

Heavy Hadron Production in pp Collisions

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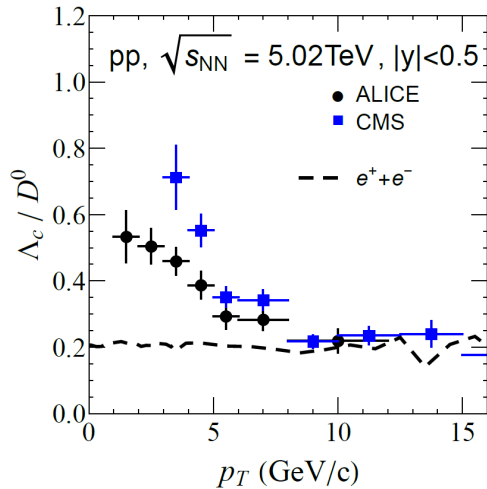
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(*GSI Helmholtzzentrum für Schwerionenforschung, Darmstadt*)

39th Winter Workshop on Nuclear Dynamics
Jackson, Febr. 12-16, 2024

Why should we study heavy hadrons in pp collisions?

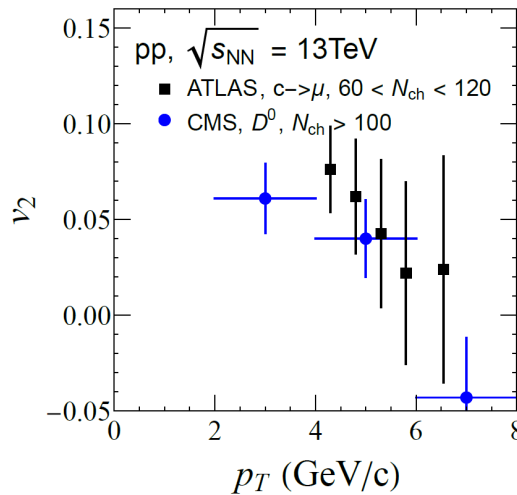
Experimental surprises:

Λ_c / D^0 ratio



are fragmentation functions not universal?

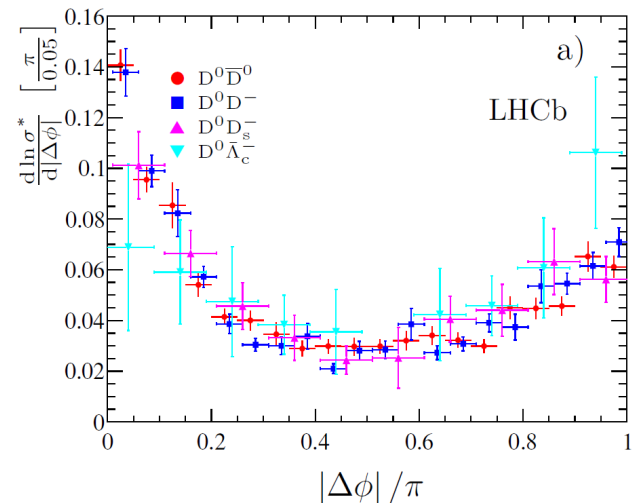
elliptic flow v_2



pQCD: $v_2 = 0$
Where does the finite v_2 come from?

azimuthal $\Delta\phi(p_D, p_{Dbar})$

JHEP06(2012)141

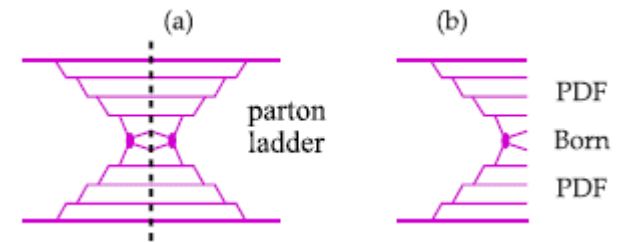


What causes this structured correlation function?

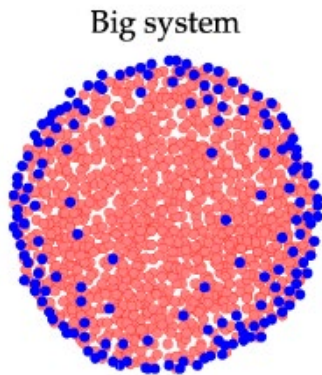
EPOS4 (→ Klaus Werner on Thursday)

EPOS4: general purpose event generator for heavy ion collisions at RHIC and LHC

All scattering are rigorously treated in **parallel**
Overall **energy conservation** and factorisation
binary scaling
Saturation



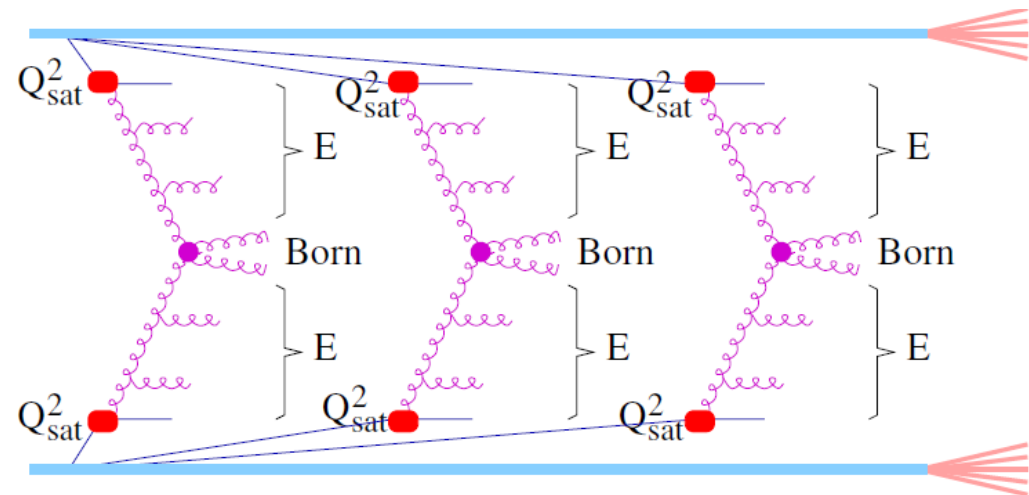
Core (QGP) and corona contributions



Small system



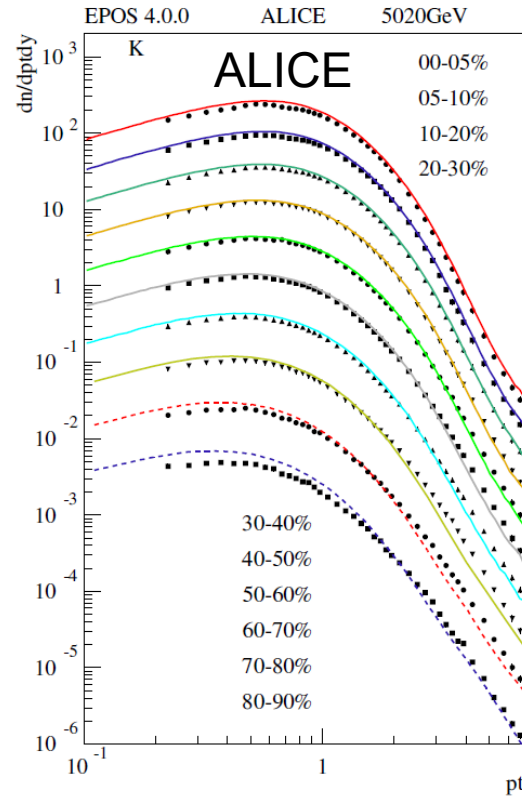
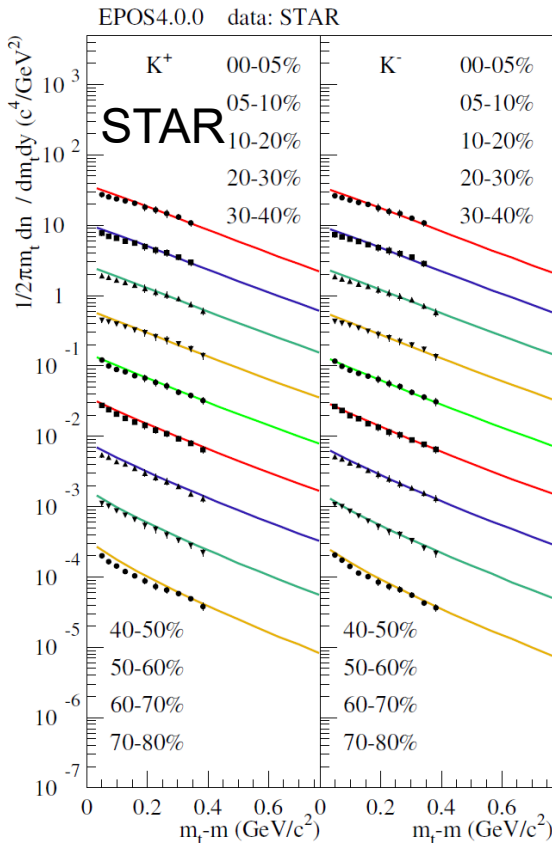
corona = blue core = red



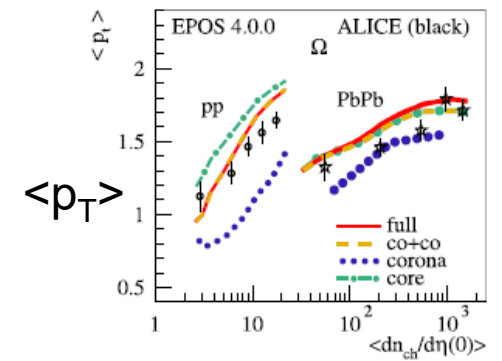
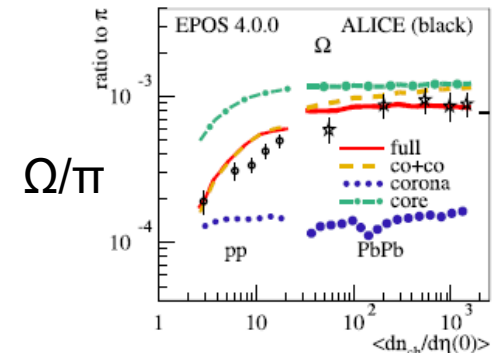
PRC 108 (2023), 064903 PRC 108 (2023), 034904, 2310.09380 [hep-ph]

EPOS4 results in light hadron sector

EPOS4 describes the light meson sector



Even rare baryons



EPOS4HQ – extension for heavy quark physics

EPOS 4

heavy quarks are created at the interaction points
a QGP is created if energy density $> 0.57 \text{ GeV/fm}^2$

No further interaction

e^+e^- fragmentation function \rightarrow hadrons

EPOS4HQ

heavy quarks interact with the QGP
elastic and inelastic collisions

hadronization by fragmentation and
coalescence (for Q/Qbar in the QGP)
when the light quarks hadronize

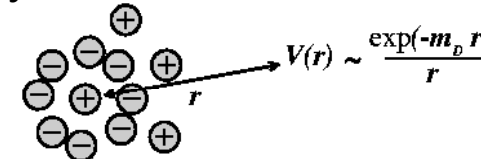
hadronic interactions described by UrQMD

Microcanonical description of heavy quarks. We can follow each Q individually
from creation through hadronization until they are part of heavy hadrons
all fluctuations are kept

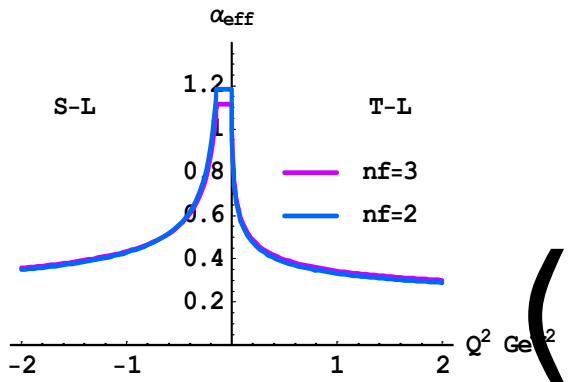
allows to trace back the D meson observables to the properties of Q at production

EPOS4HQ – elastic HQ-parton scattering

The interaction between HQ and q and g is described by Born type diagrams

$$\frac{d\sigma_F}{dt} = \frac{g^4}{\pi(s - M^2)^2} \left[\frac{(s - M^2)^2}{(t - \kappa m_D^2)^2} + \frac{s}{t - \kappa m_D^2} + \frac{1}{2} \right]$$


q/g is randomly chosen from a Fermi/Bose distribution with the local hydro temperature coupling constant and infrared screening are input



Peshier NPA 888, 7
based on universality
constraint of
Dokshitzer

If t is small ($\sqrt{t} \ll T$): Born has to be replaced by a **hard thermal loop (HTL)** approach

For $\sqrt{t} > T$ Born approximation is (almost) ok

(Braaten and Thoma PRD44,2625) for QED: effective propagator

$$\frac{1}{t - \kappa m_D^2}$$

with κ that energy loss indep. of the artificial scale t^* which separates the regimes

Extension to QCD (PRC78:014904)

EPOS4HQ – elastic scattering

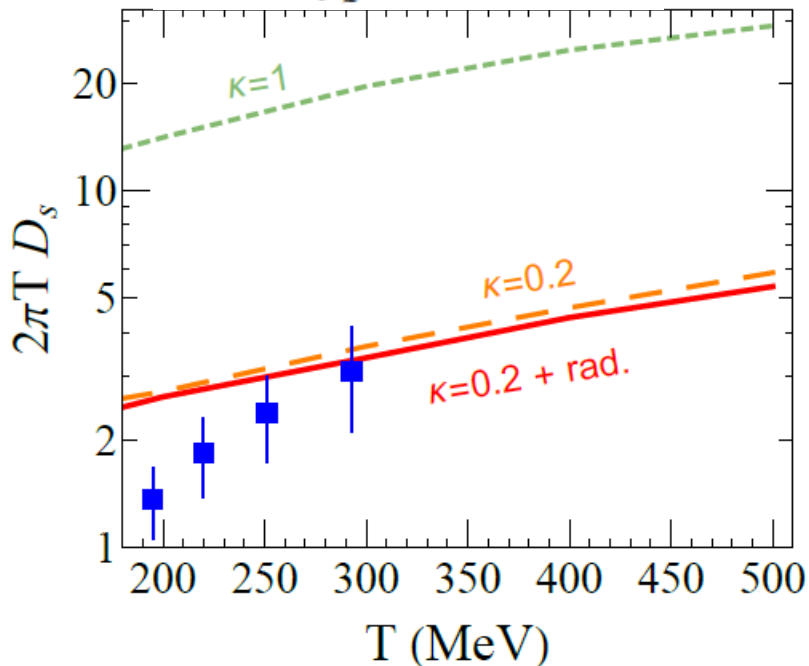
Independence of the energy loss on the intermediate scale t^* requires

$m_D \rightarrow \kappa m_D$ with

$\kappa \approx 0.2$

In the calculations we include all the other channels and gluon interactions

$$D_s = \lim_{p_Q \rightarrow 0} T / (M_Q \eta_D)$$



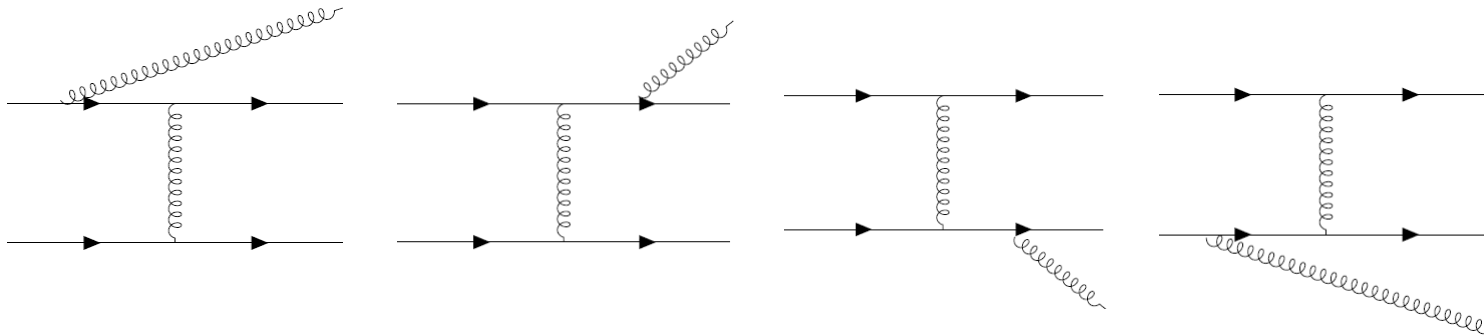
Approach can be checked against lattice calculations

Better agreement as compared to pQCD with an effective thermal mass in gluon propagator

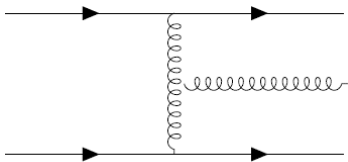
EPOS4HQ - inelastic cross section

QED like

PRD89(2014)074018



genuine QCD



At large \sqrt{s} cross section factorizes

$$\frac{d^5\sigma_{\text{rad}}}{dx d^2l_T d^2k_T} \approx \frac{x(1-x) |\mathcal{M}_{\text{el}}|^2}{4(2\pi)^2(s - m_Q^2)} \underbrace{P_g}_{\text{g-emission prob.}} \underbrace{\frac{1}{\sqrt{\Delta_a}} \Theta(B^+)}_{\text{phase space}}$$

Same elastic matrix element as for elastic coll;

$$P_g(x, \vec{k}_T, \vec{\ell}_T; M) = \frac{C_A \alpha_s}{\pi^2} \frac{1-x}{x} \left(\underbrace{\frac{\vec{k}_T}{\vec{k}_T^2 + x^2 M^2}}_{\text{from Q}} - \underbrace{\frac{\vec{k}_T - \vec{\ell}_T}{(\vec{k}_T - \vec{\ell}_T)^2 + x^2 M^2}}_{\text{from g}} \right)^2 \quad x = \omega/E$$

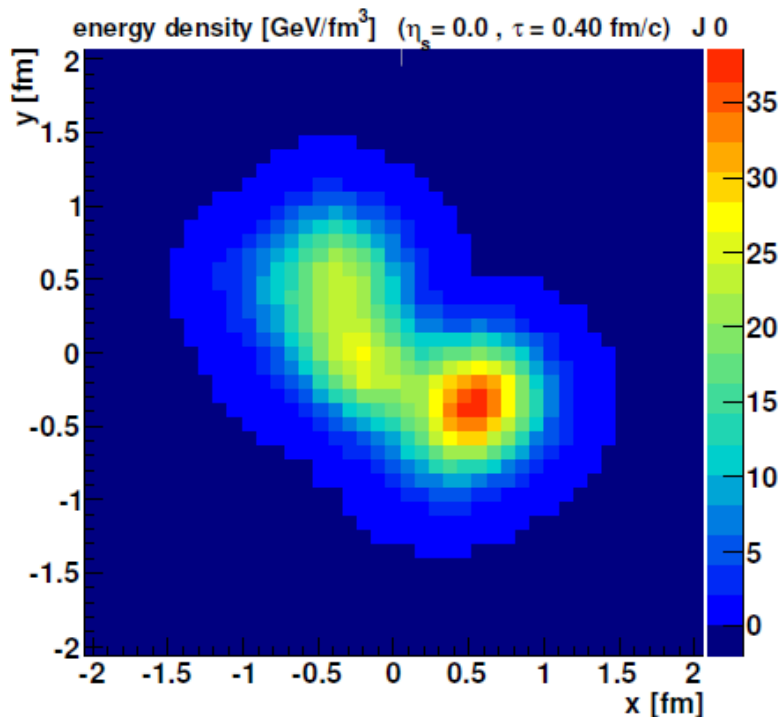
no divergencies

pp in EPOS4HQ

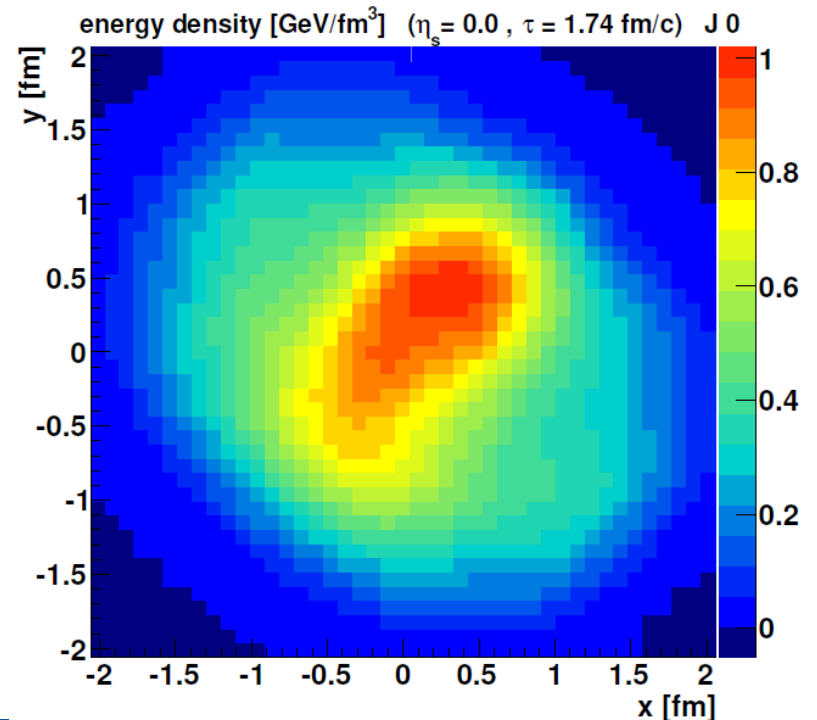
EPOS4HQ applied to pp: QGP is created if energy density $> 0.57 \text{ GeV}/\text{fm}^2$

Energy density in the transverse plane of a typical pp event
(each event looks differently)

Initial



close to hadronization



EPOS4HQ Hadronization

Quantal density matrix approach $P_m = \text{Tr}(\rho_m \rho)$ in Wigner density formalism

Wigner density obtained by

Solution of Schrödinger eq. \rightarrow rms radius \rightarrow 3d harm. Osc. wf with same rms

$$\frac{dN}{d^3\mathbf{P}} = g_H \sum_{N_c} \int \prod_{i=1}^k \frac{d^3\mathbf{p}_i}{(2\pi)^3} f_i(\mathbf{p}_i) W_m(\mathbf{p}_1, \dots, \mathbf{p}_i) \\ \times \delta^{(3)}\left(\mathbf{P} - \sum_{i=1}^k \mathbf{p}_i\right),$$

$$f_1(\mathbf{p}_1) = (2\pi)^3 \delta^{(3)}(\mathbf{p}_c - \mathbf{p}_1)$$

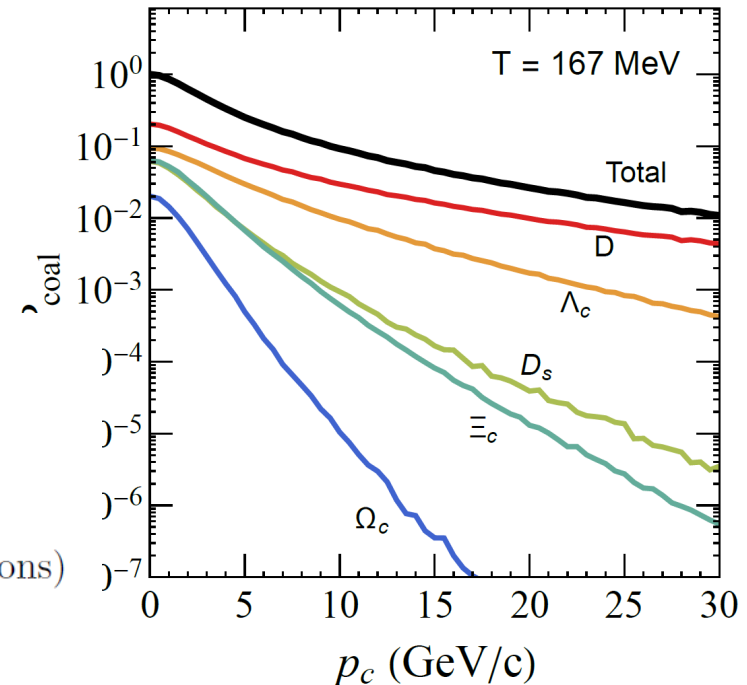
$f_i(\mathbf{p}_i)$ for $i > 1$ drawn from thermal distribution

$$W_m(\mathbf{p}_1, \dots, \mathbf{p}_i) = (2\sqrt{\pi}\sigma_m)^3 e^{-\sigma_m^2 p_r^2}$$

Wigner density of the heavy hadron m in momentum space

g_H degeneracy factor of color and spin. $k = 2(3)$ for mesons (baryons)

Applied when the QGP reaches $\varepsilon = 0.57 \text{ GeV/fm}^3$



If not hadronized by coalescence \rightarrow hadronization by fragmentation

p_T spectra for pp

Spectra at creation and before hadronization very similar

→ Little momentum loss in the QGP

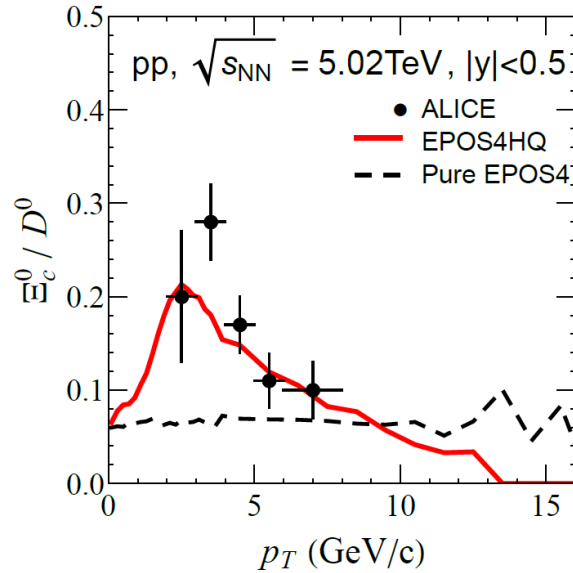
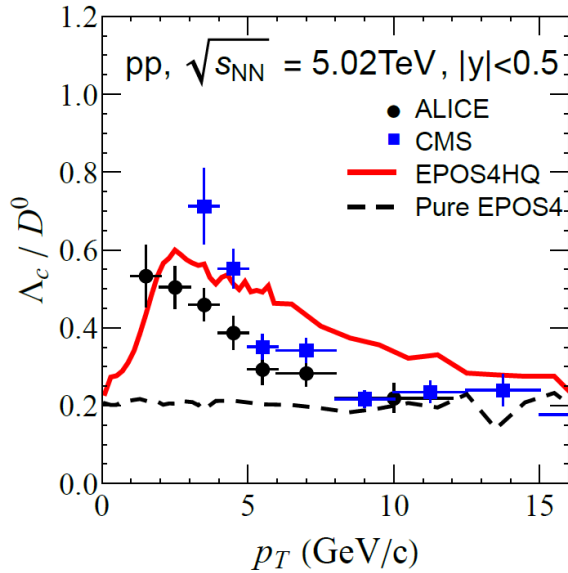
Momentum loss due to hadronization much larger

Final spectrum agrees with QCD based FONLL calculations

All measured spectra of mesons and baryons reproduced

But: **Momentum spectrum not sensitive to the existence of a QGP**

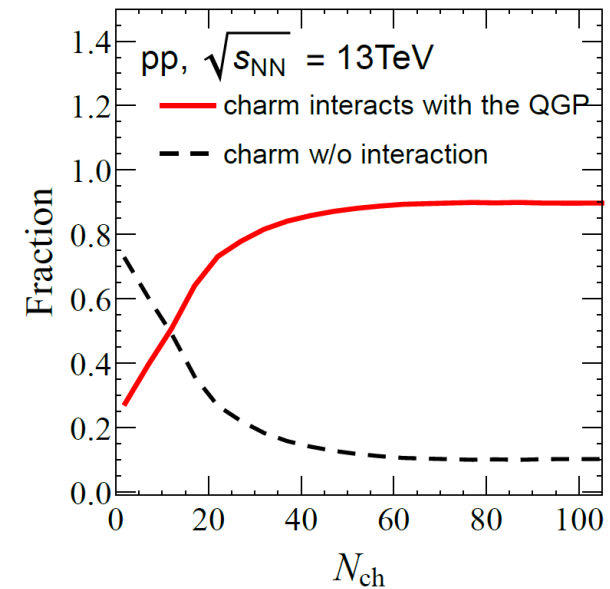
Yield ratios



With increasing N_{ch}
 more Q pass a QGP
 Saturates at $N_{ch} \approx 40$

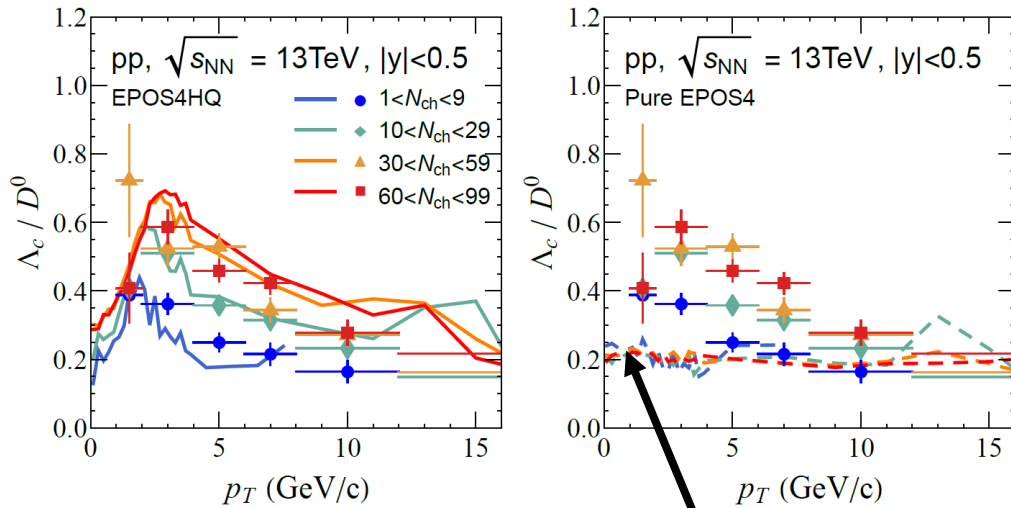
e^+e^- : ratio is constant in p_T = pure EPOS4

Interaction with QGP enhances ratio at low p_T
 hadronization produces more baryons

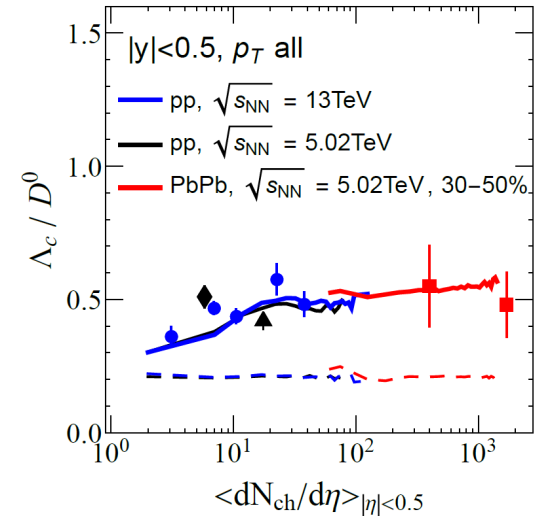


Yield ratios

N_{ch} dependence of the enhancement is confirmed by experiment



Flat distribution in pure EPOS4



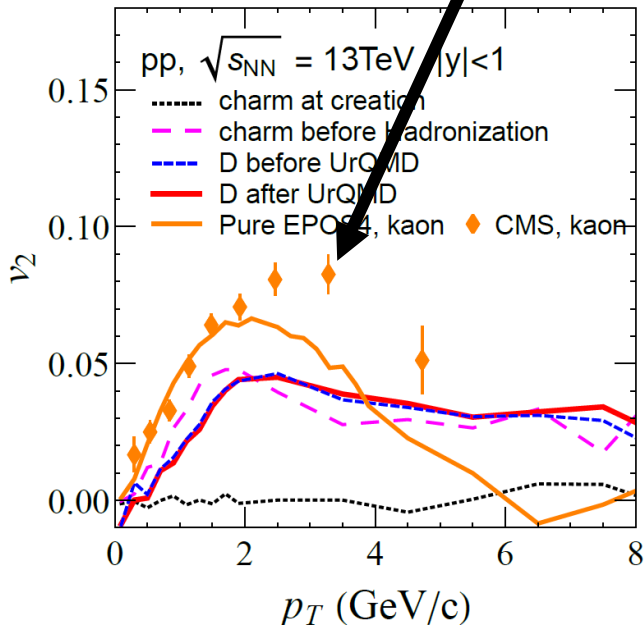
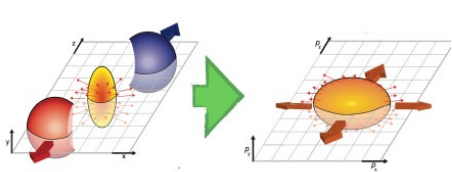
Also experimental enhancement saturates at at $N_{ch} \approx 40$

Yield ratios are a strong indication that a QGP is formed

Elliptic flow v_2

$$\frac{dN}{d\phi} \propto 1 + 2v_1 \cos\phi + 2v_2 \cos(2\phi) \dots \quad \Phi = \text{azimuthal angle wrt reaction plane}$$

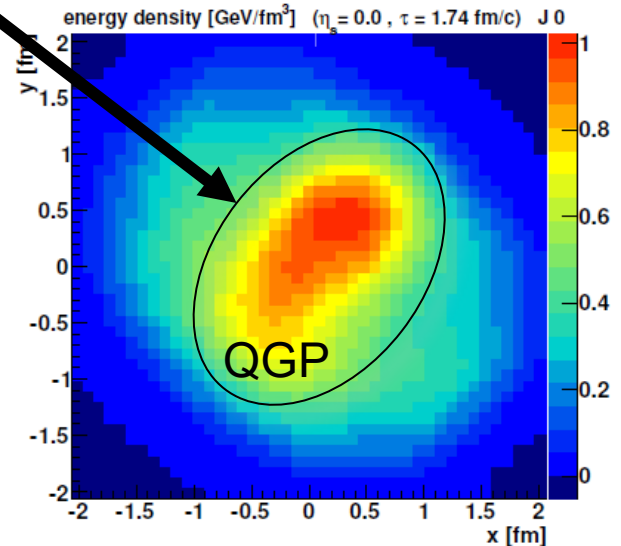
Light hadrons show a finite v_2 created by fluctuations of the energy density and hydro expansion



At **low p_T** :
Spatial eccentricity
 → Anisotropy in azimuthal momentum space
 → $v_2(p_T)$ for low p_T

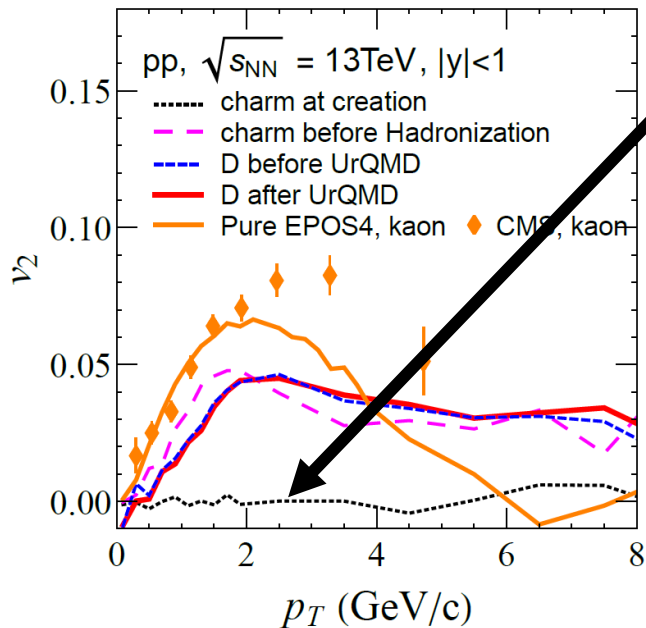
At **high p_T** :
 $v_2(p_T)$ due to **path length difference**
 → Different loss in QGP)

One EPOS4 pp event



Elliptic flow v_2

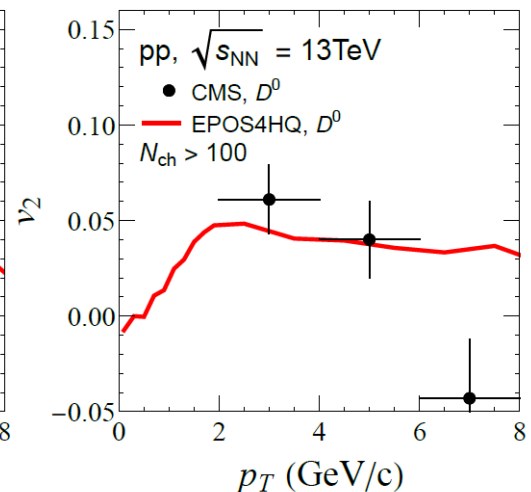
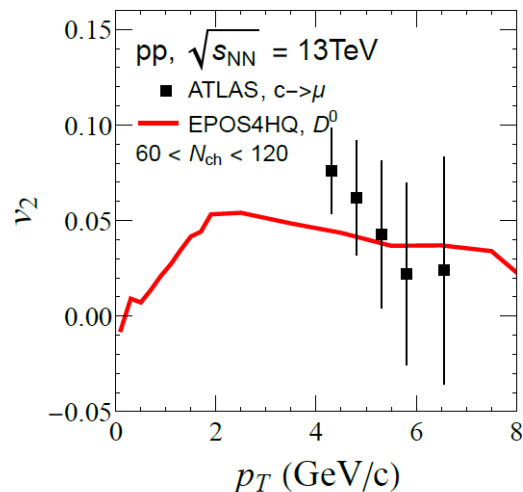
For heavy mesons: Form of $v_2(p_T)$ similar but value is smaller



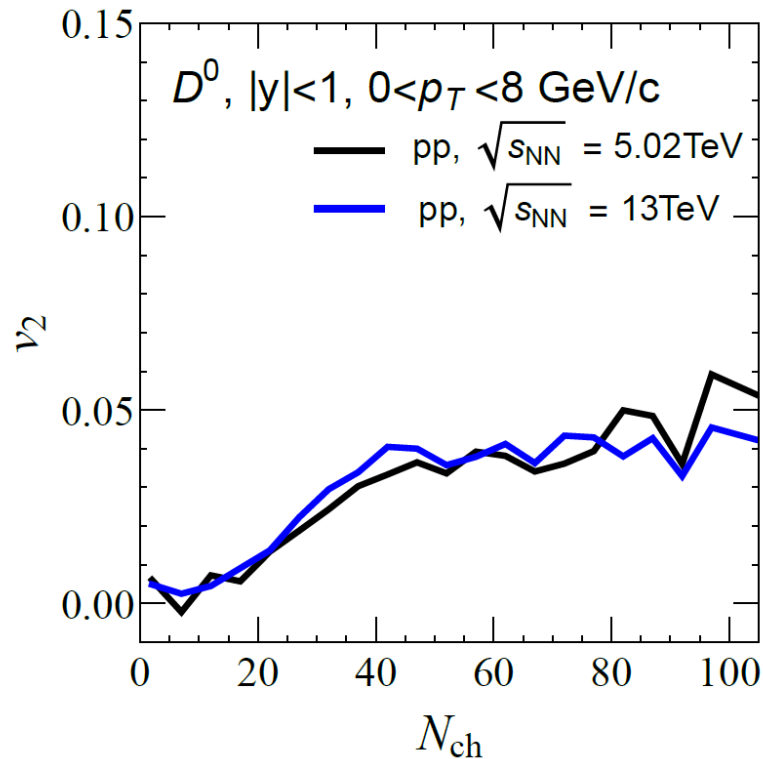
initially heavy quarks are produced in hard processes $M \gg \Lambda_{\text{QCD}}$
 \rightarrow no finite elliptic flow expected

In EPOS4HQ the interaction with the QGP creates this flow even in pp.

$v_2(p_T > 5 \text{ GeV})$ is up to now the only way to measure the energy loss of heavy quarks in a QGP produced in a pp collision



Elliptic flow v_2



v_2 depends on N_{ch}

saturates when all heavy quarks
pass a QGP ($N_{ch} \approx 40$)

Is not beam energy dependent
But less than v_2 of light hadrons

The finite v_2 of heavy hadrons (initially =0!!) as well as its p_T dependence
is another strong indication that a QGP is formed in pp collisions

Correlations between Q and Qbar

Correlations between Q and Qbar are important

if one wants to study/understand D Dbar correlation

if one wants to study hidden heavy flavour mesons like J/ψ

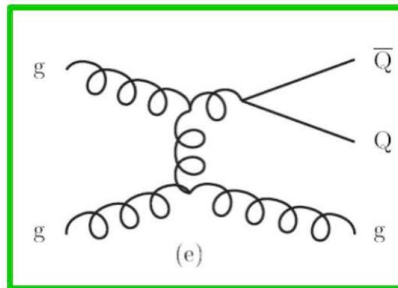
if one wants to understand the p_T distributions of heavy hadrons

FONLL only single particle p_T spectrum

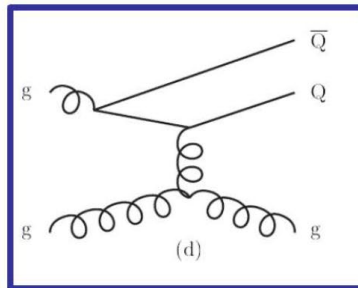
Pythia ISR and FSR can be added

EPOS4HQ separates **the three different production mechanisms**

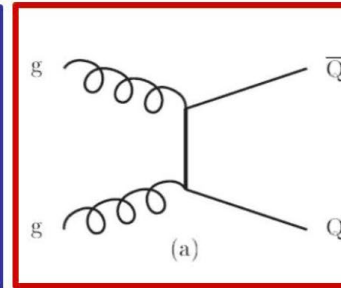
gluon splitting
time like



gluon excitation
space like

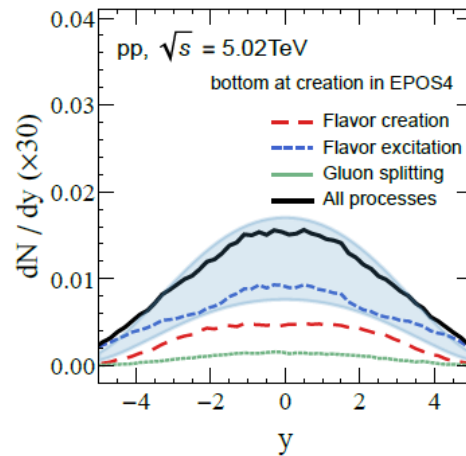
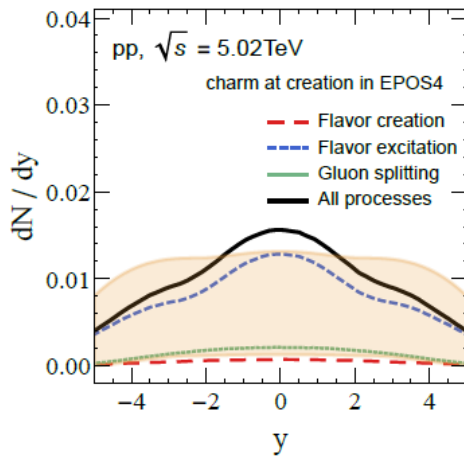
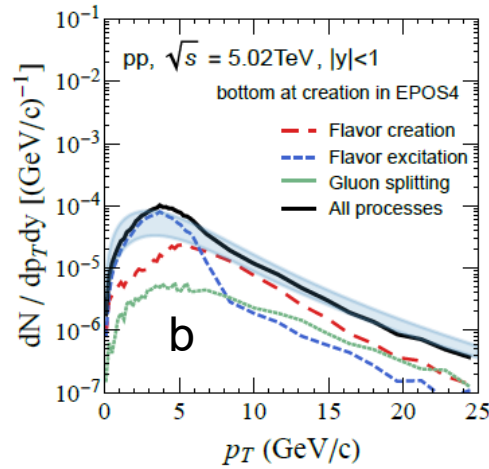
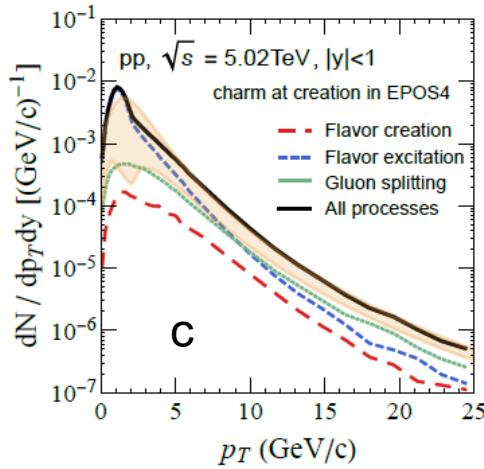


flavour creation
hard process (Born)



Correlations between Q and Qbar

p_T and y distribution depend on creation mechanism



For b and c quarks the contributions are different

High p_T c \rightarrow gluon splitting

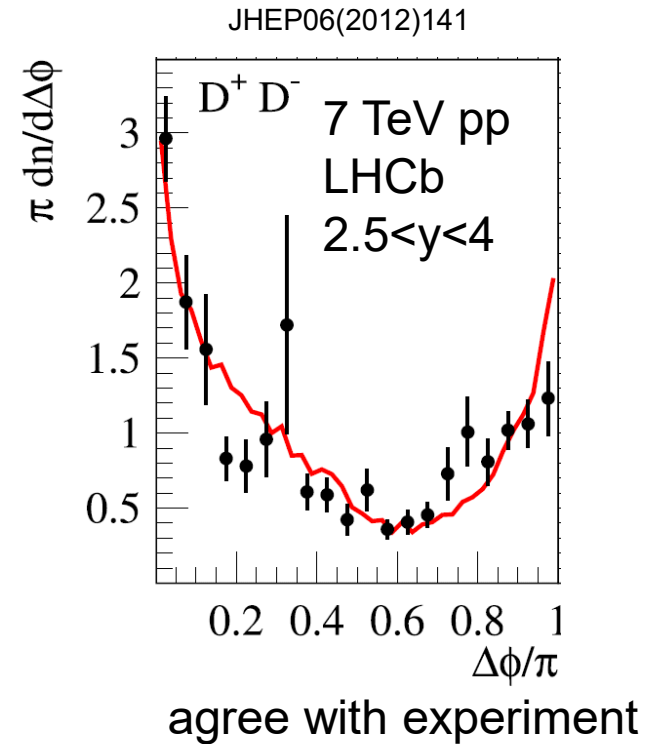
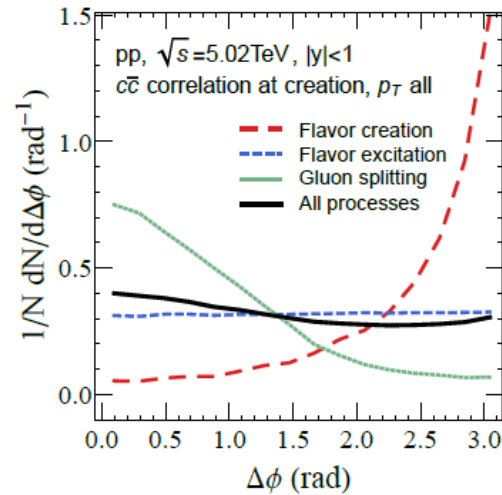
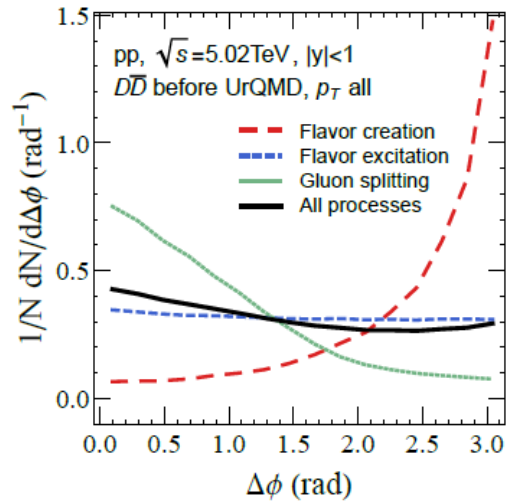
High p_T b \rightarrow flavor creation (more energy avail.)

low p_T flavor excitation

Spectra (sum of all contributions) agree with FONLL

Shaded :FONLL

Correlations between Q and Qbar



The different production mechanisms of QQbar pairs well seen in the azimuthal correlations and explain the structured experimental data

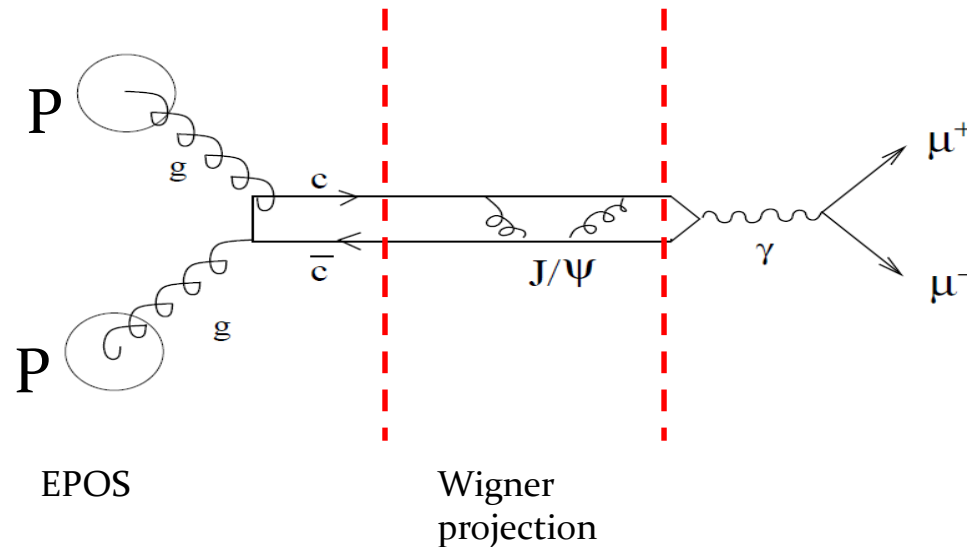
Correlations between Q and Qbar

Correlations between c and $c\bar{c}$ show also up in quarkonium production

How to describe a **bound** state like a $c\bar{c}$ in QCD?

It involves low momenta and needs **non perturbative** input \rightarrow assumptions.

Our approach: **Wigner density** formalism (as successful at lower energies)



Correlations between Q and Qbar

$$\left[-\frac{1}{2\mu} \left(\frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} \right) + \frac{l(l+1)}{2\mu r^2} + V(r) \right] R_{nl}(r) = E R_{nl}(r)$$

$$V(r) = -\alpha/|r| + \sigma|r| \text{ with } \alpha = 0.513, \sigma = 0.17\text{GeV}^2, m_c = 1.5\text{GeV}, m_b = 5.2\text{GeV}.$$

$$\mathbf{r} = \mathbf{r}_i - \mathbf{r}_j$$

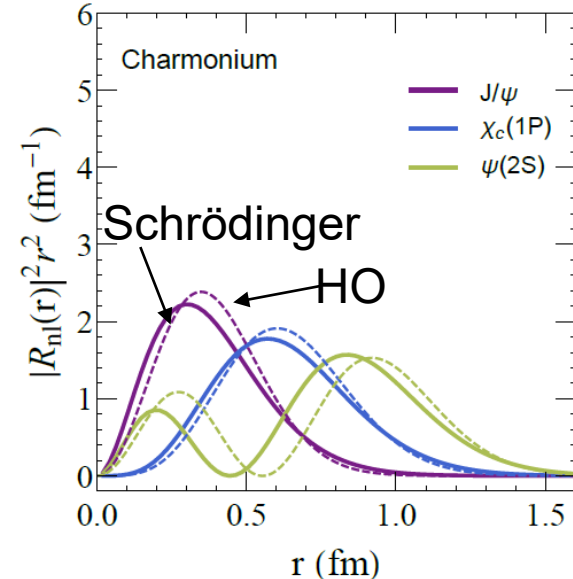
$$\psi(\mathbf{r}) = R_{nl}(r) Y_{l,m}(\theta, \phi).$$

Wave fct converted into a 3d
harmonic oscillator wave fct
with same spin and same rms radius

Wave fct

→ density matrix

→ Wigner density $W_{nl}(r,p)$



Correlations between Q and Qbar

Initial Wigner density of the Q Qbar pair at creation:

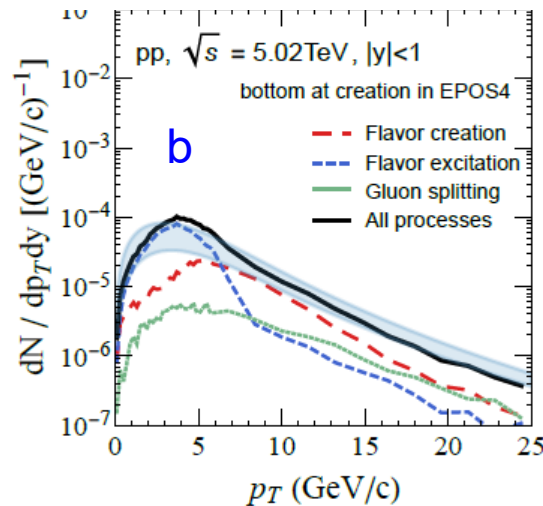
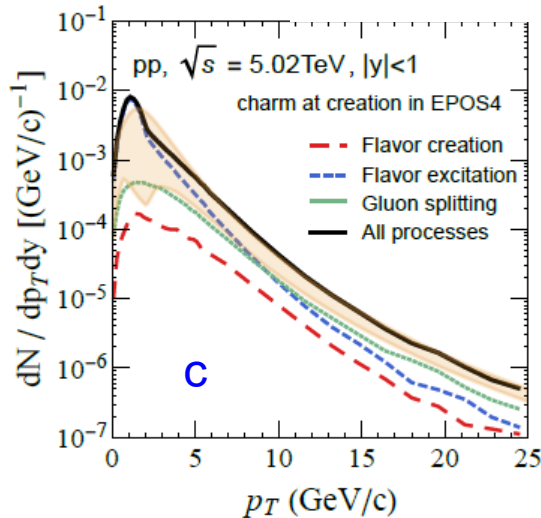
$$W^{(2)}(\mathbf{P}, \mathbf{r}, \mathbf{p}) \sim r^2 \exp\left(-\frac{r^2}{2\sigma_{Q\bar{Q}}^2}\right) f_{Q\bar{Q}}^{\text{EPOS4}}(\mathbf{P}, \mathbf{p})$$

P,p given by EPOS4

$$\sigma_{c\bar{c}} = 0.4\text{fm} ; \sigma_{b\bar{b}} = 0.2\text{fm}$$

Probability that quarkonium m with quantum number n,l is produced

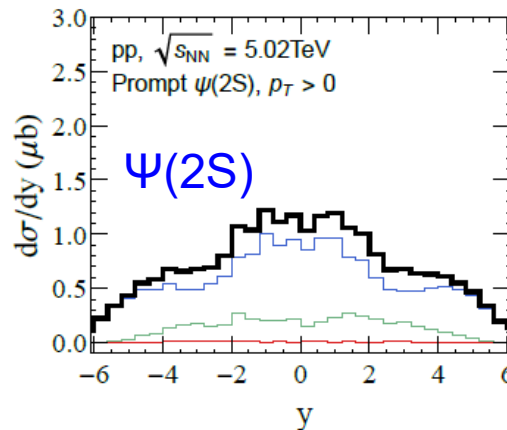
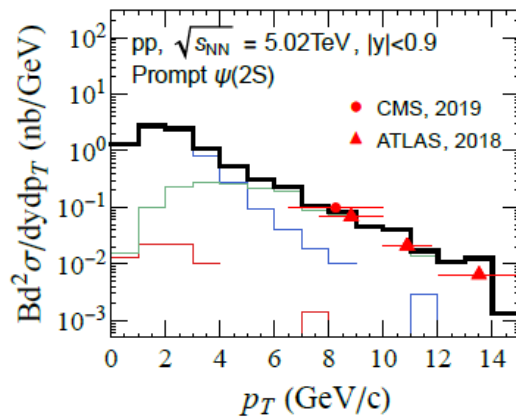
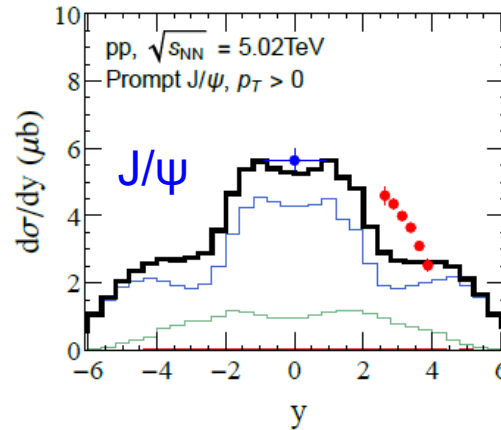
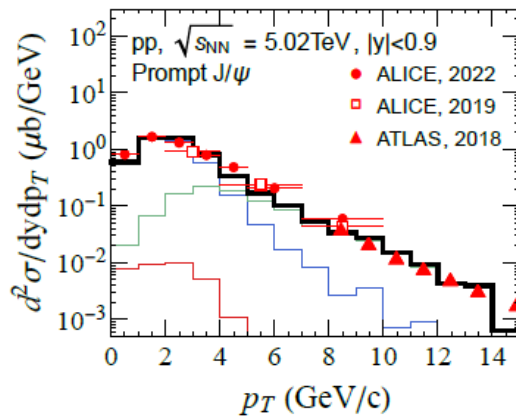
$$\frac{dP_{nl}^m}{d^3\mathbf{P}_{\text{cm}}} = \sum \int \frac{d^3r d^3p}{(2\pi)^6} W_{nl}^m(\mathbf{r}, \mathbf{p}) W^{(2)}(\mathbf{P}_{\text{cm}}, \mathbf{r}, \mathbf{p})$$



In c-cbar and b-bbar
different creation processes
act differently

Correlations between Q and Qbar

Prompt J/ψ spectrum and contribution of the different Q Qbar creation processes



high p_T :
dominated by gluon splitting

flavor creation does not
play a role

low p_T :
Dominated by flavor excitation

Without understanding the
correlations one cannot
understand J/ψ production

Conclusion

Q Qbar physics added to EPOS4 ($\epsilon > \epsilon_0 = 0.57 \text{ GeV/fm}^3 \rightarrow \text{QGP}$)

if applied to pp and assuming that

Qqbar interact with QGP with elastic and inelastic collisions

Q and Qbar in the QGP can hadronize by coalescence (density matrix)

v_2 well reproduced (interaction of Q with the QGP)

meson/baryon ratio well reproduced (hadronization of c cbar by coalescence)

p_T spectra and c cbar correlations little affected by QCP

It seems that pp collisions are by far not elementary but complex many body reactions

Three production mechanisms identified (which explain the exp data)

create different correlations between Q and Qbar

→ p_T spectra of heavy mesons is superposition of the three

J/ ψ production (described by density matrix approach)

→ p_T spectra not understandable without these correlations

pp: perspective to study different aspects of QGP/QCD in detail

HQ interactions with QGP verified by D meson results

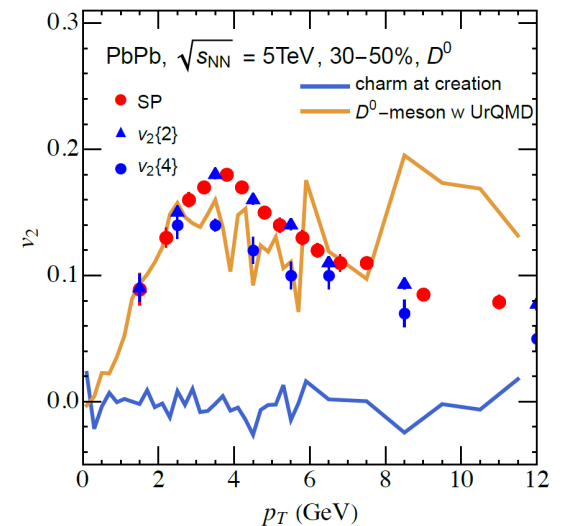
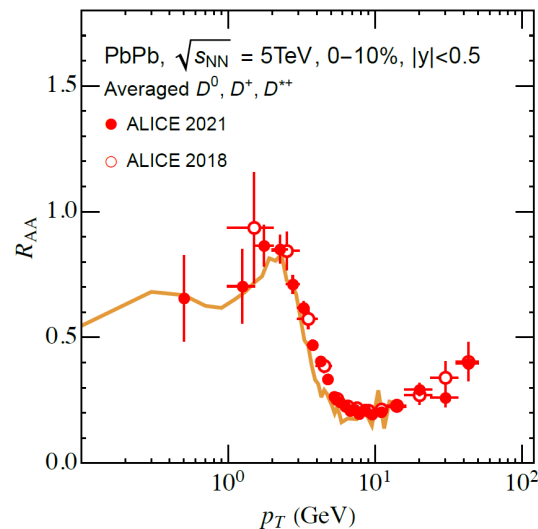
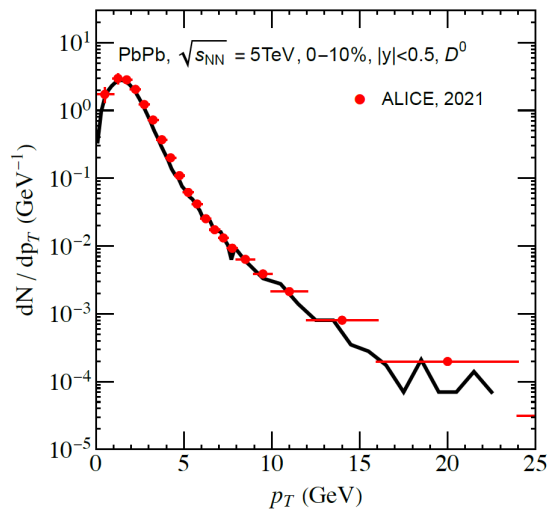
D mesons test the energy loss and v_2 of heavy quarks in a QGP

energy loss tests the **initial phase**

v_2 the **late stage** of the expansion

Two mechanisms : collisional energy loss: PRC78 (2008) 014904

radiative energy loss: PRD89 (2014) 074018

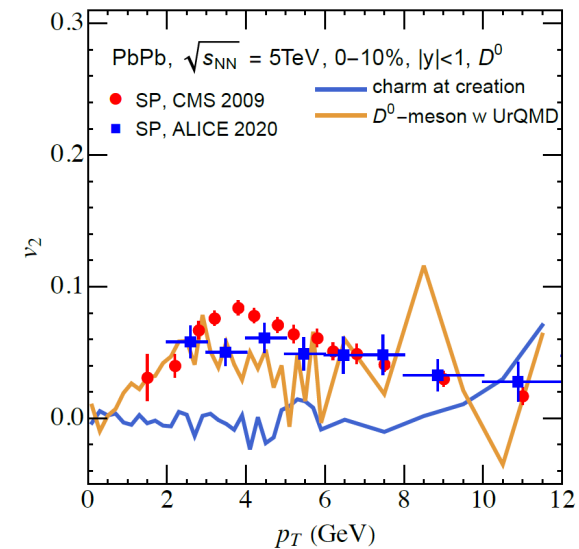
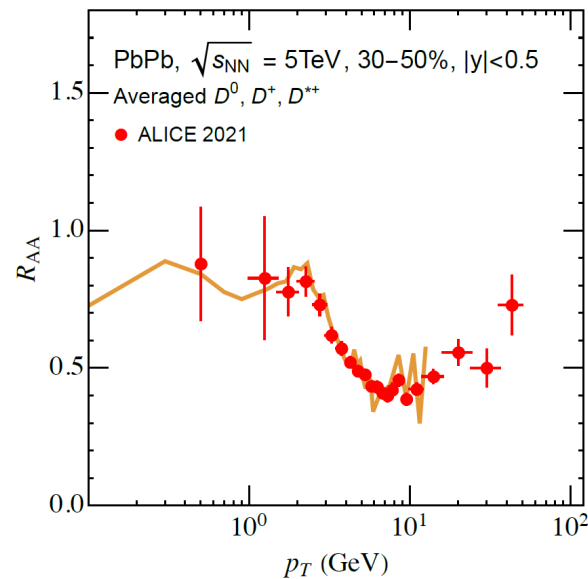
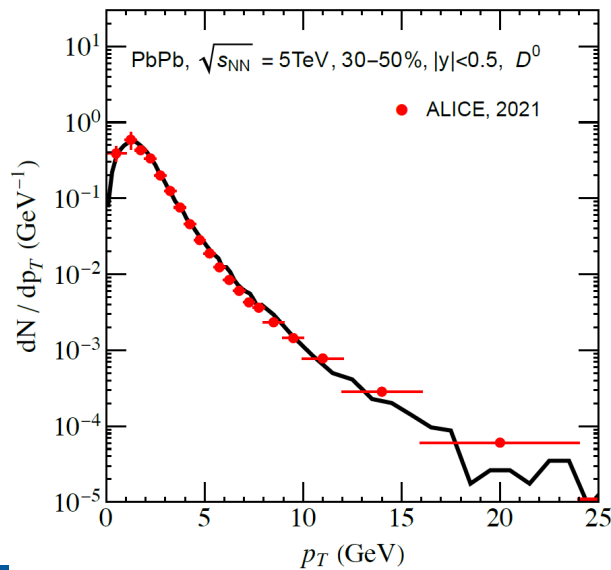
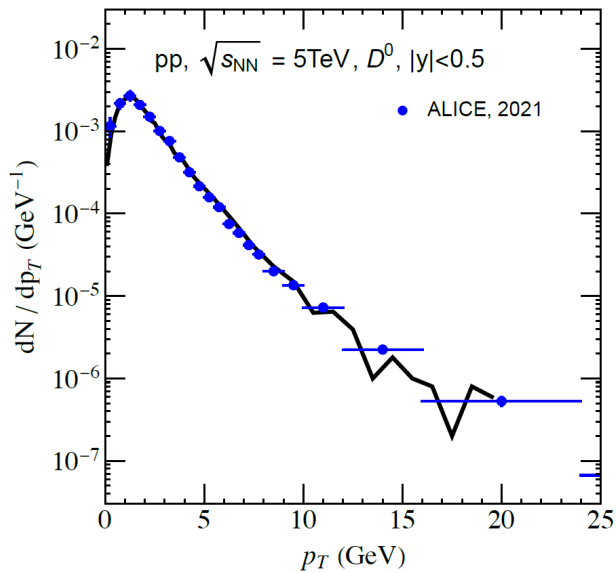


EPOS4HQ reproduces dN/dp_T , R_{AA} and v_2 quite well

→ Heavy quark dynamics in QGP medium under control

Open heavy flavor results in pp and AA from EPOS4

Energy loss of Q in medium can be controlled by comparing open Heavy flavour results with experiment



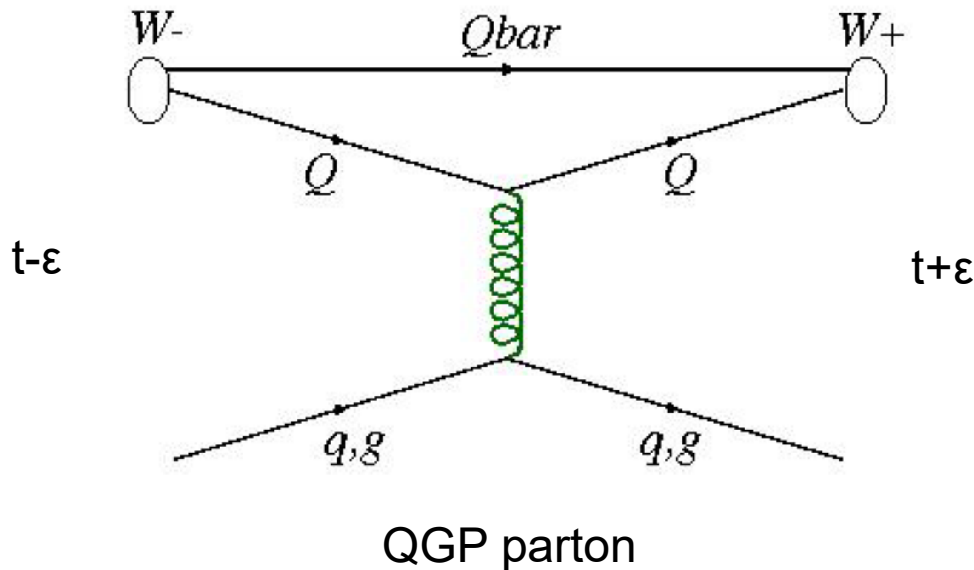
J/ψ creation in heavy ion collisions

$\Gamma^\Phi(t)$ expressed in Wigner and classical phase space density:

$$\Gamma^\Phi(t) = \frac{dP^\Phi(t)}{dt} = \frac{d}{dt} \text{Tr}[\rho^\Phi, \rho_N(t)] \approx \frac{d}{dt} \prod \frac{d^3 r_i d^3 p_i}{(2\pi)^{3N}} W^\Phi(\mathbf{r}, \mathbf{p}) W^c(\mathbf{r}_1, \mathbf{p}_1, \dots, \mathbf{r}_N, \mathbf{p}_N)$$

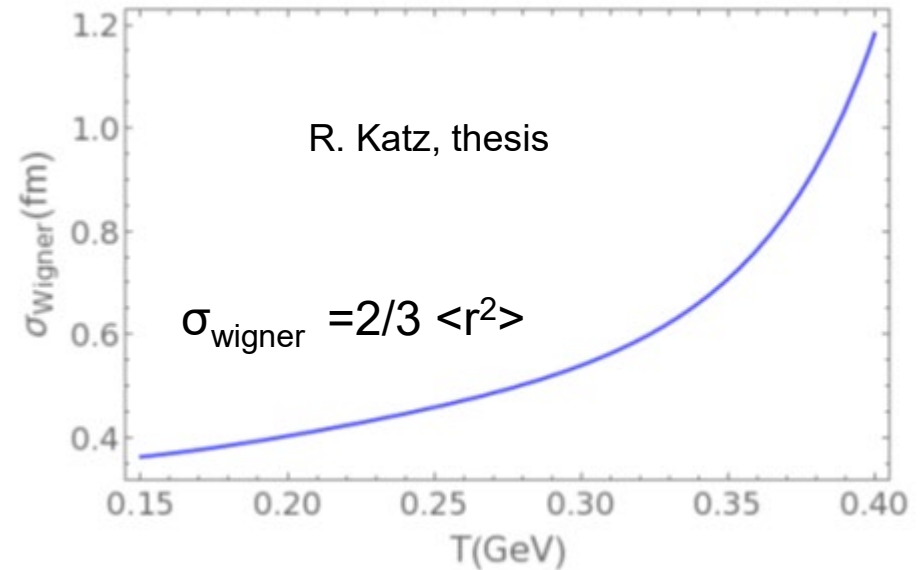
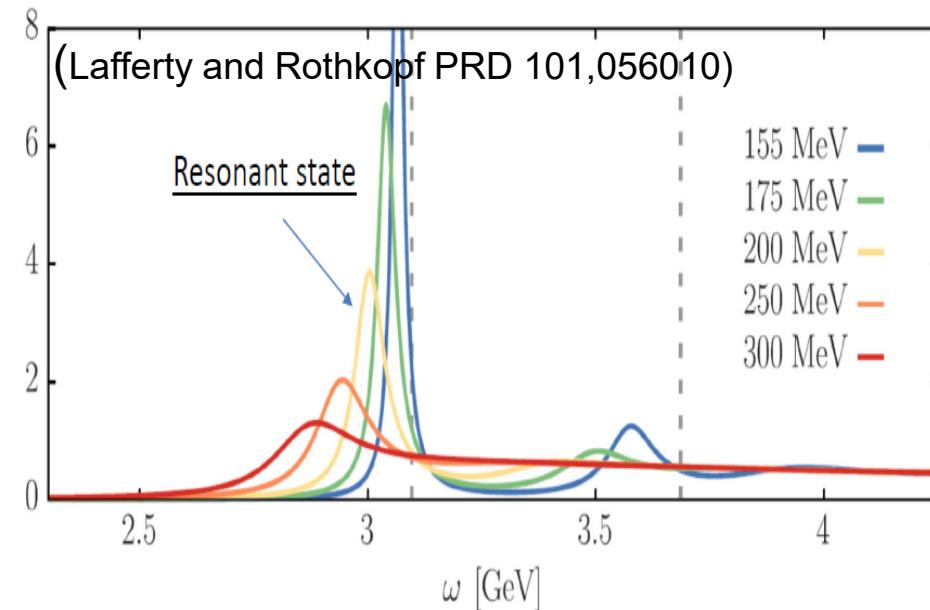
If the collisions are point like in time and if $W^\Phi(\mathbf{r}_1, \mathbf{r}_2, \mathbf{p}_1, \mathbf{p}_2)$ is time independent (1,2 are charm quark, n=number of collision of i and j, $t_{ij}(n)$ =time of n-th collision of ij) :

$$\Gamma^\Phi(t) = \sum_n \sum_{i=1,2} \sum_{j \geq 3} \delta(t-t_{ij}(n)) \prod_N \frac{d^3 r_i d^3 p_i}{(2\pi)^{3N}} W^\Phi(\mathbf{r}, \mathbf{p}) \left[\underbrace{W^c(\mathbf{r}_1, \mathbf{p}_1, \dots, \mathbf{r}_N, \mathbf{p}_N, t + \epsilon)}_{W^+} - \underbrace{W^c(\mathbf{r}_1, \mathbf{p}_1, \dots, \mathbf{r}_N, \mathbf{p}_N, t - \epsilon)}_{W^-} \right]$$



J/ψ creation in heavy ion collisions

Lattice calc: $W^\Phi(\mathbf{r}_1, \mathbf{r}_2, \mathbf{p}_1, \mathbf{p}_2)$ depends on the temperature and hence on time



This creates an additional rate, called **local rate**

$$\Gamma_{loc} = (2\pi\hbar)^3 \int d^3r d^3p W_{Q\bar{Q}}(\mathbf{r}, \mathbf{p}, t) \dot{W}_\Phi(\mathbf{r}, \mathbf{p}, T(t)).$$

Final multiplicity of J/ψ in heavy-ion coll with a dissociation temperature

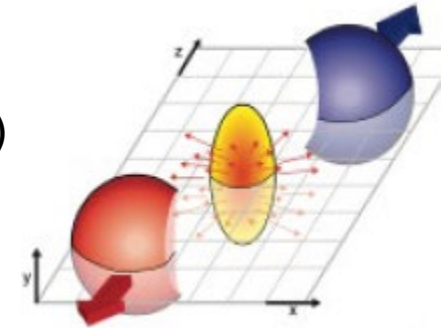
$$P(t) = P^{prim}(t_{init}) + \int_{t_{init}}^t [\Gamma_{coll}(t') + \Gamma_{loc}(t')] dt' \rightarrow P(t \rightarrow \infty) = \text{asympt. multiplicity}$$

Influence of the Corona

EPOS 2 show two classes of particles of initially produced particles:

- **Core** particles which become part of QGP
- **Corona** particles from the surface of the interaction zone (energy density too low, no collision after production → like pp) important for high p_t and for v_2

Confirmed by centrality dependence of multiplicity



For elementary particles it is easy to define corona and core particle (2306.10277)

For J/ψ mesons we use as working description:

Corona J/ψ are those where none of its constituents suffers from a momentum change of $q > q_{\text{thres}}$. Larger q would destroy a J/ψ .

Our approach and open quantum systems

Von Neuman eq.

$$\partial \rho_N / \partial t = -\frac{i}{\hbar} [H, \rho_N] \quad H = H_{1,2} + H_{N-2} + U_{1,2} \quad U_{1,2} = \sum_j V_{1,j} + \sum_j V_{2,j}$$

Prob. to find quarkonium $P^\Phi(t) = \text{Tr}[\rho^\Phi \rho_N(t)]$ with $[\rho^\Phi, H_{1,2}] = 0$ $[\rho^\Phi, H_{N-2}] = 0$

Quarkonium rate: $\frac{dP^\Phi(t)}{dt} = \Gamma^\Phi(t) = \frac{-i}{\hbar} \text{Tr}[\rho^\Phi [U_{1,2}, \rho_N(t)]]$

$$\partial \rho_N(t) / \partial t = -\frac{i}{\hbar} \sum_j [K_j, \rho_N(t)] - \frac{i}{\hbar} \sum_{k>j} [V_{jk}, \rho_N(t)].$$

Interaction: coll. heavy quarks – partons: $-\frac{i}{\hbar} \sum_{k>j} [V_{jk}, \rho_N(t)] \equiv \langle \sum_{k>j} \sum_n \delta(t - t_{jk}(n)) \cdot (W_N^c(\{\mathbf{r}\}, \{\mathbf{p}\}, t + \epsilon) - W_N^c(\{\mathbf{r}\}, \{\mathbf{p}\}, t - \epsilon)) \rangle$.

yields

$$\frac{dP^\Phi(t)}{dt} = \Gamma^\Phi(t) = h^3 \frac{d}{dt} \int \prod_j^N d^3 r_j d^3 p_j W_{12}^\Phi W_N^c(t) = h^3 \int \prod_i^N d^3 \mathbf{r}_i d^3 \mathbf{p}_i W_{12}^\Phi \frac{\partial}{\partial t} W_N^c(t)$$

Lindblad eq. (open quantum systems) in the quantal Brownian motion regime

$$\frac{d}{dt} \rho(t) = -i \left[\frac{p^2}{M} + \Delta H, \rho \right] + \sum_n \int \frac{d^3 k}{(2\pi)^3} \left[C_n(\vec{k}) \rho C_n^\dagger(\vec{k}) - \frac{1}{2} \left\{ C_n^\dagger(\vec{k}) C_n(\vec{k}), \rho \right\} \right]$$

Wigner Density Formalism

c-cbar interaction depends on relative p and r only, \rightarrow plane wave of CM

Starting point: Wave function (w.f.) of the relative motion of state i: $|\Phi_i\rangle$

w.f. \rightarrow density matrix $|\Phi_i\rangle\langle\Phi_i|$

Wigner density of $|\Phi_i\rangle$: $\Phi_i^W(\mathbf{r}, \mathbf{p}) = \int d^3y e^{i\mathbf{p}\cdot\mathbf{y}} \langle \mathbf{r} - \frac{1}{2}\mathbf{y} | \Phi_i \rangle \langle \Phi_i | \mathbf{r} + \frac{1}{2}\mathbf{y} \rangle$.
 (close to classical phase space density)

$$\mathbf{R} = \frac{\mathbf{r}_1 + \mathbf{r}_2}{2}, \quad \mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2,$$

$$\mathbf{P} = \mathbf{p}_1 + \mathbf{p}_2, \quad \mathbf{p} = \frac{\mathbf{p}_1 - \mathbf{p}_2}{2}.$$

$$n_i(\mathbf{R}, \mathbf{P}) = \sum_{\text{all } c\bar{c} \text{ pairs}} \int \frac{d^3r d^3p}{((2\pi)^3)} \Phi_i^W(\mathbf{r}, \mathbf{p}) \prod_{\text{all other particles}} \int \frac{d^3r_j d^3p_j}{(2\pi)^{3(N-2)}} \rho_N^W(\mathbf{r}_1, \mathbf{p}_1 \dots \mathbf{r}_N, \mathbf{p}_N)$$

$$\Rightarrow \frac{dn_i}{d^3P} = \int \frac{d^3R}{(2\pi)^3} n_i(\mathbf{R}, \mathbf{P})$$

The results are obtained using a relativ. formulation

pp: In momentum space given by tuned PYTHIA

In coordinate space $\sim r^2 \exp\left(-\frac{r^2}{2\delta^2}\right)$ $\delta^2 = \langle r^2 \rangle / 3 = 4/(3m_c^2)$