



山东大学
SHANDONG UNIVERSITY

Leptoquark and Z' / W' Searches @ ATLAS and CMS

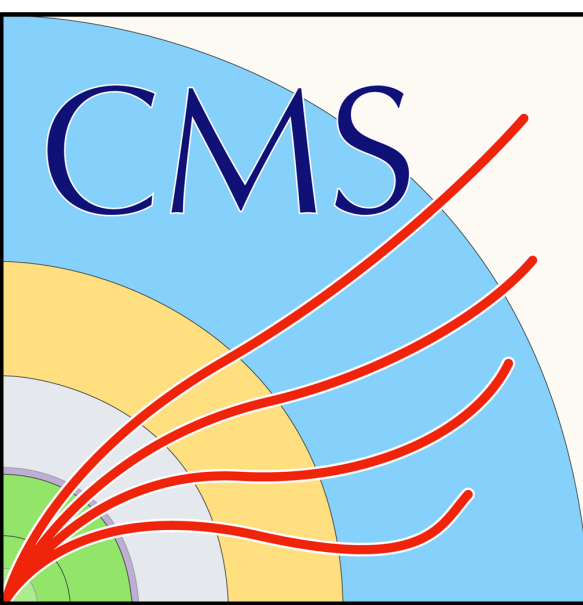
Jie Zhang

On behalf of the ATLAS and CMS Collaborations

Shandong University (Qingdao)

SM@LHC 2024, Rome

May 8, 2024



Physics Motivation

The Standard Model (SM) of particle physics has been extremely successful with the discovery of Higgs.

Some new physics are needed to address the phenomena like neutrino mass or dark matter.

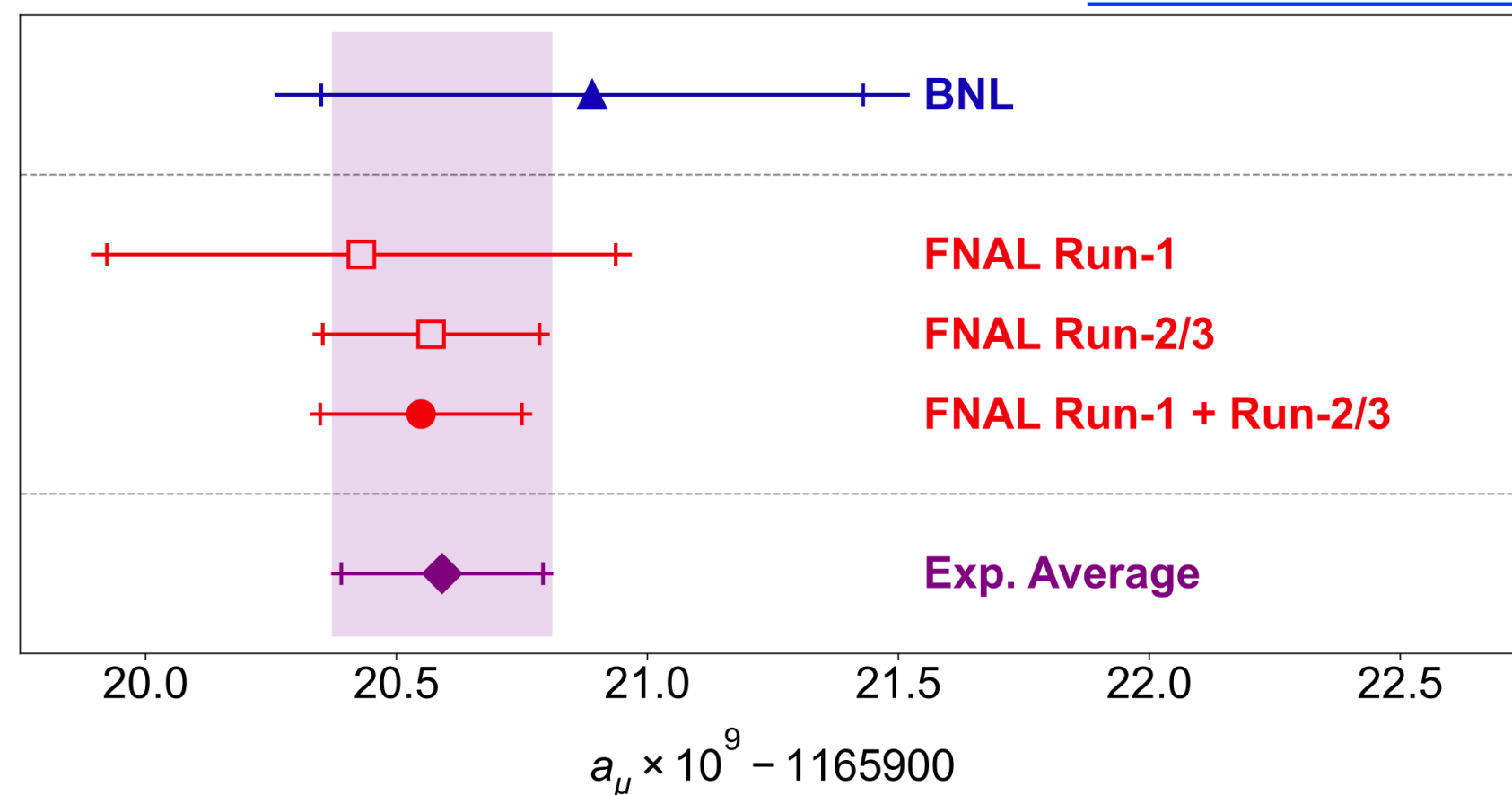
Hints for lepton flavor universality (LFU) violation have been observed in charged and neutral current processes in B-physics:

- R_D/R_{D^*} : 3.3σ deviation in global average by BaBar
- R_K/R_{K^*} : anomalies measured by LHCb in 2019, SM consistent in 2022
- Muon $g - 2$ anomaly measured at Fermilab, ...

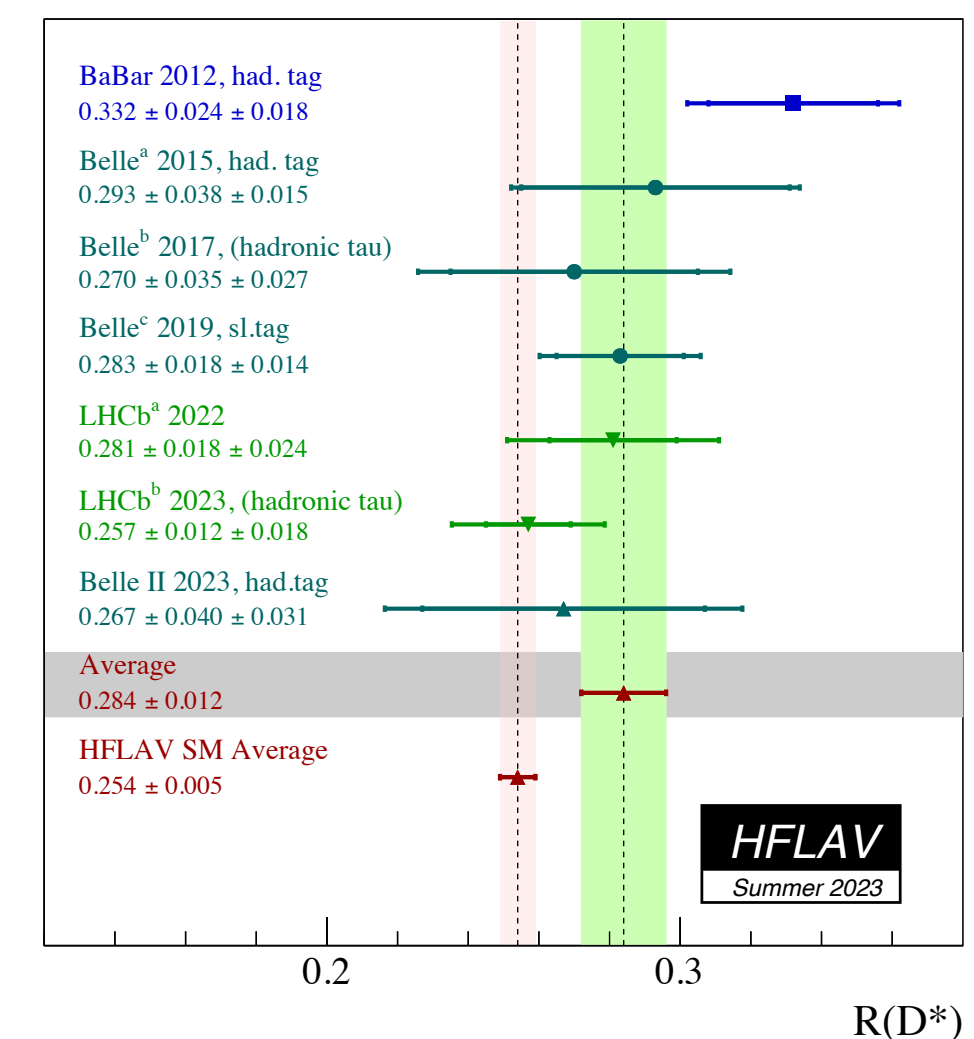
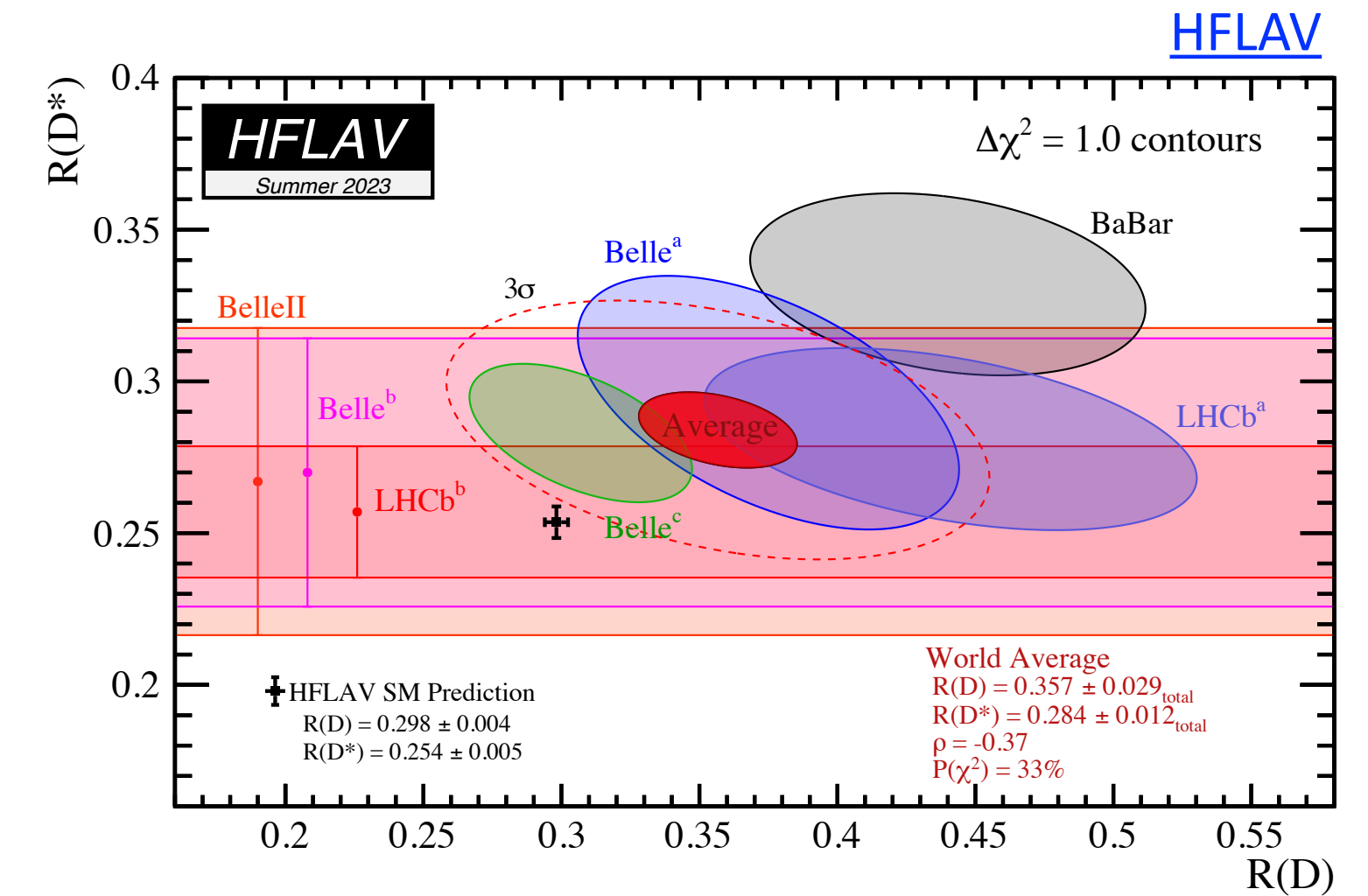
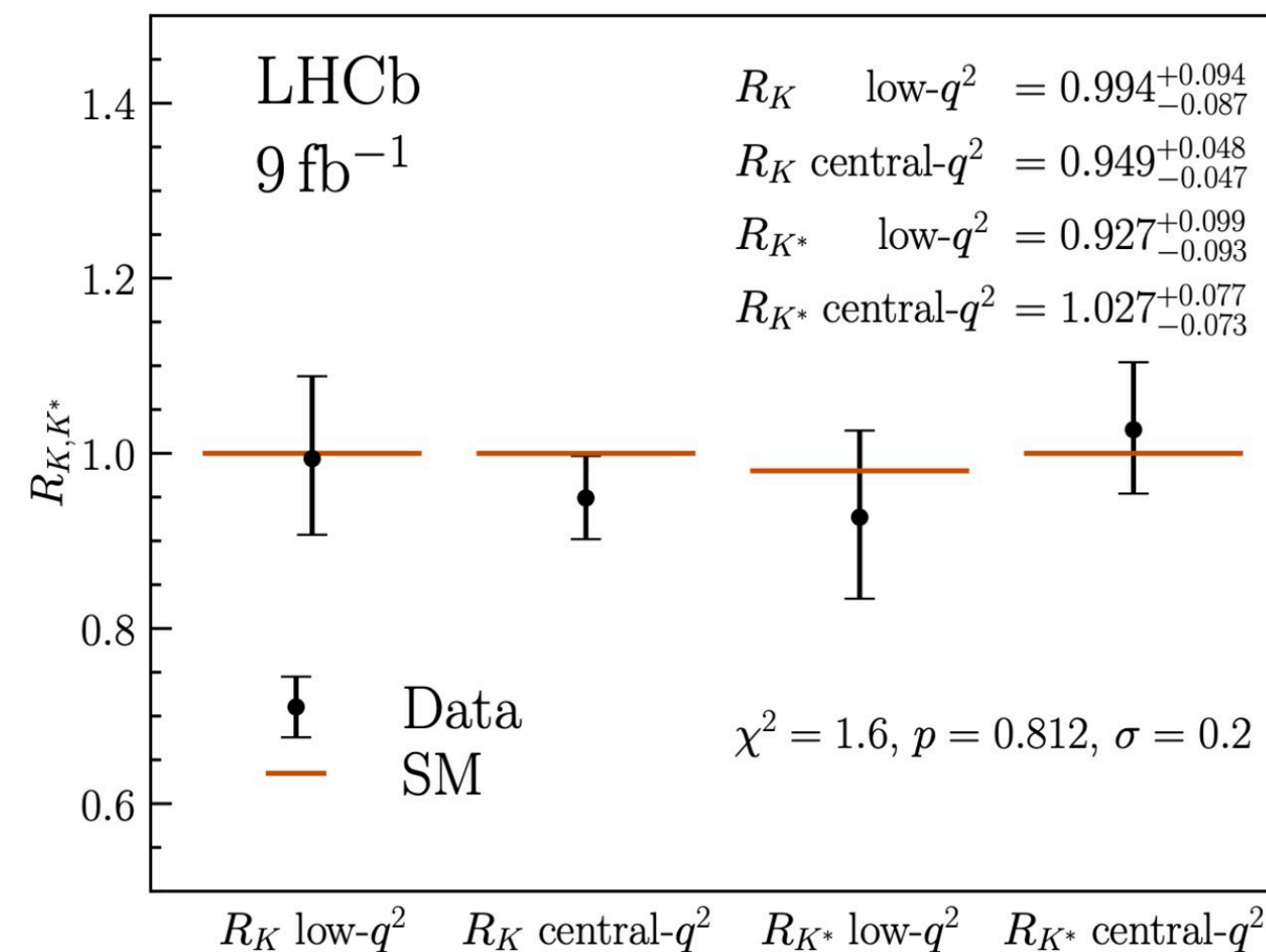
Possible new physics explanations:

- **Leptoquarks** ← *This talk!*
- **Light and heavy vector bosons** ← *This talk!*
- High-mass Drell-Yan tails and EFT interpretations
- Vector-like Fermions, ...

[arXiv:2308.06230](https://arxiv.org/abs/2308.06230)



[arXiv:2212.09152](https://arxiv.org/abs/2212.09152)



Analysis Results Covered Today

All Run-2 results at 13 TeV

	ATLAS	CMS
Searches for Leptoquarks	LQLQ \rightarrow tete/t μ t μ	
	LQLQ \rightarrow b τ b τ	
	LQ \rightarrow b τ	
	3rd generation LQ combination	
	LQLQ \rightarrow t ν bl	LQLQ \rightarrow b μ b μ
		LQ \rightarrow τ q
	Searches for Z'/W' in Leptonic Final States	Low mass Z' in the 4 μ channel (CMS: 77.3/fb)
Low mass Z' in the 3 μ channel		
W' \rightarrow $\tau\nu$		
W' \rightarrow tb 0/1-lepton		

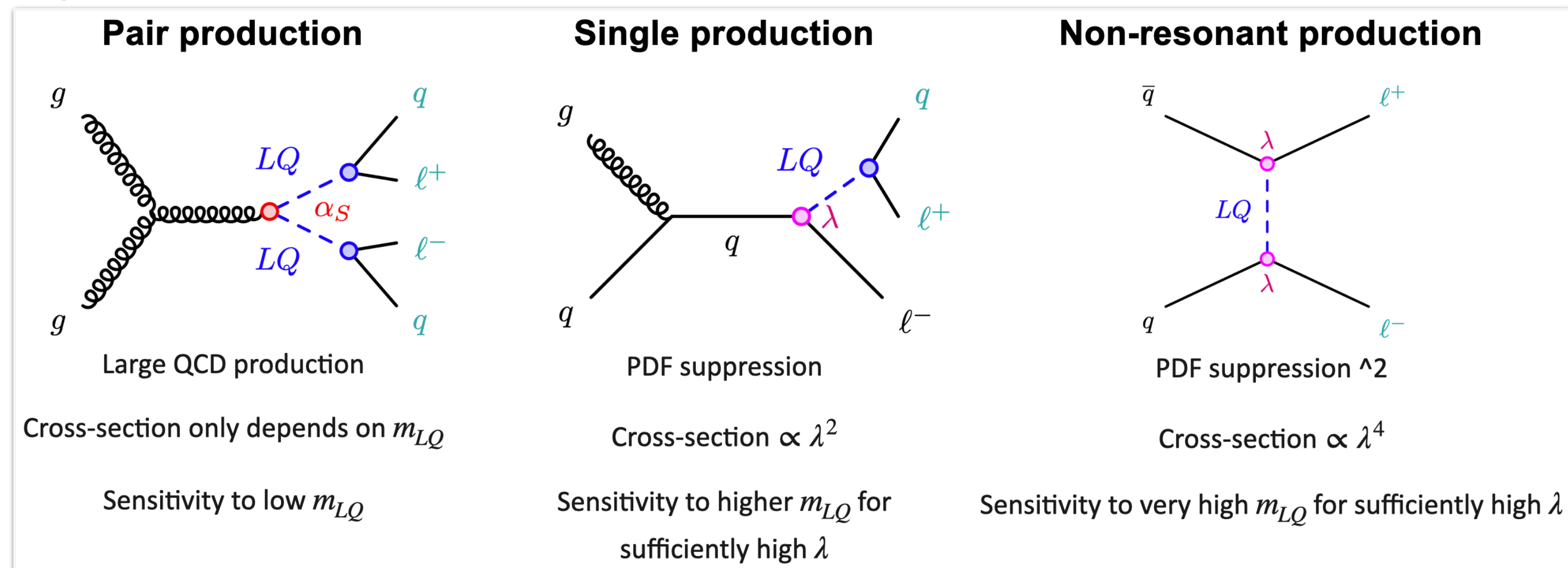
Leptoquarks Overview

Leptoquarks (LQs) are hypothetical particles predicted by many BSM theories

- Scalar or vector boson
- Carrying color charge and fractional electric charge
- Non-zero baryon number and lepton number
- Decaying into quark-lepton pair

Production modes:

- Pair-production is the **dominant** process



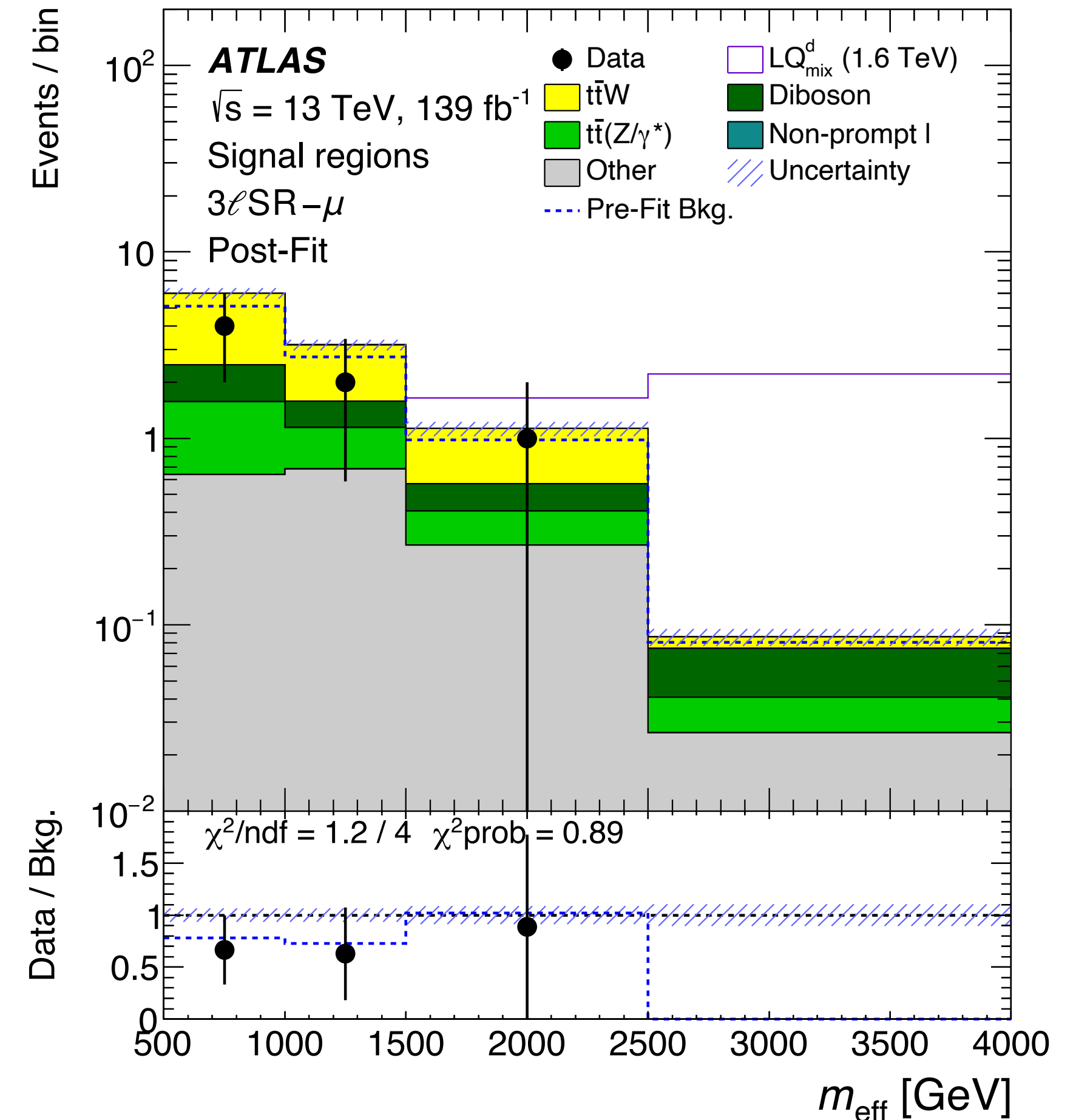
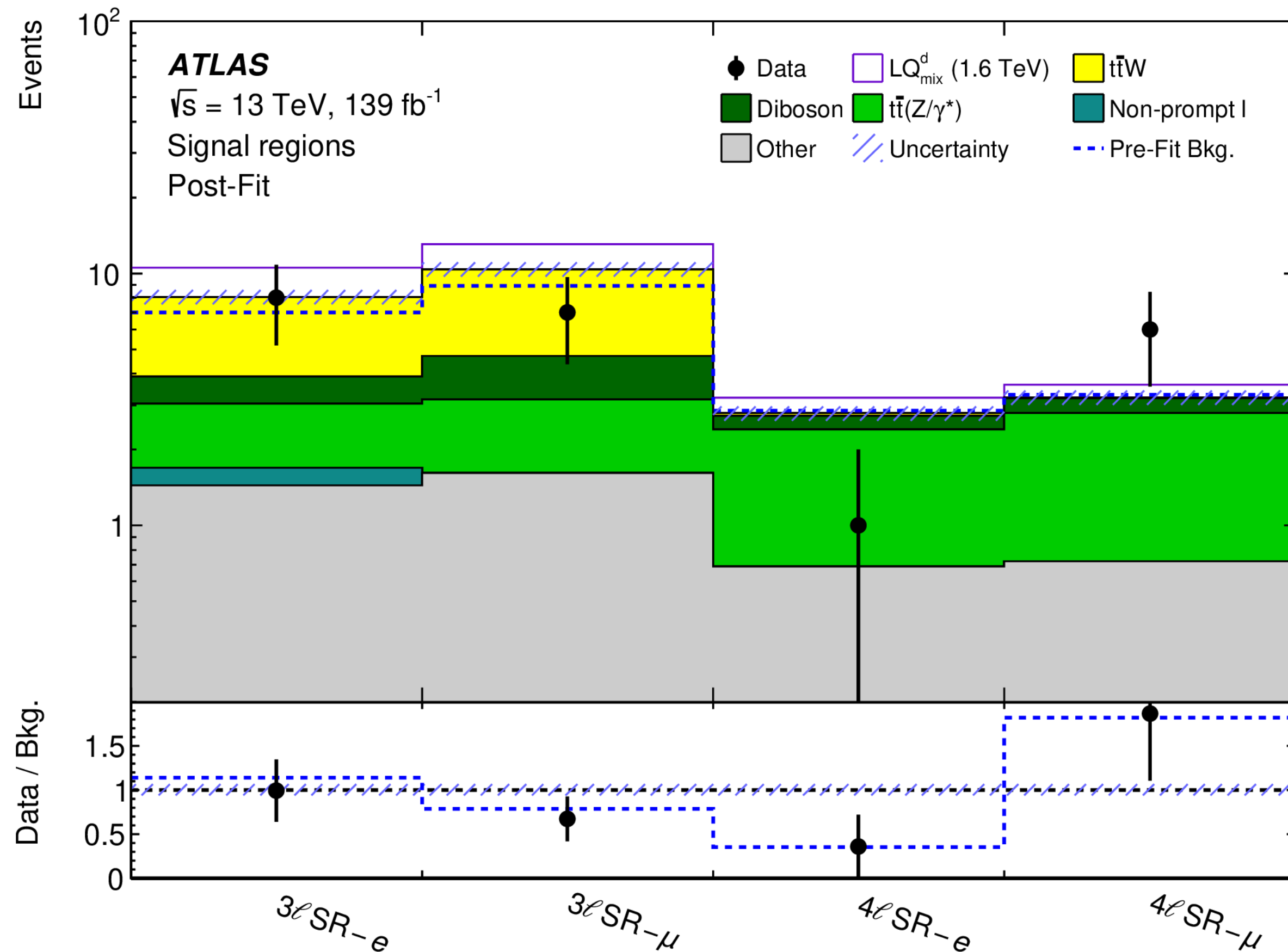
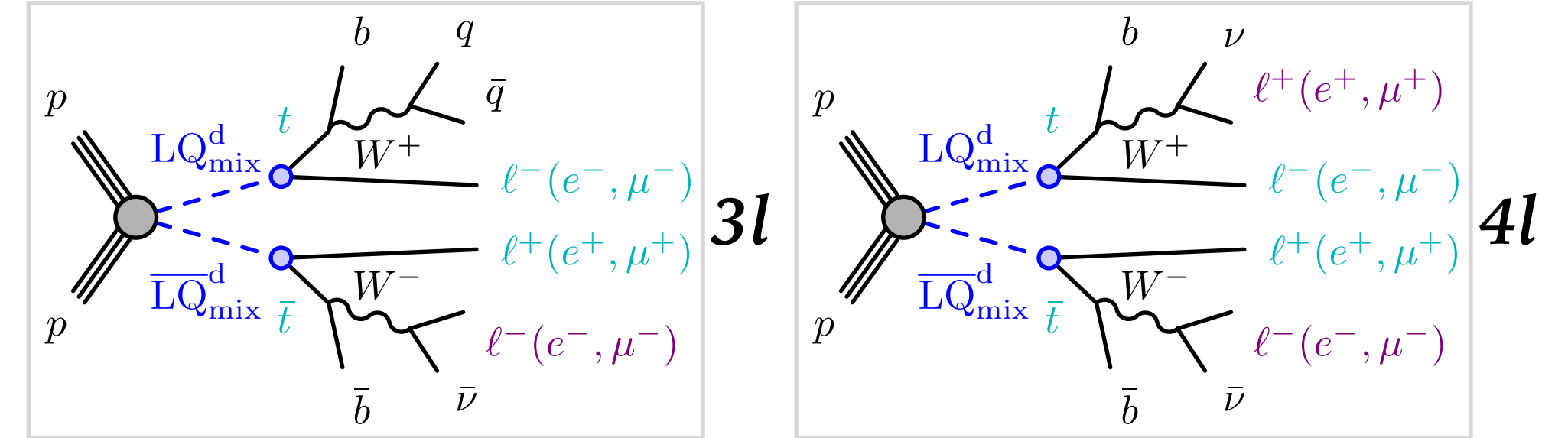
Production and decay determined by:

- LQ mass m_{LQ}
- Yukawa couplings λ to lepton and quark
- Branching fractions β to a given lepton and quark flavors, assuming $\beta(LQ \rightarrow q_i l) = 1 - \beta(LQ \rightarrow q'_i \nu)$
- Coupling parameter κ in case of vector LQs (coupling with the SM gauge fields)

LQ-t-l ($l = e, \mu$): Pair Production @ ATLAS

arXiv:2306.17642

Scalar (LQ_{mix}^d) and vector (\tilde{U}_1^{min} & \tilde{U}_1^{YM}) LQ models considered
 Event selections: 3 or 4 light leptons (e or μ), ≥ 2 jets, ≥ 1 b-jet
 Signal regions: two channels (3l, 4l) for $t\bar{t}l\mu t\mu$ are considered
 Final discriminant variable: effective mass $m_{eff} = \sum_{jet,e,\mu} p_T + MET$



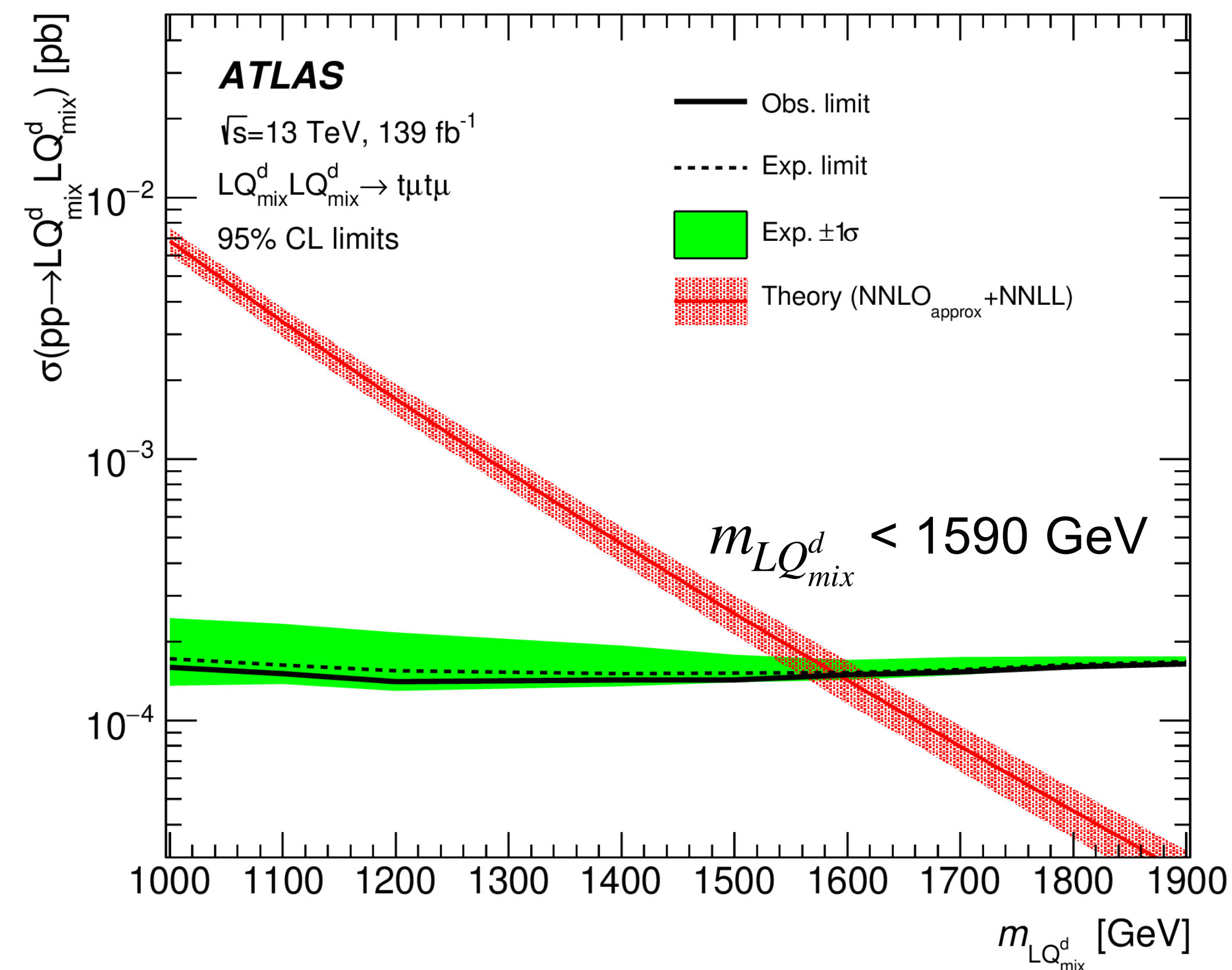
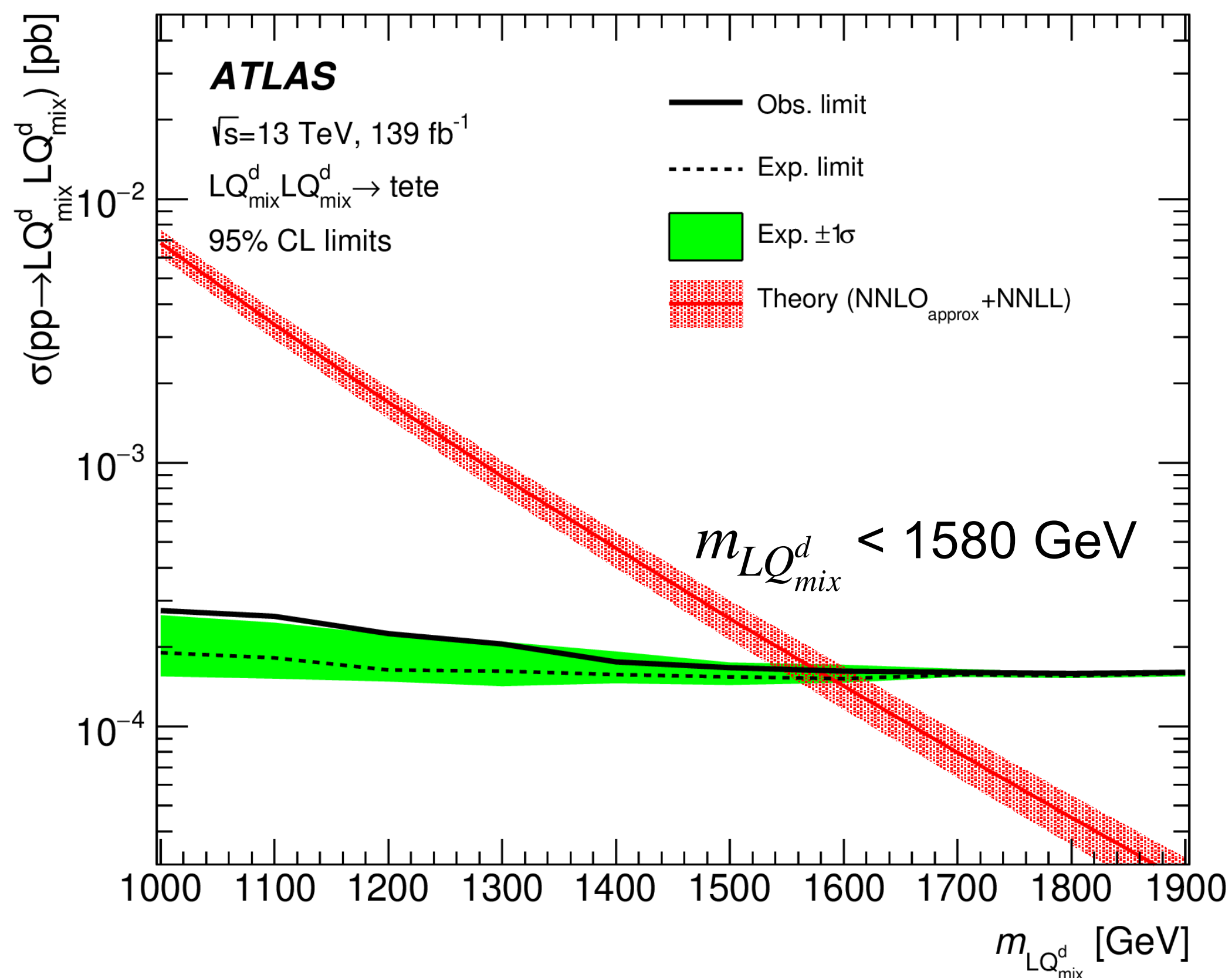
LQ-t-l ($l = e, \mu$): Pair Production @ ATLAS

arXiv:2306.17642

No significant excess observed and 95% CL limits on the production cross-section times branching ratio are derived as a function of the LQ mass.

[CMS arXiv:2202.08676](#), see more details in Backup

Here only shows the limits on the production cross-section on scalar LQ :



Observed exclusion limits @ CMS:

$tete: m_{LQ_{mix}^d} < 1340$ GeV

$t\mu t\mu: m_{LQ_{mix}^d} < 1420$ GeV

LQ-b- τ : Pair Production @ ATLAS

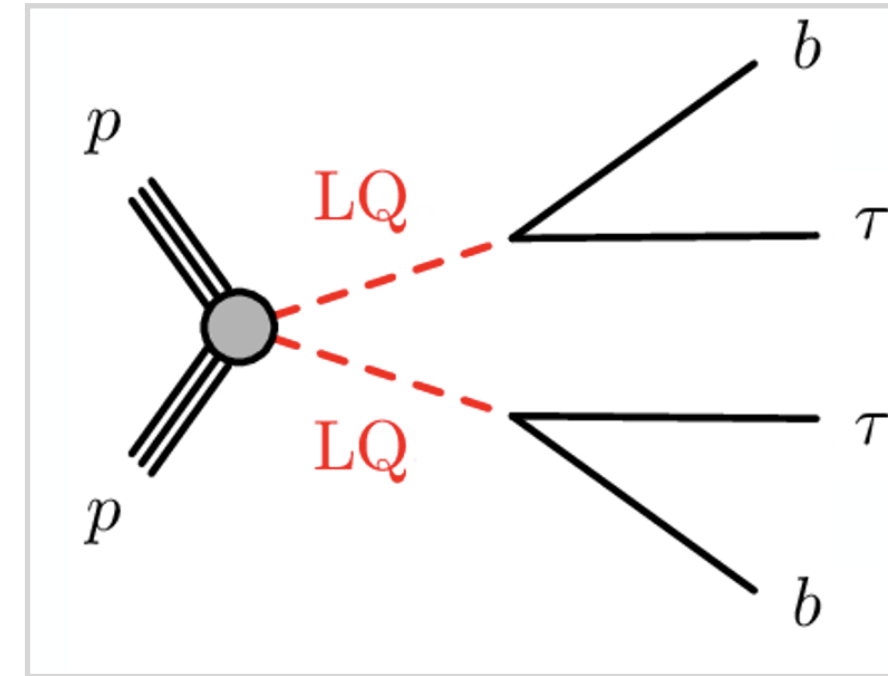
arXiv:2303.01294

Focuses on 3rd generation LQ pair-production

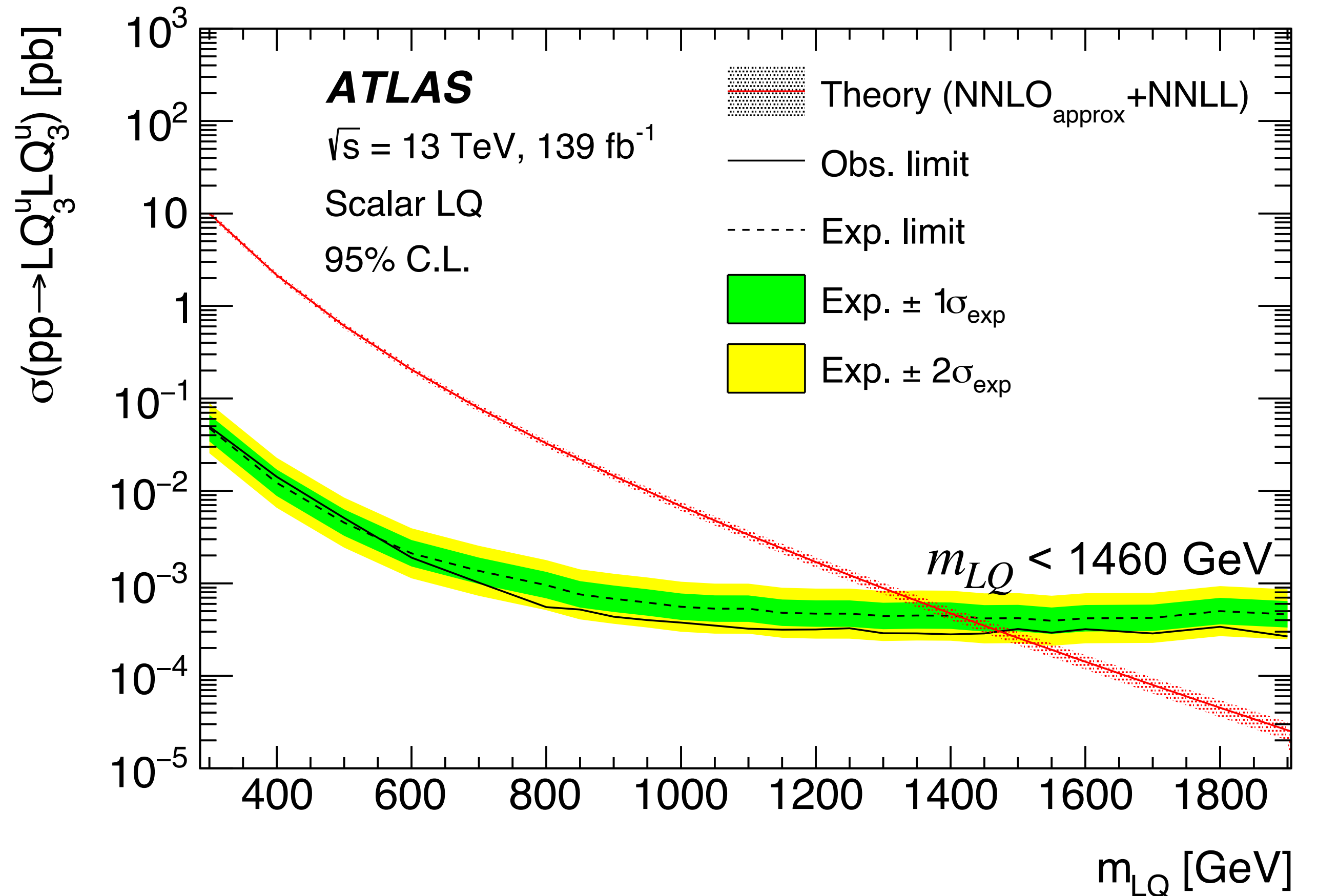
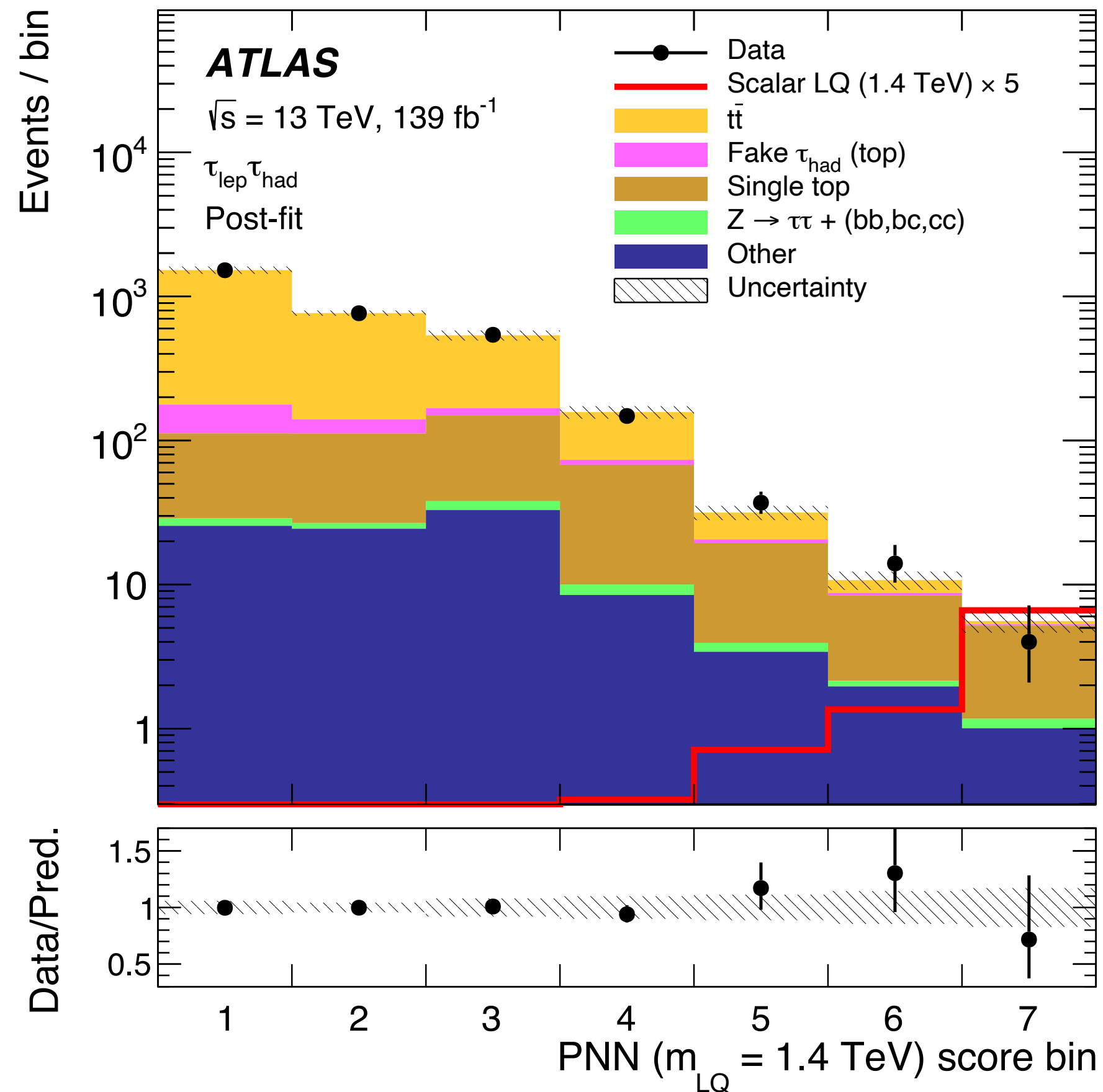
Event selections:

- ≥ 2 jets, ≥ 1 b-jet
- Two channels: $\tau_{had}\tau_{had}$ and $\tau_{lep}\tau_{had}$ ($l = e, \mu$)

Final discriminant variable: Parametric Neural Network (PNN)



See more details in Federico's talk later in YSF session



LQ-b- μ : Pair Production @ CMS

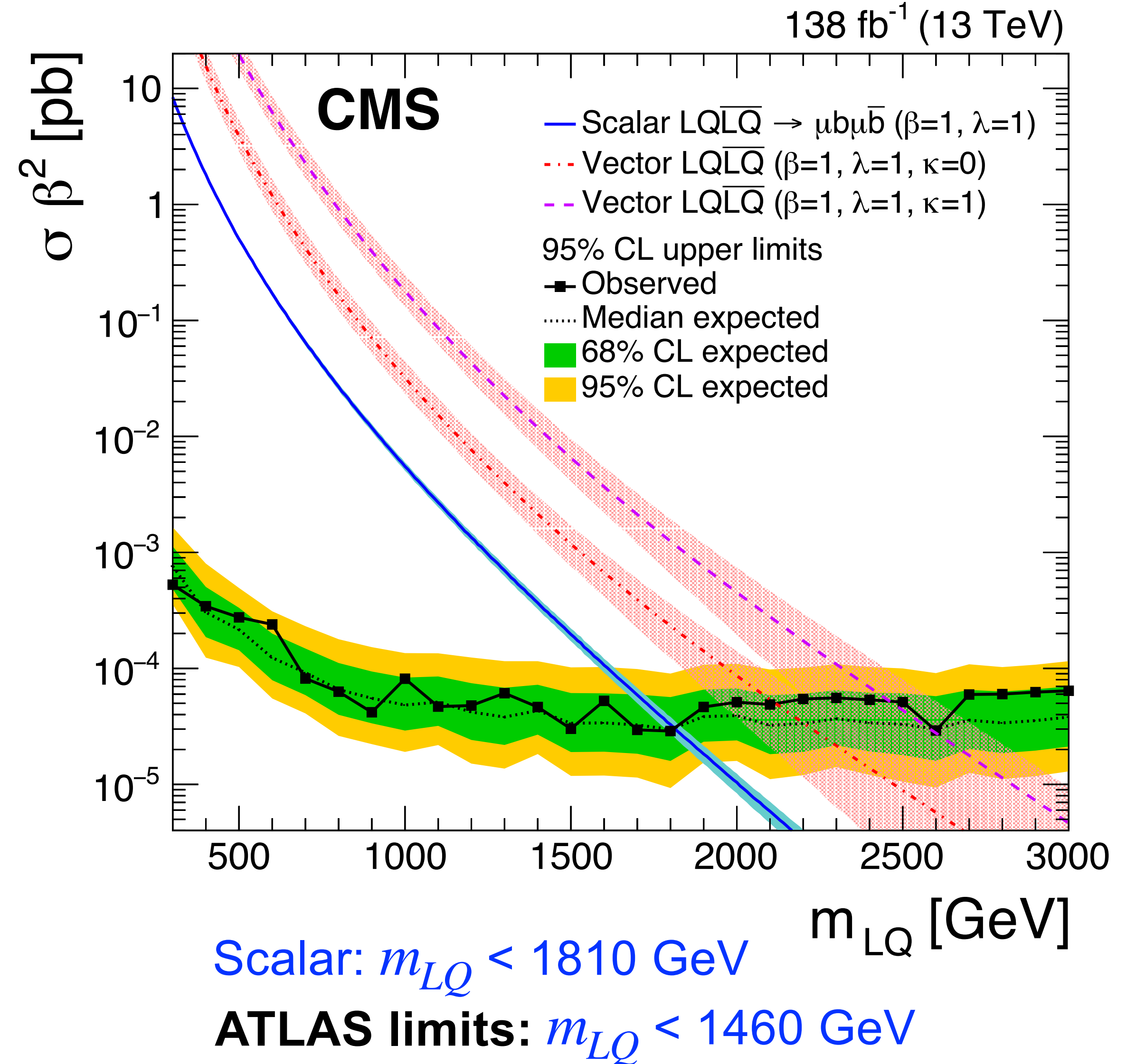
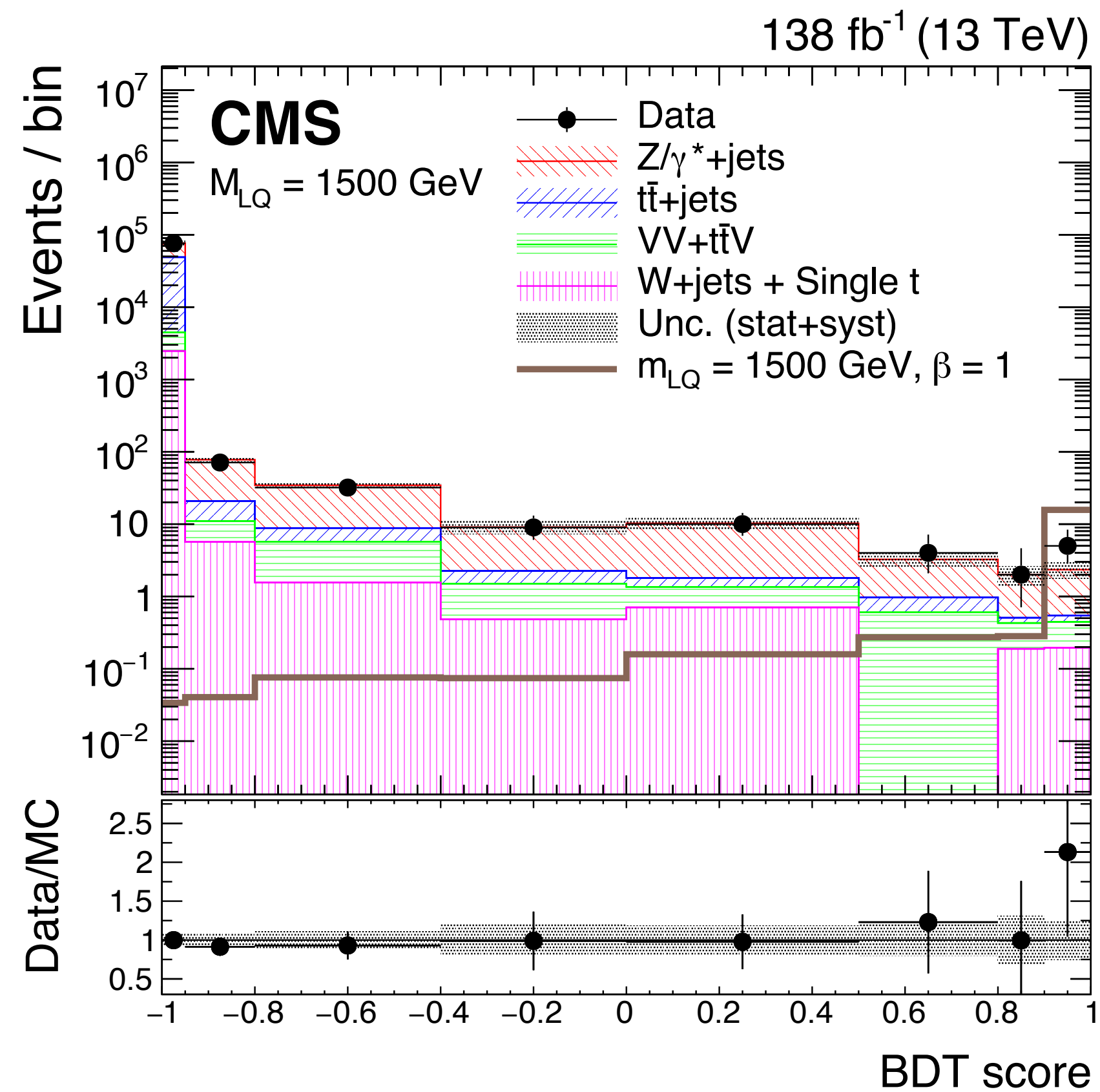
arXiv:2402.08668

Event selections:

- 2 μ , ≥ 2 jets, ≥ 1 b-jet
- $S_T = p_T^{\mu_1} + p_T^{\mu_2} + p_T^{j_1} + p_T^{j_2} > 300$ GeV
- $m_{\mu\mu} > 250$ GeV

Final discriminant variable: BDT (trained for each LQ mass)
 No significant excess seen, **most stringent limits to date!**

ATLAS arXiv:2210.04517 ,
 see more details in Backup



LQ-b- τ : All Production Modes @ ATLAS

arXiv:2305.15962

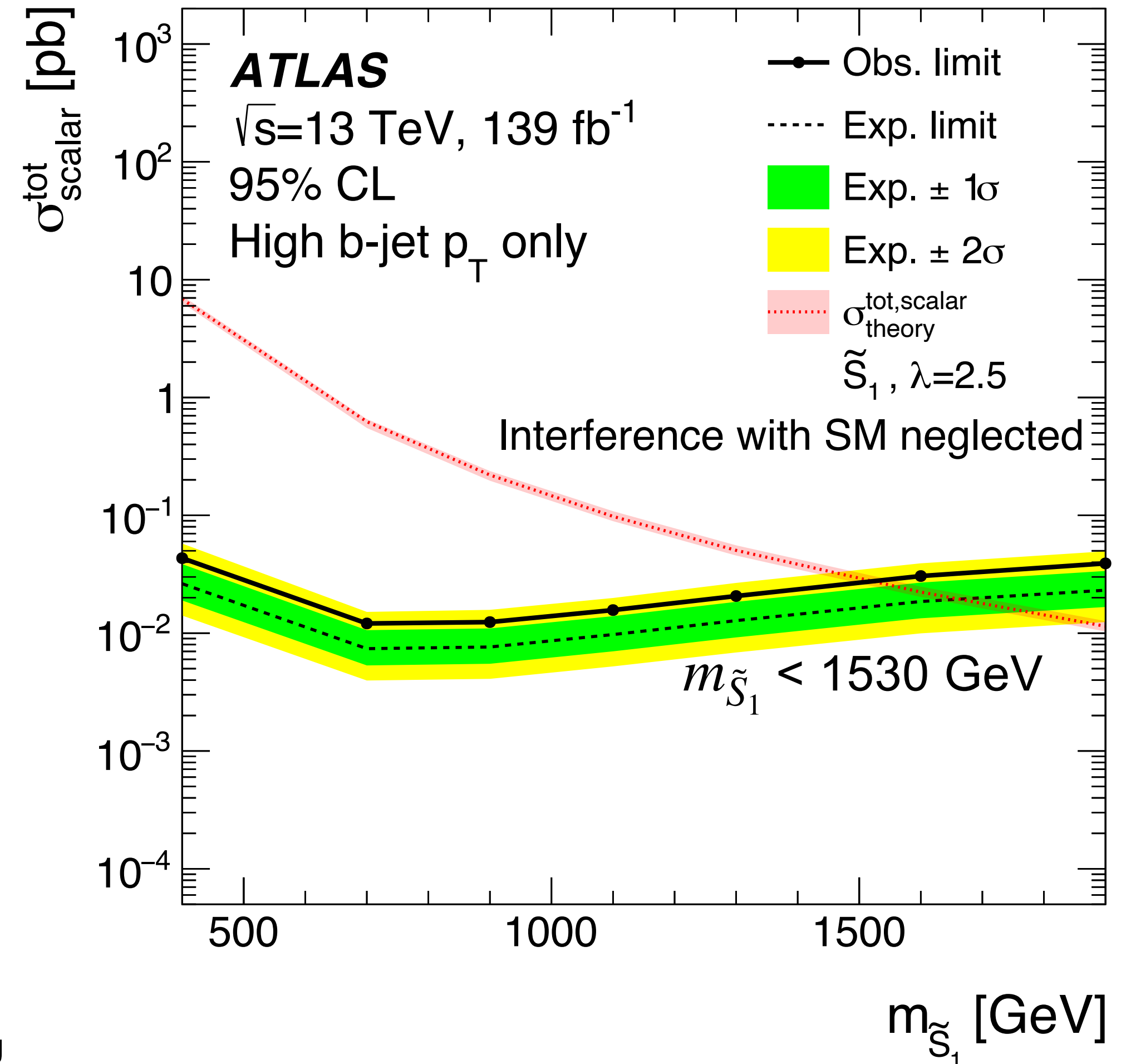
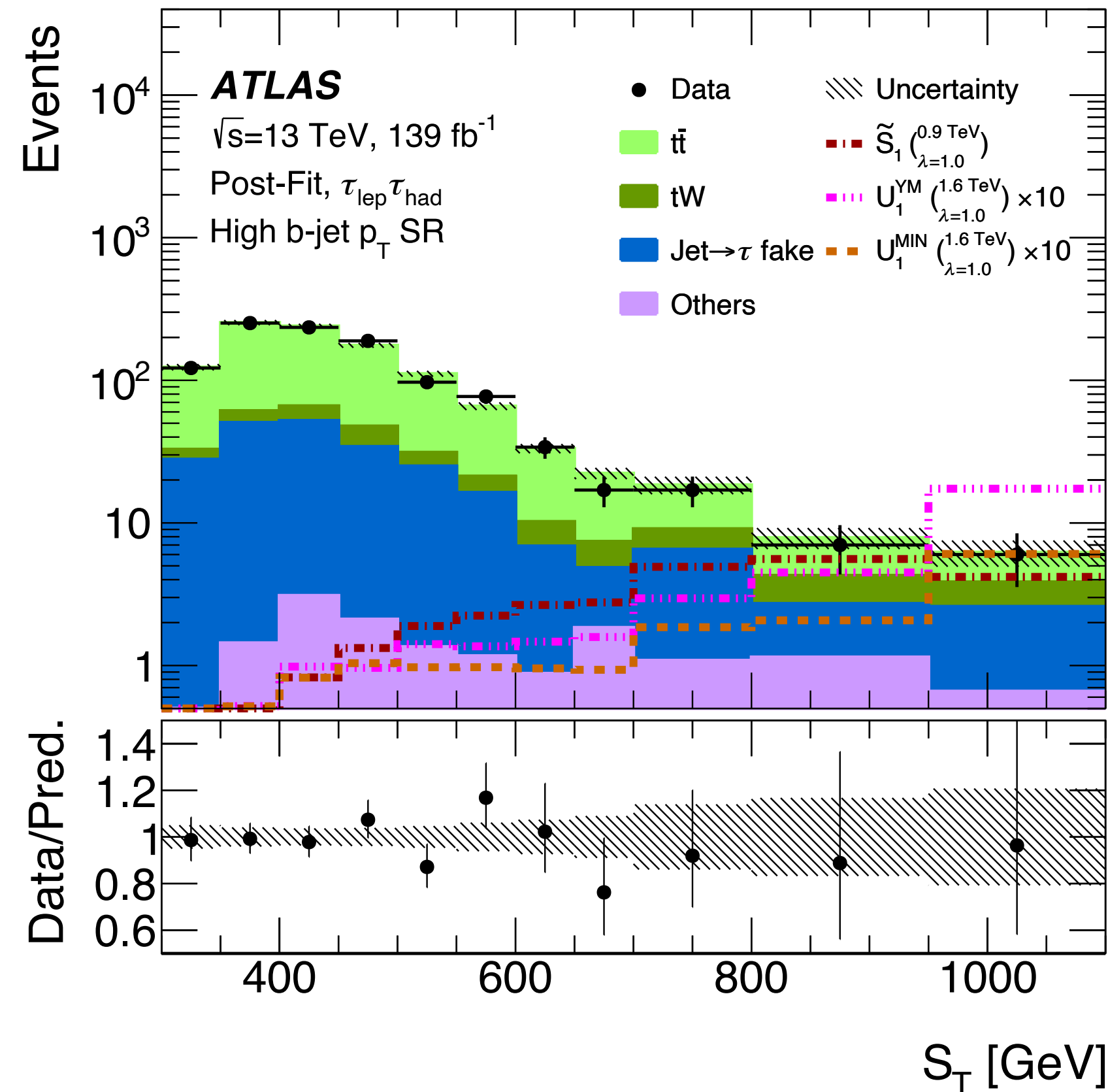
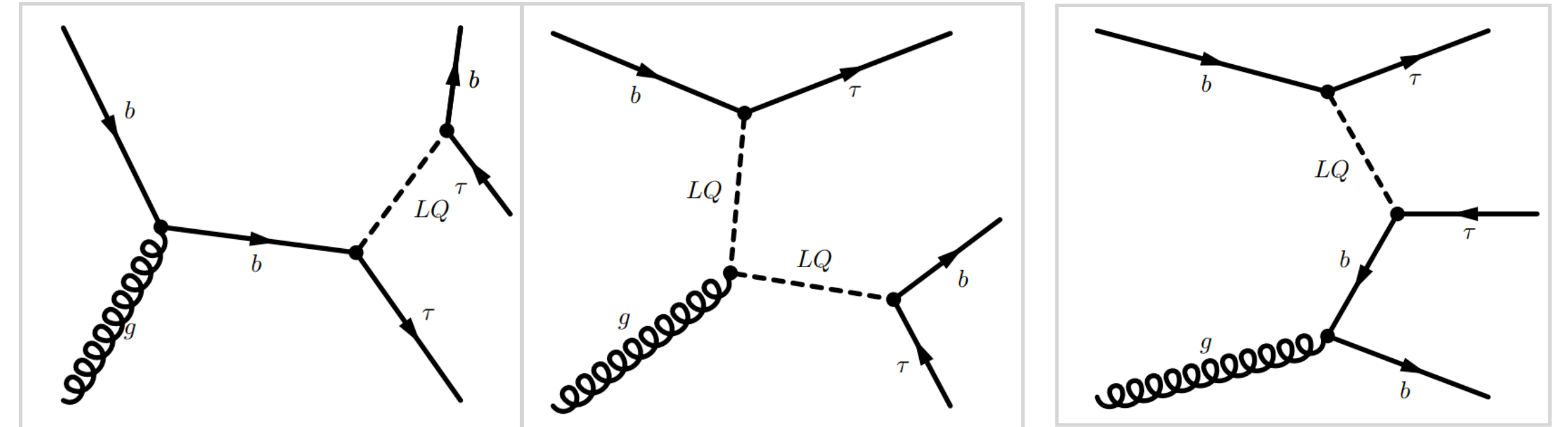
Single + Pair + Non-resonant production are considered

- Single: scalar (\tilde{S}_1) and vector (U_1^{Min} and U_1^{YM}) LQ models

Event selections:

- ≥ 1 jets, ≥ 1 b-jets ($p_T > 25$ GeV)
- Two channels: $\tau_{had}\tau_{had}$ and $\tau_{lep}\tau_{had}$ ($l = e, \mu$)

Final discriminant variable: $S_T = p_T^{\tau_1} + p_T^{\tau_2} + p_T^{bjet}$



LQ-b- τ : All Production Modes @ CMS

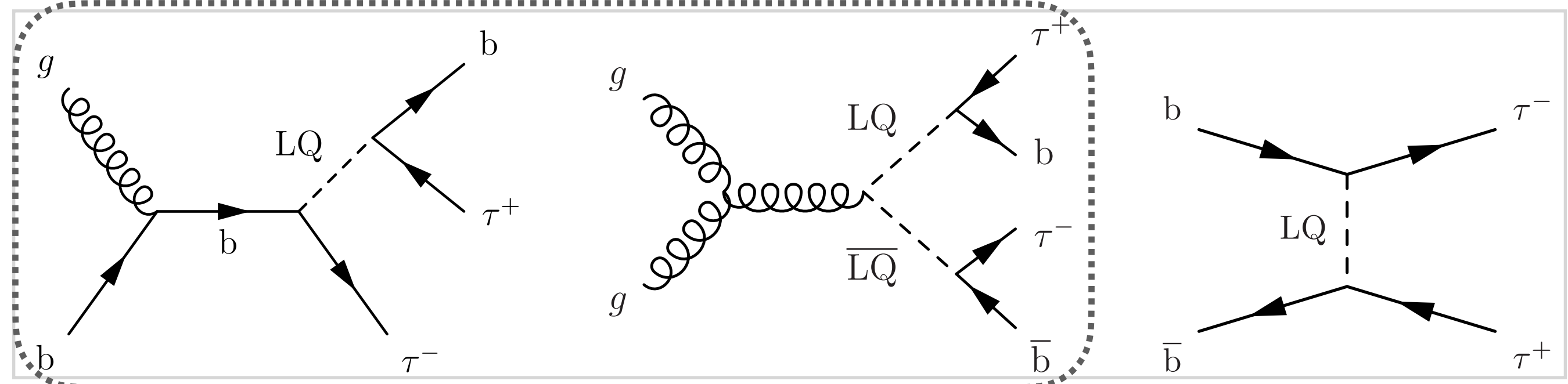
arXiv:2308.07826

Event categorization:

- Resonant: ≥ 1 jets ($p_T > 50$ GeV)
 - split in 2 categories: 0 and ≥ 1 b-jets ($p_T > 50$ GeV)
- Non-resonant: = 0 jets ($p_T > 50$ GeV)
 - split in 3 m_{vis} categories

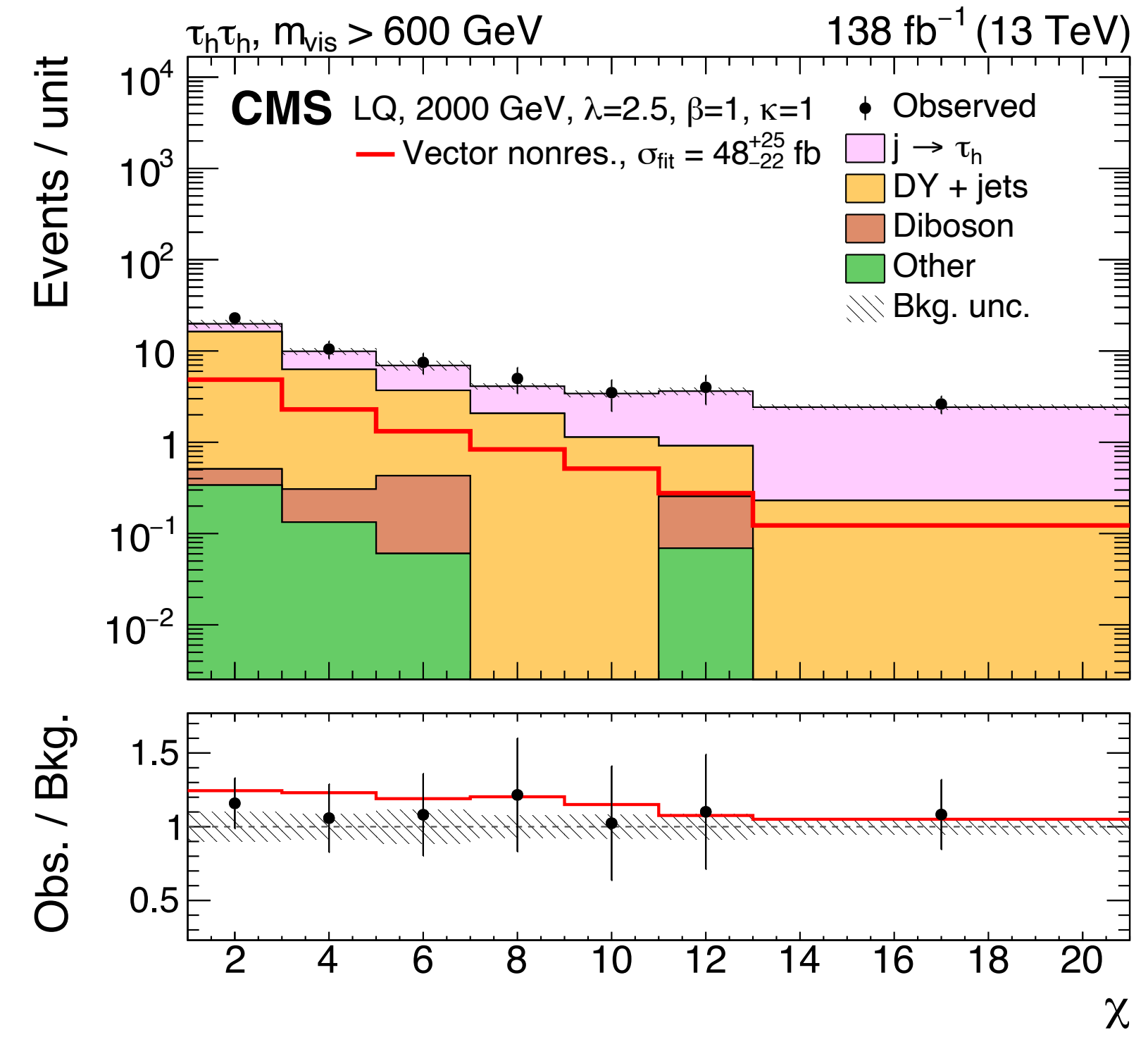
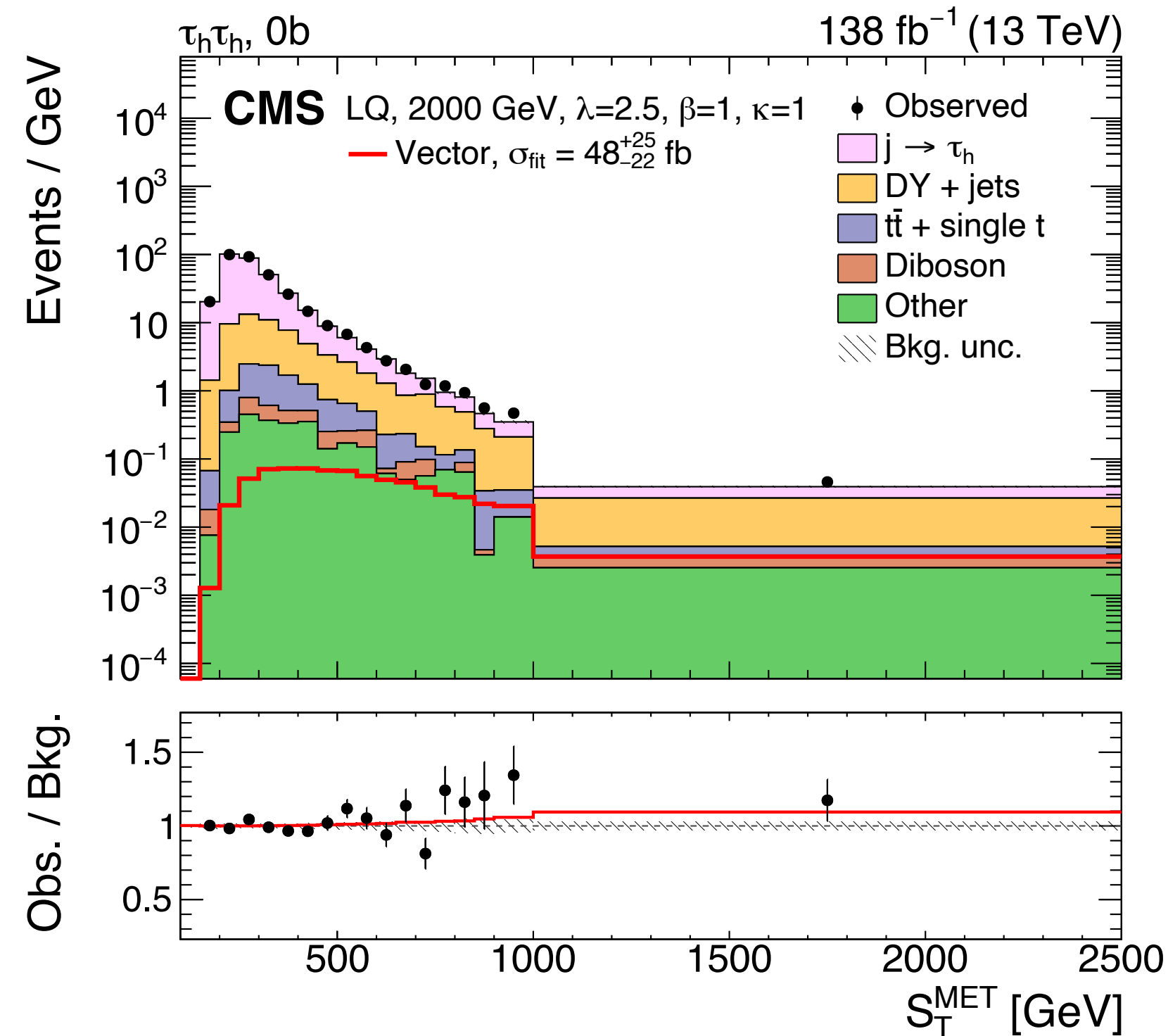
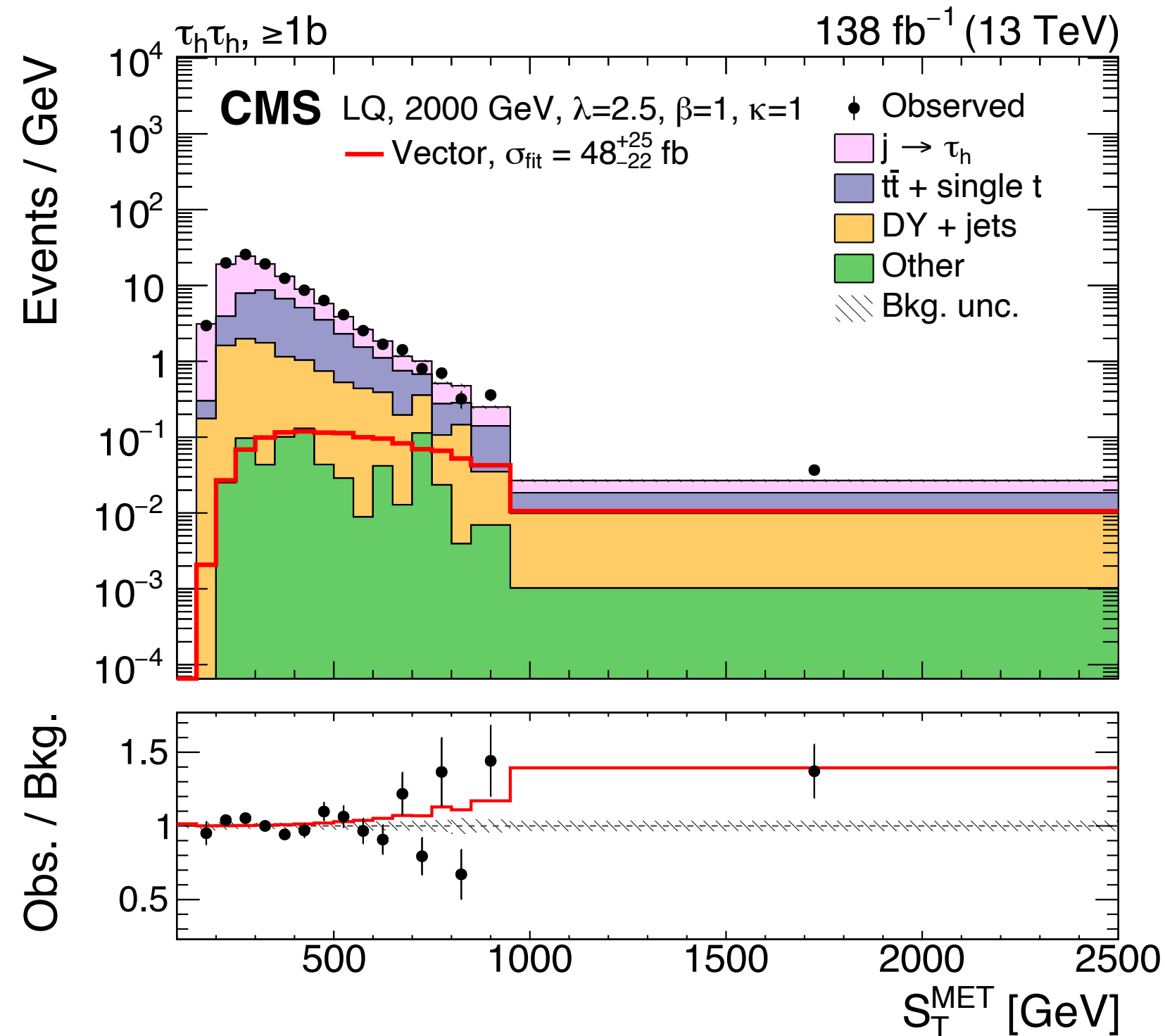
Final discriminating variable:

- Resonant: $S_T^{MET} = p_T(\tau_1) + p_T(\tau_2) + p_T(j_1) + p_T^{miss}$
- Non-resonant: $\chi = \exp(|\Delta\eta_{\tau\tau}|)$



Resonant channels: S_T^{MET}

Non-resonant channels: χ



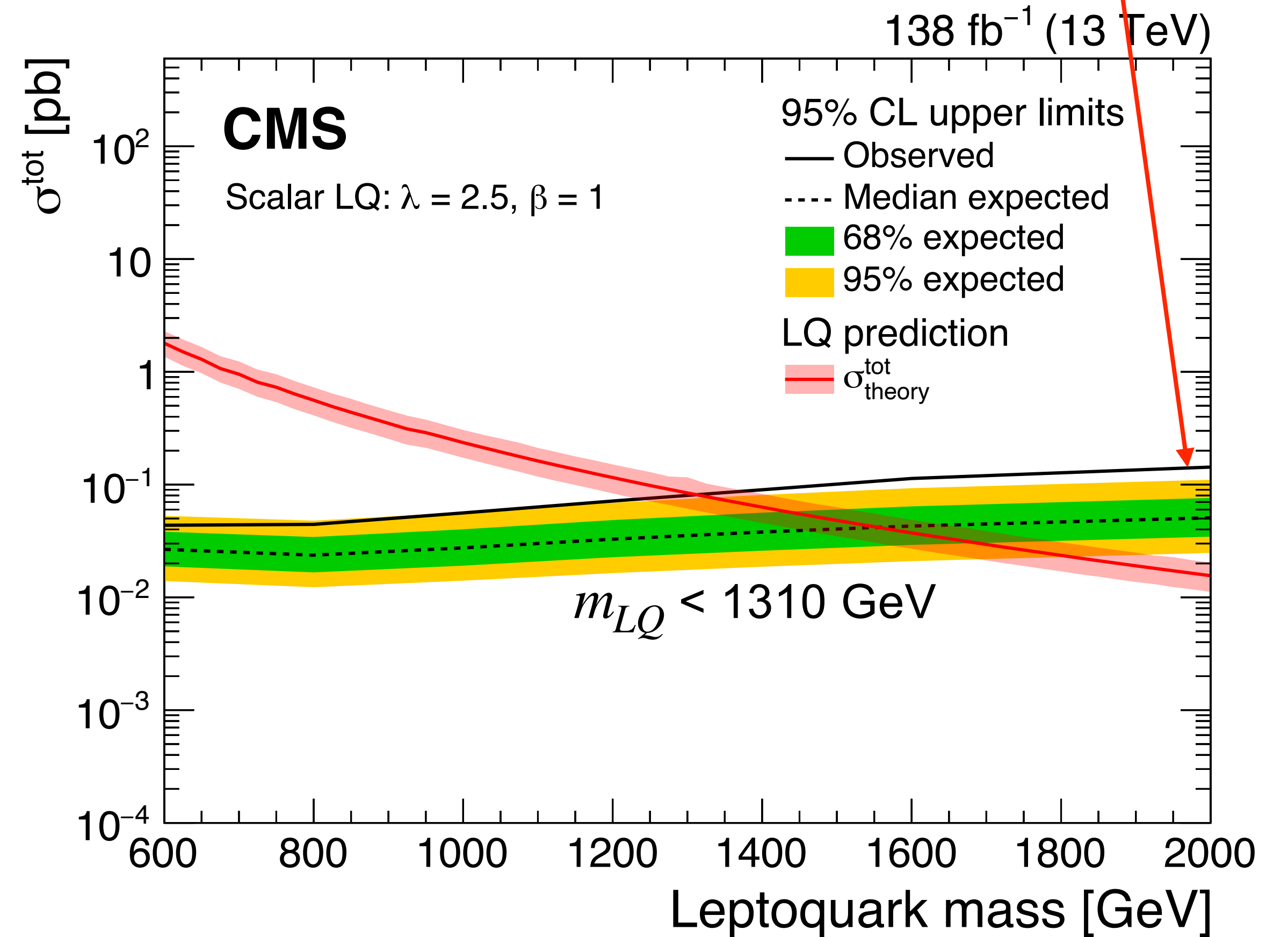
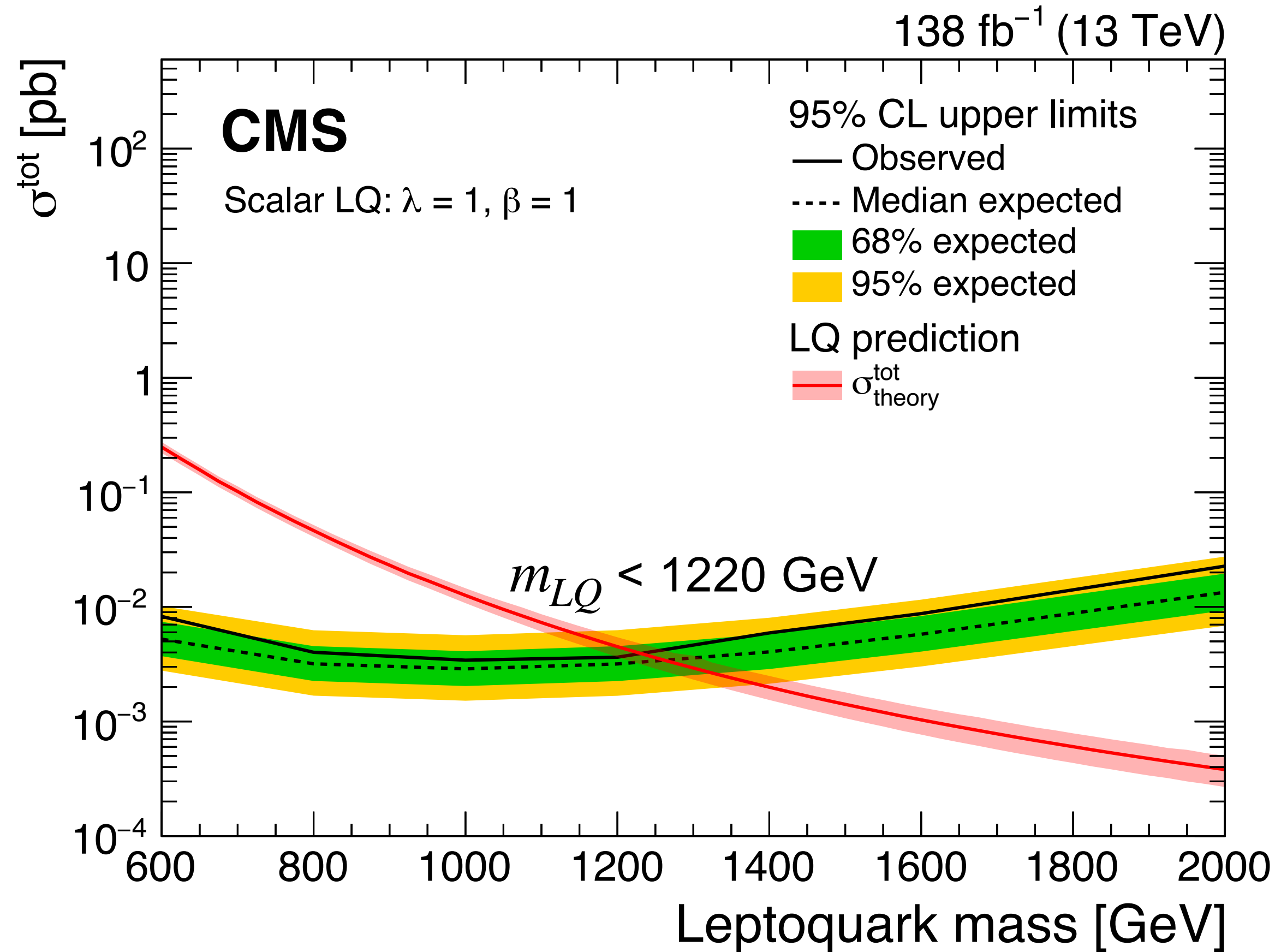
LQ-b- τ : All Production Modes @ CMS

No significant excess observed below a coupling strength of $\lambda = 1.5$.

Highest local significance 2.8σ for scalar LQ with $\lambda = 2.5$ and $m_{LQ} = 2$ TeV

- The present excess is driven by events with at least one highly energetic jet but no b-tagged jets.

Observed upper limits are ~ 3 times larger than expected



Focus on decays into 3rd generation quarks

Aim to improve sensitivity through statistical combination of analyses

Inputs from 9 analyses: 6 dedicated searches & 2 SUSY re-interpretations (★) & 1 SUSY re-optimization (★)

Signal regions designed to be orthogonal, overlapping events identified & removed

All final states from $l(e/\mu)$, τ_{had} , $(b-)jets$ and E_T^{miss} , with different selection criteria based on analysis

Interpretations:

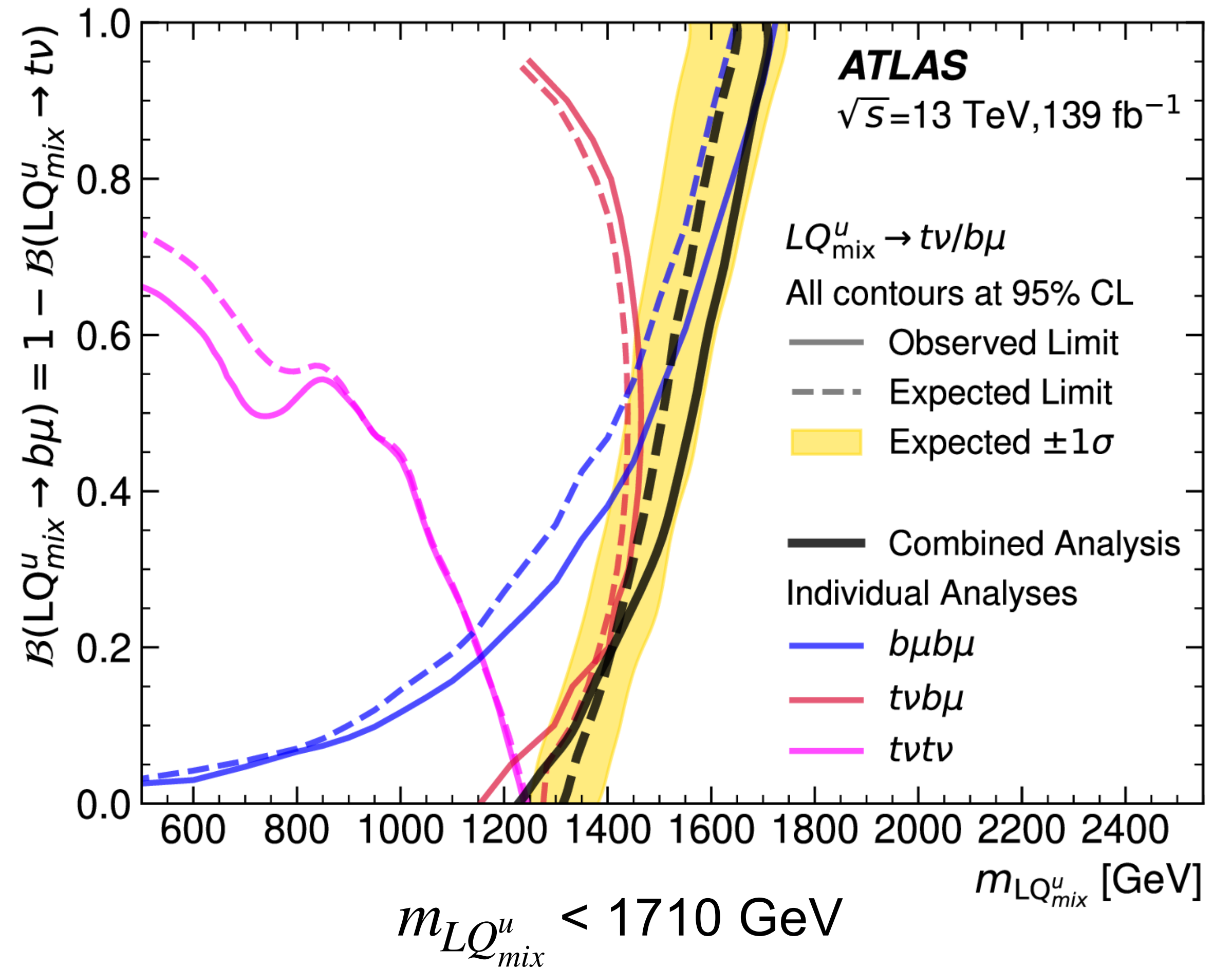
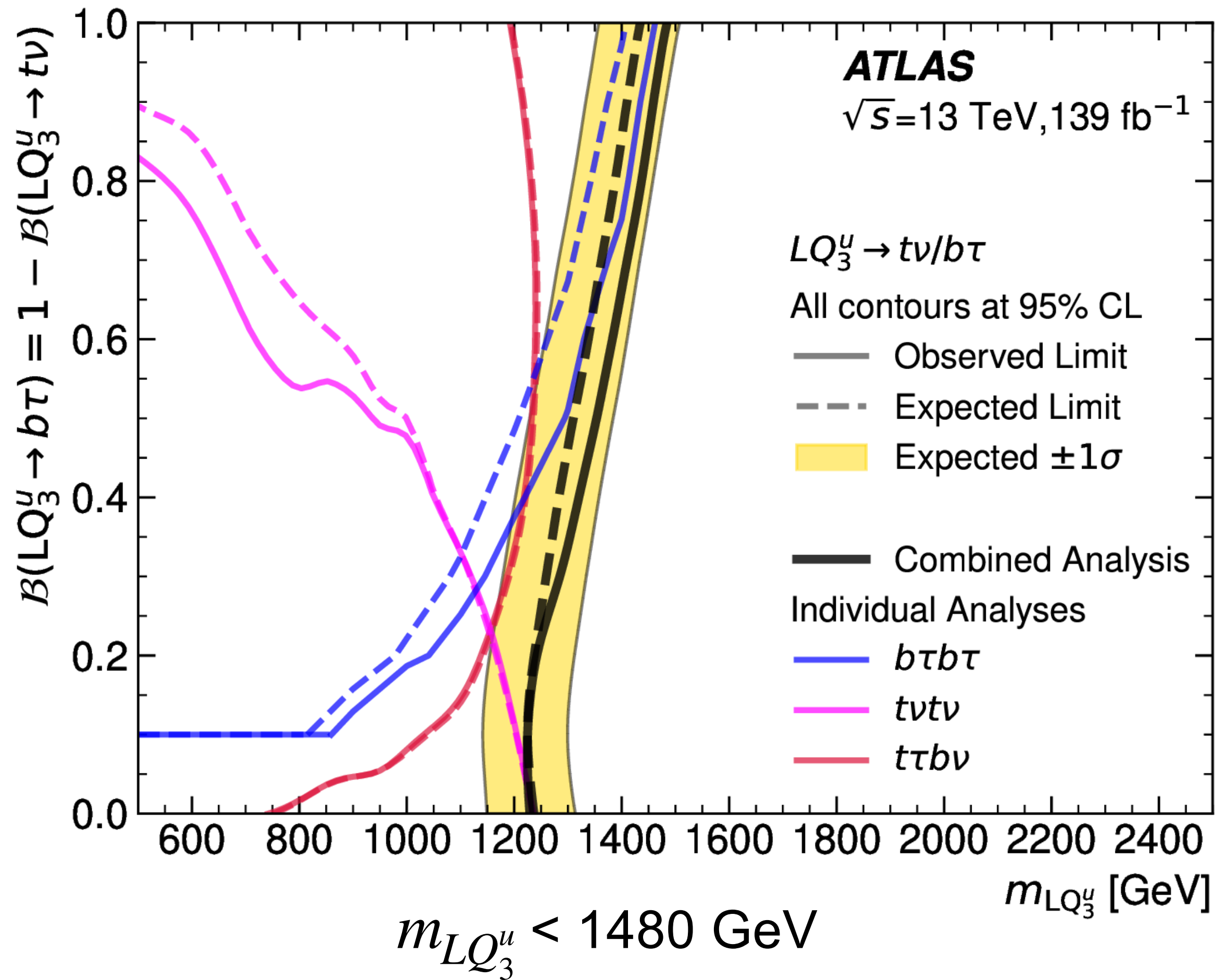
- 5 signal models covered by combining 3~4 analyses for each (LQ_3^{uld} , LQ_{mix}^{uld} , $U_1^{YM/MC}$)
- 1 additional re-interpretation of search in $t\tau t\tau$ final state ($\tilde{U}_1^{YM/MC}$)

Most stringent results to date for majority of the models.

Search	Interpretation							Signal Region			
	Final State	Citation	Scalar		Vector			N_ℓ	$N_{\tau_{had}}$	N_{bjets}	
			LQ_3^u	LQ_3^d	LQ_{mix}^u	LQ_{mix}^d	$U_1^{YM/MC}$	$\tilde{U}_1^{YM/MC}$			
	$t\nu b\tau$		✓	✓	–	–	✓	–	0	1	≥ 2
	$b\tau b\tau$		✓	–	–	–	✓	–	{0, 1}	{1, 2}	{1, 2}
★	$t\tau t\tau$		–	✓	–	–	–	✓	{1, 2, 3}	≥ 1	≥ 1
	$t\nu b\ell$		–	–	✓	✓	–	–	1	–	≥ 1
	$b\ell b\ell$		–	–	✓	–	–	–	2	–	{0, 1, 2}
	$t\ell t\ell$ (2ℓ)		–	–	–	✓	–	–	2	–	–
	$t\ell t\ell$ ($\geq 3\ell$)		–	–	–	✓	–	–	{3, 4}	–	≥ 2
★	$t\nu t\nu$		✓	–	✓	–	✓	–	0	0	≥ 2
★	$b\nu b\nu$		–	✓	–	✓	–	–	0	–	≥ 2

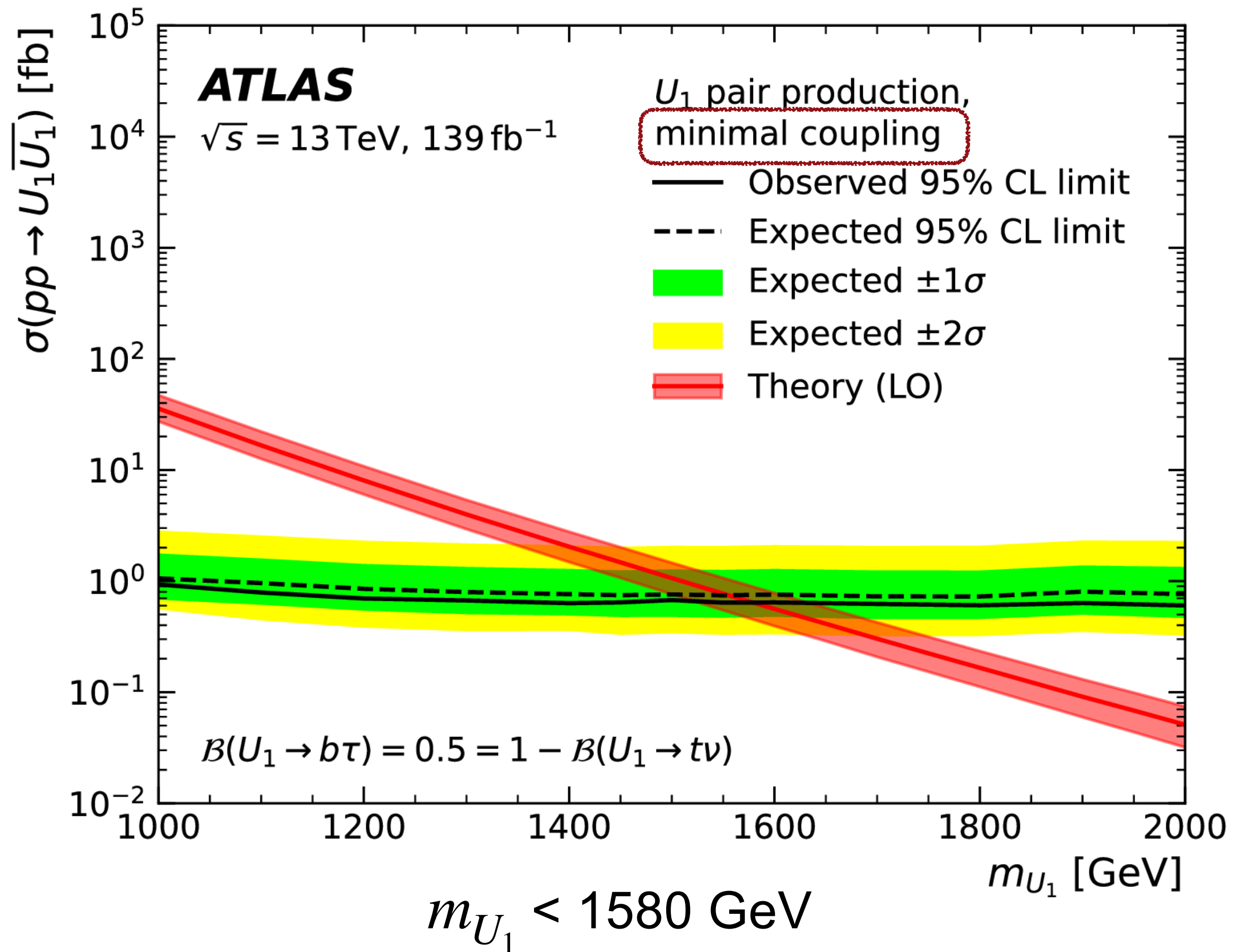
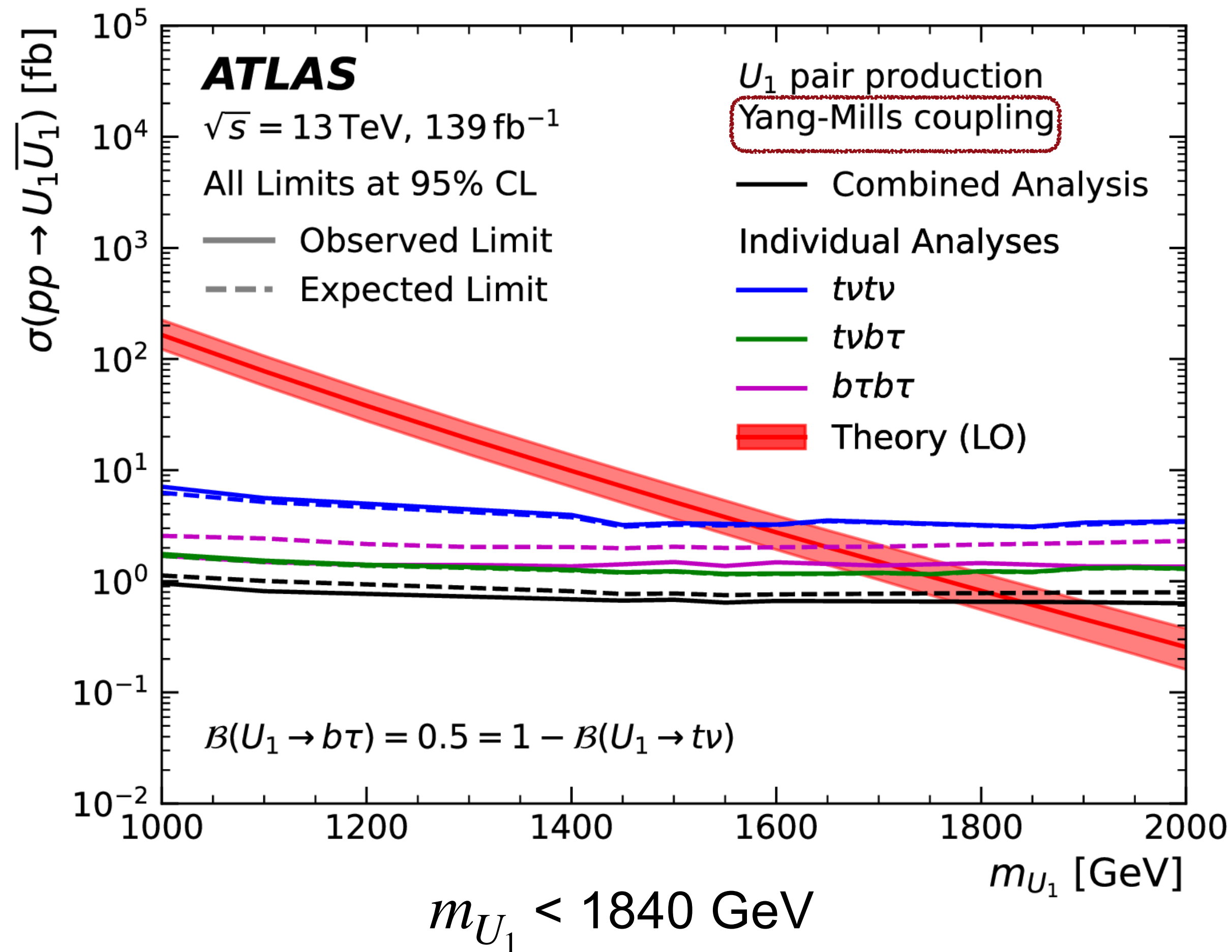
LQ 3rd Generation Pair Production Combination @ ATLAS arXiv:2401.11928

Combination of searches for **scalar** up-type and down-type LQ s decaying into 3rd or 1st/2nd generation leptons
 Exclusion limits improved by up to **100 GeV** w.r.t individual analyses

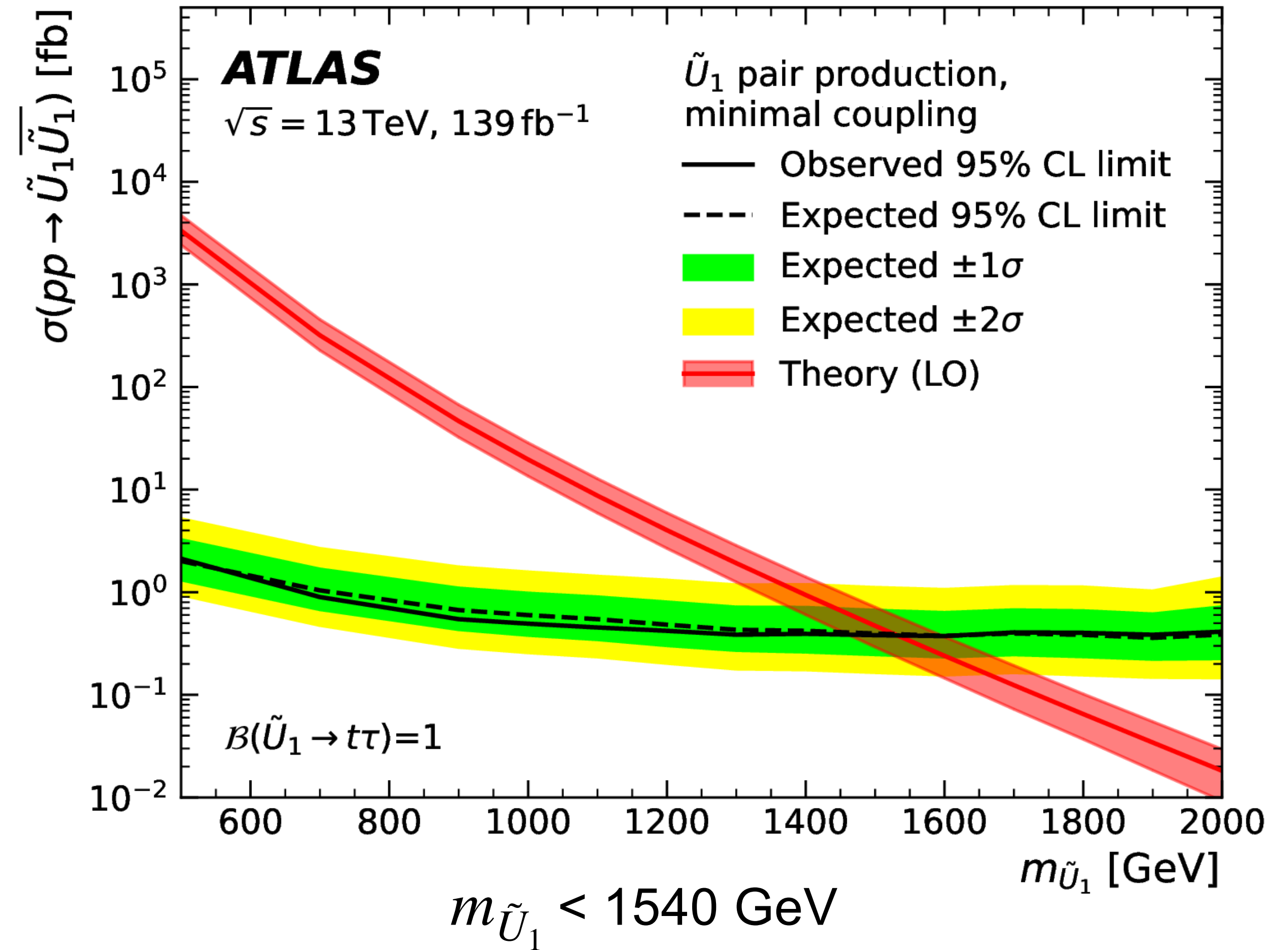
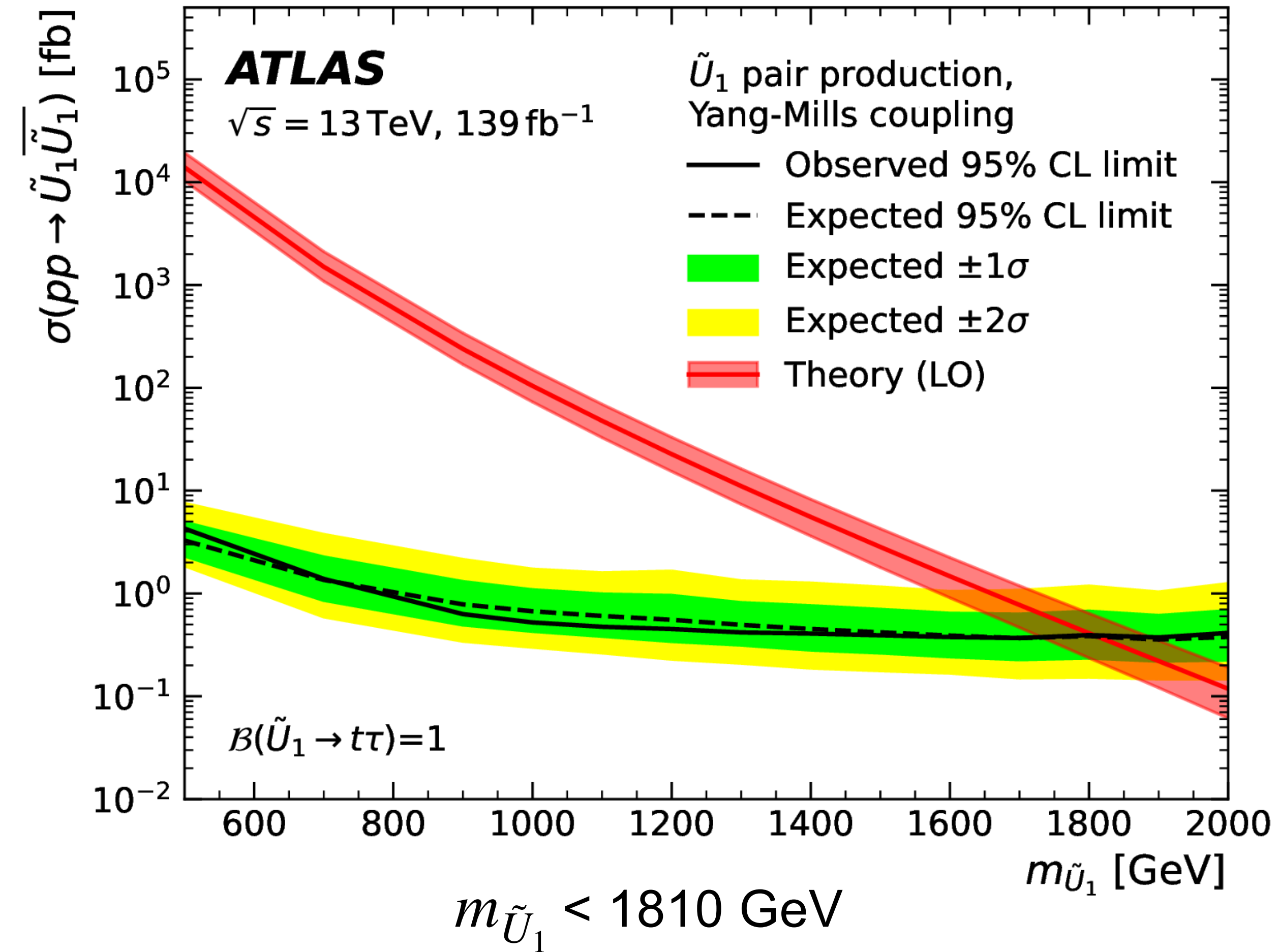


Combination of searches for U_1 vector LQ s decaying into $t\nu/b\tau$

Limit on production cross-section improves upon individual results by **70 GeV** (U_1^{YM}) and **80 GeV** (U_1^{MC}), assuming $\beta=0.5$



No combination possible in case of \tilde{U}_1
 Set lower limit on mass of 1810 GeV for \tilde{U}_1^{YM} and 1540 GeV for \tilde{U}_1^{MC}



LQ- τ -b / LQ- τ -q @ CMS

First search for scalar LQ_s produced via **lepton-quark scattering**

- Possible due to recent advances in precision of lepton PDFs

Event selections:

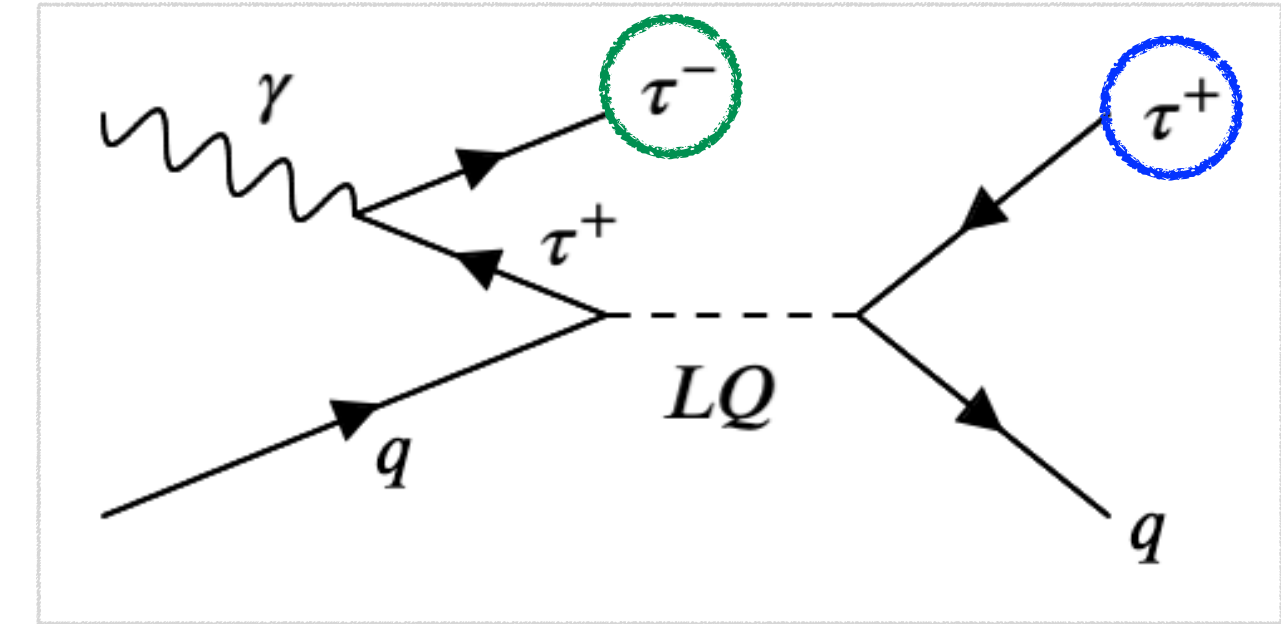
- Single lepton (e, μ, τ_h) + high p_T jet: both τ_{had} and τ_{lep} decays exploited
- Veto events with additional leptons: complementary to single/pair production

Two categories based on “b-tag” of leading jet: probes both $\lambda_{\tau b}$ and $\lambda_{\tau q}$

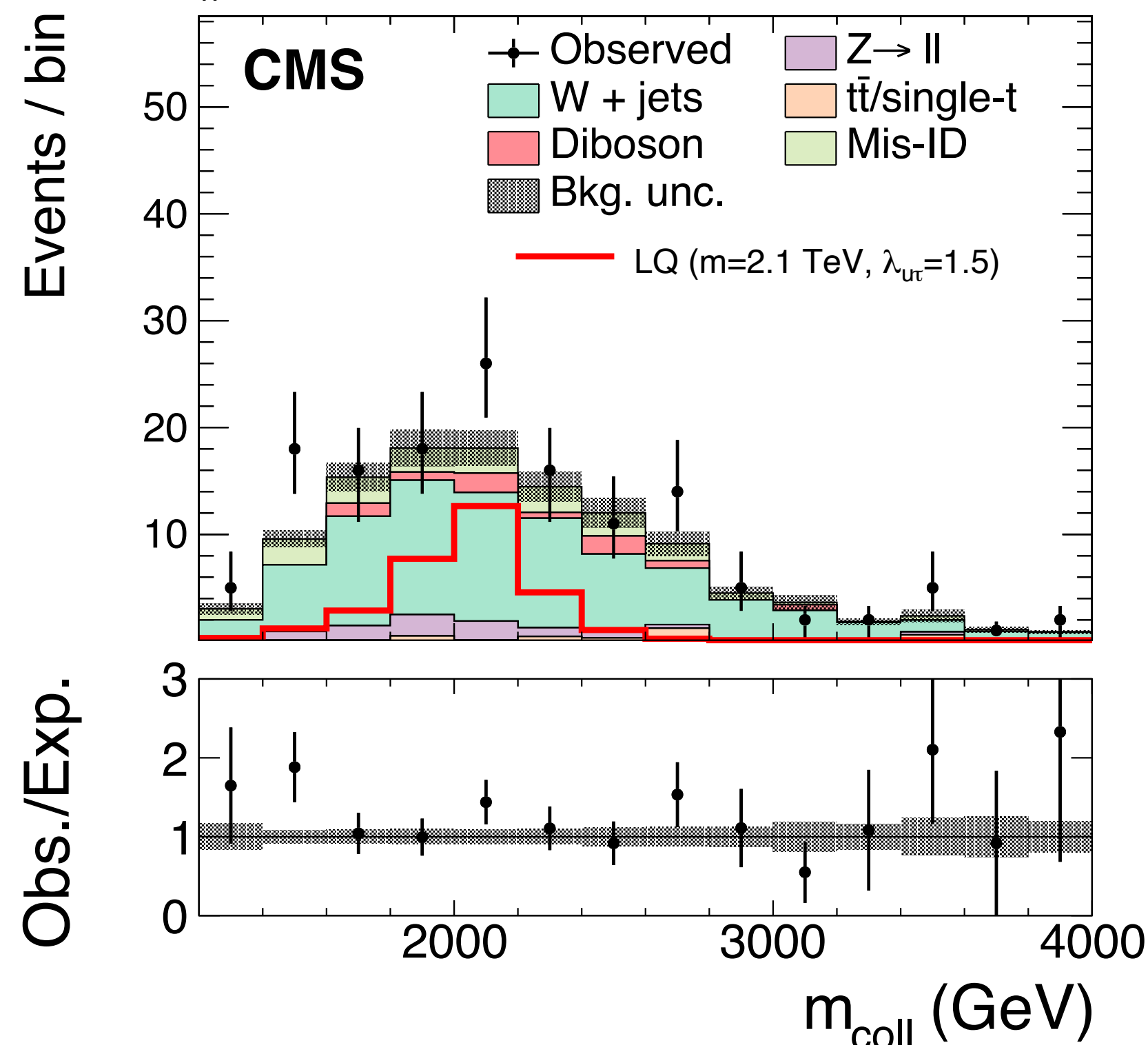
Final discriminant variable: collinear mass m_{coll} of τ candidate and jet

Forward and soft

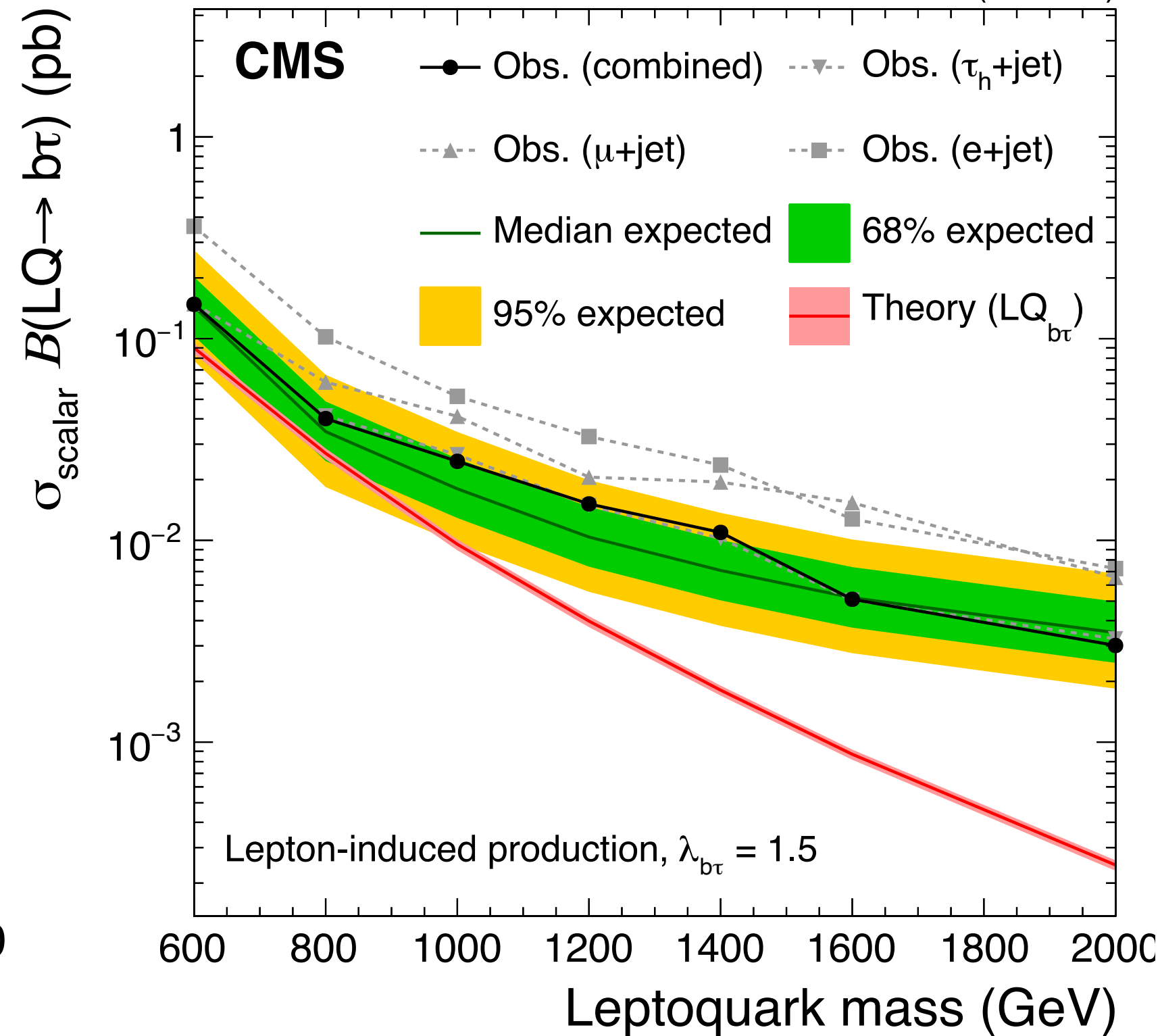
Central and high p_T



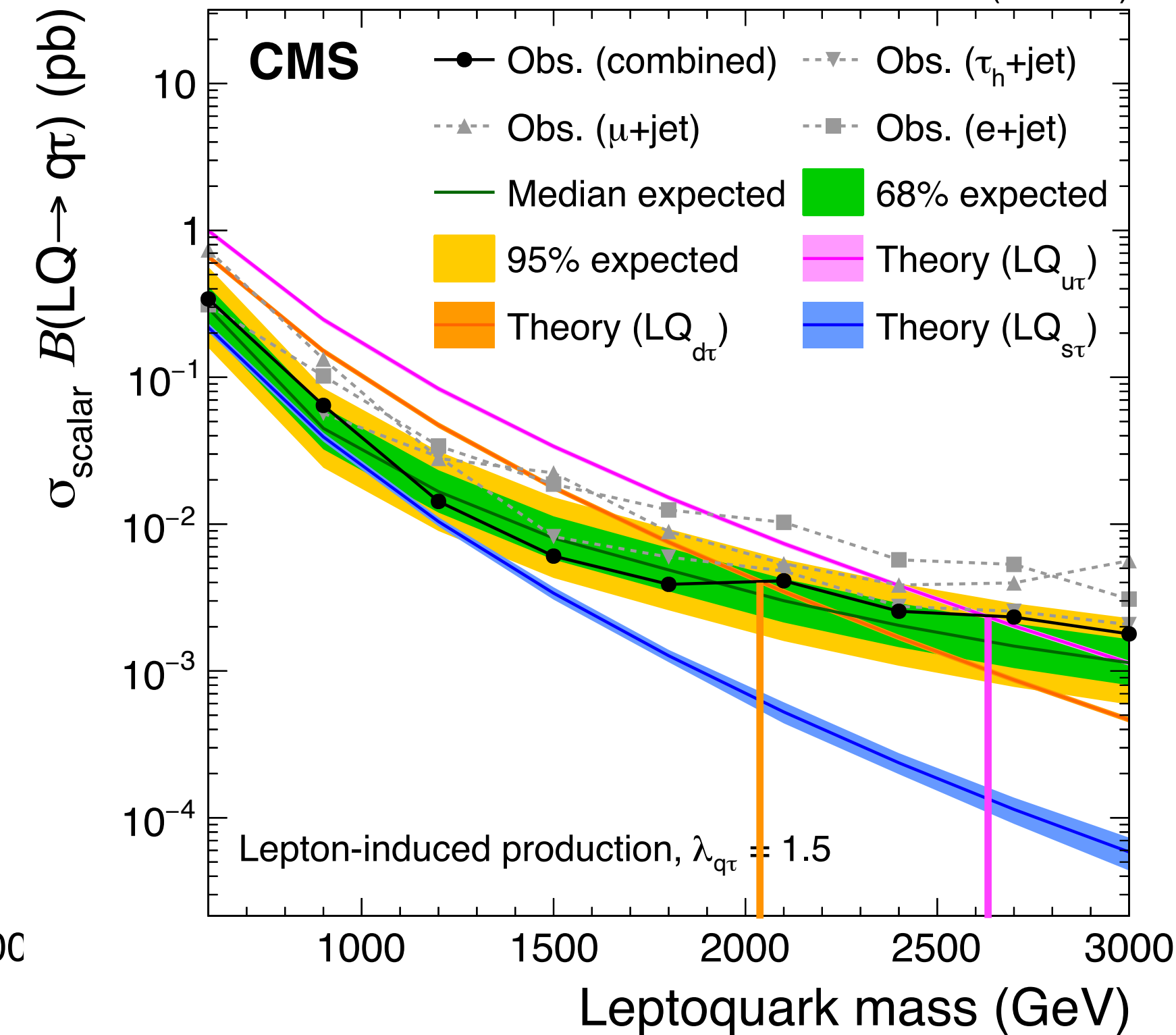
τ_h + jet, no-btag, high BDT 138 fb⁻¹ (13 TeV)



138 fb⁻¹ (13 TeV)



138 fb⁻¹ (13 TeV)



New Z' Vector Boson in 4μ Events @ ATLAS

arXiv:2301.09342

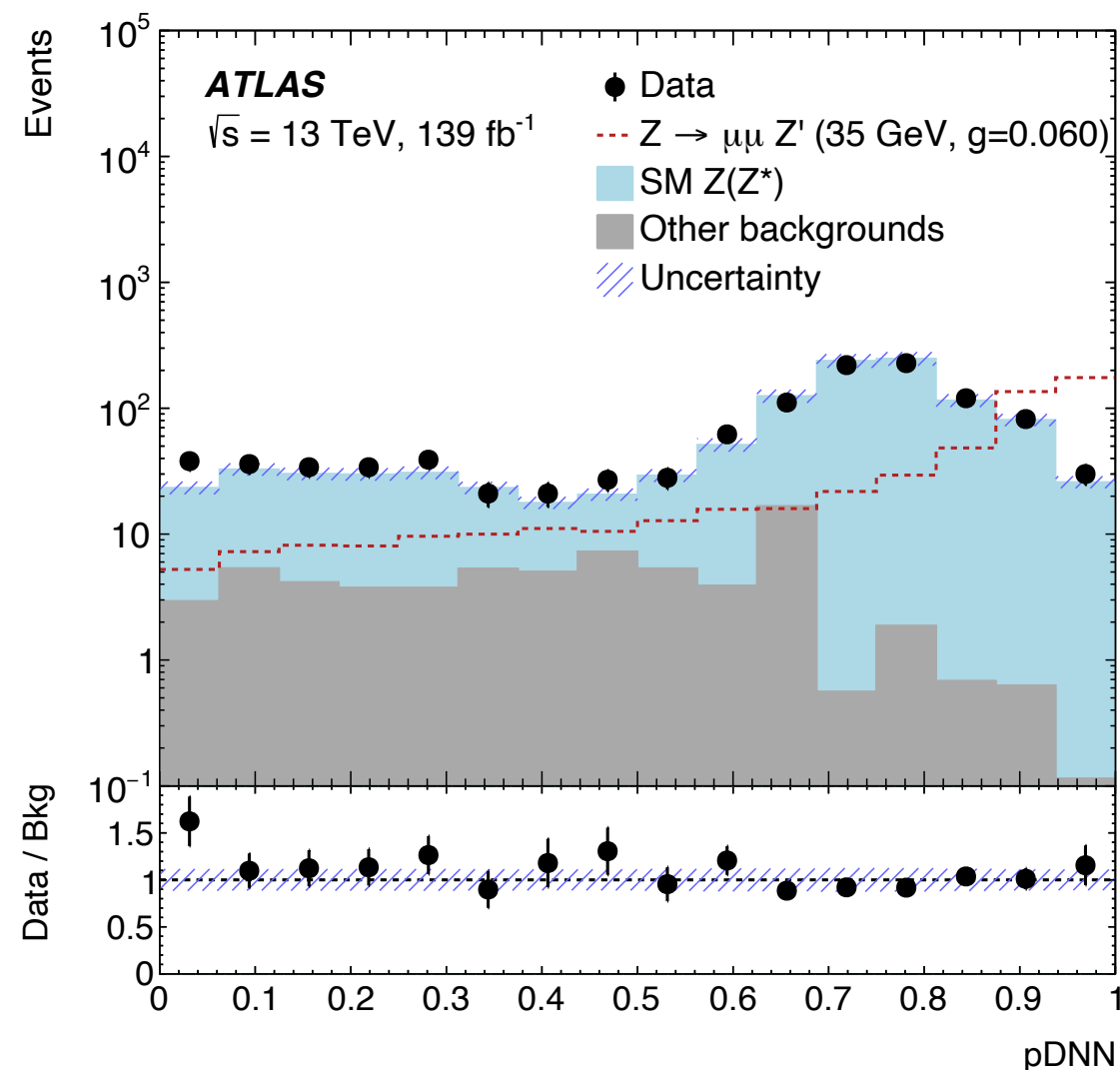
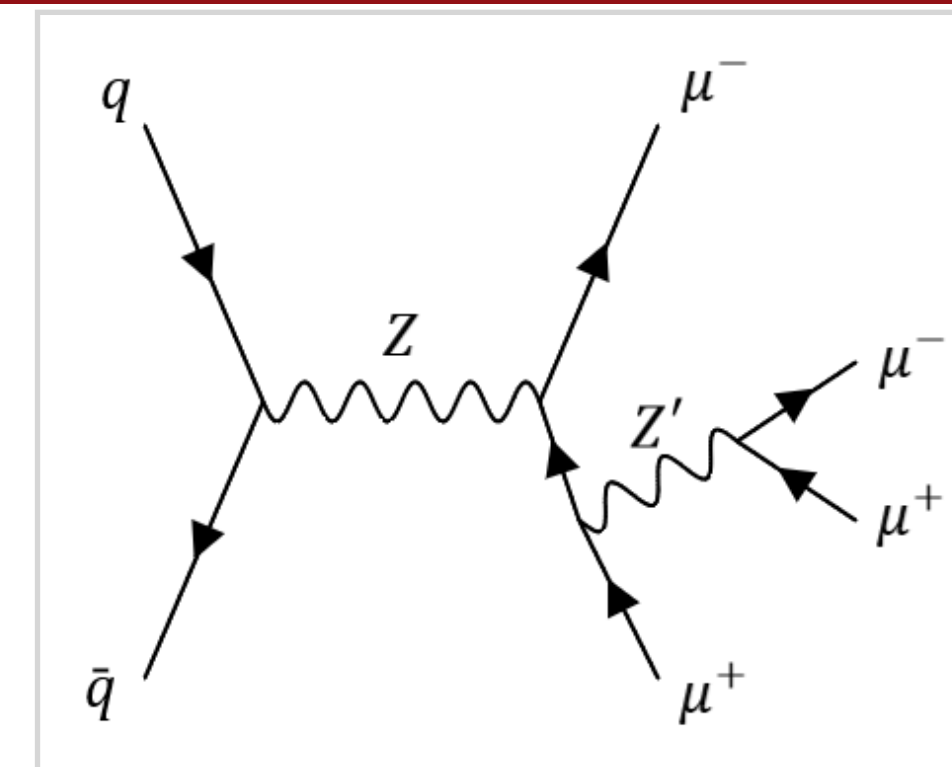
Experimental signature: 4μ opposite-charge pair events around Z pole

Basic selections to select 4μ events in the mass region of [80, 180] GeV, excluding Higgs region [110, 130] GeV

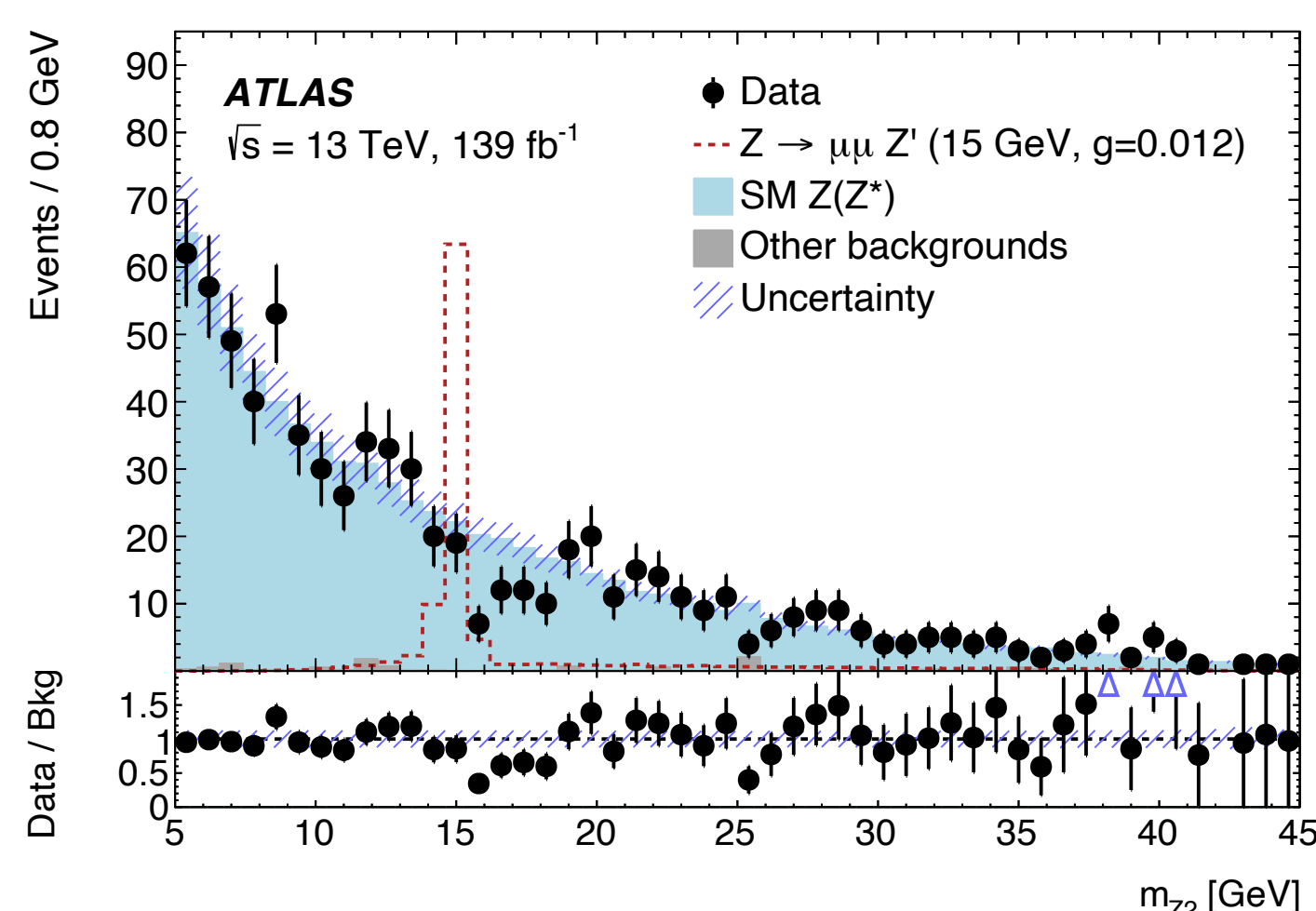
Events further split into low mass ($m_{Z'} < 42$ GeV) and high mass ($m_{Z'} > 42$ GeV) region

Parameterized deep neural network (pDNN) score to categorize 4μ events as signal or background at different Z' hypothesis masses

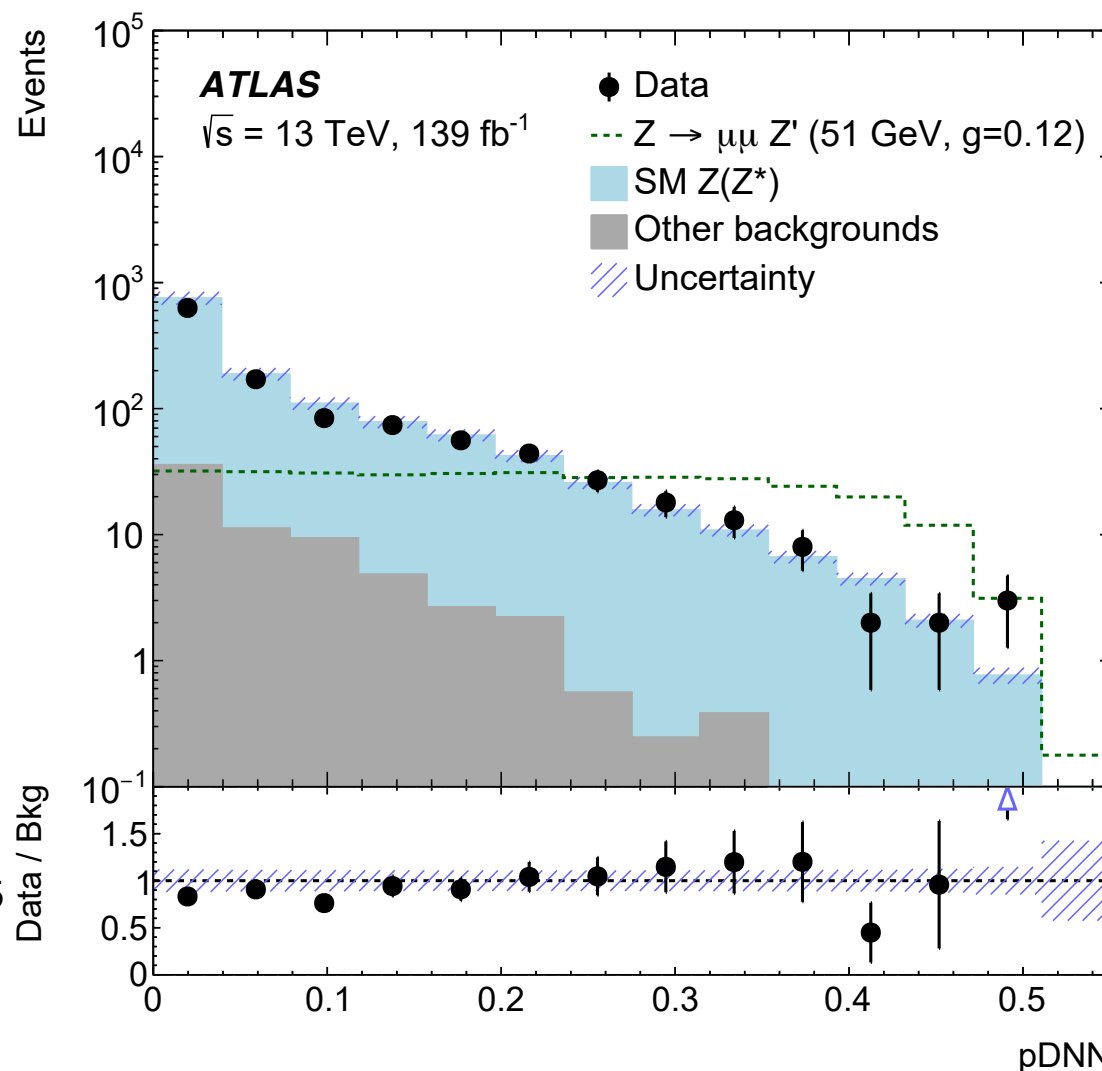
Final discriminant variable: m_{Z_1} or m_{Z_2} after pDNN cut



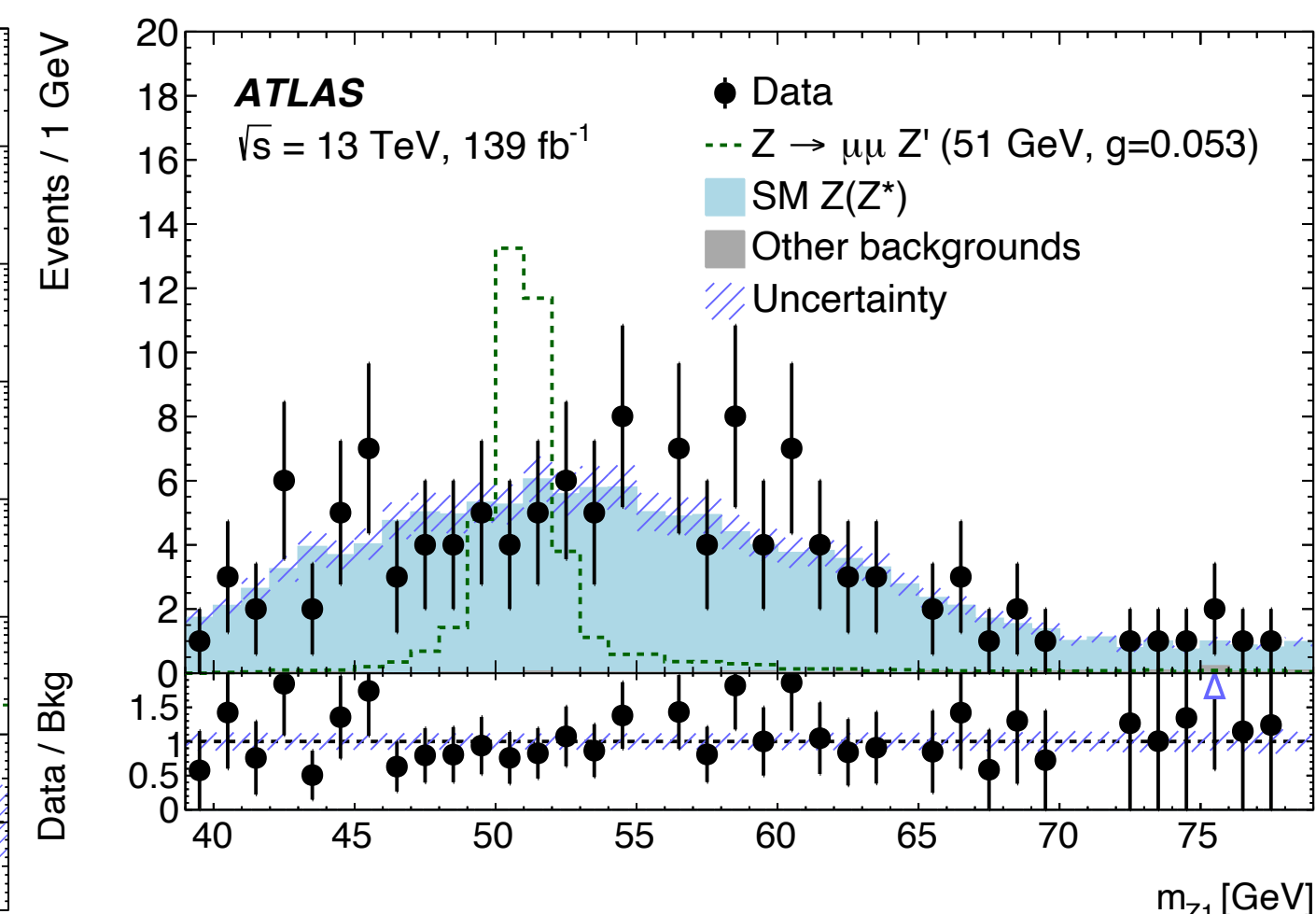
$m_{Z'} < 42$ GeV



Z_2 for Z



$m_{Z'} > 42$ GeV



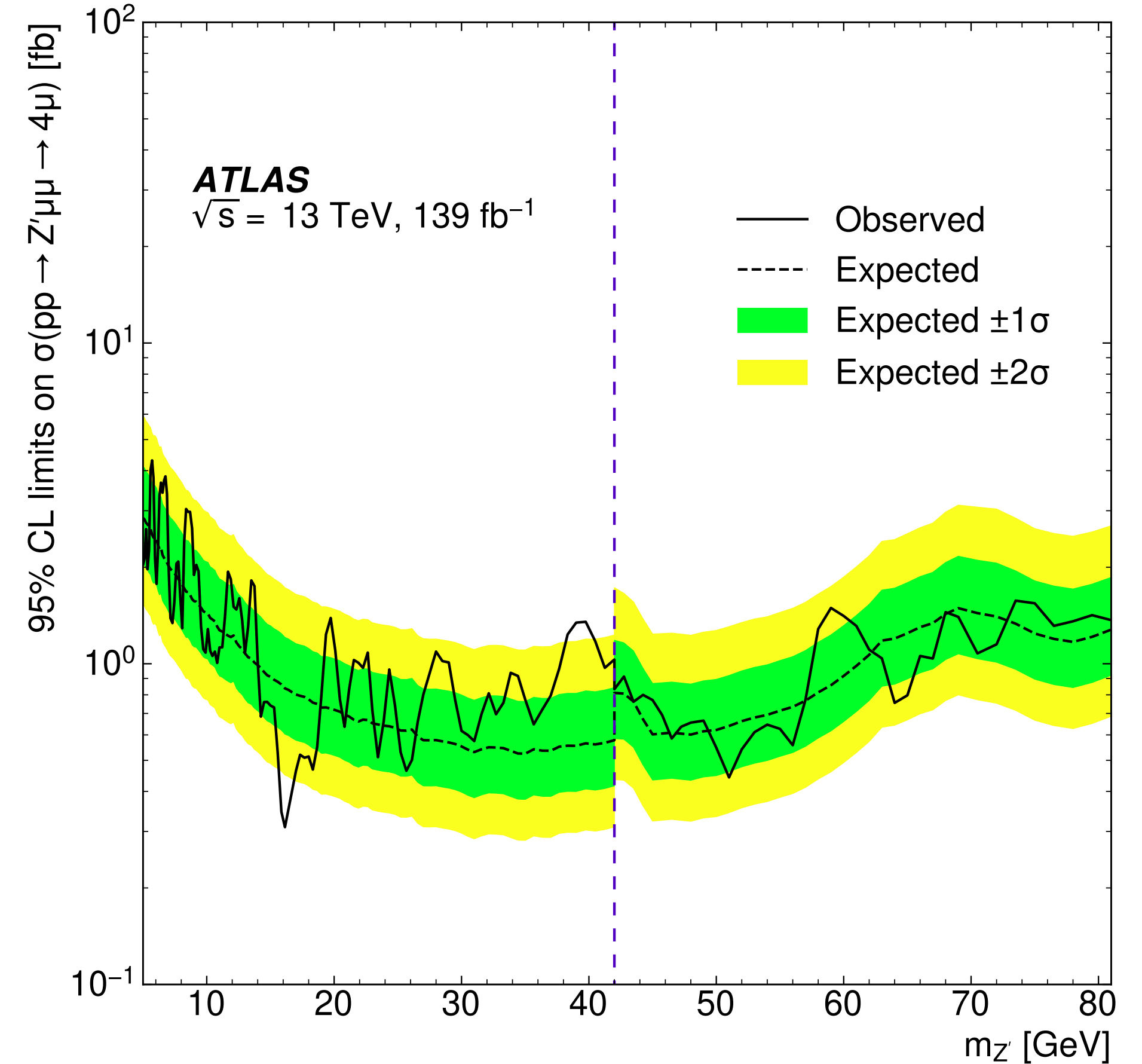
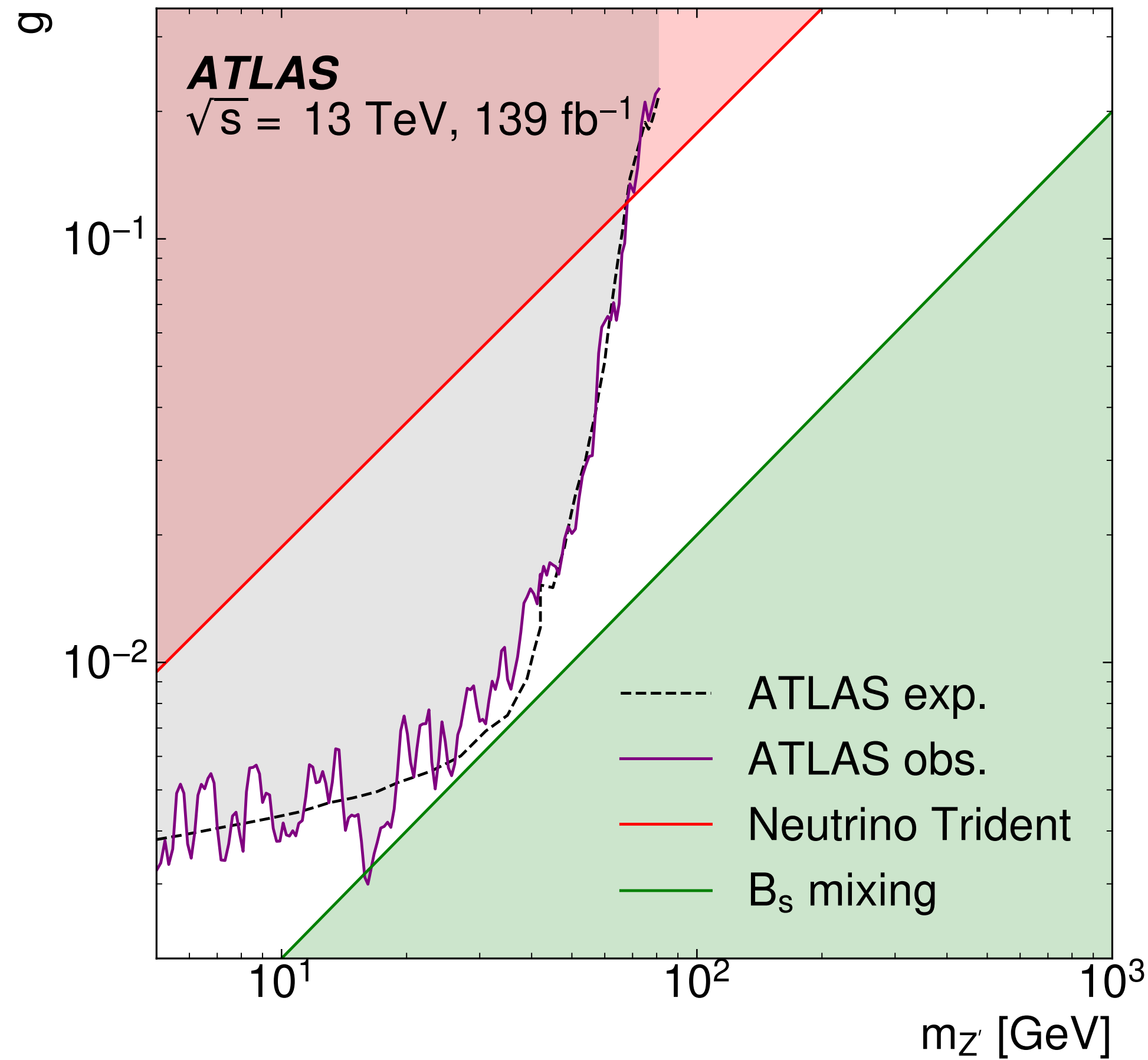
Z_1 for Z

New Z' Vector Boson in 4μ Events @ ATLAS

arXiv:2301.09342

Exclusion limits on coupling strength $g_{Z'}$ vary from 0.003 to 0.2

[CMS arXiv:1808.03684](#),
see more details in Backup



Observed exclusion limits @ CMS: $0.004 < g_{Z'} < 0.3$, using 2016+2017 dataset 77.3 fb^{-1}

New Z' Vector Boson in 3μ Events @ ATLAS

arXiv:2402.15212

First time to use the 3μ final state to search the new Z' gauge boson

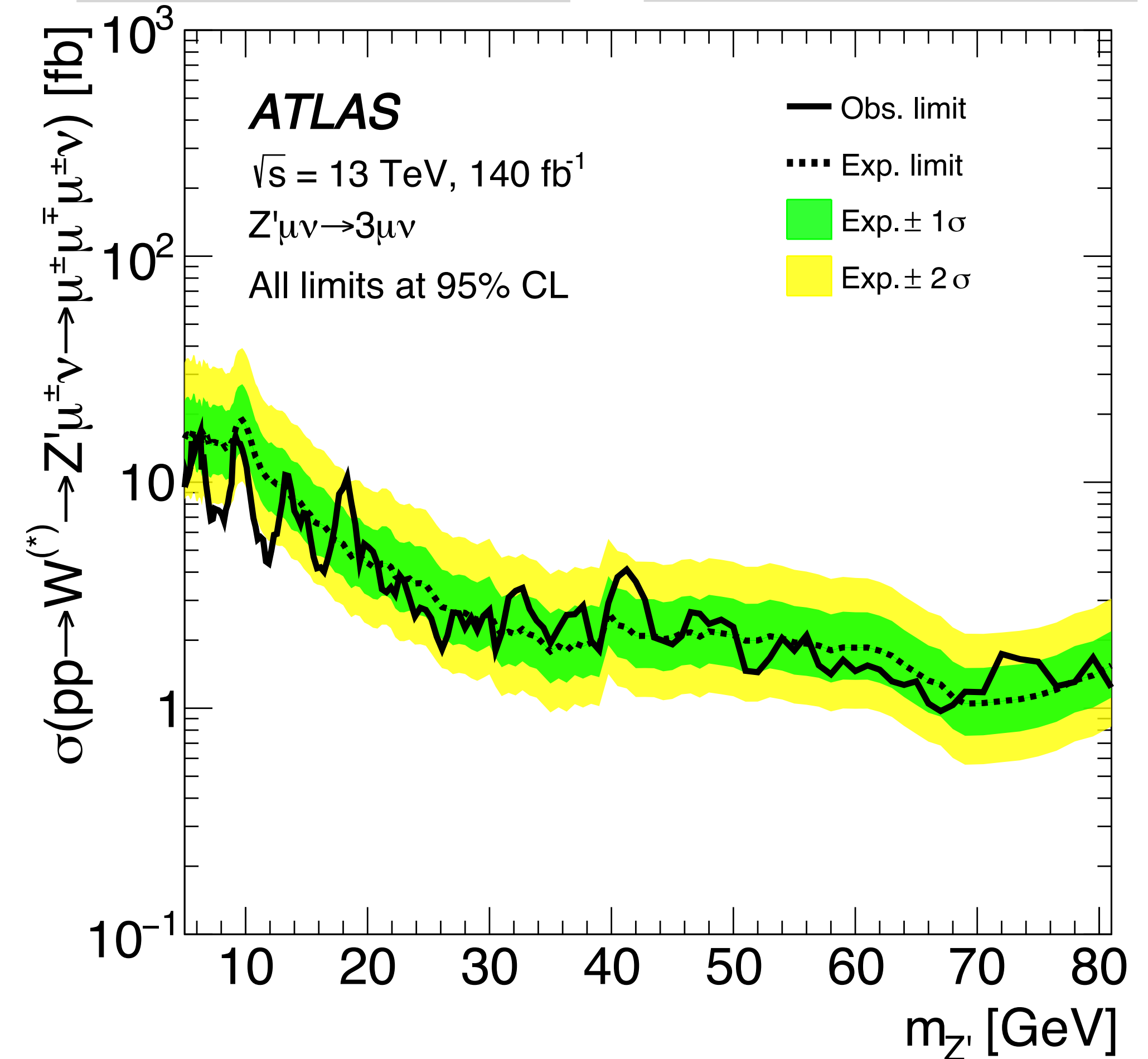
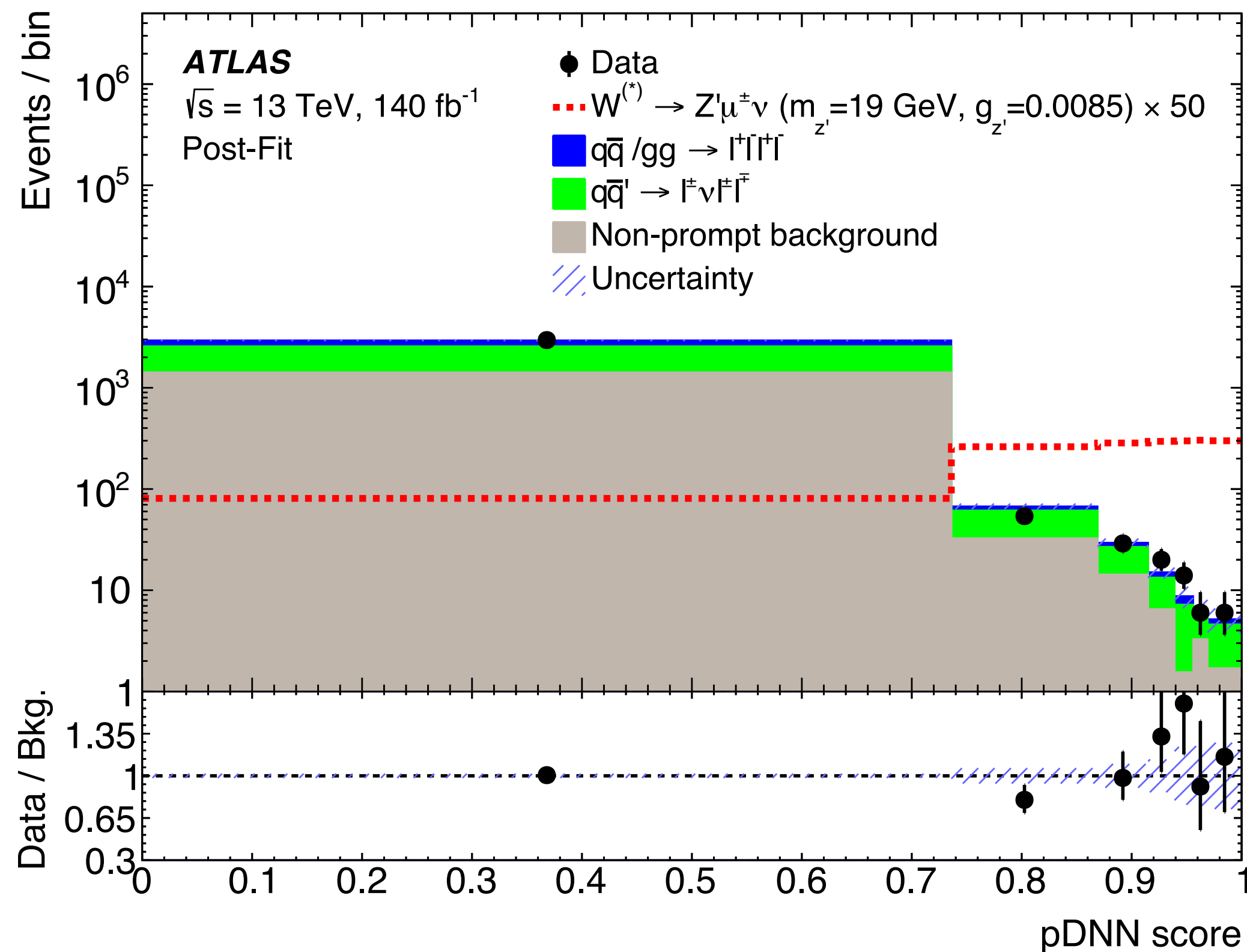
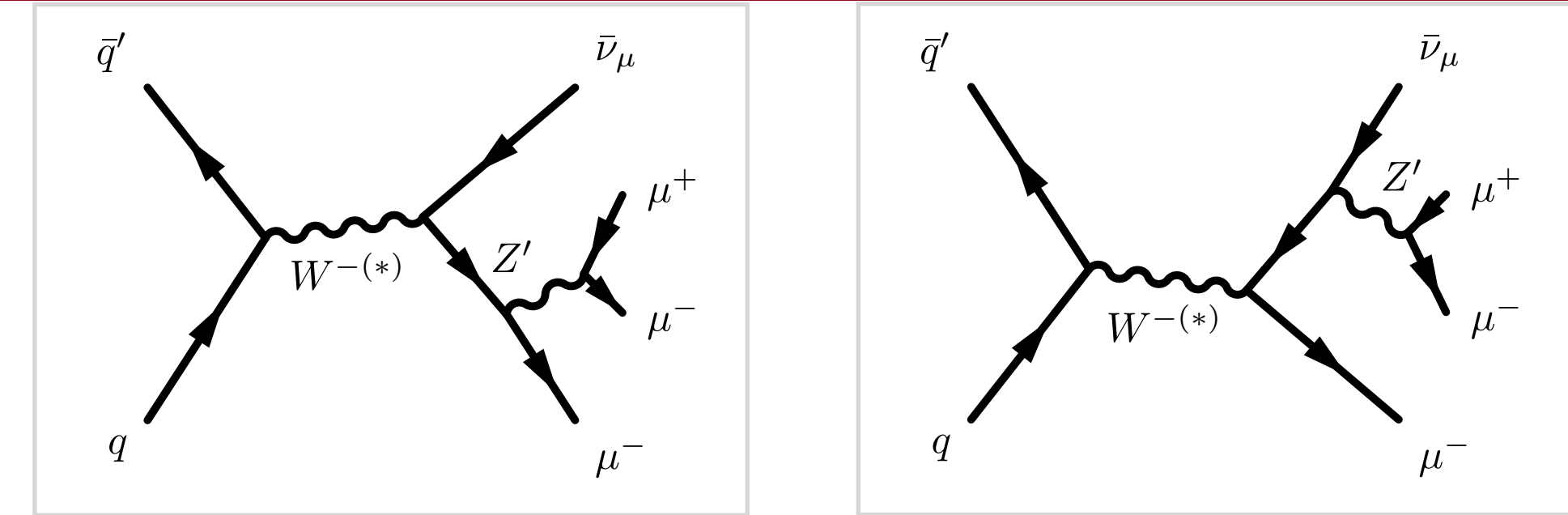
- Model contains two additional parameters $\{g_{Z'}, M_{Z'}\}$

Search for Z' in mass region from 5 to 81 GeV

Candidate events in the signal region:

- Exactly 3 isolated muons
- Large missing transverse momentum

Final discriminant variable: Parameterized deep neural network (pDNN)



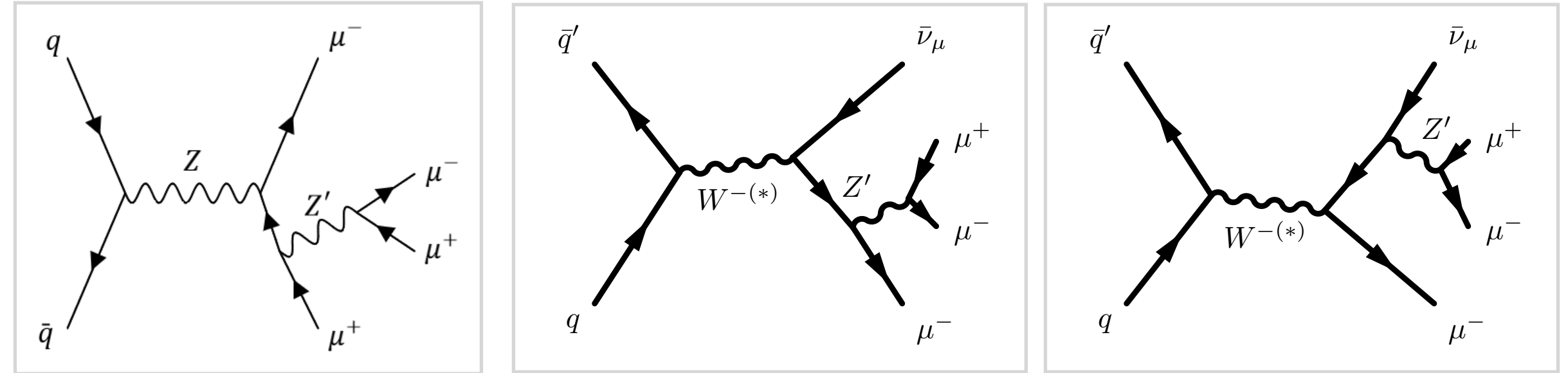
New Z' Vector Boson in 3μ Events @ ATLAS

arXiv:2402.15212

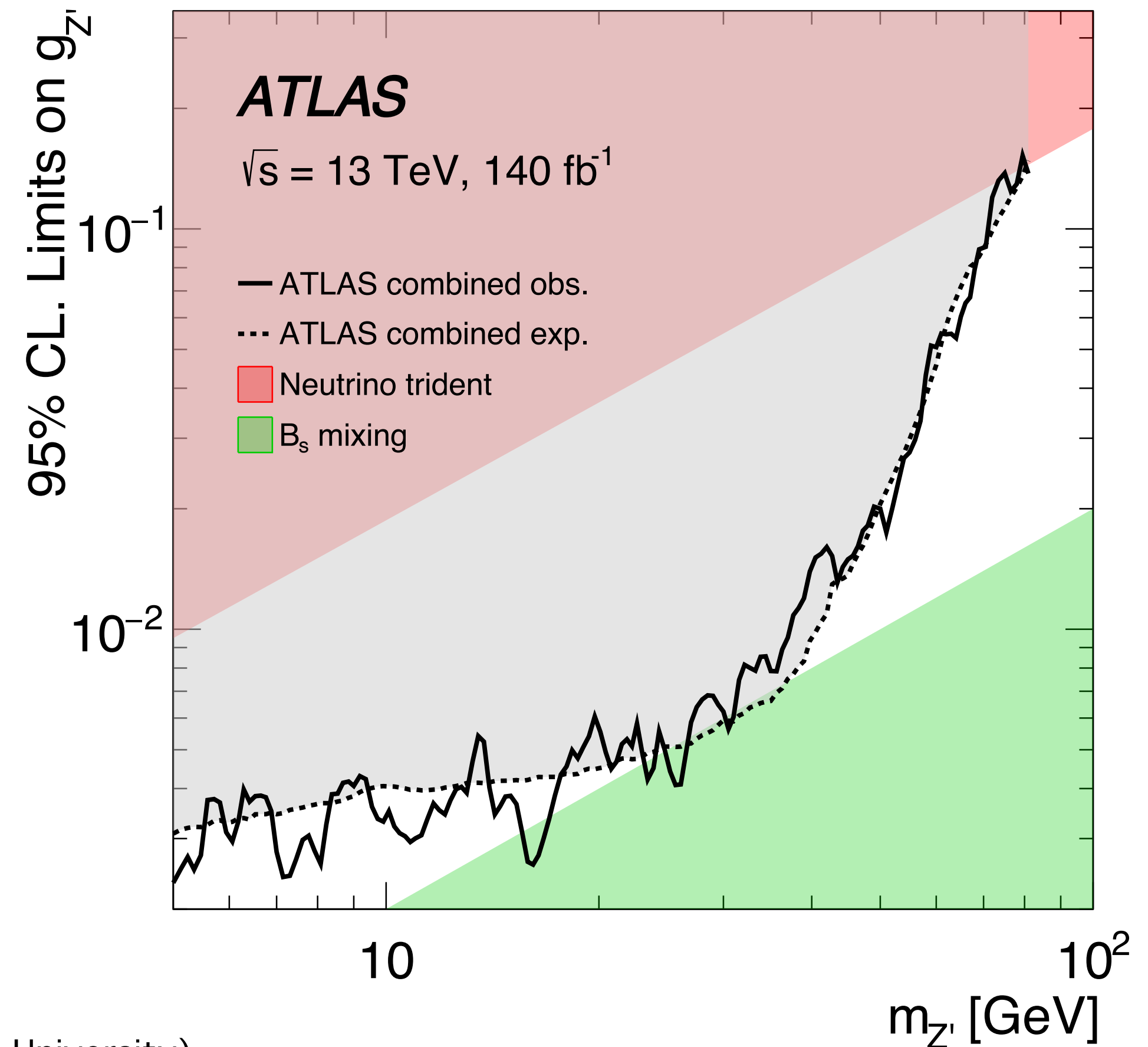
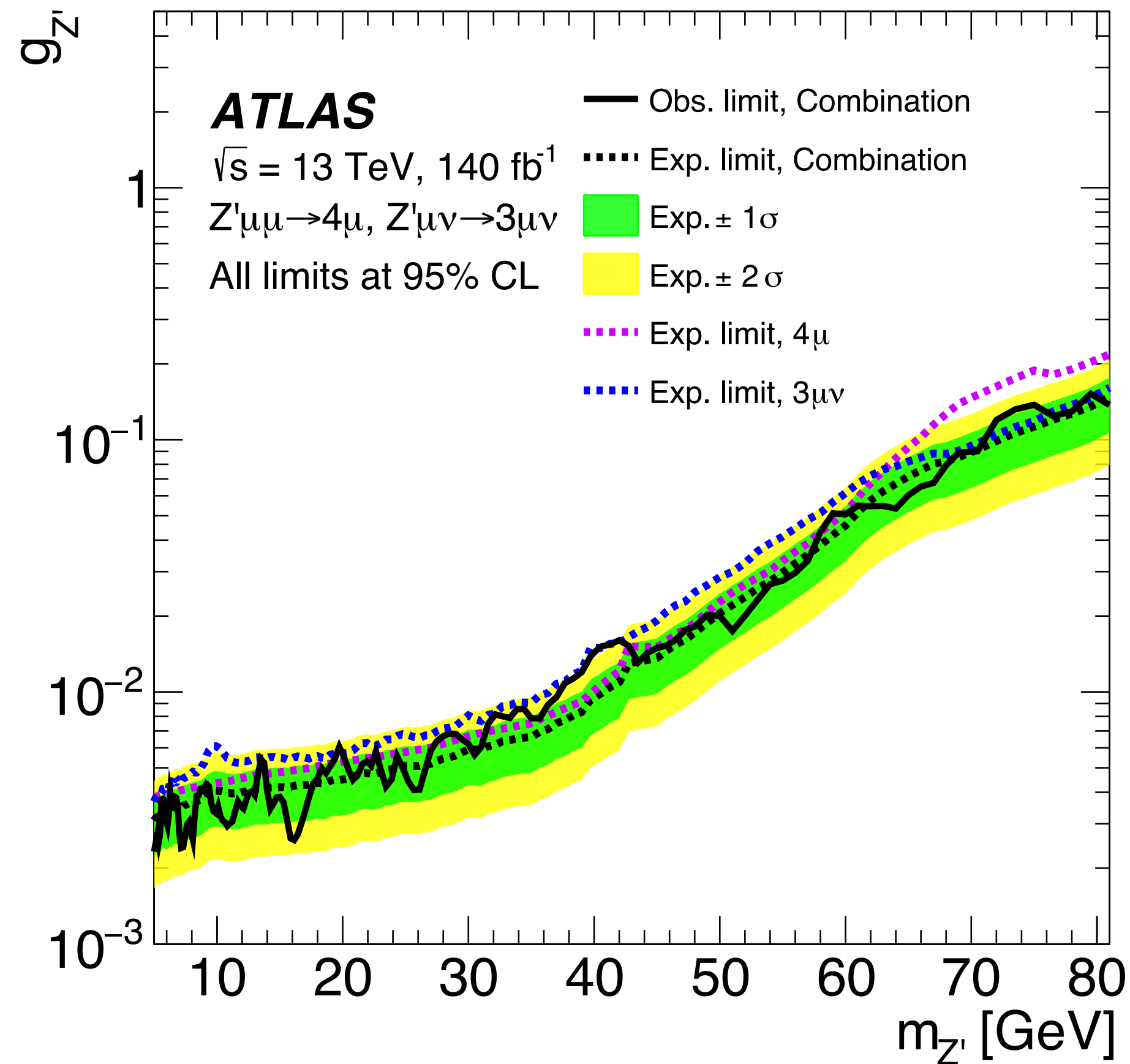
Combination

Statistical combination with previous search using neutral-current Drell-Yan process (4μ final state)

Common parameter of interest: coupling parameter $g_{Z'}$



Significant improvement relative to the previous search, **up to 40%** in the high mass region ($m_{Z'} > 65$ GeV)



Target high mass resonances $W' \rightarrow \tau\nu \rightarrow \tau_{had-vis}\nu\nu$

- $B(\tau \rightarrow \tau_{had}) = 65\%$

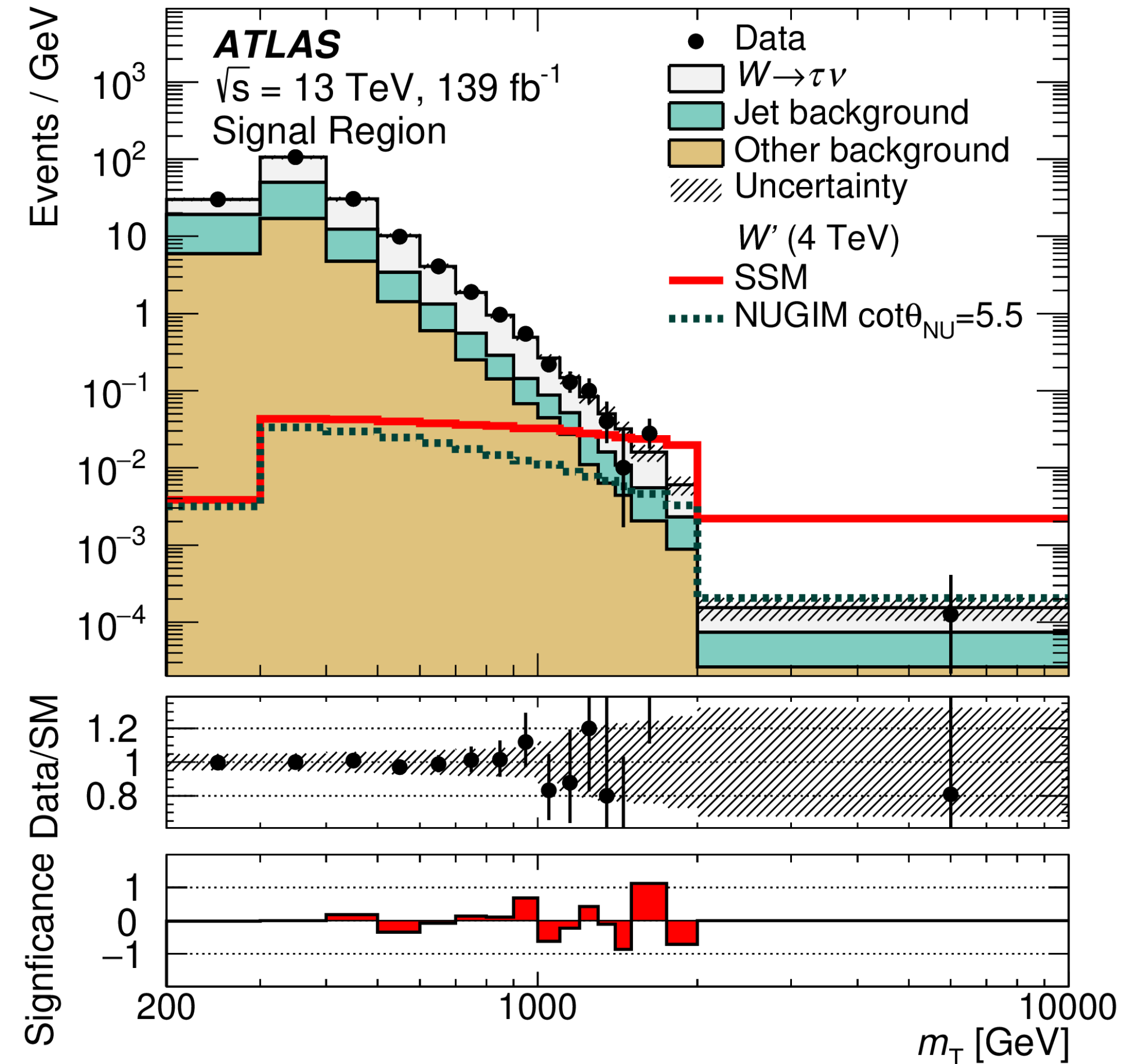
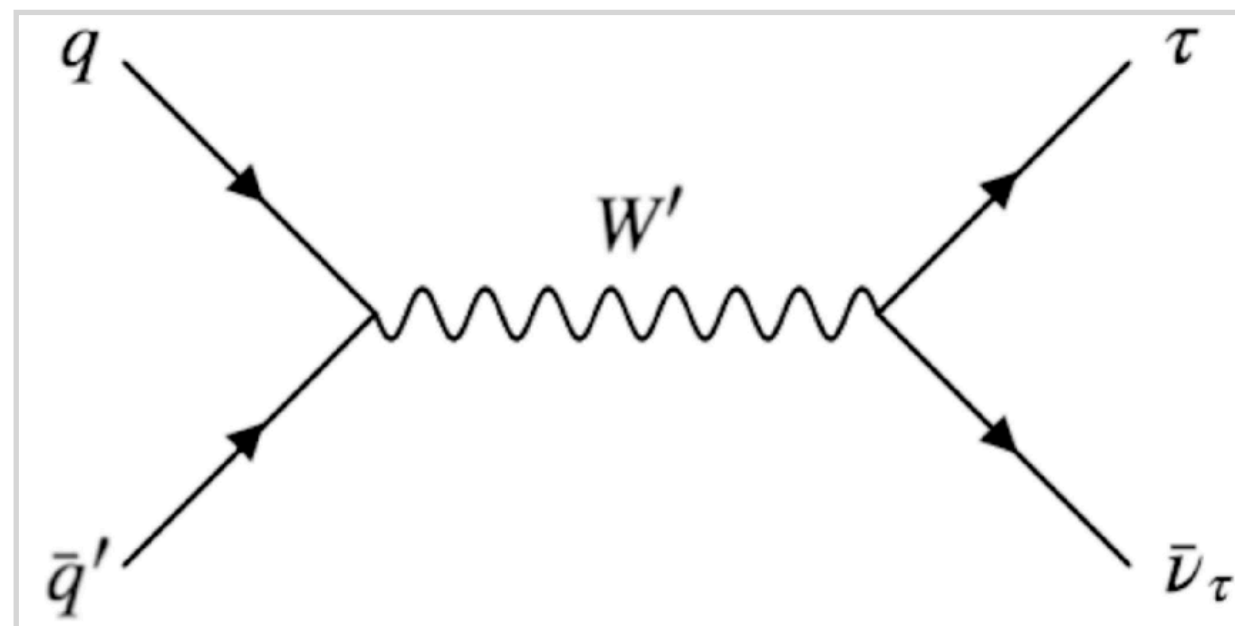
Profit from improved τ -ID w.r.t Run1, complement light lepton W' searches for flavor-universal model (**SSM**)

Also motivated by models which favor $\tau\nu$:

- Non-Universal Gauge Interaction Models (**NUGIM**)
- Non-universality of couplings to SM fermions parameterized as θ_{NU}

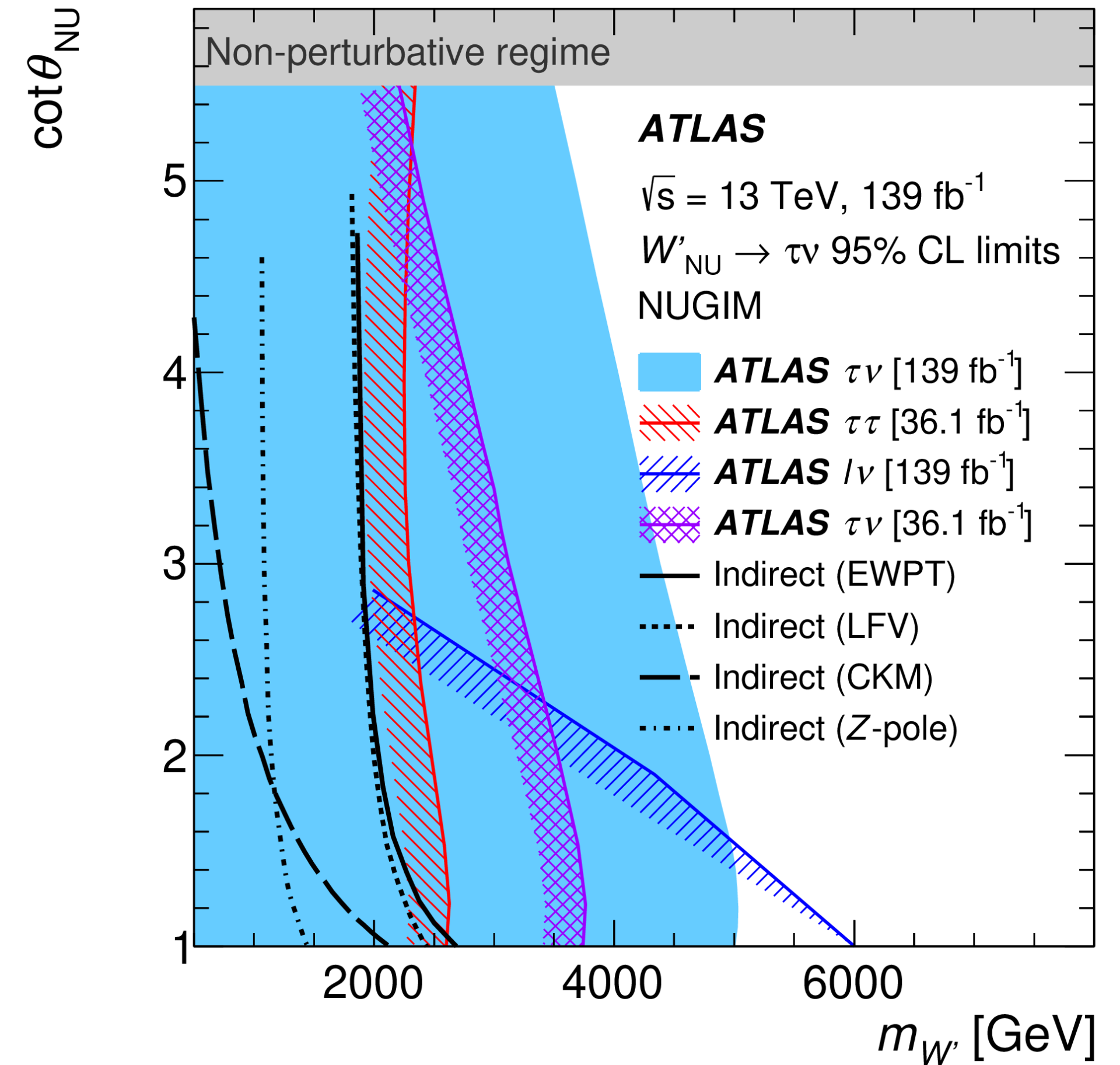
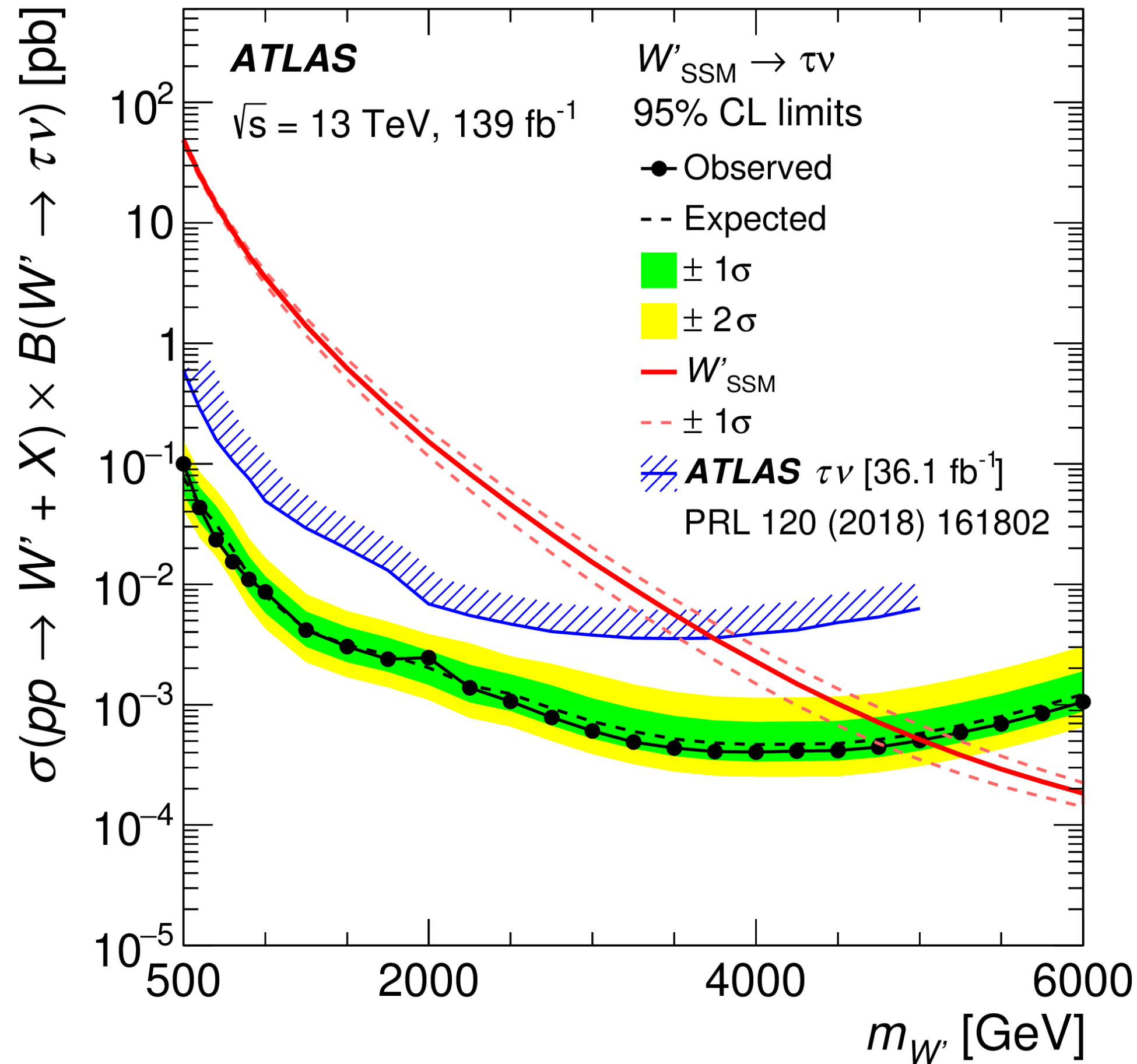
Final discriminant variable:

- transverse mass $m_T = \sqrt{2E_T^{miss} p_T (1 - \cos\Delta\phi)}$



SSM \rightarrow Excluded W' mass up to 5 TeV

NUGIM \rightarrow Excluded W' mass vary from 3.5 to 5.0 TeV



Observed exclusion limits @ CMS:

SSM: $m_{W'} < 4.8 \text{ TeV}$

NUGIM: $2.2 < m_{W'} < 4.8 \text{ TeV}$

[CMS arXiv:2212.12604](https://arxiv.org/abs/2212.12604),
 see more details in Backup

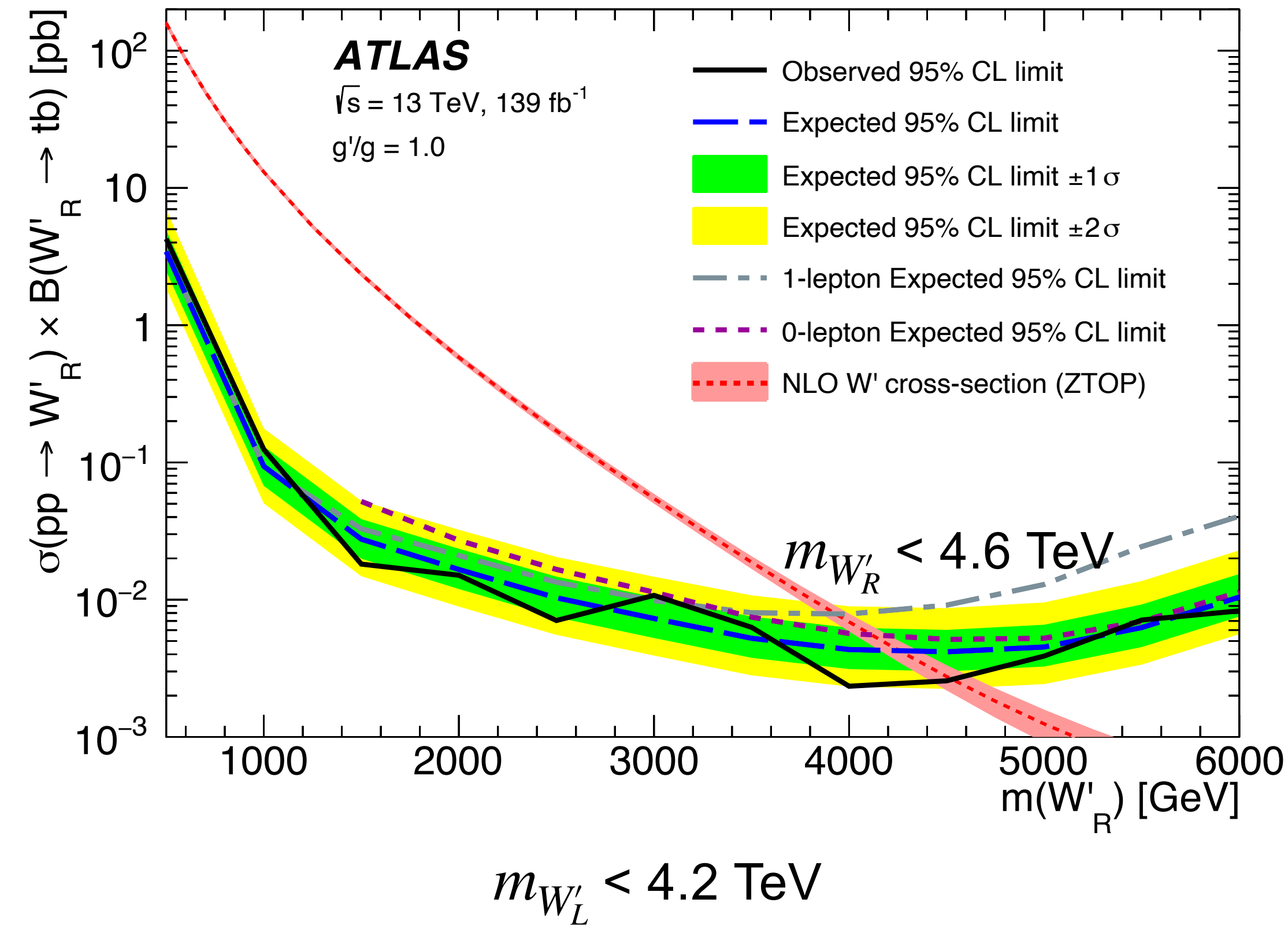
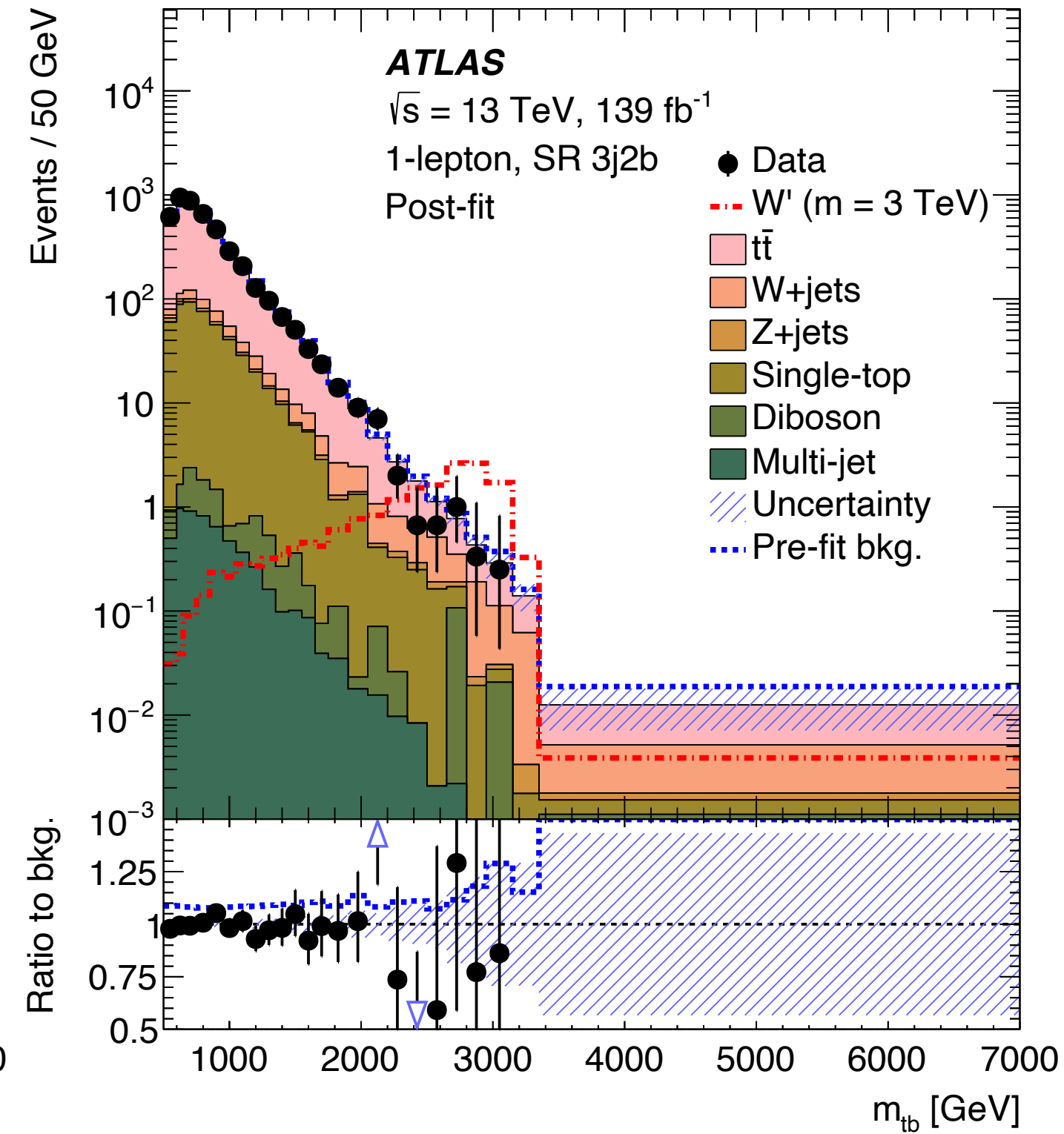
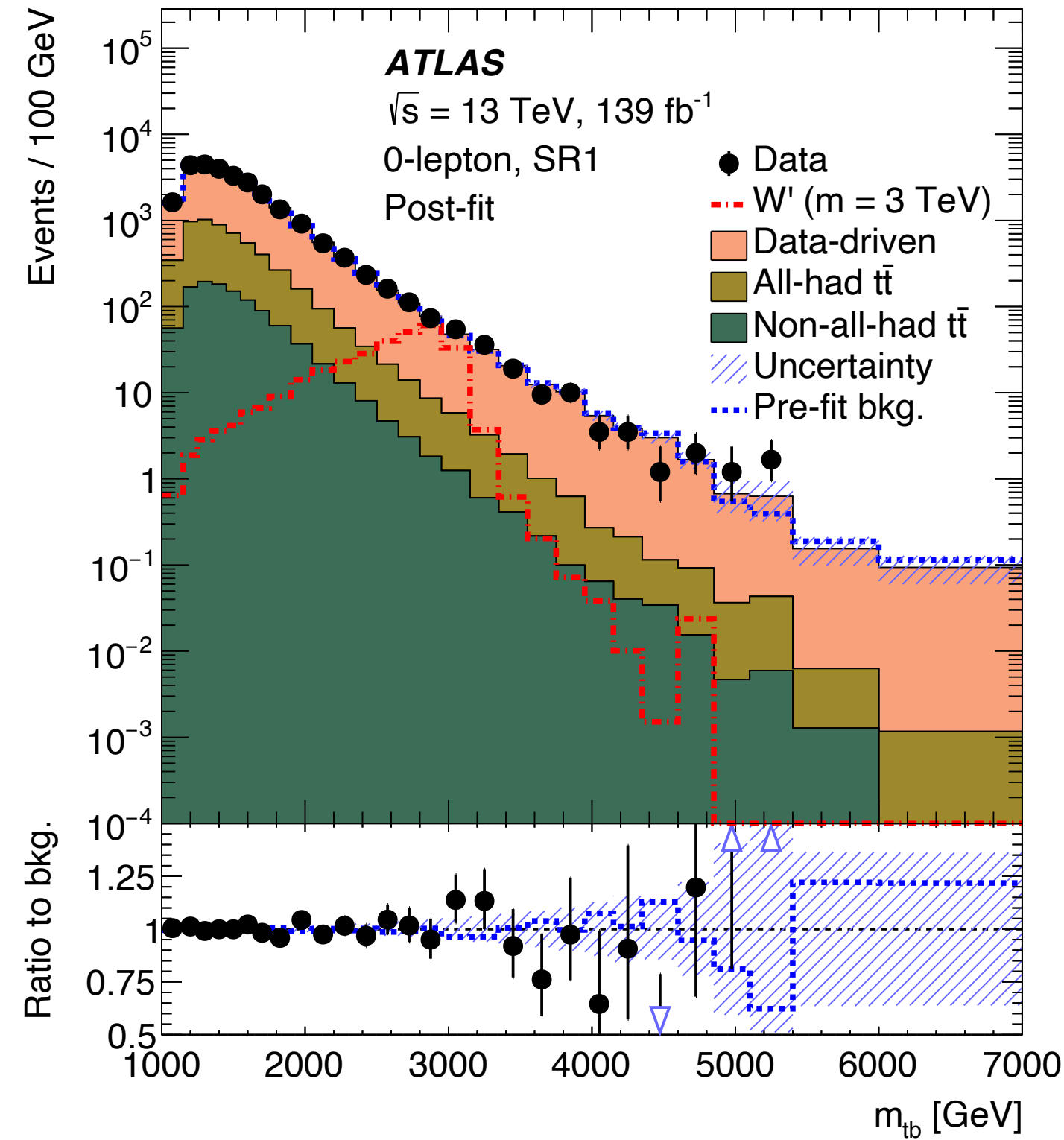
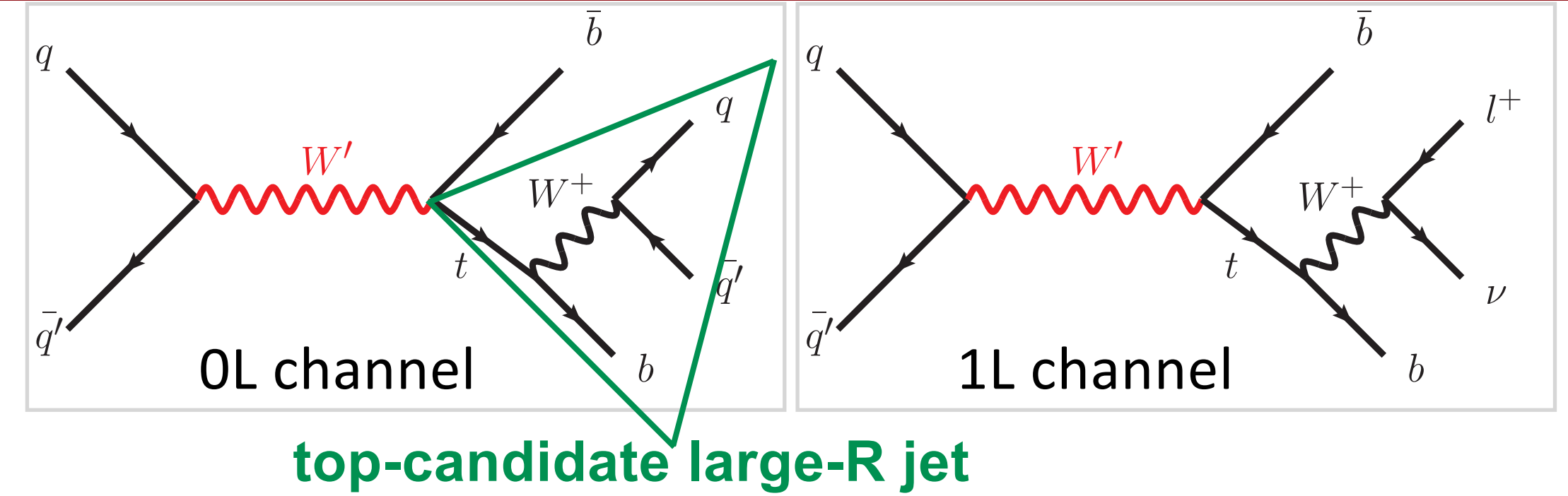
$W' \rightarrow tb$ in 0/1-lepton Final States @ ATLAS

arXiv:2308.08521

Fully reconstruct tb system:

- 0-lepton channel: large- R jet + small- R jet
- 1-lepton channel: 1 lepton + neutrino (MET) + 2 small- R jet

Final discriminant variable: m_{tb}



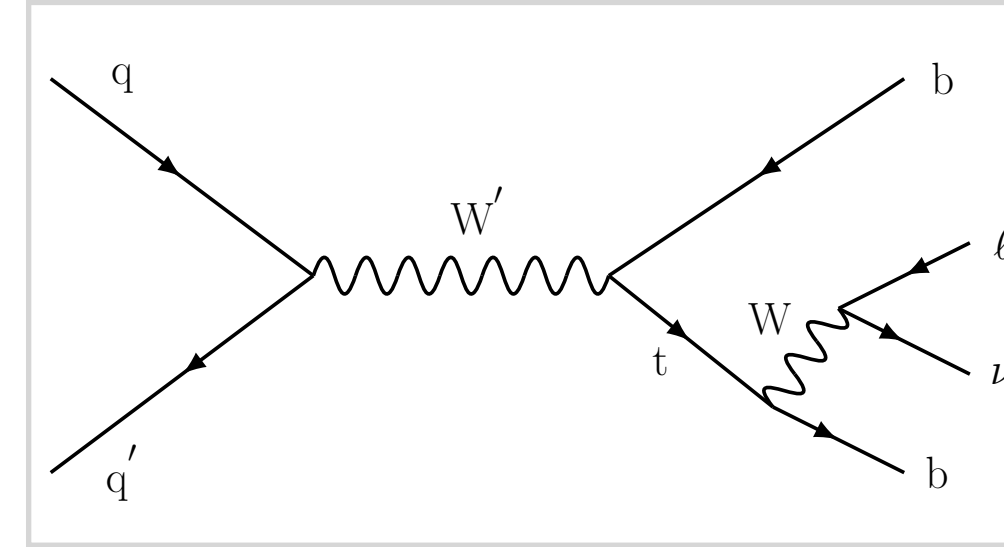
$W' \rightarrow tb$ in Leptonic Final States @ CMS

One muon or electron, at least two AK4 jets, and one neutrino

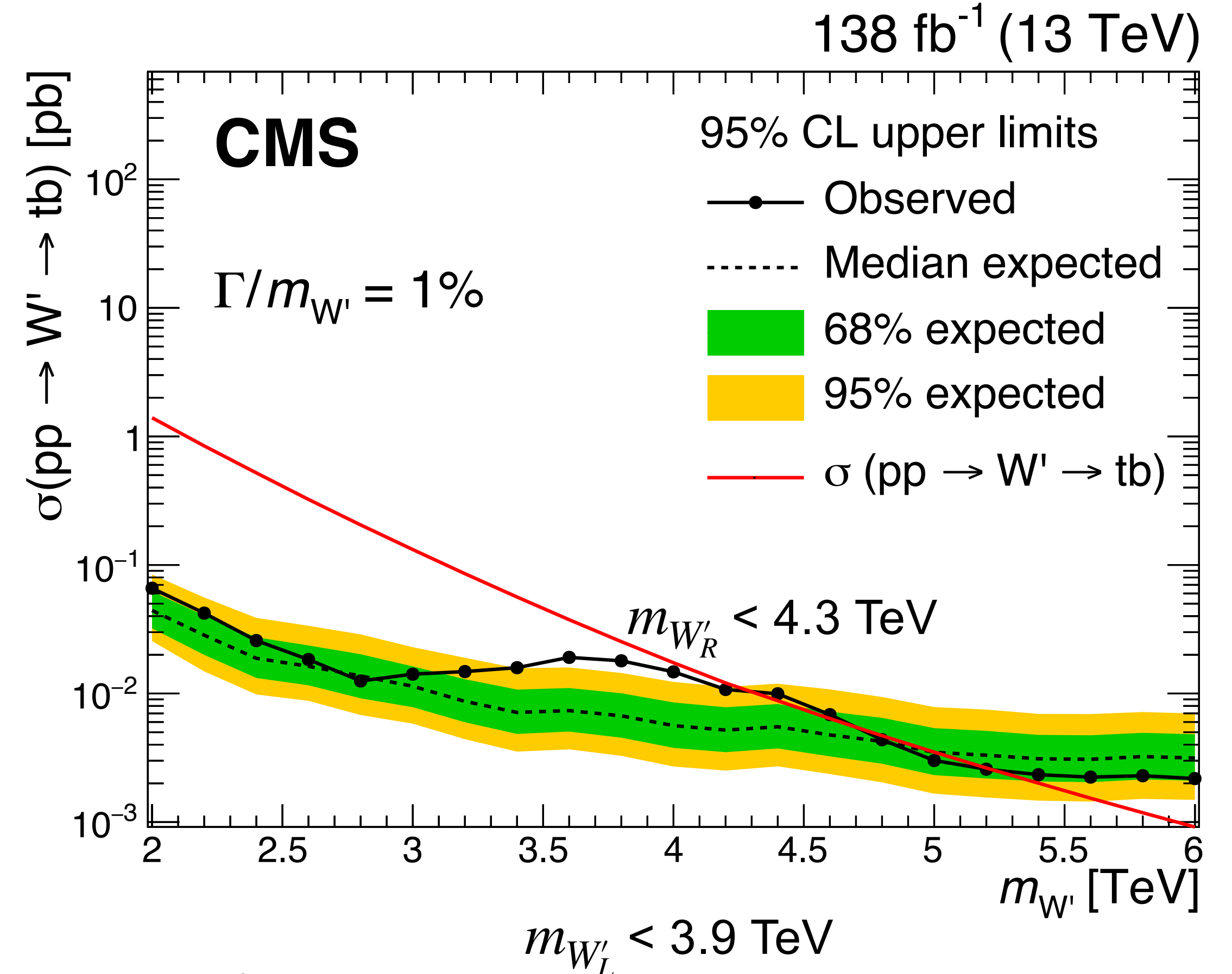
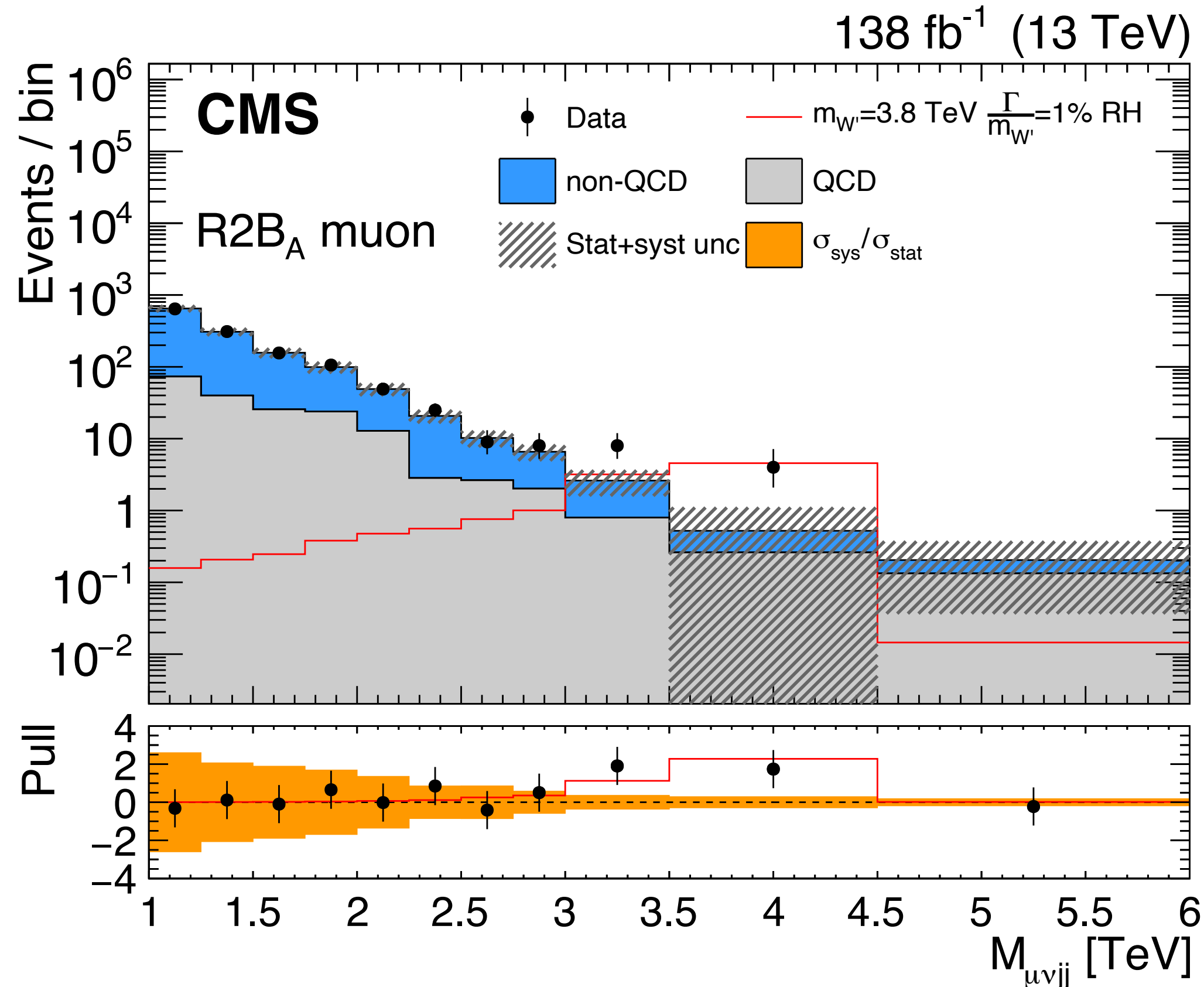
- AK4 jets: jets clustered with a radius parameter of $R = 0.4$

Categorization based on b-tagging condition of top jet and W' jet

Final discriminant variable: $M_{l\nu jj}$



The largest local (global) significance 2.6σ (2.0σ) for $m_{W'}$ at **3.8 TeV** with a relative decay width of 1%



Conclusions and Outlook

ATLAS and CMS performed extensive search programme for BSM during Run-2

- Only a handful of the latest results presented here
- See the list of all public results on the [AtlasPublic twiki](#) and [CMS Publications](#)

No clear new physics evidence in the full LHC Run-2 dataset in searches for:

- Leptoquarks: limits are mostly at masses of 1 - 1.5 TeV for scalar and 1.5 - 2 TeV for vector LQs
- Exotic vector bosons Z' and W'

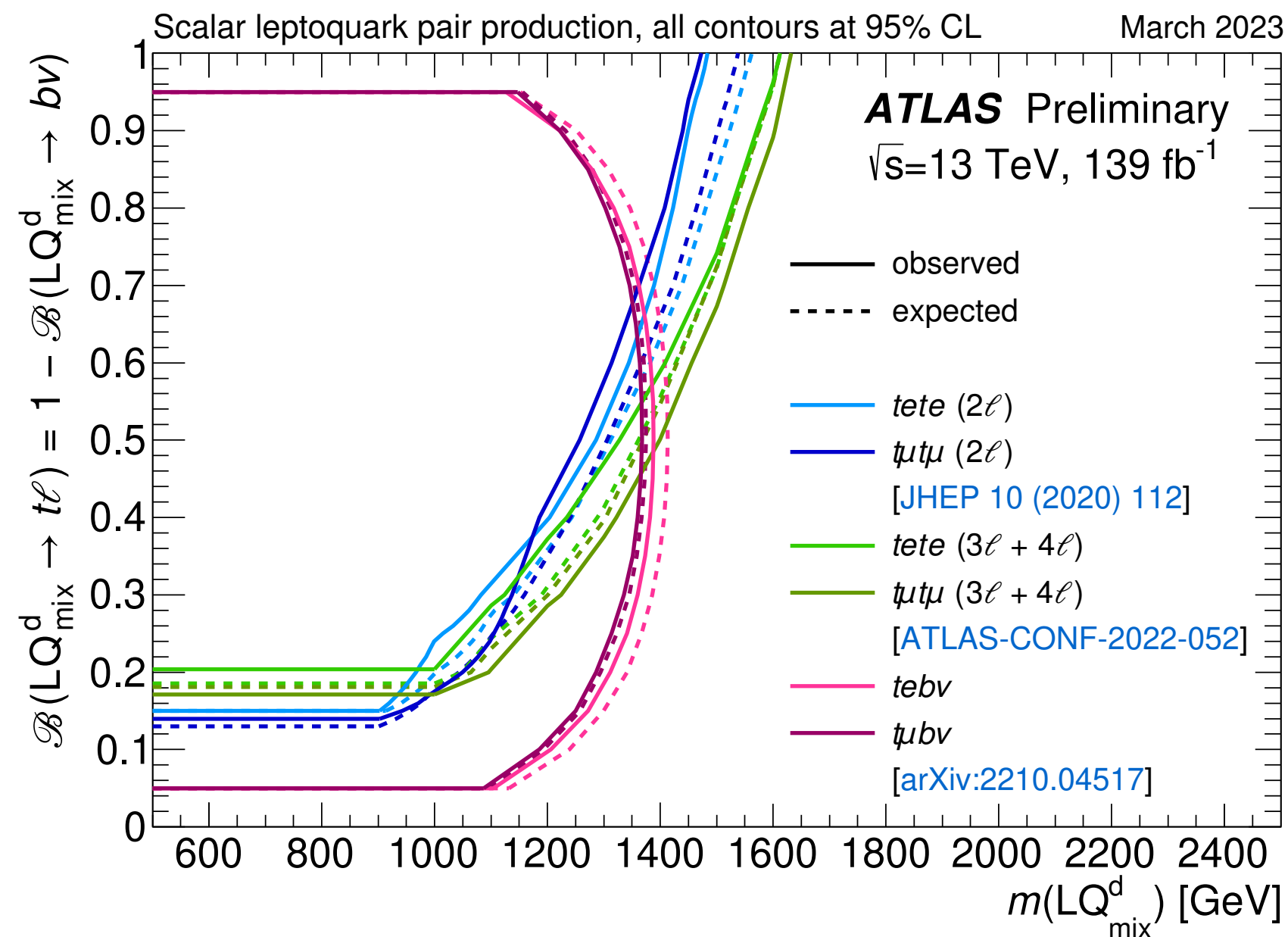
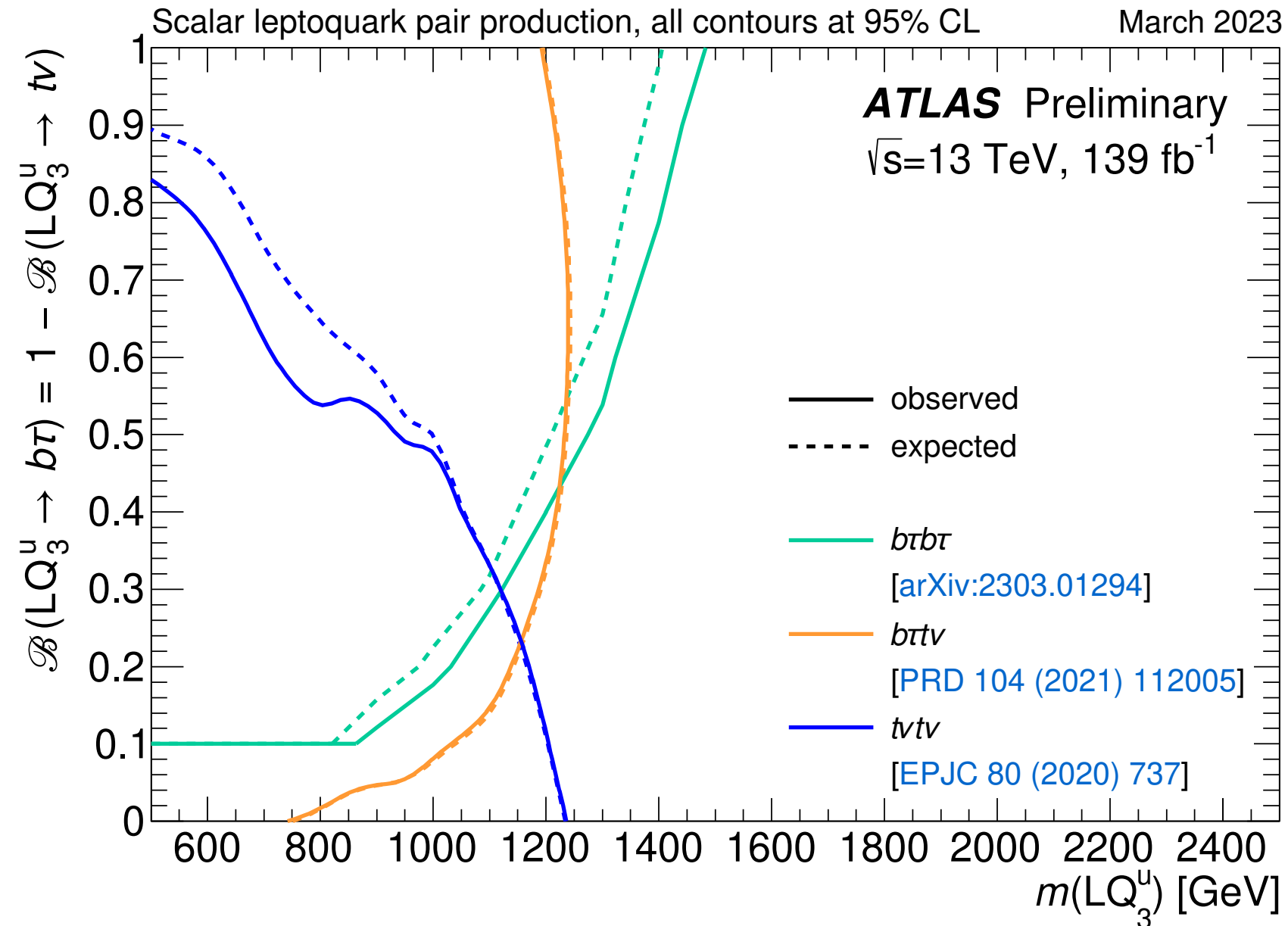
Run-3 of the LHC is ongoing, with 13.6 TeV collision energy and the inclusion of [multiple upgrades](#)

- ◆ Looking forward to further sensitivity improvements with this dataset

Thanks for your attention!

Backup

Leptoquarks: State of the art

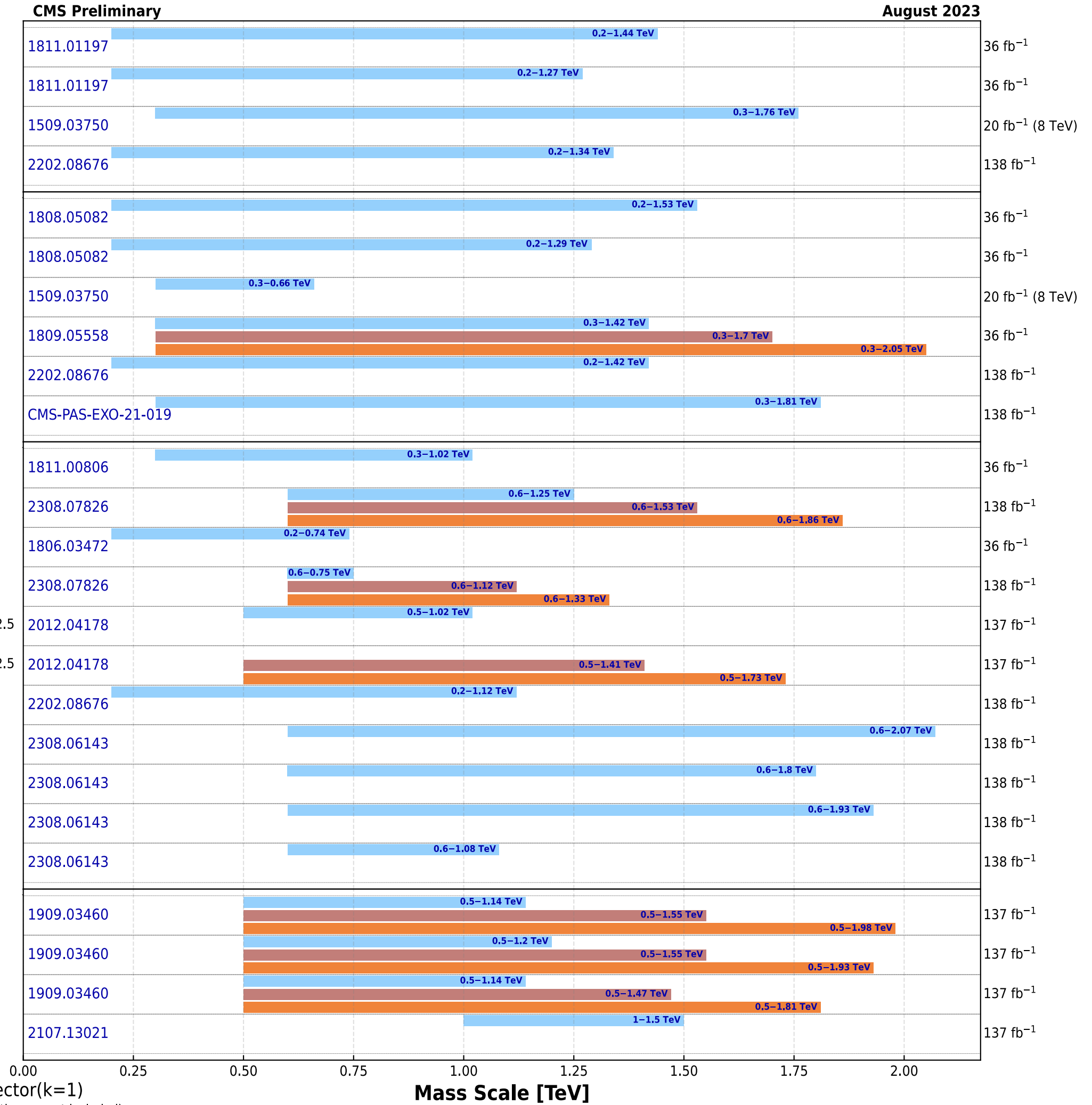


[ATL-PHYS-PUB-2023-006](#)

$LQ(eq)$	$LQ(ej)LQ(ej), BR(LQ \rightarrow ej) = 1, j = u, d$
	$LQ(ej)LQ(ej) + LQ(ej)LQ(\nu_{ej}), LQ, j = u, d$
	$eLQ(ej), BR(LQ \rightarrow ej) = 1, \lambda = 1, j = u, d$
	$LQ(et)LQ(et), BR(LQ \rightarrow et) = 1$
$LQ(\mu q)$	$LQ(\mu c)LQ(\mu c), BR(LQ \rightarrow \mu c) = 1$
	$LQ(\mu c)LQ(\mu c) + LQ(\mu c)LQ(\nu_{\mu s}), BR(LQ \rightarrow \mu c, \nu_{\mu s}) = 0.5, 0.5$
	$\mu LQ(\mu j), BR(LQ \rightarrow \mu j) = 1, j = u, d$
	$LQ(\mu t)LQ(\mu t), BR(LQ \rightarrow \mu t) = 1, \lambda = 1$
	$LQ(\mu t)LQ(\mu t), BR(LQ \rightarrow \mu t) = 1$
	$LQ(\mu b)LQ(\mu b), BR(LQ \rightarrow \mu b) = 1, \lambda = 1$
$LQ(\tau q)$	$LQ(\tau b)LQ(\tau b), BR(LQ \rightarrow \tau b) = 1$
	$LQ(\tau b)LQ(\tau b), BR(LQ \rightarrow \tau b) = 1$
	$\tau LQ(\tau b), BR(LQ \rightarrow \tau b) = 1, \lambda = 1$
	$\tau LQ(\tau b), BR(LQ \rightarrow \tau b) = 1, \lambda = 1$
	$LQ(\tau t)LQ(\nu_{\tau b}) + \nu_{\tau}LQ(\tau t), \text{Equal LQ coupling to } \tau t, \nu_{\tau b}, \lambda = 2.5$
	$LQ(\tau b)LQ(\nu_{\tau t}) + \tau LQ(\nu_{\tau t}), \text{Equal LQ coupling to } \tau b, \nu_{\tau t}, \lambda = 2.5$
	$LQ(\tau t)LQ(\tau t), BR(LQ \rightarrow \tau t) = 1$
	$LQ(\tau u), BR(LQ \rightarrow \tau u) = 1, \lambda = 1$
	$LQ(\tau d), BR(LQ \rightarrow \tau d) = 1, \lambda = 1$
	$LQ(\tau s), BR(LQ \rightarrow \tau s) = 1, \lambda = 3$
$LQ(\tau b), BR(LQ \rightarrow \tau b) = 1, \lambda = 3$	
$LQ(\nu q)$	$LQ(\nu_{e\mu})LQ(\nu_{e\mu}), BR(LQ \rightarrow \nu_{e\mu}) = 1, j = u, d, s, c$
	$LQ(\nu_{\tau b})LQ(\nu_{\tau b}), BR(LQ \rightarrow \nu_{\tau b}) = 1$
	$LQ(\nu_{\tau t})LQ(\nu_{\tau t}), BR(LQ \rightarrow \nu_{\tau t}) = 1$
	$LQ(\nu_e u)LQ(\nu_e u) + \nu_e LQ(\nu_e u), BR(LQ \rightarrow \nu_e u) = 1, \lambda = 1$

Legend: ■ Scalar ■ Vector(k=0) ■ Vector(k=1)
 Selection of observed exclusion limits at 95% C.L. (theory uncertainties are not included).

Overview of CMS leptoquark searches



[LQ summary](#)

$LQLQ \rightarrow tbl\nu$ ($l = e, \mu$): Pair Production @ ATLAS

arXiv:2210.04517

Target pair-produced up- and down-type LQ s with $\beta(LQ \rightarrow q_3 l) = 0.5$

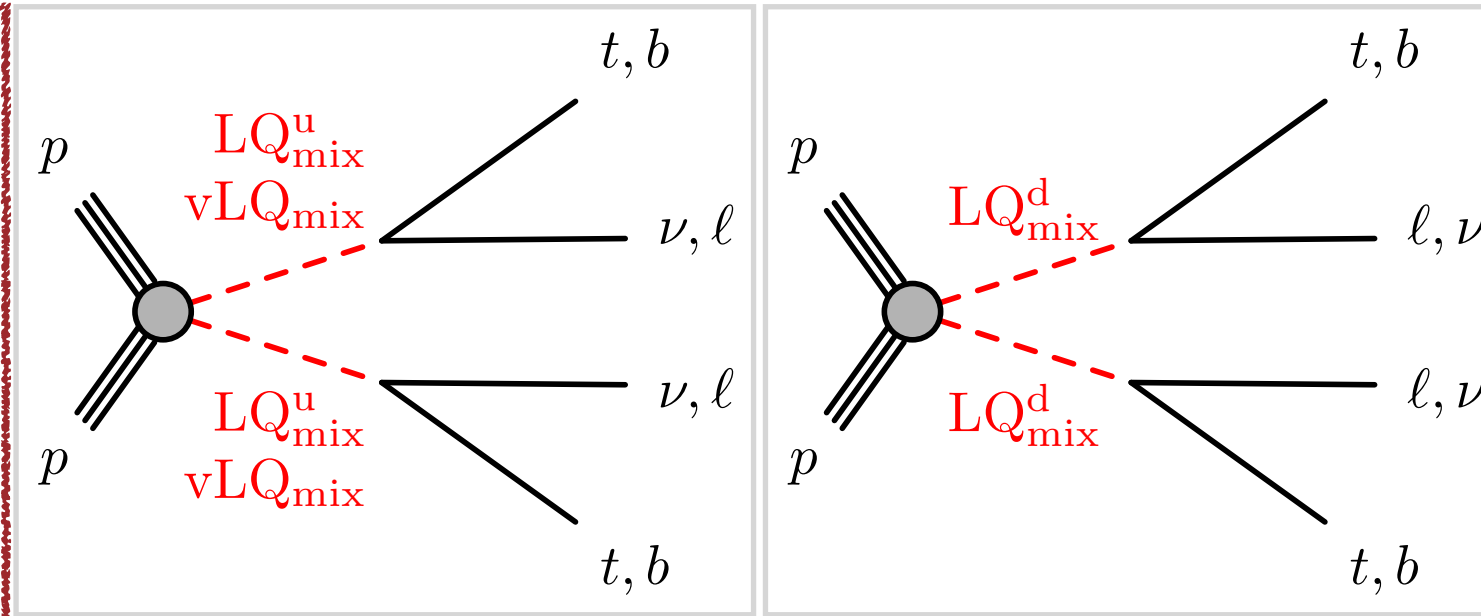
- LQ s couple simultaneously to a top quark and a light lepton (e or μ)
- Following the notation LQ_{mix}^d (scalar) and \tilde{U}_1 (vector)

Event selections: 1 light lepton, ≥ 2 jets, ≥ 1 b-jet, $p_T^{miss} \geq 250$ GeV

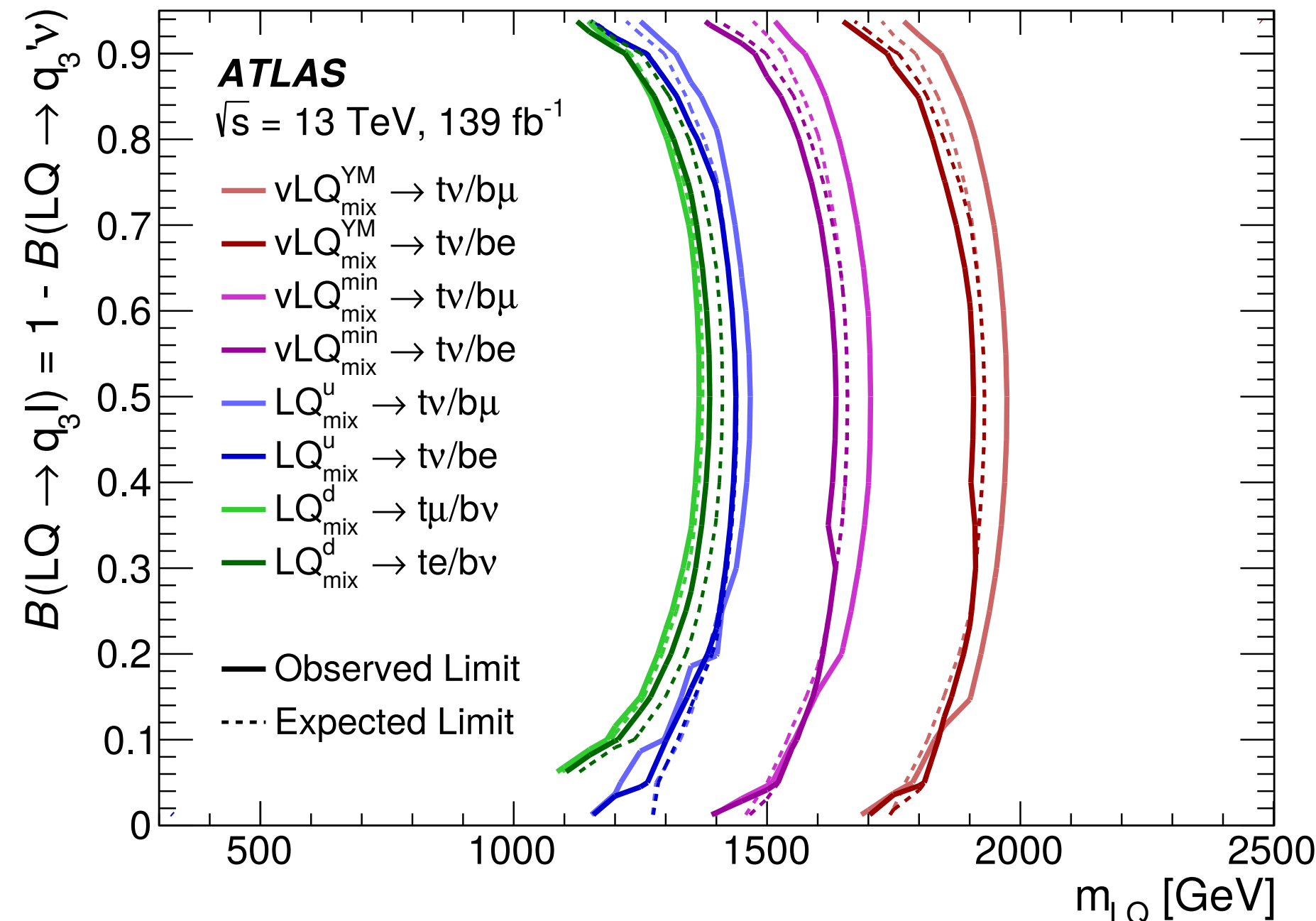
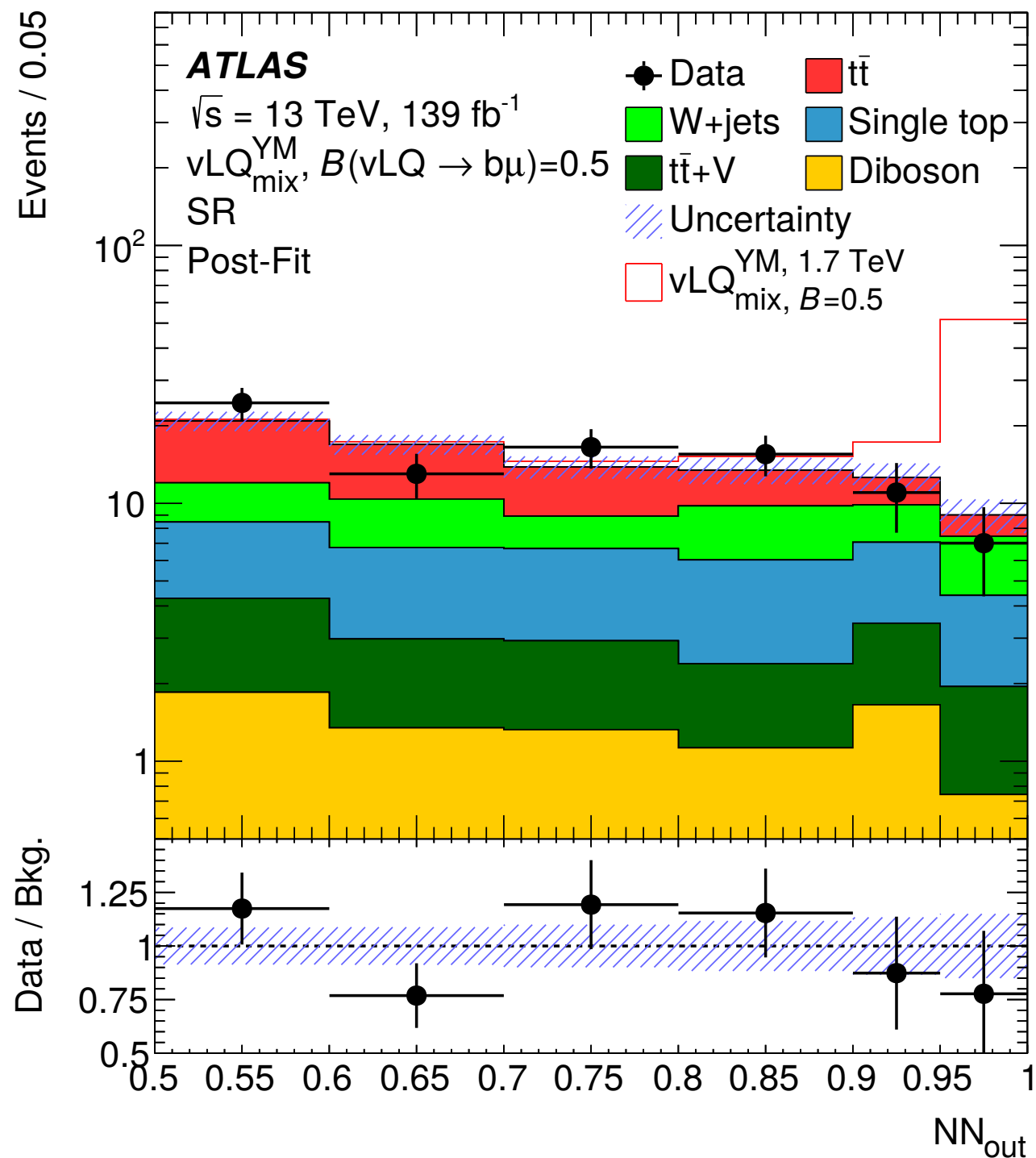
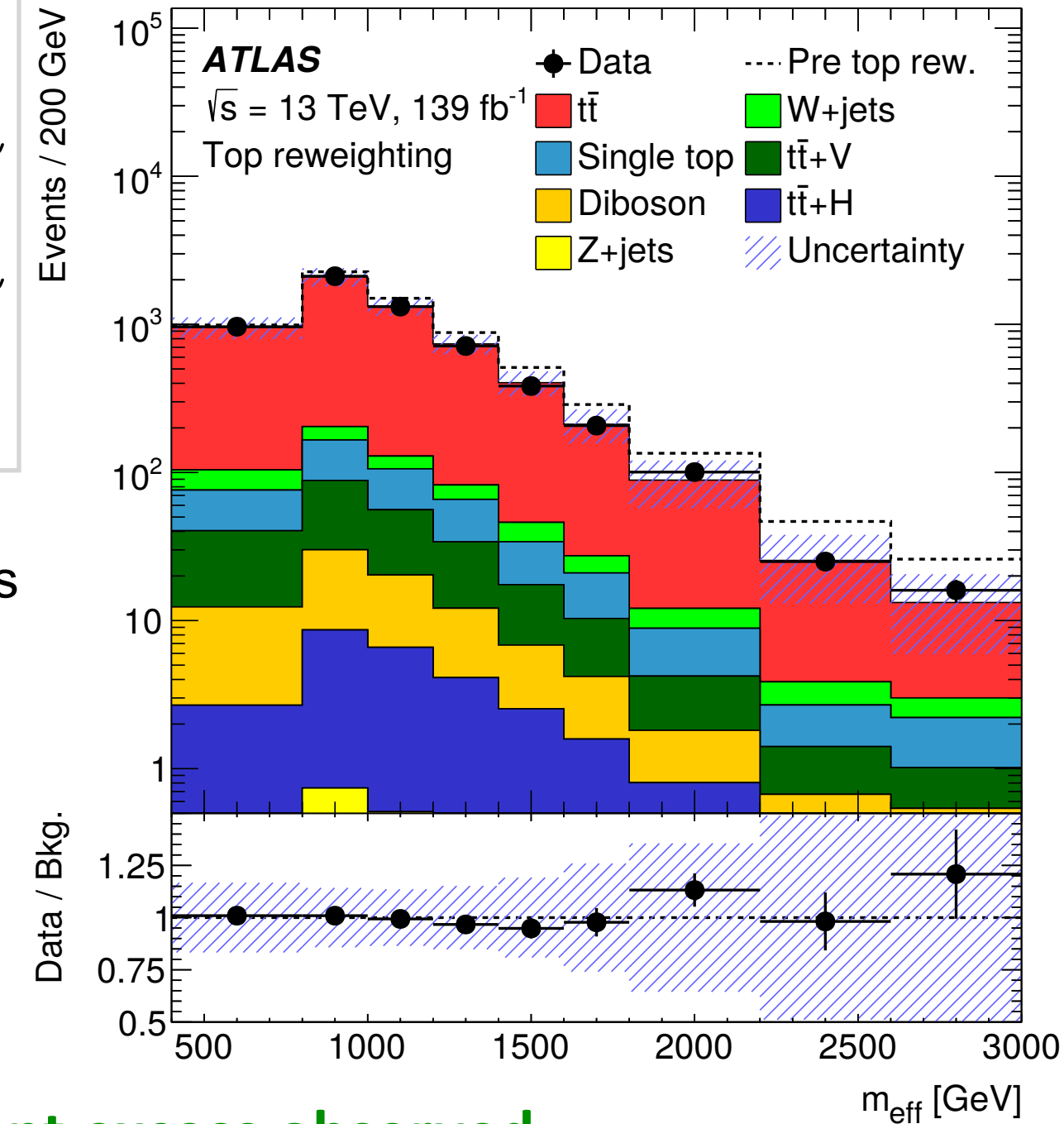
Main backgrounds $t\bar{t}$, single top, W+jets

Train neural networks (NNs) for several signals (scalar/vector, up/down, $\beta(LQ_{mix}^u \rightarrow bl)$) in inclusive "training" region

Final discriminating variable: NN score



Mis-modeling of high- p_T top quarks
 \rightarrow data-driven correction of $t\bar{t}$ and single top backgrounds



No significant excess observed.

Analysis Results

Observed (expected) 95% CL limits at $\beta(LQ \rightarrow q_3 l) = 0.5$:

	$\beta(LQ \rightarrow q_3 e) = 1.0$	$\beta(LQ \rightarrow q_3 \mu) = 1.0$
LQ_{mix}^u	1.44 (1.44) TeV	1.46 (1.44) TeV
LQ_{mix}^d	1.39 (1.41) TeV	1.37 (1.38) TeV
U_1^{min}	1.62 (1.65) TeV	1.71 (1.66) TeV
U_1^{YM}	1.90 (1.93) TeV	1.98 (1.93) TeV

LQ-t-l ($l = e, \mu, \tau$): Pair Production @ CMS

A broad BSM search targeting multi-lepton events

- Type-III seesaw heavy fermions
- Vector-like leptons
- Leptoquarks

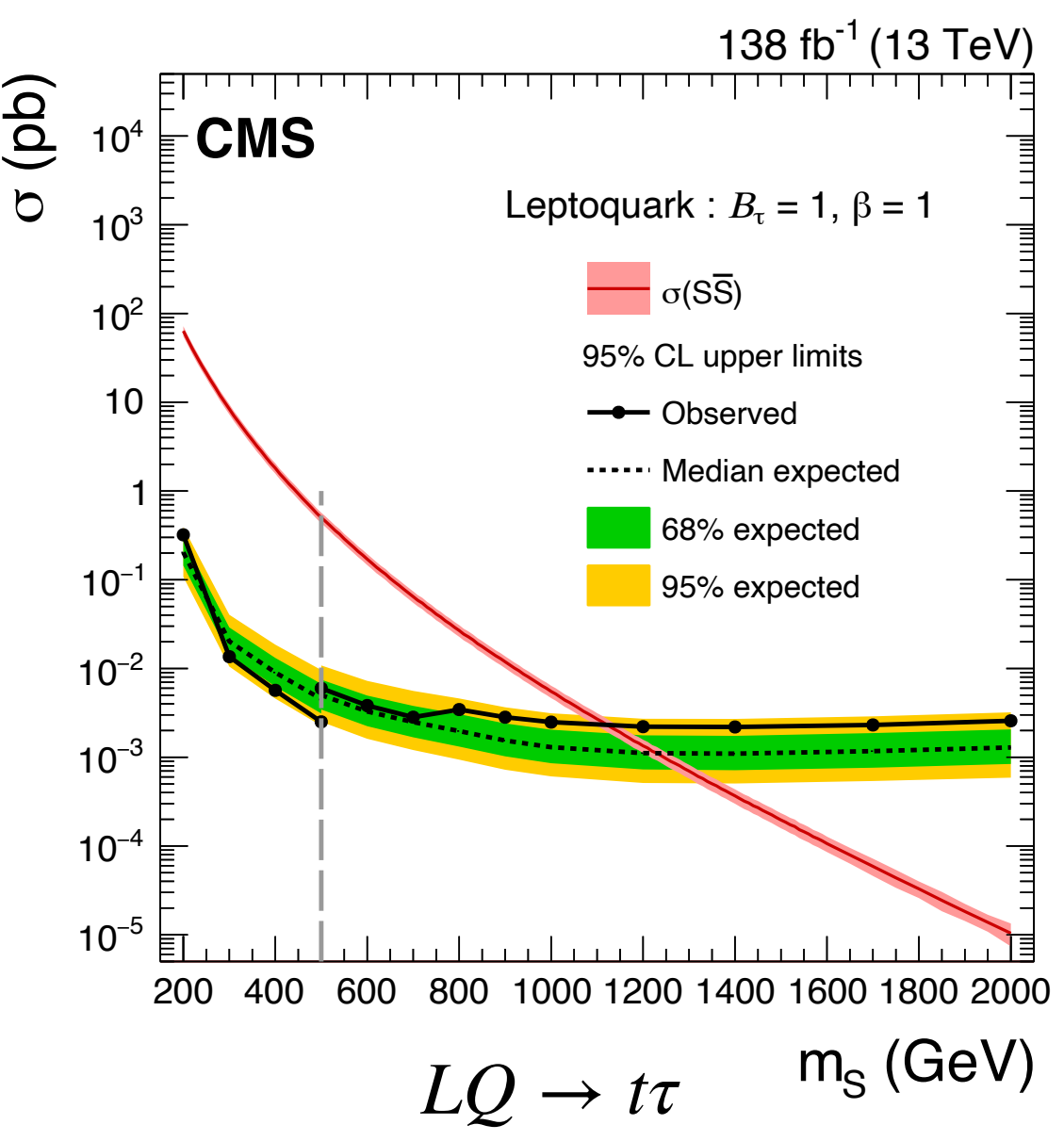
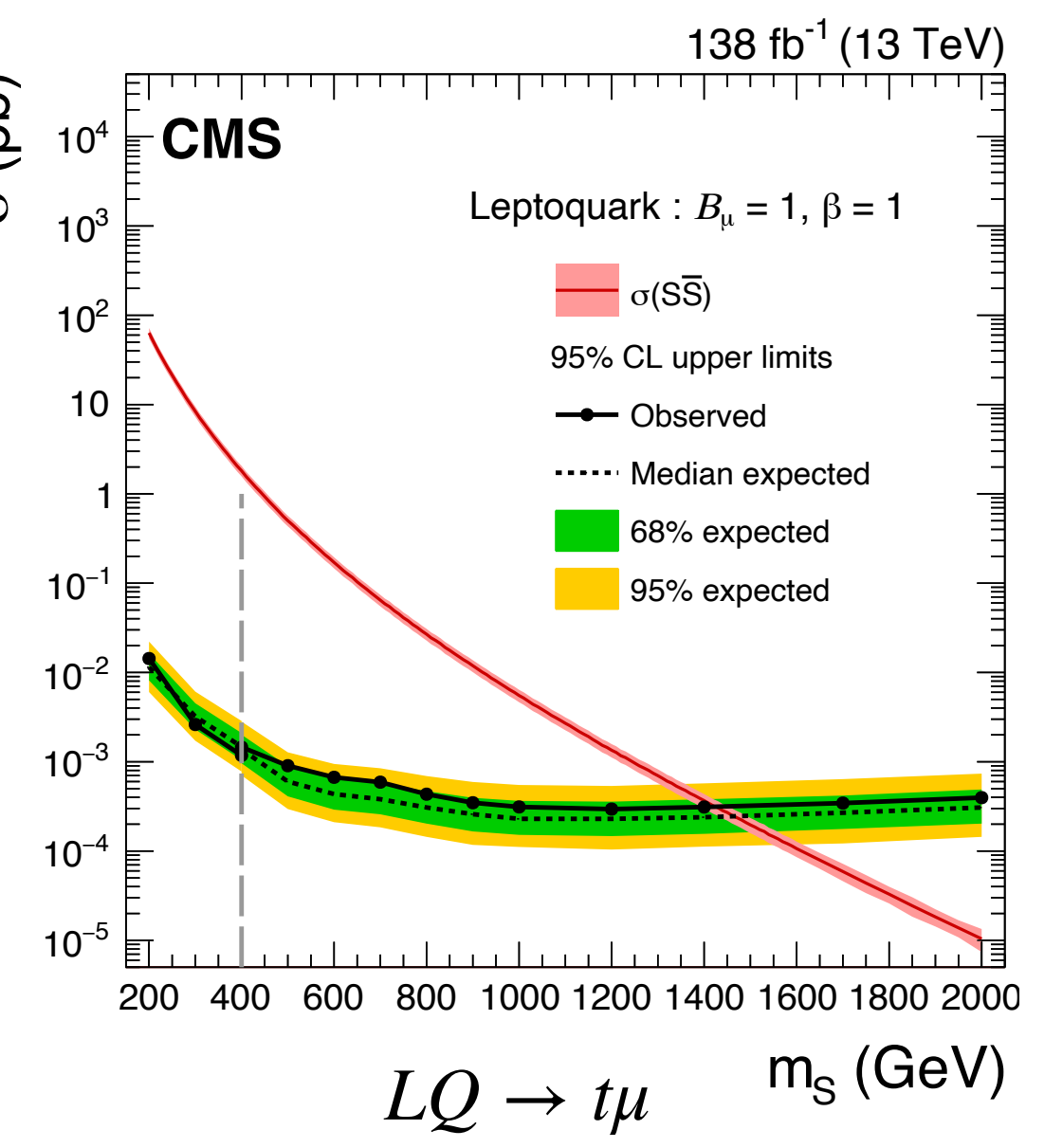
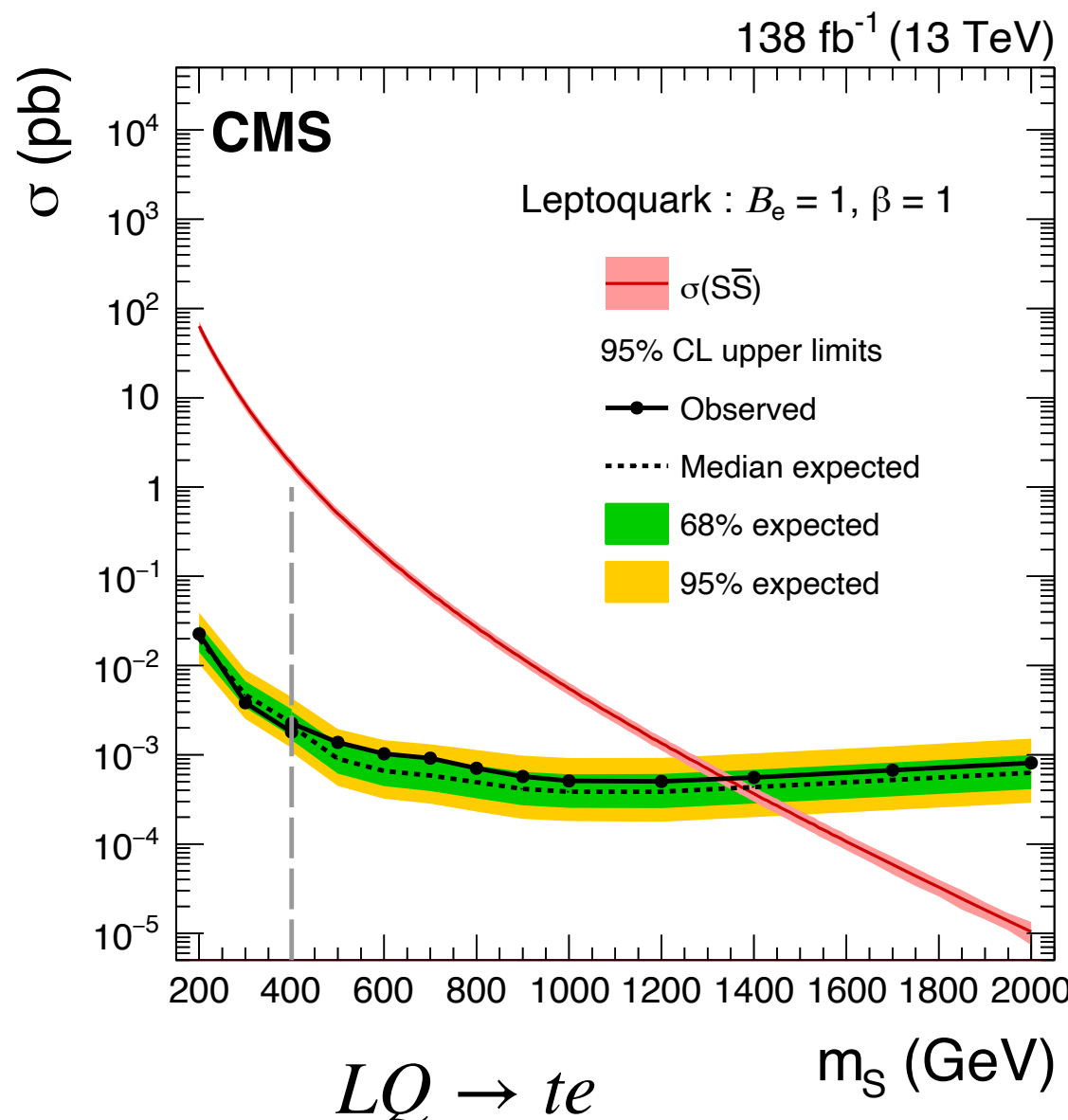
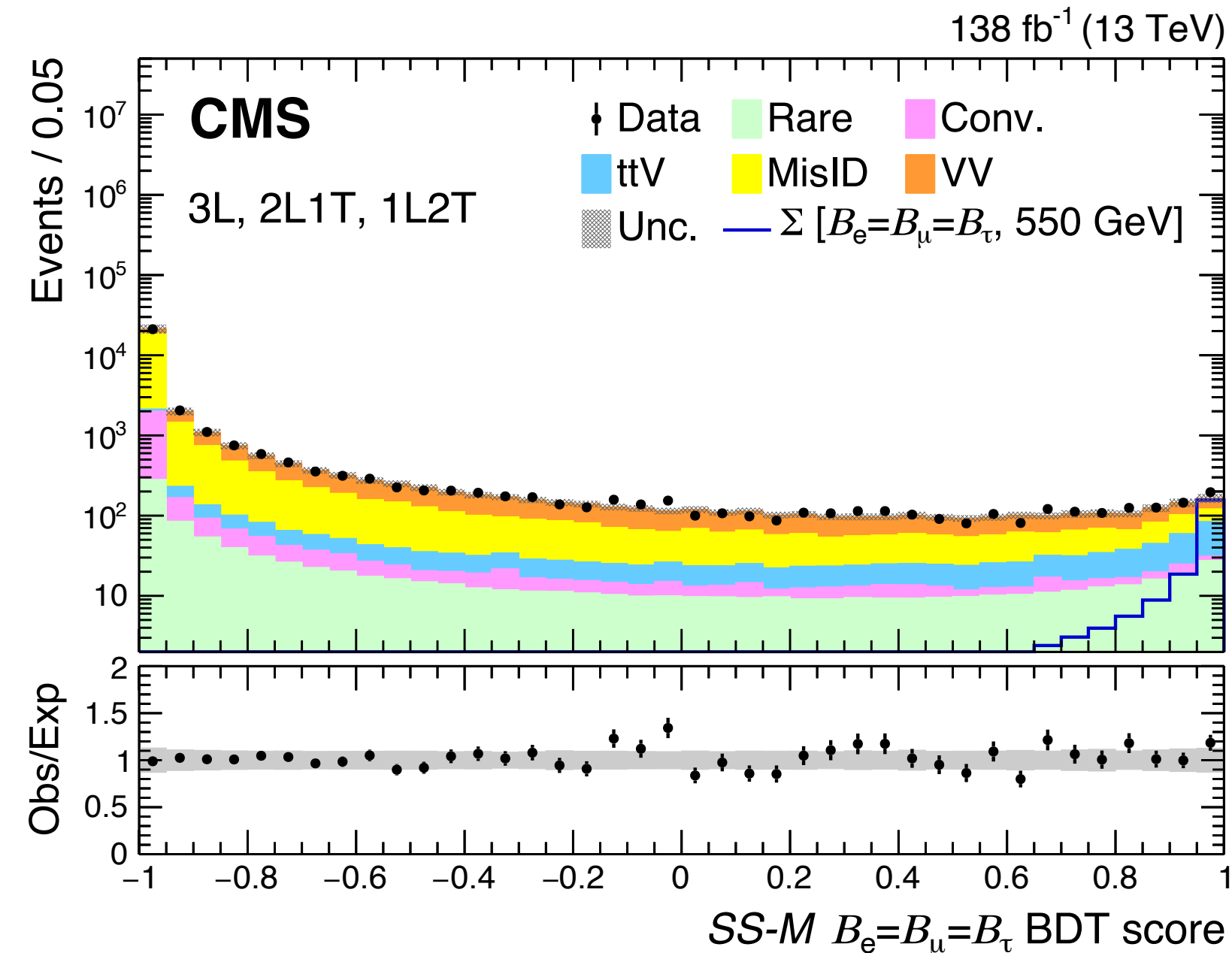
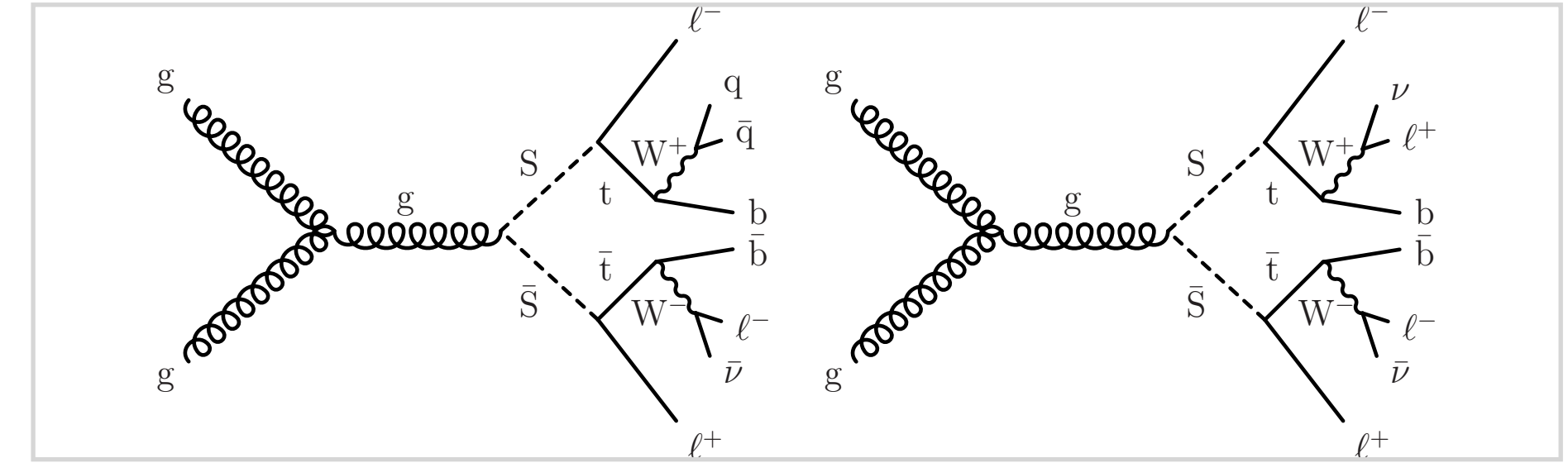
Event selections:

- 3 or 4 lepton signatures (e, μ, τ_{had}) with minimum p_T of 10-20 GeV
- Events are categorized based on lepton charge, mass, and flavor characteristics

Main background: $ttW, ttZ/\gamma^*$, diboson, non-prompt/fake leptons

Final discriminating variable:

- BDT (for model-dependent interpretations)



No significant excess observed.

Observed (expected) upper limit at 95% CL (for BR=1):

Scalar $LQ(\rightarrow te): m_{LQ} < 1.34$ (1.37) TeV

Scalar $LQ(\rightarrow t\mu): m_{LQ} < 1.42$ (1.46) TeV

Scalar $LQ(\rightarrow t\tau): m_{LQ} < 1.12$ (1.235) TeV

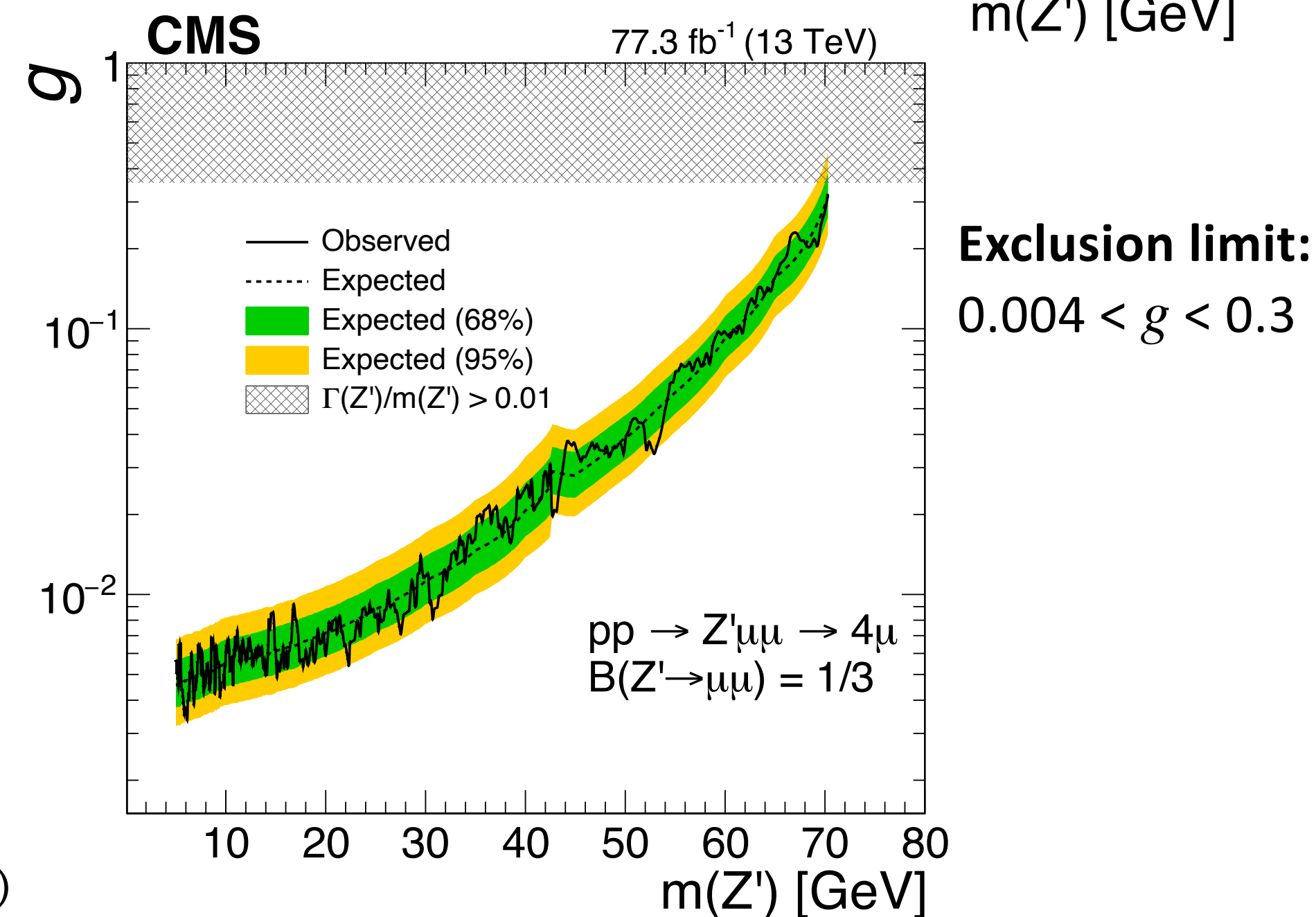
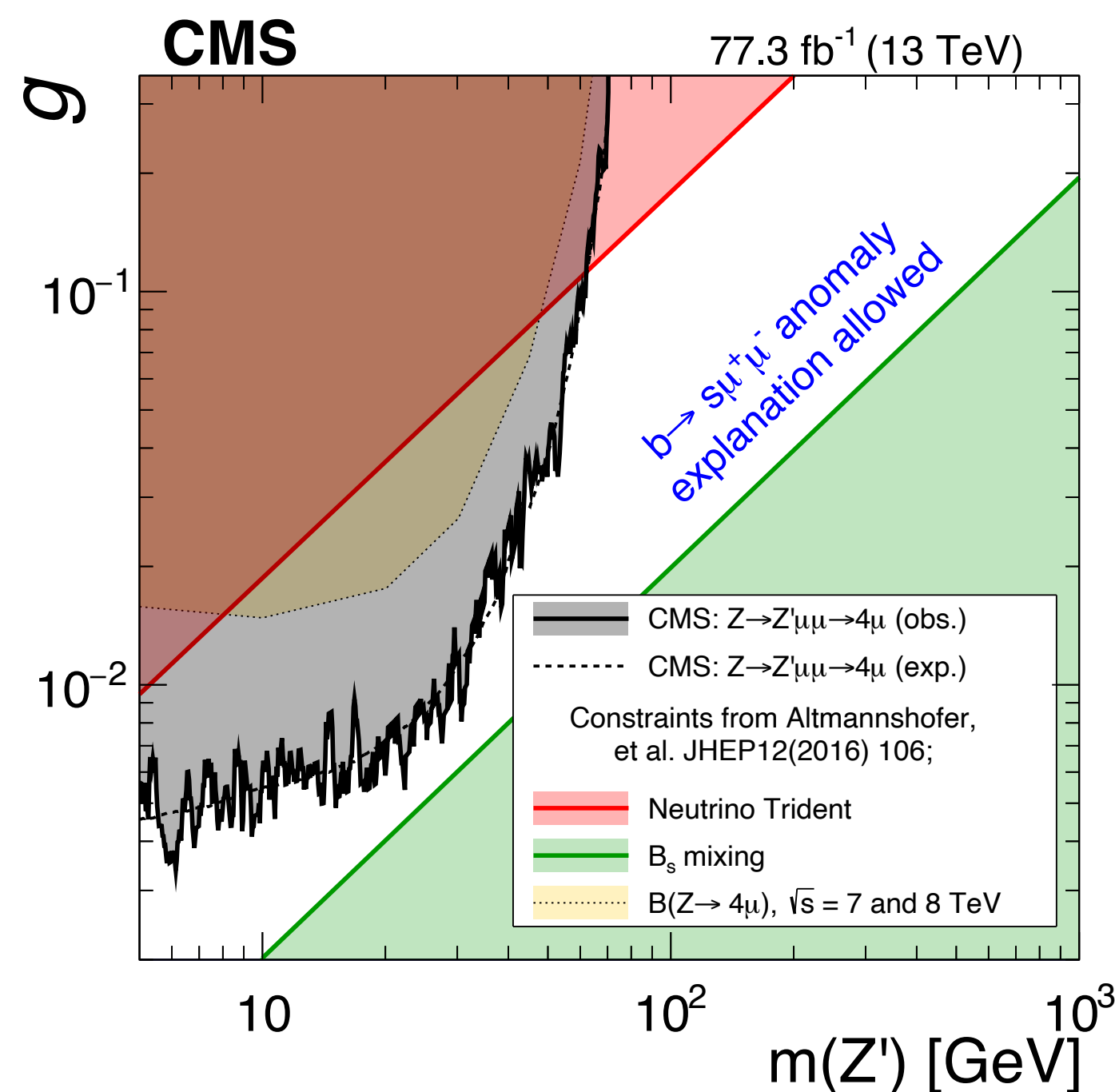
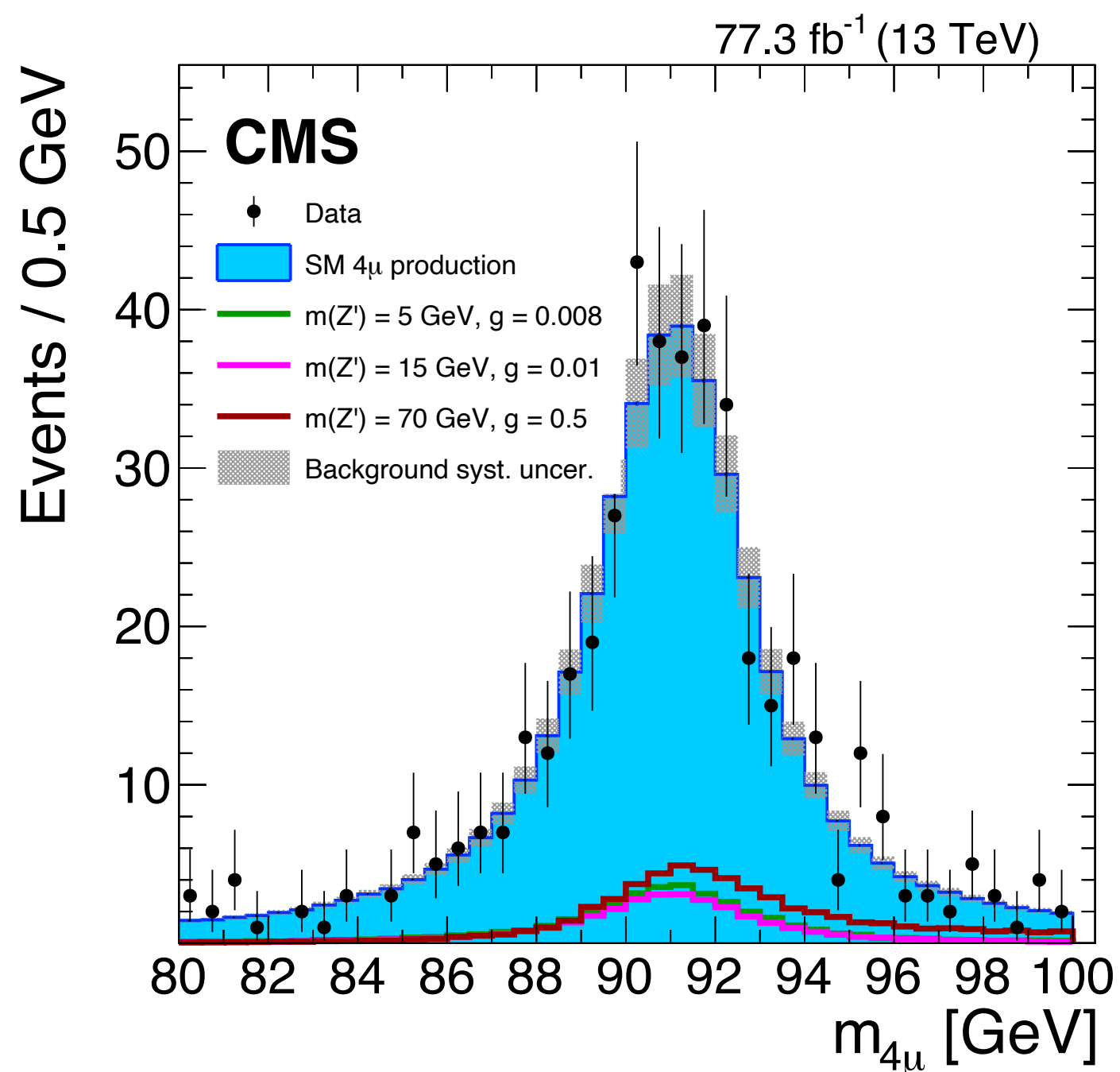
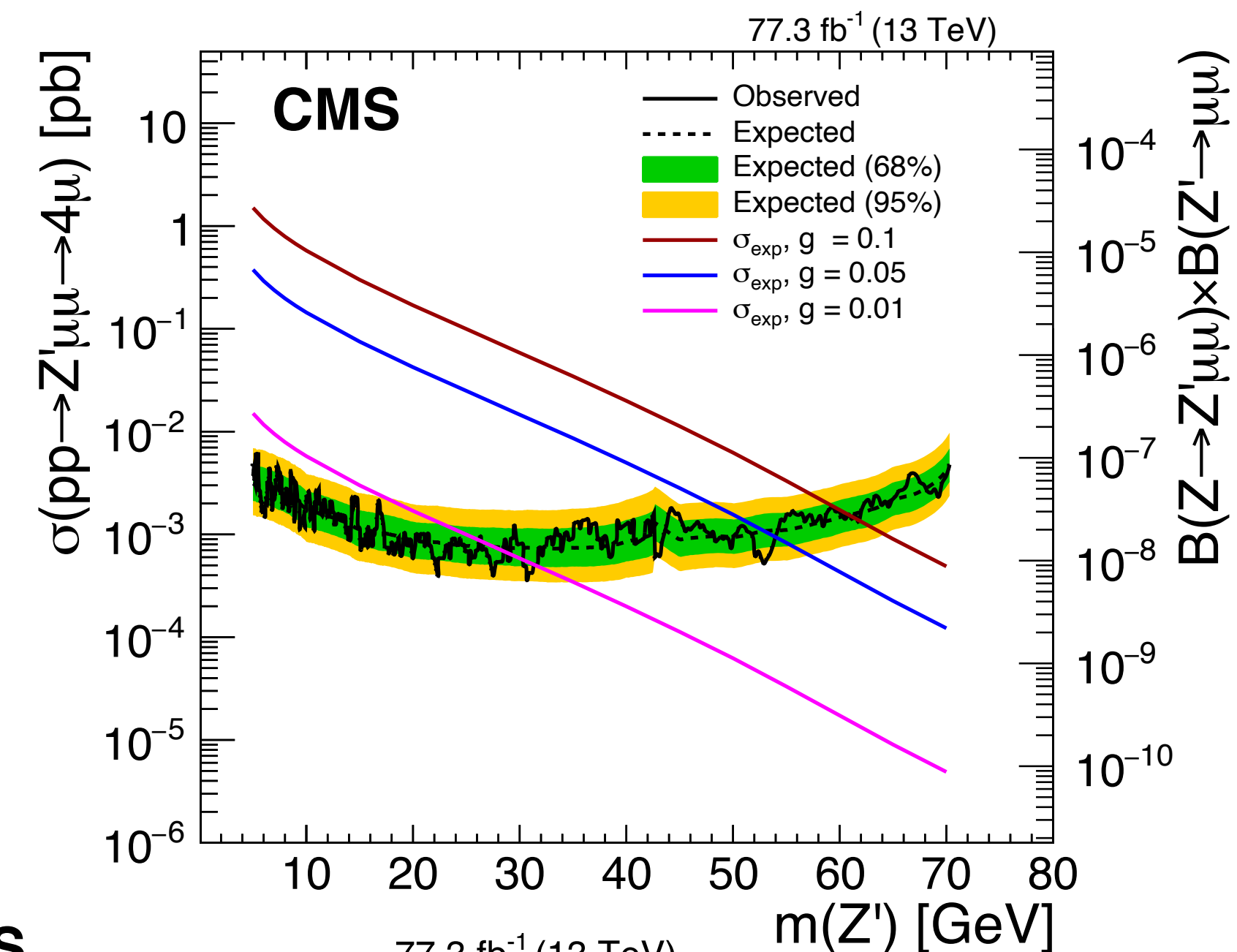
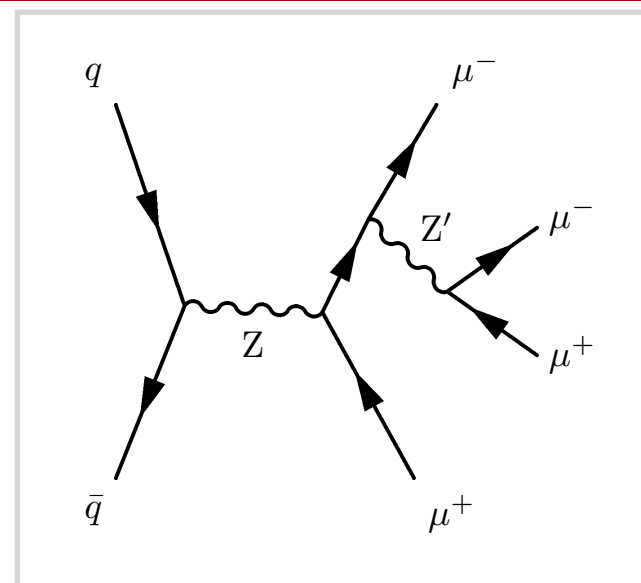
New Z' Vector Boson in 4μ Events @ CMS

arXiv:1808.03684

Search for a narrow light Z' with preferential coupling to second generation particles, suggested as possible explanation of $b \rightarrow s\mu\mu$ flavor anomalies

Based on $H(ZZ) \rightarrow 4\mu$ analysis, using **2016+2017** dataset (77.3 fb^{-1})

Closed significant fraction of the allowed parameter space in the $L_\mu - L_\tau$ model



τ -lepton + Missing Transverse Momentum @ CMS

Search for events with a hadronically decaying τ lepton (τ_{had}) and high missing transverse momentum (p_T^{miss})

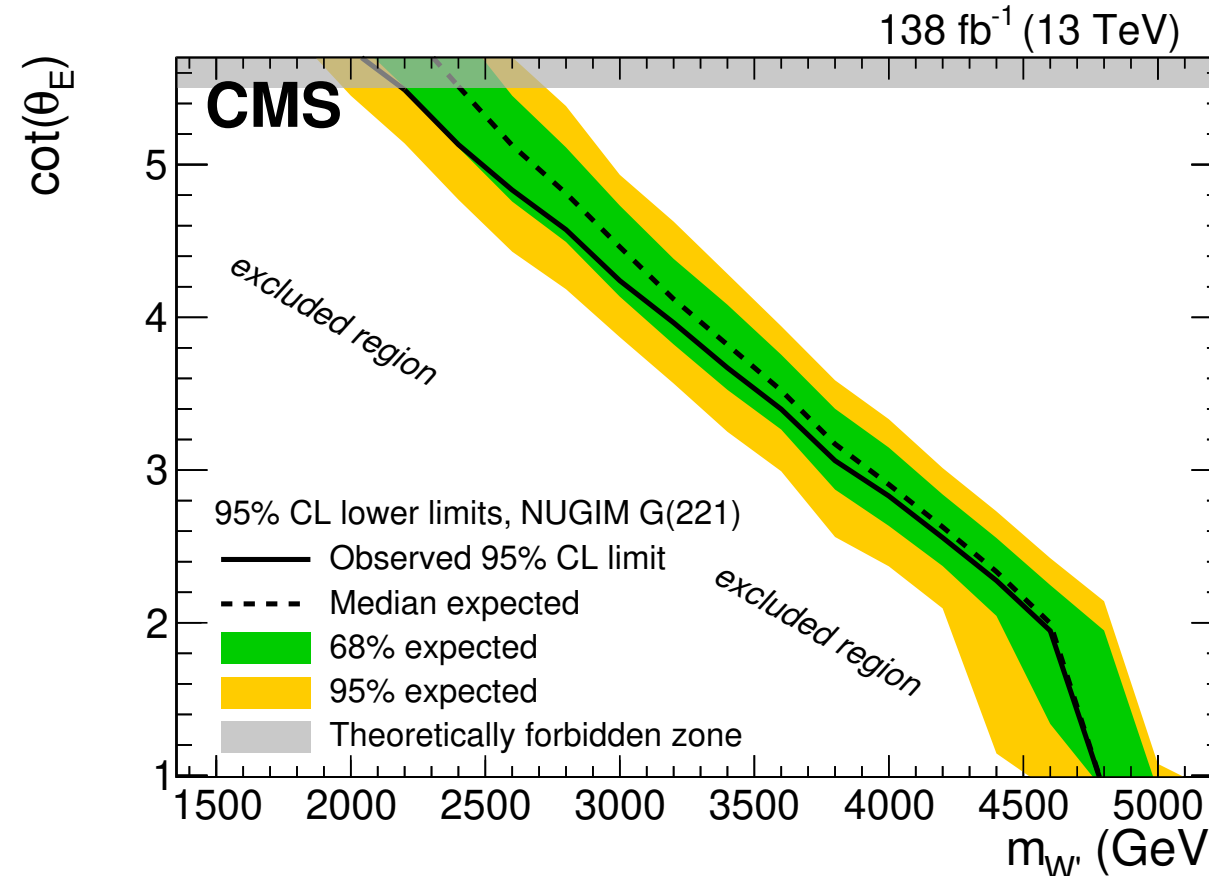
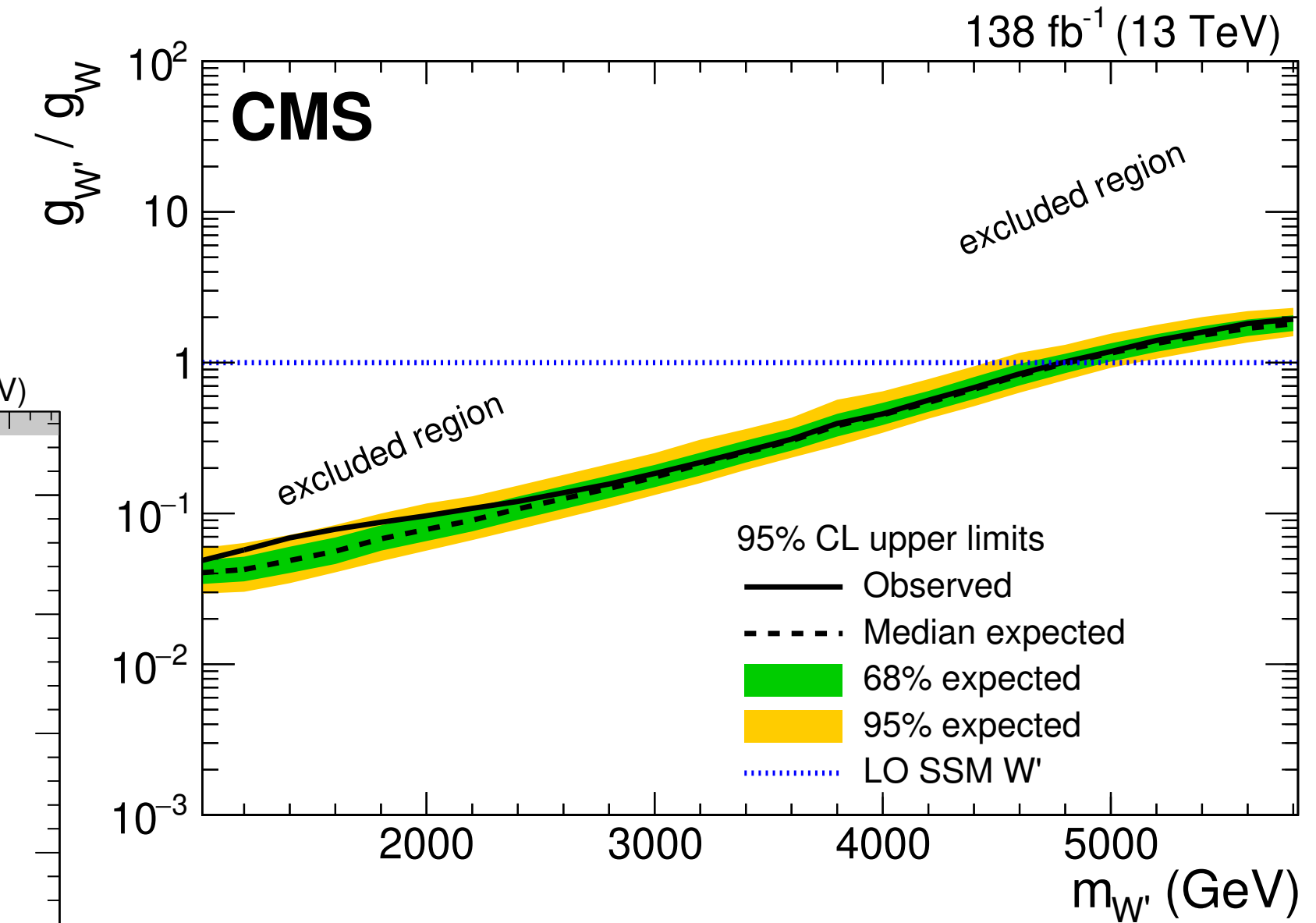
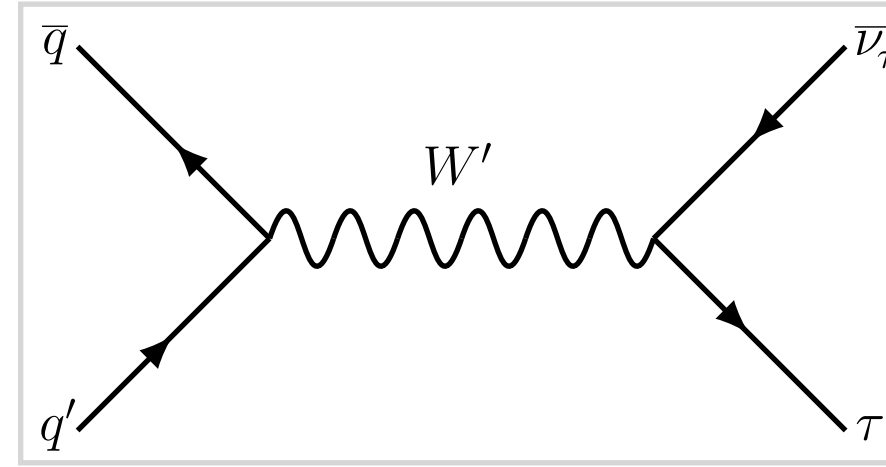
Signature:

- Final state: at least one τ_{had} and high p_T^{miss} ($p_T^{miss} > 200$ GeV)
- Expect back-to-back kinematics and balanced in p_T

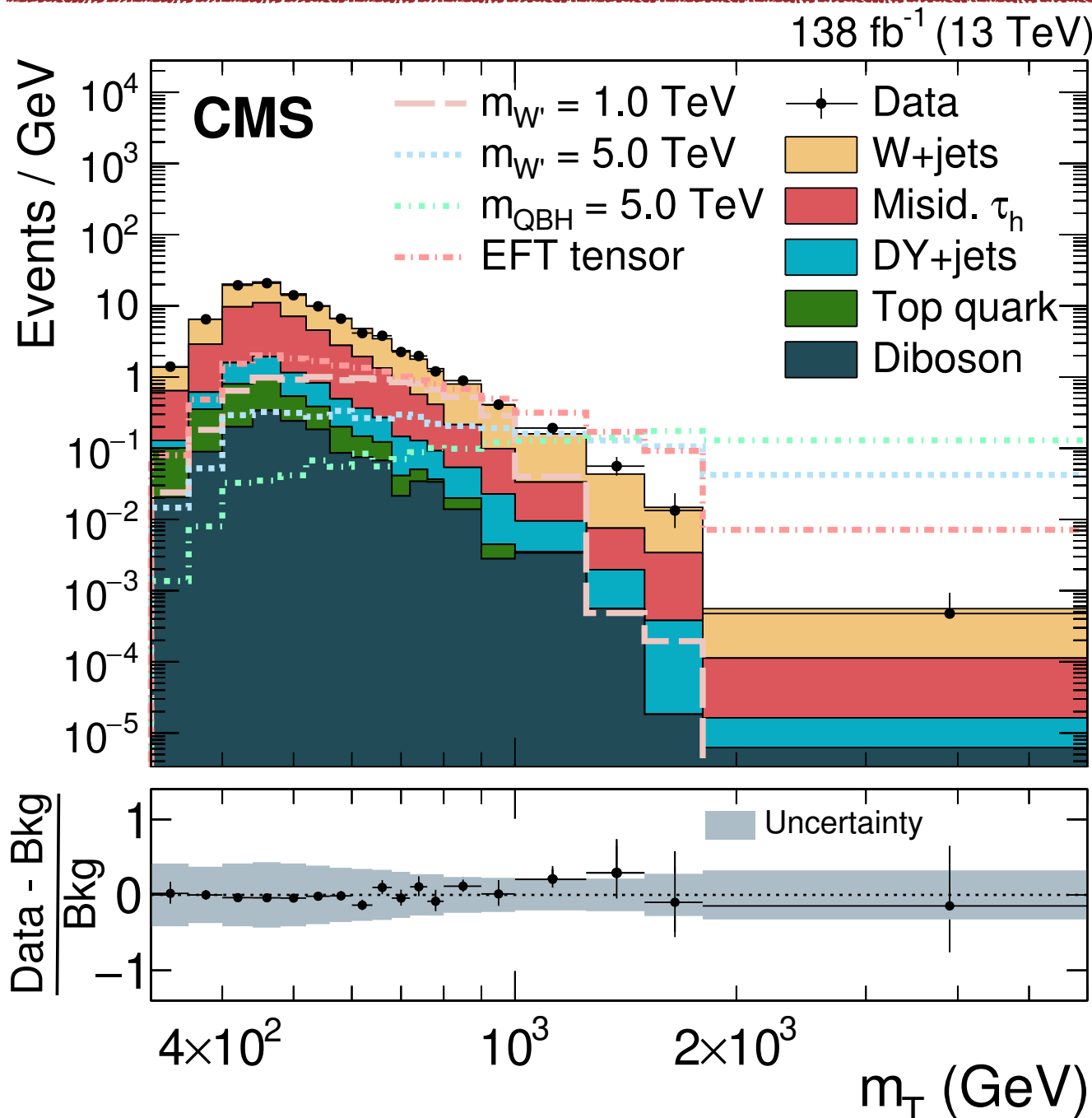
Main backgrounds:

- W+jets: dominant irreducible, same signature as signal
- $t\bar{t}$ production, single Top
- Z(ll)+jets
- Diboson (WW, WZ, ZZ)
- QCD multijet

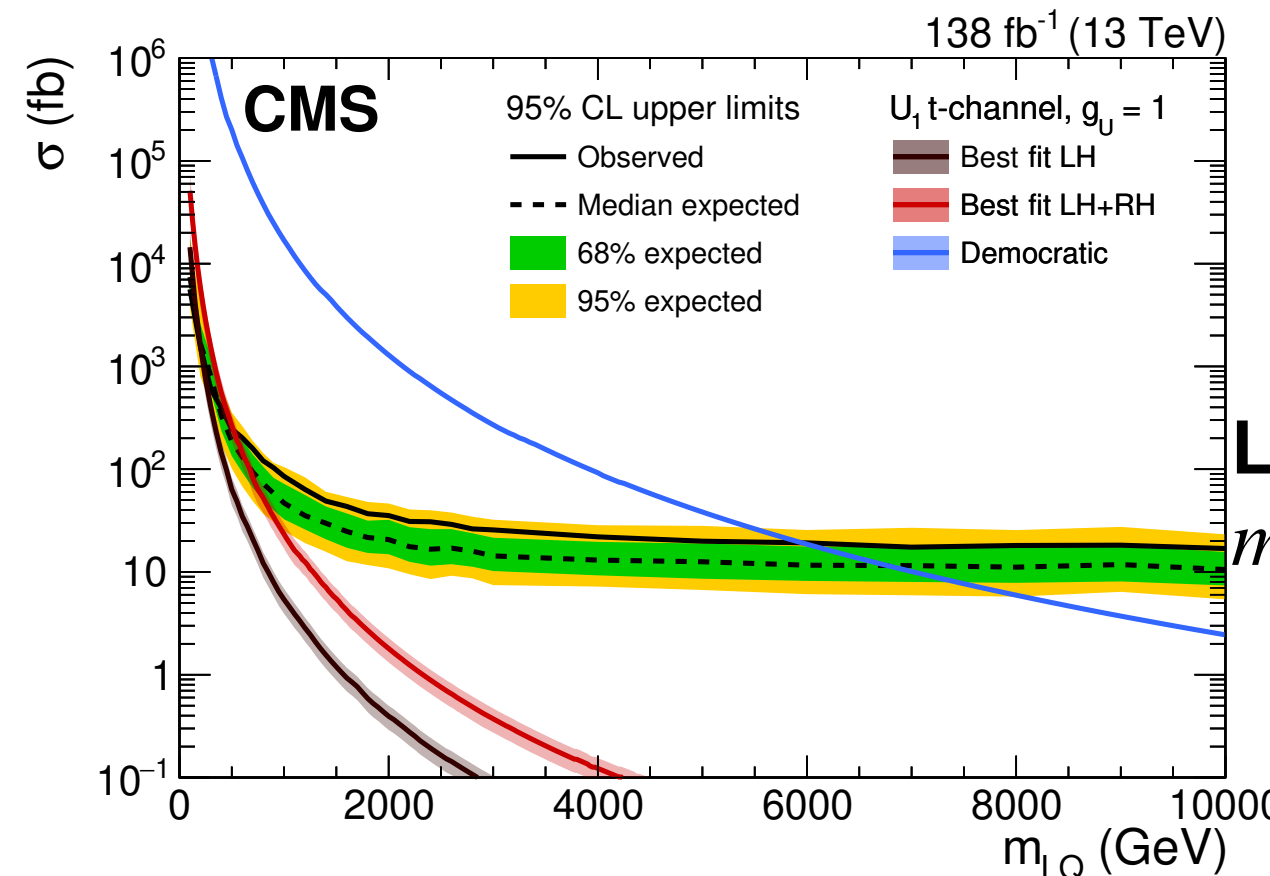
Transverse mass (m_T) used as discriminating variable



W' up to 4.8 TeV excluded in sequential SM (SSM) ($g_{W'} = g_W$)



Non-universal gauge interaction model G(221): $m_{W'} < 2.2$ TeV for all values of θ_E



Leptoquarks:

- $m_{LQ} < 5.9$ TeV (democratic)
- < 205 GeV (best-fit LH)
- < 515 GeV (best-fit LH+RH)

Quantum black holes:

$m_{QBH} < 6.6$ TeV

