Implications of SMEFT for semileptonic processes and effects beyond SMEFT

Based on : arXiv:2404.10061, arXiv: 2408.13069 and arXiv: 2305.16007 In collaboration with Prof. Amol Dighe, Susobhan Chattopadhyay, and Dr. Rick S. Gupta.

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Siddhartha Karmakar

Tata Institute of Fundamental Research, Mumbai, India



Motivation:

Standard Model Effective Field Theory (SMEFT) :

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{SM} + \frac{1}{\Lambda} C^{(5)} O^{(5)} + \frac{1}{\Lambda^2} \sum_i C_i^{(6)} O_i^{(6)} + \mathcal{O}\left(\frac{1}{\Lambda^3}\right)$$

- Includes SM fields only.
- Follows $SU(3)_C \times SU(2)_L \times U(1)_Y$.
- Electroweak (EW) symmetry linearly realized.

Current uncertainties in Higgs coupling measurements allow more generalized EFTs e.g. **Higgs Effective Field Therory (HEFT)**. In HEFT:

- $SU(2)_L \times U(1)_Y$ non-linearly realized.
- Higgs boson is not embedded in a $SU(2)_L$ -doublet: \longrightarrow More general coupling of Higgs.
- HEFT \supset SMEFT \supset SM
- In the energy scale much below the EW symmetry breaking, the relevant EFT is **Low Energy Effective Field Theory (LEFT)**
- LEFT can be derived from HEFT by integrating out the heavier particles W^{\pm} , Z, Higgs and top quark.

HEFT, SMEFT and LEFT



- More number of operator in HEFT/LEFT than in SMEFT \implies relations among HEFT/LEFT WCs
- Relations among HEFT/LEFT WCs \implies indirect bounds
- Violation of these relations \implies physics beyond SMEFT

• SMEFT-predicted relations among LEFT/HEFT Wilson coefficients

• SMEFT-predicted constraints on LEFT Wilson coefficients

- Violations of SMEFT-predicted relation.
 - Effects beyond SMEFT in neutral-current semileptonic processes.
 - Effects beyond SMEFT in charged-current semileptonic processes.

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An example derivation of relations among $U(1)_{em}$ invariant operators:

Vector operators <i>LLLL</i> (HEFT)		
	NC	Count
$[\mathbf{c}_{e_L d_L}^V]^{\alpha\beta ij}$	$(\bar{e}^{lpha}_L \gamma_\mu e^{eta}_L) (\bar{d}^i_L \gamma^\mu d^j_L)$	81 (45)
$[\mathbf{c}^V_{euLL}]^{\alpha\beta ij}$	$(\bar{e}^{\alpha}_L \gamma_{\mu} e^{\beta}_L) (\bar{u}^i_L \gamma^{\mu} u^j_L)$	81 (45)
$[\mathbf{c}_{ u dLL}^V]^{lphaeta ij}$	$(ar{ u}^{lpha}_L\gamma_{\mu} u^{eta}_L)(ar{d}^i_L\gamma^{\mu}d^j_L)$	81 (45)
$[\mathbf{c}_{ u uLL}^V]^{lphaeta ij}$	$(\bar{\nu}_L^{lpha}\gamma_\mu\nu_L^{eta})(\bar{u}_L^i\gamma^\mu u_L^j)$	81 (45)
	СС	
$[\mathbf{c}_{LL}^V]^{lphaeta ij}$	$(\bar{e}^{lpha}_L\gamma_{\mu} u^{eta}_L)(\bar{u}^i_L\gamma^{\mu}d^j_L)$	162 (81)

Vector operators $LLLL$ (SMEFT)		
	Operator	Count
$[\mathcal{C}_{\ell q}^{(1)}]^{lphaeta ij}$	$(ar{l}^lpha\gamma_\mu l^eta)(ar{q}^i\gamma^\mu q^j)$	81 (45)
$[\mathcal{C}_{\ell q}^{(3)}]^{lphaeta ij}$	$(\bar{l}^{lpha}\gamma_{\mu} au^{I}l^{eta})(\bar{q}^{i}\gamma^{\mu} au^{I}q^{j})$	81 (45)

$$\begin{split} C^{(1)\alpha\beta ij}_{lq} O^{(1)\alpha\beta ij}_{lq} \\ &= C^{(1)\alpha\beta ij}_{lq} (\bar{l}^{\alpha}\gamma_{\mu}l^{\beta}) (\bar{u}^{i}_{L}\gamma^{\mu}u^{j}_{L} + \bar{d}^{i}_{L}\gamma^{\mu}d^{j}_{L}) \end{split}$$

Matching among SMEFT and HEFT:

 $[\mathbf{c}_{\nu uLL}^{V}]^{\alpha\beta ij} = ([\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} + [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}), \quad [\mathbf{c}_{euLL}^{V}]^{\alpha\beta ij} = ([\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} - [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}),$ $[\mathbf{c}_{\nu dLL}^{V}]^{\alpha\beta ij} = ([\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} - [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}) , \quad [\mathbf{c}_{edLL}^{V}]^{\alpha\beta ij} = ([\mathcal{C}_{\ell a}^{(1)}]^{\alpha\beta ij} + [\mathcal{C}_{\ell a}^{(3)}]^{\alpha\beta ij}) ,$ $[\mathbf{c}_{LL}^V]^{\alpha\beta ij} = 2 \left[\mathcal{C}_{\ell q}^{(3)} \right]^{\alpha\beta ij}$ [E.E. Jenkins, A.V. Manohar and P. Stoffer, JHEP03(2018)016] [R. Bause, H. Gisbert, M. Golz and G. Hiller, Eur. Phys. J.C 82(2022)164] Challenges in semileptonic B decays Siddhartha Karmakar (TIFR)

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$$\begin{split} u^i_L &\to S^u_{L\,ij} u^j_L \ , \qquad u^i_R \to S^u_{R\,ij} u^j_R \ , \\ d^i_L &\to S^d_{L\,ij} d^j_L \ , \qquad d^i_R \to S^d_{R\,ij} d^j_R \ , \\ V_{\rm CKM} &= (S^u_L)^{\dagger} S^d_L \ . \end{split}$$

Resulting relations among HEFT/LEFT LLLL Wilson Coefficients

Category	Analytic relations	Count
LLLL	$V_{ik}^{\dagger} \left[\hat{\mathbf{c}}_{euLL}^{V} \right]^{\alpha\beta kl} V_{\ell j} = U_{\alpha\rho}^{\dagger} \left[\hat{\mathbf{c}}_{\nu dLL}^{V} \right]^{\rho\sigma i j} U_{\sigma\beta}$	81 (45)
	$V_{ik} \left[\hat{\mathbf{c}}_{edLL}^{V} \right]^{\alpha\beta kl} V_{\ell j}^{\dagger} = U_{\alpha\rho}^{\dagger} \left[\hat{\mathbf{c}}_{\nu uLL}^{V} \right]^{\rho\sigma ij} U_{\sigma\beta}$	81 (45)
	$V_{ik}^{\dagger} [\hat{\mathbf{c}}_{LL}^{V}]^{\alpha\beta kj} = [\hat{\mathbf{c}}_{edLL}^{V}]^{\alpha\rho ij} U_{\rho\beta}^{\dagger} - U_{\alpha\sigma}^{\dagger} [\mathbf{c}_{\nu dLL}^{V}]^{\sigma\beta ij}$	162 (81)

[S. Karmakar, A. Dighe, R. S. Gupta, arXiv:2404.10061]

- These relations are independent of any assumptions for the flavor structure in NP.
- We derive 17 classes of such relations (2223 relations with explicit flavor indices).
- In the scenario when SMEFT only contains four-fermionic operators i.e. the 'UV4f' scenario, the above relations will be applicable for WCs in LEFT as well.

$$\begin{split} u^i_L &\to S^u_{L\,ij} u^j_L \ , \qquad u^i_R \to S^u_{R\,ij} u^j_R \ , \\ d^i_L &\to S^d_{L\,ij} d^j_L \ , \qquad d^i_R \to S^d_{R\,ij} d^j_R \ , \\ V_{\rm CKM} &= (S^u_L)^{\dagger} S^d_L \ . \end{split}$$

Resulting relations among HEFT/LEFT LLLL Wilson Coefficients

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	$V_{ik}^{\dagger} \left[\hat{\mathbf{c}}_{euLL}^{V} ight]^{lphaeta kl} V_{\ell j} = U_{lpha ho}^{\dagger} \left[\hat{\mathbf{c}}_{ u dLL}^{V} ight]^{ ho \sigma i j} U_{\sigma eta}$	81 (45)
	$V_{ik} \left[\hat{\mathbf{c}}_{edLL}^{V} \right]^{\alpha\beta kl} V_{\ell j}^{\dagger} = U_{\alpha\rho}^{\dagger} \left[\hat{\mathbf{c}}_{\nu uLL}^{V} \right]^{\rho\sigma ij} U_{\sigma\beta}$	81 (45)
	$V_{ik}^{\dagger} [\hat{\mathbf{c}}_{LL}^{V}]^{\alpha\beta kj} = [\hat{\mathbf{c}}_{edLL}^{V}]^{\alpha\rho ij} U_{\rho\beta}^{\dagger} - U_{\alpha\sigma}^{\dagger} [\mathbf{c}_{\nu dLL}^{V}]^{\sigma\beta ij}$	162 (81)

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SMEFT predictions: Indirect bounds on $(\bar{\mu}\gamma^{\sigma}\mu)(\bar{u}\gamma_{\sigma}u)$, $(\bar{\nu}\gamma^{\sigma}\nu)(\bar{d}\gamma_{\sigma}d)$



SMEFT predictions: Indirect bounds on $(\bar{\nu}\gamma^{\sigma}\nu)(\bar{u}\gamma_{\sigma}u)$



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SMEFT predictions: Indirect bounds on $(\bar{\mu}\gamma^{\sigma}\nu)(\bar{u}\gamma_{\sigma}d)$



Observed excess in $\mathbf{B} \rightarrow \mathbf{K} \nu \nu$:



$$[C_{LL}^V]^{\alpha\beta i3} = V_{i2}([C_{edLL}^V]^{\alpha\beta 23} - [C_{\nu dLL}^V]^{\alpha\beta 23})V_{3j}^{\dagger} .$$

 \Rightarrow Possible excess in $b \rightarrow c \ell \nu$, $b \rightarrow u \ell \nu$

[R. Bause, H. Gisbert, and G. Hiller, PhysRevD.109.015006] [S. Bhattacharya, S. Jahedi, S. Nandi and A. Sarkar, arXiv:2312.14872] [S. Karmakar, A. Dighe, R. S. Gupta, arXiv:2404.10061] $\mathbf{R}(\mathbf{D}^{(*)})$ annomalies:



$$[C_{LL}^{V}]^{3323} = V_{cd} \left[[C_{edLL}^{V}]^{3313} - [C_{\nu dLL}^{V}]^{3313} \right] + V_{cs} \left[[C_{edLL}^{V}]^{3323} - [C_{\nu dLL}^{V}]^{3323} \right] + V_{cs} \left[[C_{edLL}^{V}]^{3333} - [C_{\nu dLL}^{V}]^{3333} \right] + V_{cs} \left[[C_{edLL}^{V}]^{3323} - [C_{\nu dLL}^{V}]^{3323} \right] + V_{cs} \left[[C_{edLL}^{V}]^{3323} - [C_{\nu dL}^{V}]^{3323} \right] + V_{cs} \left[[C_{edL}^{V}]^{3323} - [C_{\nu dL}^{V}]^{3323$$

• Possible NP in $b \to d\tau \tau$, $b \to s\tau \tau$, $b \to d\nu \nu$ and $b \to s\nu \nu$

• These possible NP effects can manifest in $B \to \tau \tau$, $B_s \to \tau \tau$, $B \to K^{(*)} \tau \tau$, $B \to K^{(*)} \nu \nu$ etc.

[R. Alonso, B. Grinstein and J. Martin Camalich, JHEP10(2015)184]
 [A. Crivellin, D. Müller and T. Ota, JHEP09(2017)040]
 [S. Karmakar, A. Dighe, R. S. Gupta, arXiv:2404.10061]

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- Systematic exploration of SMEFT predictions for all semileptonic operators taking the full expansion of the CKM matrix.
- These prediction are independent of any assumptions about the alignment of the mass and flavor bases for the quarks.
- Implications of the violation of SMEFT predictions:
 - Physics beyond UV4f
 - Large contribution from dimension-8 SMEFT operators
 - Physics beyond SMEFT
- Next: Exploring possible physics beyond SMEFT with two examples:

 - $b \to c \tau \nu$

EFT for processes involving $b \to s \tau \tau$ channel

$$\mathcal{H}^{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha_e}{4\pi} \left(\sum_i C_i O_i + \sum_j C'_j O'_j \right),$$

where the scalar and pseudoscalar operators are

$$O_S^{(\prime)} = \left[\bar{s}P_R(L)b\right]\left[\ell\ell\right], \quad O_P^{(\prime)} = \left[\bar{s}P_R(L)b\right]\left[\ell\gamma_5\ell\right].$$

SMEFT predictions : $C_S = -C_P$, and $C'_S = C'_P$.

[O. Catà and M. Jung, PhysRevD.92.055018]

Non-SMEFT effect can be parameterized as

$$C_S + C_P \equiv \Delta C$$
, $C'_S - C'_P \equiv \Delta C'$.

We consider the following scenarios

SM,

- 2 VA: where NP is present only in vector operators,
- SP: where NP is present only in scalar operators with, $\Delta C^{(\prime)} = 0$
- \widetilde{SP} : where NP is present only in scalar operators with $\Delta C^{(\prime)} \neq 0$.

Angular distribution $B \to K^* \tau \tau$



$$I(q^{2}, \theta_{l}, \theta_{V}, \phi)$$

$$= I_{1}^{s} \sin^{2} \theta_{V} + I_{1}^{c} \cos^{2} \theta_{V}$$

$$+ (I_{2}^{s} \sin^{2} \theta_{V} + I_{2}^{c} \cos^{2} \theta_{V}) \cos 2\theta_{l}$$

$$+ I_{3} \sin^{2} \theta_{V} \sin^{2} \theta_{l} \cos 2\phi$$

$$+ I_{4} \sin 2\theta_{V} \sin 2\theta_{l} \cos \phi$$

$$+ I_{5} \sin 2\theta_{V} \sin \theta_{l} \cos \phi$$

$$+ (I_{6}^{s} \sin^{2} \theta_{V} + I_{6}^{c} \cos^{2} \theta_{V}) \cos \theta_{l}$$

$$+ I_{7} \sin 2\theta_{V} \sin \theta_{l} \sin \phi + I_{8} \sin 2\theta_{V} \sin 2\theta_{l} \sin \phi$$

$$+I_9\sin^2\theta_V\sin^2\theta_l\sin 2\phi\,,$$

[J. Gratrex, M. Hopfer and R. Zwicky, Phys.Rev.D 93(2016)054008]

$$C_S + C_P \equiv \Delta C$$
, $C'_S - C'_P \equiv \Delta C'$.

$$S_i^{(a)} = \frac{(I_i^{(a)} + \bar{I}_i^{(a)})}{d(\Gamma + \bar{\Gamma})/dq^2},$$

$$A_{FB} = \frac{3}{8}(2S_6^s + S_6^c), \quad F_L = S_1^c$$

()	
NP WCs	Sensitive observables
$C_9^{(\prime)}$, $C_{10}^{(\prime)}$	$S_1^{s,c}, S_2^{s,c}, S_3, S_4, S_5, S_6^s, A_7 A_{FB}, \mathcal{B}(B \to K^* \tau^+ \tau^-)$
C_{S-}	$\begin{array}{c} S_1^c + S_2^c, \ S_6^c, \ A_{FB} \\ \mathcal{B}(B_s \rightarrow \tau^+ \tau^-) \end{array}$
C_{P-}	$\frac{F_L}{\mathcal{B}(B_s \to \tau^+ \tau^-)}$
C_{S+}, C_{P+}	$\mathcal{B}(B \to K \tau^+ \tau^-)$

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Beyond-SMEFT effects in $B \to K^{*0} \tau^+ \tau^-$ angular observables



Beyond-SMEFT effects in $B \to K^{*0} \tau^+ \tau^-$ angular observables



[S. Karmakar, A. Dighe, arXiv:2408.13069]



$$\frac{1}{(d\Gamma/dq^2)} \frac{d\Gamma}{dq^2 d \cos \theta_c d \cos \theta_l d\chi}$$

= $A_0 + A_1 \cos \theta_c + A_2 \cos \theta_l$
+ $A_3 \cos \theta_c \cos \theta_l + A_4 \cos^2 \theta_l$
+ $A_5 \cos \theta_c \cos^2 \theta_l$
+ $A_6 \sin \theta_c \sin \theta_l \cos \chi$
+ $A_7 \sin \theta_c \sin \theta_l \cos \eta \cos \chi$
+ $A_8 \sin \theta_c \sin \theta_l \cos \theta_l \cos \chi$
+ $A_9 \sin \theta_c \sin \theta_l \cos \theta_l \sin \chi$.

 $O_V^{LR} \equiv (\bar{\tau}\gamma^\mu P_L \nu_\tau) (\bar{c}\gamma_\mu P_R b)$

- Large contribution coming from O_V^{LR} would imply effects beyond SMEFT.
- Our goal is to find angular observables in $\Lambda_b \to \Lambda_c (\to \Lambda \pi) \tau \nu_{\tau}$ that can distinguish effects of large O_V^{LR} .

[C.P. Burgess, S. Hamoudou, J. Kumar and D. London, PhysRevD.105.073008]

Beyond-SMEFT effects in angular observables in $\Lambda_b \to \Lambda_c (\to \Lambda \pi) \tau \bar{\nu}_{\tau}$



[S. Karmakar, S. Chattopadhyay, A. Dighe, PhysRevD.110.015010]

Summary

- We find 17 classes (2223 with generation indices) of relations among LEFT WCs based on the $SU(2)_L \times U(1)_Y$ invariance of SMEFT.
- Based on these relations, we find indirect bounds on WCs which are in some cases weakly constrained in direct experiments.
- The relations and the indirect bounds do not depend on any NP flavour assumption.
- Violation of these relations implies existence of physics beyond SMEFT.
- Effects beyond SMEFT can be probed indirectly in low energy flavour physics observables.
- We find the effectiveness of different angular observables in $B \to K^* \tau^+ \tau^-$ and $\Lambda_b \to \Lambda_c (\to \Lambda \pi) \tau \nu_\tau$ decay, which can distinguish non-SMEFT effects from other NP scenarios present within SMEFT.

Summary

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Thank you for your attention!

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LLLL	$V_{ik}^{\dagger} \left[\hat{\mathbf{c}}_{euLL}^{V} \right]^{\alpha\beta kl} V_{\ell j} = U_{\alpha\rho}^{\dagger} \left[\hat{\mathbf{c}}_{\nu dLL}^{V} \right]^{\rho\sigma ij} U_{\sigma\beta}$	81 (45)
	$V_{ik} \left[\hat{\mathbf{c}}_{edLL}^V \right]^{\alpha\beta kl} V_{\ell j}^{\dagger} = U_{\alpha\rho}^{\dagger} \left[\hat{\mathbf{c}}_{\nu uLL}^V \right]^{\rho\sigma ij} U_{\sigma\beta}$	81 (45)
	$V_{ik}^{\dagger} \left[\hat{\mathbf{c}}_{LL}^{V} \right]^{\alpha\beta kj} = \left[\hat{\mathbf{c}}_{edLL}^{V} \right]^{\alpha\rho ij} U_{\rho\beta}^{\dagger} - U_{\alpha\sigma}^{\dagger} \left[\hat{\mathbf{c}}_{\nu dLL}^{V} \right]^{\sigma\beta ij}$	162 (81)
RRRR	No relations	
LLRR	$[\hat{\mathbf{c}}_{edLR}^{V}]^{\alpha\beta ij} = U_{\alpha\rho}^{\dagger} [\hat{\mathbf{c}}_{\nu dLR}^{V}]^{\rho\sigma ij} U_{\rho\beta}$	81 (45)
	$[\hat{\mathbf{c}}^V_{euLR}]^{lphaeta ij} = U^{\dagger}_{lpha ho} [\hat{\mathbf{c}}^V_{ u uLR}]^{ ho\sigma ij} U_{ hoeta}$	81 (45)
	$[\hat{\mathbf{c}}_{LR}^V]^{lphaeta ij}=0$	162 (81)
RRLL	$[\hat{\mathbf{c}}_{edRL}^{V}]^{lphaeta ij} = V_{ik}^{\dagger} [\hat{\mathbf{c}}_{euRL}^{V}]^{ ho\sigma kl} V_{lj}$	81 (45)

Category	Analytic relations	Count
Scalar (d_R)	$V_{ik} \left[\hat{\mathbf{c}}_{ed,RLLR}^S \right]^{\alpha\beta kj} = \left[\hat{\mathbf{c}}_{RLLR}^S \right]^{\alpha\rho ij} U_{\rho\beta}$	162 (81)
	$[\hat{f c}^S_{ed,RLRL}]^{lphaeta ij}=0$	162 (81)
Scalar (u_R)	$[\hat{\mathbf{c}}^{S}_{eu,RLRL}]^{lphaeta ik} V_{kj} = -[\hat{\mathbf{c}}^{S}_{RLRL}]^{lpha ho ij} U_{ hoeta}$	162 (81)
	$[\hat{f c}^S_{eu,RLLR}]^{lphaeta ij}=0$	162 (81)
Tensor (d_R)	$[\hat{\mathbf{c}}_{ed,\mathrm{all}}^T]^{lphaeta ij}=0$	324 (162)
	$[\hat{\mathbf{c}}_{RLLR}^T]^{lphaeta ij}=0$	162 (81)
Tensor (u_R)	$[\hat{\mathbf{c}}_{eu,RLRL}^T]^{\alpha\beta ik} V_{kj} = -[\hat{\mathbf{c}}_{RLRL}^T]^{\alpha\rho ij} U_{\rho\beta}$	162 (81)
	$[\hat{f c}_{eu,RLLR}^T]^{lphaeta ij}=0$	162 (81)
Z and W^\pm	$\left[\hat{\mathbf{c}}_{ud_LW}\right]^{ij} = \frac{1}{\sqrt{2}}\cos\theta_w \left(\left[\hat{\mathbf{c}}_{u_LZ}\right]^{ik}V_{kj} - V_{ik}\left[\hat{\mathbf{c}}_{d_LZ}\right]^{kj}\right)$	18 (9)
	$[\hat{\mathbf{c}}_{e\nu_L W}]^{\alpha\rho} U_{\rho\beta} = \frac{1}{\sqrt{2}} \cos \theta_w \left([\hat{\mathbf{c}}_{e_L Z}]^{\alpha\beta} - U^{\dagger}_{\alpha\rho} [\hat{\mathbf{c}}_{\nu_L Z}]^{\rho\sigma} U_{\sigma\beta} \right)$	18 (9)



 $B \to K^*$ form factors from [A. Bharucha, D.M. Straub and R. Zwicky - 2015] $\Lambda_b \to \Lambda_c$ form factors from [W. Detmold, C. Lehner and S. Mein - 2015]