

# LHCb $\eta$ acceptance in Upgrade II

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Upgrade II Tracking Workshop

6<sup>th</sup> – 7<sup>th</sup> March 2024

# Reducing acceptance in high- $\eta$

- Why would we?
  - High- $\eta$  tracks have largest occupancy and is the most challenging part for tracking

- Tracks at low angle traversing a **large amount of material** (high ghost rate)
- $\eta$  coverage and r-z footprint of VELO highly related
- Mechanical constraints from closing to beampipe for UT/MT



Matt Needham,  
[110<sup>th</sup> LHCb week](#)

- We want to match acceptances between subdetectors

We need to align between the sub-detectors and take into account what coverage we need from physics



- We want to know - how would reducing acceptance affect the physics from LHCb?

# Previous study from 2021

## DETECTOR LEVEL CONSIDERATION

- ▶ **Before magnet, high- $\eta$  region impact radiation and rate constraints.**
  - ▶ UT HV(LV)-CMOS options radiation hardness limit translate to 4.85(4.95)
  - ▶ RICH1 covers  $\eta < 4.4$  (beam-pipe at 25mrad).
  - ▶ VELO at high- $\eta$  has poor z-pointing, larger T.o.F, having to go toward SB would imply larger z to cover high- $\eta$ .
- ▶ **After magnet, less impact** because the magnet swipe
  - ▶ RICH2 acceptance and SciFi/MT hole correspond to  $\eta < 4.9$
  - ▶ MUON inner angular accept. at 16 mrad ( $\eta < 4.85$ )
  - ▶ CALO inner hole covers  $\eta < 4.6 - 4.8$  @ U2 ( $\sim 40\%$  energy from neutrals)

Channel	$\eta < 4.85$	$\eta < 4.7$	
$B_s^0 \rightarrow \mu^+ \mu^-$ [muon]	0.15%	0.8%	
$B^0 \rightarrow K^* e^+ e^-$ [elect]	0.2%	0.6%	same for comb and part. reco
$B_s^0 \rightarrow D_s^- \pi^+$ [hadr]	0.4%	1.3%	w/o sel. 1.1%, 2.9%
$B \rightarrow D^{*+} \tau_h^-$ [high mult]	0.2%	0.6%	similar loss for the 6 tracks
$B_s^0 \rightarrow K^+ K^-$ [high pt]	0.05%	0.2%	w/o PID 0.4%, 1.5%
$D^{*+} \rightarrow D^0(K_s \pi \pi) \pi^+$ [low pt]	0.5%	1.2%	Background 1.1%, 2.3%

Small efficiency loss in  $B$  and  $D$  decays

Mary Richardson-Slipper

QEE: not considering effective detector acceptance  $< 1\% - 7\%$  loss

- ▶ Studied relative loss at generator level wrt.  $2 < \eta < 5$

Channel	$\eta < 4.85$	$\eta < 4.7$
$H \rightarrow cc$ [jets]	0.2%	1.1%
$Z \rightarrow \mu^+ \mu^-$ [very high pt]	5.1%	7.7%
$W^+ \rightarrow \mu^+ \nu$ [very high pt]	0.7%	1.7%

assuming detector effective acceptance is already around 4.8 – closer to what's observed with  $B/D$  decay

# Previous

## DETECTOR LEVEL

- ▶ Before mag
  - ▶ UT H
  - ▶ RICH1
  - ▶ VELO
- ▶ After mag
  - ▶ RICH2
  - ▶ MUON
  - ▶ CALO

## Channel

- $B_s^0 \rightarrow \mu^+ \mu^-$  [high pt]
- $B^0 \rightarrow K^* e^+ e^-$  [high pt]
- $B_s^0 \rightarrow D_s^- \pi^+$  [high pt]
- $B \rightarrow D^{*+} \tau_h^-$  [high pt]
- $B_s^0 \rightarrow K^+ K^-$  [high pt]
- $D^{*+} \rightarrow D^0(K_s \pi \pi) \pi^+$

▶ Reduction of the  $\eta$  coverage shows little impact on signal efficiency

Effective acceptance already smaller than  $2 < \eta < 5$

Should we align PID and tracking coverage?

**What should we consider as specification?**

▶ S/B seems to degrade at high- $\eta$

→ some work started to understand combinatorics increase at U2

▶ Beyond the high- $\eta$  issue we should investigate the S/B situation in U2:

- ▶ at detector level we target same signal (track) efficiency and same background (track) retention.
- ▶ what is the impact on detector perf. of trying to achieve same S/B (which is to some extent assumed in LOI)?
- ▶ or: "does same performance than U1 at particle level allows to do physics at U2?"

▶ Lower  $\eta_{max}$  does not really have impact as down scaling option:

→ in most of the case  $\eta_{max} < 5$  already.

▶ **Could be limiting for Velo and UT** (depends on next 2-3 years R&D outcome)

Victor Coco

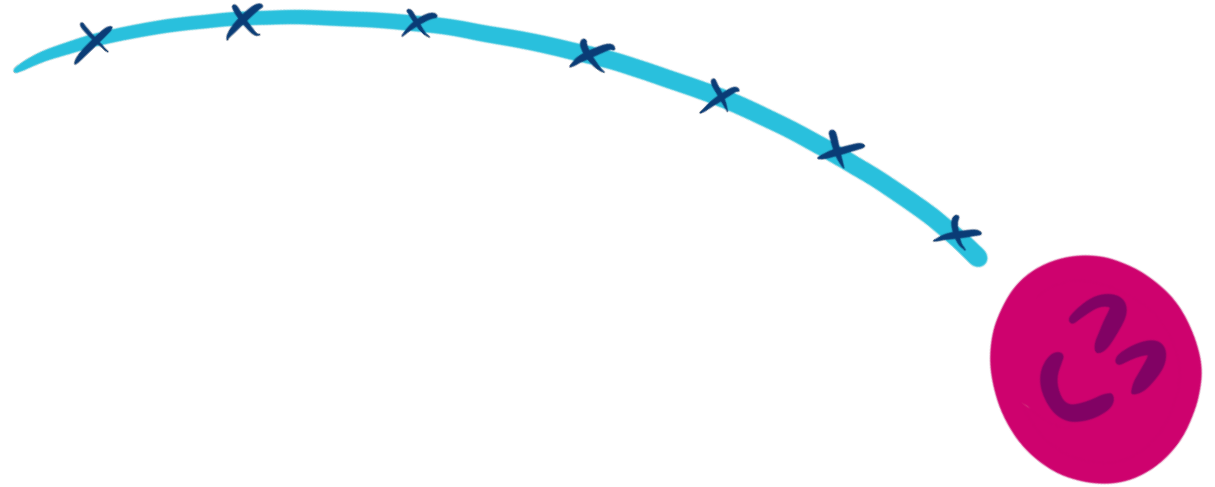
Considering effective acceptance <1% - 7% loss

or level wrt.  $2 < \eta < 5$

	$\eta < 4.85$	$\eta < 4.7$
high pt]	0.2%	1.1%
high pt]	5.1%	7.7%
high pt]	0.7%	1.7%

Effective acceptance is closer to what's

Small efficiency loss in B and D decays

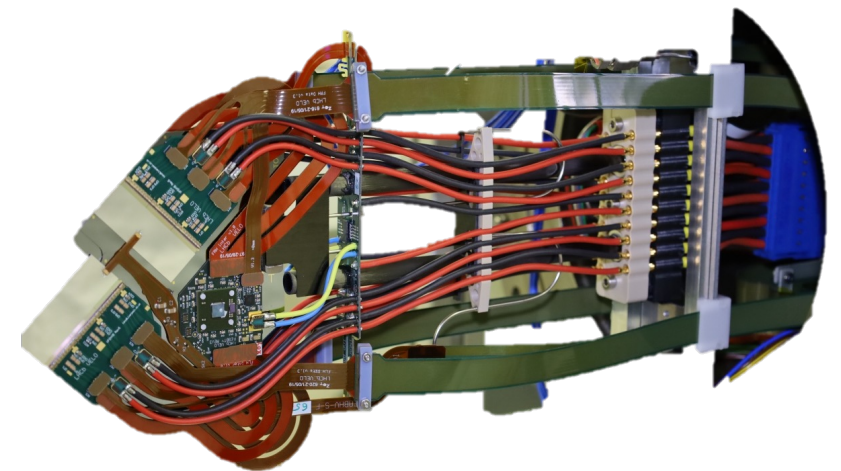


# Subdetectors

What acceptance are we working towards? Does a smaller acceptance provide flexibility for detector design?

# The VELO

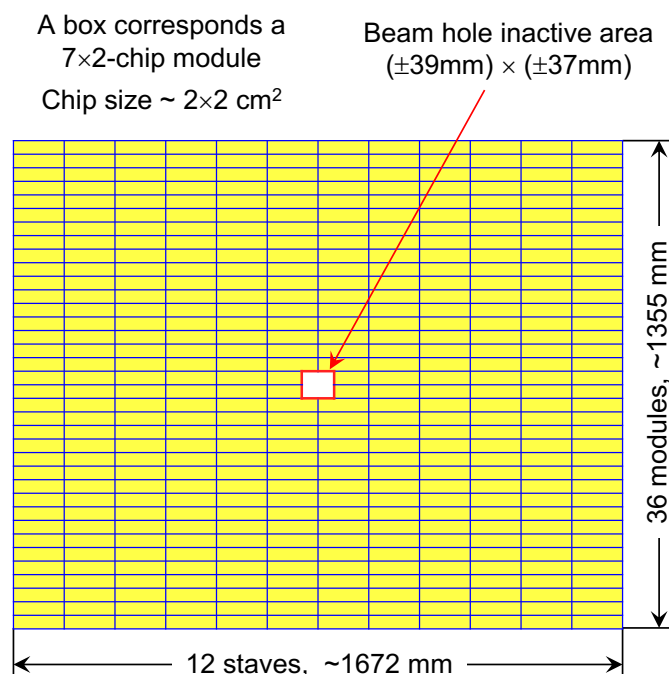
- Current VELO covers up to  $\eta = 5$
- In U2, the most relief comes from reducing to a coverage up to  $\eta = 4.8$
- Between 4.7 and 4.8, relaxes  $(z, R)$  positions of modules – **can spare modules** and gives **freedom to move in  $R$**  if radiation hardness not met



# The UT



## U2 UT Geometric Configuration



- ❖ The U2 UT has 4 detector planes, at Z similar to the current UT. The number of planes may be reduced to 3, pending further studies.
- ❖ A plane has 12 staves, covers ~1672 mm in the X direction, with 2 mm overlaps.
- ❖ A stave has 36 modules, covers ~1355 mm in the Y direction.
- ❖ A module consists of 7×2 sensor chip. In the outer regions of each plane dual-modules are used for efficient IpGBT.
- ❖ The central 4×4 chips are removed for beam pipe, covers (±39mm)×(±37mm).
- ❖ In total: 4 layers, 48 staves, 1728 modules, 24128 chips.

Maximum radius from beampipe – 53.7mm

First station at 2317.5mm

**100% efficiency up to 4.46**

Minimum radius from beampipe – 37mm

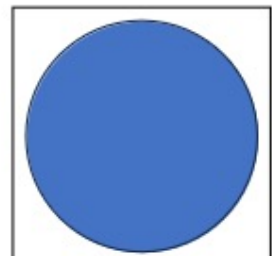
**Partial efficiency up to 4.83**

the central modules can be re-arranged for a different hole size matched with the radiation resistance and rate requirements



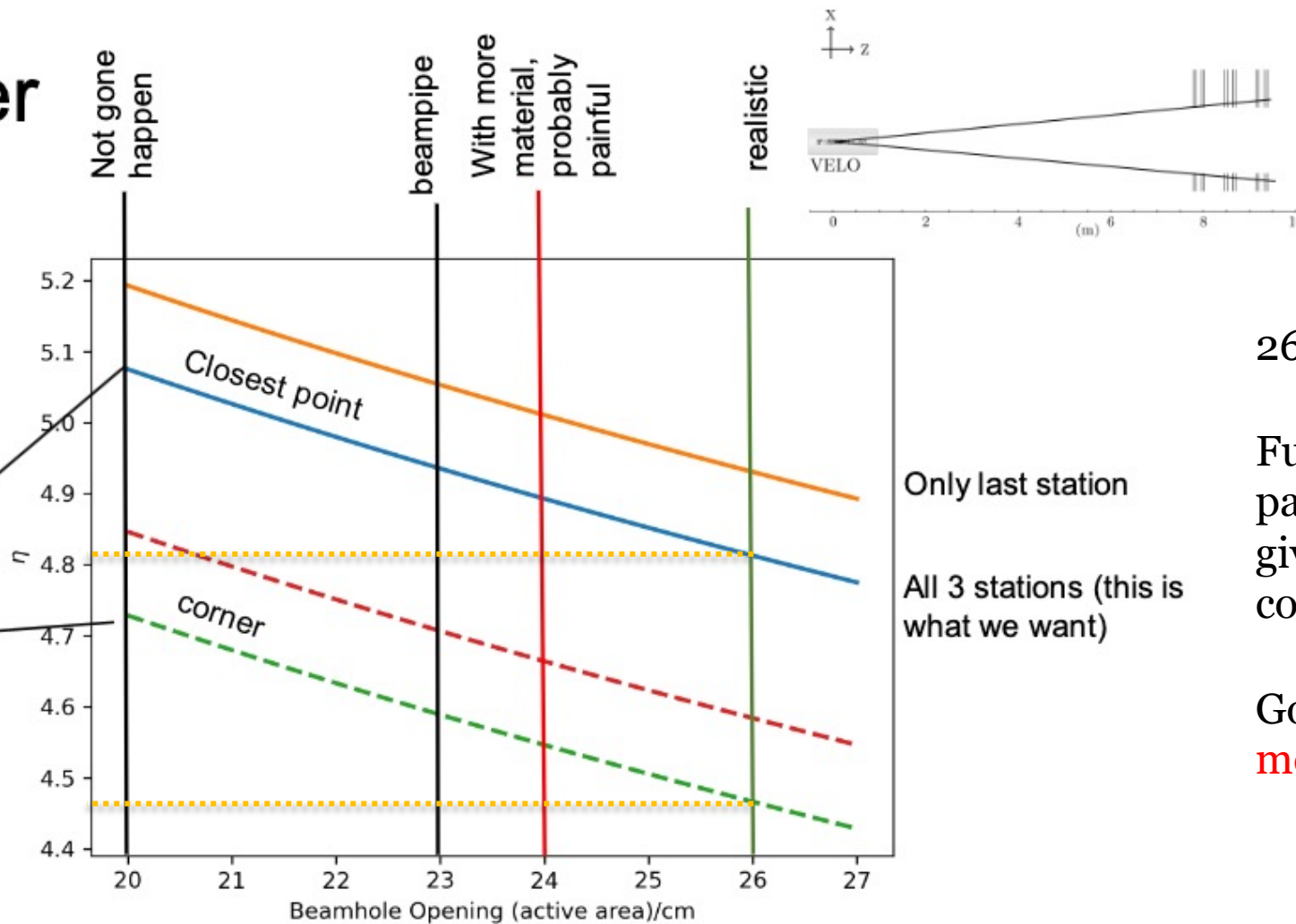
# The Mighty Tracker

## Eta Corner



Active area opening

$$(2^{1/2}-1)*13\text{cm}=5.38\text{cm}$$



26cm scenario most likely

Full coverage up to **4.4** with partial coverage up to **4.8** given from mechanical constraints

Going up to 4.9 would be **mechanically challenging**

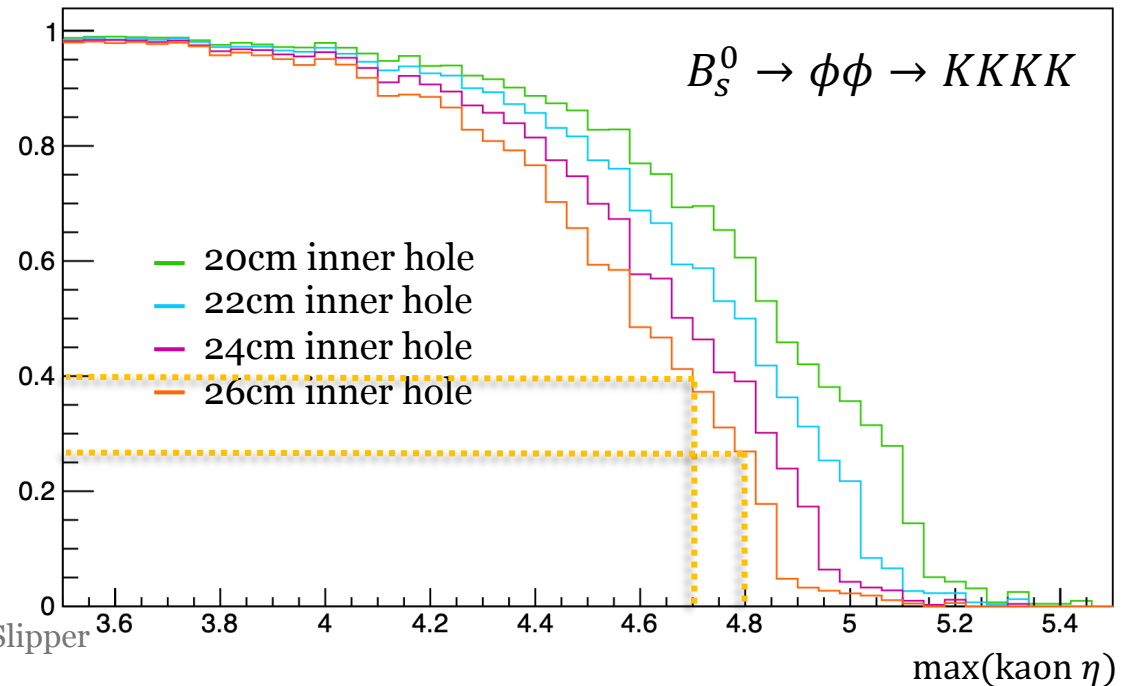
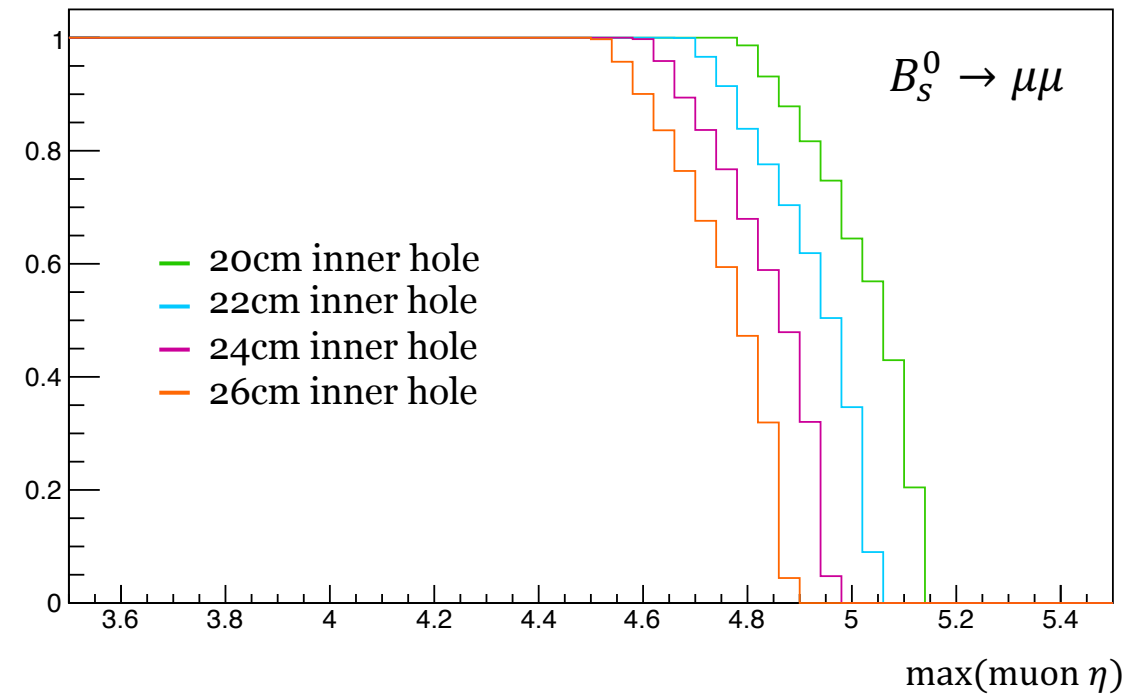


# The Mighty Tracker

$$\epsilon = \frac{N_{\text{inside}}^X}{N_{\text{inside}}^0}$$

$X = 20, 22, 24$  and  $26$

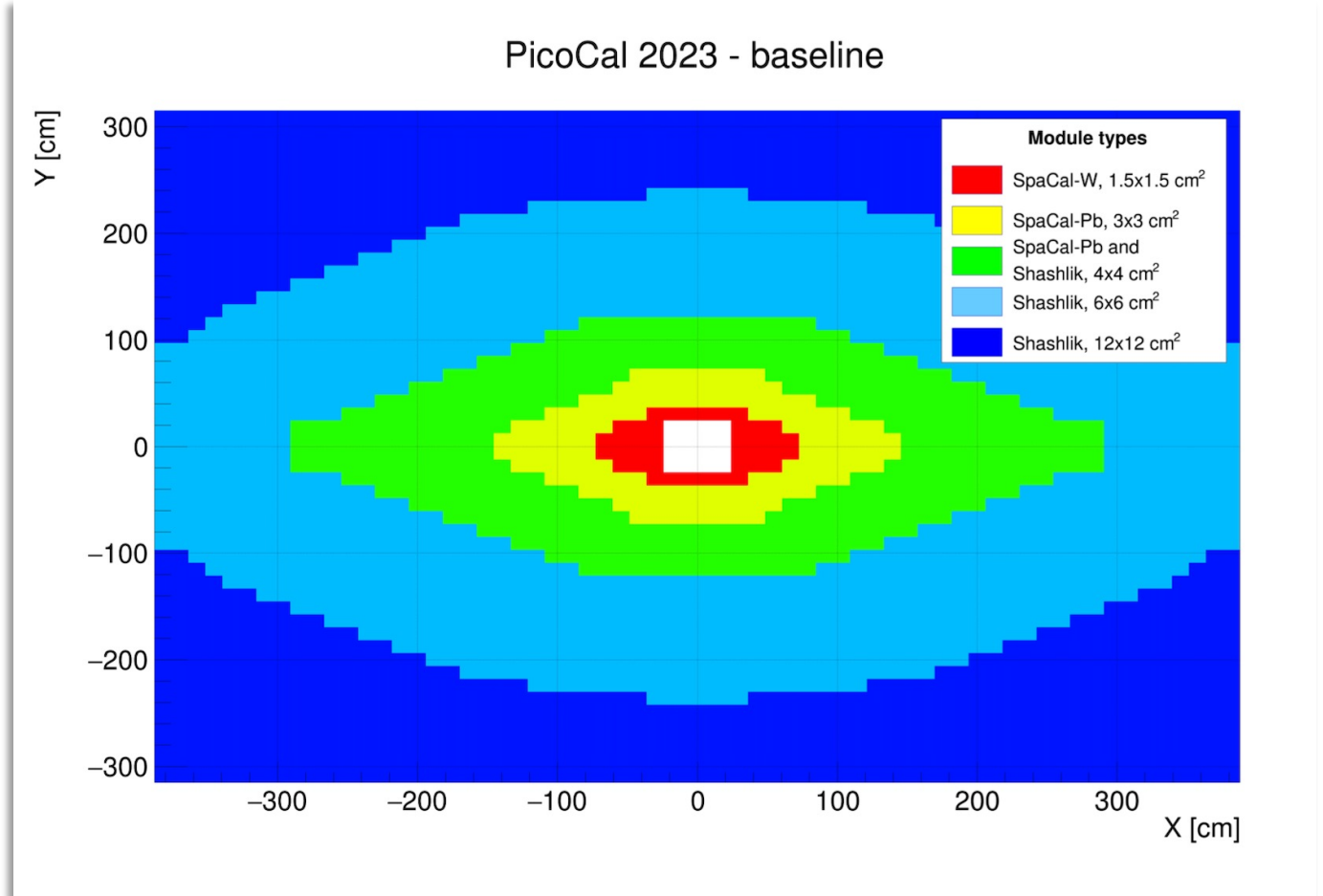
- 26cm beam pipe hole – orange line
- This is  $\max(\eta)$  at production **before the magnet**
- Using RapidSim with U2 momentum smearing, and a single magnet kick model to understand which tracks are not inside the MT



# CALO

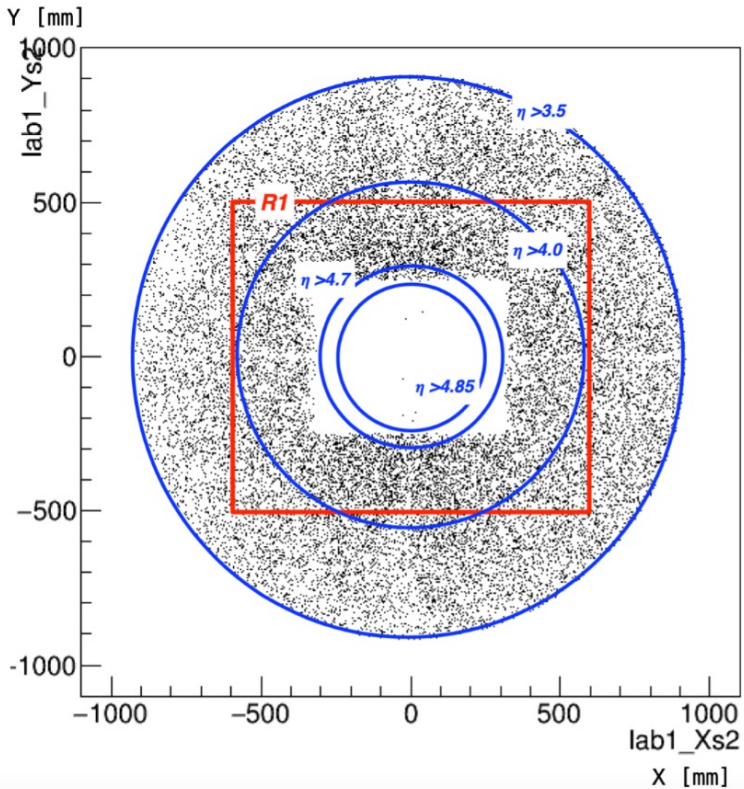
Beam hole of the ECAL is 4x4 modules (or 48 x 48 cm<sup>2</sup>) at 12.6 meters.

For straight lines (e.g. photons), this corresponds to 1.1 - 1.5 degrees or an eta range of **4.3 - 4.7**



# The Muon stations

## Zoom on the M2 inner region

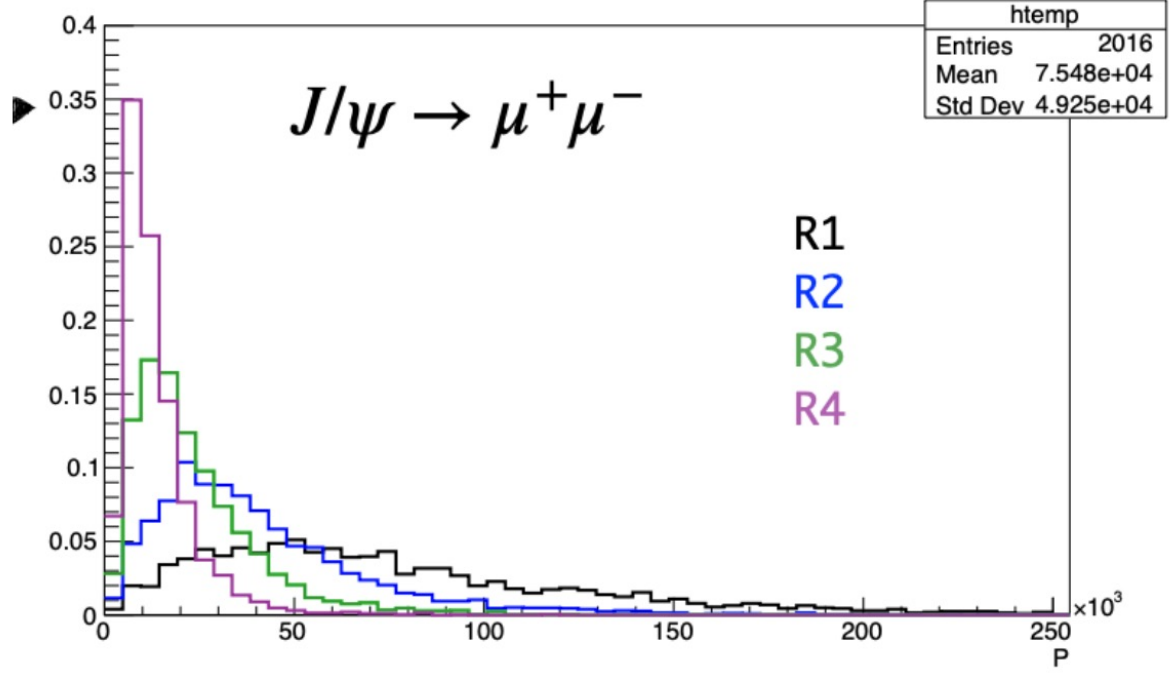


Jpsi->mumu:

- At least one of the muons impinging in R1 : 24%
- At least one of the muons eta>4.7: 0.3%
- At least one of the muons eta>4.85: 0.02%

$$R(\eta) \sim 30400 \cdot \text{atan}(\exp(-\eta))$$

## Momentum spectrum in detector regions



Present acceptance is **4.7 (4.85)** in horizontal (vertical) planes with no plans to reduce the acceptance (**full coverage 4.4**)

M2 may be reduced, but M3-5 will still cover the acceptance

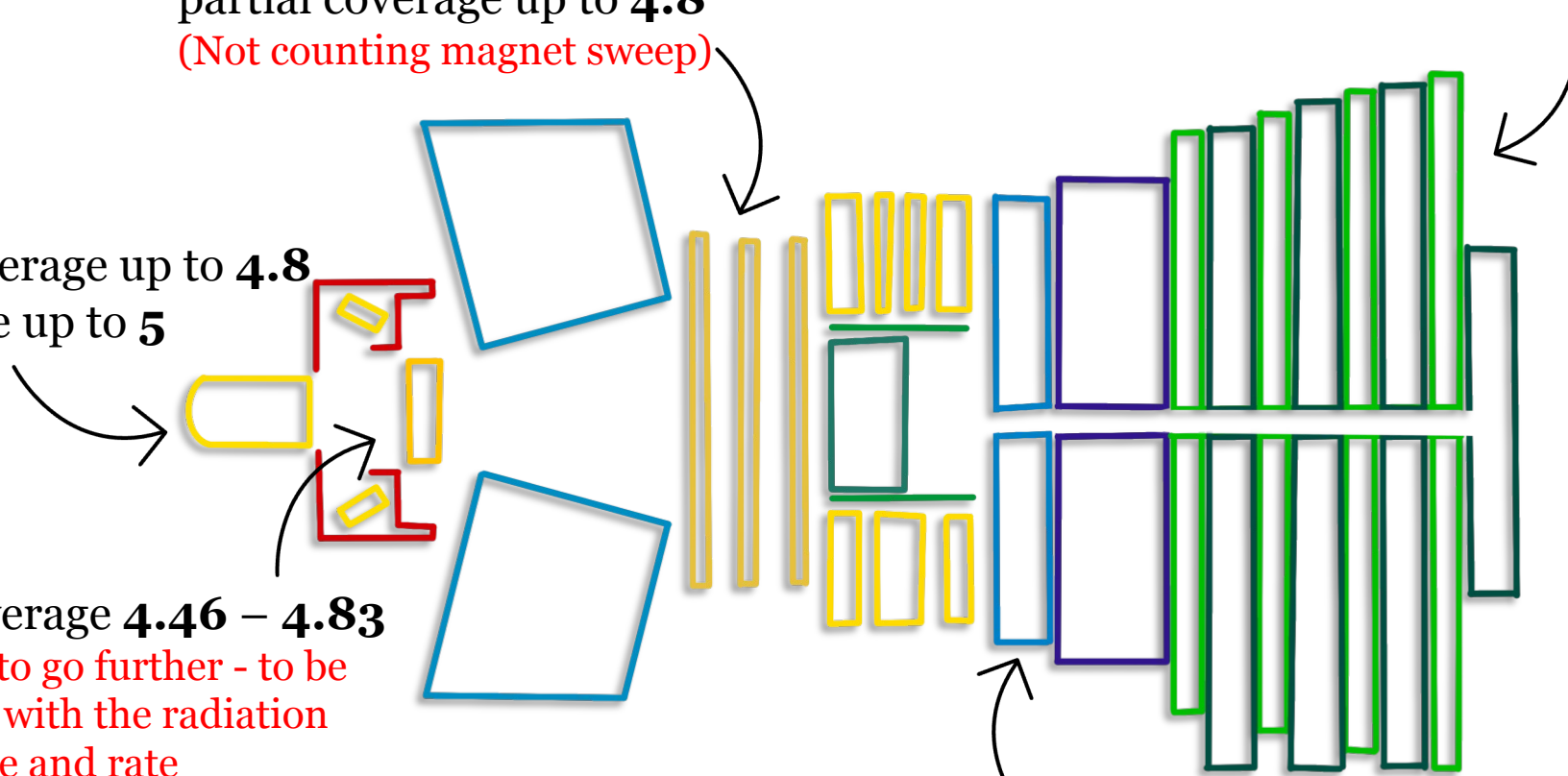
# Summary of acceptances

**Mighty Tracker:** full coverage up to **4.4**  
partial coverage up to **4.8**  
(Not counting magnet sweep)

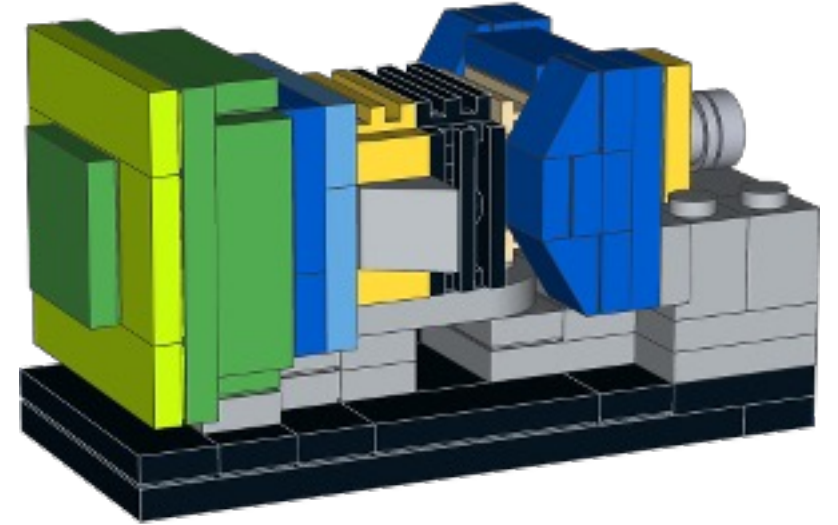
**Muons:** full coverage up to **4.4**  
partial coverage **4.7 (4.85)** horizontal (vertical)  
(Not counting magnet sweep)

**VELO:** full coverage up to **4.8**  
partial coverage up to **5**

**UT:** coverage **4.46 – 4.83**  
Possible to go further - to be  
matched with the radiation  
resistance and rate  
requirements



**ECAL:** coverage **4.3 – 4.7**  
(Not counting magnet sweep)



# Physics cases and LHCb's uniqueness

Want to understand how a reduction in high- $\eta$  acceptance will affect our physics programme

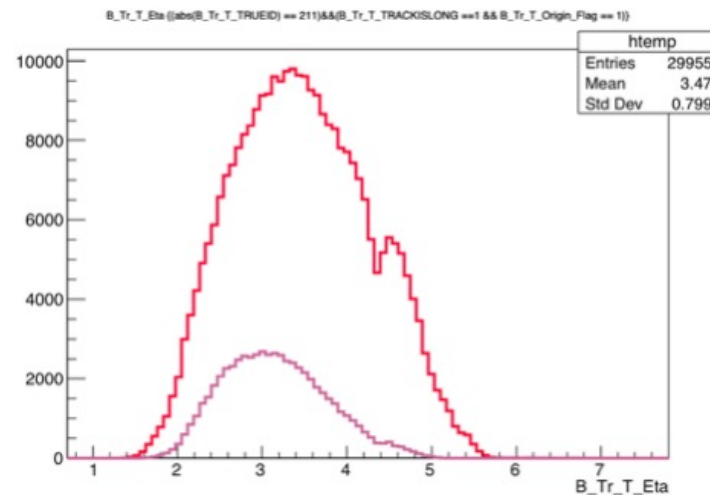
# Flavour tagging perspective

- Tracks from B – population at high  $\eta$  – hadronization giving low-angle tracks

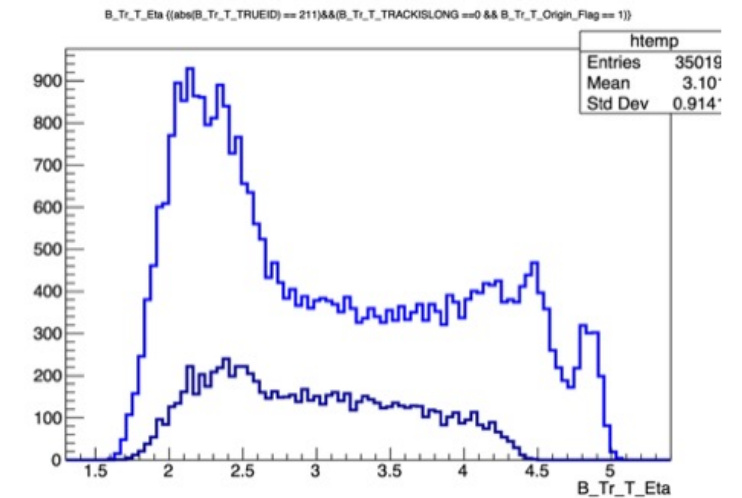
Plots of same-side fragmentation pions

$B^0 \rightarrow D^- \pi^+$  and  $B_s \rightarrow D_s \pi$  MC  
 2024 nominal conditions  
 preselection: Run 2 preselection

Long tracks, **before** and **after** preselection



Upstream tracks, **before** and **after** preselection



# Flavour tagging perspective

$B^0 \rightarrow D^- \pi^+$  and  $B_s \rightarrow D_s \pi$  MC 2024 nominal conditions  
 preselection: Run 2 preselection

		Same-side fragmentation tracks		Opposite-side decay tracks	
		before presel	after presel	before presel	after presel
<b>LONG</b>	> <b>4.7</b>	7.5%	2.1%	4.5%	2.2%
	> <b>4.8</b>	5.5%	1.2%	2.8%	1.0%
<b>UPSTREAM</b>	> <b>4.7</b>	5.3%	2.2%	4.4%	4.8%
	> <b>4.8</b>	3.4%	1.5%	2.8%	3.1%

High- $\eta$  tracks may be powerful

Not clear how these affect tagging performance

Would require a major study





# BnoC, B2CC and B2OC

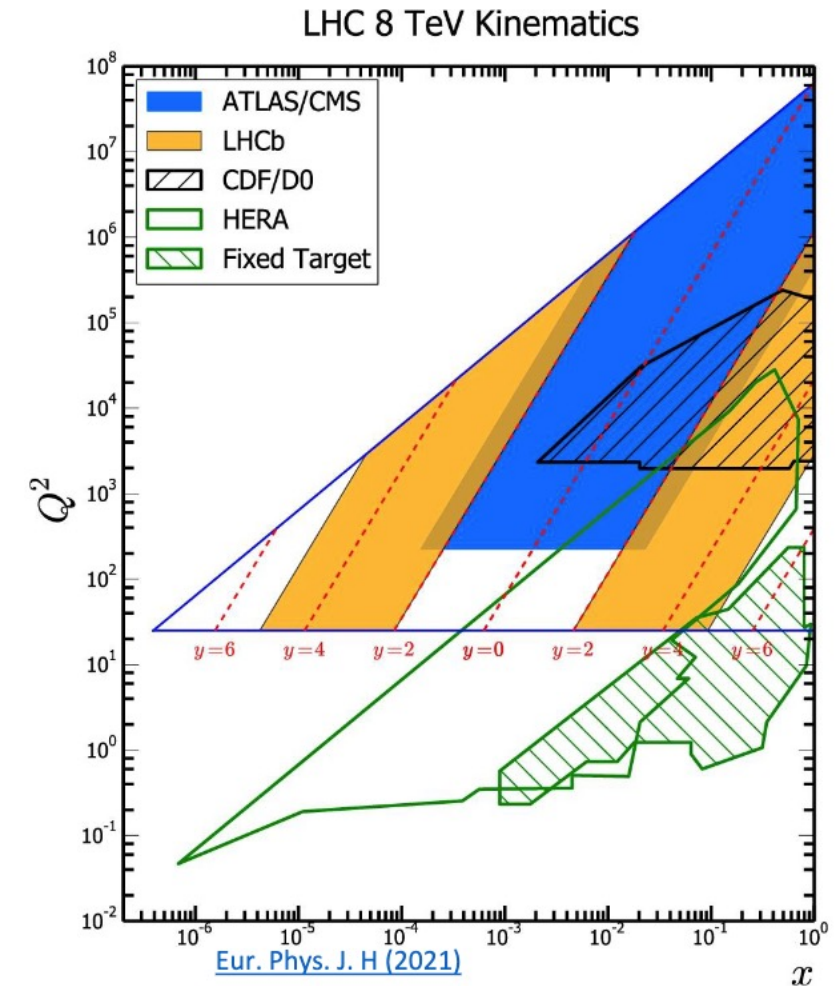
- Concerns raised about the affect this could have on flavour tagging (discussed in prev. slides)
- Main charge asymmetry in the RICH from particles going into beampipe after T stations and before RICH2
  - High momentum and high rapidity tracks
  - **Could be worth to check the effect of reducing the acceptance**

Do not foresee it will affect these programs apart from loss efficiencies



# B&Q

- Reducing inner acceptance **reduces uniqueness of production in low  $x$ , high  $Q^2$**
- Central Exclusive Production – HeRSChel physics motivation
  - Is this something we can still consider at high pileup?



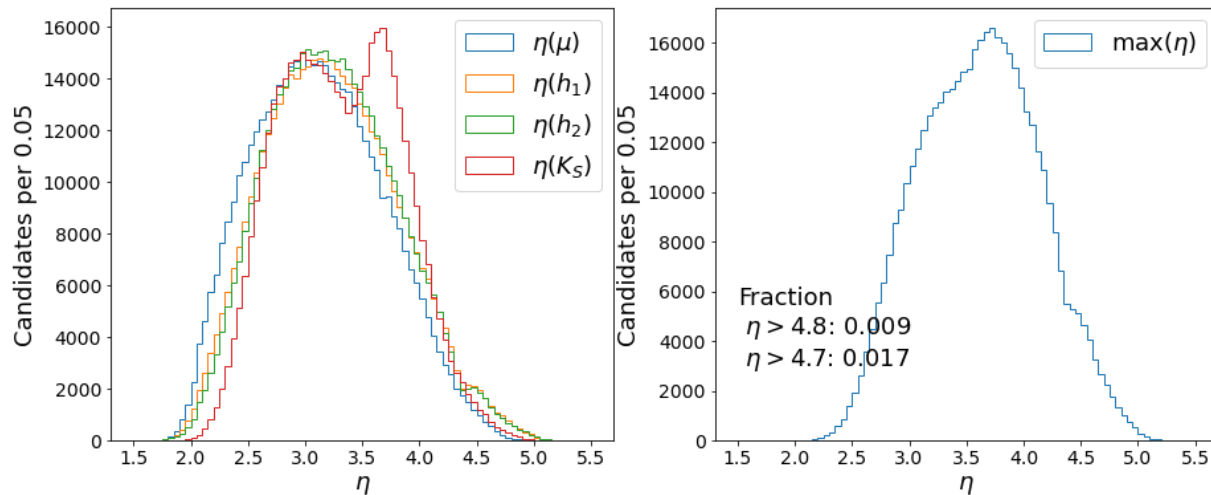
[Saliha Bashir – Hadron Production at LHCb Experiment](#)



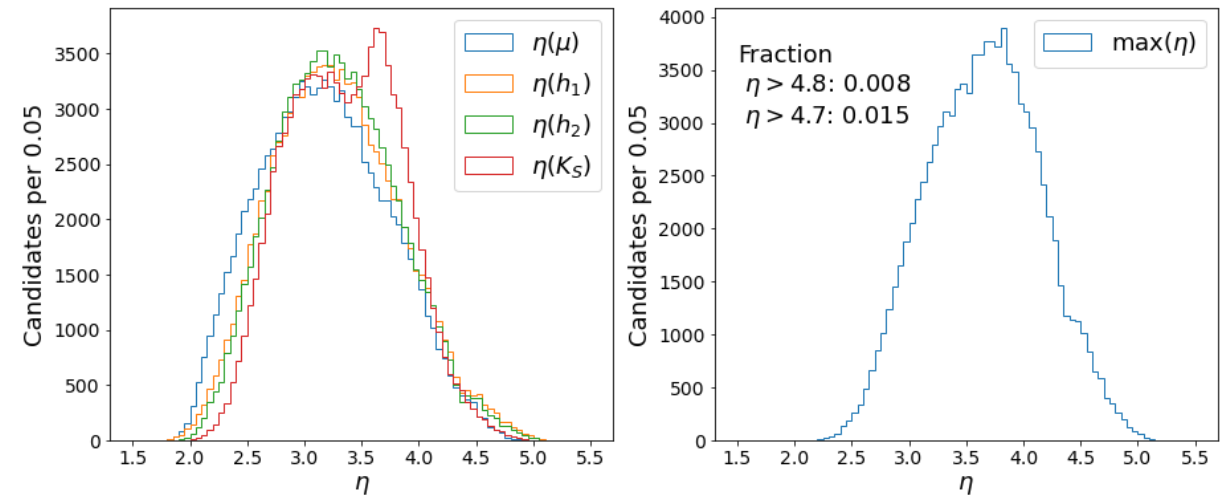
# Charm

$D^0 \rightarrow K_S \pi^+ \pi^-$  with a combination of long and downstream  $K_S^0$  candidates, and offline selection applied on top of trigger requirements for Run 2 data:

$D^0$  tagged with semimuonic  $B$  decays



$D^0$  doubly tagged with semimuonic  $B$  decays into  $D^{*+}$



The plots are prepared using the pseudorapidity of the  $K_S^0$  rather than those of its decay products, but this is not expected to be the limiting factor in the precision of the study.



# Charm

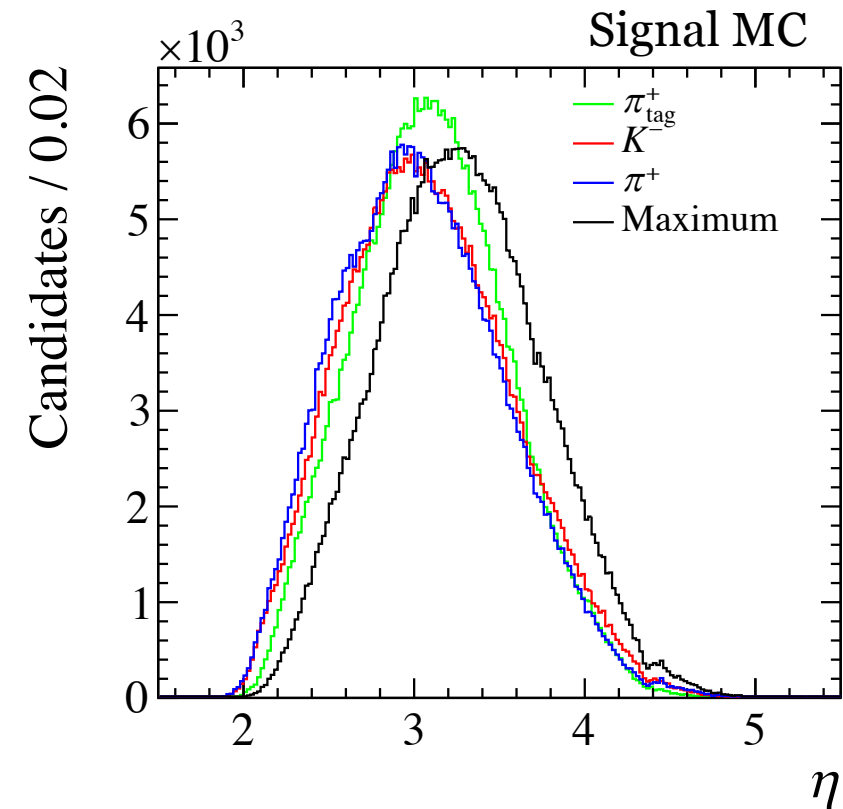
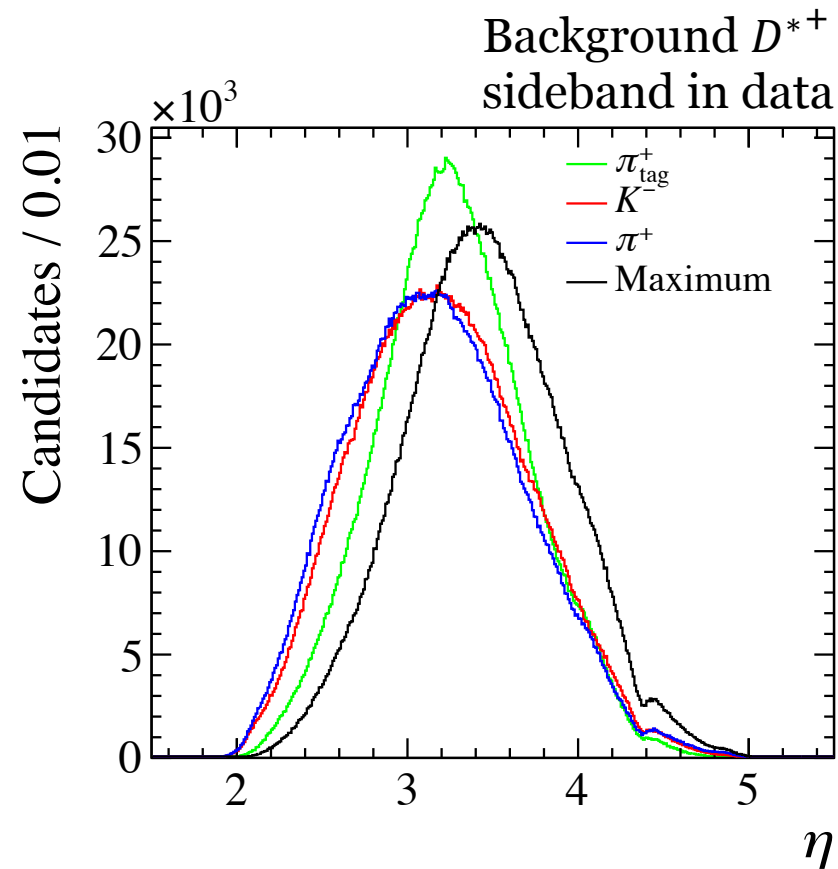
- Some CP violation measurements applied  $\eta \in [2, 4.2 - 4.3]$  in Run 2 – the material budget and consequently the detection asymmetries outside of this range were significantly larger
- For measurements which did not apply  $\eta$  selections, like  $\Delta A_{CP}$ , the efficiency loss is at most **0.4%** on  $D^0 \rightarrow K^+K^-$  and  $D^0 \rightarrow \pi^+\pi^-$ , **so negligible**.
- WS/RS ratio in  $D^0 \rightarrow K^\pm\pi^\mp$  decays after the offline selection:

	$\eta < 4.7$	$\eta < 4.8$	$\eta < 4.85$
Signal	<b>0.15%</b>	<b>0.07%</b>	<b>0.04%</b>
Background	<b>0.44%</b>	<b>0.22%</b>	<b>0.14%</b>



# Charm

- WS/RS ratio in  $D^0 \rightarrow K^\pm \pi^\mp$  decays after the offline selection:



“unless we get much better tracking efficiency and PID at high  $\eta$ , cutting at 4.7 doesn't harm us at all”



# Charm

- For  $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$ , the fraction of signal candidates passing the trigger and offline selections in Run 2 that are rejected by the requirement  $\eta < 4.8(4.7)$  is **7.8(8.3)%**

- Similar expected for  $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$  and  $D^0 \rightarrow K^\pm \pi^\mp \pi^+ \pi^-$

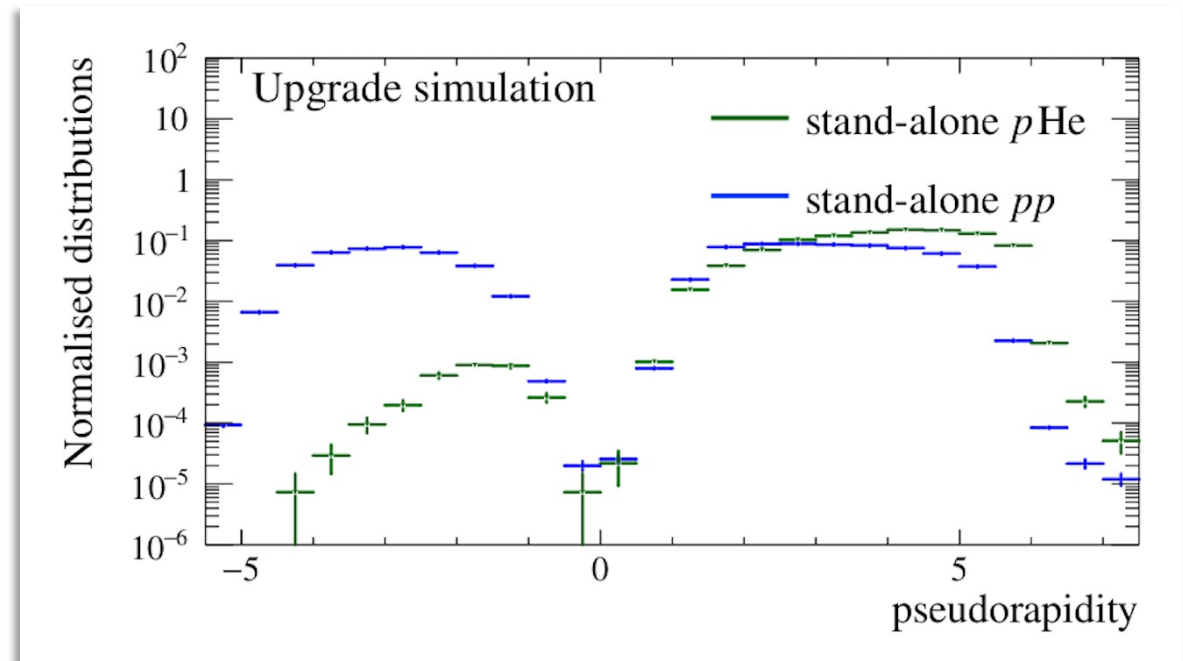
**TO BE CHECKED**  
(decrease looks bigger than expected)

- Based on studies for the measurement of CP asymmetry in  $D^0 \rightarrow K_S^0 K_S^0$  decays (Fig. 9 [LHCb-INT-2023-003](#)), current HLT1 line for  $K_S^0$  particles looks only for candidates in the range  $\eta \in [2, 4.2]$  to improve the S/N ratio



# Ions and fixed target

- For some analyses, cuts at  $\eta = 4.8$
- Last pseudorapidity decimals are removed to avoid border effects – will reducing our inner acceptance shift these border effects?
- Parasitic fixed-target - LHCb pseudorapidity coverage is shifted and tracks have a lower aperture with respect to  $pp$ 
  - The more we cut, the more we lose





# QEE - Muons

- Only went as far as  $\eta = 4.5$  in Run-1 and Run-2
- The forward region provides crucial inputs for the Global Fit of proton parton distribution functions (PDFs) - very high and very low  $x$  regions ATLAS and CMS cannot reach
- The very large  $\eta$  region is needed for  $W$  mass and precision EW measurements – combining LHCb results with that from ATLAS and CMS could reduce the PDFs uncertainty
- Need sufficient inter-sub-detector redundancy to measure reconstruction efficiencies – in Run-1 and Run-2 lack the necessary redundancy to measure tracking efficiencies effectively
- The  $W \rightarrow \mu\nu$  measurements needs an additional 1/2 isolation cone (0.2 extra) for events in detector boundary regions for Muon isolation



# QEE - electrons

- Electron acceptance is limited to around  $\eta = 4.2$  in Run-1 and Run-2
- To enhance electron and photon studies, a larger acceptance of the electromagnetic calorimeter is crucial

# QEE - BSM

- Long lived particle (LLP) studies benefit from extended acceptance
  - Enhance our ability to explore exotic Higgs decays and other phenomena
- Muon stations serve as a subdetector for LLP studies
  - Forward region is crucial for these studies.
  - Ongoing studies on muon showers are included in the Run 3 program



# QEE – jets

- Jet reconstruction limited to **4.2** due to ECAL and HCAL size constraints - expanding the **ECAL acceptance essential for accurate jet reconstruction**
- Wider tracking acceptance enhances our capabilities in studies of jets substructure
  - Jets with larger cone size of  $R \sim 0.8$  valuable for studying boosted objects and gluon splitting to  $\bar{c}c$  and  $\bar{b}b$  pairs
- Final  $\eta$  bin (**from 3.5 to 4.5**) provides important information for  $Z + c$  jet measurement
- Top quarks in LHCb produced from  $qg$  and  $qq$  (ATLAS/CMS primarily  $gg$ )
  - **LHCb forward region plays role in testing QCD calculations**
- LHCb has better sensitivity for top charge asymmetry measurement, **especially  $\eta > 4$** , compared to ATLAS and CMS

A yellow circular logo with the letters "QEE" in white, sans-serif font.

# Semileptonic

- Reduction in high  $\eta$  values is **not seen as a significant issue**
- Decrease in  $\eta$  could lead to **shape variations within the phase space of the decay of interest**, which necessitates further investigation.
- For angular analyses, the efficiency variation across the phase space is of concern, and reduced acceptance could lead to **significant shape variations**. This aspect requires detailed study on an analysis-specific basis.

It is expected that the MC samples will accurately capture variations.



# Rare decays

From local tests over Run-1/-2 sample with Muon in final states

- $B^0 \rightarrow K^* \mu\mu$  after pre-selection
- $B^0 \rightarrow K^* \mu\mu$  after pre-selection

	$\eta < 4.7$	$\eta < 4.8$
$(0.1 < q^2 < 8.0)$	<b>86%</b>	<b>91%</b>
$(11.0 < q^2 < 12.5)$	<b>88%</b>	<b>93%</b>
$(15.0 < q^2 < 20.0)$	<b>87%</b>	<b>92%</b>

	$\eta < 4.7$	$\eta < 4.8$
Before reconstruction	<b>87%</b>	<b>87.7%</b>
After reconstruction and prelim. selections	<b>98.3%</b>	<b>99.2%</b>

For same CALO/RICH acceptances, similar cuts as Run-1/-2

Cutting at  $\eta < 4.X$  not so bad for electrons

Material budget and bremsstrahlung more relevant



# Rare decays

- Will need to assess the muon decays  $K_S \rightarrow \mu\mu$  and  $B_S \rightarrow \mu\mu$
- Impact on these decays correlated to expected changes in Muon momentum acceptance
  - Particular attention needed concerning **muon station design and shielding combined to the trackers for high momentum tracks**
  - High momentum  $B_S \rightarrow \mu\mu$  can be studied with Renato's parameterization tool



# Rare decays

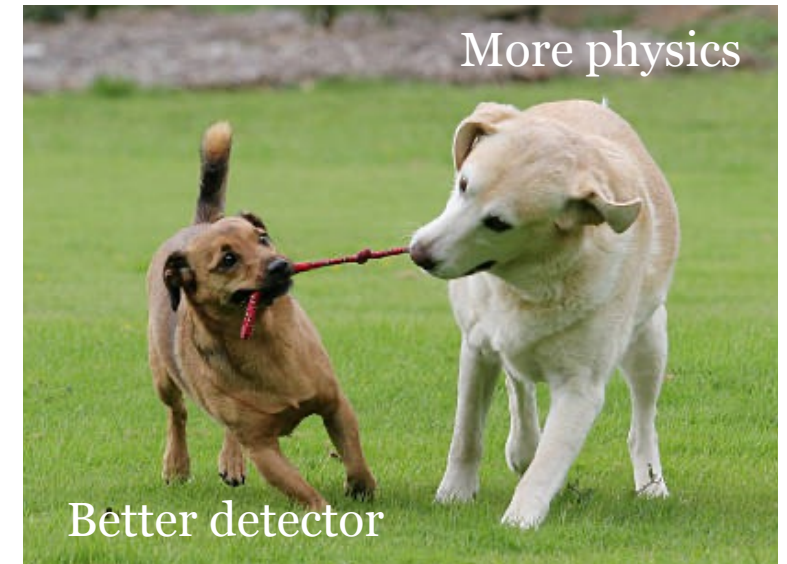
- Probably for soft muons/hard muons we will have **different effects when correlated with the muon shielding acceptance.**
- For electrons, losses due to material budget more relevant than anything else together with the ‘necessary’ acceptances needed to be made to have a good PID on particle [RICH/CALO].





# Summary

- From the detector design point of view, **going to 4.7 provides relief**, but of course we **do not get this for free**
- Lose efficiency, bring edge effects closer by reducing our inner acceptance, impact fixed target experiments, reduce LHCb's unique acceptance...
- However, we want RICH, CALO and Muon station acceptances to match tracking acceptance too
  - CALO - **4.3 – 4.7**
  - Muon – full coverage **4.4**  
horizontal **4.7** (vertical **4.85**)



# Take-home message and open questions...

- Deciding a benchmark on the acceptance not an easy task...
  - Modest impact in most channels reducing acceptance to 4.7
    - Is 4.7 enough for the cases that rely on high  $\eta$ ? E.g., QEE jet studies
  - Muon inner acceptance 4.4 full coverage
  - CALO inner acceptance 4.3 – 4.7
  - Are high  $\eta$  tracks key to flavour tagging?
- } Do we need to go further than these?

Thank you to everyone who provided input for these slides!

Everyone's notes [here!](#)