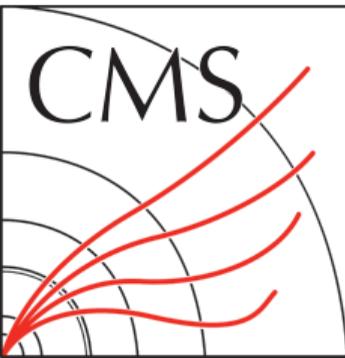


# First measurement of the $D^0$ production in photonuclear ultraperipheral heavy ion collisions with CMS to probe low-x nuclear matter

Chris McGinn on behalf  
of the CMS collaboration

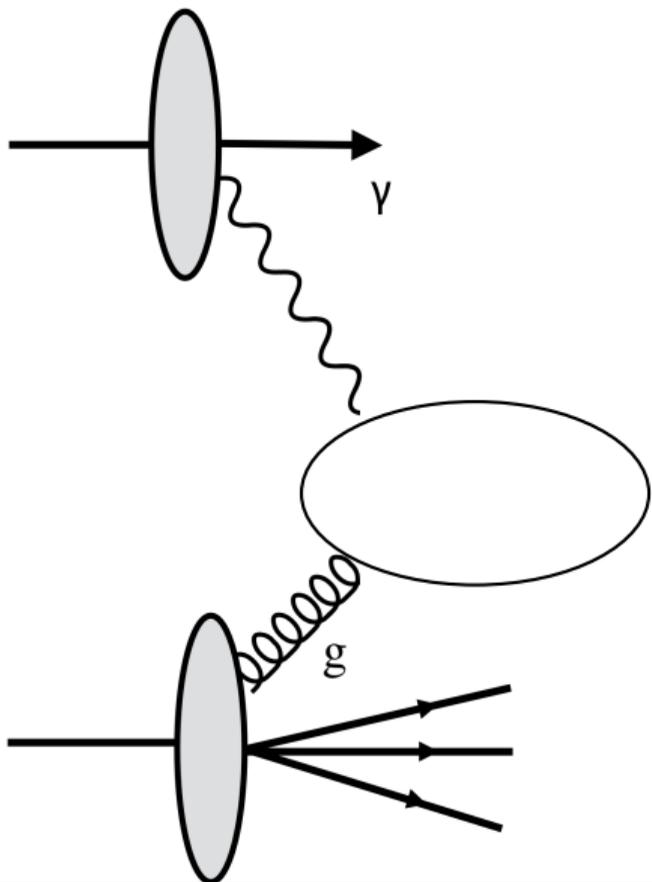
HardProbes, Nagasaki

25 September 2024



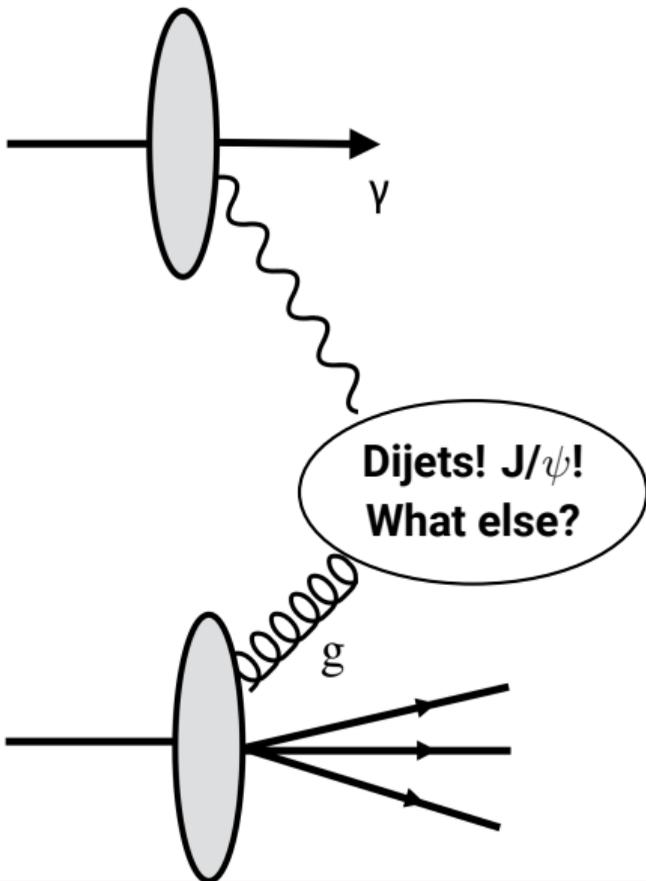
MITHIG group's work was supported by US DOE-NP

# UPC at the LHC

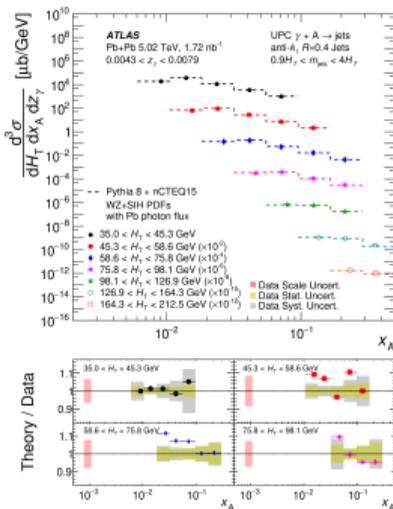


- Relativistic heavy-ions induce strong EM fields
- Ultraperipheral collisions (UPCs) occur when quasireal photons interact w/o nuclear overlap

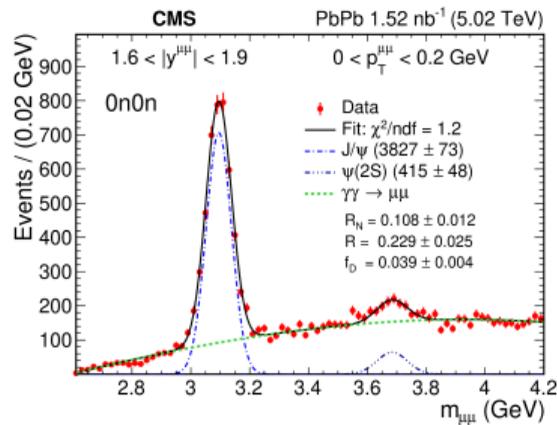
# UPC at the LHC



- Relativistic heavy-ions induce strong EM fields
- Ultraperipheral collisions (UPCs) occur when quasireal photons interact w/o nuclear overlap
- Results in UPC dijets,  $J/\psi$ , other processes
  - Probing partonic densities across a broad  $x$ ,  $Q^2$

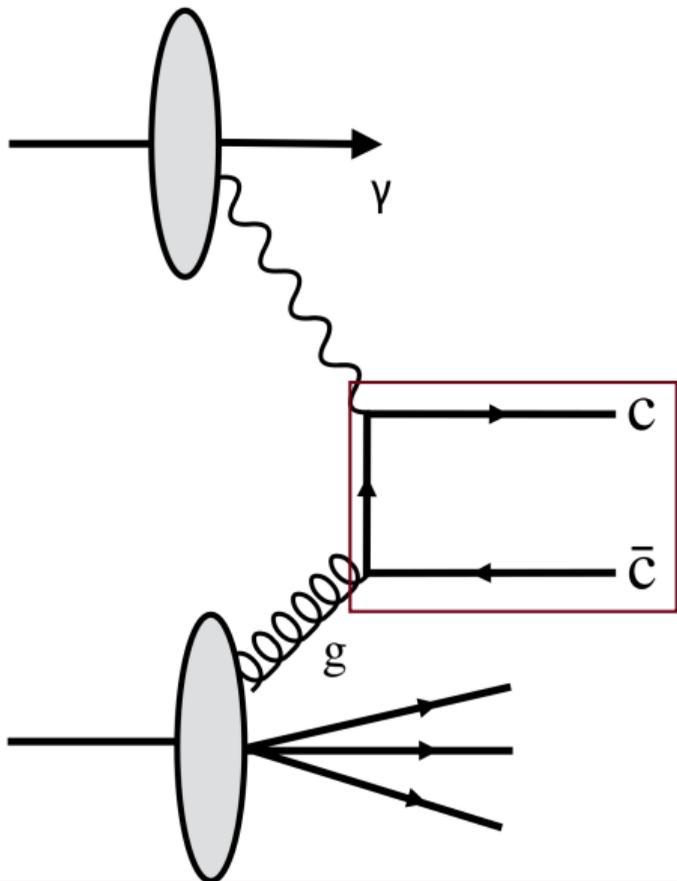


ATLAS-HION-2022-15



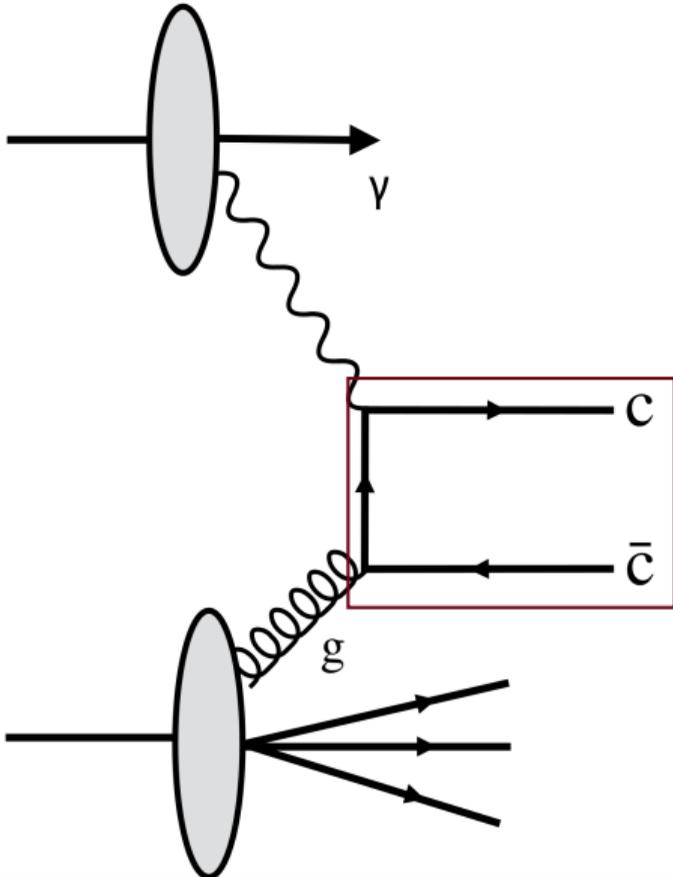
PRL 131 (2023)

# Open Heavy Flavor in UPCs

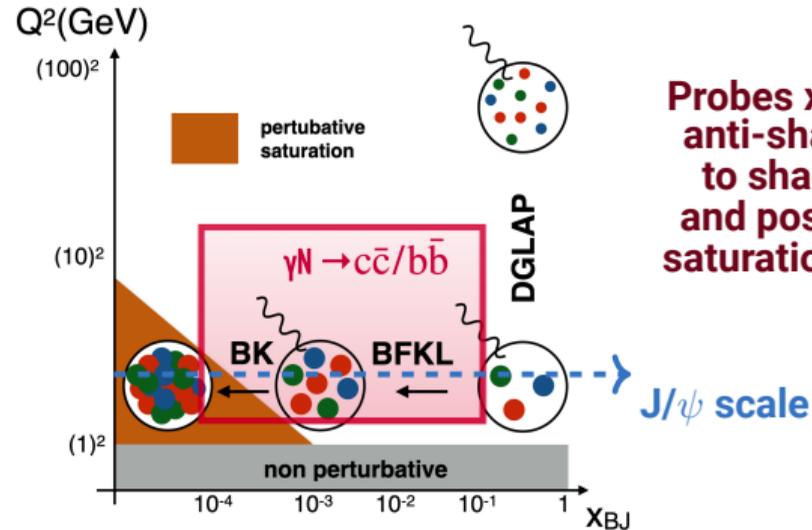


- Mass of charm  $\rightarrow$  pQCD control at  $p_T = 0!$
- Probes  $Q^2 \sim (\text{charm/bottom mass})^2$
- Complements higher  $Q^2$  of dijets down to  $Q^2 \sim J/\psi$
- Excellent control for higher order theory corrections

# Open Heavy Flavor in UPCs

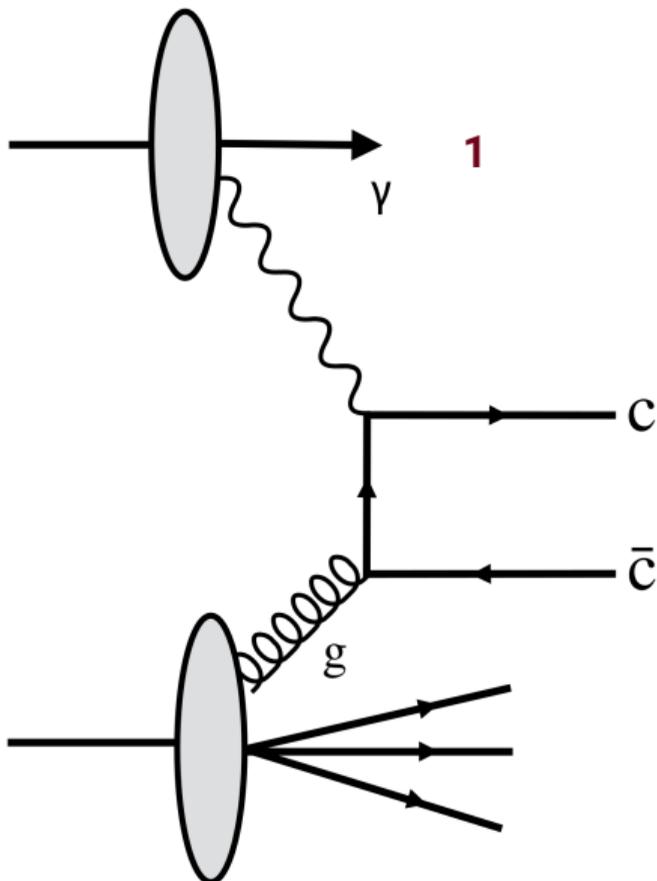


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- Probes  $Q^2 \sim (\text{charm/bottom mass})^2$
- Complements higher  $Q^2$  of dijets down to  $Q^2 \sim J/\psi$
- Excellent control for higher order theory corrections



Probes  $x$  from the anti-shadowing, to shadowing, and possibly the saturation regime!

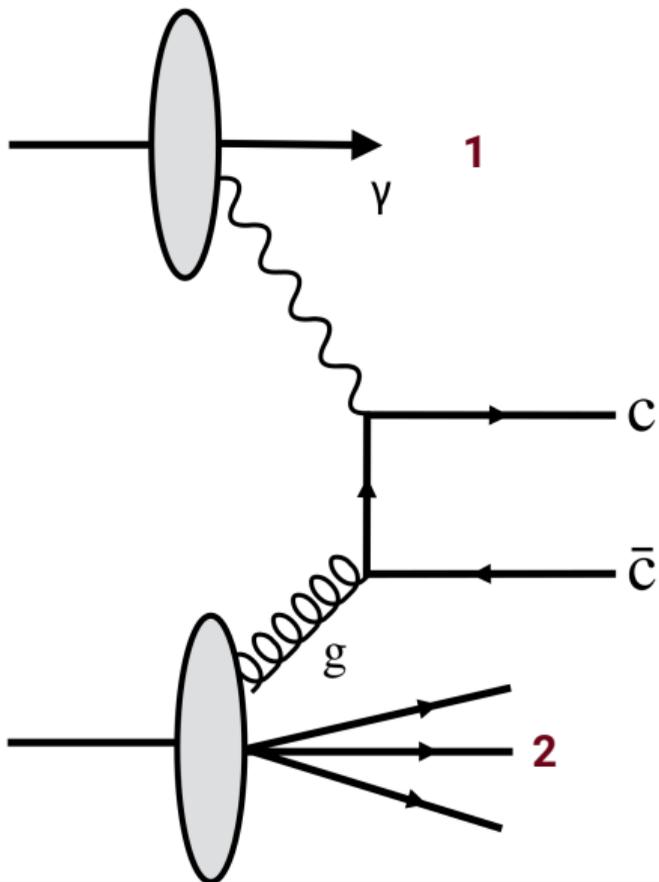
# Experimental Analysis Definition



## 1. Select 0n ZDC signal

- $\gamma$ -going

# Experimental Analysis Definition



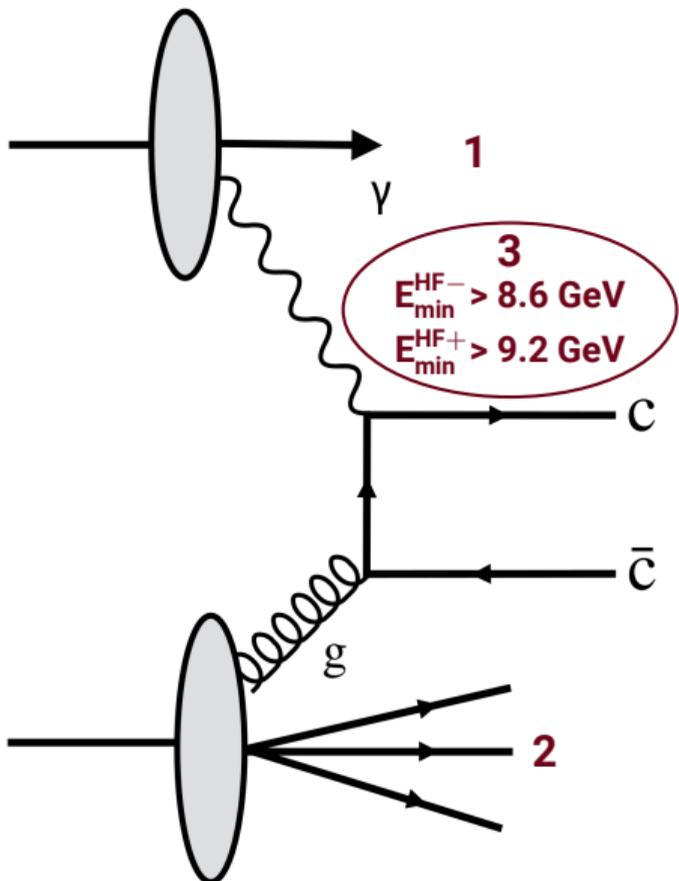
## 1. Select 0n ZDC signal

- $\gamma$ -going

## 2. Xn ZDC signal indicating nuclear breakup

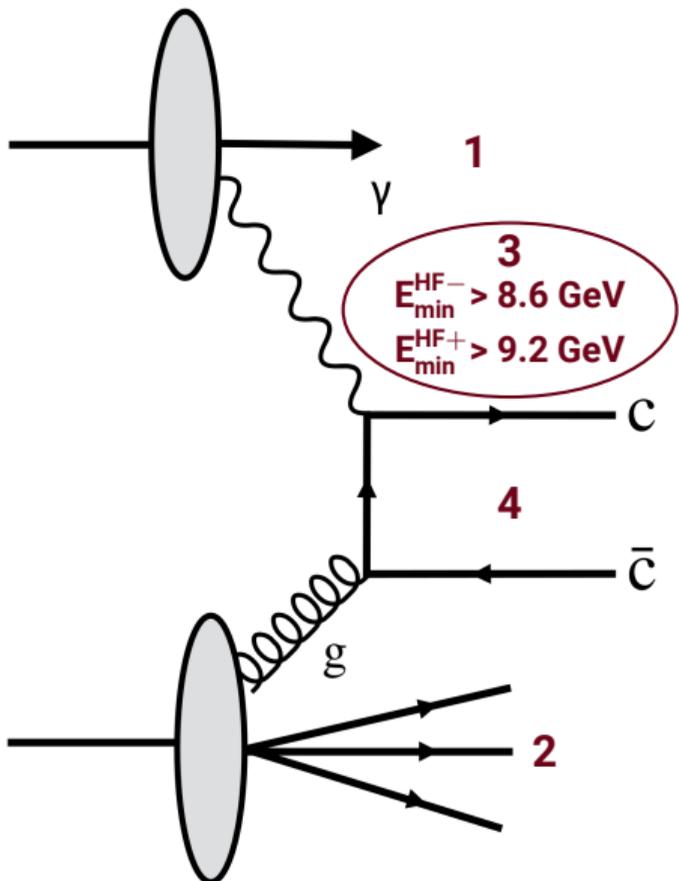
- Pb-going

# Experimental Analysis Definition



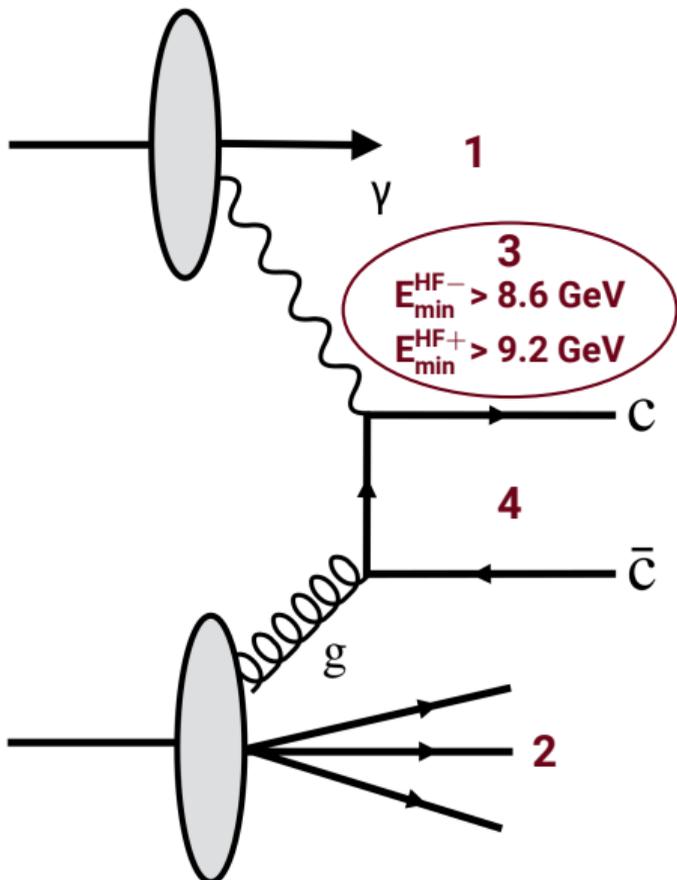
1. Select 0n ZDC signal
  - $\gamma$ -going
2. Xn ZDC signal indicating nuclear breakup
  - Pb-going
3. Rapidity-gap in  $\gamma$ -going direction

# Experimental Analysis Definition



1. Select 0n ZDC signal
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4.  $D^0$  candidate in tracker acceptance
  - Via  $K^- \pi^+$  decay channel

# Experimental Analysis Definition



1. Select 0n ZDC signal
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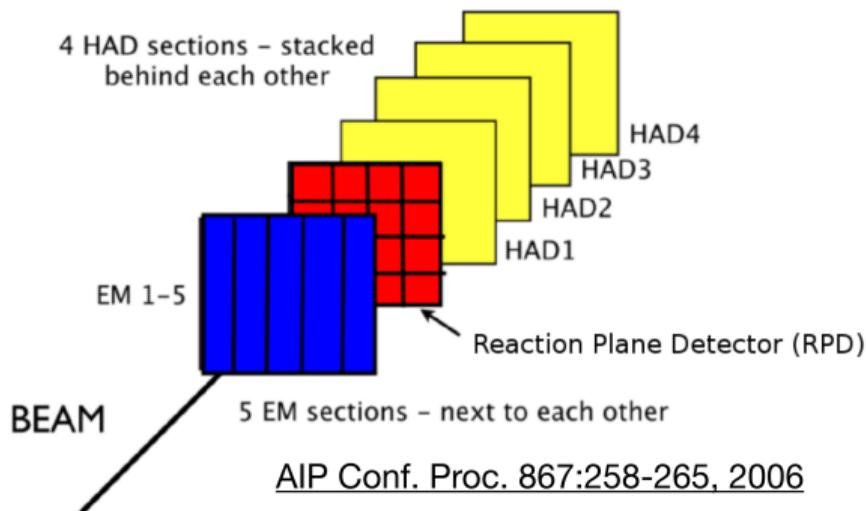
**Semi-inclusive measurement by Xn0n selection**

- **Diffraction component reduced**
- **Inclusive selection for direct/resolved processes**
- **Inclusive selection for prompt/nonprompt**

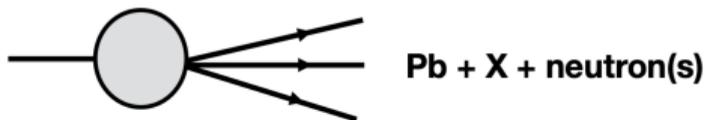
# Triggering with the ZDC

- ZDC positioned  $\pm 140\text{m}$  from IP
- Signal indicates nuclear breakup

## ZDC Layout

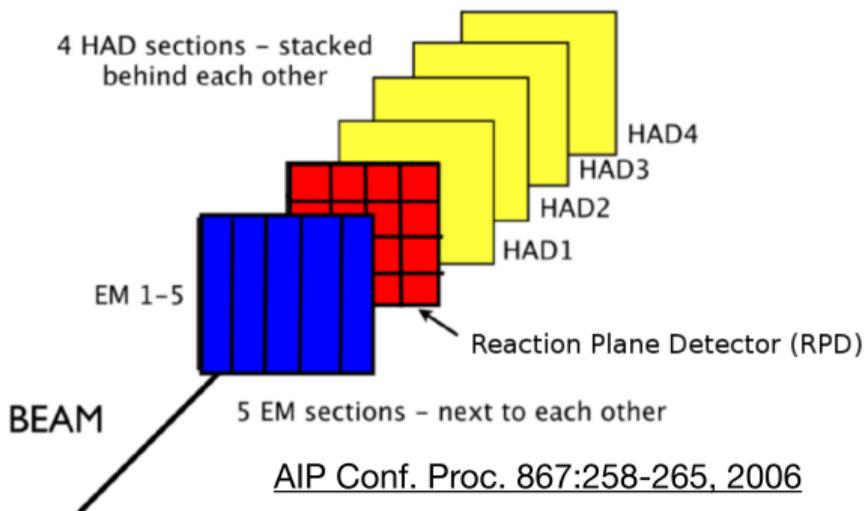


**Zero-Degree Calorimeters**  $|\eta| > 8.3$  (0.5 mrad)  
→ detect neutrons produced in the nuclear break-up process



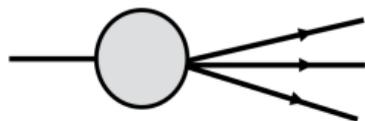
# Triggering with the ZDC

## ZDC Layout



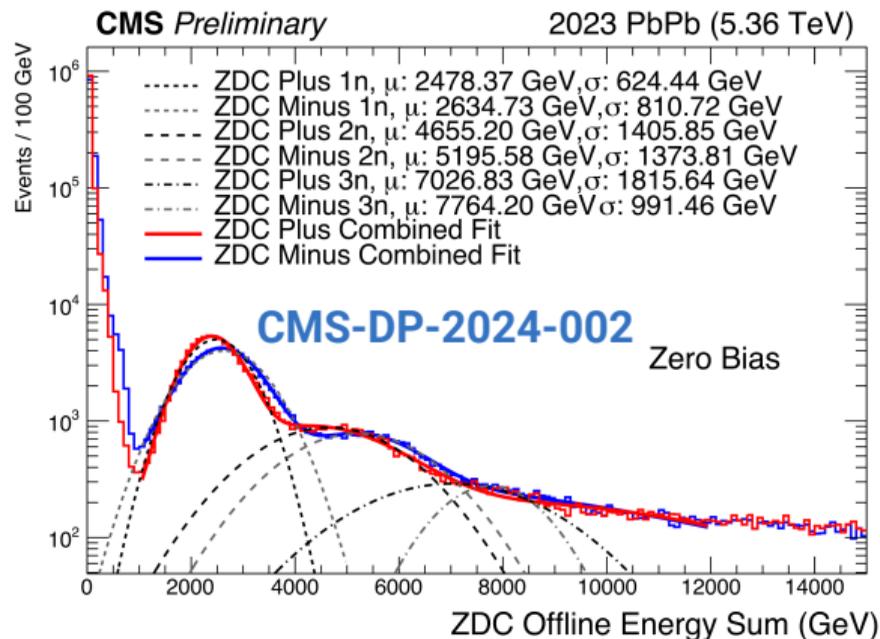
**Zero-Degree Calorimeters**  $|\eta| > 8.3$  (0.5 mrad)

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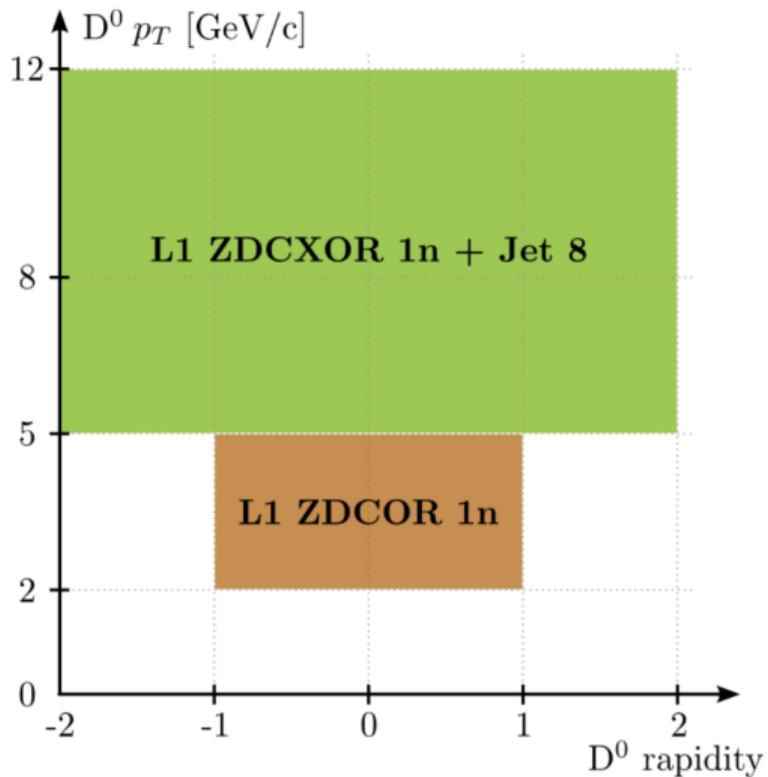


**Pb + X + neutron(s)**

- ZDC positioned  $\pm 140\text{m}$  from IP
  - Signal indicates nuclear breakup
- ZDC incorporated into CMS trigger system for the first time in 2023



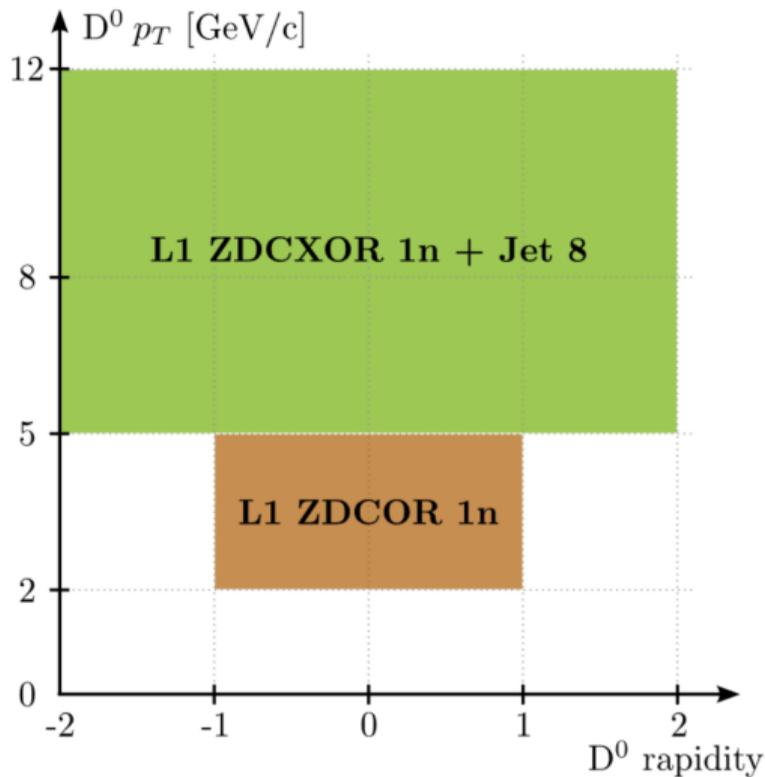
# Trigger Strategy for $D^0$



- $D^0 p_T$  2-5 GeV on pure ZDC based trigger
- $D^0 p_T > 5$  GeV add hardware jet trigger
  - Threshold Jet  $p_T > 8$  GeV

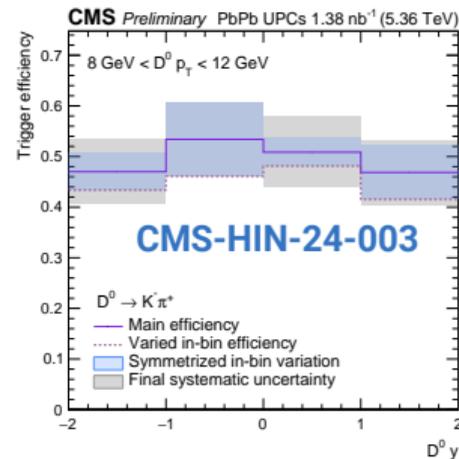
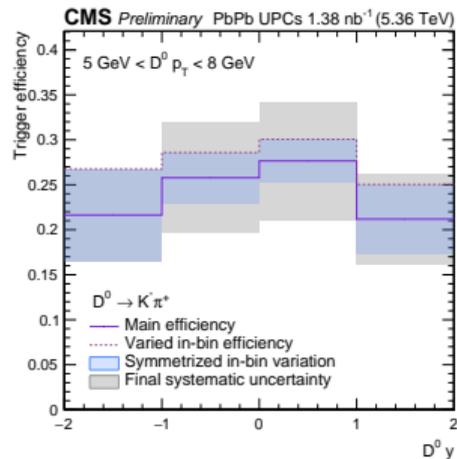
$D^0 p_T$  and  $y$  map

# Trigger Strategy for $D^0$



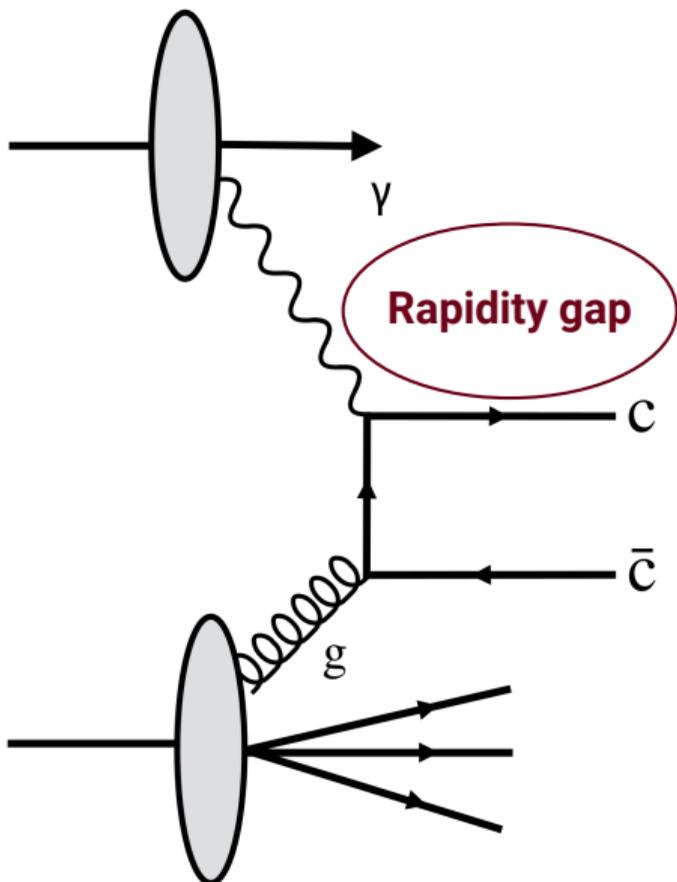
$D^0 p_T$  and  $y$  map

- $D^0 p_T$  2-5 GeV on pure ZDC based trigger
- $D^0 p_T > 5$  GeV add hardware jet trigger
  - Threshold Jet  $p_T > 8$  GeV
- Jet trigger substantially reduces prescale
  - Correct for efficiency in  $p_T, y$
  - Significant source of uncertainty

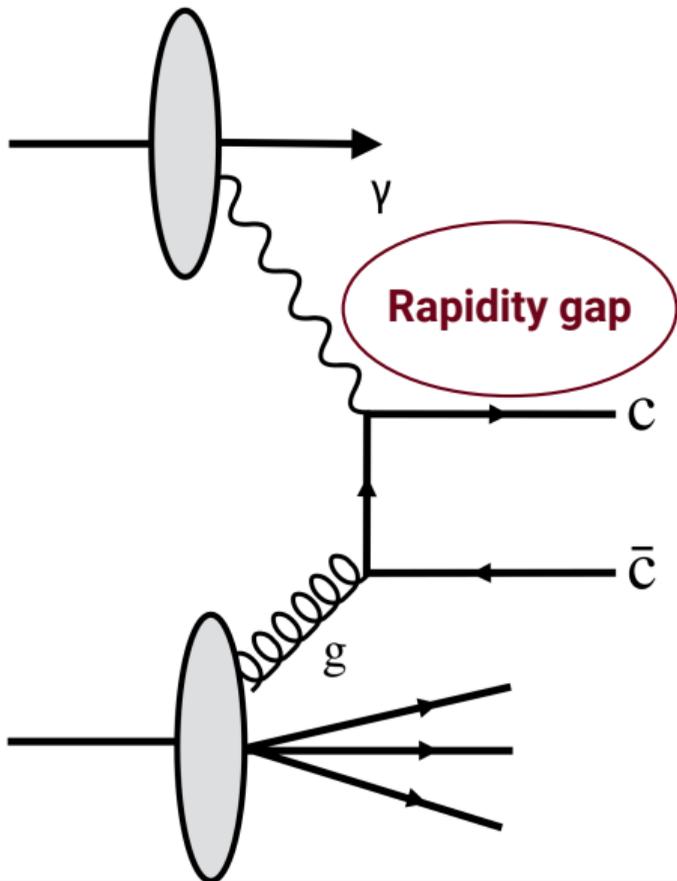


# Rapidity Gap Selection

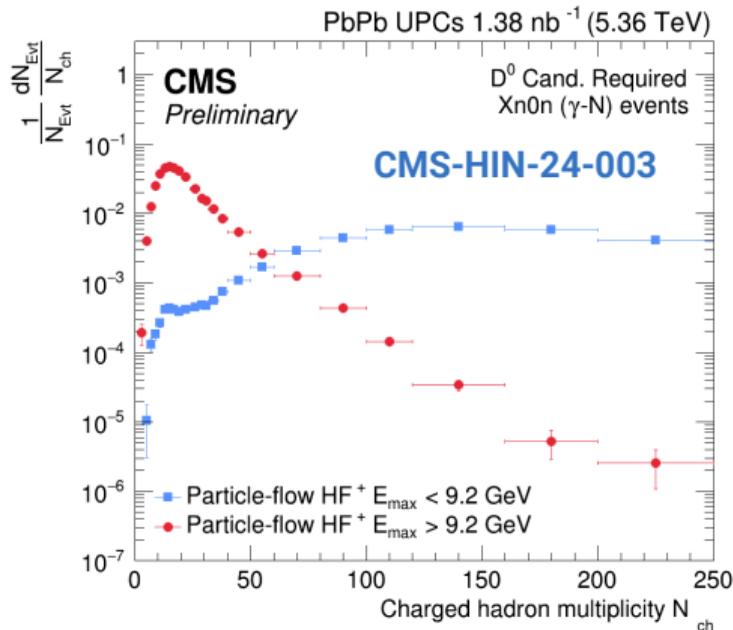
- Require also a  $\gamma$ -going rapidity gap
- Tuned to minimize bias to resolved events



# Rapidity Gap Selection



- Require also a  $\gamma$ -going rapidity gap
- Tuned to minimize bias to resolved events
- No candidates in  $HF^-$  ( $HF^+$ ) above 8.6 (9.2) GeV
  - Clear separation in charged track multiplicities

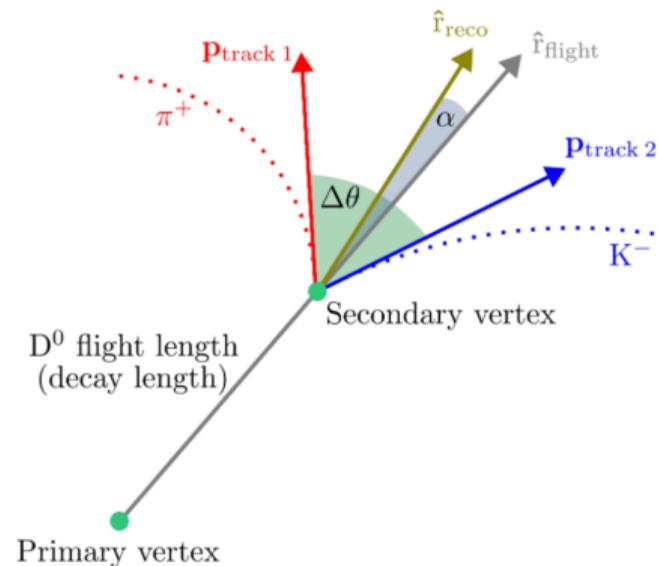


**Gap removes  
hadronic event  
contamination  
after ZDC  
selection**

# D<sup>0</sup> Selection and Efficiency (I)

D<sup>0</sup> candidates are constructed from tracks

1.  $p_T > 1$  GeV
2.  $|\eta| < 2.4$
3. Passing high-purity condition



D<sup>0</sup> kinematics

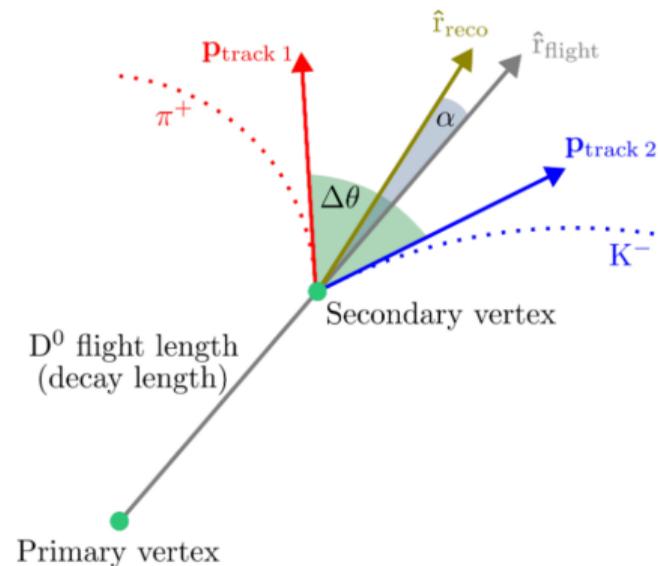
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Selections on D<sup>0</sup> kinematics ( $p_T$  and  $y$  dependent)

1. Pointing angle ( $\alpha$ )
2. Decay length significance
3. Secondary vertex probability
4. Opening angle between decay products ( $\Delta\theta$ )



D<sup>0</sup> kinematics

# D<sup>0</sup> Selection and Efficiency (II)

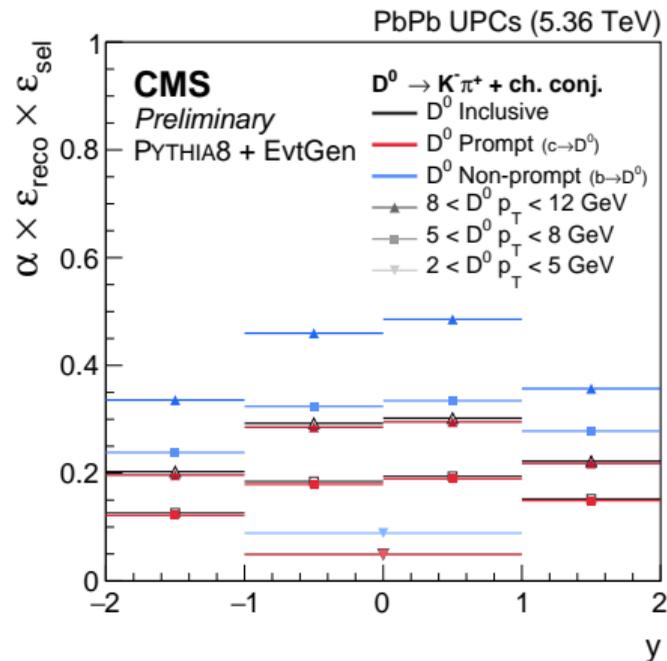
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CMS-HIN-24-003

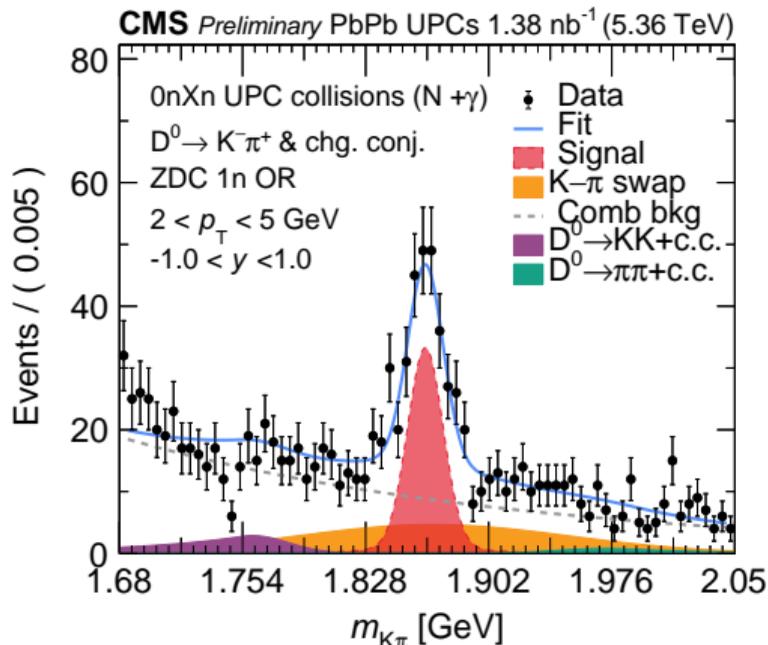


Reco. Efficiency

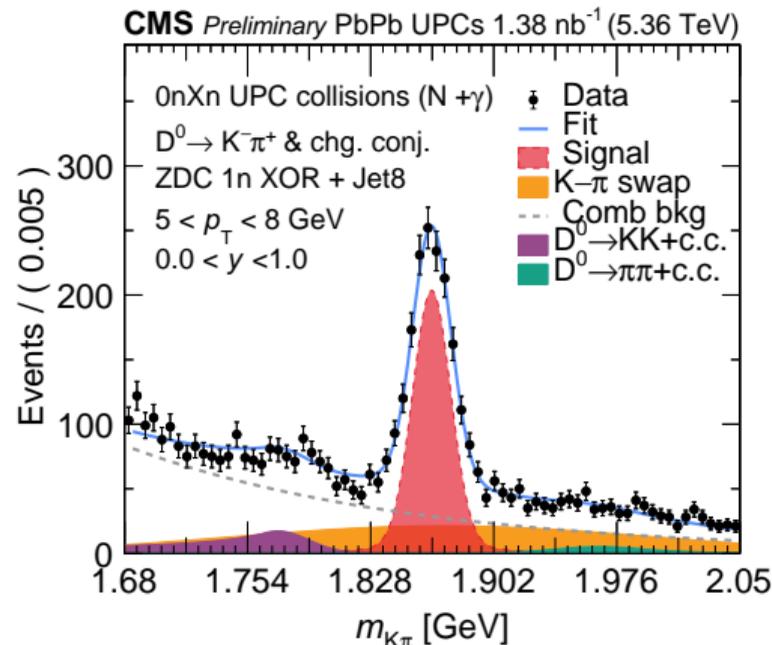
Analysis is inclusive for prompt/nonprompt D<sup>0</sup>

# D<sup>0</sup> Yield Extraction

CMS-HIN-24-003



**2 <  $p_T$  < 5 GeV**



**5 <  $p_T$  < 8 GeV**

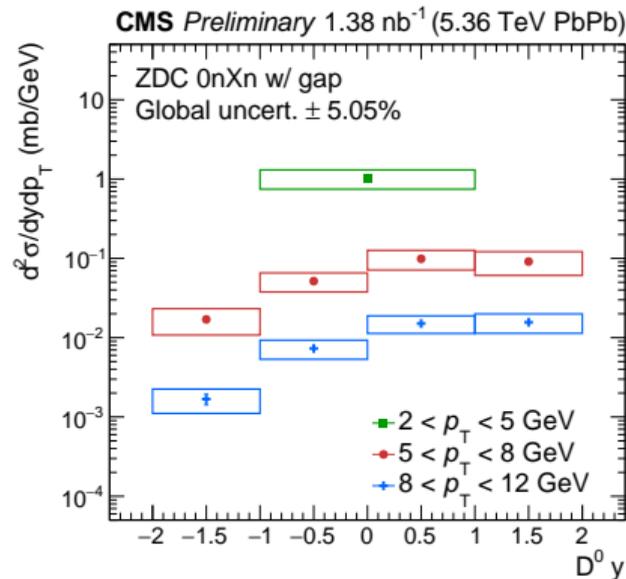
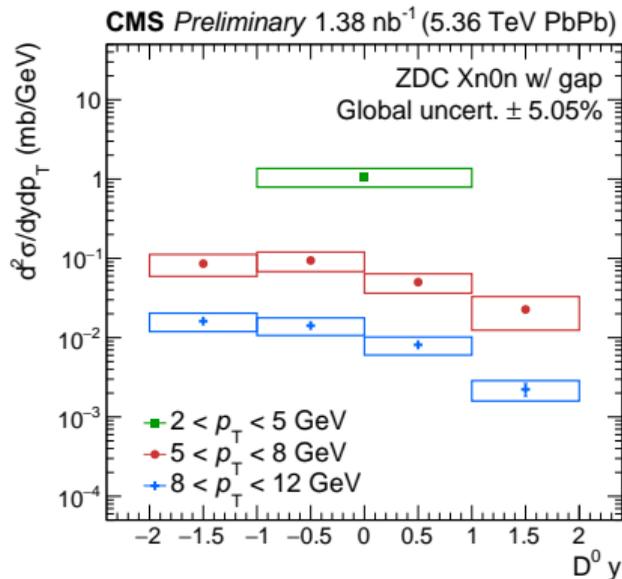
- Model D<sup>0</sup> signal peak with double Gaussian; swapped mass with single Gaussian
- Background modeled with exponential; K<sup>+</sup>K<sup>-</sup>,  $\pi^+\pi^-$  with Crystal Ball

# D<sup>0</sup> Systematic Uncertainties

- Trigger correction uncertainty
- Rapidity gap selection uncertainty by varying HF energy threshold
- Global uncertainties from luminosity and D<sup>0</sup> → K<sup>-</sup>π<sup>+</sup> branching ratio
- Tracking uncertainty accounting for Data/MC differences
- Prompt/non-prompt fraction Data/MC differences
- D<sup>0</sup> selection uncertainties; vary kinematic selections and re-extract yield/efficiency
- Uncertainties in efficiency from Data/MC
  - Differences in D<sup>0</sup> spectral shape
  - Differences in event multiplicities
- Fit modeling uncertainties
  - Varying background shape from exponential to 2nd order Chebyshev polynomial
  - Fixing the mean of the signal peak to MC value
  - Modeling of the K<sup>+</sup>K<sup>-</sup> and π<sup>+</sup>π<sup>-</sup> peaks

**Blue** → a typically dominant uncertainty for  $p_T > 5$  GeV

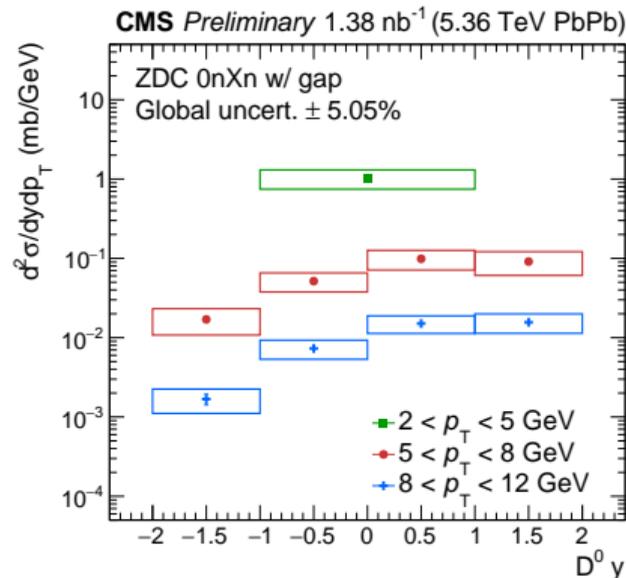
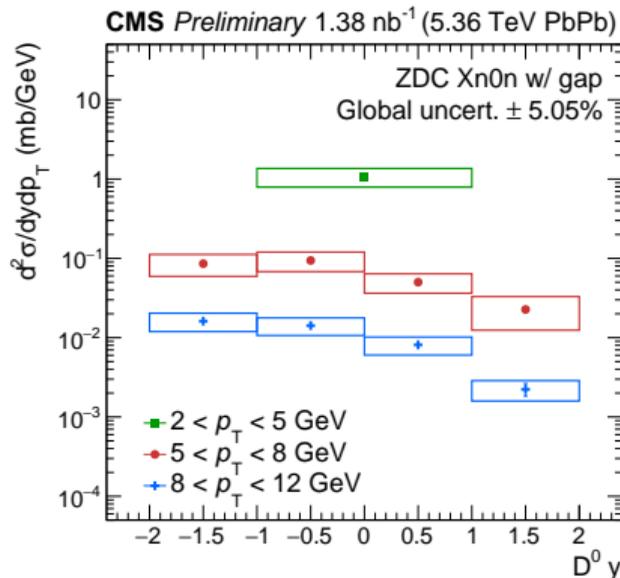
# D<sup>0</sup> Differential Cross Sections in $p_T, y$



CMS-HIN-24-003

$$\frac{d^2\sigma}{dp_T dy} = \frac{1}{2} \frac{1}{\mathcal{L}_{int} P_{trig,presc}} \frac{1}{BR^{D^0 \rightarrow K^- \pi^+}} \frac{N_{D^0 + \bar{D}^0}^{raw}}{\Delta p_T \Delta y} \frac{1}{\epsilon_{evt} \epsilon_{trigger} \epsilon_{D^0}^{tot} \epsilon_{EMpileup}}$$

# D<sup>0</sup> Differential Cross Sections in $p_T, y$



$$\frac{d^2\sigma}{dp_T dy} = \frac{1}{2} \frac{1}{\mathcal{L}_{int} P_{trig,presc} BR^{D^0 \rightarrow K^- \pi^+} \Delta p_T \Delta y} \frac{N_{D^0 + \bar{D}^0}^{raw}}{\epsilon_{evt} \epsilon_{trigger} \epsilon_{D^0}^{tot} \epsilon_{EMpileup}}$$

CMS-HIN-24-003

- Extracted for Xn0n (left) and 0nXn (right) conditions
- Result probes a broad range in  $x$  and  $Q^2$ , with  $p_T$  and rapidity coverage
- Statistically consistent after rapidity reflection; combine for theory comparisons

# D<sup>0</sup> Theory Comparisons (I)

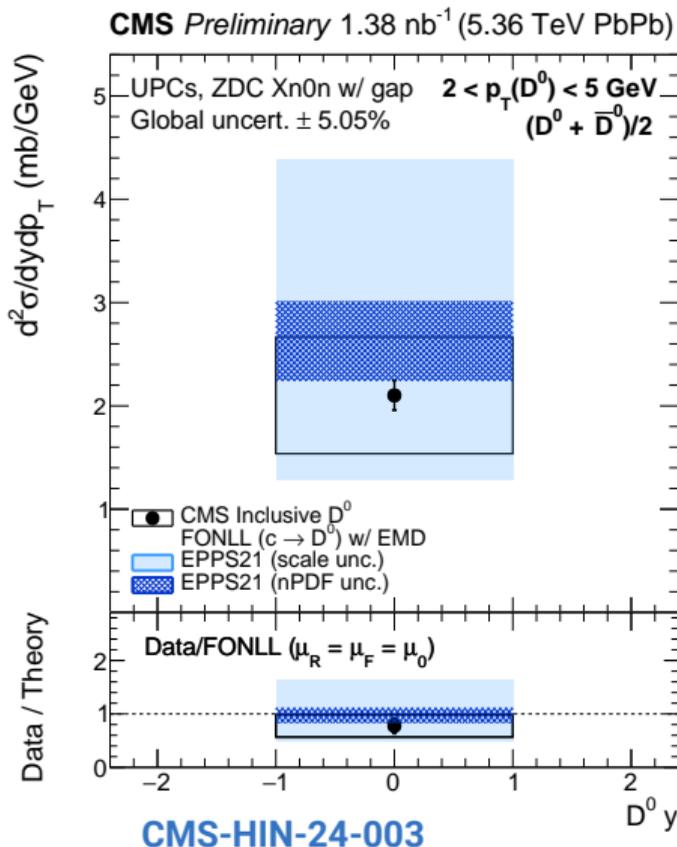


## FONLL w/ EPPS21 nPDFS

- Light blue band indicates scale uncertainty
- Hatched dark blue band is nPDF uncertainty
- Via A.M. Stasto et al., paper in preparation

$2 < D^0 p_T < 5 \text{ GeV}$

- Lowest  $x/Q^2$  probe
- Central value slightly below FONLL
- Observe agreement within theoretical and experimental uncertainties



# D<sup>0</sup> Theory Comparisons (II)

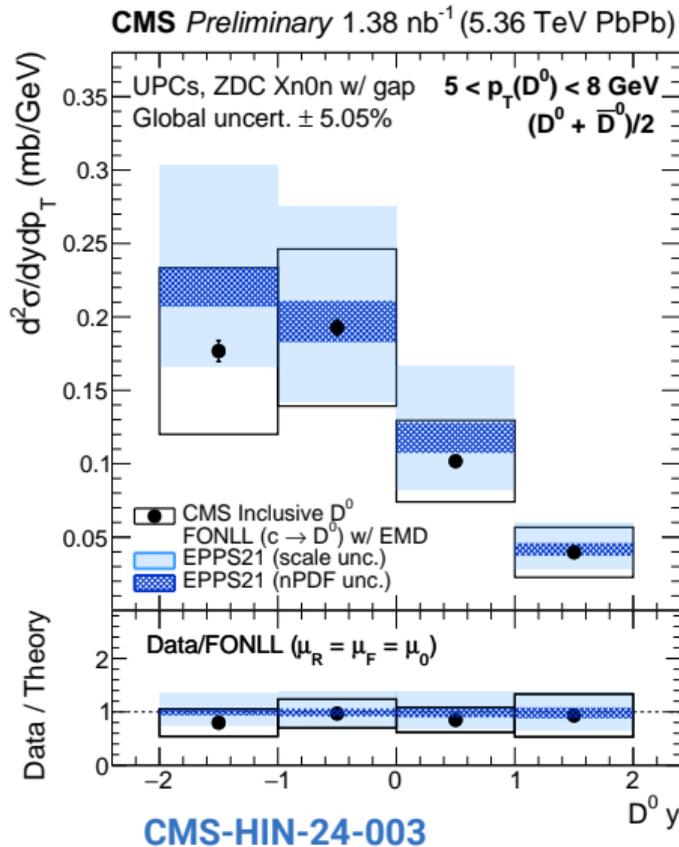


## FONLL w/ EPPS21 nPDFS

- Light blue band indicates scale uncertainty
- Hatched dark blue band is nPDF uncertainty
- Via A.M. Stasto et al., paper in preparation

$5 < D^0 p_T < 8 \text{ GeV}$

- Intermediate  $x/Q^2$  probe; add  $y$  dependence
- Central value consistent-to-below FONLL
- Observe agreement within theoretical and experimental uncertainties



# D<sup>0</sup> Theory Comparisons (III)

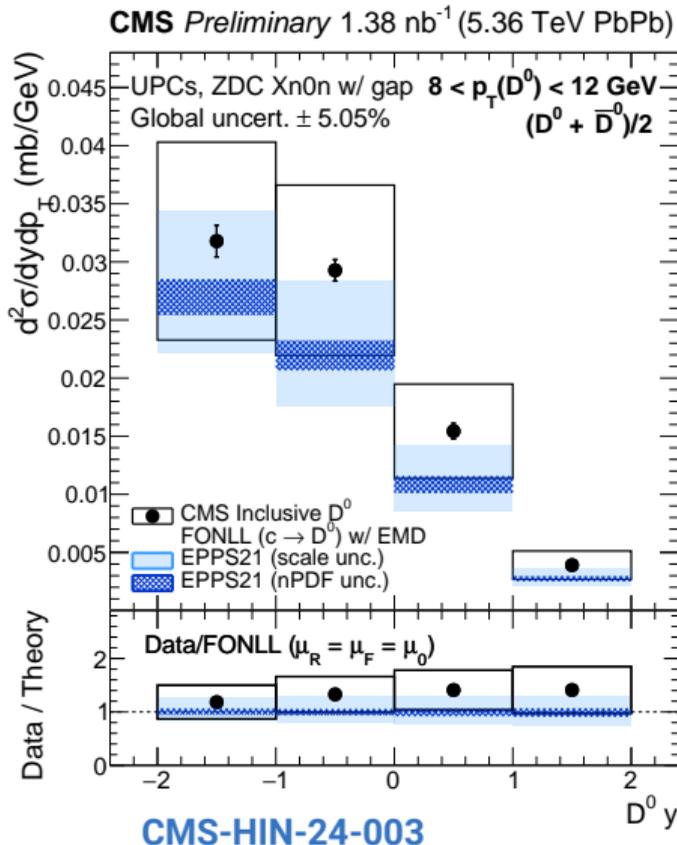


## FONLL w/ EPPS21 nPDFS

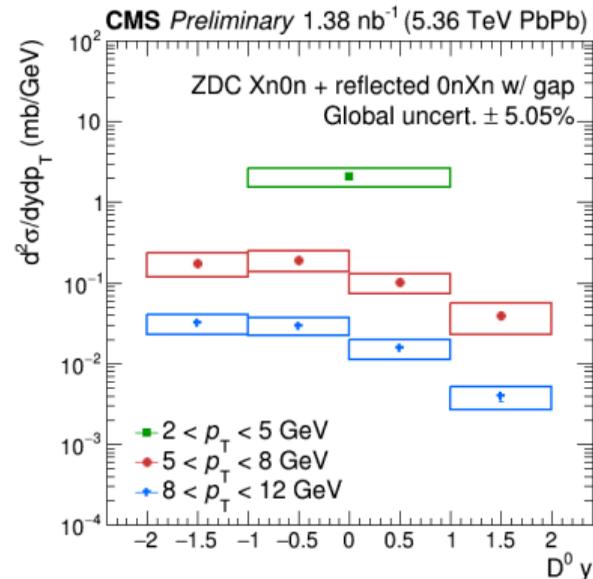
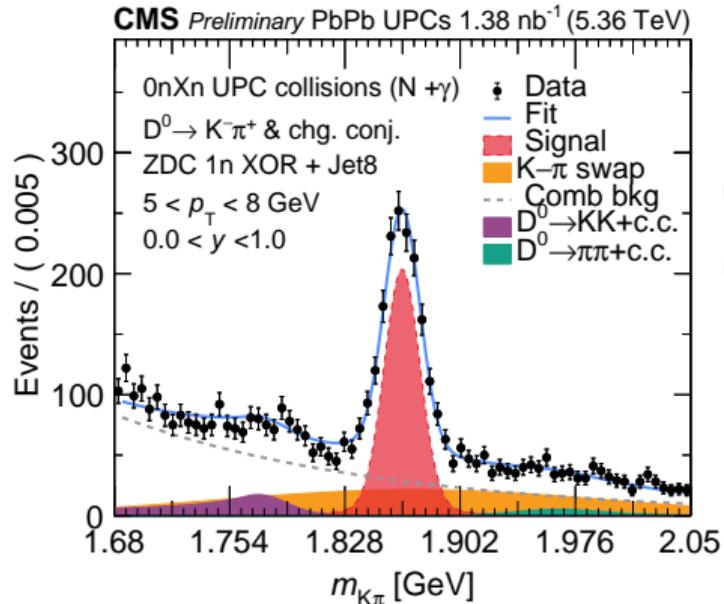
- Light blue band indicates scale uncertainty
- Hatched dark blue band is nPDF uncertainty
- Via A.M. Stasto et al., paper in preparation

$8 < D^0 p_T < 12 \text{ GeV}$

- Highest  $x/Q^2$  probe; add  $y$  dependence
- Central value slightly above FONLL
- Observe agreement within theoretical and experimental uncertainties



# Conclusions



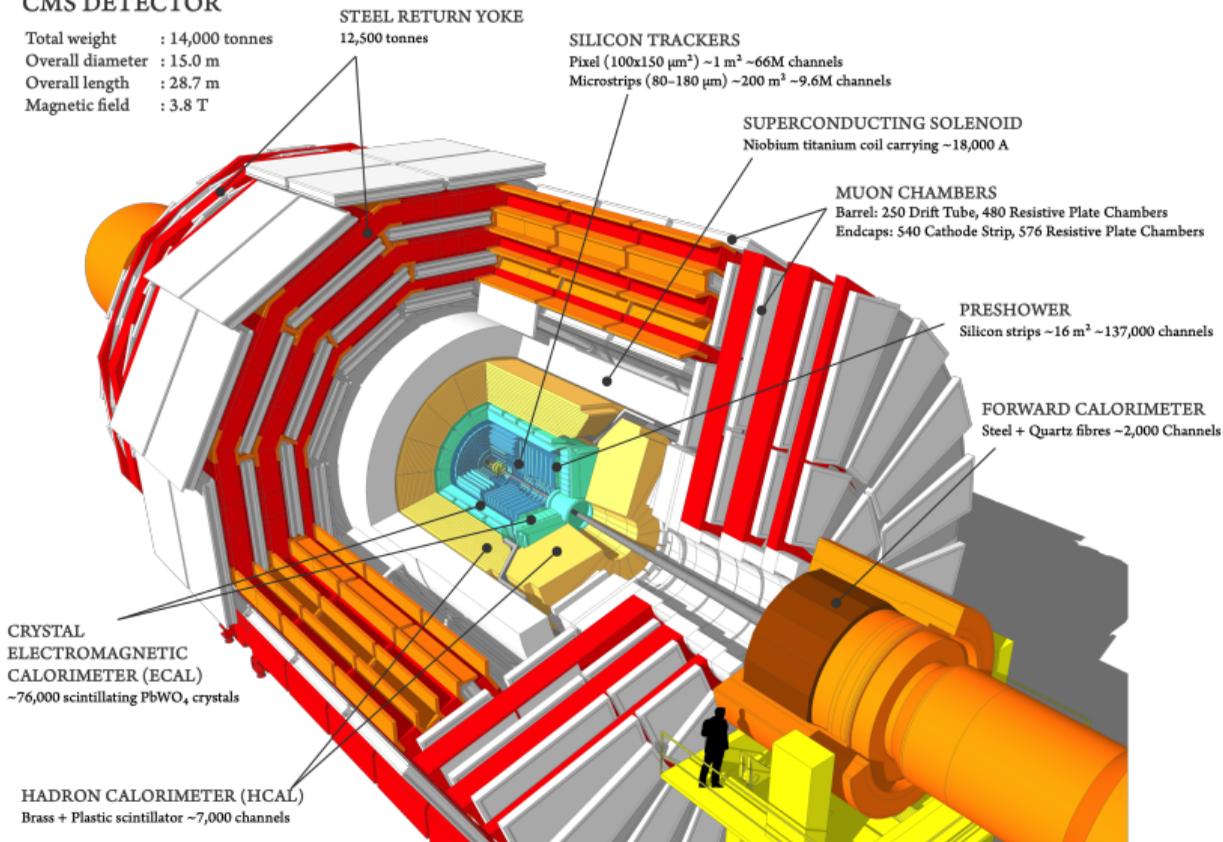
- First measurement of UPC  $D^0$  differential cross sections at the LHC
- New sensitivity to nPDFs over a broad  $x$ ,  $Q^2$  with a pQCD controlled probe
  - Constraints from the cleaner UPC (compared to hadronic) environment
- Opening a new program at CMS; stay tuned!

**Backup**

# The CMS Detector

## CMS DETECTOR

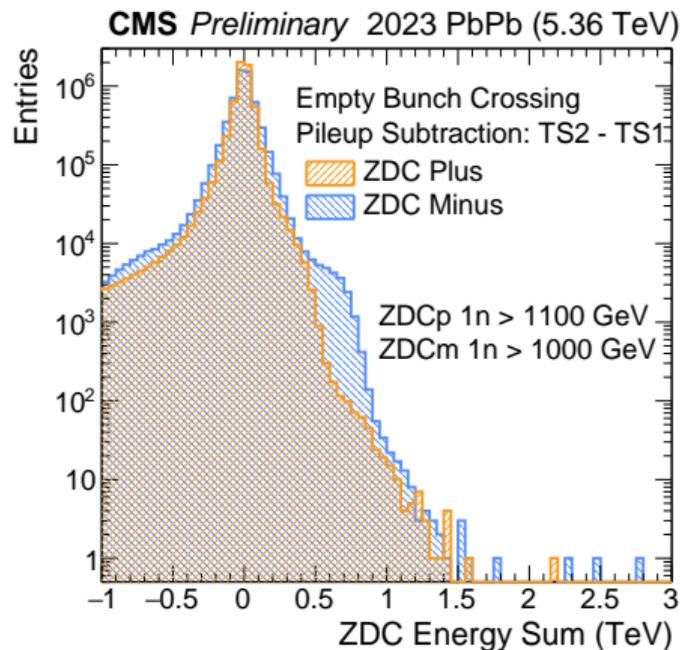
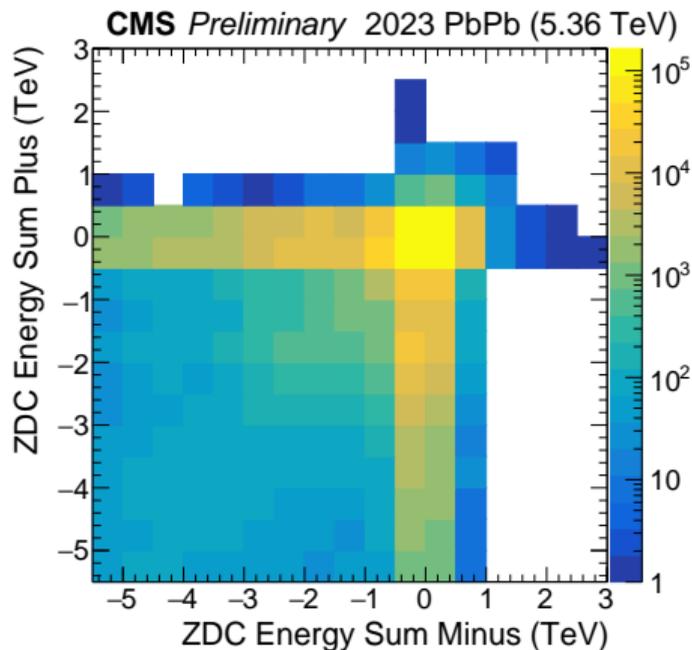
Total weight : 14,000 tonnes  
Overall diameter : 15.0 m  
Overall length : 28.7 m  
Magnetic field : 3.8 T



## Study of HI enabled by The CMS Detector

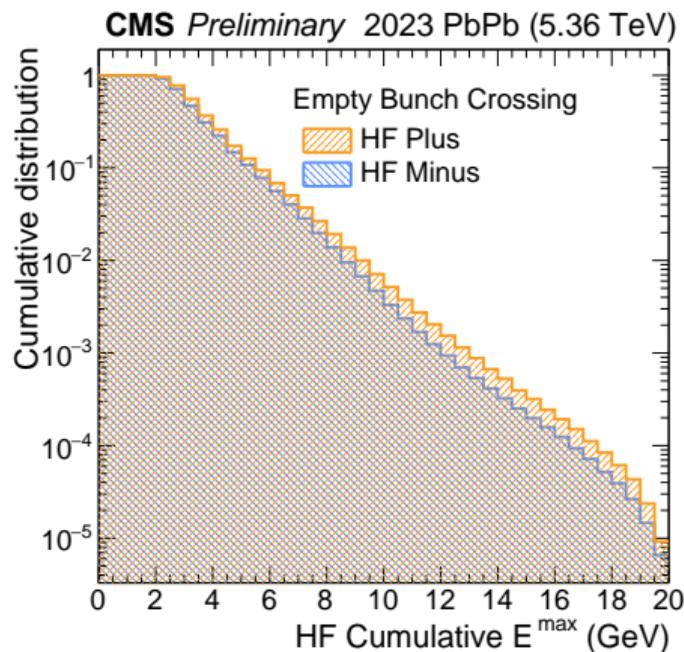
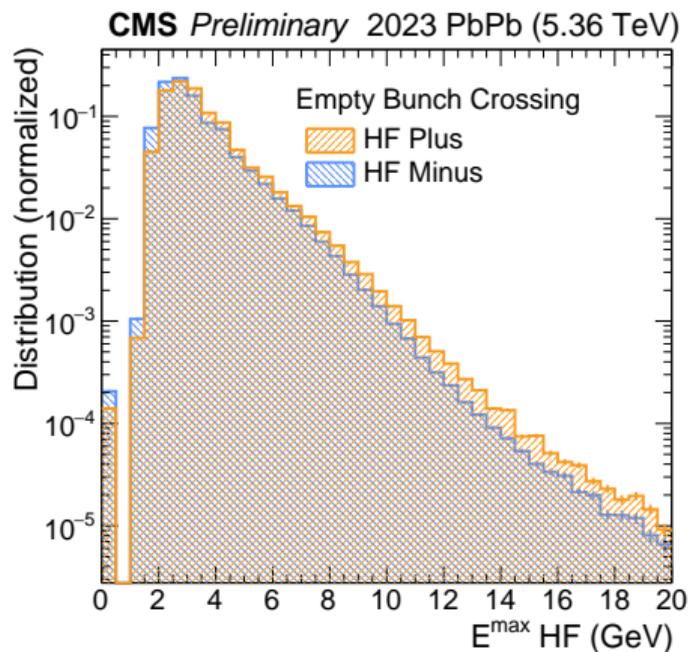
- Silicon trackers for charged hadrons
- ECAL for photons /  $\pi^0$
- HCAL for neutrals
- Forward calorimeters for event activity / centrality
- All detectors in combination produce jets

# ZDC in Empty Bunches



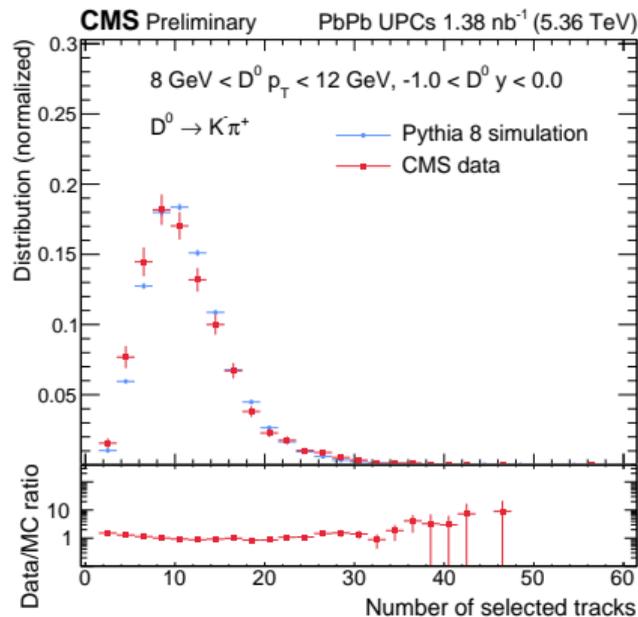
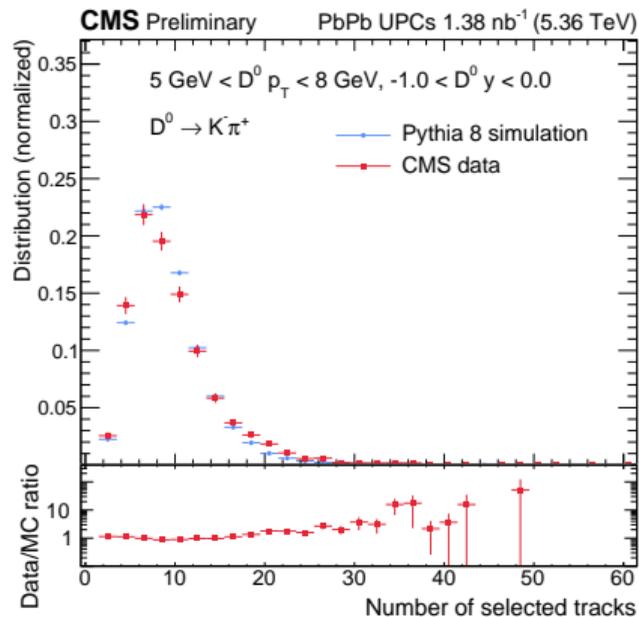
- Empty bunches used to map noise distributions

# HF in Empty Bunches



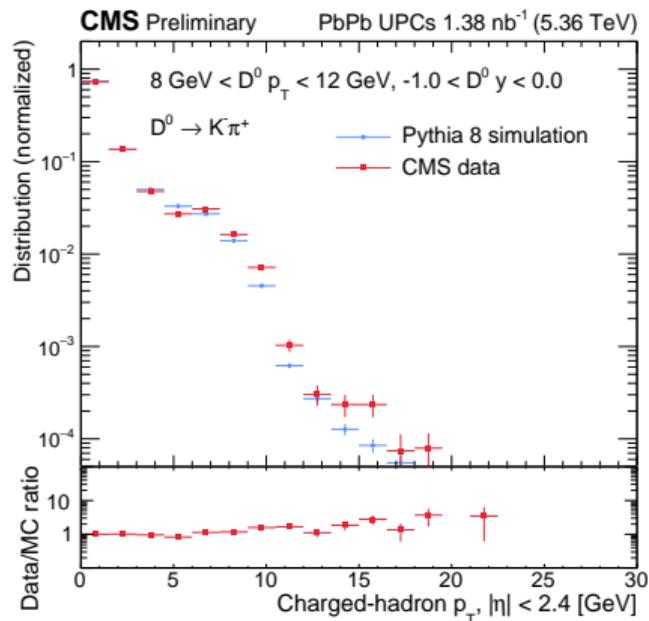
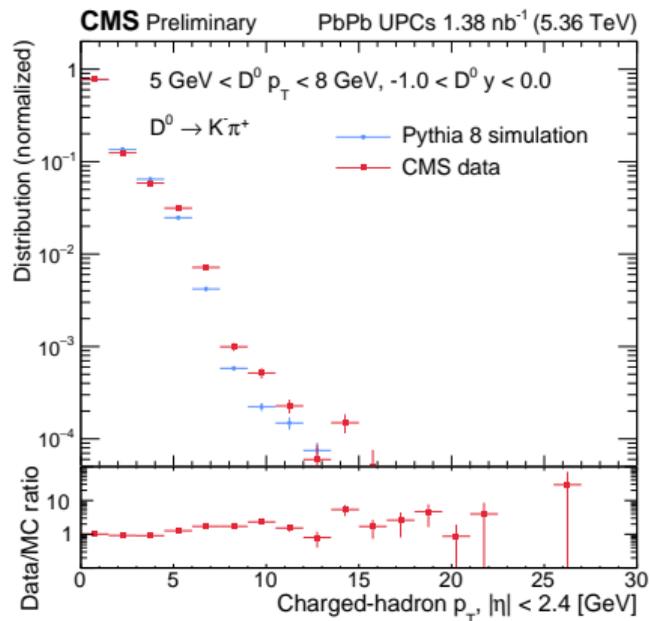
- Empty bunches used to map noise distributions

# Data/MC Comparisons (I)



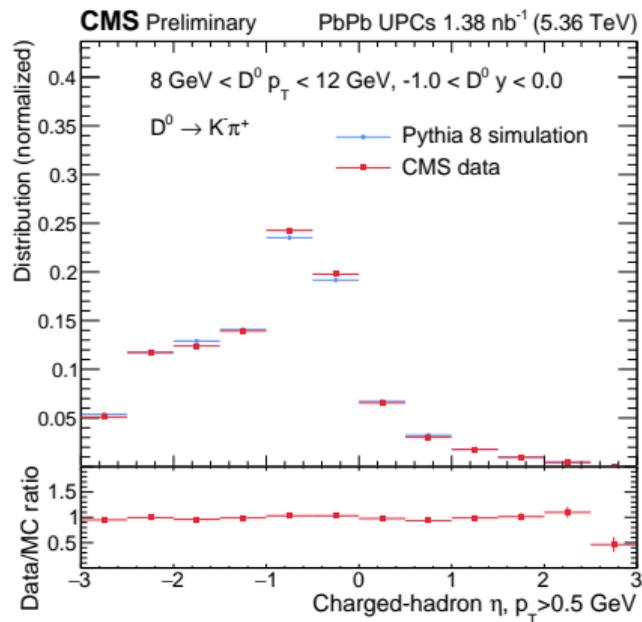
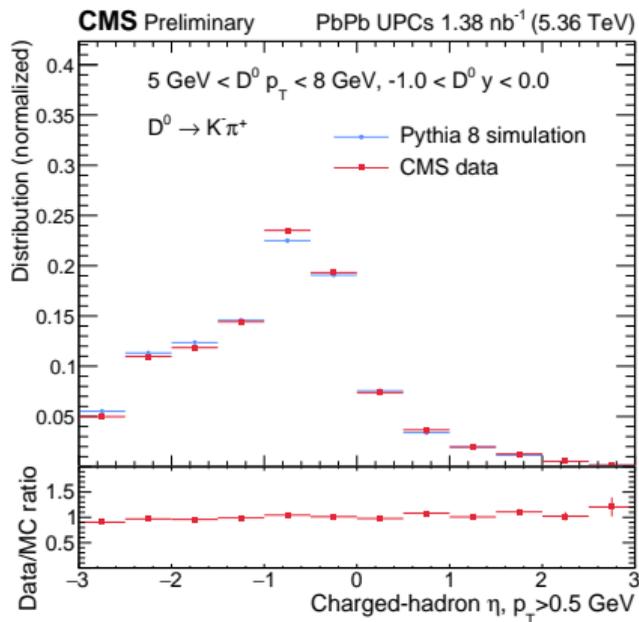
- Track mult. distributions in data and MC

# Data/MC Comparisons (II)



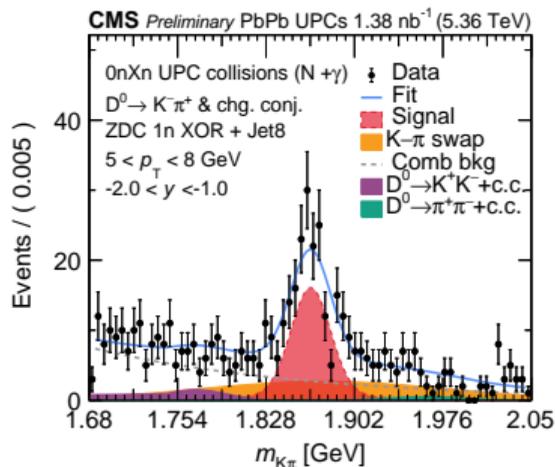
- Track  $p_T$  distributions in data and MC

# Data/MC Comparisons (III)

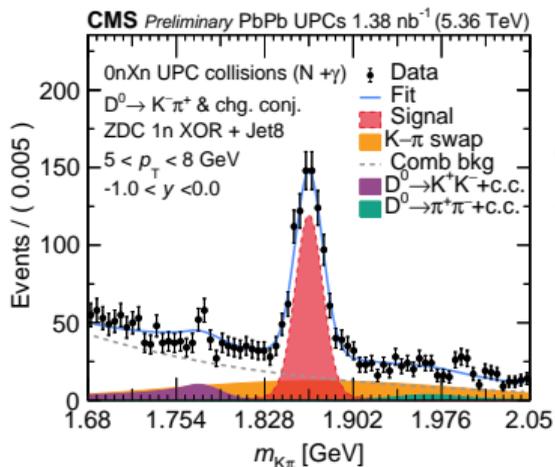


- Track  $\eta$  distributions in data and MC

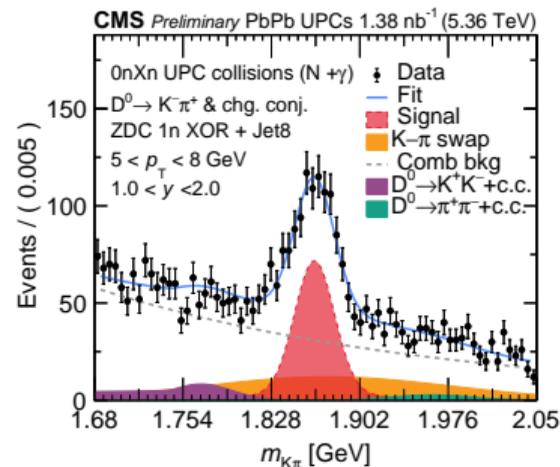
# Mass Fits, 5-8 GeV in 0nXn



**-2 < y < -1**



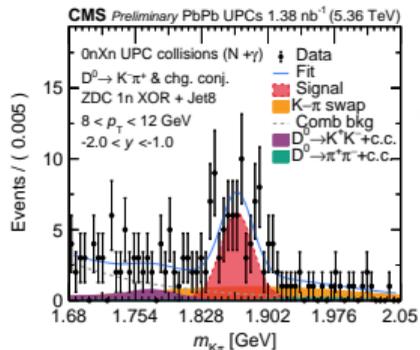
**-1 < y < 0**



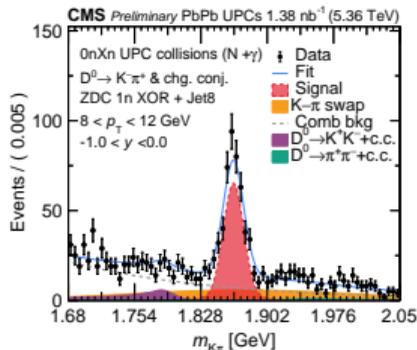
**1 < y < 2**

- Remaining mass fits for all rapidity bins in 5-8 GeV, 0nXn

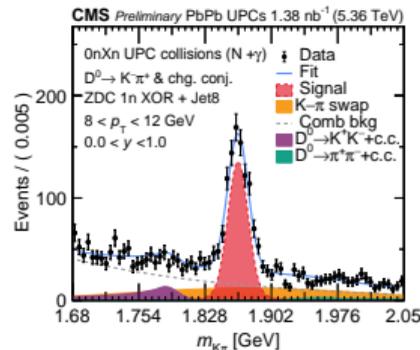
# Mass Fits, 8-12 GeV in 0nXn



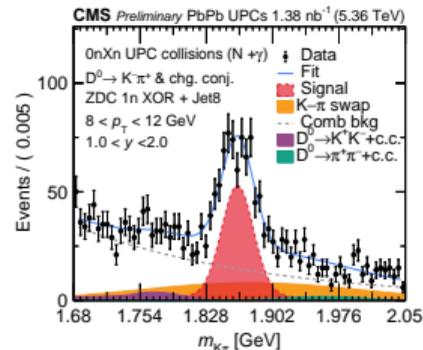
**-2 < y < -1**



**-1 < y < 0**



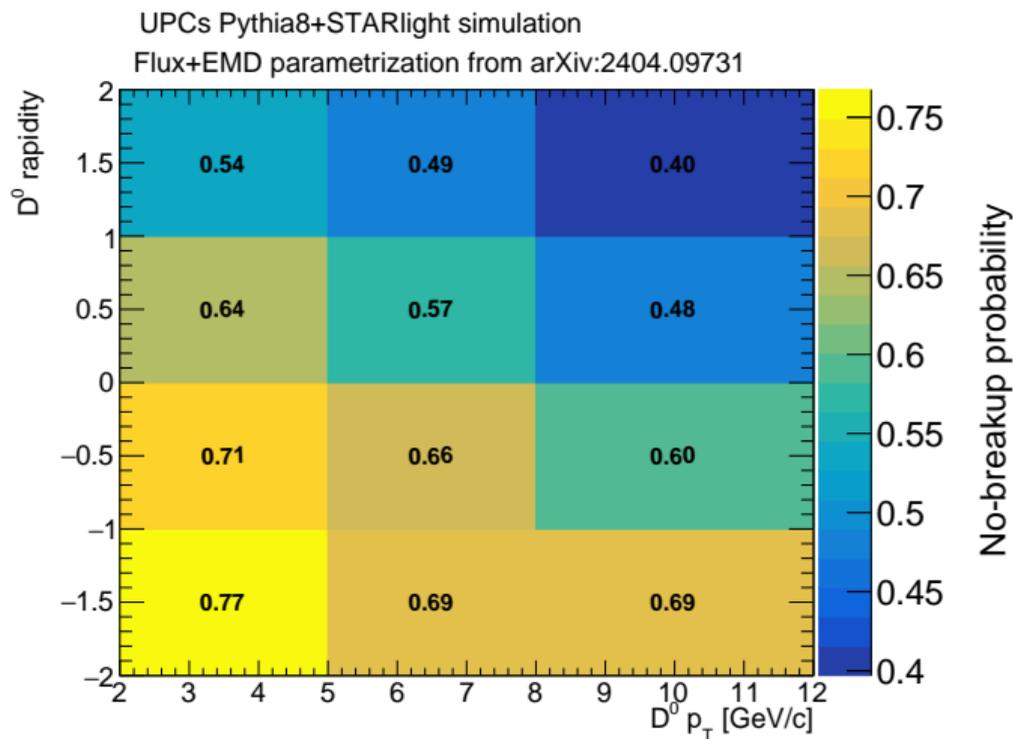
**0 < y < 1**



**1 < y < 2**

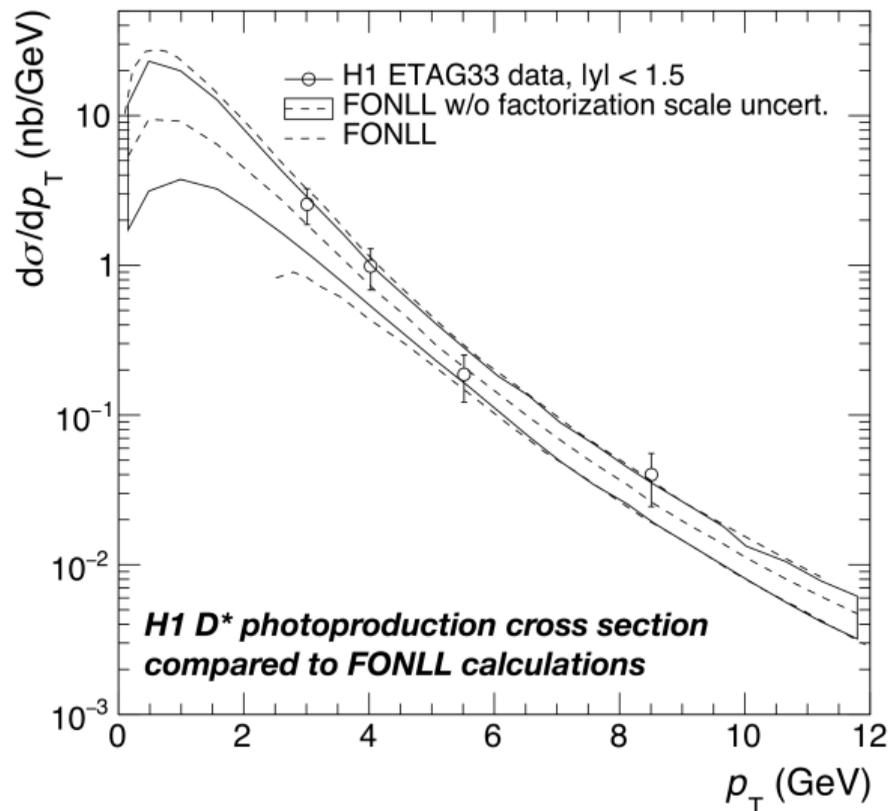
- Remaining mass fits for all rapidity bins in 8-12 GeV, 0nXn

# Probability of No-breakup from EMD



**Corrections for comparing  
theory-to-data**

# Benchmarking FONLL



**FONLL compared to HERA H1 data**

$\mu_R/\mu_0$  varied from 0.5-2.0

$\mu_F/\mu_0$  varied from 0.5-2.0

**GRV parametrized  $\gamma$  PDF**

**Following Cacciari, Greco, Nason**