



ALICE



U.S. DEPARTMENT OF
ENERGY

Office of Science

Recent results and prospects of UPC and diffraction physics at ALICE

Daniel Tapia Takaki

798. WE-Heraeus-seminar

Bad Honnef, October 25, 2023



KU THE UNIVERSITY OF
KANSAS

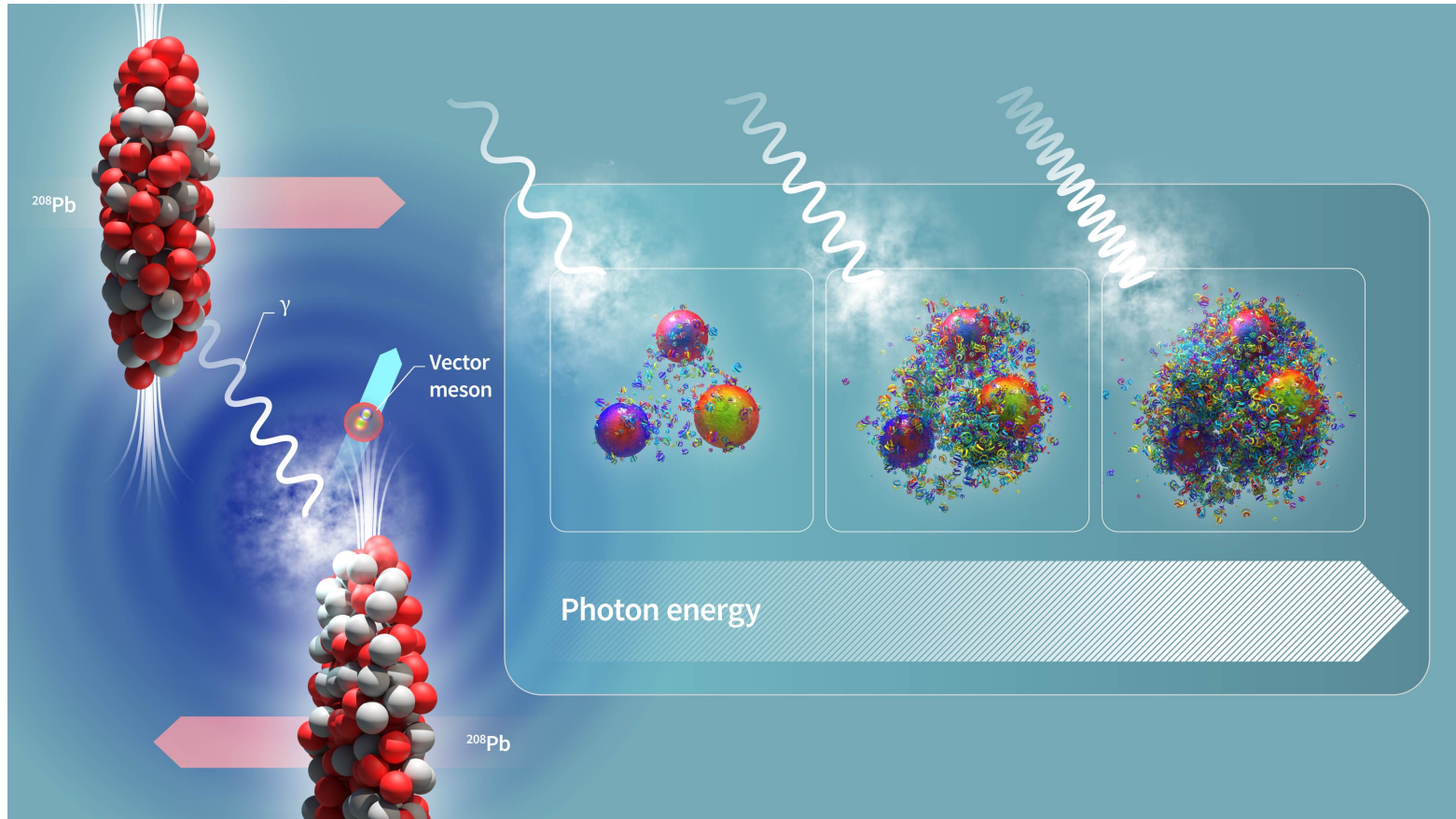
ALICE publications using Run 2 data

- Coherent J/ψ photoproduction at forward rapidity in ultra-peripheral Pb–Pb collisions at $\sqrt{s} = 5.02$ TeV
Phys. Lett. B 798 (2019) 134926
- Coherent photoproduction of ρ^0 vector mesons in ultra-peripheral Pb–Pb collisions at $\sqrt{s} = 5.02$ TeV
JHEP 06 (2020) 035
- Coherent J/ψ and ψ' photoproduction at midrapidity in ultra-peripheral Pb–Pb collisions at $\sqrt{s} = 5.02$ TeV
Eur. Phys. J. C 81 (2021) 712
- First measurement of coherent ρ^0 vector mesons in ultra-peripheral Xe–Xe collisions at $\sqrt{s} = 5.44$ TeV
Phys. Lett B 820 (2021) 136481
- First measurement of the $|t|$ dependence of coherent J/ψ photonuclear production
PLB 817 (2021) 136280
- Neutron emission in ultraperipheral Pb–Pb collisions at $\sqrt{s} = 5.02$ TeV
Phys. Rev. C 107 (2023) 6, 064902 -- published on June 5

ALICE UPC analyses recently submitted for publication

- **Energy dependence of coherent photonuclear production of J/ψ mesons in ultra-peripheral Pb-Pb collisions at 5.02 TeV**
<https://arxiv.org/abs/2305.19060>
[https://doi.org/10.1007/JHEP10\(2023\)119](https://doi.org/10.1007/JHEP10(2023)119)
Published by JHEP, October 20
- **Exclusive and dissociative J/ψ photoproduction, and exclusive dimuon production, in p-Pb collisions at 8.16 TeV**
<https://arxiv.org/abs/2304.12403>
Accepted for publication by PRD
- **First measurement of the $|t|$ -dependence of incoherent J/ψ photonuclear production**
<https://arxiv.org/abs/2305.06169>
Submitted to PRL
- **First polarisation measurement of coherently photoproduced J/ψ in ultra-peripheral Pb-Pb collisions at 5.02 TeV**
<https://arxiv.org/abs/2304.10928>
Submitted to PLB

Ultra peripheral collisions (UPC)



RHIC and LHC as Photon Colliders

- **Ultra Peripheral Collisions (UPC)** can explore a wide range of energies using almost real photons

$$k = \gamma M_V \exp(\pm, y)$$

Up to several TeV in γp

Up to ~ 700 GeV/nucleon in γA

Up to ~ 150 GeV in $\gamma\gamma$ using UPC PbPb,

~ 4 TeV in $\gamma\gamma$ using UPC pp

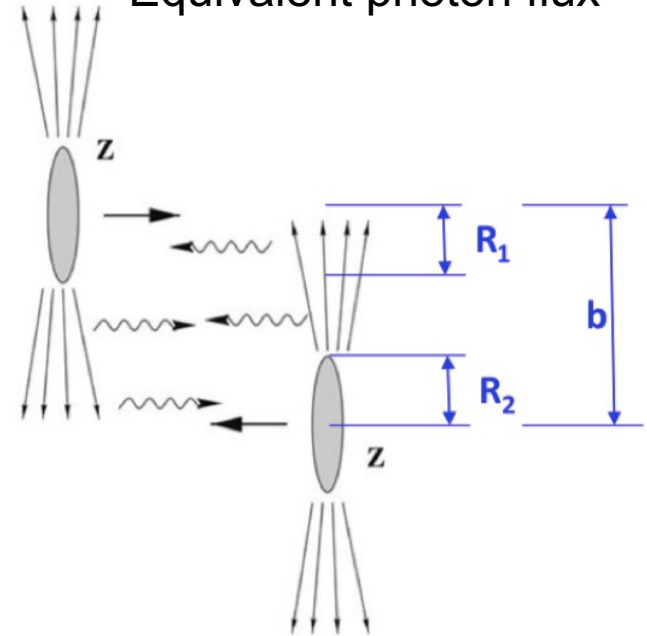
- UPCs at the LHC probe the hadronic structure over a broad and unique Bjoren x region, yet the precision not compatible to DIS machines like the EIC

$$x = M_V/\gamma m_p \exp(\pm, y)$$

See talk by V. Guzey
and O. Villalbos Baillie

Interactions mediated by the EM interactions

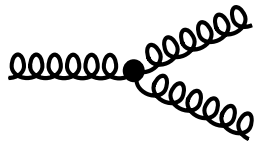
Equivalent photon flux



Gluon saturation

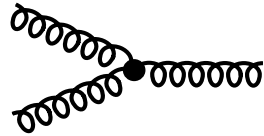
At high energies, or for heavy nuclei at lower energies, gluon saturation is predicted

gluon
emission



=

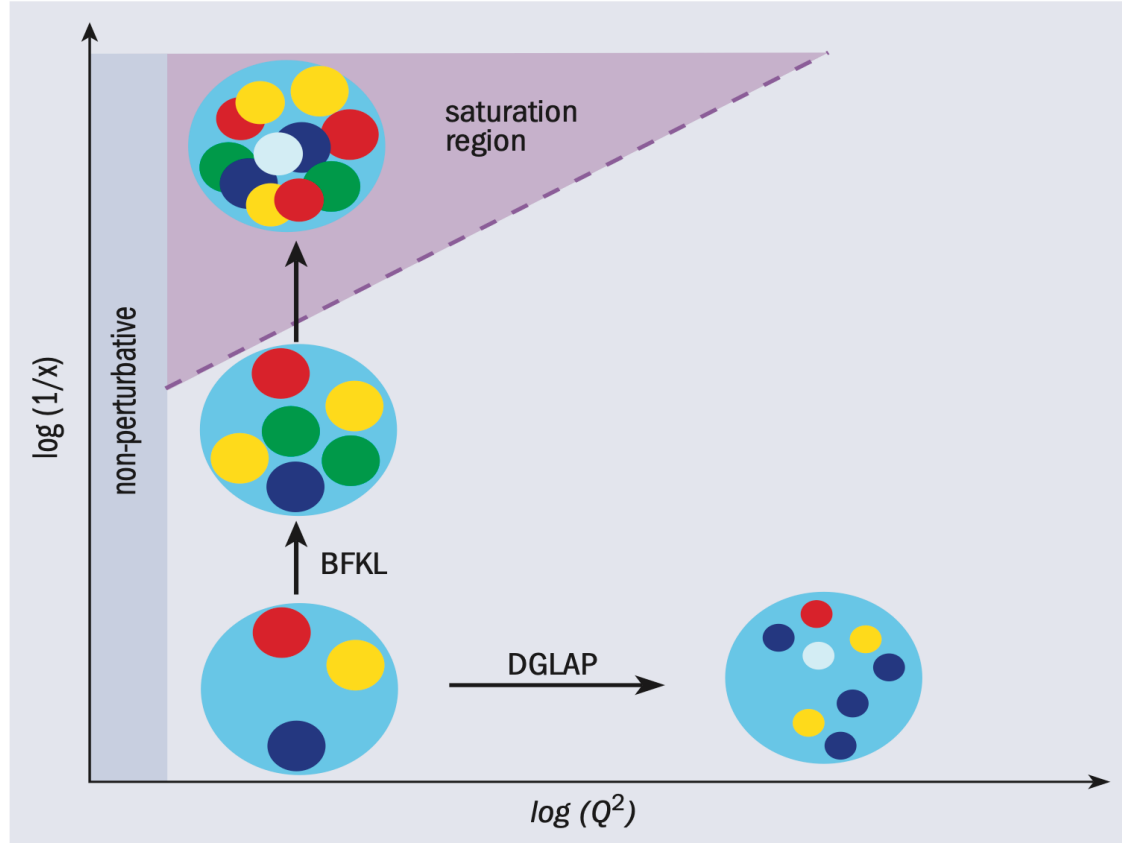
gluon recombination



Dynamical equilibrium of
gluon saturation state reached

- Non-linear QCD evolution equations introduced, but how is gluon saturation triggered?
- Can we determine experimentally the saturation scale (Q_S)?
- Is there a state of matter formed by gluon saturated matter with universal properties?

Evolution of the hadronic structure with Bjorken- x and Q^2

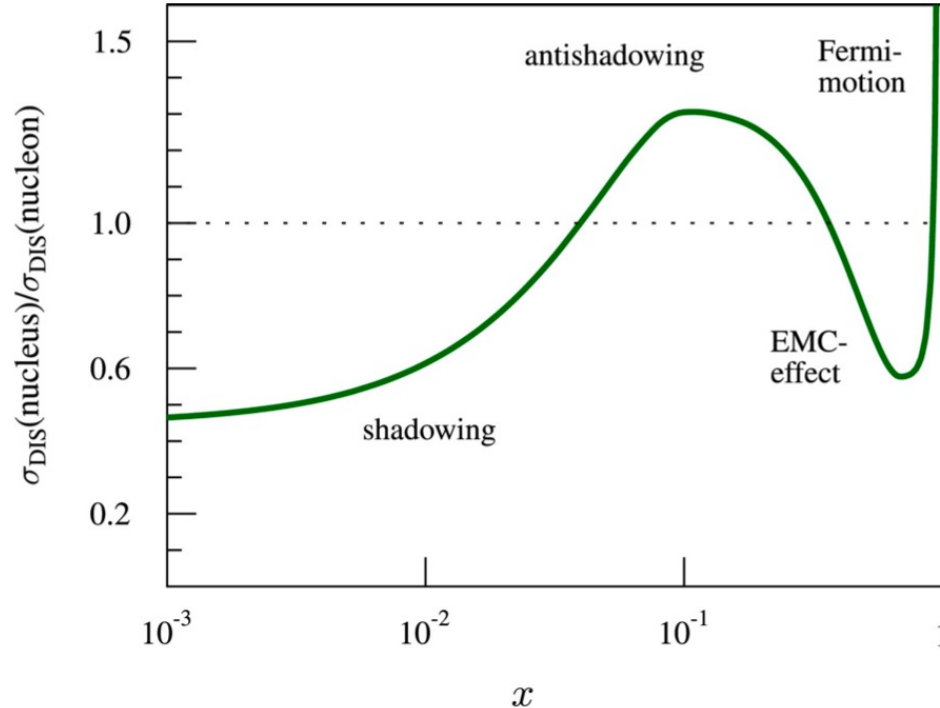


- Experimental observables needed to map out the transition between the dilute and saturation regimes
- For nuclei, the saturation scale is enhanced by a $A^{1/3}$ factor

$$(Q_s^A)^2 \approx cQ_0^2 \left[\frac{A}{x} \right]^{1/3}$$

Nuclear shadowing experimentally confirmed, but not fully understood

$$R = \frac{f_{i/A}}{A f_{i/p}} \approx \frac{\text{measured}}{\text{expected if no nuclear effects}}$$



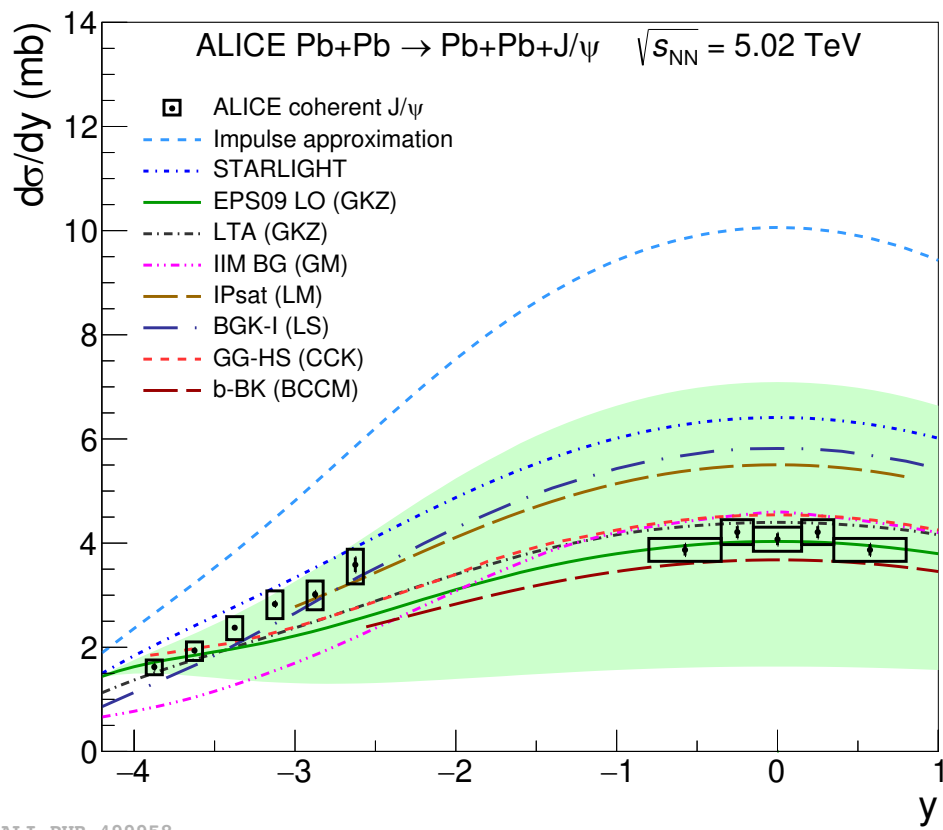
- Experimental observation that parton distributions are different for protons and nuclei
- What's the mechanism responsible for shadowing? How is gluon saturation related?
- The knowledge of the initial state of nuclei also needed for understanding the QGP evolution

- Confirmation of nuclear shadowing with Run 2 data
- No model can describe the rapidity dependence

$$W_{\gamma p}^2 = 2E_p M_{J/\psi} e^{\pm y}$$

Mid-rapidity $x \sim 10^{-3}$

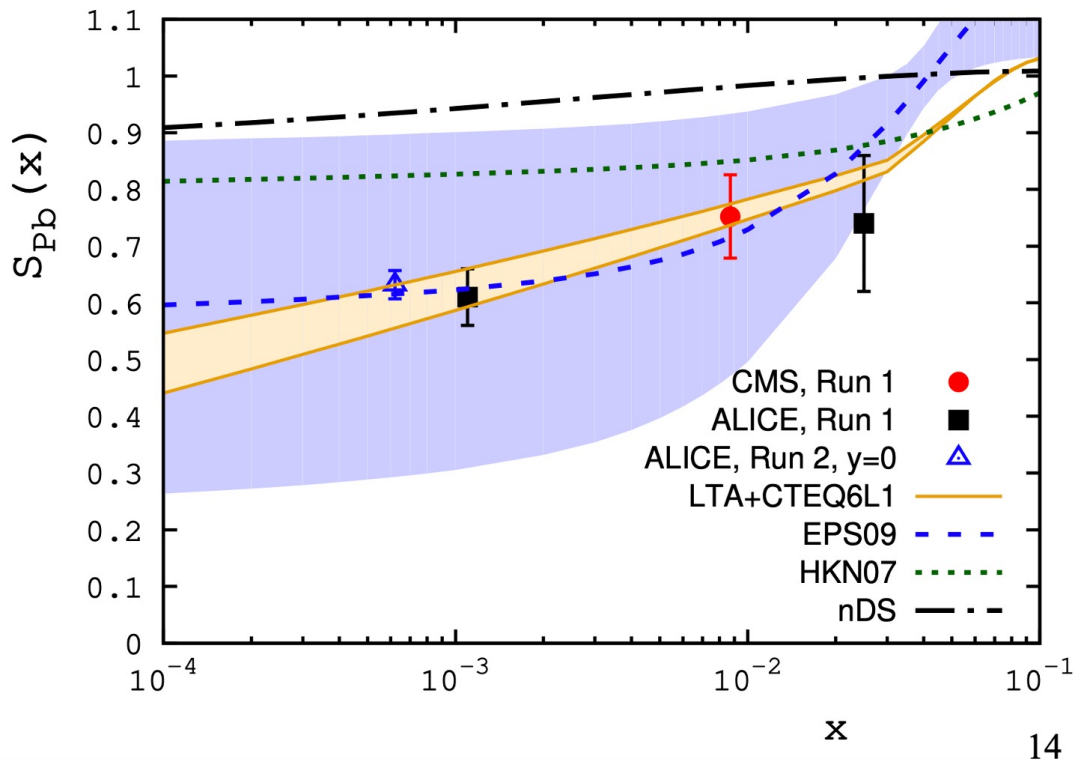
Forward rapidity 95% at $x \sim 10^{-2}$
5% at $x \sim 10^{-5}$



ALI-PUB-499958

Nuclear suppression factor for UPC J/ψ : Comparing γPb to γp

V. Guzey et al. PLB 726 (2013)



An experimental definition, which can be linked to PDFs at LO

$$S_{Pb}(x) = \sqrt{\frac{\sigma_{\gamma A \rightarrow J/\psi A}(W_{\gamma p})}{\sigma_{\gamma A \rightarrow J/\psi A}^{\text{IA}}(W_{\gamma p})}} = \kappa_{A/N} \frac{x g_A(x, \mu^2)}{A x g_N(x, \mu^2)}$$

Run 1 data from ALICE was the first at indicating nuclear gluon shadowing at $x \sim 10^{-3}$

Large scale NLO uncertainties should cancel in the $S_{Pb}(x)$ ratio

ALICE results at $y=0$ have no ambiguity on the photon energy

Two-fold ambiguity on the photon direction in symmetric systems

$$W_{\gamma p}^2 = 2E_p M_{J/\psi} e^{\pm y}$$

Symmetric systems (pp, A-A) suffer from the two-fold ambiguity on the photon direction

$$\frac{d\sigma}{dy} = \overset{\text{Positive rapidity}}{n(+y)\sigma(\gamma p, +y)} + \overset{\text{Negative rapidity}}{n(-y)\sigma(\gamma p, -y)}$$

Analyses of UPC asymmetric systems (p-Pb) provide a model independent way to study the energy dependence of $\sigma(\gamma p)$

Neutron-dependence of coherent J/ψ in UPC Pb-Pb

The photon flux (n) depends on the impact parameter

Decomposed in terms of neutron configurations emitted in the forward region

$$\frac{d\sigma}{dy} = \frac{d\sigma(0n0n)}{dy} + 2\frac{d\sigma(0nXn)}{dy} + \frac{d\sigma(XnXn)}{dy}$$

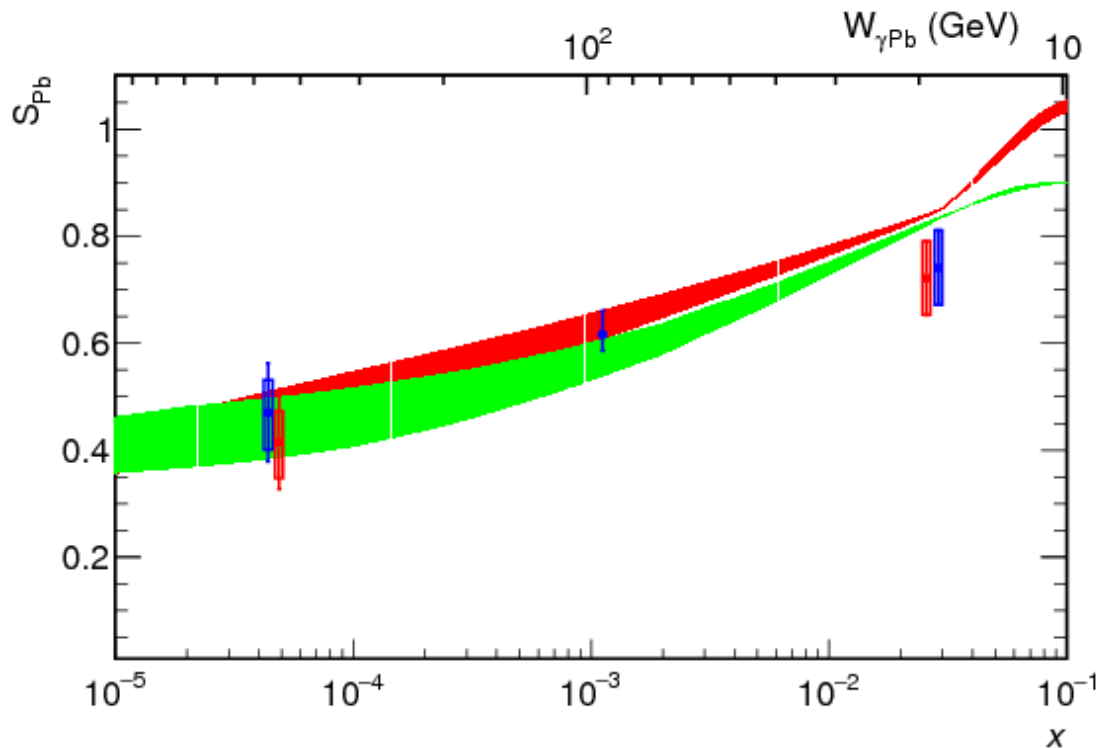
Solving the linear equations resolves the two-fold ambiguity for VMs at $y \neq 0$

$$\frac{d\sigma}{dy} = \overset{\text{Positive rapidity}}{n(+y)\sigma(\gamma p, +y)} + \overset{\text{Negative rapidity}}{n(-y)\sigma(\gamma p, -y)}$$

Guzey, Strikman, Zhalov, EPJC 74 (2014) 7, 2942

Nuclear suppression factor for peripheral (not UPC) J/ ψ

J.G. Contreras, *Phys. Rev. C* 96 (2017) 1, 015203



Run 1 data from ALICE observed Coherent-like J/ ψ from peripheral hadronic PbPb events. Process later confirmed by STAR

The photon flux depends on the impact parameter, these peripheral J/ ψ explore γ P energies beyond coherent J/ ψ at the same y interval at the same cms energy

Sensitivity to $x \sim 10^{-5}$

Energy dependence of coherent J/ ψ in γ Pb – ALICE Run 1 and Run 2 data

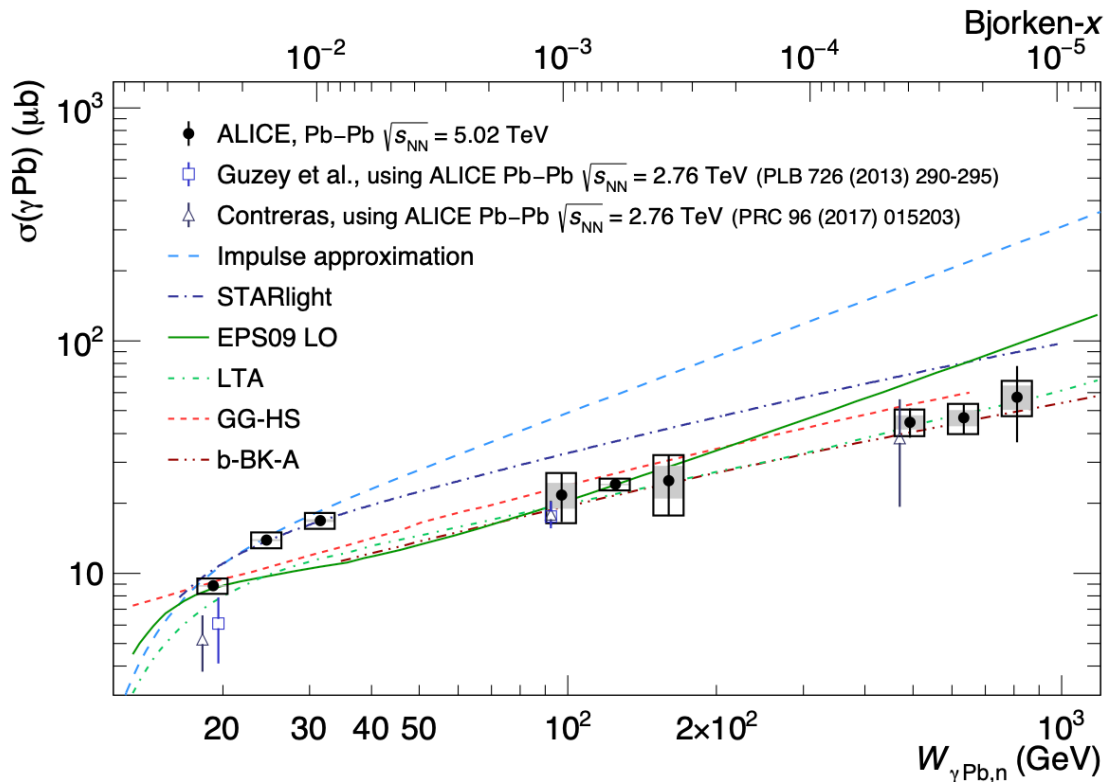
[JHEP10\(2023\)119](#) – Published last week

Confirmed Run 1 results.
At low x , both shadowing
and saturation models
describe the data

Energy dependence
across the whole range
not described by models

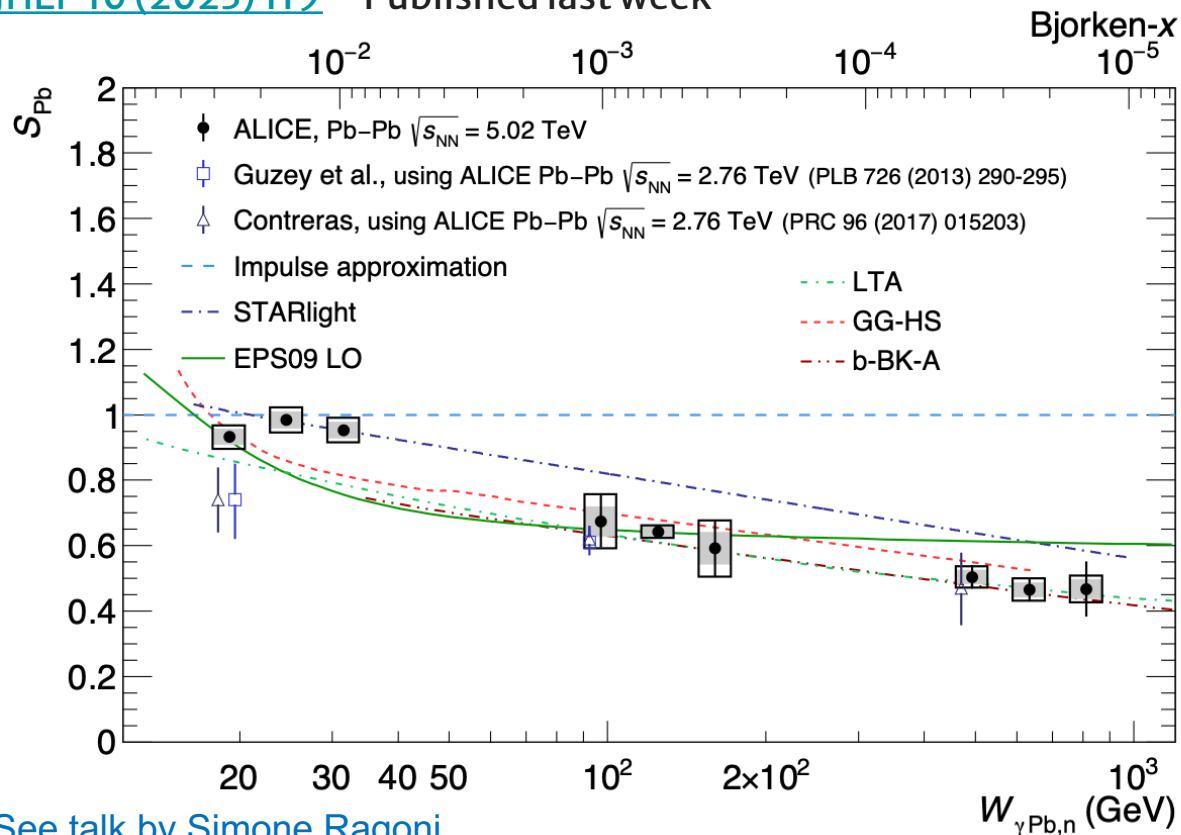
In a single experiment
exploring (20,800) GeV
in $W_{\gamma\text{Pb}}$ and x from 10^{-2}
to 10^{-5}

See talk by Simone Ragoni



Nuclear suppression factor – ALICE Run 1 and Run 2 data

[JHEP 10 \(2023\) 119](#) – Published last week



At low x , both shadowing and saturation models describe the data

[Confirmation that peripheral hadronic events can be used to extract the energy dependence.](#) Already explored down to $x = 4.4 \times 10^{-5}$ using Run 1 data

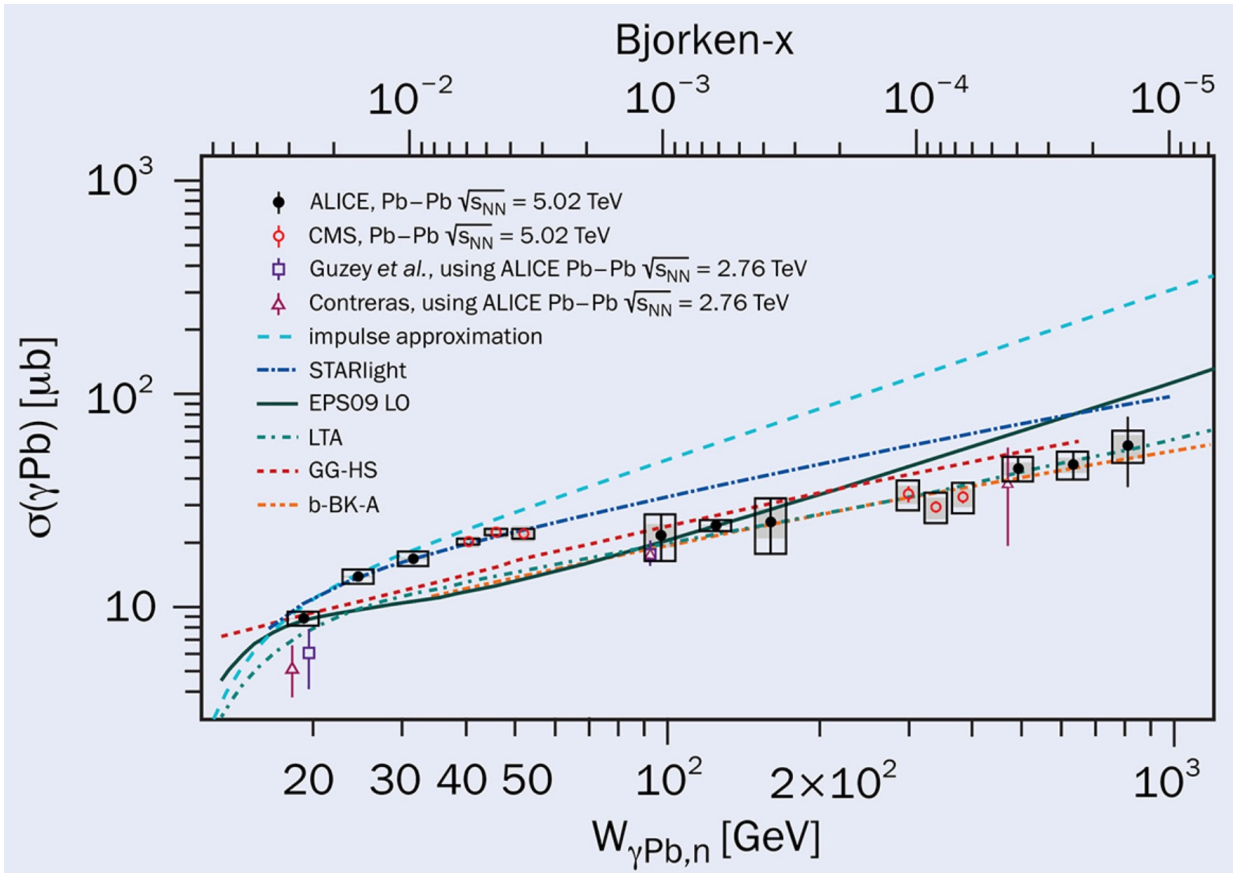
With the neutron-dependent analysis using Run 2 data, down to $x = 1.1 \times 10^{-5}$, Run 2

See talk by Simone Ragoni

Energy dependence of coherent J/ψ in γ Pb

[JHEP 10 \(2023\) 119](#)

Published last week

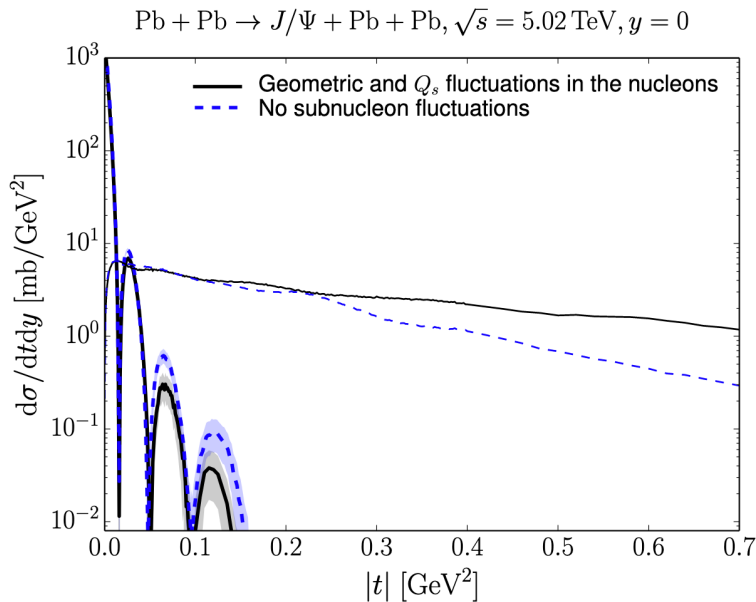


Both gluon saturation and shadowing describe the data at high energies

At low energies the data cannot be described by these models

Dissociative/incoherent J/ψ in γp

H. Mantysaari and B. Schenke,
Phys. Lett. B772 (2017) 832

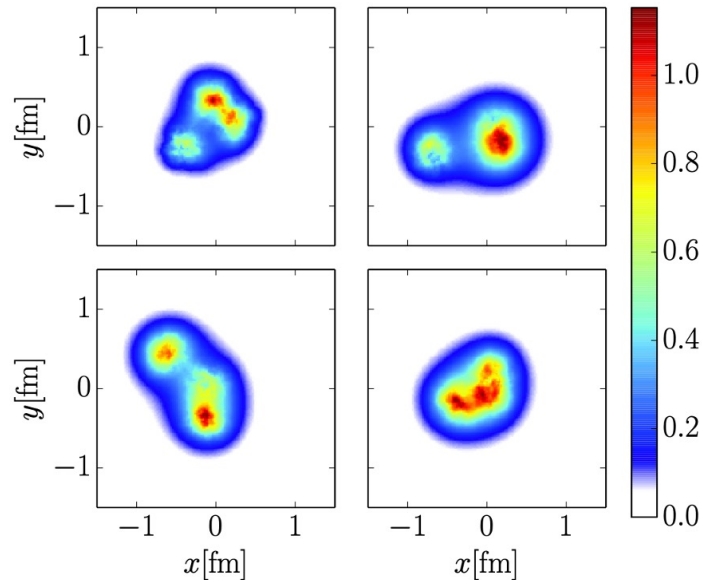


In the Good-Walker approach,
sensitive to subnucleonic
fluctuations of the gluon density

$$\frac{d\sigma(\gamma p \rightarrow J/\psi Y)}{dt} = \frac{R_g^2}{16\pi} \left(\left\langle \left| A(x, Q^2, \vec{\Delta}) \right|^2 \right\rangle - \left| \langle A(x, Q^2, \vec{\Delta}) \rangle \right|^2 \right)$$

J. Cepilia, J.G. Contreras and DTT
Phys. Lett. B 766 (2017) 186-191

Event-by-event fluctuations



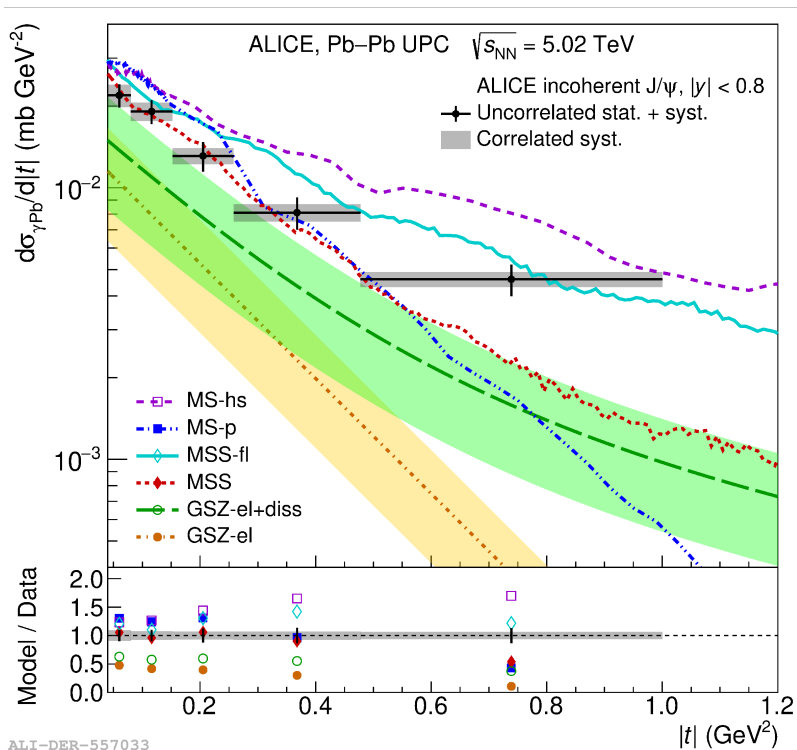
Mantysaari and Schenke, PRRD 94, 034042 (2016)
S. Klein arXiv:2301.014018

t-dependence of coherent and incoherent J/ψ in UPC PbPb

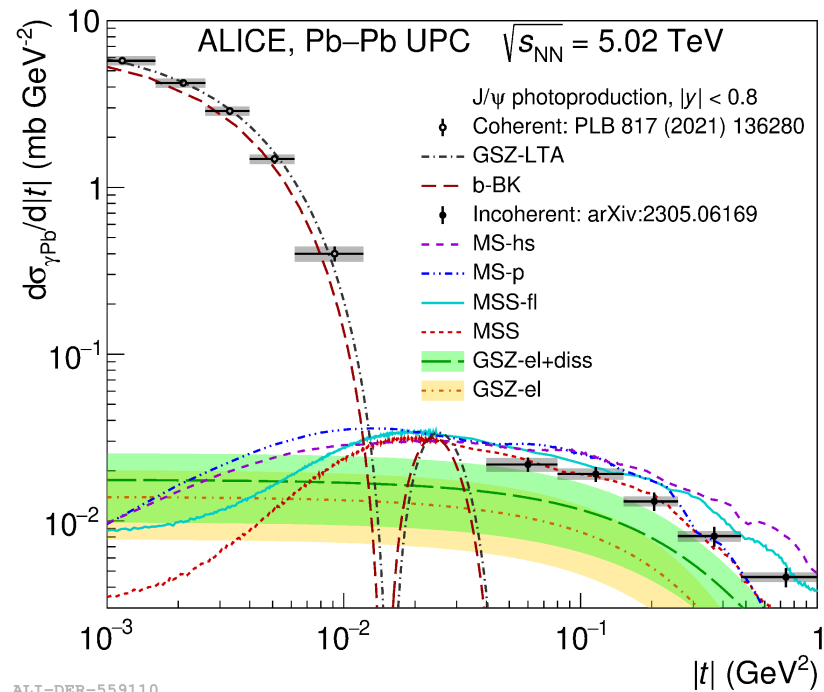
First measurement of the $|t|$ -dependence of incoherent J/ψ photonuclear production

<https://arxiv.org/abs/2305.06169>

Probing for gluonic "hot spots" in Pb using UPCs for the first time!



ALI-DER-557033



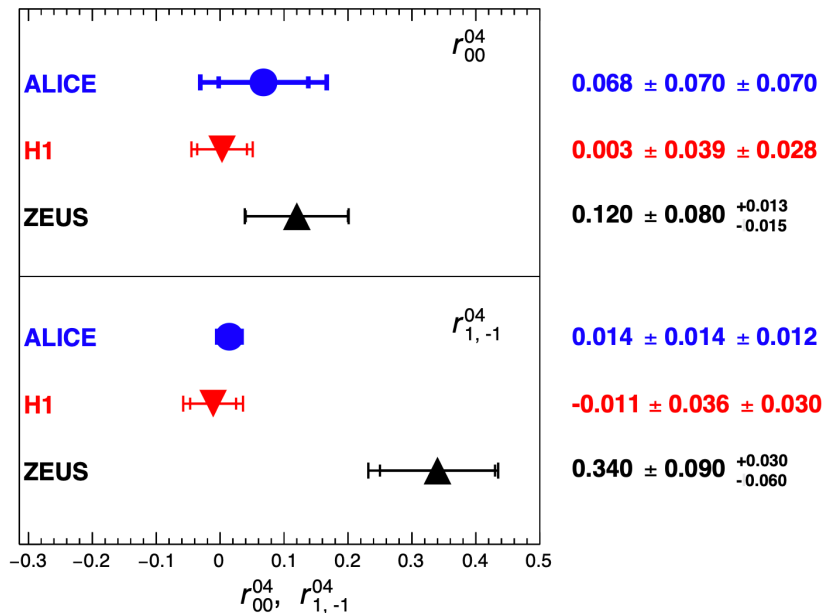
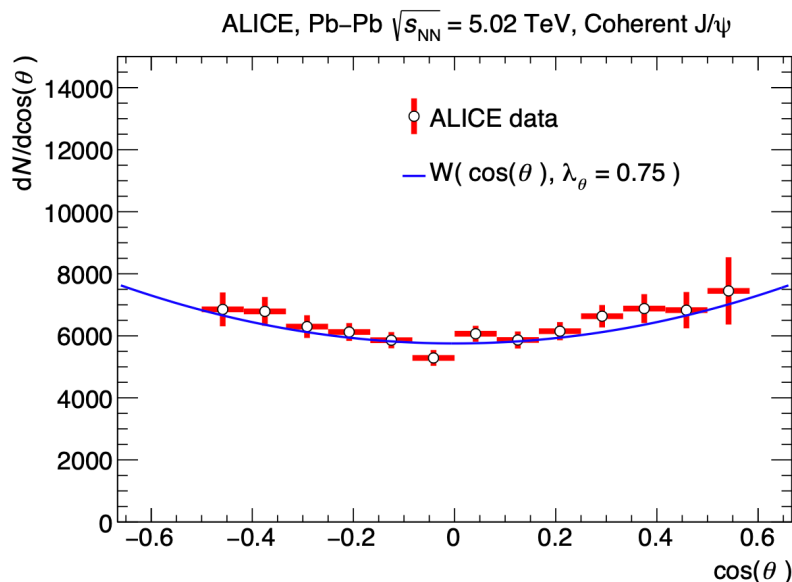
ALI-DER-559110

Polarization of coherent J/ψ in UPC Pb-Pb

First polarisation measurement of coherently photoproduced J/ψ in ultra-peripheral Pb-Pb collisions at 5.02 TeV

<https://arxiv.org/abs/2304.10928>

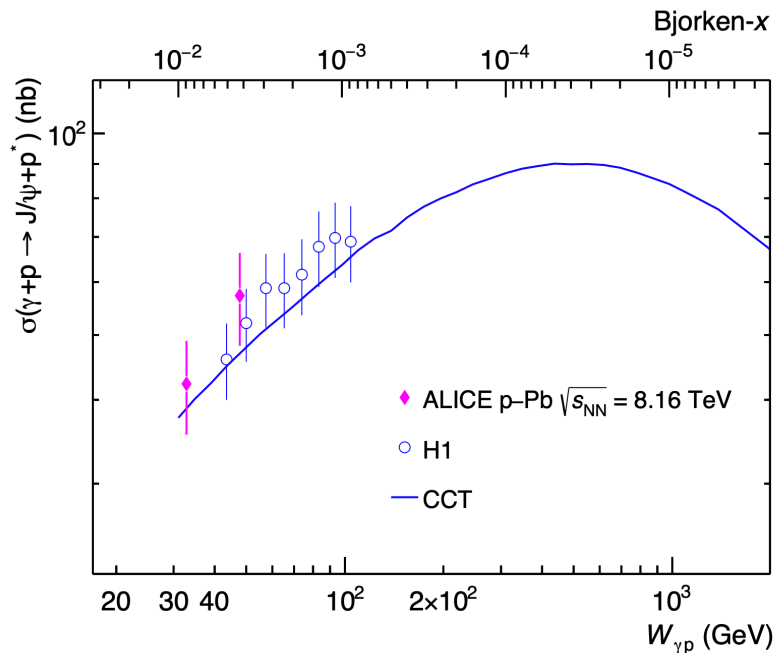
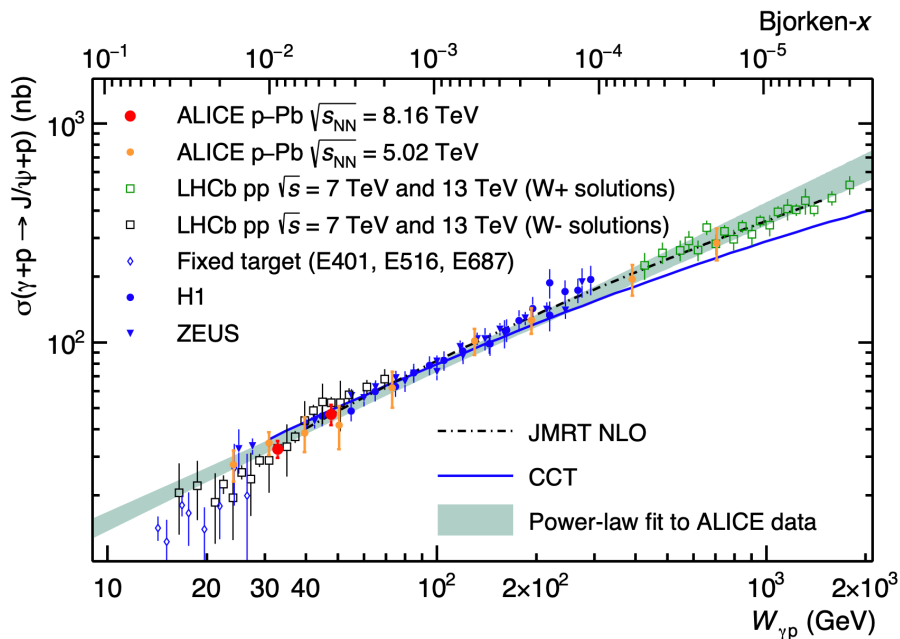
$$W(\cos \theta, \varphi) \propto \frac{1}{3 + \lambda_\theta} [1 + \lambda_\theta \cos^2 \theta + \lambda_\varphi \sin^2 \theta \cos 2\varphi + \lambda_{\theta\varphi} \sin 2\theta \cos \varphi].$$



Exclusive and dissociative J/ψ in UPC pPb

Exclusive and dissociative J/ψ photoproduction, and exclusive dimuon production, in p-Pb collisions at 8.16 TeV

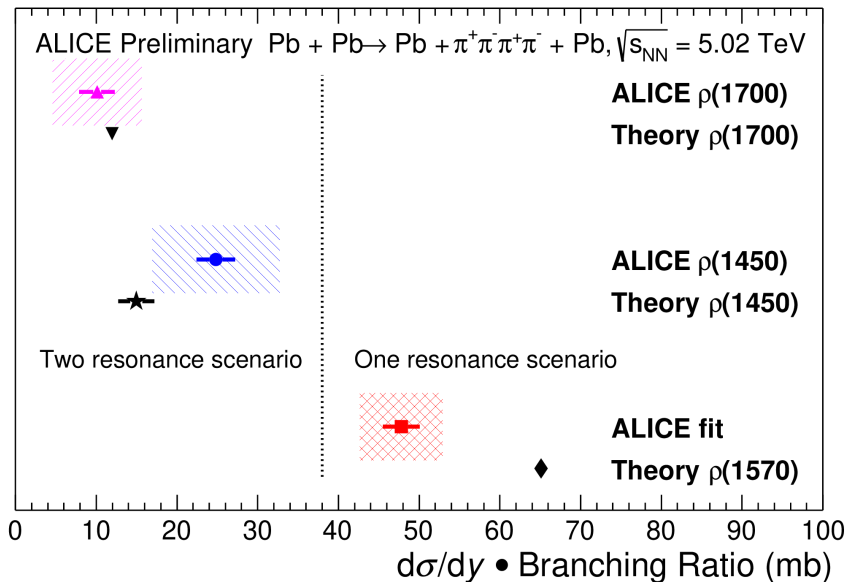
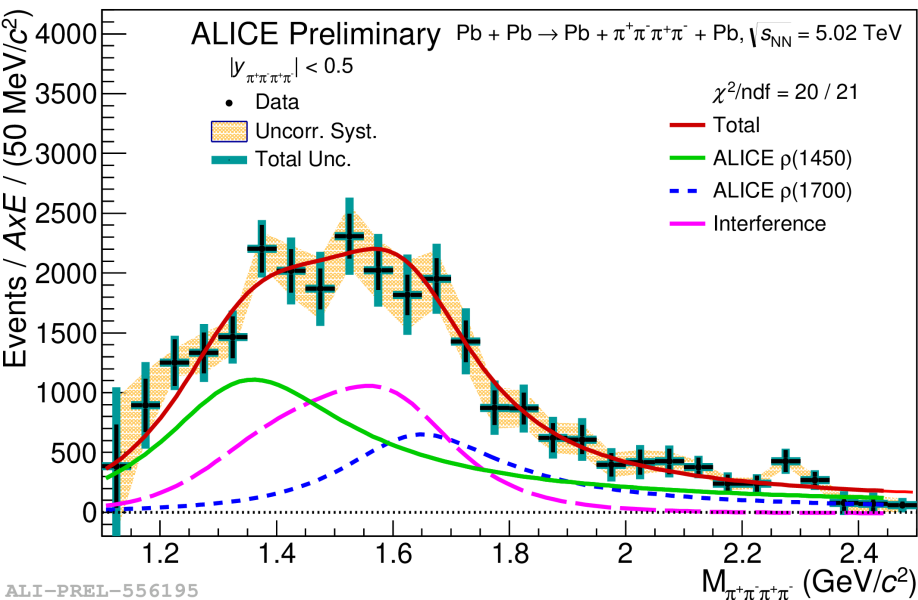
<https://arxiv.org/abs/2304.12403> - Accepted for publication by PRD



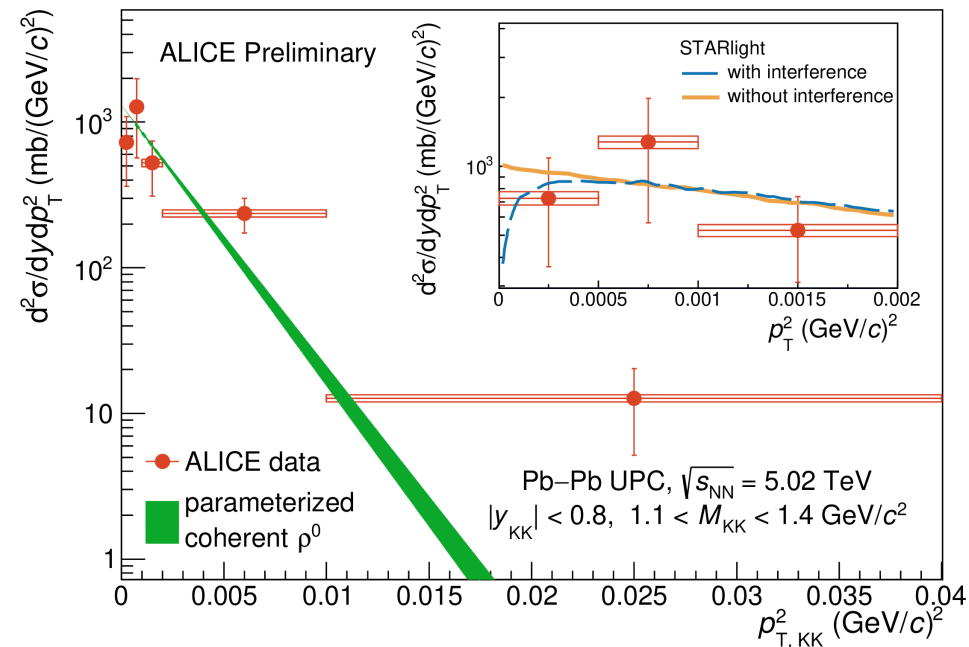
Exclusive four-pion in UPC PbPb – shown first QM 2023

M. Klusek-Gawenda and DTT
Acta Phys.Polon.B 51 (2020) 6, 1393-1404

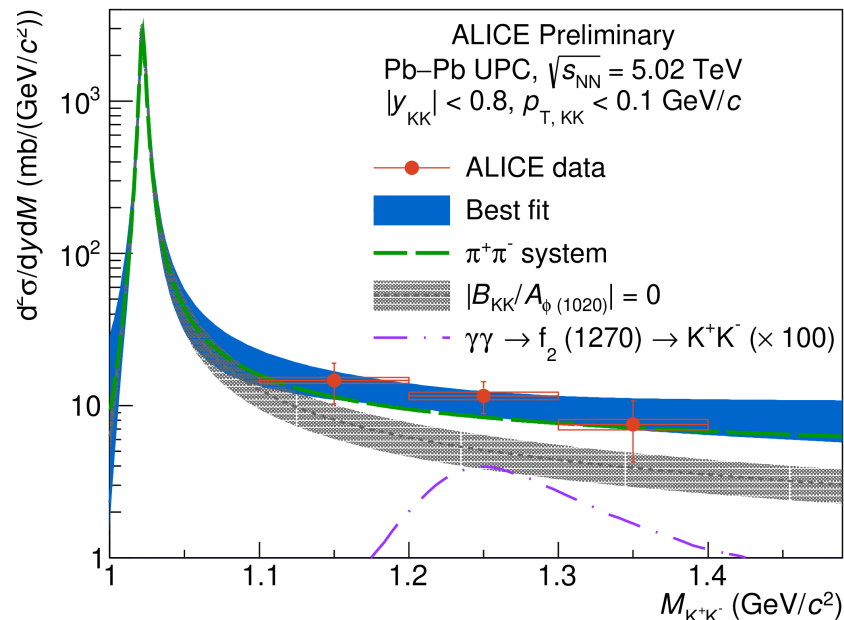
Excited ρ^0 states



Exclusive K^+K^- in UPC PbPb - shown first QM 2023



ALI-PREL-551462

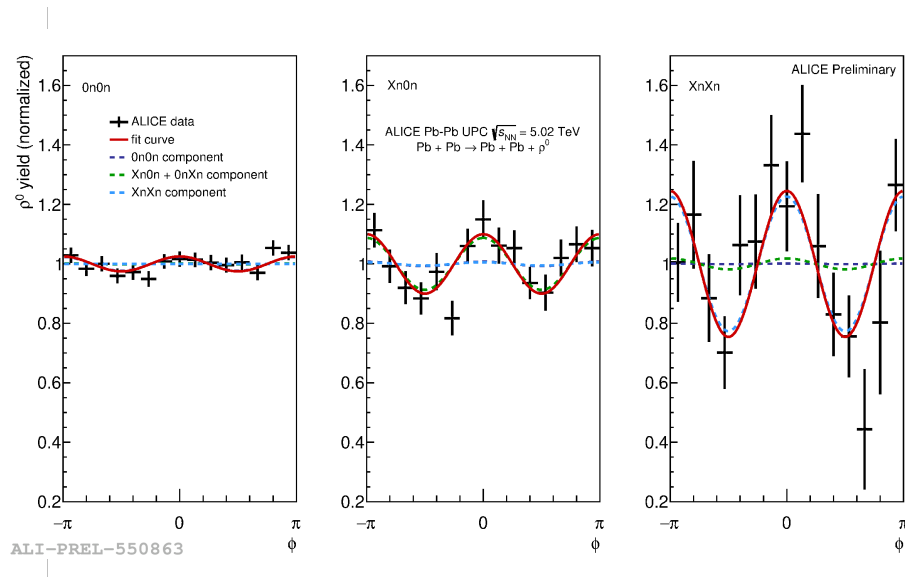
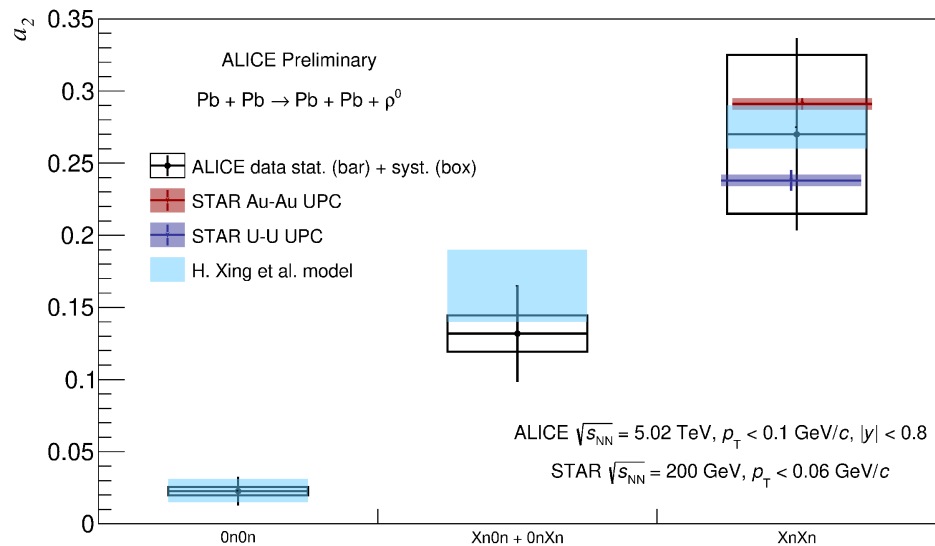


PREL-555519

Direct K^+K^- vs ϕ production

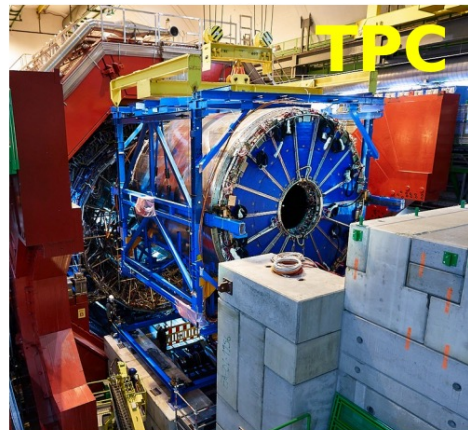
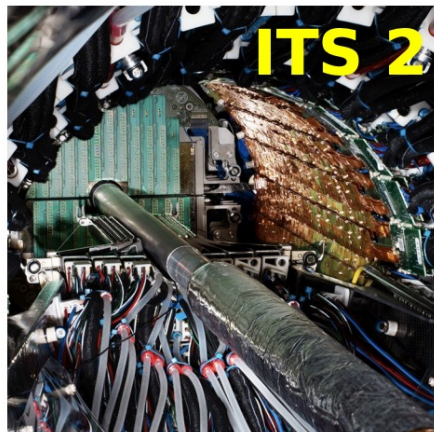
Azimuthal anisotropies of coherent ρ^0 - shown first QM 2023

First impact-parameter dependence

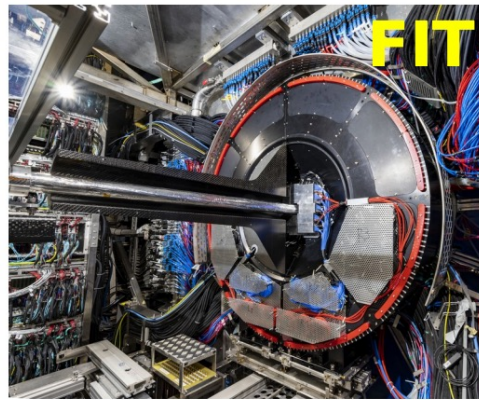
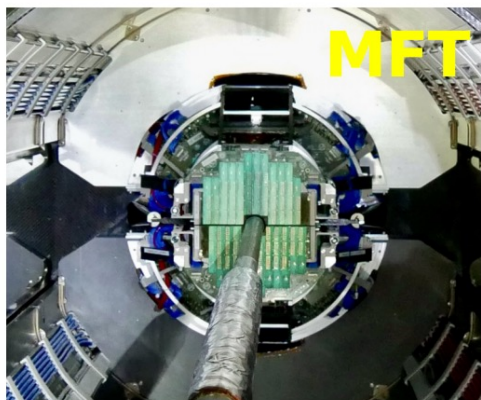


Large b \leftarrow \rightarrow Small b

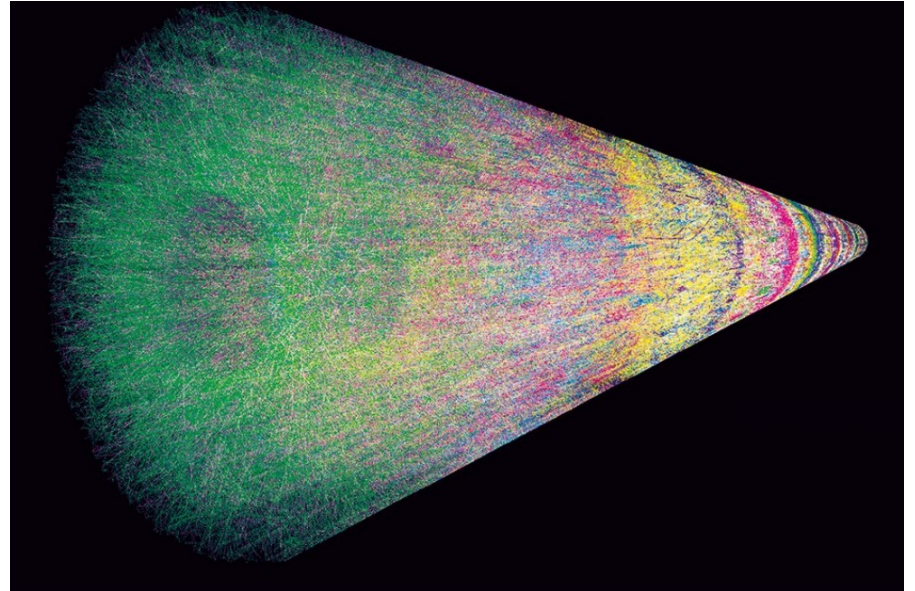
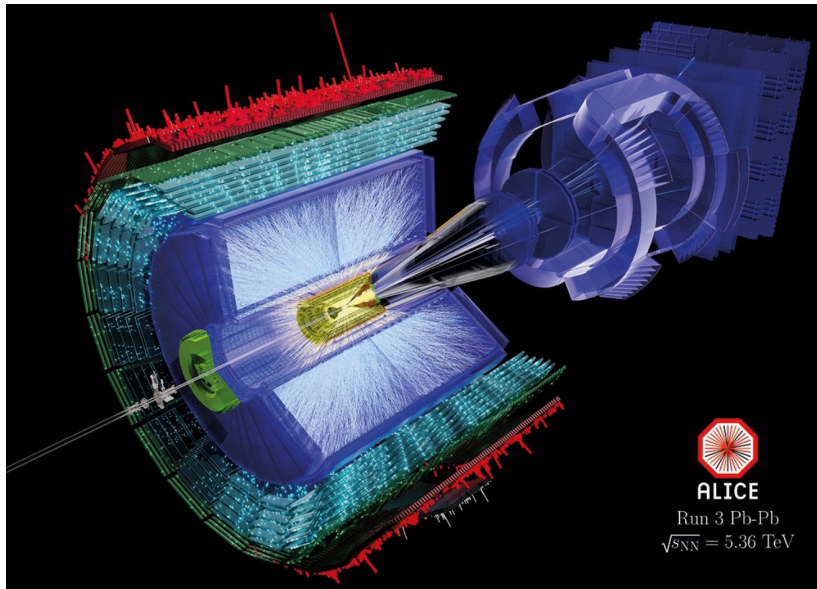
ALICE in Run 3: A major upgrade



- 50 times increase in the readout rate
- 3 to 6x improvement in pointing resolution
- Secondary vertexing for forward muons



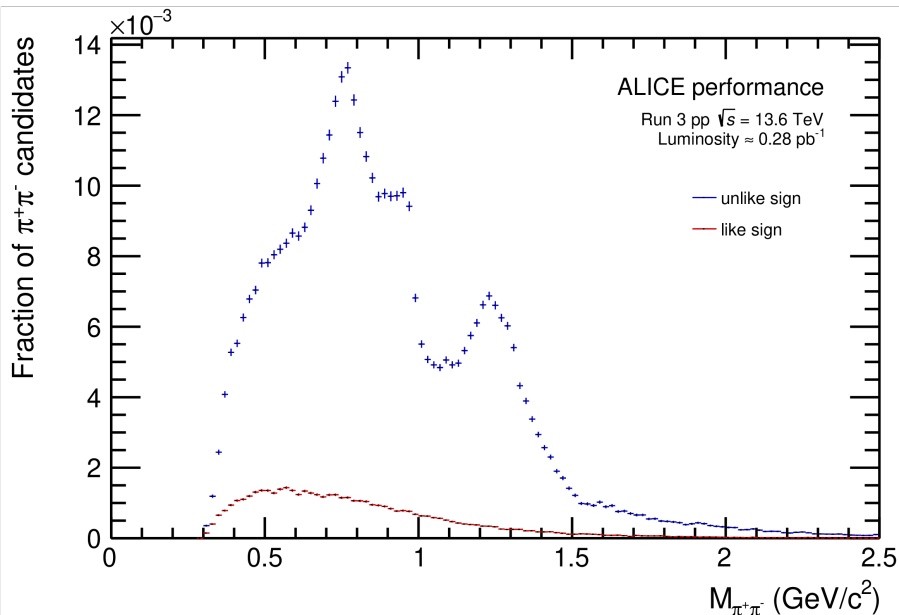
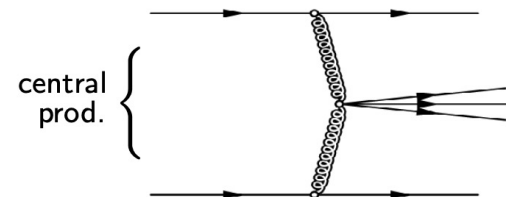
ALICE in Run 3: Trigger-less mode



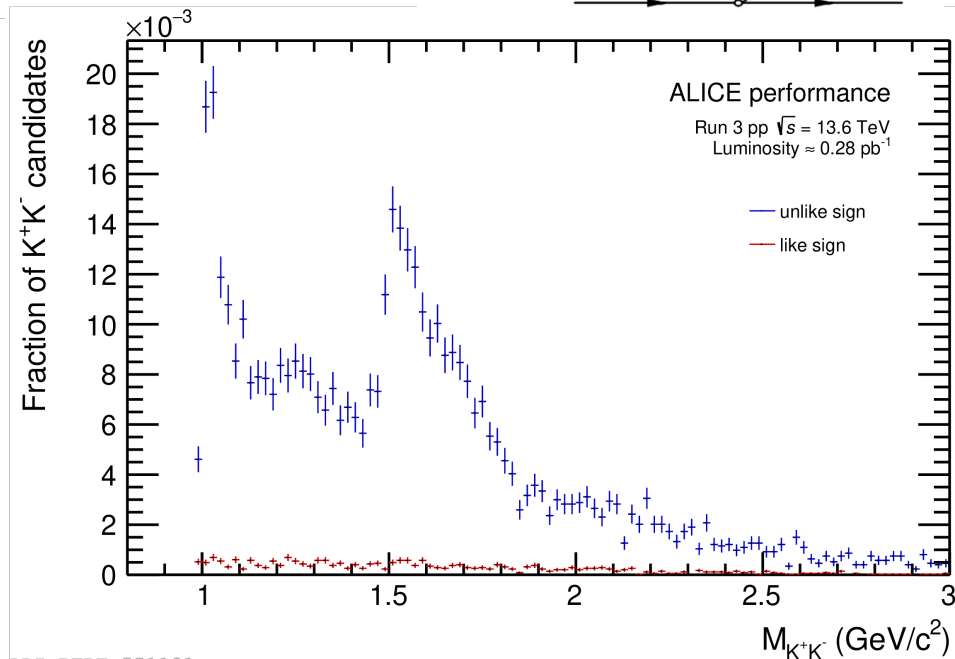
2 msec time frame of Pb-Pb collisions at a 50 kHz interaction rate in the TPC

CEP in 13.6 TeV pp – Run 3 data

Promising performance results



ALI-PERF-551097

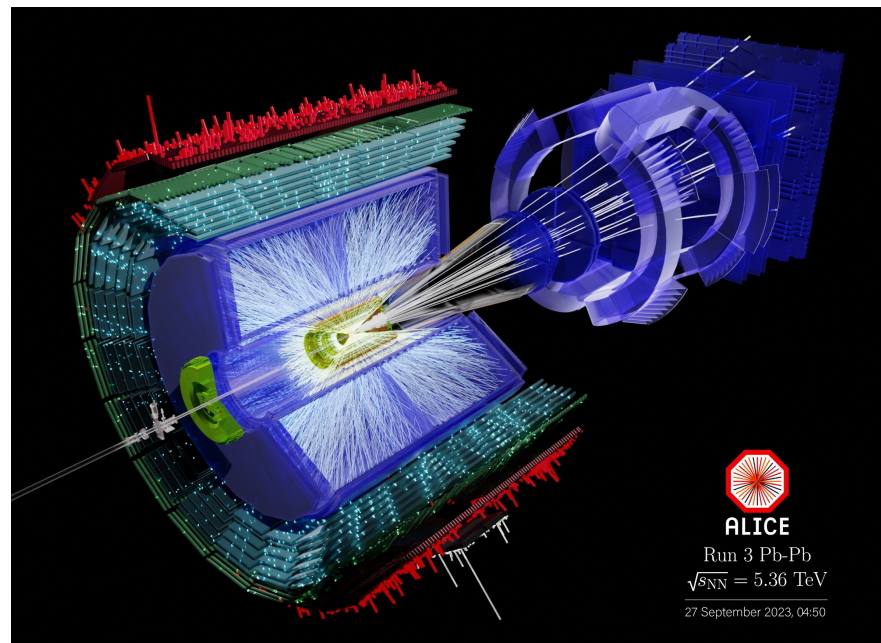
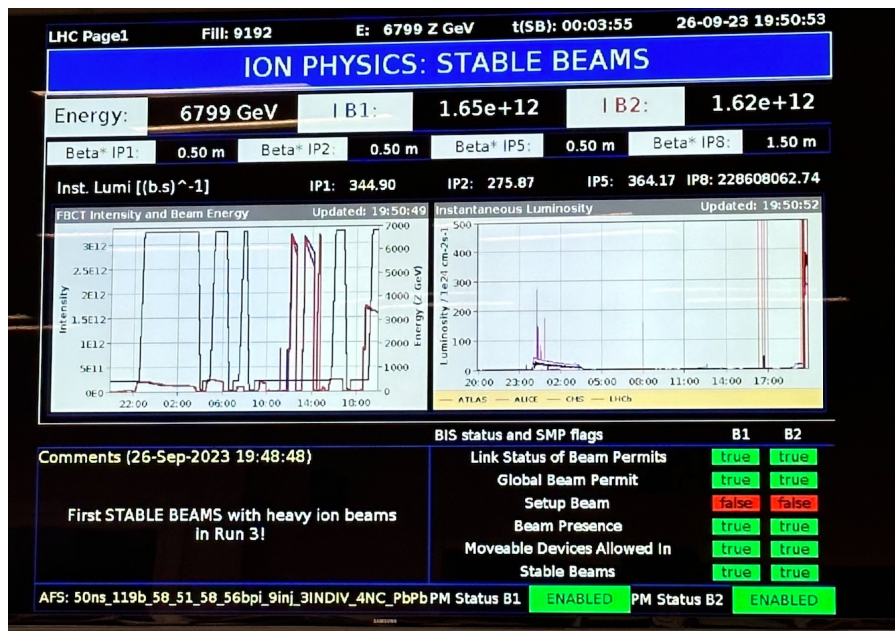


ALI-PERF-551101

See talk by Rainer Schicker

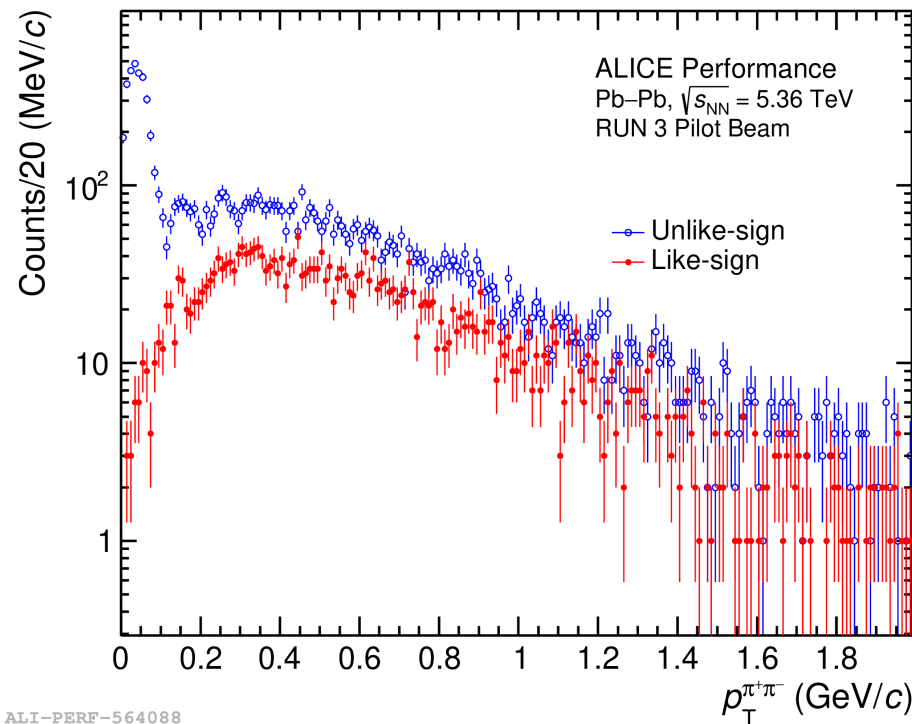
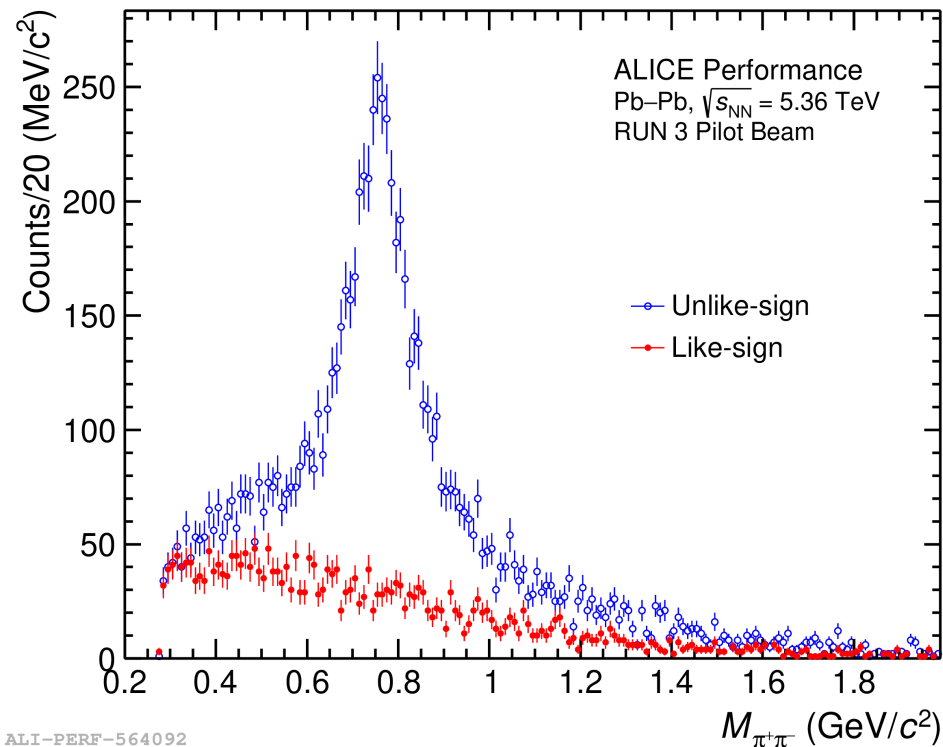
EMMI workshop “Forward physics in ALICE 3”, October 2023

First stable Pb beams in Run 3: September 26, 2023

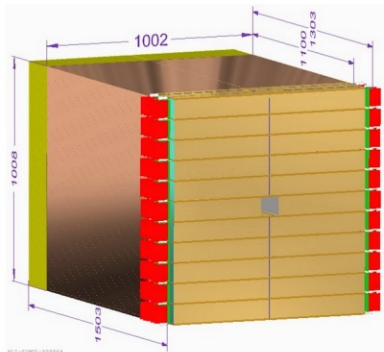
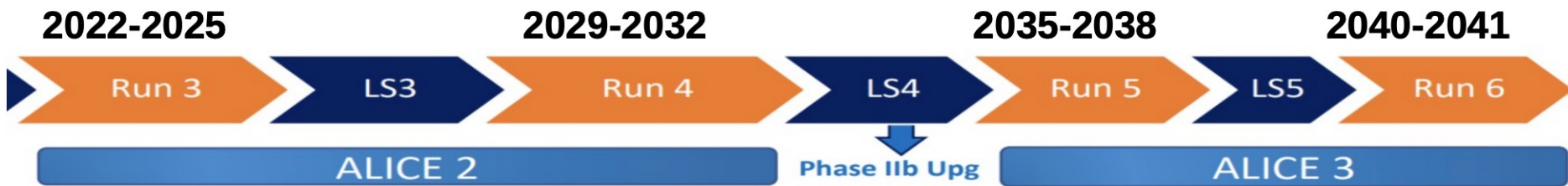


UPC results – Run 3 data from this month! - first shown here

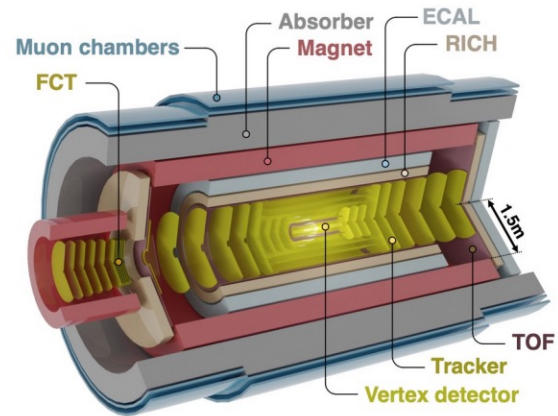
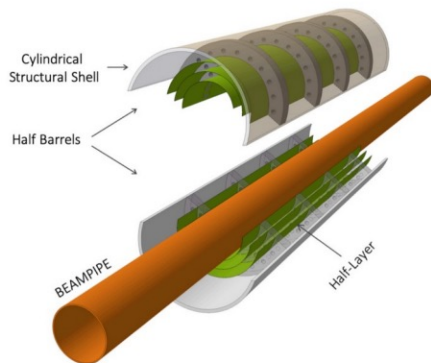
See talk by Anisa Khatun



ALICE timeline

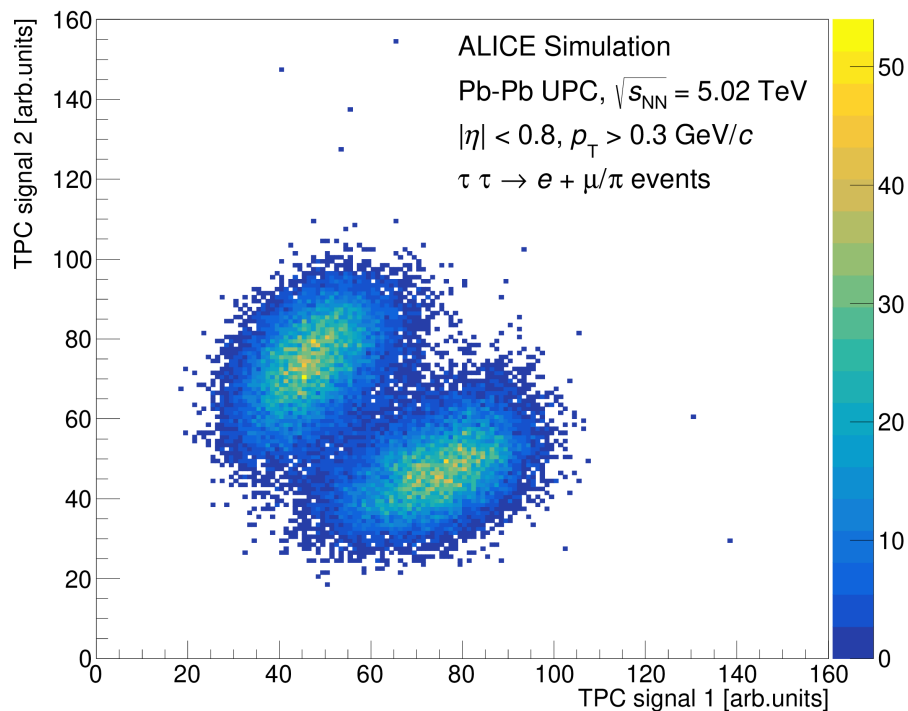


FoCal and ITS3



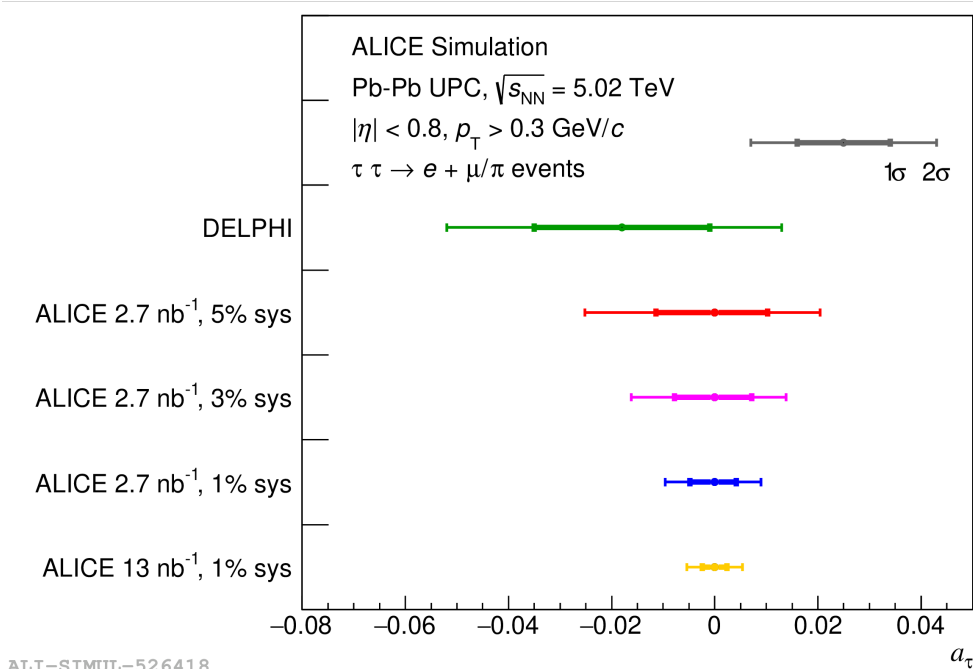
ALICE3

Prospects: tau analysis in UPCs with ALICE

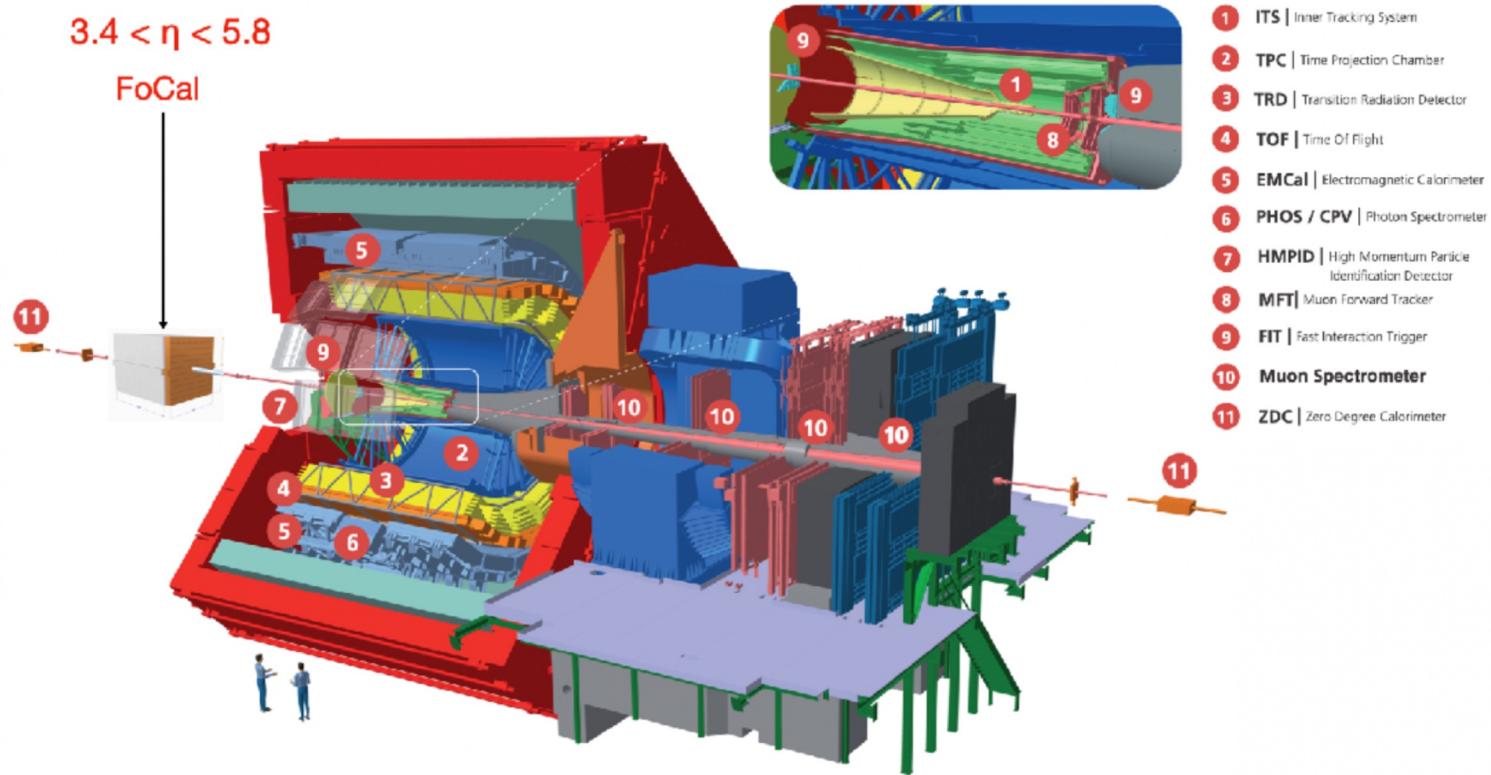


7600 events expected at 2.7 nb⁻¹
36000 events expected at 13 nb⁻¹ → Run 3+4 statistics
Purity of the selection larger than 96%

Tau anomalous magnetic moment



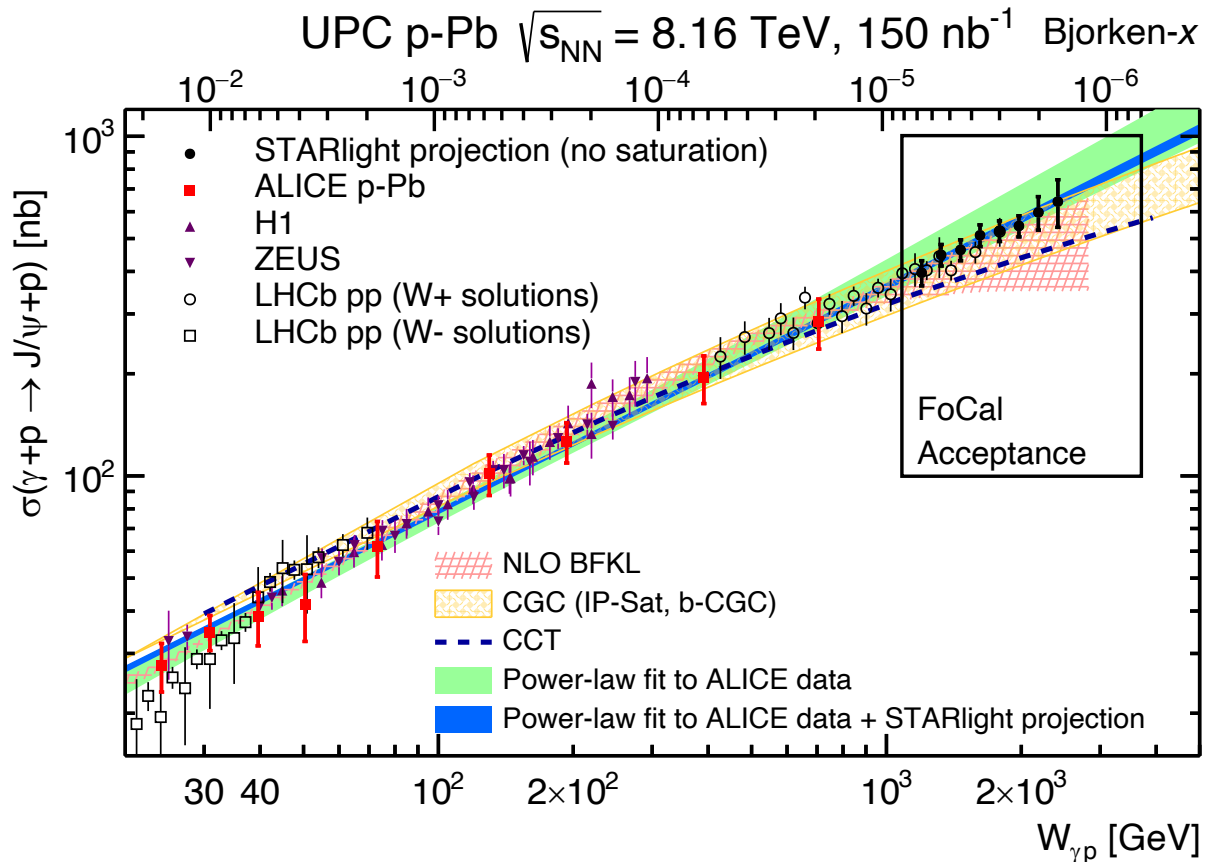
The ALICE FoCal project for Run 4



UPC VM projections for FoCal

VM	$\sigma(\text{p} + \text{Pb} \rightarrow \text{p} + \text{Pb} + \text{VM})$	$\sigma(3.4 \leq \eta_{1,2} \leq 5.8)$	Yield
		p \rightarrow FoCal	p \rightarrow FoCal
ρ^0	35 mb	140 nb	21,000
ϕ	1.7 mb	51 nb	7,700
J/ ψ	98 μb	400 nb	<u>60,000</u>
$\psi(2S)$	16 μb	8.9 nb	1,300
$\Upsilon(1S)$	220 nb	0.38 nb	60
		Pb \rightarrow FoCal	Pb \rightarrow FoCal
ρ^0	35 mb	17 nb	2,600
ϕ	1.7 mb	5.3 nb	800
J/ ψ	98 μb	36 nb	<u>5,400</u>
$\psi(2S)$	16 μb	0.53 nb	80
$\Upsilon(1S)$	220 nb	0.67 pb	~ 0

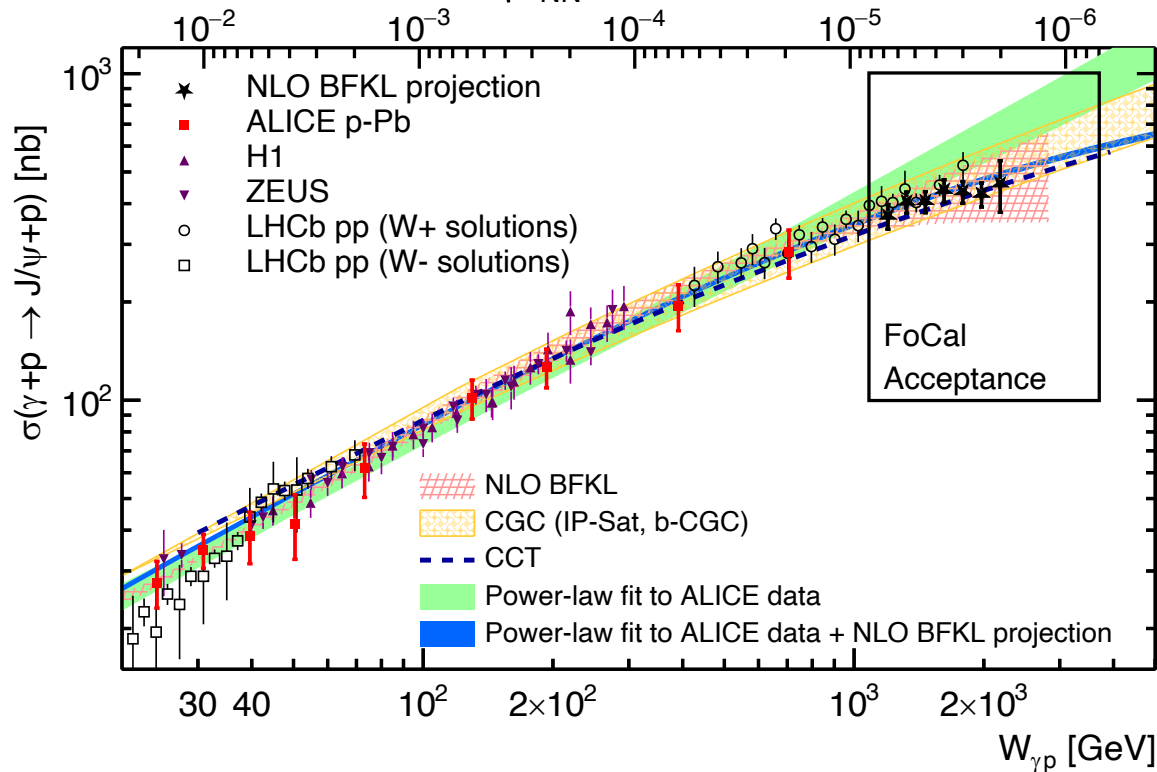
Projections for exclusive J/ψ off protons



- Deviations from a power-law trend should signal non-linear QCD dynamics
- Here, projections based on STARlight which uses a parametrization based on HERA data $\sigma_0 (W_{\gamma p}/W_0)^\delta$
- For all figures, 60% efficiency. Conservative assumption after acceptance selection

Projections for exclusive J/ψ off protons

UPC p-Pb $\sqrt{s_{NN}} = 8.16 \text{ TeV}$, 150 nb^{-1} Bjorken- x



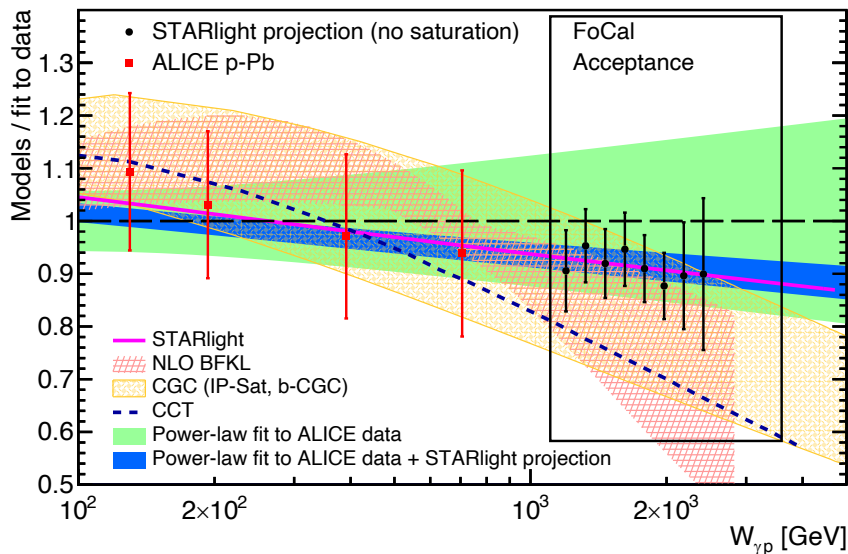
- Projections assuming a broken power-law
- Projected points based on NLO BFKL calculation

$$\sigma(\gamma p) \approx \frac{\sigma_0}{\frac{1}{W_{\gamma p}^\delta} + A}$$

Projections for exclusive J/ψ off protons

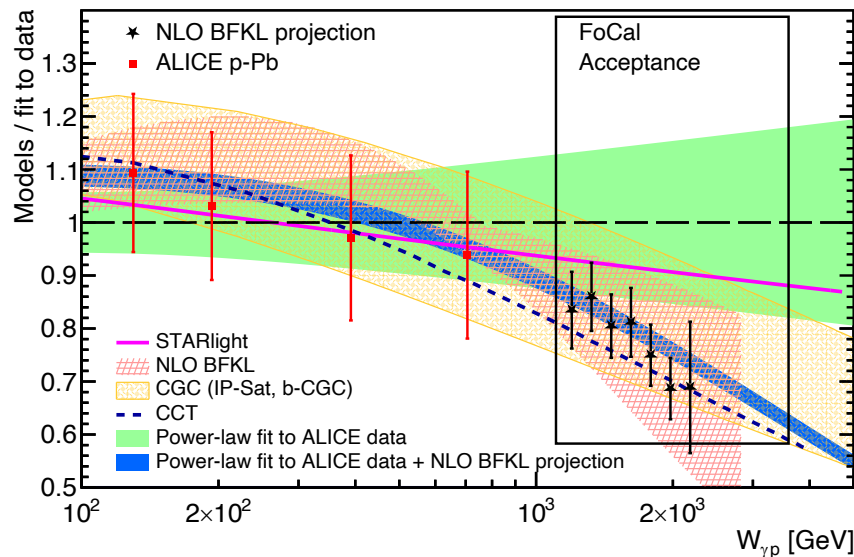
Power-law behavior (STARlight)

UPC p-Pb $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}



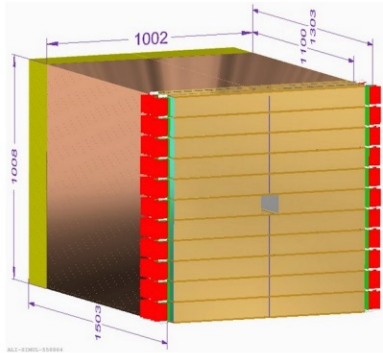
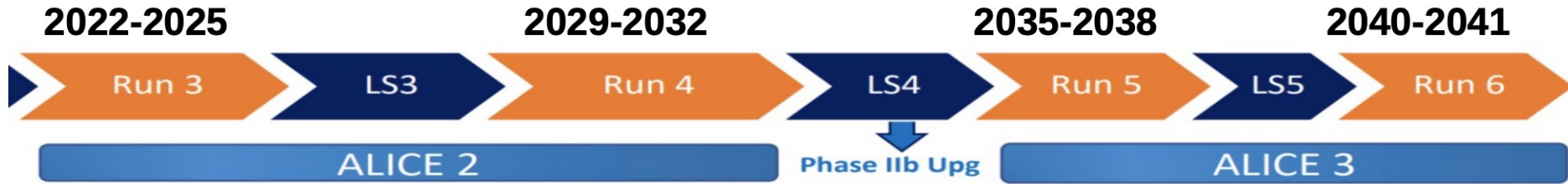
Broken power-law behavior (NLO BFKL)

UPC p-Pb $\sqrt{s_{NN}} = 8.16$ TeV, 150 nb^{-1}

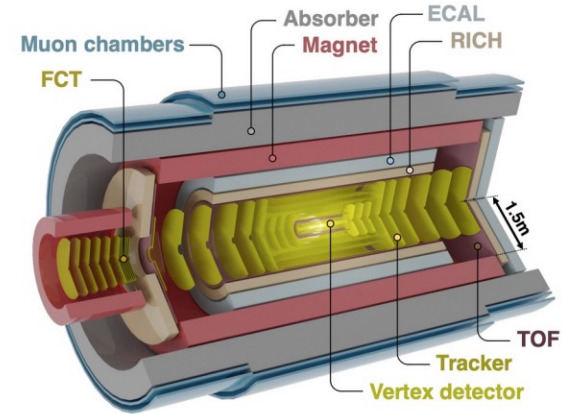
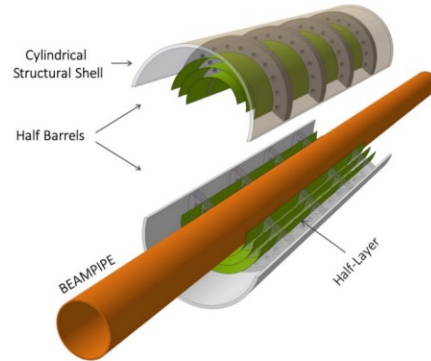


FoCal measurement would be sufficient to observe a deviation from a power law behavior, if exists

ALICE timeline



FoCal and ITS3



ALICE3

ALICE 3 prospects not discussed in this talk

UPC 2023 First international workshop on the physics of Ultra Peripheral Collisions

Scientific Topics

Photon-Proton and Photon-Nucleus Physics
Two Photon Physics
Nonlinear And Gluon Saturation
Parton Distribution Developments
Hadronization In Exclusive Processes
Soft Nucleon And Nucleus Interactions
Photoproduction In Events With Nuclear Overlap
UPCs And Future Electron-Ion Colliders



Playa del Carmen (Riviera Maya), Mexico December 11-15, 2023

**Registration
and abstract
deadline:
September 15**

**Student day on
December 10**

Thanks!