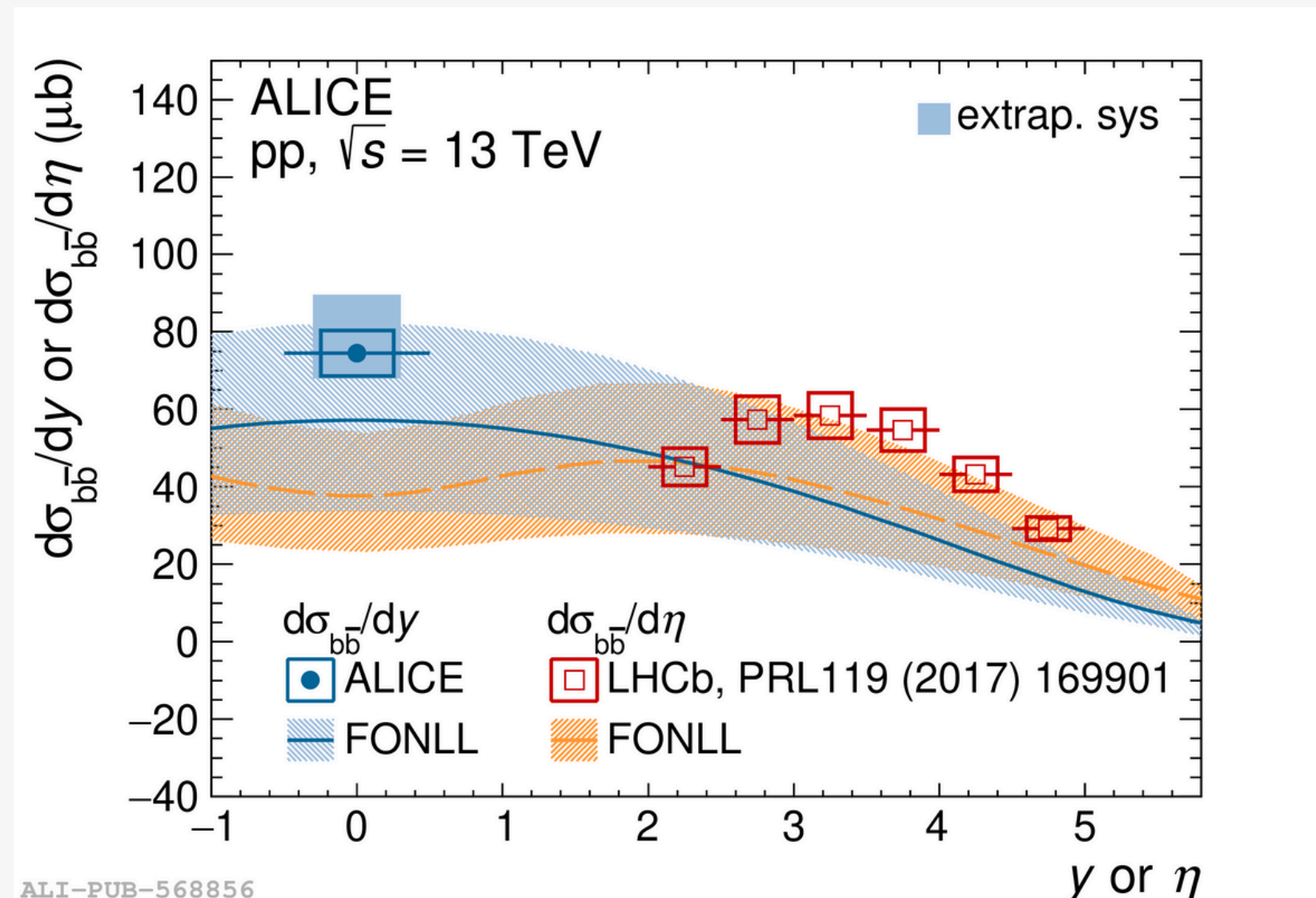
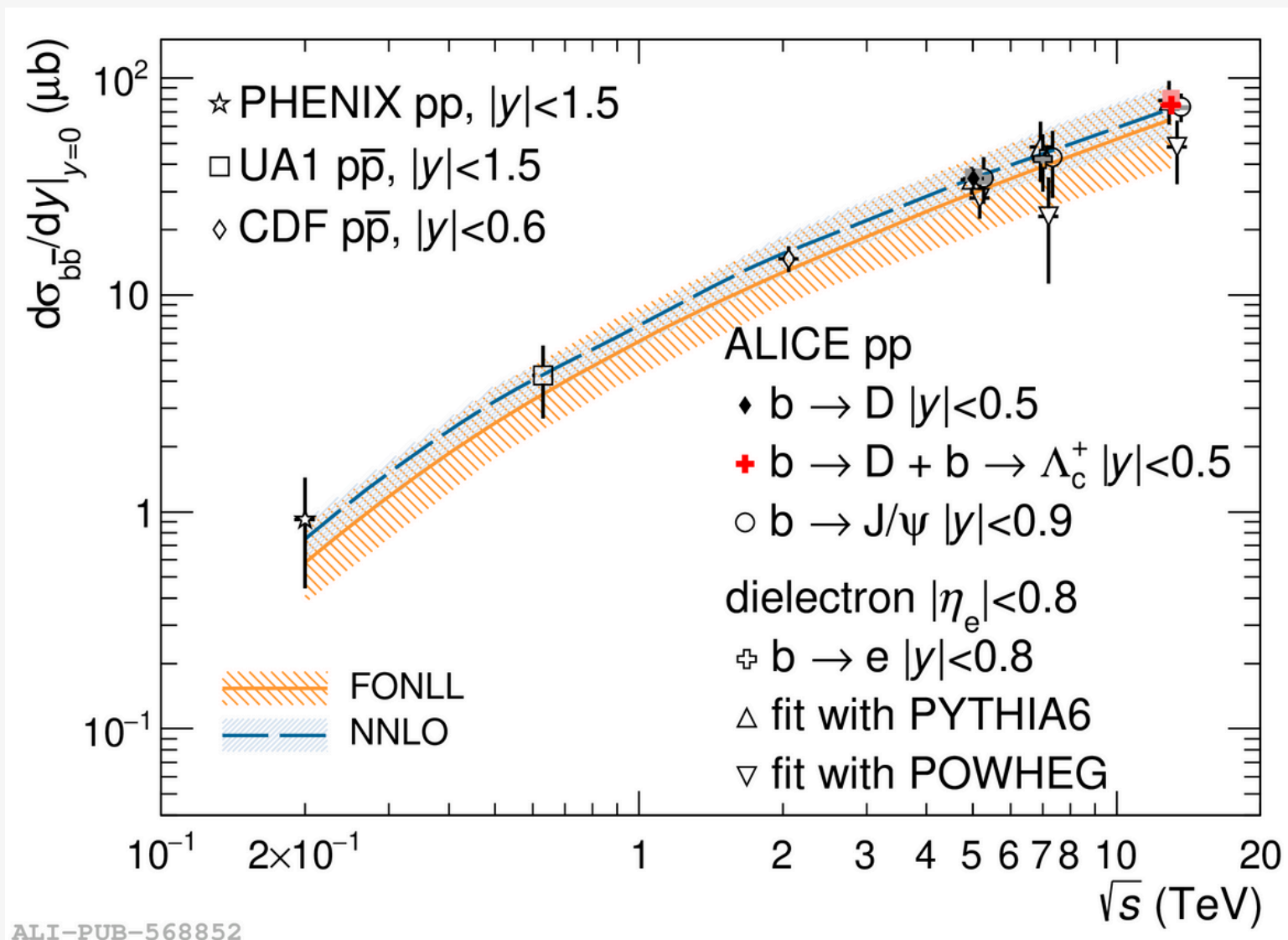


- Weighted average of the results from  $\Lambda_c^+ \rightarrow pK_S^0 \rightarrow p\pi^+\pi^-$  and  $\Lambda_c^+ \rightarrow pK^-\pi^+$
- Results compared to FONLL + PYTHIA 8 and TAMU + PYTHIA 8
  - Both tend to underestimate the data in the  $2 < p_T < 4$  GeV/c interval

**FONLL:** [M. Cacciari, M. Greco, P. Nason, JHEP 9805 \(1998\) 007](#)  
**GM-VFNS:** [P. Bolzoni, G. Kramer, Nucl. Phys. B 872, 253 \(2013\)](#)  
**TAMU:** [M. He and R. Rapp, Phys. Rev. Lett. 131 \(2023\) 012301](#)



# $b\bar{b}$ production cross section



$p_T$ -integrated measurement at midrapidity based on the production cross sections of  $D^0$ ,  $D^+$ ,  $D_s^+$  and  $\Lambda_c^+$

NEW on ArXiv!

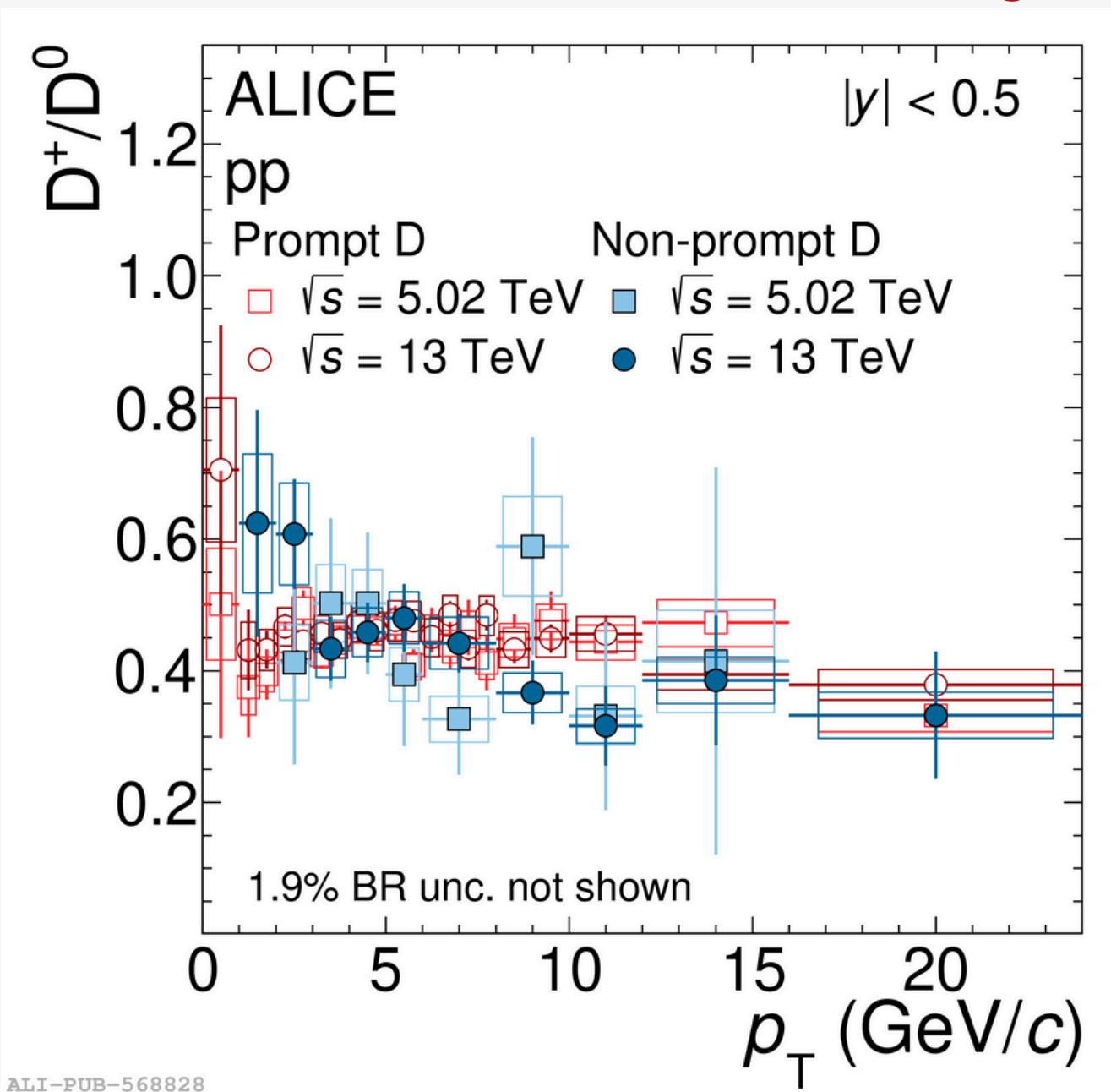
- The **cross section vs. centre-of-mass energy is well described** by pQCD, especially NNLO
- **Results** are compatible with pQCD but tend to lie **close to the upper boundary** of the uncertainty bands



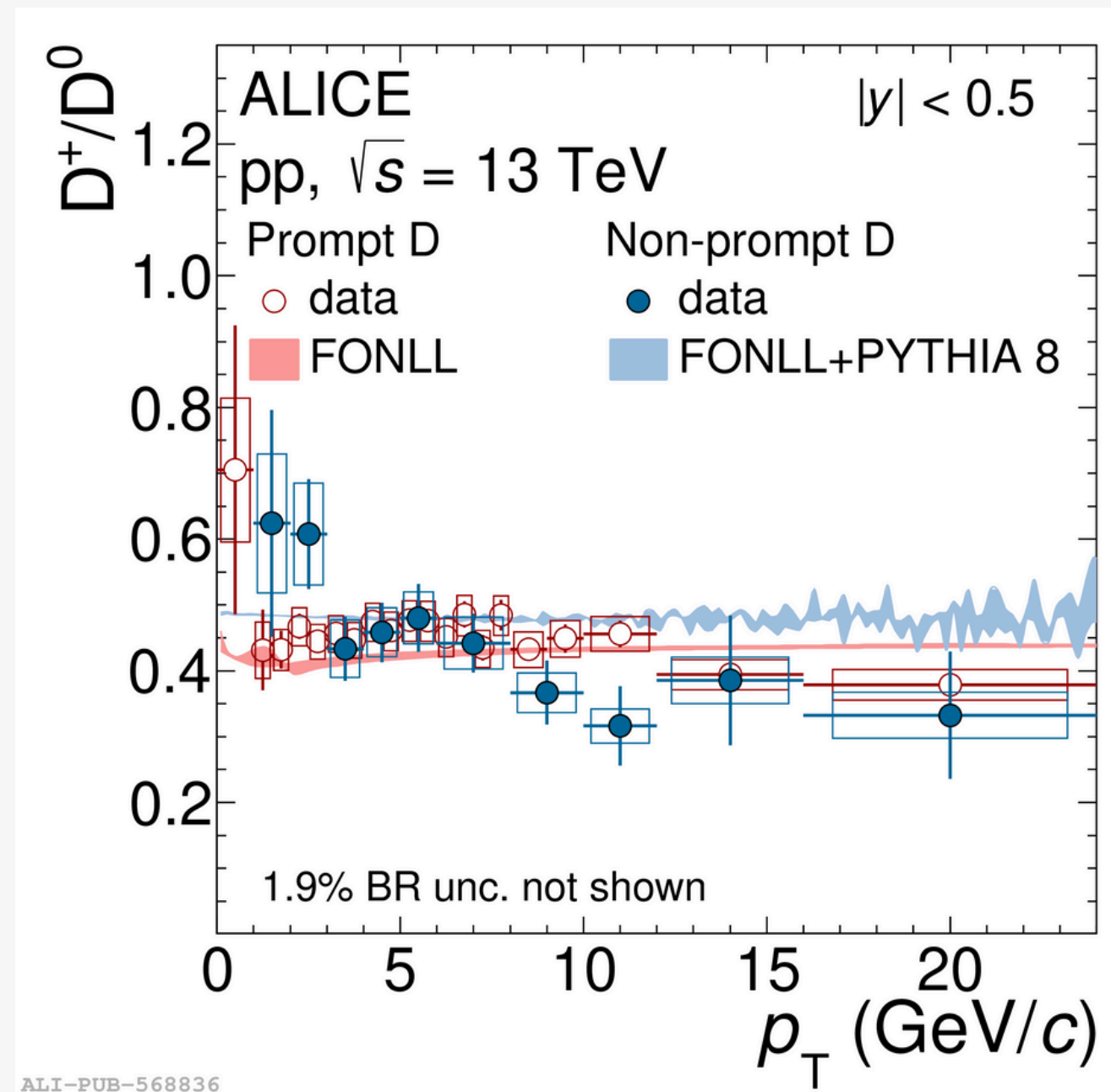
# Meson-to-meson ratios



$D^+/D^0$  at different centre-of-mass energies



$D^+/D^0$  vs. theoretical predictions



**Compatible results at different collision energies**

Similar ratios for prompt and non-prompt contributions

Results are well described by FONLL + PYTHIA 8

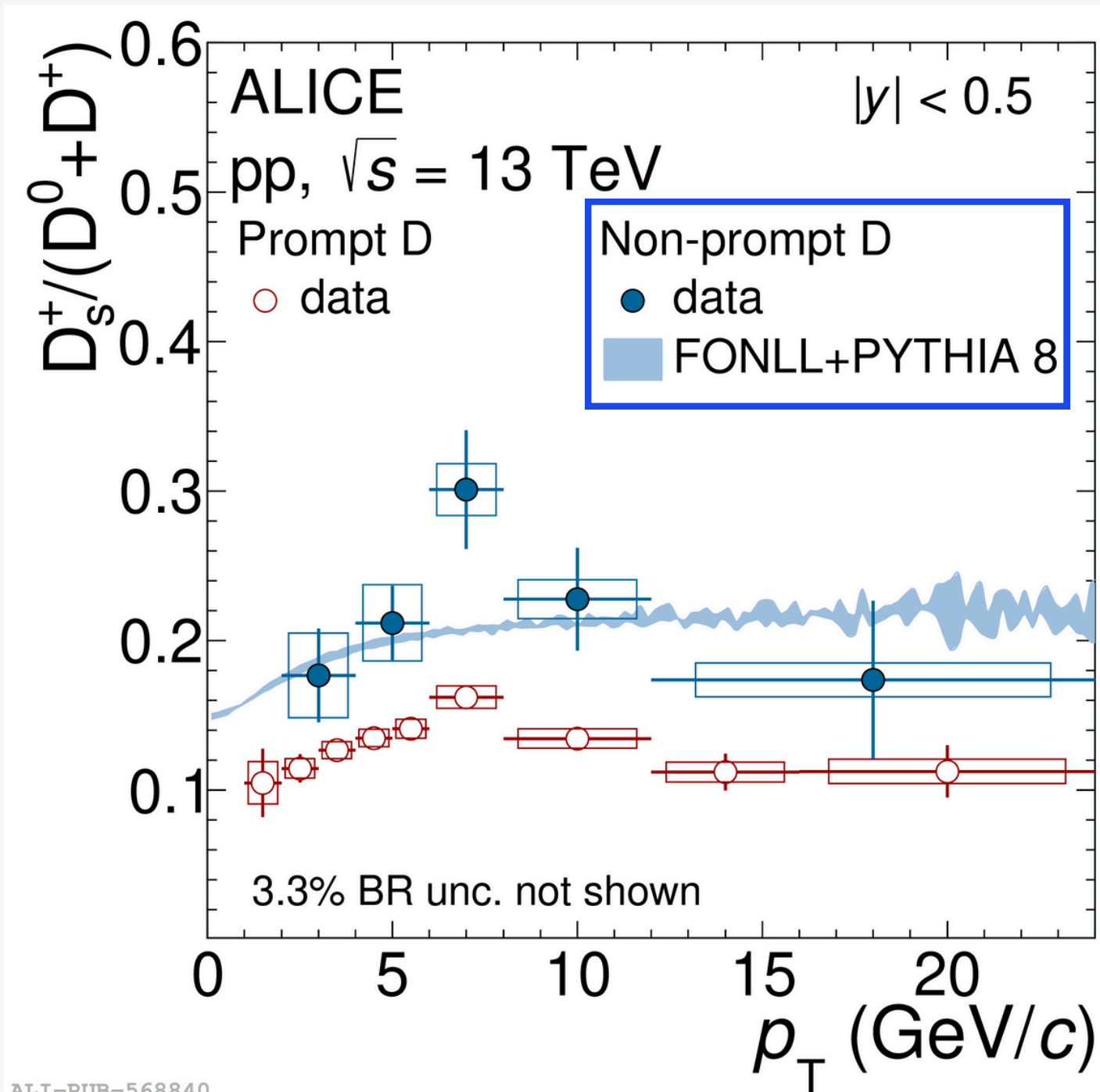
NEW on ArXiv!



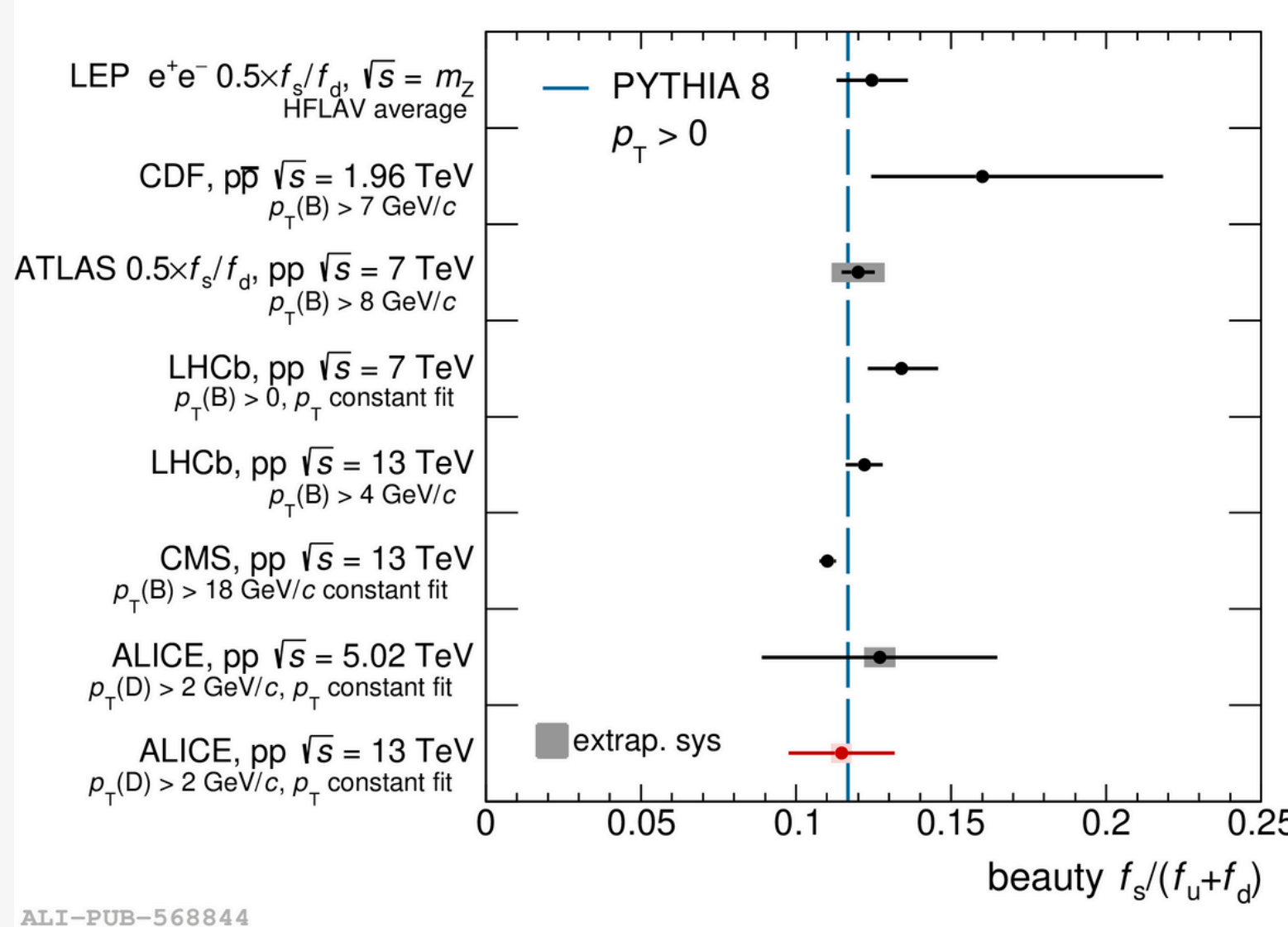
# Strange meson-to-meson ratio



prompt vs. non-prompt  $D_s^+ / (D^0 + D^+)$



ALI-PUB-568840



Beauty  $f_s / (f_d + f_u)$

- Access to fragmentation fraction ratio of beauty quarks into strange ( $f_s$ ) and non-strange ( $f_u, f_d$ ) B mesons
- **Compatible** with previous results and PYTHIA 8

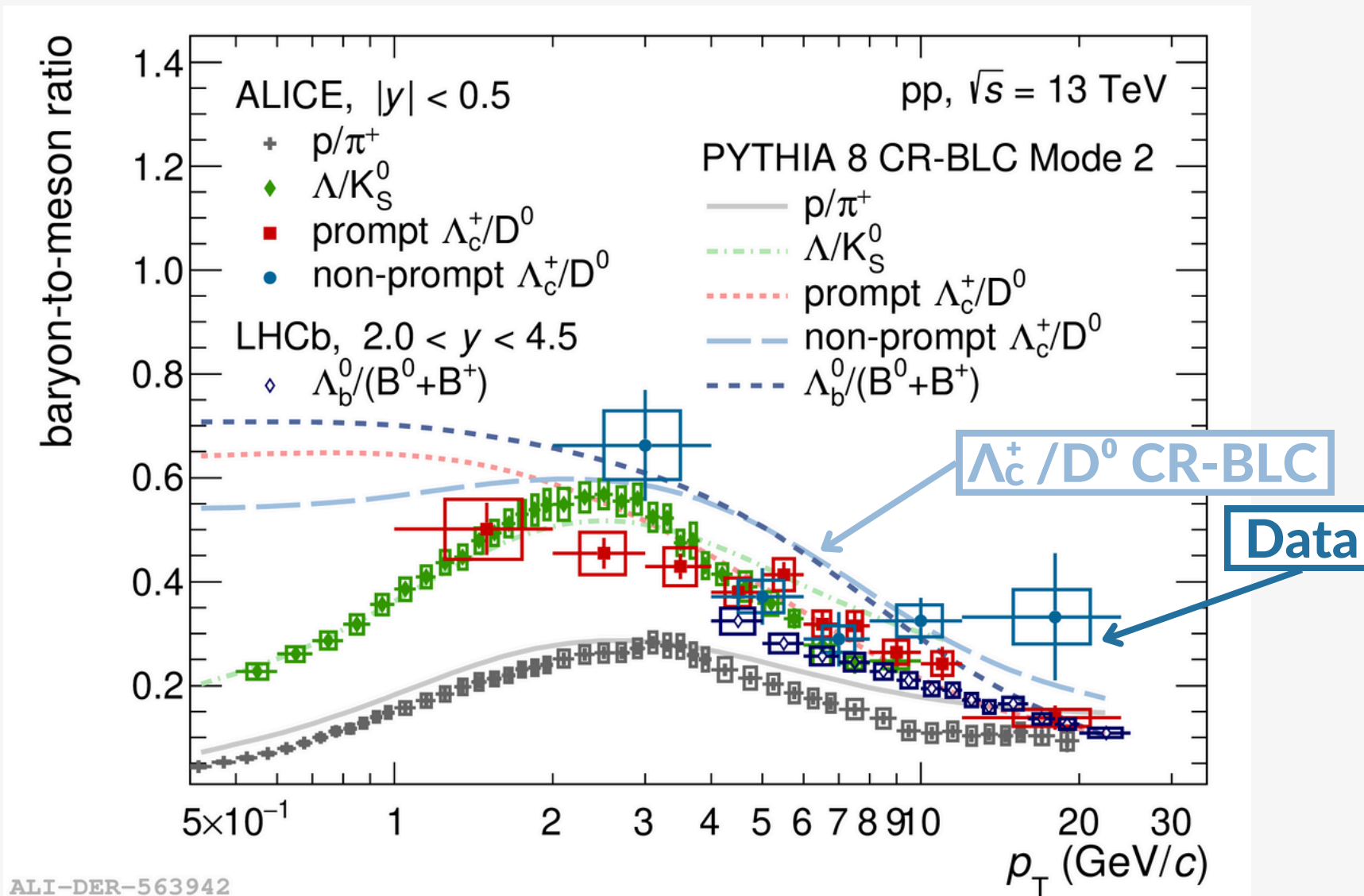
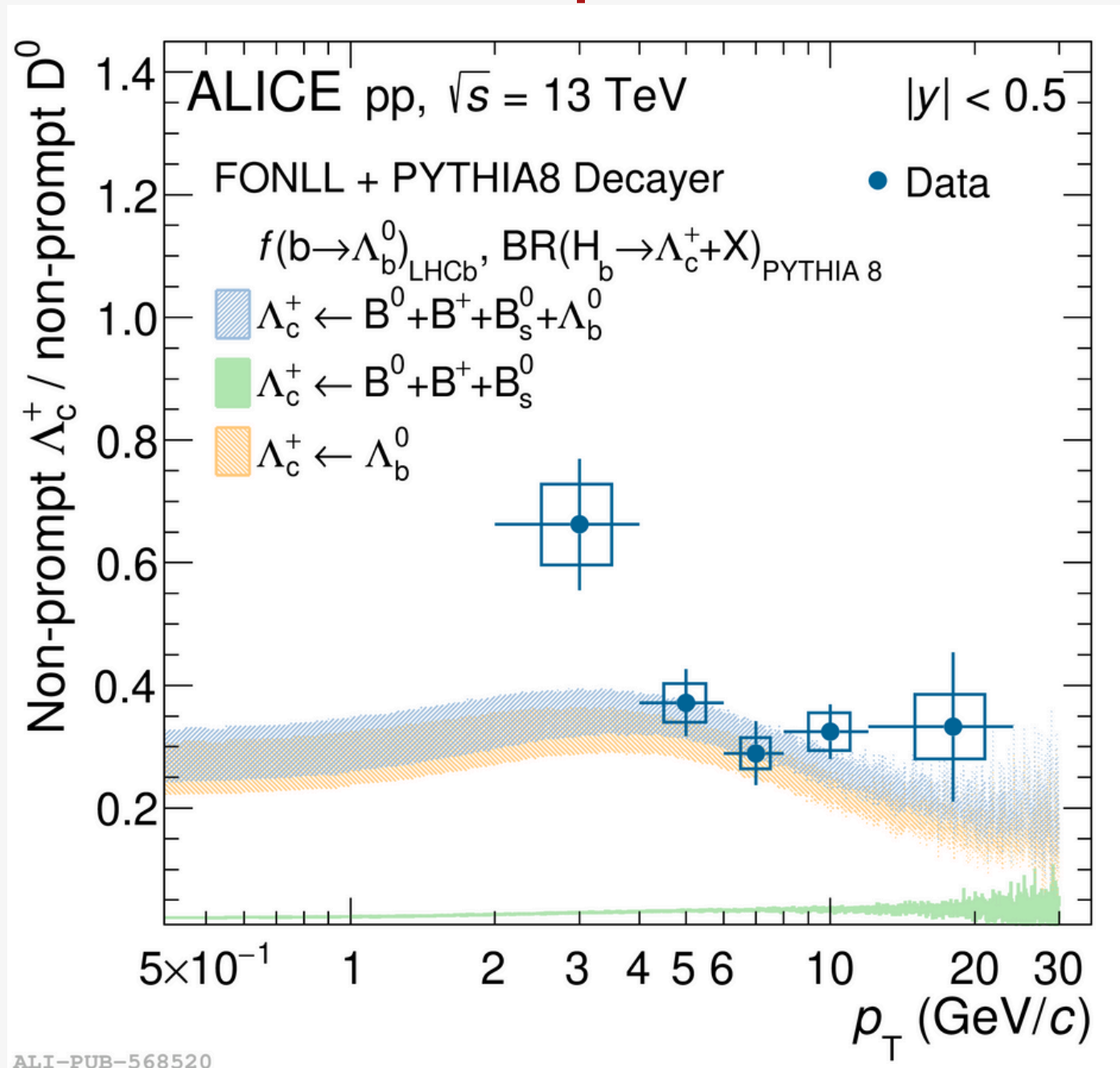
NEW on ArXiv!



# Baryon-to-meson ratio



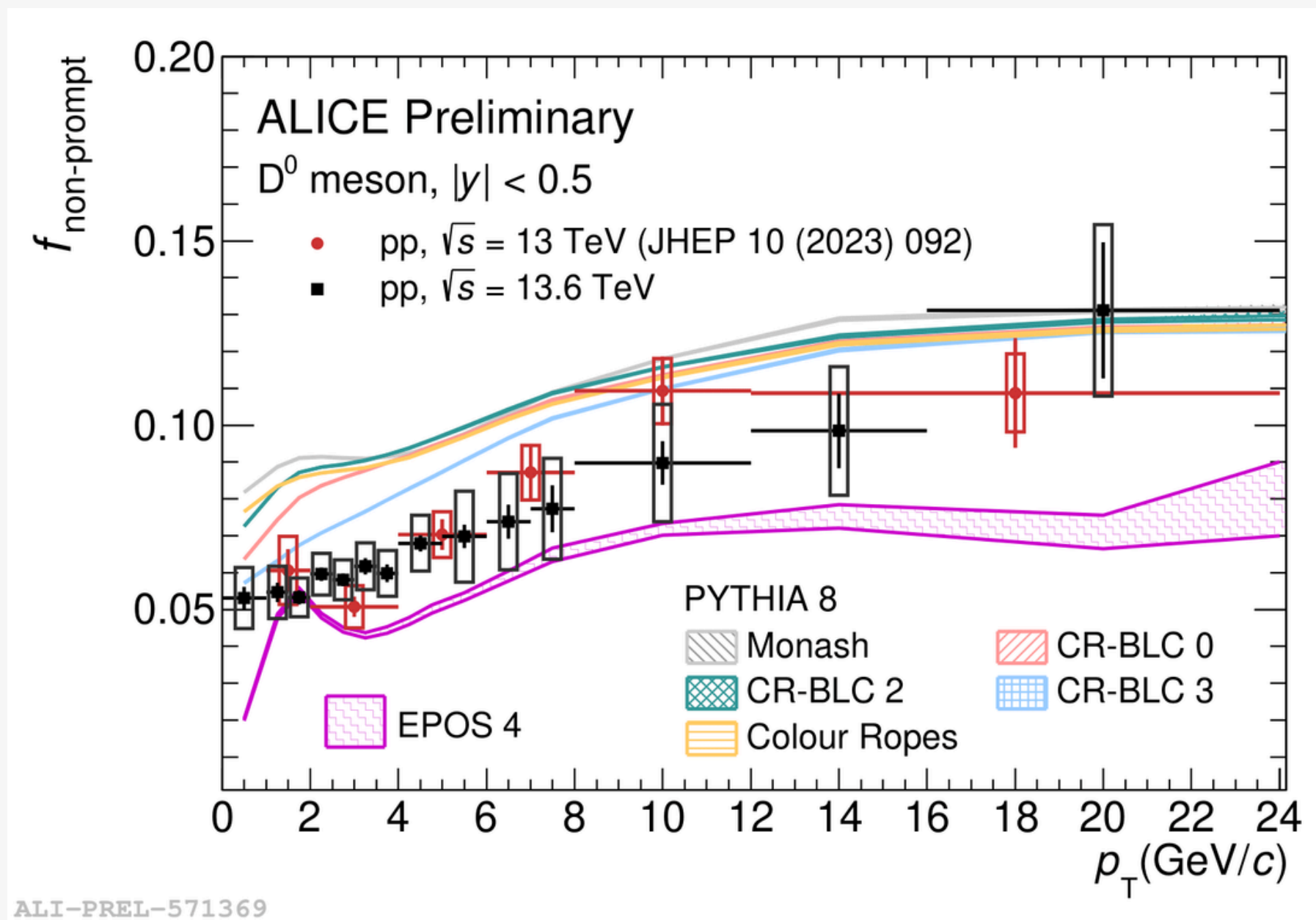
## Non-prompt $\Lambda_c^+$ / non-prompt $D^0$ ratio vs. theoretical predictions



- Most non-prompt  $\Lambda_c^+$  baryons come from  $\Lambda_b^0$ , rather than B mesons
- **FONLL + PYTHIA 8** provides a good description at  $p_T > 4$  GeV/c
- **PYTHIA 8 CR-BLC** accurately describes the data



# D<sup>0</sup> non-prompt fraction (Run 3)



- **Increased precision** compared to Run 2
- **More granular results** are achieved, with an extension down to  $p_T = 0$
- **Tighter constraints** to hadronisation models:
  - Overall, PYTHIA tunes seem to overestimate the data
  - EPOS 4 tends to underestimate the data

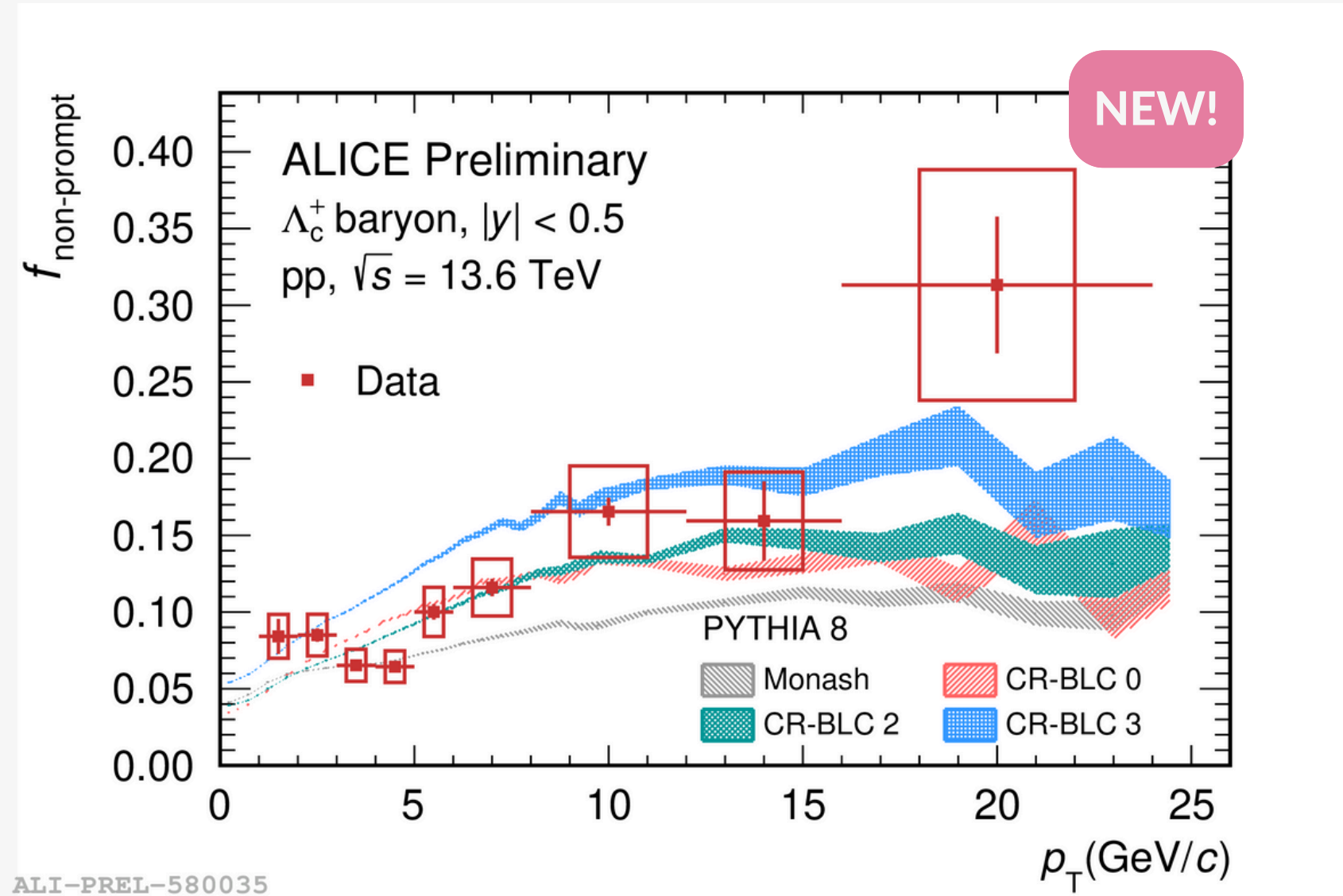
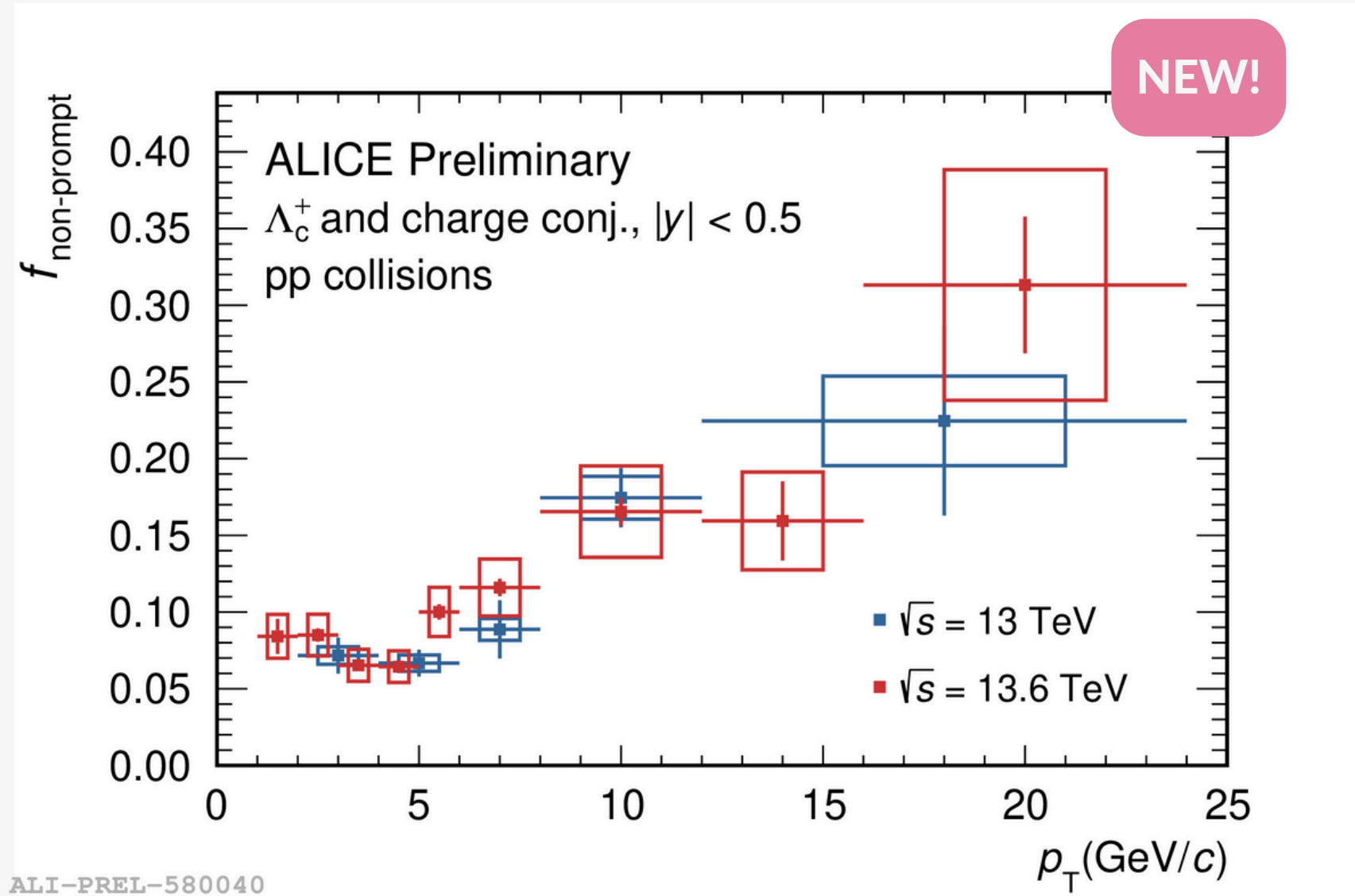


# Non-prompt $\Lambda_c^+$ fraction (Run 3)



### $\Lambda_c^+$ non-prompt fraction Run 3 vs. Run 2

### $\Lambda_c^+$ non-prompt fraction vs. theoretical predictions



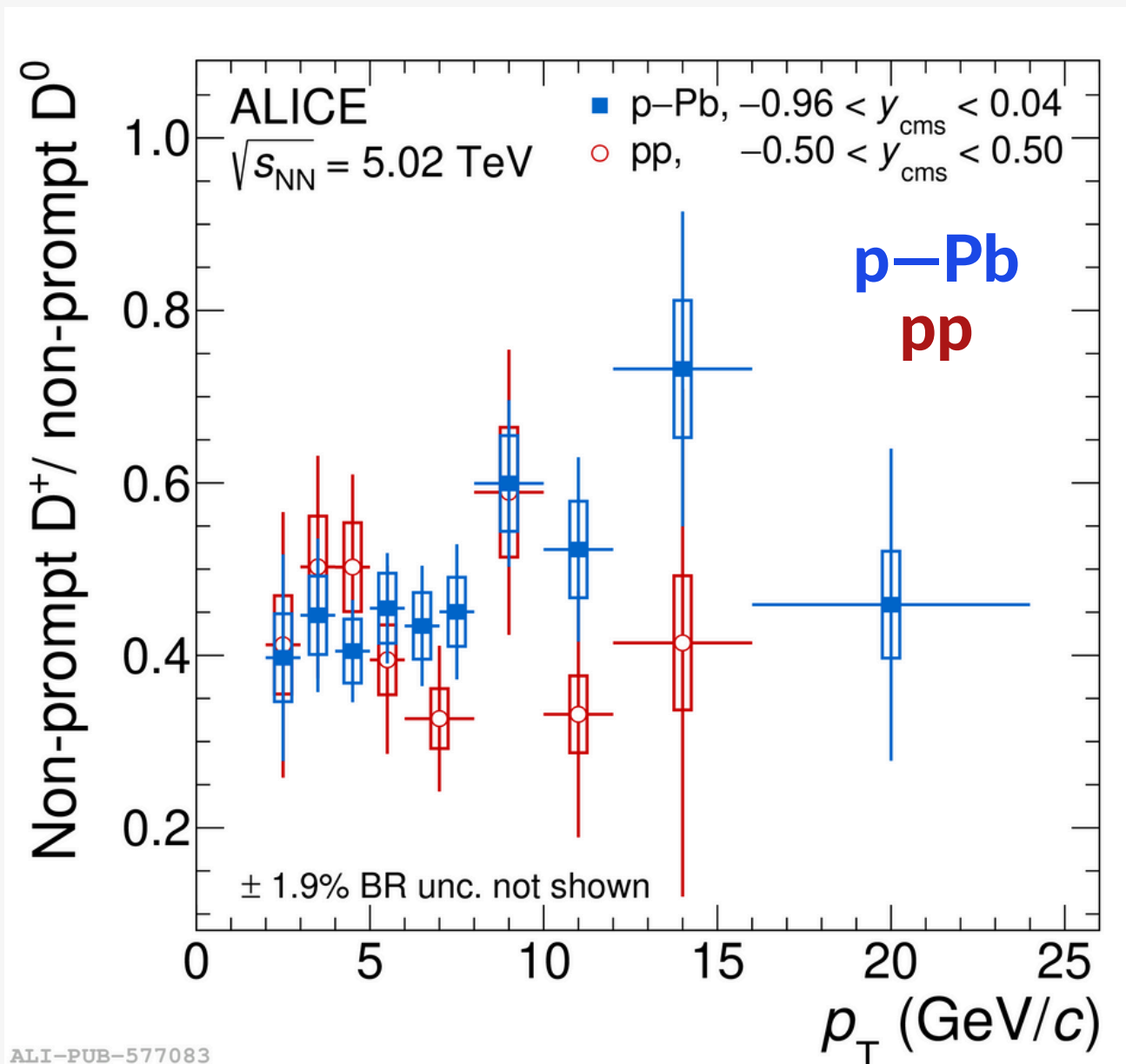
- **Run 3 results are more granular** and provide lower  $p_T$  reach
- **PYTHIA Monash** tends to underestimate the data
- **PYTHIA CR-BLC modes** provide a better description of the data compared to the Monash tune



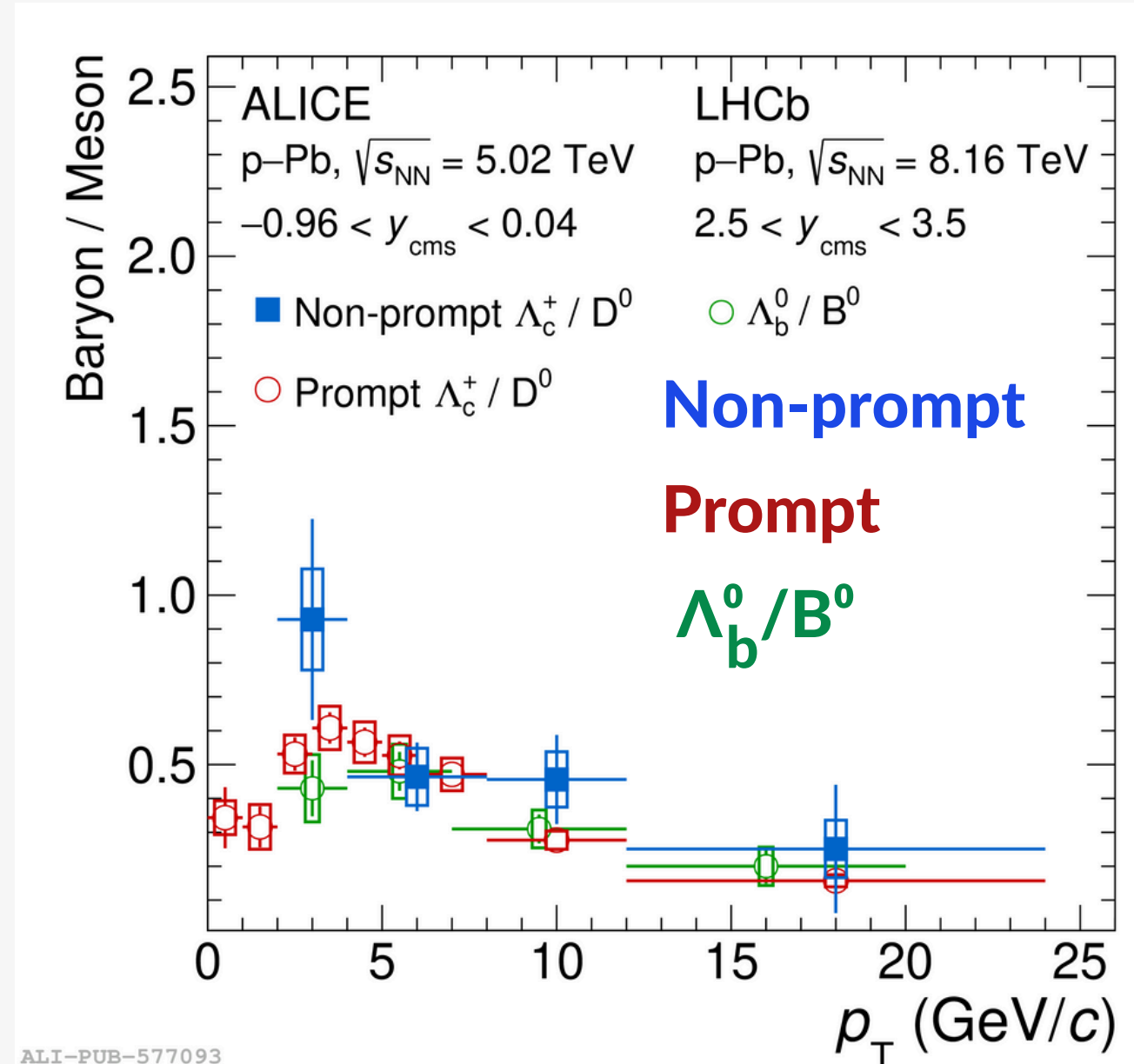


# Particle ratios

### Non-prompt $D^+$ / Non-prompt $D^0$ pp vs. p-Pb



### $\Lambda_c^+ / D^0$ prompt vs. non-prompt



NEW on ArXiv!

- **Lack of models for p-Pb**
- Non-prompt  $D^+$  / non-prompt  $D^0$  production yield ratio is **compatible with pp** results
- **Compatible results for prompt and non-prompt  $\Lambda_c^+ / D^0$**

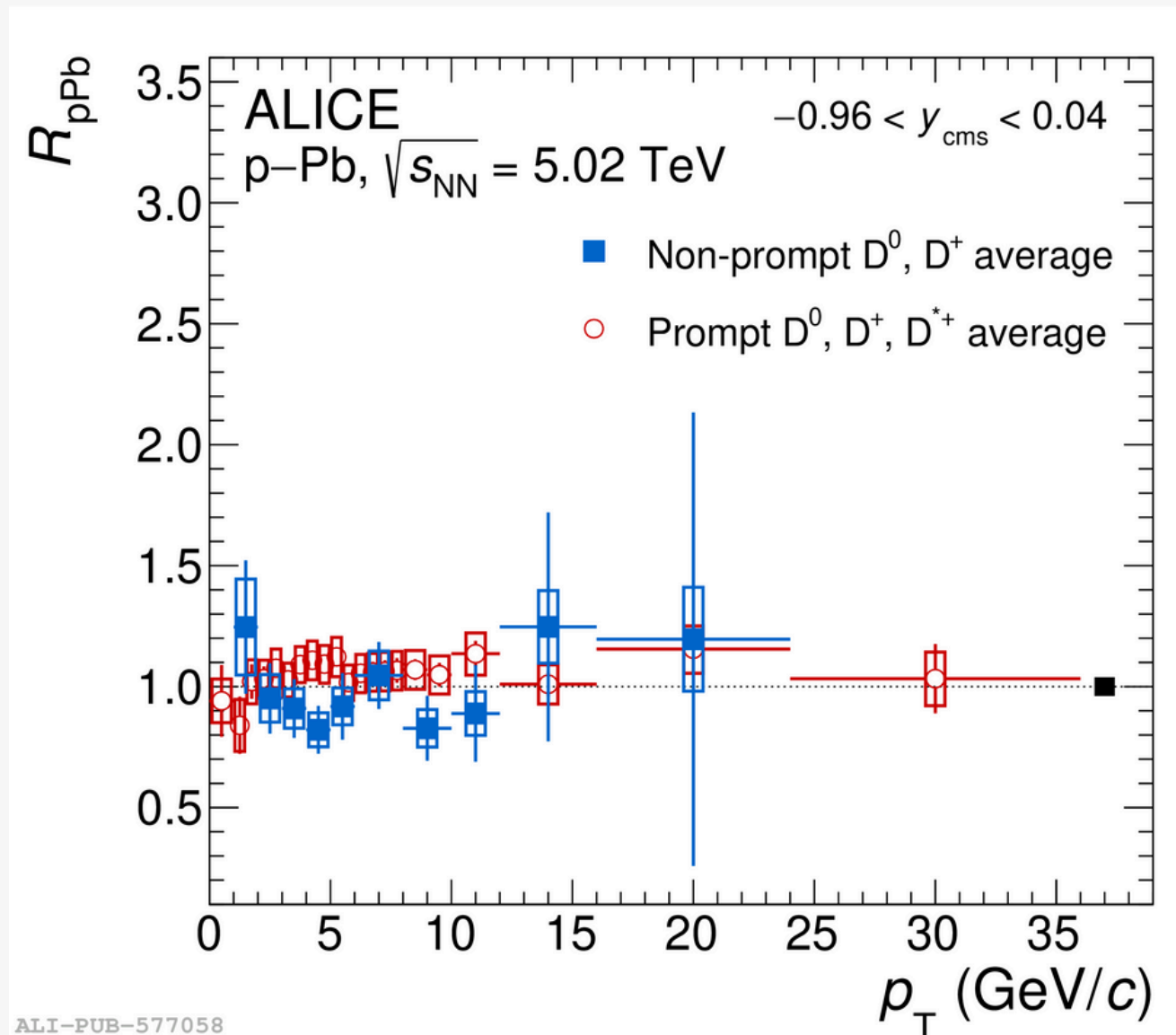
} Similar hadronisation modifications for charm and beauty?



# Nuclear modification factor

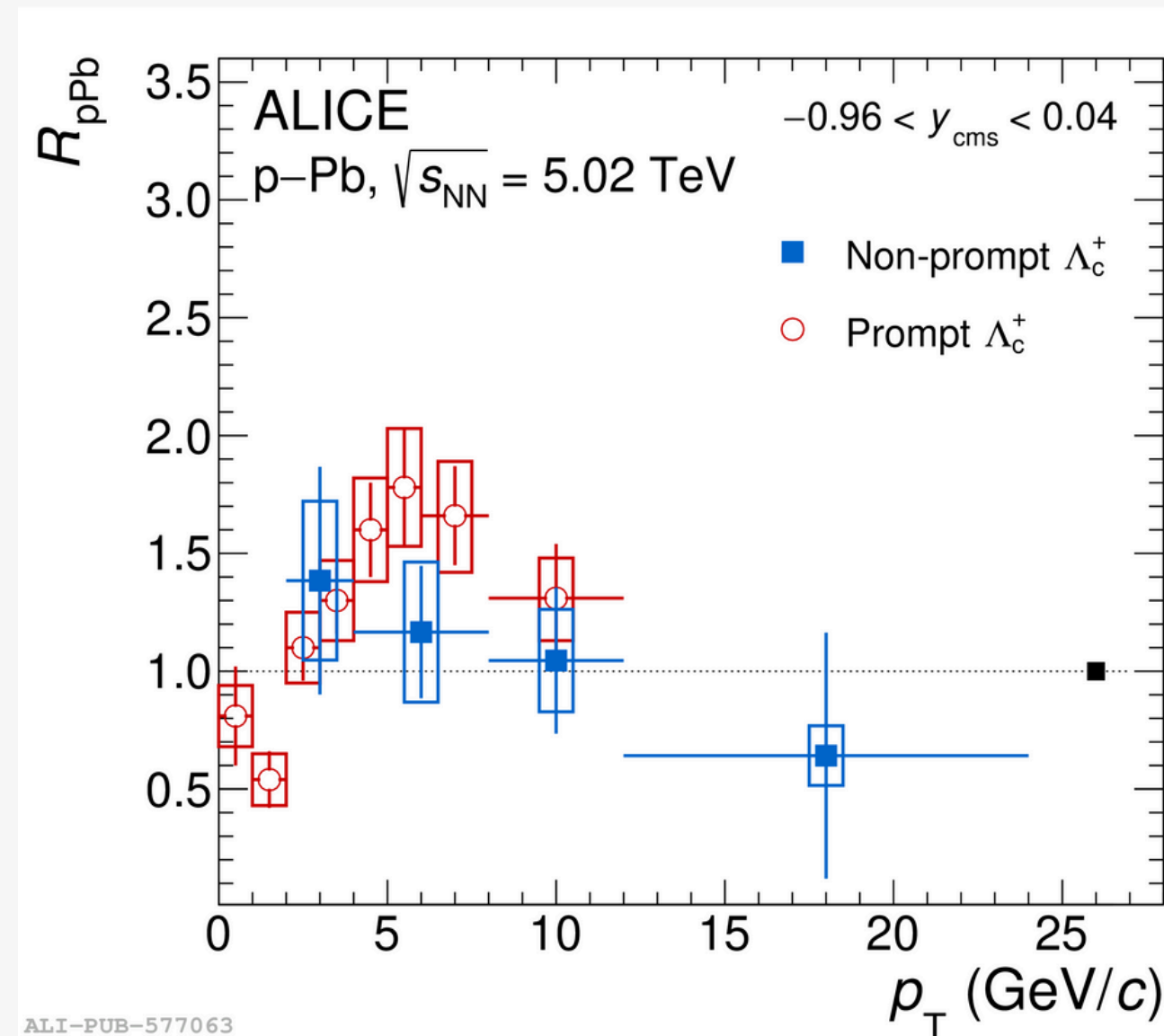


$R_{pPb}$  of D-mesons



**Compatible with unity** for both prompt and non-prompt

$R_{pPb}$  of  $\Lambda_c^+$



- **Prompt  $\Lambda_c^+$**  shows deviation from unity  $\rightarrow$  Effects beyond nPDFs (**hadronisation?** **expanding medium?**)
- **Non-prompt  $\Lambda_c^+$**  's uncertainties are too large to draw a conclusion

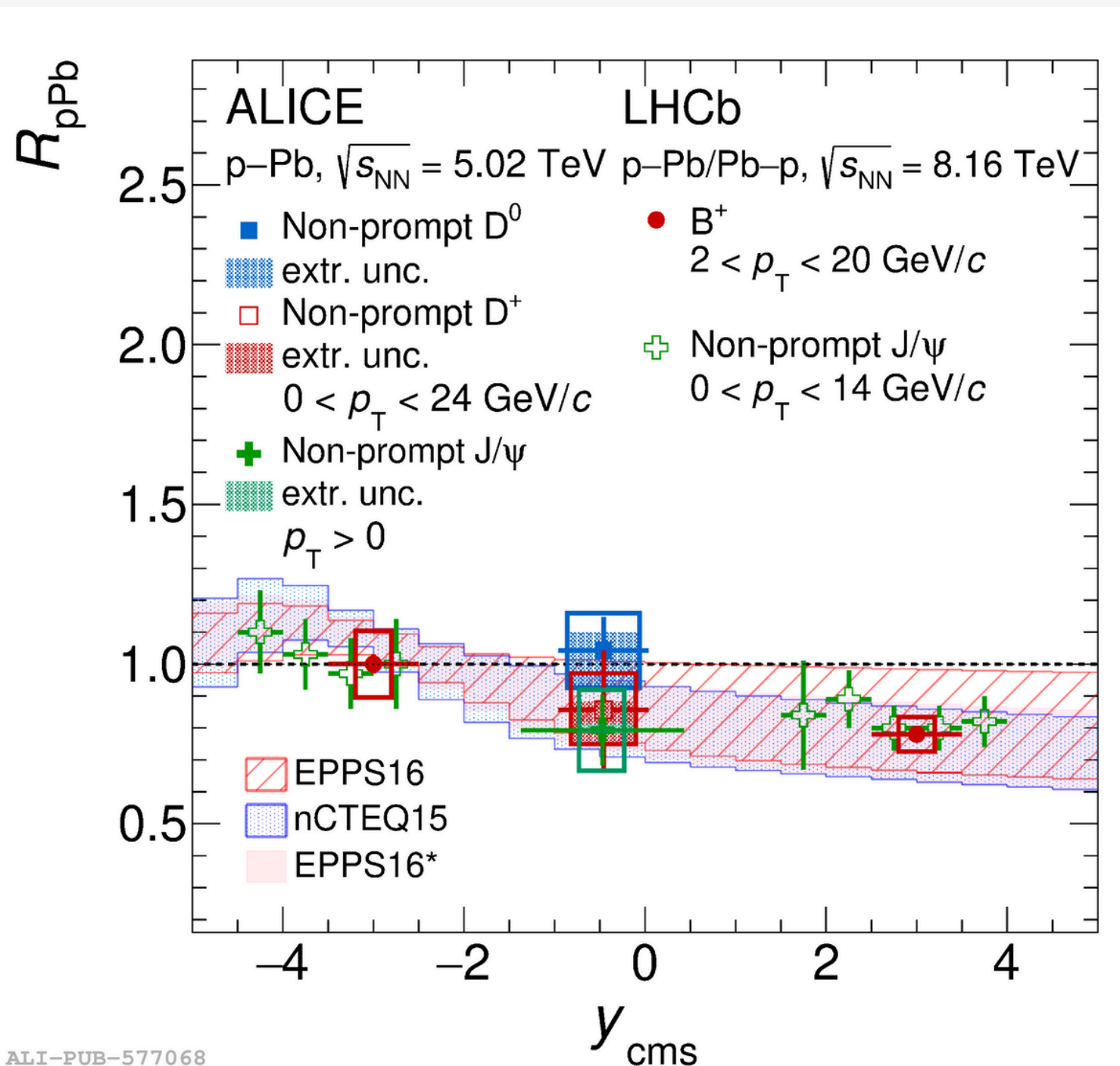
NEW on ArXiv!



# Nuclear modification factor



$p_T$  integrated  $R_{pPb}$  of D-mesons and J/ $\Psi$  vs. rapidity



- Results are **compared to  $B^+$  calculations** using HELAC-onia with different sets of PDFs
  - They all provide **good descriptions** of the data
- All data points for the considered particles are **consistent with each other and aligned with unity**

**The effects of CNM in non-prompt charm particles, if any, are small**

**HELAC-onia:** [H.-S. Shao, Comput. Phys. Commun. 184 \(2013\) 2562–2570](#)

**EPPS16:** [K. J. Eskola, P. Paakkinen, et al., Eur. Phys. J. C 77 \(2017\) 163](#)

**nCTEQ15:** [K. Kovarik et al., Phys. Rev. D 93 \(2016\) 085037](#)

**EPPS16\*:** [A. Kusina, J.-P. Lansberg et al., Phys. Rev. Lett. 121 \(2018\) 052004](#)

NEW on ArXiv!

[arXiv:2407.10593](https://arxiv.org/abs/2407.10593)

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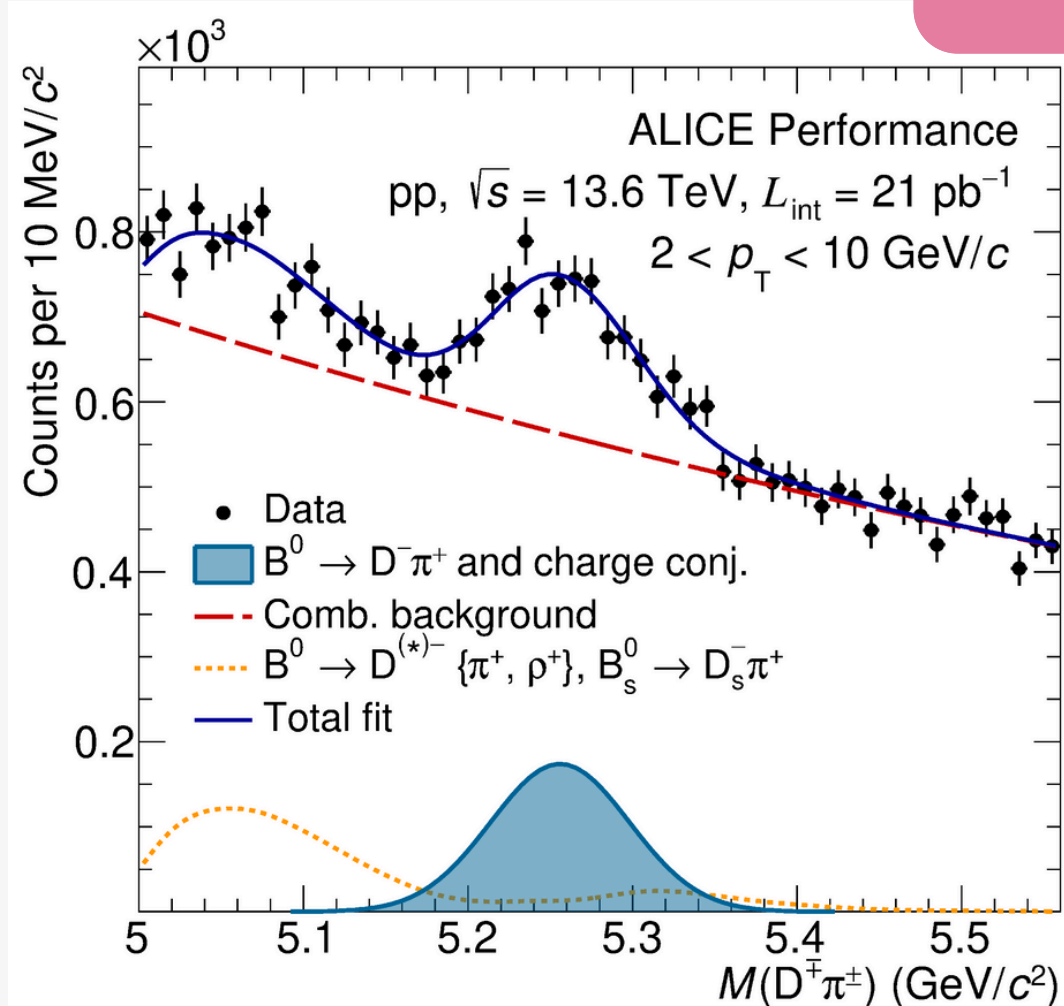
Hard Probes 2024



# Summary

First direct measurement of  $B^0$  meson in ALICE!

NEW!



01

pQCD calculations can adequately describe non-prompt **D-meson cross sections** and **meson-to-meson ratios** in pp, but do not properly describe **baryon cross sections** and **baryon-to-meson ratios** in pp in all  $p_T$  ranges

02

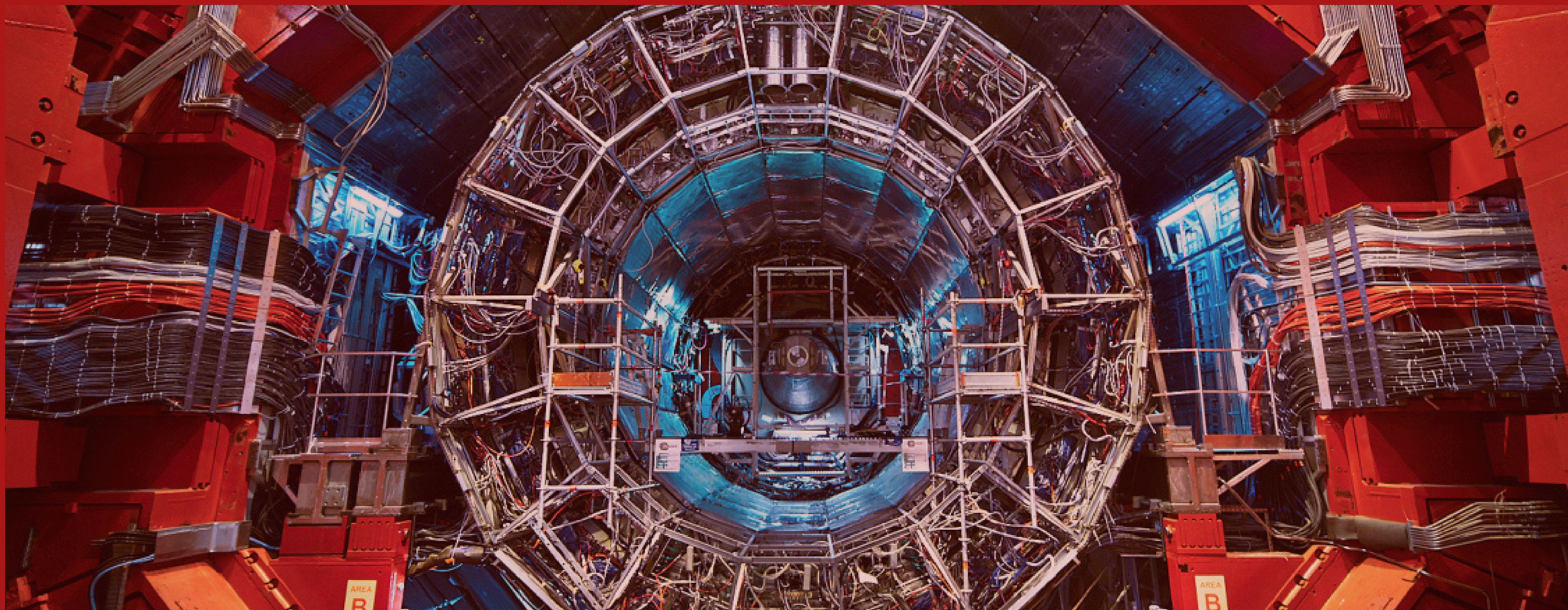
**Similar hadronisation effects** are observed in **pp** and **p–Pb** collisions. Dedicated model calculations are needed for p–Pb

03

Studies on the nuclear modification factor show that the **effects of CNM on non-prompt charm hadrons are small**

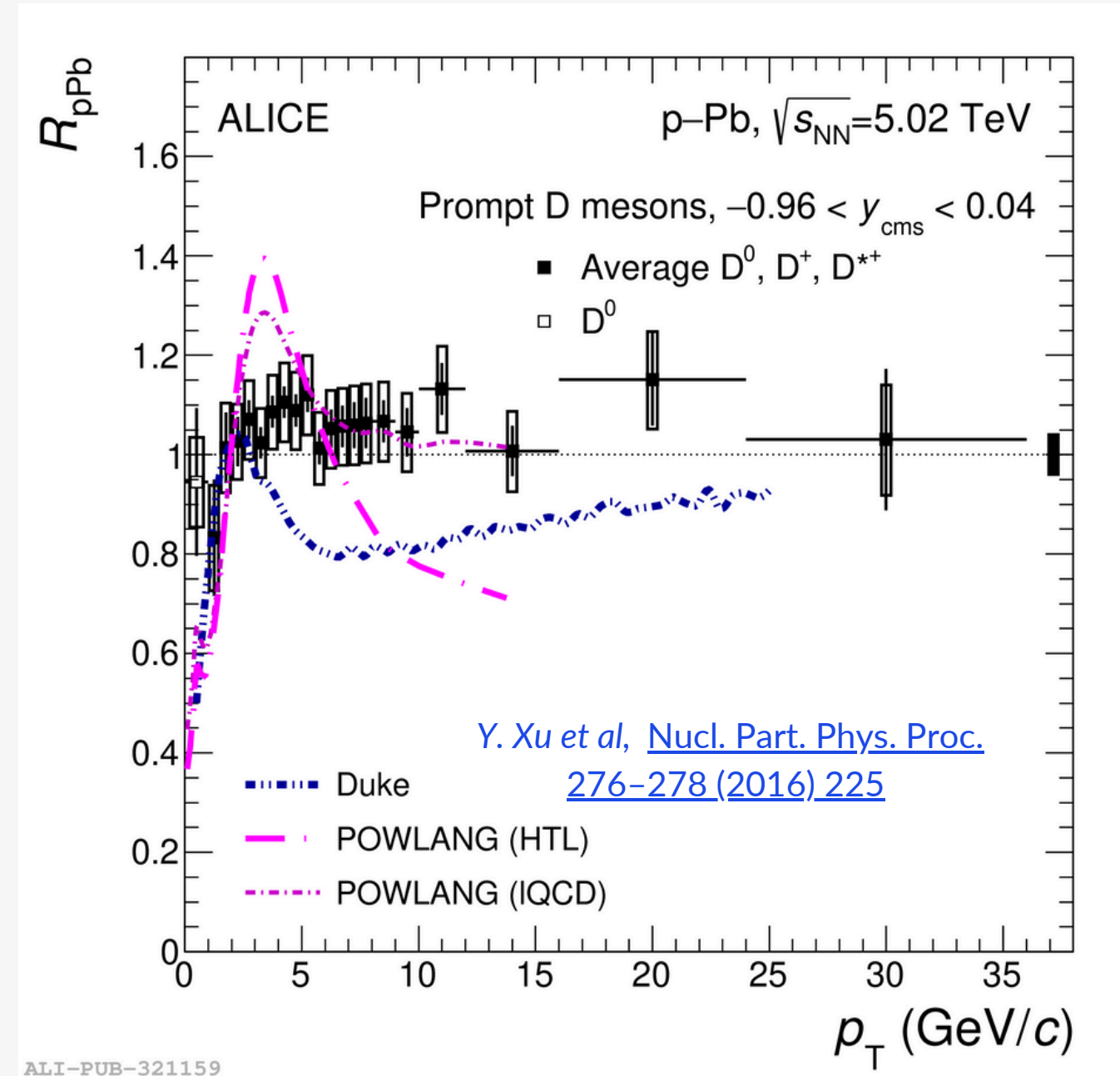
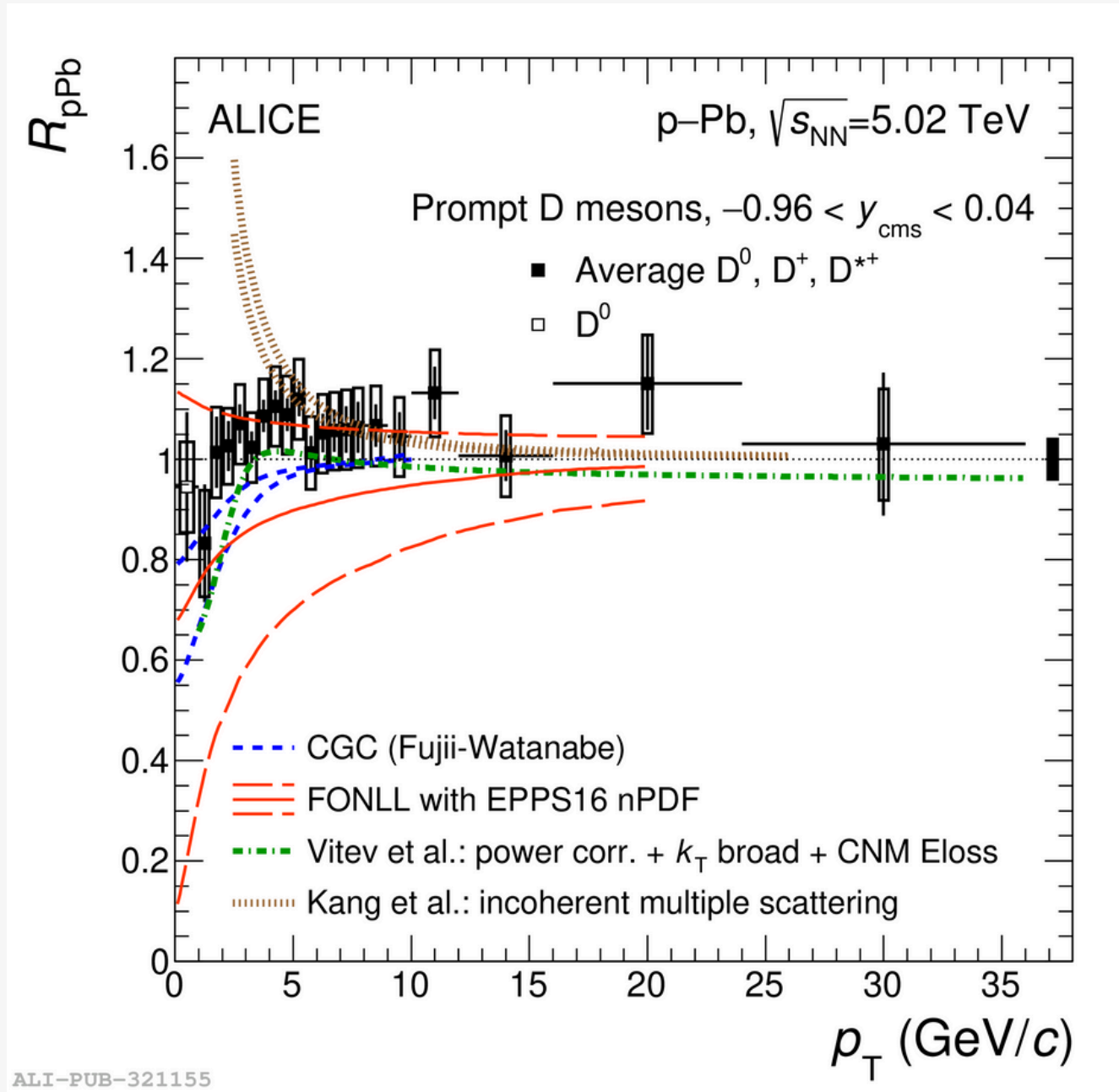
04

**More results to come from Run 3 data samples**, allowing for better constraints on theoretical models



**Thank you, ありがとう!**

**Any questions?**



### Models that only include CNM effects

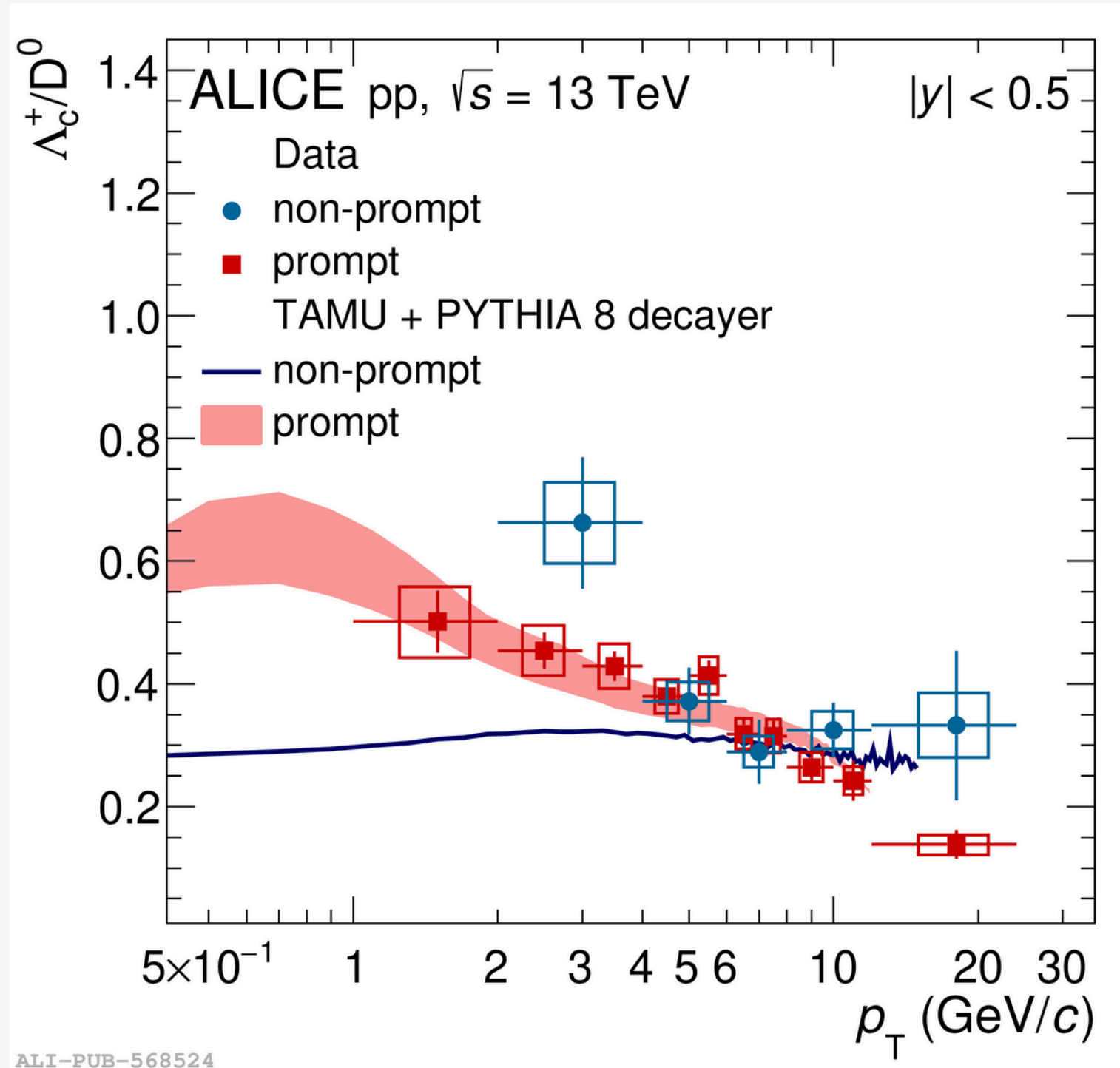
- **FONLL**, **Vitev et al.**, and **CGC** follow the same trend as the data
- **Kang et al.** is excluded by the data for  $p_T < 4$  GeV/c

### Transport models

Models suggest the existence of a **peak at low  $p_T$**  which is not visible in data



# Baryon-to-meson ratio



## $\Lambda_c^+ / D^0$ ratio vs. theoretical predictions

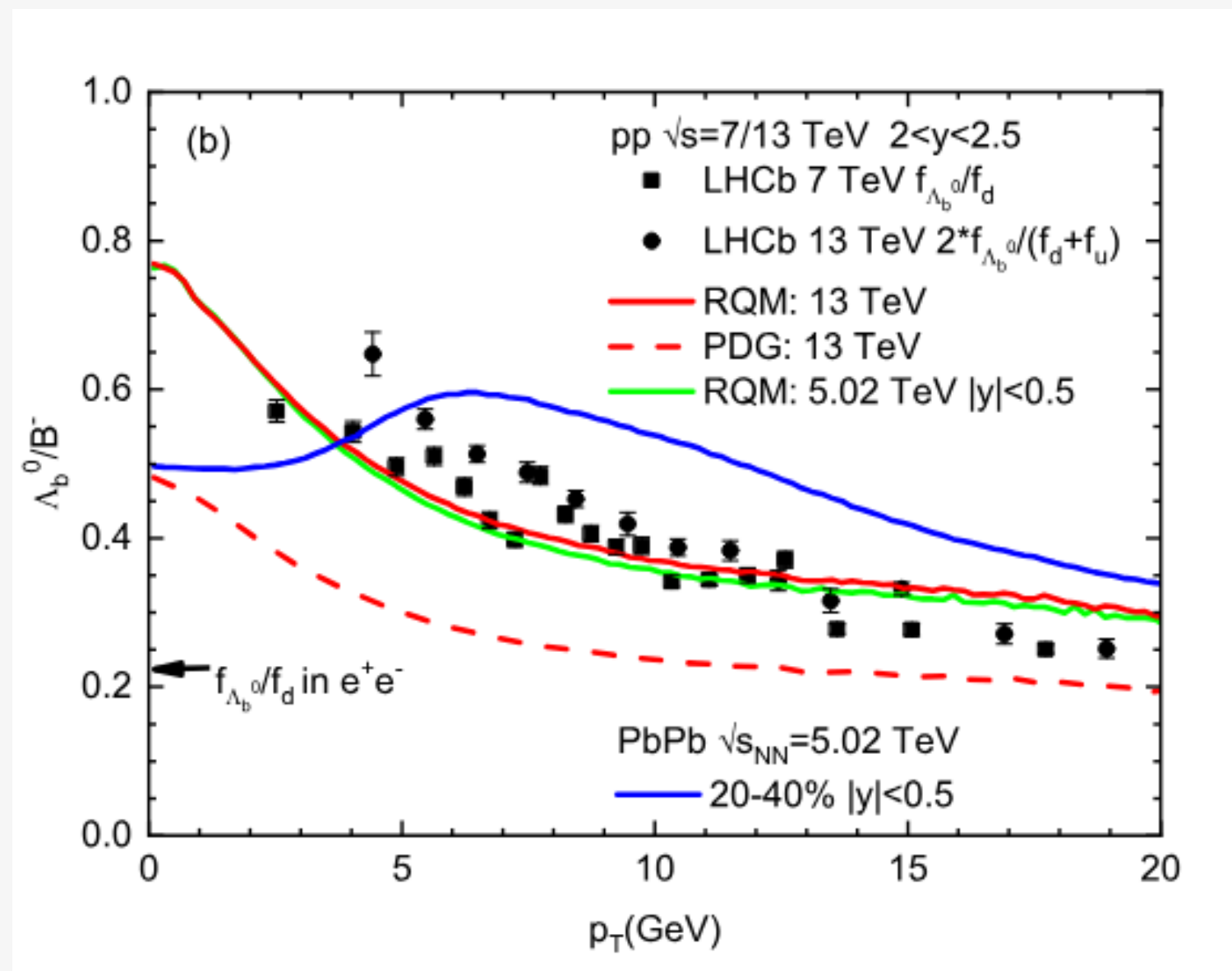
- Data is compared with TAMU + PYTHIA 8 calculations
- Prompt  $\Lambda_c^+$  are well described by the model, but that is not the case for non-prompt  $\Lambda_c^+$



# About the TAMU model

M. He and R. Rapp,  
Phys. Rev. Lett. 131 (2023) 012301

It employs a statistical hadronisation model (SHM) using a relativistic quark model (RQM) input



RQM provides a set of **unobserved b-hadron states** beyond the ones currently present in the PDG, which are needed to describe the data

Beauty fragmentation functions are calculated using **thermal densities**

**Relative abundances** of beauty-hadrons depend on their masses and a universal hadronisation temperature