

# Performance of the ATLAS Forward Proton detector

**Savannah Clawson (DESY),**  
**on behalf of the ATLAS Forward Detectors group**

**Forward Physics and QCD at the LHC and EIC**

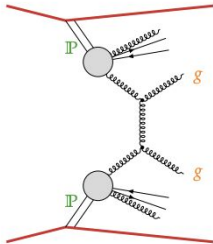
**Physikzentrum Bad Honnef**

**23 Oct - 27 Oct 2023**

**HELMHOLTZ**

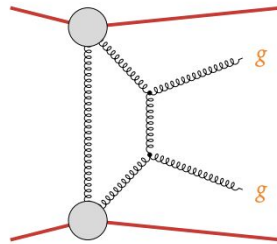


# Physics motivation



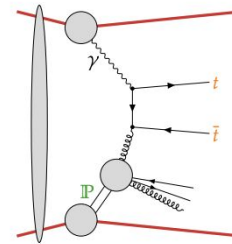
## Diffractive jets

ATL-PHYS-PUB-2017-012



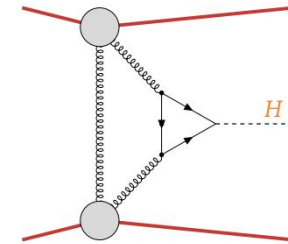
## Exclusive jets

Trzebinski et al 1503.00699  
Harland-Lang et al 1405.0018



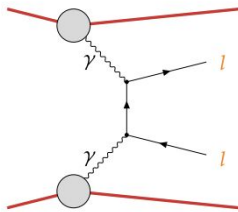
## Top quarks

Goncalves et al 2007.04565  
Howarth 2008.04249



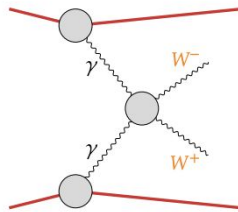
## Higgs boson

Cox et al 0709.3035  
Heinemeyer et al 0708.3052



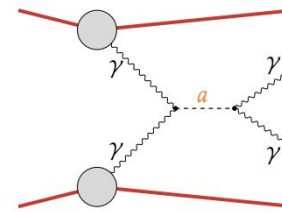
## Leptons

CMS 1803.04496  
ATLAS 2009.14537



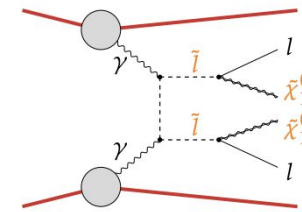
## W bosons

Tizchang, Etesami 2004.12203  
Baldenegro et al 2009.08331



## Axion-like particles

Harland-Lang & Tasevsky 2208.10526  
Baldenegro et al 1803.10835



## SUSY dark matter

Beresford & Liu 1811.06465  
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Types of processes which allow protons to remain intact:

- **Diffraction** via pomeron exchange
- Exclusive **photon-photon fusion**

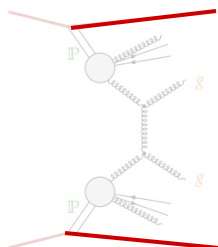
– **discrimination tool** for models:

- ▶ QCD – hard and non-perturbative,
- ▶ probing electroweak scale,
- ▶ physics beyond SM.

Natural ways to seek for diffraction

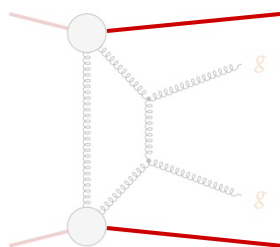
- rapidity gaps,
- **forward protons**

# Physics motivation



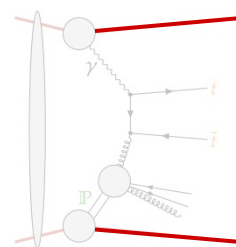
**Diffractive jets**

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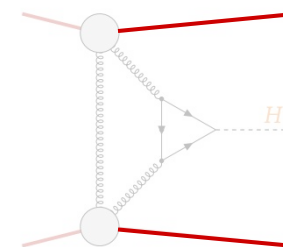
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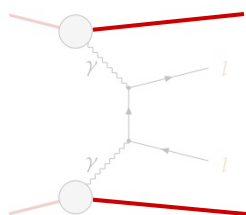
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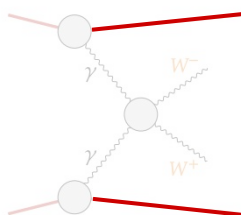
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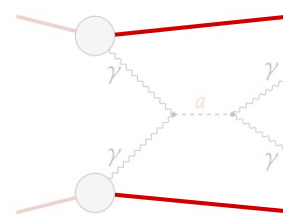
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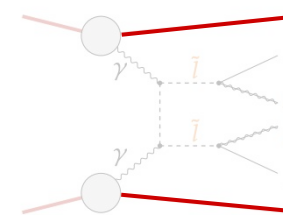
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Natural ways to seek for diffraction

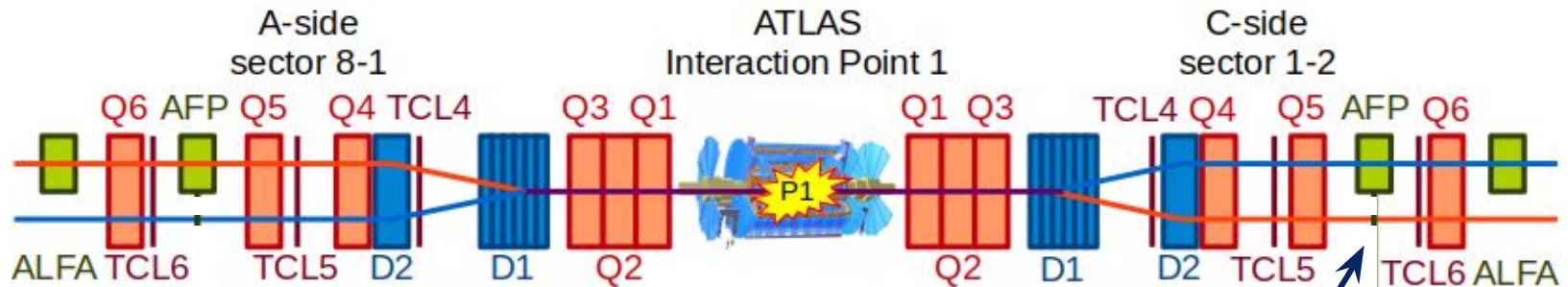
- rapidity gaps,
- **forward protons**

What is the **A**TLAS  

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**F**orward  
**P**roton  
detector?

# What is AFP?



**First side installed in 2016**

[\[ATL-PHYS-PUB-2017-012\]](#)

**Q** = Quadrupole magnets

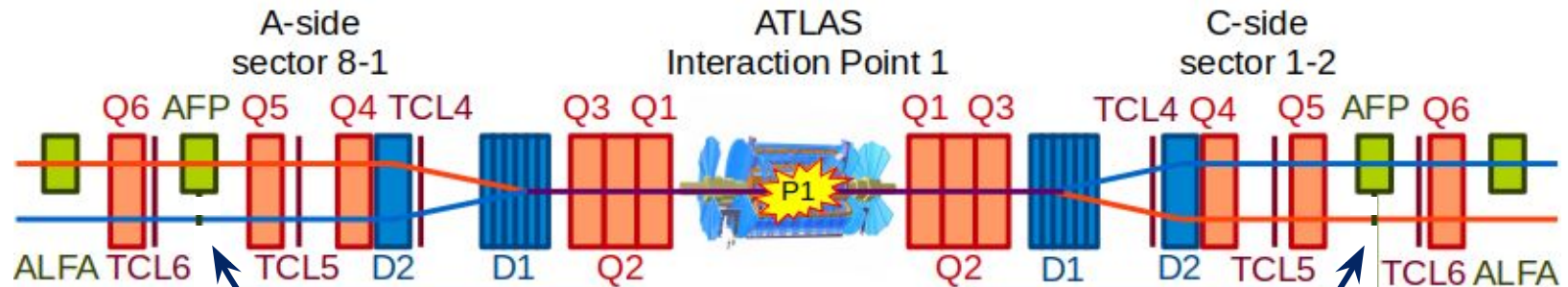
**D** = Dipole magnets

**TCL** = Beam collimators

**ALFA** = Absolute Luminosity For ATLAS

See also: poster by Maciej Trzebinski  
on ATLAS Roman Pot Detectors

# What is AFP?



**Both sides operational from 2017**

**Q** = Quadrupole magnets

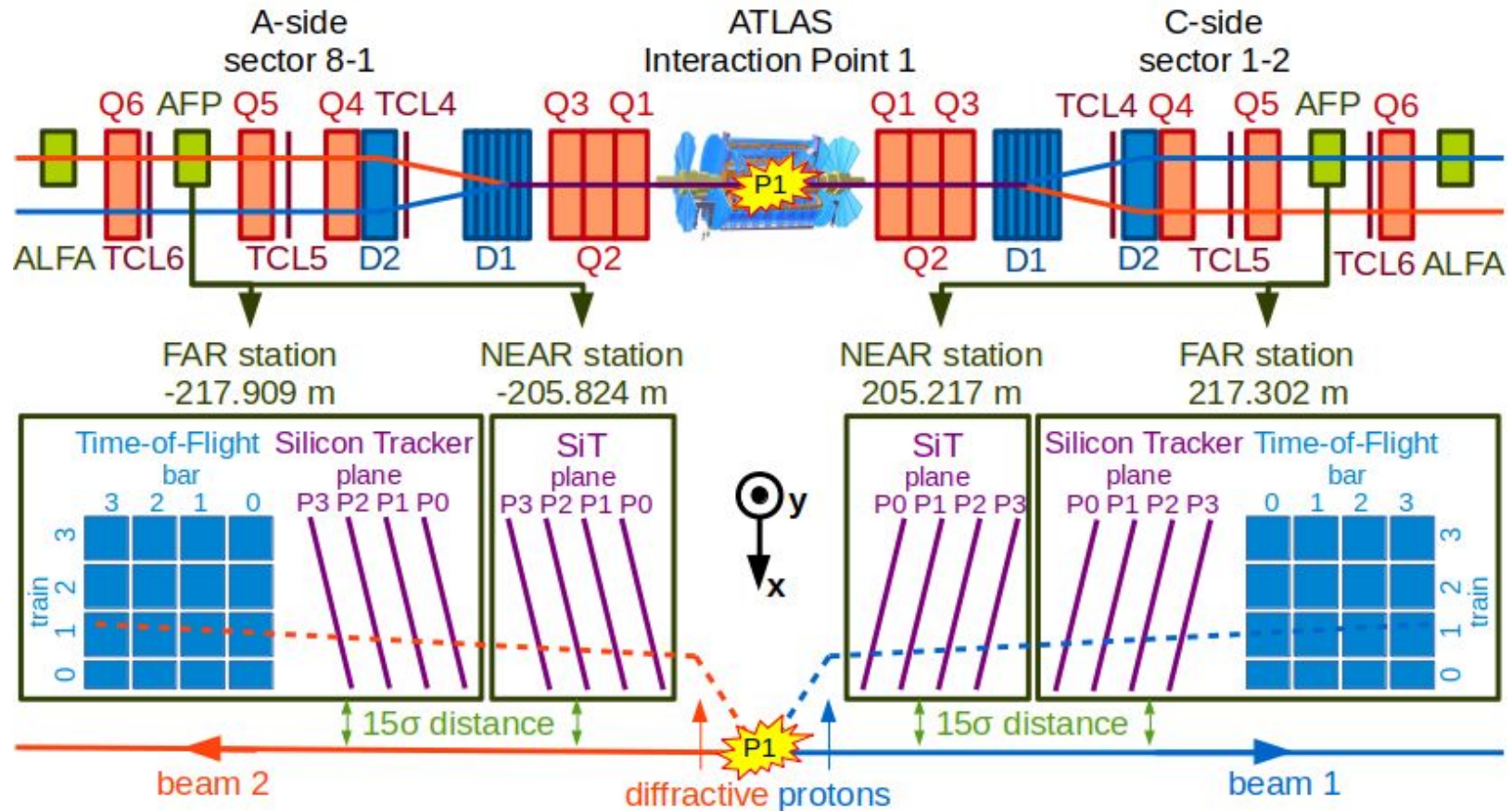
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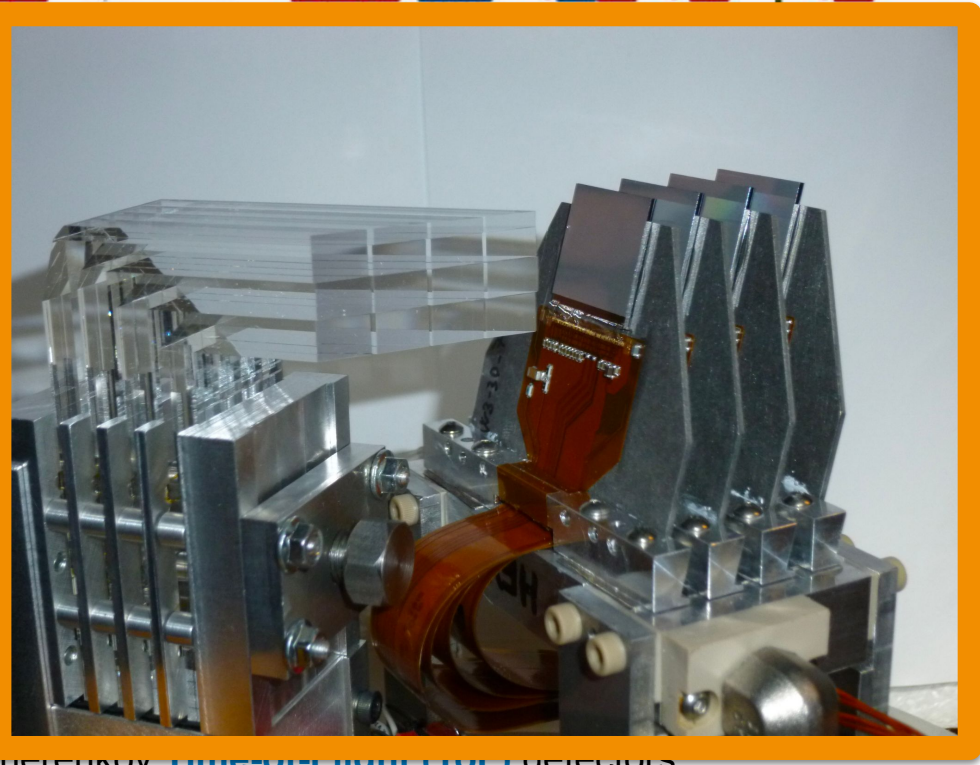
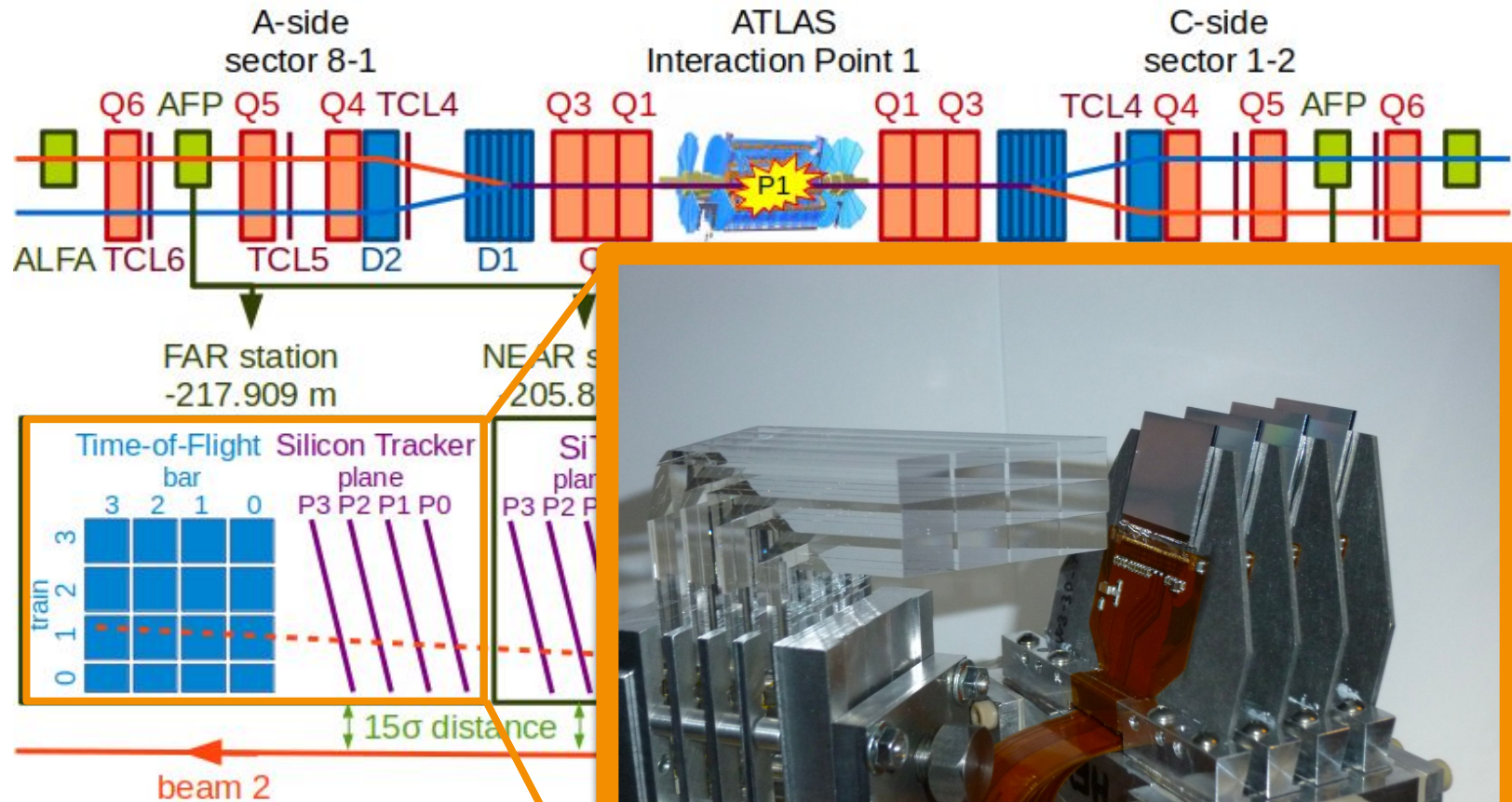
See also: poster by Maciej Trzebinski  
on ATLAS Roman Pot Detectors

# What is AFP?



- All stations have a **Silicon Tracker (SiT)** with four planes of edgeless 3D silicon pixel sensors
- FAR stations have additional quartz Cherenkov **Time-of-Flight (ToF)** detectors
- All housed in **Roman Pots (RP)** inside the LHC vacuum chamber. When proton beams are circulating, the pots are moved mechanically towards the beam centre

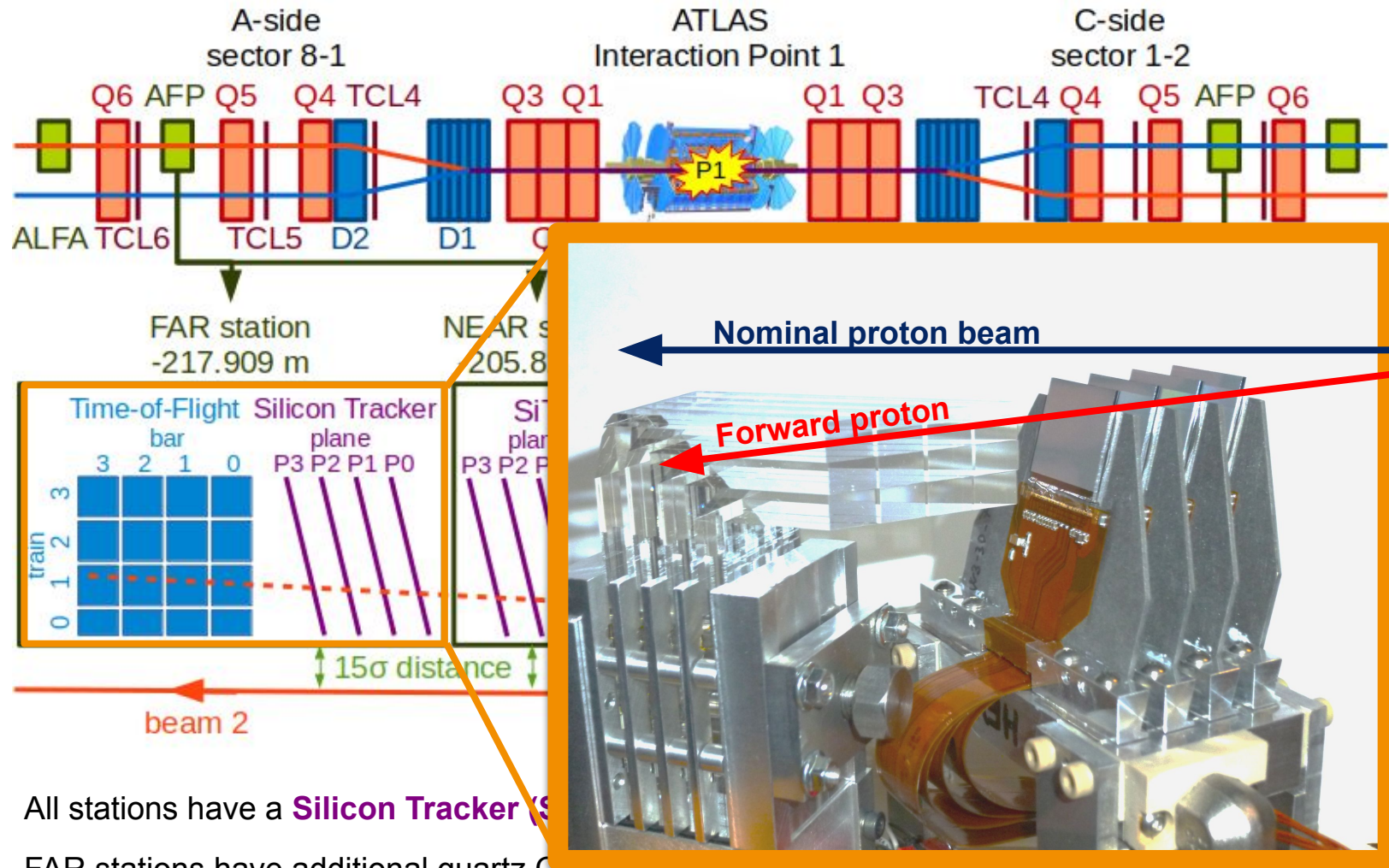
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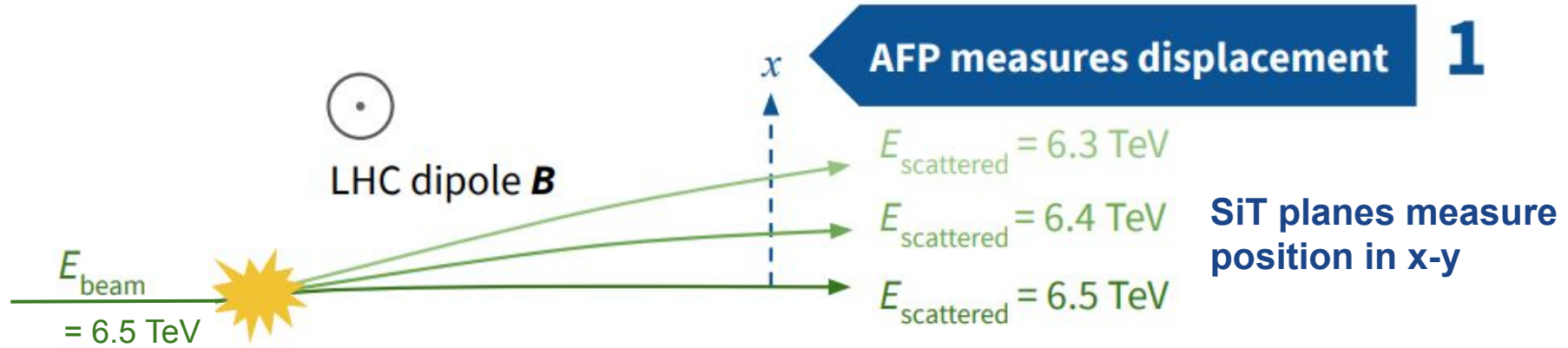


# What is AFP?

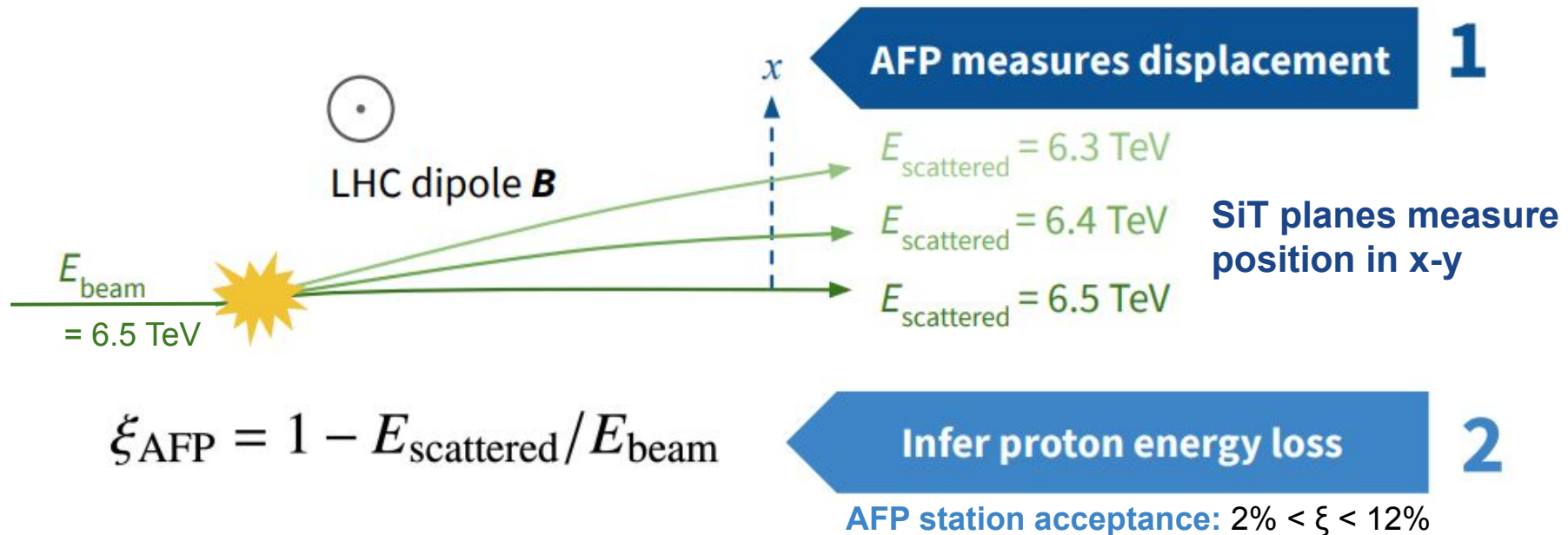


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# How do we use SiT information?



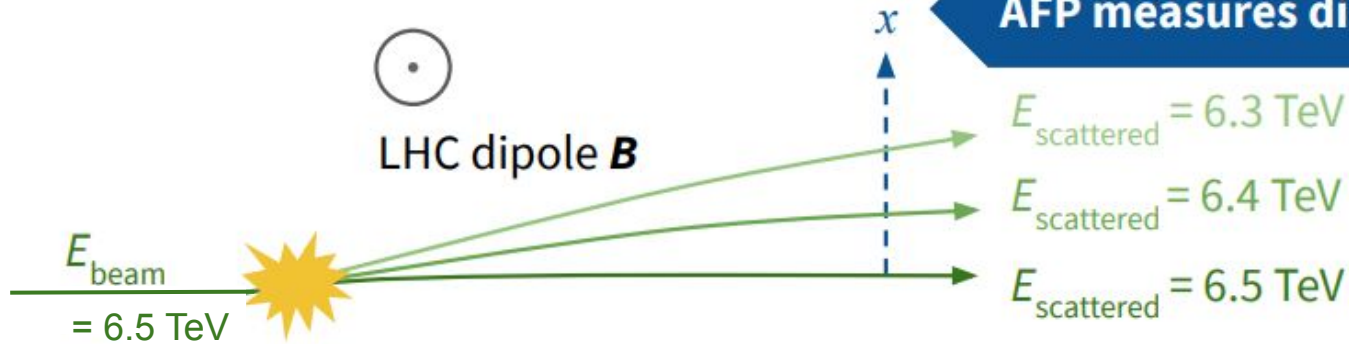
# How do we use SiT information?



# How do we use SiT information?

## AFP measures displacement

1



SiT planes measure position in x-y

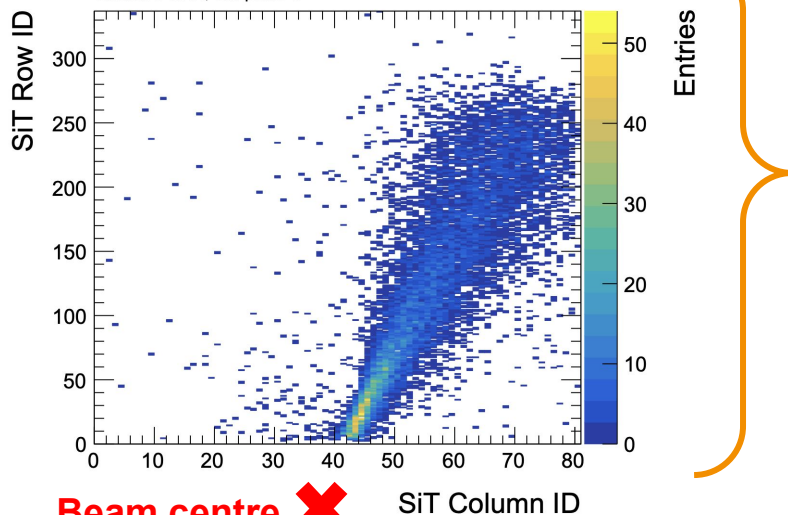
$$\xi_{\text{AFP}} = 1 - E_{\text{scattered}}/E_{\text{beam}}$$

## Infer proton energy loss

2

AFP station acceptance:  $2\% < \xi < 12\%$

ATLAS Preliminary  
Data at  $\sqrt{s} = 13.6 \text{ TeV}$ , LHC fill 7967, July 2022  
ATLAS run 427929,  $\mu = 0.005$   
Station C-FAR, SiT plane 1



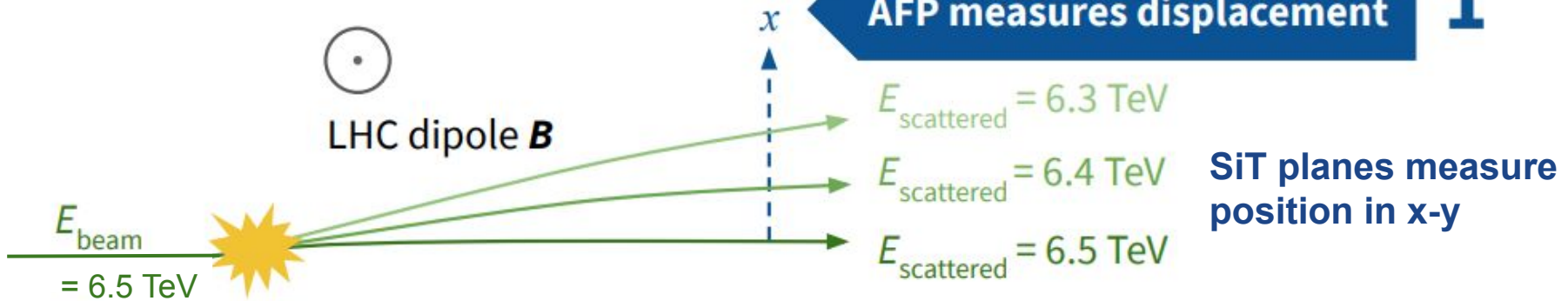
### SiT detector:

- 4 planes of  $336 \times 80$  3D silicon pixels
- Individual pixel area of  $50 \times 250 \mu\text{m}^2$
- $14^\circ$  tilt of planes reducing spatial resolution in short pixel direction ( $x$ ) to  $6 \mu\text{m}$

# How do we use SiT information?

**AFP measures displacement**

**1**



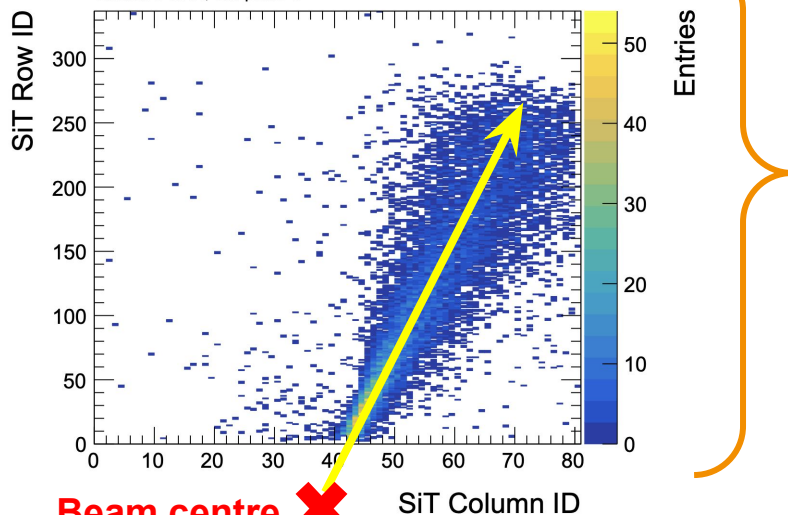
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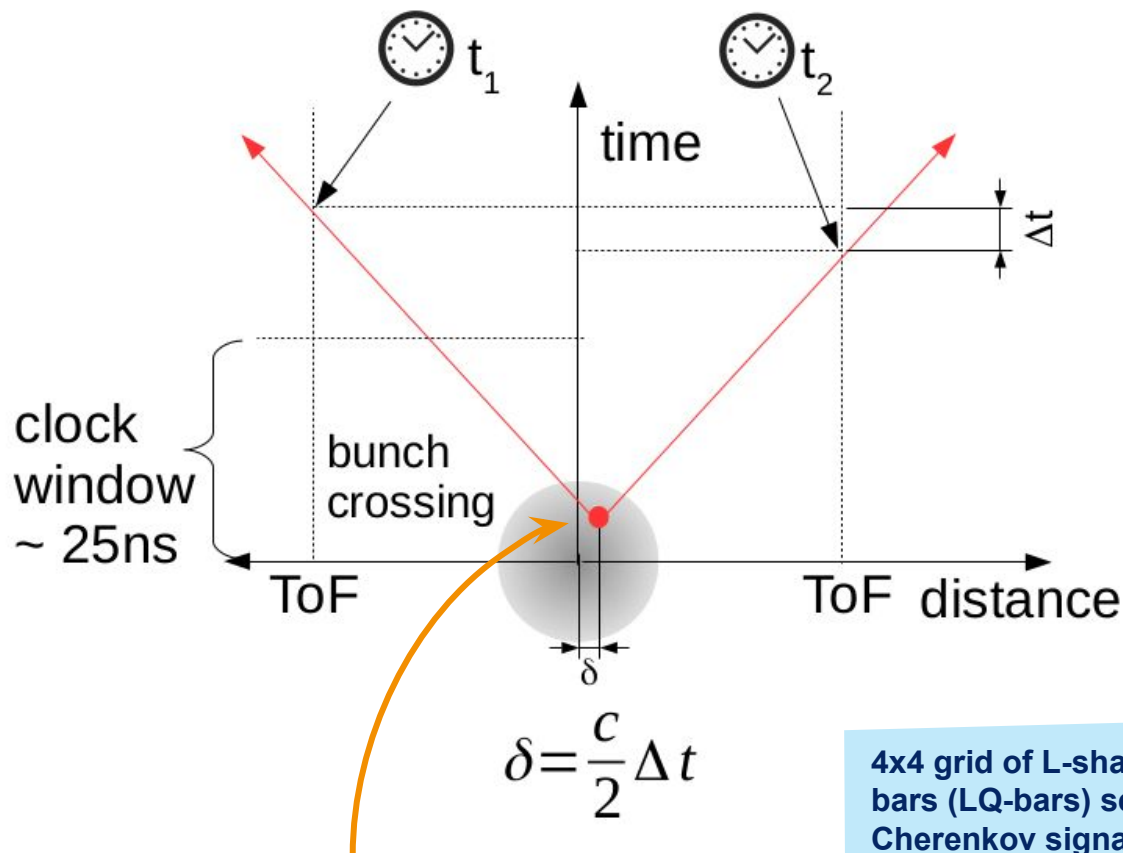
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**Increasing proton energy loss ( $\xi$ )**

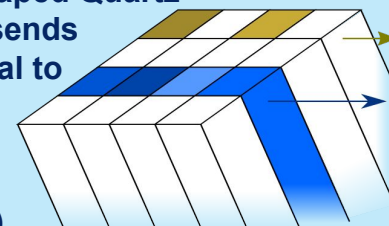
# How do we use ToF information?

Figure adapted from [2021 JINST 16 P01030]



**Diffractive or photon-induced interaction occurs in central ATLAS**

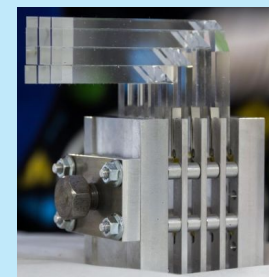
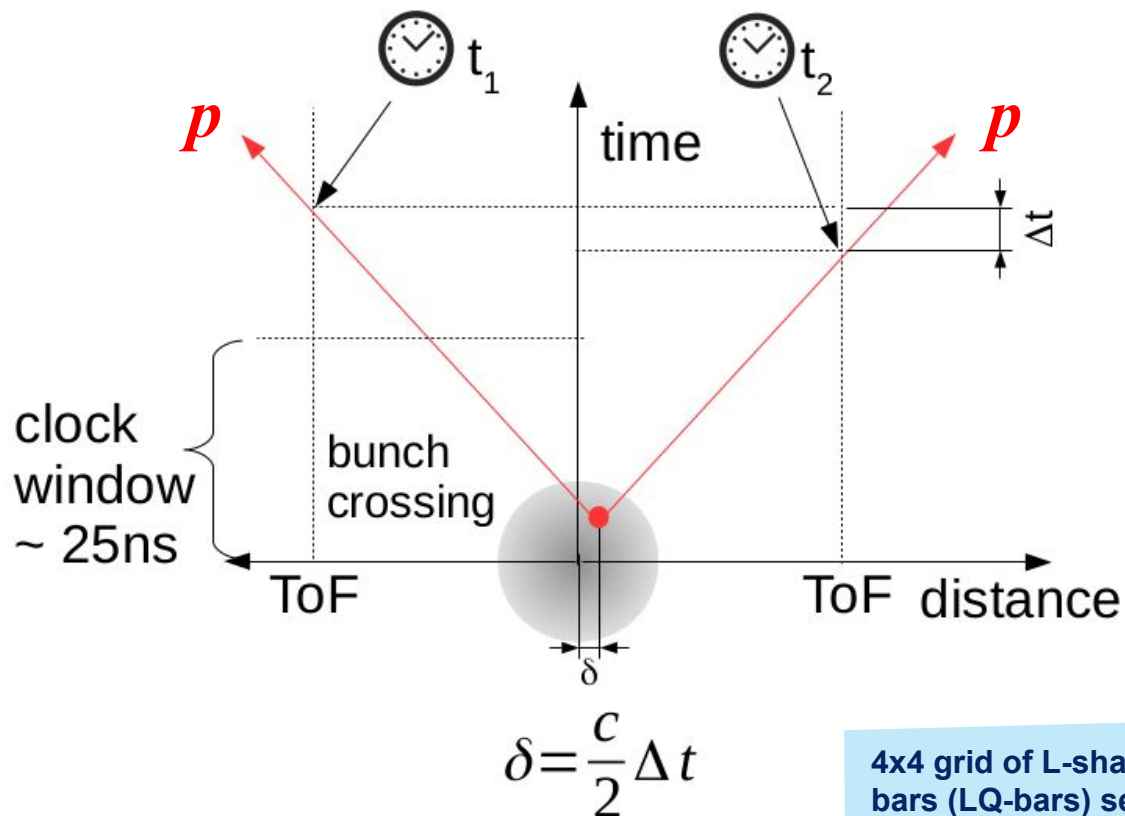
4x4 grid of L-shaped Quartz bars (LQ-bars) sends Cherenkov signal to a microchannel plate photomultiplier tube (MCP-PMT)



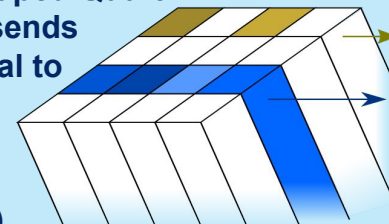
# How do we use ToF information?

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**Intact protons travel ~200m to AFP**



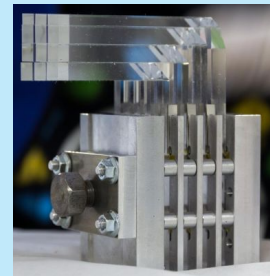
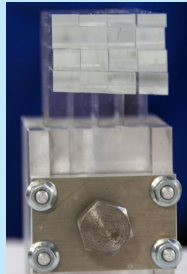
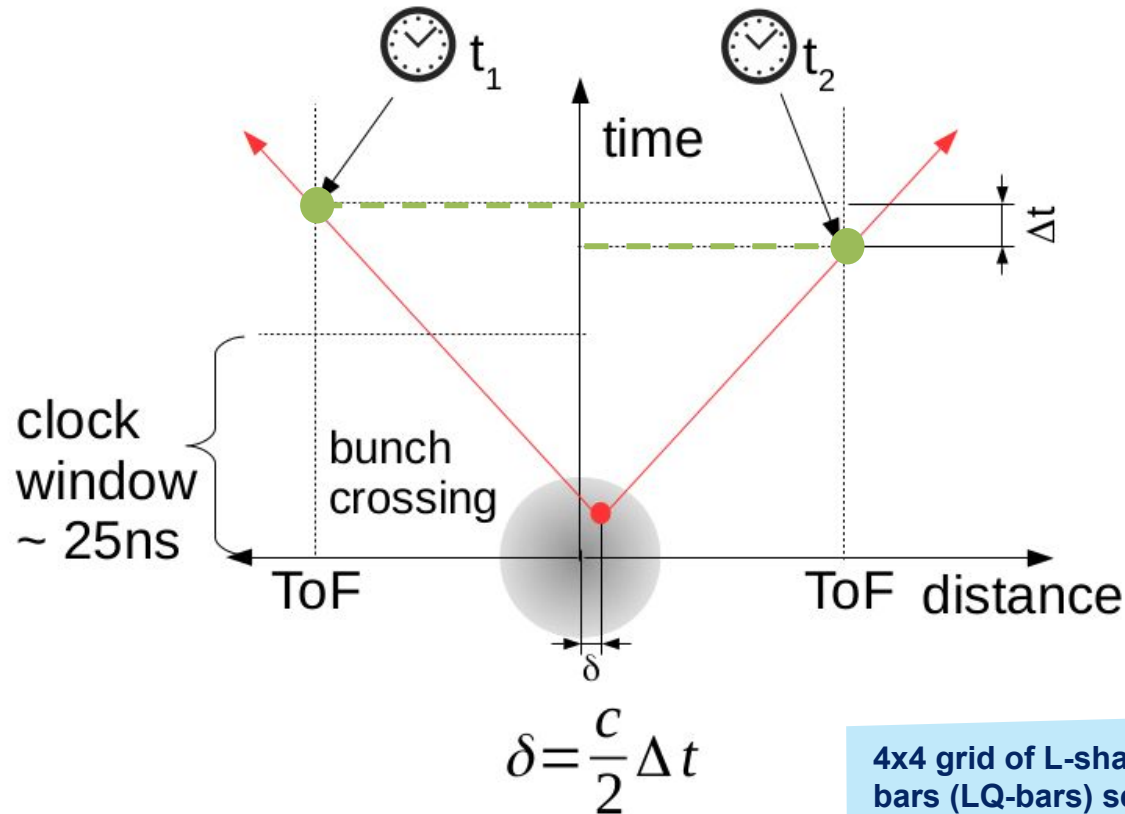
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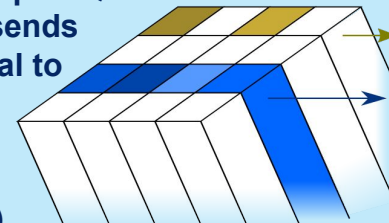
# How do we use ToF information?

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## Proton time-of-flight measured by AFP ToF detectors



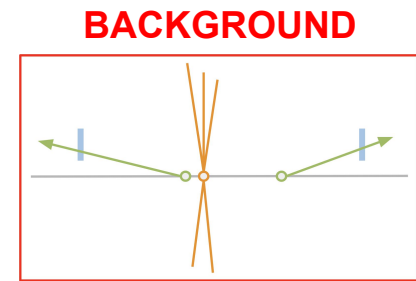
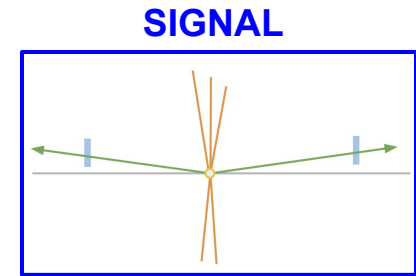
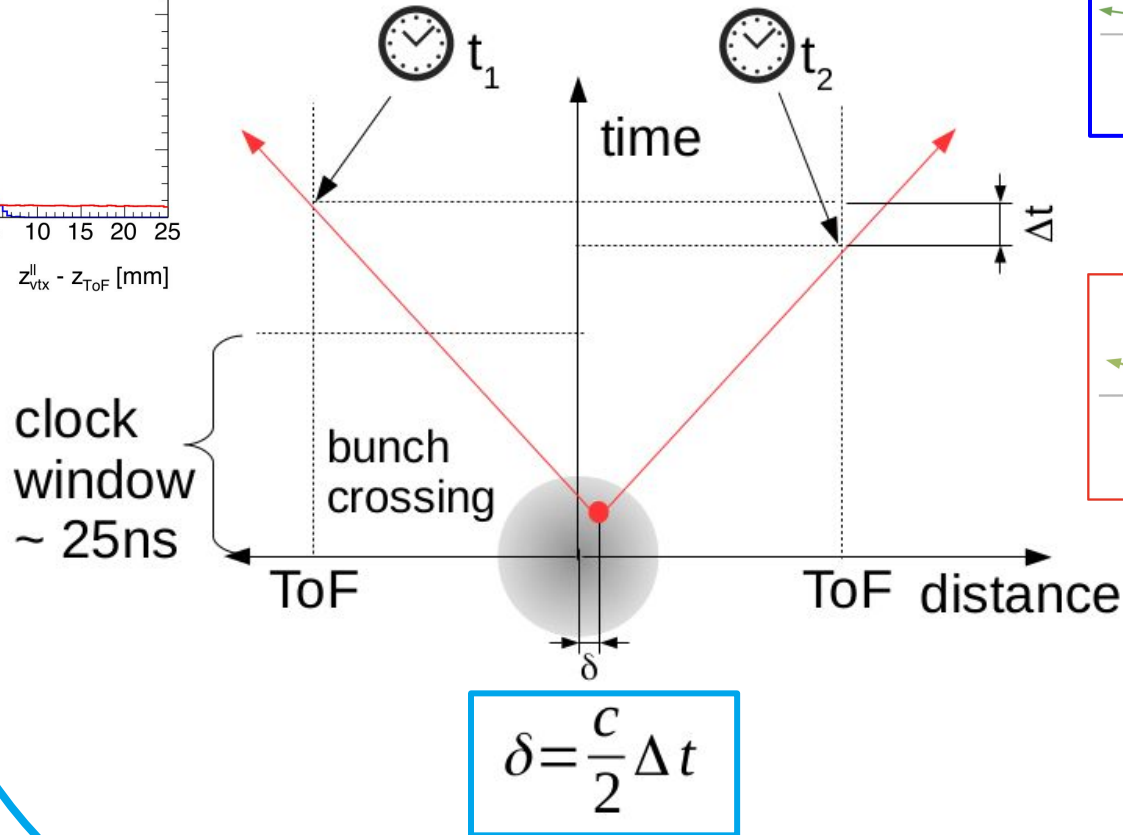
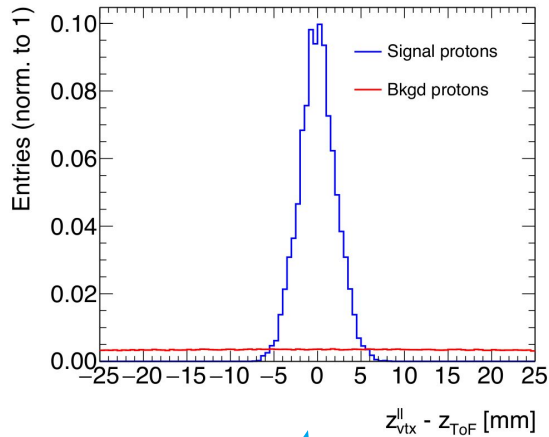
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# How do we use ToF information?

Figure adapted from [2021 JINST 16 P01030]



**Difference in time-of-flight used to calculate interaction vertex position and compare to reconstructed primary vertex in central ATLAS detector**

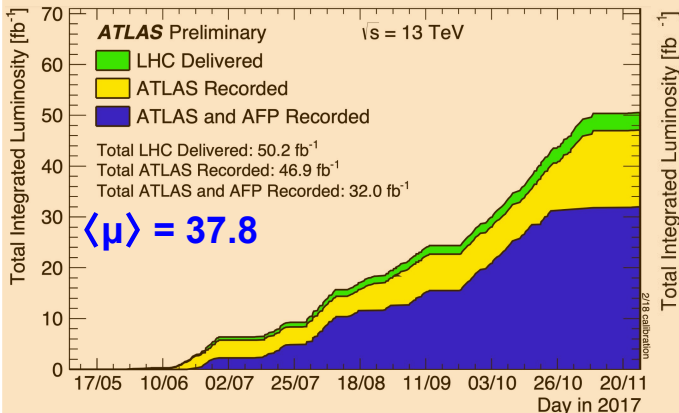
# AFP Performance

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# Available data

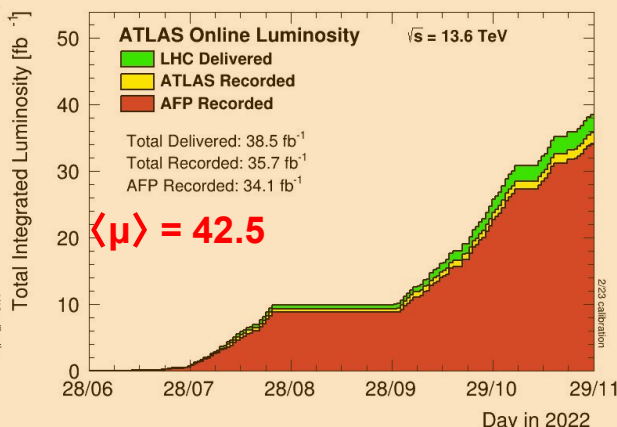
## STANDARD RUNS

2017



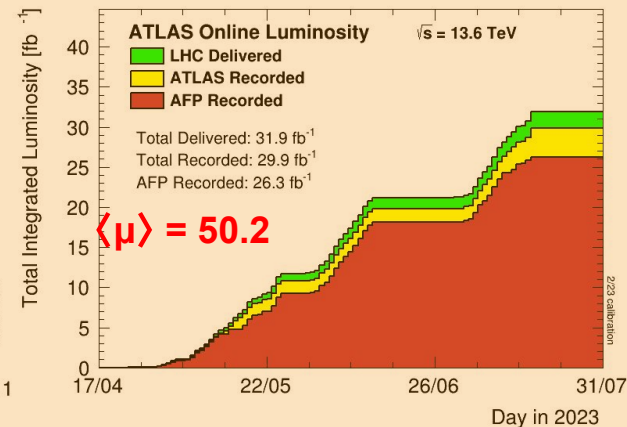
- AFP recorded  $32.0 \text{ fb}^{-1}$
- After ATLAS+AFP GRL =  $14.6 \text{ fb}^{-1}$

2022



- AFP recorded  $34.1 \text{ fb}^{-1}$
- After ATLAS+AFP GRL  $\approx 25\text{--}30 \text{ fb}^{-1}$

2023



- AFP recorded  $26.3 \text{ fb}^{-1}$  as of the end of July

**PHYSICS MOTIVATION: photon-induced processes, central exclusive diffraction**

## SPECIAL RUNS

2017

- $0.03 \lesssim \mu \lesssim 0.05 = 100 \text{ nb}^{-1}$
- $0.3 \lesssim \mu \lesssim 1 = 1.15 \text{ pb}^{-1}$
- $\mu \approx 2 = 150 \text{ pb}^{-1}$

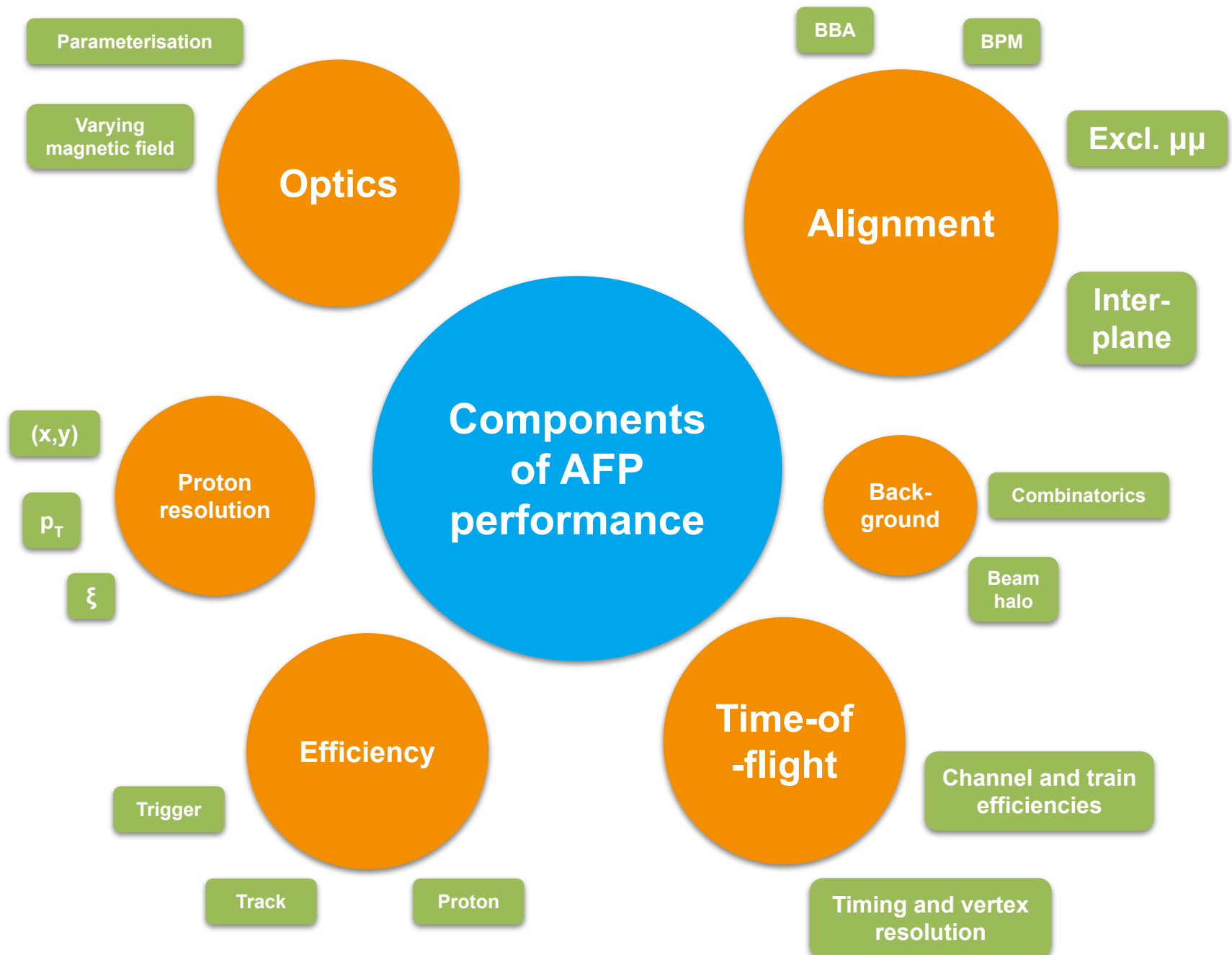
2022

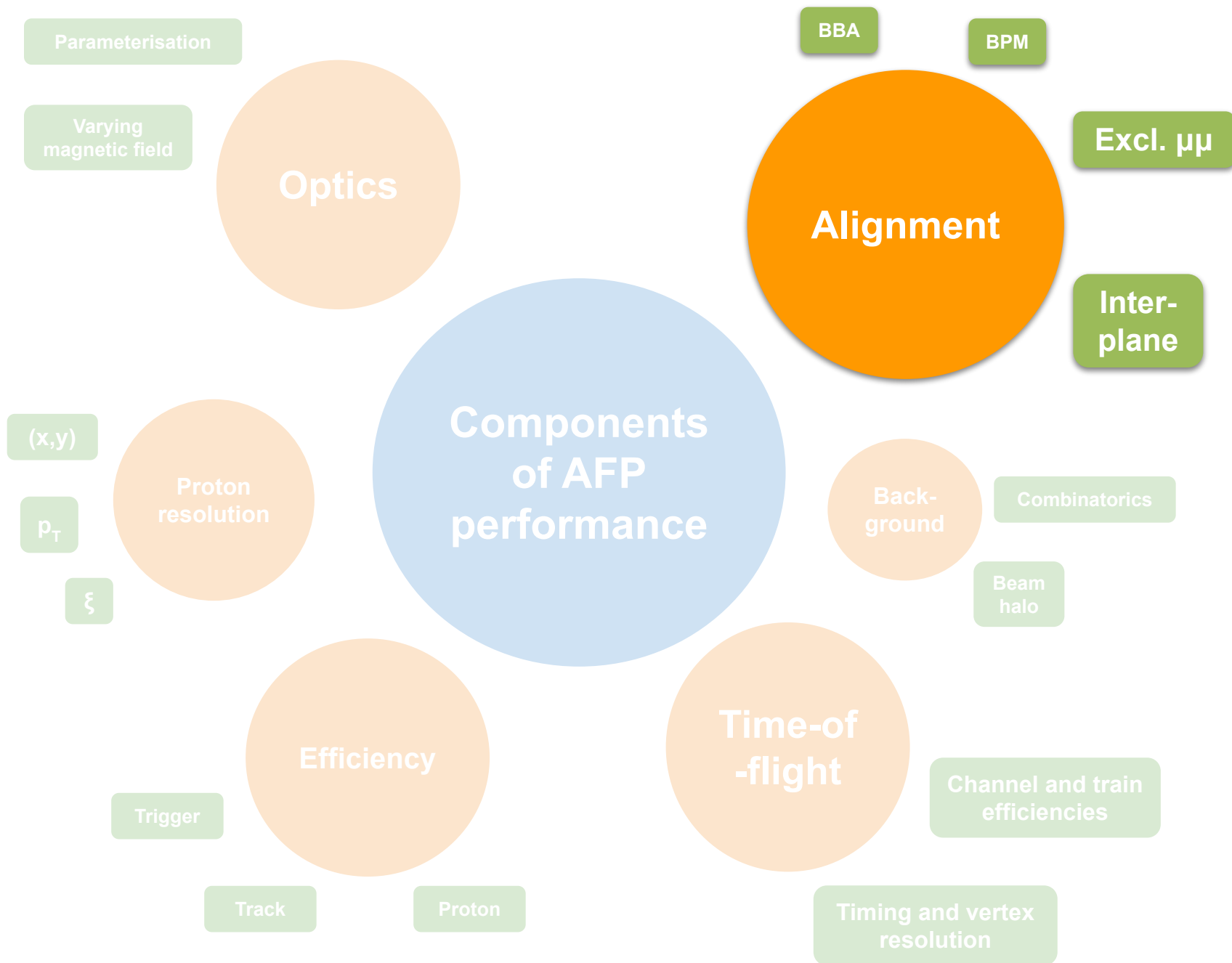
- $\mu \approx 0.005 = 0.4 \text{ nb}^{-1}$
- $\mu \approx 0.05 = 35 \text{ nb}^{-1}$
- $\mu \approx 0.02\text{--}1.0 = 170 \text{ nb}^{-1}$

2023

- $\mu \approx 0.05 = 61 \text{ nb}^{-1}$
- $\mu \approx 0.2 = 29 \text{ nb}^{-1}$
- $\mu \approx 1 = 175 \text{ nb}^{-1}$

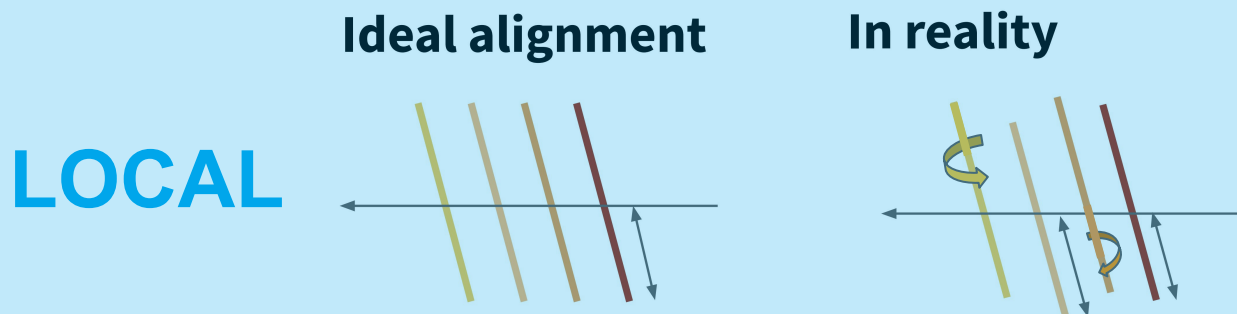
**PHYSICS MOTIVATION: single-diffractive production, pomeron structure, rapidity gaps**





# Components of AFP alignment

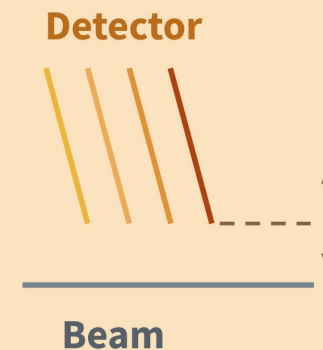
- Track reconstruction relies on knowing the relative alignment of SiT planes in each station



- Preliminary alignment calculated for Run 3 ✓
- Investigating alternative global  $\chi^2$  method to remove weak modes and incorporate more parameters

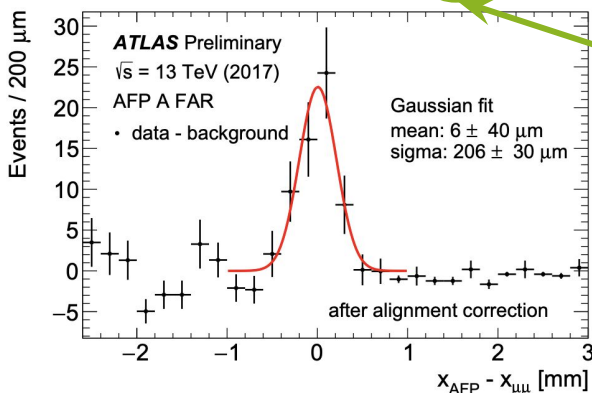
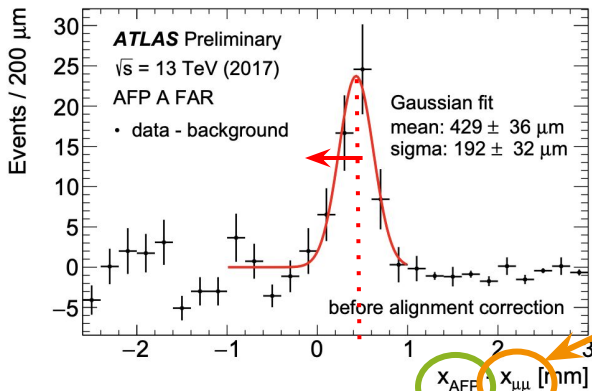
## **GLOBAL** DOMINANT SYSTEMATIC IN RUN 2

- Accurate reconstruction of proton position and therefore energy loss relies on knowing the relative position of AFP stations wrt the beam
- Several components to this “global alignment”
  - Distances related to beam–detector distance measured with Beam-Based Alignment (**BBA**) and Beam-Position Monitoring (**BPM**) methods ✓
  - Residual corrections derived from **exclusive dimuon** data **IN PROGRESS**



# Global alignment: exclusive dimuons

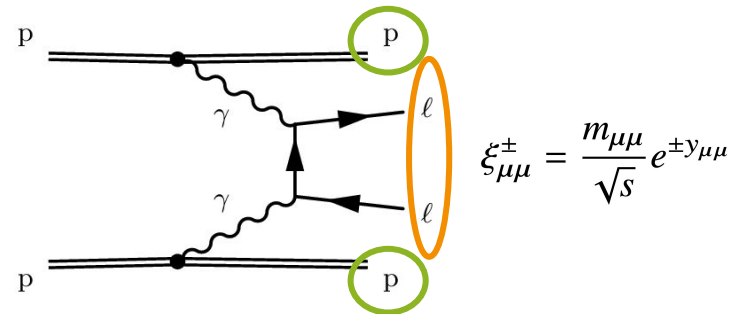
<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/ForwardDetPublicResults>



- Residual corrections calculated from AFP “standard candle” =  $\gamma\gamma \rightarrow \mu\mu$  process:

Predict proton position from pure dimuon data sample

And compare to proton positions measured in AFP



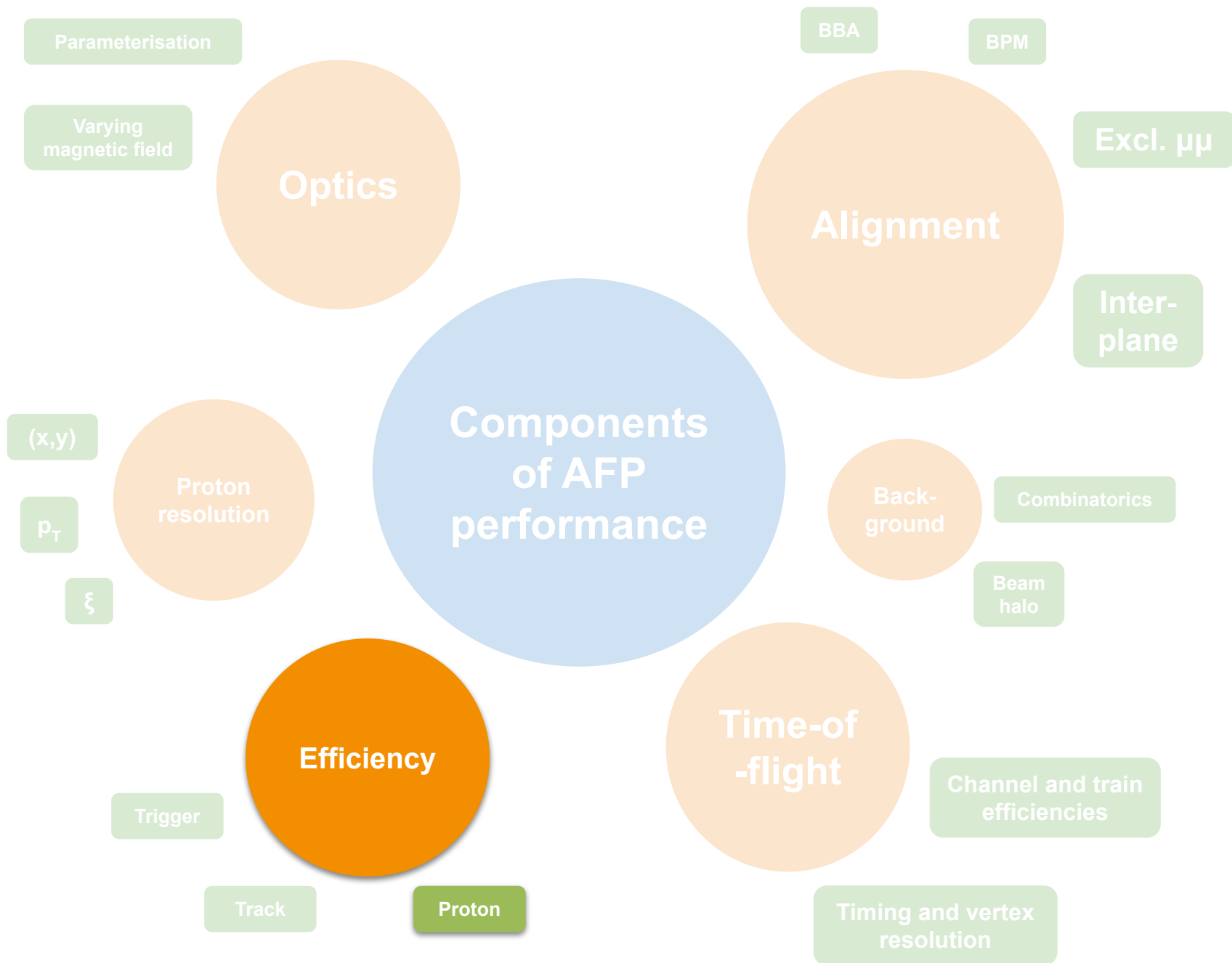
- Systematic uncertainty in Run 2 of  $\pm 300 \mu\text{m}$ 
  - ~10% signal yield uncertainty in [1], 6% impact on cross-section measurement in [2]

## IN RUN 3

- Hoping to reduce systematic to  $\sim 100 \mu\text{m}$
- Needs understanding of LHC beam optics **IN PROGRESS**

→ See poster by Sergio Javier Arbiol Val

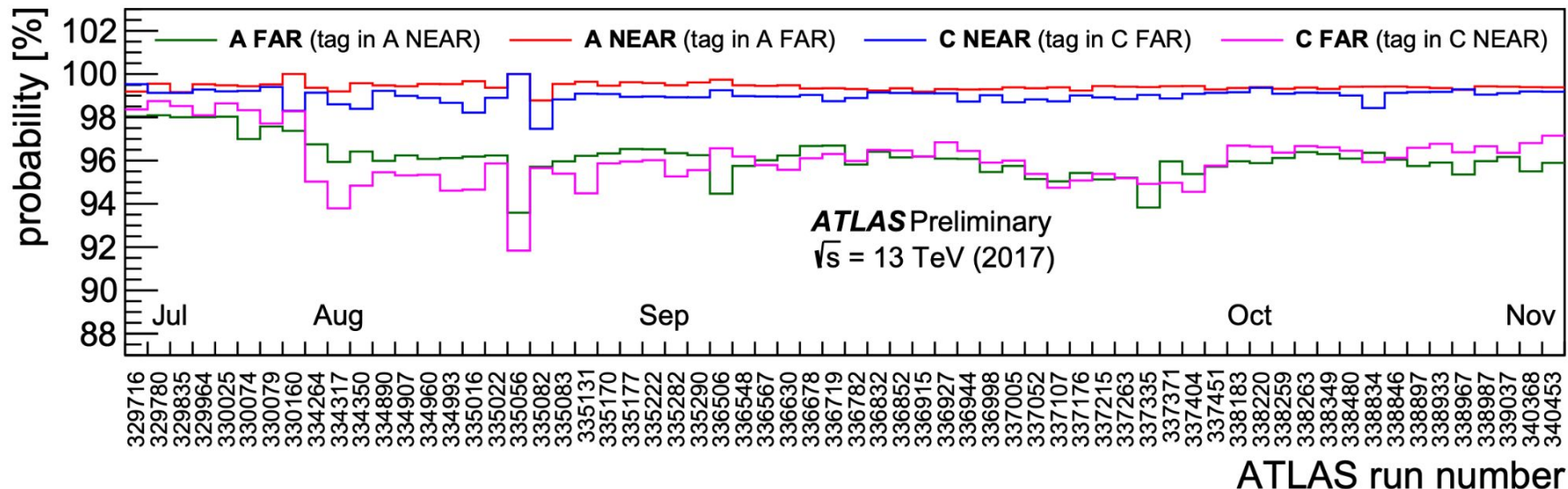
[1] ALP search with AFP [JHEP 07 \(2023\) 234](#), [2] Dileptons + AFP proton tag [PRL 125 \(2020\) 261801](#)



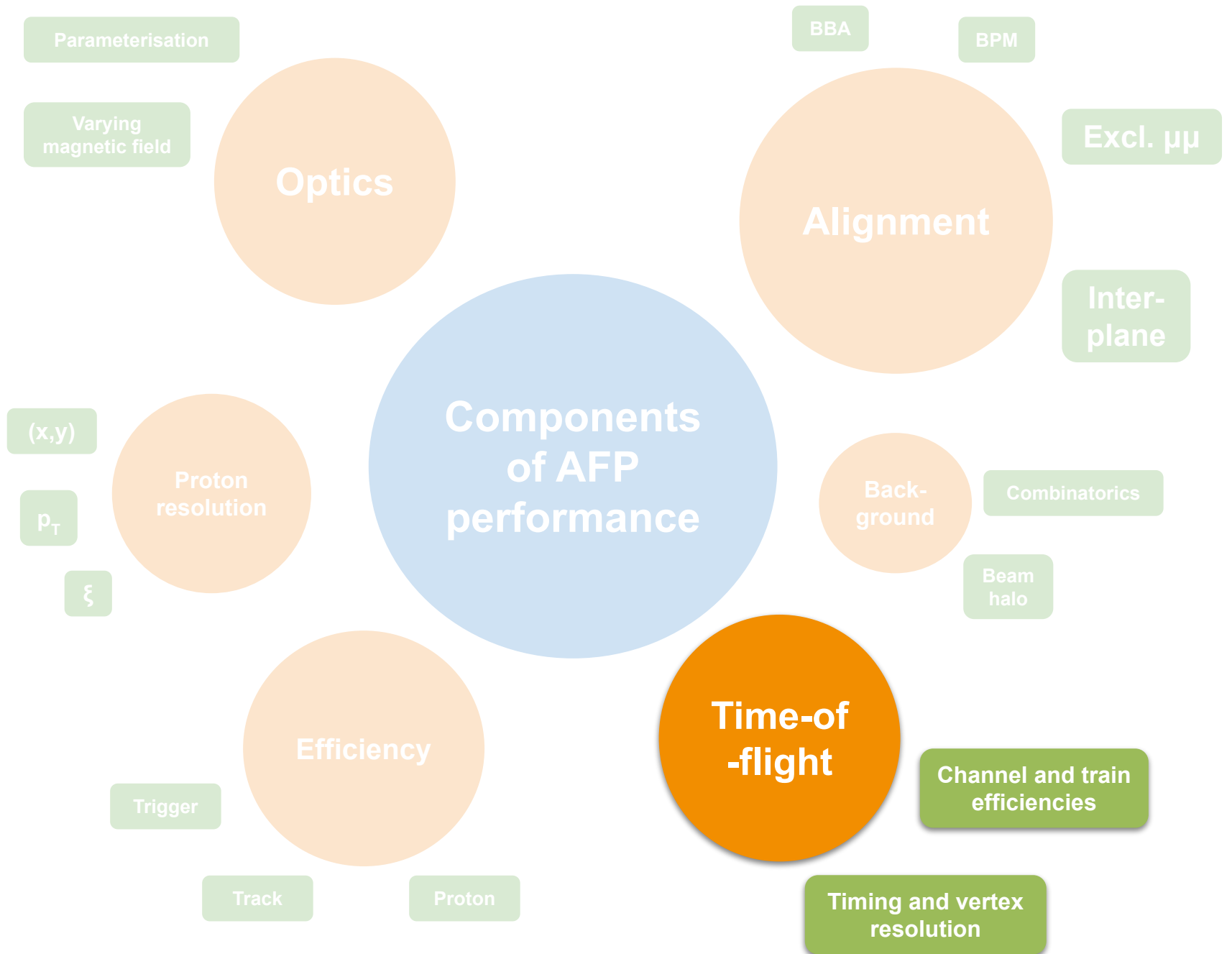


# Proton reconstruction efficiency in Run 2

- Station tag-and-probe method used to find efficiency of reconstructing a proton



- Efficiency in outer (FAR) stations is lower due to proton showering between stations
- Default proton reconstruction requires a proton track in both NEAR and FAR station → **proton reconstruction efficiency =  $92 \pm 2 \%$**



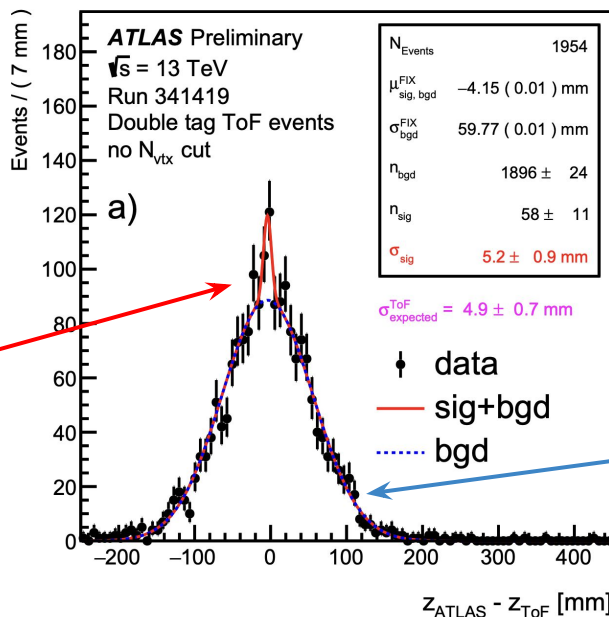
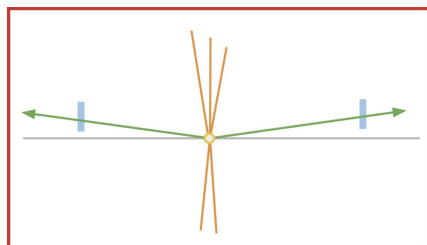
# Time-of-flight: Run 2

ATL-FWD-PUB-2021-002

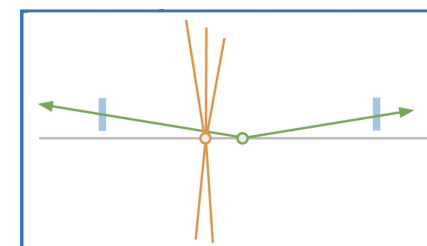
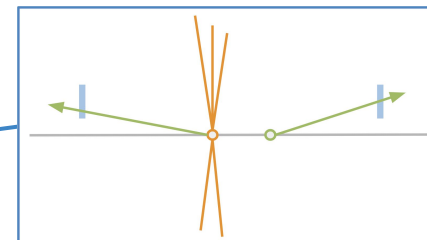
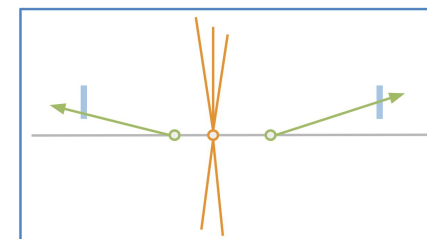
- Overall timing resolution measured to be between 20 – 30 ps
  - Equal to **~5 mm vertex resolution**, improving background suppression in high pileup runs

Initial timing resolution goal of < 30 ps  
Ultimate resolution goal of 10 ps  
[\[ATL-TDR-024\]](#)

## SIGNAL



## BACKGROUNDS



- However, **train efficiency < 7%** for the majority of data-taking
  - Due to fast degradation of the PMTs in harsh operating conditions

<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/ForwardDetPublicResults>

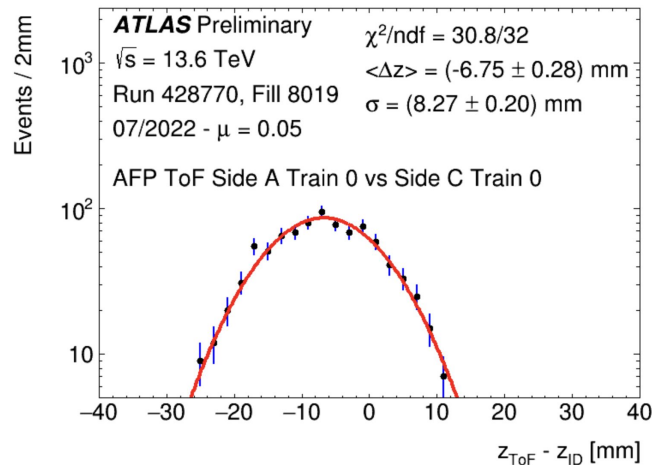
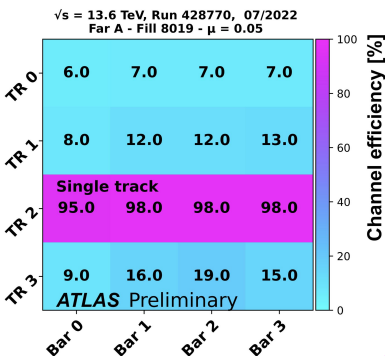
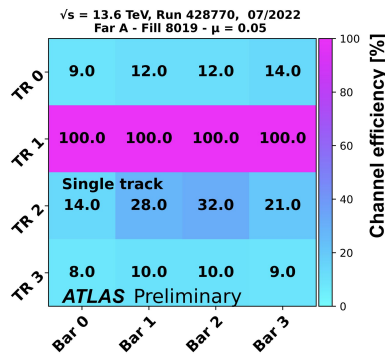
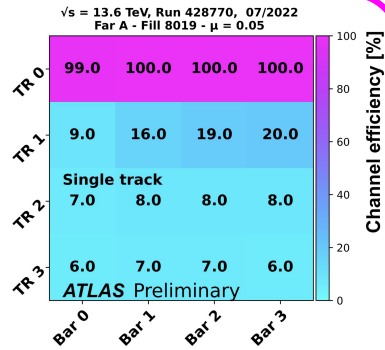
# NEW: Time-of-flight in Run 3

## What's new?

- New PMTs and Out-of-Vacuum solution in Run 3 to address ToF inefficiency
- New trigger module: possibility to trigger on single train

## Impact:

- Early low-pileup data shows high ToF channel efficiency
- Performance in higher pileup runs and over time is under study



Vertex resolution 8–9 mm

# Summary

- Improved understanding of AFP operation and performance in Run 3
- Dominant systematics in Run 2 analyses arose from LHC beam optics effects and global alignment
  - Lots of effort in Run 3 to reduce these
- Early Run 3 low-pileup data shows high ToF efficiency

**Lots of new physics from Run 2 and 3 still to come!**

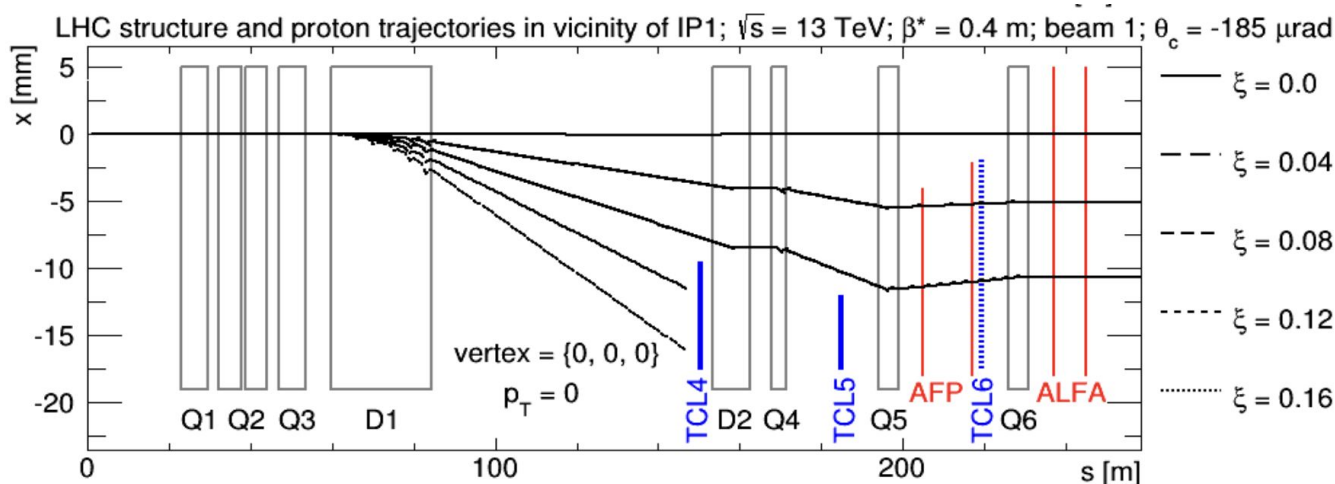
## **AFP public physics results:**

- [ATL-PHYS-PUB-2015-003](#) Exclusive Jet Production with Forward Proton Tagging Feasibility Studies for the AFP Project
- [ATL-PHYS-PUB-2017-012](#) Proton tagging with the one arm AFP detector
- [PRL 125 \(2020\) 261801](#) Observation and measurement of forward proton scattering in association with lepton pairs produced via the photon fusion mechanism at ATLAS
- [JHEP 07 \(2023\) 234](#) **Search for an axion-like particle with forward proton scattering in association with photon pairs at ATLAS**

# Backup

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- Proton trajectories and therefore **AFP acceptance** depend heavily on LHC beam optics

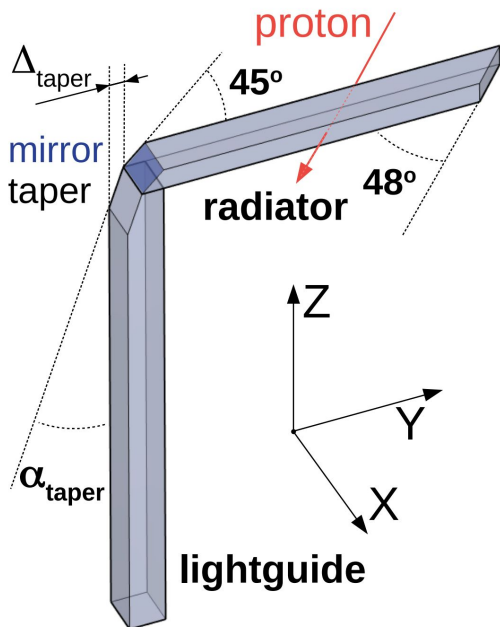


- Proton transport determined from MAD-X simulation to relate proton position in AFP to proton energy loss. From Run 2 dilepton measurement [PRL 125 \(2020\) 261801](#):

$$x(\xi) = -119\xi - 164\xi^2$$

- Systematic uncertainties determined by varying beam crossing angle in MAD-X. **Large uncertainty in many Run 2 analyses.**
- In Run 3:** Studies on varying magnetic fields to cross-check results and reduce systematic uncertainties

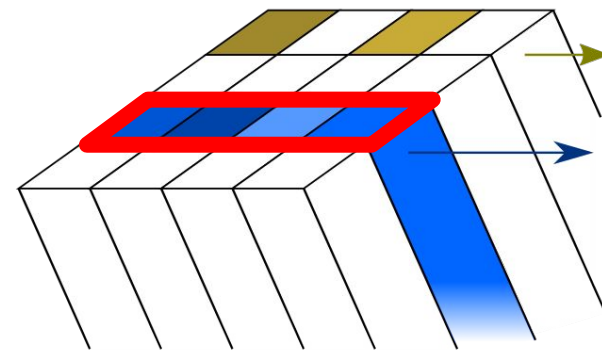
# AFP-ToF: LQ bar dimensions (Run 2)



haped quartz bar (LQ-bar) sends Cherenkov signal to microchannel plate photomultiplier tube (MCP-PMT)

**4 channels aligned along proton trajectories to form “trains”**

The transverse size of the LQ-bars range from **2 mm** (closest to beam) to **5 mm** (farthest from the beam)

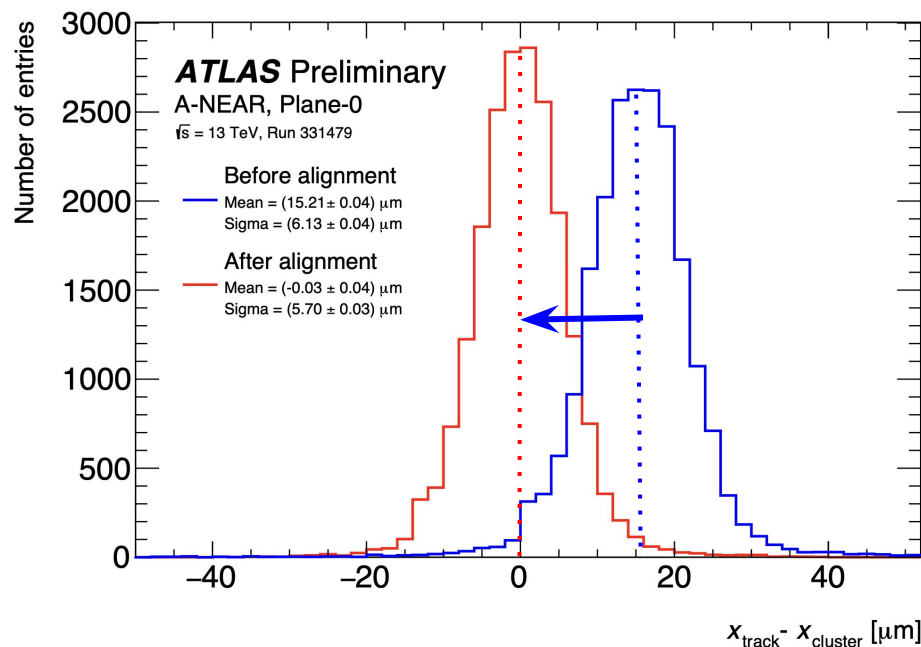



LQ bar dimensions: $Z \times Y \times X$ [mm] / $\alpha_{taper}$ [°] / $\Delta_{taper}$ [mm]					
train	radiators A	radiators B	radiators C	radiators D	lightguides
0	$2 \times 62.41 \times 6$	$2 \times 56.78 \times 6$	$2 \times 51.15 \times 6$	$2 \times 45.52 \times 6$	$71.3 \times 5 \times 6/18/3$
1	$4 \times 58.16 \times 6$	$4 \times 52.53 \times 6$	$4 \times 46.9 \times 6$	$4 \times 41.27 \times 6$	$67.2 \times 5 \times 6/18/1$
2	$5 \times 52.91 \times 6$	$5 \times 47.28 \times 6$	$5 \times 41.65 \times 6$	$5 \times 36.02 \times 6$	$62.1 \times 5 \times 6/0/0$
3	$5.5 \times 46.6 \times 6$	$5.5 \times 43.03 \times 6$	$5.5 \times 35.4 \times 6$	$5.5 \times 29.77 \times 6$	$56.6 \times 5.5 \times 6/0/0$



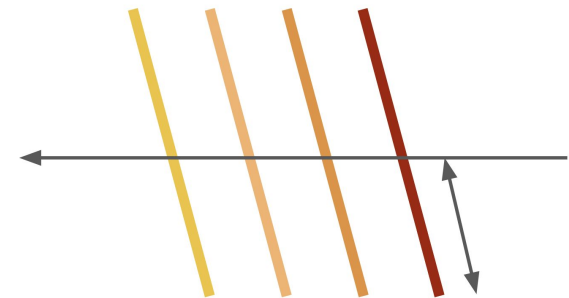
# AFP-SiT: Local (inter-plane) alignment

- Track reconstruction requires knowledge of the relative position of SiT planes within each station
- Offsets typically  $O[10 \mu\text{m}]$  and rotations  $O[\text{mrad}]$

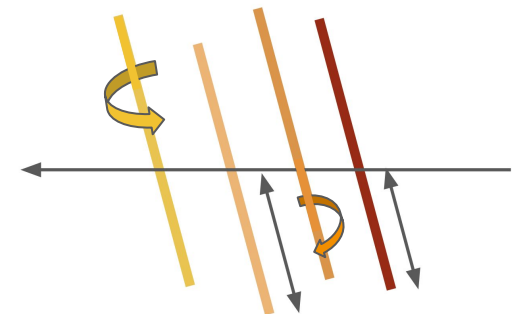


- Preliminary alignment calculated for 2022 
- Iterative method planned to be updated with global  $\chi^2$  method (used for ATLAS inner detector alignment)

## Ideal alignment

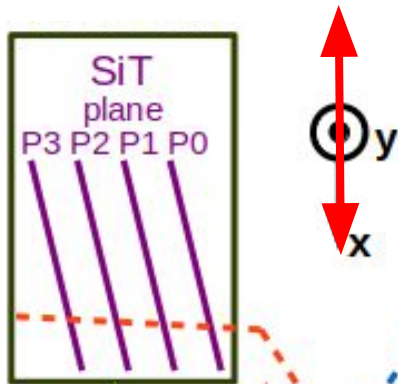


## In reality

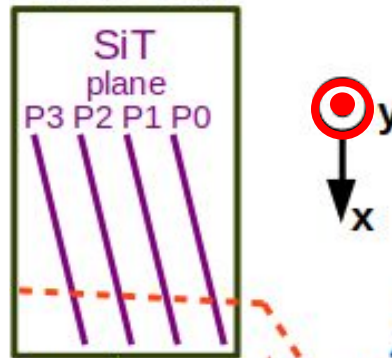


# 2022 preliminary inter-plane alignment

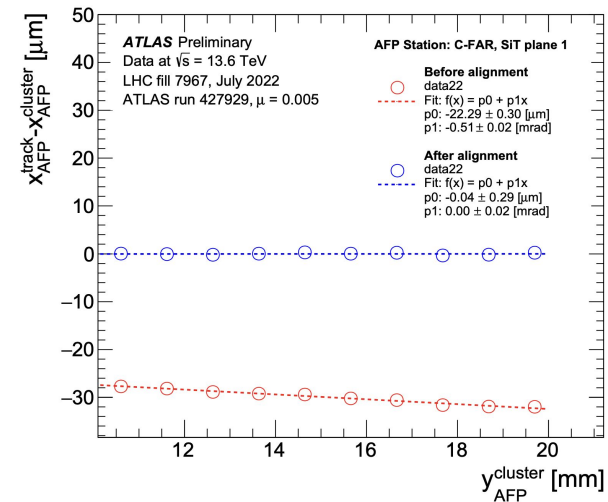
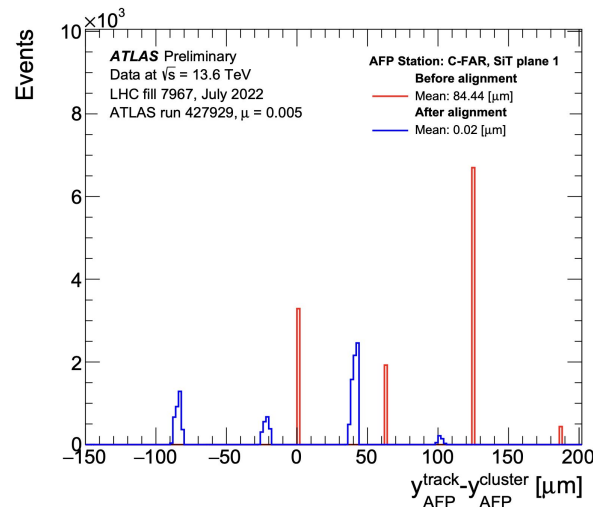
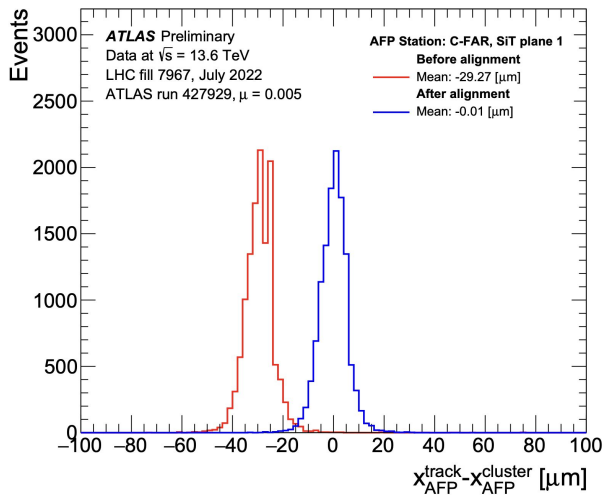
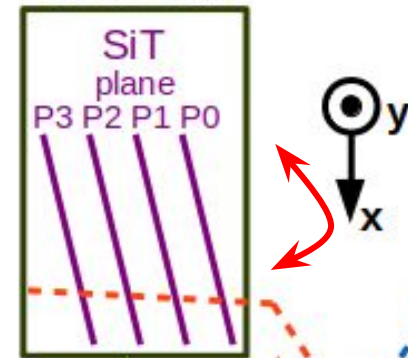
## Offsets in x



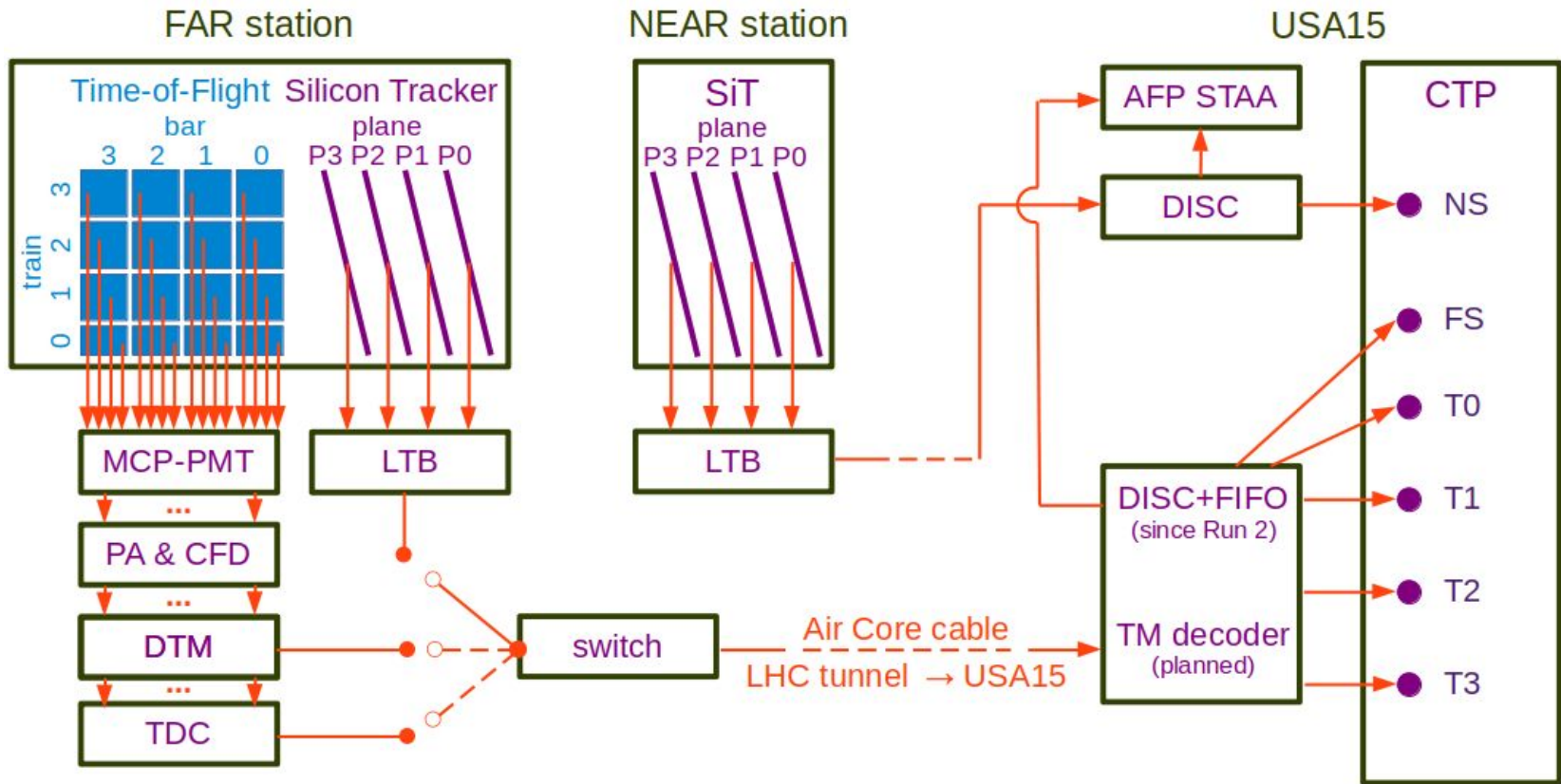
## Offsets in y



## Rotations about z



# AFP trigger system



SiT trigger dead-time is 400 ns (= 16 bunch crossings) and therefore SiT trigger is only used in low pileup runs

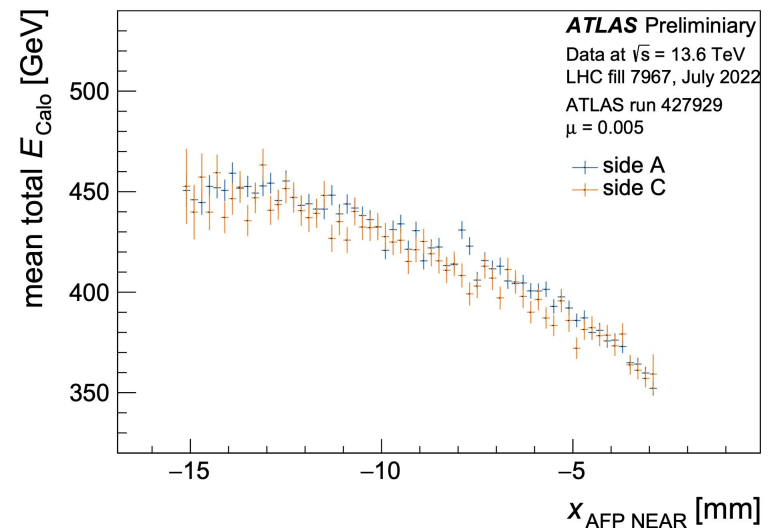
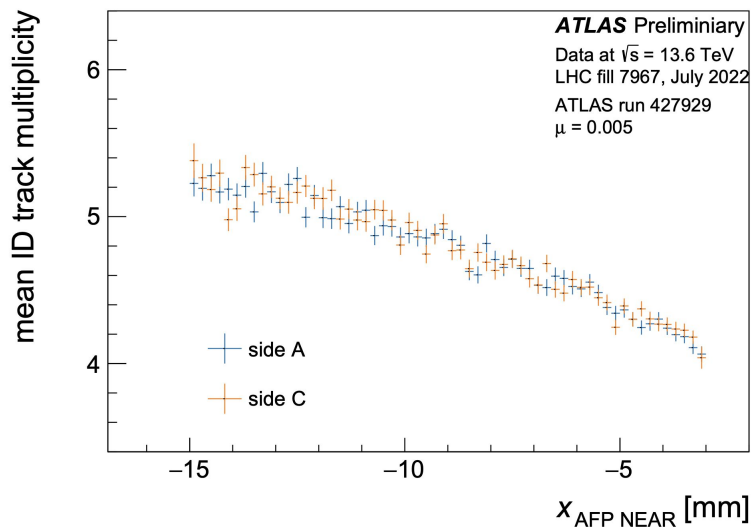
# Correlation with ATLAS in Run 3

- Analysis of AFP performance is underway, with first checks for correlation with activity in central ATLAS

## Correlation of proton position in AFP (related to proton energy loss) with

### Number of inner detector tracks:

### Energy deposited in calorimeter:

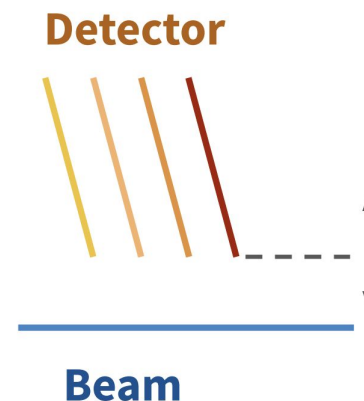


- Requirement of exactly one track reconstructed in each AFP station
- The more activity seen in ATLAS, the more energy the proton in AFP has lost ✓

<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/ForwardDetPublicResults>

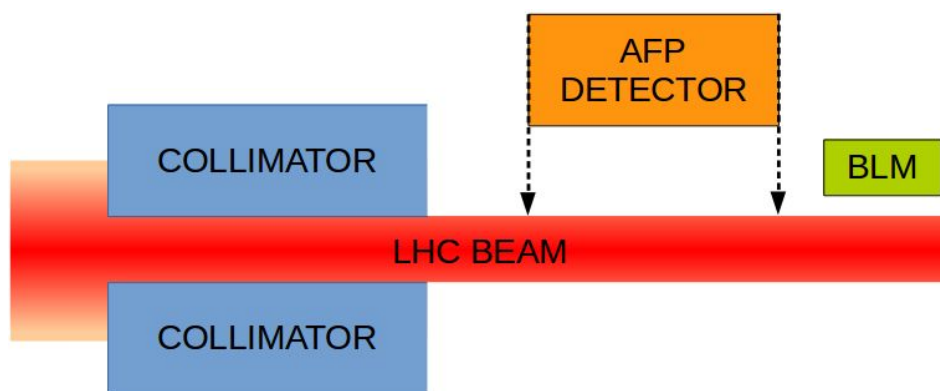
# BBA and BPM procedures

- Position of SiT sensors wrt. the beam must be known accurately to reconstruct proton position and energy loss
- Beam–station distance calculated with Beam Based Alignment (BBA) and Beam Position Monitoring (BPM) methods



## BBA

- AFP moved into beam until signal measured in beam loss monitor (BLM)
- BLM intercept secondary particles showers caused by beam particles

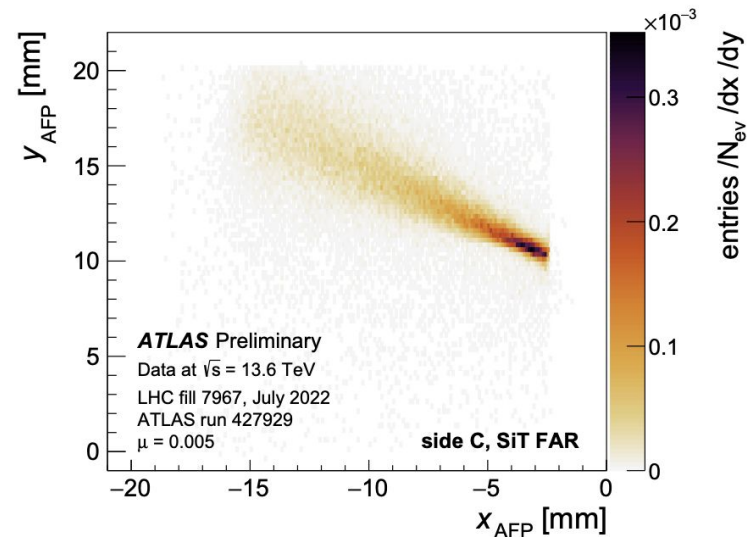
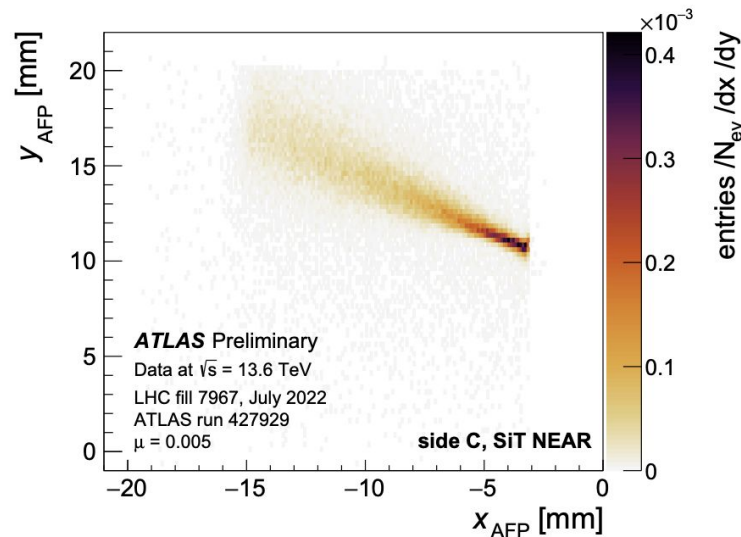
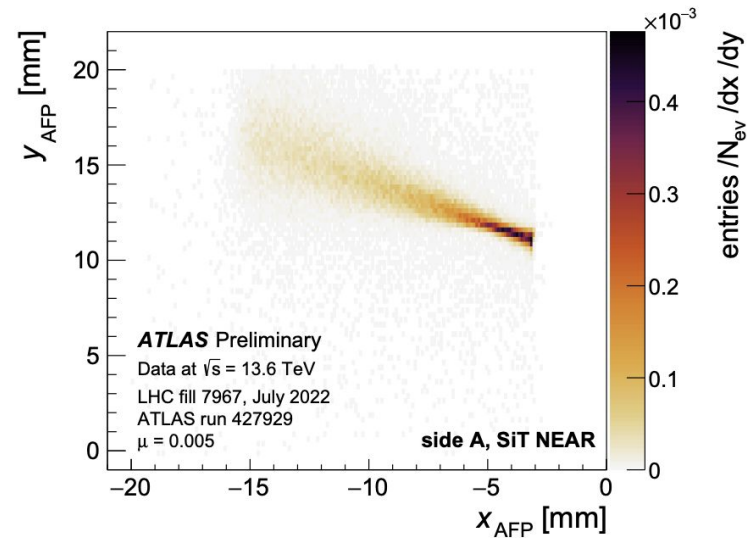
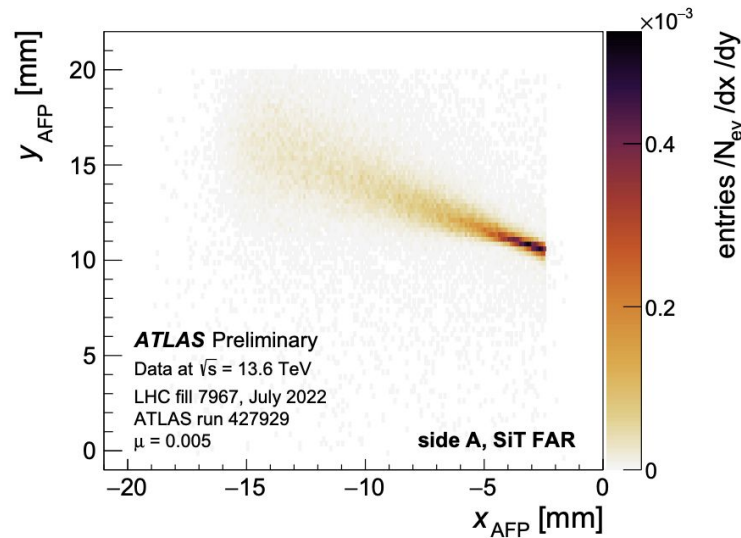


## BPM

- Results from BBA cross-checked with BPM on shorter time-scales
- Non-destructive diagnostic scans to find the beam centre and monitor longitudinal shape

# Run 3: Track position in AFP

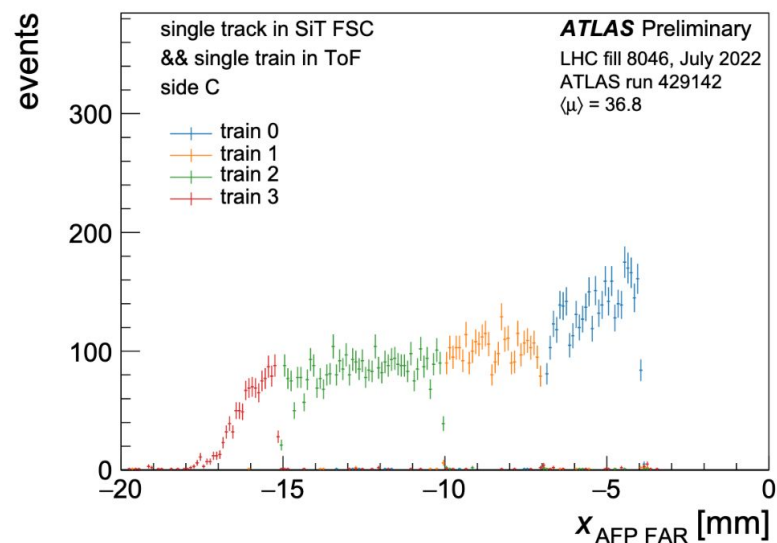
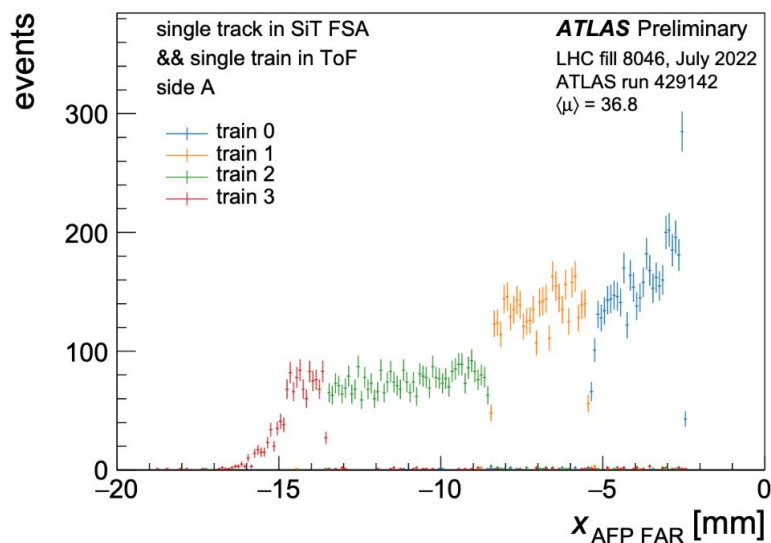
<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/ForwardDetPublicResults>



Events triggered by MBTS, with reconstructed primary vertex and exactly one track in both NEAR and FAR stations on a given side.

# Time-of-flight: Run 3

## Correlation between SiT and ToF detectors

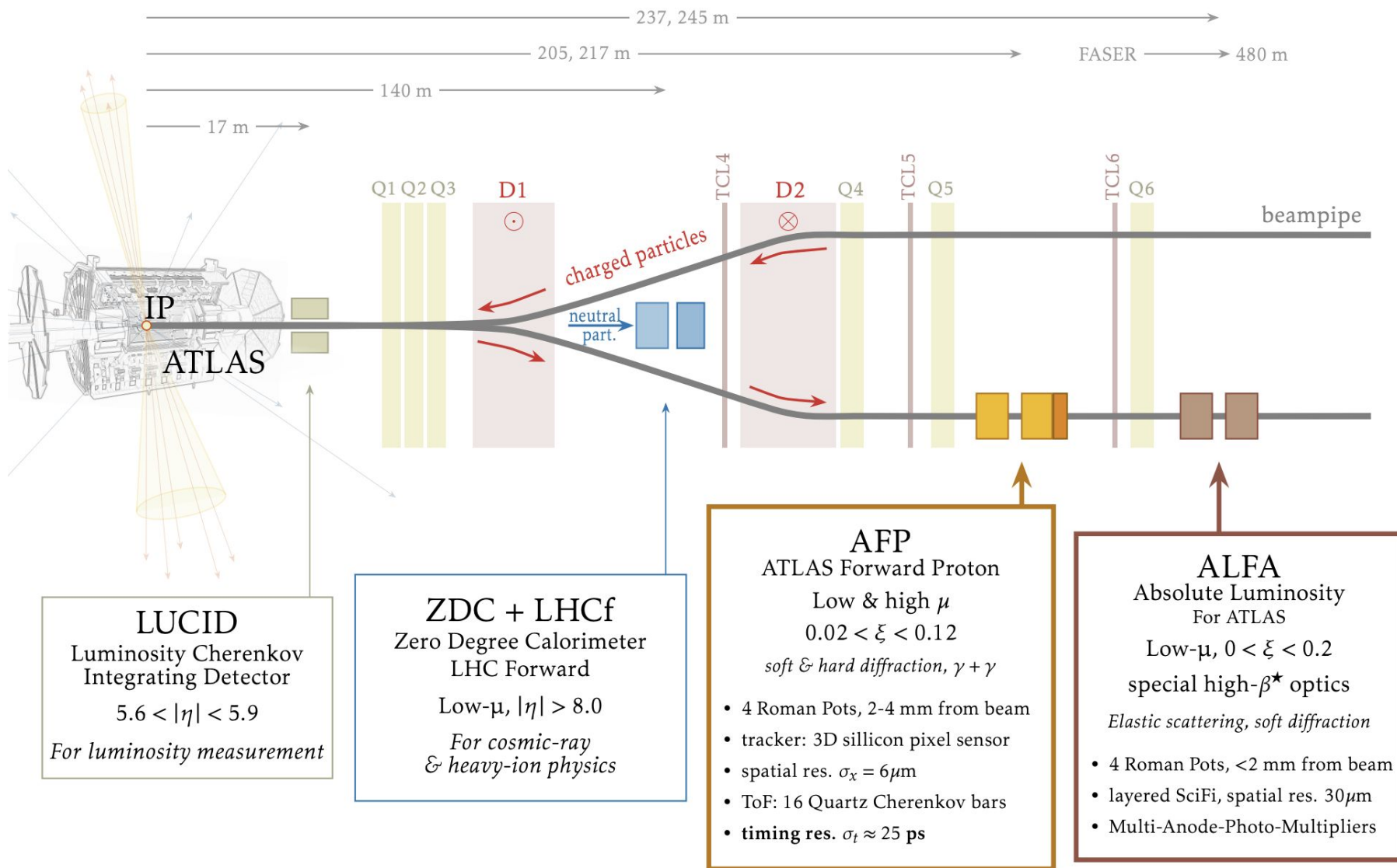


The x position of the track reconstructed in AFP SiT (FAR station) in events in which a single-train signal in ToF detector was observed. Different colors were used to visualize the SiT regions corresponding to individual trains. The machined x-width of the ToF bars is 3/3/5/5.5 mm for train 0/1/2/3. The differences in the  $x_{AFP\ FAR}$  between sides are due to inaccuracy of global alignment

<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/ForwardDetPublicResults>

# ATLAS forward detectors

Diagram by Maciej Lewicki





# AFP physics goals

[Nice summary of diffractive physics at the LHC: [arXiv:1909.10830](https://arxiv.org/abs/1909.10830)]

INCREASING LUMINOSITY DEMAND

- Study of rapidity gaps in diffractive processes
- Study of single-diffractive production of W, Z, and jets
- Study of the pomeron structure in soft and hard diffraction

Low- $\mu$  runs

- Study of Central Exclusive Production (CEP) in which the entire momentum loss of the protons goes into the creation of the central system
- Measure photon-induced WW production to probe anomalous gauge couplings

High- $\mu$  (standard) runs

# List of acronyms used in this talk

AFP = ATLAS Forward Proton

ALFA = Absolute Luminosity For ATLAS

ALP = Axion-Like Particle

ATLAS = A large Toroidal LHC Apparatus

BBA = Beam Based Alignment

BPM = Beam Position Monitoring

LHC = Large Hadron Collider

MBTS = Minimum Bias Trigger Scintillator

(MCP)PMT = (MicroChannel Plate) PhotoMultiplier Tube

SiT = Silicon Tracker

SM = Standard Model

ToF = Time of Flight