



INSTITUTO DE FÍSICA  
Universidade Federal Fluminense



# Hydrodynamics from an exact collision kernel

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with C. Brito and G. Soares, arXiv:2306.07423, 2311.07272

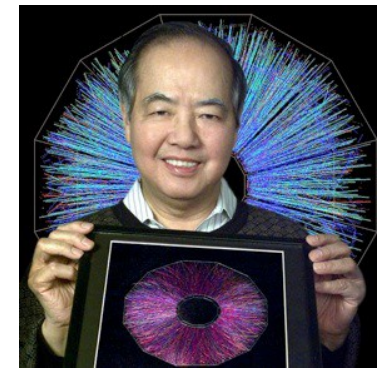
**Zimányi Winter School 2023**



# What you will see

- ✓ Motivation: heavy ion collisions and hydrodynamics
- ✓ Basics of fluid dynamics: matching conditions
- ✓ Hydrodynamic theories from kinetic theory
- ✓ Conclusions

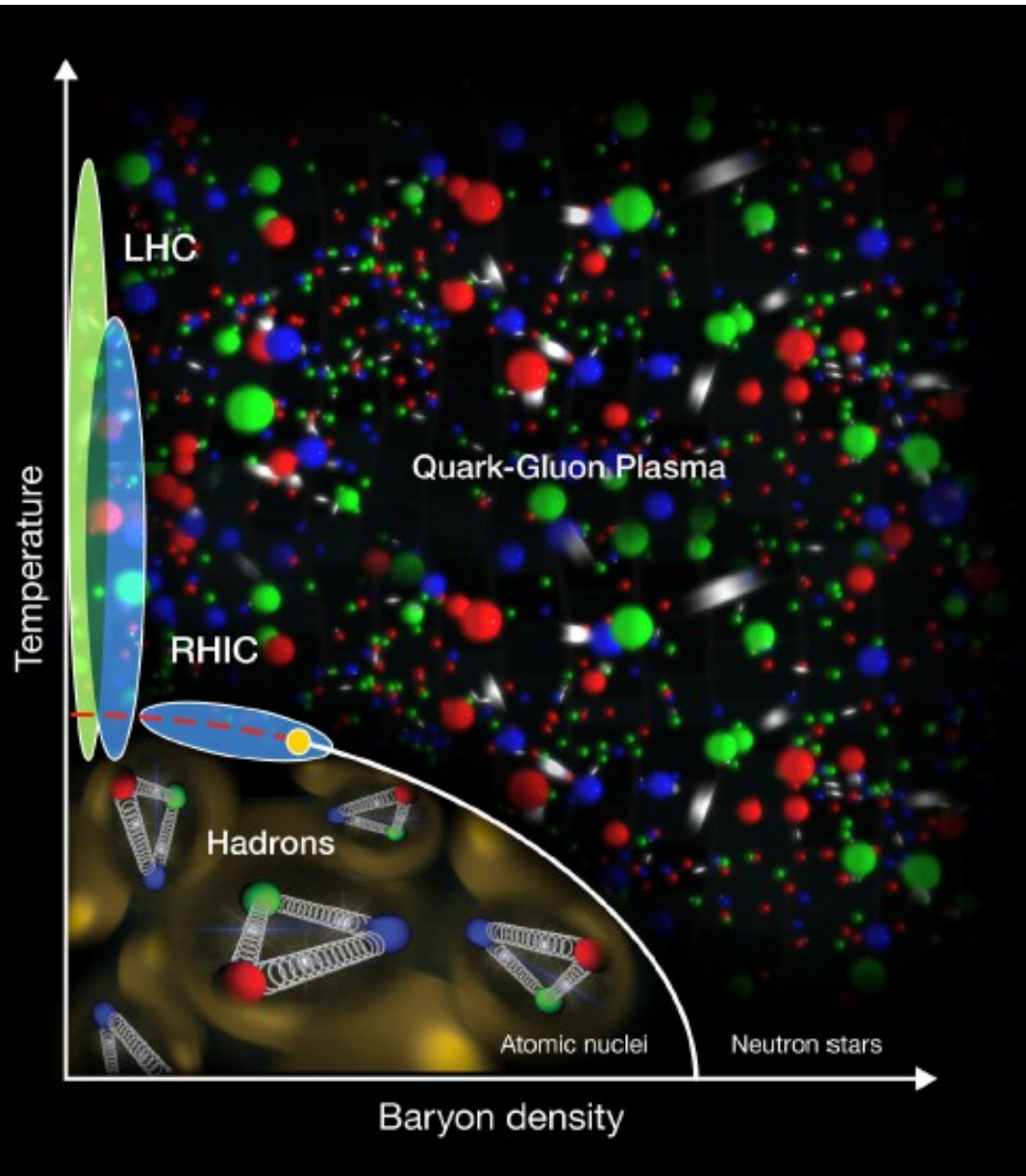
# Goal of Heavy-Ion Collisions: Produce and study QCD matter near (local) equilibrium



T.D. Lee

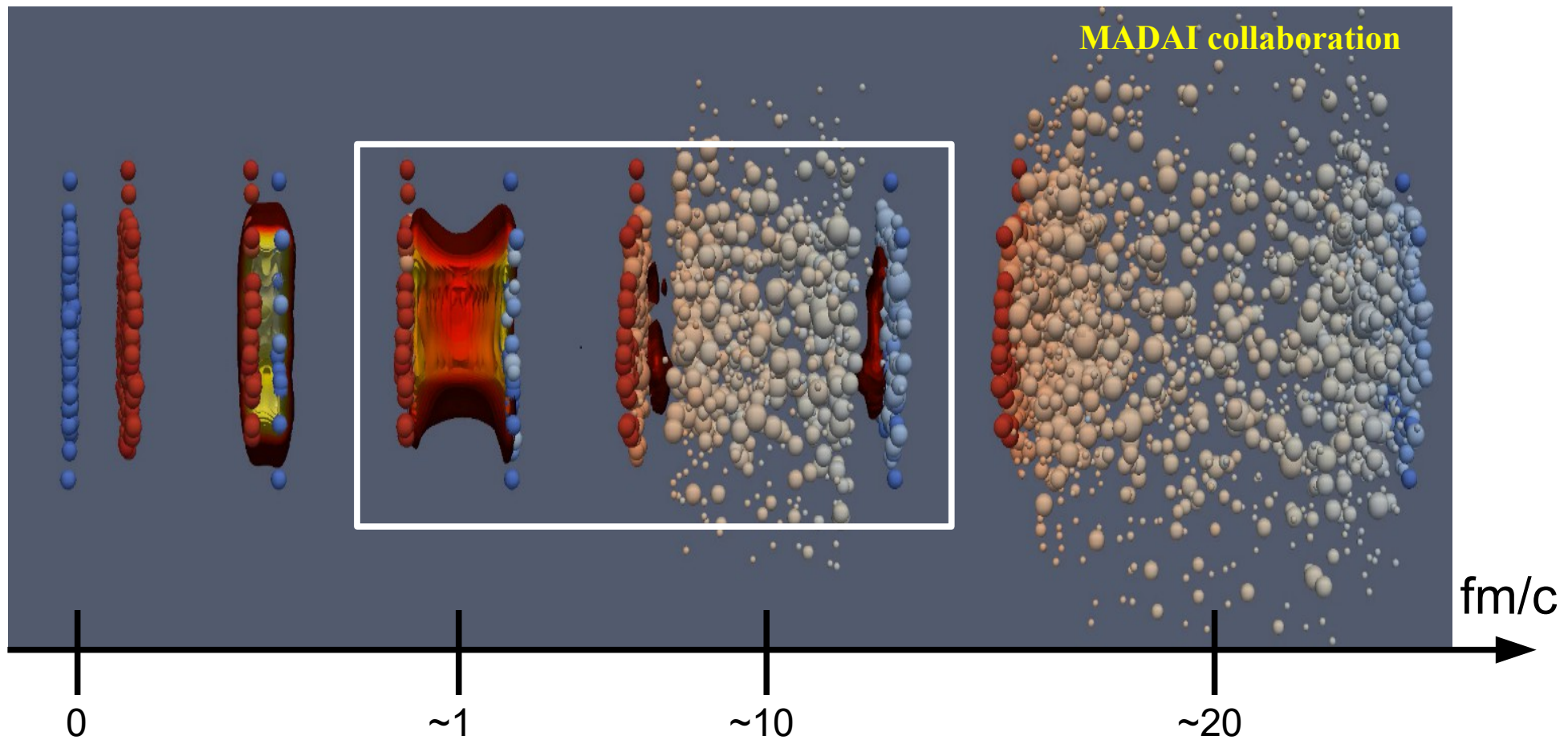
## Challenge

- Extract thermodynamic and transport properties of QCD matter
- Experiment is not to test QCD, but to understand it



# Current Theoretical Description

**Empirical:** Fluid-dynamical modeling of heavy ion collisions works well at RHIC and LHC energies



**Main assumption:** (transient) fluid dynamics can be applied at very early times  $\sim 1$  fm

# Validity of fluid dynamics

- **proximity to (local) equilibrium**
- **“small” gradients**

Separation of scales → macroscopic:  $L$  microscopic:  $\ell$

**Knudsen number:**  $K_N \sim \frac{\ell}{L} \ll 1$

Why does fluid dynamics work in heavy ion collisions? Is the system produced really close to equilibrium?

*What do we mean by equilibrium?*

# Validity of fluid dynamics

- **proximity to (local) equilibrium**
- **“small” gradients**

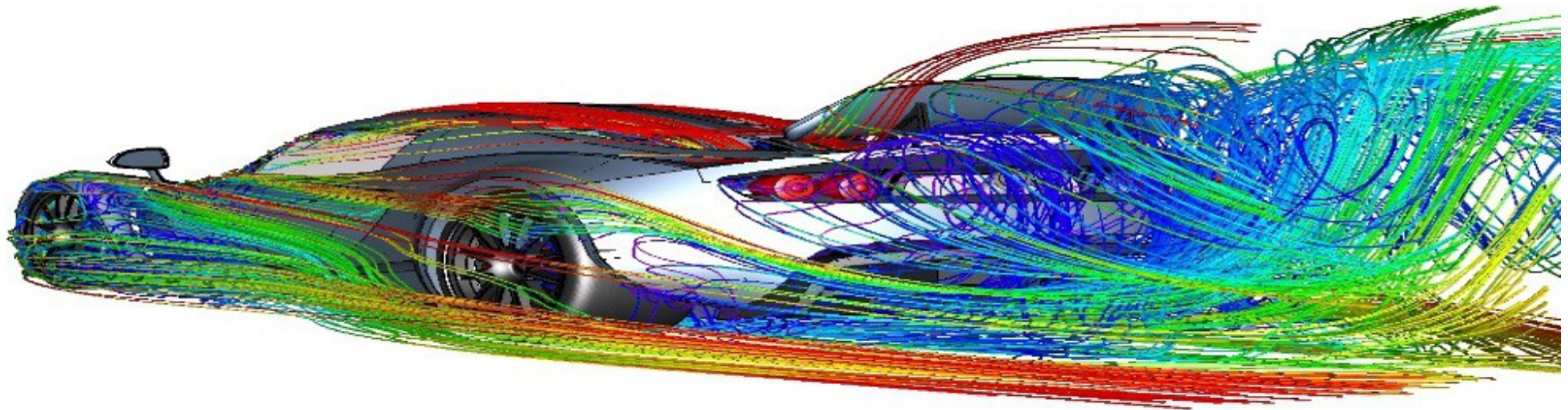
Separation of scales → macroscopic:  $L$  microscopic:  $\ell$

**Knudsen number:**  $K_N \sim \frac{\ell}{L} \ll 1$

*It is important to understand how fluid dynamics emerges from an underlying microscopic theory*

**Topic of this talk!**

# Basics of fluid dynamics



# Basics of fluid dynamics

Effective theory describing the dynamics of a system over long-times and long-distances

**Separation of scales** → macroscopic:  $L$  microscopic:  $\ell$

**Knudsen number:**  $K_N \sim \frac{\ell}{L} \ll 1$

**Conservation laws**

+

**constitutive/dynamical relations**



# Basics of fluid dynamics

## Conservation laws

**energy-momentum  
conservation**

$$\partial_{\mu} T^{\mu\nu} = 0$$

## Net charge conservation

$$\begin{aligned} \partial_{\mu} N_s^{\mu} &= 0 && \text{strangeness} \\ \partial_{\mu} N_e^{\mu} &= 0 && \text{electric charge} \\ \partial_{\mu} N_b^{\mu} &= 0 && \text{Baryon number} \end{aligned}$$

## Tensor decomposition

$$\begin{aligned} N^{\mu} &= n u^{\mu} + \nu^{\mu}, \\ T^{\mu\nu} &= \varepsilon u^{\mu} u^{\nu} - P \Delta^{\mu\nu} + h^{\mu} u^{\nu} + h^{\nu} u^{\mu} + \pi^{\mu\nu}, \end{aligned}$$

**net-charge diffusion  
4-current**

**isotropic  
pressure**

**energy diffusion  
4-current**

**Shear stress  
tensor**

Projection operator:  $\Delta^{\mu\nu} = g^{\mu\nu} - u^{\mu} u^{\nu}$

# Definition of “equilibrium state”

## General picture

$$n \equiv n_0(\alpha, \beta) + \delta n, \quad \varepsilon \equiv \varepsilon_0(\alpha, \beta) + \delta \varepsilon,$$
$$P \equiv P_0(\alpha, \beta) + \Pi,$$

*Define*  $\alpha$ ,  $\beta$ , and  $u^\mu$  : *matching conditions*

### Landau Picture

$$\delta n \equiv 0 \quad \delta \varepsilon \equiv 0$$

$$T^\mu_\nu u^\nu \equiv \varepsilon u^\mu$$

$$h^\mu \downarrow = 0$$

### Eckart Picture

$$\delta n \equiv 0 \quad \delta \varepsilon \equiv 0$$

$$N^\mu \equiv n u^\mu$$

$$\nu^\mu \downarrow = 0$$

# Basics of fluid dynamics

## Conservation laws

**energy-momentum  
conservation**

$$\partial_{\mu} T^{\mu\nu} = 0$$

## Net charge conservation

$$\partial_{\mu} N_s^{\mu} = 0$$

strangeness

$$\partial_{\mu} N_e^{\mu} = 0$$

electric charge

$$\partial_{\mu} N_b^{\mu} = 0$$

Baryon number

## Tensor decomposition

$$N^{\mu} = n u^{\mu} + \underline{\nu^{\mu}};$$

$$T^{\mu\nu} = \varepsilon u^{\mu} u^{\nu} - \underline{P \Delta^{\mu\nu}} + \underline{h^{\mu}} \underline{u^{\nu}} + \underline{h^{\nu}} \underline{u^{\mu}} + \underline{\pi^{\mu\nu}};$$

**Challenge: closing the equations**

Hydrodynamic theory

# Relativistic Navier-Stokes theory

## Shear Viscosity

(Resistance to deformation)

$$\pi^{\mu\nu} = 2\eta \nabla^{\langle\mu} u^{\nu\rangle}$$

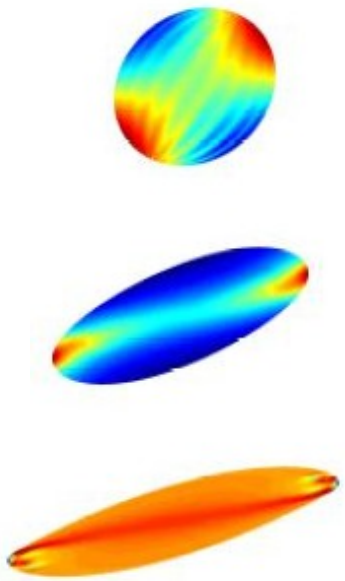
## Bulk Viscosity

(Resistance to expansion)

$$\Pi = -\zeta \nabla_{\mu} u^{\mu}$$

## Net-Charge Diffusion

$$q^{\mu} = \kappa \nabla^{\mu} \frac{\mu_B}{T}$$



equations violate causality and display unphysical instabilities

# Israel-Stewart-like theories

Israel and Stewart, Annals Phys 118, 228 (1979)

Denicol *et al* PRD 85 (2012) 114047

$$\begin{aligned} \dot{\Pi} = & -\frac{\Pi}{\tau_{\Pi}} - \beta_{\Pi}\theta - \ell_{\Pi n}\partial \cdot n - \tau_{\Pi n}n \cdot \dot{u} - \delta_{\Pi\Pi}\Pi\theta \\ & -\lambda_{\Pi n}n \cdot \nabla\alpha_0 + \lambda_{\Pi\pi}\pi^{\mu\nu}\sigma_{\mu\nu}, \end{aligned}$$

**bulk**

$$\begin{aligned} \dot{n}^{\langle\mu\rangle} = & -\frac{n^{\mu}}{\tau_n} + \beta_n\nabla^{\mu}\alpha_0 - n_{\nu}\omega^{\nu\mu} - \delta_{nn}n^{\mu}\theta - \ell_{n\Pi}\nabla^{\mu}\Pi \\ & +\ell_{n\pi}\Delta^{\mu\nu}\partial_{\lambda}\pi_{\nu}^{\lambda} + \tau_{n\Pi}\Pi\dot{u}^{\mu} - \tau_{n\pi}\pi_{\nu}^{\mu}\dot{u}^{\nu} \\ & -\lambda_{nn}n^{\nu}\sigma_{\nu}^{\mu} + \lambda_{n\Pi}\Pi\nabla^{\mu}\alpha_0 - \lambda_{n\pi}\pi^{\mu\nu}\nabla_{\nu}\alpha_0 \end{aligned}$$

**diffusion**

$$\begin{aligned} \dot{\pi}^{\langle\mu\nu\rangle} = & -\frac{\pi^{\mu\nu}}{\tau_{\pi}} + 2\beta_{\pi}\sigma^{\mu\nu} + 2\pi_{\alpha}^{\langle\mu}\omega^{\nu\rangle\alpha} - \tau_{\pi n}n^{\langle\mu}\dot{u}^{\nu\rangle} \\ & +\ell_{\pi n}\nabla^{\langle\mu}n^{\nu\rangle} - \delta_{\pi\pi}\pi^{\mu\nu}\theta - \tau_{\pi\pi}\pi_{\alpha}^{\langle\mu}\sigma^{\nu\rangle\alpha} \\ & +\lambda_{\pi n}n^{\langle\mu}\nabla^{\nu\rangle}\alpha_0 + \lambda_{\pi\Pi}\Pi\sigma^{\mu\nu}. \end{aligned}$$

**shear**

Transient equations; second order in a gradient expansion  
*can be causal and stable!*

# BDNK theory

Benfica, Disconzi, Noronha Phys.Rev.D 98 (2018) 10, 104064

Constitutive equations that also include time-like derivatives

$$\delta n = \xi^{(\alpha)} D\alpha - \xi^{(\beta)} \frac{D\beta}{\beta} - \xi^{(\theta)} \theta, \quad \delta \varepsilon = \chi^{(\alpha)} D\alpha - \chi^{(\beta)} \frac{D\beta}{\beta} - \chi^{(\theta)} \theta,$$
$$\Pi = \zeta^{(\alpha)} D\alpha - \zeta^{(\beta)} \frac{D\beta}{\beta} - \zeta^{(\theta)} \theta, \quad \text{scalars}$$

$$\nu^\mu = \kappa^{(\alpha)} \nabla^\mu \alpha - \kappa^{(\beta)} \left( \frac{1}{\beta} \nabla^\mu \beta + Du^\mu \right), \quad \text{4-vectors}$$
$$h^\mu = \lambda^{(\alpha)} \nabla^\mu \alpha - \lambda^{(\beta)} \left( \frac{1}{\beta} \nabla^\mu \beta + Du^\mu \right),$$

$$\pi^{\mu\nu} = 2\eta\sigma^{\mu\nu}, \quad \text{Shear-stress tensor}$$

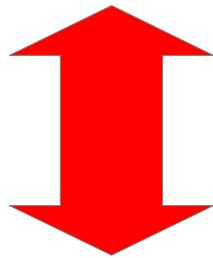
Can be causal and stable; Landau/Eckart matching conditions excluded

We can study this problem in kinetic theory

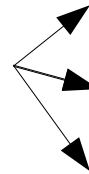


$$k^\mu \partial_\mu f_{\mathbf{k}} = C[f]$$

**Boltzmann eq.**



???



Chapman-Enskog series

Method of moments

...

$$\tau_\Pi \dot{\Pi} + \Pi = -\zeta \theta + \dots$$

$$\tau_\pi \dot{\pi}^{\langle \mu\nu \rangle} + \pi^{\mu\nu} = 2\eta \sigma^{\mu\nu} + \dots$$

**“Hydrodynamics”**

**Today:** exactly solvable microscopic interaction



Boltzmann

# Relativistic Boltzmann equation

$$k^\mu \partial_\mu f_{\mathbf{k}} = C[f]$$

momentum distribution

collision term

## Collision term – elastic 2-to-2 collisions

$$C[f] = \frac{1}{\nu} \int dK' dP dP' W_{\mathbf{k}\mathbf{k}' \rightarrow \mathbf{p}\mathbf{p}'} \left( f_{\mathbf{p}} f_{\mathbf{p}'} \tilde{f}_{\mathbf{k}} \tilde{f}_{\mathbf{k}'} - f_{\mathbf{k}} f_{\mathbf{k}'} \tilde{f}_{\mathbf{p}} \tilde{f}_{\mathbf{p}'} \right)$$

$$\tilde{f}_{\mathbf{k}} \equiv 1 - a f_{\mathbf{k}}$$

## Transition rate

$$W_{\mathbf{k}\mathbf{k}' \rightarrow \mathbf{p}\mathbf{p}'} = \underbrace{s\sigma(s, \Theta)}_{\text{cross section}} (2\pi)^6 \delta^{(4)}(k^\mu + k'^\mu - p^\mu - p'^\mu)$$

cross section – microscopic information



# Close to local equilibrium

$$f_{\mathbf{k}} = f_{0\mathbf{k}} \left( 1 + \tilde{f}_{0\mathbf{k}} \phi_{\mathbf{k}} \right)$$

**equilibrium *distribution***

**non-equilibrium correction**

## Collision term near equilibrium:

$$C[f] = \frac{1}{\nu} \int dK' dP dP' W_{\mathbf{k}\mathbf{k}' \rightarrow \mathbf{p}\mathbf{p}'} f_{0\mathbf{k}} f_{0\mathbf{k}'} \tilde{f}_{0\mathbf{p}} \tilde{f}_{0\mathbf{p}'} (\phi_{\mathbf{p}} + \phi_{\mathbf{p}'} - \phi_{\mathbf{k}} - \phi_{\mathbf{k}'}) + \mathcal{O}(\phi^2)$$

**Linear collision operator:  $\hat{L}\phi_{\mathbf{k}}$**

$$k^{\mu} \partial_{\mu} f_{\mathbf{k}} \approx \hat{L}\phi_{\mathbf{k}}$$

This form of the Boltzmann equation is usually employed in the derivation of fluid dynamics

Self-interacting  $\lambda\varphi^4$  scalar field theory

$$\sigma_T(s) = \frac{\lambda^2}{32\pi s} \equiv \frac{g}{s}$$

Eigenfunctions and Eigenvalues can be calculated exactly  
(*massless, classical* limits)

$$\hat{L} \left[ L_{n\mathbf{p}}^{(2\ell+1)} p^{\langle\mu_1 \dots \mu_\ell\rangle} \right] = \chi_{n\ell} L_{n\mathbf{p}}^{(2\ell+1)} p^{\langle\mu_1 \dots \mu_\ell\rangle}$$

Laguerre polynomials

Irreducible tensors

$$\chi_{n\ell} = -\frac{g\mathcal{M}}{2} \left( \frac{n + \ell - 1}{n + \ell + 1} + \delta_{\ell 0} \delta_{n 0} \right)$$

GSD, J. Noronha, 2209.10370

All hydrodynamic theories *and* their transport coefficients can be derived analytically

# Definition of “equilibrium state” in the Boltzmann equation

**Landau  
picture**

$$\int dK E_{\mathbf{k}} f_{0\mathbf{k}} \phi_{\mathbf{k}} = 0,$$
$$\int dK k^{\mu} E_{\mathbf{k}} f_{0\mathbf{k}} \phi_{\mathbf{k}} = 0,$$

**Eckart  
picture**

$$\int dK E_{\mathbf{k}}^2 f_{0\mathbf{k}} \phi_{\mathbf{k}} = 0,$$
$$\int dK k^{\mu} f_{0\mathbf{k}} \phi_{\mathbf{k}} = 0,$$

# Definition of “equilibrium state” in the Boltzmann equation

- Ensemble of matching conditions:

$$\left\{ \begin{array}{l} \int dP E_{\mathbf{p}}^q \delta f_{\mathbf{p}} \equiv 0, \\ \int dP E_{\mathbf{p}}^s \delta f_{\mathbf{p}} \equiv 0, \\ \int dP E_{\mathbf{p}}^z p^{\langle \mu \rangle} \delta f_{\mathbf{p}} \equiv 0, \end{array} \right. \begin{array}{l} \bullet \mathbf{q, s, z} \text{ are free parameters} \\ \bullet \text{Landau and Eckart are special cases} \\ \bullet \text{not the most general case} \end{array}$$

- additional non-conserved fields required!
- how to do this outside of kinetic theory?

# Relativistic Navier-Stokes theory

## Perturbative solution

$$\epsilon E_{\mathbf{p}} D f_{\mathbf{p}} + \epsilon p^{\mu} \nabla_{\mu} f_{\mathbf{p}} = C[f_{\mathbf{p}}]$$

↓  
Knudsen number

$$\left\{ \begin{array}{l} f_{\mathbf{p}} = \sum_{i=0}^{\infty} \epsilon^i f_{\mathbf{p}}^{(i)}, \\ D f_{\mathbf{p}} = \sum_{i=0}^{\infty} \epsilon^i D^{(i)} f_{\mathbf{p}}, \end{array} \right.$$

## Solve it order by order

**0-th order:** local equilibrium,  $f_{\mathbf{p}}^{(0)} = f_{0\mathbf{p}}$

**1-st order:**  $\frac{1}{4} L_{1\mathbf{p}}^{(3)} p_{\langle\mu} \nabla^{\mu} \alpha - \beta p_{\langle\mu} p_{\nu\rangle} \sigma^{\mu\nu} = \hat{L} \phi_{\mathbf{p}}$

# Relativistic Navier-Stokes theory

$$\text{1-st order: } \frac{1}{4} L_{1\mathbf{p}}^{(3)} p_{\langle\mu} \nabla^{\mu} \alpha - \beta p_{\langle\mu} p_{\nu\rangle} \sigma^{\mu\nu} = \hat{L} \phi_{\mathbf{p}}$$

$$\phi_{\mathbf{k}} = \underbrace{\phi_{\mathbf{k}}^{\text{hom}}}_{a + b_{\mu} k^{\mu}} + \hat{L}^{-1} \left( \frac{1}{4} L_{1\mathbf{k}}^{(3)} k_{\langle\mu} \nabla^{\mu} \alpha - \beta k_{\langle\mu} k_{\mu\rangle} \sigma^{\mu\nu} \right),$$



$$\phi_{\mathbf{k}} = a + b_{\mu} k^{\mu} + \frac{1}{4\chi_{11}} L_{1\mathbf{k}}^{(3)} k_{\langle\mu} \nabla^{\mu} \alpha - \frac{\beta}{\chi_{02}} k_{\langle\mu} k_{\mu\rangle} \sigma^{\mu\nu},$$



$$a = 0 \text{ and } b^{\mu} = z \nabla^{\mu} \alpha / (4\chi_{11})$$

matching conditions

# Relativistic Navier-Stokes theory

$$\text{1-st order: } \frac{1}{4} L_{1\mathbf{p}}^{(3)} p_{\langle\mu} \nabla^{\mu} \alpha - \beta p_{\langle\mu} p_{\nu\rangle} \sigma^{\mu\nu} = \hat{L} \phi_{\mathbf{p}}$$

## Constitutive relations

$$\delta n = 0, \quad \nu^{\mu} = z \frac{3}{g\beta^2} \nabla^{\mu} \alpha,$$

$$\delta \varepsilon = 0, \quad h^{\mu} = (z - 1) \frac{12}{g\beta^3} \nabla^{\mu} \alpha,$$

$$\pi^{\mu\nu} = \frac{96}{g\beta^3} \sigma^{\mu\nu}.$$

matching condition

$$\int dP E_{\mathbf{p}}^z p^{\langle\mu} \delta f_{\mathbf{p}} \equiv 0,$$

- transport coefficients depend on matching conditions

# BDNK theory from kinetic theory

$$\Pi = \frac{\chi}{3} \left( \frac{D\beta}{\beta} - \frac{\theta}{3} \right), \quad \delta n = \xi \left( \frac{D\beta}{\beta} - \frac{\theta}{3} \right), \quad \delta \varepsilon = \chi \left( \frac{D\beta}{\beta} - \frac{\theta}{3} \right),$$

$$\nu^\mu = \kappa \left( \frac{\nabla^\mu \beta}{\beta} + Du^\mu \right), \quad h^\mu = \lambda \left( \frac{\nabla^\mu \beta}{\beta} + Du^\mu \right),$$

$$\pi^{\mu\nu} = 2\eta\sigma^{\mu\nu}.$$

no chemical potential terms ...

## Transport coefficients

$$\xi = \frac{12}{g\beta^2} (q-1)(s-1), \quad \chi = \frac{36}{g\beta^3} (q-2)(s-2),$$

$$\kappa = \frac{12}{g\beta^2} z, \quad \lambda = \frac{48}{g\beta^3} (z-1),$$

$$\eta = \frac{48}{g\beta^3}.$$

matching conditions

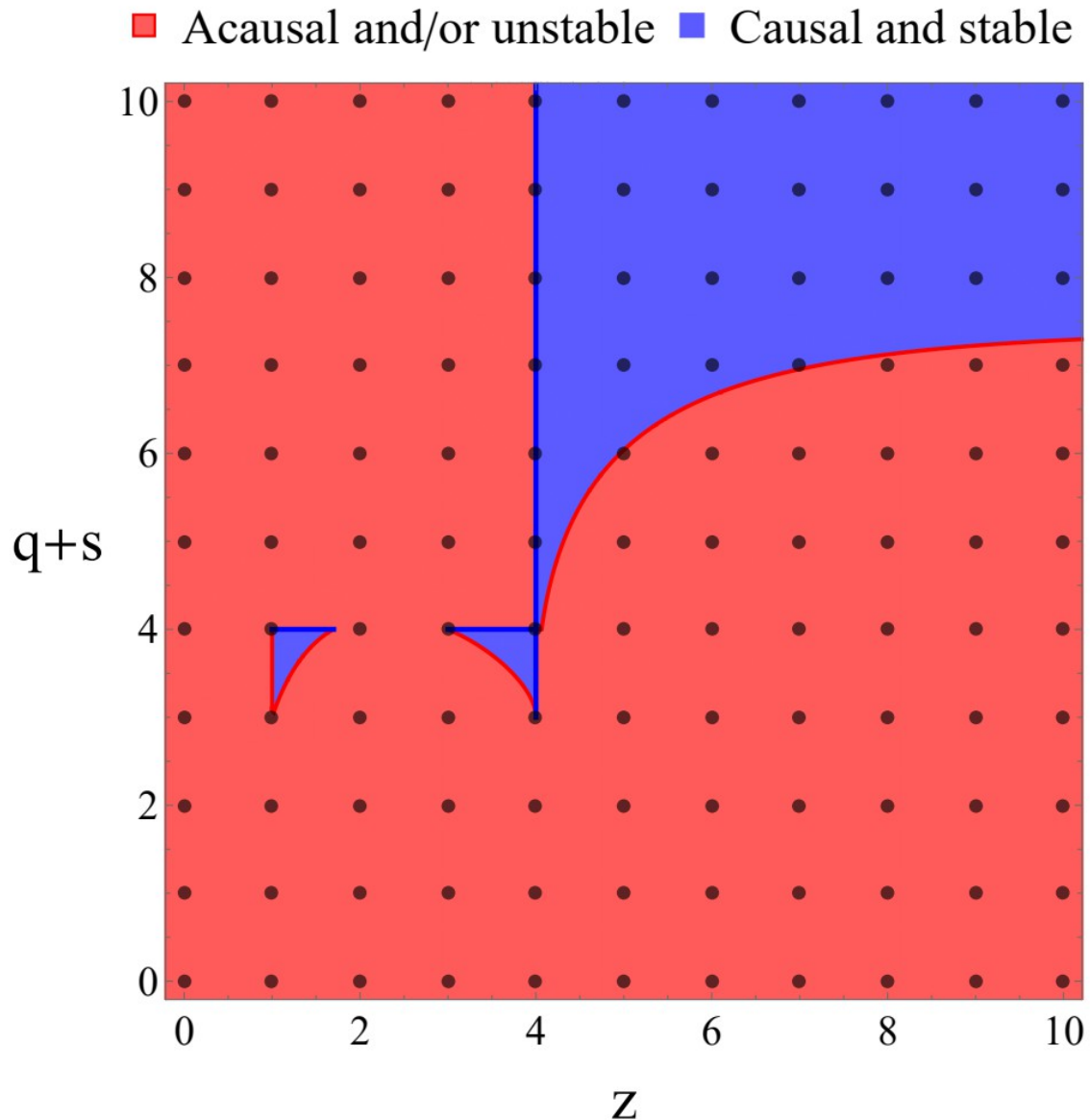
$$\int dP E_{\mathbf{p}}^q \delta f_{\mathbf{p}} \equiv 0 \quad \int dP E_{\mathbf{p}}^s \delta f_{\mathbf{p}} \equiv 0,$$

$$\int dP E_{\mathbf{p}}^z p^{\langle \mu \rangle} \delta f_{\mathbf{p}} \equiv 0, \quad 24$$



# Linear Stability and Causality

stability of perturbations around global equilibrium (fluid at rest)



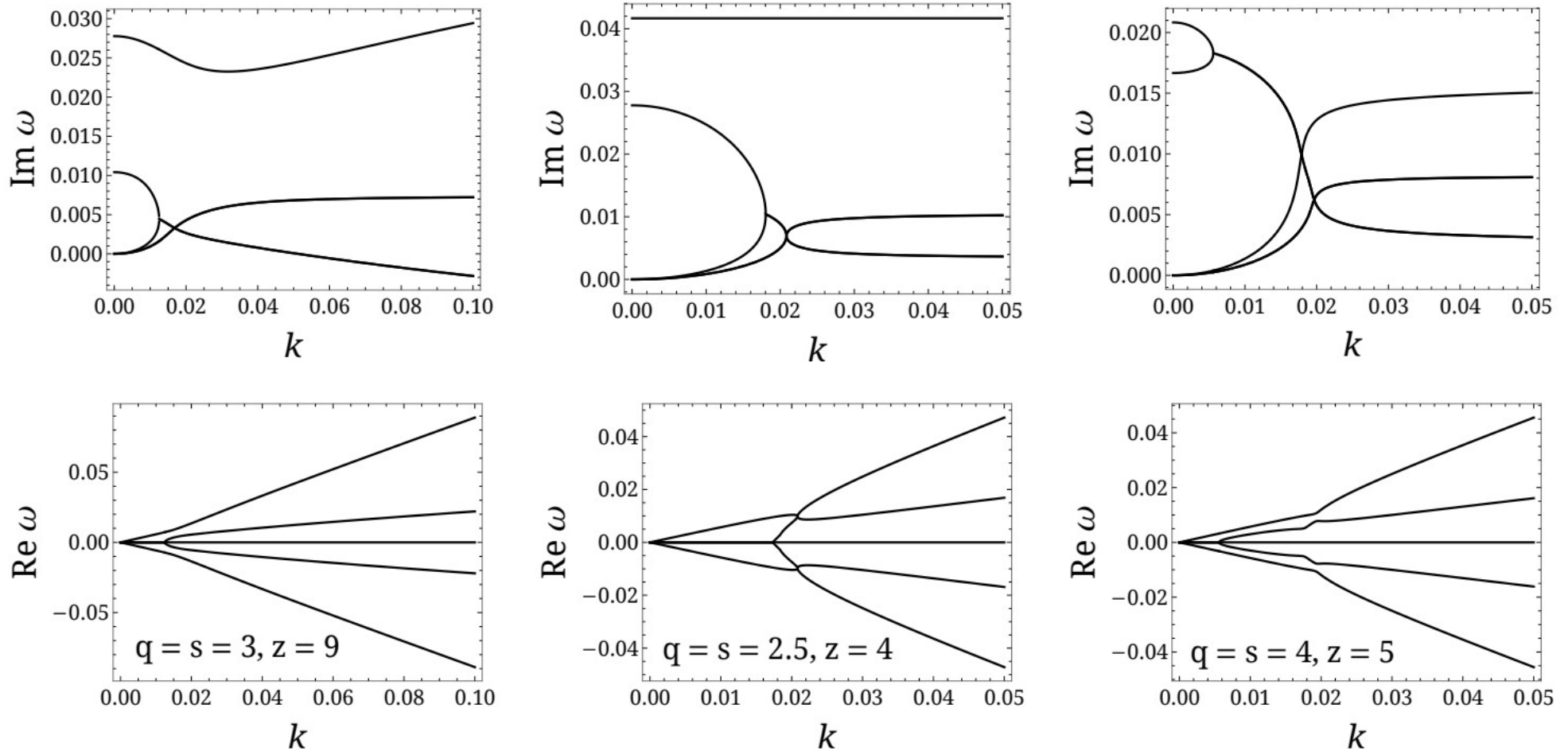
$$\int dP E_{\mathbf{p}}^q \delta f_{\mathbf{p}} \equiv 0,$$

$$\int dP E_{\mathbf{p}}^s \delta f_{\mathbf{p}} \equiv 0,$$

$$\int dP E_{\mathbf{p}}^z p^{\langle \mu \rangle} \delta f_{\mathbf{p}} \equiv 0,$$

# Dispersion Relations: $\omega(k)$

Sound modes for several matching conditions



Dispersion relations are significantly effected by matching conditions

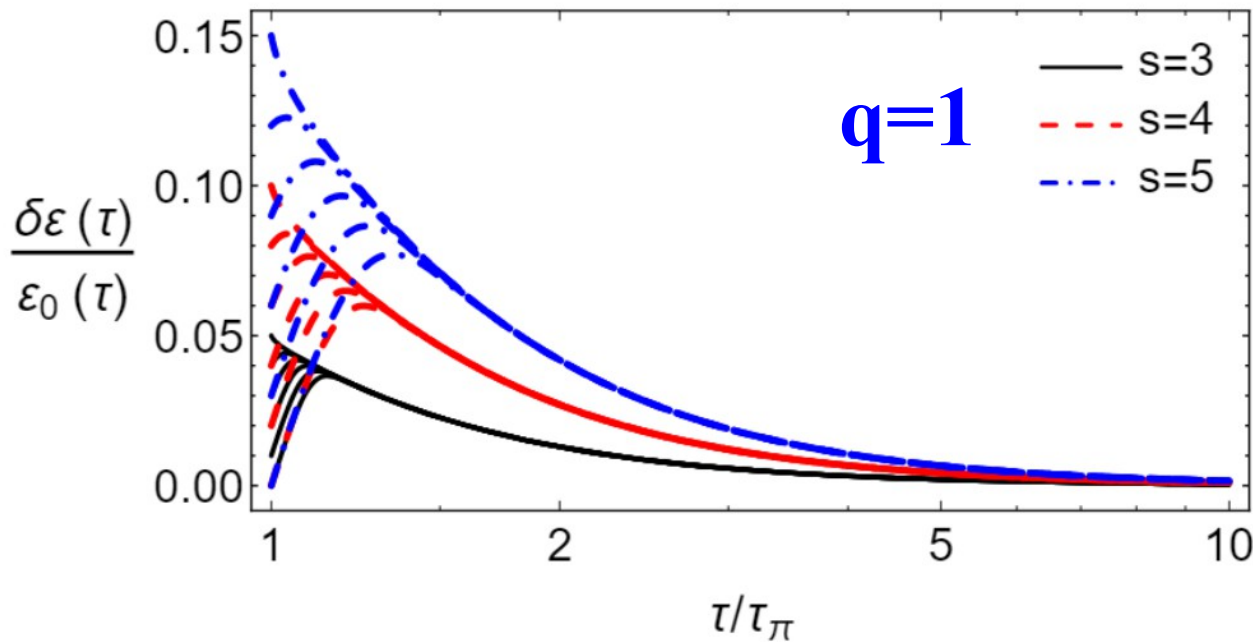
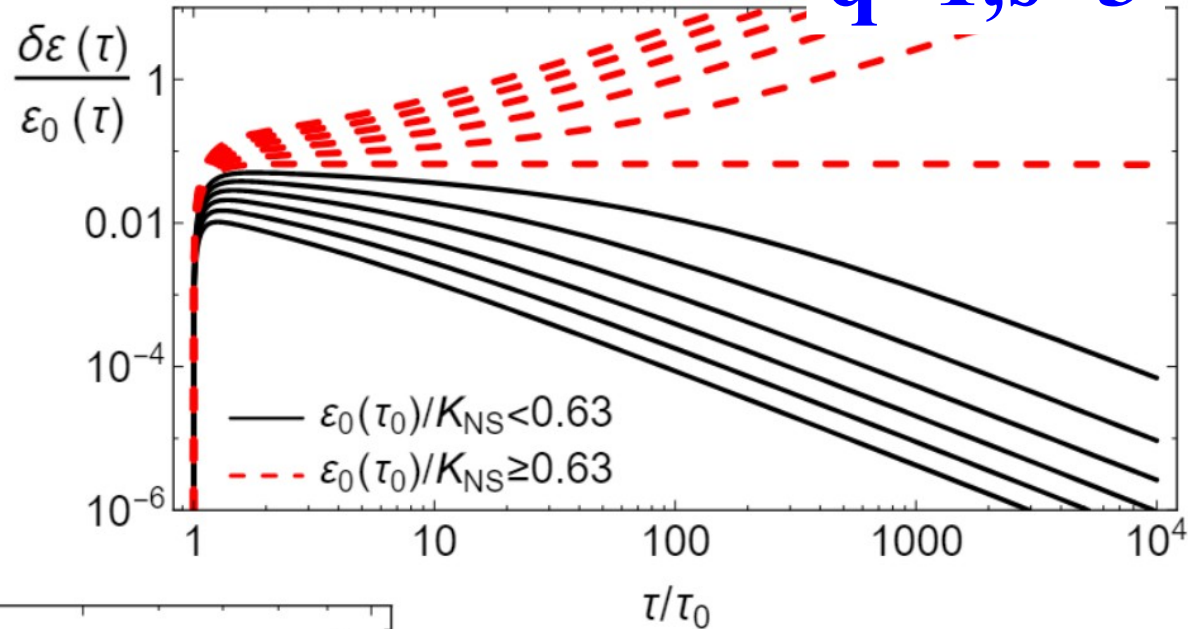
# Solutions in Bjorken flow

$q=1, s=3$

$$\dot{n}_0 + \frac{n_0}{\tau} = 0,$$

$$\dot{\varepsilon}_0 + \dot{\delta\varepsilon} + \frac{4}{3\tau}(\varepsilon_0 + \delta\varepsilon) - \frac{64}{g\beta^3\tau^2} = 0,$$

$$\delta\varepsilon = -\frac{36}{g\beta^3}(s-2) \left( \frac{\dot{\beta}}{\beta} - \frac{1}{3\tau} \right).$$



$$K_{\text{NS}}^2 \equiv \frac{g}{64} \varepsilon_0(\tau_0)^3 \beta(\tau_0)^3 \tau_0,$$

# Open questions:

- Is there an optimal matching condition?

How do we select it?

- How do we define general matching conditions outside of kinetic theory?

these issues will have to be solved for  
implementations of BDNK theory

# Conclusions

- Hydrodynamics can be systematically derived from the Boltzmann equation.
- For ultra-relativistic scalar particles self-interacting via a quartic potential, theories can be exactly derived.
- Matching conditions can significantly affect the magnitude of some transport coefficients.