

Theory of rare $b \rightarrow d \ell \ell$ decays

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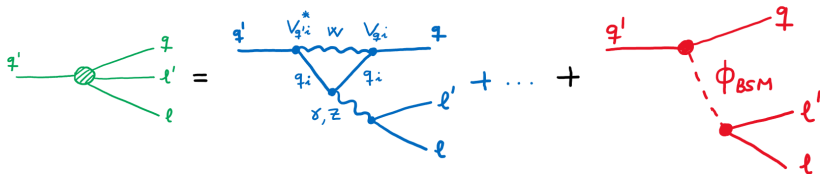


In collaboration with R. Bause, M. Golz and G. Hiller (2209.04457).

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Rare decays probing BSM physics

- FCNCs are loop and CKM suppressed in the SM.



- BSM contributions could be of same size as the SM.

Bonus if l are attached (rare decays):

- SM lepton couplings are flavour universal, LU can be tested.
- If $l \neq l'$ (zero in the SM), LFC can be tested as well.

Excellent place to search for BSM physics!

EFT approach to rare B decays

- 1 Symmetries to build all O_i up to desired dimension ($D = 6$):

$$\mathcal{H}_{\text{eff}} \supset \frac{4 G_F}{\sqrt{2}} V_{tq}^* V_{tb} \frac{\alpha_e}{4\pi} \sum_i c_i^{(\prime)} O_i^{(\prime)}, \quad c_i = C_i^{\text{SM}(\prime)} + C_i^{(\prime)},$$

$$O_7^{(\prime)} = \frac{e}{16\pi^2} m_b (\bar{q}_{L(R)} \sigma_{\mu\nu} b_{R(L)}) F^{\mu\nu},$$

$$O_8^{(\prime)} = \frac{g_s}{16\pi^2} m_b (\bar{q}_{L(R)} \sigma_{\mu\nu} T^a b_{R(L)}) G_a^{\mu\nu},$$

$$O_{9(10)}^{(\prime)} = (\bar{q}_{L(R)} \gamma_\mu b_{L(R)}) (\bar{\ell} \gamma^\mu (\gamma_5) \ell), \dots$$

- 2 Compute $C_i(\mu_{\text{EW}})$ and RGEs to go down $\mu_{\text{low}} \approx m_b$.

$$C_7^{\text{SM}}(m_b) \approx -0.3, \quad C_8^{\text{SM}}(m_b) \approx -0.15, \quad C_9^{\text{SM}}(m_b) \approx 4.1, \quad C_{10}^{\text{SM}}(m_b) \approx -4.2.$$

- 3 $\langle O_i(\mu_{\text{low}}) \rangle$ from non-perturbative techniques (Lattice, LCSR, ...)

- 4 Include resonances (or better avoid them).

$b \rightarrow s \ell \ell$ transitions

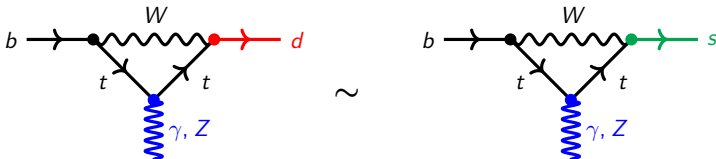
- Over the past decade a set of tensions with SM predictions has emerged in $b \rightarrow s \ell \ell$ transitions:
 - 1 **Branching ratios:** are below the SM values.
 - 2 **Angular observables:** 4σ deviation from the SM in global fits.
 - 3 **LU ratios:** Experimental LHCb update of R_K revealed consistency with the SM.
- 1 - 2 can be explained consistently together by NP contribution in a single operator:

$$C_9^{(bs\mu)} \cdot O_9^{(bs\mu)} \approx -1 \cdot (\bar{s}_L \gamma_\mu b_L) (\bar{\mu} \gamma^\mu \mu)$$

- 3 suggests discrepancies with the SM in $b \rightarrow s e^+ e^-$, specifically reduced BRs and distorted angular distributions.
- While this points to NP, further scrutiny is required before firm conclusions can be drawn.

$b \rightarrow d \ell \ell$ vs $b \rightarrow s \ell \ell$

- Differences between $b \rightarrow d \ell \ell$ & $b \rightarrow s \ell \ell$ in the SM:



- (1) CKM matrix elements: V_{td} vs V_{ts} , (2) Light quark masses: m_d vs m_s

$$C_i^{(b \rightarrow d)} \approx C_i^{(b \rightarrow s)}, \quad (\text{CKMs factorized in } \mathcal{H}_{\text{eff}})$$

$$C_i^{\prime(b \rightarrow d)} \approx \left(\frac{m_d}{m_s} \right) C_i^{\prime(b \rightarrow s)}, \quad (O_i' \text{ chiral suppression})$$

- A violation would signal additional BSM sources of quark flavor violation (beyond (1) and (2)); an agreement would indicate similar effects as the current tensions (maybe NP?).

Global fit of $b \rightarrow d \ell \ell$ transitions

What observables do we use?

- **Branching ratios of rare $b \rightarrow d \mu^+ \mu^-$, γ decays:**

- ① $B^+ \rightarrow \pi^+ \mu^+ \mu^-$ (3 binned), 1509.00414.

- ② $B_s^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-$ (full integrated), 1804.07167.

- ③ $B^0 \rightarrow \mu^+ \mu^-$, 2108.09283.

- ④ $\bar{B} \rightarrow X_d \gamma$, 1005.4087, 1503.01789.

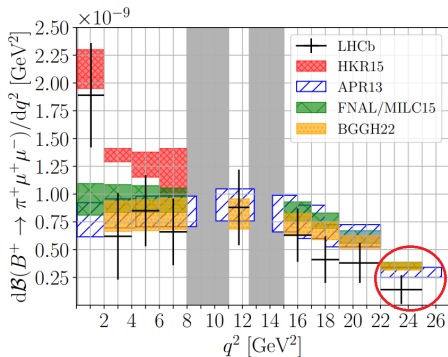
- **In total we use 6 observables, compared with $b \rightarrow s \ell \ell$ transitions:**

$$\frac{\# \text{ obs. exp. } (b \rightarrow d \ell \ell)}{\# \text{ obs. exp. } (b \rightarrow s \ell \ell)} \sim \frac{1}{50} \text{ (ideally 1)}$$

$$B^+ \rightarrow \pi^+ \mu^+ \mu^-$$

2209.04457

k	$[q_{\min}^2, q_{\max}^2]$ [GeV ²]	$\mathcal{B}_k^{(B\pi)}$	
		SM [10 ⁻⁹ GeV ⁻²]	experiment [10 ⁻⁹ GeV ⁻²]
1	[2, 4]	$0.80 \pm 0.12 \pm 0.05 \pm 0.04$ FFs CKMs scale	$0.62^{+0.39}_{-0.33} \pm 0.02$ stat. syst.
2	[4, 6]	$0.81 \pm 0.12 \pm 0.05 \pm 0.05$	$0.85^{+0.32}_{-0.27} \pm 0.02$
3	[6, 8]	$0.82 \pm 0.11 \pm 0.05 \pm 0.07$	$0.66^{+0.30}_{-0.25} \pm 0.02$
4	[11, 12.5]	$0.82 \pm 0.09 \pm 0.05 \pm 0.09$	$0.88^{+0.34}_{-0.29} \pm 0.03$
5	[15, 17]	$0.73 \pm 0.06 \pm 0.04 \pm 0.06$	$0.63^{+0.24}_{-0.19} \pm 0.02$
6	[17, 19]	$0.67 \pm 0.05 \pm 0.04 \pm 0.05$	$0.41^{+0.21}_{-0.17} \pm 0.01$
7	[19, 22]	$0.57 \pm 0.03 \pm 0.03 \pm 0.04$	$0.38^{+0.18}_{-0.15} \pm 0.01$
8	[22, 25]	$0.35 \pm 0.02 \pm 0.02 \pm 0.02$	$0.14^{+0.13}_{-0.09} \pm 0.01$
9	[15, 22]	$0.64 \pm 0.04 \pm 0.04 \pm 0.05$	$0.47^{+0.12}_{-0.10} \pm 0.01$
10	$[4m_{\mu}^2, (m_{B^+} - m_{\pi^+})^2]$	$17.9 \pm 1.9 \pm 1.1 \pm 1.5^{\dagger}$ GeV ²	$18.3 \pm 2.4 \pm 0.5$ GeV ²



- Very good agreement (below 1 σ) except for high- q^2 bins with 1.6 σ .
- Low- q^2 bin [0.1, 2] GeV², suffers from ρ , ω and ϕ resonances.
- $q^2 \approx 9.5$ GeV² & $q^2 \approx 13.5$ GeV² suffer from J/ψ and ψ resonances.
- Duality works better for larger bins, we use the largest one for high- q^2 .
- Only include the theoretically clean bins: [2, 4], [4, 6], [15, 22] GeV².

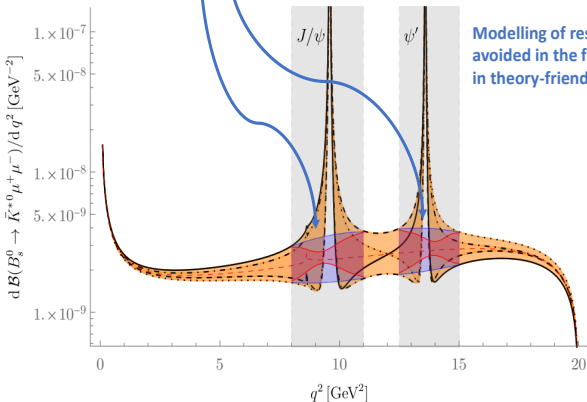
$$B_s^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-$$

$$\mathcal{B}(B_s^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-)_{\text{SM}} = (46.0 \pm 6.0) \cdot 10^{-9} \quad \mathbf{1.4 \sigma}$$

FFs CKM scale resonances

$$= (46.0 \pm 2.1 \pm 2.8 \pm 3.3 \pm \mathbf{3.6}) \cdot 10^{-9} \quad > \quad \mathcal{B}(B_s^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-)_{\text{exp}} = (29 \pm 11) \cdot 10^{-9}$$

2209.04457 1804.07167



$B^0 \rightarrow \mu^+ \mu^-$ and scalar operators

- In the SM, only the operator O_{10} contributes which yields

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{\text{SM}} = (1.01 \pm 0.07) \cdot 10^{-10},$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{\text{exp}} = (1.20 \pm 0.84) \cdot 10^{-10},$$

in agreement with the experimental value **2108.09283**.

- $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$ is sensitive to $O_{10}^{(f)}$, $O_S^{(f)}$, and $O_P^{(f)}$ operators.

$$\frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{\text{SM}}} = |\mathcal{P}|^2 + |\mathcal{S}|^2$$
$$\mathcal{P} = \frac{C_{10}^{\text{SM}} + C_{10^-}}{C_{10}^{\text{SM}}} + \frac{m_B^2}{2m_\mu} \left(\frac{1}{m_b + m_d} \right) \left(\frac{C_{P^-}}{C_{10}^{\text{SM}}} \right)$$
$$\mathcal{S} = \frac{m_B^2}{2m_\mu} \sqrt{1 - \frac{4m_\mu^2}{m_B^2}} \left(\frac{1}{m_b + m_d} \right) \left(\frac{C_{S^-}}{C_{10}^{\text{SM}}} \right).$$

- Using the current experimental information

$$-1.8 \lesssim C_{10^-} \lesssim 1.7 \quad \text{or} \quad 6.7 \lesssim C_{10^-} \lesssim 10.1$$

$$-0.06 \lesssim C_{P^-} \lesssim 0.05 \quad \text{or} \quad 0.2 \lesssim C_{P^-} \lesssim 0.3,$$

$$|C_{S^-}| \lesssim 0.1,$$

- $O_S^{(f)}$, and $O_P^{(f)}$ are more constrained than $O_{10}^{(f)}$ (due to m_B/m_μ) not considered in the global fits.

$\bar{B} \rightarrow X_d \gamma$

- The SM prediction for the CP-averaged $\bar{B} \rightarrow X_d \gamma$ branching ratio

$$\mathcal{B}(\bar{B} \rightarrow X_d \gamma)_{\text{SM}} = (16.8 \pm 1.7) \cdot 10^{-6},$$

$$\mathcal{B}(\bar{B} \rightarrow X_d \gamma)_{\text{exp}} = (14.1 \pm 5.7) \cdot 10^{-6},$$

in very good agreement.

- $\mathcal{B}(\bar{B} \rightarrow X_d \gamma)$ is sensitive to $O_7^{(\prime)}$ and $O_8^{(\prime)}$ operators.

In units of 10^{-5}

$$\mathcal{B}(\bar{B} \rightarrow X_d \gamma) = \sum_{i=1}^9 a_i^{(\bar{B}X_d)} w_i^{(\bar{B}X_d)}$$

$$w_i^{(\bar{B}X_d)} = \{1, C_7, C_8, C_7^2, C_8^2, (C_7')^2, (C_8')^2, C_7 \cdot C_8, C_7' \cdot C_8'\}$$

$a_1^{(\bar{B}X_d)}$	$a_2^{(\bar{B}X_d)}$	$a_3^{(\bar{B}X_d)}$
1.68	-6.17	-0.28
$a_4^{(\bar{B}X_d)} = a_6^{(\bar{B}X_d)}$	$a_5^{(\bar{B}X_d)} = a_7^{(\bar{B}X_d)}$	$a_8^{(\bar{B}X_d)} = a_9^{(\bar{B}X_d)}$
7.66	0.28	0.53

Fit approach

We work within a frequentist framework based on the approximation of Gaussian likelihood

$$\mathcal{L}(\theta) = e^{-\chi^2(\theta)/2} \quad \chi^2(\theta) = -2 \ln \mathcal{L}(\theta) = \sum_{i,j}^{n_{\text{obs}}} \Delta_i(\theta) V_{ij}^{-1}(\theta) \Delta_j(\theta),$$

Wilson coefficients

Central values: $\Delta_i(\theta) = \Delta_i^{(\text{th})}(\theta) - \Delta_i^{(\text{exp})}$,

Covariance matrix: $V_{ij}(\theta) = V_{ij}^{(\text{th})}(\theta) + V_{ij}^{(\text{exp})}$.

Usually WCs=0, here the experimental is less stringent so it is important to include these effects.

6 observables

$$\vec{\Delta} = \{\mathcal{B}_1^{(B\pi)}, \mathcal{B}_2^{(B\pi)}, \mathcal{B}_9^{(B\pi)}, \mathcal{B}(B_s^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-), \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-), \mathcal{B}(\bar{B} \rightarrow X_d \gamma)\}.$$

Minimization of chi-square: Maximum likelihood method $\partial \chi^2 / \partial \theta_i|_{\hat{\theta}} = 0 \rightarrow \hat{\theta}$ Best-fit points

Confidence regions: $\Delta \chi^2(\theta) \leq \eta(l, n_{\text{par}})$ where $\Delta \chi^2(\theta) = \chi^2(\theta) - \chi^2_{\text{min}}$

Value where the chi-square cumulative distribution function reaches the probability associated with l sigmas

$$\eta(l, 1) = l^2, \quad \eta(l, 2) = (2.30, 6.18, \dots), \text{ etc.}$$

In practice: * MIGRAD from the Python package iminuit to conduct the numerical minimization.

* Confidence intervals are computed using MINOS algorithm from iminuit.

One-dimensional fits

scenario	fit parameter	best fit	1σ	2σ	$\chi^2_{H_i, \min}$	Pull_{H_i}	p -value (%)
H_1	C_7	0.01	[-0.07, 0.11]	[-0.15, 0.25]	3.74	0.15	58
H_2	C_8	0.04	[-0.88, 1.44]	[-1.51, 2.27]	3.76	0.04	58
H_3	C_9	-1.37	[-2.97, -0.47]	[-7.65, 0.26]	1.12	1.63	95
H_4	C_{10}	0.96	[0.3, 1.75]	[-0.29, 2.92]	1.51	1.50	91
H_5	C'_7	-0.02	[-0.18, 0.16]	[-0.31, 0.3]	3.75	0.11	58
H_6	C'_8	-0.04	[-1.16, 1.13]	[-1.86, 1.85]	3.76	0.03	58
H_7	C'_9	-0.21	[-0.91, 0.47]	[-1.63, 1.15]	3.67	0.32	59
H_8	C'_{10}	0.22	[-0.37, 0.8]	[-0.98, 1.38]	3.63	0.37	60
H_9	$C_9 = +C_{10}$	0.19	[-0.57, 1.02]	[-1.24, 1.79]	3.71	0.24	59
H_{10}	$C_9 = -C_{10}$	-0.53	[-0.89, -0.19]	[-1.29, 0.14]	1.27	1.58	93
H_{11}	$C'_9 = +C'_{10}$	0.10	[-0.68, 0.86]	[-1.41, 1.53]	3.75	0.13	58
H_{12}	$C'_9 = -C'_{10}$	-0.13	[-0.46, 0.22]	[-0.8, 0.57]	3.63	0.37	60
H_{13}	$C_9 = -C'_9$	-1.74	[-3.26, -0.27]	[-4.04, 0.44]	1.96	1.34	85
H_{14}	$C_9 = +C'_9$	-0.55	[-1.29, -0.07]	[-4.13, 0.34]	2.42	1.16	78
H_{15}	$C_9 = -C_{10} = -C'_9 = -C'_{10}$	-0.58	[-1.06, -0.2]	[-4.04, 0.12]	1.17	1.61	94
H_{16}	$C_9 = -C_{10} = +C'_9 = -C'_{10}$	-0.24	[-0.46, -0.04]	[-0.7, 0.16]	2.35	1.19	79

What do we learn from the one-dimensional fits?

- The most favored scenario is H_3 NP in C_9 (pull=1.63, p-value=95%), followed by H_4 with NP in C_{10} (pull=1.50, p-value=91%).
- Scenarios relating 2 WCs (H_9, \dots, H_{14}):
 - ① H_{10} with LH quarks and LH leptons, $C_9 = -C_{10}$, is preferred by data (pull=1.58, p-value=93%). For comparison, we explore benchmark H_9 , LH quarks and RH leptons $C_9 = C_{10}$, results are close to the SM.
 - ② We work correlations in $C'_{9,10}$ and find p-values closer to the SM one.
 - ③ We consider $C_9 = \pm C'_9$, where we find similar results (pull \approx 1.3, p-value \approx 80%).

★ Consistency with the SM, but data shows a preference to include NP via C_9 , similar as in global fits to $b \rightarrow s \mu^+ \mu^-$ data.

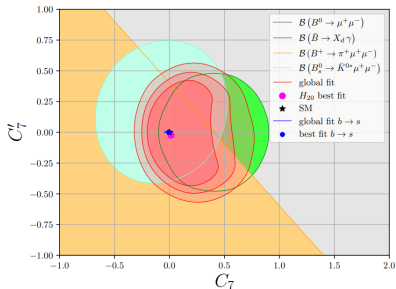
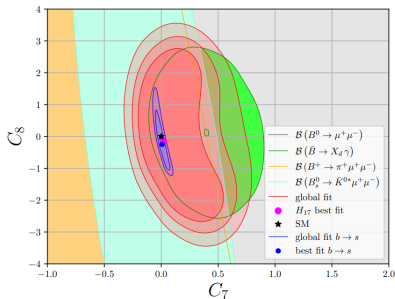
★ Future data is welcome to confirm or refute this preference!

Let's further entertain with two-dimensional fits!

scen.	fit parameters	best fit	1σ	2σ	$\chi^2_{H_i, \min}$	Pull $_{H_i}$	p-v. (%)
H_{17}	(C_7, C_8)	(0.02, -0.13)	([-0.08, 0.21], [-1.57, 1.46])	([-0.16, 0.43], [-2.37, 2.41])	3.74	0.02	44
H_{18}	(C_7, C_9)	(0.05, -1.45)	([-0.04, 0.19], [-3.0, -0.54])	([-0.13, 0.94], [-9.65, 0.22])	0.86	1.19	93
H_{19}	(C_7, C_{10})	(0.04, 1.02)	([-0.05, 0.17], [0.34, 1.87])	([-0.13, 0.73], [-0.26, 3.32])	1.33	1.05	85
H_{20}	(C_7, C_7')	(0.01, -0.02)	([-0.07, 0.12], [-0.21, 0.17])	([-0.15, 0.28], [-0.36, 0.34])	3.73	0.02	44
H_{21}	(C_7, C_9')	(0.02, -0.23)	([-0.07, 0.12], [-0.92, 0.46])	([-0.15, 0.26], [-1.64, 1.15])	3.63	0.08	45
H_{22}	(C_7, C'_{10})	(0.02, 0.23)	([-0.07, 0.12], [-0.36, 0.81])	([-0.15, 0.26], [-0.97, 1.4])	3.60	0.10	46
H_{23}	(C_9, C_{10})	(-1.67, 8.55)	([-7.43, 0.65], [6.48, 9.37])	([-9.13, 1.86], [-1.42, 9.85])	1.00	1.15	91
H_{24}	(C_9', C_9)	(0.05, -1.4)	([-0.17, 0.23], [-2.95, -0.49])	([-0.35, 0.36], [-7.64, 0.26])	1.08	1.12	89
H_{25}	(C_9, C_9')	(-2.22, 1.18)	([-6.55, -0.63], [-2.99, 2.89])	([-7.58, 0.23], [-3.92, 3.81])	0.76	1.22	94
H_{26}	(C_9, C'_{10})	(-1.79, -0.35)	([-6.59, -0.57], [-1.19, 0.36])	([-7.61, 0.27], [-1.8, 1.05])	0.88	1.18	92
H_{27}	(C_9', C_{10})	(0.04, 0.99)	([-0.16, 0.22], [0.31, 1.84])	([-0.3, 0.35], [-0.29, 3.25])	1.48	1.00	83
H_{28}	(C_9', C_{10})	(0.21, 7.34)	([-0.58, 0.99], [6.29, 8.09])	([-1.39, 1.79], [-0.3, 8.72])	1.35	1.04	85
H_{29}	(C_{10}, C'_{10})	(7.45, -0.01)	([6.53, 8.13], [-0.79, 0.97])	([-0.30, 8.73], [-4.54, 4.49])	1.42	1.02	84
H_{30}	(C_7', C_9')	(0.02, -0.26)	([-0.18, 0.21], [-1.07, 0.57])	([-0.32, 0.34], [-1.88, 1.36])	3.66	0.07	45
H_{31}	(C_7', C'_{10})	(0.0, 0.22)	([-0.17, 0.18], [-0.41, 0.84])	([-0.31, 0.32], [-1.04, 1.46])	3.63	0.08	45
H_{32}	(C_9', C'_{10})	(-0.08, 0.17)	([-1.07, 0.83], [-0.65, 0.99])	([-2.04, 1.63], [-1.39, 1.74])	3.62	0.09	45
H_{33}	$(C_9 = -C_9', C_{10} = +C'_{10})$	(-1.73, 0.44)	([-3.34, -0.19], [0.04, 0.95])	([-4.1, 0.51], [-0.34, 4.52])	0.77	1.22	94
H_{34}	$(C_9 = -C_9', C_{10} = -C'_{10})$	(-1.73, 0.01)	([-3.65, 0.15], [-0.45, 0.91])	([-4.6, 1.05], [-0.84, 5.06])	1.96	0.83	74
H_{35}	$(C_9 = +C_9', C_{10} = +C'_{10})$	(0.6, 2.18)	([0.26, 0.89], [-0.58, 4.77])	([-4.95, 1.19], [-0.92, 5.1])	2.15	0.76	70
H_{36}	$(C_9 = -C_{10}, C_9' = +C'_{10})$	(-0.58, 0.57)	([-3.11, -0.2], [-1.37, 3.38])	([-8.03, 0.13], [-3.14, 4.05])	1.17	1.10	88
H_{37}	$(C_9 = -C_{10}, C_9' = -C'_{10})$	(-0.6, 0.15)	([-1.07, -0.21], [-0.27, 0.65])	([-1.86, 0.15], [-0.66, 1.47])	1.15	1.10	88

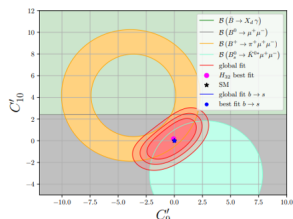
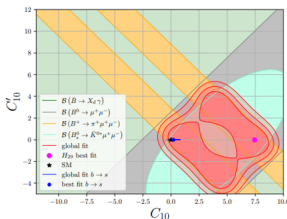
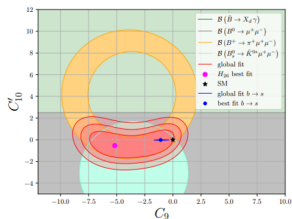
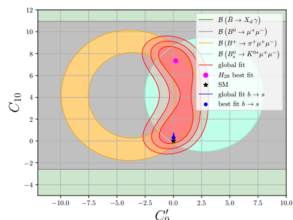
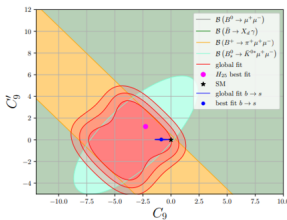
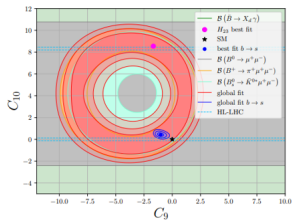
★ Similar pattern as 1D fits, if C_9 present, p-values are large, $\sim 90\%$!

2D contours of dipole coefficients

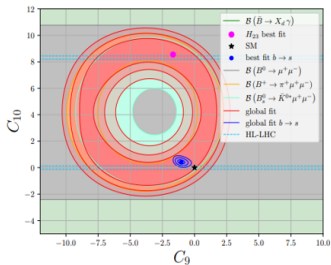


- ★ Excellent complementarity between different observables!
- ★ Improved limits on $C_7^{(\prime)}$ compared to previous works. 1106.5499
- ★ Data is consistent with the hypothesis of minimal quark flavor violation. 2109.01675 & 2209.04457

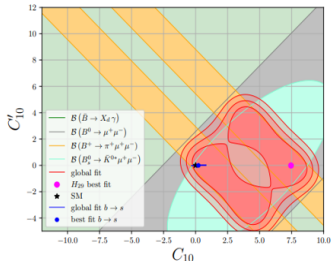
2D contours of $C_{9,10}^{(\prime)}$



Summary of 2D contours of $C_{9,10}^{(\prime)}$



- Complementarity between the observables is not currently as good as for dipole coefficients, leading to weaker limits on C_9 and C_{10} .
- The branching ratios of $B^+ \rightarrow \pi^+ \mu^+ \mu^-$ and $B_s^0 \rightarrow \bar{K}^{0*} \mu^+ \mu^-$ cooperate to reduce the thickness of the annulus (red area) but do not lift the degeneracy between C_9 and C_{10} .
- The branching ratio of $B^0 \rightarrow \mu^+ \mu^-$ can help due to its dependence on $C_{10}^{(\prime)}$, however, the present precision is insufficient.
- Note that due to the flat likelihood along the ring (red area) the best-fit point (magenta) is only shown for completeness but has little statistical preference over other points in this flat direction.
- All 2D contours make visible discrete ambiguities, for instance the two yellow bands in C_{10}, C_{10}' .
- To remove all these ambiguities additional complementary observables are necessary.
- Data is consistent with the hypothesis of minimal quark flavor violation.



Conclusions & Outlook

- ★ Model-independent analysis of rare radiative and semileptonic $|\Delta b| = |\Delta d| = 1$ process.
- ★ Data consistent with the SM, but leave sizable room for NP.
- ★ Same pattern of $b \rightarrow s \mu^+ \mu^-$ branching ratios suppressed with respect to the SM, although within larger uncertainties.
- ★ Improving the fit is not just higher statistics, but also of adding observables sensitive to different combinations of WCs. (i.e. forward-backward asymmetry $A_{FB}^\ell \propto C_9 C_{10}$, etc)

Thank you!