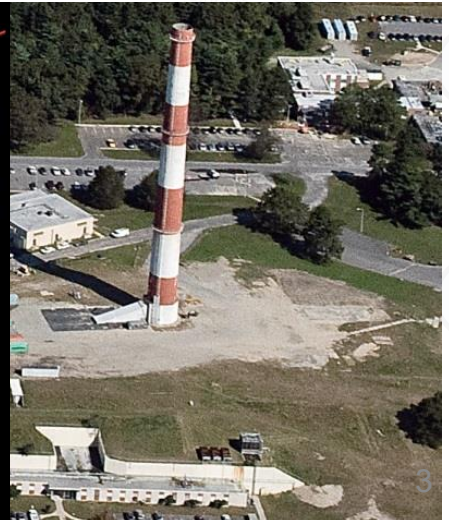
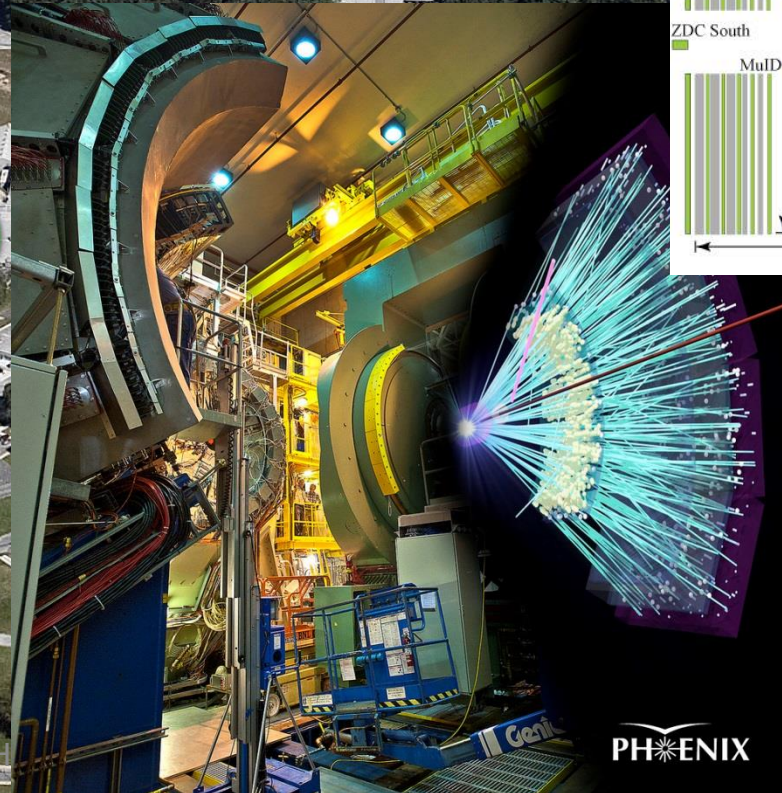
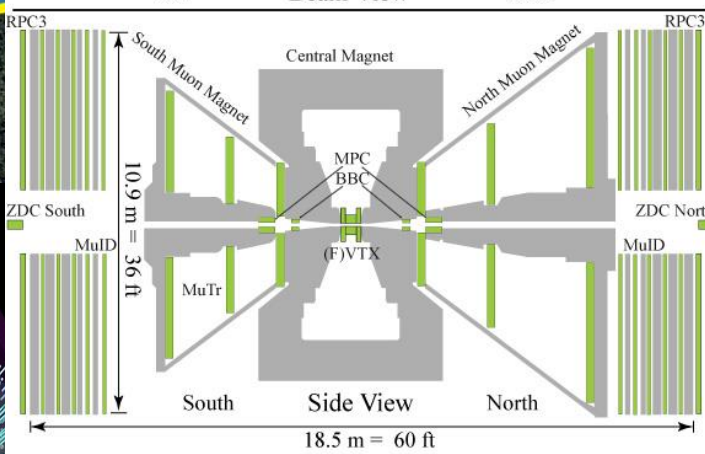
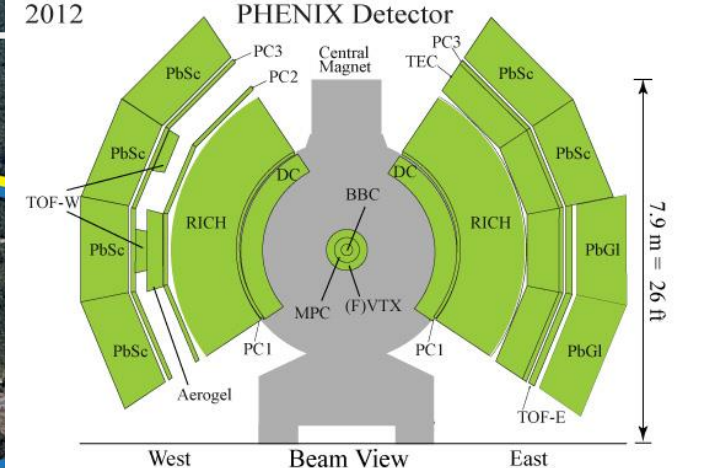
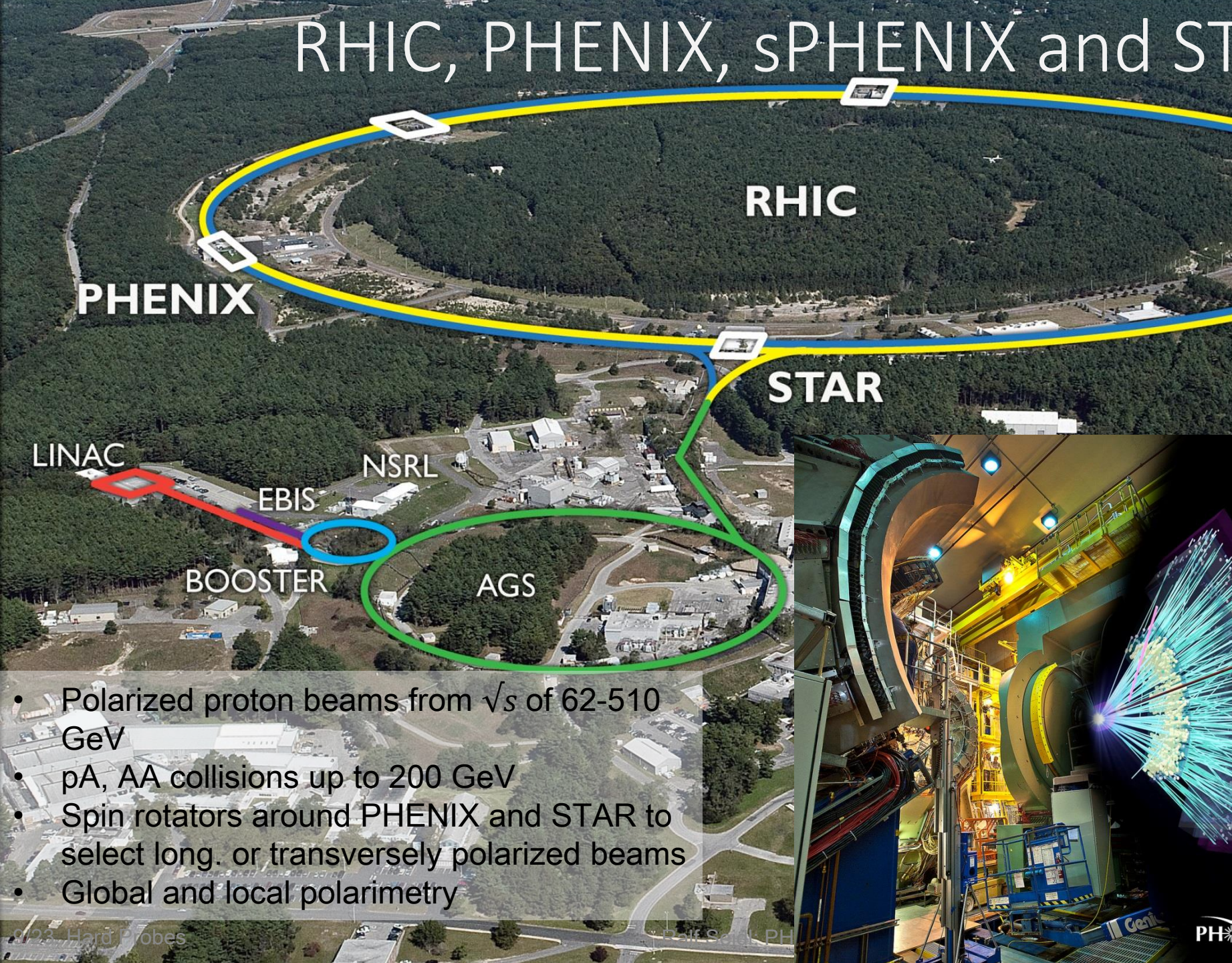


PHENIX cold QCD and Spin measurements

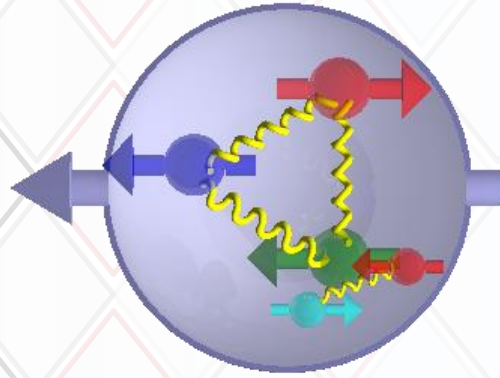
**Hard Probes 2024,
Nagasaki
September 23**

Ralf Seidl (RIKEN)

RHIC, PHENIX, sPHENIX and STAR



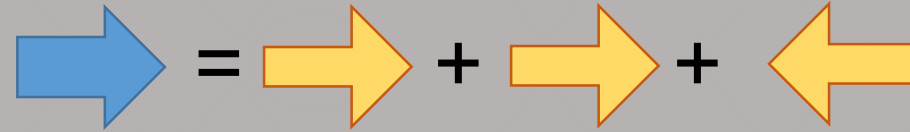
- Polarized proton beams from \sqrt{s} of 62-510 GeV
- pA, AA collisions up to 200 GeV
- Spin rotators around PHENIX and STAR to select long. or transversely polarized beams
- Global and local polarimetry



Helicity PDFs, longitudinal spin

The Spin sum rule

Naïve Quark Model picture: 3 valence quarks make up the spin of the nucleon:



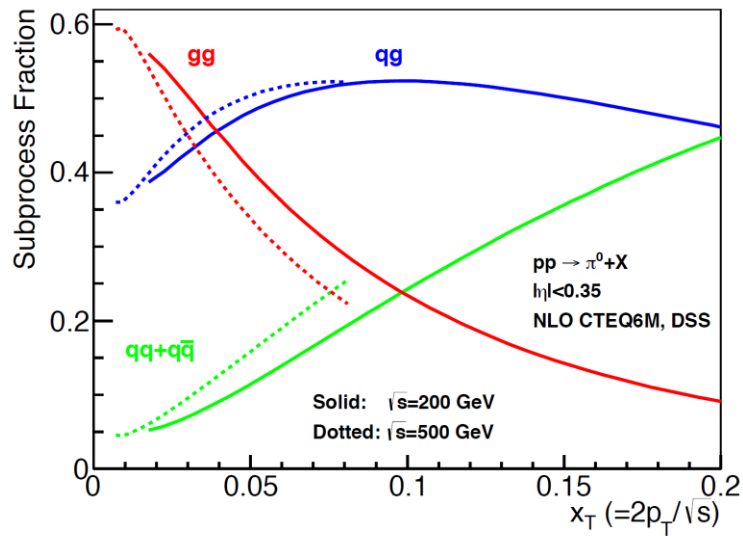
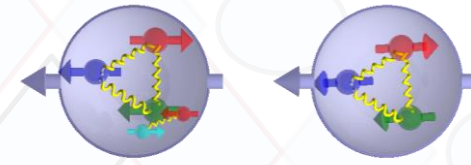
$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L \quad \text{Jaffe, Manohar}$$

Quark spin Gluon spin Orbital angular momentum

$$\Delta\Sigma = \int dx \left[(\Delta u(x) + \Delta \bar{u}(x)) + (\Delta d(x) + \Delta \bar{d}(x)) + (\Delta s(x) + \Delta \bar{s}(x)) \right]$$

- **Spin Crisis (1980s): Quark spin contributes only little**
- $\Delta\Sigma$ and ΔG can be accessed in longitudinally polarized (SI)DIS and pp collisions (currently for $x > 0.01$)
- Where is the rest of the spin? Gluons? Lower momentum fractions? Orbital angular momentum?

Hard processes at RHIC



- Most processes are dominated by gluon hard interactions at RHIC energies
→ Access to Gluon related spin and transverse spin effects!
- Relative contributions different for different final states (flavor sensitivity)

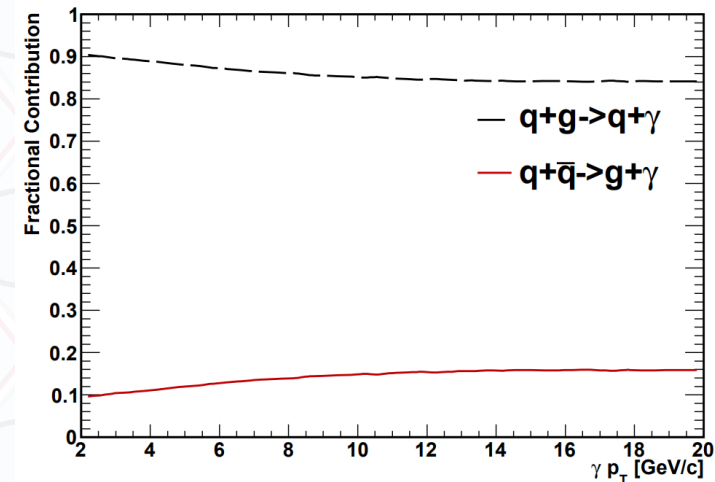
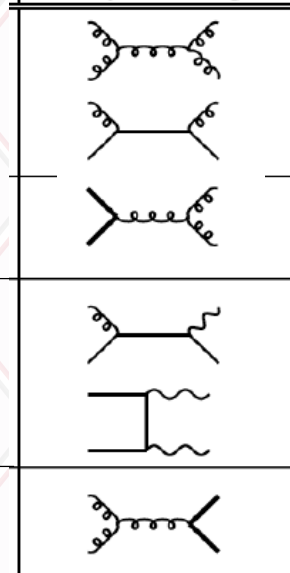
$p+p \rightarrow \text{pion}(s), \text{eta} + X$

$p+p \rightarrow \text{jet}(s) + X$

$p+p \rightarrow \gamma + X$

$p+p \rightarrow B, D, J/\psi + X$

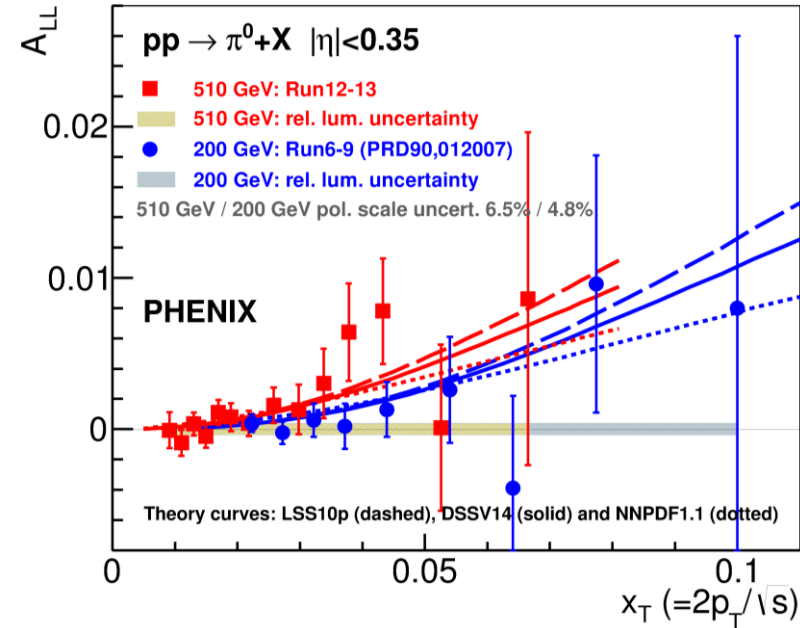
LO Feynman diagram



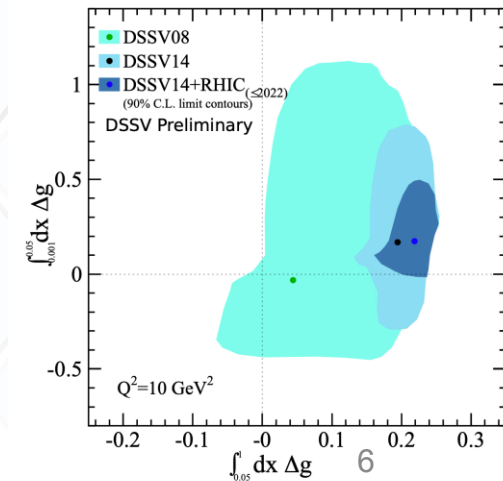
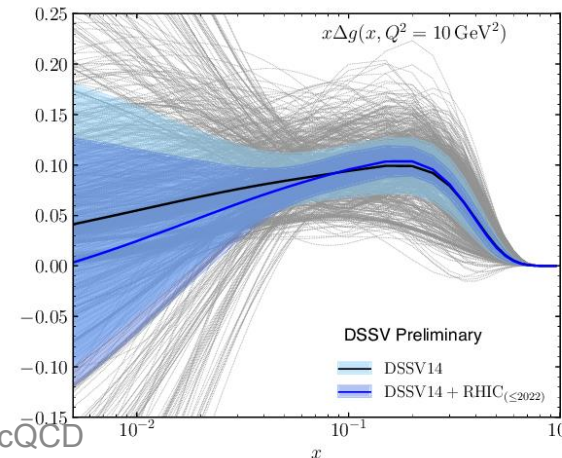
[Underwood`92](#) Direct photons as golden channel for RHIC spin physics

Nonzero Gluon spin: access to lower x with higher energies

- Nonzero gluon polarization established with RHIC $\sqrt{s} = 200$ GeV data
- RHIC $\sqrt{s} = 510$ GeV data (>2011) confirmed it in workhorse (jet, pion) measurements
- Extend access to lower x by higher energy (now $\sim 10^{-2}$)



[PRD 93 \(2016\) 011501](#)

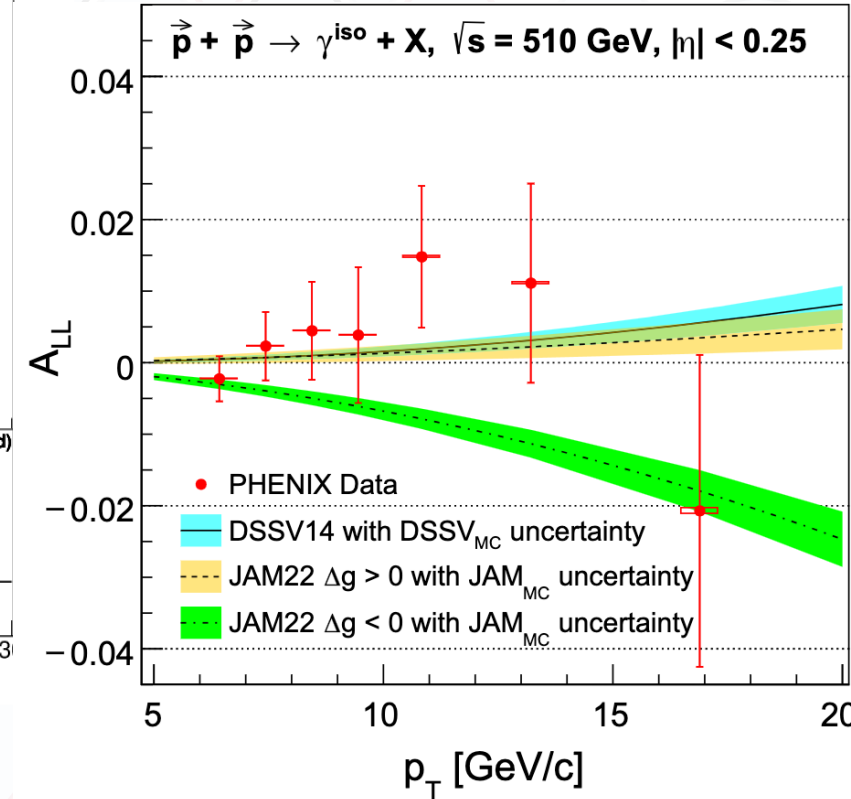
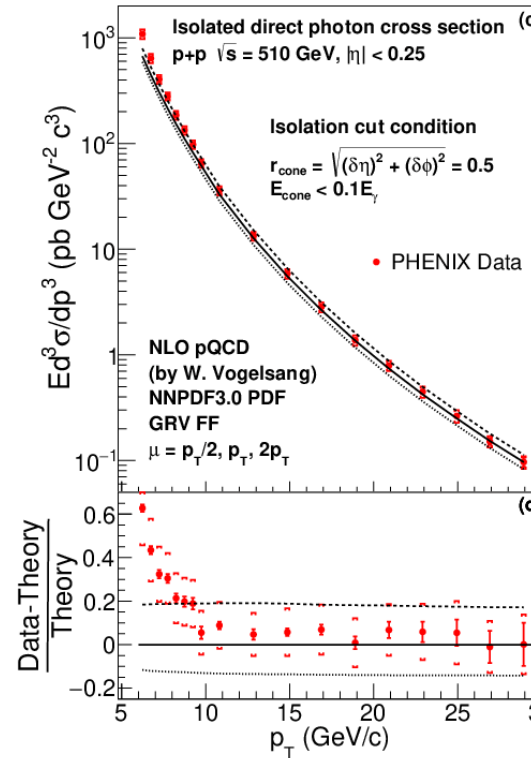
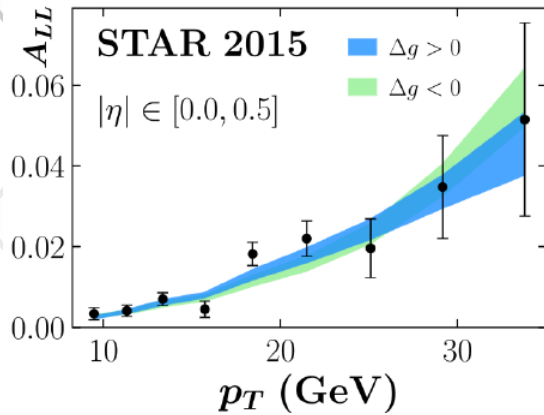


First direct photon xsec and A_{LL} at 510 GeV

$$\Delta g(x)$$

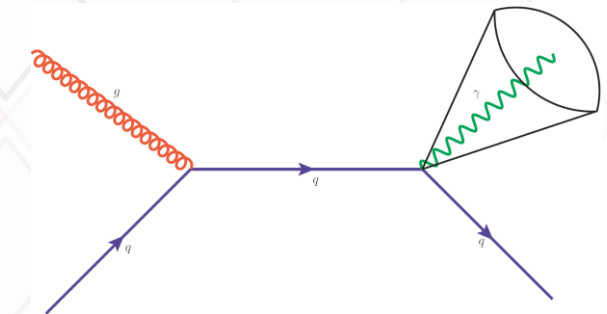
[PRL 130 \(2023\) 251901](#)

JAM: ambiguity* of gluon spin sign?
[PRD 105 \(2022\) 074022](#)



- Part of initial RHIC-Spin goals in the '90s
- Theoretically, the Golden channel to access gluon polarization as hard interaction mostly q-g
- Since EM process, statistically limited but consistent with global fit results
- Clear preference for positive gluon polarization in measured range

[DOE Science Highlight](#)



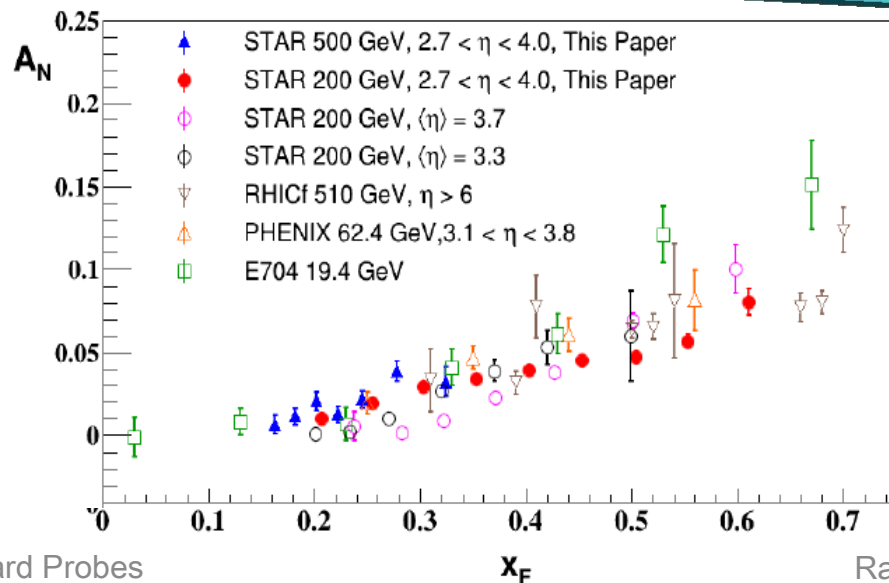
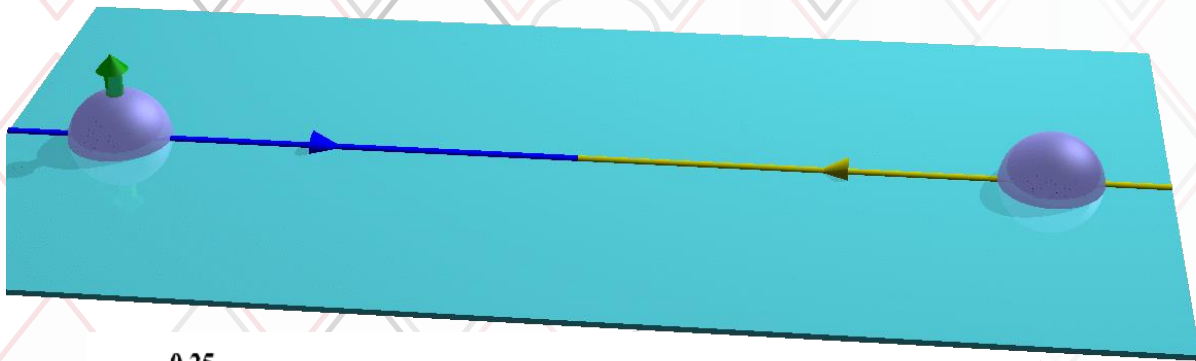
Transverse Single spin asymmetries (TSSAs)

- Left-Right asymmetries :

$$A_N = \frac{1}{P} \frac{N^L - N^R}{N^L + N^R}$$

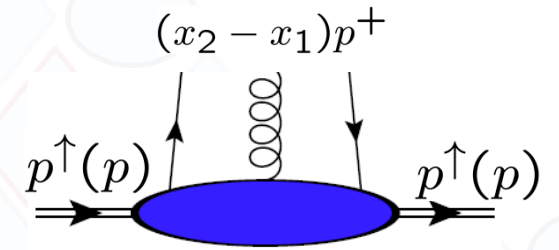
- Relative to the polarized proton spin direction **more** particles get produced to the **left** than to the **right** wrt. spin direction
- The cross section is spin (and azimuthal angle) dependent
- Initially expected to be zero in perturbative QCD (helicity-flip of nearly massless quarks) - G. L. Kane, J. Pumplin, and W. Repko *PRL***41**, 1689 (1978):

$$A_N \propto \frac{m_q \alpha_S}{P_T} \approx 0.001$$

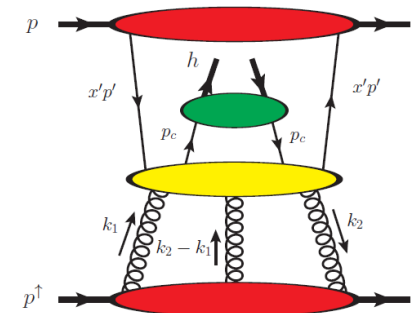


TSSAs at RHIC → Quark-gluon dynamics!

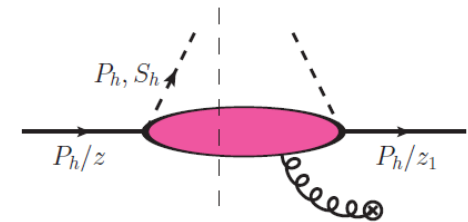
- Sivers and Collins effects rely on an explicitly **transverse momentum dependent** (TMD) framework where two scales are observed: high scale (typically Q^2) and intermediate scale (transverse momentum $P_T \ll Q^2$)
- In inclusive pp measurements usually only one, hard scale accessible (transverse momentum P_T)
 - requires **higher Twist**, collinear framework, contributions are multi-parton correlators (both in initial state and final state)
- Both frameworks found to be related via moments over intrinsic transverse momenta



q-g correlation (↔ quark Sivers)



g-g correlation (trigluon ↔ gluon Sivers)



q-g FF correlation (↔ Collins)

Single spin asymmetry contributions in p+p

$$\begin{aligned}
 A_N &\approx \sum_{a,b,c} \overset{\text{pol proton PDF}^*}{\phi_{a/A}^{(3)}(x_1, x_2, s)} \otimes \overset{\text{unpol proton PDF}^*}{\phi_{b/B}(x')} \otimes \overset{\text{FS particle FF}^*}{D_{c \rightarrow C}(z)} \\
 &+ \sum_{a,b,c} \delta q_{a/A}(x, s) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes D_{c \rightarrow C}(z) \\
 &+ \sum_{a,b,c} \delta q_{a/A}(x, s) \otimes \phi_{b/B}(x') \otimes D_{c \rightarrow C}^{(3)}(z_1, z_2)
 \end{aligned}$$

a,b/c initial/final parton flavors
 A,B/C initial/final hadron/particle types

Efremov, Teryaev Phys.Lett.B 348 (1995) 577

Qiu, Sterman Phys.Rev.D 59 (1999) 014004

Kanazawa, Koike Phys.Lett.B 478 (2000) 121-126

Metz, Pitonyak Phys.Lett.B 723 (2013) 365-370

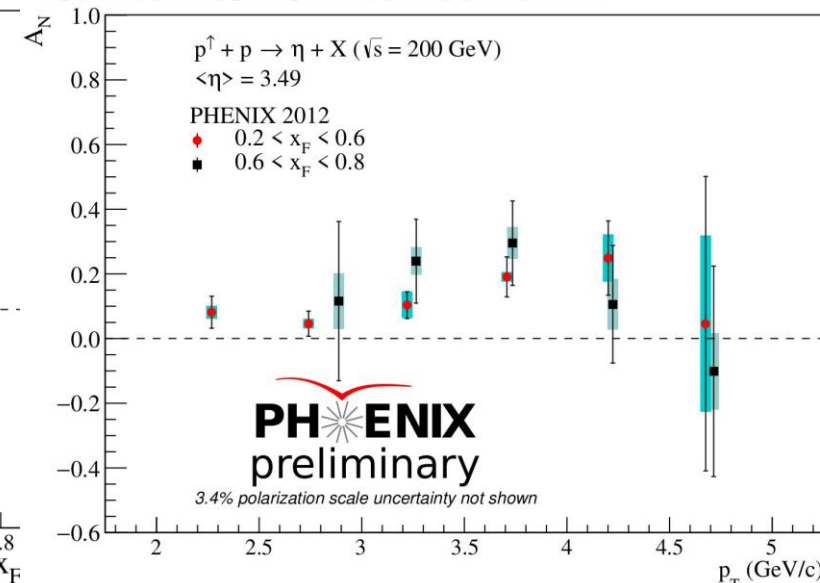
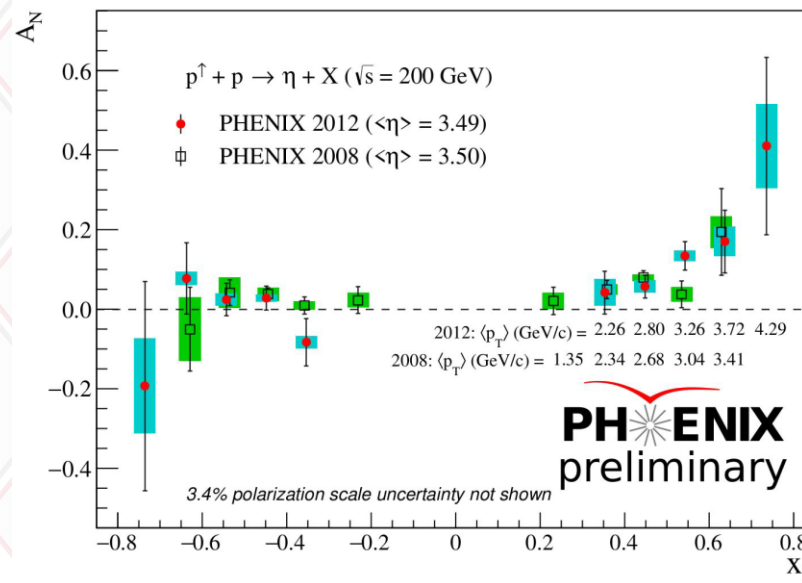
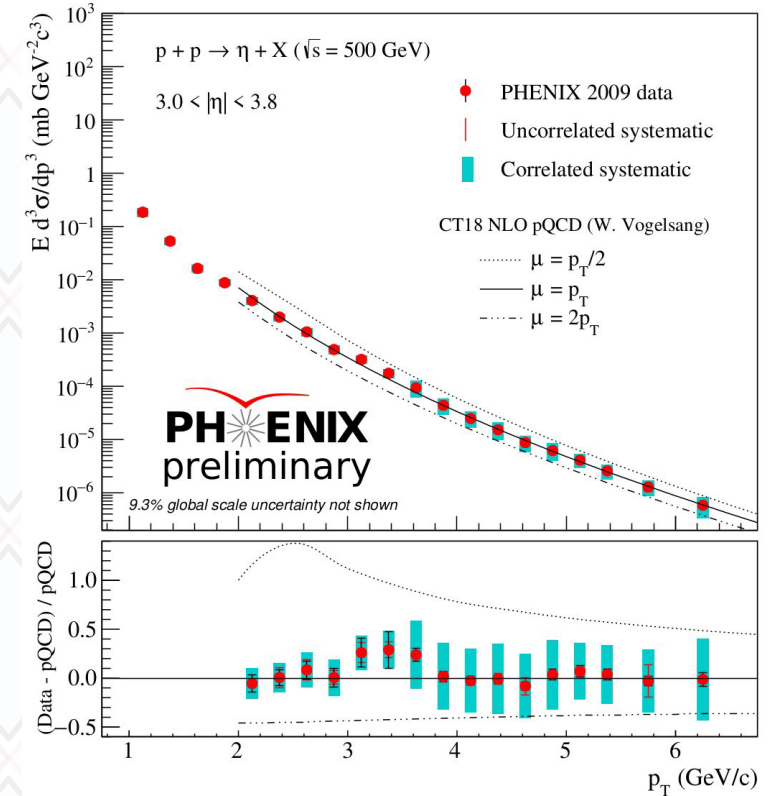
• Generally three pieces to p+p single transverse spin asymmetries:

- **Twist three correlation functions** (quarks or gluons) in polarized proton \leftrightarrow Sivers function
- **Twist three correlation function in unpolarized proton** (with transversity) \leftrightarrow Boer Mulders function
- **Twist three correlation in fragmentation** \leftrightarrow Collins function

\rightarrow Different final states single out different contributions (via hard processes)

Forward eta cross sections and A_N s

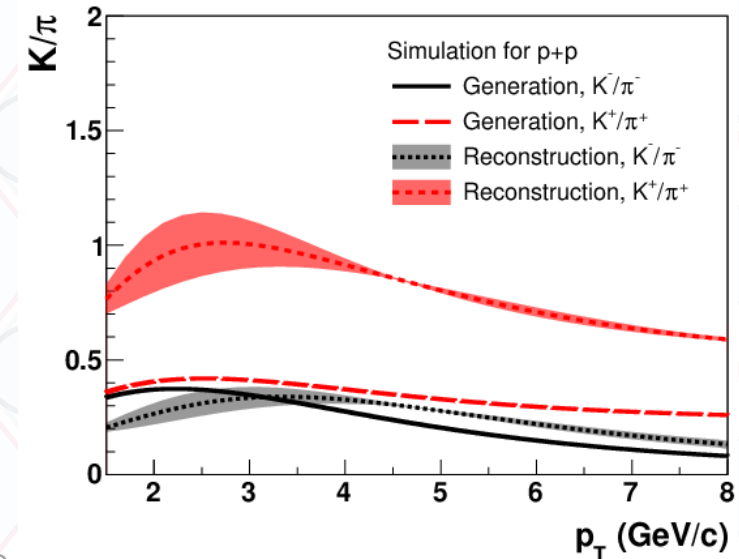
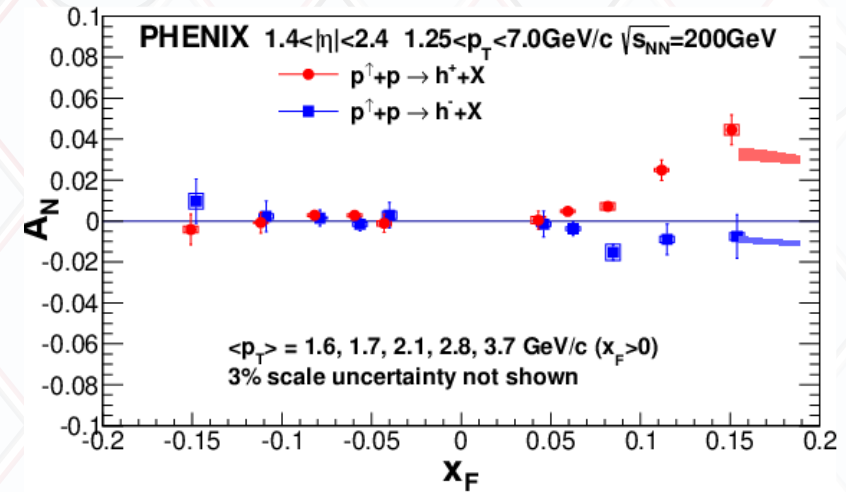
- First forward η cross sections at 500 GeV \rightarrow more impact on η FFs
- Update of forward η A_N measurements with better statistics
- Asymmetries sizeable, maybe a hint of turnaround expected at higher p_T due to HT nature of asymmetries



Forward charged hadron A_n s

- Also more detailed forward ($1.4 < \eta < 2.4$) charged hadrons
- For proton collisions sizeable positive asymmetries for h^+ , slightly negative for h^-
- h^- results expected due to mix of pions (negative) and kaons (positive)
- Negative kaons are enhanced due to the absorbing material

[PRD 108 \(2023\) 072016](#)



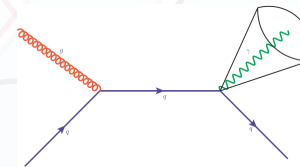
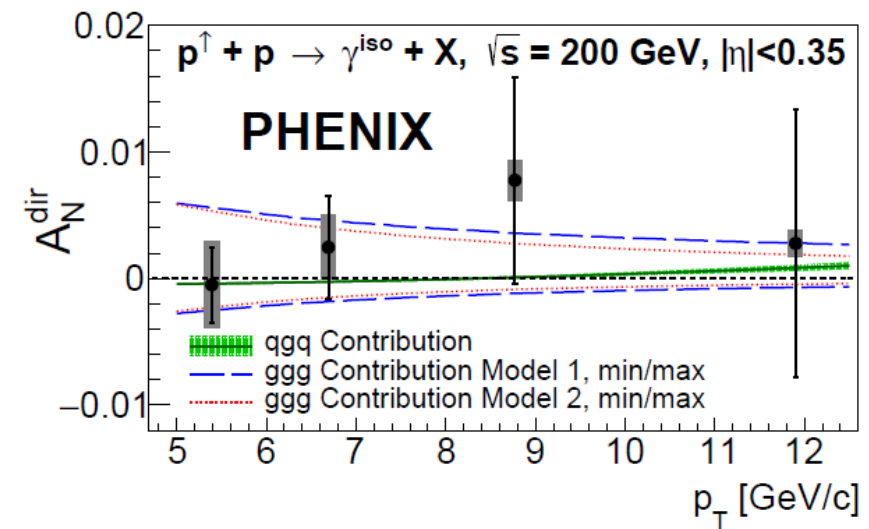
First direct photon A_N s

- **First direct photon** A_N extracted at RHIC
- Mostly sensitive to initial state effects (no fragmentation) \rightarrow quark-gluon and gluon-gluon correlation functions
- Power to constrain gluon-gluon correlation function well, since quark impact expected to be small

RIKEN Press release: https://www.riken.jp/press/2021/20211015_1/index.html

BNL Press release: <https://www.bnl.gov/newsroom/news.php?a=119077>

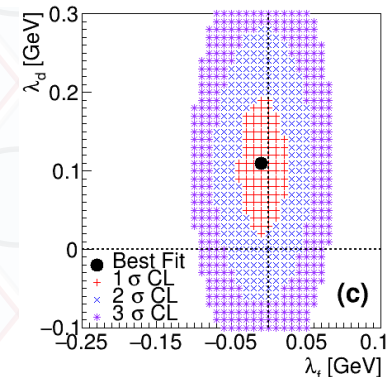
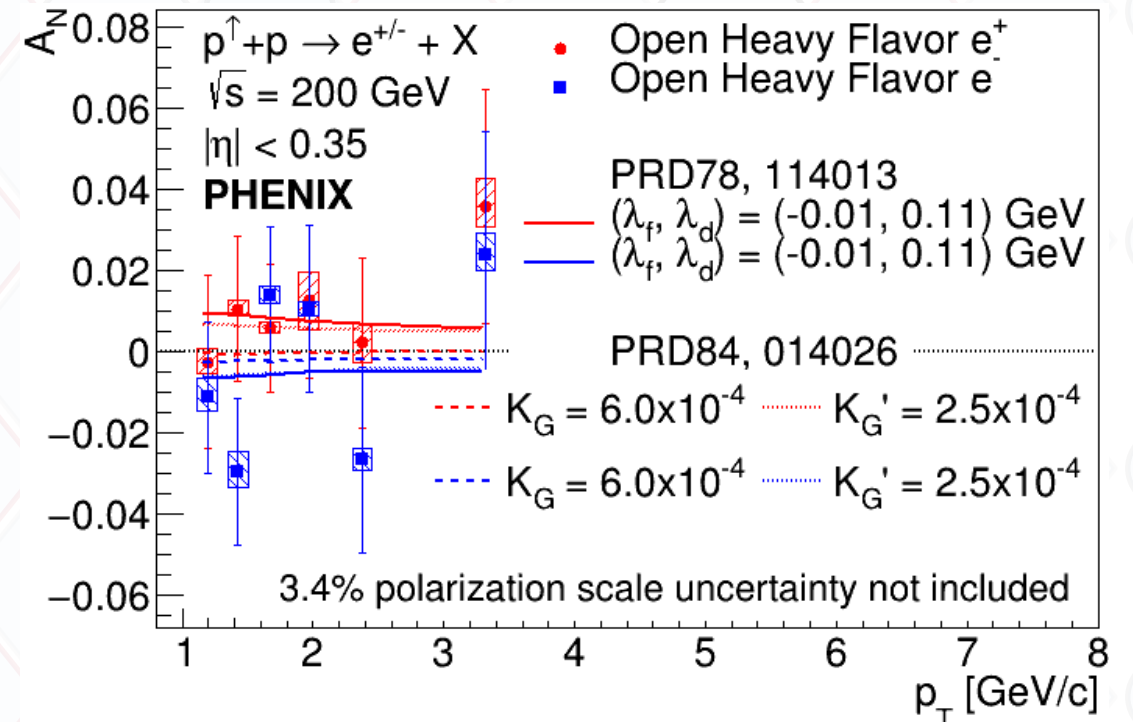
[PRL 127 \(2021\) 162001](#)



Heavy Flavor electron A_N s

[PRD 107 \(2023\) 052012.](#)

- Almost only gluon related, no final state effects \rightarrow tri-gluon correlation
- Potential to constrain parameter ranges in D meson A_N theory calculations: [PRD78, 114013](#) (Z.B. Kang, J.W. Qiu, W. Vogelsang, F. Yuan)
- Comparison or charges provides further sensitivity



$A_N(p^\uparrow + p \rightarrow \text{HF}(e^{+/-}) + X)$

$\sqrt{s} = 200 \text{ GeV}$

$|\eta| < 0.35$

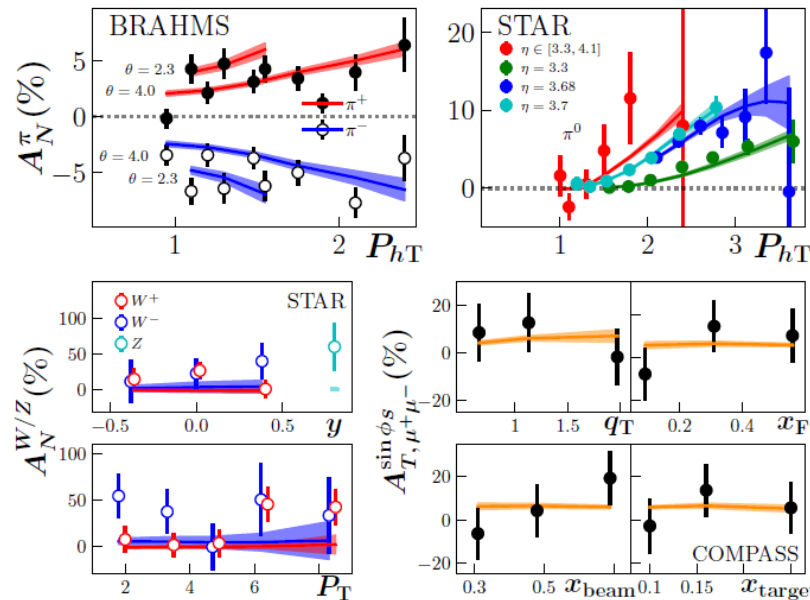
PHENIX

Theory: PRD78, 114013

$A_N^{D^0/\bar{D}^0 \rightarrow e^{+/-}}(\lambda_f, \lambda_d)$

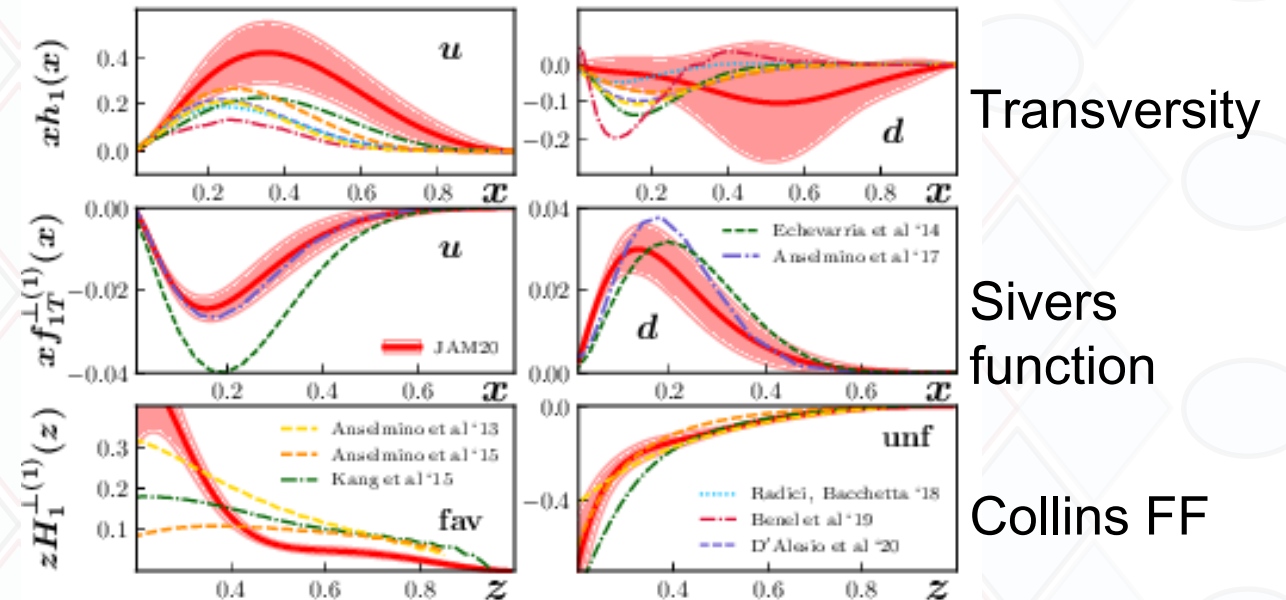
Where to go from here? Global fits on transverse quark-gluon structure

[Camarrota et al, PRD 102 \(2020\) 054002](#)



RHIC, SIDIS, DY included

- Recent central rapidity PHENIX results (π, η , Heavy flavor electrons, direct photons) **NOT** yet included
- Impact on gluon Siverson function (tri-gluon correlator) expected

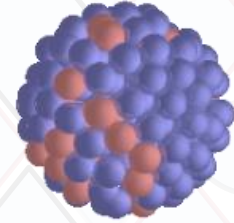
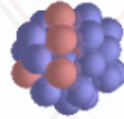
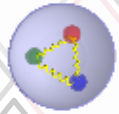


Transversity

Siverson function

Collins FF

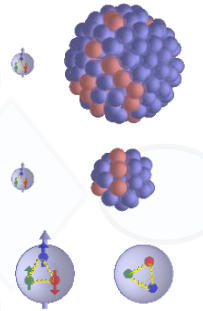
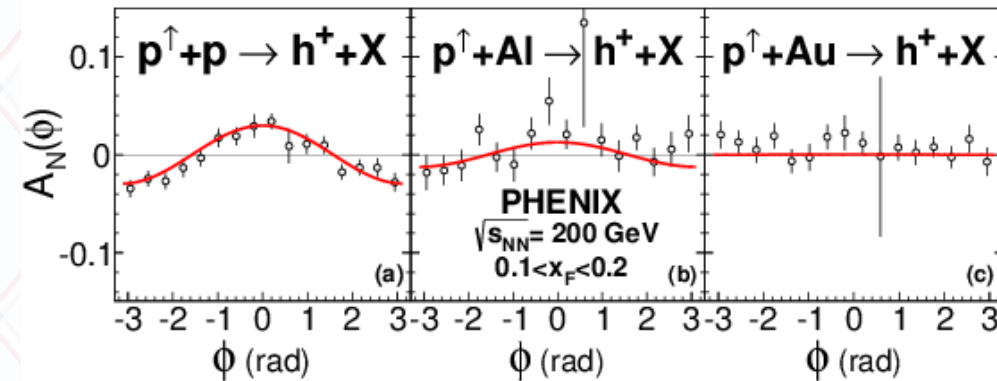
Nuclear PDFs and spin effects



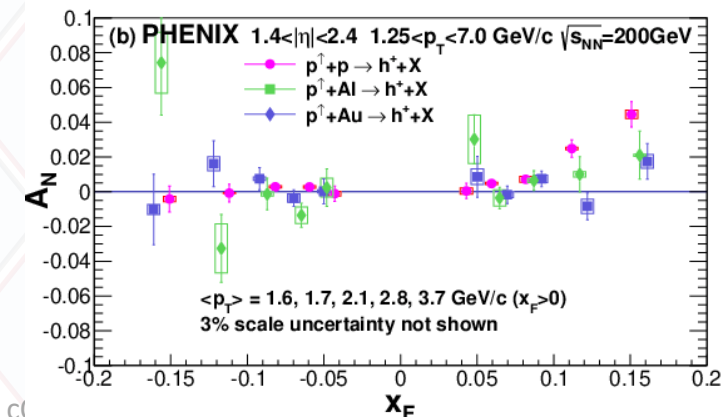
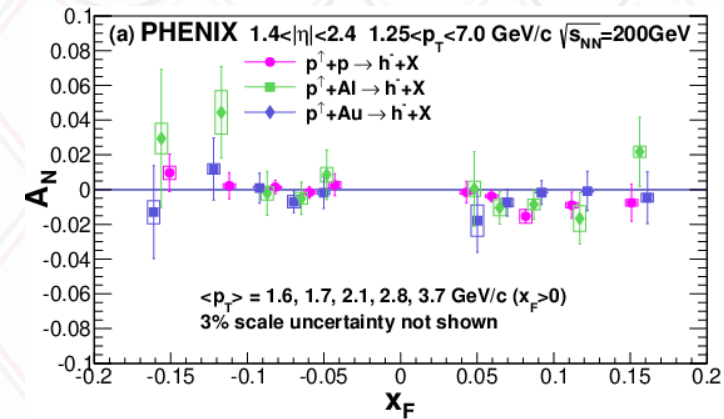
A dependence of A_N s

- Asymmetries consistent with $A^{1/3}$ dependence as (initially) predicted by some CGC related nuclear effects (Hatta`17)
- No A dependence is ruled out
- Also consistent with suppression with increasing number of binary collisions
- Lower suppression seen by STAR for neutral pions at slightly higher x_F
- **Probed x and scale too large for expected CGC effects!** (S.Benic and Y.Hatta, [PRD99\(2019\), 094012](#) - Twist-3 fragmentation + gluon saturation)
- $A^{-1/3}$ dependence also suggested by Gao et.al [PRC 81 \(2010\) 065211](#)

Phys.Rev.Lett. 123 (2019) 122001



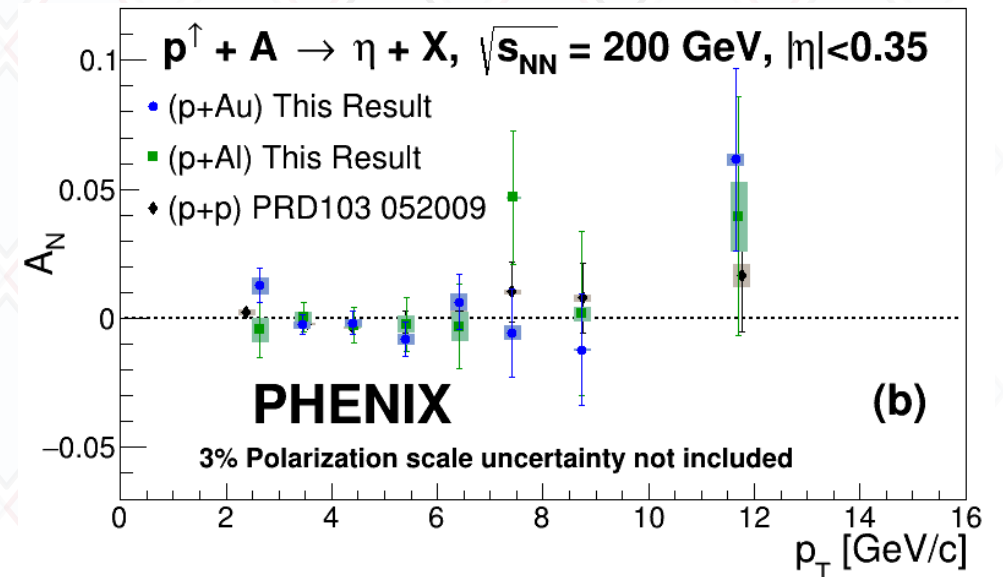
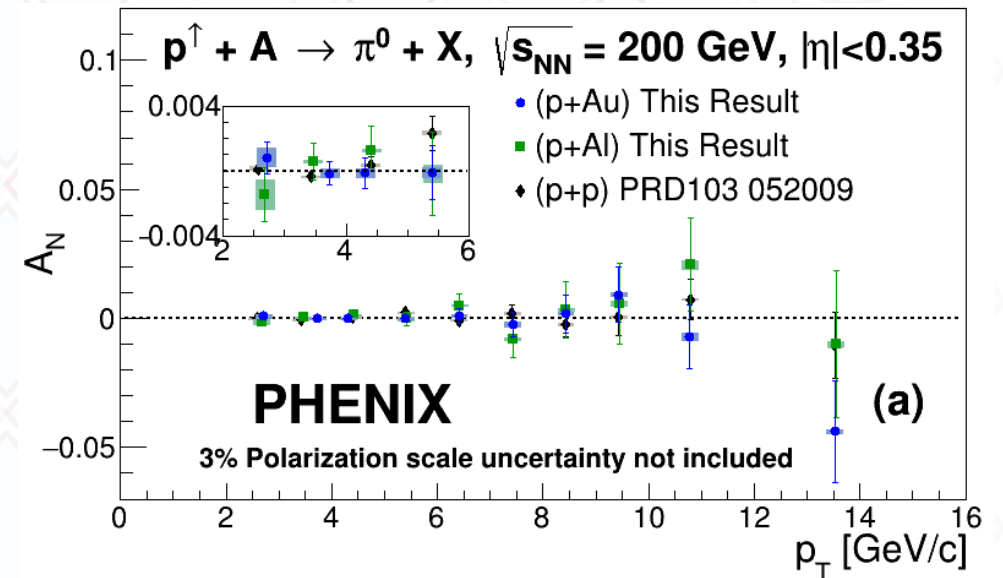
[PRD 108 \(2023\) 072016](#)



Also central p+A asymmetries

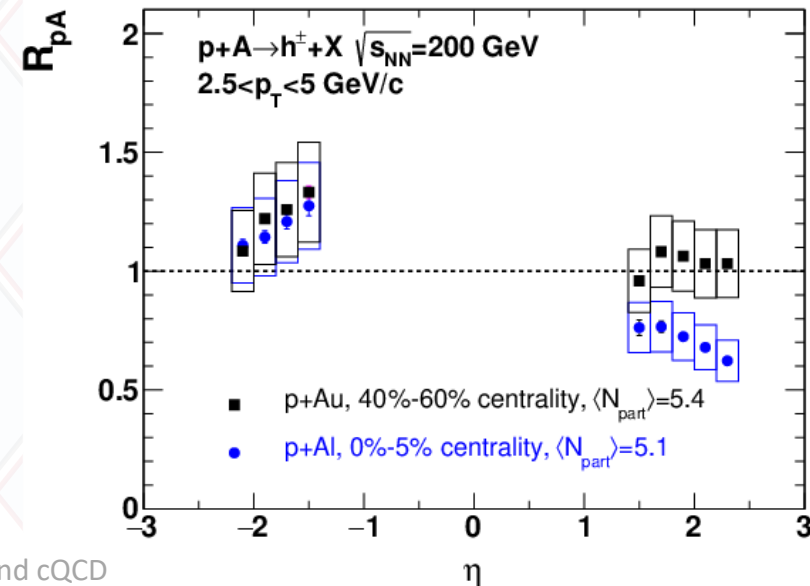
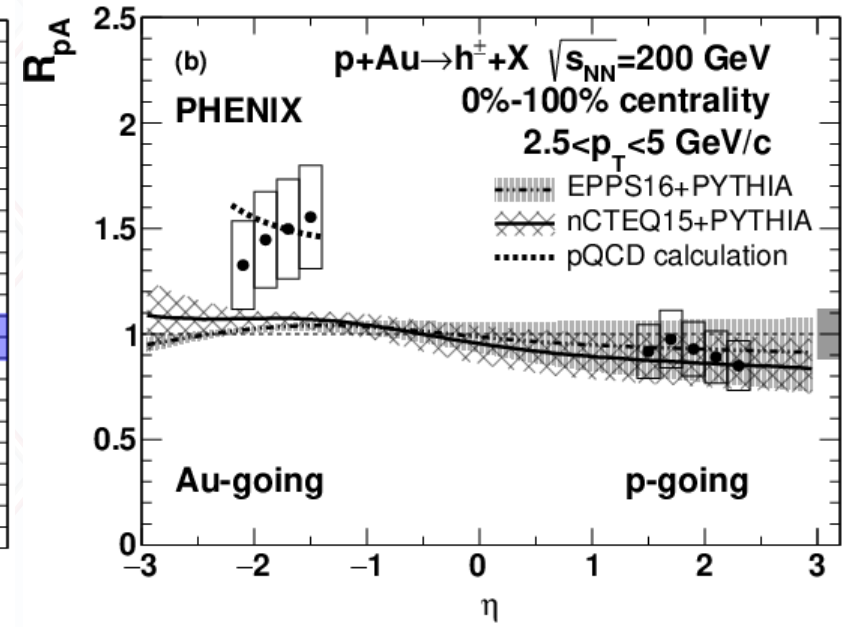
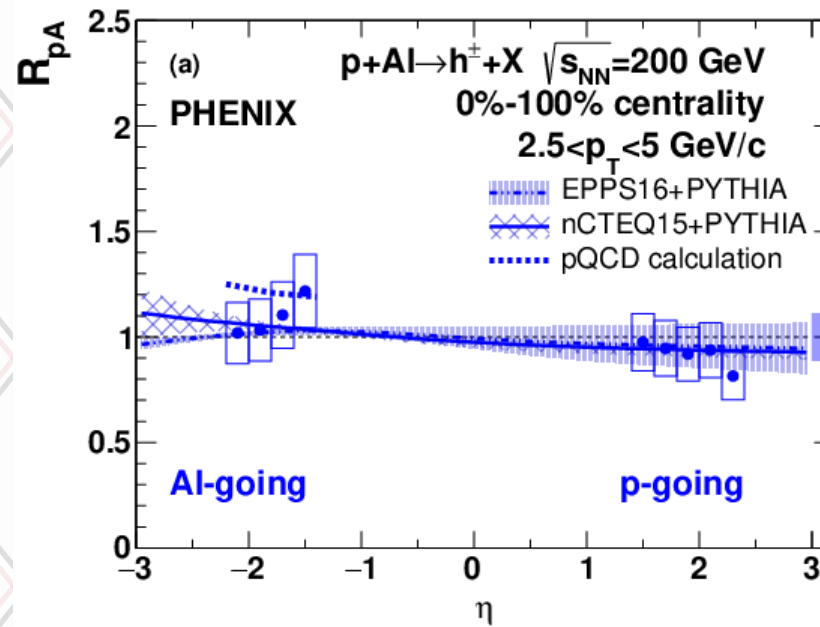
- Recently also neutral pion and eta results obtained from p+Al and p+Au collisions at \sqrt{s} 200 GeV
- A dependence of central rapidities consistent with zero
- Not surprising since p+p asymmetries have previously been found to be zero within less than a percent

PRD 107 (2023) 112004



Nuclear modification of fw/bw charged hadrons

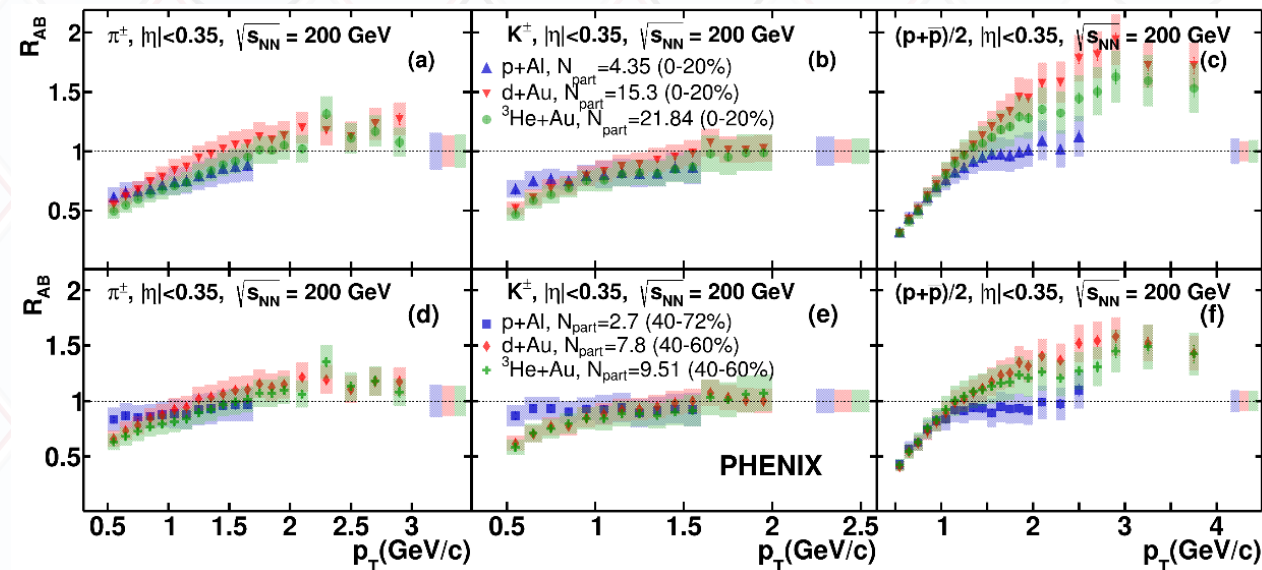
- Marginal suppression seen in p-going direction,
- Enhancement seen in Au-going direction
- However, suppression visible for more central collisions



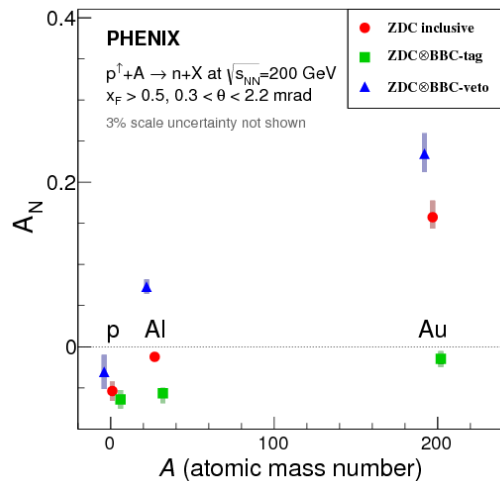
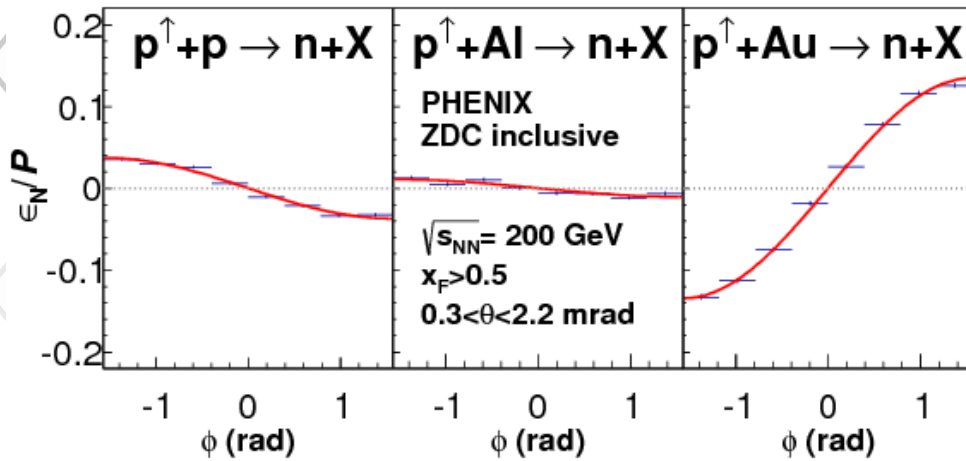
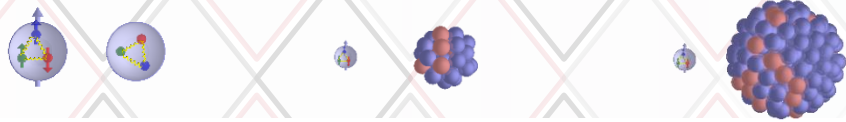
Hadron spectra in p+Al, d+Au and ^3He +Au at central rapidities

- Similar suppressions seen between d+Au and ^3He +Au at low P_T , but slightly more pronounced for ^3He
- Kaons at low P_T less suppressed than other systems
- At high P_T proton enhancement in larger systems over p+Al

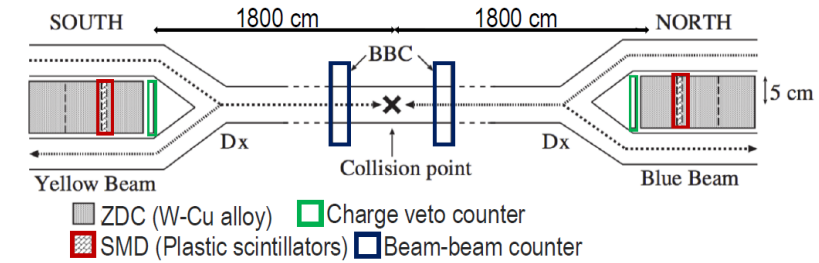
[PRC 109 \(2024\) 054910](#)



neutron asymmetries from p+p to p+A



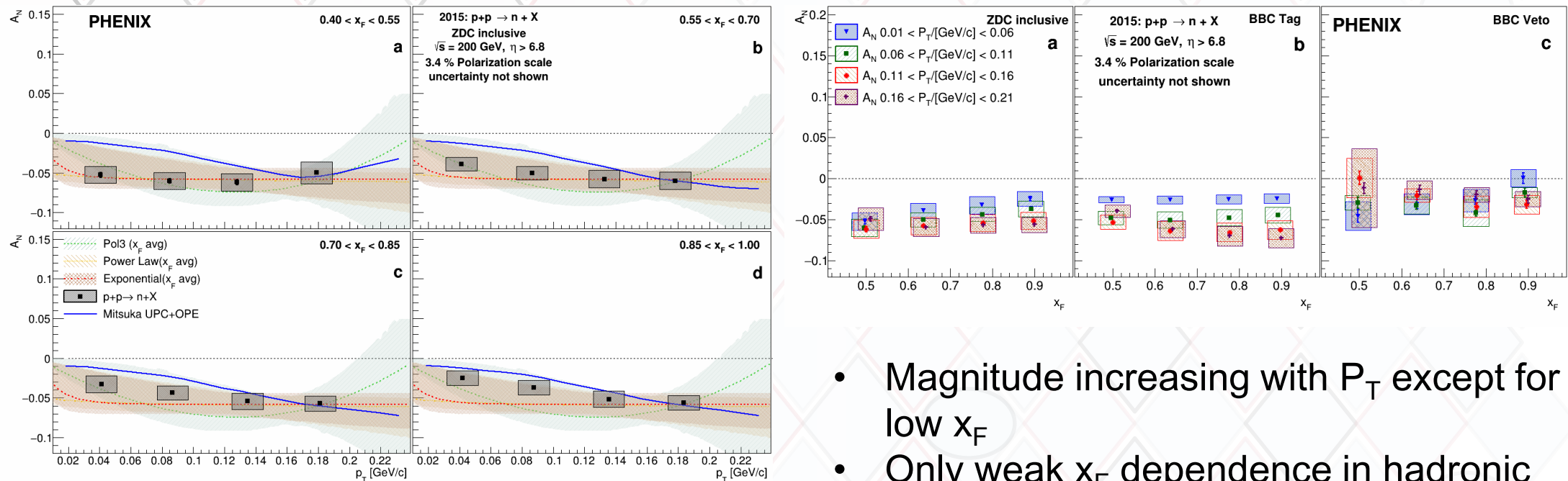
[PRL 120 \(2018\), 022001](#)



- Unexpectedly large A dependence in neutron asymmetries, sign change
- OPE model does not predict such a change in asymmetries
- Coincidence with charged particle activity in forward and backward region (BBC) enhances hard interactions → **asymmetries stay negative**
- Veto enhances UPC contribution → **p+Al asymmetries already positive**
- **study also the actual x_F and P_T dependence for actual interplay**

Inclusive neutron asymmetries in p+p

[PRD 105 \(2022\) 032004](#)

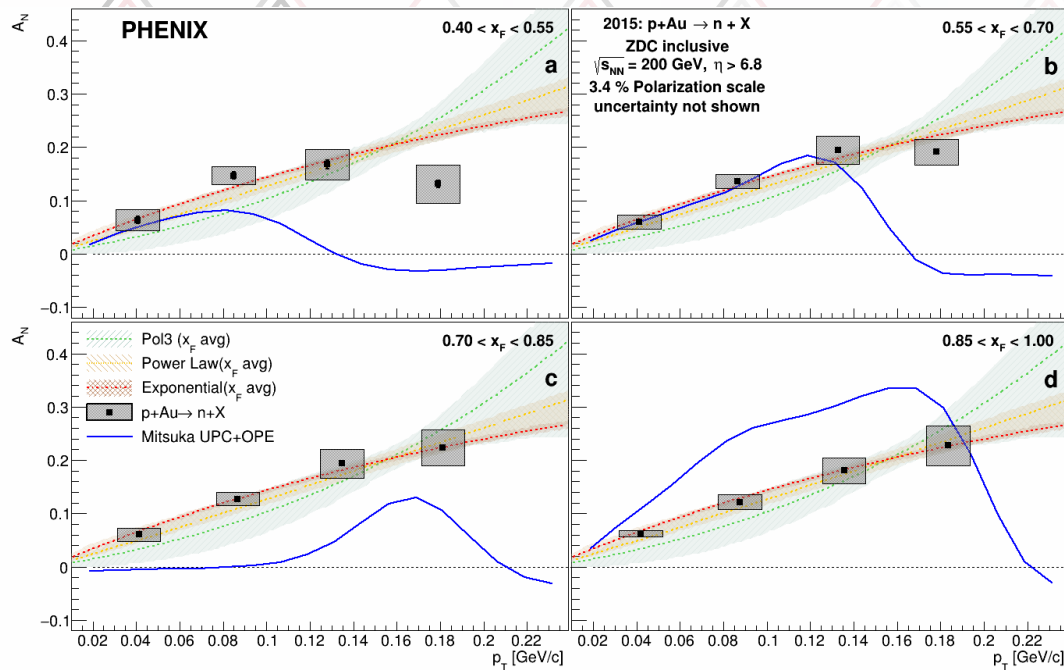


Dashed areas: best parameterizations of x_F integrated asymmetries using Pol3, Power law or Exponential

- Magnitude increasing with P_T except for low x_F
- Only weak x_F dependence in hadronic events, slightly larger in BBC vetoed events
- Comparable to (OPE dominated) model curves

Very forward neutron asymmetries in p+Au

[PRD 105 \(2022\) 032004](#)



- Large, increasing asymmetries seen with likely a hint of decrease at high P_T for lower x_F
- Roughly similar behavior in model seen but details shifted – possibly due to inclusion of single pion resonances only

Model calculations:

[Mitsuka PRC95 \(2017\) 044908](#) +

[Kopeliovich et al: PRD 84 \(2011\) 114012](#) (OPE)

Summary

- Longitudinal spin measurements from PHENIX for various final states pin down gluon and sea quark spins
- “Golden Channel” direct photon A_{LL} to clearly provide sign of gluon spin contribution, also from di-jet measurements
- Improved measurements for transverse spin asymmetries in p+p collisions will provide more information about quark-gluon and tri-gluon correlations
- nontrivial A dependence in inclusive hadron asymmetries
- More results on nuclear PDFs via forward and central hadron production
- Far forward neutron asymmetries with A dependence through UPC contribution, now also x_F and p_T dependence