

# Introduction to Lattice QCD

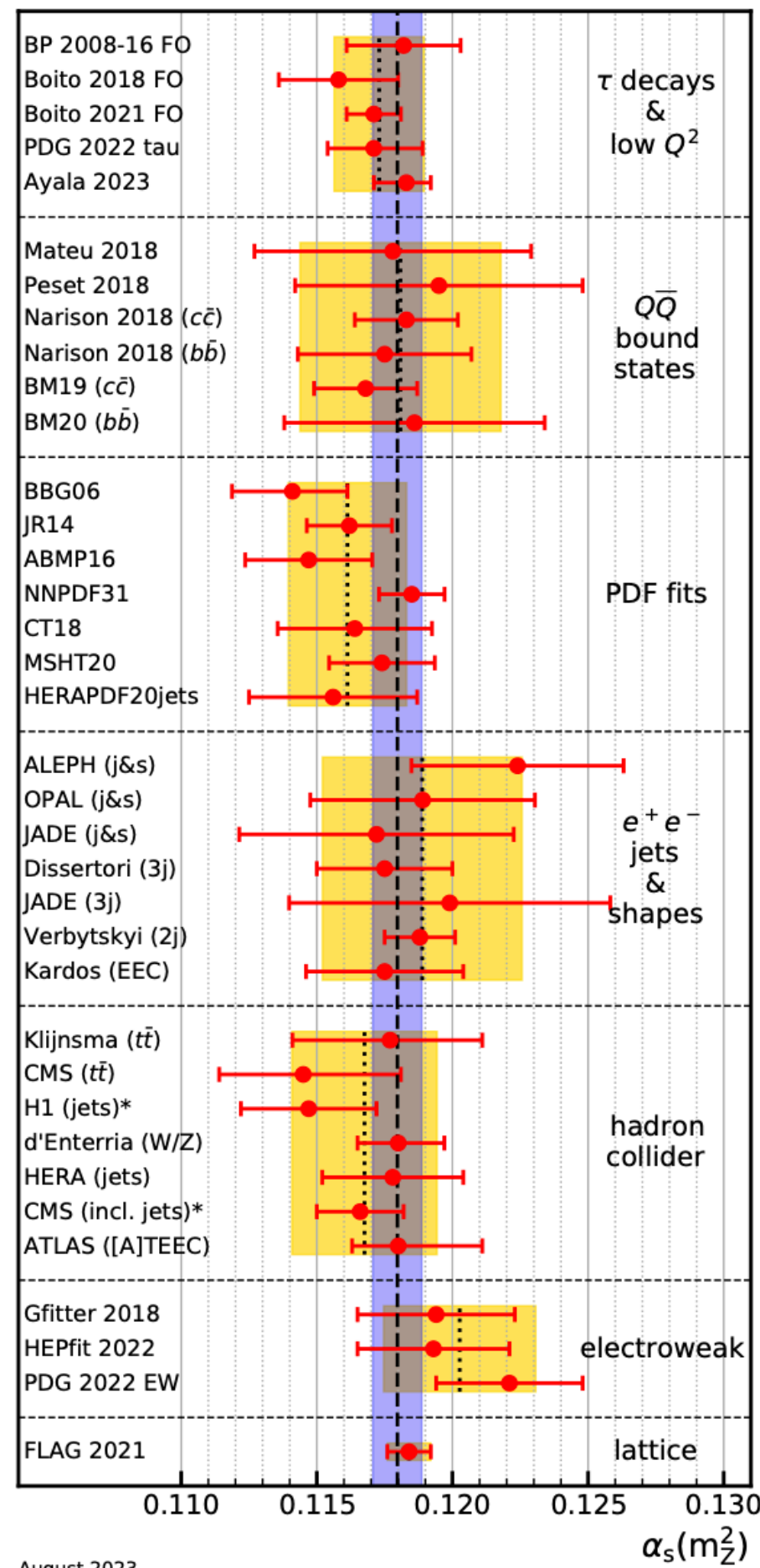
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# References

1. C. Gattringer and C.B. Lang, “Quantum Chromodynamics on the lattice”, Springer
2. H.J. Rothe, “Introduction to Lattice Gauge Theories”, 4th Ed., World Scientific Lecture Notes in Physics, vol. 82
3. I. Montvay and G. Muenster, “Quantum Fields on a Lattice”, Cambridge Monographs on Mathematical Physics
4. M. Creutz, “Quarks, Gluons and Lattices”, Cambridge University Press

9. Quantum Chromodynamics



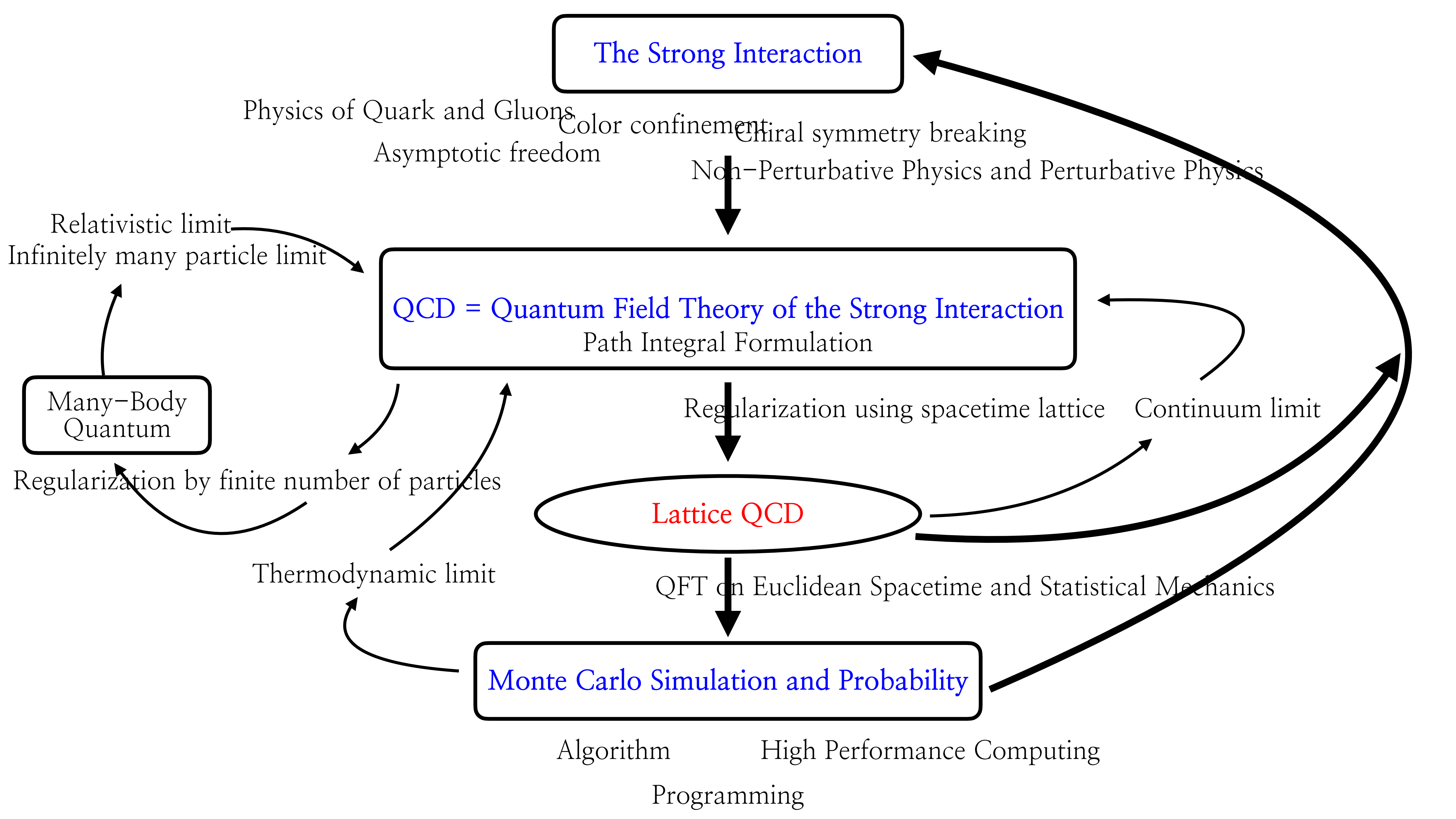
August 2023

**Figure 9.2:** Summary of determinations of  $\alpha_s(m_Z^2)$  with uncertainty in the seven sub-fields as discussed in the text. The yellow (light shaded) bands and dotted lines indicate the pre-average values of each sub-field. The dashed line and blue (dark shaded) band represent the final world average value of  $\alpha_s(m_Z^2)$ . The “\*” symbol within the “hadron colliders” sub-field indicates a determination including a simultaneous fit of PDFs.

1st December, 2023

# A lattice QCD project

1. Lattice field theory formulation
2. Monte Carlo simulation of quark–gluon fields
3. Calculation of observables
4. Lattice perturbation theory



**The Strong Interaction**

Physics of Quark and Gluons  
Color confinement  
Chiral symmetry breaking  
Asymptotic freedom  
Non-Perturbative Physics and Perturbative Physics

**QCD = Quantum Field Theory of the Strong Interaction**  
Path Integral Formulation

**Lattice QCD**

**Monte Carlo Simulation and Probability**

**Many-Body Quantum**

Relativistic limit  
Infinitely many particle limit

Regularization by finite number of particles

Thermodynamic limit

Regularization using spacetime lattice  
Continuum limit

QFT on Euclidean Spacetime and Statistical Mechanics

Algorithm  
High Performance Computing  
Programming

# Contents

1. Short introduction to path integral formulation of quantum mechanics
2. QCD on spacetime lattice
3. Path integral formulation of quantum field theory on Euclidean spacetime and statistical mechanics
4. Monte Carlo method and algorithm

# Short introduction to path integral

- Schroedinger equation:

$$i\hbar \frac{\partial}{\partial t} \psi(\mathbf{x}, t) = \hat{H} \psi(\mathbf{x}, t)$$

- Schroedinger picture:

$$\psi(\mathbf{x}, t) = \langle \mathbf{x} | \psi(t) \rangle$$

$$= \langle \mathbf{x} | e^{-i\frac{\hat{H}}{\hbar}(t-t')} | \psi(t') \rangle$$

$$= \langle \mathbf{x} | e^{-i\frac{\hat{H}}{\hbar}(t-t')} \int d^3x' | \mathbf{x}' \rangle \langle \mathbf{x}' | \psi(t') \rangle \text{ using } I = \int d^3x' | \mathbf{x} \rangle \langle \mathbf{x} |$$

$$= \int d^3x' G(\mathbf{x}, t; \mathbf{x}', t') \langle \mathbf{x}' | \psi(t') \rangle \text{ using } G(\mathbf{x}, t; \mathbf{x}', t') = \langle \mathbf{x} | e^{-i\frac{\hat{H}}{\hbar}(t-t')} | \mathbf{x}' \rangle$$

# Short introduction to path integral

- Green's function (1-d):

$$\begin{aligned}
 G(x, t; x', t') &= \langle x | e^{-i\frac{\hat{H}}{\hbar}(t-t')} | x' \rangle = \langle x | e^{-i\frac{\hat{H}}{\hbar}N\epsilon} | x' \rangle \text{ with } (t - t') = N\epsilon, \text{ or } \epsilon = \frac{t - t'}{N} \\
 &= \langle x | e^{-i\frac{\hat{H}}{\hbar}\epsilon} e^{-i\frac{\hat{H}}{\hbar}\epsilon} \dots e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x' \rangle = \int dx_{N-1} \langle x | e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x_{N-1} \rangle \langle x_{N-1} | e^{-i\frac{\hat{H}}{\hbar}\epsilon} \dots e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x' \rangle \\
 &= \int dx_{N-1} G(x, t; x_{N-1}, t - \epsilon) \langle x_{N-1} | e^{-i\frac{\hat{H}}{\hbar}\epsilon} \dots e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x' \rangle \text{ with } G(x, t; x_{N-1}, t - \epsilon) = \langle x | e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x_{N-1} \rangle \\
 &= \int dx_{N-1} G(x, t; x_{N-1}, t_{N-1}) \langle x_{N-1} | e^{-i\frac{\hat{H}}{\hbar}\epsilon} \dots e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x' \rangle \\
 &= \int dx_{N-1} \int dx_{N-2} G(x, t; x_{N-1}, t_{N-1}) G(x_{N-1}, t_{N-1}; x_{N-2}, t_{N-2}) \langle x_{N-2} | e^{-i\frac{\hat{H}}{\hbar}\epsilon} \dots e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x' \rangle \\
 &= \int \dots \int \prod_{i=1}^{N-1} dx_i G(x, t; x_{N-1}, t_{N-1}) G(x_{N-1}, t_{N-1}; x_{N-2}, t_{N-2}) \dots G(x_1, t_1; x', t')
 \end{aligned}$$



# Short introduction to path integral

$$\begin{aligned}
 G(x_i, t_i; x_{i-1}, t_{i-1}) &= \langle x_i | e^{-i\frac{\hat{H}}{\hbar}\epsilon} | x_{i-1} \rangle \\
 &= \langle x_i | e^{-\frac{i\epsilon}{\hbar} \left( \frac{\hat{p}^2}{2m} + V(\hat{x}) \right)} | x_{i-1} \rangle \text{ for } \hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{x}) \\
 &= \langle x_i | e^{-\frac{i\epsilon}{\hbar} \left( \frac{\hat{p}^2}{2m} \right)} e^{-\frac{i\epsilon}{\hbar} V(\hat{x})} e^{\mathcal{O}(\epsilon^2)} | x_{i-1} \rangle \simeq \langle x_i | e^{-\frac{i\epsilon}{\hbar} \left( \frac{\hat{p}^2}{2m} \right)} e^{-\frac{i\epsilon}{\hbar} V(x)} | x_{i-1} \rangle \\
 &= \langle x_i | e^{-\frac{i\epsilon}{\hbar} \left( \frac{\hat{p}^2}{2m} \right)} \int \frac{dp}{2\pi\hbar} | p_i \rangle \langle p_i | x_{i-1} \rangle e^{-\frac{i\epsilon}{\hbar} V(x_{i-1})} \text{ with } I = \int \frac{dp}{2\pi\hbar} | p_i \rangle \langle p_i | \\
 &= \int \frac{dp}{2\pi\hbar} \langle x_i | p_i \rangle \langle p_i | x_{i-1} \rangle e^{-\frac{i\epsilon}{\hbar} \left[ \frac{p_i^2}{2m} + V(x_{i-1}) \right]} = \int \frac{dp}{2\pi\hbar} e^{ix_i p_i - ix_{i-1} p_i} e^{-\frac{i\epsilon}{\hbar} \left[ \frac{p_i^2}{2m} + V(x_{i-1}) \right]} \\
 &= \int \frac{dp}{2\pi\hbar} e^{-\frac{i\epsilon}{\hbar} \left[ -i \frac{p_i(x_i - x_{i-1})}{\epsilon} + \frac{p_i^2}{2m} + V(x_{i-1}) \right]} \simeq e^{\frac{i\epsilon}{\hbar} \left[ \frac{1}{2} m \left( \frac{x_i - x_{i-1}}{\epsilon} \right)^2 - V(x_{i-1}) \right]} = e^{\frac{i}{\hbar} \epsilon L[x_{i-1}, \dot{x}_{i-1}]}
 \end{aligned}$$

# Short introduction to path integral

- Green's function (1-d):

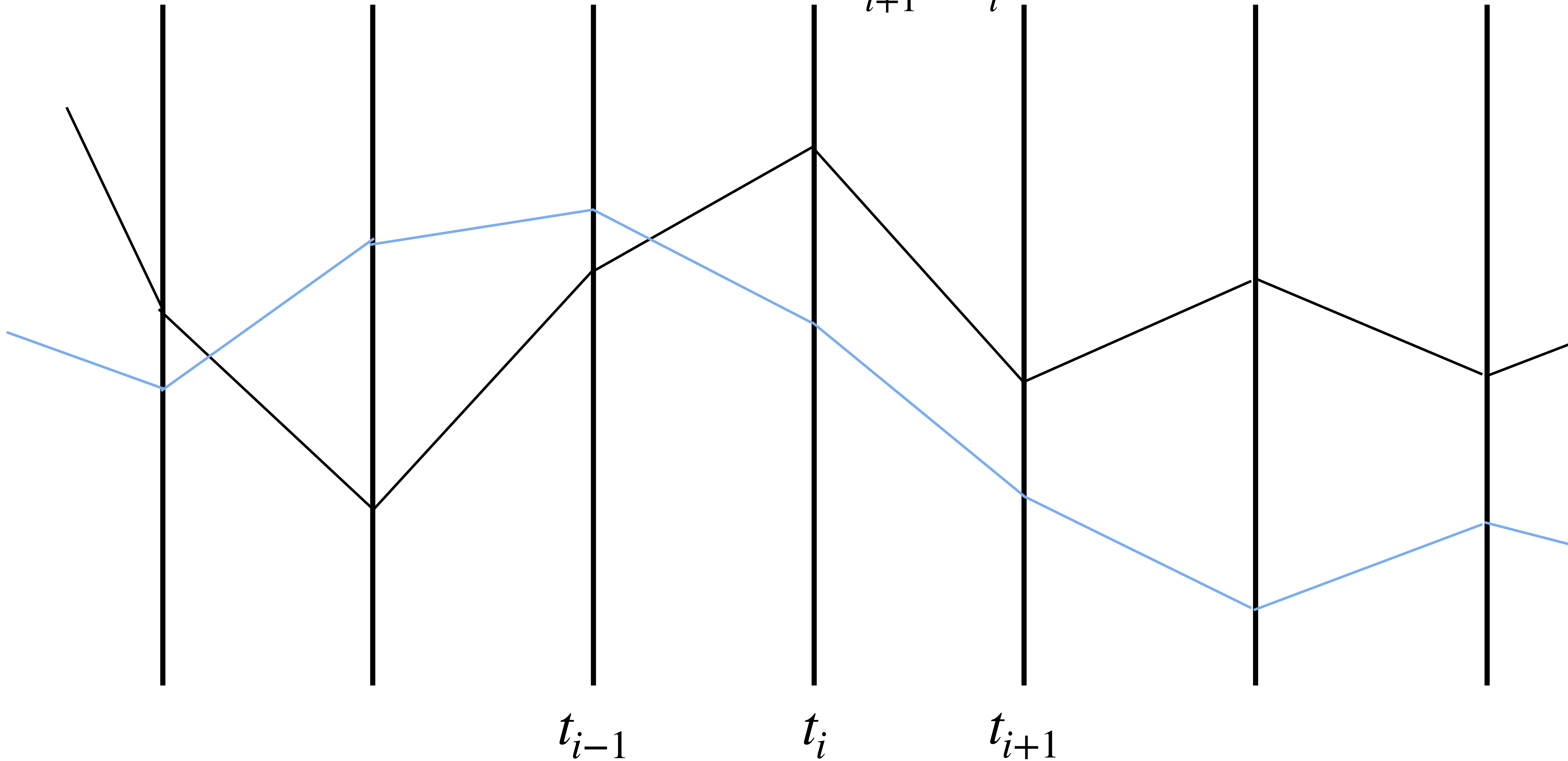
$$G(x, t; x', t') = \langle x | e^{-i\frac{\hat{H}}{\hbar}(t-t')} | x' \rangle$$

$$= \int \cdots \int \prod_{i=1}^{N-1} dx_i G(x, t; x_{N-1}, t_{N-1}) G(x_{N-1}, t_{N-1}; x_{N-2}, t_{N-2}) \cdots G(x_1, t_1; x', t')$$

$$\simeq \int \cdots \int \prod_{i=1}^{N-1} dx_i \exp\left(\frac{i}{\hbar} \int dt L[x, \dot{x}]\right) \equiv \int [\mathcal{D}x] \exp\left(\frac{i}{\hbar} S[x, \dot{x}]\right)$$

# Sum over paths

$$t_{i+1} - t_i = \epsilon$$



# Short introduction to path integral with “Euclidean time”

- Wick rotation:  $t \rightarrow -i\tau$

$$G(x, t; x', t') = \langle x | e^{-i\frac{\hat{H}}{\hbar}(t-t')} | x' \rangle \equiv \int [\mathcal{D}x] \exp\left(\frac{i}{\hbar}S[x, \dot{x}]\right)$$

$$\rightarrow G_E(x, \tau; x', \tau') = \int [\mathcal{D}x] \exp\left(-\frac{1}{\hbar}S_E\left[x, \frac{dx}{d\tau}\right]\right)$$

# Monte Carlo approach to Quantum Mechanics

- M. Creutz, B. Freedman, “Statistical approach to quantum mechanics”, Annals. Phys. 132 (1981) 427
- M.J. Westbroek, P.R. King, D.D. Vedensky, S. Durr, “Users’ guide to Monte Carlo methods for evaluating path integrals”, Am. J. Phys. 86 (2018) 293
- partition function for statistical mechanics and path integral quantum mechanics in Euclidean time:

$$Z = \sum_n e^{-\frac{E_n}{k_B T}} = \text{Tr} \exp\left(-\frac{H}{k_B T}\right) = \int dx \langle x | \exp\left(-\frac{H}{k_B T}\right) | x \rangle$$

$$G_E(x, \tau; x', \tau') = \int [\mathcal{D}x] \exp\left(-\frac{1}{\hbar} S_E\left[x, \frac{dx}{d\tau}\right]\right)$$

- With the boundary conditions

# What to calculate in the path integral with “Euclidean time”?

Heisenberg picture :  $\hat{Q}(\tau_i) = e^{H\tau_i}\hat{Q}(0)e^{-H\tau_i}$

$$\langle x, \tau | \hat{Q}(\tau_1)\hat{Q}(\tau_2)\cdots\hat{Q}(\tau_j) | x', \tau' \rangle \equiv \langle x | e^{-H\tau}\hat{Q}(\tau_1)\hat{Q}(\tau_2)\cdots\hat{Q}(\tau_j)e^{H\tau'} | x' \rangle$$

$$e^{H\tau'} | x' \rangle = \sum_n e^{H\tau'} | n \rangle \langle n | x' \rangle = \sum_n e^{E_n\tau'} \Psi_n(x')^\dagger$$

$$\langle x | e^{-H\tau} = \sum_m \langle x | m \rangle \langle m | e^{-H\tau} = \sum_m e^{-E_m\tau} \Psi_m(x)$$

$$= \sum_{n,m} e^{-E_m\tau} e^{E_n\tau'} \Psi_m(x) \Psi_n(x')^\dagger \langle m | \hat{Q}(\tau_1)\hat{Q}(\tau_2)\cdots\hat{Q}(\tau_j) | n \rangle$$

$$\rightarrow e^{-E_0(\tau-\tau')} \Psi_0(x) \Psi_0(x')^\dagger \langle 0 | \hat{Q}(\tau_1)\hat{Q}(\tau_2)\cdots\hat{Q}(\tau_j) | 0 \rangle$$

# What to calculate in the path integral with “Euclidean time”?

$$\langle x, \tau | \hat{Q}(\tau_1) \hat{Q}(\tau_2) \cdots \hat{Q}(\tau_j) | x', \tau' \rangle \rightarrow e^{-E_0(\tau-\tau')} \Psi_0(x) \Psi_0(x')^\dagger \langle 0 | \hat{Q}(\tau_1) \hat{Q}(\tau_2) \cdots \hat{Q}(\tau_j) | 0 \rangle$$

$$\langle x, t | x', t' \rangle \rightarrow e^{-E_0(\tau-\tau')} \Psi_0(x) \Psi_0(x')^\dagger$$

$$\frac{\langle x, \tau | \hat{Q}(\tau_1) \hat{Q}(\tau_2) \cdots \hat{Q}(\tau_j) | x', \tau' \rangle}{\langle x, \tau | x', \tau' \rangle} \rightarrow \langle 0 | \hat{Q}(\tau_1) \hat{Q}(\tau_2) \cdots \hat{Q}(\tau_j) | 0 \rangle$$

In quantum field theory, we are interested in “propagator”

$$\int [\mathcal{D}x] x(\tau_1) x(\tau_2) \cdots x(\tau_j) e^{-\frac{S_E}{\hbar}}$$

$$\int [\mathcal{D}x] e^{-\frac{S_E}{\hbar}}$$

In path integral, “propagator” is a ratio of two integrals

# QCD, a Quantum Field Theory

1. QFT of quarks and gluons
2. QFT has divergences (IR and UV)
3. QFT needs regularization to tame divergences
4. Pauli–Villars regularization, dimensional regularization, spacetime discretization and so on
5. Quantum states and Hamiltonian for a quantum theory



# Consistent QFT: Renormalizability

Quantum Field Theory



Regularization



Renormalized Quantum Field Theory

# Anomaly and regulator

1. Classical symmetry can be broken by quantum correction (e.g., Adler–Bell–Jackiw chiral anomaly of Quantum Electrodynamics)
2. Regulator to tame divergences is related to quantum correction
3. QCD is a “vector” gauge theory, not a chiral gauge theory

# Quantum ChromoDynamics (QCD)

- Quantum ChromoDynamics: gauge theory of quark field and gluon field

$$\mathcal{L}_{QCD} = \bar{\psi}_{a,f}^i \left[ i\gamma_{ab}^\mu \left( \partial_\mu + ig(A_\mu) \right)_{ij} - m_f \right] \psi_{b,f}^j - \frac{1}{4} \text{Tr} \left( F_{\mu\nu} F^{\mu\nu} \right), \quad A_\mu = A_\mu^c T^c, \quad F_{\mu\nu} = \partial_\mu A_\nu^c T^c - \partial_\nu A_\mu^c T^c + ig \left[ A_\mu, A_\nu \right]^c T^c$$

$T^c$  : Gell-Mann matrices

- The Strong interaction as a “color” gauge theory: compare with Quantum ElectroDynamics

$$\mathcal{L}_{QED} = \bar{\psi}_{a,f} \left[ i\gamma_{ab}^\mu \left( \partial_\mu + ieA_\mu \right) - m_f \right] \psi_{b,f} - \frac{1}{4} \text{Tr} \left( F_{\mu\nu} F^{\mu\nu} \right), \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

- electric charge vs color charge

$$\psi \rightarrow e^{i\alpha} \psi : U(1) \text{ phase rotation vs } \begin{pmatrix} \psi_r \\ \psi_g \\ \psi_b \end{pmatrix} \rightarrow [e^{i\alpha^c T^c}] \begin{pmatrix} \psi_r \\ \psi_g \\ \psi_b \end{pmatrix} : SU(3) \text{ phase rotation}$$

# QCD

1. QCD is a renormalizable theory (D. Gross, F. Wilczek and D. Politzer)
2. Based on color  $SU(3)$  Gauge symmetry
3. Chiral symmetry breaking
4. Fundamental constituents are colored states (quarks and gluons) but we see only hadronic states (baryons, mesons, tetra-quark states, penta-quark states etc)
5. But we don't see colored states !!!!!!!

# QCD in Euclidean spacetime

- Quantum ChromoDynamics Lagrangian: gauge theory of quark field and gluon field

$$\mathcal{L}_{QCD} = \bar{\psi}_{a,f}^i \left[ i\gamma_{ab}^\mu \left( \delta_{ij} \partial_\mu + ig(A_\mu)_{ij} \right) - m_f \delta_{ij} \delta_{ab} \right] \psi_{b,f}^j - \frac{1}{4} \text{Tr} \left( F_{\mu\nu} F^{\mu\nu} \right), \quad A_\mu = A_\mu^c T^c, \quad F_{\mu\nu} = \partial_\mu A_\nu^c T^c - \partial_\nu A_\mu^c T^c + ig \left[ A_\mu, A_\nu \right]^c T^c$$

$$\text{Path integral: } Z = \int dA_\mu \int d\bar{\psi} \int d\psi e^{iS_{QCD}}, \quad S_{QCD} = \int d^3x dt \mathcal{L}_{QCD}$$

- In Euclidean spacetime,

$$\mathcal{L}_{QCD}^E = \bar{\psi}_{a,f}^i \left[ (\gamma_E)_{ab}^\mu \left( \delta_{ij} \partial_\mu + ig(A_\mu)_{ij} \right) + m_f \delta_{ij} \delta_{ab} \right] \psi_{b,f}^j + \frac{1}{4} \text{Tr} \left( F_{\mu\nu} F^{\mu\nu} \right), \quad A_\mu = A_\mu^c T^c, \quad F_{\mu\nu} = \partial_\mu A_\nu^c T^c - \partial_\nu A_\mu^c T^c + ig \left[ A_\mu, A_\nu \right]^c T^c$$

$$\text{Path integral: } Z_E = \int dA_\mu \int d\bar{\psi} \int d\psi e^{-S_{QCD}^E}, \quad S_{QCD}^E = \int d^3x d\tau \mathcal{L}_{QCD}^E$$

# Quark field, Grassmann number and effective action

1. Quark field is based on anti-commuting numbers (i.e. Grassmann number) which have no classical analogue
2. Formal integration over quark field and anti-quark field:

$$\int d\psi \int d\bar{\psi} \exp [-\bar{\psi} M \psi] \rightarrow \det(M)$$

3. Or can be mimicked by “pseudo fermion” (or boson field):

$$\int d\phi \int d\phi^\dagger \exp [-\phi^\dagger (M)^{-1} \phi] \rightarrow \det(M)$$

# QCD in Euclidean spacetime

$$Z = \int dA_\mu \int d\psi \int d\bar{\psi} e^{-S_{\text{QCD}}^E} = \int dA_\mu \int d\psi \int d\bar{\psi} \exp \left[ - \int d^4x_E \mathcal{L}_{\text{QCD}}^E \right]$$

$$= \int dA_\mu \det(M)_1 \det(M)_2 \cdots \det(M)_f e^{-S_g},$$

$$S_g = \frac{1}{4} \text{Tr} F_{\mu\nu} F_{\mu\nu}, \quad M_1 = \gamma_E^\mu (\partial_\mu + igA_\mu) + m_1 \equiv \gamma_E^\mu D_\mu + m_1$$

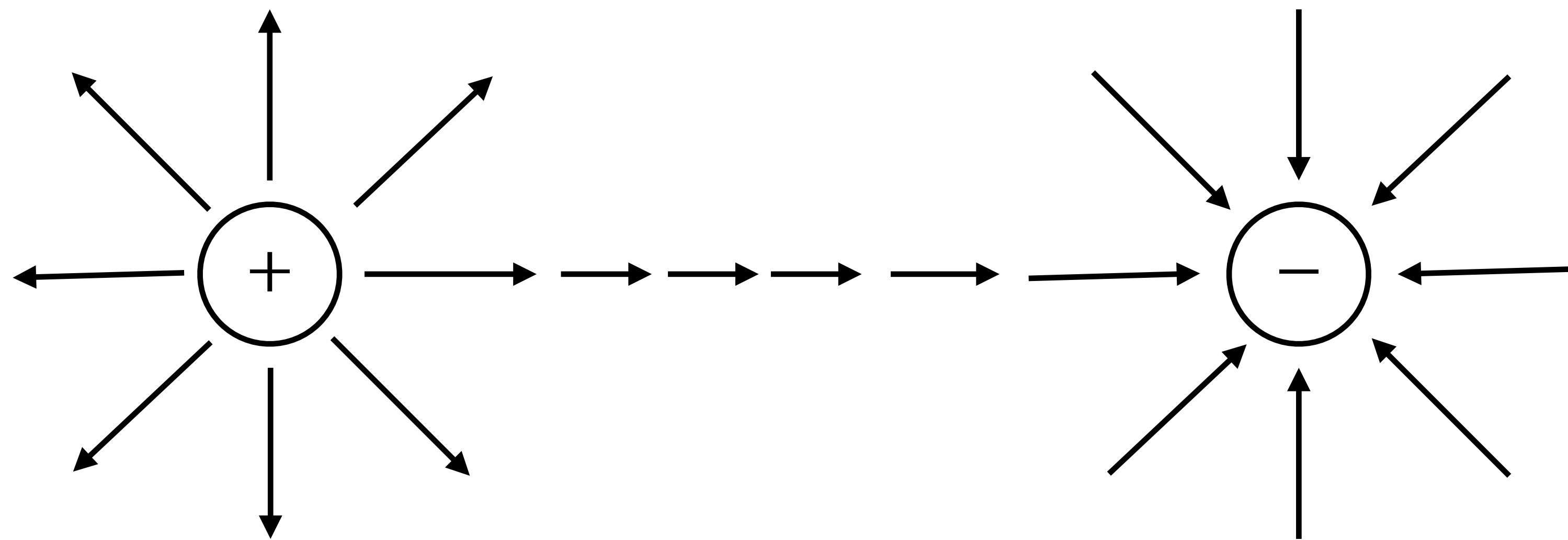
Using  $\det(M) = e^{\text{Tr} \log(M)}$ ,

$$S_{\text{eff}} = - \sum_f \text{Tr} \log(M_f) + \frac{1}{4} \text{Tr} F_{\mu\nu} F_{\mu\nu}$$

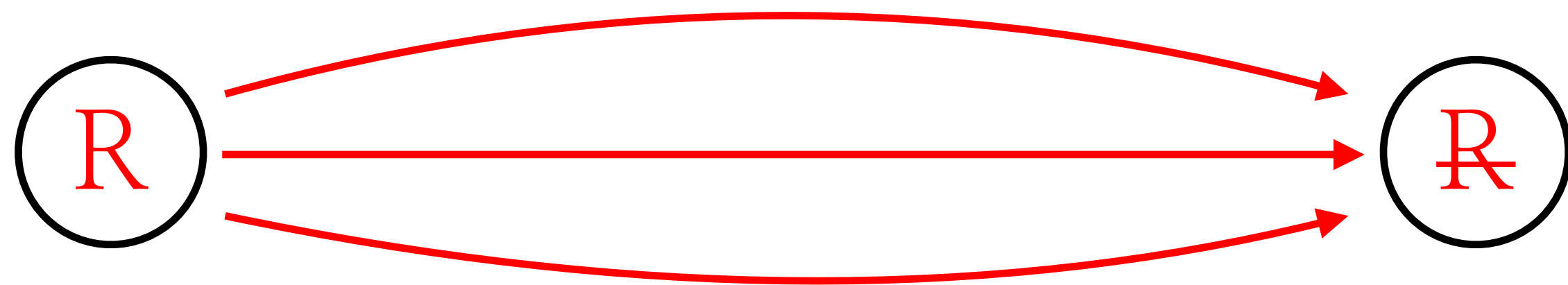
“Confinement of Quarks”,  
K.G. Wilson, PRD10 (1974) 2445

1. “Coulomb’s law is not the only solution of Gauss’ law”
2. Flux tube of gauge field is also a solution of Gauss’ law
3. Energy cost of separating colored source can be proportional to the distance between the charges





$$\sim \frac{1}{r}$$



$$\sim r$$

“Confinement of Quarks”,  
K.G. Wilson, PRD10 (1974) 2445

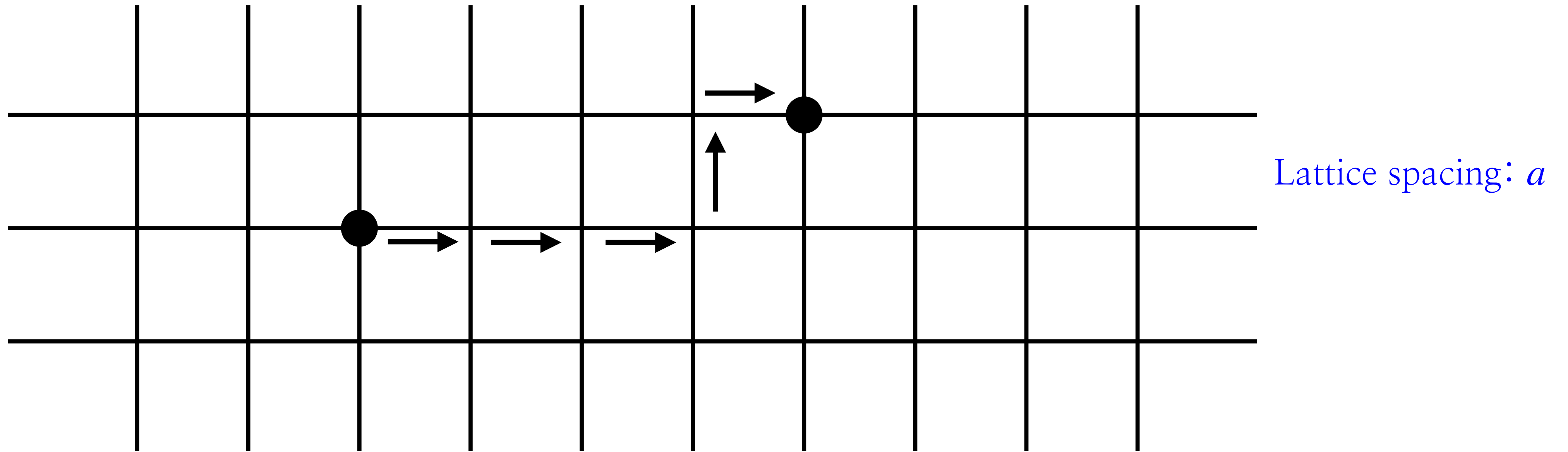
1. Lattice QCD is QCD on spacetime lattice
2. Lattice QCD has both IR-cutoff and UV-cutoff
3. Lattice QCD has a non-perturbative definition of QCD fully satisfying first principles of quantum field theory
4. Perturbative QCD vs Non-perturbative QCD
5. Keep gauge symmetry and give up continuous spacetime

# QCD on Euclidean spacetime lattice

1. Needs to define quark field (fermionic field) and gluon field (gauge field)
2. Regulator, renormalization scheme and symmetry realized on a discrete Euclidean spacetime lattice

Quark field:  $\psi(\mathbf{x}, \tau) \rightarrow \psi(\mathbf{x}_n, \tau_m)$

Gauge transform:  $e^{i\alpha(\mathbf{x}, \tau) \cdot T} \psi(\mathbf{x}, \tau) \rightarrow e^{i\alpha(\mathbf{x}_n, \tau_m) \cdot T} \psi(\mathbf{x}_n, \tau_m)$

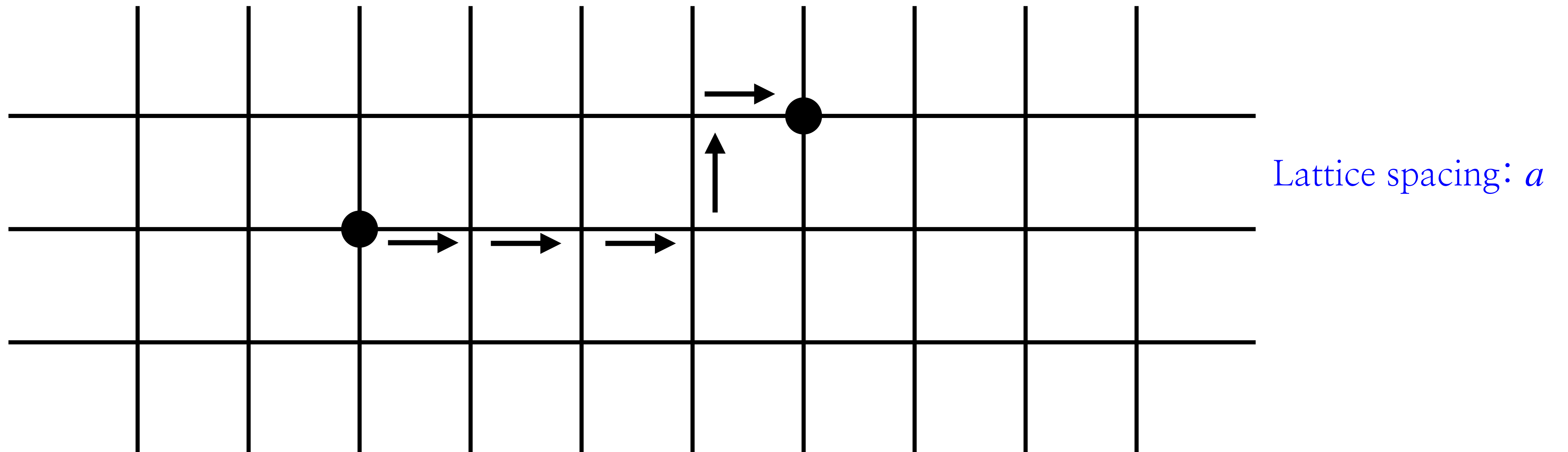


We have  $\bar{\psi}(\mathbf{x}, \tau) \partial_\mu \psi(\mathbf{x}, \tau) \rightarrow \bar{\psi}(\mathbf{x}_{n'}, \tau_{m'}) \psi(\mathbf{x}_n, \tau_m)$

$\bar{\psi}(\mathbf{x}', \tau') e^{i\alpha(\mathbf{x}', \tau') \cdot T} e^{i\alpha(\mathbf{x}, \tau) \cdot T} \psi(\mathbf{x}, \tau) \rightarrow \bar{\psi}(\mathbf{x}_{n'}, \tau_{m'}) e^{i\alpha(\mathbf{x}_{n'}, \tau_{m'}) \cdot T} e^{i\alpha(\mathbf{x}_n, \tau_m) \cdot T} \psi(\mathbf{x}_n, \tau_m)$

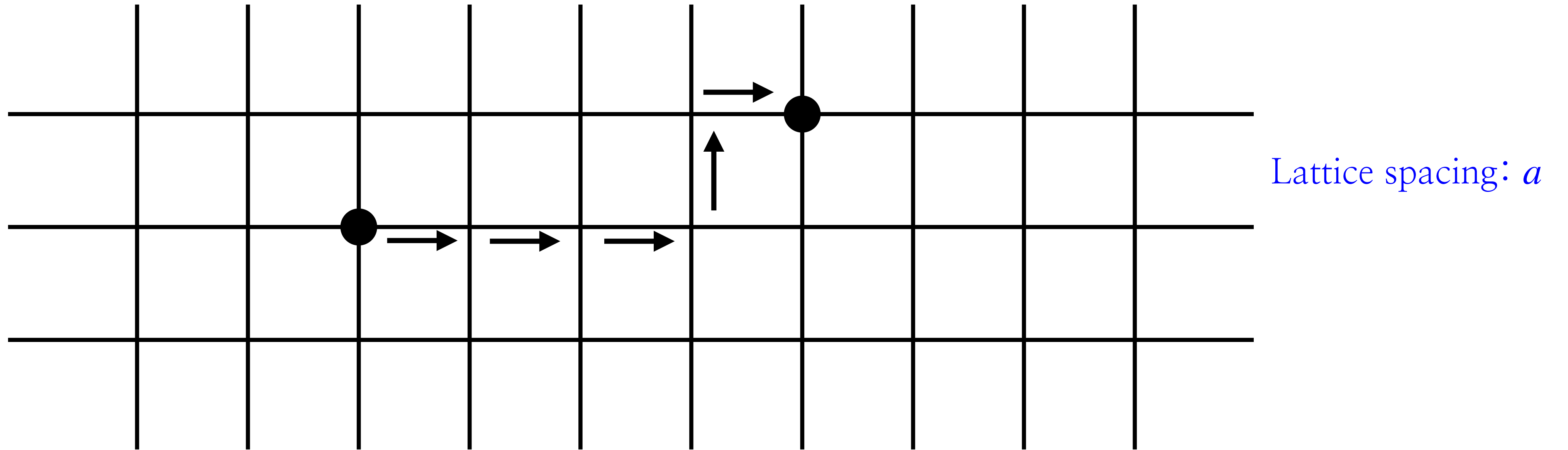
We also have  $\bar{\psi}(\mathbf{x}, \tau) A_\mu(\mathbf{x}, \tau) \psi(\mathbf{x}, \tau)$

Gauge transform:  $\bar{\psi}(\mathbf{x}_{n'}, \tau_{m'}) e^{i\alpha(\mathbf{x}_{n'}, \tau_{m'}) \cdot T} \Lambda(\mathbf{x}_{n'}, \tau_{m'}; \mathbf{x}_n, \tau_m) e^{i\alpha(\mathbf{x}_n, \tau_m) \cdot T} \psi(\mathbf{x}_n, \tau_m)$



Gluon field:  $A_\mu(\mathbf{x}, \tau) \rightarrow \Lambda(\mathbf{x}', \tau'; \mathbf{x}, \tau) \equiv \exp \left[ ig \int_{(\mathbf{x}, \tau)}^{(\mathbf{x}', \tau')} dx_\nu A_\nu \cdot T \right]$

$\Lambda(\mathbf{x}_{n'}, \tau_{m'}; \mathbf{x}_n, \tau_m) \rightarrow U_\mu(x) \equiv e^{iaA_\mu(x)}$



Quark field: defined on the lattice sites

Gluon field: defined between the lattice sites, called “links”,  $U_\mu(x) \equiv e^{iaA_\mu(x)}$   
to respect gauge symmetry

# Lattice QCD in Euclidean spacetime

$$\partial_\mu \psi(x) \simeq \frac{1}{2a} [\psi(x + \hat{\mu}a) - \psi(x - \hat{\mu}a)], \quad D_\mu \psi(x) \simeq \frac{1}{2a} \left[ U_\mu(x) \psi(x + \hat{\mu}a) - U_\mu^\dagger(x - \hat{\mu}a) \psi(x - \hat{\mu}a) \right]$$

$$\bar{\psi}(x) \left[ \gamma_E^\mu D_\mu \psi(x) + m \psi(x) \right] \rightarrow \bar{\psi}(x) \left[ \frac{1}{2a} \gamma_E^\mu \left( U_\mu(x) \psi(x + \hat{\mu}a) - U_\mu^\dagger(x - \hat{\mu}a) \psi(x - \hat{\mu}a) \right) + m \psi(x) \right]$$

$$\bar{\psi}_a^i(x) M_{ab}^{ij}(x, y) \psi_b^j(y), \quad M_{ab}^{ij}(x, y) = (\gamma_E^\mu)_{ab} \frac{1}{2a} \left[ U_\mu(x) \delta_{x+\hat{\mu}a, y} - U_\mu^\dagger(y) \delta_{x-\hat{\mu}a, y} \right] + m \delta_{x, y} \delta_{ij} \delta_{ab}$$

$$F_{\mu\nu}(x)^c = \partial_\mu A_\nu^c - \partial_\nu A_\mu^c + ig [A_\mu, A_\nu]^c \rightarrow P_{\mu\nu} = U_\mu(x) U_\nu(x + \hat{\mu}a) U_\mu^\dagger(x + \hat{\nu}a) U_\nu^\dagger(x)$$

$$\frac{1}{4} \text{Tr} F_{\mu\nu} F_{\mu\nu} \rightarrow \beta \left[ 1 - \frac{1}{2N_c} \text{Tr} \left( P_{\mu\nu} + P_{\mu\nu}^\dagger \right) \right], \quad \beta = \frac{2N_c}{g^2}$$

# Lattice QCD

$$Z = \int \mathcal{D}U_\mu e^{-S_{\text{eff}}}, \quad \langle \mathcal{O}[U] \rangle = \frac{\int \mathcal{D}U_\mu \mathcal{O}[U] e^{-S_{\text{eff}}}}{\int \mathcal{D}U_\mu e^{-S_{\text{eff}}}}$$

$$S_{\text{eff}} = - \sum_f \text{Tr} \log [M_f] + \beta \left[ 1 - \frac{1}{2N_c} \text{Tr} \left( P_{\mu\nu} + P_{\mu\nu}^\dagger \right) \right], \quad \beta = \frac{2N_c}{g^2}$$

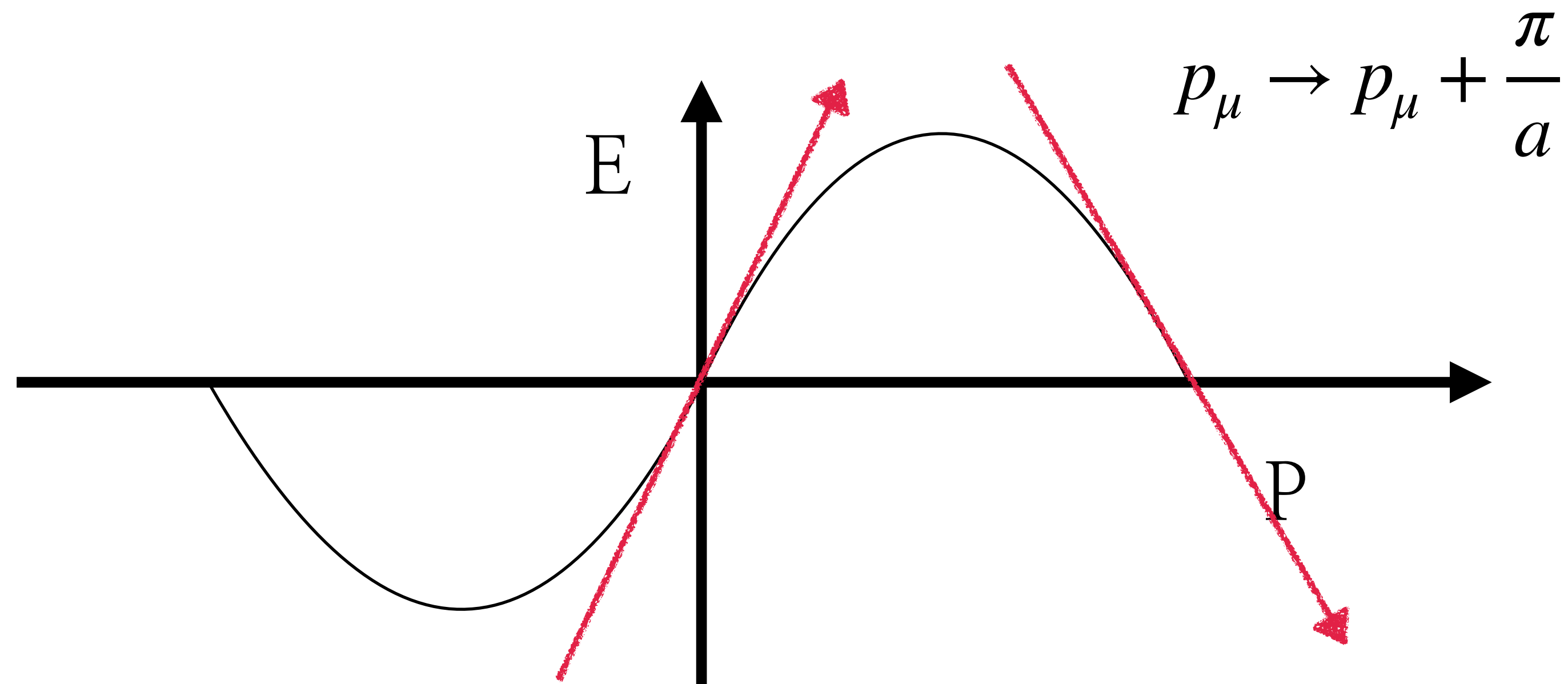
Remind you that  $\int \mathcal{D}U_\mu = \int \int \cdots \int \prod_{x,\mu} dU_\mu(x)$



# Anomaly and **lattice** regulator

1. Classical symmetry can be broken by quantum correction (e.g., Adler–Bell–Jackiw chiral anomaly of Quantum Electrodynamics)
2. Nielsen–Ninomiya theorem: H.B. Nielsen and M. Ninomiya, “Absence of neutrinos on a lattice 1 & 2”, Nucl. Phys. B185 (1981) 20 and Nucl. Phys. B193 (1981) 173
3. QCD is a “vector” gauge theory, not a chiral gauge theory

# Fermion doubling problem



In spacetime

$$\partial_\mu \psi \rightarrow \frac{\psi(x + \mu) - \psi(x - \mu)}{2a}$$

In momentum space

$$\frac{e^{ip \cdot (x+\mu)} \tilde{\psi}(p) - e^{ip \cdot (x-\mu)} \tilde{\psi}(p)}{2a} = i \frac{\sin(p_\mu a)}{a} \tilde{\psi}(p)$$

# Fermion Doubling Problem

1. Unavoidable (Nielsen–Ninomya theorem)
2. Based on the first order derivative of Dirac equation
3. Topological property
4. Local chiral gauge theory is not possible

# Solution to Fermion Doubling Problem

1. Wilson fermion
2. Staggered fermion
3. Domain wall fermion
4. Ginsparg–Wilson fermion
5. Random lattice

# Euclidean Spacetime QFT and Statistical Mechanics

Path integral formulation of QFT means “summation of  $e^{-S_E}$  over all the possible field configurations”

$$Z = \int \mathcal{D}U_\mu e^{-S_{\text{eff}}}, \quad \langle \mathcal{O}[U] \rangle = \frac{\int \mathcal{D}U_\mu \mathcal{O}[U] e^{-S_{\text{eff}}}}{\int \mathcal{D}U_\mu e^{-S_{\text{eff}}}}, \quad \int \mathcal{D}U_\mu = \iint \cdots \int \prod_{x,\mu} dU_\mu(x)$$

Think about the partition function in statistical mechanics of 1-D Ising model,

$$Z = \text{Tr} \left( e^{-\frac{H}{k_B T}} \right), \quad \langle E \rangle = \frac{\text{Tr} \left( H e^{-\frac{H}{k_B T}} \right)}{\text{Tr} \left( e^{-\frac{H}{k_B T}} \right)}, \quad H = -J \sum_i \sigma_i \sigma_{i+1} - h \sum_i \sigma_i$$

Here, “Tr” means sum over all the spin configurations,  $2^N$  possibilities of  $\sigma_1, \sigma_2, \dots, \sigma_N$

# Statistical Mechanics and Probability

Statistical mechanics of 1-D Ising model,

$$Z = \text{Tr} \left( e^{-\frac{H}{k_B T}} \right), \quad \langle E \rangle = \frac{\text{Tr} \left( H e^{-\frac{H}{k_B T}} \right)}{\text{Tr} \left( e^{-\frac{H}{k_B T}} \right)}, \quad H = -J \sum_i \sigma_i \sigma_{i+1} - h \sum_i \sigma_i$$

$$\langle E \rangle = \text{Tr} \left( H \frac{e^{-\frac{H}{k_B T}}}{Z} \right) = - \sum_{\sigma_1, \sigma_2, \dots, \sigma_N = \pm 1} \left( J \sum_i \sigma_i \sigma_{i+1} + h \sum_i \sigma_i \right) P \left[ \sigma_1, \sigma_2, \dots, \sigma_N \right]$$

$$\text{with a probability, } P \left[ \sigma_1, \sigma_2, \dots, \sigma_N \right] = \frac{e^{-\frac{H}{k_B T}}}{Z} = \frac{e^{-\frac{H}{k_B T}}}{\sum_{\sigma'_1, \sigma'_2, \dots, \sigma'_N = \pm 1} e^{-\frac{H}{k_B T}}}$$

# Statistical Mechanics and Probability

ex) for a 3-spin system,

$$P[\sigma_1, \sigma_2, \sigma_3] = \frac{\left[ e^{J(\sigma_1\sigma_2 + \sigma_2\sigma_3 + \sigma_3\sigma_1) + h(\sigma_1 + \sigma_2 + \sigma_3)} \right]}{\left[ e^{\frac{3J-3h}{k_B T}} + e^{-\frac{J+h}{k_B T}} + e^{-\frac{J+h}{k_B T}} + e^{-\frac{J+h}{k_B T}} + e^{\frac{-J+h}{k_B T}} + e^{\frac{-J+h}{k_B T}} + e^{\frac{-J+h}{k_B T}} + e^{\frac{3J+3h}{k_B T}} \right]}$$

Since  $Z = \sum_{\sigma'_1, \sigma'_2, \dots, \sigma'_N = \pm 1} e^{-\frac{H'}{k_B T}}$ ,

for  $(\sigma'_1, \sigma'_2, \sigma'_3) = (-, -, -)$ , we have  $e^{\frac{3J-3h}{k_B T}}$ . for  $(+, +, +)$ , we have  $e^{\frac{3J+3h}{k_B T}}$

$(-, -, +), (-, +, -), (+, -, -)$ , we have  $e^{-\frac{J+h}{k_B T}}$

$(-, +, +), (+, +, -), (+, -, +)$ , we have  $e^{\frac{-J+h}{k_B T}}$

# Euclidean Spacetime QFT, Statistical Mechanics and Probability

Path integral formulation of QFT is “summation of  $e^{-S_E}$  over all the possible field configurations”

$$Z = \int \mathcal{D}U_\mu e^{-S_{\text{eff}}}, \quad \langle \mathcal{O}[U] \rangle = \frac{\int \mathcal{D}U_\mu \mathcal{O}[U] e^{-S_{\text{eff}}}}{\int \mathcal{D}U_\mu e^{-S_{\text{eff}}}}, \quad \int \mathcal{D}U_\mu = \iint \cdots \int \prod_{x,\mu} dU_\mu(x)$$

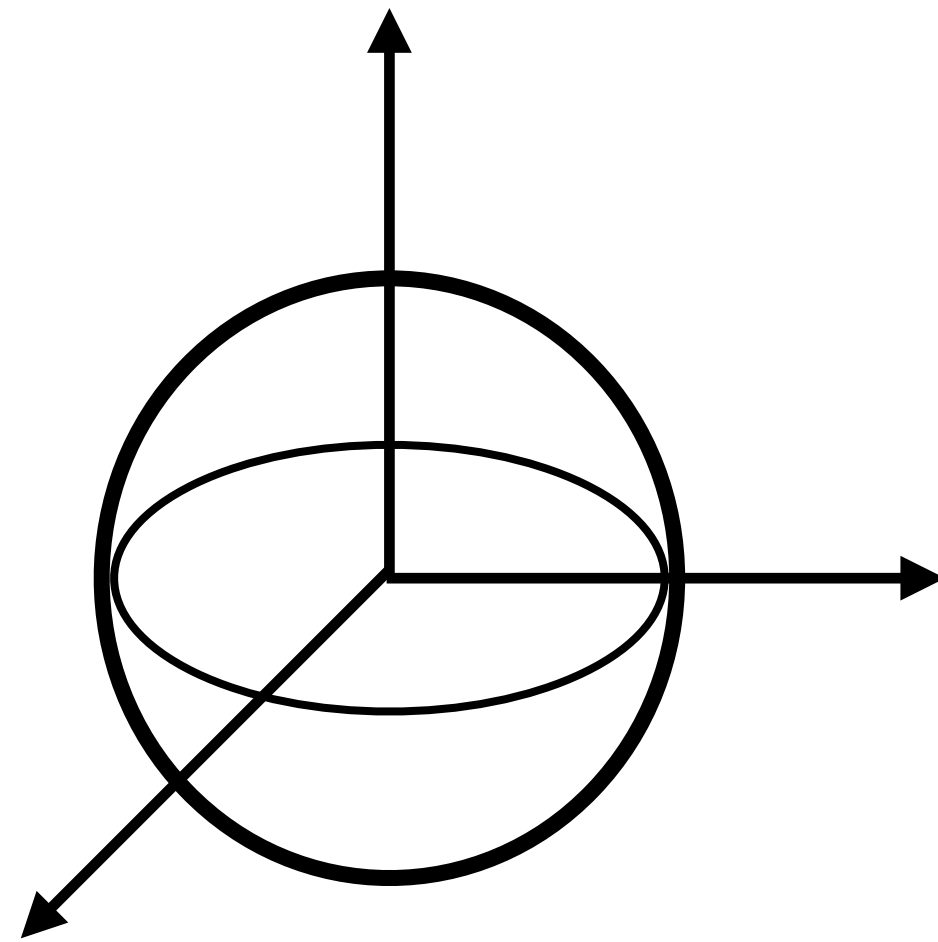
Expectation value of QCD in Euclidean spacetime can be interpreted as,

$$\langle \mathcal{O}[U] \rangle = \int \mathcal{D}U_\mu \mathcal{O}[U] P[U], \quad P[U] = \frac{e^{-S_{\text{eff}}}}{\int \mathcal{D}U_\mu e^{-S_{\text{eff}}}}$$



# Monte Carlo Simulation/Integration

ex) calculate the volume of a sphere whose radius is  $R$ :  $\frac{4}{3}\pi R^3$



Throw random numbers in  $x_1 \in [-R, R], x_2 \in [-R, R], x_3 \in [-R, R]$ ,  
and count  $x_1^2 + x_2^2 + x_3^2 \leq R^2$

# Monte Carlo Simulation/Integration

1. Here we mean Monte Carlo simulation as a sampling method
2. Path integral formulation of QFT means “summation of  $e^{-S_E}$  over all the possible field configurations”
3. Choose sample of field configurations, calculate  $e^{-S_E}$  for each elements in the sample, and sum over them
4. How many field configurations are there?

# Importance Sampling

1. If we have too many degrees of freedom, we can't Monte Carlo sample all the possibilities. If we need  $N$ -dimensional variables and if we choose e.g., 10 values for each variable, we need  $10^N$
2. Prepare Monte Carlo ensemble according to their “importance” (i.e., which gives large  $e^{-S_E}$  for our case)

# How: Algorithm

1. Detailed balance,

$W(U' \rightarrow U)P[U'] = W(U \rightarrow U')P[U]$  is a sufficient condition

2. Ergodicity (algorithm ensures that all the possible states are visited, i.e, there is a finite probability to visit all the possible states) is a necessary condition

# How Monte Carlo Algorithm works

Expectation value of QCD in Euclidean spacetime can be interpreted as,

$$\langle \mathcal{O}[U] \rangle = \int \mathcal{D}U_\mu \mathcal{O}[U] P[U], \quad P[U] = \frac{e^{-S_{\text{eff}}}}{\int \mathcal{D}U_\mu e^{-S_{\text{eff}}}} \equiv P_{\text{eq}}[U]$$

Note that the condition for  $P_{\text{eq}}[U]$  which we require is

$$P_{\text{eq}}[U] = \sum_{U'} P_{\text{eq}}[U'] W(U' \rightarrow U)$$

Let us define  $P_N[U_N]$  which we obtain after  $N$  updates from some initial configurations

$$P_N[U_N] = \sum_{\{U_i\}} W(U_0 \rightarrow U_1) W(U_1 \rightarrow U_2) \cdots W(U_{N-1} \rightarrow U_N)$$

$$P_{N+1}[U_{N+1}] = \sum_{\{U_N\}} \sum_{\{U_i\}} W(U_0 \rightarrow U_1) W(U_1 \rightarrow U_2) \cdots W(U_{N-1} \rightarrow U_N) W(U_N \rightarrow U_{N+1}) = \sum_{\{U_N\}} P_N[U_N] W(U_N \rightarrow U_{N+1})$$

# How Monte Carlo Algorithm works

Let us define  $\epsilon_N = \sum_{U_N} |P_N[U_N] - P_{\text{eq}}[U_N]|$ , the difference between the probability we obtain after  $N$  updates and the equilibrium probability. Then the next update gives

$$\epsilon_{N+1} = \sum_{U_{N+1}} |P_{N+1}[U_{N+1}] - P_{\text{eq}}[U_{N+1}]| = \sum_{U_{N+1}} \left| \sum_{U_N} P_N[U_N] W(U_N \rightarrow U_{N+1}) - P_{\text{eq}}[U] \right|$$

From the requirement for  $P_{\text{eq}}[U]$ ,  $P_{\text{eq}}[U] = \sum_{U'} P_{\text{eq}}[U'] W(U' \rightarrow U)$ ,

$$\epsilon_{N+1} = \sum_{U_{N+1}} \left| \sum_{U_N} P_N[U_N] W(U_N \rightarrow U_{N+1}) - \sum_{U_N} P_{\text{eq}}[U_N] W(U_N \rightarrow U_{N+1}) \right|$$

$$= \sum_{U_{N+1}} \left| \sum_{U_N} \left( P_N[U_N] - P_{\text{eq}}[U_N] \right) W(U_N \rightarrow U_{N+1}) \right| \leq \sum_{U_{N+1}} \sum_{U_N} |P_N[U_N] - P_{\text{eq}}[U_N]| W(U_N \rightarrow U_{N+1}) \leq \epsilon_N$$

# Probability and Fokker–Planck equation

Time evolution of the probability function (in case of small time step algorithm) and Fokker–Planck equation: change of the probability for  $U$  at Monte Carlo time (fictitious time),  $t$ , is equal to (the transition from other states,  $U'$ , to the desired state,  $U$ ) minus (the transition from the desired state to other states,  $U'$ )

$$P[U, t + \Delta t] - P[U, t] = \sum_{U'} [W(U' \rightarrow U)P[U', t] - W(U \rightarrow U')P[U, t]]$$

# Metropolis Algorithm for 1-D Ising Model

1. Choose any initial spin configuration
2. Propose update on a spin  $\sigma_i$  with  $\sigma'_i$
3. Calculate  $E_{\text{old}} = H[\sigma_i], E_{\text{new}} = H[\sigma'_i]$
4. If  $E_{\text{new}} < E_{\text{old}}$ , accept new spin  $\sigma'_i$
5. If  $E_{\text{new}} \geq E_{\text{old}}$ , accept new spin  $\sigma'_i$  with the probability,  
$$\min\left\{1, e^{-\frac{\Delta E}{k_B T}}\right\}, \quad \Delta E = E_{\text{new}} - E_{\text{old}}$$



# High Performance Computing

1. Large amount of computations: number of variables is  $\sim L^4$
2. Statistical error associated with Monte Carlo sampling
3. “Grand Challenge problems in high performance computing

# A lattice QCD project

Lattice field theory formulation



Monte Carlo simulation of quark-gluon fields



Calculation of observables



Analysis/Lattice perturbation theory

# Gauge field ensemble and physical observables

1. Ensemble of gauge fields has a distribution according to “the importance”
2. Expectation value of observables can be calculated using this ensemble just by averaging over the

calculated observables:  $\langle \mathcal{O} \rangle = \frac{1}{N_{\text{sample}}} \sum_{i=1}^{N_{\text{sample}}} \mathcal{O}_i$

# Continuum limit and field theory limit

1. Removal of regulator: lattice spacing,  $a \rightarrow 0$

it is equivalent to the continuum limit

2. Scale setting

3. Removal of finite volume effect

4. Quark mass