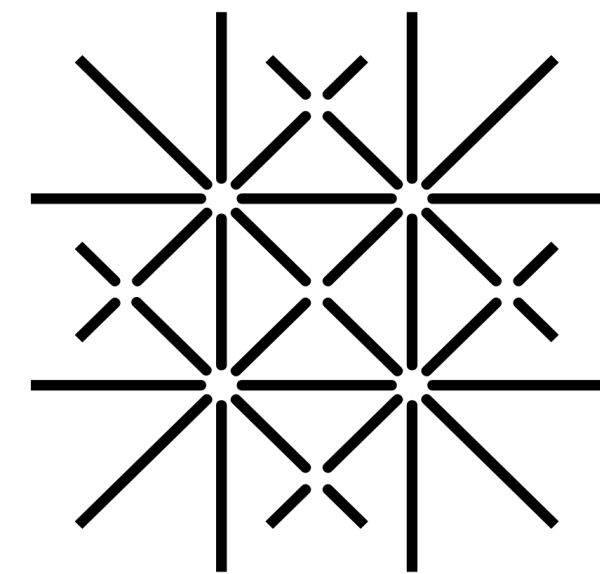


# Axiverse wormholes

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Based on [2404.14489](#) in collaboration with L. Martucci, N. Risso, L. Vecchi



**Universität  
Basel**

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# Outline

## 1. Motivation

## 2. Euclidean wormholes

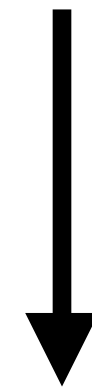
- Extremal and non-extremal solutions
- Physical implications

## 3. Conclusion

## **Axion:**

spin-0 field with approximate shift symmetry

$$a \rightarrow a + \text{const}$$



Pheno applications: Inflation, dark matter, Strong CP problem

To what extent is this symmetry exact?

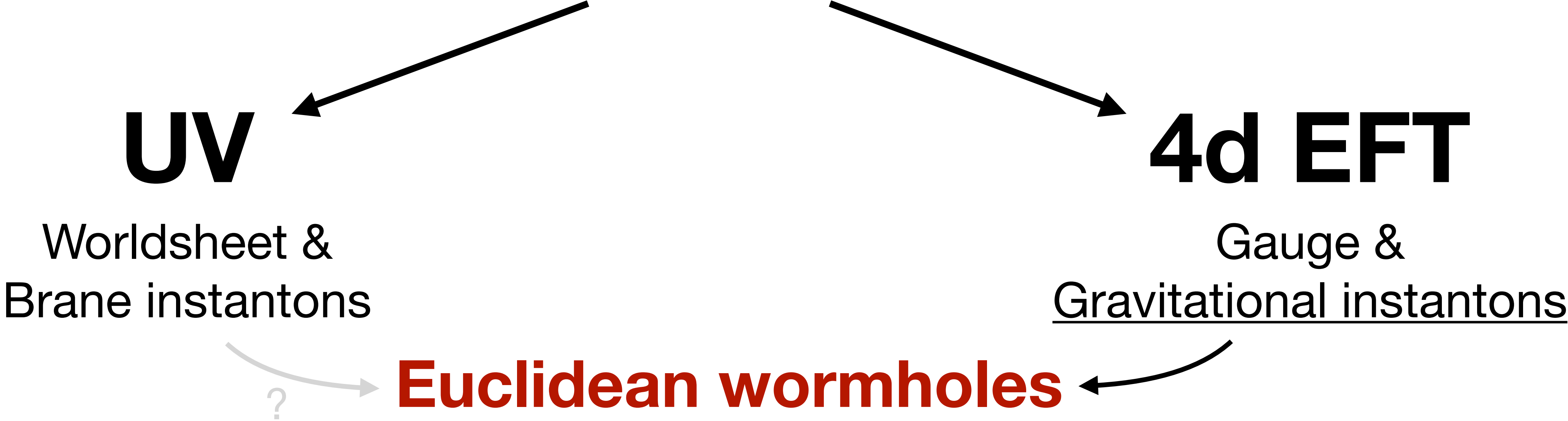
# 1. Motivation

String Theory: natural framework to investigate this question

Compactification to 4d  $\longrightarrow N \gg 1$  fields with approximate shift symmetries

## “String Axiverse”

Shift symmetries broken only **non-perturbatively**



# Outline

1. Motivation

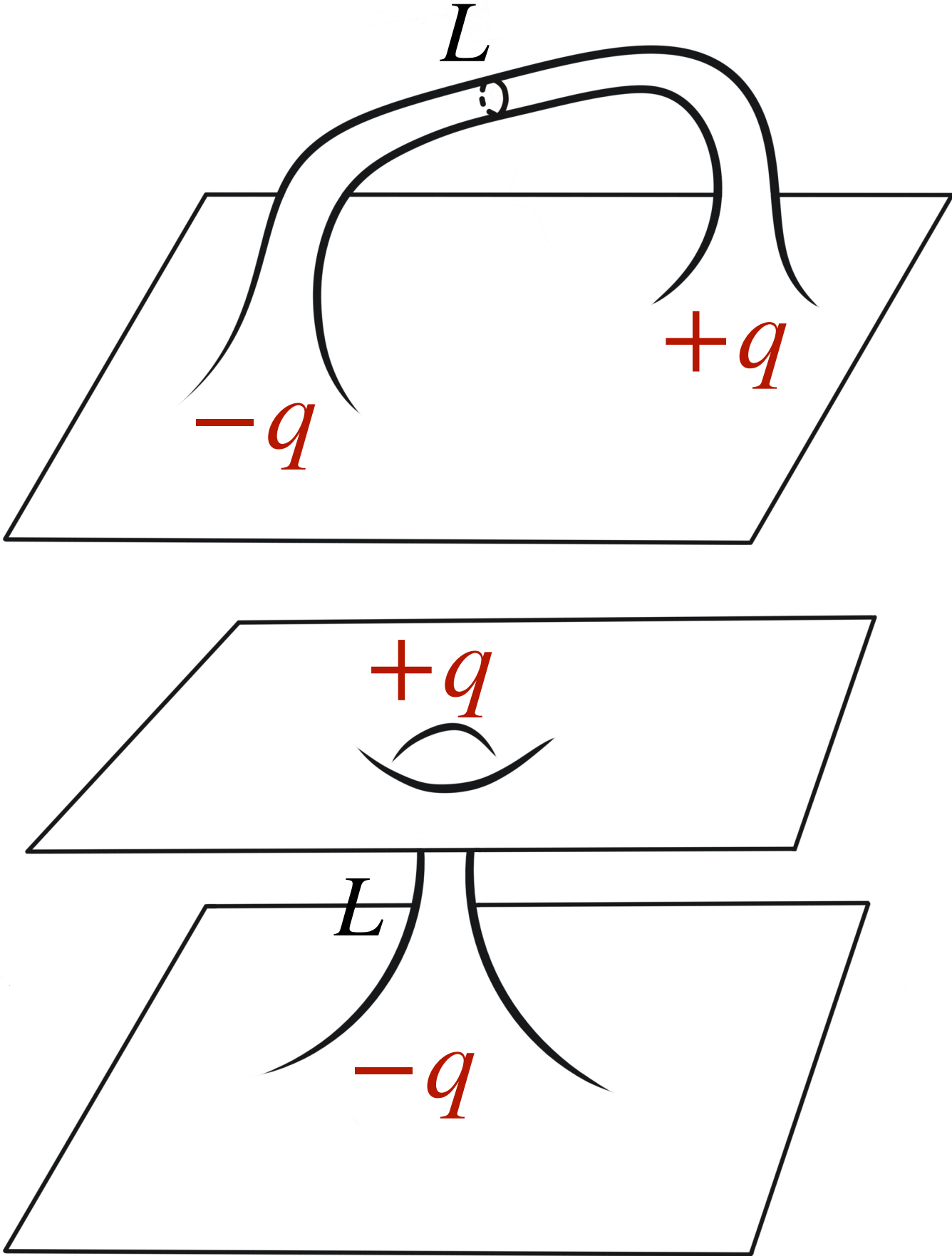
2. Euclidean wormholes

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# Euclidean wormholes

Non-perturbative axion+gravity solutions to Euclidean EoM



$$ds^2 = e^{2A(r)} dr^2 + r^2 d\Omega_3^2$$

$$e^{2A(r)} = \frac{1}{1 - \frac{L^4}{r^4}} \quad \frac{M_P^2}{2\pi^2} \int_{S^3} \star da(r) = q \in \mathbb{Z}$$

Hawking (1987)  
 Giddings, Strominger (1988)  
 Coleman (1988)  
 Coleman, Lee (1989)  
 Banks, Klebanov, Susskind (1989)  
 Preskill (1989)  
 Kallosh, Linde, Linde, Susskind (1994)  
 Arkan-Hamed, Orgera, Polchinski (2007)

Hebecker, Mikhail, Soler (2018)  
 Maldacena et al (2019)  
 Marolf, Maxfield (2020)  
 McNamara, Vafa (2020)  
 Loges, Shiu, Sudhir (2022)  
 Andriolo, Shiu, Soler, Van Riet (2022)  
 Jonas, Lavrelashvili, Lehnert (2023)  
 Van Riet et al (2024)

# Euclidean wormholes

$$O_I(x) \sim e^{-S_{\text{hw}} + iqa(x)}$$

$$Z_E = \int D\phi e^{-S_E - C_{IJ} \int d^4x \sqrt{g} O_I(x) \int d^4y \sqrt{g} \bar{O}_J(y)}$$

Coleman “ $\alpha$ -parameters”

$$= \int D\phi \prod_I d\alpha_I d\bar{\alpha}_I e^{-S_E - C_{IJ}^{-1} \bar{\alpha}_I \alpha_J + \int d^4x \sqrt{g} \alpha_I O_I(x) + \text{h.c.}}$$

**Shift symmetry breaking**

How  $\alpha_I$  vev arises?

Undetermined couplings vs string theory?

Cluster decomposition principle? Loss of locality?

Euclidean path integral and QG?

} Many open questions...  
not (all) for today



# Axiverse wormholes: setup

4d  $\mathcal{N} = 1$  EFT

$$t^i = a^i + i s^i$$

$$N \gg 1$$

Dual:  $H_{3,i} = -M_P^2 \mathcal{G}_{ij} \star da^j$

$$2\ell_i = -\partial K / \partial s^i$$

$$\mathcal{G}_{ij} = \frac{1}{2} \frac{\partial^2 K}{\partial s^i \partial s^j}$$

$$\mathcal{F}(\ell) = K + 2\ell_i s^i = \log P(\ell) \quad P(\lambda\ell) = \lambda^n P(\ell)$$

**Homogeneous with  $n=1\dots 7$**

Lanza, Marchesano,  
Martucci, Valenzuela  
(2020-2022)



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UV BPS instantons:

$$e^{2\pi i q_i t^i} = e^{2\pi i q_i a^i} e^{-2\pi q_i s^i} \ll 1 \quad \longrightarrow \quad s^i \gg (2\pi)^{-1} \quad \text{EFT validity regime}$$

$$(\text{QFT instantons} \sim e^{-\frac{2\pi}{\alpha}} \rightarrow s \sim 1/\alpha)$$

### I) Extremal wormholes: $L = 0$

Flat space, not technically wormholes

$$\text{BPS: } H_{3,i} = -M_P^2 \star d\ell_i$$

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$$\text{BPS: } H_{3,i} = -M_P^2 \star d\ell_i$$

$$\ell_i(r) = \ell_{i,\infty} + \frac{q_i}{2\pi M_P^2 r^2}$$

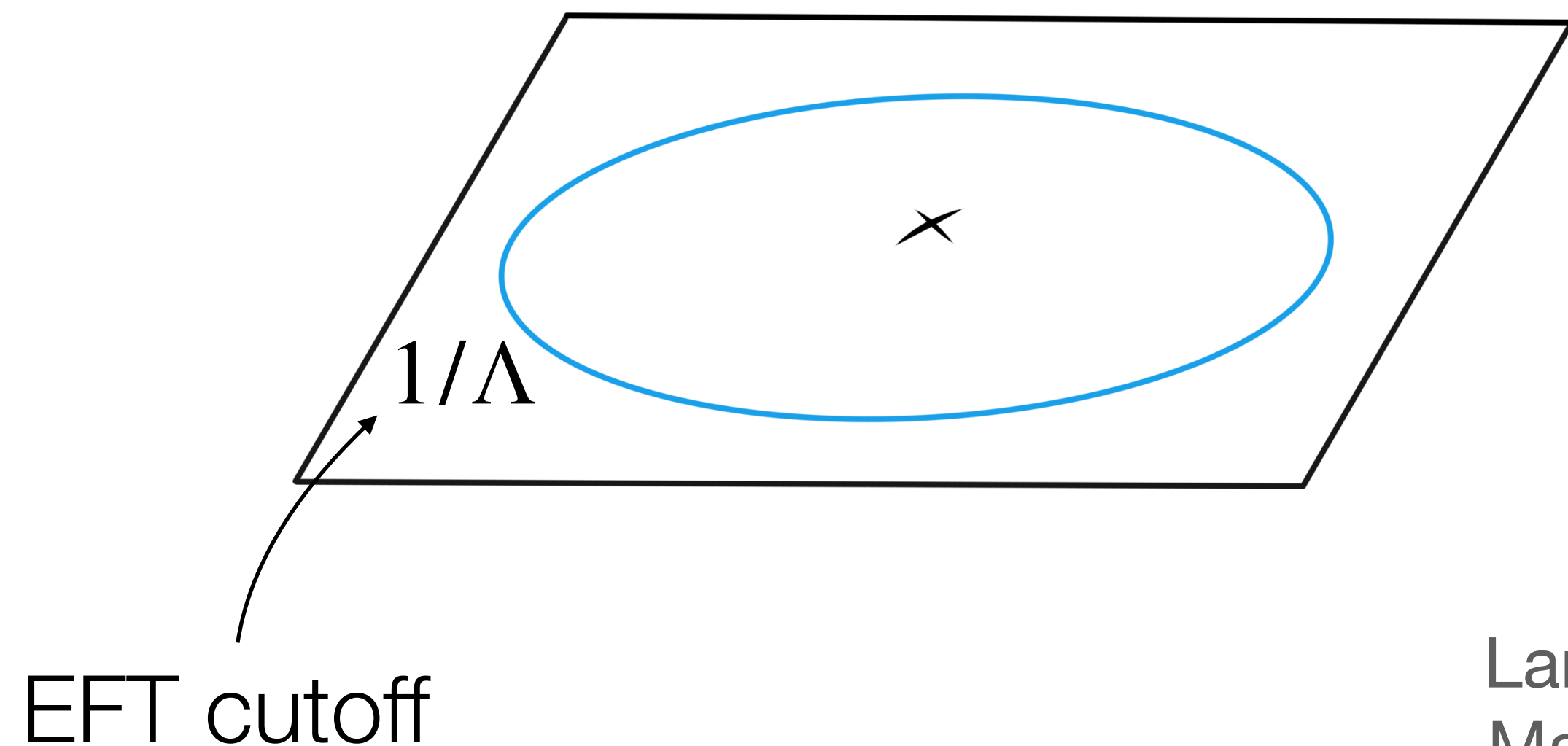
$$dH_{3,i} = 2\pi\delta^{(4)}(0)$$

## 2. Euclidean wormholes

### I) Extremal wormholes: $L = 0$

Flat space, not technically wormholes

$$\text{BPS: } H_{3,i} = -M_P^2 \star d\ell_i$$



$$dH_{3,i} = 2\pi\delta^{(4)}(0)$$

**Interpretation:**

insertion of UV BPS instanton

Lanza, Marchesano,  
Martucci, Valenzuela  
(2021)

$$S_{\text{tot}} = S_{\text{hw,extr}} + S_{\text{ct}} = 2\pi i q_i t^i_{\infty}$$

### I) Extremal wormholes: $L = 0$

#### Physical implications

Wilsonian flow: EFT at  $\Lambda' < \Lambda$  = integrate them out

Result:  $W_q = A_q M_P^3 e^{2\pi i q_i t^i}$

**Extremal wormholes can induce  
shift-symmetry breaking superpotential**

### II) Non-extremal wormholes: $L \neq 0$

Universal “Homogeneous” solution

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Universal “Homogeneous” solution

Regular for  $n > 3$

$$\ell_i(r) = q_i \ell_0 \cos \left[ \sqrt{\frac{3}{n}} \left( \frac{\pi}{2} - \arcsin \frac{L^2}{r^2} \right) \right]$$

$$S_{\text{hw,non-extr}} = 3\pi^3 M_P^2 L^2 = 2\pi \sin \left( \frac{\pi}{2} \sqrt{\frac{3}{n}} \right) q_i s_\infty^i + \text{axions}$$



### II) Non-extremal wormholes: $L \neq 0$

Universal “Homogeneous” solution

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- $n = 3 + \text{IR regularization} \rightarrow$  identical to extremal up to  $O(\Lambda_{\text{IR}}^4 L^4)$  McNamara, Vafa (2020)  
 $\Rightarrow$  **UV instanton in disguise?  $\alpha$ -parameters solution within String Theory!**
- $|S_{\text{hw,non-extr}}| \leq |S_{\text{hw,extr}}|$ : BPS bound violation  $\rightarrow$  **bound state of UV instantons?**

### II) Non-extremal wormholes: $L \neq 0$

#### Physical implications

EFT matching at  $\Lambda' < \Lambda$

Result: 
$$K_q \simeq A'_q M_P^2 \left( e^{2\pi i q_i a^i} + \text{h.c.} \right) e^{-S_{\text{hw}}}$$

**Non-extremal wormholes can induce shift-symmetry breaking Kähler potential**

However...

### II) Non-extremal wormholes: $L \neq 0$

#### Physical implications

EFT validity:  $\begin{cases} s > 1/\alpha \\ L > 1/\Lambda_{\text{UV}} \end{cases} \implies S_{\text{hw,non-extr}} \gtrsim \frac{2\pi}{\alpha} N$

$$\Lambda_{\text{UV}} \lesssim \frac{2\pi M_P}{\sqrt{N}}$$

“species scale”

Symmetry breaking by  
non-extremal wormholes  
very suppressed

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2. Euclidean wormholes

- Extremal and non-extremal solutions
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# 3. Conclusion

- String axiverse natural laboratory to study QG shift symmetry breaking
- Euclidean wormholes: EFT probes of breaking

## Extremal

- Low-energy manifestation of UV BPS instantons
- Symmetry breaking superpotential  $W_q \sim e^{2\pi i q_i t^i}$

Always relevant:

$$S_{\text{hw}} \sim 2\pi i q_i t^i$$

## Non-extremal

- New universal “homogeneous” solutions
- Symmetry breaking Kähler potential  $K \sim e^{-S_{\text{hw}}}$

Suppressed in EFT regime:

$$S_{\text{hw}} \sim 2\pi N/\alpha$$

- Details and much more in [2404.14489](#)  
(numerical explorations, connection with species scale...)

***Thank you for your attention!***