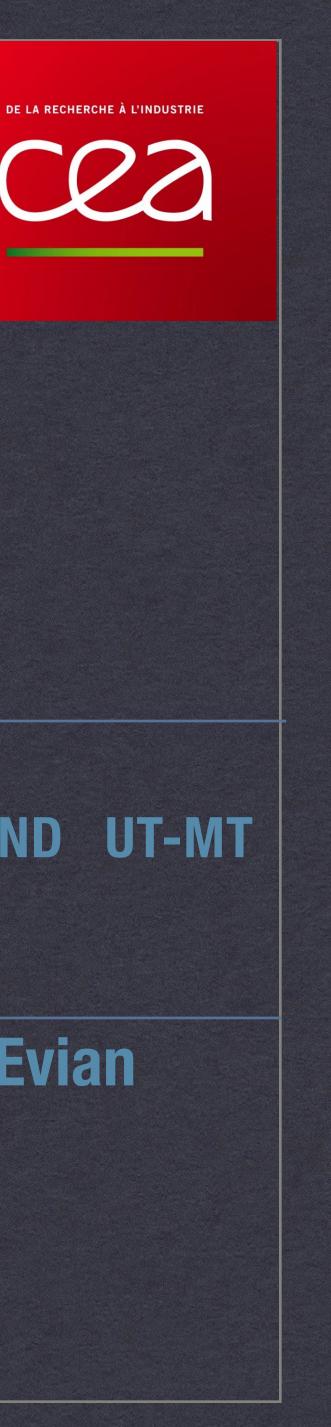




#### **STATUS OF U2UT BASED ALGORITHMS**

**Benjamin Audurier for the U2UT group- LHCb Upgrade II Tracking Workshop/Evian March 7th 2024** 



#### INTRODUCTION FIRST RESULTS FOR VELO-UT AND MATCHING OUTLOOKS

## General Introduction

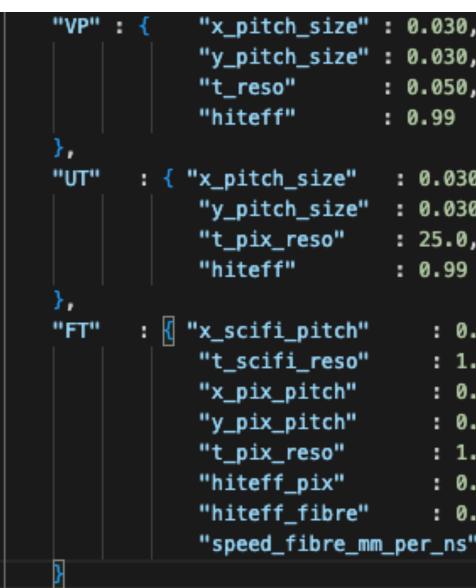
- \* The early results presented in the following slides are coming from:
  - The <u>Pr python</u> (a.k.a Renato's python framework)
  - Early MC studies made within our working package.
- \* Other results can be found in Xuhao's presentation earlier today.
- \* **Short term goal**: developed/tune the new algorithms based on the new U2UT pixel design.
  - presentation for more details).
  - Later, these algorithms will be integrated to the U2 proto-framework.
- \* Results are all **work-in-progress** and includes:
  - Standalone UT algorithms with different U2UT scoping geometries (see Carlos' presentation next).
  - Matching-based UT algorithms (with VeloTrack and MTTrack).

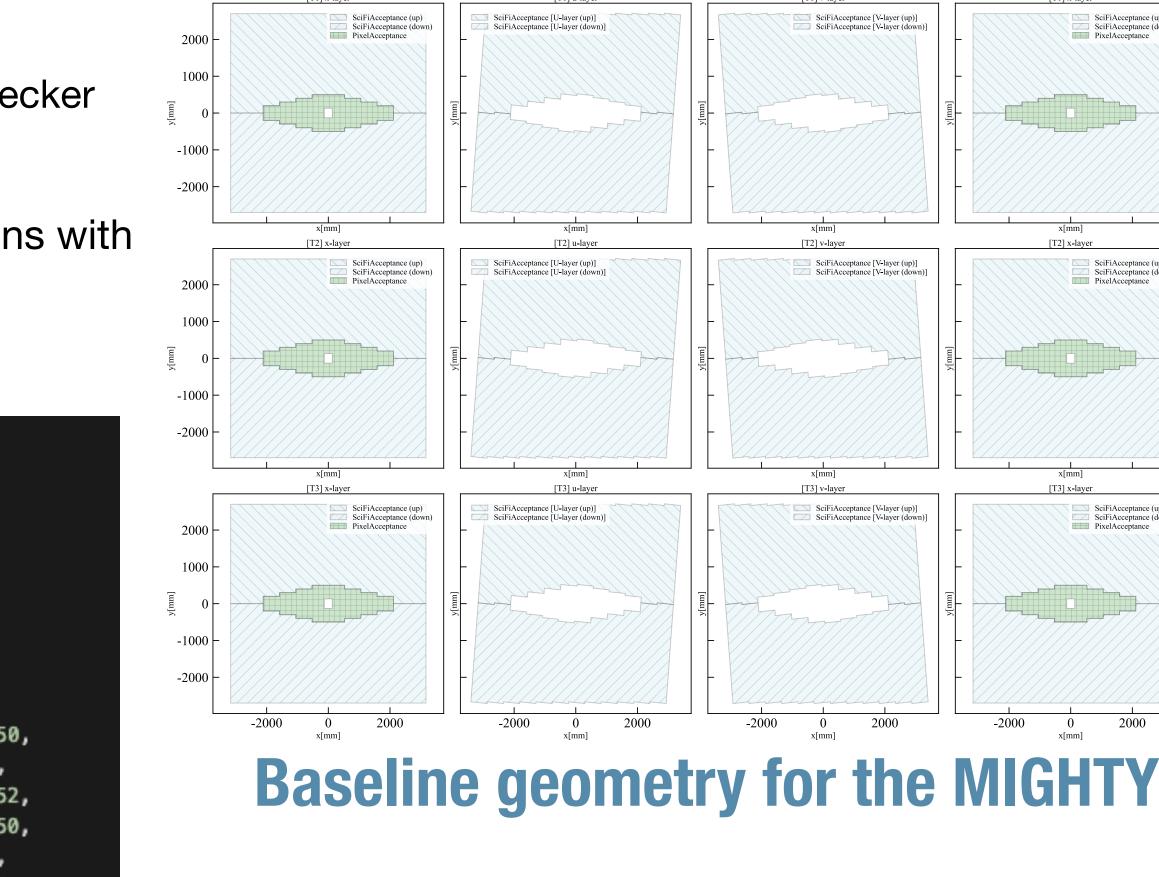
Quick performance results to test the various scoping scenarios with the different U2UT geometry (see Xuhao's

### First results with the Pr\_python framework

- \* General strategy of the Pr\_python framework:
  - Take MCHits from gauss-on-gaussino simulation.
  - Emulated digitization via a (tunable) smearing strategy.
  - Run the algorithms and compute the efficiency/ghost rate with a PrChecker tool similar to the one in the LHCb framework.
- Simulations use Run 3 geometry with minimum bias pp@13TeV collisions with  $\mathscr{L}_{inst} = 1.3 * 10^{34} cm^{-2} s^{-1}$ 
  - 16 MCEvents (i.e bunch crossing) are used.
- Configuration for this presentation:

#### Smearing parameters





ze"	: 0.030,
	: 0.050,
	: 0.99
e"	: 0.030,
ze"	: 0.030,
	: 25.0,
	: 0.99
ch"	: 0.250,
so"	: 1.2,
h"	: 0.052,
h"	: 0.150,
	: 1.2,
	: 0.96,
re"	: 0.98,
e_mm	_per_ns" : 150



# Velo-UT/UT-MT strategy

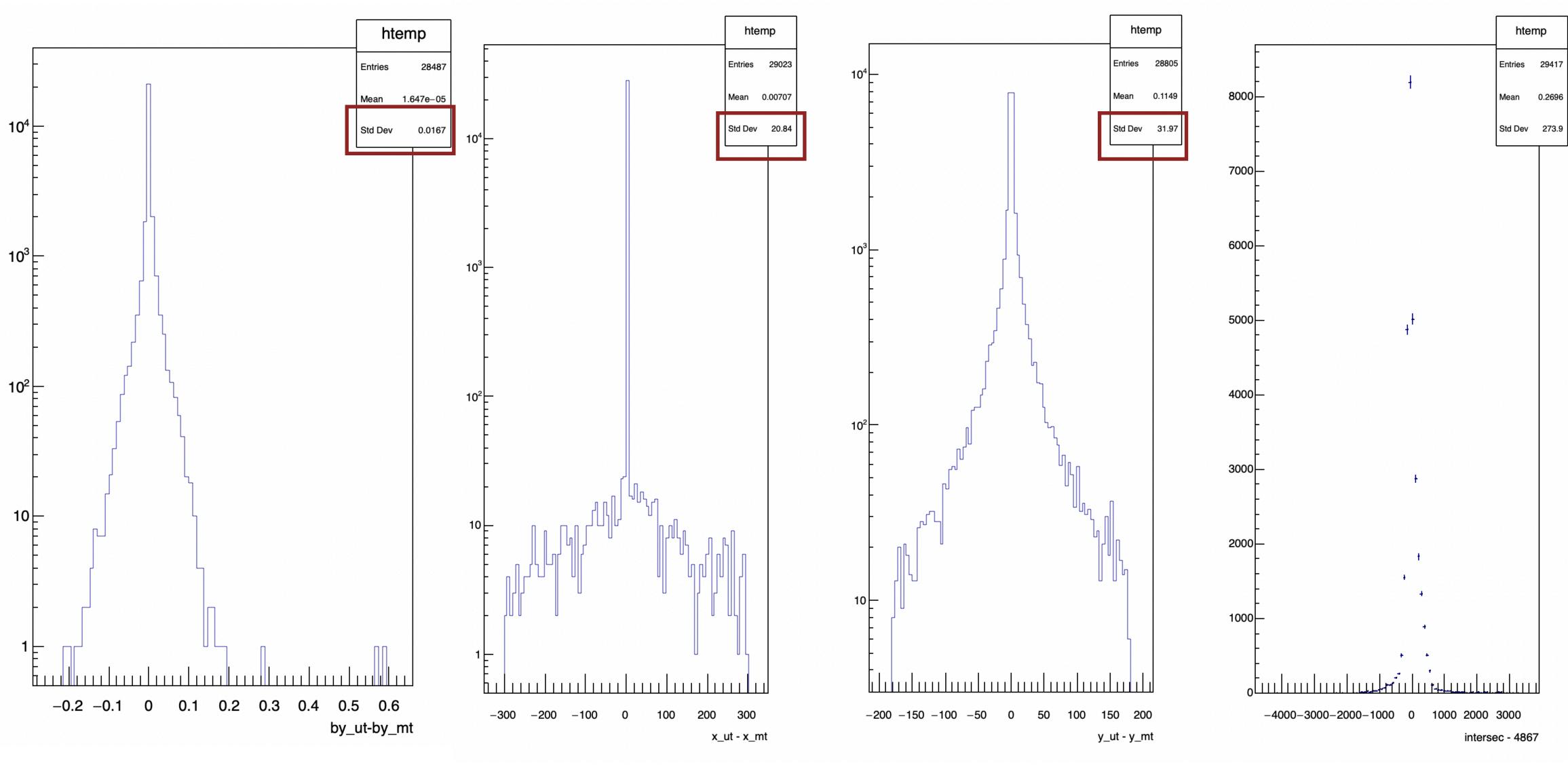
- Same strategy used for the two algorithms:
  - \* « cheated » algorithms are used for both the VeloTracks and UTTracks:
    - i.e. tracks are reconstructed with hits belonging to the same MCTrack.
    - Fit model is a straight line for Velo (x and y component) and a pol2 (pol1) for the UT x-component (y-component).
    - Inefficiency comes from fit instability and a  $\chi^2$ /ndof cut at 100.
  - TTracks: realistic algorithms written by Renato, fitting the x-component (y-component) with a pol2 (poll). \*
  - \* Velo-UT (UT-MT) tracks are extrapolated to the point of convergence

\* The value 
$$\chi^2 = \frac{(x_{up} - x_{down})^2}{\sigma_x} + \frac{(y_{up} - y_{down})^2}{\sigma_y} + \frac{(by_{up} - by_{down})^2}{\sigma_{by}} (+ \frac{(bx_{up} - bx_{down})^2}{\sigma_{bx}})$$

- Last bit is for VELO-UT only
- \* Track combination with the lowest  $\chi^2$  is kept for the matching.

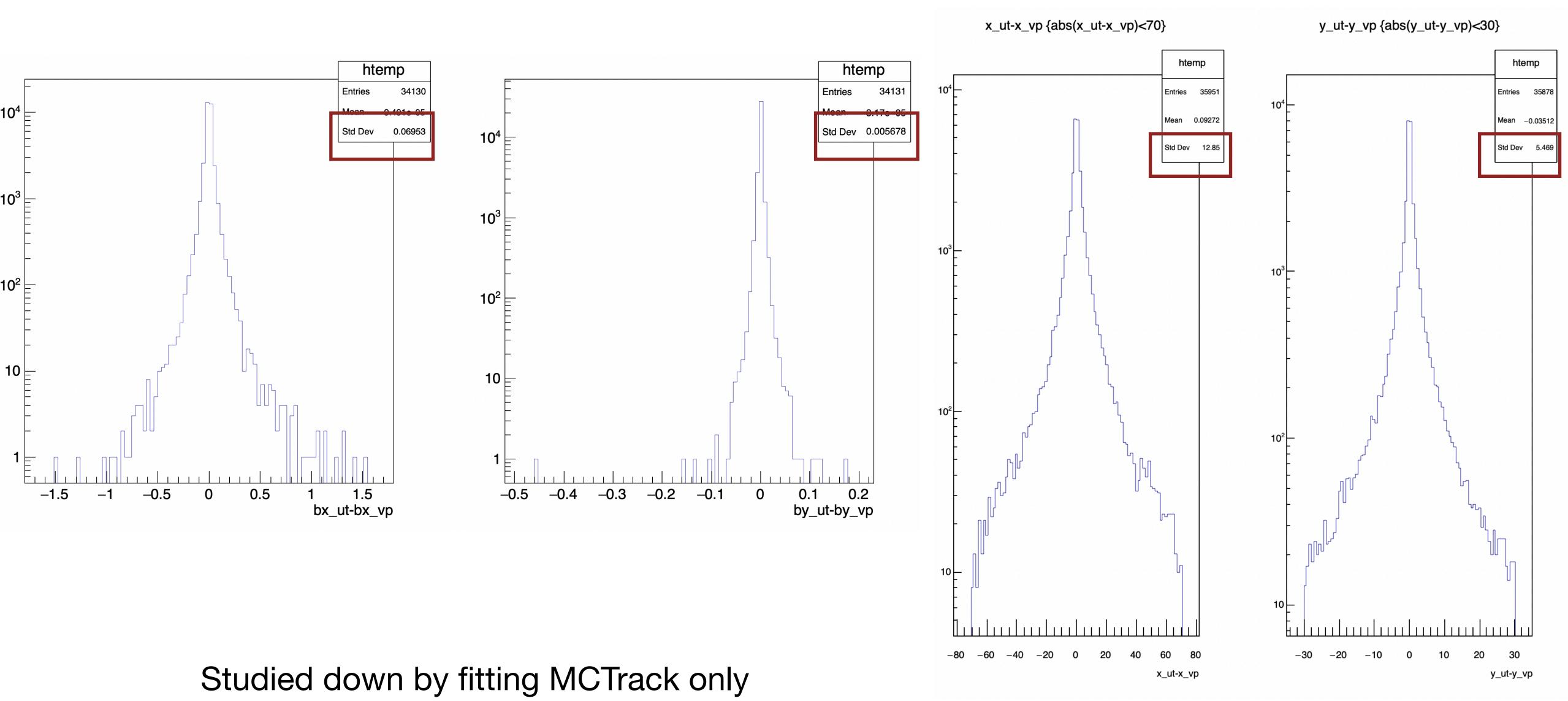


## MT-UT - extrapolation constraints



Studied down by fitting MCTrack only

## VeloUT - extrapolation constraints



#### « Tracklets » reference

	Velo Tracks PrChecker		ĺ	TTracks Tracks PrCheck	ker	i
Category	# Recoed / # Recoble   Efficiency (	%)   Clones (%)	Category	# Recoed / # Recoble	Efficiency (%)	Clones (%)
<pre>HasVelo (all) (!e) HasVelo (all) HasVelo (all), eta&gt;0 HasVelo (all), eta[2,5] HasVelo (all), eta[2,5], p &gt; 5 GeV Long eta[2,5] Long eta[2,5], p&gt;5GeV LongUT (all) LongUT eta[2,5], p&gt;5GeV (!e) Long (Pix-Pix) (!e) Long (Pix-Pix), eta[2,5], p&gt;5GeV (!e) LongUT (Pix-Pix), eta[2,5], p&gt;5GeV HasVeloUT (all) (!e) HasVeloUT (all) HasVeloUT (all), eta[2,5], p &gt; 5 GeV</pre>	$ \begin{bmatrix} 27505 & / & 30139 & 91.26 & \\ 25407 & / & 26268 & 96.72 & \\ 16847 & / & 18956 & 88.87 & \\ 10658 & / & 11183 & 95.31 & \\ 12343 & / & 13938 & 88.56 & \\ 6091 & / & 6139 & 99.22 & \\ 6897 & / & 6994 & 98.61 & \\ 4382 & / & 4419 & 99.16 & \\ 6958 & / & 7055 & 98.63 & \\ 6795 & / & 6891 & 98.61 & \\ 4299 & / & 4335 & 99.17 & \\ 3913 & / & 3956 & 98.91 & \\ 3522 & / & 3565 & 98.79 & \\ 2992 & / & 3016 & 99.20 & \\ 3460 & / & 3502 & 98.80 & \\ 3435 & / & 3477 & 98.79 & \\ 2915 & / & 2938 & 99.22 & \\ 11504 & / & 12648 & 90.96 & \\ 10224 & / & 10683 & 95.70 & \\ 10839 & / & 11939 & 90.79 & \\ 5323 & / & 5365 & 99.22 & \\ 5323 & / & 5365 & 99.22 & \\ \end{bmatrix} $	<pre>0 (0.00 %) [ nTotMatch = 27505 ]) 0 (0.00 %) [ nTotMatch = 25407 ]) 0 (0.00 %) [ nTotMatch = 16847 ]) 0 (0.00 %) [ nTotMatch = 10658 ]) 0 (0.00 %) [ nTotMatch = 12343 ]) 0 (0.00 %) [ nTotMatch = 6091 ]) 0 (0.00 %) [ nTotMatch = 6897 ]) 0 (0.00 %) [ nTotMatch = 6897 ]) 0 (0.00 %) [ nTotMatch = 4382 ]) 0 (0.00 %) [ nTotMatch = 4382 ]) 0 (0.00 %) [ nTotMatch = 5958 ]) 0 (0.00 %) [ nTotMatch = 3913 ]) 0 (0.00 %) [ nTotMatch = 3913 ]) 0 (0.00 %) [ nTotMatch = 3522 ]) 0 (0.00 %) [ nTotMatch = 3435 ]) 0 (0.00 %) [ nTotMatch = 3435 ]) 0 (0.00 %) [ nTotMatch = 11504 ]) 0 (0.00 %) [ nTotMatch = 10224 ]) 0 (0.00 %) [ nTotMatch = 10839 ]) 0 (0.00 %) [ nTotMatch = 5323 ])</pre>	<pre>HasMT (all) HasMT (pix-pix) HasMT (fib-fib) HasMT (pix-fib) Down (all) Down Exclusive (all) Long eta[2,5] Long eta[2,5], p&gt;5GeV LongUT (all) LongUT eta[2,5], p&gt;5GeV (!e) Long eta[2,5], p&gt;5GeV (!e) Long eta[2,5], p&gt;5GeV (!e) Long (Pix-Pix) (!e) Long (Pix-Pix), eta[2,5] (!e) Long (Pix-Pix), eta[2,5], p&gt;5GeV (!e) Long (Fib-Fib) (!e) Long (Fib-Fib), eta[2,5], p&gt;5GeV (!e) Long (Fib-Fib), eta[2,5], p&gt;5GeV (!e) Long (Fib-Fib), eta[2,5], p&gt;5GeV (!e) Long (Fib-Fib), eta[2,5], p&gt;5GeV (!e) Long (Pix-Fib), eta[2,5], p&gt;5GeV</pre>	9282 / 17786 5753 / 9803 3483 / 5435 46 / 2554 6917 / 8901 1207 / 1846 6196 / 7643 5695 / 6994 3967 / 4419 5710 / 7055 5606 / 6891 3890 / 4335 5391 / 6540 3763 / 4165 3673 / 3956 326 / 3565 2932 / 3016 2175 / 2579 2048 / 2374 822 / 890 17 / 615 17 / 601 9 / 259	52.19 %         58.69 %         64.08 %         1.80 %         77.71 %         65.38 %         81.07 %         81.43 %         89.77 %         80.94 %         81.35 %         89.73 %         90.35 %         92.85 %         93.30 %         97.21 %         84.34 %         86.27 %         92.36 %         2.76 %         2.83 %         3.47 %	<pre>4 (0.04 %) [ nTotMatch = 9284 ])   2 (0.03 %) [ nTotMatch = 5754 ]) 2 (0.06 %) [ nTotMatch = 3484 ]) 0 (0.00 %) [ nTotMatch = 46 ]) 0 (0.00 %) [ nTotMatch = 6917 ]) 0 (0.00 %) [ nTotMatch = 6196 ]) 0 (0.00 %) [ nTotMatch = 6196 ]) 0 (0.00 %) [ nTotMatch = 5695 ]) 0 (0.00 %) [ nTotMatch = 3967 ]) 0 (0.00 %) [ nTotMatch = 3967 ]) 0 (0.00 %) [ nTotMatch = 5606 ]) 0 (0.00 %) [ nTotMatch = 5606 ]) 0 (0.00 %) [ nTotMatch = 3763 ]) 0 (0.00 %) [ nTotMatch = 3763 ]) 0 (0.00 %) [ nTotMatch = 3763 ]) 0 (0.00 %) [ nTotMatch = 3673 ]) 0 (0.00 %) [ nTotMatch = 2932 ]) 0 (0.00 %) [ nTotMatch = 2048 ]) 0 (0.00 %) [ nTotMatch = 17 ])</pre>
+   	UT Tracks PrChecker	+   	<pre>[ (!e) LongUT eta[2,5] [ (!e) LongUT eta[2,5], p&gt;5GeV</pre>	5306 / 6442   3690 / 4086	82.37 % 90.31 %	0 (0.00 %) [ nTotMatch = 5306 ])   0 (0.00 %) [ nTotMatch = 3690 ])
<pre>Category HasVelo (all) (!e) HasVelo (all) HasVelo (all), eta&gt;0 HasVelo (all), eta&lt;0 HasVelo (all), eta[2,5] HasVelo (all), eta[2,5], p &gt; 5 GeV HasUT (all) (!e) HasUT (all) HasUT (all), eta[2,5]</pre>	<pre># Recoed / # Recoble   Efficiend 10849 / 30139   36.00 9811 / 26268   37.35 10849 / 18956   57.23 0 / 11183   0.00 10434 / 13938   74.86 5342 / 6139   87.02 15397 / 26244   58.67 12218 / 14366   85.05 14191 / 20971   67.67</pre>	%         0 (0.00 %) [ nTotMatch = 10849 ])           %         0 (0.00 %) [ nTotMatch = 9811 ])           %         0 (0.00 %) [ nTotMatch = 10849 ])           %         0 (0.00 %) [ nTotMatch = 10849 ])           %         0 (0.00 %) [ nTotMatch = 10434 ])           %         0 (0.00 %) [ nTotMatch = 5342 ])           %         0 (0.00 %) [ nTotMatch = 15397 ])           %         0 (0.00 %) [ nTotMatch = 12218 ])           %         0 (0.00 %) [ nTotMatch = 14191 ])	<pre>(!e) LongUT (Pix-Pix) (!e) LongUT (Pix-Pix), eta[2,5] (!e) LongUT (Pix-Pix), eta[2,5], p&gt;5GeV (!e) LongUT (Fib-Fib) (!e) LongUT (Fib-Fib), eta[2,5] (!e) LongUT (Fib-Fib), eta[2,5], p&gt;5GeV (!e) LongUT (Pix-Fib) (!e) LongUT (Pix-Fib), eta[2,5] (!e) LongUT (Pix-Fib), eta[2,5], p&gt;5GeV Decay Down_Exclusive_tight eta[2,5], p&gt;5GeV</pre>	3258 / 3502         3245 / 3477         2859 / 2938         2134 / 2496         2044 / 2365         822 / 889         17 / 606         9 / 259         188 / 220         3737 / 4139	93.03 %   93.33 %   97.31 %   85.50 %   86.43 %   92.46 %   2.81 %   2.83 %   3.47 %   85.45 %   90.29 %	<pre>0 (0.00 %) [ nTotMatch = 3258 ])   0 (0.00 %) [ nTotMatch = 3245 ])   0 (0.00 %) [ nTotMatch = 2859 ])   0 (0.00 %) [ nTotMatch = 2134 ])   0 (0.00 %) [ nTotMatch = 2044 ])   0 (0.00 %) [ nTotMatch = 822 ])   0 (0.00 %) [ nTotMatch = 17 ])   0 (0.00 %) [ nTotMatch = 3737 ])   0 (0.00 %) [ nTotMatch = 3737 ])   </pre>
<pre>HasUT (all), eta[2,5], p &gt; 5 GeV HasVeloUT (all) (!e) HasVeloUT (all) HasVeloUT (all), eta[2,5] HasVeloUT (all), eta[2,5], p &gt; 5 GeV Long (all) Long eta[2,5] Long eta[2,5], p&gt;5GeV LongUT (all)</pre>	6196 / 6242       99.26         10849 / 12648       85.78         9811 / 10683       91.84         10434 / 11939       87.39         5342 / 5365       99.57         6995 / 7643       91.52         6844 / 6994       97.86         4328 / 4419       97.94         6995 / 7055       99.15	%       0 (0.00 %) [ nTotMatch = 10849 ])         %       0 (0.00 %) [ nTotMatch = 9811 ])         %       0 (0.00 %) [ nTotMatch = 10434 ])         %       0 (0.00 %) [ nTotMatch = 10434 ])         %       0 (0.00 %) [ nTotMatch = 6844 ])         %       0 (0.00 %) [ nTotMatch = 6844 ])         %       0 (0.00 %) [ nTotMatch = 4328 ])	<ul> <li>These numbers are</li> <li>Deconstructible are</li> </ul>			
LongUT eta[2,5] LongUT eta[2,5], p>5GeV (!e) Long (Pix-Pix) (!e) Long (Pix-Pix), eta[2,5] (!e) Long (Pix-Pix), eta[2,5], p>5GeV (!e) LongUT (Pix-Pix), eta[2,5] (!e) LongUT (Pix-Pix), eta[2,5], p>5GeV Decay Down_Exclusive_tight eta[2,5], p> Decay Down_tight eta[2,5], p>5GeV	6844 / 6891       99.32         4328 / 4335       99.84         3497 / 3956       88.40         3472 / 3565       97.39         2936 / 3016       97.35         3497 / 3502       99.86         3472 / 3477       99.86         2936 / 2938       99.93	%       0 (0.00 %) [ nTotMatch = 6844 ])         %       0 (0.00 %) [ nTotMatch = 4328 ])         %       0 (0.00 %) [ nTotMatch = 3497 ])         %       0 (0.00 %) [ nTotMatch = 3472 ])         %       0 (0.00 %) [ nTotMatch = 2936 ])         %       0 (0.00 %) [ nTotMatch = 3497 ])         %       0 (0.00 %) [ nTotMatch = 3497 ])         %       0 (0.00 %) [ nTotMatch = 3497 ])         %       0 (0.00 %) [ nTotMatch = 3497 ])         %       0 (0.00 %) [ nTotMatch = 3497 ])         %       0 (0.00 %) [ nTotMatch = 2936 ])         %       0 (0.00 %) [ nTotMatch = 2936 ])	* Reconstructible cr	"ha "ha		: 3, : 3, StationMin": 1, StationMin": 1



# Velo-UT/UT-MT matching - results

- Results from <u>Velo-UT matching</u>:
  - 78% efficiency for tracks flag « HasVeloUT ».
- Results from <u>UT-MT matching</u>:
  - 79% efficiency for the « decay » category (i.e. long lived particle).
- \* High ghost rate for both algorithms:
  - To be studied.

<u>Category</u>	# Recoed / # Recoble	Efficiency (%)	Clones (%)		
<pre>HasVelo (all) (!e) HasVelo (all) HasVelo (all), eta&gt;0 HasVelo (all), eta&lt;0 HasVelo (all), eta[2,5] HasVelo (all), eta[2,5], p &gt; 5 GeV HasUT (all) (!e) HasUT (all) HasUT (all), eta[2,5] HasUT (all), eta[2,5]</pre>	6479 / 30139 6306 / 26268 6479 / 18956 0 / 11183 6189 / 13938 4168 / 6139 6479 / 26244 6306 / 14366 6189 / 20971	21.50 % 24.01 % 34.18 % 0.00 % 44.40 % 67.89 % 24.69 % 43.90 % 29.51 %	$ \begin{bmatrix} 0 & (0.00 \ \%) & [ & nTotMatch = 6479 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6306 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6479 \ ] ) \\ & & nan \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6189 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 4168 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6479 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6479 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6306 \ ] ) \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6189 \ ] ] \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6189 \ ] ] \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6189 \ ] ] \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6189 \ ] ] \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6180 \ ] \\ 0 & (0.00 \ \%) & [ & nTotMatch = 6180 \ ] \\ 0 & (0.00 \$		
HasVeloUT (all) (!e) HasVeloUT (all) HasVeloUT (all), eta[2,5] HasVeloUT (all), eta[2,5], p > 5 GeV	6479 / 12648 6306 / 10683 6189 / 11939 4168 / 5365	51.23 % 59.03 % 51.84 % 77.69 %	0 (0.00 %) [ nTotMatch = 6479 ])     0 (0.00 %) [ nTotMatch = 6306 ])     0 (0.00 %) [ nTotMatch = 6189 ])     0 (0.00 %) [ nTotMatch = 4168 ])		
<pre>(!e) LongUT eta[2,5] (!e) LongUT eta[2,5], p&gt;5GeV (!e) LongUT (Pix-Pix), eta[2,5] (!e) LongUT (Pix-Pix), eta[2,5], p&gt;5GeV (!e) LongUT (Fib-Fib) (!e) LongUT (Fib-Fib), eta[2,5] (!e) LongUT (Fib-Fib), eta[2,5], p&gt;5GeV (!e) LongUT (Pix-Fib), eta[2,5] (!e) LongUT (Pix-Fib), eta[2,5] (!e) LongUT (Pix-Fib), eta[2,5]</pre>	4437       0442         3245       4086         2389       3502         2378       3477         2200       2938         1879       2496         1771       2365         834       889         314       606         308       600         211       259	09.19 %         79.42 %         68.22 %         68.39 %         74.88 %         75.28 %         93.81 %         51.82 %         51.33 %         81.47 %	<pre>0 (0.00 %) [ nTotMatch = 4437 ]) 0 (0.00 %) [ nTotMatch = 3245 ]) 0 (0.00 %) [ nTotMatch = 2389 ]) 0 (0.00 %) [ nTotMatch = 2378 ]) 0 (0.00 %) [ nTotMatch = 2200 ]) 0 (0.00 %) [ nTotMatch = 1879 ]) 0 (0.00 %) [ nTotMatch = 1771 ]) 0 (0.00 %) [ nTotMatch = 314 ]) 0 (0.00 %) [ nTotMatch = 308 ]) 0 (0.00 %) [ nTotMatch = 211 ])</pre>		
   # Events   16 +	   # Recoed Tracks   24866	   # Fake Tracks   18387	Ghost Rate     73.94 %   		

+DownTracks Tracks PrChecker				
Category	# Recoed / # Recoble	Efficiency (%)	Clones (%)	
Long (all) Long eta[2,5] Long eta[2,5], p>5GeV LongUT (all) LongUT eta[2,5] LongUT eta[2,5]	4174 / 7643 4103 / 6994 3323 / 4419 4174 / 7055 4103 / 6891 3323 / 1335	54.61 %   58.66 %   75.20 %   59.16 %   59.54 % 76.66 %	<pre>  0 (0.00 %) [ nTotMatch = 4174 ])   0 (0.00 %) [ nTotMatch = 4103 ])   0 (0.00 %) [ nTotMatch = 3323 ])   0 (0.00 %) [ nTotMatch = 4174 ])   0 (0.00 %) [ nTotMatch = 4103 ])   0 (0.00 %) [ nTotMatch = 2323 ])</pre>	
Down_loose (all) Down_loose eta[2,5] Down_loose eta[2,5], p>5GeV Down_tight (all) Down_tight eta[2,5] Down_tight eta[2,5], p>5GeV Decay Down_Exclusive_tight eta[2,5], p>5GeV Decay Down_tight eta[2,5], p>5GeV	4923       9181         4821       8894         3778       5280         4921       8901         4819       8617         3777       5052         171       220         3246       4139	53.62 % 54.21 % 55.29 % 55.92 % 74.76 % 77.73 % 78.42 %	<pre>0 (0.00 %) [ nTotMatch = 4923 ]) 0 (0.00 %) [ nTotMatch = 4821 ]) 0 (0.00 %) [ nTotMatch = 3778 ]) 0 (0.00 %) [ nTotMatch = 4921 ]) 0 (0.00 %) [ nTotMatch = 4819 ]) 0 (0.00 %) [ nTotMatch = 3777 ]) 0 (0.00 %) [ nTotMatch = 171 ]) 0 (0.00 %) [ nTotMatch = 3246 ])</pre>	
<pre>(!e) LongUT (Pix-Pix) ( !e) LongUT (Pix-Pix), eta[2,5] ( !e) LongUT (Pix-Pix), eta[2,5], p&gt;5GeV ( !e) LongUT (Fib-Fib) ( !e) LongUT (Fib-Fib), eta[2,5] ( !e) LongUT (Fib-Fib), eta[2,5], p&gt;5GeV</pre>	2671 / 3302 2671 / 3477 2444 / 2938 1349 / 2496 1284 / 2365 755 / 889	76.44 %   76.82 %   83.19 %   54.05 %   54.29 %   84.93 %	0 (0.00 %) [ nTotMatch = 2077 ]) 0 (0.00 %) [ nTotMatch = 2671 ]) 0 (0.00 %) [ nTotMatch = 2444 ]) 0 (0.00 %) [ nTotMatch = 1349 ]) 0 (0.00 %) [ nTotMatch = 1284 ]) 0 (0.00 %) [ nTotMatch = 755 ])	
# Events   16 +	# Recoed Tracks   13504	# Fake Tracks   8578	Ghost Rate 63.52 %	

## Outlooks

- \* Points to take home:
  - **First running algorithms** for Velo-UT/UT-MT matching in Pr\_python framework.
    - Good efficiency found for both algorithms (efficiency  $\sim$  79%). \*
    - Significant Ghost Rate (> 60%) to be investigated. \*

#### \* Next steps:

- Complete the « UT matching » algorithm family with the LongTrack in Pr\_python.
  - Compare with the early results with the « cheated » results shown before. \*
- Complete the performance studies for the various scoping scenarios.
  - Improve the algorithms (use realistic ones, better fit strategy? using momentum resolution ?). \*
  - Mixe MT and UT geometry.
  - Adding PbPb studies. \*
- Finalize the number with Run 5 simulations.

#### Ideas and suggestions are welcomed

	VELO	UT	MT	VELO-UT
HasVeloUT (all), eta[2,5], p > 5  GeV	99 %	99 %	_	78 %
Decay Down_tight eta[2,5], p>5GeV	-	99 %	90 %	—



