

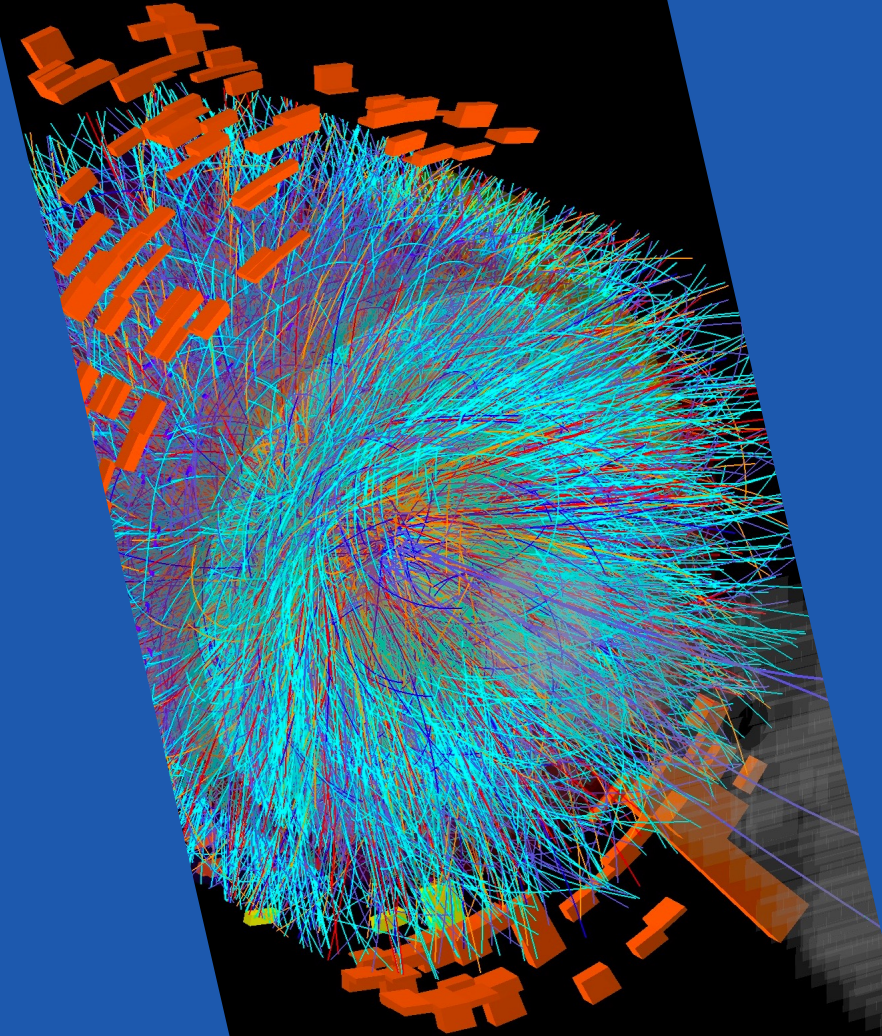


CERN Summer Student Lectures 2024

Heavy Ions 2/3

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Experimental principles

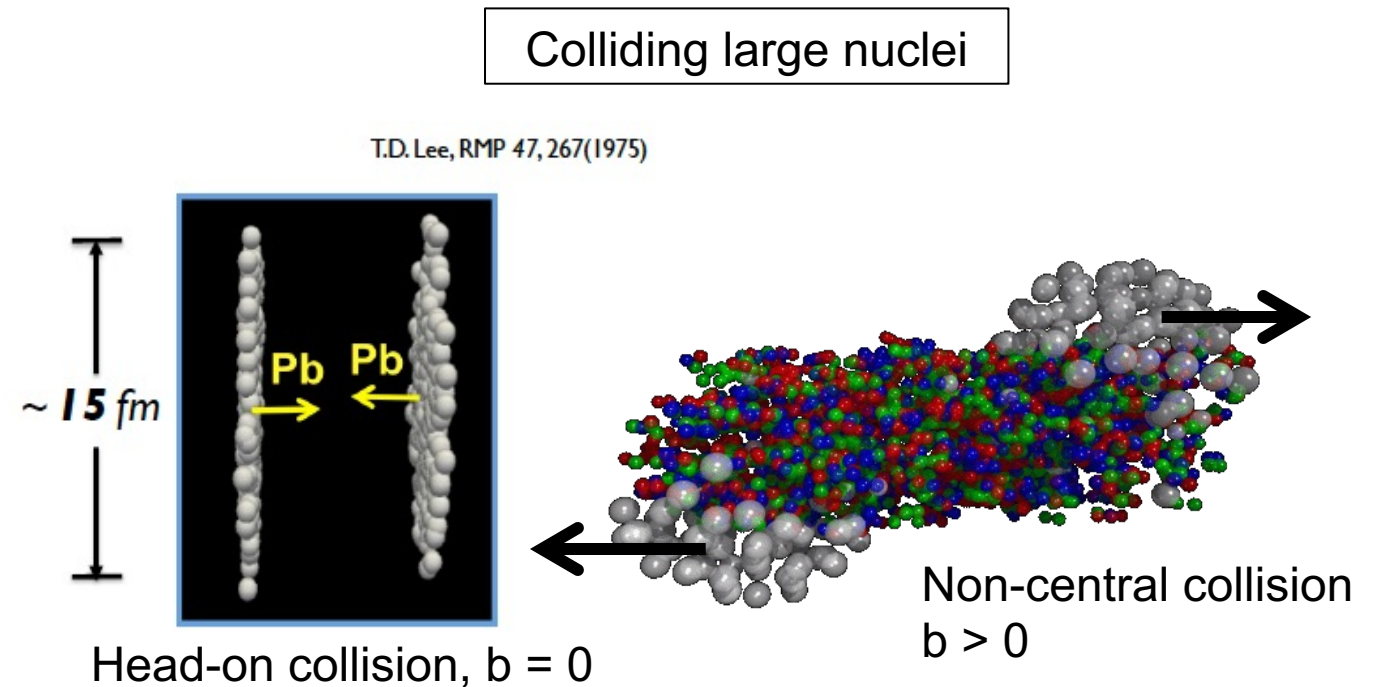
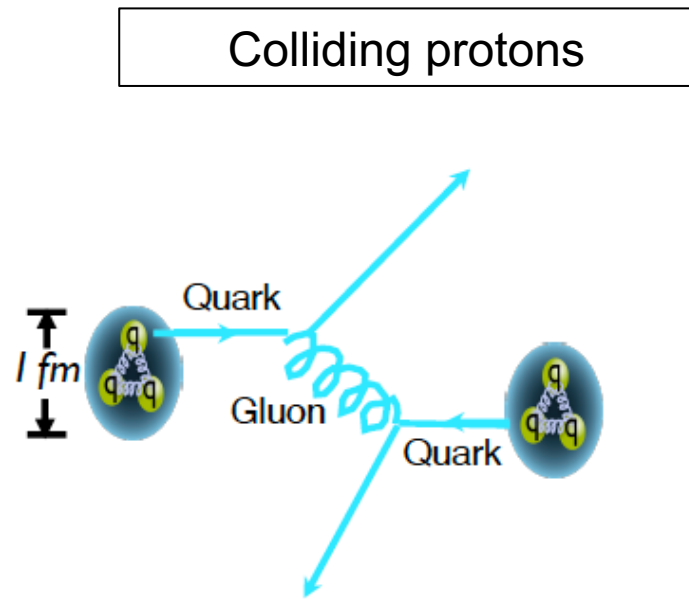
QCD in extreme conditions in the laboratory

A QGP can be formed by compressing **large amount of energy in a small volume**

→ collide **heavy nuclei** (multiple, ~simultaneous nucleon-nucleon collisions)

→ **control the energy deposited** in the collision region by varying the collision system

- nuclear species, p-Pb, pp
- vary impact parameter (centrality)



Hadron and ion colliders

With symmetric proton beams with energy E , the centre-of-mass energy is $\sqrt{s} = 2E$.

With heavy-nuclei, only protons can be accelerated, but neutrons are there too:

$$\rho_A = Z/A \rho_{\text{proton}}$$

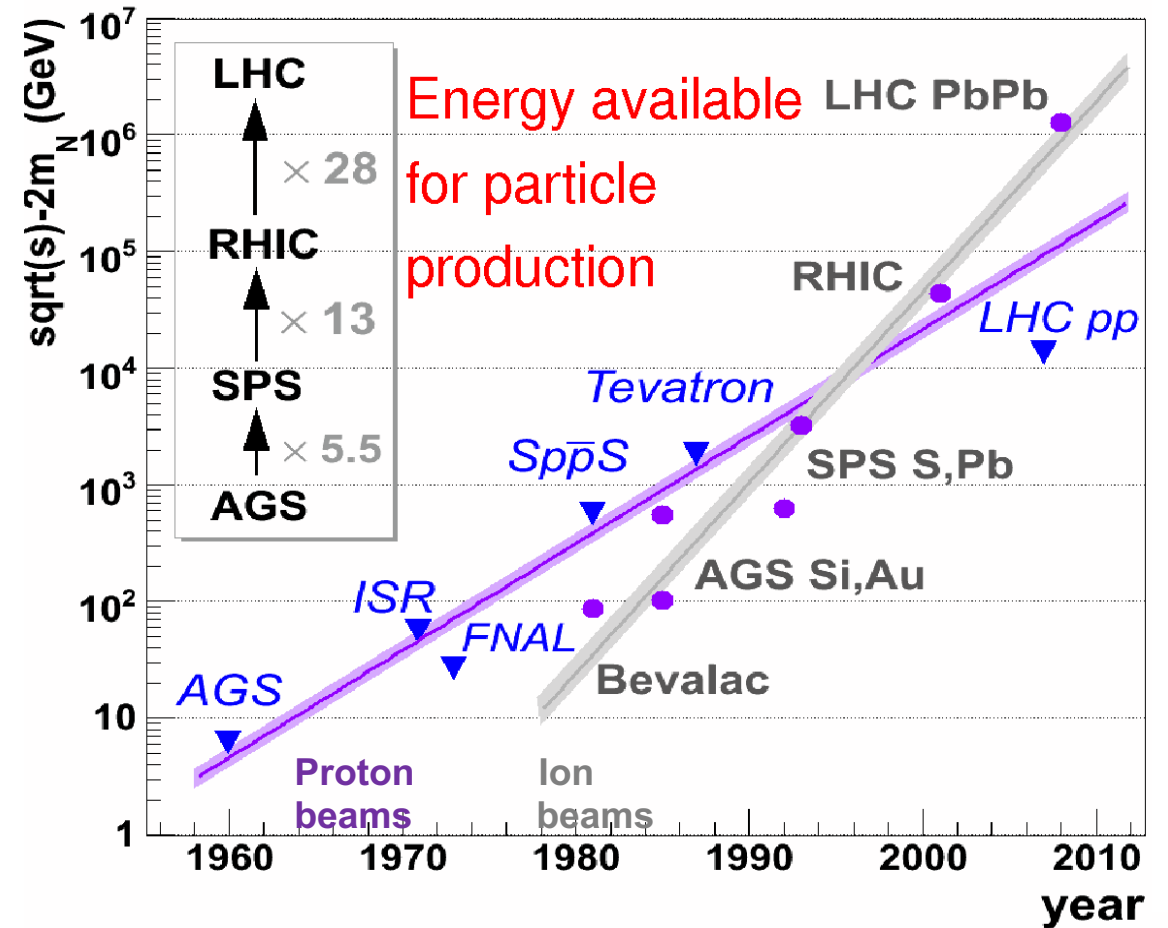
At the LHC, the rigidity of accelerated particles is fixed by the magnet field configuration ($B_{\text{max}} = 8.3 \text{ T}$).

For the $^{208}\text{Pb}^{82+}$ ions used at the LHC:

$$\rho_{\text{Pb}} = 82 / 208 \rho_{\text{proton}}$$

$$\rho_{\text{proton}} = 6.5 \text{ TeV (Run 2)} \rightarrow \rho_{\text{Pb}} = 2.56 \text{ TeV}$$

$$\rightarrow \sqrt{s_{\text{NN}}} = 5.02 \text{ TeV} \rightarrow \sqrt{s} \sim \mathbf{1.04 \text{ PeV}}$$



Some numbers (colliders):

RHIC @ BNL (2000-) $\sqrt{s_{\text{NN}}} < 200 \text{ GeV}$

[beam energy scan $\sqrt{s_{\text{NN}}} = 7.7, 11.5, 19.6, 27, 39, \text{ and } 62.4 \text{ GeV}$]

LHC @ CERN (Run I, 2009-2013) $\sqrt{s_{\text{NN}}} = 2.76 \text{ TeV}$

LHC @ CERN (Run II, 2015-2018) $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$

HL-LHC @ CERN (Run III+IV, 2022-2030) $\sqrt{s_{\text{NN}}} = 5.5 \text{ TeV}$

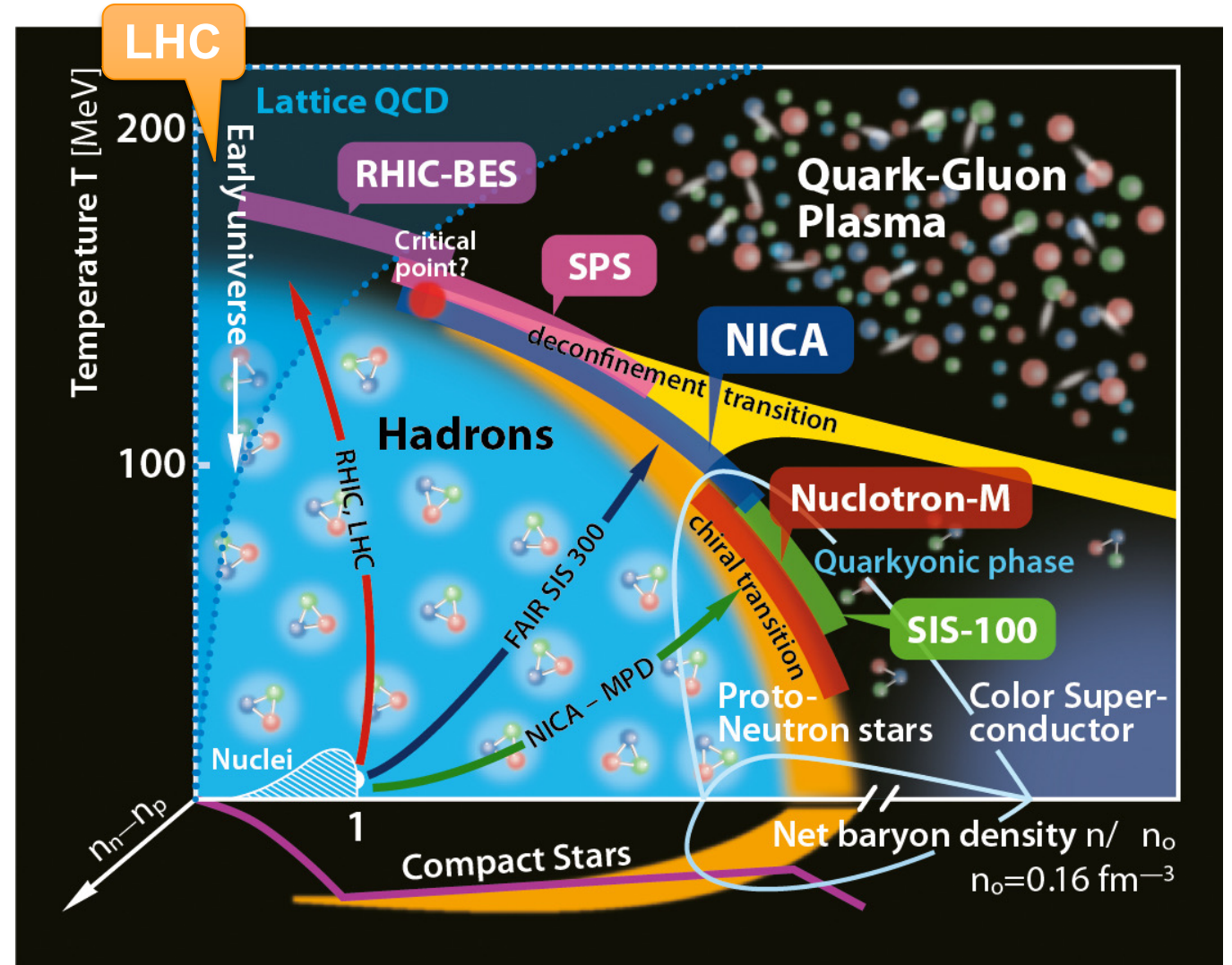
NICA @ JINR (2021) $3 < \sqrt{s_{\text{NN}}} < 11 \text{ GeV}$

Experimental exploration of the QCD phase diagram

RHIC and the LHC explore the region of the phase diagram for $\mu_B \sim 0$.

which is also the region of the phase diagram where lattice QCD calculations can be performed.

Low energy (& high μ_B) are the conditions to study the 1st order transition and the search for the critical point, the key regime being $2.5 < \sqrt{s_{NN}} < 8-10$ GeV



Heavy-ion physics worldwide: high energy

Relativistic Heavy Ion Collider, Brookhaven (USA)



Brookhaven RHIC

- Operating since 2000
- Circumference 3.83 km, 2 rings
- Superconducting magnets
- $\sqrt{s_{NN}} = 3 - 200$ GeV in Au-Au
- 3 Beam-energy scans campaigns
- Ongoing exp: STAR

Super Proton Synchrotron and Large Hadron Collider, CERN (Switzerland/France)

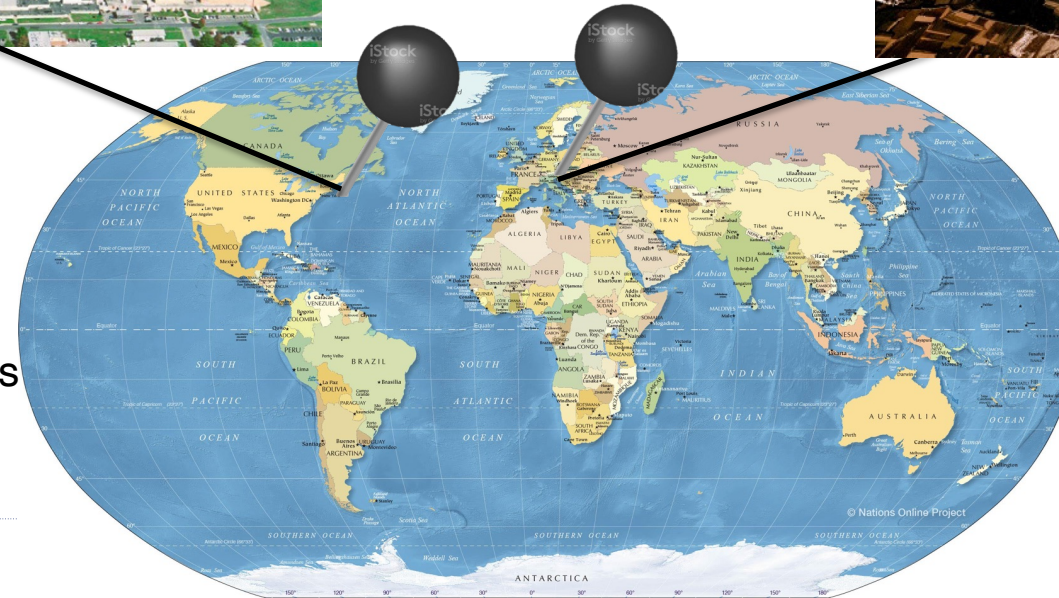


CERN SPS

- Operating since 1986
- Circumference 6.9 Km
- max $p = 450 A/Z$ GeV
- $\sqrt{s_{NN}} < 20$ GeV
- Ongoing: NA61/Shine

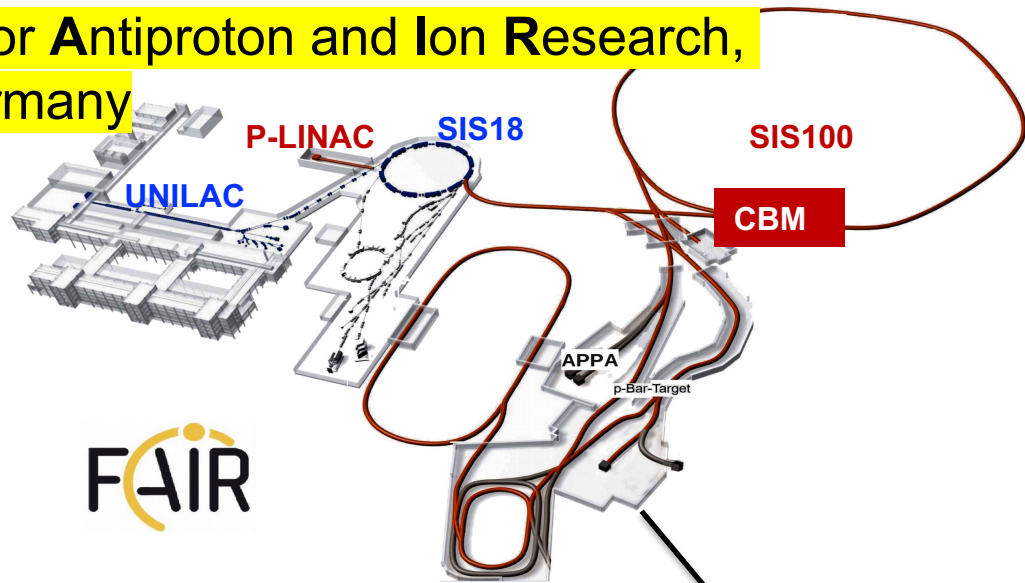
CERN LHC

- Operating since 2009
- Run 3 started in 2022
- Circumference: 27 km
- B-field: 8 T, superconducting
- $pp \sqrt{s} = 0.9 - 13.6$ TeV
- Pb-Pb $\sqrt{s_{NN}} = 2.76-5.36$ TeV
- Main ongoing exp.: ALICE, ATLAS, CMS, LHCb

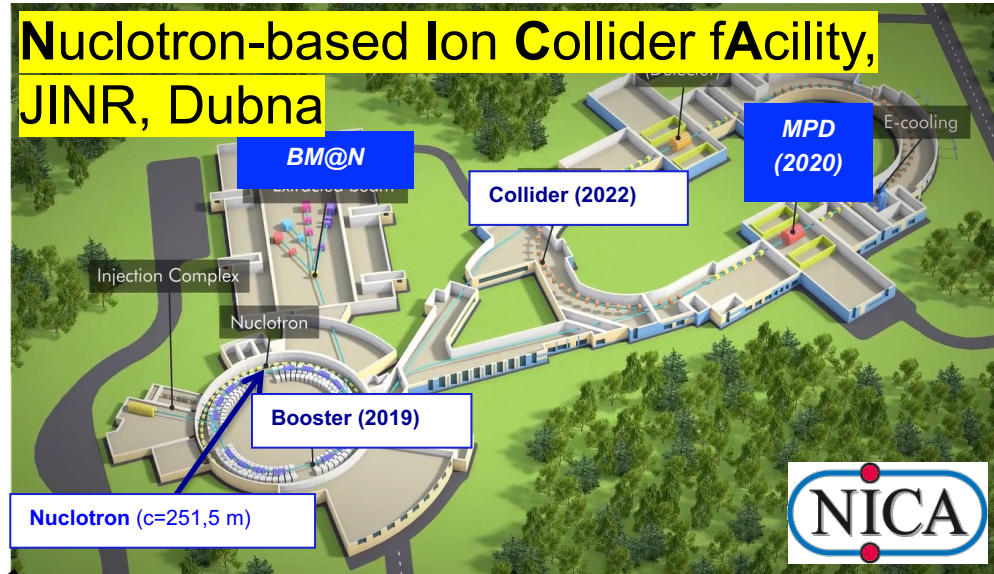


Heavy-ion physics worldwide: low energy

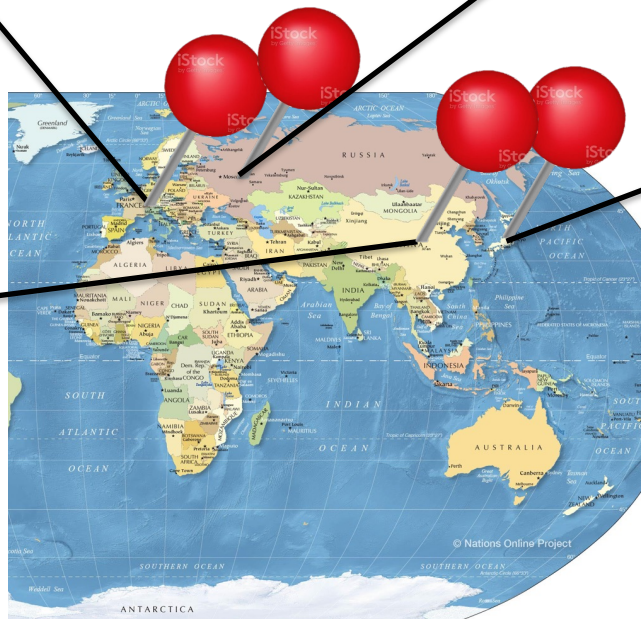
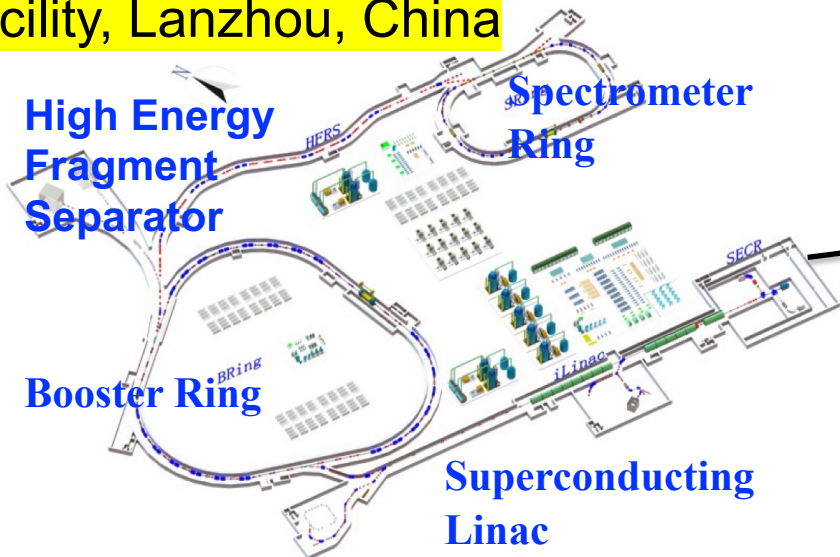
Facility for Antiproton and Ion Research, GSI, Germany



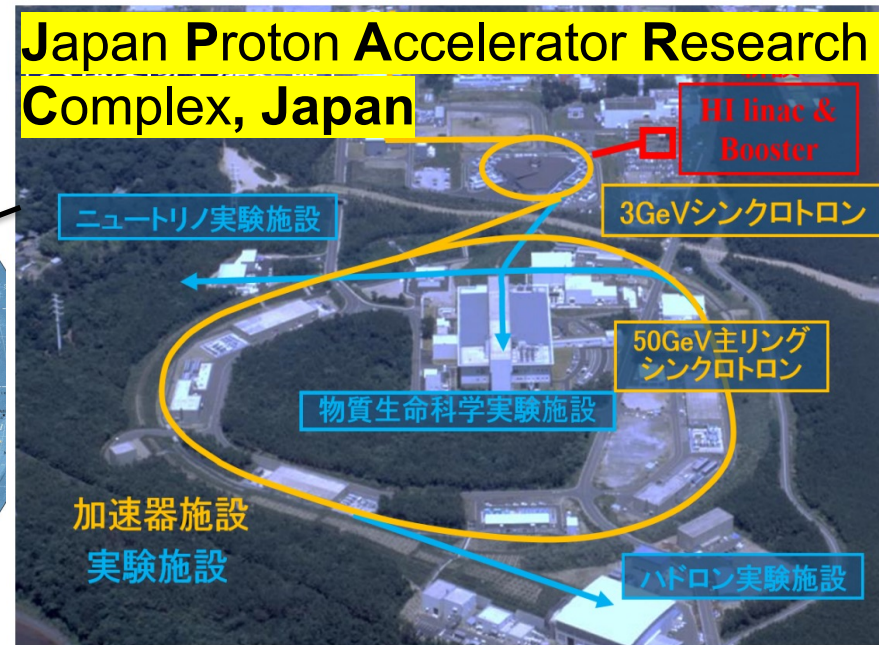
Nuclotron-based Ion Collider Facility, JINR, Dubna



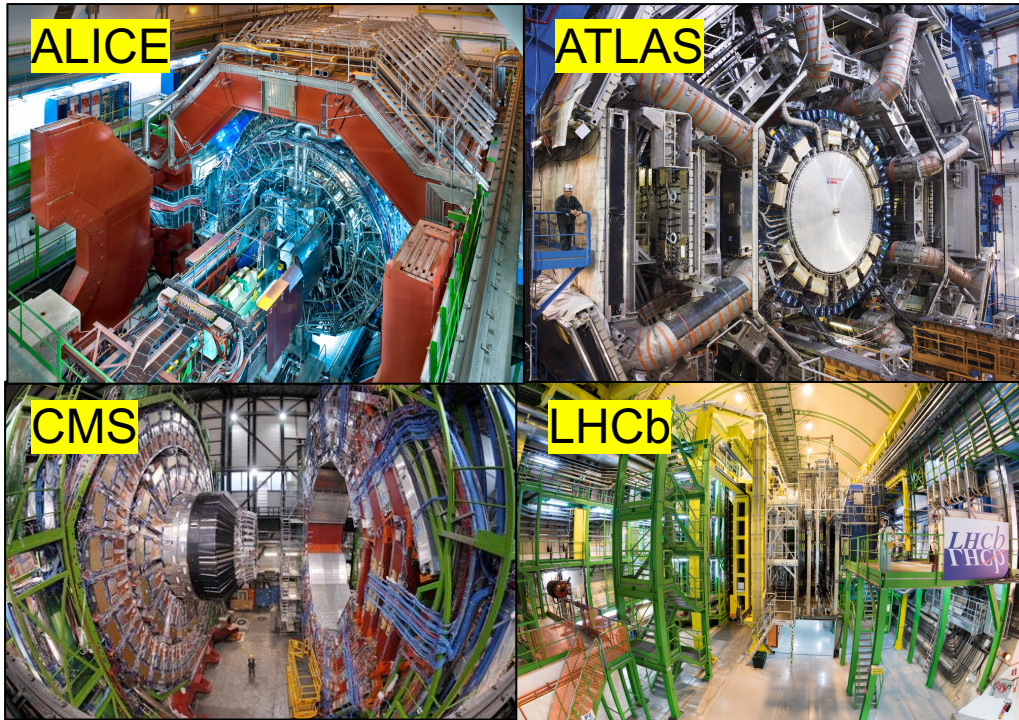
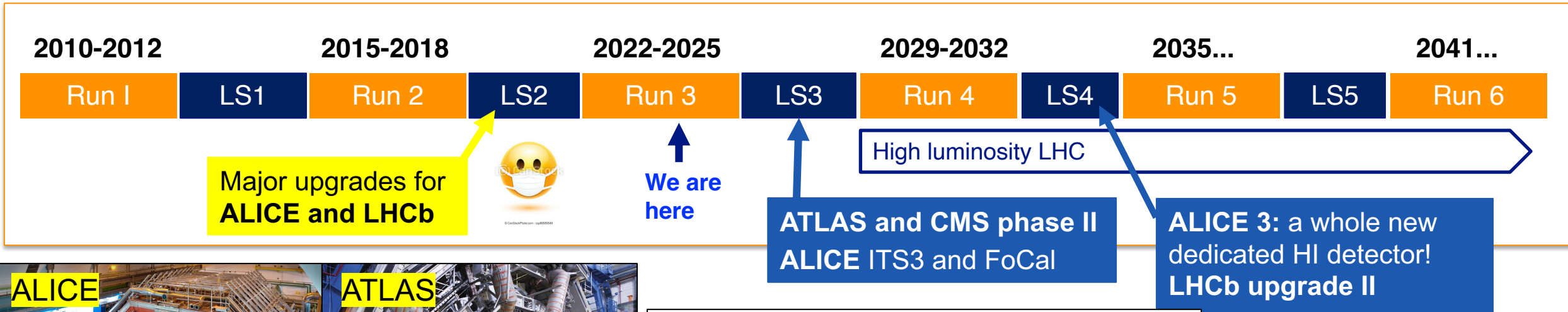
High-Intensity Heavy Ion Accelerator Facility, Lanzhou, China



Japan Proton Accelerator Research Complex, Japan



Heavy-ion physics at the LHC

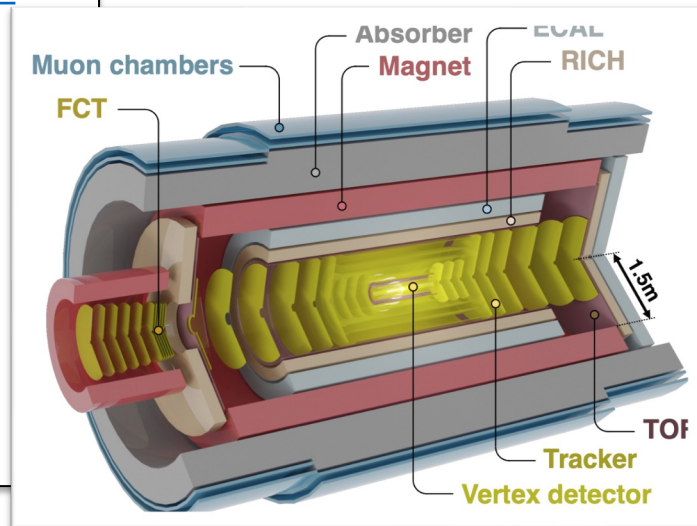


ALICE 3

- Letter of Intent: [CERN-LHCC-2022-009](#)
- next-generation HI experiment
- all-Si MAPS tracker
- ultimate vertex detector
- minimal mass (essentially only sensor)
- 5 mm from beam (LHC aperture)

Physics focus:

- low- p_T heavy-flavour
- electromagnetic radiation from QGP



Guidance for the experimental study of the QGP

How can a QGP, once formed, be detected and investigated?

How can the physical properties be determined?

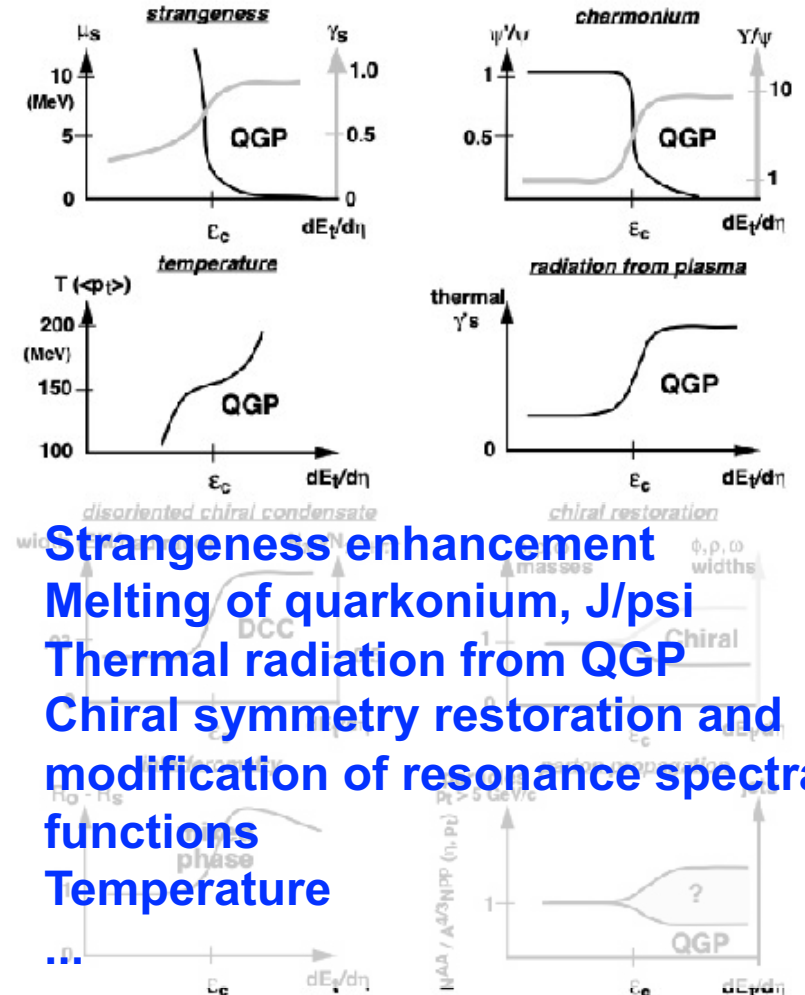
Back in 1990's, some "smoking gun" observables were proposed, however these were not based on solid calculations (IQCD was still a "baby" ...)

Today, we have several powerful theoretical tools at disposal:

- Lattice QCD (the only one from first principles)
- Hydrodynamics and standard model of HIC
- Bayesian analysis
- Transport models

Remember: the QGP is not static but an evolving system!

SIGNATURES



- **Strangeness enhancement**
- **Melting of quarkonium, J/psi**
- **Thermal radiation from QGP**
- **Chiral symmetry restoration and modification of resonance spectral functions**
- **Temperature**
- ...

Reading suggestion: B. Muller, arXiv:2106.11923

J.Harris, B.Muller, *Annu Rev. Part. Nucl. Physics* 46 (1996) 71

QGP: from “discovery” to “measurement”

The image shows two overlapping web pages. The top page is a CERN Press Release dated 10th February 2000. The bottom page is a Brookhaven Newsroom article titled "RHIC Scientists Serve Up 'Perfect' Liquid" dated April 18, 2005. The article text is highlighted in blue. A blue box highlights a sentence in the article: "In peer-reviewed papers summarizing the first three years of RHIC findings, the scientists say that instead of behaving like a gas of free quarks and gluons, as was expected, the matter created in RHIC's heavy ion collisions appears to be more like a liquid."

CERN Press Release
10th February 2000

Press Releases | For Journalists | For CERN People

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BROOKHAVEN NATIONAL LABORATORY Newsroom
RHIC Press Release, 18th April 2005

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Contacts: [Karen McNulty Walsh](#), (631) 344-8350 or [Peter Genzer](#), (631) 344-3174 [PRINT](#)

RHIC Scientists Serve Up "Perfect" Liquid

New state of matter more remarkable than predicted -- raising many new questions

April 18, 2005

TAMPA, FL -- The four detector groups conducting research at the [Relativistic Heavy Ion Collider \(RHIC\)](#) -- a giant atom "smasher" located at the U.S. Department of Energy's Brookhaven National Laboratory -- say they've created a new state of hot, dense matter out of the quarks and gluons that are the basic particles of atomic nuclei, but it is a state quite different and even more remarkable than had been predicted. In [peer-reviewed papers](#) summarizing the first three years of RHIC findings, the scientists say that instead of behaving like a gas of free quarks and gluons, as was expected, the matter created in RHIC's heavy ion collisions appears to be more like a liquid.

[1] <http://press-archiv.archived/PressRelea>

[2] <http://www.bnl.gov/newsroom/news.php?a=1303>

First “compelling” evidence of QGP at the CERN SPS.

At BNL RHIC, “remarkable” evidence that the hot matter formed is

- Extremely **strongly interacting** (sQGP)
- Absorbing energy of traversing partons
→ An almost **opaque** medium
- Reacting to pressure gradients by flowing with very small shear viscosity
→ An almost **perfect liquid**

At the LHC, the increased beam energies leads to the production of a **hotter, larger and longer-lived QGP**

→ Measure QGP probes with increased precision.

The “little bang” in the laboratory

“Little bangs” of heavy nuclei can be studied similarly as the Early Universe

No direct observation of the QGP is possible

→ use **particles that decouple** from the system at **different phases** of the evolution as **probes**

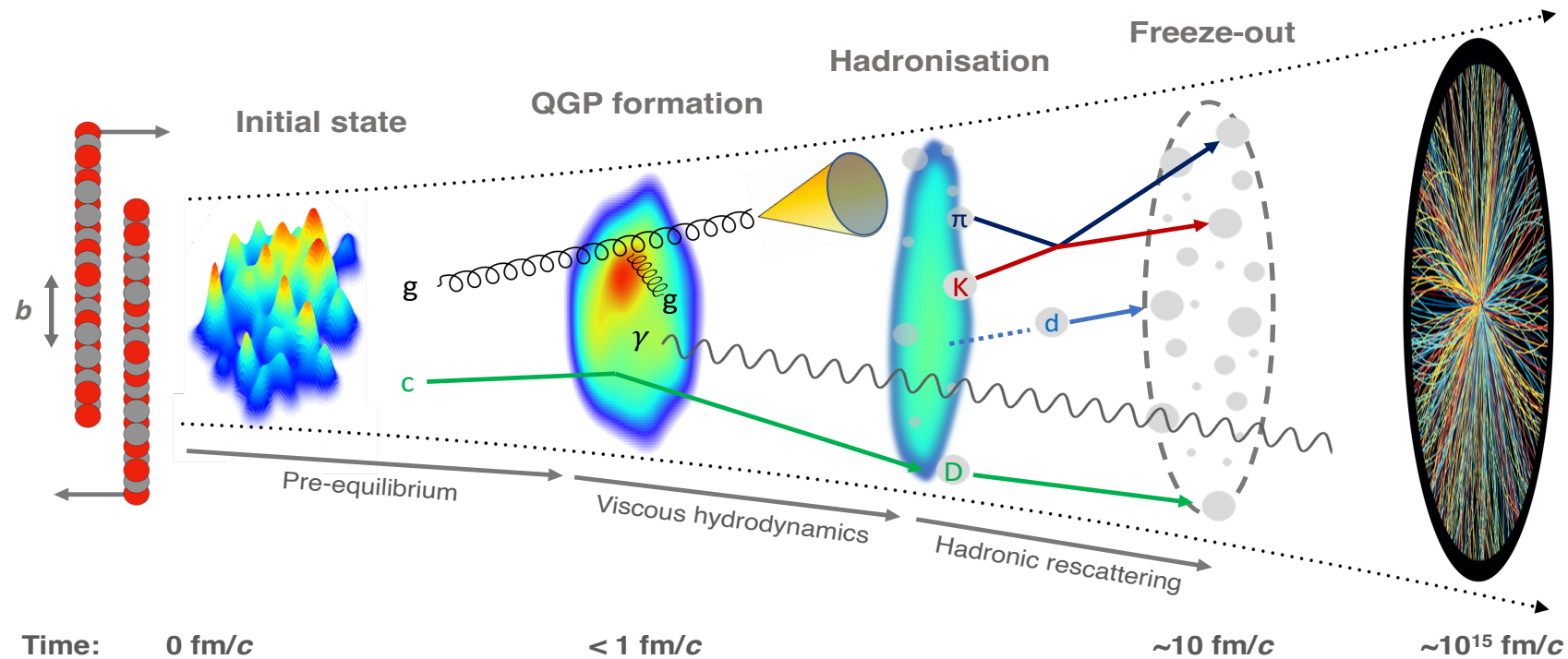


Figure from ALICE Coll., [arXiv:2211.04384](https://arxiv.org/abs/2211.04384)

The “little bang” in the laboratory

High- p_T partons (\rightarrow jets), charm and beauty quarks (\rightarrow open HF, quarkonia), produced in the early stages in hard processes, traverse the QGP interacting with its constituents

\rightarrow rare, calibrated probes, pQCD

\rightarrow interaction (energy loss) and transport properties in QGP

\rightarrow modification of the strong force in a colored medium

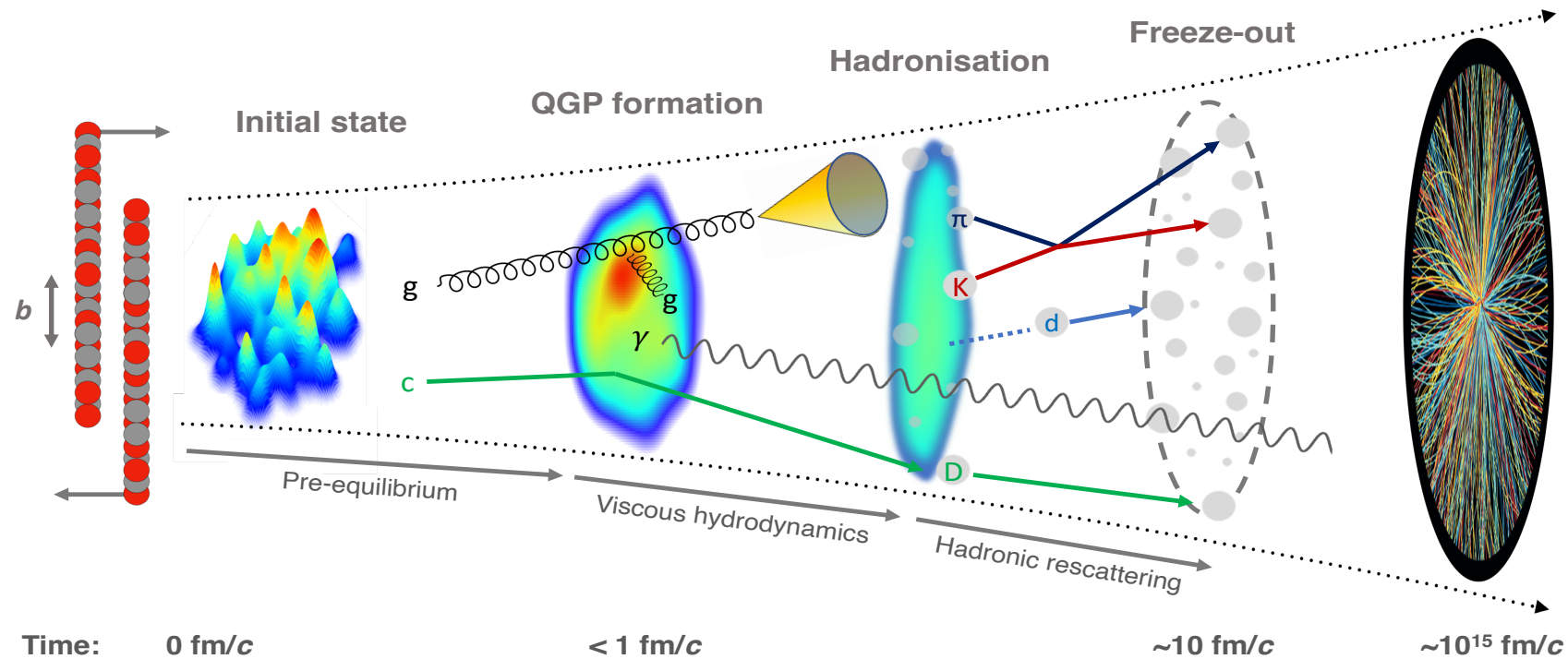


Figure from ALICE Coll., [arXiv:2211.04384](https://arxiv.org/abs/2211.04384)

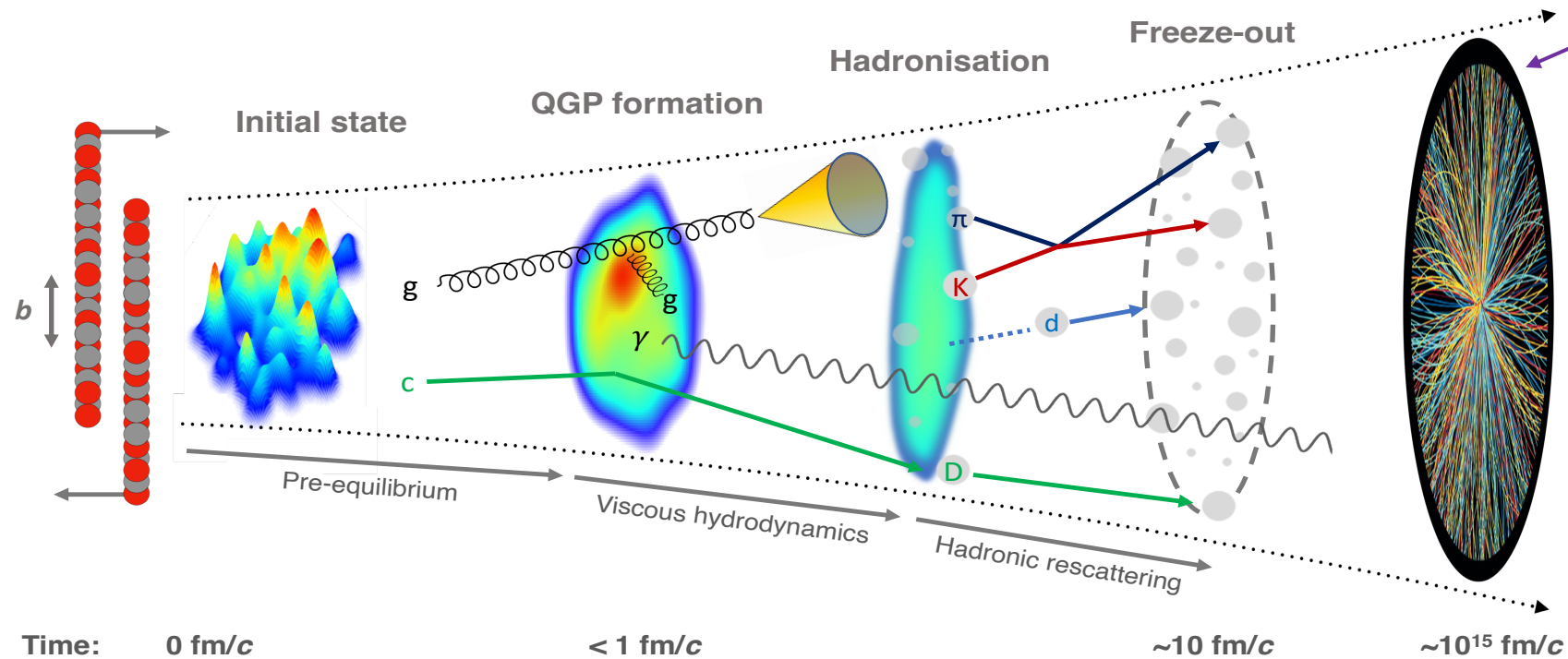
The “little bang” in the laboratory

Low- p_T particles, light flavour hadrons (u,d,s, + nuclei)
produced from hadronization of the strongly-interacting, thermalized QGP
constitute the bulk of the system and undergo collective expansion

→ non-perturbative regime

→ **thermodynamics, flavour composition and equilibration in QGP**

→ **hydrodynamical and transport properties of the QGP**



>2k charged particles per unit of rapidity in 5% most central Pb-Pb:
98% with $p_T < 2$ GeV/c, 90% pions

Figure from ALICE Coll., [arXiv:2211.04384](https://arxiv.org/abs/2211.04384)

Heavy-ion and high-energy physics have different goals and thus different detector requirements.

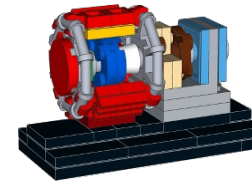
Observables:

- soft (low p_T) and hard (high p_T) probes
- hadron production rates (needs PID)
- collectivity, flow (needs acceptance coverage)
- photon/W/Z (calorimetry)
- jets (coverage, high p_T)

In HI physics special emphasis on:

- soft (non-perturbative) regime, i.e. **low p_T**
- **identification** of hadron species
- **minimum bias** events → **centrality** dependence
- **midrapidity** measurements

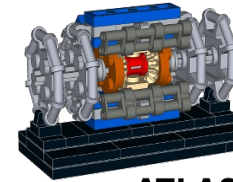
Complementarity of the LHC experiments



ALICE

ALICE

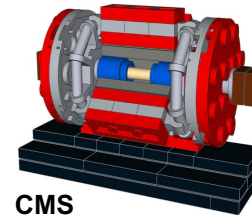
- Low p_T
- PID
- Low material budget next to IP



ATLAS

ATLAS/CMS

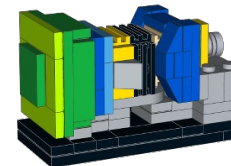
- Wide pseudorapidity coverage
- High p_T , jets



CMS

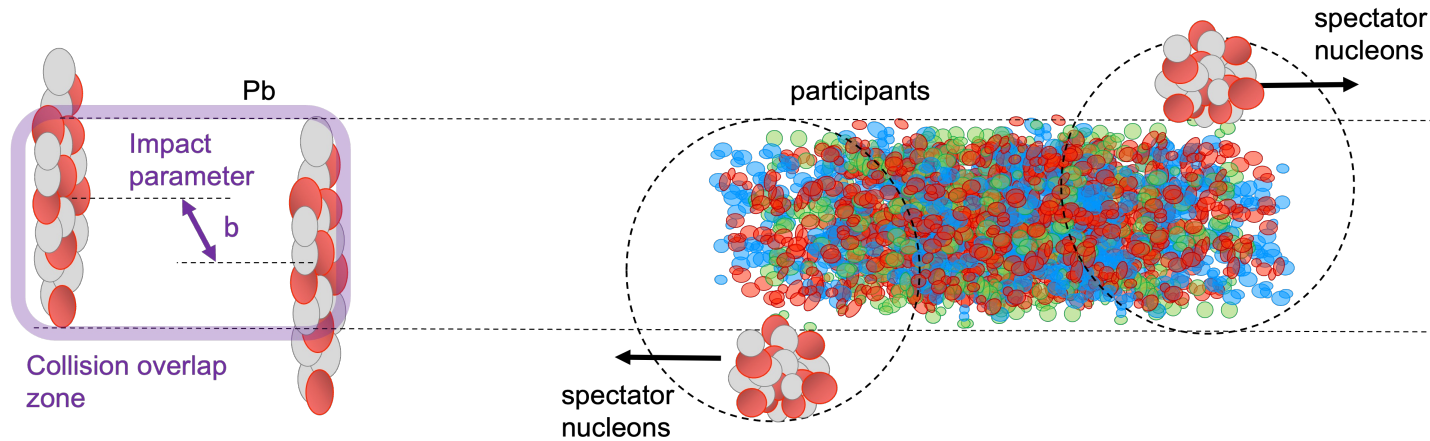
LHCb

- Forward pseudorapidity
- PID
- Fixed target



LHCb

Centrality



Centrality = fraction of the total hadronic cross section of a nucleus-nucleus collision, typically expressed in percentile, and **related to the impact parameter, b** , of the collision.



Central collisions (e.g. 0-5%)
→ smaller impact parameter
→ larger overlap region
→ more participants, N_{part}
→ more binary collisions, N_{coll}
→ more particles produced

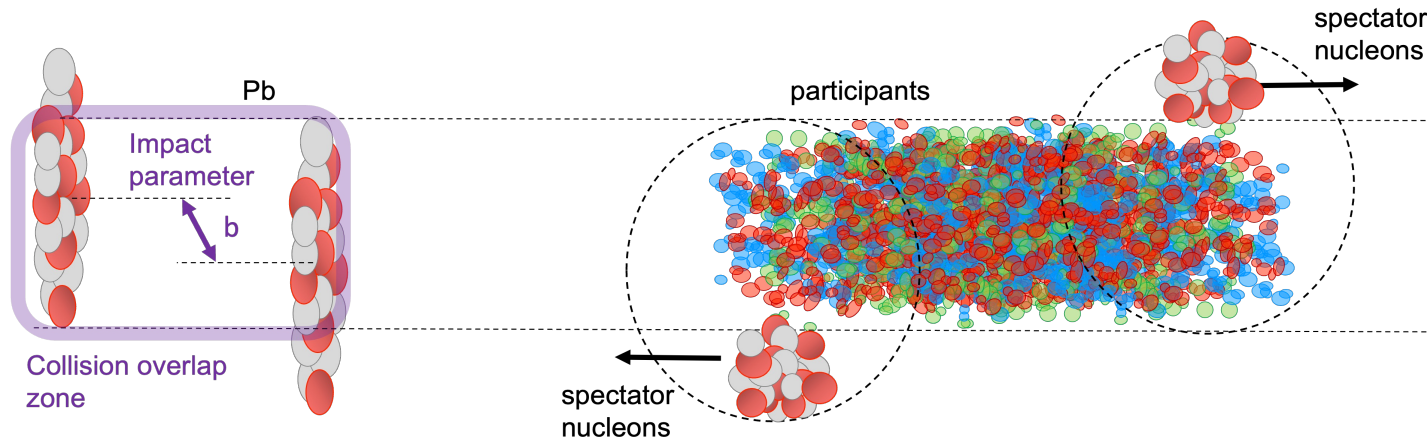


Peripheral collision (e.g. 80-90%)
→ larger impact parameter
→ smaller overlap region
→ less participants
→ less binary collisions
→ less particles produced

N_{part} , N_{coll} , b determined with a [Glauber model fit](#) to the signal amplitudes.

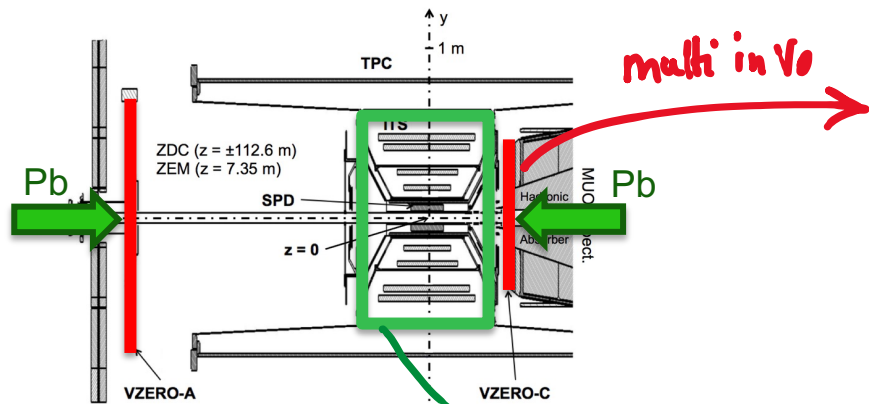
M. L. Miller et al., An.Rev.Nucl.Part.Sci. 57 (2007) 205

Centrality - Experimental determination

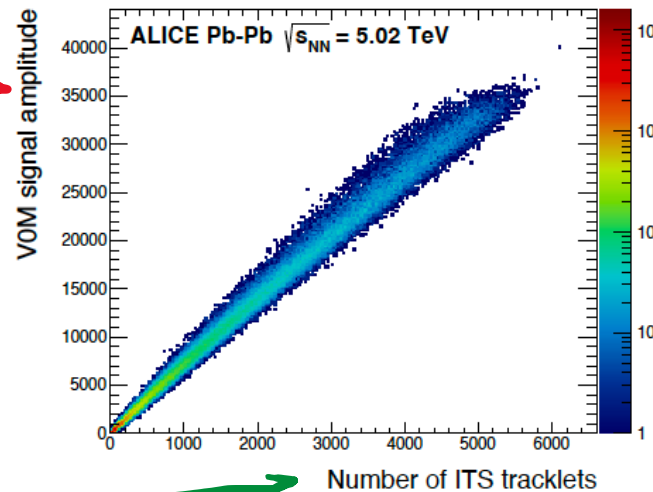


Centrality is determined by counting the number of particles (**multiplicity**) or measuring the **energy deposition** in different phase space regions
 → correlation observed!

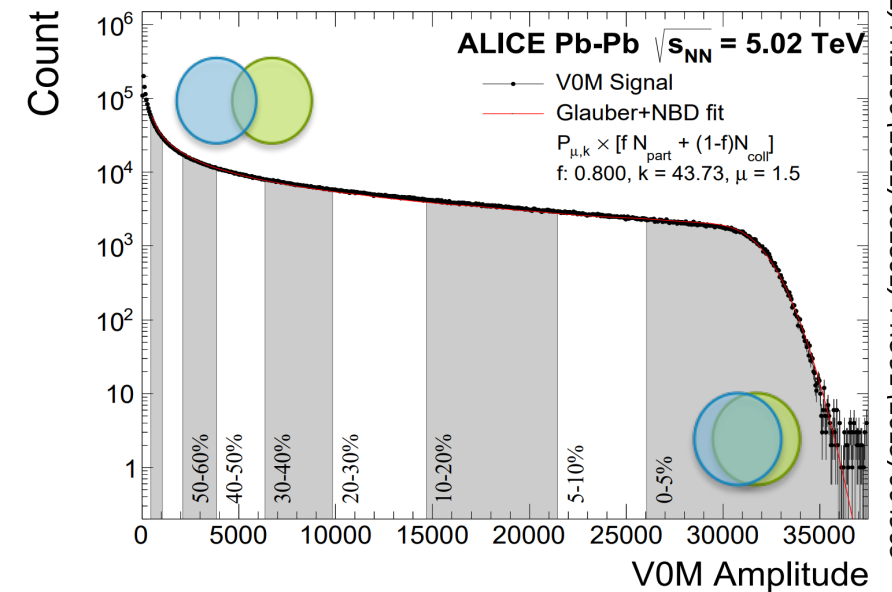
Example: centrality determination in ALICE



Schematic view of the ALICE subdetectors near the interaction region in Run 1 and 2



Centrality from VOM multiplicity in ALICE



ALICE, PRL 106 (2011) 032301, PRC 91 (2015) 064905

Rapidity and pseudorapidity

The rapidity can be approximated by **pseudo-rapidity** in the **ultra-relativistic limit** in which $p \gg m$:

$$y = \frac{1}{2} \ln \frac{E + p \cos \vartheta}{E - p \cos \vartheta} \stackrel{p \gg m}{\approx} \frac{1}{2} \ln \frac{1 + \cos \vartheta}{1 - \cos \vartheta} = \frac{1}{2} \ln \frac{2 \cos^2 \frac{\vartheta}{2}}{2 \sin^2 \frac{\vartheta}{2}} = -\ln \left[\tan \frac{\vartheta}{2} \right] =: \eta$$

$$\cos(2\alpha) = 2 \cos^2 \alpha - 1 = 1 - 2 \sin^2 \alpha$$

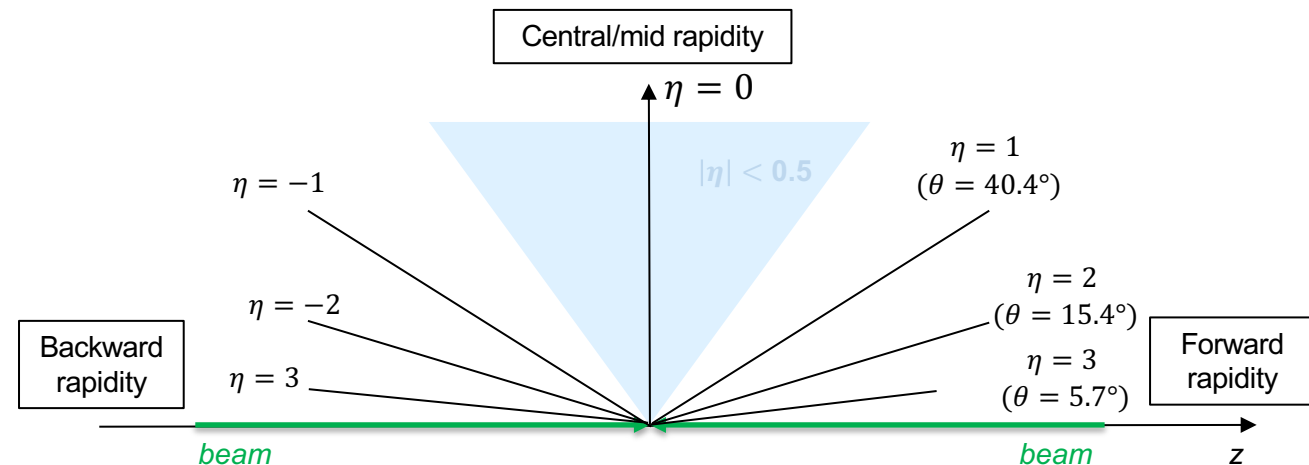
Where ϑ is the angle between the direction of the beam and the particle.

The relation to transverse and longitudinal momenta are

$$p = p_T \cdot \cosh \eta, \quad p_L = p_T \cdot \sinh \eta$$

For $m = 0$, $y = \eta$ is a special case

In general $y \neq \eta$, especially at low momenta



High energy HI collisions – the midrapidity region

In **high-energy** collisions (RHIC, LHC), the beams have large rapidity difference before the collision. After the collision nuclei slow down to lower γ and y , particles are produced with a “plateau” in the central rapidity region \rightarrow **Transparency regime**

The **baryon number** carried out by the colliding nuclei is outside the centre-of-mass rapidity region, $y_{\text{CM}} = 0$. The **midrapidity region** is the hottest region, where particle production is maximal and the highest temperatures are reached.

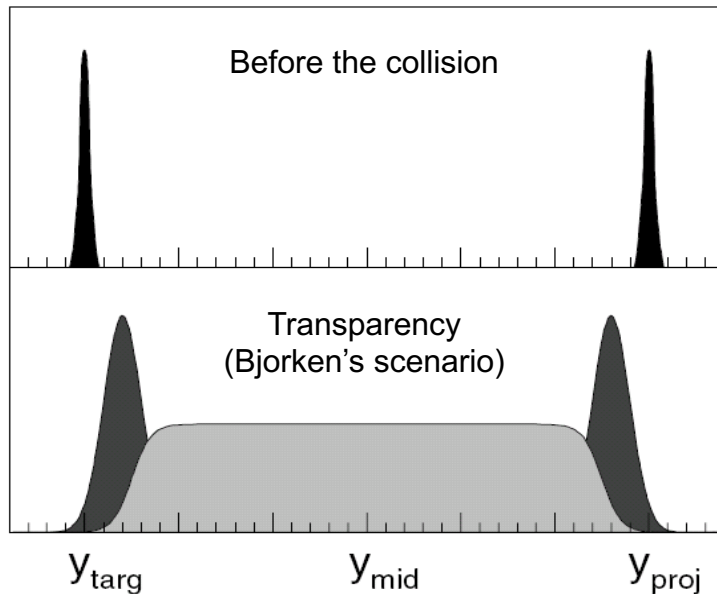
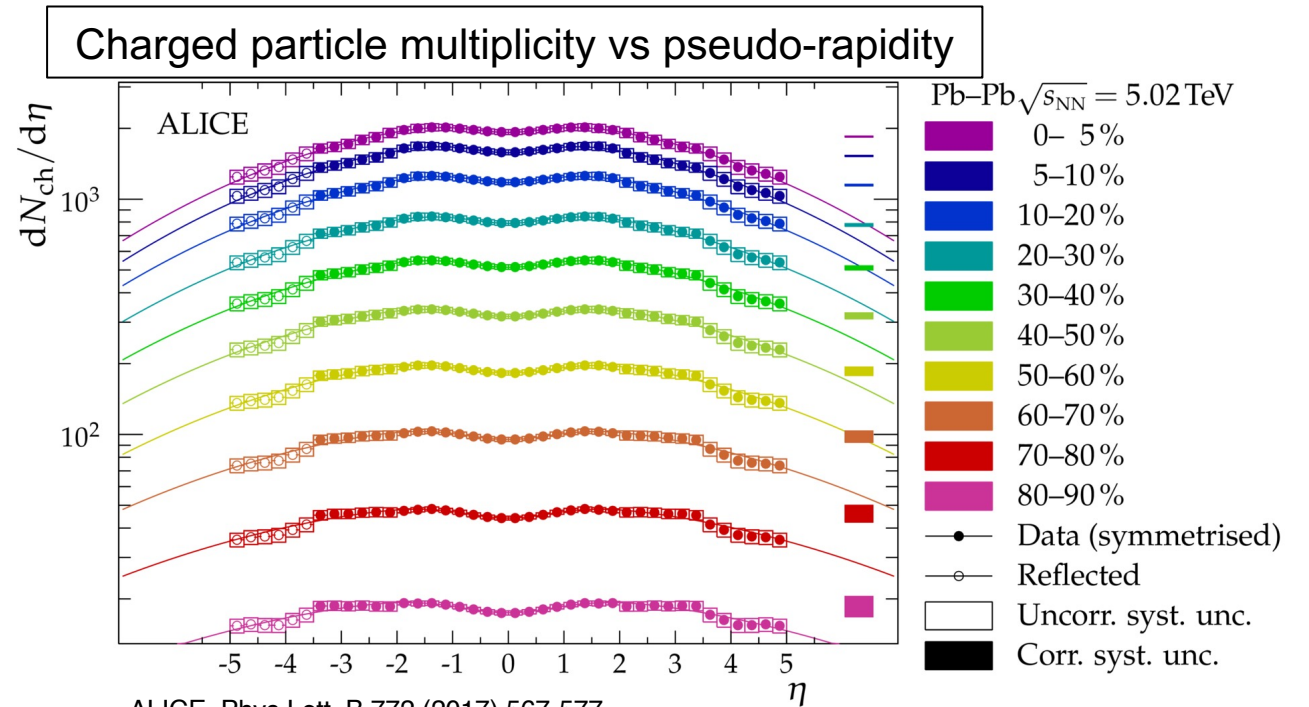


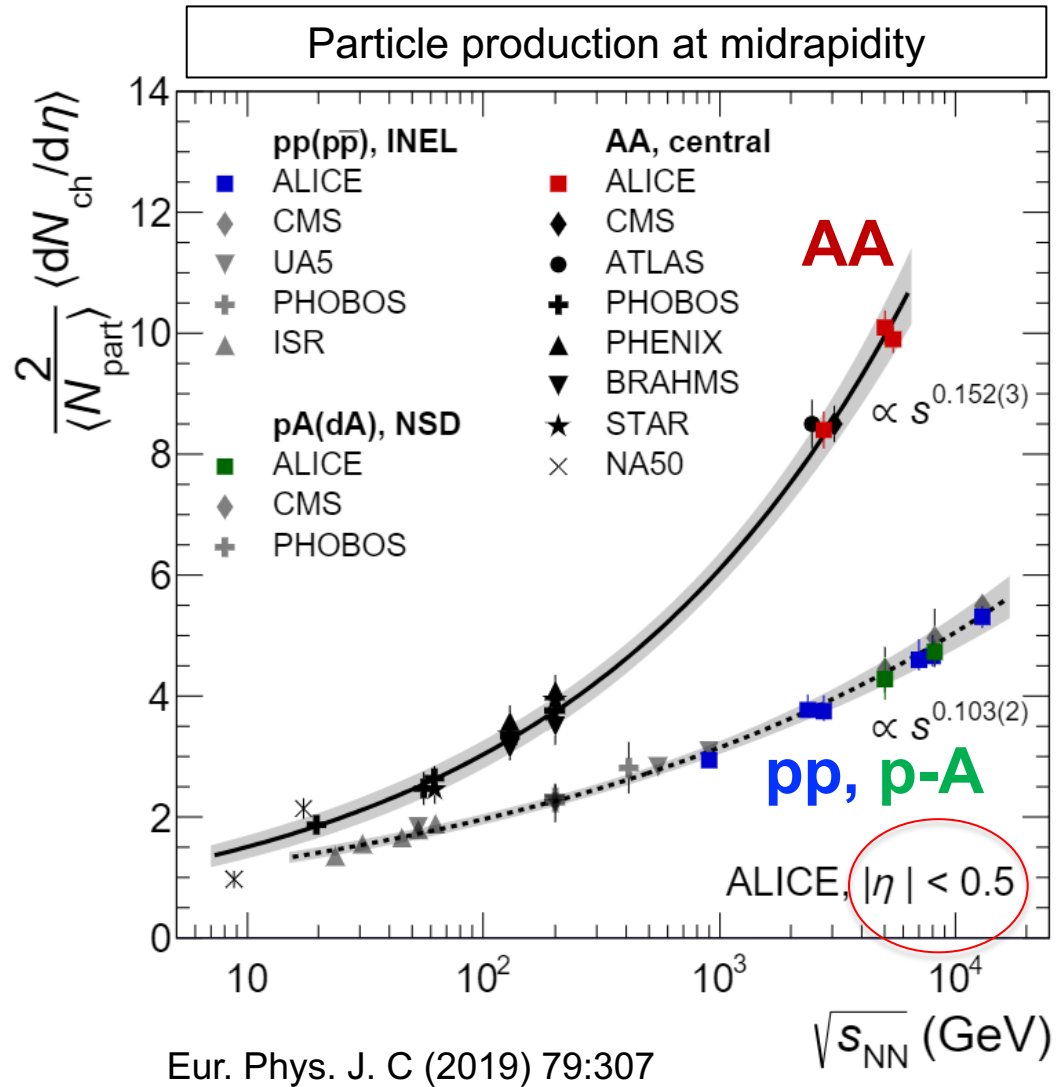
Figure from K. Reygers



ALICE, Phys.Lett. B 772 (2017) 567-577

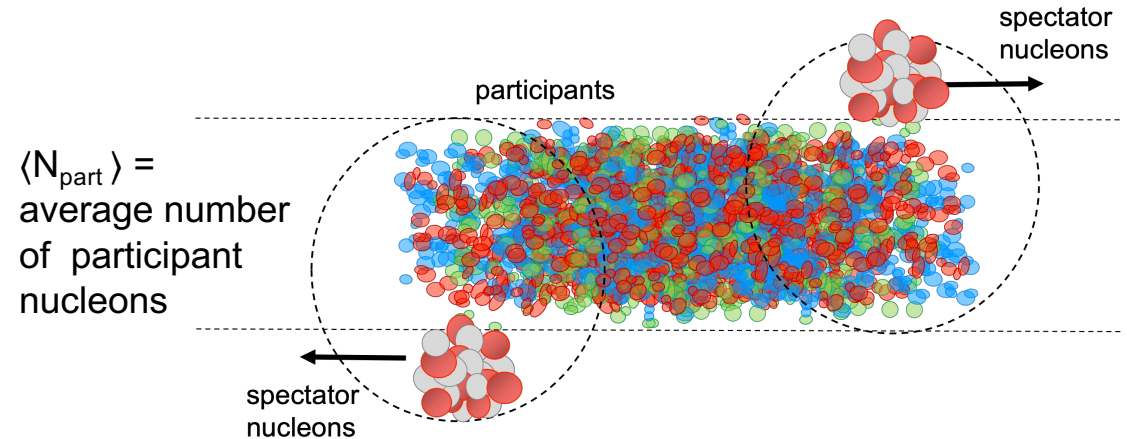
ALI-PUB-115086

Charged particle production in central HI collisions



Particle production per participant in HI collisions follows a steeper power law than in pp, pA and increases by 2-3x from RHIC to the LHC.

Heavy-ion collisions are more efficient in transferring energy from beam- to mid-rapidity than pp.



Determination of the initial energy density

Measurement of the multiplicity dN_{ch}/dy and the $\langle p_T \rangle$, or of the transverse energy, can be used to determine the initial energy density available in the collision.

J. D. Bjorken, Phys. Rev. D 27 (1983) 140–151.

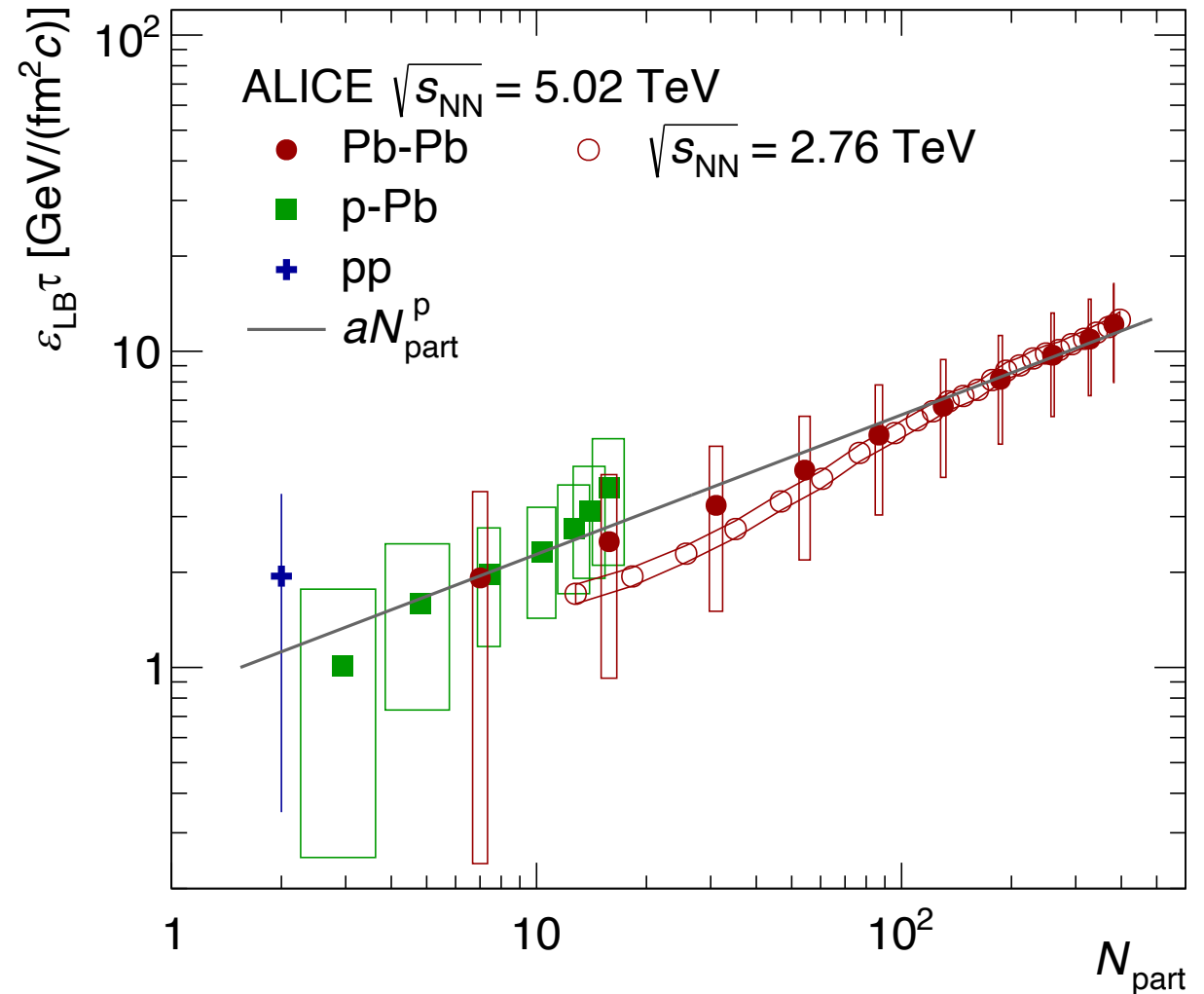
$$\varepsilon_{\text{LB}}\tau = \frac{1}{S_{\text{T}}} \frac{1}{f_{\text{total}}} \sqrt{1+a^2} \langle m \rangle \frac{dN_{\text{ch}}}{dy}$$

S_{T} = Transverse area from Glauber model
 $f_{\text{total}} = 0.55 \pm 0.01$ = fraction of charged particles
 $\sqrt{(1+a^2)} \langle m \rangle$ = effective transverse mass

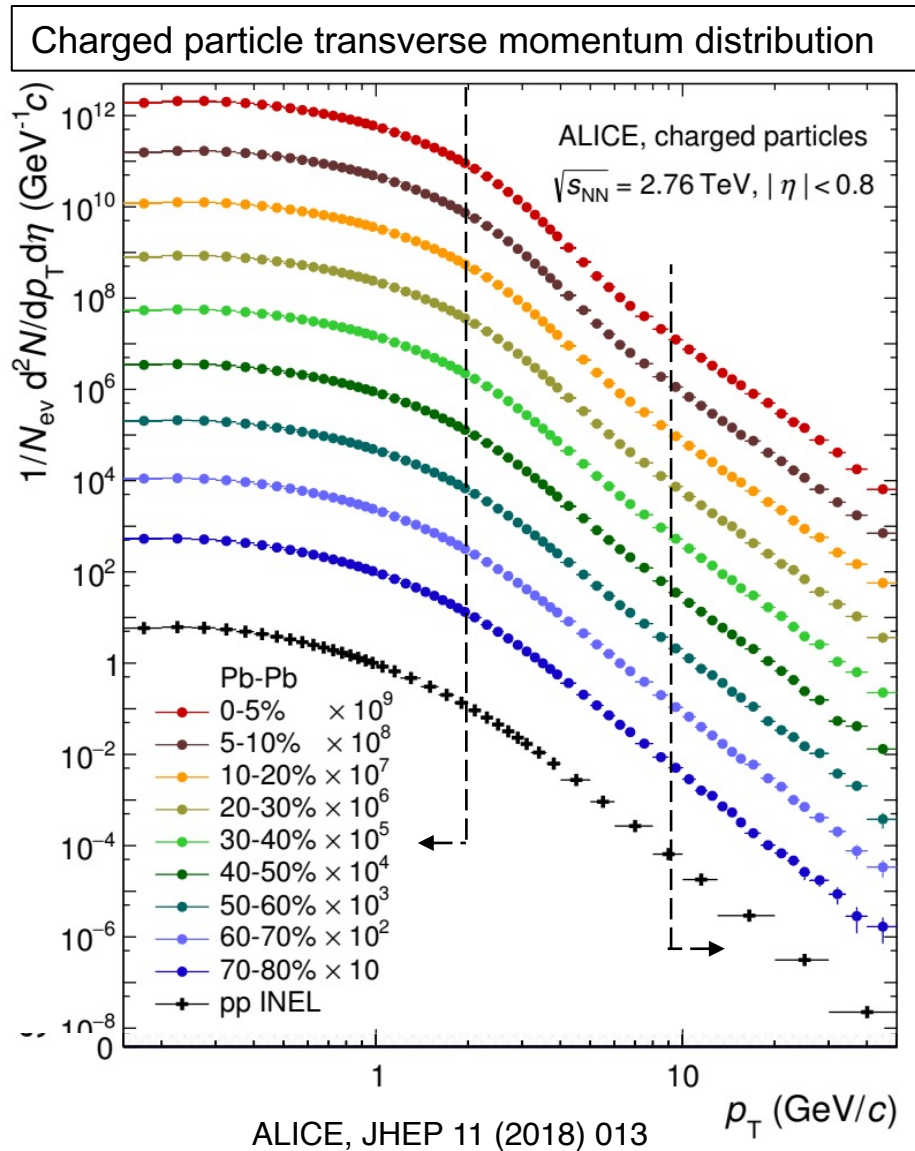
$$\varepsilon_{\text{LB}}\tau_0 \text{ (0-5\%)} = (12.5 \pm 1.0) \text{ GeV/fm}^2/c$$

For $\tau_0 \sim 1 \text{ fm}/c$, $\sim 20\times$ the energy density of a hadron,
 $\sim 70\times$ the density of atomic nuclei

**Well above the critical energy density
 for the phase transition to occur!**



Key observable: particle “spectrum”

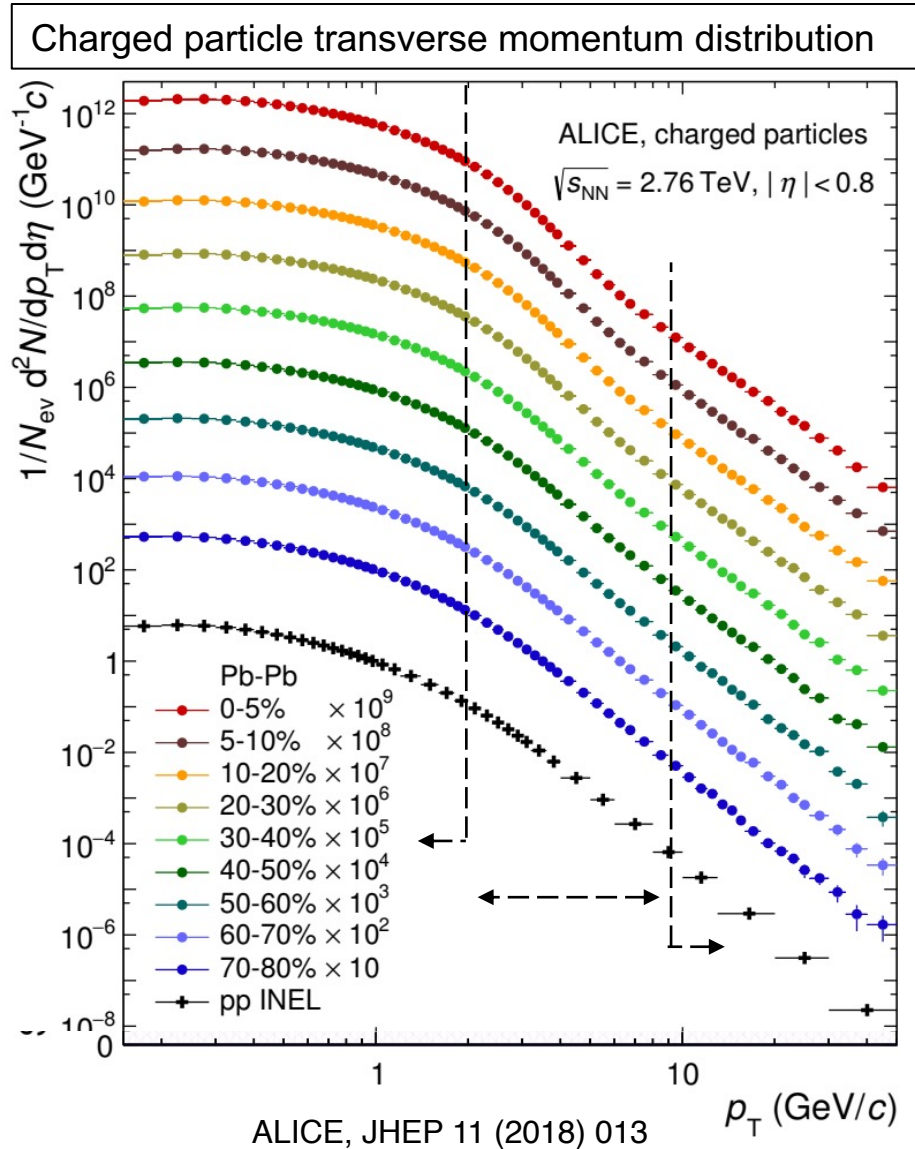


Particle “**spectra**”: $d^2N/(dy dp_T) =$ yield per event per (pseudo)rapidity unit per transverse momentum unit

~95% of the produced charged particles are “soft”, i.e. have $p_T < 2 \text{ GeV}/c$.

Low/high- p_T ends of the spectra are due to different hadron formation mechanisms

Features of particle spectra



Low p_T ($< 2 \text{ GeV}/c$)

- Particle spectra are described by a Boltzmann distribution \rightarrow “**thermal**”, $\sim \exp(-1/k_B T)$
- “Bulk” dominated by light flavor particles produced by hadronization of the **QGP**
- **Non-perturbative** QCD regime

Mid p_T (2 to 8 GeV/c)

- **Interplay** of parton fragmentation and recombination of partons from QGP

High p_T ($> 8-10 \text{ GeV}/c$)

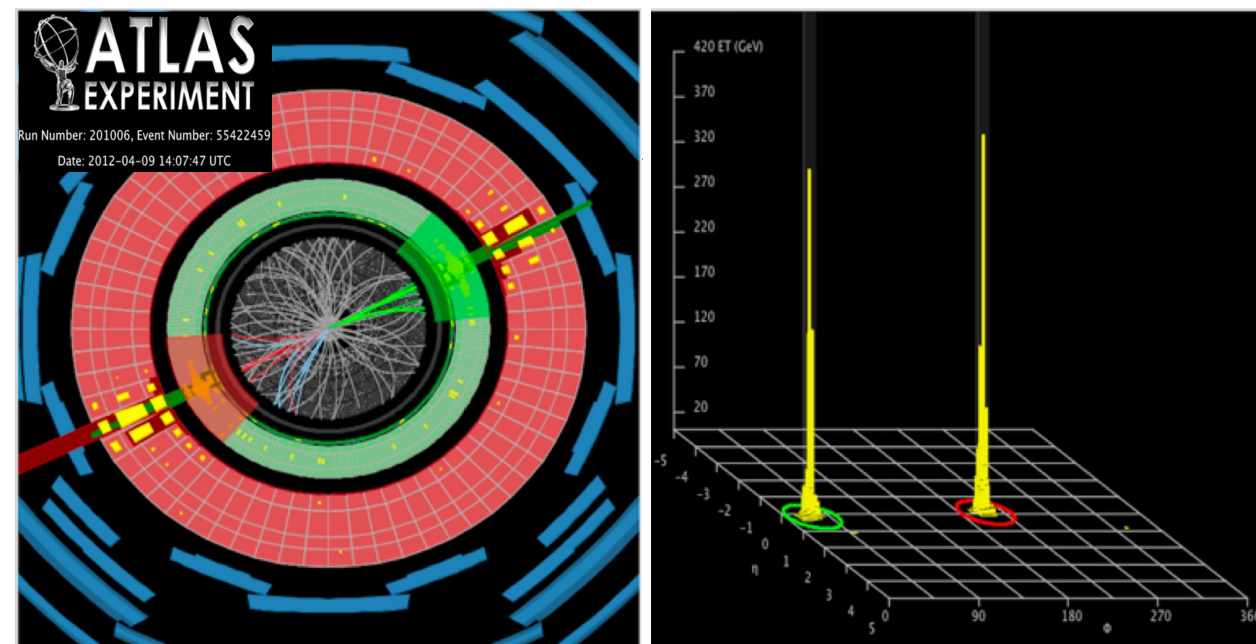
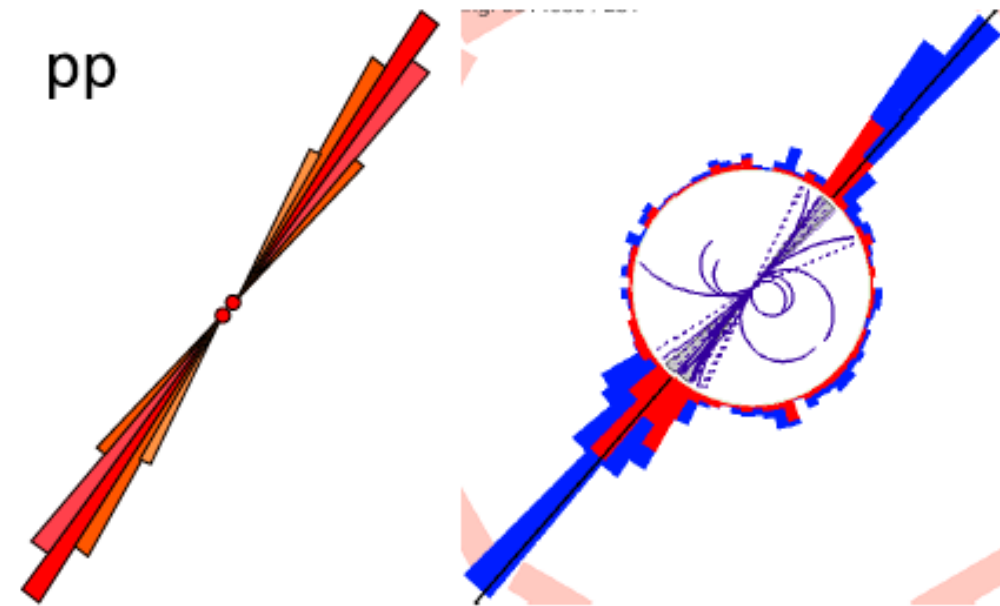
- Particle spectra described by a power law
- Dominated by **parton fragmentation** (jets!)
- **Perturbative** QCD regime

How does the presence of a colored QGP affect particle production?

Jets

In the early stages of the collision, hard scatterings produce back-to-back recoiling partons, which fragment into collimated “sprays” of hadrons.

→ **in-vacuum fragmentation**



ATLAS, pp collision event display

Jets through a colored medium

In the early stages of the collision, hard scatterings produce back-to-back recoiling partons, which fragment into collimated “sprays” of hadrons.

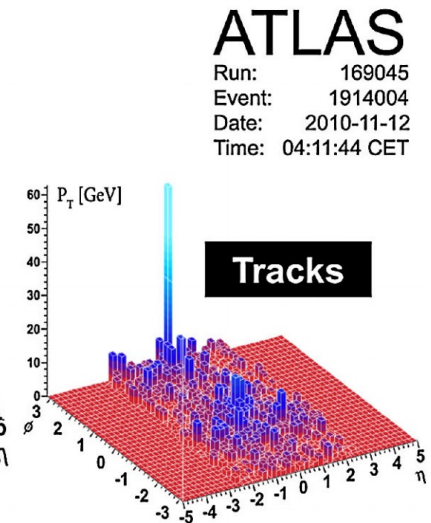
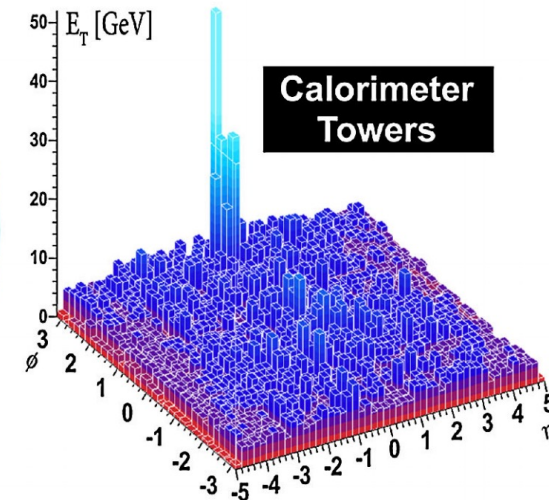
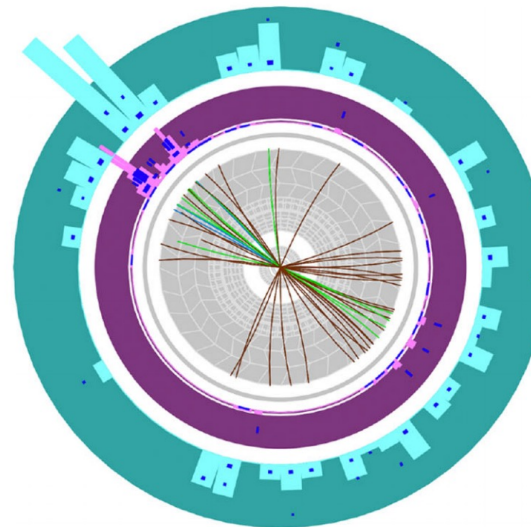
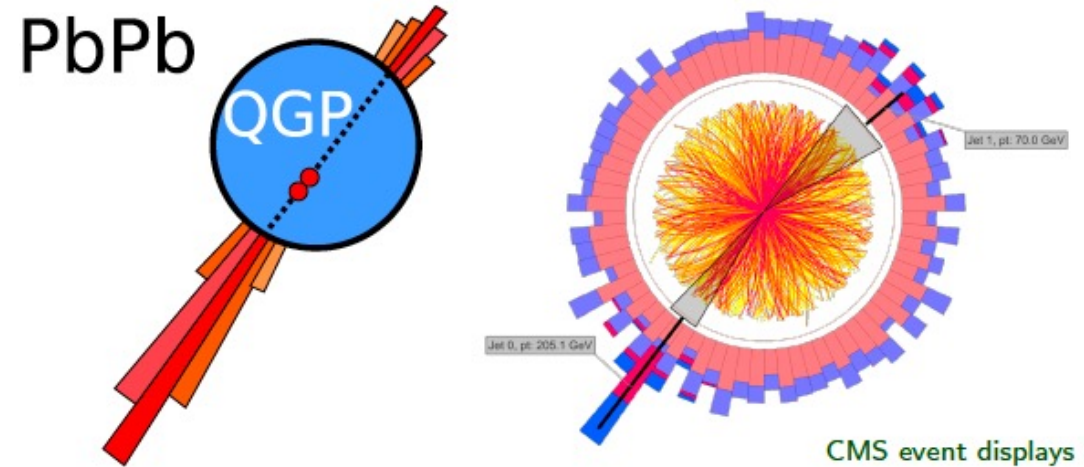
→ **in-vacuum fragmentation**

When a QGP is formed, the **colored partons** traverse and **interact with a colored medium**.

→ **in-medium fragmentation**

→ **jet “quenching” (energy loss)**

Goal: understand the nature of this energy loss to characterize the strongly-interacting QGP



The nuclear modification factor, R_{AA}

$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA} / dp_T}{dN_{pp} / dp_T}$$

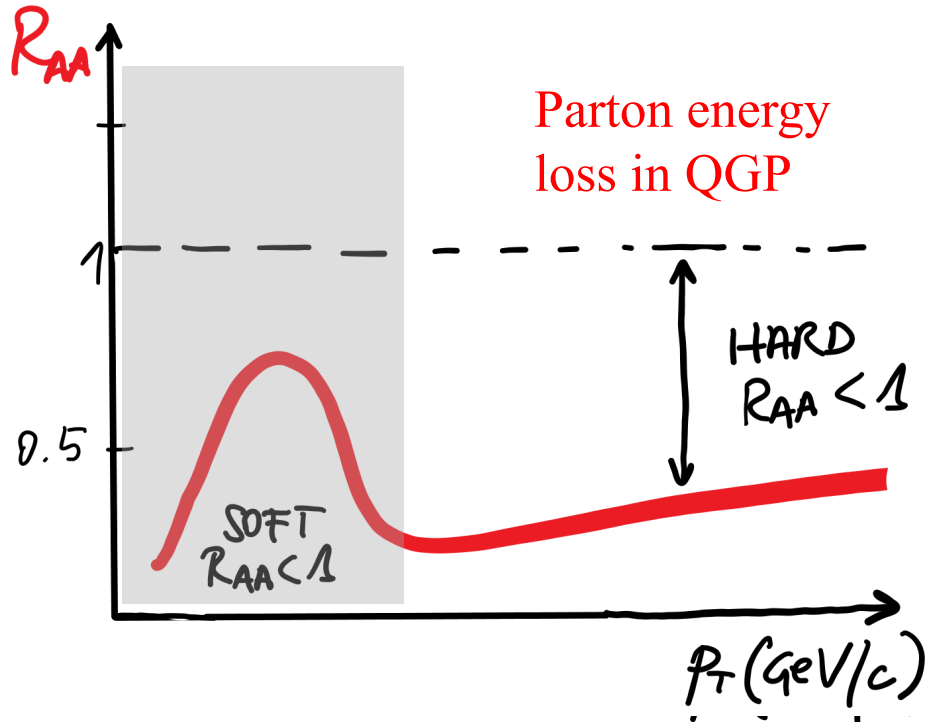
If a AA collision is a incoherent **superposition of independent pp collisions**:

$$dN_{AA} / dp_T = N_{coll} \times dN_{pp} / dp_T$$

and $R_{AA} = 1$ at high p_T

→ the medium is transparent to the passage of partons

Note: at low p_T , soft, non perturbative regime → R_{AA} not a good observable



If $R_{AA} < 1$ at high p_T

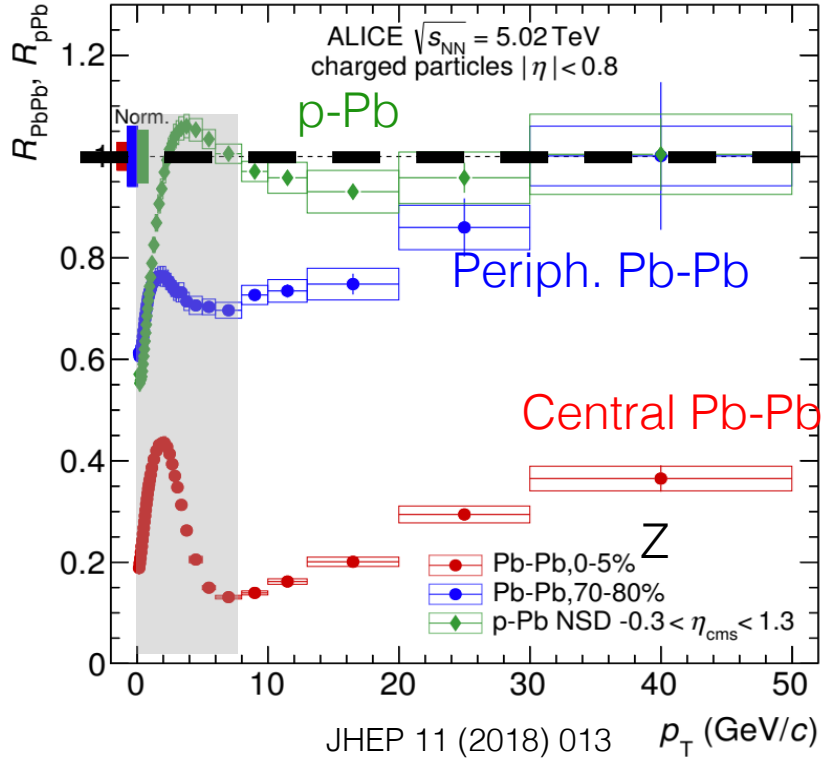
→ the medium is opaque to the passage of partons

→ **parton-medium final state interactions, energy loss, modification of fragmentation in the medium**

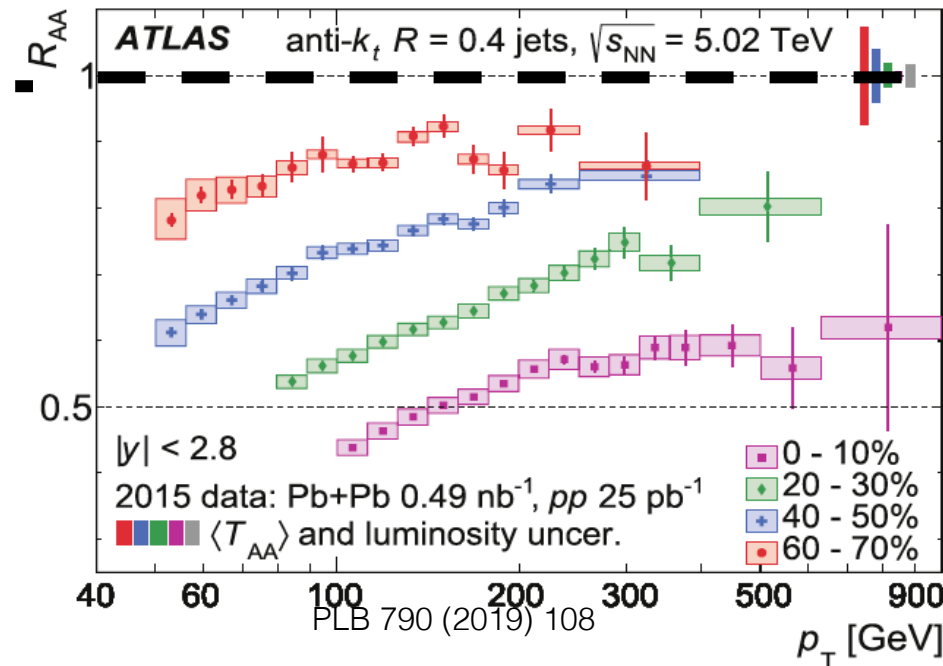
Evidence of parton energy loss in QGP

$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA} / dp_T}{dN_{pp} / dp_T}$$

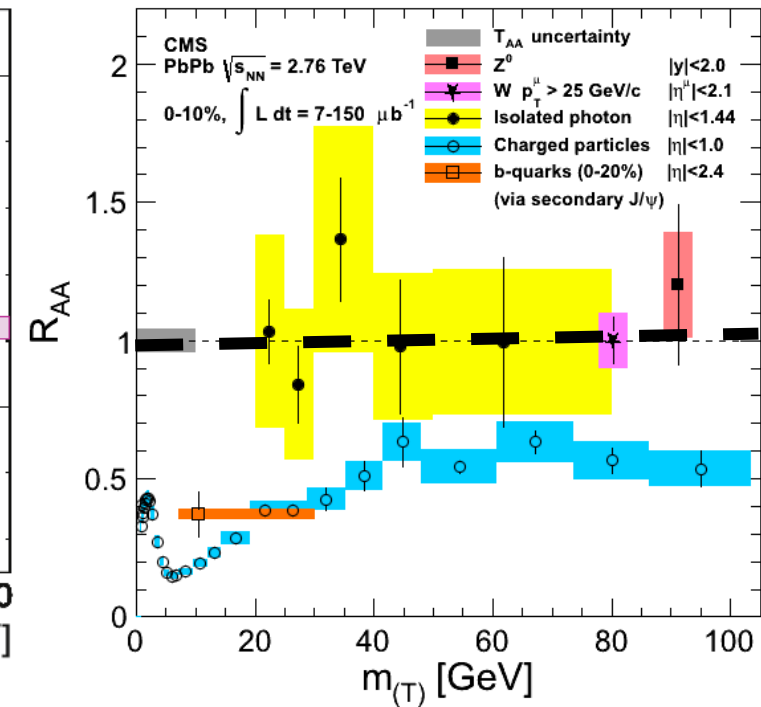
Charged particles



Inclusive jets



EW bosons



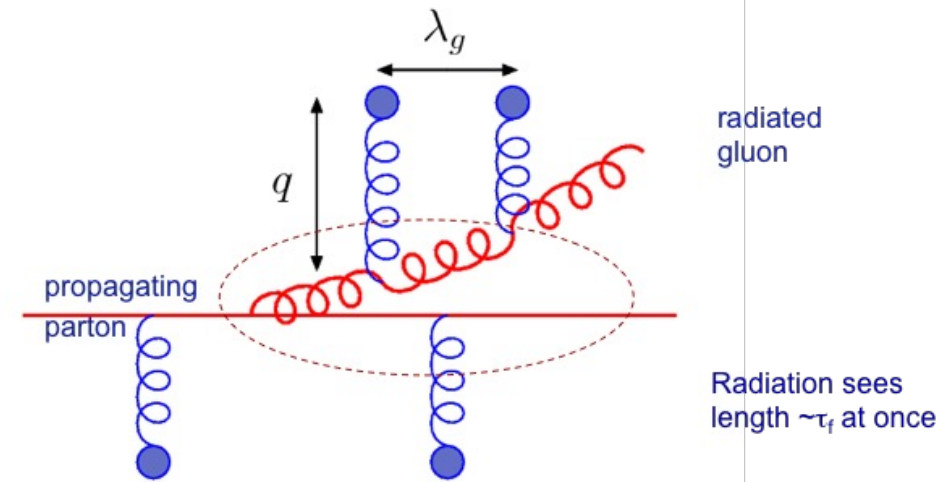
A strong suppression of high- p_T hadrons and jets is observed in central Pb-Pb collisions. No suppression observed in p-Pb collisions, nor for the color-less Z bosons and photons.

→ Jet quenching is explained as **parton energy loss in a strongly interacting plasma**

Radiative energy loss

In the BDMPS (*Baier-Dokshitzer-Mueller-Peigné-Schiff*) approach, the energy loss depends on

- the **color-charge** via the Casimir factors C_r
 - $C_r = C_A = 3$ for g interactions
 - $C_r = C_F = 4/3$ for q,qbar interactions
- the **strong coupling**
- the **path length** L
- the **transport coefficient** \hat{q} (“q-hat”)
 - gives an **estimate of the “strength” of the jet quenching**
 - is not directly measurable → from data through model(s)



$$\frac{dE}{dx} = -C_r \alpha_s \hat{q} L$$

$$\hat{q} = \frac{\mu^2}{\lambda}$$

Average transverse momentum transfer

Mean free path

$$\lambda \propto \frac{1}{\rho}$$

Density

How much energy is lost?

From the BDMPS formula :

$$\langle \Delta E \rangle = \frac{1}{4} \alpha_s C_R \hat{q} L^2 \xrightarrow{\text{Dimensional analysis}} \langle \Delta E \rangle = \frac{\alpha_s C_R \hat{q} L^2}{4\hbar c}$$

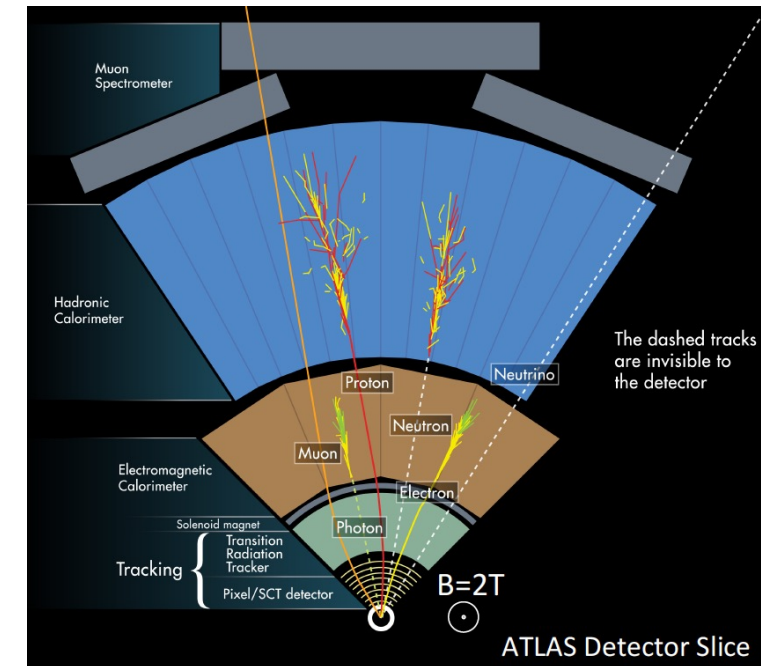
If we take

- $\hat{q} \sim 5 \text{ GeV}^2/\text{fm}$
- $\alpha_s = 0.2$, strong coupling for $Q^2 = 10 \text{ GeV}$
- $C_R = 4/3$
- $L = 7.5 \text{ fm}$

we obtain $\langle \Delta E \rangle \sim 95 \text{ GeV}$

Only partons with $E \gtrsim 105 \text{ GeV}$ can traverse a 7.5 fm radius fireball and exit with $p_T \gtrsim 10 \text{ GeV}/c$

In other words, it takes a $\sim 7.5 \text{ fm}$ radius QGP droplet to stop a jet of $\sim 100 \text{ GeV}$ (or $\sim 1.5 \text{ m}$ of hadronic calorimeter!)



Jet transport coefficient \hat{q}

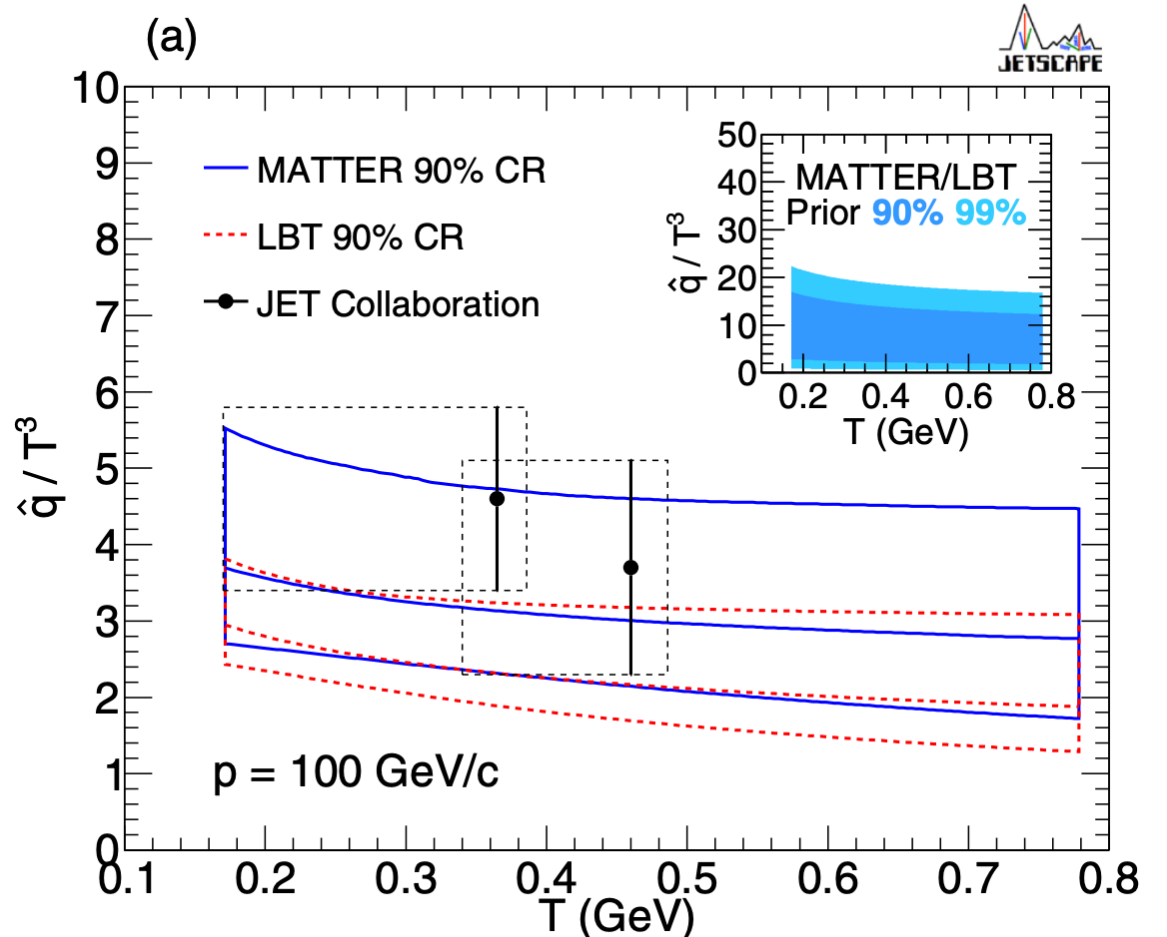
A recent combined analysis of the RHIC and the LHC data on jet quenching (inclusive hadron R_{AA}) allowed to extract a value for the \hat{q} parameter

$$\frac{\hat{q}}{T^3} \approx \begin{cases} 4.6 \pm 1.2 & \text{at RHIC,} \\ 3.7 \pm 1.4 & \text{at LHC,} \end{cases}$$

For a quark jet with $E = 10$ GeV

$$\hat{q} \approx \begin{cases} 1.2 \pm 0.3 \\ 1.9 \pm 0.7 \end{cases} \text{ GeV}^2/\text{fm} \text{ at } \begin{cases} T=370 \text{ MeV} \\ T=470 \text{ MeV} \end{cases}$$

→ Still large uncertainties, but important **step towards a quantitative characterisation** of the QGP.



S. Cao et al., PRC 104, 024905 (2021)

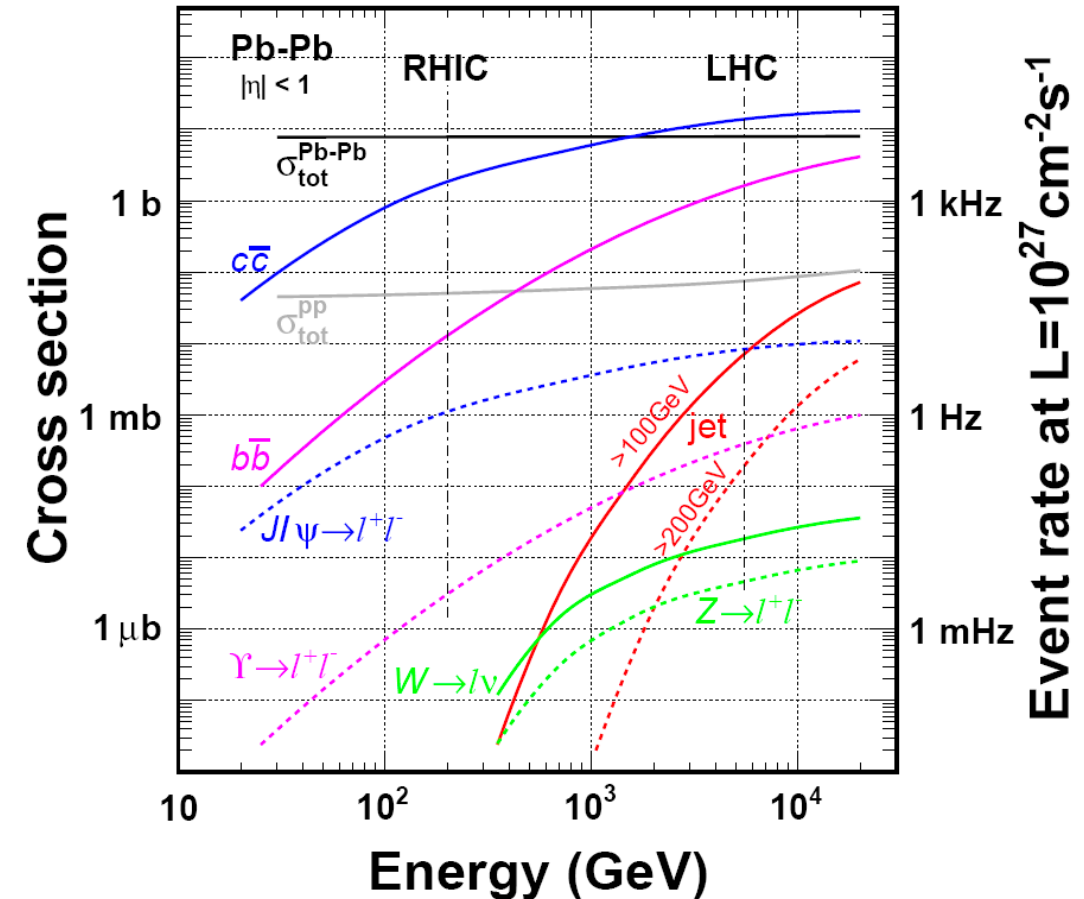
Heavy-flavour quarks and hadrons as probes

Ideal probes of the QGP:

- **large production cross sections**
- produced in **initial hard** parton scatterings
- **Calibrated probes** (p-QCD)
- subject to various processes in a QGP

$$m(\text{charm}) \sim 1.3 \text{ GeV}/c^2$$

$$m(\text{beauty}) \sim 4.7 \text{ GeV}/c^2$$



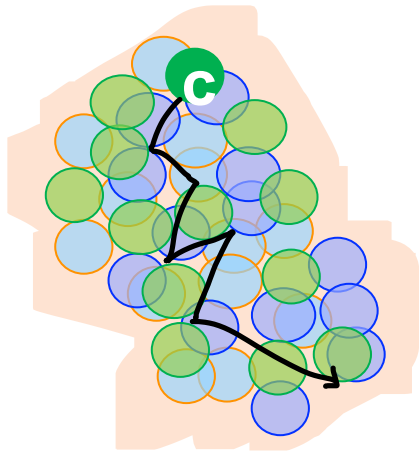
Heavy-flavour quarks and hadrons as probes

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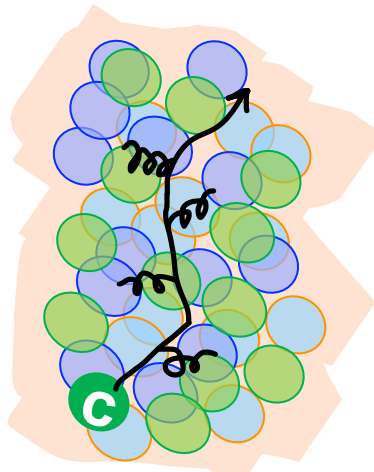
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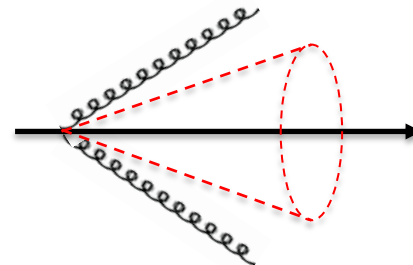
Diffusion: brownian motion at low p_T



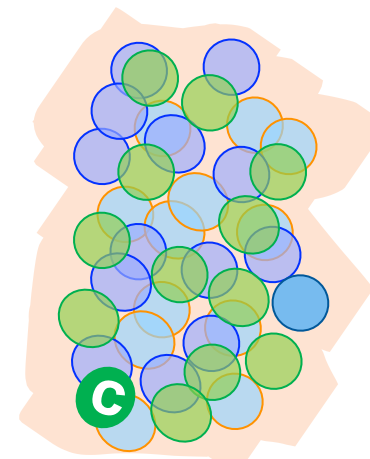
Parton energy loss, radiative + collisional



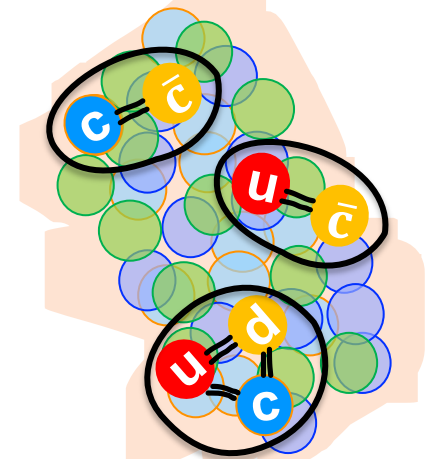
Dead cone effect
Suppression of radiative energy loss



q-qbar suppression
medium-induced dissociation



Recombination
Regeneration or coalescence



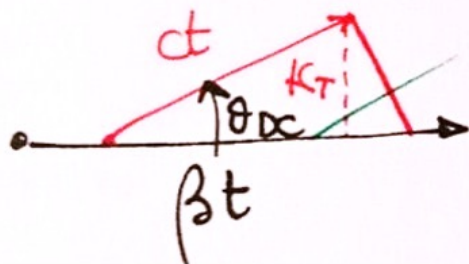
Energy loss of charm and beauty

- by **gluon radiation** (ref. BDMPS formula)
- by **elastic collisions**
- **dead cone effect** = **suppression of the gluon radiation** emitted by a (slow) heavy quark **at small angles**, $\vartheta < \vartheta_{DC} \sim m_q/E_q$
 → observed for the first time in pp collisions by ALICE: *Nature* 605 (2022) 7910, 440-446

→ **hierarchy** in energy loss: $\Delta E_g > \Delta E_c > \Delta E_b$

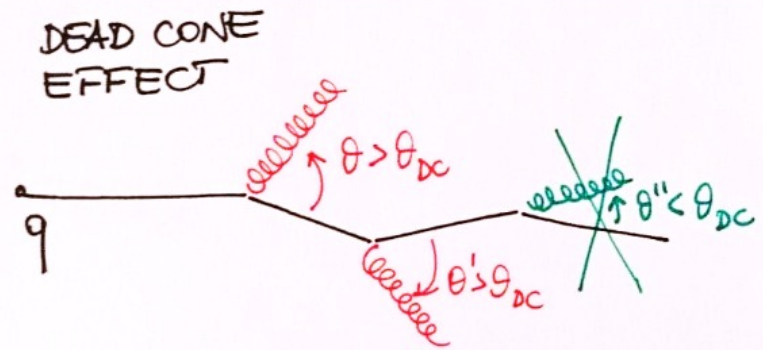
→ radiative energy loss reduced by 25% for charm and 75% for beauty [for $\mu = 1 \text{ GeV}/c^2$]

Dead cone effect



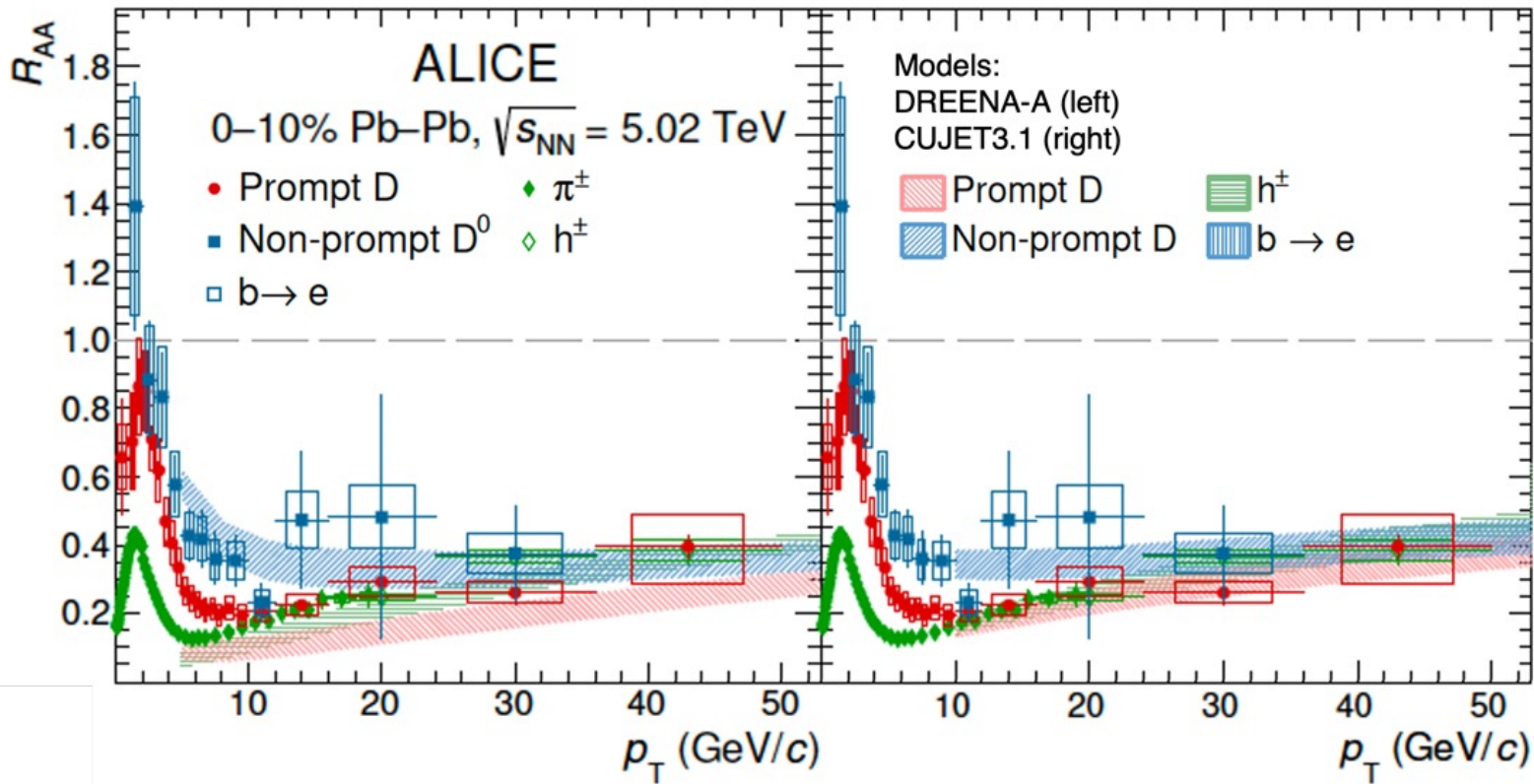
Dokshitzer, Khoze, Troyan, *JPG* 17 (1991) 1602;
 Dokshitzer and Kharzeev, *PLB* 519 (2001) 199

$$\sin \theta_{DC} = 1 - \beta^2 = \left(\frac{M}{E} \right)^2$$



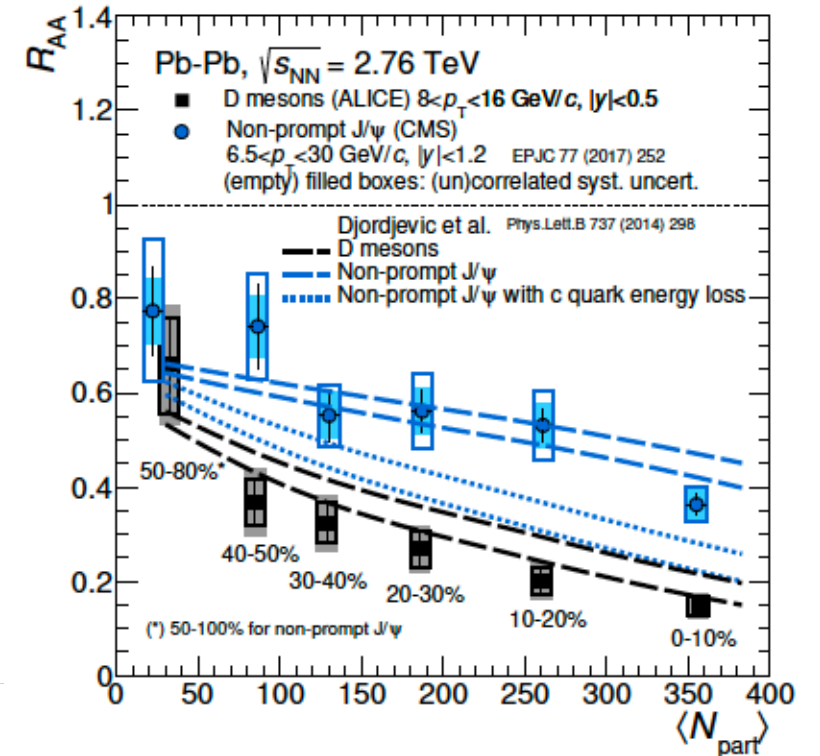
R_{AA} of heavy flavours

- Strong suppression observed for charm and beauty via open charm mesons and leptons from c and b decay.
- Similar suppression for D and pions
- Less suppression for J/ψ from beauty than for D mesons $\rightarrow \Delta E_c > \Delta E_b$



Prompt: from c quark

Non-prompt: from beauty decay \rightarrow proxy for b quark



Summary

Evidence of the creation of a strongly-interacting medium in central heavy ion collisions comes from the observed strong suppression of particle production, explained by the energy loss of colored partons in the colored QGP.

- Radiative energy loss dominates at high p_T for light flavours, gluons and charm
- Collisional and radiative energy loss, dead cone effect play a role for beauty

A quantitative characterization of the properties of the medium (e.g. transport coefficient, ...) requires models.

