#### AEPSHEP2024



## An introduction to QCD

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**Peking University** 

2024.06.16-19

# Nuclear force and strong interaction

#### **Interactions of matters**

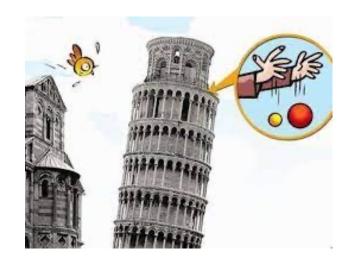
1687 Newton's law of Gravity astronomical motion



1785
Coulomb's Law of Electricity
molecular & atomic structure



Nature of macroscopic forces

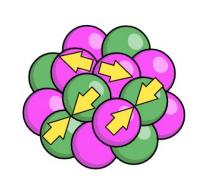


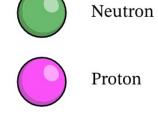


#### **Interactions of matters**

First Nobel Prize in Physics

1934 Yukawa's potential, nuclear force Nuclear structure





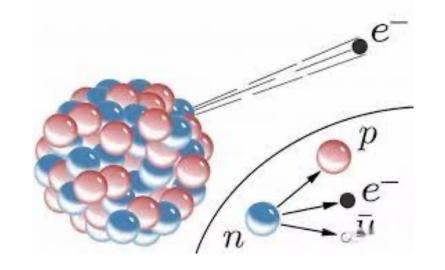








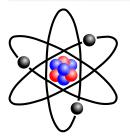
1934 Fermi's theory of weak interaction

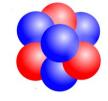




### **Strong interaction**

	Gravitation	Electromagnetic	Weak	Strong
Acts on	particles with mass and energy	particles with charge	quarks and leptons (decay)	quarks
Exchange particle	graviton (not yet observed)	photon, $\gamma$	$\mathrm{W}^+,\mathrm{W}^-$ and $\mathrm{Z}^0$	gluons, g, and mesons
Exchange particle mass	massless	massless	$M_{ m W^{\pm}} = 80  { m GeVc^{-2}}$ $M_{ m Z} = 91  { m GeVc^{-2}}$	$^2, \hspace{0.1in}$ gluons are massless
Relative strength	negligible, predicted about $10^{-41}$	$\frac{1}{137}$	$10^{-6}$ 1	
Range	$\infty$	$\infty$	$10^{-18}$	$10^{-15}$
	decreasing $\propto rac{1}{r^2}$	decreasing $\propto rac{1}{r^2}$	decreasing $\propto rac{1}{r}$	increasing $\propto r$







Binding energy: 10 eV

Binding energy: 10 MeV

#### Question:

 $\alpha_s$ =O(1),  $\alpha_{em}$ =1/137, why binding energy is 10<sup>6</sup> times larger?



## **Exercise: binding energy**

Virial Theorem in Statistics Mechanics

If the force between any two particles of the system results from a potential energy  $V(r)=\alpha r^n$  that is proportional to some power n of the interparticle distance r, then

$$< T > = n < V >$$

- $\triangleright$  Given an atom, we have kinetic energy of the electron T=p<sup>2</sup>/(2m) and V=- $\alpha_{em}$ /r
- Using Heisenberg's uncertainty principle, the distance r can be determined, and the binding energy can be calculated
- > Think about how to determine the binding energy for a nucleus

# **Cosmic Evolution** and **Energy Storage**

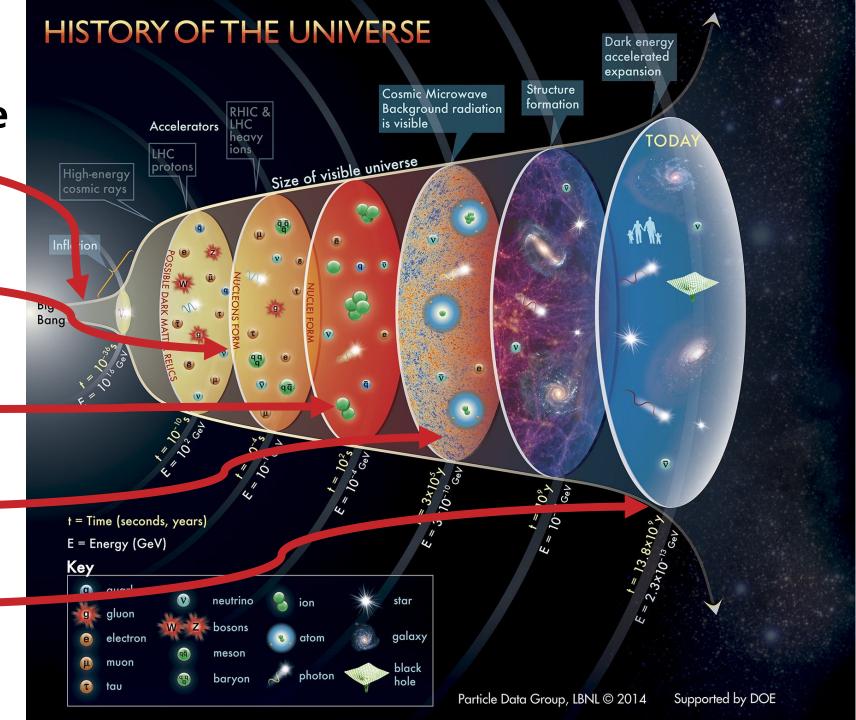
**Big Bang** 

Quark-Gluon

**Nucleus** 

**Atom** 

Today's universe

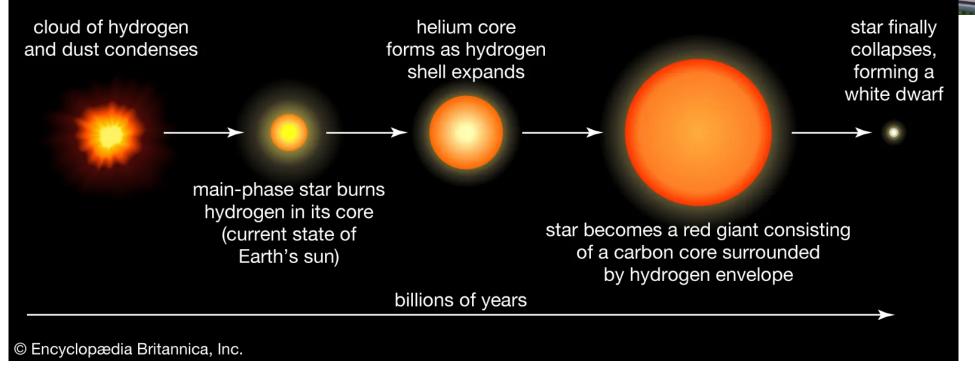


## **Cosmic Evolution and Energy Storage**

Sun - Evolution, Structure, Radiation | Britannica

- > Generate > 99% mass in visible universe
- > Evolution of stars
- > Solar energy
- Nuclear power plant





## Some History

#### Rutherford: Open the Door to Nucleus World with $\alpha$ Particles

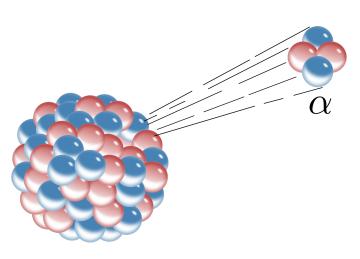


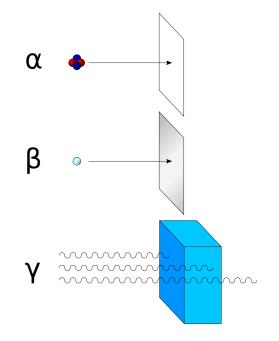


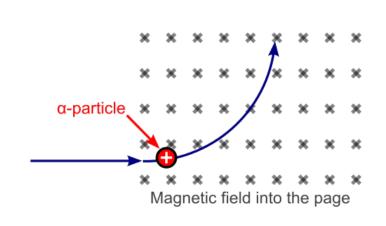
Ernest Rutherford (1871-1937) Father of Radiochemistry In 1899, the discovery of natural  $\alpha$  radiation



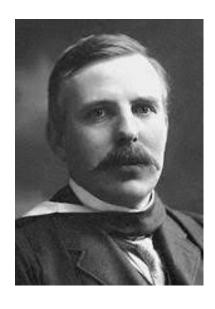
Nobel Prize in Chemistry in 1908







## Rutherford: Open the Door to Nucleus World with $\alpha$ Particles

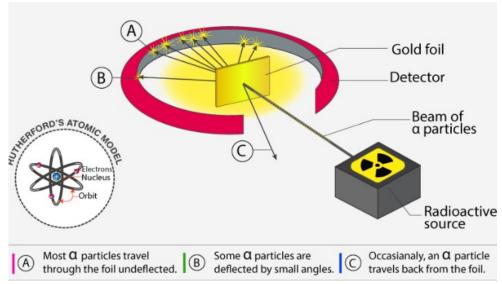




Ernest Rutherford (1871-1937)
Father of Nuclear Physics and Atomic Physics

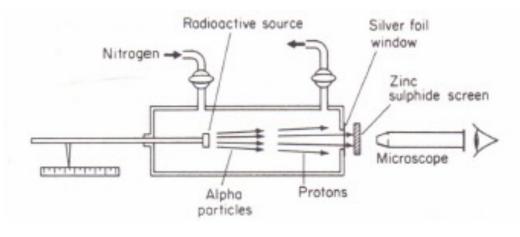
1911, discovery of nucleus

→ atom=nucleus+electron



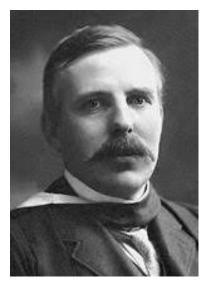
1919, discovery of proton

– 1<sup>st</sup> hadron, lightest baryon



$$\alpha$$
 + <sup>14</sup>N  $\rightarrow$  p + <sup>17</sup>O

## Rutherford proposed the neutron hypothesis





Bakerian Lecture: Nuclear Constitution of Atoms

by Sir E. Rutherford, F.R.S., Cavendish Professor of Experimental Physics, University of Cambridge.

In 1920, a public lecture

hydrogen is ascribed to the movements of this distant electron. Under some conditions, however, it may be possible for an electron to combine much more closely with the H nucleus. forming a kind of neutral doublet. Such an atom would have very novel properties. Its external field would be practically zero, except very close to the nucleus, and in consequence it should be able to move freely through matter. Its presence would probably be difficult to detect by the spectroscope, and it may be impossible to contain it in a sealed vessel. On the other hand, it should enter readily the structure of atoms, and may either unite with the nucleus or be disintegrated by its intense field, resulting possibly in the escape of a charged H atom or an electron or both.

## Discovery of Neutron – On the Importance of a Good Mentor

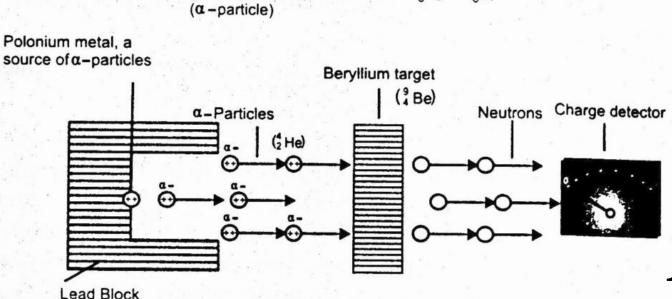
- In 1930, German scientist W. Bothe and his student H. Becker, bombarded beryllium (Be) with  $\alpha$ rays, discovering a highly penetrating neutral radiation, which they believed to be γ rays
- > 1932, the Curie couple repeated the experiment and found that neutral radiation could knock proton out of paraffin, with kinetic energy of few MeV. Based on conservation law, the energy of  $\gamma$  rays should be ~50 MeV. However, the  $E_b$  within the atomic nucleus is only a few MeV!
- > 1932, Chadwick found that neutral radiation, when irradiated onto other substances, can cause nuclear recoil. Based on the energy of recoiled nitrogen nucleus, the energy of  $\gamma$  rays > 90 MeV.

Neutral radiation has a similar mass as proton

 $\rightarrow$  neutron



J. Chadwick

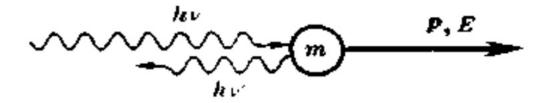


 ${}_{2}^{4}\text{He} + {}_{4}^{9}\text{Be} \longrightarrow {}_{6}^{12}\text{C} + {}_{0}^{1}\text{n}$ 

#### **Exercise: discovery of neutron**

The Curie couple bombarded beryllium (Be) and Boron (B) with α rays, discovering a highly penetrating neutral radiation. This radiation could knock proton out of paraffin, with a kinetic energy of 4.5 MeV for the Be experiment and 2 MeV for the B experiment, respectively.

If the particle were a photon, please estimate the energy carried by the photon.

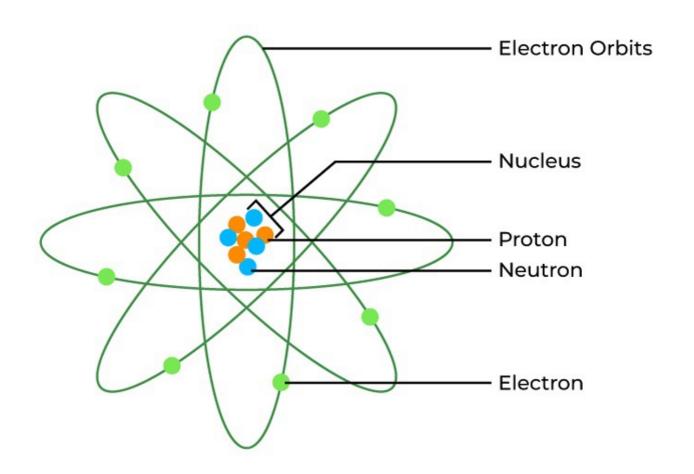


➤ Chadwick found that neutral radiation, when irradiated onto nitrogen (N) and hydrogen (H), the velocity of recoiled nitrogen and hydrogen is about 4.7 × 10<sup>6</sup> m/s and 3.3 × 10<sup>7</sup> m/s, respectively.

Then what is the mass of the neutral particle?

#### **Anecdotes in Science**

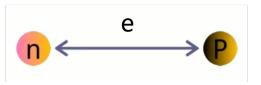
- Three Generations of Mentors and Disciples Discovering the Three Components of Atoms
- J. Thomson → electron ; E. Rutherford → proton ; J. Chadwick →neutron



#### Heisenberg's Conjecture on Nuclear Forces

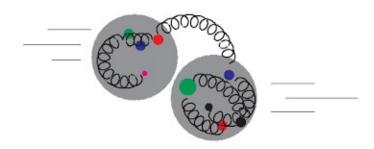
- > Neutrons are electrically neutral and cannot neutralize the repulsion between protons, what purpose do they serve inside the atomic nucleus?
- > What force is counteracting the electrostatic repulsion between protons to hold the nucleons together?





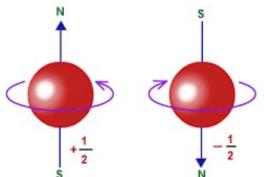
W. Heisenberg (1901 - 1976)
A Founder of Quantum Mechanics
Representative Figure of Copenhagen School
Awarded the Nobel Prize in 1932

1936, M. Tuve and others discovered that the collision cross-section between nucleons is significantly larger than that of electromagnetic forces



Introduction of Isospin

**Analogous to Spin** 

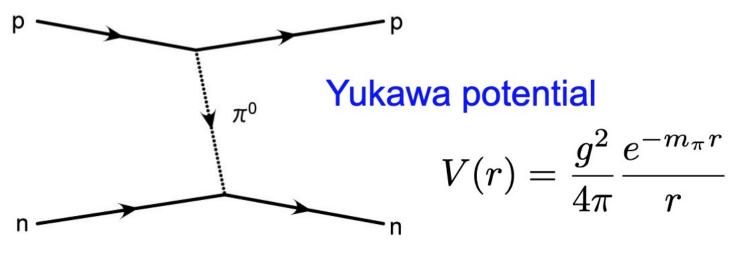


Charge Space – Positive & Negative Spin Space – Spin up & Spin down Isospin Space – Isospin up & down

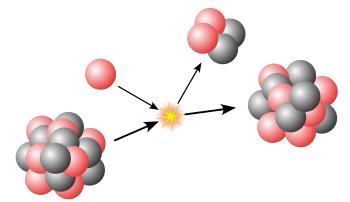
## Theory of Pion Exchange by H. Yukawa

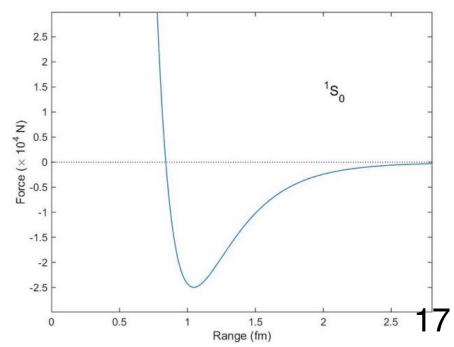






In 1934, proposed the meson exchange theory of nuclear forces  $\rightarrow$  predicted the existence of the  $\pi$  meson





#### The Search for Mesons





- ➤ In 1934, Yukawa predicted the meson mass
  - Strong nuclear force exists only within  $r_0 = 10^{-15} \, \mathrm{m}$
  - Using E=mc<sup>2</sup> and the principle of uncertainty

$$E = mc^{2}$$

$$color for the color for the c$$

too heavy to be directly obtained through natural radioactivity experiments



C. Powel



- > In 1936, Neddermeyer & Anderson observed cosmic rays from high mountains and discovered charged particles with mass of ~100 MeV, called μ meson (essentially not a meson)
- $\succ$  In 1947, Powell detected cosmic rays using a hot air balloon and discovered  $\pi$  meson with a mass of ~140 MeV 18

## **Fermi-Yang Model**

#### Are Mesons Elementary Particles?

E. FERMI AND C. N. YANG\*

Institute for Nuclear Studies, University of Chicago, Chicago, Illinois

(Received August 24, 1949)

The hypothesis that  $\pi$ -mesons may be composite particles formed by the association of a nucleon with an anti-nucleon is discussed. From an extremely crude discussion of the model it appears that such a meson would have in most respects properties similar to those of the meson of the Yukawa theory.



E. Fermi



C. N. Yang

 $\triangleright$  Five known particles: p, n,  $\pi^+$ ,  $\pi^0$ ,  $\pi^-$ 

$$\pi^+ = p + anti-n$$
 $\pi^- = n + anti-p$ 

Conservation of baryon number

Conservation of charge

Conservation of isospin

## Development of accelerator and detector technologies

Cloud chambers, bubble chambers play important roles



D. Glaser

Invented the bubble chamber in 1952, making significant contributions to the study of hadron spectroscopy

Nobel prize in 1960



L. W. Alvarez

Developed hydrogen bubble chamber technology and data analysis methods in 1958, leading to the discovery of numerous hadrons

Nobel prize in 1968



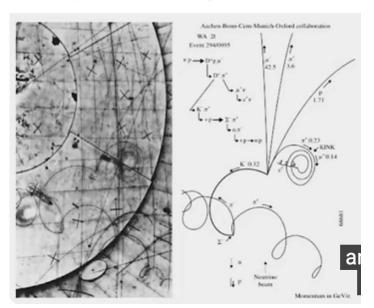
Discovering hadrons owes much to detector technology

#### Development of accelerator and detector technologies



Question 2: Why do strange particles appear

in pairs?



In 1954, Bevatron at LBNL (a billion-electron-volt accelerator) began operating, leading to the discovery of a large number of hadrons, including strange mesons and hyperons.

Question 1: Are these particles fundamental particles?

$$p^{+} + \pi^{-} \longrightarrow K^{0} + \Lambda^{0}$$
$$p^{+} + \pi^{-} \longrightarrow \Sigma^{-} + K^{+}$$

#### Sakata Model

 $\triangleright$  Discovery of Strange Particles:  $\Sigma^+$ ,  $\Sigma^0$ ,  $\Sigma^-$ ,  $\Lambda$ ,  $\Xi^0$ ,  $\Xi^-$ ,  $K^0$ ,  $K^+$ ,  $K^-$ , anti- $K^0$ 

A new quantum number – Strangeness  $\rightarrow$  p and n cannot form strange particles



In 1955, M. Sakata proposed considering p, n, and  $\Lambda$  particles as fundamental particles

Partially successful model



- Can explain the composition of K mesons  $K^+ = p + anti-\Lambda$
- Successfully predicted new particle  $\eta$
- Unable to explain the composition of hyperons Σ<sup>+</sup>、Σ<sup>0</sup>、Σ<sup>-</sup>

#### Classification of Hadrons



M. Gell-Mann

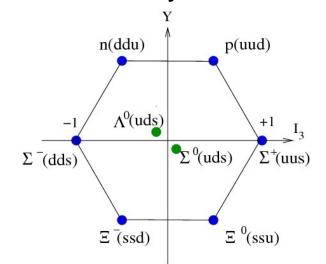
In 1960, Gell-Mann (also Y. Ne'eman and others) classified hadrons by combining various 'charges'

"Hypercharge": 
$$Y = B + S$$
baryon strangeness

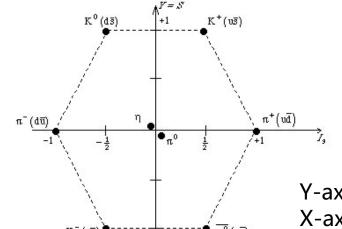
Electric charge: 
$$Q = I + Y/2$$
isospin hypercharge

proton: 
$$I = +\frac{1}{2}$$
,  $Y = (1 + 0)$ , so  $Q = (\frac{1}{2} + \frac{1}{2}) = +1$   
neutron:  $I = -\frac{1}{2}$ ,  $Y = (1 + 0)$ , so  $Q = (-\frac{1}{2} + \frac{1}{2}) = 0$ 

#### Octet of Baryons



#### Octet of Mesons



Y-axis: hypercharge X-axis: isospin

#### Create a periodic table for hadrons

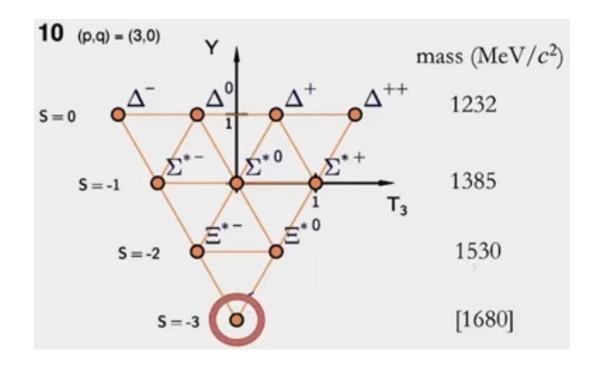
Decuplet of Baryons: Spin=3/2, fully flavor symmetric





M. Gell-Mann Received Nobel Prize in 1969

For classification of fundamental particles, rather than for quark model



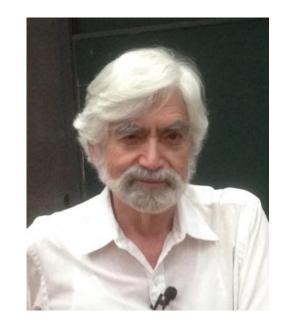
In 1962, predicted the existence of  $\Omega^{-}(sss)$ 





## **Quark Model**

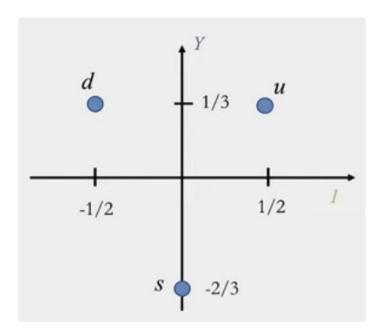




M. Gell-Mann

G. Zweig

In 1964, Gell-Mann and Zweig, based on symmetry, proposed that hadrons are composed of three constituent particles. Gell-Mann called them "Quarks" while Zweig referred to them as "Aces"



- > Three types of quarks: up (u), down (d), strange (s)
- > Mesons are composed of a quark and an antiquark
- > Baryons are composed of three quarks



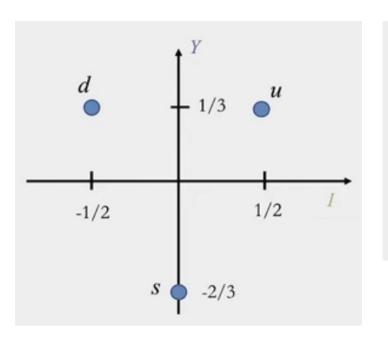
$$\pi^+$$
 (u $\overline{d}$ )  
K+ (u $\overline{s}$ )



p (uud) n (udd)

## Challenges faced by the quark model

① Quarks carry fractional electric charge

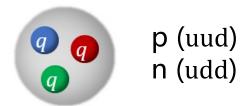


			Y		
u	1/3	0	1/3	1/2	+2/3
d	1/3	0	1/3	-1/2	-1/3
s	1/3	-1	-2/3	0	+2/3 -1/3 -1/3

"Hypercharge": Y = B + S

Electric charge: Q = I + Y/2

$$\pi^+ (u\overline{d})$$
 $K^+ (u\overline{s})$ 



② Some particles violate Pauli exclusion principle

Charge State	of $\Delta$ $I_3$	Final State
$\Delta^{++}$	$\frac{3}{2}$	$p\pi^+$
$\Delta^{+}$	$\frac{1}{2}$	$p\pi^0$
		$n\pi^+$
$\Delta^0$	$-\frac{1}{2}$	$p\pi^-$
		$n\pi^0$
$\Delta^{-}$	$-\frac{3}{2}$	$n\pi^-$

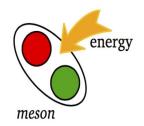
 $\Delta^{++}$ : Spin=3/2 , Isopsin I<sub>3</sub>=3/2 Composed of 3 u quarks all spins aligned upwards?

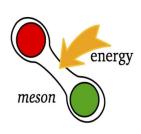
 $\Omega^-$  also face the same issue...

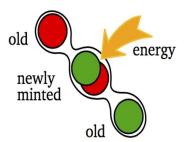
## Challenges faced by the quark model

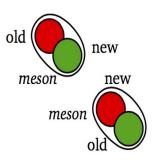
③ Free quarks have not been observed

④ Dynamical mechanism by which quarks form hadrons?







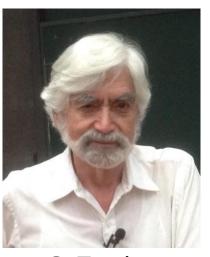




M. Gell-Mann

It is fun to speculate about the way quarks would behave if they were physical particles of finite mass (instead of purely mathematical entities). ... A search for stable quarks at the highest energy accelerators would help to reassure us of the non-existence of real quarks.

——A schematic model of baryons and mesons



G. Zweig

Zweig's paper was never accepted for publication!

#### **Protons have internal structure**



Otto Stern

1933, measured proton's magnetic moment, 2.5 times of the expected value

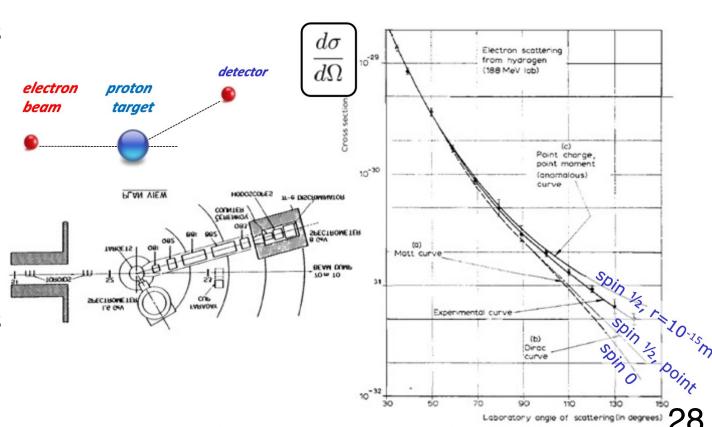
1943, Nobel prize in Physics



R. Hofstadter

1955, measured proton size through e-p scattering

1961, Nobel prize in Physics



## Microscope of high-energy physicists



Optical microscope Photon → Target material Observation scale: 10<sup>-7</sup> m



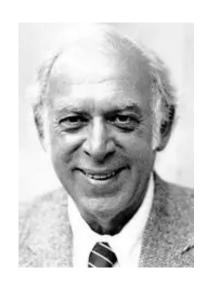
Electron microscope Electron → Target material Observation scale: 10<sup>-10</sup> m



Accelerator
High-energy particle → Target material
Observation scale: 10<sup>-15</sup> m

High-energy particles (photons, protons, electrons) serve as probes → Detectors act as the "eye" Observing the microstructure inside hadrons becomes possible!

#### **Nucleons have internal components**



J. Friedman



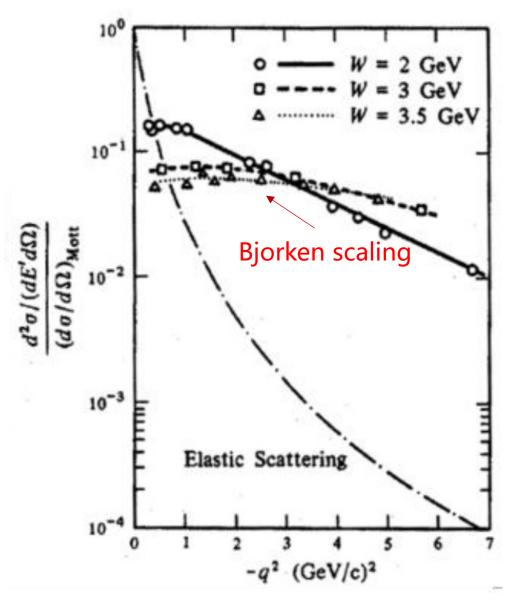
H. Kendall



R. Taylor

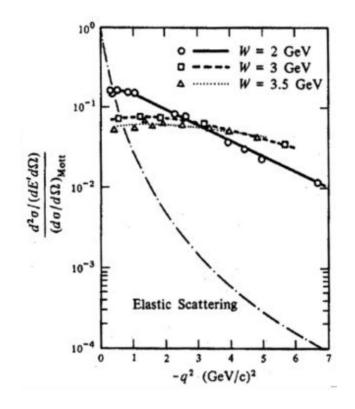
The 1969 deep inelastic e-p scattering experiments confirmed the existence of "point-like particle" inside nucleons

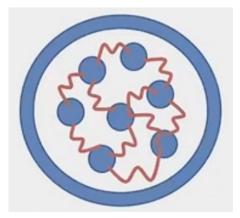
Nobel prize in 1990

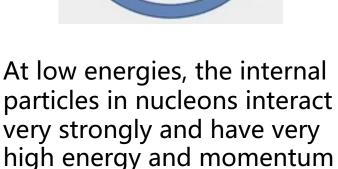


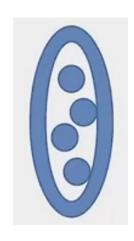
#### Introduction of the concept of partons in nucleons

R. Feynman and J. Bjorken explained the SLAC scattering experiment data using the concept of partons









In DIS, from the electron's perspective, nucleon moves at near-light speed, the partons inside appear almost stationary due to the time dilation effect

Strictly speaking, Gell-Mann's quarks correspond to the strongly interacting components in low-energy bound states, while Feynman's partons correspond to the nearly free internal particles during high-energy scattering

#### Introduction of color

Some particles Violate Pauli exclusion principle



In 1964, Greenberg proposed a new quantum number – color



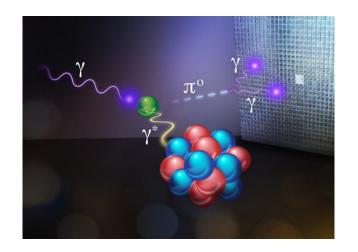


Composed of 3 identical quarks with all spins aligned upwards, but carrying different colors

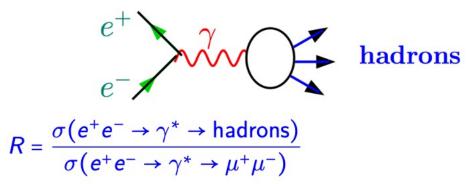
Neutral Pion Decay

first measured in 1963 @CERN

Decay rate of  $\pi^0 \rightarrow \gamma \gamma$  is  $9=3^2$  times larger than expected



Measurement of the R Value



$$R(s)^{\text{pert}} = N_c \sum_f Q_f^2 \frac{V_f}{2} (3 - V_f^2) \Theta(s - 4m_f^2) \times (1 + \alpha_s c_1 + \alpha_s^2 c_2 + \cdots)$$

 $N_c = 3$  provided direct exp. evidence for 3 colors 32

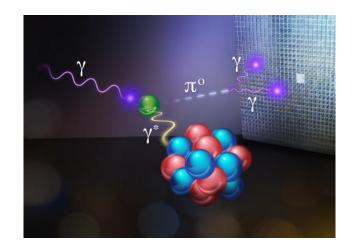
#### **Excercise**

Demonstrate that decay rate of  $\pi^0 \rightarrow \gamma \gamma$  is proportional to  $N_c^2$  while R ratio is proportional to  $N_c$ 

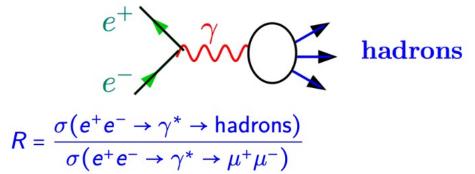
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#### **Vector Bosons Carrying Color - Gluons**

Three Triplet Model with Double SU(3) Symmetry

#3

M.Y. Han (Syracuse U.), Yoichiro Nambu (Chicago U., EFI) (1965)

Published in: Phys. Rev. 139 (1965) B1006-B1010

€ DOI

cite

司 claim

reference search

→ 1,249 citations



M. Y. Han

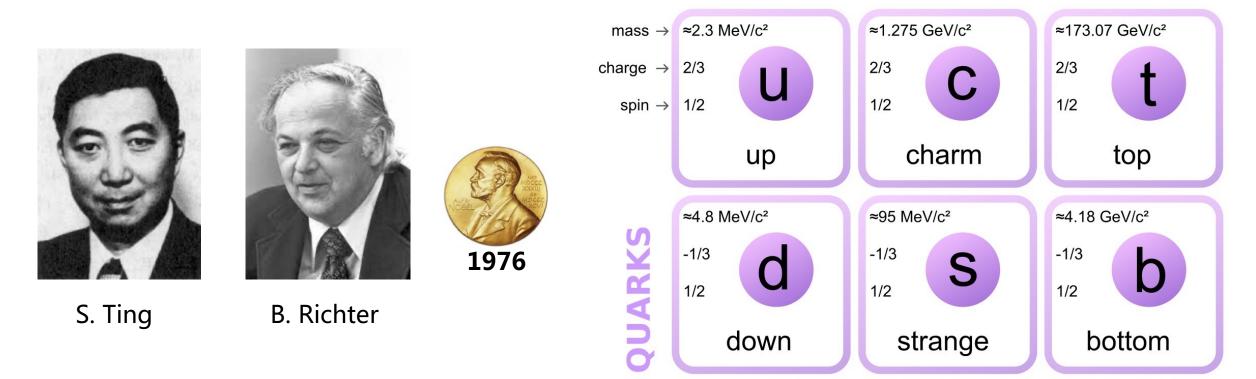


Y. Nambu

we introduce now eight gauge vector fields which behave as (1,8), namely as an octet in SU(3)'', but as singlets in SU(3)'. Since their coupling to the individual triplets is proportional to  $\lambda_i''$  [the generators of SU(3)''], the interaction energy arising from the exchange of these vector fields will yield the first and second terms of Eq. (27). If these mesons obey again a similar type of

## The discovery of heavy quarks

 $\triangleright$  In 1974, S. Ting and B. Richter discovered J/ $\psi$ , demonstrating the existence of the 4<sup>th</sup> quark - charm



- ➤ In 1977, L. Lederman discovered Y(9.5 GeV), composed of bottom & anti-bottom quarks
- $\triangleright$  In 1995, CDF and D0 experiment at Fermilab discovered the top quark, with m<sub>t</sub>=173 GeV

## Challenges faced by the quark model

Quarks carry fractional electric charge

Change in mindset

② Some particles Violate Pauli exclusion principle

Introduction of color

③ Free quarks have not been observed

#### Assume:

Particles carrying color charge are confined within hadrons. All hadronic states are color singlets

Color confinement

Strong interaction is very strong!

④ Dynamical mechanism by which quarks form hadrons?

# Arise of QCD

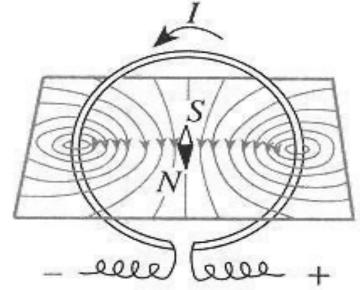
# Magnetic moment of a current-carrying coil

In 1820, Ampère's experiment





A. Ampère 1775-1836



$$I = e/T \qquad T = 2\pi R/v$$

$$S = \pi R^{2}$$

$$\mu = IS = \frac{1}{2}eRv$$

Size of orbital angular momentum

$$L = mRv$$

 Relationship between magnetic moment and angular momentum:

$$\mu_L = g \frac{e}{2m} L$$
  $g = 1$  is Landé g-factor

## Magnetic moment of a charged gyroscope

Imagine a charged gyroscope rotating to form a ring of circular electric current



The faster the gyroscope spins

The more charge it carries



The stronger the circular electric current

Magnetic moment of a rotating charged body is directly proportional to its charge and angular velocity

angular velocity = rotation angular momentum + mass

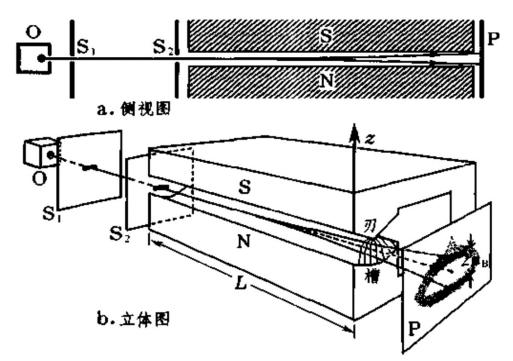


finally Magnetic moment is proportional to charge × spin + mass

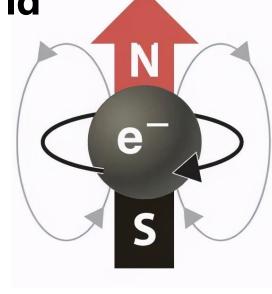
The spin magnetic moment in the quantum world

In addition to the classical magnetic moment produced by orbital motion, microscopic particles also carry an intrinsic magnetic moment

Stern-Gerlach experiment



The proposal of spin



Without any movement, an electron itself is also a small magnet

- Orbital angular momentum L should be an integer
- The silver atom beam should split into 2L+1 lines
- Splitting into two lines means L=1/2

In 1925, Uhlenbeck & Goudsmit proposed the spin with a quantum number of 1/2

Silver atoms deflect in a magnetic field, with magnetic moment mainly contributed by electron spin

# Dirac's theory predicts the spin magnetic moment

Current-carrying coil

Relationship between magnetic moment and angular momentum:  $\mu_L = g \frac{e}{2m} L$ 

$$\mu_L = g \frac{e}{2m} L$$
  $g =$ 

Electron spin

Relationship between magnetic moment and spin:

$$\mu_{s} = g \frac{e}{2m} s$$

In the classical world, there are no answers to this questions



In 1928, Paul Dirac proposed the relativistic quantum mechanical equation describing the motion of electrons - the Dirac equation





$$g = 2$$

# Landé g-factor of various particles

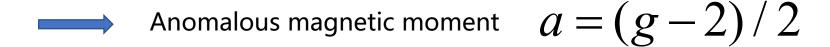
CODATA provides experimental measurements of g factors

Electron 
$$g = 2.002$$
 319 304 362 56(35)  
Muon  $g = 2.002$  331 8418(13)

Proton 
$$g = 5.585 694 6893(16)$$

Neutron 
$$g = -3.826 \ 085 \ 45(90)$$

g factors of four spin-1/2 particles are not strictly equal to 2



Why is there such a big difference in the anomalous magnetic moments (e,  $\mu$  vs p, n)?

## When relativity meets quantum mechanics

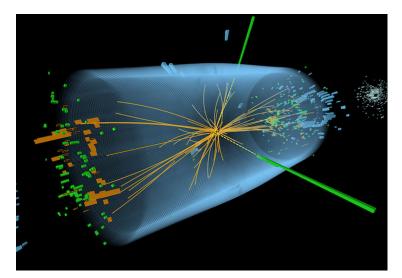


Approaching the speed of light

→ relativistic effects E=mc<sup>2</sup>



Reaching atomic scales  $\rightarrow$  quantum effects  $E=\hbar\omega$ 



- Characteristics of elementary particles:
- Simultaneously at the microscopic scale and moving at high speeds
- There will be particle creation and annihilation



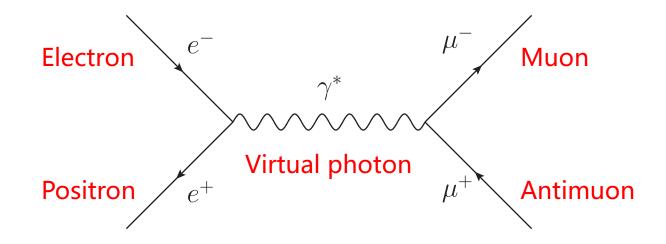
Described using quantum field theory

# Feynman diagrams - visual representation of QFT

Electron-positron collision producing muon and antimuon pairs



R. Feynman



The production rate of muon pairs is governed by the fine-structure constant, which describes the strength of electromagnetic interactions

$$\alpha = \frac{e^2}{4\pi} \approx \frac{1}{137}$$

# Quantum fluctuations: the creation and annihilation of particles

> Heisenberg Uncertainty Principle

$$\Delta x \, \Delta p \ge \hbar/2$$

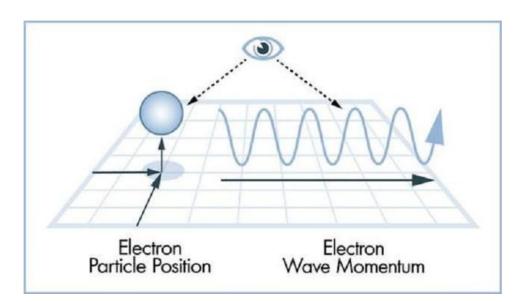
Energy can fluctuate significantly over short periods of time

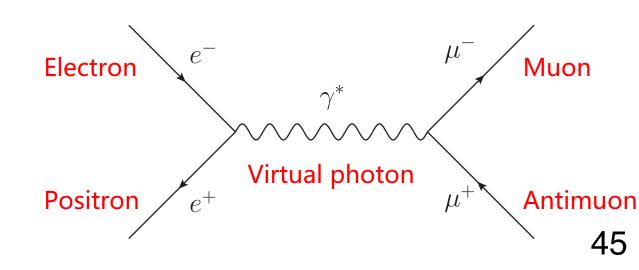
Special Theory of Relativity

$$E = mc^2$$

Energy and mass can be converted into each other

When large energy converts into mass, new particles are born



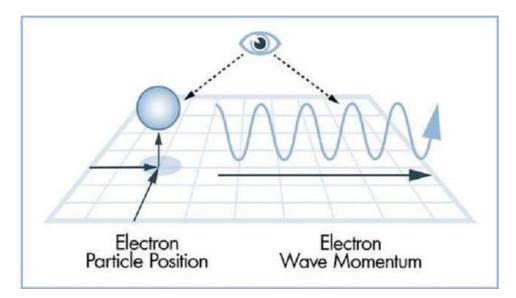


# Quantum fluctuations: the creation and annihilation of particles

> Heisenberg Uncertainty Principle

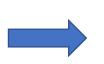
$$\Delta x \, \Delta p \ge \hbar/2$$

Energy can fluctuate significantly over short periods of time

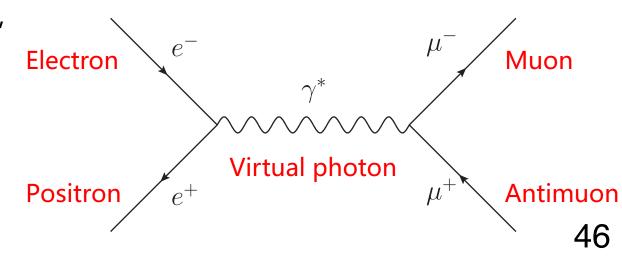


> Does quantum fluctuation violate conservation of energy?

If new particles are created using high energy, but the energy is returned within the shortest time dictated by the uncertainty principle, there will be no violation of the conservation of energy law observed

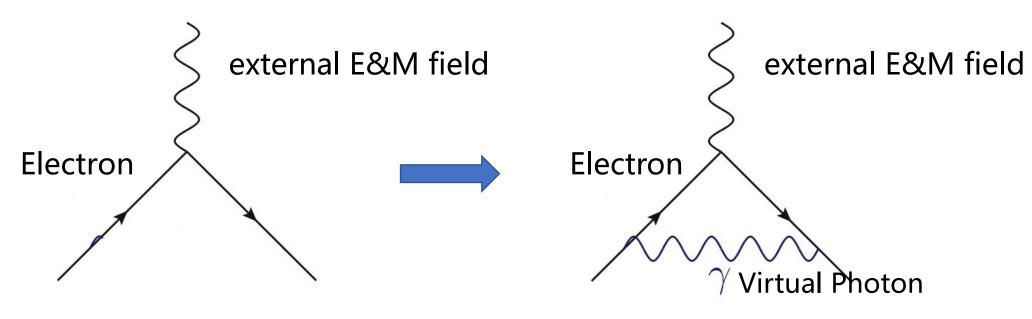


Particles produced in this manner are called virtual particles



# Quantum fluctuations can cause changes in the electron g-2

Electron in an external E&M field



Tree diagram O(1)

$$g = 2$$

One-loop diagram  $O(\alpha)$ 

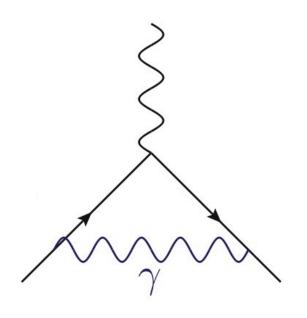
$$a = (g-2)/2$$

## Schwinger's contribution

The leading-order calculation of the electron's anomalous magnetic moment was first provided by Julian Schwinger in 1948



$$a_e \approx \frac{\alpha}{2\pi} = 0.001 \ 161 \ 4$$

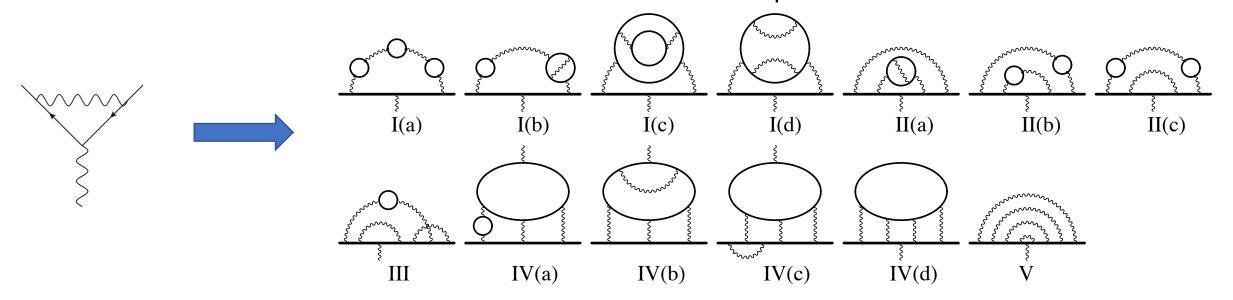




## Quantum fluctuations can be very complex

There can also be fluctuations within fluctuations

Virtual particles themselves can generate other virtual particles



Theoretical calculation of lepton's anomalous magnetic moment has reached  $O(\alpha^5)$ 

PRL **109,** 111808 (2012)

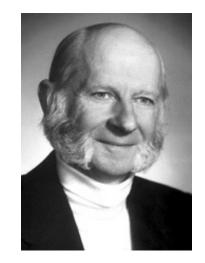
PHYSICAL REVIEW LETTERS

week ending 14 SEPTEMBER 2012

#### Complete Tenth-Order QED Contribution to the Muon g-2

## **Experimental measurement**

Dehmelt drew inspiration from Penning's designed magnetron and invented ion trap technology, naming it the Penning ion trap

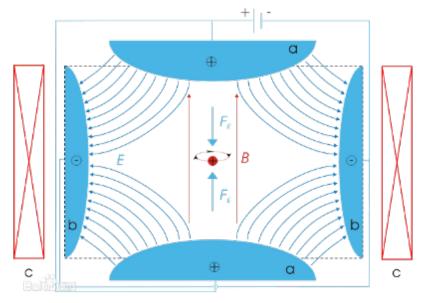


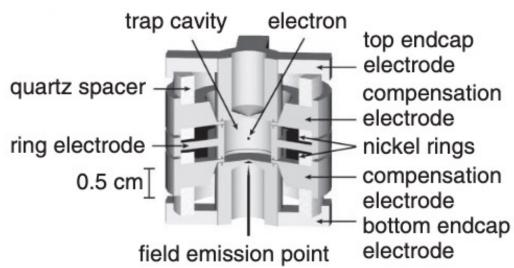
H. Dehmelt



In 1987, using this technology, the electron's magnetic moment was precisely measured

In 2011, Harvard University utilized Penning ion trap technology to measure the electron g-2 with extremely high precision





# The electron g-2 laid foundation for QED

 $a_{o} = 1 \ 159 \ 652 \ 181.61(23) \times 10^{-12}$ Theory

Experiment  $a_{\rho} = 1 \ 159 \ 652 \ 181.28(18) \times 10^{-12}$ 



S. Tomonaga J. Schwinger





R. Feynman

For establishing Quantum Electrodynamics, received the Nobel Prize in Physics in 1965

#### From U(1) to SU(N)

QED describes E&M interactions and exhibits U(1) gauge symmetry



E&M interactions → Strong interactions

One electric charge → Three colors

 $U(1) \rightarrow SU(3)$ 



C. Yang



R. Mills

- > Greenberg proposed the concept of color in 1964
- > Yang & Mills proposed Yang-Mills theory in 1954
- Standard Model is constructed based on gauge theory

 $SU(3) \otimes SU(2) \otimes U(1)$ 

# The dilemmas of Yang-Mills theory

- ➤ Just as the photon in U(1) gauge theory is massless, Yang-Mills theory also predicts massless gauge bosons
- Physicists do not know how to calculate

Known: photon, graviton, neutrino

Feynman accepted the challenge



W. Pauli

Pauli was continuously pestering Yang, asking about the mass of the non-Abelian gauge bosons, knowing that they were massless and, therefore, a killer of the theory



R. Feynmar

To make the theory self-consistent, it is necessary to introduce additional ghost fields

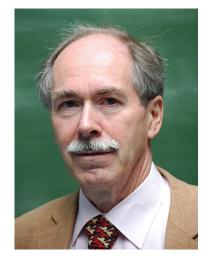
# **Quantum Field Theory - Turning Point**

> In 1967, Faddeev and Popov completed the quantization of the Yang-Mills theory





> In the same year, 't Hooft proved the renormalizability of Yang-Mills theory





- Many loop diagrams yield results with infinite values ultraviolet divergences
- If by introducing a finite number of counterterms into the Lagrangian, one can resolve the divergence issues in arbitrary loop diagrams, then the theory is said to be renormalizable

# **Quantum Field Theory - Breakthrough**

> In 1971, K. G. Wilson proposed the theory of renormalization group





- Concerned with the low-energy, long-range properties of physical systems
- Integrating out high-frequency degrees of freedom → renormalizes the parameters in the Lagrangian, causing the coupling parameters to run with the energy scale
- ➤ In 1973, H. Fritzsch, M. Gell-Mann, H. Leutywyler, reconsidered the color charge and the Yang-Mills theory based on experimental guidance

A theory describing strong interactions, established based on color gauge symmetry



Named as Quantum Chromodynamics (QCD)

Maxwell's equations for E&M fields

$$\vec{\nabla} \cdot \vec{E} = 4\pi\rho \qquad \qquad \text{Gauss's law for electricity} \qquad \qquad 4\text{D covariant form} \\ \vec{\nabla} \cdot \vec{B} = 0 \qquad \qquad \text{Gauss's law for magnetism} \\ \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \qquad \text{Faraday's law of induction} \qquad \qquad \vec{F}_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}$$

$$ec{ riangledown} imes ec{B} = 4\pi ec{j} + rac{\partial ec{E}}{\partial t}$$
 Maxwell-Ampère law

 $F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}$ 

$$\partial^{\mu} F_{\mu\nu} = 0$$

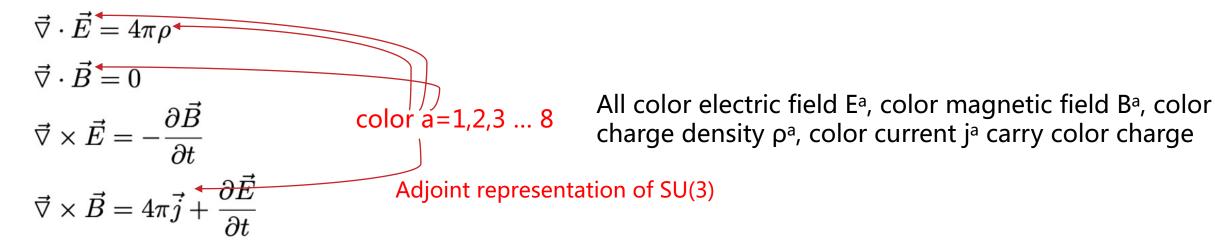
Starting from equations of motion for E&M field, deduce the Lagrangian

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} = -\frac{1}{2}(\partial_{\mu}A_{\nu}\partial^{\mu}A^{\nu} - \partial_{\nu}A_{\mu}\partial^{\mu}A^{\nu})$$

Easy to verify that the Euler-Lagrange equations are equivalent to the Maxwell's equations

$$\partial_{\nu} \left( \frac{\partial \mathcal{L}}{\partial (\partial_{\nu} A_{\mu})} \right) - \frac{\partial \mathcal{L}}{\partial A_{\mu}} = 0 \qquad \Rightarrow \quad \partial_{\nu} F^{\mu\nu} = 0$$

> Equations for non-Abelian gauge fields



Quark field ψ

$$(iD_{\mu}\gamma^{\mu}-m)\psi=0,\quad \rho^{a}=\psi^{\dagger}t^{a}\psi,\quad \vec{J}^{a}=\bar{\psi}\vec{\gamma}t^{a}\psi$$

> The equations of motion are a rephrasing of Maxwell's equations, but none of them are laws derived from experiments

The Symmetry group of vector and axial vector currents

Murray Gell-Mann (Caltech) (May, 1964)

Published in: Physics Physique Fizika 1 (1964) 63-75

☐ pdf ② DOI ☐ cite ☐ claim ☐ reference search → 342 citations

We start with the simple Lagrangian model of quarks discussed in ref. 11. There is a triplet t of fermion fields corresponding to three spin 1/2 quarks: the isotopic doublet u and d, with charges 2/3 and -1/3 respectively, and the isotopic singlet s, with charge -1/3. A neutral vector meson field  $B_{\alpha}$  is introduced, too. The Lagrangian is simply

$$-\overline{t} \gamma_{\alpha} \partial_{\alpha} t - \mathcal{L}_{B} - i F B_{\alpha} \overline{t} \gamma_{\alpha} t$$



Now the interesting question has been raised lately whether we should regard the gluons as well as the quarks as being non–singlets with respect to color<sup>5)</sup>. For example, they could form a color octet of neutral vector fields obeying the Yang–Mills equations. (We



## QCD makes a triumphant return

In 1973, Gross, Politzer, and Wilczek discovered that non-Abelian gauge theory exhibits asymptotic freedom







low  $Q^2$  cont. (N<sup>3</sup>LO) DIS iets (NLO) 0.3 Heavy Quarkonia (NLO) e<sup>+</sup>e<sup>-</sup> jets/shapes (NNLO+res) | <del>\*\*</del> pp/pp (jets NLO) 0.25 EW precision fit  $(N^3LO)$ pp (top, NNLO)  $\alpha_s(Q^2)$ 0.2 0.15 0.1  $\equiv \alpha_s(M_7^2) = 0.1179 \pm 0.0010$ 0.05 100 1000 10 Q [GeV]

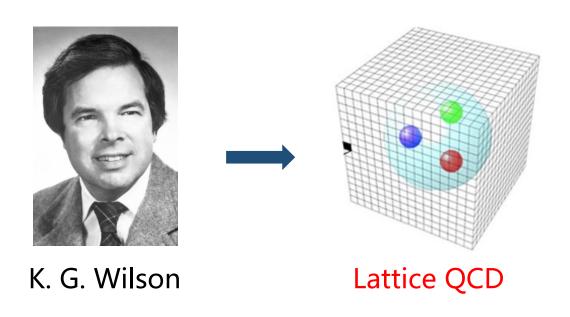
 $\tau$  decay (N<sup>3</sup>LO)

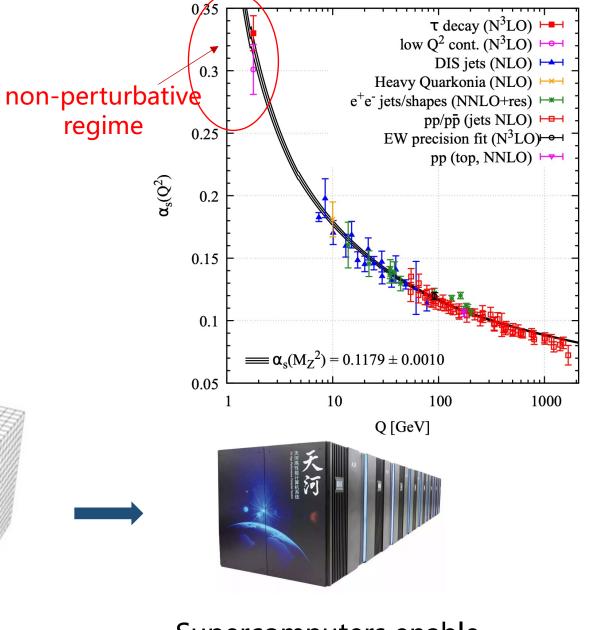
Gross (left), Politzer (Middle), Wilczek (right) awarded Nobel Prize in Physics in 2004

#### **Establishment of Lattice QCD**

In 1974, K. G. Wilson established lattice gauge theory to study quark confinement

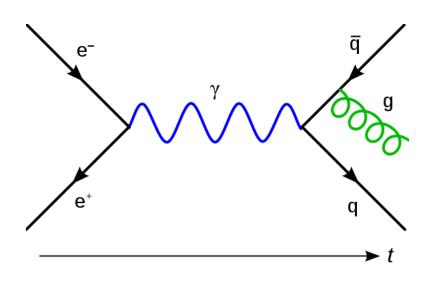
Allow precise calculations in the nonperturbative regime from first principles



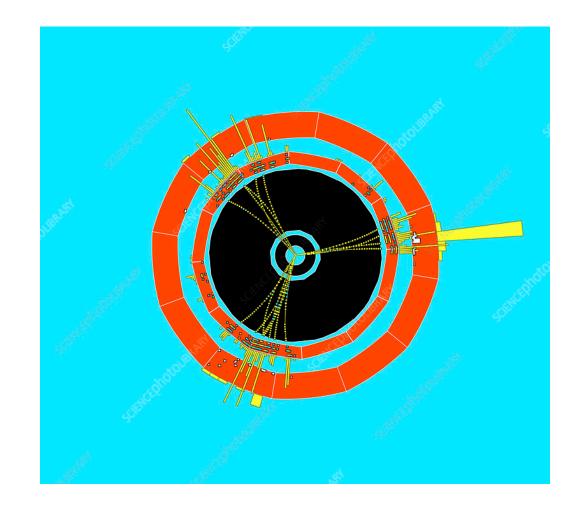


Supercomputers enable precise calculations

# Three-jet events - "seeing" gluons



In 1979, three-jet events were discovered at the PETRA electron-positron collider at DESY



# Running coupling constant

#### Fields and harmonic oscillators

➤ Using harmonic oscillators to describe fields can be traced back to 1925-1926







M. Born

W. Heisenberg P. Jordan

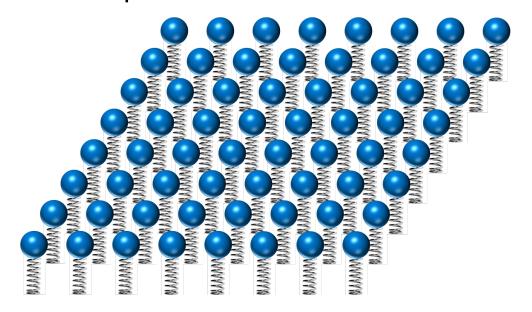
Using quantum harmonic oscillators to handle the canonical quantization of E&M field

Considering a harmonic oscillator that moves only in the vertical direction



$$L = rac{1}{2}m\dot{q}^2 - rac{1}{2}kq^2$$

➤ Field with infinite degrees of freedom
 → Harmonic oscillator at every point in space



Each ball's height  $q_i$  is represented by a single number  $\rightarrow$  scalar field

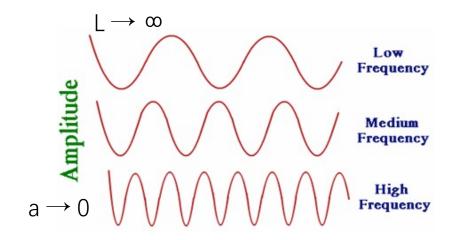
$$L = rac{1}{2} \sum_{i} m \dot{q}_{i}^{2} - rac{1}{2} \sum_{i} k q_{i}^{2}$$

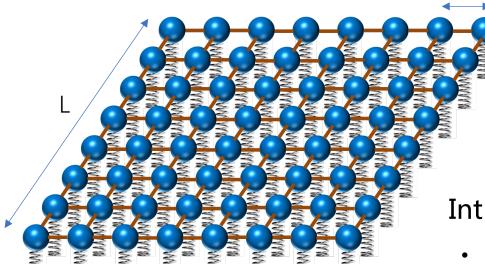
#### Fields and harmonic oscillators

Considering that oscillators are not independent of each other — mattress configuration

Introducing interactions between oscillators

$$V(q_1, q_2, \dots, q_N) = \frac{1}{2} \sum_{ij} k_{ij} (q_i - q_j)^2 + \dots$$





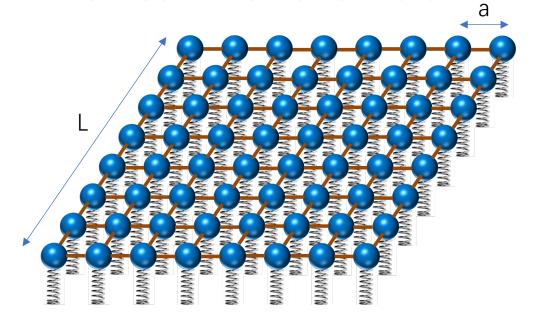
Giving the field a disturbance

- → Pressing down on the mattress releases a wave
- → When quantized, this is understood as a particle propagating

Introducing higher-order terms of  $q_i$ 

- Eigenmodes can couple; a wavepacket can potentially split into two
- Wave packets meeting can scatter, potentially creating additional wave packets
- Consistent with particle creation and annihilation behavior

#### The action of the field



$$V(q_1, q_2, \cdots, q_N) = \frac{1}{2} \sum_{ij} k_{ij} (q_i - q_j)^2 + \cdots$$

Assuming interest only in phenomena where the scale is much larger than the lattice spacing a

Take continuum limit:  $a \rightarrow 0$ 

$$i \to \vec{x}$$

$$q_i(t) \to q(t, \vec{x}) \to \phi(t, \vec{x}) \to \phi(x)$$

• Kinetic term 
$$\sum_i rac{1}{2} m \dot{q}_i^2$$

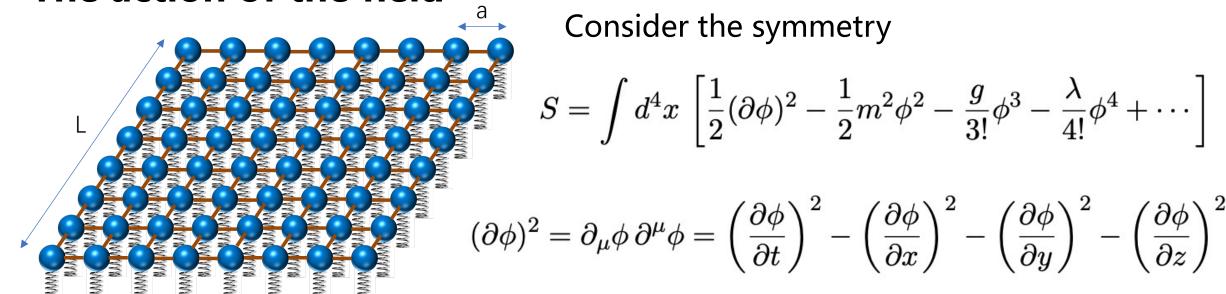
• Kinetic term 
$$\sum_i \frac{1}{2} m \dot{q}_i^2$$
 • Potential term  $V(q_1,q_2,\cdots,q_N) = \frac{1}{2} \sum_{ij} k_{ij} (q_i-q_j)^2 + \cdots$ 

$$\sum_i \to \frac{1}{a^2} \int d^2 \vec{x}$$

$$\sum_{i} \frac{1}{2} m \dot{q}_{i}^{2} \rightarrow \int d^{2} \vec{x} \, \frac{1}{2} \sigma \left( \frac{\partial \phi}{\partial t} \right)^{2}$$

$$(q_i - q_j)^2 = a^2 \left(\frac{\partial \phi}{\partial x}\right)^2 + \cdots$$

#### The action of the field



$$i \to \vec{x}$$
 
$$q_i(t) \to q(t, \vec{x}) \to \phi(t, \vec{x}) \to \phi(x)$$

Quantum mechanics is equivalent to (0+1)-dimensional quantum field theory

# The simplest scalar field

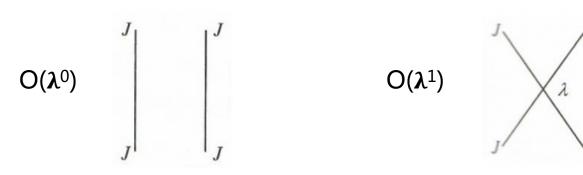
> Free scalar field (very similar to a harmonic oscillator)

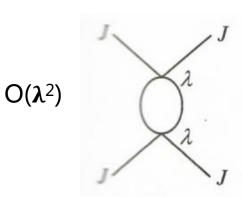
$$\mathcal{L}_0 = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2$$

> Introducing interaction terms

$$\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_1 \quad o \quad \phi^4$$
 Theory:  $\mathcal{L}_1(\phi) = -rac{\lambda}{4!}\phi^4$ 

> 2→2 scalar particle scattering



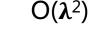


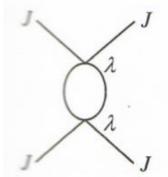
# Ultraviolet divergence and regularization

#### > The origin of divergence

2→2 scalar particle scattering,  $O(\lambda 2)$  amplitude

$$\mathcal{M} = \frac{1}{2} (-i\lambda)^2 \int \frac{d^4k}{(2\pi)^4} \, \frac{i}{k^2 - m^2 + i\epsilon} \frac{i}{(P-k)^2 - m^2 + i\epsilon}$$





Logarithmic divergent! Divergence arises from high-momentum region, named UV divergence

#### > The nature of divergence

- Fourier transform tells us that information in the high-energy momentum range corresponds to shortrange, small-scale regions in position space
- Heisenberg Uncertainty Principle tells us that extremely high energies correspond to very small resolutions. Low-energy, long waves typically pass around objects without directly probing their microscopic structures.
- Difficulty of UV divergence actually arises because we assume that QFT system is continuous in spacetime. When we switch to momentum-energy space, momentum and energy can tend towards infinity.

# Is the world truly infinitely divisible?

Ancient Eastern civilizations



A rod one foot long, cut in half each day, will never be exhausted even after ten thousand generations

> The perspective of modern experiments:

Ancient Western civilizations



Democritus' "Atomism": Atom is derived from the Greek word "Atomos," meaning uncuttable

- Microscopes have a certain resolution; it is impossible to create a microscope that can resolve infinitely small scales
- Colliders also have an upper energy limit; it is impossible to build a collider with infinitely high energy.

# Is the world truly infinitely divisible?

Ancient Eastern civilizations



A rod one foot long, cut in half each day, will never be exhausted even after ten thousand generations

Ancient Western civilizations



Democritus' "Atomism": Atom is derived from the Greek word "Atomos," meaning uncuttable

- > We must acknowledge that we know little about the ultraviolet region
- · Assuming that unknown regions are described by continuous spacetime quantum field theory is not necessarily correct
- Our current theory should be an effective theory only in the low-energy, experimentally detectable regime

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# How to deal with the ultraviolet region?

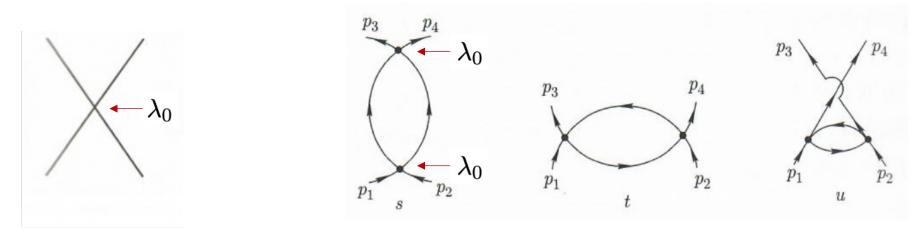
- $\triangleright$  Introducing UV cutoff  $\Lambda$ , remove our ignorance
  - Momentum cutoff: ensuring that all momentum values are smaller than a certain value
  - Lattice regularization: introducing a non-zero lattice spacing to discretize spacetime for the fields
  - Pauli-Villars method:  $\int \frac{d^4k}{(2\pi)^4} \frac{1}{(k^2-m^2+i\epsilon)^2} \frac{1}{(k^2-\Lambda^2+i\epsilon)^2}$
  - Dimensional regularization:  $\int d^D k \sim \int k^{D-1} dk$

If D=4 integral is divergent , then let  $D=4-\epsilon$  , the integral become convergent

Explanation:  $\int d^D k \sim \sum_{\vec k}$  As the dimension increases, more high-momentum modes accumulate;  $D=4\to 4-\epsilon$  suppresses contributions from high momentum

- > Once regularization is introduced, the theory becomes an effective theory
  - Physics above the cutoff energy scale cannot be directly described by the existing theory
  - But UV region should have an impact on our physical observations, how is it manifested?
    - In an effective theory, all parameters (including coupling constants, masses, etc.) should depend on the cutoff energy scale
    - The contributions cut off from the ultraviolet region should be compensated for by bare parameters

# **Returning to scalar particle 2→2 scattering**



> Introducing kinematic variables (Mandelstam variables) based on different diagrams

$$s = (p_1 + p_2)^2$$
,  $t = (p_1 - p_3)^2$ ,  $u = (p_1 - p_4)^2$ 

- s, t, u are functions of the scattering center-of-mass energy and scattering angles
- > Introducing Pauli-Villars cutoff, the loop integrals are rendered finite

$$\mathcal{M} = -i\lambda_0 - iC\lambda_0^2 \left[\lnrac{\Lambda^2}{s} + \lnrac{\Lambda^2}{t} + \lnrac{\Lambda^2}{u}
ight] + \mathcal{O}(\lambda_0^3)$$

Obviously,  $\lambda_0$  depends on the cutoff energy scale

Question: What is the use of this scattering amplitude expression?

#### Theory meets experiments

- ➤ Find an experimental physicist to conduct 2→2 scattering experiments
  - In experiments, specific scattering energies and scattering angles are chosen, corresponding to Mandelstam variable

$${s,t,u} = {s_0,t_0,u_0}$$

- Experimentally measured differential scattering cross-sections can be used to infer the scattering amplitude  $\mathcal{M}_{\mathrm{exp}}$
- It is equivalent to experimentally measuring the physical coupling constants for the 2 $\rightarrow$ 2 process  $\mathcal{M}_{\rm exp}=-i\lambda_P$
- > Theoretical and experimental agreement yields

$$-i\lambda_P = -i\lambda_0 - iC\lambda_0^2 \left[\lnrac{\Lambda^2}{s_0} + \lnrac{\Lambda^2}{t_0} + \lnrac{\Lambda^2}{u_0}
ight] + \mathcal{O}(\lambda_0^3)$$

 $\triangleright$   $\lambda_0$  is the bare, unphysical quantity; expressing the scattering amplitude in terms of physical coupling constants would be perfect!

## Theory meets experiments

Expressing the scattering amplitude in terms of physical coupling constants

$$-i\lambda_P = -i\lambda_0 - iC\lambda_0^2 \left[ \ln \frac{\Lambda^2}{s_0} + \ln \frac{\Lambda^2}{t_0} + \ln \frac{\Lambda^2}{u_0} \right] + \mathcal{O}(\lambda_0^3)$$



$$\lambda_0 = \lambda_P - C\lambda_0^2 \left[ \ln \frac{\Lambda^2}{s_0} + \ln \frac{\Lambda^2}{t_0} + \ln \frac{\Lambda^2}{u_0} \right] + \mathcal{O}(\lambda_0^3) = \lambda_P - C\lambda_P^2 \left[ \ln \frac{\Lambda^2}{s_0} + \ln \frac{\Lambda^2}{t_0} + \ln \frac{\Lambda^2}{u_0} \right] + \mathcal{O}(\lambda_P^3)$$

Substitute the expression for the scattering amplitude 
$$\mathcal{M} = -i\lambda_0 - iC\lambda_0^2 \left[\ln\frac{\Lambda^2}{s} + \ln\frac{\Lambda^2}{t} + \ln\frac{\Lambda^2}{u}\right] + \mathcal{O}(\lambda_0^3)$$
$$= -i\lambda_P - iC\lambda_P^2 \left[\ln\frac{s_0}{s} + \ln\frac{t_0}{t} + \ln\frac{u_0}{u}\right] + \mathcal{O}(\lambda_P^3)$$

- The dependence of physical quantities  $\,{\cal M}\,$  on the cutoff  $\Lambda$  disappears
- Physical coupling constants  $\lambda_P$  depend on energy scale  $s_0, t_0, u_0$ . For simplicity, let  $s_0, t_0, u_0 = \mu^2$  $\mu \frac{d\lambda_P}{d\mu} = -6C\lambda_P^2 + \mathcal{O}(\lambda_P^3)$

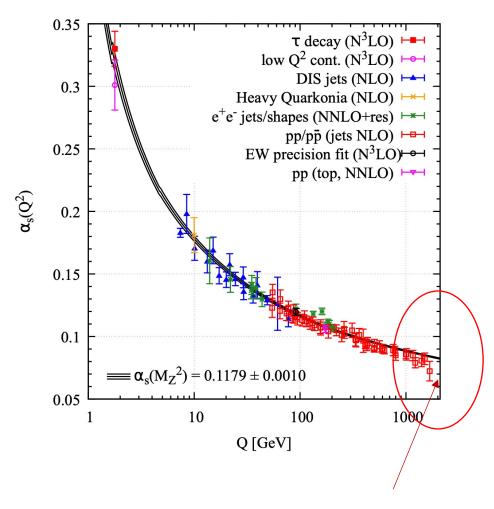
# **QCD** coupling constant running

Near  $\alpha_s$ =0 ultraviolet fixed point

$$\alpha_s(Q^2) = \frac{4\pi}{\beta_0 \ln Q^2 / \Lambda_{\rm QCD}^2}$$

$$\beta_0 = 11 - \frac{2N_f}{3} > 0$$

 $\Lambda_{\rm QCD} \approx 300~{
m MeV}~$  is the non-perturbative hadronic scale



Ultraviolet regime