



University  
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## SMEFT VS HEFT

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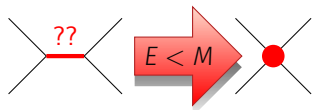
Dave Sutherland

11<sup>th</sup> June 2024 — **EFT in Multiboson Production, Padova**

University of Glasgow

# A CHOICE OF TWO EFTS FOR THE STANDARD MODEL (SM)

Heavy new physics looks like new contact interactions.



Encode the contact interactions as EFT operators, either:

- **SMEFT**: built out of the Higgs doublet  $\Phi$ , ...

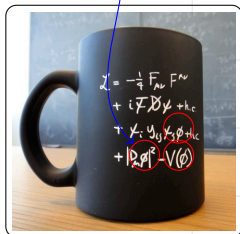
$$\kappa_f \sim |\Phi|^2 \bar{Q}_L \Phi d_R; \quad \kappa_V \sim |\Phi|^2 |D\Phi|^2; \quad \kappa_\lambda \sim |\Phi|^6.$$

- **HEFT**: built separately out of the Higgs  $h$  and Goldstones  $\pi_i$ , ...

$$\kappa_f \sim h \bar{f}_L f_R; \quad \kappa_V \sim h \partial\pi^+ \partial\pi^-; \quad \kappa_\lambda \sim h^3.$$

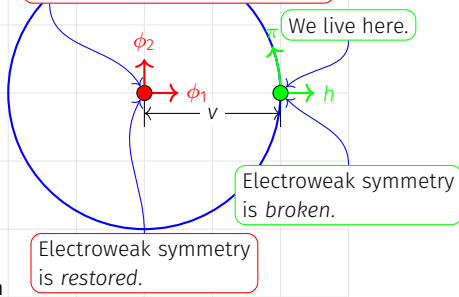
# THE SM IS AN EXPANSION IN FIELD SPACE

Plot two components of the Higgs field,  $\phi_1, \phi_2$ .



Standard Model Lagrangian

SM presumes certain behaviour at this unexplored point.



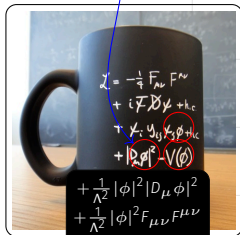
We observe that Higgs physics is SM-like at **our vacuum**.  
We *assume* it is SM-like at the **EW symmetric vacuum**.

# DECOUPLING NP GIVES SMALL EFFECTS EVERYWHERE

SMEFT is a Taylor expansion in  $\Phi$  about  $\Phi = 0$ .

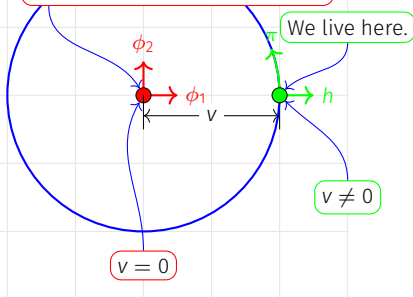
$$\mathcal{L} \approx |D\Phi|^2 + \frac{1}{\Lambda^2} |\Phi|^2 |D\Phi|^2 + \frac{1}{\Lambda^4} |\Phi|^4 |D\Phi|^2 + \dots$$

Plot two components of the Higgs field,  $\phi_1, \phi_2$ .



SMEFT Lagrangian

SMEFT presumes certain behaviour at this unexplored point.



# DECOUPLING NP (SMEFT) CORRELATES HIGGS OBS.

$$\mathcal{L} \approx |D\Phi|^2 + \frac{1}{\Lambda^2} |\Phi|^2 |D\Phi|^2 + \frac{1}{\Lambda^4} |\Phi|^4 |D\Phi|^2 + \dots$$

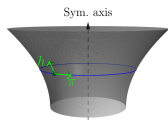
As can be seen in the broken phase

$$\begin{aligned} \mathcal{L} &\rightarrow \frac{1}{4} g_2^2 W^+ W^- \left[ (v+h)^2 + \frac{1}{2\Lambda^2} (v+h)^4 + \frac{1}{4\Lambda^4} (v+h)^6 + \dots \right] \\ &\rightarrow \frac{1}{4} g_2^2 W^+ W^- \left[ v^2 \left( 1 + \frac{v^2}{2\Lambda^2} + \frac{v^4}{4\Lambda^4} + \dots \right) \right. \\ &\quad \left. + 2vh \left( 1 + \frac{v^2}{\Lambda^2} + \frac{3}{4} \frac{v^4}{\Lambda^4} + \dots \right) \right. \\ &\quad \left. + h^2 \left( 1 + 3 \frac{v^2}{\Lambda^2} + \frac{15}{4} \frac{v^4}{\Lambda^4} + \dots \right) + \dots \right] \end{aligned}$$

Note  $m_W \rightarrow 0$  when  $v \rightarrow 0$  and correlation ( $\kappa_V \approx \kappa_{2V} \approx \frac{v^2}{\Lambda^2}$ ).

# WHEN IS SMEFT NOT ENOUGH?

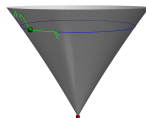
(Cohen, Craig, Lu, and Sutherland 2021)



Like a Laurent expansion

$$\mathcal{L} = \sum_{k=k_{\min} < 0}^{\infty} c_k \frac{|\Phi|^{2k}}{\Lambda^{2k}} |D\Phi|^2$$

1) Extra sources of electroweak symmetry breaking



Like a non-convergent expansion

$$\mathcal{L} = \sum_{k=0}^{\infty} c_k \frac{|\Phi|^{2k}}{v^{2k}} |D\Phi|^2$$

2) New particles getting most of their mass from the Higgs.

Both HEFTy cases have particles mass  $m \lesssim 4\pi v$ .

## LOOP LEVEL SINGLET EXAMPLE

$$\mathcal{L}_{UV} = |\partial\Phi|^2 + \frac{1}{2}(\partial S)^2 - \left( -\mu_\Phi^2 |\Phi|^2 + \lambda_\Phi |\Phi|^4 + \frac{1}{2} \underbrace{(m^2 + \kappa |\Phi|^2)}_{\equiv m^2(|\Phi|^2)} S^2 + \frac{1}{4} \lambda_S S^4 \right)$$

Choose  $m^2, \kappa > 0$  so  $S$  does not get a vev

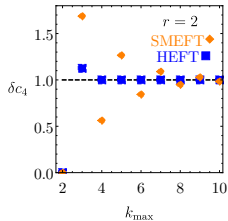
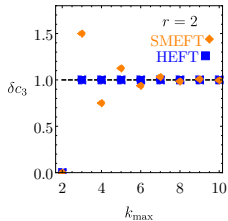
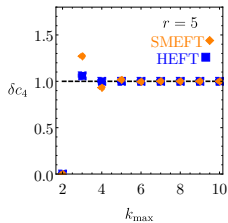
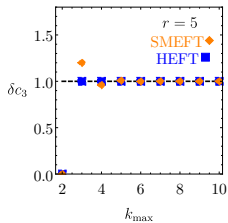
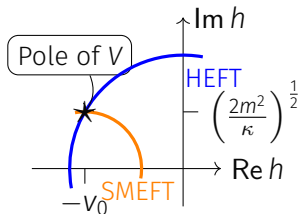
$$\mathcal{L}_{EFT} = |\partial\Phi|^2 + \frac{1}{384\pi^2} \frac{\kappa^2}{m^2(|\Phi|^2)} (\partial|\Phi|^2)^2 + \mu_\Phi^2 |\Phi|^2 - \lambda_\Phi |\Phi|^4 + \frac{1}{64\pi^2} m^4(|\Phi|^2) \left( \ln \frac{\mu^2}{m^2(|\Phi|^2)} + \frac{3}{2} \right)$$

# EFT CONVERGENCE

Expand Higgs potential,  $V$ , in SMEFT and HEFT

$$r \equiv \frac{m^2}{\frac{1}{2}\kappa v_0^2}$$

$$m^2(|\Phi|^2) = m^2 + \kappa|\Phi|^2$$

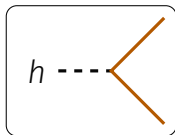




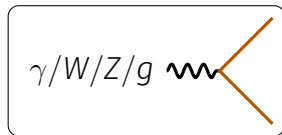
# VIABLE NON-DECOUPLING MODELS

'Loryons' get most of their mass from the Higgs

Interactions:

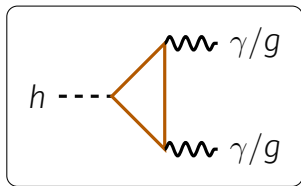


Higgs

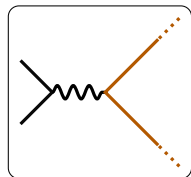


gauge bosons

Signals:



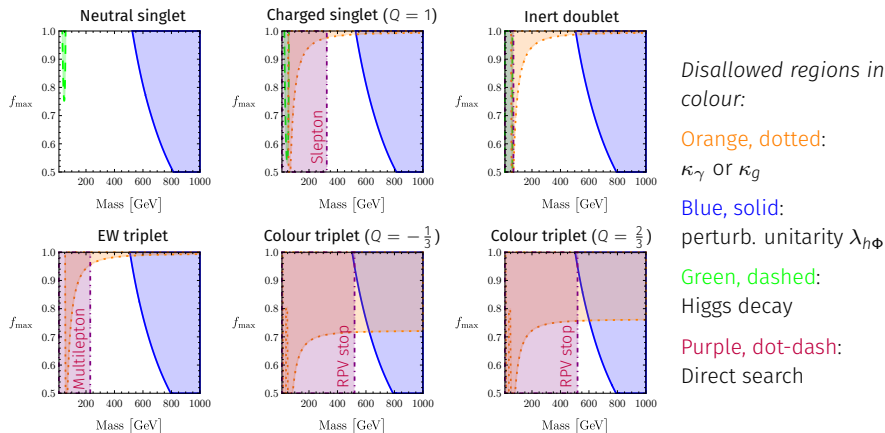
Higgs couplings



pair production

# WHITE SPACE MEANS EXPERIMENTALLY VIABLE

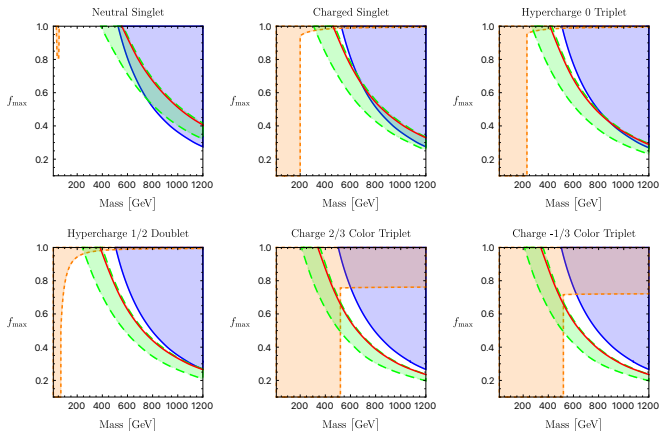
(Banta, Cohen, Craig, Lu, and Sutherland 2021)



Plots: fraction of mass squared from Higgs ( $f_{\max}$ ) vs. total mass.

# THESE MODELS PRODUCE A STRONGLY FIRST ORDER EWPT

(Banta 2022)



Orange, dotted:

$\kappa_\gamma$  or  $\kappa_g$  expt. constraints

Blue, solid:

perturb. unitarity

Green, dashed:

strongly first-order phase transition

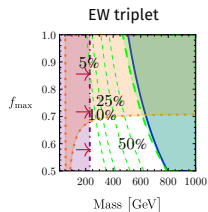
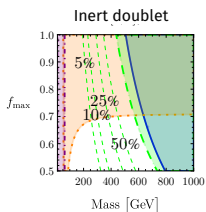
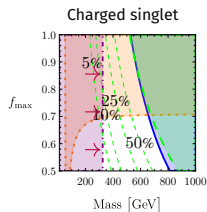
Red, solid

lower bound for stochastic gravitational wave background @ LISA

...a necessary condition for electroweak baryogenesis.

# THE FUTURE: HADRONIC

At HL-LHC,  $\kappa_g$  rules out coloured particles,  $\kappa_\gamma$  makes inroads,  $\kappa_\lambda$  approaches unitarity bound.



Orange, dotted:

$\kappa_\gamma$  OR  $\kappa_g$

Blue, solid:

perturb. unitarity  $\lambda_{h\phi}$

Green, dashed:

Higgs cubic

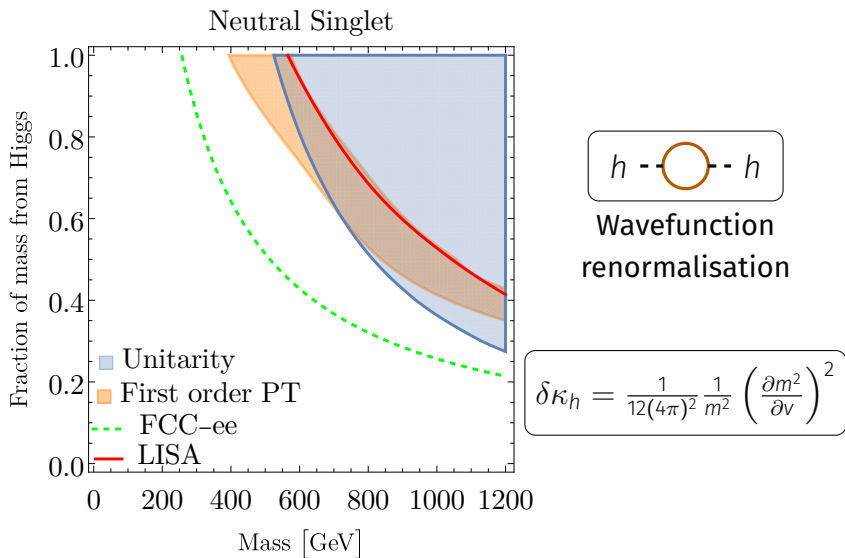
Purple, dot-dash:

Direct search

Nightmare scenario of neutral scalar singlet remains open.  
 $\kappa_\lambda \sim 5\%$  measurement of FCC-hh closes off everything.

# THE FUTURE: LEPTONIC

(Crawford and Sutherland [to appear](#))

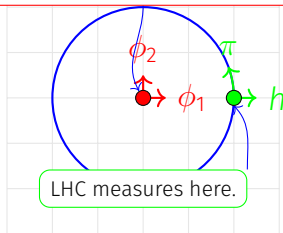


# SUMMARY

Nature is SM-like at  $v = 246$  GeV, but may be wildly different at  $v = 0$ , due to non-decoupling physics.

Gives generic signals in  $\kappa_h$  and  $\kappa_\lambda$

Future colliders measure up to here.



Non-decoupling NP is a finite target space for future colliders

THANKS

# BACKUP

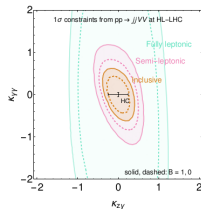
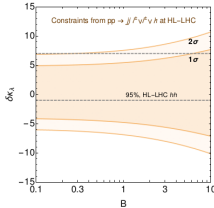
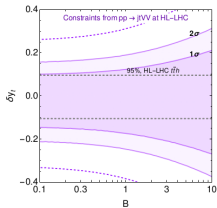
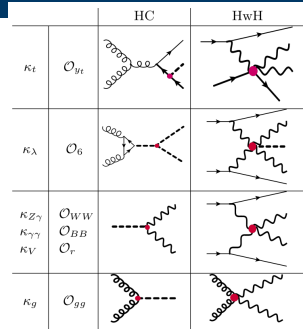
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# HEFTY MODELS POORLY FIT BY DIMENSION 6 SMEFT

HL-LHC could probe the correlations of a single SMEFT operator across different Higgs multiplicities. (Henning, Lombardo, Riemann, and Riva 2019)

These correlations may be broken.



# HEFTY PHYSICS BREAKS CORRELATIONS

(Abu-Ajamieh, Chang, Chen, and Luty 2020)

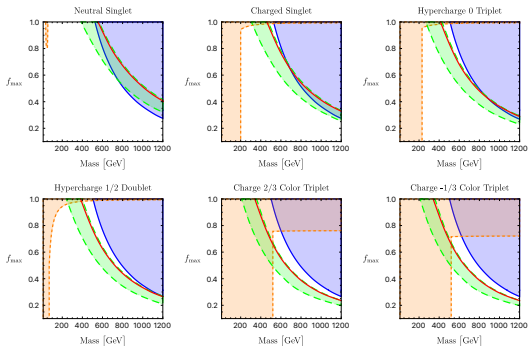
$$\begin{aligned}
 \mathcal{L} = & \mathcal{L}_{\text{SM}} - \delta_3 \frac{m_h^2}{2v} h^3 - \delta_4 \frac{m_h^2}{8v^2} h^4 - \sum_{n=5}^{\infty} \frac{c_n}{n!} \frac{m_h^2}{v^{n-2}} h^n + \dots \\
 & + \delta_{Z1} \frac{m_Z^2}{v} h Z^\mu Z_\mu + \delta_{W1} \frac{2m_W^2}{v} h W^{\mu+} W_\mu^- + \delta_{Z2} \frac{m_Z^2}{2v^2} h^2 Z^\mu Z_\mu + \delta_{W2} \frac{m_W^2}{v} h^2 W^{\mu+} W_\mu^- \\
 & + \sum_{n=3}^{\infty} \left[ \frac{c_{Zn}}{n!} \frac{m_Z^2}{v^n} h^n Z^\mu Z_\mu + \frac{c_{Wn}}{n!} \frac{2m_W^2}{v^n} h^n W^{\mu+} W_\mu^- \right] + \dots \\
 & - \delta_{t1} \frac{m_t}{v} h \bar{t} t - \sum_{n=2}^{\infty} \frac{c_{tn}}{n!} \frac{m_t}{v^n} h^n \bar{t} t + \dots
 \end{aligned}$$

Process	$\times \frac{E^4}{1152\pi^3 v^4}$
$hZ^2 \rightarrow hZ^2$	$[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2 Z \rightarrow Z^3$	$-\frac{\sqrt{3}}{2}[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2 W^+ \rightarrow Z^2 W^+$	$-\frac{1}{2}[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2 Z \rightarrow ZW^+ W^-$	$-\frac{1}{\sqrt{2}}[4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$h^2 W^+ \rightarrow W^+ W^- W^+$	$[-4\delta_{V1} - 2\delta_{V2} + \frac{1}{2}c_{V3}]$
$hZW^+ \rightarrow hZW^+$	$[36\delta_{V1} - 13\delta_{V2} + 2c_{V3}]$
$hW^+ W^+ \rightarrow hW^+ W^+$	$[36\delta_{V1} - 13\delta_{V2} + 2c_{V3}]$
$hW^+ W^- \rightarrow hW^+ W^-$	$[-28\delta_{V1} - 9\delta_{V2} + c_{V3}]$
$hZ^2 \rightarrow hW^+ W^-$	$-\sqrt{2}[32\delta_{V1} - 11\delta_{V2} + \frac{3}{2}c_{V3}]$

Process	$\times \frac{(\frac{1}{3}c_{9-61})m_t E^2}{32\pi^2 v^3}$
$\bar{t}_R t_R \rightarrow Zh^2$	$i\sqrt{N_c}$
$h^2 \rightarrow Z\bar{t}_L t_L$	$i\sqrt{\frac{2N_c}{3}}$
$Zh \rightarrow h\bar{t}_L t_L$	$i\sqrt{\frac{2N_c}{3}}$
$t_R Z \rightarrow t_L h^2$	$\frac{1}{\sqrt{6}}$
$t_R h \rightarrow t_L Zh$	$\frac{1}{\sqrt{3}}$
$\bar{t}_R t_R \rightarrow Z^2 h$	$-\sqrt{N_c}$
$Z^2 \rightarrow \bar{t}_L t_L h$	$-\sqrt{\frac{N_c}{3}}$
$Zh \rightarrow \bar{t}_L t_L Z$	$-\sqrt{\frac{2N_c}{3}}$
$t_R h \rightarrow t_L Z^2$	$-\frac{1}{\sqrt{6}}$
$t_R Z \rightarrow t_L Zh$	$-\frac{1}{\sqrt{3}}$

# HEFTY MODELS PRODUCE A STRONGLY FIRST ORDER EWPT

(Banta 2022)



Orange, dotted:

$K_\gamma$  or  $K_g$  expt. constraints

Blue, solid:

perturb. unitarity

Green, dashed:

strongly first-order phase transition

Red, solid

lower bound for stochastic gravitational wave background @ LISA

$$\frac{S_3}{T_n} \approx 140$$

$$\frac{v_n}{T_n} \gtrsim 1$$

$$T_n > 10 \text{ GeV}$$

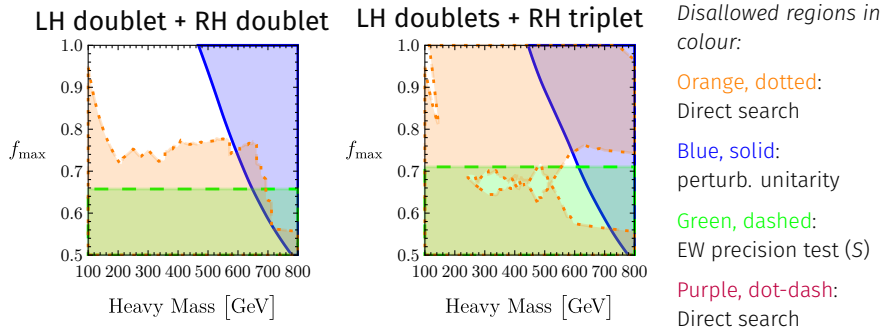
$$\alpha = \left( \Delta V_{\text{eff}} - \frac{T_n}{4} \Delta \frac{dV_{\text{eff}}}{dT} \right) \bigg/ \frac{g_* \pi^2 T_n^4}{30},$$

$$\beta/H_* = \frac{dS_3}{dT} \bigg|_{T_n} - \frac{S_3}{T_n}.$$

$$\log(\beta/H_*) \lesssim 1.2 \log \alpha + 8.8$$







# HEFTY (CUSTODIALLY SYMMETRIC) FERMIONS

(Banta, Cohen, Craig, Lu, and Sutherland 2021)



Plots: fraction of mass from Higgs ( $f_{\max}$ ) vs. total mass.  
Assuming no mass splitting among components of multiplet

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