

# Probing strongly interacting matter with clusters

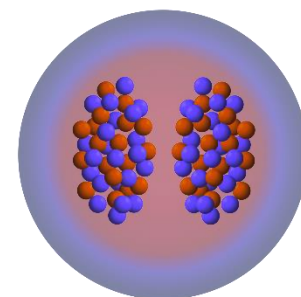
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&

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Vadym Voronyuk, Christoph Blume, Vadim Kolesnikov, Michael Winn**

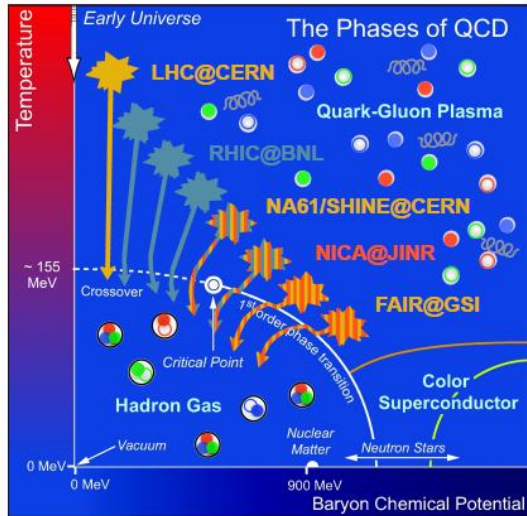


The 39th edition of the Winter Workshop on Nuclear Dynamics  
February 11-17th 2024  
Snowking Resort Hotel in Jackson, Wyoming, USA

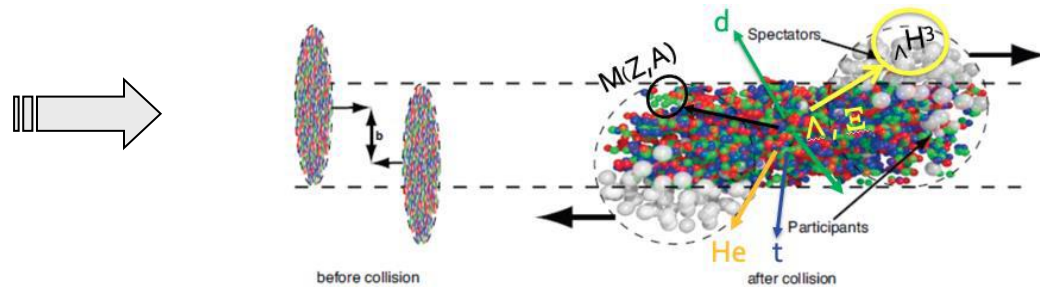


# Cluster production in heavy-ion collisions

## The phase diagram of QCD

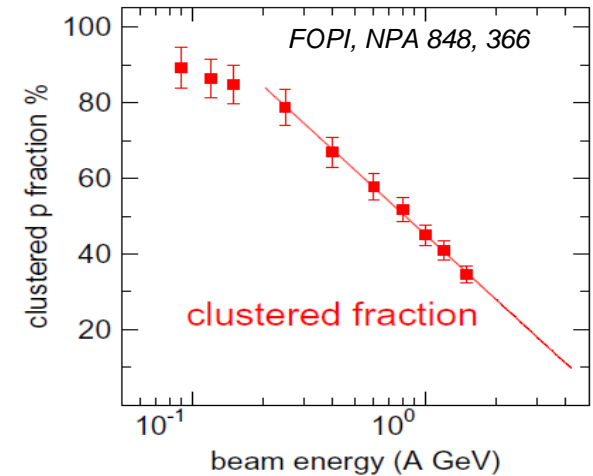


## Clusters and (anti-) hypernuclei are observed experimentally at all energies

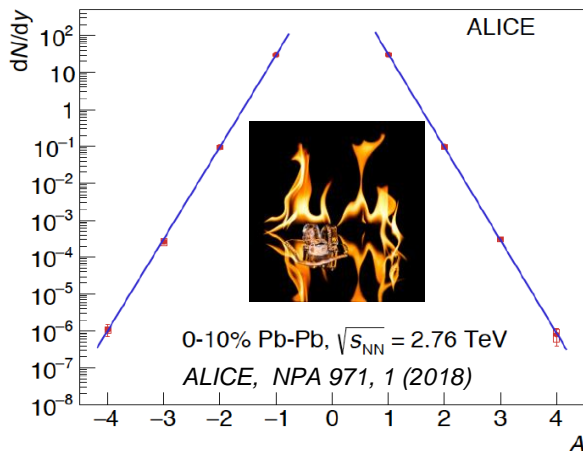


Clusters are very abundant at low energy

High energy HIC: 'Ice in a fire' puzzle: how the weakly bound objects can be formed and survive in a hot environment?!



Au+Au, central, midrapidity



➔ Mechanisms of cluster formation in strongly interacting matter are not well understood

# Modeling of cluster and hypernuclei formation

## Existing models for cluster formation:

### □ statistical model:

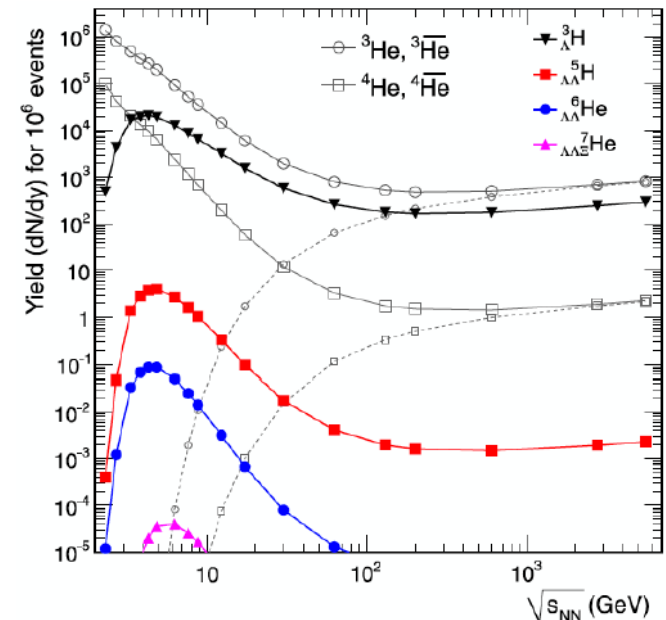
- assumption of thermal equilibrium

### □ coalescence model:

- determination of clusters at a freeze-out time by coalescence radii in coordinate and momentum space

→ don't provide information on the dynamical origin of cluster formation

A. Andronic et al., PLB 697, 203 (2011)



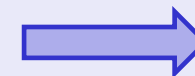
In order to understand the **microscopic origin** of cluster formation one needs a realistic model for the **dynamical time evolution** of the HIC

### → transport models:

**dynamical modeling of cluster formation** based on interactions:

- via potential interaction – ‘**potential**’ mechanism

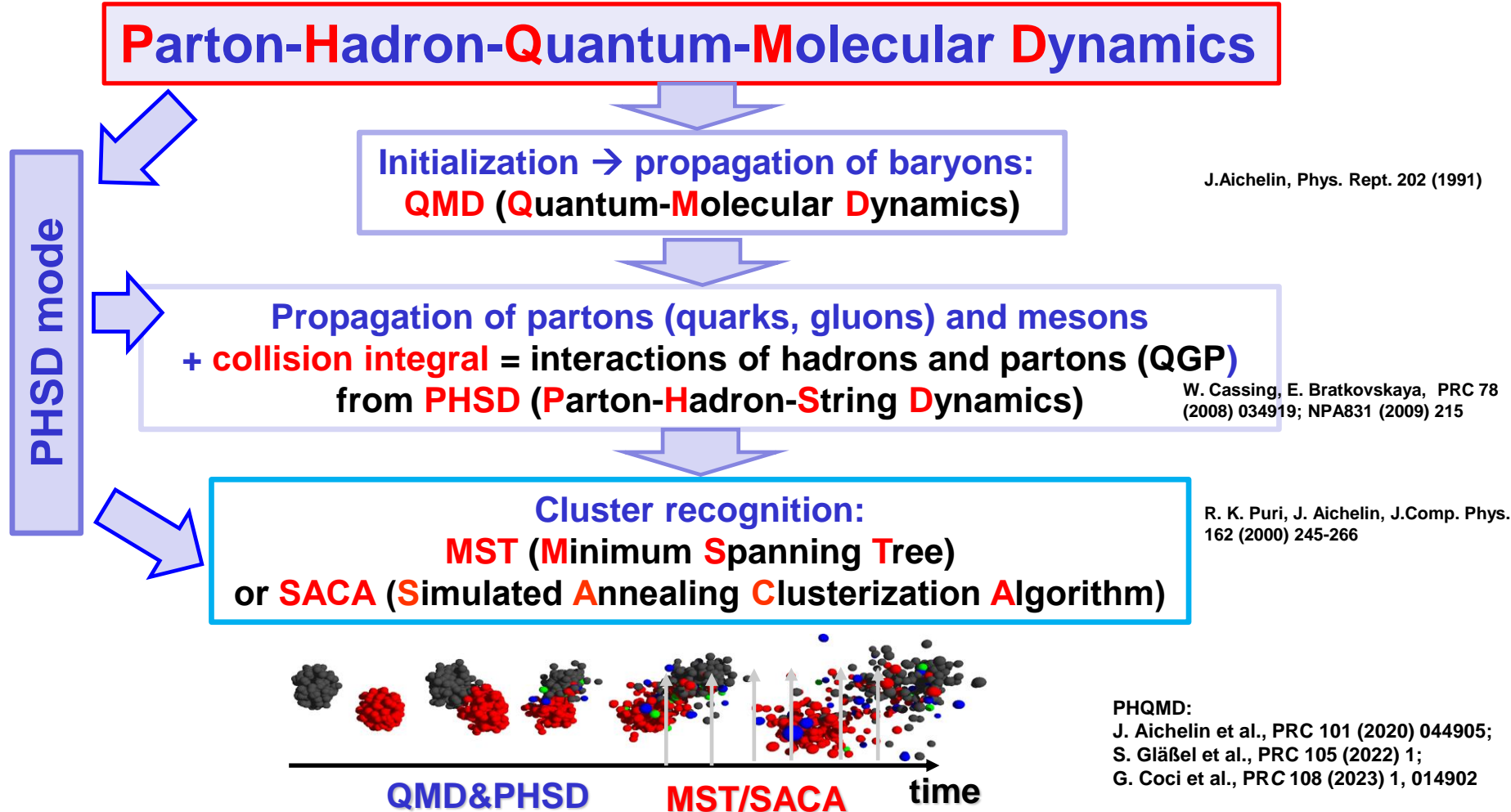
-- by scattering – ‘**kinetic**’ mechanism





**PHQMD:** a **unified** n-body microscopic transport approach for the description of heavy-ion collisions and **dynamical cluster formation** from low to ultra-relativistic energies

**Realization:** combined model **PHQMD = (PHSD & QMD) + (MST/SACA)**



# QMD propagation (EoM)

□ **Generalized Ritz variational principle:**  $\delta \int_{t_1}^{t_2} dt \langle \psi(t) | i \frac{d}{dt} - H | \psi(t) \rangle = 0.$

Assume that  $\psi(t) = \prod_{i=1}^N \psi(\mathbf{r}_i, \mathbf{r}_{i0}, \mathbf{p}_{i0}, t)$  for N particles (neglecting antisymmetrization !)

**Ansatz: trial wave function** for one particle “i” :

[Aichelin, Phys. Rept. 202 (1991)]

**Gaussian** with width  $L$  centered at  $r_{i0}, p_{i0}$

$$\psi(\mathbf{r}_i, \mathbf{r}_{i0}, \mathbf{p}_{i0}, t) = C e^{-\frac{1}{4L} \left( \mathbf{r}_i - \mathbf{r}_{i0}(t) - \frac{\mathbf{p}_{i0}(t)}{m} t \right)^2} \cdot e^{i \mathbf{p}_{i0}(t) (\mathbf{r}_i - \mathbf{r}_{i0}(t))} \cdot e^{-i \frac{\mathbf{p}_{i0}^2(t)}{2m} t}$$

$$L = 4.33 \text{ fm}^2$$

□ **Equations-of-motion (EoM)** for **Gaussian centers** in coordinate and momentum space:

$$\dot{r}_{i0} = \frac{\partial \langle H \rangle}{\partial p_{i0}} \quad \dot{p}_{i0} = - \frac{\partial \langle H \rangle}{\partial r_{i0}}$$

**Hamiltonian:**  $H = \sum_i H_i = \sum_i (T_i + V_i) = \sum_i (T_i + \sum_{j \neq i} V_{i,j})$

**2-body potential:**  $V_{i,j} = V(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_{i0}, \mathbf{r}_{j0}, t)$

- Nucleon-nucleon **local** two-body momentum dependent potential:

$$\begin{aligned}
 V_{ij} &= V(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_{i0}, \mathbf{r}_{j0}, \mathbf{p}_{i0}, \mathbf{p}_{j0}, t) \\
 &= V_{\text{Skyrme loc}} + V_{\text{mom}} + V_{\text{Coul}} \\
 &= \frac{1}{2} t_1 \delta(\mathbf{r}_i - \mathbf{r}_j) + \frac{1}{\gamma + 1} t_2 \delta(\mathbf{r}_i - \mathbf{r}_j) \rho^{\gamma-1}(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_{i0}, \mathbf{r}_{j0}, t) \quad \text{Skyrme} \\
 &+ \underbrace{V(\mathbf{r}_i, \mathbf{r}_j, \mathbf{p}_{i0}, \mathbf{p}_{j0})}_{\text{momentum dependent}} + \frac{1}{2} \frac{Z_i Z_j e^2}{|\mathbf{r}_i - \mathbf{r}_j|} \quad \text{Coulomb}
 \end{aligned}$$

- The **single-particle potential** resulting from the convolution of the distribution functions  $f_i$  and  $f_j$  with the interactions  $V_{\text{Skyrme}} + V_{\text{mom}}$  (local interactions including their momentum dependence) for **symmetric nuclear matter**:

## 1) Skyrme potential ('static') :

$$\langle V_{\text{Skyrme}}(\mathbf{r}_{i0}, t) \rangle = \alpha \left( \frac{\rho_{\text{int}}(\mathbf{r}_{i0}, t)}{\rho_0} \right) + \beta \left( \frac{\rho_{\text{int}}(\mathbf{r}_{i0}, t)}{\rho_0} \right)^\gamma$$

with modified **interaction density** (with relativistic extension):

$$\begin{aligned}
 \rho_{\text{int}}(\mathbf{r}_{i0}, t) &\rightarrow C \sum_j \left( \frac{4}{\pi L} \right)^{3/2} e^{-\frac{4}{L} (\mathbf{r}_{i0}^T(t) - \mathbf{r}_{j0}^T(t))^2} \\
 &\times e^{-\frac{4\gamma_{cm}^2}{L} (\mathbf{r}_{i0}^L(t) - \mathbf{r}_{j0}^L(t))^2},
 \end{aligned}$$

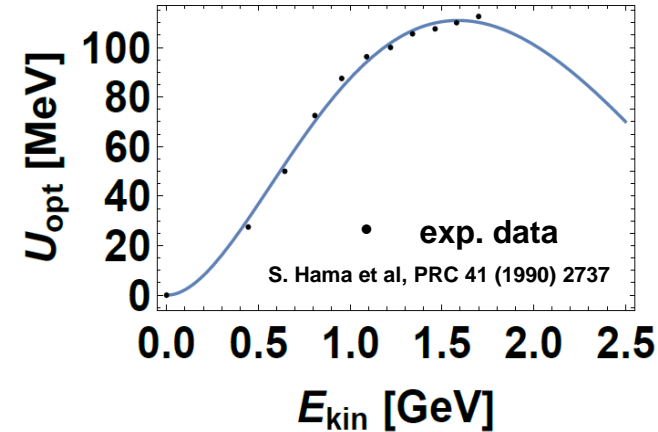
## 2) Momentum dependent potential :

$$V(\mathbf{r}_1, \mathbf{r}_2, \mathbf{p}_{01}, \mathbf{p}_{02}) = (a\Delta p + b\Delta p^2) \exp[-c\sqrt{\Delta p}] \delta(\mathbf{r}_1 - \mathbf{r}_2)$$

$$\Delta p = \sqrt{(\mathbf{p}_{01} - \mathbf{p}_{02})^2}$$

Parameters **a**, **b**, **c** are fitted to the "optical" potential (Schrödinger equivalent potential  $U_{SEP}$ ) extracted from elastic scattering data in pA:

$$U_{SEQ}(p) = \frac{\int^{p_F} V(\mathbf{p} - \mathbf{p}_1) d\mathbf{p}_1^3}{\frac{4}{3}\pi p_F^3}$$



❖ In infinite matter a potential corresponds to the EoS:

$$E/A(\rho) = \frac{3}{5}E_F + V_{Skyrme\ stat}(\rho) + V_{mom}(\rho)$$

$$V_{mom} = (a\Delta p + b\Delta p^2) \exp(-c\sqrt{\Delta p}) \frac{\rho}{\rho_0}$$

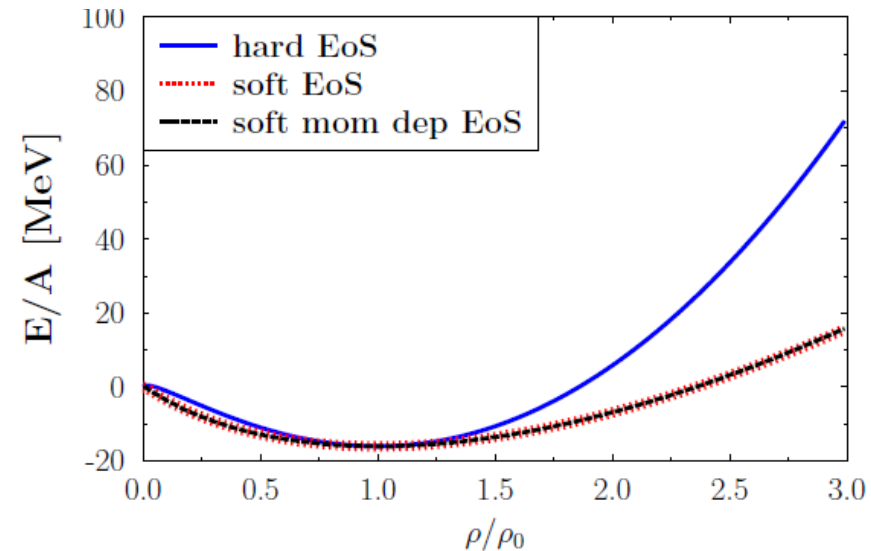
$$V_{Skyrme} = \alpha \frac{\rho}{\rho_0} + \beta \frac{\rho}{\rho_0}^\gamma$$

compression modulus **K** of nuclear matter:

$$K = -V \frac{dP}{dV} = 9\rho^2 \frac{\partial^2(E/A(\rho))}{(\partial\rho)^2} \Big|_{\rho=\rho_0}$$

E.o.S.	$\alpha$ [MeV]	$\beta$ [MeV]	$\gamma$	K [MeV]
S	-383.5	329.5	1.15	200
H	-125.3	71.0	2.0	380
SM	-478.87	413.76	1.10	200
a [MeV <sup>-1</sup> ] b[MeV <sup>-2</sup> ] c[MeV <sup>-1</sup> ]				
	236.326	-20.73	0.901	

EoS for infinite cold nuclear matter at rest



**Mechanisms for cluster production in  
PHQMD:  
I. potential interactions (MST)  
&  
II. kinetic reactions**





# I. Cluster recognition: Minimum Spanning Tree (MST)

R. K. Puri, J. Aichelin, J.Comp. Phys. 162 (2000) 245-266

The **Minimum Spanning Tree (MST)** is a **cluster recognition** method applicable for the (asymptotic) **final states** where coordinate space correlations may only survive for bound states.

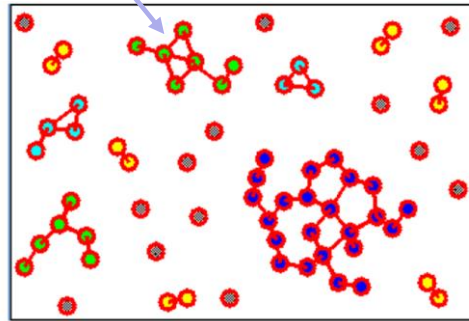
The MST algorithm searches for **accumulations of particles in coordinate space**:

1. Two particles are 'bound' if their **distance in the cluster rest frame** fulfills

$$|\vec{r}_i - \vec{r}_j| \leq 4 \text{ fm}$$

2. Particle is **bound to a cluster** if it binds with **at least one particle of the cluster**

\* Remark: inclusion of an additional momentum cut (coalescence) leads to small changes: particles with large relative momentum are mostly not at the same position (V. Kireyeu, Phys.Rev.C 103 (2021) 5)



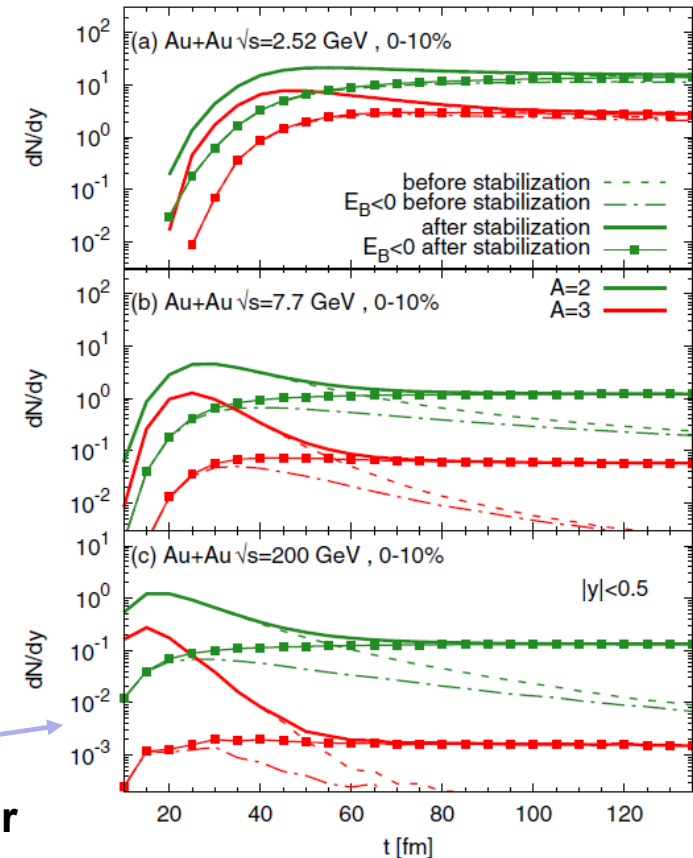
**New: Advanced MST (aMST)**

❑ **MST + extra condition:  $E_B < 0$**

**negative binding energy** for identified clusters

❑ **Stabilization procedure** – to correct artifacts of the semi-classical QMD:

recombine the final “lost” nucleons back into cluster if they left the cluster without rescattering



# II. Deuteron production by hadronic reactions

## “Kinetic mechanism”

- 1) hadronic inelastic reactions  $NN \leftrightarrow d\pi$ ,  $\pi NN \leftrightarrow d\pi$ ,  $NNN \leftrightarrow dN$
- 2) hadronic elastic  $\pi+d$ ,  $N+d$  reactions

SMASH: D. Oliinychenko et al., PRC 99 (2019) 044907;  
 J. Staudenmaier et al., PRC 104 (2021) 034908  
 AMPT: R.Q. Wang et al. PRC 108 (2023) 3

- Collision rate for hadron “i” is the number of reactions in the covariant volume  $d^4x = dt*dV$
- With test particle ansatz the transition rate for  $3 \rightarrow 2$  reactions:

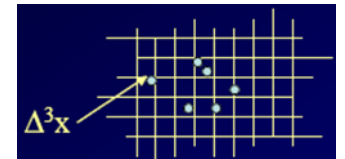
W. Cassing, NPA 700 (2002) 618

$$\frac{\Delta N_{coll}[3 + 4 + 5 \rightarrow 1(d) + 2]}{\Delta N_3 \Delta N_4 \Delta N_5} = P_{3,2}(\sqrt{s})$$

$$P_{3,2}(\sqrt{s}) = F_{spin} F_{iso} P_{2,3}(\sqrt{s}) \frac{E_1^f E_2^f}{2E_3 E_4 E_5} \frac{R_2(\sqrt{s}, m_1, m_2)}{R_3(\sqrt{s}, m_3, m_4, m_5)} \frac{1}{\Delta V_{cell}}$$

Energy and momentum of final particles

2,3-body phase space integrals  
 [Byckling, Kajantie]



$$P_{2,3}(\sqrt{s}) = \sigma_{tot}^{2,3}(\sqrt{s}) v_{rel} \frac{\Delta t}{\Delta V_{cell}}$$

→ solved by stochastic method

- Numerically tested in “static” box: PHQMD provides a good agreement with analytic solutions from rate equations and with SMASH for the same selection of reactions
- New in PHQMD:  $\pi+N+N \leftrightarrow d+\pi$  inclusion of all possible isospin channels allowed by total isospin T conservation → enhancement of the d production

- $\pi^{\pm,0} + p + n \leftrightarrow \pi^{\pm,0} + d$
- $\pi^- + p + p \leftrightarrow \pi^0 + d$
- $\pi^+ + n + n \leftrightarrow \pi^0 + d$
- $\pi^0 + p + p \leftrightarrow \pi^+ + d$
- $\pi^0 + n + n \leftrightarrow \pi^- + d$

How to account for the **quantum nature of deuteron**, i.e. for

- 1) the **finite-size of  $d$  in coordinate space** ( $d$  is not a point-like particle) – for in-medium  $d$  production
- 2) the **momentum correlations of  $p$  and  $n$  inside  $d$**

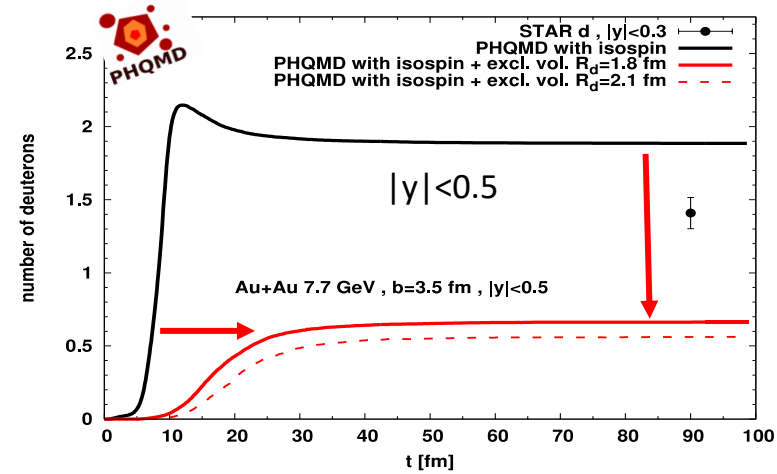
## Realization:

1) assume that a deuteron can not be formed in a high density region, i.e. if there are other particles (hadrons or partons) inside the ‘excluded volume’:

**Excluded-Volume Condition:**

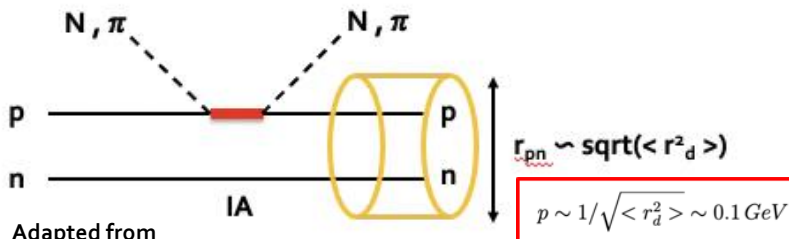
$$|\vec{r}(i)^* - \vec{r}(d)^*| < R_d$$

- ❑ **Strong reduction of  $d$  production**
- ❑  **$p_T$  slope is not affected by excluded volume condition**

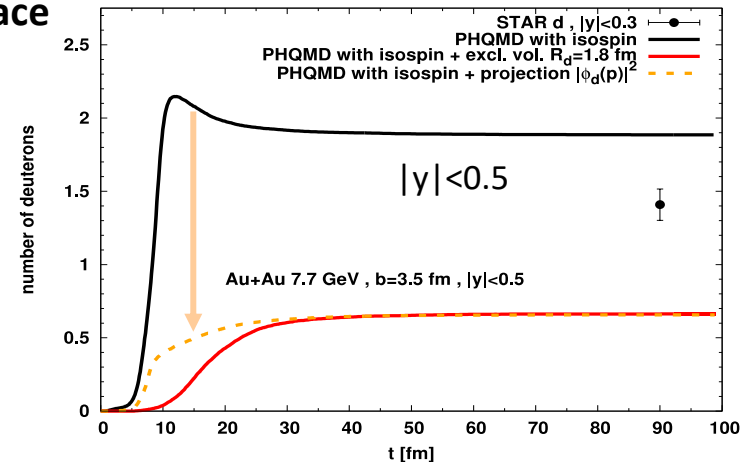


2) QM properties of deuteron must be also in momentum space

→ **momentum correlations of  $pn$ -pair**



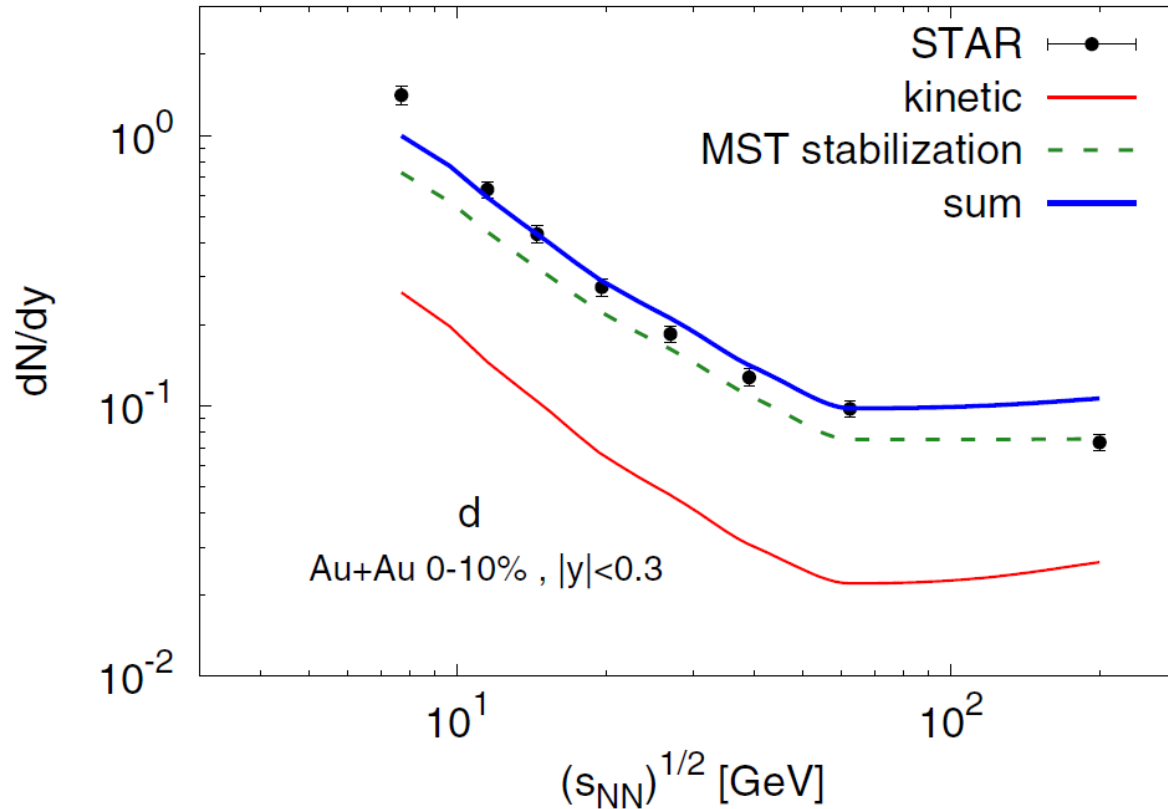
Adapted from  
 [Haidelbauer, Uzikov PLB 562(2003)]  
 [Hoftiezer et al. PRC23 (1981)]  
 Same spirit as AMPT [ K.-J. Sun, R. Wang, C.-M. Ko et al., 2106.12742]



- ❑ **Strong reduction of  $d$  production by projection on DWF  $|\phi_d(p)|^2$**

# Kinetic vs. potential deuteron production

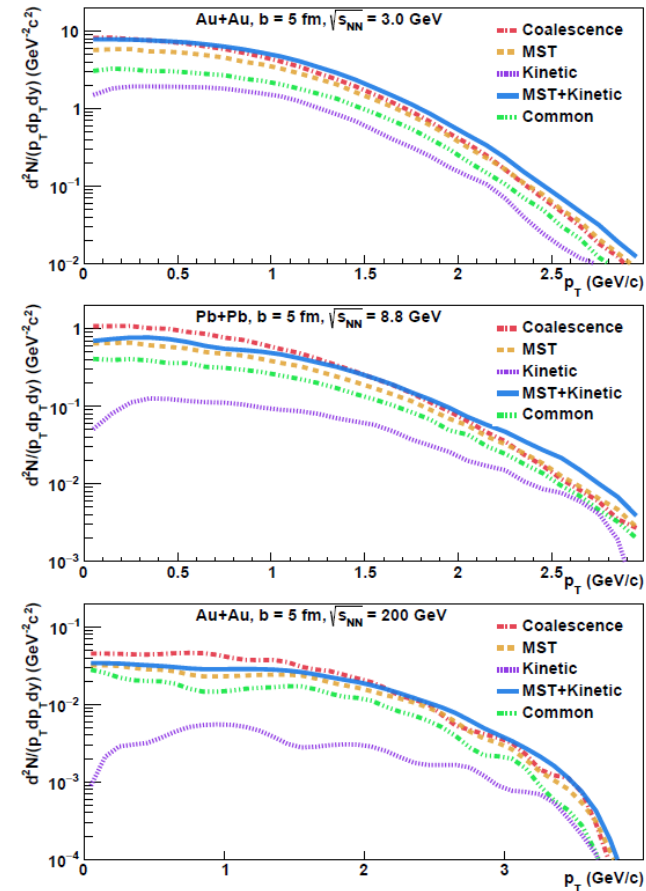
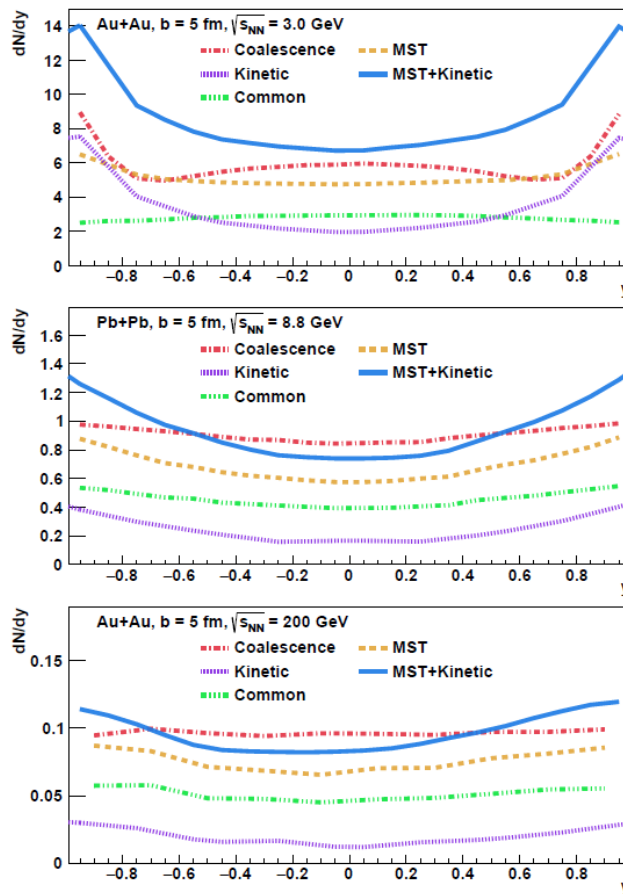
Excitation function  $dN/dy$  of deuterons at midrapidity



- ❑ PHQMD provides a good description of STAR data
- ❑ **The potential mechanism is dominant for d production at all energies!**

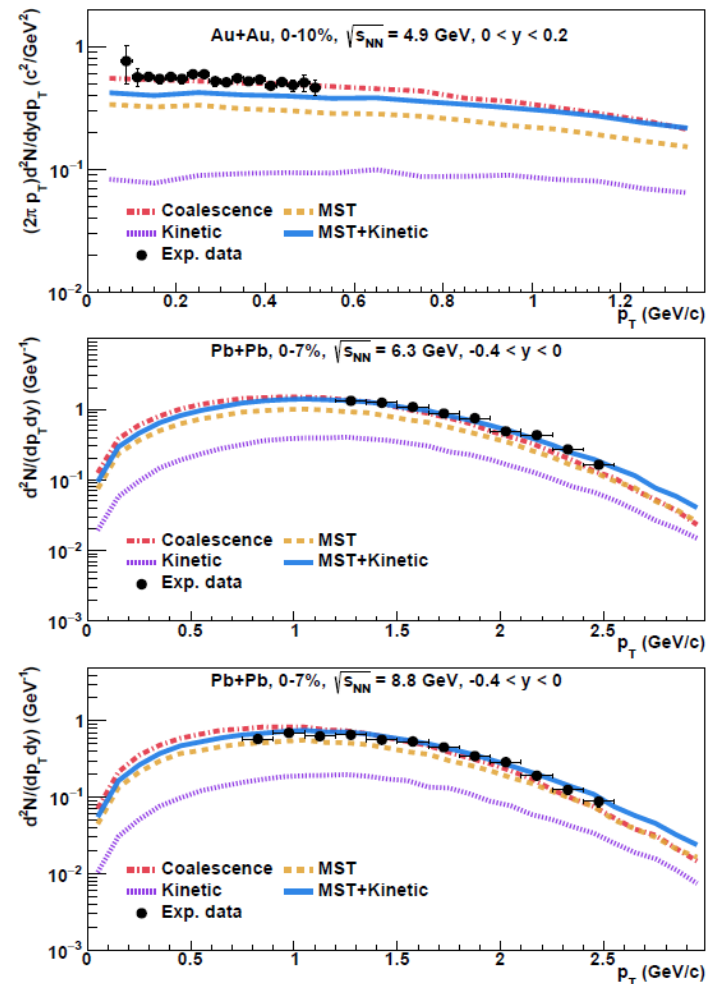
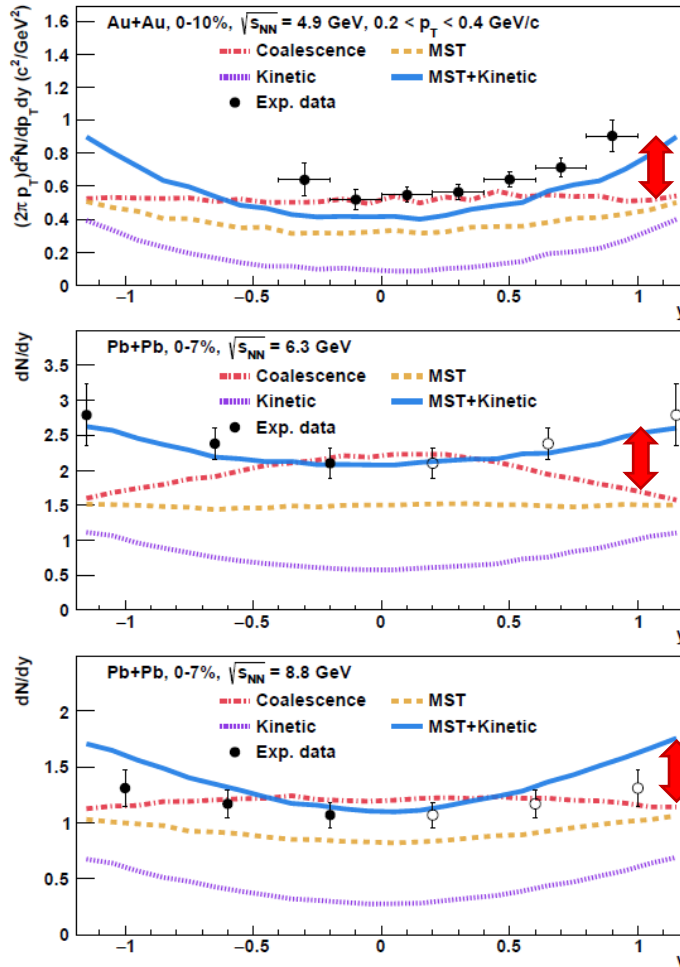
# Deuterons near midrapidity - can the production mechanism be identified experimentally?

3 mechanisms: **coalescence** at kinetic freeze-out, **kinetic** and potential (**MST**) productions  
 “Common”: only about 20% of the MST deuterons are also identified as deuterons by coalescence



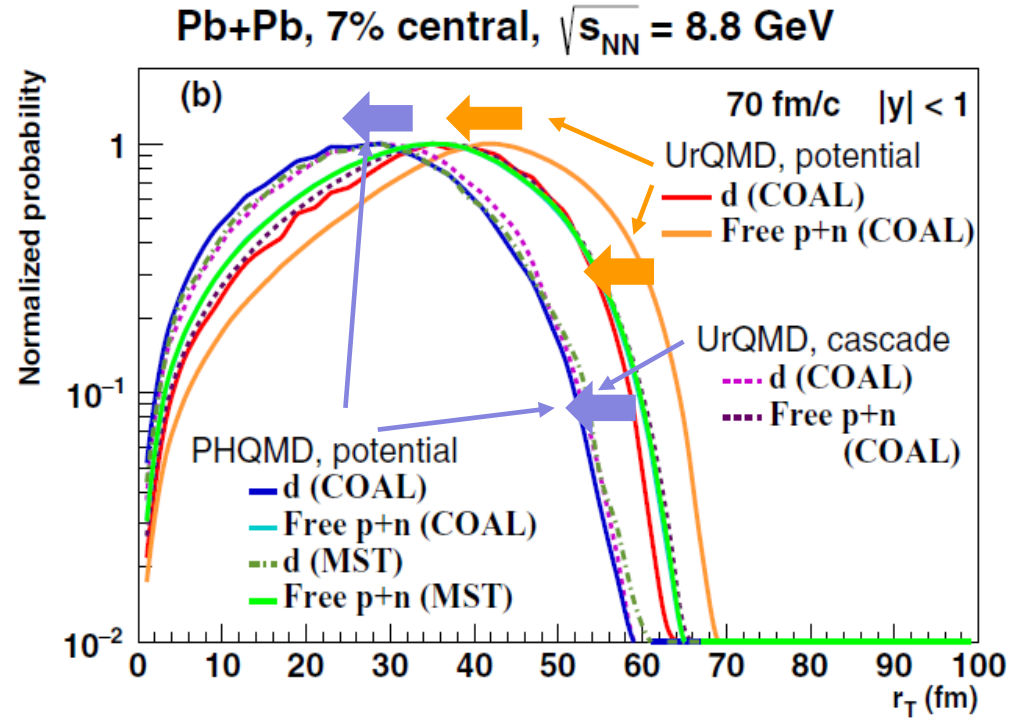
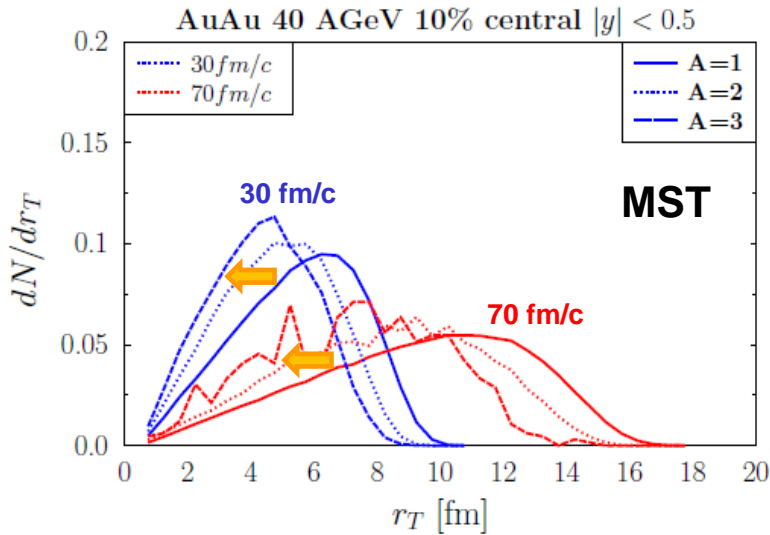
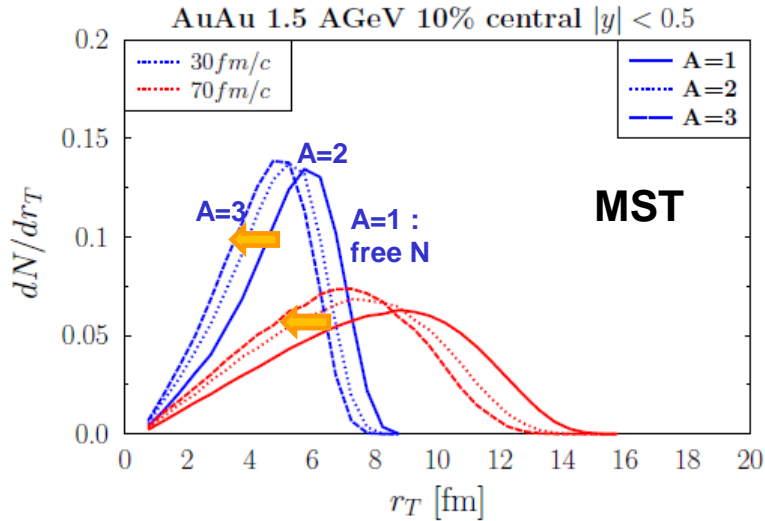
Observables, which are **sensitive to the deuteron production mechanism**:  
 the **rapidity distribution** has a different form while the **transverse momentum distribution** has a different slope at low  $p_T$

# Mechanism for cluster production: theory versus experimental data



The analysis of the presently available data **points tentatively to the MST + kinetic scenario** but further experimental data are necessary to establish this mechanism.

# PHQMD and UrQMD: Where clusters are formed?



- ➔ **Coalescence as well as the MST procedure** show that the **deuterons remain in transverse direction closer to the center of the heavy-ion collision than free nucleons**
- ➔ **deuterons are behind the fast nucleons (and pion wind)**

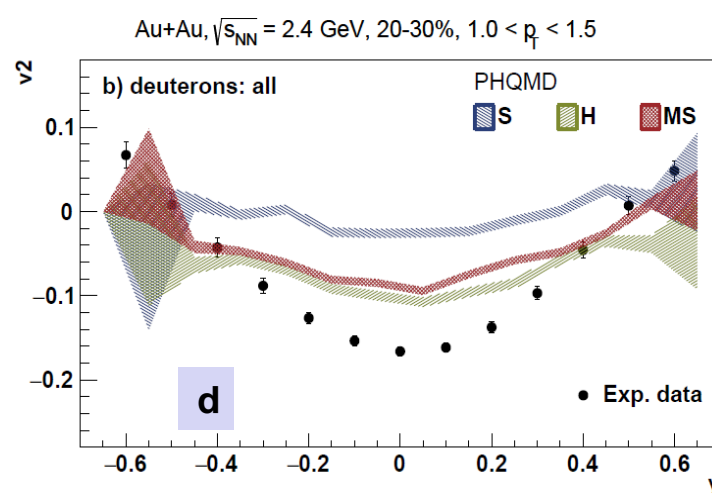
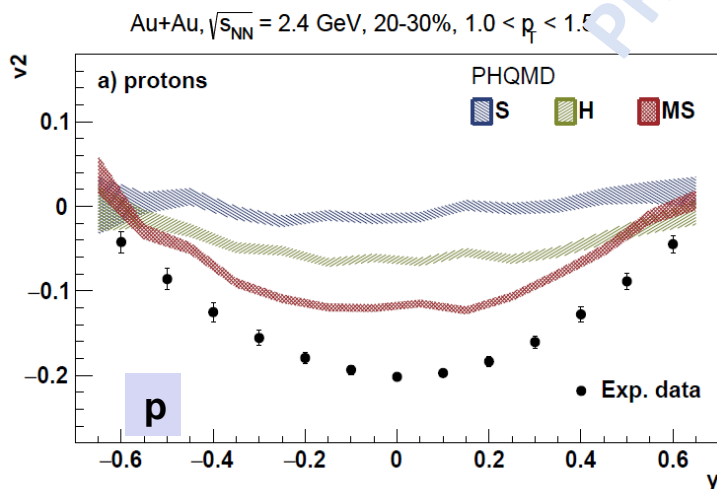
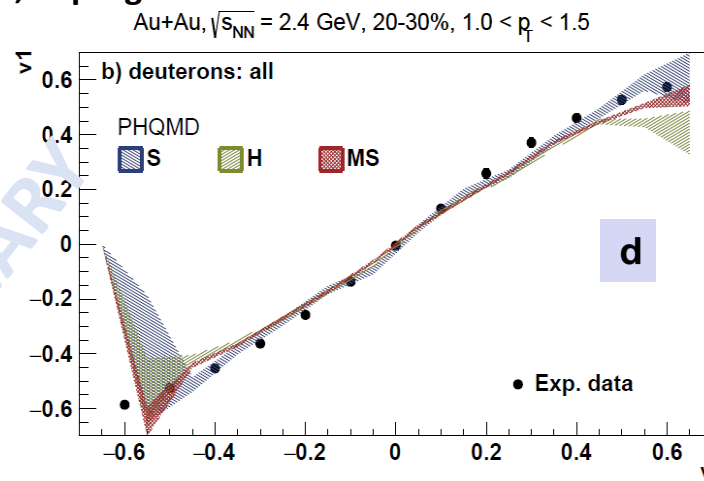
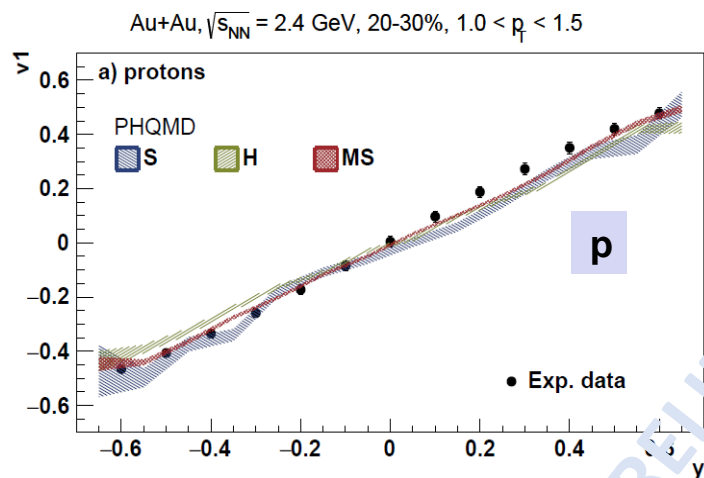
**$v_1, v_2$  with different EoS**  
**New in PHQMD: momentum dependent potential**





# EoS dependence of $v_1$ , $v_2$ at SIS energies

Viktar Kireyeu, in progress



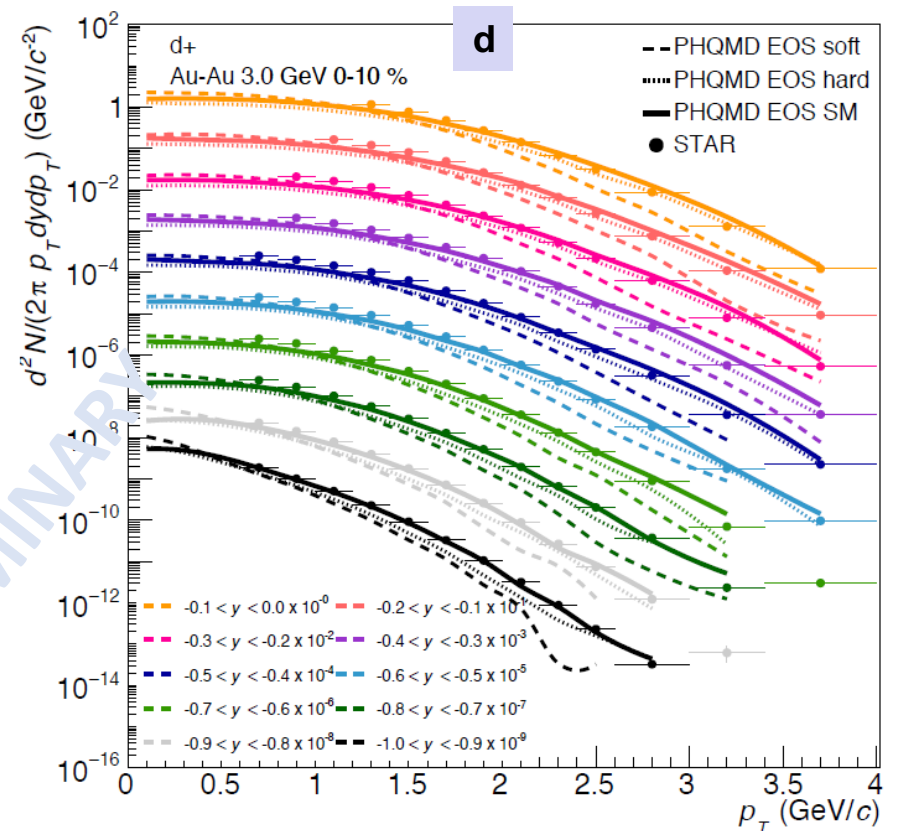
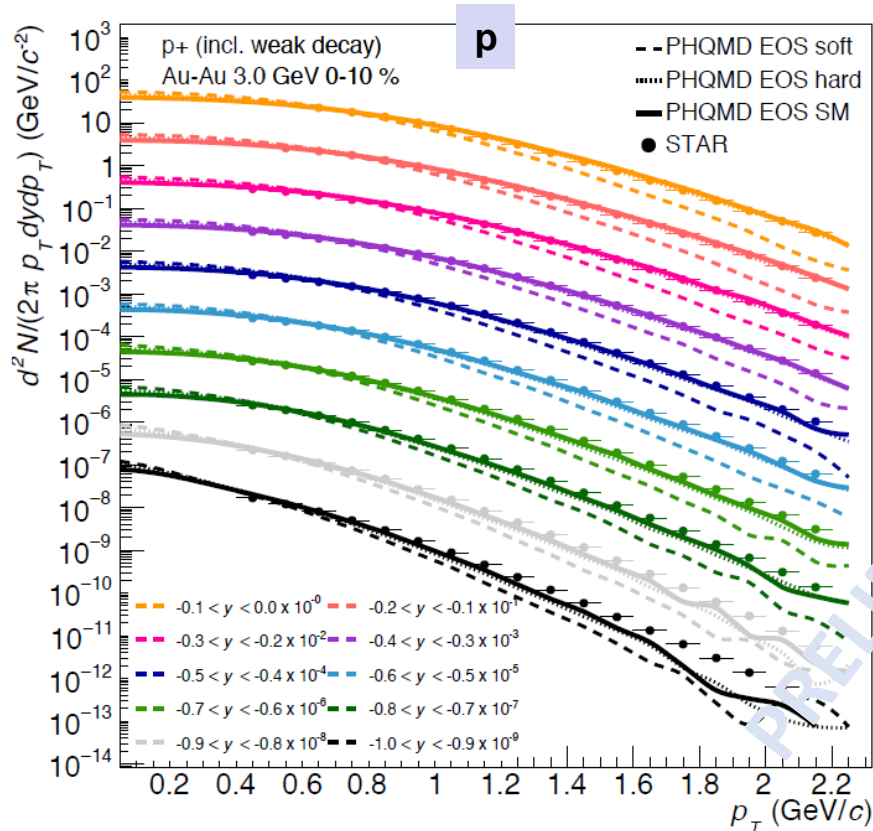
S= soft EoS, H=hard EoS, SM = soft momentum dependent EoS

HADES data: of  $v_1$ ,  $v_2$  at high  $p_T$ :  $1.0 < p_T < 1.5$  GeV/c

Strong EoS dependence of  $v_2$

HADES data favor a soft momentum dependent potential (SM)

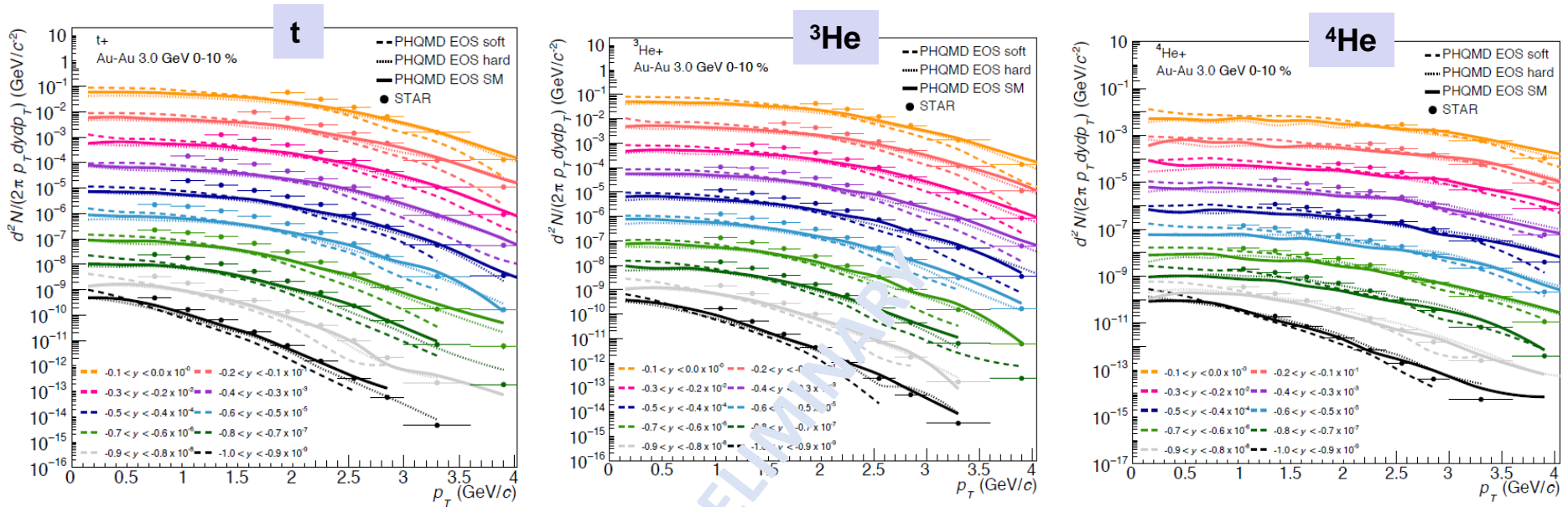
Susanne Gläsel, in progress



S= soft EoS, H=hard EoS, SM = soft momentum dependent EoS

- Visible dependence of the  $p_T$  spectra of protons and deuterons EoS
- STAR**  $p_T$  data favor a **hard or soft-momentum dependent potential (H/SM)**

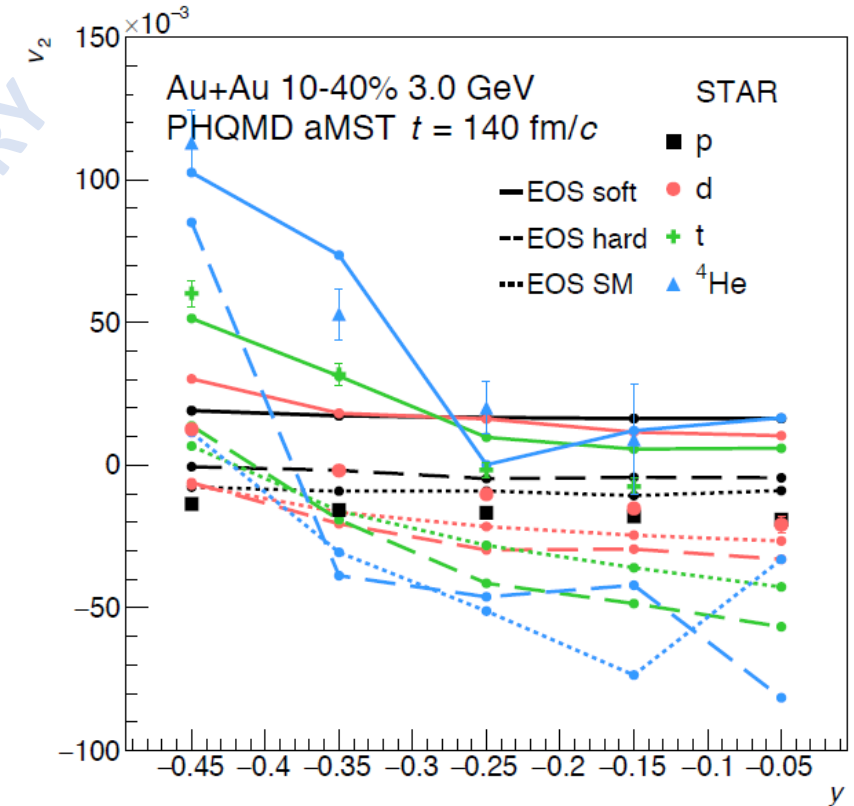
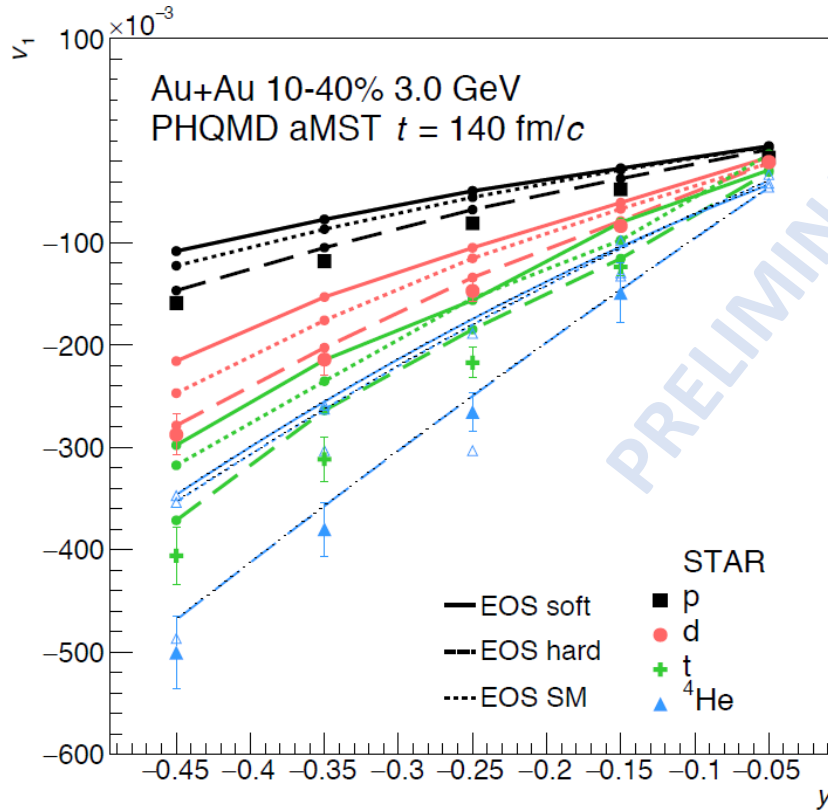
Susanne Gläsel, in progress



S= soft EoS, H=hard EoS, SM = soft momentum dependent EoS

- Visible dependence of  $p_T$  spectra of  $t$ ,  ${}^3\text{He}$ ,  ${}^4\text{He}$  on EoS
- STAR  $p_T$  data favor a hard or soft-momentum dependent potential (H/SM)

Susanne Gläsel, in progress



- Strong EoS dependence of  $v_1, v_2$
- STAR data favor a hard EoS or soft momentum dependent (H/SM)
- Influence of momentum dependent potential on flow  $v_n$  decreases with increasing energy

# Summary

The **PHQMD** is a **microscopic n-body transport approach** for the description of heavy-ion dynamics and cluster and hypernuclei formation identified by **Minimum Spanning Tree** model

combined model **PHQMD** = (PHSD & QMD) & (MST | SACA)

Clusters are formed **dynamically**

1) by **potential interactions** among nucleons and hyperons

**Novel development: momentum dependent potential with soft EoS**

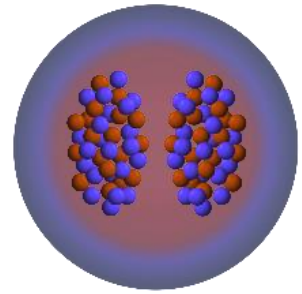
2) by **kinetic mechanism** for d : hadronic inelastic reactions  $NN \leftrightarrow d\pi$  ,  $\pi NN \leftrightarrow d\pi$  ,  $NNN \leftrightarrow dN$

with inclusion of **all possible isospin channels** which enhance d production

+ accounting of **quantum properties of d**, modelled by the finite-size excluded volume effect in coordinate space and projection of relative momentum of p+n pair on d wave-function in momentum space which leads to a **strong reduction** of d production



- ❑ The PHQMD reproduces cluster and hypernuclei data on  $dN/dy$  and  $dN/dp_T$  as well as **ratios  $d/p$**  and  $\bar{d}/\bar{p}$  for heavy-ion collisions from AGS to top RHIC energies.
- ❑ Measurement of  **$dN/dy$**  beyond mid-rapidity will allow to **distinguish the mechanisms for cluster production: coalescence versus dynamical cluster production** recognized by MST + kinetic mechanism for deuterons
- ❑ **Strong dependence of  $p_T$ -spectra and  $v_1, v_2$  on EoS** - soft, hard, soft-mom. dependent - at SIS energies
- ❑ The influence of  $U(p)$  decreases with increasing collision energy since the modelled  $U_{SEP}(p)$  has a maximum at energy 1.5 GeV and decreases for large  $p \leftarrow$  no exp. data for extrapolation of  $U_{SEP}(p)$  to large  $p$ !
- ❑ HADES data data on  $v_1, v_2$  favour **a soft momentum dependent potential (SM)**
- ❑ STAR data at 3 GeV favour a hard EoS or SM
- ❑ Stable **clusters are formed** shortly after elastic and inelastic collisions have ceased and behind the front of the expanding energetic hadrons (similar results within PHQMD and UrQMD)  
 ➔ **since the 'fire' is not at the same place as the 'ice', cluster can survive**



**Thank you for your attention !**

**Thanks to the Organizers !**