

### CAS – Introduction to Accelerator Physics

# **Collective effects**

**Part III: Wake fields and impedances – instabilities** 

with contributions from F. Asvesta, H. Bartosik, E. De La Fuente Garcia, G. Kotzian, G. Rumolo, M. Schenk, L. Sito, C. Zannini and many more







In the previous lecture we discussed **space charge effects** and showed how these can limit the machine performance in that they can lead **to incoherent and coherent tune shifts**. We then moved on the a more general treatment of electromagnetic fields in simple structures where we were able to identify **yet another type of induced fields** originating from the **electromagnetic properties** of the surrounding material – **the wall wake**.

We then saw some animations of more general examples of induced fields in complex structures.

We now want to see how to treat such structures more formally to help us model these types of collective effects better. For this, we introduce the **concept of wake fields and impedances** and will talk about their impact on the machine and on the beam.

- Part 3: Wake fields and impedances impacts
  - Concept of wake fields
  - Longitudinal and transverse wake fields and impedances
  - $\circ$  Impact of wake fields and impedance on the accelerator environment
  - $\circ$  Description of a coherent beam instability and the instability loop







By now we should be able to understand that solving the full electrodynamics in complex structures become a huge simulation effort and virtually impossible for large accelerators.

For this reason, one reverts to the concept of the **wake function as the electromagnetic impulse response** of any structure. One obtains **wake fields and impedances** which can be used to formally study the **electromagnetic interaction of structures with a passing beam**.

### • Part 3: Wake fields and impedances – impacts

#### $\circ\,$ Concept of wake fields

- Longitudinal and transverse wake fields and impedances
- Impact of wake fields and impedance on the accelerator environment
- Description of a coherent beam instability and the instability loop

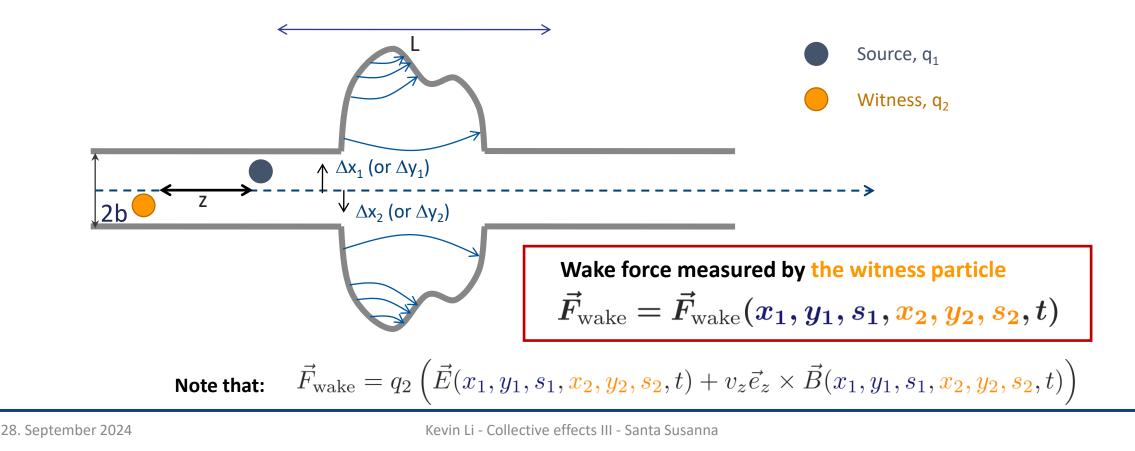


### Wake function – general definition



How can we treat these phenomena effectively in our models?

- We will use a little trick: we consider **two point particles** one source, one probe
- The forces will be a function of the locations of both the source and the probe particles:



### The rigid beam approximation We will use The impulse approximation • The forces Source, q<sub>1</sub> Witness, q<sub>2</sub> $\Delta x_1$ (or $\Delta y_1$ ) 7 $\downarrow \Delta x_2 \text{ (or } \Delta y_2)$ 2b Wake force measured by the witness particle $ec{F}_{ ext{wake}} = ec{F}_{ ext{wake}}(x_1, y_1, s_1, x_2, y_2, s_2, t)$ $\vec{F}_{\text{wake}} = q_2 \left( \vec{E}(x_1, y_1, s_1, x_2, y_2, s_2, t) + v_z \vec{e}_z \times \vec{B}(x_1, y_1, s_1, x_2, y_2, s_2, t) \right)$ Note that: 28. September 2024 Kevin Li - Collective effects III - Santa Susanna

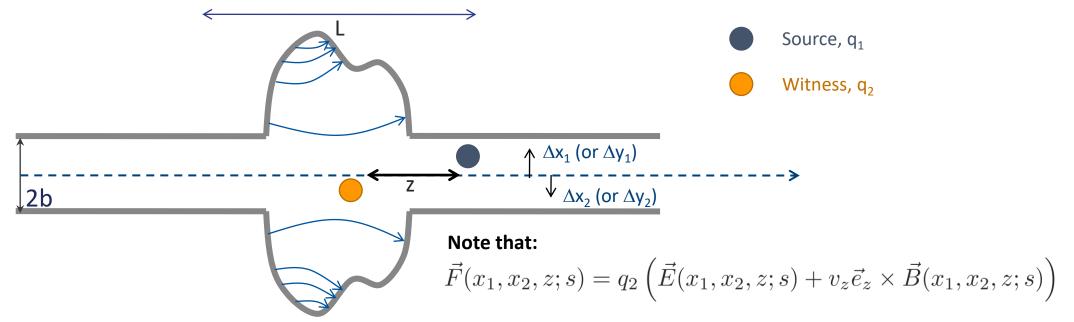
How can we treat these phenomena effectively in our models?

### Wake function – general definition



# Wake function – general definition





We define the wake function as the integrated force on the witness particle (associated to a change in energy):

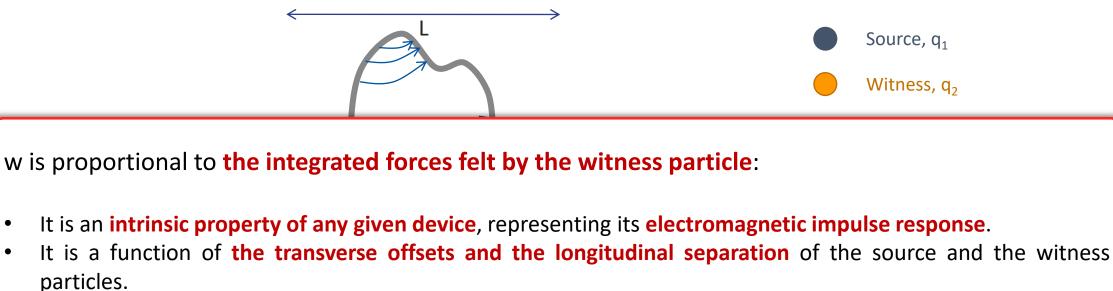
• Let's focus on the horizontal plane. In general, for two point-like particles, we have

$$\Delta E_2 = \int F(x_1, x_2, z; s) \, ds = -q_1 q_2 \, \boldsymbol{w}(x_1, x_2, z) \qquad z \equiv s_2 - s_1, \quad s \equiv s_1$$



# Wake function – general definition





We define the wake function as the integrated force on the witness particle (associated to a change in energy):

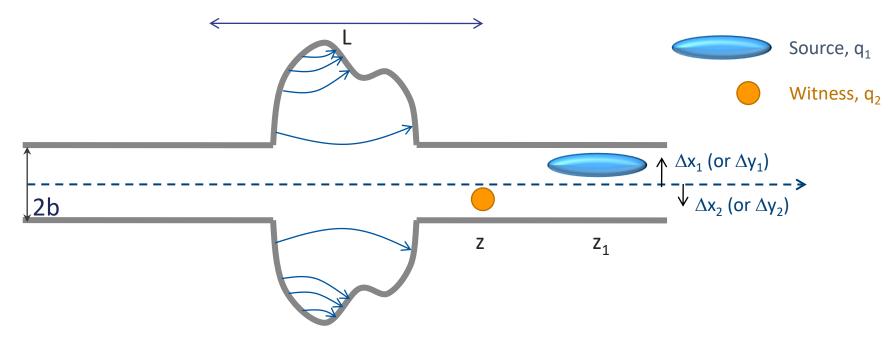
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## Wake potential for a distribution of particles





We define the wake function as the integrated force on the witness particle (associated to a change in energy):

• For an extended particle distribution this becomes (superposition of all source terms)

$$\Delta E_2(z) = -\sum_i q_i q_2 \boldsymbol{w}(\boldsymbol{x_i}, \boldsymbol{x_2}, \boldsymbol{z} - \boldsymbol{z_i}) \longrightarrow \int \lambda_1(\boldsymbol{x_1}, \boldsymbol{z_1}) \boldsymbol{w}(\boldsymbol{x_1}, \boldsymbol{x_2}, \boldsymbol{z} - \boldsymbol{z_1}) d\boldsymbol{x_1} d\boldsymbol{z_1}$$
  
Forces become dependent on the particle distribution function







We have introduced the concept of **the wake function** and have seen how these can simplify our handling of induced electromagnetic fields within complex structures. The wake function is the **electromagnetic response** of a structure and is in fact an **intrinsic property** of any such structure.

In practice, we will never compute the full wake function but we will separate between **longitudinal and transverse wake fields**. We then treat these in an expansion which significantly simplifies our treatment. Complementary to the wake fields one can also move to frequency domain and use the impedance.

#### • Part 3: Wake fields and impedances – impacts

• Concept of wake fields

Longitudinal and transverse wake fields and impedances

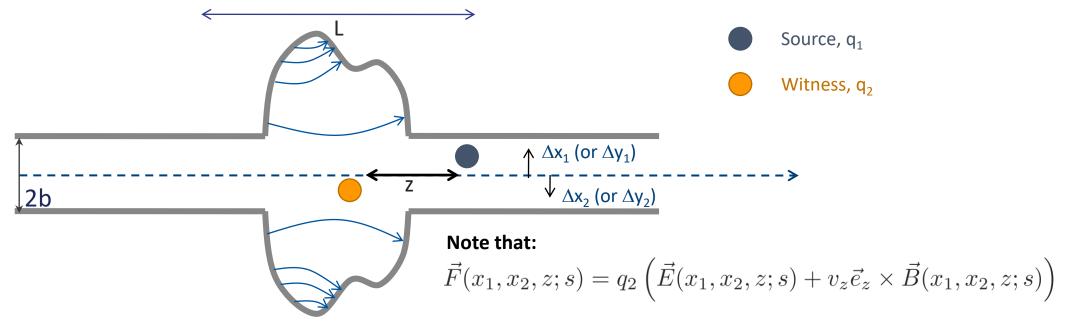
Impact of wake fields and impedance on the accelerator environment

• Description of a coherent beam instability and the instability loop



# Wake function – general definition





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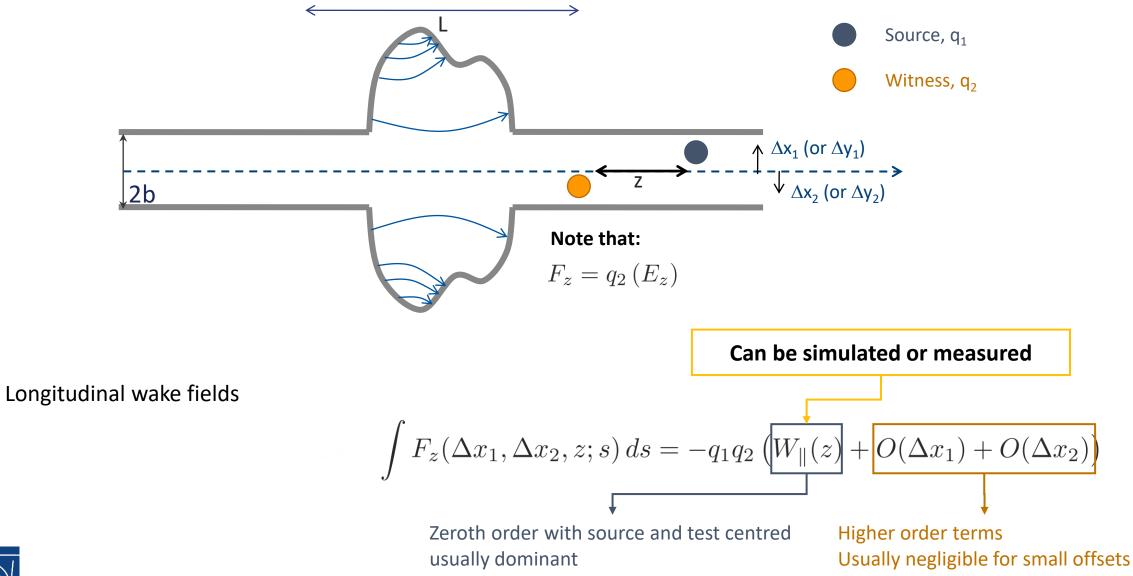
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### Longitudinal wake function



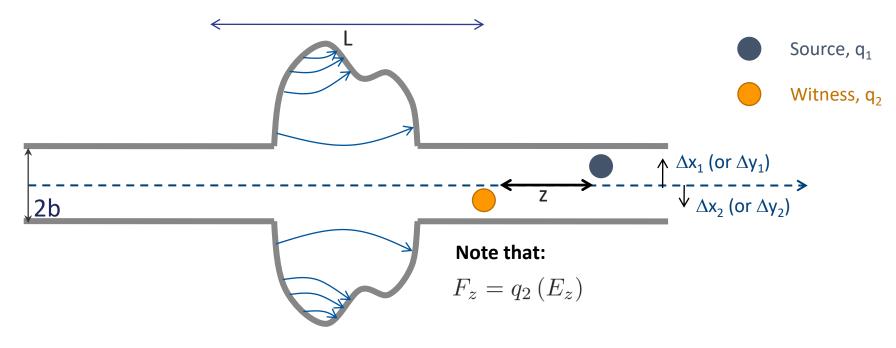




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### Longitudinal wake function





• Longitudinal wake fields

$$\begin{split} \Delta E_2 &= \int F_z(z;s) \, ds = -q_1 q_2 \, W_{\parallel}(z) \\ &\longrightarrow \frac{\Delta E_2}{E_0} = \left(\frac{\gamma^2 - 1}{\gamma}\right) \frac{\Delta p_2}{p_0} \quad \begin{array}{l} \text{Energy kick of the witness} \\ & \text{particle from longitudinal wakes} \\ \end{split}$$



### Longitudinal wake function

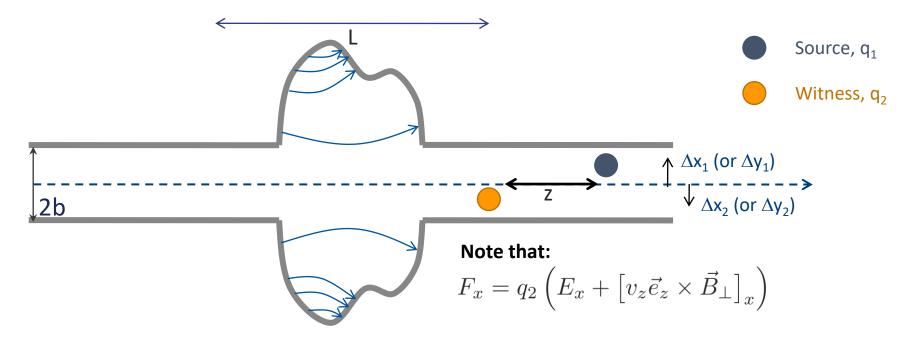


$$W_{\parallel}(z) = -\frac{\Delta E_2}{q_1 q_2} \qquad \xrightarrow{z \to 0} \qquad W_{\parallel}(0) = -\frac{\Delta E_1}{q_1^2}$$

- The value of the wake function in z=0 is related to the energy lost by the source particle in the creation of the wake
- We can also describe it as a transfer function in frequency domain
  - $\rightarrow$  This is the definition of transverse beam coupling impedance of the element under study



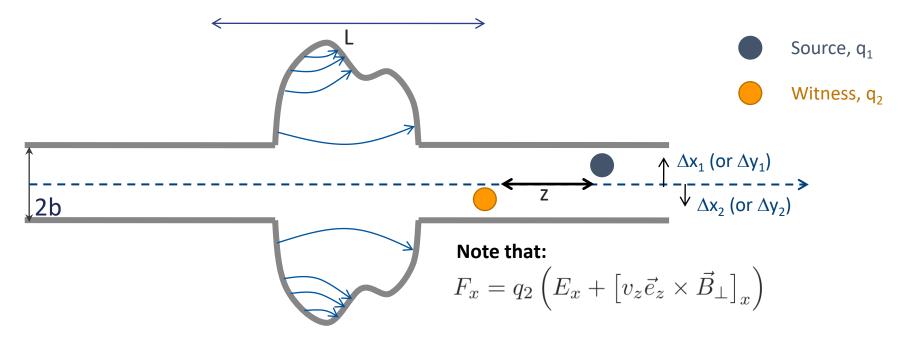




$$eta c \, \Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds$$





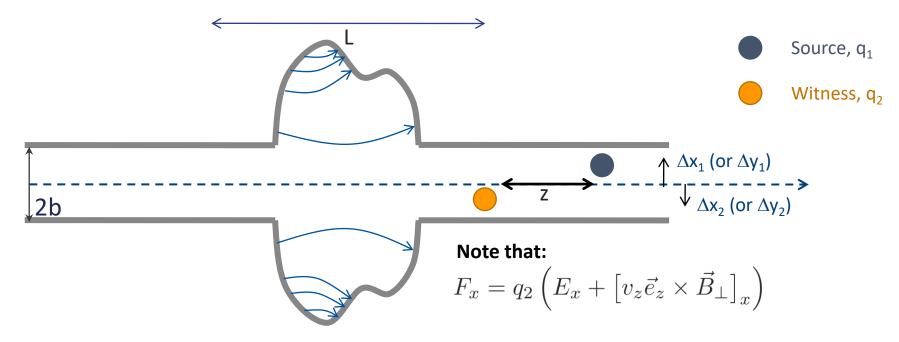


First order expansion in transverse coordinates of source and witness particles

$$\beta c \,\Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds = -q_1 q_2 \left( W_{C_x}(z) + W_{Dx}(z) \,\Delta x_1 + W_{Q_x}(z) \,\Delta x_2 \right)$$





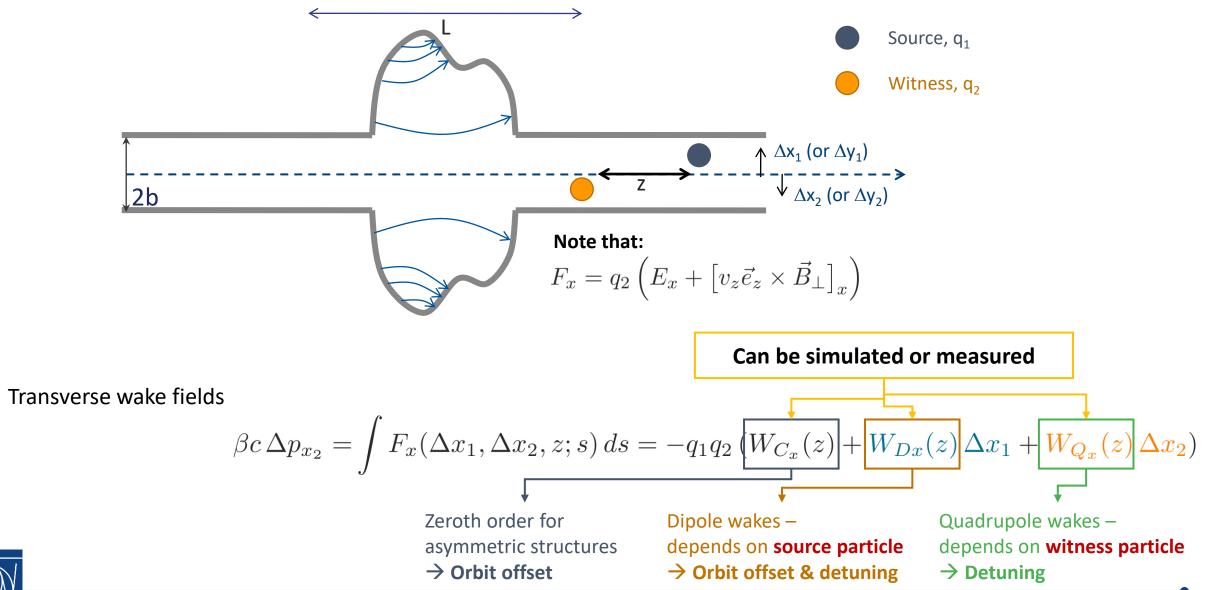


First order expansion in transverse coordinates of source and witness particles

$$\beta c \,\Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds = -q_1 q_2 \left( W_{C_x}(z) + W_{Dx}(z) \,\Delta x_1 + W_{Q_x}(z) \,\Delta x_2 \right) \\ \longrightarrow \frac{\Delta p_{x_2}}{p_0} = \Delta x'_2 \qquad \text{Transverse deflecting kick of the witness particle from transverse wakes}$$



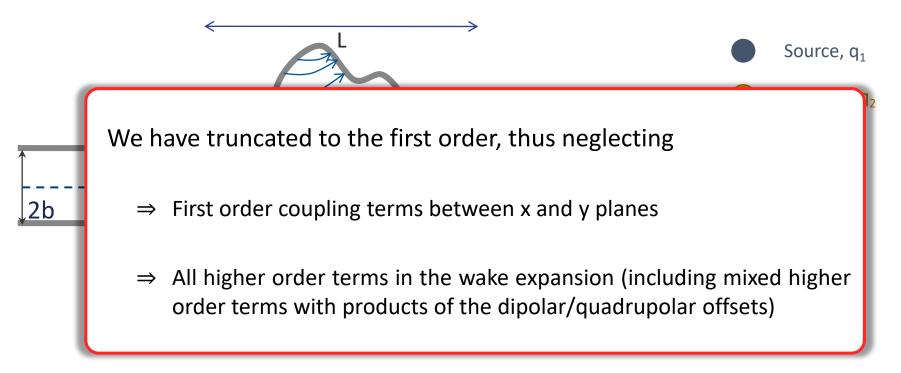




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$$\beta c \,\Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds = -q_1 q_2 \underbrace{W_{C_x}(z)}_{\bullet} + \underbrace{W_{Dx}(z)}_{\bullet} \Delta x_1 + \underbrace{W_{Q_x}(z)}_{\bullet} \Delta x_2)$$
Zeroth order for  
asymmetric structures  
 $\rightarrow$  Orbit offset
$$Dipole \text{ wakes } -$$

$$depends \text{ on source particle}_{\bullet} \rightarrow \text{ Orbit offset \& detuning}$$

$$Dipole \text{ wakes } -$$

$$depends \text{ on witness particle}_{\bullet} \rightarrow \text{ Detuning}$$



### Transverse impedance



$$W_{D_x}(z) = -\frac{\beta^2 E_0}{q_1 q_2} \frac{\Delta x'_2}{\Delta x_1} \qquad W_{Q_x}(z) = -\frac{\beta^2 E_0}{q_1 q_2} \frac{\Delta x'_2}{\Delta x_2}$$

- The wake function of an accelerator component is basically its Green function in time domain (i.e., its response to a pulse excitation):
- We can also describe it as a transfer function in frequency domain
  - $\rightarrow$  This is the definition of transverse beam coupling impedance of the element under study

$$\overrightarrow{Dipolar}$$
Quadrupolar
$$\begin{aligned} Z_{D_x}(\omega) &= i \int_{-\infty}^{\infty} W_{D_x}(z) \exp\left(-\frac{i\omega z}{c}\right) \frac{dz}{c} \\ &= i \int_{-\infty}^{\infty} W_{Q_x}(z) \exp\left(-\frac{i\omega z}{c}\right) \frac{dz}{c} \\ &= i \int_{-\infty}^{\infty} W_{Q_x}(z) \exp\left(-\frac{i\omega z}{c}\right) \frac{dz}{c} \end{aligned}$$







We have used the concept of wake fields in **the longitudinal and the transverse planes**, respectively. We have found that we usually do a decomposition of the wake function to obtain only the leading orders, namely, **constant**, **dipolar and quadrupolar wake fields**. We have also introduced the **impedance of the frequency domain representation** of the wake function.

Before actually looking at the impact of wake fields and impedances on the beam, we will now first study their **impact on the environment** – in particular, **beam induced heating** which can be dangerous and even destructive for poorly designed machine elements.

#### • Part 3: Wake fields and impedances – impacts

• Concept of wake fields

• Longitudinal and transverse wake fields and impedances

 $\circ\,$  Impact of wake fields and impedance on the accelerator environment

• Description of a coherent beam instability and the instability loop

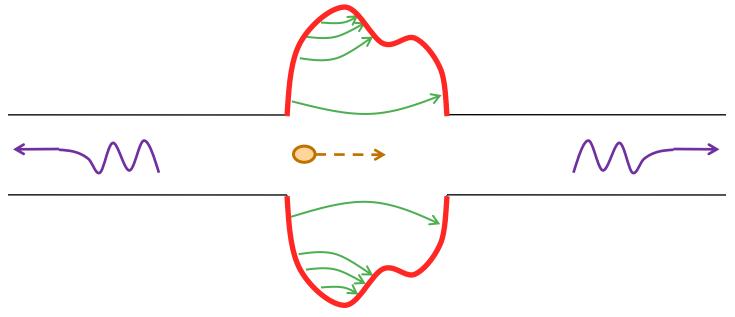


### The energy balance



$$W_{\parallel}(0) = rac{1}{\pi} \int_0^\infty \operatorname{Re}\left(Z_{\parallel}(\omega)
ight) \, d\omega = -rac{\Delta E_1}{q_1^2}$$
 What happens to the energy lost by the source?

- In the global energy balance, the energy lost by the source splits into:
  - Electromagnetic energy of the modes that remain trapped in the object
    - $\rightarrow$  Partly dissipated on lossy walls or into purposely designed inserts or HOM absorbers
    - → Partly transferred to following particles (or the same particle over successive turns), possibly feeding into an instability!
  - Electromagnetic energy of modes that propagate down the beam chamber (above cut-off), eventually lost on surrounding lossy materials





### The energy balance



$$W_{\parallel}(0) = \frac{1}{\pi} \int_0^\infty \operatorname{Re}\left(Z_{\parallel}(\omega)\right) \, d\omega = -\frac{\Delta E_1}{q_1^2} \quad \mathbf{t}$$

What happens to the energy lost by the source?

- In the global e
  - o Electromagr
    - $\rightarrow$  Partly dis:
    - $\rightarrow$  Partly trai
  - Electromagr surrounding

The energy loss of a particle bunch

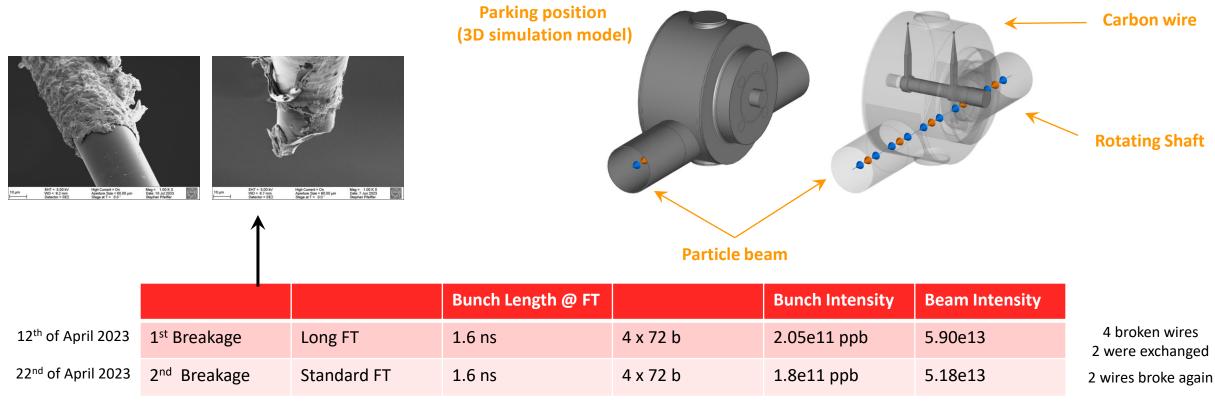
- ⇒ causes beam induced heating of the machine elements (damage, outgassing)
- ⇒ feeds into both longitudinal and transverse instabilities through the associated EM fields
- ⇒ is compensated by the RF system determining a **stable phase shift**

into an instability! ), eventually lost on



### SPS rotating Beam Wire Scanners

- Beam wire scanners (BWS)  $\rightarrow$  devices for measuring the transverse profile of particle beams
- CERN SPS: 2 Vertical and 2 Horizontal rotating BWS units
- During Scrubbing run: higher rate and higher total number of cycles reaching FT

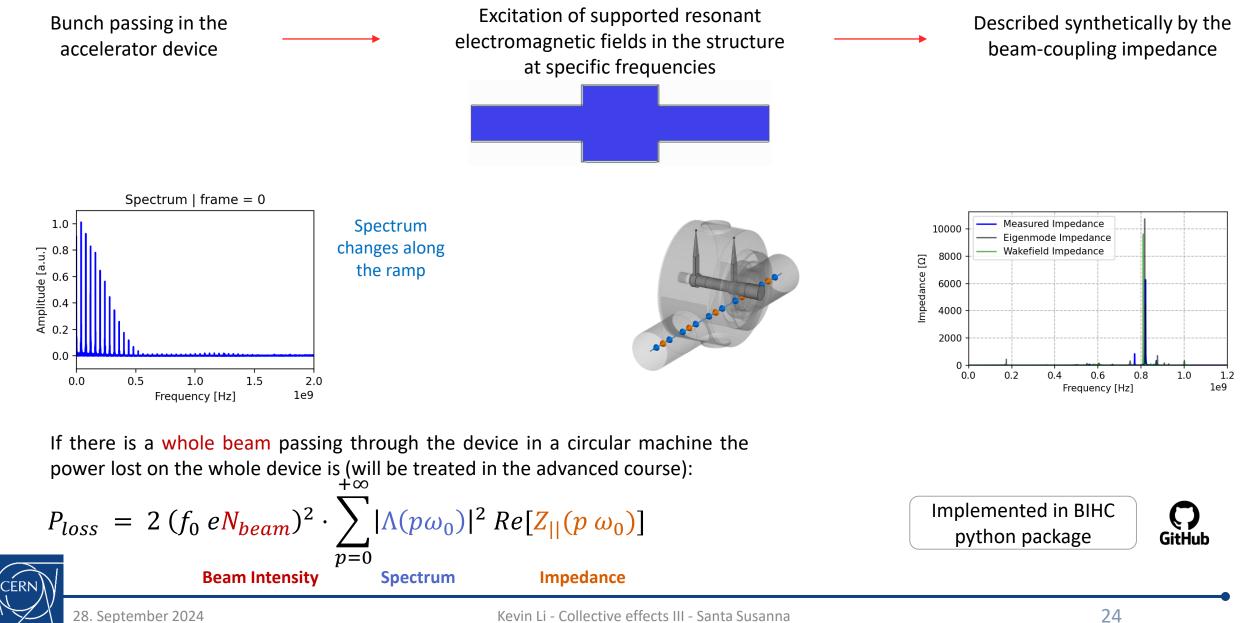






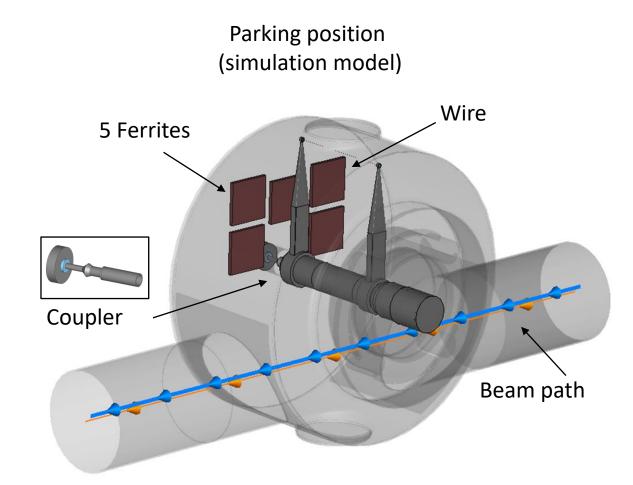
## **Beam Induced Heating**





### Mitigation solution proposed

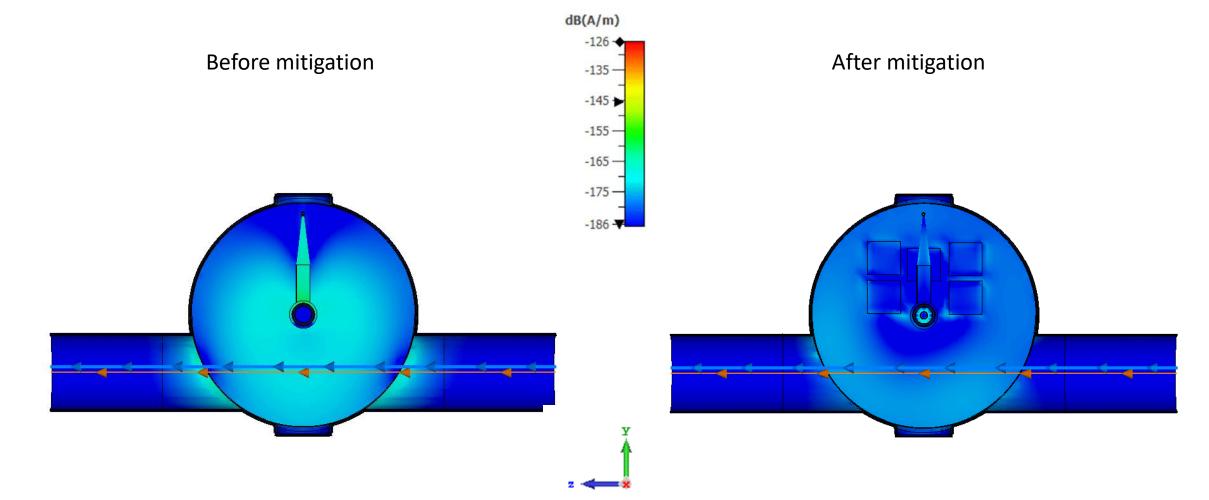






### 800 MHz mode Magnetic field map

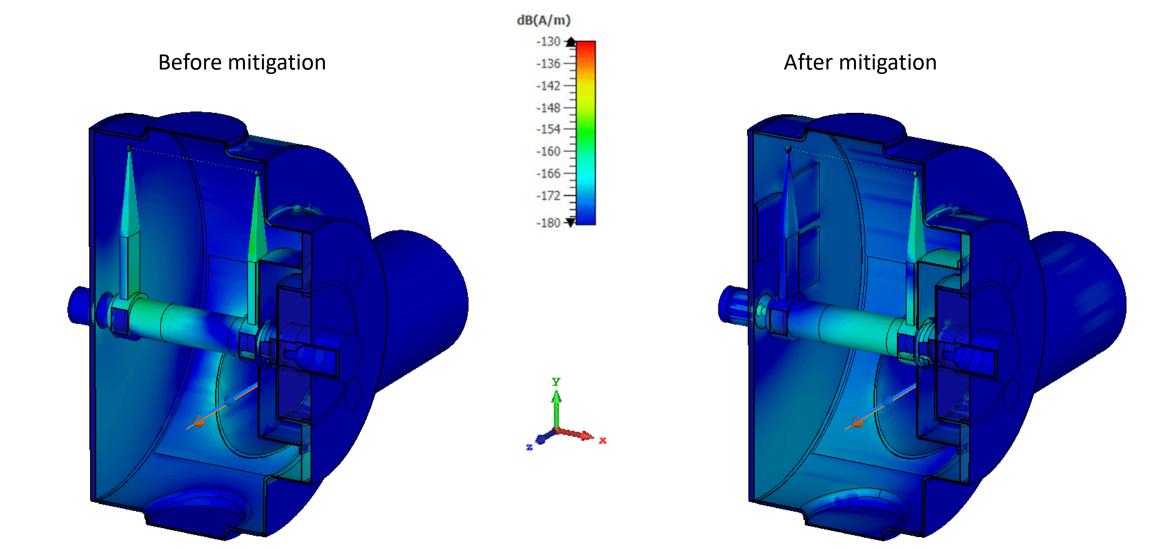






### 800 MHz mode Magnetic field map







## Beam induced heating workflow

Post-processing\*

Beam spectrum in

frequency domain

along the cycle



#### 2 CST Wakefield simulations:

i) Longitudinal Impedance Z<sub>||</sub>
ii) % of each impedance resonance on each element (wire, ferrites, coupler...)

 $\sim 80\%$  of power on the wire Time domain data | frame = 0Spectrum | frame = 010000 0.150 Impedance  $\Re(Z_z)$  [ $\Omega$ ] No Ferrites 1.0 0.125 5 Ferrites + Coupler Amplitude [a.u.] 9.0 7.0 8.0 300 Amplitude [a.u.] 0.100 0.075 200 0.050 100 0.025 0.0 0.000 0 0.2 0.6 0.8 0.0 0.4 1.0 4000 0 2000 6000 8000 0.0 0.5 1.0 1.5 2.0 Time [ns] Frequency [Hz] 1e9 Frequency [GHz] \* Correct baseline, do FFT, normalize, apply transfer function  $\sim 15\%$  of power on the wire  $+\infty$  $P_{loss} = 2 (f_0 e N_{beam})^2 \cdot \sum_{n=1}^{\infty} P_{loss} = 2 (f_0 e N_{baa})^2 \cdot \sum_$  $|\Lambda(p\omega_0)|^2 \operatorname{Re}[Z_{||}(p\,\omega_0)]$ Implemented in BIHC python package GitHub p=0**Beam Intensity** Impedance Spectrum CERN

Measurements of beam

profile in time domain along

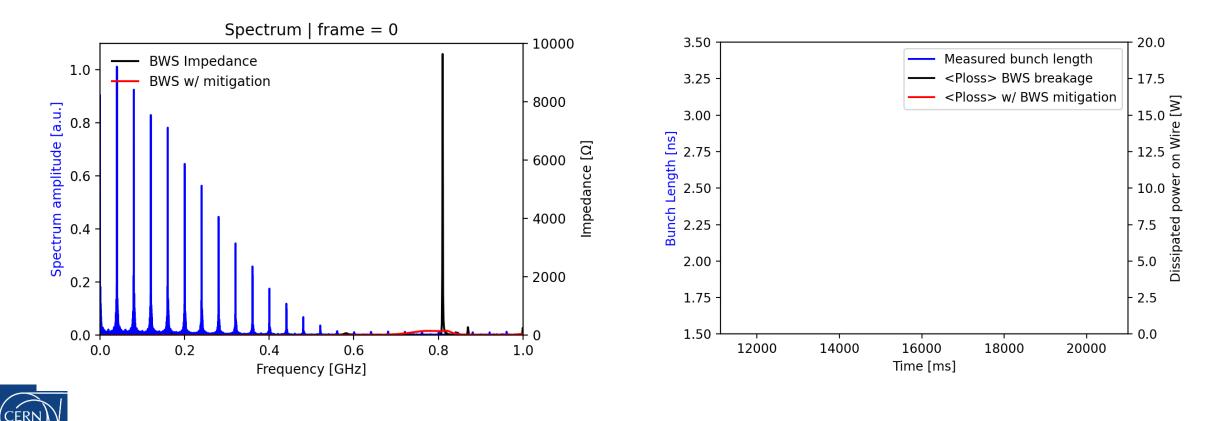
the acceleration ramp

### Power loss along the cycle



Power loss increases dramatically as spectrum reaches higher frequencies

$$P_{loss} = 2 (f_0 e N_{beam})^2 \cdot \sum_{p=0}^{+\infty} |\Lambda(p\omega_0)|^2 Re[Z_{||}(p \omega_0)]$$





### How are wakes and impedances computed?



- Analytical or semi-analytical approach, when geometry is simple (or simplified)
  - Solve Maxwell's equations with the correct source terms, geometries and boundary conditions up to an advanced stage (e.g. resistive wall for axisymmetric chambers)
  - Find closed expressions or execute the last steps numerically to derive wakes and impedances

#### • Numerical approach

- Different codes have been developed over the years to solve numerically Maxwell's equations in arbitrarily complicated structures
- Examples are CST Studio Suite (Particle Studio, Microwave Studio), ABCI, GdFidL, HFSS, ECHO2(3)D. Exhaustive list can be found from the program of the <u>ICFA mini-Workshop on "Electromagnetic wake fields and impedances in particle accelerators"</u>, Erice, Sicily, 23-28 April, 2014
- Bench measurements based on transmission/reflection measurements with stretched wires
  - Seldom used independently to assess impedances, usefulness mainly lies in that they can be used for validating 3D EM models for simulations







We have seen how the impedance of a device can have an **impact on the machine environment** and cause, for example, **beam induced heating**. This can lead to outgassing or damage of a device. Therefore, devices need to be carefully designed in order to minimize their impedance.

Impedances also have a **direct impact on a passing beam**. This can lead to impedance induced **beam instabilities**. We will now first understand the basic concept and mechanism of beam instabilities.

### • Part 3: Wake fields and impedances – impacts

Concept of wake fields

• Longitudinal and transverse wake fields and impedances

- Impact of wake fields and impedance on the accelerator environment
- $\,\circ\,$  Description of a coherent beam instability and the instability loop



# Why worry about beam instabilities?

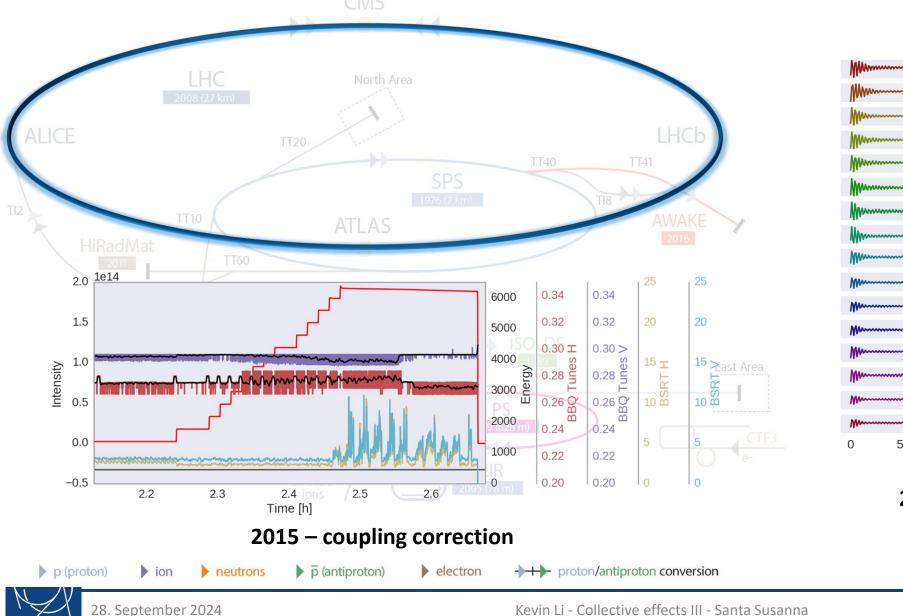


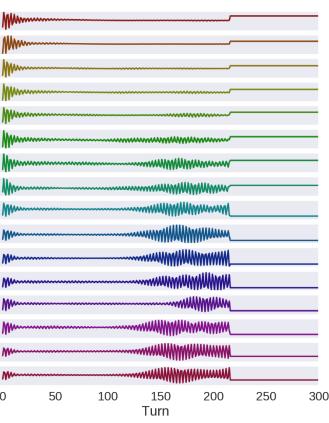
- Why study beam instabilities?
  - The onset of a beam instability usually determines the maximum beam intensity that a machine can store/accelerate (performance limitation)
  - Understanding the type of instability limiting the performance, and its underlying mechanism, is essential because it:
    - Allows identifying the source and possible measures to mitigate/suppress the effect
    - Allows dimensioning an active feedback system to prevent the instability



### Instabilities seen from the control room





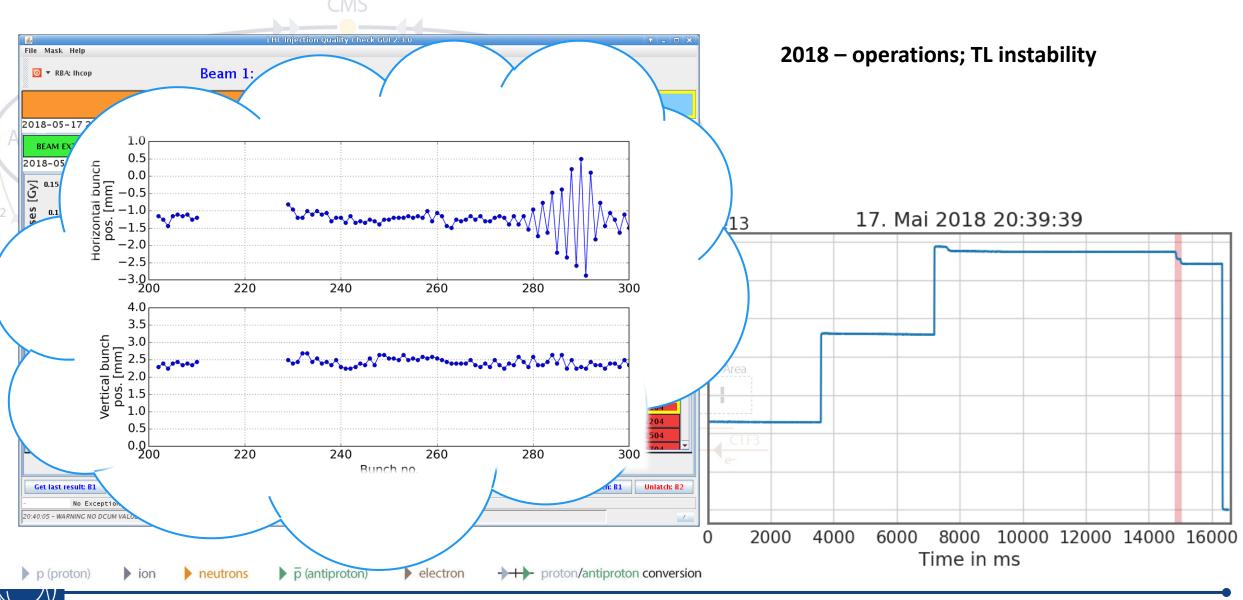


**B2** - Vertical

2015 – scrubbing run

### Instabilities seen from the control room

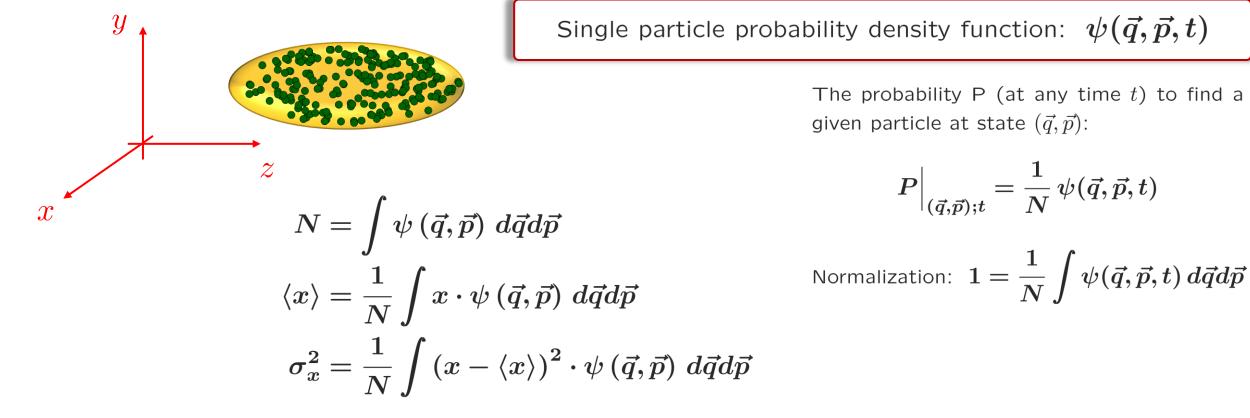




### What is a beam instability?



• A beam becomes unstable when a **moment of its distribution** exhibits an **exponential growth** (e.g. mean positions, standard deviations, etc.), resulting into beam loss or emittance growth!

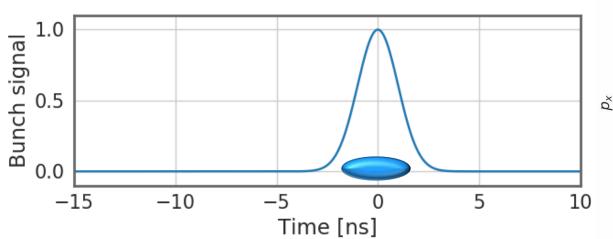


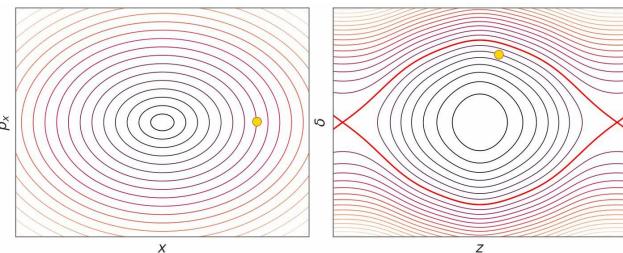
and similar definitions for  $\langle y 
angle, \sigma_y, \langle z 
angle, \sigma_z$ 

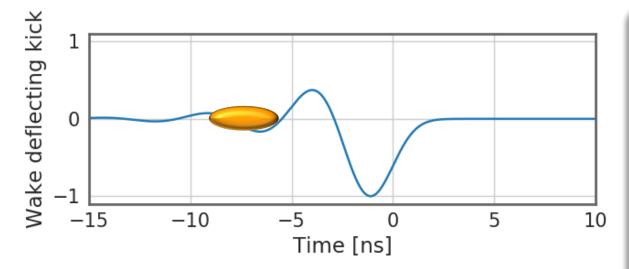


### **Examples: broadband resonator**









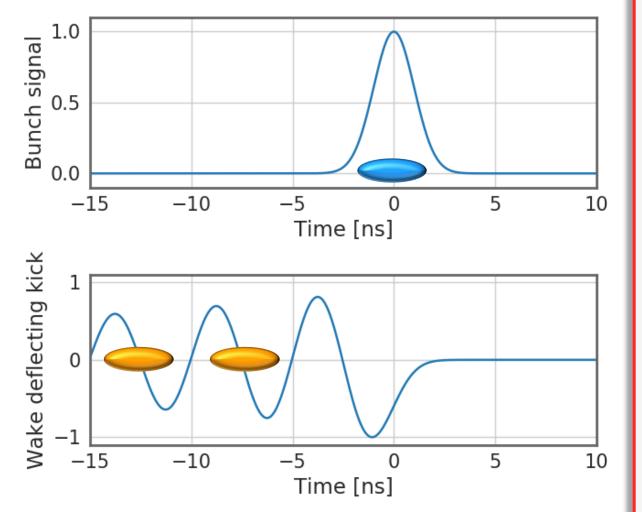
If betatron and synchrotron motion and wakefields **manage to synchronize** such that they get into resonance, a distinct bunch oscillation pattern will be excited – a so called **bunch mode**. The coherent bunch/beam signal will **grow exponentially**.

This can be either a **single bunch mode**...



#### Examples: narrowband resonator





If betatron and synchrotron motion and wakefields manage to synchronize such that they get into resonance, a distinct bunch oscillation pattern will be excited – a so called bunch mode. The coherent bunch/beam signal will grow exponentially. This can be either a single bunch mode...

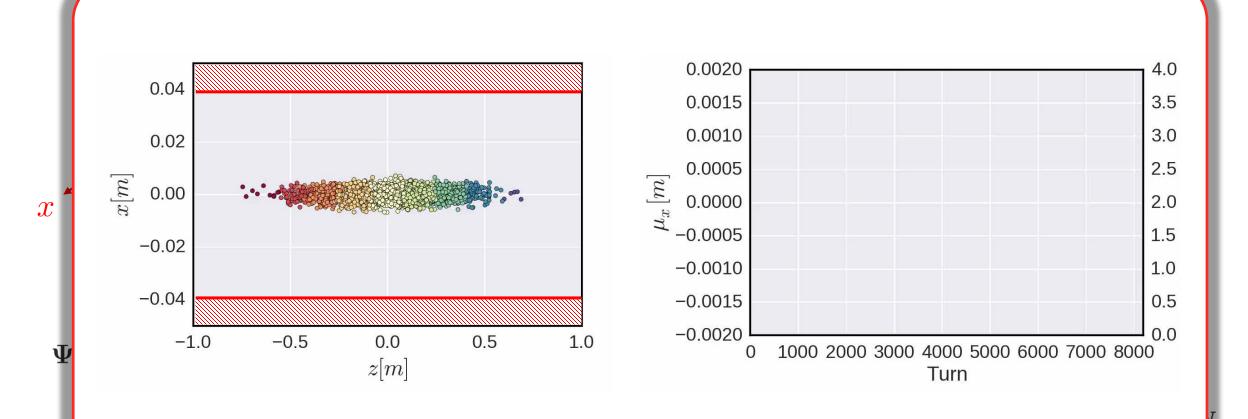




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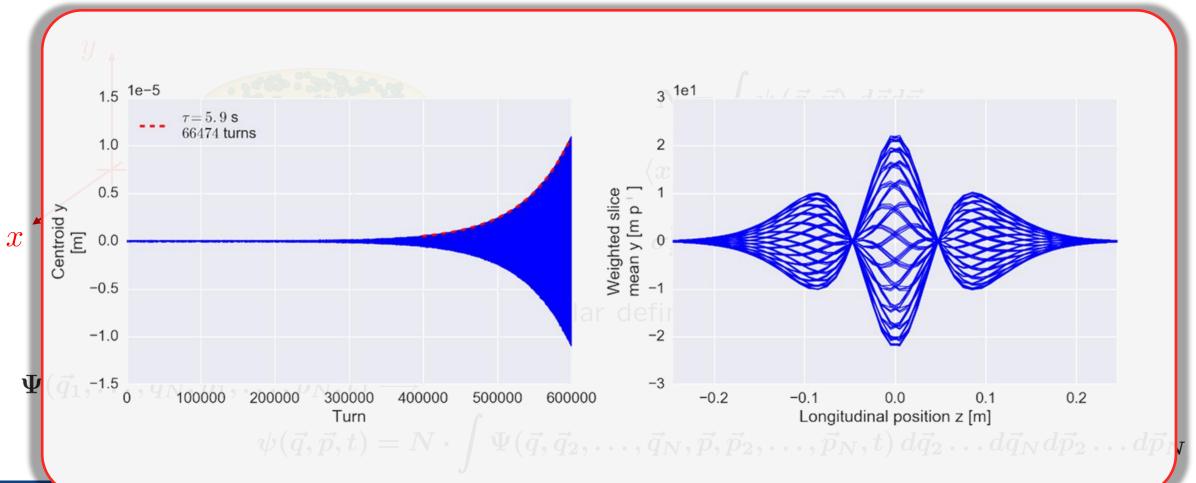




## What is a beam instability?

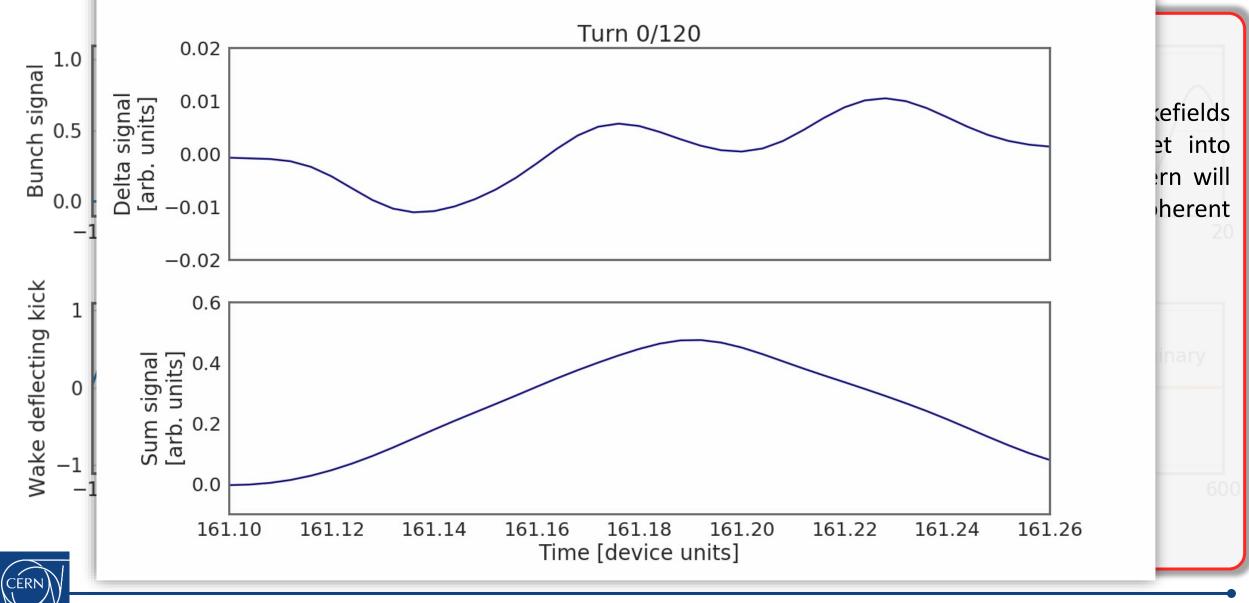


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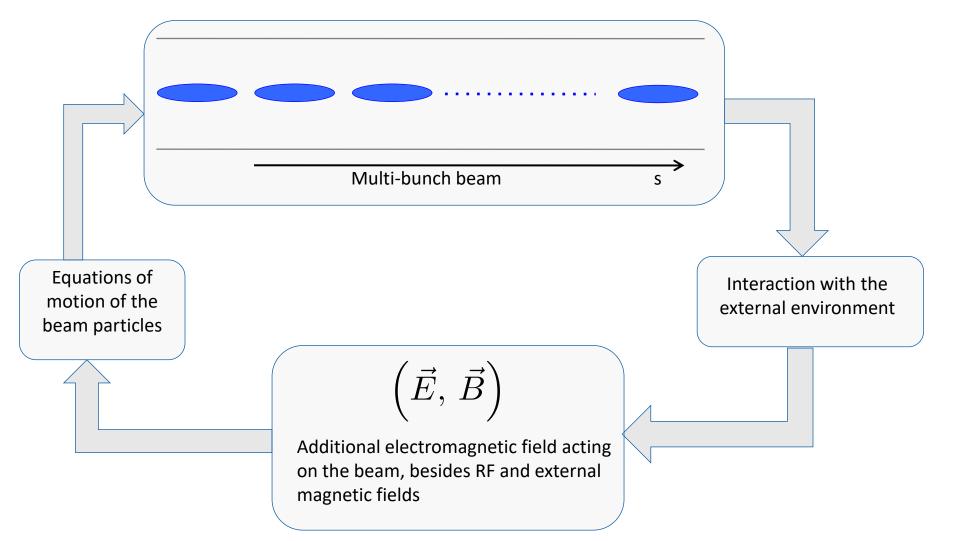




#### Examples: narrowband resonator

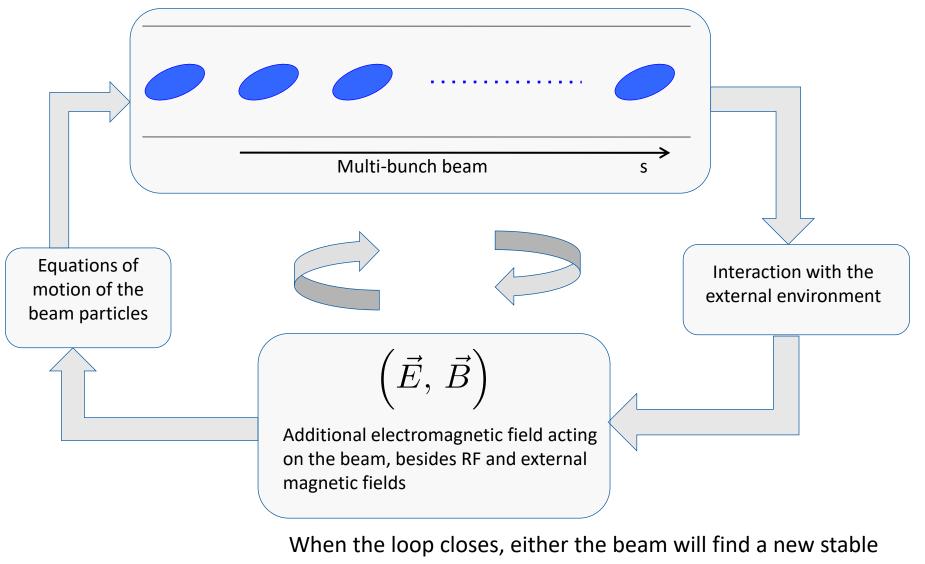


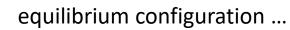




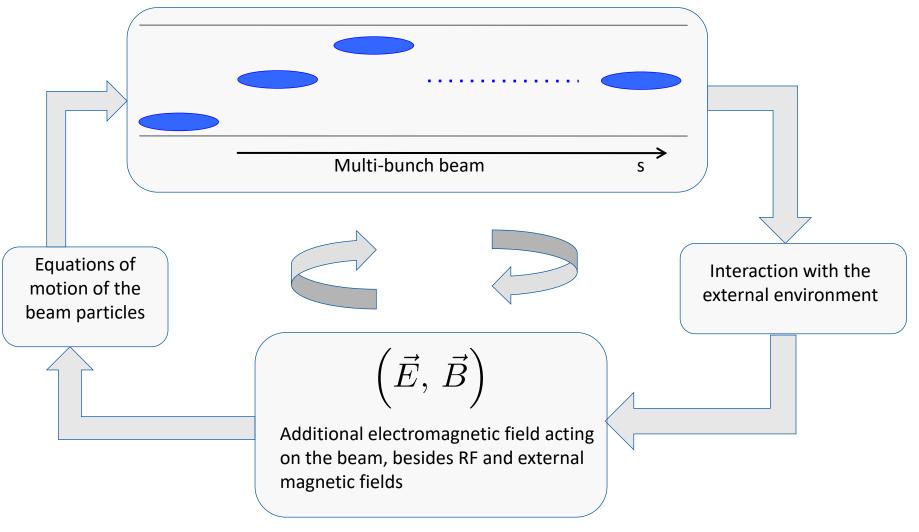








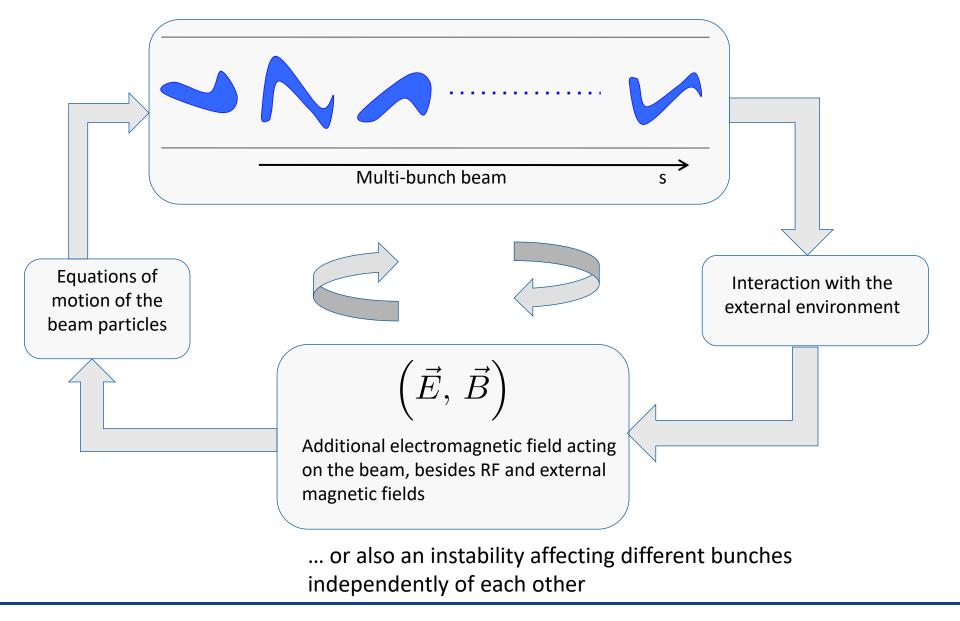




... or it might develop an instability along the bunch train ...

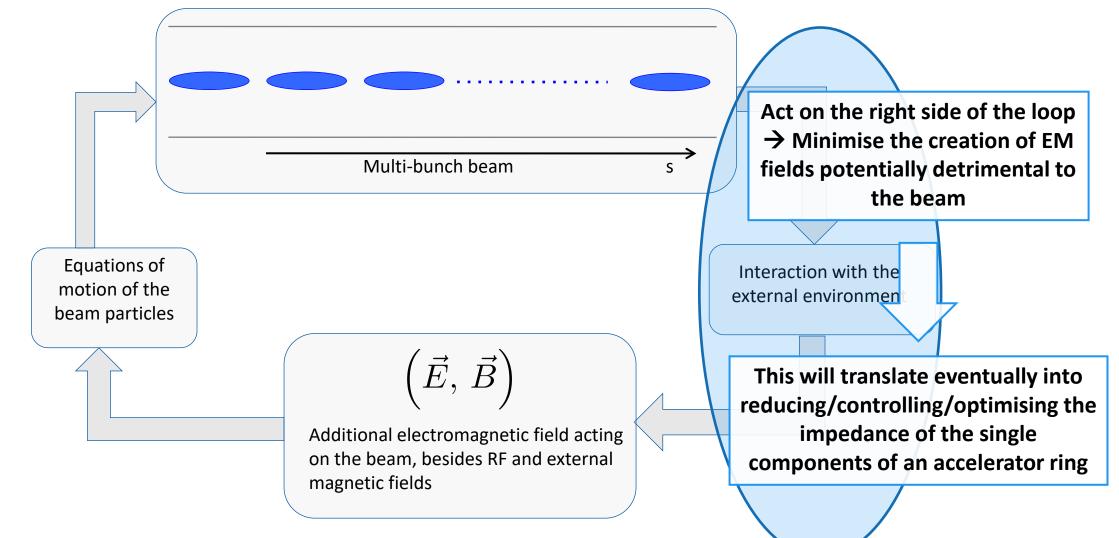






## The instability loop – knobs to preserve beam stability...

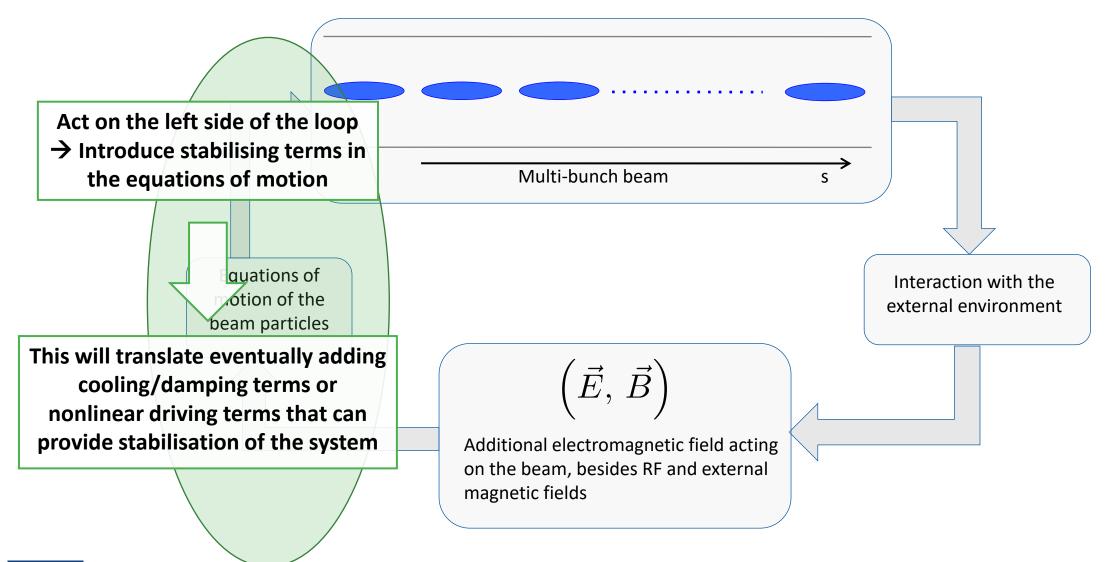






# The instability loop – knobs to preserve beam stability...

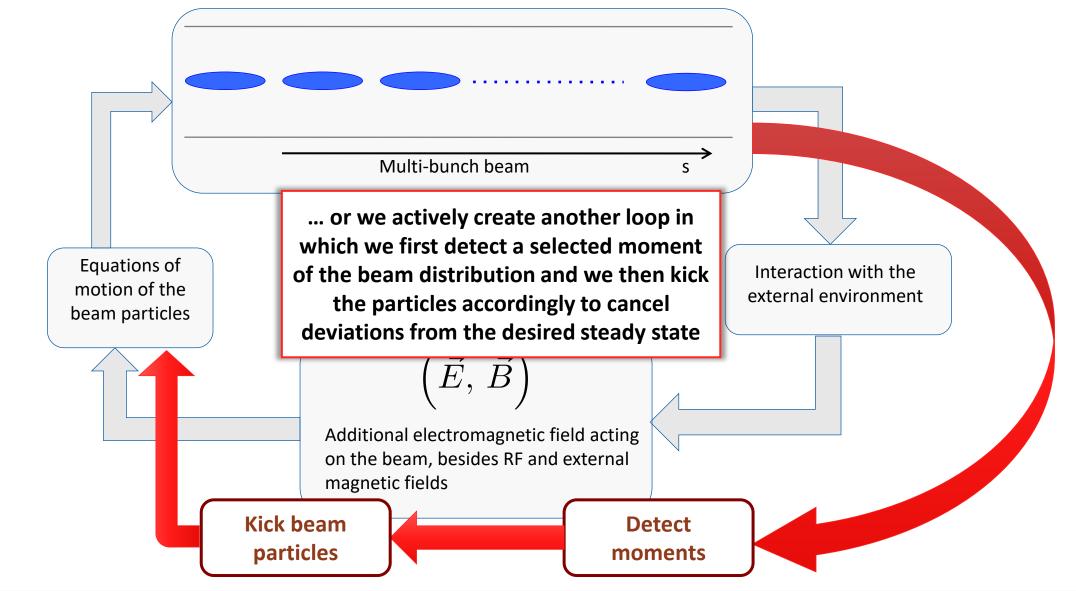






# The instability loop – knobs to preserve beam stability...









We have seen some examples of analytically expressible wake fields and impedances, namely **resonator and resistive wall wakes**. We have learned that impedances can have a **detrimental impact** on both the **machine environment** as well as **the beam itself**. In the first case, impedances can lead to **beam induced heating**, in the latter to **coherent beam instabilities**.

A careful design of machine elements to **minimize the impedance** is therefore necessary.

After having introduced the instability loop, in the next lecture we will be looking more in detail **at examples of different types of instabilities**.

• Part 3: Wake fields and impedances – impacts

 $\circ\,$  Concept of wake fields

• Longitudinal and transverse wake fields and impedances

- Impact of wake fields and impedance on the accelerator environment
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# End part 3







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# Backup slides

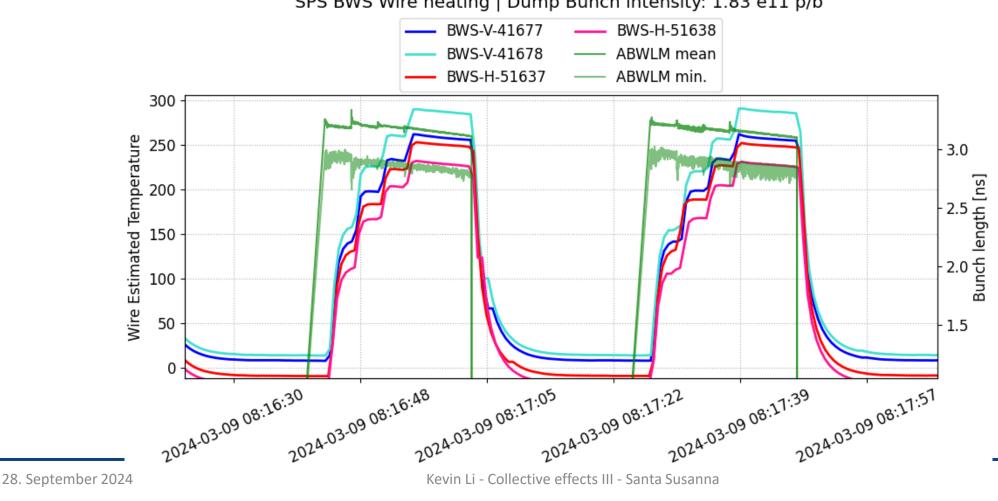


# Example of temperature readings

CERN



Measured during the SPS Scrubbing run 2024, 4x72b 1.8e11p/b at flat top, same conditions as 2<sup>nd</sup> breakage ٠



SPS BWS Wire heating | Dump Bunch intensity: 1.83 e11 p/b





We have used the concept of wake fields in **the longitudinal and the transverse planes**, respectively. We have found that we usually do a decomposition of the wake function to obtain only the leading orders, namely, **constant**, **dipolar and quadrupolar wake fields**. We have also introduced the **impedance of the frequency domain representation** of the wake function.

We will now study some more properties of wake fields and show some typical **examples of wake fields and impedances** for which an analytical expression exists.

#### • Part 3: Wake fields and impedances – impacts

Longitudinal and transverse wake fields and impedances

Panofsky-Wenzel theorem

 $\,\circ\,$  Examples of analytically expressible wake functions and impedances

Impact of wake fields and impedance on the accelerator environment

• Description of a coherent beam instability and the instability loop







We have briefly discussed the Panofsky-Wenzel theorem and looked at analytical expressions for the resistive wall and resonator impedances. We have seen the different between short range and long range wake fields and understood how these can lead to single or coupled bunch instabilities.

Before actually looking at the impact of wake fields and impedances on the beam, we will now first study their **impact on the environment** – in particular, **beam induced heating** which can be dangerous and even destructive for poorly designed machine elements.

#### • Part 3: Wake fields and impedances – impacts

- Longitudinal and transverse wake fields and impedances
- Panofsky-Wenzel theorem
- Examples of analytically expressible wake functions and impedances
- $\circ$  Impact of wake fields and impedance on the accelerator environment
- Description of a coherent beam instability and the instability loop



### Panofsky-Wenzel Theorem



• Longitudinal and transverse wake fields and impedances are **tightly related via Maxwell's equations** by means of the **Panofsky-Wenzel theorem**, which states that:

$$\frac{\partial}{\partial z} \int_0^L \vec{F}_\perp \, ds = \vec{\nabla}_{\perp_{\text{source}}} \int_0^L F_s \, ds$$

• Remembering that:

$$\int F_{z}(\Delta x_{1}, \Delta x_{2}, z, s) \, ds = -q_{1}q_{2} \Big[ W_{\parallel}(z) + W_{\parallel}^{(d)} \, \Delta x_{1} + W_{\parallel}^{(q)} \, \Delta x_{2} \\ + W_{\parallel}^{(2d)} \, \Delta x_{1}^{2} + W_{\parallel}^{(2q)} \, \Delta x_{2}^{2} + W_{\parallel}^{(dq)} \, \Delta x_{1} \Delta x_{2} + O(\Delta x^{3}) \Big]$$

$$\int F_x(\Delta x_1, \Delta x_2, z, s) \, ds = -q_1 q_2 \Big[ W_{C_x}(z) + W_{D_x}(z) \, \Delta x_1 + W_{Q_x} \, \Delta x_2 + O(\Delta x^2) \Big]$$



## Panofsky-Wenzel Theorem



 Longitudinal and transverse wake fields and impedances are tightly related via Maxwell's equations by means of the Panofsky-Wenzel theorem, which states that:

It follows for the longitudinal and transverse wake fields and impedances that:

$$\begin{split} & \operatorname{Ren} \\ & W'_{D_x}(z) = W_{\parallel}^{(dq)}(z) \\ & \int & \overset{\mathcal{F}}{\longleftrightarrow} \\ & & \frac{\omega}{c} Z_{\perp}(\omega) = Z_{\parallel}^{(dq)}(\omega) \\ & & \overset{\mathcal{F}}{\longleftrightarrow} \\ & & \frac{\omega}{c} Z_{\perp}(\omega) = 2Z_{\parallel}^{(2q)}(\omega) \\ & & \overset{\mathcal{F}}{\longleftrightarrow} \\ & & \frac{\omega}{c} Z_{\perp}(\omega) = 2Z_{\parallel}^{(2q)}(\omega) \\ & & \overset{\mathcal{F}}{\longleftrightarrow} \\$$

The **longitudinal and transverse wake functions are not independent**, although in general no relation can be established between  $W_{||}(z)$  and  $W_{Dx, Dy}(z)$ , which are the main wakes in the longitudinal and transverse planes, respectively.



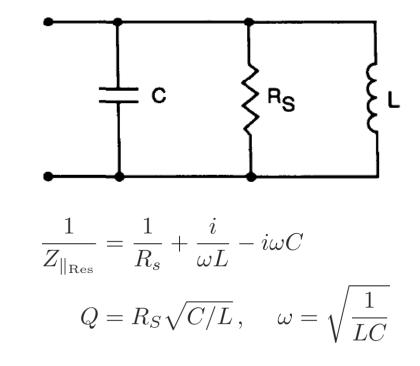
#### Examples: resonator wakes



- Wakefields and/or impedances can be computed by using Maxwell's equations to compute the impulse response for a given structure either in time domain or in frequency domain, respectively.
- Some examples of impedances computed in the ultra-relativistic limit are:
  - Resonator impedance

 $\alpha_z = \frac{\omega_r}{2Q} \,, \quad \bar{\omega} = \sqrt{\omega_r^2 - \alpha_z^2}$ 

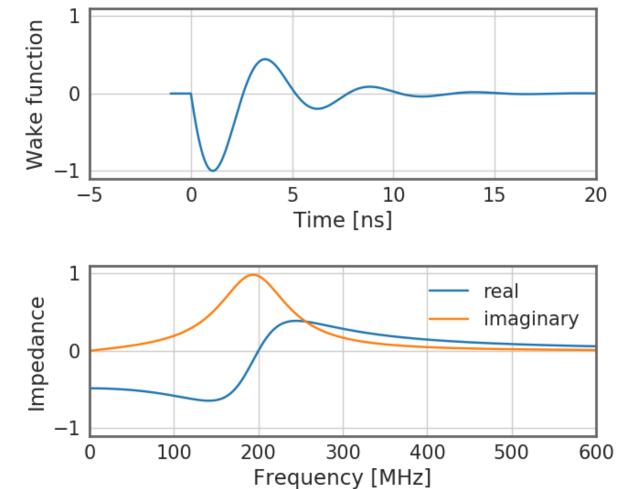
$$Z_{\parallel_{\text{Res}}}(\omega) = \frac{R_{s_{\parallel}}}{1 + iQ\left(\frac{\omega_{r}}{\omega} - \frac{\omega}{\omega_{r}}\right)}$$
$$Z_{\perp_{\text{Res}}}(\omega) = \frac{\omega_{r}}{\omega} \frac{R_{s_{\perp}}}{1 + iQ\left(\frac{\omega_{r}}{\omega} - \frac{\omega}{\omega_{r}}\right)}$$



#### Examples: broadband resonator



Gaussian bunch profile with  $\sigma = 1$  ns

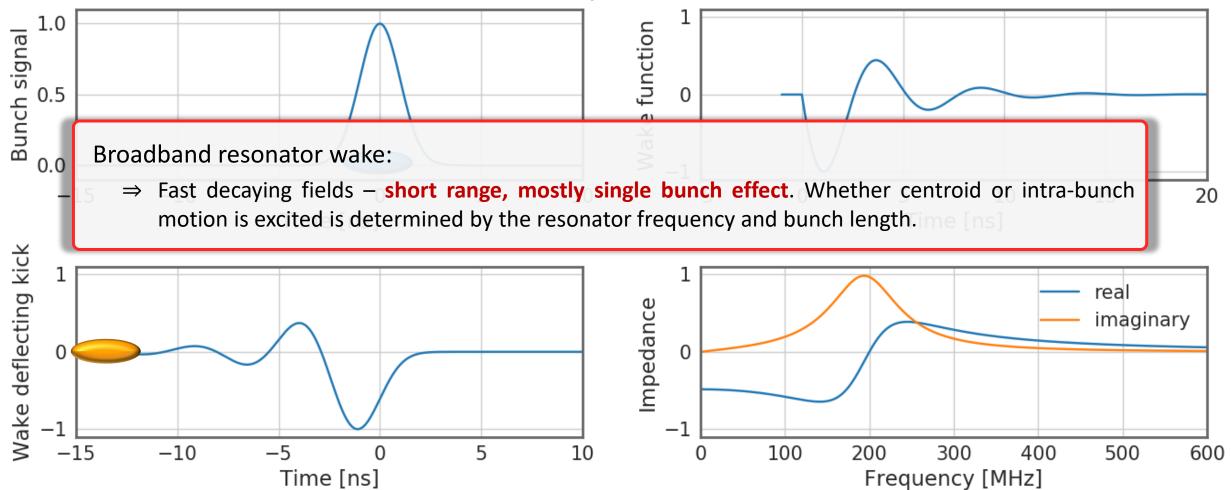




#### Examples: broadband resonator



#### Gaussian bunch profile with $\sigma = 1$ ns

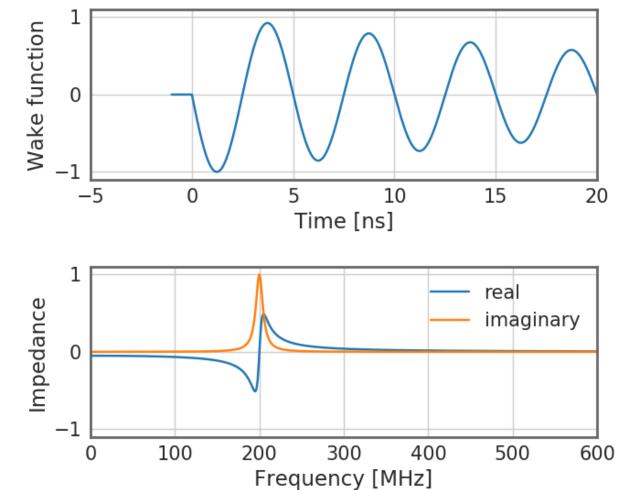




#### Examples: narrowband resonator



Gaussian bunch profile with  $\sigma = 1$  ns





#### Examples: narrowband resonator





