

# Probing jet substructure with energy correlators in pp collisions at 13 TeV with ALICE

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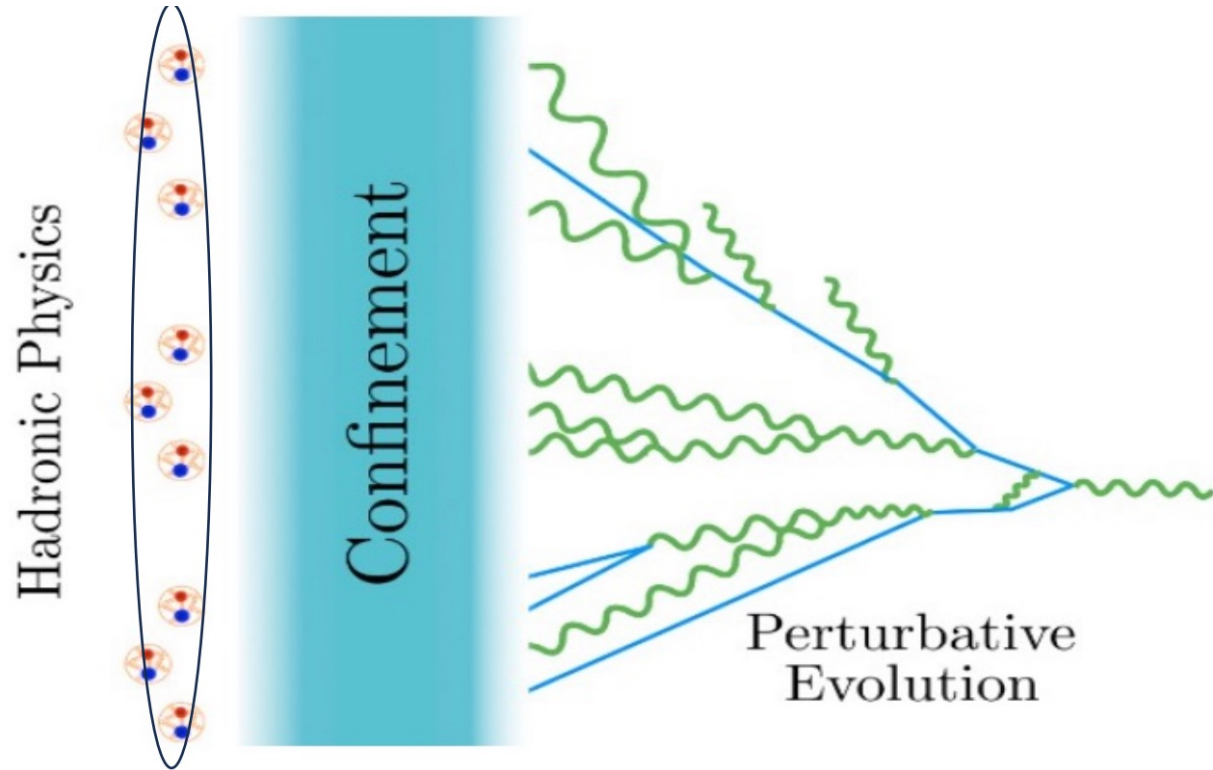
for the ALICE collaboration

39<sup>th</sup> Winter Workshop on Nuclear Dynamics  
February 13, 2024



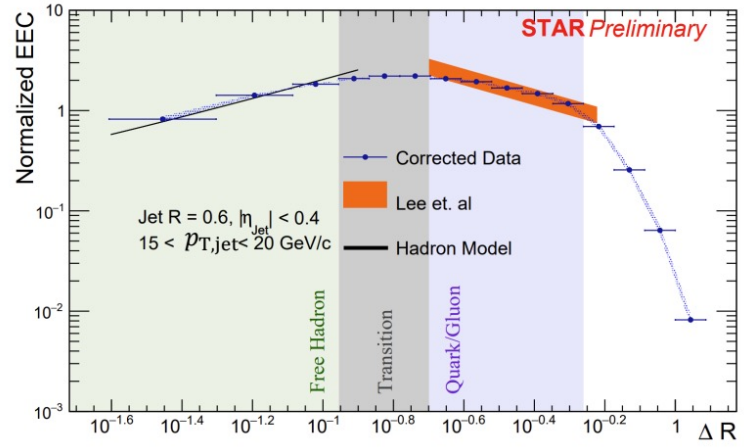
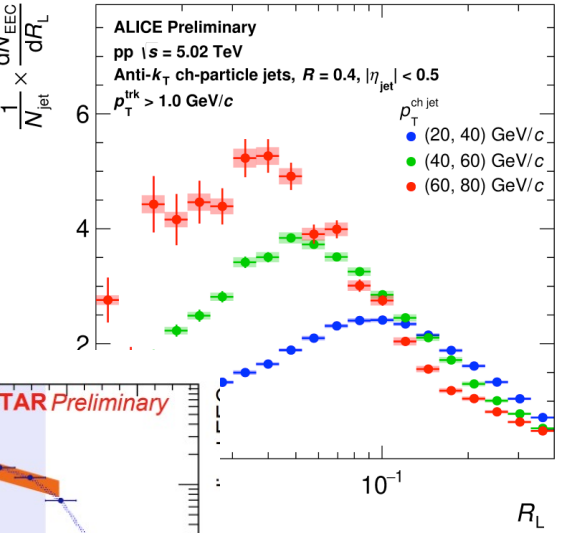
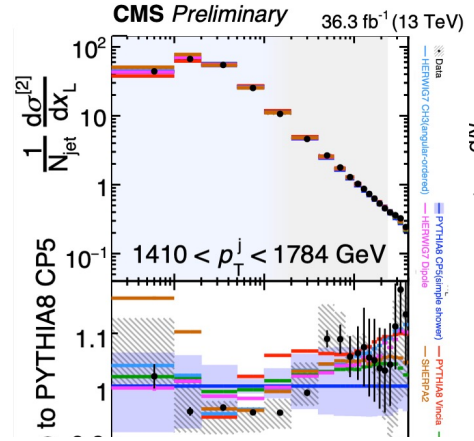
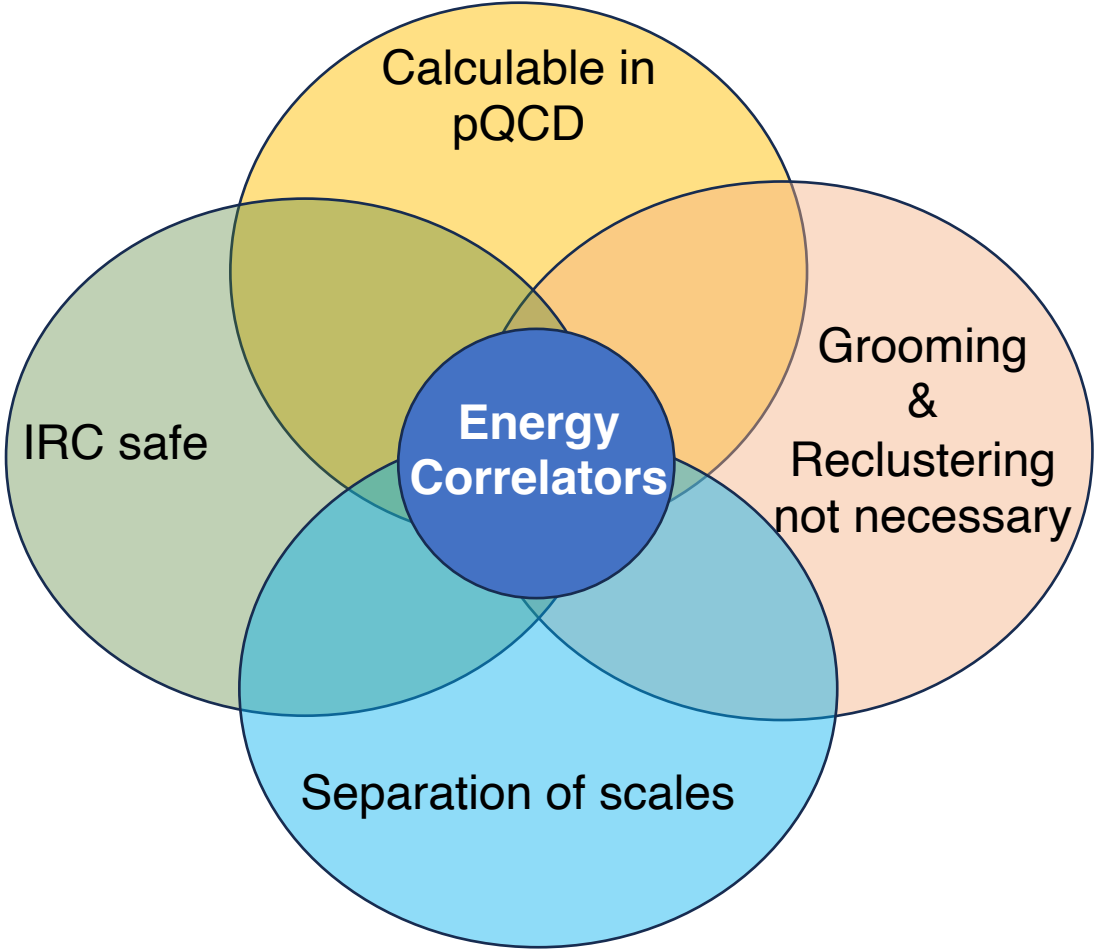
ALICE

# Jets and Jet Substructure



- Useful probe of QCD.
- Jets are **multiscale** objects.
- Jet substructure allows us to trace back to the initial hard scattering.

# Energy correlators to study jet substructure



Active area of research for hadronic jets

- results from STAR, CMS, ALICE
- many theory papers

# Projected N-point Energy Correlators (ENC)

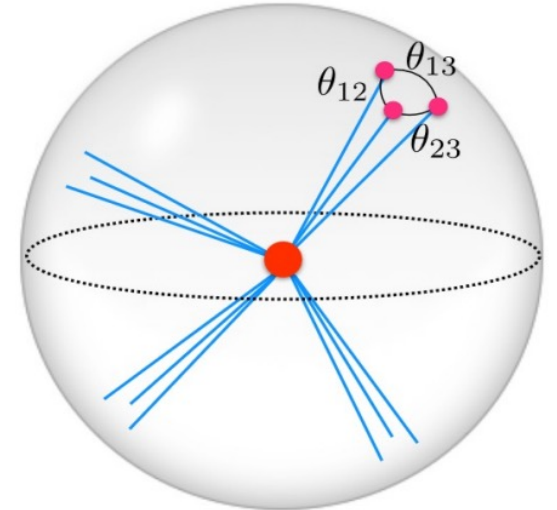
- N-point energy correlators: “Detectors at infinity”

$$ENC(R_L) = \left( \prod_{k=1}^N \int d\Omega_{\vec{n}_k} \right) \delta(R_L - \Delta \hat{R}_L) \frac{1}{(E_{\text{jet}})^N} \langle \mathcal{E}(\vec{n}_1) \mathcal{E}(\vec{n}_2) \dots \mathcal{E}(\vec{n}_N) \rangle$$

$$\mathcal{E}(\hat{n}) = \lim_{r \rightarrow \infty} \int_0^\infty dt r^2 n^i T_{0i}(t, r\hat{n})$$

Stress-energy tensor

Energy flux operator

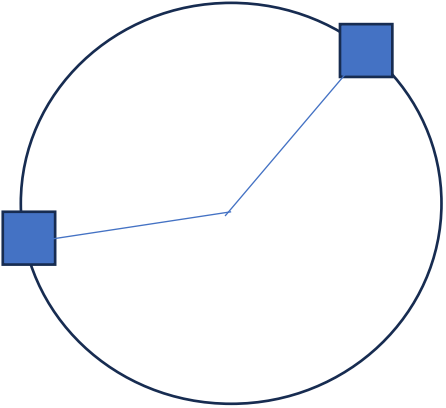


- In experiment – create a weighted histogram as a function of  $R_L$  (largest distance between  $N$  particles) with weights

$$ENC(R_L) = \sum_{i_1, i_2, \dots, i_N} \int dR_L \frac{p_T^{i_1} p_T^{i_2} \dots p_T^{i_N}}{p_{T, \text{jet}}^N} \delta(R_L - \Delta \hat{R}_L) \quad (\text{ensemble averaged over jets})$$

# Projected N-point Energy Correlator

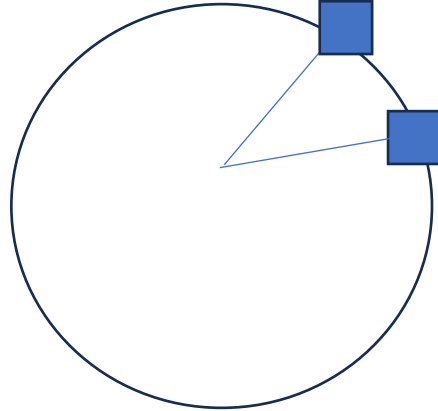
Event shape observable



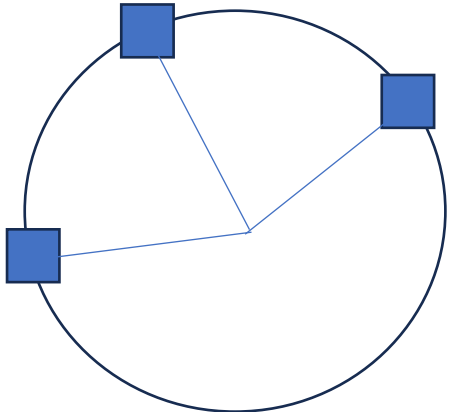
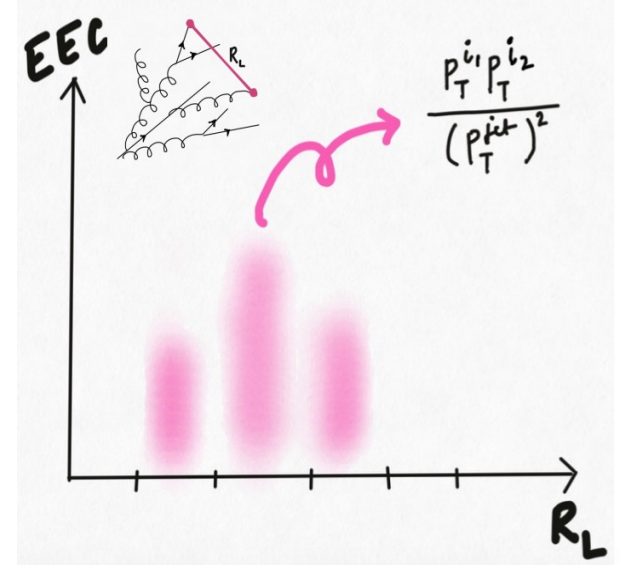
In jets



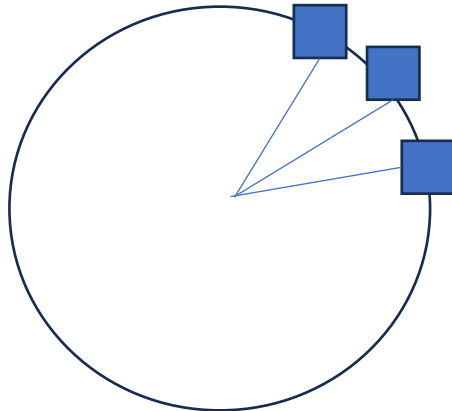
Collinear limit



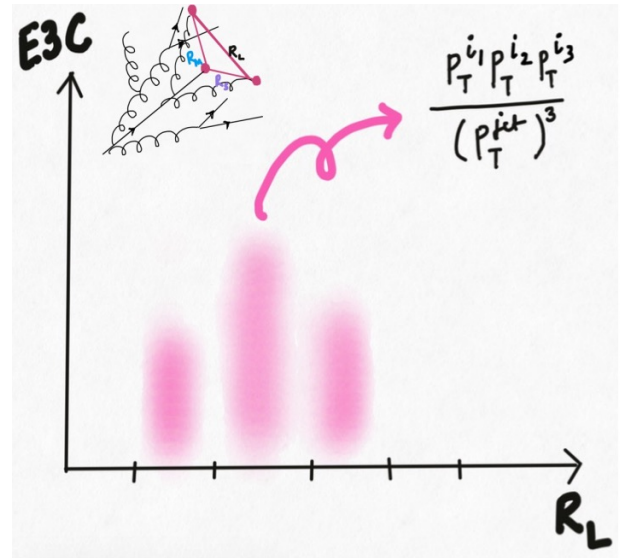
In experiment



In jets



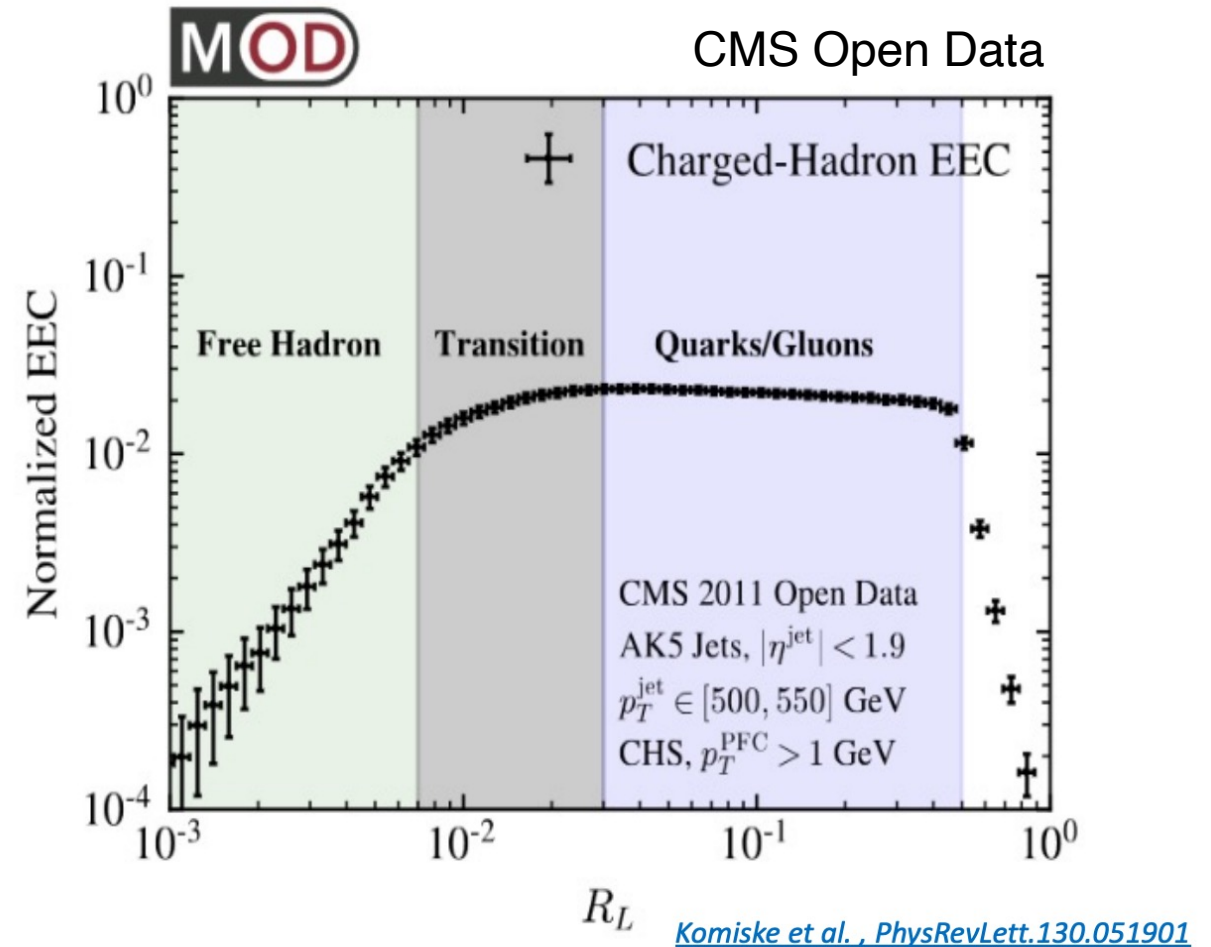
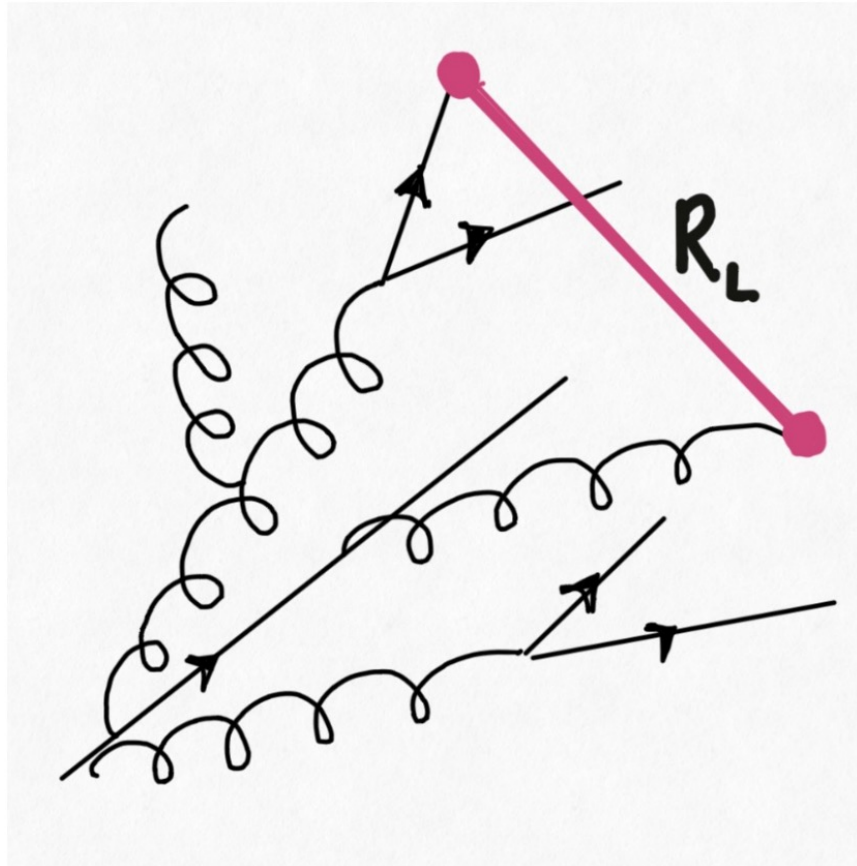
In experiment





# Energy Energy Correlator (EEC)

Correlations between 2 particles in a jet.  
Probes **scale dependence** of energy flow.

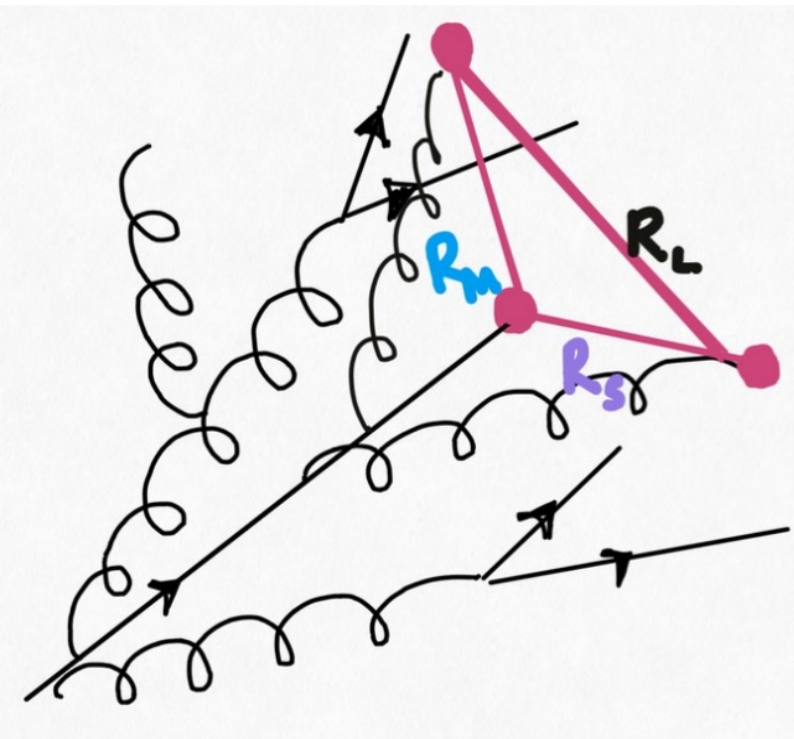


# Energy Energy Energy Correlator: EEEEC

- What other information can we access?

Shape of energy flow.

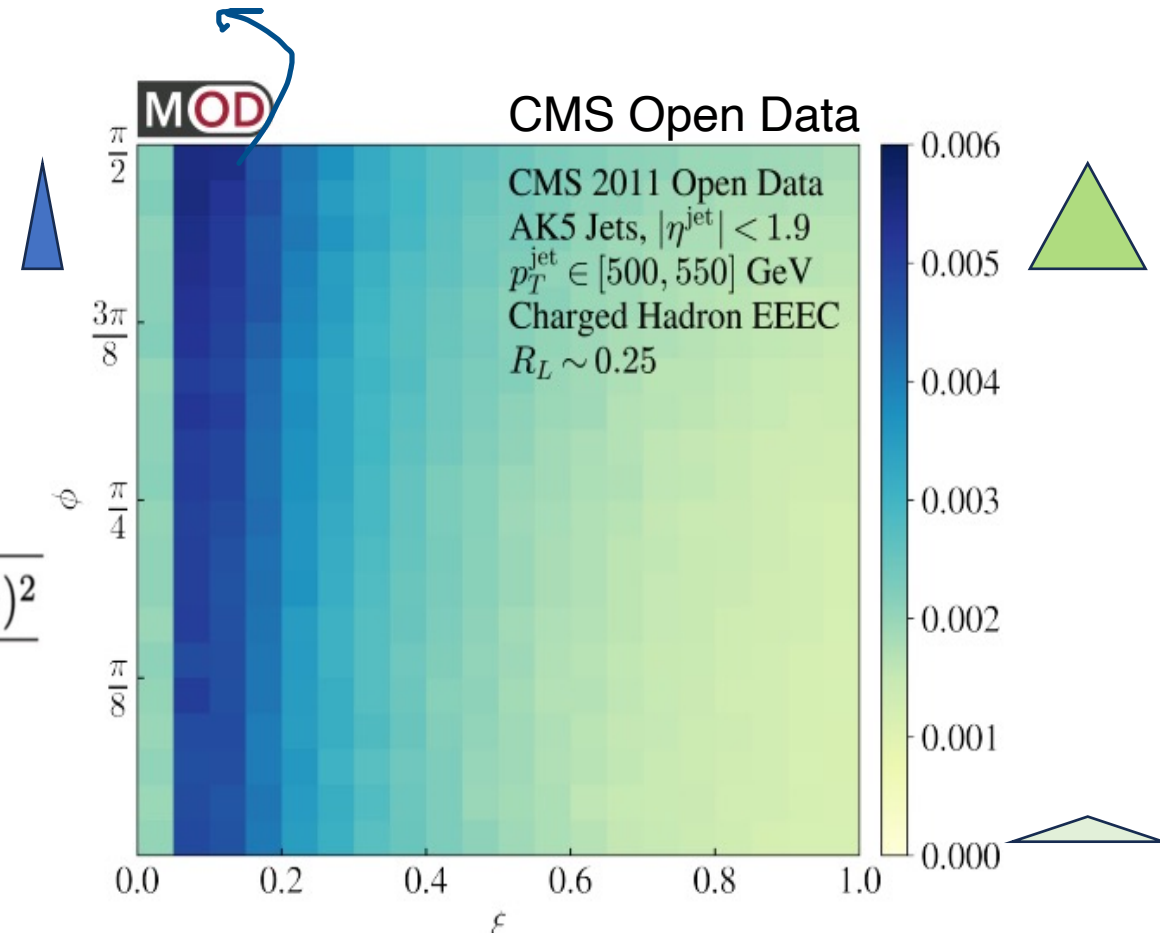
1  $\rightarrow$  3 splitting functions.



$$\xi = \frac{R_S}{R_M}$$

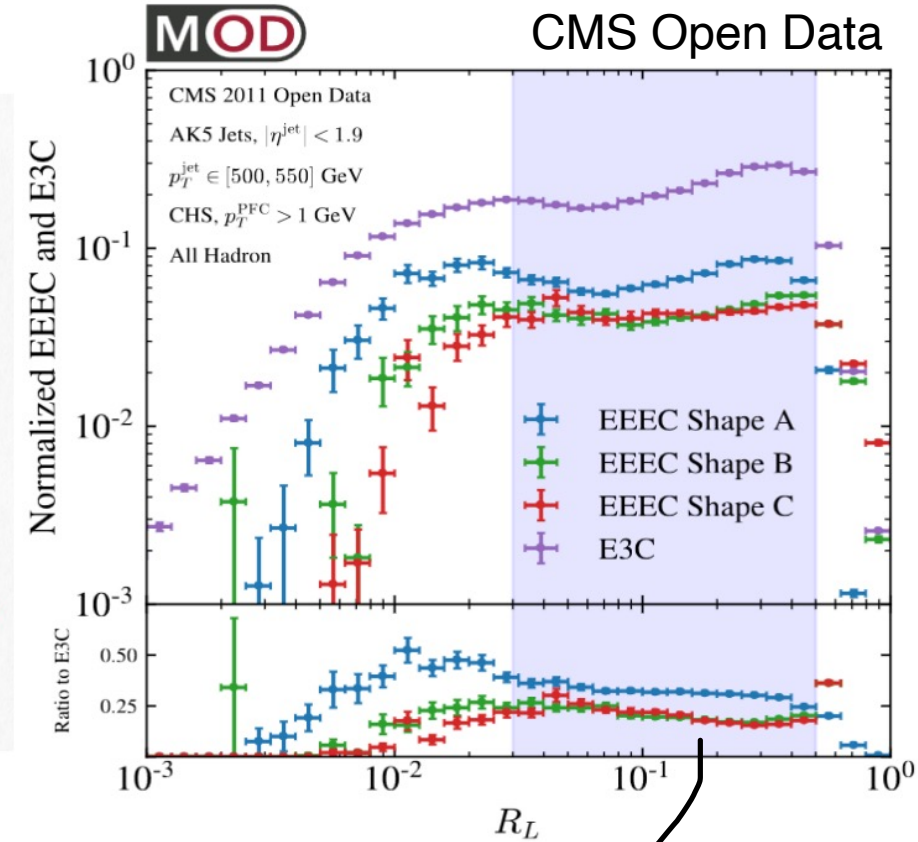
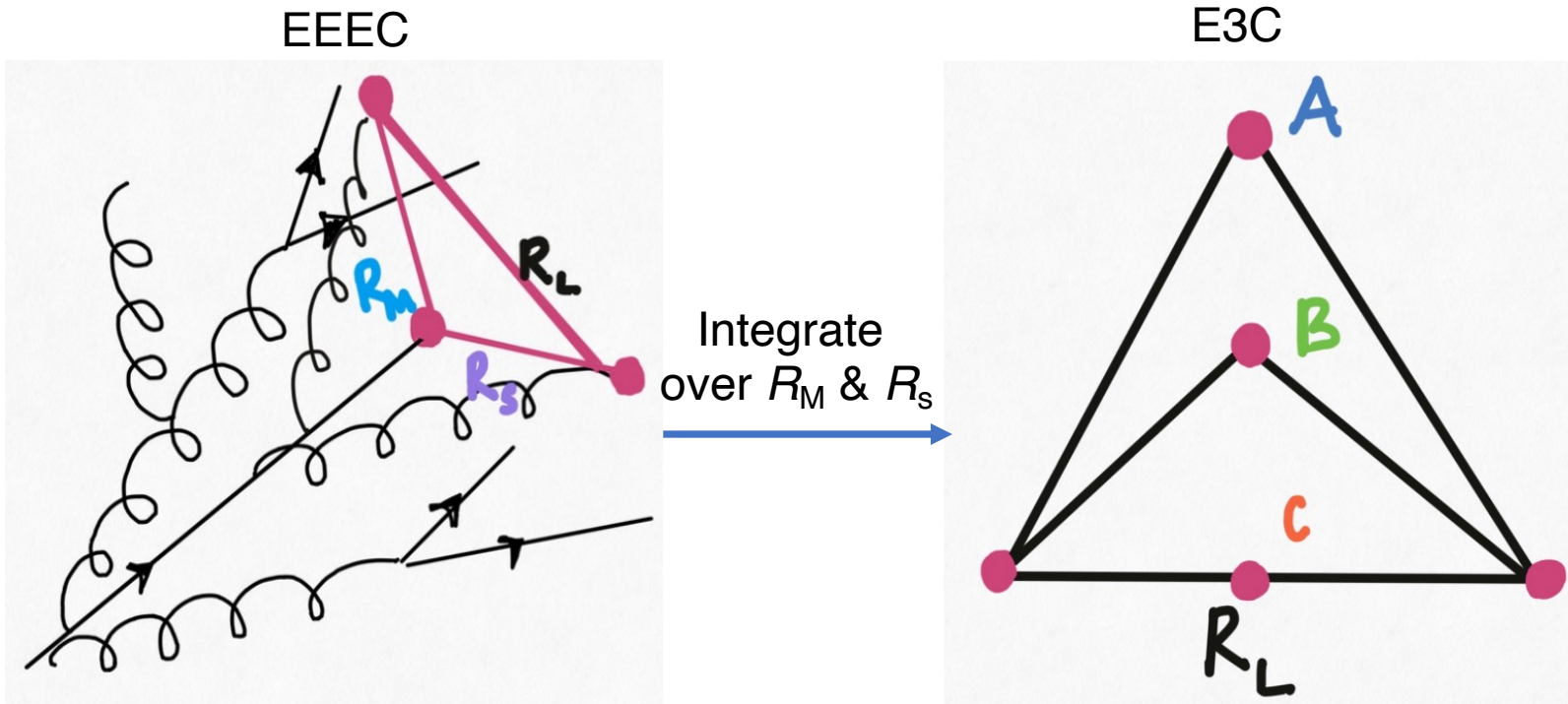
$$\phi = \arcsin \sqrt{1 - \frac{(R_L - R_M)^2}{R_S^2}}$$

Enhancement of collinear emissions.



[Komiske et al., PhysRevLett.130.051901](#)

# E3C: Taking a middle step

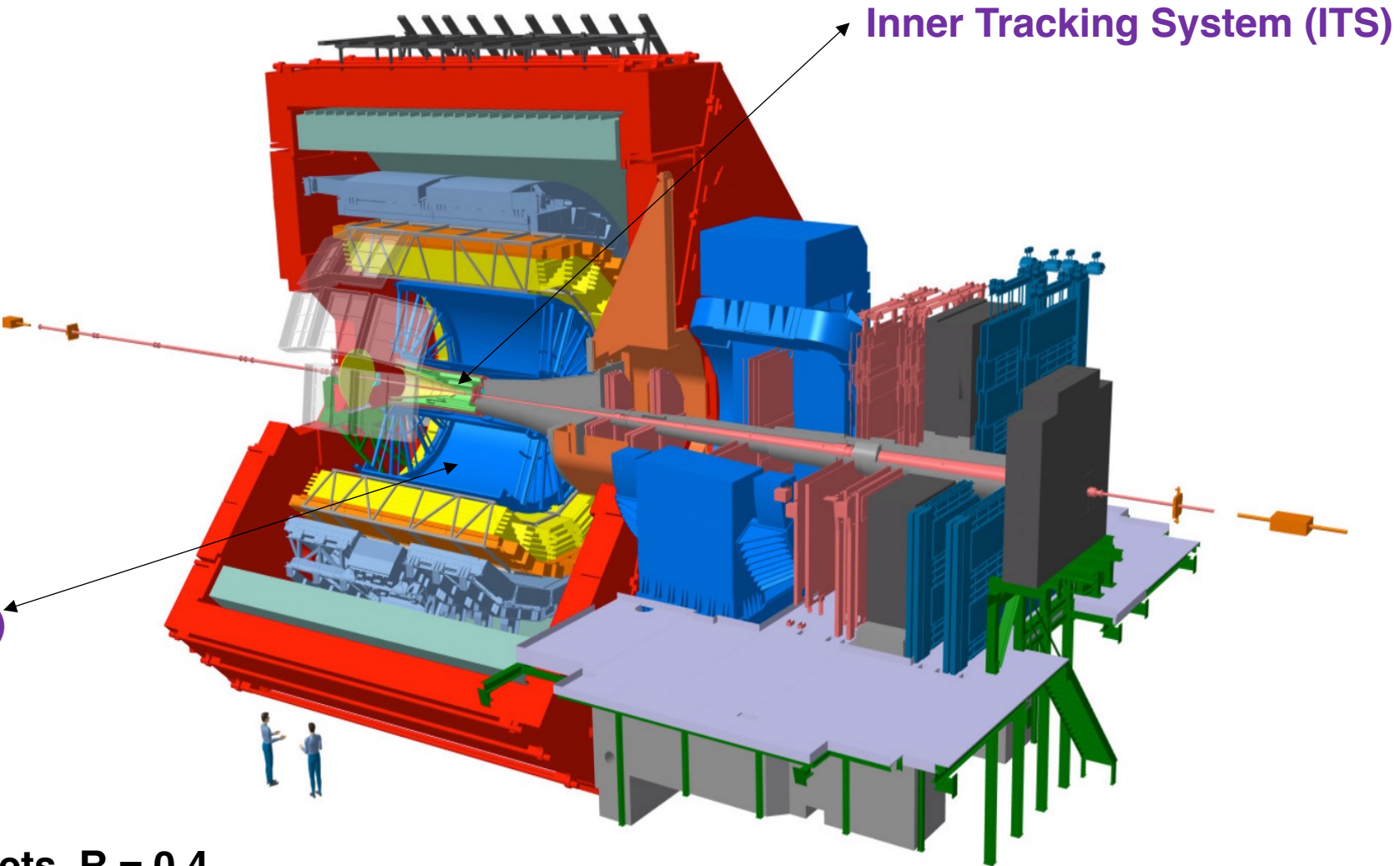


Constant slope  $\rightarrow$  Probes the scaling behavior of the EEEEC (theory & open data).\*  $\leftarrow$   
 Not reproduced by MC generators. E3C measurements can be useful to tune MC generators.  
 \*Not confirmed experimentally (yet).



# ALICE Detector

Detectors				
CPV	EMC	FDD	FT0	FV0
HMP	ITS	MCH	MFT	MID
PHS	TOF	TPC	TRD	ZDC



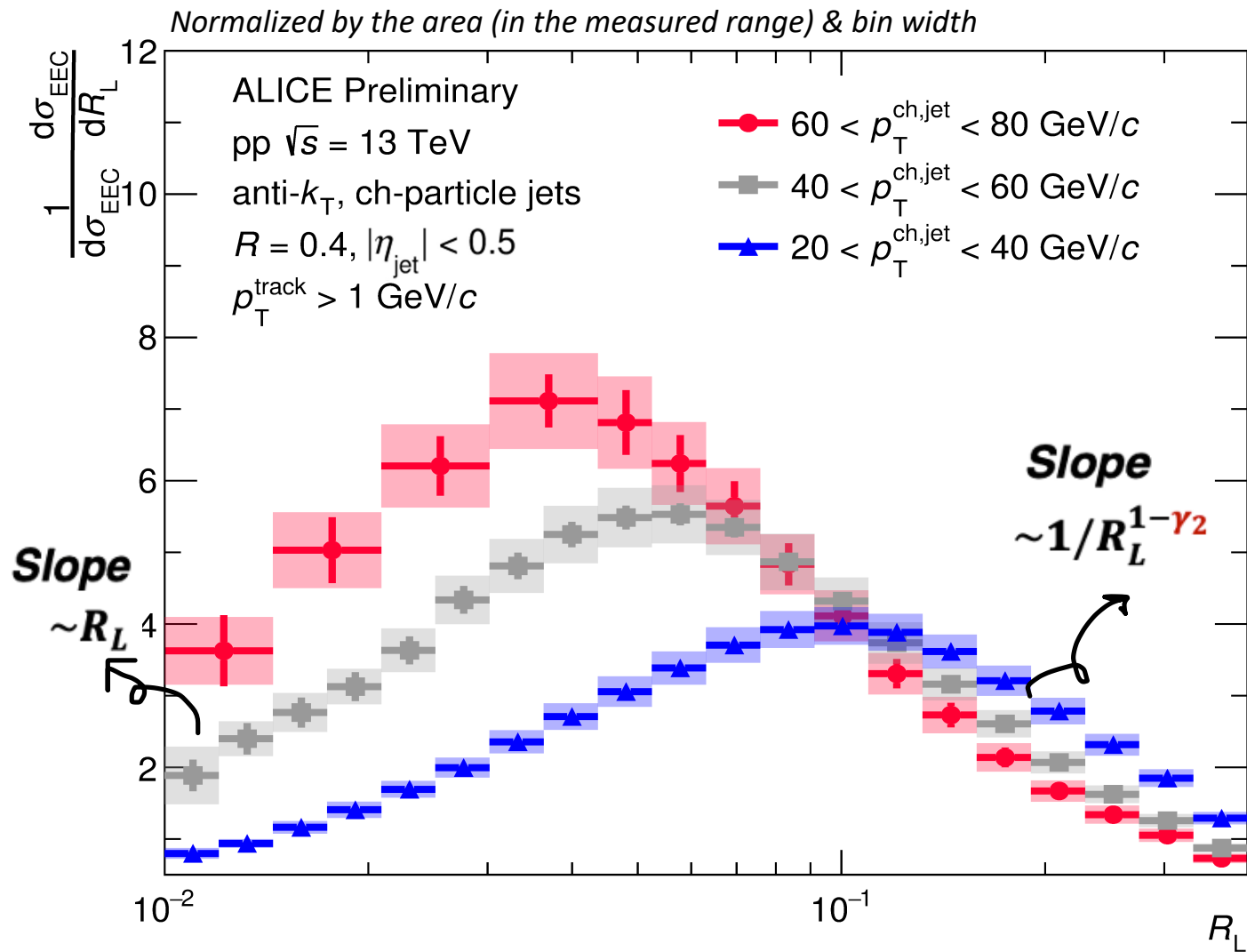
## Time Projection Chamber (TPC)

Jet reconstruction.  
Great angular resolution  
( $\sim 1\text{mrad}$  for  $p_T^{\text{track}} = 1\text{ GeV}$ ).

**This analysis: Charged anti- $k_T$  jets,  $R = 0.4$**

ALICE\_PreTrainingSlides.pdf

# Results: EEC at 13 TeV



Increasing jet  $p_T$  – curve shifts to the left – elongating the perturbative regime.

Depicts **angular ordering** of QCD!

$\gamma_2$  is the anomalous dimension of the EEC operator.

Powers of the slope: **“Scaling behavior”**.

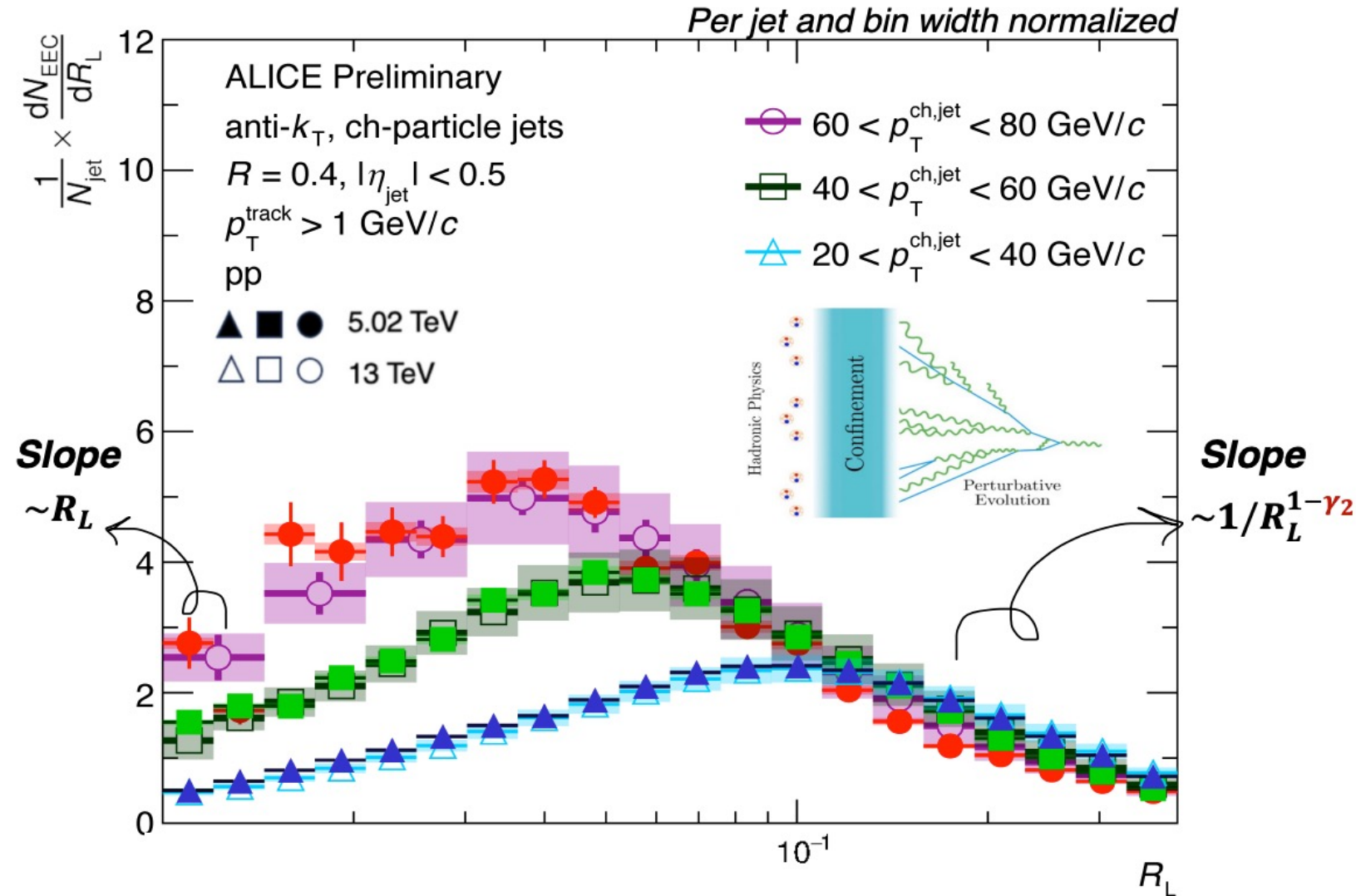
ALI-PREL-557422

# Results: EEC comparison at 13 TeV and 5 TeV

Almost  $\sqrt{s}$  independent



**q/g fractions** at play!



ALI-PREL-557542

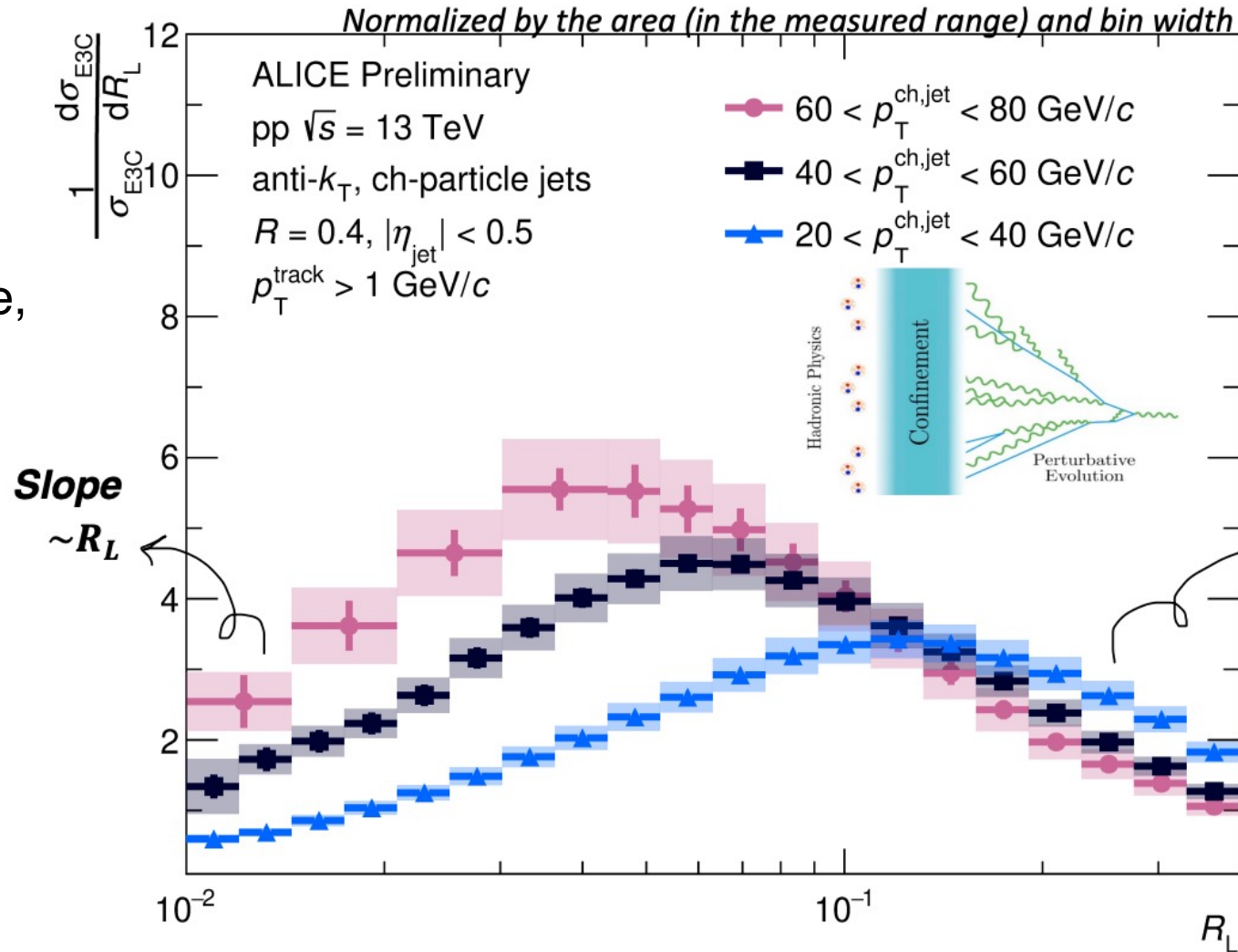
# Results: E3C at 13 TeV

First measurement of E3C at ALICE!



Similar to the EEC, depicts angular ordering of QCD.

Hadronization regime, npQCD effects.



Slope in this regime is **different** from the EEC.

Leading order behavior dominates because  $\gamma_3 \ll 1$ .

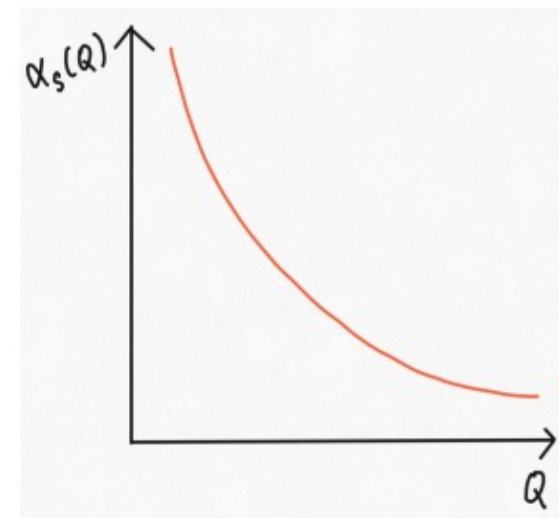
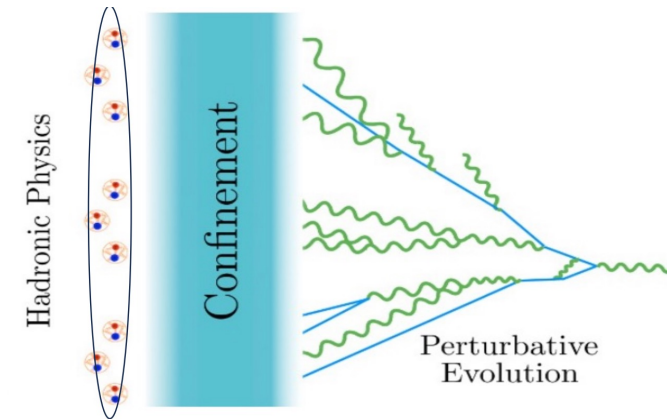


# What can ratios of projected correlators tell us?

- Clear separation of energy scales: different slopes in different regimes are highlighted.
- Access to the strong coupling constant: ratio is proportional to  $\alpha_S$ .

*Recently measured by CMS (BOOST – 2023).*

- Highlight quantum mechanical corrections by directly probing **anomalous dimensions**.



# Results: E3C/EEC at 13 TeV

First measurement of E3C/EEC at ALICE! 

Slope in non-perturbative regime is the same for all jet  $p_T$  bins.

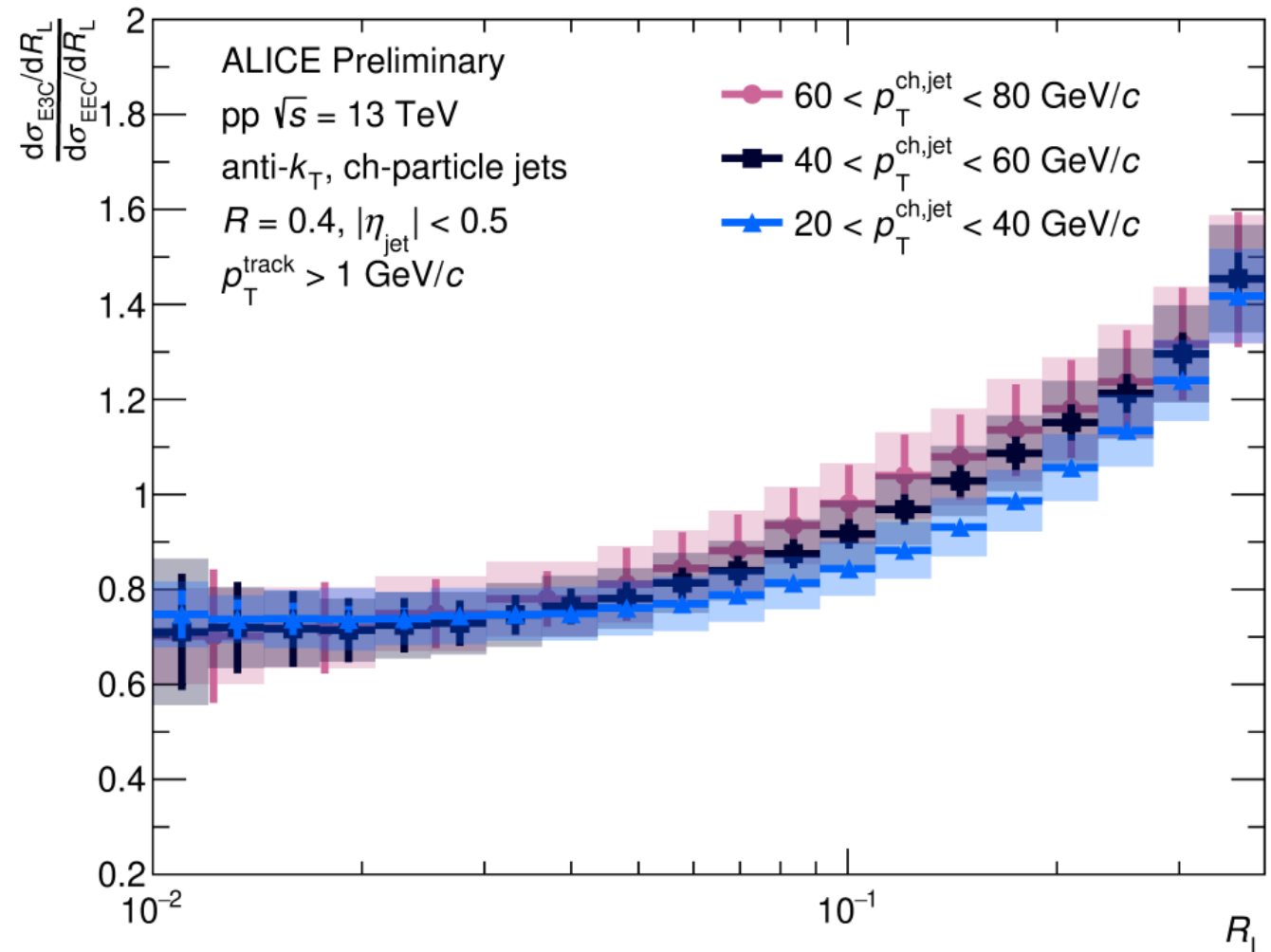
More sensitive to QM corrections.

Slope in perturbative regime  $\sim (R_L)^{\gamma_3 - \gamma_2}$

pQCD prediction:  $\gamma_{N+1} > \gamma_N$

Reproduced in data:  $\gamma_3 > \gamma_2$ , **positive** slope in the perturbative regime!

Change in slope with jet  $p_T$  indicates **running of coupling**,  $\alpha_S$ .

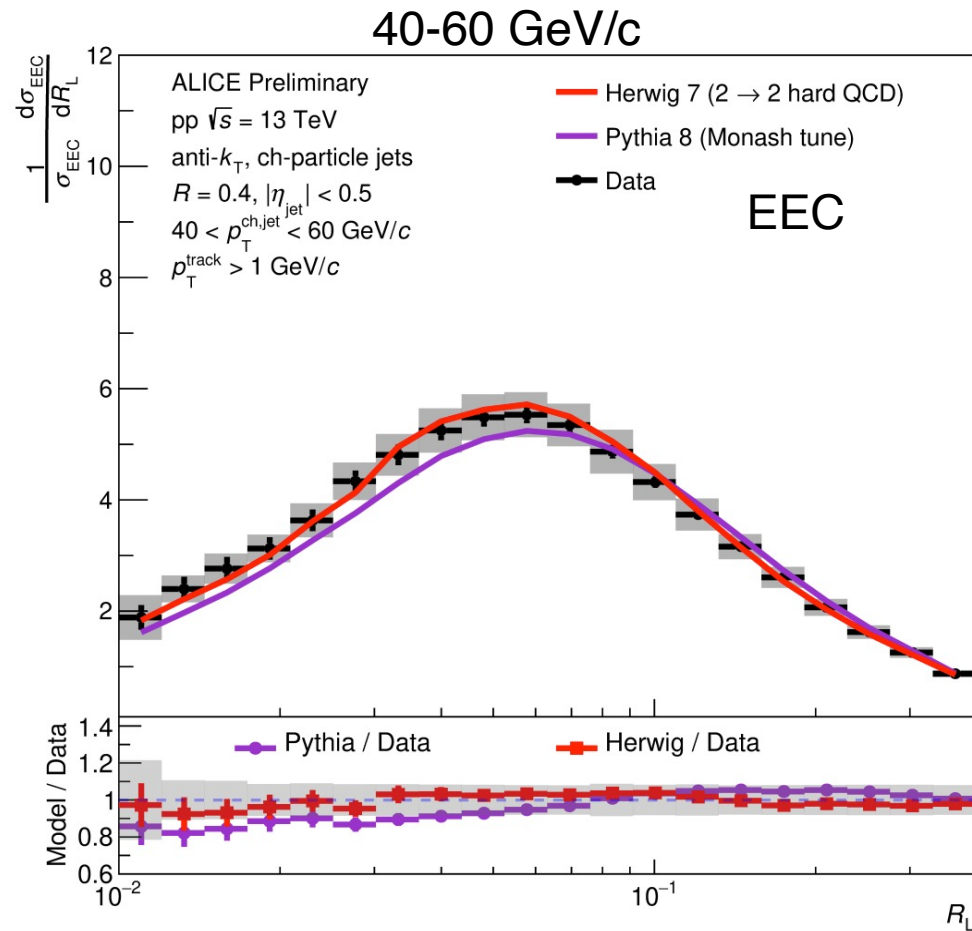


ALI-PREL-558363

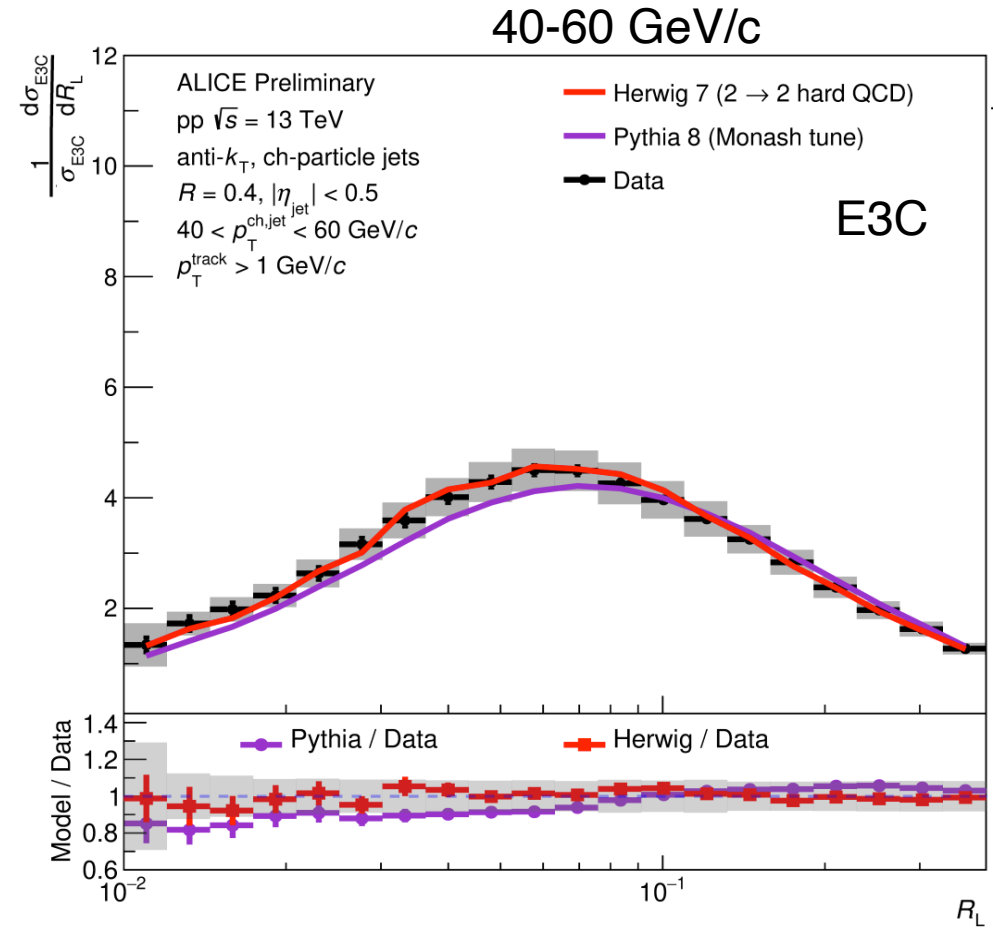
Both E3C & EEC are normalized by the area (in the measured range) and bin width.

# Model Comparisons: EEC & E3C

Herwig describes data better for all jet  $p_T$  bins (backup).  
 Pythia underestimates the width of the transition region.



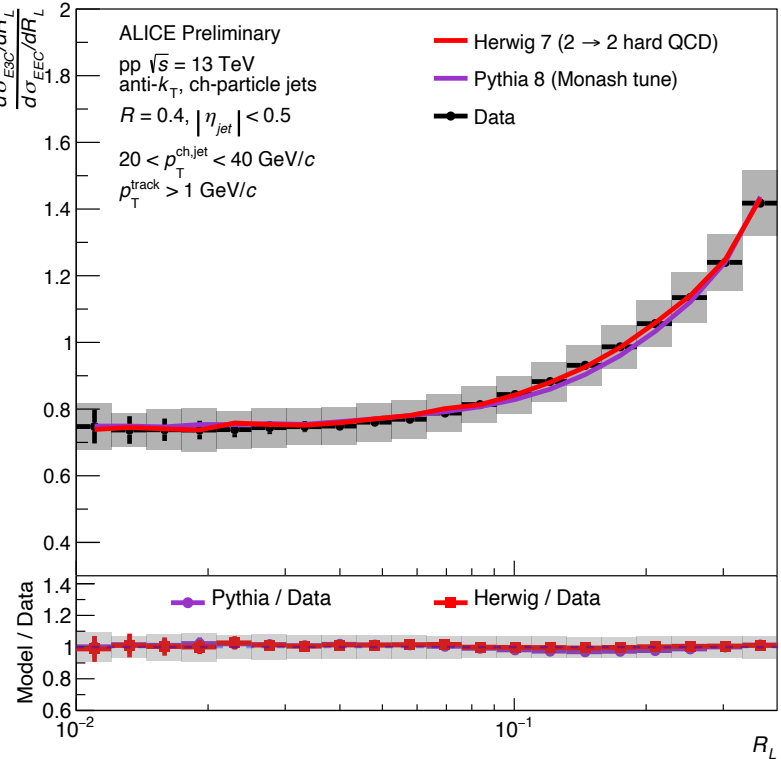
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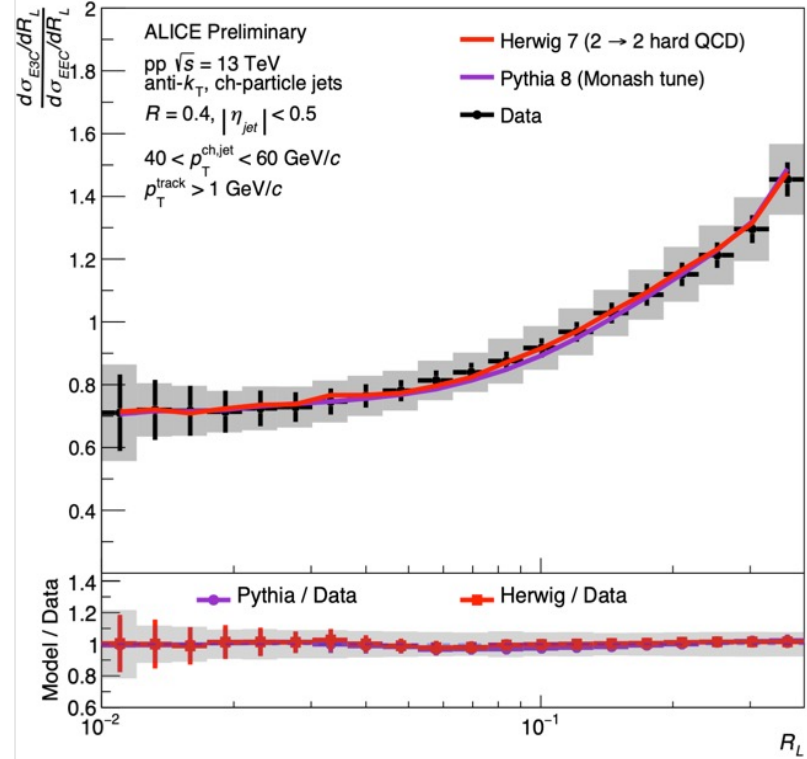
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# Model Comparisons: E3C/EEC

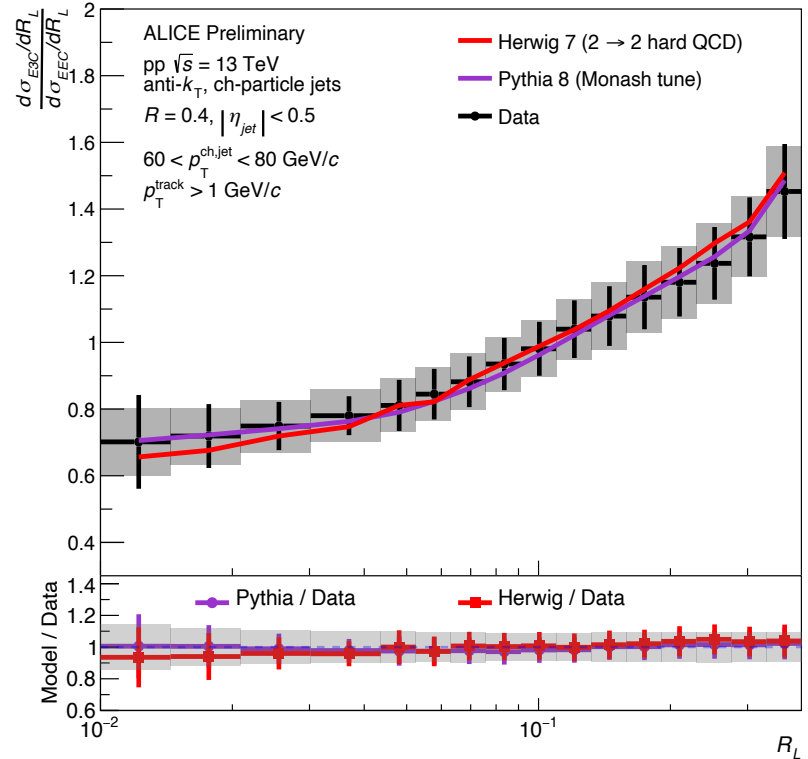
20-40 GeV/c



40-60 GeV/c



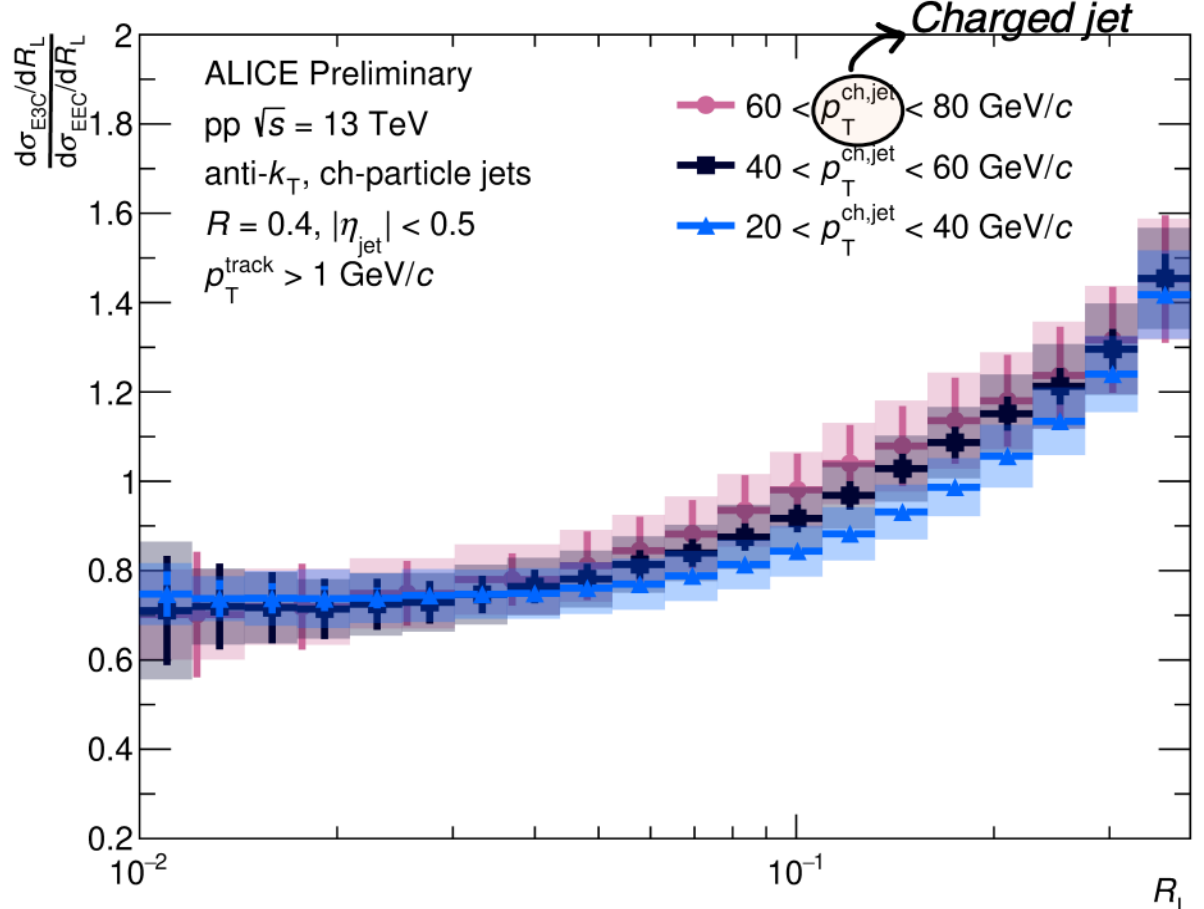
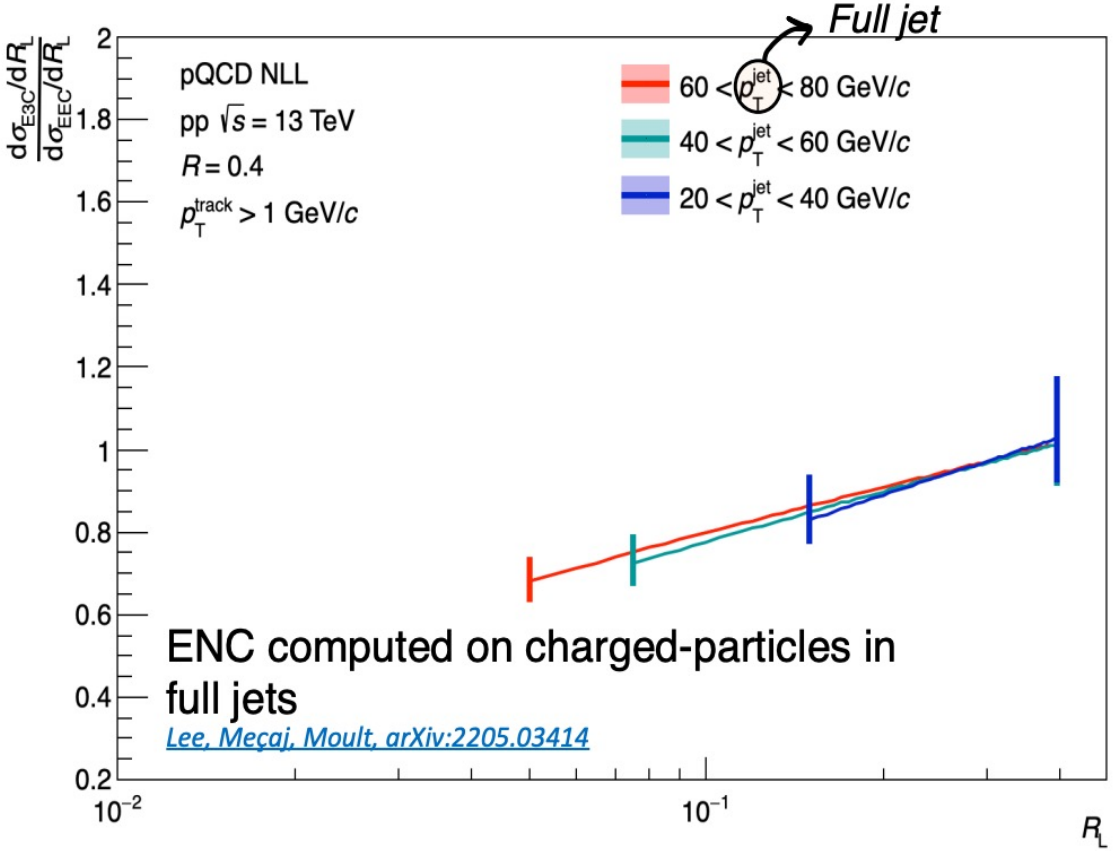
60-80 GeV/c



Models agree well in all jet  $p_T$  ranges → Independence from non-perturbative effects of hadronization.



# Comparisons to pQCD predictions: E3C/EEC



At LL collinear in pQCD

$$ENC(R_L) = -\frac{d}{dR_L} \left[ (1, 1) \exp \left( \frac{-\gamma^{(0)}(N+1)}{\beta_0} \ln \frac{\alpha_S(R_L \mu)}{\alpha_S(\mu)} \right) (x_q, x_g) H_J(\mu) \right]$$

Labels in the equation:   
 -  $\beta_0$ : QCD beta function (1 loop)   
 -  $\ln \frac{\alpha_S(R_L \mu)}{\alpha_S(\mu)}$ : Jet  $p_T$  scale   
 -  $(x_q, x_g)$ : q/g fraction   
 -  $H_J(\mu)$ : Jet production crossx

Reference: [Komiske et al., PhysRevLett.130.051901](#)

Trends between theory and data agree!  
 Work is ongoing to make a “direct” comparison.

# Moving towards complex systems

Jets in heavy ion collisions: great tool to understand medium evolution via jet-medium interactions.

Many medium effects –

- Transverse Momentum Broadening
- Color coherence effects
- **Wake**

actively being explored with EECs in models

*Andres et al., arXiv:2209.11236, Yang et al., arxiv:2310.01500*

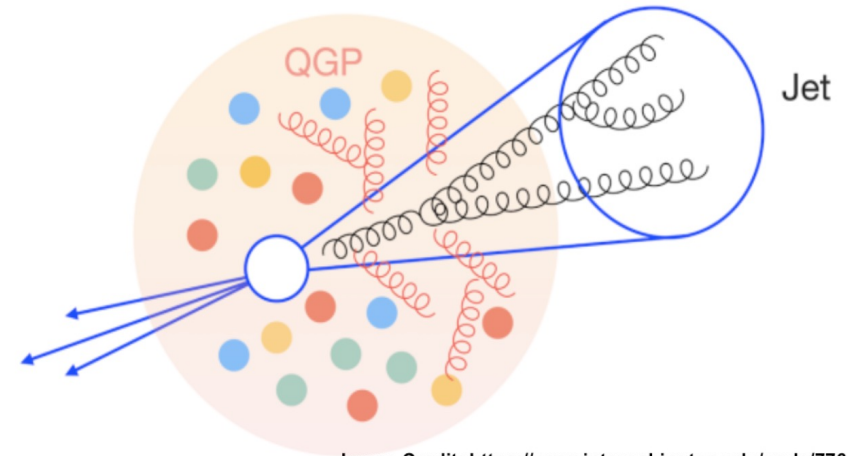


Image Credit: <https://www.int.washington.edu/node/776>

## Are the ENCs sensitive to the medium response?

Illustrate this in the **Hybrid Model**:

Wake in the Hybrid model adds soft particles from the medium to the jet.

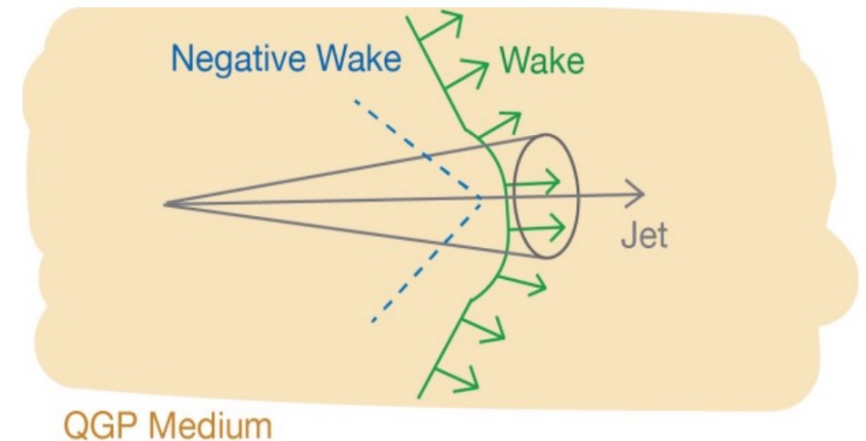
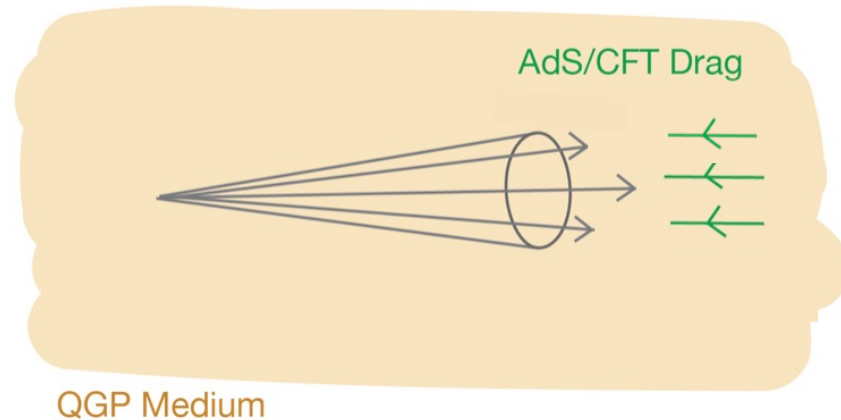


Fig Credit: *H.Bossi Thesis, 2023*

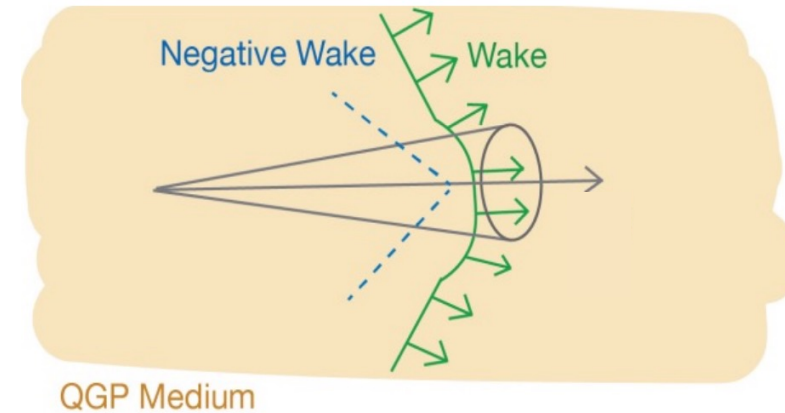
# What can we learn about the QGP from ENCs?

ENCs are a scale sensitive probe that can be **tuned** to highlight the type of physics we are interested in.

Medium impacts jet: **Energy Loss**



Jet impacts medium: **Wake**



*Fig Credit: H.Bossi Thesis, 2023*

- Q1. Can we see the wake?
- Q2. Can we amplify its effect?
- Q3. What can give us a clear experimental signature?

*\*Work in collaboration with Ian Moutt (Yale), Hannah Bossi (MIT), Arjun Kudinoor (Cambridge), Krishna Rajagopal (MIT), Daniel Pablos (Universidad de Santiago de Compostela)*

# EEEC in the Hybrid Model

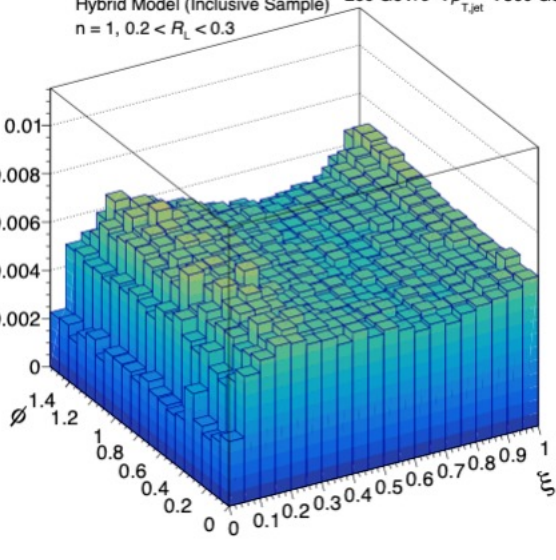


The wake shows up in the *equilateral regime* (which was unpopulated in vacuum) as we scan through  $R_L$ .

$$\xi = \frac{R_S}{R_M} \quad \phi = \arcsin \sqrt{1 - \frac{(R_L - R_M)^2}{R_S^2}}$$

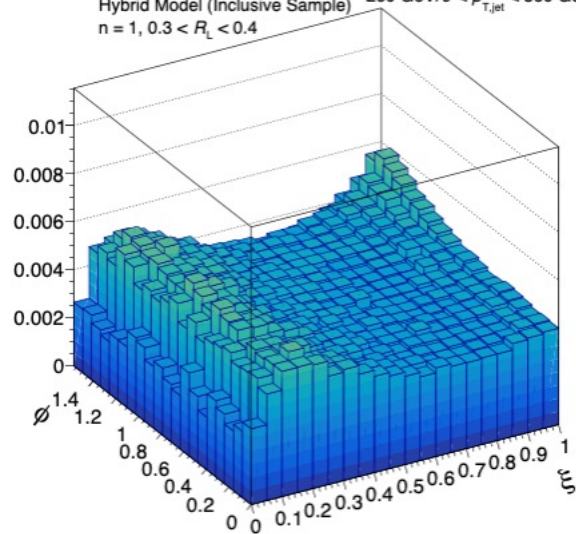
$0.2 < R_L < 0.3$

Hadrons, Wake = ON  
Hybrid Model (Inclusive Sample)  
 $n = 1, 0.2 < R_L < 0.3$



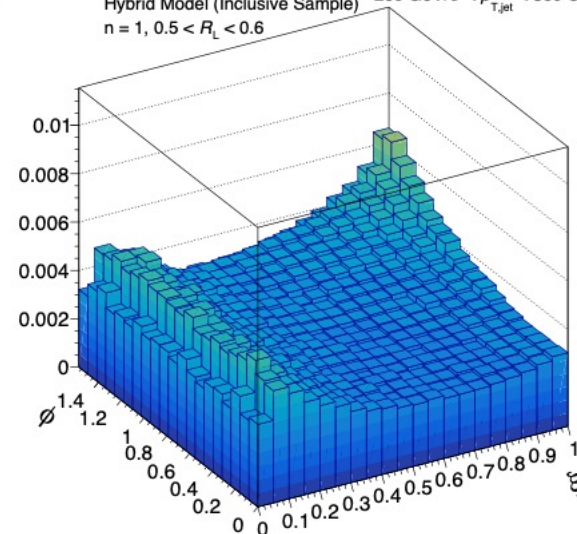
$0.3 < R_L < 0.4$

Hadrons, Wake = ON  
Hybrid Model (Inclusive Sample)  
 $n = 1, 0.3 < R_L < 0.4$



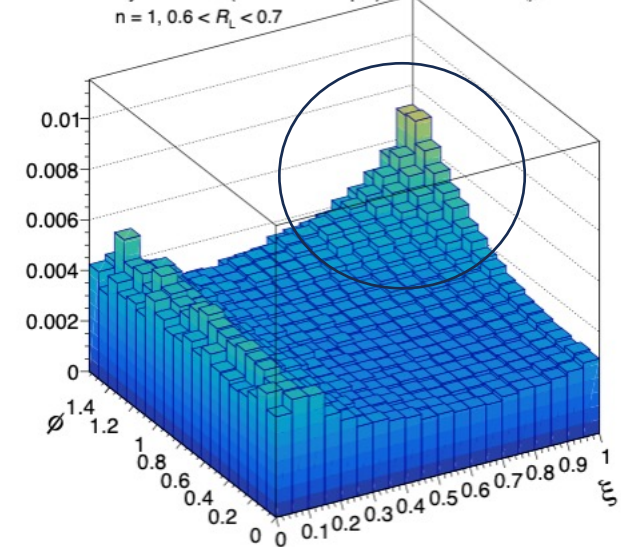
$0.5 < R_L < 0.6$

Hadrons, Wake = ON  
Hybrid Model (Inclusive Sample)  
 $n = 1, 0.5 < R_L < 0.6$



$0.6 < R_L < 0.7$

Hadrons, Wake = ON  
Hybrid Model (Inclusive Sample)  
 $n = 1, 0.6 < R_L < 0.7$



Increasing  $R_L$

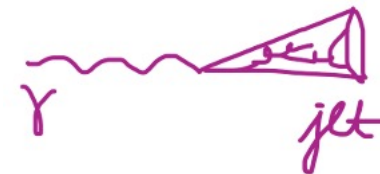


See Ian Mout's talk (earlier this session!)



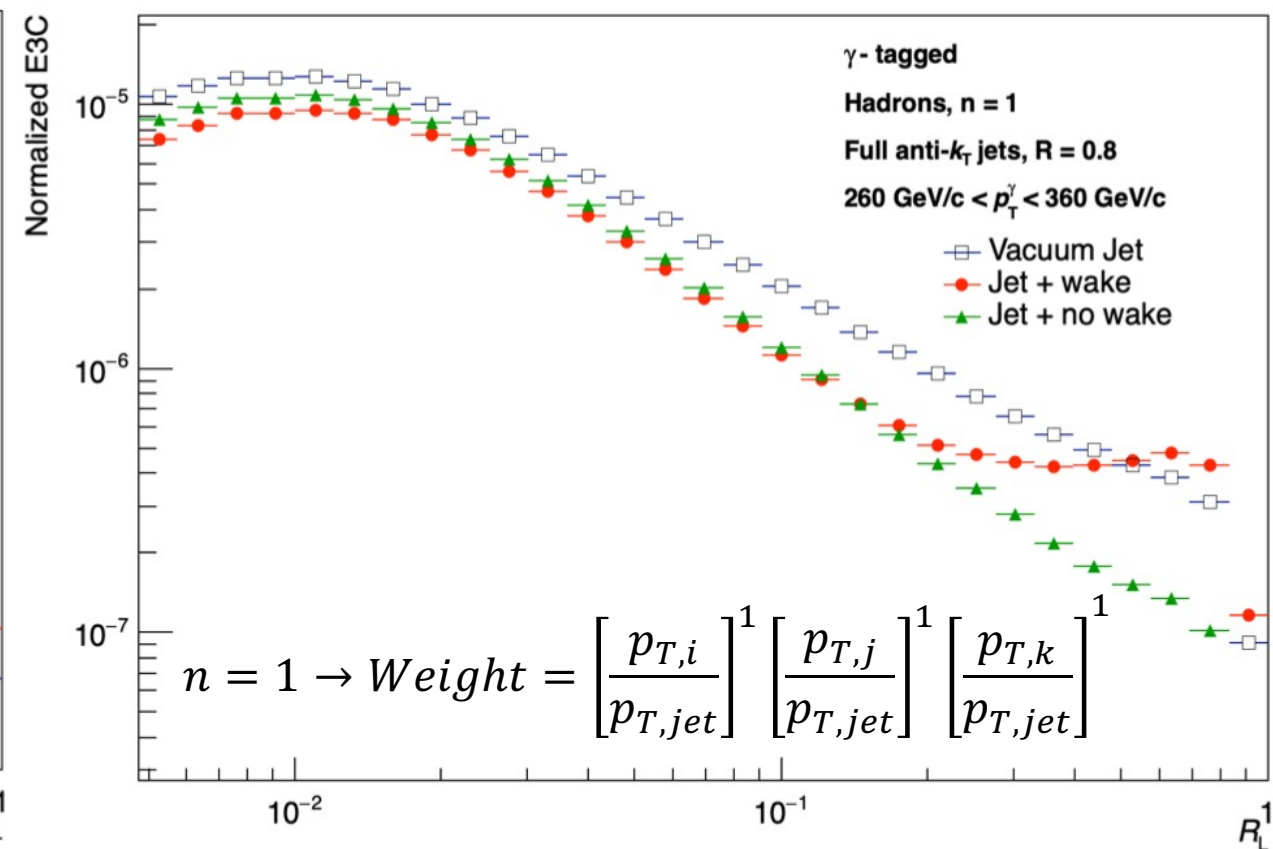
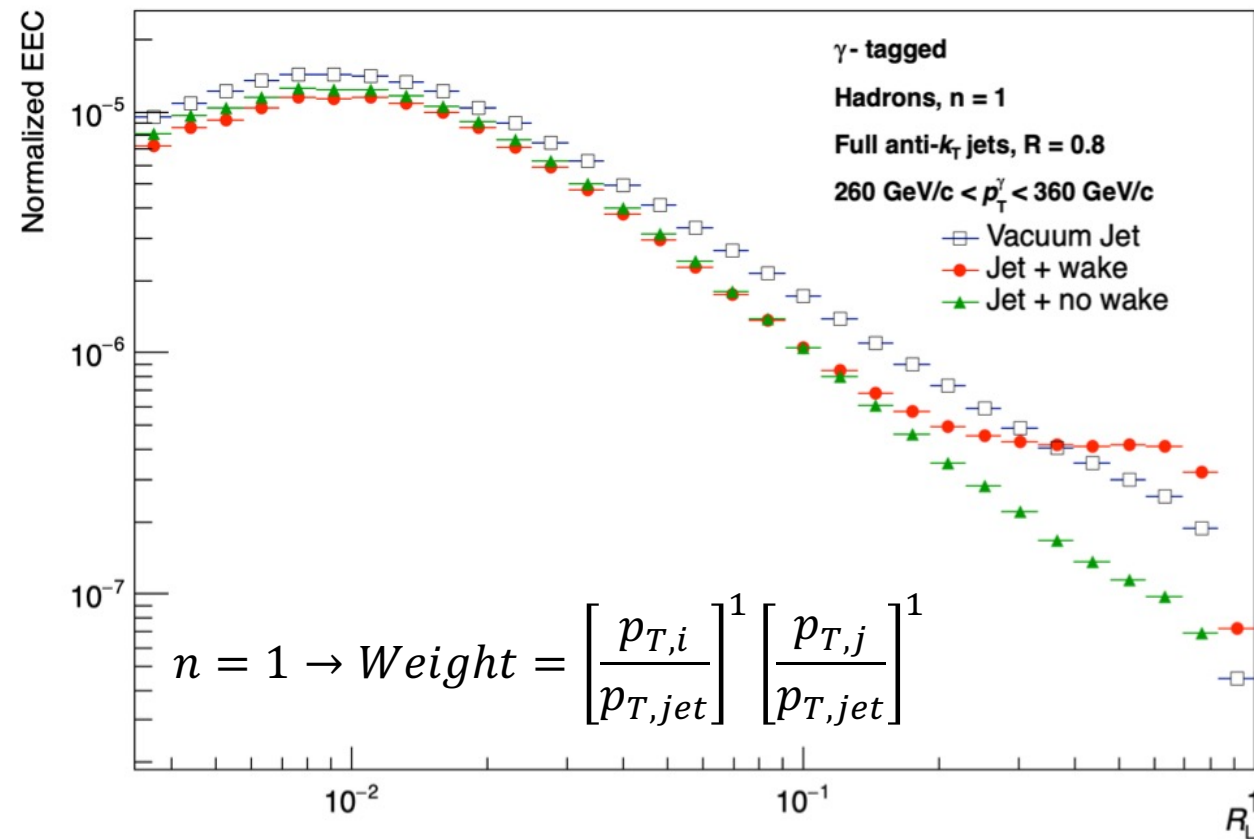
# ENCs in the Hybrid Model

First exploration of higher-point correlators in models!



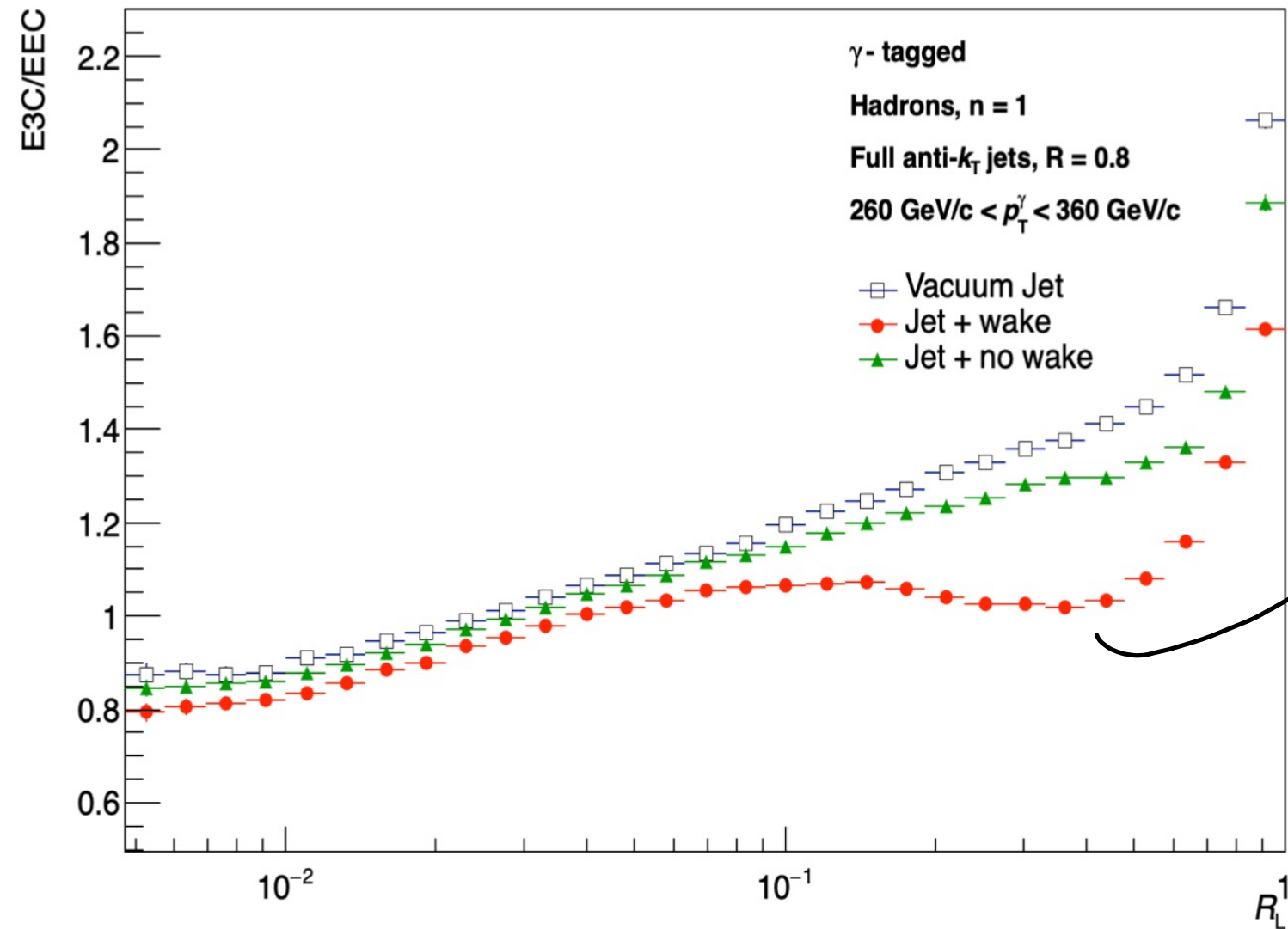
Very large jets at very high  $p_T$ , no track cuts, unlimited statistics.

Using  $p_T^\gamma$  to construct the correlator as a proxy for unquenched  $p_T^{\text{jet}}$ .



▲ Only jet energy loss implemented **without** energy conservation – unphysical.

# E3C/EEC in the Hybrid Model



Using  $p_T^{\text{jet}} = p_T^\gamma$  to construct the correlator as a proxy for unquenched  $p_T^{\text{jet}}$ .

The crucial point is the **change in slope** at large  $R_L$ .

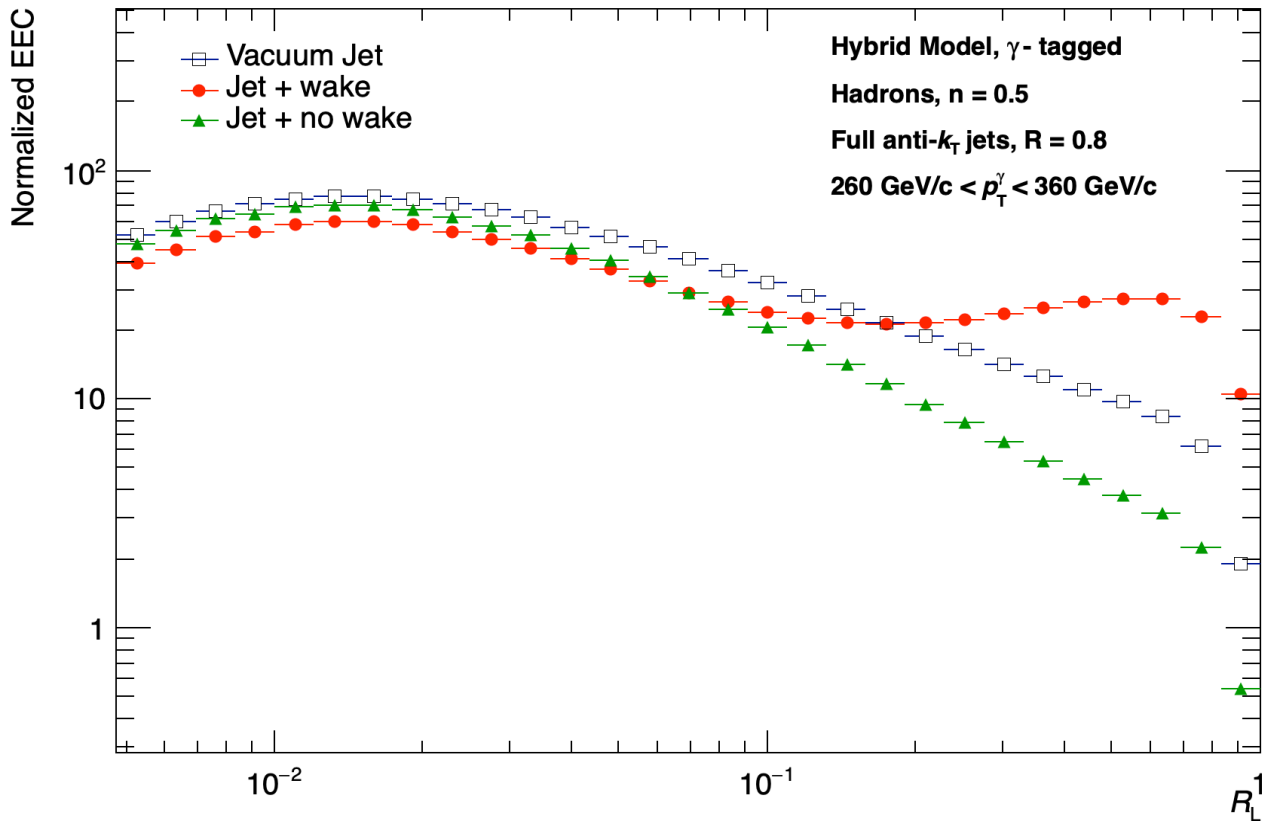
Change in slope, deviation from vacuum scaling – effect of the **wake**.

*E3C/EEC might be a great experimental tool to study medium response effects!*

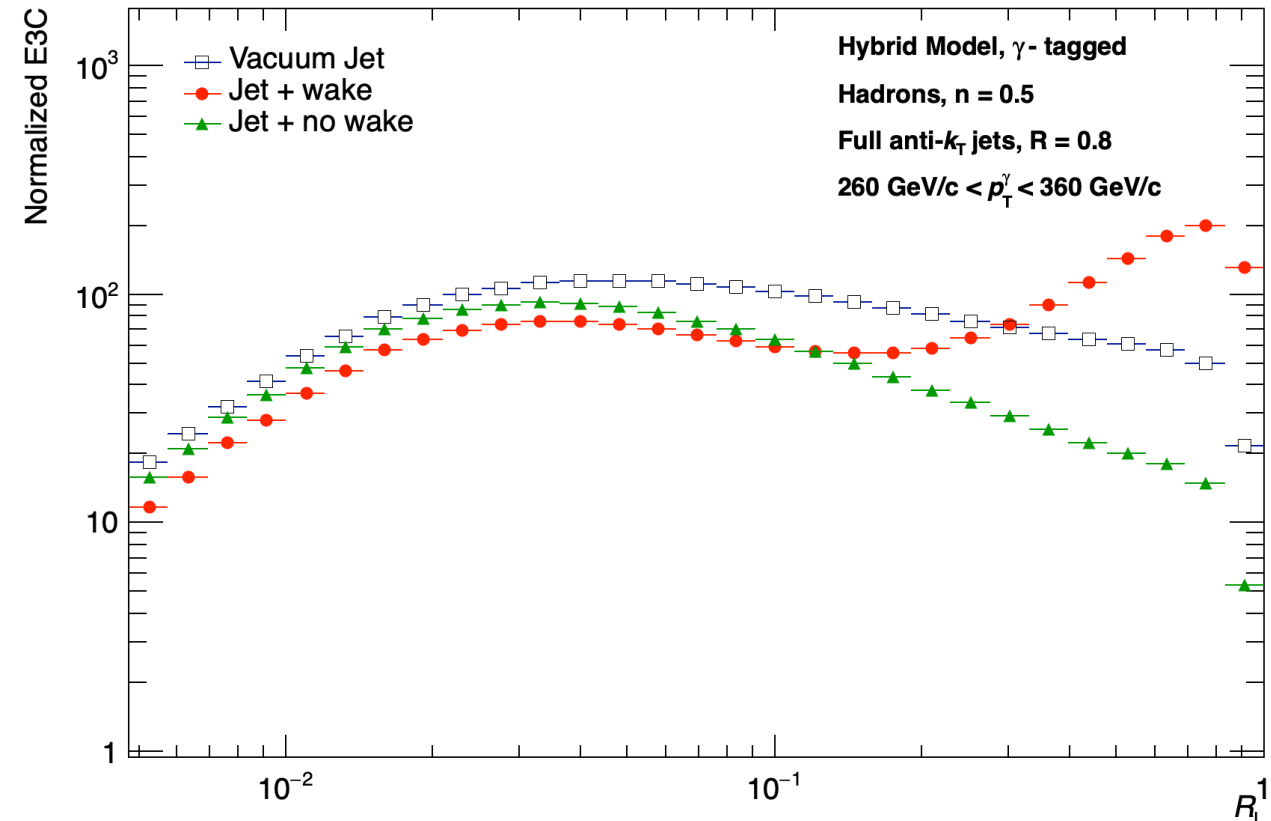
# ENC: tuning energy weightings

$n = 0.5$ , enhances the soft particles  $\rightarrow$  we can tune to the physics we are interested in.

$$Weight = \left[ \frac{p_{T,i}}{p_{T,jet}} \right]^{0.5} \left[ \frac{p_{T,j}}{p_{T,jet}} \right]^{0.5}$$



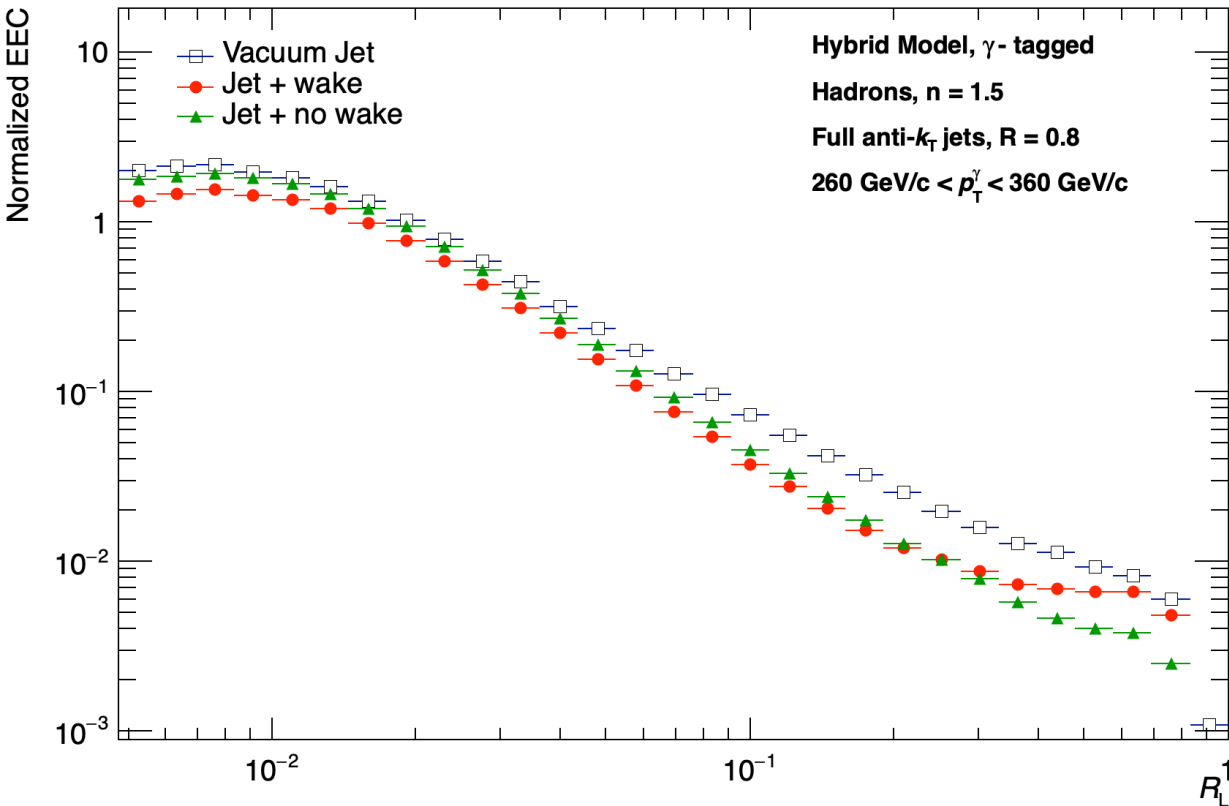
$$Weight = \left[ \frac{p_{T,i}}{p_{T,jet}} \right]^{0.5} \left[ \frac{p_{T,j}}{p_{T,jet}} \right]^{0.5} \left[ \frac{p_{T,k}}{p_{T,jet}} \right]^{0.5}$$



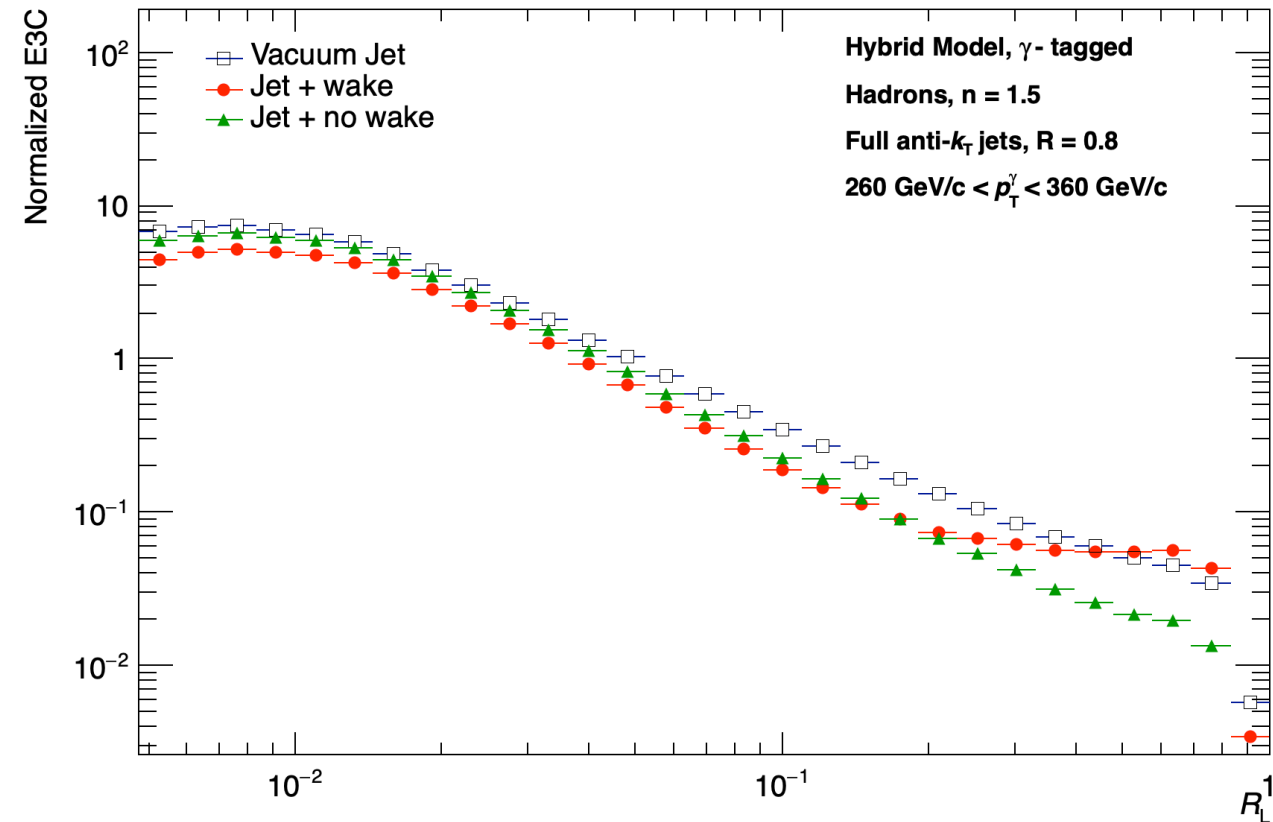
# ENC: tuning energy weightings

$n = 1.5$ , suppresses the soft particles  $\rightarrow$  we can tune to the physics we are interested in.

$$Weight = \left[ \frac{p_{T,i}}{p_{T,jet}} \right]^{1.5} \left[ \frac{p_{T,j}}{p_{T,jet}} \right]^{1.5}$$



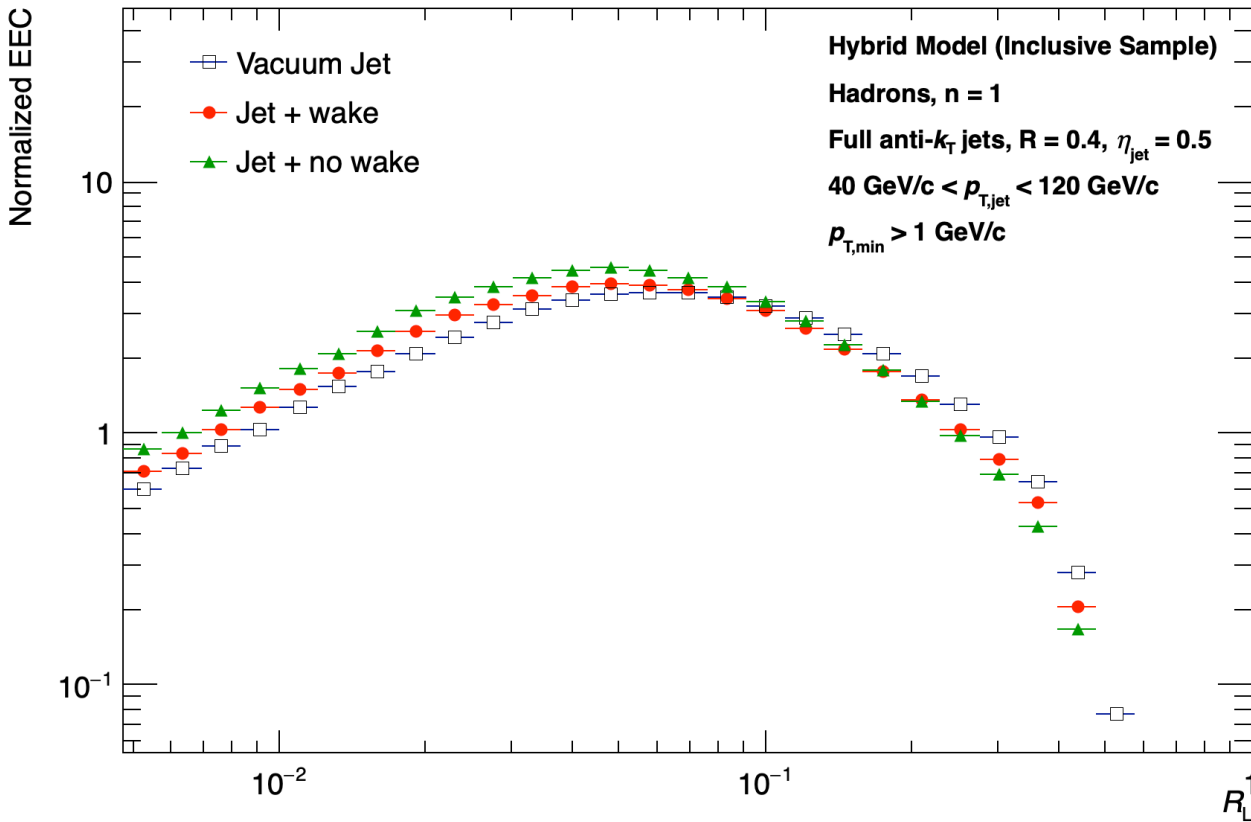
$$Weight = \left[ \frac{p_{T,i}}{p_{T,jet}} \right]^{1.5} \left[ \frac{p_{T,j}}{p_{T,jet}} \right]^{1.5} \left[ \frac{p_{T,k}}{p_{T,jet}} \right]^{1.5}$$



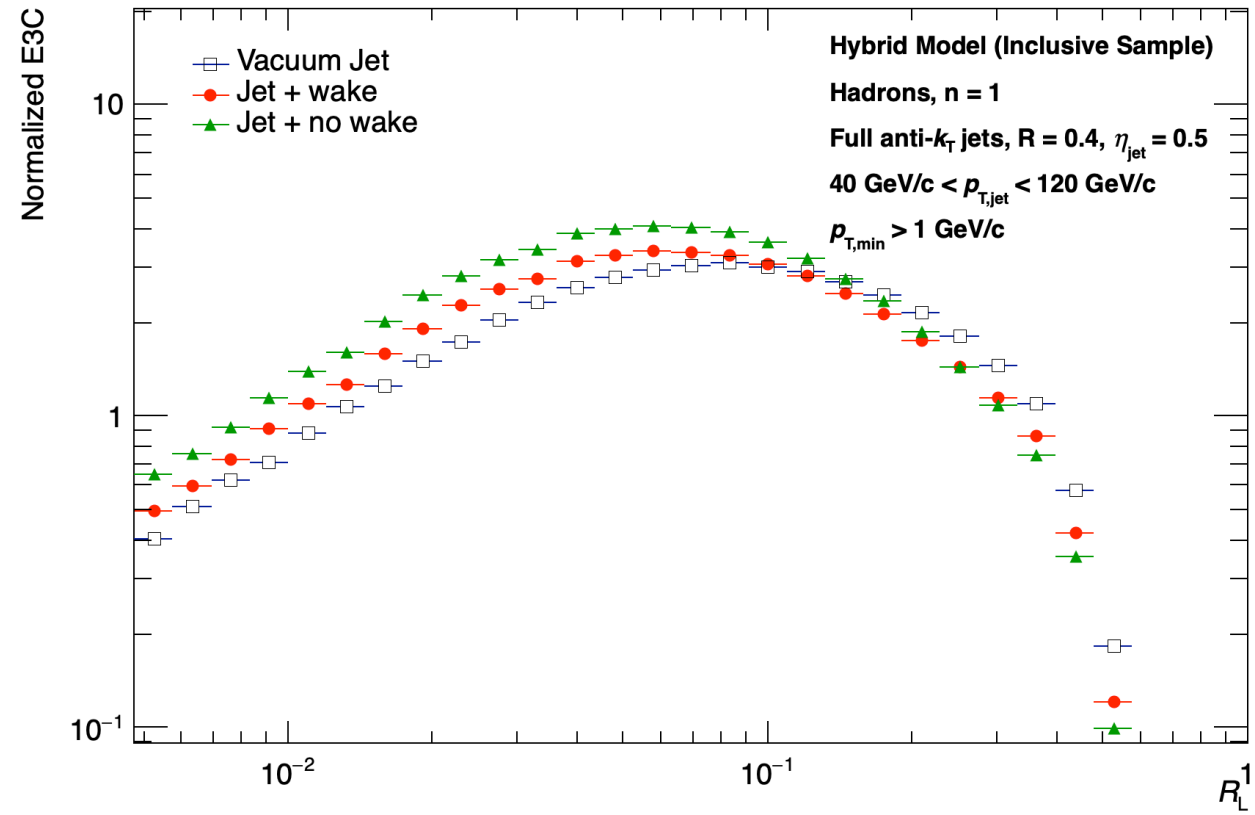
# ENCs in the Hybrid Model: ALICE kinematics

Inclusive jets, ALICE kinematics, track cuts applied (still no background).

Effects are no longer as pronounced because we hit the edge of the jet.

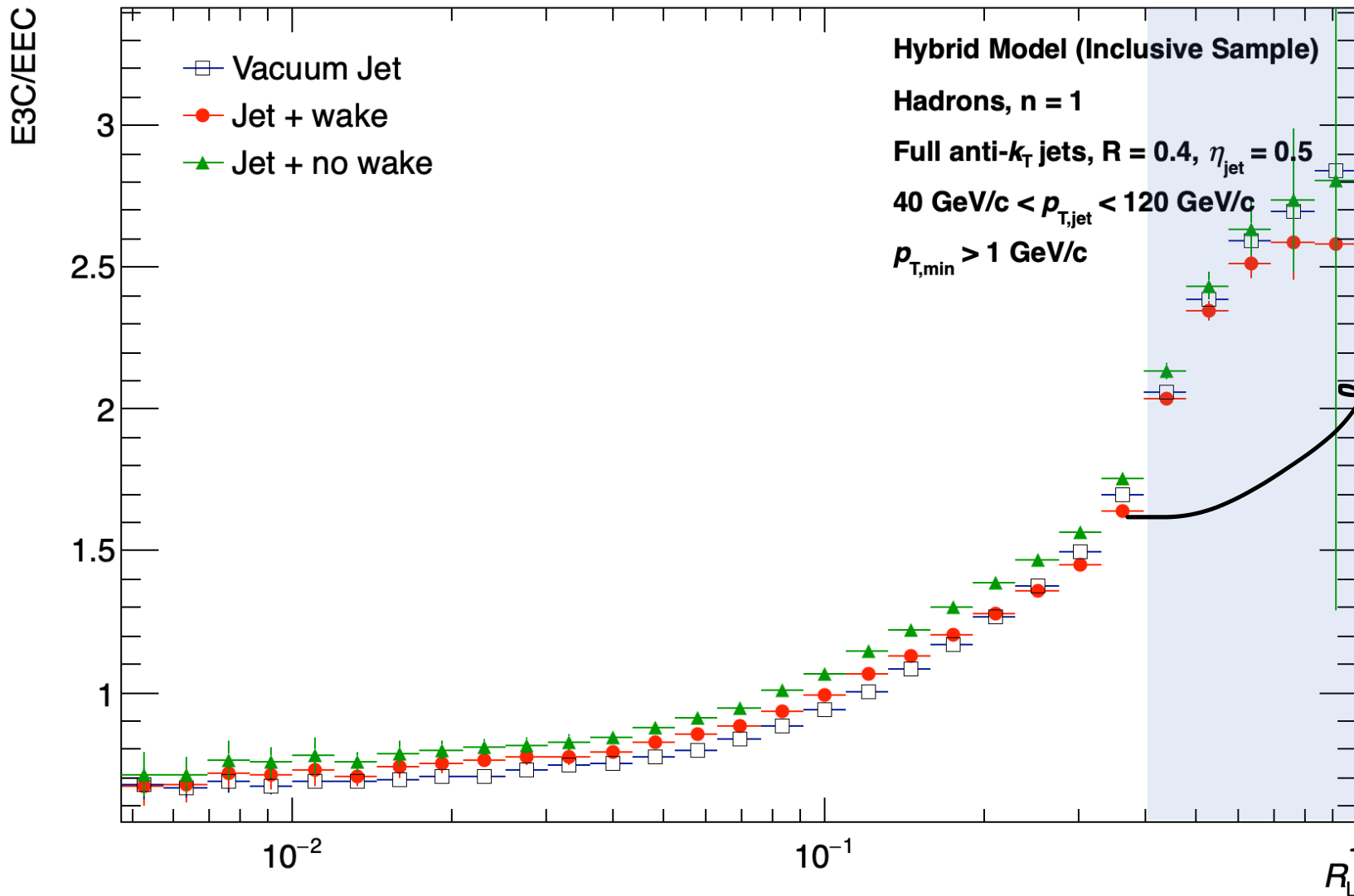


*Per jet and bin-width normalized.*





# E3C/EEC in the Hybrid Model: ALICE kinematics



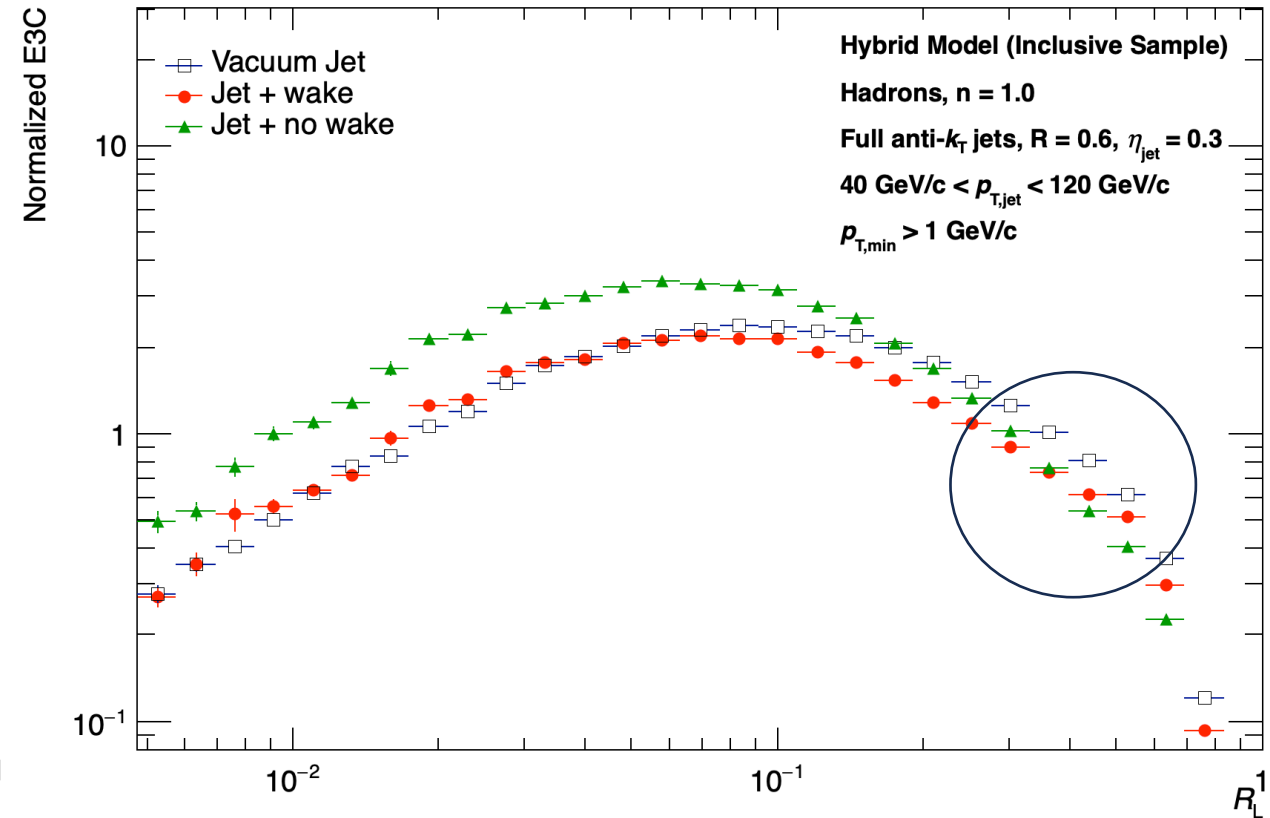
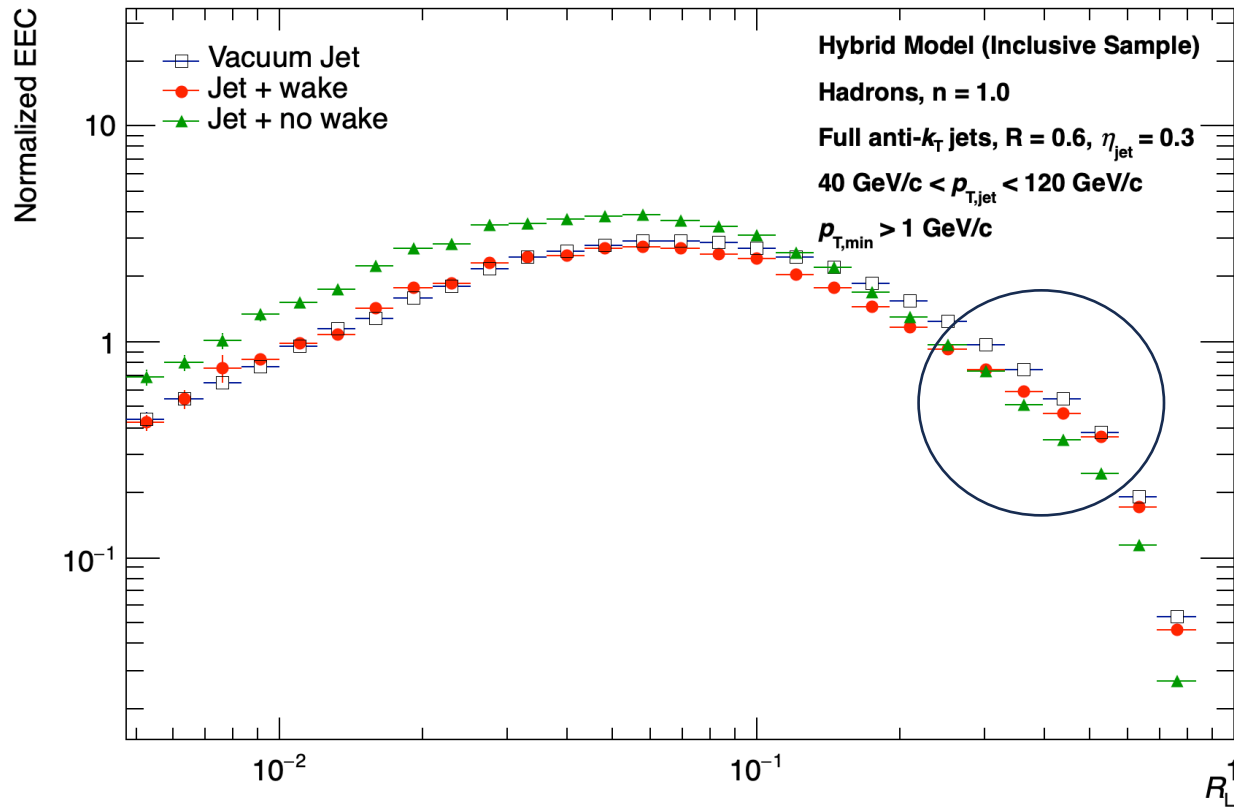
Small change in slope,  
deviation from vacuum  
scaling – effect of the  
**wake.**

- Knobs we can we turn –
- Play with energy weights?
  - Larger jet radius?
  - Play with track  $p_T$  cuts?
  - Isolate phase space?

Excellent opportunity for  
experimental ingenuity!

# ENCs in the Hybrid Model: Turning knobs

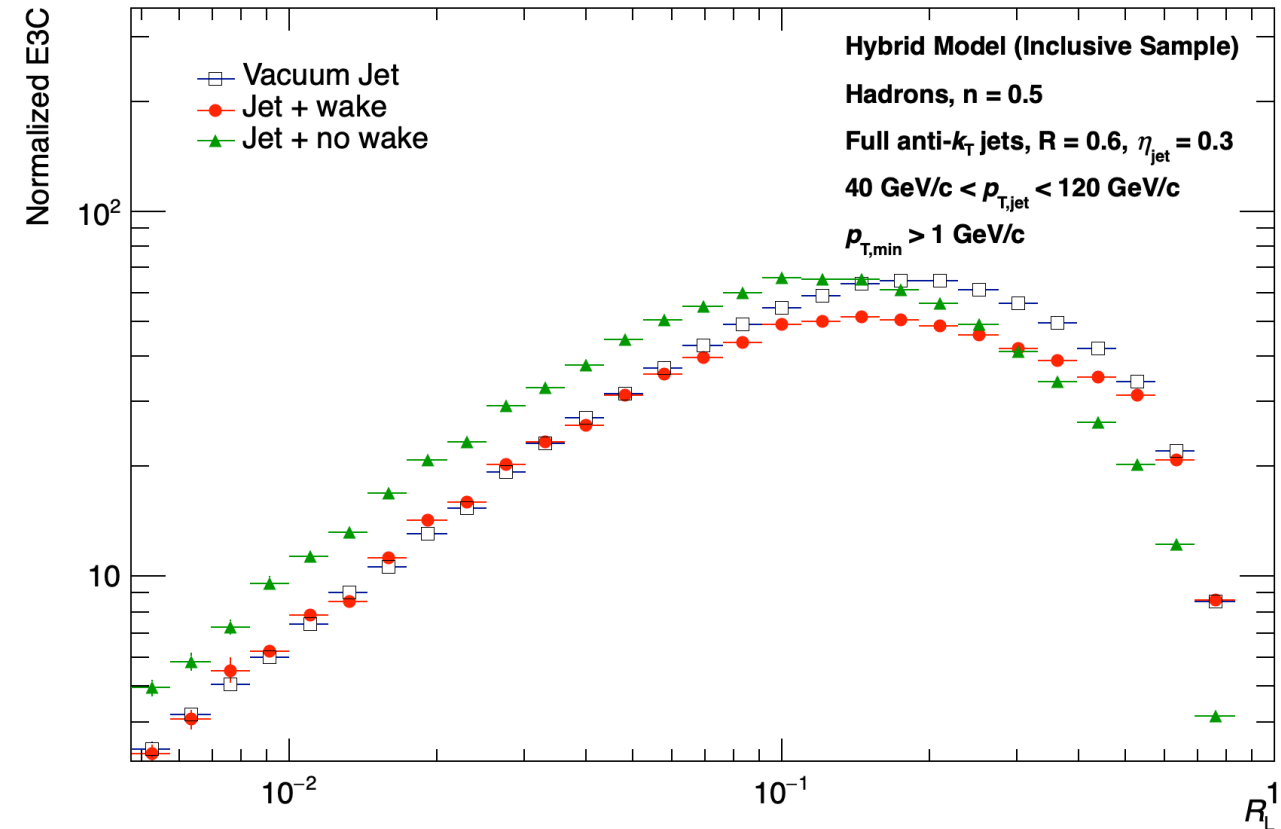
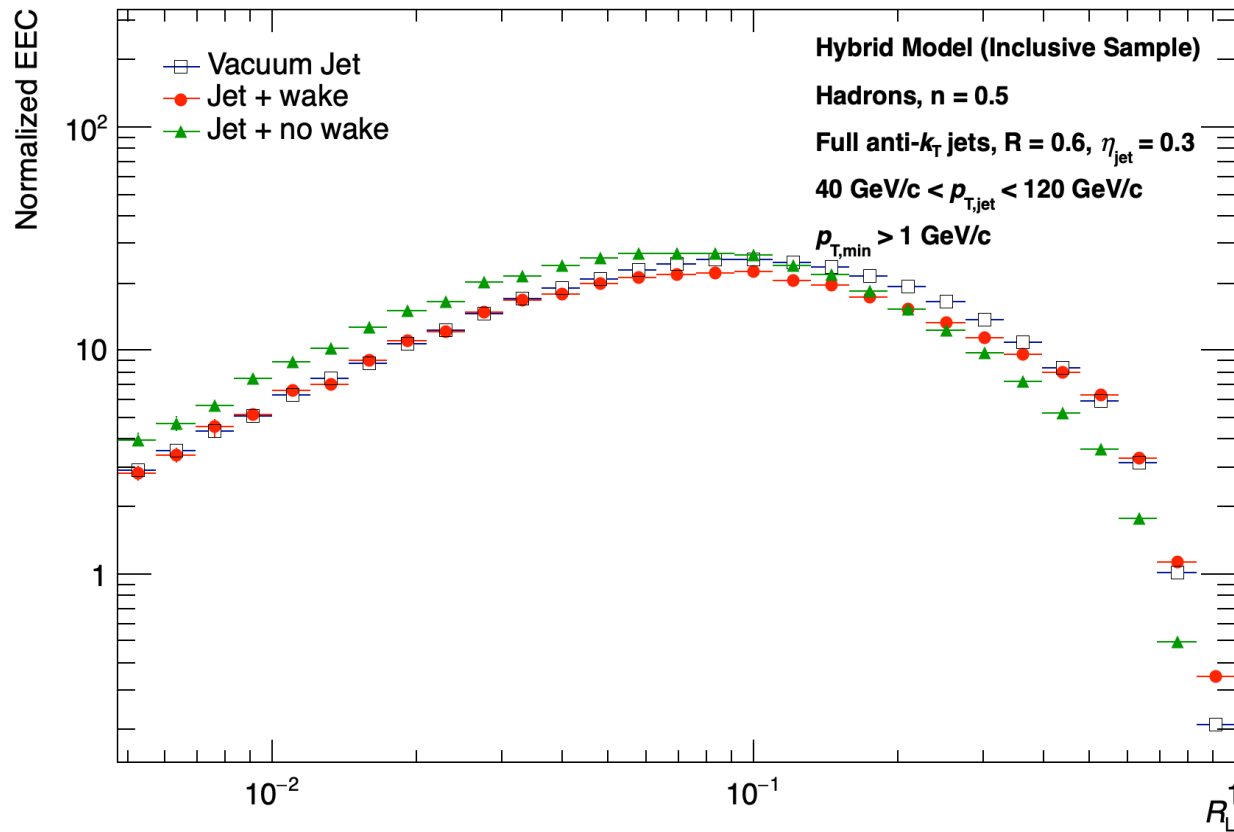
Extending to larger radius ( $R = 0.6$ )



*Per jet and bin-width normalized.*

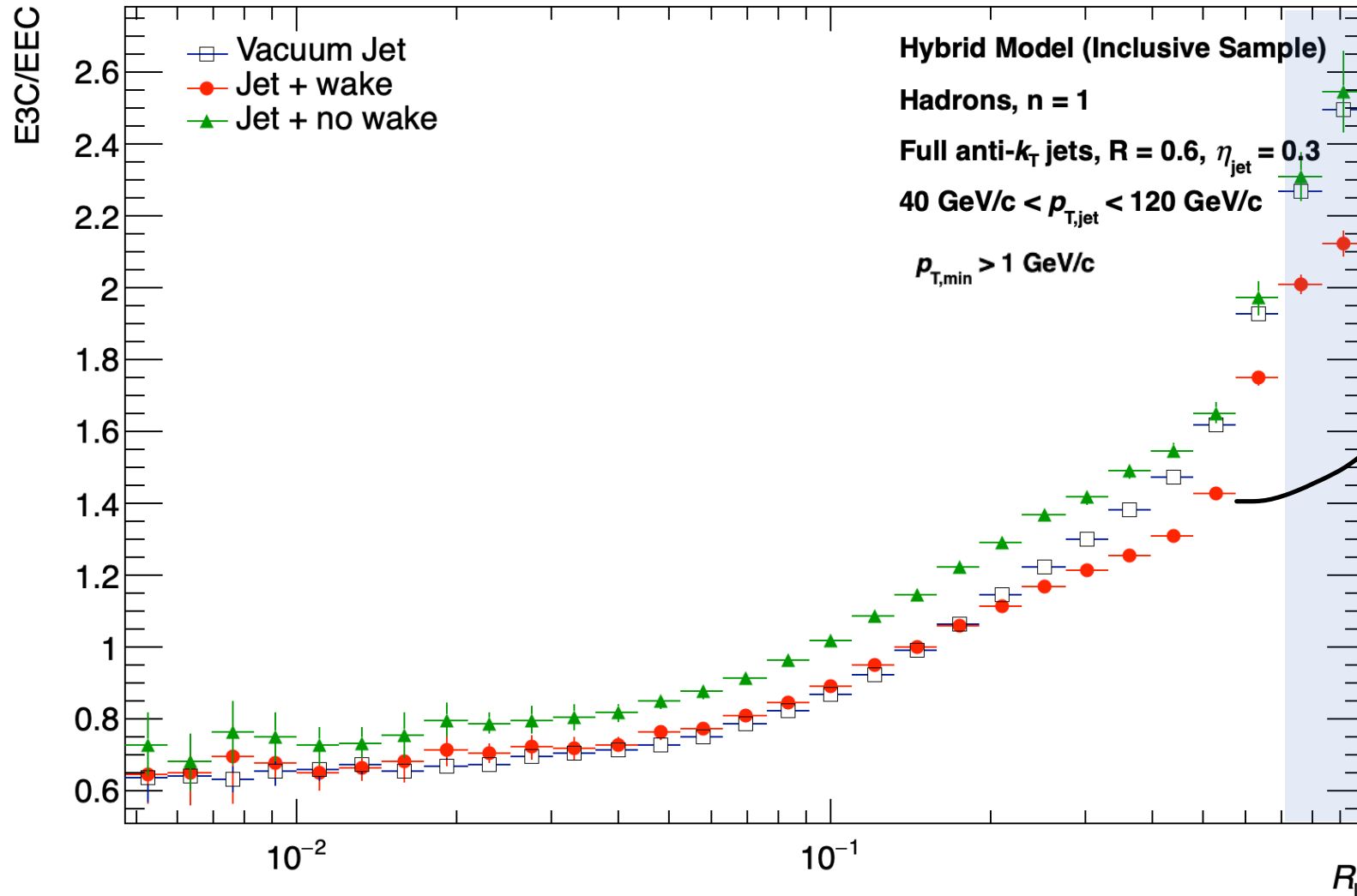
# ENCs in the Hybrid Model: Turning knobs

Extending to larger radius ( $R = 0.6$ ) and playing with energy weights ( $n = 0.5$ )



*Per jet and bin-width normalized.*

# E3C/EEC in the Hybrid Model: Turning knobs



Change in slope, deviation from vacuum scaling is more visible.

E3C/EEC might provide a cleaner signal for medium response effects!

*Motivates jet substructure at larger R!!!*

# Summary and outlook

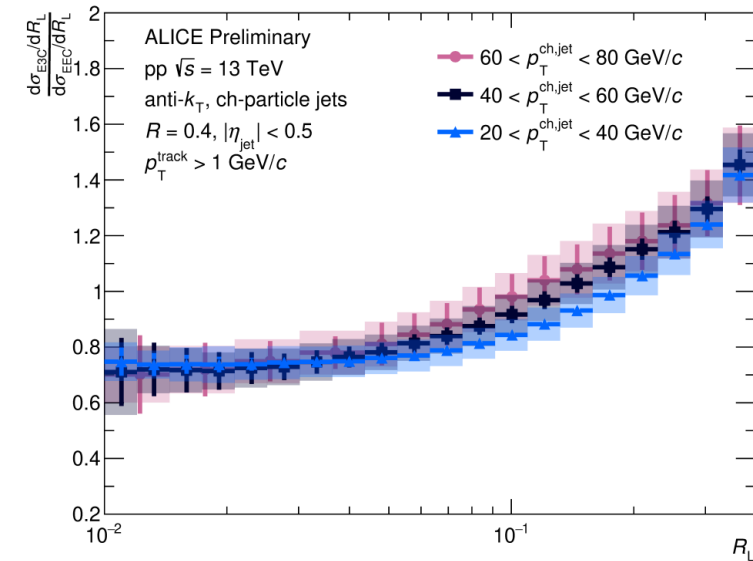
- ENC are a **scale sensitive** probe of QCD.
- E3C/EEC  $\rightarrow$  clear signature of pQCD effects ( $\alpha_s, \gamma_N$ ).
- Access both **hard & soft** physics via playing with energy weightings.
- It looks promising to use E3C/EEC ratios to study **medium response effects** such as the wake – Pb-Pb analysis is ongoing.



Energy Correlators



Other substructure observables



HARD

SOFT



# BACKUP

# Analysis Method/Overview:

- Compute ENC<sub>s</sub> on **charged anti- $k_T$  jets,  $R = 0.4$**
- Bin-by-bin correction: ALICE has great angular resolution ( $\approx 1\text{mrad}$  for  $p_T^{\text{track}} \approx 1\text{GeV}$ )

$$f_{\text{corr}}(R_L^{\text{det}}, p_{T,\text{jet}}^{\text{det}}) = \text{ENC}_{\text{det}} / \text{ENC}_{\text{true}}$$
$$\text{ENC}_{\text{true}}(p_{T,\text{jet}}^{\text{true}}) = (1/f_{\text{corr}}) \text{ENC}_{\text{det}}(p_{T,\text{jet}}^{\text{det}})$$

- Dominant systematic:  $p_T$  migration effects (unfolding checks – ongoing)

Systematics
$p_T$ migration
Single particle tracking efficiency
Pair efficiency
Generator dependence

# Anomalous Dimensions: QFT review

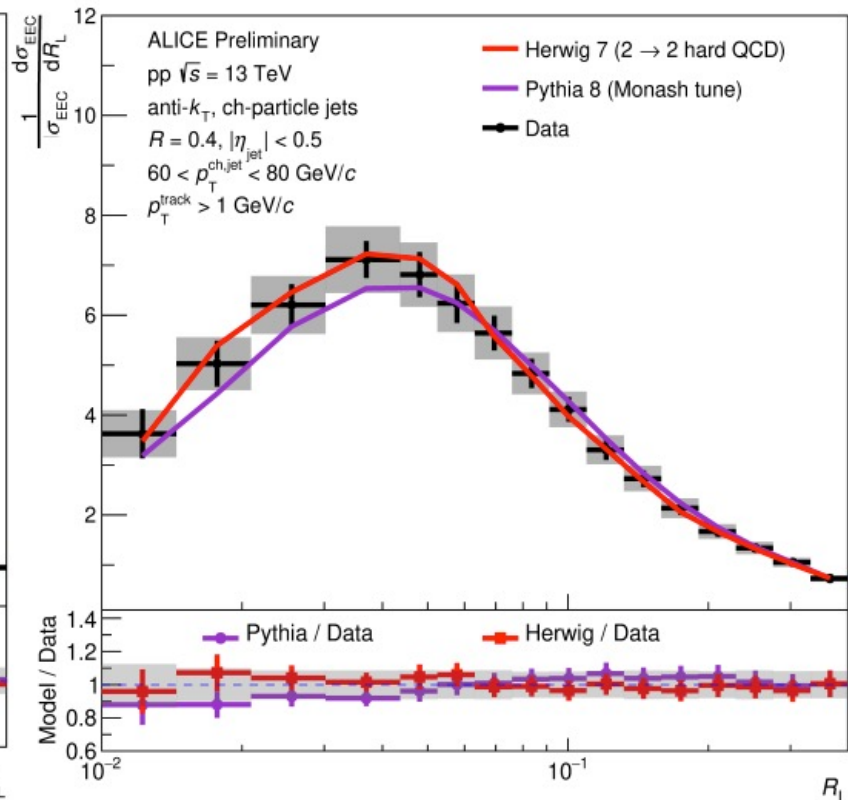
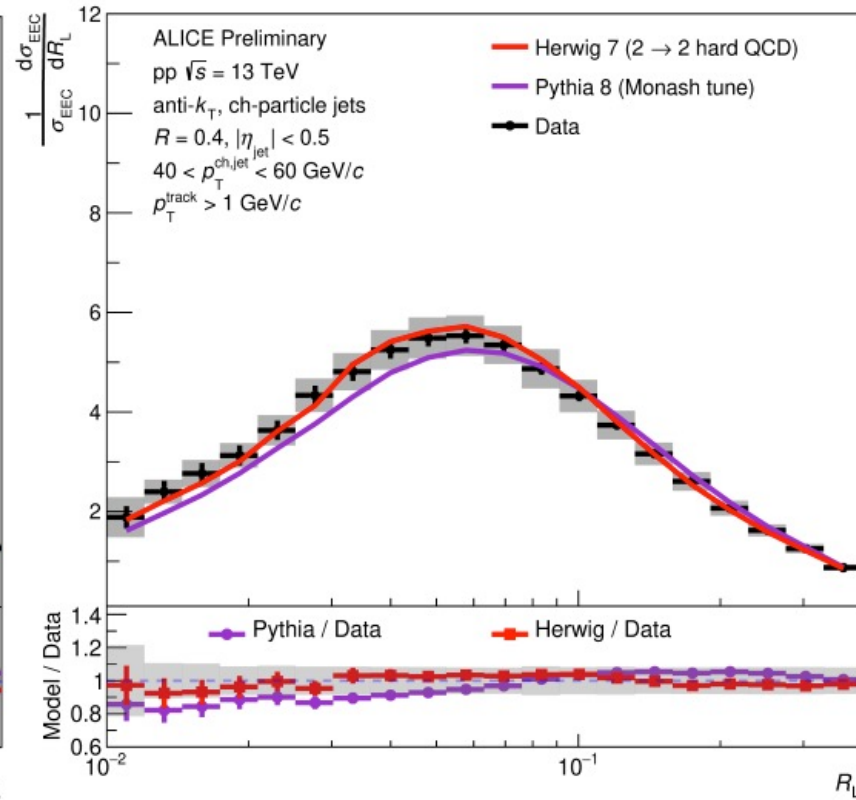
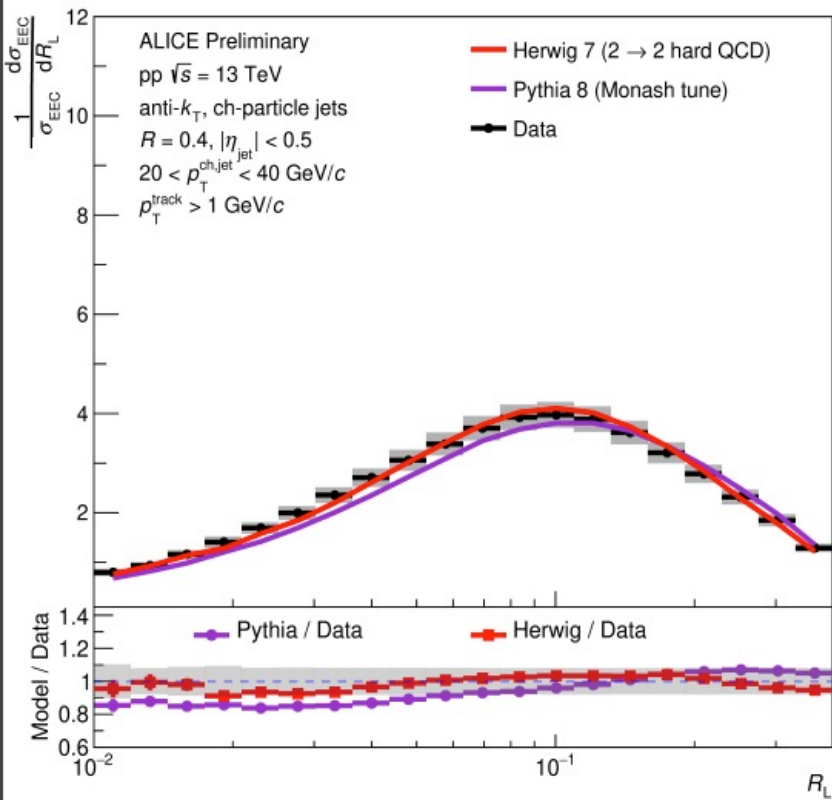
*QFT operators have a scaling/mass dimension  $\Delta_{\mathbb{O}}$ .*

*For e.g., in 3+1D, scalar field  $[\phi] = 1$ , fermion field  $[\psi] = 3/2$ .*

*Quantum mechanical effects  $\longrightarrow \Delta_{\mathbb{O}}$  gets shifted by “anomalous dimensions”,  $\gamma_{\mathbb{O}}$ :*

$$\Delta_{\mathbb{O}} = \Delta_{\mathbb{O}, \text{classical}} + \gamma_{\mathbb{O}}$$

# Model Comparison: EEC



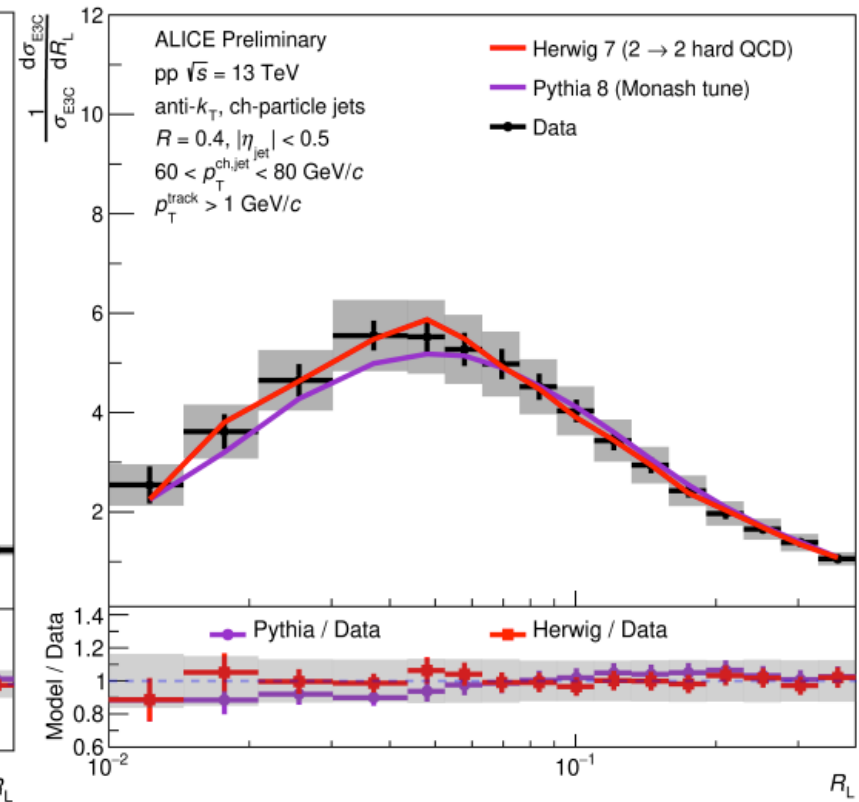
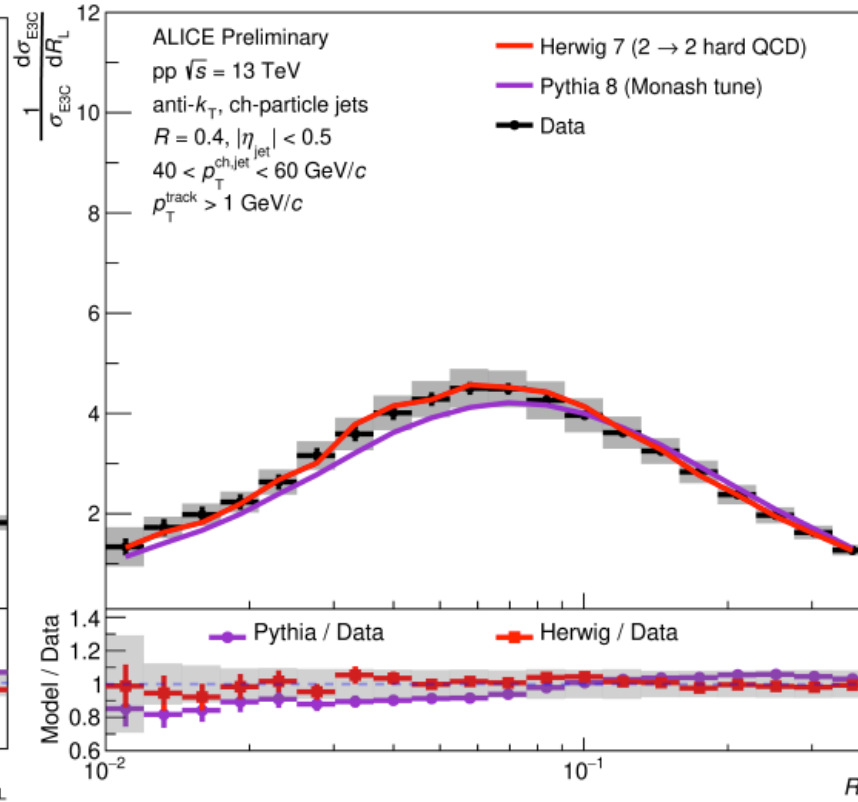
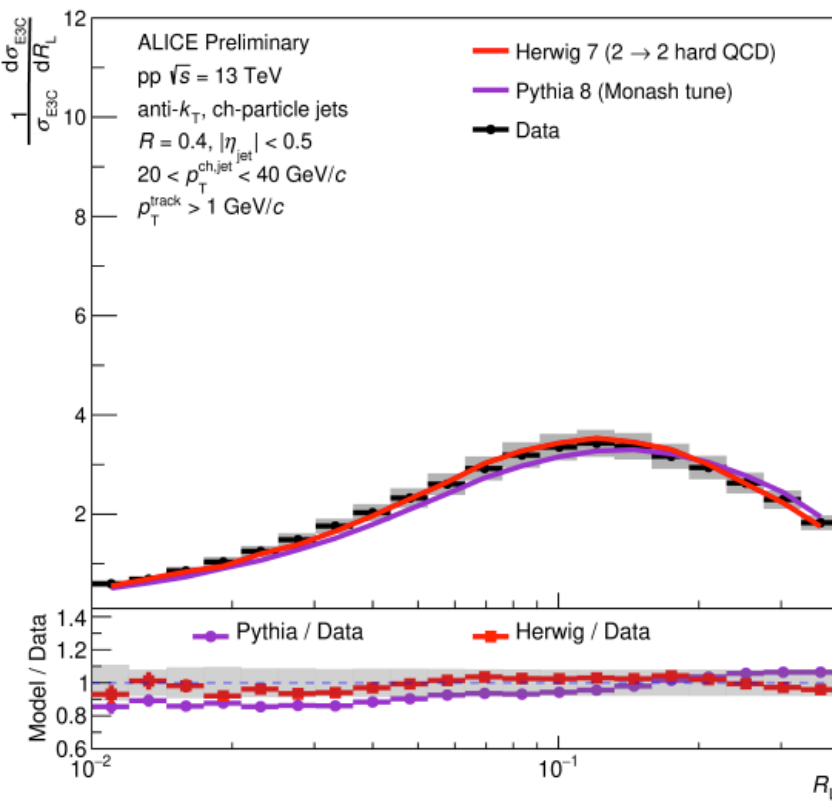
ALI-PREL-557437

ALI-PREL-557442

ALI-PREL-557447

Herwig shows better agreement  
 Differences more pronounced in the hadronic region  
 Possible due to different hadronization mechanisms?

# Model Comparison: E3C



ALI-PREL-557452

ALI-PREL-557457

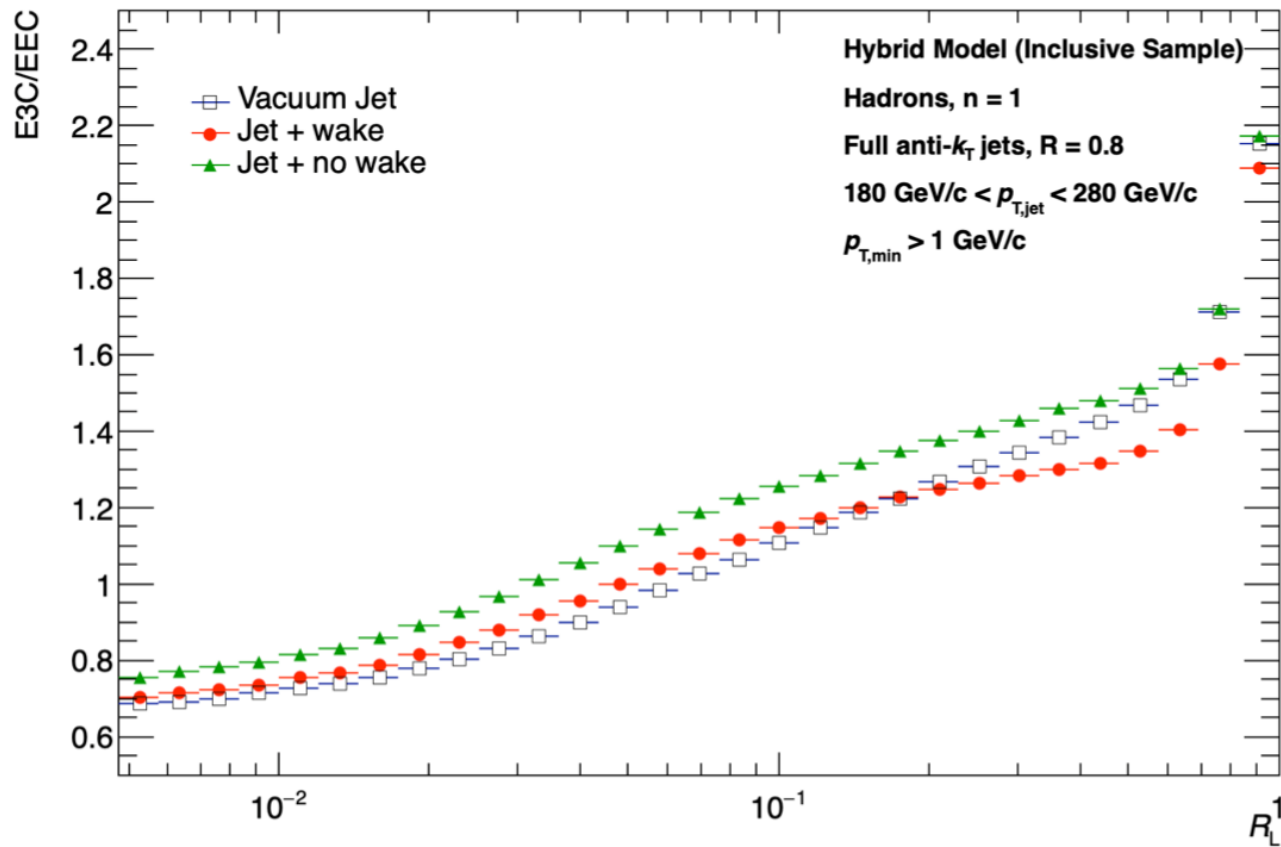
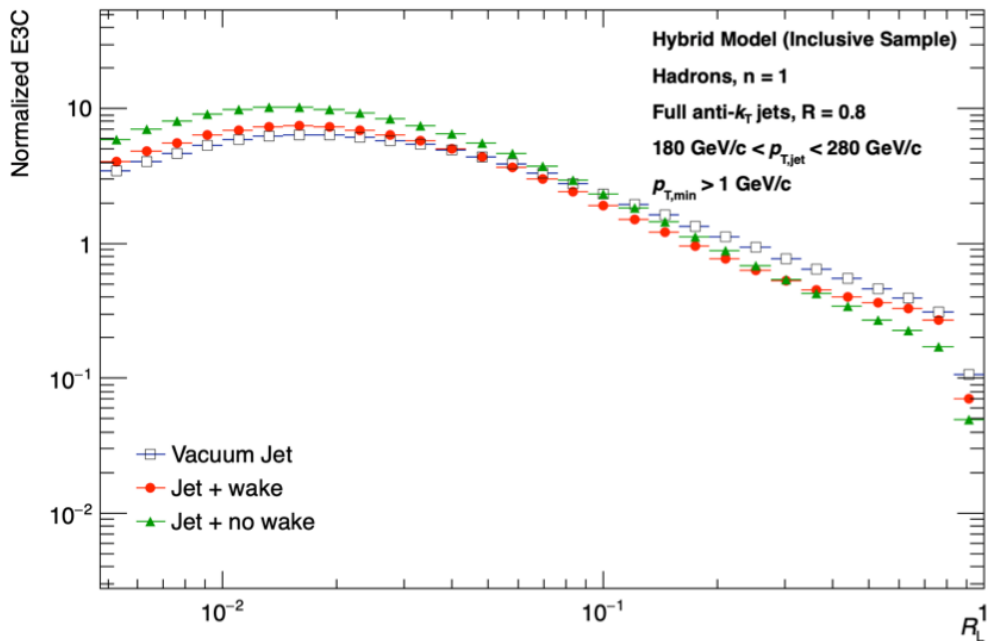
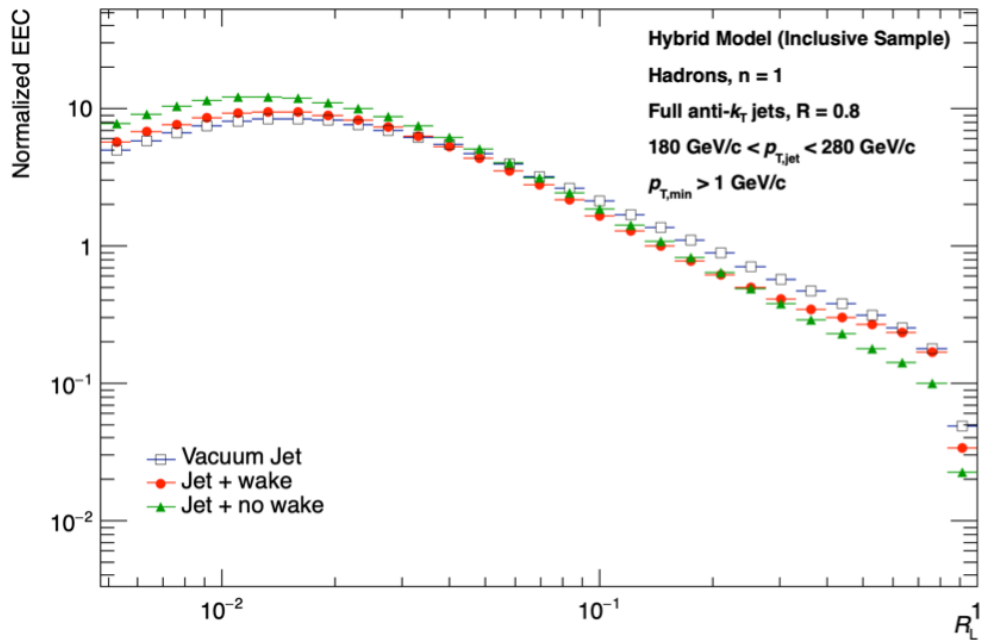
ALI-PREL-557462

Trends remain similar to EEC. Herwig still agrees better





**$R = 0.8, \eta_{jet} = 2, \text{ track } p_T \text{ cut} = 1 \text{ GeV}$**



The ideal observable is E3C/EEC!!