



李政道研究所  
TSUNG-DAO LEE INSTITUTE



# R&D of DarkSHINE ECAL

Zhiyu Zhao (TDLI/SJTU)

On behalf of DarkSHINE Working Group

The 20th International Conference on  
Calorimetry in Particle Physics

May 20-24, 2024, Tsukuba



# Dark Matter Search



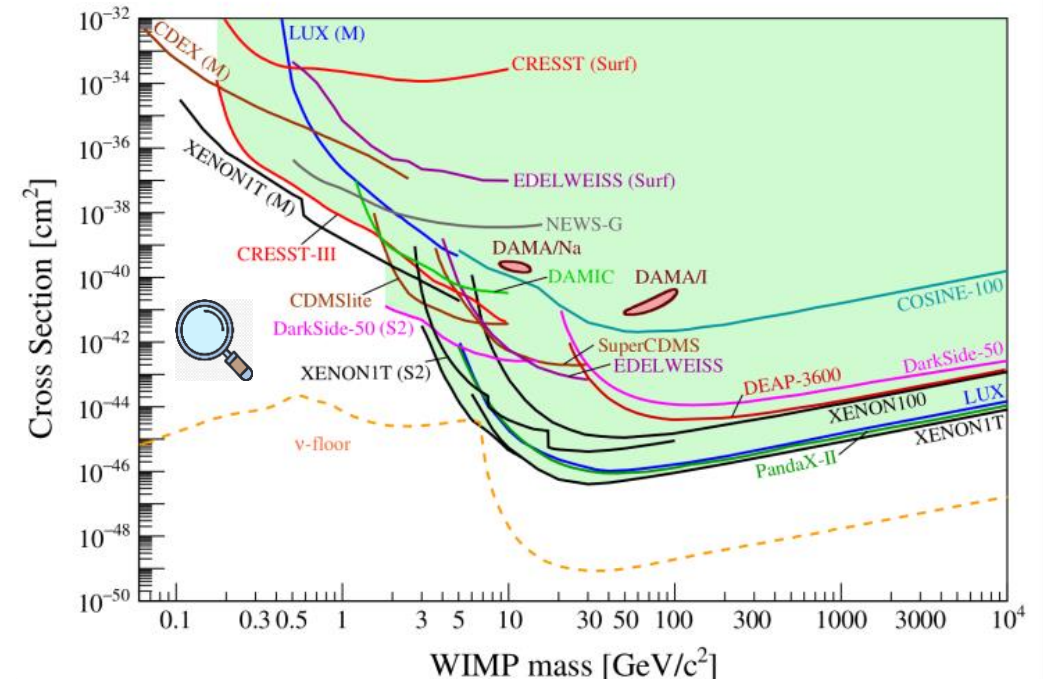
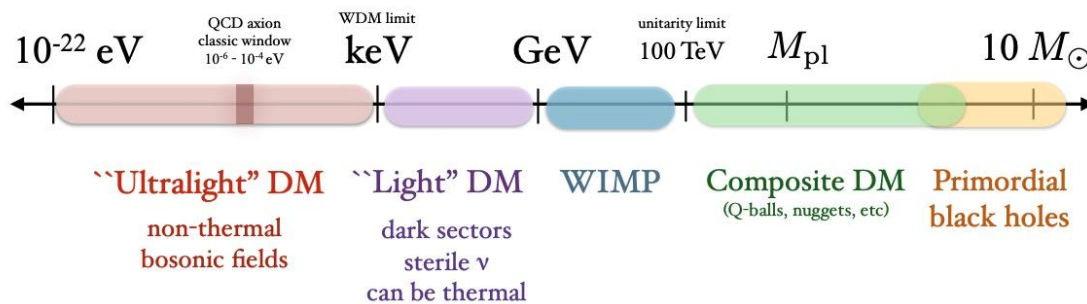
- Dark matter evidence from astronomical observations and gravitational effects:
  - Galactic rotation curves, Gravitational lensing, Cosmic Microwave Background anisotropies, ...
- The “freeze-out” mechanism predict the mass of dark matter is mainly distributed from MeV to tens of TeV
  - Weakly Interacting Massive Particles (WIMP): A large parameter space ruled out in GeV~TeV mass range.
  - **Light DM: Sub-GeV mass range not fully explored yet.**

APPEC Committee Report: 2104.07634

arXiv:1904.07915

## Mass scale of dark matter

(not to scale)

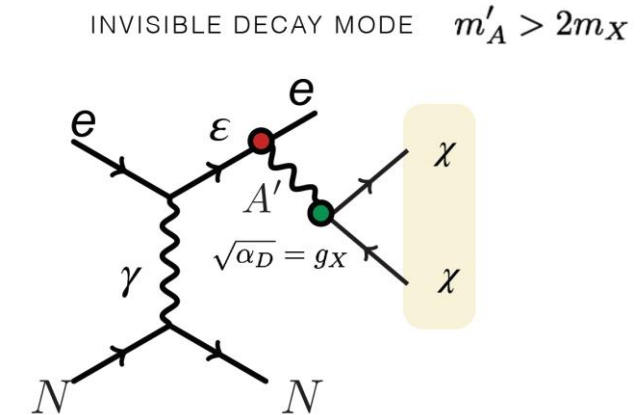


# DarkSHINE Experiment Searching for Dark Photon



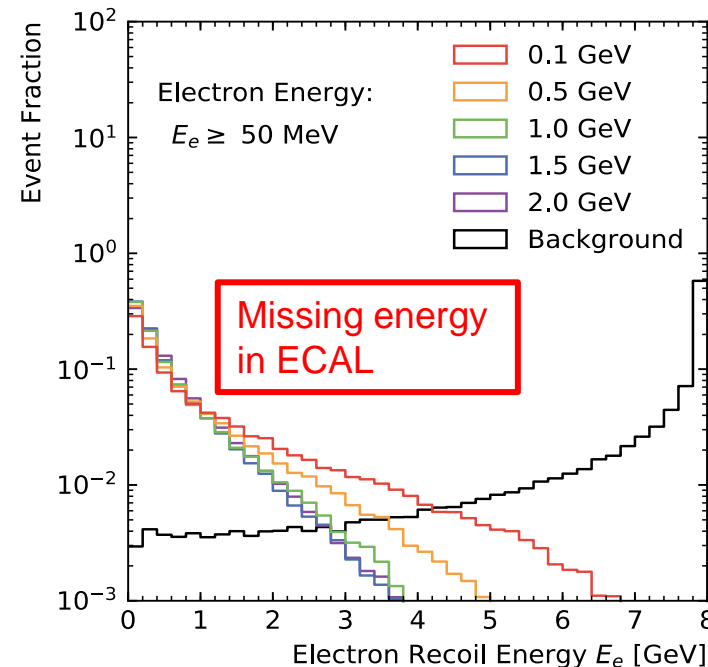
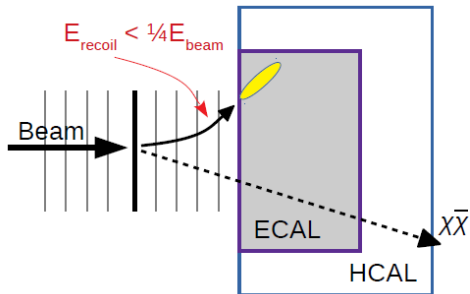
*Sci. China-Phys. Mech. Astron., 66(1): 211062 (2023)*

- Dark photon is regarded one candidate particle of light dark matter
- DarkSHINE: fixed target experiment based on single e- beam provided by SHINE
  - Minimal dark-photon model: **dark photon bremsstrahlung and its invisible decay**
  - 1~10MHz single e- beam @8GeV, up to  $3 \times 10^{14}$  electron-on-target(EOT) per year



## Signal

Most of the incident momentum is transferred to DP



Leading background:

Photon bremsstrahlung

Rare background:

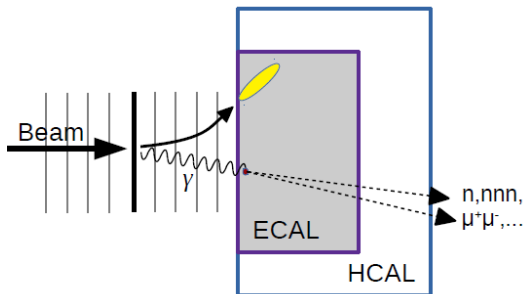
Photon-nuclear,  $\gamma \rightarrow \mu\mu$ ,  
electron-nuclear...

Invisible background:

Neutrino productions  
(negligible)

## Rare background

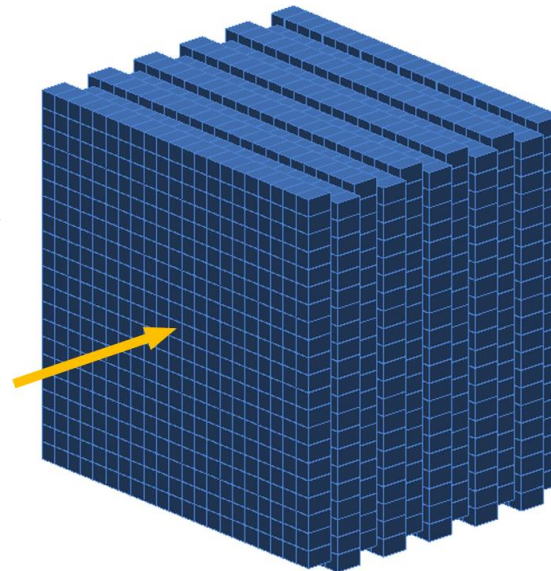
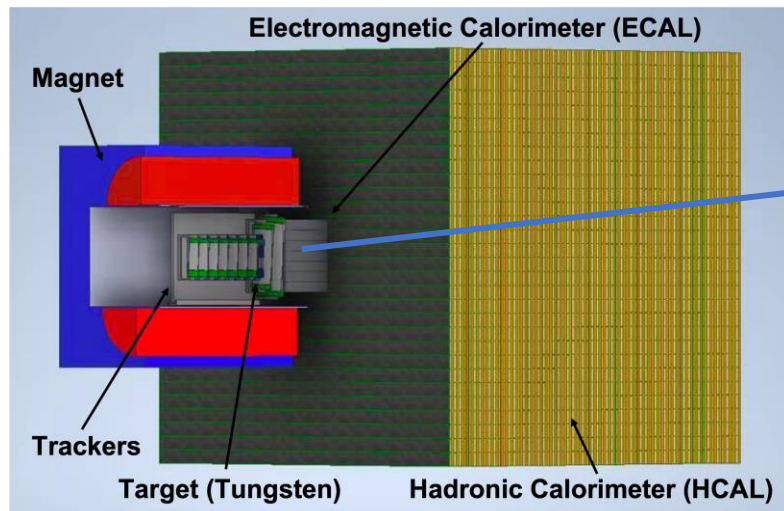
Missing momentum and missing energy, vetoed by HCAL



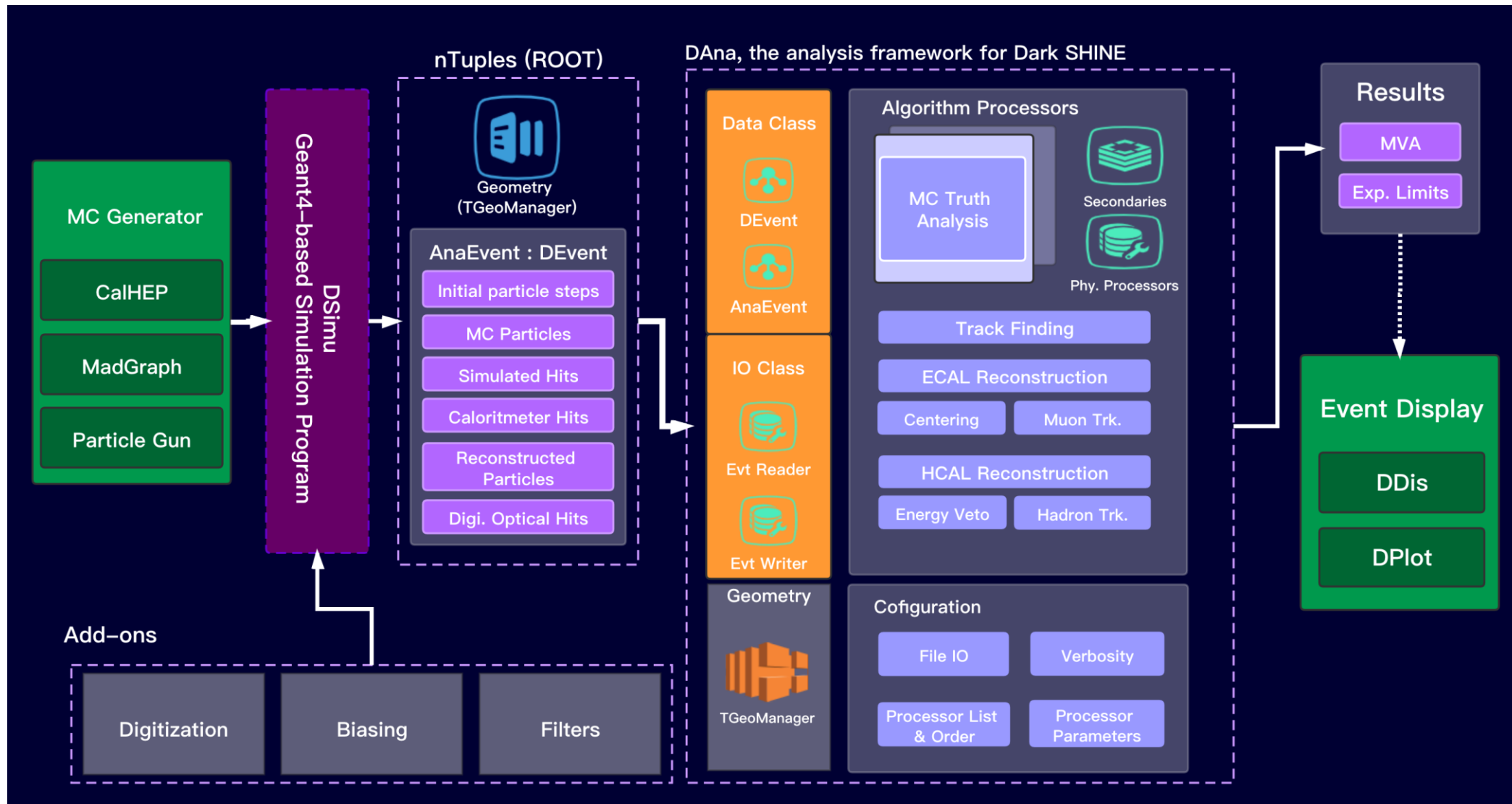
# Overview of ECAL in DarkSHINE



- Target: measure the energy of recoil electron and bremsstrahlung gamma
  - **Radiation hard**: survive in  $\sim 10^7 rad$  dose and  $\sim 10^{13} n_{eq}$  (one year)
  - **Large size**: contain all of the EM components
  - **Fast response**: minimum to 1us-100ns time window
- Design: homogeneous LYSO crystal calorimeter
  - LYSO:  $>30000 pe/MeV$ , 40ns decay time, density  $7.2 g/cm^3$ , radiation hard
  - Staggered structure, 3D segmentation,  $\sim 39$  radiation length



# DarkSHINE Software Framework

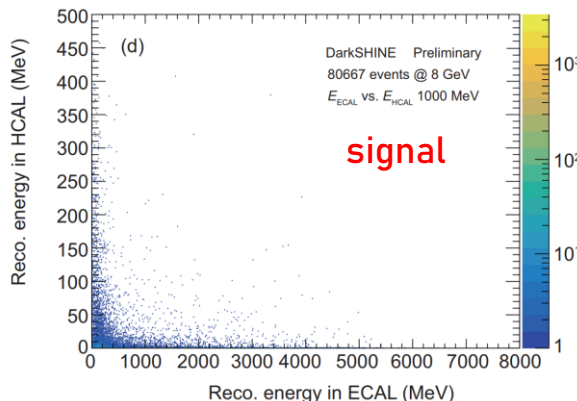
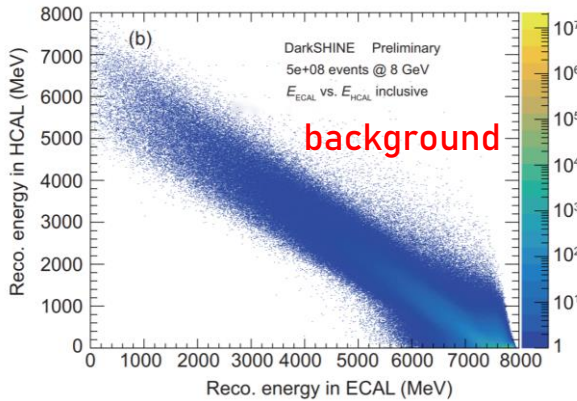


~5ms per event

# ECAL Performance in Background Rejection



- Signal region is defined by some different features of signal and background in detector response
  - Track number, missing momentum, missing energy, HCAL veto
  - Only use the total energy of ECAL in the current analysis.
- Zero background can be achieved, for  $2.5 \times 10^9$  inclusive electron-on-target events(EOTs) and  $\sim 10^{12}$  rare process EOTs,
- The Signal efficiency is about 60%.



## Event ratio of background after signal region cut flow

	EN_ECAL	PN_ECAL	GMM_ECAL	EN_target	PN_target	GMM_target	Hard.brem	Inclusive
Total events	100	100	100	100	100	100	100	100
Only 1 track	58.87	70.48	87.36	5.85	5.88	$< 10^{-3}$	78.73	84.40
$p_{tag} - p_{rec} > 4 \text{ GeV}$	0.0044	0.0033	0.0041	5.58	5.46	$< 10^{-5}$	70.49	4.80
$E_{HCAL}^{total} < 100 \text{ MeV}$	$< 10^{-3}$	$< 10^{-3}$	0	0.30	0.72	0	69.61	4.76
$E_{HCAL}^{MaxCell} < 10 \text{ MeV}$	$< 10^{-3}$	$< 10^{-3}$	0	0.13	0.27	0	65.00	4.48
$E_{HCAL}^{MaxCell} < 2 \text{ MeV}$	$< 10^{-3}$	$< 10^{-3}$	0	0.058	0.095	0	58.14	4.04
$E_{ECAL}^{total} < 2.5 \text{ GeV}$	0	0	0	0	0	0	0	0

Signal region

ECAL is essential for  
bremsstrahlung background

# BDT for Rare Background Rejection



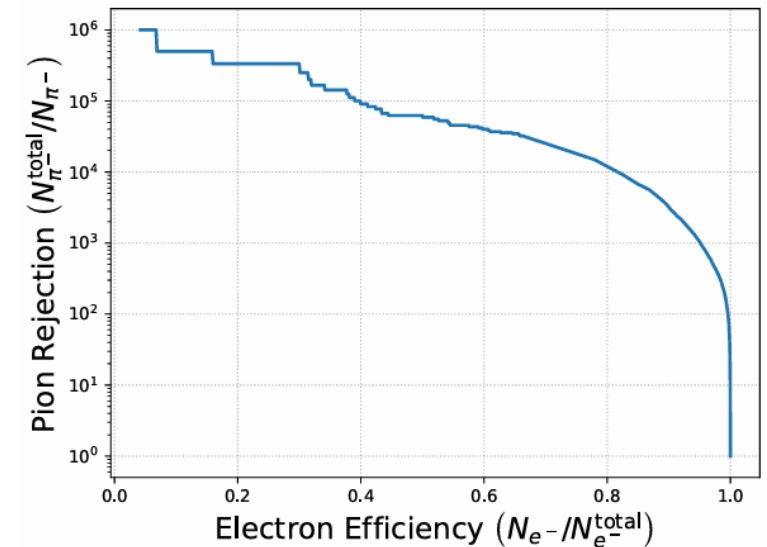
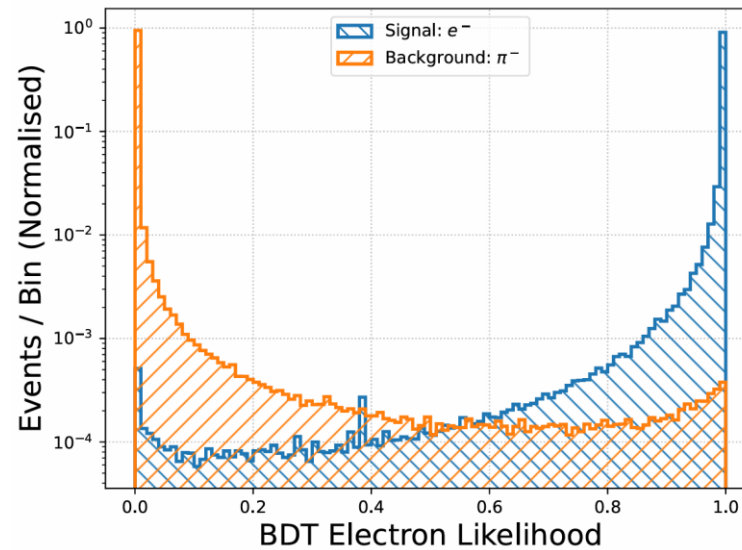
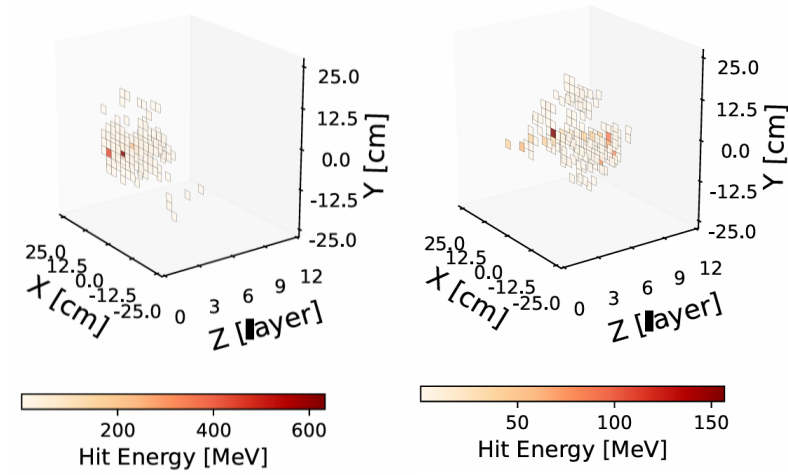
- Rare background is more hard to be rejected by ECAL
  - $\gamma \rightarrow \mu\mu$ , hadronic interactions
- Current BDT method displays high efficiency for  $e^-/\pi^-$  separation
- To be studied for more types of background

Rank of variables in BDT

Rank	Variable	Importance	Rank	Variable	Importance
1	Edep	0.05625	15	E49Edep	0.04214
2	Emean	0.05329	16	Shower end	0.03568
3	FD 2D mean	0.05284	17	Zdepth	0.03018
4	Shower density	0.05196	18	E <sub>max</sub> sec dist	0.02968
5	Ecell second	0.04954	19	Shower length	0.02606
6	Nhits	0.04920	20	Hit layer	0.02548
7	COG Y mean	0.04876	21	Eclus max sec diff	0.02311
8	E1E9	0.04828	22	Xwidth	0.02265
9	COG X mean	0.04772	23	E <sub>max</sub> sec diff	0.02075
10	E1Edep	0.04725	24	Ywidth	0.02043
11	Eclus max	0.04685	25	E9E49	0.01637
12	Shower radius	0.04630	26	Shower layer	0.01291
13	COG Z mean	0.04424	27	Eclus max sec dist	0.008642
14	E9Edep	0.04343	28	Eclus second	0.000

2GeV  $e^-$

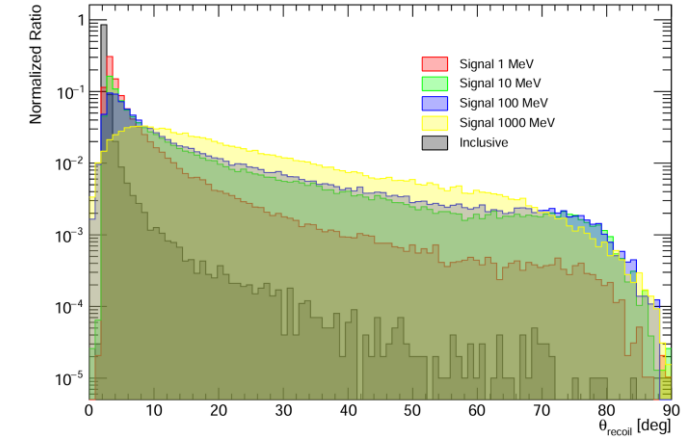
2GeV  $\pi^-$



# ECAL Size Optimization

- Optimized the ECAL size to achieve a high signal efficiency while keeping the cost not so large.
  - Basic unit:  $2.5 \times 2.5 \times 4\text{cm}^3$  LYSO
  - Signal box:  $E_{ECAL}^{Total} < 2.5\text{GeV}$  &  $E_{HCAL}^{Total} < 30\text{MeV}$  &  $E_{HCAL}^{MaxCell} < 0.1\text{MeV}$
- $52.5 \times 52.5 \times 44\text{cm}^3$  size is suitable choice

Large  $m_{A'}$  need large acceptance angle

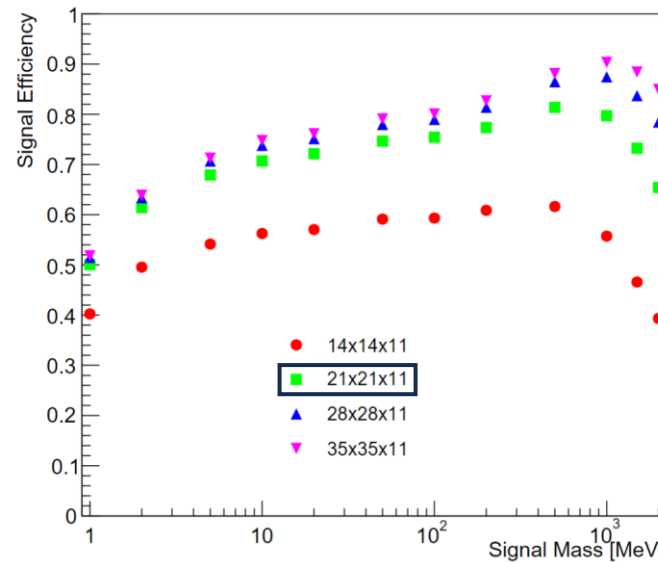
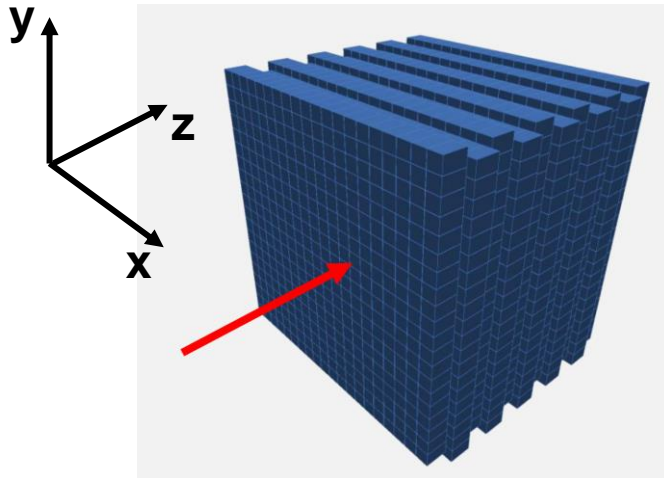


**Table 4.** Average signal efficiency with changing transverse size of ECAL

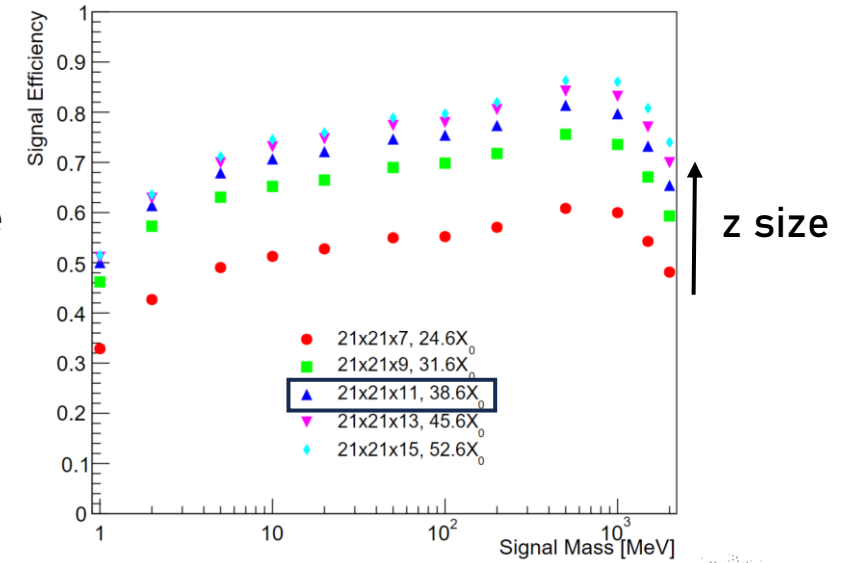
Number of crystals	14x14x11	21x21x11	28x28x11	35x35x11
Average signal efficiency(%)	53.32	70.78	75.75	77.62

**Table 5.** Average signal efficiency with changing longitudinal size of ECAL

Number of crystals	21x21x7	21x21x9	21x21x11	21x21x13	21x21x15
Average signal efficiency(%)	52.05	66.32	71.52	74.07	76.71



x-y size

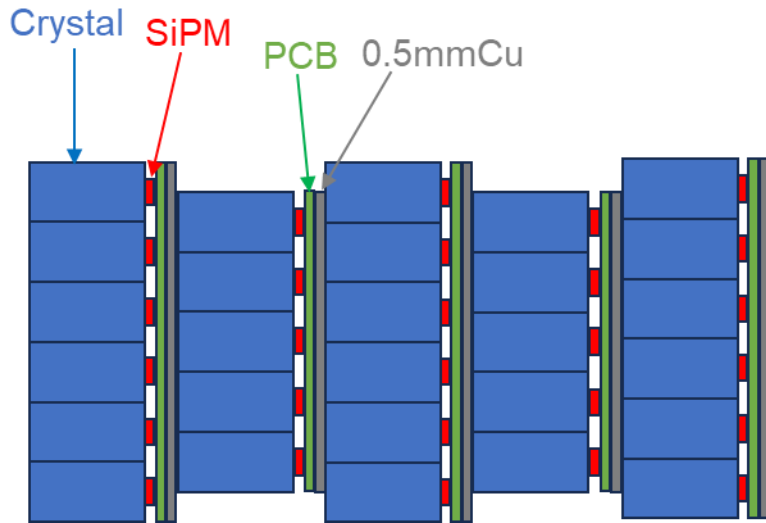


z size

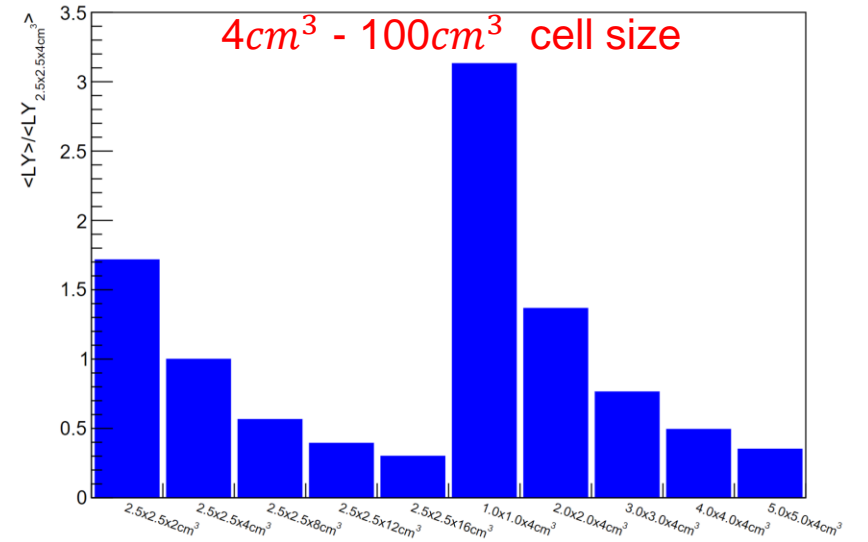


# Effect of Cell Size on Energy Resolution

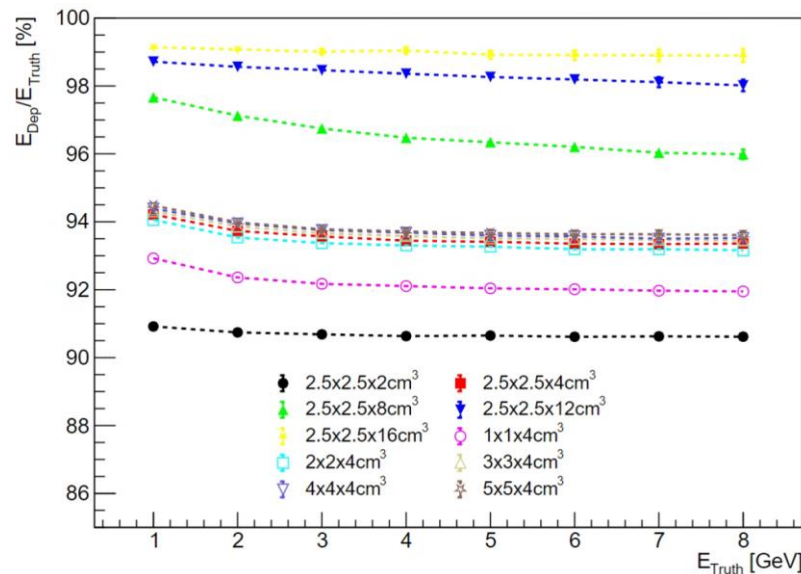
- Cell size will affect on the light yield, crystal energy deposit, amount of passive materials...
- Use a digitization model to parameterize energy smearing of different cell size: Scintillation  $\oplus$  SiPM  $\oplus$  ADC
- **ECAL with  $2.5 \times 2.5 \times 4\text{cm}^3$  LYSOs** has a great energy resolution and a compromise energy containment



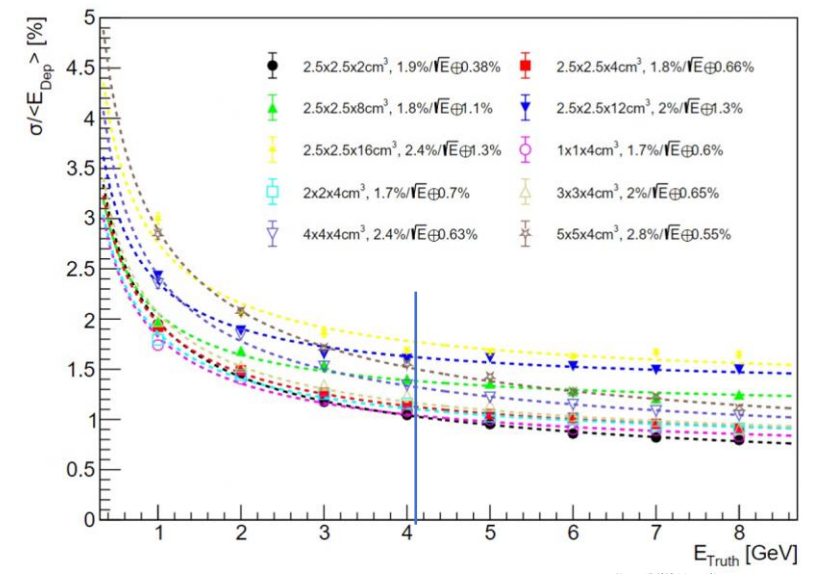
## Crystal light yield vs. Crystal size



## Energy Containment



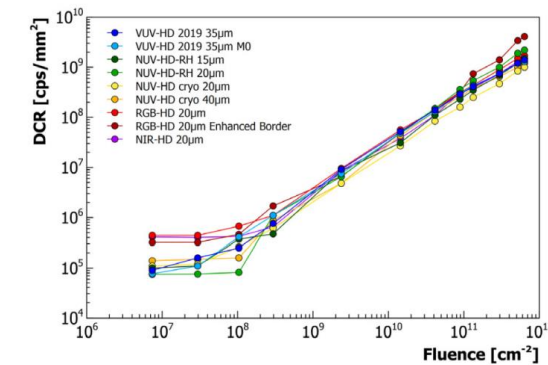
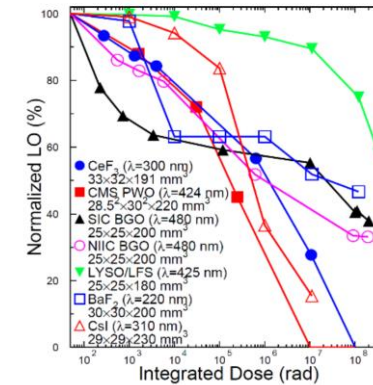
## Energy Resolution



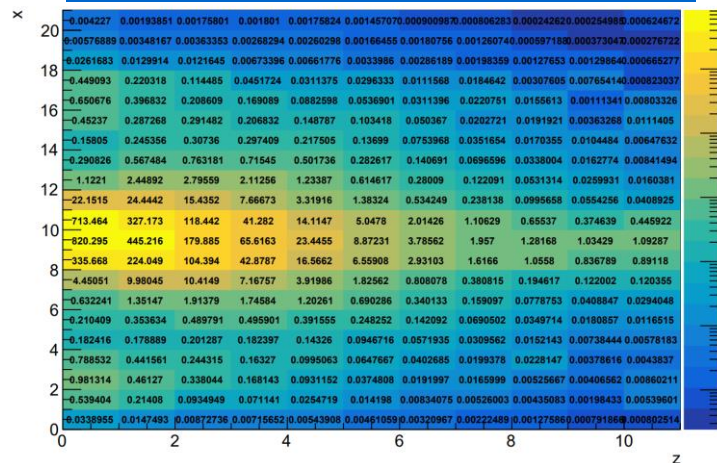
# Radiation Damage Estimation



- 10MHz e- beam @8GeV with 3cm radius spot,  $3 \times 10^{14}$  EOTs(one year)
- Under such a powerful and high-frequency beam, detectors are subjected to a huge radiation dose.
- For crystal
  - Damage: light yield reduction, uniformity, phosphorescence
  - Max irradiated cell:  $\sim 10^7$  rad, about 15% light yield reduction
- For SiPM
  - Damage: increase of noise, reduction of resolution
  - Max irradiated cell:  $\sim 10^{13}$  equivalent 1MeV neutron flux

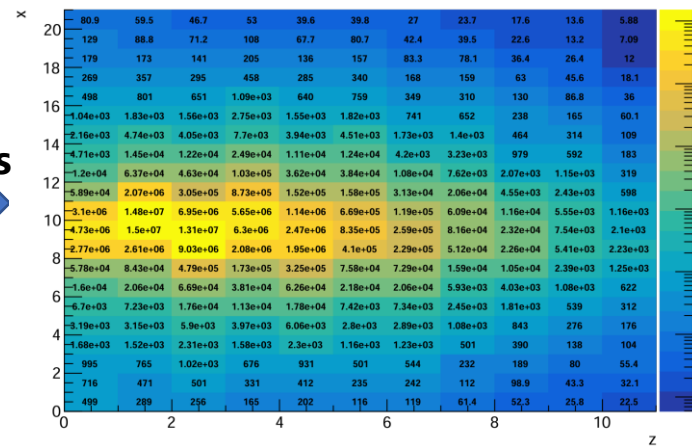


Average Cell Energy Deposit per Event

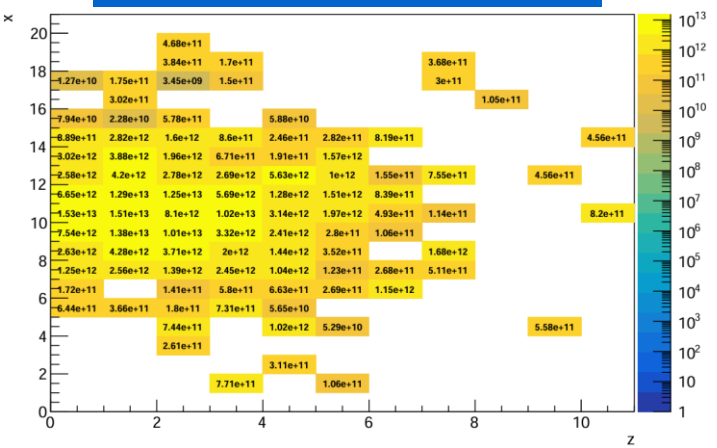


$3 \times 10^{14}$  Events

Radiation Dose by Ionizing Energy Loss



Equivalent 1MeV Neutron Fluence on SiPM



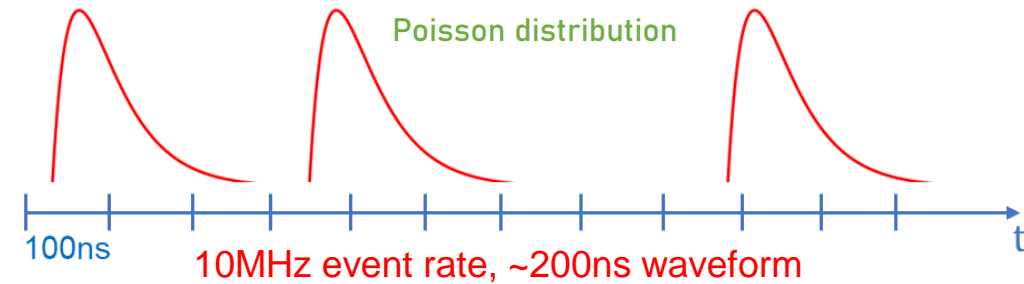
# LYSO Intrinsic Radiation



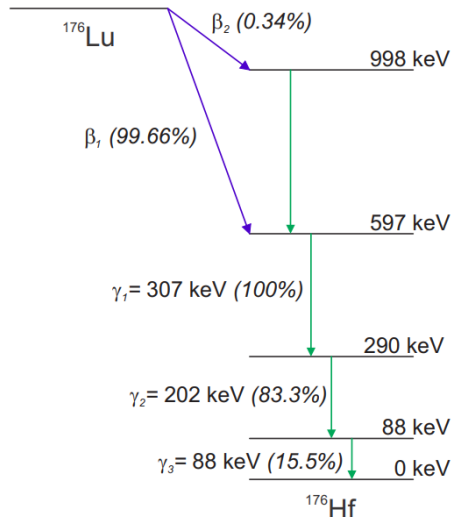
- LYSO intrinsic radiation:  $^{176}\text{Lu} \rightarrow ^{176}\text{Hf}$
- Energy range=0~1.2 MeV,  $T_{1/2} = 3.64 \times 10^{10} \text{ y}$ 
  - Contribute to noise energy, but a potential calibration source
- At **10MHz event rate**, average noise energy from IR for single channel is **~15keV per event**

Toy monte carlo to estimate noise energy from IR

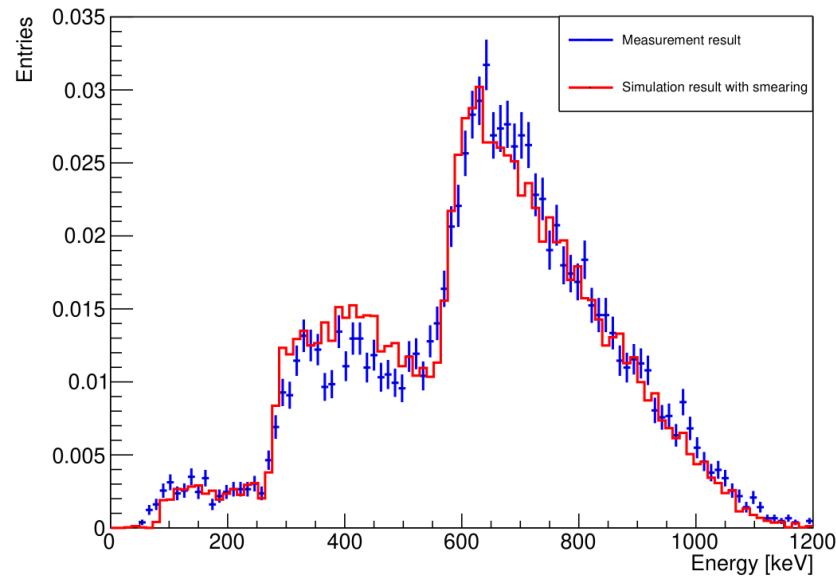
IR signal, ~240k Bq for single crystal(2.5 × 2.5 × 4cm<sup>3</sup> LYSO)



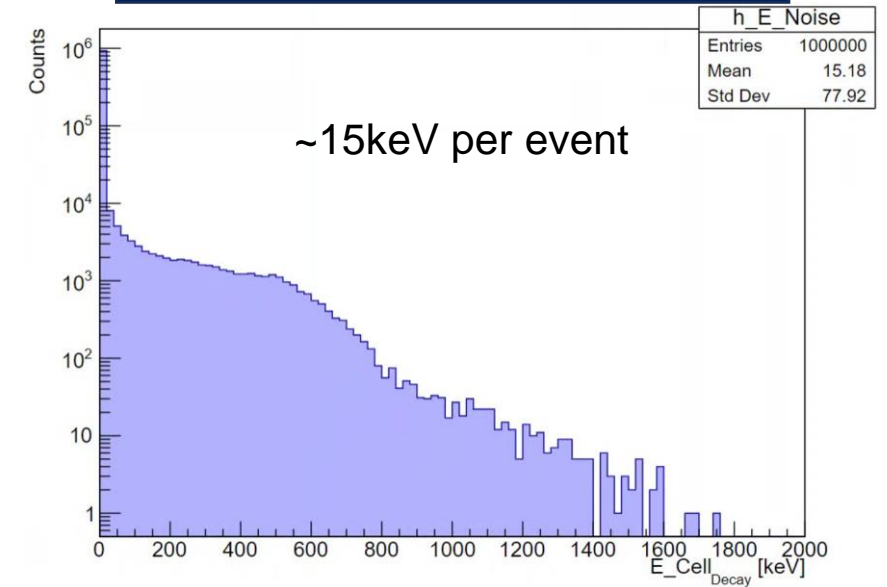
one  $\beta$  decay + three  $\gamma$  decay



Intrinsic radiation Spectrum



Noise energy from IR

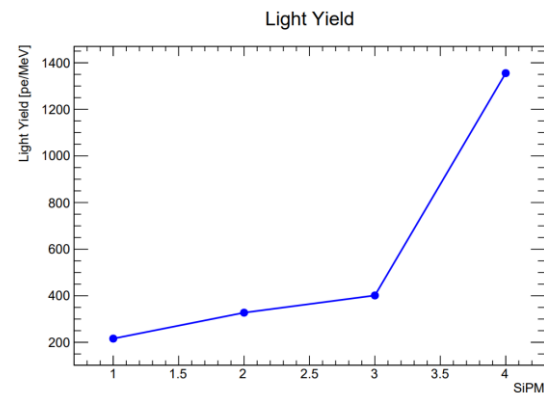
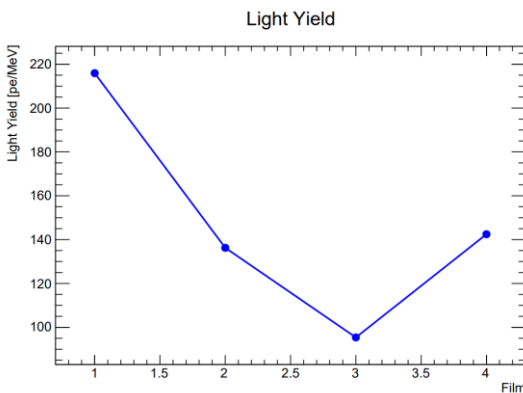
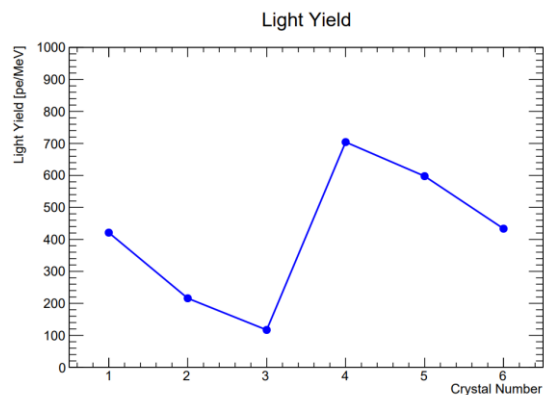
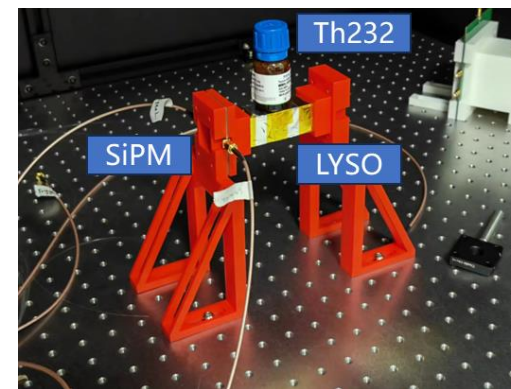
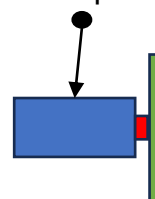


# Test of Unit Light Yield



- Test the light yield of crystal unit(LYSO+SiPM) with different crystal sizes, different reflection films, and different SiPMs
- The light yield can be changed from **100-1400p.e./MeV**
- Max energy deposit in one crystal  $\sim 4\text{GeV} \rightarrow 4 \times 10^5 \text{p.e.}$  light output

2.6MeV  $\gamma$  source



➤ Crystal (ESR, NDL EQR06):

- ①  $2.5 \times 2.5 \times 2.5 \text{cm}^3$  LYSO
- ②  $2.5 \times 2.5 \times 5 \text{cm}^3$  LYSO
- ③  $2.5 \times 2.5 \times 10 \text{cm}^3$  LYSO
- ④  $1 \times 1 \times 4 \text{cm}^3$  LYSO
- ⑤  $1 \times 1 \times 8 \text{cm}^3$  LYSO
- ⑥  $1 \times 1 \times 16 \text{cm}^3$  LYSO

➤ Film ( $2.5 \times 2.5 \times 5 \text{cm}^3$  LYSO, NDL EQR06):

- ① ESR, 1 layer
- ② Tyvek1, 3 layers
- ③ Tyvek2, 3 layers
- ④ Teflon, 3 layers

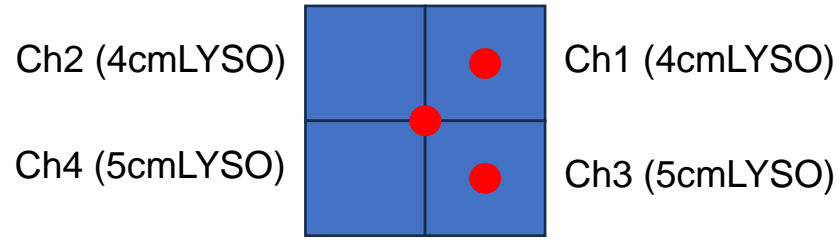
➤ SiPM ( $2.5 \times 2.5 \times 5 \text{cm}^3$  LYSO, ESR):

- ① NDL EQR06-11-3030D-S, 244720 pixels
- ② NDL EQR10-11-3030D-S, 90000 pixels
- ③ NDL EQR15-11-3030D-S, 40000 pixels
- ④ NDL EQR15-11-6060D-S, 160000 pixels

# DESY Beamtest of a Small LYSO Module

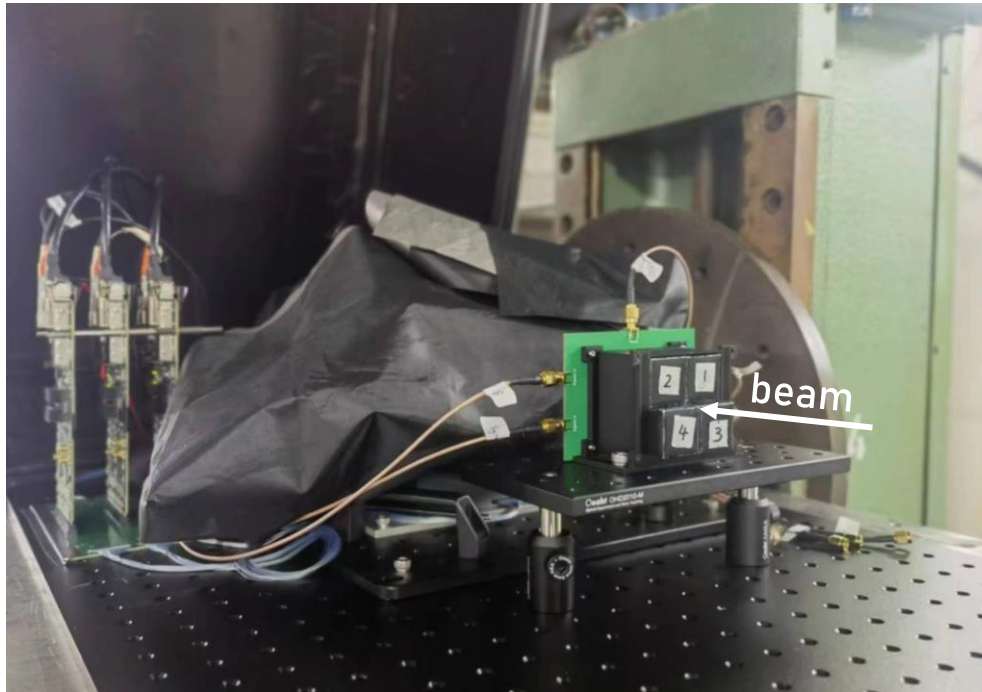


$\pm 5\text{mm}$  beam spot, 1~ 5GeV e-, HPK S14160-3010PS



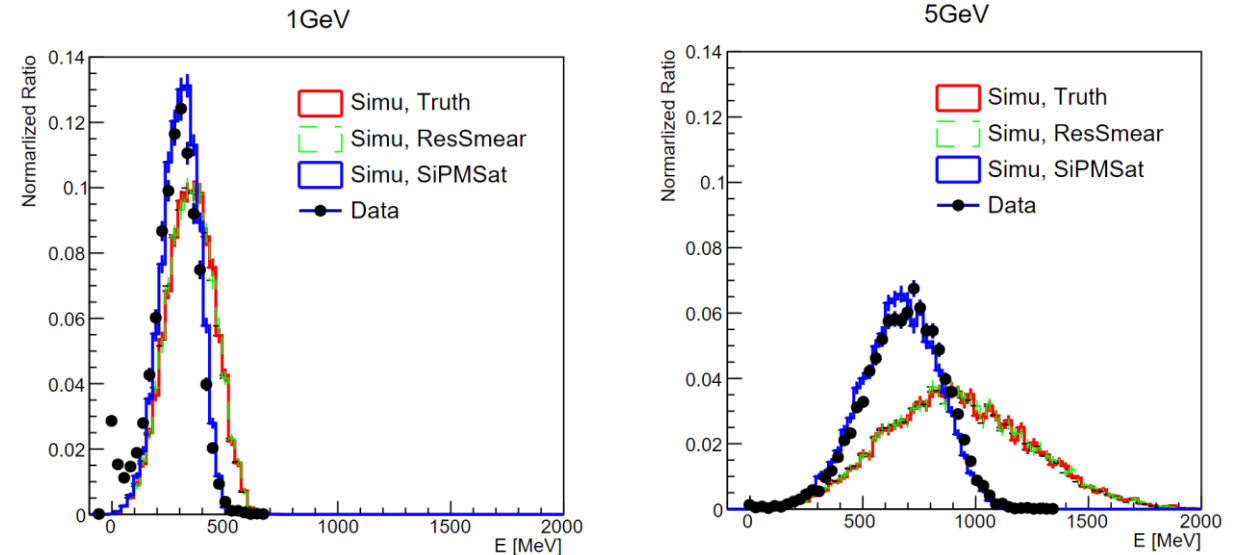
Baohua's talk

- Beamtest is together with CEPC crystal ECAL module
- Energy smearing seems not significant, compared with the energy deposit fluctuation. (~1% vs. ~30% @1GeV)
- SiPM saturation is significant at 5GeV, but can be corrected.



DESY TB22 Oct. 2023

## Energy distribution of channel-3

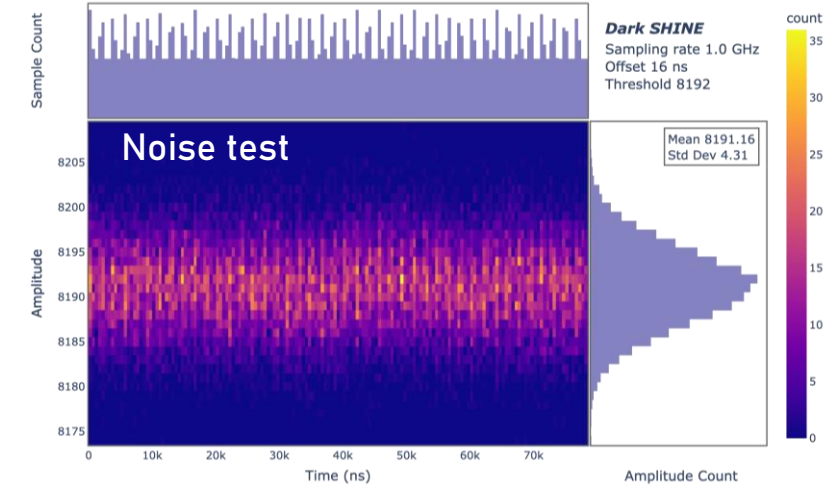
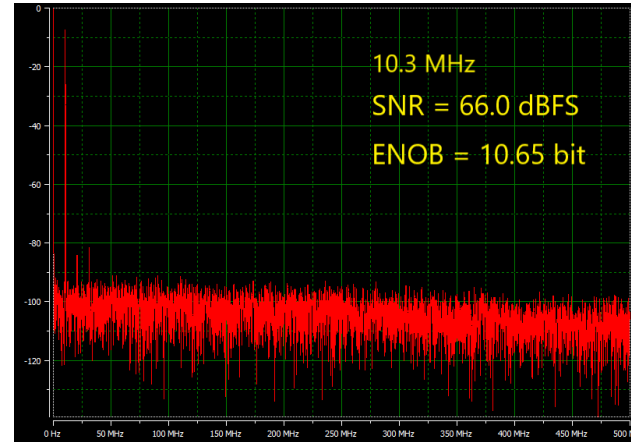


# First Version Readout Electronical System

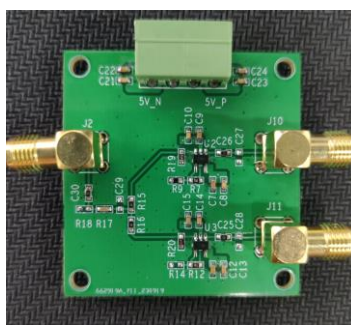
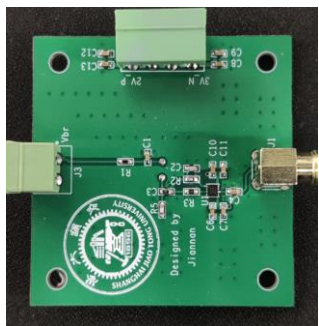


Working on the FPGA firmware and upper PC software

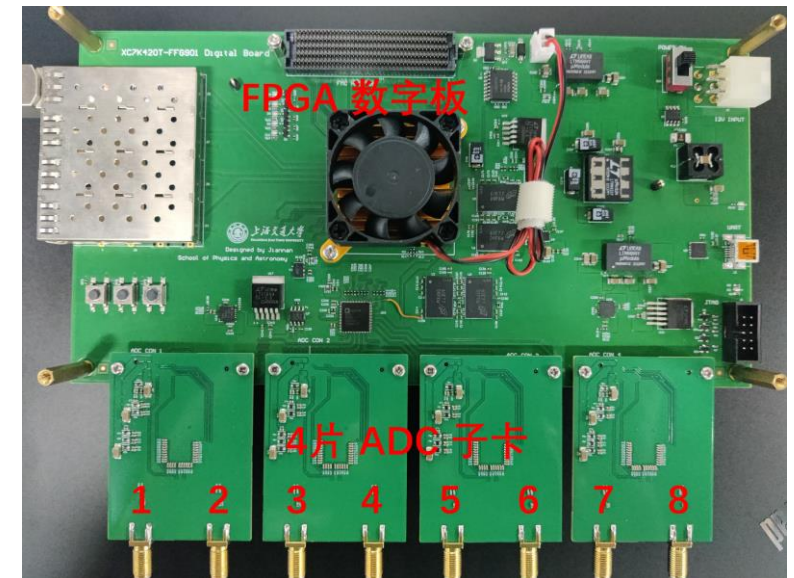
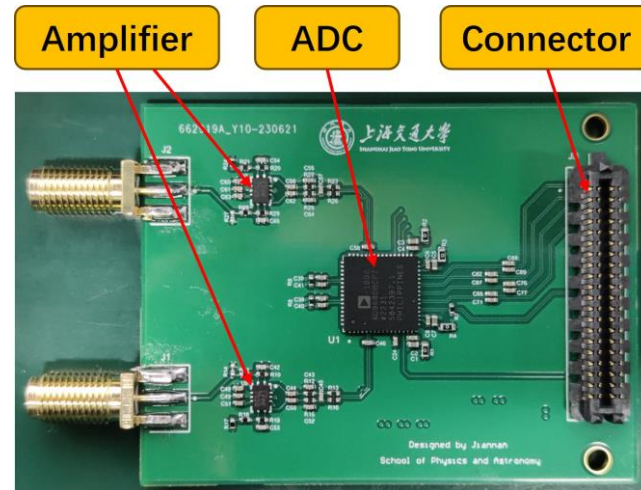
- High speed and high precision ADC
  - ADC: AD9680, 1 GS/s, 14 bit
- FPGA: Kintex-7 XC7K420T-FFG901
- Pre-amplifier: transimpedance amplifier
  - PZC + RCRC filter
  - Dual output: large dynamic range
- LED calibration for SiPM
  - LED driver: nanoseconds width and tunable light intensity



Energy range(MeV)	Amplifier Gain	Output Range(mV)
1~40	200	40~1600
25~1000	1	50~1400



TSUNG-DAQ Preamplifier



- ECAL in Dark SHINE: a homogenous LYSO crystal calorimeter
  - Established a complete software framework. Optimized the overall size and cell size of ECAL. Studied the radiation environment in ECAL region.
  - Conducted many unit tests for crystal and SiPM
  - High energy beamtest of a 4-ch small crystal module at DESY in 2023
  - Finished the first version high speed readout electronics system.
  
- Next
  - Explore the rejection power of ECAL on rare background
  - Preparing a 18-ch LYSO mini-prototype to study the EM performance and more technical issues







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# Backup

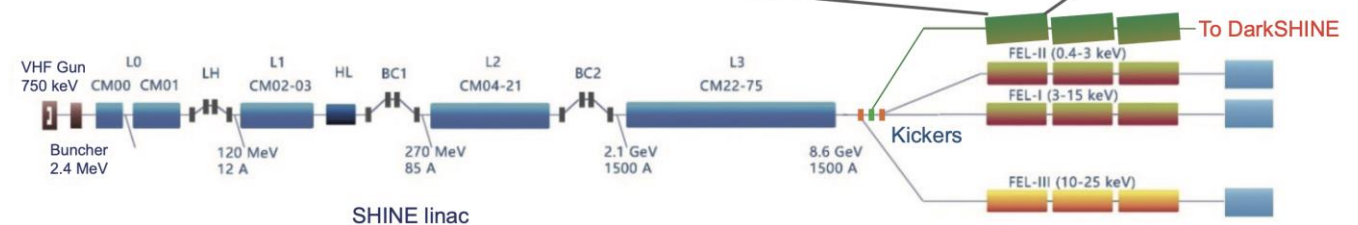
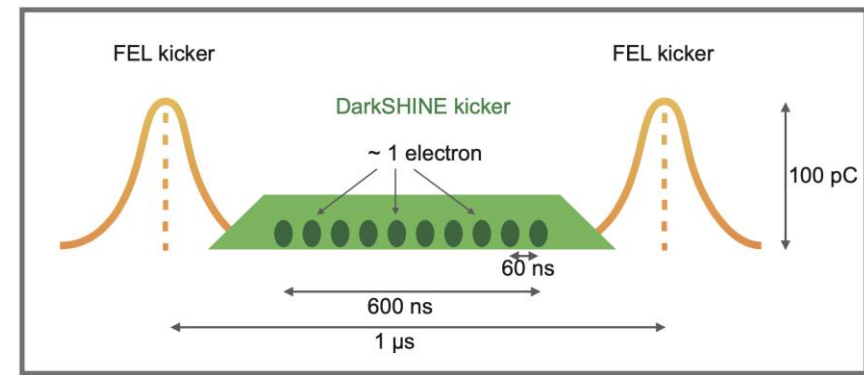
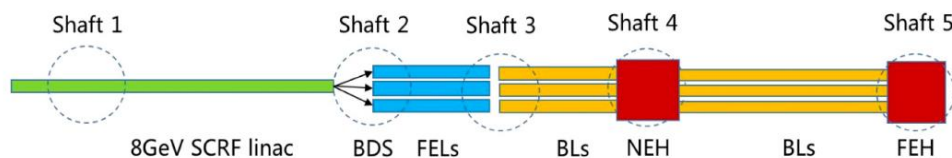
# The SHINE Facility



- Shanghai High Repetition-Rate XFEL and Extreme Light Facility (SHINE) can provide high repetition rate single electron beams → with dedicated kicker to be designed and deployed.
- Electron energy: 8 GeV, Frequency: 1MHz
  - Expected to achieve  $\sim 3 \times 10^{14}$  electrons-on-target (EOT) per year
- Under construction in ZhangJiang area (2018-2026)

Science Bulletin 61, 117(2016), 720-727

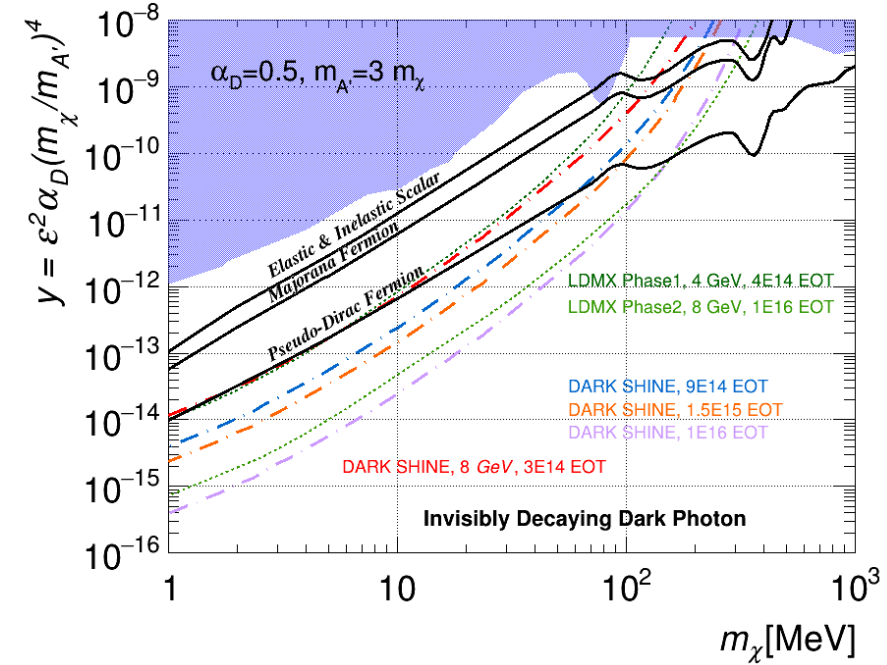
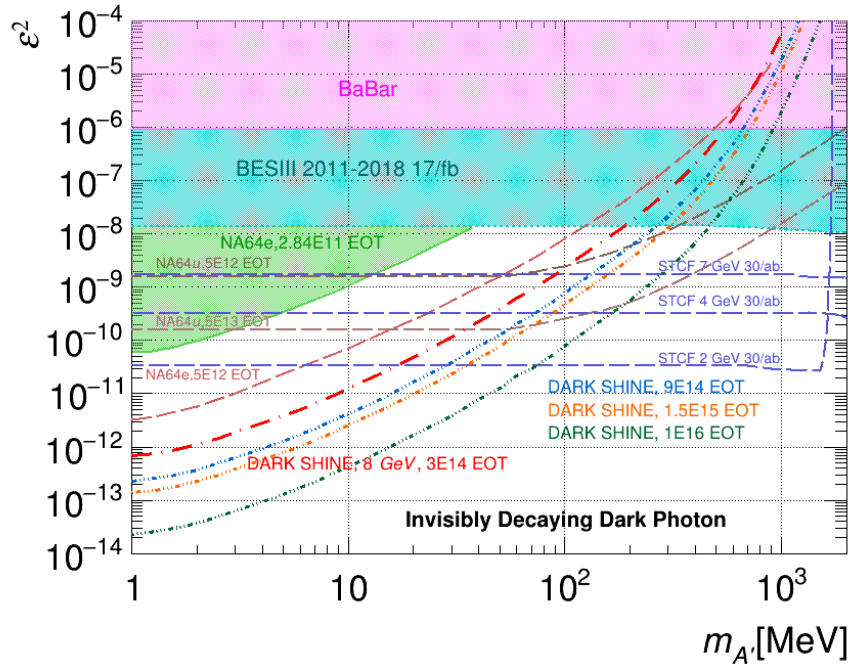
Dedicated to achieve 10MHz single electron beam with high repetition-rate kicker for Dark SHINE



# Expected Sensitivity of DarkSHINE



Assuming 0.015 bkg. event/ $3 \times 10^{14}$  EOTs



Expected 90% C.L. limit estimated with  $3 \times 10^{14}$  EOTs (running  $\sim 1$  year),  $9 \times 10^{14}$  EOTs ( $\sim 3$  years),  $1.5 \times 10^{15}$  EOTs ( $\sim 5$  years) and  $1 \times 10^{16}$  EOTs (with Phase-II upgrade).

Sci. China-Phys. Mech. Astron., 66(1): 211062 (2023)

# Signal & Background Features

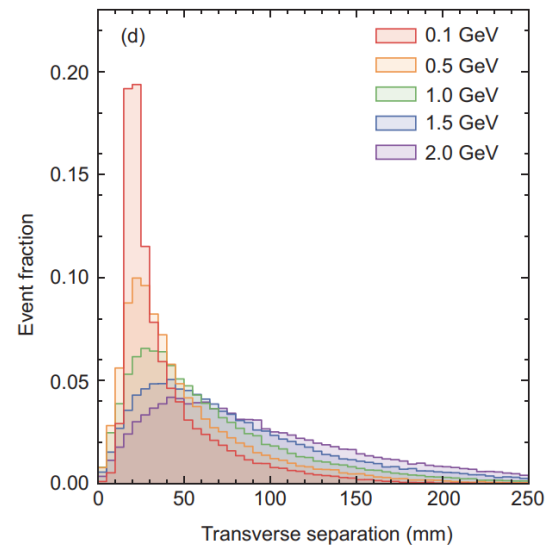
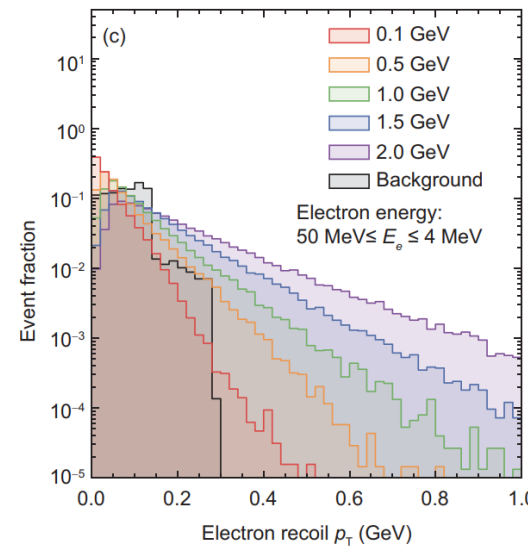
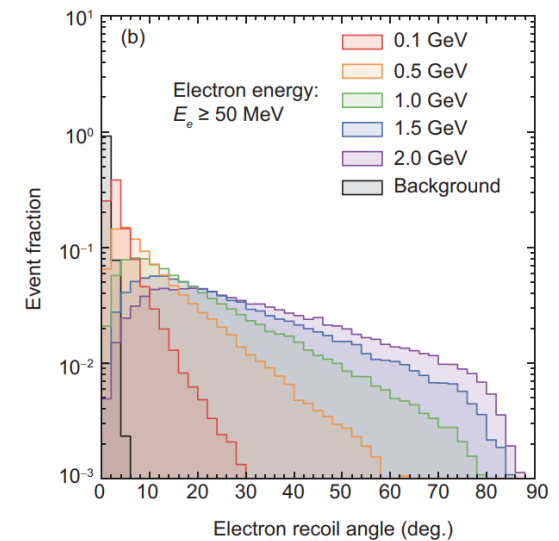
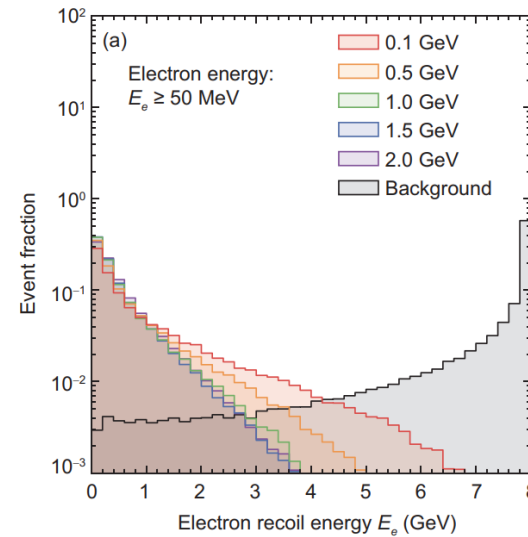


## Signal

- Low recoil energy
- Large recoil angle and recoil  $p_T$

## Background

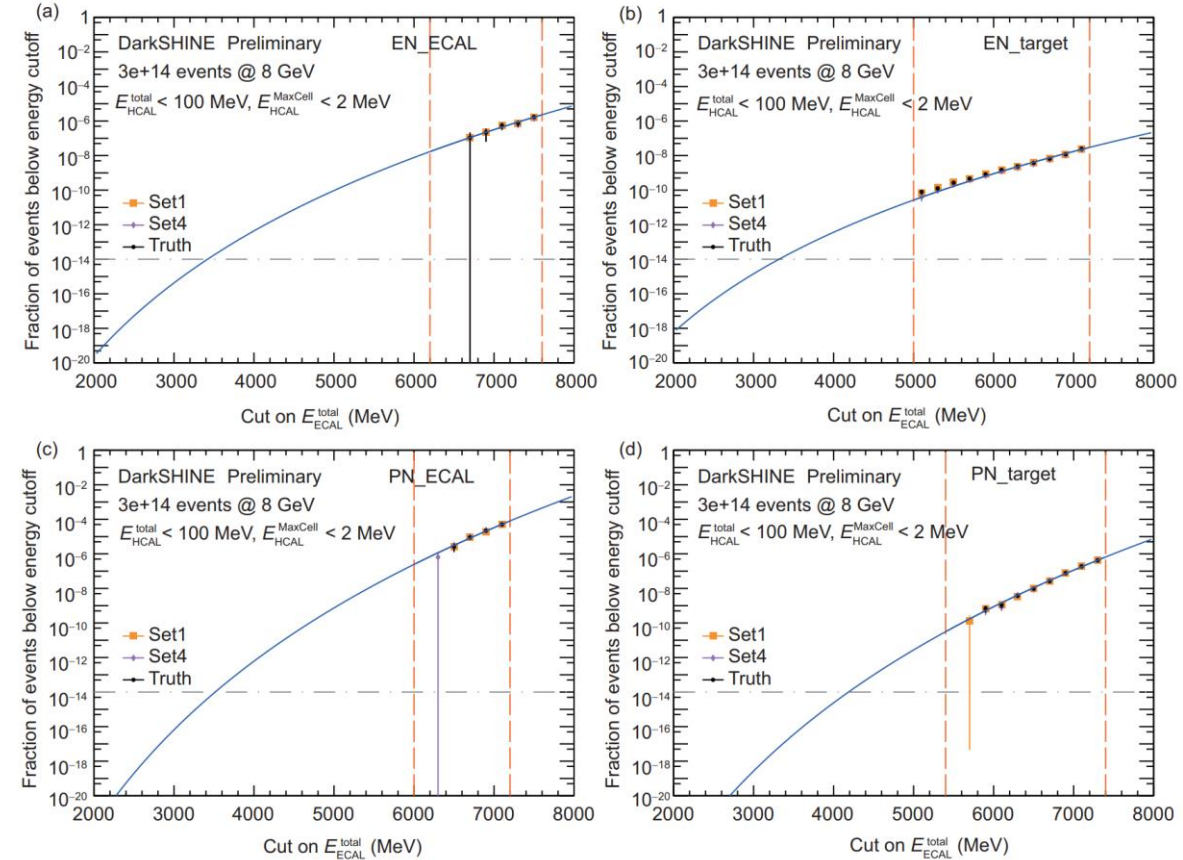
- Large recoil energy
- Small recoil angle and recoil  $p_T$



# Background Samples and Extrapolation



Process	Generate events	Branching ratio	EOTs
Inclusive	$2.5 \times 10^9$	1.0	$2.5 \times 10^9$
Bremsstrahlung	$1 \times 10^7$	$6.70 \times 10^{-2}$	$1.5 \times 10^8$
GMM_target	$1 \times 10^7$	$1.5(\pm 0.5) \times 10^{-8}$	$4.3 \times 10^{14}$
GMM_ECAL	$1 \times 10^7$	$1.63(\pm 0.06) \times 10^{-6}$	$6.0 \times 10^{12}$
PN_target	$1 \times 10^7$	$1.37(\pm 0.05) \times 10^{-6}$	$4.0 \times 10^{12}$
PN_ECAL	$1 \times 10^8$	$2.31(\pm 0.01) \times 10^{-4}$	$4.4 \times 10^{11}$
EN_target	$1 \times 10^8$	$5.1(\pm 0.3) \times 10^{-7}$	$1.6 \times 10^{12}$
EN_ECAL	$1 \times 10^7$	$3.25(\pm 0.08) \times 10^{-6}$	$1.8 \times 10^{12}$



# Background Rejection and Signal Efficiency

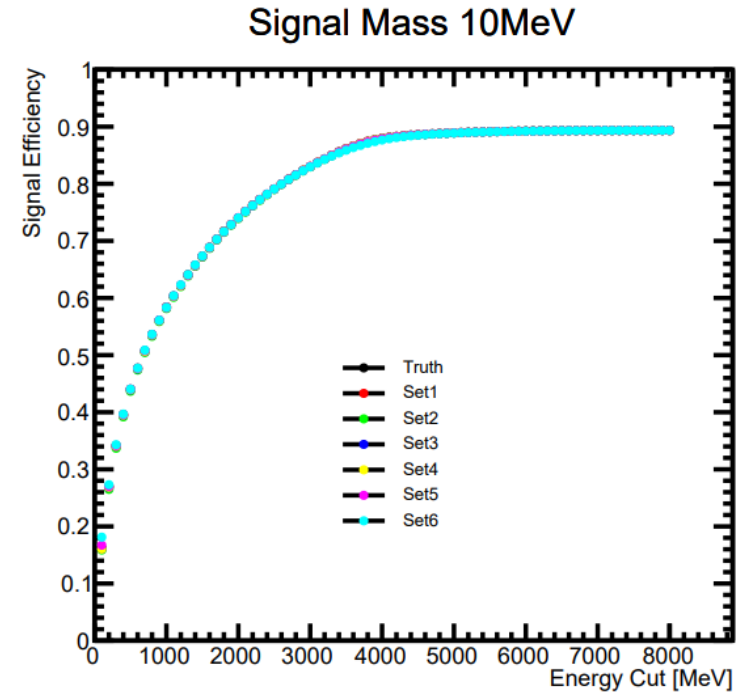
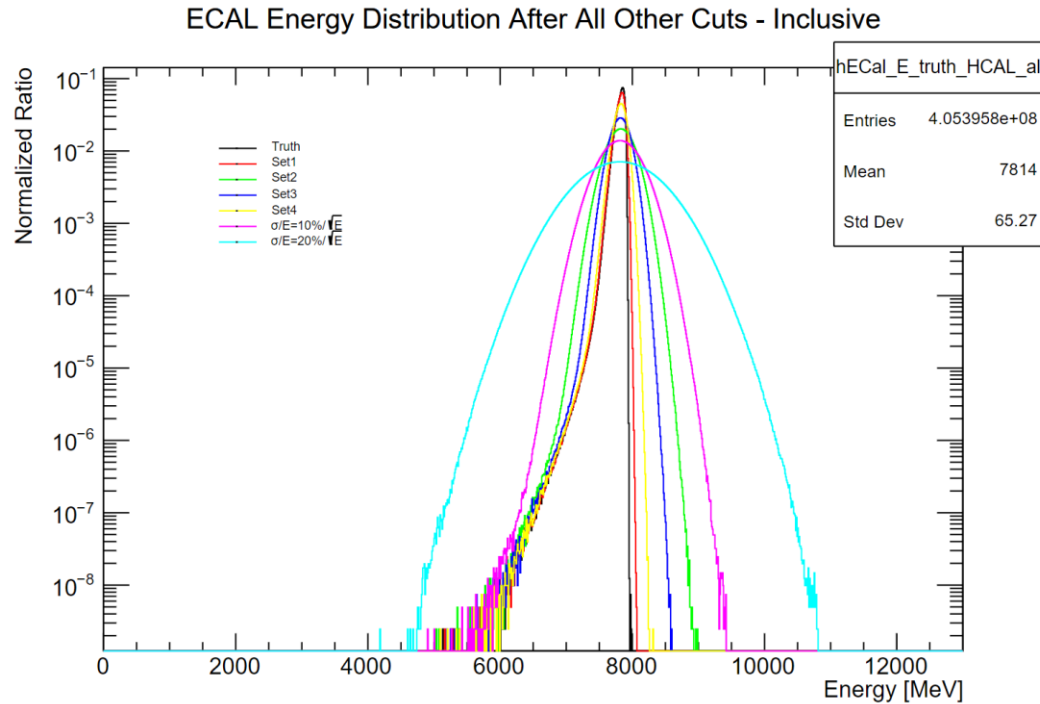


- Set1~Set4: Energy smearing for cell energy
- Others( $\sigma/E$ ): Energy smearing for total energy
- For  $4e8$  inclusive events, DarkSHINE detector system can reject all of the background events, even with a ECAL of 20% energy resolution.

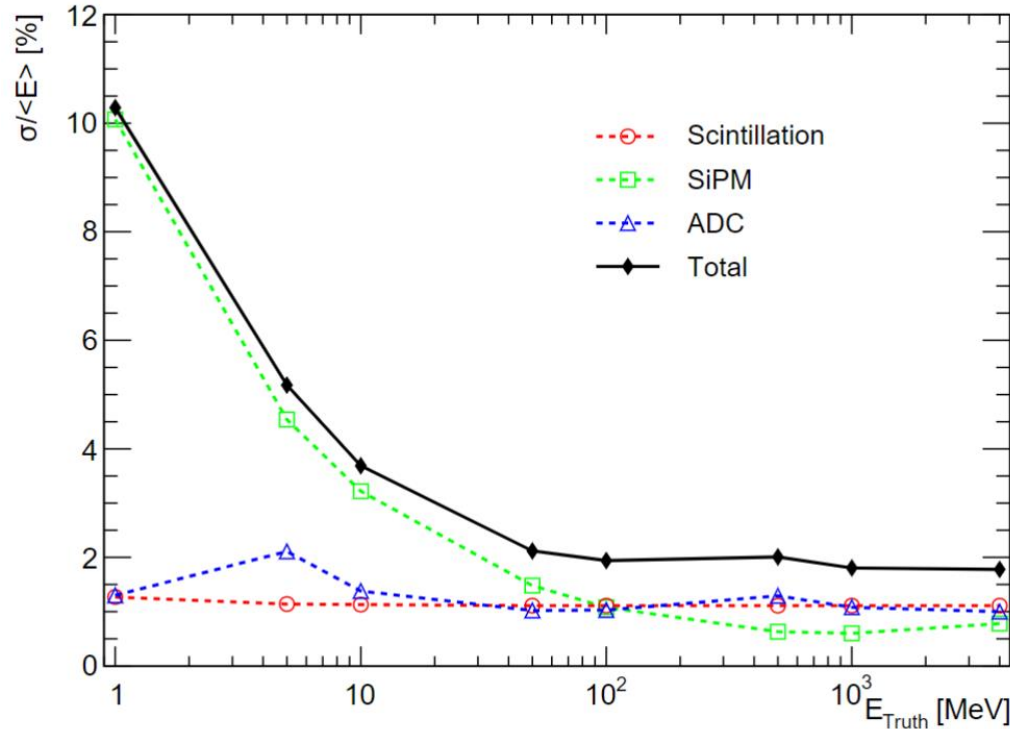
	cube	Wrapper	SiPM Size	coupling*QE	Yield/MeV
R90_LYSO	2.5*2.5*4cm	Ref=90% (ref.)	9mm <sup>2</sup>	20%	30000(LYSO)
R10_LYSO	2.5*2.5*4cm	Ref=10% (abs.)	9mm <sup>2</sup>	20%	30000(LYSO)
R90_S9_PWO4	2.5*2.5*4cm	Ref=90% (ref.)	9mm <sup>2</sup>	20%	200(PWO)
R90_S36_PWO4	2.5*2.5*4cm	Ref=90% (ref.)	36mm <sup>2</sup>	20%	200(PWO)

## Smearing method

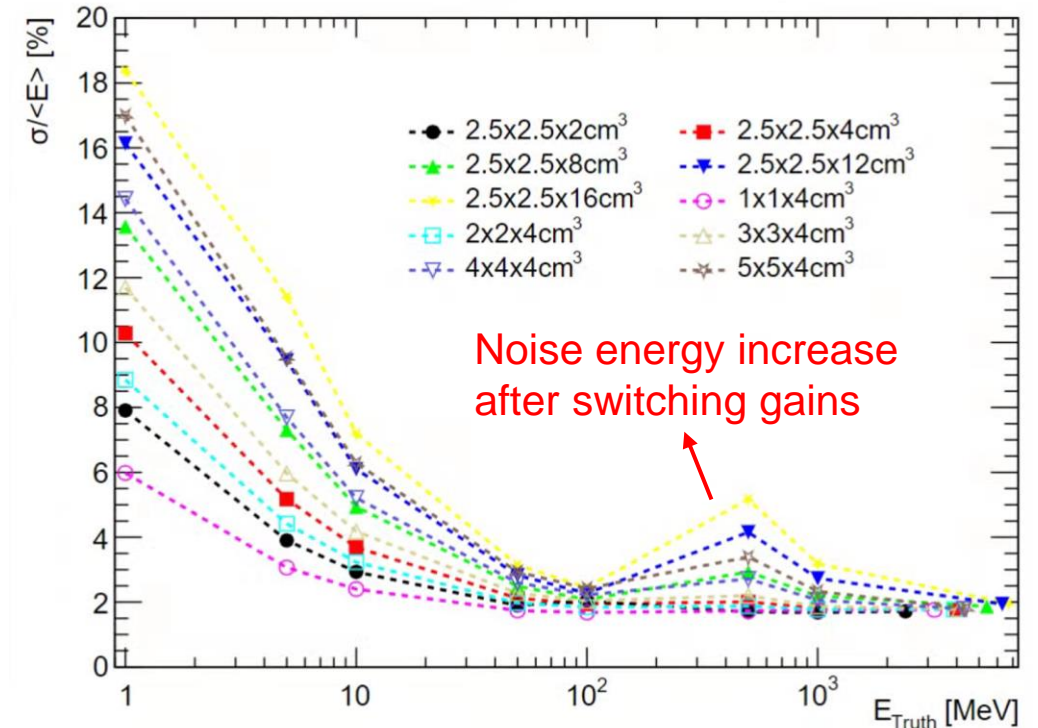
The smearing of ECAL is done in reconstruction/analysis level. For each ECAL cell, the energy of hits are summed, then Gaussian function is used to do the smearing, with the mean value set to truth energy and sigma from the formula  $\frac{\sigma}{E} = \frac{A}{\sqrt{E}} + B + \frac{C}{E}$ . The A B C parameters are extracted from standalone simulation with optical process enabled.



# Performance of Digitization Algorithm



- $2.5 \times 2.5 \times 4 \text{ cm}^3$  LYSO
- SiPM digitization dominates in low energy region ( $E < 100 \text{ MeV}$ )
  - If no saturation correction, the resolution will be better
- Scintillation and ADC has greater contribution when  $E > 100 \text{ MeV}$ 
  - Constant trends come from crystal light yield calibration uncertainty (Scintillation) and SiPM gain calibration uncertainty (ADC)

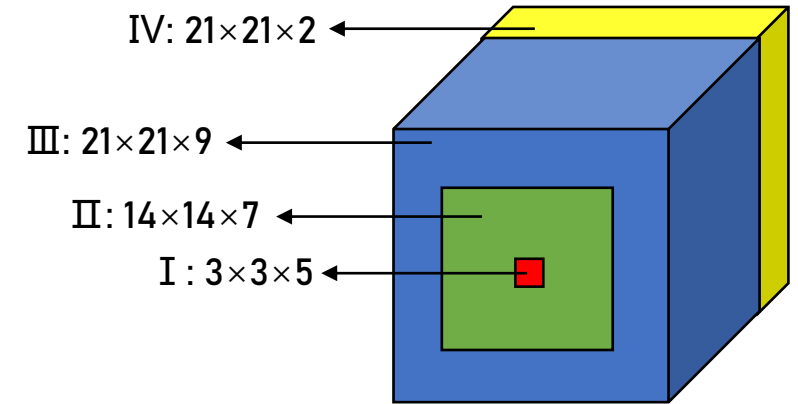


- Smaller crystal has a better single-cell resolution
- Resolution at low energy region can be roughly consistent with the experimental results

# Dynamic Range of Cell



- The maximum energy deposit in single crystal cell is about **4GeV**
- The hottest crystal is always located in the central area, both for background and signal processes
- At least 3GeV cell dynamic range is required**
  - Ecell\_Max: saturation value of the energy deposit in crystal



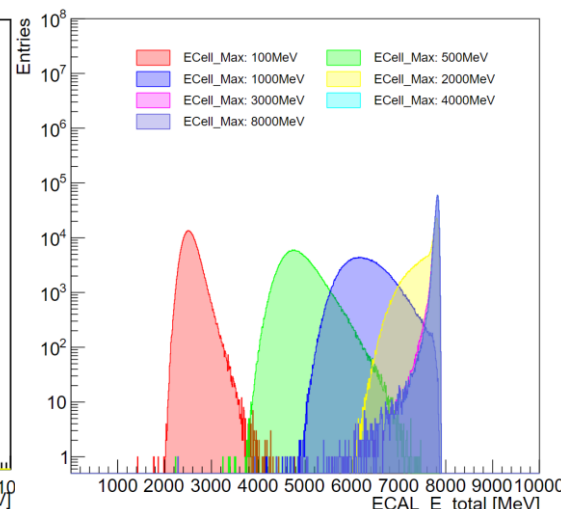
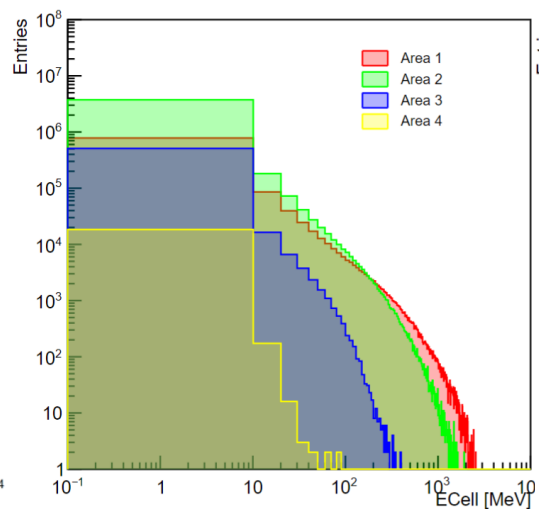
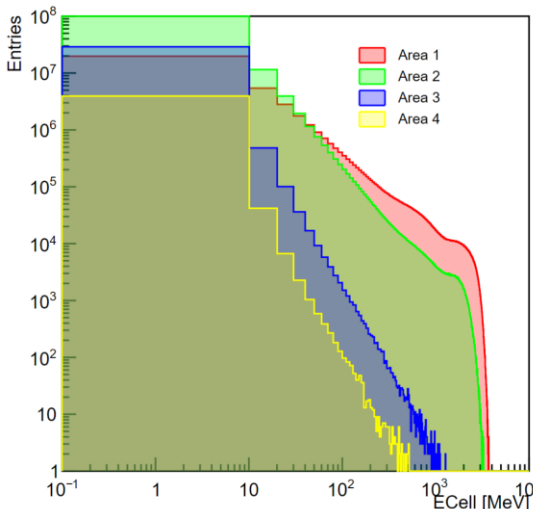
Event ratio of  $E_{ECAL} < 4GeV$

ECell_Max	Inclusive	Signal 1 MeV	Signal 1000 MeV
100 MeV	~100%	100%	100%
500 MeV	0.1%	90.57%	99.5%
1000 MeV	~0	76.78%	98.56%
2000 MeV	~0	73.75%	98.32%
3000 MeV	~0	73.75%	98.32%
4000 MeV	~0	73.75%	98.32%
8000 MeV	~0	73.75%	98.32%

E\_Cell: Inclusive

E\_Cell: Signal 1000MeV

E\_ECAL: Inclusive



- It is possible for Area-3 and Area-4 to set a smaller dynamic range

The 20th Inte

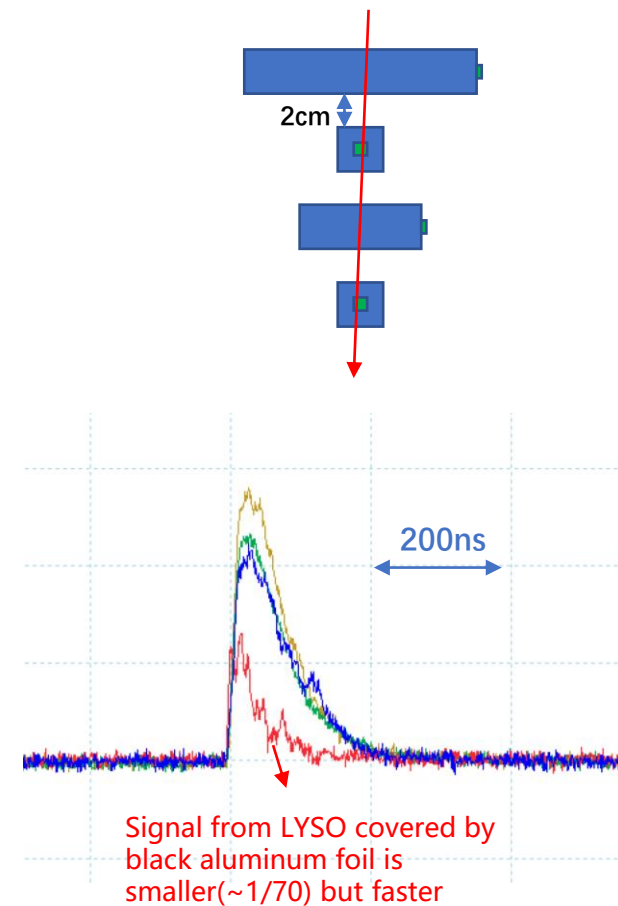
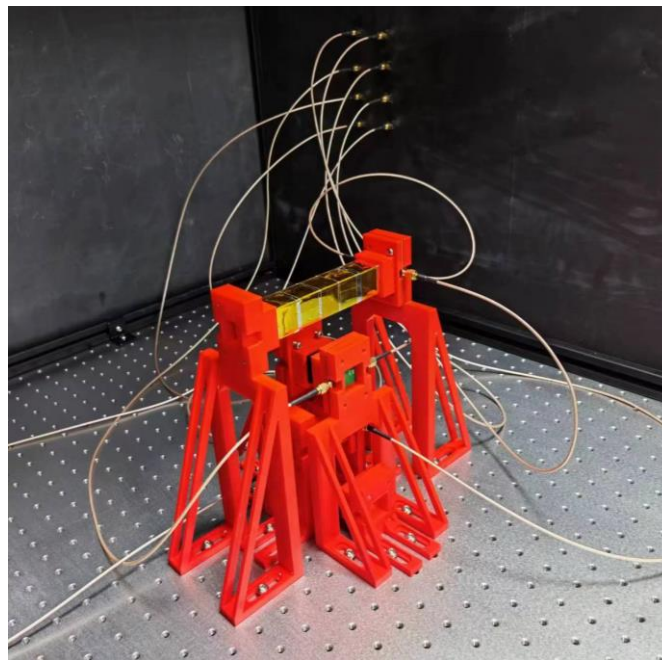
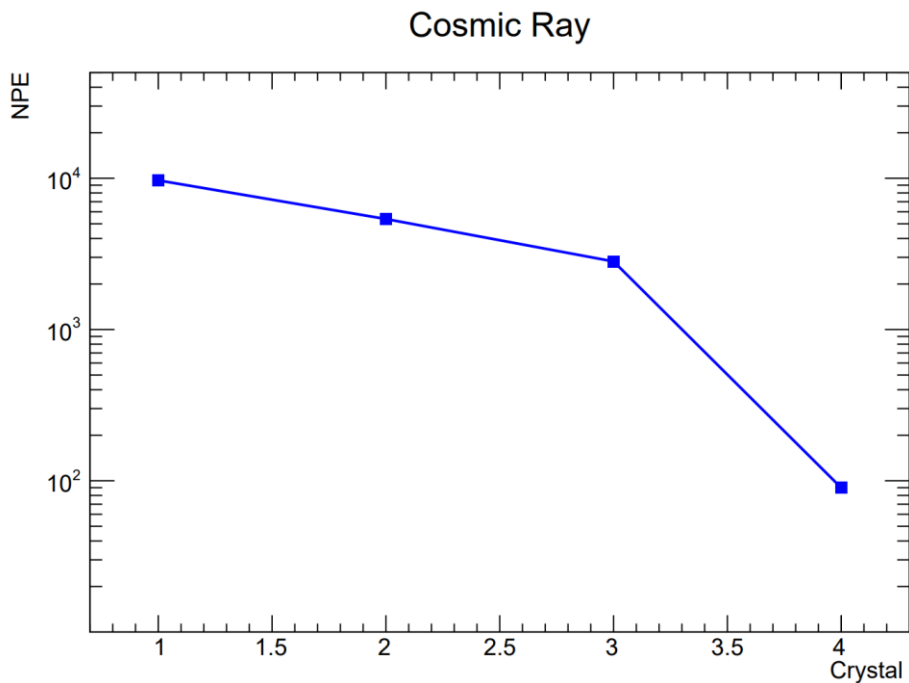
- Small dynamic range will result in false signal



# Cosmic Ray test



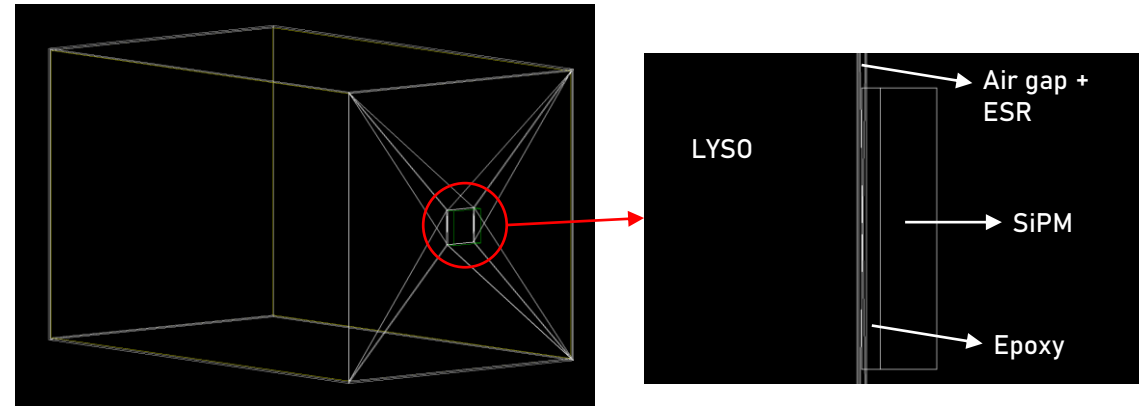
- ①  $2.5 \times 2.5 \times 2.5\text{cm}^3$  LYSO, ESR, SiPM NDL EQR06,  $\sim 9684\text{pe}$
- ②  $2.5 \times 2.5 \times 5\text{cm}^3$  LYSO, ESR, SiPM NDL EQR06,  $\sim 5377\text{pe}$
- ③  $2.5 \times 2.5 \times 10\text{cm}^3$  LYSO, ESR, SiPM NDL EQR06,  $\sim 2819\text{pe}$
- ④  $2.5 \times 2.5 \times 5\text{cm}^3$  LYSO, black aluminum, SiPM NDL EQR06,  $\sim 90\text{pe}$



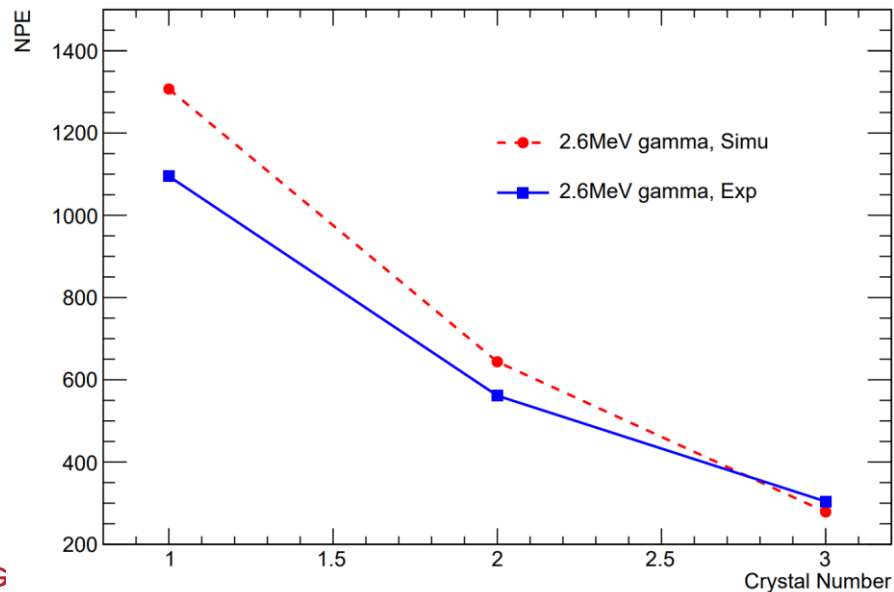
# Light Yield Simulation



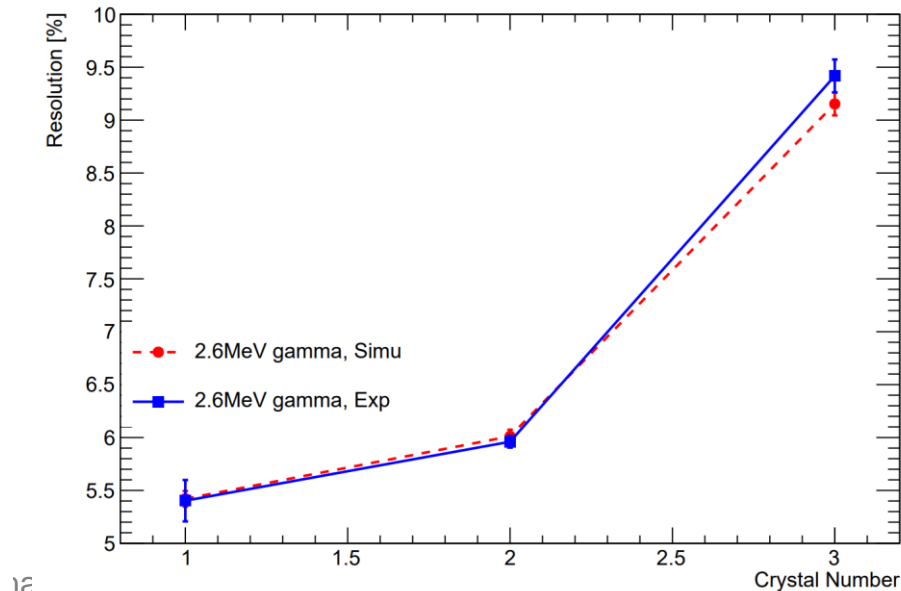
- Crystal Number
  - ①  $2.5 \times 2.5 \times 2.5 \text{cm}^3$  LYSO,  $5 \times 5 \text{mm}^2$  window
  - ②  $2.5 \times 2.5 \times 5 \text{cm}^3$  LYSO,  $5 \times 5 \text{mm}^2$  window
  - ③  $2.5 \times 2.5 \times 10 \text{cm}^3$  LYSO,  $8 \times 8 \text{mm}^2$  window
- The simulated light yield and resolution roughly match the experimental results



## Number of PE



## Resolution

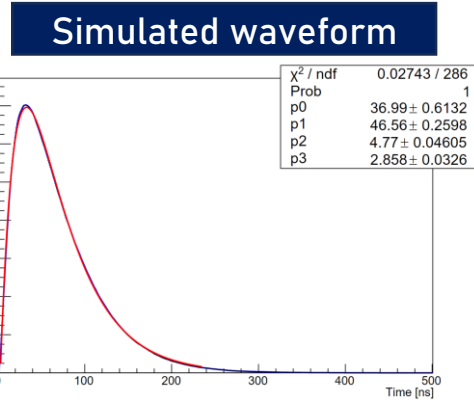
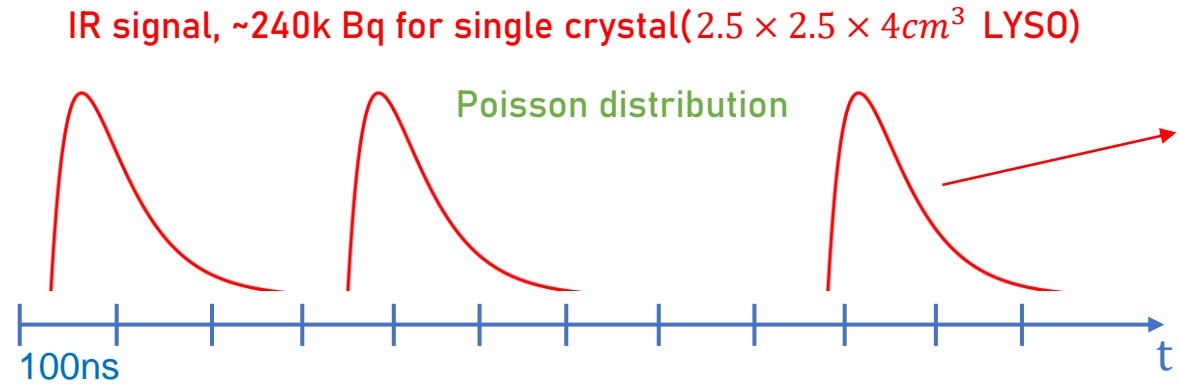


# Energy Contributed by Intrinsic Radiation

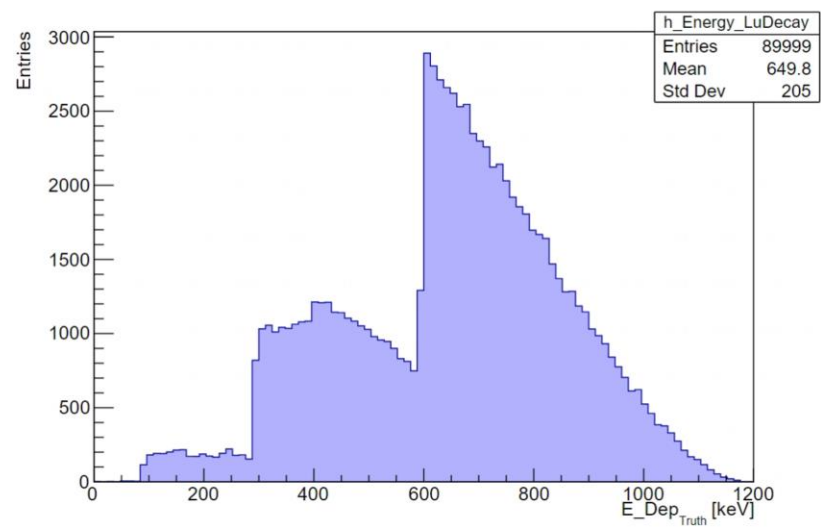


Noise from IR can be partially eliminated by threshold, but it will affect the resolution because threshold also act on the signal.

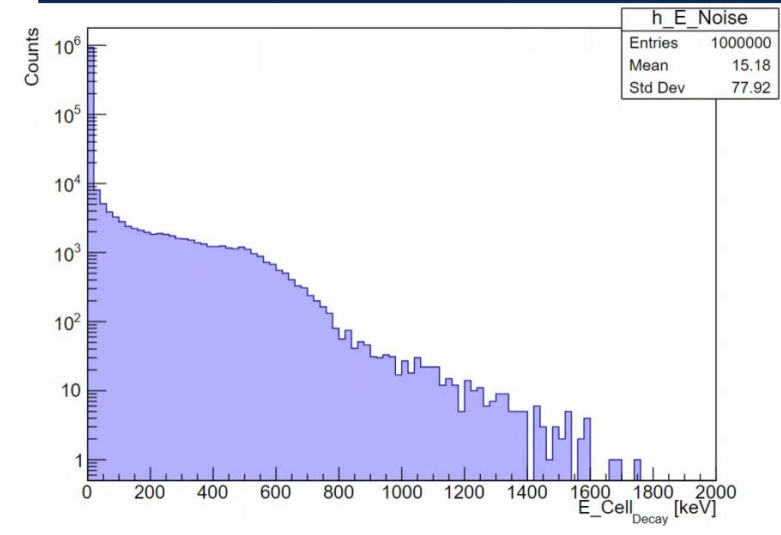
- Estimated the energy deposit by intrinsic radiation in crystal and ECAL at 10MHz event rate
- Average decay energy per event
  - $\langle E_{Cell_{Decay}} \rangle \sim 15\text{keV}$
  - $\langle E_{Total_{Decay}} \rangle \sim 74\text{MeV}$
  - Larger if consider absorbing between adjacent crystals



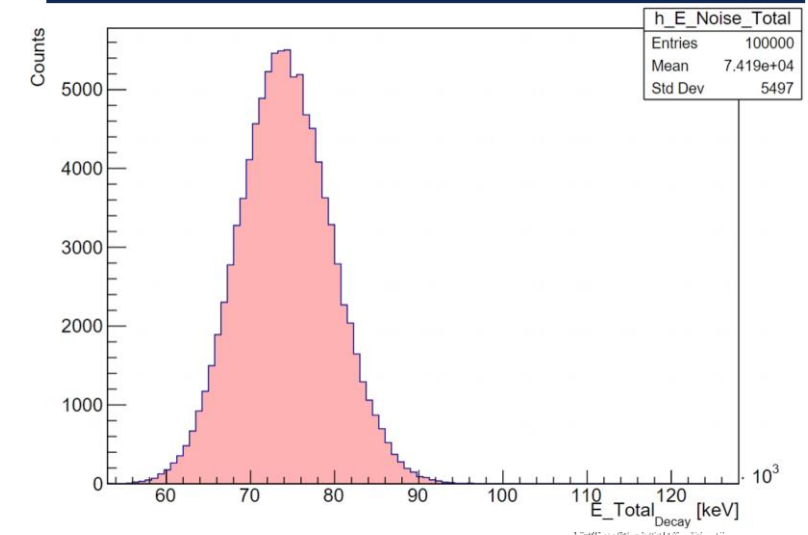
Deposit energy from  $^{176}\text{Lu}$  decay



Cell energy from intrinsic radiation



ECAL energy from intrinsic radiation



- Sampling with the spectrum for each signal

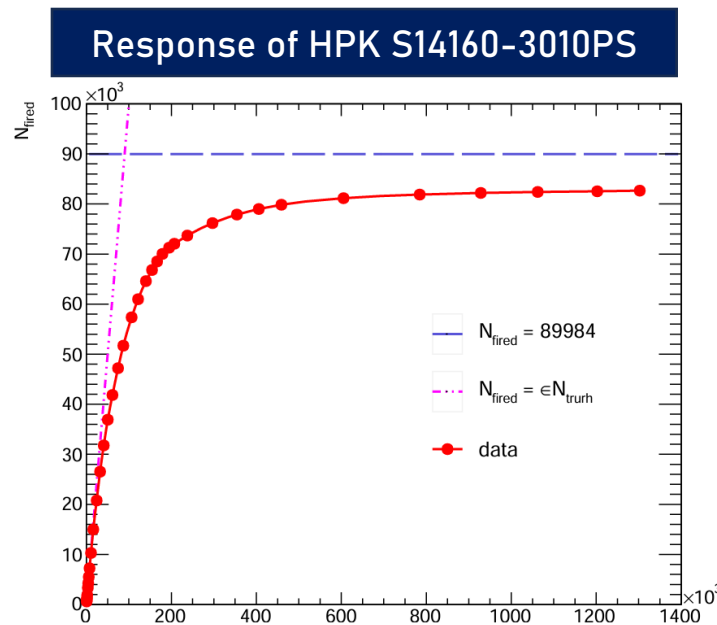
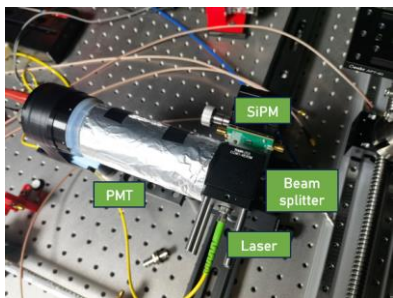
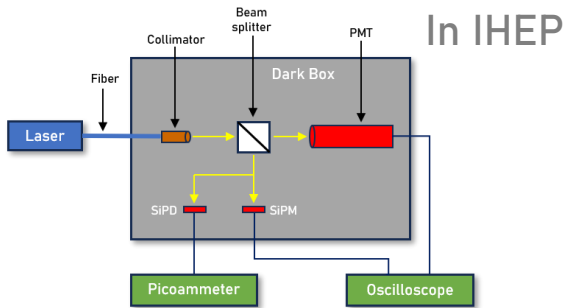
# SiPM Dynamic Range Test and Simulation



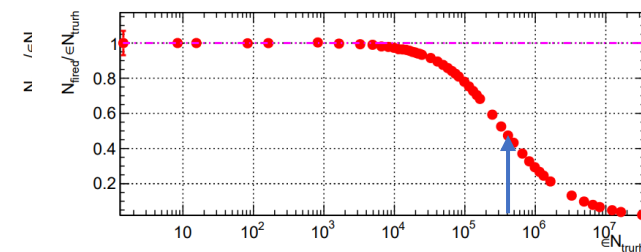
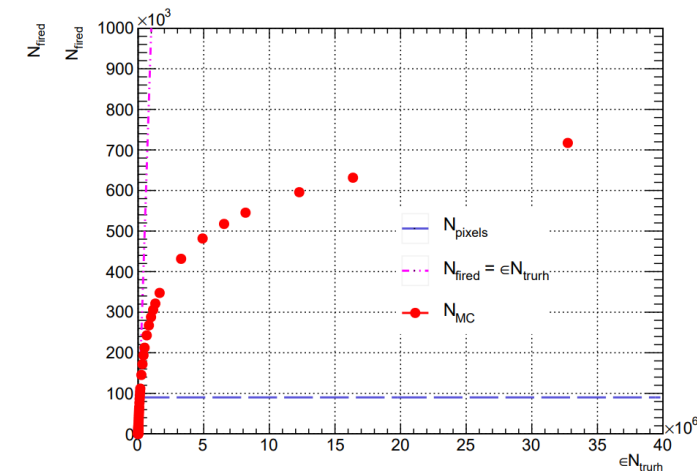
- Experiment to measure the intrinsic dynamic range of SiPM with laser
  - Pico-second laser: <40ps pulse width, 405nm wavelength
  - SiPM: DUT with large pixel numbers
  - PMT/Si-PIN: scaler
- Toy Monte Carlo of SiPM when measuring LYSO scintillation light
  - $2 \times 10^5$  photons light input~ 40% non-linearity in SiPM output, can be corrected with this model

Toy Monte Carlo including

- SiPM pixel density, PDE spectrum, crosstalk, waveform properties, pixel multi-fired effect
- LYSO emission spectrum, detected time of scintillation photon



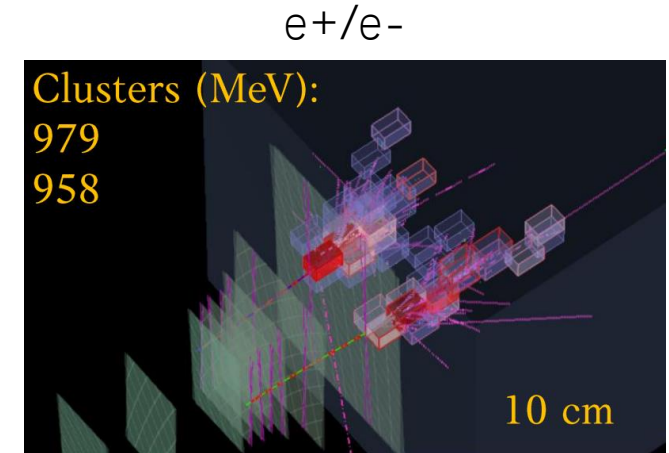
## MC of SiPM+LYSO



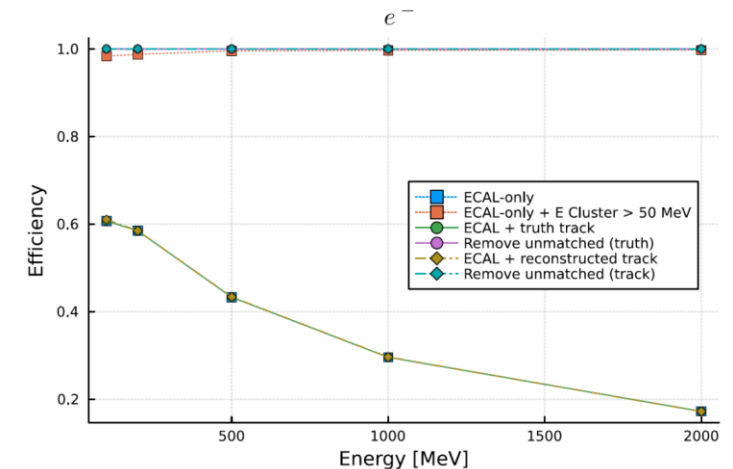
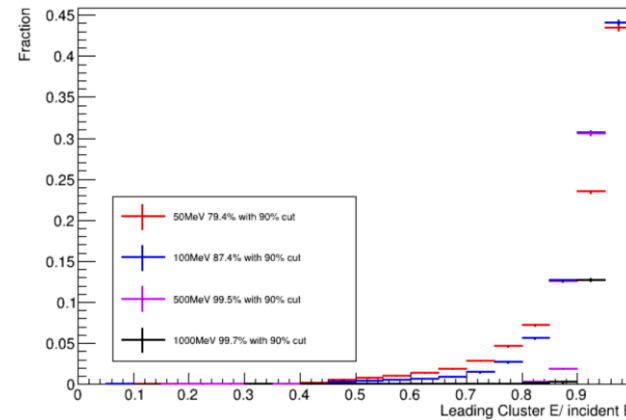
# Reconstruction Algorithm



- Motivation: distinguish the multi-particle event, use MVA to explore more physical opportunities
- Cluster Formation → Cluster Splitting → Cluster-track matching
  - Searching local maxima as clustering seed
  - Absorb the neighbor hits and merge clusters as much as possible
  - Split when more than one local maxima
  - Clusters match to track, subclusters matched to the same track merged
- Performance
  - “90% E reconstructed in leading cluster”: 80% for 50MeV  $e^-$ , ~100% for  $>500\text{MeV } e^-$
  - Cluster and track can be matched in low energy region



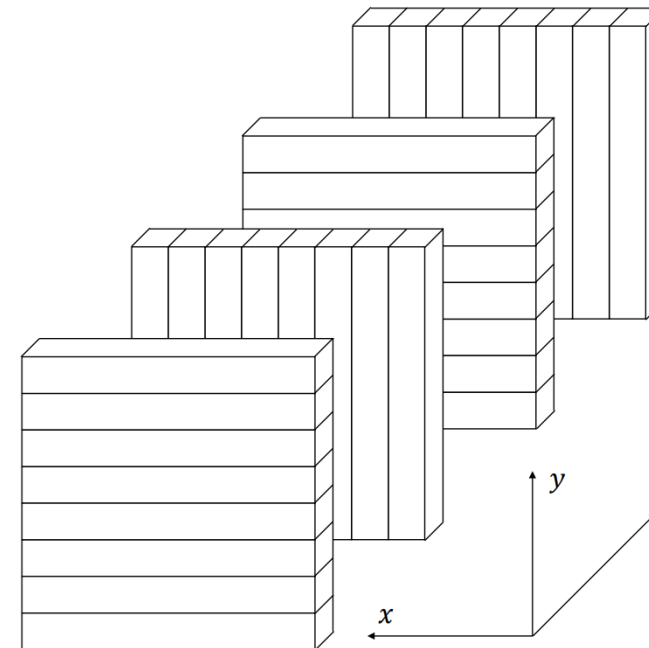
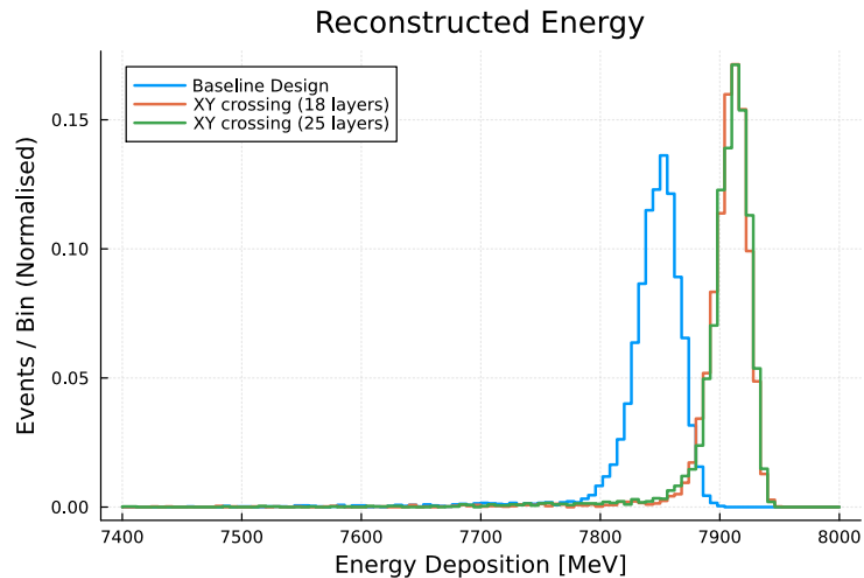
- S hit: ● → Can be used for initial seeding
- N hit: ● ● → Can be used for secondary seeding
- P hit: ● ● ● → Can be used for clustering expansion



# New Design ECAL



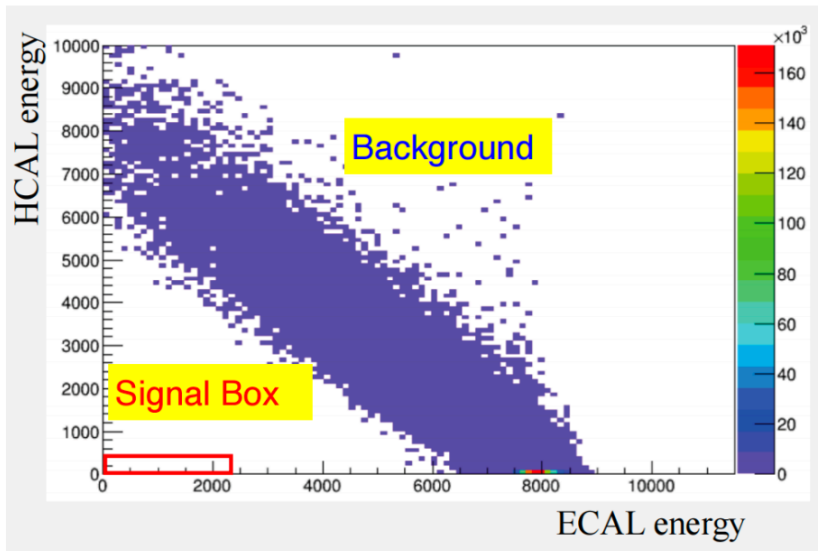
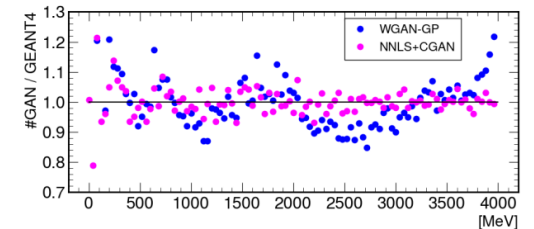
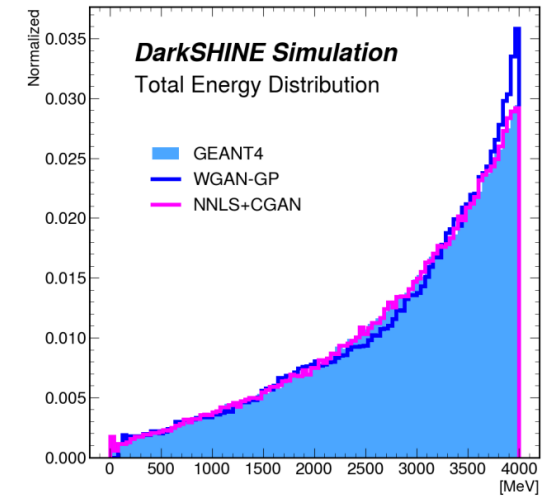
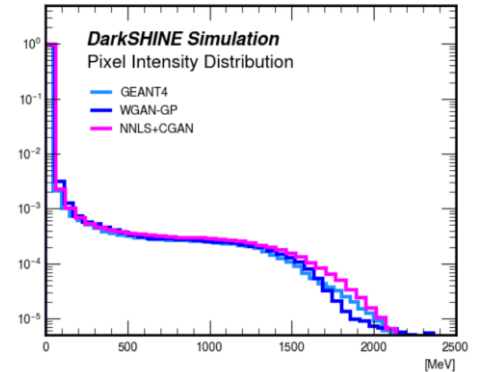
- Crossing long crystal bars to save costs and prevent beam damage to PCB
  - Crystal that longer than 10cm have a higher unit cost.
  - Use some optical glue to get a long crystal – to be validate



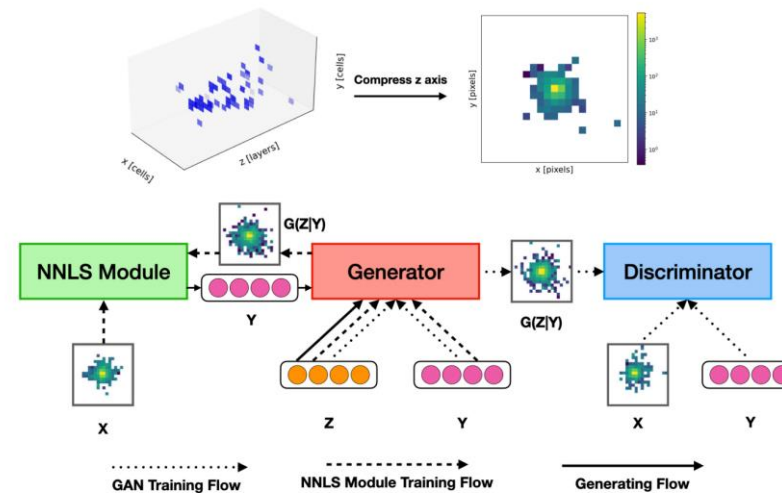
# Fast Simulation with Machine Learning



- Motivation: As we increase the simulation statistical quantities, it tends to be more background events closing to signal region
  - Geant4 simulation for  $3 \times 10^{14}$  EOTs will consume a massive amount of resources
    - ~5ms per inclusive event.  $3 \times 10^{14}$  EOTs ~  $10^4$  CPUs run for 5 years
  - Generation with deep learning network could save a lot of time/money
- NNLS+CGAN can work for ECAL energy data synthesis
  - $\times 10^4$  speed-up could be achieved
  - Upgrade to provided shower shape for detailed validation



## NNLS+CGAN Architecture



[1] Mirza M, Osindero S. Conditional generative adversarial nets[J]. arXiv preprint arXiv:1411.1784, 2014.

[2] Chen D, Plemmons R J. Nonnegativity constraints in numerical analysis[M]//The birth of numerical analysis. 2010: 109-139.