

# Collective effects in particle accelerators



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Collective Effects and Impedances

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# Collective forces

Dome of the Swiss Federal Palace



[Wiki]

- **One for all:** The motion of each individual particles define the collective force
- **All for one:** The collective force affects the motion of each individual particle
- The collective forces can affects beams in several ways
  - They usually limit the quality of the beams that can be achieved with a given machine, such that understanding and control of collective effects directly relates to performance reach
  - In some cases the collective force is used to achieve a given purpose

# Content

- Collective instabilities
  - Study case: Electromagnetic wake fields and the head-tail instability
  - Damping mechanisms
- Non-linear collective effects
  - Poincaré section, resonances and chaos
  - Beam-beam and space-charge effects
- Scattering effects
- Summary

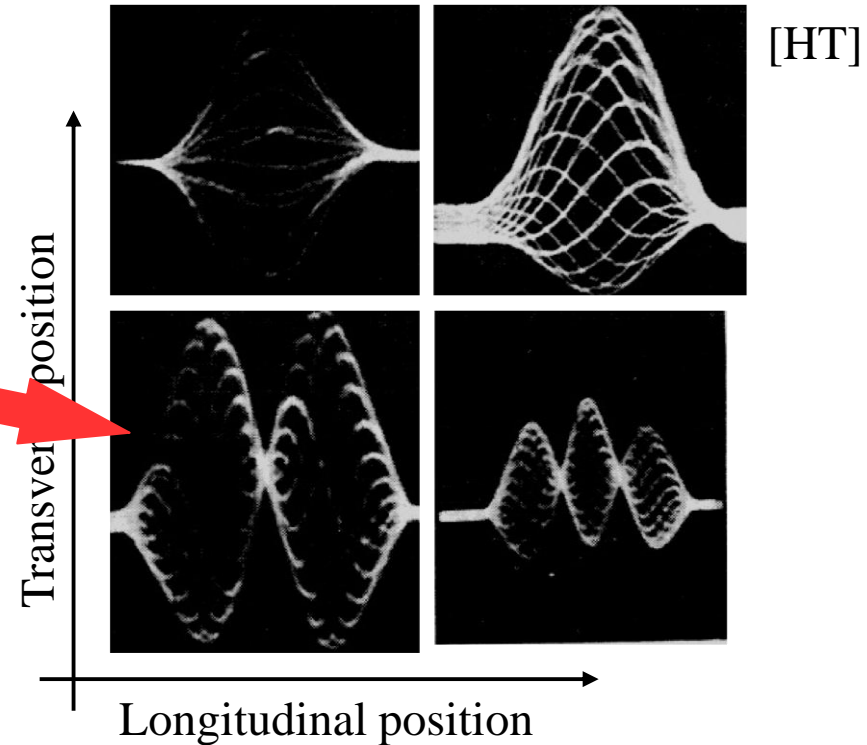


# Real life instability vs beam instabilities



- At high speed, the motorbike holds a lot of energy flowing in a given direction
- If a mechanism allows for even a small transfer of this energy to a mode of oscillation of the bike, the pilot has no chance to contain the oscillation

→ **instability**



- Particle beams also holds a lot of energy flowing in a given direction
- Several mechanism can transfer the longitudinal energy into modes of oscillation
- Beams have much more modes of oscillation than bikes...

# Wake fields on Lake Neuchatel

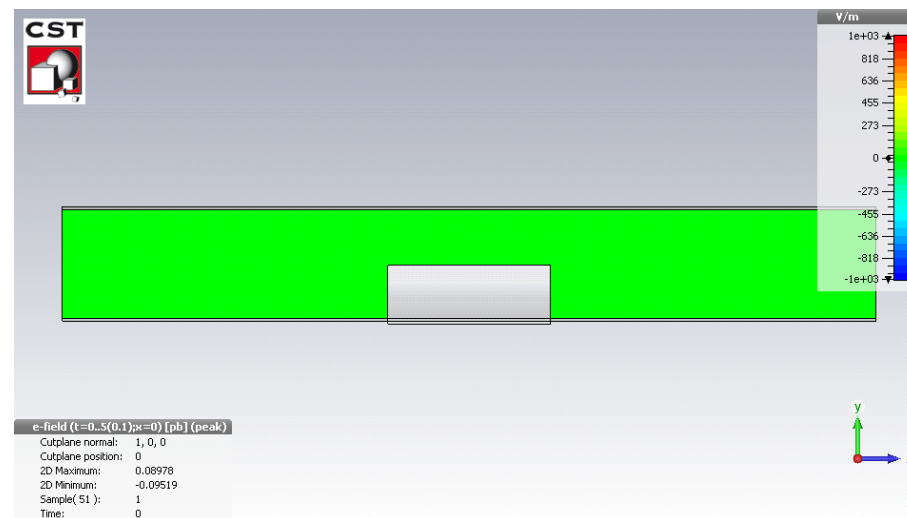
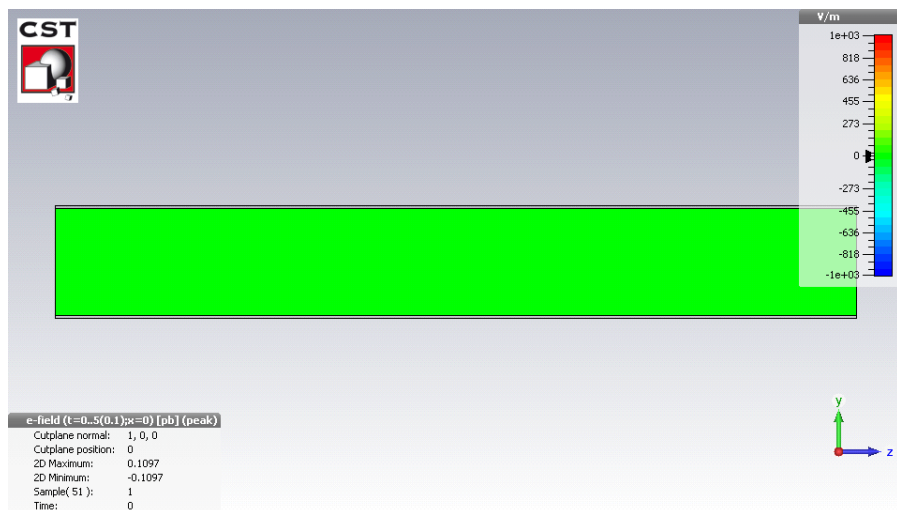
Witness



Source

# Wake fields in particle beams

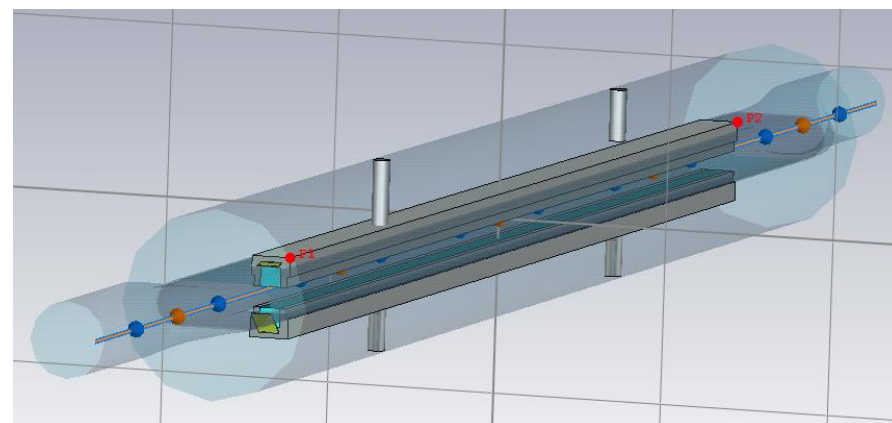
[Wake]



- Just like a boat travelling on a lake, a charge particle travelling through space generates a wake, in the form of an electromagnetic field rather than a wave on water

→ For particles travelling close to the speed of light, the wake affects only particles behind the source

- Structures in/around the beam pipe can generate complex behaviour (electromagnetic waves, trapped modes, ...)



LHC collimator model

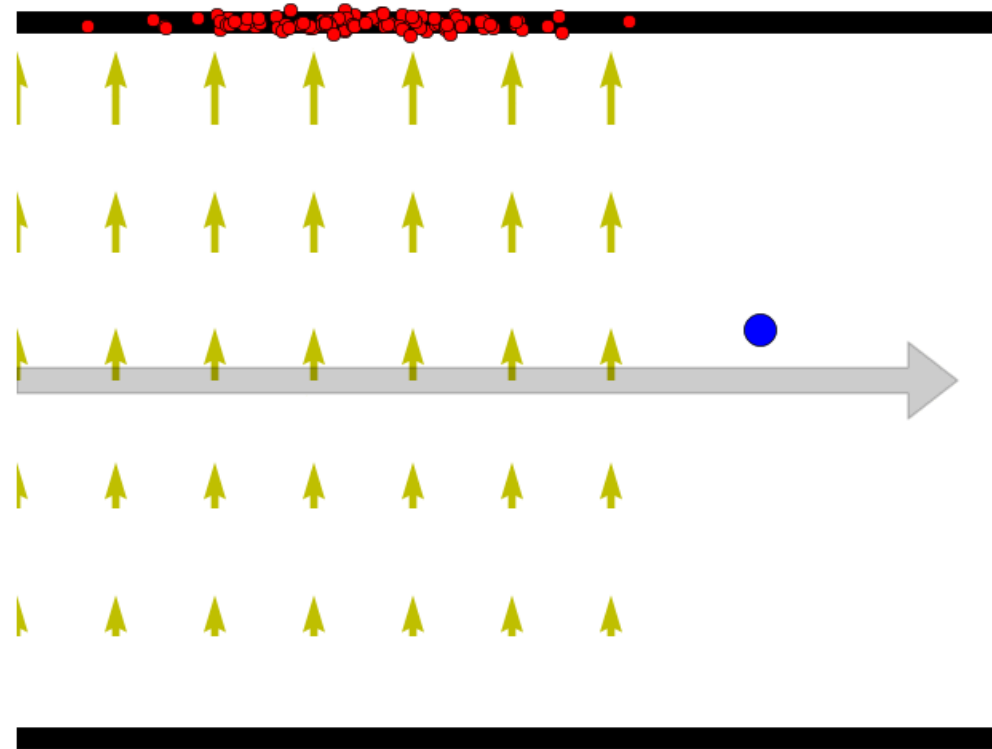
# Wake fields: A naive but effective approach



- **Image charges** are attracted by the **beam** at the surface of surrounding elements
  - These charges interact with the material, which depends on its property (resistivity, capacity, shape, smoothness, ... )

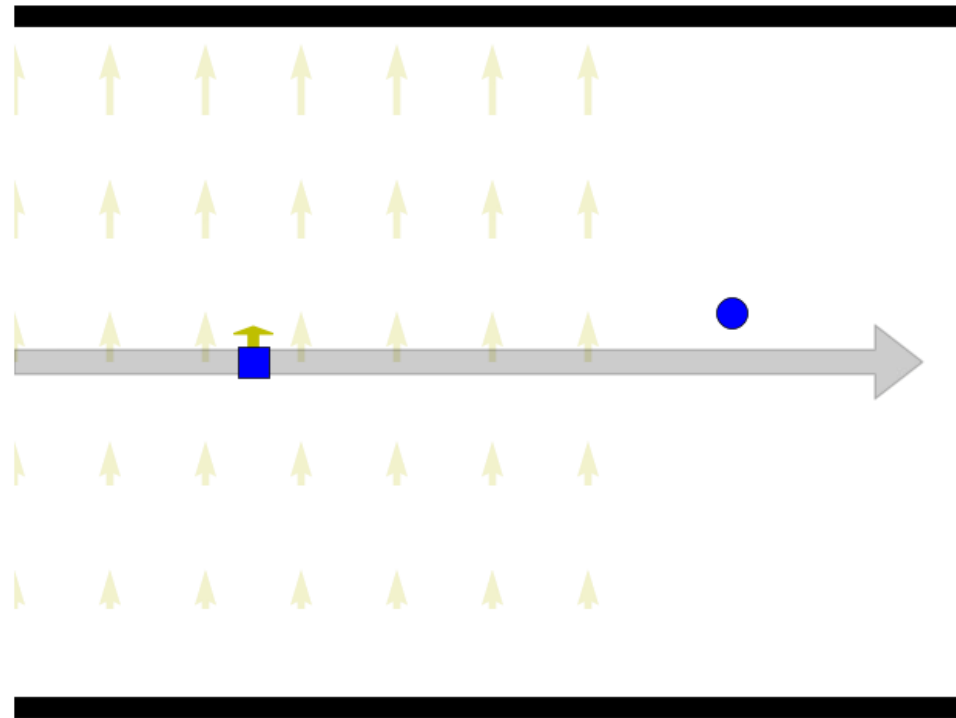


# Wake fields: A naïve but effective approach



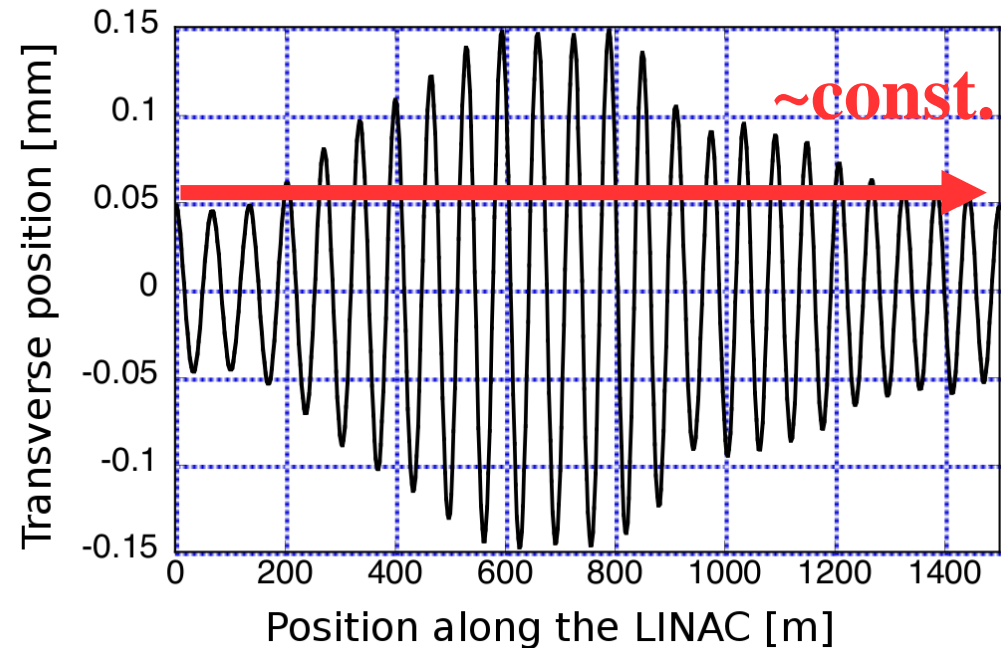
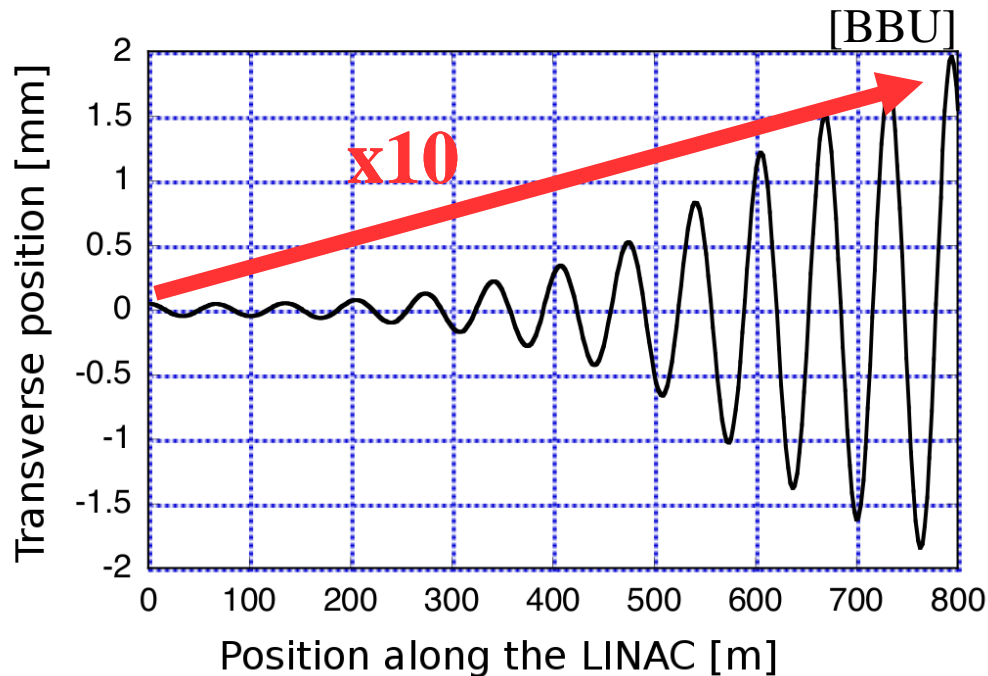
- If the beam oscillates, the density of the **image charges** vary on both sides with its oscillation
  - The **fields** generated behind the **source particle** also varies, with the natural frequency of the **source particle**!

# Beam breakup



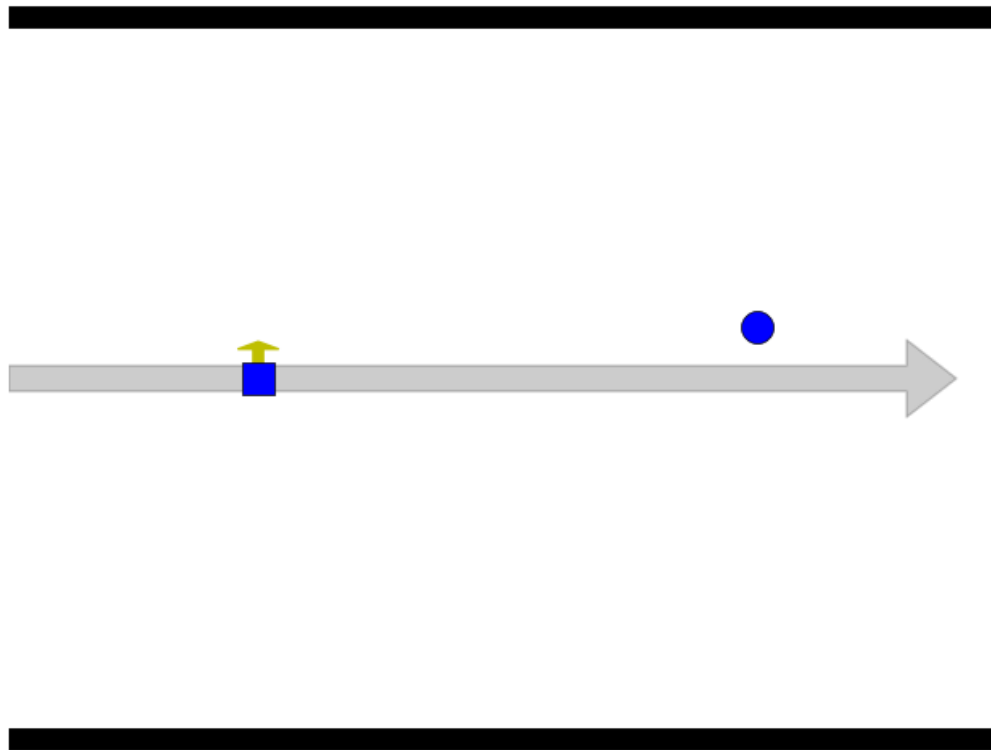
- In a LINAC (or a machine featuring slow synchrotron motion), the particles do not oscillate longitudinally
  - A trailing particle feels the oscillating field
  - Since its natural frequency is the same as the one of the source particle, it is driven to higher and higher amplitude

# Beam breakup



- An initial perturbation is amplified through the LINAC
- Mitigations involve:
  - Minimising wake fields (i.e. electromagnetic design of the elements in the beam line)
  - Minimising misalignments
  - Minimising initial perturbations (injection steering)
  - Introducing a spread in frequency with non-linear fields (BNS damping)

# The head-tail instability

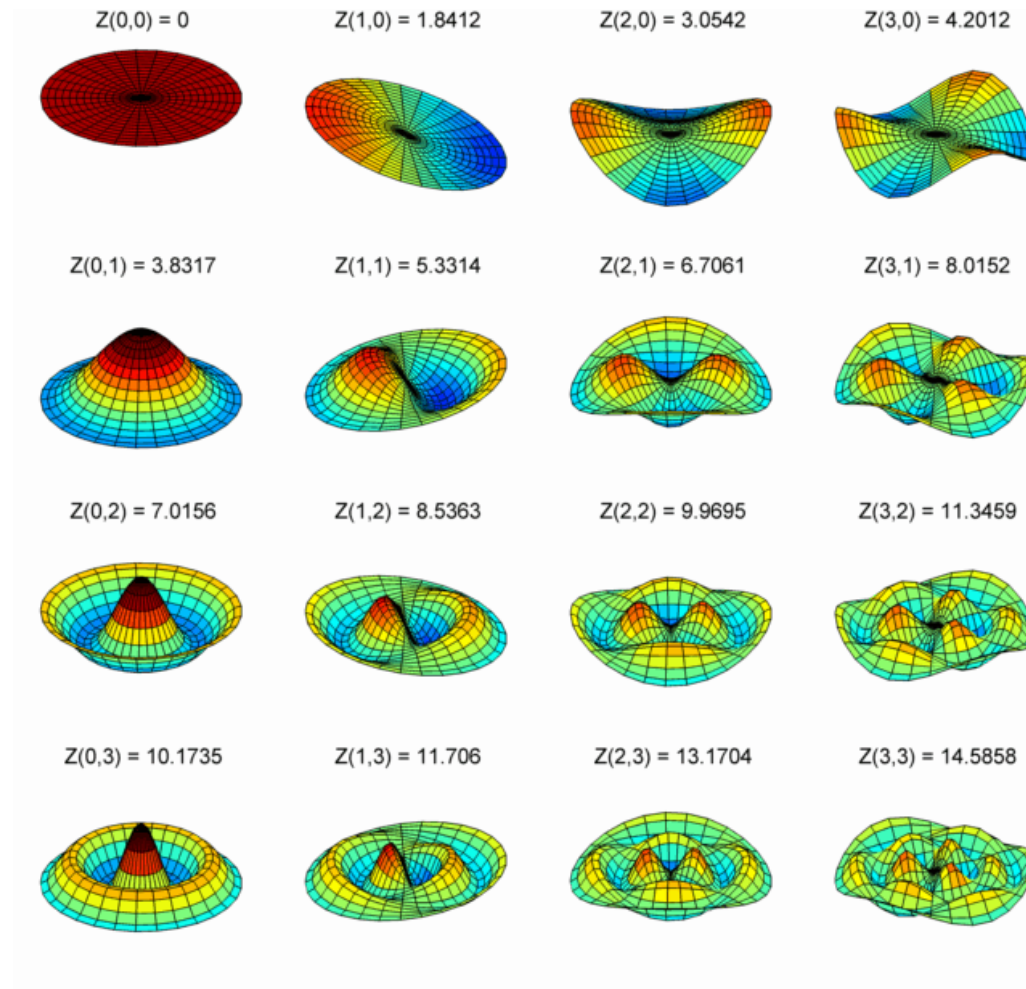


- Considering longitudinal oscillation:
  - When the square particle is trailing, it is driven by the round particle's wake field, and vice-versa when it overtakes the round particle
  - The amplitude of the two particles is self-amplified → Collective instability



# The head-tail instability

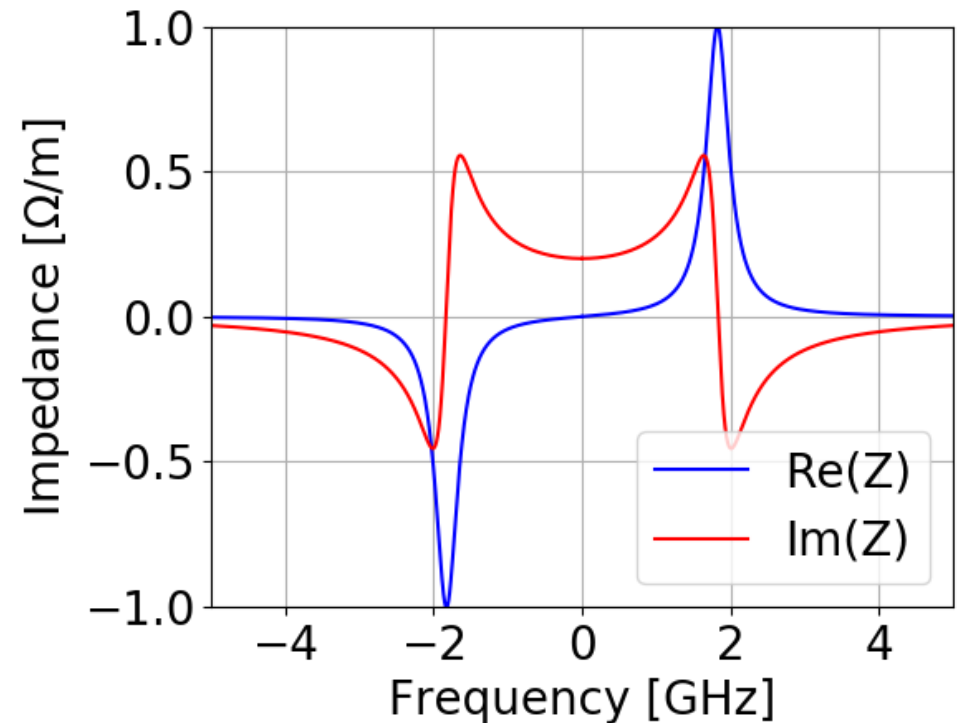
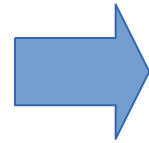
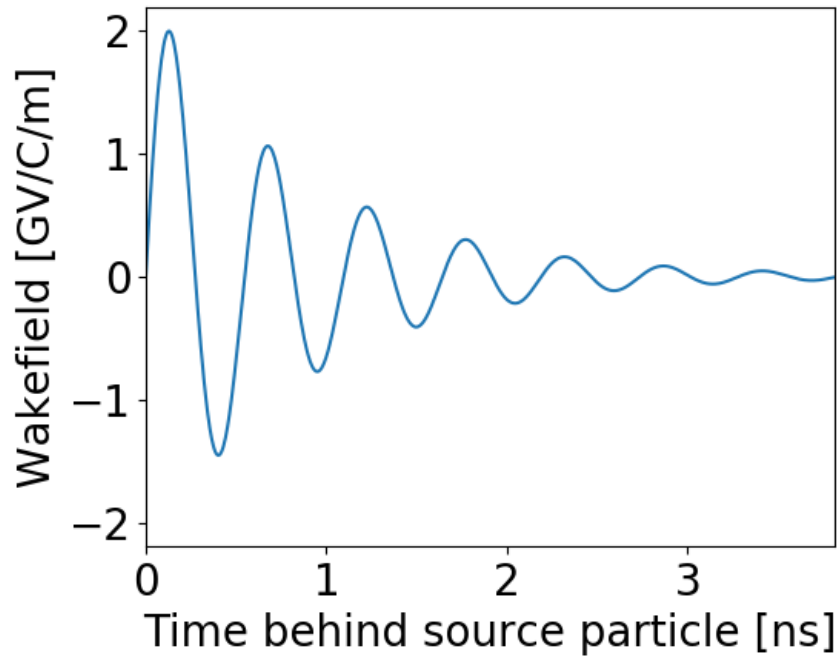
- In practice we have more than 2 particles: we need to consider distributions of particles and their modes of oscillations
  - Most theories start with Vlasov / Fokker-Plank equations and a decomposition of the motion in radial and azimuthal mode in phase space



# Wakefield, impedance and effective impedance

- The wake fields are often described in term of their Fourier transform  
→ The beam coupling impedance

Example: Resonator



# Wakefield, impedance and effective impedance

- The impedance is a particularly interesting quantity, as its impact on a given mode of oscillation be estimated based on its product with the mode spectrum  $\rightarrow$  Sacherer tune shift [Sacherer]

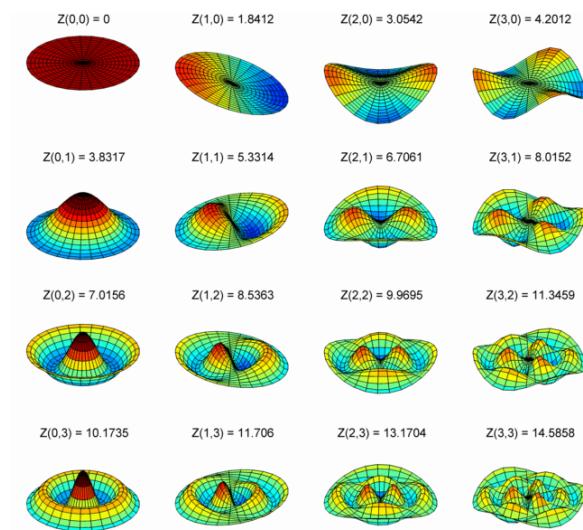
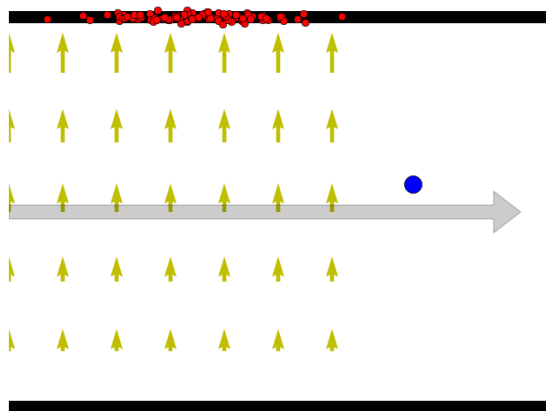
Frequency and growth rate of the instability

Chromaticity plays an important role for head-tail instabilities

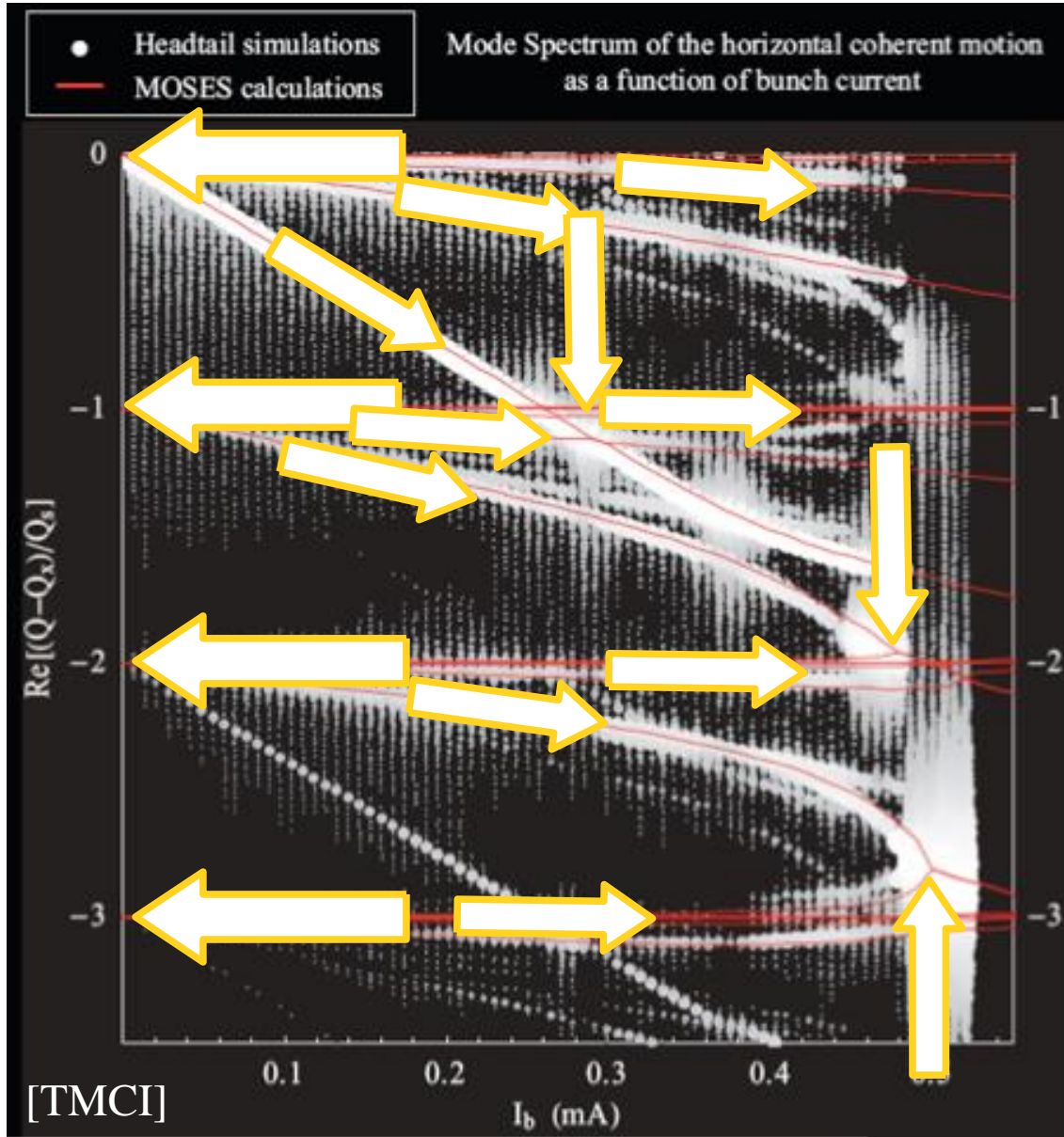
$$\Delta Q \propto \sum_{k=-\infty}^{\infty} Z(\omega_k) H(\omega_k - \omega_{\xi}) \propto Z_{\text{eff}}$$

Impedance

Oscillation spectrum



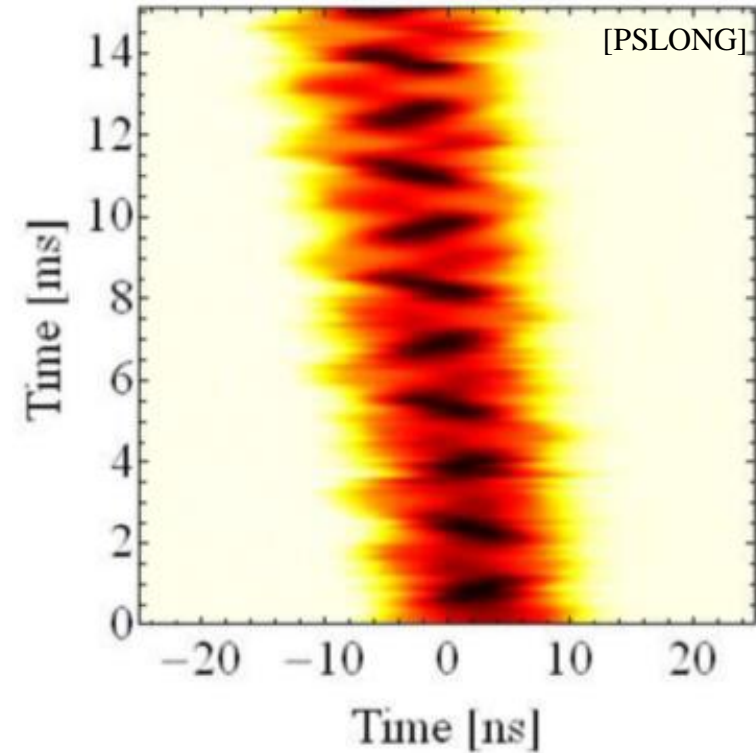
# The strong head-tail instability or Transverse Mode Coupling Instability (TMCI)



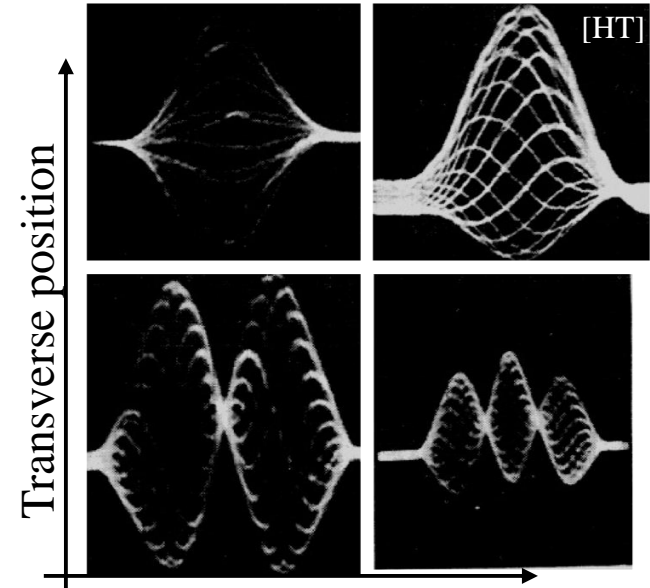
- In the high intensity regime, the effect is no longer linear with the intensity / impedance
  - The various modes are strongly perturbed
  - Mode coupling generates strong instabilities
- Self-consistent models or macro-particle simulations are needed to describe such configurations



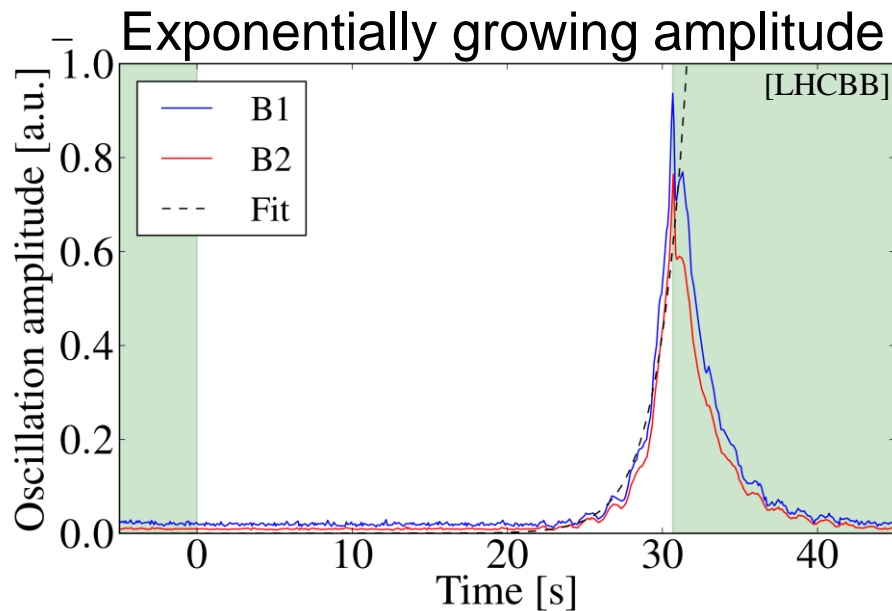
# Experimental characteristics



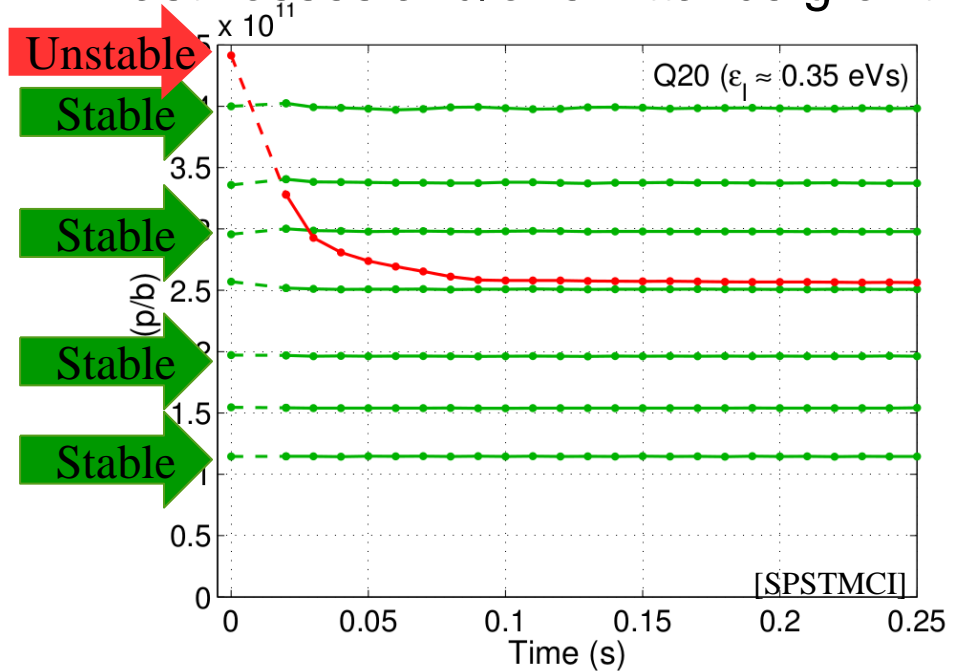
Oscillation of the density or transverse position along the bunch / beam



Longitudinal position

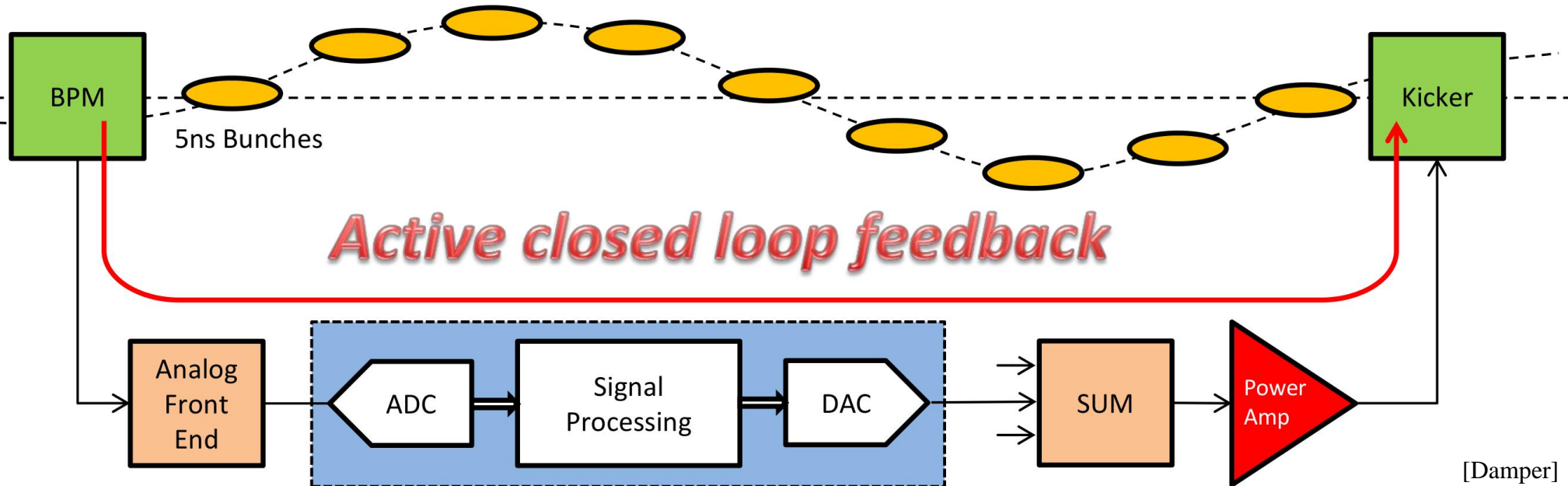


- 'Fast' losses and/or emittance growth



# Active feedbacks (dampers)

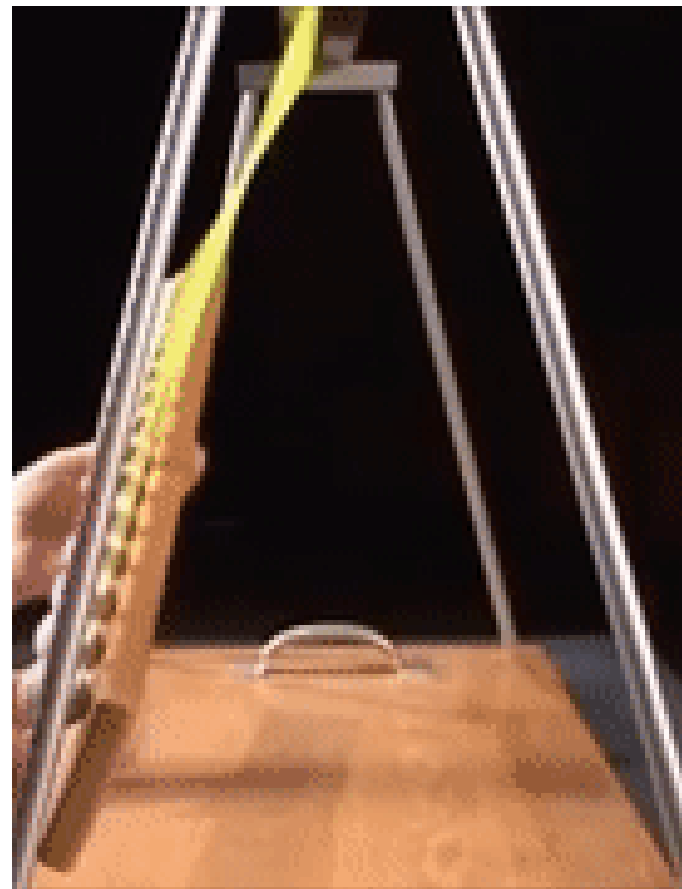
- There exists a variety of instabilities generated by wake fields. In addition, other collective interactions generate even more instability mechanisms... → **Stabilisation is always needed!**



- By measuring the beam position and acting back on the beam (within the next few turns), several instabilities can be suppressed
  - Most coupled bunch instabilities are cured with an active feedback
  - Instabilities with intrabunch motion are technologically more challenging (high bandwidth)
- Landau damping is still needed in most machines

# Decoherence and Landau damping

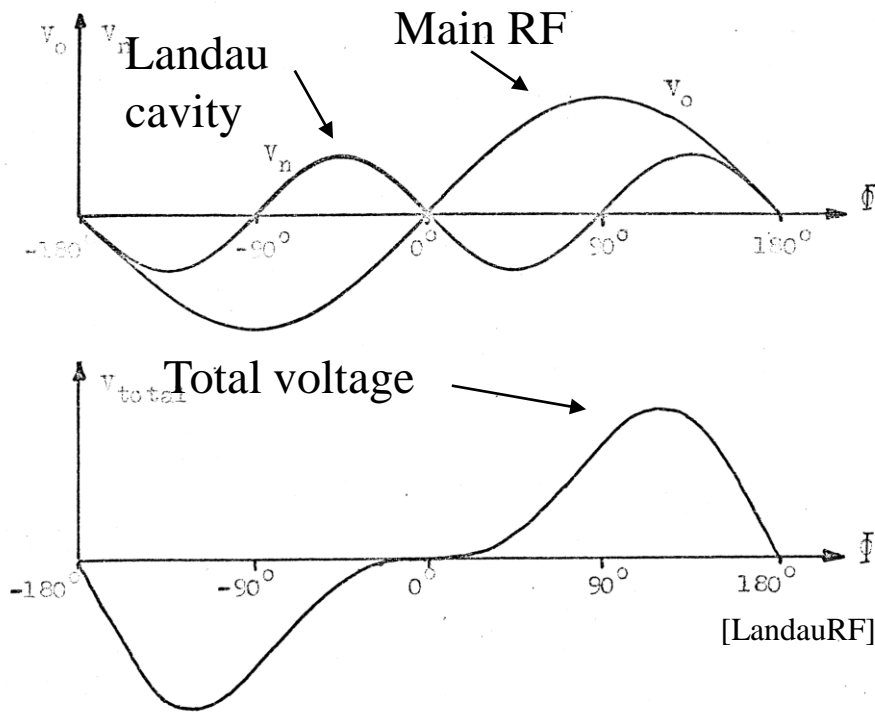
- In the presence of a tune spread, the particles tend to desynchronize in time
  - Organised motion slowly get disorganised as times goes
    - **decoherence**
- By dis-organizing the particles, the tune spread prevents collective instabilities
  - **Landau damping**
  - Initially discovered in plasmas, Landau damping is essential to most particle accelerators



# Landau cavities and octupoles

- In order to increase the longitudinal tune spread, RF cavities of higher frequencies can be used to enhance the non-linear behaviour → Landau cavities

- In order to increase the transverse tune spread, non-linear magnets can be used → Landau octupoles



[Octupole]

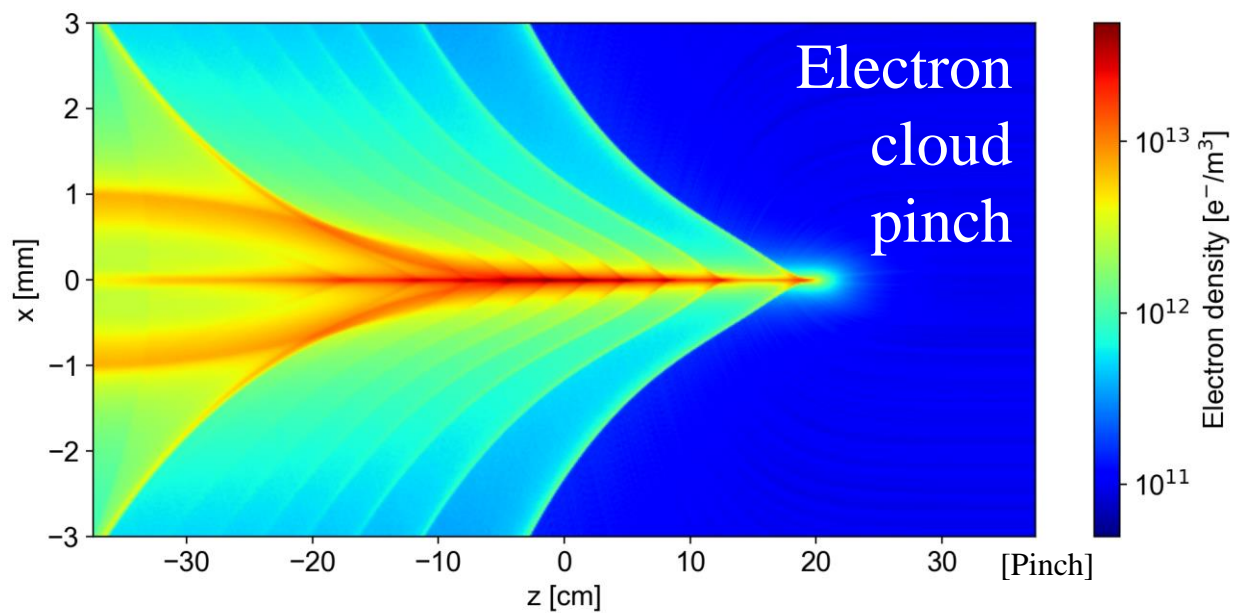
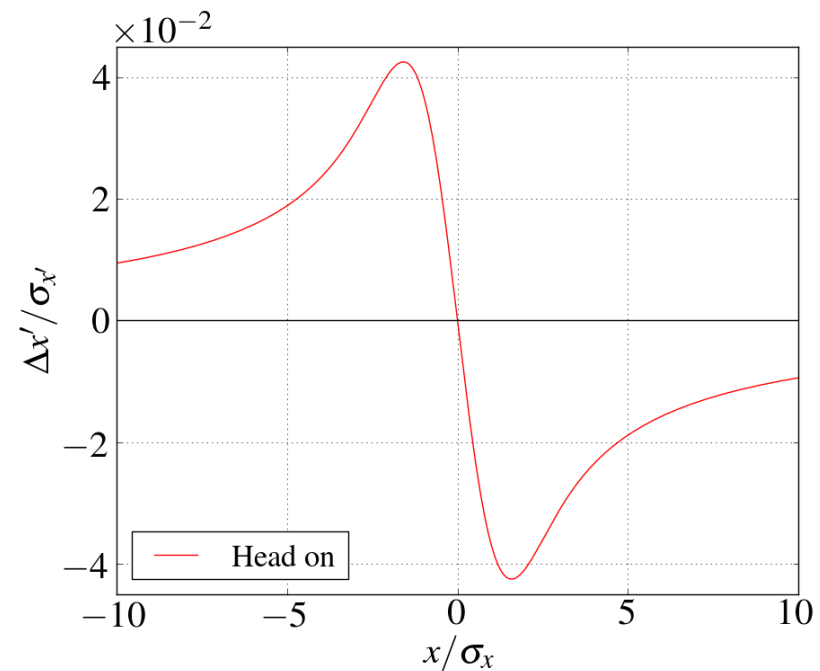
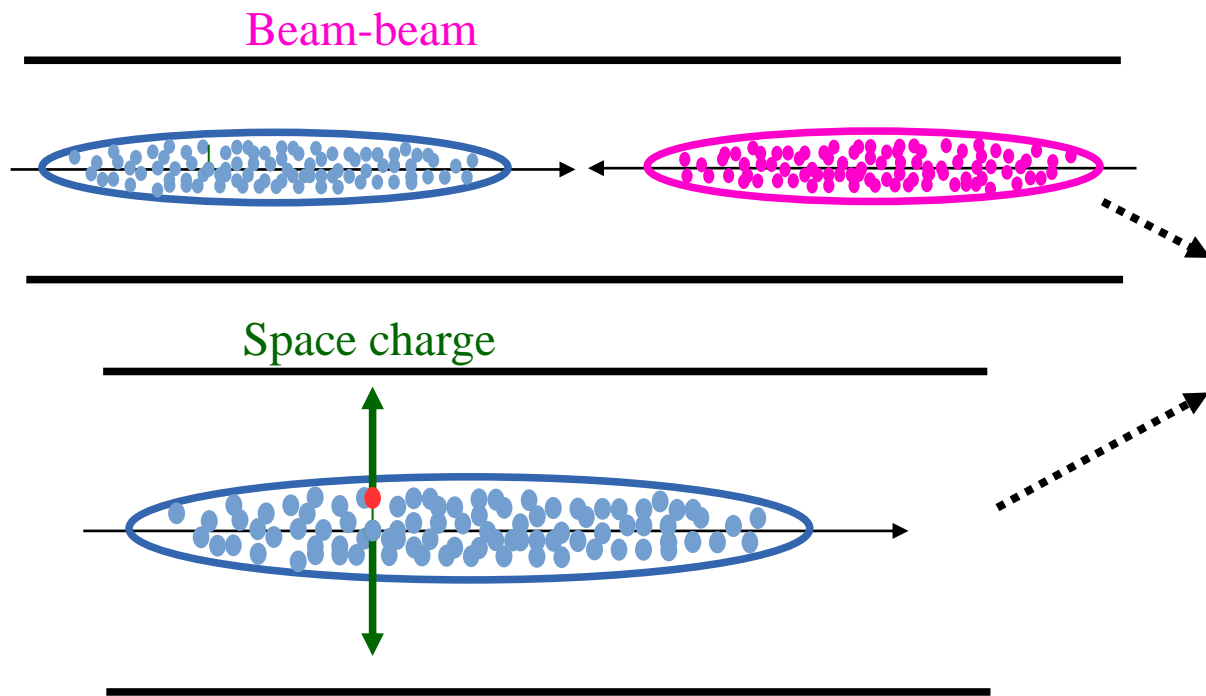
- Some collective forces generate a significant tune spread (space-charge, beam-beam) that generates Landau damping → They can be beneficial !



# Content

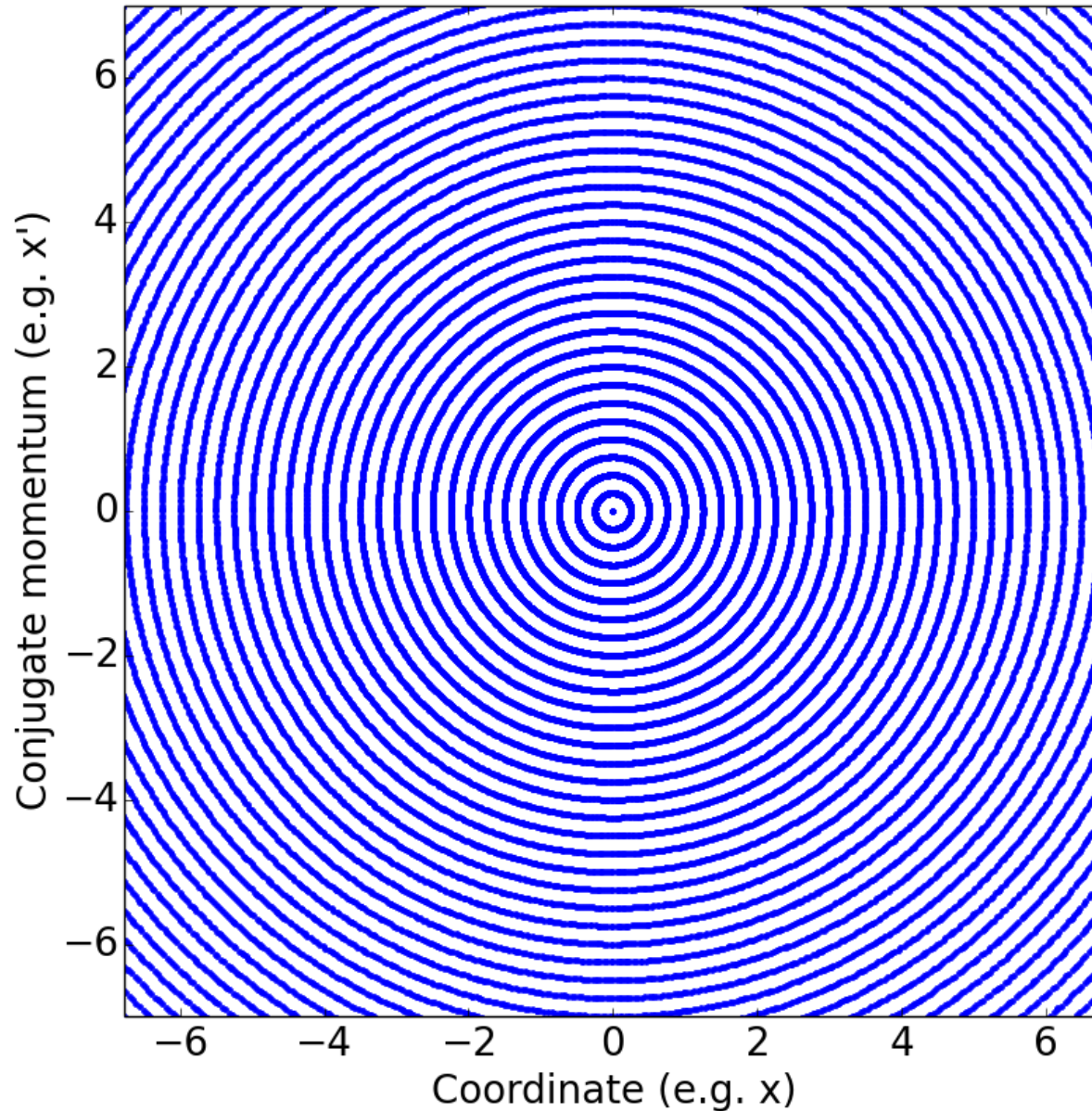
- Collective instabilities
  - Study case: Electromagnetic wake fields and the head-tail instability
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  - Poincaré section, resonances and chaos
  - Beam-beam and space-charge effects
- Scattering effects
- Summary

# Non-linear collective force

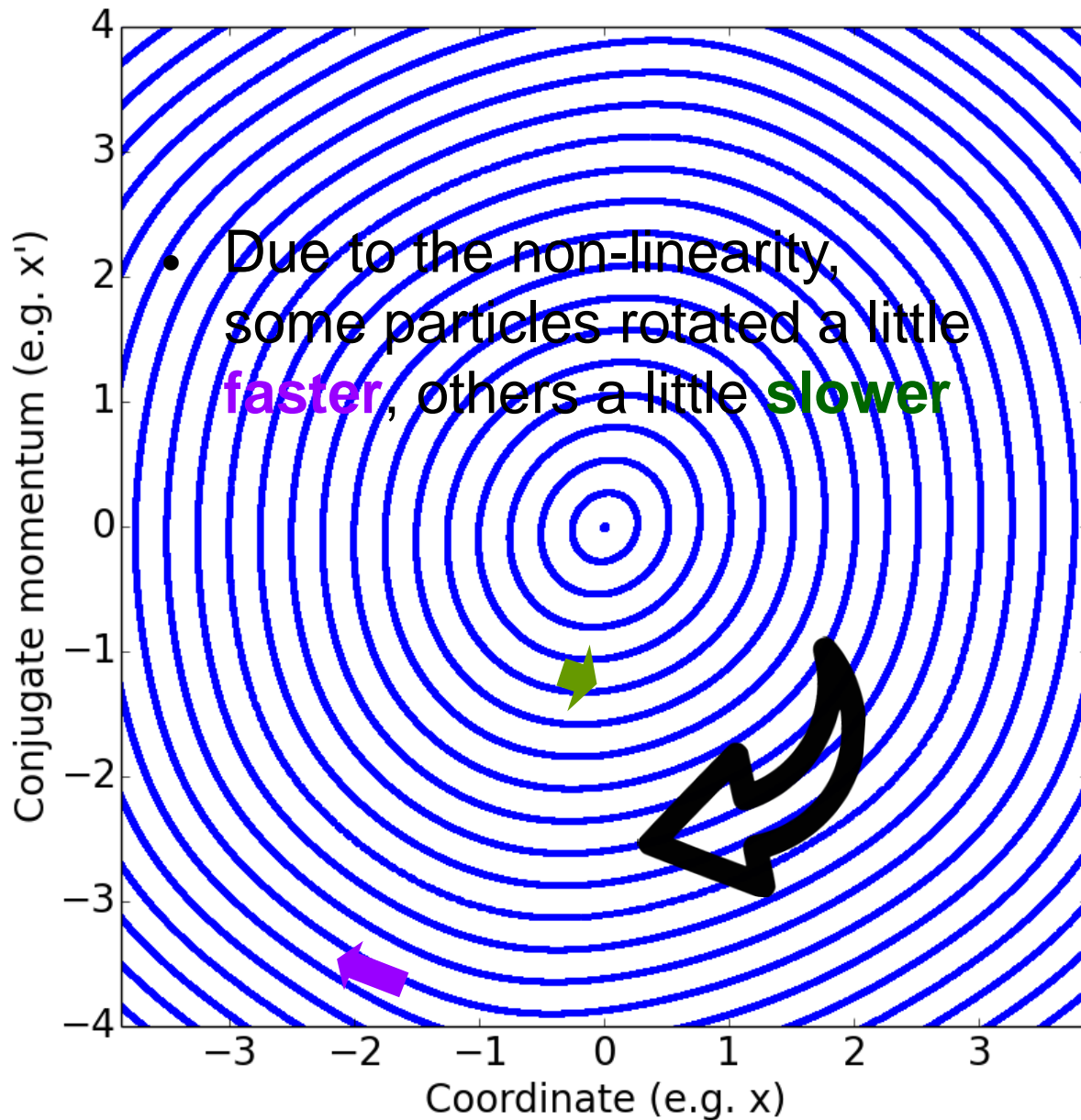


# Poincaré section (phase space)

Regular trajectories  $\rightarrow$  Long beam lifetime



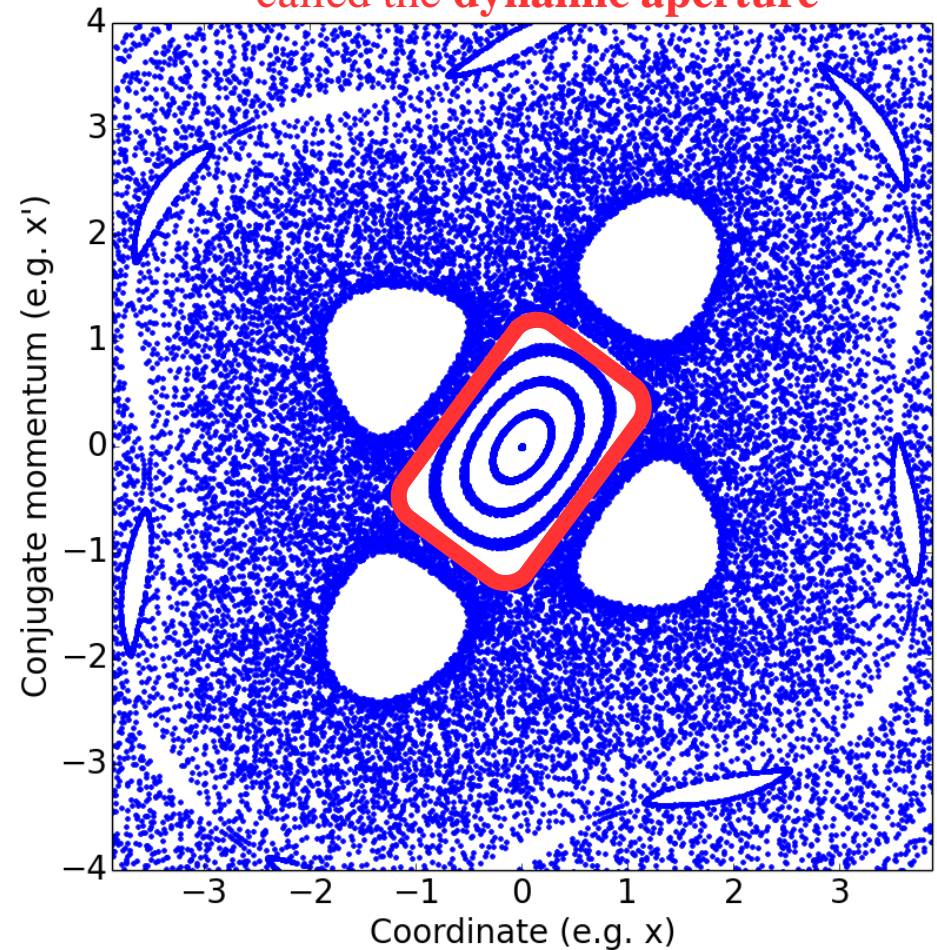
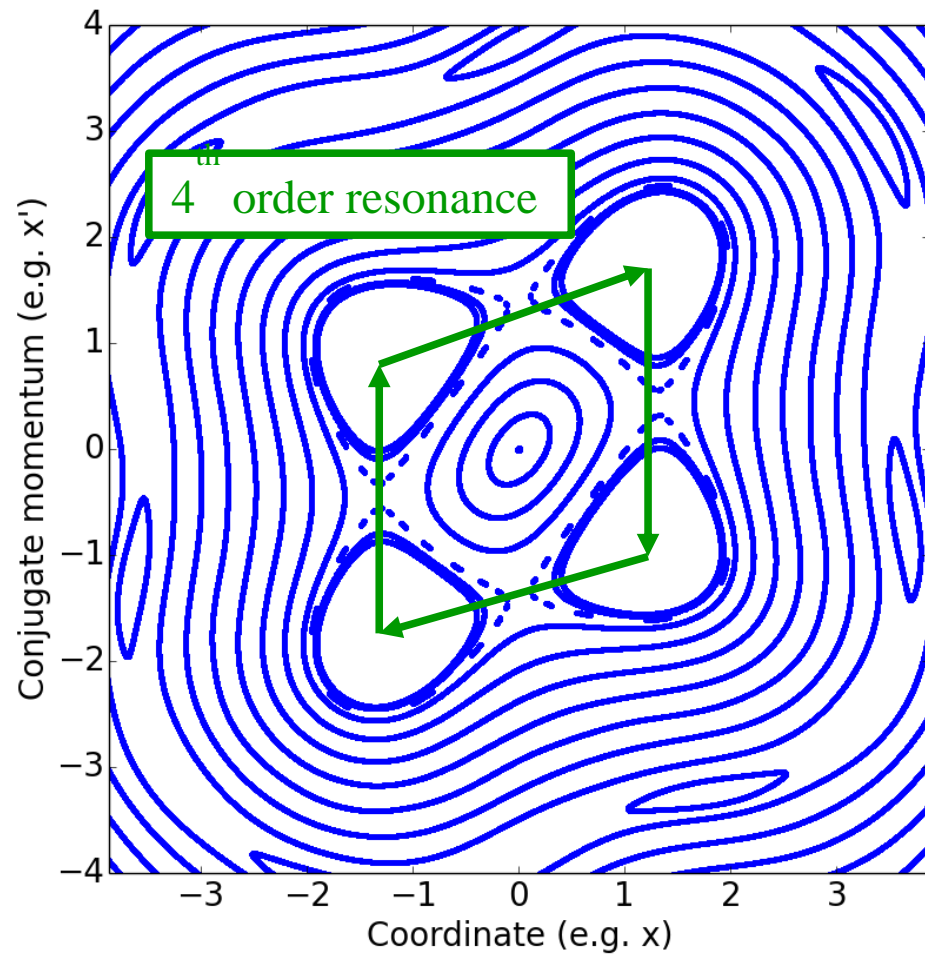
# 'Weakly' non-linear motion



- The non-linearity generates a 'tune spread', i.e. particles oscillate at different frequencies  
→ Landau damping!
- Nevertheless the trajectories can remain regular and the beam lifetime preserved

# Resonances and chaos

The limit of regular motion is called the **dynamic aperture**

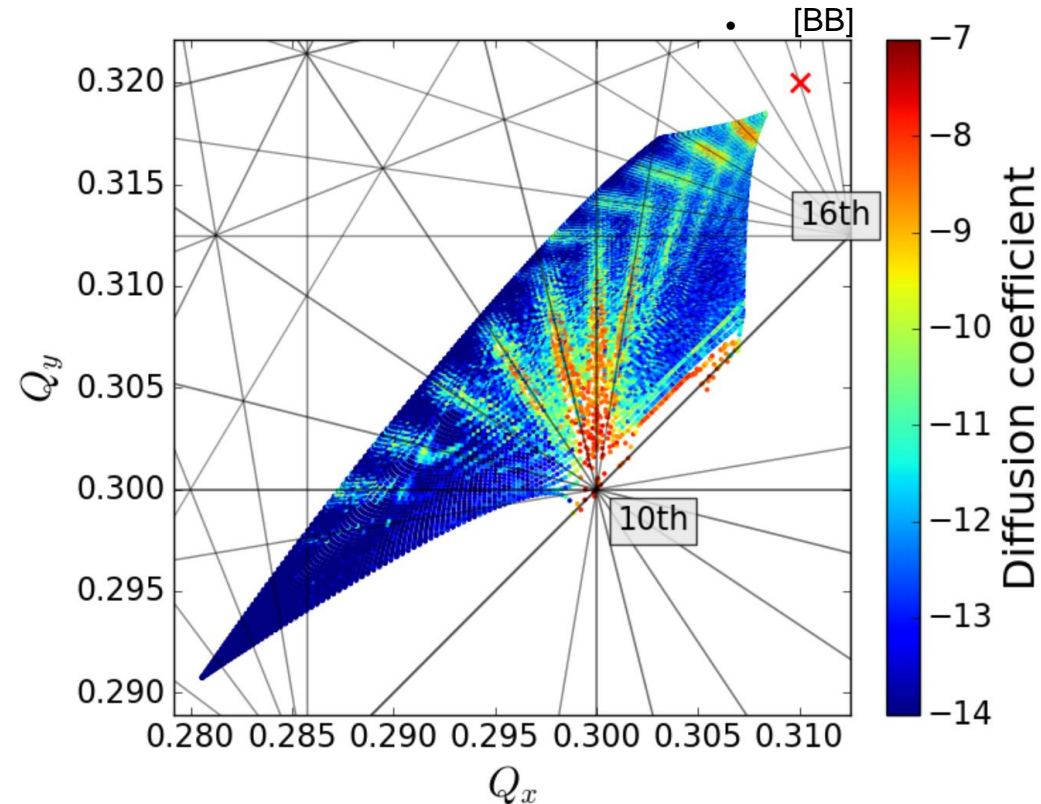


- Resonances distort the particles' trajectories
  - Under some conditions, the trajectories become chaotic
- Emittance growth and beam losses



# Tune footprint

- High luminosity usually comes with strong beam-beam effects
  - The non-linearity of the force generates a tune spread that can be visualised in a 'tune diagram' and compared to resonance conditions
  - It is not possible to avoid all resonance, but some are worse than others



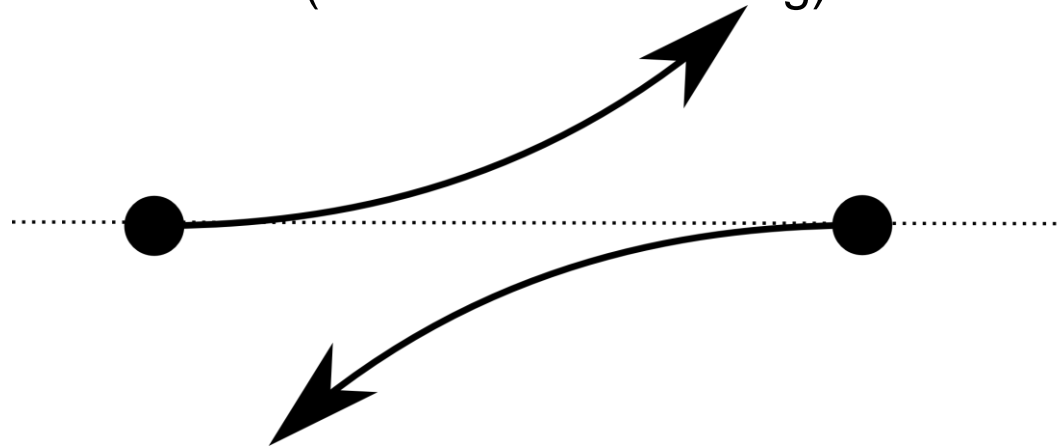
- In a comparable manner, space-charge forces limit the brightness of the beam in low energy machines (i.e. low relativistic gamma)
- A careful design allows for a minimisation of these effects is key to achieve high performance (working point, injection energy, separation scheme, ...)
  - In addition, several compensation schemes exist for either space-charge or beam-beam effects, usually acting on the tune spread and/or the resonances with special devices (multipole magnet, electron lens, wires)

# Content

- Collective instabilities
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- **Scattering effects**
- Summary

# Scattering

- 'Far' interactions between particles in a beam are well modeled by the space-charge fields, however close interactions (scattering events) lead to a redistribution of the momentum in 3D
  - Multiple random small angle deflections: Emittance growth! (**Intrabeam scattering**)
  - One single deflection may send one of the particles out of the machine acceptance: Beam losses! (**Touschek scattering**)

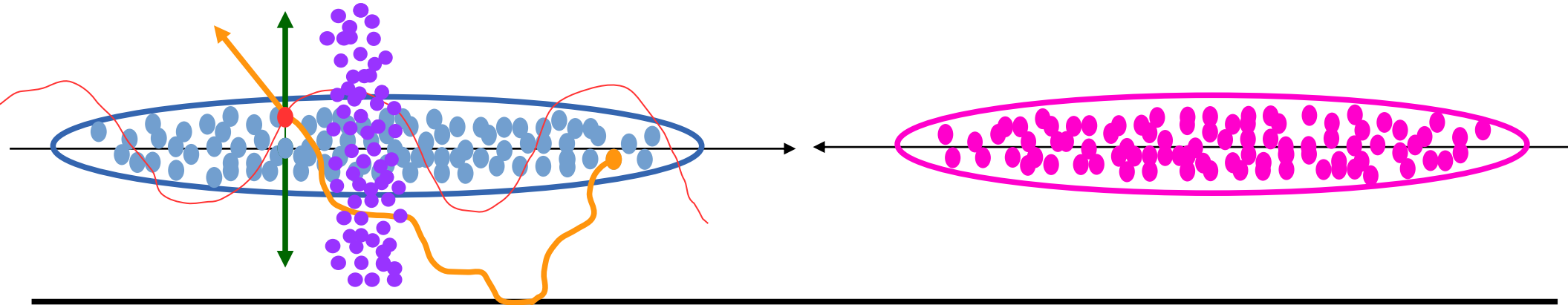


- The amount of these events depends on the beam property (scattering is more likely in denser beam, i.e. at lower relativistic gamma)
- The redistribution, thus the IBS growth rates in the different planes, depends on the machine optics (dispersion, transverse coupling)
- Other types of scattering can lead to emittance growth or losses (e.g. small angle deflections in beam-beam interactions or on rest gas particles)

# Summary : Collective interactions

Direct electromagnetic interaction of the particles in the beams : Space-charge effects, intrabeam scattering / Touschek scattering

Electromagnetic interaction with other species : Electron clouds, ions



Electromagnetic interaction of the particles in the beams through an interaction with surrounding elements (Vacuum chamber, beam screen, RF cavities, collimators, beam instrumentation, ...) : Wake fields / Impedance

Electromagnetic interaction with another beam : Beam-beam effects, electron cooling, electron lens

# Summary: Impact on the beam

- Collective force can deteriorate the beam quality in several ways
  - Beam instabilities
  - Non-linear effects
  - Scattering effect
- The performance of a machine is usually limited by collective effects, their understanding and mitigation is the key to maximise the potential of a given machine
  - Optics (tune, chromaticity, transition energy, ... )
  - Active feedbacks
  - Passive mitigations (Landau cavities, Landau octupoles, resonance and/or tune spread compensators)
  - Electromagnetic design of every element
  - Surfaces exposed to the beam



# Not treated today

- Dissipation of the collective force (Beam loading, RF/electron cloud heating)
- Wake field acceleration → see E. Gschwendtner tomorrow morning
- Beam-beam disruption → see S. Stapnes tomorrow afternoon
- Free electron laser
- Coherent synchrotron radiation

# Good lecture notes on this topic

- Proceedings of the CAS-CERN Accelerator School on Intensity Limitations in Particle Beam <https://e-publishing.cern.ch/index.php/CYRSP/issue/view/37>
- A. Chao, **Physics of Collective Beam Instabilities in High Energy Accelerators** (Wiley, 1993) and **Lecture Notes on Special Topics in Accelerator Physics** (SLAC-PUB-9574) <https://www.slac.stanford.edu/~achao/>
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