

SUSY 2024

Theory meets Experiment

Madrid, 10 – 14 June 2024

Pre-SUSY school: 3 – 7 June 2024

<https://indico.cern.ch/e/susy2024>

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Recent Dark Matter searches at BaBar and implications on some SUSY models



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On behalf of the BaBar collaboration



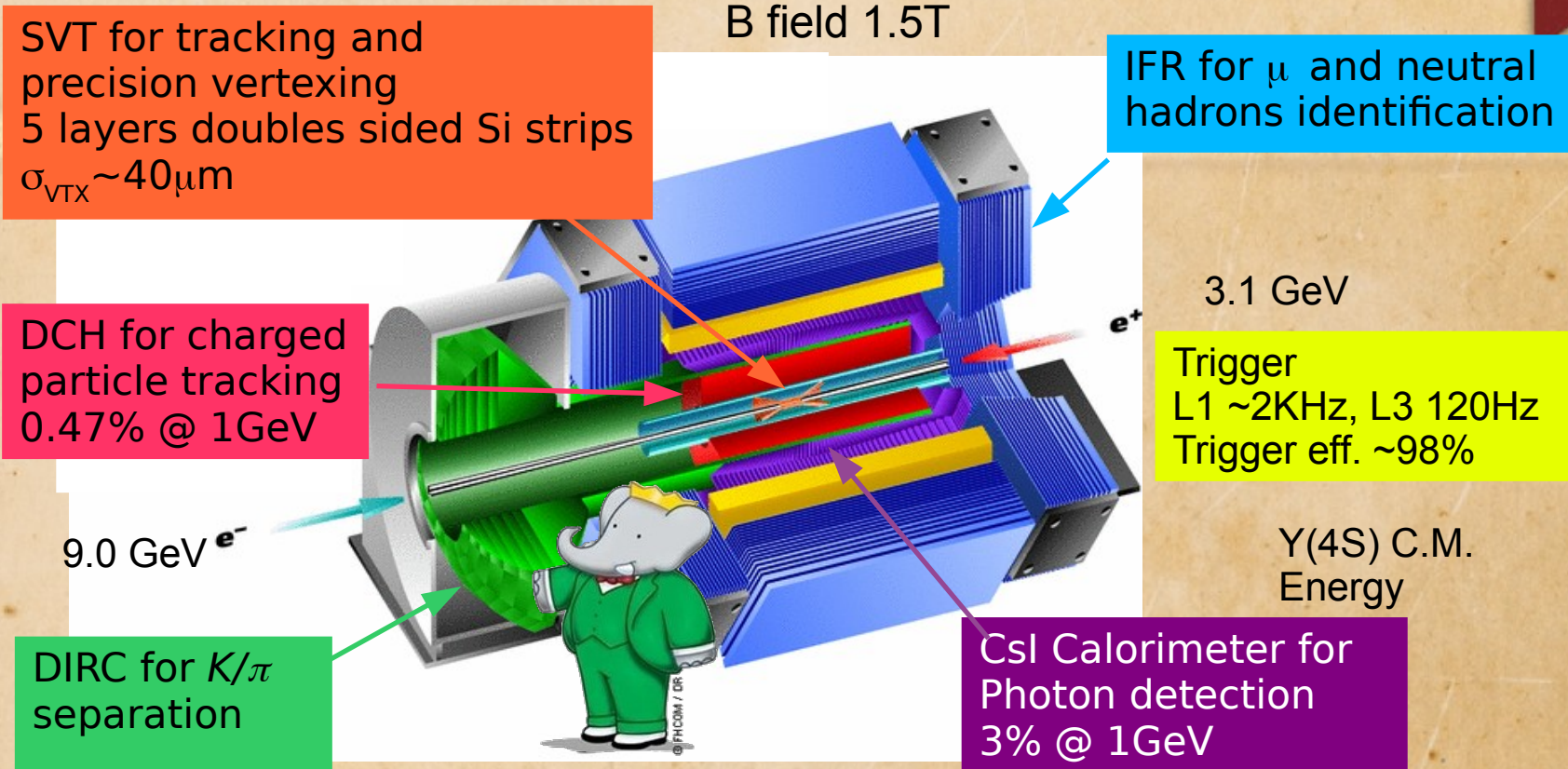
Istituto Nazionale di Fisica Nucleare

Overview

- BaBar experiment
- Introduction
- Search for Heavy Neutral Leptons in tau decays
 - Phys.Rev.D 107 (2023) 5, 052009 (BABAR)
- Search for Baryogenesis and Dark Matter
 - Phys.Rev.Lett. 131 (2023) 20, 201801 (BABAR)
 - Phys.Rev.D 107 (2023) 9, 092001 (BABAR)
- Implications for some SUSY models
 - JHEP 2023, 224 (2023)
 - Phys. Rev.Lett. 131 (2023) 20, 201801 (BABAR)

BABAR Experiment at PEP-II

Nucl. Instrum. Meth. A 729, 615 (2013).



- Well suited for dark sector searches
 - Quasi hermetic reconstruction of events
 - Very efficient trigger (98%)
 - Clean e^+e^- environment
 - Excellent Particle ID
 - Accurate Missing Energy reconstruction

On-Peak	432 fb^{-1} ~470 MBB
Off-Peak	44 fb^{-1} ,
100M Y(2S), 120M Y(3S),	4 fb^{-1} above Y(4S)

Dark Matter (DM) Portals

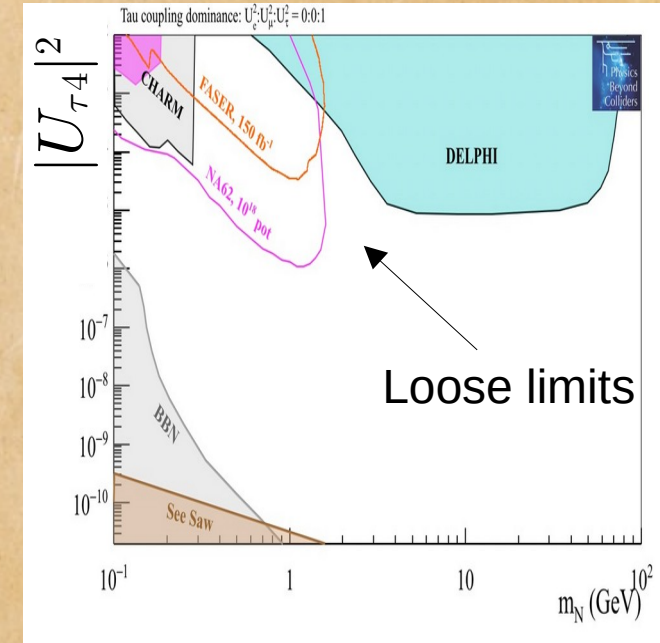
- To understand the nature of dark matter an effective theory approach provides several portals
- At B-Factories DM can be produced in e^+e^- interactions or in B meson decays
- Searches can access different operators, constrain masses and couplings

Courtesy of B. Echenard

Dim=4	<p>Vector $\varepsilon B^{\mu\nu}A'_{\mu\nu}$</p>	<p>New gauge boson A' (dark photon) mixing with SM photon/Z via kinetic mixing ε</p>	
Dim=4	<p>Scalar $H^2 (\mu\phi + \lambda\phi^2)$</p>	<p>New dark scalar ϕ mixing with SM Higgs</p>	
Dim=4	<p>Fermion $yHNL$</p>	<p>New heavy neutral lepton mixing with left-handed SM doublets and the Higgs boson</p>	
Dim=5	<p>Axion $1/f_a(c_1\text{tr}(G\tilde{G}) + c_2\tilde{F}\tilde{F} + c_3\partial_\mu j^\mu) a$</p>	<p>New axion / axion-like particle coupling to gauge and fermion fields</p>	

Search For HNL: Motivation

- Several Beyond Standard Model theories introduce Heavy Neutral Leptons (HNL)
- HNL are massive additional neutrino states which have no other charges, and can explain several phenomena
 - Neutrino small mass (seesaw mechanism) and oscillations
 - Baryon asymmetry in the universe
 - The origin of dark matter
- The ν -MSM has three HNL in the keV-GeV range
- HNL can be produced in lepton decays and the τ sector is the less constrained
- BaBar produces copious amounts of τ in a clean environment
 - $\mathcal{L}=424 \text{ fb}^{-1}$, $N_{\tau\tau}=400M$
 - $\sigma(e^+e^-) \rightarrow \tau^+\tau^- = 0.92 \text{ nb}$, $\sigma_{tot} \simeq 5.4 \text{ nb} + bhabha$
- BaBar can search for a HNL that is capable of mixing with τ with strength $|U_{\tau 4}|^2$ in the mass range $100 \text{ MeV}/c^2 < m_4 < 1300 \text{ MeV}/c^2$



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_L \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{L1} & U_{L2} & U_{L3} & U_{L4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

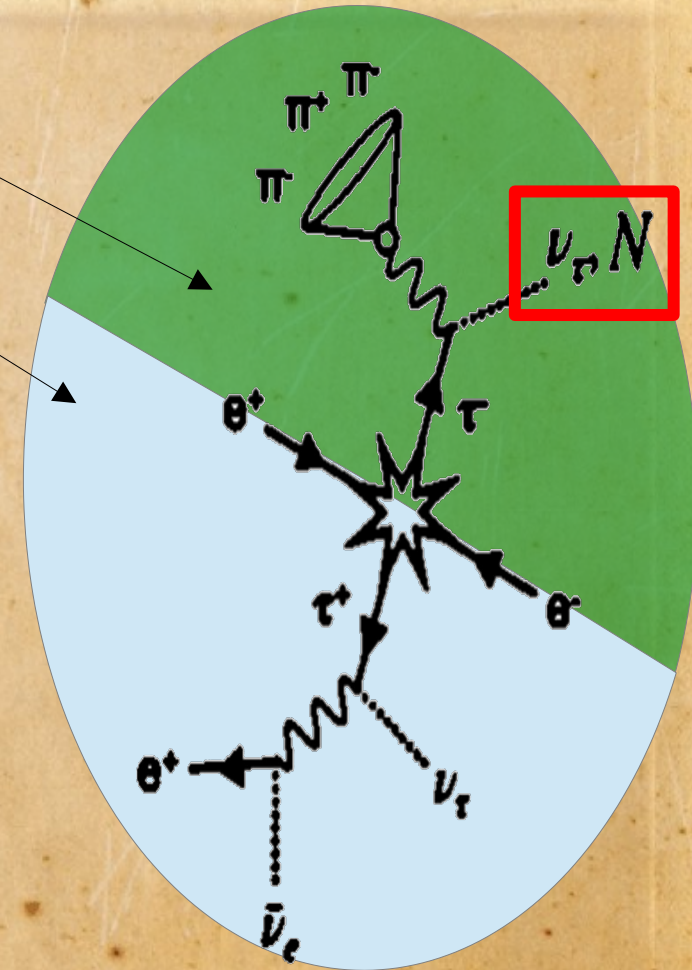
Search for HNL: strategy

- Selection

- Signal side: three prong pionic decay ($\tau \rightarrow \pi \pi \pi \nu_\tau$)
- Tag side one prong τ decay to reduce bkg
- Signal and tag identified in different hemispheres (3+1 topology), $\text{thrust} > 0.85$
- $P_T, P_{\text{CM}}^{\text{miss}} > 0.9\% \sqrt{S}$ to suppress non tau bkg
- DIRC acceptance and PID requirements for e and μ
- Neutral and conversion veto for tag side

- Signal extraction: same strategy used in previous analysis in BaBar using only variables sensitive to the kinematics

- if the decay products of the τ have recoiled against a heavy neutrino, the phase space and the kinematics of the visible particles would be modified with respect to SM τ decay with a massless neutrino: **no model assumptions**

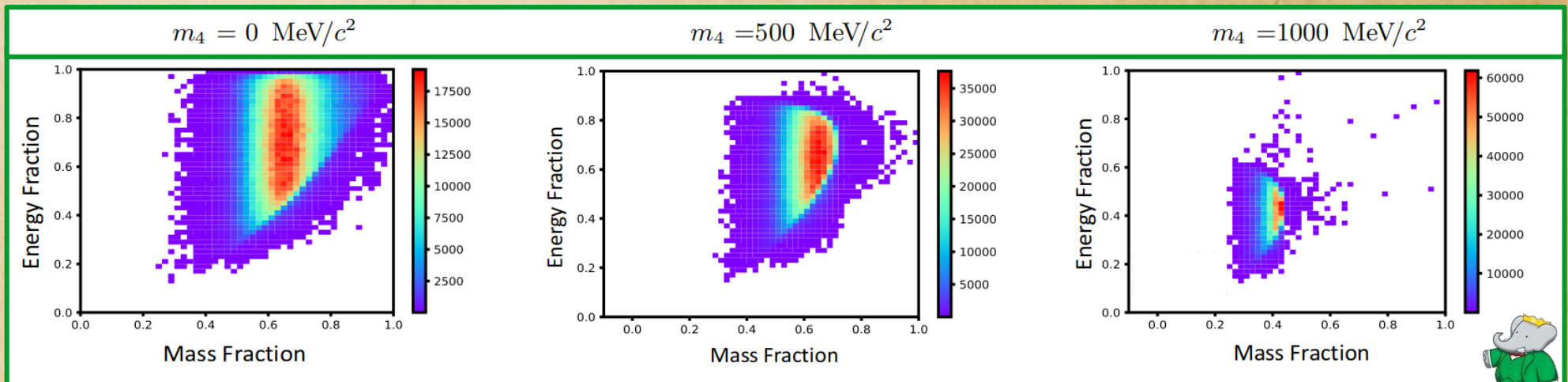


HNL Signal

- Consider the process as a two body decay $\tau \rightarrow h^-(E_h, m_h) \nu_\tau$
- The signal is searched in the 2D plane of the hadron mass and energy (m_h, E_h)
- Tau decay gets separate contributions from SM and BSM decay

$$\left. \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \right|_{\text{Total}} = |U_{\tau 4}|^2 \left. \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \right|_{\text{HNL}} + (1 - |U_{\tau 4}|^2) \left. \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \right|_{\text{SM}}$$

- 2D templates are built for each different m_4 mass. The hadronic system available phase space reduces as m_4 increases
- Signal samples from modified KK2F+TAUOLA+GEANT4 in the range $100 \text{ MeV}/c^2 < m_4 < 1300 \text{ MeV}/c^2$



$$E_\tau - \sqrt{m_4^2 + q_+^2} < E_h < E_\tau - \sqrt{m_4^2 + q_-^2},$$



HNL: Signal extraction

- Background from MC simulation
 - Misidentified SM τ decays
 - SM non τ bkg: B decays, light quarks, $e^+e^- \rightarrow \mu^+\mu^-(\gamma)$
- Signal (ν_{HNL}) extracted from binned ML fit assuming each bin has Poisson distribution with mean $\nu_{HNL} + \nu_{\tau-SM} + \nu_{BKG}$

$$\nu_{HNL} \propto |U_{\tau 4}|^2, \quad \nu_{\tau-SM} \propto (1 - |U_{\tau 4}|^2)$$
- Limits include nuisance parameters
- Dominant systematic from shape uncertainty

Mass [MeV/c ²]	No Sys.	With Sys.
100	1.58×10^{-2}	2.31×10^{-2}
200	1.33×10^{-2}	1.95×10^{-2}
300	6.91×10^{-3}	9.67×10^{-3}
400	1.57×10^{-3}	2.14×10^{-3}
500	4.65×10^{-4}	5.85×10^{-4}
600	5.06×10^{-4}	6.22×10^{-4}
700	3.82×10^{-4}	4.85×10^{-4}
800	3.12×10^{-4}	3.85×10^{-4}
900	4.70×10^{-5}	5.38×10^{-5}
1000	8.34×10^{-5}	9.11×10^{-5}
1100	4.49×10^{-5}	4.78×10^{-5}
1200	4.70×10^{-6}	5.04×10^{-6}
1300	3.85×10^{-5}	4.09×10^{-5}

$$\mathcal{L} = \prod_{ij} f(n_{ij}; n_{\text{obs}}, \vec{\theta}) = \prod_{ij} \frac{(\nu_{HNL} + \nu_{\tau-SM} + \nu_{BKG})_{ij}^{(n_{\text{obs}})_{ij}} e^{-(\nu_{HNL} + \nu_{BKG} + \nu_{\tau-SM})_{ij}}}{(n_{\text{obs}})_{ij}!} \times \prod_k \underbrace{f(\theta_k, \tilde{\theta}_k)}_{\text{Nuisance parameters}}$$

- For each mass re-weighted 2D templates for signal are used

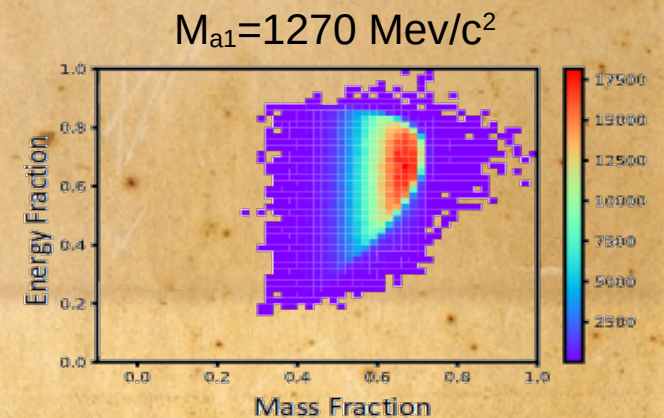
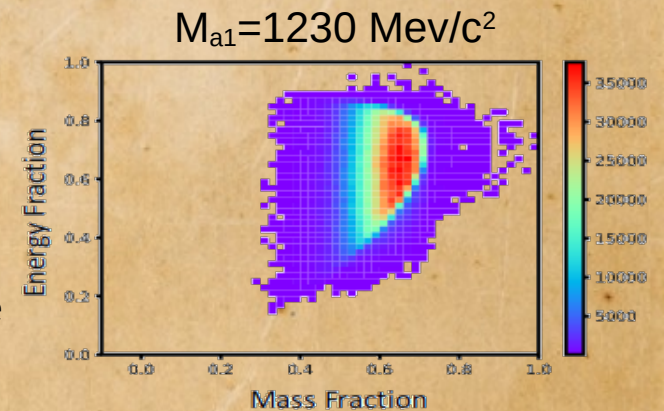
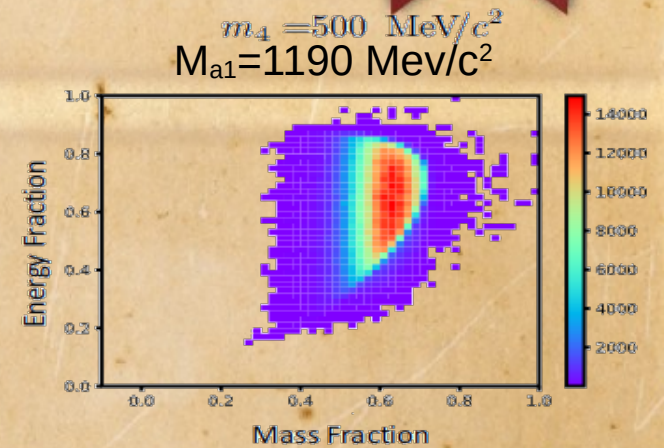
Nuisance parameters



HNL: systematic uncertainties

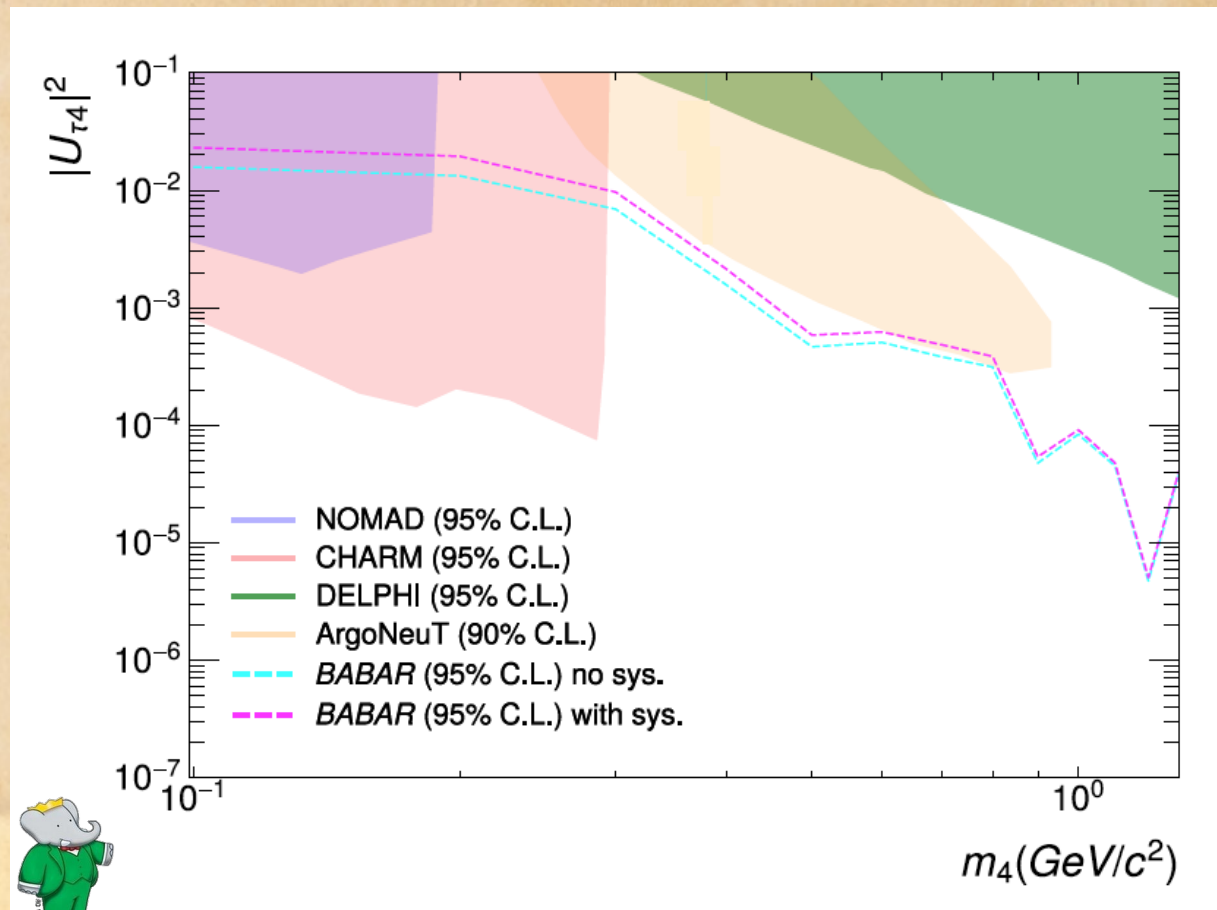
- Normalization uncertainties
 - Affect all bins uniformly
 - Have small effect on the yield (<3%)
 - Biggest contribution from PID efficiency
 - Characterized by Gaussian nuisance parameters
- Shape uncertainties
 - $\tau \rightarrow 3$ -prong BF through a_1 resonance = 97%
 - **Large uncertainties** on a_1 parameters mass ($\pm 3\%$) and Γ_{a_1} (250 MeV/c² – 600 MeV/c²)
 - **Modeling of signal and bkg shape in TAUOLA affected by these uncertainties**
 - To account for this looked at **templates with mass and width varied** to these extremes (re-weighted MC) and **re-calculated** the likelihood
 - Γ_{a_1} has the largest effect, especially on the RMS of m_h

	E_h	m_h
RMS shift	1%-3%	6%-7%
Mean shift	1%-2%	1%-2%



HNL: Results

- Result is expressed as an upper limit on $|U_{\tau 4}|^2$ vs m_4
- Covers nicely region around $1 \text{ GeV}/c^2$
- World leading constraint at time of publication



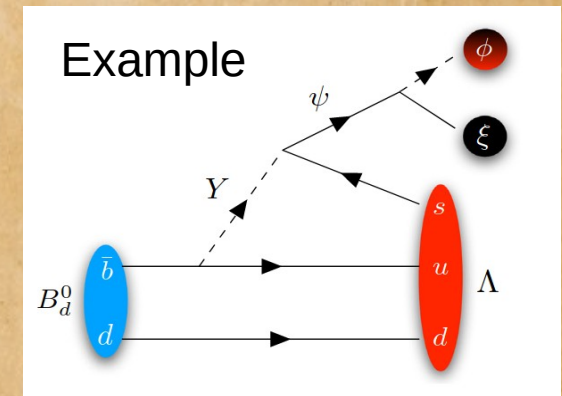
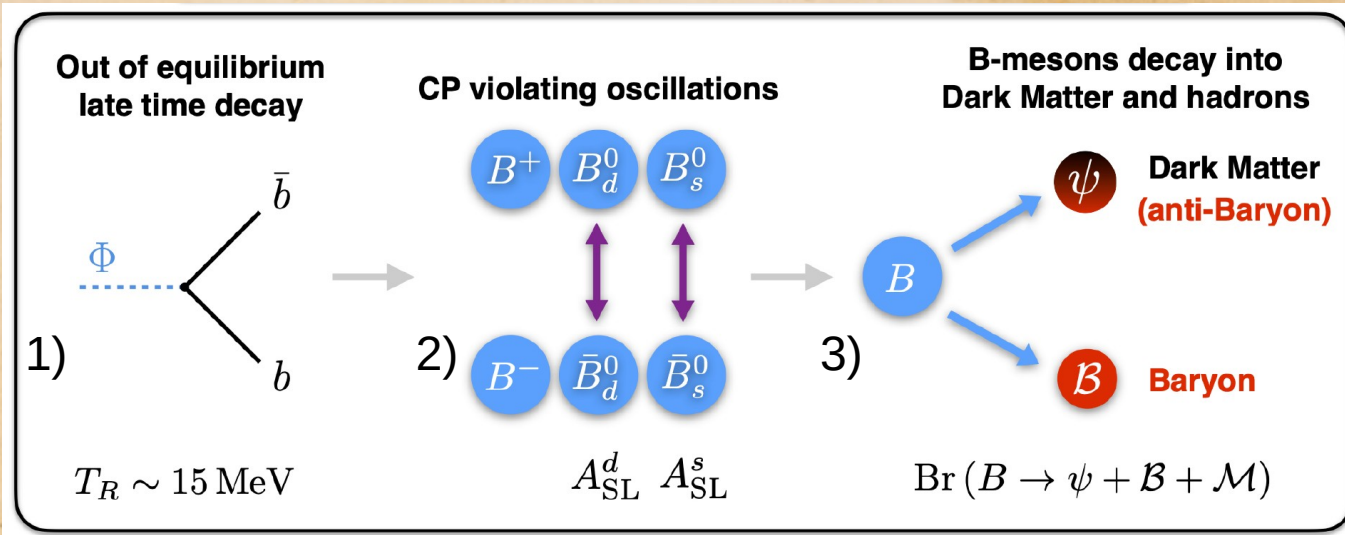
Phys.Rev.D 107 (2023) 5, 052009 (BABAR)



Baryogenesis and dark matter: Introduction

- A new dark sector anti-baryon has been proposed to simultaneously explain both the **dark matter (DM) abundance** and the **Baryon Asymmetry in the Universe (BAU)**
- Baryon asymmetry generated via
 - 1) Production of $b\bar{b}$ pairs

- 2) CPV in B oscillations generates more B^0 than anti- B^0
- 3) B meson decay into a baryon \mathcal{B} ($=\Lambda$ or p in this search) and a dark sector anti-baryon (ψ_D) + additional mesons (\mathcal{M}) generated visible asymmetry



Φ = heavy scalar field;
 ψ_D = dark fermion;
 Y = TeV scale mediator;
 ξ = Dark Majorana Fermion;
 ϕ = Dark scalar baryon.

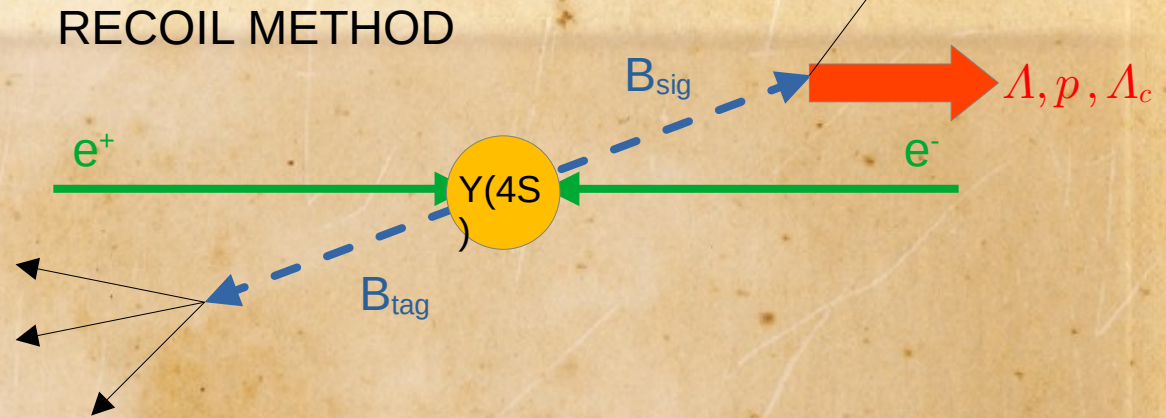
Operators sensitivity and Reconstruction Technique

- Decay described by effective operators O_{ij} corresponding to transitions $b \rightarrow q_i q_j$ where $i=u,c$ $j=d,s$
- Different decay channels are sensitive to different effective operators

In this talk

In preparation

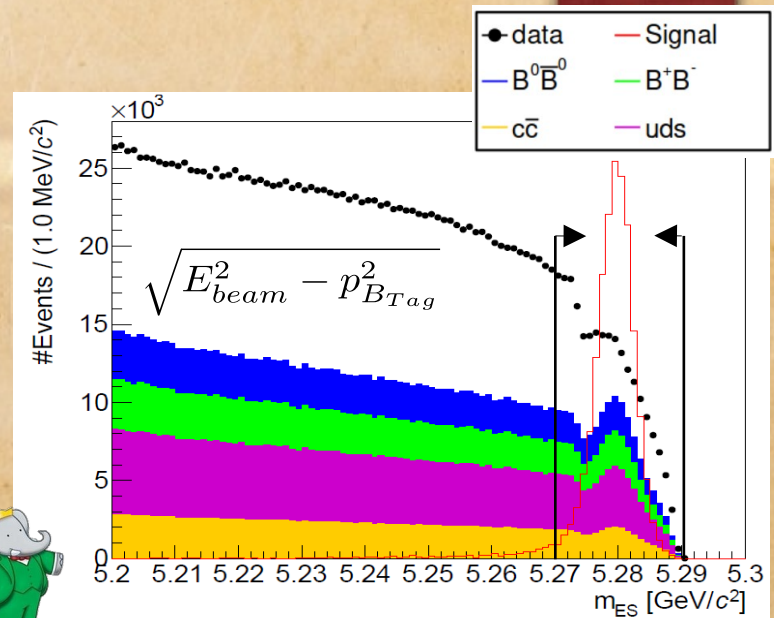
Meson	Decay	Operator	M_{\max} [MeV]
B^0	$\Psi_D + \Lambda$	O_{us}	4163.95
B^+	$\Psi_D + p$	O_{ud}	4341.05
B^+	$\Psi_D + \Lambda_c$	O_{cd}	2992.86
...



- B_{tag} : fully reconstructed hadronic B-decays (mostly charmed)
- B_{sig} : the rest of the event = a single reconstructed baryon
- Ψ_D : Missing momentum since dark-sector particles escape detection
- Kinematic constraints limit the search for $0.94 \text{ GeV} < m_{\Psi_D} < 4.34 \text{ GeV}$

Selection

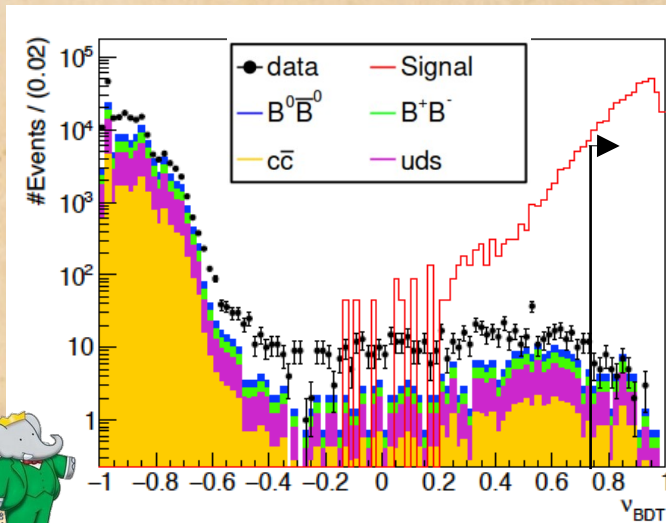
- B_{Tag}
 - Beam Energy substituted mass
 - $\Delta E = E_{beam} - E_{B_{Tag}}$
 - Spherical event topology



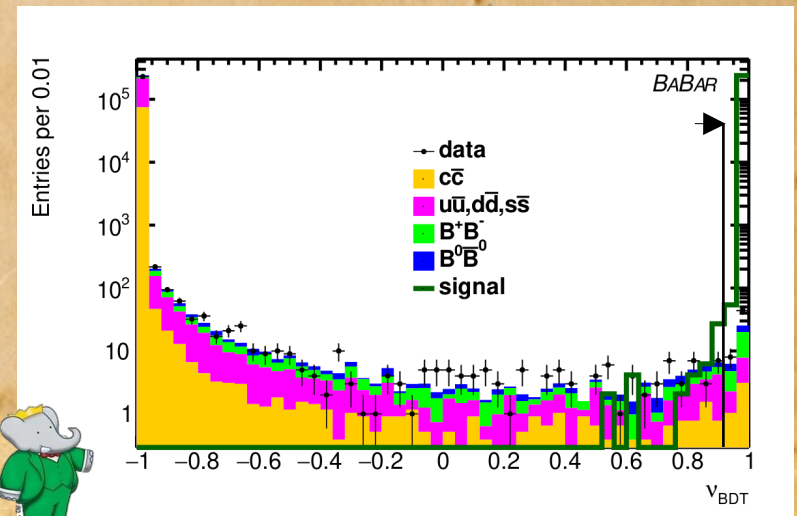
- Signal Baryon

- BDT built from bkg rejection variables, baryon (and B_{Tag} and) reconstruction quality variables with small correlation with missing mass

$$B^0 \rightarrow \Lambda \Psi_D$$



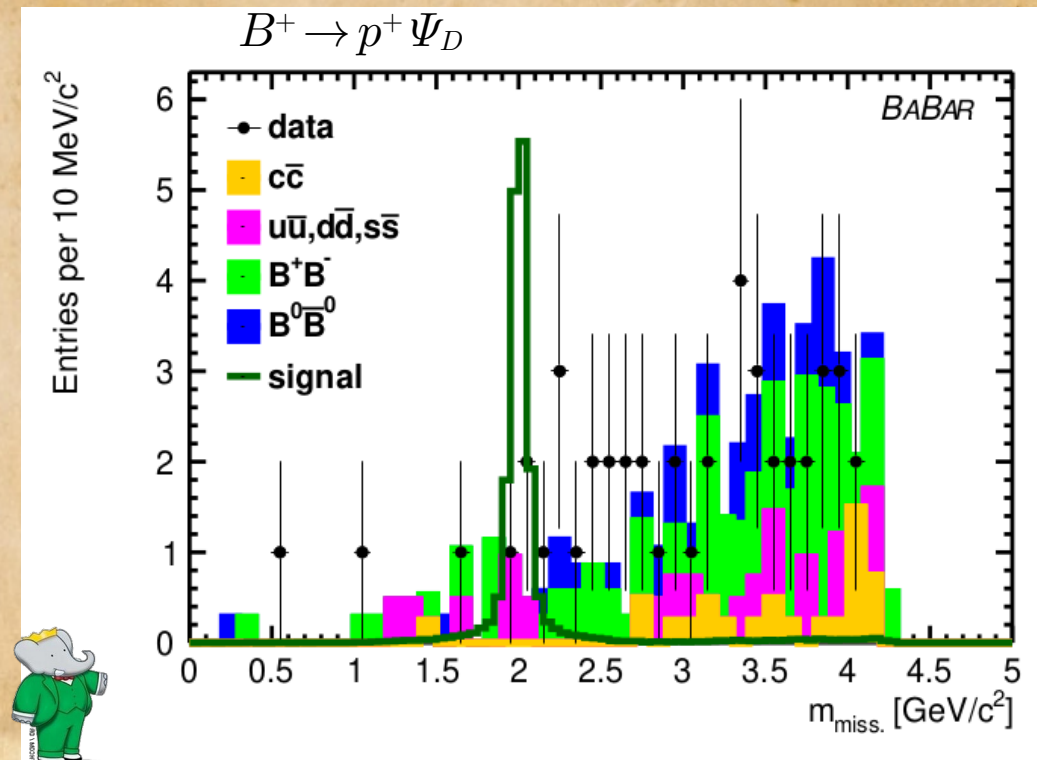
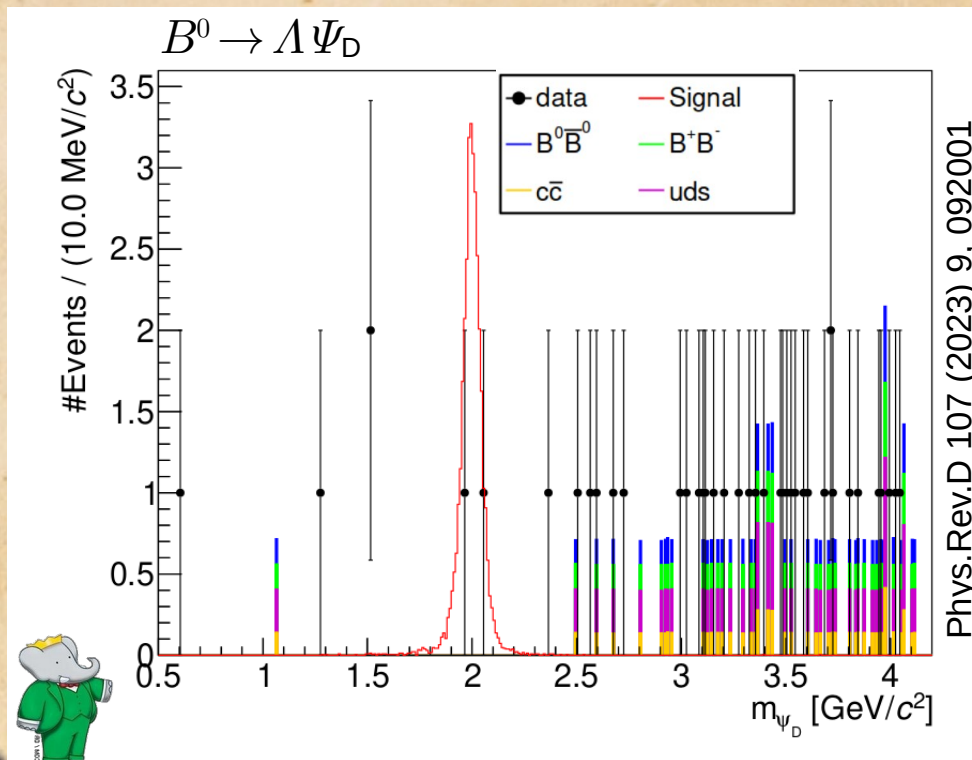
$$B^+ \rightarrow p^+ \Psi_D$$



Results

- Total data used 3891fb
- 10% of data used to optimize analysis
- Signal is extracted from the missing mass distribution fitted to a Crystal Ball

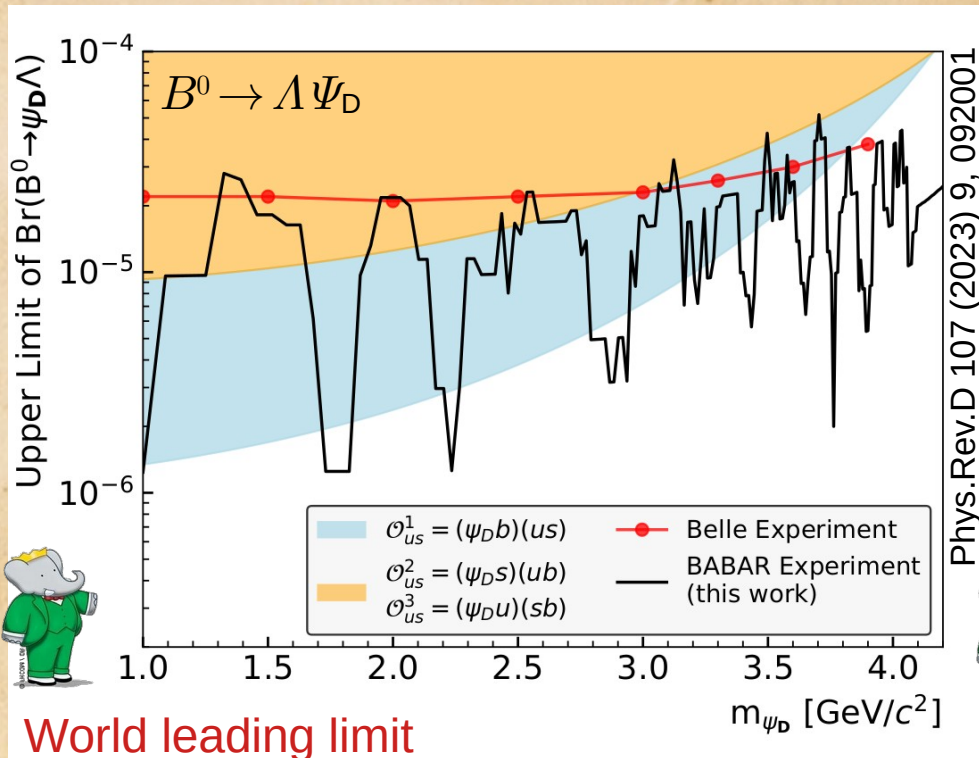
- Scan is performed on the missing mass with step size equal to the resolution
- Largest systematic uncertainty from data/MC corrections (efficiency)



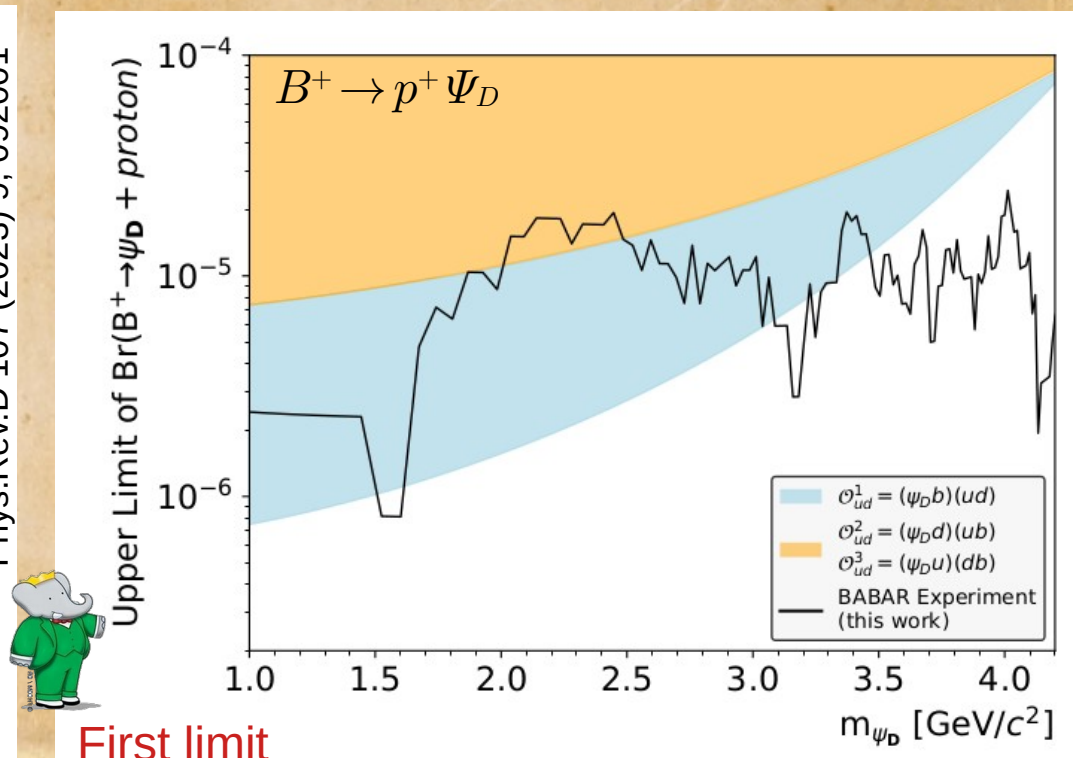
Results

- Upper limits (black line) computed assuming Poisson distribution
- 95% exclusion limits (black line) obtained scanning the missing mass distribution for many values

- Global significance at 1σ level
- Colored bands are the allowed regions consistent with observed baryon asymmetry: large regions excluded



World leading limit

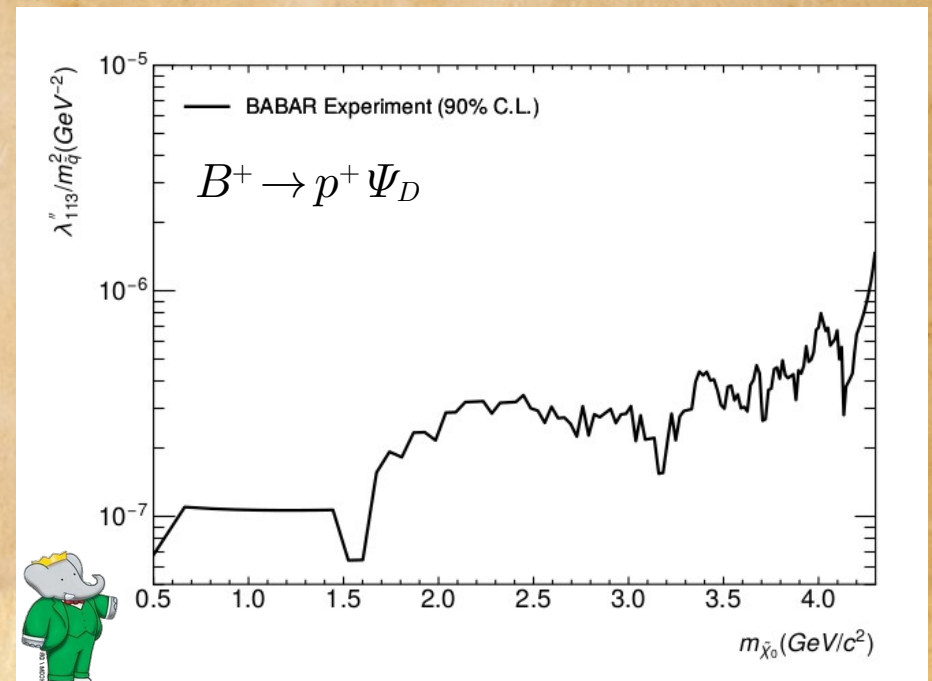
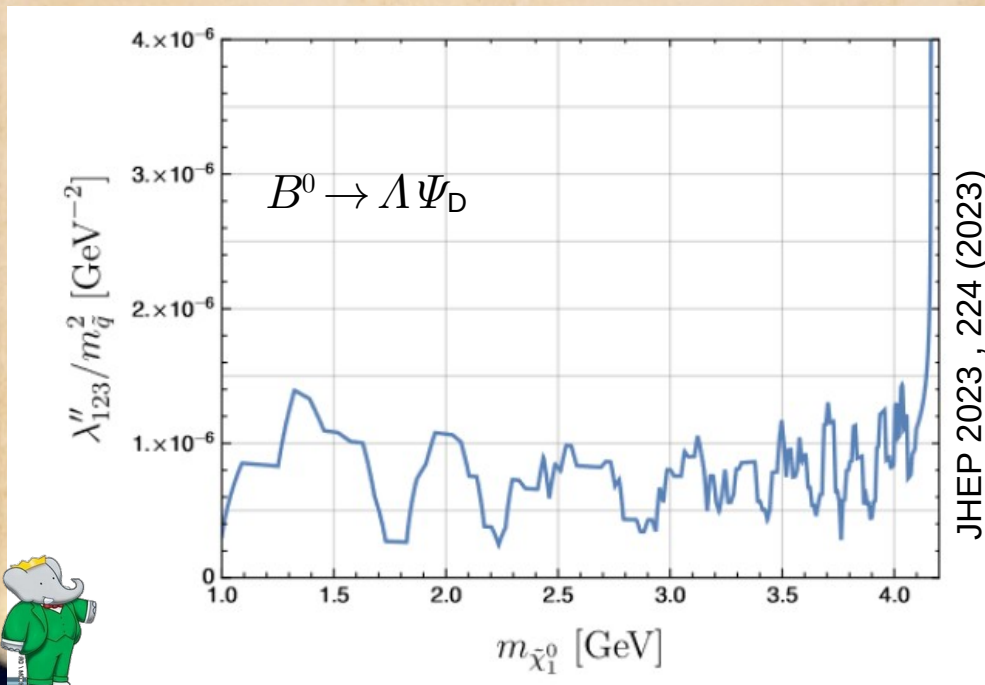
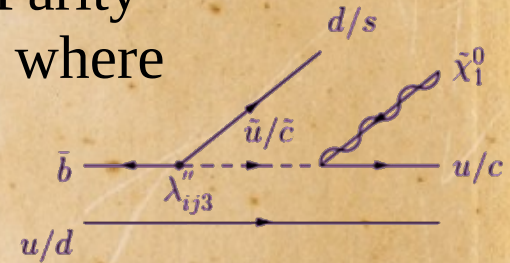


First limit

Results: limits on RPV SUSY models

JHEP 2023, 224 (2023)

- Since all we are requiring is missing mass these results can be reinterpreted to constrain other models, including the R Parity Violating (RPV) supersymmetry process $B^+ \rightarrow \tilde{\chi}_0 + p$ where $\tilde{\chi}_0$ is the lightest neutralino. It violates R parity since it produces only one neutralino.
- BF bounds are interpreted as limits on λ''_{113} vs neutralino mass



Conclusions

- BaBar continues to produce excellent physics results and is well suited to search for dark matter candidates
- We performed a model independent search searched for Heavy Neutral Leptons (mostly sterile)
 - Could explain simultaneously BAU and DM origin
 - No signal observed but set the world leading limits for the mixing strength with the tau in the region around $1 \text{ GeV}/c^2$
 - Largest systematic coming from hadronic tau decays
- We performed a search for dark matter candidates in exotic B decays to a baryon a dark sector anti-baryon
 - No signal is observed and we put limits on the BF
 - In the context of DM and BAU B-mesogenesis models we have put stringent limits on some operators
 - In the context of SUSY these limits can be recast as limits on RPV SUSY models



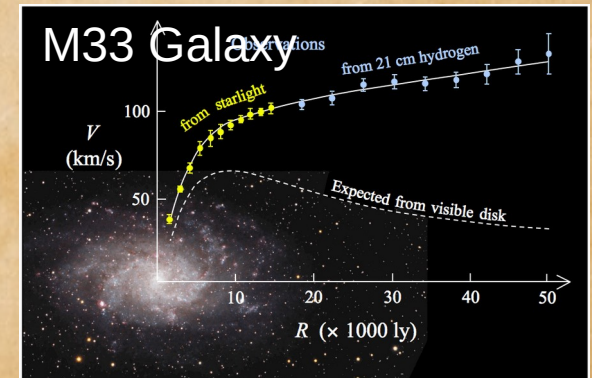
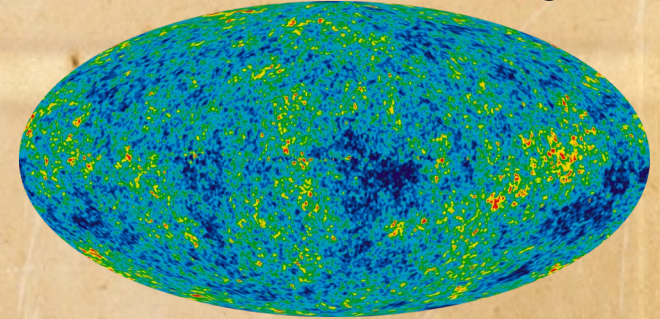


BACKUP

Dark Matter in the Universe

- Many experimental evidences of Dark matter
 - Lambda CDM: 5% Baryonic Matter, 27% Dark Matter, 68% Dark Energy
 - Rotational curve of galaxies
 - Large scale structure formation
 - Bullet galaxy cluster
 - Gravitational lensing

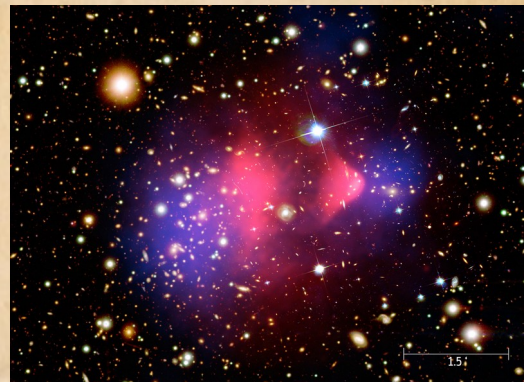
Cosmic microwave bkg



lensing



Dark matter Bullet cluster



[simulated] structure formation

