

Measurement of the $B^- \rightarrow D^{**0} \tau^- \bar{\nu}_\tau$ decay rate

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on behalf of the LHCb Collaboration



Challenge in Semileptonic decays, Vienna, September 24,
2024

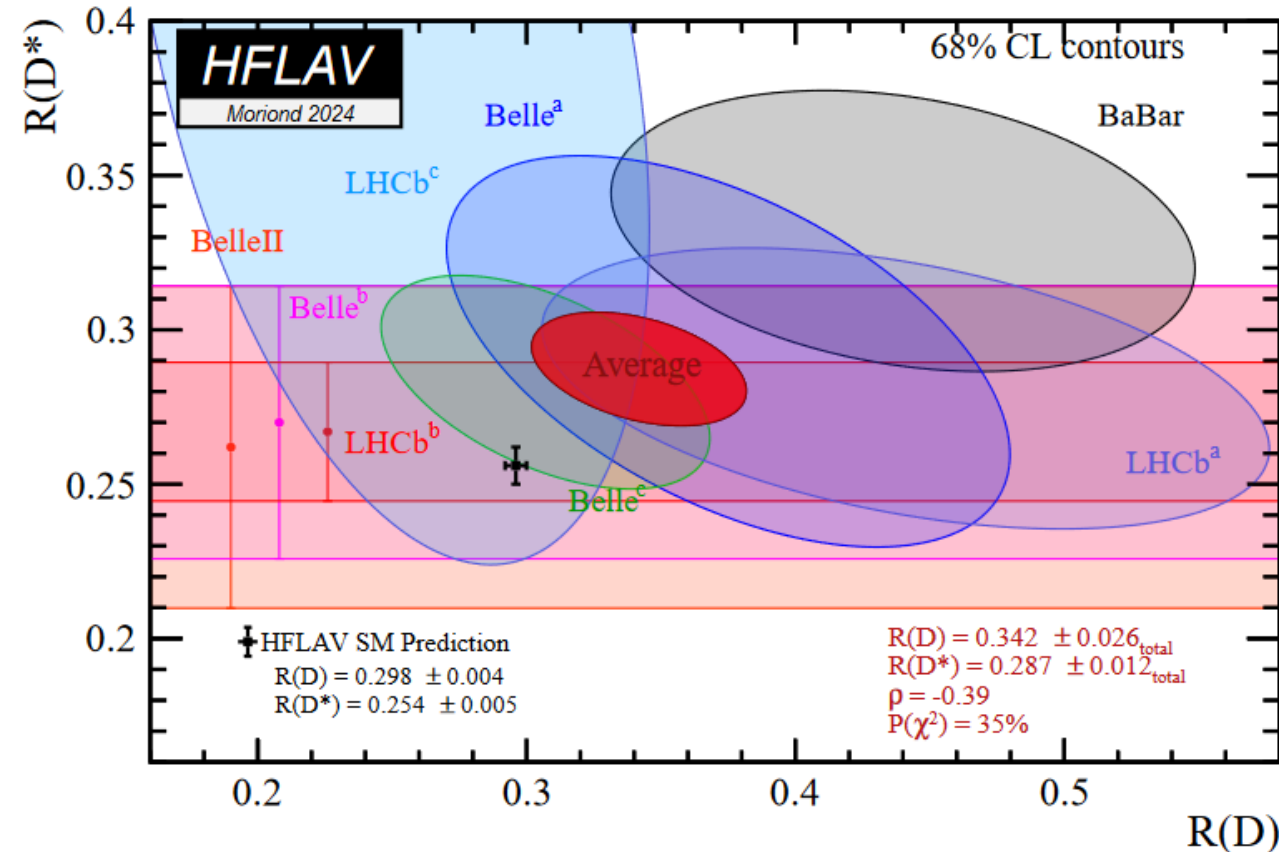
R(D*) and D** feed-down

Ever since the first R(D)-R(D*) measurement 12 years ago, **the possibility that the observed excess is due to D** feed-down** has been mentioned

$B \rightarrow D^{**} \tau \nu$; $D^{**} \rightarrow D^{(*)} X$

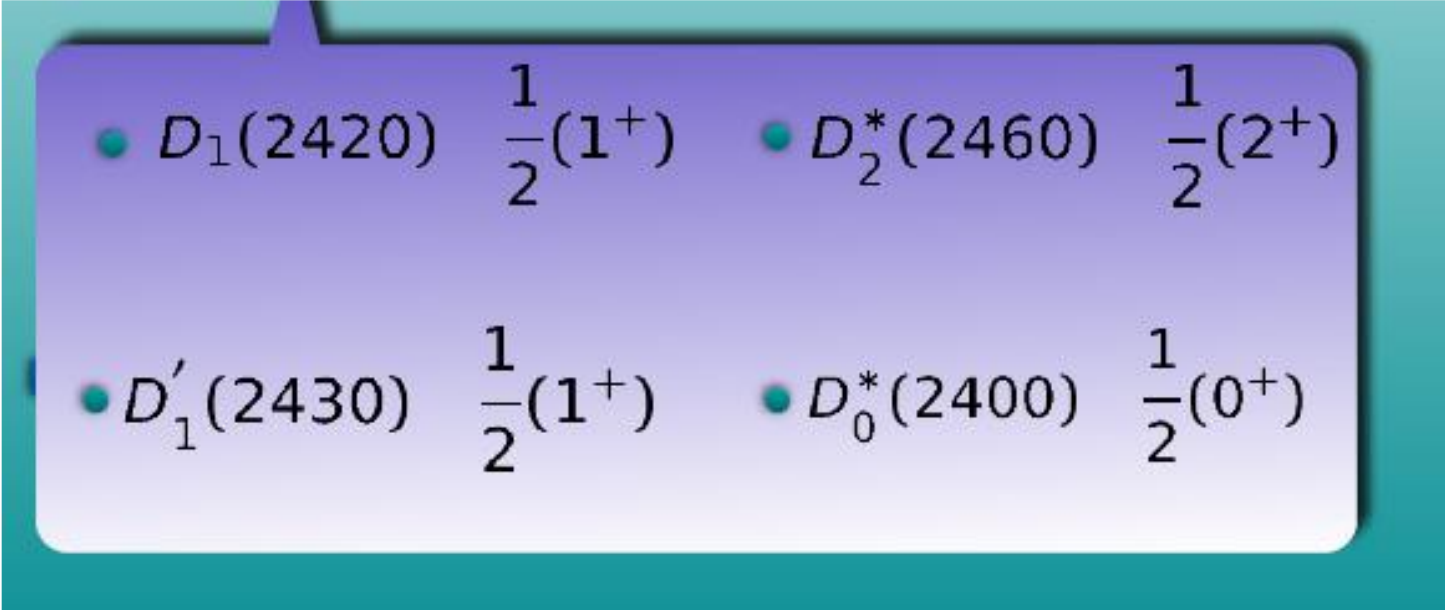
B can be B^0 or B^+ ; D^{**} can be D^{**0} or D^{**+}

This is a common systematic to all R(D^(*)) measurements in all experiments



Present discrepancy with SM : 3.3 σ

The D^{**} family



• $D_1(2420) \quad \frac{1}{2}(1^+)$	• $D_2^*(2460) \quad \frac{1}{2}(2^+)$
• $D_1'(2430) \quad \frac{1}{2}(1^+)$	• $D_0^*(2400) \quad \frac{1}{2}(0^+)$

The $D_0(2400)$ does not decay to D^*
 D_1 and D_2^* are narrow states

Theoretical predictions in RMP

Florian U. Bernlochner, Manuel Franco Sevilla, Dean J. Robinson, and Guy Wormser, Rev. Mod. Phys. 94, 015003

$$\mathcal{R}(D_0^*) = 0.08(3), \quad \mathcal{R}(D_1') = 0.05(2),$$

$$\mathcal{R}(D_1) = 0.10(2), \quad \mathcal{R}(D_2^*) = 0.07(1).$$

- These ratios give $\text{BR}(B \rightarrow D^{**} \tau \nu) / \text{BR}(B \rightarrow D^{**} \mu \nu)$. Typically $\text{BR}(B \rightarrow D^{**} \mu \nu)$ is 10% $\text{BR}(B \rightarrow D^* \mu \nu)$
 - In addition, these R ratios need to be multiplied by $\text{BR}(D^{**} \rightarrow D^* \pi)$
- The visible $\text{BR}(B \rightarrow D^{**} \tau \nu)$ are therefore expected to be $\sim 0.02-0.03\%$

Parent	Final State				
	$D^* \pi^+$	$D^* \pi^0$	$D \pi^+$	$D \pi^0$	$\sum D \pi \pi$
D_2^*	0.26	0.13	0.40	0.20	—
D_1	0.42	0.21	—	—	0.36
D_1'	0.67	0.33	—	—	—
D_0	—	—	0.67	0.33	—

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Recent review of D^{**} feed-down recipes in

Florian U. Bernlochner, Manuel Franco Sevilla, Dean J. Robinson, and Guy Wormser, Rev. Mod. Phys. 94, 015003

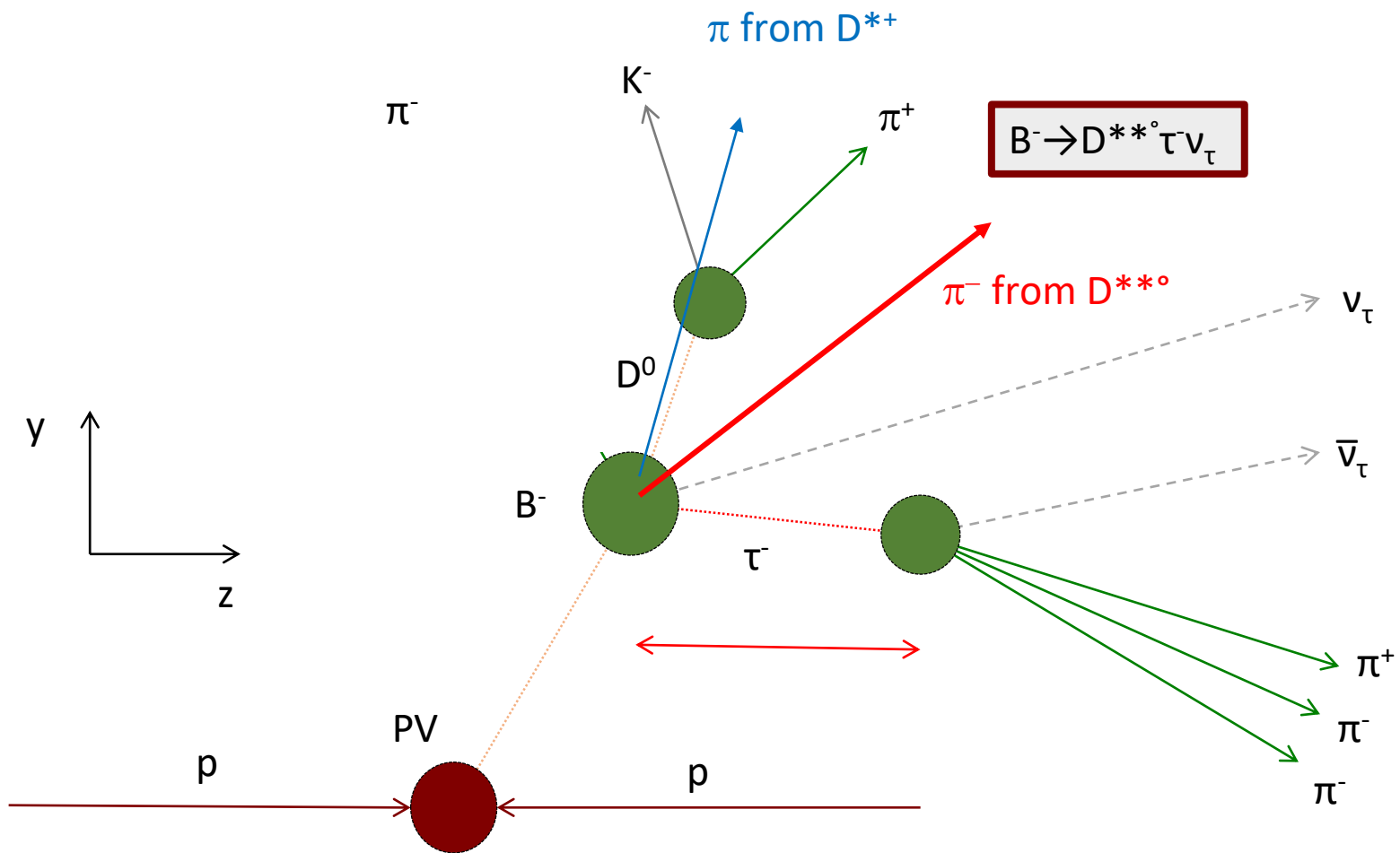
- $R(D^{**})$ assumptions for feed-down subtraction :
 - BABAR 0.18
 - BELLE ~ 0.15
 - LHCb μ -muonic : 0.12
 - LHCb-hadronic –Run1 : Sum is 11% of $D^* \tau \nu$ yield
 - LHb-Hadronic Run2 : uses the mentioned theoretical predictions
- **ALL experiments allow for a 50% systematic uncertainty of this subtraction**
- **But they are all way above the theoretical predictions, hence potentially minimizing $R(D^*)$ discrepancy wrt SM prediction by $\sim 0.5 \sigma$**

Conclusion

- It is quite important to try to consolidate the $R(D^{**})$ predictions by measurements !!
- Two approaches are being used :
 - Gather as many measurements as possible of the $B \rightarrow D^{**} W^*$ system with W^* decaying into $\mu\nu, \pi, D_s, a_1, \dots$ and compare with the various theoretical models (e.g. **arxiv hep-ph 2012.11608**)
 - The first three channels are being studied by LHCb !! The fourth one can be done also (ancillary result of this analysis)
 - π : LHCb-PAPER-2016-026
 - D_s : LHCb-PAPER-2024-001 (JHEP08(2024) 165)
 - $\mu\nu$: ongoing effort
 - Try to measure at least one $R(D^{**})$ ratio : the goal of this analysis

Goal and strategy

- **Dual goal:**
 - Measure $R(D_1(2420)^0)$ per se to confront with SM prediction
 - Measure feed-down from $D^{**}\tau\nu$ decays into $R(D^{*+})$ measurements
- **General strategy**
 - Starting point : $D^*\tau\nu$ candidates, **with τ reconstructed in the $\pi\pi\pi$ final state**
 - Reconstruct D^{**0} states in the $D^{*+}\pi^-$ final state by adding an extra track to the D^* candidate compatible with the B vertex
 - Separate the 3 D^{**} states from the mass spectrum (and angular distributions)
 - Background description from the **data-driven WS sign sample $D^{*+}\pi^+$**
 - **Measure the $D^{**0}\tau\nu$ yield with same analysis flow as $R(D^*)$ (LHCb-PAPER-2022-051)**
 - Assume isospin invariance and deduce D^{**+} feed-down in $R(D^*)$ analysis



Selection

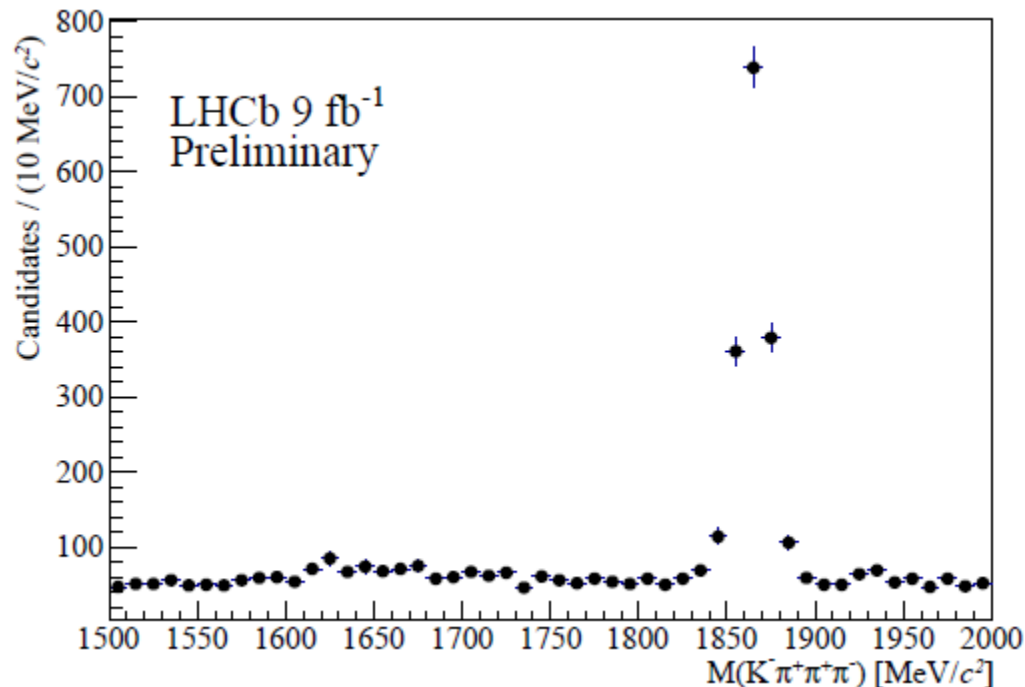
- Key requirement require **1 extra track at the D^* vertex** which is tagged as the only extra track at the B^0 vertex
- This track is **not one of the 6 tracks** forming the $D^*\tau\nu$ candidate. It is a **pion** (no kaon, no electron)
 - D^0 to $K3\pi$ could be a source of background
- **No Ghost track, no cloned slow pion**
- The track is **not compatible with the tau vertex** (5-prong D_s decay is a large source of background extra-tracks)

Physics background sources

- True D^{**} events (peaking in the $D^{*+}\pi^-$ mass distribution)
 - $D^{**}D_s(^{*,**})$
 - $D^{**}3\pi$ prompt background
 - Is there any $D^{**}DK$ background : the answer turned out to be NO (severe lack of phase space)
- Fake D^{**} from a true D^* combined with an extra pion
 - Random extra pion
 - Extra pion from physics :
 - D^*+D^{*-} , Extratrack from the B vertex
 - $D^*+D_s; D_s \rightarrow 5$ prongs, Extratrack from the tau vertex
 - D^*D^0K/π Extratrack from the B vertex
 - $D^*DK/D \rightarrow 4$ or 5 prongs Extratrack from the D^0 vertex
 - etc

Search for $D^{**}DK$ background : Study of $D^*\pi D^0 X$ events

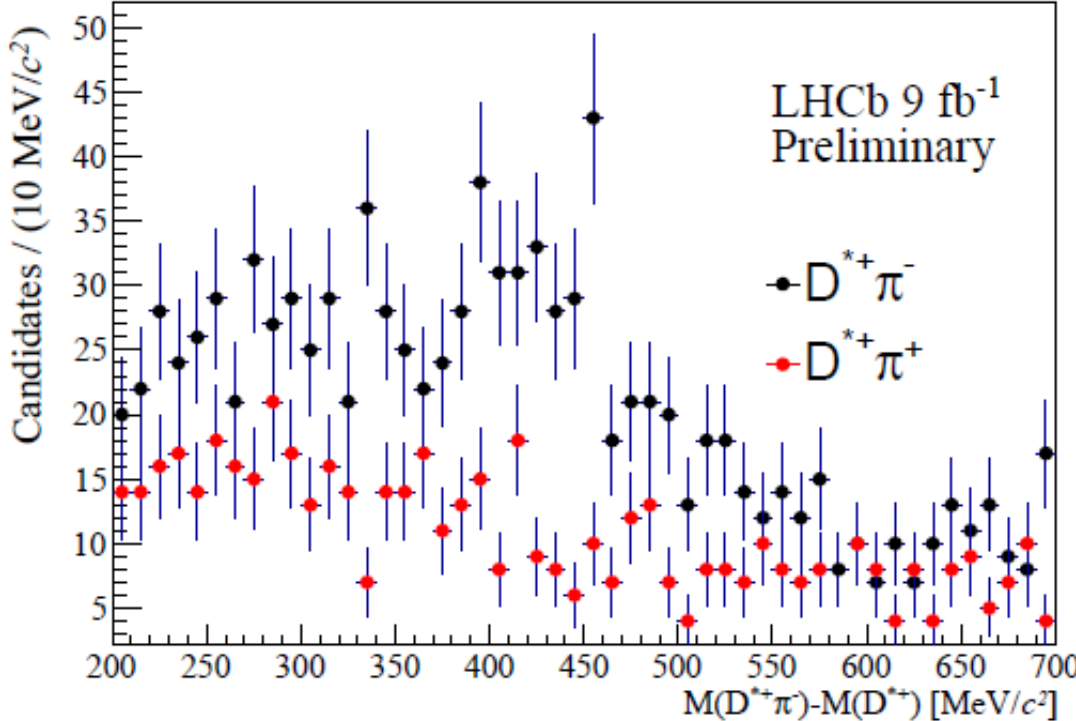
- The isoPlusVtx package can be used to consider one pion extra-track at the B/D^* vertex together with one Kaon extra-track at the 3π vertex



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Estimate of the $D^{**}D^{\circ}X$ component

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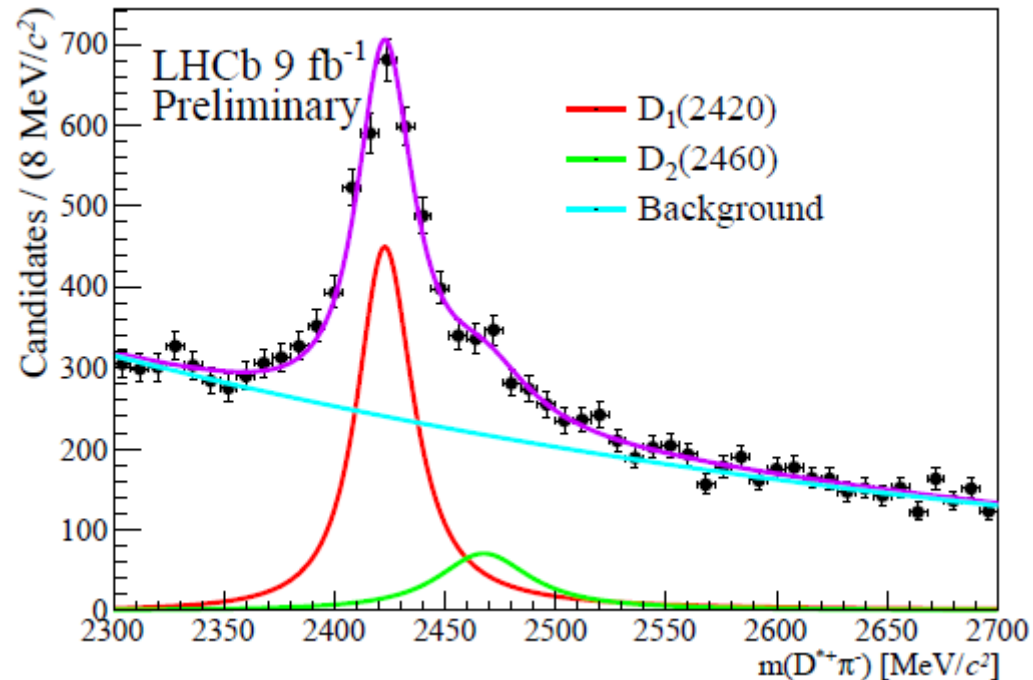
To compute the number of potential $D^{**}D^{\circ}X$ in the fit sample, consider $D^{*}D^{\circ}X$ exclusive in the MC (6k) and in the fit sample (720)

The $D^{**}D^{\circ}X$ is less than 6 events in the fit sample

Black RS
Red WS

Inclusive DeltaM peak after vetoing reconstructed D^*D° and D^*D^* events

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Preliminary

Yields : D_1 2456 ± 75
 D_2 633 ± 69

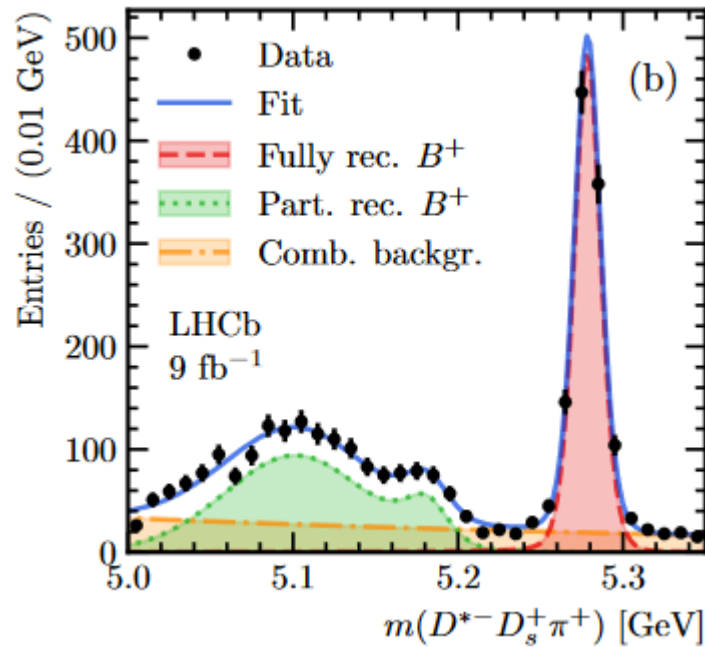
$B^- \rightarrow D^{**} D_s^-$ is chosen as the normalisation channel

- Same underlying physics, same vertex topology, same final state when the D_s^- is reconstructed in the $\pi^- \pi^- \pi^+$ final state
- Amplitude analysis for the decay $B^- \rightarrow D^{*+} \pi^- D_s^-$ recently published by LCHb (LHCb-PAPER-2024-001, JHEP08(2024) 165), using the D_s^- to $KK\pi$ mode
- In this publication, the various D^{**} contributions are measured as well as the $BR(B^- \rightarrow D^{*+} \pi^- D_s^{*-})$
- In this analysis, we build a **control sample** by reconstructing the D_s^- in the $\pi^- \pi^- \pi^+$ final state

$$\mathcal{R} = \frac{\mathcal{B}(B^+ \rightarrow D^{*-} D_s^+ \pi^+)}{\mathcal{B}(B^0 \rightarrow D^{*-} D_s^+)} = 0.173 \pm 0.006 \pm 0.008,$$

$$\mathcal{R}^* = \frac{\mathcal{B}(B^+ \rightarrow D^{*-} D_s^{*+} \pi^+)}{\mathcal{B}(B^+ \rightarrow D^{*-} D_s^+ \pi^+)} = 1.31 \pm 0.07 \pm 0.08.$$

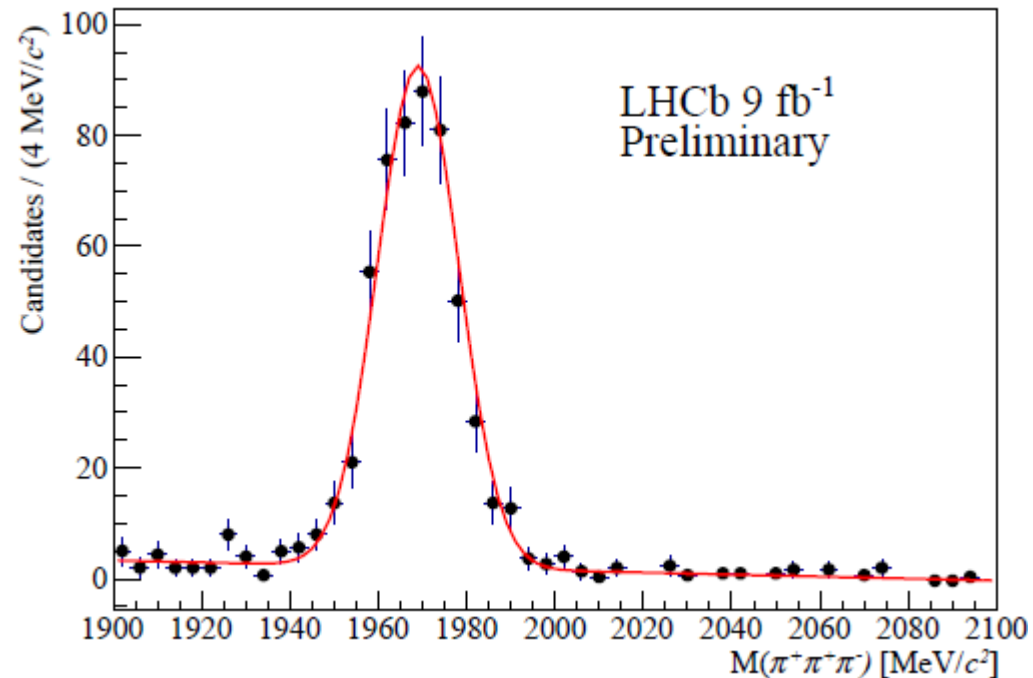
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Component	Fit fraction (%)
$D_1(2420)$ S -wave	$3.8 \pm 1.7 \pm 0.8^{+1.3}_{-0.1}$
$D_1(2420)$ D -wave	$71.0 \pm 4.4 \pm 4.6^{+0.0}_{-6.0}$
$D_1(2430)$ S -wave	$14.2 \pm 2.5 \pm 2.4^{+3.1}_{-2.0}$
$D_1(2430)$ D -wave	$0.5 \pm 0.9 \pm 1.5^{+0.2}_{-0.5}$
$D_2^*(2460)$	$11.7 \pm 1.4 \pm 0.8^{+0.0}_{-0.7}$
$D_0(2550)$	$2.3 \pm 0.8 \pm 0.7^{+0.3}_{-1.7}$
$D_1^*(2600)$	$4.8 \pm 1.0 \pm 0.9^{+1.1}_{-2.0}$
$D_2(2740)$ P -wave	$0.4 \pm 0.4 \pm 0.2^{+0.1}_{-0.1}$
$D_2(2740)$ F -wave	$2.3 \pm 0.7 \pm 0.9^{+0.4}_{-0.1}$

$D_s^- \rightarrow \pi^- \pi^- \pi^+$ control sample associated to a D^{**0} candidate (521 ± 12 events)

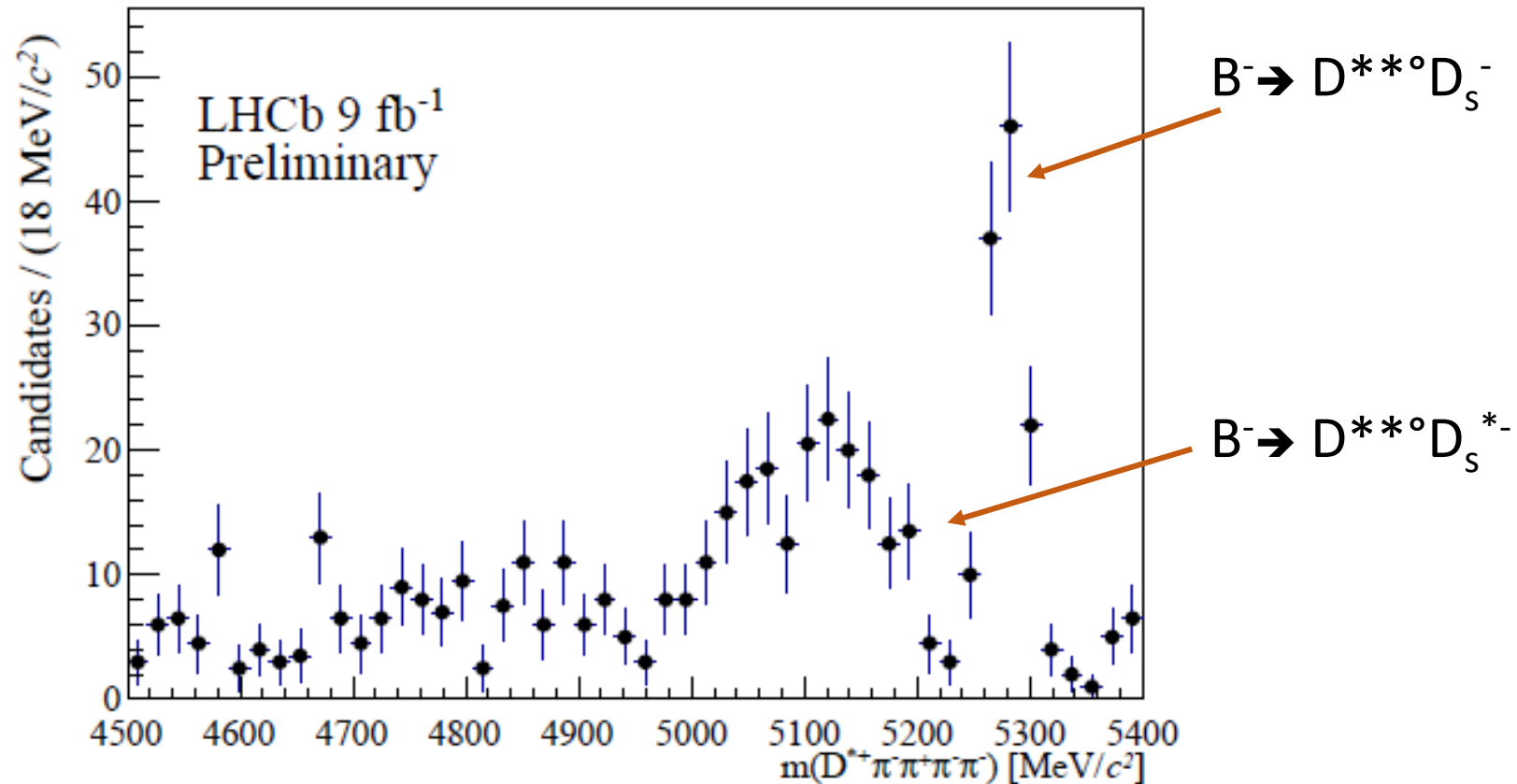
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$D^{**}3\pi$ mass distribution for events with 3π mass in the D_s peak and after sideband subtraction of the Δm peak

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Clear separation of D_s , D_s^* and D_s^{**} domains



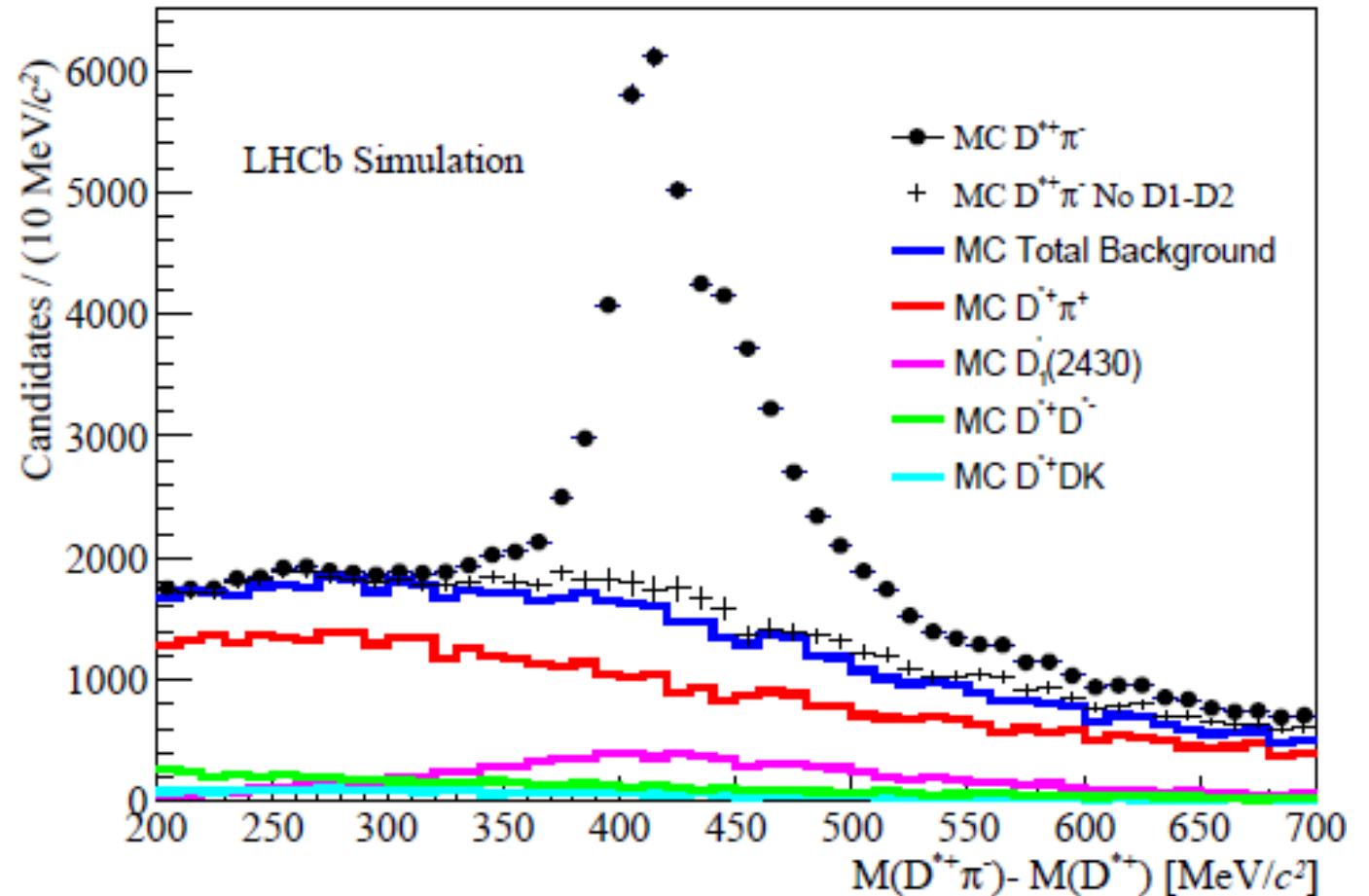
Binned LikelihoodTemplate fit for signal extraction

- 2 $D^{**}\tau\nu$: D_1 and D_2 templates are lumped together
- 7 $D^{**}D_s$ templates
 - $D_1 D_s, D_s^*$
 - $D_2 D_s D_s^*$
 - $D'_1 D_s, D_s^*$
 - $D^{**} D_s^{**}$
- $D^{**}3\pi$ prompt sample
- $D^{*+}\pi^+$ WS sample
- 2 extra samples which are not covered by WS : $D^{*+}D^{*-}(X), D^{*-}DK^+/\pi^+$

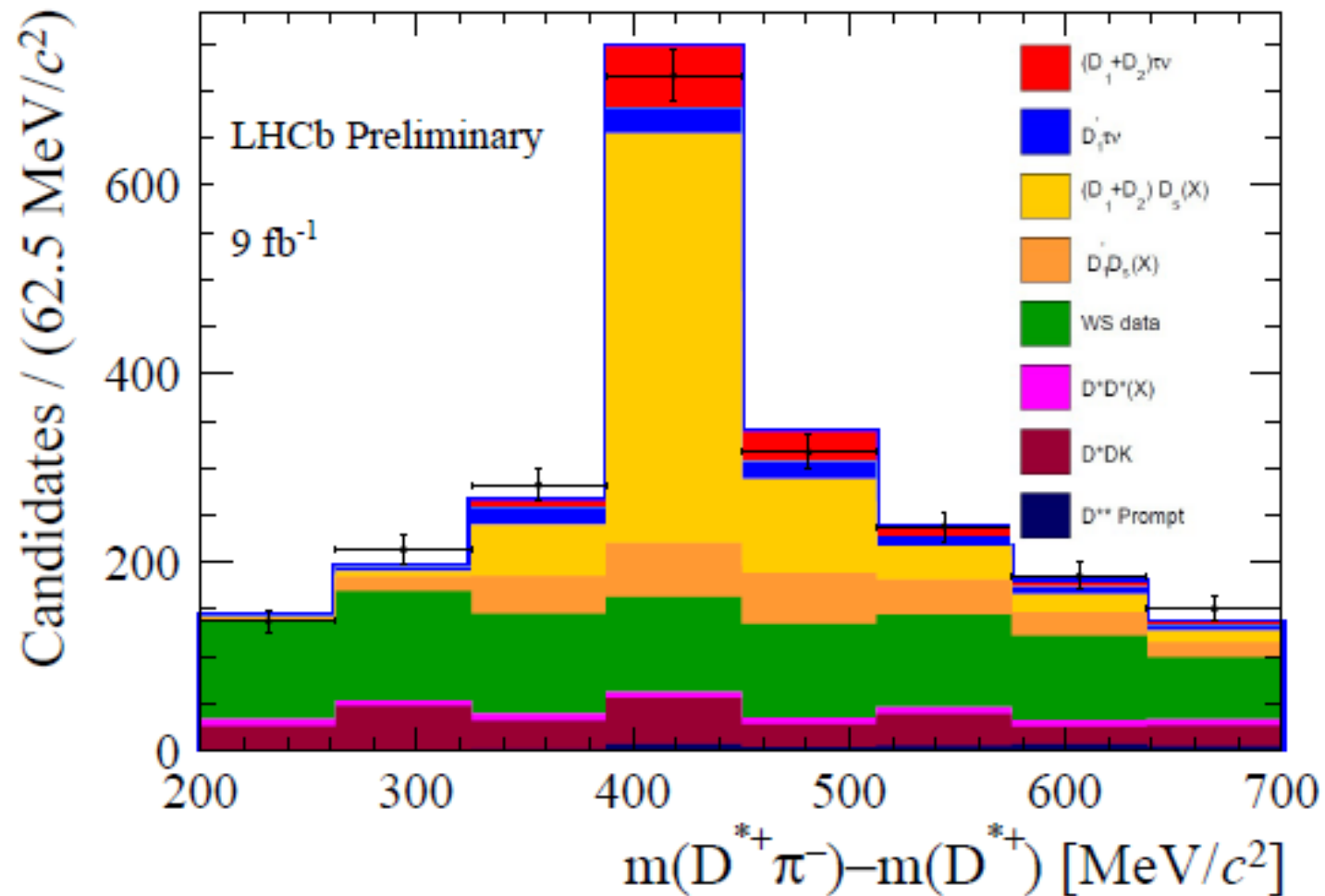
The $D^{*+}\pi^+$ background can be used to describe the fake D^{**} background

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It must be complemented by contributions producing only RS combinations : $B \rightarrow D^*D^*(X)$ and $B^0 \rightarrow D^*(DK)^-$



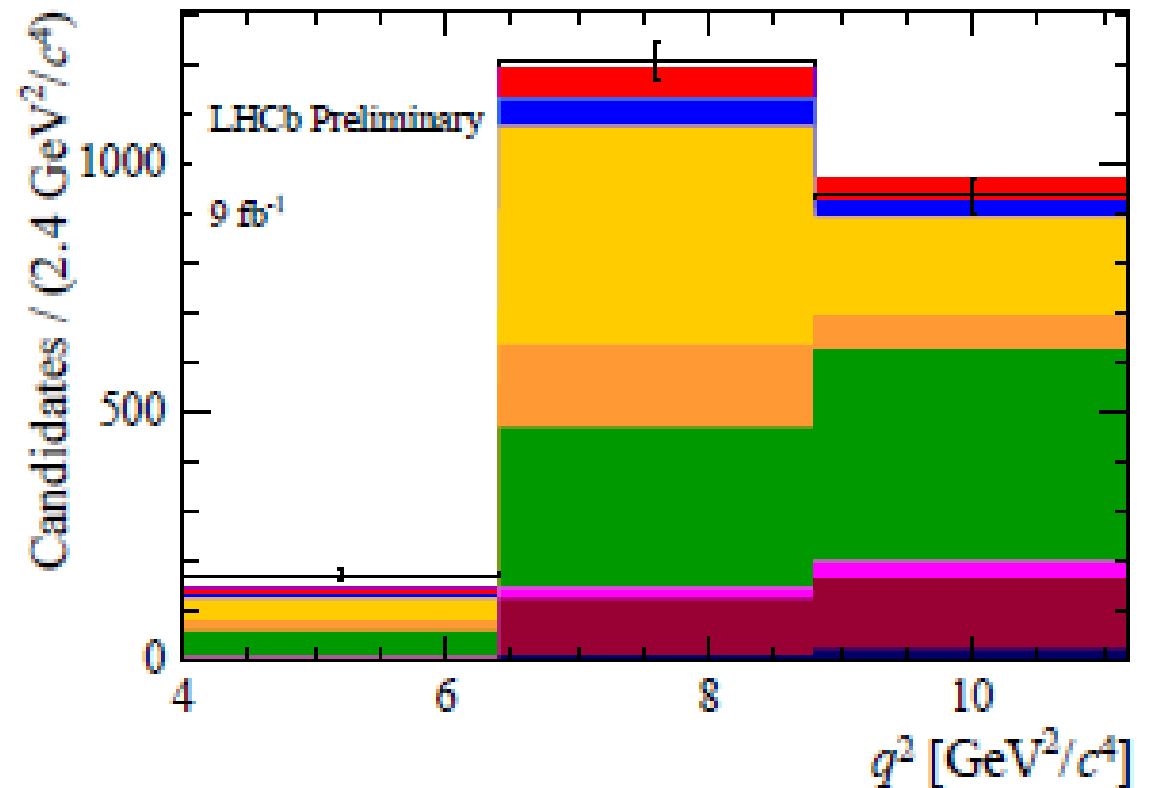
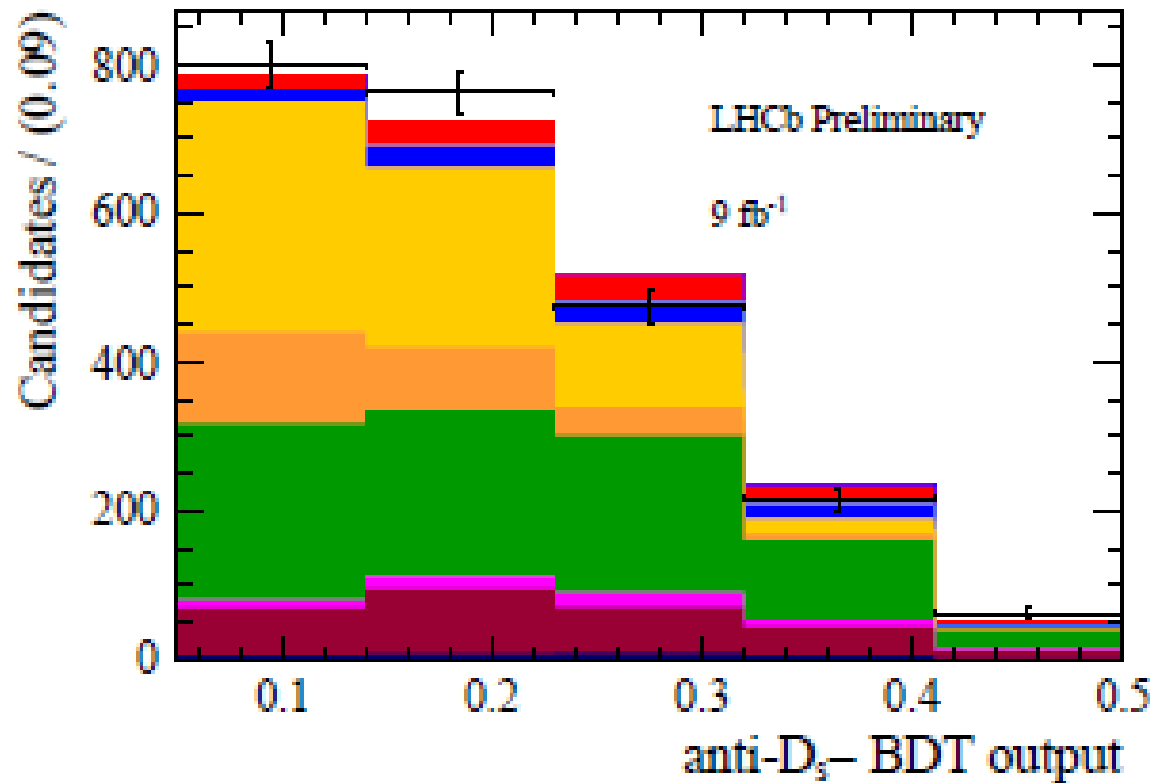
Fit variables : ΔM , q^2 , antiD_s_BDT



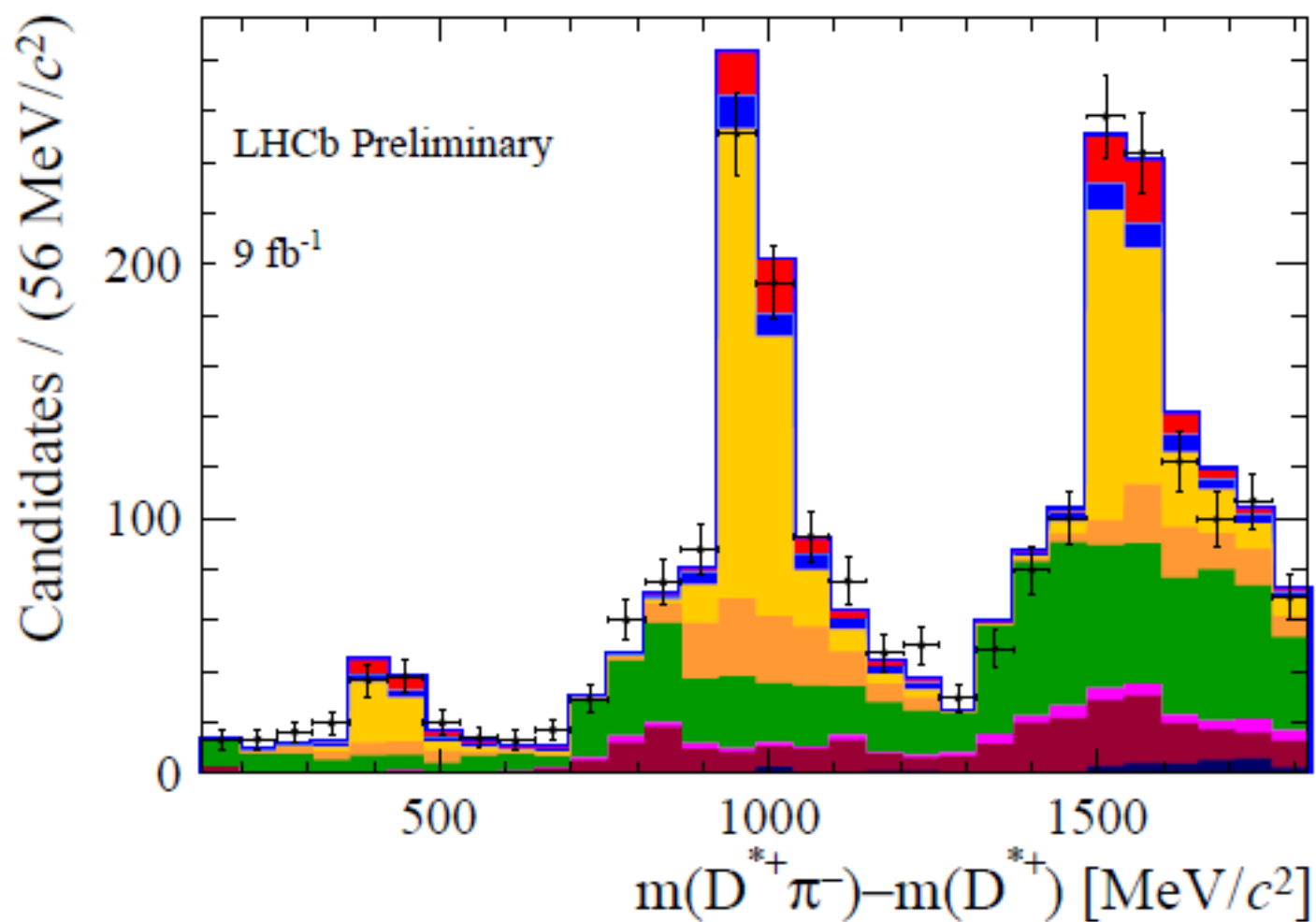
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Fit of good quality : $\chi^2/\text{ndof} = 0.89$

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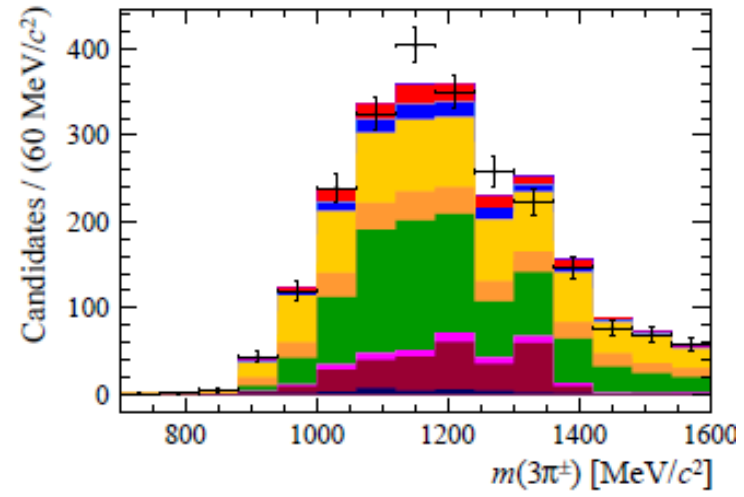
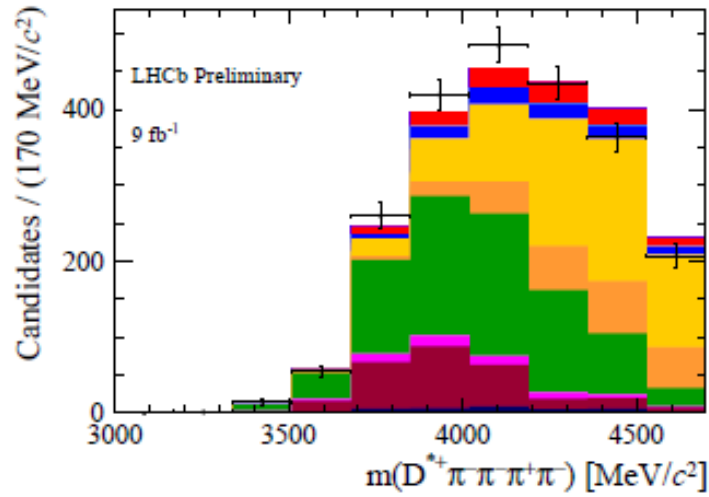


Projection not included in the fit: Δm_q^2

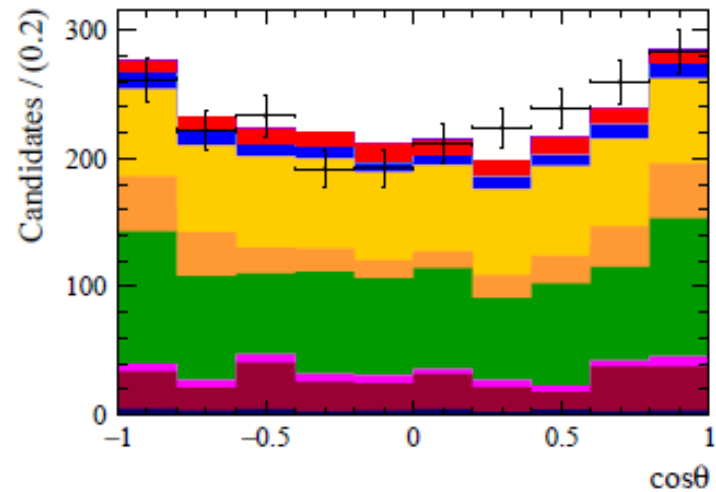
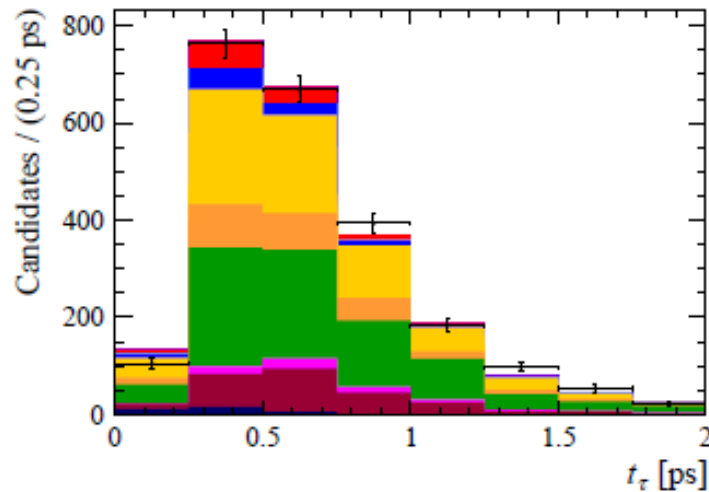


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Projections for variables not included in the fit: $D^*3\pi$ mass, 3π mass, τ_τ , $\cos\theta$



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Fit results using for fit variables Δm , q^2 , BDT

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Fit Parameter	Yield
$(D_1^0(2420) + D_2^{*0}(2460))\tau\nu$	122.6 ± 23.2
$D_1^{\prime 0}(2400)\tau\nu$	96.7 ± 24.9
$D_1^0(2420)D_s^{*+}$	317.1 ± 19.2
$D_1(2420)D_s^+$	235.4 ± 15.9
$D_2^{*0}(2460)D_s^+$	39.0 ± 3.1
$D_2^{*0}(2460)D_s^{*+}$	48.1 ± 12.4
$D^{**}D_s^{**+}$	31.5 ± 30.3
$D_1^{\prime 0}(2400)D_s^{*+}$	140.7 ± 28.1
$D_1^{\prime 0}(2400)D_s^+$	112.5 ± 17.1
D^{**} WrongSign	8793.8 ± 73.7
D^{**} Prompt	34.6 ± 7.0
$D^{*-}D^{*+}$ (X)	51.4 ± 55.3
Extra K	248.3 ± 48.4

Preliminary

Evidence of the decay $B^- \rightarrow D^{*\ast 0} \tau^- \nu_\tau$

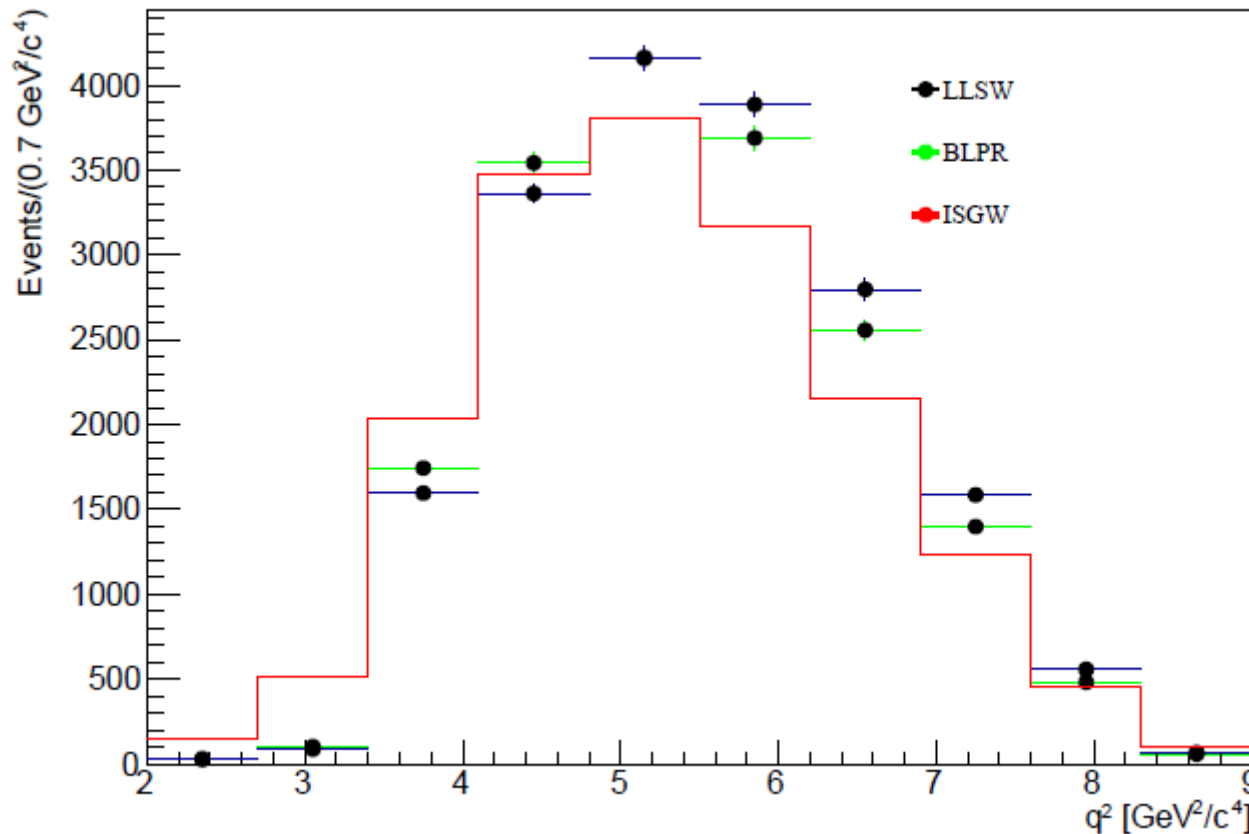
- The fit is repeated forcing the signal to 0
- To include systematic uncertainty in the significance, the $D^{*\ast} D_s^{*\ast}$ is left free to float and the D_2/D_1 ratio is allowed to vary
- Based on the χ^2 increase, LHCb can claim evidence for the decay $B^- \rightarrow D^{*\ast 0} \tau^- \nu_\tau$ with a significance of 3.5σ

Form factor studies with HAMMER

- Studies performed in contact with Dean Robinson and Michele Petrucci (Many thanks to them!)
- **Three models under scrutiny**
 - ISGW2 used for the MC generation
 - BLR : F. Bernlochner, Z. Ligeti, D. Robinson, Phys.Rev. D97 (2018) 075011
 - LLSW A. K. Leibovich, Z. Ligeti, I. W. Stewart and M. B. Wise, Phys.Rev.Lett. 78 (1997) 3995, (hep-ph/970321)
- **Global reweighting used as baseline**
- (Binned reweighting in q^2 - $p_{3\pi}$ also checked)

q^2 distribution for the 3 models

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q^2 distribution similar for BLR and LLSW but rather different from ISGW

4% change in $D^{**}\tau\nu$ yield

Final systematic uncertainty budget

Source	Relative systematic uncertainty in %	
Form factors	3.7	LHCb-PAPER-2024-037
$D_2^*(2460)^0$ fraction	4.4	<i>Preliminary</i>
Finite size of the simulated sample	4.1	
Variables and binning choices	5	
Other potential background	3.6	
Efficiency determination	4.3	
Selection and analysis	2	
Vertex resolution effects	4.0	
WS background description	2	
Total	11.4	

$B^- \rightarrow D^{**0} \tau^- \nu_\tau$ physics results

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Preliminary

- Using the normalisation channel yield, one finds:

$$\frac{\mathcal{B}(B^- \rightarrow (D_1(2420)^0 + D_2^*(2460)^0) \tau^- \bar{\nu}_\tau)}{\mathcal{B}(B^- \rightarrow (D_1(2420)^0 + D_2^*(2460)^0) D_s^{(*)-})} = 0.19 \pm 0.05,$$

- from which

$$\mathcal{B}(B^- \rightarrow (D_1(2420)^0 + D_2^*(2460)^0) \tau^- \bar{\nu}_\tau) \times \mathcal{B}(D_1(2420)^0, D_2^*(2460)^0 \rightarrow D^{*+} \pi^-) = (0.051 \pm 0.013 |(\text{stat}) \pm 0.006 (\text{syst}) \pm 0.009(\text{ext}))\%,$$

- and

$$\mathcal{R}(D_1(2420)^0 + D_2^*(2460)^0) = 0.13 \pm 0.03 (\text{stat}) \pm 0.01 (\text{syst}) \pm 0.02(\text{ext}).$$

SM prediction is 0.09 ± 0.02 :This result is compatible with SM within 1σ

Feed-down into $R(D^{**})$ analysis

- A $D^{**}\tau\nu$ event can be reconstructed as a signal in D^{**} analysis or can end up as a background event in the $R(D^*)$ fit
- The subtraction rate in the LHCb-PAPER-2022-51 hadronic $\tau R(D^*)$ publication is based on SM theoretical prediction
- Using the simulation, one can measure the probability that an D^{**} candidate ends up either as D^{**} signal or as the $R(D^*)$ feeddown.
- One measures as well the D^{**0} vs D^{**+} feed-down probability
- An experimental upper limit of 13.1 % events at 95 % c.l of D^{**} feed-down in $R(D^*)$ and a prediction of $8.9\% \pm 2.1\%$ has been established.
- It is larger but compatible at 2.9σ level with present estimate of subtraction level.

Conclusions

- Evidence has been obtained for the decay $B^- \rightarrow D^{**0} \tau^- \bar{\nu}_\tau$ at 3.5σ level :
first ever measurement of this decay

$$\mathcal{B}(B^- \rightarrow (D_1(2420)^0 + D_2^*(2460)^0) \tau^- \bar{\nu}_\tau) \times \mathcal{B}(D_1(2420)^0, D_2^*(2460)^0 \rightarrow D^{*+} \pi^-) = (0.051 \pm 0.013 |(\text{stat}) \pm 0.006 (\text{syst}) \pm 0.009(\text{ext}))\%,$$

- Preference for D_1 dominance at 2σ level.
- $R(D^{**0})$ has been measured in agreement with SM model prediction of 0.09 ± 0.02

$$\mathcal{R}(D_1(2420)^0 + D_2^*(2460)^0) = 0.13 \pm 0.03 (\text{stat}) \pm 0.01 (\text{syst}) \pm 0.02(\text{ext}).$$

- The D^{**} feed-down fraction in LHCb $R(D^*)$ paper has been found to be $8.9\% \pm 2.1\%$

LFU Prospects using hadronic τ decays in LHCb

- The basis of the hadronic τ analysis in LHCb formulated 10 years ago!
- Since then :
 - **Measurement of $R(D^*)$ in 2017 (Run1) and 2022 (2 fb⁻¹ of Run2 data)**
(LHCb-PAPER-2017-027 and LHCb-PAPER-2022-052)
 - **Measurement of $R(\Lambda_c)$ using Run1 data in 2021 (LHCb-PAPER-2021-044)**
 - **Measurement of D^* polarization in $D^*\tau\nu$ decays in 2023 (LHCb-PAPER-2023-020)**
 - **Measurement of $R(D^{**})$ today (LHCb-PAPER-2024-037)**

$q^2 < 7 \text{ GeV}^2/c^4$:	0.51 ± 0.07 (stat) ± 0.03 (syst),
$q^2 > 7 \text{ GeV}^2/c^4$:	0.35 ± 0.08 (stat) ± 0.02 (syst),
q^2 whole region :	0.43 ± 0.06 (stat) ± 0.03 (syst),
- In preparation
 - **Measurement of $R(D^*)$ with the full Run1-Run2 statistics**
 - **$R(\Lambda_c)$ and $R(J/\psi)$ at a later stage**
 - **Full angular analysis for $D^*\tau\nu$ decays (on a somewhat longer term)**

Backup slides

Relative rates in D^{*+} final states using $R(D^{**})$ from theory and measured $D^{**}\mu\nu$

- $BR(B^+ \rightarrow D_1(2420)^0 \tau \nu; D_1^0 \rightarrow D^{*+}) = 3 \cdot 10^{-4}$ (BR all= 0.075%)
- $BR(B^+ \rightarrow D_2(2460)^0 \tau \nu; D_2^0 \rightarrow D^{*+}) = 0.7 \cdot 10^{-4}$ (0.028%)
- $BR(B^+ \rightarrow D'_1(2430)^0 \tau \nu; D'^0_1 \rightarrow D^{*+}) = 1.35 \cdot 10^{-4}$ (0.02%)

- $BR(B^0 \rightarrow D_1(2420)^+ \tau \nu; D_1^+ \rightarrow D^{*+}) = 1.4 \cdot 10^{-4}$ (BR all= 0.067%)
- $BR(B^0 \rightarrow D_2(2460)^+ \tau \nu; D_2^+ \rightarrow D^{*+}) = 0.34 \cdot 10^{-4}$ 0.026%
- $BR(B^0 \rightarrow D'_1(2430)^+ \tau \nu; D'^+_1 \rightarrow D^{*+}) = 0.75 \cdot 10^{-4}$ 0.025%

Note: in DECA.Y.DECA.Y the total BR are .13%,.2% and .2% respectively!!!

The BR to D^{*+} are 2/3 for D^{**0} and 1/3 for D^{**+}

Fits results

Method	Signal Yield	c2
ISGW	120.3±22.8	110.2
BLPR-2D	122.9±23.8	112.0
LLSW-2D	111.2±21.1	110.5
BLPR-Full reweighting	120.7±21.4	102.6
LLSW-Full reweighting	124.7±20.9	103.6

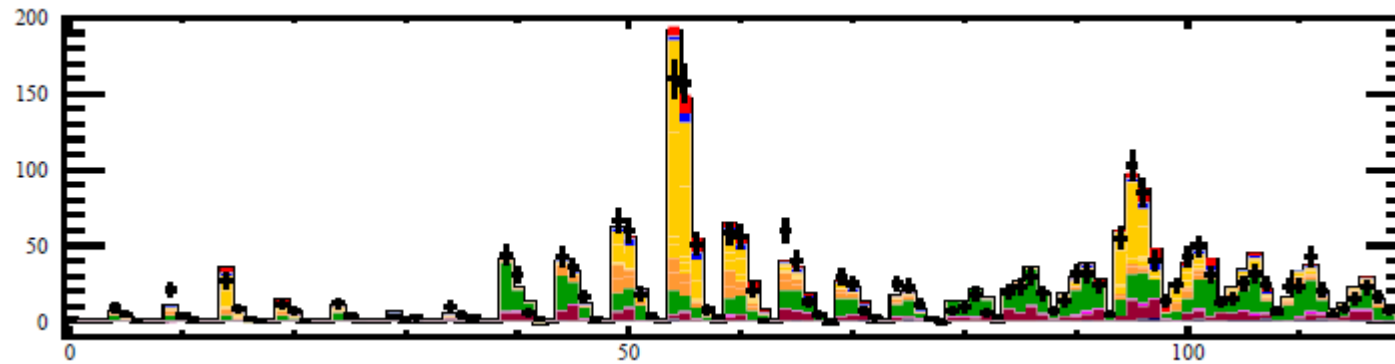
Based on these results, we assign a 4% systematic uncertainty ,
half of the largest difference observed.

Systematic wrt binning and fit variables

Fit variables	Binning	$(D_1^0(2420)D_2^{*0}(2460))\tau\nu_\tau$	$D_1^{\prime 0}(2400)\tau\nu_\tau$
BDT- $\Delta m-q^2$ (nominal)	5x8x3	120.3 ± 22.8	100.0
BDT- Δm	5x10	97.7 ± 27.5	57.5
BDT- $\Delta m-q^2$	5x10x4	120.4 ± 21.5	107.7
BDT- $\Delta m-q^2$	5x8x2	111.7 ± 25.2	78
BDT- $\Delta m-q^2-\cos\theta$	5x21x5	132.5 ± 18.1	159
BDT- $\Delta m-q_{D^{**}}^2$	5x8x4	115 ± 25.7	74.3
BDT- $\Delta m-\cos\theta$	5x8x6	118 ± 22.7	96.6

Flattened distributions for the 120 bins

LHCb Preliminary
9 fb⁻¹



Effect on $R(D^*)$ on the hadronic $R(D^*)$ results (LHCb-PAPER-2022-051)

- $D^{**} \tau \nu$ subtracted rate is 3.5 % : 2.1% from B^0 and B^+ , 1.4% from B_s
- This new result indicates a higher subtraction rate from B^0 and B^+ of $8.9\% \pm 2.1\%$
 - Higher R
 - Higher D'_1 rate
- Leaving the B_s contribution constant, this would shift down 2015-2016 $R(D^*)$ result by 6.8 %, ie $\sim 6\%$ for the combined Run1-2015-2016 result
- This would represent 1σ of systematic uncertainty and 0.7σ for the total uncertainty for this measurement

$D^{**}\tau\nu$ feed down simulated prediction in the published result

Index j	State	$D^{**}\tau\nu$ yield	Weight	Weighted yield N_j
1	$D_1(2420)^0$	124	0.3497	43.36
2	$D_2(2460)^0$	54	0.1667	9.00
3	$D'_1(2430)^0$	186	0.1023	19.03
4	$D_1(2420)^+$	175	0.3263	57.10
5	$D_2(2460)^+$	95	0.1225	11.64
6	$D'_1(2430)^+$	267	0.1174	31.35
7	from D'_{s1}	222	0.5	111
Total		1123		282.48

LHCb simulation 8.1k $D^*\tau\nu$ signal

$D^{**}\tau\nu$ feed down new simulated prediction

Particle	Yield	Weighted yield
$D_{1(2420)0}$	1171.5	409.7
$D_{2(2460)0}^*$	531.2	88.6
$D_{1(H)0}$	1748.1	178.8
$D_{1(2420)+}$	1663.1	542.7
$D_{2(2460)+}^*$	812.5	99.5
$D_{1(H)+}$	2528.1	296.8
$D_{s1(2536)+}$	1780.7	302.7
D_{s2+}^*	163.6	81.8

LHCb simulation 81k $D^*\tau\nu$ signal