

LATTICE QCD AT NON-ZERO BARYON DENSITY



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Outline

- 1) QCD in the grand canonical ensemble and the sign problem
- 2) The phase diagram
- 3) The search for Ising criticality at $\mu_B > 0$ and fluctuations
- 4) The equation of state of a hot-and-dense QGP and O(4) criticality
- 5) Summary and outlook

Why should heavy ion physicists care?

FULLY NON-PERTURBATIVE RESULTS IN FULL QCD ARE VALUABLE



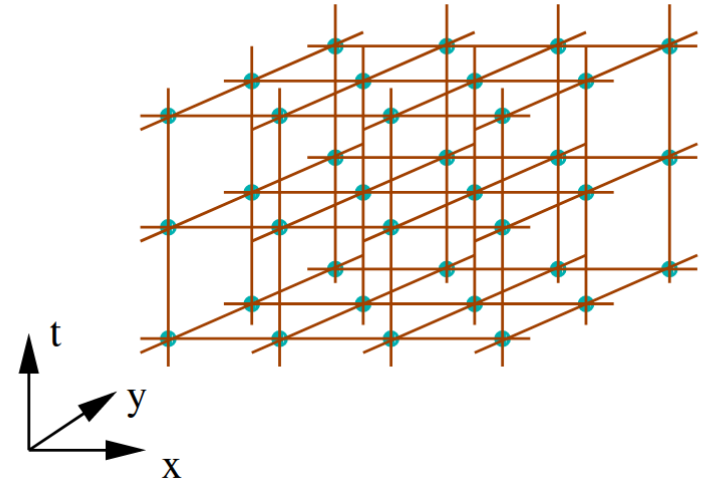
The lattice formulation of QCD

Finite space-time lattice: $N_s^3 N_t$

Equilibrium physics: $T = \frac{1}{N_t a}$

1. Continuum limit:

For fixed temperature $a \rightarrow 0 \Leftrightarrow N_t \rightarrow \infty$
(Fixed N_t : Lower $T \Rightarrow$ Larger a (coarser))



2. Thermodynamic limit:

Size is often measured in units of $1/T$

Aspect ratio: $LT = N_s/N_t$

Infinite volume limit: $LT \rightarrow \infty$

QCD in a small box is physics, a coarse lattice in a large box is not!

QCD in the grand canonical ensemble

$$\hat{p} := \frac{p}{T^4} = \frac{1}{(LT)^3} \log \text{Tr}(e^{-(H-\mu_B B-\mu_S S)/T}) \quad (\text{dimensionless pressure})$$

$$\chi_{ij}^{BS} = \frac{\partial^{i+j} \hat{p}}{\partial \hat{\mu}_B^i \partial \hat{\mu}_S^j} \quad \left(\hat{\mu}_{B/S} := \frac{\mu_{B/S}}{T} \right) \quad (\text{generalized susceptibilities})$$

DERIVATIVES \Leftrightarrow FLUCTUATIONS/CORRELATIONS:

$$\chi_1^B \propto \langle B \rangle \propto n_B; \quad \chi_2^B \propto \langle B^2 \rangle - \langle B \rangle^2; \quad \chi_{11}^{BS} \propto \langle BS \rangle - \langle B \rangle \langle S \rangle$$

The QCD path integral

$$Z = \int DA_\mu D\bar{\psi} D\psi e^{-S_{YM} - \bar{\psi} M(A_\mu, m, \mu) \psi} = \int DA_\mu \det M(A_\mu, m, \mu) e^{-S_{YM}}$$

Can be simulated with Monte Carlo if $\det M e^{-S_{YM}}$ is real and positive:

- zero chemical potential $\mu = 0$
- purely imaginary chemical potential $\text{Re}(\mu) = 0$
- isospin chemical potential $\mu_u = -\mu_d$

Otherwise: complex action/sign problem

⇒ desperate times, desperate measures

Lattice QCD at nonzero baryon density

Analytic continuation (ver. 1): Imaginary chemical potential method

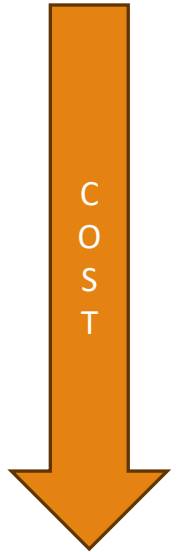
Calculate $\langle O \rangle$ at $\text{Im}\mu_B$ ($\mu_B^2 < 0$), extrapolate to $\mu_B^2 > 0$

Analytic continuation (ver. 2): Taylor method

Calculate $\frac{\partial^n}{\partial \mu_B^n} \langle O \rangle$ at $\mu_B = 0$, extrapolate

Rewighting:

Simulate a different theory, correct the Boltzmann weight in observable



While cut-off and volume effects are important for every lattice result, for $\mu_B > 0$ the way we extrapolate is also an important point of quality control



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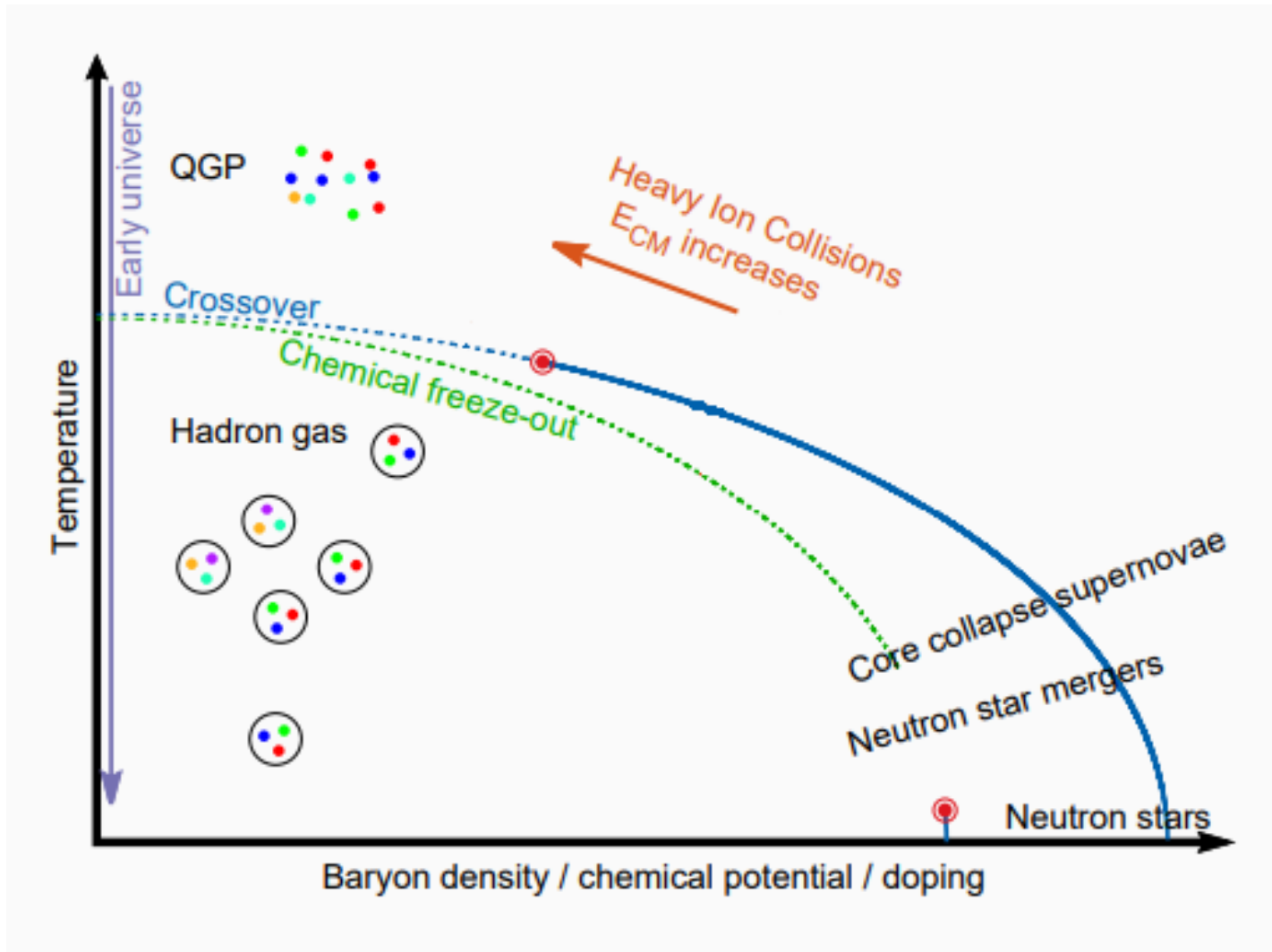
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4) The equation of state of a hot-and-dense QGP and O(4) criticality

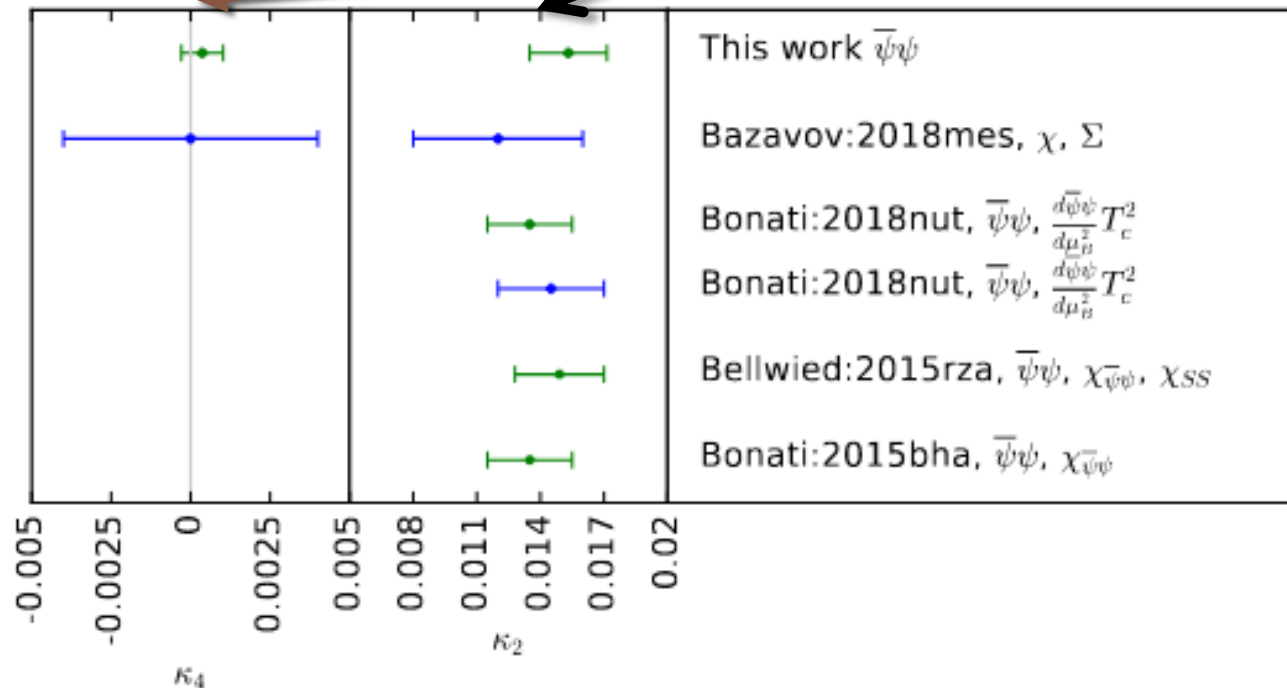
5) Summary and outlook

The conjectured phase diagram



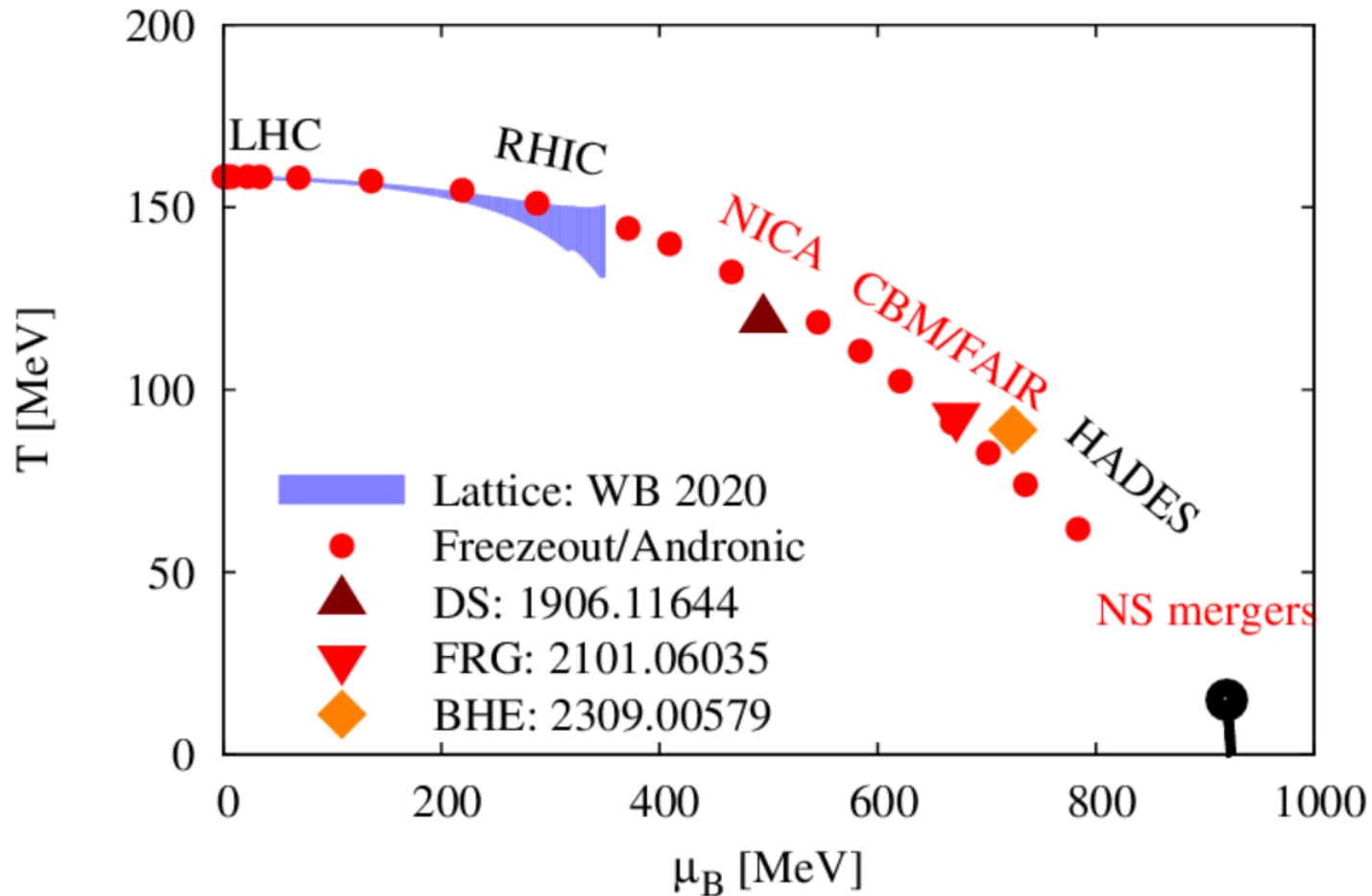
The actual phase diagram at small μ_B

The crossover line: $T_c(\mu_B) = T_c(0) \left(1 - \kappa_2 \left(\frac{\mu_B}{T_c(\mu_B)} \right)^2 - \kappa_4 \left(\frac{\mu_B}{T_c(\mu_B)} \right)^4 - \dots \right)$



[\[Wuppertal-Budapest, PRL125 \(2020\)\]](#): continuum, $n_S = 0$, $LT = 4$
 $\mu_B > 0$ quantities with very good quality control!

The crossover line vs chemical freeze-out (CFO)



Small μ_B : $T(\text{crossover}) \approx T(\text{freeze-out})$
[\[P. Braun-Munzinger et al, PLB \(2004\)\]](#)

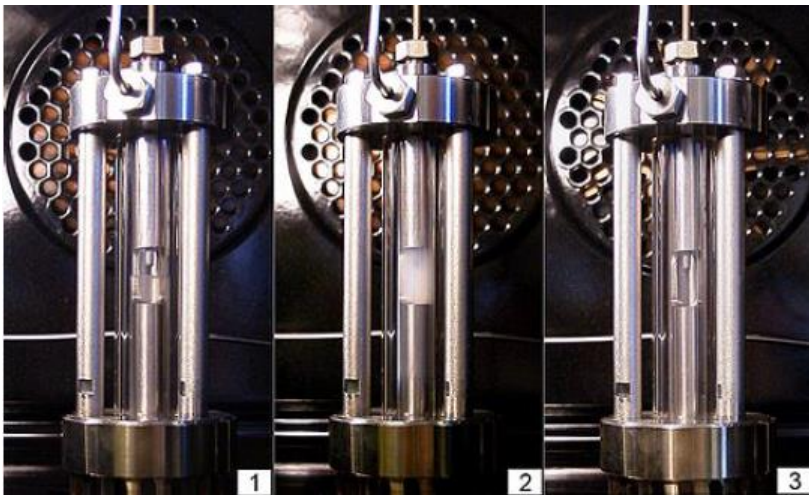
Large μ_B : $T(\text{crossover}) > T(\text{freeze-out})$
[\[Floerchinger, Wetterich, NPA \(2012\)\]](#)

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One way: fluctuations

Experiment: tune to criticality



$T < T_c$

$T \approx T_c$

$T > T_c$

HEAT THE SYSTEM

Picture from [Wikipedia](#)

Lattice/Taylor: try to see it from far away

$$\chi_n^B = \left(\frac{\partial^n \hat{p}}{\partial \hat{\mu}_B^n} \right)_{\mu_B=0}$$

To as large n as possible...

To hopefully see a divergence...

2nd order known since 2012

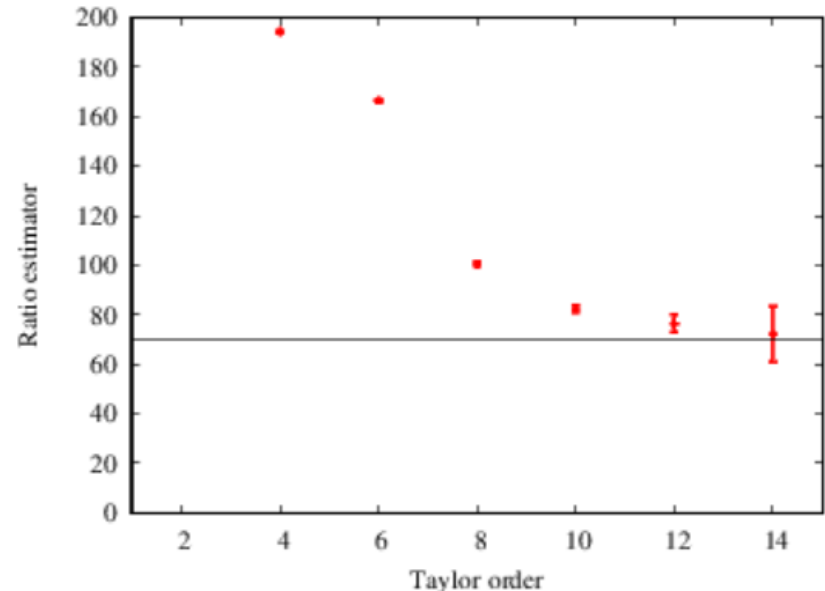
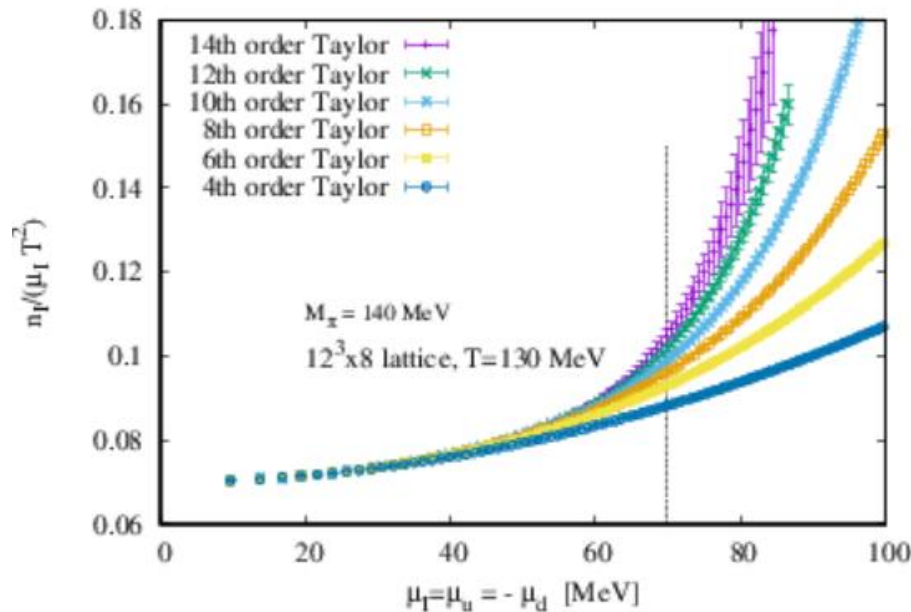
4th order known since 2015

6th order: now

Is this even possible?

A case study: pion condensation [\[Wuppertal-Budapest, 2308.06105\]](#)

- Instead of μ_B , introduce μ_I (prefers π^+ over π^-)
- Second order transition at low T and $\mu_I \approx m_\pi/2 \approx 70\text{MeV}$ [\[Son, Stephanov, PRL \(2001\)\]](#) [\[Brandt, Endrődi, PRD \(2001\)\]](#)



Eventually finds the correct value. 6th order gives $170\text{MeV} \gg 70\text{MeV}$

No high orders in μ_B : analysis of the radius of convergence from Taylor data is premature

Warning: the ratio estimator is not always applicable [\[Giordano & Pásztor, PRD99\(2019\)\]](#)

The HRG as a non-critical baseline

Hadron resonance gas (HRG) model

$$p_{QCD} \approx \sum_H p_H^{free}$$

- sum over stable hadrons and resonances
- heavy ion phenomenology uses the HRG as a non-critical baseline
(non-trivial: see, e.g., [\[Braun-Munzinger et al, NPA1008\(2021\)\]](#))
- in lattice QCD: can use grand canonical ensemble
- minimum goal: establish deviations from HRG (with good quality control!)

WHAT ARE THE CORRECTIONS TO THE HRG? ARE THEY LARGE?

Corrections to the HRG

$$\hat{p}(T, \mu_B, \mu_S) = P_{00}^{BS}(T) + \dots + P_{10}^{BS}(T) \cosh(\hat{\mu}_B) + P_{11}^{BS}(T) \cosh(\hat{\mu}_B - \hat{\mu}_S) + \dots + P_{20}^{BS}(T) \cosh(2\hat{\mu}_B) + \dots$$

$$\chi_1^B(T, \mu_B, \mu_S) = P_{10}^{BS}(T) \sinh(\hat{\mu}_B) + P_{11}^{BS}(T) \sinh(\hat{\mu}_B - \hat{\mu}_S) + \dots + 2P_{20}^{BS}(T) \sinh(2\hat{\mu}_B) + \dots$$

$$\chi_2^B(T, \mu_B, \mu_S) = P_{10}^{BS}(T) \cosh(\hat{\mu}_B) + P_{11}^{BS}(T) \cosh(\hat{\mu}_B - \hat{\mu}_S) + \dots + 4P_{20}^{BS}(T) \cosh(2\hat{\mu}_B) + \dots$$



Higher order \Rightarrow larger beyond HRG corr.

S-matrix formalism

[\[Dashen et al, PR187 \(1969\)\]](#)

Repulsive interactions \Rightarrow negative sector

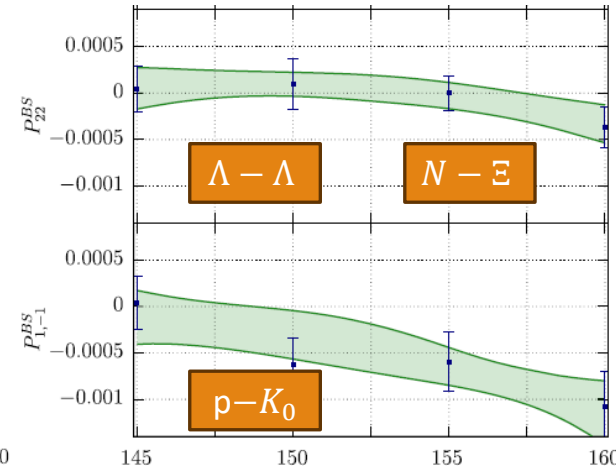
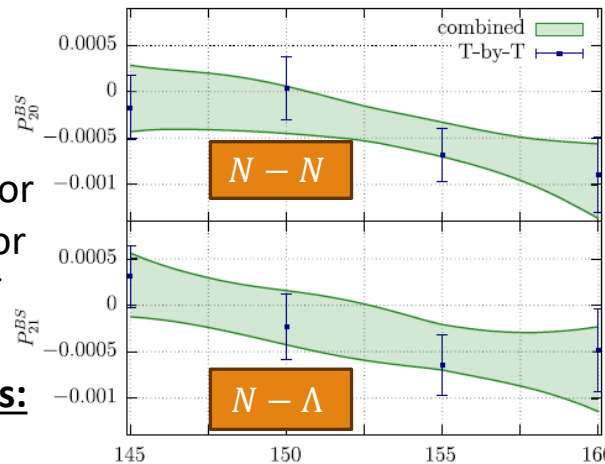
Attractive interactions \Rightarrow positive sector

$B=2,3$ etc. are *exp. suppressed at low T*

Lattice data vs HRG + repulsion models:

[\[Huovinen, Petreczky PLB777 \(2017\)\]](#)

[\[Vovchenko, Pásztor et al, PLB 775 \(2017\)\]](#)

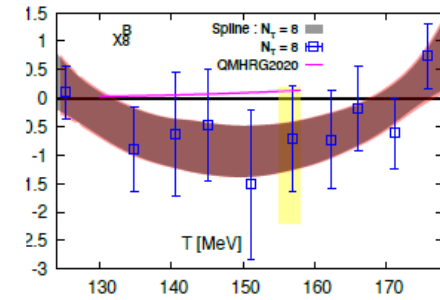
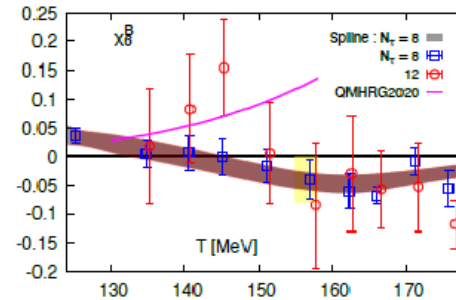
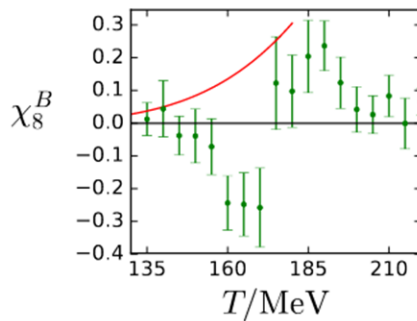
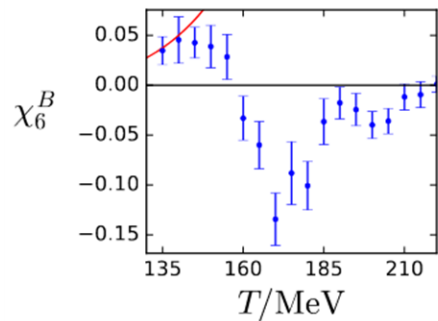
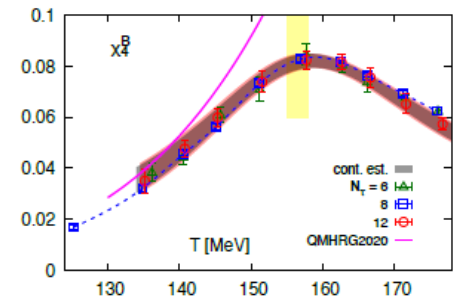
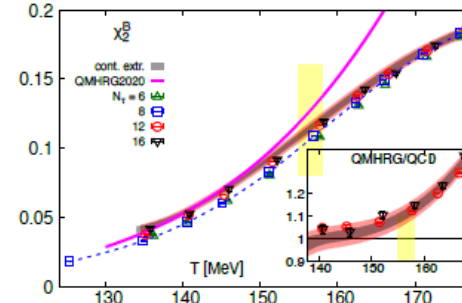
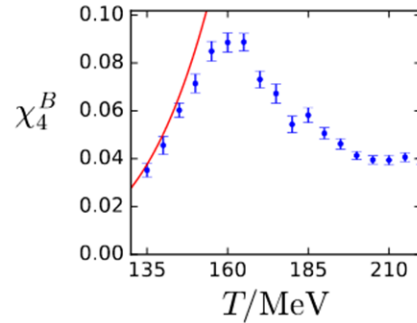
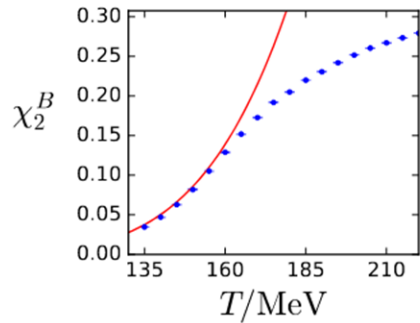


[\[Wuppertal-Budapest, PRD104\(2021\)\]](#)

Taylor coefficients of the pressure

From imaginary chemical potential

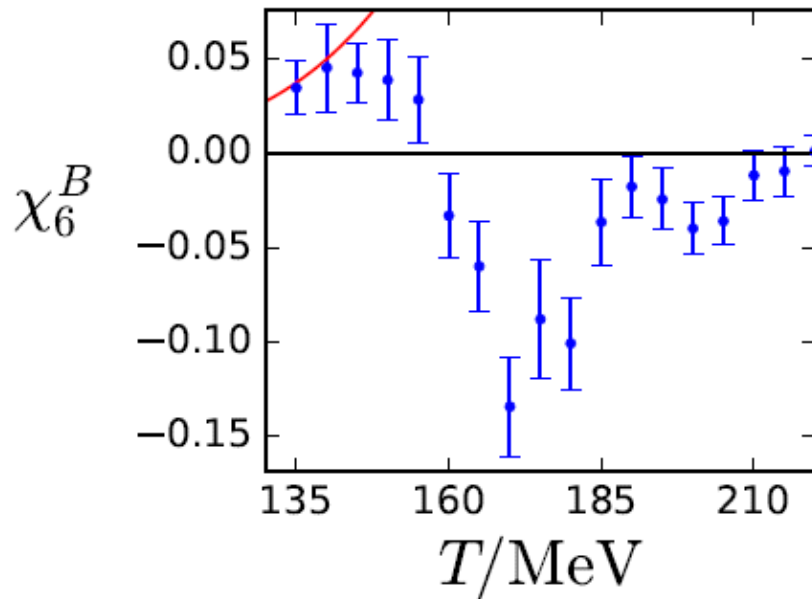
From zero chemical potential



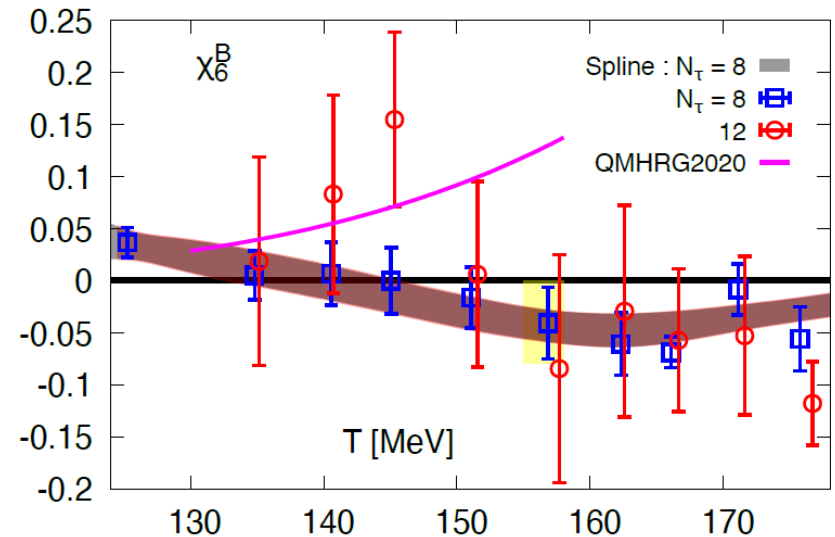
[\[Wuppertal-Budapest, JHEP \(2018\)\]](#) (LT=4, $N_t=12$) [\[HotQCD, PRD105 \(2022\)\]](#) (LT=4, $N_t=6,8,(12)$)

6th order: zoom in to see discrepancies

From imaginary chemical potential



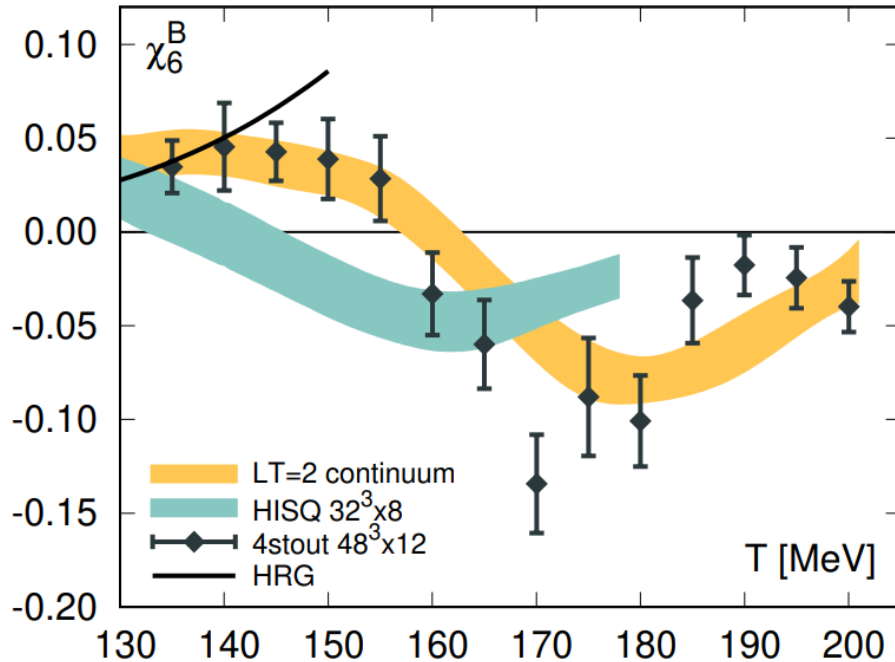
From zero chemical potential (Taylor)



[\[Wuppertal-Budapest, JHEP \(2018\)\]](#) (LT=4, $N_t=12$) [\[HotQCD, PRD105 \(2022\)\]](#) (LT=4, $N_t=8,(12)$)

- $N_t=12$ (left, WB) agrees with the HRG (value, slope) better than $N_t=8$ (right, HotQCD) at low T
- At $T=145-155\text{MeV}$: $N_t=12 > 0$ and $N_t=8 < 0$

6th order order: new dataset



New dataset:

Taylor, LT=2, continuum (new discretization)

Lower T: cut-off effects dominate

Smaller T means larger a for fixed N_t

5 points at least 1σ below: $\left(\frac{1-0.68}{2}\right)^5 \approx 10^{-4}$

Higher T: finite volume effects dominate

T_c depends on L

$T > 145 \text{ MeV}$: HRG \neq LATTICE

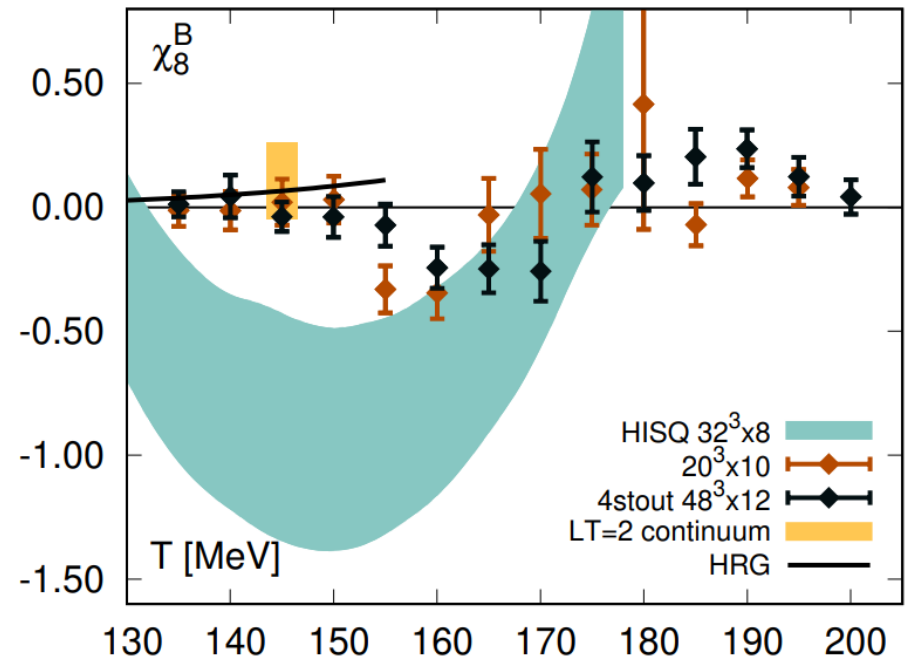
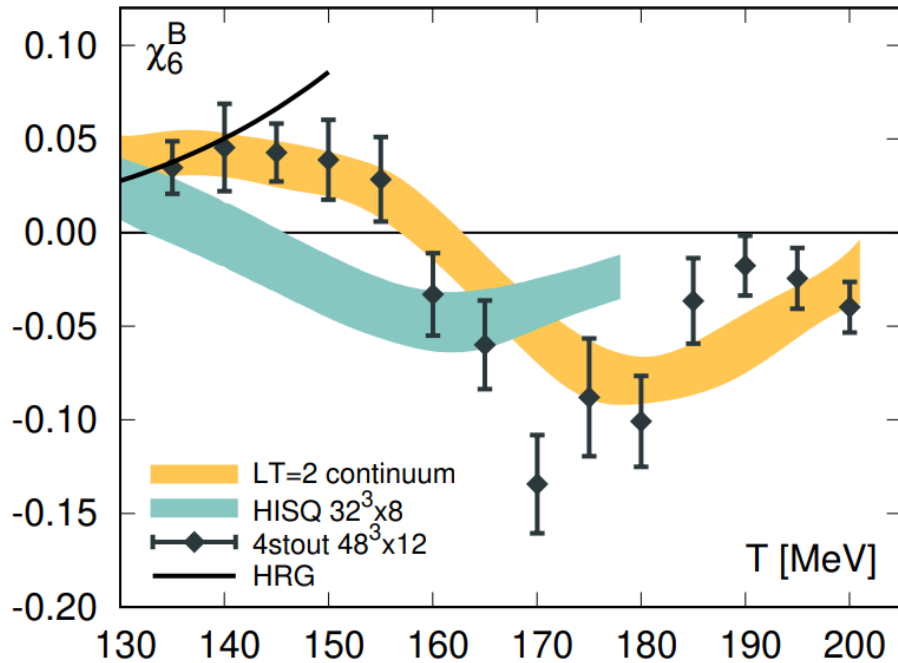
$T < 145 \text{ MeV}$: HRG = LATTICE

(within errors)

No sign of a CEP in the Taylor coefficients up to 6th order

[D. Pesznyák, Tuesday]

6th and 8th order order: new dataset



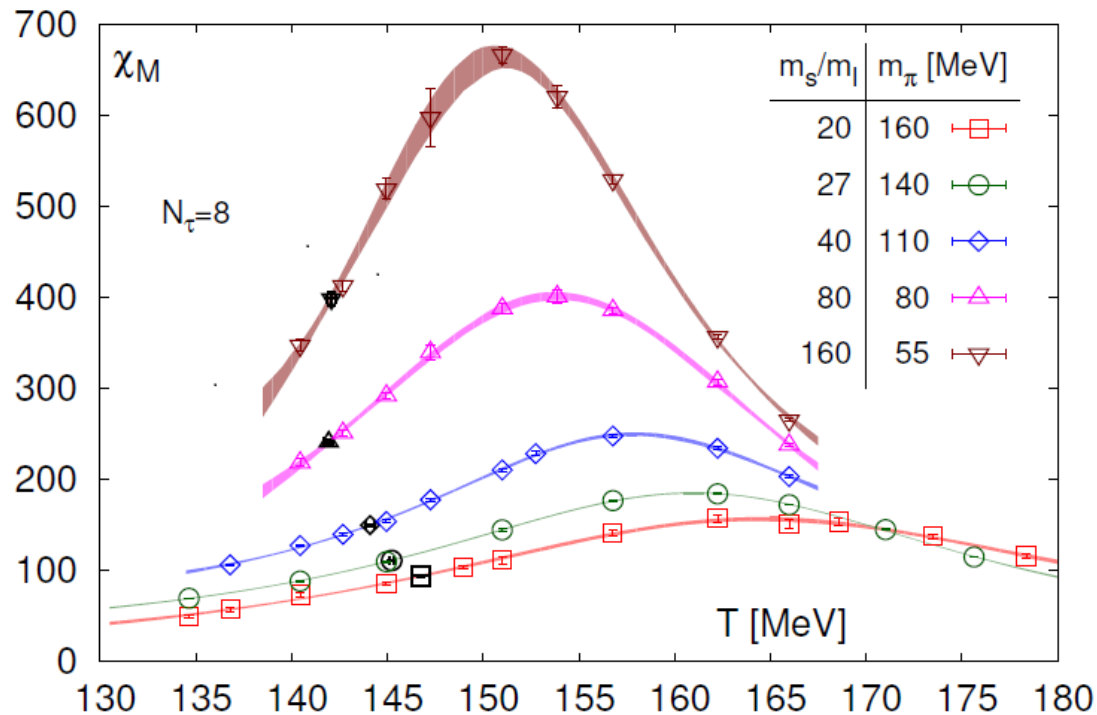
[D. Pesznyák, Tuesday]

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Chiral criticality and the equation of state

Smaller-than-physical quark mass @ $\mu_B = 0$



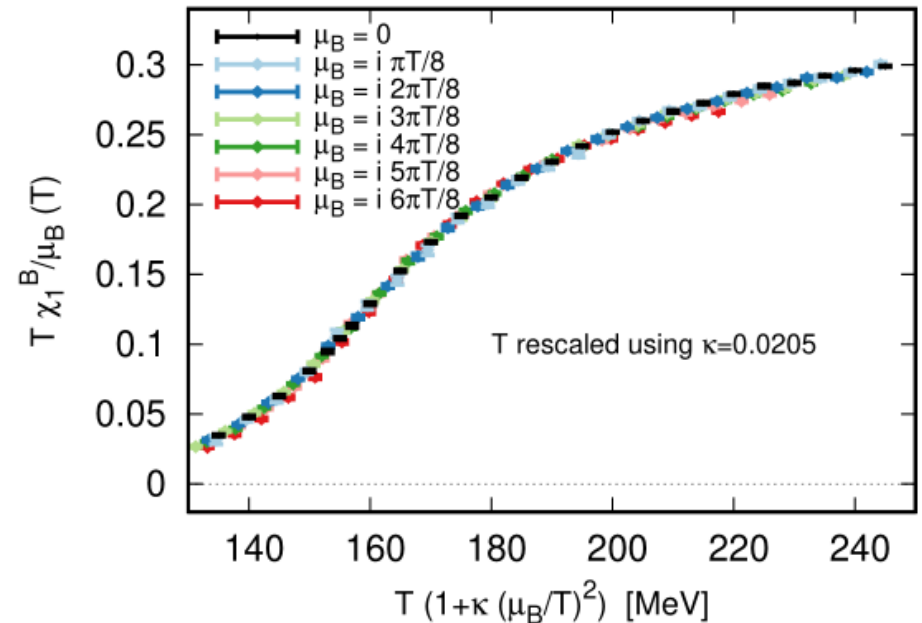
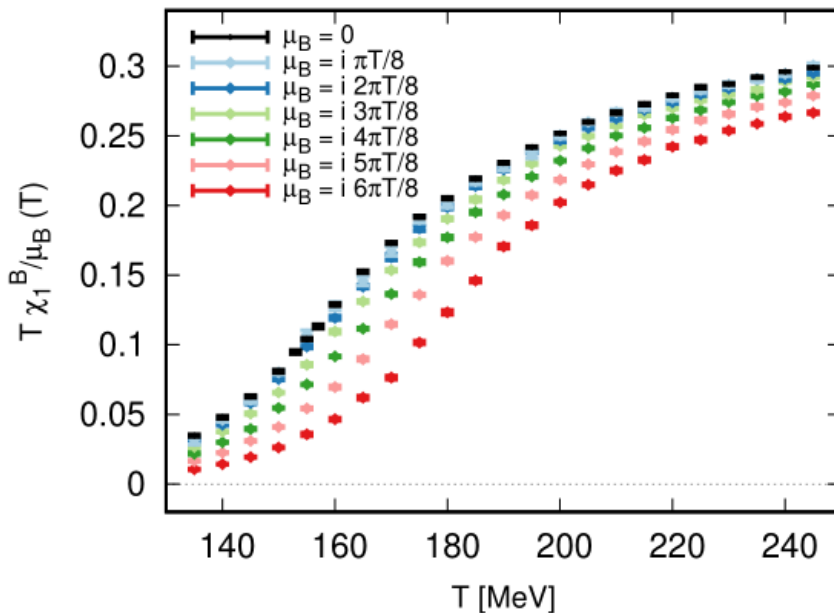
Plot from [\[HotQCD, PRL123 \(2019\)\]](#)

See also [\[Kotov, Lombardo, Trunin, PLB823 \(2021\)\]](#):
scaling for heavier-than-physical quark masses

Chiral criticality and the equation of state

T and μ_B dependence with physical masses

- Empirically: approximate scaling variable $T(1 + \kappa_2 \hat{\mu}_B^2)$
 \Rightarrow transition not sharpening for small $\hat{\mu}_B^2$



[\[Wuppertal-Budapest, PRL126 \(2021\)\]](#)

I strongly suspect that the mechanism behind this collapse is chiral scaling.

O(4) scaling and collapse plots at $\mu_B > 0$

Observation: $\chi_1^B / (\hat{\mu}_B)$ collapses as a function of $T(1 + \kappa \hat{\mu}_B^2)$ but χ_2^B does not

Why? One possibility: scaling near the chiral limit (Kadanoff scaling ansatz)

$$p_{QCD}(T, \mu_B, m) - p_{QCD}(0, 0, m) \sim f_{sing}(h, t) \sim t^{2-\alpha} F\left(\frac{h}{t^{\beta\delta}}\right)$$

$$\text{where } h \sim m \text{ and } t \sim T - T_{ch}(1 - \kappa \hat{\mu}_B^2)$$

$$\Rightarrow \frac{1}{\hat{\mu}_B} \frac{\partial}{\partial \hat{\mu}_B} f_{sing} = (2 - \alpha) t^{1-\alpha} F\left(\frac{h}{t^{\beta\delta}}\right) (2\kappa) + t^{1-\alpha-\beta\delta} F'\left(\frac{h}{t^{\beta\delta}}\right) (-\beta\delta)(2\kappa)$$

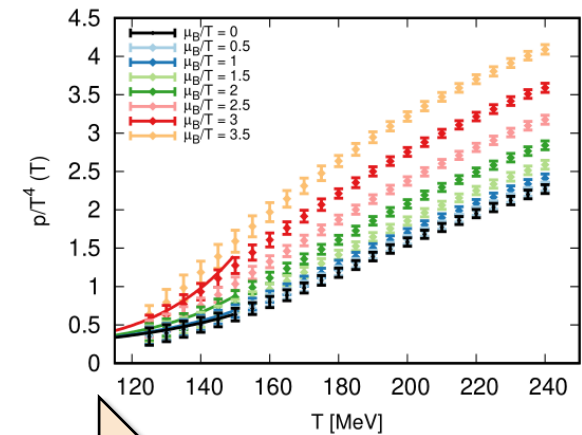
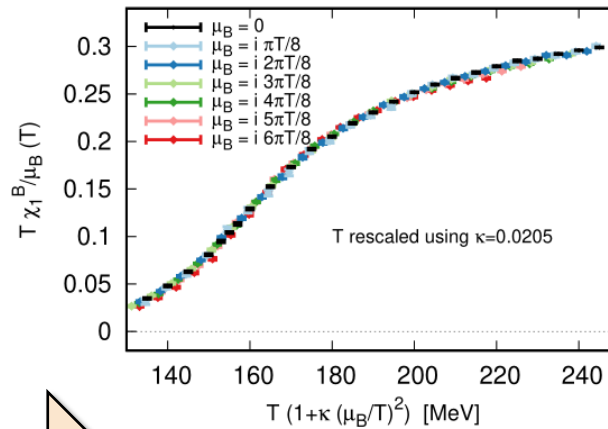
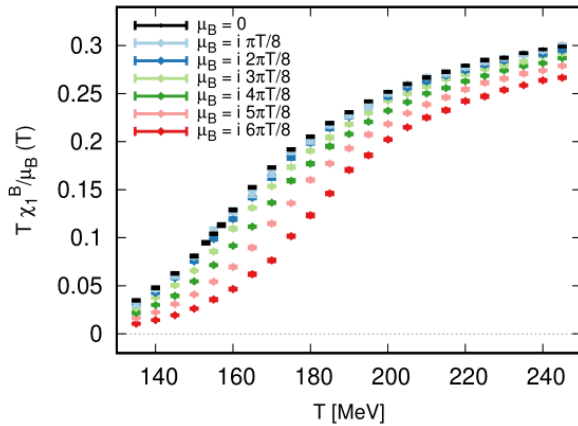
\Rightarrow a function of the scaling variables h and t only

$$\frac{\partial^2}{\partial \hat{\mu}_B^2} f_{sing} = (2\kappa) G(h, t) + (2\kappa \hat{\mu}_B)^2 \frac{\partial G}{\partial t}$$

\Rightarrow not a function of h and t only

Similar for the chiral condensate: here Σ/f_π^4 collapses but Σ/T^4 doesn't

Alternative expansion scheme



Approximate collapse
in the $\text{Im}(\mu_B)$ data

Account for small
deviations systematically,
extrapolate to real μ_B

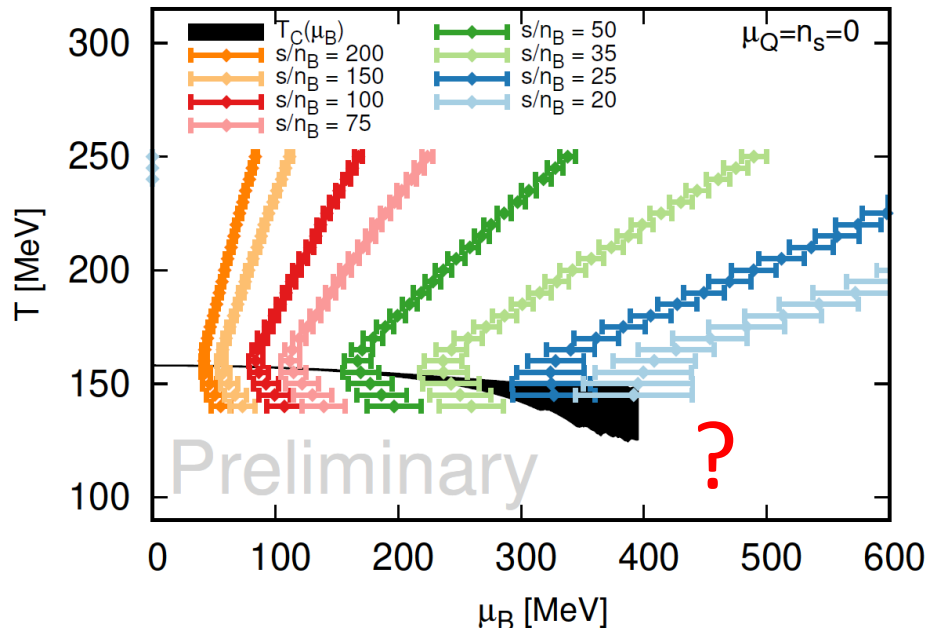
continuum, $LT = 4$, $\mu_S = 0$: [\[Wuppertal-Budapest, PRL126 \(2021\)\]](#)

continuum, $LT = 4$, $n_S = 0$: [\[Wuppertal-Budapest, PRD105 \(2022\)\]](#)

Also, small nonzero n_S

Precise EoS from extrapolations

Isentropes (resummation)



RHIC freeze-out [\[STAR, PRC96 \(2017\)\]](#)

$$\sqrt{s} = 19.6 \text{ GeV} \leftrightarrow \mu_B \approx 200 \text{ MeV}$$

$$\sqrt{s} = 11.5 \text{ GeV} \leftrightarrow \mu_B \approx 300 \text{ MeV}$$

$$\sqrt{s} = 7.7 \text{ GeV} \leftrightarrow \mu_B \approx 400 \text{ MeV}$$

No sign of critical lensing within errors

New preliminary dataset.

Improvement compared to last year comes from more accurate EoS at $\mu_B = 0$

More direct methods

Freely tune T and μ_B on the lattice?

Desirable:

No ill-posed analytic continuation
Data closer to conjectured CEP

Common lore:

Impossible

Truth:

Possible (with reweighting), but
expensive

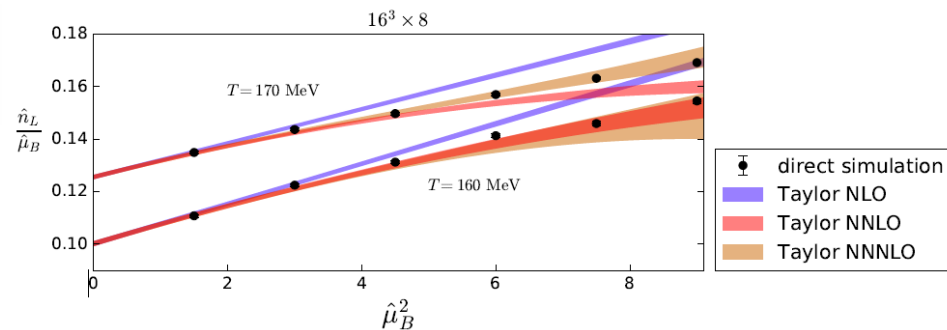
Increasingly more feasible

Many technical developments:

[\[JHEP05 \(2020\)\]](#) [\[PRD105 \(2022\)\]](#)

[\[PRD107 \(2023\)\]](#) [\[2308.06105\]](#)

One application: cross-check QGP EoS



[\[Wuppertal-Budapest, PRD 107 \(2023\)\]](#)

For $T \geq 145\text{MeV}$:

4th order Taylor accurate up to $\mu_B = 2T$

Alternative expansion at least up to $\mu_B = 3T$

Future: scan low T and larger μ_B in small volume

Summary and outlook

The phase diagram

- Curvature at $\mu_B=0$ very well established and small
- Fourth order at $\mu_B=0$ is also very small.
- Where does the crossover line deviate from the freeze-out curve?

QGP equation of state

- $\mu_B/T < 2$ from 4th order Taylor expansion (continuum)
- $\mu_B/T < 3-3.5$ from alternative expansion scheme (continuum)
- Direct simulations agree with expansions, provided the order is high enough
- No sign of critical lensing in the QGP EoS (within errors)
- Where do the more direct methods say at lower T?

Search for the CEP

- Continuum 6th order and 8th order fluctuations for the 1st time
- Some previous calculations had large cut-off effects
- No deviations from the HRG for $T < 145$ MeV in cumulants up to 8th order
- Can this (or absence of lensing) be converted to an exclusion region for the CEP?
- Need better algorithms to go to 10th and 12th order

What does this mean?

Corrections to the HRG are exp. suppressed at low T, thus

χ_6^B and χ_8^B agree with HRG at say T=140MeV

\Rightarrow they will also agree everywhere below

The HRG cannot be exact at any T, since it misses effects that we know exist in full QCD, like N-N scattering. Correct way to proceed:

- 1) Demonstrate discrepancy between QCD and HRG at some order (χ_{10}^B ? χ_{12}^B ?)
- 2) Only then go to lower T

If at T=130MeV the fluctuations χ_6^B and χ_8^B agree with the HRG, that does NOT imply that there is no CEP at this temperature or above, either:

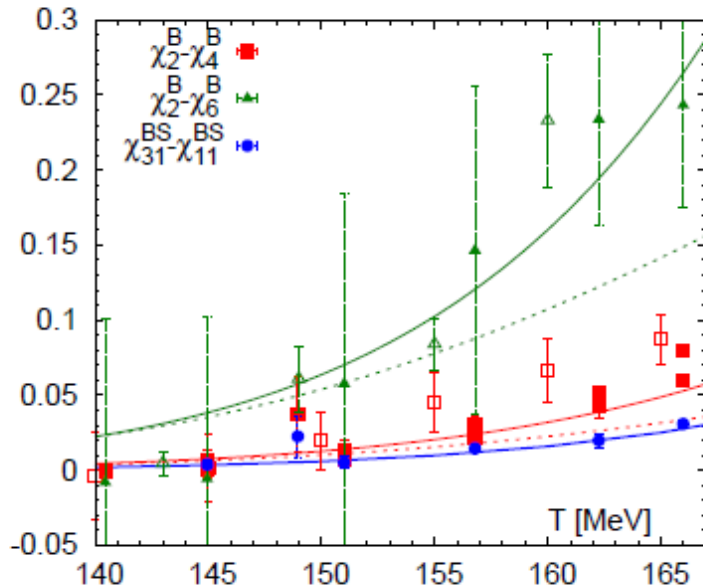
- 1) There is no CEP at this T or above, OR:
- 2) There is a CEP, but its effect on χ_6^B and χ_8^B is smaller than the error bars. If this is the case, the signal for the CEP will be stronger in say χ_{10}^B and χ_{12}^B

Repulsive hadronic models vs lattice data

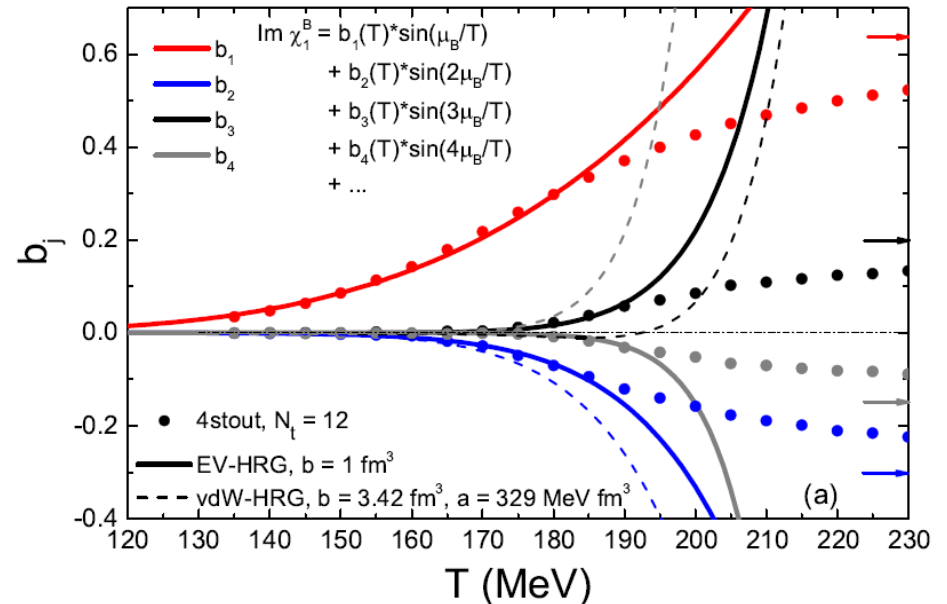
Repulsive core of NN interactions is very well established, and the HRG model does not take it into account at all!

[Huovinen, Petreczky PLB777 (2017)]

[Vovchenko, Pásztor et al, PLB 775 (2017)]



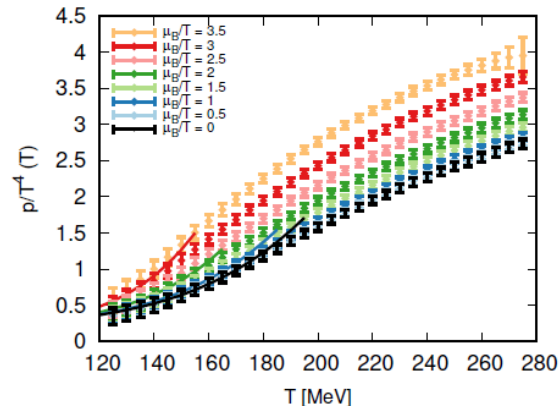
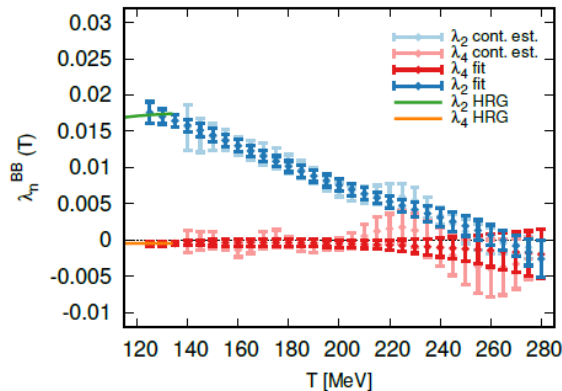
LT=4, $N_t=8$, Taylor VS repulsive mean field



LT=4, $N_t=12$, $\text{Im}\mu_B$ VS excluded volume or VdW HRG

Resummed EoS: some details

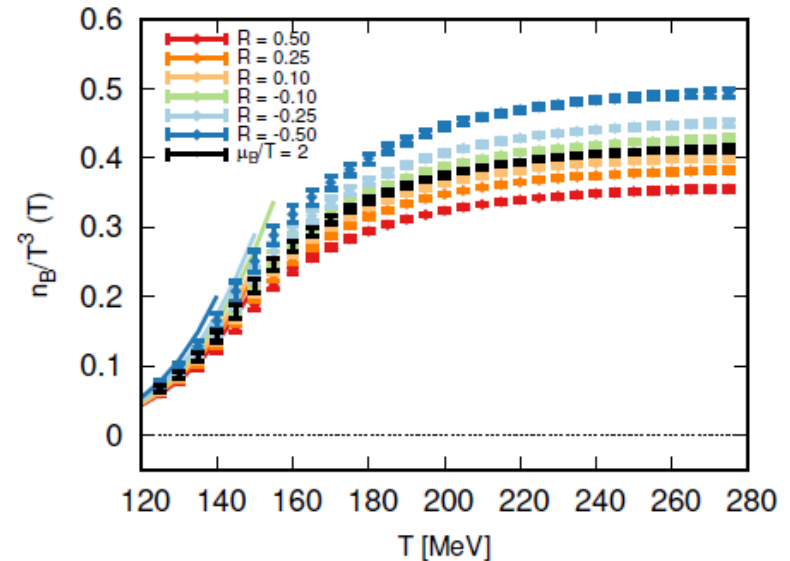
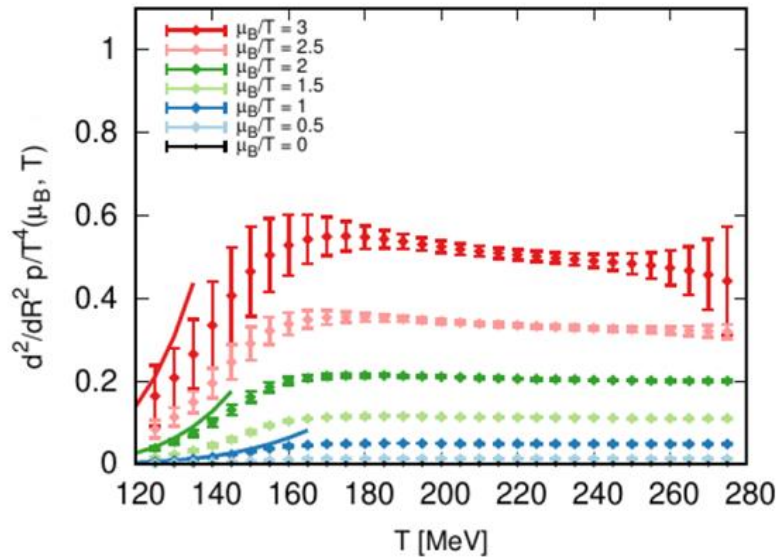
- Systematically improvable ansatz: $F(T, \mu_B) = F(T', 0)$ $T' = T(1 - \lambda_2(T)\hat{\mu}_B^2 - \lambda_4(T)\hat{\mu}_B^4 - \dots)$
- This ansatz together with a choice of the observable F defines an extrapolation scheme (resummation)
- A good choice for $\langle S \rangle = 0$ is $F = \frac{c_1^B(T, \hat{\mu}_B)}{c_1^B(T \rightarrow \infty, \hat{\mu}_B)}$ where $c_1^B := \left(\frac{d\hat{p}}{d\hat{\mu}_B} \right)_{\langle S \rangle = 0}$
- The normalization makes sure the infinite temperature behavior is correct
- The ansatz itself exploits the existence of the approximate scaling variable
- Already the leading order, with λ_2 only generates terms to all orders in the Taylor expansion of \hat{p}
- Analysis is like the extrapolation of $T_c(\hat{\mu}_B)$
- Result: λ_4 is very small, while λ_2 has a very simple temperature dependence



Beyond strangeness neutrality

Makes it possible to take small local fluctuations of strangeness into account in hydrodynamics:

$$\hat{p}(T, \mu_B, R) \approx \hat{p}(T, \mu_B, 0) + \frac{1}{2} \frac{d^2 \hat{p}}{dR^2} R^2 \quad \text{where} \quad R = \frac{n_S}{n_B}$$



[\[Borsányi et al, PRD105 \(2022\)\]](#)

Equation of state (summary)

1. Realize the existence of the approximate scaling variable
2. Turn it into a systematically improvable extrapolation ansatz [\[Borsányi et al, PRL126 \(2021\)\]](#)
3. Validate the scheme by comparison with direct simulation results at non-zero density on finite (but reasonable) lattices [\[Borsányi et al, PRD107 \(2023\)\]](#)
4. Calculate the coefficients of the validated extrapolation scheme in the continuum in conditions relevant for heavy ion phenomenology. [\[Borsányi et al, PRD105\(2022\)\]](#)
5. Realize that the finite μ_B part is so precise that the errors are dominated by $\mu_B=0$, so make the $\mu_B=0$ equation of state more precise. [\[P. Parotto, Tue 16:30 , QCD at finite T and \$\mu\$ \]](#)

⇒ A PRECISE EQUATION OF STATE FOR THE RHIC BES RANGE

Reweighting

Fields: ϕ Target theory: $Z_t = \int D\phi w_t(\phi)$ Simulated theory: $Z_s = \int D\phi w_s(\phi)$

$$\langle O \rangle_t = \frac{\int D\phi w_t(\phi) O(\phi)}{\int D\phi w_t(\phi)} = \frac{\int D\phi \frac{w_t(\phi)}{w_s(\phi)} w_s(\phi) O(\phi)}{\int D\phi \frac{w_t(\phi)}{w_s(\phi)} w_s(\phi)} = \frac{\langle \frac{w_t O}{w_s} \rangle_s}{\langle \frac{w_t}{w_s} \rangle_s} \quad \text{and} \quad \frac{Z_t}{Z_s} = \left\langle \frac{w_t}{w_s} \right\rangle_s$$

Two problems (usually exponentially hard in the volume) can arise:

- sign problem: $\frac{w_t}{w_s} \in \mathbb{R} \Rightarrow$ large signal to noise ratios
- overlap problem: tails of $P\left(\frac{w_t}{w_s}\right)$ do not decay fast enough \Rightarrow potentially incorrect results

Two choice of w_s that eliminate this overlap problem:

- phase reweighting: $w_s = e^{-S_{YM}} |\det M| \Rightarrow \frac{Z_t}{Z_s} = \langle e^{i\theta} \rangle_s$
- sign reweighting: $w_s = e^{-S_{YM}} |\text{Re det } M| \Rightarrow \frac{Z_t}{Z_s} = \langle \pm \rangle_s$

Staggered rooting and low T difficulties

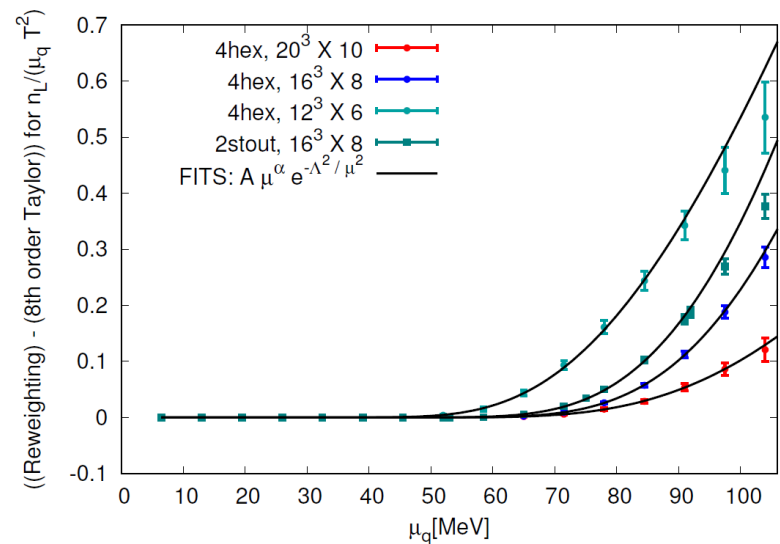
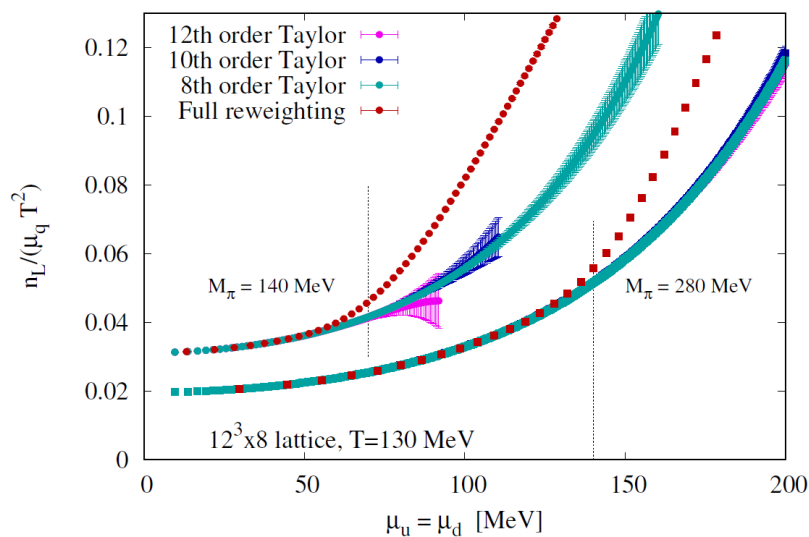
Say I want $N_f=2+1$ with staggered: $Z = \int DU (\det M_{ud}(U, \mu))^{\frac{1}{2}} (\det M_s(U))^{\frac{1}{4}} e^{-S_{YM}(U)}$

Determinant complex, so sqrt ambiguous. Standard choice: continuously connect to the positive root at $\mu=0$

We empirically observe that this leads to non-analytic behavior (essential singularity) at $\mu=0$

The non-analytic part is suppressed for $\mu < m_\pi$

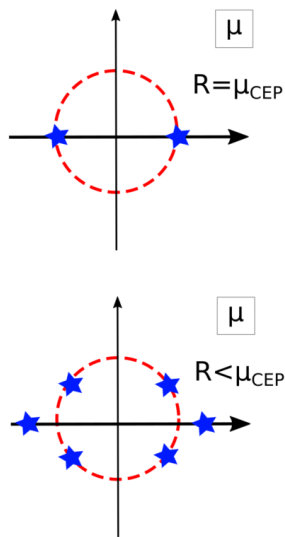
The amplitude of the non-analytic part decreases with the lattice spacing



Radius of convergence

$$\hat{p} = \hat{p}(T, \mu_B = 0) + \frac{1}{2} \chi_2^B \hat{\mu}_B^2 + \frac{1}{4!} \chi_4^B \hat{\mu}_B^4 + \dots \text{ converges for } |\hat{\mu}_B| < R = ?$$

Motivation: Inside the radius of convergence of the Taylor expansion there can be no singularities in the complex μ_B plane, and thus also no CEP on the real μ_B line



- For a long time (≈ 15 years) ratio estimators were used
- For complex singularities (expected, e.g., for $T \approx T_{crossover}$) doesn't converge [\[Vovchenko et al, PRD97 \(2018\)\]](#) [\[Giordano & Pásztor, PRD99\(2019\)\]](#)
- There are also possible issues with lattice artefacts [\[Giordano et al, PRD101 \(2020\)\]](#) [\[Borsányi et al, 2308.06105\]](#)
- For reliable estimation, needs many more orders
- Higher orders not available in the continuum
- Can be phenomenologically estimated from $O(4)$ scaling + other assumptions [\[Mukherjee & Skokov, PRD103 \(2021\)\]](#)

\Rightarrow All current lattice estimates of R should be considered preliminary/exploratory estimates, with inadequate quality control (\Rightarrow MORE WORK)

O(4) scaling and collapse plots at $\mu_B > 0$

Empirical observations from imaginary μ_B data:

- $\Sigma/f\pi^4$ collapses as a function of $T \left(1 + \kappa \left(\frac{\mu_B}{T}\right)^2\right)$ but Σ/T^4 does not

- $\chi_1^B/(\mu_B/T)$ collapses as a function of $T \left(1 + \kappa \left(\frac{\mu_B}{T}\right)^2\right)$ but χ_2^B does not

BUT WHY?

One possible explanation is scaling near the chiral limit:

$$p_{QCD}(T, \mu_B, m) - p_{QCD}(0, 0, m) \sim f_{sing}(h, t) \sim t^{2-\alpha} F\left(\frac{h}{t^{\beta\delta}}\right) \text{ where } h \sim m \text{ and } t \sim T - T_{ch}(1 - \kappa(\mu_B/T_{ch})^2)$$

$$\Rightarrow \Sigma_{sing} = m \frac{\partial}{\partial m} f_{sing} = t^{2-\alpha} \frac{h}{t^{\beta\delta}} F'\left(\frac{h}{t^{\beta\delta}}\right)$$

\Rightarrow near T_{ch} near the chiral limit, $\Sigma/f\pi^4$ is a function of the scaling variables h and t only, while Σ/T^4 is not

$$\Rightarrow \frac{1}{(\mu_B/T_{ch})} \frac{\partial}{\partial (\mu_B/T_{ch})} f_{sing} = (2 - \alpha) t^{1-\alpha} F\left(\frac{h}{t^{\beta\delta}}\right) (2\kappa) + t^{1-\alpha-\beta\delta} F'\left(\frac{h}{t^{\beta\delta}}\right) (-\beta\delta)(2\kappa) := (2\kappa)G(h, t)$$

\Rightarrow again, a function of h and t only, while

$$\frac{\partial^2}{\partial (\mu_B/T_{ch})^2} f_{sing} = (2\kappa)G(h, t) + \left(\frac{(2\kappa)\mu_B}{T_{ch}}\right)^2 \frac{\partial G}{\partial t}$$

\Rightarrow not a function of h and t only