



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386



ALICE

Investigation of charm-quark hadronization into baryons and its collision-system dependence with ALICE

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on behalf of the ALICE Collaboration



Probing charm hadronization

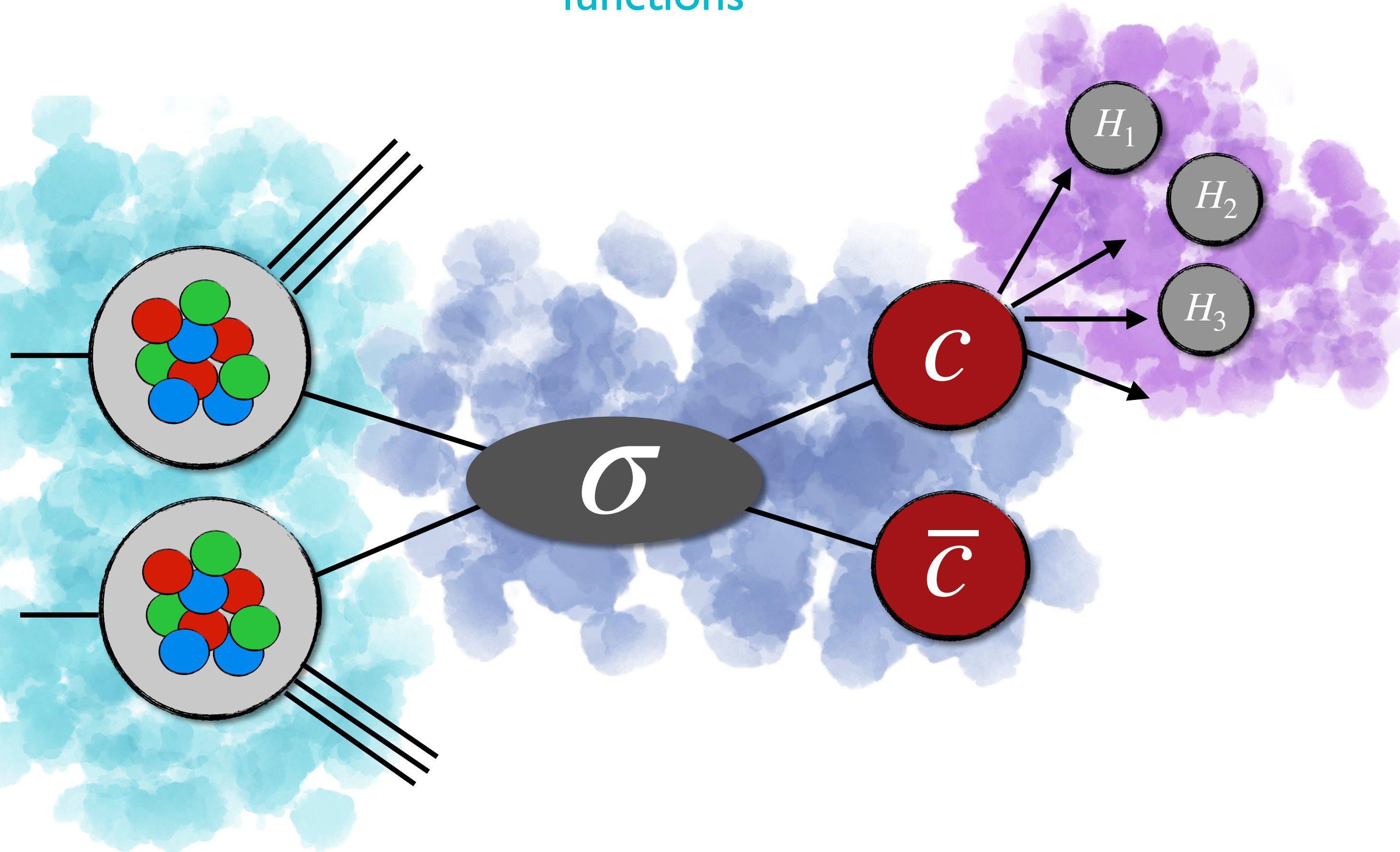


$$\sigma_{AB \rightarrow H} = \text{PDF}(x_a, Q^2) \text{PDF}(x_b, Q^2) \otimes \sigma_{ab \rightarrow q\bar{q}}(x_a, x_b, Q^2) \otimes D_{q \rightarrow H}(z = p_H/p_q, Q^2)$$

Parton distribution
functions

Hard scattering
cross section

Fragmentation function
(hadronization)

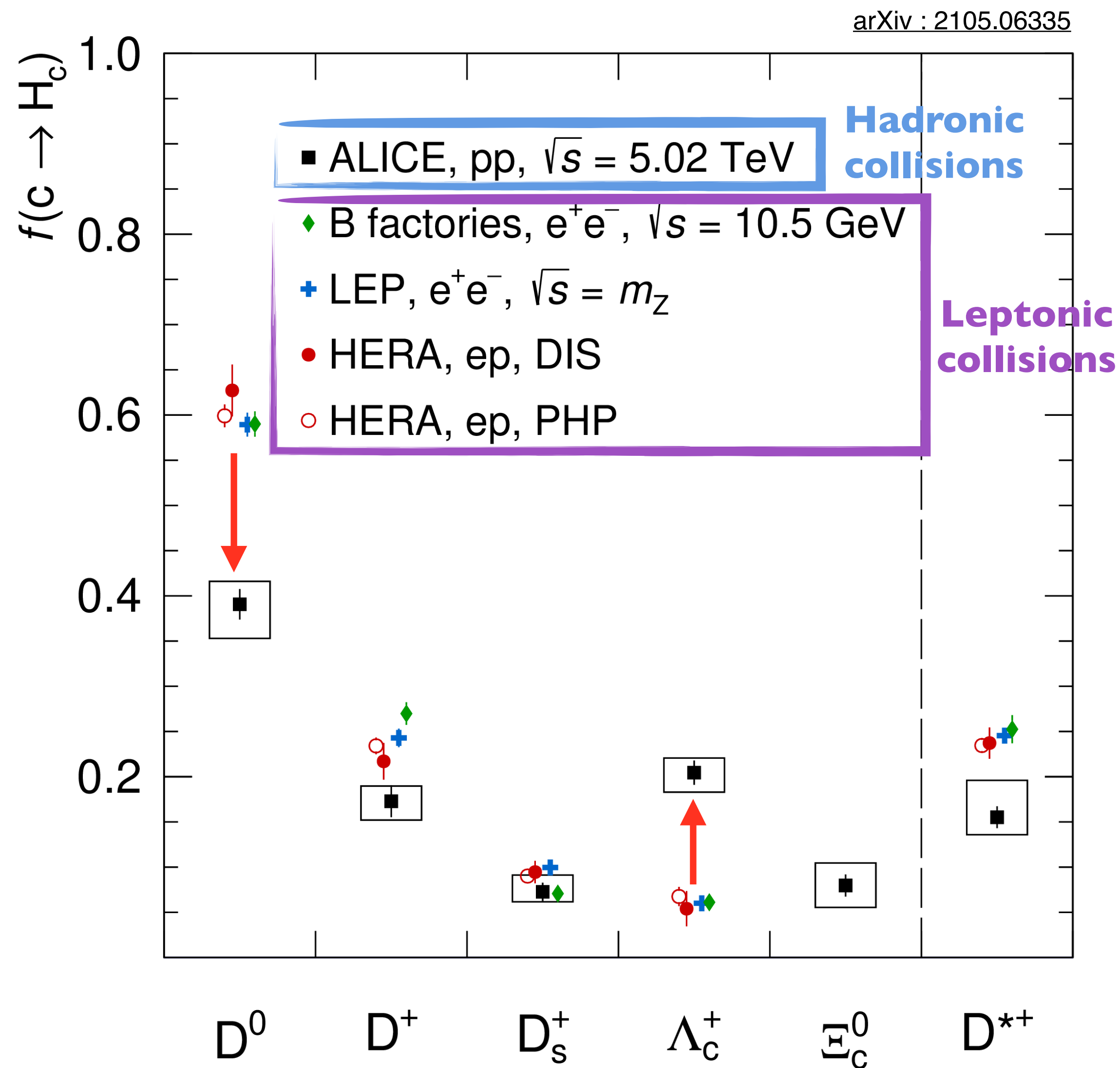


The diagram shows a charm quark (c) and an anti-charm quark (\bar{c}) interacting with quarks (q) to form hadrons (H). The hadrons are shown as circles containing the constituent quarks.

Production cross section ratio
of different hadron species is
an effective tool to probe
charm hadronization



Testing universality of fragmentation functions



ALICE found a significantly larger fraction of charm quarks hadronising into baryons compared to leptonic collisions

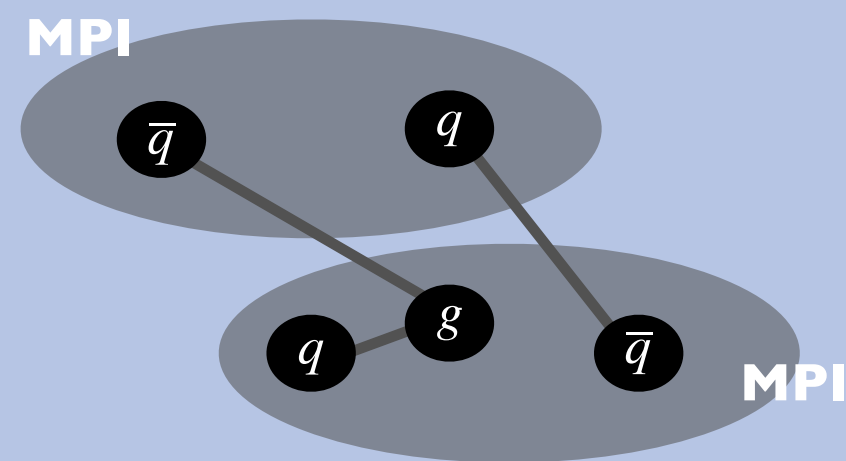


Indication that hadronization process depends on collision system



PYTHIA 8

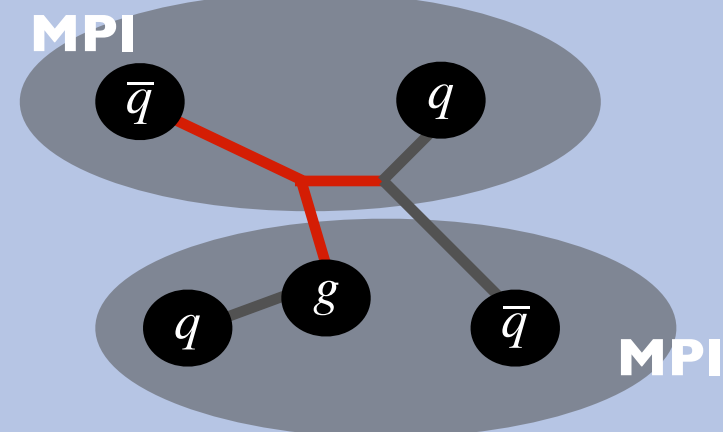
Hadronization via **fragmentation**, color reconnection between partons from different multiparton interactions



Monash tune

(tuned to e^+e^- measurements)

[Eur.Phys.J. C 74 \(2014\) 3024](#)



Mode 2

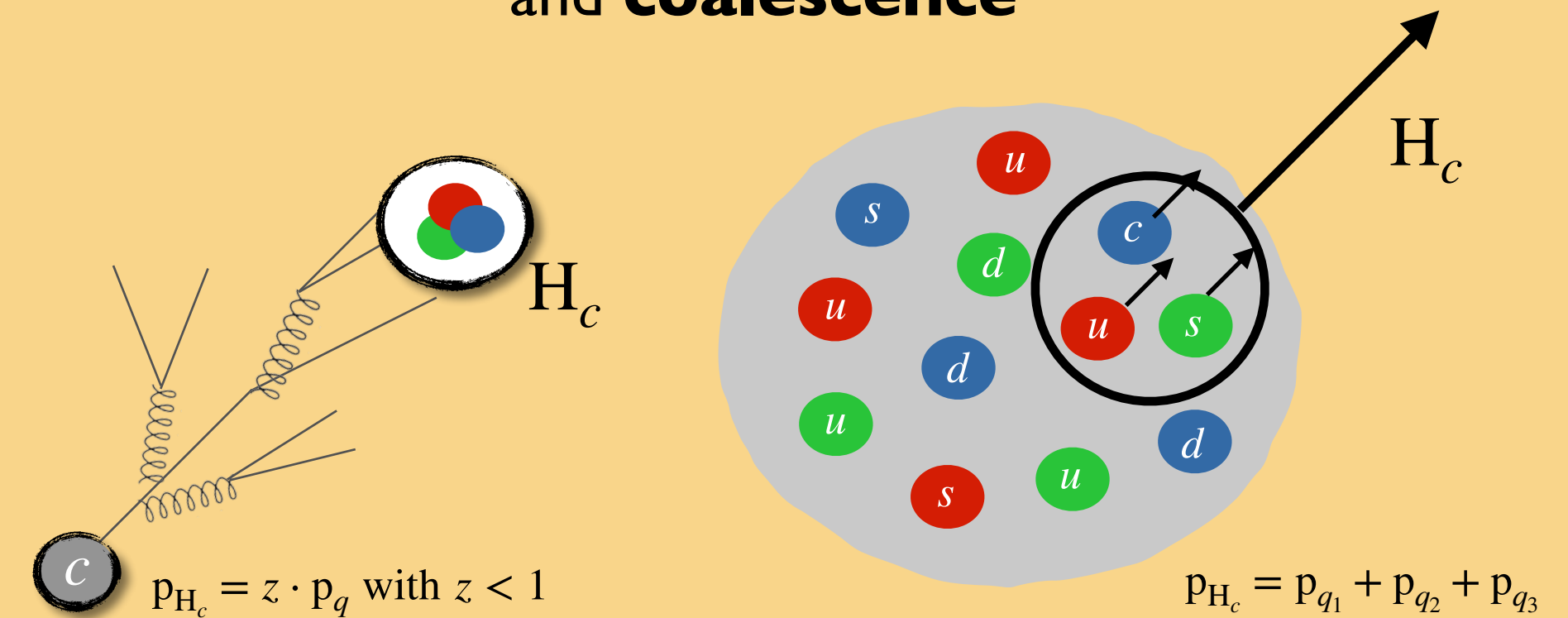
the **junction** topology leads to an increase of baryon production

[JHEP 08 \(2015\) 003](#)

CATANIA

[Phys.Lett.B 821 \(2021\) 136622](#)

Hadronization via both **fragmentation** and **coalescence**



SHM + RQM

[Phys.Lett.B 795 \(2019\) 117-121](#)

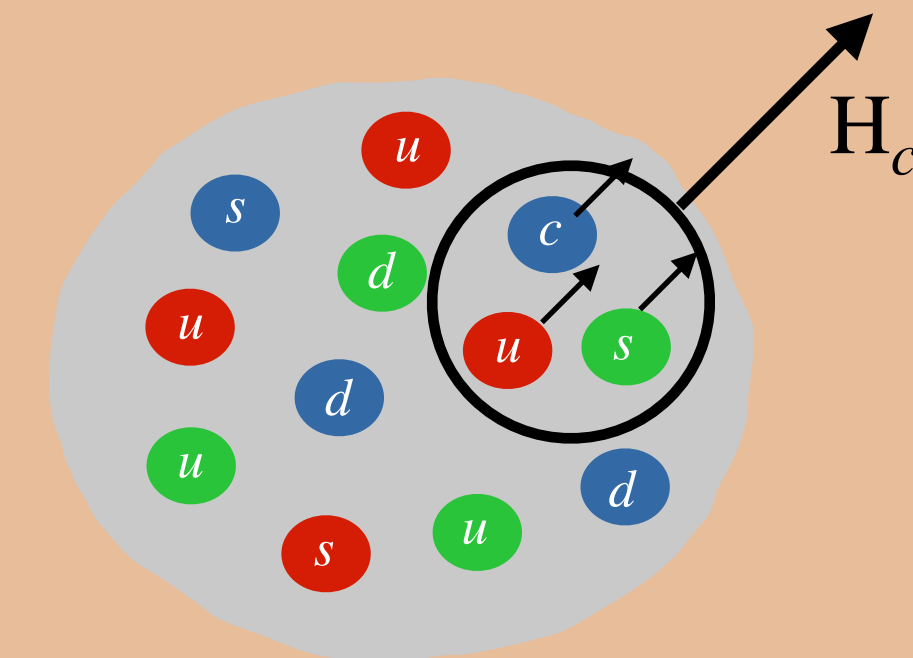
[Phys.Rev.D. 84 \(2011\) 014025](#)

- Complexity of hadronization process replaced by **statistical weights** governed by hadron mass
- Feed-down from largely **augmented set of charm baryon states** beyond the ones currently listed in the PDG, as predicted by Relativistic Quark Model

QCM

[Eur.Phys.J.C 78 \(2018\) 344](#)

Quark (re-)Combination Mechanism
equal-velocity combination of charm quark and light quarks (spatial properties neglected)



The ALICE experiment in Run 2



Time Projection Chamber

Vertexing, tracking and particle identification

$$|\eta| < 0.9$$

Inner Tracking System

Vertexing and tracking

$$|\eta| < 0.9$$

Time-Of-Flight detector

Tracking and particle identification

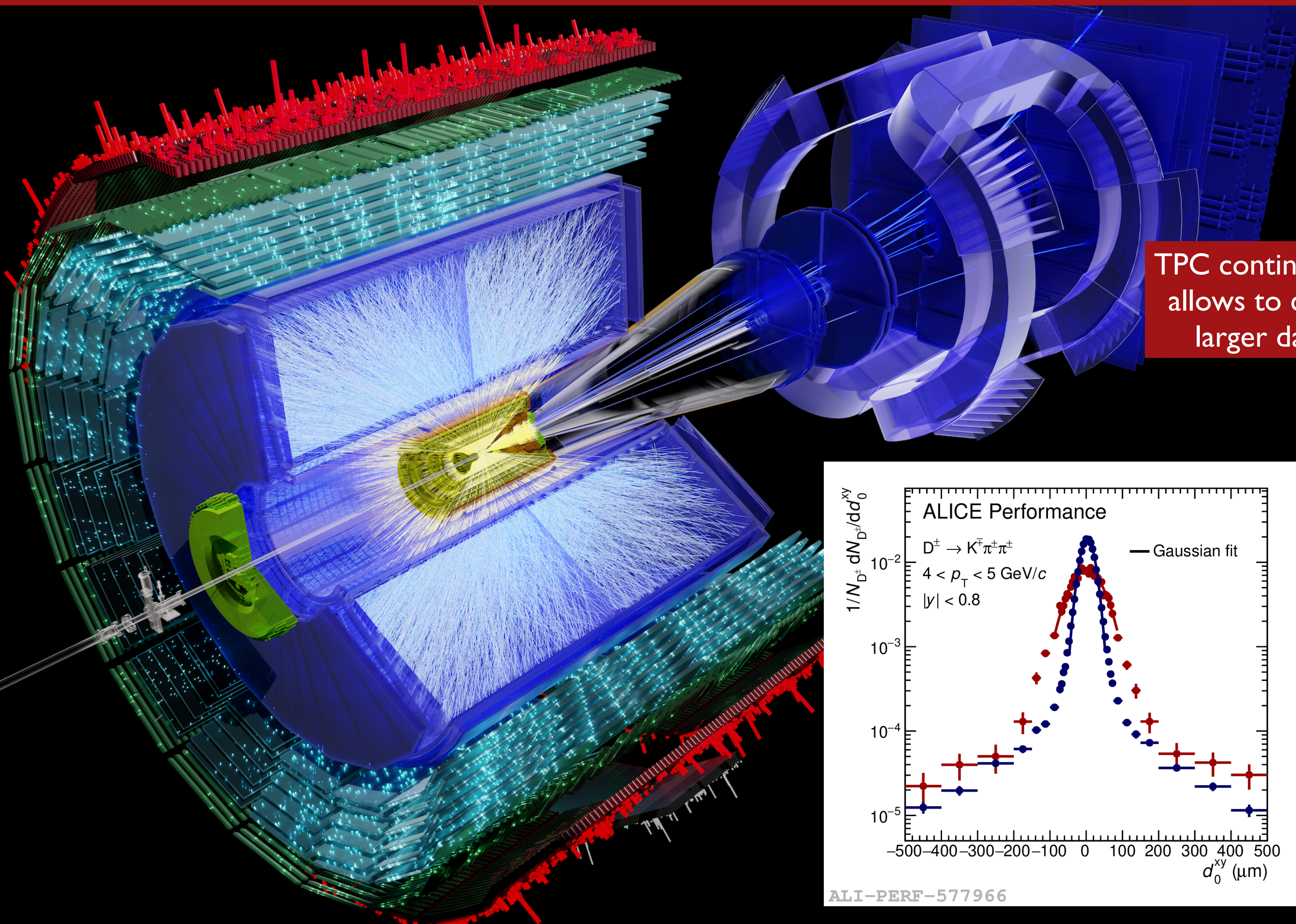
$$|\eta| < 0.9$$

V0

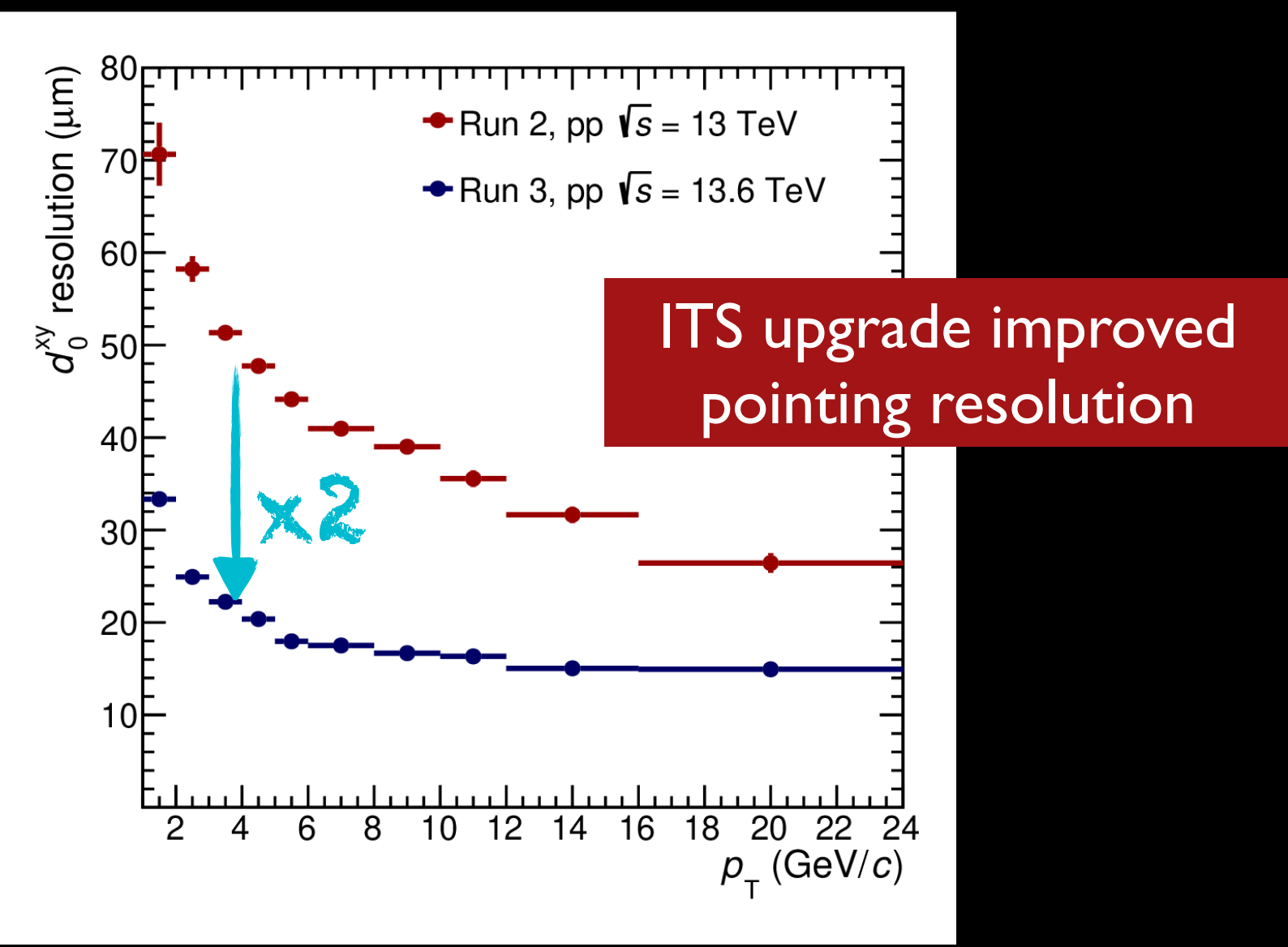
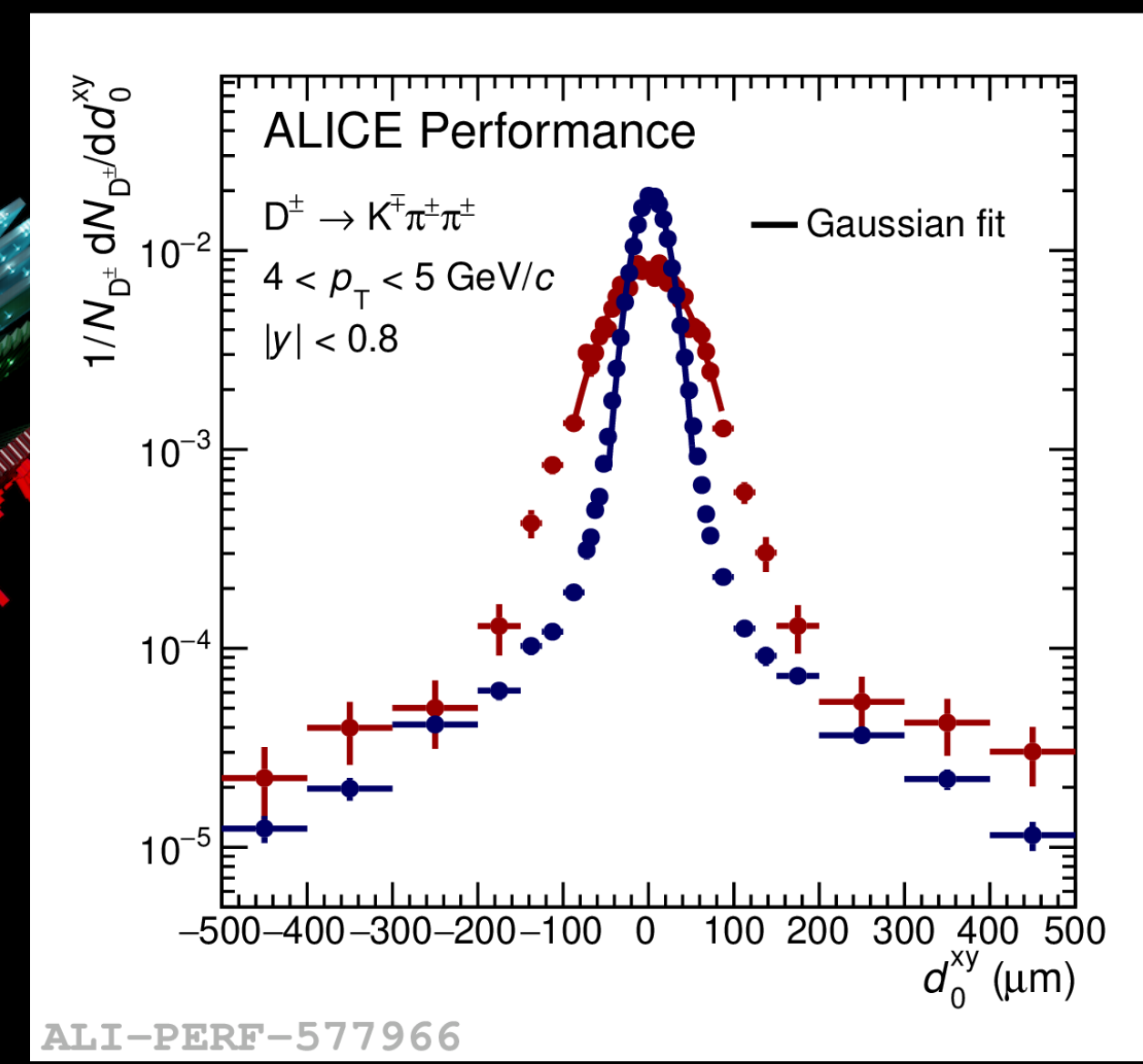
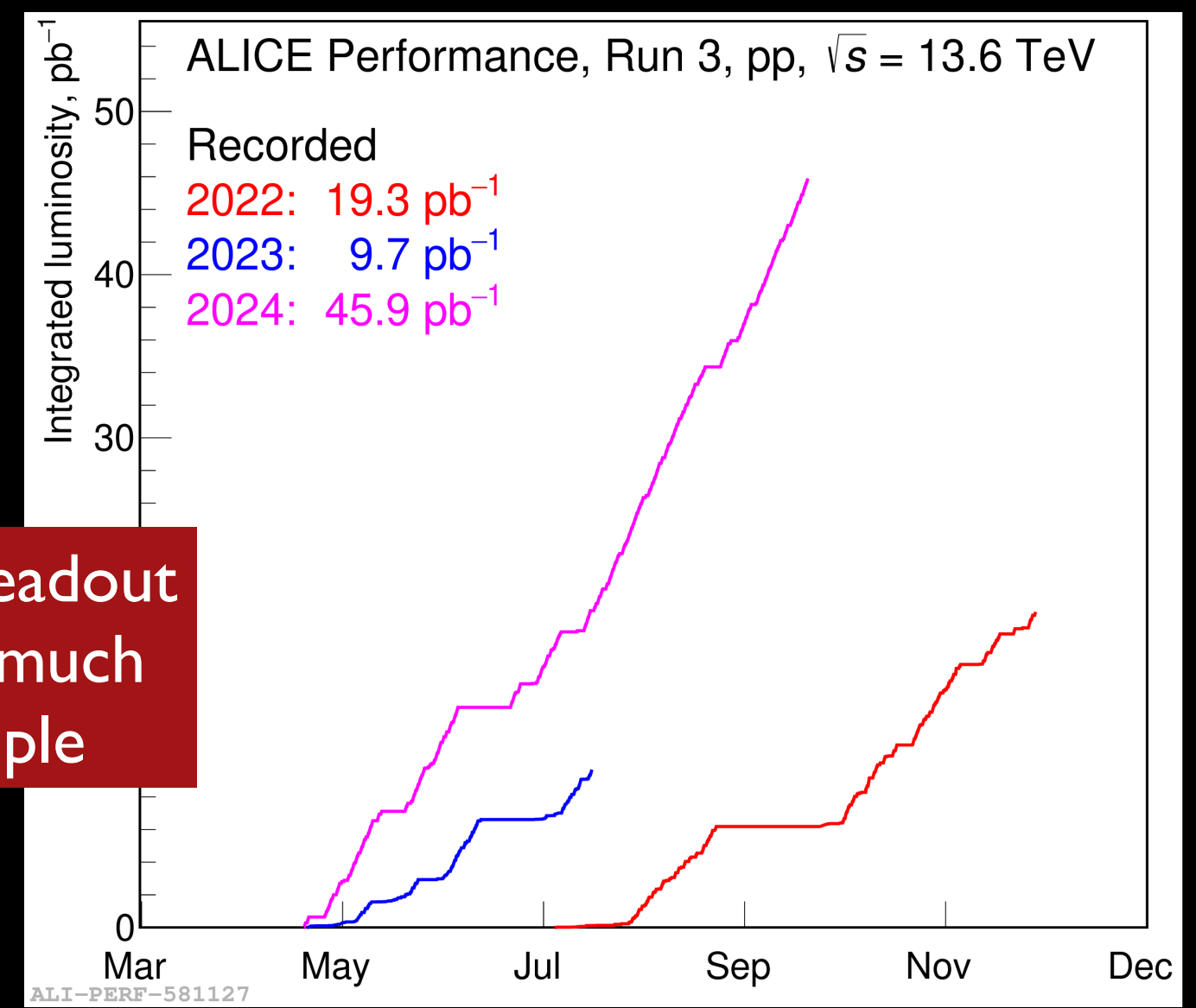
Multiplicity estimator and trigger

$$2.8 < |\eta| < 5.1 \text{ (V0A)}$$

$$-3.7 < |\eta| < -1.7 \text{ (V0C)}$$



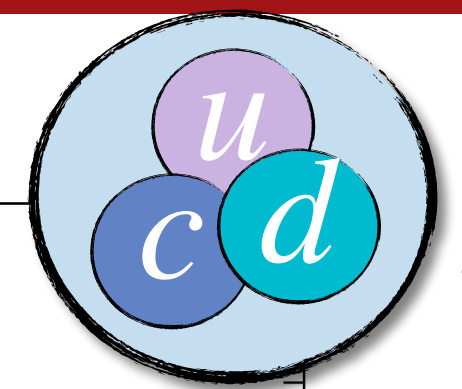
TPC continuous readout allows to collect much larger data sample



Measurements in pp collisions



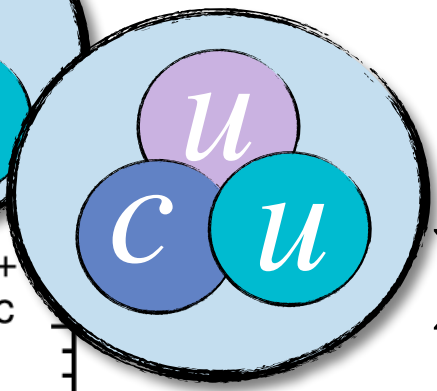
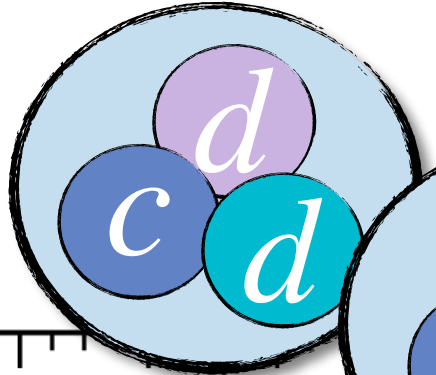
Phys.Rev.C 107 (2023) 064901



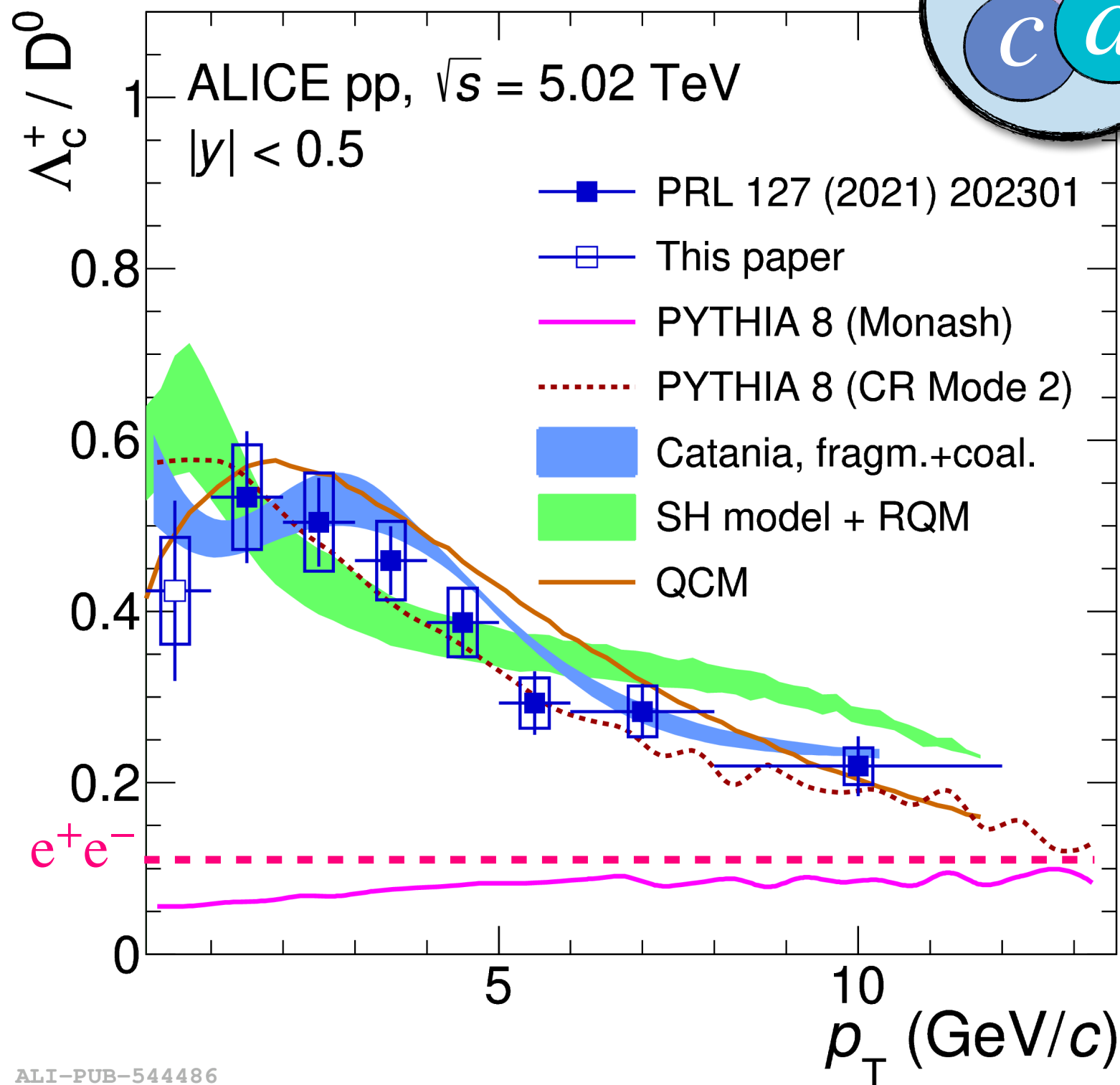
Λ_c^+

More about charm fragmentation
in Jochen Klein's talk

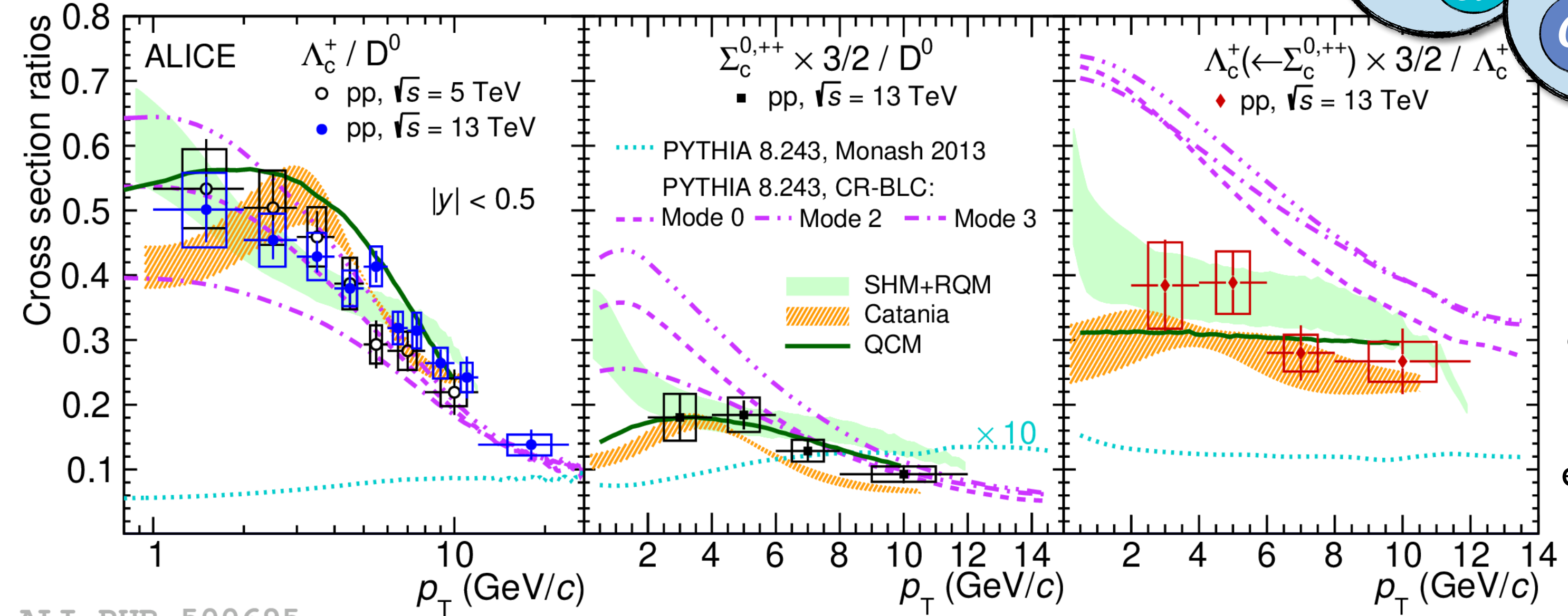
Σ_c^0



Σ_c^{++}



Phys.Rev.Lett. 128 (2022) 012001



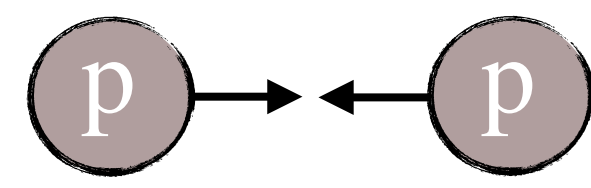
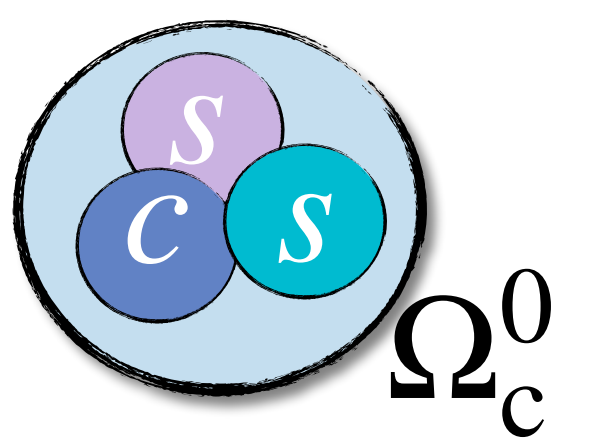
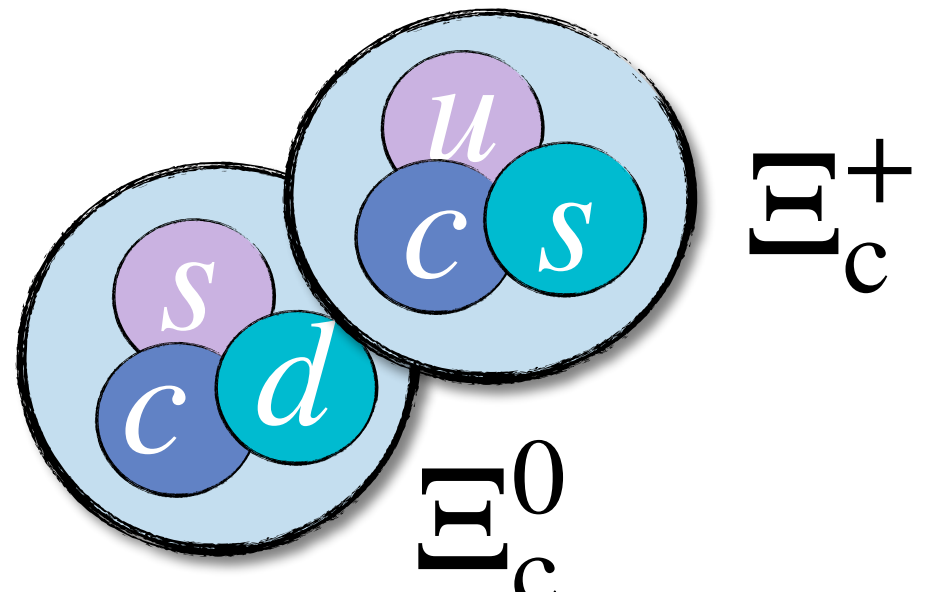
~40% of Λ_c^+
from $\Sigma_c^{0,++}$
decays \rightarrow
enhanced feed-
down partially
contributes to
enhancement in
 Λ_c^+ / D^0

- Predictions driven by charm-quark fragmentation processes measured in e^+e^- and e^-p collisions underestimate the data
- Baryon-to-meson ratio shows a clear p_T dependence, with larger baryon production at low and intermediate p_T
- PYTHIA 8 Mode 2, Catania, QCM and SHM+RQM successfully describe the data
- Measurements support the scenario of charm-quark hadronization in pp collisions via additional mechanisms than those in leptonic collisions

Measurement from e^+e^- collisions: Phys.Rev.Lett. 128 (2022) 012001

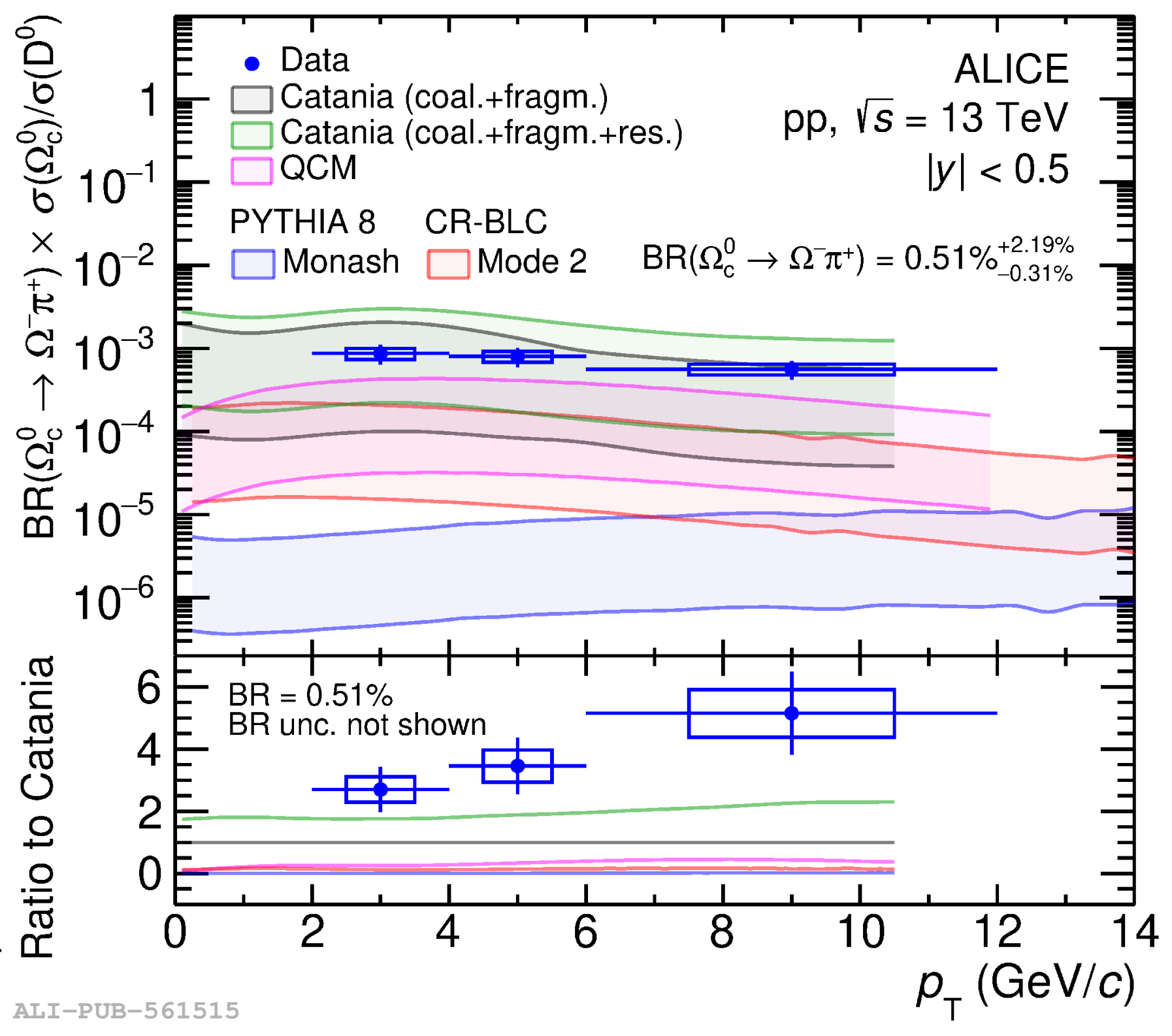
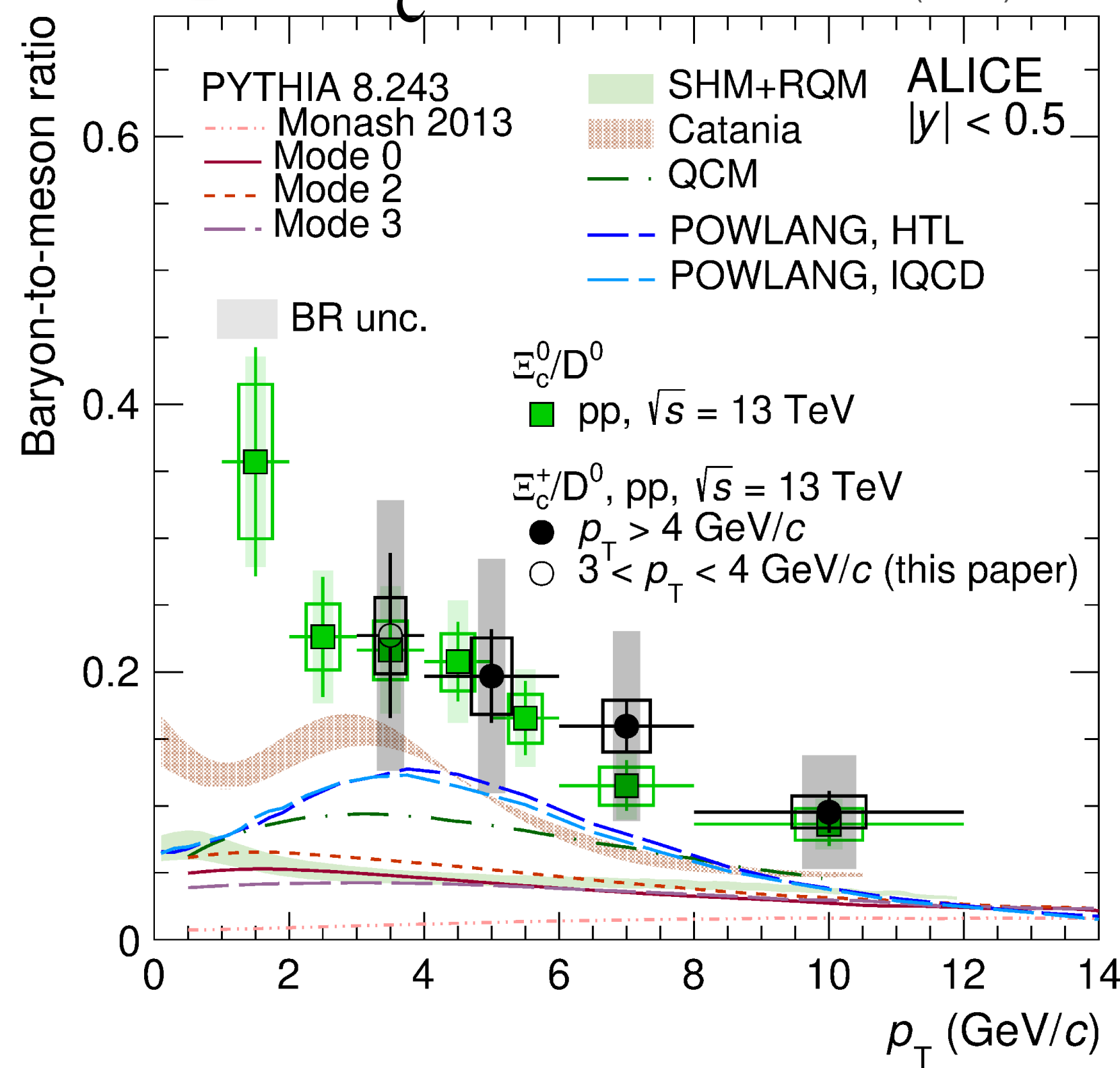


Measurements in pp collisions



JHEP 12 (2023) 086

Phys.Lett.B 846 (2023) 137625

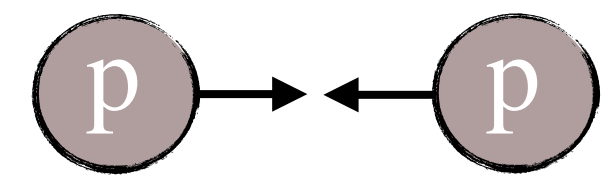
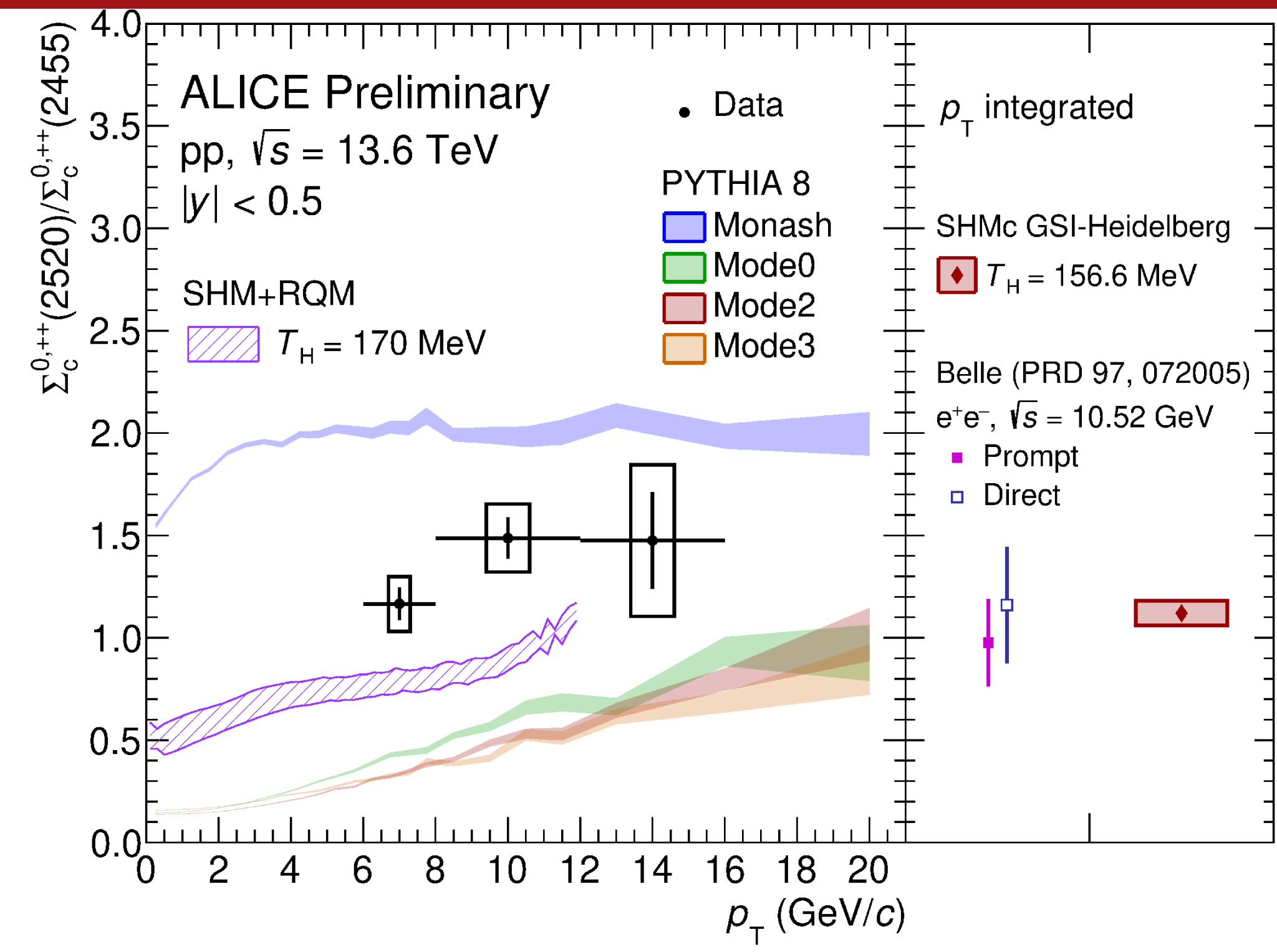
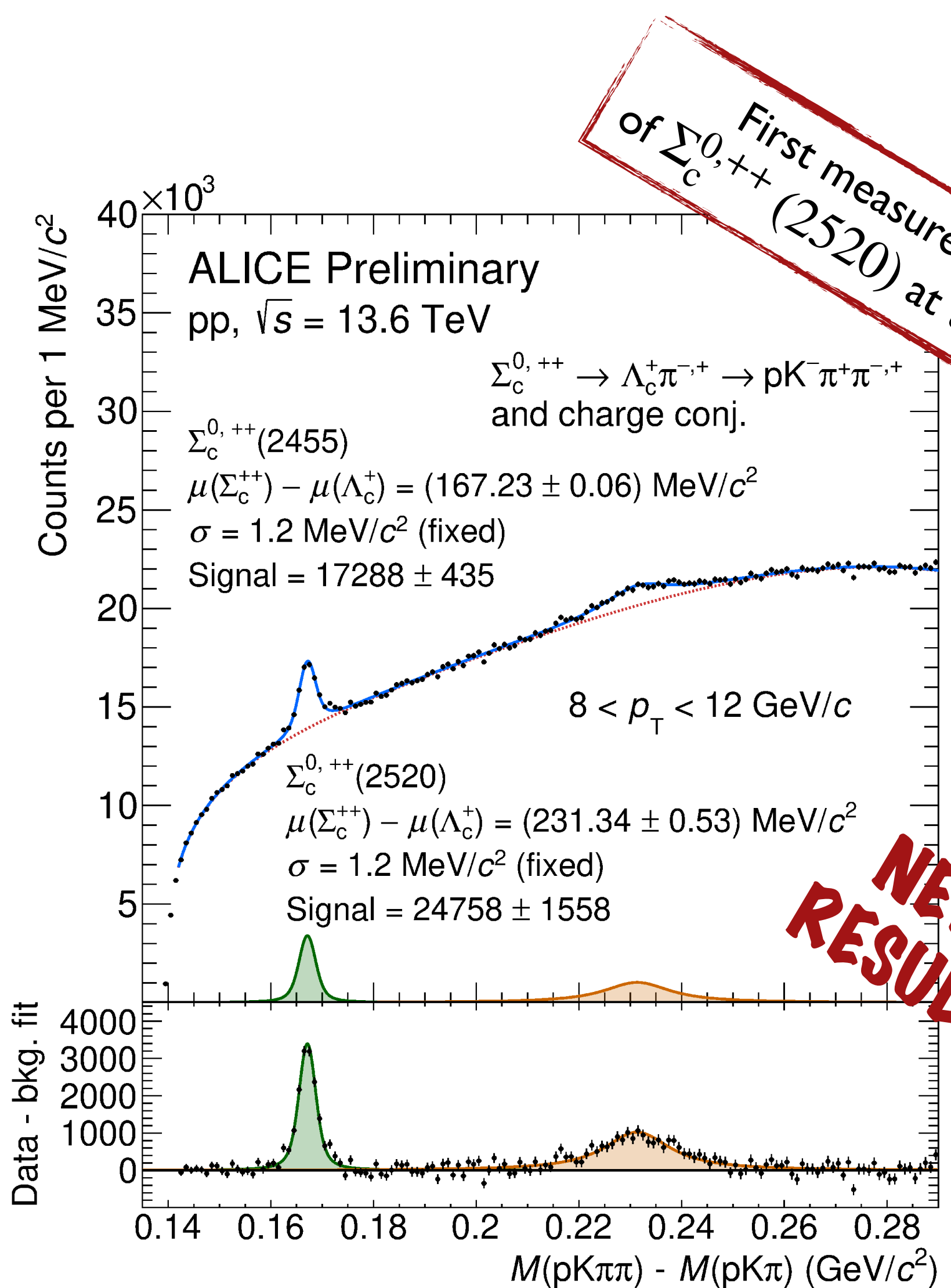


- Enhancement also in strange-charm-baryon-to-meson ratio in pp collisions
- **Poor description** from models successfully describing Λ_c^+/D^0 ratio, with theoretical predictions underestimating the data
 - Catania model gets closer to data
 - Coalescence even in pp collisions?
 - **Larger enhancement** for strange-charm baryons?
- Large uncertainty of Ω_c^0 **branching ratio** limits the effectiveness of the comparison with theoretical models

More about strangeness in heavy quark hadronisation in [Fabio Catalano's talk](#)



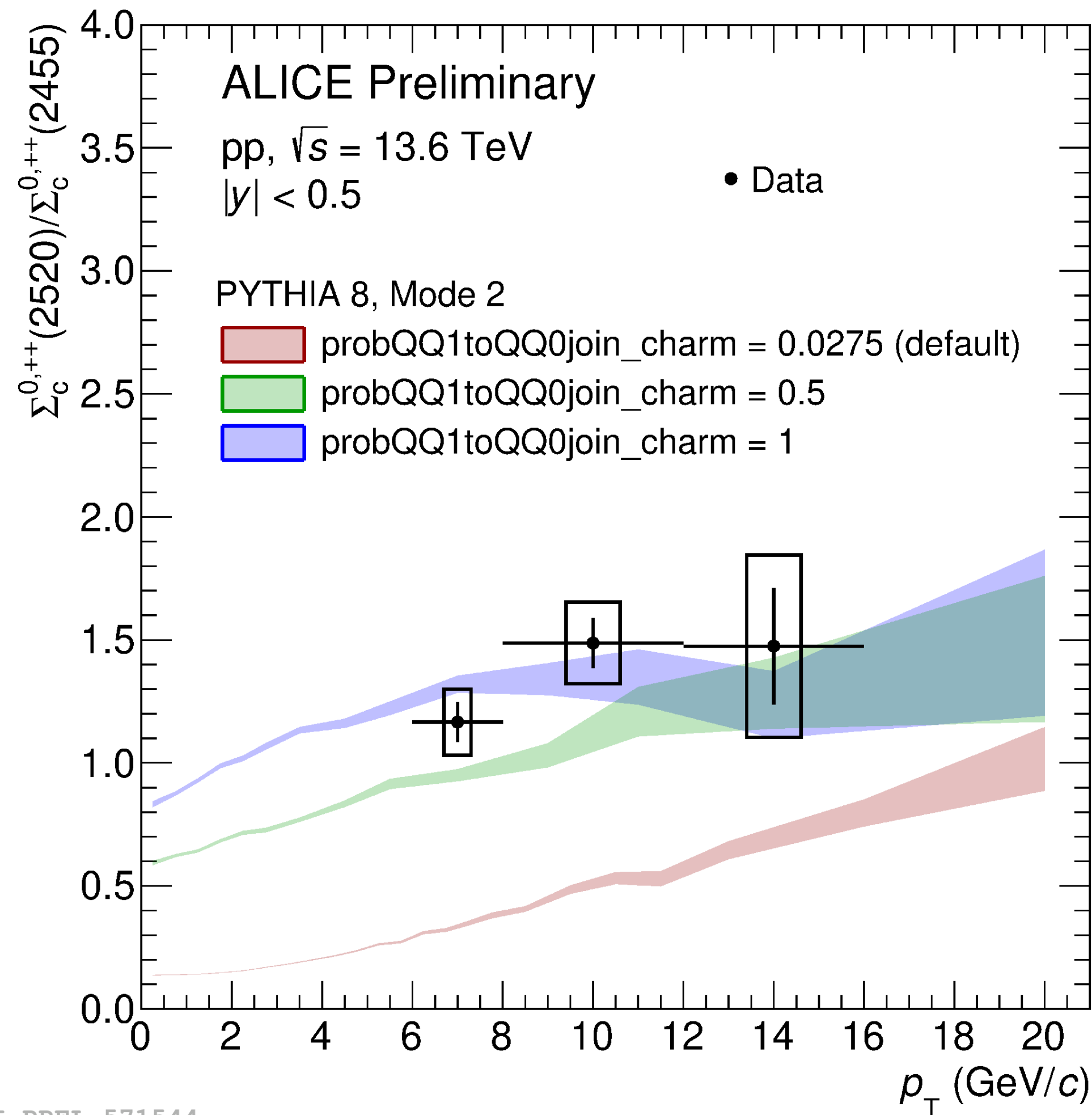
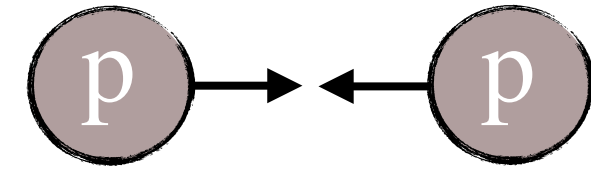
$\Sigma_c^{0,++}$ in pp collisions



ALI-PREL-574270

- In the investigated p_T region, ratios between the two $\Sigma_c^{0,++}$ states consistent with p_T integrated result from e^+e^- collisions within the uncertainties
- p_T integrated value compatible with SHM+RQM \rightarrow measurements of higher mass resonances are a fundamental to validate such models
- PYTHIA 8 Monash overestimates the data, CR Modes and SHM+RQM underestimate the data

ALI-PREL-571534



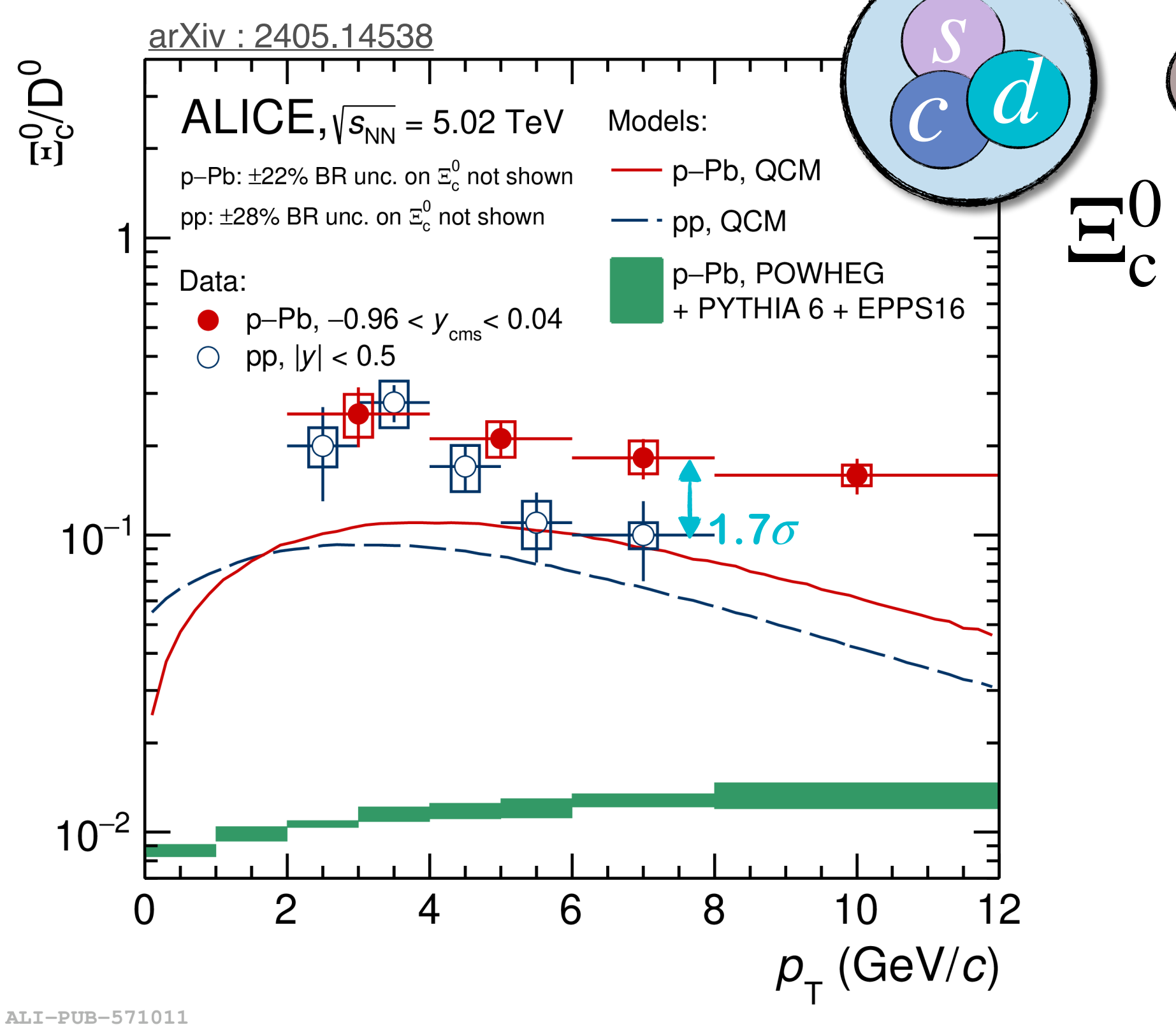
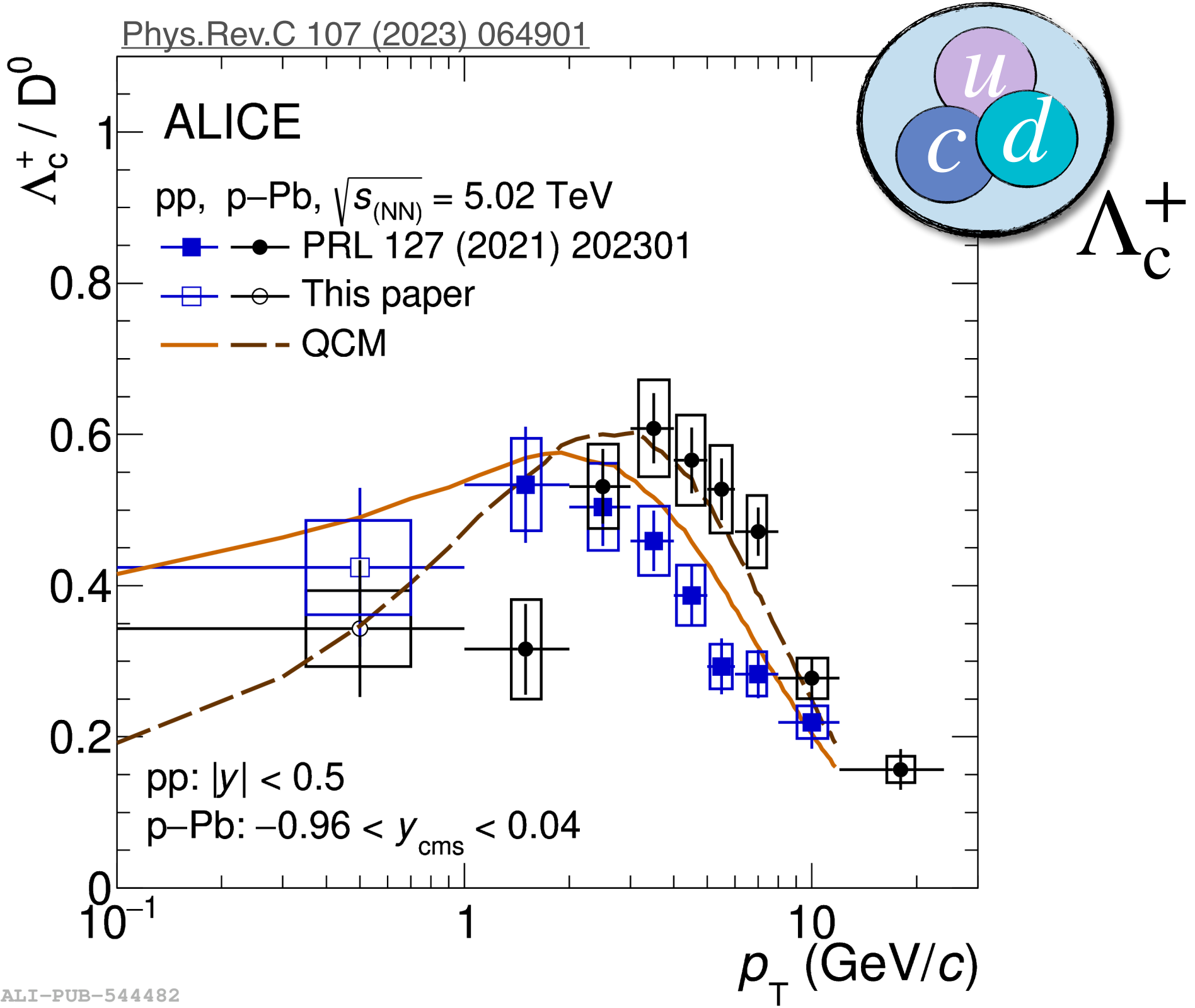
New constraint on model

- Available charm-quark hadronization models fail to describe experimental observations for $\Lambda_c^+(\leftarrow \Sigma_c^{0,++})/\Lambda_c^+$
- In the PYTHIA fragmentation scenario, the suppression of Σ_c production relative to Λ_c is attributed to the need to form a junction containing a spin $S = 1$ charm-light diquark instead of $S = 0$
- In PYTHIA 8 Mode 2 the amount of suppression is tuned by the model parameter `probQQ1toQQ0join_charm` $\rightarrow \Sigma_c$ measurements needed to tune the model

JHEP 08 (2015) 003 and arXiv : 2405.19137

ALI-PREL-571544

Measurements in p-Pb collisions



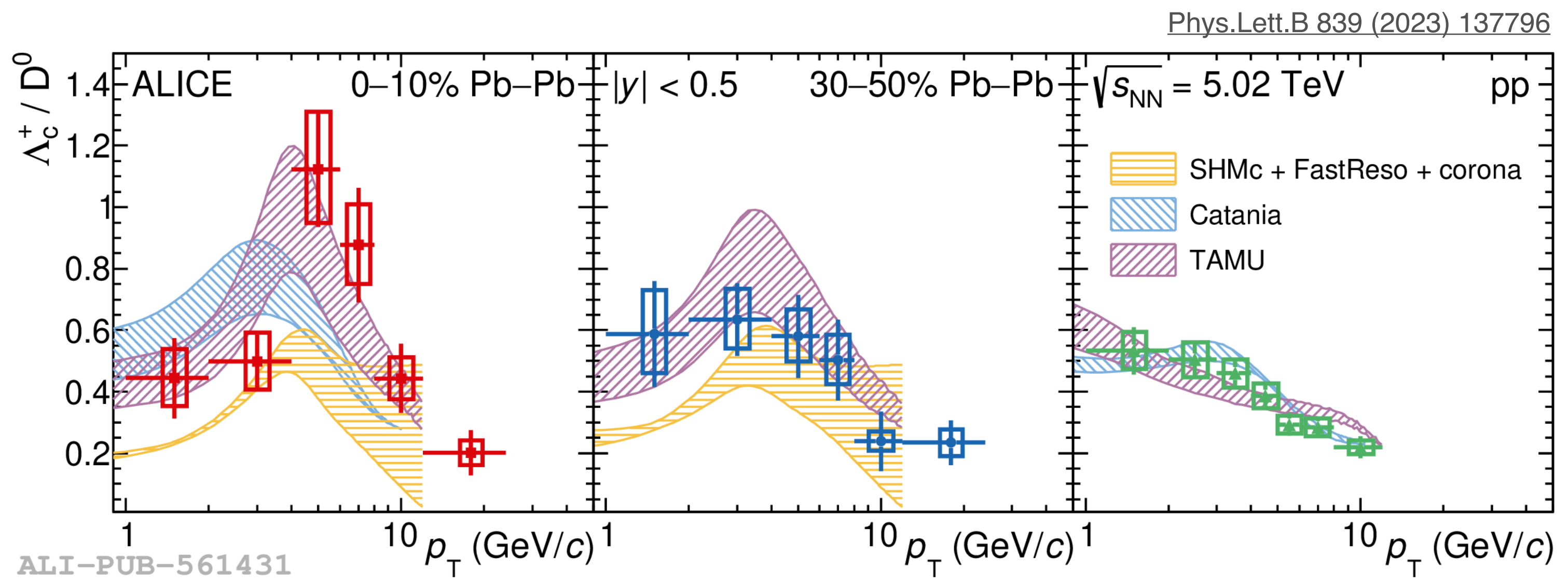
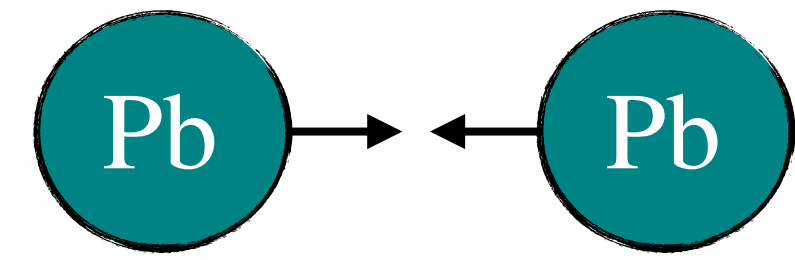
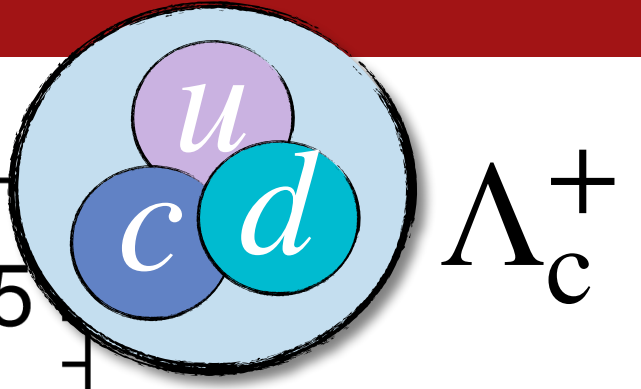
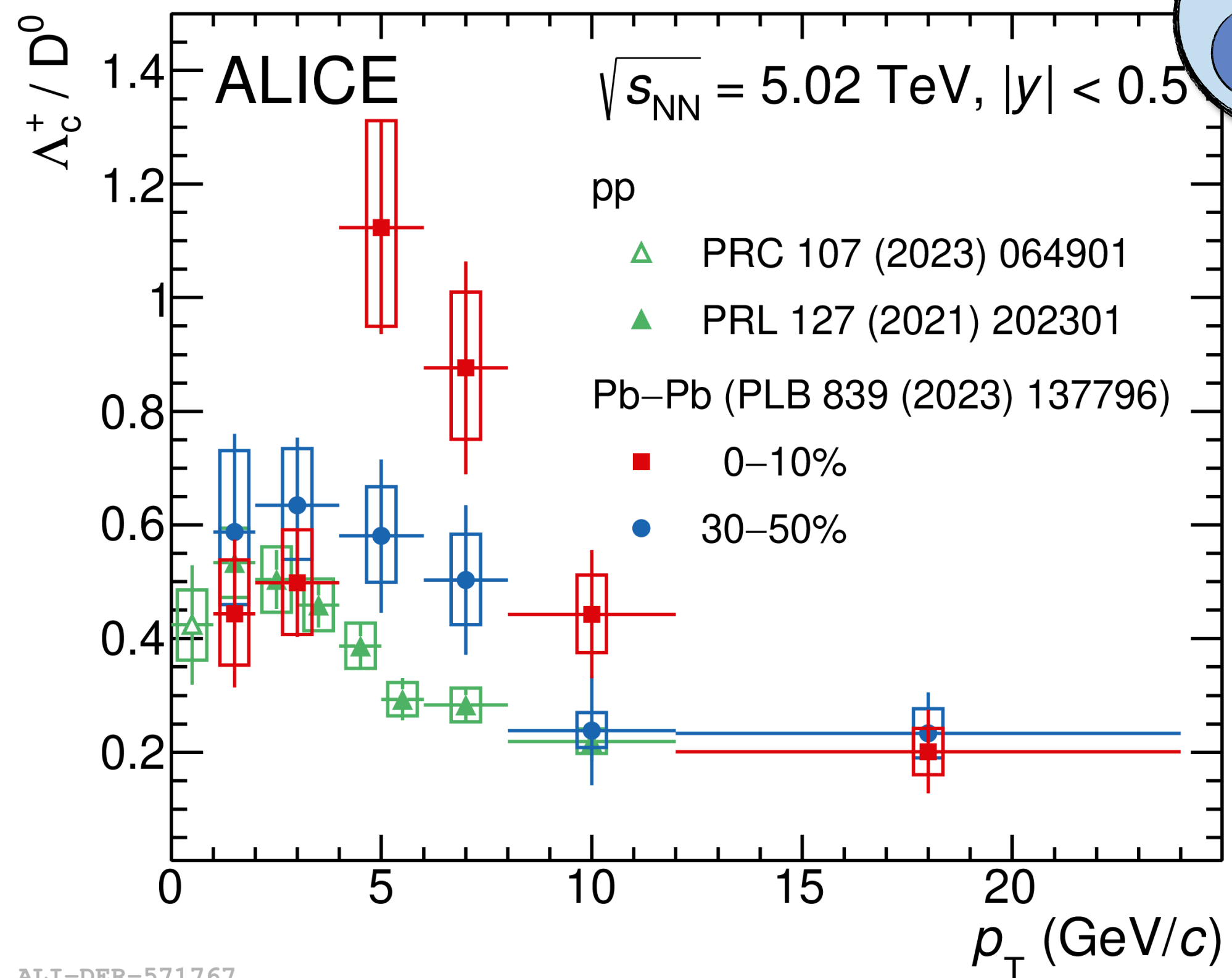
- Similar magnitude in the enhancement of Λ_c^+ / D^0 in pp and p-Pb collisions wrt e^+e^- collisions
- Shift of distribution peak towards higher p_T could be attributed to radial flow
- QCM describes the magnitude of the ratio and predicts the hardening of Λ_c^+ spectrum in p-Pb collisions

- Hint of enhanced Ξ_c^0 / D^0 ratio in p-Pb collisions wrt pp collisions
- Higher precision needed to draw conclusions as done for Λ_c^+ / D^0
- QCM underestimates Ξ_c^0 cross section and Ξ_c^0 / D^0 by same amount

Measurements in Pb–Pb collisions



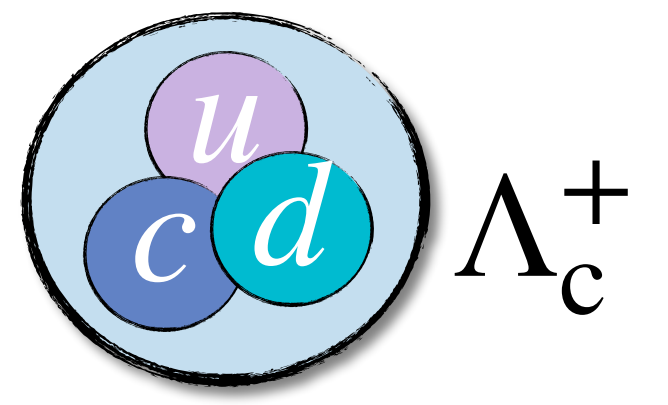
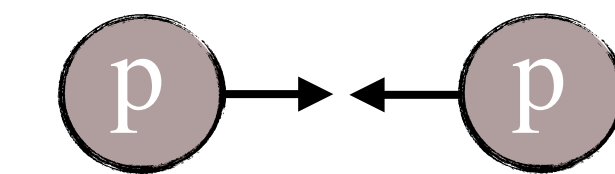
Phys.Lett.B 839 (2023) 137796



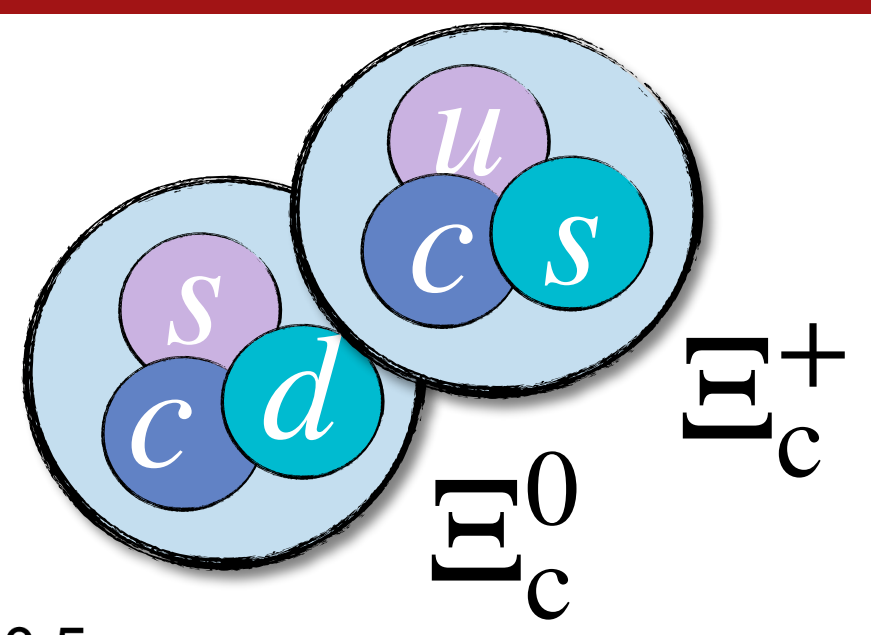
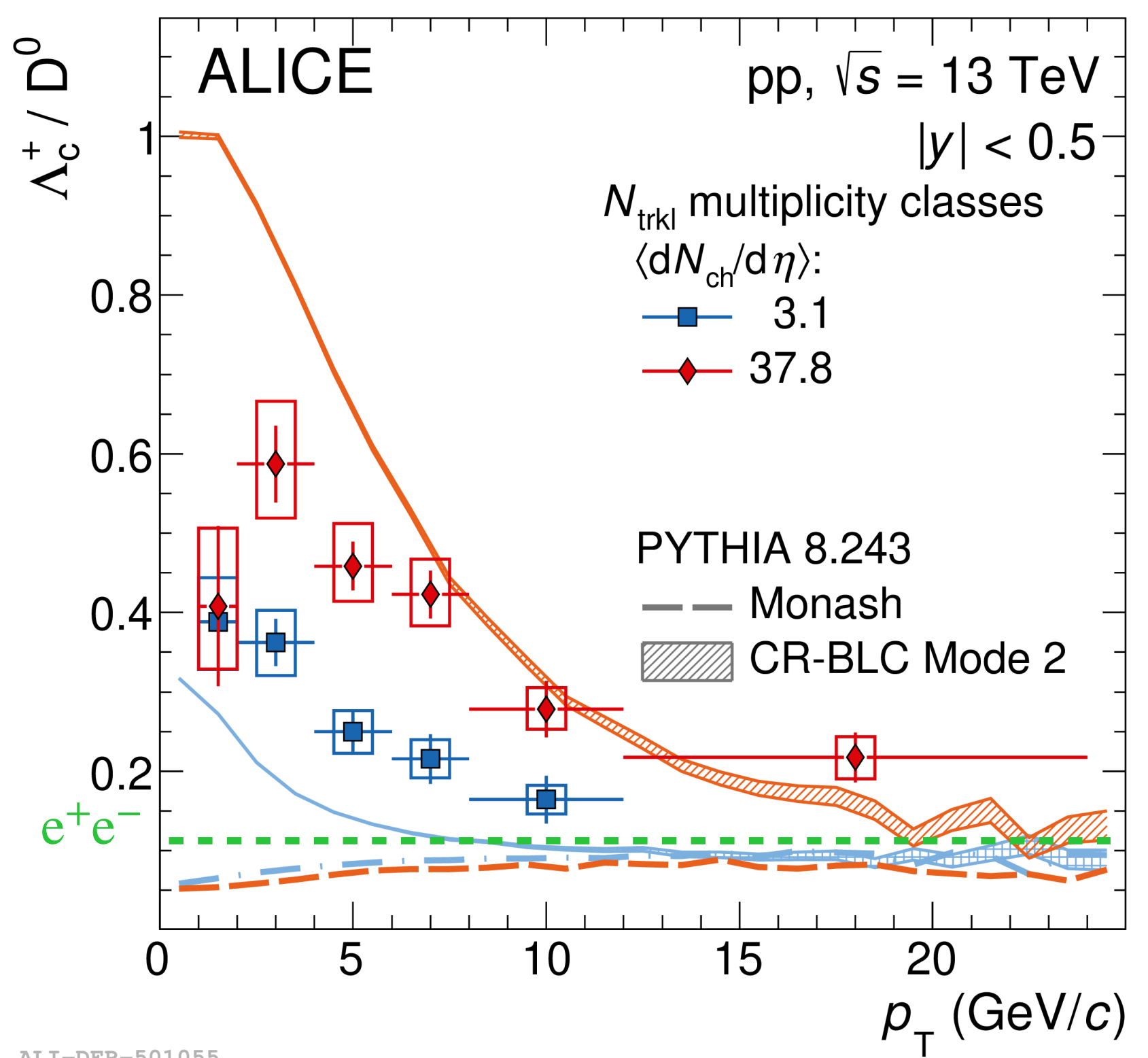
- Λ_c^+ / D^0 ratio increases from pp to mid-central and central Pb–Pb collisions at intermediate p_T with a significance of 3.7σ , with a peak increasing in magnitude and shifting towards higher p_T values
- Observed behaviour could be the result of modification of p_T spectra due to recombination and/or radial flow
- Theoretical calculations including both fragmentation and coalescence describe the measurements



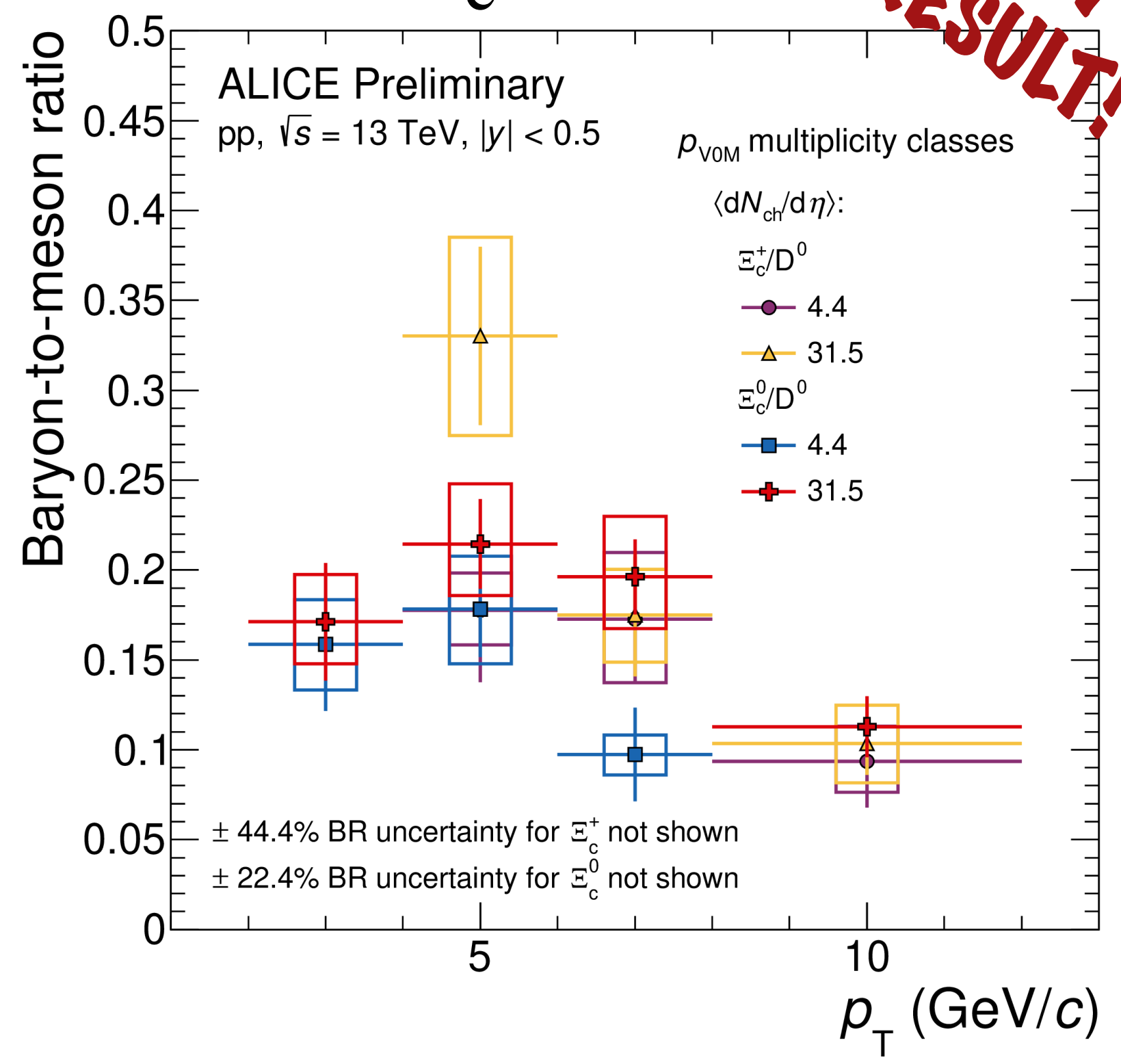
Event multiplicity dependence



Phys.Lett.B 829 (2022) 137065



NEW RESULT!



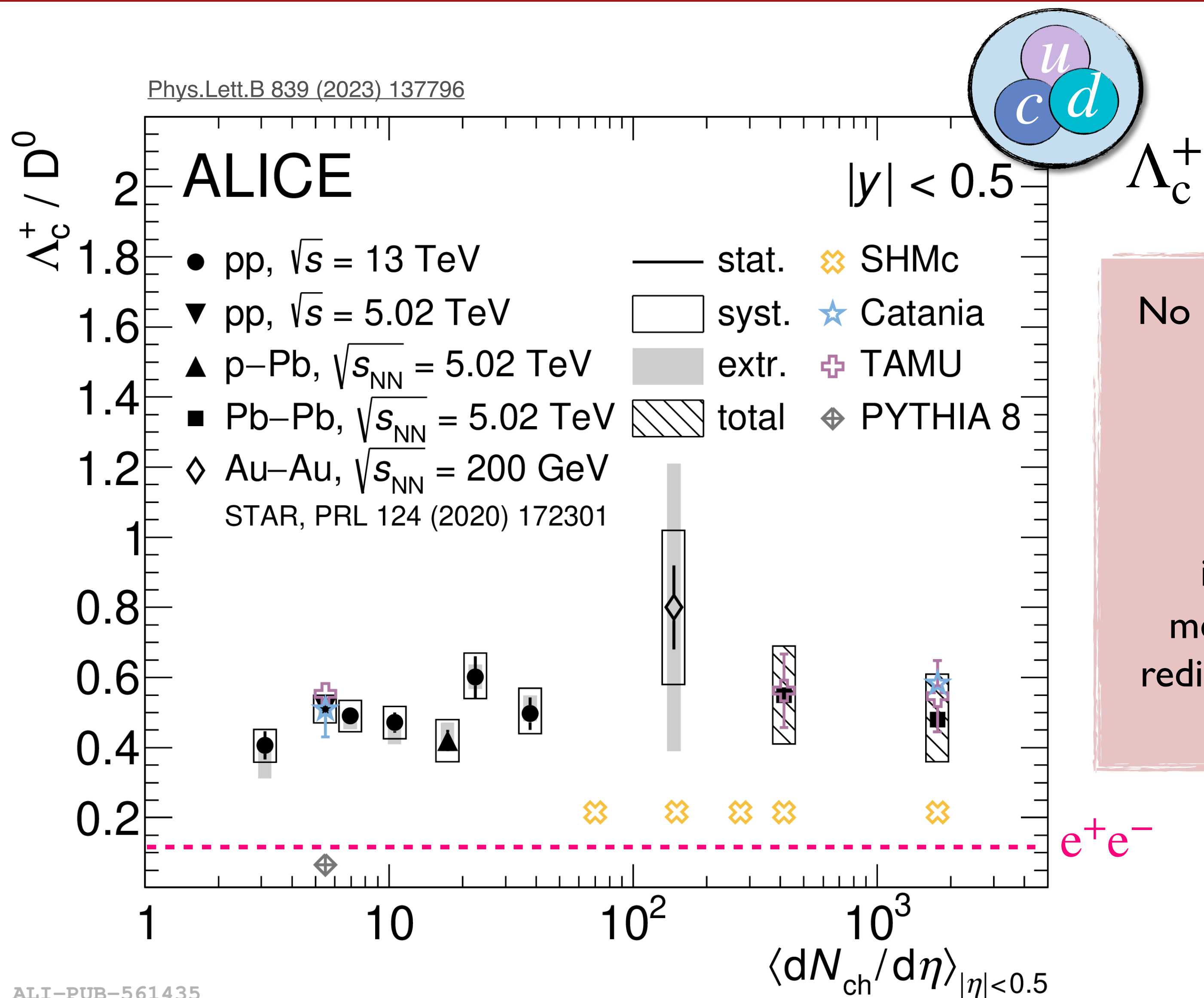
- Significant multiplicity-dependent enhancement of the Λ_c^+ / D^0 ratio with a significance of 5.3σ for $1 < p_T < 12$ GeV/c from lowest to highest multiplicity class
- Measured Λ_c^+ / D^0 ratio in the lowest multiplicity interval is higher than in e^+e^- collisions
- PYTHIA 8 Monash fails to describe the Λ_c^+ / D^0 ratio, Mode 2 catches its trend but not the magnitude
- No strong multiplicity dependence of Ξ_c^0 / D^0 with the current uncertainties

ALI-DER-501055

ALI-PREL-548915



Event multiplicity dependence

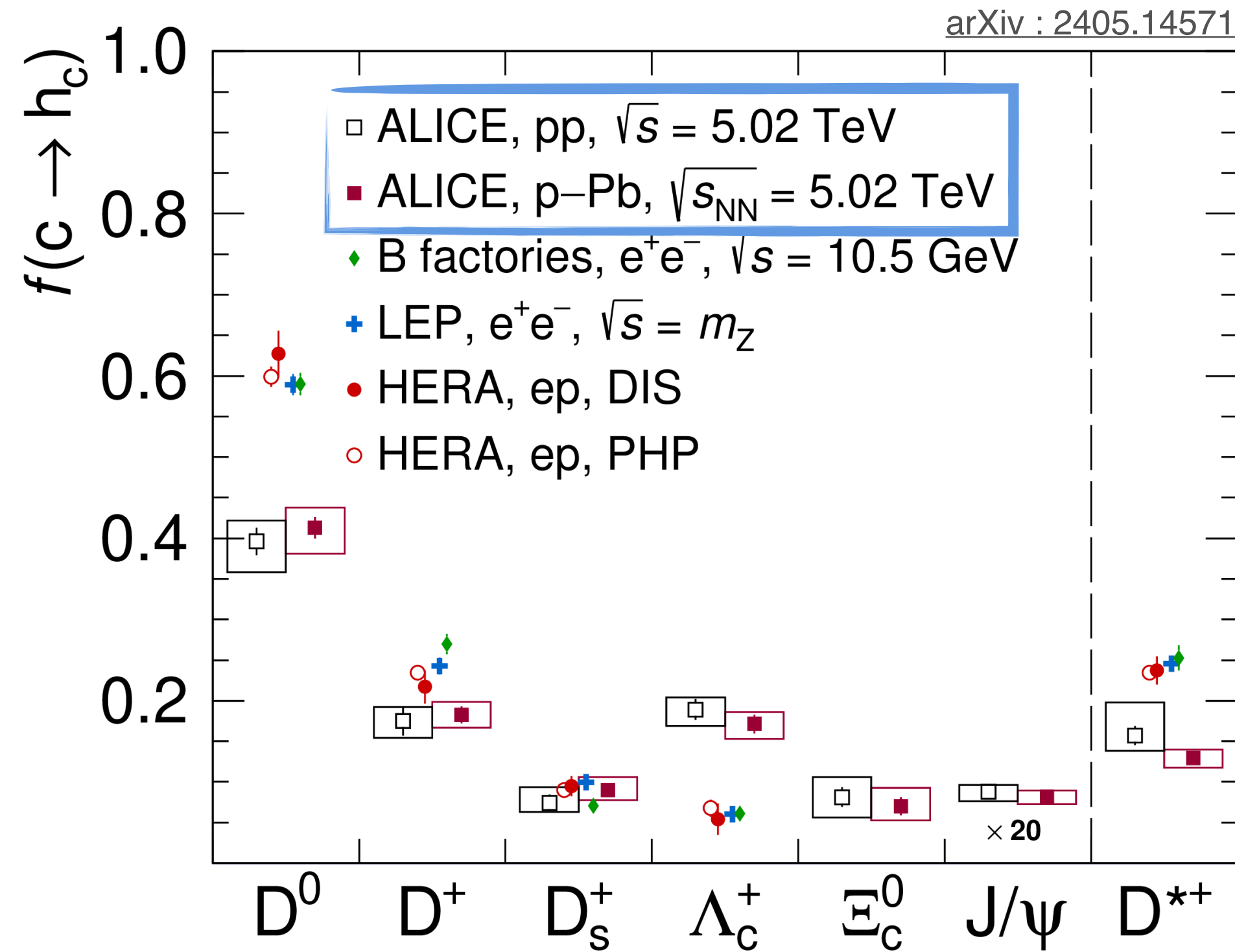


No multiplicity dependence of p_T integrated Λ_c^+ / D^0 ratio within the uncertainties

↓

increase in baryon-to-meson ratio observed in the measured p_T range is likely to be due to a different p_T redistribution between meson and baryons rather than to an enhancement in the overall baryon yield

Charm fragmentation fractions

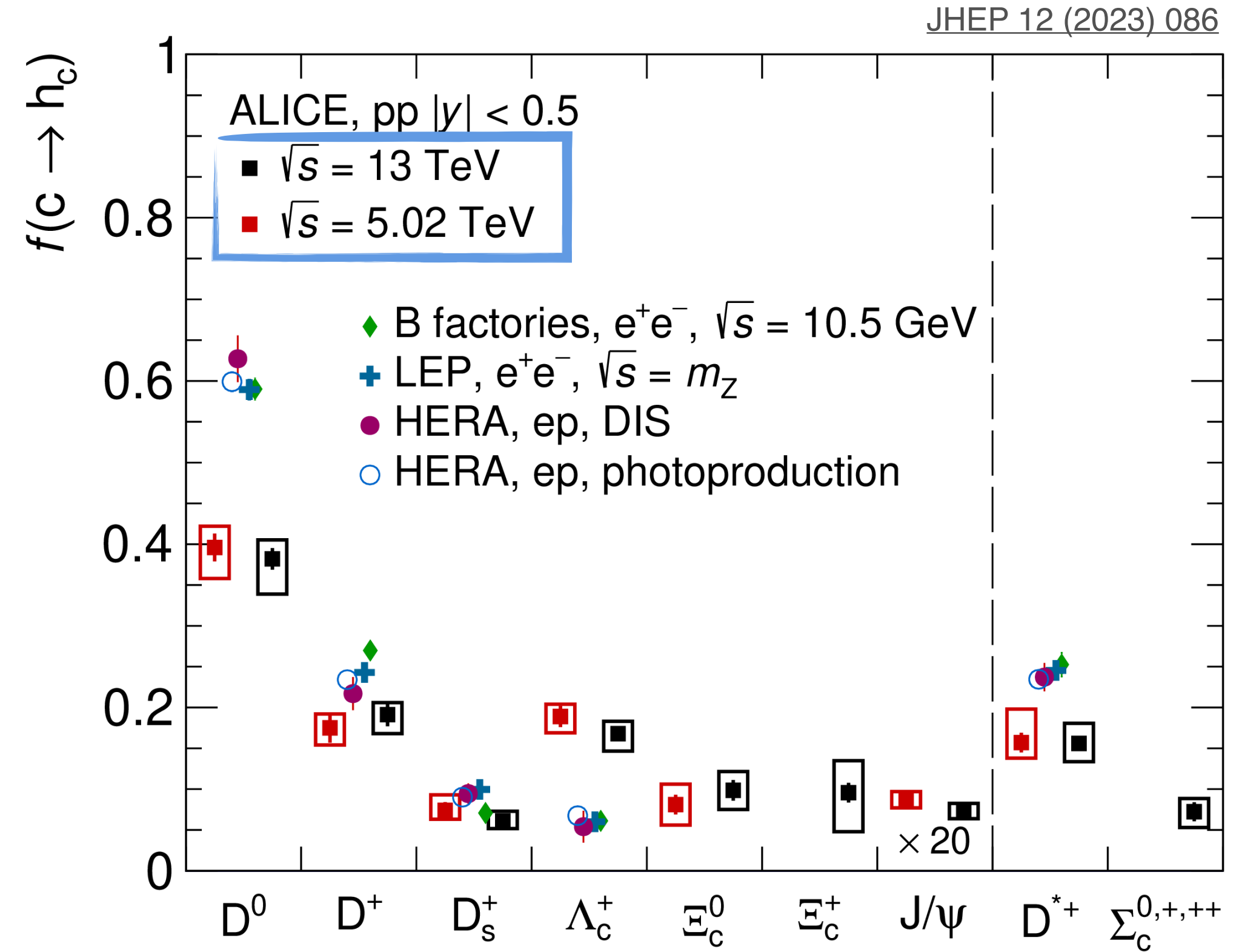


ALI-PUB-570972

Fragmentation fractions in pp and p-Pb collisions are consistent with each other



No modification of charm hadronization process due to different hadronic collision system size

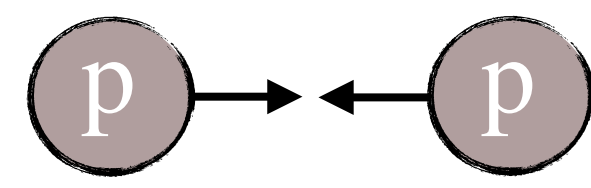
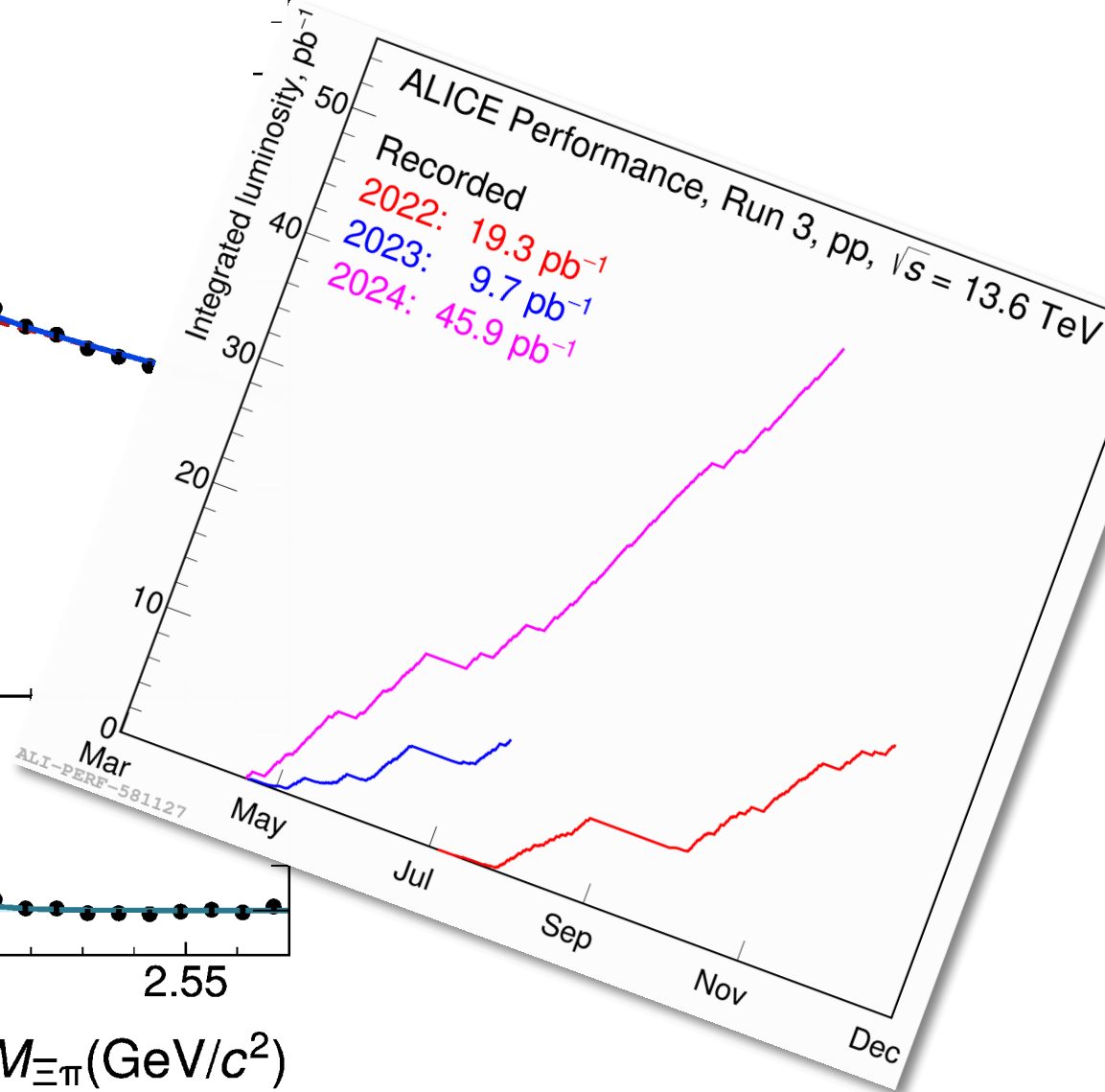
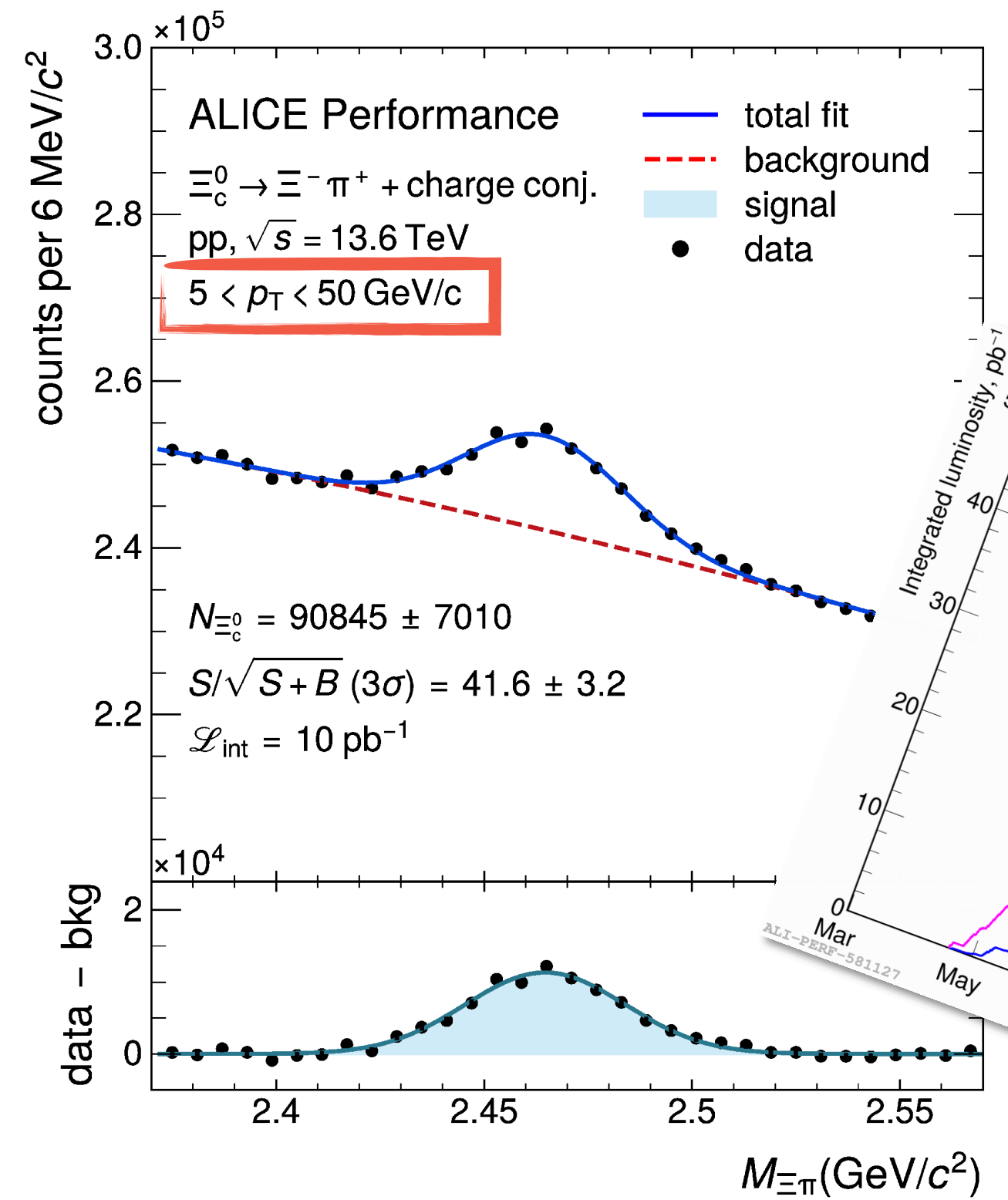
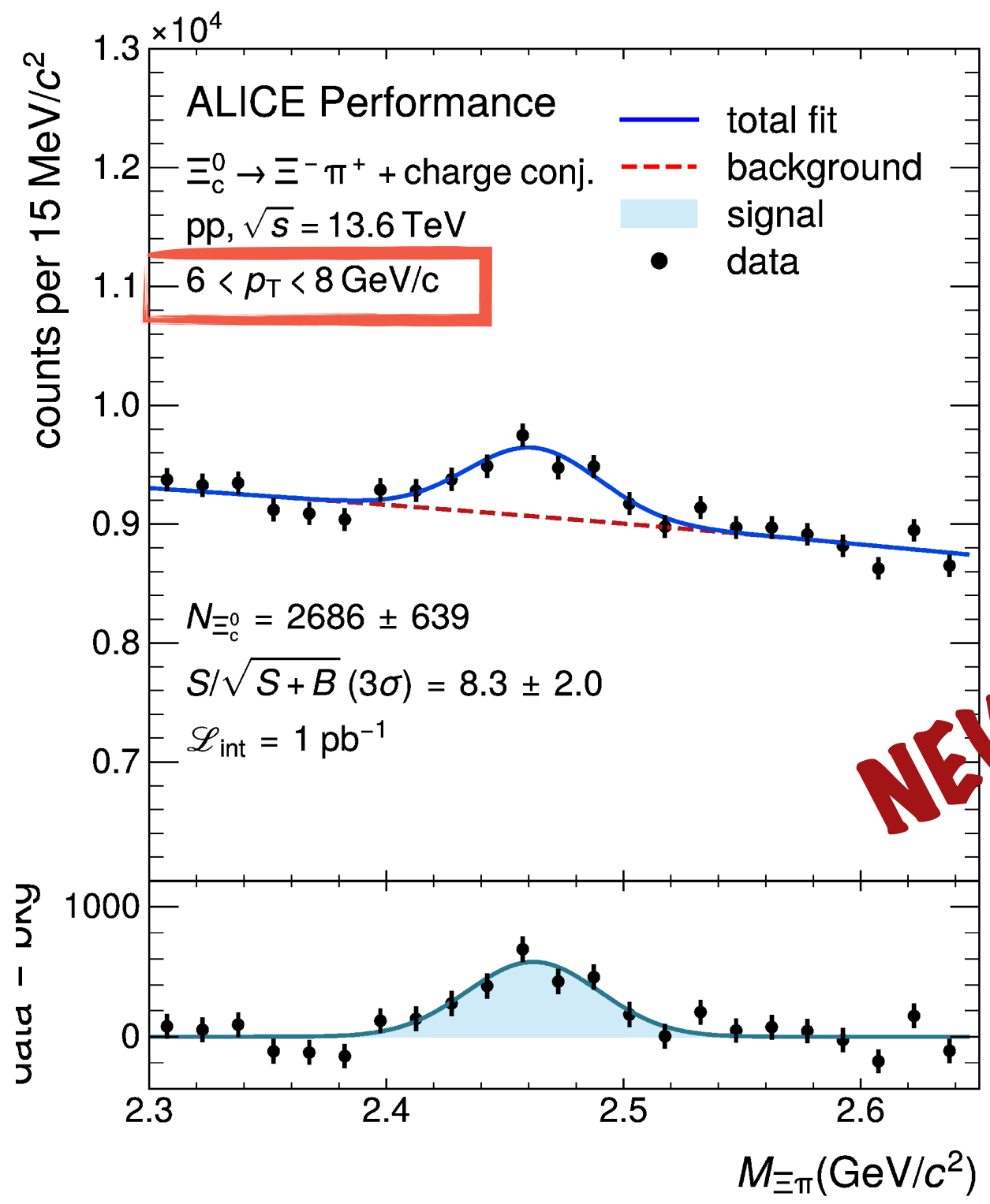
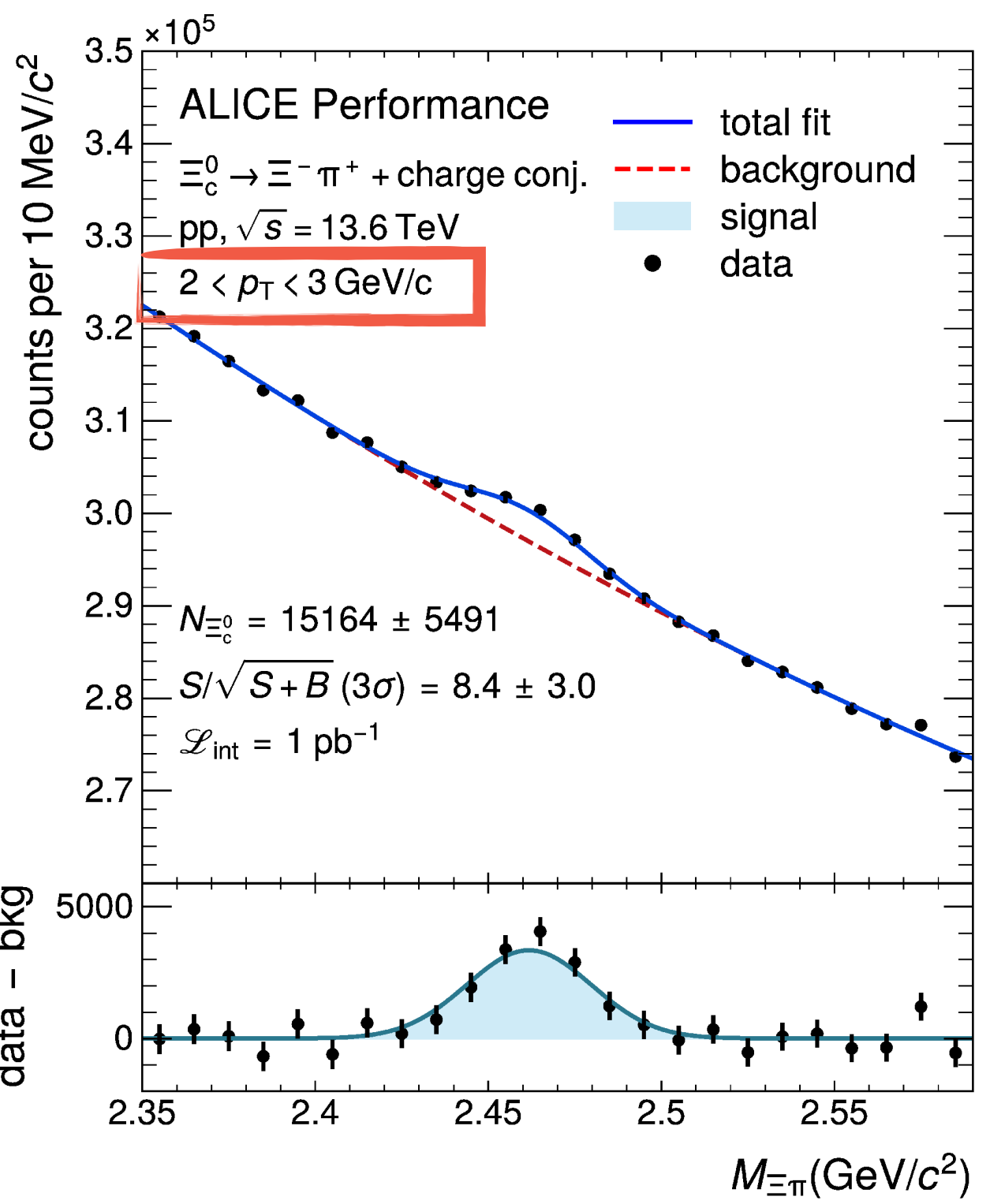


ALI-PUB-567906

Fragmentation fractions do not show energy dependence within the uncertainties



Outlook: Ξ_c^0 in Run 3 and charm baryon software trigger



ALI-PERF-578561

ALI-PERF-578566

ALI-PERF-578571

Large statistics for Ξ_c^0 analysis already available!

- Ongoing measurement of Ξ_c^0 cross section on $\mathcal{L}_{\text{int}} = 1 \text{ pb}^{-1}$ dataset \rightarrow 30 times larger statistics compared to full Run 2 sample
- \mathcal{L}_{int} target: 10 pb^{-1} (full 2023)

Charm baryon dedicated software trigger

- $\Xi_c^0 \rightarrow \Xi^- \pi^+$ (CF), $\Omega_c^0 \rightarrow \Xi^- \pi^+$ (SCS) and $\Omega_c^0 \rightarrow \Xi^- K^+$ (DCS)
- Deployed starting from 2023 data taking

CF \rightarrow Cabibbo Favoured, SCS \rightarrow Singly Cabibbo Suppressed, DCS \rightarrow Doubly Cabibbo Suppressed



Charm baryons have been measured in various collision systems, investigating the yield dependence on p_T and event multiplicity

- Charm-quark hadronization in parton rich environments happens via **additional mechanisms** wrt leptonic collisions
- Larger enhancement at **low and intermediate** p_T , hardening of baryon-to-meson ratio distribution in larger collision systems ascribable to recombination and/or radial flow
- Larger enhancement for **strange**-charm-baryons?
- Hint of **different** p_T **redistribution** between meson and baryons for different multiplicity classes
- Charm fragmentation fractions do not show a dependence neither on the hadronic collision **system size** nor on the collision **energy** within the uncertainties

Large Run 3 statistics

- extended p_T reach
- increased measurements granularity
- improved precision
- new particles now accessible at the LHC

Better understanding of charm and beauty hadronization

More about beauty
in [Andrea Tavira Garcia's talk](#)

**STAY
TUNED!**

BACKUP



SHM + RQM

- Complexity of hadronization process replaced by statistical weights governed by the hadron mass
- Feed-down from a largely augmented set of charm baryon stated beyond the ones currently listed in the PDG
- The Relativistic Quark Model predicts the existence of a set of excited charm baryons using a relativistic description of a bound object composed of a heavy quark and a light diquark

[Phys.Lett.B 795 \(2019\) 117-121](#)

[Phys.Rev.D. 84 \(2011\) 014025](#)

CHARM BARYONS

- PDG: 6 Λ_c , 3 Σ_c , 8 Ξ_c , 2 Ω_c
- RQM: extra 18 Λ_c , 42 Σ_c , 62 Ξ_c , 34 Ω_c
(up to a mass of 3.5 GeV/c)

[Phys.Rev.D. 84 \(2011\) 014025](#)

HADRON THERMAL DENSITIES

isospin degeneracies

Bessel function

$$n_i = \frac{d_i}{2\pi^2} m_i^2 T_H K_2\left(\frac{m_i}{T_H}\right)$$

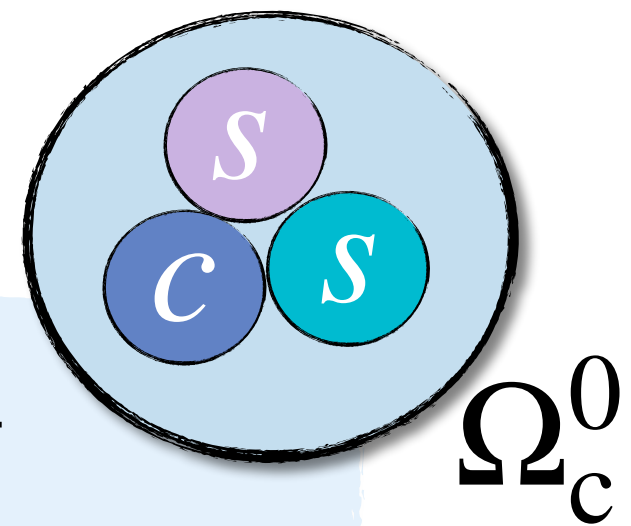
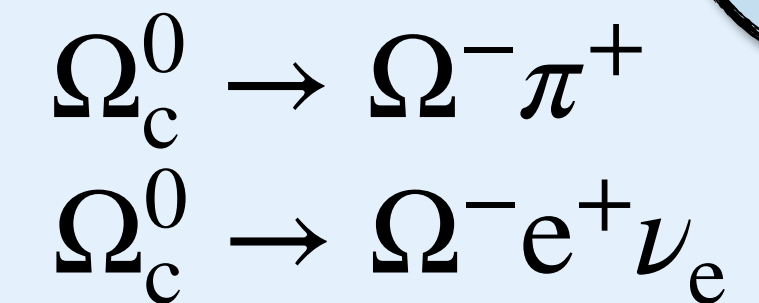
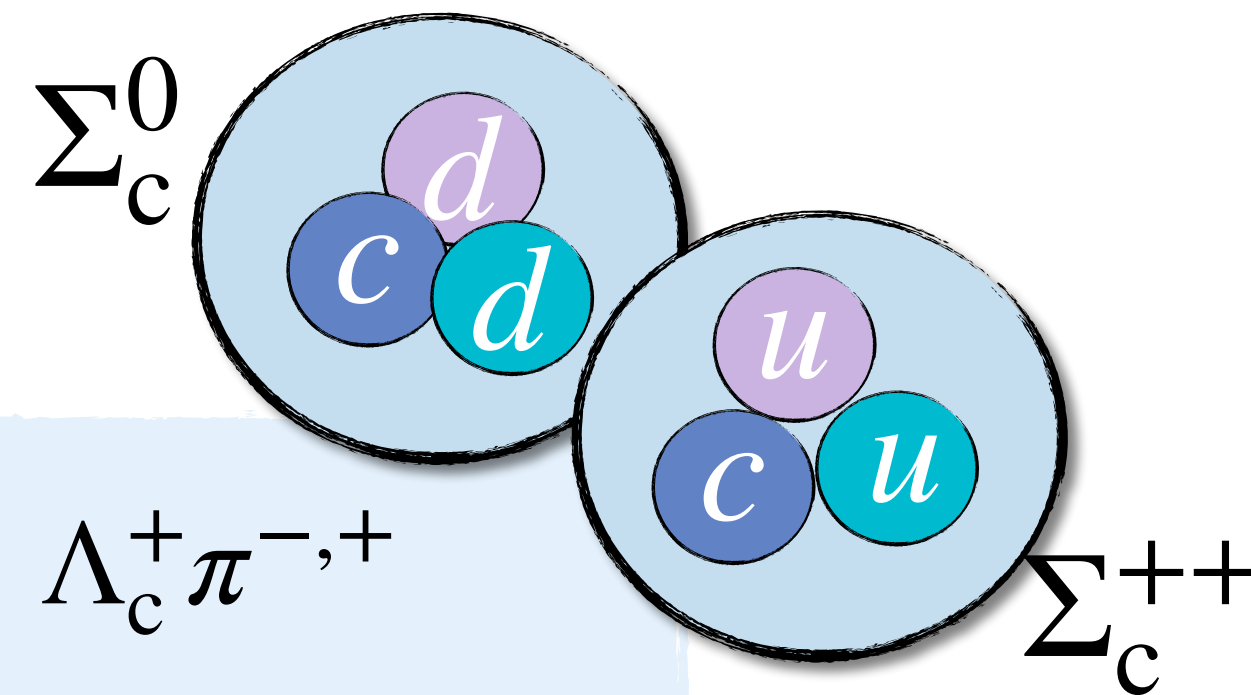
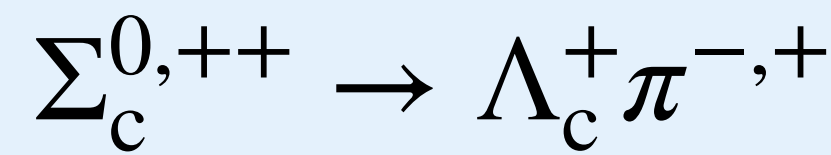
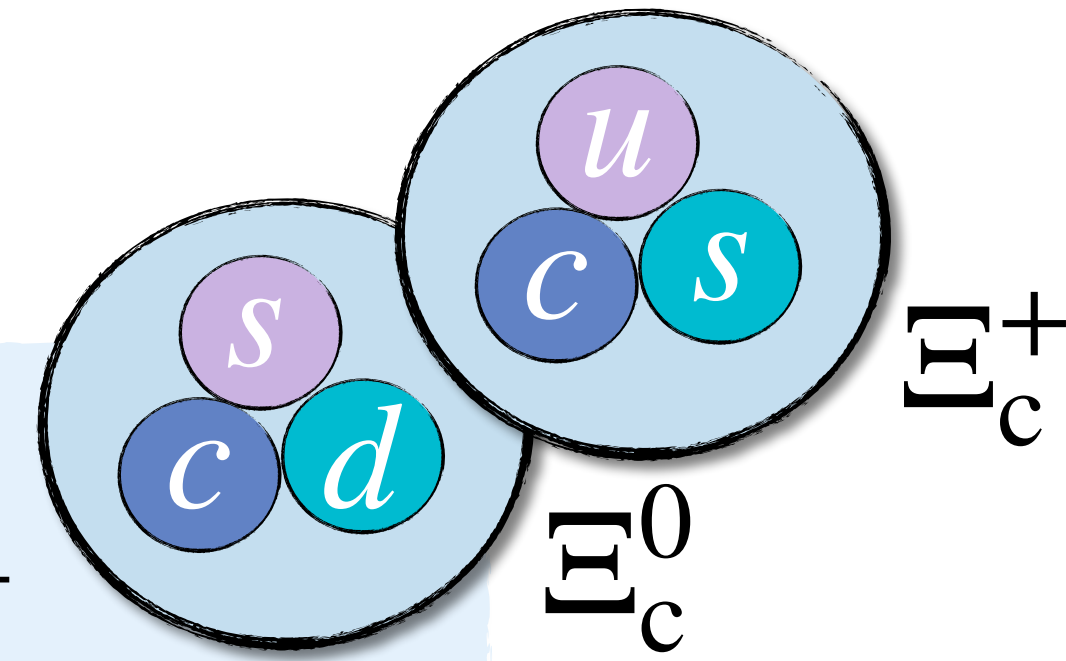
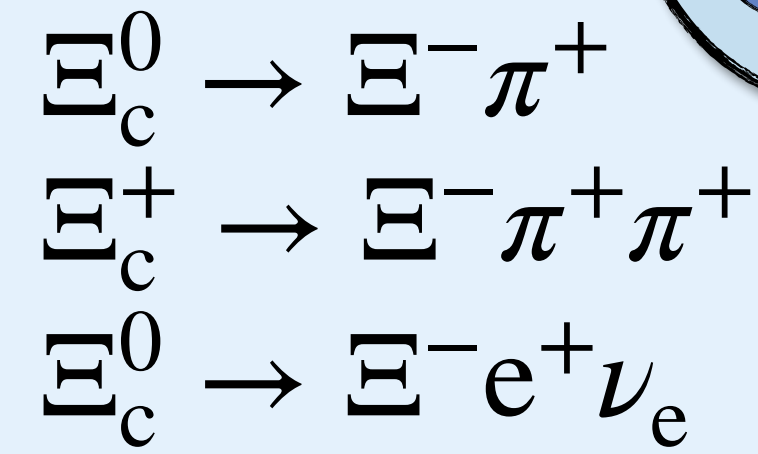
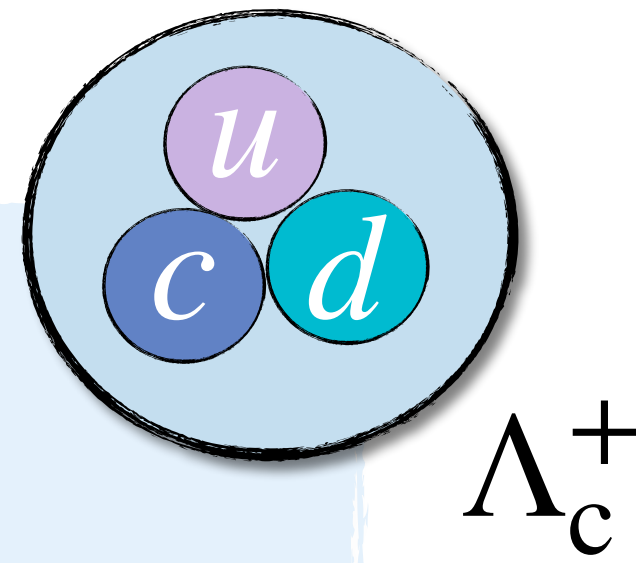
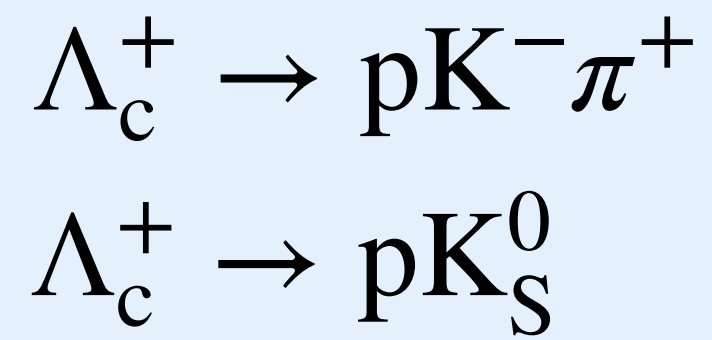
mass

hadronization temperature
 $T_H = 170 \text{ MeV}/c^2$

[Phys.Lett.B 795 \(2019\) 117-121](#)



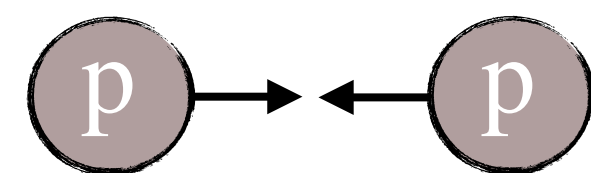
Charm baryons decay channels measured by ALICE



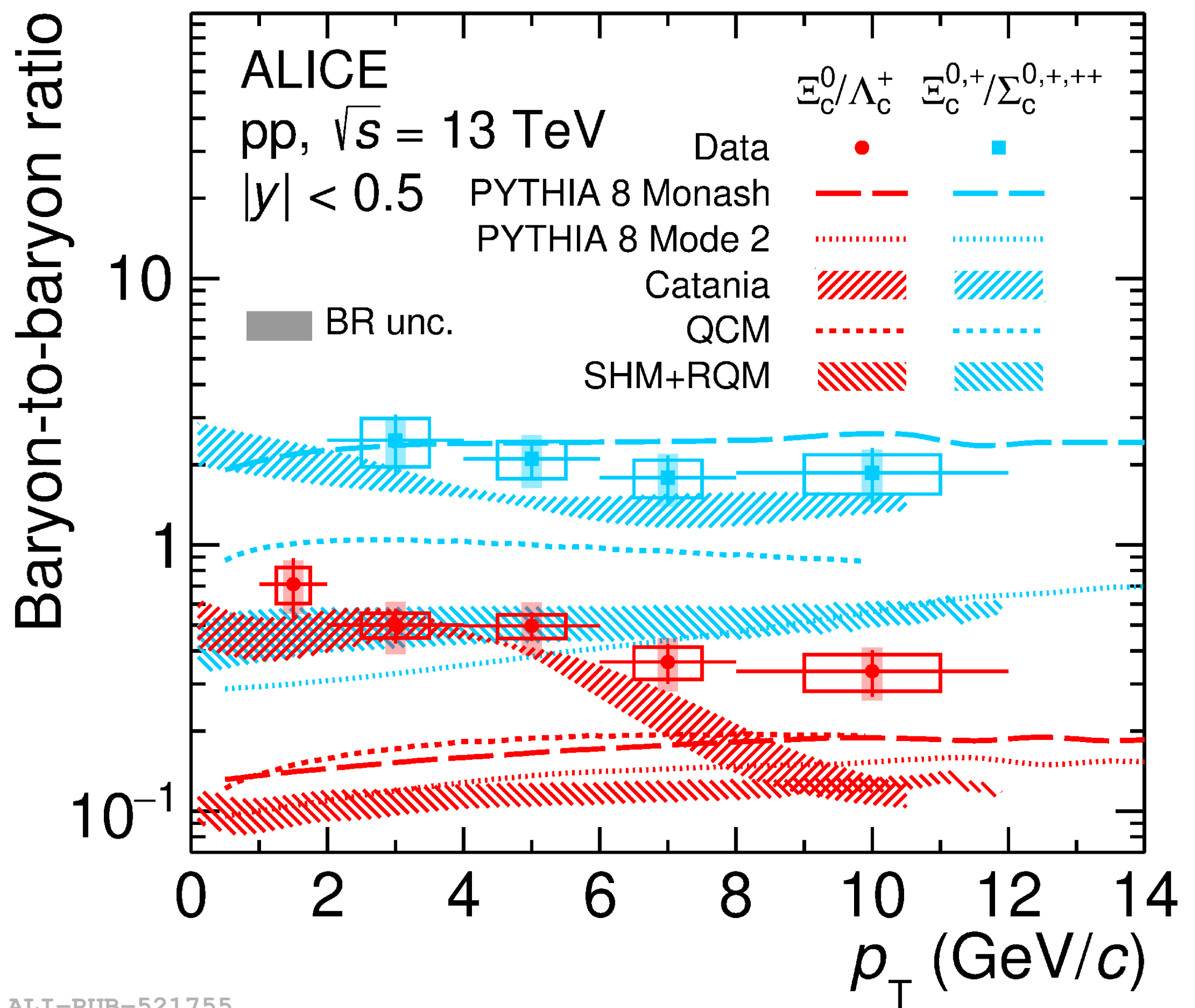
Charge conj. included
for all the measured hadrons



Baryon-to-baryon ratio in pp collisions



Phys.Rev.Lett. 127 (2021) 272001



ALI-PUB-521755

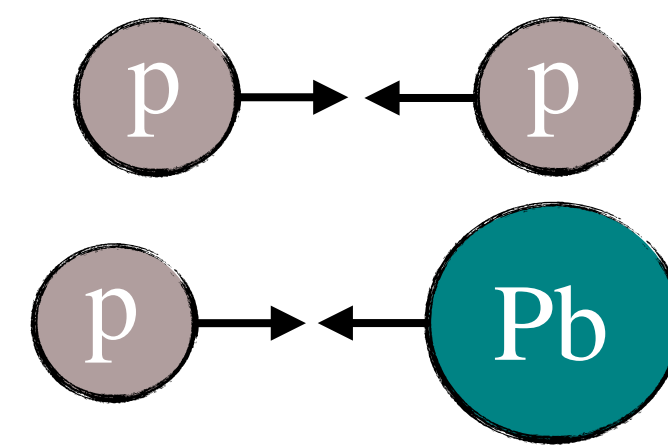
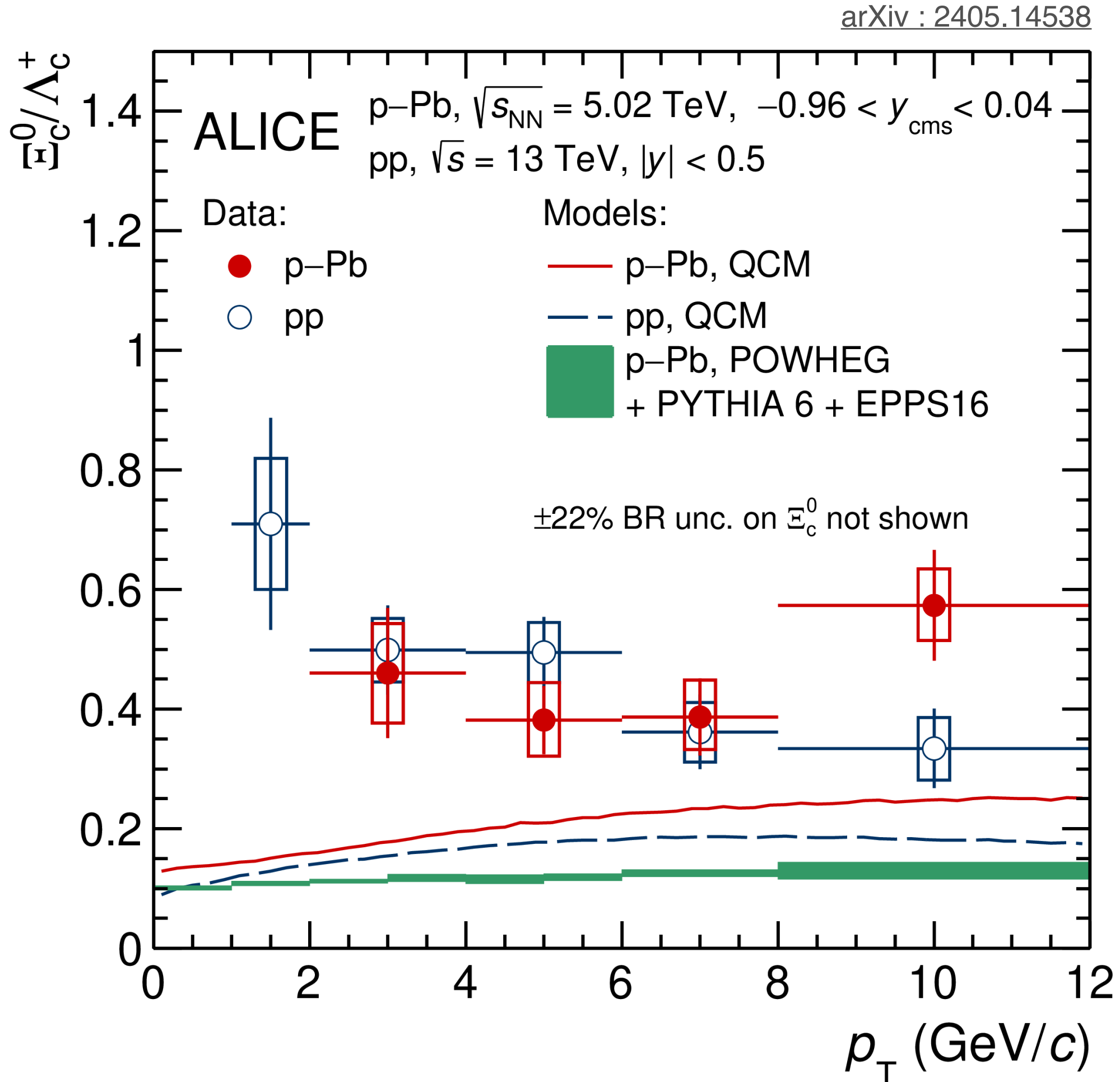
E_c/Λ_c

- No significant p_T dependence
- All the models underestimate the data

E_c/Σ_c

- No significant p_T dependence
- All the models underestimate the data except for PYTHIA 8 Monash, that underestimates by a similar amount the $E_c^{0,+}$ and $\Sigma_c^{0,+,,++}$ cross sections

Baryon-to-baryon ratio in pp and p-Pb collisions



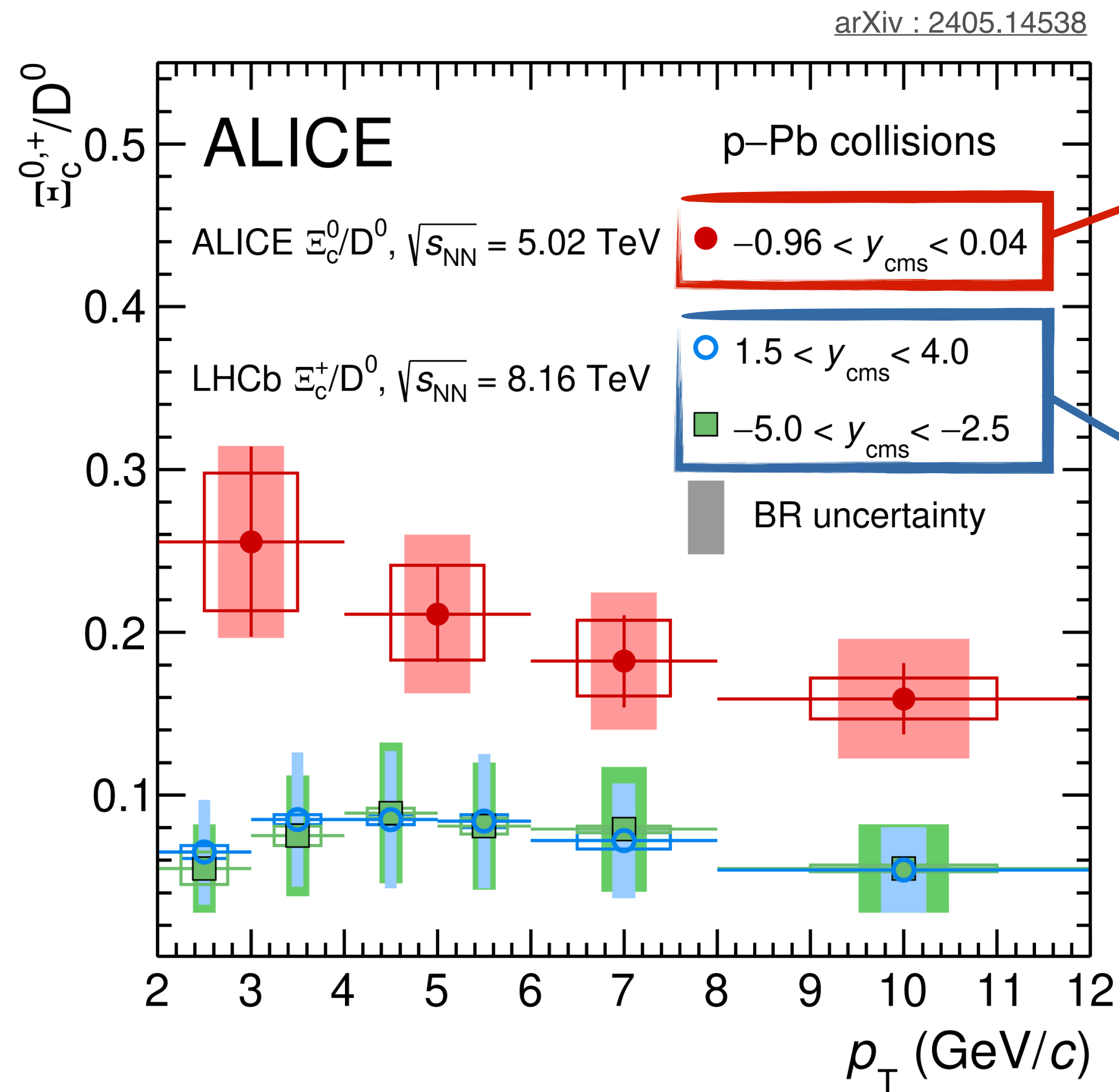
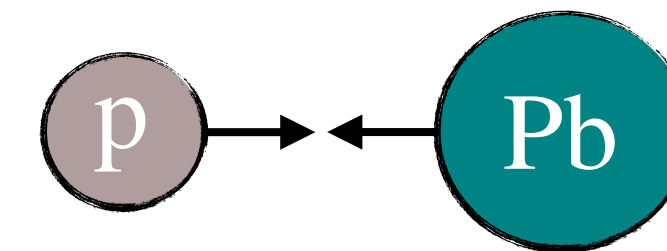
$$E_c / \Lambda_c$$

- No significant p_T dependence both in pp and p-Pb collisions
- Results in pp and p-Pb collisions are compatible within the uncertainties
- Both QCM and PYTHIA 6 + POWHEG underestimate the data

ALI-PUB-571015



Rapidity dependence of baryon-to-meson ratio

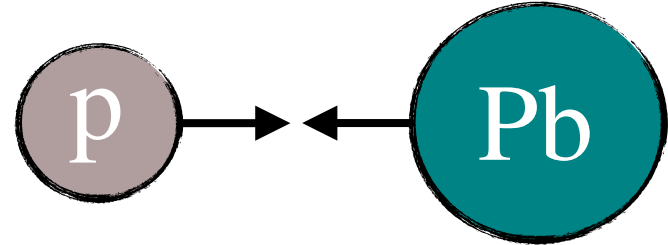
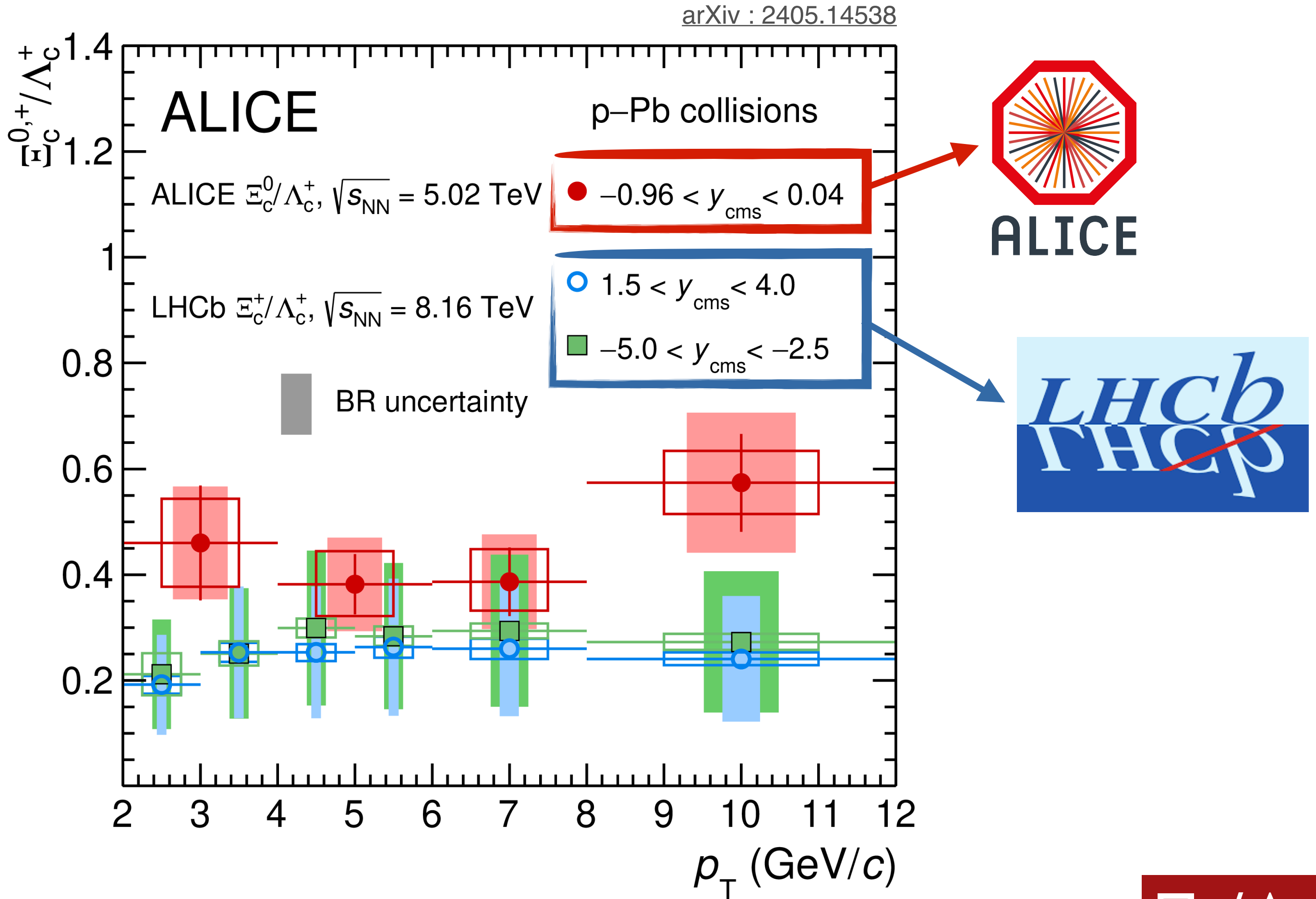


Ξ_c^+/D^0

- Hint of rapidity dependence
- Yield ratio at mid rapidity larger than measurements at forward and backward rapidities in the full p_T range (differences ranging from 1.5σ to 2.0σ)

ALI-PUB-571019

Rapidity dependence of baryon-to-baryon ratio

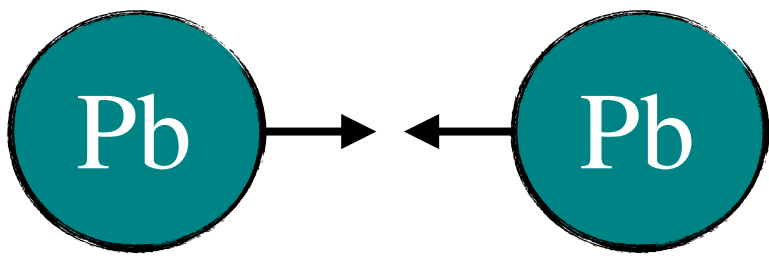
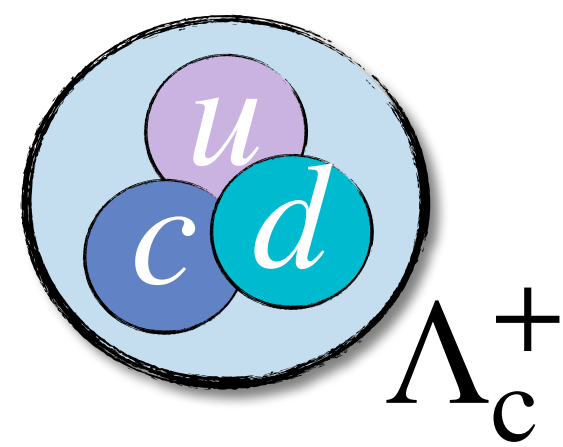


ALI-PUB-571023

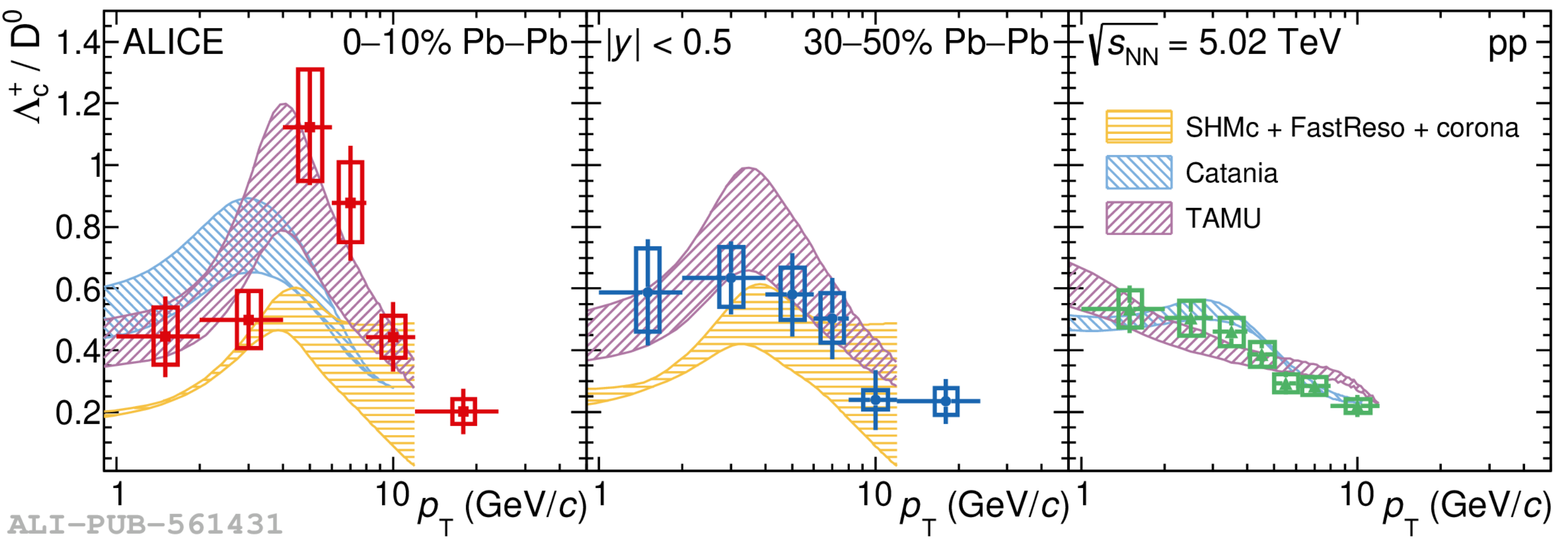
$$\Xi_c^0/\Lambda_c^+$$

Results are compatible within uncertainties at mid, forward and backward rapidity, with 1.1σ difference for $2 < p_T < 4$ GeV/c²

Comparison to theoretical models in Pb–Pb collisions



Phys.Lett.B 839 (2023) 137796



SHM

- Consider only charm hadrons from PDG
- Core-corona approach

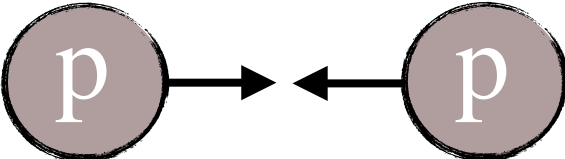
CATANIA

- QGP formation both in pp and p–Pb collisions
- Hadronization via coalescence or fragmentation
- Charm-quark transport via Boltzmann equation

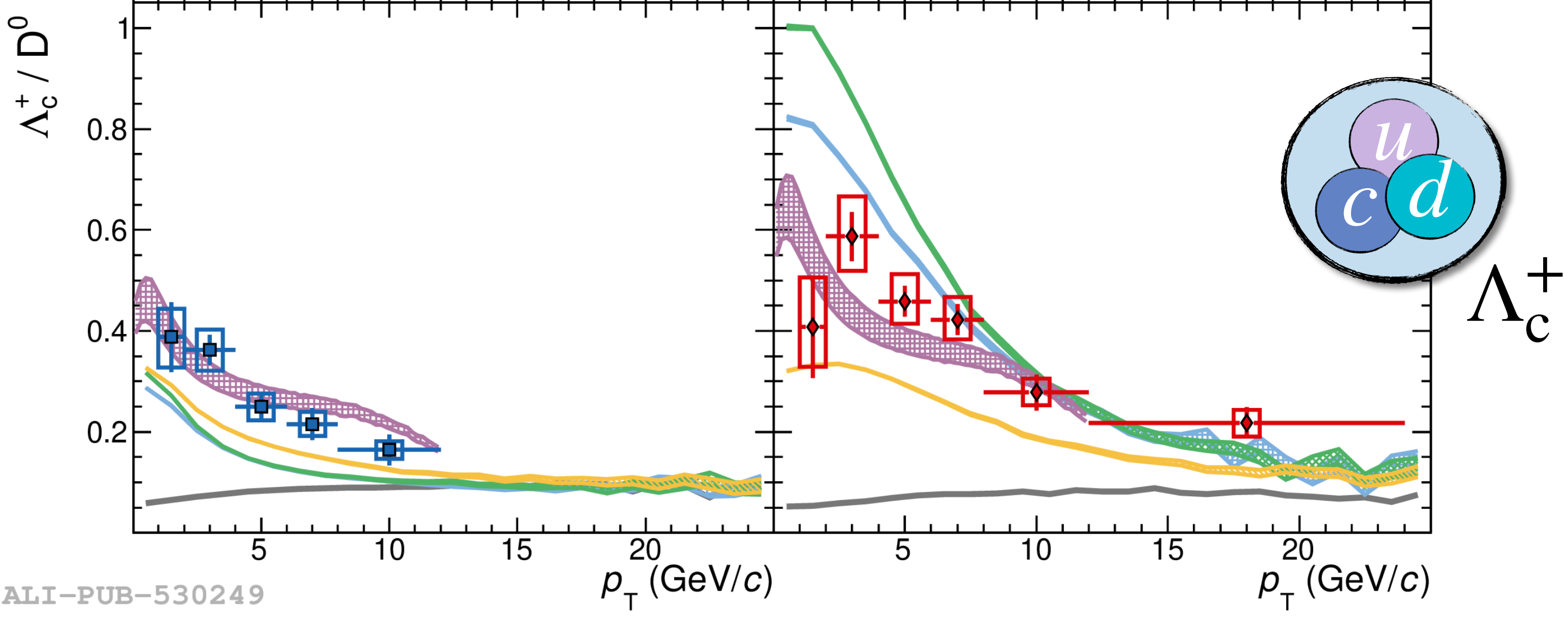
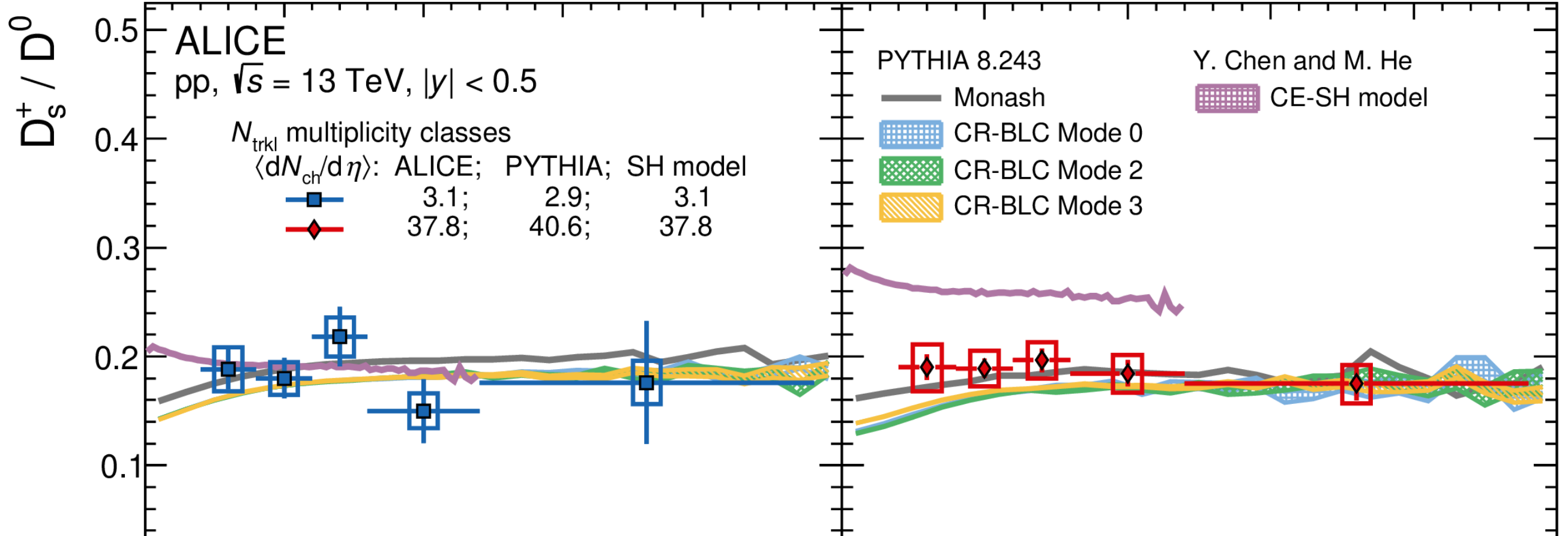
TAMU

- Hadronization primarily via coalescence (Resonance Recombination Model), but fragmentation is also included
- Charm-quark transport via Langevin equation
- SHM approach for pp collisions

Multiplicity dependence and comparison to theoretical models

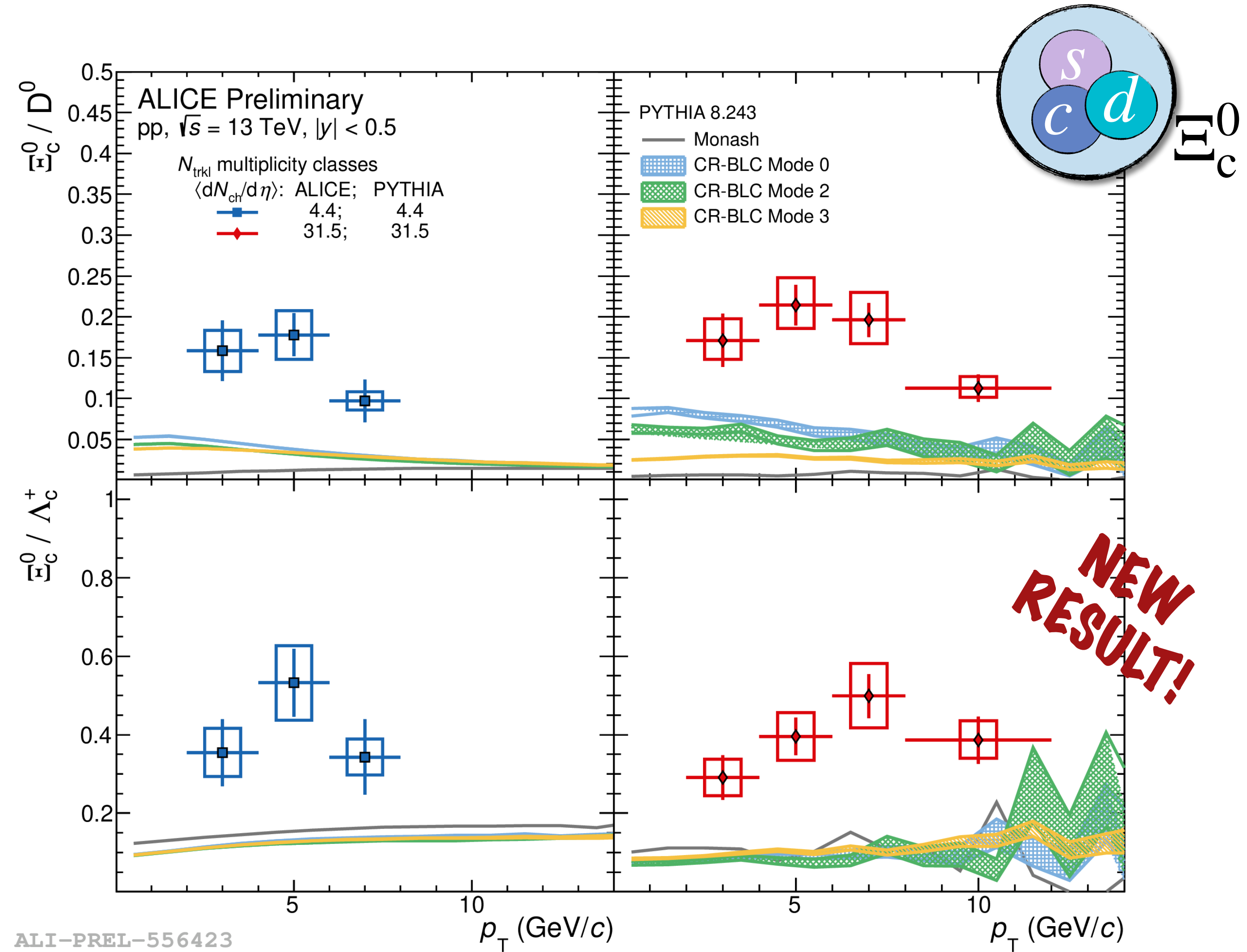
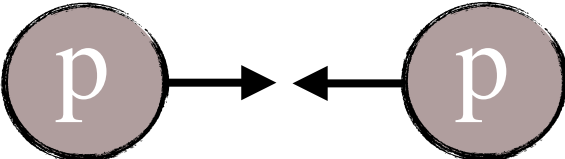


arXiv : 2111.11948



- PYTHIA 8 Monash does not reproduce the Λ_c^+ / D^0 ratio and does not show multiplicity dependence
- PYTHIA CR-BLC describes the Λ_c^+ / D^0 decreasing trend with p_T and is closer to the overall magnitude
- SHM+RQM reproduces the multiplicity dependence

Multiplicity dependence and comparison to theoretical models

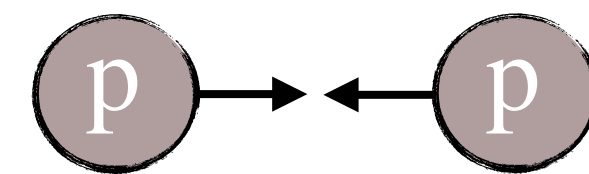


- No strong multiplicity dependence of Ξ_c^0/D^0 and Ξ_c^0/Λ_c^+ ratios within the uncertainties
- All PYTHIA 8 models underestimate the data
- Larger disagreement between PYTHIA CR-BLC prediction and data for Ξ_c^0/D^0 with respect to Λ_c^+/D^0 (slide 13)

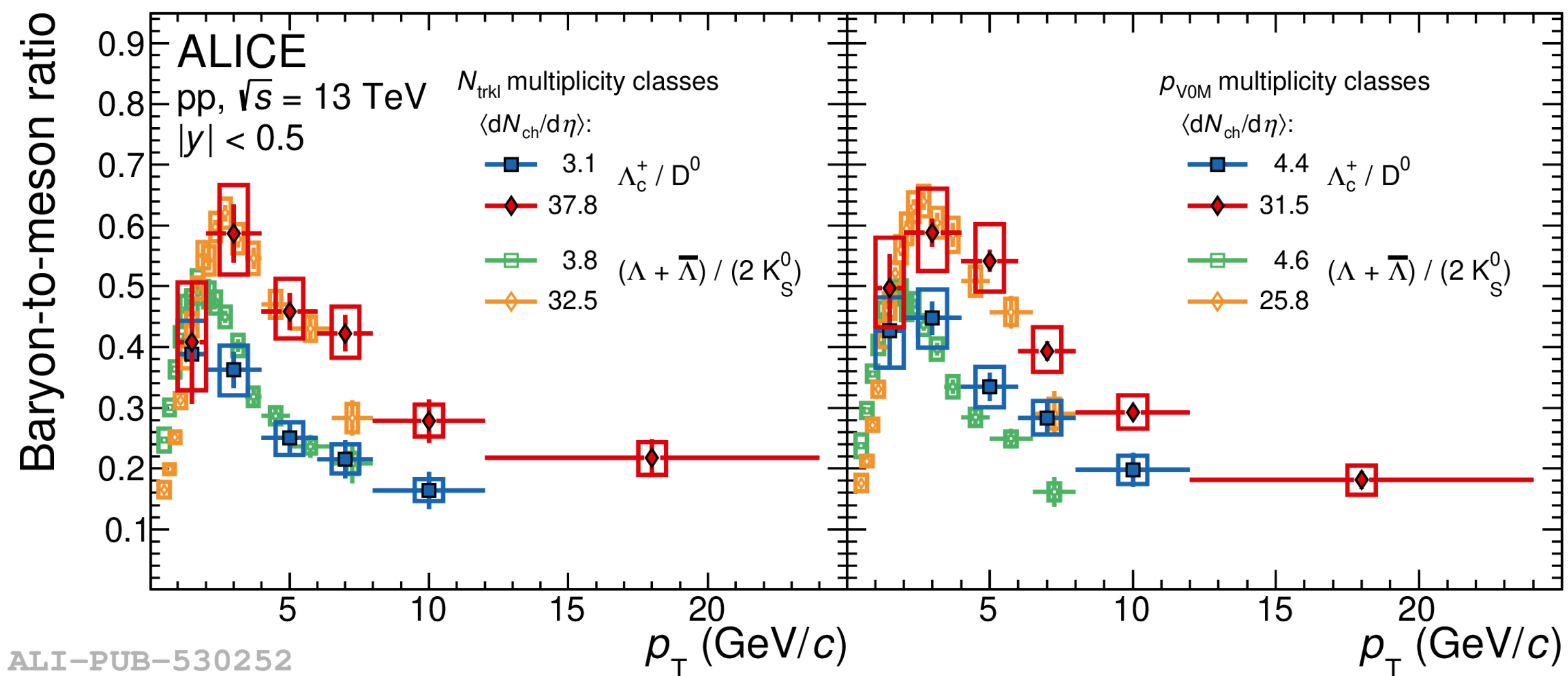
ALI-PREL-556423



Comparison to light-flavour hadrons



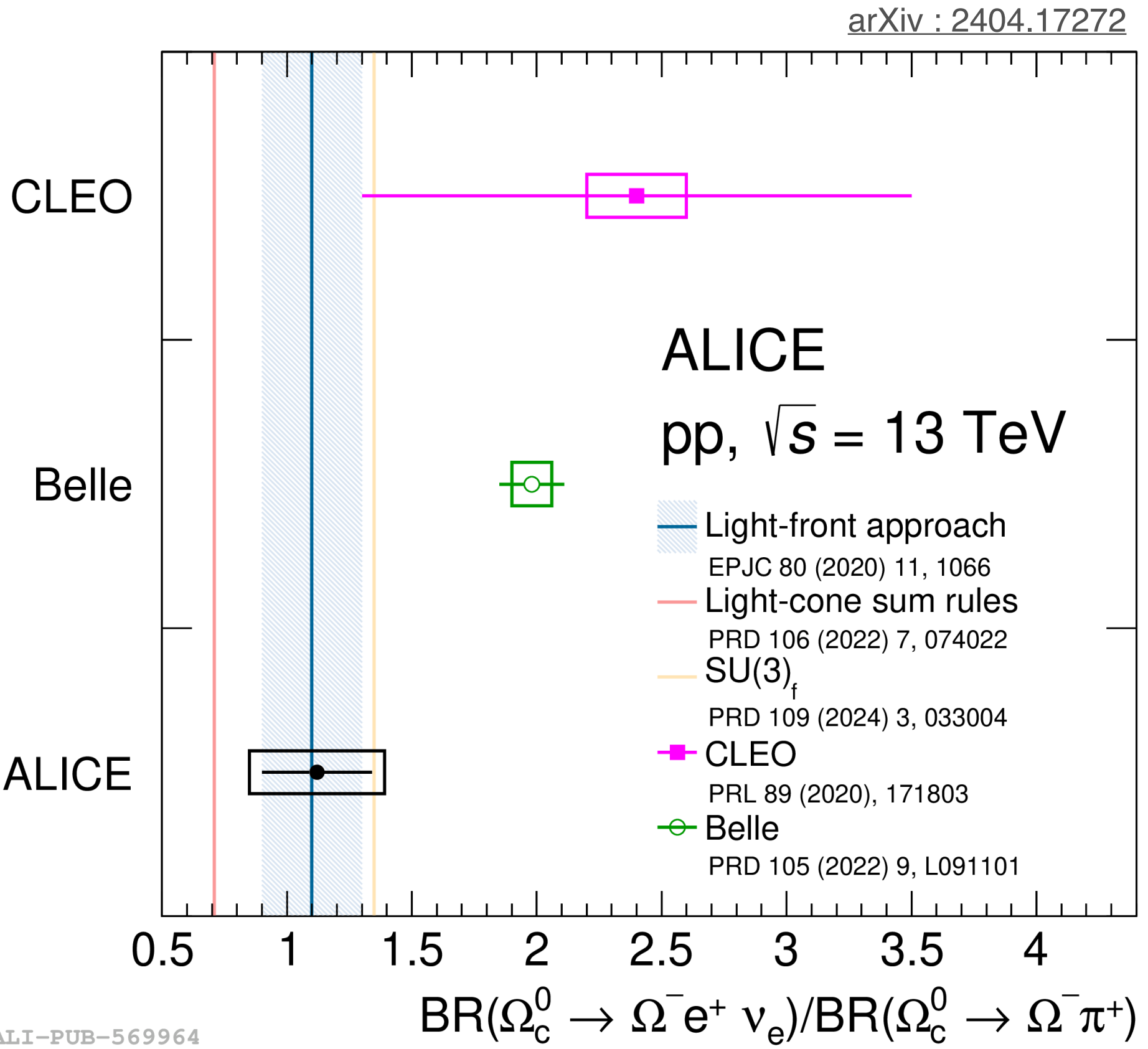
Phys.Lett.B 829 (2022) 137065



ALI-PUB-530252

- Baryon-to-meson ratio in the charm sector are compared to those in the light-flavour sector
- Ratios show a similar trend as a function of p_T and similar enhancement with $\langle dN_{\text{ch}}/d\eta \rangle$
- Hint at a possible common mechanism for light- and charm-baryon formation in pp collisions at LHC energies

Strange charm baryon branching ratios



Ξ_c^0

Phys.Rev.Lett. 127 (2021) 272001

ALICE measurement

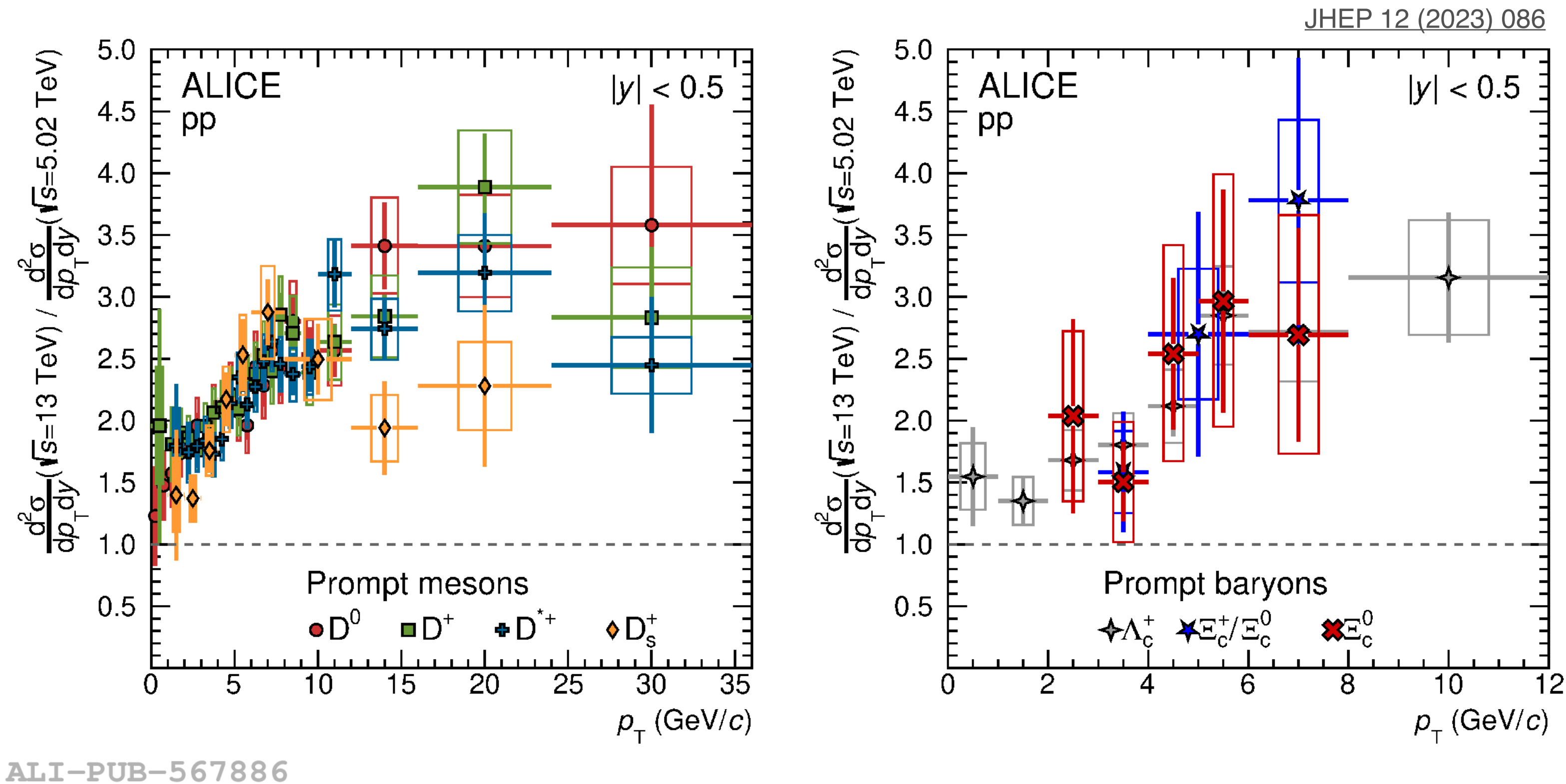
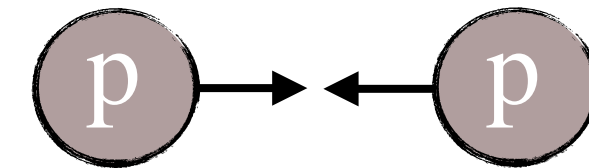
$$\text{BR}(\Xi_c^0 \rightarrow \Xi^- e^+ \nu_e) / \text{BR}(\Xi_c^0 \rightarrow \Xi^- \pi^+) = 1.38 \pm 0.14 \text{ (stat)} \pm 0.22 \text{ (syst)}$$

Ω_c^0

- Recent ALICE measurement of $\text{BR}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+)$
- Theoretical prediction used for $\Omega_c^0 \rightarrow \Omega^- \pi^+$ analysis

$$\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) = (0.51^{+2.19\%}_{-0.31\%}) \%$$
- Poor theoretical knowledge of Ω_c^0 BR
- Tension between BELLE and LHCb BR fraction measurements for Cabibbo-suppressed decay channels
 BELLE: $\text{BR}(\Omega_c^0 \rightarrow \Xi^- \pi^+) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) = 0.253 \pm 0.052 \text{ (stat.)} \pm 0.030 \text{ (syst.)}$
 LHCb: $\text{BR}(\Omega_c^0 \rightarrow \Xi^- \pi^+) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) = 0.1581 \pm 0.0087 \text{ (stat)} \pm 0.0043 \text{ (syst)} \pm 0.0015 \text{ (ext)}$
- Ω_c^0 dedicated software trigger will provide data to perform new measurements of BR fractions

Energy dependence in hadron production



- Increasing trend going from low to high p_T for given hadron species
- Compatible p_T spectrum hardening between mesons and baryons from $\sqrt{s} = 5.02 \text{ TeV}$ to $\sqrt{s} = 13 \text{ TeV} \rightarrow$ no energy dependence in baryon-to-meson ratios