



Lattice-QCD Results for $|V_{ub}|$ Exclusive

Andreas S. Kronfeld
Fermilab & IAS TU München

IVth Challenges in Semileptonic B Decays
26 September 2024
Vienna

$|V_{ub}|$ from Exclusive Semileptonic Decays

- Decays $B \rightarrow \pi l \nu$, $B_s \rightarrow K l \nu$, $B_c \rightarrow D l \nu$, $\Lambda_b \rightarrow p l \nu$, ... sensitive to $|V_{ub}|$.
- Related FCNC $B \rightarrow \pi l l$, $B \rightarrow K l l$, $B_c \rightarrow D_s l l$ sensitive to BSM.
- Final-state vector meson ρ (K^*) much more difficult: $\pi\pi$ ($K\pi$) resonance. Formalism available but no mature computations.
- Only one calculation of $\Lambda_b \rightarrow p l \nu$ [[arXiv:1503.01421](https://arxiv.org/abs/1503.01421)], discussed in [first](#) of these workshops. One calculation of $B_c \rightarrow D l \nu$ [[arXiv:2108.11242](https://arxiv.org/abs/2108.11242)].
- BaBar, Belle, Belle II for B^\pm , B^0 decays; LHCb for others, typically as ratio to corresponding $b \rightarrow c$ transition, e.g., $\text{BR}(B_s \rightarrow K l \nu)/\text{BR}(B_s \rightarrow D_s l \nu)$.
- Focus here on B and B_s decays.

Form Factors

- Weak current couples to matrix element $\langle \pi | \mathcal{V}^\mu | B \rangle$ (for example).
- Decompose into sum of Lorentz-covariant forms \times form factors:

$$\begin{aligned} \langle P(k) | \mathcal{V}^\mu | B(p) \rangle &= f_+(q^2) \left(p^\mu + k^\mu - \frac{M_B^2 - M_P^2}{q^2} q^\mu \right) + f_0(q^2) \frac{M_B^2 - M_P^2}{q^2} q^\mu \\ &= \sqrt{2M_B} \left[k_\perp^\mu f_\perp(E_P) + v^\mu f_\parallel(E_P) \right], \quad v = p/M_B \end{aligned}$$

$$\begin{aligned} \langle P(k) | \mathcal{S} | B(p) \rangle &= f_0(q^2) \frac{M_B^2 - M_P^2}{m_b - m_q} \quad k_\perp = k - v v \cdot k \\ & \quad q = p - k \end{aligned}$$

$$\langle P(k) | \mathcal{T}^{\mu\nu} | B(p) \rangle = f_T(q^2) \frac{2}{M_B + M_P} (p^\mu k^\nu - p^\nu k^\mu) \quad \text{BSM}$$

with f_\perp, f_\parallel being convenient in lattice QCD with B in rest frame.

Decay Rate

$$\frac{d\Gamma}{dq^2} = \frac{C_P G_F^2 |V_{ub}|^2}{3 (2\pi)^3} \left(1 - \frac{m_\ell^2}{q^2}\right)^2 |\mathbf{k}| \times \quad m_\ell^2 \leq q^2 \leq (M_B - M_P)^2$$
$$\left[\left(1 + \frac{m_\ell^2}{2q^2}\right) |\mathbf{k}|^2 |f_+(q^2)|^2 + \frac{3m_\ell^2 (M_B^2 - M_P^2)^2}{8q^2 M_B^2} |f_0(q^2)|^2 \right]$$

Form Factor Relations

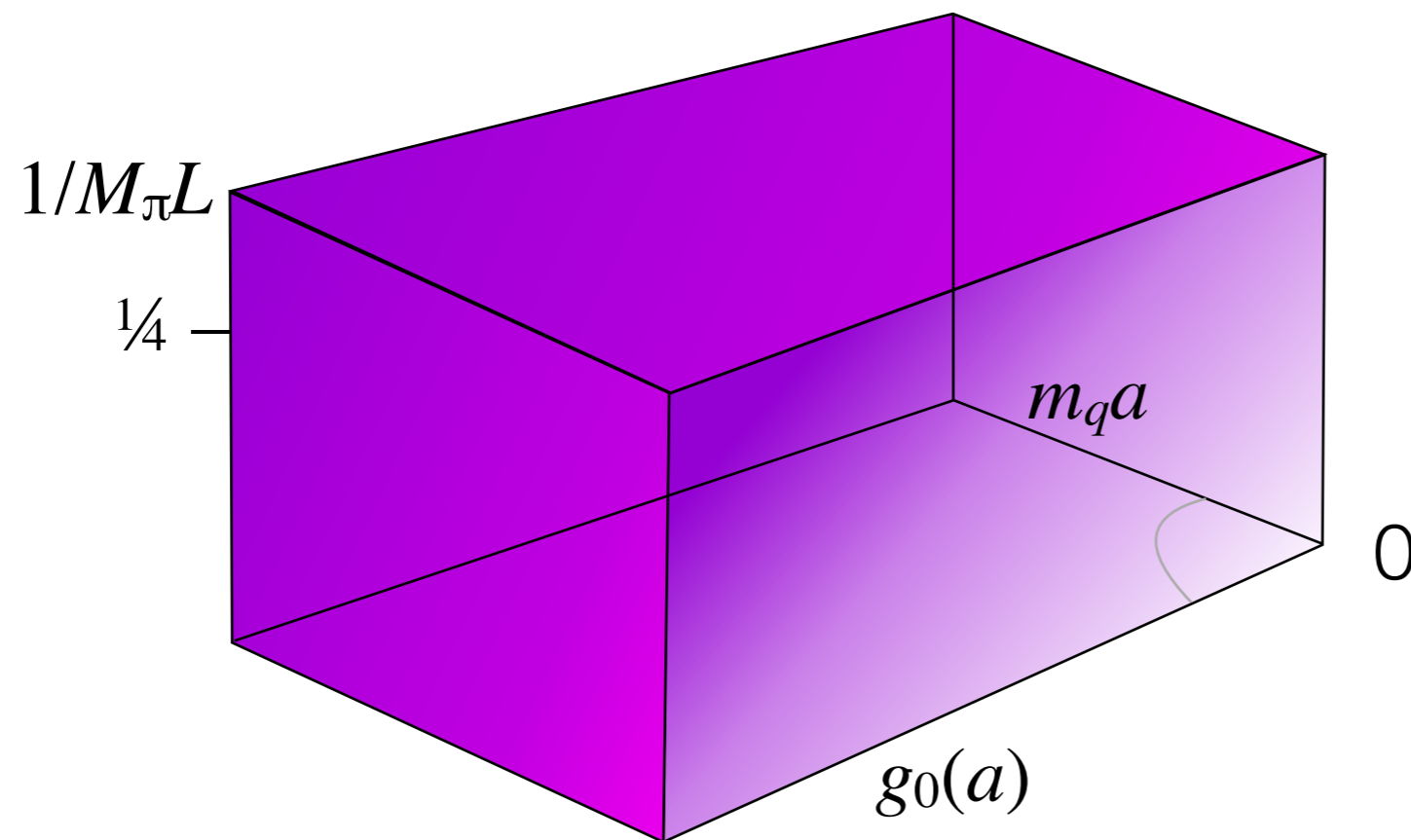
$$E_P = v \cdot k$$
$$q^2 = M_B^2 + M_P^2 - 2M_B E_P$$

$$f_+(q^2) = \frac{1}{\sqrt{2M_B}} \left[(M_B - E_P) f_\perp(E_P) + f_\parallel(E_P) \right]$$

$$f_0(q^2) = \frac{\sqrt{2M_B}}{M_B^2 - M_P^2} \left[(M_B - E_P) f_\parallel(E_P) + (E_P^2 - M_P^2) f_\perp(E_P) \right]$$

Lattice QCD Data

- Computer generates data in a slab of a 10-dimensional parameter space:



Sea	Valence
	m_u
$m'_u = m'_d$	m_d
m'_s	m_s
m'_c	m_c
	m_b
$m'_u \neq m'_d \Rightarrow 11\text{d slab}$	

- Combine data with effective field theories (EFT) [e.g., [hep-lat/0205021](https://arxiv.org/abs/hep-lat/0205021)].

Fits to Form Factor Data I

- Computer generates data at discrete momenta (\Leftarrow finite volume).

- Chiral-continuum extrapolation $B_y \rightarrow P_{xy}l\nu$:

$$w_0^{-1/2} f_{\perp}(E) = \frac{c_{\perp}}{w_0(E + \Delta_{xy,1^-})} \left[1 + \chi \log_{\perp} + \text{polynomial}_{\perp}(E, a, m_l, m_s) \right]$$

$$w_0^{1/2} f_{\parallel}(E) = \frac{c_{\parallel}}{w_0(E + \Delta_{xy,0^+})} \left[1 + \chi \log_{\parallel} + \text{polynomial}_{\parallel}(E, a, m_l, m_s) \right]$$

- for $E_P \ll 1$ GeV, $\chi \log_{\perp} \neq \chi \log_{\parallel}$; $E_P \gtrsim 1$ GeV, $\chi \log_{\perp} = \chi \log_{\parallel}$.

- What's $\Delta_{xy,JP}$? Pole in annihilation process $l\nu \rightarrow B_x^*(JP) \rightarrow B_y P_{xy}$:

$$\frac{2M_{B_y}}{M_{B_x^*(JP)}^2 - q^2} \equiv \frac{1}{E_{P_{xy}} + \Delta_{xy,JP}} \Rightarrow \Delta_{xy,JP} = \frac{M_{B_x^*(JP)}^2 - M_{B_y}^2 - M_{P_{xy}}^2}{2M_{B_y}}$$

Fits to Form Factor Data I

- Computer generates data at discrete momenta (\Leftarrow finite volume).

- Chiral-continuum extrapolation $B_y \rightarrow P_{xy}l\nu$:

$$w_0^{-1/2} f_{\perp}(E) = \frac{c_{\perp}}{w_0(E + \Delta_{xy,1^-})} \left[1 + \chi \log_{\perp} + \text{polynomial}_{\perp}(E, a, m_l, m_s) \right]$$

$$w_0^{1/2} f_{\parallel}(E) = \frac{c_{\parallel}}{w_0(E + \Delta_{xy,0^+})} \left[1 + \chi \log_{\parallel} + \text{polynomial}_{\parallel}(E, a, m_l, m_s) \right]$$

- for $E_P \ll 1$ GeV, $\chi \log_{\perp} \neq \chi \log_{\parallel}$; $E_P \gtrsim 1$ GeV, $\chi \log_{\perp} = \chi \log_{\parallel}$.

- What's $\Delta_{xy,JP}$? Pole in annihilation process $l\nu \rightarrow B_x^*(JP) \rightarrow B_y P_{xy}$:

$$\frac{2M_{B_y}}{M_{B_x^*(JP)}^2 - q^2} \equiv \frac{1}{E_{P_{xy}} + \Delta_{xy,JP}} \Rightarrow \Delta_{xy,JP} = \frac{M_{B_x^*(JP)}^2 - M_{B_y}^2 - M_{P_{xy}}^2}{2M_{B_y}}$$

Fits to Form Factor Data I

- Computer generates data at discrete momenta (\Leftarrow finite volume).

- Chiral-continuum extrapolation $B_y \rightarrow P_{xy}l\nu$:

$$w_0^{-1/2} f_{\perp}(E) = \frac{c_{\perp}}{w_0(E + \Delta_{xy,1^-})} \left[1 + \chi \log_{\perp} + \text{polynomial}_{\perp}(E, a, m_l, m_s) \right]$$

$$w_0^{1/2} f_{\parallel}(E) = \frac{c_{\parallel}}{w_0(E + \Delta_{xy,0^+})} \left[1 + \chi \log_{\parallel} + \text{polynomial}_{\parallel}(E, a, m_l, m_s) \right]$$

- for $E_P \ll 1$ GeV, $\chi \log_{\perp} \neq \chi \log_{\parallel}$; $E_P \gtrsim 1$ GeV, $\chi \log_{\perp} = \chi \log_{\parallel}$.

- What's $\Delta_{xy,JP}$? Pole in annihilation process $l\nu \rightarrow B_x^*(JP) \rightarrow B_y P_{xy}$:

$$\frac{2M_{B_y}}{M_{B_x^*(JP)}^2 - q^2} \equiv \frac{1}{E_{P_{xy}} + \Delta_{xy,JP}} \Rightarrow \Delta_{xy,JP} = \frac{M_{B_x^*(JP)}^2 - M_{B_y}^2 - M_{P_{xy}}^2}{2M_{B_y}}$$

Fits to Form Factor Data I

- Computer generates data at discrete momenta (\Leftarrow finite volume).

- Chiral-continuum extrapolation $B_y \rightarrow P_{xy}l\nu$:

$$w_0^{-1/2} f_{\perp}(E) = \frac{c_{\perp}}{w_0(E + \Delta_{xy,1^-})} \left[1 + \chi \log_{\perp} + \text{polynomial}_{\perp}(E, a, m_l, m_s) \right]$$

$$w_0^{1/2} f_{\parallel}(E) = \frac{c_{\parallel}}{w_0(E + \Delta_{xy,0^+})} \left[1 + \chi \log_{\parallel} + \text{polynomial}_{\parallel}(E, a, m_l, m_s) \right]$$

- for $E_P \ll 1$ GeV, $\chi \log_{\perp} \neq \chi \log_{\parallel}$; $E_P \gtrsim 1$ GeV, $\chi \log_{\perp} = \chi \log_{\parallel}$.

- What's $\Delta_{xy,JP}$? Pole in annihilation process $l\nu \rightarrow B_x^*(JP) \rightarrow B_y P_{xy}$:

$$\frac{2M_{B_y}}{M_{B_x^*(JP)}^2 - q^2} \equiv \frac{1}{E_{P_{xy}} + \Delta_{xy,JP}} \Rightarrow \Delta_{xy,JP} = \frac{M_{B_x^*(JP)}^2 - M_{B_y}^2 - M_{P_{xy}}^2}{2M_{B_y}}$$

Fits to Form Factor Data II

- z expansion of (f_+, f_0) , usually with BCL [Bourrely, Caprini, Lellouch, [arXiv:0807.2722](https://arxiv.org/abs/0807.2722)]:

$$f_+(E) = \frac{1}{1 - q^2/M_{B_x^*(1^-)}^2} \sum_{k=0}^{K-1} a_k \left(z^k - (-1)^{K-k} \frac{k}{K} z^K \right)$$

$$f_0(E) = \frac{1}{1 - q^2/M_{B_x^*(0^+)}^2} \sum_{k=0}^{K-1} b_k z^k$$

$$z = \frac{\sqrt{t_{\text{cut}} - q^2} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - q^2} + \sqrt{t_{\text{cut}} - t_0}}$$

where $t_{\text{cut}} = (M_B + M_\pi)^2$ for $B \rightarrow \pi$ & $B_s \rightarrow K$ and $(M_B + M_K)^2$ for $B \rightarrow K$.

- NB: poles here are in (f_+, f_0) , previous slide in (f_\perp, f_\parallel) .

Fits to Form Factor Data II

- z expansion of (f_+, f_0) , usually with BCL [Bourrely, Caprini, Lellouch, [arXiv:0807.2722](https://arxiv.org/abs/0807.2722)]:

$$f_+(E) = \frac{1}{1 - q^2/M_{B_x^*(1^-)}^2} \sum_{k=0}^{K-1} a_k \left(z^k - (-1)^{K-k} \frac{k}{K} z^K \right)$$

$$f_0(E) = \frac{1}{1 - q^2/M_{B_x^*(0^+)}^2} \sum_{k=0}^{K-1} b_k z^k$$

$$z = \frac{\sqrt{t_{\text{cut}} - q^2} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - q^2} + \sqrt{t_{\text{cut}} - t_0}}$$

where $t_{\text{cut}} = (M_B + M_\pi)^2$ for $B \rightarrow \pi$ & $B_s \rightarrow K$ and $(M_B + M_K)^2$ for $B \rightarrow K$.

- NB: poles here are in (f_+, f_0) , previous slide in (f_\perp, f_\parallel) .

Fits to Form Factor Data II

- z expansion of (f_+, f_0) , usually with BCL [Bourrely, Caprini, Lellouch, [arXiv:0807.2722](https://arxiv.org/abs/0807.2722)]:

$$f_+(E) = \frac{1}{1 - q^2/M_{B_x^*(1^-)}^2} \sum_{k=0}^{K-1} a_k \left(z^k - (-1)^{K-k} \frac{k}{K} z^K \right)$$

$$f_0(E) = \frac{1}{1 - q^2/M_{B_x^*(0^+)}^2} \sum_{k=0}^{K-1} b_k z^k$$

$$z = \frac{\sqrt{t_{\text{cut}} - q^2} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - q^2} + \sqrt{t_{\text{cut}} - t_0}}$$

where $t_{\text{cut}} = (M_B + M_\pi)^2$ for $B \rightarrow \pi$ & $B_s \rightarrow K$ and $(M_B + M_K)^2$ for $B \rightarrow K$.

- NB: poles here are in (f_+, f_0) , previous slide in (f_\perp, f_\parallel) .

Outline

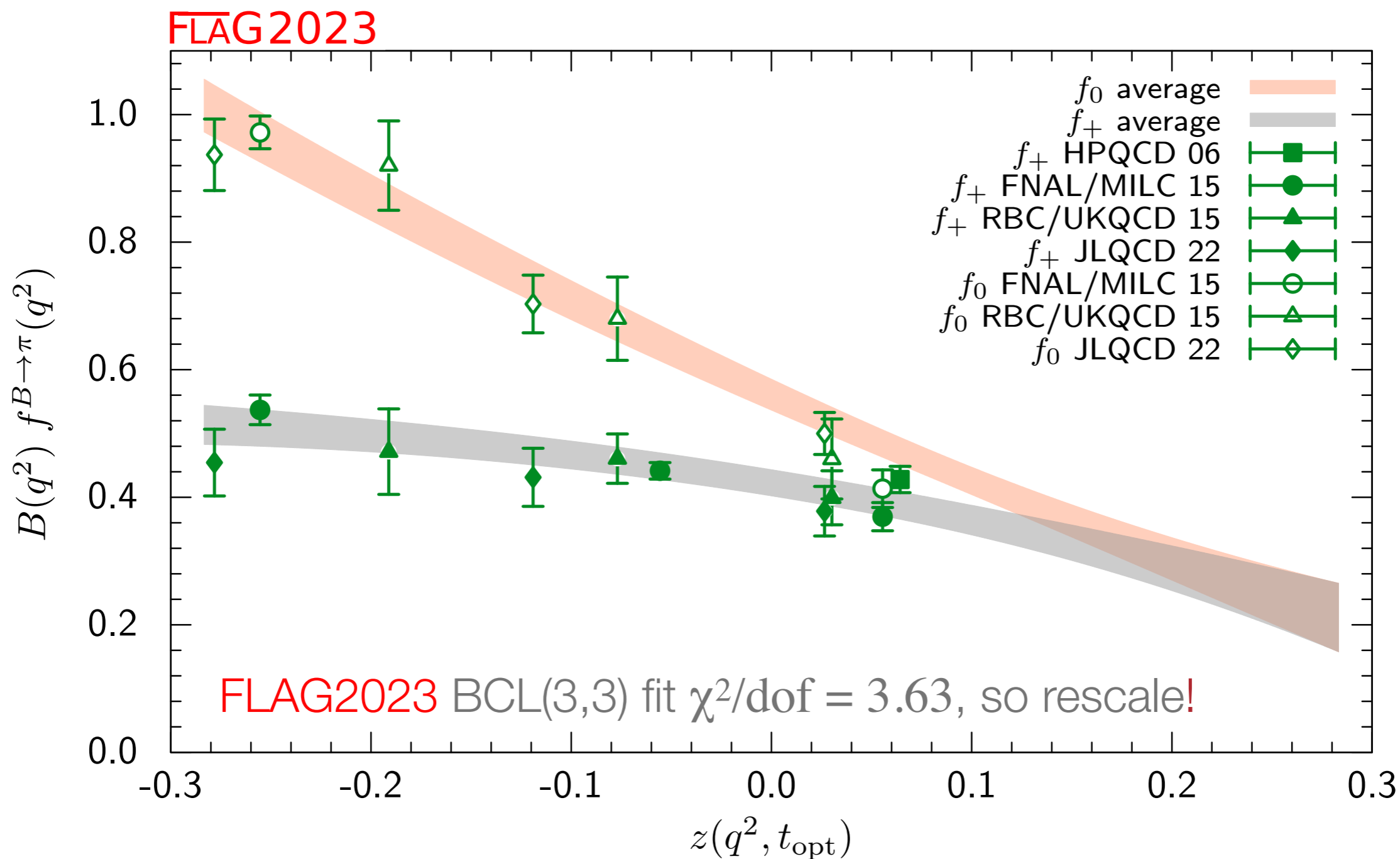
- Introduction
- Overview of published 2+1 and 2+1+1 lattice-QCD calculations
- Chiral-continuum fits: (f_+, f_0) vs. (f_\perp, f_\parallel)
- Upcoming work (as informed by semileptonic D decay)
- Final remarks

Overview of Lattice-QCD Calculations

$B \rightarrow \pi/\nu$ in FLAG2023

- **HPQCD 2006** [[arXiv:hep-lat/0601021](https://arxiv.org/abs/hep-lat/0601021)]: NRQCD b quark on $2+1$ asqtad sea (MILC); **six** ensembles at **two** lattice spacings; smallest $m_l = m_s/8$.
- **RBC/UKQCD 2015** [[arXiv:1501.05373](https://arxiv.org/abs/1501.05373)]: RHQ b quark on $2+1$ DWF sea; **five** ensembles at **two** lattice spacings; smallest $m_l = m_s/8$.
- **Fermilab-MILC 2015** [[arXiv:1503.07839](https://arxiv.org/abs/1503.07839)]: Fermilab b quark on $2+1$ asqtad sea (MILC); **twelve** ensembles at **four** lattice spacings; smallest $m_l = m_s/20$. Supersedes [arXiv:0811.3640](https://arxiv.org/abs/0811.3640).
- **JLQCD 2022** [[arXiv:2203.04938](https://arxiv.org/abs/2203.04938)]: DWF h quark, $m_h \leq 2.44m_c$, on $2+1$ DWF sea; **eleven** ensembles at **three** lattice spacings; smallest $m_l = 7m_s/80$.
- NB: Same action for Fermilab and RHQ but different trajectories to CL (unimportant).

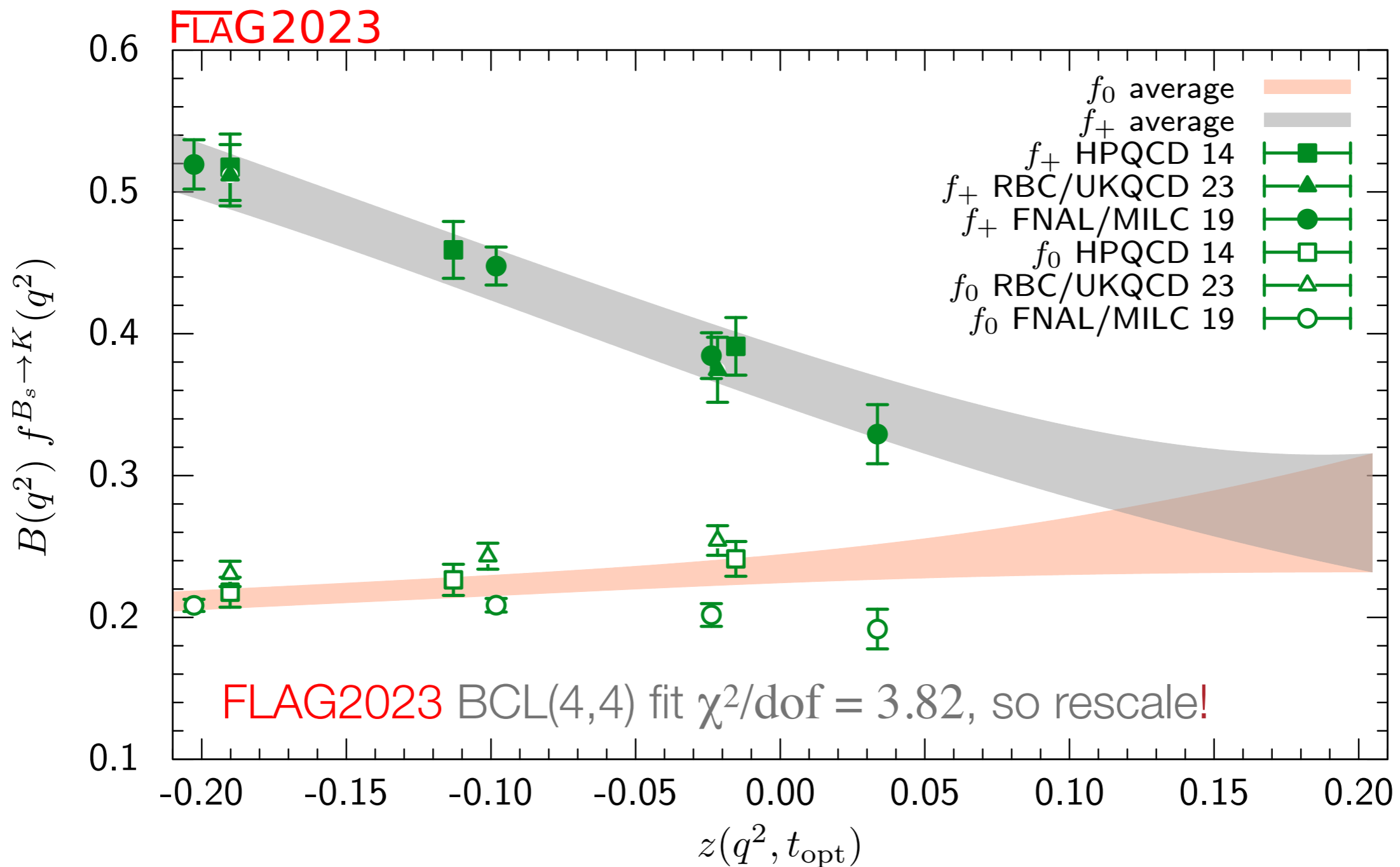
$B \rightarrow \pi l \nu$ in FLAG2023_{update}



$B_s \rightarrow Kl\nu$ in FLAG2023

- **HPQCD 2014** [[arXiv:1406.2279](https://arxiv.org/abs/1406.2279)]: NRQCD b quark on 2+1 asqtad sea (MILC); five ensembles at two lattice spacings; smallest $m_{l,sea} = m_{s,sea}/10$, $m_{l,val} = m_{s,val}/7$.
- **Fermilab-MILC 2019** [[arXiv:1901.02561](https://arxiv.org/abs/1901.02561)]: Fermilab b quark on 2+1 asqtad sea (MILC); six ensembles at three lattice spacings; smallest $m_l = m_s/20$.
- **RBC/UKQCD 2023** [[arXiv:2303.11280](https://arxiv.org/abs/2303.11280)]: RHQ b quark on 2+1 DWF sea; six ensembles at three lattice spacings; smallest $m_l = m_s/10$. Supersedes RBC/UKQCD 2015 [[arXiv:1501.05373](https://arxiv.org/abs/1501.05373)], says FLAG.
- NB: Same action for Fermilab and RHQ but different trajectories to CL (unimportant).

$B_s \rightarrow Kl\nu$ in FLAG2023_{update}



Chiral-Continuum and z -Expansion Fits

What Could Go Wrong?

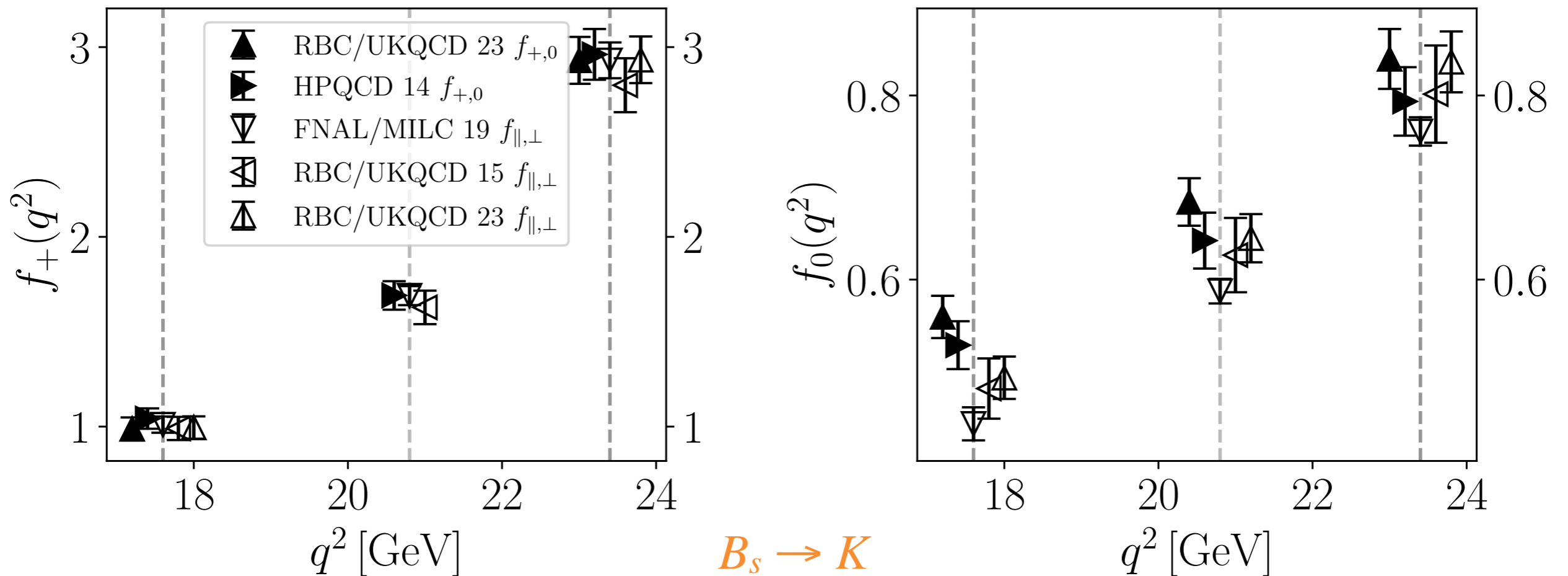
- Monte Carlo generating gauge fields? Cross-checked by **some number** of other quantities, so let's rule this out. **{few, several, many}**
- Fits to correlation functions? Cross-checked by collaborators, both by competing fits and intense scrutiny. So probably not. Alas, meaningless to compare correlator fits of Action A vs. Action B \Leftarrow discretization effects.
- Insufficient data to control chiral-continuum extrapolation?
 - See number of ensembles, range of a , m_l on previous slides. Adding a third lattice spacing has often brought significant change.
- Choices in chiral-continuum extrapolation?
 - Hard- vs. soft-pion χ PT; where the poles are; (f_+, f_0) vs. (f_\perp, f_\parallel) .

Chiral-continuum limit with (f_+, f_0) vs. (f_\perp, f_\parallel)

- Soft-pion formulas in heavy-meson χ PT give expressions for (f_\perp, f_\parallel) :
 - in this limit, $f_+ \sim f_\perp$, $f_0 \sim f_\parallel$.
- Angular momentum says intermediate states in the s channel are 1^- for f_+ , 0^+ for f_0 .
- If there is a conflict, angular momentum trumps EFT:
 - higher orders in the EFT must reveal definite J^P in the linear combinations of (f_\perp, f_\parallel) that yield (f_+, f_0) .
- Also, pion/kaon is not so soft: same chiral logs in hard-pion χ PT for all f s.

It makes a difference

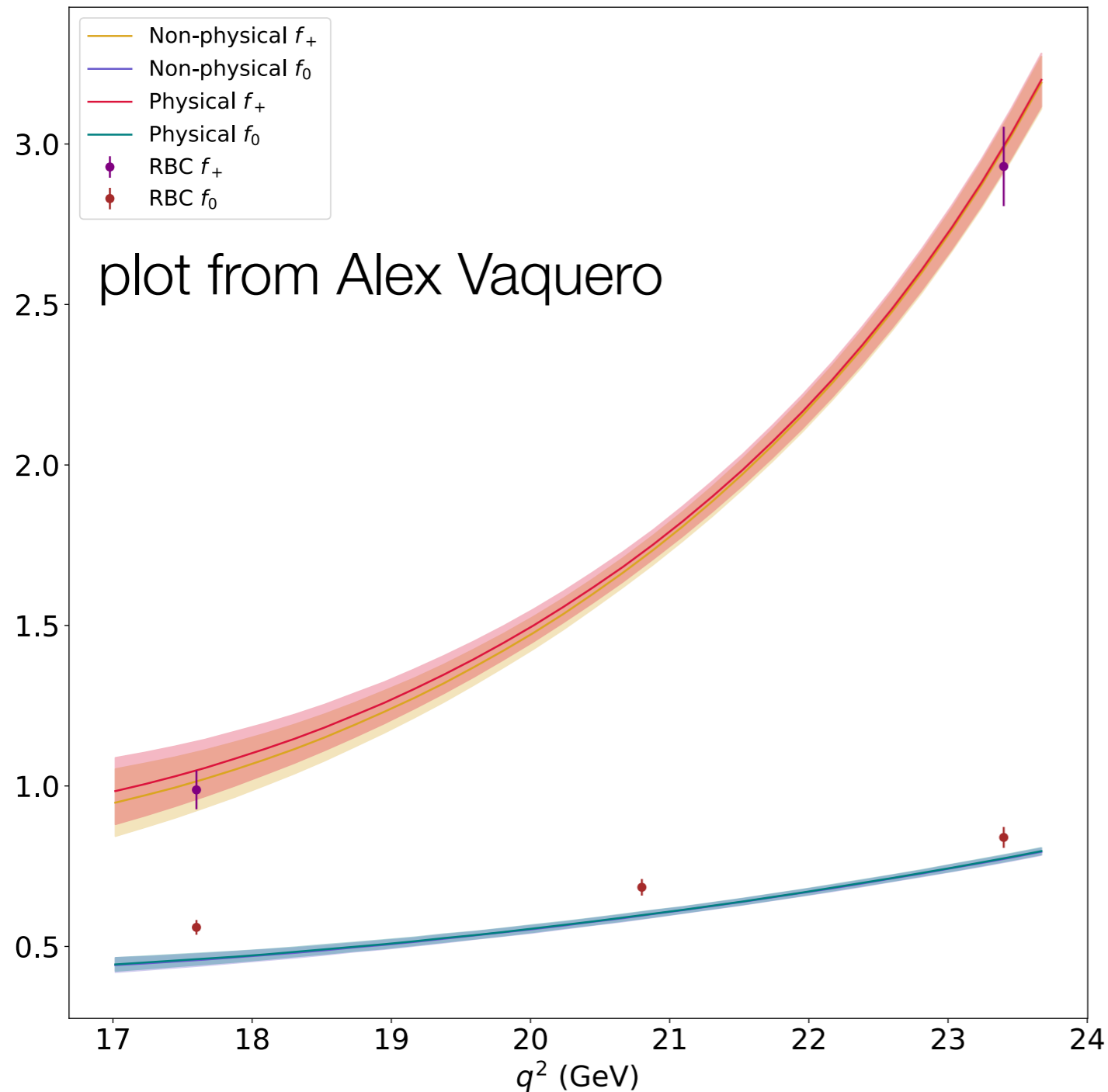
[arXiv:2303.11280](https://arxiv.org/abs/2303.11280)



- Change one thing at a time, so focus first on \triangle and \blacktriangle : extrapolate RBC/UKQCD 2023 with poles in $(f_{\perp}, f_{\parallel})$ or (f_{+}, f_0) , respectively.
- Other open/solid symbols follow same convention. Note trend in f_0 .

Or does it?

Vaquero archaeology of [arXiv:1901.02561](https://arxiv.org/abs/1901.02561)

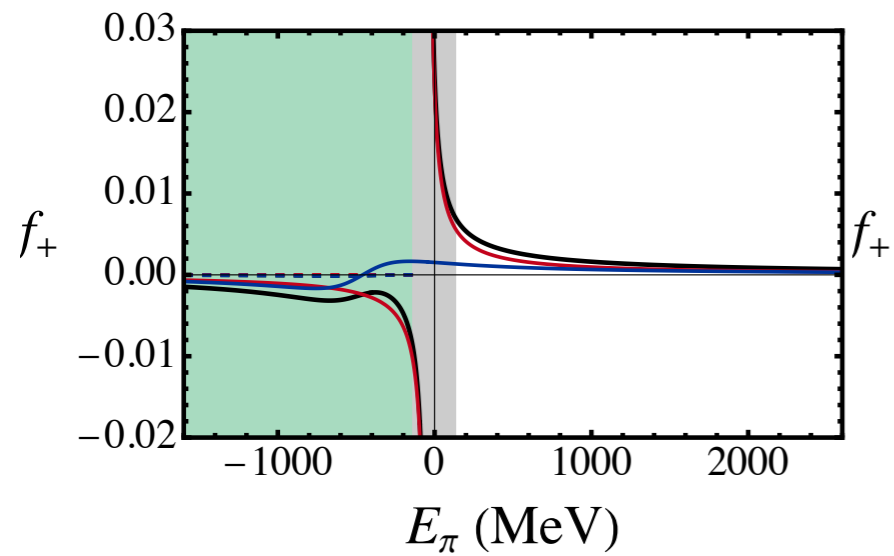


- Fermilab/MILC 2019 with poles in $(f_{\perp}, f_{\parallel})$ or (f_+, f_0) agree.
- Agreement for f_+ w/ RBC/UKQCD 2023.
- Clear disagreement for f_0 w/ RBC/UKQCD 2023.
- Pole positions differ, but tests in both papers & Alex's archaeology suggest that this difference doesn't matter.

Polology

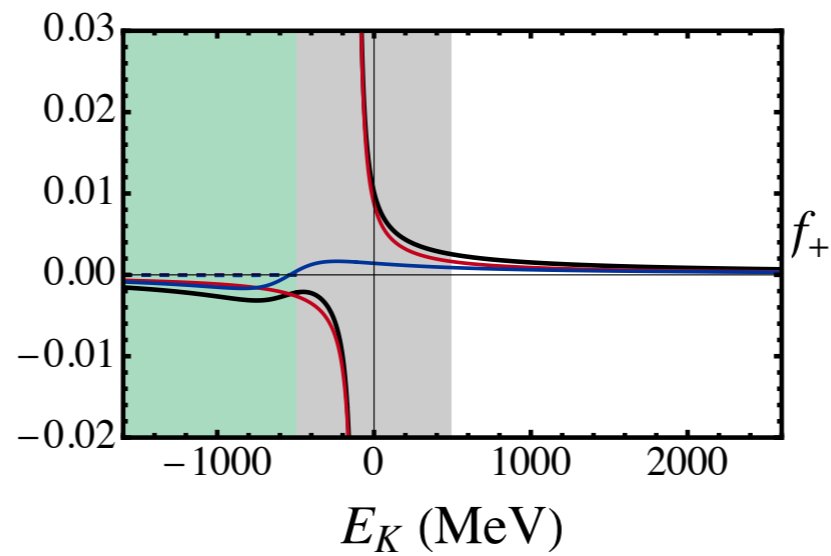
$$B \rightarrow \pi l \nu$$

$$l \nu \rightarrow B \pi$$



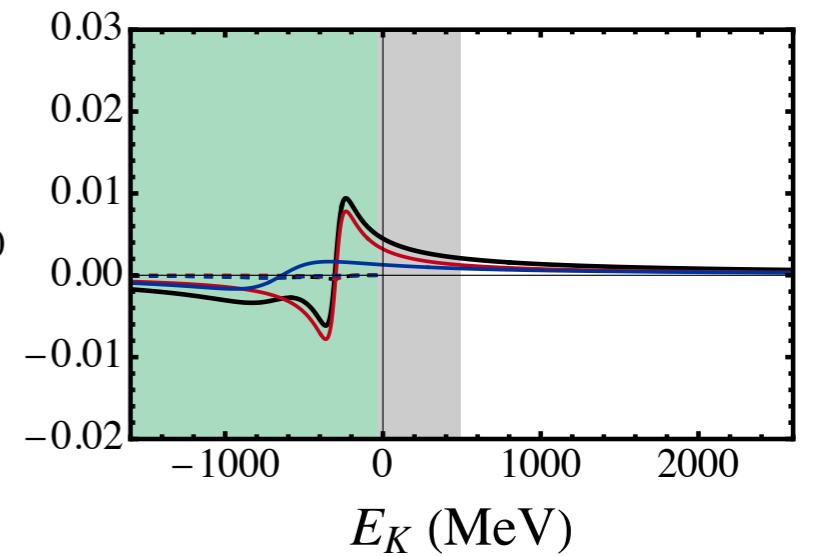
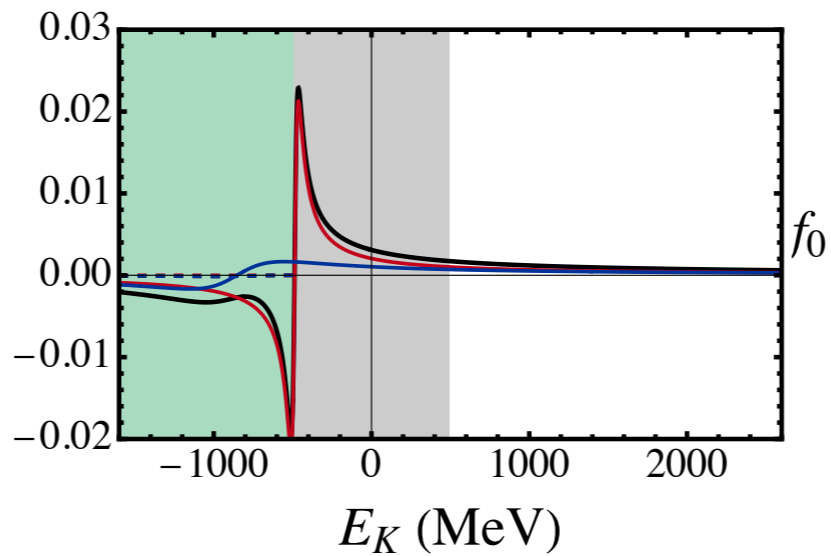
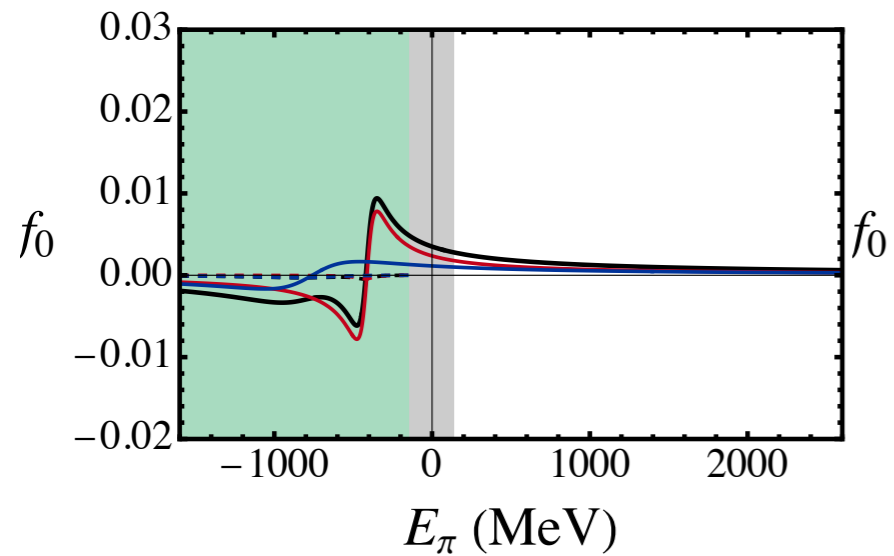
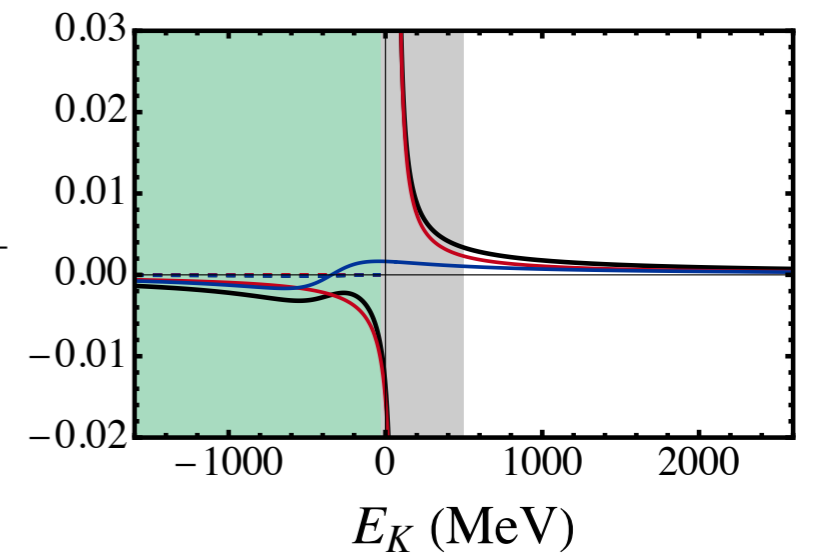
$$B \rightarrow K l l$$

$$l l \rightarrow B K$$



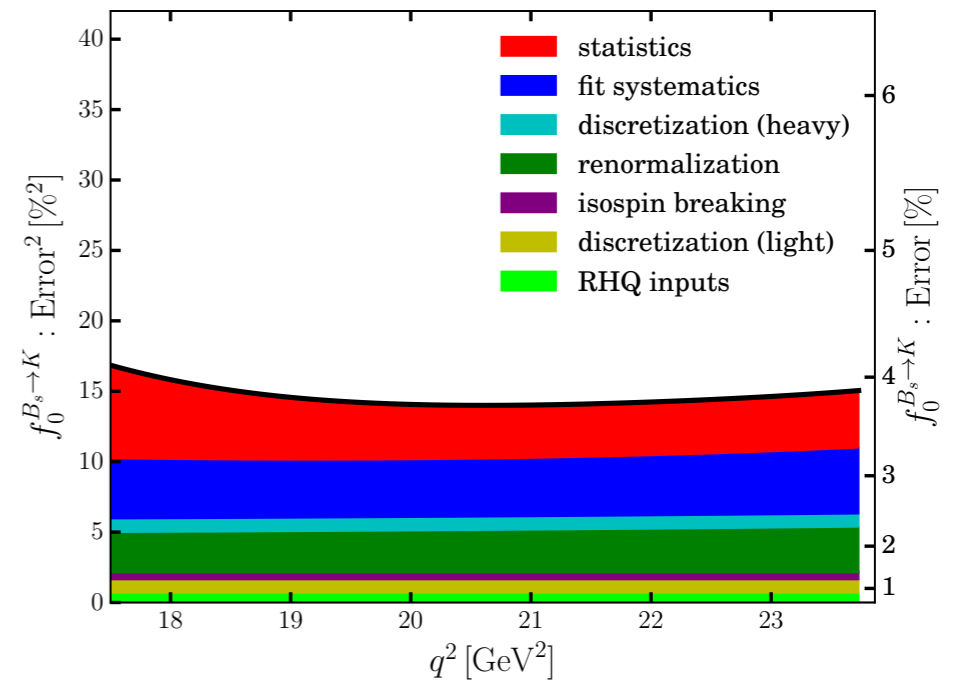
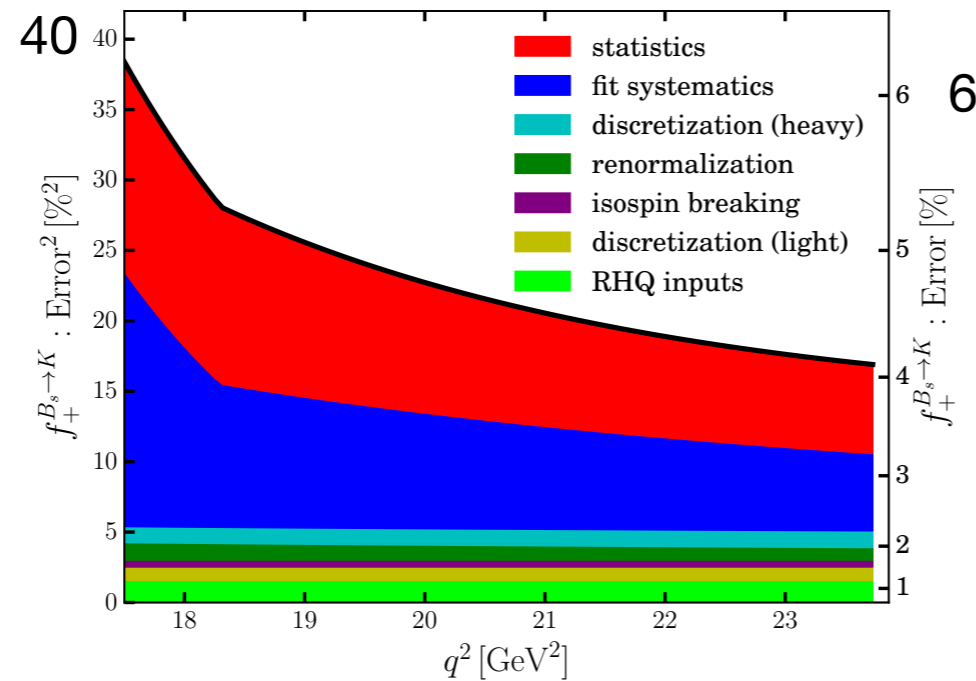
$$B_s \rightarrow K l \nu$$

$$l \nu \rightarrow B \pi$$

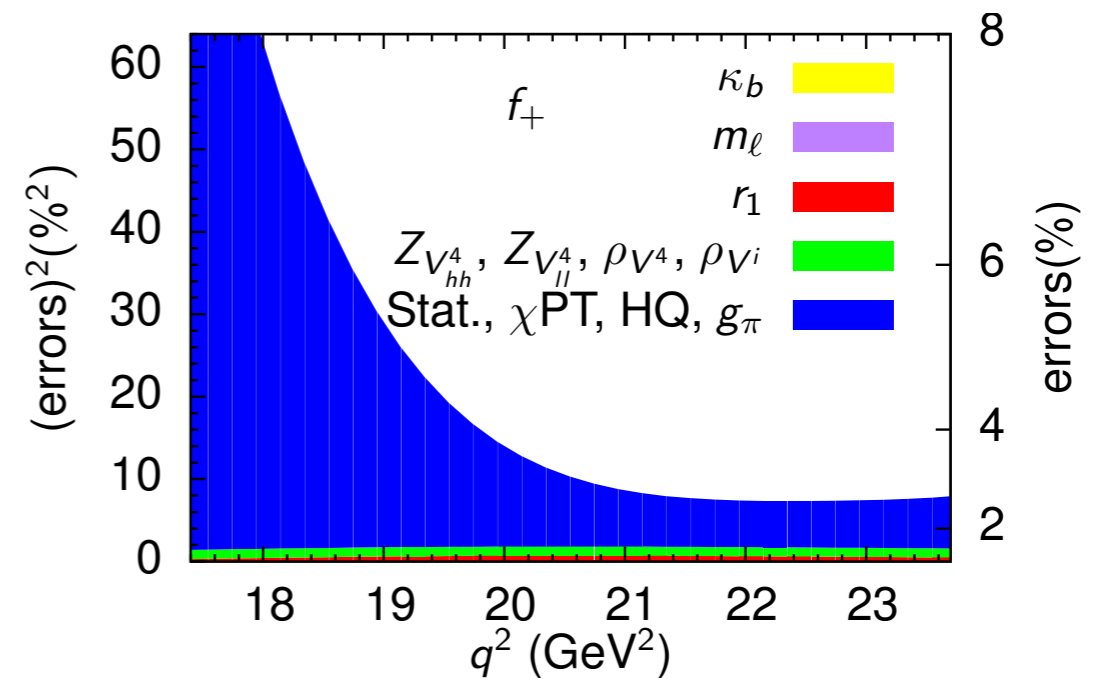
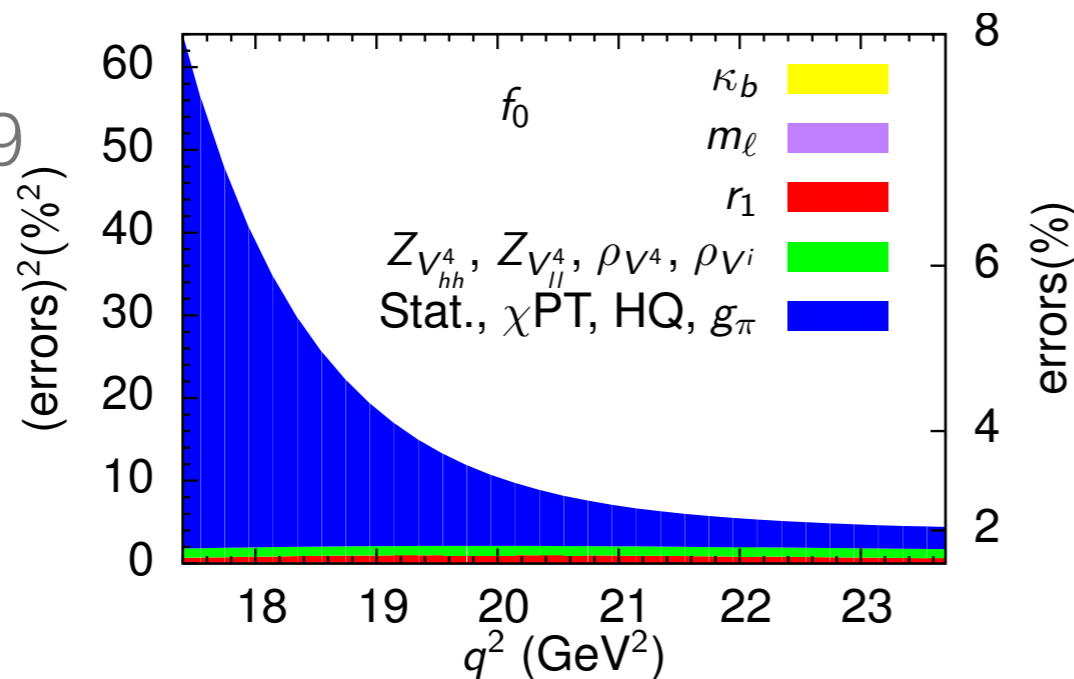


Error Budgets

R/U 2023

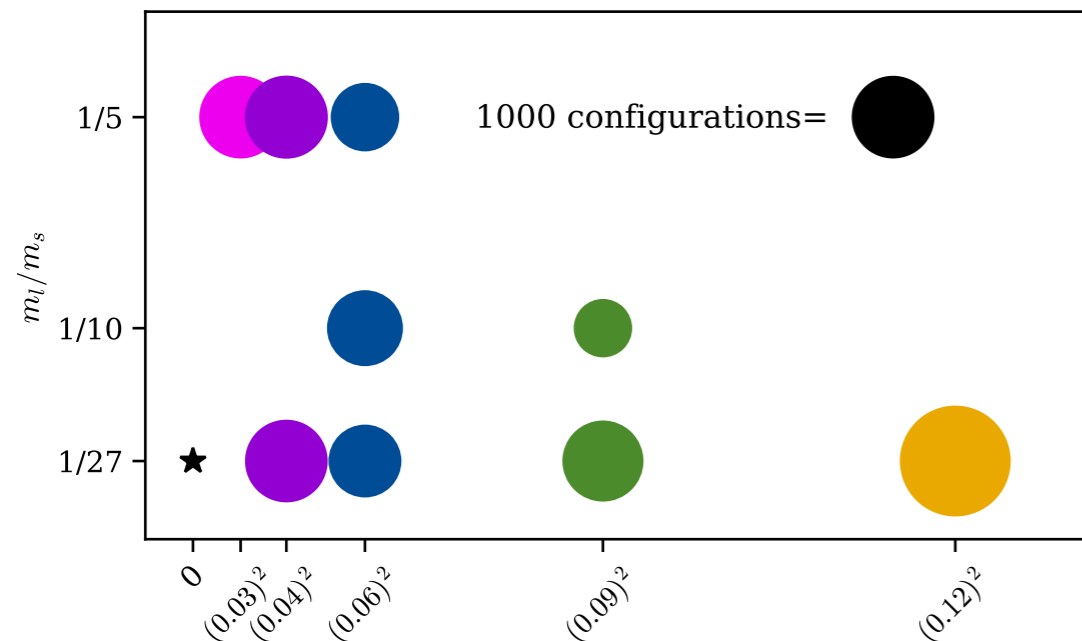
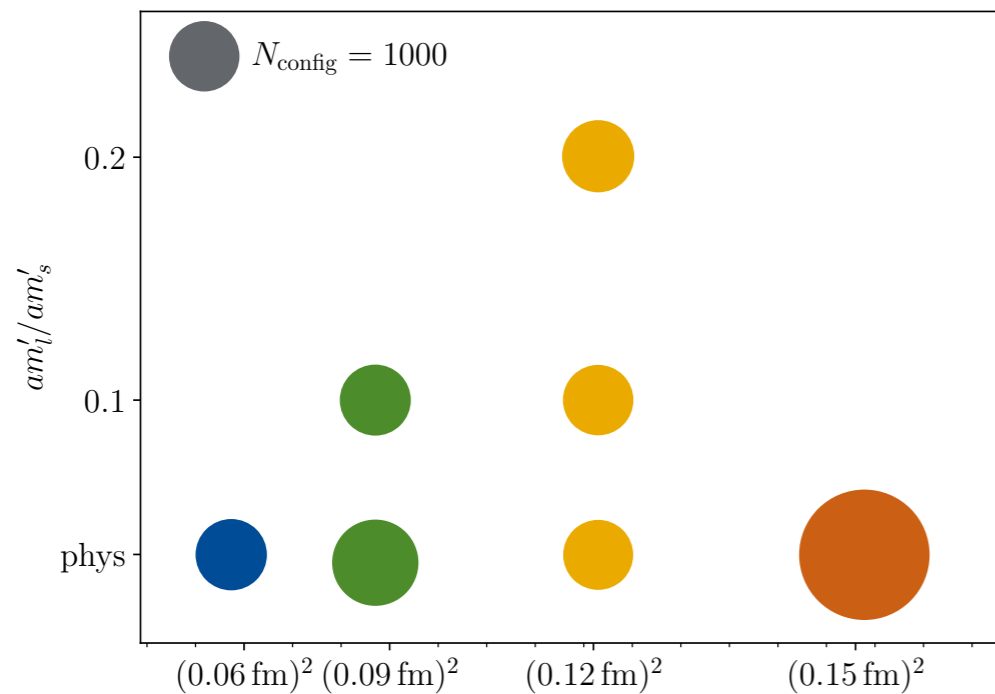


F/M 2019



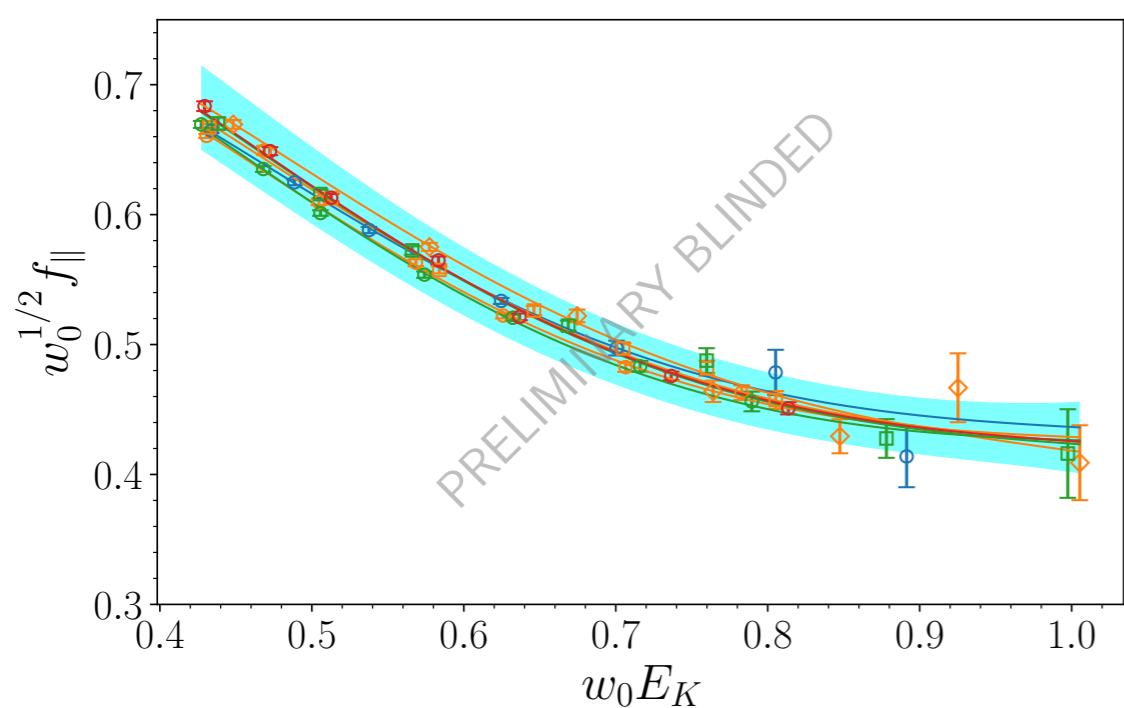
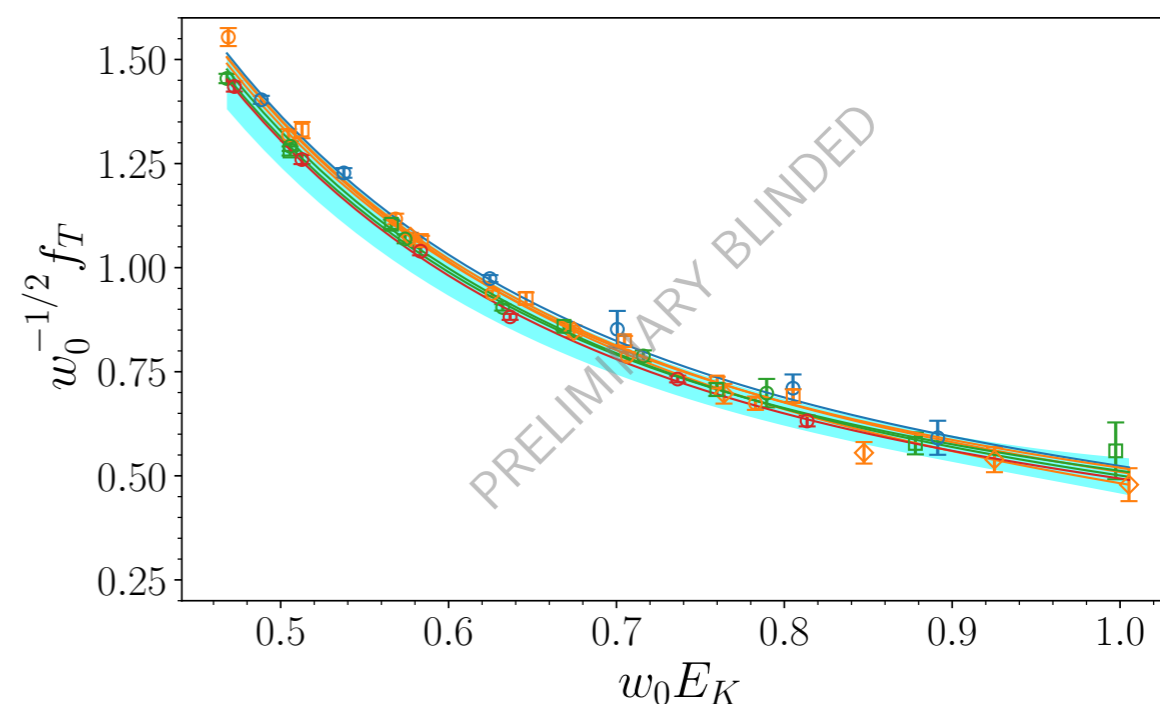
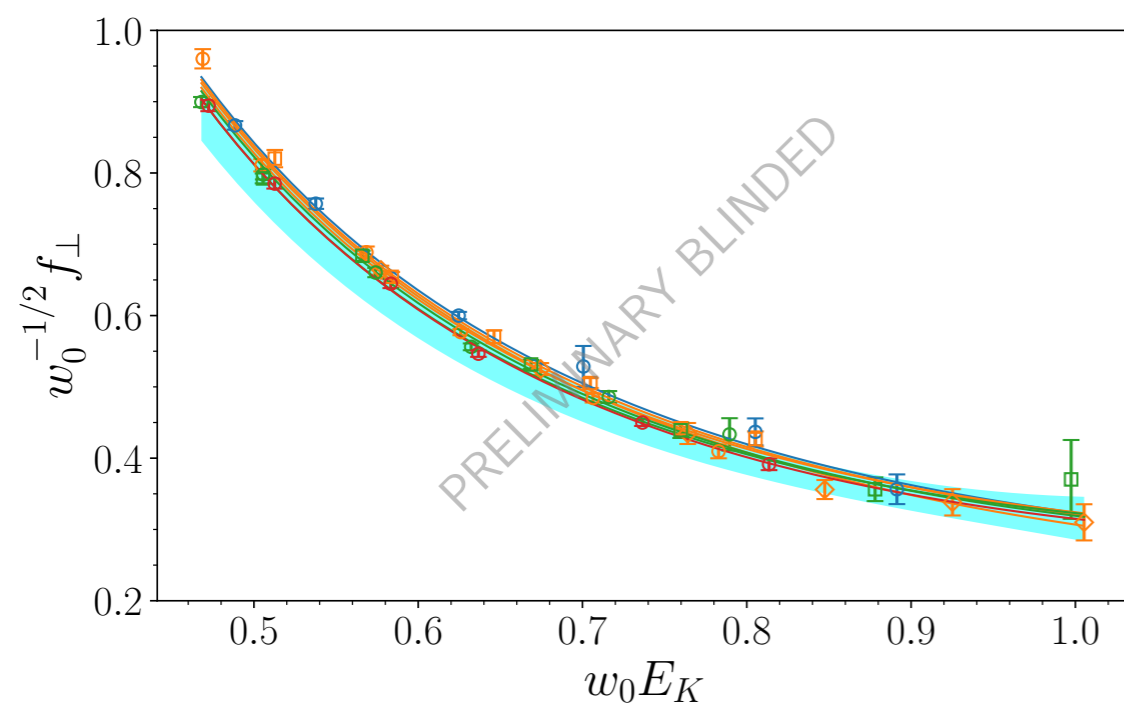
Upcoming Lattice-QCD Calculations

Fermilab-MILC on 2+1+1-Flavor Ensembles



- Two projects underway, the first further along (all data generated).
- Fermilab b quark on 2+1+1 HISQ sea (MILC); **seven** ensembles at **four** lattice spacings; smallest $m_l = \text{physical}$.
- HISQ h quark on 2+1+1 HISQ sea (MILC); **nine** ensembles at **five** lattice spacings; smallest $m_l = \text{physical}$.
 - At 0.04 and 0.03 fm, $m_h = m_b$.
 - Also f_0 from scalar density.

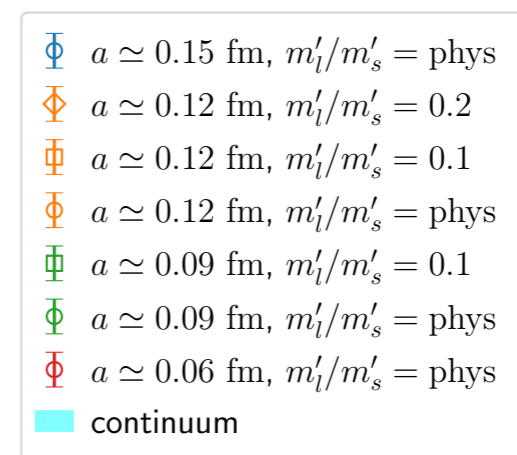
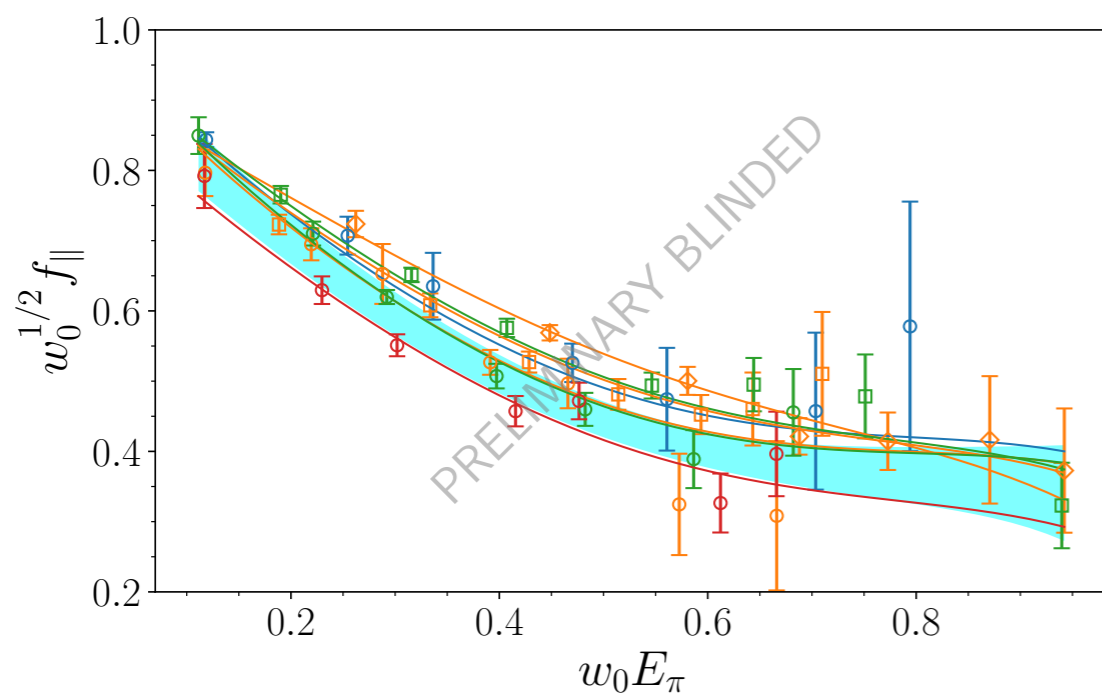
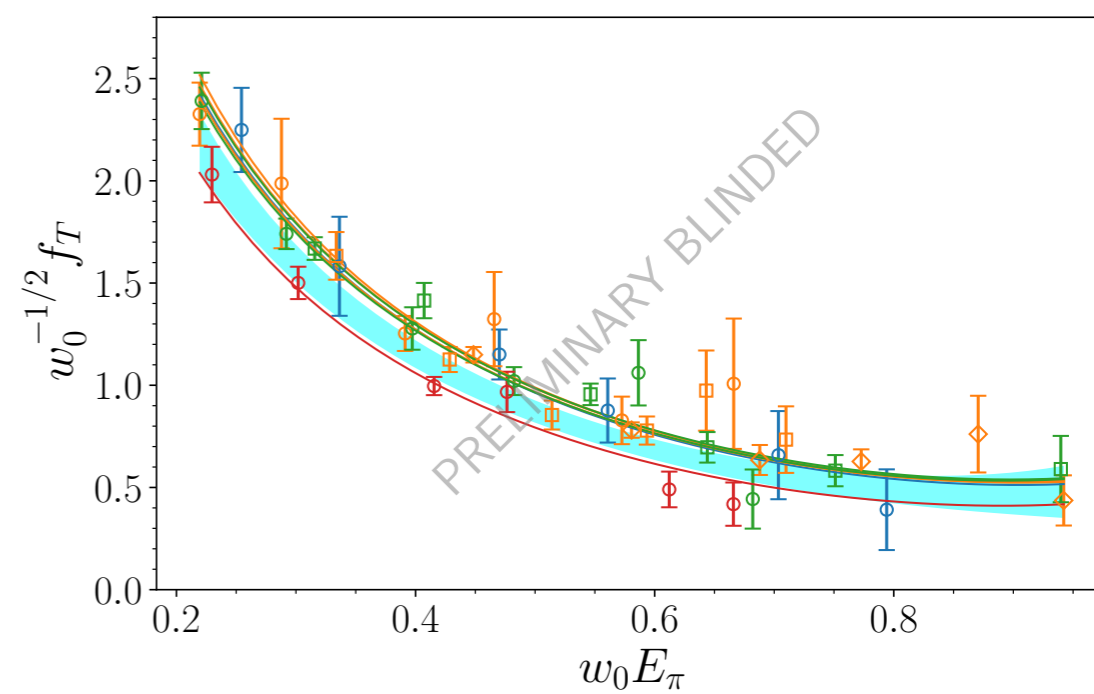
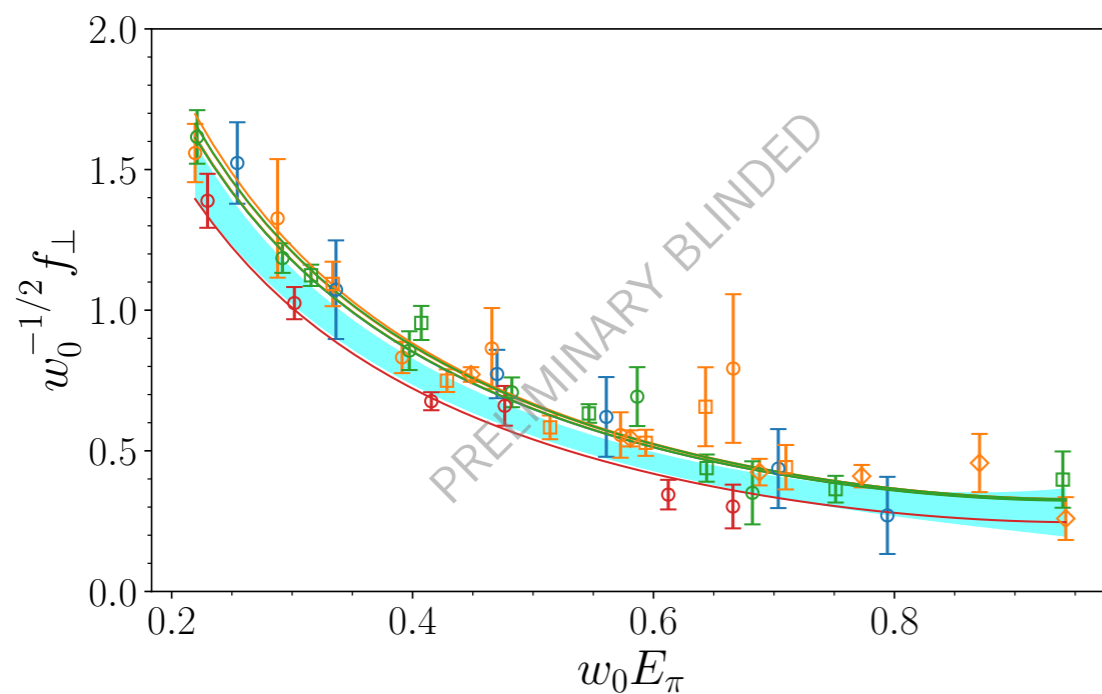
Preview “Fermilab on HISQ” $B_s \rightarrow Kl\nu$



- \circ $a \simeq 0.15$ fm, $m'_l/m'_s = \text{phys}$
- \diamond $a \simeq 0.12$ fm, $m'_l/m'_s = 0.2$
- \square $a \simeq 0.12$ fm, $m'_l/m'_s = 0.1$
- \oplus $a \simeq 0.12$ fm, $m'_l/m'_s = \text{phys}$
- \boxplus $a \simeq 0.09$ fm, $m'_l/m'_s = 0.1$
- \circ $a \simeq 0.09$ fm, $m'_l/m'_s = \text{phys}$
- \oplus $a \simeq 0.06$ fm, $m'_l/m'_s = \text{phys}$
- continuum

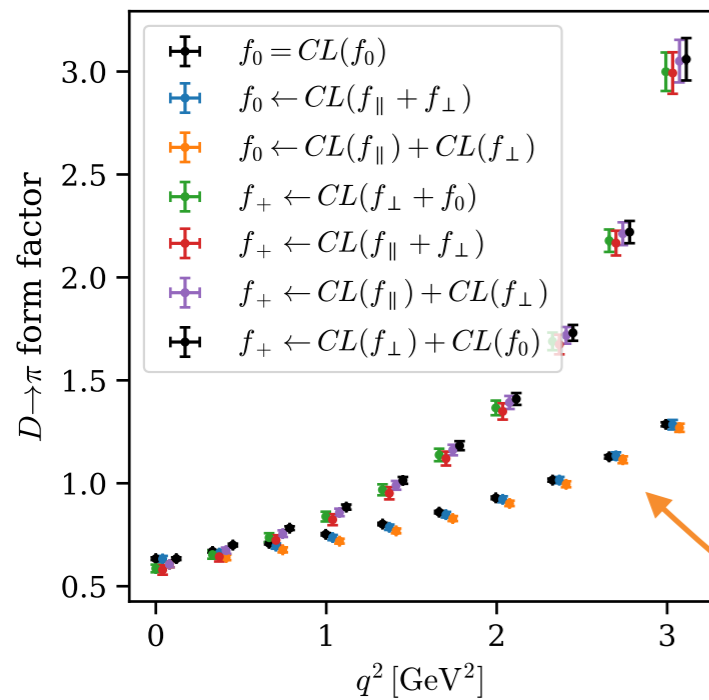
plots from Hwancheol Jeong

Preview “Fermilab on HISQ” $B \rightarrow \pi l \nu$



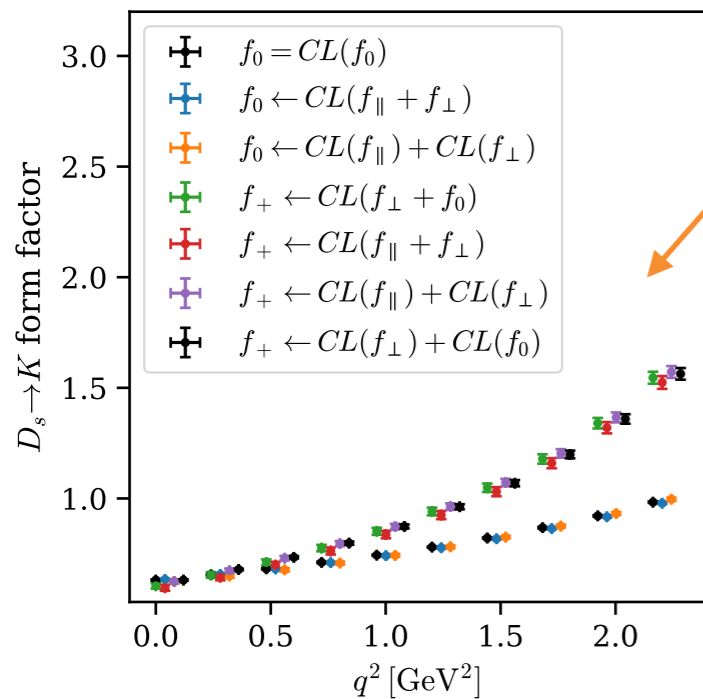
plots from Hwancheol Jeong

All-HISQ $D \rightarrow \pi l\nu$, $D_s \rightarrow K l\nu$, $D \rightarrow K l\nu$

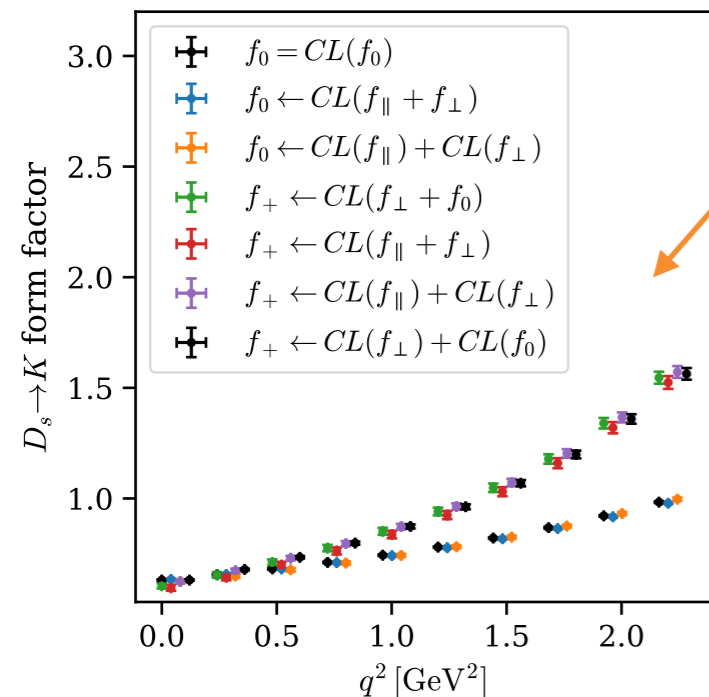
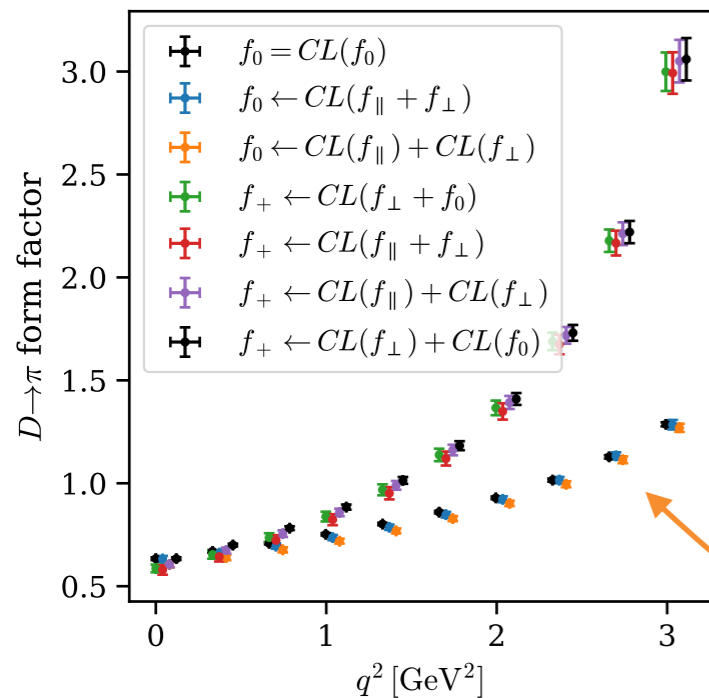


plots from
[arXiv:2212.12648](https://arxiv.org/abs/2212.12648)
 seven ensembles
 (three physical)

same chiral-continuum
 limit for various ways
 of doing it



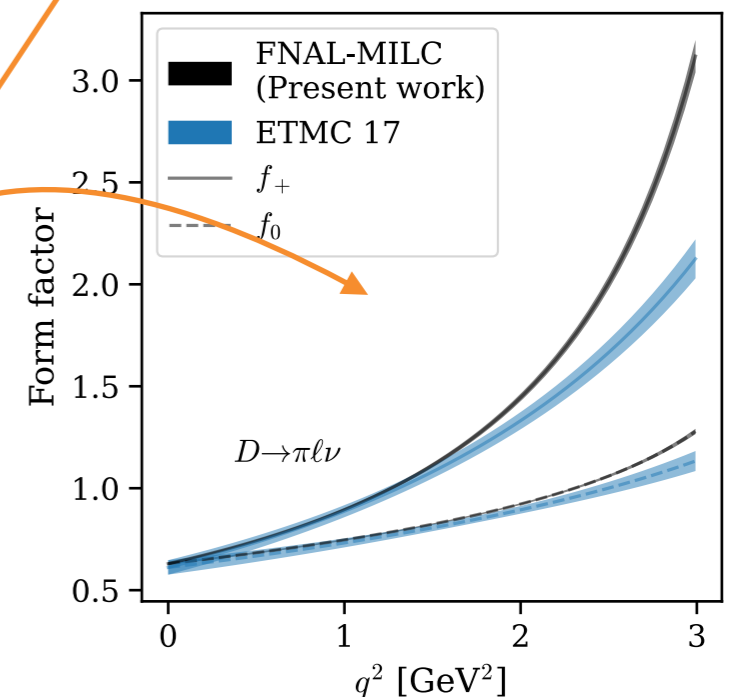
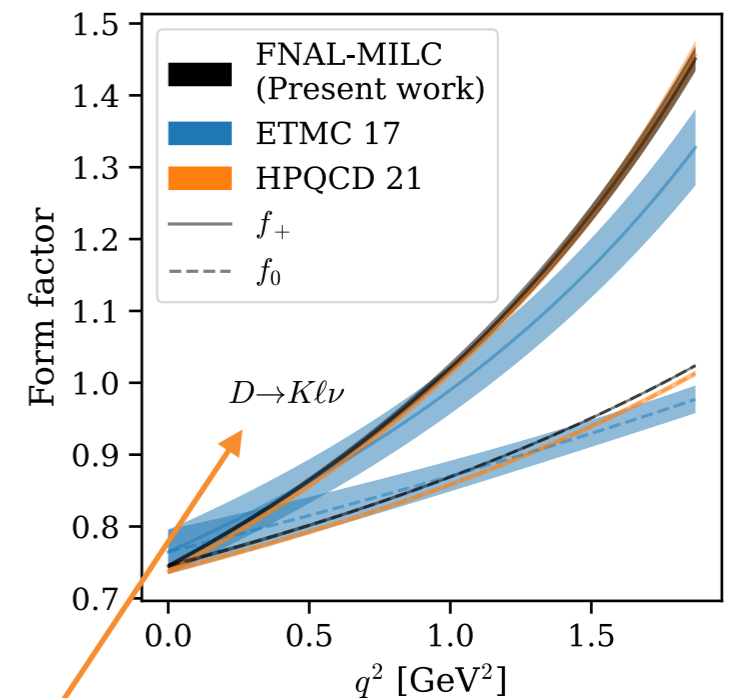
All-HISQ $D \rightarrow \pi l \nu$, $D_s \rightarrow K l \nu$, $D \rightarrow K l \nu$



plots from
[arXiv:2212.12648](https://arxiv.org/abs/2212.12648)
 seven ensembles
 (three physical)

same chiral-continuum
 limit for various ways
 of doing it

{dis}agreement with
 HPQCD [[arXiv:2104.09883](https://arxiv.org/abs/2104.09883)]
 also all-HISQ
 {ETM [[arXiv:1706.03017](https://arxiv.org/abs/1706.03017)]
 15 ensembles, $m_l \geq m_s/8$,
 2+1+1 tmW}



Final Remarks

Assessment

- Current situation unsatisfactory:
 - for $B \rightarrow \pi l \nu$, Fermilab-MILC 2015 dataset is superior to others, so (for example) being skeptical about the uncertainties of the earliest work may be warranted.
 - for $B_s \rightarrow K l \nu$, RBC/UKQCD 2023 and Fermilab-MILC 2019 datasets are just as comprehensive (though less so than Fermilab-MILC '15 $B \rightarrow \pi$).
- I like the idea of plotting pulls of the points—maybe FLAG (actively working on next big report) can provide this.
- In 1–2 years, there will be further information; in 3–4 more precise info. Unfortunately, I don't see $a \approx 0.03\text{--}0.04$ fm coming from anyone but MILC.