

# Inclusive semileptonic decays from Lattice QCD

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*In collaboration with*

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Challenges in Semileptonic B Decays, September 25th, 2024



Institute of Particle and  
Nuclear Studies

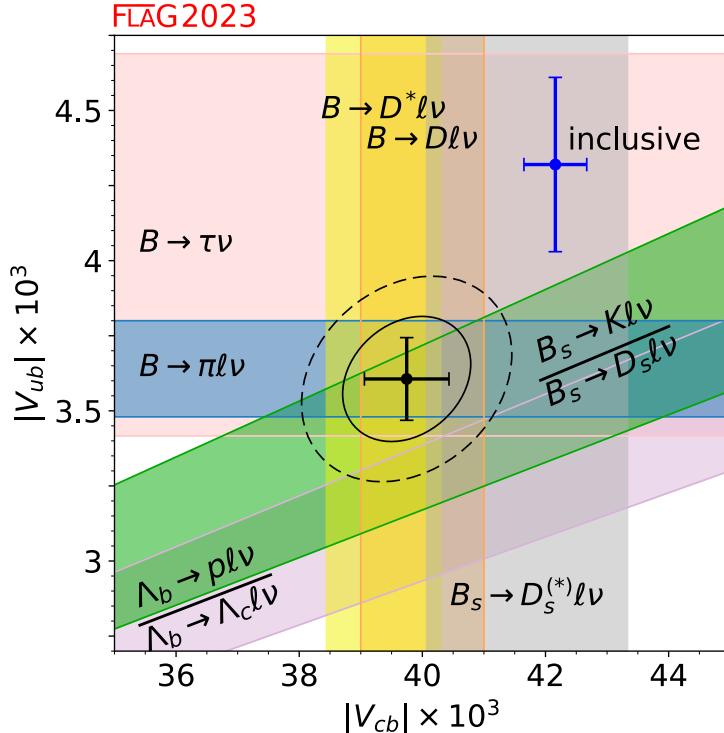


# Today's agenda

- Quick review on lattice formulation of inclusive decays
- Systematic errors in the analysis
  1. Finite-volume effects
  2. Finite polynomial approximation
- Extension to more observables
- Summary & Outlook

# Introduction

# Current landscape



~  $3\sigma$  discrepancy between exclusive and inclusive determination

Many determinations for exclusive channels from lattice QCD and Experiment

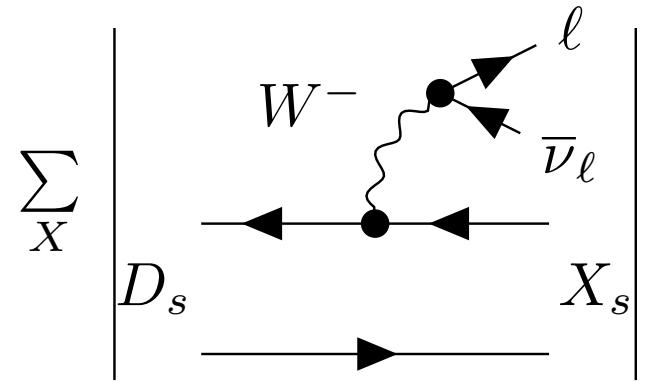
Inclusive determination has relied on OPE

- Lattice QCD might be able to provide input enables fully nonperturbative theoretical treatment of QCD

## Today:

Current status on the analysis of inclusive decays of charmed and bottomed mesons from lattice QCD

# On-going analysis of inclusive semileptonic decay rate $D_s \rightarrow X_s \ell \nu_\ell$

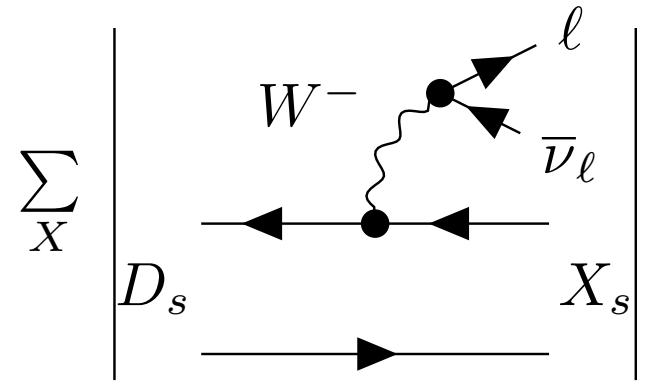


$$\frac{d\Gamma}{dq^2 dq_0^2 dE_\ell} = \frac{G_F^2 |V_{cs}|^2}{8\pi^2} L_{\mu\nu} W^{\mu\nu}$$

$L_{\mu\nu}$ : Leptonic tensor (analytically known)

$W^{\mu\nu}$ : Hadronic tensor (nonperturbative QCD)

# On-going analysis of inclusive semileptonic decay rate $D_s \rightarrow X_s \ell \bar{\nu}_\ell$



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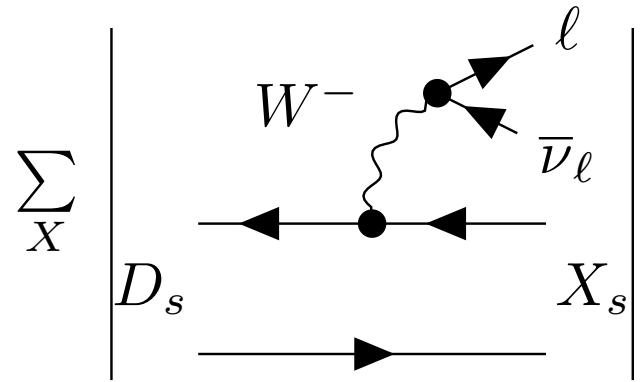
## Challenges on the lattice

- Require external states
  - Long time separations

4pt correlator

$$C_{\mu\nu}(t) \sim \langle D_s(\mathbf{0}) | \tilde{J}_\mu^\dagger(\mathbf{q}) \tilde{J}_\nu(\mathbf{q}) | D_s(\mathbf{0}) \rangle$$

# On-going analysis of inclusive semileptonic decay rate $D_s \rightarrow X_s \ell \bar{\nu}_\ell$



$$\frac{d\Gamma}{dq^2 dq_0^2 dE_\ell} = \frac{G_F^2 |V_{cs}|^2}{8\pi^2} L_{\mu\nu} W^{\mu\nu}$$

$L_{\mu\nu}$ : Leptonic tensor (analytically known)

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## Challenges on the lattice

- Require external states
  - Long time separations
- Large number of states
  - Identify all of them?

$$C_{\mu\nu}(t) \sim \sum_{X_s} \langle D_s | \tilde{J}_\mu^\dagger(\mathbf{q}) | X_s \rangle \langle X_s | \tilde{J}_\nu(\mathbf{q}) | D_s \rangle e^{-E_{X_s} t}$$

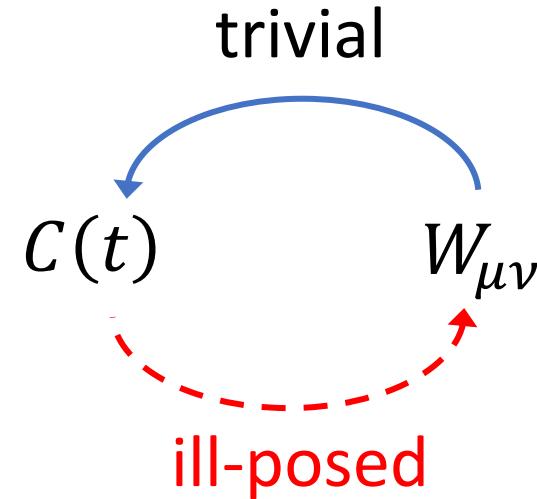
# On-going analysis of inclusive semileptonic decay rate $D_s \rightarrow X_s \ell \bar{\nu}_\ell$

$$\sum_X \left| D_s \rightarrow X_s \right|^2 = \frac{d\Gamma}{dq^2 dq_0^2 dE_\ell} = \frac{G_F^2 |V_{cs}|^2}{8\pi^2} L_{\mu\nu} W^{\mu\nu}$$

$L_{\mu\nu}$ : Leptonic tensor (analytically known)  
 $W^{\mu\nu}$ : Hadronic tensor (nonperturbative QCD)

## Challenges on the lattice

- Require external states
  - Long time separations
- Large number of states
  - Identify all of them?
- Extraction of  $W_{\mu\nu}$  from correlator  
ill-posed problem (**inverse problem**)



# On-going analysis of inclusive semileptonic decay rate $D_s \rightarrow X_s \ell \bar{\nu}_\ell$

$$\sum_X \left| D_s \rightarrow X_s \right|^2 = \frac{d\Gamma}{dq^2 dq_0^2 dE_\ell} = \frac{G_F^2 |V_{cs}|^2}{8\pi^2} L_{\mu\nu} W^{\mu\nu}$$

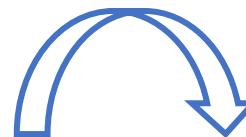
$L_{\mu\nu}$ : Leptonic tensor (analytically known)  
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**Idea** [P. Gambino & S. Hashimoto, 2005.13730]

Smeared spectral density

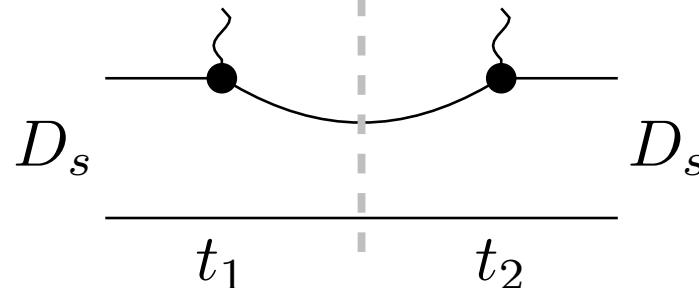
$$\rho_s(\omega)$$

Smearing  $\hat{=}$  phase space integral



Approximation using **4Pt function** correlation function

$X$  All possible states



$$\Gamma \sim \int_0^{q_{max}^2} dq^2 \sqrt{q^2} [ ]_{\text{Lattice}}$$

# Inclusive Decays - Continuum

Total decay rate [2211.16830, 2305.14092]

$$\Gamma \sim \int_0^{q_{max}^2} dq^2 \sqrt{q^2} \sum_{l=0}^2 \bar{X}^{(l)}(\mathbf{q}^2)$$

$\bar{X}^{(l)}(\mathbf{q}^2)$  integral over energy of hadronic final states

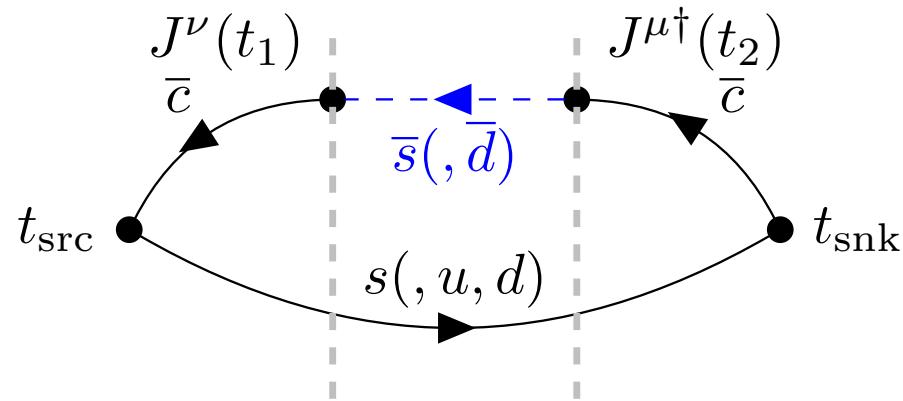
$$\bar{X}^{(l)}(\mathbf{q}^2) = \int_{\omega_0}^{\infty} d\omega W^{\mu\nu}(\mathbf{q}, \omega) K_{\mu\nu}^{(l)}(\mathbf{q}, \omega)$$

*Kernel function*

$$k_{\mu\nu}^{(l)}(\mathbf{q}, \omega) \theta(\omega_{max} - \omega)$$

Analytically known      Step function  
 $l$ -th power of  $\omega$  and  $\mathbf{q}^2$

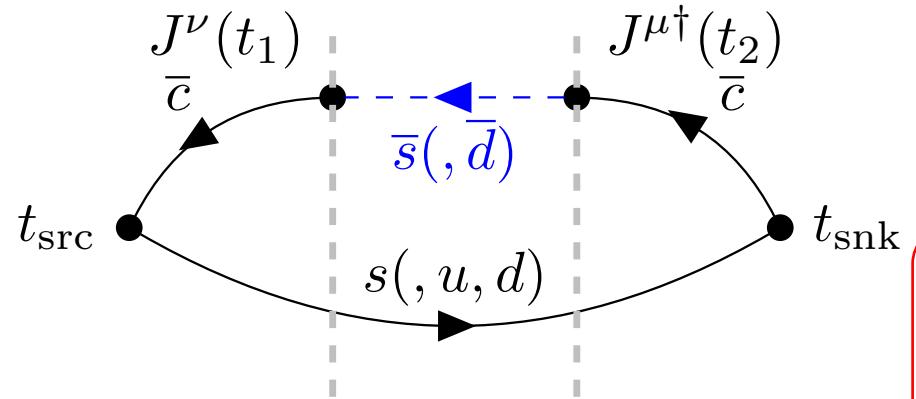
# Inclusive decays – Lattice



- $t_{src}, t_2, t_{snk}$  fixed
- $t_{src} \leq t_1 \leq t_2$

$$C_{\mu\nu}(\mathbf{q}, t) = \int_0^\infty d\omega W_{\mu\nu}(\mathbf{q}, \omega) e^{-\omega t}$$

# Inclusive decays – Lattice



- $t_{src}, t_2, t_{snk}$  fixed
- $t_{src} \leq t_1 \leq t_2$
- $t = t_2 - t_1$

$$C_{\mu\nu}(\mathbf{q}, t) = \int_0^\infty d\omega W_{\mu\nu}(\mathbf{q}, \omega) e^{-\omega t}$$

Continuum expression

$$\bar{X}^{(l)}(\mathbf{q}^2) = \int_{\omega_0}^\infty d\omega W^{\mu\nu}(\mathbf{q}, \omega) K_{\mu\nu}^{(l)}(\mathbf{q}, \omega)$$

Approximate Kernel in polynomials of  $e^{-\omega}$

$$K(\omega, \mathbf{q}) \simeq k_0 + k_1 e^{-\omega} + \dots + k_N e^{-N\omega}$$

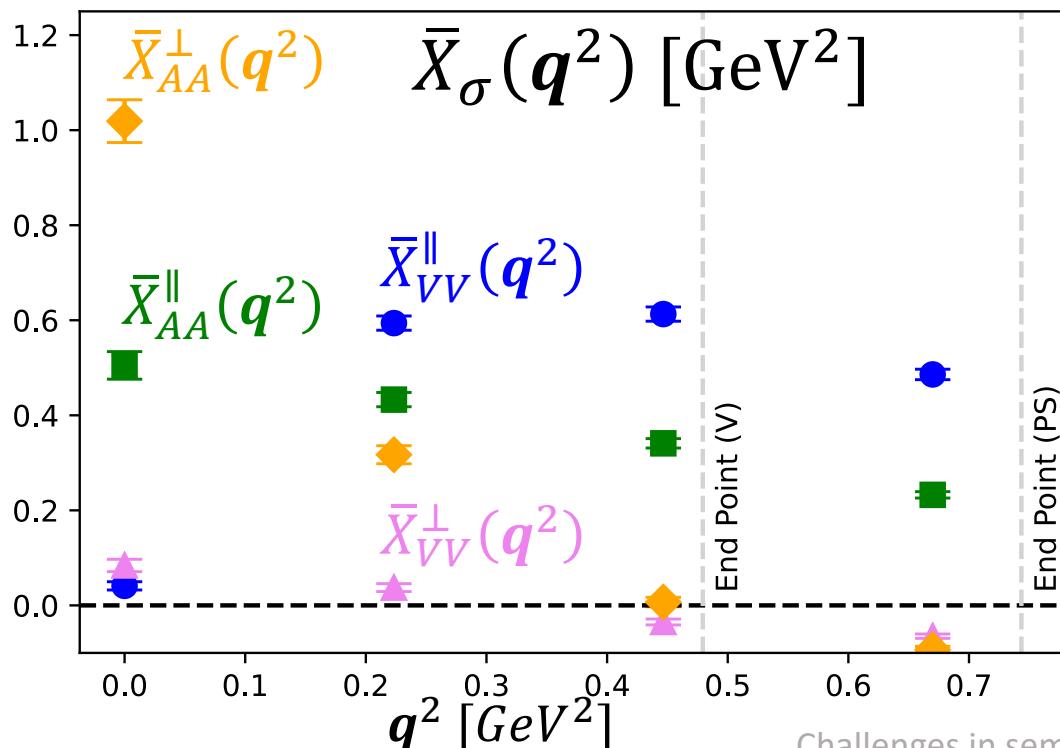
$\bar{X}^{(l)}(\mathbf{q}^2) \sim k_0 \int_{\omega_0}^\infty d\omega W^{\mu\nu}(\mathbf{q}, \omega) + \dots + k_N \int_{\omega_0}^\infty d\omega W^{\mu\nu}(\mathbf{q}, \omega) e^{-N\omega}$

# Numerical Results

The differential rate  $\bar{X} \sim \frac{d\Gamma}{dq^2}$

$$\bar{X}_\sigma(q^2) = \sum_{l=0}^2 \left\langle D_s(\mathbf{0}) \left| \tilde{J}_\mu^\dagger(-q) K_\sigma^{(l)}(\hat{H}, q^2) \tilde{J}_\nu(q) \right| D_s(\mathbf{0}) \right\rangle$$

$$N = 10, \sigma = 0.1$$



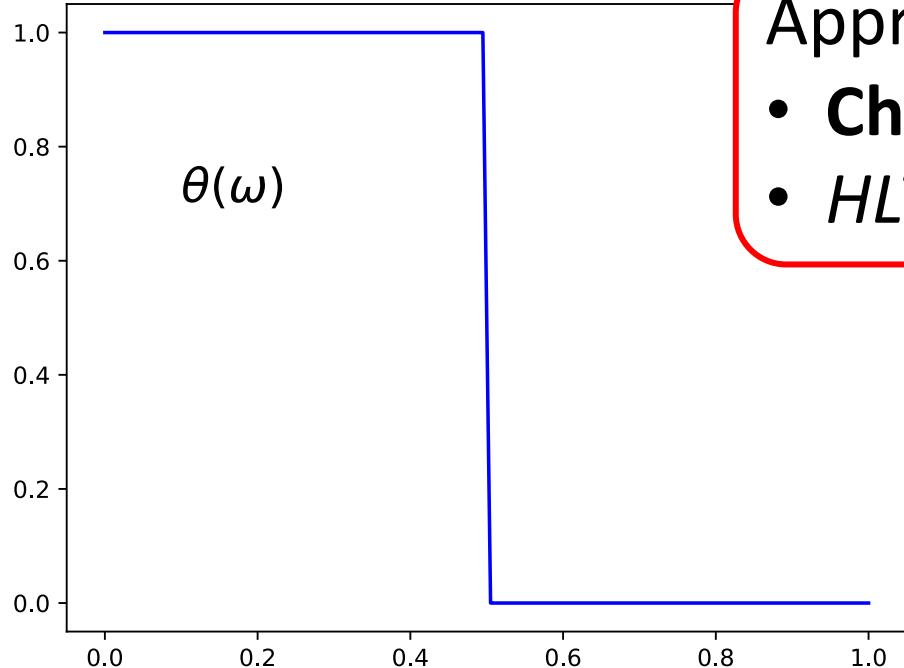
Decomposed  $\bar{X}_\sigma(q^2)$ :

- Vector ( $VV$ ) & Axial-vector ( $AA$ )
- $\parallel$  and  $\perp$  polarization with respect to  $\mathbf{q}$

Decomposition allows for comparison with ground state limit

# Systematic error – kernel approximation

Upper limit of the energy integral



Direct approximation with  $e^{-\omega(t_2-t_1)}$  not possible

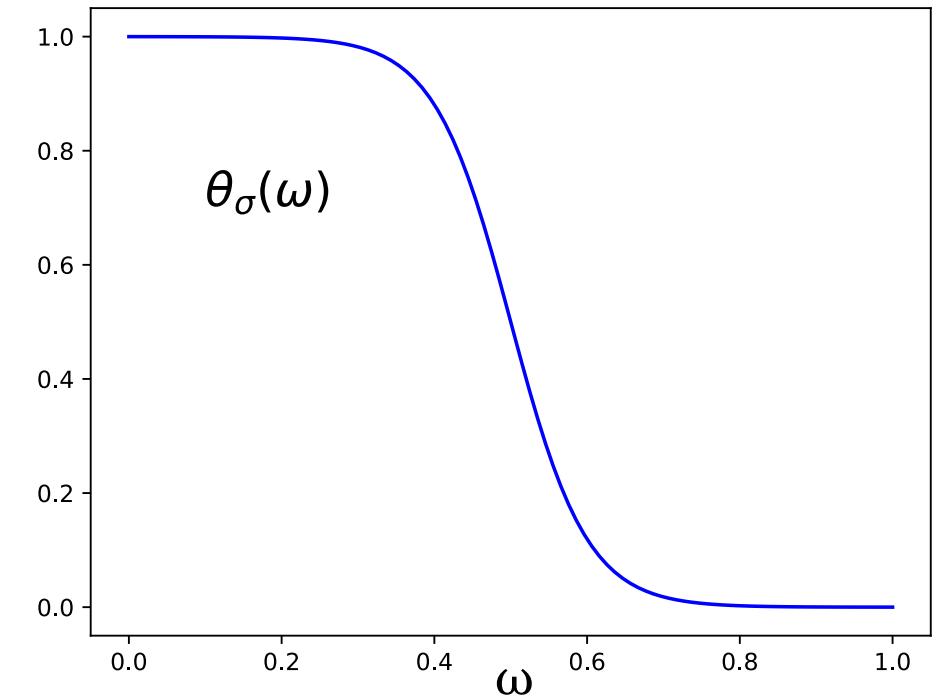


Apply smearing

Challenges in semileptonic B Decays, R. Kellermann

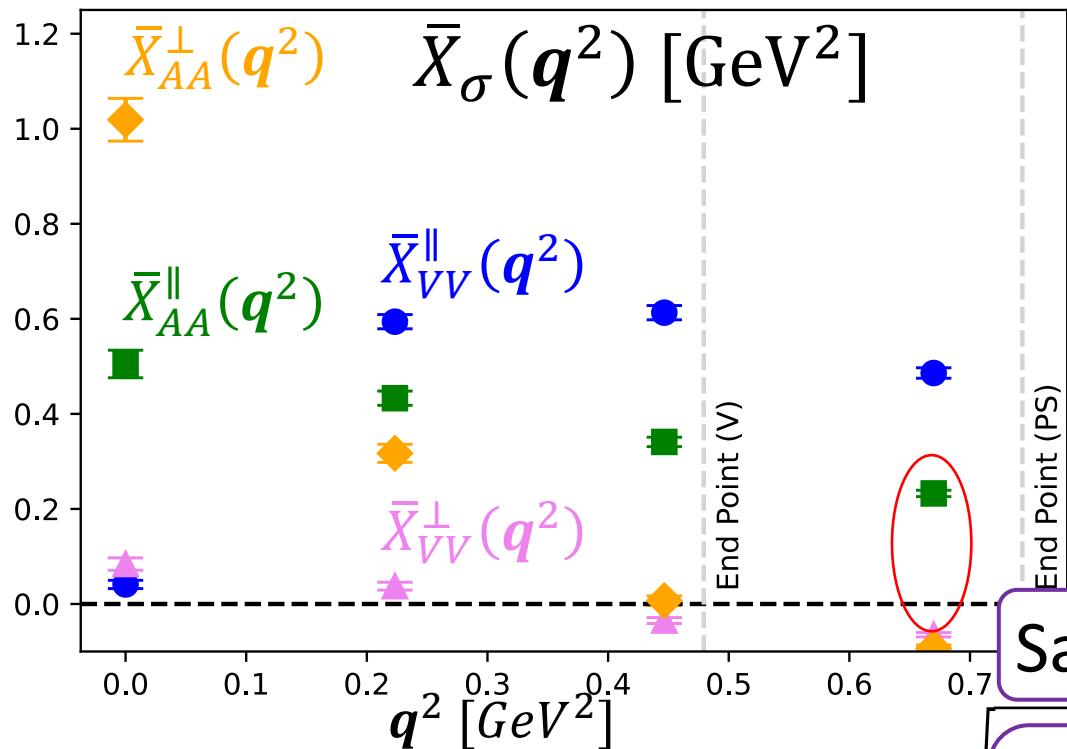
Approximation strategies [2305.14092, 1903.06476] :

- **Chebyshev approximation**
- *HLT approach*



# Systematic error - Approximation

$$N = 10, \sigma = 0.1$$



Estimate error from

Create estimate [2211.16830]

- $N \rightarrow \infty$ ; frequency component
- $\sigma \rightarrow 0$ ; width

$$\sigma = \frac{1}{N}$$

Property of Chebyshev polynomials

$$|\langle \tilde{T}_k(\omega) \rangle| \leq 1$$

Sample size: 1000

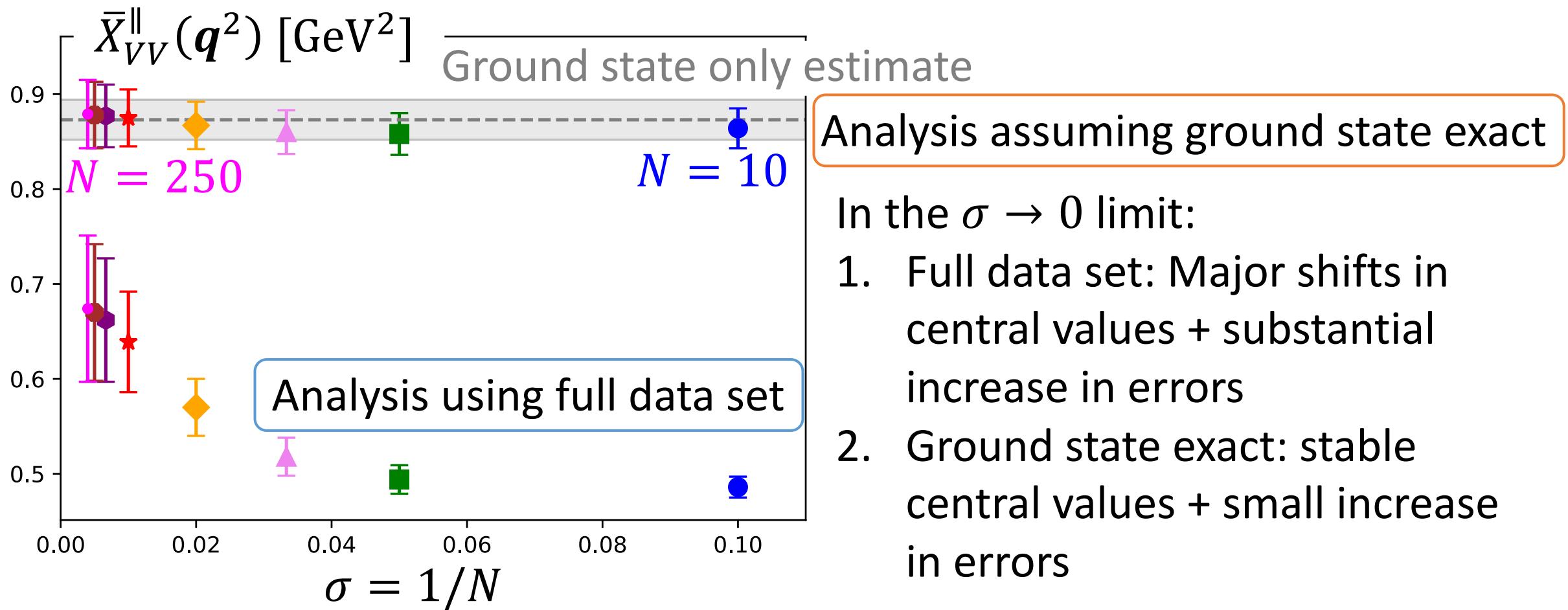
$$\sqrt{\text{var} \left( \sum_{j=N_{\text{cut}}}^N \tilde{c}_j^{(l)} \tilde{T}_j \right)}$$

Analytically known

Random variable taken from uniform distribution in  $[-1; 1]$

# Systematic error - Approximation

Application for  $\bar{X}_{VV}^{\parallel}(q^2)$  for  $q = (1,1,1)$

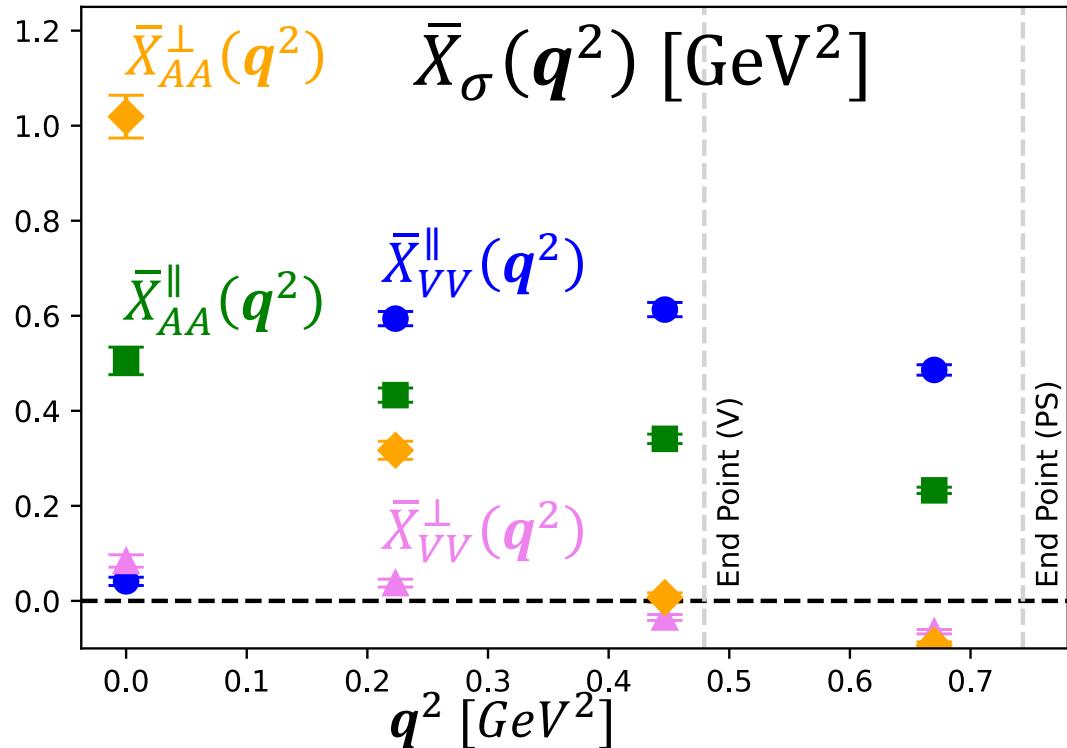


In the  $\sigma \rightarrow 0$  limit:

1. Full data set: Major shifts in central values + substantial increase in errors
2. Ground state exact: stable central values + small increase in errors

# Systematic error - Finite volume

$$N = 10, \sigma = 0.1$$



Infinite volume limit? [2312.16442]

- In finite volume spectral density is a sum of delta peaks

Computing  $\bar{X}_\sigma(q^2)$  requires ordered limits

$$\lim_{\sigma \rightarrow 0} \lim_{V \rightarrow \infty} \bar{X}_\sigma(q^2)$$

Necessary data not available

→ Estimate finite-volume effects using a model  
(non-interacting two-body states)

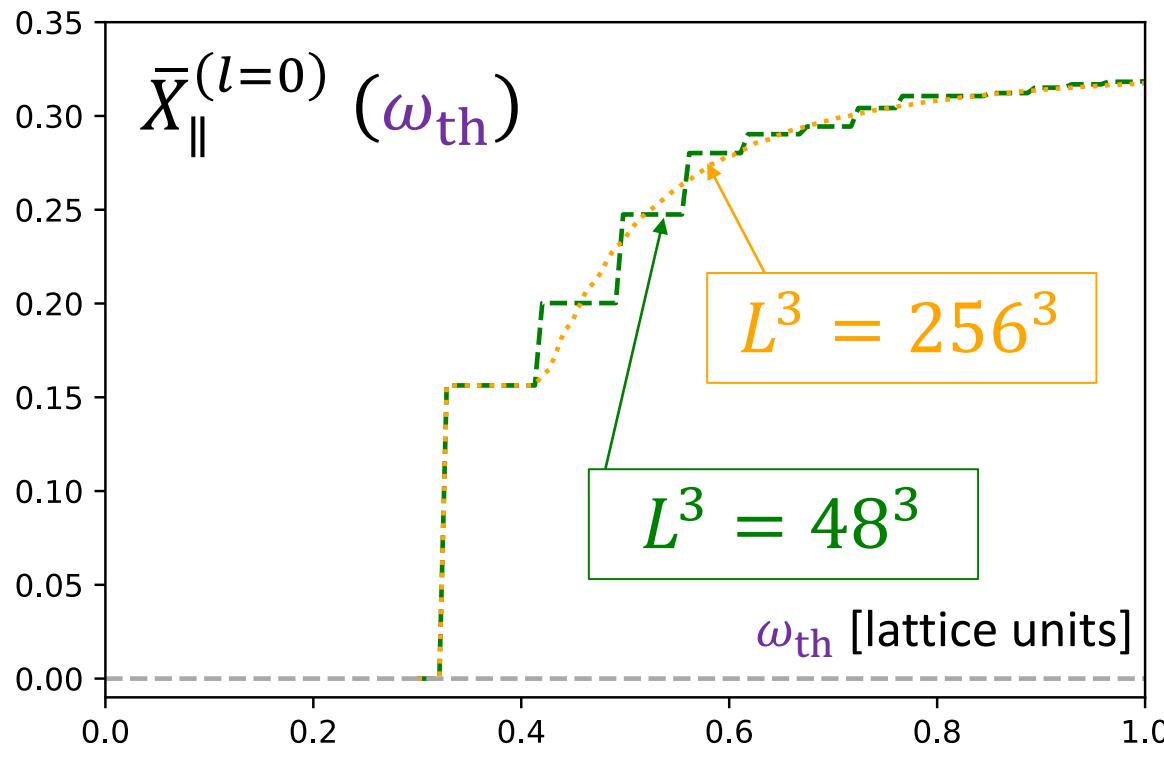
# Finite volume – Model analysis

$\bar{X}_{AA}^{\parallel}(q^2)$  for  $q = (0,0,0)$

Model-based results

$$\bar{X}^{(l)}(\omega_{\text{th}}) \sim \int_{\omega_0}^{\infty} d\omega \rho(\omega) k_{\sigma}^{(l)}(\mathbf{q}, \omega) \theta(\omega_{\text{th}} - \omega)$$

Test by (artificially) varying the upper limit of the integral



- Heaviside function
  - Slight volume dependence

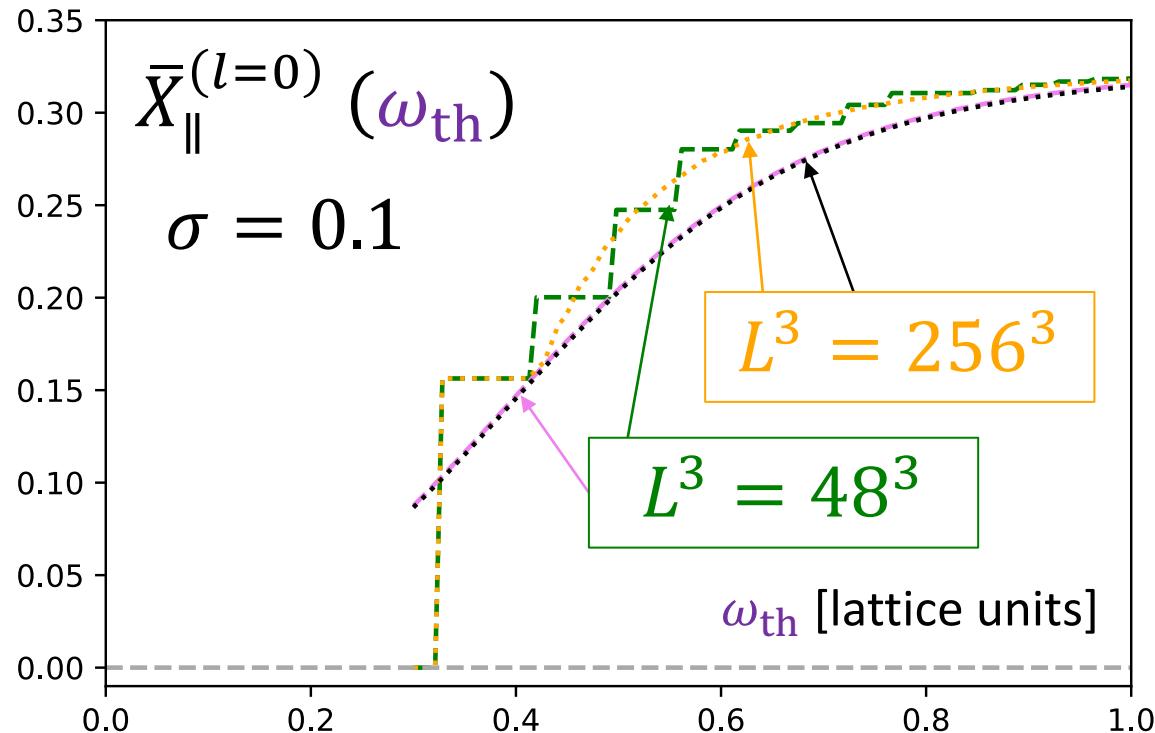
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Test by (artificially) varying the upper limit of the integral



- Heaviside function
    - Slight volume dependence
- + apply smearing
- Volume dependence washes out

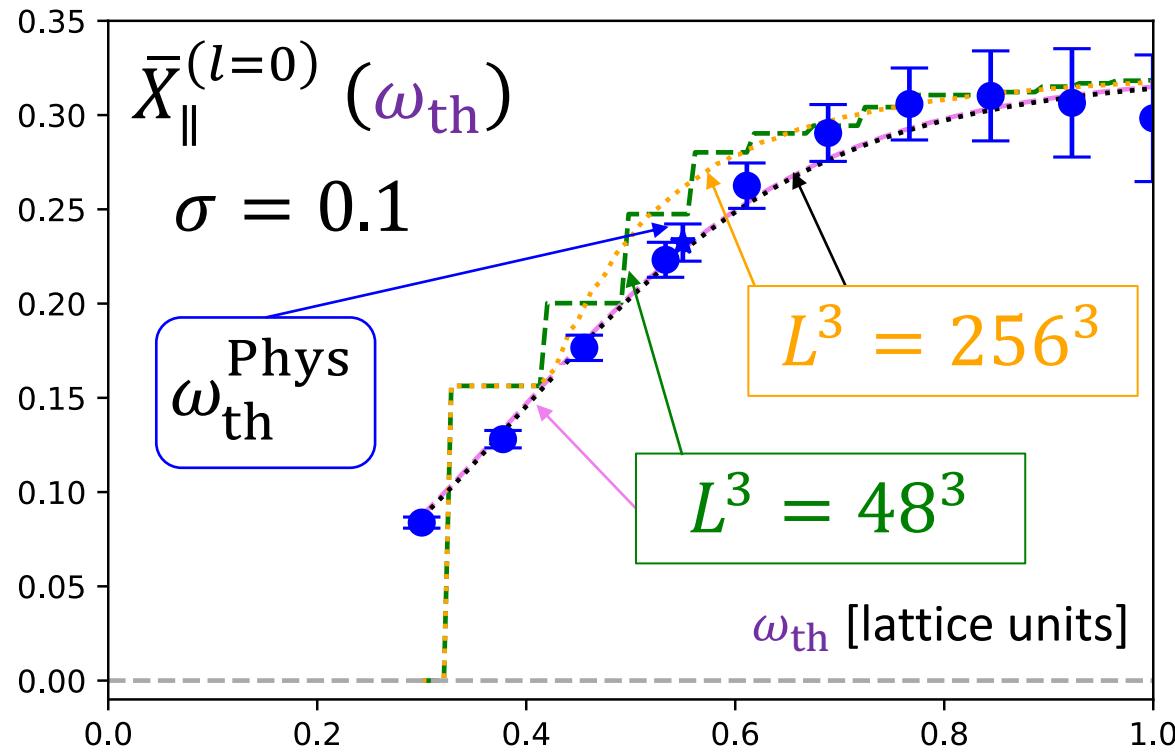
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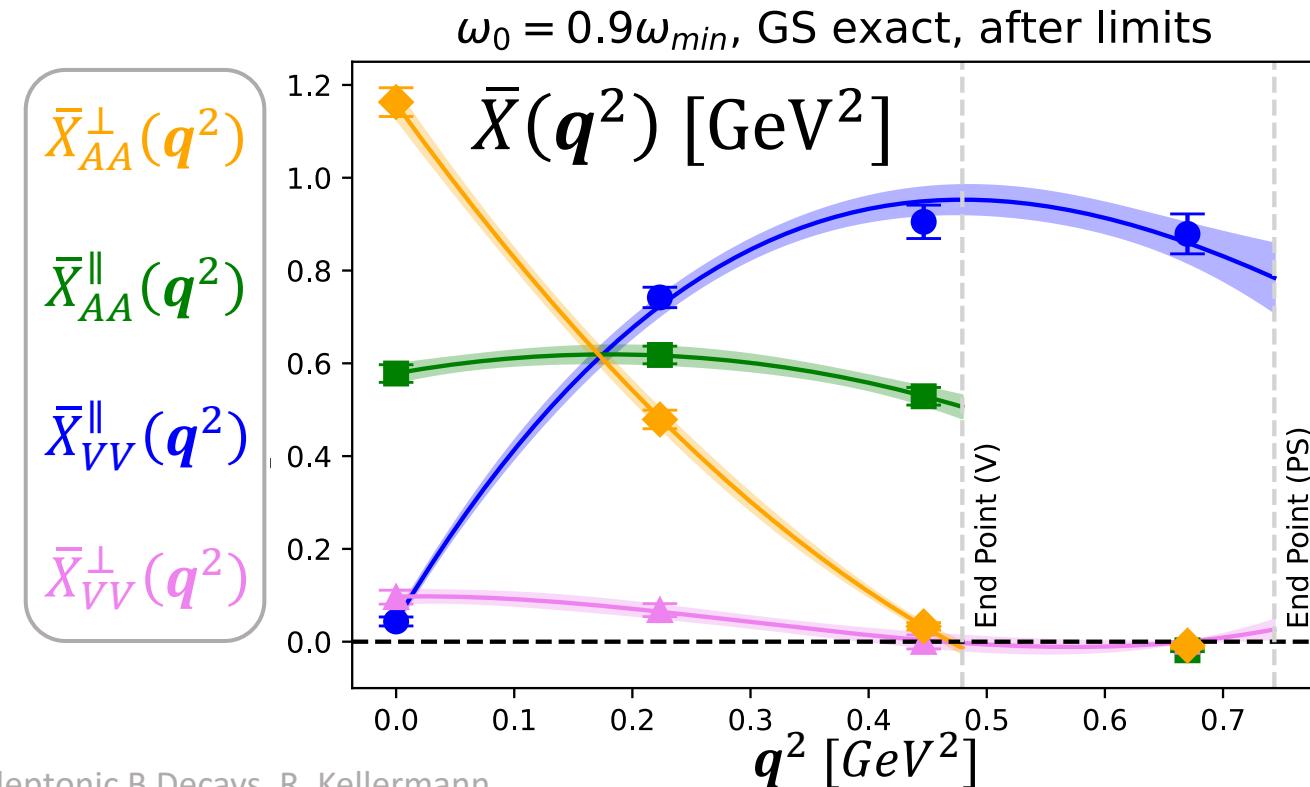
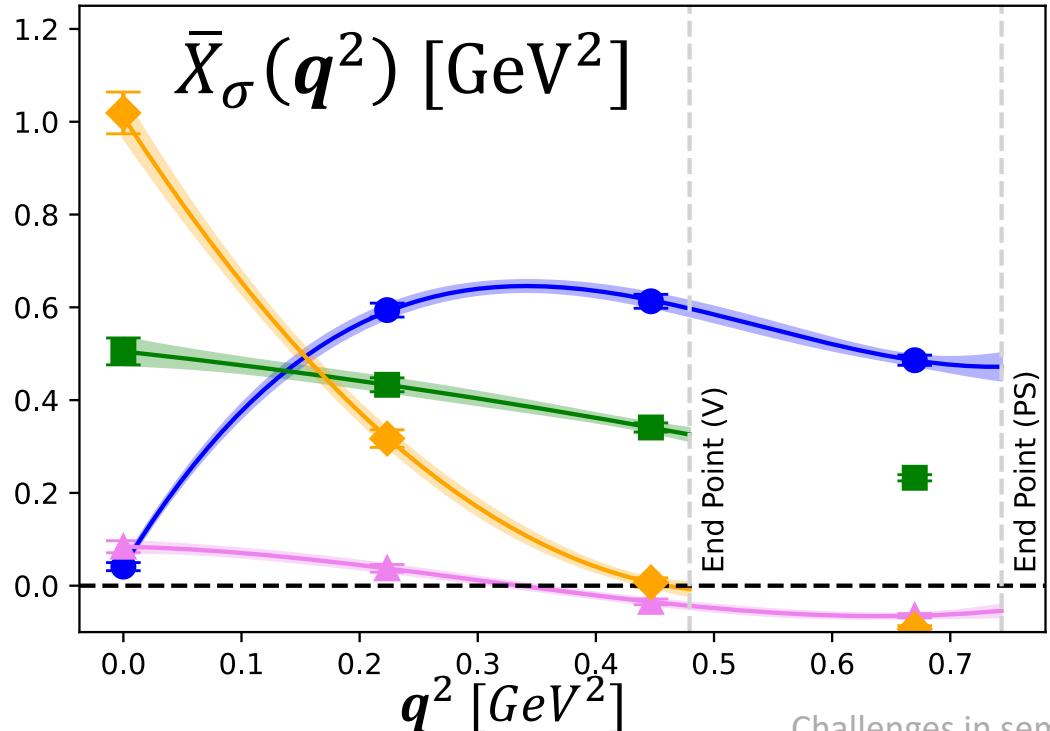
- Heaviside function
  - Slight volume dependence
- + apply smearing
  - Volume dependence washes out
- + include lattice data
  - Nicely follows model prediction

# Estimating the systematic corrections

Channels:

1. AA: infinite-volume limit
2. VV: finite-volume corrections expected small; only  $\sigma \rightarrow 0$  limit  
+ subtr. Ground state from correlator and assume as exact

$N = 10, \sigma = 0.1, \omega_0 = 0.9\omega_{min}$ , Full data, no limit



# Future Prospects

# Extension: Moments

[in collaboration with Matteo Fael]

Consider other observables;  $q^2$  kinematical moments

$$Q_n(q_{\text{cut}}^2) = \int_{q_{\text{cut}}^2}^{q_{\text{max}}^2} (q^2)^n \left[ \frac{d\Gamma}{dq^2 dq_0 dE_\ell} \right] dq^2 dq_0 dE_\ell$$

Or: centralized moments  $q_n(q_{\text{cut}}^2)$  of differential distributions

- Higher sensitivity to power corrections
- Independent of CKM elements

$$q_1(q_{\text{cut}}^2) = \langle q^2 \rangle_{q^2 \geq q_{\text{cut}}^2}, \quad n = 1$$

$$q_n(q_{\text{cut}}^2) = \langle (q^2 - \langle q^2 \rangle)^n \rangle_{q^2 \geq q_{\text{cut}}^2}, \quad n \geq 2$$

$$\langle (q^2)^n \rangle_{q^2 \geq q_{\text{cut}}^2} = \frac{Q_n}{Q_0}$$

# Moments – Lattice and Continuum

Adjust analysis of the decay rate

$$Q_n(q_{\text{cut}}^2) = \int_{q_{\text{cut}}^2}^{q_{\text{max}}^2} d\mathbf{q}^2 \sqrt{\mathbf{q}^2} \bar{X}_{Q_n}(\mathbf{q}^2) \quad \bar{X}_{Q_n}(\mathbf{q}^2) = \int_{\omega_{\min}}^{\omega_{\max}} d\omega k_{Q_n,\mu\nu} \times W^{\mu\nu}$$

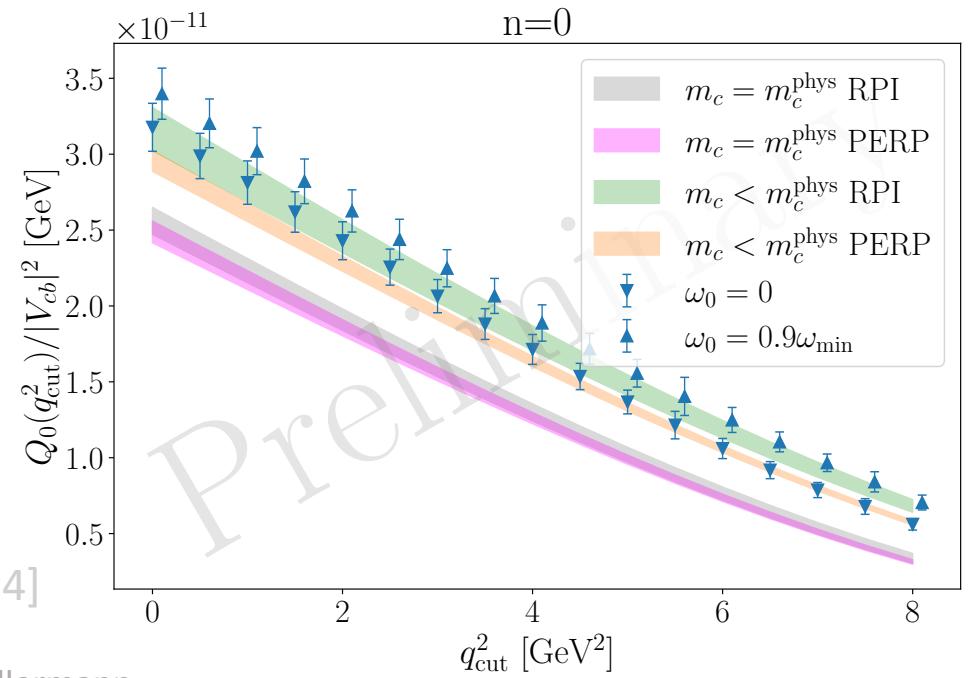
Rescale charm mass in continuum prediction to match lattice data

HQET relations between heavy mesons  
and quarks

$$M_{D_s} = m_c + \bar{\Lambda} + \frac{\mu_\pi^2 - d_H/2\mu_G^2}{2m_c} + \mathcal{O}\left(\frac{1}{m_c^2}\right)$$

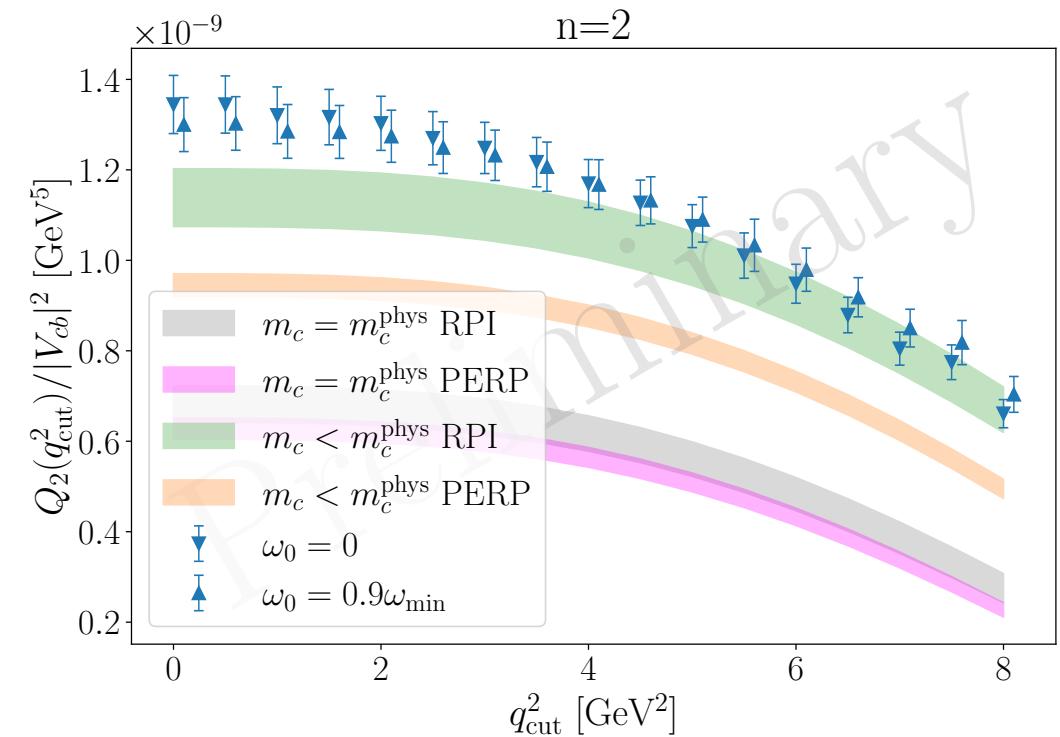
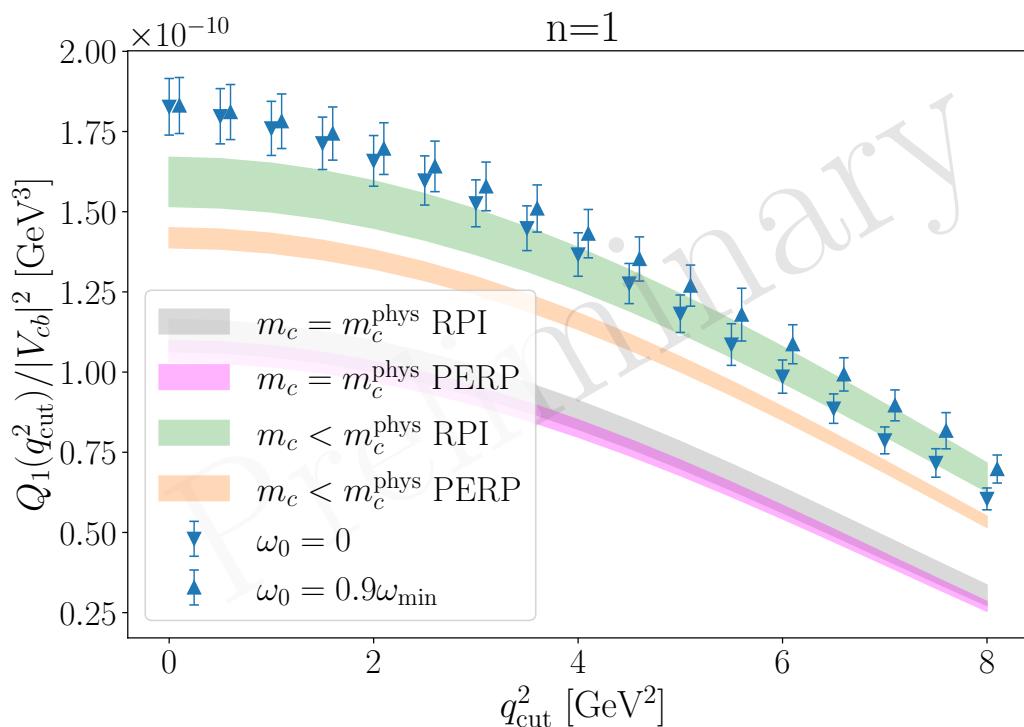
HQET Parameters

- RPI [Bernlocher et al., 2205.10274]
- PERP [Finauri & Gambino, 2310.20324]



# Moments – Lattice and Continuum

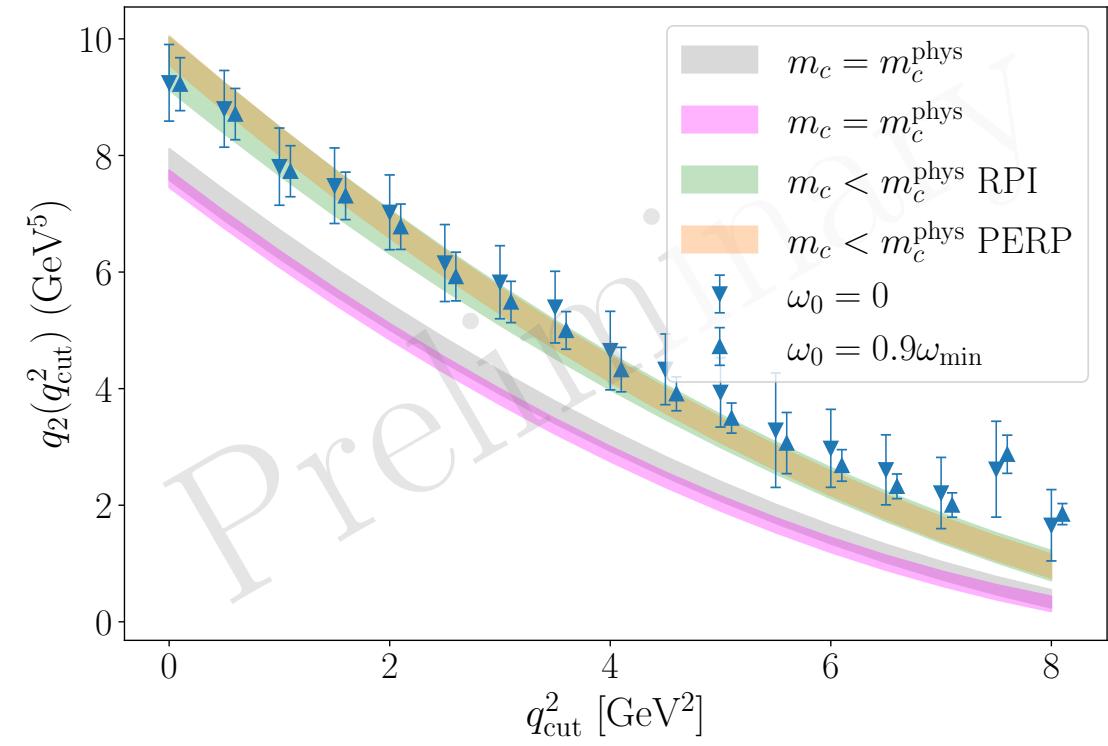
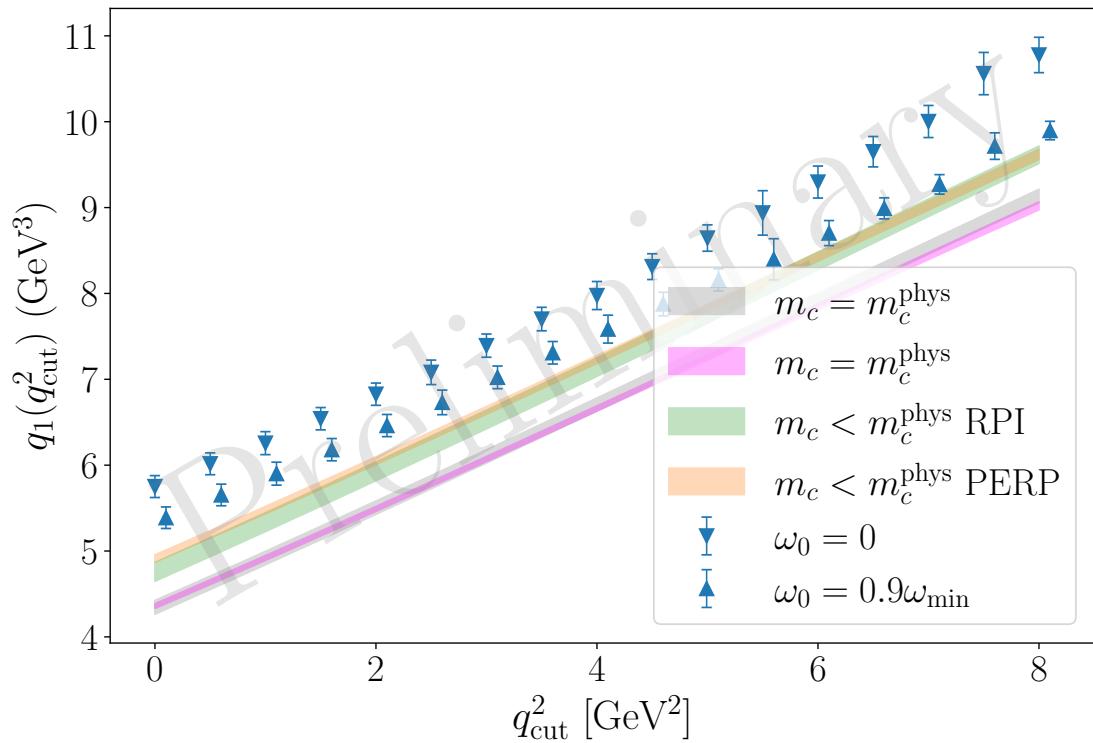
Increasing disagreement for higher  $n$  between RPI/PERP and lattice



**Note:** better agreement is expected on the tails;  
Small  $q_{\text{cut}}^2 \hat{=} \text{large } q^2 \rightarrow \text{larger cut-off effects}$

# Centralized Moments – Lattice and Continuum

## Feasibility study



After extrapolation to the physical world:  
Lattice data can be used to extract HQET parameters for the OPE

# Summary & Outlook

# Summary

- Study into systematic effects in the inclusive analysis of semileptonic decays on the lattice
  - Error from Chebyshev polynomial approximation
    - Obtained a better estimate following the first idea
  - Finite volume corrections
    - Work out further details; supplement with data
- Publication in work (hopefully this year)

# Outlook

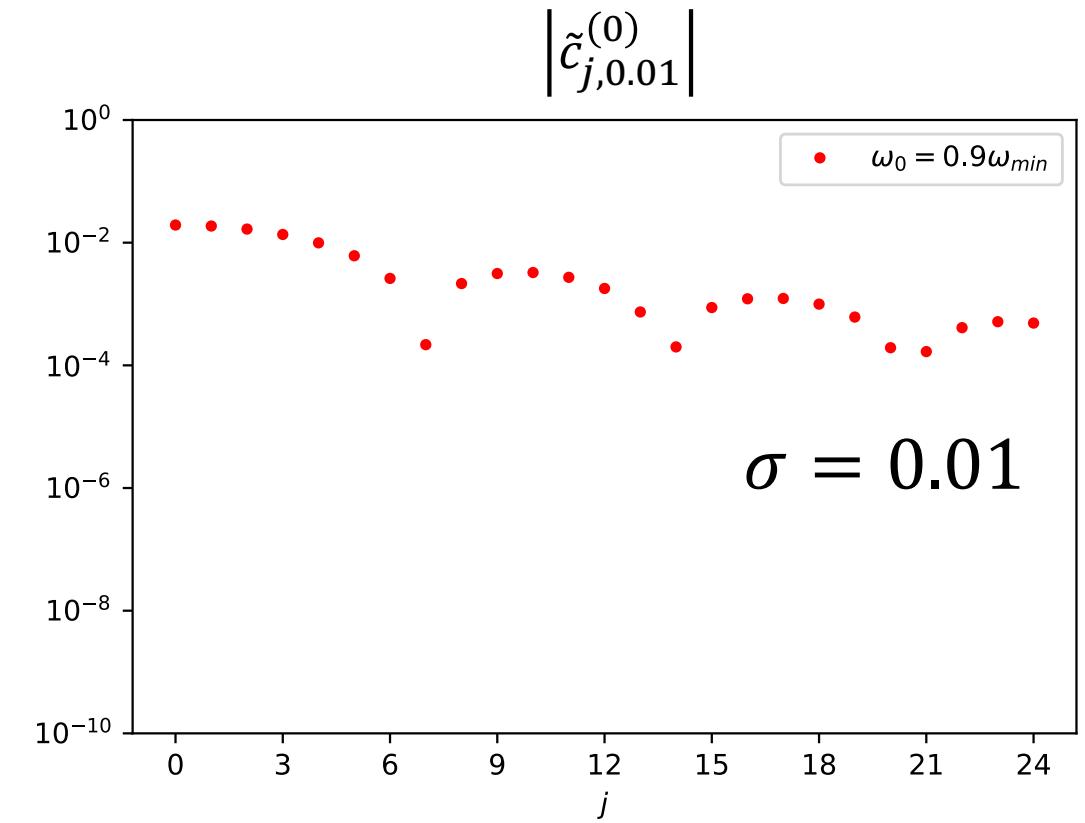
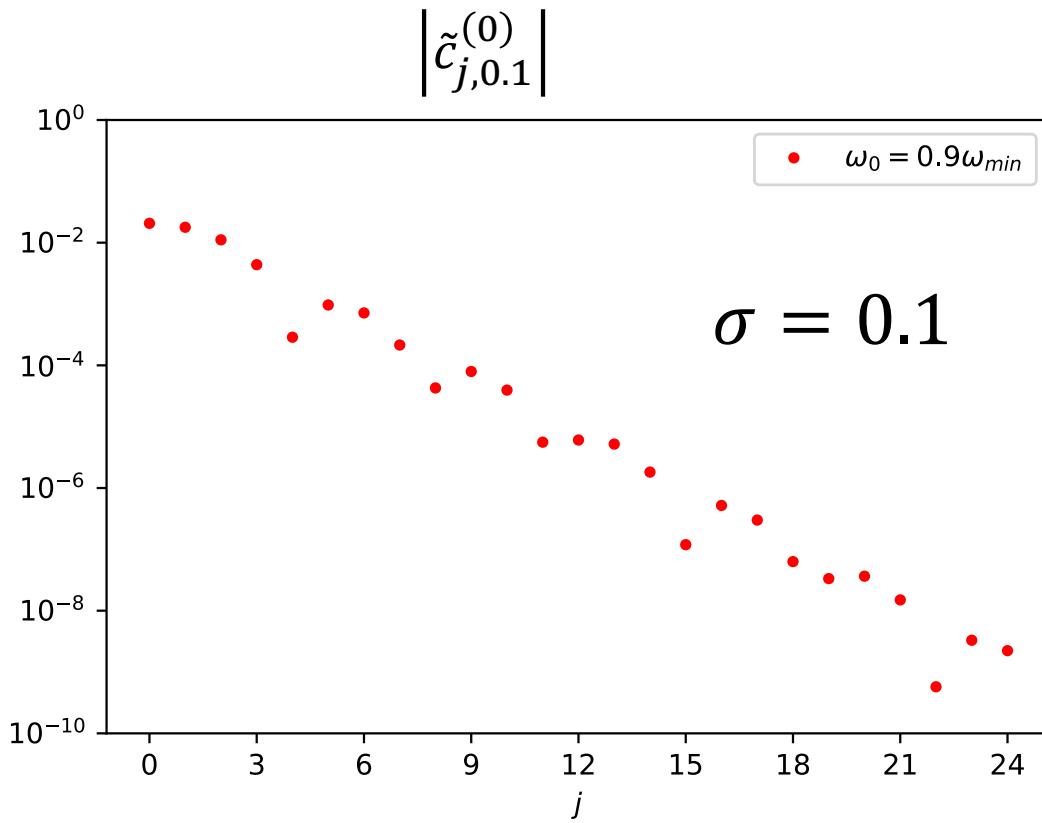
- Discretization effects & continuum limit need to be addressed
- Extend towards a full analysis in the bottom sector
- Extend to different observables, e.g. moments
  - Increase pool for comparison to experiment and continuum theory predictions, e.g. OPE
- P-wave form factors from inclusive lattice simulation

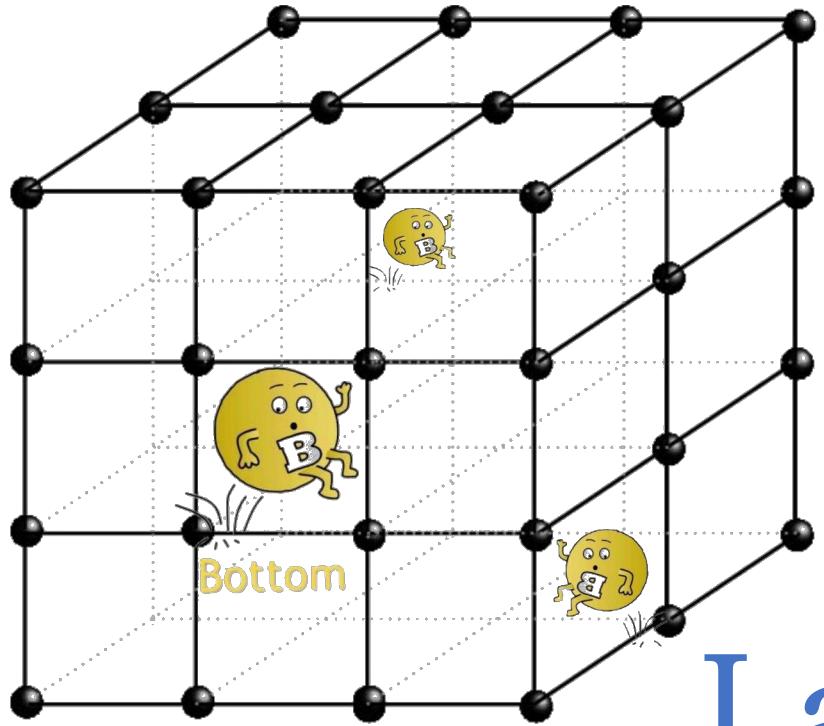
# Back-up

# Systematic errors - Approximation

$$q^2 = 0.66 \text{ GeV}^2 \quad \omega_0 = 0.9\omega_{\min},$$

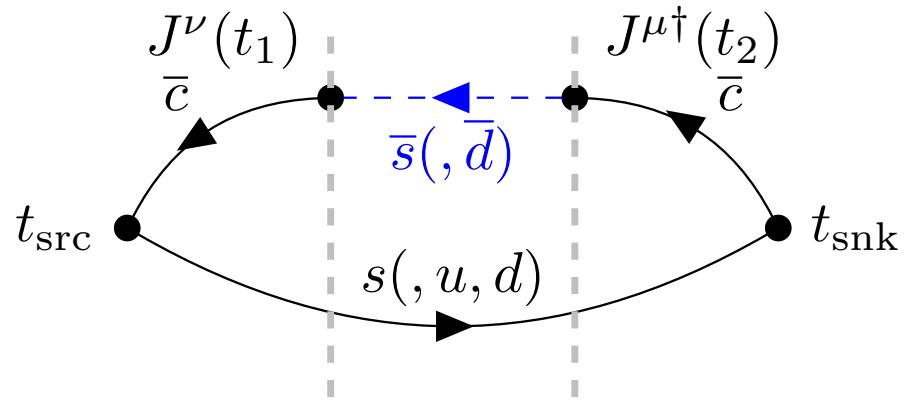
Coefficients for kernel with  $l = 0$





# Lattice Setup

# Simulations conducted on Fugaku using Grid [P. Boyle et al., <https://github.com/paboyle/Grid>] and Hadrons [A. Portelli et al., <https://github.com/aportelli/Hadrons>] software packages



## Lattice setup:

- Lattice size:  $48^3 \times 96$
- Lattice Spacing:  $a = 0.055$  fm
- $M_\pi \simeq 300$  MeV

## Simulation:

- 2+1 Möbius domain-wall fermions
- $s, c$  quarks simulated at near-physical values
- Cover whole kinematical region  $\mathbf{q} = (0,0,0) \rightarrow (1,1,1)$