

# QCD Challenges in Air Shower Measurements

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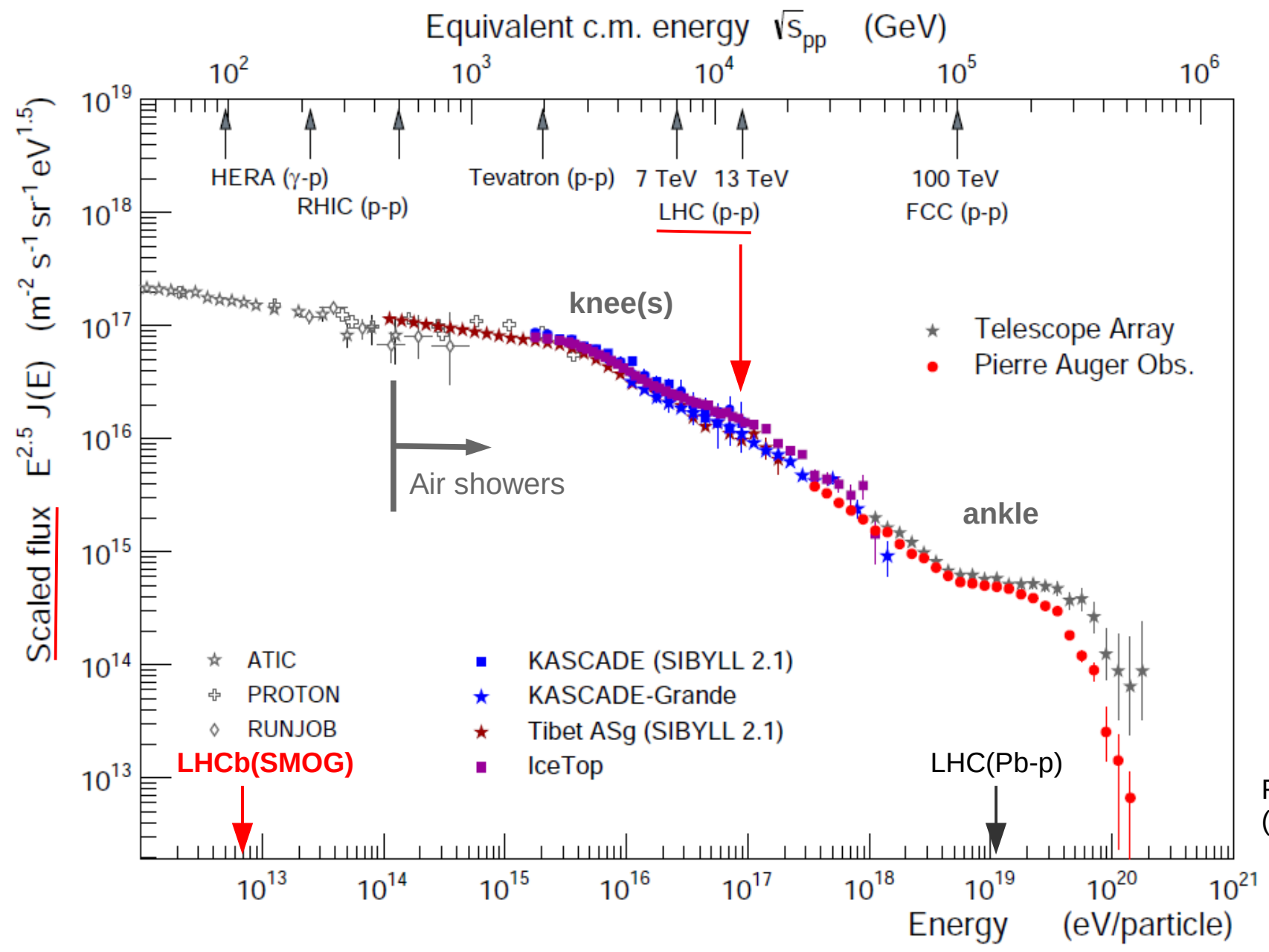
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# Outline

- Introduction
- Air shower physics
- $X_{\max}$
- Muons
  - ➔ Isospin symmetry
  - ➔ Hadronizations

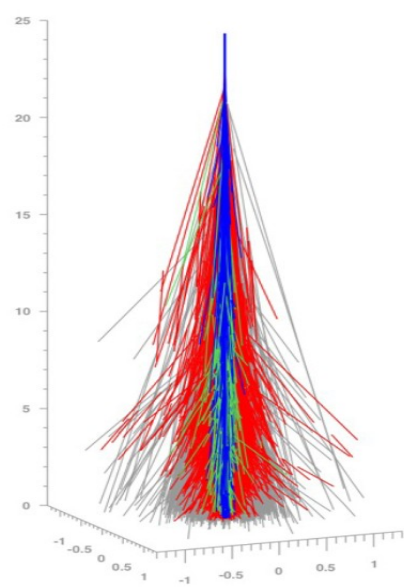
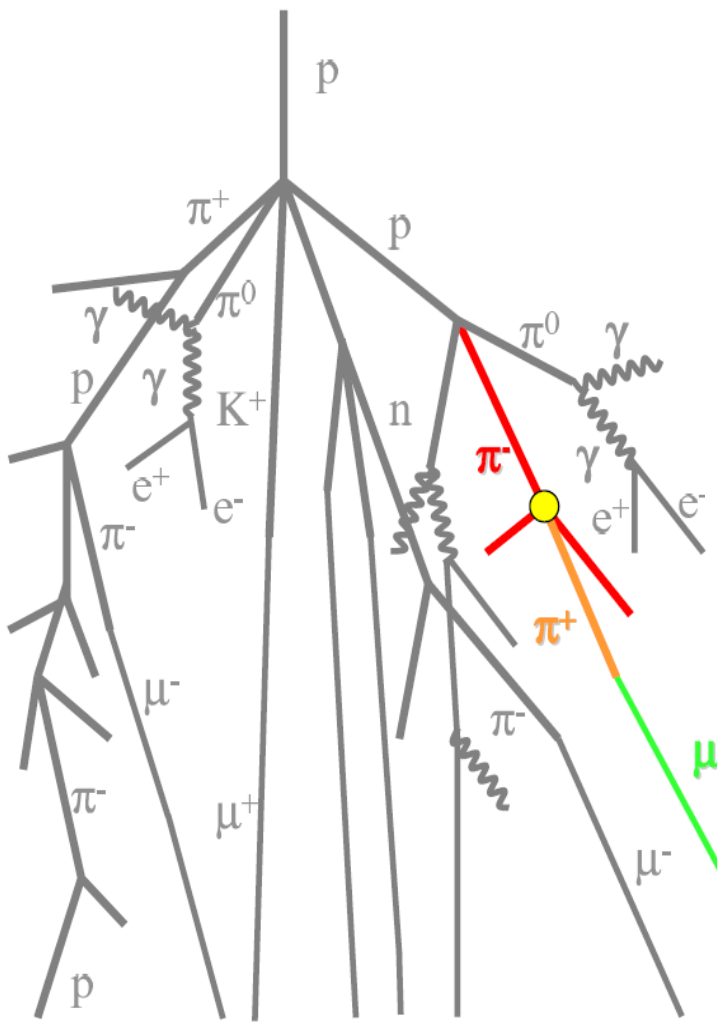
**LHC** data provide important constraints on models changing  $X_{\max}$   
Details on **hadronization** could be more important than thought until now, impacting the muon production, and need careful study at LHC in particular with **pO data**.

# Energy Spectrum



R. Engel (KIT)

# Extensive Air Shower



$A + air \rightarrow$  hadrons  
 $p + air \rightarrow$  hadrons  
 $\pi + air \rightarrow$  hadrons

hadronic physics

initial  $\gamma$  from  $\pi^0$  decay

$e^\pm \rightarrow e^\pm + \gamma$   
 $\gamma \rightarrow e^+ + e^-$

well known  
 QED

$\pi^\pm \rightarrow \mu^\pm + \nu_\mu / \bar{\nu}_\mu$

## Cascade of particle in Earth's atmosphere

Number of particles at maximum

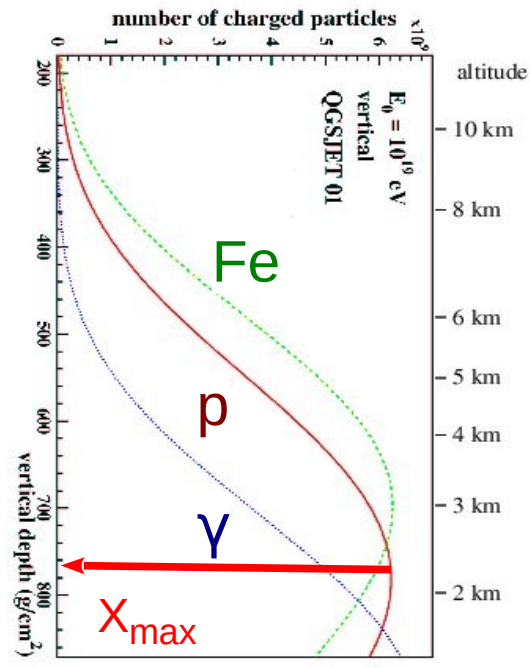
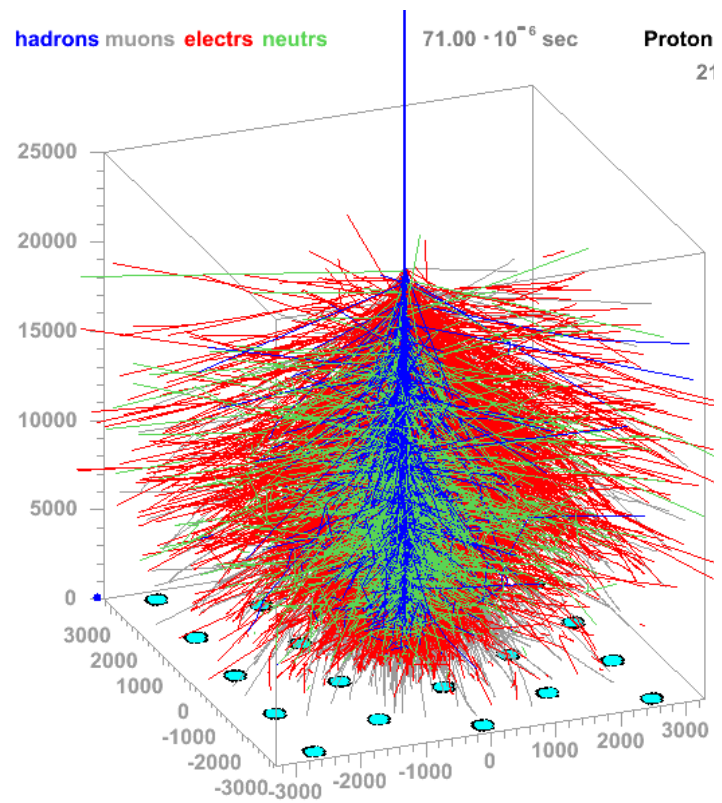
- ➔ 99,88% of electromagnetic (EM) particles
- ➔ 0.1% of muons
- ➔ 0.02% hadrons

Energy

- ➔ from 100% hadronic to 90% in EM + 10% in muons at ground (vertical)

From R. Ulrich (KIT)

# Extensive Air Shower Observables



## ● Longitudinal Development

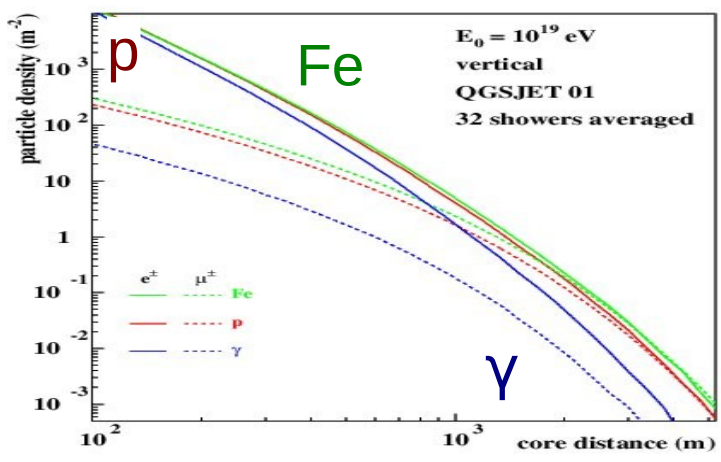
➔ number of particles vs depth

$$X = \int_h^\infty dz \rho(z)$$

➔ Larger number of particles at  $X_{max}$

For many showers

- ◆ mean :  $\langle X_{max} \rangle$
- ◆ fluctuations : RMS  $X_{max}$
- ◆ depends on primary mass
- ◆ depends on Hadr. Inter.



## ● Lateral distribution function (LDF)

➔ particle density at ground vs distance to the impact point (core)

➔ can be muons or electrons/gammas or a mixture of all.

## ● Others: Cherenkov emissions, Radio signal

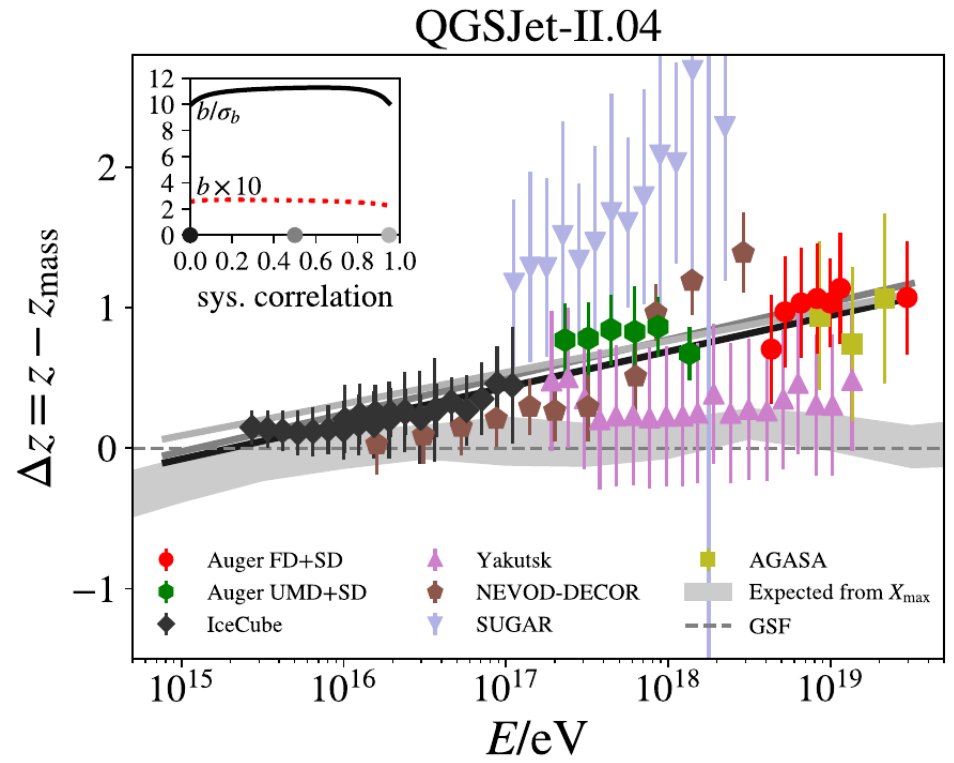
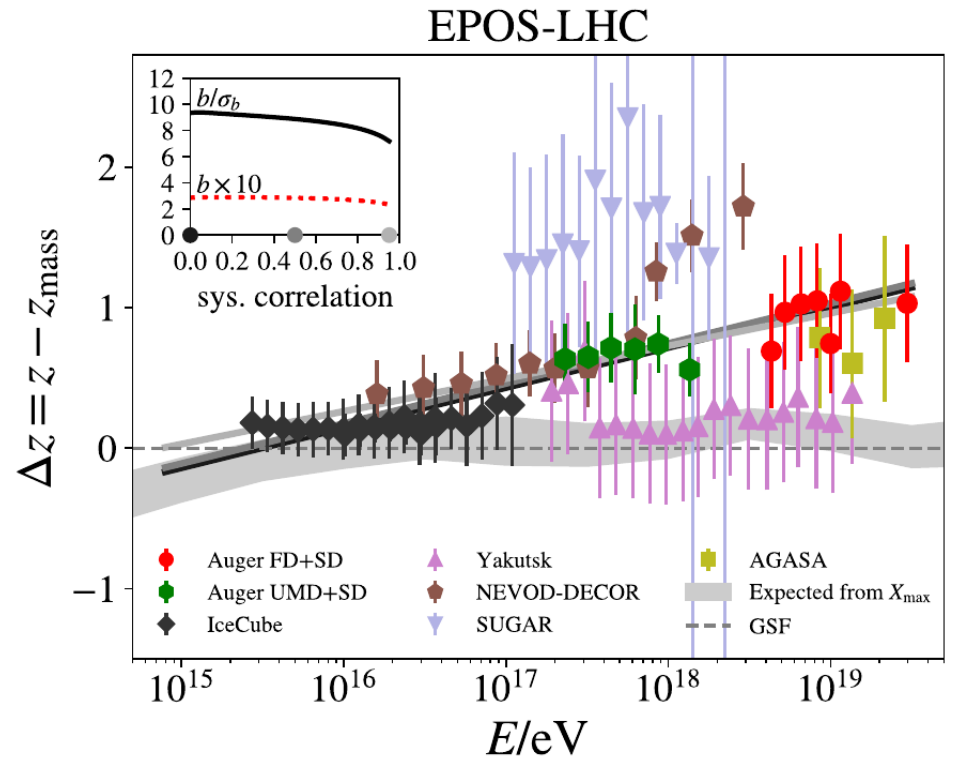
# WHISP Meta-Analysis (2021)

- Clear muon excess in data compared to simulation
- ➔ Different energy evolution between data and simulations

$$z = \frac{\ln N_{\mu}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}{\ln N_{\mu,Fe}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}$$

➔ Significant non-zero slope ( $>8\sigma$ )

$$z_{\text{mass}} = \frac{\langle \ln A \rangle}{\ln 56}$$

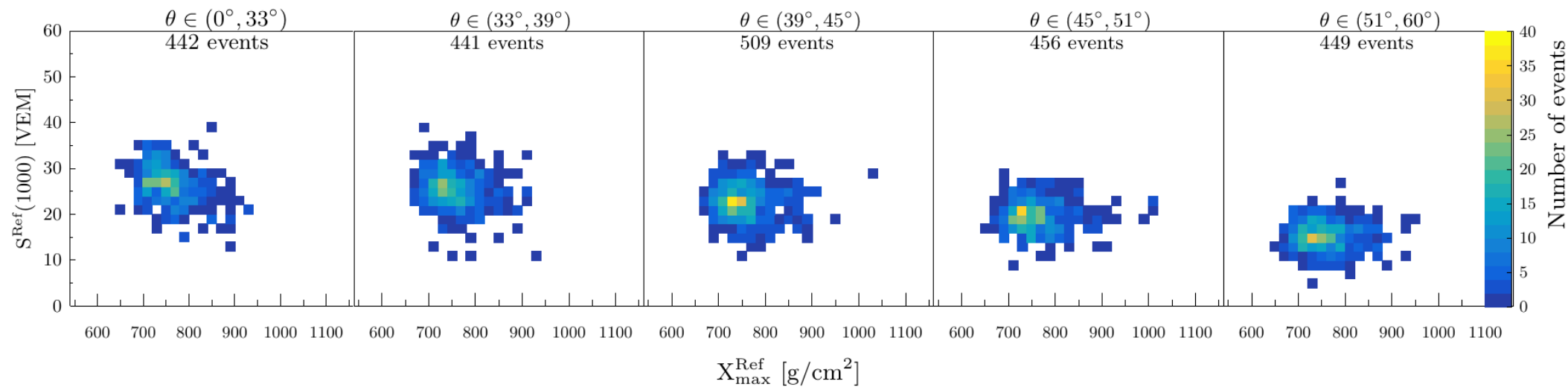


Plots by H. Dembinski

- Different energy cannot change the slope
- ➔ Different property of hadronic interactions at least above  $10^{17}$  eV

# $X_{max}$ -S(1000) correlation

## Hybrid measurements allows to test model consistency in more details



$$X_{max}^{Ref} \equiv \widehat{X_{max}^{Ref}} + \Delta X_{max},$$

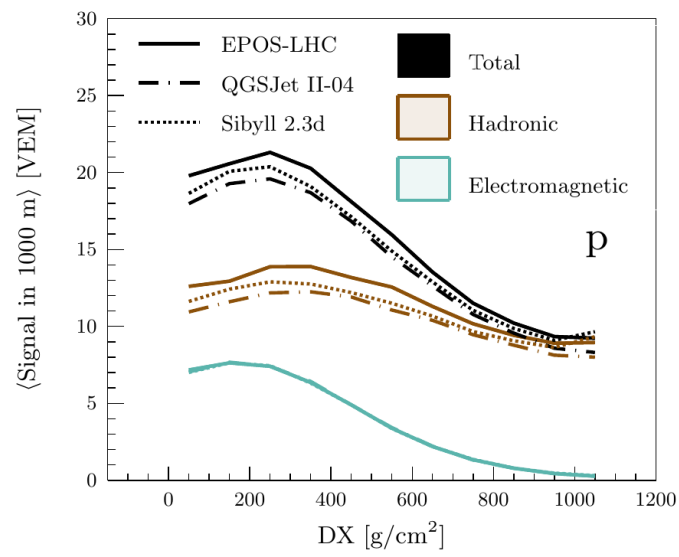
$$S^{Ref}(1000) \equiv \widehat{S^{Ref}(1000)} \cdot f_{SD}(\theta)$$

Parameters:

$$\Delta X_{max}, R_{Had}, R_{em}, \xi_1, \xi_2, \xi_3$$

Describe the 4 mass fractions

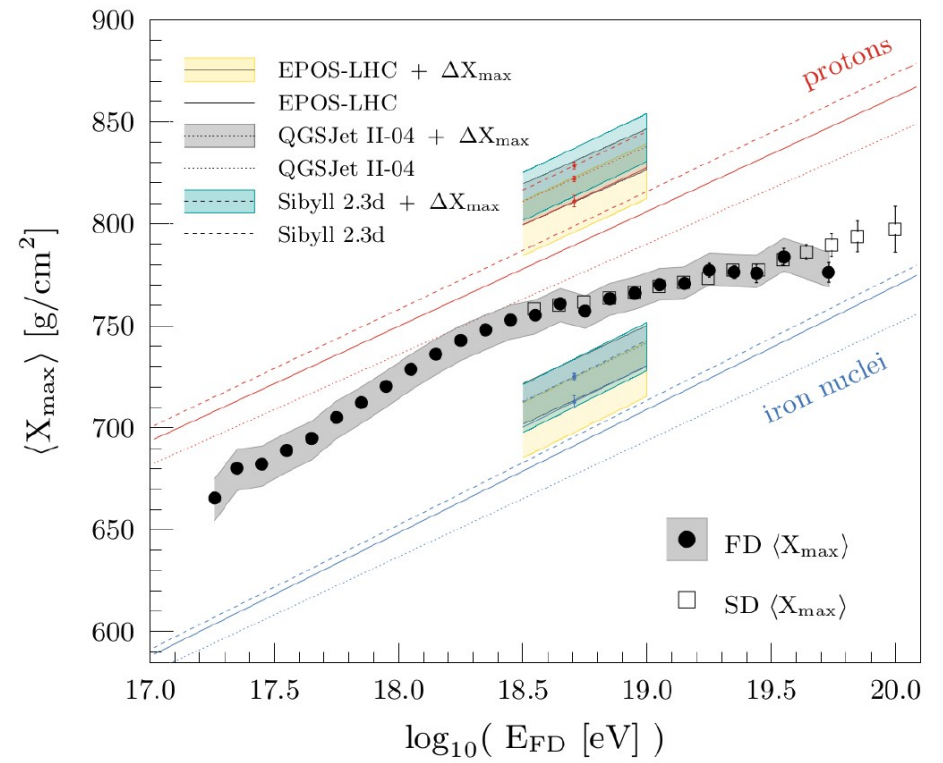
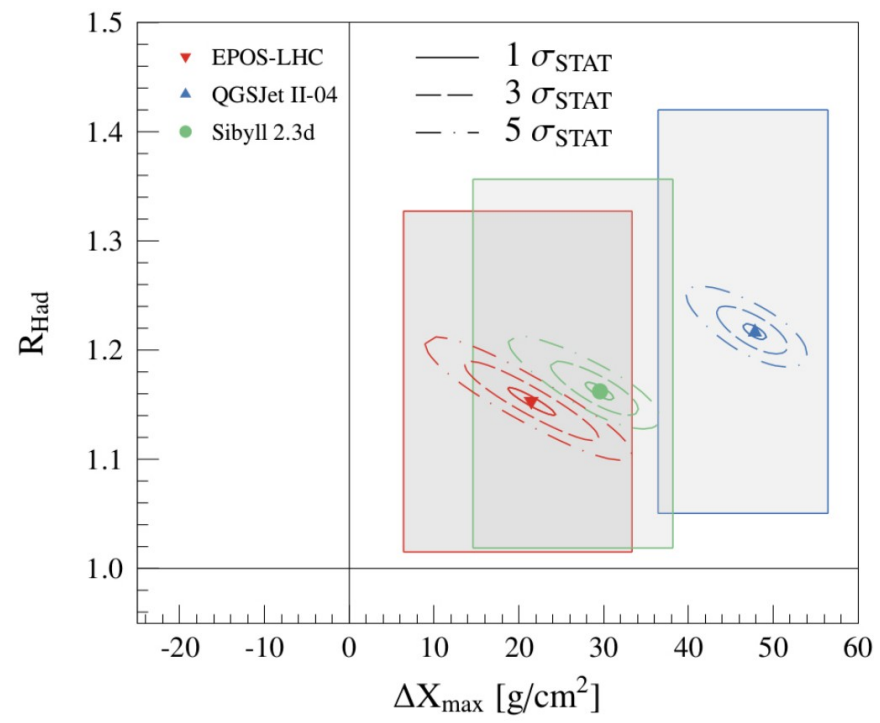
$$\phi = c \cdot f_{Gumbel}(X_{max}^{Ref}) \cdot f_{Gauss}(X_{max}^{Ref}, S^{Ref}(1000))$$



The final MC templates are a sum of templates of the form of  $\Phi$  of individual primary species weighted by their relative fractions.

# Modifications of $X_{max}$ and signal at ground

- Best fit of data require multiple changes in hadronic models
  - ➔ Rescaling (increase) of muons (hadronic component → confirmed)
  - ➔ Shift in  $X_{max}$  toward higher mass (electromagnetic component → new)
- Might imply a change in mass composition
  - ➔ Importance of LHC data to improve models (pO and forward data to reduce  $X_{max}$  and muon uncertainties)

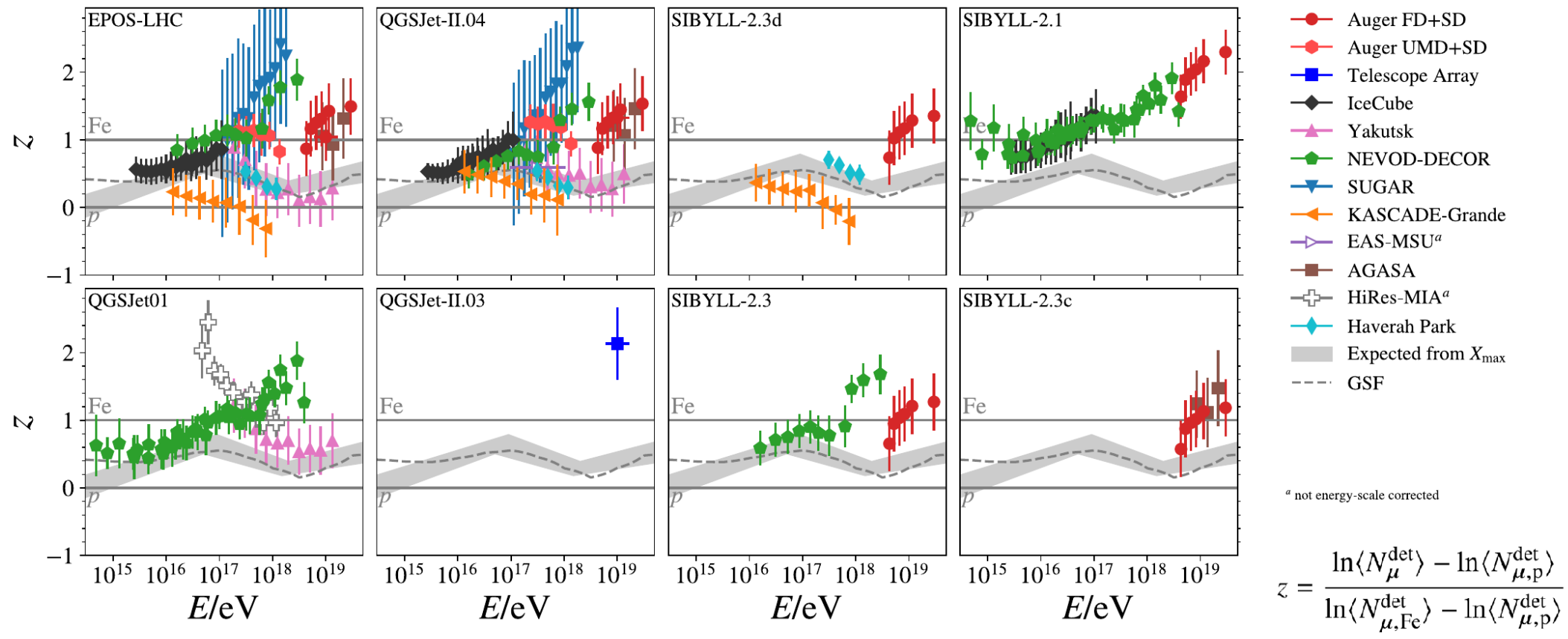




# Blurry Picture.

● “Muon Puzzle” ( $\langle N_{\mu} \rangle$ ) depends on energy measurement technique

➔ Update of WHISP analysis (2023)

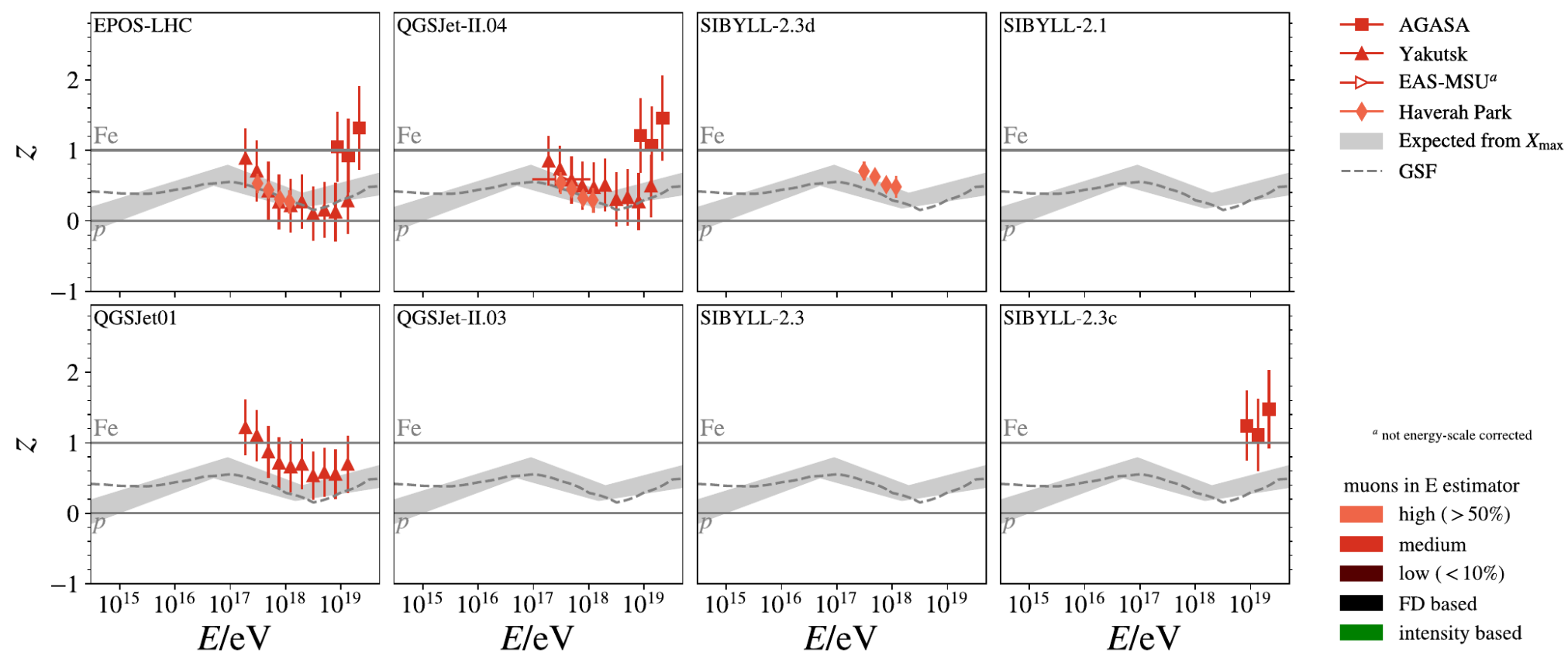


# Blurry Picture..

● “Muon Puzzle” ( $\langle N_{\mu} \rangle$ ) depends on energy measurement technique

➔ High muon fraction in energy estimator

➔ No muon excess observed in data

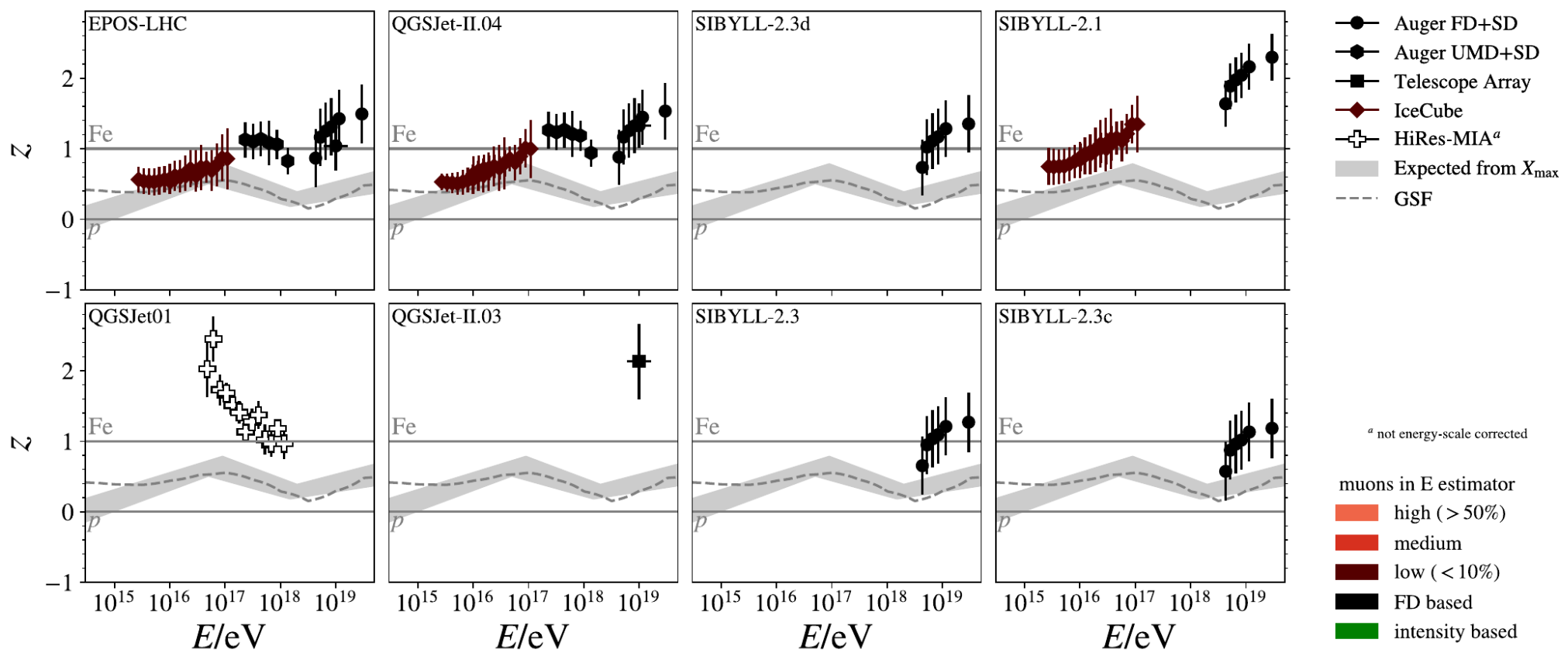


# Blurry Picture...

● “Muon Puzzle” ( $\langle N_{\mu} \rangle$ ) depends on energy measurement technique

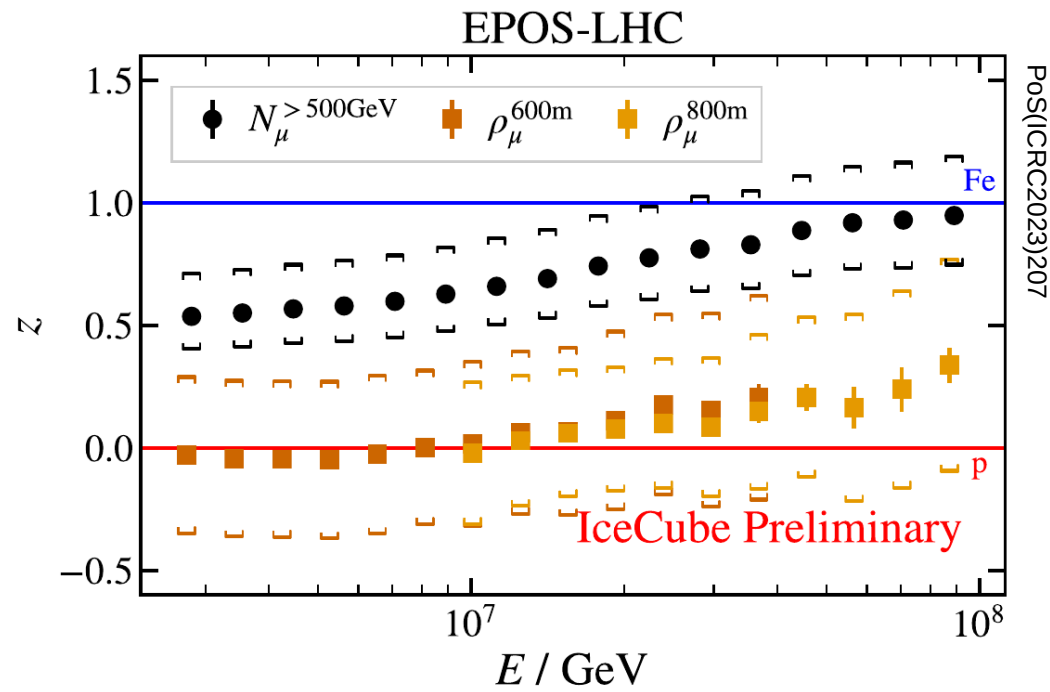
➔ Low muon fraction in energy estimator

➔ Large muon deficit in simulations

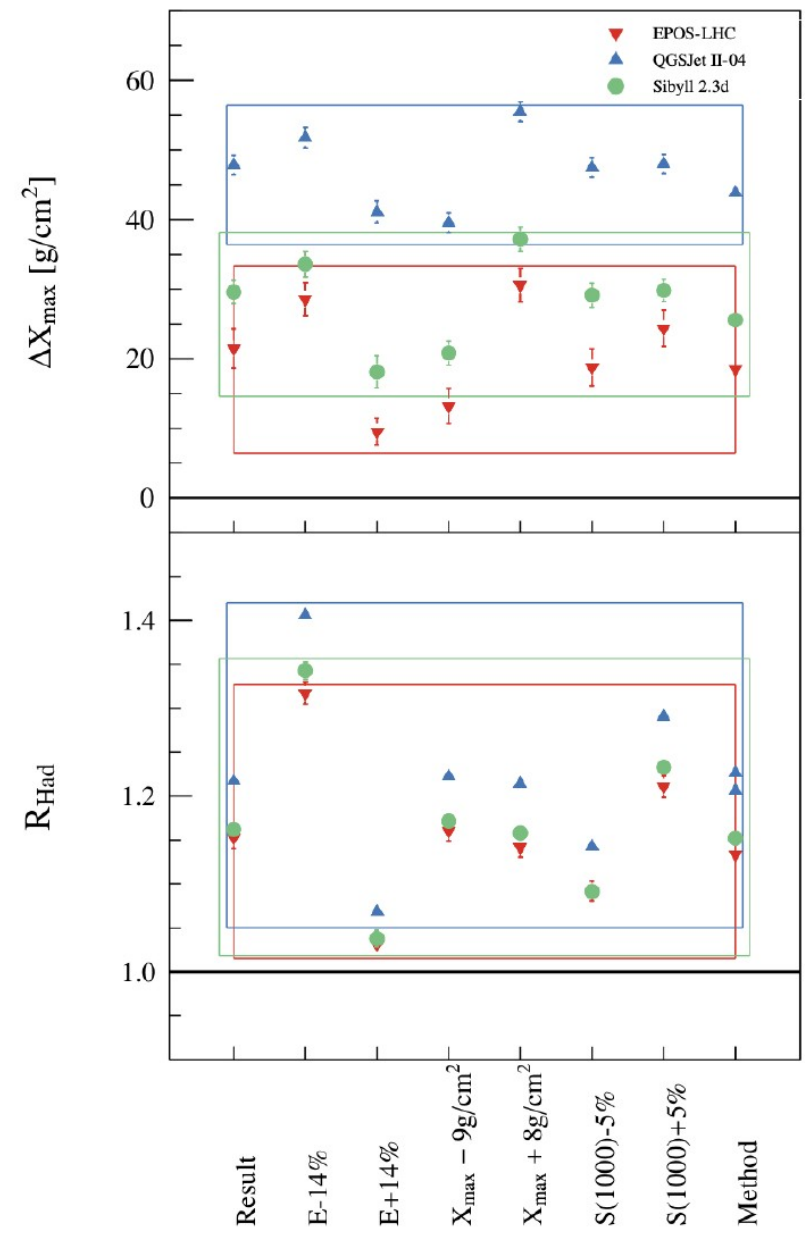


# ... but more evidences

- Air shower measurement suffer from large energy scale uncertainties
  - ➔ But discrepancy remains within errors
- Different muon energies are not equally reproduced



- Other variables not well reproduced
  - ➔ Zenith angle dependence, muon production height, ...

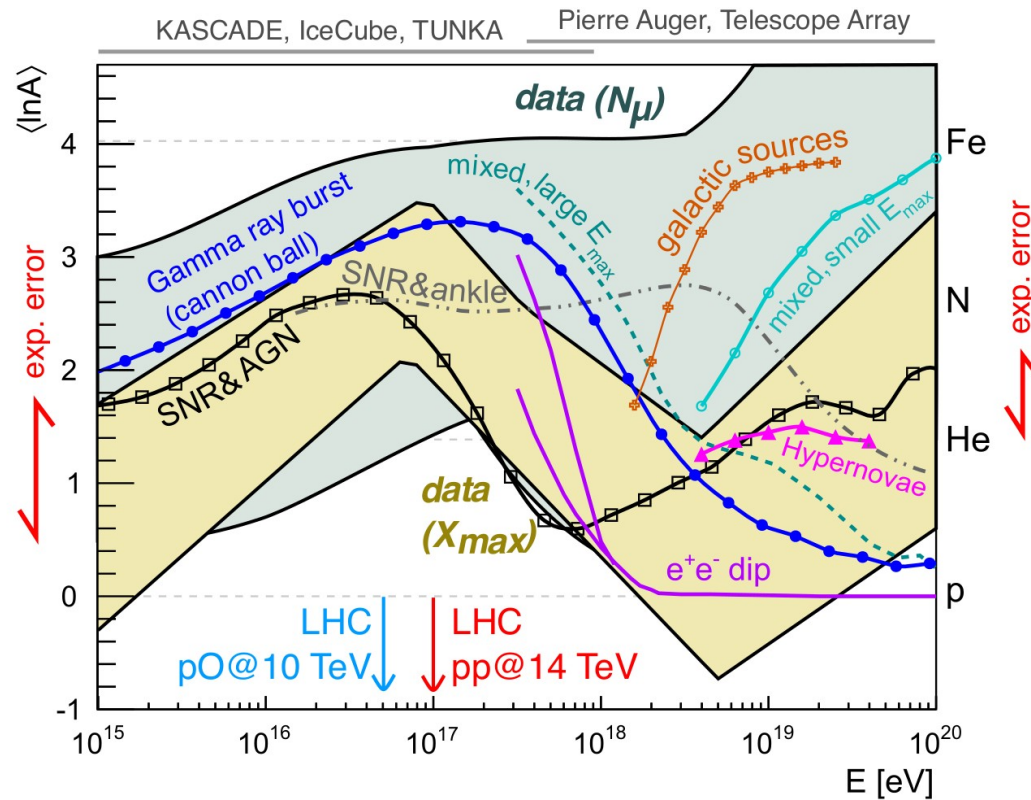


# UHECR Composition

With current models, CR data are impossible to interpret

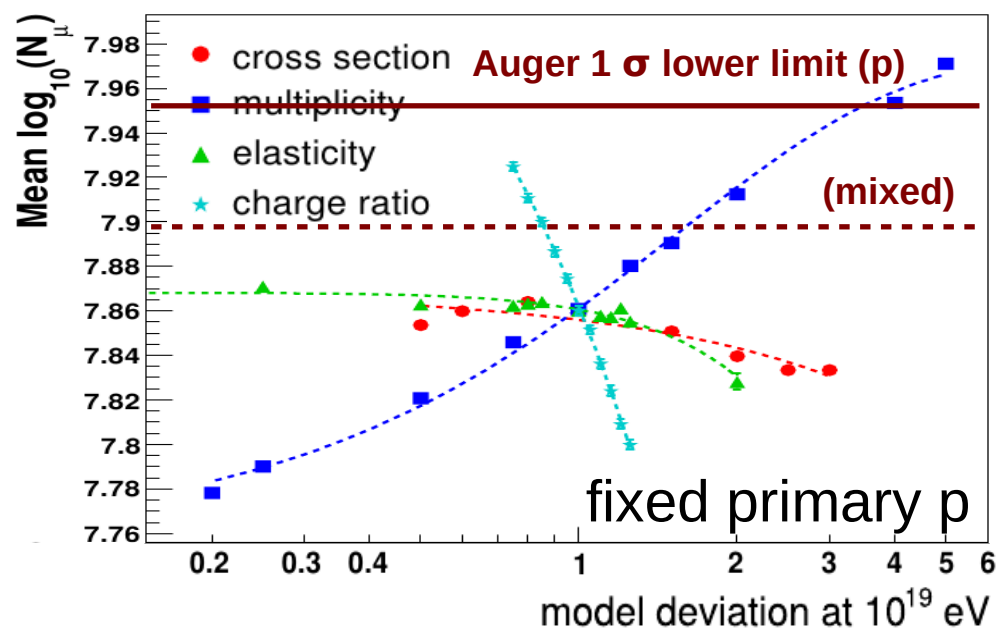
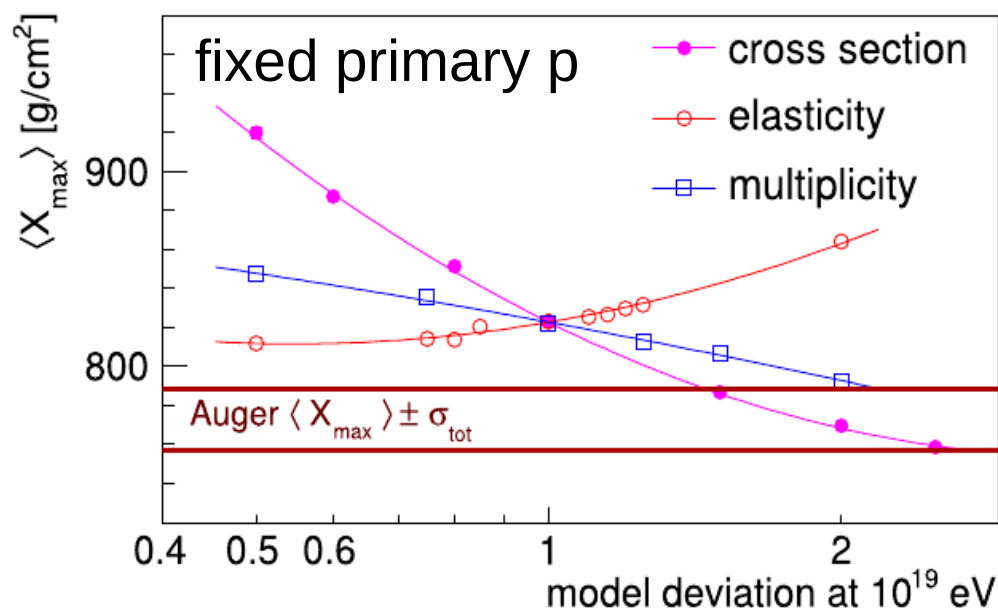
- ➔ Very large uncertainties in model predictions
- ➔ Mass from muon data incompatible with mass from  $X_{max}$

➔ Need better hadronic interaction models



Based on Kampert & Unger, Astropart. Phys. 35 (2012) 660

# Sensitivity to Hadronic Interactions



- Air shower development dominated by few parameters
  - ➔ mass and energy of primary CR
  - ➔ cross-sections (p-Air and (π-K)-Air)
  - ➔ (in)elasticity
  - ➔ multiplicity
  - ➔ Hadronization (π<sup>0</sup>, baryon, str.,...)
- Change of primary = change of hadronic interaction parameters
  - ➔ cross-section, elasticity, mult. ...

Good measurements at LHC constrain hadronic interaction parameters and improve mass resolution !

# Relevant Phase Space in Air Showers

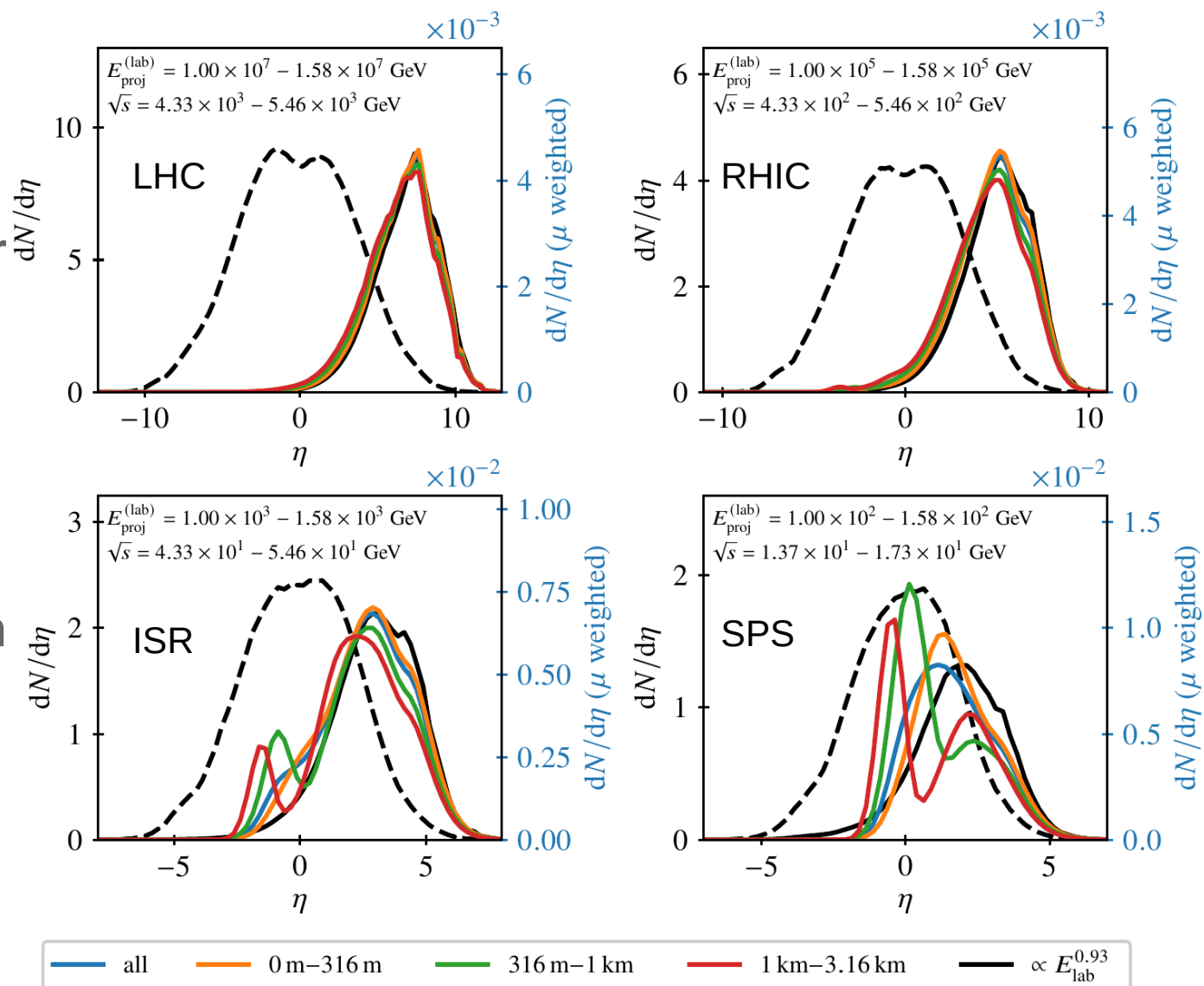
- $X_{\max}$  dominated by cross-section and elasticity of the 1<sup>st</sup> int.

- Muon production in air showers dominated by forward produced particles

→ True at high energy

- Midrapidity production important in the last generations and for muon at large distances from the shower core

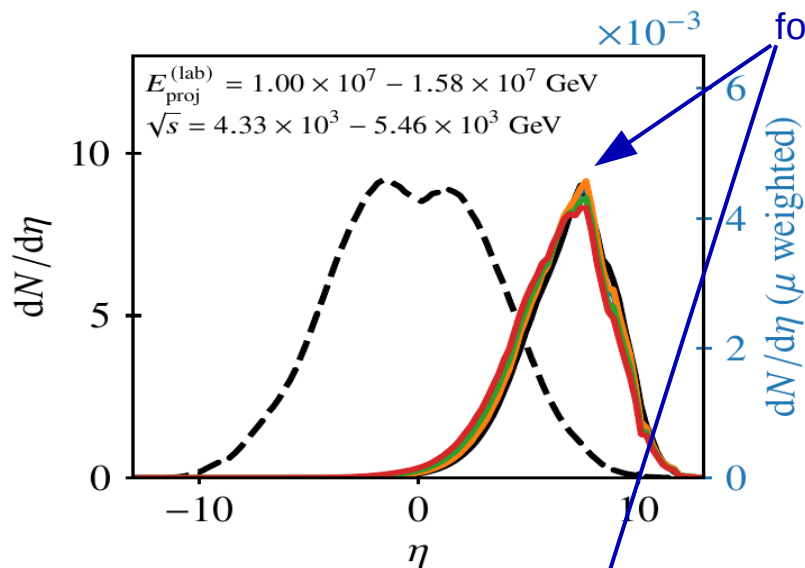
→ Low energy data as important than high energy data



Maximilian Reininghaus, ICRC2021

# LHC acceptance

Most important for EAS



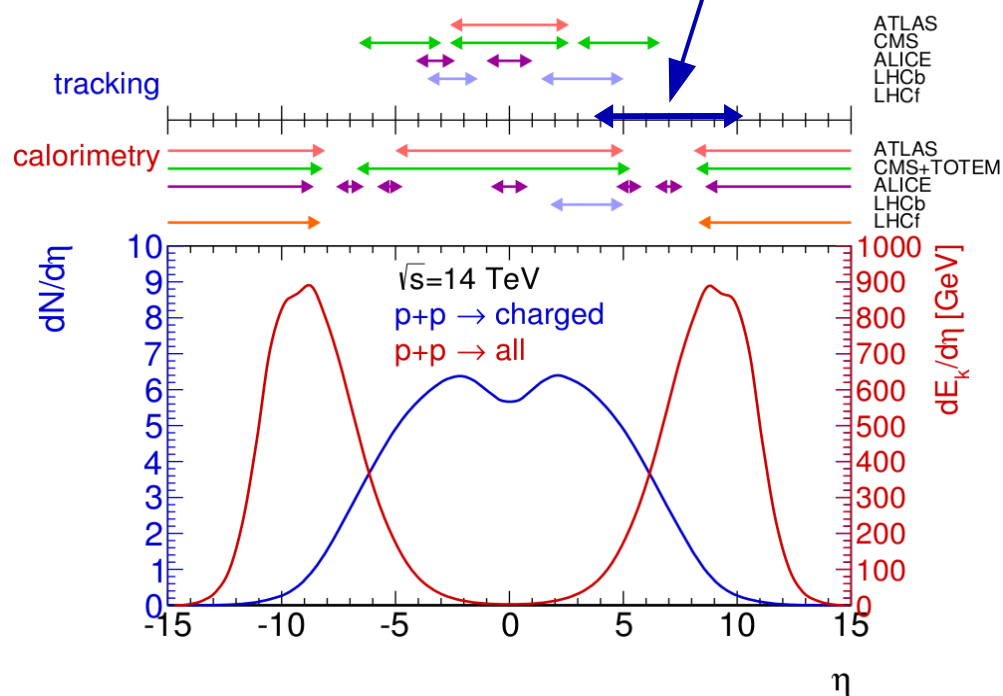
- p-p data of central detectors used to reduce uncertainty by factor ~2. How to do more ?
  - ➔ p-Pb difficult to compare to CR models (only EPOS)
  - ➔ special centrality selection
  - ➔ **p-O !**

## Maximum energy flow relevant for EAS

- ➔  $\eta \sim 5-8$  (muons)
- ➔  $\eta > 9$  ( $X_{max}$ )

## Limited forward measurements

- ➔ Only calorimetric (EM)
  - LHCf
- ➔ With particle identification
  - LHCb





# Data to Improve Models

## ● A number of new data could be use to improve the models :

- p-p and p-A cross-sections
- Multiplicity (with proton tagging ?)
- More detailed p-A measurements (fluctuations, fragmentation)
- Inelasticity (beam remnant energy loss)
- Particle yields as a function of multiplicity
  - Very important to understand the mechanism behind particle production
- **Electromagnetic to hadronic energy ratio**

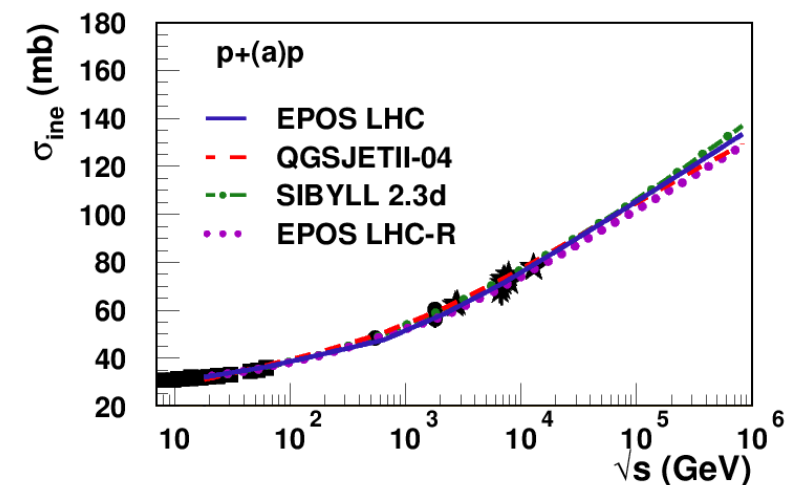
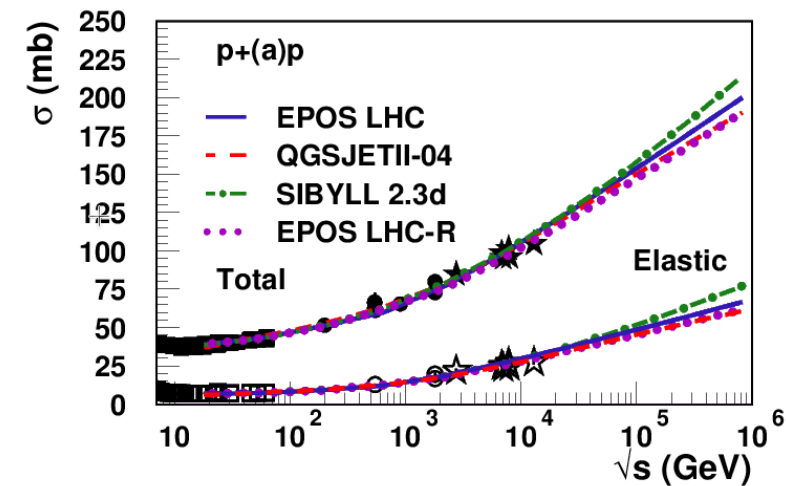
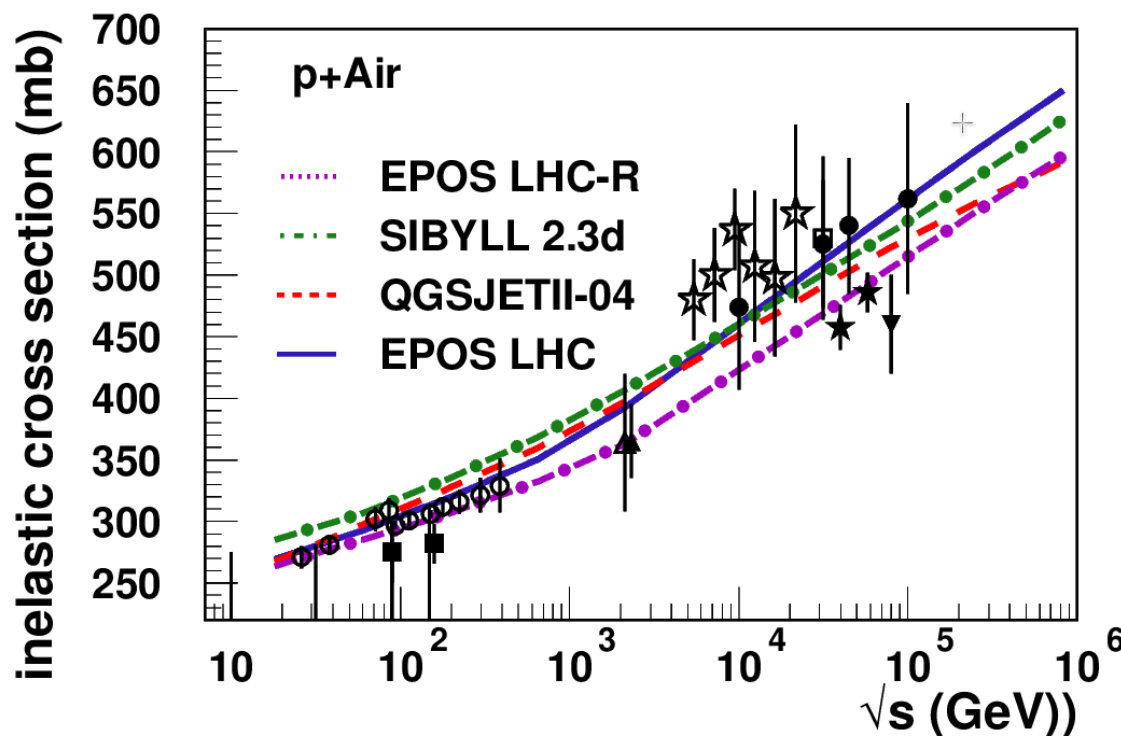
 $X_{\max}$  $N_{\mu}$ 

## ● Example : Update of EPOS LHC → **EPOS LHC-R**

- New EPOS 4 available soon for heavy ion physics but not usable for air showers (yet)
- Modify EPOS LHC to take into account new data and new knowledge accumulated with EPOS 4
- **Very preliminary results for illustration !**

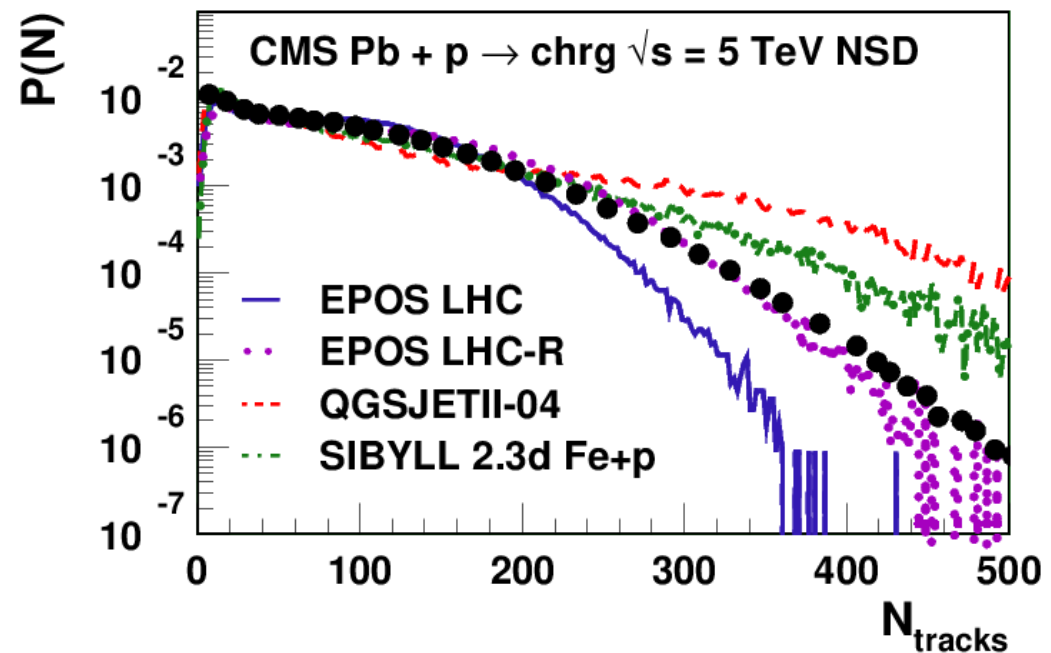
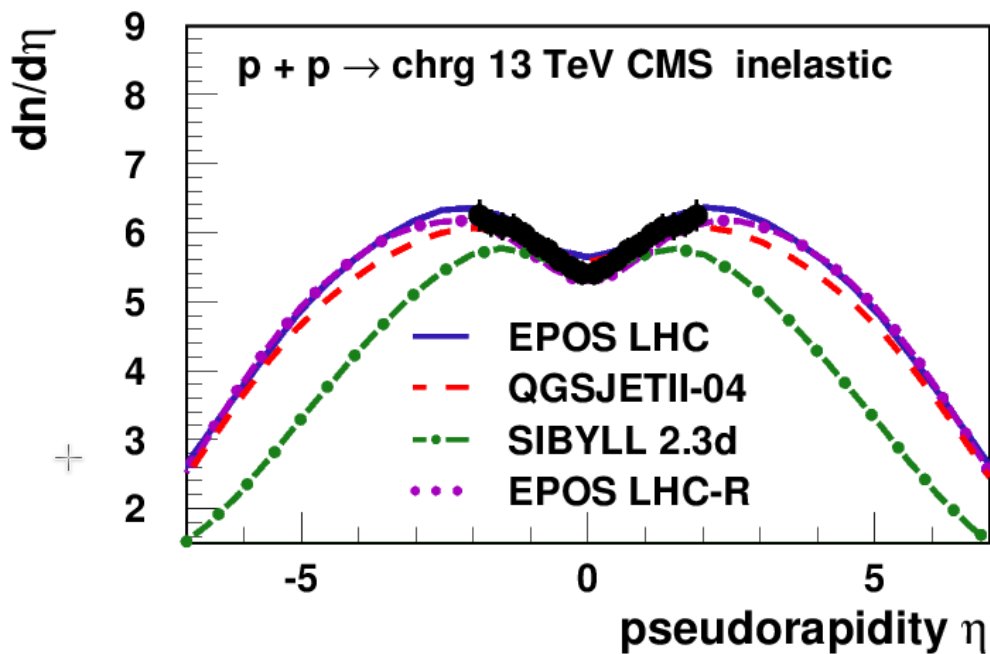
# Cross-Sections

- **Key measurement : directly related to  $X_{\max}$**
- After TOTEM (CMS), new measurements by ALFA (ATLAS) with higher precision
- ➔ p-p cross-section too high in all models
- ➔ Change by up to -15% at the highest energy using most recent CR based measurements



# Pseudorapidity

- **Simple (basic) measurement still important !**
- **New data at 13 TeV in p-p**
  - ➔ Test extrapolation with different triggers
  - ➔ Sibyll has a clear difference with other models (and data) : **too narrow !**
- **Detailed data at 5 TeV for p-Pb**
  - ➔ Wrong multiplicity distributions in all models (before retune)



# Other Type of Forward Measurements

- **Beam remnant very important in air shower development**

- **Nuclear fragments in EPOS LHC**

- ➔ Correction of initial too simple approach

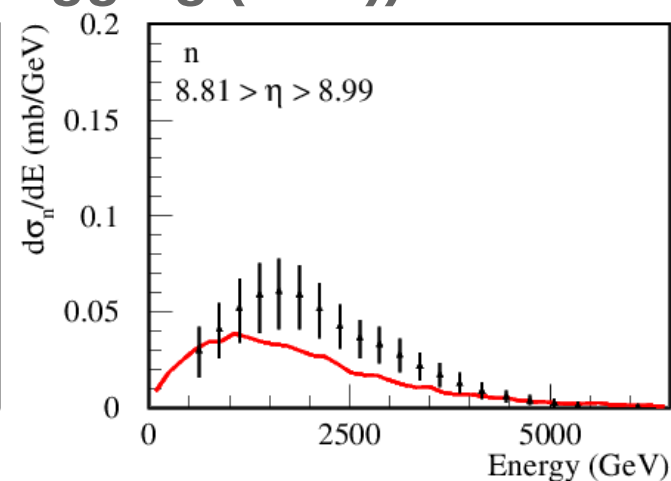
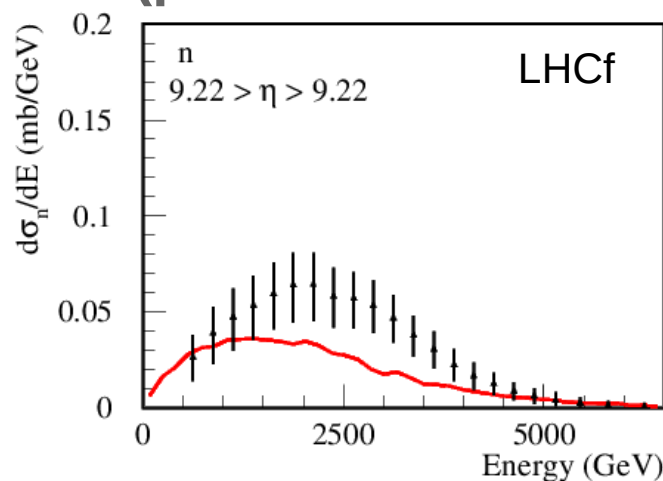
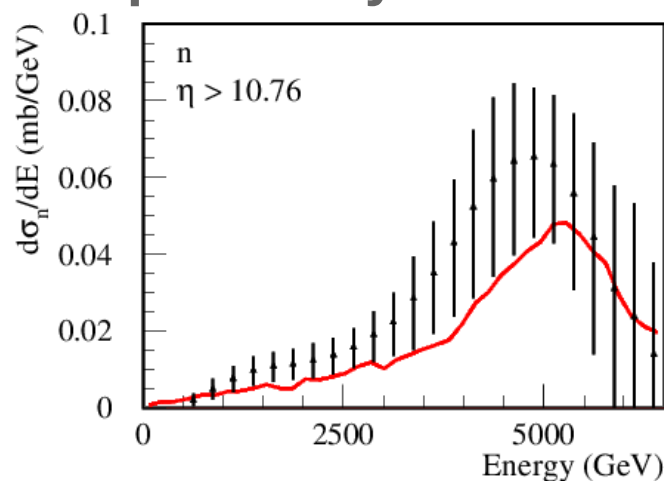
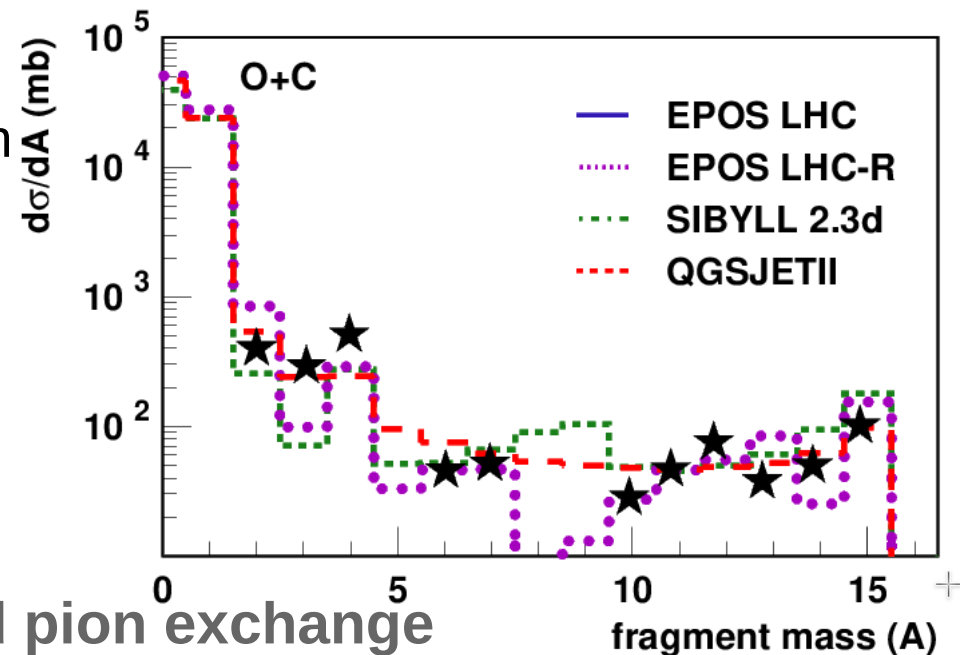
- Now similar to other models

- Significant impact on  $X_{\max}$

fluctuations for nuclei

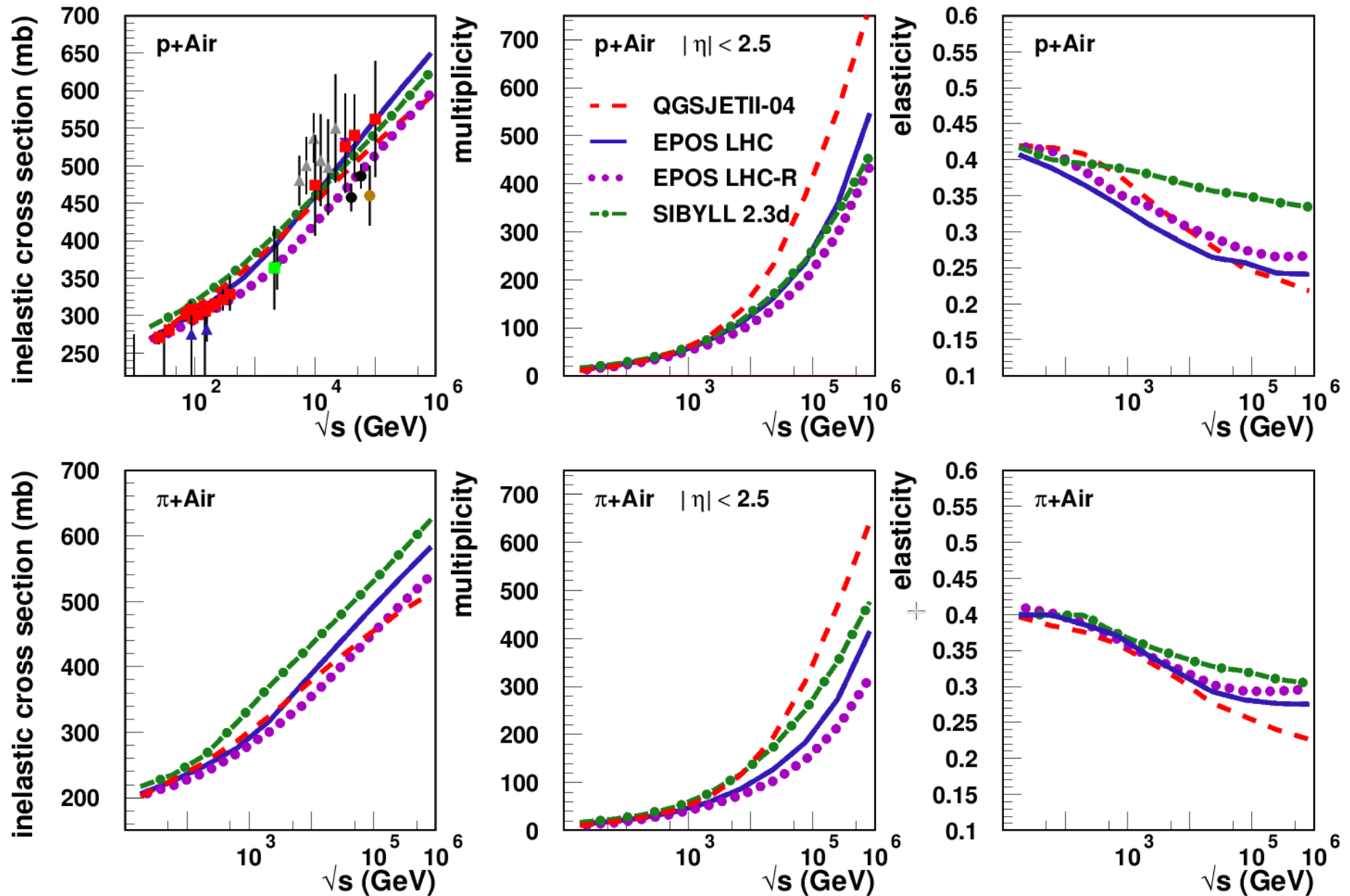
- ➔ Measurement @LHC ?

- **Simplified high mass diffraction and pion exchange replaced by real emission (proton or neutron tagging (ZDC))**



# EPOS LHC-R interaction with Air

(preliminary)



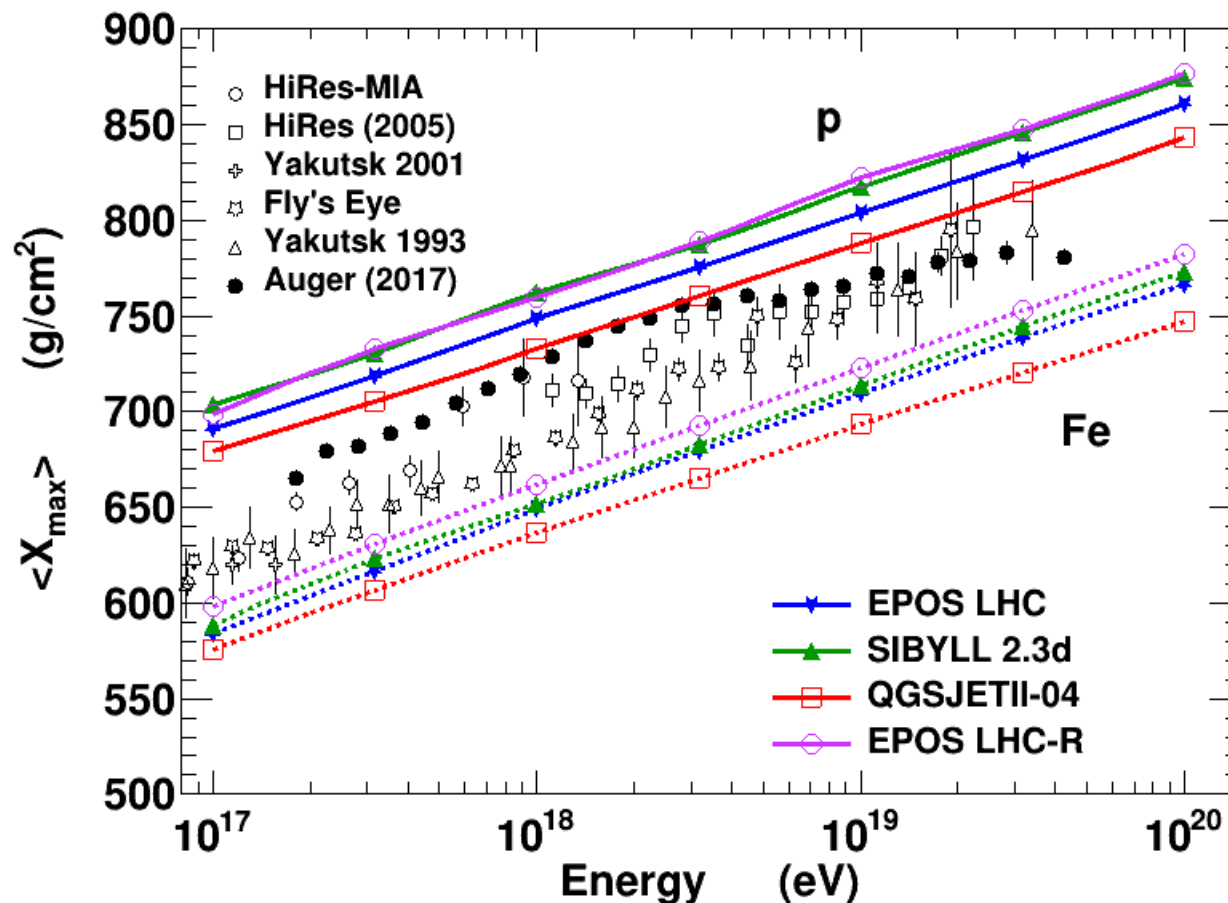
$X_{\max}$ 

**+/- 20g/cm<sup>2</sup> is a realistic uncertainty band where is the center ?**

➔ minimum given by QGSJETII-04 ((too) high multiplicity, low elasticity) ?

➔ maximum given by Sibyll 2.3d (low multiplicity, high elasticity) ?

➔ Taking into account new data, now EPOS shifted by +15g/cm<sup>2</sup> (=Sibyll for p)



**Higher  $\langle \ln A \rangle$  !**

**Correction of  
nuclear  
fragmentation in  
EPOS :**

$X_{\max}$  RMS Fe

LHC=20g/cm<sup>2</sup>

**LHC-R=24g/cm<sup>2</sup>**

SIB=25g/cm<sup>2</sup>

QII=25g/cm<sup>2</sup>

# Muon Production

- From WHISP, one needs to change energy dependence of muon production by  $\sim +4\%$

- To reduce muon discrepancy  $\beta$  has to be changed

→  $X_{\max}$  alone (composition) will not change the energy evolution

→  $\beta$  changes the muon energy evolution but don't change  $X_{\max}$

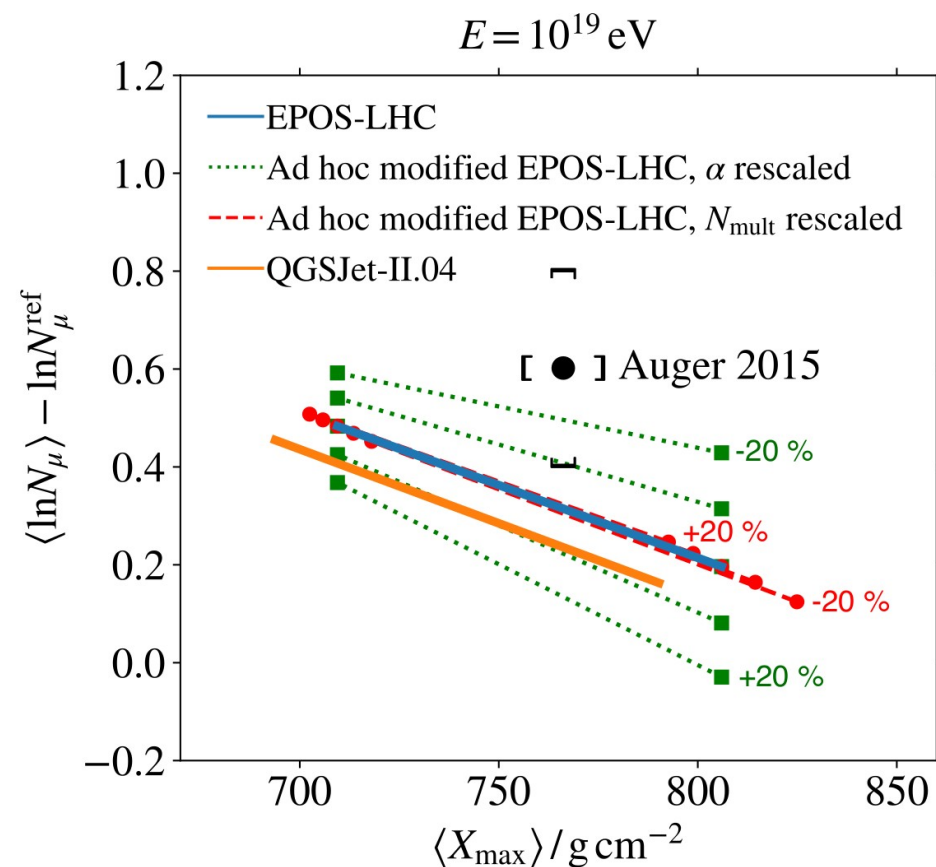
$$\beta = \frac{\ln(N_{\text{mult}} - N_{\pi^0})}{\ln(N_{\text{mult}})} = 1 + \frac{\ln(1 - \alpha)}{\ln(N_{\text{mult}})}$$

→  $+4\%$  for  $\beta$  →  $-30\%$  for  $\alpha = \frac{N_{\pi^0}}{N_{\text{mult}}}$

→ Measure@LHC:  $R = \frac{E_{e/m}}{E_{\text{had}}} \approx \frac{c}{1 - c}$

$$N_{\mu} = A \left( \frac{E}{AE_0} \right)^{\beta} = A^{1-\beta} \left( \frac{E}{E_0} \right)^{\beta}$$

$$X_{\max} \sim \lambda_e \ln \left( E_0 / (2 \cdot N_{\text{mult}} \cdot A) \right) + \lambda_{\text{ine}}$$



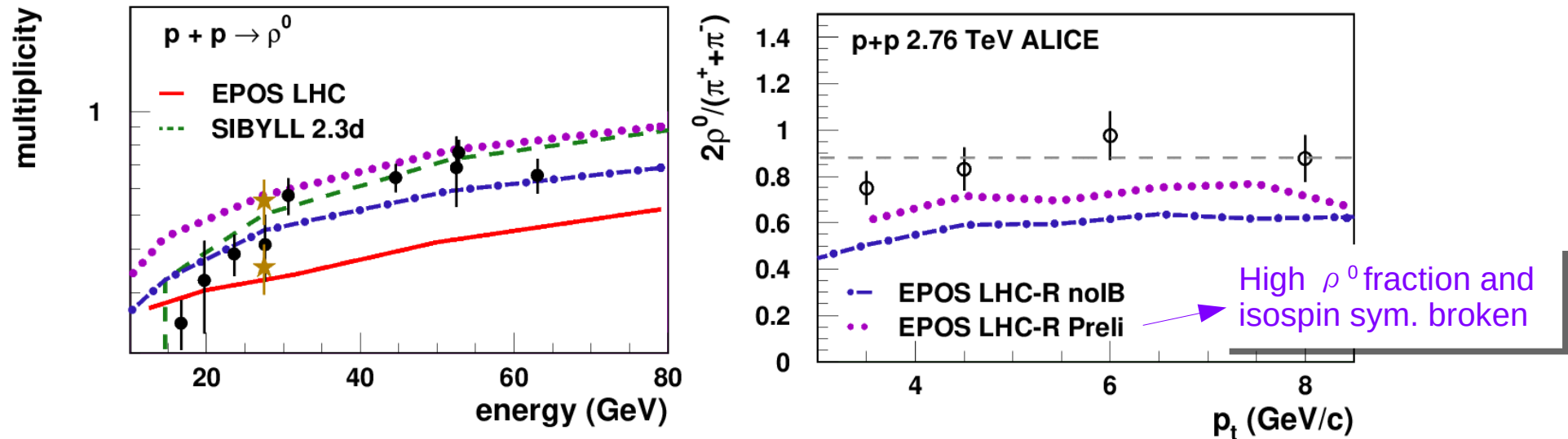
# Isospin Symmetry and Resonances

- **Isospin symmetry used as an argument in models to justify 1:1:1 ratios in  $\pi$  or  $\rho$  mesons** (or equal neutron/proton production)
  - ➔ But true only if u and d quarks have the same mass !
- **Pions can be produced directly or via  $\rho$  resonance decay**
  - ➔ Ratio  $\pi^0 / \pi^{+/-}$  very important for muon production
    - ➔ More  $\pi^0$  means less  $\mu$  production
  - ➔ But  $\rho^0$  decay in  $\pi^{+/-}$ 
    - ➔ More  $\rho^0$  means more  $\mu$  production
  - ➔ Are  $\pi$  mesons mostly produced through  $\rho$  mesons ?
- **Isospin symmetry broken in multiparticle hadronization ?**
  - ➔ Sea u and d quark asymmetry observed in proton parton distribution function (Phys.Rev.D 71 (2005) 012003)
  - ➔ Particle masses are slightly different !
  - ➔ Can the 1:1:1 ratio be broken in particular for  $\rho$  mesons (and baryons) ?
  - ➔ What do we see in data ?

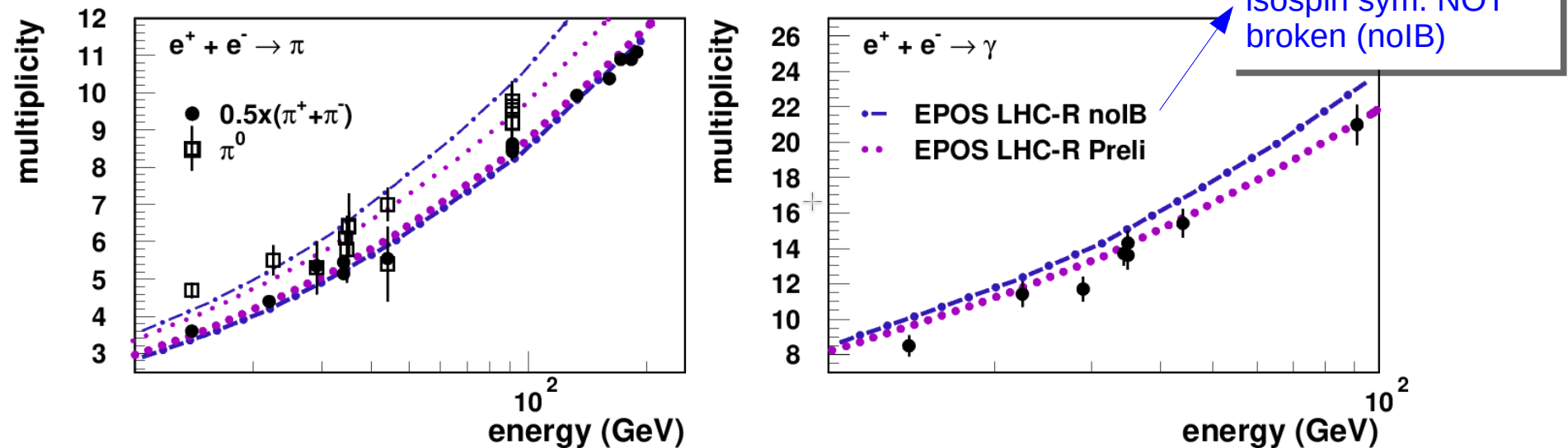


# Resonance Production

➔ In proton-proton interactions, ratio 1:1:1 is not observed and high  $\rho$  ...



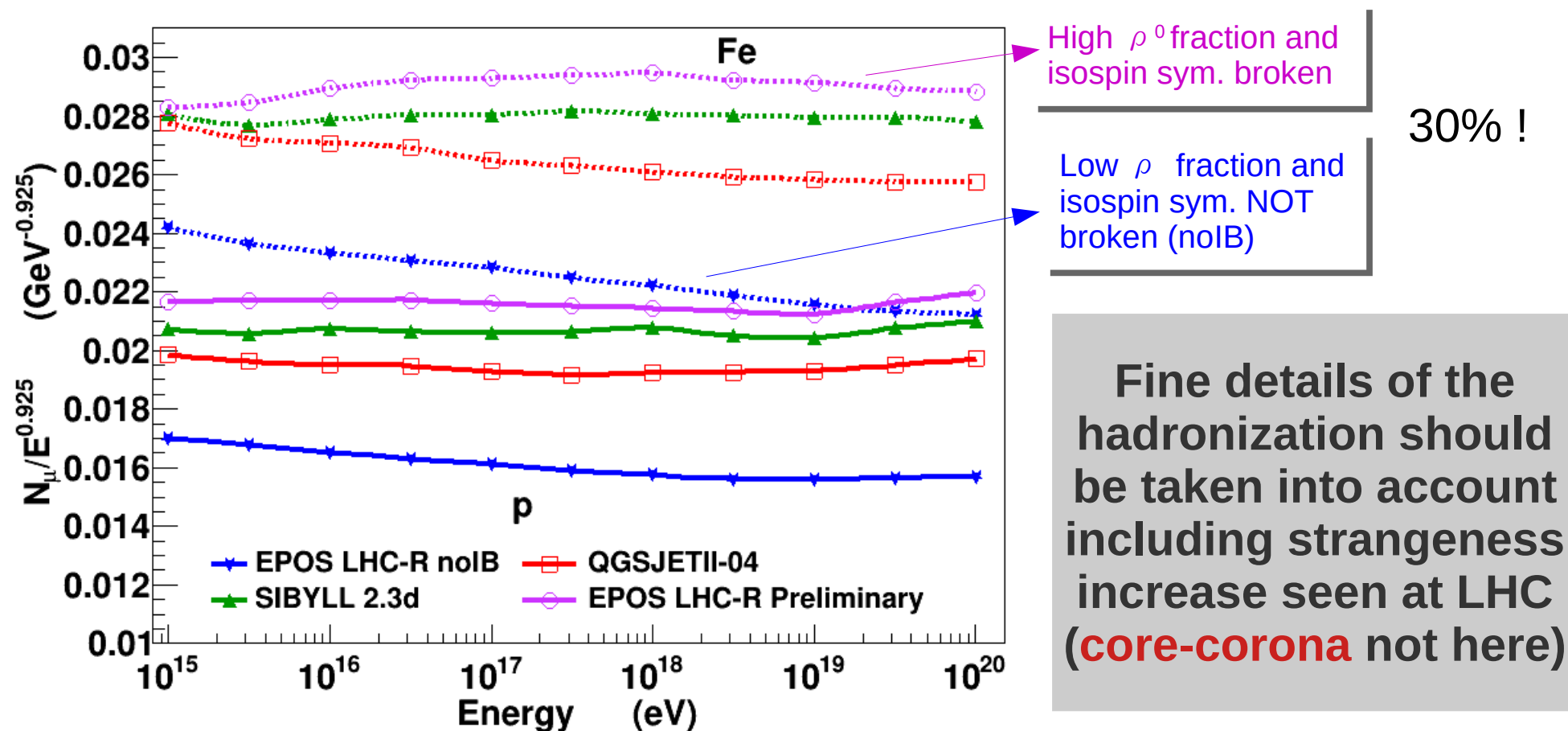
➔ Both favored in electron-positron data !



$$N_{\mu}$$

Very large differences depending on resonances (meson and baryon) :

- ➔ minimum given by low content of resonances and isospin symmetry
- ➔ maximum given by high content of resonances with isospin symmetry breaking
- ➔ Accelerator data seem to favor the 2<sup>nd</sup> option (EPOS LHC-R preliminary)



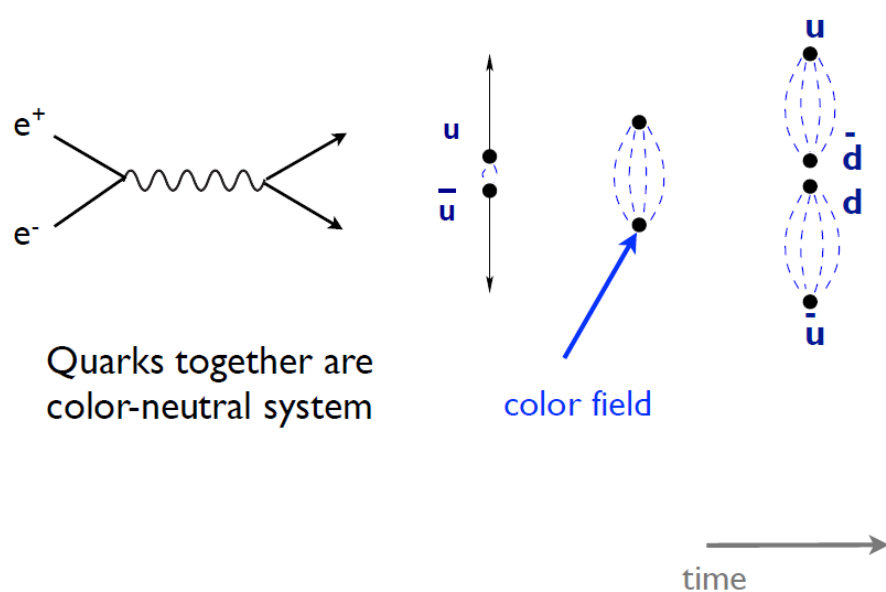
# Hadronization Models

2 models well established for 2 extreme cases

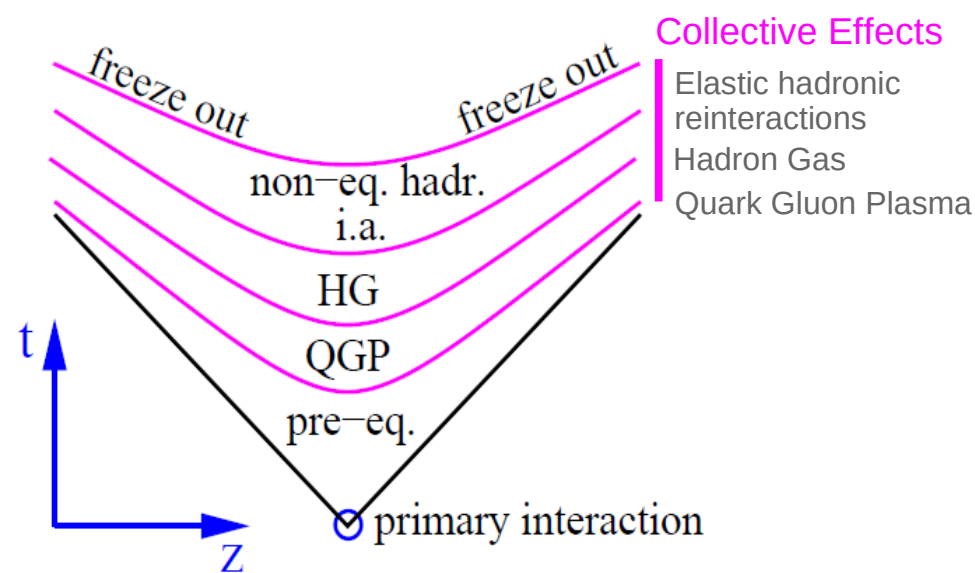
➔ String Fragmentation

vs Collective hadronization (statistical models)

Annihilation at high energy



In dilute systems... CORONA  
 → “high”  $\pi^0$  fraction → less muons

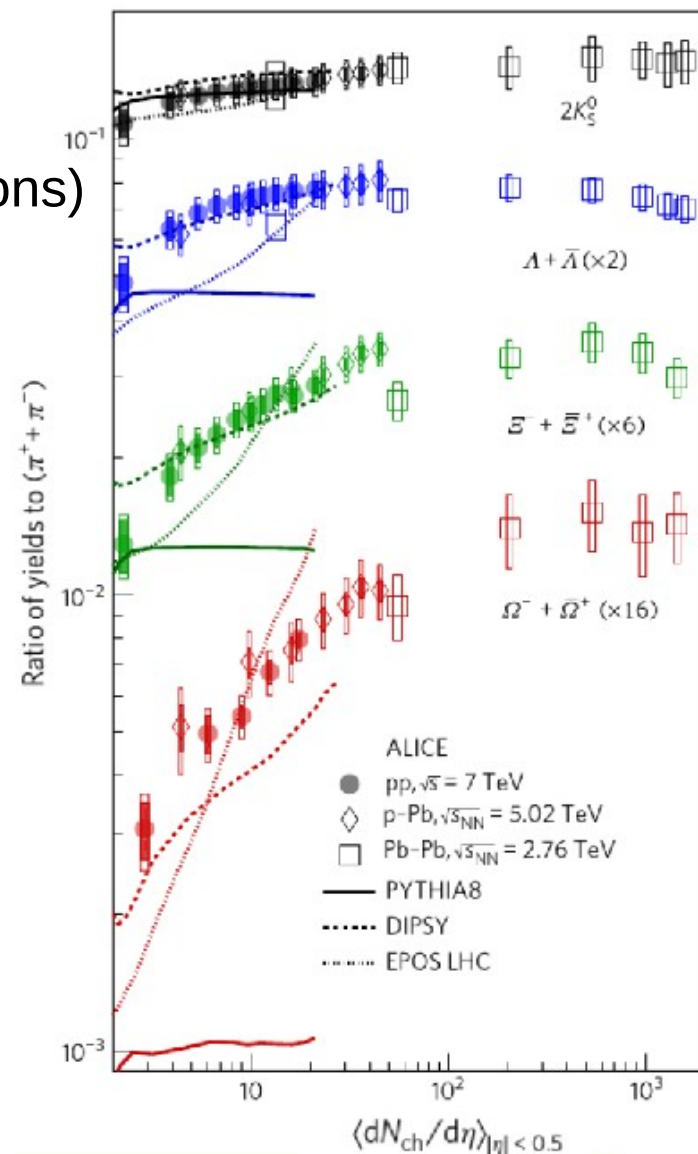
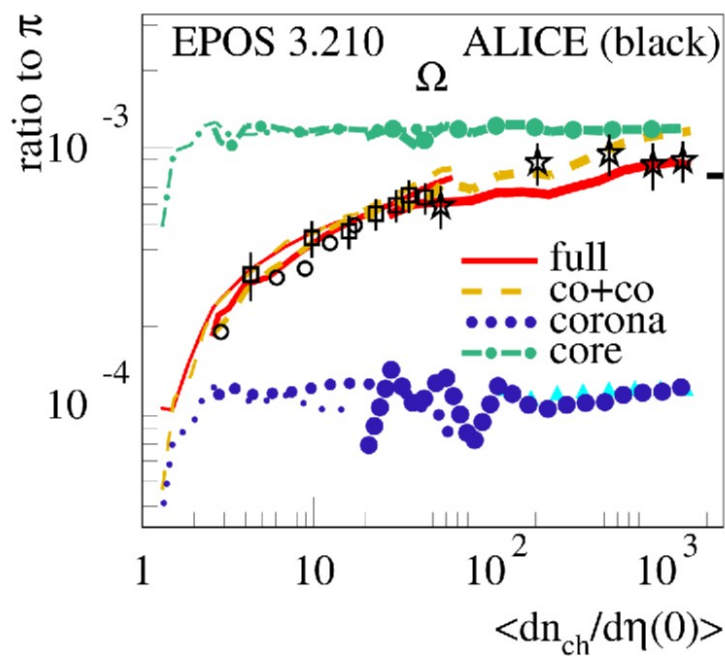
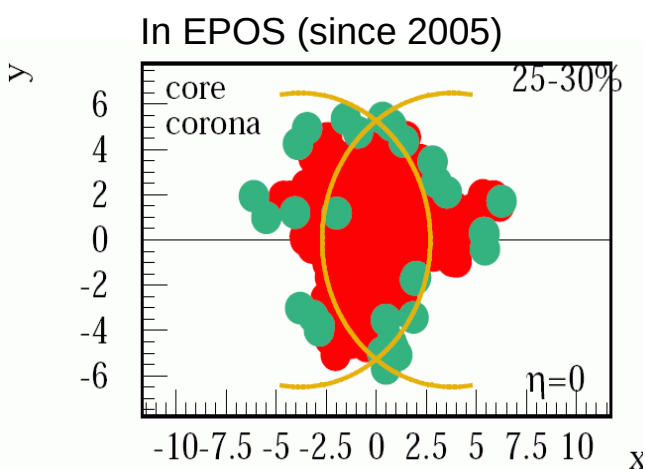


In dense systems... CORE  
 → “low”  $\pi^0$  fraction → more muons

- ➔ Core-corona → transition from one regime to the other (strangeness vs mult.)
- ➔ Different hadronization = different muon production in air showers !

# Core-Corona at LHC

- Mixing of core and corona hadronization needed to achieve detailed description of p-p data (EPOS)
  - ➔ Evolution of particle ratios from pp to PbPb
  - ➔ Particle correlations (ridge, Bose Einstein correlations)
  - ➔ Pt evolution, ...
- **Both hadronizations are universal but the fraction of each change with particle density**
- **2 simultaneous source of particles**



# Core-Corona approach and CR

To test if a QGP like hadronization can account for the missing muon production in EAS simulations a core-corona approach can be artificially apply to any model

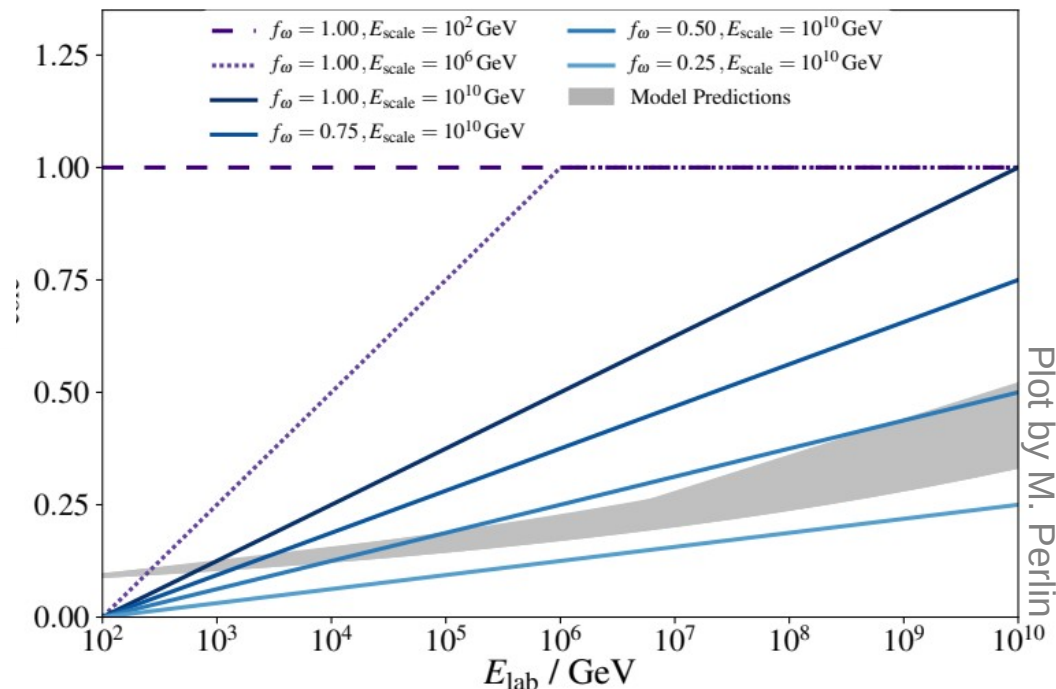
- ➔ Particle ratios from statistical model are known (tuned to PbPb) and fixed : **core**
- ➔ Initial particle ratios given by individual hadronic interaction models : **corona**
- ➔ Using CONEX, EAS can be simulated mixing corona hadronization with an arbitrary fraction  $\omega_{\text{core}}$  of core hadronization:  $N_i = \omega_{\text{core}} N_i^{\text{core}} + (1 - \omega_{\text{core}}) N_i^{\text{corona}}$

$$\omega_{\text{core}}(E_{\text{lab}}) = f_{\omega} \underbrace{F(E_{\text{lab}}; E_{\text{th}}, E_{\text{scale}})}_{\frac{\log_{10}(E_{\text{lab}}/E_{\text{th}})}{\log_{10}(E_{\text{scale}}/E_{\text{th}})} \text{ for } E_{\text{lab}} > E_{\text{th}}}$$

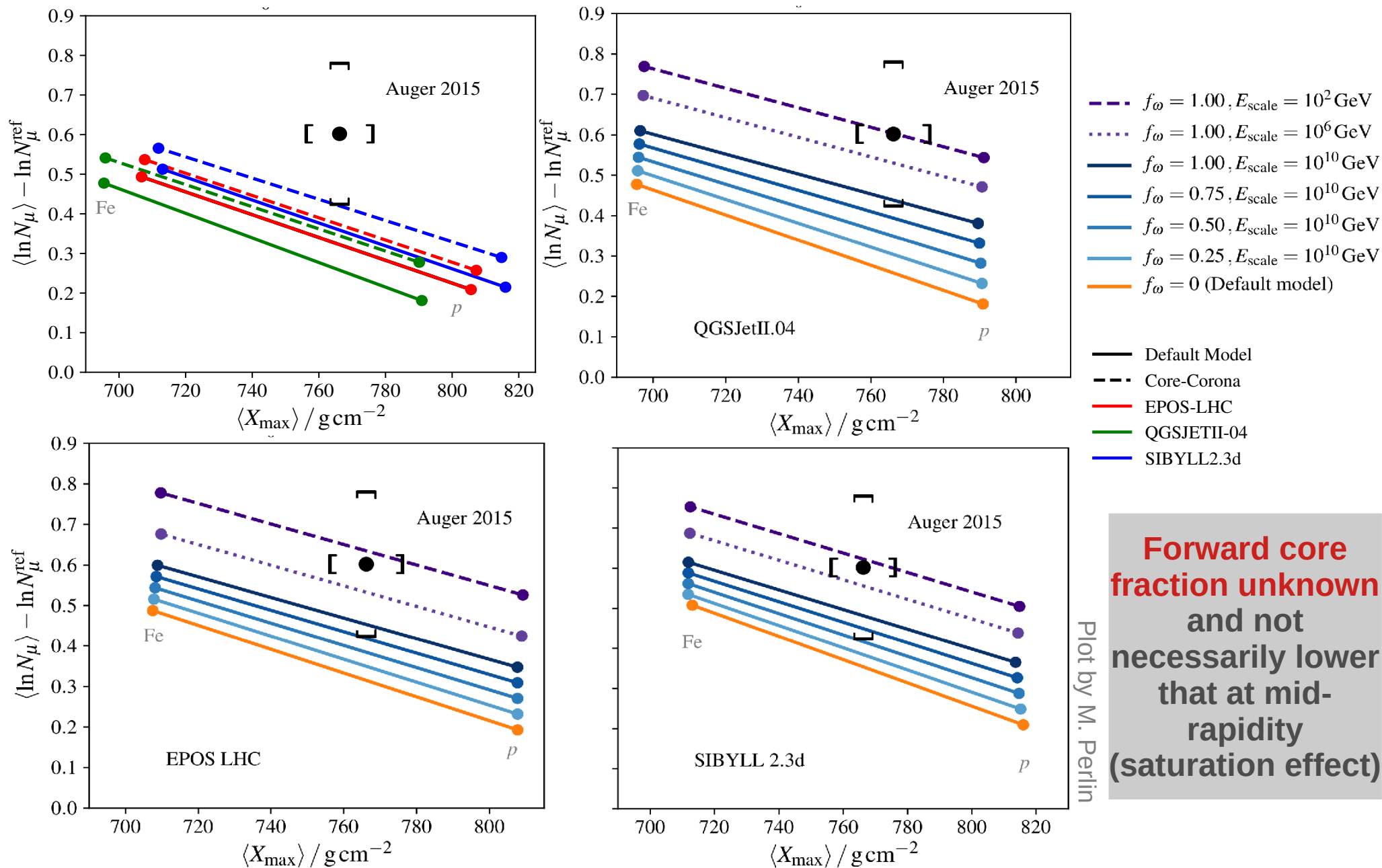
$$E_{\text{th}} = 100 \text{ GeV}$$

Different scenarii can be studied playing with  $f_{\omega}$  and  $E_{\text{scale}}$ .

Note : the leading particle is NOT modified (projectile remnant)



Plot by M. Perlín

Results for  $X_{\max}$ - $N_{\mu}$  correlation

# Summary

- **Not all relevant CERN data taken into account in model yet**
  - ➔ 10 more years of LHC data including LHCf dedicated measurements
  - ➔ Room for more data in particular with pO beam and correlated measur.
    - ➔ **Very forward measurement important for x-section and elasticity**
- **Updated results of cross-sections and diffraction**
  - ➔ Significant impact on  $X_{\max}$
  - ➔ Larger  $\langle \ln A \rangle$
- **Details of hadronization matters to solve “muon puzzle”**
  - ➔ Important role of resonance with sparse data = large uncertainty
    - ➔ **Is Isospin symmetry broken in multiparticle production ?**
  - ➔ Evolution of strangeness with multiplicity
    - ➔ **Different type of hadronization (“core-corona”)**
  - ➔ Carefully study “standard” physics before going to “new” physics
    - ➔ **Check number of  $\mu$  + energy spectra + production height (time)**

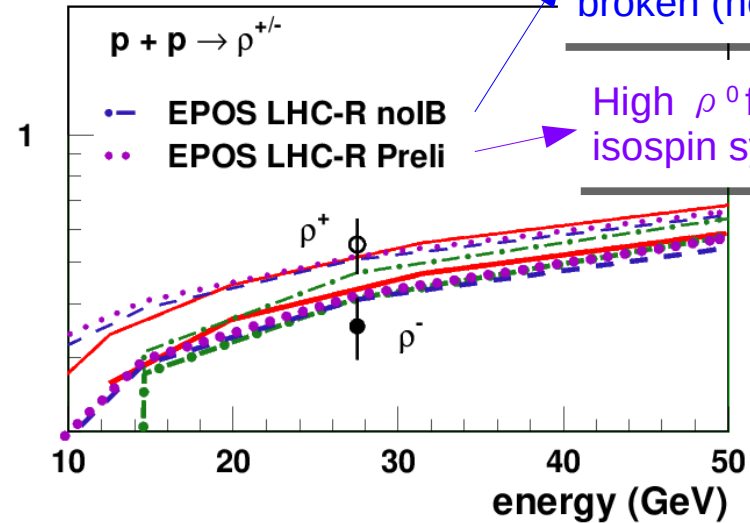
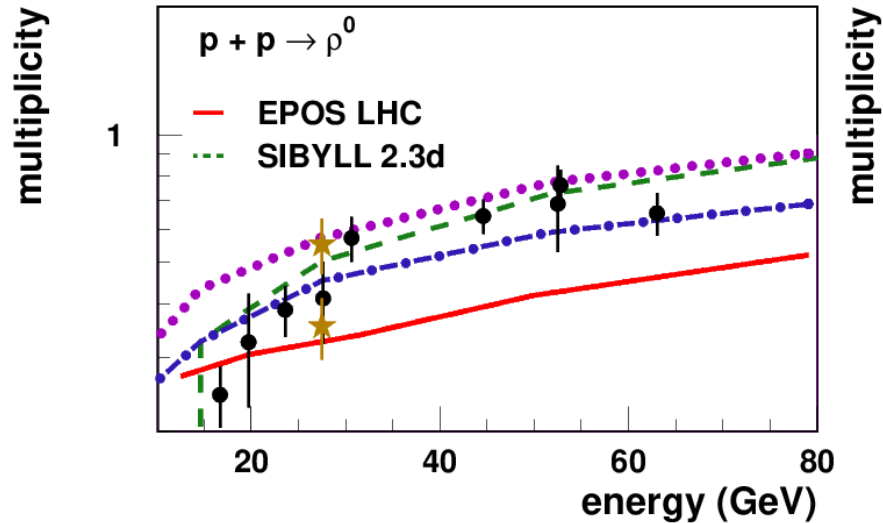
**LHC** data provide important constraints on models changing  $X_{\max}$   
Details on **hadronization** could be more important than thought  
until now, impacting the muon production, and need careful  
study at LHC in particular with **pO data**.

Thank you !



# Resonance Production

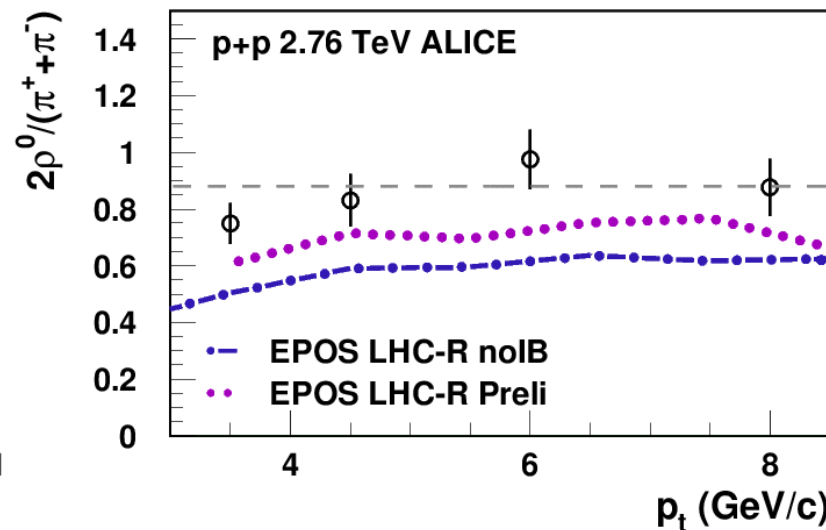
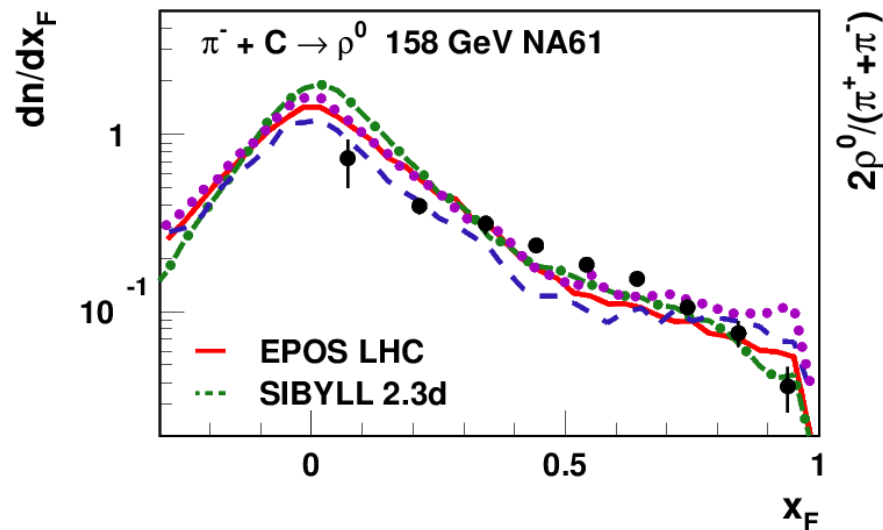
➔ In proton-proton interactions, ratio 1:1:1 is not observed !



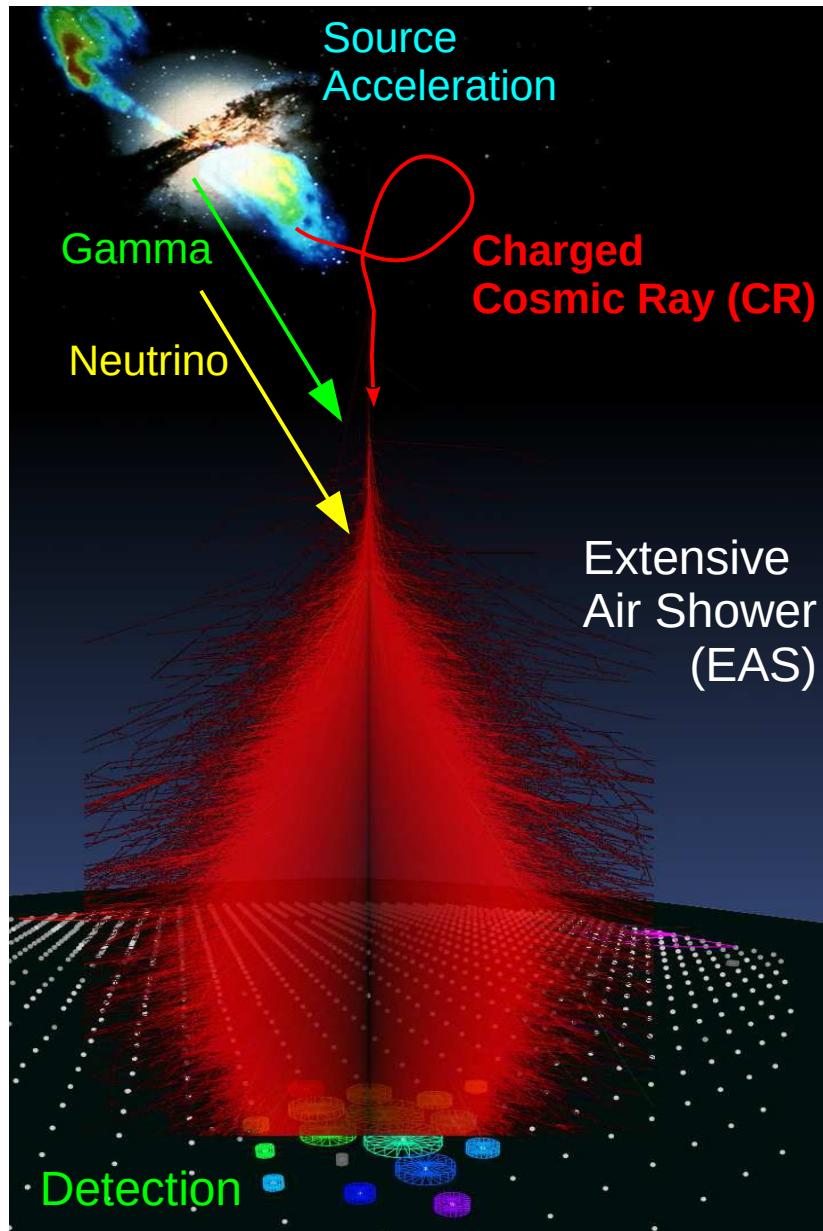
Low  $\rho$  fraction and isospin sym. NOT broken (noIB)

High  $\rho^0$  fraction and isospin sym. broken

➔ AND high resonance fraction is favored !



# Astroparticles



- **Astronomy with high energy particles**
  - ➔ **gamma** (straight but limited energy due to absorption during propagation)
  - ➔ **neutrino** (straight but difficult to detect)
  - ➔ **charged ions** (effect of magnetic field)
- **Measurements of charged ions**
  - ➔ source position (only for light and high E)
  - ➔ energy spectrum (source mechanism)
  - ➔ mass composition (source type)
    - ◆ light = hydrogen (proton)
    - ◆ heavy = iron ( $A=56$ )
  - ➔ test of hadronic interactions in EAS via correlations between observable.

**mass measurements should be consistent**  
and lying between proton and iron  
simulated showers if physics is correct

# Cosmic Ray Analysis from Air Showers

- EAS simulations necessary to study high energy cosmic rays

- complex problem: identification of the primary particle from the secondaries



- Hadronic models are the key ingredient !

- follow the standard model (QCD)

- but mostly non-perturbative regime (phenomenology needed)

- main source of uncertainties

- Which model for CR ? (alphabetical order)

- **DPMJETIII.(17-1/19-1)** by S. Roesler, A. Fedynitch, R. Engel and J. Ranft

- **EPOS (1.99/LHC/3/4)** (from VENUS/NEXUS before) by T. Pierog and K.Werner.

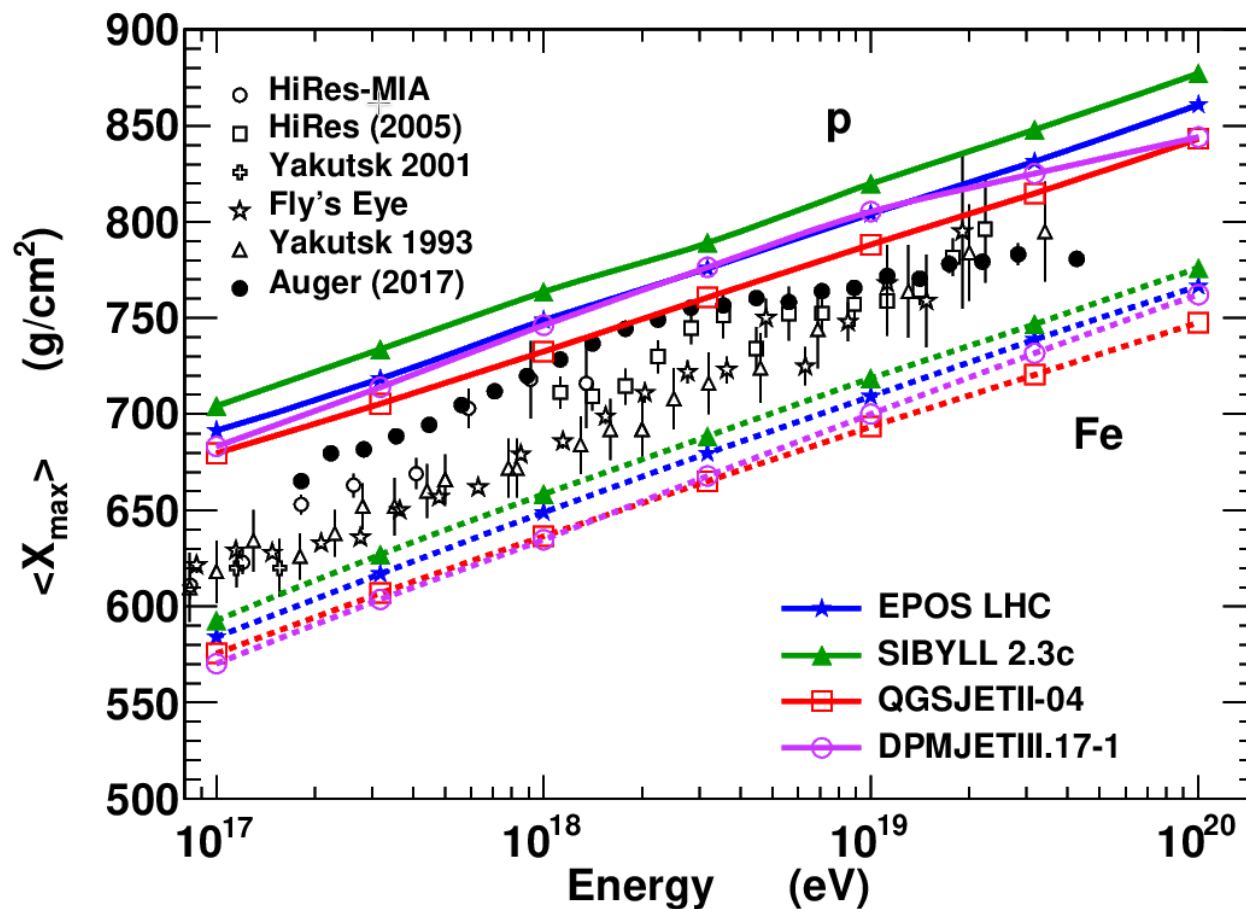
- **QGSJET** (01/II-03/II-04/III) by S. Ostapchenko (starting with N. Kalmykov)

- **Sibyll (2.1/(2.3c)/2.3d)** by E-J Ahn, R. Engel, R.S. Fletcher, T.K. Gaisser, P. Lipari, F. Riehn, T. Stanev

$$X_{\max}$$

**+/- 20g/cm<sup>2</sup> is a realistic uncertainty band but :**

- ➔ minimum given by QGSJETII-04 (high multiplicity, low elasticity)
- ➔ maximum given by Sibyll 2.3c (low multiplicity, high elasticity)
- ➔ Used to define the mass of the primary cosmic ray



# WHISP Working Group

- Lots of muon measurements available
  - ➔ AGASA, Auger, EAS-MSU, KASCADE-Grande, IceCube/IceTop, HiRes-MIA, NEMOD/DECOR, SUGAR, TA, Yakutsk
- Working group (WHISP) created to compile all results together. Analysis led and presented first time on behalf of all collaborations by **H. Dembinski** at **UHECR 2018** : H. Dembinski (LHCb, Germany),  
L. Cazon (Auger, Portugal), R. Conceicao (AUGER, Portugal),  
F. Riehn (Auger, Portugal), T. Pierog (Auger, Germany),  
Y. Zhezher (TA, Russia), G. Thomson (TA, USA) , S.  
Troitsky (TA, Russia), R. Takeishi (TA, USA),  
T. Sako (LHCf & TA, Japan), Y. Itow (LHCf, Japan),  
J. Gonzales (IceTop, USA), D. Soldin (IceCube, USA),  
J.C. Arteaga (KASCADE-Grande, Mexico),  
I. Yashin (NEMOD/DECOR, Russia). E. Zadeba  
(NEMOD/DECOR, Russia)  
N. Kalmykov (EAS-MSU, Russia) and I.S. Karpikov (EAS-MSU, Russia)

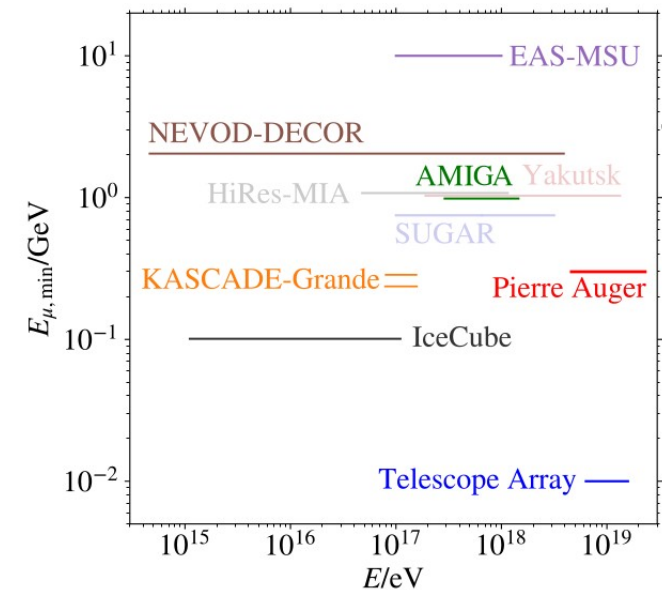
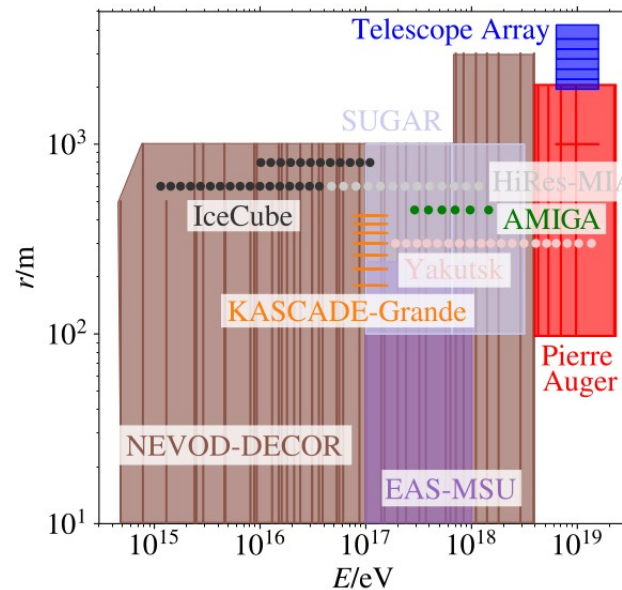
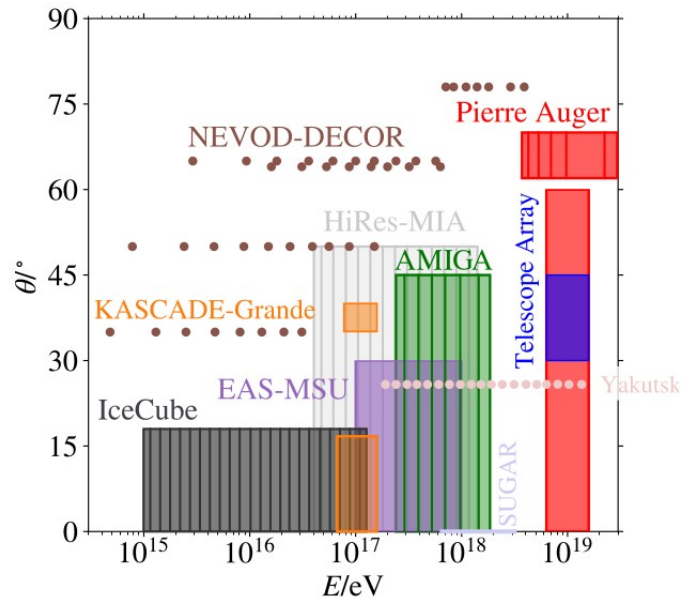
# WHISP Working Group

- Meta-analysis of all muon measurement from air showers

AGASA, Auger, EAS-MSU, KASCADE-Grande, IceCube/IceTop, HiRes-MIA, NEMOD/DECOR, SUGAR, TA, Yakutsk

- Experiments cover different phase space

➔ Distance to core, zenith angle, energy, energy scale ...

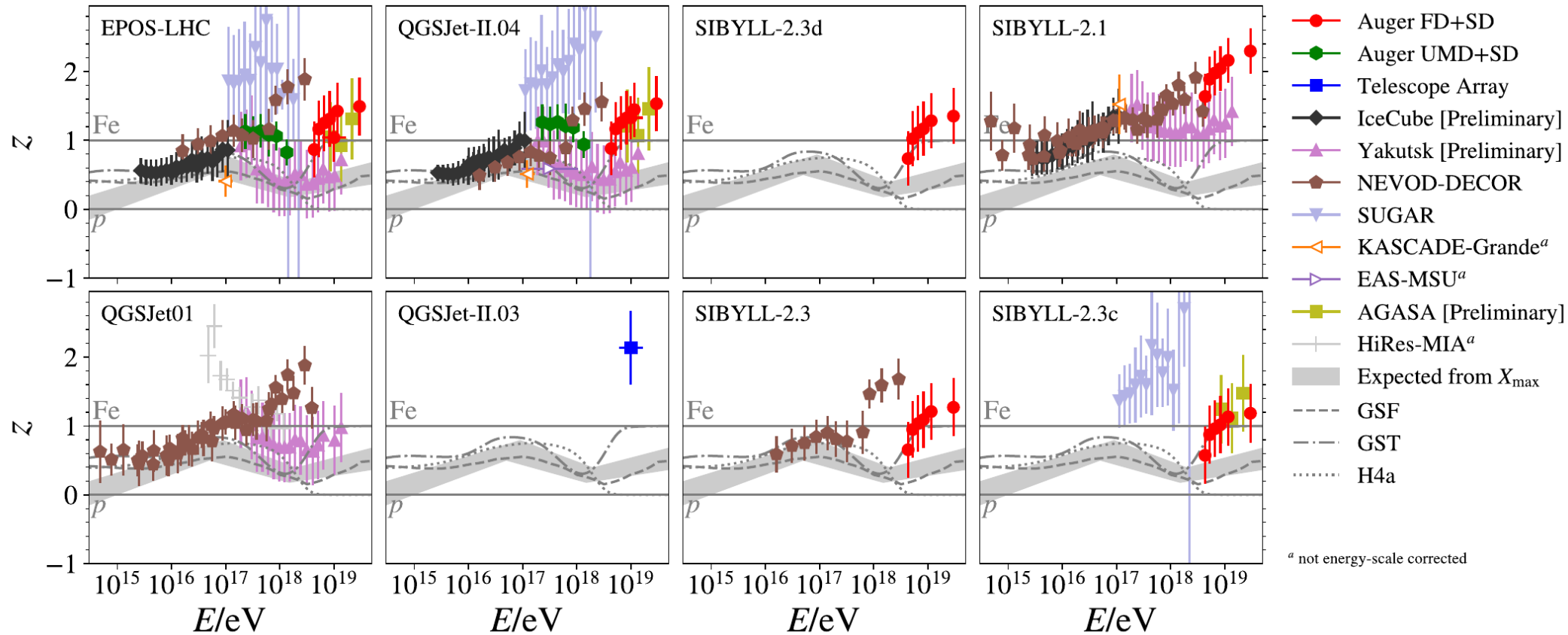


Plots by H. Dembinski

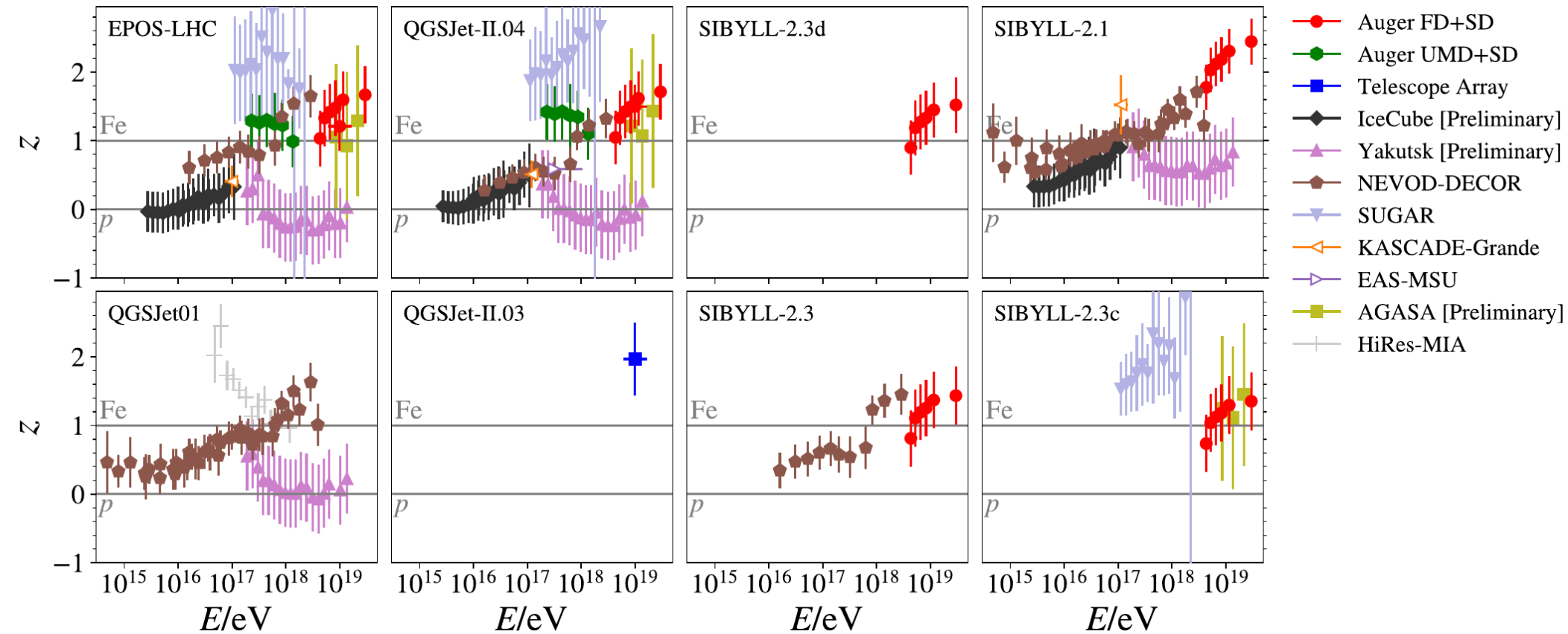
- Define a unified scale (z) to minimize differences :

$$z = \frac{\ln N_{\mu}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}{\ln N_{\mu,\text{Fe}}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}$$

# Rescaled Data



# Raw Data





# Renormalization

- Define a unified scale ( $z$ ) to minimize differences :

$$z = \frac{\ln N_{\mu}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}{\ln N_{\mu,\text{Fe}}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}$$

- From a simple (Heitler) model, the energy and mass dependence of the muon number is given by :

$$N_{\mu} = A \left( \frac{E}{AE_0} \right)^{\beta} = A^{1-\beta} \left( \frac{E}{E_0} \right)^{\beta}$$

- Where  $\beta \sim 0.9$  is link to hadronic interaction properties
- To extract proper relative behavior between data and model :
  - unique energy scale
  - estimation of mass evolution

→ Based on model and  $X_{\text{max}}$

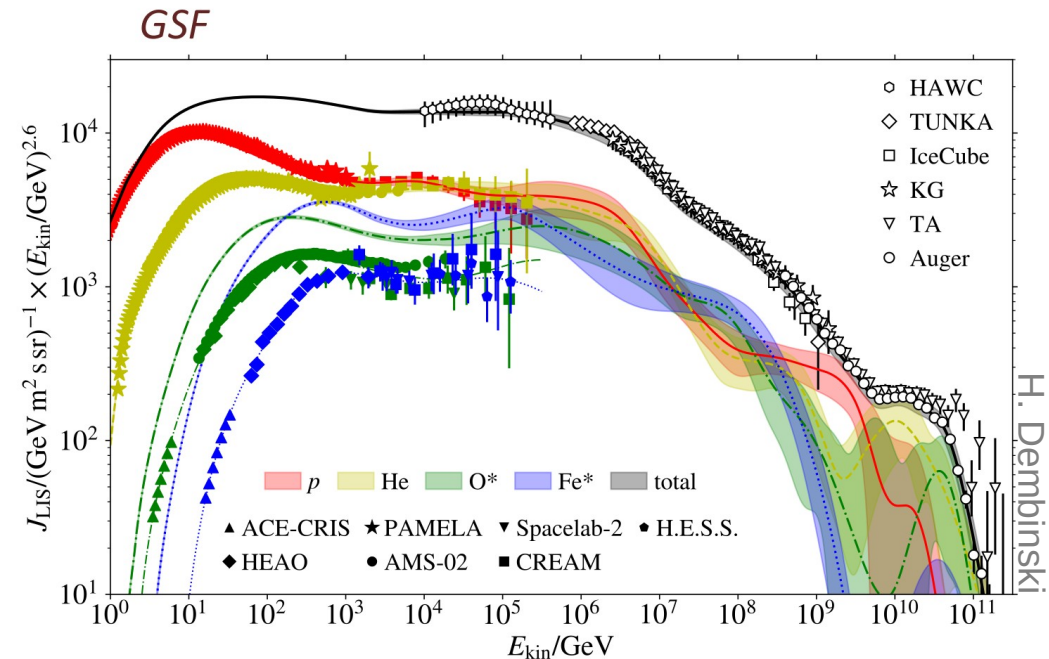
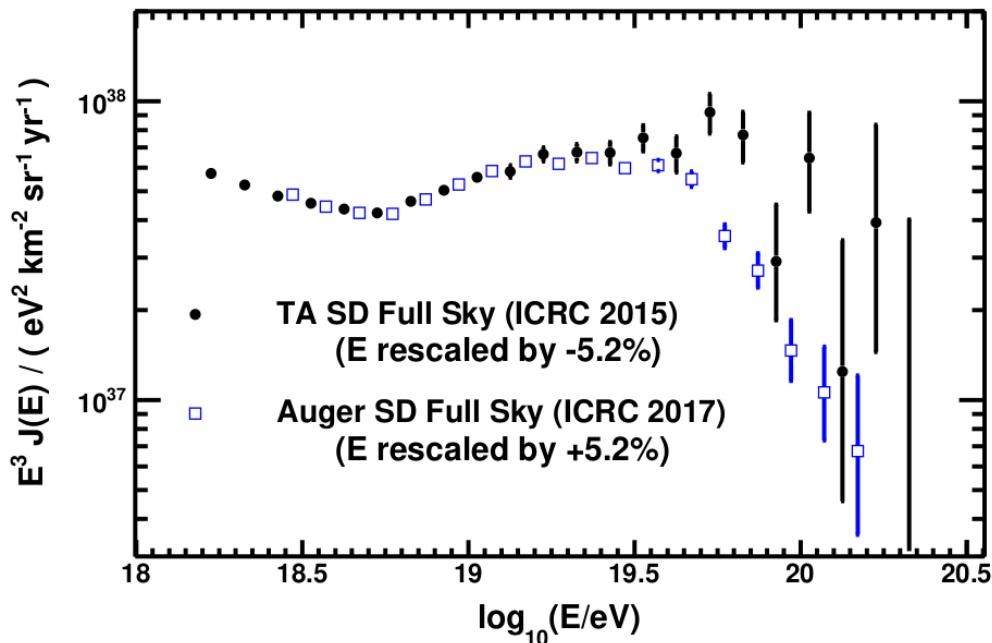
**Using an external data based model !**

# Energy Scale

Unique energy scale obtained mixing

- ➔ Combine Auger/TA spectrum
- ➔ Relative factors between other experiment using the Global Spline Fit (GSF) from H. Dembinski (PoS(ICRC 2017)533)

Experiment	$E_{\text{data}}/E_{\text{ref}}$
EAS-MSU	unknown
IceCube Neutrino Observatory	1.19
KASCADE-Grande	unknown
NEVOD-DECOR	1.08
Pierre Auger Observatory & AMIGA	0.948
SUGAR	0.948
Telescope Array	1.052
Yakutsk EAS Array	1.24



H. Dembinski

# Possible Particle Physics Explanations

A 30% change in particle charge ratio ( $\alpha = \frac{N_{\pi^0}}{N_{mult}}$ ) is huge !

→ Possibility to increase  $N_{mult}$  limited by  $X_{max}$

→ New Physics ?

- Chiral symmetry restoration (Farrar et al.) ?

- Strange fireball (Anchordoqui et al.) ?

- String Fusion (Alvarez-Muniz et al.) ?

→ Problem : no strong effect observed at LHC ( $\sim 10^{17}$  eV)

→ Unexpected production of Quark Gluon Plasma (QGP) in light systems observed at the LHC (at least modified hadronization)

- Reduced  $\alpha$  is a sign of QGP formation (enhanced strangeness and baryon production reduces relative  $\pi^0$  fraction. Baur et al., arXiv:1902.09265) !

- $\alpha$  depends on the hadronization scheme

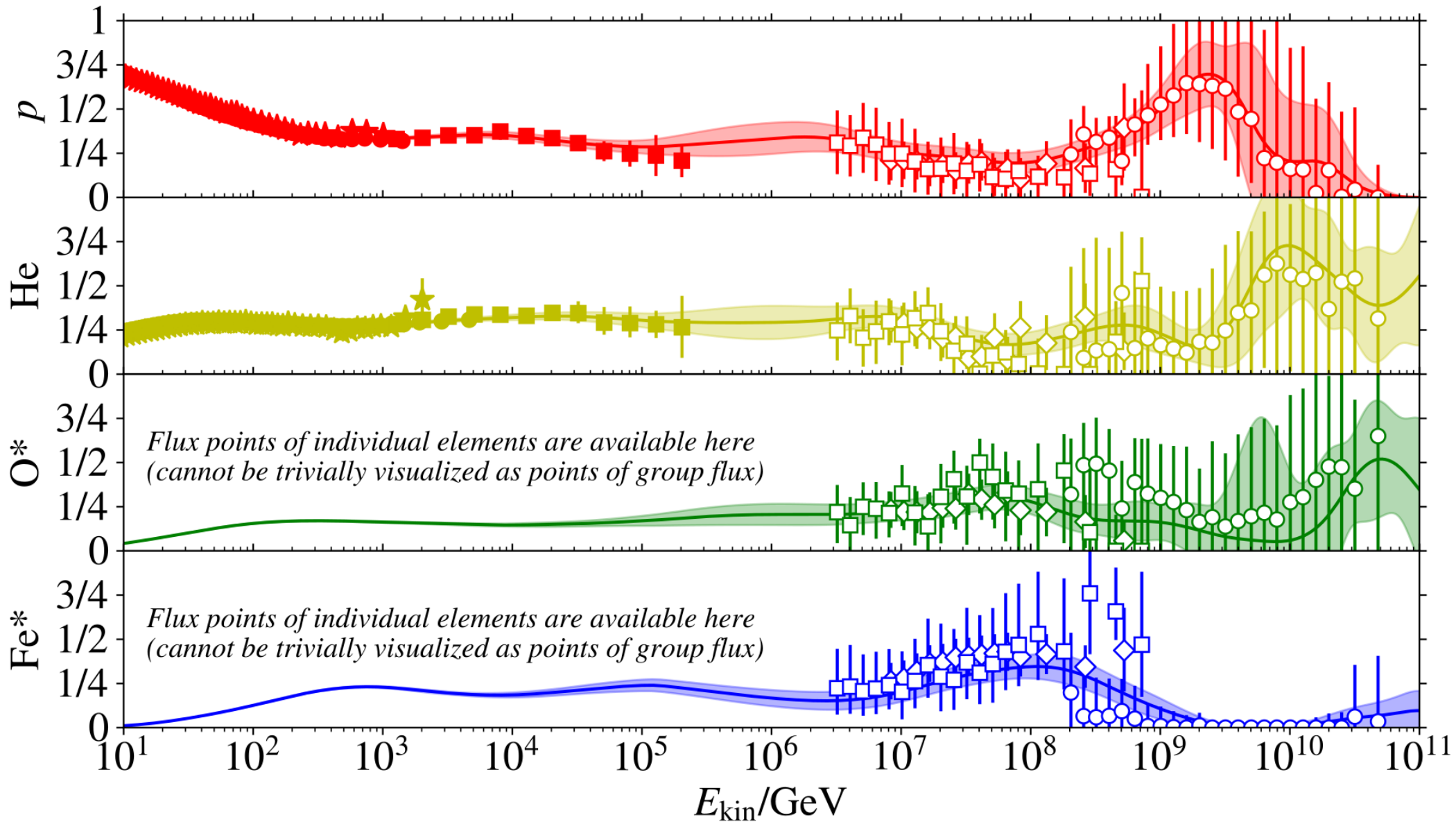
→ How is done in hadronic interaction models ?

# Hadronization in Simulations

- **Historically (theoretical/practical reasons) string fragmentation used in high energy models (Pythia, Sibyll, QGSJET, ...) for proton-proton.**
  - ➔ Light system are not “dense”
  - ➔ Works relatively well at SPS (low energy)
  - ➔ But **problems already at RHIC, clearly at Fermilab, and serious at LHC** :
    - Modification of string fragmentation needed to account for data
    - Various phenomenological approaches :
      - ➔ Color reconnection
      - ➔ String junction
      - ➔ String percolation, ...
    - Number of parameters increased with the quality of data ...
- **Statistical model used for Heavy Ion only in combination with hydrodynamical evolution of the dense system : QGP hadronization**
  - ➔ Account for flow effects, strangeness enhancement, particle correlations...

# GSF Composition Details

★ PAMELA ● AMS-02 ■ CREAM ◇ TUNKA □ IceCube ○ Auger



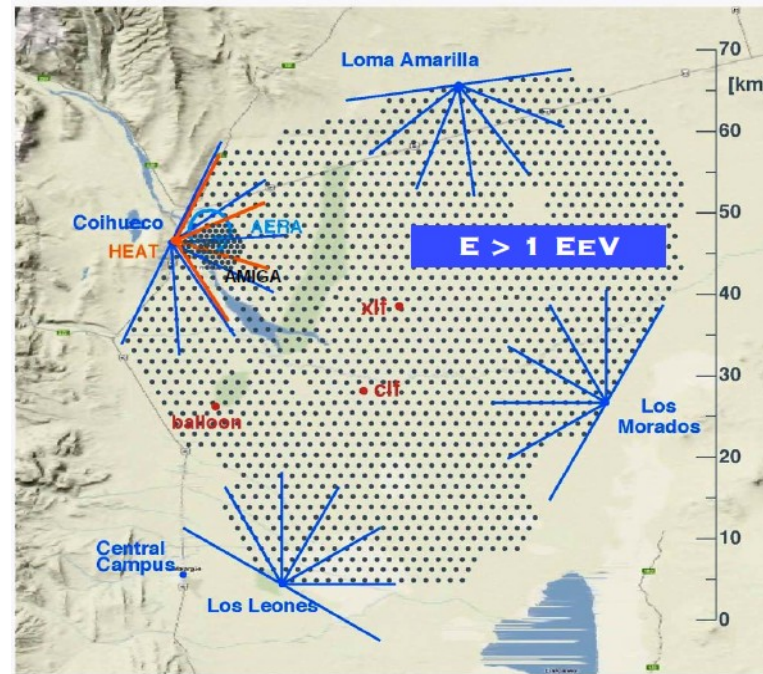
# PAO/TA

- Pierre Auger Observatory (PAO)

- ➔ Mendoza, Argentina
- ➔ Southern Hemisphere
- ➔ 3000 km<sup>2</sup>: 32000 km<sup>2</sup>/sr/yr

- Telescope Array (TA)

- ➔ Utah, USA
- ➔ Northern Hemisphere
- ➔ 680 km<sup>2</sup>: 3700 km<sup>2</sup>/sr/yr



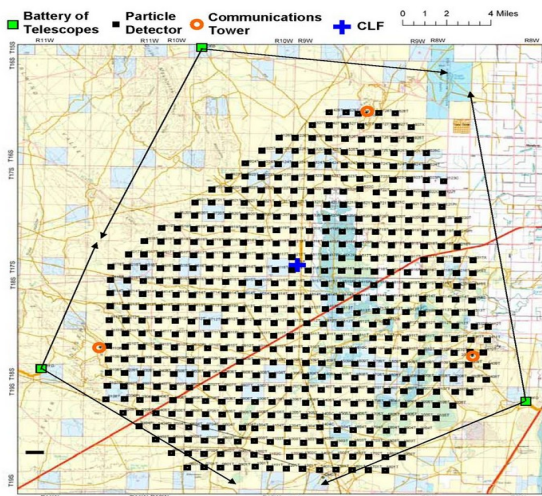
**SURFACE DETECTOR ARRAY**  
 1600 WATER-CHERENKOV STATIONS  
 1500 M SPACING  
 3000 KM<sup>2</sup>

**100% SD-1500 m**

**4 FLUORESCENCE DETECTORS**  
 24 TELESCOPES  
 FOV 1-30°

**FD 15%**

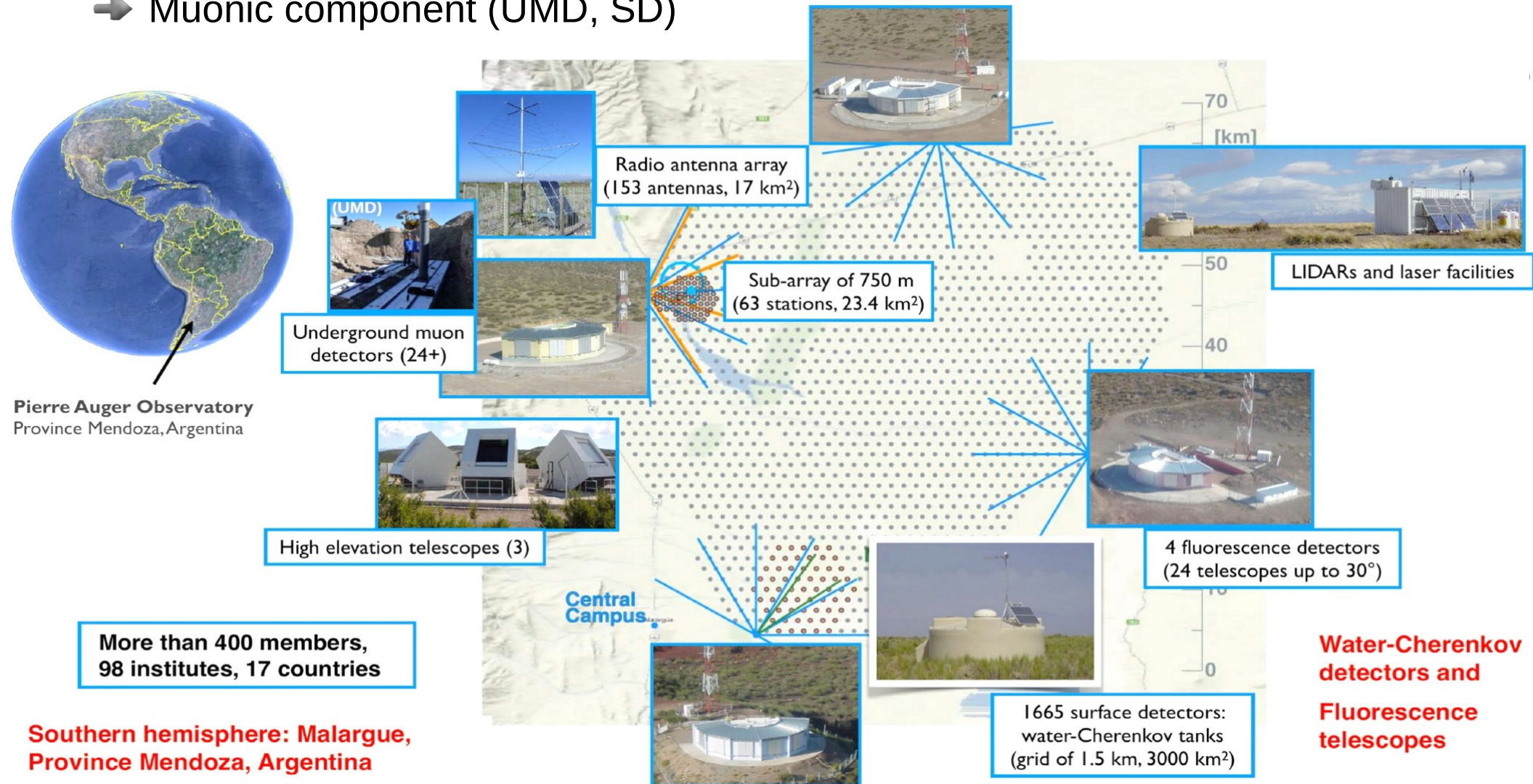
**ATMOSPHERIC MONITORING**  
 LASERS AND LIDARS



# The Pierre Auger Observatory

## Multicomponent (hybrid) detector

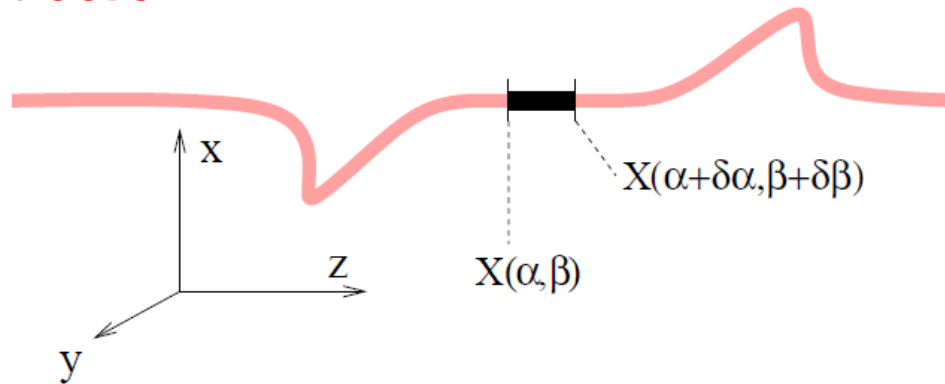
- ➔ Electromagnetic component (FD, RD, SD)
- ➔ Muonic component (UMD, SD)



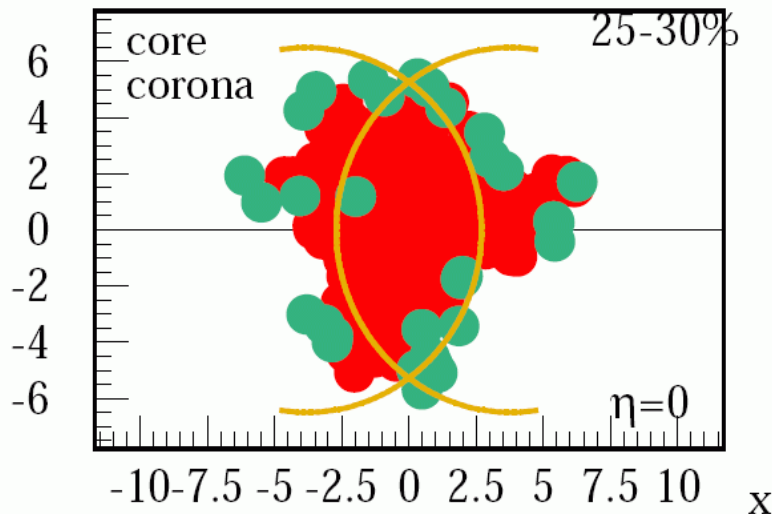
# A 3<sup>rd</sup> way : the core-corona approach

Consider the local density to hadronize with strings OR with QGP:

- ➔ First use string fragmentation but modify the usual procedure, since the density of strings will be so high that they cannot possibly decay independently : **core**



In EPOS (since 2005)



- ➔ Each string cut into a sequence of string segments, corresponding to widths  $\delta\alpha$  and  $\delta\beta$  in the string parameter space
- ➔ If energy density from segments high enough
  - ◆ segments fused into core
  - ➔ flow from hydro-evolution
  - ➔ statistical hadronization
- ➔ If low density (**corona**)
  - ◆ segments remain hadrons

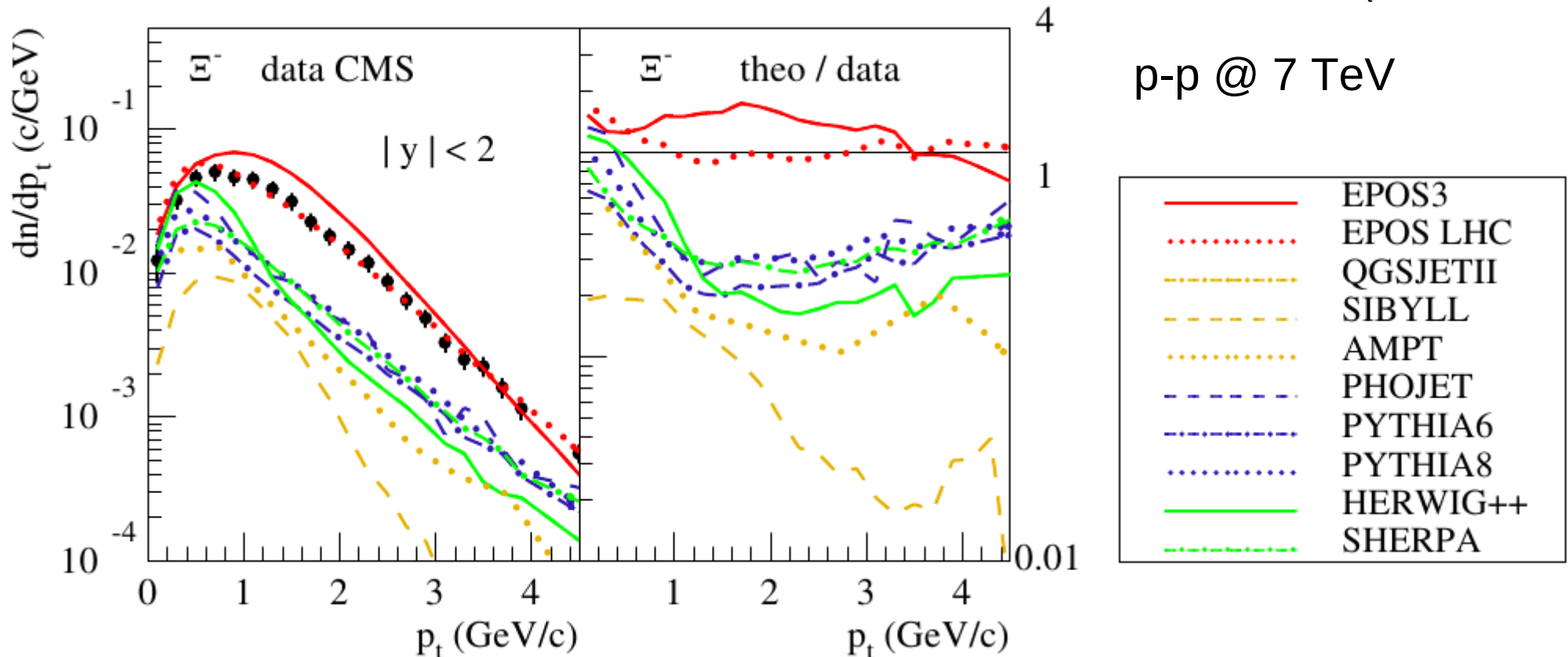


# Core in p-p (early LHC data)

Detailed description can be achieved with core in pp

- ➔ identified spectra: different strangeness between string (low) and stat. decay (high)
- ➔  $p_t$  behavior driven by collective effects (statistical hadronization + flow)

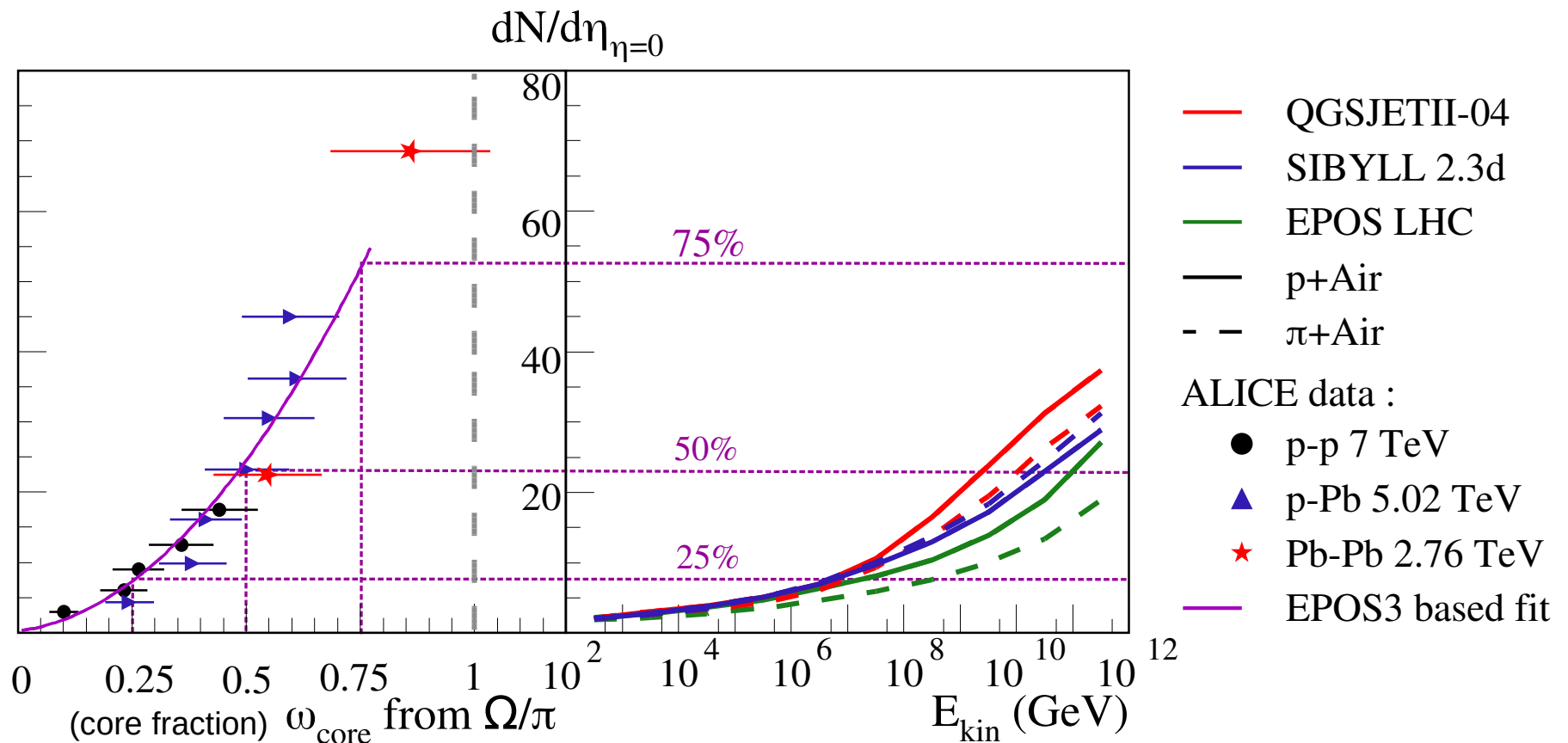
➔ larger effect for multi-strange baryons (yield AND  $\langle p_t \rangle$ )



# Particle Densities in Air Showers

Is particle density in air shower high enough to expect core formation ?

- ➔ Core formation start quite early according to ALICE data
- ➔ Cosmic ray primary interaction likely to have 50% core at mid-rapidity !



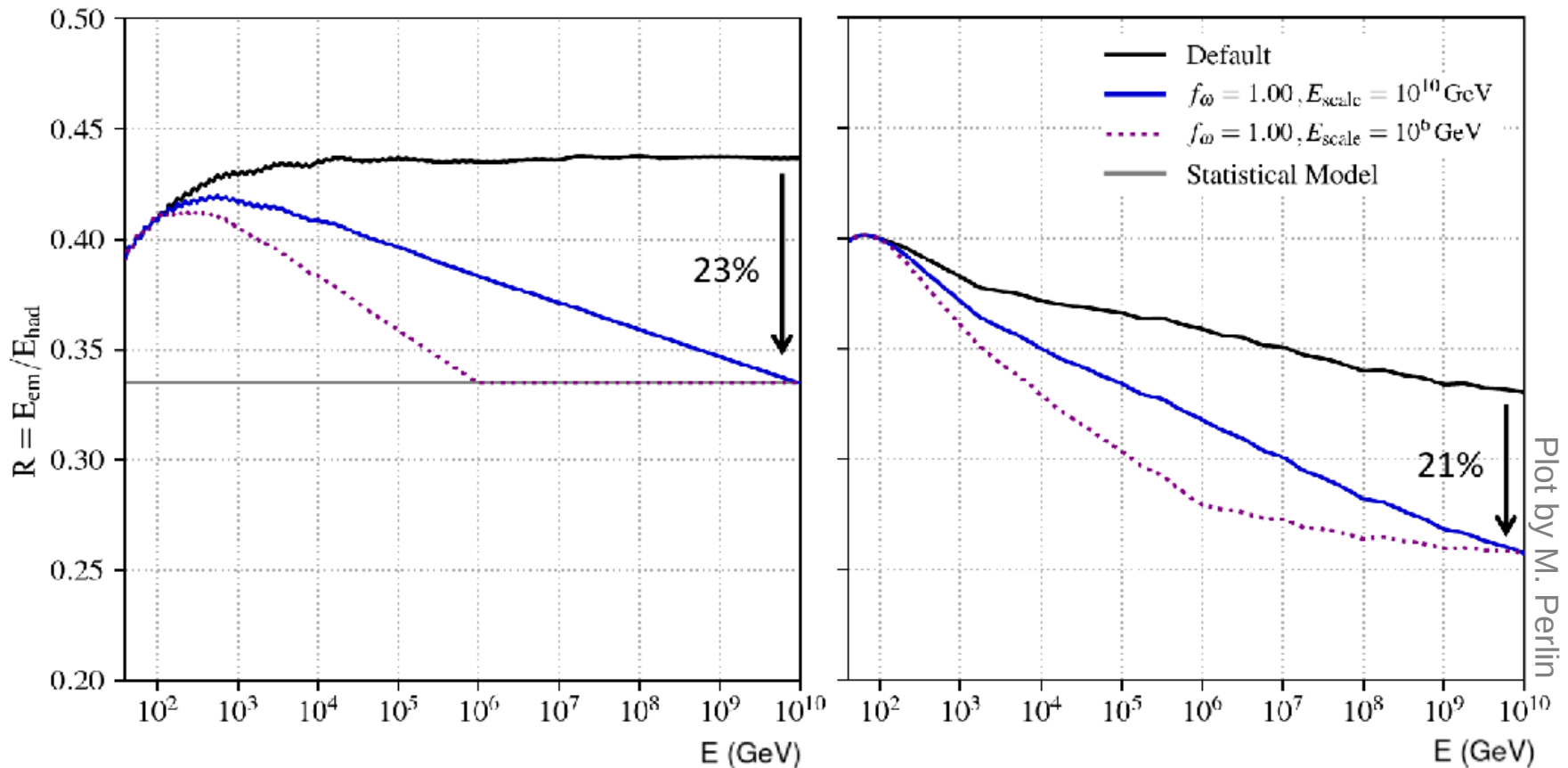
# Evolution of hadronization from core to corona

The relative fraction of  $\pi^0$  depends on the hadronization scheme

→ Change of  $\omega_{\text{core}}$  with energy change  $\alpha = \frac{N_{\pi^0}}{N_{\text{mult}}}$  or  $R(\eta) = \frac{\langle dE_{\text{em}}/d\eta \rangle}{\langle dE_{\text{had}}/d\eta \rangle}$

which define the muon production in air showers.

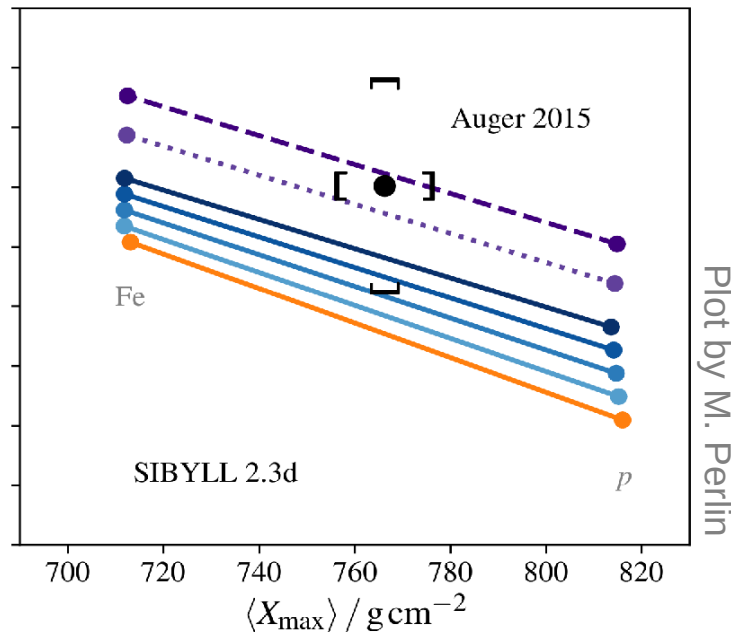
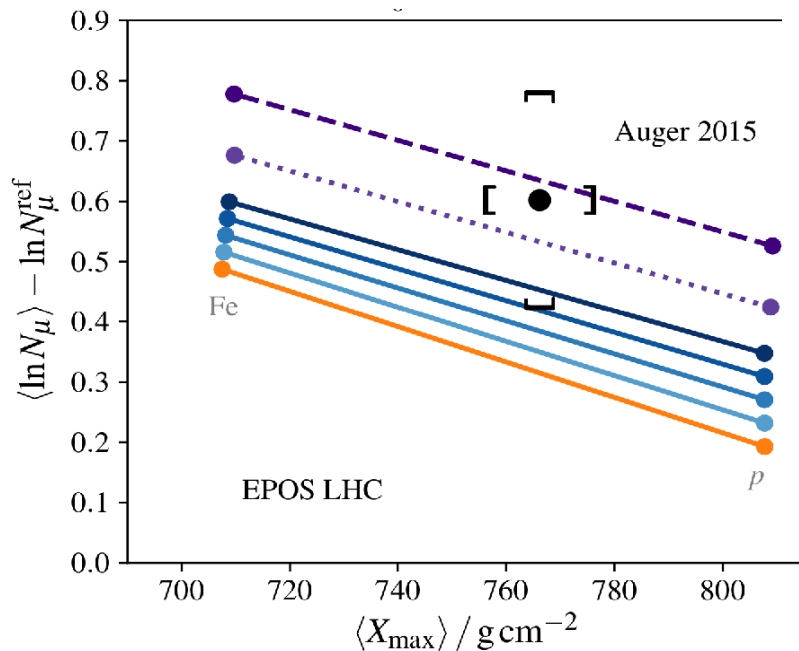
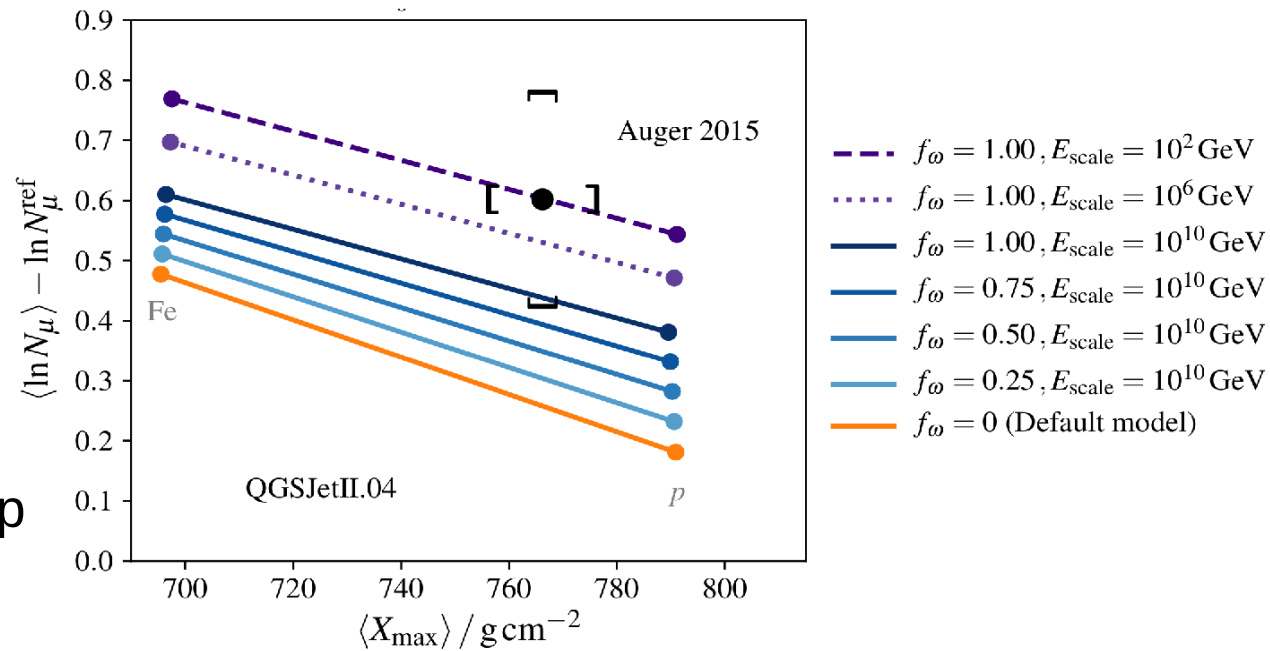
Mid-Rapidity **QGSJET-II.04** Forward ( $E/E_{\text{int}}=0.03-0.3$ )



# Results for $X_{\max}$ - $N_{\mu}$ correlation

## Significant effect observed

- ➔ No change in  $X_{\max}$
- ➔ Needs a large part of core hadronization at maximum energy to reach Auger point
- ➔ Sibyll with higher mass (deep  $X_{\max}$ ) need less



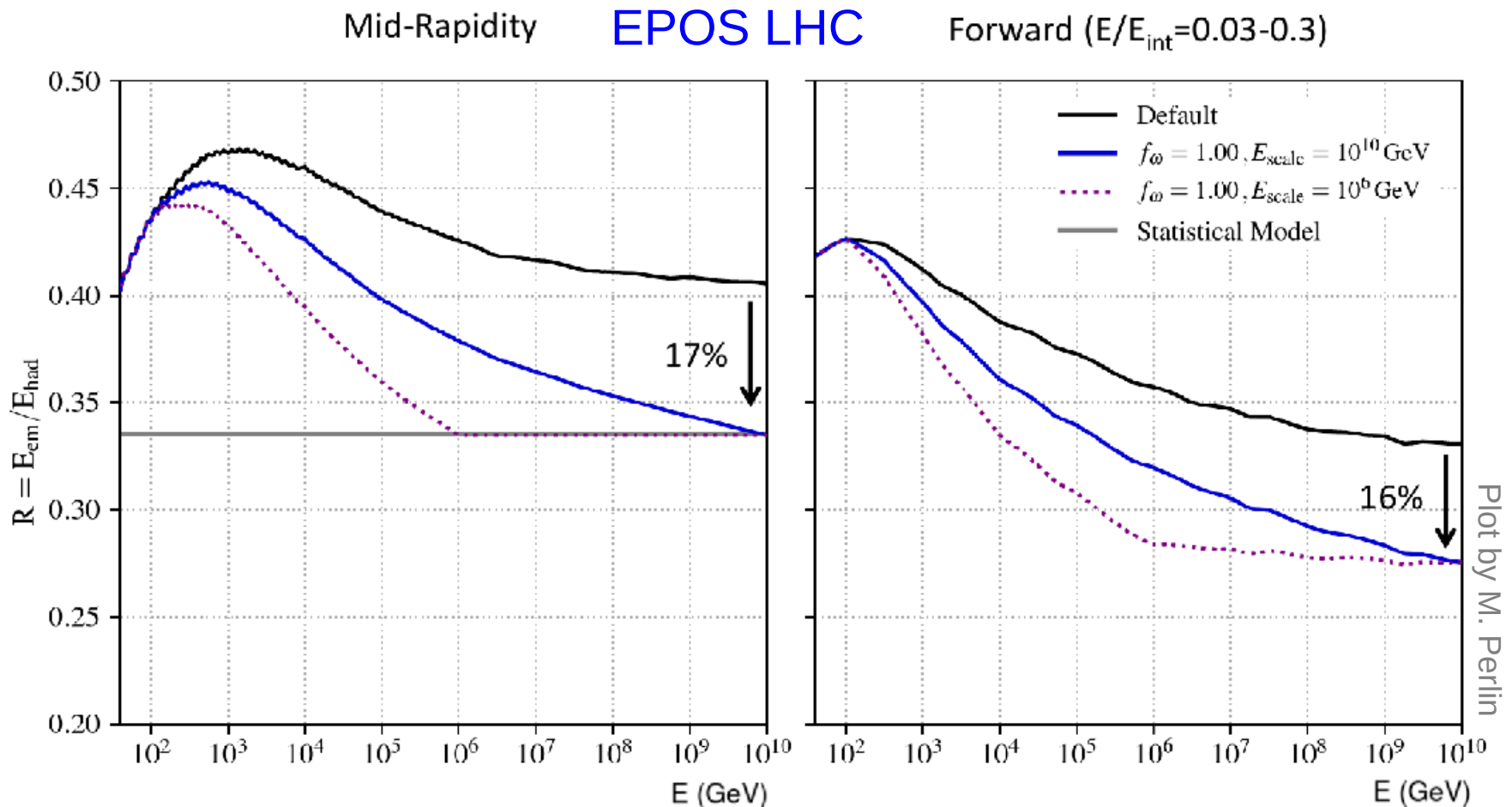
Plot by M. Perlín

# Evolution of hadronization from core to corona

The relative fraction of  $\pi^0$  depends on the hadronization scheme

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which define the muon production in air showers.

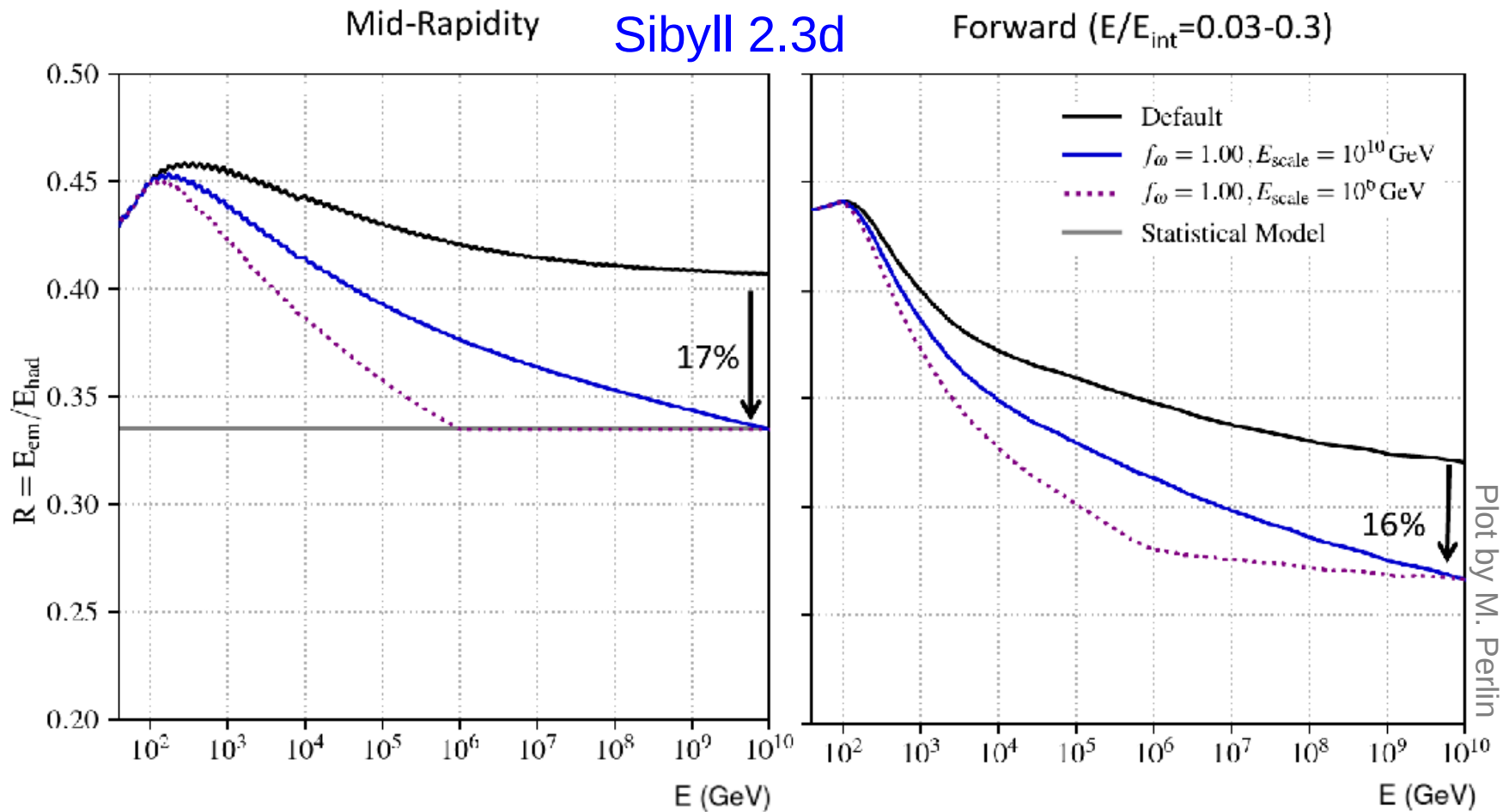


# Evolution of hadronization from core to corona

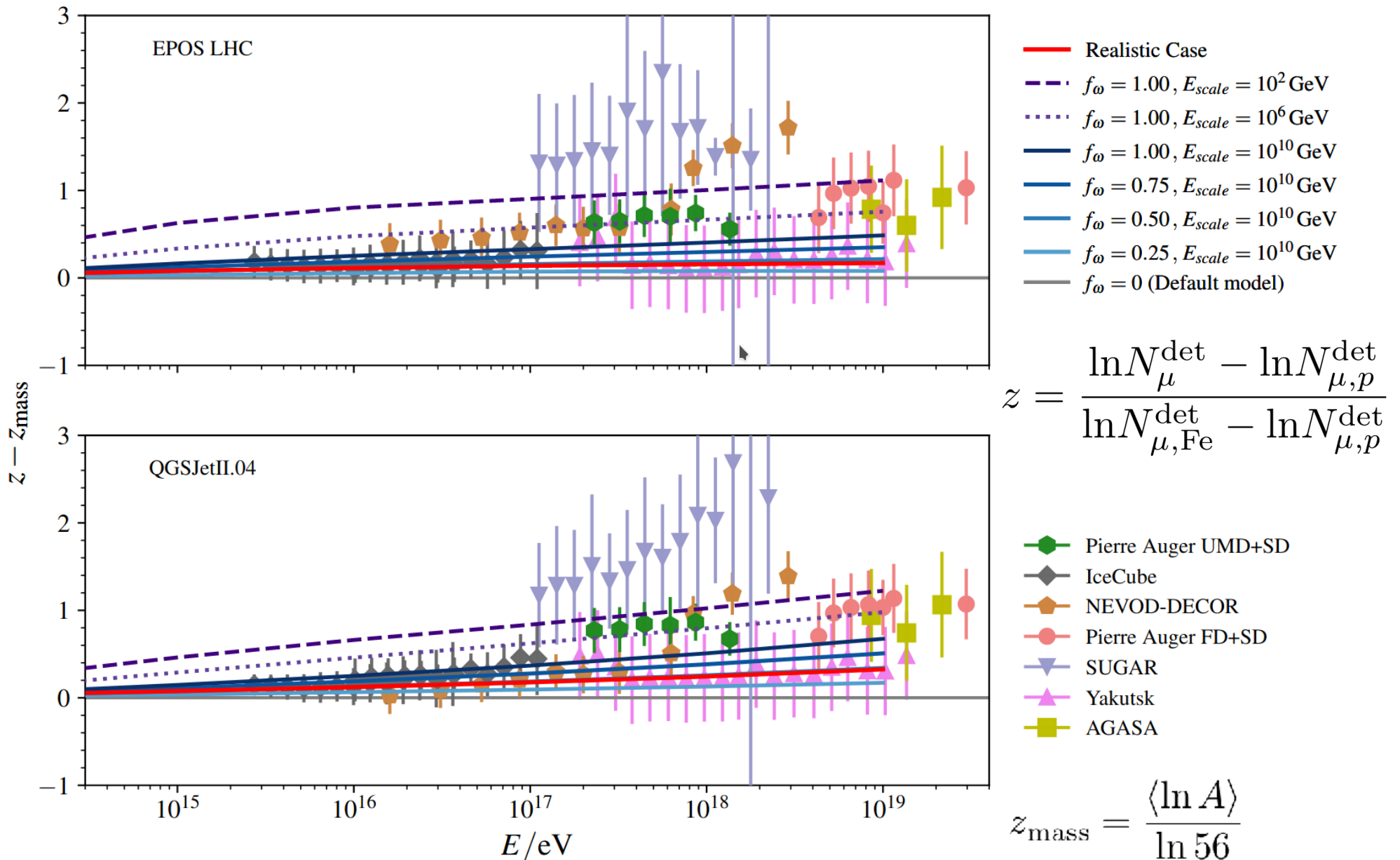
The relative fraction of  $\pi^0$  depends on the hadronization scheme

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which define the muon production in air showers.

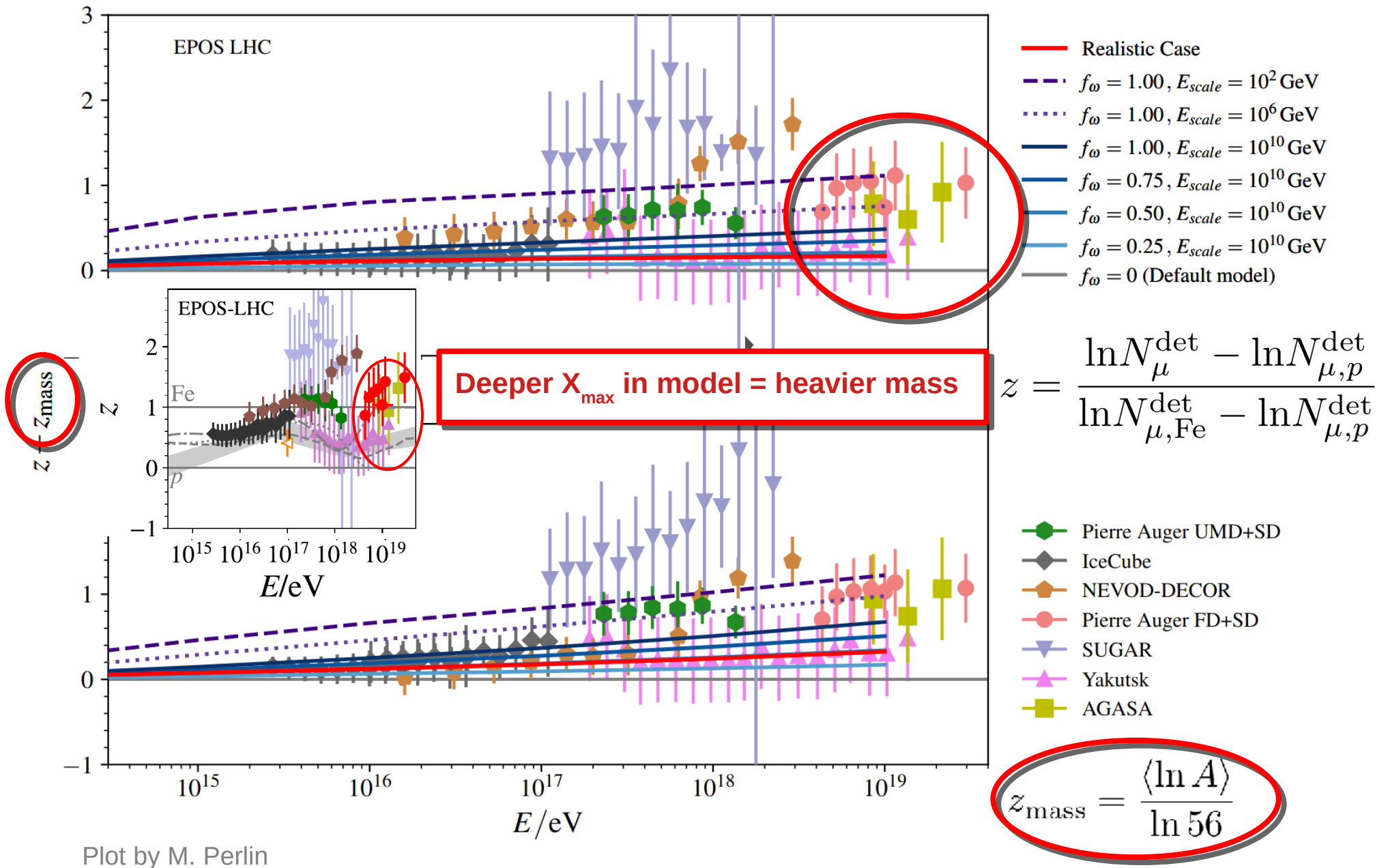


# Results for z-scale



Plot by M. Perlin

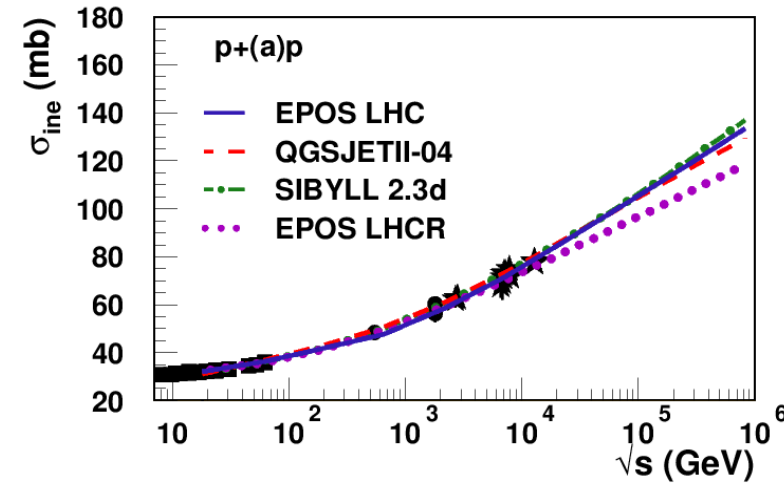
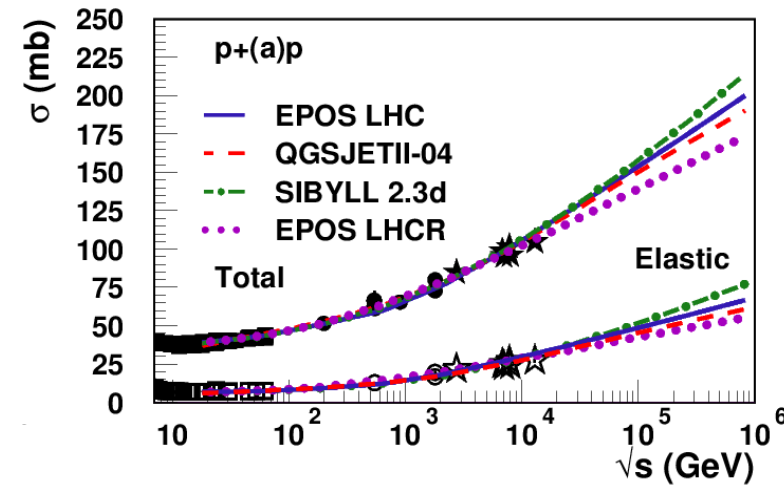
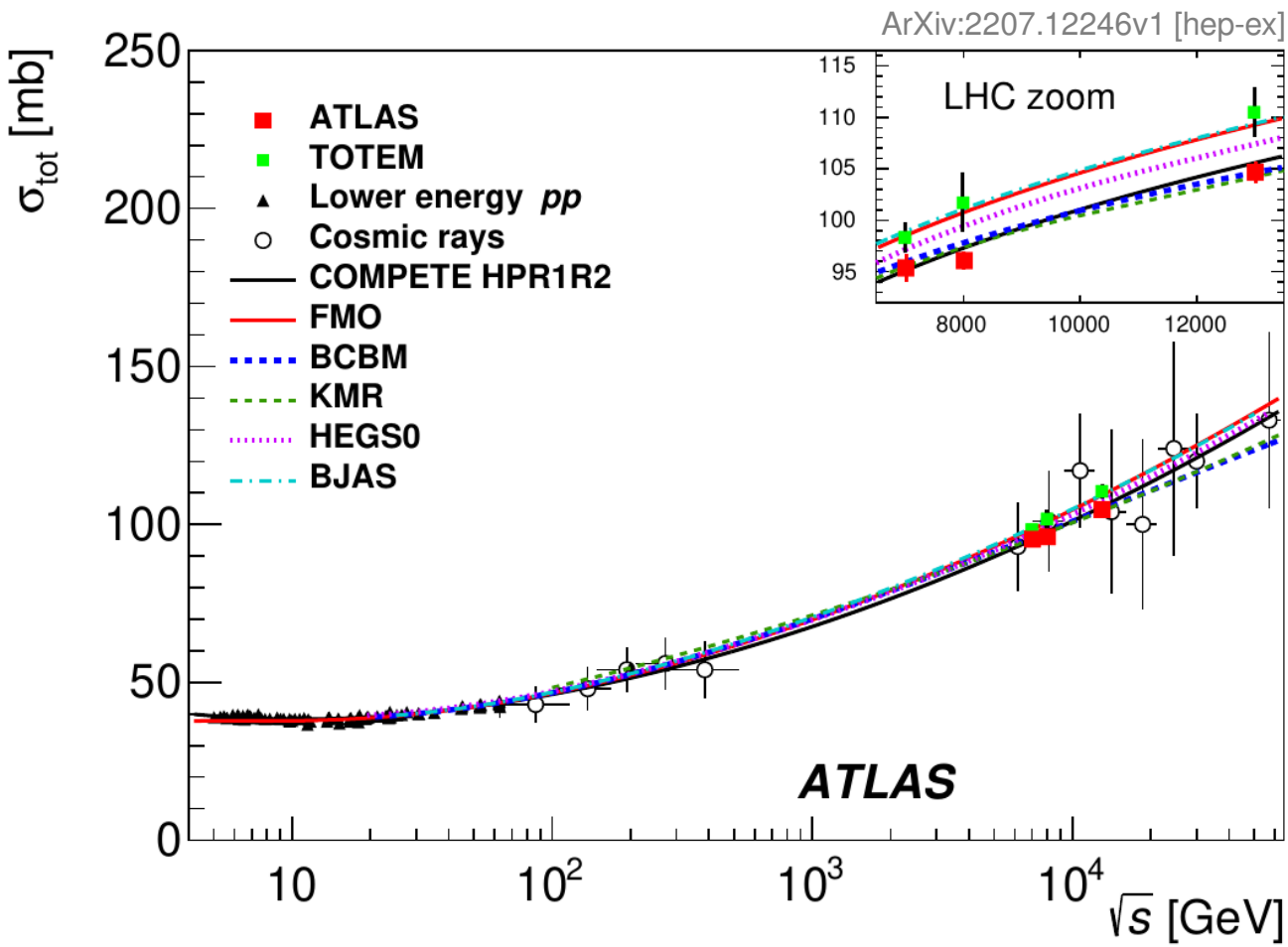
# Results for z-scale





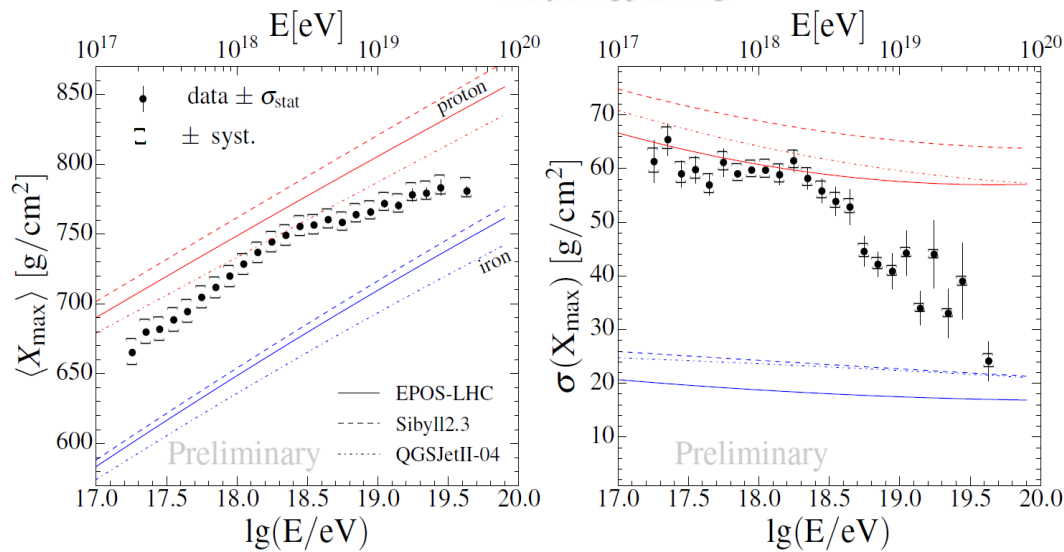
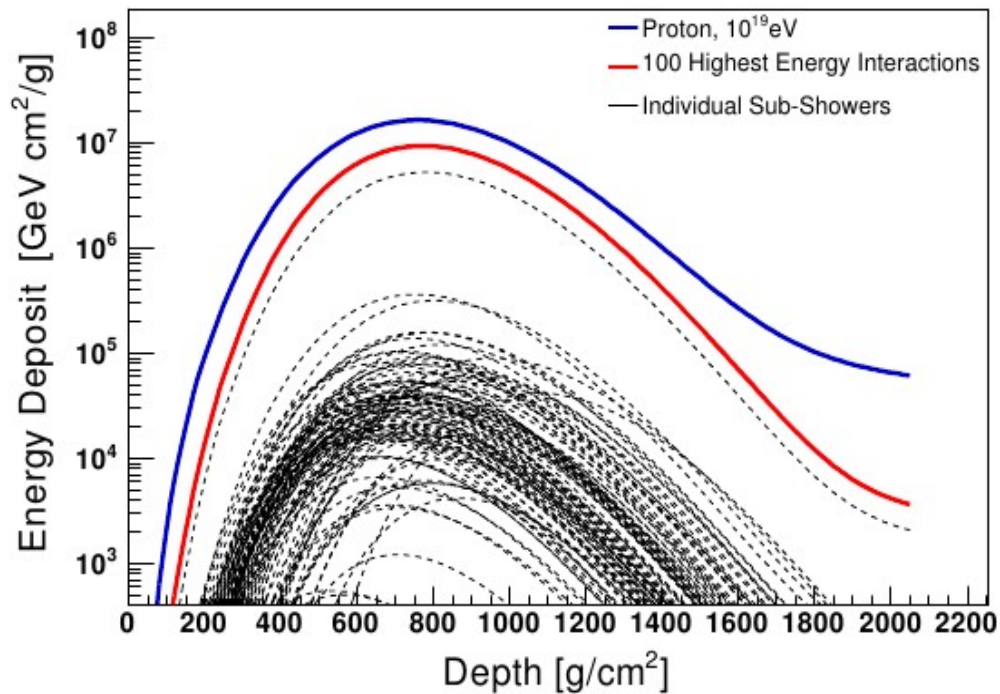
# Inelastic Cross-Section

- **Probability for the particle to interact : directly related to  $X_{\max}$**
- After TOTEM (CMS), new measurements by ALFA (ATLAS) with higher precision
- ➔ p-p cross-section too high in all models



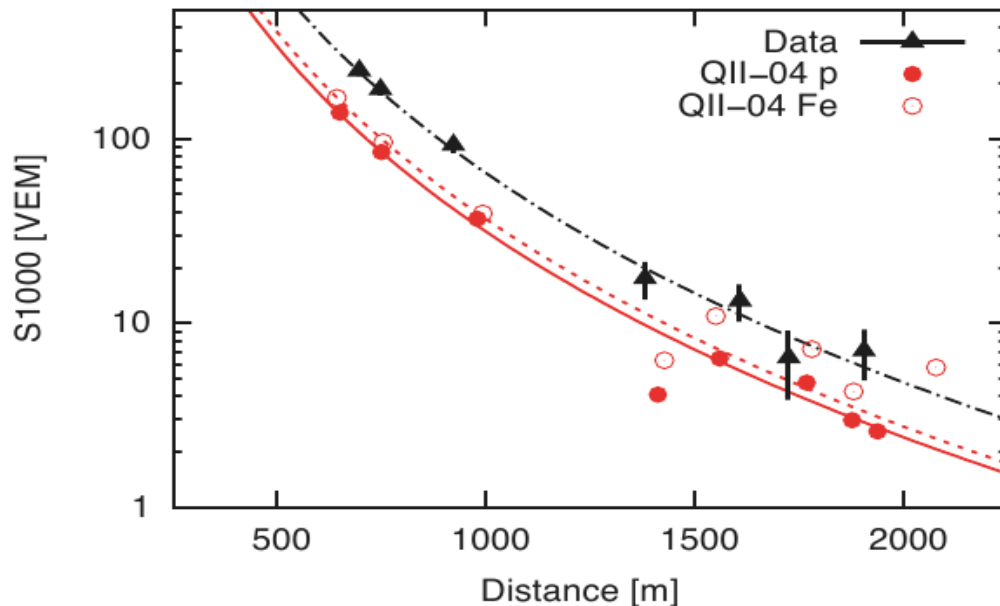
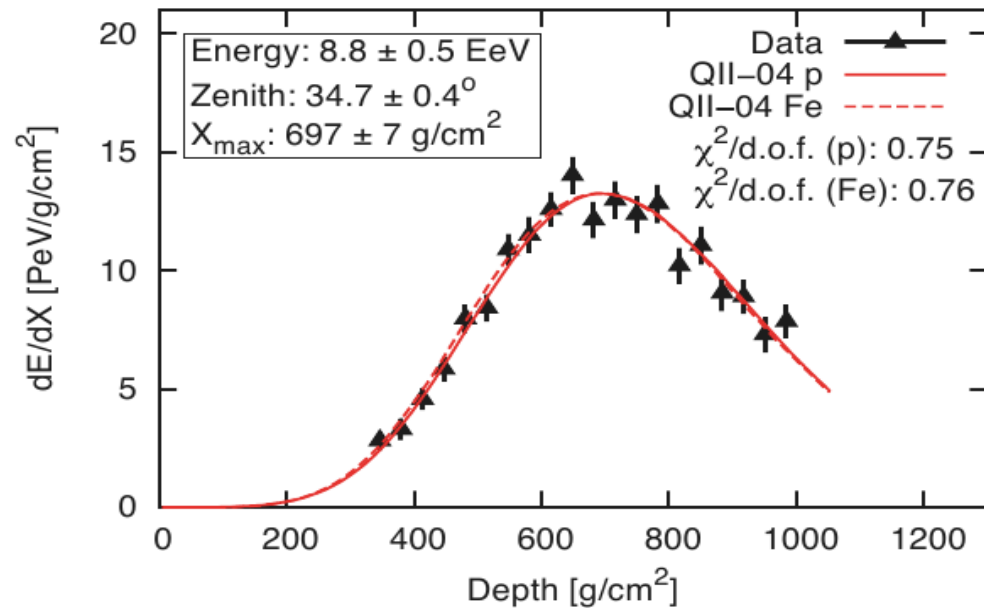
# Fluorescence Detector (FD)

From R. Ulrich (KIT)



- Most direct measurement
  - ➔ dominated by first interaction
- Reference mass for other analysis
  - ➔  $\langle \ln A \rangle$  from  $\langle X_{\max} \rangle$  and RMS
- Possibility to use the tail of  $X_{\max}$  distribution to measure p-Air inelastic cross-section.
  - ➔ require no contamination from photon induced showers (independent check)
  - ➔ correction to “invisible” cross-section using hadronic models
  - ➔ conversion to p-p cross-section using Glauber model.

# Hybrid Analysis



## ● Analysis based on 411 Golden Hybrid Events

➔ find simulated showers reproducing each FD profile for all possible models and primary masses (p, He, N, Fe),

➔ decompose ground signal into pure electromagnetic ( $S_{EM}$ ) and muon dependent signal ( $S_\mu$ ),

➔ rescale both component separately ( $R_E$  and  $R_\mu$  to reproduce SD signal for each showers,

$$S_{\text{resc}}(R_E, R_\mu)_{i,j} \equiv R_E S_{EM,i,j} + R_E^\alpha R_\mu S_{\mu,i,j}$$

➔ for mixed composition, give weight according to  $X_{\max}$  distribution.

# Muon Rescaling

- Simulations don't reproduce FD and SD signal consistently

→  $R = S_{1000}^{\text{observed}} / S_{1000}^{\text{predicted}}$  increase with zenith angle

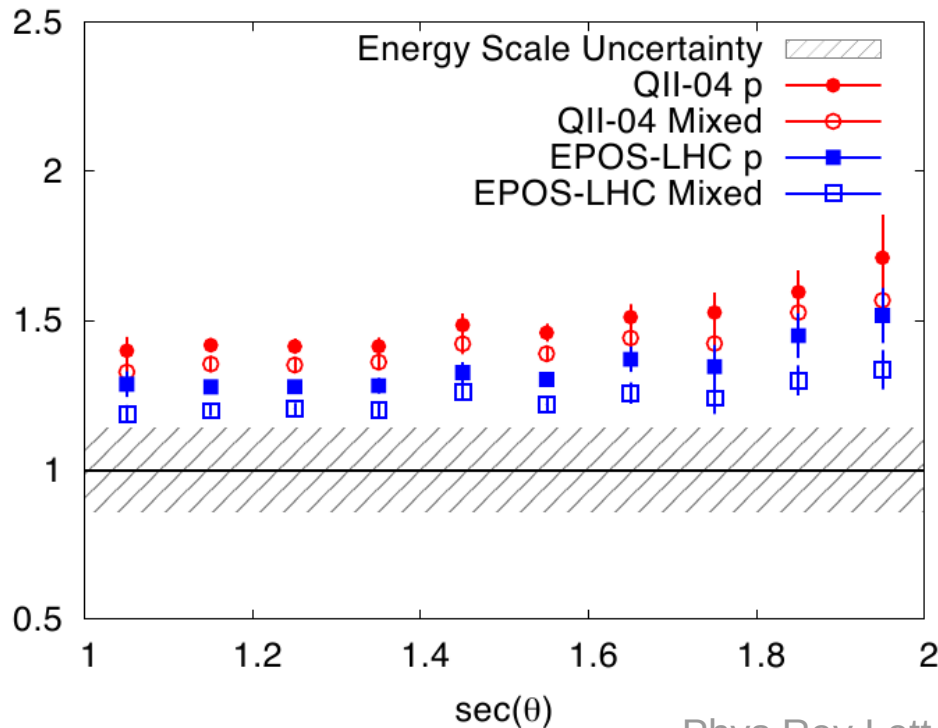
→ EPOS-LHC Iron could be (almost) compatible with data, but  $X_{\text{max}}$  data are NOT pure Iron (but mixed).

- To reproduce data simulations have to be rescaled

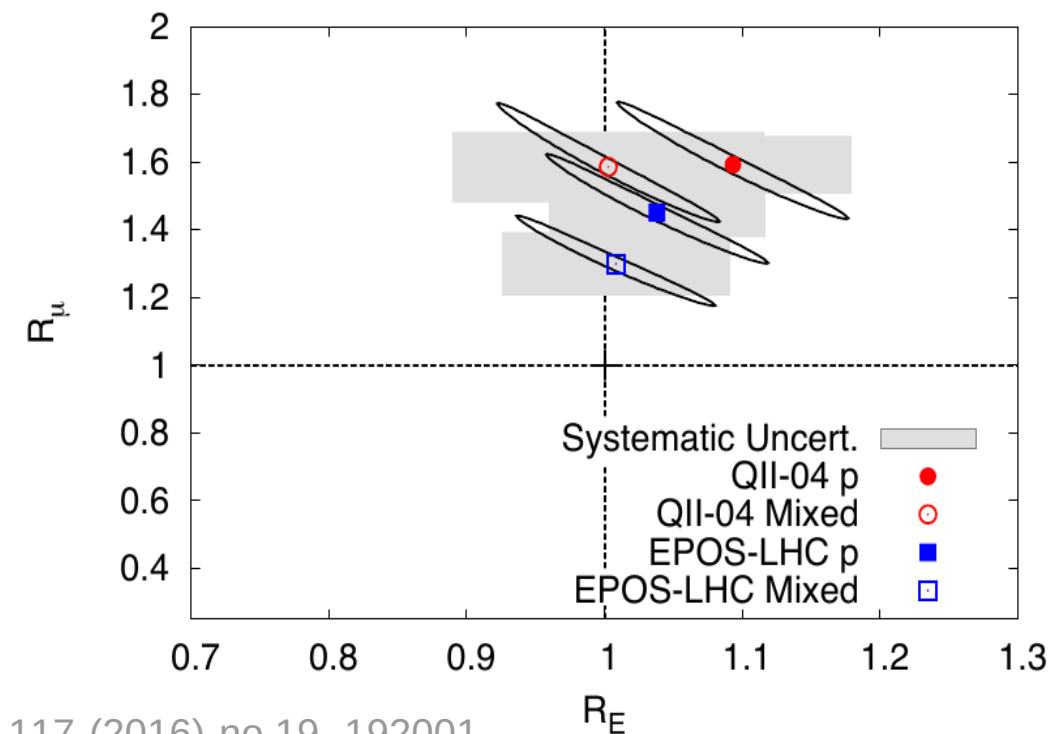
→ for mixed composition, only muon component has to be changed

→ correct energy scale

→ 30% muon deficit for EPOS-LHC and 59% for QGSJETII-04.



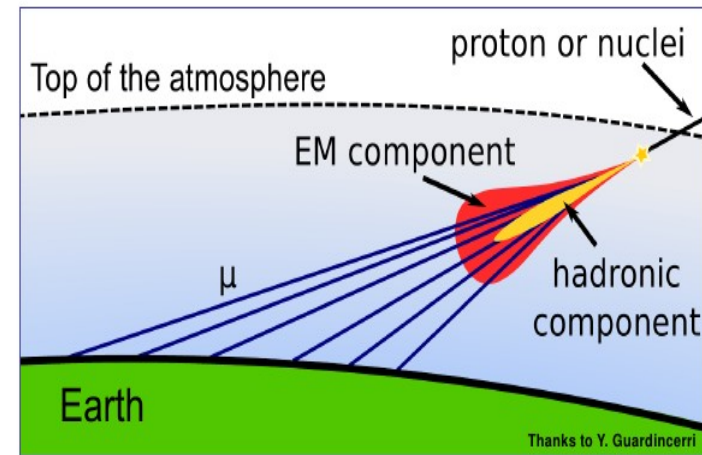
Phys.Rev.Lett. 117 (2016) no.19, 192001



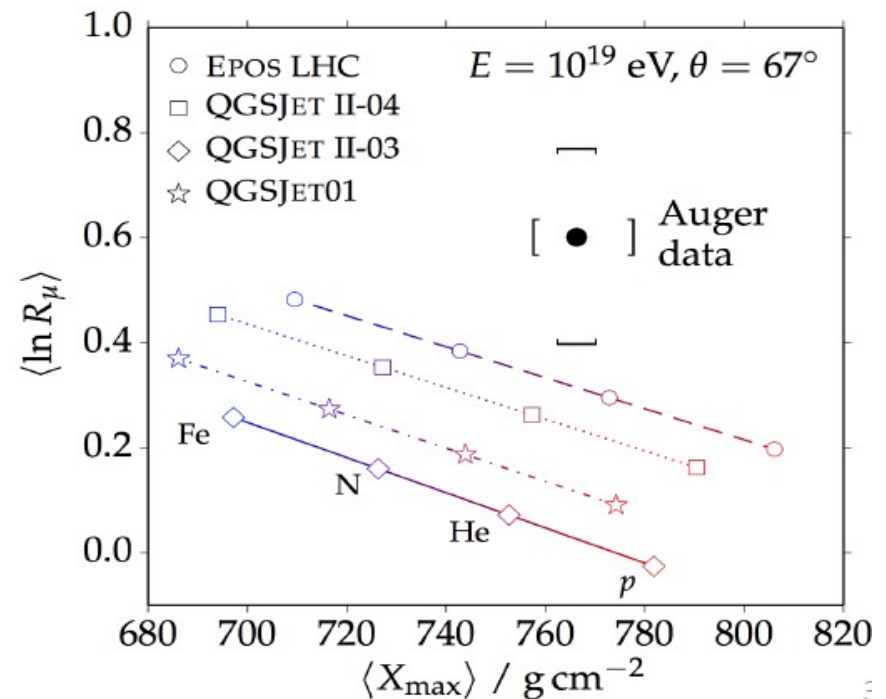
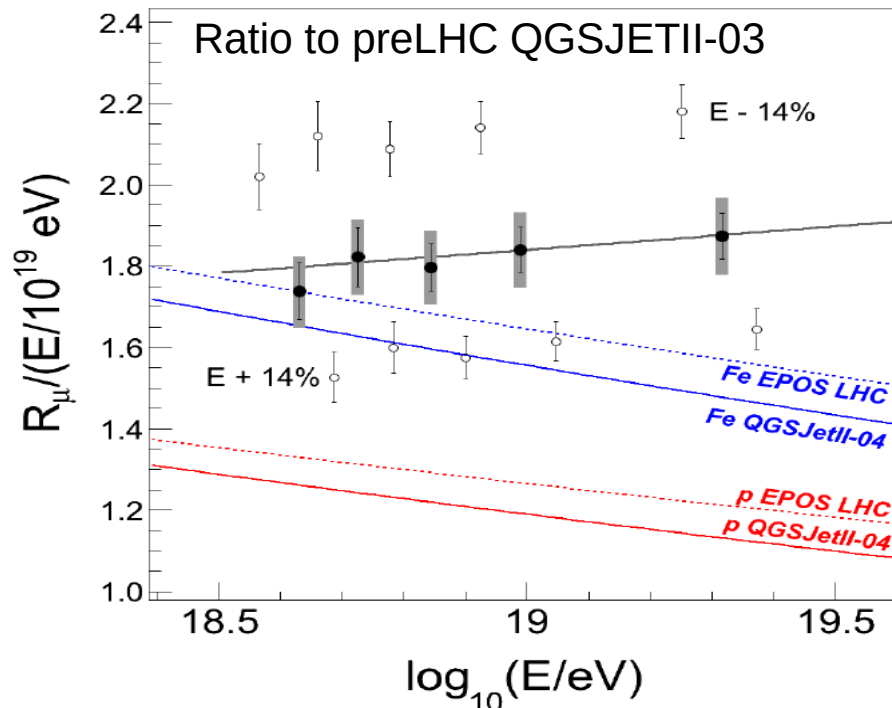
# Direct Muon Measurement

## ● Old showers contain only muon component

- ➔ direct muon counting with very inclined showers ( $>60^\circ$ ) by comparing to simulated muon maps (geometry and geomagnetic field effects)
- ➔ EM halo accounted for
- ➔ correction between true muon number and reconstructed one from map by MC ( $<5\%$ )



$R_\mu/E_{FD}$  in energy bins



# Muon Production Depth

## Independent SD mass composition measurement

➔ geometric delay of arriving muons

$$c \cdot t_g = l - (z - \Delta)$$

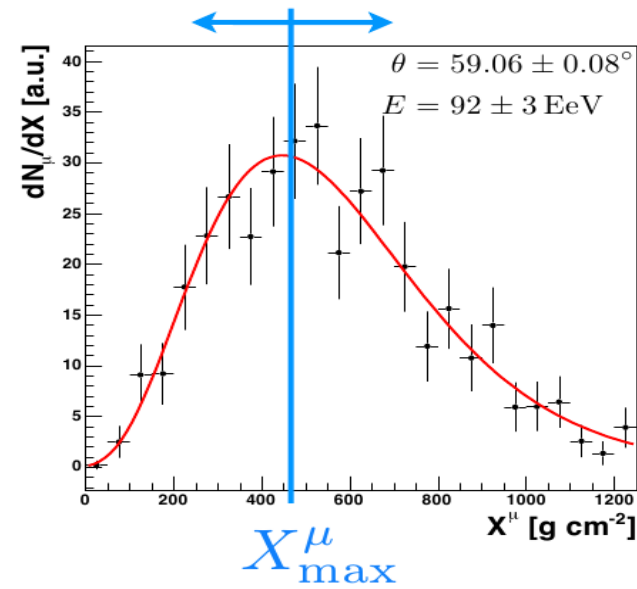
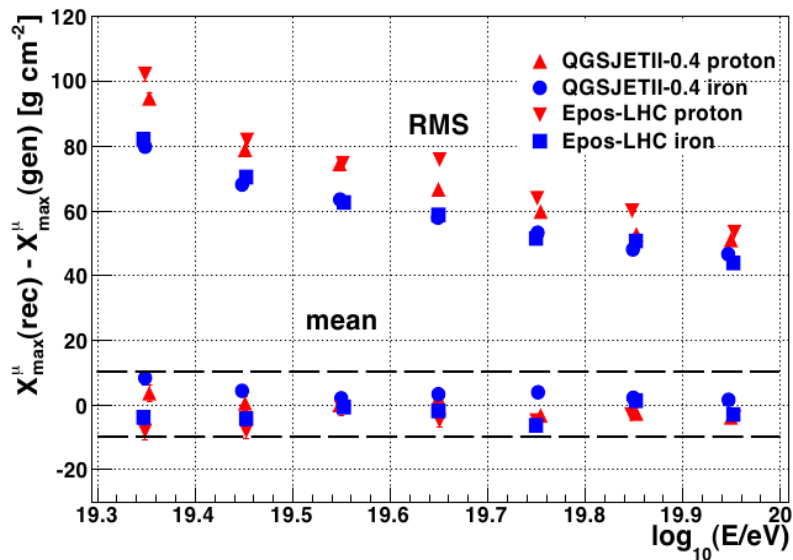
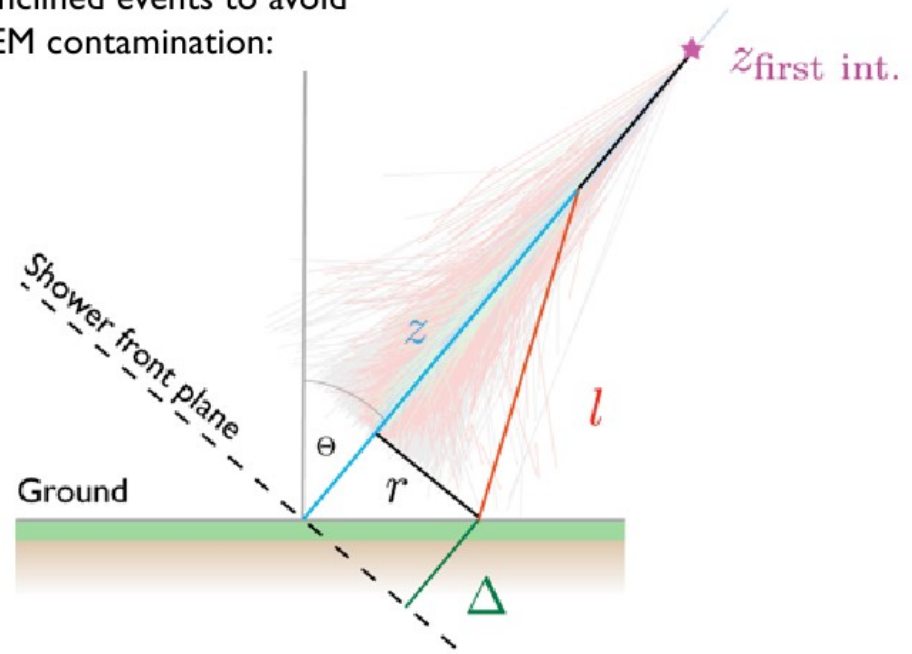
$$= \sqrt{r^2 + (z - \Delta)^2} - (z - \Delta)$$

➔ mapped to muon production distance

$$z = \frac{1}{2} \left( \frac{r^2}{ct_g} - ct_g \right) + \Delta$$

➔ decent resolution and no bias

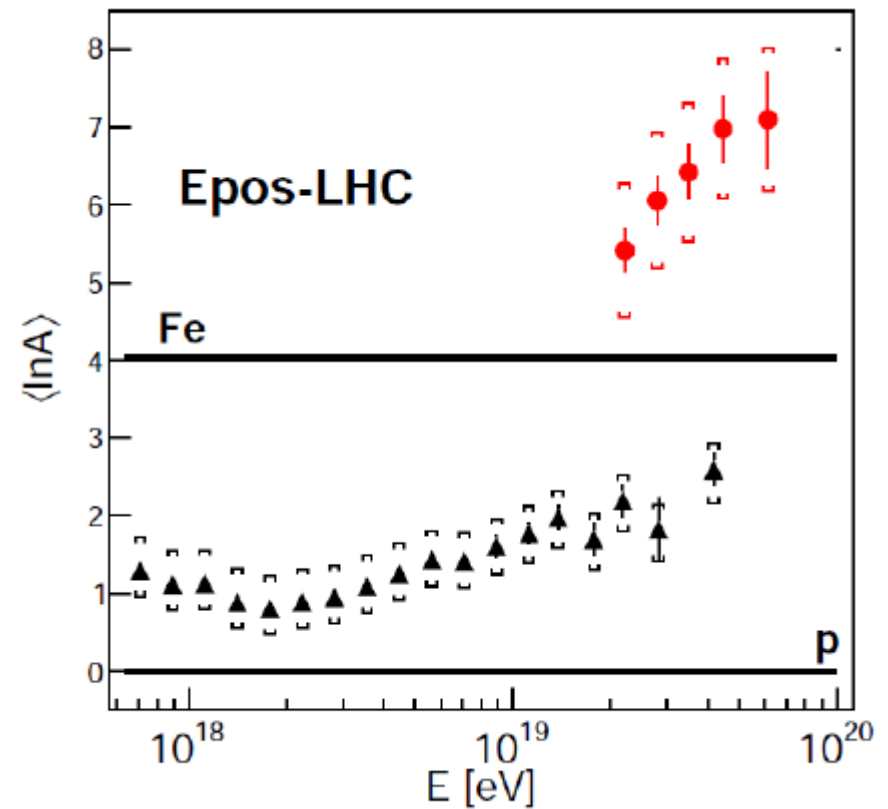
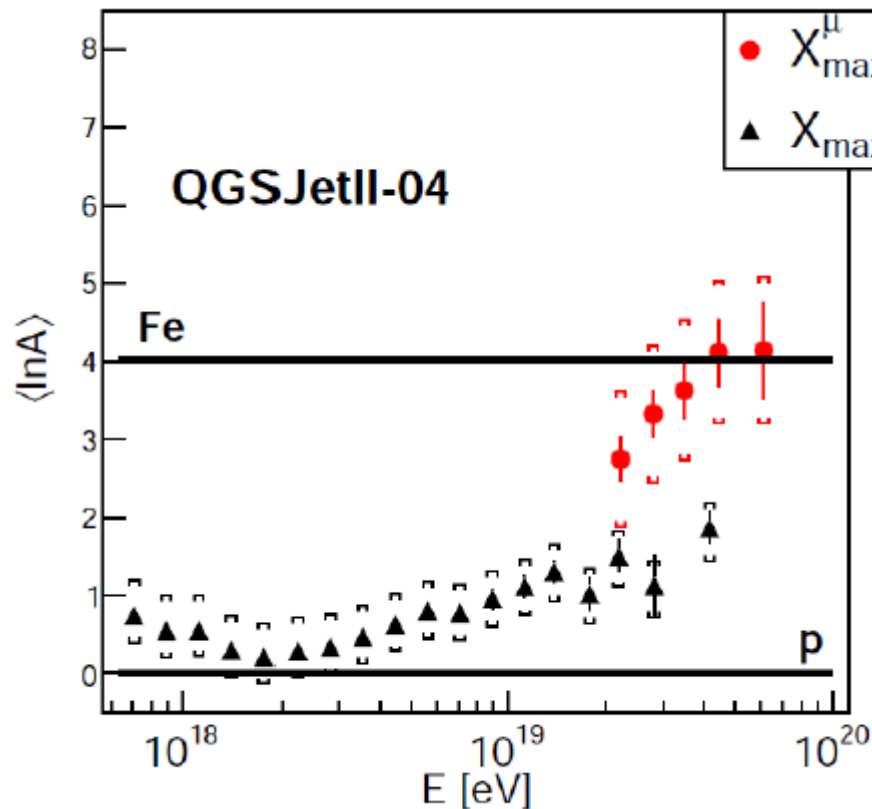
Inclined events to avoid EM contamination:



# MPD and Models

## ● 2 independent mass composition measurements

- ➔ both results should be between p and Fe
- ➔ both results should give the same mean logarithmic mass for the same model
- ➔ problem with EPOS appears after corrections motivated by LHC data (low mass diffraction) and model consistency (forward baryon production at high energy): **direct constraint on hadronic interactions.**

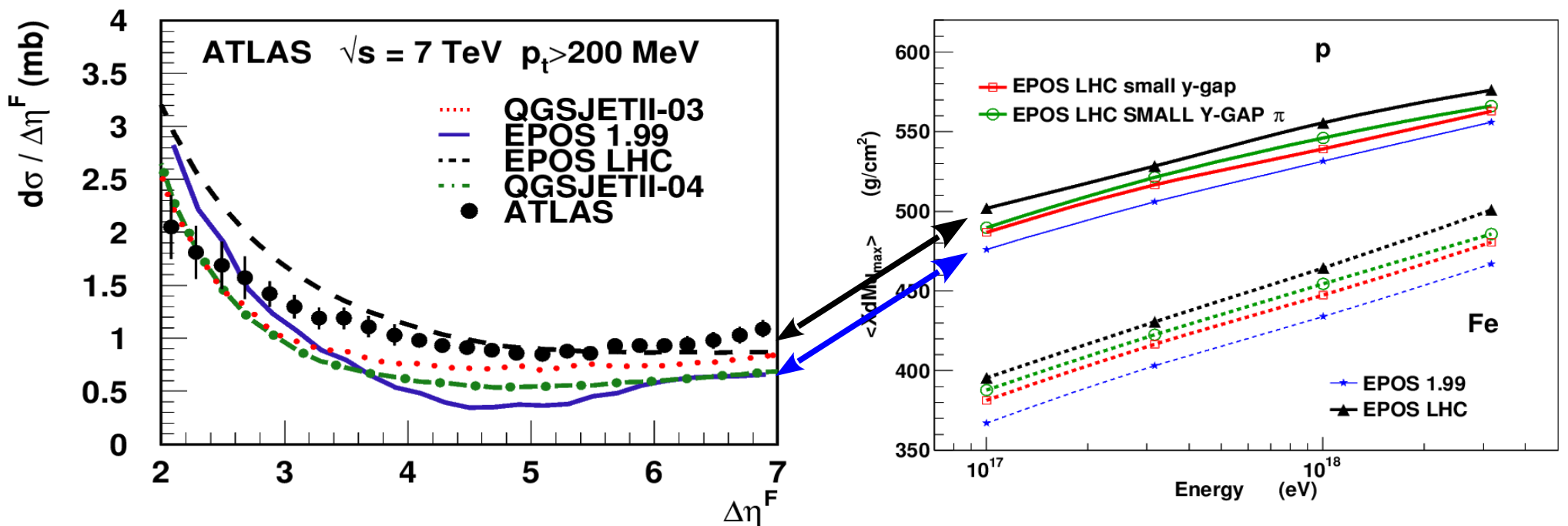


# MPD and Diffraction

Inelasticity linked to diffraction (cross-section and mass distribution)

- weak influence on EM  $X_{\max}^{\mu}$  since only 1st interaction really matters
- cumulative effect for  $X_{\max}^{\mu}$  since muons produced at the end of hadr. subcasc.
- rapidity-gap in p-p @ LHC not compatible with measured MPD
- harder mass spectrum for pions reduce  $X_{\max}^{\mu}$  and increase muon number !

**different diffractive mass distribution for mesons and baryons !**





# Correlation between $X_{\max}^*$ and $S^*(1000)$

- in data correlation is significantly negative

$$\rightarrow r_G = -0.125 \pm 0.024$$

- $r_G(X_{\max}^*, S^*(1000))$  for p

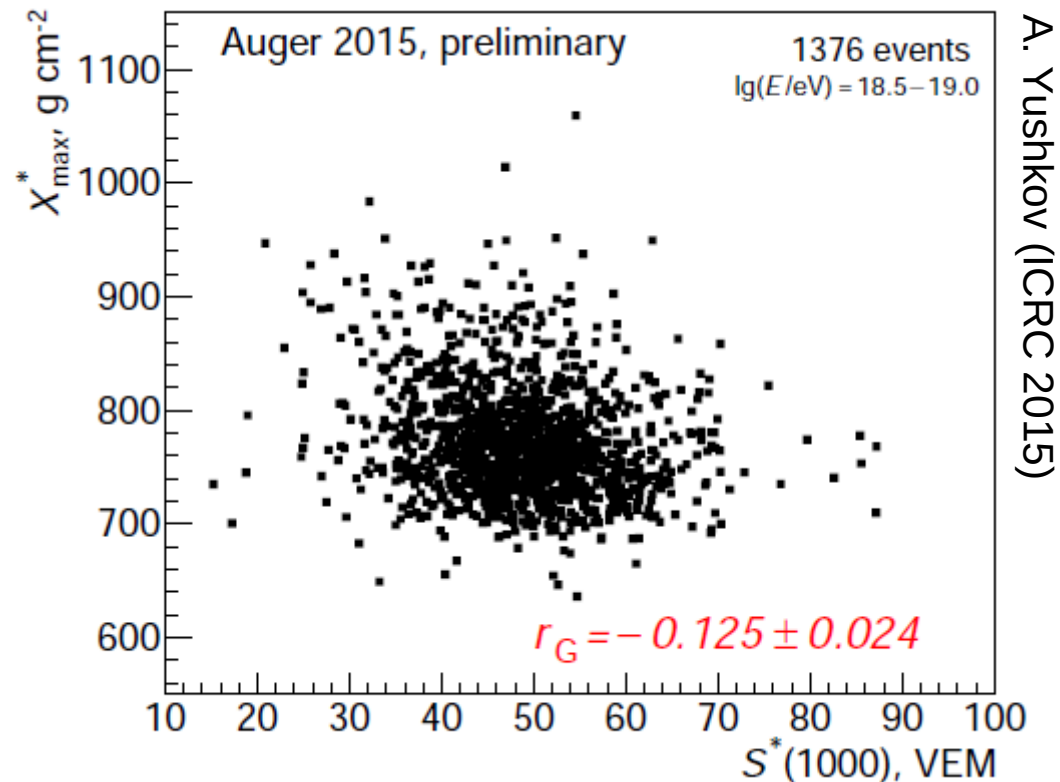
→ EPOS-LHC : 0.00 ( $5\sigma$  to data)

→ QGSJetII-04 : +0.08 ( $8\sigma$  to data)

→ Sibyll 2.1 : +0.07 ( $7.5\sigma$  to data)

- difference is larger for other pure beams

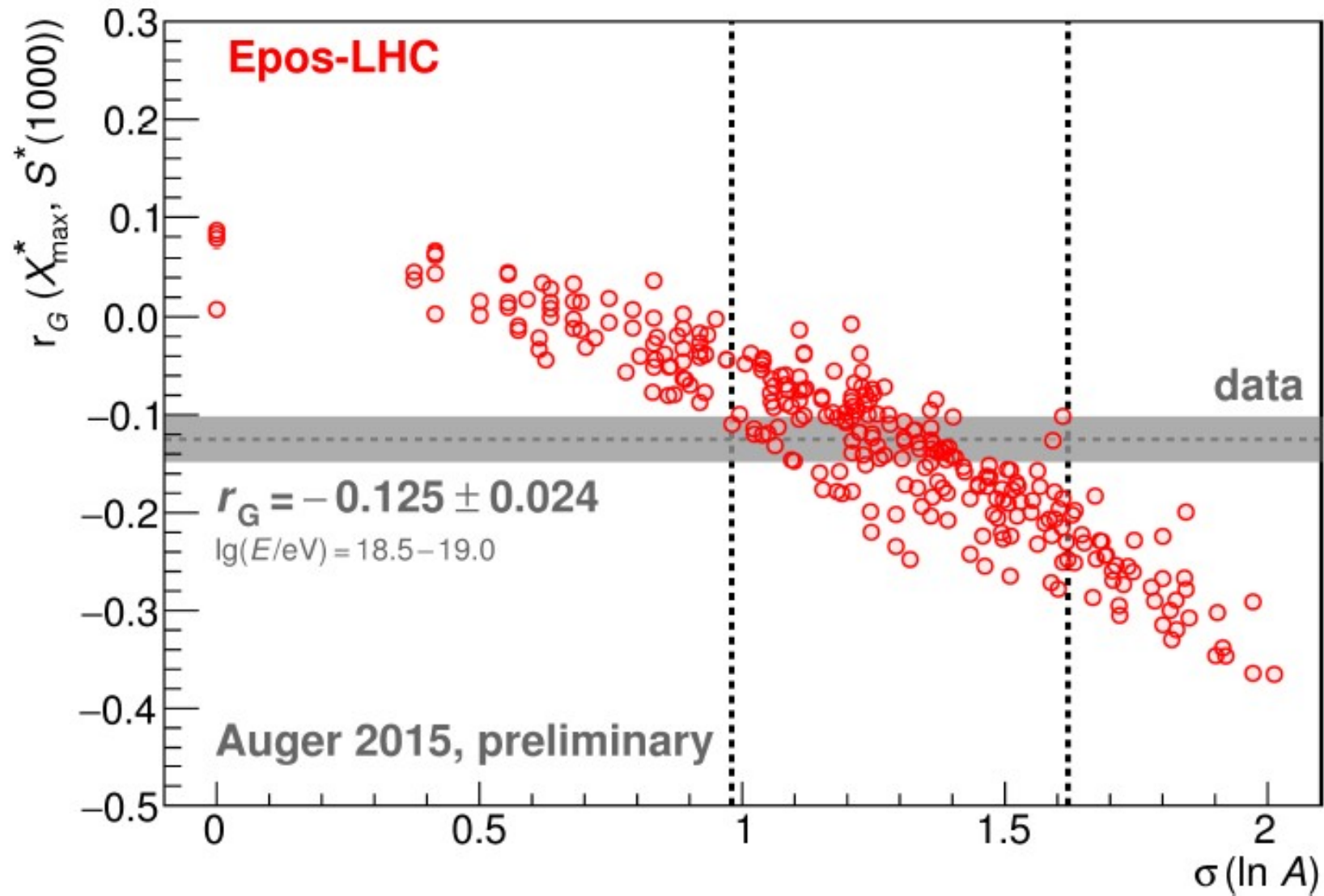
primary composition near the `ankle' is mixed



$r_G$  - rank correlation coefficient introduced in R. Gideon, R. Hollister, JASA 82 (1987) 656

- test of “exotic” models fails

# Dispersion of Masses in Data



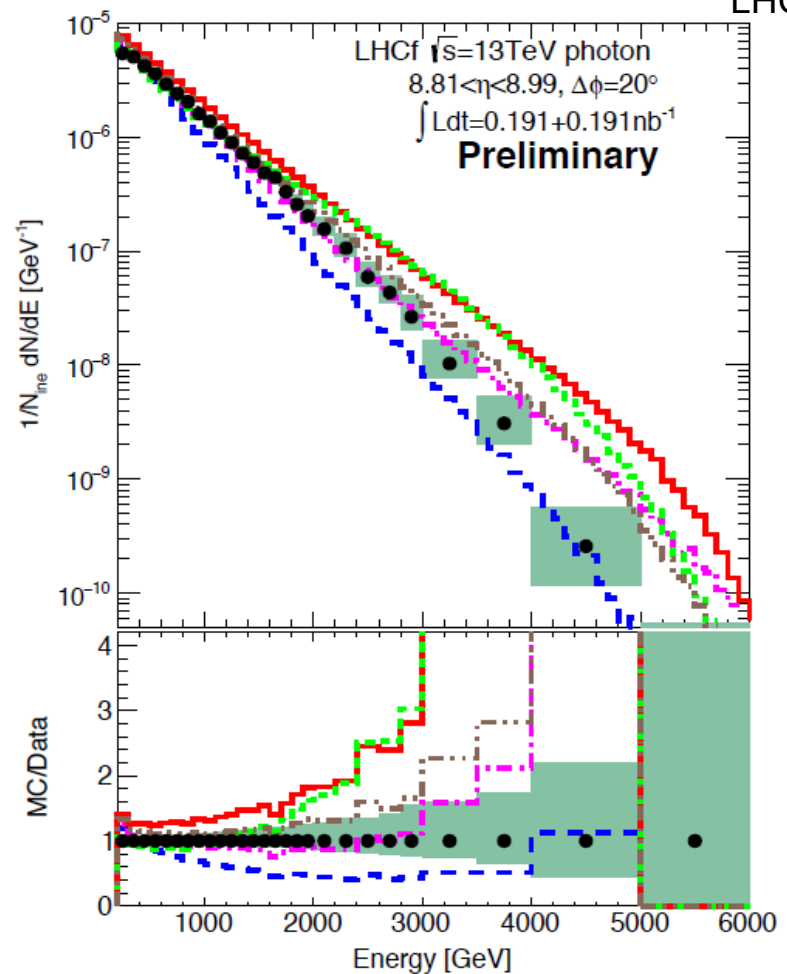
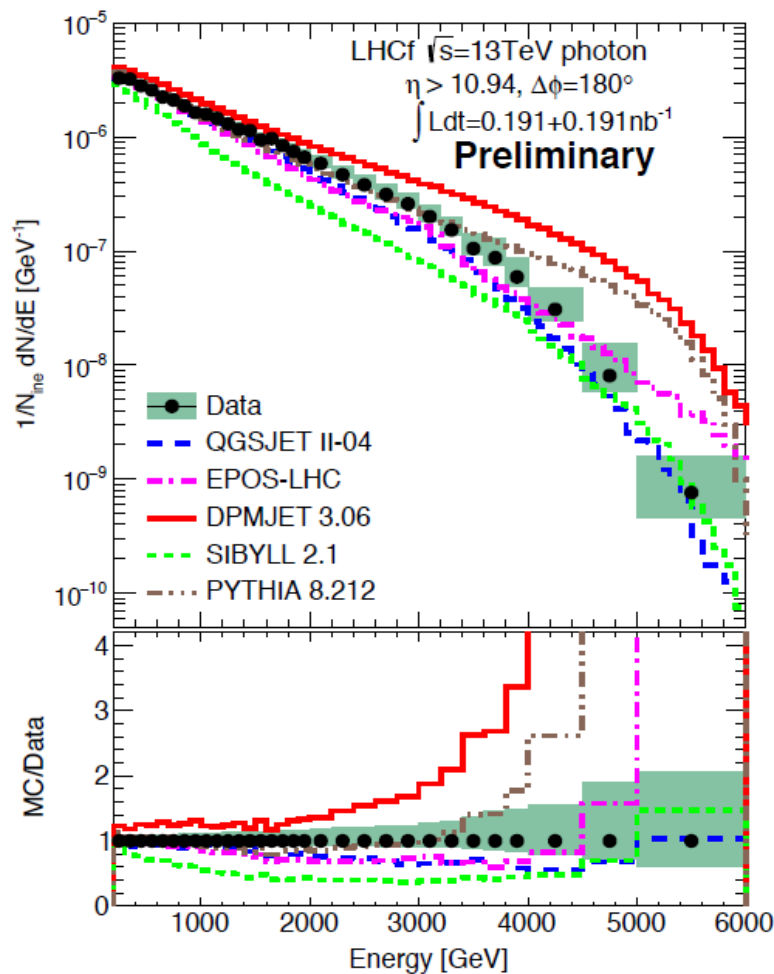
A. Yushkov (ICRC 2015)

data are compatible with  $1.0 \lesssim \sigma(\ln A) \lesssim 1.7$

# Comparison with LHCf

- ➔ LHCf favor not too soft photon spectra (EPOS LHC, SIBYLL 2.3) : deep  $X_{\max}$
- ➔ No model compatible with all LHCf measurements : room for improvements !
- ➔ Can p-Pb data be used to mimic light ion (Air) interactions ?

T.Sako for the LHCf collaboration



# Baryons in Pion-Carbon

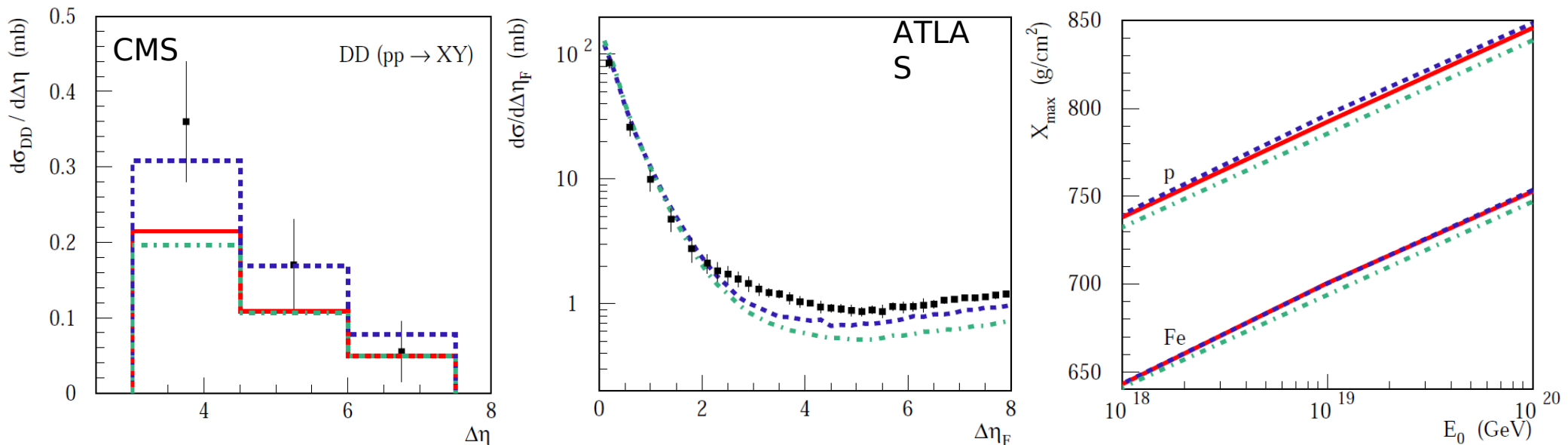
- **Very few data for baryon production from meson projectile, but for all :**
    - ➔ strong baryon acceleration (probability  $\sim 20\%$  per string end)
    - ➔ proton/antiproton asymmetry (valence quark effect)
    - ➔ target mass dependence
  
  - **New data set from NA49 (G. Veres' PhD)**
    - ➔ test  $\pi^+$  and  $\pi^-$  interactions and productions at 158 GeV with C and Pb target
    - ➔ confirm large forward proton production in  $\pi^+$  and  $\pi^-$  interactions but not for anti-protons
      - ◆ forward protons in pion interactions are due to strong baryon stopping (nucleons from the target are accelerated in projectile direction)
      - ◆ strong effect only at low energy
- ➔ EPOS overestimate forward baryon production at high energy

# Diffraction measurements

- TOTEM and CMS diffraction measurement not fully consistent
- Tests by S. Ostapchenko using QGSJETII-04 (PRD89 (2014) no.7, 074009)
  - ➔ SD+ option compatible with CMS
  - ➔ SD- option compatible with TOTEM

$M_X$ range	$< 3.4$ GeV	$3.4 - 1100$ GeV	$3.4 - 7$ GeV	$7 - 350$ GeV	$350 - 1100$ GeV
TOTEM [13, 24]	$2.62 \pm 2.17$	$6.5 \pm 1.3$	$\simeq 1.8$	$\simeq 3.3$	$\simeq 1.4$
QGSJET-II-04	3.9	7.2	1.9	3.9	1.5
option SD+	3.2	8.2	1.8	4.7	1.7
option SD-	2.6	7.2	1.6	3.9	1.7

➔ difference of  $\sim 10$  gr/cm<sup>2</sup> between the 2 options



# Simplified Shower Development

Using generalized Heitler model and superposition model :

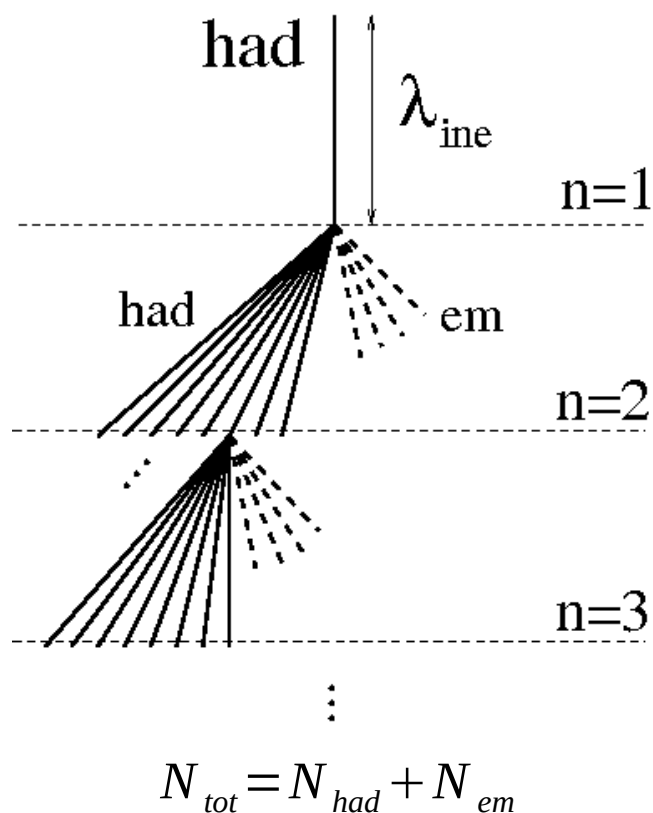
$$X_{max} \sim \lambda_e \ln \left( (1-k) \cdot E_0 / (2 \cdot N_{tot} \cdot A) \right) + \lambda_{ine}$$

➔ Model independent parameters :

- $E_0$  = primary energy
- $A$  = primary mass
- $\lambda_e$  = electromagnetic mean free path

➔ Model dependent parameters :

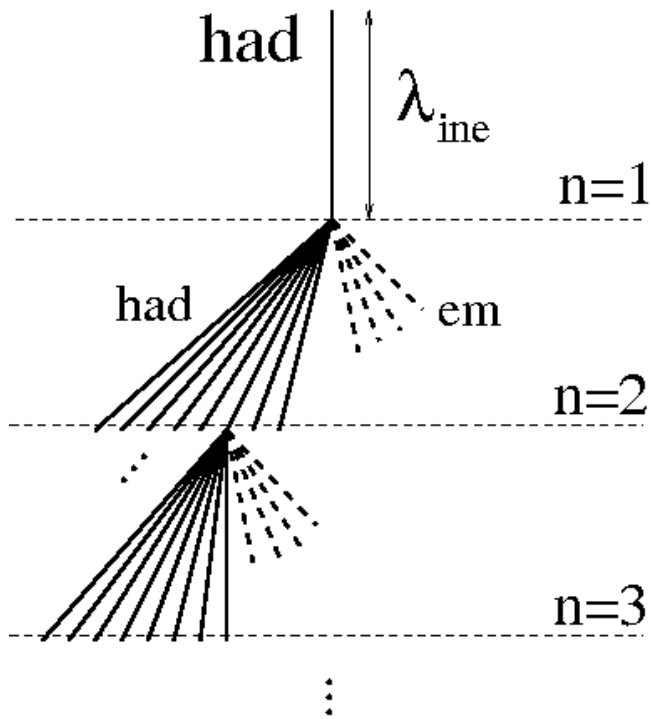
- $k$  = elasticity
- $N_{tot}$  = total multiplicity
- $\lambda_{ine}$  = hadronic mean free path (cross section)



J. Matthews, Astropart.Phys.  
22 (2005) 387-397

# Toy Model for Hadronic Cascade

Primary particle : hadron  
**Muons produced after many had. generations**



$$N_{tot} = N_{had} + N_{em}$$

$N_{had}^n$  particles  
 can produce  
 muons after  $n$   
 interactions

$$N(n) = N_{had}^n$$

$N_{tot}^n$  particles  
 share  $E_0$  after  $n$   
 interactions

$$E(n) = E_0 / N_{tot}^n$$

**Assumption:** particle decay to muon when  $E = E_{dec}$  (critical energy) after  $n_{max}$  generations

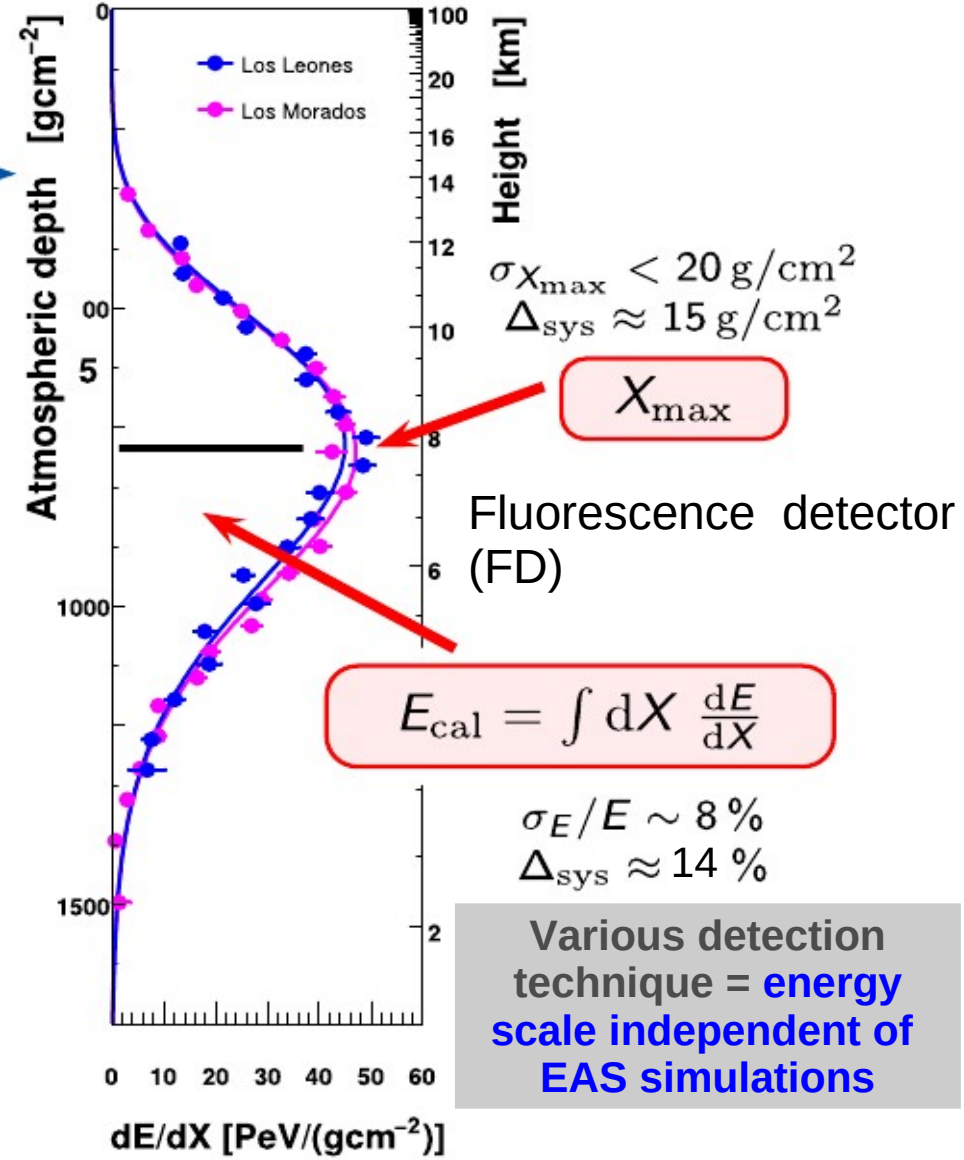
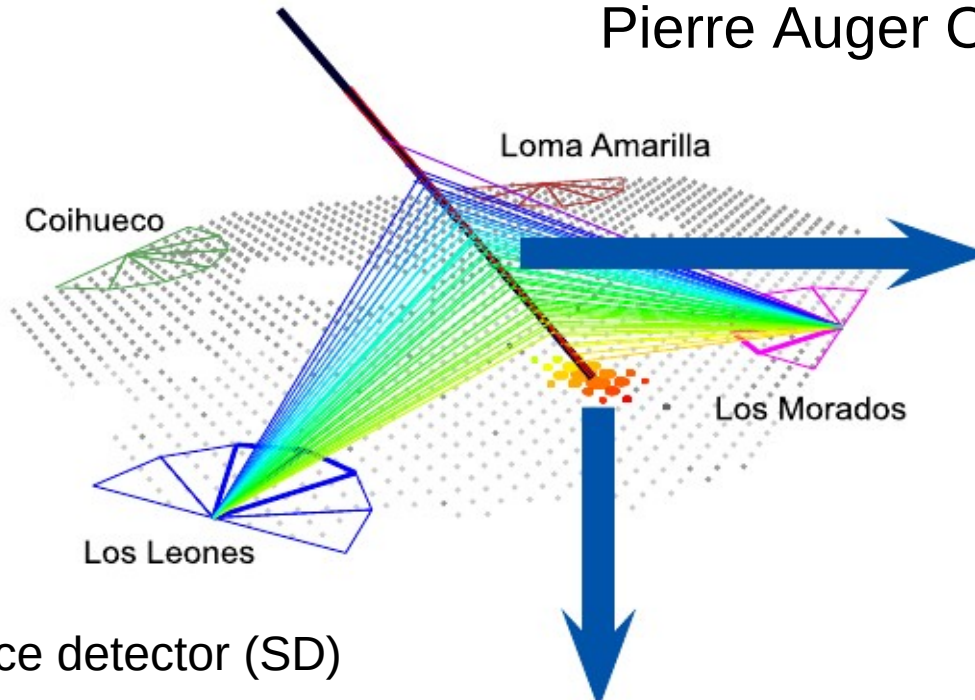
$$E_{dec} = E_0 / N_{tot}^{n_{max}}$$

$$n_{max} = \frac{\ln(E_0 / E_{dec})}{\ln(N_{tot})}$$

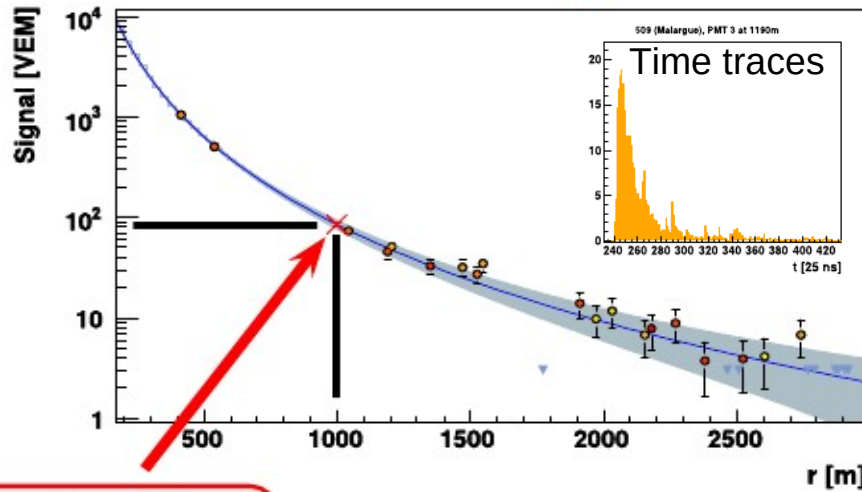
$$\ln(N_{\mu}) = \ln(N(n_{max})) = n_{max} \ln(N_{had})$$

# Hybrid Detection

Pierre Auger Observatory / Telescope Array



Surface detector (SD)



$S_{1000}$

$E_{\text{surface}} = f(S_{1000}, \theta)$

From R. Ulrich (KIT)



# When does a projectile interact ?

For all models cross-section calculation based on optical theorem

→ total cross-section given by elastic amplitude

ide most generally defini

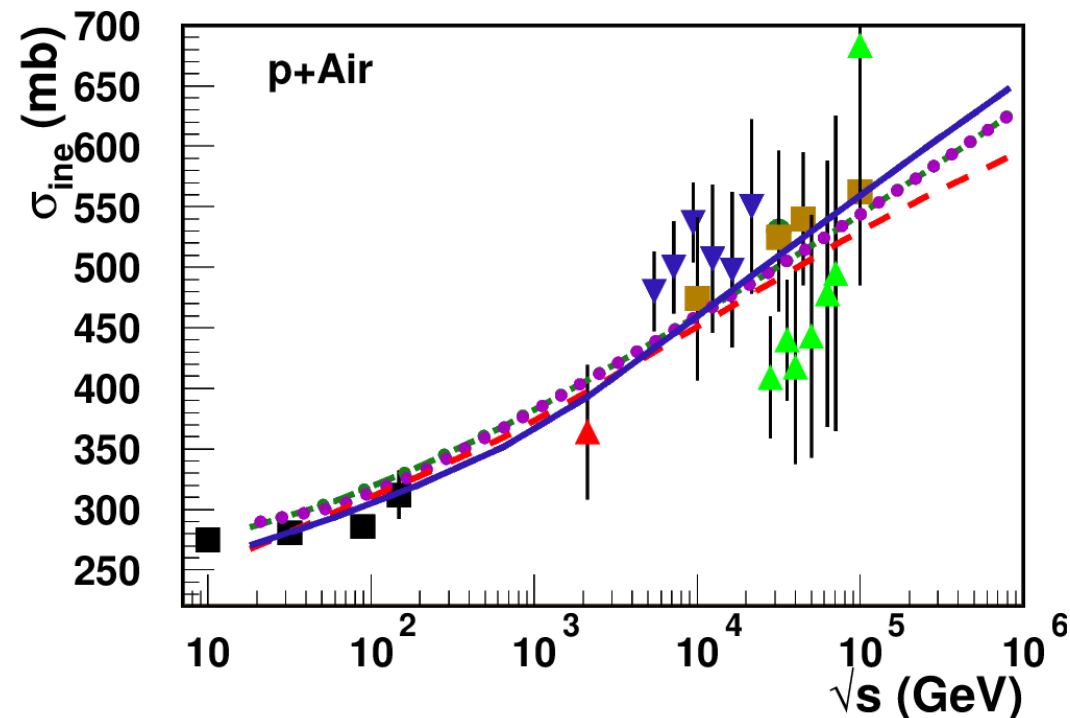
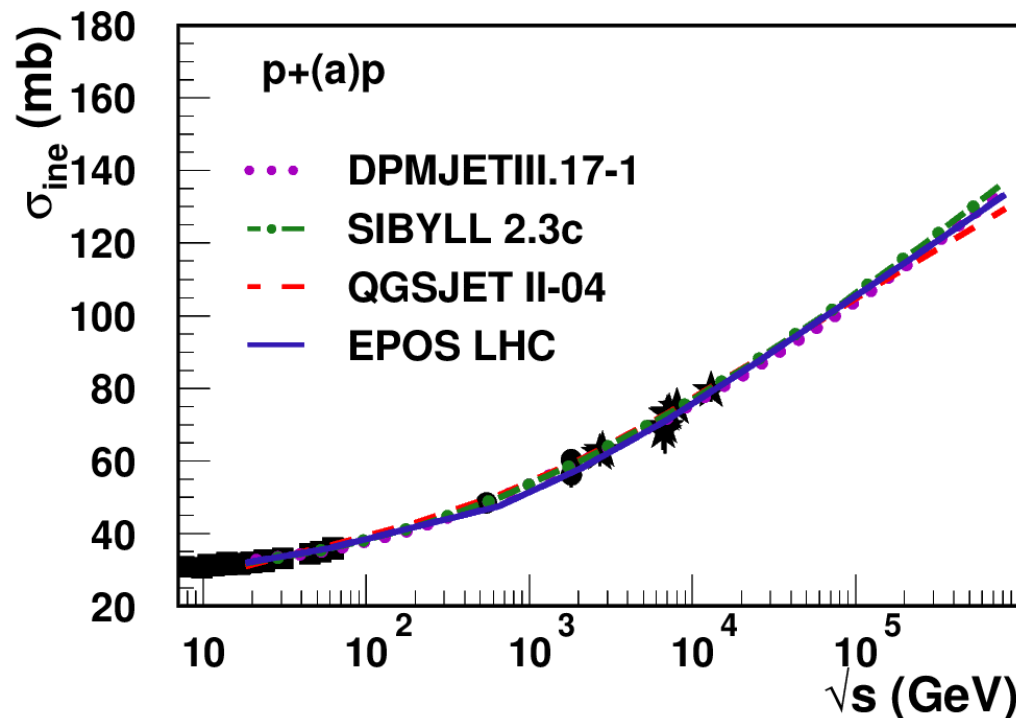
→  $P'_a$   $\frac{d\sigma}{d\Omega}$

→ different amplitudes in the models but free parameters set to reproduce all p-p cross-sections

→ basic principles + high quality LHC data = same extrapolation

pp

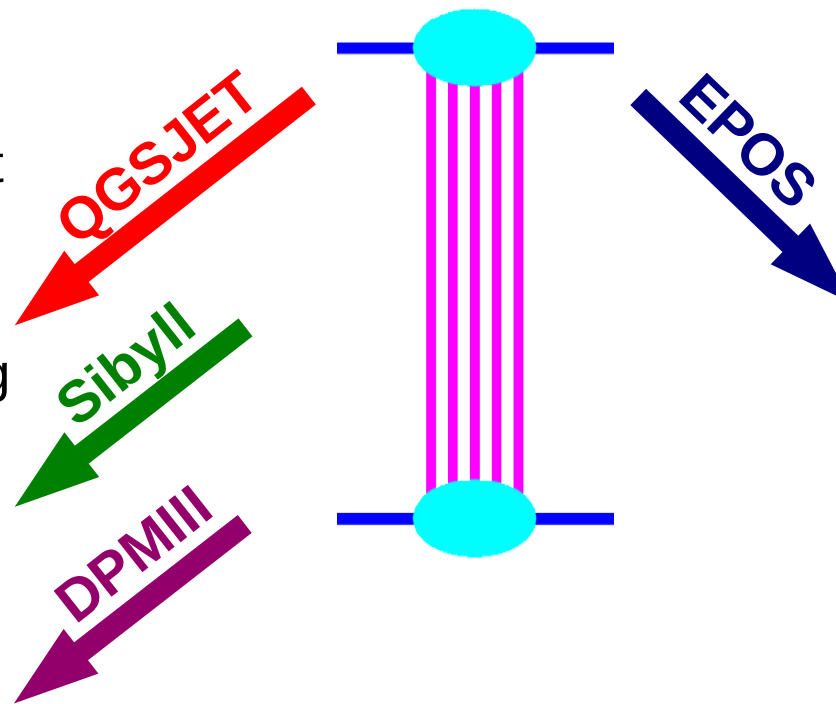
p-Air



# How does the projectile interact ?

- **Field theory : scattering via the exchange of an excited field**
  - ➔ parton, hadron, quasi-particle (= Reggeon or Pomeron (vacuum excitation))
- **Gribov-Regge Theory and cutting rules : multiple scattering associated to cross-section via sum of inelastic states**
  - ➔ different ways of dealing with energy conservation

- ➔ sum all scatterings with full energy to get cross-section
- ➔ get number of elementary scattering without energy sharing (Poissonian distribution)
- ➔ **share energy between scattering afterwards**



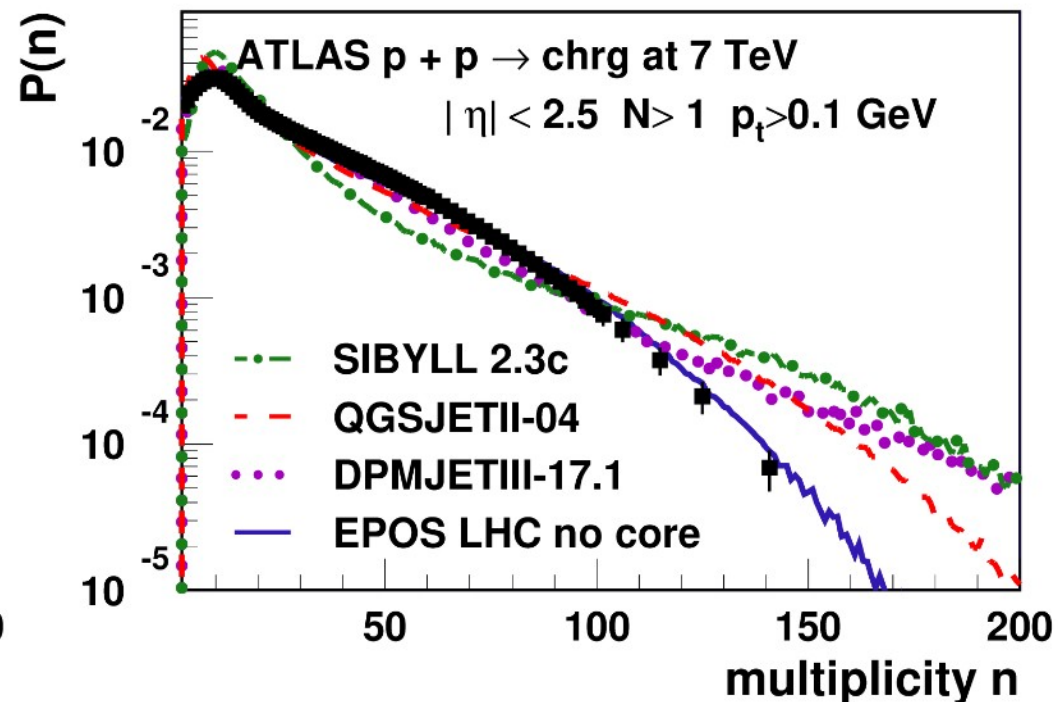
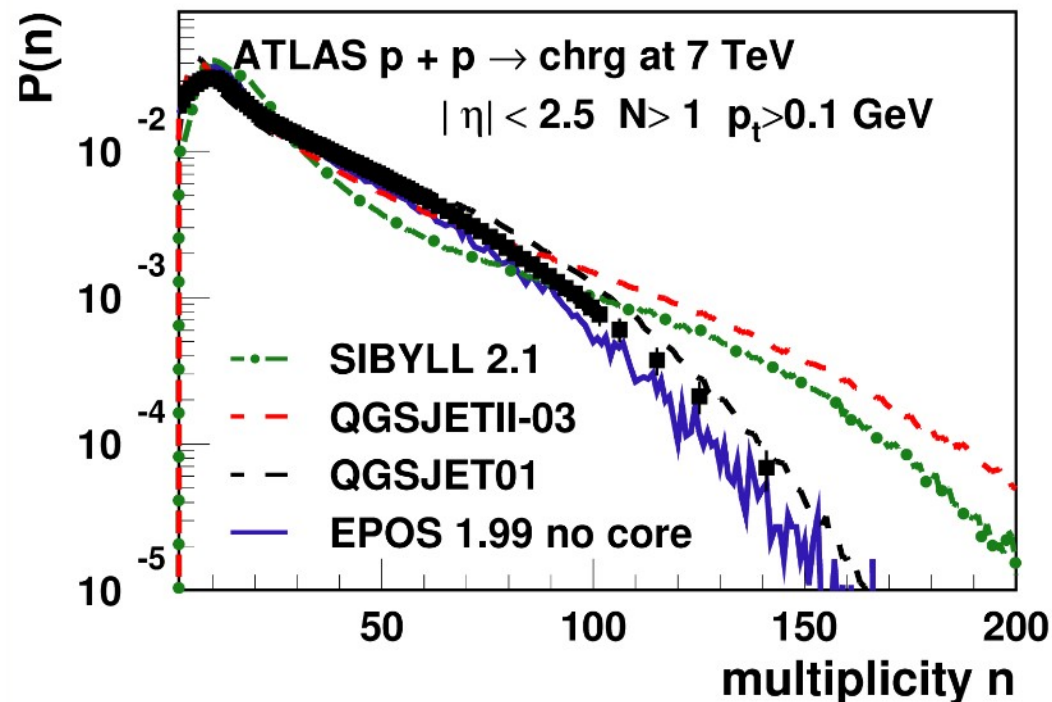
- ➔ cross-section calculated with energy sharing
- ➔ **get the number of scattering taking into account energy conservation**
- ➔ consistent approach

# Does energy sharing order matter ?

- **Field theory : scattering via the exchange of an excited field**
  - ➔ parton, hadron, quasi-particle = Reggeon or Pomeron (vacuum excitation)
- **Gribov-Regge Theory and cutting rules : multiple scattering associated to cross-section via sum of inelastic states**
  - ➔ different ways of dealing with energy conservation

Pre - LHC

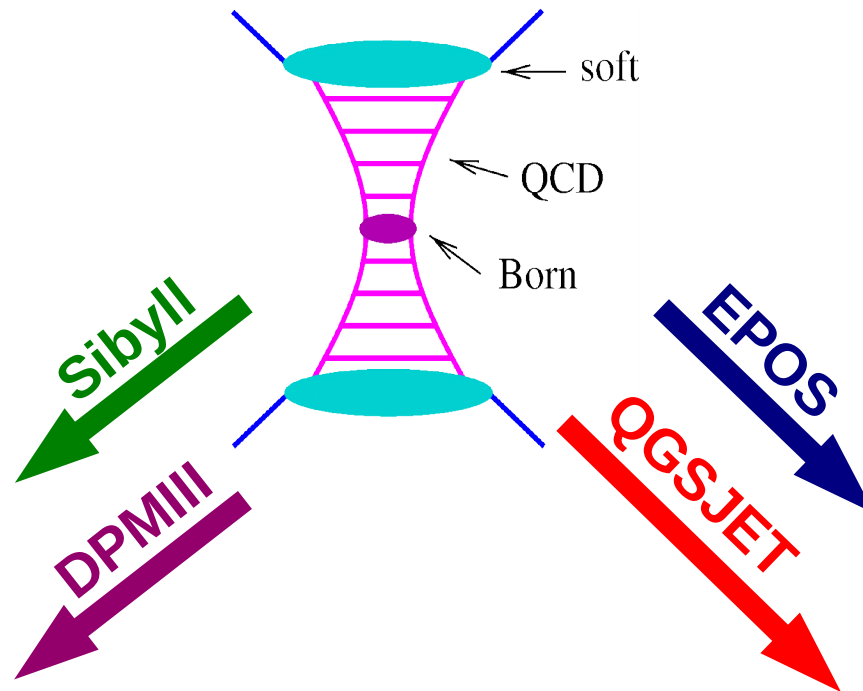
Post - LHC



# How to build the amplitude ?

- **Field theory : scattering via the exchange of an excited field**
  - ➔ parton, hadron, quasi-particle = Reggeon or Pomeron (vacuum excitation)
- **QCD based theory : at high energy, perturbative QCD can be used to build the field amplitude (amplitude used for the cross-section)**
  - ➔ all **minijet based** (parton cascade and pQCD born process hadronized using string fragmentation) but different definitions

- ➔ soft+hard in different components
- ➔ external parton distribution functions (**GRV98**, **cteq14**)
- ➔ connection to projectile/target with small "x"



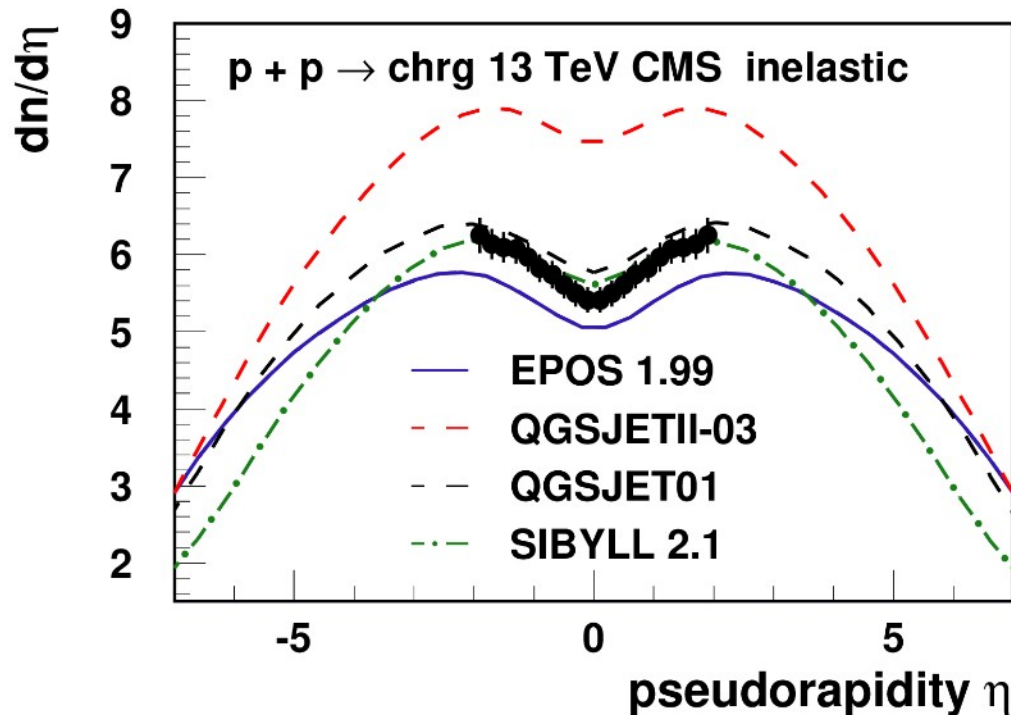
- ➔ soft+hard in the same amplitude
- ➔ own parton distribution function compatible with HERA data (not for QGSJET01: pre-HERA time)
- ➔ connection to projectile/target with large "x"

Ostapchenko et al. Phys.Rev. D94 (2016) no.11, 114026

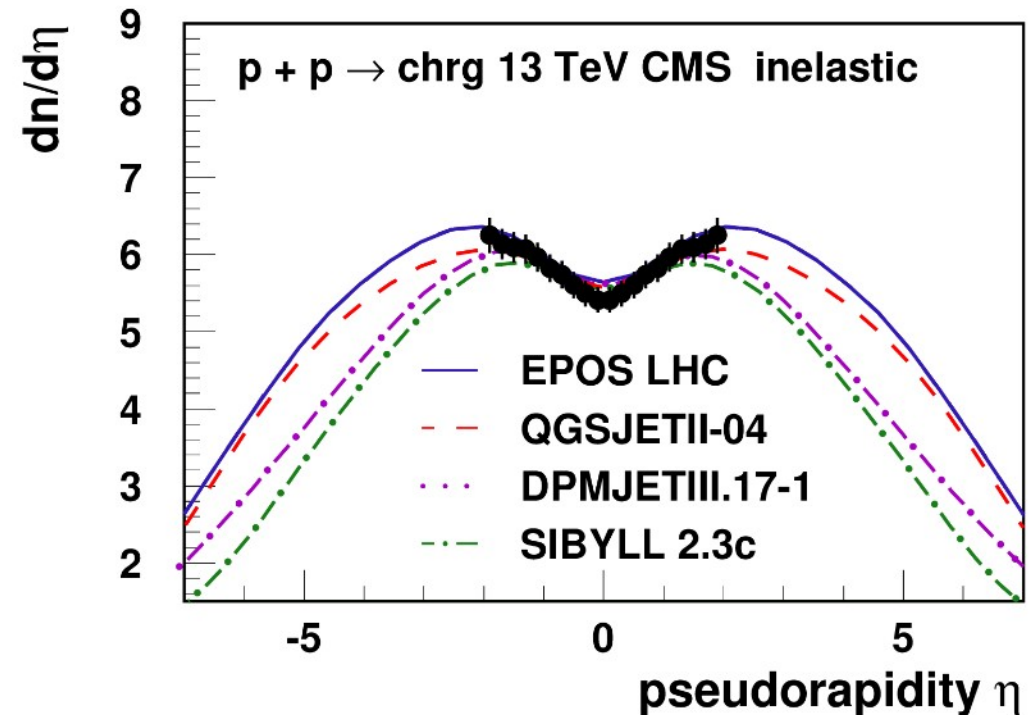
# Does the minijet definition matter ?

- **Field theory** : scattering via the exchange of an excited field
  - ➔ parton, hadron, quasi-particle = Reggeon or Pomeron (vacuum excitation)
- **QCD based theory** so at high energy, perturbative QCD can be used to build the field amplitude (amplitude used for the cross-section)
  - ➔ all **minijet based** (parton cascade and pQCD born process hadronized using string fragmentation) but different definitions

Pre - LHC



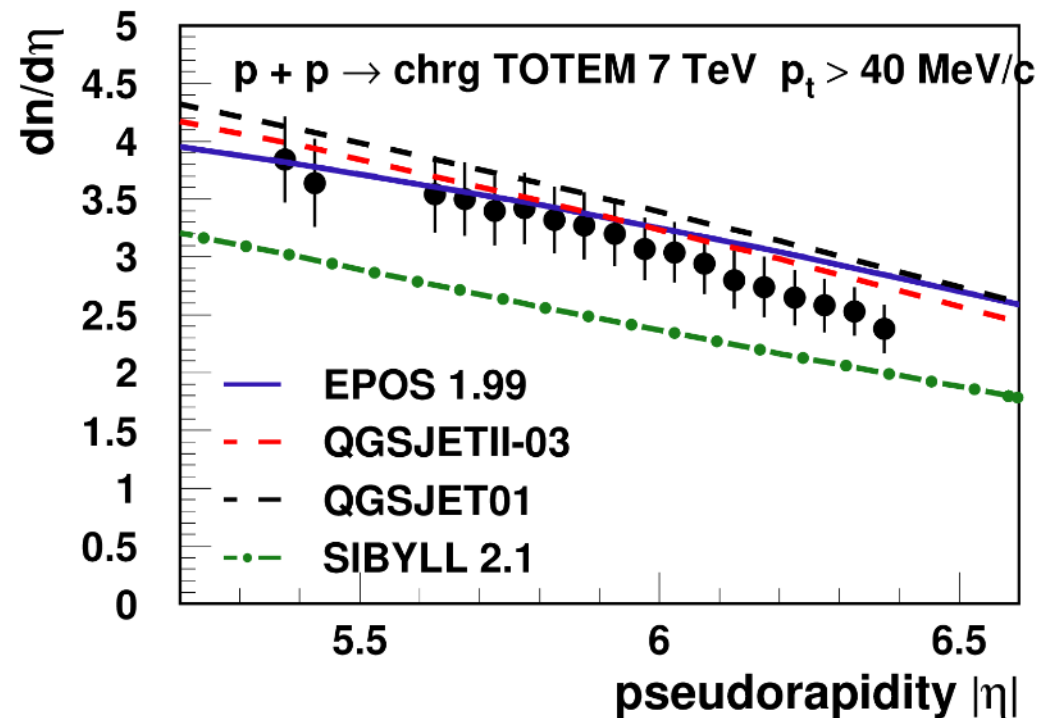
Post - LHC



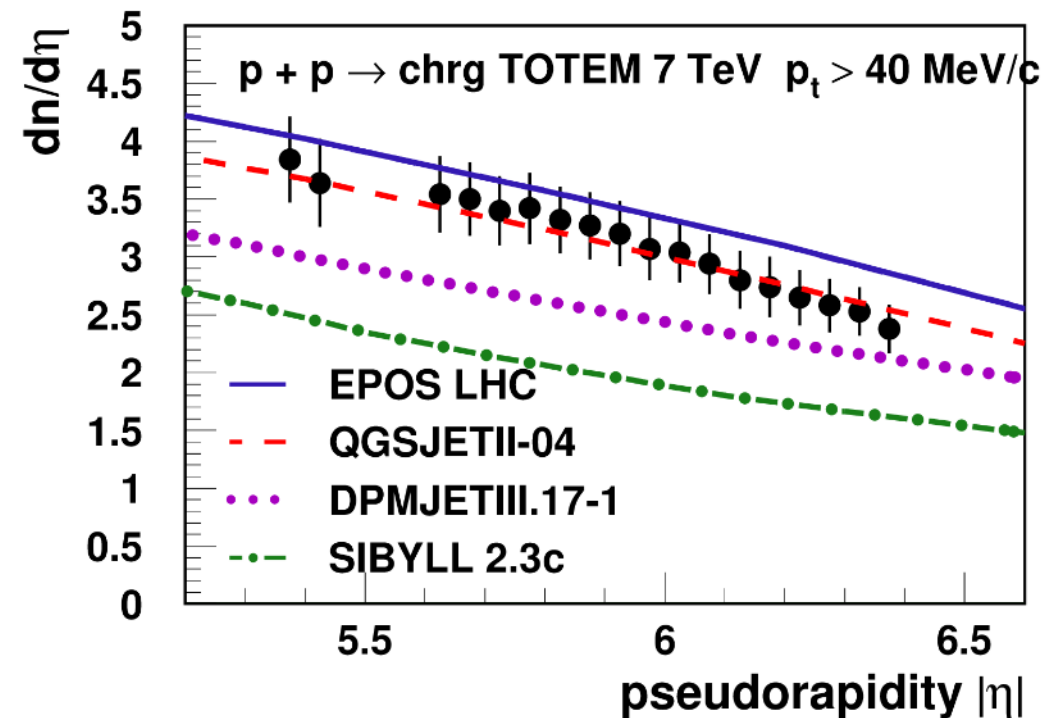
# Does the minijet definition matter ?

- Field theory : scattering via the exchange of an excited field
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  - ➔ all **minijet based** (parton cascade and pQCD born process hadronized using string fragmentation) but different definitions

Pre - LHC



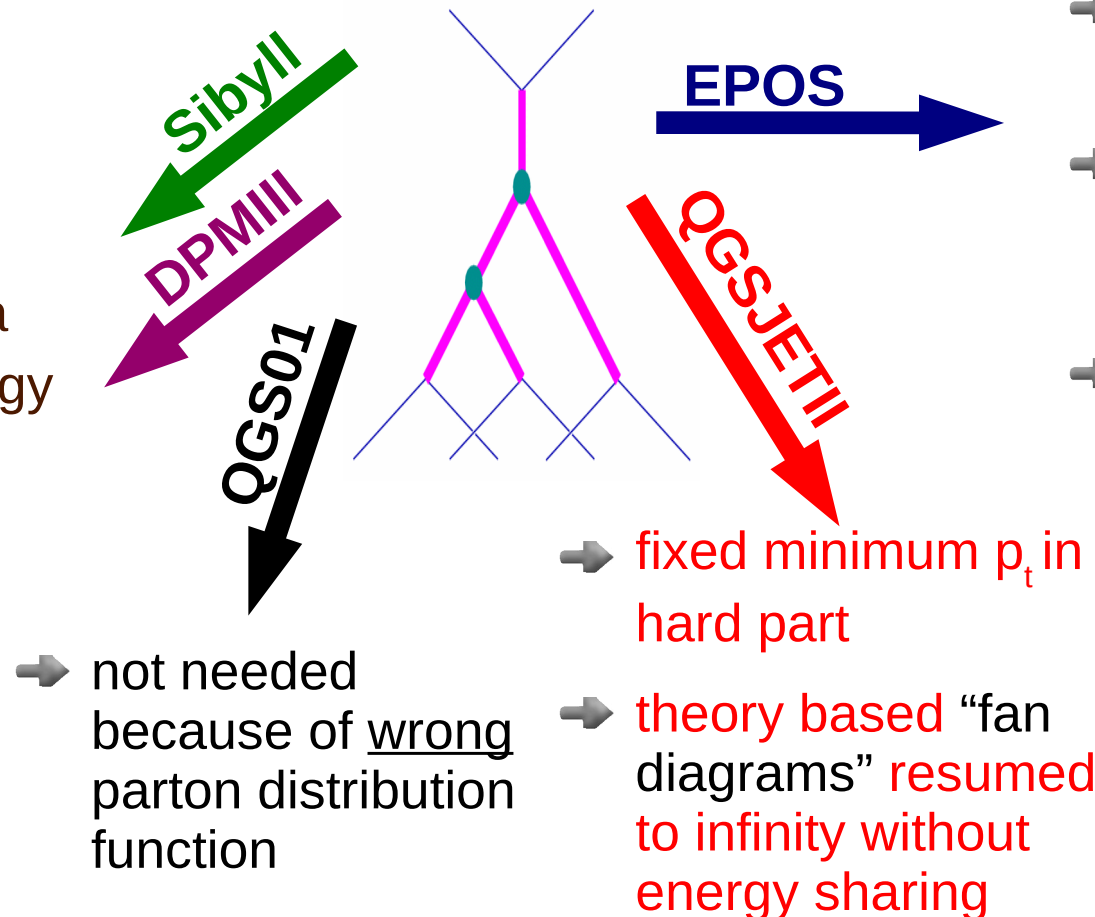
Post - LHC



# How to take into account energy evolution ?

- Multiple scattering not enough to reconcile pQCD minijet cross-section and total cross-section
  - ➔ **non-linear effects** should be taken into account (interaction between scatterings)
- Solution depends on amplitude definition

- ➔ hard amplitude depend on minimum  $p_t$
- ➔ **parametrize** minimum  $p_t$  as a function of energy
- ➔ fit to data (multiplicity and cross-section)



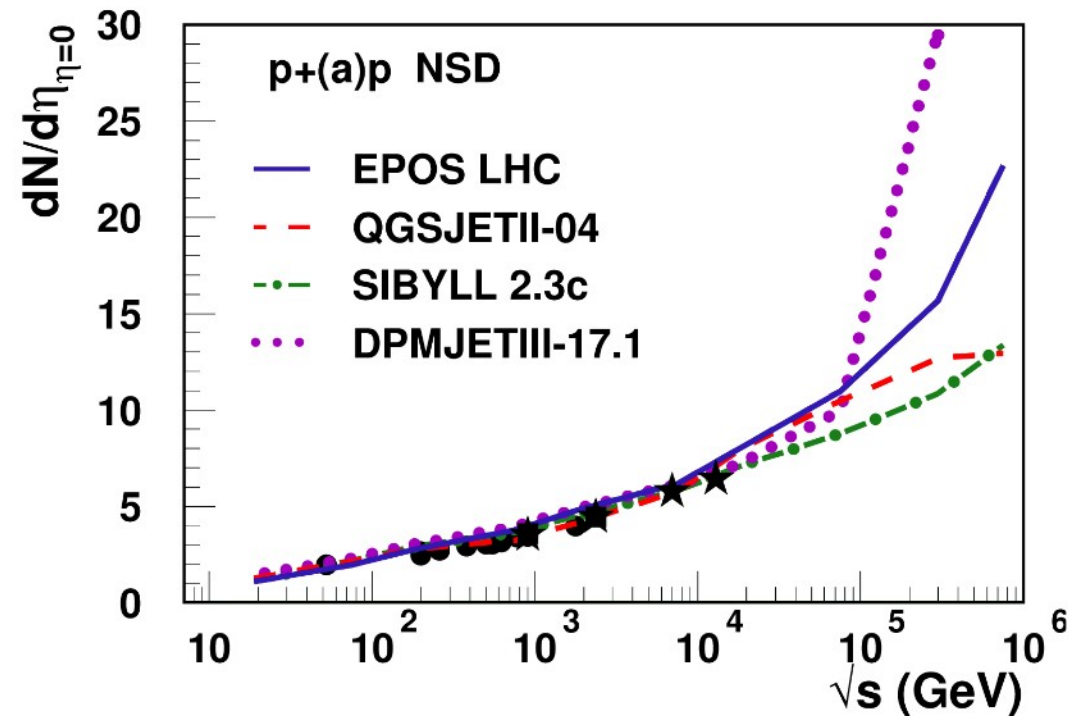
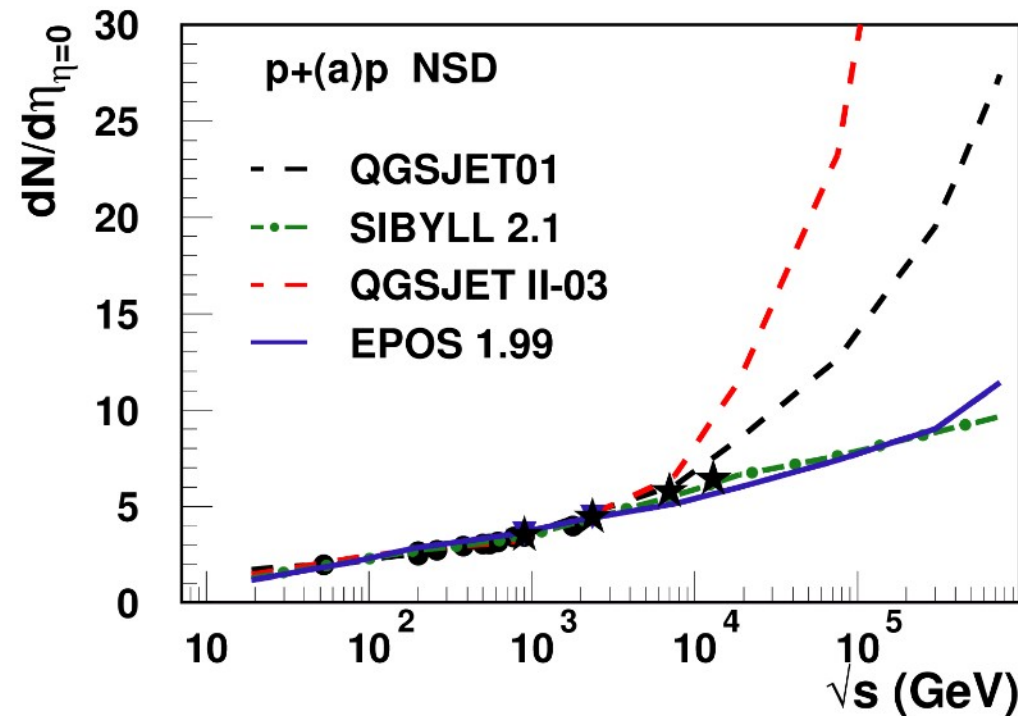
- ➔ fixed minimum  $p_t$  in hard part
- ➔ enhanced diagrams not compatible with energy sharing
- ➔ modification of vertex function to take into account non linear effects (data driven **phenomenological approach**)

# Do non linear effects matters ?

- Multiple scattering not enough to reconcile pQCD minijet cross-section and total cross-section
  - ➔ non-linear effect should be taken into account (interaction between scatterings)
- Solution depends on amplitude definition
  - ➔ large uncertainties at high energy but reduced after LHC

Pre - LHC

Post - LHC



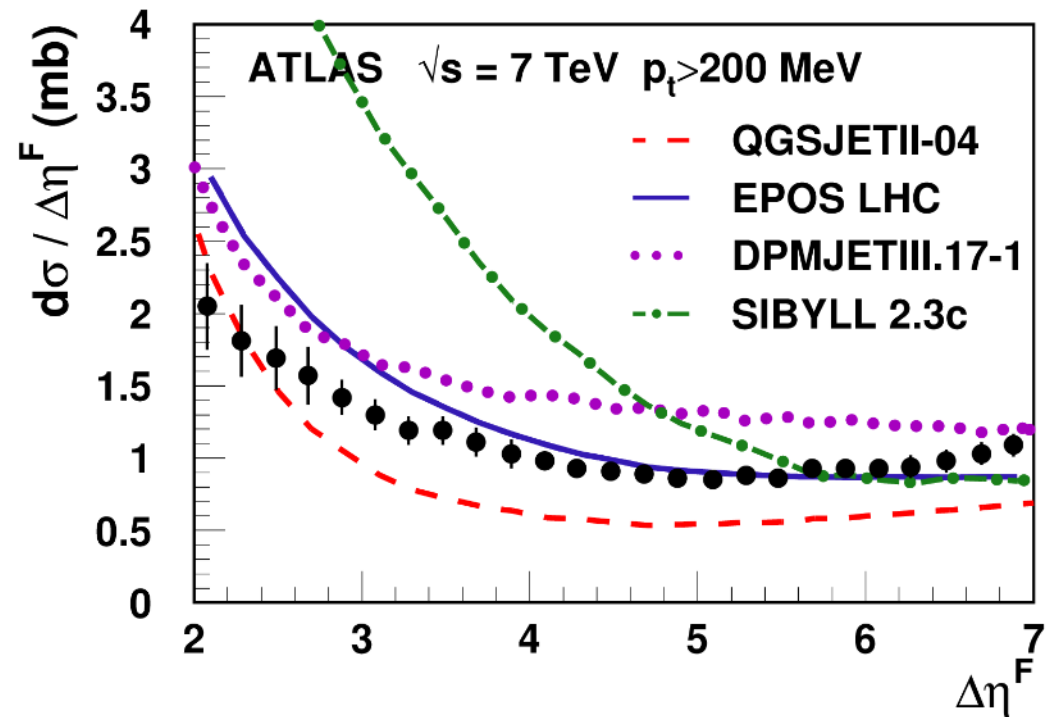
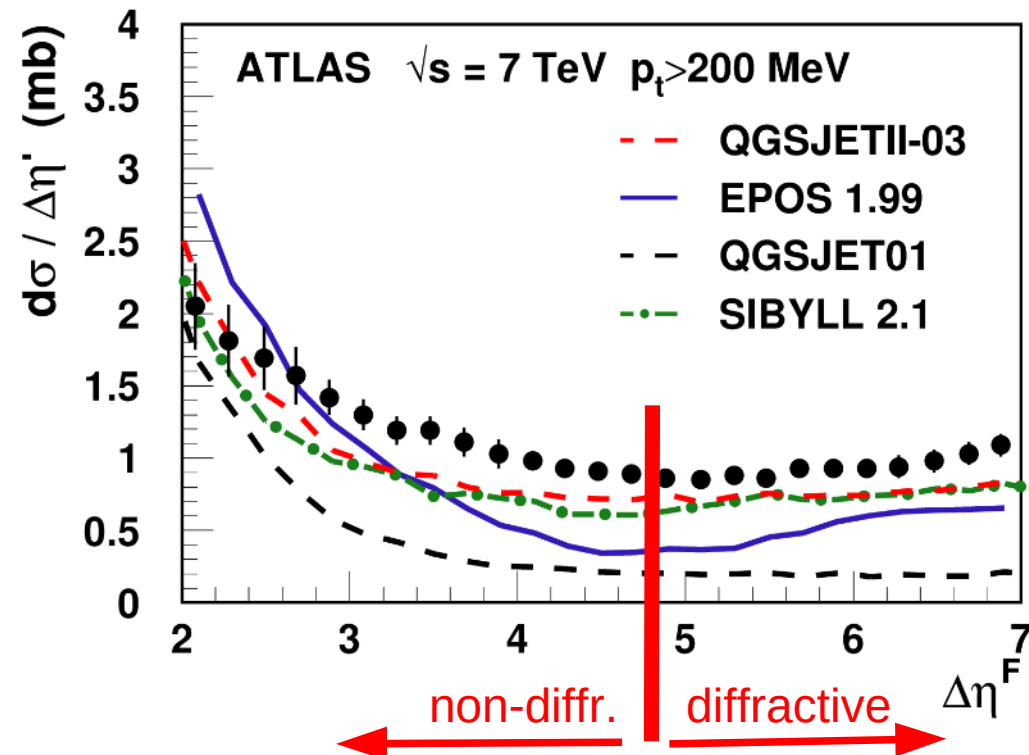


# What if only energy is transferred ?

- In most of the cases, the projectile is destroyed by the collision
  - ➔ non-diffractive scattering : high energy loss for leading particle, high multiplicity
- In 10-20% of the time, the projectile have a small energy loss (high elasticity) and is unchanged
  - ➔ **diffractive scattering** : low energy loss, low multiplicity on target side
- Model difference mostly at technical level (and choice of data for tuning)

Pre - LHC

Post - LHC

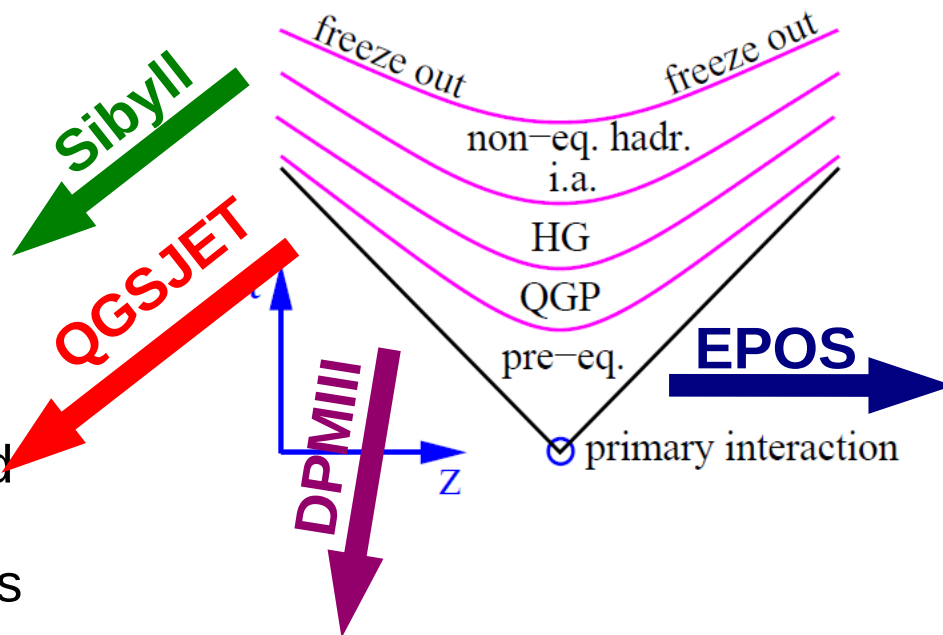


# Should everything be taken into account ?

## Models have different philosophies !

- number of parameters increase with data set to reproduce
- predictive power may decrease with number of parameters
- **predictive power increase if we are sure NOT to neglect something**

- models for CR only
- fast and not suppose to describe everything
- no detailed hard scattering or collective effects

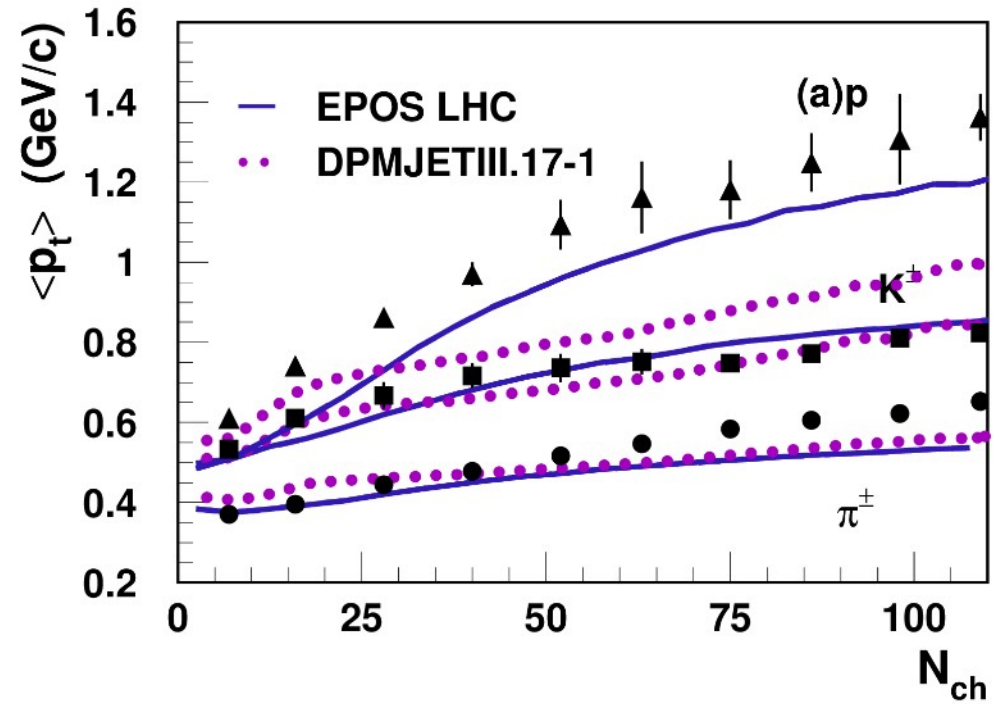
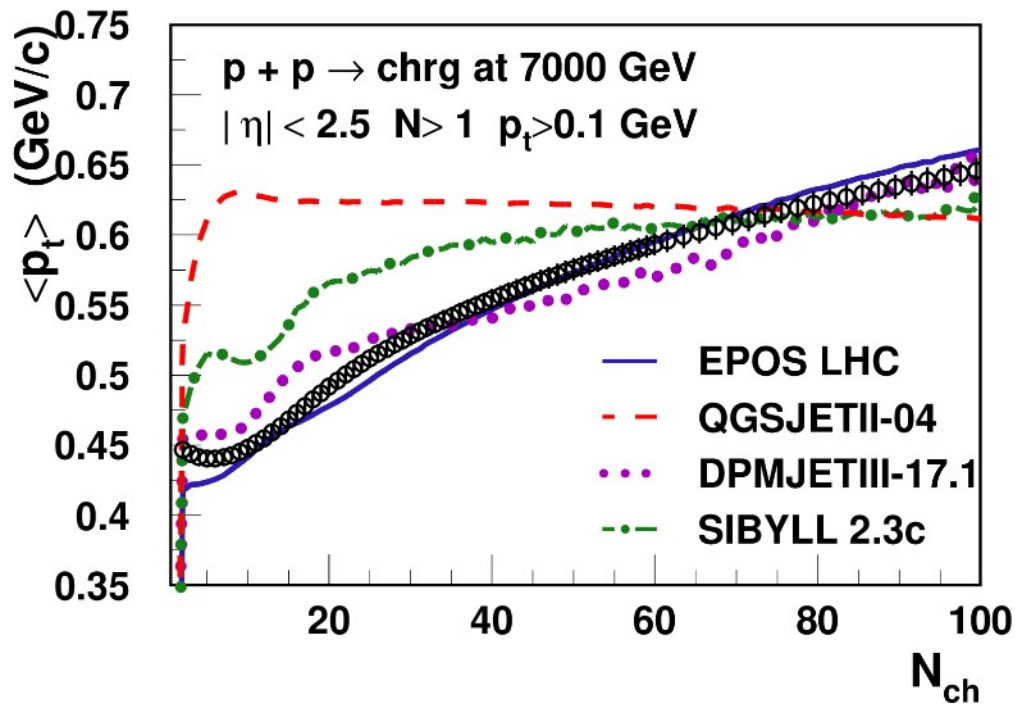


- developed first for heavy ion interactions
- detailed description of every possible “soft” observable (not good for hard scattering yet)
- sophisticated collective effect treatment (real hydro for EPOS 2 and 3)
- very large complete data set (LEP, HERA, SPS, RHIC, LHC, ...)

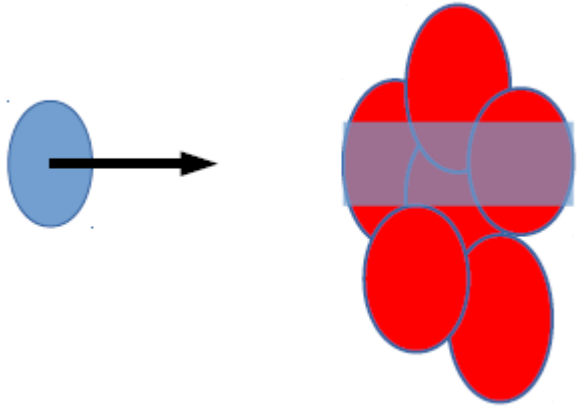
- heavy ion model intended to be used for high energy physics
- limited development for collective effects but correct hard scattering

# Should everything be taken into account ?

- Models have different philosophies !
  - ➔ number of parameters increase with data set to reproduce
  - ➔ predictive power may decrease with number of parameters
  - ➔ predictive power increase if we are sure not to neglect something
- No direct influence on air showers but different parameters and extrapolations ?



# How to do nuclear interactions ?

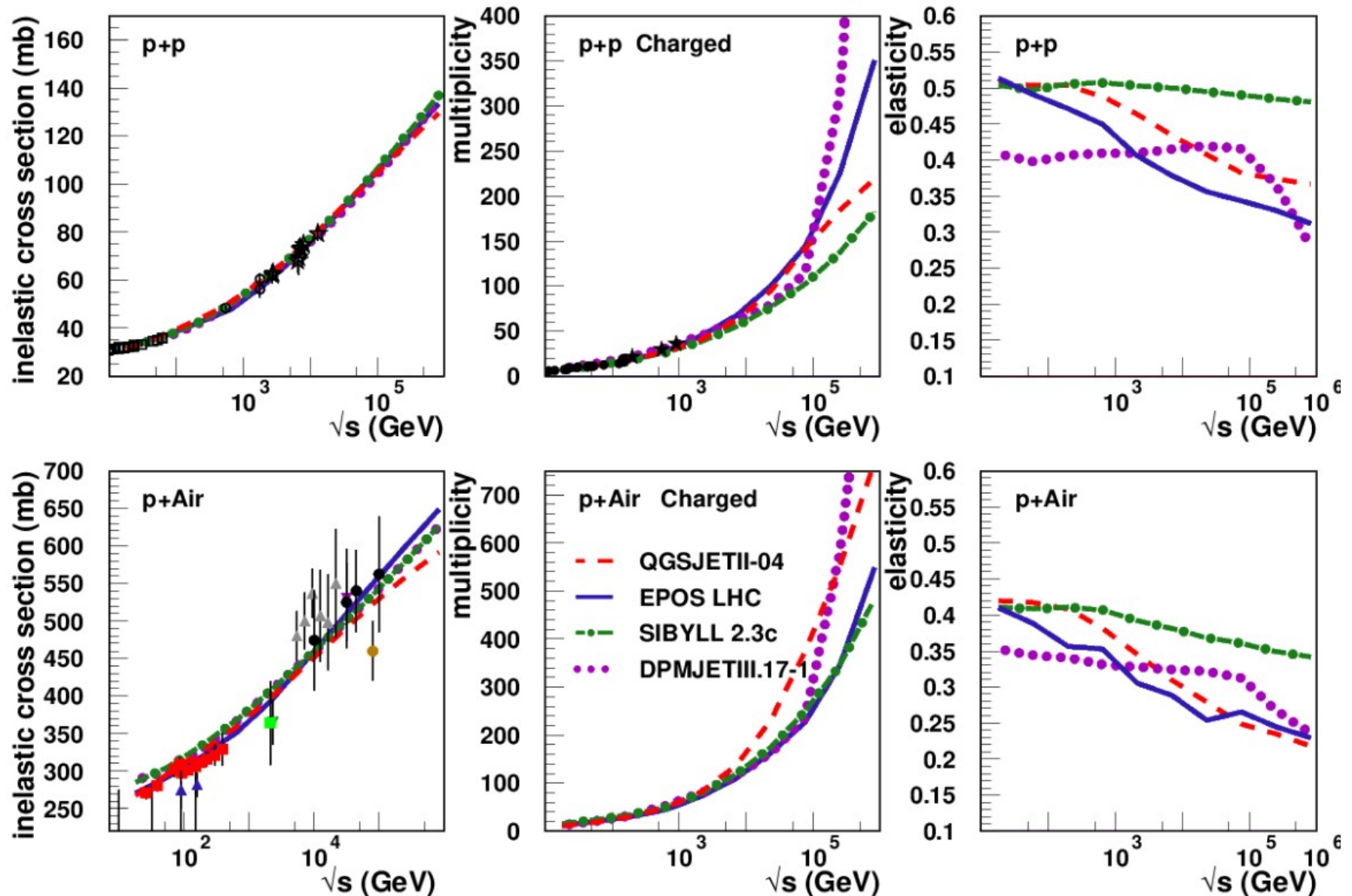


## Main source of uncertainty in extrapolation :

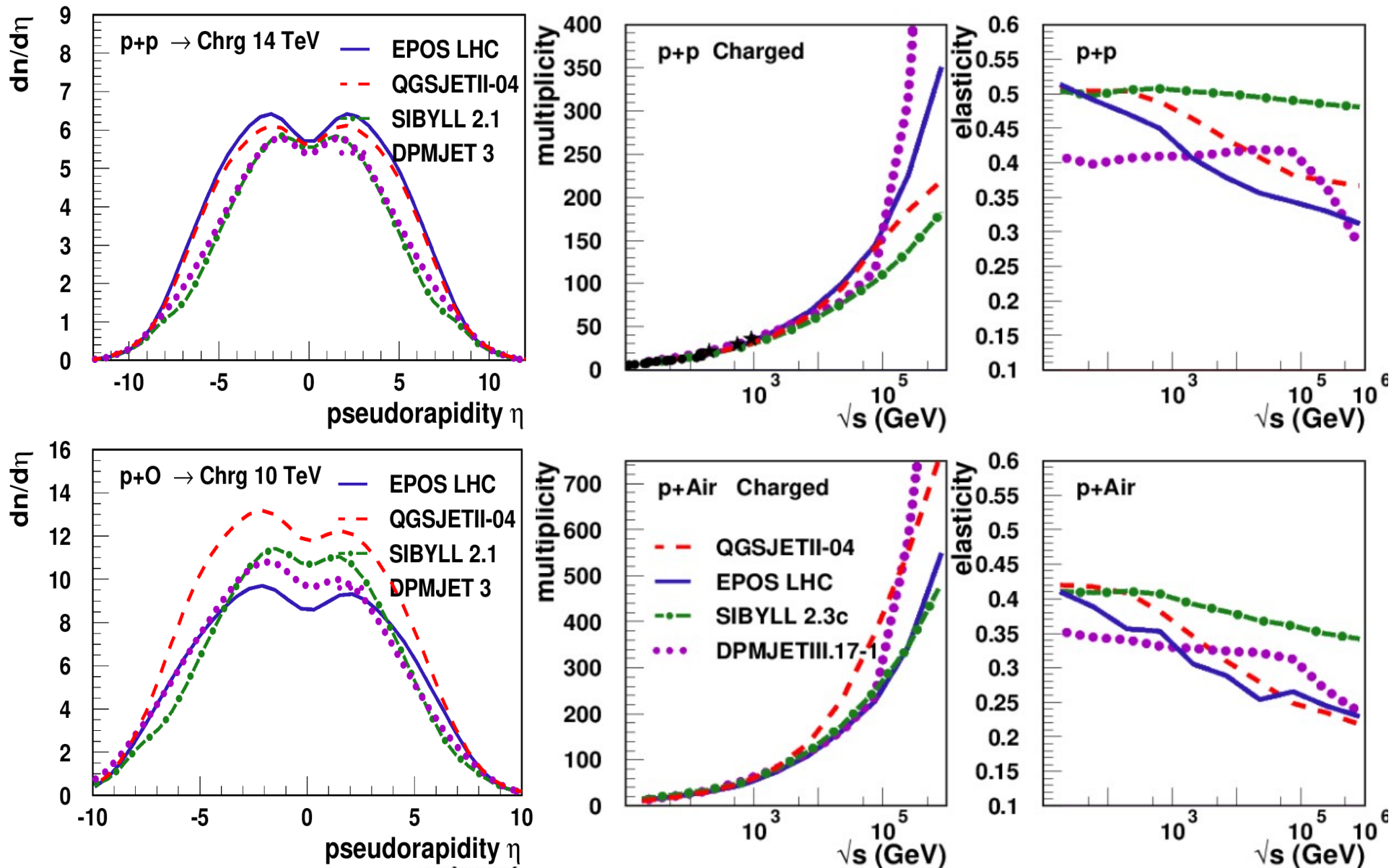
- very different approaches
- limited available data set
- limited models capabilities

- **Sibyll** (light ion only)
  - ➔ corrected Glauber for pA ( $A/B = \#$  of nucleons)
  - ➔ superposition model for AB ( $A \times pB$ )
- **QGSJETII** (all masses but not all data)
  - ➔ Scattering configuration based on A projectile nucleon and B target nucleons
  - ➔ Nuclear effect due to multi-leg Pomerons
- **DPMJETIII** (all masses)
  - ➔ Glauber
  - ➔ limited collective effects treatment
- **EPOS** (all masses)
  - ➔ Scattering configuration based on A projectile nucleons and B target nucleons
  - ➔ screening corrections depend on nuclei
  - ➔ final state interactions (core-corona approach and collective hadronization with flow for core)

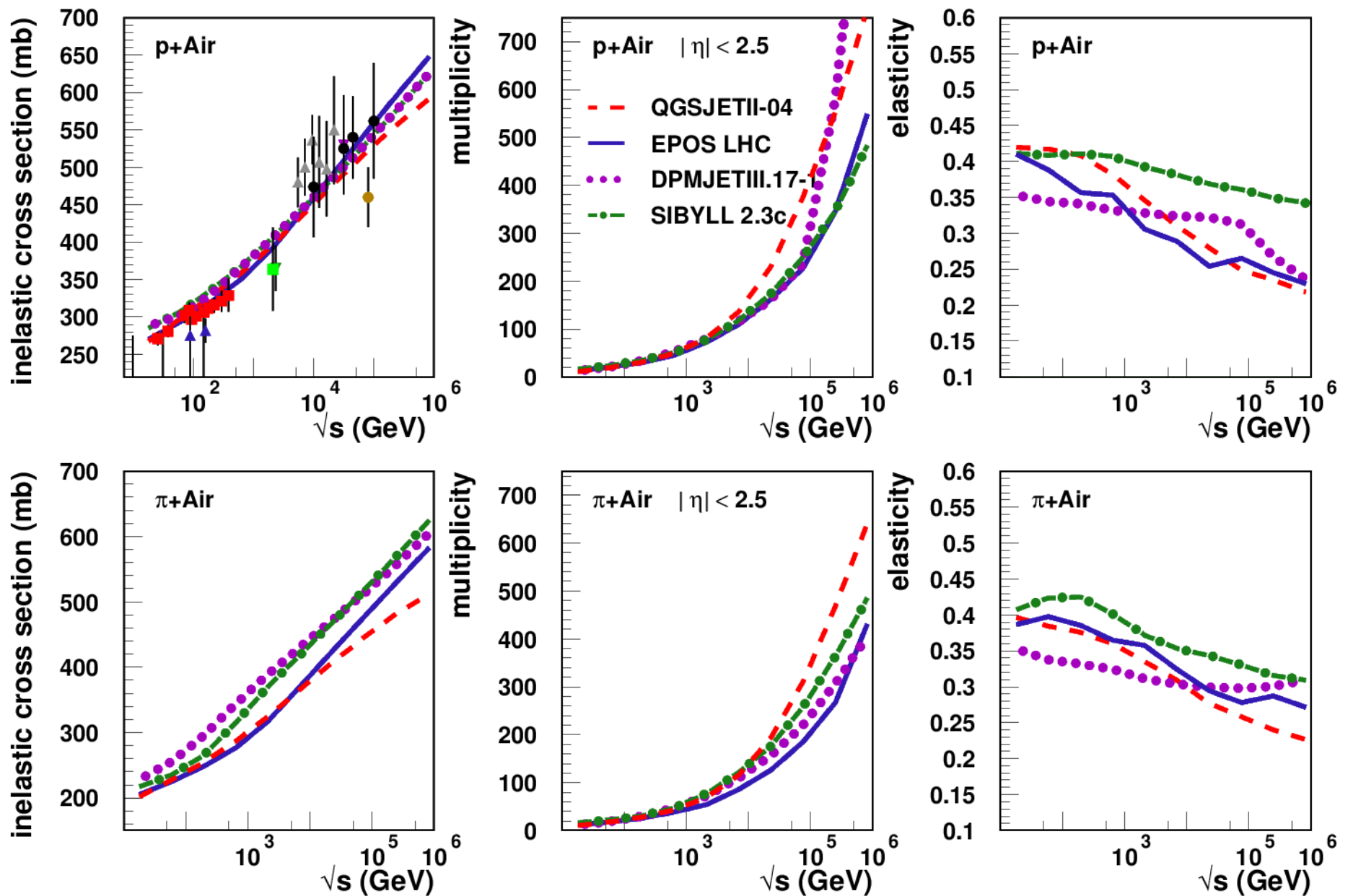
# Ultra-High Energy Hadronic Model Predictions p-Air



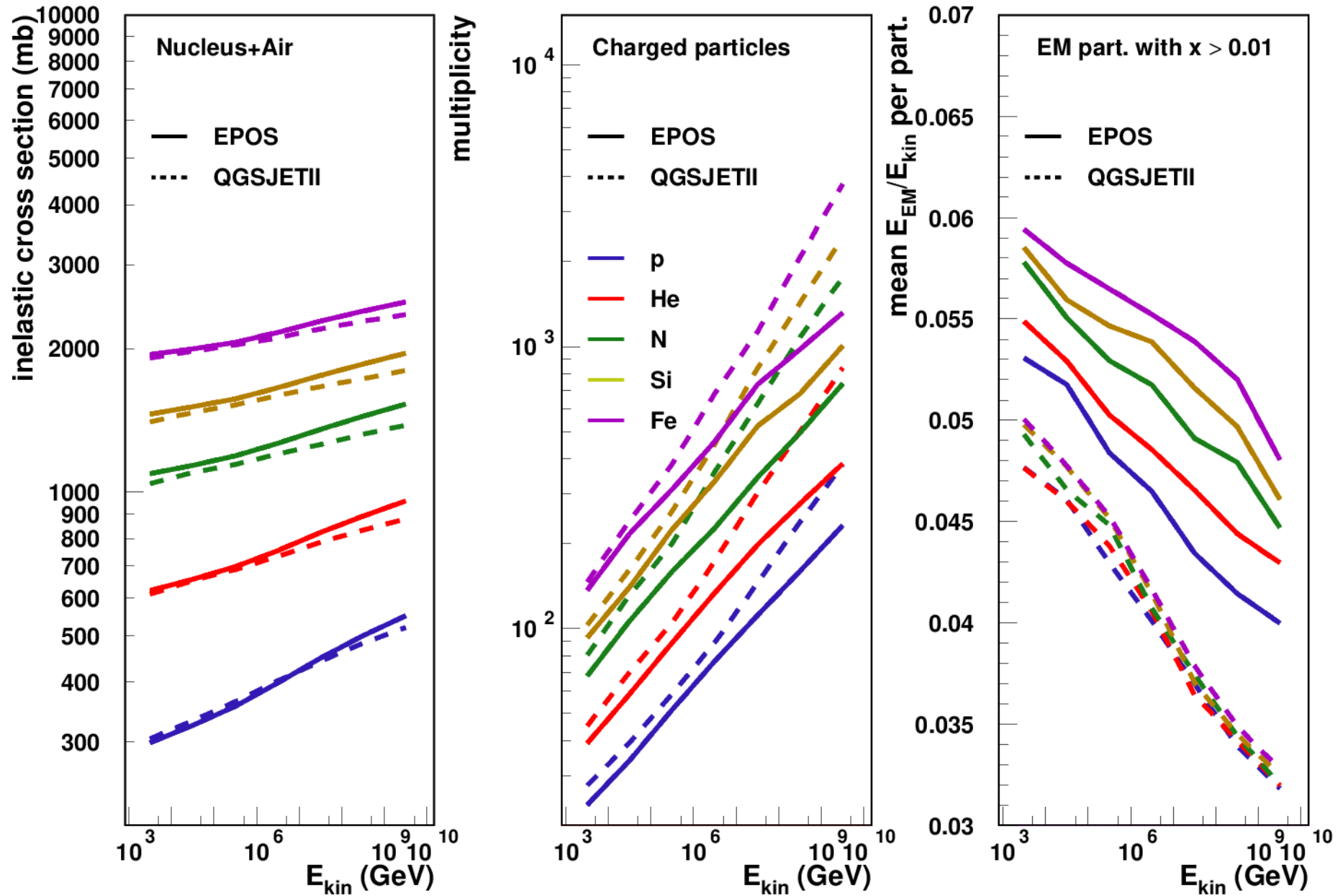
# Ultra-High Energy Hadronic Model Predictions p-Air



# Ultra-High Energy Hadronic Model Predictions $\pi$ -Air



# Ultra-High Energy Hadronic Model Predictions A-Air

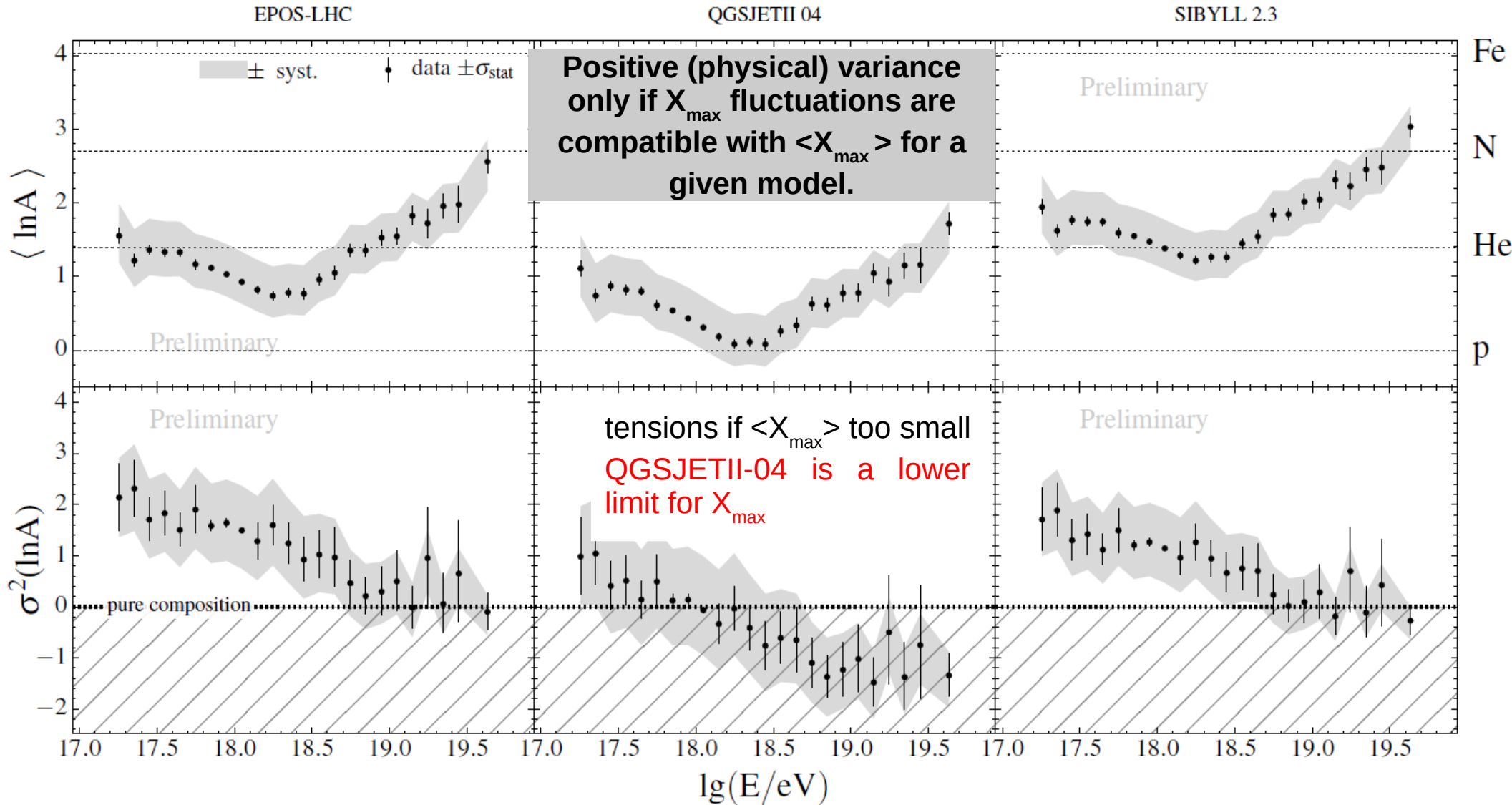




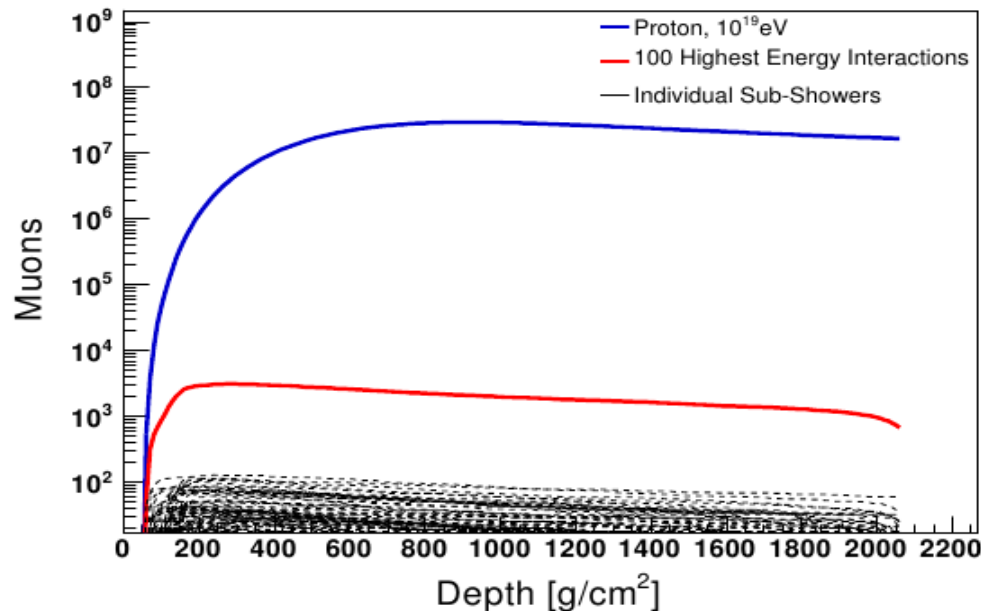
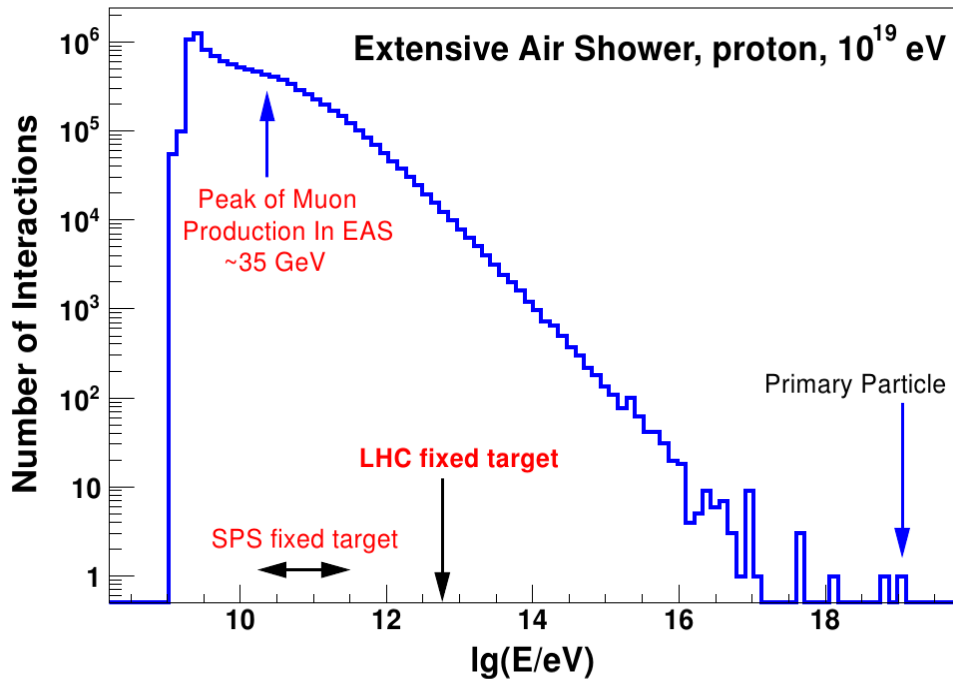
# Model Consistency using Electromagnetic Component

Study by Pierre Auger Collaboration (ICRC 2017)

→ std deviation of  $\ln A$  allows to test model consistency.



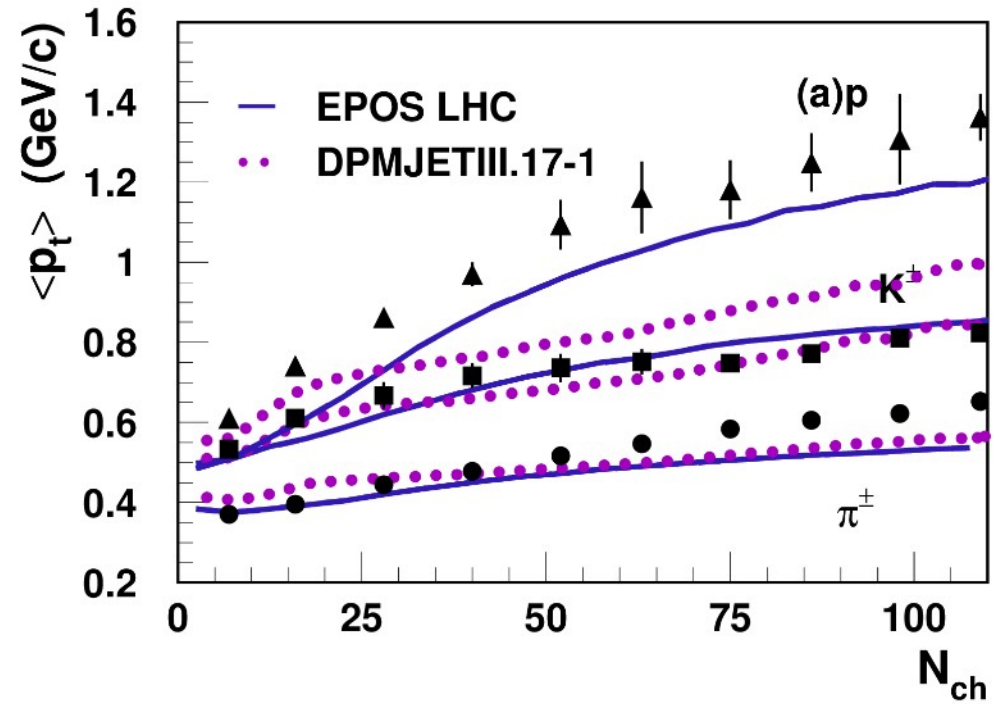
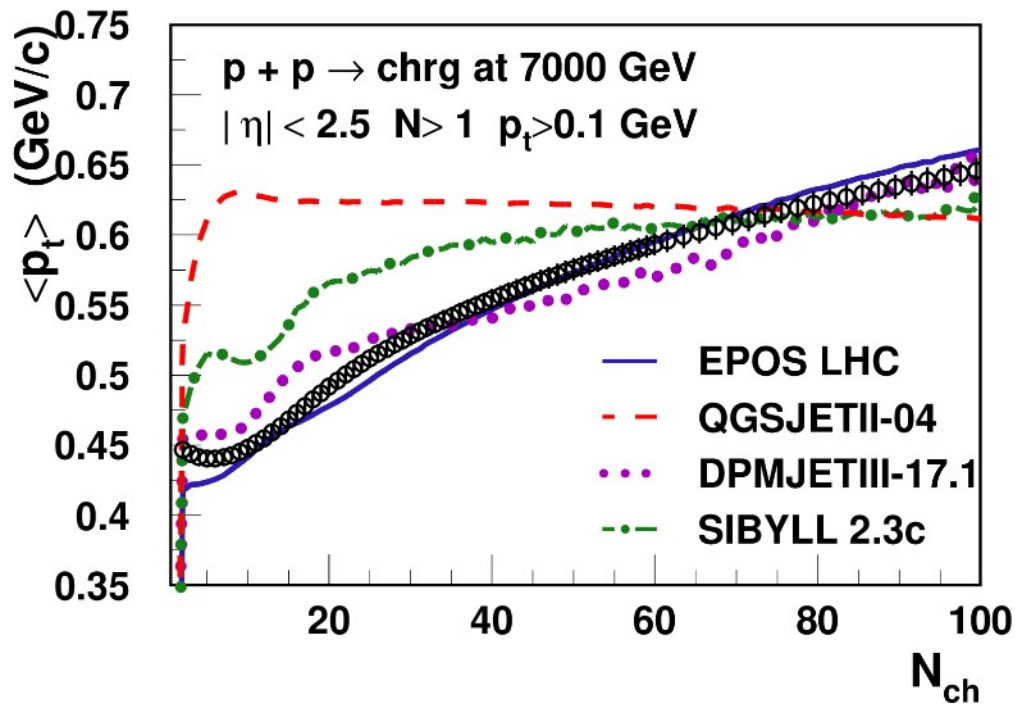
# Surface Detectors (SD)



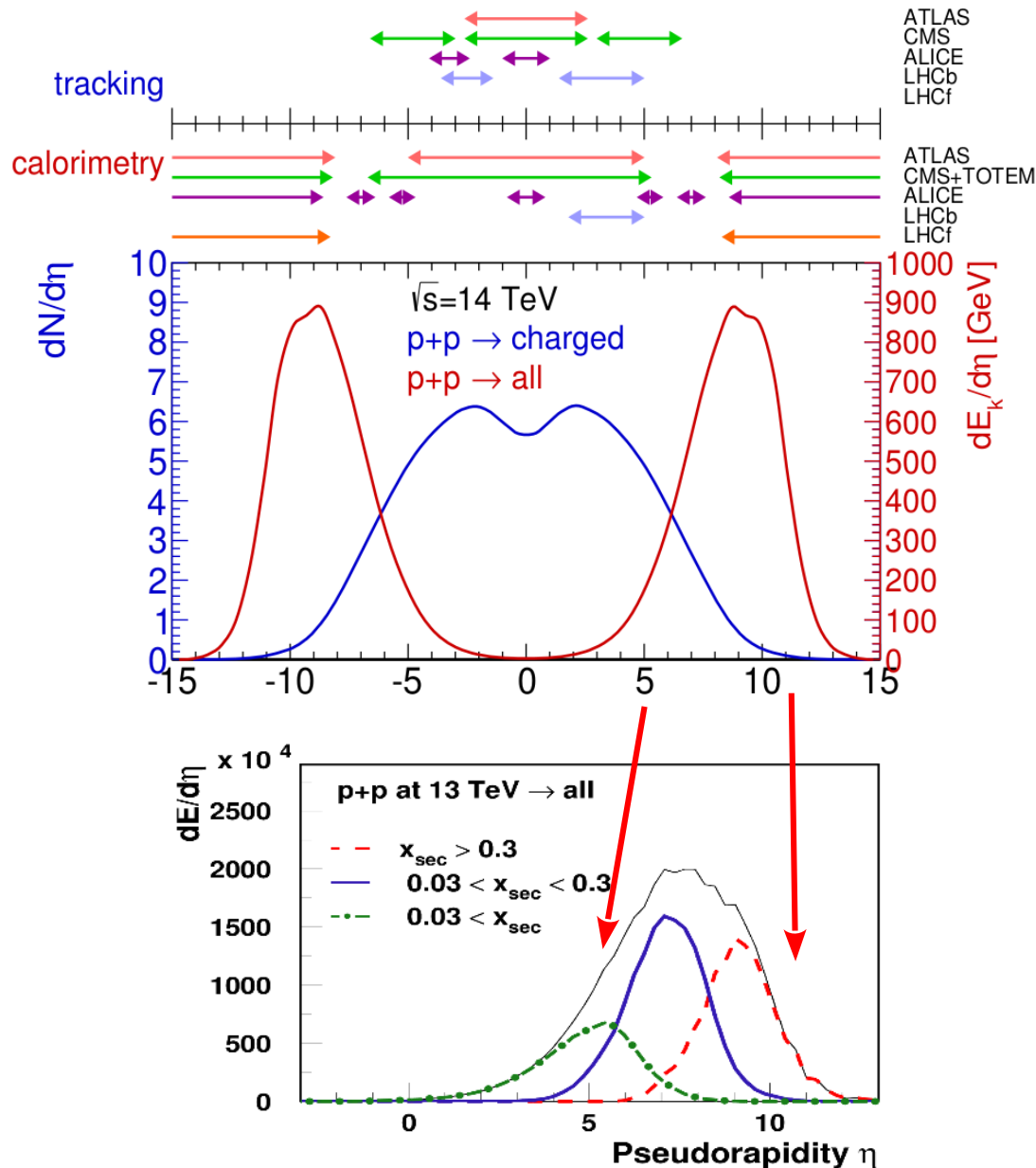
- SD detector sensitive to
  - ➔ electromagnetic particles (EM)
  - ➔ muons
- Particles at ground produced after many generations of hadronic interactions
  - ➔ most of EM particles from pure EM (universal) shower (depend on high (first) energy hadronic interactions)
  - ➔ muons produced at the end of hadronic cascade (depend on low energy hadronic interactions)
  - ➔ small fraction of EM (at large  $r$ ) produced by last hadronic generation
- EM and muons give different signal in Cherenkov detector.
  - ➔ property of time traces

# Should everything be taken into account ?

- Models have different philosophies !
  - ➔ number of parameters increase with data set to reproduce
  - ➔ predictive power may decrease with number of parameters
  - ➔ predictive power increase if we are sure not to neglect something
- No direct influence on air showers but different parameters and extrapolations ?



# LHC acceptance and Phase Space



- p-p data mainly from “central” detectors
  - ➔ pseudorapidity  $\eta = -\ln(\tan(\theta/2))$
  - ➔  $\theta=0$  is midrapidity
  - ➔  $\theta \gg 1$  is forward
  - ➔  $\theta \ll 1$  is backward
- Different phase space for LHC and air showers
  - ➔ most of the particles produced at **midrapidity**
    - important for **models**
  - ➔ most of the energy carried by **forward** (backward) particles
    - important for **air showers**