

DPF 2024



Latest topics in particle physics
and related issues in
astrophysics and cosmology

Exploring the Frontiers: Experimental Endeavors in CLFV

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Outline

- What is Charged Lepton Flavor Violation (CLFV) and why it is interesting?
- How does it fit into the search for Physics Beyond the Standard Model (BSM)?
- What are the experiments that have been done, are being done now, and will be done in the future?



What is CLFV?

- A transition among μ , e , τ that doesn't conserve lepton family number
- **Muon decay:** $\mu \rightarrow e \nu \nu$ has two neutrinos
- CLFV is predicted in (for example) $\mu \rightarrow e \gamma$ or $\mu \rightarrow 3e$ with **NO neutrinos**
- Similar τ decays: $\tau \rightarrow \mu, e + X$ (and no neutrinos)
- In neutral K system, $K \rightarrow \mu, e$, and charged B, K to dileptons
- $H \rightarrow \mu, e, \tau + X$



Family number: not a fundamental Symmetry

- Family number is not a symmetry of Lagrangian like the charge
 - ✓ Quark family number is violated in weak decays in the CKM matrix
 - ✓ We know it's violated in neutral leptons: neutrino oscillations (PMNS matrix)
- **But we've never seen it in charged leptons.**
- Most “natural” new physics models predict we should have seen it already, even if small.

Why haven't we?



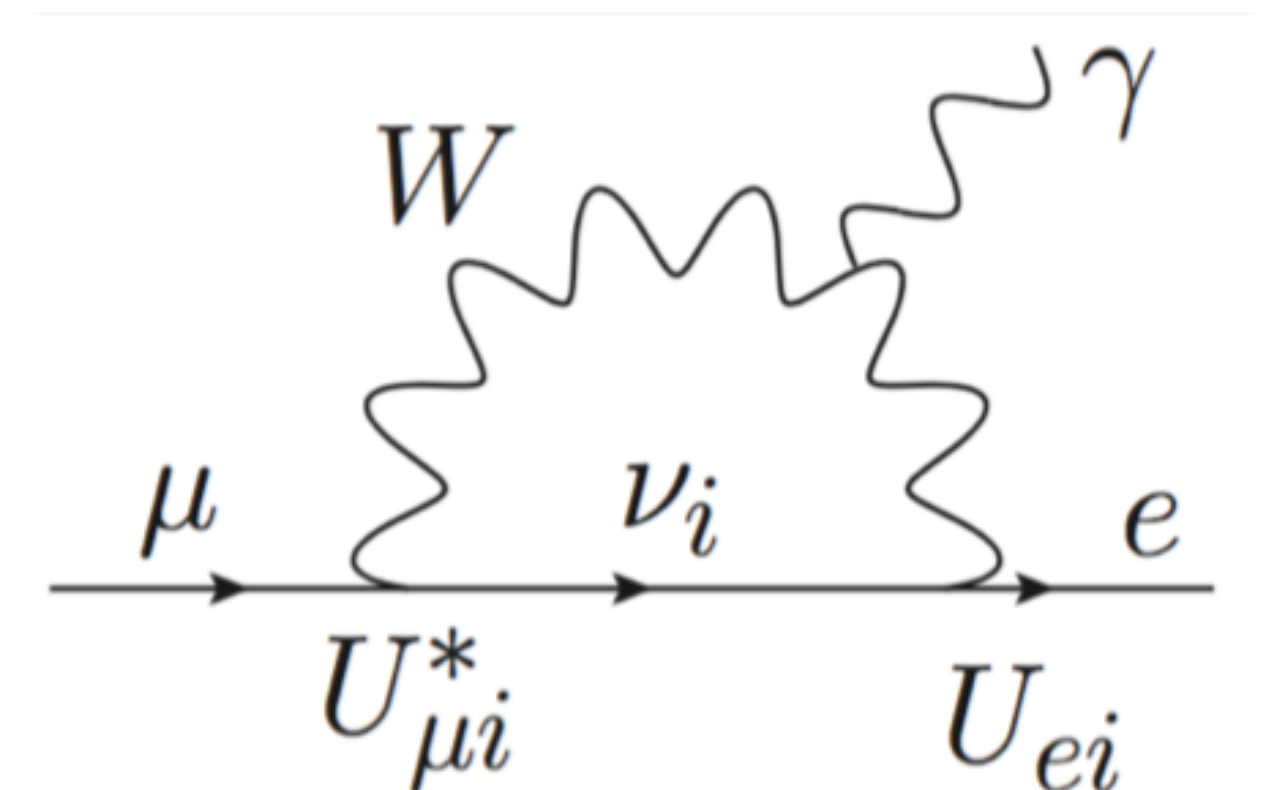
CLFV in the Standard Model

- The Standard Model doesn't predict neutrino oscillations nor include neutrino masses
- We need to extend the SM

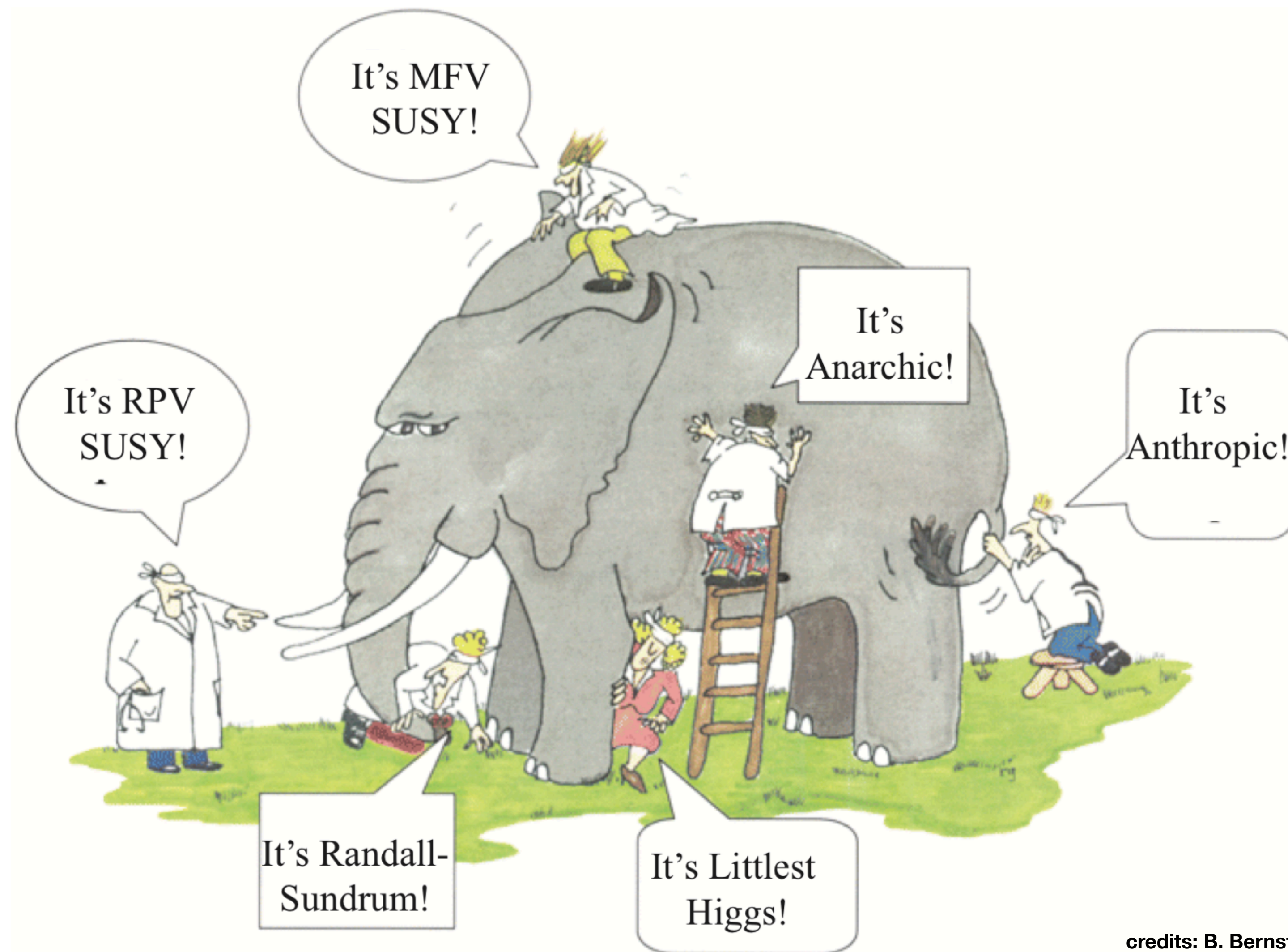
$$\begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix}$$

- Charged leptons: SM background free search!

$$\text{Br}(\mu \rightarrow e\gamma) = \frac{3\alpha}{32\pi} \left| \sum_{i=2,3} U_{\mu i}^* U_{ei} \frac{\Delta m_{1i}^2}{M_W^2} \right|^2 < 10^{-54}$$



Discovery of Charged Lepton Flavor Violation is New Physics! violation of a (so-far) conservation law

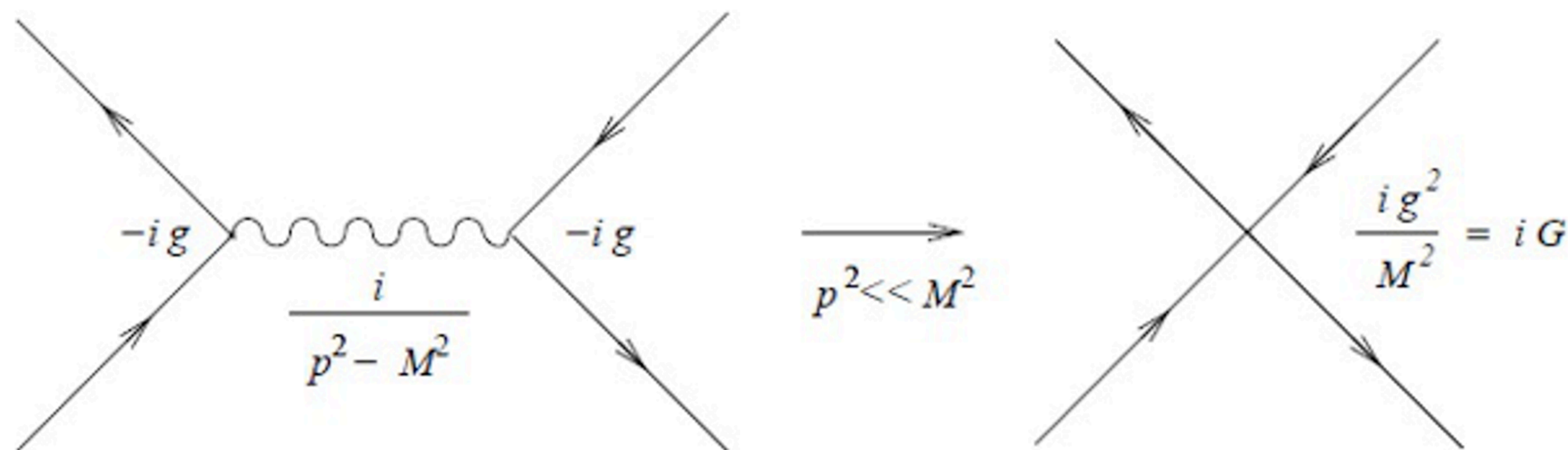




Two Ways to Look at CLFV

1. What is the mass scale of new physics?

- Muon Lifetime and Size of G_F told us about a mass scale M_W ; $\mathcal{L} = \frac{\text{couplings}}{\Lambda^n}$



2. What are the symmetries and flavor structure of new physics?

- the mass scale is not everything; you don't know the couplings or the sizes of mixing angles (the “Yukawas”)



Dedicated Muon beam, colliders and B factory

- Can make very intense **muon beams**
- High statistics, focused experiments to look for very rare processes
- But muon beams can't study **Higgs**, τ , **B** and **J/ψ decays** directly
 - Colliders win there: LHC and BELLE-II
 - Large production cross-section
 - Well defined initial state in the *B*-factory case



Search for rare μ processes

- $\mu \rightarrow e\gamma$
- Oldest studied, most powerful limits and best experiment so far: MEG at PSI
- $\mu\text{-N} \rightarrow e\text{-N}$
- Muon to electron conversion: muon converts in field of nucleus, leaving nucleus unchanged (coherent process)

$$R_{\mu e} = \frac{\Gamma(\mu^- + N \rightarrow e^- + N)}{\Gamma(\mu^- + N \rightarrow \text{all captures})}$$

- Experiments upcoming at Fermilab and at JPARC
- $\mu \rightarrow eee$
- Ambitious: coming experiment Mu3e at PSI
- $\mu\text{-N} \rightarrow e^+\text{N}'$
- Sensitive also to Majorana neutrinos. Looking at in Mu2e and COMET phase I



μ -processes: comparison

Process	Signature	Pros	Cons
$\mu \rightarrow e\gamma$	<ul style="list-style-type: none"> • monochromatic e and γ • same vertex • same time • emitted back-to-back 	<ul style="list-style-type: none"> • clean signature • using μ^+, we can stay away from the μ-capture products 	<ul style="list-style-type: none"> • background scales as (beam-intensity)²
$\mu \rightarrow eee$	<ul style="list-style-type: none"> • same vertex • $\Sigma p = 0$ • invariant mass = m_μ 	<ul style="list-style-type: none"> • using μ^+, we can stay away from the μ-capture products 	<ul style="list-style-type: none"> • background scales as (beam-intensity)²
$\mu^-N \rightarrow e^-N$	<ul style="list-style-type: none"> • 1 monochromatic e^- at large energy ($\sim m_\mu$) 	<ul style="list-style-type: none"> • clean signature wo problems from accidental backgrounds 	<ul style="list-style-type: none"> • we are forced to use μ^- • μ-capture products generates lot of activity in the detectors
$\mu^-N \rightarrow e^+N'$	<ul style="list-style-type: none"> • 1 monochromatic e^+ at large energy (~ 90 MeV) 	<ul style="list-style-type: none"> • clean signature wo problems from accidental backgrounds 	<ul style="list-style-type: none"> • we are forced to use μ^- • μ-capture products generates lot of activity in the detectors

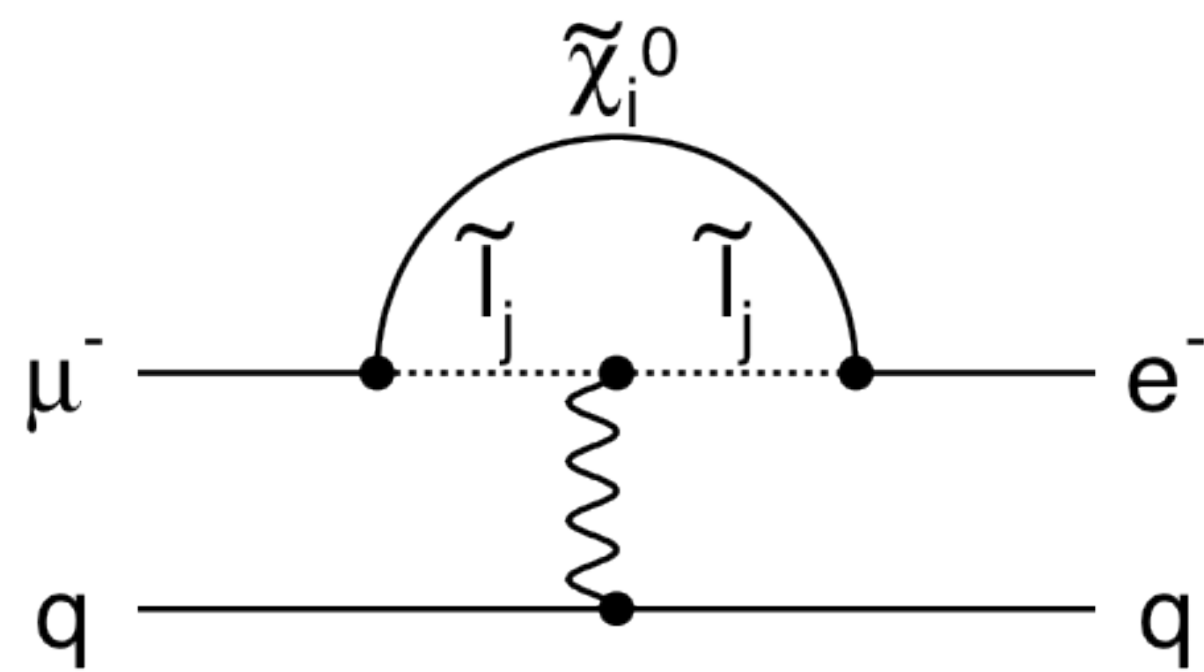


Outline

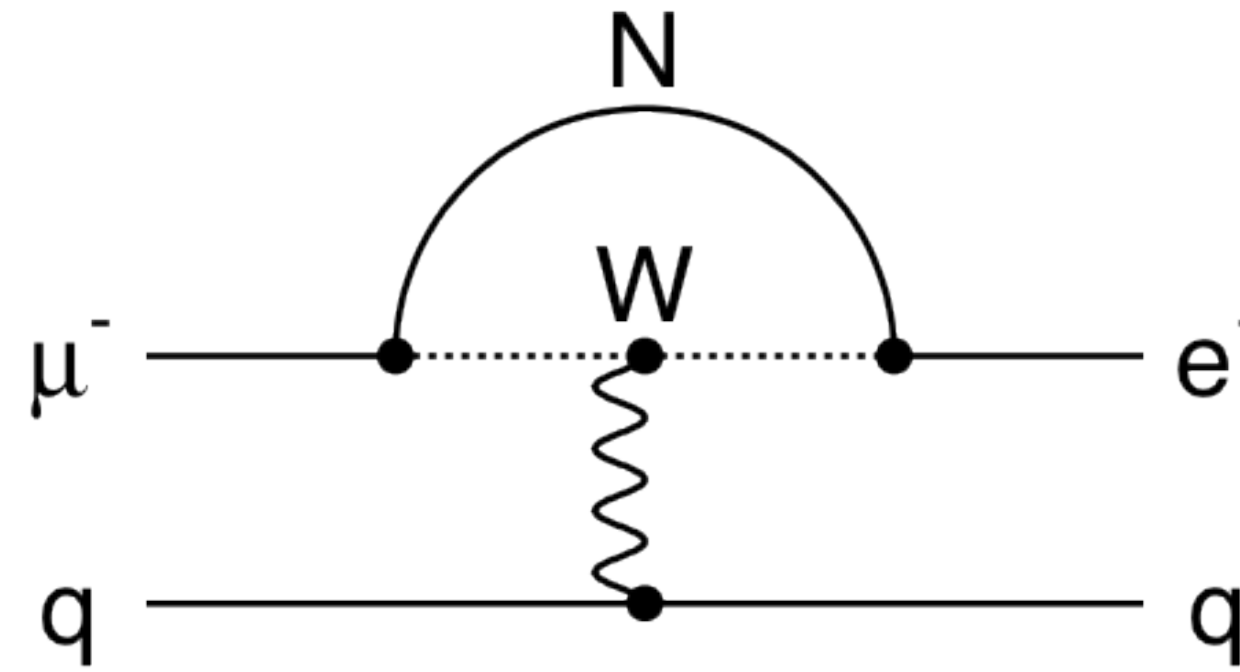
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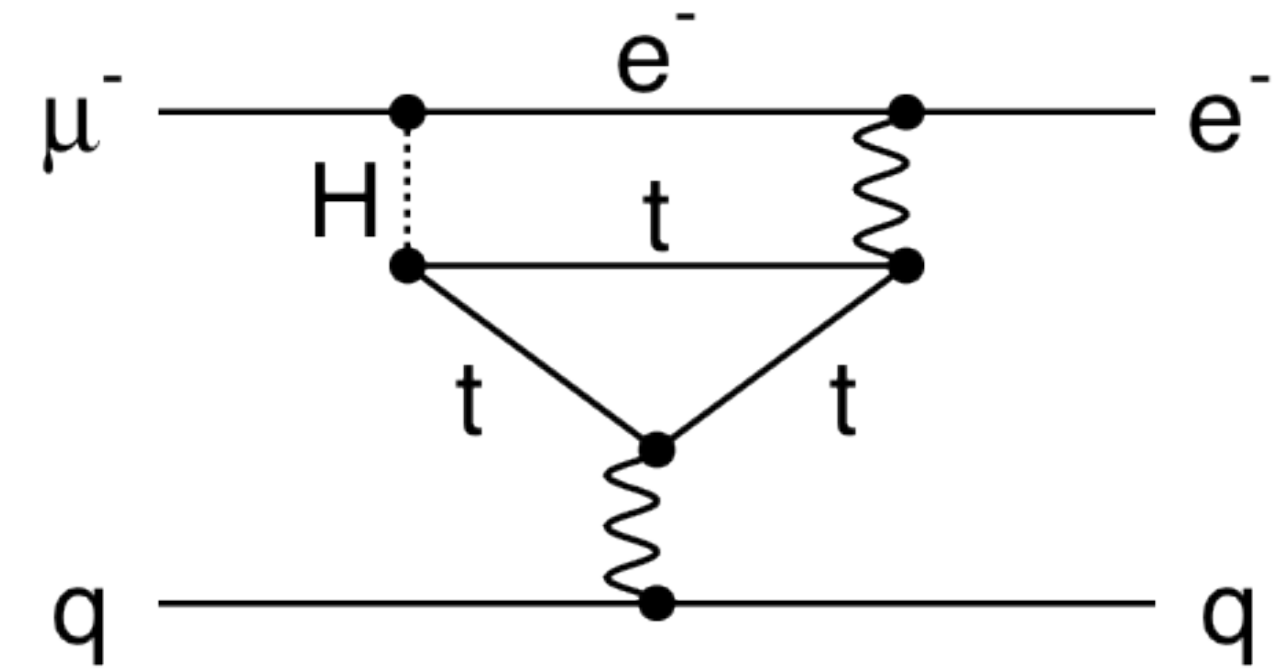
Possible contributions to CLFV



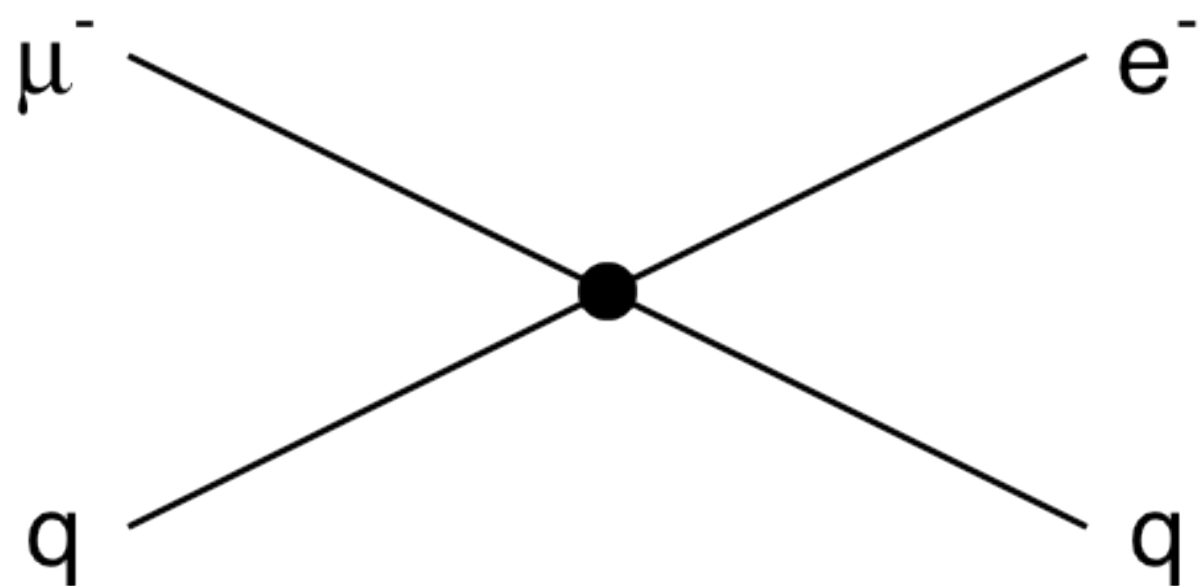
SUSY



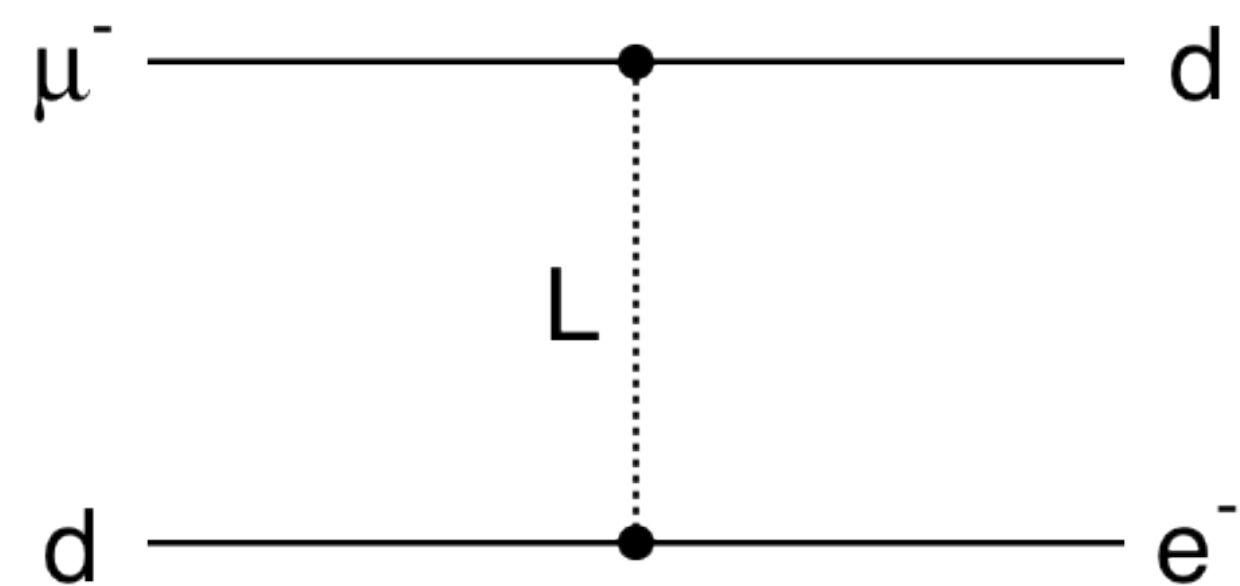
Heavy neutrino



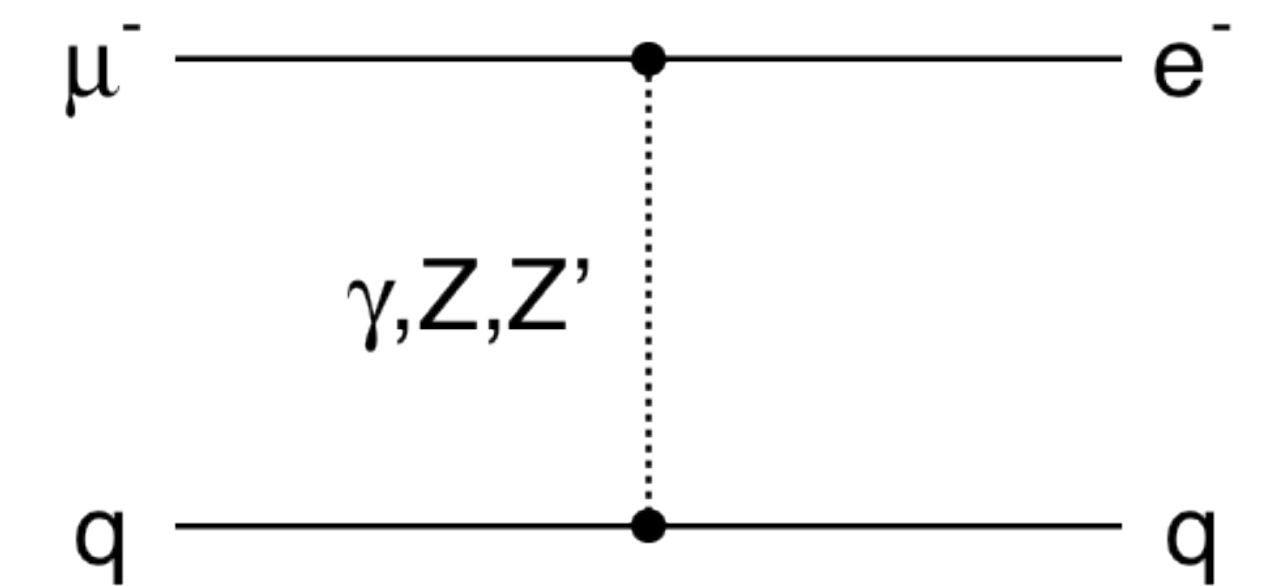
Two Higgs doublet



Compositeness



Leptoquarks



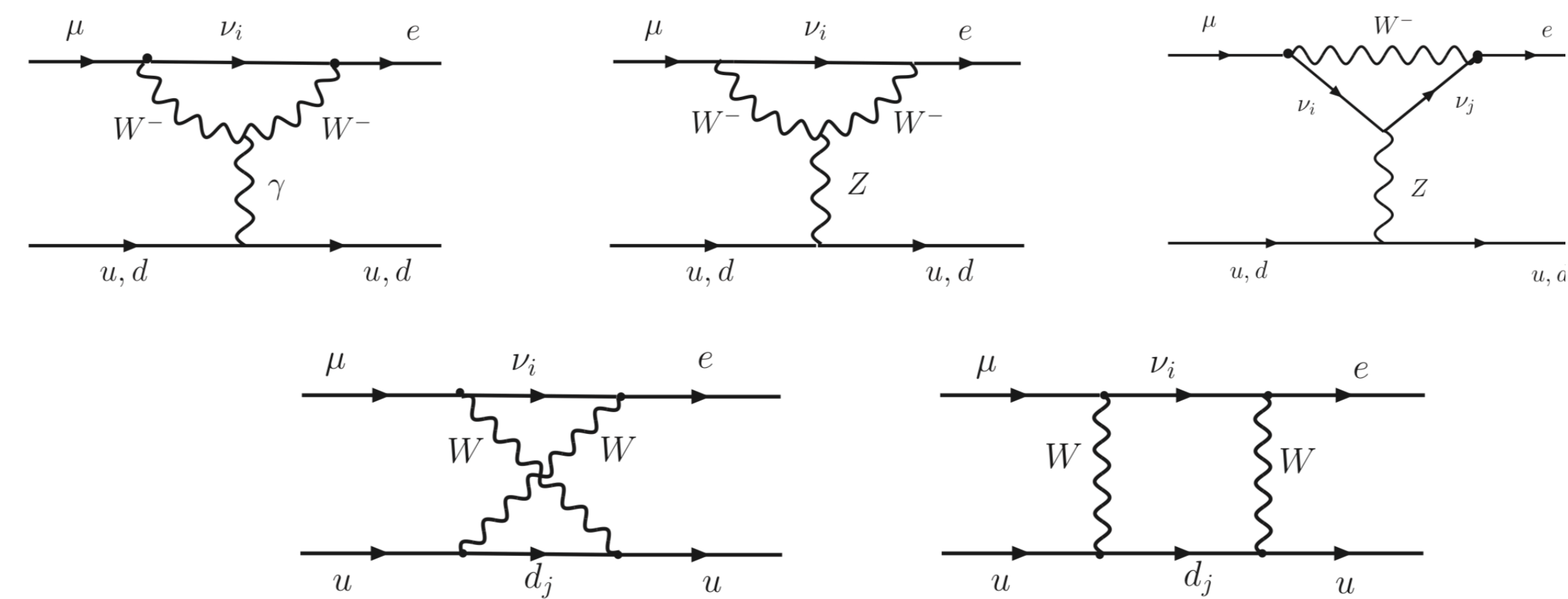
Z' / anomalous couplings

- Any signal observation would be an unambiguous sign of new physics

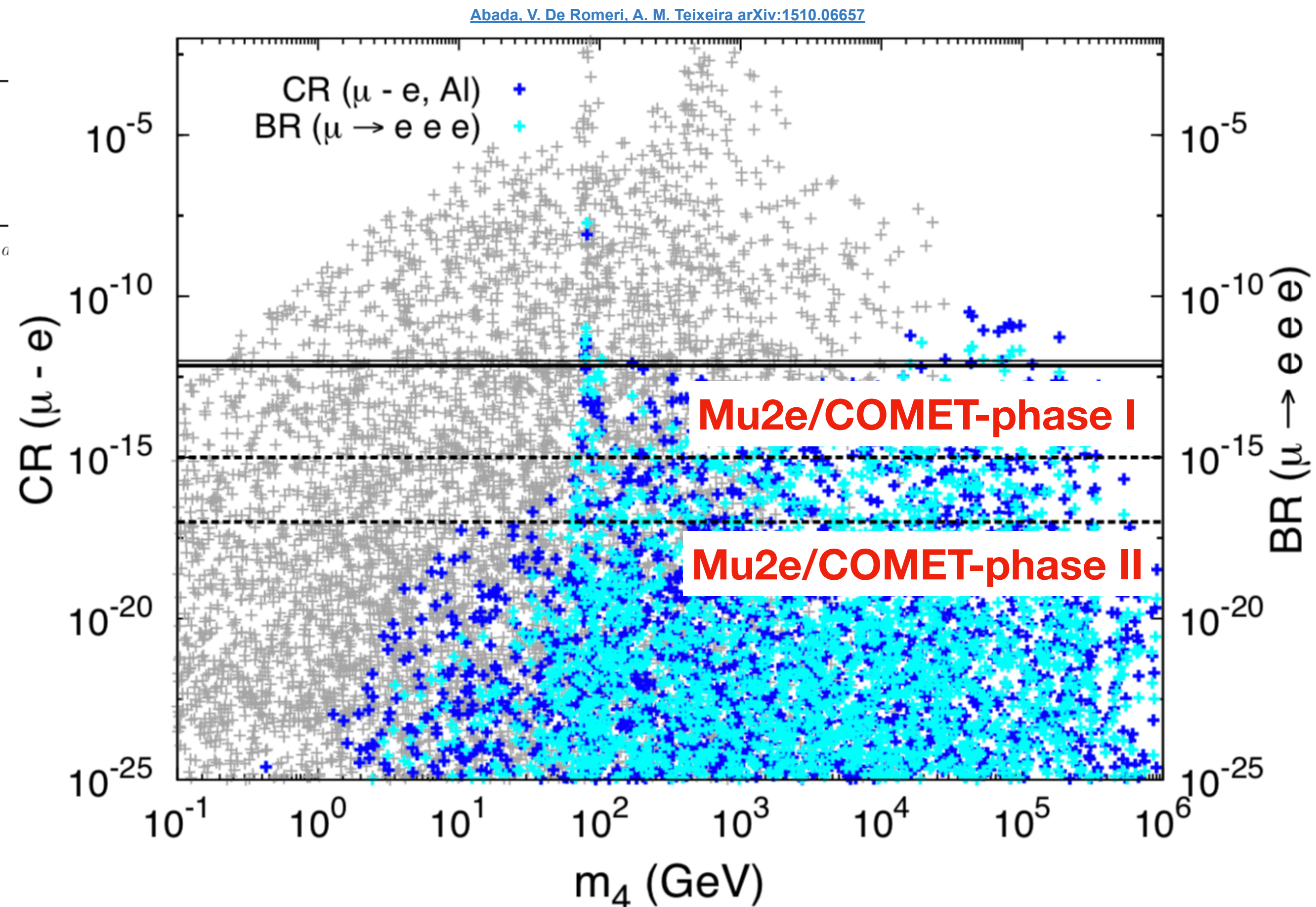


Sterile neutrinos and CLFV

- Effect on Lepton Universality and W and Z vertex
- Sterile neutrino can contribute to CLFV processes



- Contributions to $\mu 2e$ conversion
- $i=1,2,3,4$ while $j=1,2,3$

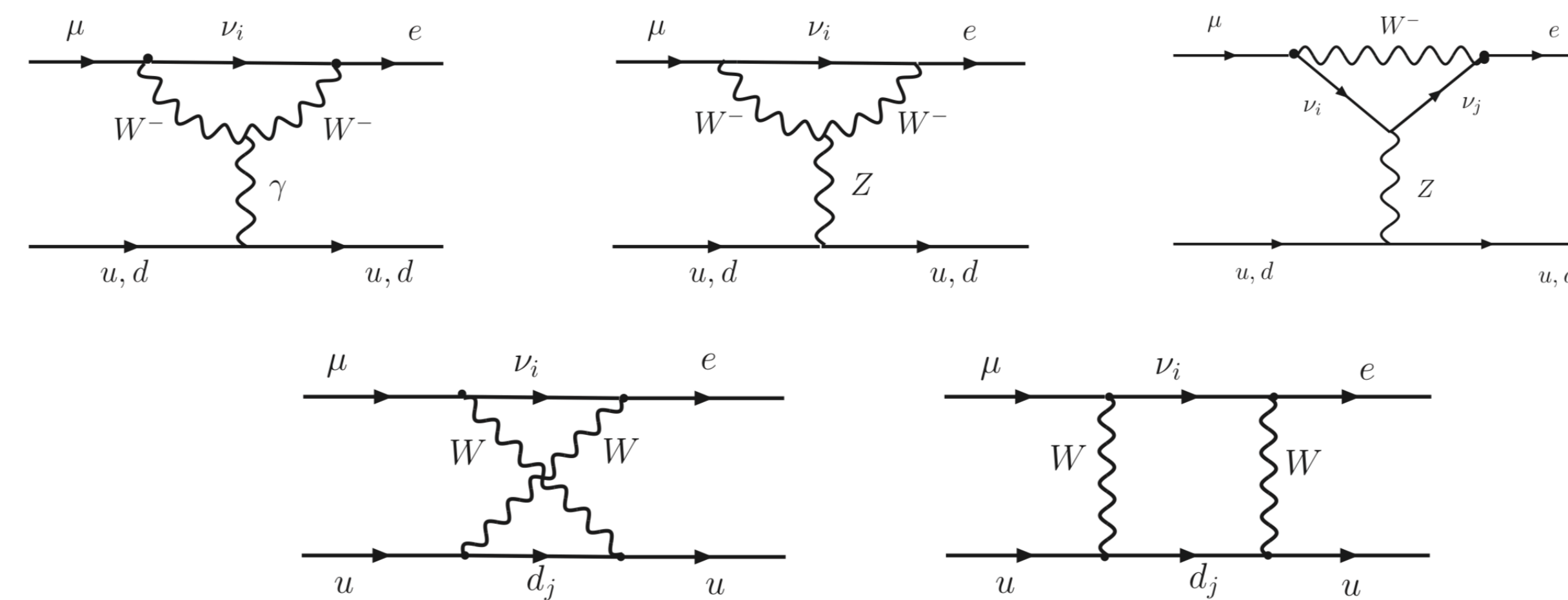




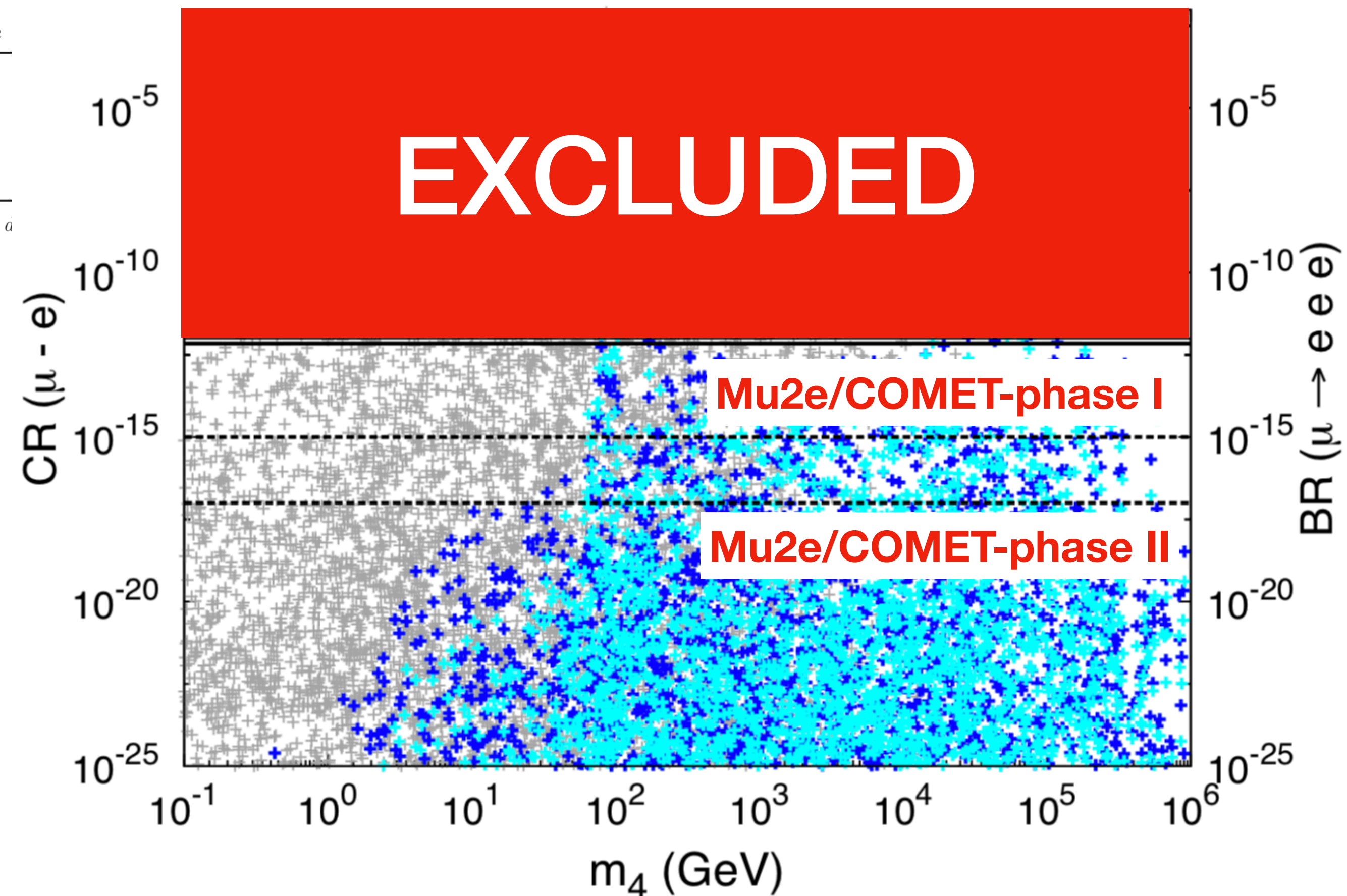
Sterile neutrinos and CLFV

- Effect on Lepton Universality and W and Z vertex
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Abada, V. De Romeri, A. M. Teixeira arXiv:1510.06657



- Contributions to $\mu 2e$ conversion
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Constrains on Higgs

- **Muons** provide strong limits on LFV Higgs decays for 1st and 2nd generations
- **But not if tau involved:** 1st-3rd or 2nd-3rd

$$\begin{pmatrix} Y_{ee} & Y_{e\mu} & Y_{e\tau} \\ Y_{\mu e} & Y_{\mu\mu} & Y_{\mu\tau} \\ Y_{\tau e} & Y_{\tau\mu} & Y_{\tau\tau} \end{pmatrix}$$

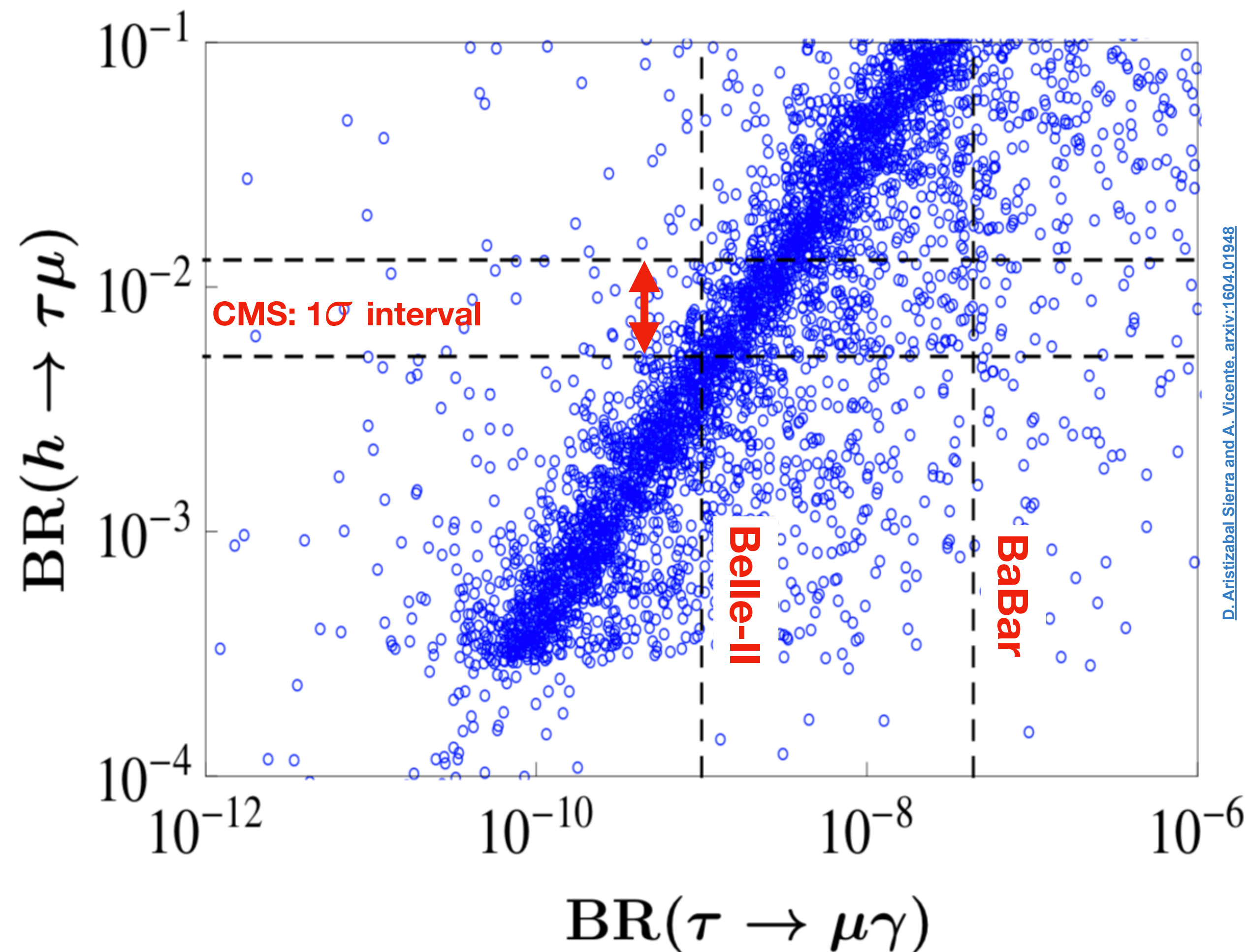
[Hiroshi Okada, et al.: arxiv.org/pdf/1604.01948.pdf](https://arxiv.org/pdf/1604.01948.pdf)



Two Higgs doublet models

- Induces flavor violation in both quarks and leptons
- coupling constants $\rho_{ij} \propto \sqrt{m_i m_j}$
- automatically suppresses 1st and 2nd generation coupling!

$$\text{BR}(h \rightarrow \tau\mu) = \frac{m_h}{8\pi\Gamma_h} (|g_{h\tau\mu}|^2 + |g_{h\mu\tau}|^2)$$



D. Aristizabal Sierra and A. Vicente, arxiv:1604.01948



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MEG: $\mu \rightarrow e\gamma$

- 2-body decay at rest in $e^+ + \gamma$:
- monochromatic products
- angular correlation
- timing correlation
- Current best limit:

$$B(\mu \rightarrow e\gamma) < 4.2 \times 10^{-13} \text{ @ } 90\% \text{C.L.}$$

μ^+ beam

stopped beam of $3 \times 10^7 \mu / s$
in a 205 μm polyethylene
target

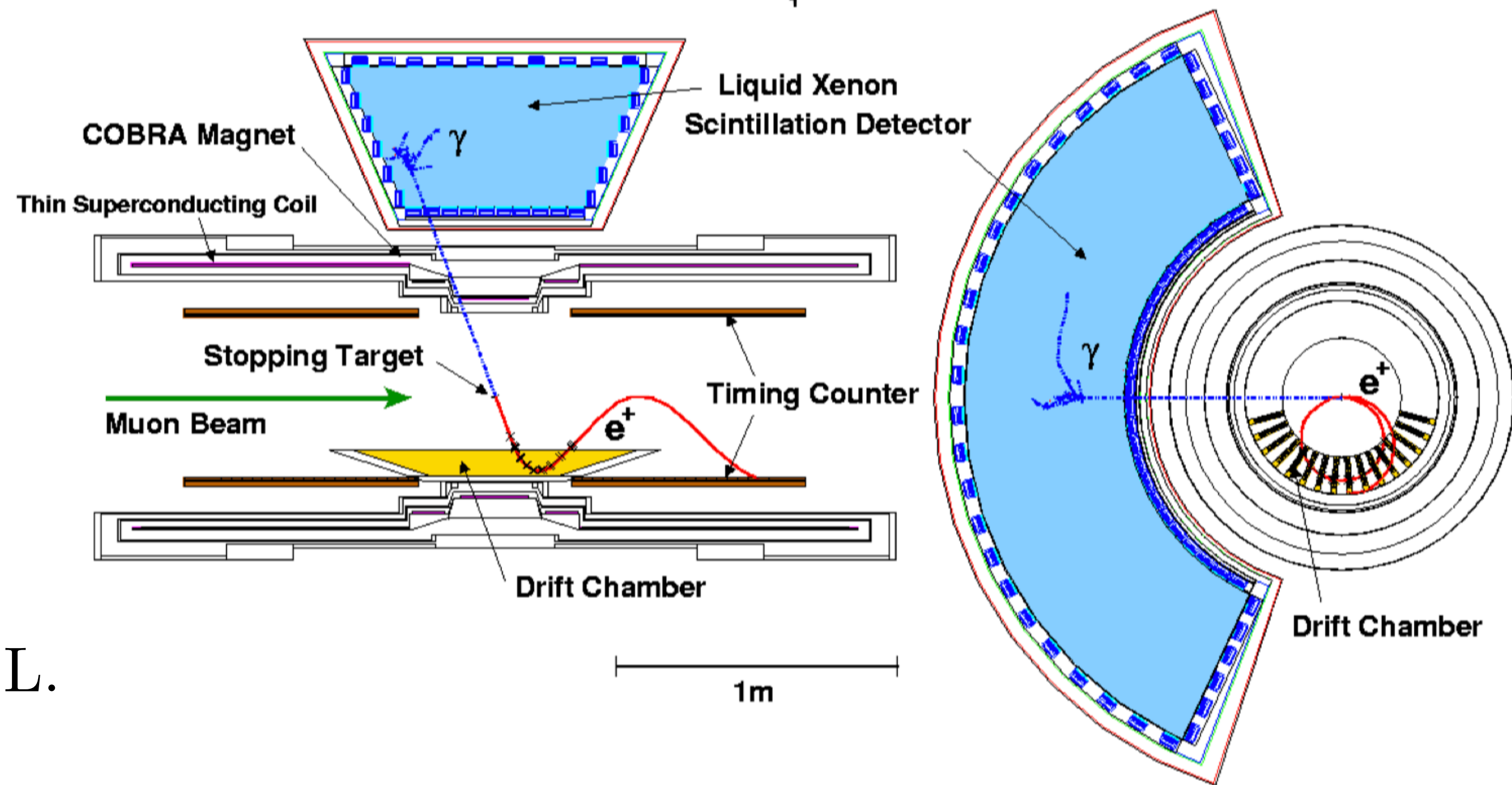
e^+ detection

magnetic spectrometer composed
by solenoidal magnet and drift
chambers for momentum
plastic
counters for timing

γ detection

Liquid Xenon detector based on
scintillation light

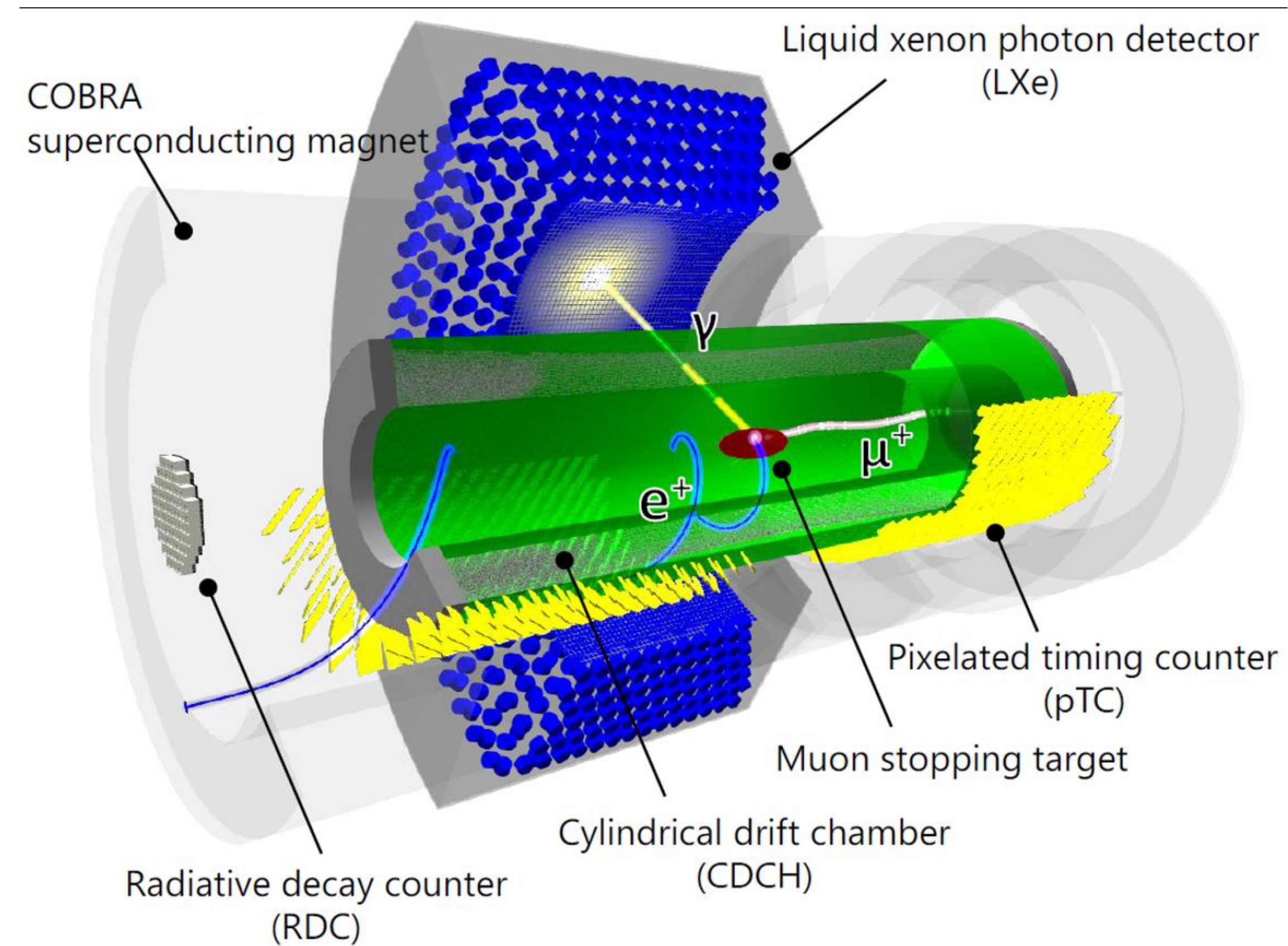
- fast: 4 / 22 / 45 ns
- high LY: $\sim 0.8 \times \text{NaI}$
- short X_0 : 2.77 cm



MEG II

- MEG II: 2021~2026, aims at 4×10^{-14}
- Detector resolution and efficiency x2
- Beam intensity x2: $3 \times 10^7/s \rightarrow 5 \times 10^7/s$.
- Can achieve: $10^8/s$.

	MEG	MEG II (design)	MEG II (Meas.)
ΔE_e [keV]	380	130	90
$\Delta\theta_e / \Delta\phi_e$ [mrad]	9/9	7.0/5.5	8/7
e^+ Eff. [%]	40	70	65
ΔE_γ [%] (deep/shallow)	1.7/2.4	1.0/1.1	1.7/2.0
Δpos_γ [mm]	5	2.4	2.5
γ Eff. [%]	60	70	60
$\Delta t_{e\gamma}$ [ps]	120	85	80





Mu3e: $\mu \rightarrow eee$

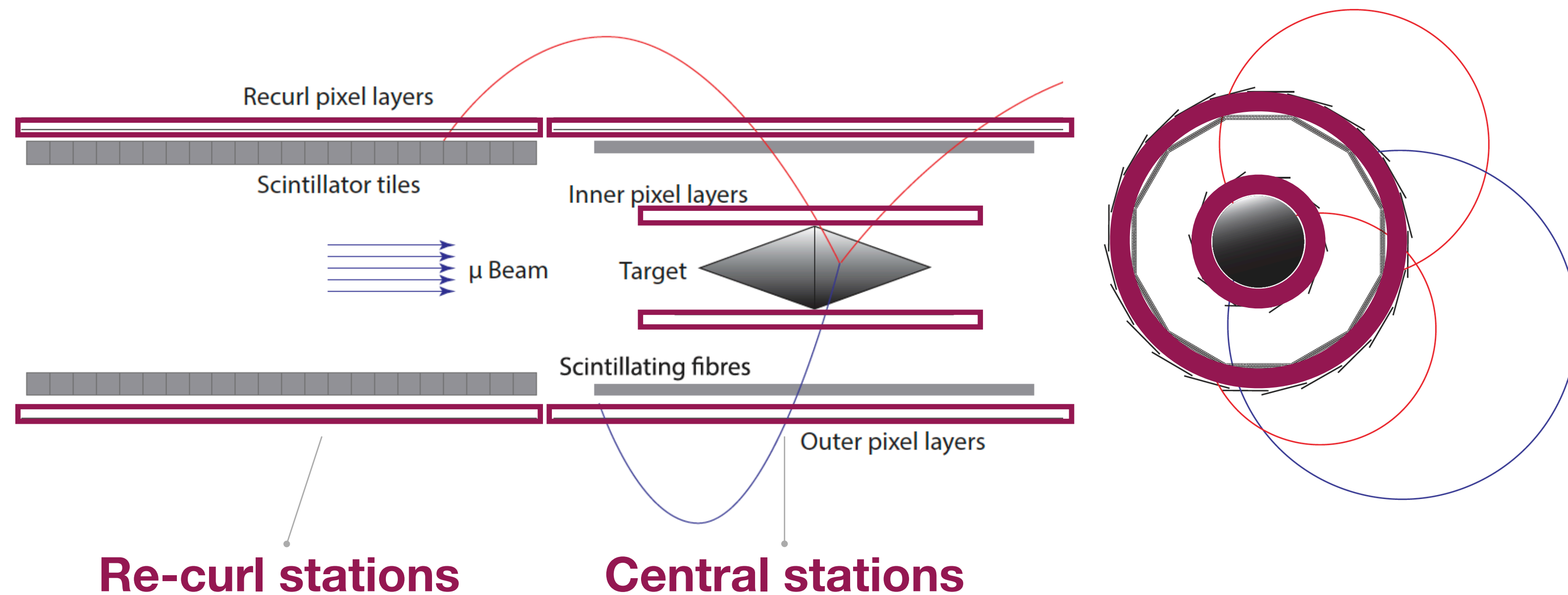
- 3-body decay at rest in $e^+e^-e^+$:

- $E=m_\mu$

- $\sum p_i = 0$

- Current bet limit:

$$B(\mu \rightarrow eee) < 1 \times 10^{-12} \text{ @ } 90 \% \text{ C.L.}$$



μ^+ beam

stopped beam of $O(10^8 \mu/s)$
in a Mylar double hollow
cone ($L=100 \text{ mm}$, $R=19 \text{ mm}$)

timing detection

fibers $O(1 \text{ ns})$, tiles $O(0.1 \text{ ns})$

pixel tracker

pixel dimension: $80 \times 80 \mu\text{m}^2$,
thickness: $50 \mu\text{m}$ (0.01% X_0 /
layer), time resolution: $< 20 \text{ ns}$



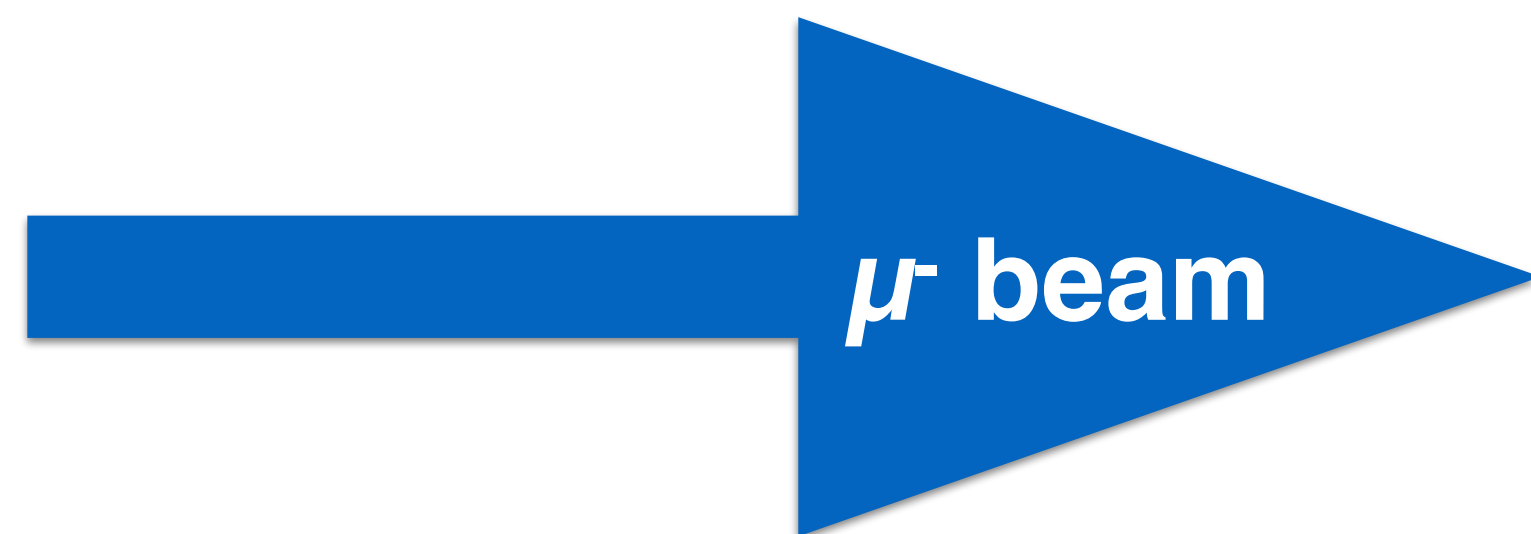
How to search for a $\mu 2e$ conversion?

1. We need to produce lot of μ
 - ✓ $\sim 10^{18}$ μ to meet the sensitivity goal
2. We need to stop the μ in a target
 - ➔ slow moving μ are preferable
3. We need to detect an e^- @ $E=105$ MeV
 - ✓ accuracy $< 1\%$ to provide signal-to-background separation



How to search for a $\mu 2e$ conversion?

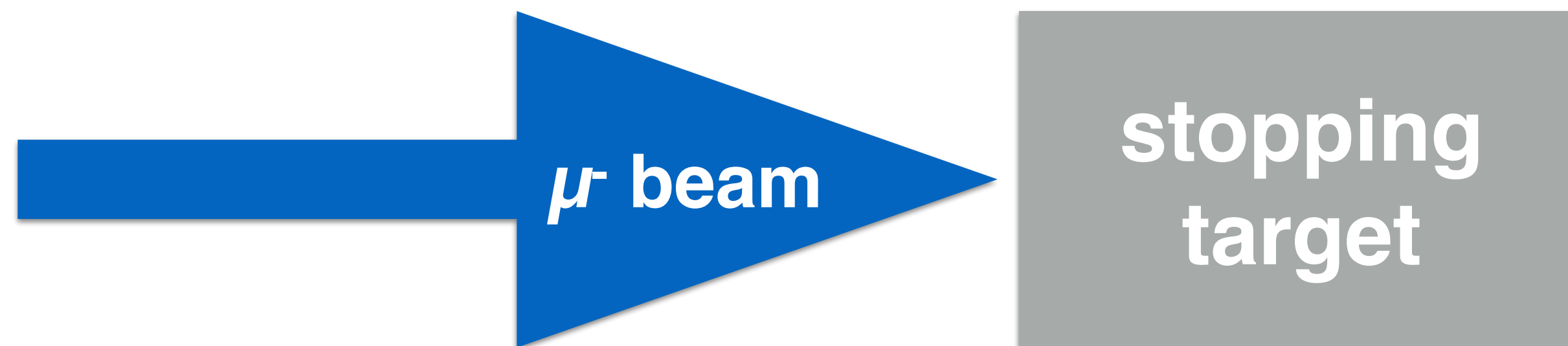
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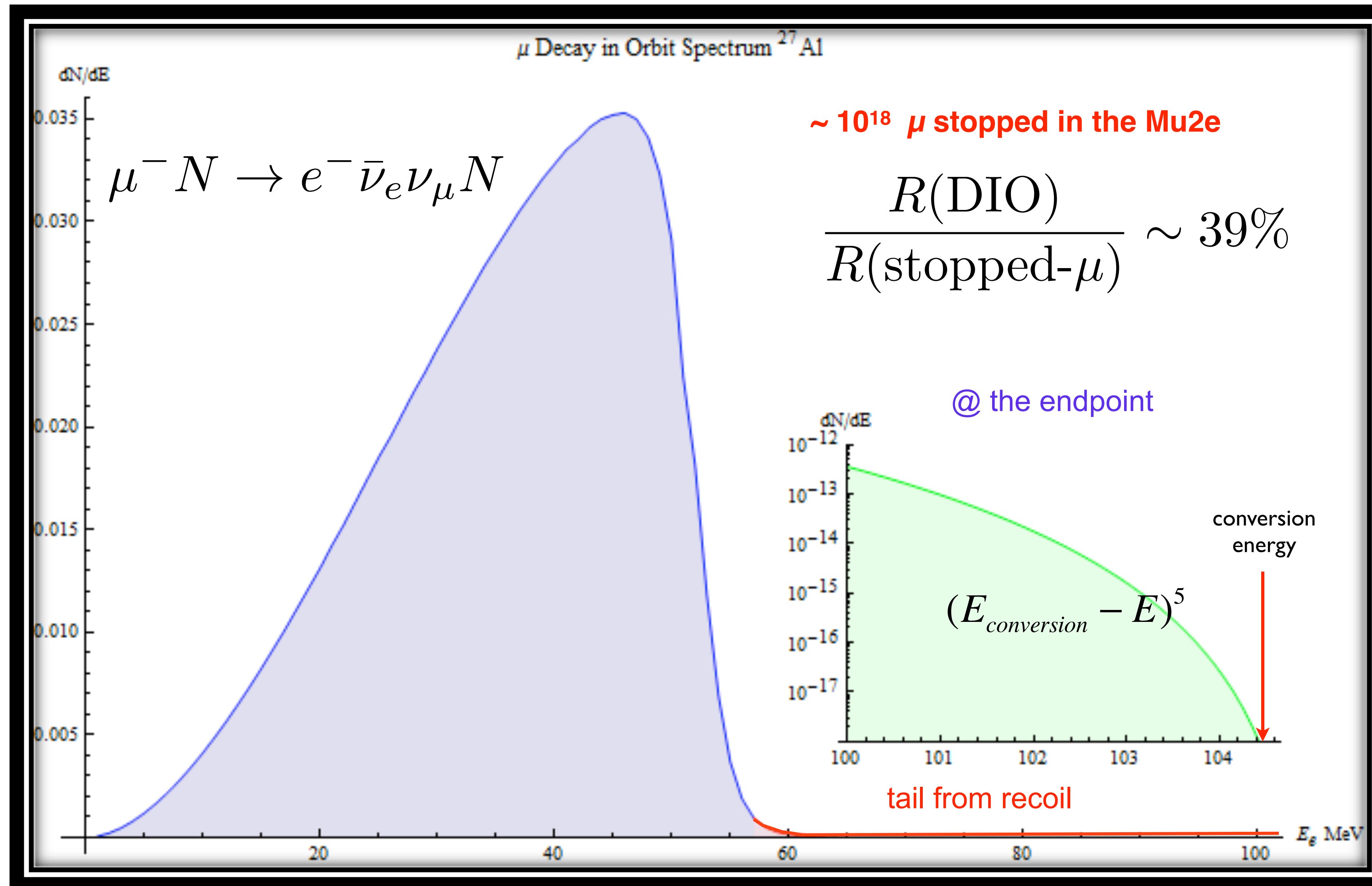
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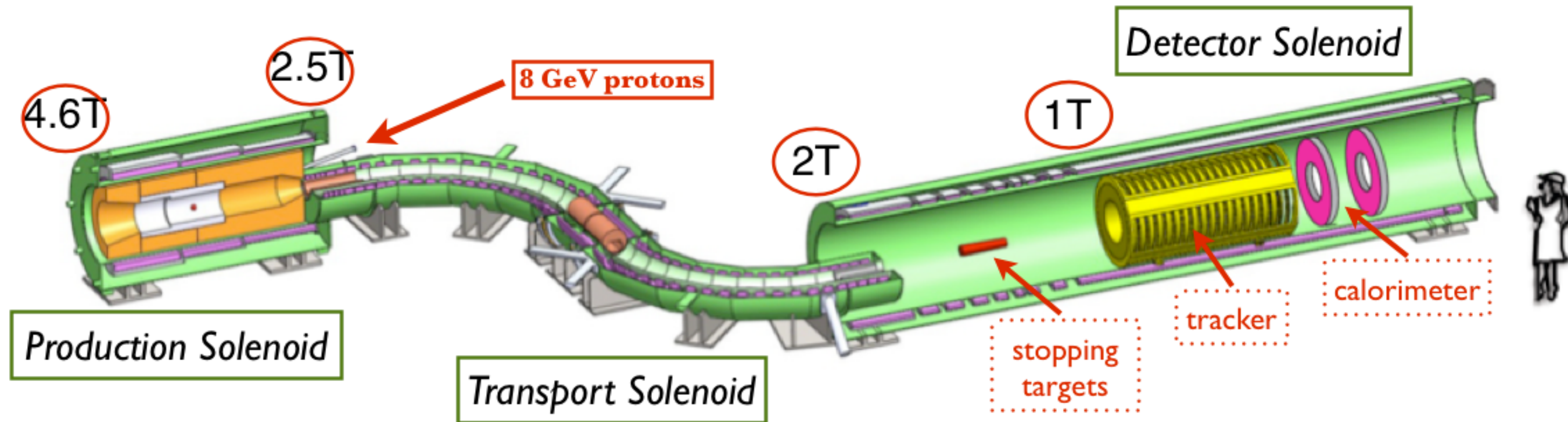
μ -conversion background: μ decay-in-orbit



R. Szafron, A. Czarnecki <https://doi.org/10.1016/j.physletb.2015.12.008>



Muon conversion: $\text{Mu}2\text{e}$ @ Fermilab



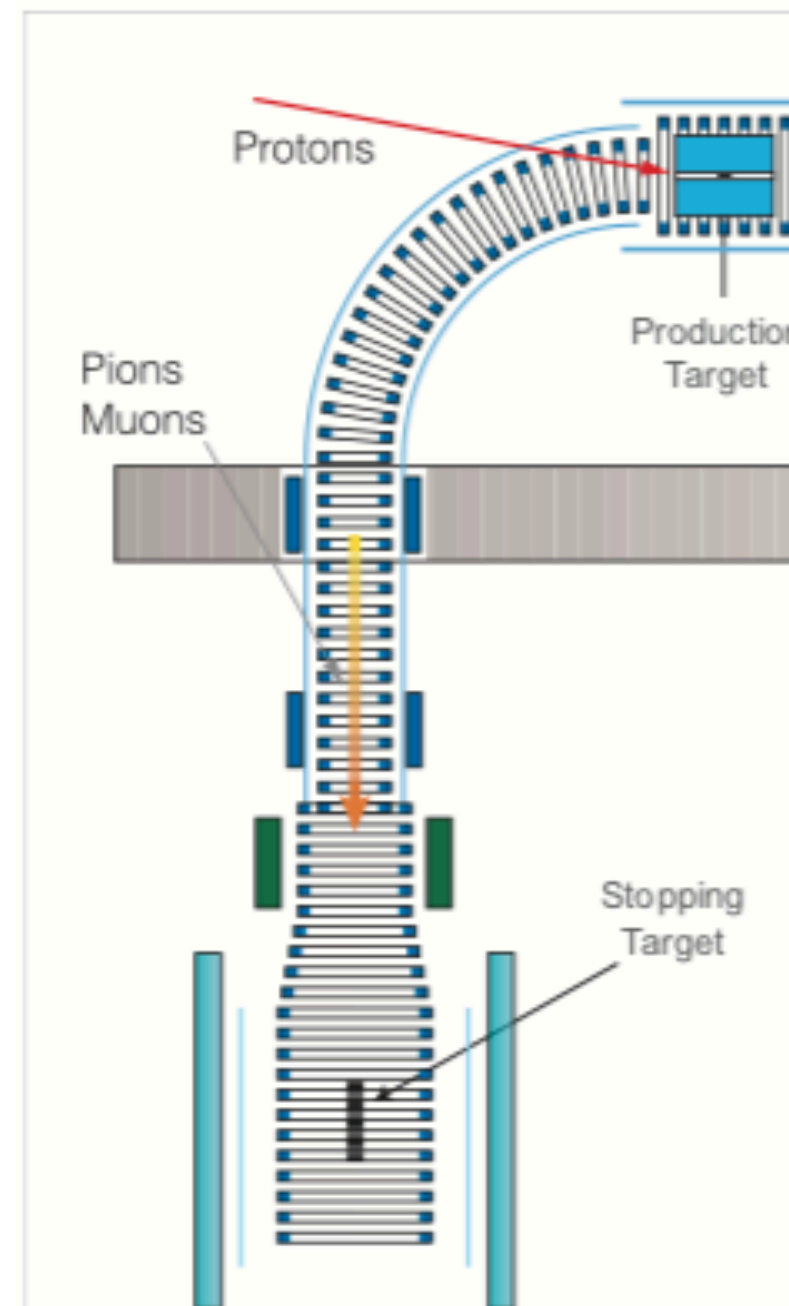
- Target protons at 8 GeV inside superconducting solenoid at 8kW
- Focus muons and guide through S-shaped region to Al stopping target
- Stop muons, let them fall into a “1s” state
- Check for outgoing electrons



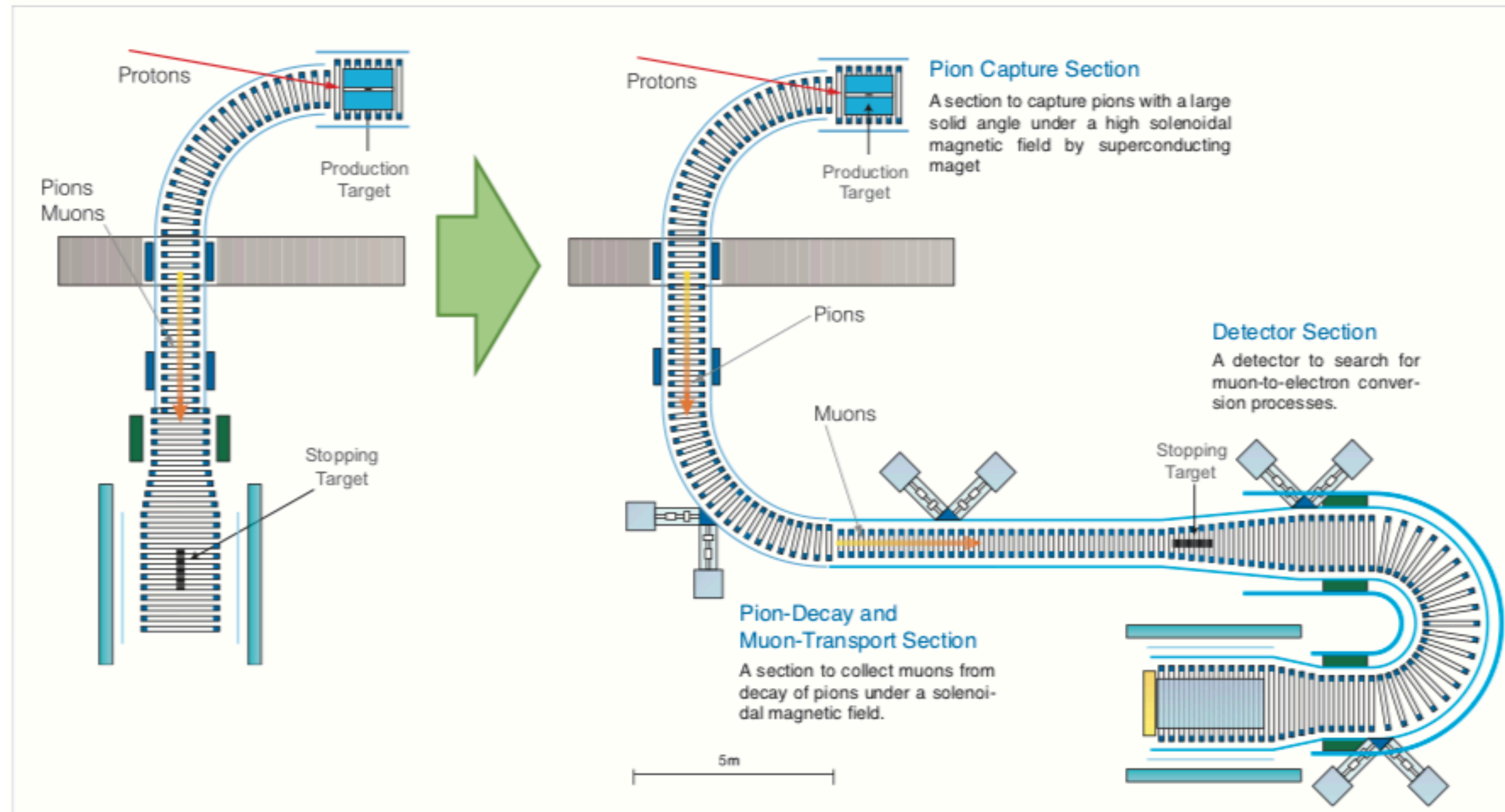
Muon conversion: COMET @ JPARC

- Stage I aims for x100 improvement:
- cylindrical drift chamber surround the stopping target
- scint and Cherenkov hodoscopes for Triggering
- Stage II ~ Mu2e
- important difference is the C-shape solenoid

COMET Phase-I



COMET Phase-II



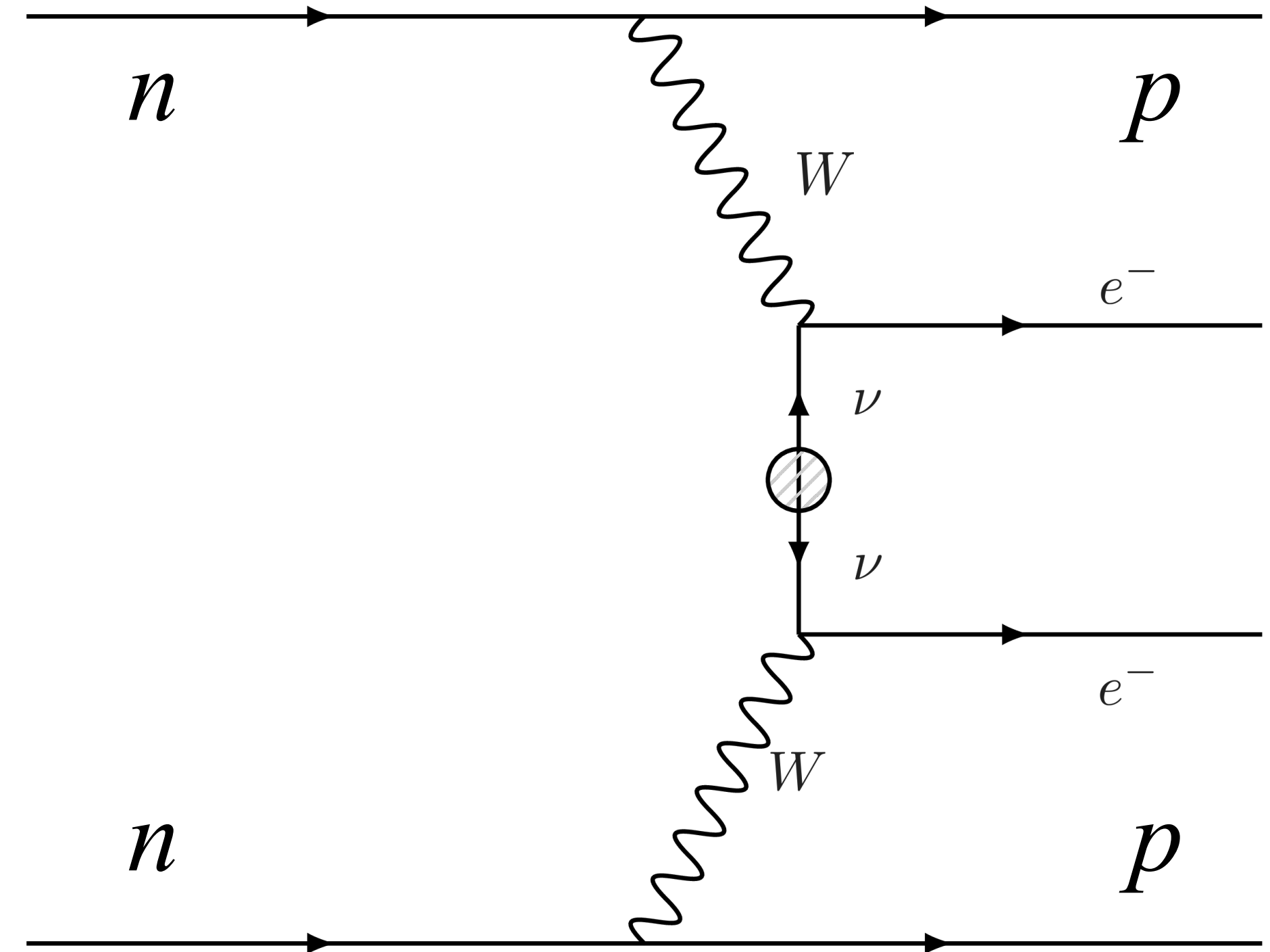
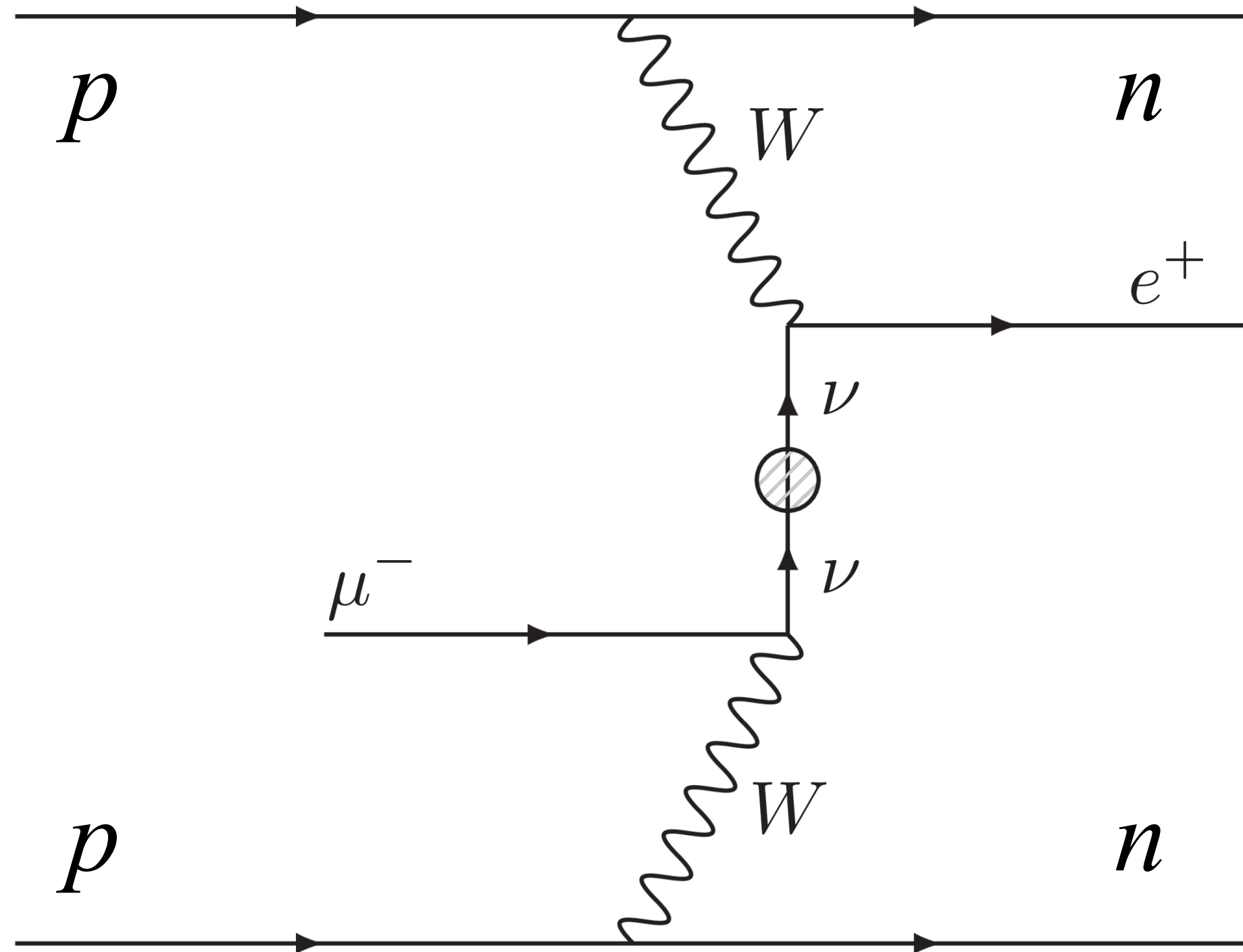


μ^- to e^+ conversion search

- Closely related to $0\nu 2\beta$
- or a leptoquark (not shown), ...

More in Michael Mackenzie's talk!

$0\nu 2\beta$





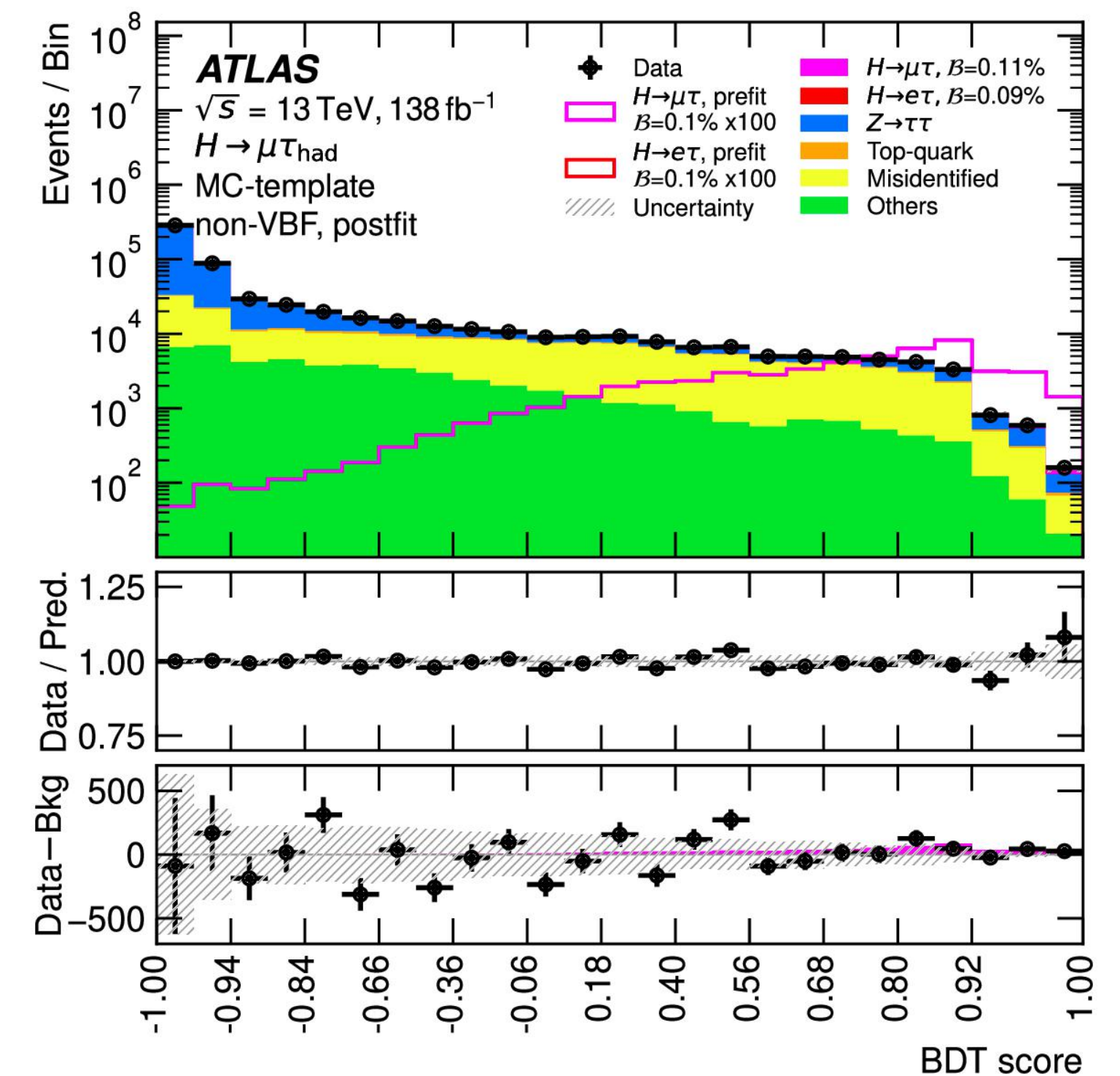
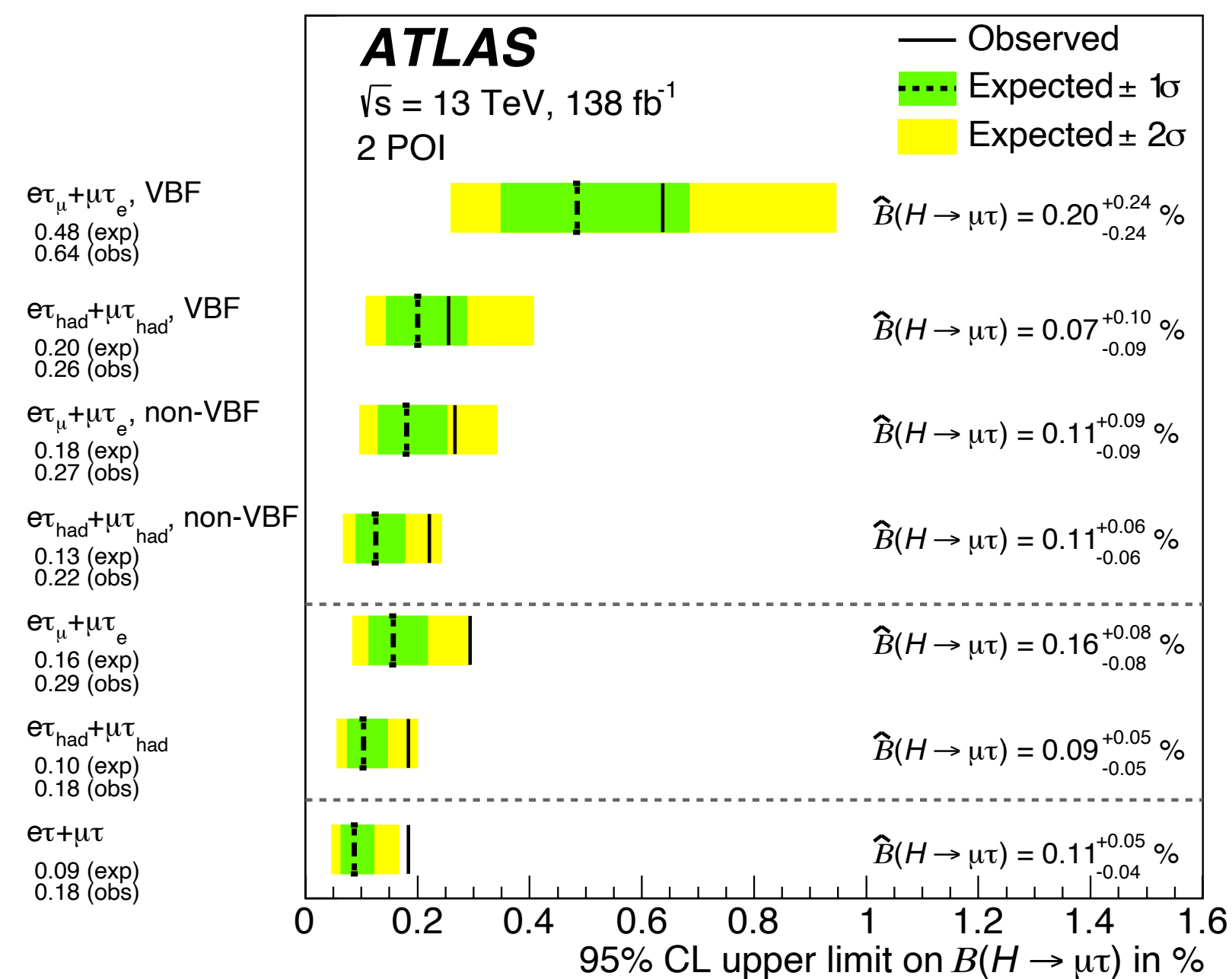
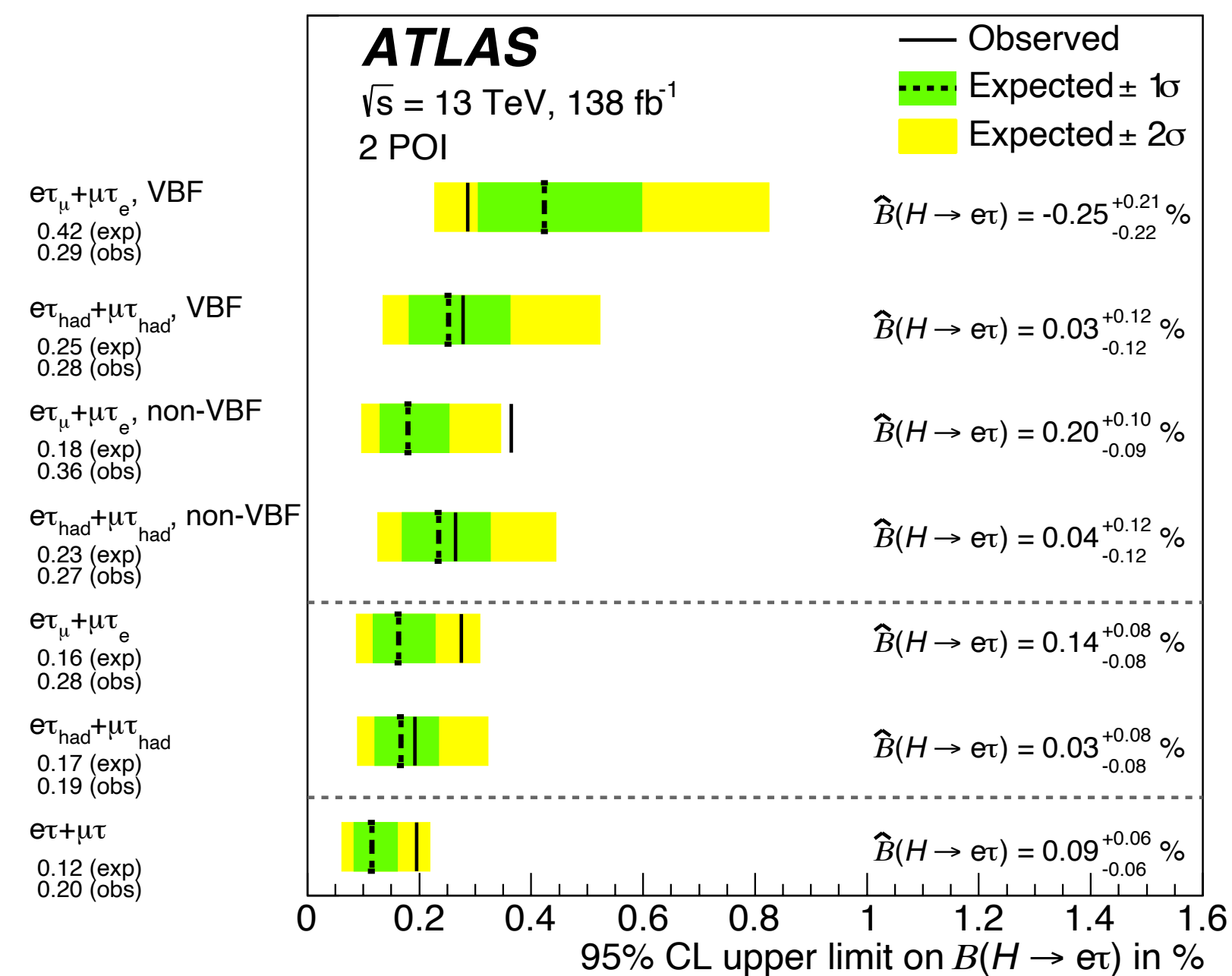
Mu2e and COMET: differences

- COMET staging has obvious advantages for learning as you go
- Charge Symmetry of Detector:
 - Mu2e: charge symmetric, e^+e^- the same
 - 2nd Bend in COMET Stage II momentum selects ~ 105 MeV e^- only
- Mu2e needs a hollow, COMET does not



Search for $H \rightarrow l\tau$ ($l=e$ or μ) in ATLAS

- The main backgrounds are: $Z \rightarrow \tau\tau$, Top processes, W +jets and QCD
- Misidentified tau well modeled using a data-driven method
- MVA to discriminate signal from background



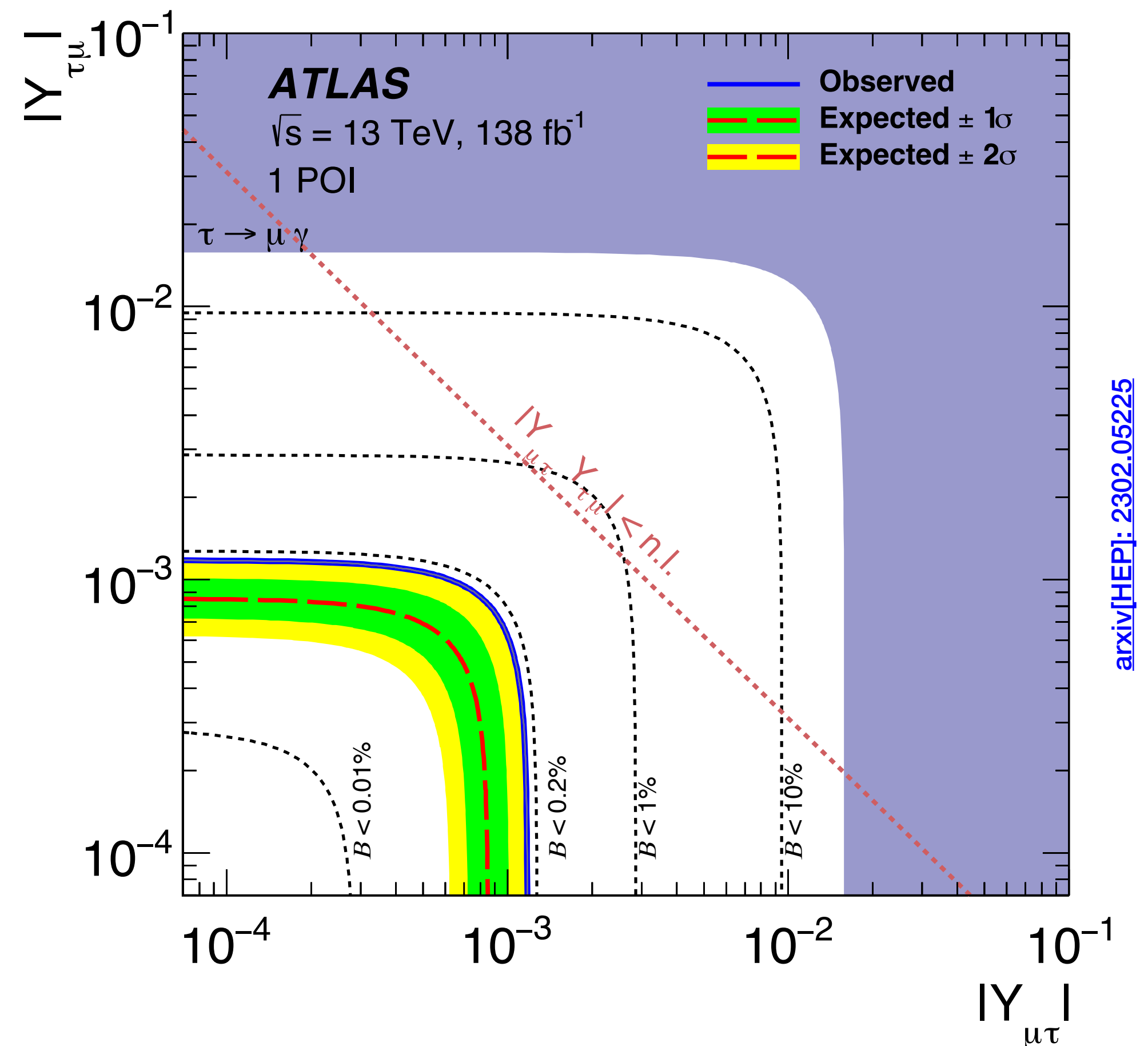
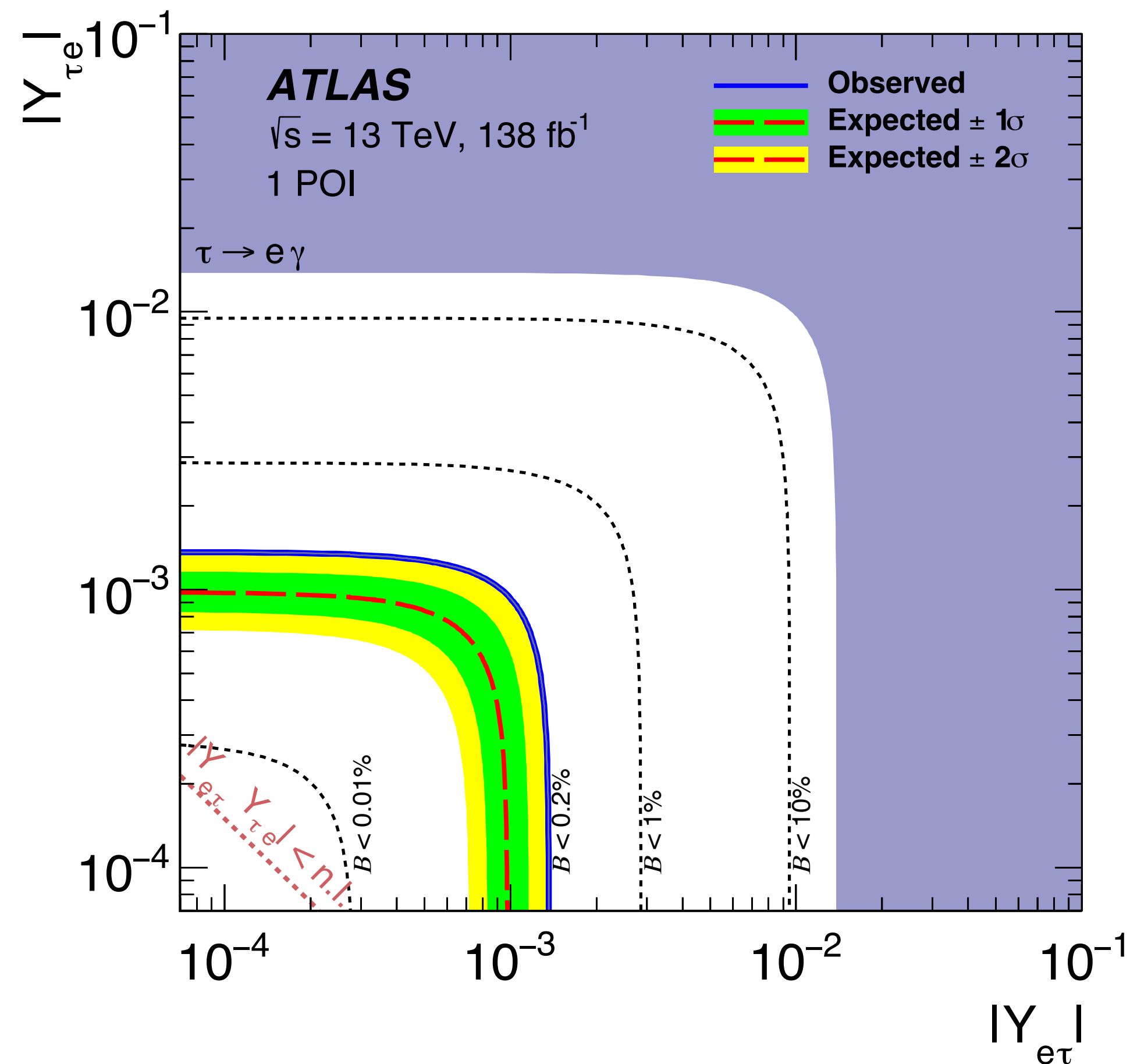
arXiv[HEP]: 2302.05225



Search for $H \rightarrow l\tau$ ($l=e$ or μ) in ATLAS

- The $B(H \rightarrow l\tau)$ is related to the non-diagonal Yukawa coupling matrix elements

$$|Y_{\ell\tau}|^2 + |Y_{\tau\ell}|^2 = \frac{8\pi}{m_H} \frac{\mathcal{B}(H \rightarrow l\tau)}{1 - \mathcal{B}(H \rightarrow l\tau)} \Gamma_H(\text{SM})$$



arxiv[HEP]: 2302.05225

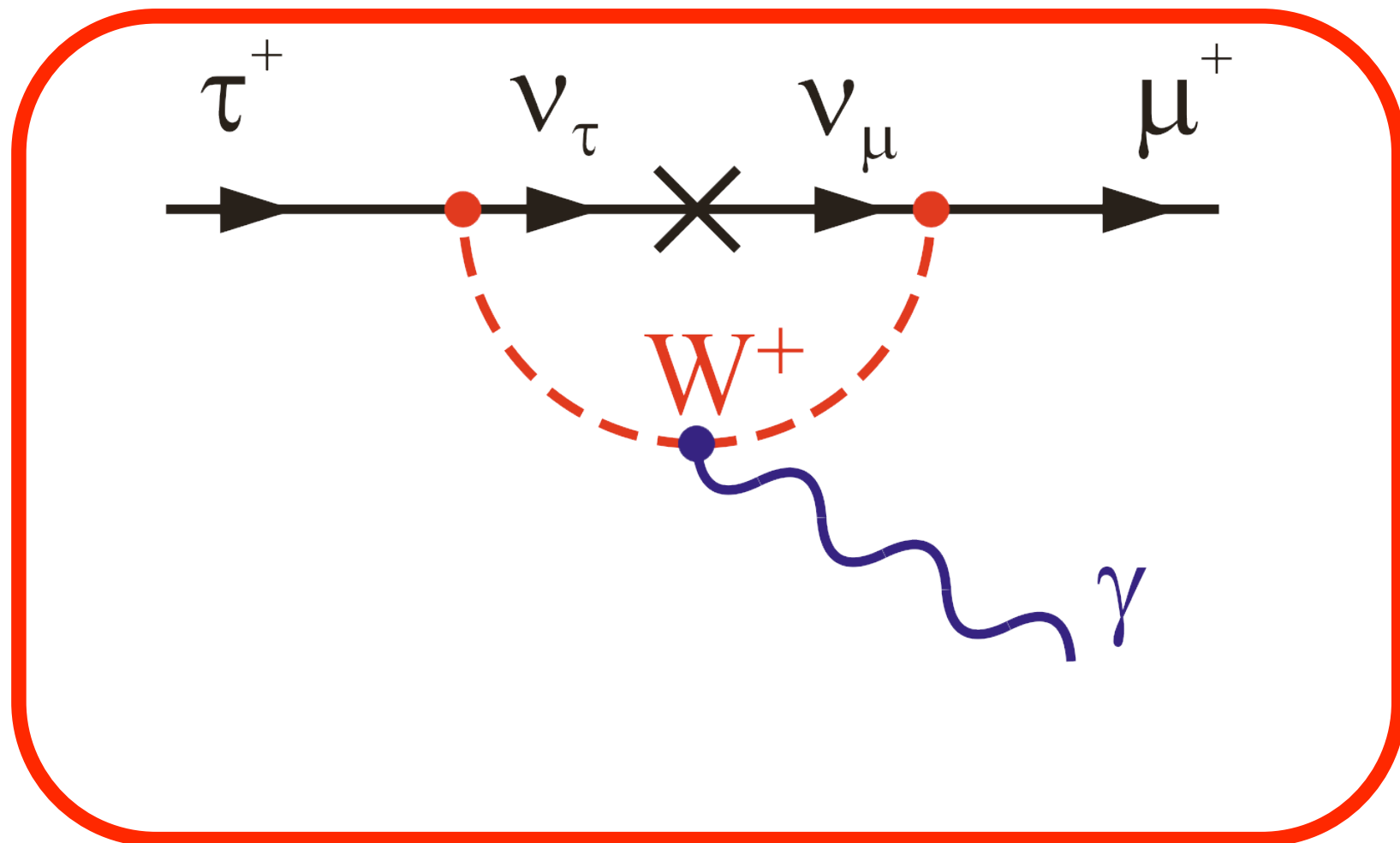


CLFV and τ decays

- τ processes also suppressed in Standard Model but less w.r.t. μ :

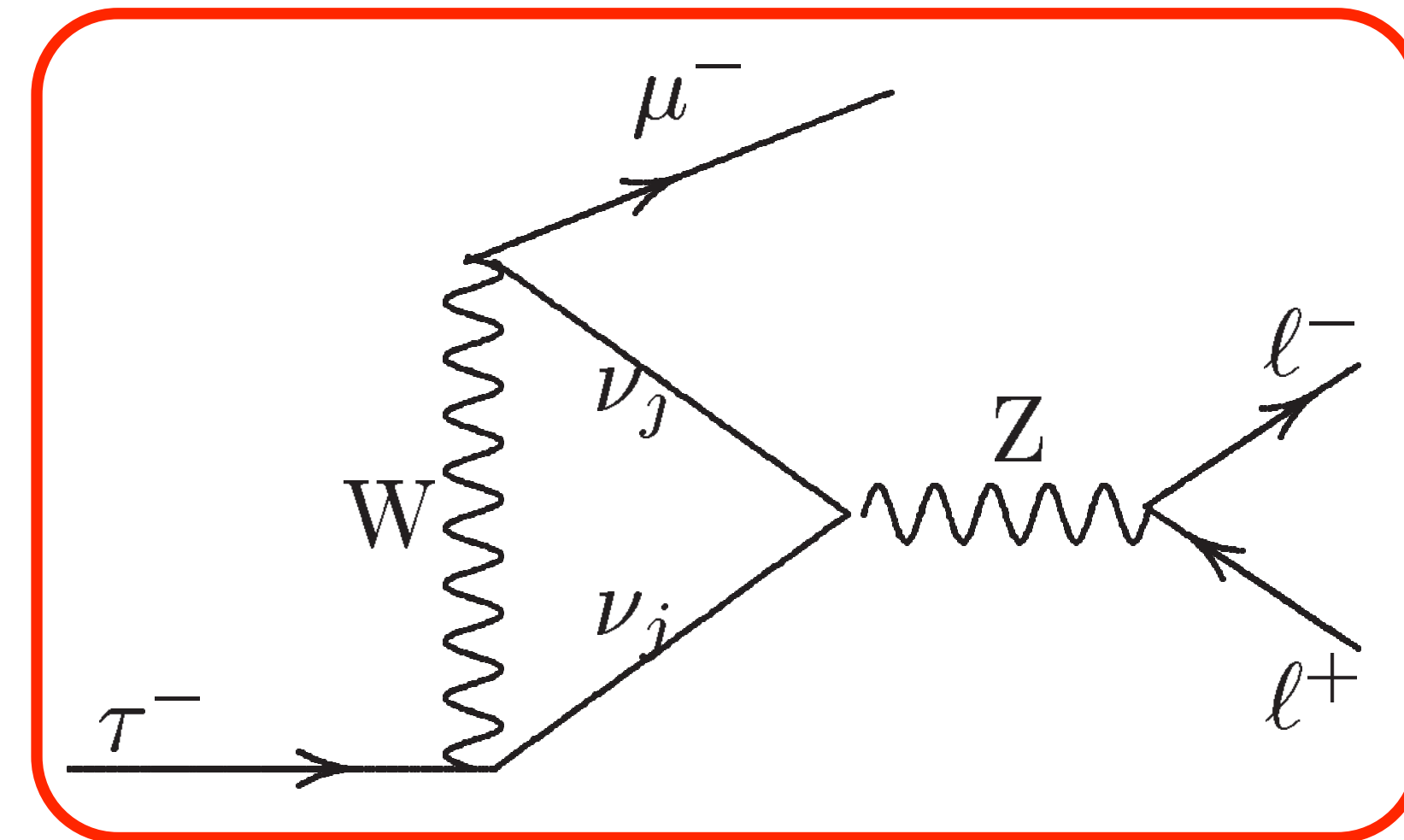
More in Swagato Banerjee's talk!

SM rate $\sim 10^{-49}$



Lee, Shrock, Phys.Rev.D16:1444,1977

SM rate $\sim 10^{-14}$



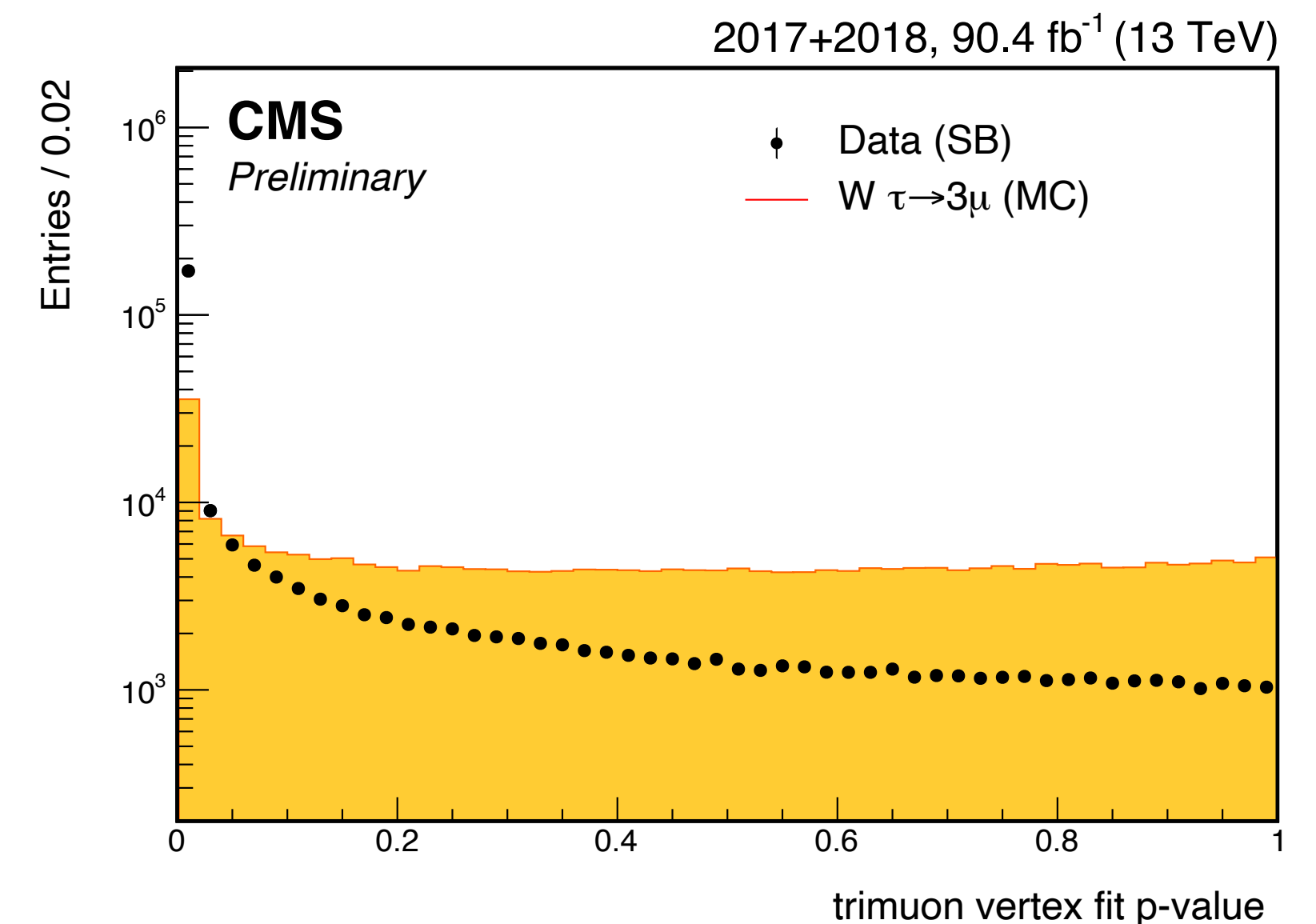
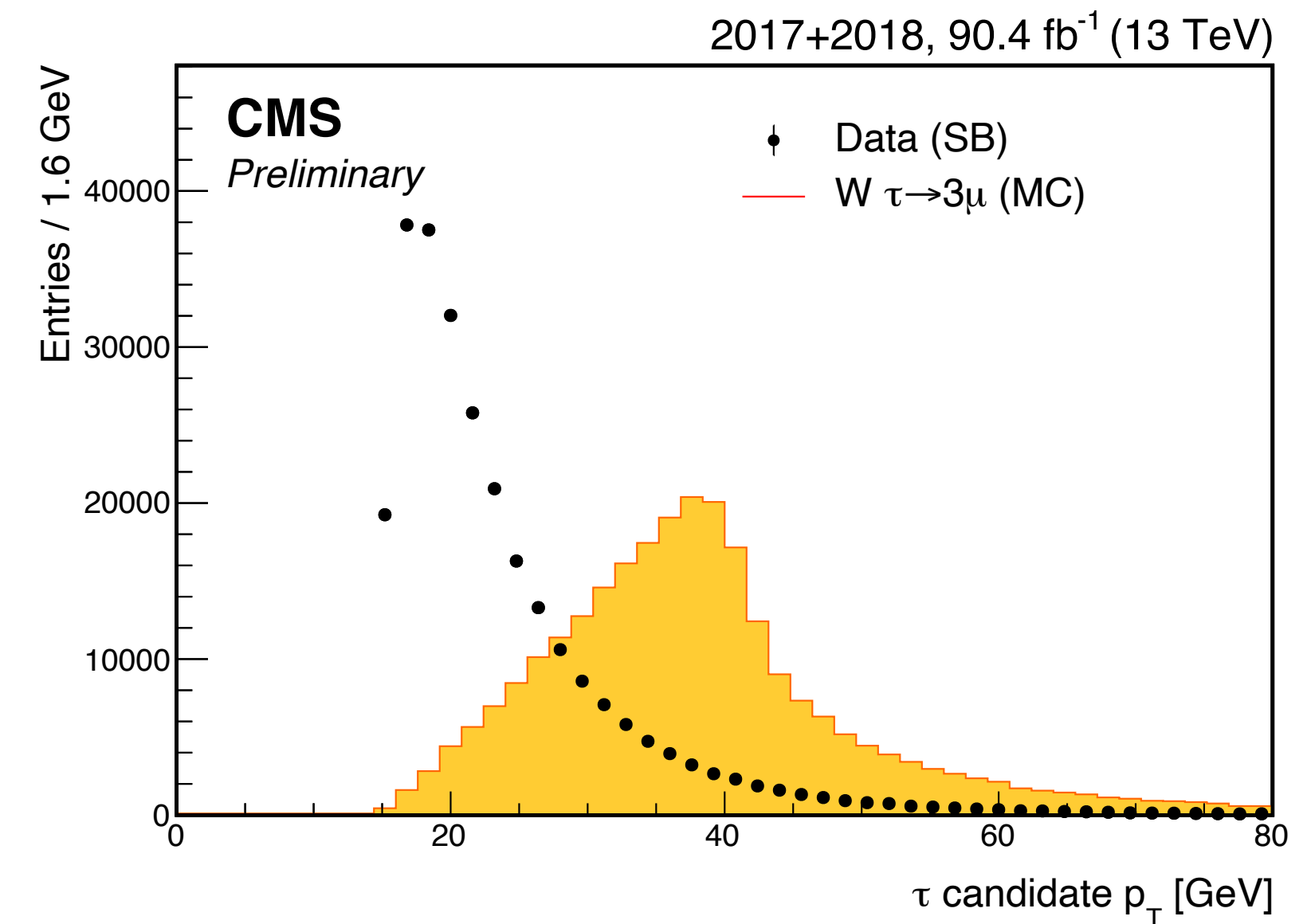
Pham, hep-ph/9810484

- Advantage:** BSM rates can be orders of magnitude larger than in associated μ decays
- Disadvantage:** τ 's hard to produce. $\sim 10^{10}$ τ /yr vs $\sim 10^{11}$ μ /s in upcoming μ -experiments



$\tau \rightarrow \mu\mu\mu$ decay at CMS

- Search for LFV $\tau \rightarrow \mu\mu\mu$ decay with 90.4 fb⁻¹
- It includes tau production from heavy flavor (B, D) and W decays
 - $W \rightarrow \tau\nu$ populates more the high p_T
- Muon p_T > 7, 1, 1 GeV fit to common vertex
- p_T(3μ) > 15 GeV
- BDT to separate signal from background
 - Muon identification
 - $\tau \rightarrow \mu\mu\mu$ vertex: chi², pointing angle
- Split into three categories based on 3μ mass resolution

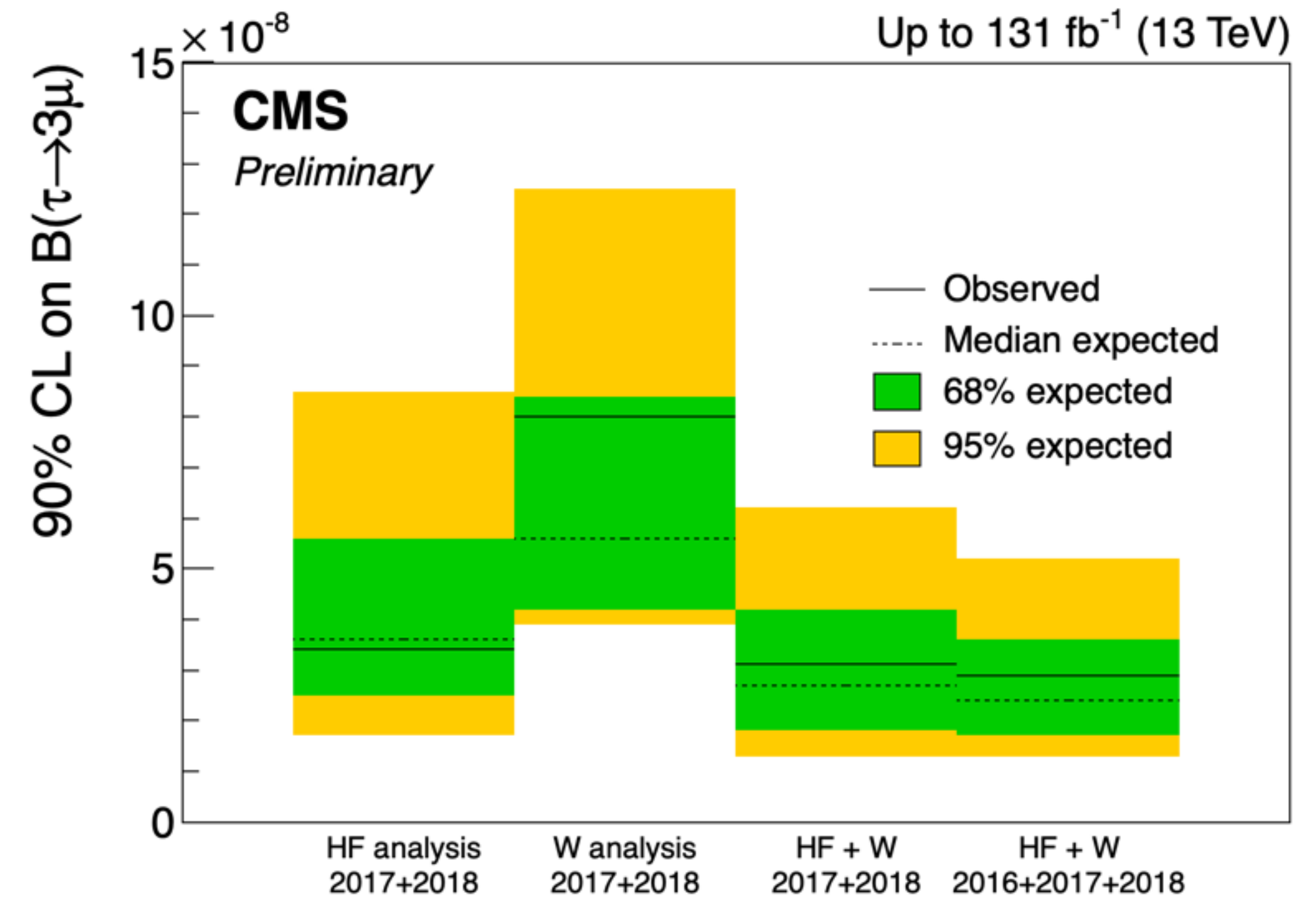
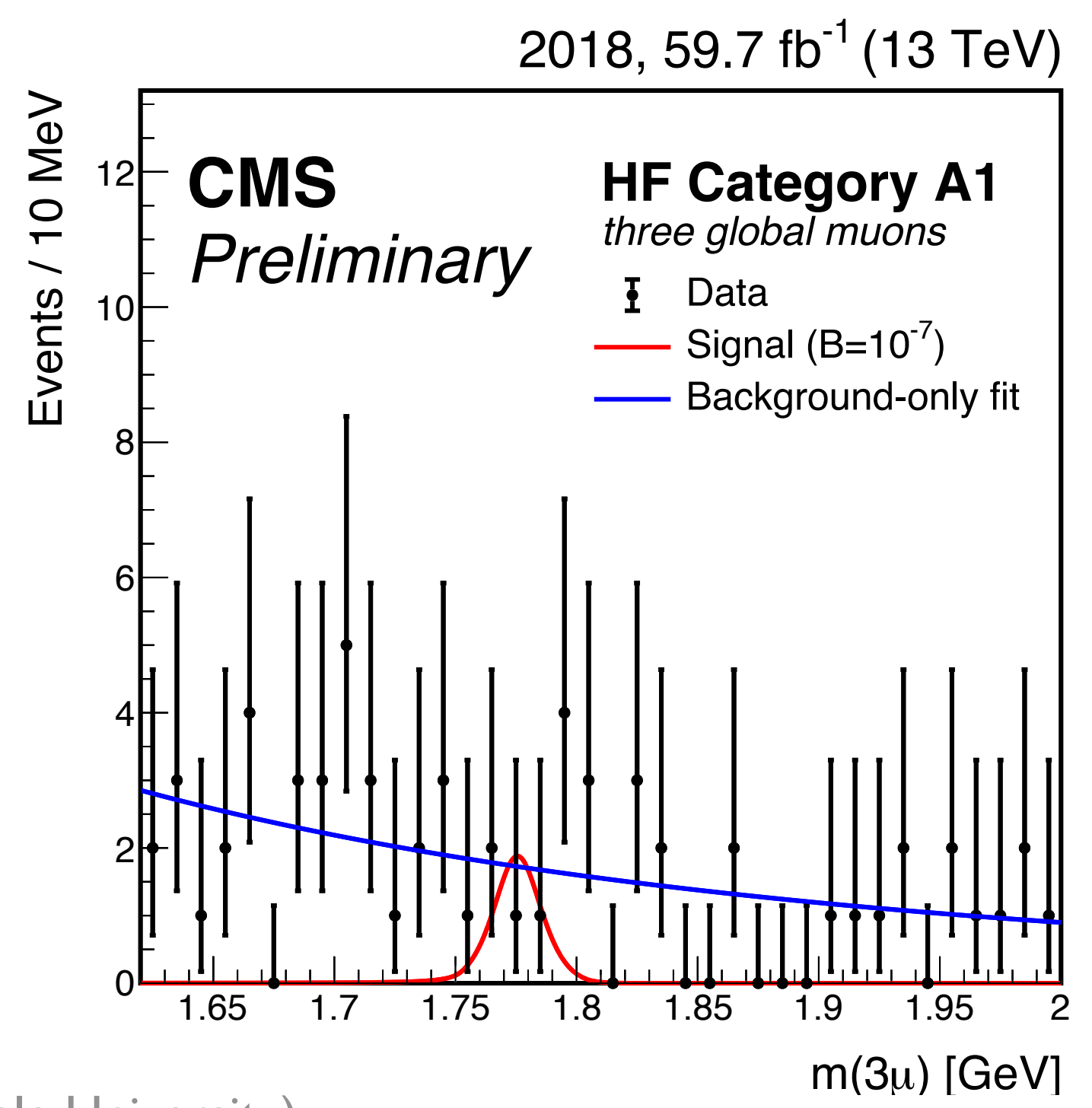


PAS-BPH-21-005



$\tau \rightarrow \mu\mu\mu$ decay at CMS

- Final result extracted from simultaneous parametrized fit to all the signal regions including the results from 2016 data
- $\text{Br}(\tau \rightarrow \mu\mu\mu) < 2.9 \times 10^{-8}$ at 90% CL
- Getting very close to the world limit from Belle (2.1×10^{-8} at 90% CL)

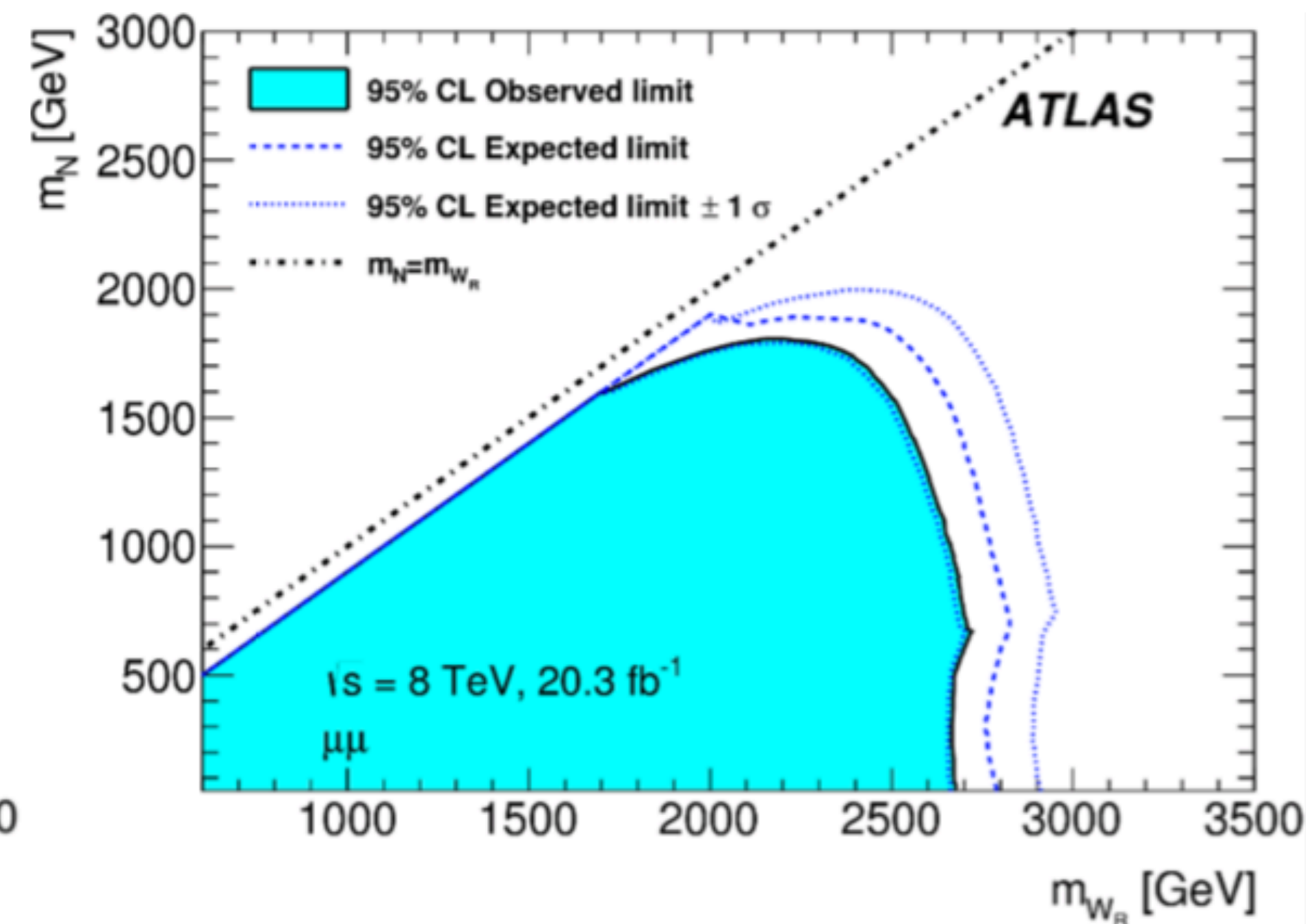
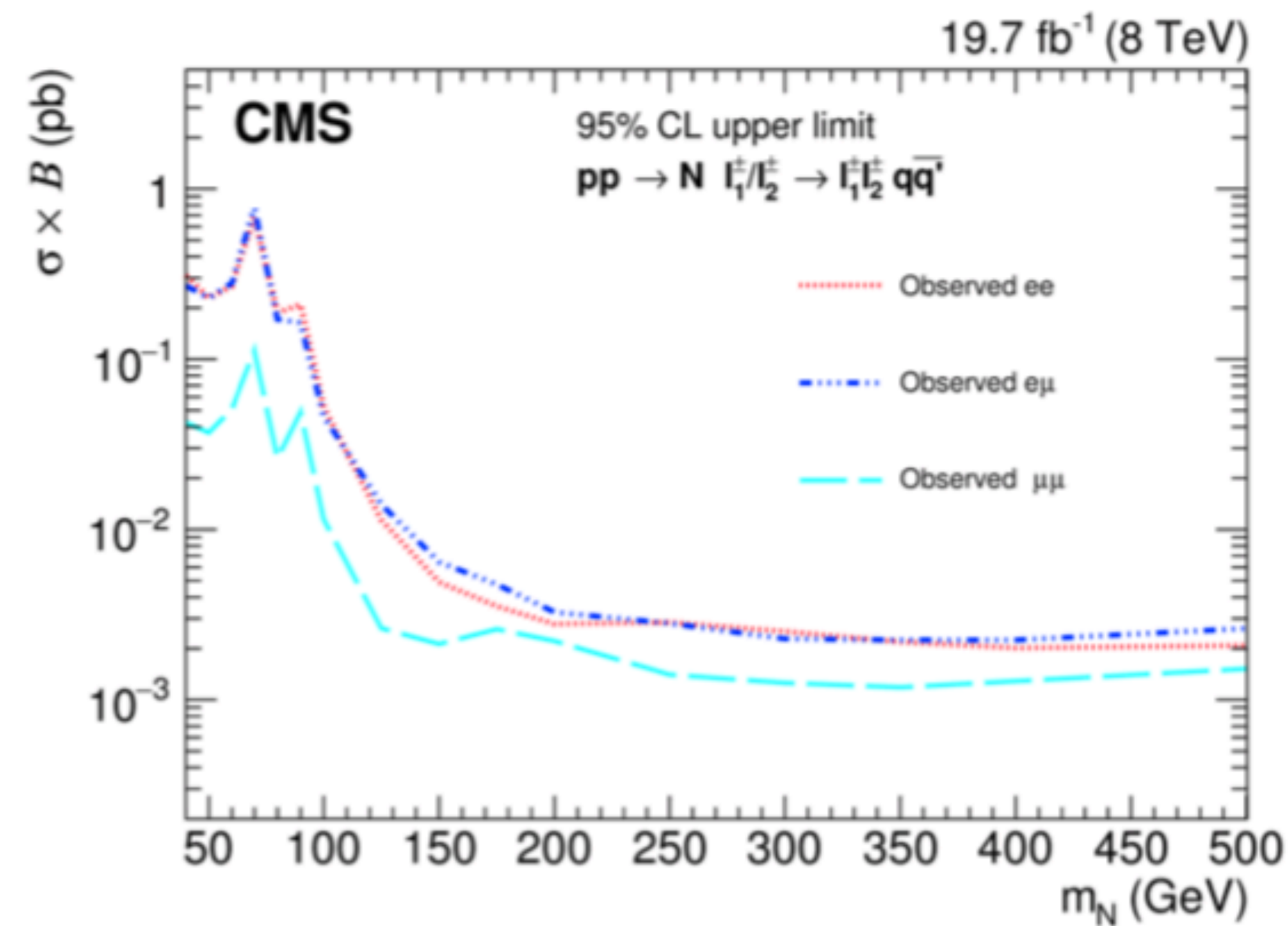
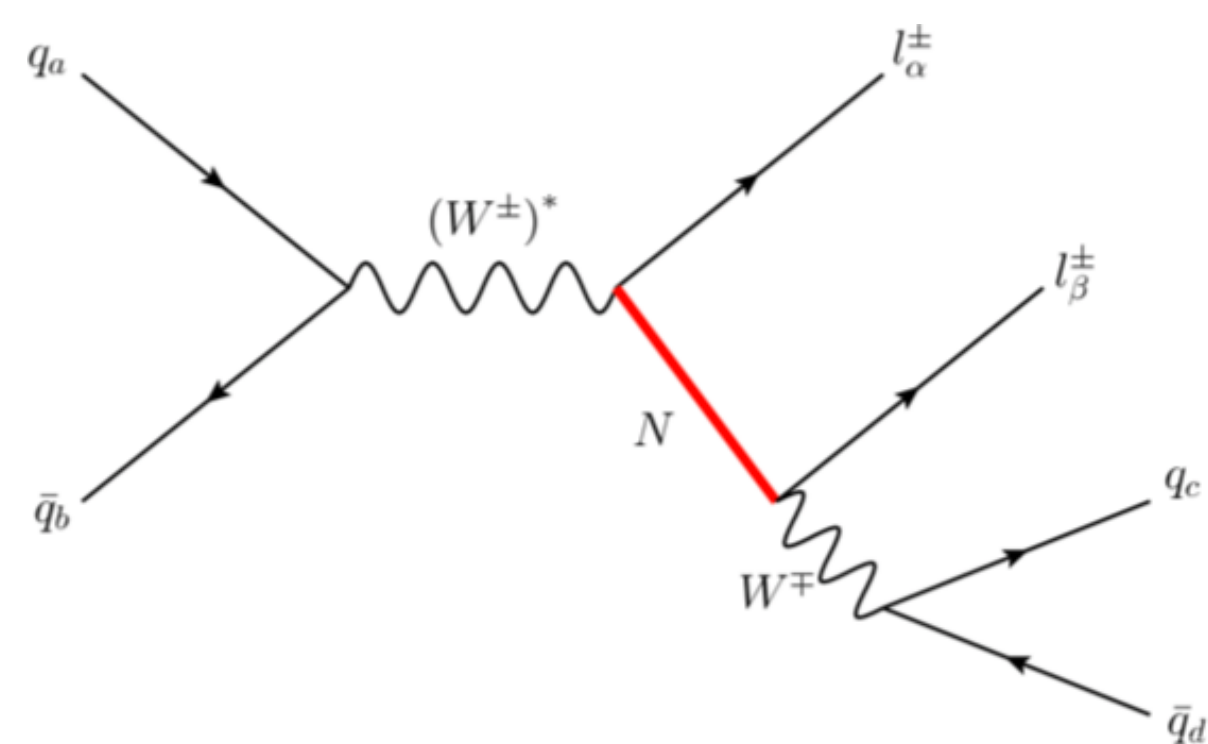
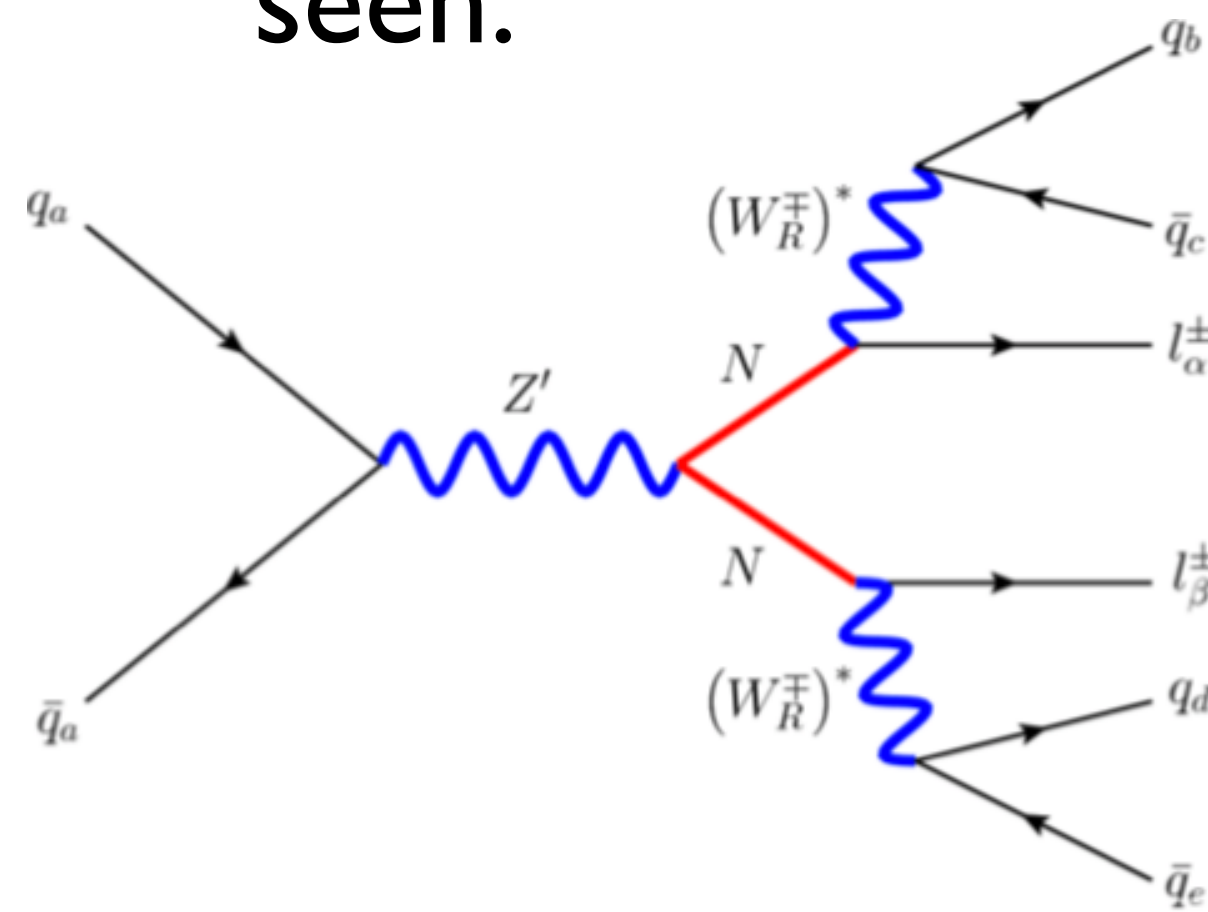


PAS-BPH-21-005



Majorana neutrinos at ATLAS/CMS

- Theories with heavy neutrinos (such as Seesaw models) may have lepton flavor and number violation.
- Search for events with same-sign dileptons ($e^\pm e^\pm$ or $\mu^\pm \mu^\pm$) and at least two jets. No excess seen.



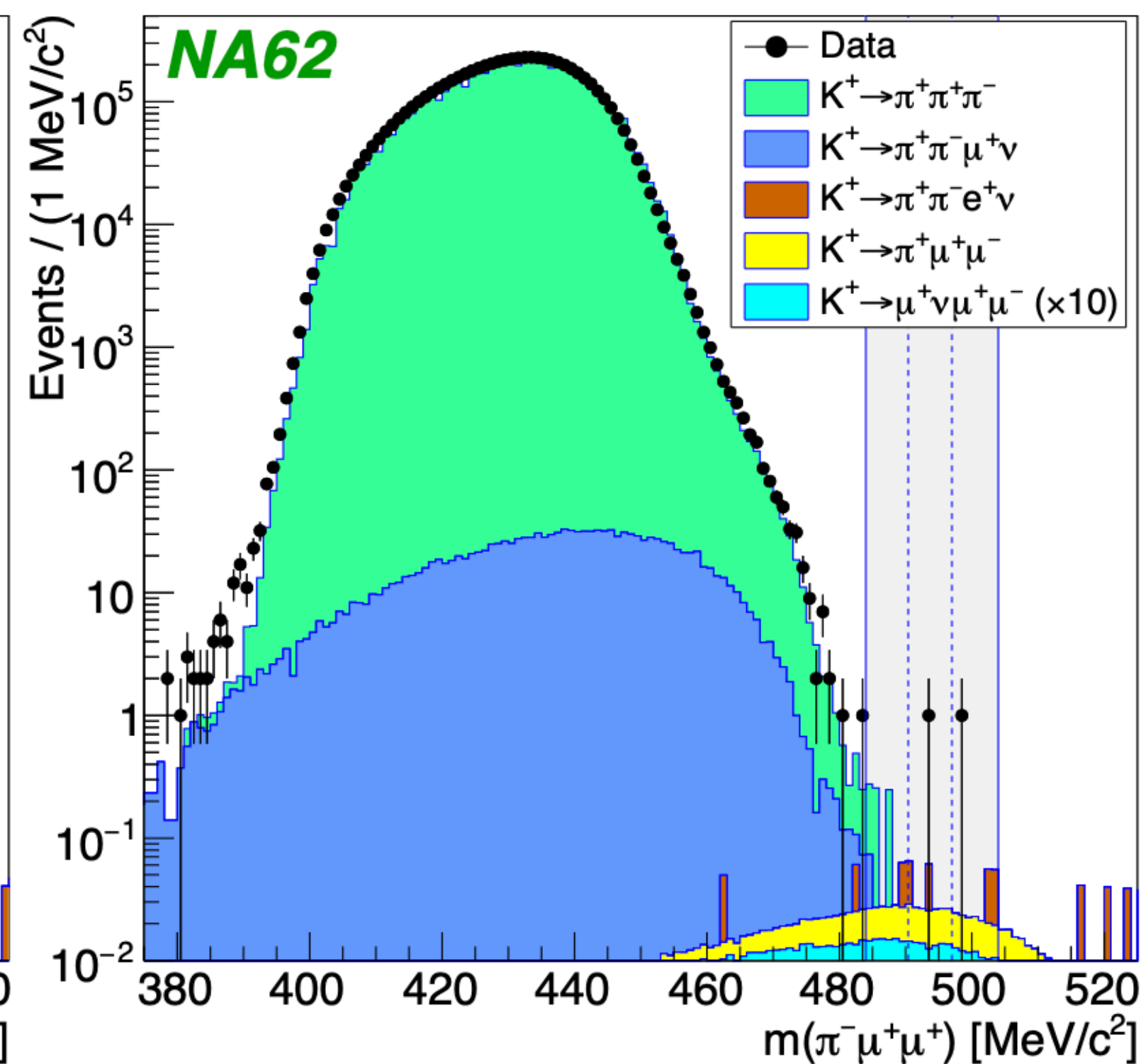
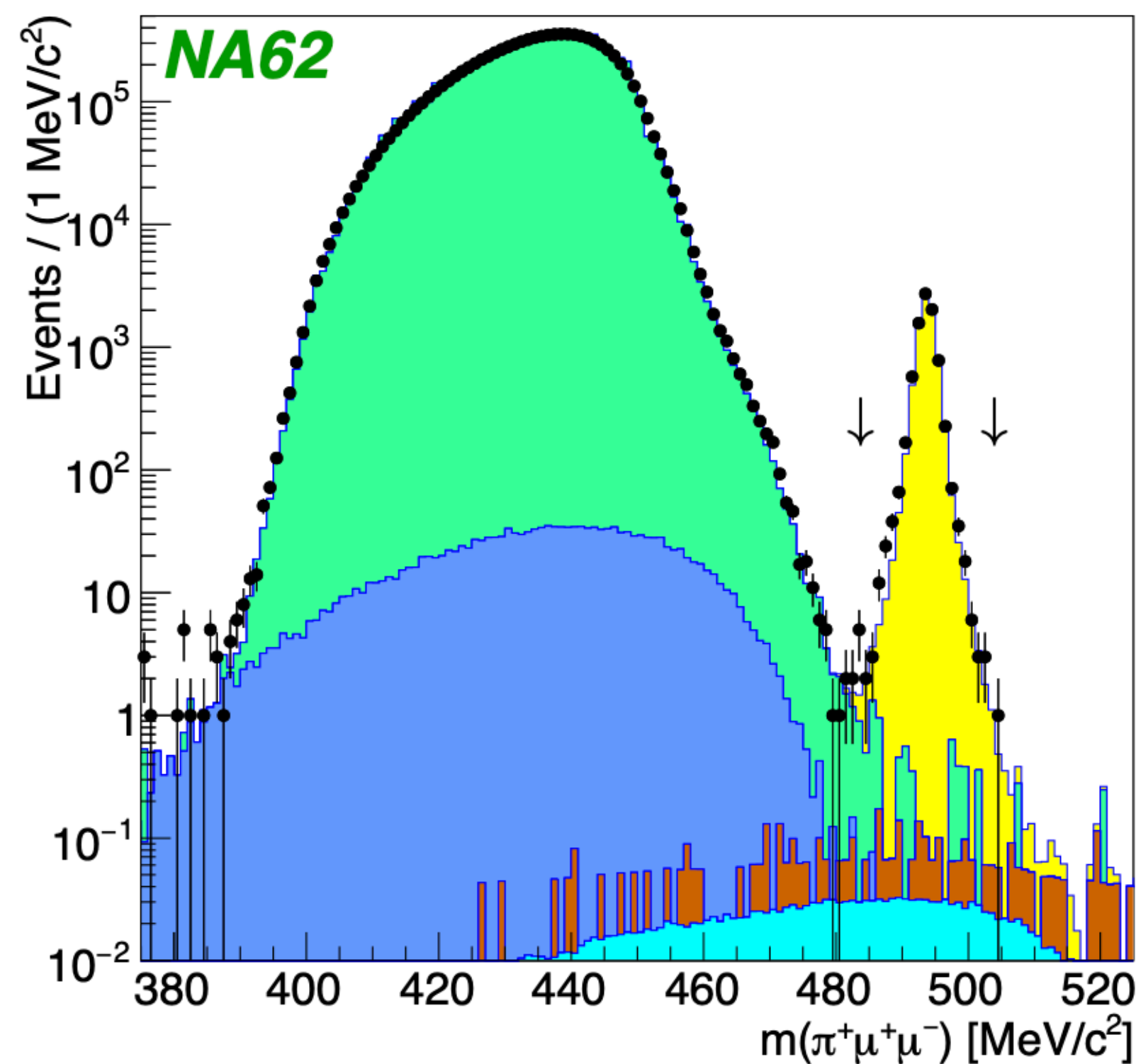
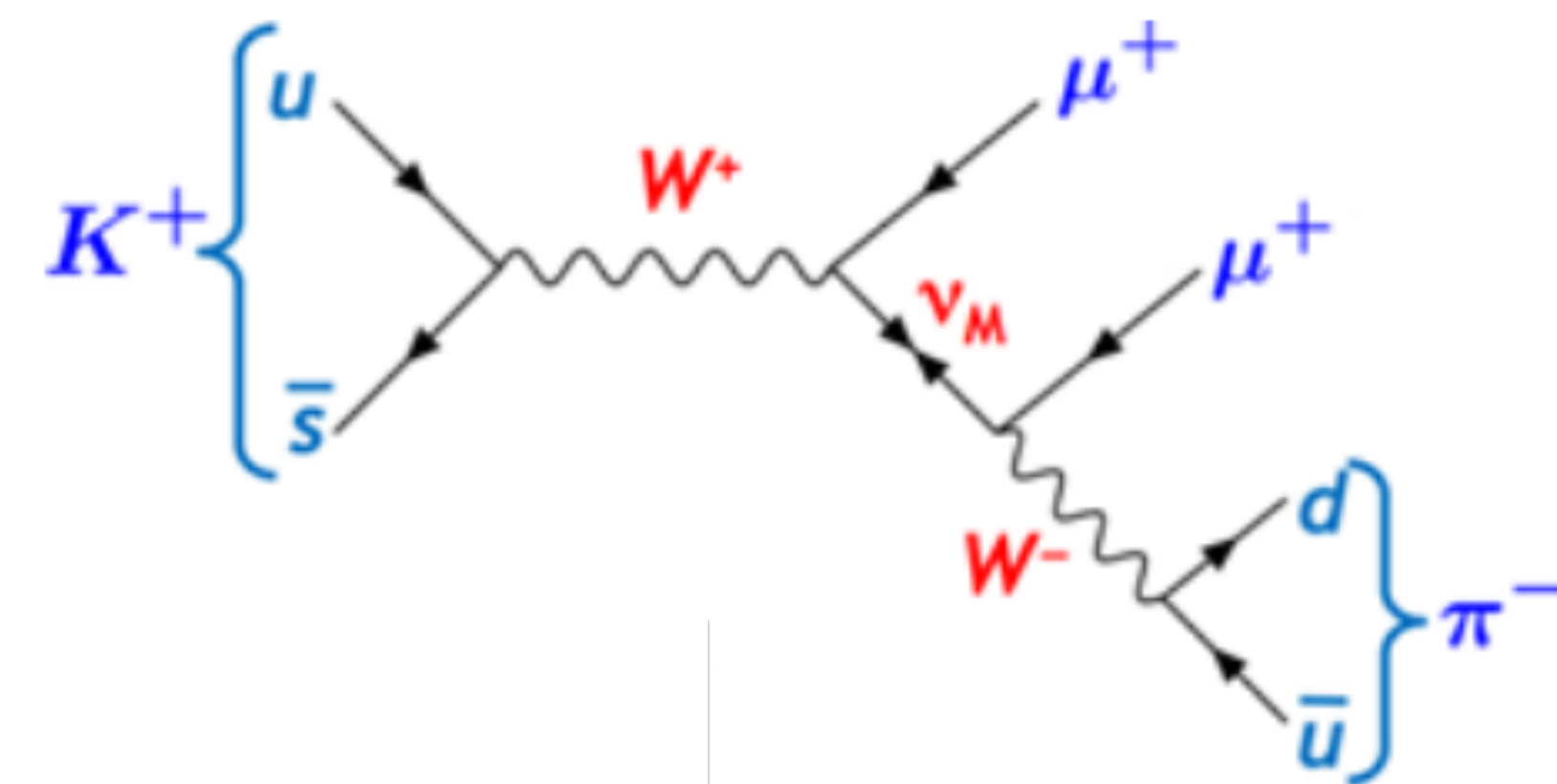


LNv in Kaons decay

- K decays can be accurately calculated in the SM
- NA62 used only ~30% of the data collected in 2016-2018

$$\mathcal{B}(K^+ \rightarrow \pi^- e^+ e^+) < 2.2 \times 10^{-10}$$

$$\mathcal{B}(K^+ \rightarrow \pi^- \mu^+ \mu^+) < 4.2 \times 10^{-11}$$



PLB797 (2019)



Pathways to Innovation and Discovery in Particle Physics

Report of the Particle Physics Project Prioritization Panel 2023





CLFV within the US prioritization

- The P5 acknowledged and supports the current CLFV program



Recommendation 4

Not Rank-Ordered

- Support **vigorous R&D toward a cost-effective 10 TeV pCM collider** based on proton, muon, or possible wakefield technologies, including an evaluation of options for US siting of such a machine, with a goal of being ready to build **major test facilities and demonstrator facilities within the next 10 years** (sections 3.2, 5.1, 6.5, and Recommendation 6).
- Enhance research in **theory** to propel innovation, maximize scientific impact of investments in experiments, and expand our understanding of the universe (section 6.1).
- Expand the **General Accelerator R&D (GARD)** program within HEP, including stewardship (section 6.4).
- Invest in R&D in **instrumentation** to develop innovative scientific tools (section 6.3).
- Conduct **R&D** efforts to define and enable new projects in the next decade, including detectors for an e^+e^- Higgs factory and 10 TeV pCM collider, Spec-S5, DUNE FD4 **Mu2e-II, Advanced Muon Facility**, and line intensity mapping (sections 3.1, 3.2, 4.2, 5.1, 5.2, and 6.3).
- Support key **cyberinfrastructure** components such as shared software tools and a sustained R&D effort in computing, to fully exploit emerging technologies for projects. Prioritize **computing and novel data analysis techniques** for maximizing science across the entire field (section 6.7).
- Develop plans for improving the **Fermilab accelerator complex** that are consistent with the long-term vision of this report, including neutrinos, flavor, and a 10 TeV pCM collider (section 6.6).



Recommendation 1

Not Rank-Ordered

In addition, we recommend continued support for the following ongoing experiments at the medium scale (project costs > \$50M for DOE and > \$4M for NSF), including completion of construction, operations, and research:

- NOvA, SBN, T2K**, and **IceCube** (*elucidate the mysteries of neutrinos*, section 3.1).
- DarkSide-20k, LZ, SuperCDMS**, and **XENONnT** (*determine the nature of dark matter*, section 4.1).
- DESI** (*understand what drives cosmic evolution*, section 4.2).
- Belle II, LHCb, and Mu2e** (*pursue quantum imprints of new phenomena*, section 5.2).

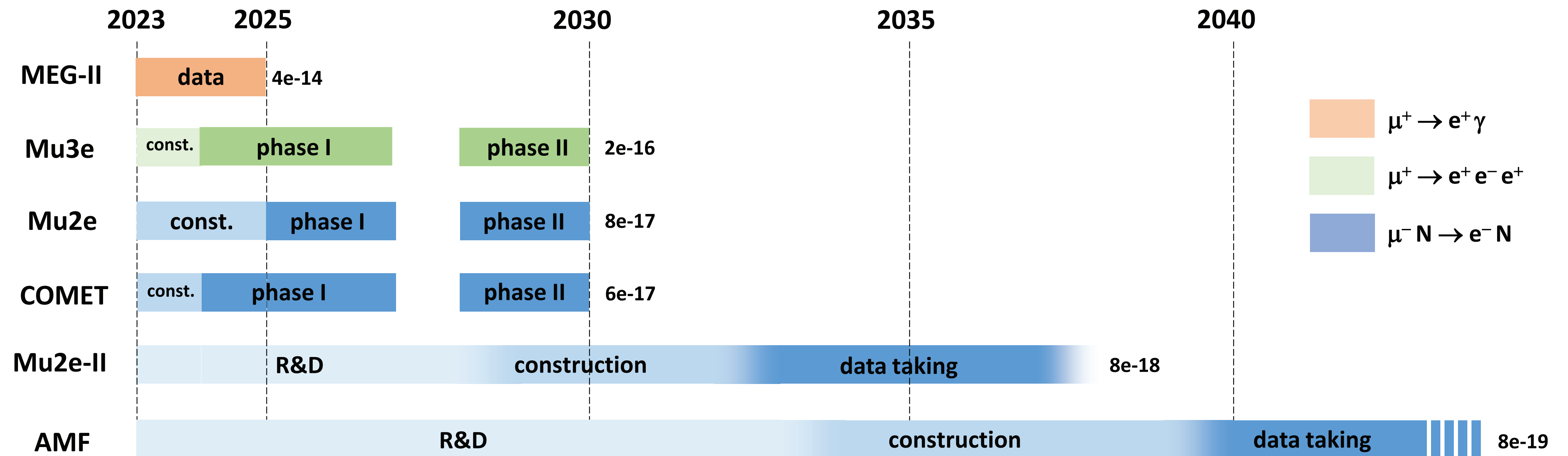
The agencies should work closely with each major project to carefully manage the costs and schedule to ensure that the US program has a broad and balanced portfolio.

- The P5 recommendations include R&D efforts towards Advanced muon facilities and Mu2e upgrade



Muon-beam based experiments - timelines

- Mu2e and COMET are under construction at Fermilab and J-PARK respectively
- Phase-I aims to reach x1,000 improvement w.r.t. the current best limit
- Phase-II will push the sensitivity at 6-8e-17

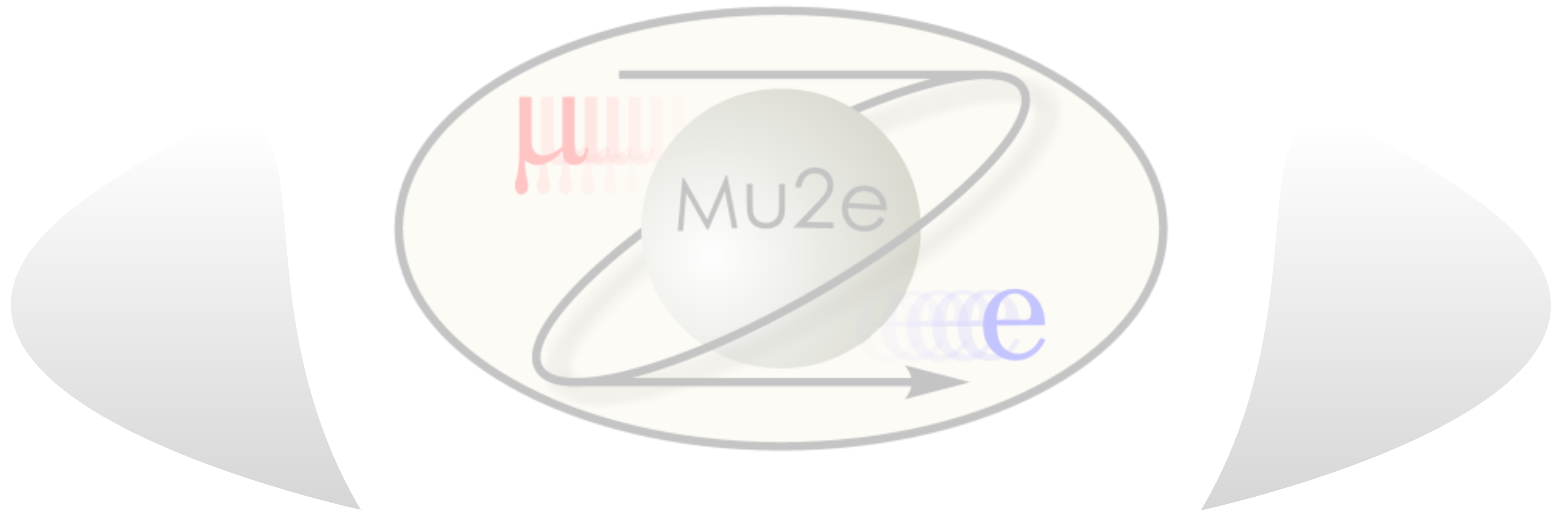




What I hope you will remember

- CLFV is about why there are flavors and generations.
- not only the mass scale, but the couplings.
- Any signal is unambiguously new physics. We need multiple measurements to understand what we do or don't see
- CLFV, neutrinos, K, dark matter,... are tightly linked and models have to fit all the data
- low- and high-energy experiments are closely interrelated as well
- The experiments are challenging theories and getting better fast, with upgrades on the way.
- Within next ~5 years: muons will improve sensitivity by 10^4 ; Run-II data; e^+e^- and LHCb will probe τ , B sectors

backup slides





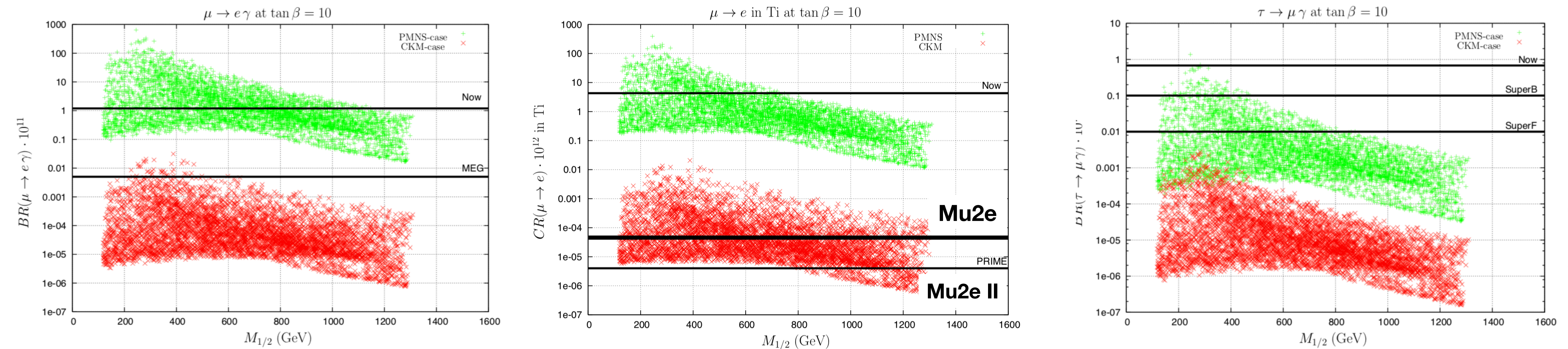
Review papers

- André de Gouvêa and P. Vogel, <https://arxiv.org/abs/1303.4097> S. Mihara et al. www.annualreviews.org/doi/abs/10.1146/annurev-nucl-102912-144530
- Lorenzo Calibbi, Giovanni Signorelli, <https://arxiv.org/abs/1709.00294>
- RHB and P. Cooper, arxiv.org/abs/1307.5787, [10.1016/j.physrep.2013.07.002](https://doi.org/10.1016/j.physrep.2013.07.002)
- M. Raidal et al., Flavour physics of leptons and dipole moments, [arXiv:0801.1826](https://arxiv.org/abs/0801.1826)
- Marciano, Mori, and Roney, *Ann. Rev. Nucl. Sci.* 58, doi: [10.1146/annurev.nucl.58.110707.171126](https://doi.org/10.1146/annurev.nucl.58.110707.171126)
- Y. Kuno and Y. Okada, [10.1103/RevModPhys.73.151](https://doi.org/10.1103/RevModPhys.73.151), [arXiv:hep-ph/9909265](https://arxiv.org/abs/hep-ph/9909265)



Structure of Mass/Mixing matrix

- neutrino mass via the see-saw mechanism; SUSY-GUT framework
- upcoming measurements can distinguish between small-mixing (“**CKM-case**”) and large-mixing (“**PMNS-case**”)
- Muon-conversion experiments show the largest discovery potential

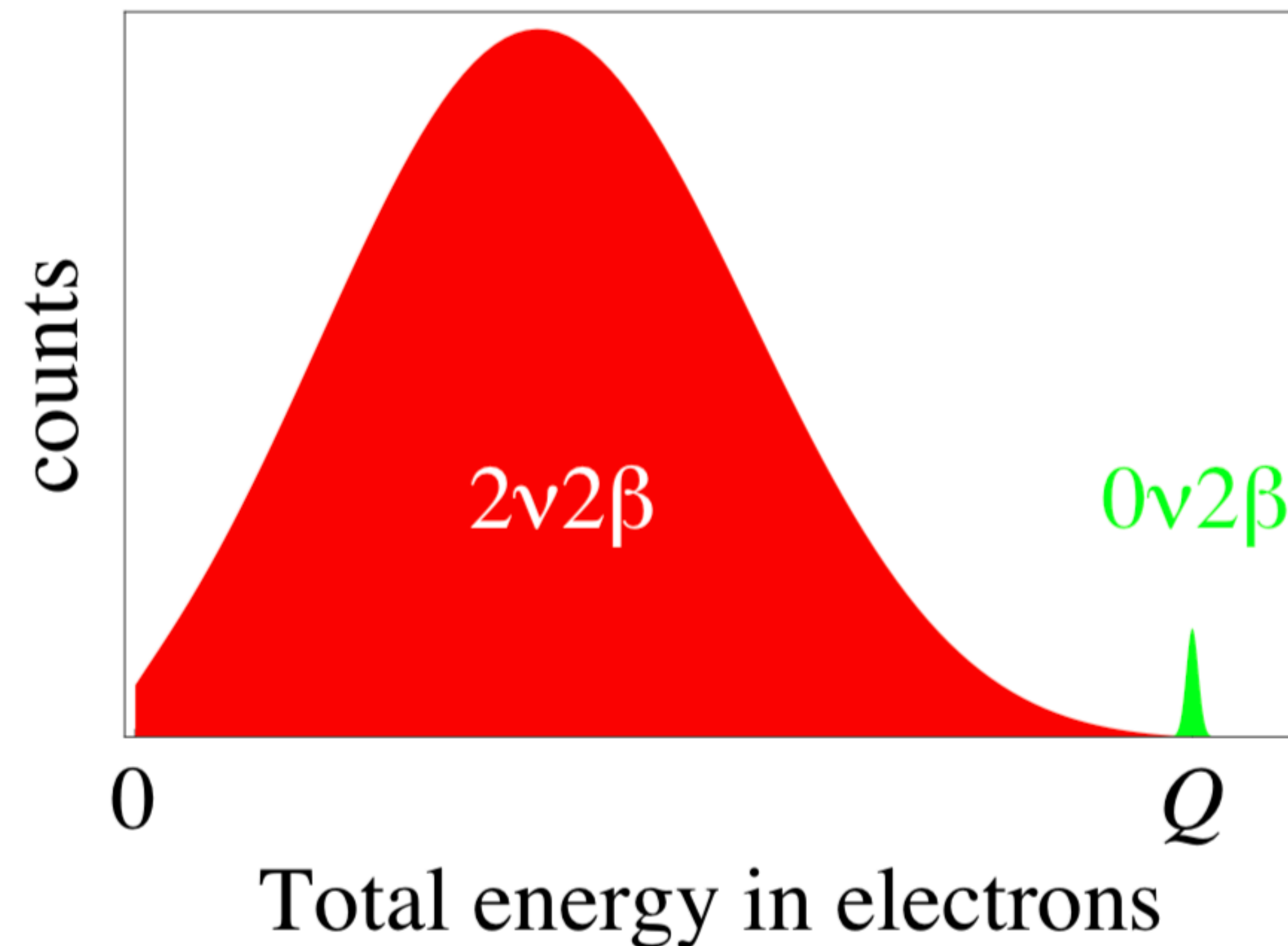
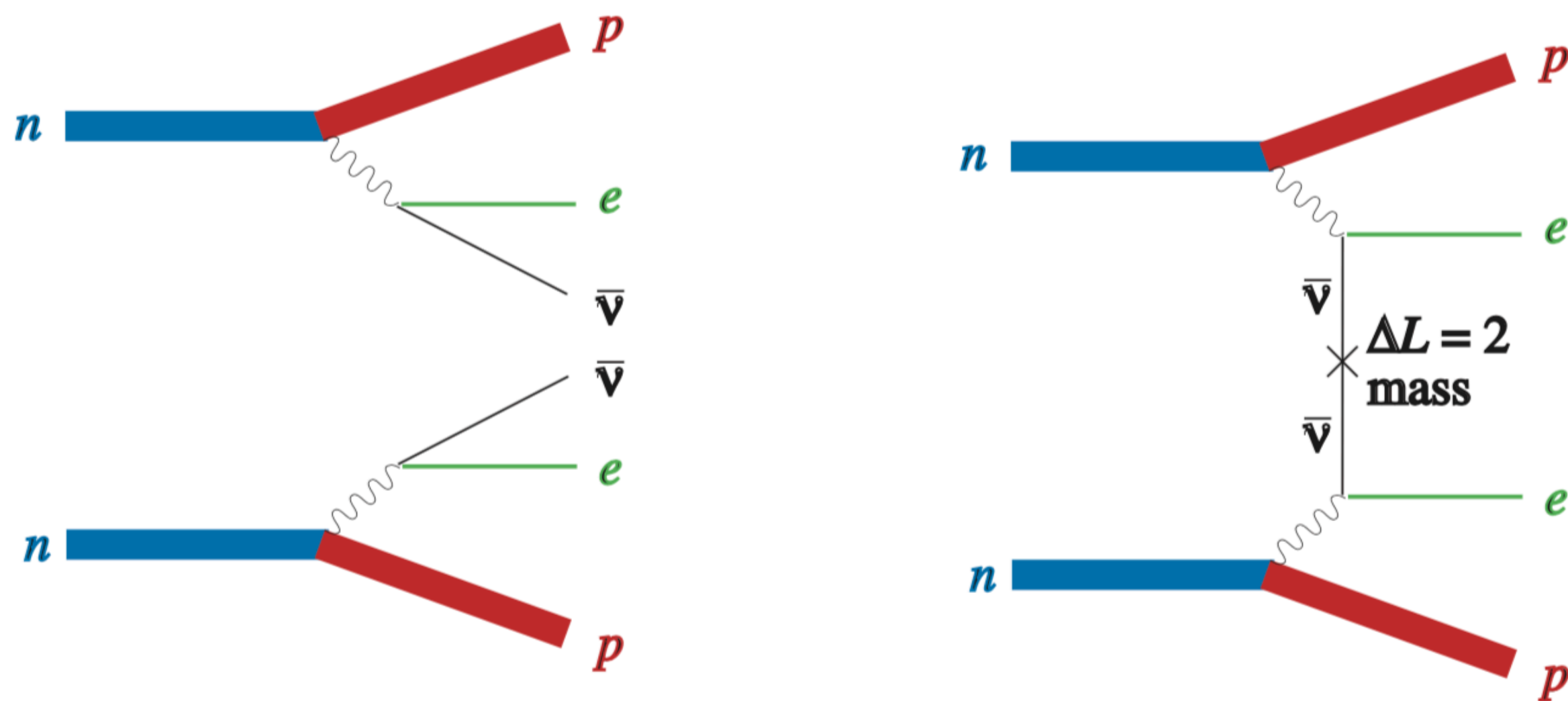


- $M_{1/2}$ is the “*sleptons*” mass, $\tan\beta$ is the ratio btw the Higgs masses (2 doublets in SUSY!)



2ν2β and 0ν2β

- Double β decay: Ge(76,32) cannot β-decay to As(76,33) that is heavier, so it ββ decays
- Neutrino-less double β decay: rate = $|\sum V_{ei}^2 m_i|^2 \times$ uncertain nuclear physics.



$$\tau \left({}_{32}^{36}\text{Ge} \rightarrow {}_{32}^{36}\text{Se} ee\bar{\nu}_e\bar{\nu}_e \right) \sim 10^{21} \text{ yr}$$



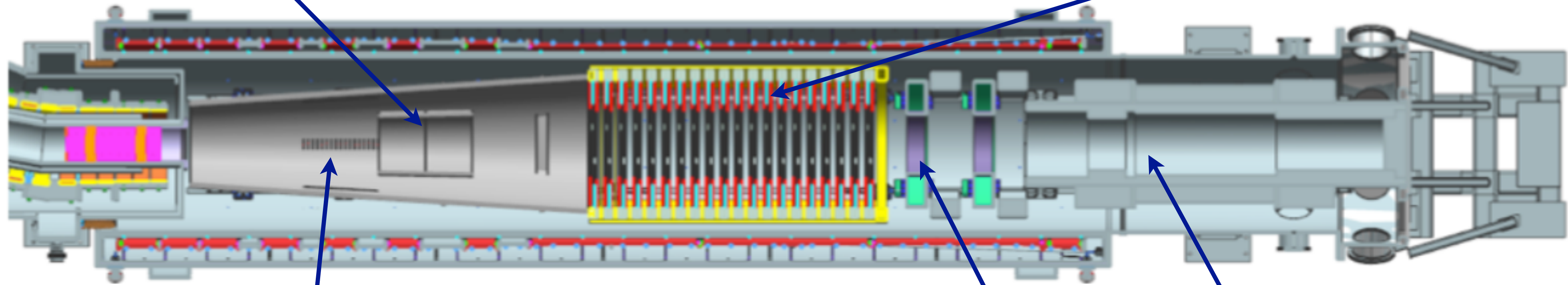
Mu2e detector

- **Proton absorber:**

- ❖ made of high-density polyethylene
- ❖ designed in order to reduce proton flux on the tracker and minimize energy loss

- **Tracker:**

- ❖ ~20k straw tubes arranged in planes on stations, the tracker has 18 stations
- ❖ Expected momentum resolution $< 200 \text{ keV}/c$



- **Targets:**

- ❖ 34 Al foils; Aluminum was selected mainly for the muon lifetime in capture events (**864 ns**) that matches nicely the need of prompt separation in the Mu2e beam structure.

- **Calorimeter:**

- ❖ 2 disks composed of undoped CsI crystals

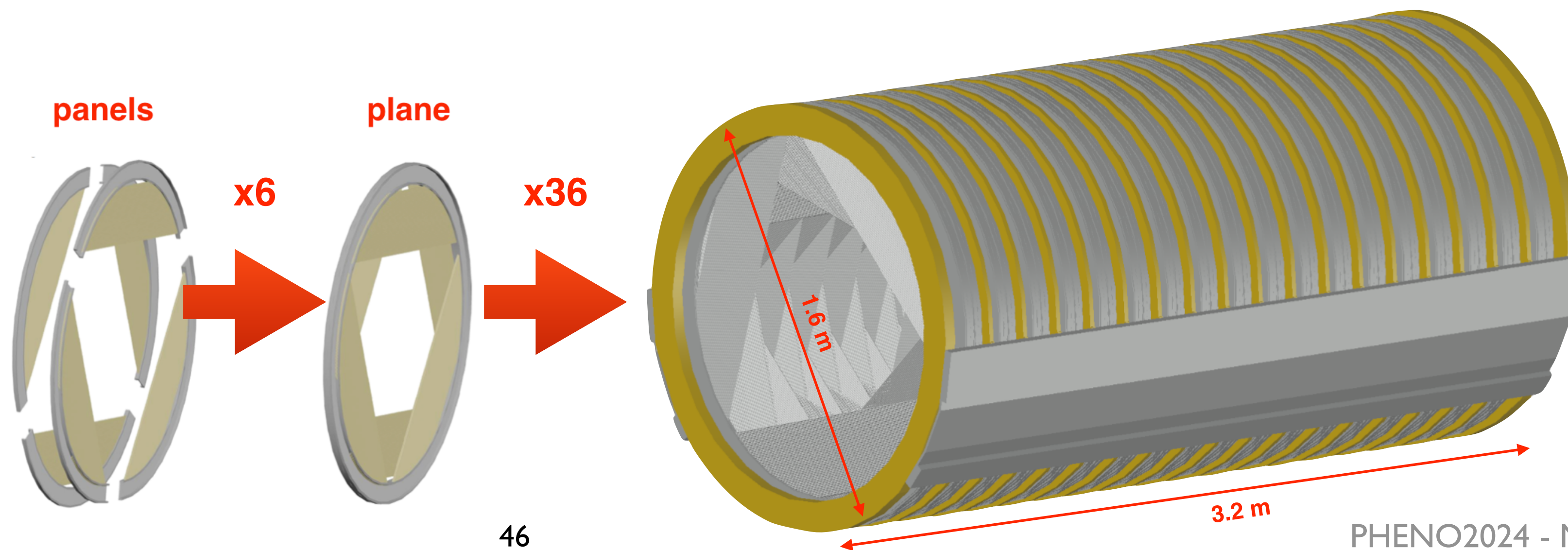
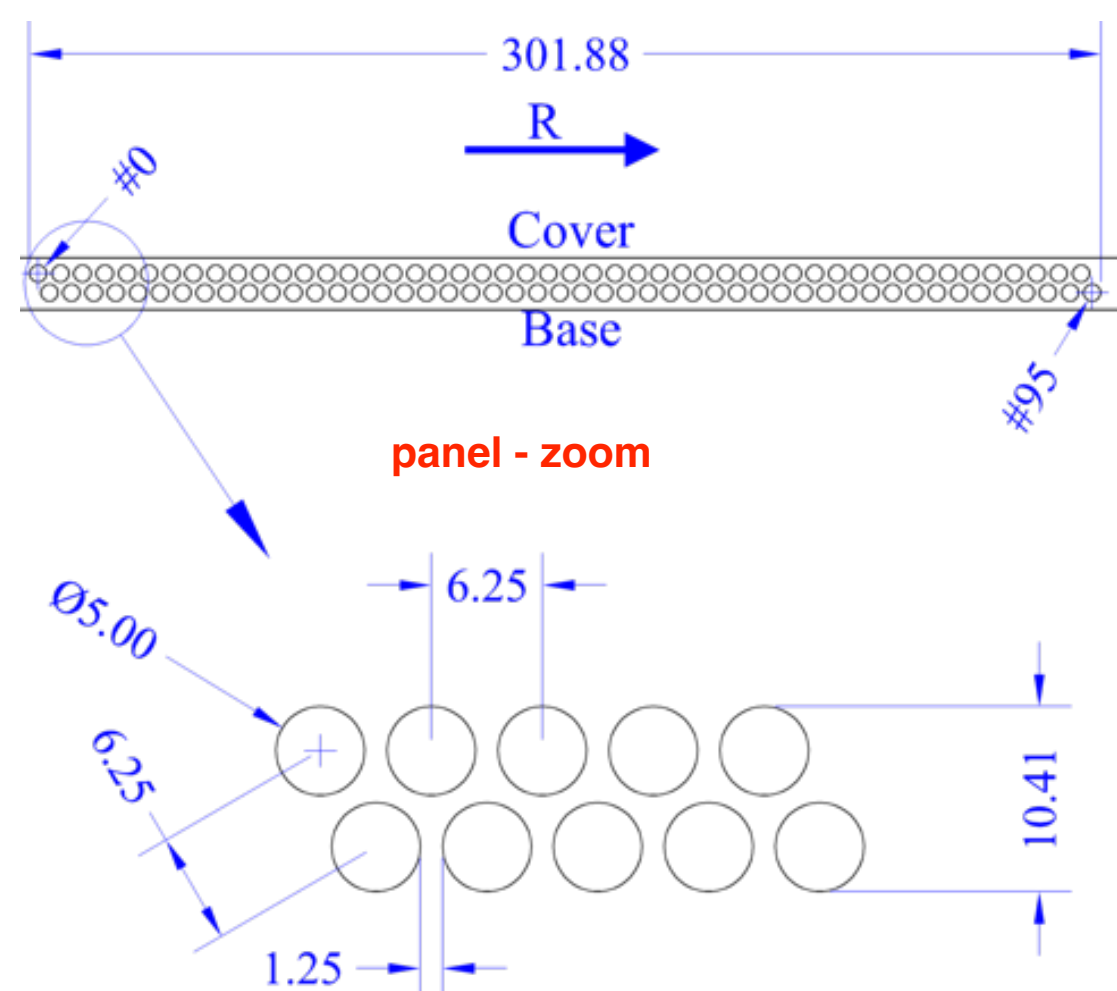
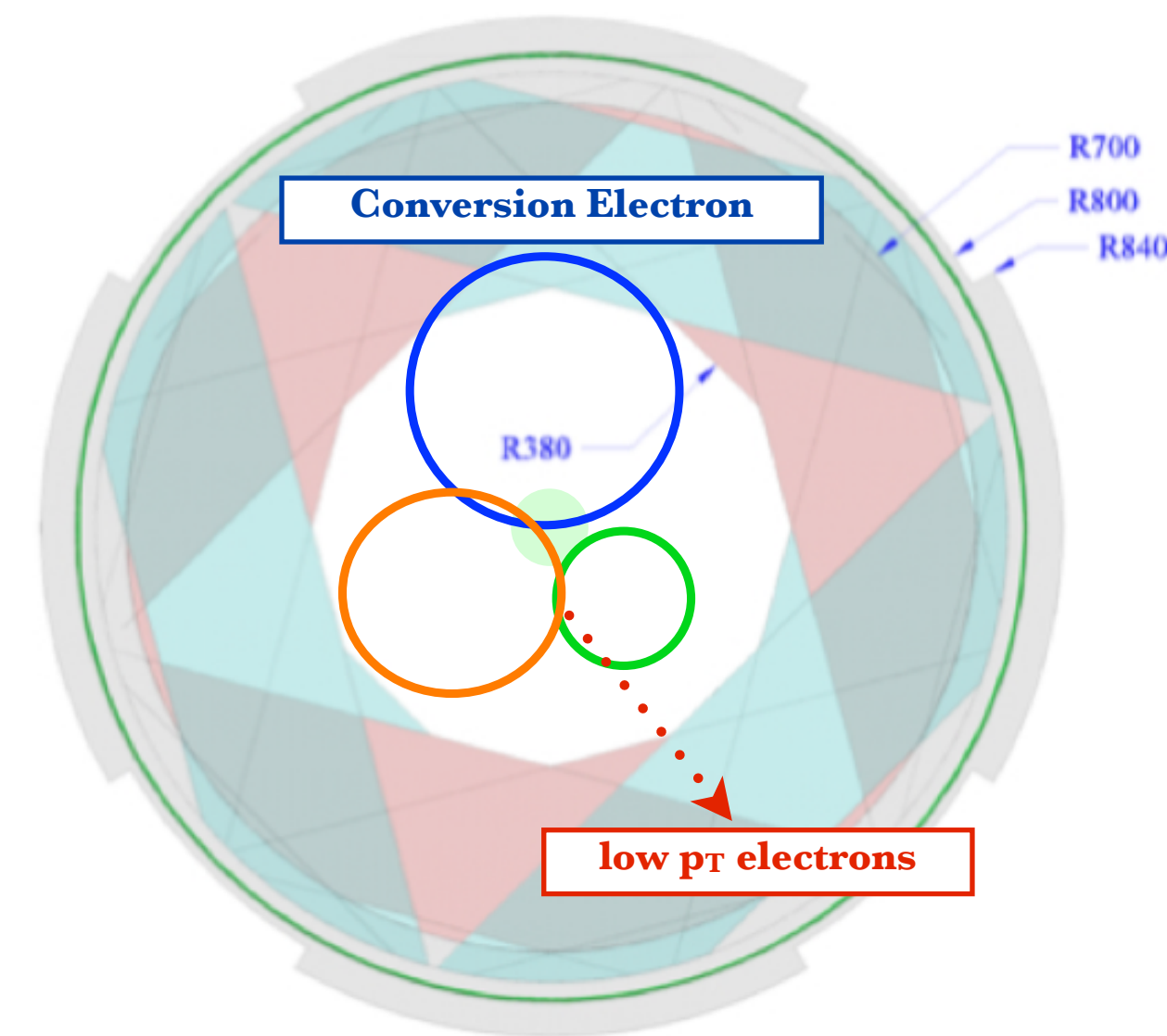
- **Muon beam stop:**

- ❖ made of several cylinders of different materials: stainless steel and polyethylene



Mu2e tracker

- 36 planes equally spaced with straws transverse to the beam
- Straw technology employed:
 - ✓ 5 mm diameter, 12 μm Mylar walls
 - ✓ 25 μm Au-plated W sense wire
 - ✓ 80/20 Ar/CO₂ with HV \sim 1500 V
- Inner 38 cm un-instrumented:
 - ✓ blind to beam flash
 - ✓ blind to **low** pT particles, almost all the DIO

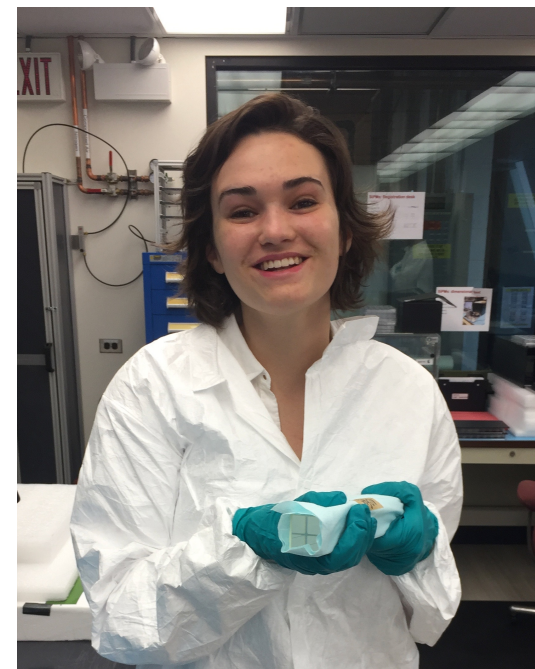
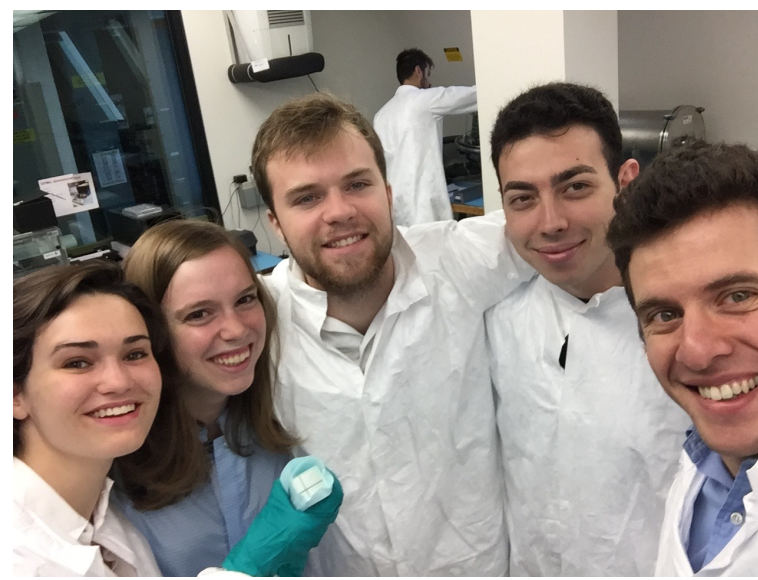
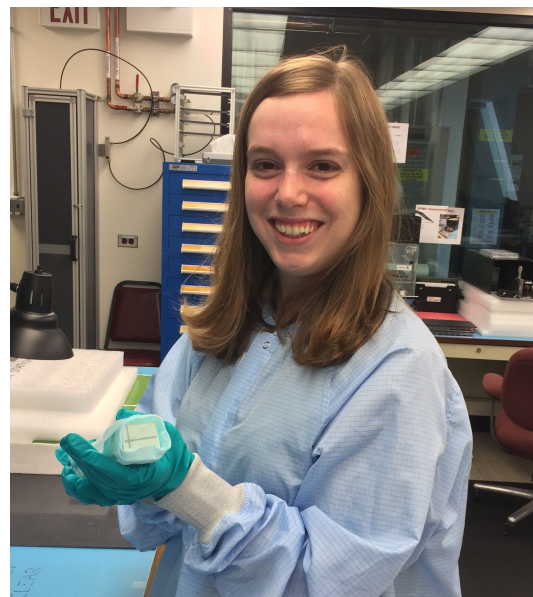




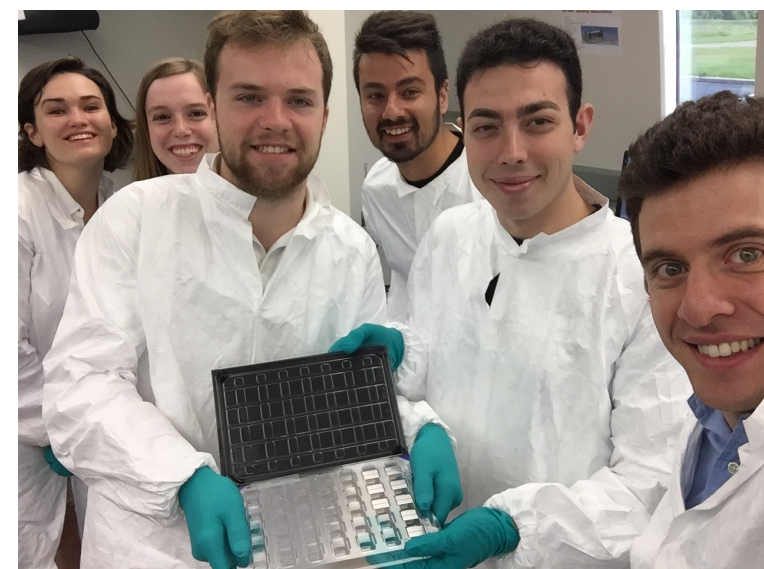
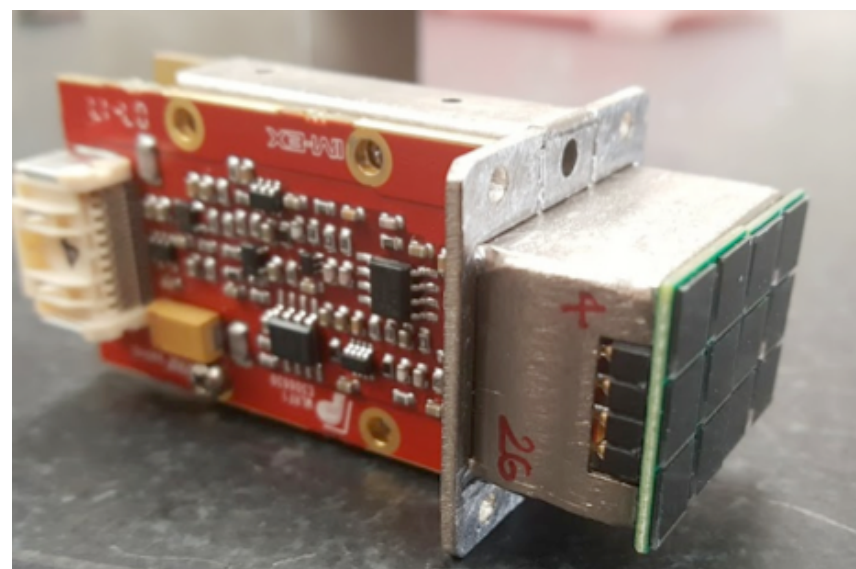
Mu2e calorimeter

- 2 disks; each disk contains 930 undoped CsI crystals $20 \times 3.3 \times 3.3 \text{ cm}^3$
- Inner/outer radii: 35.1/66 cm
- Disk separation $\sim 75 \text{ cm}$
- Readout system:
 - ➔ 2 large area SiPM-array/crystal
 - ➔ 12 bit, 200 MHz waveform-based digitizer boards

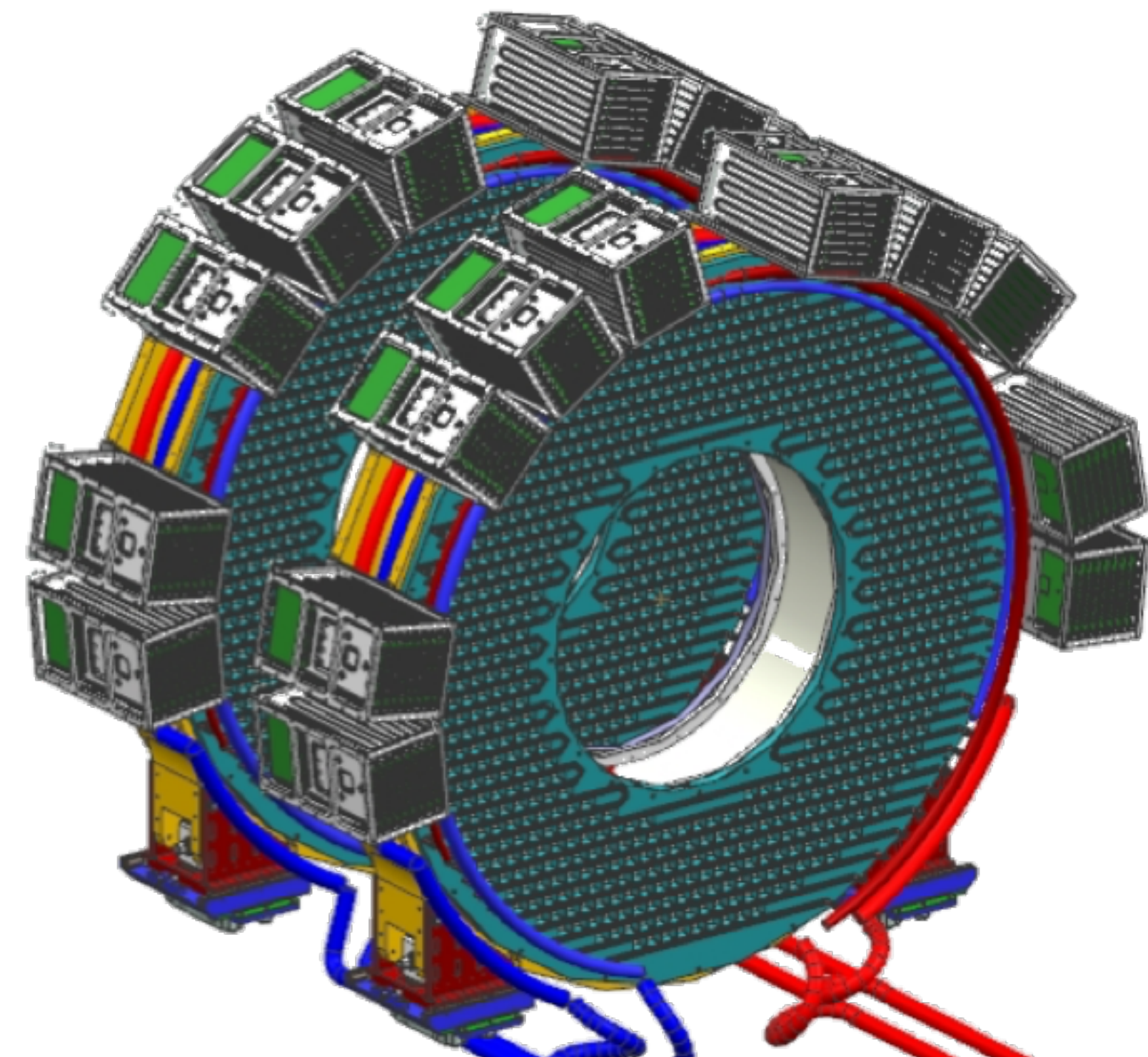
undoped CsI



SiPM array



Calorimeter





Muonic atom life times

