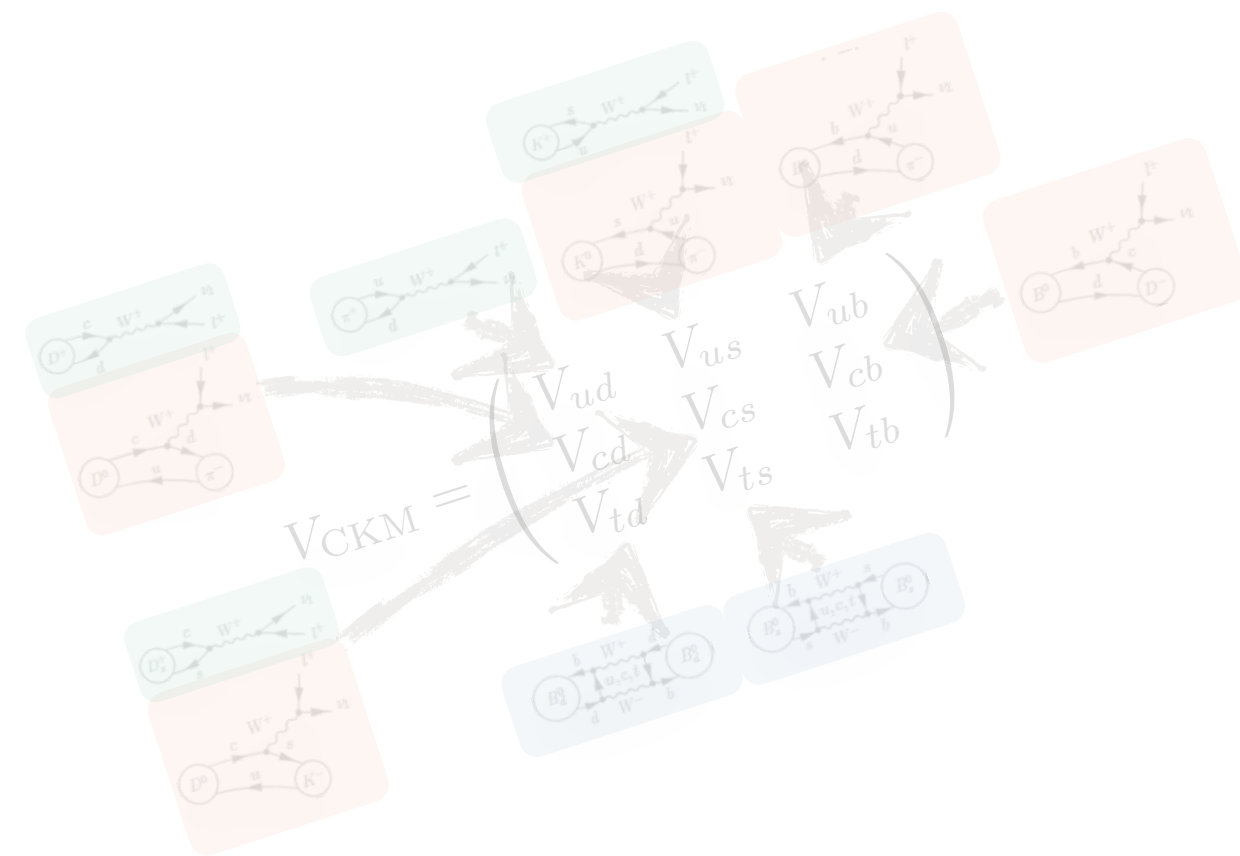


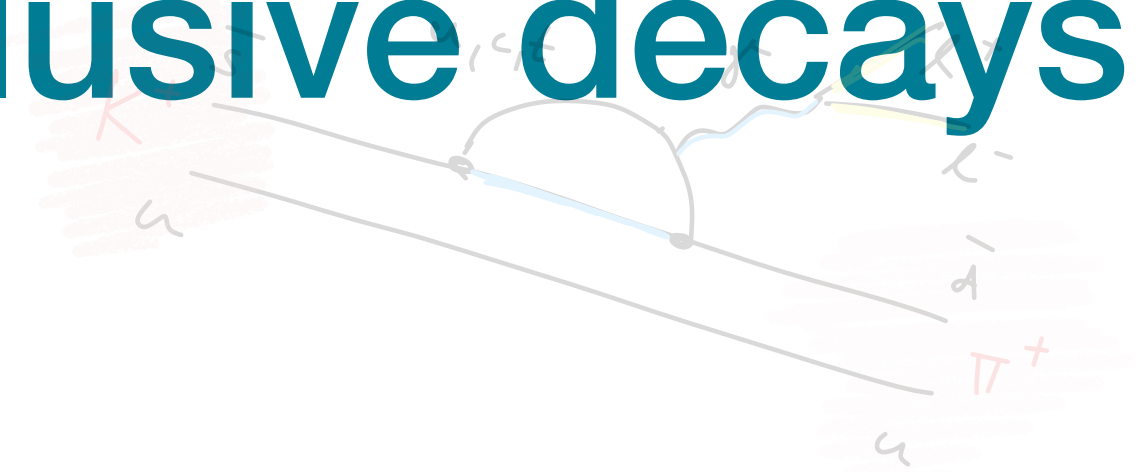
# Model-independent fits to experimental and lattice data for $B \rightarrow D^* \ell \bar{\nu}$ (and other) exclusive decays



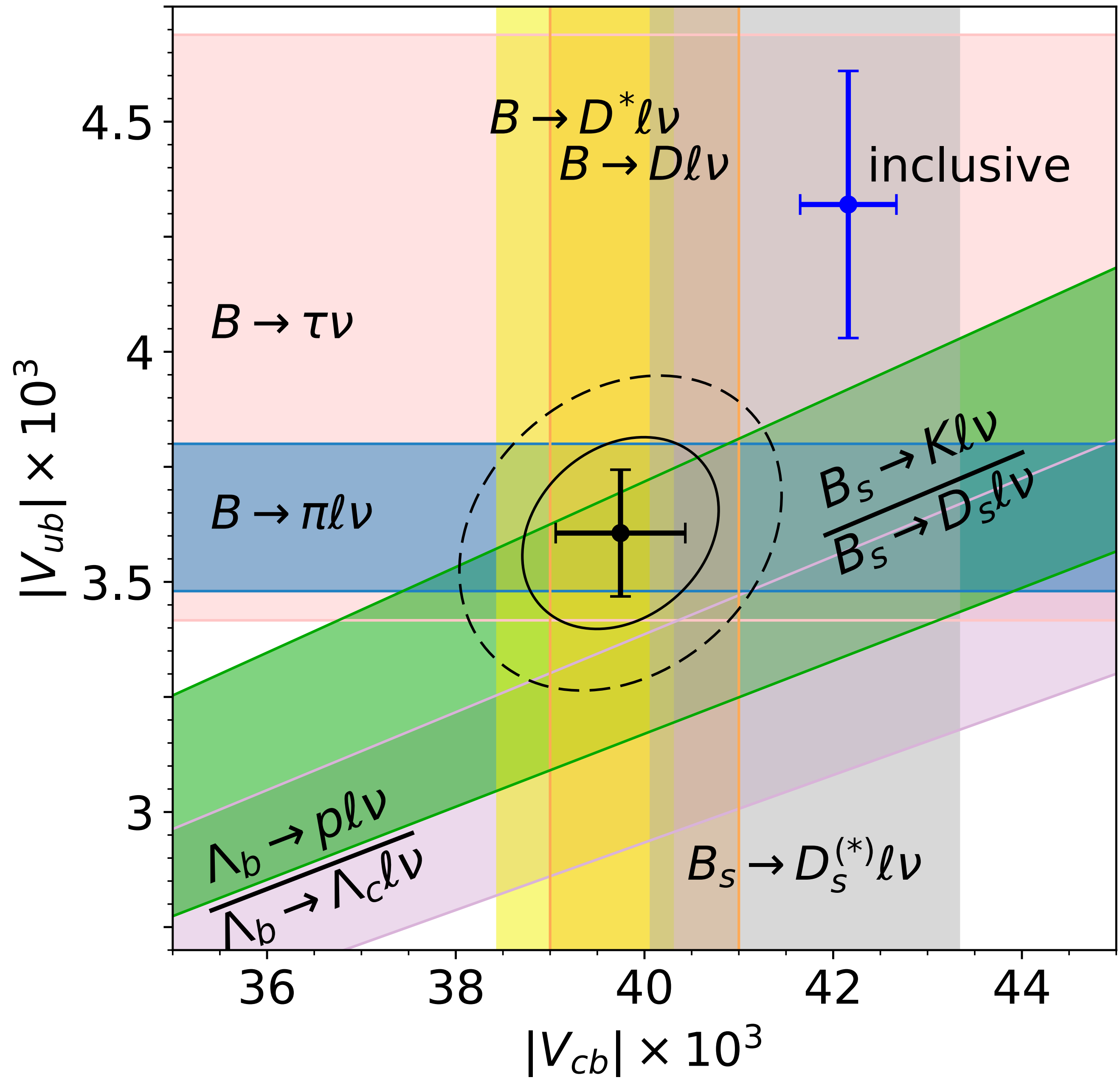
**Challenges in Semileptonic Decays**  
Campus Akademie, Wien

23.09.2024

**Andreas Jüttner**



FLAG 2023



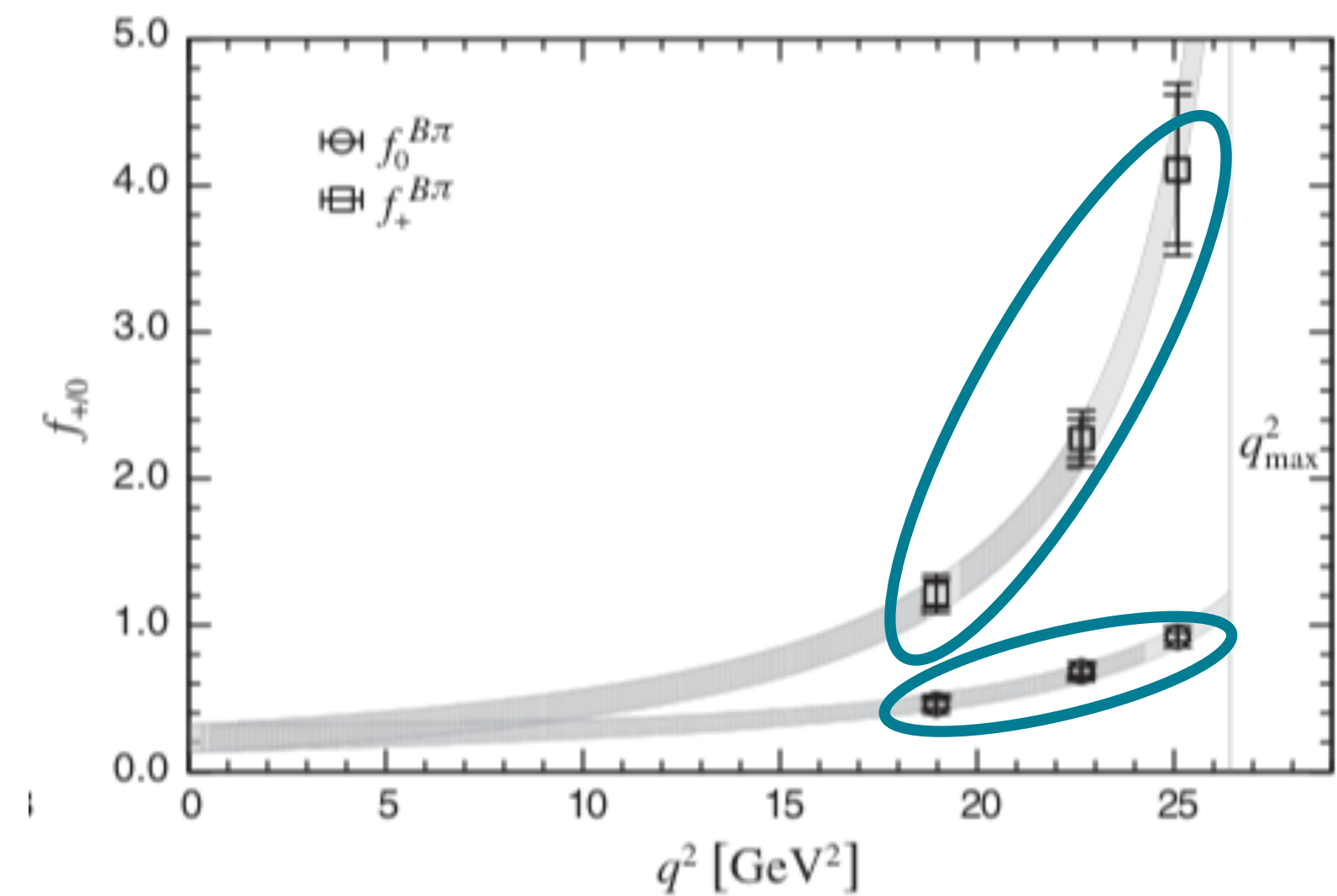
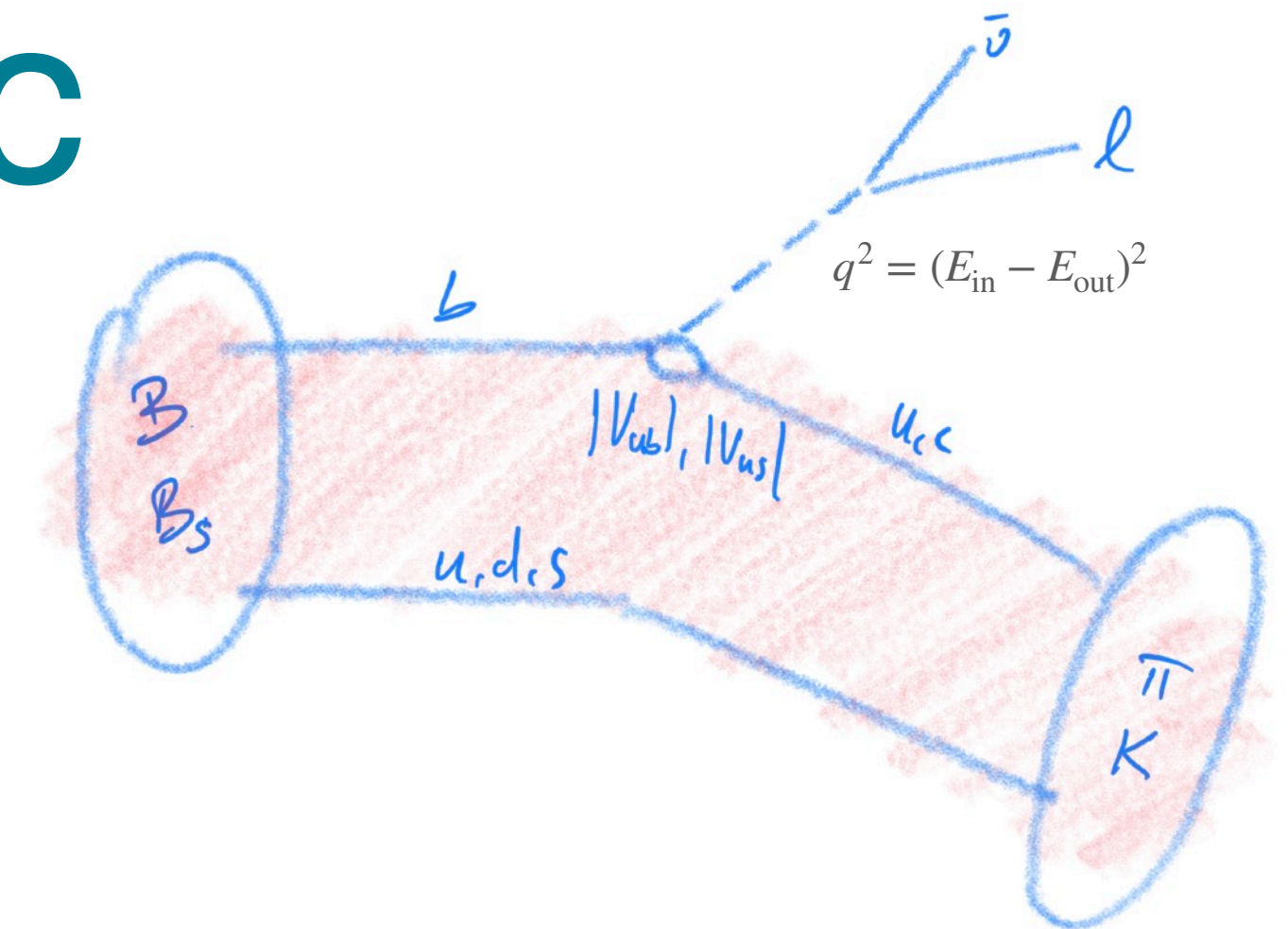
# Intro: exclusive semileptonic meson decay

**Objective:** obtain model-independent theory prediction over entire kinematical range

**Input:**

- sum rules:  $q^2 \approx 0$
- lattice QCD:
  - finite lattice spacing (UV)
  - finite volume (IR)
  - worsening signal-to-noise

limited kinematic reach  
 ↓  
 need extra/interpolation



RBC/UKQCD PRD 91, 074510 (2015)

# Form-factor parameterisation

$$f_X(q_i^2) = \frac{1}{B_X(q_i^2)\phi_X(q_i^2, t_0)} \sum_{n=0}^{K_X-1} a_{X,n} z(q_i^2)^n \quad \text{unitarity constraint: } |\mathbf{a}_X|^2 \leq 1$$

Boyd, Grinstein, Lebed, [PRL 74 \(1995\)](#)

**Determine all  $a_{X,n}$  from finite set of theory data**

- Frequentist fit:**
- $N_{\text{dof}} = N_{\text{data}} - K_X \geq 1$   
→ in practice truncation  $K$  at low order
  - induced systematic difficult to estimate
  - meaning of Frequentist with unitarity constraint?

- Bayesian fit:**
- fit including higher order  $z$  expansion meaningful
  - unitarity regulates and controls higher-order coefficients [[Flynn, AJ, Tsang JHEP 12 \(2023\) 175](#)]
  - well-defined meaning of unitarity constraint

**Recommendation: Combined Frequentist + Bayesian perspective**

# BGL — conventions

$$f_X(q_i^2) = \frac{1}{B_X(q_i^2)\phi_X(q_i^2, t_0)} \sum_{n=0}^{K_X-1} a_{X,n} z(q_i^2)^n = Z_{XX,in} a_{X,n} \quad (Z \text{ is } N_{\text{data}} \times K_X \text{ matrix})$$

E.g.  $P \rightarrow P$  transition:

**Input (e.g. lattice ff):**  $\mathbf{f}^T = (\mathbf{f}_+^T, \mathbf{f}_0^T) = (f_+(q_0^2), f_+(q_1^2), \dots, f_+(q_{N_+-1}^2), f_0(q_0^2), f_0(q_1^2), \dots, f_0(q_{N_0-1}^2))$

**Output (BGL params):**  $\mathbf{a}^T = (\mathbf{a}_+^T, \mathbf{a}_0^T) = (a_{+,0}, a_{+,1}, a_{+,2}, \dots, a_{+,K_+-1}, a_{0,1}, \dots, a_{0,K_0-1})$

# BGL — frequentist fit with kinematical constraint

Flynn, AJ, Tsang, [JHEP 12 \(2023\) 175](#)

Frequentist fit

$$\chi^2(\mathbf{a}, \mathbf{f}) = [\mathbf{f} - \mathbf{Z}\mathbf{a}]^T C_{\mathbf{f}}^{-1} [\mathbf{f} - \mathbf{Z}\mathbf{a}]$$

$$f_X(q_i^2) = Z_{XX,in} a_{X,n}$$

For combined fit over  $f_+(q^2)$  and  $f_0(q^2)$  with constraint  $f_+(0) = f_0(0)$ :

$$Z = \begin{pmatrix} Z_{++} & Z_{+0} \\ Z_{0+} & Z_{00} \end{pmatrix}$$

$$a_{0,0} = B_0(0)\phi_0(0, t_0)f_+(0) - \sum_{k=1}^{K_0-1} a_{0,k}z^k(0)$$

**Solution:**

$$\mathbf{a} = (Z^T C_{\mathbf{f}}^{-1} Z)^{-1} Z C_{\mathbf{f}}^{-1} \mathbf{f}$$

$$C_{\mathbf{a}} = (Z^T C_{\mathbf{f}}^{-1} Z)^{-1}$$

# Bayesian form-factor fit

Flynn, AJ, Tsang, [JHEP 12 \(2023\) 175](#)

Compute BGL parameters as expectation values  $\langle g(\mathbf{a}) \rangle = \mathcal{N} \int d\mathbf{a} g(\mathbf{a}) \pi(\mathbf{a} | \mathbf{f}, C_{\mathbf{f}}) \pi_{\mathbf{a}}$

where *probability for parameters given model and data (assume input Gaussian)*

$$\pi(\mathbf{a} | \mathbf{f}, C_{\mathbf{f}}) \propto \exp\left(-\frac{1}{2}\chi^2(\mathbf{a}, \mathbf{f})\right) \quad \text{where} \quad \chi^2(\mathbf{a}, \mathbf{f}) = [\mathbf{f} - Z\mathbf{a}]^T C_{\mathbf{f}}^{-1} [\mathbf{f} - Z\mathbf{a}]$$

where *prior knowledge is **only** QFT unitarity constraint (flat prior for BGL params):*

$$\pi_{\mathbf{a}} \propto \theta\left(1 - |\mathbf{a}_X|^2\right)$$

**In practice MC integration:** draw samples for  $\mathbf{a}$  from multivariate normal distribution and drop samples not compatible with unitarity

# Example 1: $B_s \rightarrow K\ell\nu$ — fit to HPQCD 14

HPQCD 14

PRD 90 (2014) 054506

HPQCD 14 —  $\mathbf{a}_+$

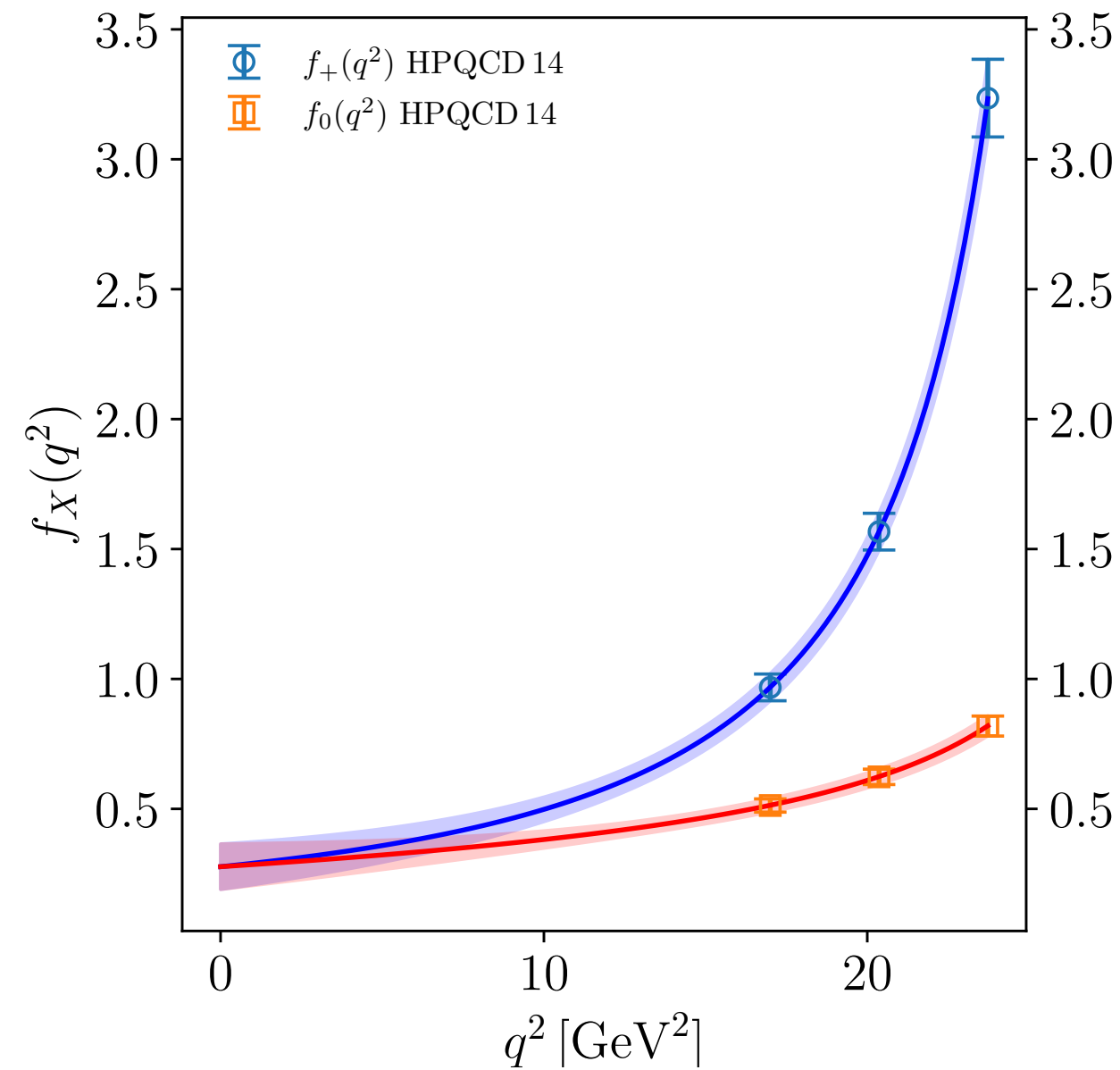
$K_+$	$K_0$	$a_{+,0}$	$a_{+,1}$	$a_{+,2}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	0.0270(13)	-0.0792(50)	-	0.03	2.93	3
2	3	0.0273(13)	-0.0760(63)	-	0.02	4.06	2
3	2	0.0257(14)	-0.0805(50)	0.068(31)	0.15	1.89	2
3	3	0.0262(14)	-0.0727(64)	0.096(34)	0.97	0.00	1

HPQCD 14 —  $\mathbf{a}_+$

Flynn, AJ, Tsang, JHEP 12 (2023) 175

$K_+$	$K_0$	$a_{+,0}$	$a_{+,1}$	$a_{+,2}$	$a_{+,3}$	$a_{+,4}$	$a_{+,5}$	$a_{+,6}$	$a_{+,7}$	$a_{+,8}$	$a_{+,9}$
2	2	0.0270(12)	-0.0792(49)	-	-	-	-	-	-	-	-
2	3	0.0273(13)	-0.0761(63)	-	-	-	-	-	-	-	-
3	2	0.0257(14)	-0.0805(49)	0.069(30)	-	-	-	-	-	-	-
3	3	0.0261(14)	-0.0728(64)	0.096(34)	-	-	-	-	-	-	-
3	4	0.0261(14)	-0.0728(76)	0.096(39)	-	-	-	-	-	-	-
4	3	0.0261(14)	-0.0729(68)	0.096(35)	0.008(90)	-	-	-	-	-	-
4	4	0.0261(14)	-0.0730(77)	0.091(62)	-0.02(20)	-	-	-	-	-	-
5	5	0.0262(15)	-0.0735(79)	0.084(67)	-0.03(19)	0.03(68)	-	-	-	-	-
6	6	0.0261(14)	-0.0735(79)	0.086(69)	-0.03(19)	-0.00(64)	0.01(65)	-	-	-	-
7	7	0.0262(14)	-0.0732(84)	0.088(69)	-0.02(18)	0.01(65)	0.02(73)	-0.03(70)	-	-	-
8	8	0.0261(14)	-0.0732(80)	0.089(72)	-0.02(18)	-0.00(66)	0.03(86)	-0.04(90)	0.03(73)	-	-
9	9	0.0261(14)	-0.0729(84)	0.095(75)	-0.02(19)	-0.04(68)	0.1(1.0)	-0.1(1.2)	0.1(1.1)	-0.06(79)	-
10	10	0.0261(14)	-0.0726(89)	0.101(79)	-0.01(20)	-0.09(73)	0.2(1.3)	-0.3(1.7)	0.2(1.8)	-0.2(1.4)	0.08(87)

Bayesian



HPQCD 14 —  $\mathbf{a}_0$

$K_+$	$K_0$	$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	0.0883(44)	-0.250(17)	-	0.03	2.93	3
2	3	0.0880(44)	-0.242(19)	0.053(65)	0.02	4.06	2
3	2	0.0907(45)	-0.240(17)	-	0.15	1.89	2
3	3	0.0908(46)	-0.215(22)	0.138(71)	0.97	0.00	1

results stable as truncation relaxed

HPQCD 14 —  $\mathbf{a}_0$

$K_+$	$K_0$	$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$	$a_{0,4}$	$a_{0,5}$	$a_{0,6}$	$a_{0,7}$	$a_{0,8}$	$a_{0,9}$
2	2	0.0883(44)	-0.250(17)	-	-	-	-	-	-	-	-
2	3	0.0880(44)	-0.243(19)	0.052(65)	-	-	-	-	-	-	-
3	2	0.0907(46)	-0.240(17)	-	-	-	-	-	-	-	-
3	3	0.0906(44)	-0.215(22)	0.137(73)	-	-	-	-	-	-	-
3	4	0.0907(47)	-0.215(22)	0.14(11)	-0.01(31)	-	-	-	-	-	-
4	3	0.0907(45)	-0.214(22)	0.139(72)	-	-	-	-	-	-	-
4	4	0.0907(46)	-0.215(25)	0.12(19)	-0.08(60)	-	-	-	-	-	-
5	5	0.0909(46)	-0.218(25)	0.10(19)	-0.12(55)	0.04(63)	-	-	-	-	-
6	6	0.0907(45)	-0.217(25)	0.10(19)	-0.11(53)	0.06(66)	-0.02(66)	-	-	-	-
7	7	0.0907(46)	-0.217(26)	0.11(20)	-0.08(51)	0.03(73)	0.03(81)	-0.04(70)	-	-	-
8	8	0.0908(46)	-0.217(25)	0.11(20)	-0.08(50)	-0.01(84)	0.1(1.0)	-0.09(96)	0.08(74)	-	-
9	9	0.0907(46)	-0.215(25)	0.13(22)	-0.05(50)	-0.06(95)	0.2(1.4)	-0.2(1.5)	0.1(1.2)	-0.05(82)	-
10	10	0.0907(46)	-0.214(27)	0.15(24)	-0.03(49)	-0.2(1.1)	0.4(1.8)	-0.5(2.2)	0.4(2.1)	-0.3(1.6)	0.13(90)

Bayesian



# Example 1: $B_s \rightarrow K \ell \nu$ — fit to HPQCD 14

Results for HPQCD 14 [PRD 90 \(2014\) 054506](#)

Flynn, AJ, Tsang, [JHEP 12 \(2023\) 175](#)

increasing truncation

$K_+$	$K_0$	$f(q^2 = 0)$	$R_{B_s \rightarrow K}^{\text{impr}}$	$R_{B_s \rightarrow K}$	$\frac{\Gamma^\tau}{ V_{ub} ^2} [\frac{1}{\text{ps}}]$	$\frac{\Gamma^\mu}{ V_{ub} ^2} [\frac{1}{\text{ps}}]$	$V_{\text{CKM}}^{\text{low}}$	$V_{\text{CKM}}^{\text{high}}$	$V_{\text{CKM}}^{\text{full}}$
2	2	0.208(25)	1.524(37)	0.727(25)	4.51(45)	6.23(76)	0.00383(47)	0.00352(35)	0.00363(37)
2	3	0.226(34)	1.511(41)	0.704(39)	4.67(49)	6.67(97)	0.00361(53)	0.00344(34)	0.00349(38)
3	2	0.233(27)	1.609(58)	0.733(27)	4.44(45)	6.08(77)	0.00368(45)	0.00367(37)	0.00367(38)
3	3	0.293(41)	1.592(57)	0.664(40)	4.84(51)	7.3(1.1)	0.00310(44)	0.00349(35)	0.00333(36)
3	4	0.293(56)	1.593(60)	0.667(59)	4.85(58)	7.4(1.4)	0.00313(55)	0.00349(37)	0.00338(40)
4	3	0.294(42)	1.594(60)	0.663(40)	4.85(52)	7.4(1.1)	0.00309(44)	0.00348(36)	0.00332(36)
4	4	0.285(92)	1.593(60)	0.677(88)	4.83(62)	7.3(1.7)	0.00328(86)	0.00350(38)	0.00346(42)
5	5	0.277(88)	1.595(62)	0.685(85)	4.81(62)	7.2(1.7)	0.00333(85)	0.00351(38)	0.00348(42)
6	6	0.277(88)	1.592(63)	0.685(86)	4.79(63)	7.2(1.7)	0.00335(88)	0.00350(38)	0.00348(43)
7	7	0.282(89)	1.592(60)	0.680(87)	4.82(64)	7.3(1.7)	0.00332(89)	0.00350(38)	0.00347(43)
8	8	0.283(88)	1.594(61)	0.679(85)	4.83(64)	7.3(1.7)	0.00330(85)	0.00351(37)	0.00347(41)
9	9	0.289(91)	1.594(62)	0.674(88)	4.85(64)	7.4(1.8)	0.00327(89)	0.00350(38)	0.00347(42)
10	10	0.293(95)	1.593(60)	0.670(91)	4.87(67)	7.5(1.9)	0.00325(92)	0.00349(38)	0.00346(42)

increasing truncation

$K_+$	$K_0$	$I[\mathcal{A}_{\text{FB}}^\tau] [\frac{1}{\text{ps}}]$	$I[\mathcal{A}_{\text{FB}}^\mu] [\frac{1}{\text{ps}}]$	$\bar{\mathcal{A}}_{\text{FB}}^\tau$	$\bar{\mathcal{A}}_{\text{FB}}^\mu$	$I[\mathcal{A}_{\text{pol}}^\tau] [\frac{1}{\text{ps}}]$	$I[\mathcal{A}_{\text{pol}}^\mu] [\frac{1}{\text{ps}}]$	$\bar{\mathcal{A}}_{\text{pol}}^\tau$	$\bar{\mathcal{A}}_{\text{pol}}^\mu$
2	2	1.22(13)	0.0278(51)	0.2708(37)	0.00443(34)	0.74(15)	6.15(75)	0.164(29)	0.98767(96)
2	3	1.26(14)	0.0314(70)	0.2709(38)	0.00465(44)	0.81(18)	6.59(96)	0.173(31)	0.9872(12)
3	2	1.23(13)	0.0319(59)	0.2780(43)	0.00524(51)	0.46(19)	5.99(76)	0.103(40)	0.9852(15)
3	3	1.36(15)	0.045(10)	0.2814(48)	0.00612(66)	0.53(20)	7.2(1.1)	0.110(40)	0.9830(18)
3	4	1.37(17)	0.046(14)	0.2814(50)	0.00611(83)	0.53(22)	7.3(1.3)	0.109(41)	0.9830(22)
4	3	1.37(15)	0.046(10)	0.2815(50)	0.00616(71)	0.53(22)	7.2(1.1)	0.109(42)	0.9829(20)
4	4	1.36(19)	0.046(21)	0.2810(69)	0.0060(15)	0.53(21)	7.2(1.7)	0.109(42)	0.9834(41)
5	5	1.35(19)	0.044(20)	0.2806(67)	0.0058(15)	0.53(22)	7.1(1.6)	0.109(44)	0.9837(39)
6	6	1.35(20)	0.044(20)	0.2803(69)	0.0058(15)	0.53(22)	7.1(1.7)	0.111(44)	0.9838(39)
7	7	1.35(20)	0.045(20)	0.2806(69)	0.0059(15)	0.53(21)	7.2(1.7)	0.111(43)	0.9835(39)
8	8	1.36(20)	0.045(20)	0.2808(69)	0.0059(15)	0.53(22)	7.2(1.7)	0.109(44)	0.9835(39)
9	9	1.36(20)	0.047(21)	0.2812(71)	0.0060(15)	0.53(22)	7.3(1.7)	0.109(44)	0.9832(40)
10	10	1.37(21)	0.048(23)	0.2815(72)	0.0061(15)	0.53(22)	7.4(1.8)	0.109(43)	0.9831(41)

results for phenomenology in Bayesian setup independent of truncation

# Example 1: $B_s \rightarrow K\ell\nu$ — global fit

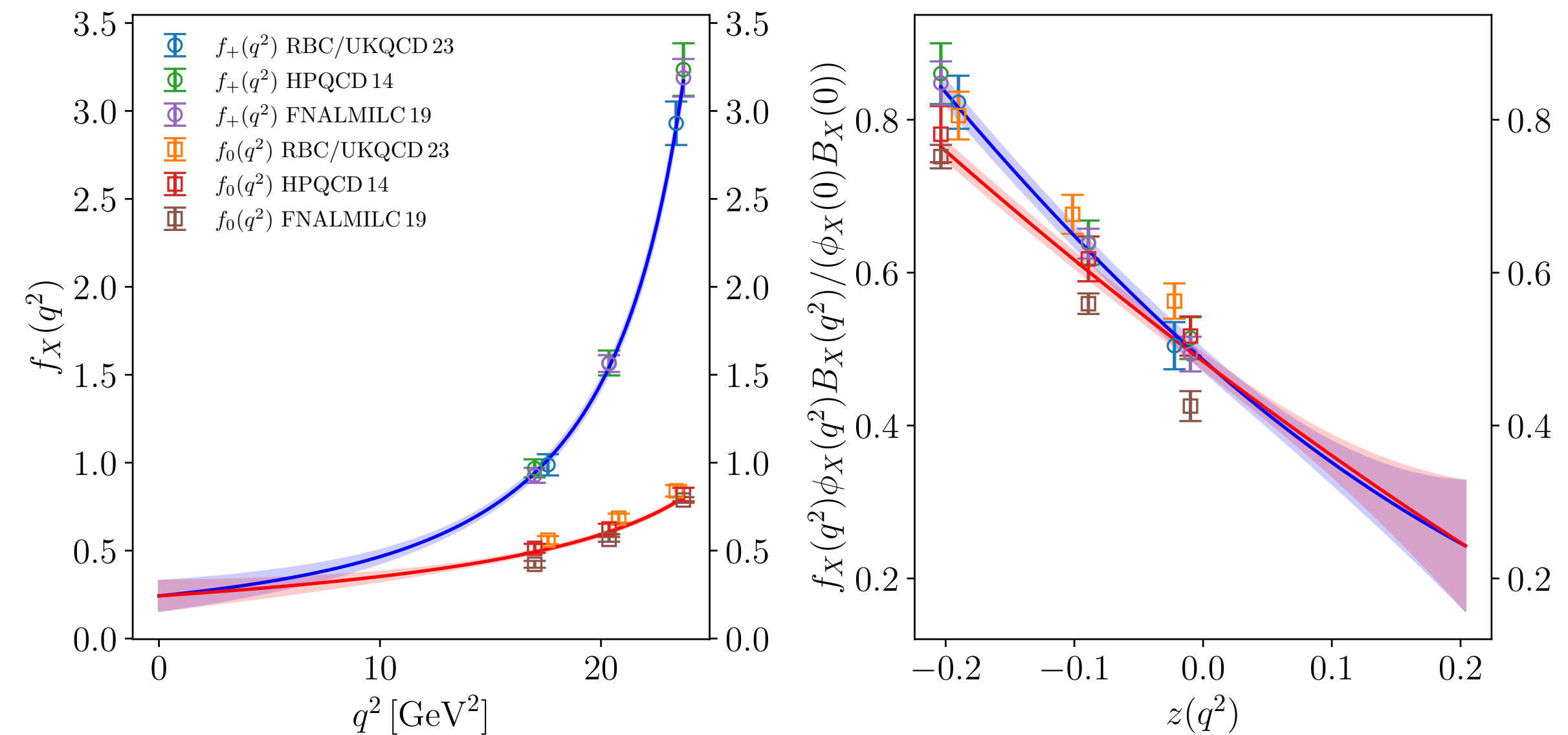
Combined fit over three lattice data sets —  
let's first look at **Frequentist**:

[HPQCD 14 [PRD 90 \(2014\)](#), RBC/UKQCD 23 [PRD 107 \(2023\)](#) FNAL/MILC 19 [PRD 100 \(2019\)](#)]

$K_+$	$K_0$	$a_{+,0}$	$a_{+,1}$	$a_{+,2}$	$a_{+,3}$	$a_{+,4}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	0.02641(58)	-0.0824(26)	-	-	-	0.00	5.15	14
2	3	0.02668(68)	-0.0811(31)	-	-	-	0.00	5.50	13
3	2	0.02477(68)	-0.0829(26)	0.054(12)	-	-	0.00	3.95	13
3	3	0.02534(73)	-0.0792(31)	0.062(12)	-	-	0.00	3.89	12
3	4	0.02534(73)	-0.0781(34)	0.067(14)	-	-	0.00	4.19	11
4	3	0.02535(73)	-0.0776(38)	0.074(20)	0.023(30)	-	0.00	4.19	11
4	4	0.02592(97)	-0.033(50)	0.69(69)	2.1(2.3)	-	0.00	4.53	10
5	5	0.0266(10)	0.052(65)	2.21(97)	11.1(5.6)	17.2(15.1)	0.00	5.04	8

$K_+$	$K_0$	$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$	$a_{0,4}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	0.0854(17)	-0.2565(75)	-	-	-	0.00	5.15	14
2	3	0.0856(18)	-0.2527(91)	0.021(27)	-	-	0.00	5.50	13
3	2	0.0858(18)	-0.2501(77)	-	-	-	0.00	3.95	13
3	3	0.0864(18)	-0.2379(95)	0.061(28)	-	-	0.00	3.89	12
3	4	0.0869(19)	-0.231(13)	0.067(29)	-0.08(10)	-	0.00	4.19	11
4	3	0.0869(19)	-0.229(15)	0.091(48)	-	-	0.00	4.19	11
4	4	0.0887(27)	-0.08(17)	2.2(2.4)	7.0(7.9)	-	0.00	4.53	10
5	5	0.0887(28)	0.07(20)	6.1(3.3)	41.5(19.0)	93.3(44.0)	0.00	5.04	8

**Bayesian** fit works but doesn't describe data:



In the case at hand: World lattice data for  $B_s \rightarrow K\ell\nu$  requires further scrutiny;

Frequentist fit indicates problem!

[see for possible explanation RBC/UKQCD 23 [PRD 107 \(2023\)](#)]

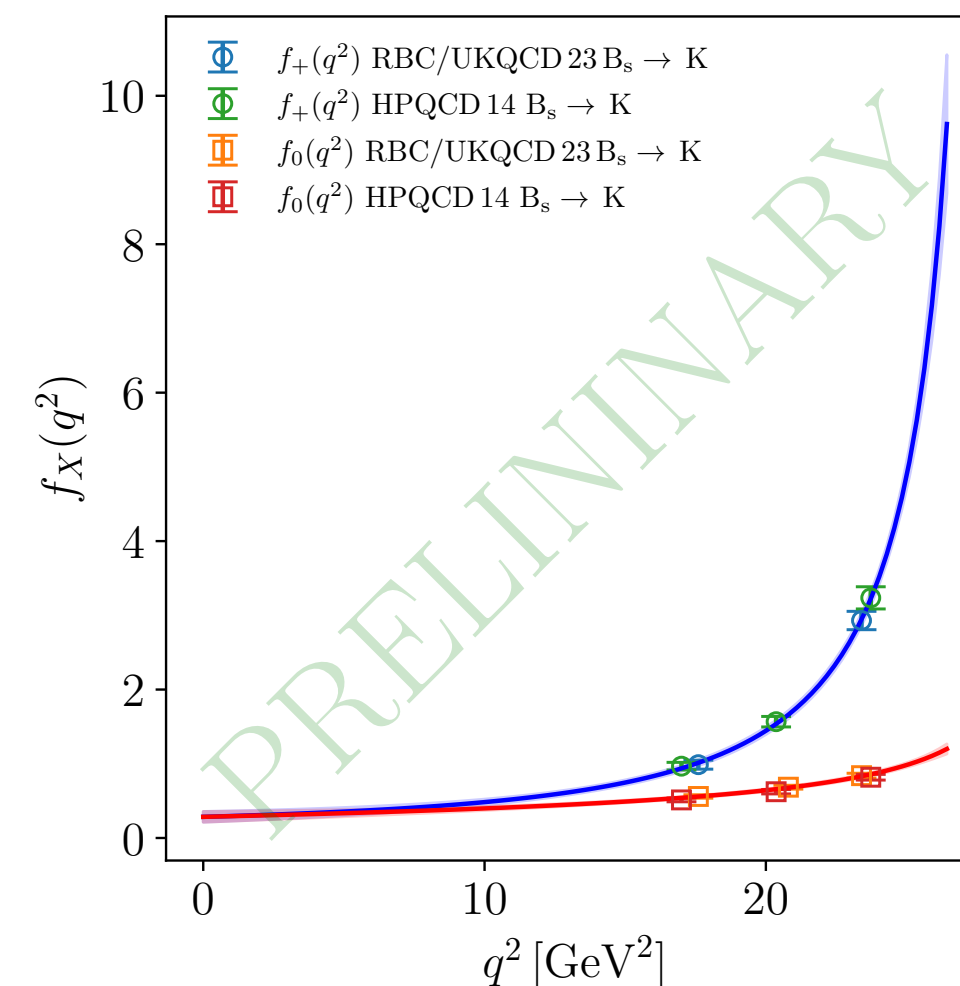
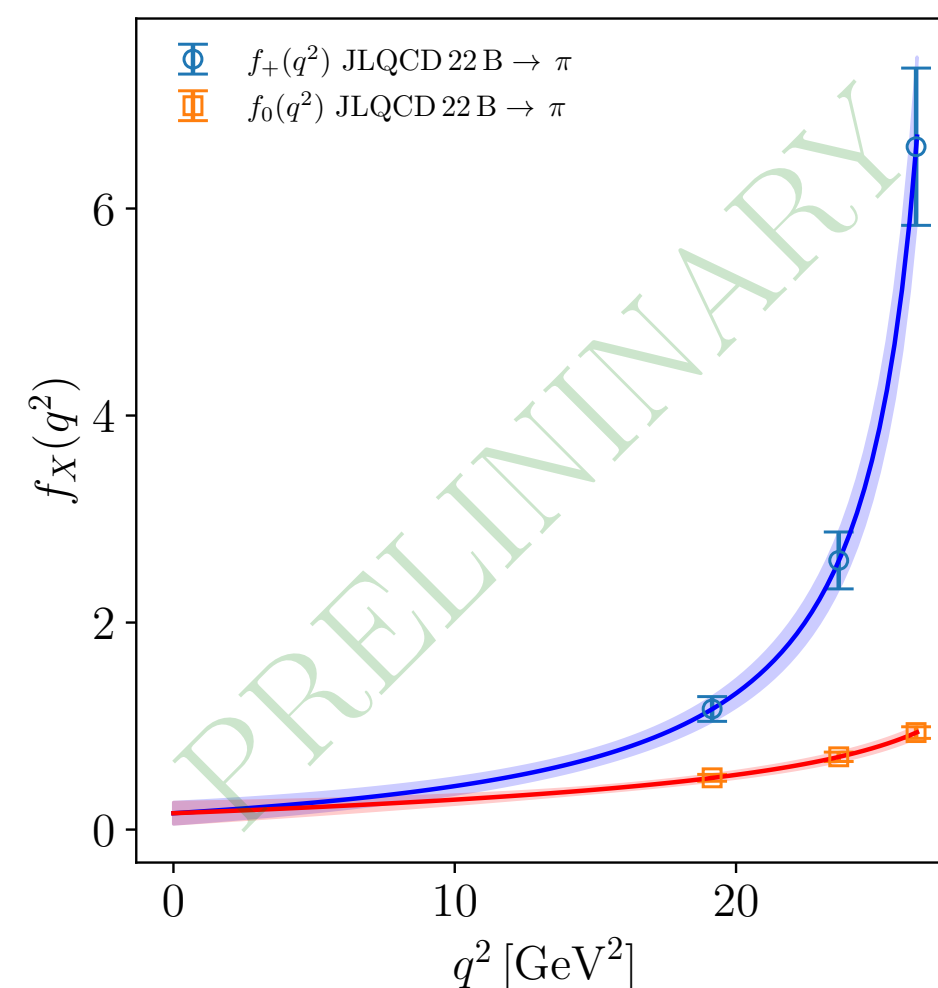
**Bayesian and frequentist provide complementary information —  
use both to gain comprehensive understanding of data and fit**

# Example 2: $B \rightarrow \pi l \nu$ and $B_s \rightarrow K l \nu$

**Idea:** simultaneous Bayesian fit over both channels subject to combined unitarity constraint

dispersion relation  $\chi_X \geq \int_{t_{B\pi}}^{\infty} dt \frac{W_{B\pi}(t) |f_X^{B \rightarrow \pi}(t)|^2}{(t - q^2)^{n_X}} + \int_{t_{B_s K}}^{\infty} dt \frac{W_{B_s K}(t) |f_X^{B_s \rightarrow K}(t)|^2}{(t - q^2)^{n_X}} \rightarrow 1 \geq |\vec{a}_X^{B \rightarrow \pi}|^2 + |\vec{a}_X^{B_s \rightarrow K}|^2 \quad (X = +, 0)$

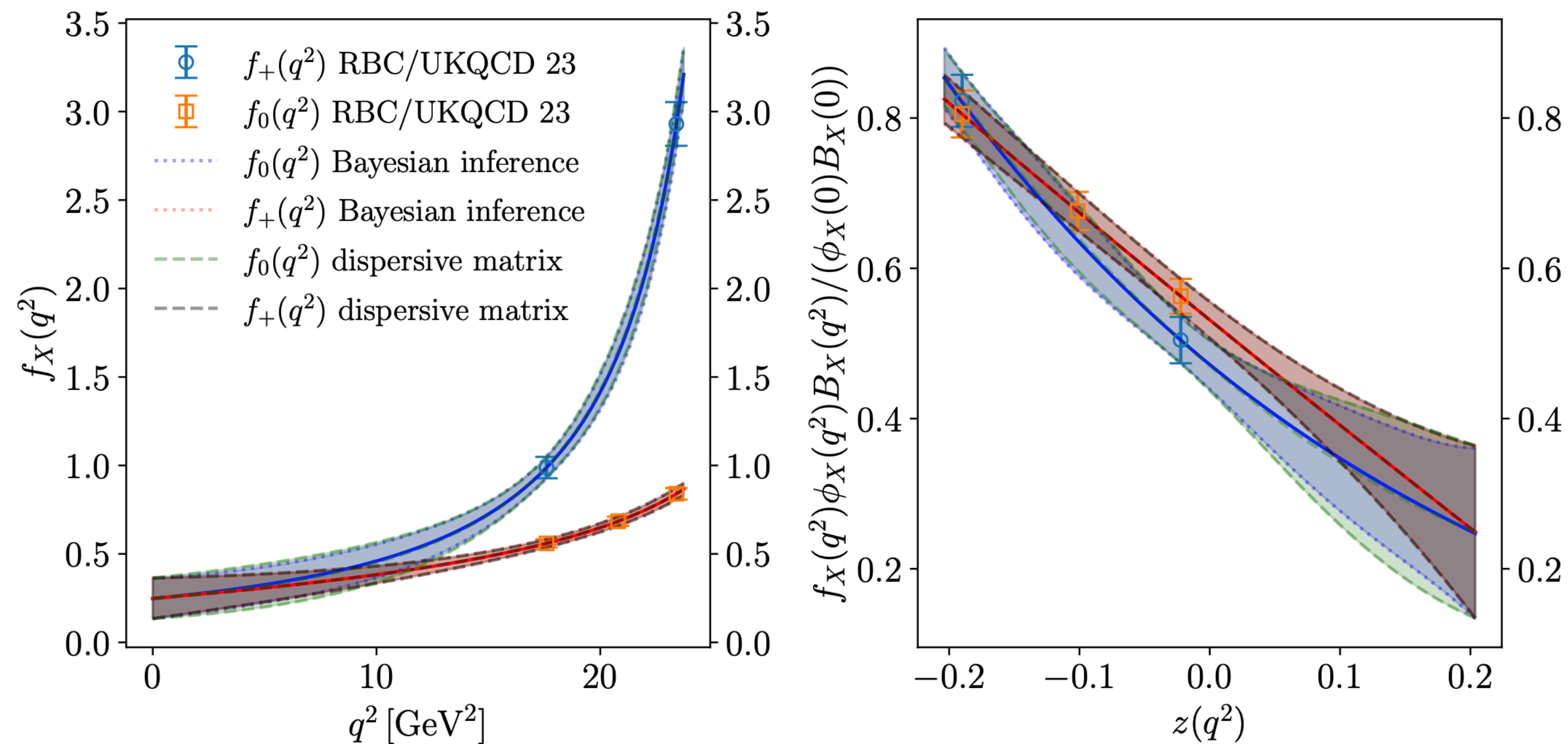
Lattice data:  $B_s \rightarrow K$  [HPQCD 14 PRD 90 (2014), RBC/UKQCD 23 PRD 107 (2023)]  
 $B \rightarrow \pi$  [JLQCD 22 PRD 106 (2022)]



- we find simultaneous unitarity constraint stronger than individual
- effect will depend on channel
- in case at hand coefficients have noticeably smaller error
- work in progress

# Relation to dispersive-matrix method?

Di Carlo, Martinelli, Naviglio et al. [PRD 104 \(2021\) 054502](#)

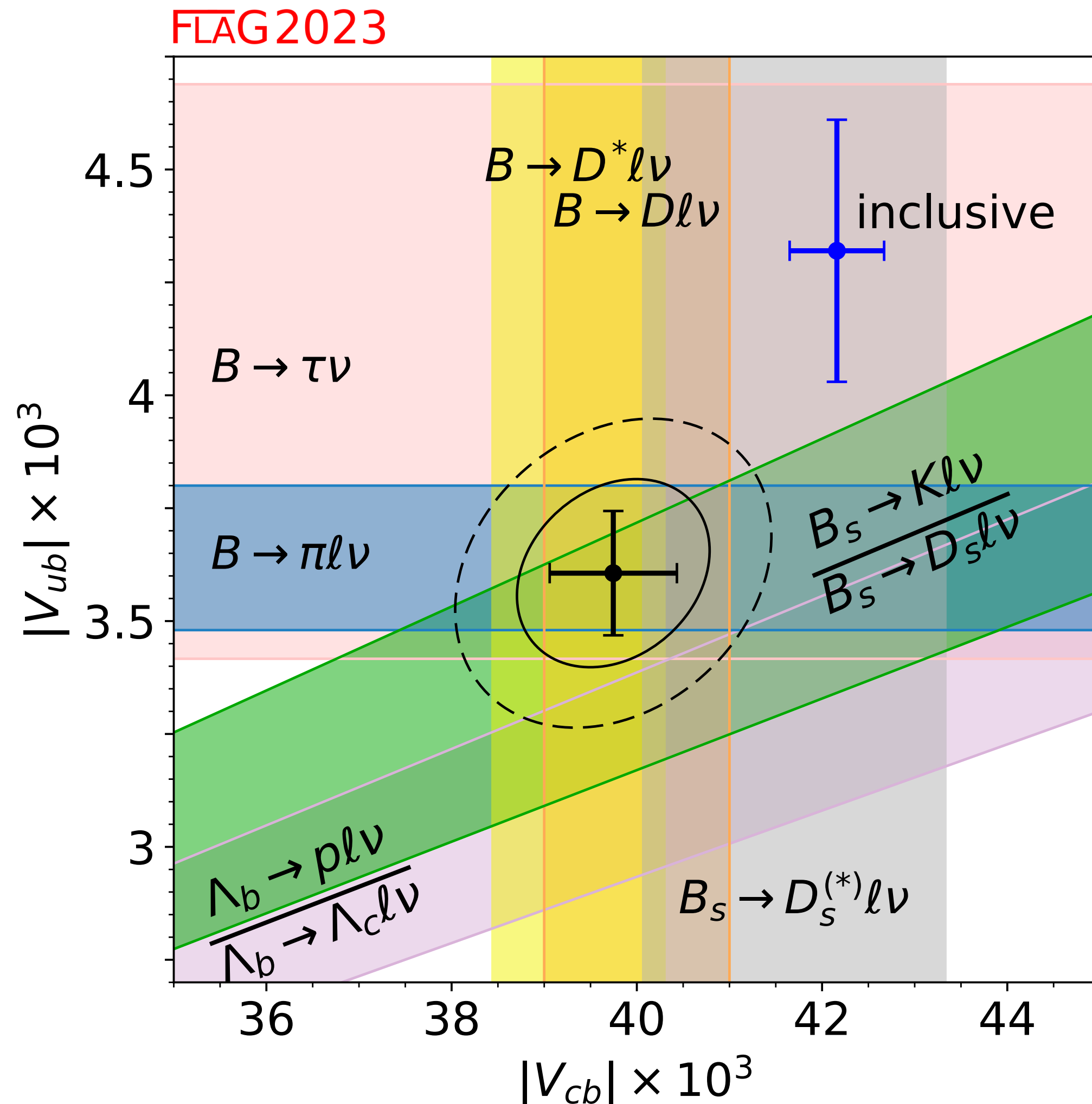


The methods produce essentially the same results. Clear practical advantages of Bayesian inference:

- kinematical constraints exactly and cleanly implemented
- simultaneous fit over various (correlated) data sets possible
- clean statistical underpinning

$$B \rightarrow D^* \ell \bar{\nu}$$

# A small tension...



Various ways to look at the  $|V_{cb}|$  tension

A) Exclusive decay  $B \rightarrow D^* \ell \bar{\nu}_\ell$ :

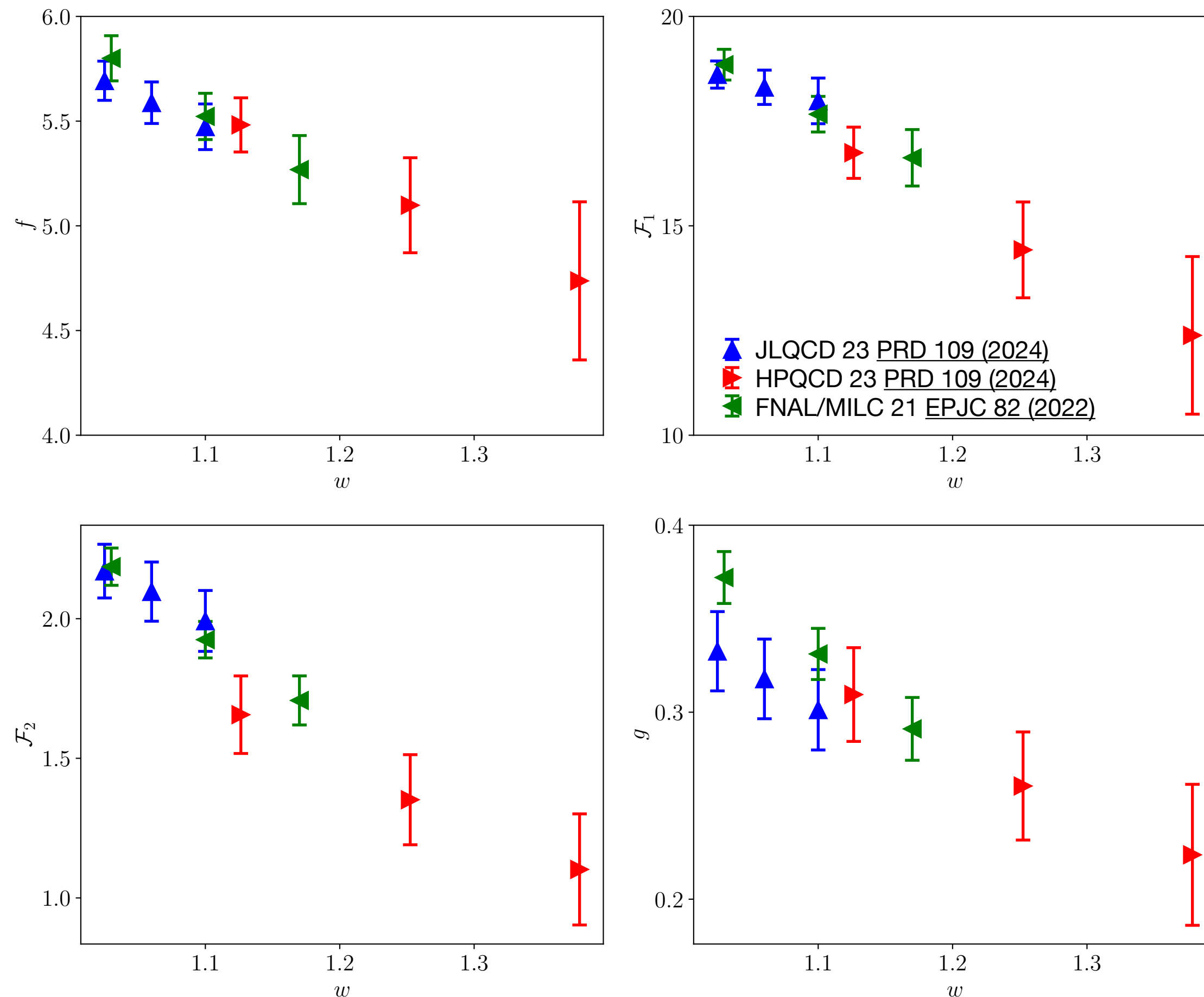
- new quality of experimental data
  - new quality of lattice data
- discuss new and improved analysis techniques

B) Inclusive decay  $B \rightarrow X_c \ell \bar{\nu}_\ell$ :

- existing determinations OPE based
  - new ideas allow for lattice computations
- happy to discuss

[Hansen et al. \(2017\) PRD 96 094513 \(2017\)](#)  
[Hashimoto PTEP 53-56 \(2017\)](#)  
[Bailas et al. PTEP 43-50 \(2020\)](#)  
[Barone et al. JHEP 07 \(2023\) 145](#)  
[Ryan's talk on Wednesday](#)

# New lattice data — $B \rightarrow D^* \ell \bar{\nu}_\ell$



## New lattice data

- four form factors  $f, \mathcal{F}_1, \mathcal{F}_2, g$

$$w = \frac{M_B^2 + M_{D^*}^2 - q^2}{2M_B M_{D^*}} \quad q_\mu = (p_B - p_{D^*})_\mu$$

- first time that lattice data covers kinematical range
- three different and independent collaborations
- just in time for new experimental data ...

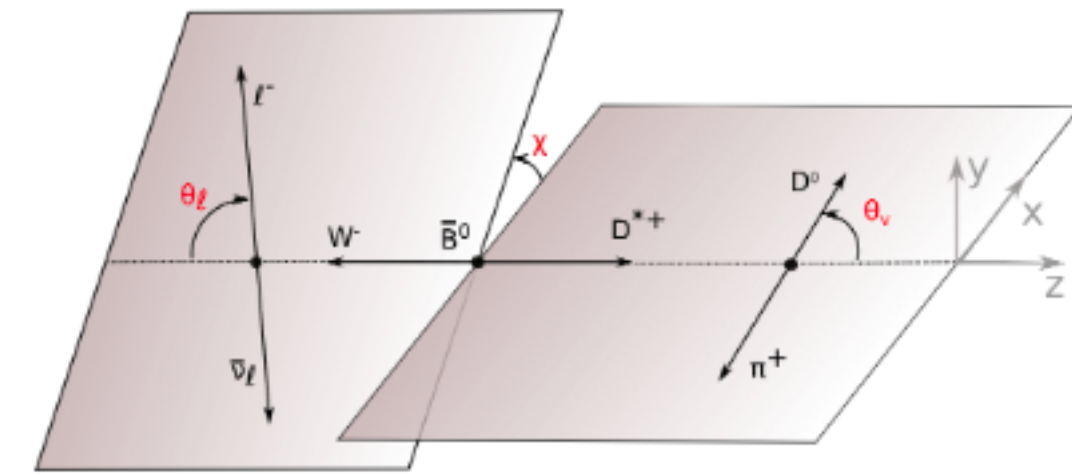
# New experimental data — $B \rightarrow D^* \ell \bar{\nu}_\ell$

## New experimental data

- four (normalised) differential decay rates in channels

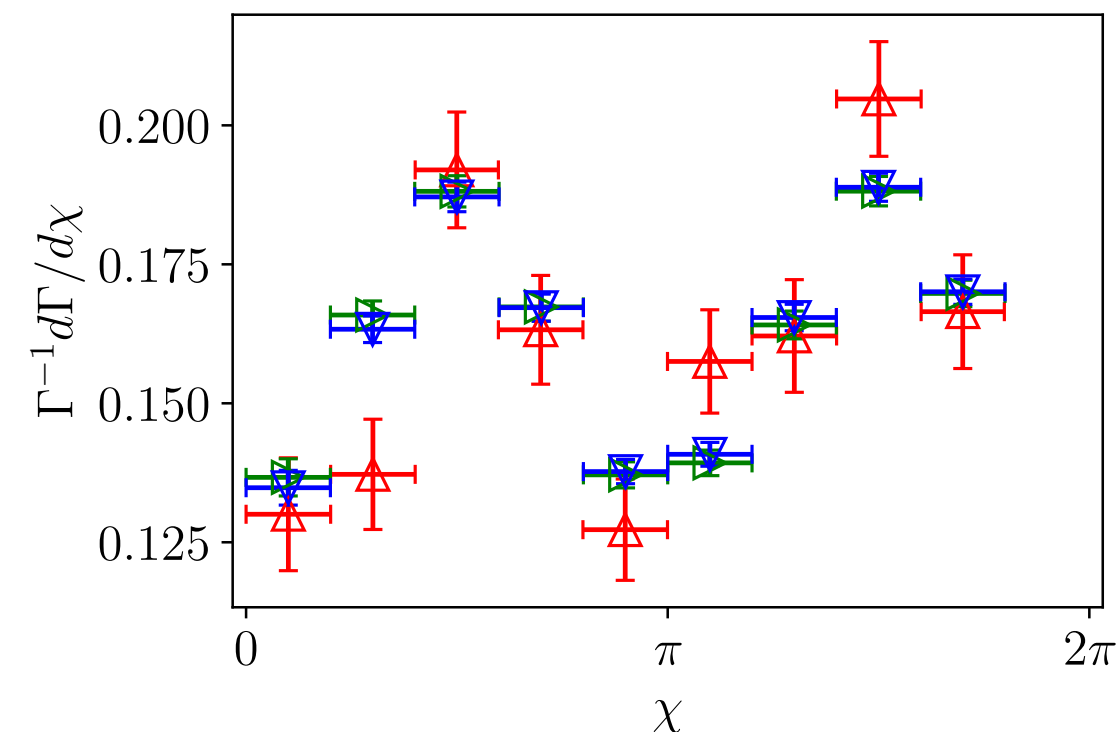
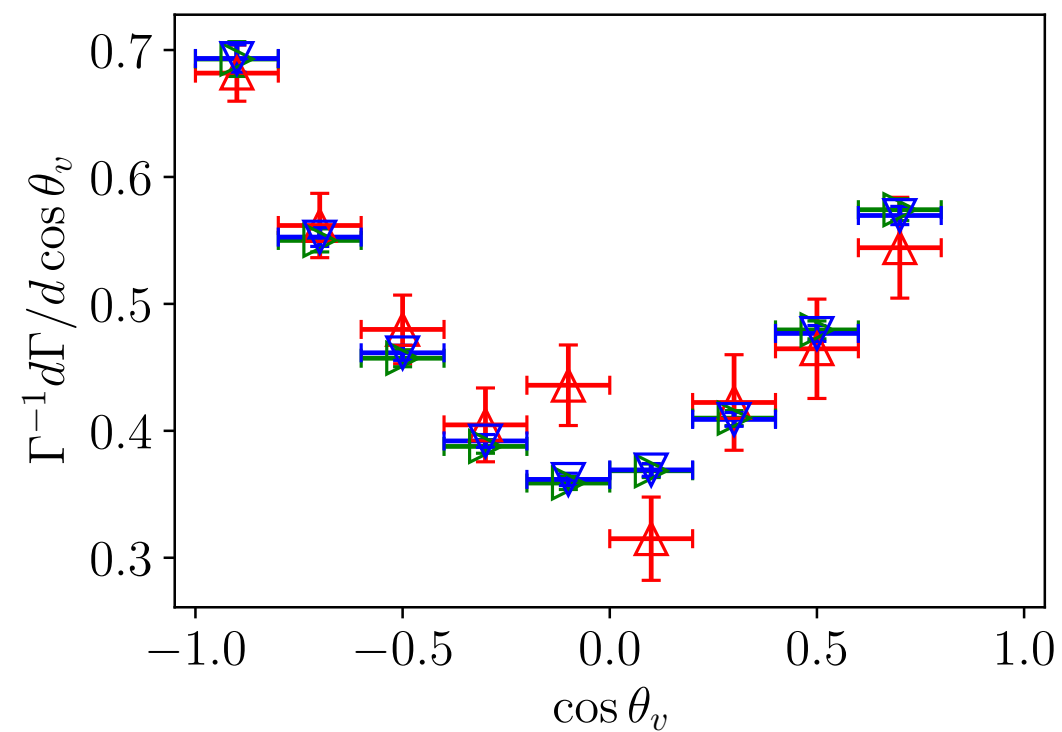
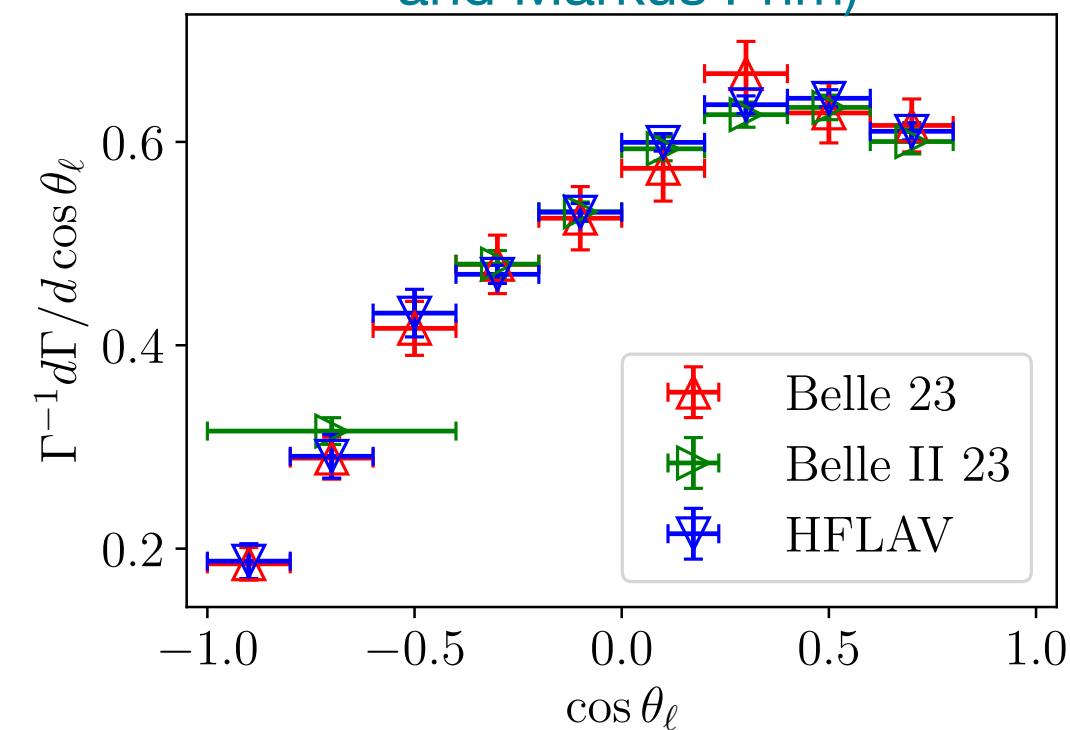
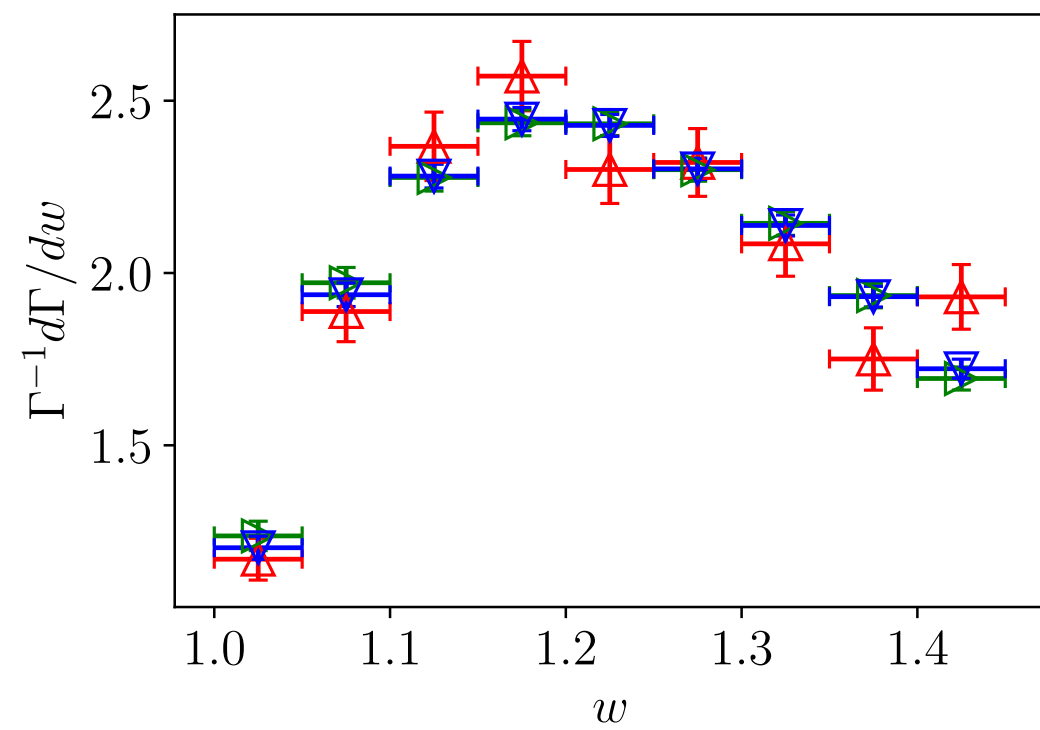
$$\alpha = w, \cos \theta_\ell, \cos \theta_\nu, \chi$$

- between 7 and 10 bins per  $\alpha$
- data available on [HEPData](#)
- two experimental collaborations
- just in time for new lattice data ...



Belle [Phys.Rev.D 108 \(2023\) 1, 012002](#)  
 Belle II [Phys.Rev.D 108 \(2023\) 9, 9](#)

Thanks to HFLAV for combined data set  
 (in particular Florian Bernlochner  
 and Markus Prim)



$$\frac{d\Gamma}{dw d\cos(\theta_\ell) d\cos(\theta_\nu) d\chi} = \frac{3G_F^2}{1024\pi^4} |V_{cb}|^2 \eta_{EW}^2 M_B r^2 \sqrt{w^2 - 1} q^2$$

$$\times \left\{ (1 - \cos(\theta_\ell))^2 \sin^2(\theta_\nu) H_+^2(w) + (1 + \cos(\theta_\ell))^2 \sin^2(\theta_\nu) H_-^2(w) \right.$$

$$+ 4 \sin^2(\theta_\ell) \cos^2(\theta_\nu) H_0^2(w) - 2 \sin^2(\theta_\ell) \sin^2(\theta_\nu) \cos(2\chi) H_+(w) H_-(w)$$

$$- 4 \sin(\theta_\ell) (1 - \cos(\theta_\ell)) \sin(\theta_\nu) \cos(\theta_\nu) \cos(\chi) H_+(w) H_0(w)$$

$$\left. + 4 \sin(\theta_\ell) (1 + \cos(\theta_\ell)) \sin(\theta_\nu) \cos(\theta_\nu) \cos(\chi) H_-(w) H_0(w) \right\}$$

here not Belle 2310.20286 (angular coeffs)

How to best analyse this new quality of data  
 as part of a precision test of the SM?



# Fitting strategies

## A

- fit parameterisation to lattice data
- compute theory prediction for  $d\Gamma/dq^2/|V_{cb}|^2$  bin-by-bin by integration
- combine with experimental data for bin-by-bin prediction for  $|V_{cb}|$
- final  $|V_{cb}|$  from weighted average over bins

Clean separation of SM and exp. measurement

## B

- fit parameterisation simultaneously to lattice form factors and results for experimental data for diff. decay rate (use shape-information from both experiment and lattice)
- determine  $|V_{cb}|$  directly from such a global fit

Unitarity constraint and fit-ansatz imposed on experimental data (which may contain BSM)

**Here concentrate on:**

Flynn, AJ, Tsang [JHEP 12 \(2023\)](#)

Bordone, AJ [2406.10074](#)

**Other related work:**

Fedele et al. [PRD 108 \(2023\) 5, 5](#)

Martinelli et al. [EPJC 84 \(2024\) 4, 400,](#)

[PRD 106 \(2022\) 9, 093002,](#)

[EPJC 82 \(2022\) 12,](#)

[PRD 105 \(2022\) 3, 034503,](#)

[PRD 104 \(2021\) 9, 094512](#)

Di Carlo et al. [PRD 104 \(2021\) 5, 054502](#)

Gambino [PLB 795 \(2019\) 386-390](#)

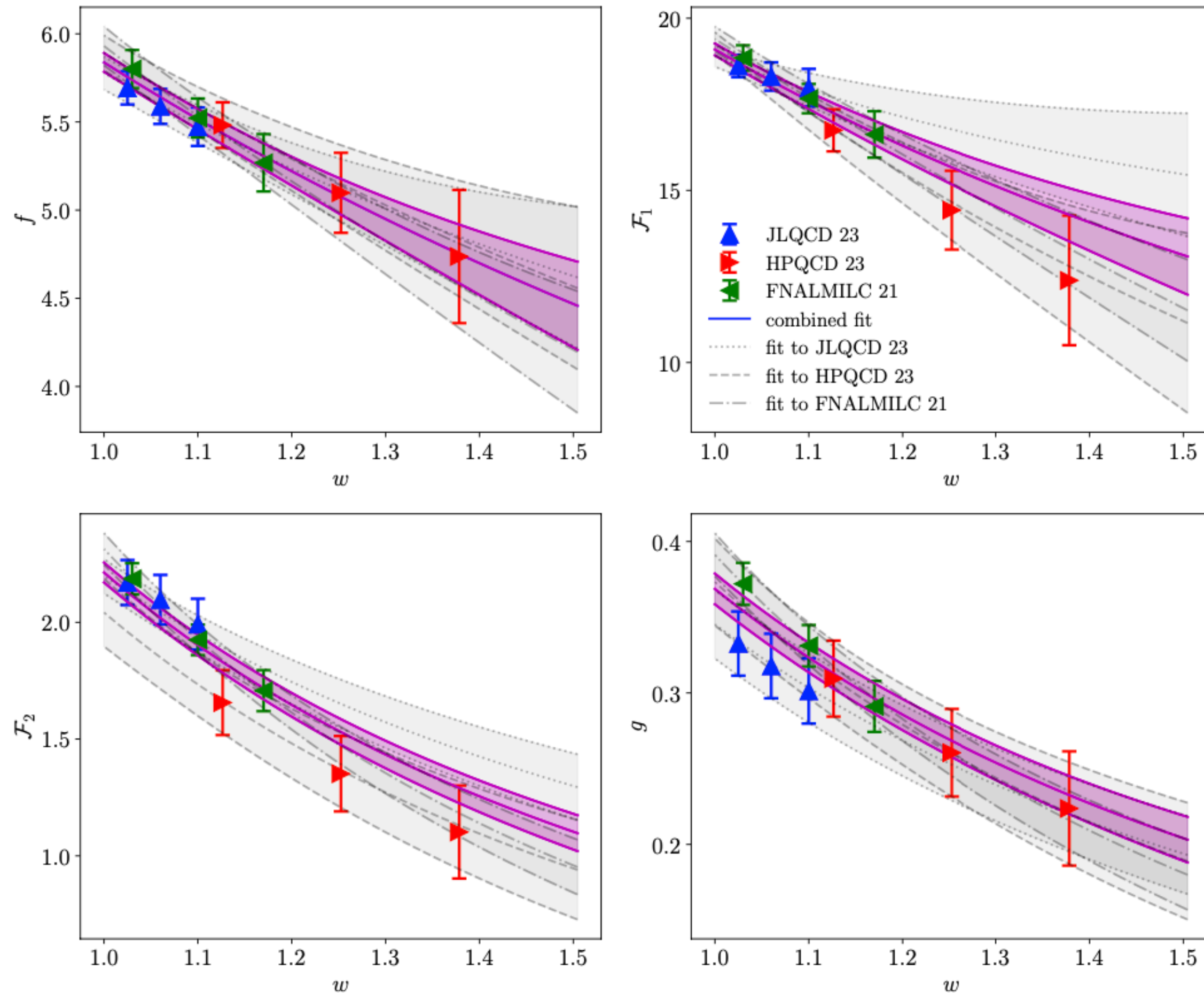
Bigi [PLB 769 \(2017\) 441-445, JHEP 11 \(2017\) 061](#)

Bernlochner et al. [PRD 100 \(2019\) 1, 013005](#)

**SM correct – A and B should result in compatible predictions**

# Strategy A: Fit to lattice data

Bordone, AJ, [2406.10074](#)



## Frequentist fit

$K_f$	$K_{F_1}$	$K_{F_2}$	$K_g$	$a_{g,0}$	$a_{g,1}$	$a_{g,2}$	$a_{g,3}$	$p$	$\chi^2/N_{\text{dof}}$	$N_{\text{dof}}$
2	2	2	2	0.03138(87)	-0.059(24)	-	-	0.95	0.62	30
3	3	3	3	0.03131(87)	-0.046(36)	-1.2(1.8)	-	0.90	0.67	26
4	4	4	4	0.03126(87)	-0.017(48)	-3.7(3.3)	49.9(53.6)	0.79	0.75	22

- good fit quality
- lattice data compatible
- no unitarity constraint

## Bayesian inference

$K_f$	$K_{F_1}$	$K_{F_2}$	$K_g$	$a_{g,0}$	$a_{g,1}$	$a_{g,2}$	$a_{g,3}$
2	2	2	2	0.03133(80)	-0.058(25)	-	-
3	3	3	3	0.03129(81)	-0.062(27)	-0.10(55)	-
4	4	4	4	0.03134(86)	-0.061(25)	-0.10(50)	-0.04(49)

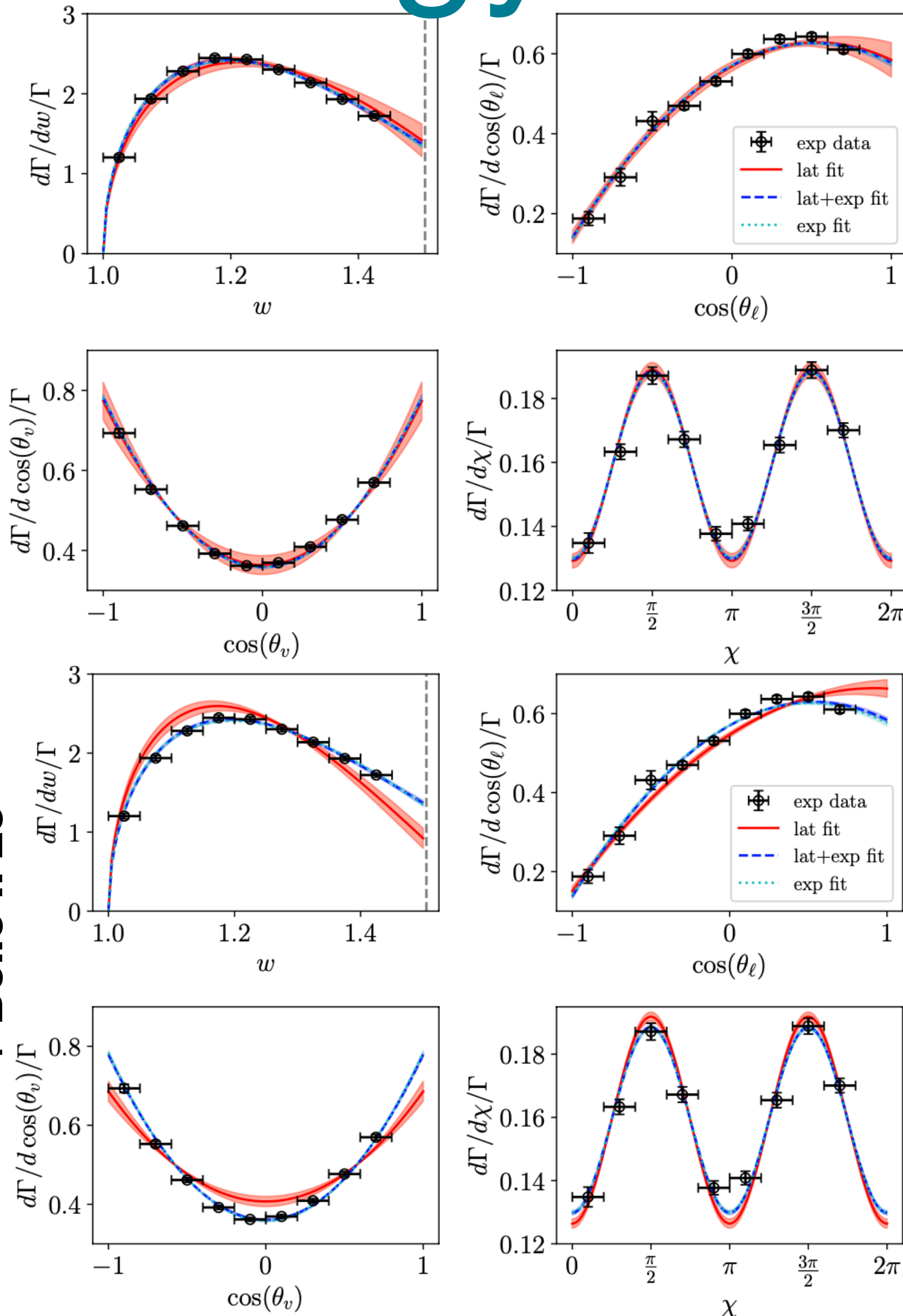
- unitarity constraint regulates higher-order coefficients
- truncation independent

# Strategy B: Fit to lattice + exp.data

Bordone, AJ, [2406.10074](#)

JLQCD 23 + Belle II 23

FNAL/MILC 21 + HPQCD 23 + Belle II 23



- **BGL fit to only lattice data** (strategy A) misses experimental points for two of the lattice data sets
- **BGL fit to experimental and lattice data** of good quality

Frequentist fit quality good

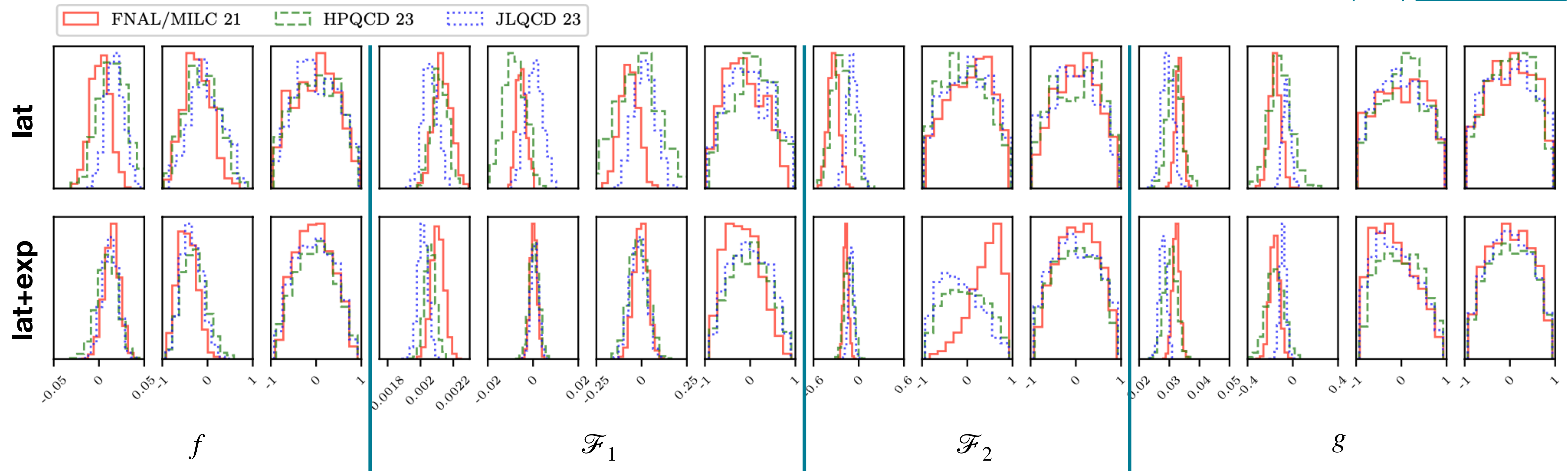
lat  $(p, \chi^2/N_{\text{dof}}, N_{\text{dof}}) = (0.79, 0.75, 22)$

lat+exp  $(p, \chi^2/N_{\text{dof}}, N_{\text{dof}}) = (0.18, 1.15, 56)$

- some BGL coefficients shift between strategy A) and B) by up to a few  $\sigma \rightarrow$  but precision of lattice data allows for enough wiggle room

# Strategy B: Fit to lattice + experimental data

Bordone, AJ, [2406.10074](#)



- posterior distribution reflect small shifts between lat and lat+exp fits
- higher-order coefficients “regulated” by unitarity constraint
- strange behaviour in  $a_{\mathcal{F}_{2,2}}$  for FNAL/MILC-based fit?

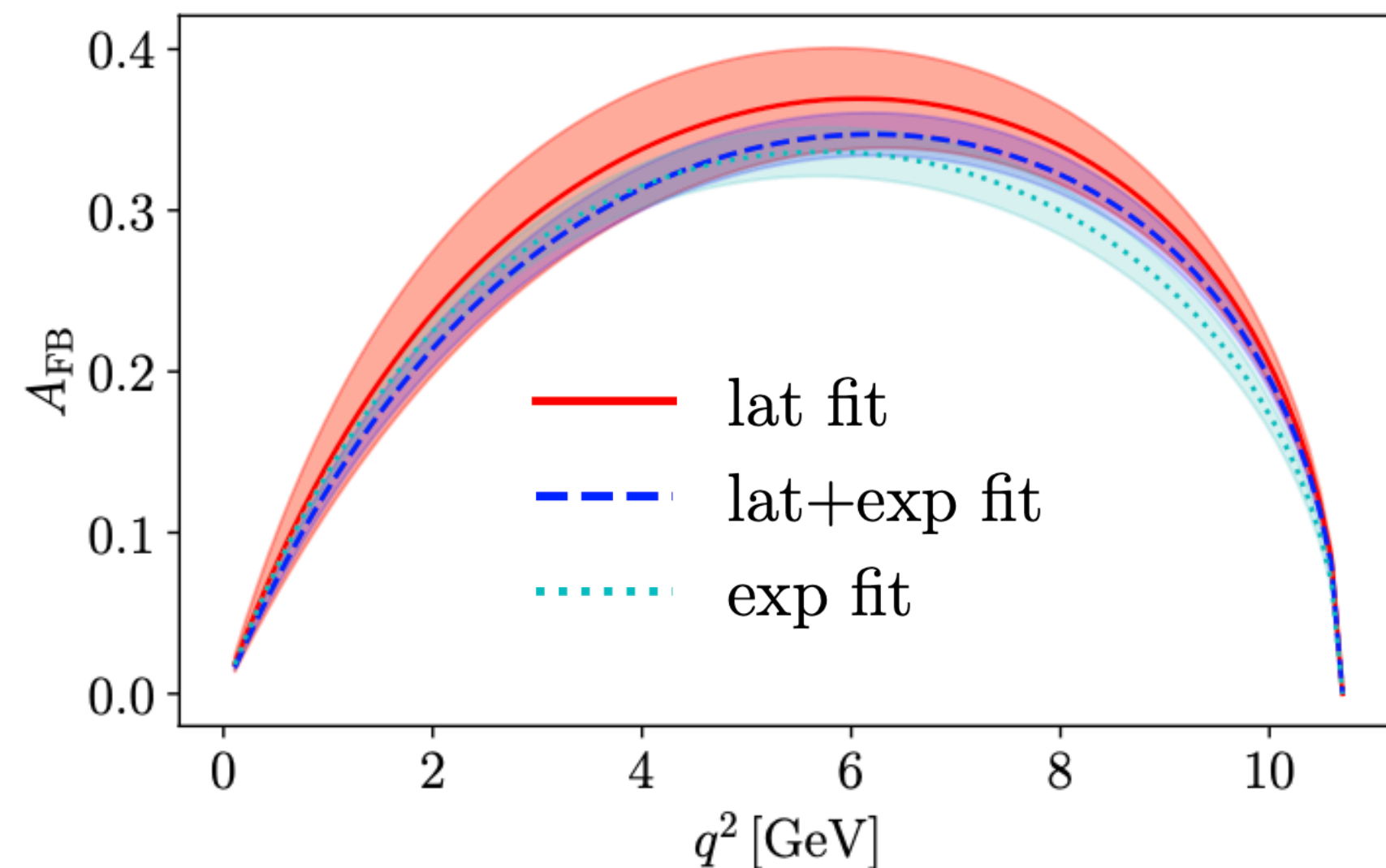
# Other observables

Bordone, AJ, [2406.10074](#)

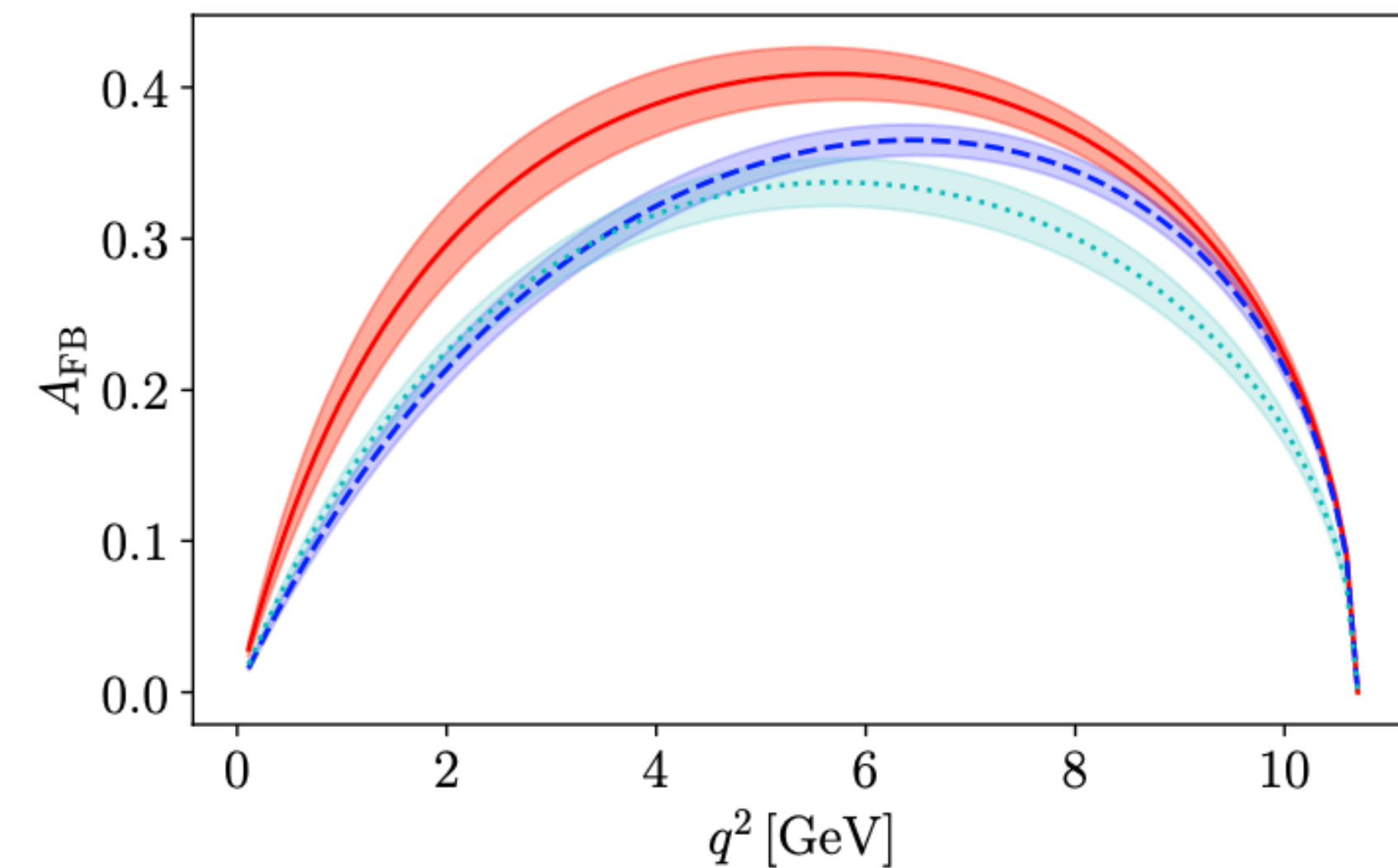
e.g. Forward-Backward asymmetry

$$A_{\text{FB}} = \frac{\int_0^1 - \int_{-1}^0 d\cos\theta_\ell d\Gamma/d\cos\theta_\ell}{\int_0^1 + \int_{-1}^0 d\cos\theta_\ell d\Gamma/d\cos\theta_\ell}$$

**JLQCD 23 + Belle II 23**



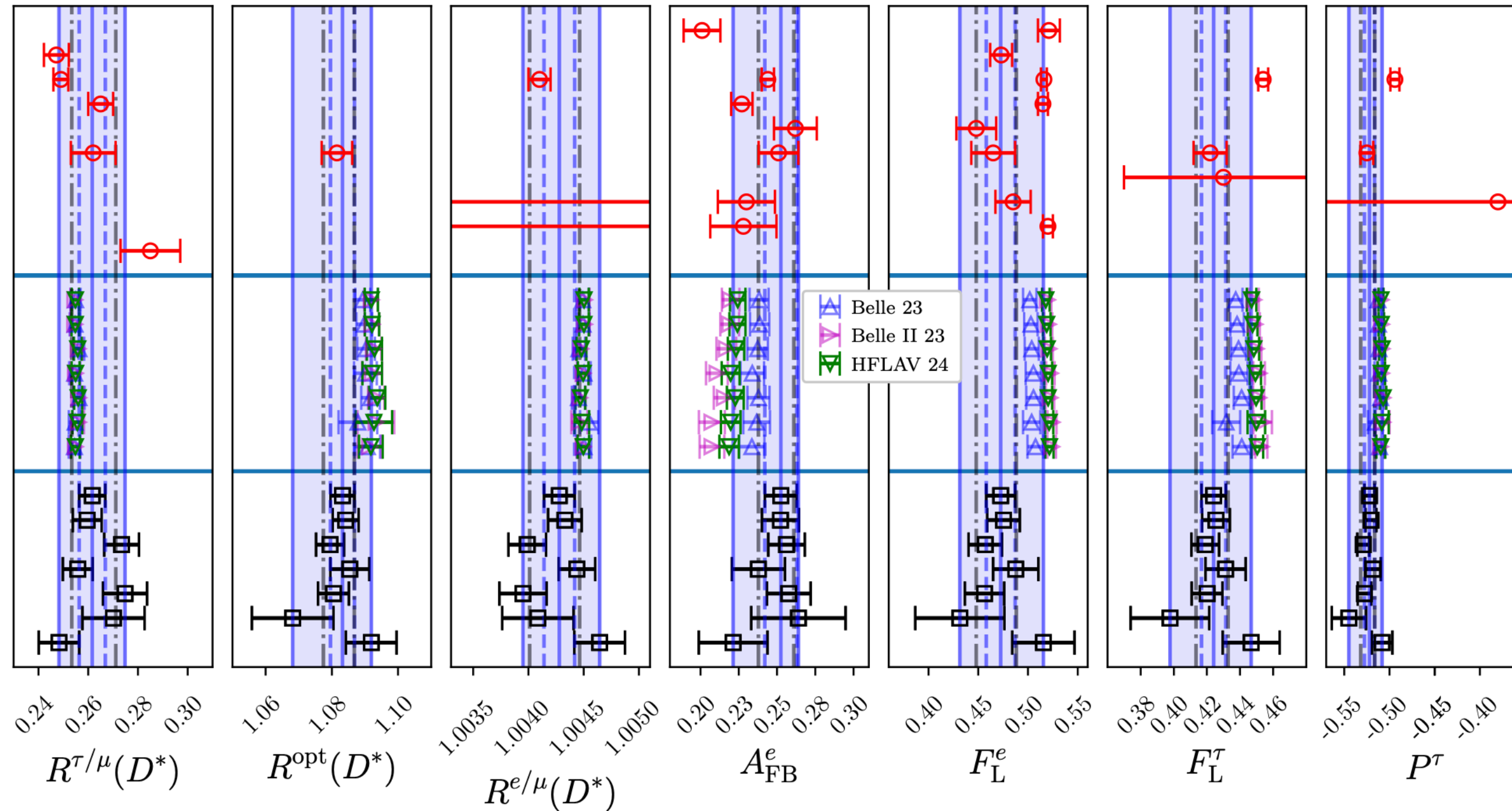
**FNAL/MILC 21 + HPQCD 23 + Belle II 23**



precision of lat and exp data allow to identify differences in shapes of distributions  
Here: lat, lat+exp and exp parameterisations exhibit distinctly different shapes

# Other observables

Bordone, AJ, [2406.10074](#)



Bobeth et al. [71]  
 Bordone et al. [70]  
 Bernlochner et al. [23]  
 dispersive matrix Fedele et al. “lat+exp” [34]  
 dispersive matrix Fedele et al. “lat” [34]  
 dispersive matrix Martinelli et al. [33]  
 LHCb [69]  
 Belle [1, 67, 68]  
 Belle II [3, 66]  
 HFLAV Moriond 2024 (exp. average) [65]

exp+lat: JLQCD 23, HPQCD 23, FNALMILC 21  
 exp+lat: JLQCD 23, FNALMILC 21  
 exp+lat: FNALMILC 21, HPQCD 23  
 exp+lat: JLQCD 23, HPQCD 23  
 exp+lat: FNALMILC 21  
 exp+lat: HPQCD 23  
 exp+lat: JLQCD 23

lat: JLQCD 23, HPQCD 23, FNALMILC 21  
 lat: JLQCD 23, FNALMILC 21  
 lat: FNALMILC 21, HPQCD 23  
 lat: JLQCD 23, HPQCD 23  
 lat: FNALMILC 21  
 lat: HPQCD 23  
 lat: JLQCD 23

$R^{opt}$  see Isidori, Sumensari,  
[EPJC 80 \(2020\) 11, 1078\]](#)

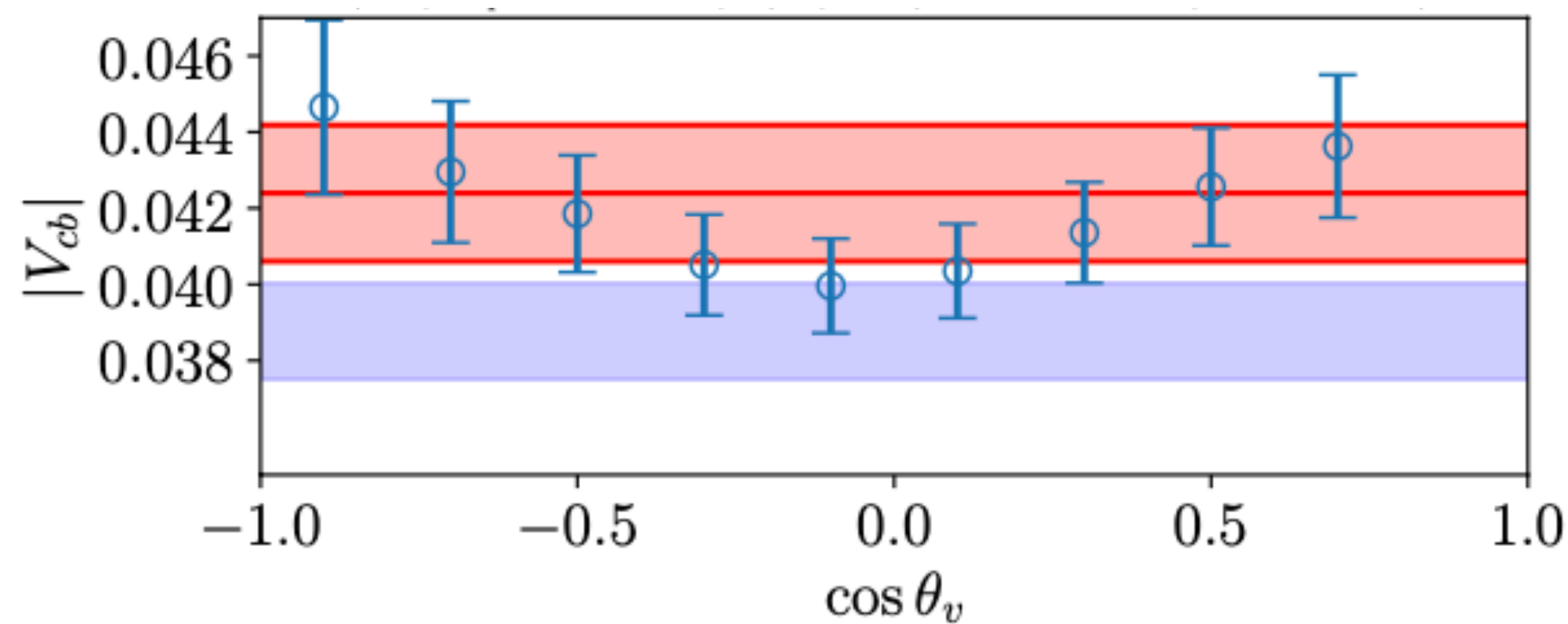
- lat: scatter from different lattice collaborations concerning (2-3 $\sigma$ ) (see also [[Fedele et al. PRD 108, 055037 \(2023\)](#)])
- lat+exp: lattice consistent, experiments inconsistent
- parameterisation-based observables show high degree of sensitivity

**analysis reveals tensions amongst  
 lattice as well as amongst experimental  
 data sets**

# $|V_{cb}|$ — Strategy A: Fit to lattice data

Bordone, AJ, [2406.10074](#)

$$|V_{cb}|_{\alpha,i} = \left( \Gamma_{\text{exp}} \left[ \frac{1}{\Gamma} \frac{d\Gamma}{d\alpha} \right]_{\text{exp}}^{(i)} / \left[ \frac{d\Gamma_0}{d\alpha}(\mathbf{a}) \right]_{\text{lat}}^{(i)} \right)^{1/2}, \quad \text{where} \quad \Gamma_{\text{exp}} = \frac{\mathcal{B}(B^0 \rightarrow D^{*,-} \ell^+ \nu_\ell)}{\tau(B^0)},$$



- blue:**
- Frequentist fit  $(p, \chi^2/N_{\text{dof}}, N_{\text{dof}}) = (0.00, 2.82, 8)$
  - d'Agostini Bias? [[d'Agostini, Nucl.Instrum.Meth.A 346 \(1994\)](#)]

- red:**
- Akaike-Information-Criterion analysis [[H. Akaike IEEE TAC \(19,6,1974\)](#)] average over all possible fits with at least two data points and then weighted average:

$$w_{\{\alpha,i\}} = \mathcal{N}^{-1} \exp \left( -\frac{1}{2} (\chi_{\{\alpha,i\}}^2 - 2N_{\text{dof},\{\alpha,i\}}) \right) \quad \mathcal{N} = \sum_{\text{set} \in \{\alpha,i\}} w_{\text{set}}$$

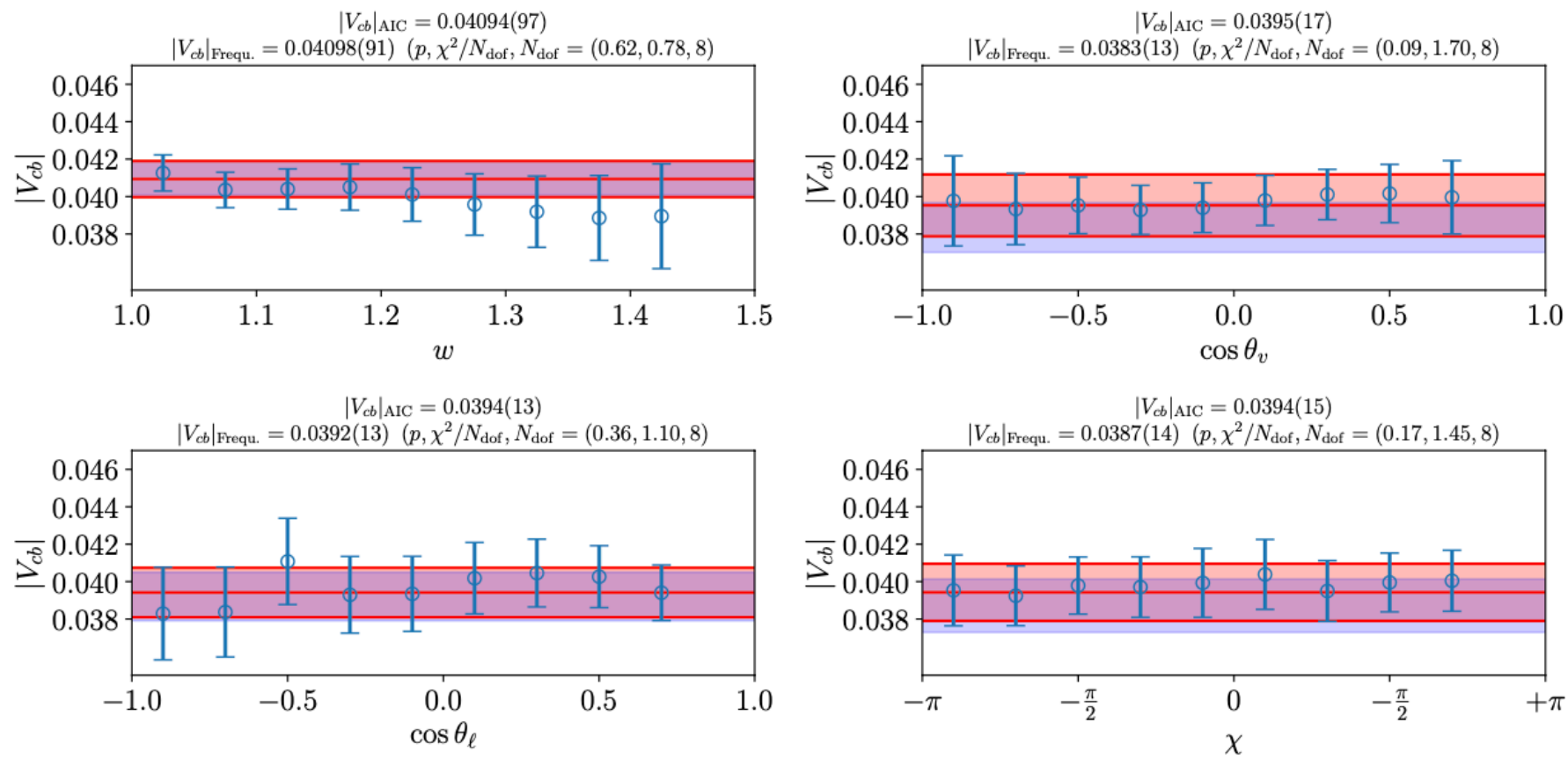
$$|V_{cb}| = \langle |V_{cb}| \rangle \equiv \sum_{\text{set} \in \{\alpha,i\}} w_{\text{set}} |V_{cb}|_{\text{set}}$$

- result more *sensible* and bias apparently reduced

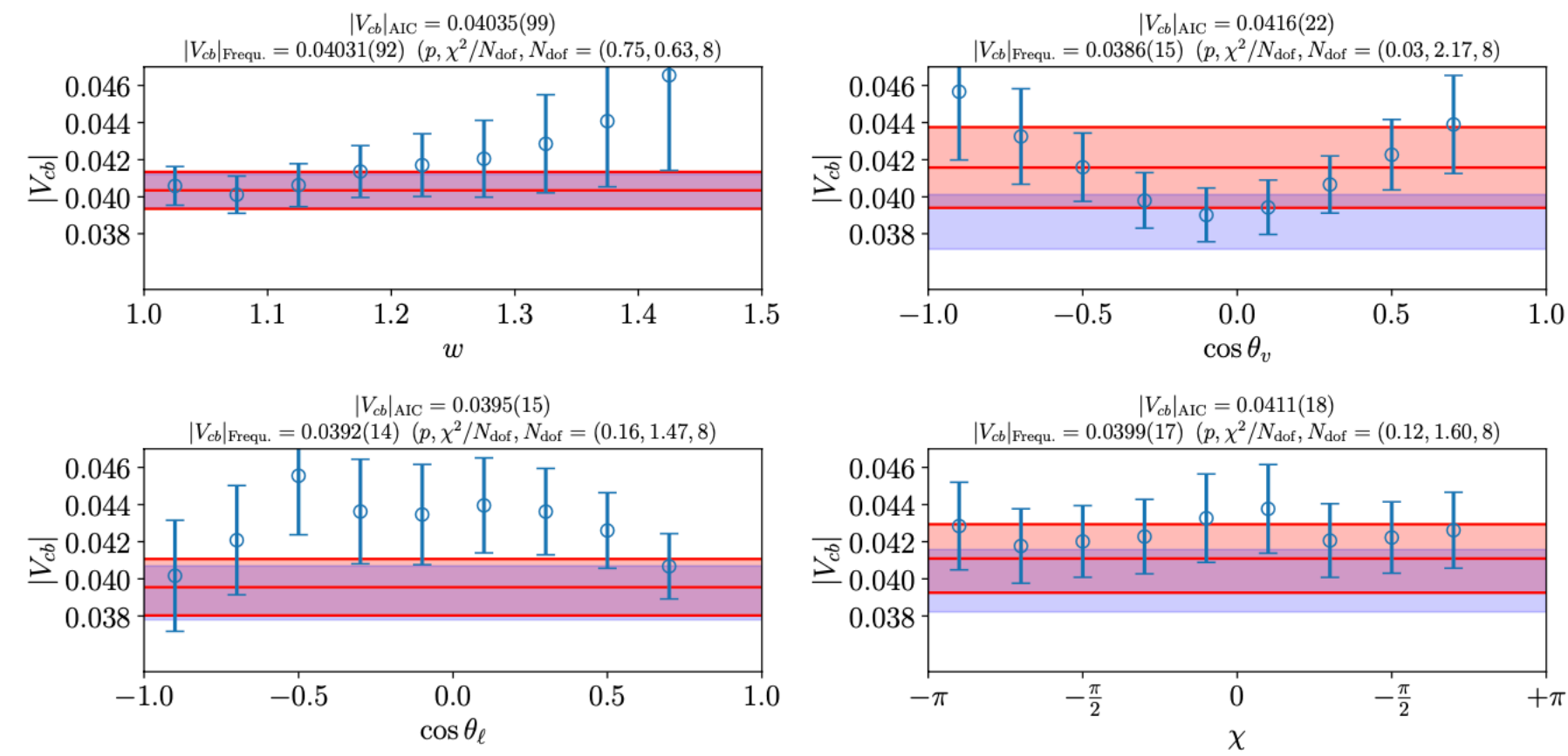
# $|V_{cb}|$ — Strategy A: different lattice input — different

Bordone, AJ, [2406.10074](#)

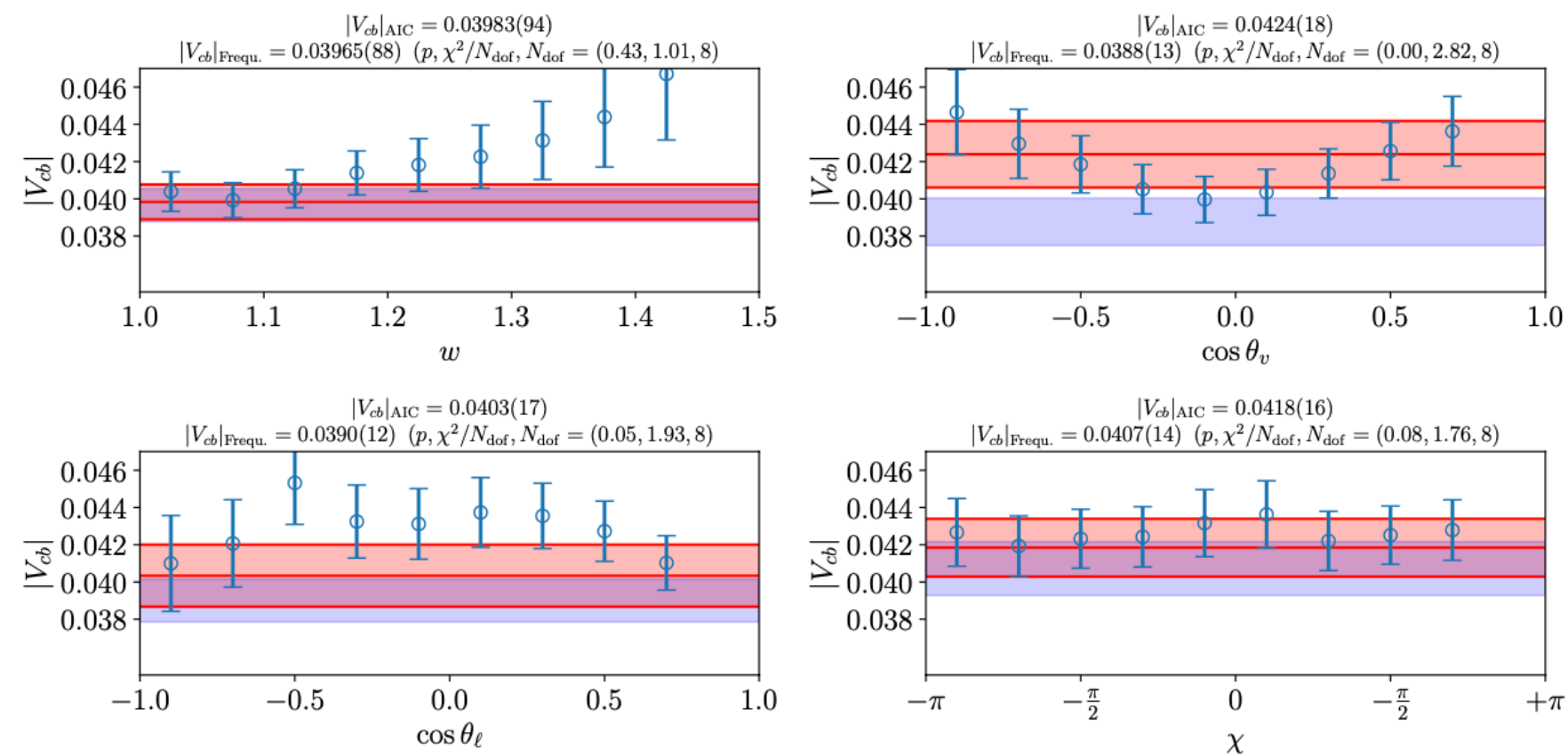
JLQCD 23



HPQCD 23



FNAL/MILC 21

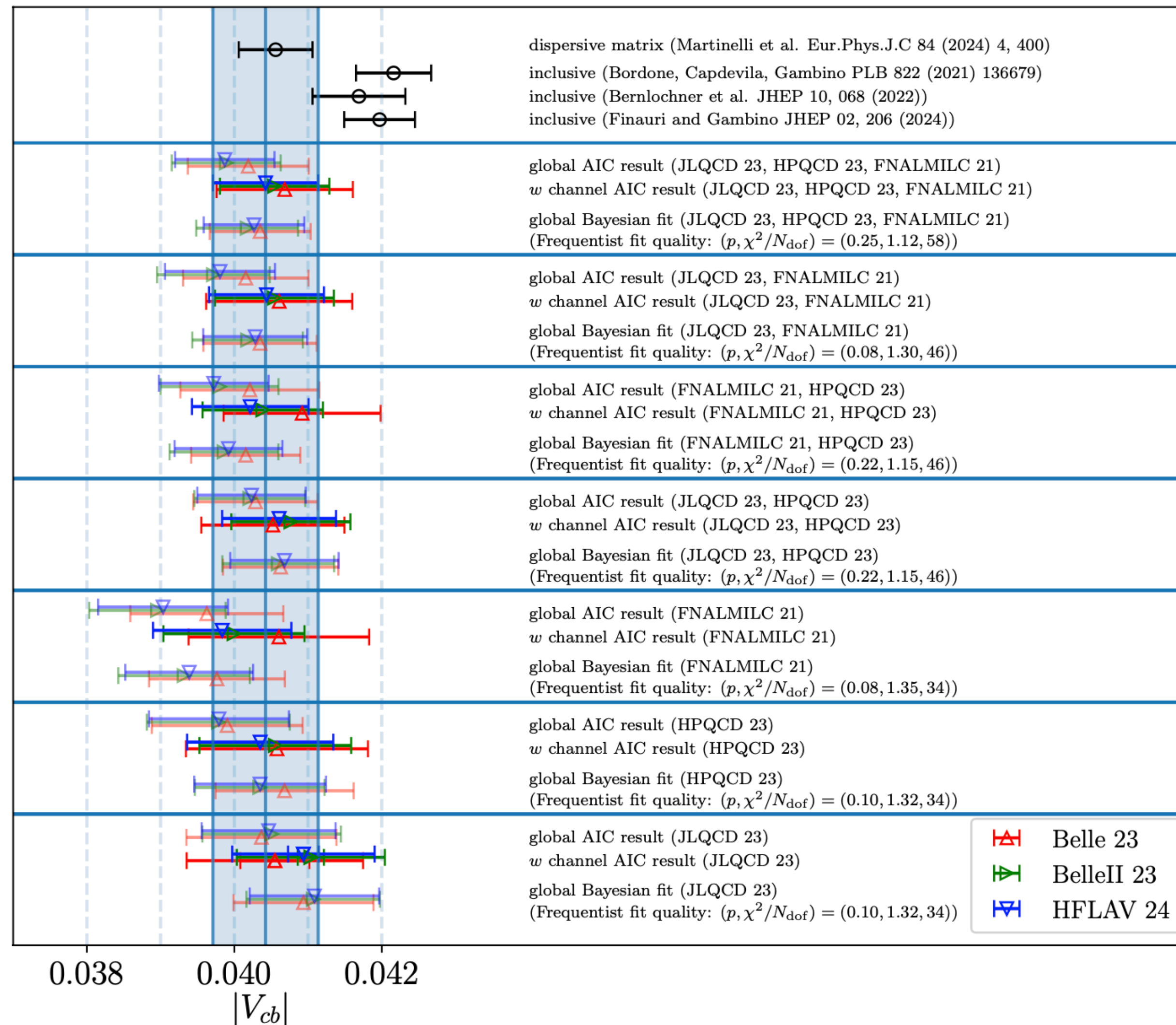


- AIC approach works nicely
- some lattice data however problematic and at odds with expectation
- in particular analysis of angular distributions problematic?
- discard analysis  $X = \cos \theta_v, \cos \theta_\ell, \chi$ ?



# $|V_{cb}|$ – Summary

Bordone, AJ, [2406.10074](#)



- comparison of different lattice and experimental input
- by and large good agreement (especially if angular bins discarded from AIC)

strategy A) BGL fit to lattice data, then combination with experiment  
 strategy B) BGL fit to both lattice and experiment

- We find no noteworthy tensions between the results from both strategies
- This analysis confirms a slight tension with inclusive analyses

# Summary

Framework for truncation- and model-independent form-factor fitting  
combining Frequentist and Bayesian statistics

Bayesian inference ansatz:

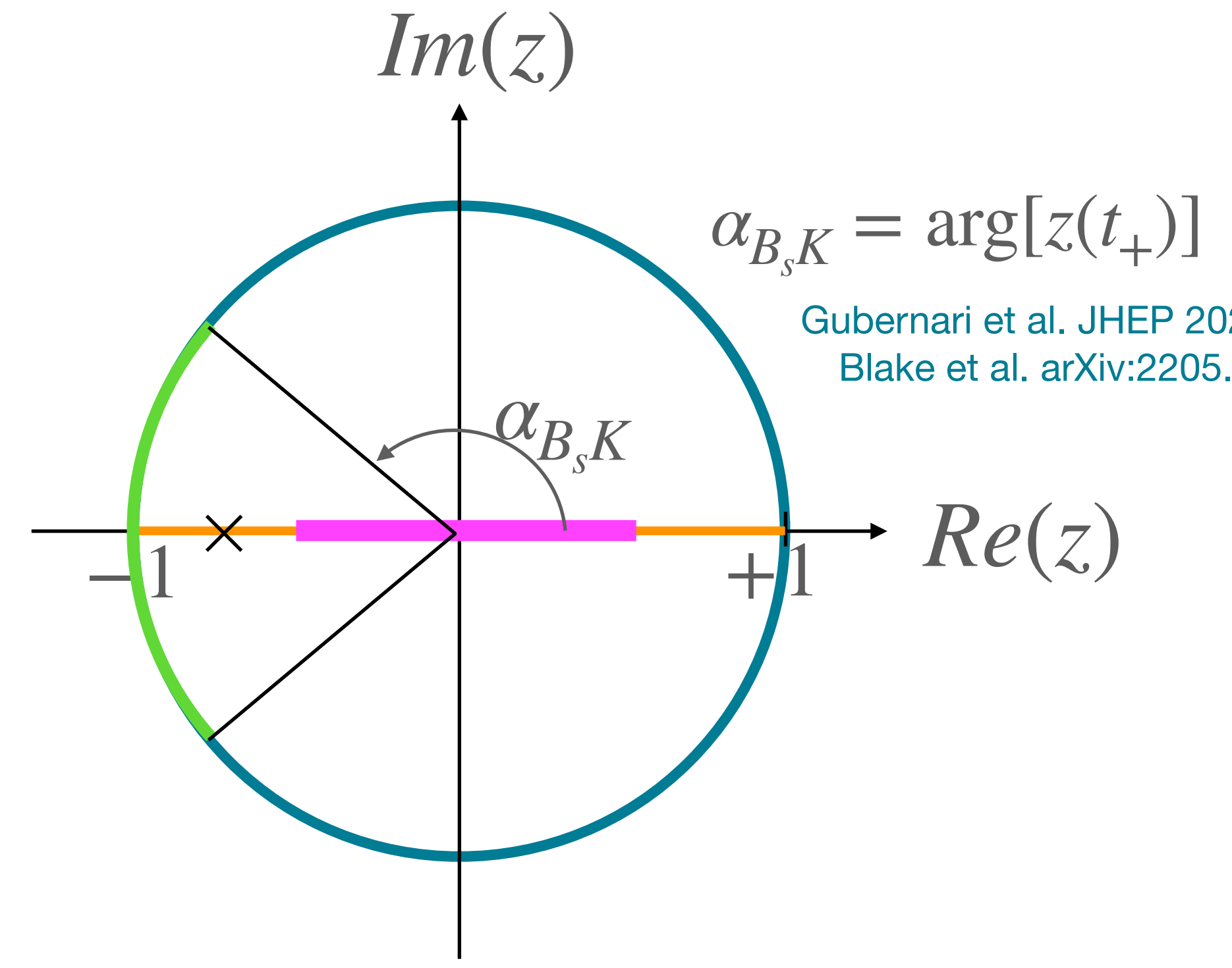
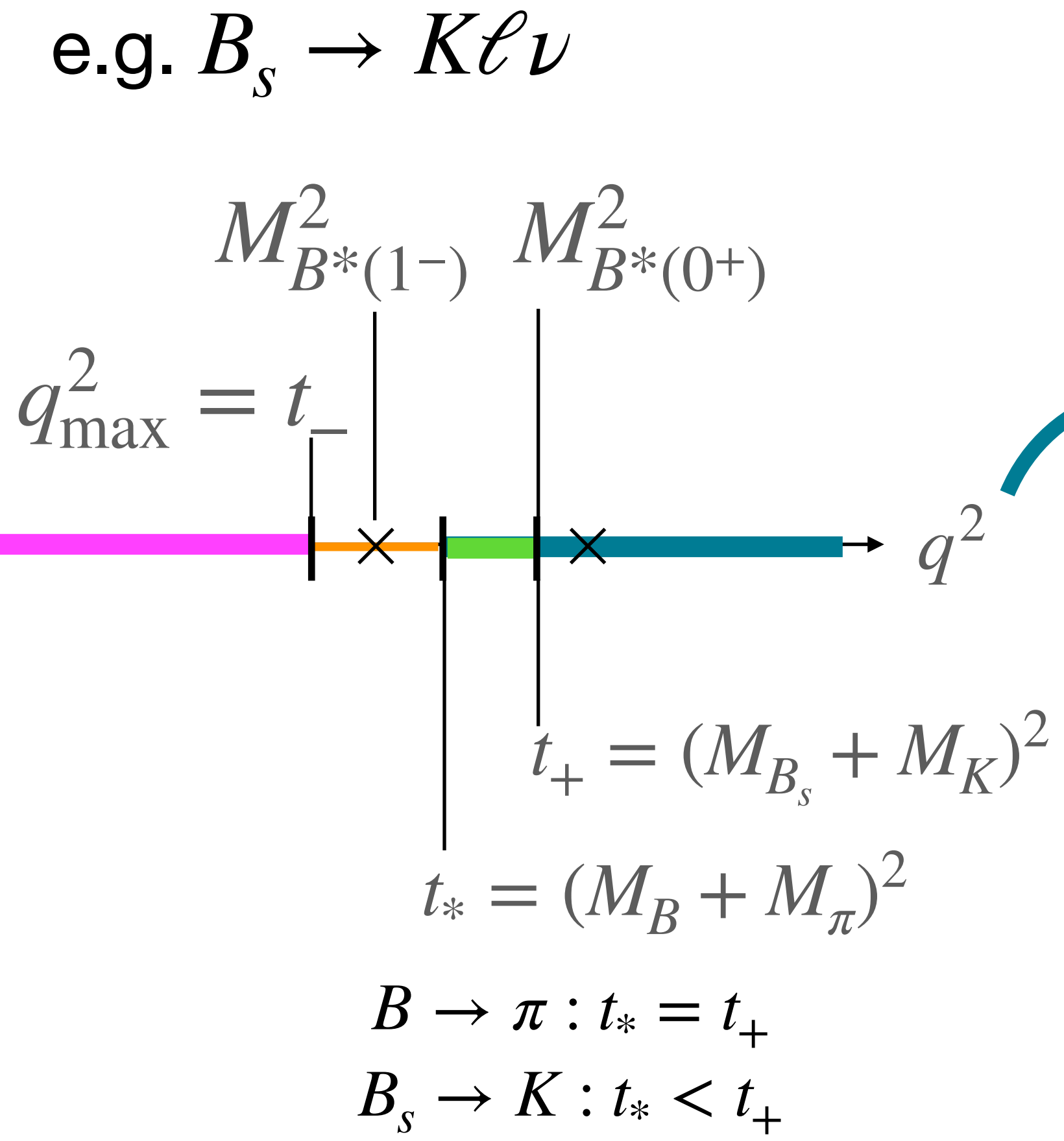
- Shown for  $P \rightarrow P$  and  $P \rightarrow V$  transitions
- Framework imposes unitarity constraint with meaningful statistical interpretation
- Unitarity constraint acts as regulator for higher-order coefficients
- Results converge to stable and truncation-independent values
  
- New quality of  $B \rightarrow D^* \ell \bar{\nu}_\ell$  data from theory and experiment
- SM tests passed at current level of precision
- but our analysis shows tensions amongst lattice as well as experimental data sets
- $|V_{cb}|$  tension between inclusive and exclusive confirmed

**Also have a look:**

- Dispersive-matrix method, [Di Carlo et al. PRD 2021, arXiv:2105.02497](#)
- Self-consistency checks of  $z$  expansion, [Simons, Gustafson, Meurice arXiv:2304.13045](#)

**Thank you**

# Map $q^2$ to unit disk



Gubernari et al. JHEP 2021,2022,  
 Blake et al. arXiv:2205.06041

Okubo, PRD 3, 2807 (1971), PRD 4, 725 (1971).  
 Okubo, Shih, PRD 4, 2020 (1971).  
 Boyd, Grinstein, Lebed, PLB 353, 306 (1995).  
 NPB461, 493 (1996). PRD 56, 6895 (1997).

# BGL expansion

$$f_X(q_i^2) = \frac{1}{B_X(q_i^2)\phi_X(q_i^2, t_0)} \sum_{n=0}^{K_X-1} a_{X,n} z(q_i^2)^n \quad X = +, 0$$

Boyd, Grinstein, Lebed, [PRL 74 \(1995\)](#)

## unitarity constraint

$$\frac{1}{\pi\chi_J^T(q^2)} \int_{t_+}^{\infty} dt \frac{W(t) |f(t)|^2}{(t - q^2)^3} \leq 1 \quad \longrightarrow \quad \frac{1}{2\pi i} \oint_C \frac{dz}{z} \theta_{B_s K} |B_X(q^2)\phi_X(q^2, t_0)f_X(q^2)|^2 \leq 1$$

$$a_{X,i} \langle z^i | z^j \rangle a_{X,j} \leq 1$$

$$\langle z^i | z^j \rangle_{\alpha} = \frac{1}{2\pi} \int_{-\alpha}^{\alpha} d\phi (z^i)^* z^j |_{z=e^{i\phi}} = \begin{cases} \frac{\sin(\alpha(i-j))}{\pi(i-j)} & i \neq j \\ \frac{\alpha}{\pi} & i = j \end{cases}$$

Flynn, AJ, Tsang, [arXiv:2303.11285](#)

# Bayesian Form factor Fit

Flynn, AJ, Tsang, [arXiv:2303.11285](https://arxiv.org/abs/2303.11285)

In practice high-dimensional  $\rightarrow$  low probability of drawing random number compatible with constraint

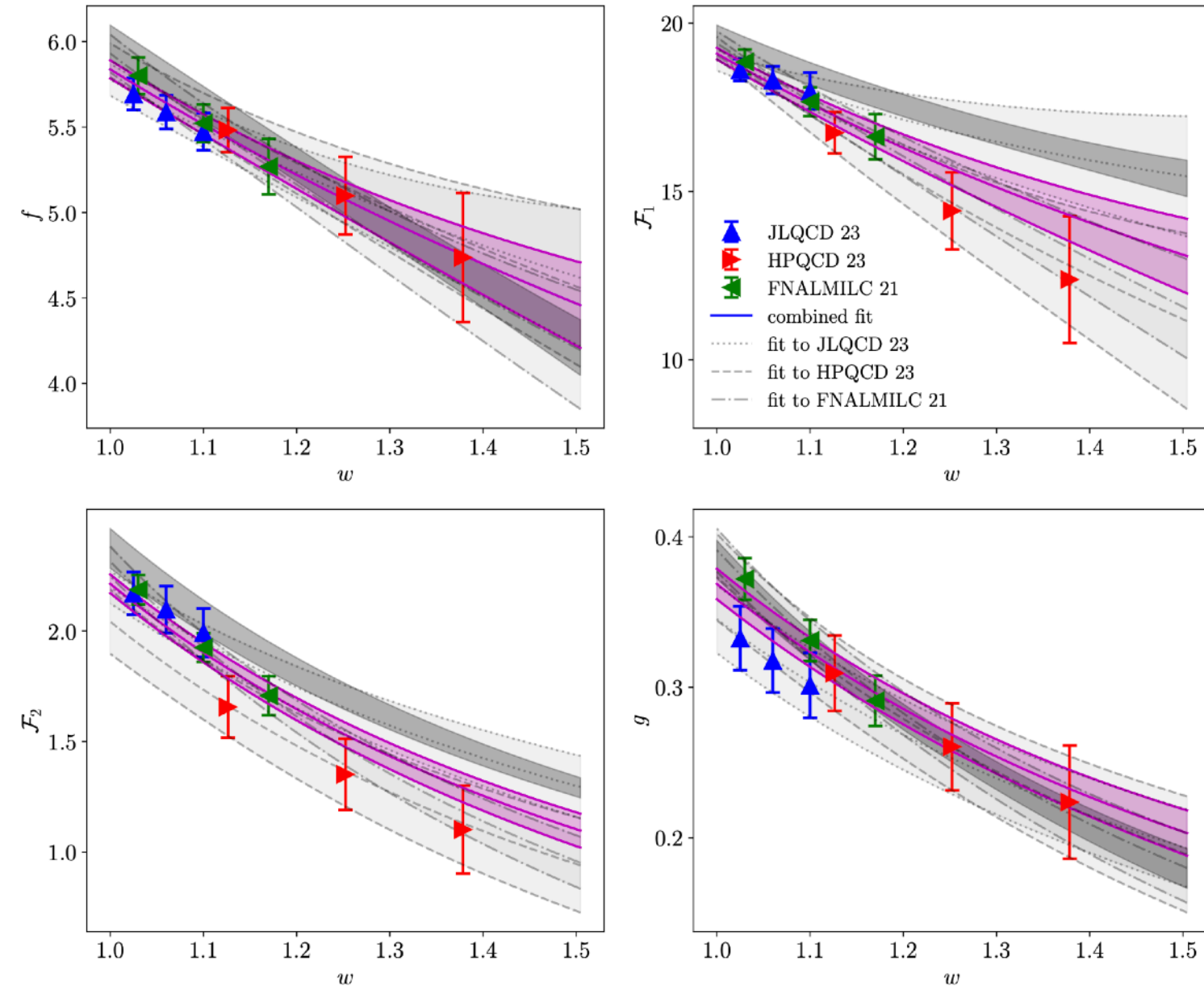
$$\pi_{\mathbf{a}}(\mathbf{a} | \mathbf{f}_p, C_{\mathbf{f}_p}) \pi_{\mathbf{a}}(\mathbf{a} | \mathbf{a}_p, M) \propto \theta(\mathbf{a}) \exp \left( -\frac{1}{2} (\mathbf{f}_p - Z\mathbf{a})^T C_{\mathbf{f}_p}^{-1} (\mathbf{f}_p - Z\mathbf{a}) - \frac{1}{2} \mathbf{a}^T M / \sigma^2 \mathbf{a} \right)$$

- Add ‘technical’ prior
- Choose  $M$  such that  $\mathbf{a}^T M \mathbf{a} \leq 1$  in presence of kinematical constraint
- Correct towards ‘no-prior’ with accept-reject step with probability

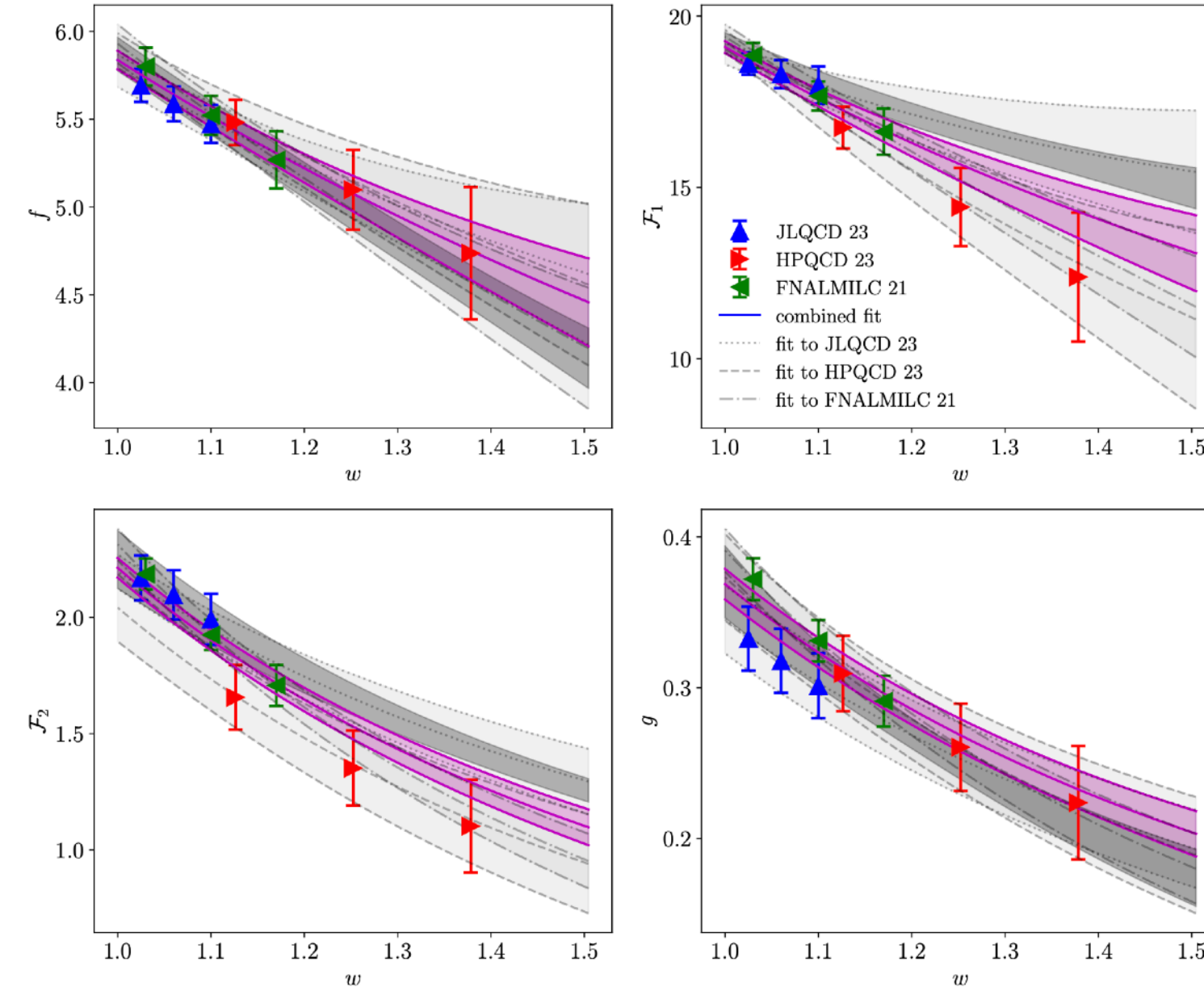
$$p \leq \frac{\exp(-1/\sigma^2)}{\exp(-\mathbf{a}^T M \mathbf{a} / 2\sigma^2)}$$

**FINAL RESULT INDEPENDENT OF *TECHNICAL* PRIOR**

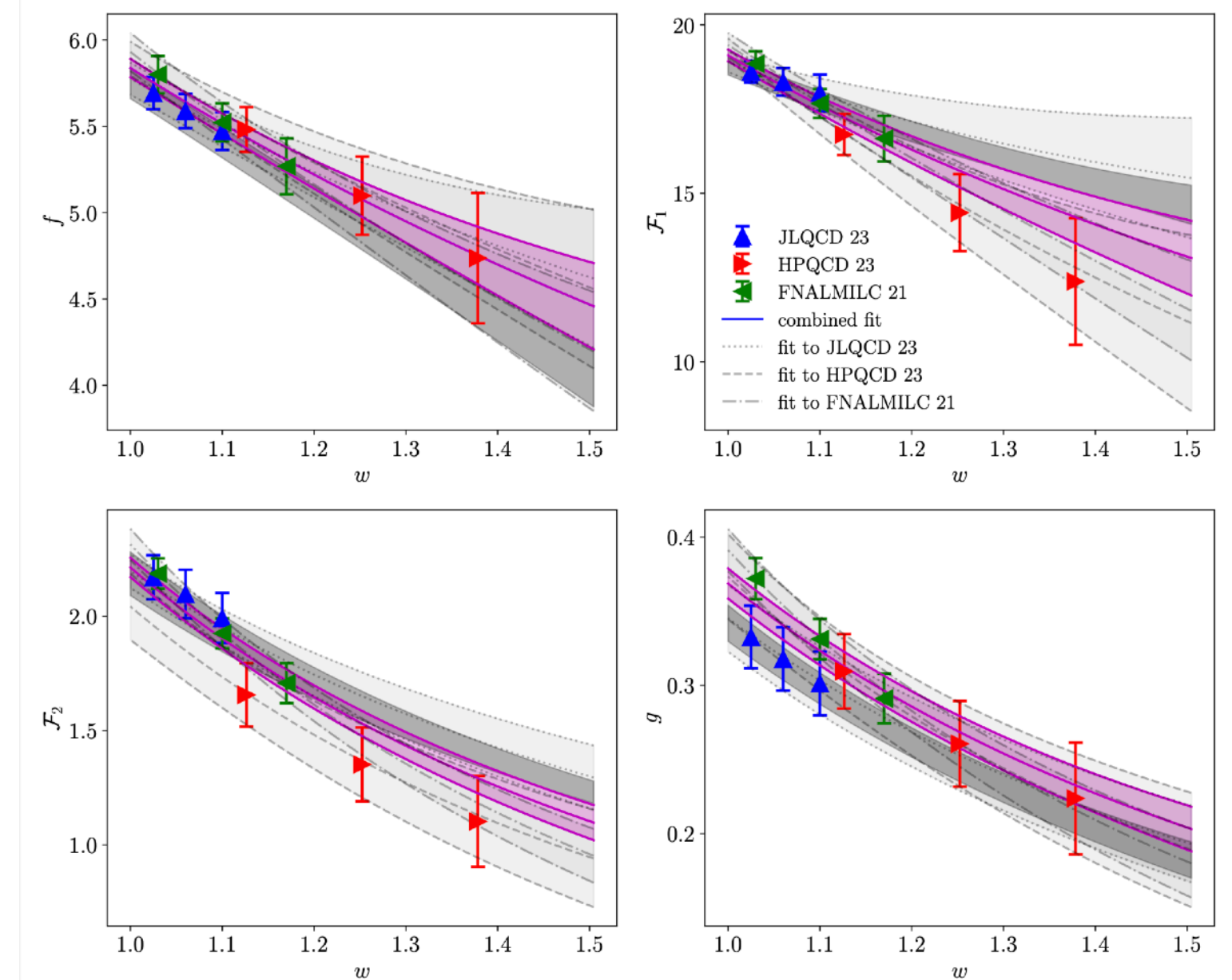
### FNAL/MILC 21 + HFLAV 23



### HPQCD 23 + HFLAV 23

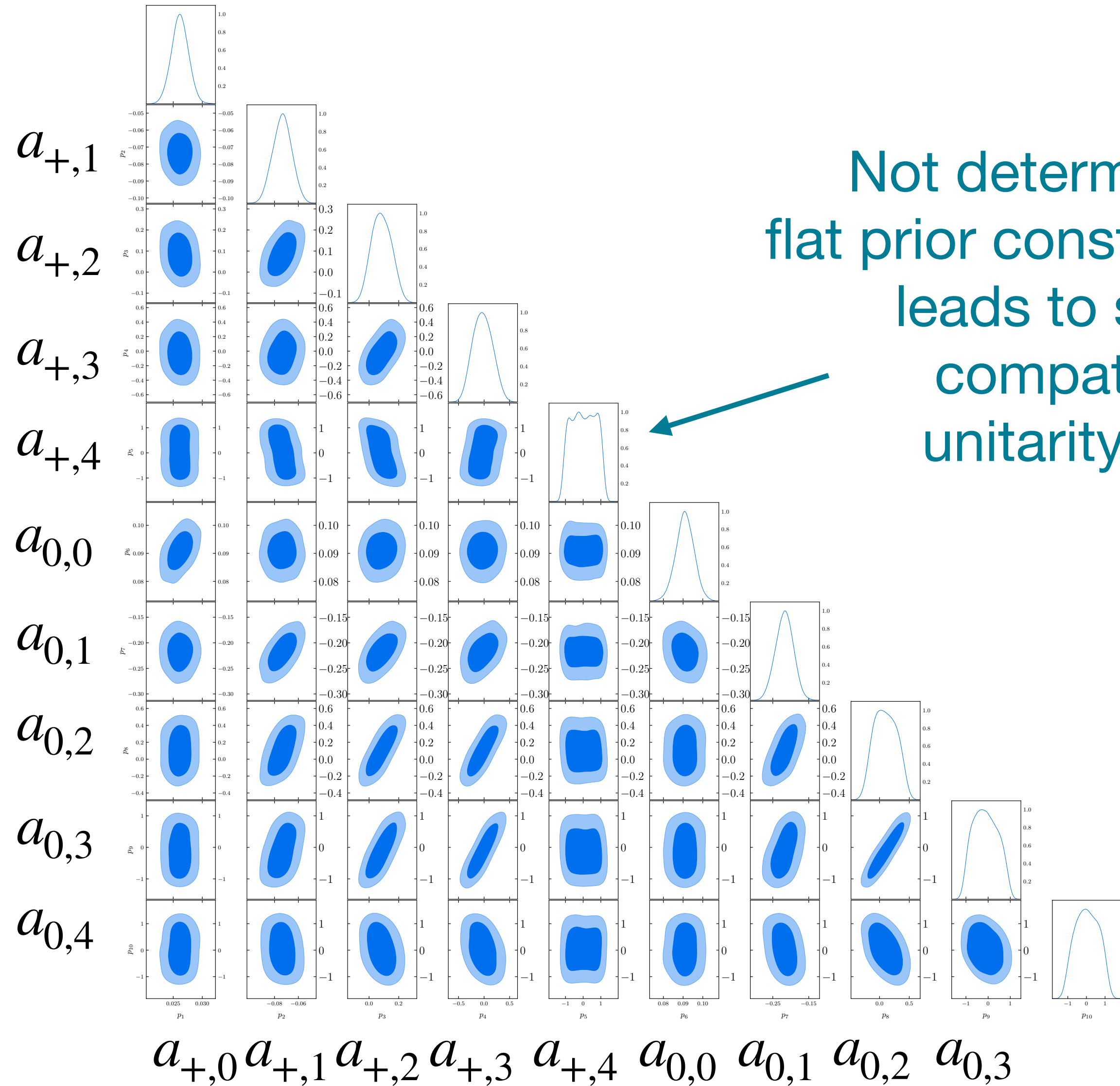


### JLQCD 23 + HFLAV 23



# Example 1: $B_s \rightarrow K\ell\nu$ — fit to HPQCD 14

Distribution and correlation of BGL coefficients truncation, e.g.  $(K_+, K_0) = (5, 5)$



Not determined by data, flat prior constrains coefficient, leads to stability and compatibility with unitarity constraint