

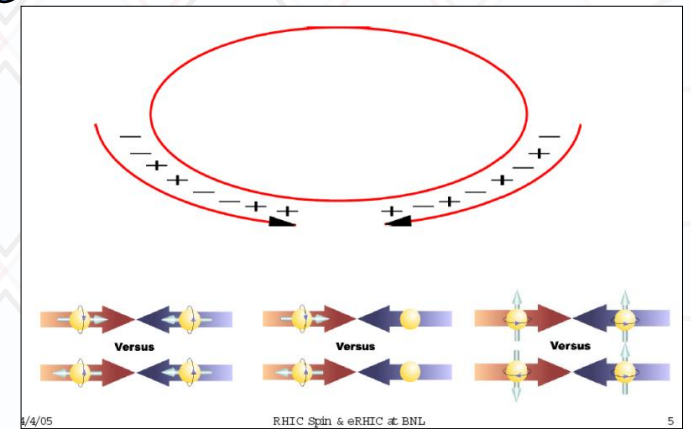
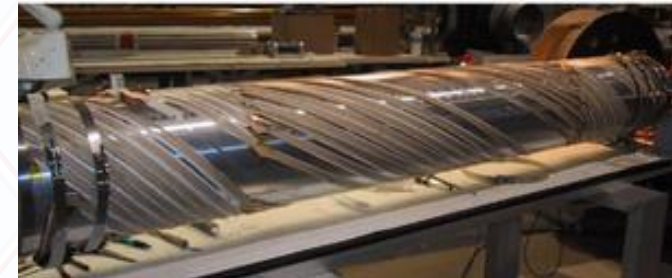
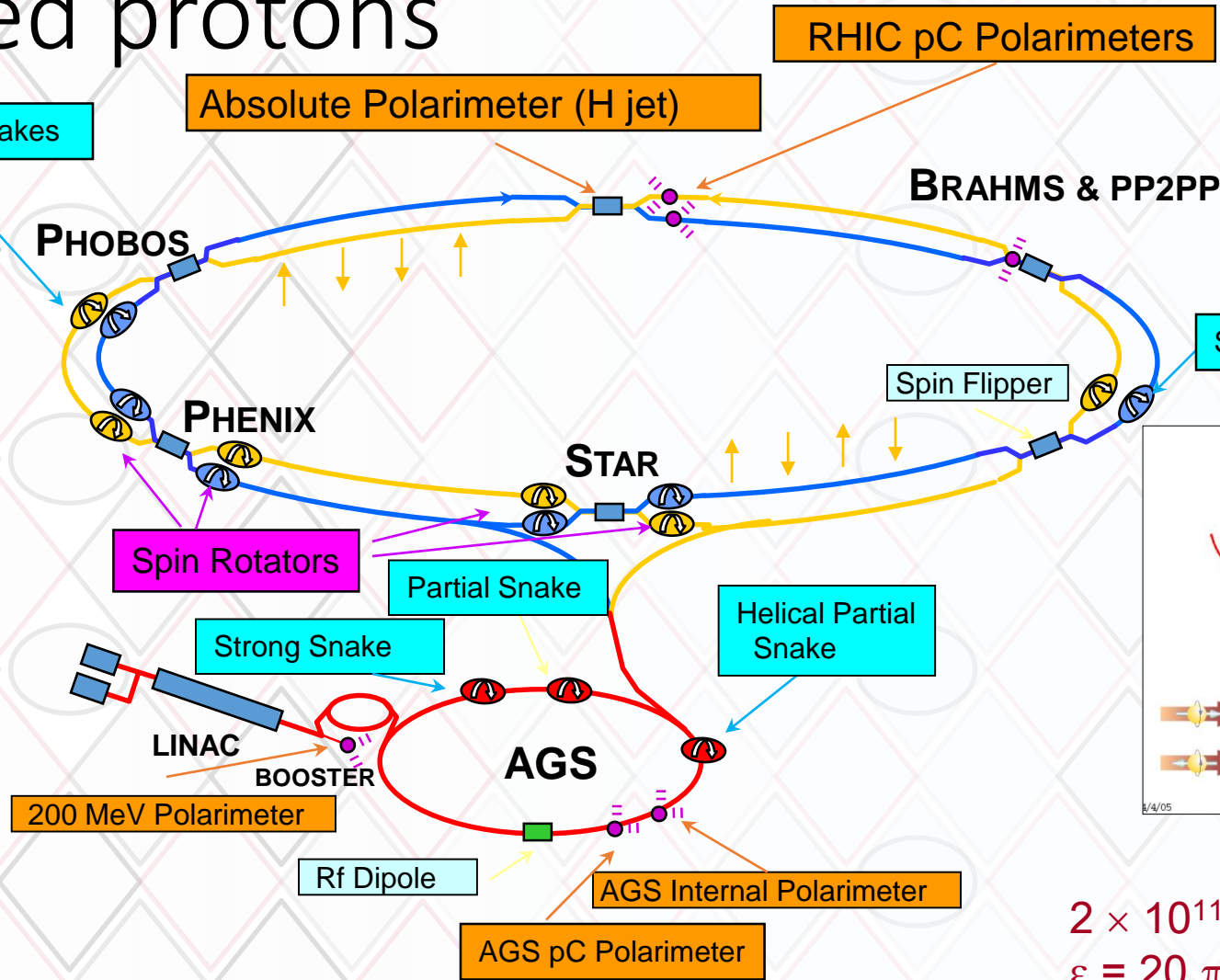
The RHIC Spin physics program

**Diffraction and Low-x 2024,
Palermo, Sicily
September 12**

Ralf Seidl (RIKEN)

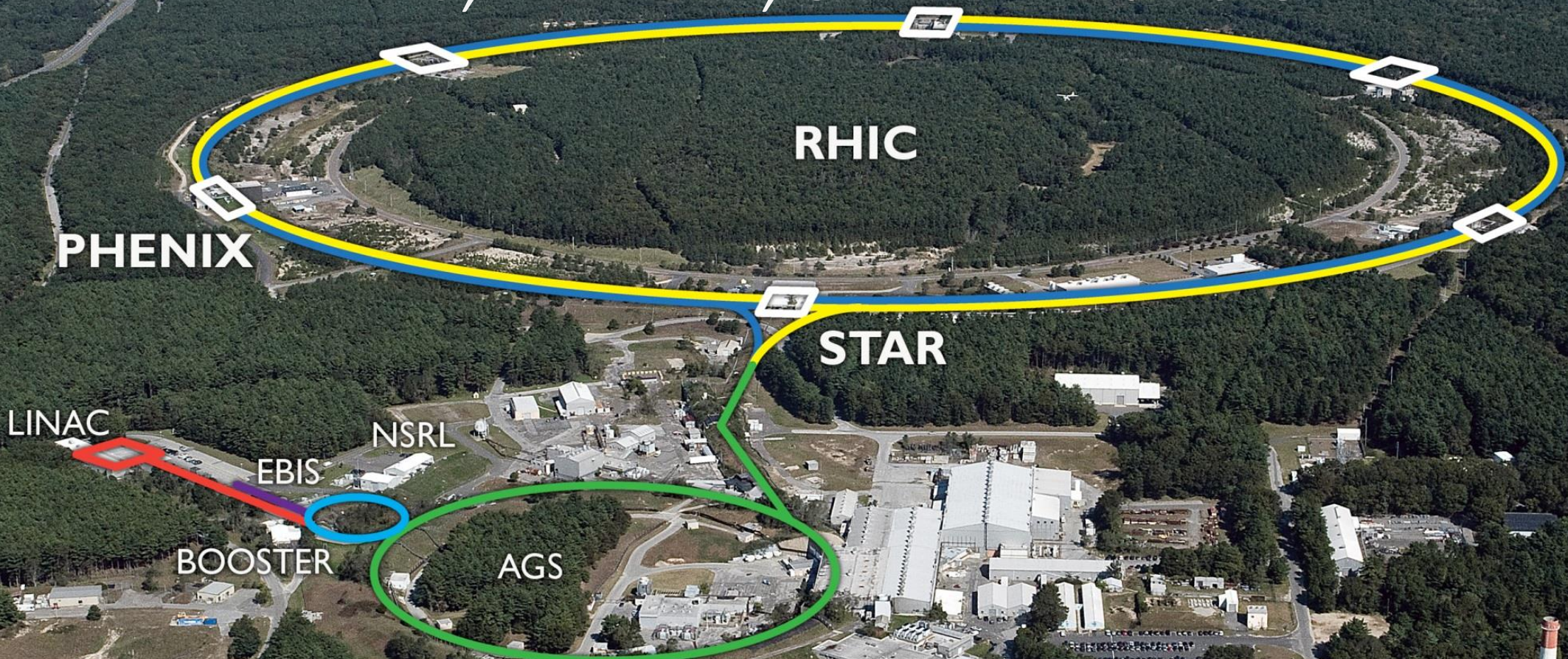
The RHIC ring(s) with polarized protons

$L_{\max} = 2 \times 10^{32} \text{ s}^{-1} \text{ cm}^{-2}$
 $50 < \sqrt{s} < 500 \text{ GeV}$
 $\sim 70\% \text{ Polarization}$



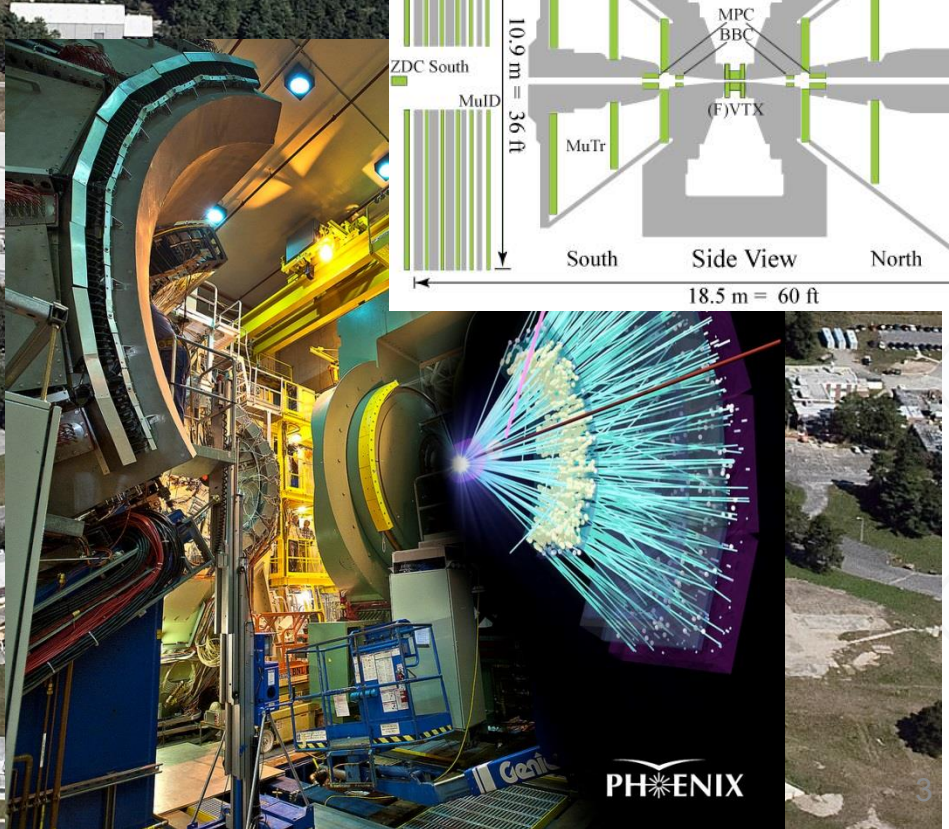
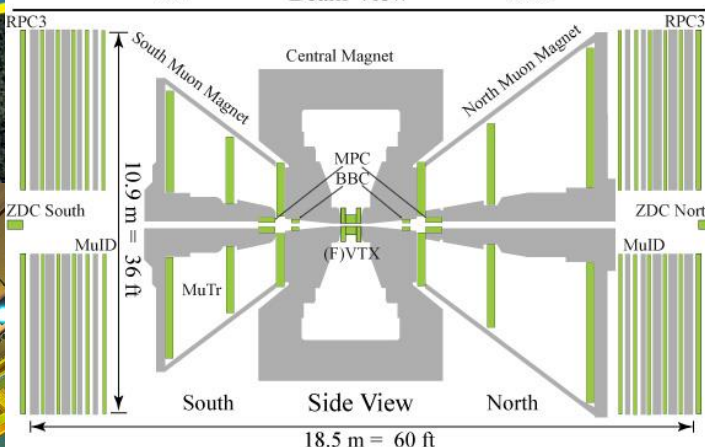
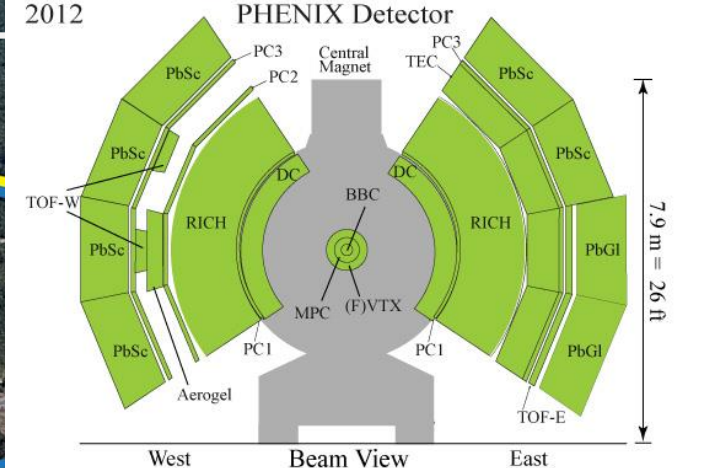
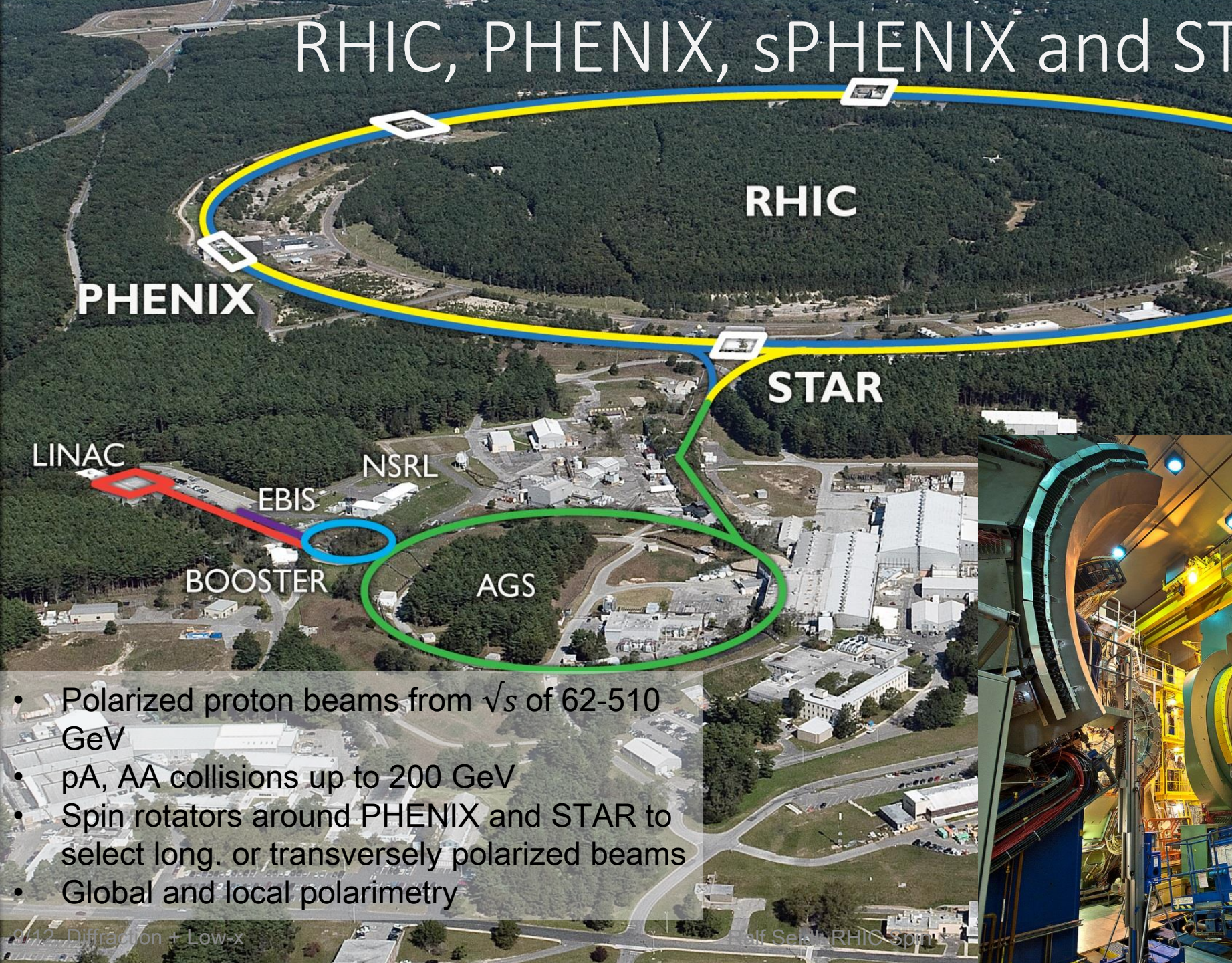
$2 \times 10^{11} \text{ Pol. Protons / Bunch}$
 $\epsilon = 20 \pi \text{ mm mrad}$

RHIC, PHENIX, sPHENIX and STAR



- Polarized proton beams from \sqrt{s} of 62-510 GeV
- pA, AA collisions up to 200 GeV
- Spin rotators around PHENIX and STAR to select long. or transversely polarized beams
- Global and local polarimetry

RHIC, PHENIX and STAR

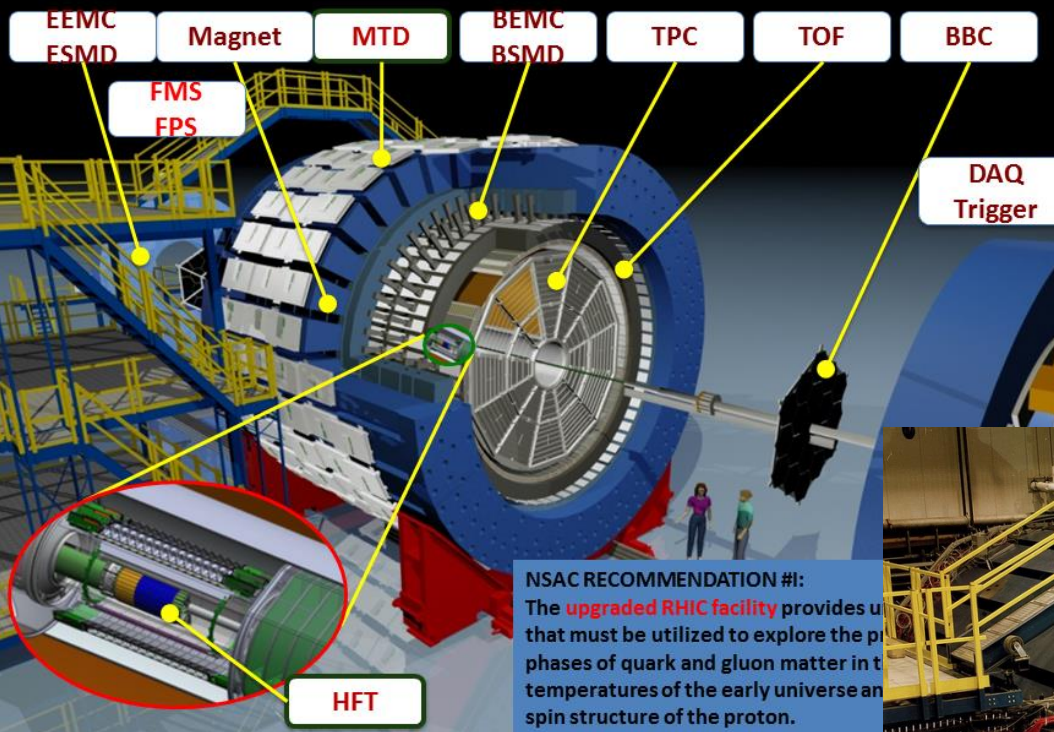


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RHIC, PHENIX, sPHENIX and STAR

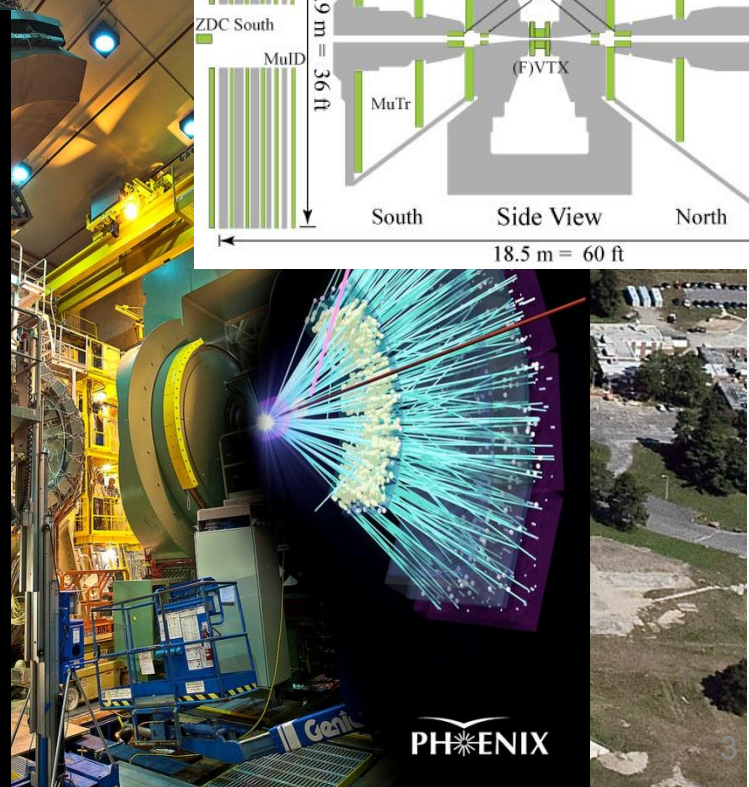
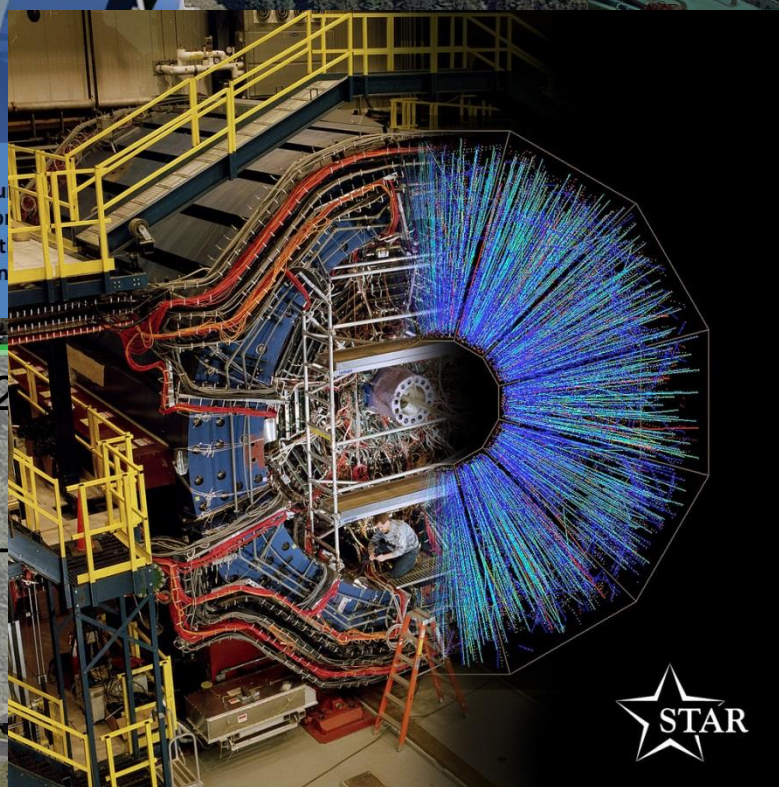
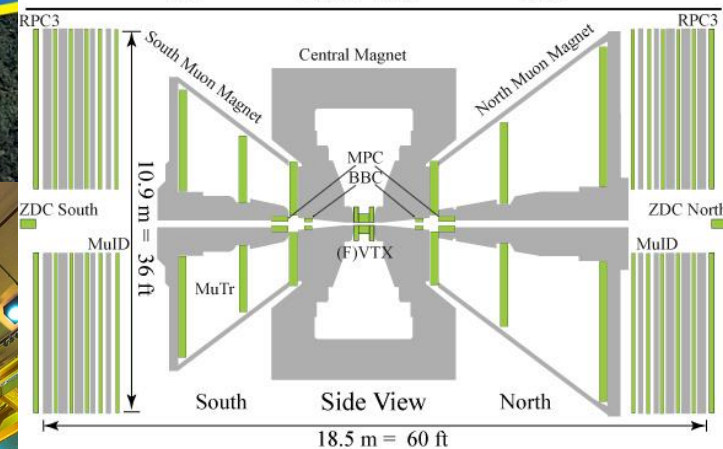
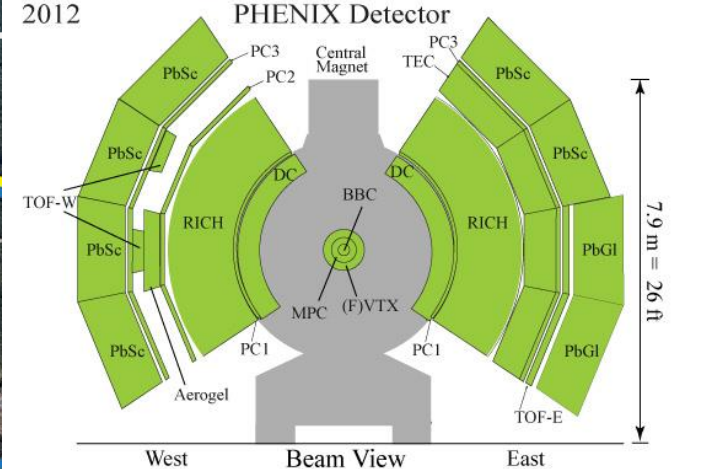
STAR Detector System

15 fully functioning detector systems



RHIC

STAR



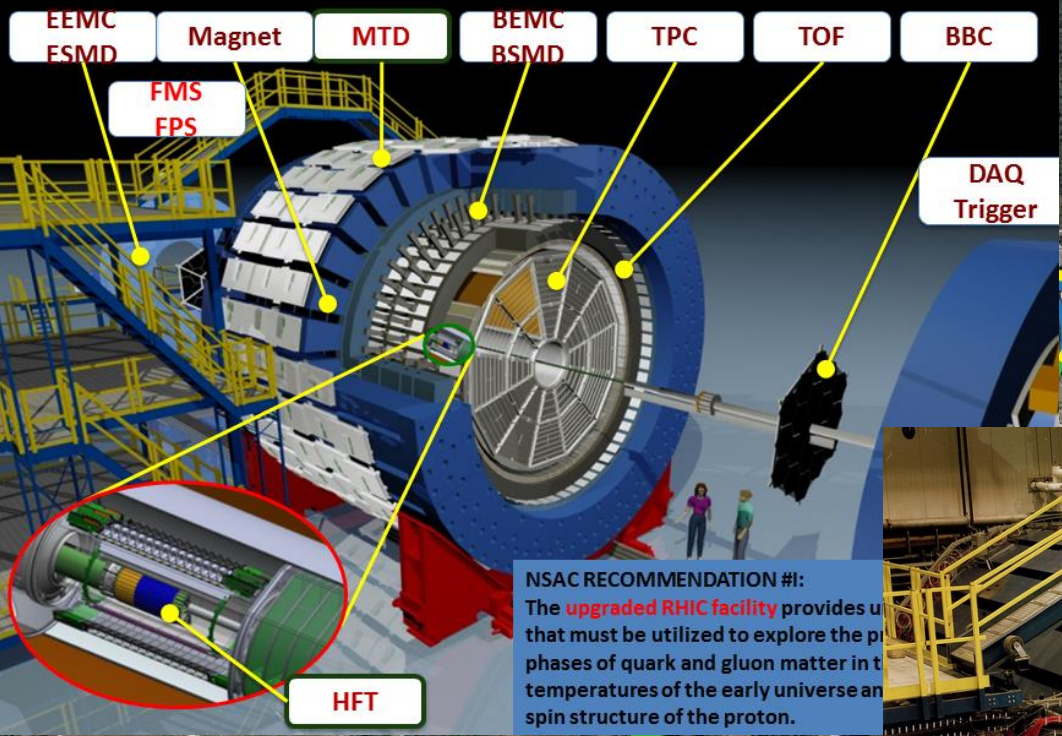
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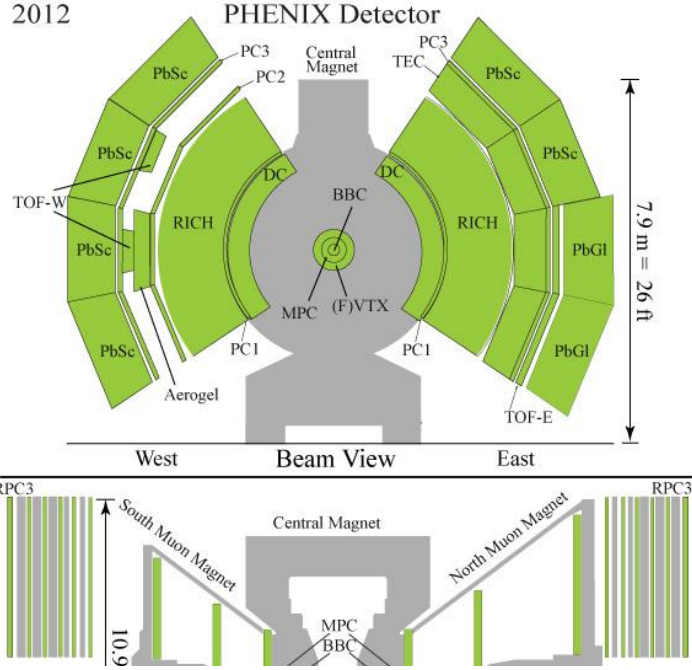
RHIC, PHENIX, sPHENIX and STAR

STAR Detector System

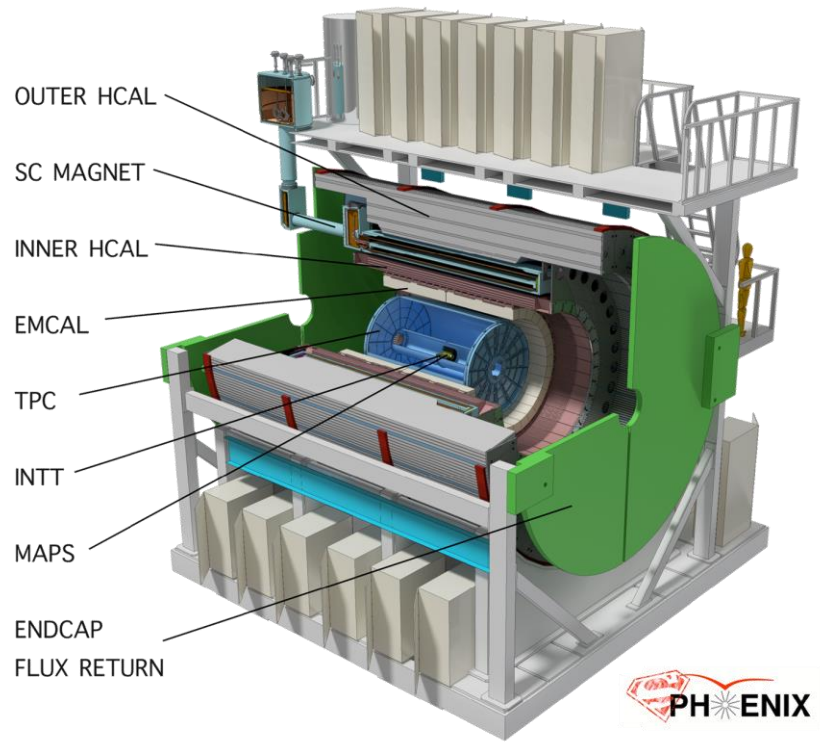
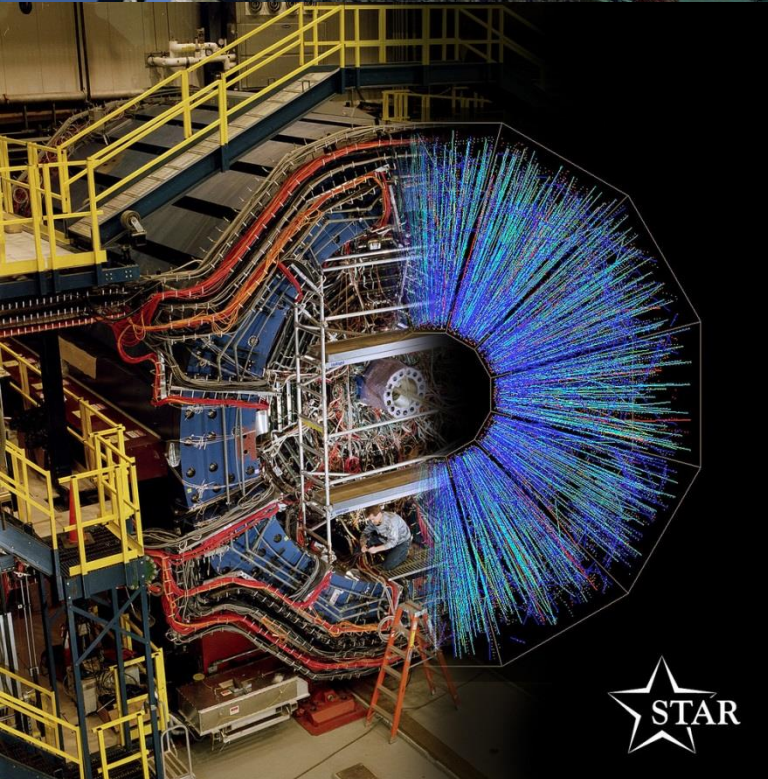
15 fully functioning detector systems

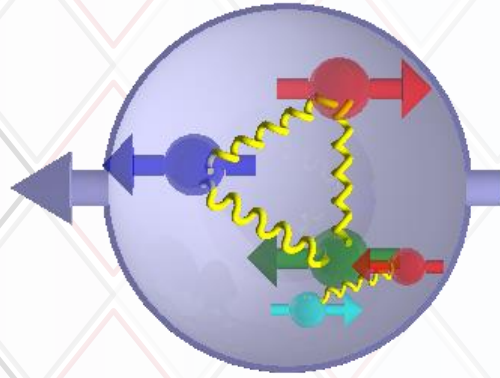


NSAC RECOMMENDATION #1:
The upgraded RHIC facility provides us with a unique opportunity that must be utilized to explore the phases of quark and gluon matter in the temperatures of the early universe and the spin structure of the proton.



- Polarized proton beams from \sqrt{s} of 62 GeV
- pA, AA collisions up to 200 GeV
- Spin rotators around PHENIX and STAR select long. or transversely polarized
- Global and local polarimetry

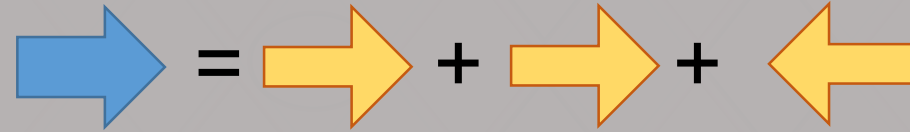




Helicity PDFs, longitudinal spin

The Spin sum rule

Naïve Quark Model picture: 3 valence quarks make up the spin of the nucleon:



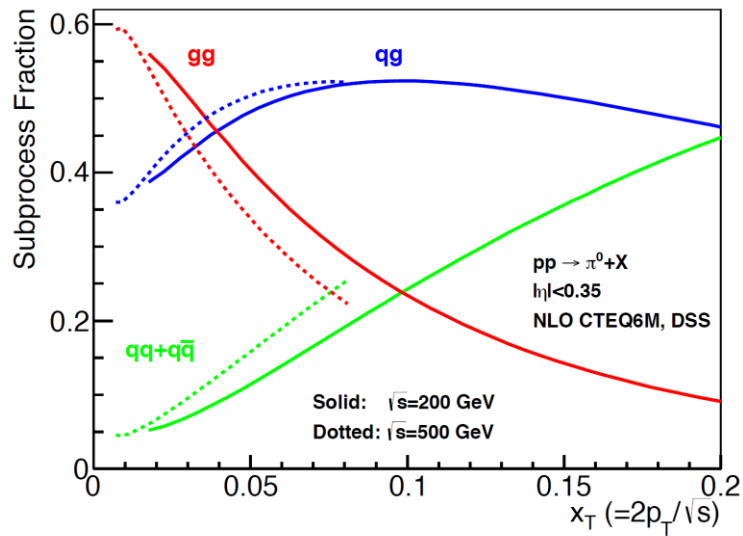
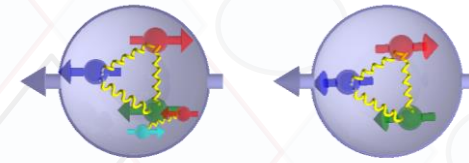
$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L \quad \text{Jaffe, Manohar}$$

Quark spin Gluon spin Orbital angular momentum

$$\Delta\Sigma = \int dx \left[(\Delta u(x) + \Delta \bar{u}(x)) + (\Delta d(x) + \Delta \bar{d}(x)) + (\Delta s(x) + \Delta \bar{s}(x)) \right]$$

- **Spin Crisis (1980s): Quark spin contributes only little**
- $\Delta\Sigma$ and ΔG can be accessed in longitudinally polarized (SI)DIS and pp collisions (currently for $x > 0.01$)
- Where is the rest of the spin? Gluons? Lower momentum fractions? Orbital angular momentum?

Hard processes at RHIC



- Most processes are dominated by gluon hard interactions at RHIC energies
→ Access to Gluon related spin and transverse spin effects!
- Relative contributions different for different final states (flavor sensitivity)

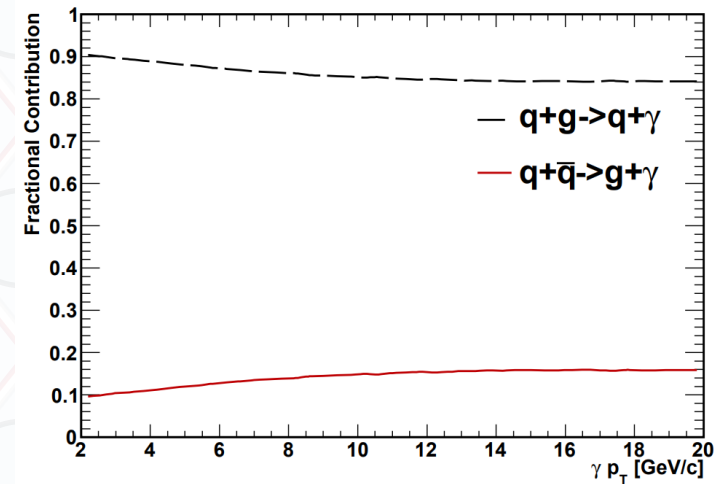
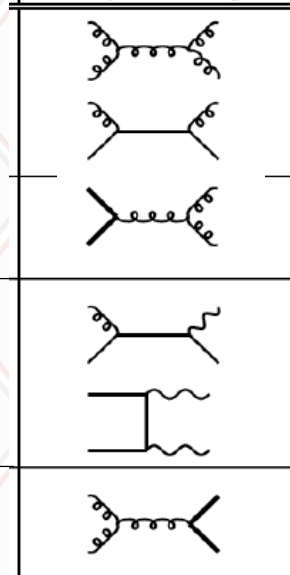
$p+p \rightarrow \text{pion}(s), \text{eta} + X$

$p+p \rightarrow \text{jet}(s) + X$

$p+p \rightarrow \gamma + X$

$p+p \rightarrow B, D, J/\psi + X$

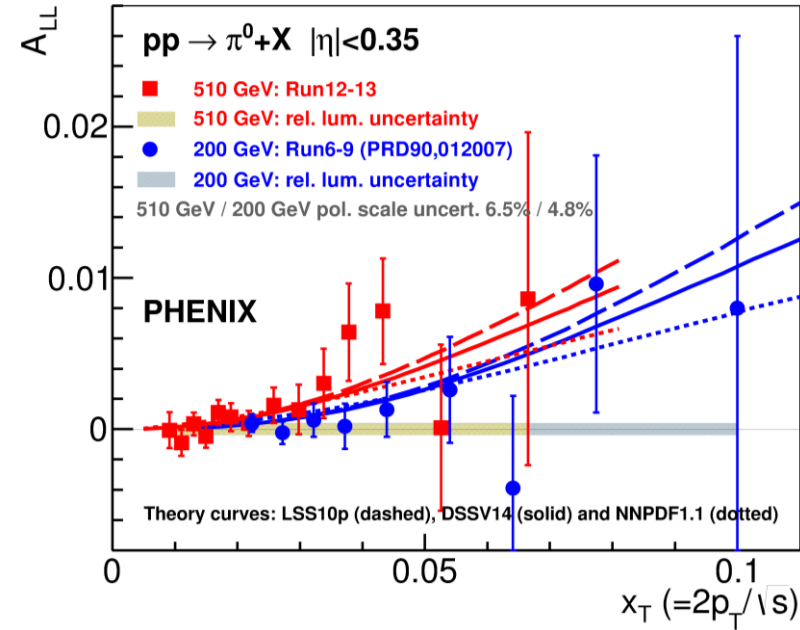
LO Feynman diagram



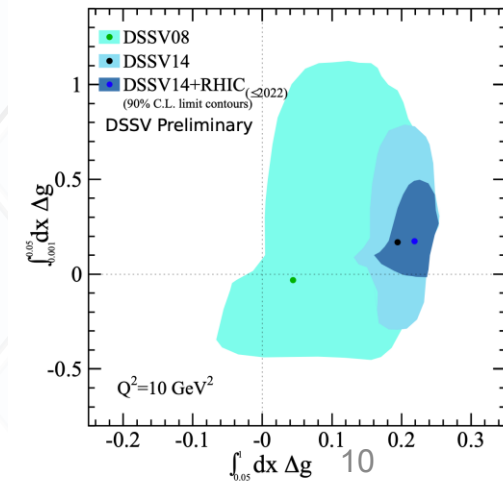
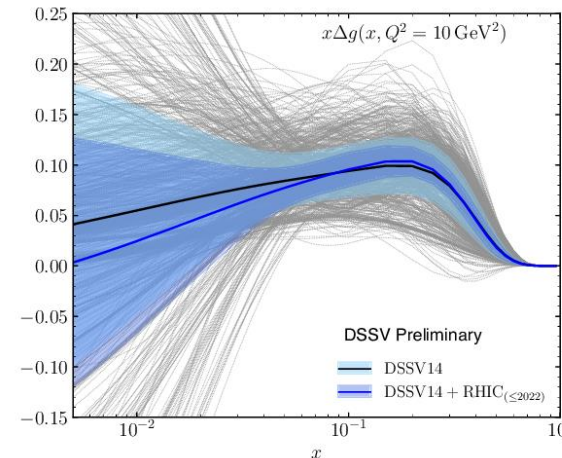
[Underwood`92](#) Direct photons as golden channel for RHIC spin physics

Nonzero Gluon spin: access to lower x with higher energies

- Nonzero gluon polarization established with RHIC $\sqrt{s} = 200$ GeV data
- RHIC 510 GeV data (>2011) confirmed it in workhorse (jet, pion) measurements
- Extend access to lower x by higher energy (now $\sim 10^{-2}$)



[PRD 93 \(2016\) 011501](#)

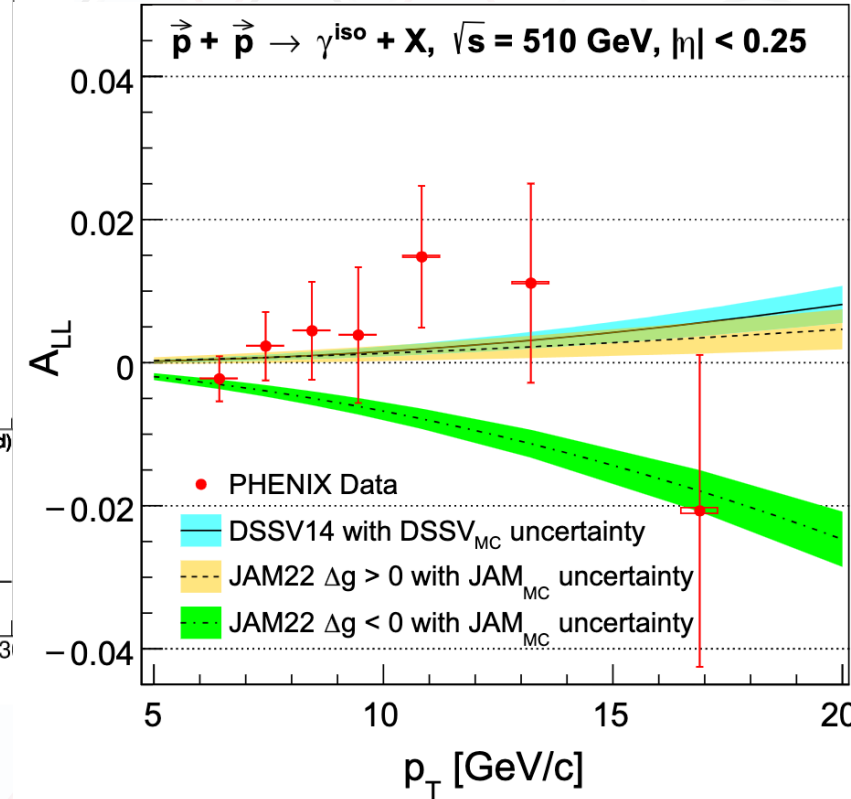
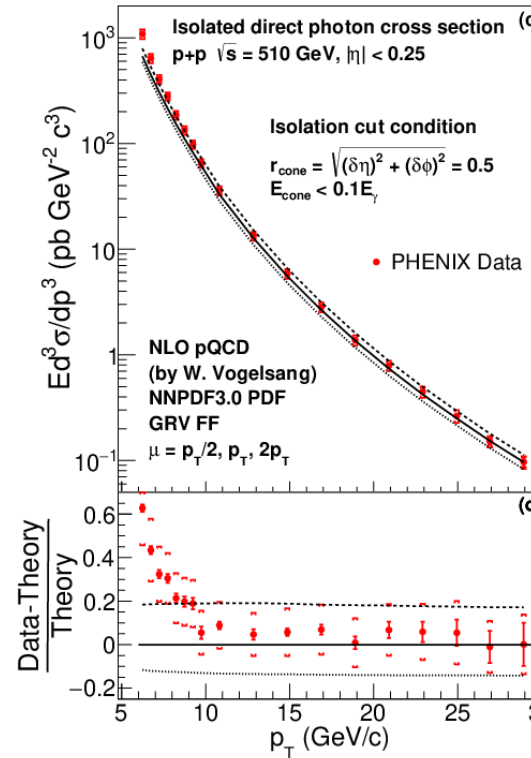
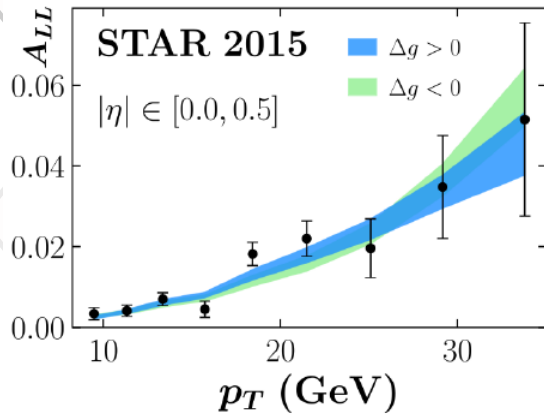


First direct photon xsec and A_{LL} at 510 GeV

$$\Delta g(x)$$

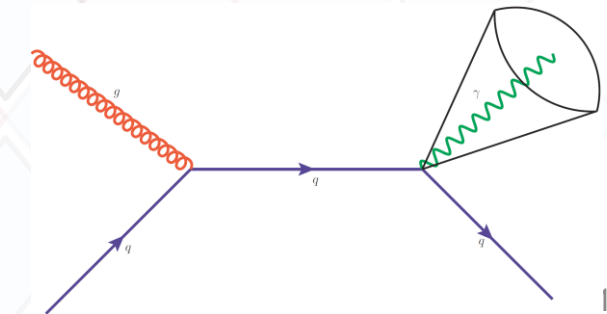
[PRL 130 \(2023\) 251901](#)

JAM: ambiguity* of gluon spin sign?
[PRD 105 \(2022\) 074022](#)



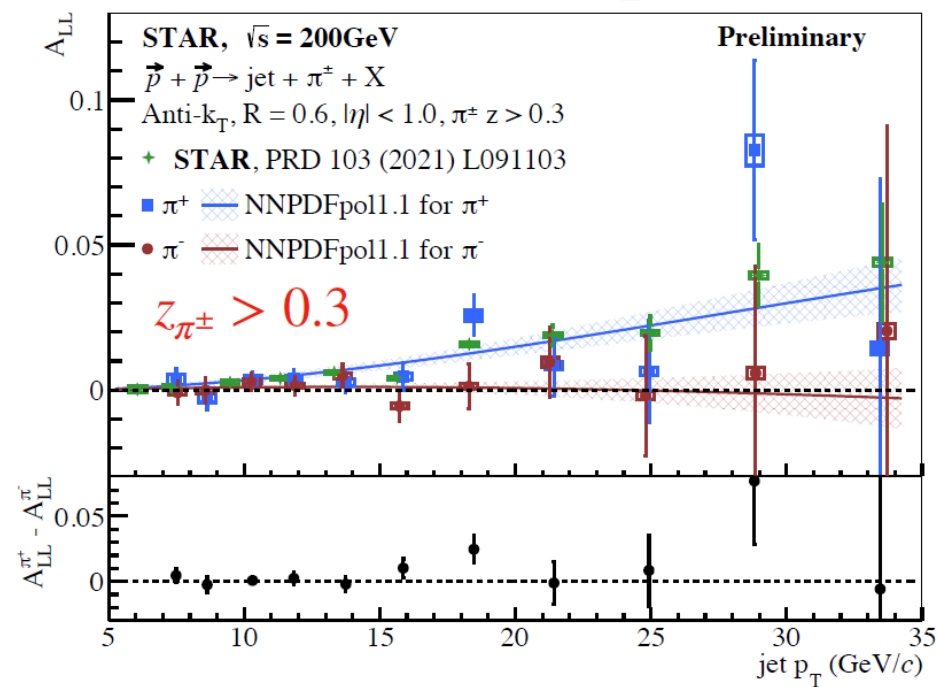
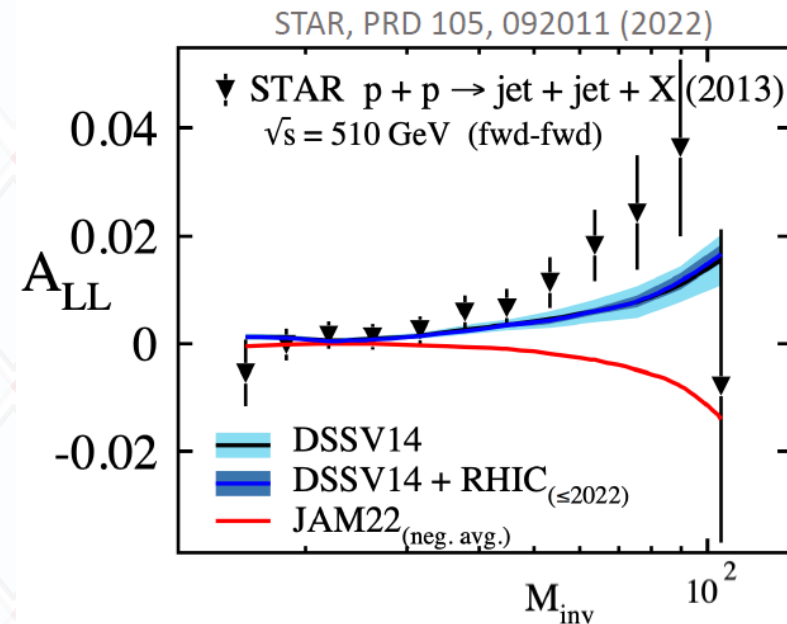
- Part of initial RHIC-Spin goals in the '90s
- Theoretically, the Golden channel to access gluon polarization as hard interaction mostly q-g
- Since EM process, statistically limited but consistent with global fit results
- Clear preference for positive gluon polarization in measured range

[DOE Science Highlight](#)



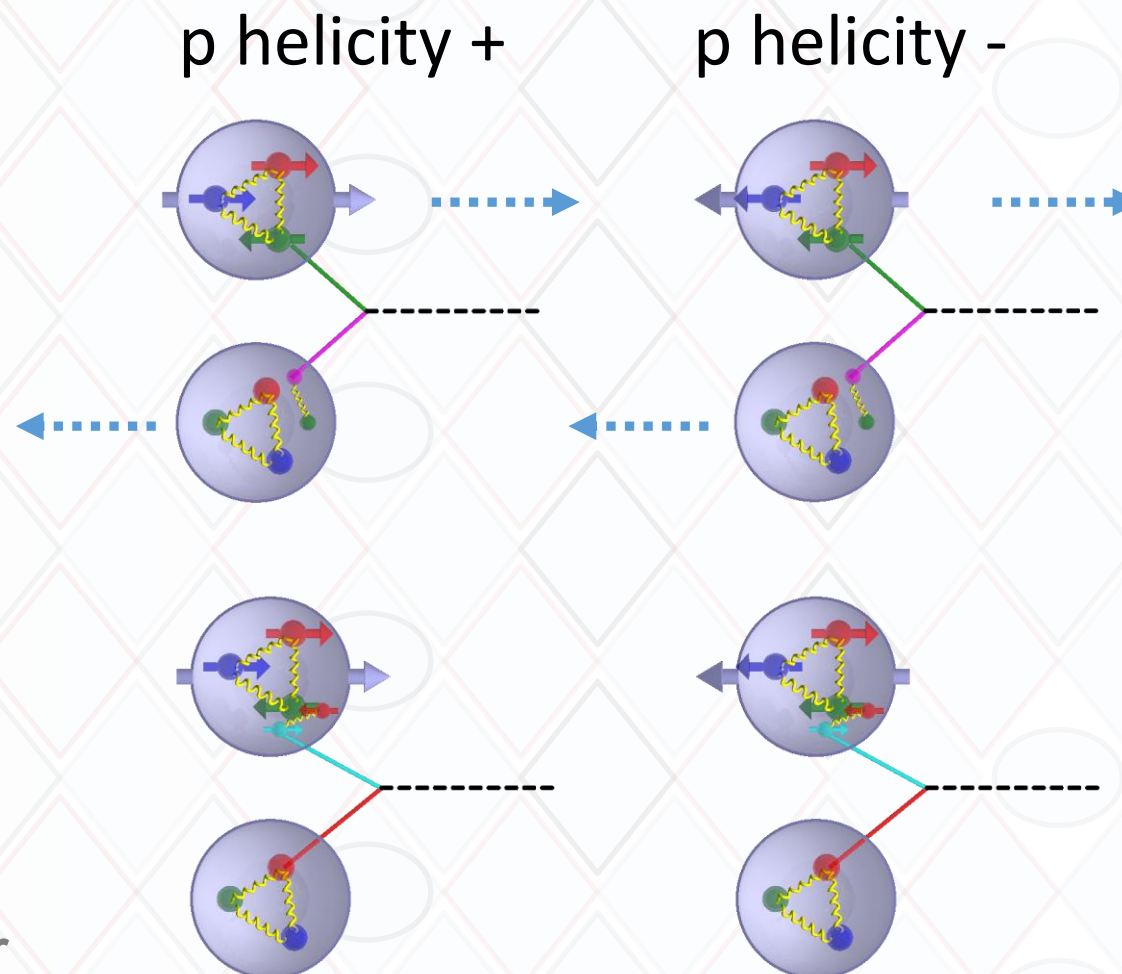
Jet A_{LL} s

- Single jet measurements
workhorse measurements at
STAR
- Di-jet A_{LL} s with good x sensitivity
via invariant mass and jet
rapidities
- Pion tagged jets: clear
separation by pion charge –
ordering confirms positive gluon
polarization



Real W production as access to (anti)quark helicities

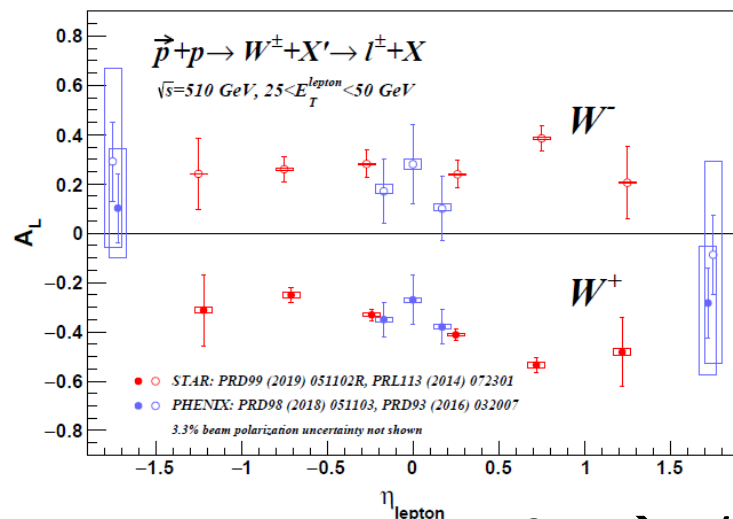
- Maximally parity violating V-A interaction selects only **lefthanded** quarks and **righthanded** antiquarks:
 - ➔ Having different helicities for the incoming proton then selects spin parallel or antiparallel of the quarks
 - ➔ Difference of the cross sections gives quark helicities $\Delta q(x)$
- No Fragmentation function required
- Very high scale defined by W mass



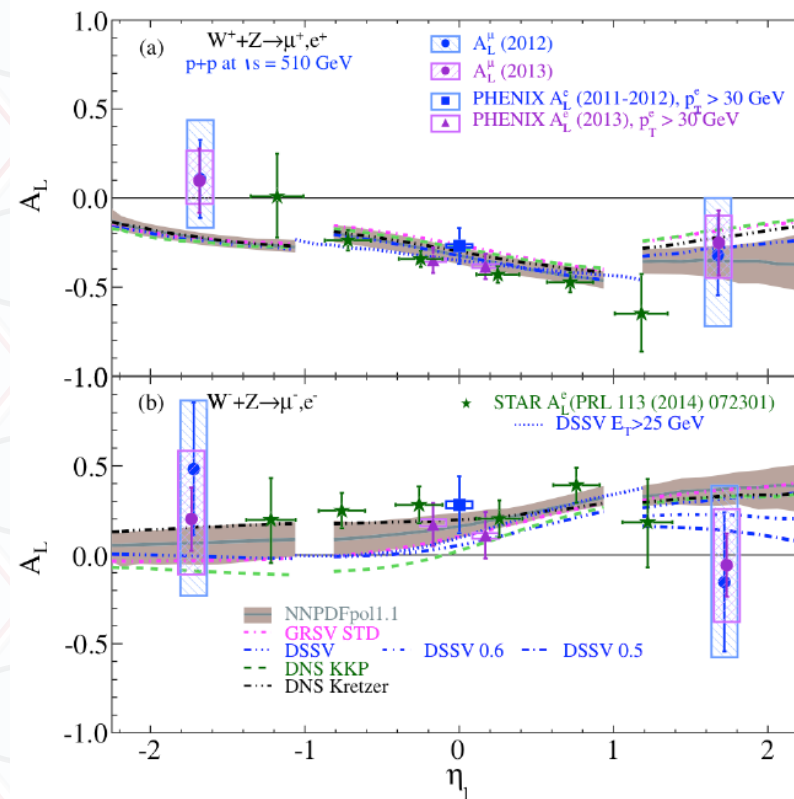
Bourely , Soffer
Nucl.Phys. B423 (1994) 329-348

Forward $W+Z \rightarrow \mu$ asymmetries

STAR: [Phys.Rev.D 99 \(2019\) 051102](#)



- Precise measurements of $W \rightarrow e/m$ asymmetries
- Asymmetries overall as expected (dominated by quark helicities)
- clear preference wrt to parameterization uncertainty bands determines sea quark helicities

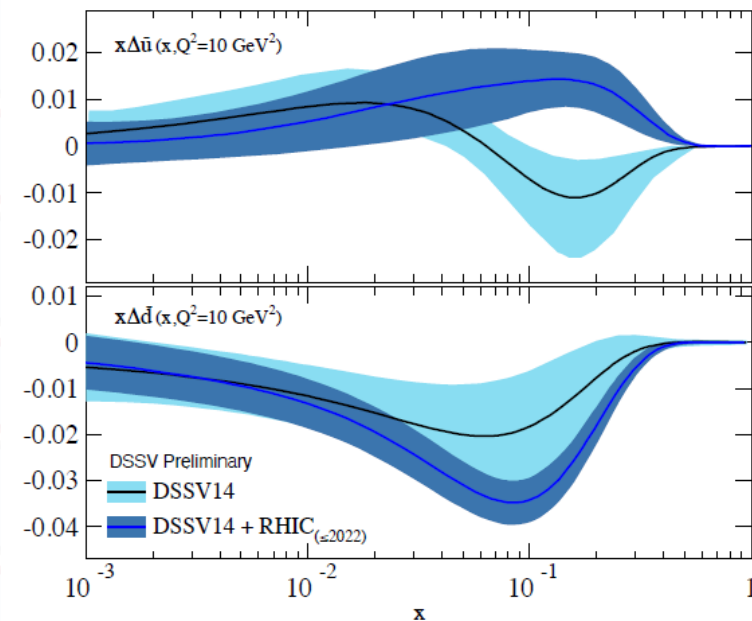


PHENIX:

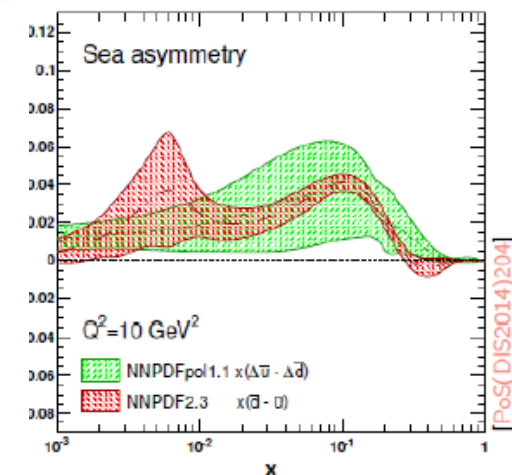
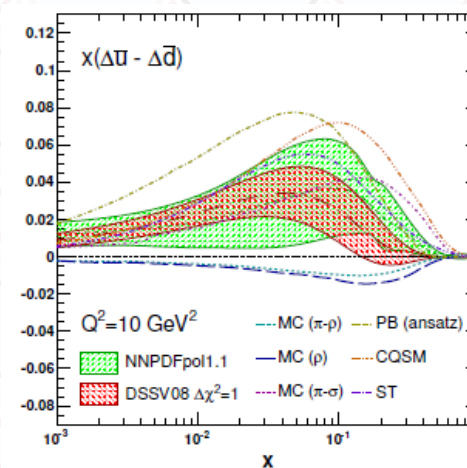
[Phys.Rev.D 98 \(2018\) 032007](#),
[Phys.Rev.D 93 \(2016\) 051103](#)

Sea quark helicities

- RHIC data at boundary of DSSV/NNPDFpol1.1 uncertainty bands
- Reweighted NNPDFpol1.1 and DSSV14 fits shows substantial polarized light sea asymmetry
- opposite sign to most pion cloud models (where polarized and unpolarized light sea asymmetries have same sign)



NNPDFpol1.1: [arXiv:1406.7122](https://arxiv.org/abs/1406.7122)



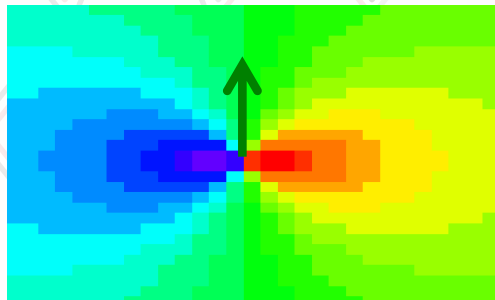
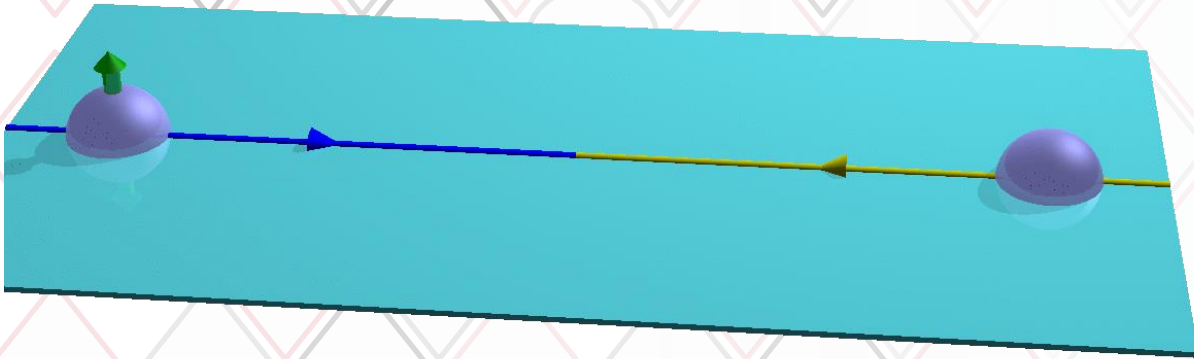
Transverse Single spin asymmetries (TSSAs)

- Left-Right asymmetries :

$$A_N = \frac{1}{P} \frac{N^L - N^R}{N^L + N^R}$$

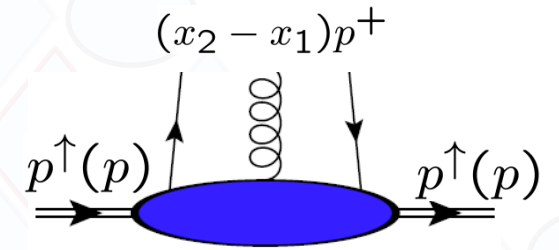
- Relative to the polarized proton spin direction **more** particles get produced to the **left** than to the **right** wrt. spin direction
- The cross section is spin (and azimuthal angle) dependent
- Initially expected to be zero in perturbative QCD (helicity-flip of nearly massless quarks) - G. L. Kane, J. Pumplin, and W. Repko *PRL***41**, 1689 (1978):

$$A_N \propto \frac{m_q \alpha_S}{P_T} \approx 0.001$$

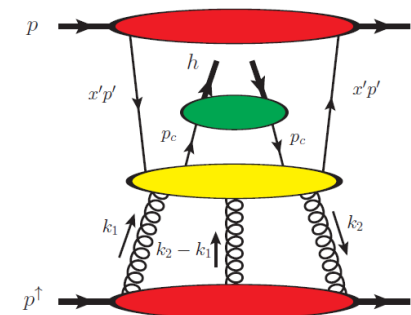


TSSAs at RHIC → Quark-gluon dynamics!

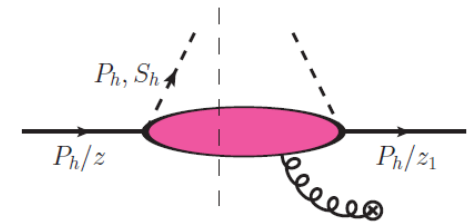
- Sivers and Collins effects rely on an explicitly **transverse momentum dependent** (TMD) framework where two scales are observed: high scale (typically Q^2) and intermediate scale (transverse momentum $P_T \ll Q^2$)
- In inclusive pp measurements usually only one, hard scale accessible (transverse momentum P_T)
 - requires **higher Twist**, collinear framework, contributions are multi-parton correlators (both in initial state and final state)
- Both frameworks found to be related via moments over intrinsic transverse momenta



q-g correlation (\leftrightarrow quark Sivers)



g-g correlation (trigluon \leftrightarrow gluon Sivers)



q-g FF correlation (\leftrightarrow Collins)

Single spin asymmetry contributions in p+p

$$\begin{aligned}
 A_N &\approx \sum_{a,b,c} \overset{\text{pol proton PDF*}}{\phi_{a/A}^{(3)}(x_1, x_2, s)} \otimes \overset{\text{unpol proton PDF*}}{\phi_{b/B}(x')} \otimes \overset{\text{FS particle FF*}}{D_{c \rightarrow C}(z)} \\
 &+ \sum_{a,b,c} \delta q_{a/A}(x, s) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes D_{c \rightarrow C}(z) \\
 &+ \sum_{a,b,c} \delta q_{a/A}(x, s) \otimes \phi_{b/B}(x') \otimes D_{c \rightarrow C}^{(3)}(z_1, z_2)
 \end{aligned}$$

a,b/c initial/final parton flavors
 A,B/C initial/final hadron/particle types

Efremov, Teryaev Phys.Lett.B 348 (1995) 577

Qiu, Sterman Phys.Rev.D 59 (1999) 014004

Kanazawa, Koike Phys.Lett.B 478 (2000) 121-126

Metz, Pitonyak Phys.Lett.B 723 (2013) 365-370

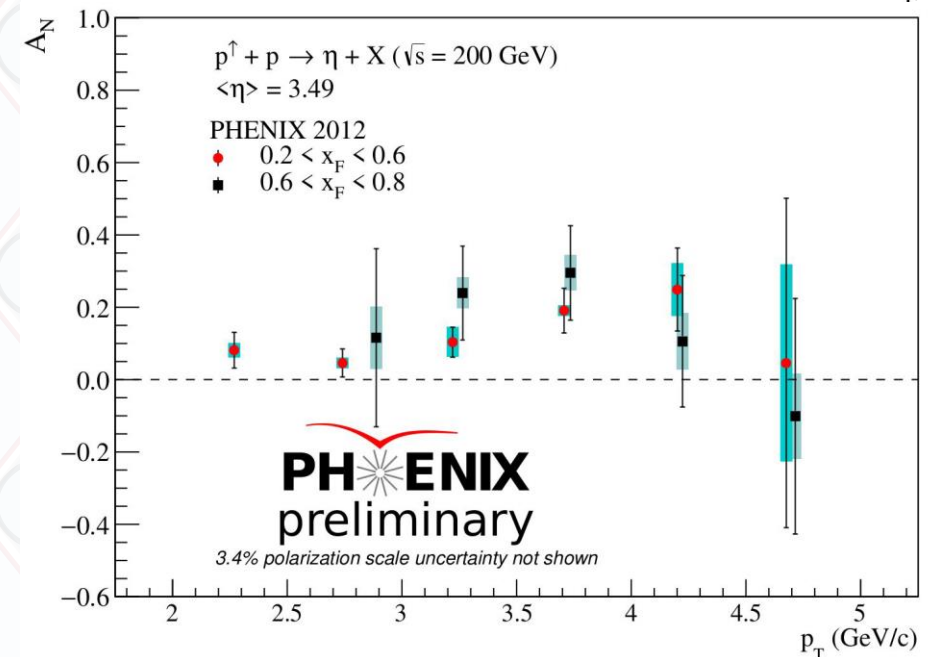
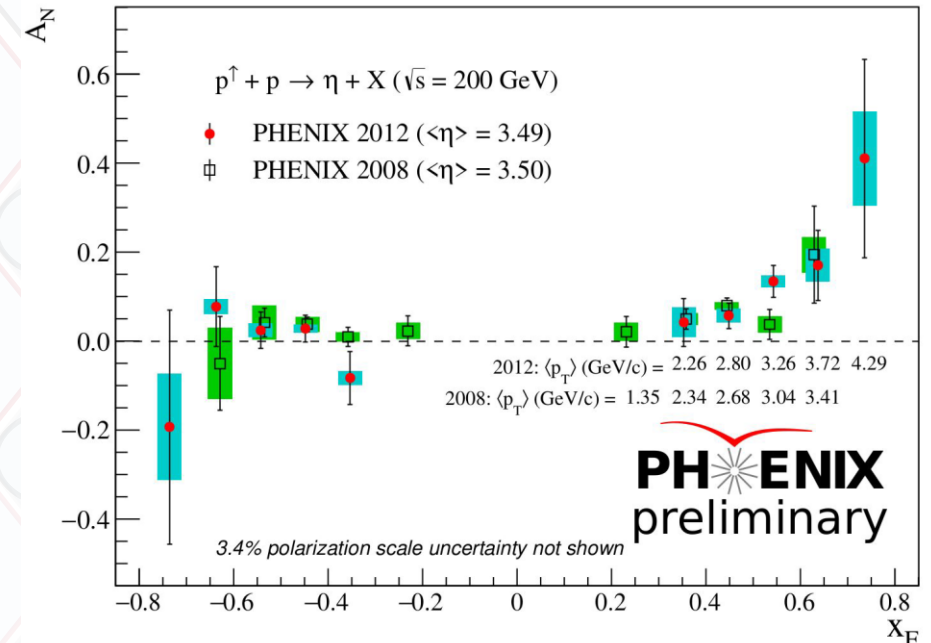
• Generally three pieces to p+p single transverse spin asymmetries:

- **Twist three correlation functions** (quarks or gluons) in polarized proton \leftrightarrow Sivers function
- **Twist three correlation function in unpolarized proton** (with transversity) \leftrightarrow Boer Mulders function
- **Twist three correlation in fragmentation** \leftrightarrow Collins function

→ Different final states single out different contributions (via hard processes)

Forward eta A_N s

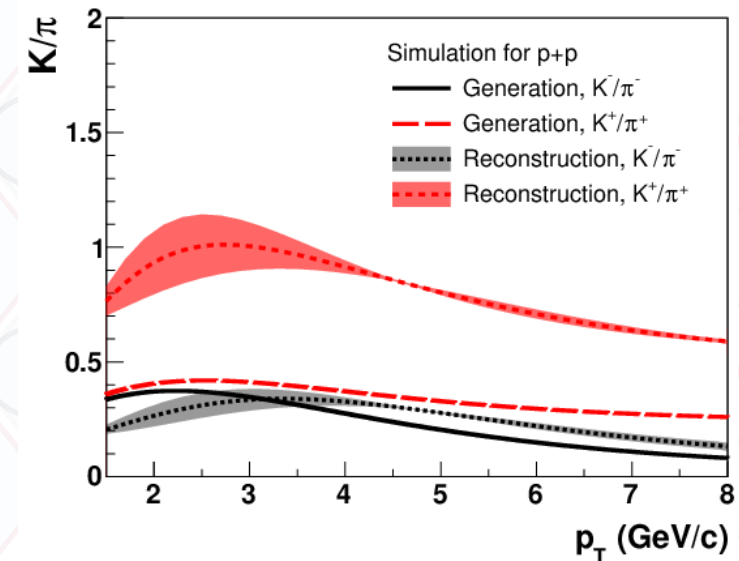
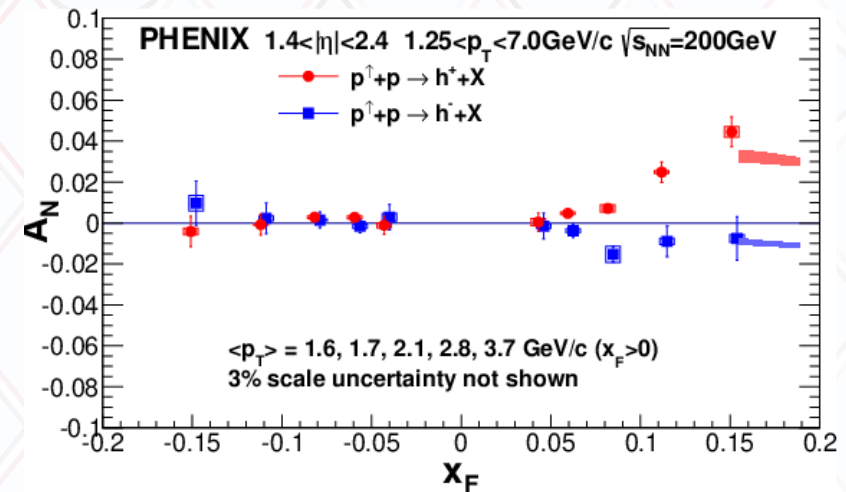
- Update of forward η A_N measurements with better statistics
- Asymmetries sizeable, maybe a hint of turnaround expected at higher p_T due to HT nature of asymmetries



Forward charged hadron A_n s

- Also more detailed forward ($1.4 < \eta < 2.4$) charged hadrons
- For proton collisions sizeable positive asymmetries for h^+ , slightly negative for h^-
- h^- results expected due to mix of pions (negative) and kaons (positive)
- Negative kaons are enhanced due to the absorbing material

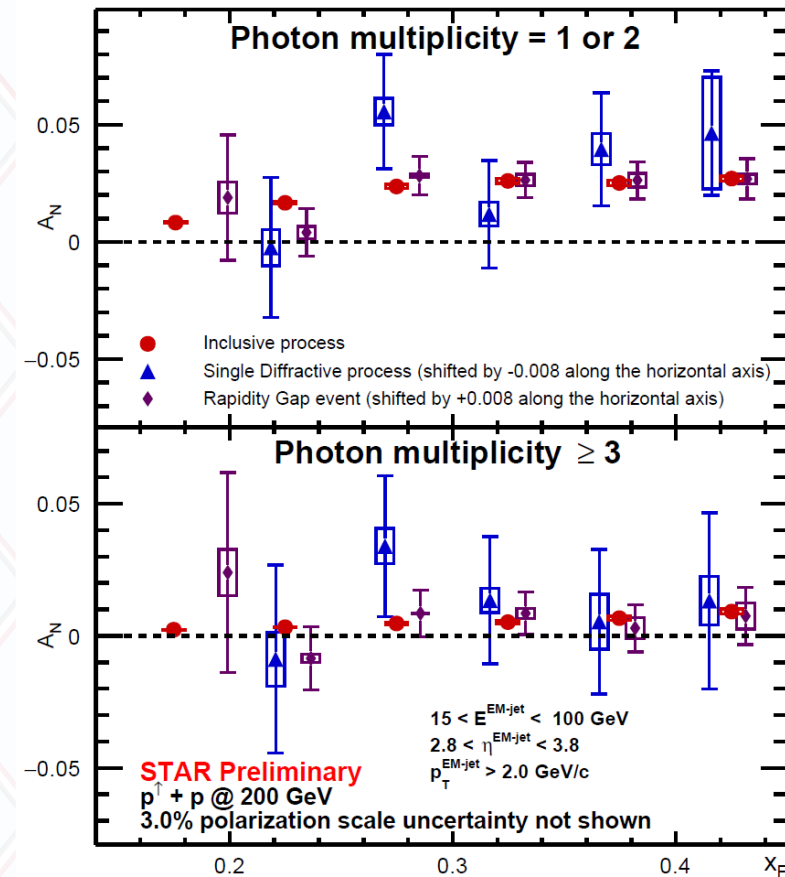
[PRD 108 \(2023\) 072016](#)



Diffractive contributions?

- Both single diffractive and rapidity gap events show asymmetry comparable to inclusive asymmetry
- To compensate for small contribution of diffractive events to inclusive events asymmetry had to be much larger
- Conclusion: Diffractive events are not main cause for nonzero A_{NS}

STAR forward EM-“jet” ANs



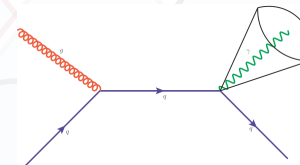
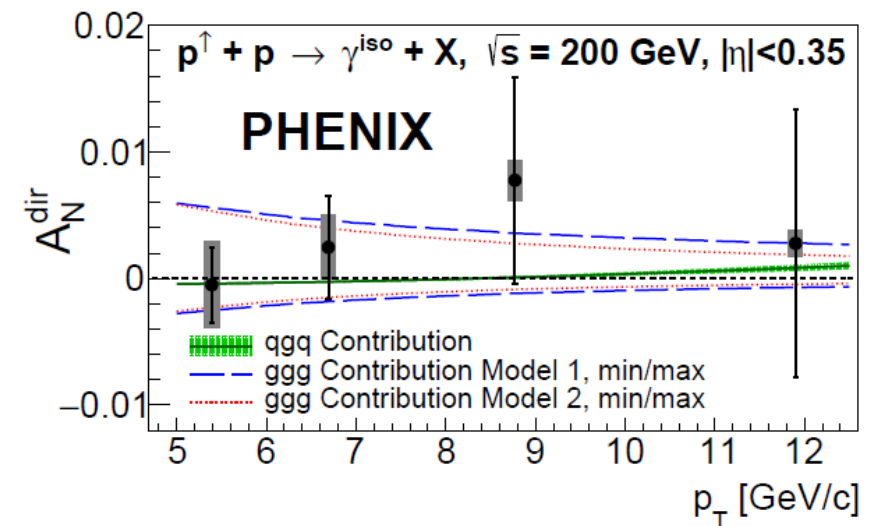
First direct photon A_N s

- **First direct photon** A_N extracted at RHIC
- Mostly sensitive to initial state effects (no fragmentation) \rightarrow quark-gluon and gluon-gluon correlation functions
- Power to constrain gluon-gluon correlation function well, since quark impact expected to be small

RIKEN Press release: https://www.riken.jp/press/2021/20211015_1/index.html

BNL Press release: <https://www.bnl.gov/newsroom/news.php?a=119077>

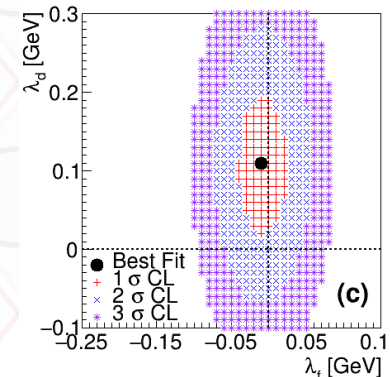
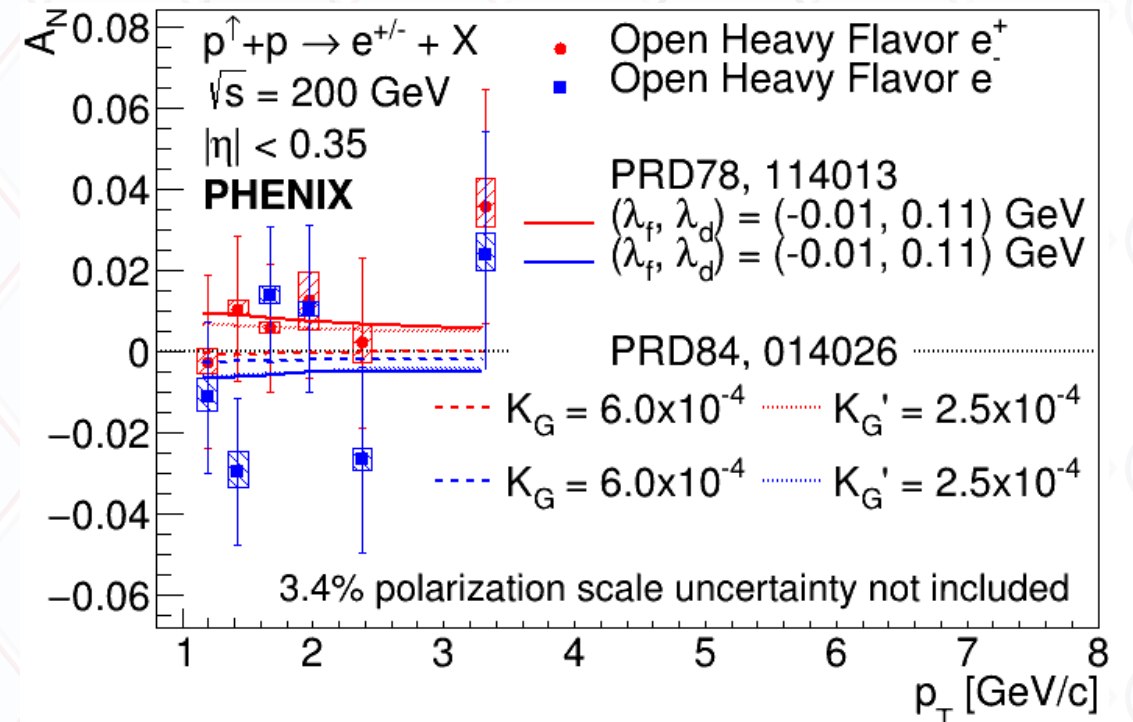
[PRL 127 \(2021\) 162001](#)



Heavy Flavor electron A_N s

[PRD 107 \(2023\) 052012.](#)

- Almost only gluon related, no final state effects \rightarrow tri-gluon correlation
- Potential to constrain parameter ranges in D meson A_N theory calculations: [PRD78](#), 114013 (Z.B. Kang, J.W. Qiu, W. Vogelsang, F. Yuan)
- Comparison or charges provides further sensitivity



$A_N(p^\uparrow + p \rightarrow \text{HF}(e^{+/-}) + X)$

$\sqrt{s} = 200 \text{ GeV}$

$|\eta| < 0.35$

PHENIX

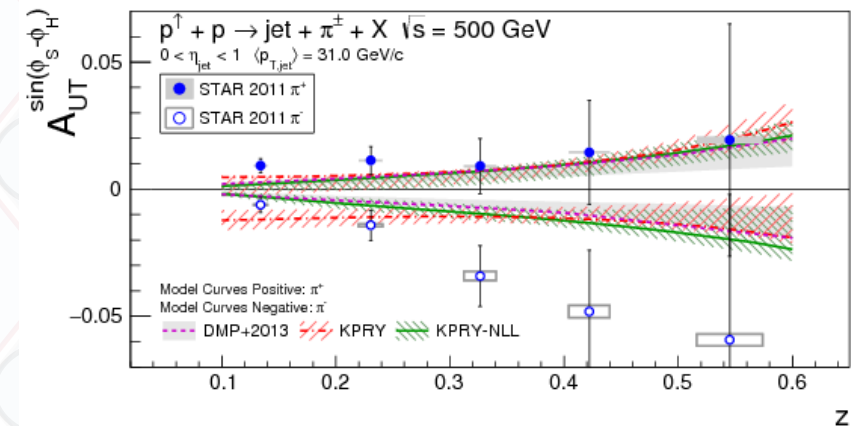
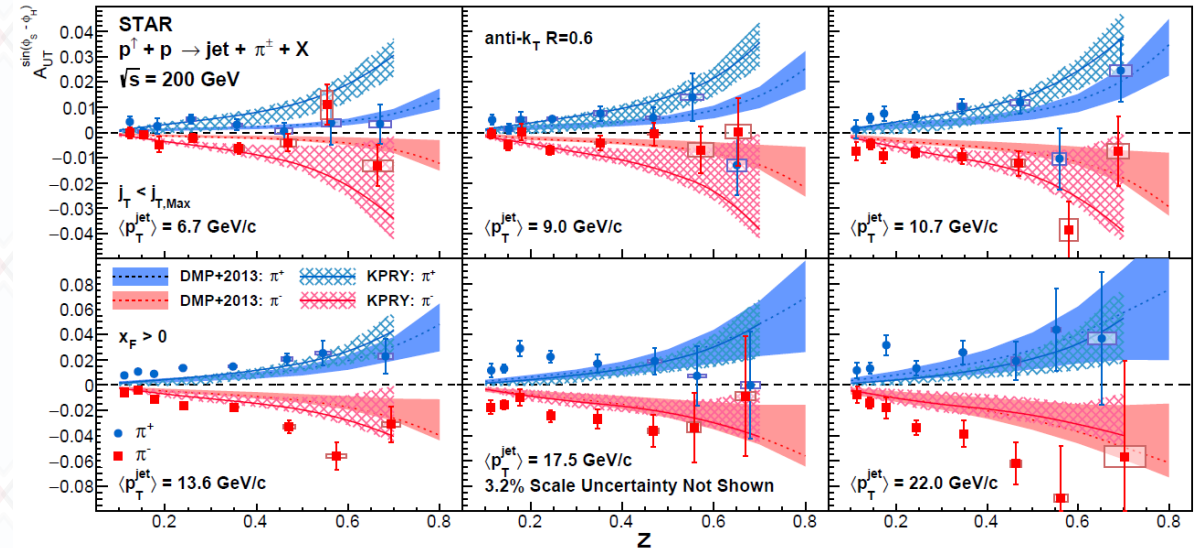
Theory: PRD78, 114013

$A_N^{D^0/\bar{D}^0 \rightarrow e^{+/-}}(\lambda_f, \lambda_d)$

Transversity in proton collisions

- Nonzero Collins asymmetries (hadron in jets) at central rapidities at 200 and 500 GeV
- Substantial theoretical progress for hadron in jet measurements
 - unpolarized: Kaufmann et al.
 - polarized Kang et al.
- For roughly same x and k_t similar size \rightarrow evolution effects moderate?
- But generally slightly larger than global fits from SIDIS/ e^+e^-
- More to come from sPHENIX in near future

STAR: [Phys.Rev.D 106 \(2022\) 072010, 2022](#)



STAR: [Phys.Rev.D 97 \(2018\) 032004](#)

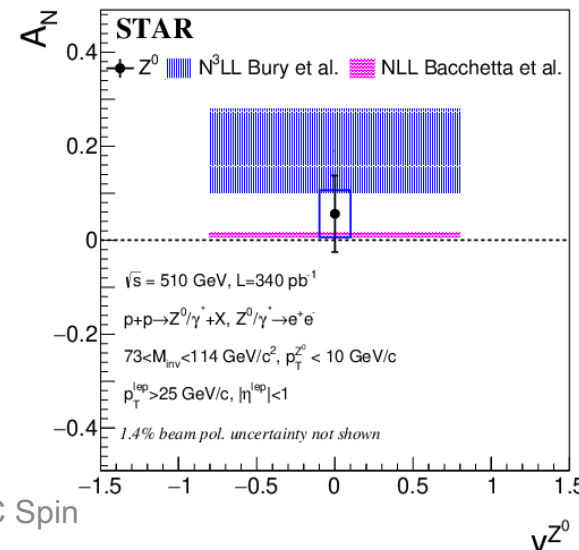
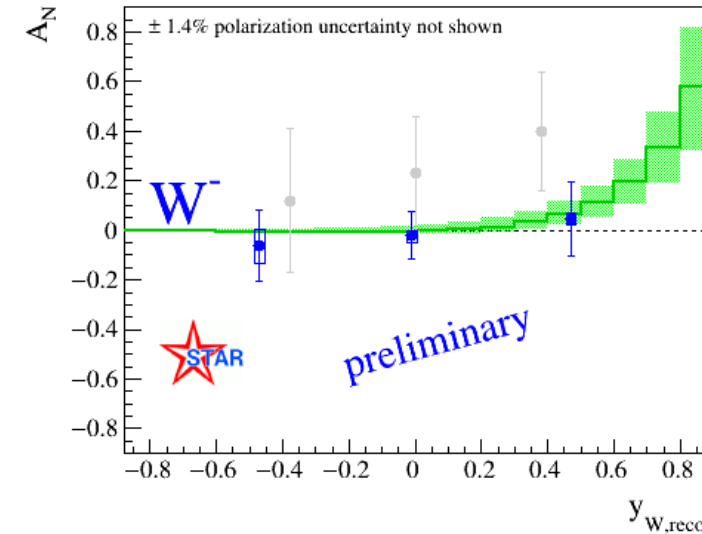
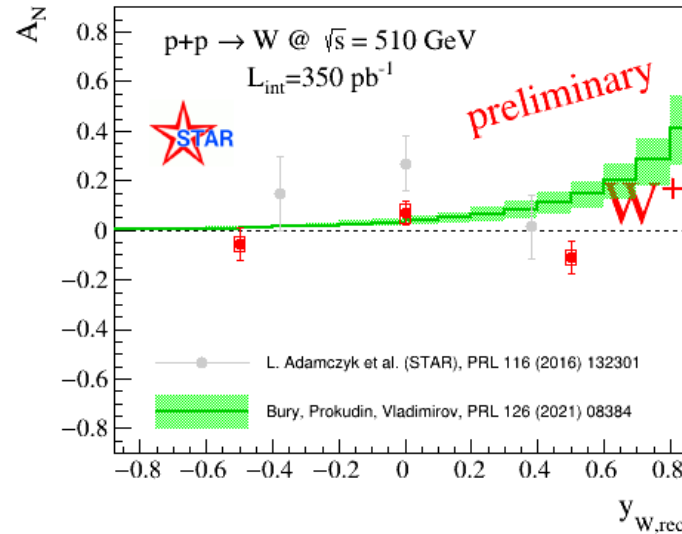
Towards the Sivers sign change

$$f_{1T,q}^{\perp DY}(x, k_T) \stackrel{?}{=} -f_{1T,q}^{\perp DIS}(x, k_T)$$

→ Chiu, Tuesday

- STAR: Using recoil method reconstruct W transverse momentum and azimuthal asymmetry
- First indication of expected sign change! Weaker after including 2017 data
- Evolution effects could reduce size of asymmetries
- Now also Z cross sections and asymmetries

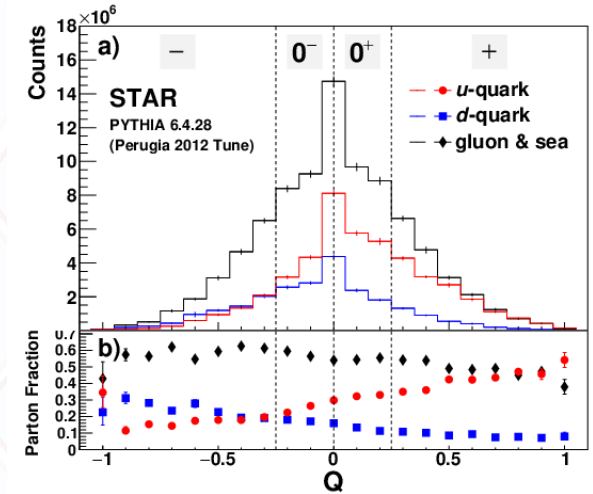
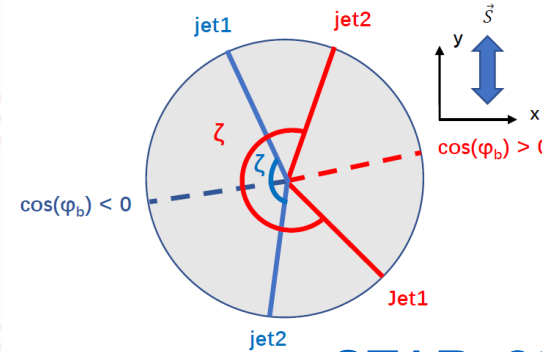
STAR: [PRL 116 \(2016\) 132301](#)



STAR [PLB 854 \(2024\) 138715](#)

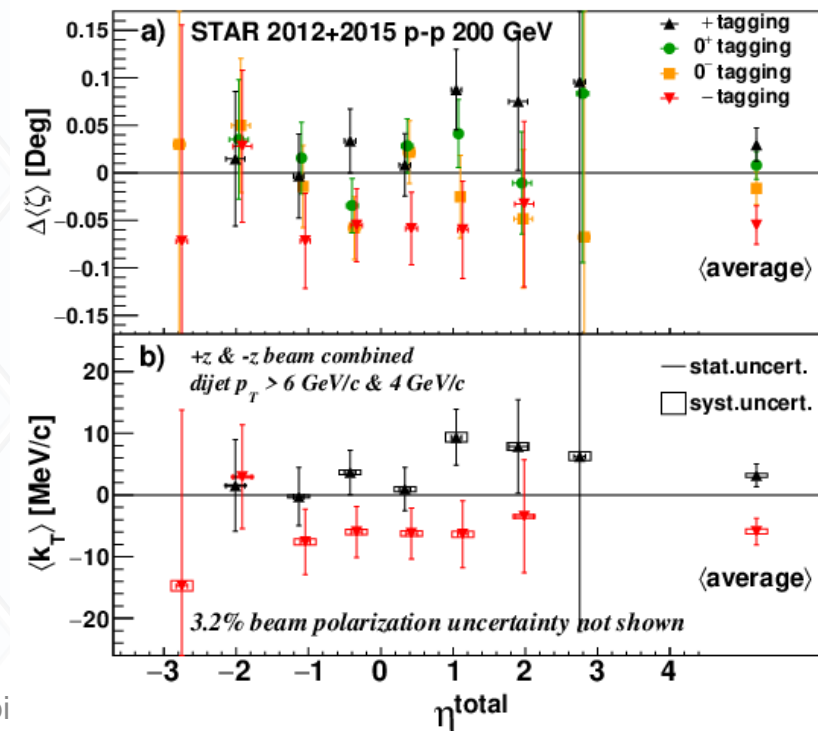
Di-jet spin-dependent imbalance

- Use di-jet imbalance and calculate single spin asymmetry
- Sensitive to spin dependent intrinsic transverse momentum k_t kick (from Sivers effect)
- First indications seen by STAR after enhancing up or down flavors via jet charge selection
- Model-dependent extraction of up, down and g+sea contributions



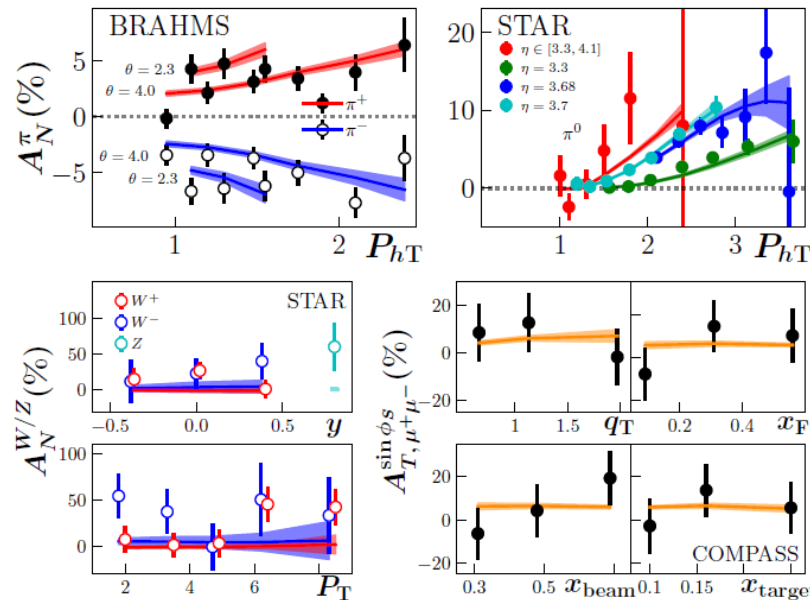
$$Q = \sum_{\text{all the tracks with } p_T > 0.8 \text{ GeV}} \frac{\text{track } |p|}{\text{jet } |p|} \cdot \text{track charge}$$

STAR: 2305.10359



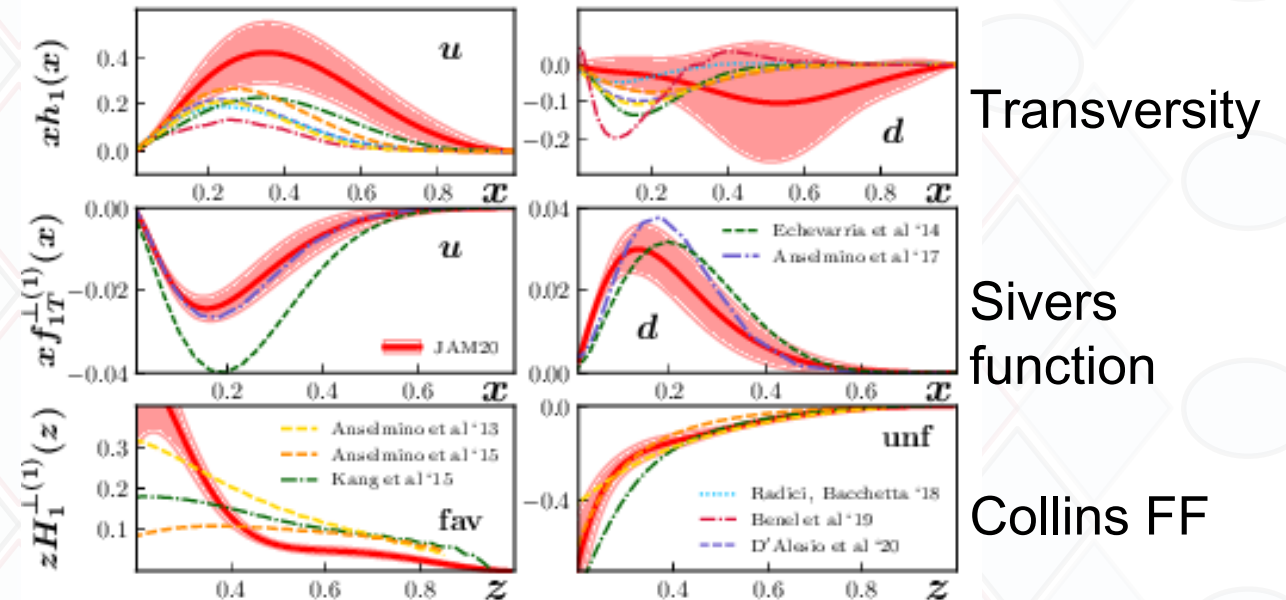
Where to go from here? Global fits on transverse quark-gluon structure

[Camarrota et al, PRD 102 \(2020\) 054002](#)



RHIC, SIDIS, DY included

- Recent central rapidity PHENIX results (π, η , Heavy flavor electrons, direct photons) **NOT** yet included
- Impact on gluon Siverson function (tri-gluon correlator) expected



Transversity

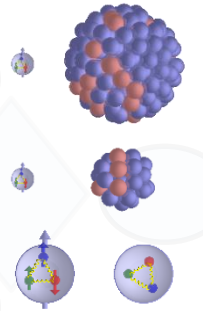
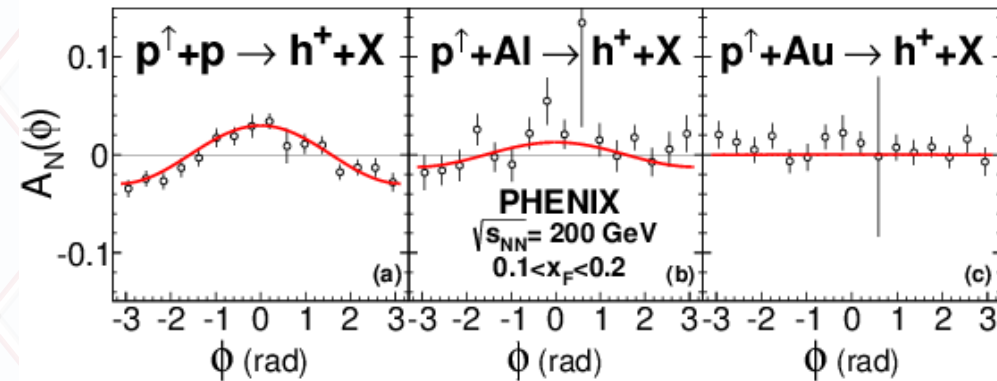
Siverson function

Collins FF

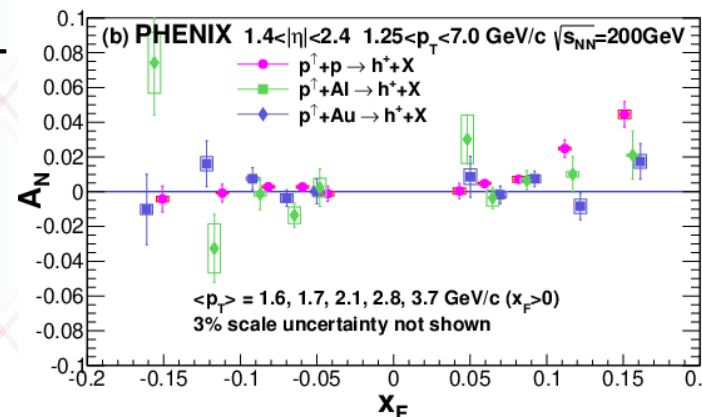
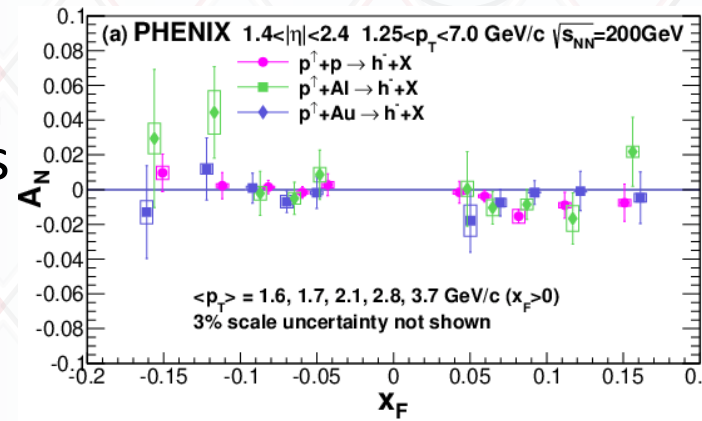
A dependence of A_N s

- Asymmetries consistent with $A^{1/3}$ dependence as (initially) predicted by some CGC related nuclear effects (Hatta`17)
- No A dependence is ruled out
- Also consistent with suppression with increasing number of binary collisions
- Lower suppression seen by STAR for neutral pions at slightly higher x_F
- Probed x and scale too large for expected CGC effects! (S.Benic and Y.Hatta, [PRD99\(2019\), 094012](#) - Twist-3 fragmentation + gluon saturation)
- $A^{-1/3}$ dependence also suggested by Gao et.al [PRC 81 \(2010\) 065211](#)

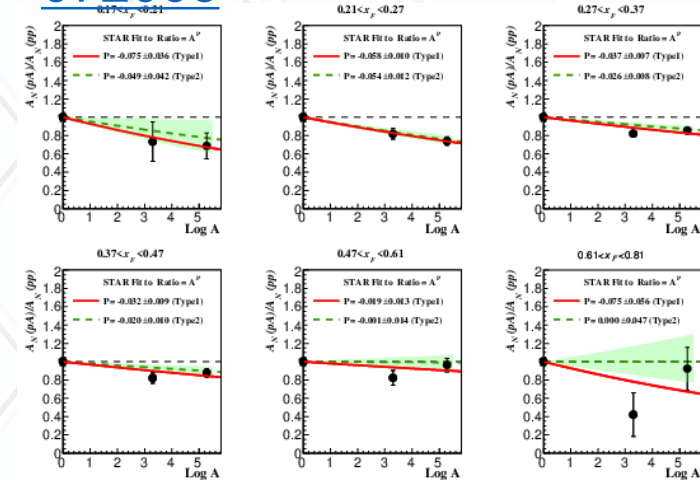
Phys.Rev.Lett. 123 (2019) 122001



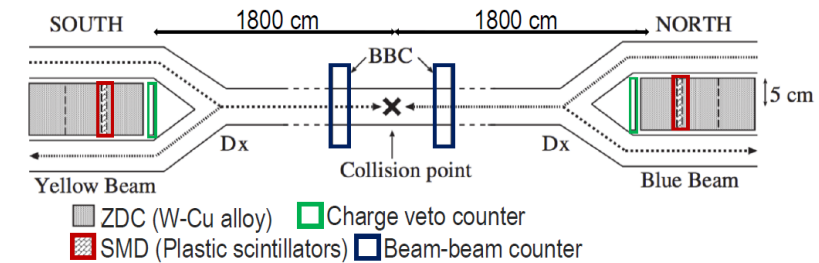
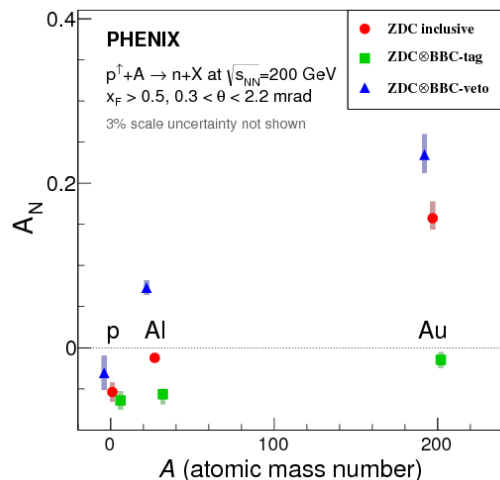
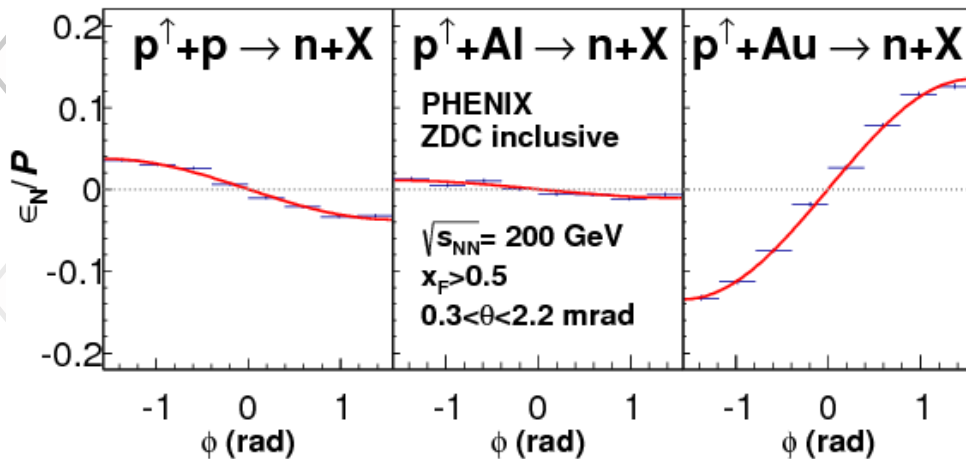
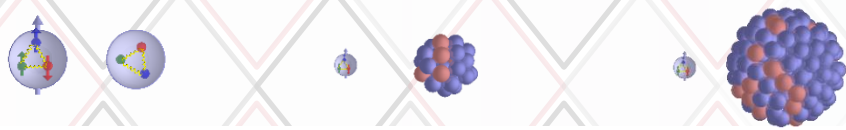
[PRD 108 \(2023\) 072016](#)



[STAR: PRD 103 \(2021\) 072005](#)



neutron asymmetries from p+p to p+A

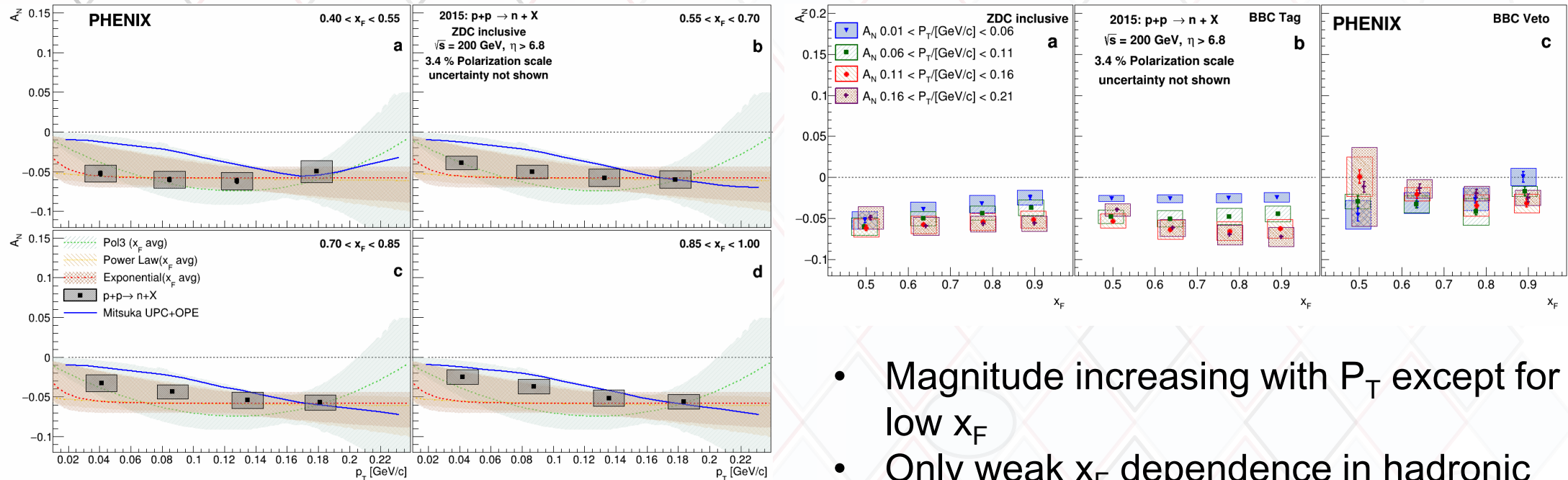


- Unexpectedly large A dependence in neutron asymmetries, sign change
- OPE model does not predict such a change in asymmetries
- Coincidence with charged particle activity in forward and backward region (BBC) enhances hard interactions → **asymmetries stay negative**
- Veto enhances UPC contribution → **p+Al asymmetries already positive**
- study also the actual x_F and P_T dependence for actual interplay

[PRL 120 \(2018\), 022001](#)

Inclusive neutron asymmetries in p+p

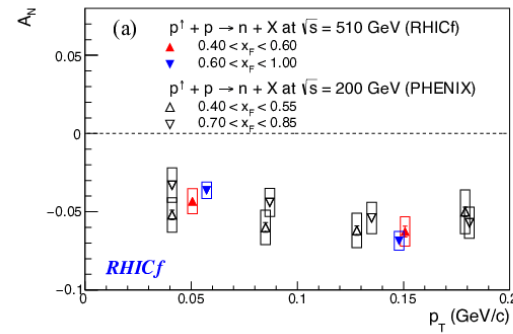
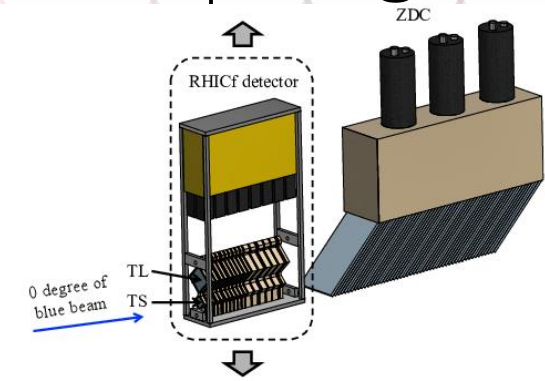
[PRD 105 \(2022\) 032004](#)



Dashed areas: best parameterizations of x_F integrated asymmetries using Pol3, Power law or Exponential

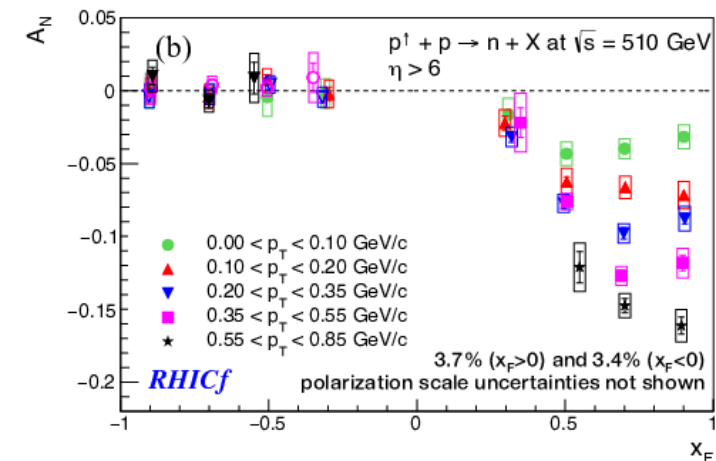
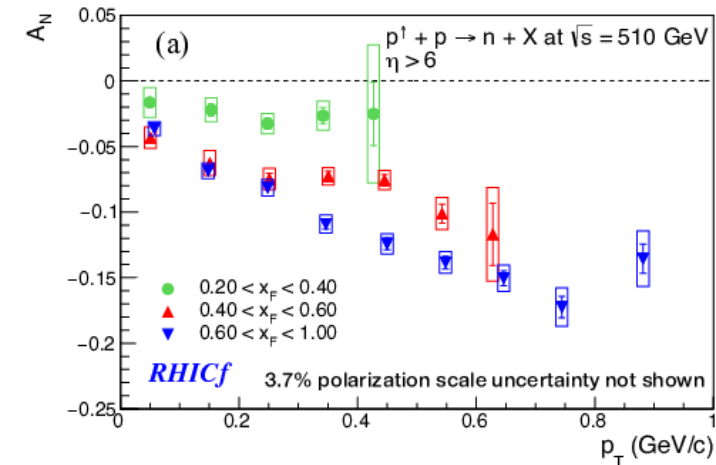
- Magnitude increasing with P_T except for low x_F
- Only weak x_F dependence in hadronic events, slightly larger in BBC vetoed events
- Comparable to (OPE dominated) model curves

P_T range extension by RHICf experiment @510 GeV



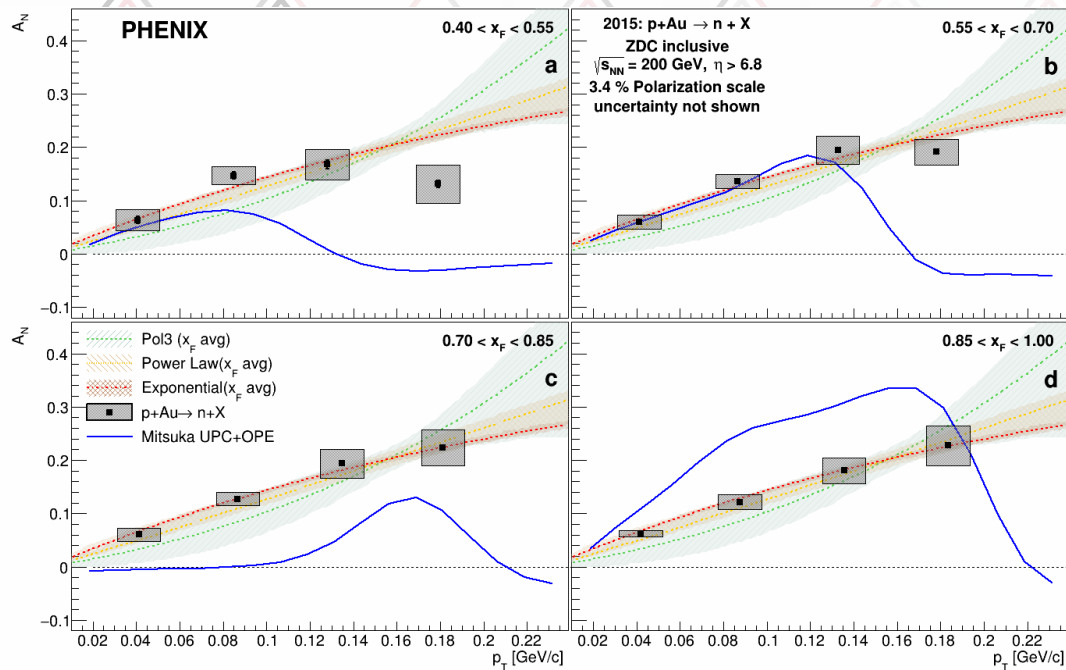
[RHICf: PRD 109 \(2024\) 012003](#)

- Movable detector allows to scan a larger range in transverse momentum
- Overlap consistent with PHENIX results at 200 GeV
- Higher x_F range also consistent with OPE model [Kopeliovich et al: PRD 84 \(2011\) 114012](#)



Very forward neutron asymmetries in p+Au

[PRD 105 \(2022\) 032004](#)



- Large, increasing asymmetries seen with likely a hint of decrease at high P_T for lower x_F
- Roughly similar behavior in model seen but details shifted – possibly due to inclusion of single pion resonances only

Model calculations:

[Mitsuka PRC95 \(2017\) 044908](#) +

[Kopeliovich et al: PRD 84 \(2011\) 114012](#) (OPE)

Summary

- Longitudinal spin measurements from PHENIX and STAR for various final states pin down gluon and sea quark spins
- “Golden Channel” direct photon A_{LL} to clearly provide sign of gluon spin contribution, also from di-jet measurements
- Improved measurements for transverse spin asymmetries in p+p collisions will provide more information about quark-gluon and tri-gluon correlations
- nontrivial A dependence in inclusive hadron asymmetries
- Far forward neutron asymmetries with A dependence through UPC contribution, now also x_F and p_T dependence
- Also, new STAR and sPHENIX results expected from 2024 and recent runs