

# Winter Workshop Nuclear Dynamics – 2024

## Dynamical fluctuations in Heavy Ion Collisions



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### Outline

- Why measure fluctuations?
- Selected Results
  - Net Charge,
  - Net Baryon
  - Cross Species Relative Yield Fluctuations
  - Kaon Isospin fluctuations (Search for Strange DCCs)
- Summary

## Fluctuations vs. Correlations

- **Fluctuations ↔ Correlations**

- Two facets of the same thing.

- **Tools**

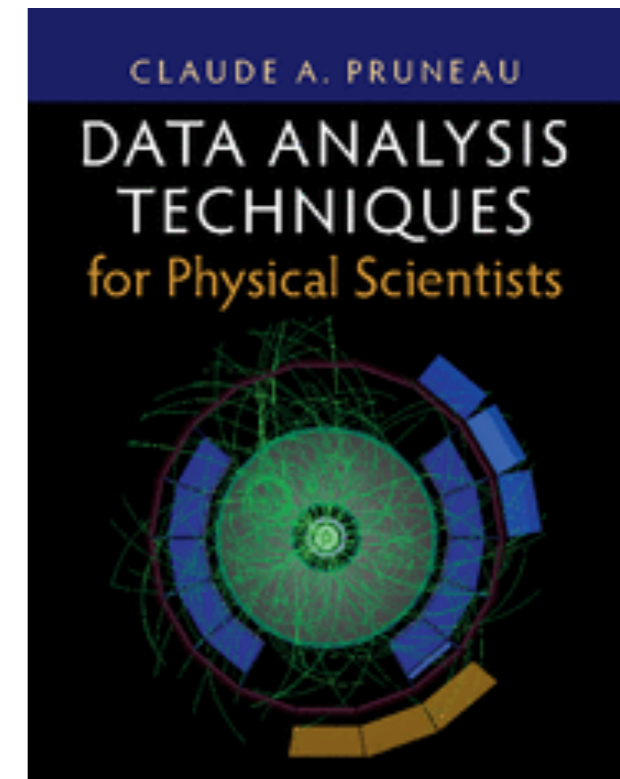
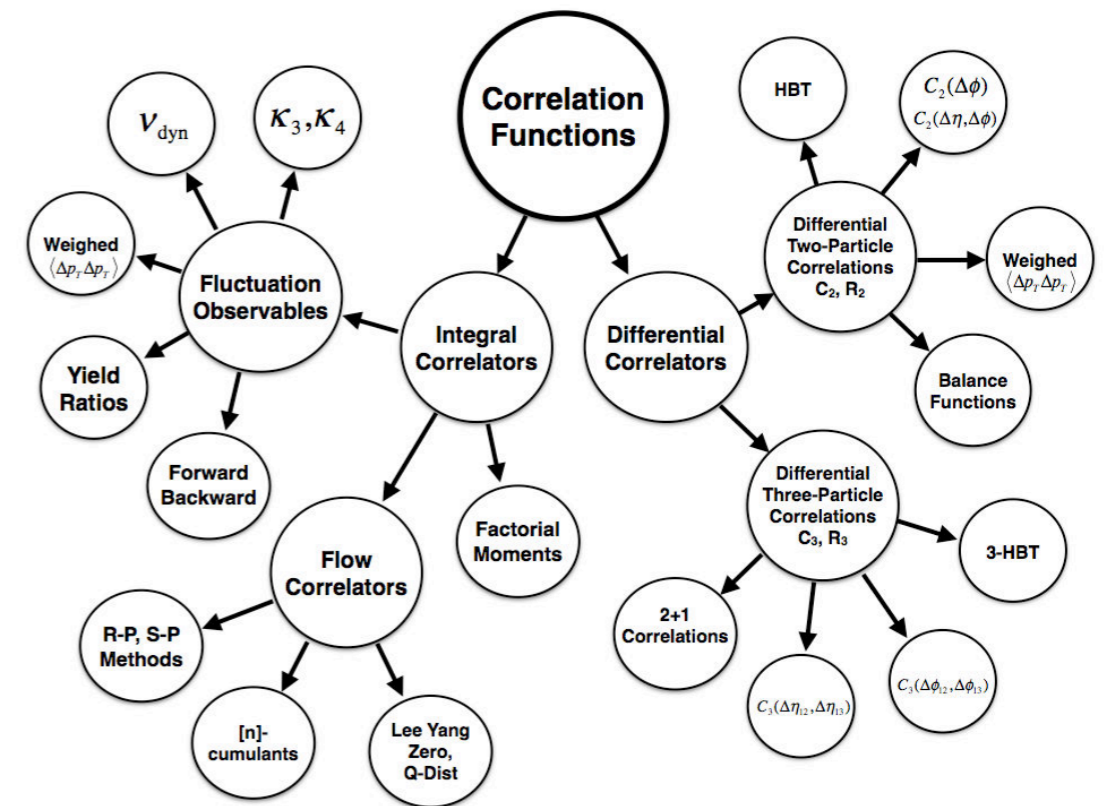
- **Integral Correlations** (Fluctuations)

- **Moments, Factorial Moments** of discrete & continuous observables.

- **Cumulants, Factorial Cumulants** of discrete & continuous observables.

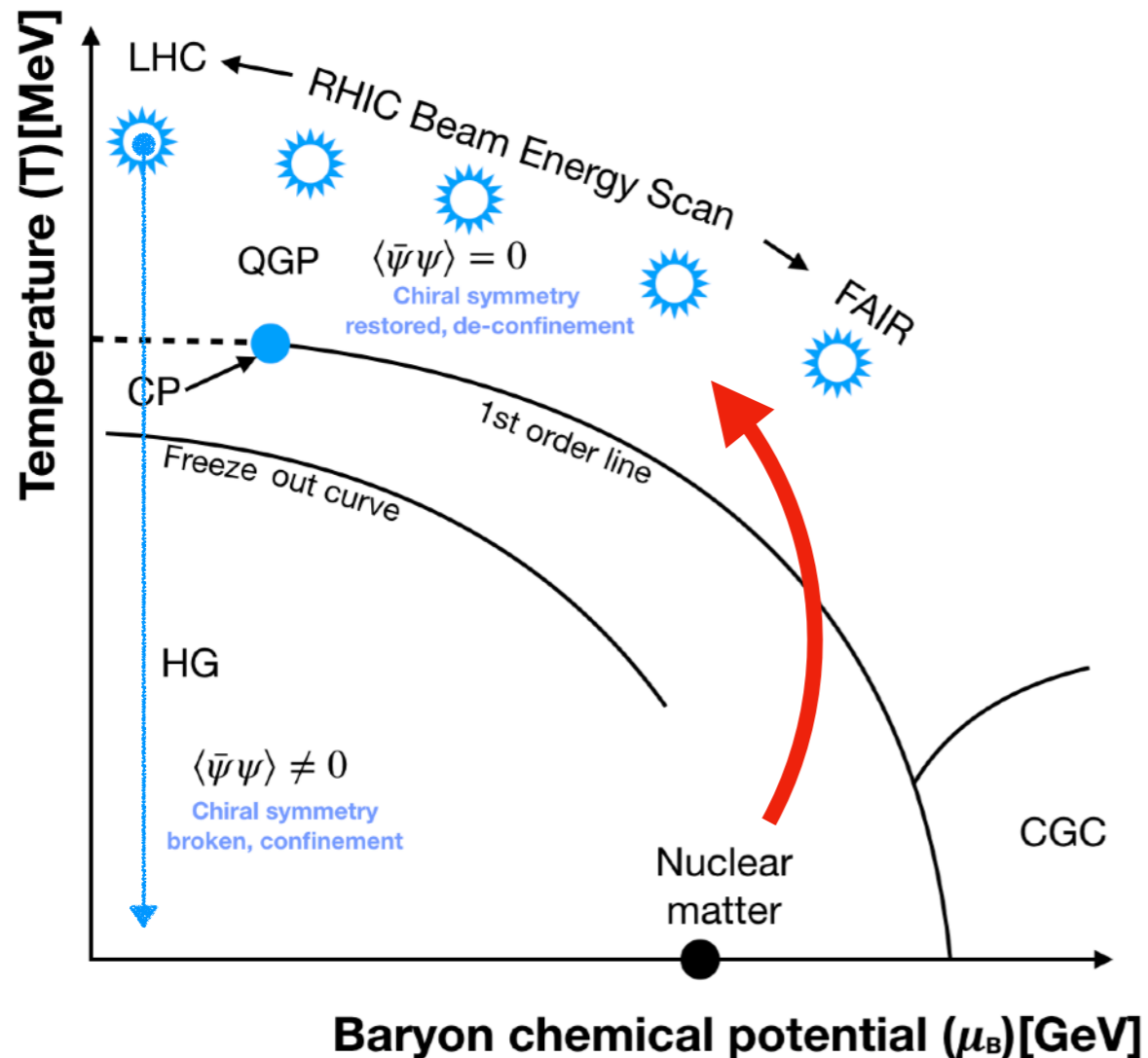
- **Differential correlation functions.**

- Number correlations  $R_2^{CI/CD}$
- Pt Correlations  $P_2^{CI/CD}$ ,  $G_2^{CI/CD}$
- Balance Functions  $B_2$



Cambridge Press, 2017

## Dynamical fluctuations in Heavy Ion Collisions



### • Two QCD Transitions:

- **Confinement/Deconfinement**
- **Change in Charge Fluctuations**
- **Change in susceptibilities → net charge, strange, baryon fluctuations**
- **Temperature fluctuations**
- **Chiral Symmetry**
  - Broken in hadron phase/partially restored in QGP state.
  - Consequence: **Disoriented Chiral Condensates (DCC)**
  - Search for DCCs.
- Collisions Dynamics
  - Initial state fluctuations
  - pT fluctuations
  - pT vs  $v_n$  correlations
  - And more ...

## Theoretical Predictions

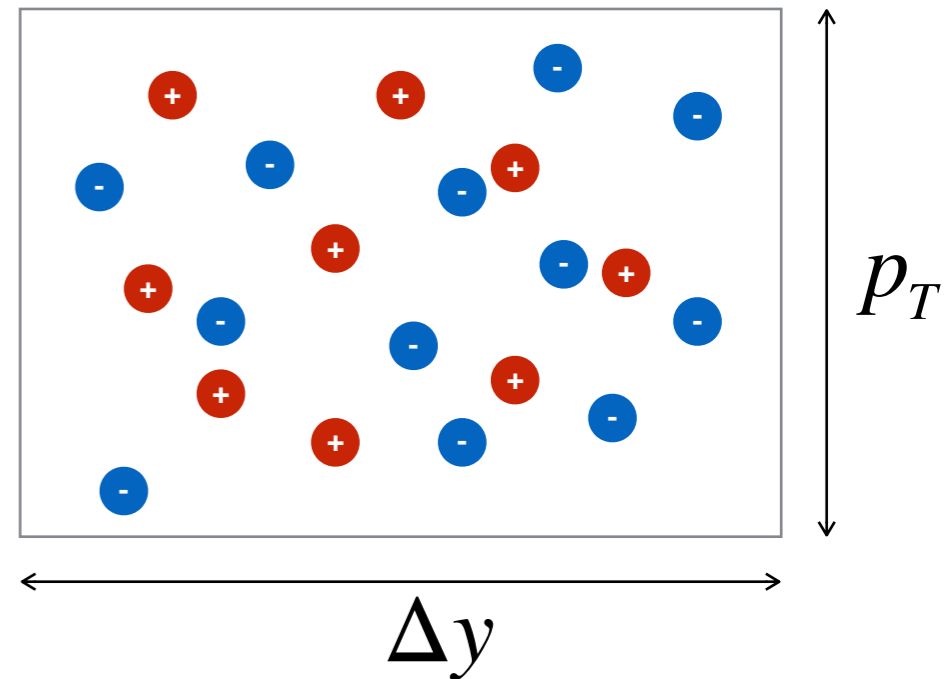
- Expect number of charged particles ( $N_+$  vs.  $N_-$ ) to fluctuate **event-by-event**
  - **Fluctuations of  $Q = N_+ - N_-$  in A-A collisions (in volume/acceptance).**
  - **Hadrons:  $\pm 1$  Quarks:  $\pm 1/3, \pm 2/3$ .**
  - At equal number of particles, a **quark phase** should feature **smaller net charge fluctuations** than a **hadron phase**.

**QGP Signature: suppression of net charge fluctuations (variance).**

- Koch et al. [1,3,4]:

$$R = \frac{N_+}{N_-}$$

$$D \equiv \langle N_{CH} \rangle \langle \Delta R^2 \rangle$$



$$\omega_Q \equiv \frac{\langle \Delta Q^2 \rangle}{\langle N_{CH} \rangle} \quad \begin{aligned} Q &= N_+ - N_- \\ N_{CH} &= N_+ + N_- \end{aligned}$$

$$D \equiv 4 \frac{\langle \Delta Q^2 \rangle}{\langle N_{CH} \rangle} = 4\omega_Q$$

[1] S. Jeon, et al, Phys. Rev. Lett. 85, 2076 (2000).

[2] M. Asakawa, et al., Phys. Rev. Lett. 85, 2072 (2000).

[3] M. Bleicher, et al, Phys. Rev. C 62, 061902 (2002).

[4] S. Jeon, et al., Phys. Rev. Lett. 83, 5435 (1999).

[5] E. V. Shuryak, M. A. Stephanov, Phys. Rev. C 63, 064903 (2001).

[6] M. A. Aziz, S. Gavin, Phys. Rev. C 70, 034905 (2004).

## Suppression of fluctuations

$$Q = N_+ - N_- \quad N_{CH} = N_+ + N_- \quad R = \frac{N_+}{N_-}$$

$$D \equiv \langle N_{CH} \rangle \langle \Delta R^2 \rangle$$

Process	$\omega_Q \equiv \frac{\langle \Delta Q^2 \rangle}{\langle N_{CH} \rangle}$	$D \equiv \langle N_{CH} \rangle \langle \Delta R^2 \rangle$
Thermalized QGP w/ Fast Hadronization	$< 0.25$	$\sim 1$
Hadron Gas (Resonances)	$\sim 0.7$	$\sim 2.8$
Poisson Emission i.e. no correlations	1	4

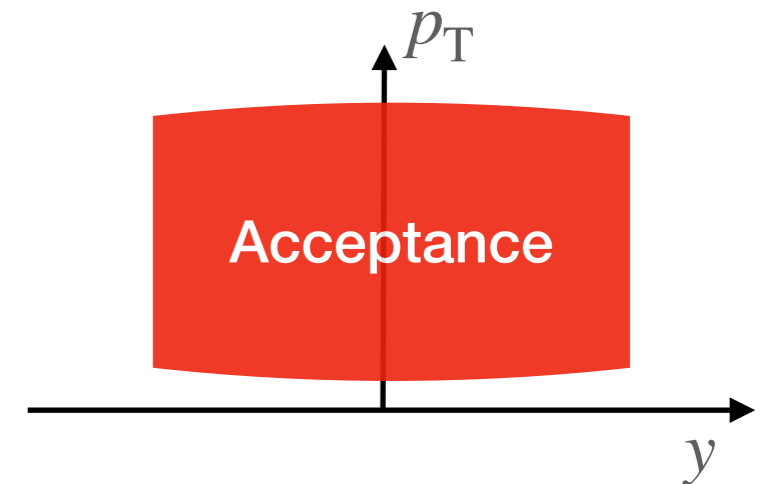
Nominally provides a clean cut distinction between QGP and HG!!!

- [1] S. Jeon, et al, Phys. Rev. Lett. 85, 2076 (2000).
- [2] M. Bleicher, et al, Phys. Rev. C 62, 061902 (2002).
- [3] S. Jeon, et al., Phys. Rev. Lett. 83, 5435 (1999).

## Nu-Dyn Observable

### Definition (as fluctuations)

$$\nu_{\text{dyn}} = \frac{\langle N_+(N_+ - 1) \rangle}{\langle N_+ \rangle^2} + \frac{\langle N_-(N_- - 1) \rangle}{\langle N_- \rangle^2} - 2 \frac{\langle N_+ N_- \rangle}{\langle N_+ \rangle \langle N_- \rangle}$$



$\nu_{\text{dyn}} = 0$  in the absence of correlations

### Definition as Correlator (Normalized Cumulants)

$$\nu_{\text{dyn}} = R_2^{++} + R_2^{--} - 2R_2^{+-}$$

$$R_2^{\alpha\beta} = \frac{\langle N_\alpha(N_\beta - \delta_{\alpha\beta}) \rangle}{\langle N_\alpha \rangle \langle N_\beta \rangle} - 1$$

$$\langle N_\alpha \rangle = \int_\Omega \rho_1^\alpha(\vec{p}) d\vec{p}$$

$$\langle N_\alpha(N_\beta - \delta_{\alpha\beta}) \rangle = \int_\Omega \rho_2^{\alpha\beta}(\vec{p}_1, \vec{p}_2) d\vec{p}_1 d\vec{p}_2$$

### Scaling vs. Multiplicity

$$\nu_{\text{dyn}}^{AA} = \frac{1}{N} \nu_{\text{dyn}}^{pp}$$

$$\langle N_{\text{ch}} \rangle \nu_{\text{dyn}} = D - 4$$

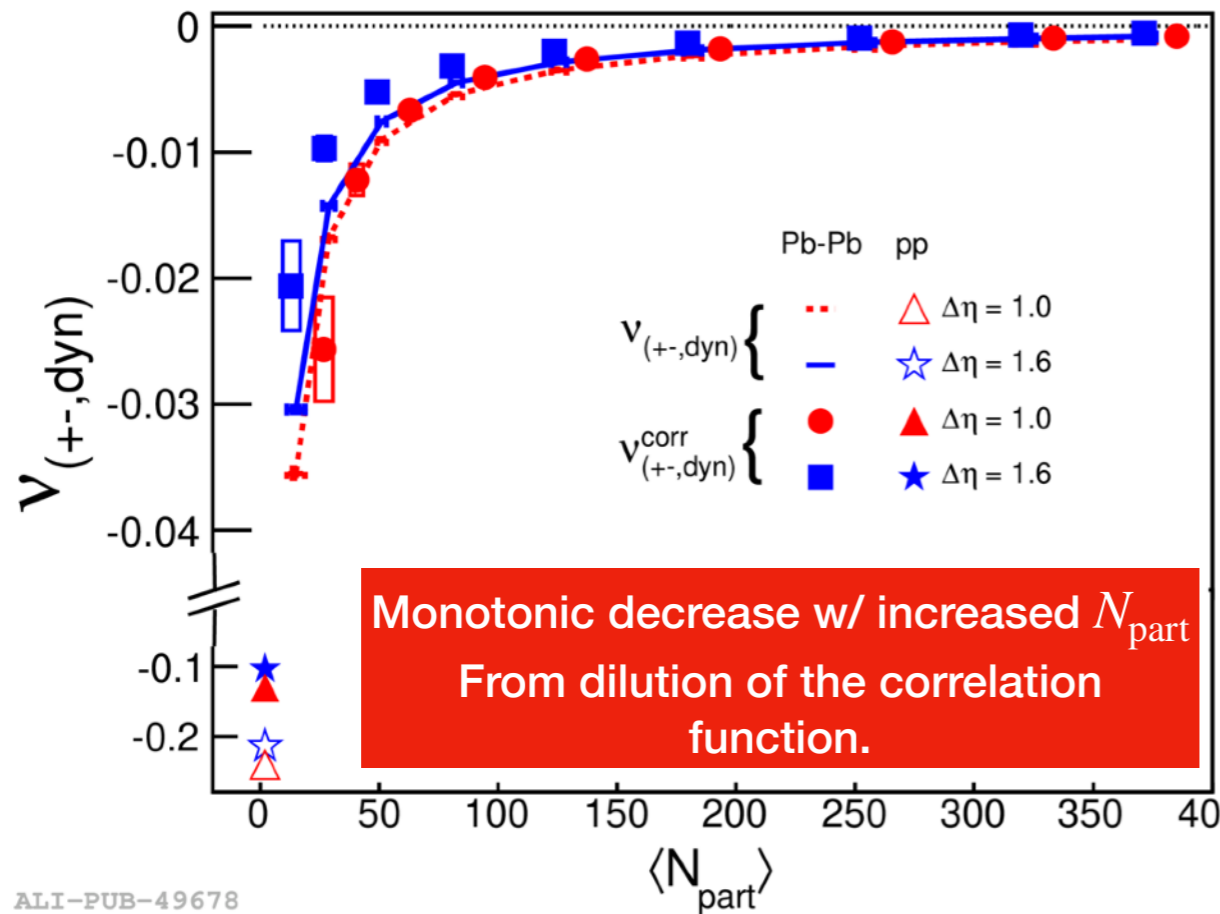
Process	$\omega_Q \equiv \frac{\langle \Delta Q^2 \rangle}{\langle N_{CH} \rangle}$	$D \equiv \langle N_{CH} \rangle \langle \Delta R^2 \rangle$	$\langle N_{\text{ch}} \rangle \nu_{\text{dyn}}$
QGP w/ Fast Hadronization	$< 0.25$	$\sim 1$	$-3$
Hadron Gas (Resonances)	$\sim 0.7$	$\sim 2.8$	$-1.2$
Poisson Emission i.e. no correlations	1	4	0



## ALICE Results: Pb–Pb @ $\sqrt{s_{NN}} = 2.76$ TeV

$13 \times 10^6$  minimum-bias collisions

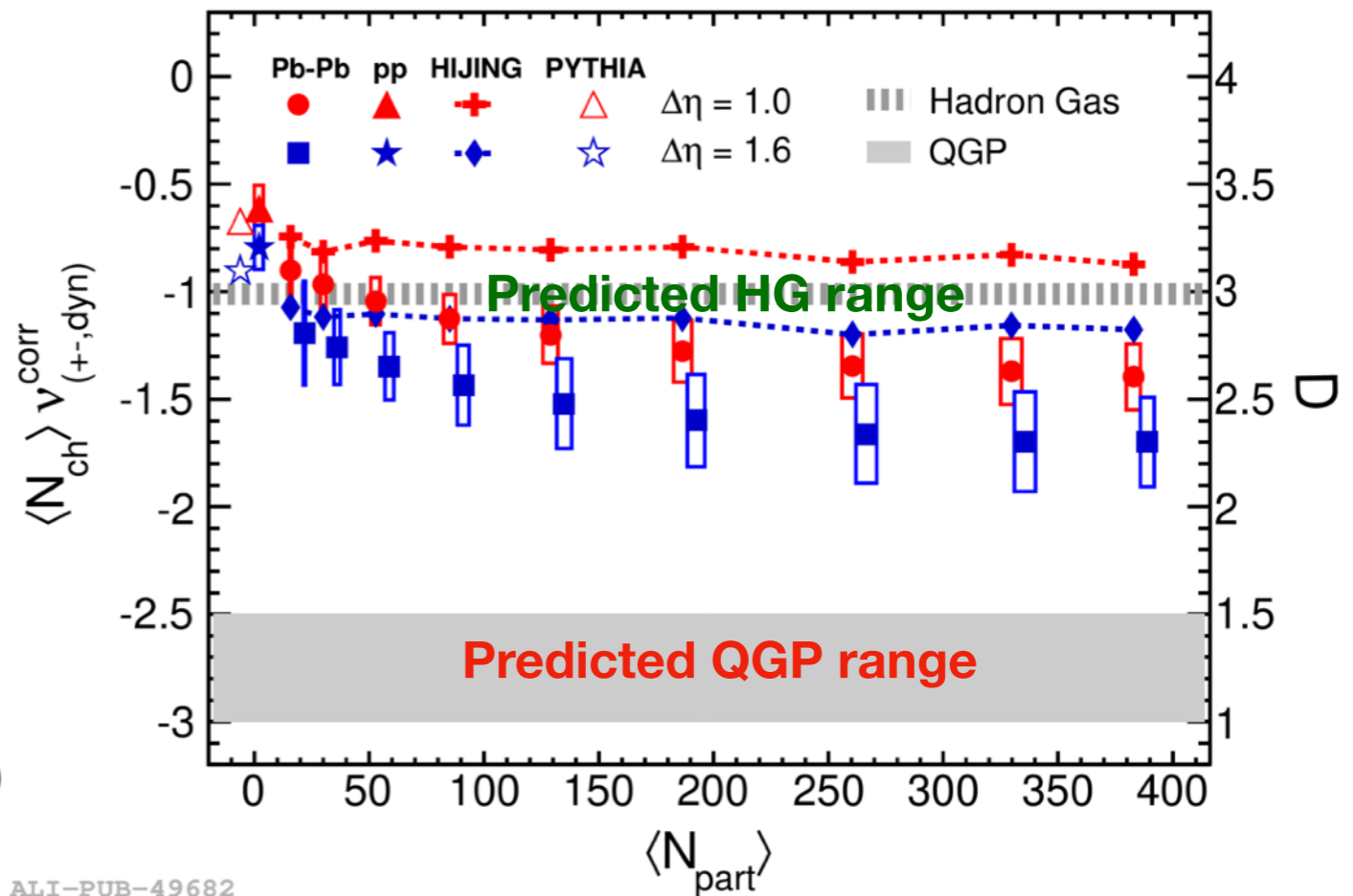
$|\eta| < 0.8; 0.2 \text{ GeV}/c < p_T < 5.0 \text{ GeV}/c$



ALI-PUB-49678

$$\langle N_{CH} \rangle \nu_{dyn} = D - 4$$

$$\nu_{(+-,dyn)}^{corr} = \nu_{(+-,dyn)} + \frac{4}{\langle N_{total} \rangle}$$



ALI-PUB-49682

**Trivial Scaling Dilution**

$$\nu_{dyn}^{(m)} = \frac{\nu_{dyn}}{\langle N_{ch} \rangle}$$

Decrease of  $D$  vs.  $N_{part}$  possibly due to radial flow vs.  $N_{part}$   
 Alternatively: diffusion of charged hadrons in rapidity.

**No smoking gun for deconfinement!**



## Motivations/Method

- QCD: At **sufficiently high-energy density** nuclear matter transforms into a deconfined state — Quark-Gluon Plasma (QGP) [1, 2].
- **Signatures:**
  - **Enhancement of fluctuations of the number of produced particles in the hadronic final state of relativistic heavy-ion collisions [3–5].**
  - Event-by-event **fluctuations and correlations may show critical behavior** near the *phase boundary*, including the crossover region where there is no thermal singularity
- A **correlation analysis of event-by-event abundances of pions, kaons and protons** produced in Pb–Pb collisions at LHC energies **may provide a connection to fluctuations of globally conserved quantities such as electric charge, strangeness and baryon number, and therefore shed light on the phase structure of strongly interacting matter** [6].

- **Method:**

- **Measure**  $\nu_{\text{dyn}} = R_2^{\alpha\alpha} + R_2^{\beta\beta} - 2R_2^{\alpha\beta}$

- $\alpha, \beta$ : Particle species of interest: e.g.,  $\pi^\pm, K^\pm, p\bar{p}$
- Vs. collision centrality.

$$R_2^{\alpha\beta} = \frac{\langle N_\alpha(N_\beta - \delta_{\alpha\beta}) \rangle}{\langle N_\alpha \rangle \langle N_\beta \rangle} - 1$$

$N_\alpha, N_\beta$ : multiplicities of species  $\alpha$  and  $\beta$  in a specific measurement acceptance.

[1] J. C. Collins et al., Phys. Rev. Lett. 34 (1975) 1353.

[2] E. V. Shuryak, Phys. Rept. 61 (1980) 71–158.

[3] M. A. Stephanov, et al., Phys. Rev. Lett. 81 (1998) 4816–4819.

[4] E. V. Shuryak and M. A. Stephanov, Phys. Rev. C63 (2001) 064903.

[5] A. Bazavov et al., Phys. Rev. D86 (2012) 034509.

[6] V. Koch, Relativistic Heavy Ion Physics, R. Stock, ed., pp. 626 2010.







# Results: Centrality Dependence

Pb–Pb collision at  $\sqrt{s_{NN}} = 2.76$  TeV

$$v_{\text{dyn}}^{\alpha\beta} = R_2^{\alpha\alpha} + R_2^{\beta\beta} - 2R_2^{\alpha\beta}$$

Based on $N_{\text{ch}}$ in V0 detectors	Based on $N_{\text{ch}}$ in TPC	$\pi, K$	$\pi, p$	$p, K$
Centrality (%)	$\langle dN_{\text{ch}}/d\eta \rangle$	$v_{\text{dyn}}[\pi, K] (10^{-3})$	$v_{\text{dyn}}[\pi, p] (10^{-3})$	$v_{\text{dyn}}[p, K] (10^{-3})$
0–5	$1601 \pm 60$	$1.35 \pm 0.08 \pm 0.25$	$0.59 \pm 0.08 \pm 0.13$	$0.59 \pm 0.08 \pm 0.13$
5–10	$1294 \pm 49$	$1.22 \pm 0.08 \pm 0.22$	$0.19 \pm 0.08 \pm 0.06$	$0.46 \pm 0.10 \pm 0.11$
10–20	$966 \pm 37$	$1.35 \pm 0.08 \pm 0.21$	$0.38 \pm 0.08 \pm 0.12$	$0.98 \pm 0.10 \pm 0.17$
20–30	$649 \pm 23$	$1.69 \pm 0.09 \pm 0.21$	$0.29 \pm 0.09 \pm 0.15$	$1.76 \pm 0.13 \pm 0.34$
30–40	$426 \pm 15$	$2.27 \pm 0.11 \pm 0.25$	$0.01 \pm 0.18 \pm 0.18$	$2.39 \pm 0.24 \pm 0.40$
40–50	$261 \pm 9$	$3.52 \pm 0.16 \pm 0.37$	$-0.49 \pm 0.18 \pm 0.22$	$3.64 \pm 0.32 \pm 0.57$
50–60	$149 \pm 6$	$6.43 \pm 0.26 \pm 0.96$	$-1.38 \pm 0.24 \pm 0.29$	$6.54 \pm 0.47 \pm 0.92$
60–70	$76 \pm 4$	$11.91 \pm 0.53 \pm 2.1$	$-4.90 \pm 0.58 \pm 0.56$	$10.34 \pm 1.0 \pm 1.8$
70–80	$35 \pm 2$	$29.99 \pm 1.2 \pm 4.0$	$-16.02 \pm 1.5 \pm 1.1$	$17.93 \pm 2.0 \pm 3.3$

Always > 0

Changes sign!

Always > 0

Always positive means:  $R_2^{\alpha\alpha} + R_2^{\beta\beta} > 2R_2^{\alpha\beta}$

Changes sign:  $R_2^{\alpha\alpha} + R_2^{\beta\beta} > 2R_2^{\alpha\beta}$  in mid to most central collisions

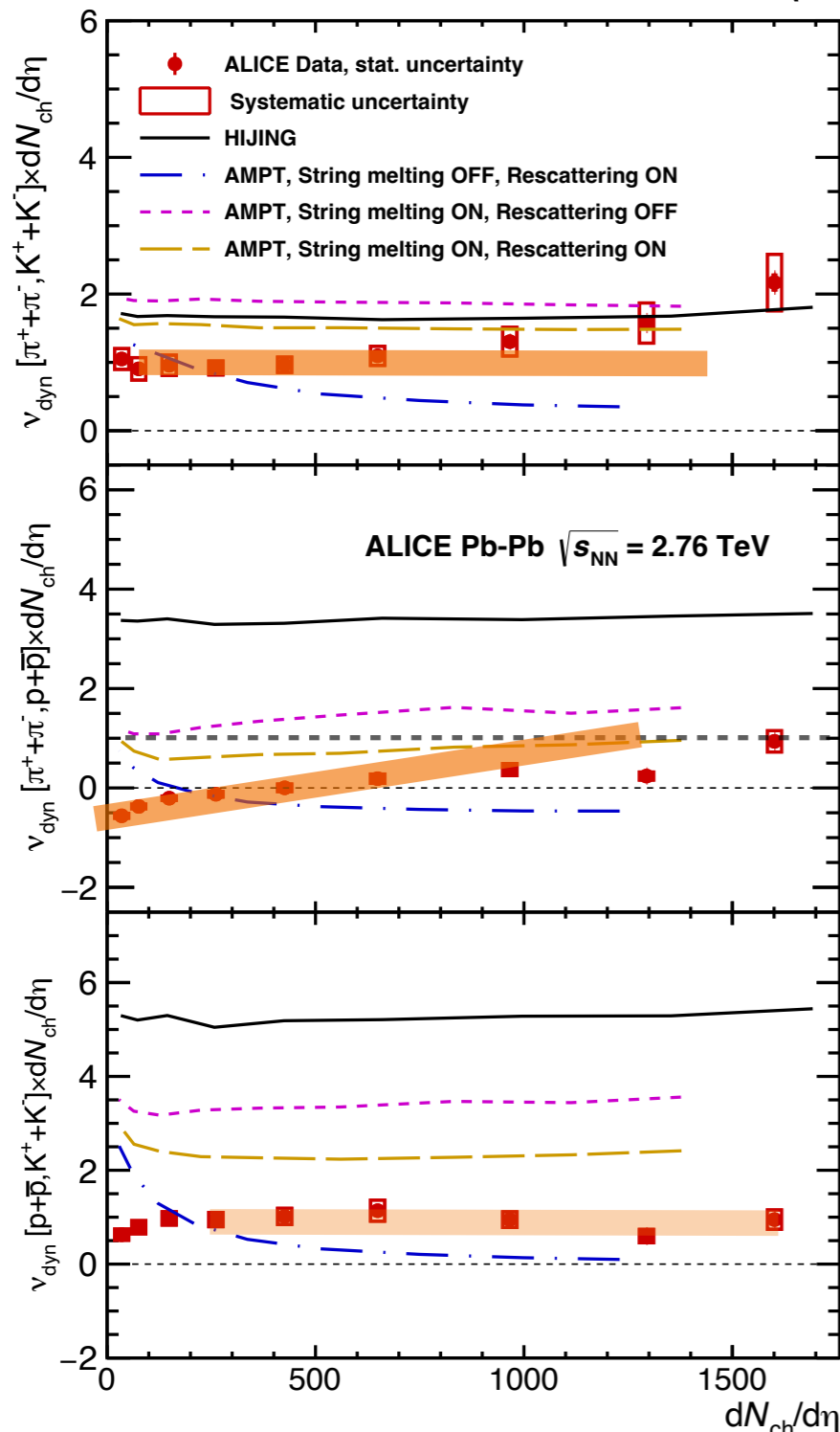
$R_2^{\alpha\alpha} + R_2^{\beta\beta} < 2R_2^{\alpha\beta}$  in mid to peripheral collisions

“Anomalous behavior” in  $\pi, p$ : Strongly violates 1/N scaling.



# Results: Scaled Centrality Dependence

Scaled values:  $v_{\text{dyn}}^{\alpha\beta} \times \frac{dN_{\text{ch}}}{d\eta}$



$\pi^\pm$  vs.  $K^\pm$

Always positive:  $R_2^{AA} + R_2^{BB} > 2R_2^{AB}$   
 Nearly constant from peripheral to mid-central, rises in central collisions

$\pi^\pm$  vs.  $p\bar{p}$

$R_2^{AA} + R_2^{BB} > 2R_2^{AB}$  Changes sign:  
 $R_2^{AA} + R_2^{BB} < 2R_2^{AB}$   
 in peripheral to mid central collisions  
 Significant Anomaly! Is the measurement correct?

$p\bar{p}$  vs.  $K^\pm$

Always positive:  $R_2^{AA} + R_2^{BB} > 2R_2^{AB}$   
 Nearly constant from peripheral to central collisions  
 HIJING and AMPT do NOT match the observed values.  
 More comprehensive models required - to be studied!



## QCD at High Temperature

**GCE Partition Function:**

$$Z(V, T, \mu_B, \mu_Q, \mu_S) = \text{Tr} \left[ e^{-\beta(H - \sum_i \mu_i N_i)} \right]$$

$\beta = 1/T$ , w/  $T$ : System Temperature

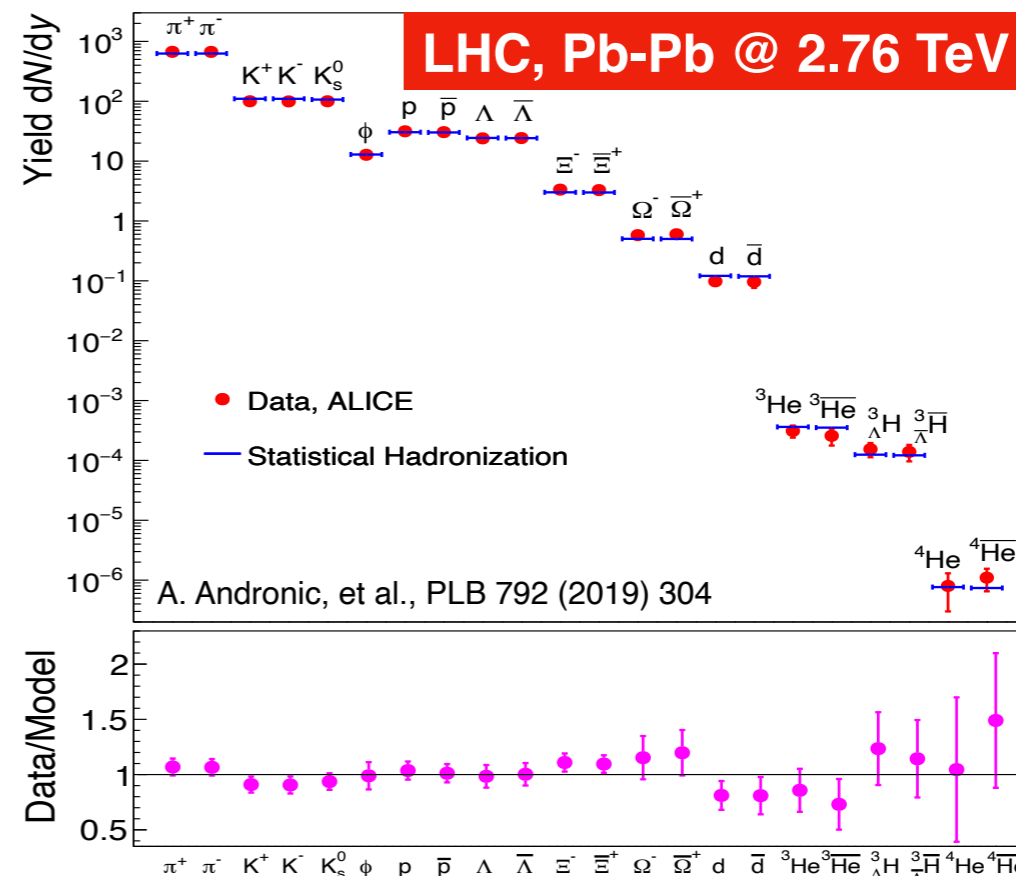
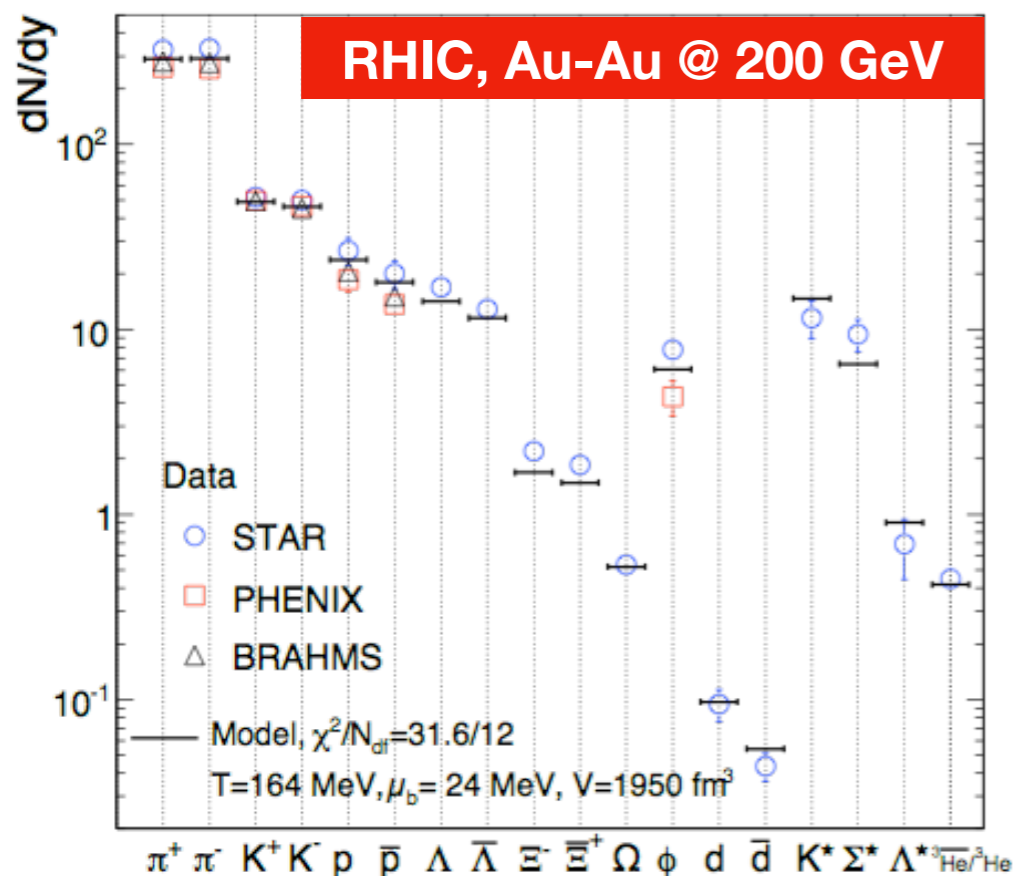
$H$ : Hamiltonian

$\mu_i$ : Chemical potentials

$N_i$ : Conserved number operators

**Particle density**

$$N_i = -T \frac{\partial \ln Z_i}{\partial \mu_i} = \frac{g_i V}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$



HRG Model w/ parameters  $T, \mu_B, V$

W/ "feed-downs": E&M, Strong Decays: e.g.,  $\Delta \rightarrow p(n) + \pi$ ,  $\rho \rightarrow \pi + \pi, \dots$

Fit to ratios: Volume  $V$  cancels out

**Thermal HG models predict observed abundances with spectacular precision**

## QCD at Finite Temperature

Susceptibilities:  $\chi_{ijk}^{BQS} = \frac{\partial^{i+j+k}[P/T^4]}{\partial \hat{\mu}_B^i \partial \hat{\mu}_Q^j \partial \hat{\mu}_S^k}$  w/  $\hat{\mu}_q \equiv \mu_q/T, q = B, Q, S$

Diagonal/Non-diagonal Cumulants:  $C_{ijk}^{BQS} = \frac{\partial^{i+j+k}}{\partial \hat{\mu}_B^i \partial \hat{\mu}_Q^j \partial \hat{\mu}_S^k} \ln [Z(V, T, \mu_B, \mu_Q, \mu_S)] = VT^3 \chi_{ijk}^{BQS}(T, \mu_B, \mu_Q, \mu_S)$

Diagonal Cumulants:

$$M_q = \langle N_q \rangle = VT^3 \chi_1^q$$

$$\sigma_2^q = C_2^q = \langle (\Delta N_q)^2 \rangle = VT^3 \chi_2^q$$

$$C_3^q = \langle (\Delta N_q)^3 \rangle = VT^3 \chi_3^q$$

$$C_4^q = \langle (\Delta N_q)^4 \rangle - 3\langle (\Delta N_q)^2 \rangle^2 = VT^3 \chi_4^q$$

Skewness:

$$S_q = \frac{\langle (\Delta N_q)^3 \rangle}{\langle (\Delta N_q)^2 \rangle^{3/2}} = \frac{C_3^q}{(\sigma_2^q)^{3/2}}$$

Kurtosis:

$$\kappa_q = \frac{\langle (\Delta N_q)^4 \rangle}{\langle (\Delta N_q)^2 \rangle^2} - 3 = \frac{C_4^q}{(\sigma_2^q)^2}$$

To avoid ambiguities associated with the unknown volume  $V$ , consider ratios of cumulants:

$$\frac{\sigma_2^q}{M_q} = \frac{C_2^q}{M_q} = \frac{\chi_2^q}{\chi_1^q}$$

$$S_q \sigma_2^q = \frac{C_3^q}{C_2^q} = \frac{\chi_3^q}{\chi_2^q}$$

$$\kappa_q \sigma_2^q = \frac{C_4^q}{C_2^q} = \frac{\chi_4^q}{\chi_2^q}$$

$$\frac{\kappa_q \sigma_2^q}{S_q} = \frac{C_4^q}{C_3^q} = \frac{\chi_4^q}{\chi_3^q}$$

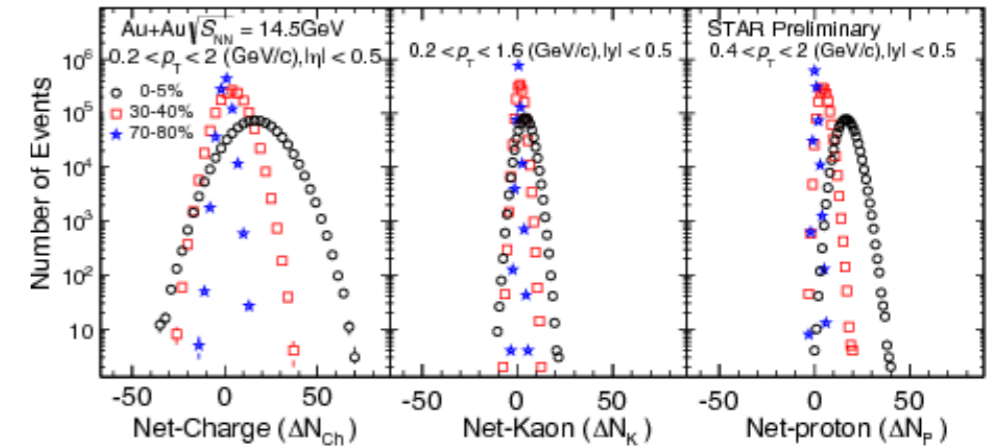
Measurements of  $C_n^q$  nominally yield ratios of susceptibilities.

Should provide ability to probe properties of QCD matter near QGP-HG phase transition

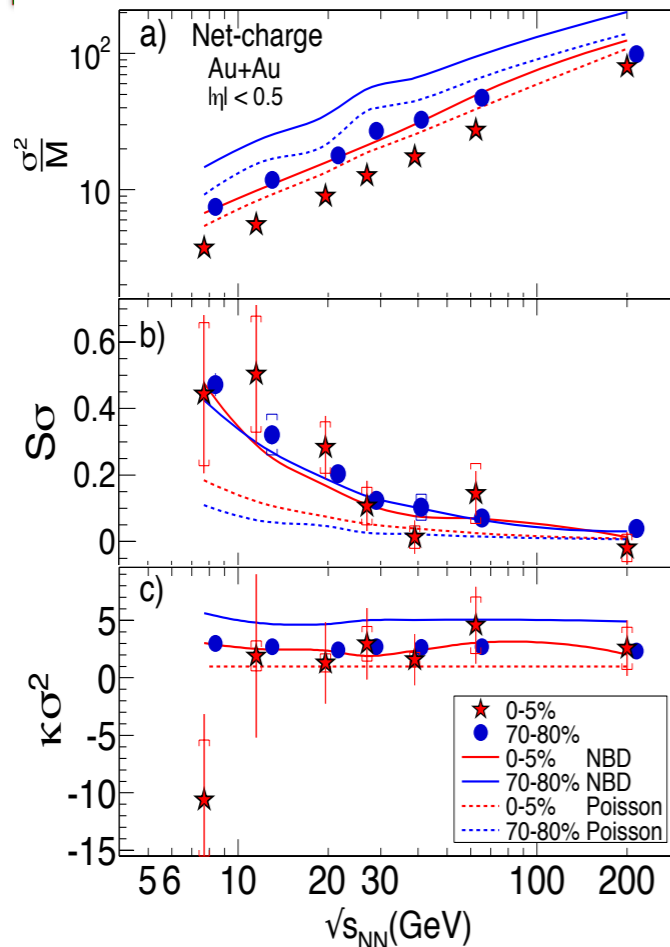


## Goals and RHIC Results

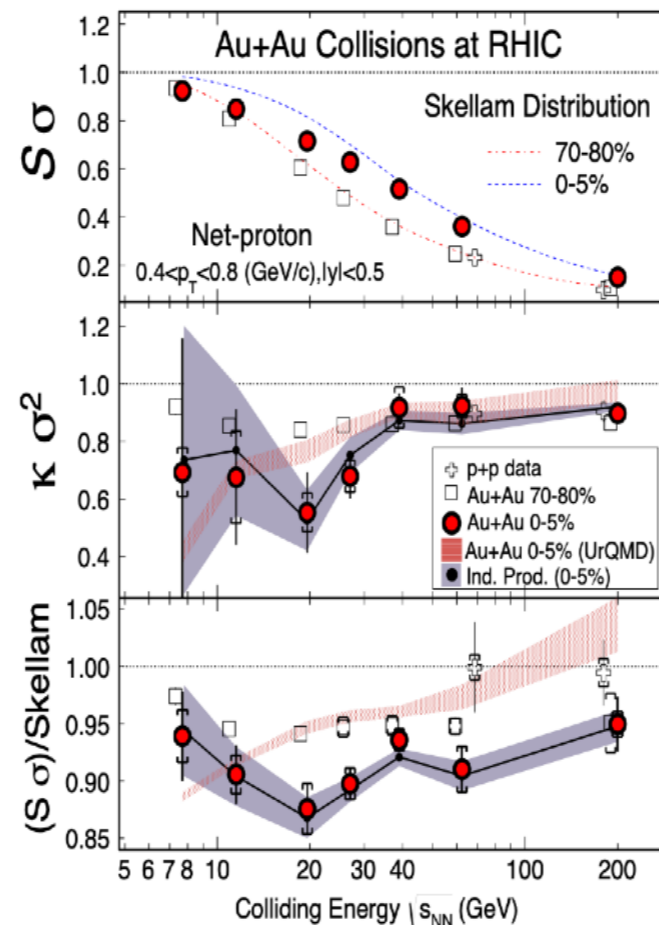
- (Ultimate) Goal:
  - **Experimental test** of Lattice QCD (LQCD) predictions on second and higher order cumulants of net-charge, net-strangeness, net-baryon distributions to search for critical behavior near the QCD phase boundary.
- At RHIC, **search for critical point** – end point of 1st order transitions



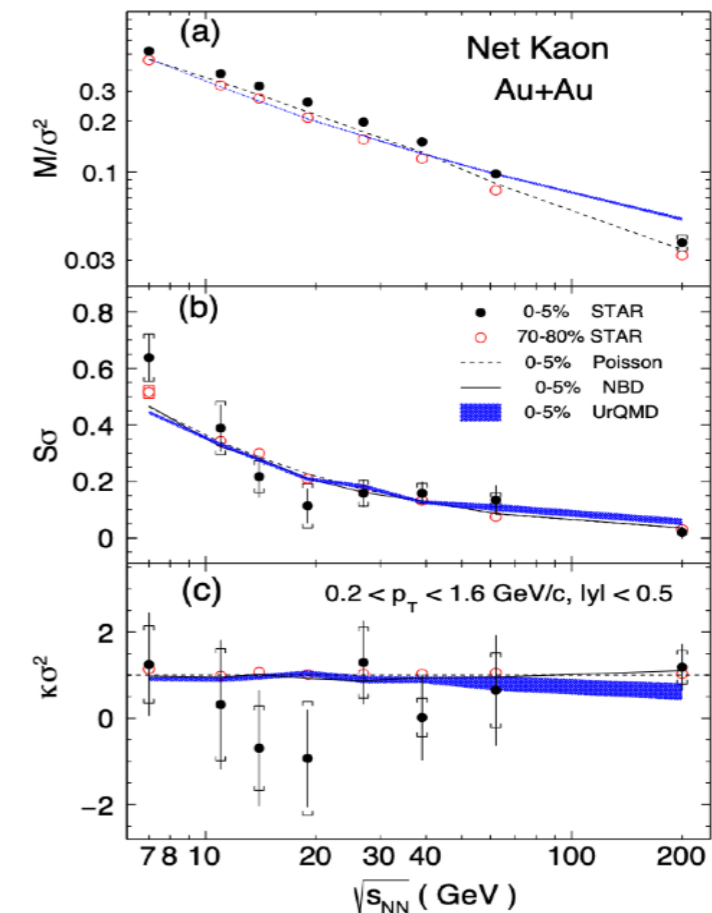
STAR: arXiv:1402.1558



STAR: arXiv:1309.5681

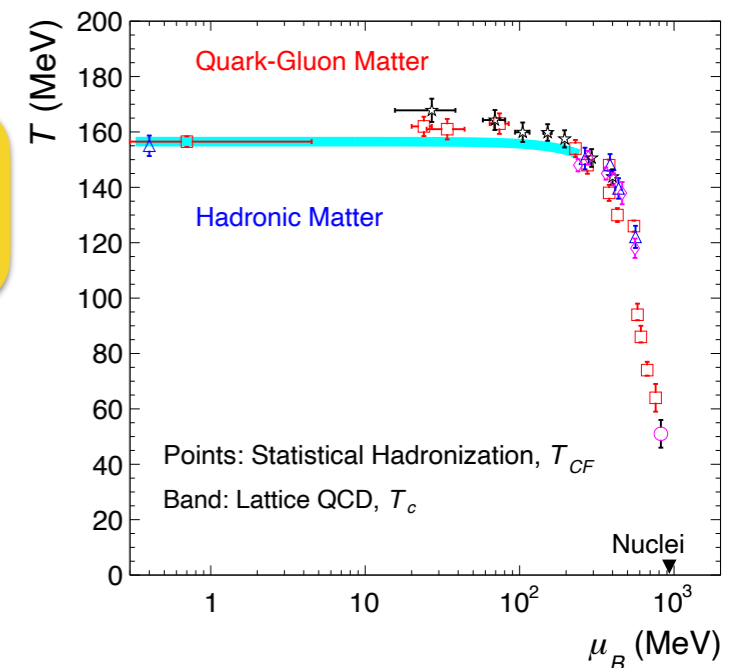


STAR: arXiv:1709.00773



## Motivations

- Vanishing light quark masses (LHC): **2nd order phase transition**:  $HG \leftrightarrow QGP$  [3].
- Realistic quark masses: **smooth cross over** [4, 5].
- Can still probe critical phenomena at LHC (vanishing baryon chemical potential) [6].
- LQCD calculations [4, 5] exhibit strong signal for **pseudo-critical chiral temperature  $T \sim 156$  MeV**
  - w/  $T$  in agreement with the chemical freeze-out temperature extracted from analysis of hadron multiplicities [7, 8] measured by ALICE. Strongly interacting matter created in central collisions of Pb nuclei at LHC energies freezes out very near the chiral phase transition line!?!
    - Hence, the singularities arising from the second order phase transition could be captured by measuring fluctuations of conserved charges such as the net-baryon number.
  - Evaluated within Hadron Resonance Gas (HRG), net-baryon distributions coincide with the Skellam distribution [9, 10].
  - LQCD also predicts a Skellam distribution at  $T \sim 156$  MeV for the second cumulants of net-baryons.
  - Fourth cumulants of net-baryons from LQCD are significantly below the corresponding Skellam baseline [11, 12]. Investigate QCD phase transitions w/ measurements of **fluctuations of conserved charges** (e.g., electric charge, baryon number, etc) [1, 2].



[1] V. Koch, Relativistic Heavy Ion Physics, R. Stock, ed. 2010.

[2] STAR, Phys. Rev. Lett. 112 (2014) 032302.

[3] M. A. Stephanov, Prog. Theor. Phys. Suppl. 153 (2004) 139–156.

[4] A. Bazavov et al., Phys. Rev. D85 (2012) 054503.

[5] S. Borsanyi, et al., JHEP 10 (2018) 205.

[6] B. Friman, et al., Eur. Phys. J. C71 (2011) 1694.

[7] A. Andronic, et al., Nature 561 no. 7723, (2018) 321–330.

[8] A. Andronic, et al., Phys. Lett. B792 (2019) 304–309.

[9] K. Redlich, Central Eur. J. Phys. 10 (2012) 1254–1257.

[10] J. G. Skellam, J. of the Royal Statistical Society A109(3) (1946) 296.

[11] A. Bazavov et al., Phys. Lett. B795 (2019) 15–21.

[12] A. Bazavov et al., Phys. Rev. D95 no. 5, (2017) 054504.



## Method/Definitions

Number of baryons & anti-baryons in a given event:  $n_B, n_{\bar{B}}$

Net baryon number:  $\Delta n_B = n_B - n_{\bar{B}}$

Probability of  $n_B, n_{\bar{B}}$ :  $P(n_B, n_{\bar{B}})$

**Net baryon cumulants:**

$$\kappa_1(\Delta n_B) = \sum_{\Delta n_B=-\infty}^{\infty} \Delta n_B P(\Delta n_B) = \langle \Delta n_B \rangle.$$

$$\kappa_2(\Delta n_B) = \sum_{\Delta n_B=-\infty}^{\infty} (\Delta n_B - \langle \Delta n_B \rangle)^2 P(\Delta n_B) = \langle (\Delta n_B - \langle \Delta n_B \rangle)^2 \rangle$$

$$\kappa_2(\Delta n_B) = \kappa_2(n_B) + \kappa_2(n_{\bar{B}}) - 2 (\langle n_B n_{\bar{B}} \rangle - \langle n_B \rangle \langle n_{\bar{B}} \rangle)$$

$$Cov[n_B, n_{\bar{B}}] = \langle n_B n_{\bar{B}} \rangle - \langle n_B \rangle \langle n_{\bar{B}} \rangle = 0 \text{ in absence of correlations.}$$

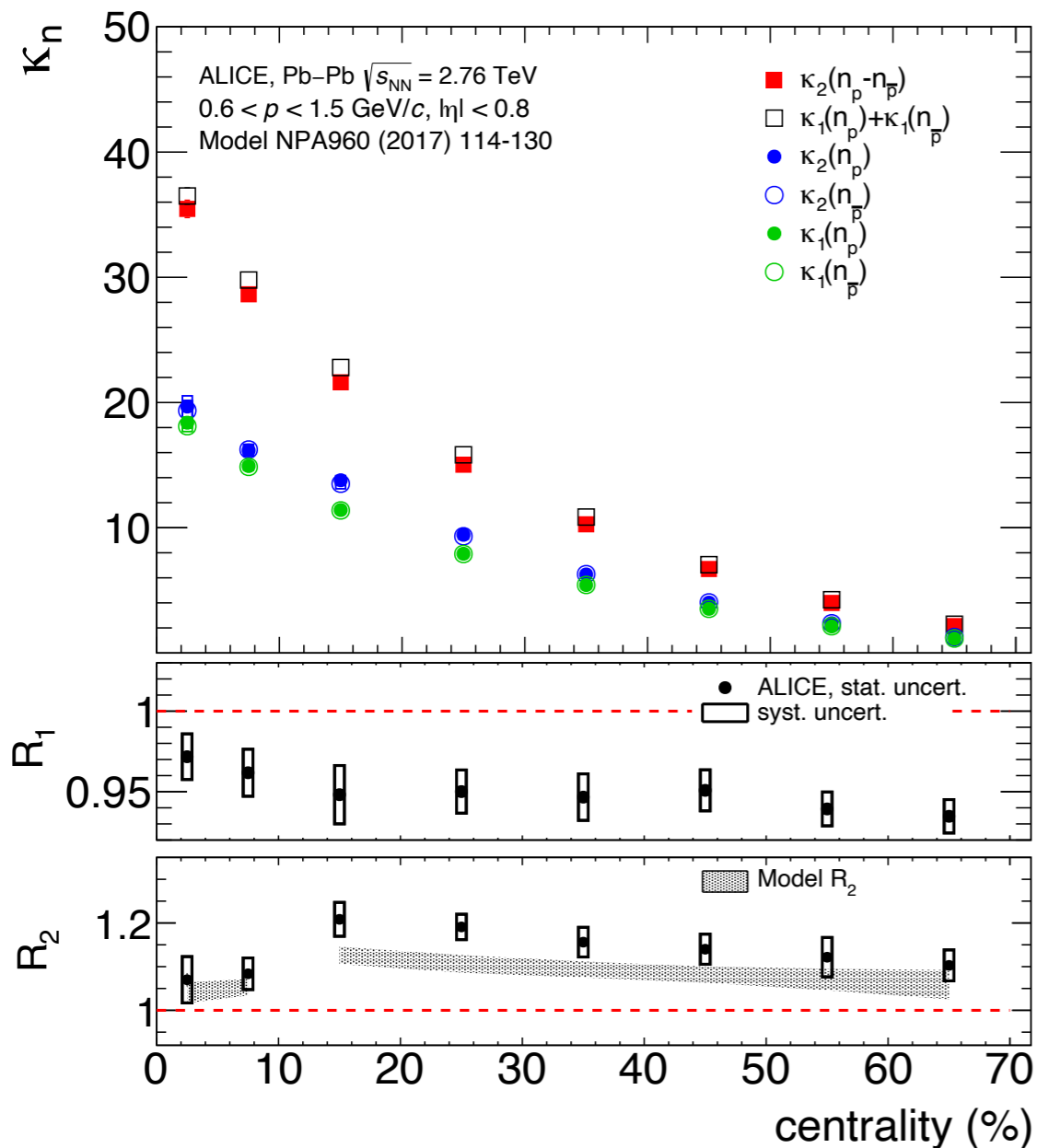
For **vanishing correlations:**

$$\kappa_2(\Delta n_B) = \kappa_1(n_B) + \kappa_1(n_{\bar{B}})$$

Using **net-proton fluctuations as a proxy to net baryons.**

Protons identified based on dE/dx and TOF w/ **Identity Method** [1,2]





- Cumulants are **extensive quantities**,
  - i.e., proportional to the system volume.
- Explains the centrality dependence of all cumulants,
- Consider normalized cumulants to suppress system size dependence.

$$R_1 = \kappa_2(n_p - n_{\bar{p}}) / \langle n_p + n_{\bar{p}} \rangle,$$

$$R_2 = \kappa_2(n_p) / \langle n_p \rangle.$$

Deviations from unity

Deviations from unity twice as large as for  $R_1$

Results are compared with predictions from a model constructed recently [1], in which participant fluctuations are included following the analysis of the ALICE centrality selection. Within uncertainties, the model predictions are consistent with the measured  $R_2$  values, lending support to the interpretation that volume fluctuations are largely at the origin of the observed deviation.

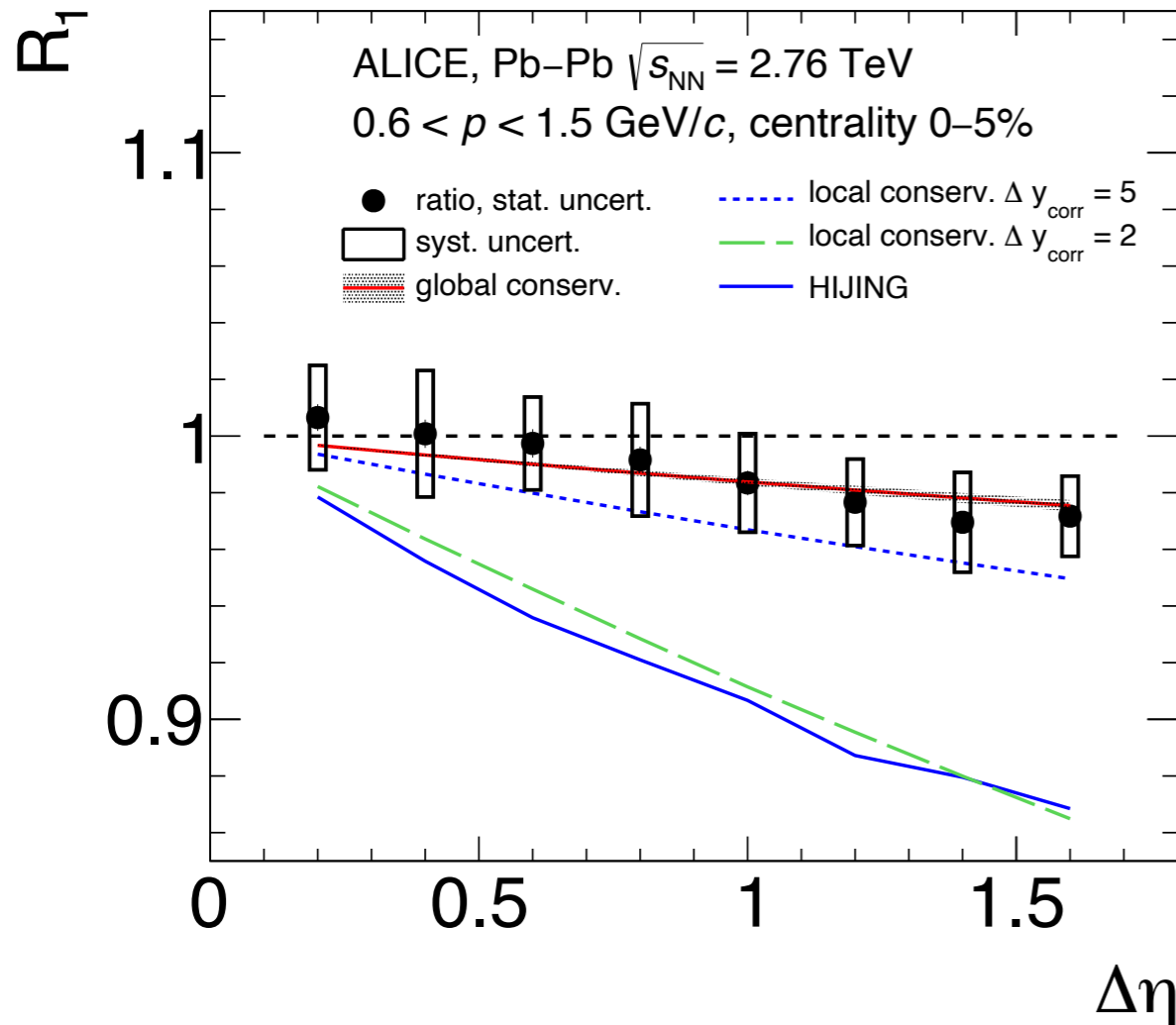
[1] PBM et al, Nucl. Phys. A960 (2017) 114–130





## Results vs. Acceptance

$$R_1 = \kappa_2(n_p - n_{\bar{p}}) / \langle n_p + n_{\bar{p}} \rangle$$



Authors:

**Linear behavior** predicted based on the assumption of **global baryon number conservation (?!?)** [1, 2, 3], which induces correlations between protons and antiprotons leading to the following dependence on the acceptance factor  $\alpha$ .

$$R_1 = 1 - \alpha,$$

where  $\alpha = \langle n_p \rangle / \langle N_B^{4\pi} \rangle,$

$\langle n_p \rangle$  measured

$\langle N_B^{4\pi} \rangle$  estimated from HIJING, AMPT simulations

**But one can also show that baryon conservation dominates the strength of the cumulant [4].**

- [1] PBM et al, Nucl. Phys. A960 (2017) 114–130,
- [2] S. Mrowczynski, Phys. Rev. C66 (2002) 024904
- [3] A. Bzdak, et al., Phys. Rev. C87 (2013) 014901
- [4] Pruneau, Phys.Rev.C 100 (2019) 3, 034905





## Baryon Number Conservation

Second order cumulant :  $\kappa_2(\Delta N_p) = F_1^p + F_1^{\bar{p}} + F_2^{p,p} + F_2^{\bar{p},\bar{p}} - 2F_2^{p,\bar{p}}$

**Poisson limit (Skellam)** :  $\kappa_2^{Skellam}(\Delta N_p) = F_1^p + F_1^{\bar{p}} = \langle N_p \rangle + \langle N_{\bar{p}} \rangle$

Ratio of  $\kappa_2(\Delta N_p)$  to Skellam :

$$r_{\Delta N_p} \equiv \frac{\kappa_2(\Delta N_p)}{\kappa_2^{Skellam}(\Delta N_p)} = 1 + \frac{F_2^{p,p} + F_2^{\bar{p},\bar{p}} - 2F_2^{p,\bar{p}}}{F_1^p + F_1^{\bar{p}}}$$

LHC:  $\langle N_p \rangle \approx \langle N_{\bar{p}} \rangle$  :

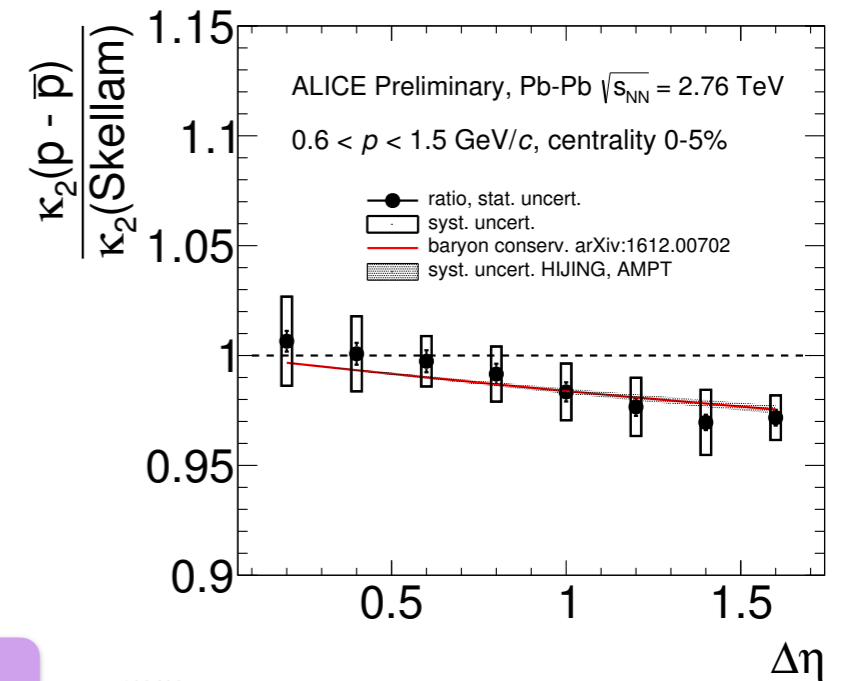
$$r_{\Delta N_p} = 1 + \frac{F_1^p}{2} \left[ R_2^{p,p} + R_2^{\bar{p},\bar{p}} - 2R_2^{p,\bar{p}} \right],$$

Consequently :

$$r_{\Delta N_p} = 1 + \frac{1}{4} \frac{dN_T}{d\eta} \Delta\eta \nu_{\text{dyn}}^{p,\bar{p}}$$

$$r_{\Delta N_p} \approx 1 - a\Delta\eta$$

**Trivial result:**



Correlations exist: non Poisson behavior obtained from  $\nu_{\text{dyn}}$  vs.  $\Delta\eta$ ...

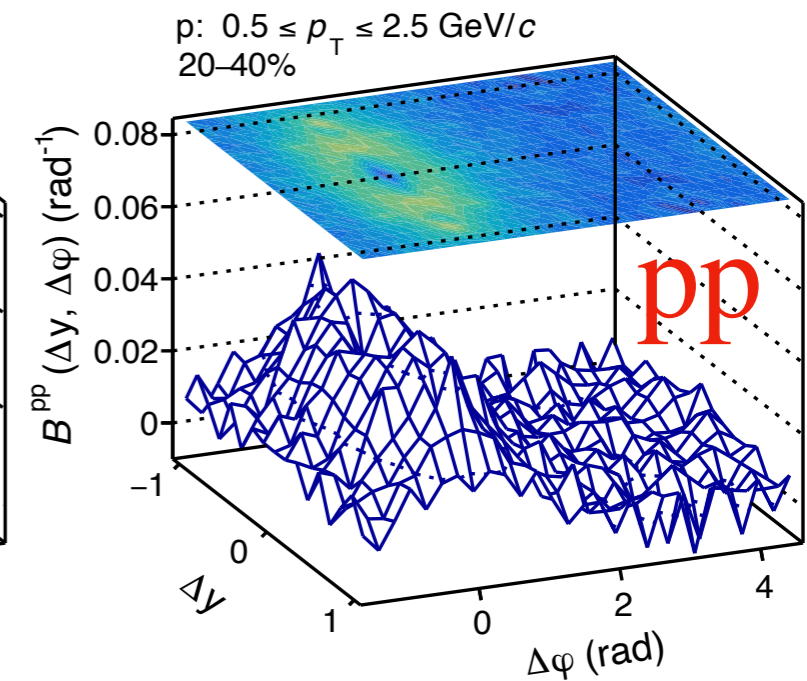
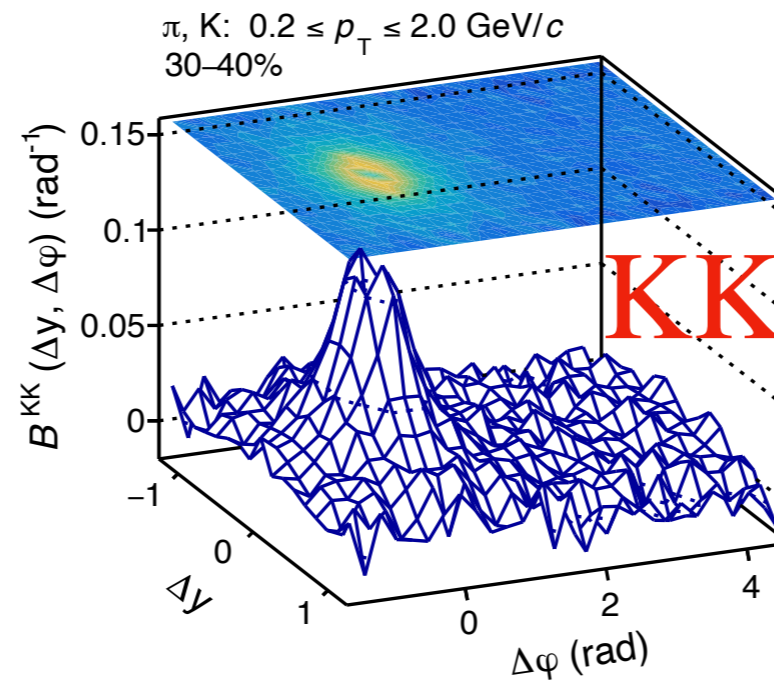
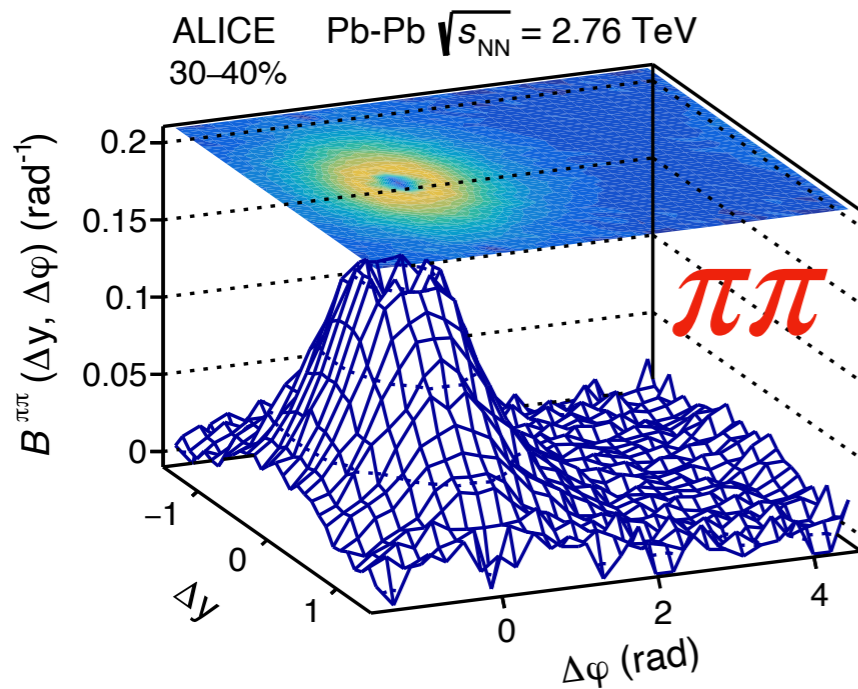


## Vs. Balance Functions (BF)

$$B^{p,p}(\Delta y) = -\frac{\Delta\eta}{4} \frac{dN_T}{d\eta} \left\{ R_2^{p,p}(\Delta y) + R_2^{\bar{p},\bar{p}}(\Delta y) - 2R_2^{p,\bar{p}}(\Delta y) \right\}$$

Integral of the Balance Function

$$I_{p,\bar{p}}(\Omega) = -\frac{1}{4} \frac{dN_T}{d\eta} \Delta\eta \times \nu_{\text{dyn}}^{p,\bar{p}}(\Omega)$$



- BF: Near-side peaked -> Not enough time to diffuse and “randomize”
- $\nu_{\text{dyn}}^{p,\bar{p}}$  is related to the integral of Balance Function [1], determined by...
  - **width of the acceptance**
  - **collision dynamics**
- **Exercise caution in the interpretation of cumulants and their ratios...**

[1] C.P., Phys.Rev.C 100 (2019) 3, 034905

## Sigma Model - Pion & Kaon Sectors

For 2nd order phase transition in QCD:

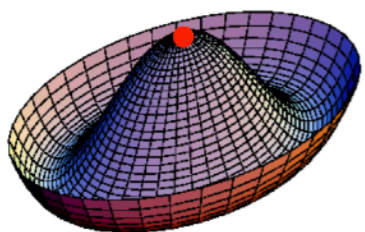
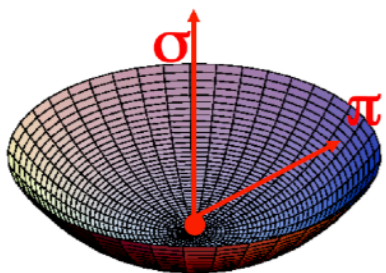
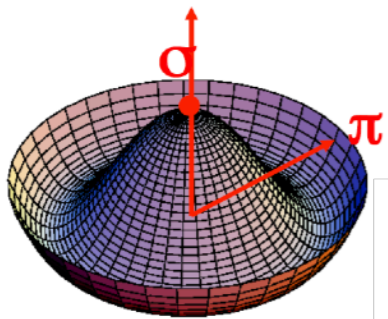
Landau-Ginzburg free energy w/ 2 massless quarks

$$F = \int d^3x \left[ \frac{1}{2} \partial^i \phi^\alpha \partial_i \phi^\alpha - \frac{\mu^2}{2} \phi^\alpha \phi_\alpha + \frac{\lambda}{4} (\phi^\alpha \phi_\alpha)^2 \right]$$

$\mu$  : renormalized mass ( $T$ )  
 $\lambda$ : strength of coupling

Rewritten in terms of  $\sigma$  and  $\vec{\pi}$  fields (“Mexican-hat” potential).

K.L. Kowalski, C.C. Taylor, [hep-ph/9211282](https://arxiv.org/abs/hep-ph/9211282)  
K. Rajagopal, F. Wilczek, Nucl.Phys. B399 (1995) 395



- **Condensates:**
  - 2 flavors:  $\sigma \propto \langle \bar{u}u + \bar{d}d \rangle$
  - 3 flavors:  $\sigma \propto \cos \theta \langle \bar{u}u + \bar{d}d \rangle + \sin \theta \langle \bar{s}s \rangle$
- **“Normal Vacuum”:**
  - $\pi^+, \pi^-, \pi^0$  equally probable.
  - $K^+, K^-, K^0, \bar{K}^0$  equally probable
- **Chiral symmetry restored at high- $T$**
- **Quenching to low- $T$ :**
  - **New field “orientation”**
  - **Disoriented Chiral Condensate (DCC)**

## Kaon Isospin Fluctuations

- Condensate w/ 3 light flavors (u,d) [1,2]:

$$\sigma \propto \cos \theta \langle \bar{u}u + \bar{d}d \rangle + \sin \theta \langle \bar{s}s \rangle$$

- Neutral Kaon Fraction:

$$f = \frac{N_{K^0} + N_{\bar{K}^0}}{N_{K^0} + N_{\bar{K}^0} + N_{K^-} + N_{K^+}}$$

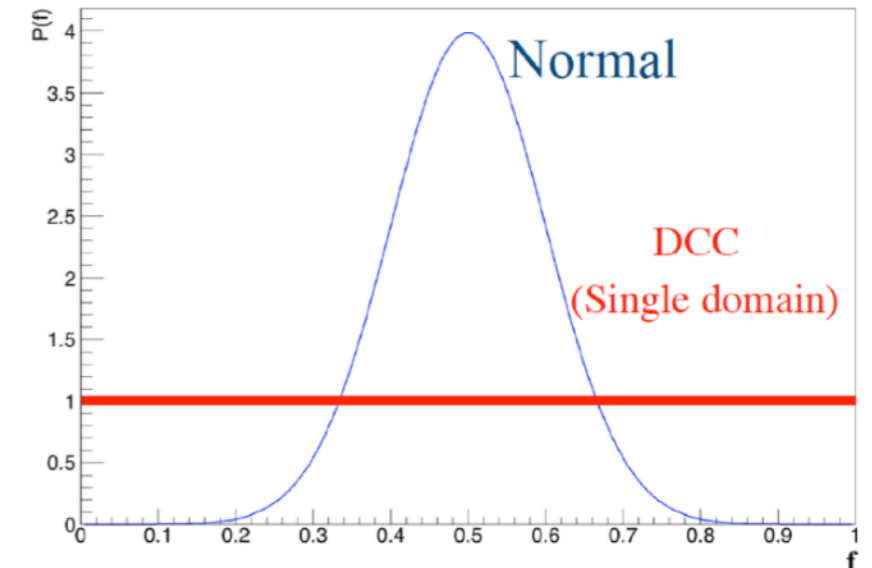
### • Model Expectations

- Normal Vacuum: **Binomial distribution**

$$P(f) = B(1/2; N) \text{ — “Normal”}$$

- Strange DCC: **Uniform distribution**

$$P(f) = 1$$



[1] Randrup et al, PRC 59 (1999) 3329

[2] J. Kapusta, S.M.H. Wong PRL 86 (2001) 4251



# Kaon Isospin Fluctuations

**Integral correlators:**

$$R_{\alpha\beta} = \frac{\langle N_\alpha(N_\beta - \delta_{\alpha\beta}) \rangle}{\langle N_\alpha \rangle \langle N_\beta \rangle} \quad N_\alpha, N_\beta : \text{Number of particles of types } \alpha, \beta \text{ within acceptance}$$

**Nu-dyn:**

$$\nu_{dyn}^{\alpha\beta} = R_{\alpha\alpha} + R_{\beta\beta} - 2R_{\alpha\beta}$$

**Charged Kaons:**

$$\nu_{dyn}^{K^+K^-} = R_{K^+K^+} + R_{K^-K^-} - 2R_{K^+K^-}$$

**Neutral vs. Charged Kaons:**

$$\nu_{dyn}^{K_s^0K^\pm} = R_{K^\pm K^\pm} + R_{K_s^0K_s^0} - 2R_{K^\pm K_s^0} = R_{cc} + R_{00} - 2R_{c0}$$

- $R_{\alpha\beta}$  and  $\nu_{dyn}^{\alpha\beta}$  are robust observables (approx. independent of efficiencies)
- Measure relative strength of **charged-charged** (cc), **neutral-neutral** (00), and **charged-neutral** (c0) kaon correlations.
- **Independent source scaling (n sources):**

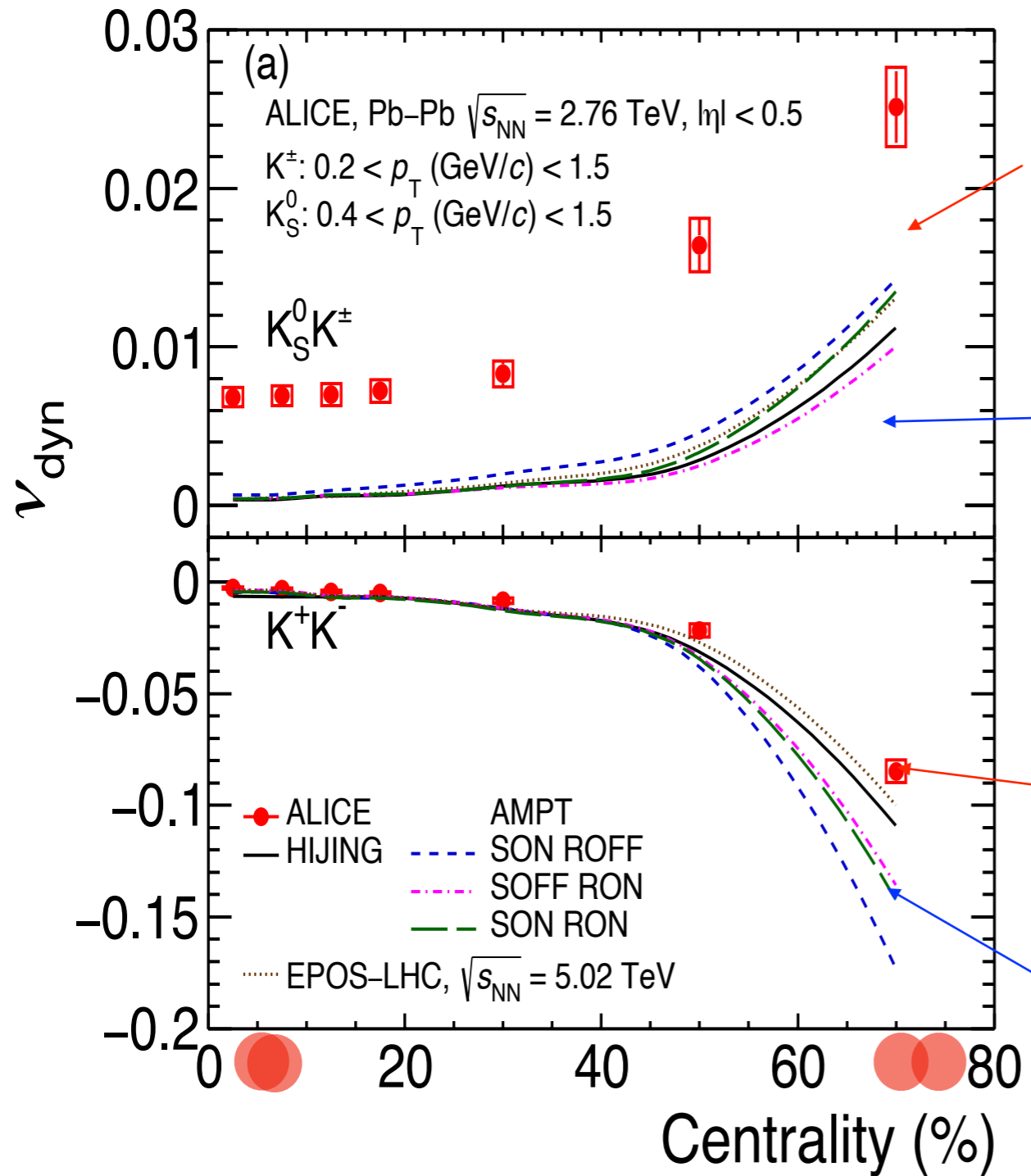
$$R_{\alpha\beta}^{(n)} = \frac{1}{n} R_{\alpha\beta}^{(1)} \quad \nu_{dyn}^{(n)} = \frac{1}{n} \nu_{dyn}^{(1)}$$
- Proposed as indicator of anomalous production of kaon isospin fluctuations - a signal of DCCs [3]
- **Shown to be sensitive to small or multiple DCCs [4]**

[1] C.P., S. Gavin, S. Voloshin, **PRC 66 2002) 044904**

[2] C.P., S. Gavin, S. Voloshin, **Nucl.Phys.A 715 (2003) 661.**

[3] Gavin, Kapusta, **PRC 65 (2002) 054910**

[4] R. Nayak, S. Dash, C.P., **PRC 004900 (2020).**



### $\nu_{\text{dyn}}[K_S^0 K^\pm]$

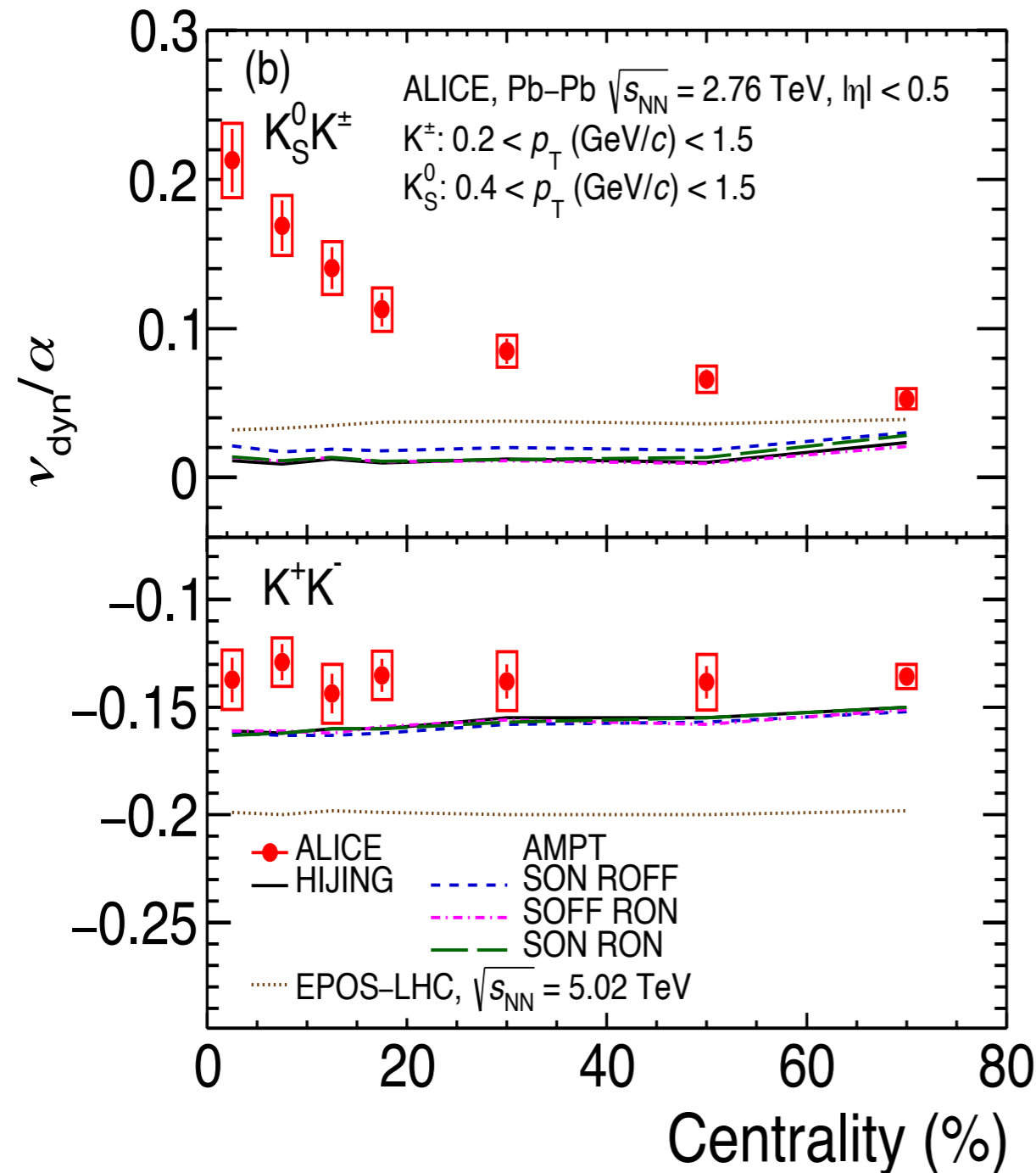
- Data:
  - $R_{cc} + R_{00} > 2R_{c0}$ .
  - “Flattening behavior” in central collisions
- HIJING/AMPT/EPOS:

### $\nu_{\text{dyn}}[K^+ K^-]$

- Data:
  - $R_{++} + R_{--} < 2R_{+-}$
  - Pair creation dominance
- HIJING/AMPT/EPOS:
  - “Similar” centrality dependence
  - HIJING (1/n) Close to data

## Kaon Isospin Fluctuations

$$\alpha = \langle N_{K_S^0} \rangle^{-1} + \langle N_{K^\pm} \rangle^{-1}$$



- $\nu_{\text{dyn}}[K_S^0 K^\pm]/\alpha$

- Data:

- Strong correlation (excess) in central collisions
- Strong 1/N scaling violation
- “Anomalous” fluctuations

- HIJING/AMPT/EPOS:

- (Nearly) Flat as expected from 1/N scaling.

- $\nu_{\text{dyn}}[K_S^+ K^-]/\alpha$

- Data:

- Very small dependence on centrality
- Approximate 1/N scaling

- HIJING/AMPT/EPOS:

- Very small dependence on centrality
- (Expected) approximate 1/N scaling — no rescattering, no significant radial flow.
- Magnitude slightly off relative to data — “decent” agreement.

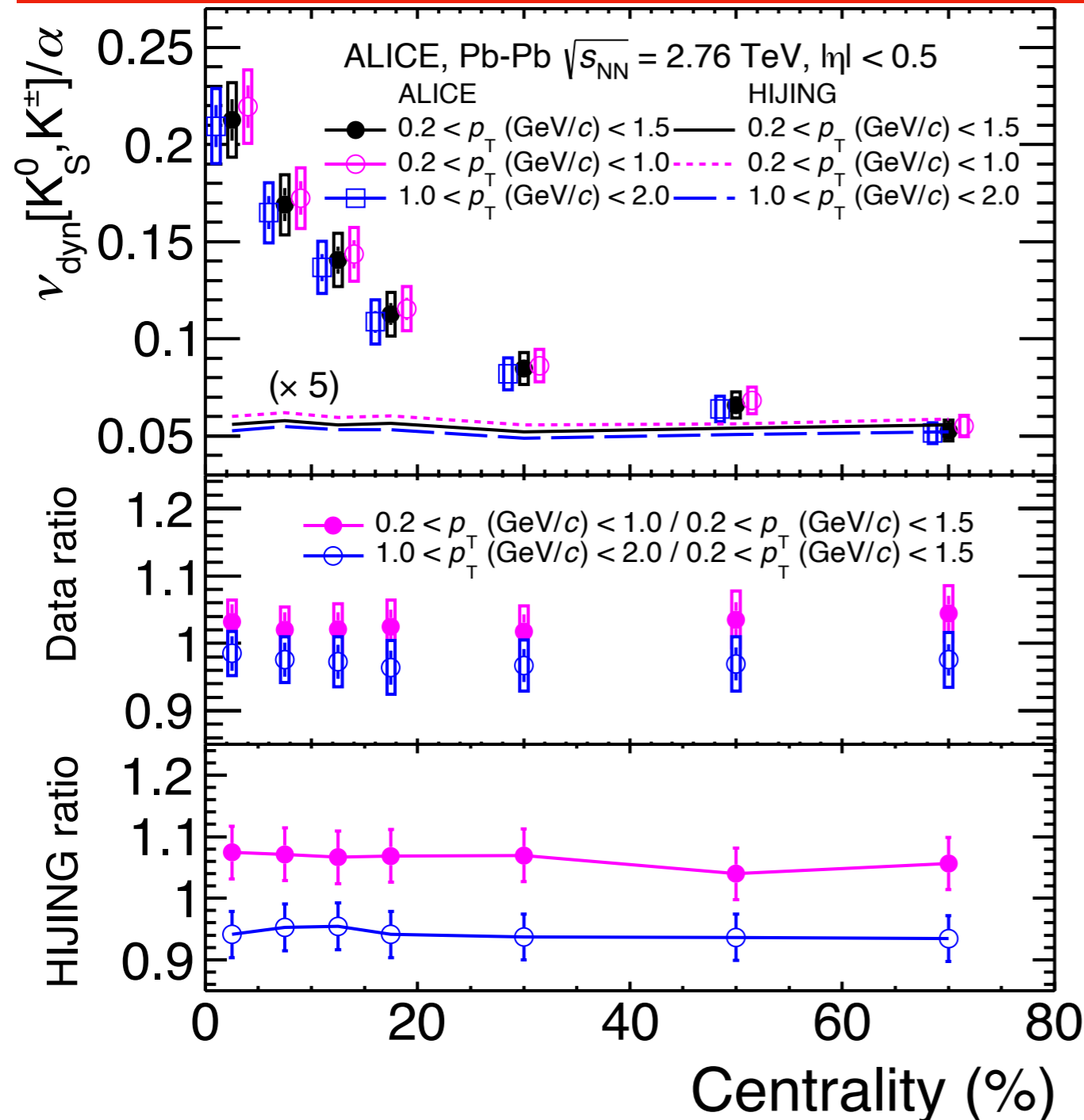




# $p_T$ Range Dependence ??

DCC expected to be more prominent at lower  $p_T$

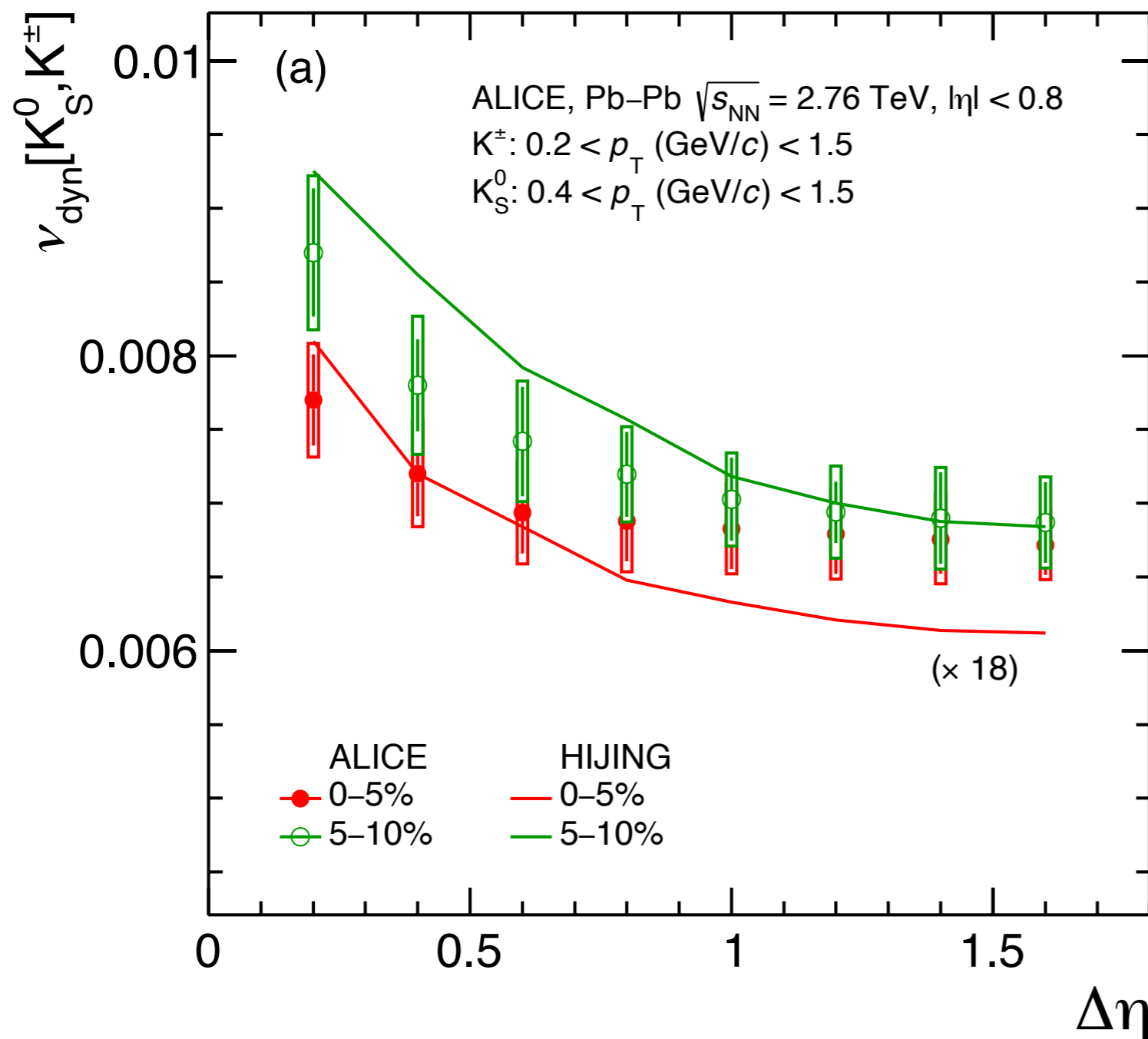
Study  $p_T$  dependence of  $\nu_{\text{dyn}}[K_s^0 K^\pm]$



- $\nu_{\text{dyn}}[K_s^0 K^\pm]$
- **Data:**
  - Scaling violation in both  $p_T$  ranges
  - Marginally weaker at “higher”  $p_T$
  - $p_T$  dependence within systematic errors.
- **HIJING:**
  - Amplitude exhibits small (finite) dependence on  $p_T$  range
- **No evidence for a DCC “surge” at low  $p_T$ .**



# Rapidity Range Dependence



**$\Delta\eta$  correlation features “narrow” peak atop broad distribution.  
 Not readily compatible with DCC production.**

## DCC & DIC Theoretical Models

**J. Kapusta, S. Pratt, M., Singh, Phys.Rev.C 107 (2023) 014913.**

- DCC: Disoriented Chiral Condensate Model
- Examined several scenarios of kaon production, e.g., charge conservation effects, Bose symmetrization, resonance decays, degenerate kaons from condensates.
- **Concluded condensates provide the only way to explain ALICE results.**

**J. Kapusta, S. Pratt, M., Singh, 2306.13280 [hep-ph]**

- DIC: Disoriented Isospin Condensate Model
- ***“If the scalar condensate, which is typically associated with chiral symmetry, is accompanied by an isospin=1 field, then the combination can produce large fluctuations where  $\langle \bar{u}u \rangle \neq \langle \bar{d}d \rangle$ .”***
- **Hadronizing strange and anti-strange quarks might then strongly fluctuate between charged ( $u\bar{s}$ ,  $s\bar{u}$ ) and neutral ( $d\bar{s}$  or  $s\bar{d}$ ) kaons”**

# Summary

- Presented studies of integral correlations — particularly fluctuations of conserved quantities.
- Models typically fair poorly relative to the measured data — need for increased focus and ideas from the theory community.
- Many possible extensions to these studies
  - Based on more recent data, new collision systems and beam energies
  - Higher moments,
  - Identified particles, including weakly decaying particles
  - Also consider more differential measurements — correlation functions and balance functions.

Thank you for your attention



## Summary

- Presented several studies of integral correlations — including fluctuations of conserved quantities.
- Models typically fair poorly relative to the measured data
  - Need for increased focus and ideas from the theory community.
  - Need to check w/ more modern models (e.g., EPOS4)
- Many possible extensions to these studies
  - Lots of new data, new collision systems and beam energies
  - Higher moments,
  - Identified particles, including weakly decaying particles
  - Also consider more differential measurements — correlation functions and balance functions.

Thank you for your attention



# Additional Material



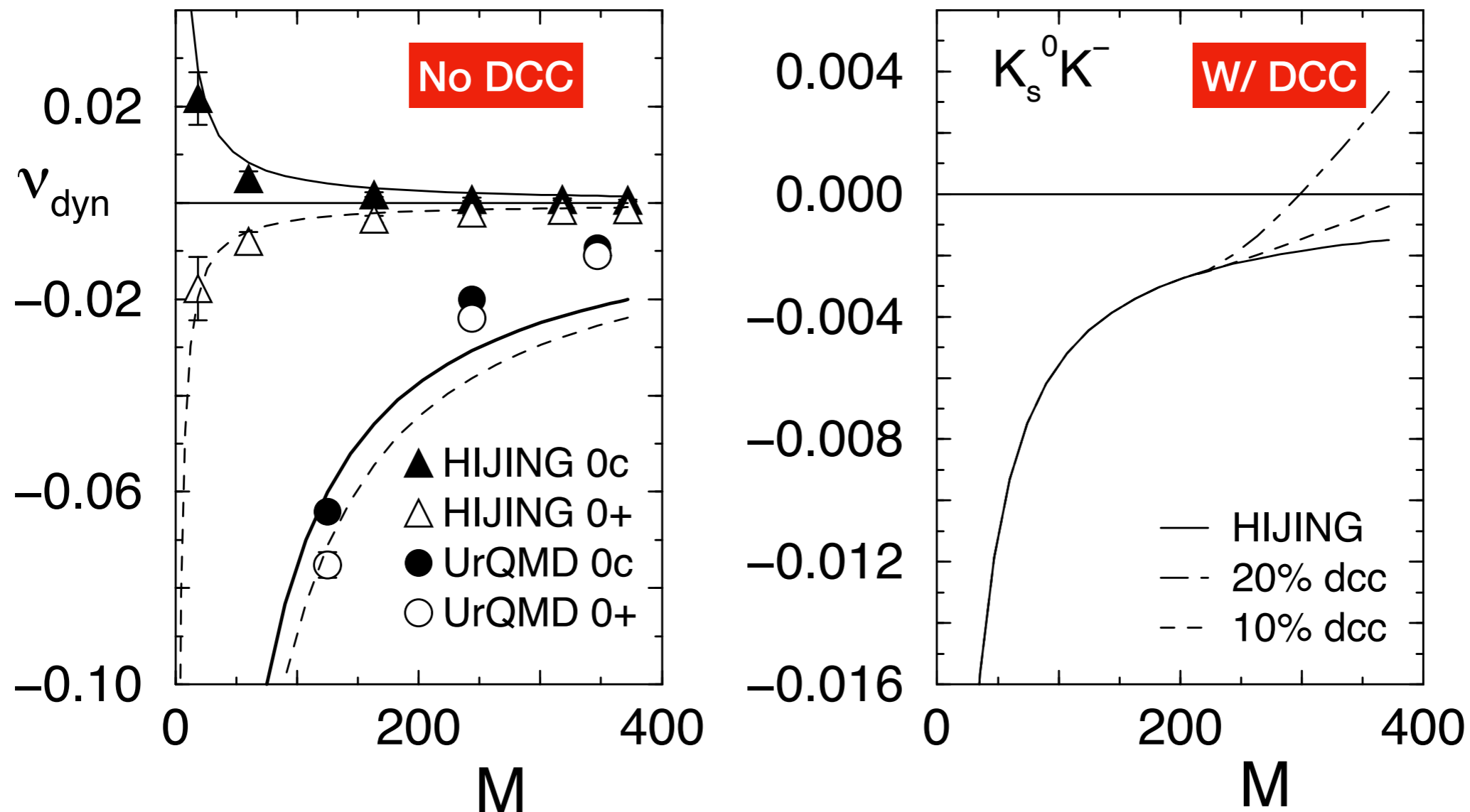
# Disoriented Chiral Condensate (DCC)

## DCC in Kaon Sector Detectable w/ $\nu_{\text{dyn}}[K_s^0, K^\pm]$

S. Gavin, J. Kapusta PRC 65 (2002) 054910

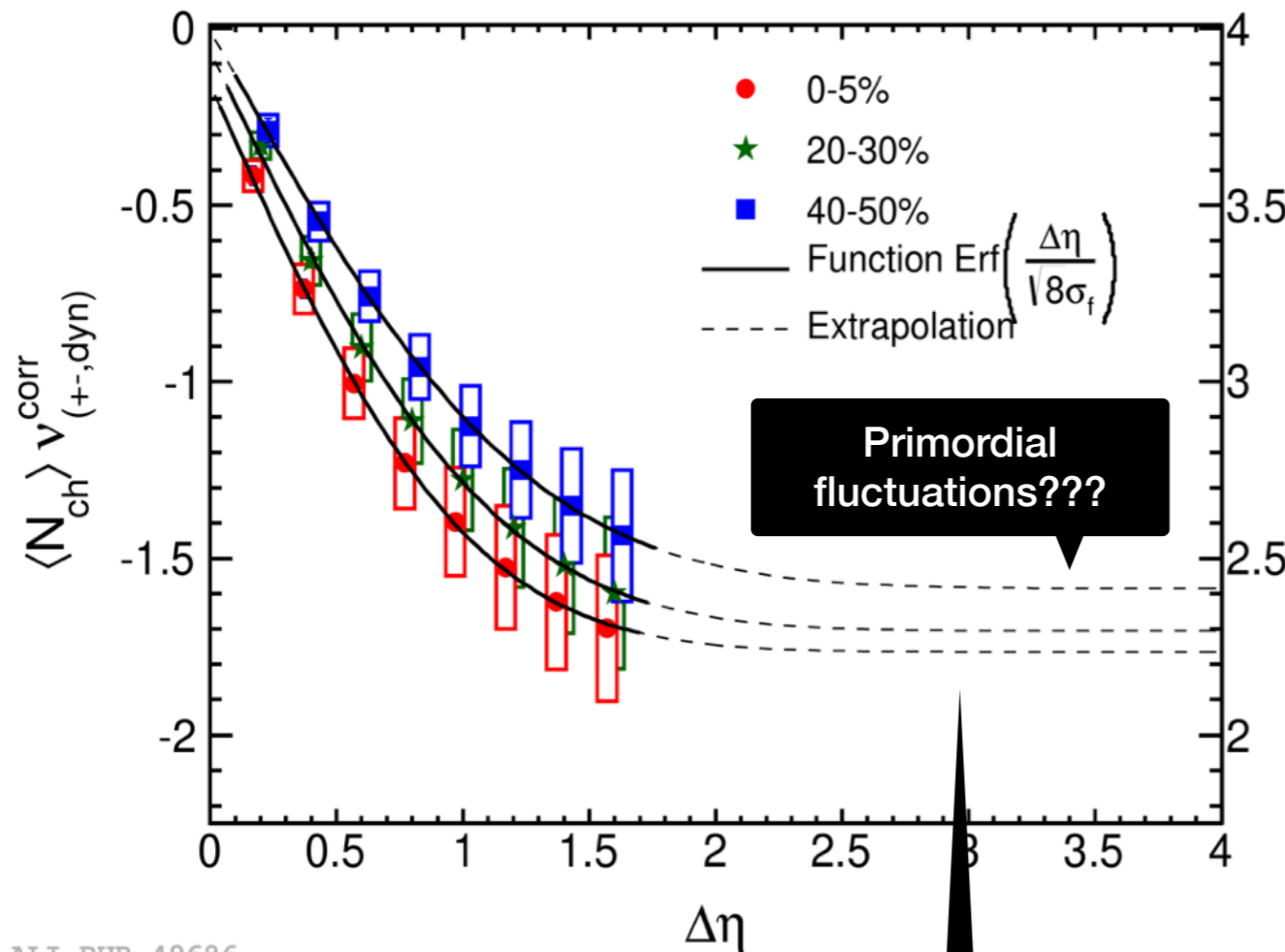
S. Gavin, et al., Nucl.Phys.A 715 (2003) 657, J.Phys.G 30 (2004) S271

**Kaon isospin fluctuations measurable with  $\nu_{\text{dyn}}$  observable.**



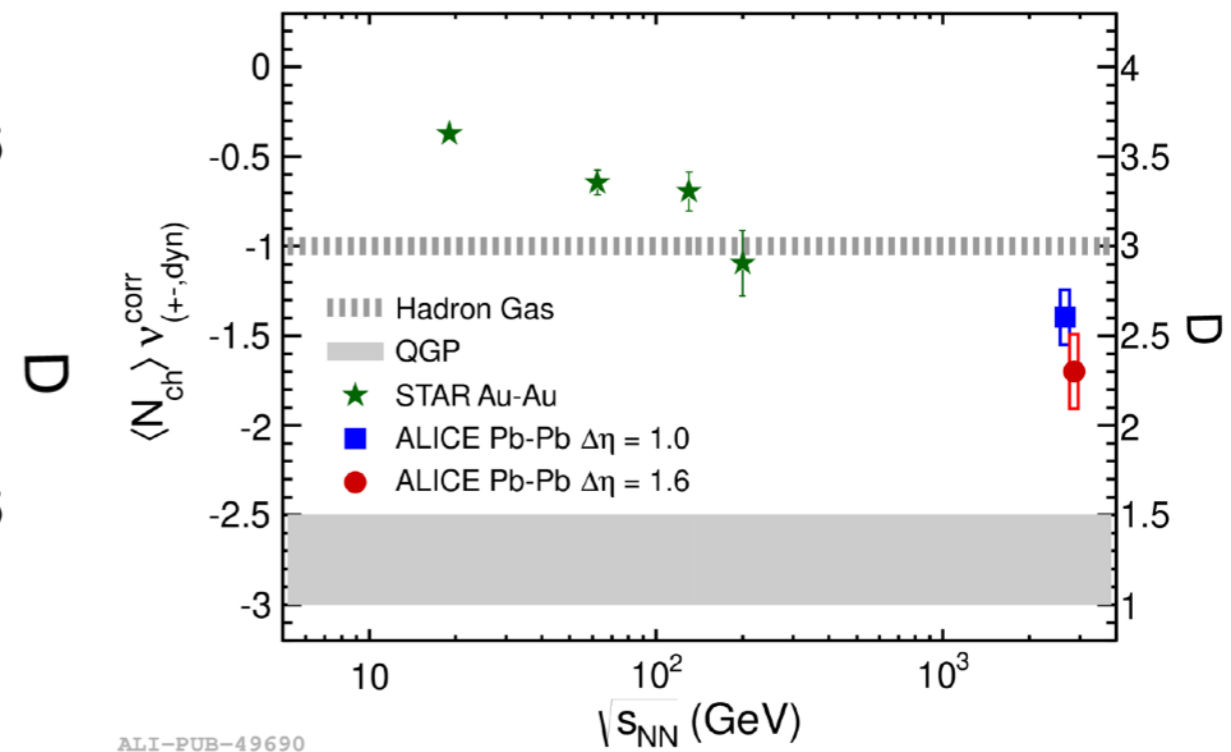


## ALICE Results: Pb–Pb @ $\sqrt{s_{NN}} = 2.76$ TeV



ALI-PUB-49686

**Charge Conservation!**



ALI-PUB-49690

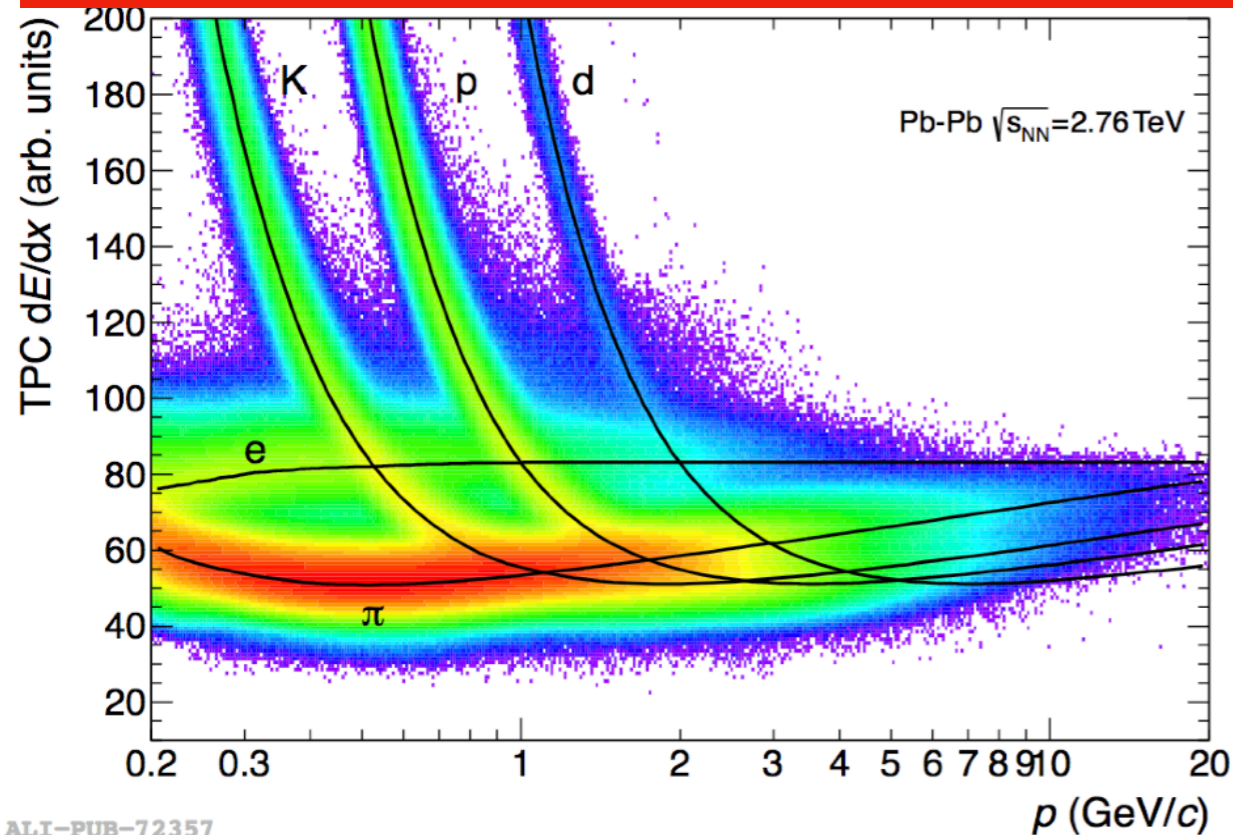
**Scaled nu-dyn vs. beam energy trends towards  $D \sim 1$  with increasing  $\sqrt{s}$  ?? QGP or some other (trivial) effect ??**

- Opportunities for new measurements?
  - PbPb at 5.02 TeV, XeXe at 5.4 TeV, etc
  - My take: **Consider differential measurements instead → balance functions.**





### TPC: $dE/dx$ vs $p$



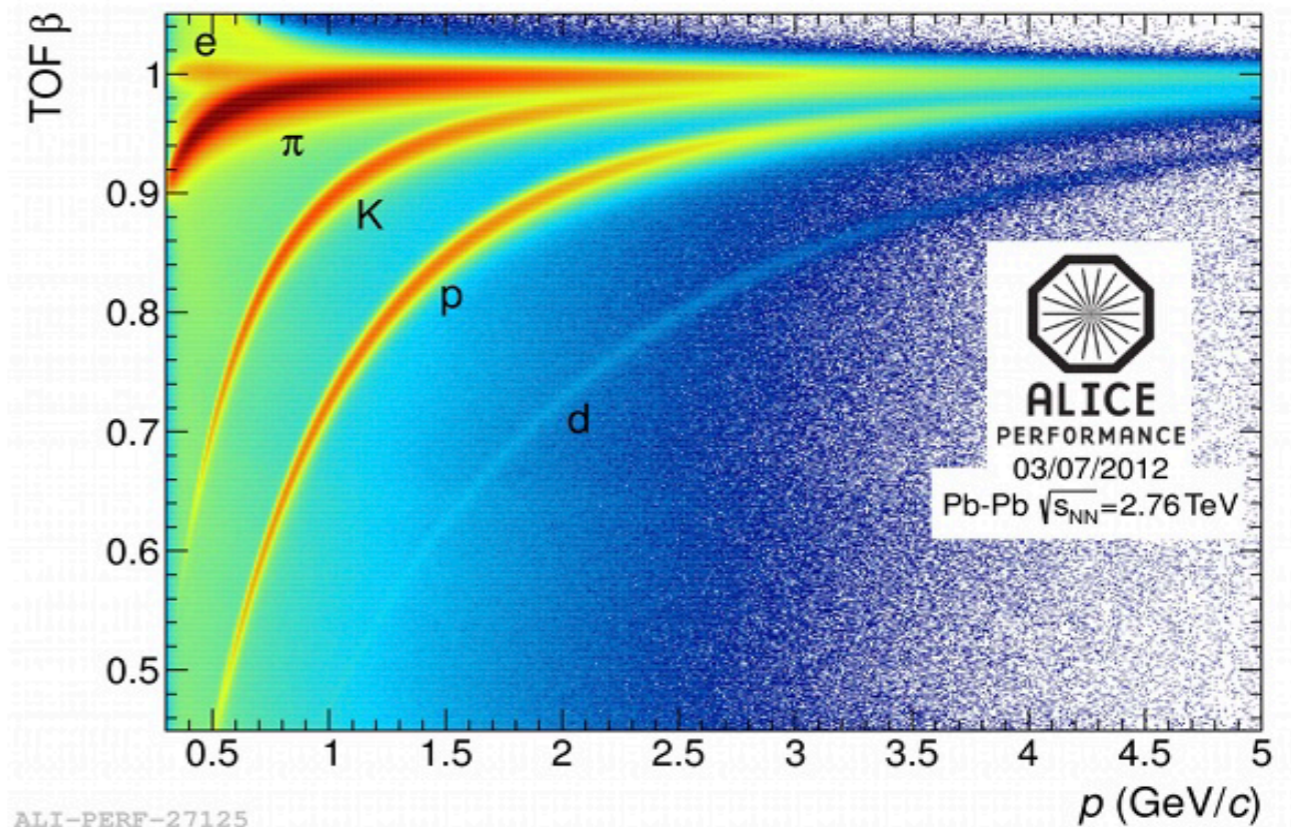
### $n\sigma$ method:

- Event-by-event Counting
- Candidates:  $n\sigma$  method

$$n\sigma = \frac{1}{\sigma\left(\frac{dE}{dx}\right)} \left[ \left| \frac{dE}{dx} \right|_{\text{measured}} - \left| \frac{dE}{dx} \right|_{\text{particle}} \right]$$

- Similarly w/ TOF signal.
- **Contamination 1-3 %**

### TOF velocity vs $p$



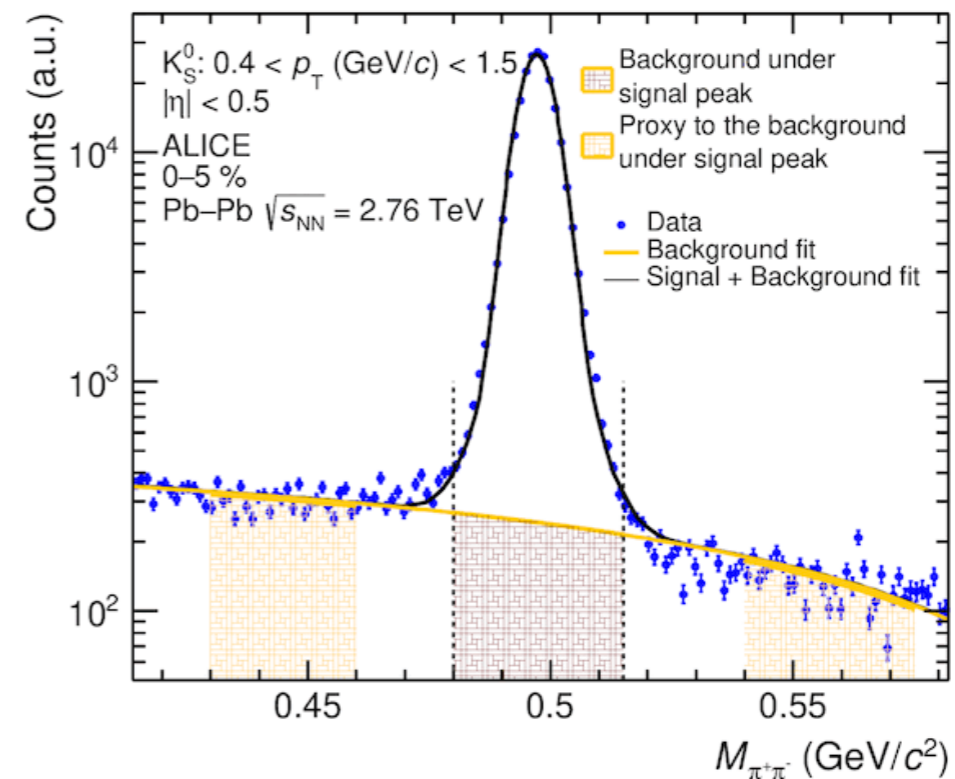
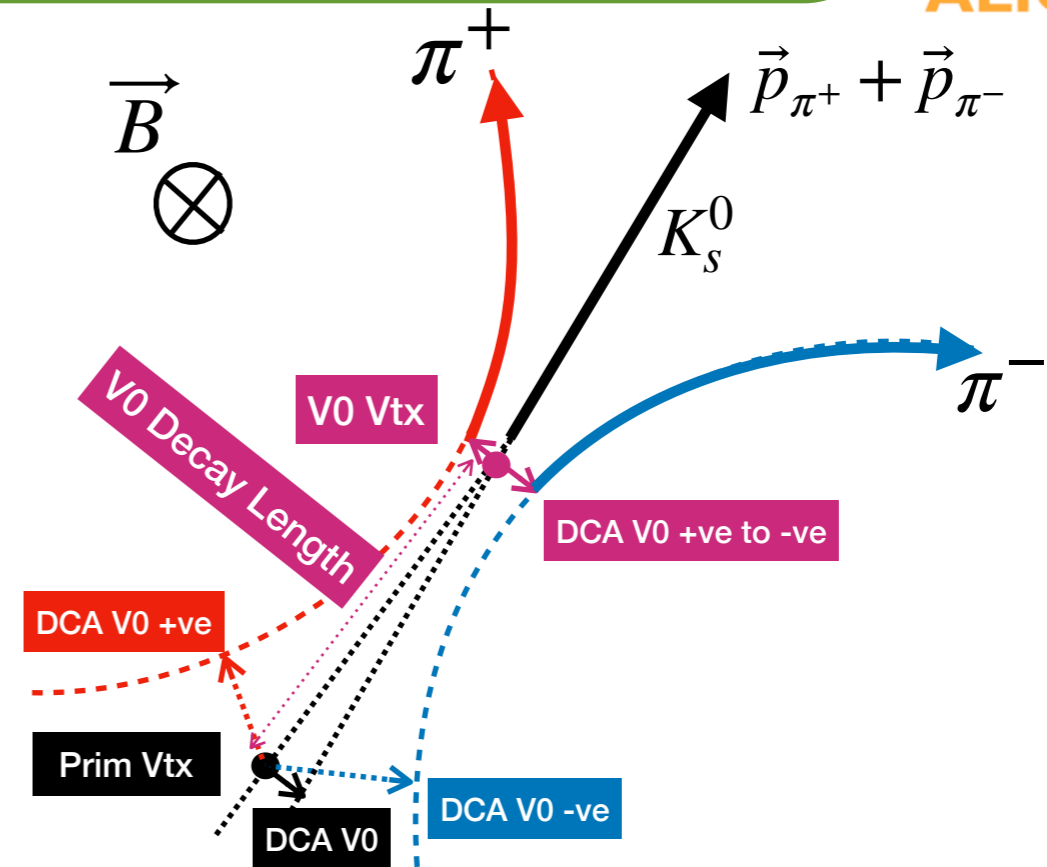
### Identity method:

- [1] M. Gazdzicki et al., Phys. Rev.C 83 (2011) 054907
- [2] M.I. Gorenstein, Phys.Rev.C 84 (2011) 024902
- [3] A. Rustamov, Phys.Rev.C 86 (2012) 044906
- [4] C. Pruneau, Phys.Rev.C 96 (2017) 5, 054902
- [5] C. Pruneau, Alice Ohlson, Phys.Rev.C 98 (2018) 1, 014905

## $K_s^0$ identification & selection

ALICE, Phys. Lett. B 832 (2022) 137242

- Standard ALICE topological (V0) selection criteria,
  - See backup for details.
- Invariant mass selection,
- Kinematic selection:
  - $|y| < 0.5$ ,
  - $0.4 < p_T < 1.5$  GeV/c.
- Event-by-event Counting:
- Candidates:
  - $0.48 < M_{\text{inv}}(\pi^+\pi^-) < 0.515$  GeV/c<sup>2</sup>
  - **Contamination 1-4 %**
- Background (fluctuations) estimate:
  - From side bands





# Combinatorial background and correction

Single yields:

$N_c$  : Number of  $K^\pm$

$N_s$  : Number of signal  $K_s^0$

$N_b$  : Number of background pairs

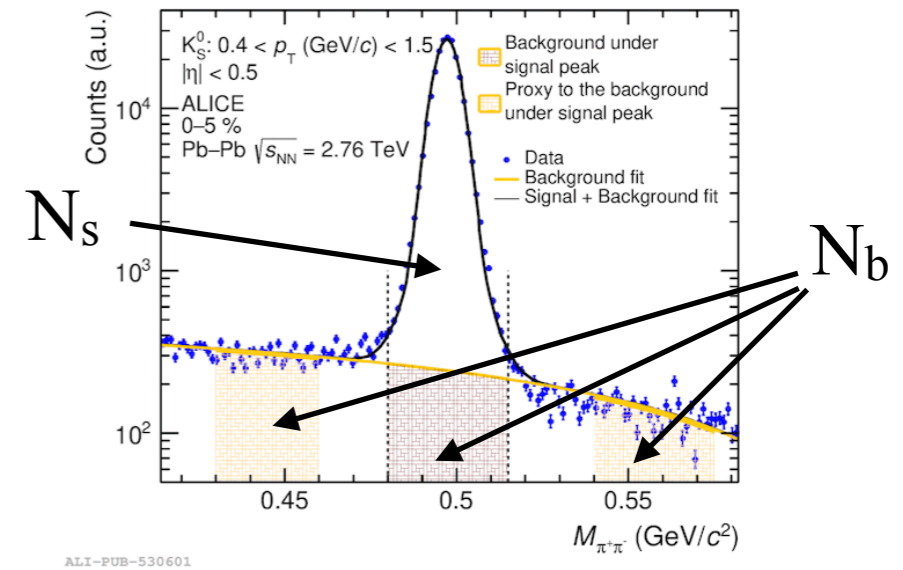
$N_0 = N_s + N_b$ ;  $f_b = N_b/N_0$

Pair yields

$$N_{00} = N_{ss} + N_{bb} + 2N_{sb}$$

$$N_{ss} = N_{00} - N_{bb} - 2N_{sb}$$

$$N_{sc} = N_{0c} - N_{bc}$$



Use “side windows” to estimate yield of background in the signal region.  
Example:

$$\frac{\langle N_s(N_s - 1) \rangle}{\langle N_s \rangle^2} = \frac{\langle N_0(N_0 - 1) \rangle}{\langle N_0 \rangle^2} - \frac{2f}{(1-f)^2} \frac{\langle N_0 N_b \rangle}{\langle N_0 \rangle \langle N_b \rangle} + \frac{f^2}{(1-f)^2} \frac{\langle N_b N_b \rangle}{\langle N_b(N_b - 1) \rangle \langle N_b \rangle}$$

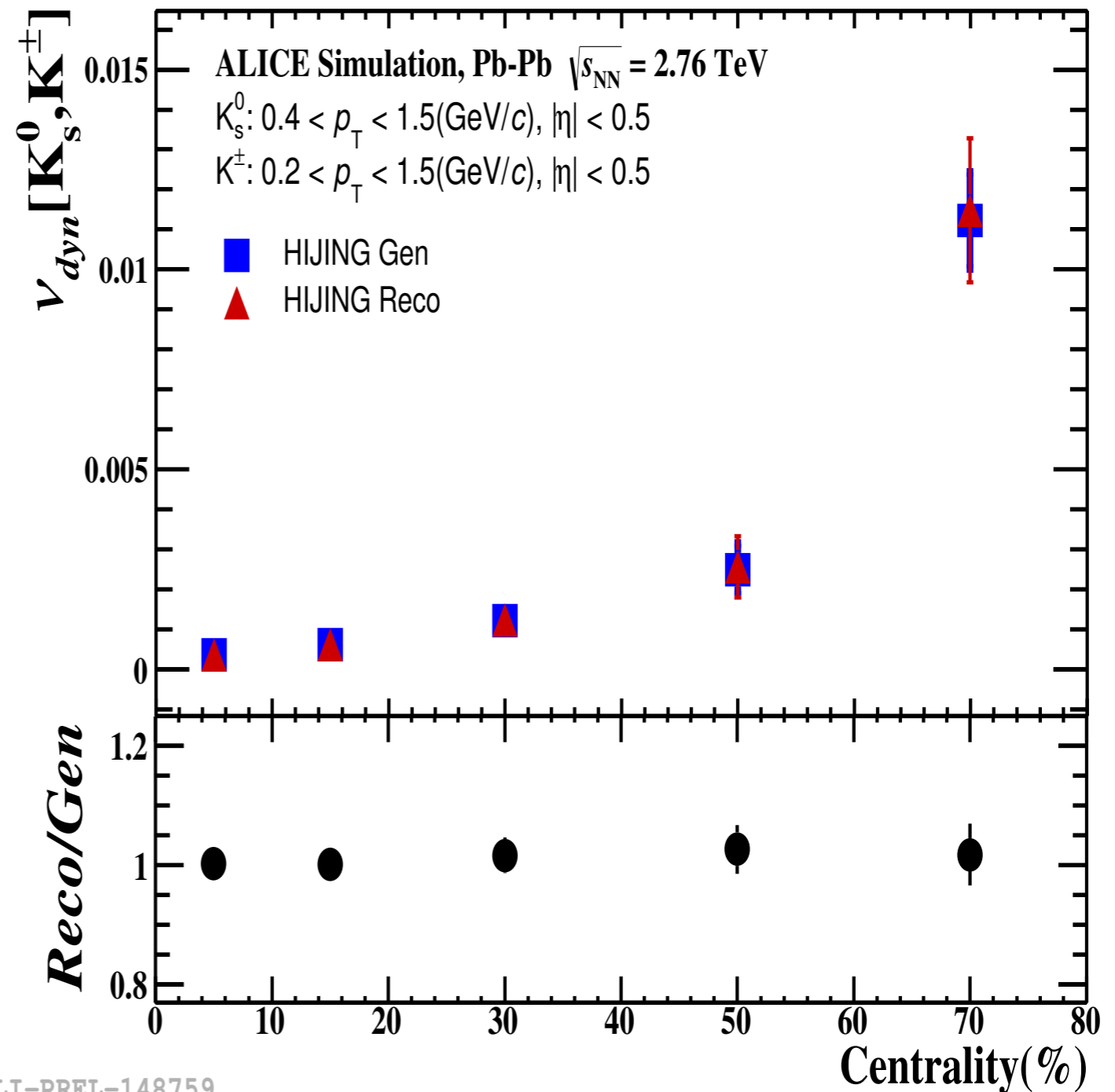
Corrected  $\nu_{\text{dyn}}$  based on side mass windows

$$\nu_{\text{dyn}}^{\text{corrected}} = \frac{\langle N_c(N_c - 1) \rangle}{\langle N_c \rangle^2} + \frac{\langle N_s(N_s - 1) \rangle}{\langle N_s \rangle^2} - 2 \frac{\langle N_c N_s \rangle}{\langle N_c \rangle \langle N_s \rangle}$$



# Closure test

- Test performed with HIJING + ALICE/GEANT
- Analysis done at
  - Generator level (Gen)
  - GEANT processed + full reconstruction (Reco)



ALI-PREL-148759

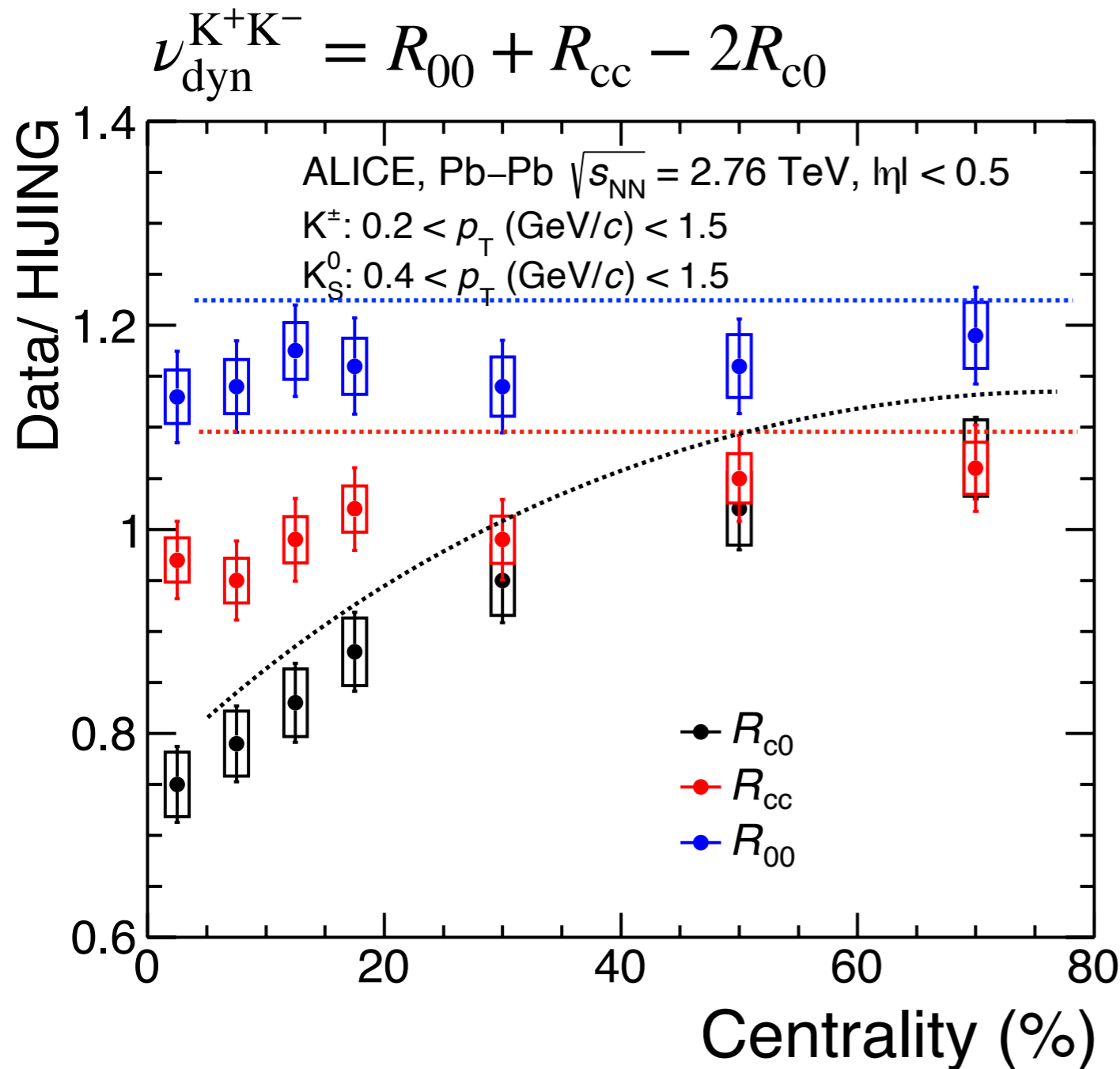




# Where is the excess (scaling violation) from?

Exploit HIJING approximate 1/N scaling

Study ratios of data to HIJING for three terms of  $\nu_{\text{dyn}}$



- Variance terms have little to no centrality dependence.
- Approx. 1/N scaling.
- Covariance term varies by more than 20% with centrality.
- Excess of  $\nu_{\text{dyn}}$  in central collisions from the covariance term.
- **Expected from fluctuations caused by DCC fluctuations.**


## Measurements & Observables

- $\Phi_q$ : S. Mrowczynski, Phys.Rev.C 66 (2002) 024904.

- $\nu_{dyn}$  methods Paper - C.P., S.G., S.V. - PRC 66, 44904 (2002).


- Some key Measurements...

- **PHENIX** (130 GeV) - K. Adcox, et al., Phys.Rev.Lett. 89, 082301 (2002).


$$\omega_Q \equiv \frac{\langle \Delta Q^2 \rangle}{\langle N_{CH} \rangle}$$

- **STAR**

- AuAu @ 130 GeV, PRC68, 044905 (2003).
- AuAu @ 20, 130, 200 GeV, Phys.Rev.C 79 (2009) 024906.
- Higher-moments: *Nucl.Phys.A* 830 (2009) 555C-558C.


$$\nu_{dyn}$$

- **NA49** (20, 30, 40, 80, 158 A GeV), *Phys.Rev.C* 70 (2004) 064903.


$$\Phi_q$$

- **CERES** *J.Phys.G* 30 (2004) S1371-S1376.

- **ALICE**, Phys. Rev. Lett. 110 (2013) 152301.


$$\nu_{dyn}$$

# Mathematical Foundation

- Consider "identical" systems characterized by a **discrete or continuous observable  $X$** .
  - Assume  $X$  fluctuates event-by-event according to a **probability distribution function (discrete)** or a **probability density function:  $p(x)$** .

- Moments** of  $X$  of order  $n = 1, 2, \dots$  defined as **expectation value**

$$m'_1 \equiv E[x] \equiv \langle x \rangle = \int_{-\infty}^{\infty} x p(x) dx$$

**mean of  $X$ .**

$$m'_2 \equiv E[x^2] \equiv \langle x^2 \rangle = \int_{-\infty}^{\infty} x^2 p(x) dx$$

$$m'_3 \equiv E[x^3] \equiv \langle x^3 \rangle = \int_{-\infty}^{\infty} x^3 p(x) dx$$

etc

- Centered moments**, for  $n \geq 2$ :

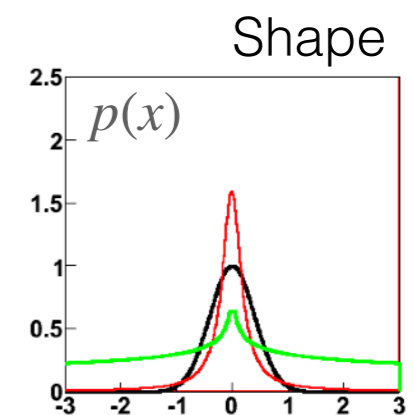
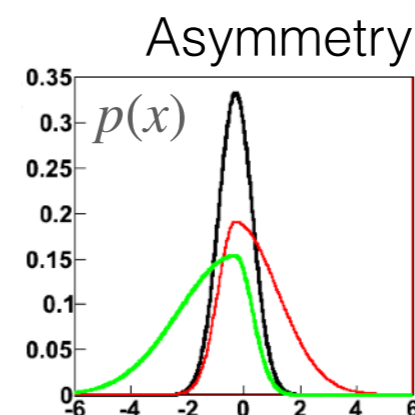
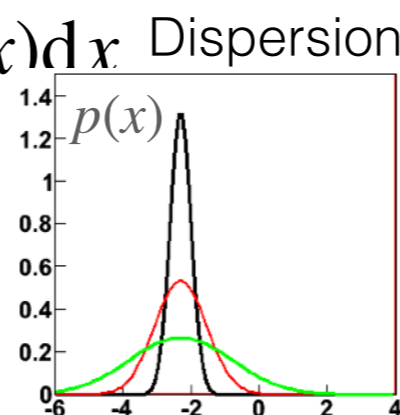
$$m_2 \equiv \langle \Delta x^2 \rangle = \int_{-\infty}^{\infty} (x - \langle x \rangle)^2 p(x) dx$$

**variance of  $X$ :**  $\text{Var}[X]$  or  $\sigma^2$ .

$$m_3 \equiv \langle \Delta x^3 \rangle = \int_{-\infty}^{\infty} (x - \langle x \rangle)^3 p(x) dx$$

$$m_4 \equiv \langle \Delta x^4 \rangle = \int_{-\infty}^{\infty} (x - \langle x \rangle)^4 p(x) dx$$

$m_3, m_4$  related to **skewness and kurtosis...**



# Net-Charge Fluctuations

- **Poisson Distribution** (Fluctuations w/ no correlations)

$$P(n | \mu) = \frac{\mu^n e^{-\mu}}{n!} \quad \rightarrow \quad \langle n \rangle = \mu$$
$$\text{Var}[n] = \langle \Delta n^2 \rangle = \mu$$

- **Poisson Limit** for the variance and covariance of  $N_\alpha, N_\beta$ :

$$\text{Var}[N_\alpha] \equiv \langle \Delta N_\alpha^2 \rangle = \langle N_\alpha \rangle$$
$$\text{Cov}[N_\alpha, N_\beta] \equiv \langle \Delta N_\alpha \Delta N_\beta \rangle = 0$$

- Poisson Limit of “nu”

$$\frac{\langle \Delta R^2 \rangle}{\langle R \rangle^2} = \frac{\langle N_\alpha \rangle}{\langle N_\alpha \rangle^2} + \frac{\langle N_\beta \rangle}{\langle N_\beta \rangle^2} - 2 \times 0 \quad \rightarrow$$

$$\nu_{\text{Poisson}} = \frac{1}{\langle N_\alpha \rangle} + \frac{1}{\langle N_\beta \rangle}$$

Finite but “trivial” because it is devoid of correlations and **information**



## Generating Functions

- Method to systematically compute moments in terms of a moment generating function  $M(t)$  :

$$M(t) \equiv E[e^{tx}] = \int_{-\infty}^{\infty} e^{tx} p(x) dx$$

- Expressing  $e^{tx}$  as Taylor series, one verifies:

$$m'_n = \left. \frac{\partial^n M(t)}{\partial t^n} \right|_{t=0}$$

Derivatives are first calculated at order  $n$  and then evaluated at  $t = 0$ .

- Also convenient to introduce a cumulant generating function according to :

$$G(t) \equiv \log (E[e^{tx}]) = \log M(t)$$

- Cumulants, often denoted  $C_n$ , are then computing according to

$$C_n = \left. \frac{\partial^n G(t)}{\partial t^n} \right|_{t=0}$$

- One similarly defines joint cumulants of multiple variables and joint generating functions.

See my textbook for details.

## Cumulants

- Given  $G(t) = \log[M(t)]$ , it is straightforward to express cumulants in terms of moments (and conversely) :

$$C_1 = m'_1$$

$$C_2 = m'_2 - m_1'^2$$

$$C_3 = m'_3 - 3m'_2m'_1 + 2m_1'^3$$

$$C_4 = m'_4 - 4m'_3m'_1 - 3m_2'^2 + 12m'_2m_1'^2 - 6m_1'^4$$

... and so on ...

- Measurements of **fluctuations of net quantum numbers**
  - **Cumulants  $C_n$  of Net Charge, Strangeness, Baryon number.**
  - **Related to QGP susceptibilities  $\chi_q^n$ .**
  - **Search for critical point of nuclear matter.**
- Measurements of particle **multiplicity fluctuations**
  - **Cumulants  $C_2$  of  $N_{ch}$ .**
  - **Nominally related to the compressibility of nuclear matter.**

## Generating Functions

- **Densities**

$$\rho_1^\alpha(\vec{p}) = \frac{dN}{d\vec{p}} \quad \rho_2^{\alpha\beta}(\vec{p}_1, \vec{p}_2) = \frac{d^2N}{d\vec{p}_1 d\vec{p}_2}, \dots$$

- **Factorial Moments are denoted  $f_n$ ,**

- Integrals of n-particle densities  $\rho_n(\vec{p}_1, \dots, \vec{p}_n)$

$$f_1^\alpha \equiv \int_{\Omega} \rho_1^\alpha(\vec{p}) d\vec{p} = \langle N^\alpha \rangle$$

→ **Average number of particles**

$$f_2^{\alpha\beta} \equiv \int_{\Omega} \rho_2^{\alpha\beta}(\vec{p}_1, \vec{p}_2) d\vec{p}_1 d\vec{p}_2 = \langle N^\alpha (N^\beta - \delta_{\alpha\beta}) \rangle$$

→ **Average number of pairs**

$$f_3^{\alpha\beta\gamma} \equiv \int_{\Omega} \rho_3^{\alpha\beta\gamma}(\vec{p}_1, \vec{p}_2, \vec{p}_3) d\vec{p}_1 d\vec{p}_2 d\vec{p}_3 = \langle N^\alpha (N^\beta - \delta_{\alpha\beta}) (N^\gamma - \delta_{\alpha\gamma} - \delta_{\beta\gamma}) \rangle$$

→ **Average number of triplets**

And so on...

- Factorial moments generating functions:

$$G_f(\vec{s}) \equiv \left\langle \prod_{\alpha=1}^K s_\alpha^{N_\alpha} \right\rangle = \sum_{\vec{N}} \left( \prod_{\alpha=1}^K (s_\alpha)^{N_\alpha} \right) P(\vec{N})$$

- $s_\alpha$  represent  $K$  distinct auxiliary variables associated with the  $K$  stochastic yields  $N_\alpha$ ,  $\alpha = 1, \dots, K$
- Introducing  $\partial_{s_\alpha} = \partial/\partial s_\alpha$ , one verifies

$$f_{\nu_1 \nu_2 \dots \nu_K} = \left. \partial_{s_K}^{\nu_K} \dots \partial_{s_2}^{\nu_2} \partial_{s_1}^{\nu_1} G_f \right|_{\vec{s}=1}$$

corresponding to mixed factorial moments of order  $\nu_1, \nu_2, \dots, \nu_K$  for species 1 to  $K$ .

## Factorial Cumulant and Generating Functions

- Provide **another tool to characterize particle production**
  - **Directly related to differential correlation functions**
- Definition of the **factorial cumulant generating function**:

$$G_F(\vec{s}) \equiv \ln G_f(\vec{s}) = \ln \sum_{\vec{N}} \left( \prod_{\alpha=1}^K (s_\alpha)^{N_\alpha} \right) P(\vec{N}),$$

- Factorial cumulants are defined and computed according to

$$F_{\nu_1 \nu_2 \dots \nu_K} \equiv \partial_{s_K}^{\nu_K} \dots \partial_{s_2}^{\nu_2} \partial_{s_1}^{\nu_1} G_F \Big|_{\vec{s}=1}$$

**Singles:**

$$F_1^\alpha = \partial_{s_\alpha} G_F \Big|_{\vec{s}=1} = \langle N^\alpha \rangle$$

**Pairs:**

$$\begin{aligned} F_2^{\alpha\beta} &= \partial_{s_\alpha} \partial_{s_\beta} G_F \Big|_{\vec{s}=1} \\ &= f_2^{\alpha\beta} - f_1^\alpha f_1^\beta = \langle N^\alpha (N^\beta - \delta_{\alpha\beta}) \rangle - \langle N^\alpha \rangle \langle N^\beta \rangle \end{aligned}$$

**Triplets:**

$$\begin{aligned} F_3^{\alpha\beta\gamma} &= \langle N^\alpha (N^\beta - \delta_{\alpha\beta}) (N^\gamma - \delta_{\alpha\gamma} - \delta_{\beta\gamma}) \rangle \\ &\quad - \langle N^\alpha (N^\beta - \delta_{\alpha\beta}) \rangle \langle N^\gamma \rangle - \langle N^\alpha (N^\gamma - \delta_{\alpha\gamma}) \rangle \langle N^\beta \rangle \\ &\quad - \langle N^\beta (N^\gamma - \delta_{\beta\gamma}) \rangle \langle N^\alpha \rangle + 2 \langle N^\alpha \rangle \langle N^\beta \rangle \langle N^\gamma \rangle \end{aligned}$$

And so on...

- **Factorial Cumulants are of interest because they identically vanish in the absence of correlations.**
- **Simple cumulants do not have that property.**

## Normalized Factorial Cumulants

It is also useful to define **normalized factorial cumulants**

$$R_2^{\alpha\beta} = \frac{F_2^{\alpha\beta}}{F_1^\alpha F_1^\beta} = \frac{\langle N^\alpha (N^\beta - 1) \rangle - \langle N^\alpha \rangle \langle N^\beta \rangle}{\langle N^\alpha \rangle \langle N^\beta \rangle}$$

$$R_2^{\alpha\beta} = \frac{\langle N^\alpha (N^\beta - 1) \rangle}{\langle N^\alpha \rangle \langle N^\beta \rangle} - 1$$

And similarly for higher orders:  $R_3^{\alpha\beta\gamma} = \frac{F_3^{\alpha\beta\gamma}}{F_1^\alpha F_1^\beta F_1^\gamma}$

...

**Normalized Factorial Cumulants also identically vanish in the absence of correlation (Poisson statistics)**

- Measurements of **fluctuations of relative species abundances.**

- e.g.,  $K^\pm$  vs.  $\pi^\pm$ .

- **With  $\nu_{\text{dyn}}$ :**

$$\nu_{\text{dyn}}^{\pi K} = R_2^{\pi\pi} + R_2^{KK} - 2R_2^{\pi K}$$

- **Related to the proximity of phase boundary and critical point.**

- Measurements of  $\pi^\pm$  vs.  $\pi^0$  and  $K^\pm$  vs.  $K^0$  **fluctuations.**

- **With  $\nu_{\text{dyn}}$ :**

$$\nu_{\text{dyn}}^{K^\pm K_s^0} = R_2^{K^\pm K^\pm} + R_2^{K_s^0 K_s^0} - 2R_2^{K^\pm K_s^0}$$

- **Possible signatures of the production of disoriented chiral condensates.**

- Measurements of average (event-wise) pT fluctuations.

- **Related to temperature fluctuations and the specific heat of nuclear matter.**

## 1/N Scaling

By construction, the **factorial cumulants  $F_n$  scale in proportion to the number  $m$  of (non interacting) sources.**

With  $m$  sources of particle and non interacting particle from these sources, one has

$$F_n^{(m)} = m F_n^{(1)}$$

$F_n^{(1)}$ : factorial cumulants for a single source

$F_n^{(m)}$ : factorial cumulants for a superposition of  $m$  independent sources.

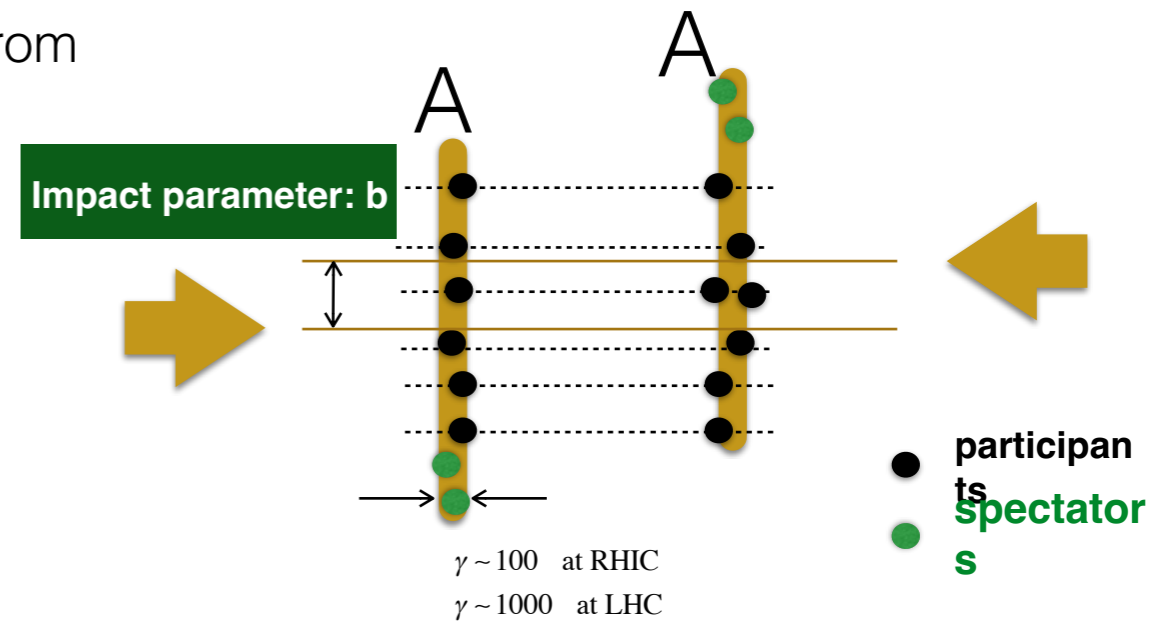
By construction of  $R_2$ , one gets:

$$R_2^{(m)} = \frac{F_2^{(m)}}{F_1^{(m)} F_1^{(m)}} = \frac{1}{m} R_2^{(1)}$$

**1/m referred to as dilution of the correlation strength** in the presence of a superposition of  $m$  independent and identical sources (on average).

By construction,  $\nu_{\text{dyn}}$  obeys the same scaling:

$$\nu_{\text{dyn}}^{(m)} = \frac{1}{m} \nu_{\text{dyn}}^{(1)}$$



$$R_2^{(m)} = \frac{R_2^{(1)}}{\langle N_{\text{ch}} \rangle}$$

$$\nu_{\text{dyn}}^{(m)} = \frac{\nu_{\text{dyn}}}{\langle N_{\text{ch}} \rangle}$$

## DCC or DIC?

J. Kapusta, S. Pratt, M., Singh, [2306.13280](#) [hep-ph]

- $I = 0$  iso-singlet:  $(\langle \bar{u}u \rangle + \langle \bar{d}d \rangle)/\sqrt{2}$ 
  - Lowest excitation:  $f_0(500)$  or  $\sigma$  meson.
- $I = 1$  iso-triplet:  $\langle \bar{d}u \rangle, (\langle \bar{u}u \rangle - \langle \bar{d}d \rangle)/\sqrt{2}, \langle \bar{u}d \rangle$ 
  - Lowest excitations:  $a_0^+, a_0^0, a_0^-,$  i.e,  $a_0(980)$
- If only  $I = 0$  field were present,
  - It should **couple equally to charged and neutral kaons.**
- If both  $I = 1, I_3 = 0$  and  $I = 0, I_3 = 0$  contribute in similar amounts,
  - They could combine to form nearly all  $\langle \bar{u}u \rangle$  or all  $\langle \bar{d}d \rangle$  condensates.
  - Provides seed for the formation of charged and neutral kaons, respectively... leading to isospin kaon fluctuations.
  - Authors given concrete estimates of number of DIC needed to explain measured values given the observed number of kaons.
- ***“Although the DIC mechanism investigated here is speculative, it seems to be the least questionable explanation for the ALICE measurement of  $\nu_{\text{dyn}}$  thus far”.***

# Past theoretical and experimental studies

- **Found 138 publications on DCCs in [inspirehep.net](https://inspirehep.net)**
- Mostly theoretical works on DCCs in the **pion sector**
  - *Evidences for New Type of Cosmic Ray Nuclear Interactions Named CENTAURO*, M. Tamada, Nuovo Cim B41 (1977) 245.
  - *Explosive Quark Matter and the CENTAURO Event*, J.D. Bjorken and L. McLerran, PRD 20 (1979) 2353.
  - ***Baked Alaska***, J.D. Bjorken et al. SLAC-PUB-6109.
- **Few theoretical works on strange DCCs**
  - *Is anomalous production of Omega and anti-Omega evidence for disoriented chiral condensates?*, J. Kapusta, et al., PRL 86 (2001) 4251.
  - ***Kaon and pion fluctuations from small disoriented chiral condensates***, S.Gavin, J. Kapusta, PRC 65 (2002) 054910.
  - Strange disoriented chiral condensate, S. Gavin, 18th WWND (2002).
- Very few experimental searches — **All with negative or non-conclusive results:**
  - Minimax @ Tevatron: J.D. Bjorken et al., PRD 55 (1997) 5667; T.C. Brooks et al., PRD 61 (2000) 032003.
  - WA98 @ SPS: T. K. Nayak, Nucl.Phys. A 638 (1998) 249c; M.M. Aggarwal, PLB 701 (2001) 300.
  - STAR @ RHIC: S.M. Dogra et al., J. Phys.G 35 (2008) 104094.
  - E864 @ AGS: P. Fachini (Thesis, Wayne State), et al., APS Meeting, (1999).