

# PIONEER: a next-generation rare pion decay experiment

Yousen Zhang on behalf of PIONEER collaboration

13 May 2024



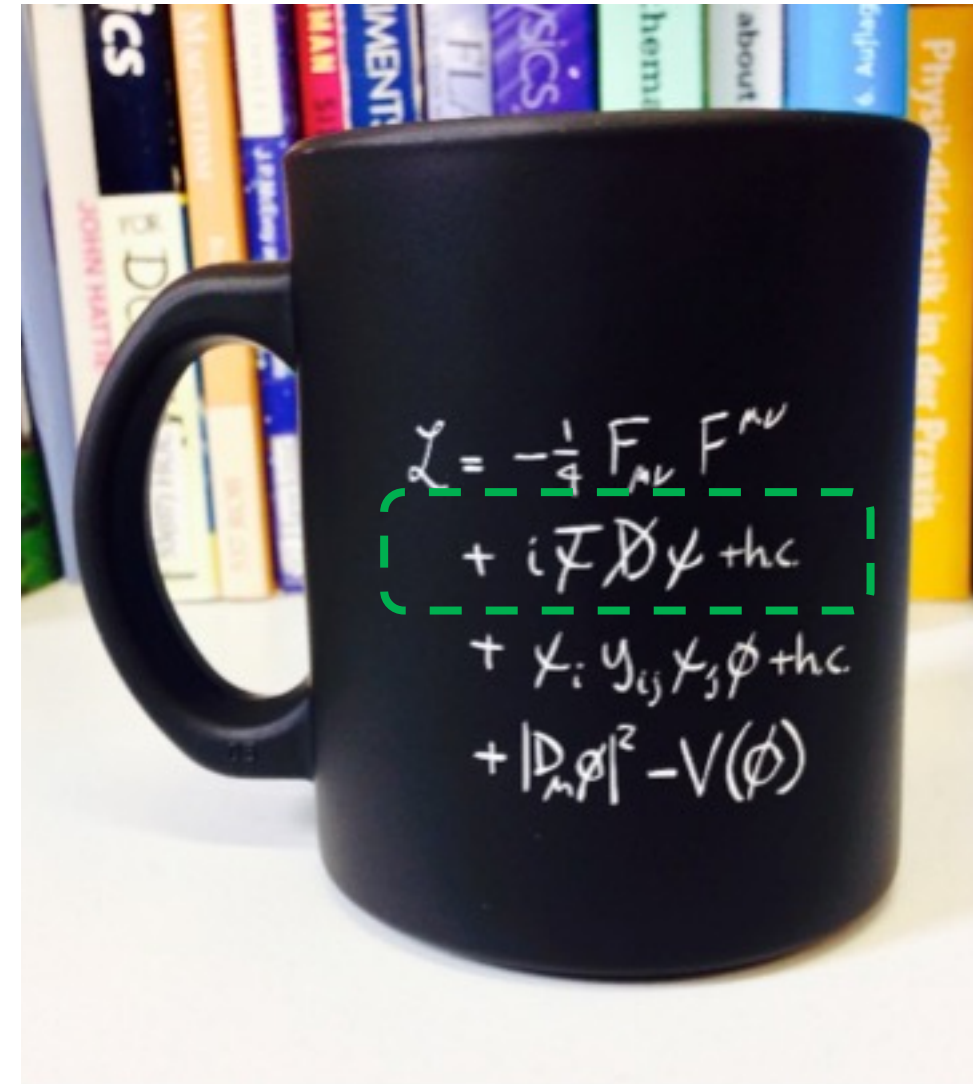
# Lepton flavor symmetry and violation

Standard model (SM): not a full story

Lepton flavor universality (LFU) – an assumed symmetry in SM

- Universal coupling with gauge interaction among leptons  $g_e = g_\mu = g_\tau$
- **Violations imply new physics**

Rare  $\pi^+$  decay  $R_{e/\mu}^\pi = \frac{\Gamma(\pi \rightarrow e\nu(\gamma))}{\Gamma(\pi \rightarrow \mu\nu(\gamma))}$  is key observable to LFU

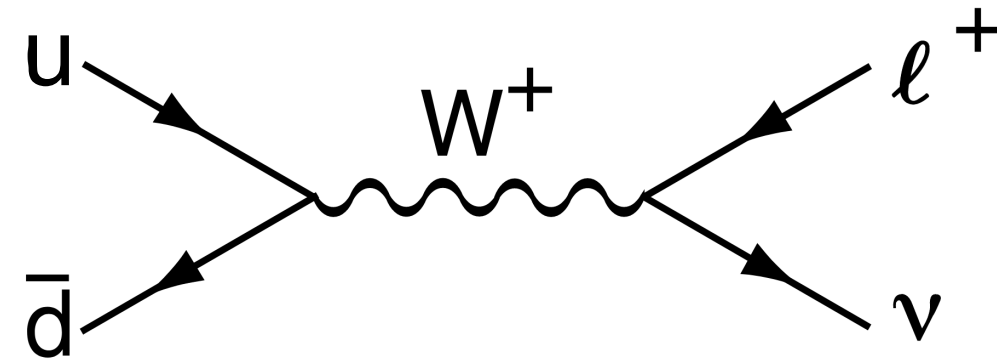
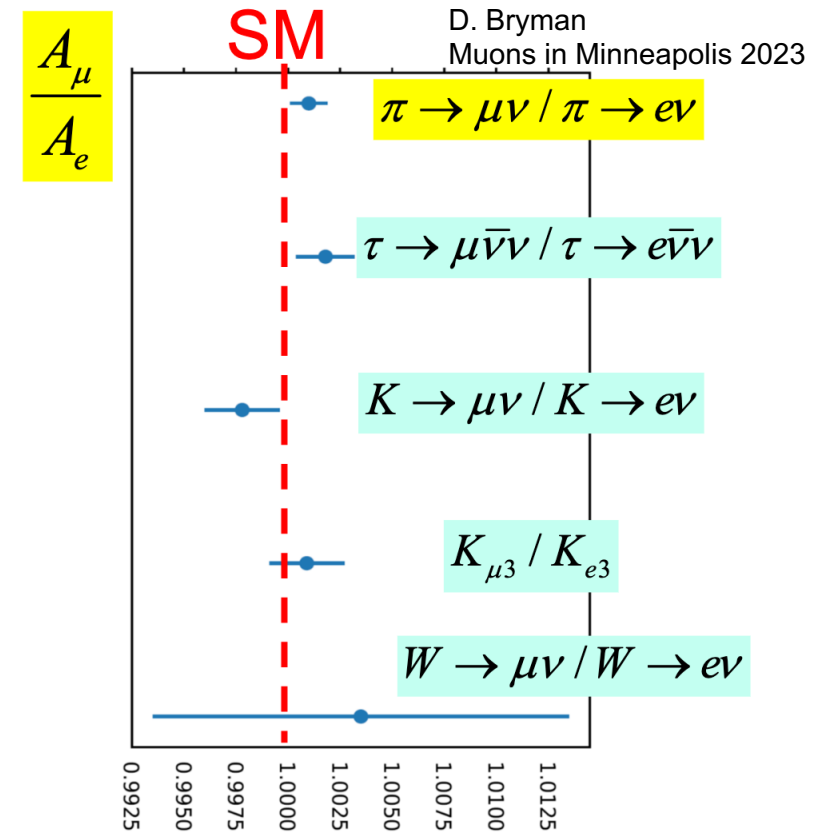


# Why $\pi^+ (u\bar{d}) \rightarrow l^+ \nu$ ?

Most stringent  $\mu/e$  test  $\mathcal{L}_{CC} = A_\ell [\bar{u}\gamma^\mu P_L d][\bar{\nu}_\ell \gamma_\mu P_L \ell]$ .

$$R_{e/\mu}(\text{exp}) = 1.2327(23) \times 10^{-4} \quad \left(\frac{A_\mu}{A_e}\right)_{R_{e/\mu}} = 1.0010(9)$$

- Highly helicity-suppressed,  $R_{e/\mu}^\pi \propto m_e^2/m_\mu^2$
- Corrections to tree-level from high order quantum effects or high-energy new physics
  - Enhanced sensitivity to pseudo-scalar interaction  $\propto M_\pi^2/m_e(m_u+m_d)$
  - Modified  $Wl\nu$  coupling
  - ...



# Quark mixing and pion decays

$$\frac{-g}{\sqrt{2}}(\bar{u}_L, \bar{c}_L, \bar{t}_L)\gamma^\mu W_\mu^+ V_{\text{CKM}} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + \text{h.c.}, \quad V_{\text{CKM}} \equiv V_L^u V_L^{d\dagger} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}.$$

- Unitary implies  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 (\sim 2 \times 10^{-5}) = 1$

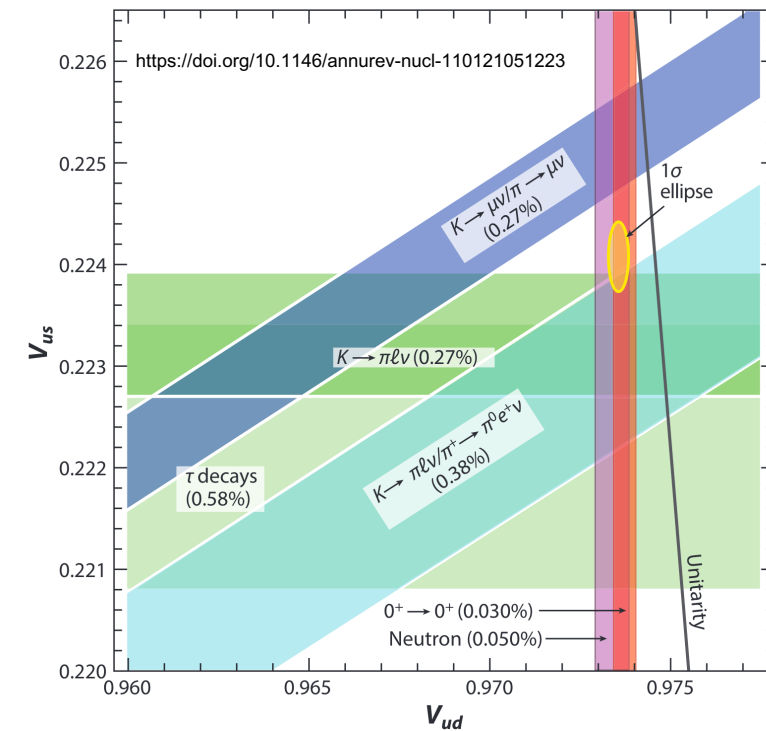
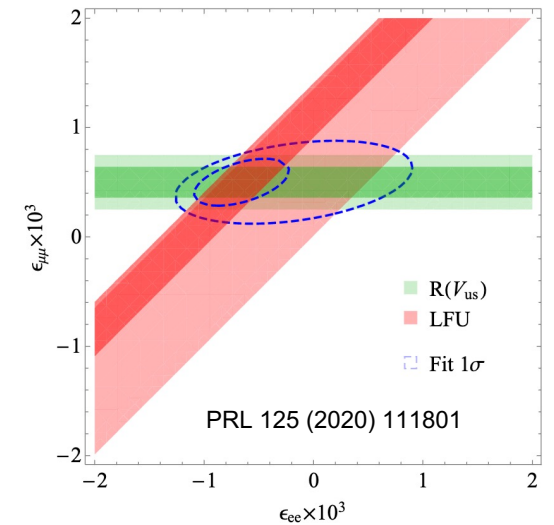
$$|V_{ud}|^2 + |V_{us}|^2 - 1 = (-19.5 \pm 5.3) \times 10^{-4}$$

- Modified  $Wl\nu$  coupling leads to  $V_{ud}^\beta = V_{ud}^{\mathcal{L}}(1 - \epsilon_{\mu\mu})$   
(PRL 125 (2020) 111801)
  - $R_{e/\mu}^\pi$  sensitive to  $(1 - \epsilon_{\mu\mu} + \epsilon_{ee})$

- $R_{\beta\pi} = \frac{\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu(\gamma))}{\Gamma(\text{total})} \sim (10^{-8})$  theoretically cleanest  
for  $|V_{ud}| = 0.9739(28)_{\text{exp}}(1)_{\text{th}}$  PRD 101 (2020) 091301(R)

$$\frac{\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu)}{\Gamma(\text{Total})} = 1.036 \pm 0.004(\text{stat}) \pm 0.004(\text{syst}) \pm 0.003(\pi \rightarrow e\nu) \times 10^{-8}$$

PRL 93 (2004) 181803



# Challenges and opportunities

$$R_{e/\mu}(\text{SM}) = 1.23524(015) \times 10^{-4}$$

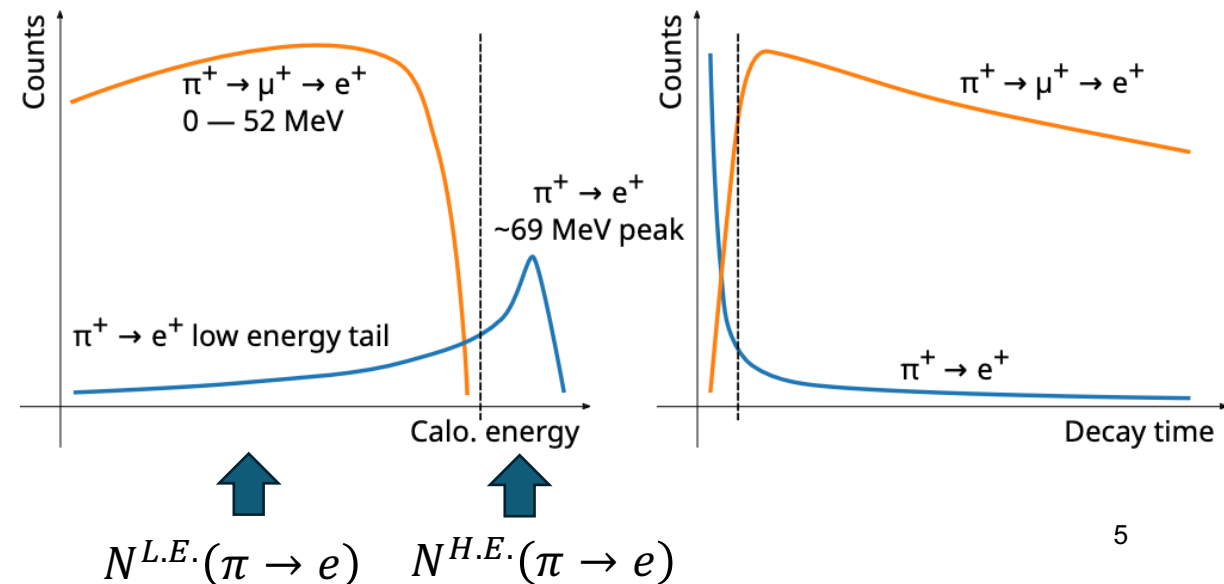
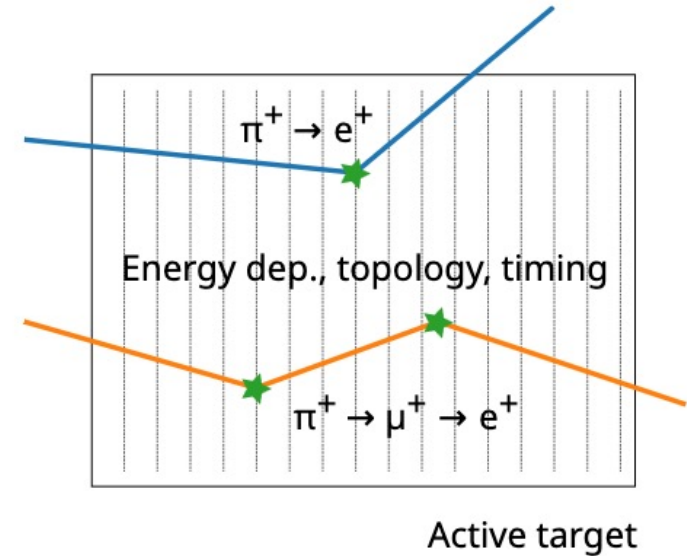
$$R_{e/\mu}(\text{exp}) = 1.23270(230) \times 10^{-4}$$

- For  $\delta R_{e/\mu}^{\pi} \sim O(10^{-4})$ , given  $R_{e/\mu}^{\pi} \sim 1 \times 10^{-4}$ 
  - Pion beam with high intensity and rate
  - Excellent particle identification (PID)
    - Timing + position reconstruction
    - Energy deposition
  - Energy resolution for differentiating  $\pi \rightarrow e$  and  $\pi \rightarrow \mu \rightarrow e$

Experiment method:

$$R_{e/\mu} = \frac{N(\pi \rightarrow e)}{N(\pi \rightarrow \mu \rightarrow e)} = \frac{N^{H.E.}(\pi \rightarrow e)(1 + C_{tail})}{N(\pi \rightarrow \mu \rightarrow e)}$$

$$C_{tail} = \frac{N^{L.E.}(\pi \rightarrow e)}{N^{H.E.}(\pi \rightarrow e)}$$



# PIONEER Collaboration



- Phase 1:
  - $R_{e/\mu}^{\pi} = \frac{\Gamma(\pi \rightarrow e\nu(\gamma))}{\Gamma(\pi \rightarrow \mu\nu(\gamma))}$
  - other BSM searches

- Phase 2+3
  - $R_{\beta\pi} = \frac{\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu(\gamma))}{\Gamma(total)}$

# Concept design of PIONEER experiment

- $\pi E5$  beam – 300 kHz high intensity pion beam at PSI
- Degraded TARget – to slow pions
- Active TARget – to stop pions
- Calorimeter – to determine positron energy and timing
- Tracker – auxiliary part to determine topology of exiting positron track

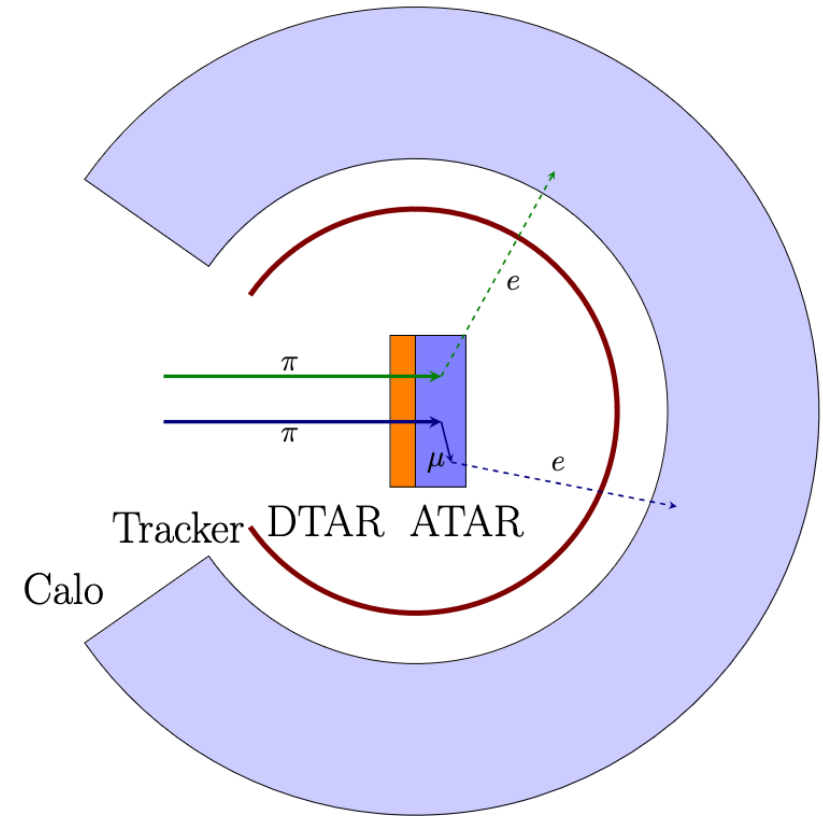


Illustration of PIONEER



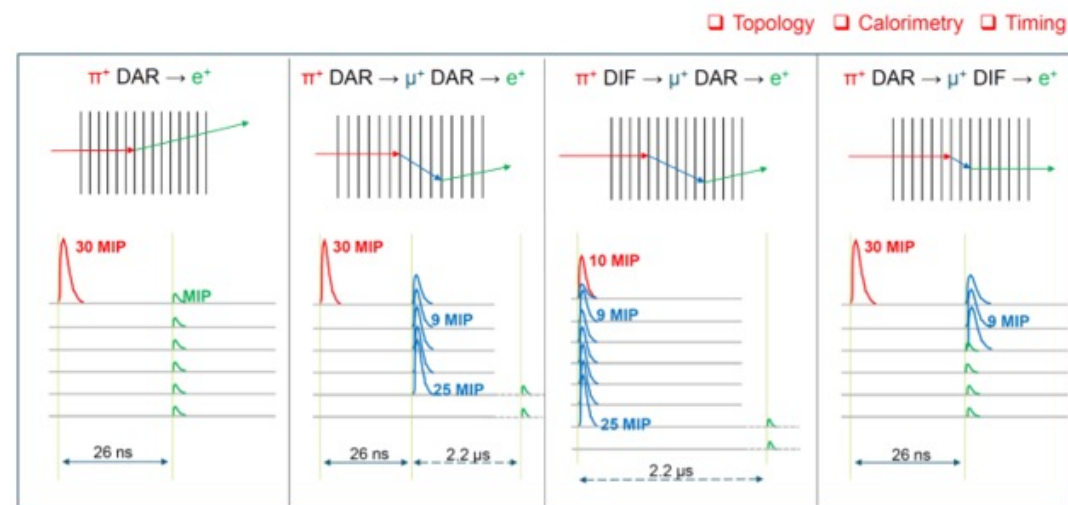
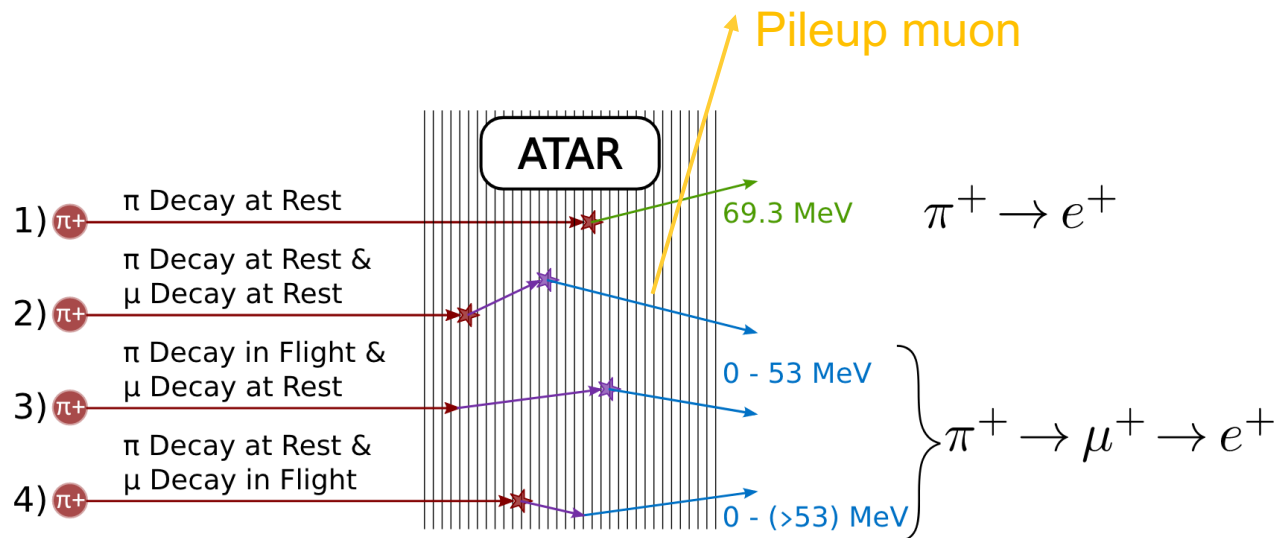
# ATAR – a (4+1)-D tracking calorimeter

- Challenges to ATAR

- Signal-to-background ratio  $O(10^{-4})$  require excellent  $\pi \rightarrow \mu$  rejection
- Pileup old muons
- Impurity from beam  $\mu/e$

- Design must satisfy

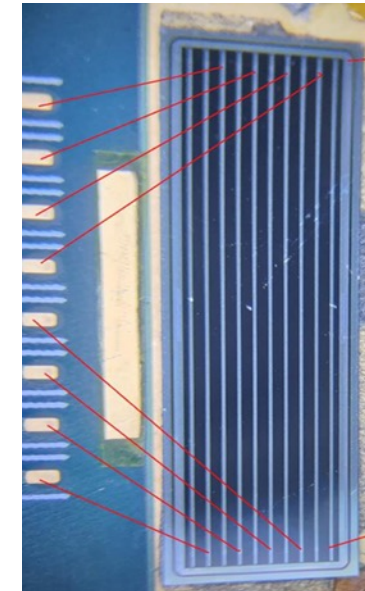
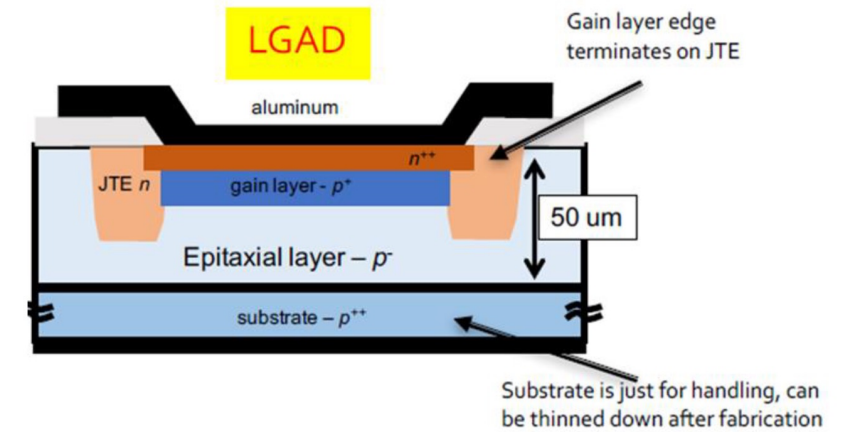
- High granularity for tracking
- Fast response in timing
- Good determination of energy deposition inside ATAR



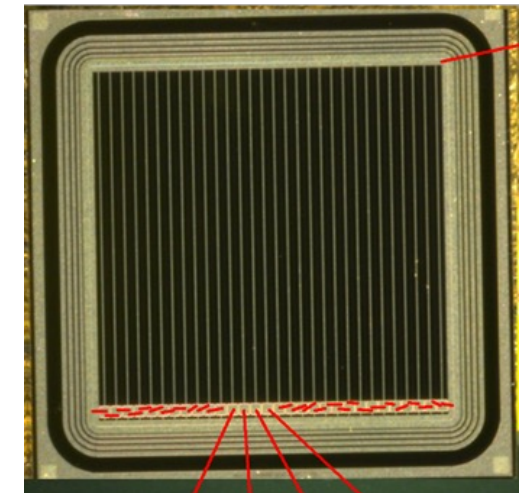


# ATAR – a (4+1)-D tracking calorimeter

- Low Gain Avalanche Diode (LGAD)
  - An additional gain layer multiply signals
  - Better timing resolution down to  $O(10) ps$
  - Widely used at particle physics, e.g. HL-LHC, EIC, etc.
- ATAR key specifications
  - 48 layers of 120um-thick LGAD plane
  - 2x2 cm<sup>2</sup> transverse size for each layer
  - 100 strips for each layer (200 um pitch size)



AC-LGAD



TI-LGAD

<https://www.mdpi.com/2410-390X/5/4/40>  
<https://doi.org/10.1016/j.nima.2024.169395>

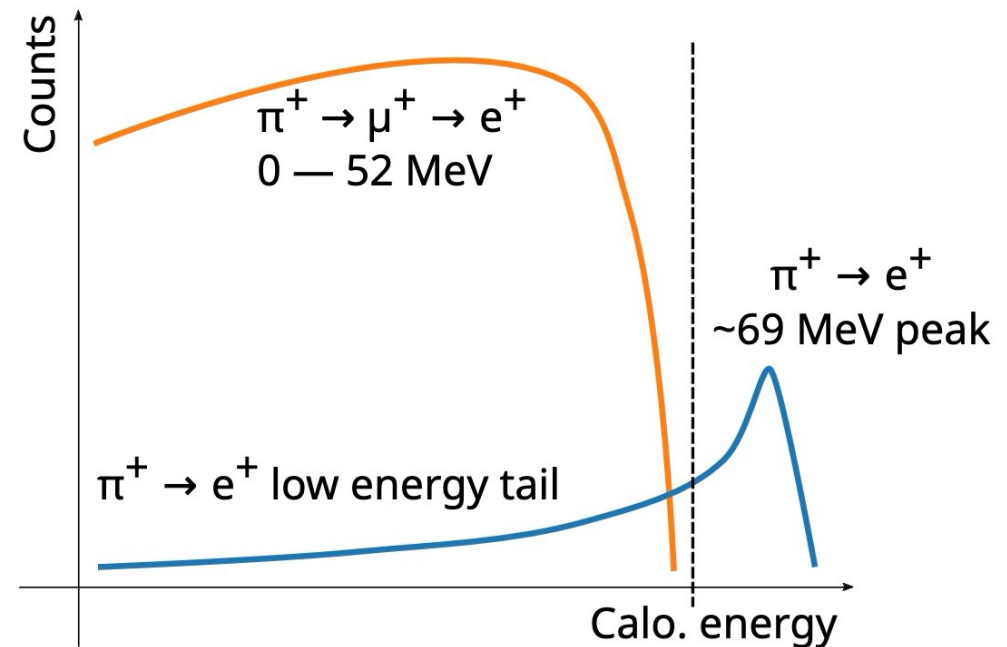
# Calorimeter

## Challenges to CALO

- Bhabha scattering, shower leakage,  $\sigma_E$  and etc. lead to a tail of  $\pi \rightarrow e$  under overwhelming  $\pi \rightarrow \mu \rightarrow e$
- Pileup muon accidentally produce fake  $\pi \rightarrow e$

## Calorimeter needs to satisfy

- Reasonable radiation length
- Excellent energy resolution
- Large solid angle coverage
- Precision timing



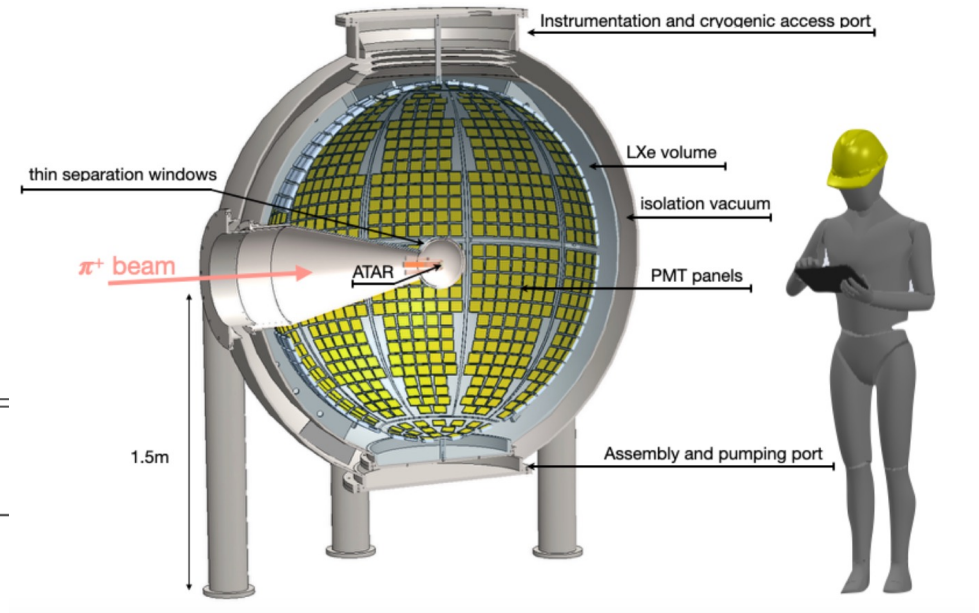
# CALO options: LXe and LYSO crystal

Liquid Xenon (LXe) arxiv:2310.11902

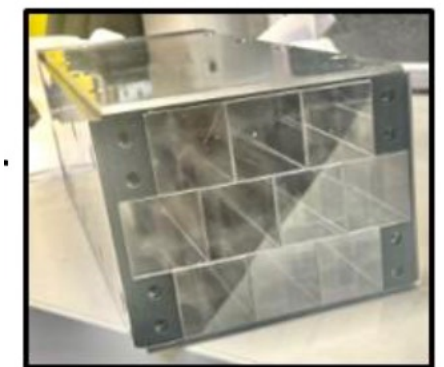
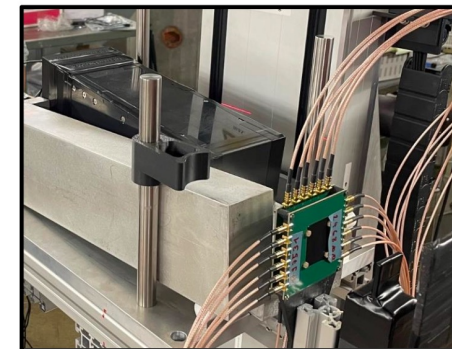
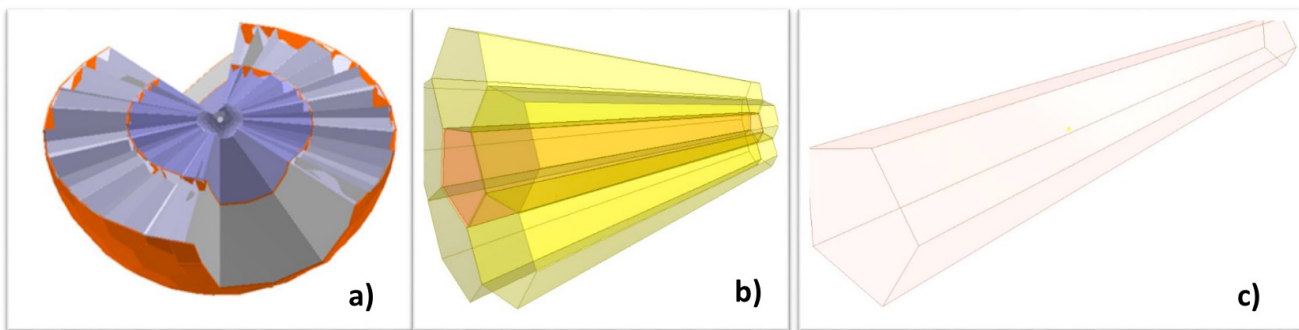
- Excellent homogeneity
- Energy resolution  $\sim 1.8\%$  @ 50 MeV

LYSO crystals NIM A 824 (2016) 684, arxiv:2203.06731

- Segmented
- Prototype studies show resolution  $< 2\%$



| Detector | Density<br>g/cm <sup>3</sup> | dE/dx<br>MeV/cm | $X_0$<br>cm | $R_M$<br>cm | Decay time<br>ns | $\lambda_{max}$<br>nm |
|----------|------------------------------|-----------------|-------------|-------------|------------------|-----------------------|
| LXe      | 2.953                        | 3.707           | 2.872       | 5.224       | 3, 27, 45        | 178                   |
| LSO(Ce)  | 7.40                         | 9.6             | 1.14        | 2.07        | 40               | 402                   |

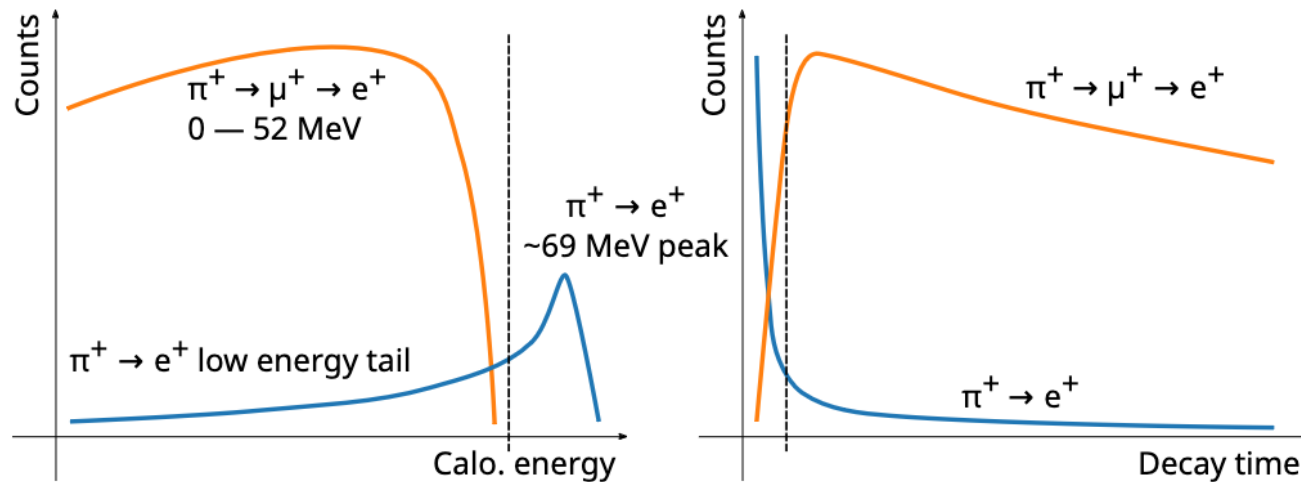


# Fast trigger and data acquisitions

## Several key triggers

- PI – an unbiased trigger
- Prompt – suppress  $\pi \rightarrow \mu$
- CALO – suppress  $\pi \rightarrow \mu$
- TRACK – for redundancy

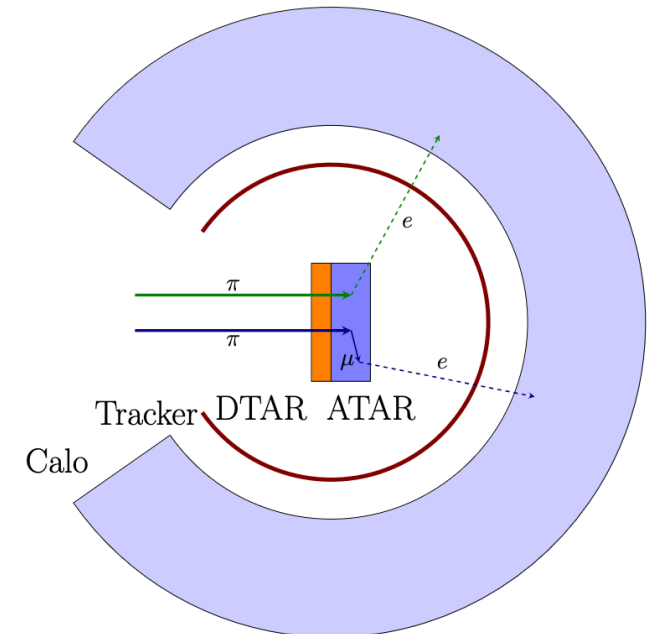
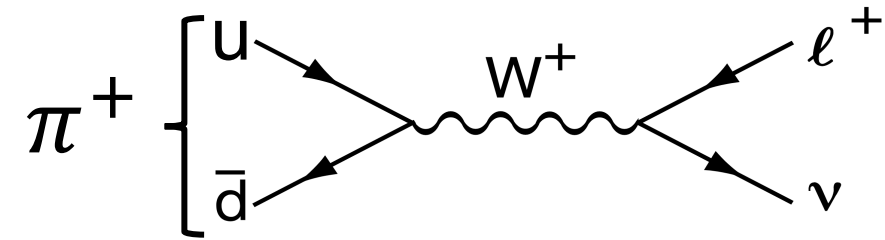
| triggers | prescale | range<br>TR(ns) | rate<br>(kHz) |
|----------|----------|-----------------|---------------|
| PI       | 1000     | -300,700        | 0.3           |
| CaloH    | 1        | -300,700        | 0.1           |
| TRACK    | 50       | -300,700        | 3.4           |
| PROMPT   | 1        | 2,32            | 5             |





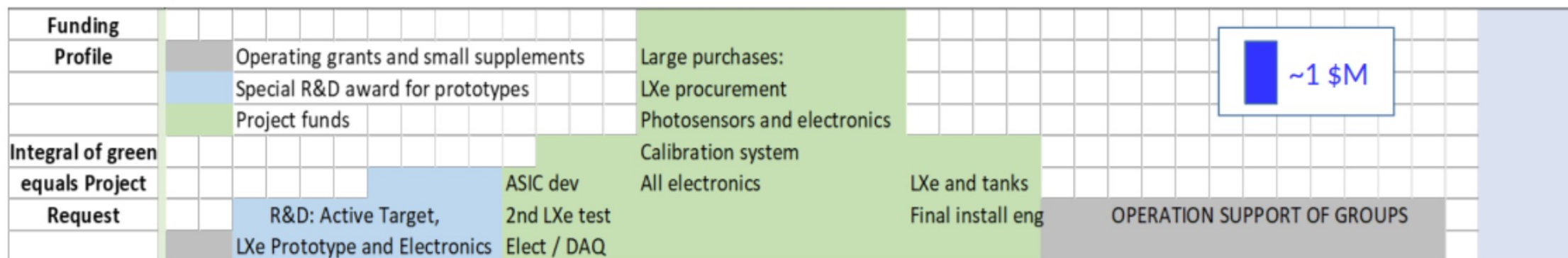
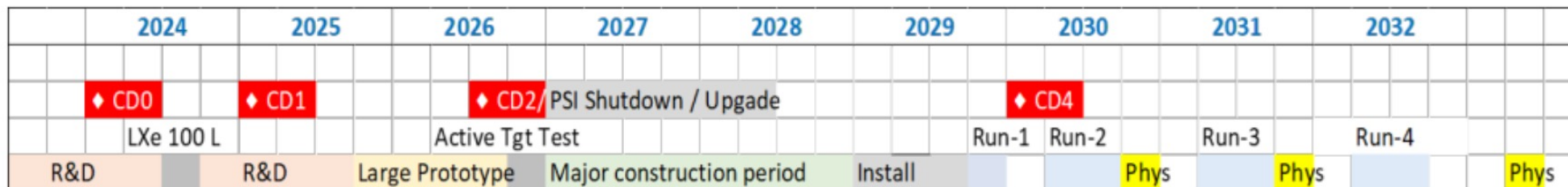
# Summary and outlook

- Unique physics opportunities to study LFUV by measuring pion rare decays
- PIONEER aims at
  - $R_{e/\mu}^{\pi} = \frac{\Gamma(\pi \rightarrow e\nu(\gamma))}{\Gamma(\pi \rightarrow \mu\nu(\gamma))}$  with uncertainty O(0.01%)
  - $R_{\beta\pi} = \frac{\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu(\gamma))}{\Gamma(\text{total})}$  with uncertainty O(0.05%)
- Active developments of PIONEER design
  - (4+1)-D active silicon targets
  - Calorimeter with excellent timing and energy response
  - Fast trigger and DAQ
  - And more!



# Backup

# PIONEER Experiment



P5 presentation by D. Hertzog

# CKM and LFUV

Redefinition of fermi constant and assume CKM in SM is unitary

$$\frac{1}{\tau_\mu} = \frac{(G_F^{\mathcal{L}})^2 m_\mu^5}{192\pi^3} (1 + \Delta q)(1 + \varepsilon_{ee} + \varepsilon_{\mu\mu})^2$$

$$V_{ud}^\beta = V_{ud}^{\mathcal{L}}(1 - \varepsilon_{\mu\mu})$$

$$V_{us}^{K\mu 3} = V_{us}^{\mathcal{L}}(1 - \varepsilon_{ee})$$

$$V_{us}^{Ke 3} = V_{us}^{\mathcal{L}}(1 - \varepsilon_{\mu\mu})$$

$$G_F = G_F^{\mathcal{L}}(1 + \varepsilon_{ee} + \varepsilon_{\mu\mu}), \quad V_{us}^\beta \equiv \sqrt{1 - (V_{ud}^\beta)^2 - |V_{ub}|^2} \simeq V_{us}^{\mathcal{L}} \left[ 1 + \left( \frac{V_{ud}^{\mathcal{L}}}{V_{us}^{\mathcal{L}}} \right)^2 \varepsilon_{\mu\mu} \right], \quad R(V_{us}) = \frac{V_{us}^{K\mu 2}}{V_{us}^\beta}$$

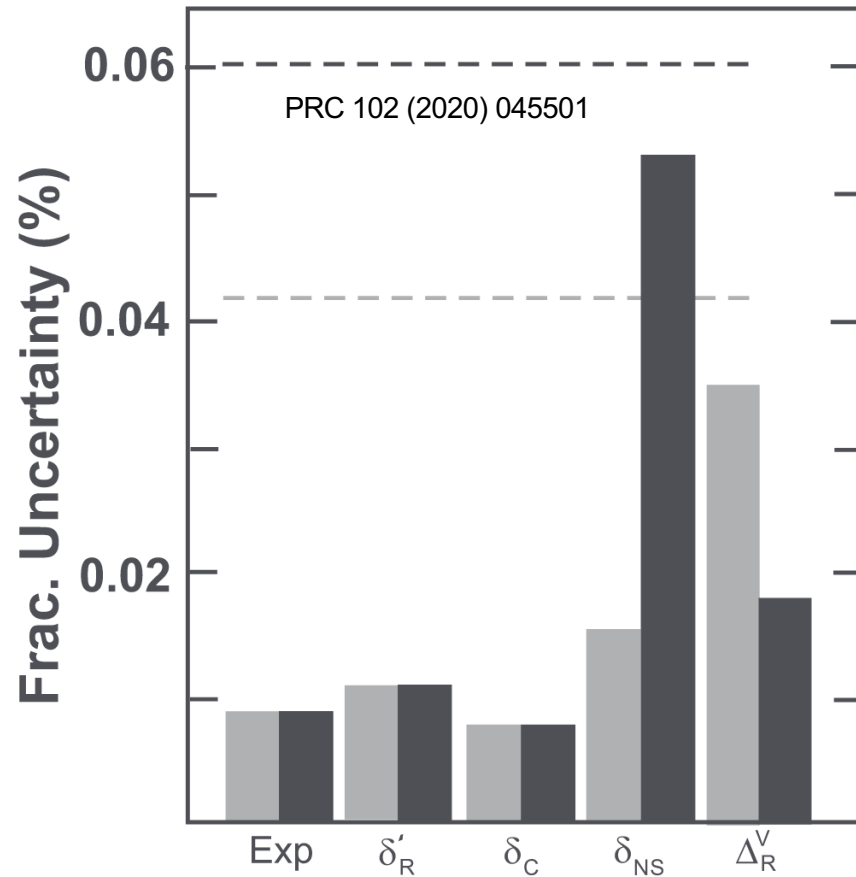
TABLE I. Ratios sensitive to LFUV in the  $\mu$ - $e$  sector, indicating the dependence on the LFU violating parameters  $\varepsilon_{ij}$ . For  $R(V_{us})$  we give the values corresponding to the radiative corrections from Refs. [11,14]. The last column gives the constraints on  $(\varepsilon_{\mu\mu} - \varepsilon_{ee}) \times 10^3$  and  $\varepsilon_{\mu\mu} \times 10^3$ , respectively.

| Observable   | Measurement          | Constraint |
|--|----------------------|------------|
| $K \rightarrow \pi \mu \bar{\nu} / K \rightarrow \pi e \bar{\nu} \simeq 1 + \varepsilon_{\mu\mu} - \varepsilon_{ee}$ | 1.0010(25) [77]      | 1.0(2.5)   |
| $K \rightarrow \mu \nu / K \rightarrow e \nu \simeq 1 + \varepsilon_{\mu\mu} - \varepsilon_{ee}$                     | 0.9978(18) [3,78,79] | -2.2(1.8)  |
| $\pi \rightarrow \mu \nu / \pi \rightarrow e \nu \simeq 1 + \varepsilon_{\mu\mu} - \varepsilon_{ee}$                 | 1.0010(9) [3,80-82]  | 1.0(9)     |
| $\tau \rightarrow \mu \bar{\nu} / \tau \rightarrow e \bar{\nu} \simeq 1 + \varepsilon_{\mu\mu} - \varepsilon_{ee}$   | 1.0018(14) [3,32]    | 1.8(1.4)   |
| $W \rightarrow \mu \bar{\nu} / W \rightarrow e \bar{\nu} \simeq 1 + \varepsilon_{\mu\mu} - \varepsilon_{ee}$         | 0.9960(100) [83,84]  | -4(10)     |
| $B \rightarrow D^{(*)} \mu \nu / B \rightarrow D^{(*)} e \nu \simeq 1 + \varepsilon_{\mu\mu} - \varepsilon_{ee}$     | 0.9890(120) [85]     | -11(12)    |
| $R(V_{us}) \simeq 1 - (V_{ud}/V_{us})^2 \varepsilon_{\mu\mu}$  | 0.9891(33) [11]      | 0.58(17)   |
|  | 0.9927(39) [14]      | 0.39(21)   |



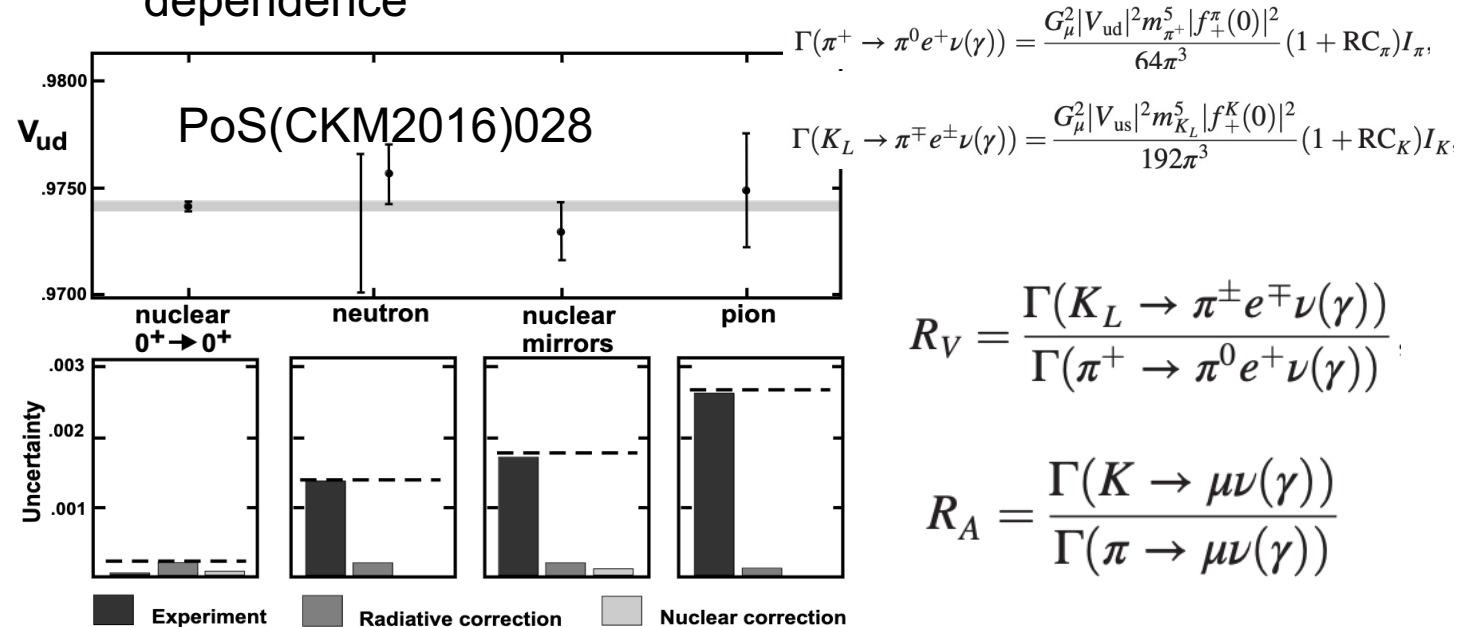
# CKM elements

## Superallowed beta decay



$$\frac{\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu)}{\Gamma(\text{Total})} = 1.036 \pm 0.004(\text{stat}) \pm 0.004(\text{syst}) \pm 0.003(\pi \rightarrow e\nu) \times 10^{-8}$$

- PIONEER Phase 2: 3-fold improvement for  $R_{\beta\pi}$  and a 0.2% determination for  $|V_{us}/V_{ud}|$
- PIONEER Phase 3: 10-fold improvement for  $R_{\beta\pi}$  and 0.02% determination for  $V_{ud}$  without nuclear structure dependence



\* Neutron needs corrections from lifetime and  $\lambda$

# SM and charged scalar

Standard model calculation using ChPT

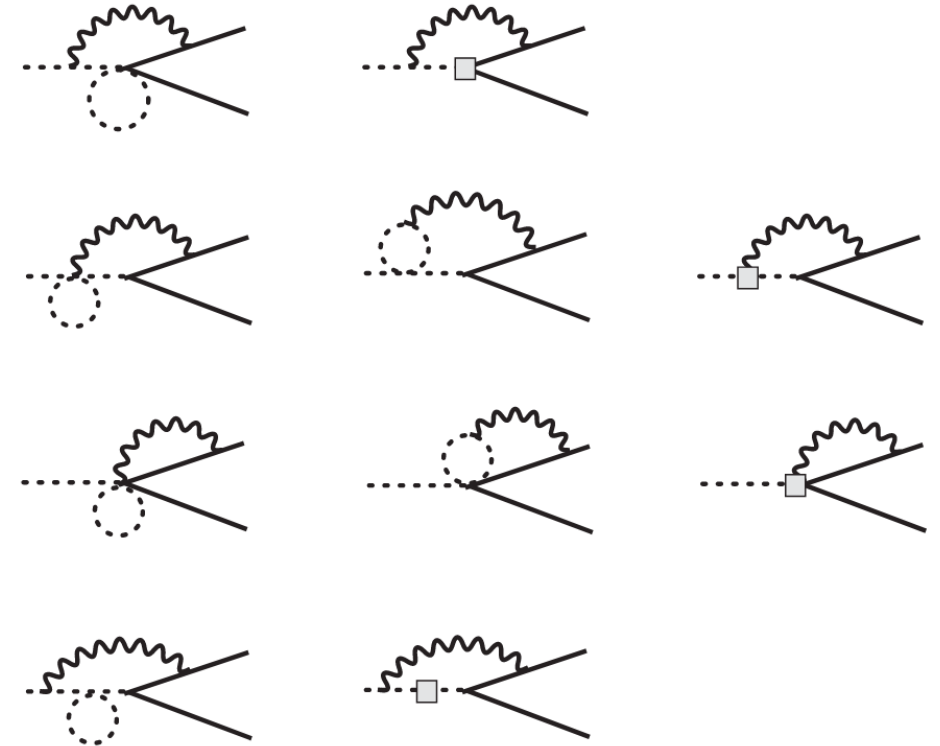
$$R_{e/\mu}^{(P)} = R_{e/\mu}^{(0),(P)} [1 + \Delta_{e^2 p^2}^{(P)} + \Delta_{e^2 p^4}^{(P)} + \Delta_{e^2 p^6}^{(P)} + \dots]$$

$$R_{e/\mu}^{(0),(P)} = \frac{m_e^2}{m_\mu^2} \left( \frac{m_P^2 - m_e^2}{m_P^2 - m_\mu^2} \right)^2.$$

Sensitivity to PeV scale

$$1 - \frac{R_{e/\mu}^{New}}{R_{e/\mu}^{SM}} \sim \mp \frac{\sqrt{2}\pi}{G_\mu} \frac{1}{\Lambda_{eP}^2} \frac{m_\pi^2}{m_e(m_d + m_u)}$$

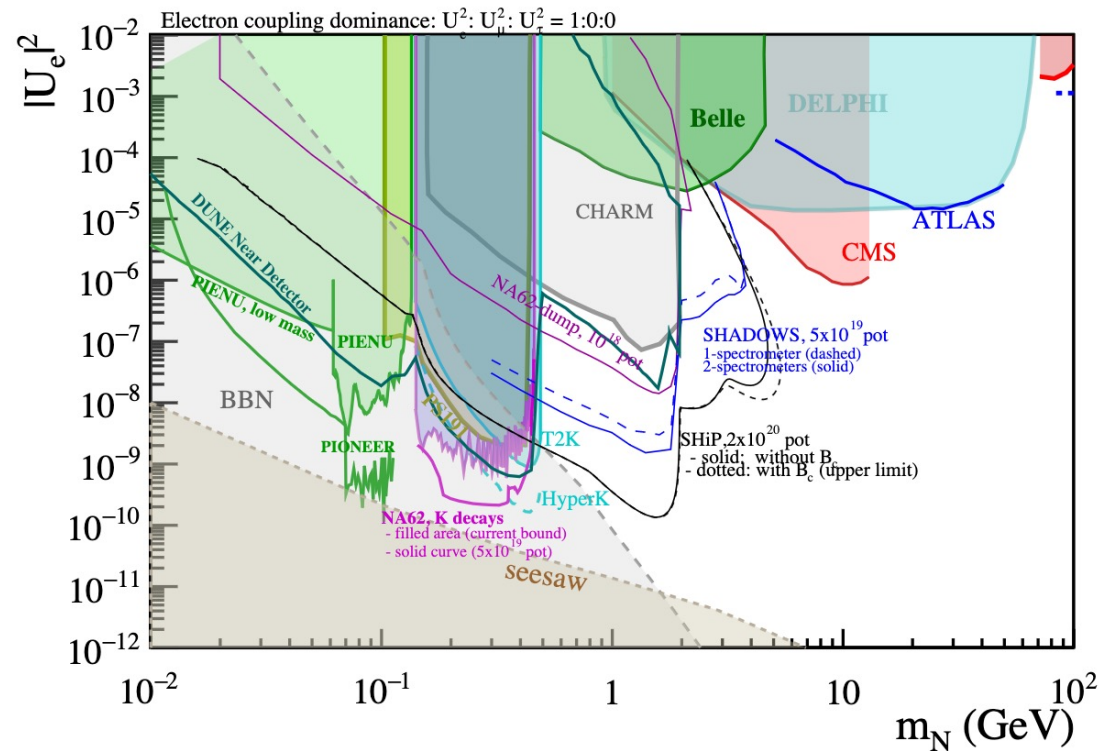
From PiENu proposal  $\sim \left( \frac{1TeV}{\Lambda_{eP}} \right)^2 \times 10^3,$



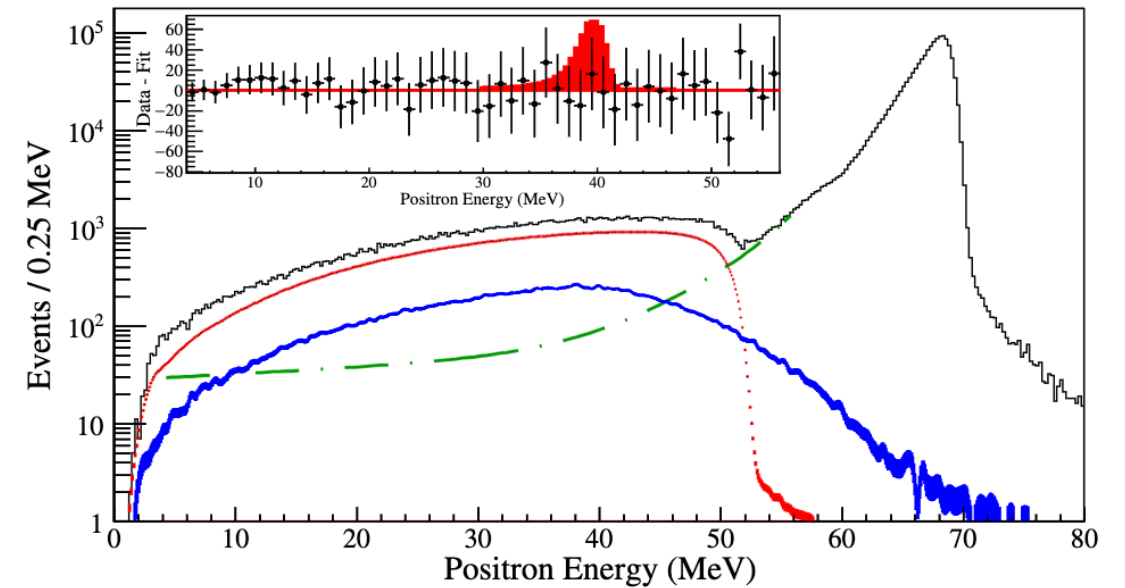
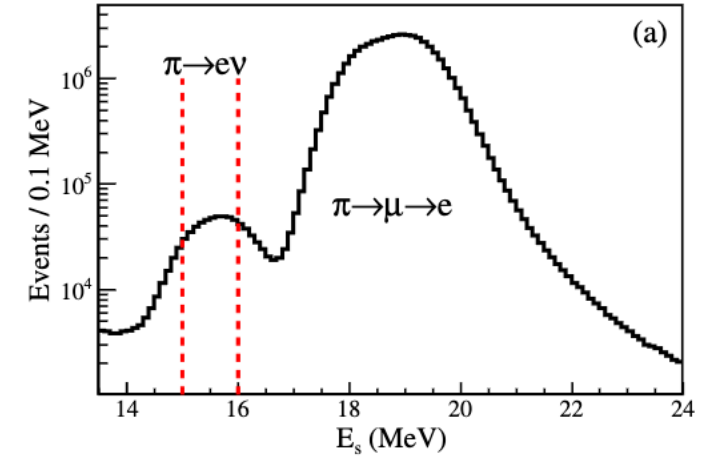
PRL 99 (2007) 231801

# Heavy neutrino, $\pi \rightarrow e\nu_H$

Heavy neutrino,  $\pi \rightarrow e\nu_H$



Arxiv:2203.08039



PRD 97 (2018) 072012

# Error budgets

From PiENU & PEN to PIONEER

- Phase 1: Expected  $2 \times 10^8 \pi \rightarrow e\nu(\gamma)$  for 3 years (5 mon/yr)
- Phase 2: Expected  $7 \times 10^5 \pi^+ \rightarrow \pi^0 e\nu(\gamma)$  for 4 years (5 mon/yr)
- Phase 3: Expected  $7 \times 10^6 \pi^+ \rightarrow \pi^0 e\nu(\gamma)$

$$R_{e/\mu} = \frac{N(\pi \rightarrow e)}{N(\pi \rightarrow \mu \rightarrow e)} = \frac{N^{H.E.}(\pi \rightarrow e)(1 + C_{tail})}{N(\pi \rightarrow \mu \rightarrow e)}$$

|  | PIENU 1505.02737 | PEN hep-ex/0312017 | PIONEER         |
|--|------------------|--------------------|-----------------|
| $\pi^+$ stopping rate (Hz)               | $5 \times 10^4$  | $2 \times 10^4$    | $3 \times 10^5$ |
| CALO radiation length ( $X_0$ )          | 19               | 12                 | 25              |
| CALO resolution $\sigma, \delta E/E$ (%) | 0.9              | 12.8               | 1.5             |

| Phase  | p<br>(MeV/c) | $\Delta p/p$<br>(%) | $\Delta Z$<br>(mm) | $\Delta X \times \Delta Y$<br>(mm <sup>2</sup> ) | $\Delta X', \Delta Y'$ | $R_\pi$<br>(10 <sup>6</sup> /s) |
|--------|--------------|---------------------|--------------------|--|------------------------|---------------------------------|
| I      | 55-70        | 2                   | 1                  | 10x10  | $\pm 10^\circ$         | 0.3                             |
| II,III | $\approx 85$ | $\leq 5$            | 3                  | 15x15  | $\pm 10^\circ$         | 20                              |

| Error Source             | PIENU 2015 PIONEER Estimate |                               |
|--------------------------|-----------------------------|-------------------------------|
|                          | %                           | %                             |
| Statistics               | 0.19                        | 0.007                         |
| Tail Correction          | 0.12                        | <0.01                         |
| $t_0$ Correction         | 0.05                        | <0.01                         |
| Muon DIF                 | 0.05                        | 0.005                         |
| Parameter Fitting        | 0.05                        | <0.01                         |
| Selection Cuts           | 0.04                        | <0.01                         |
| Acceptance Correction    | 0.03                        | 0.003                         |
| <b>Total Uncertainty</b> | <b>0.24</b>                 | <b><math>\leq 0.01</math></b> |



# PiENu and PiBeta/PEN

## PIENU @ TRIUMF

Single crystal NaI(Tl) right behind the target

- ▶ Geometrical Acceptance: 20% of  $4\pi$
- ▶  $\Delta E = 2.2\%$ (FWHM)

CsI ring shower collector

- ▶  $\pi_{e2}$  tail suppression
- ▶ gamma from radiative decay

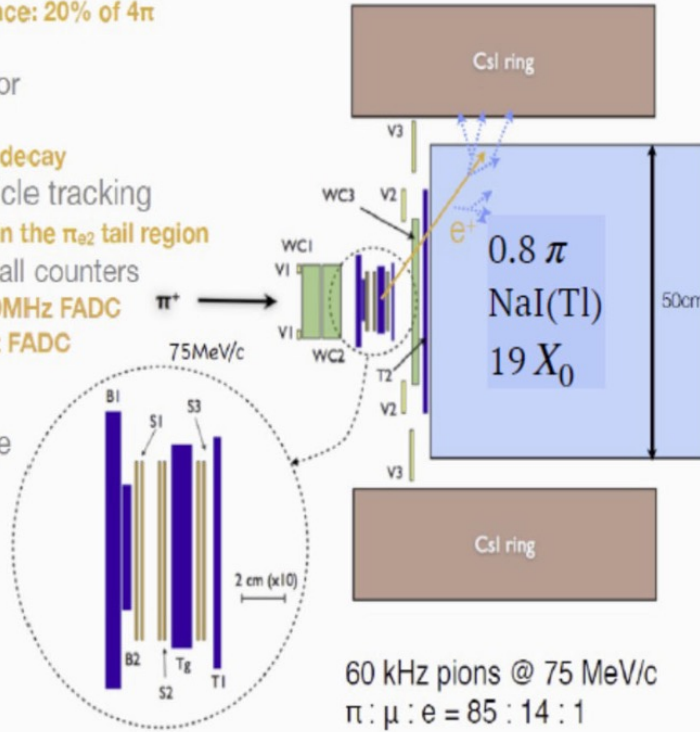
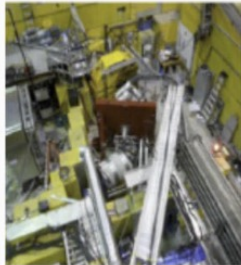
SSD and WC for particle tracking

- ▶ Identify  $\pi$ -DIF events in the  $\pi_{e2}$  tail region

Flash-ADC readout for all counters

- ▶ Plastic Scintillator: 500MHz FADC
- ▶ NaI(Tl) and CsI: 60MHz FADC
- ▶ Pile-up tagging

• TRIUMF M13 beamline



60 kHz pions @ 75 MeV/c  
 $\pi : \mu : e = 85 : 14 : 1$

NaI slow but excellent resolution (1%  $\sigma$  at 70 MeV)

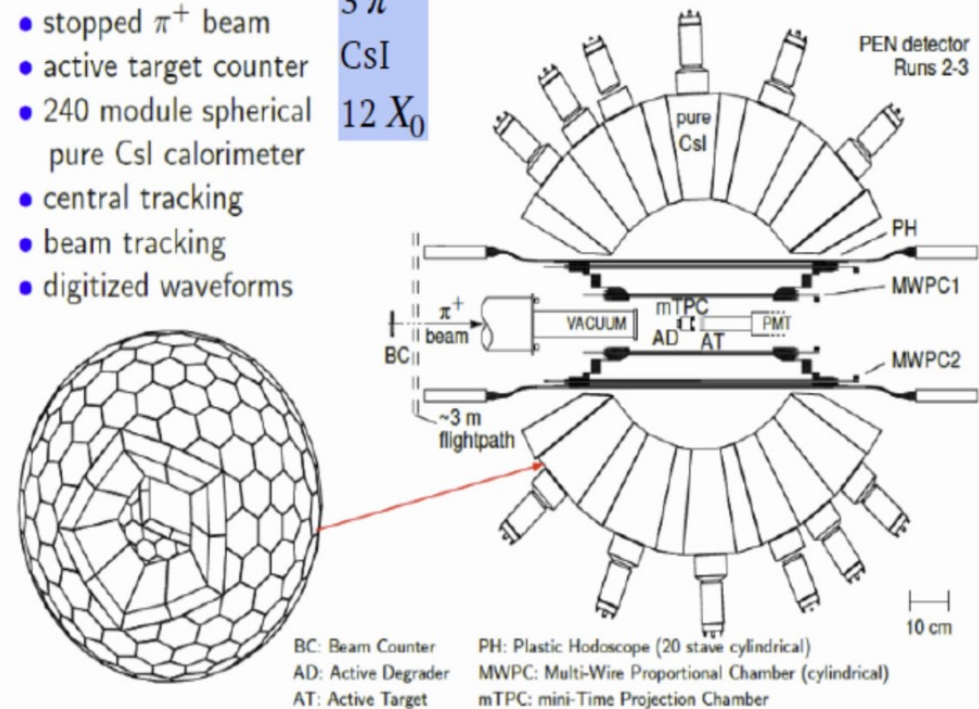
non uniformity, small solid angle

## PEN @ PSI

### The PEN/PIBETA apparatus

- $\pi$ E1 beamline at PSI
- stopped  $\pi^+$  beam
- active target counter
- 240 module spherical pure CsI calorimeter
- central tracking
- beam tracking
- digitized waveforms

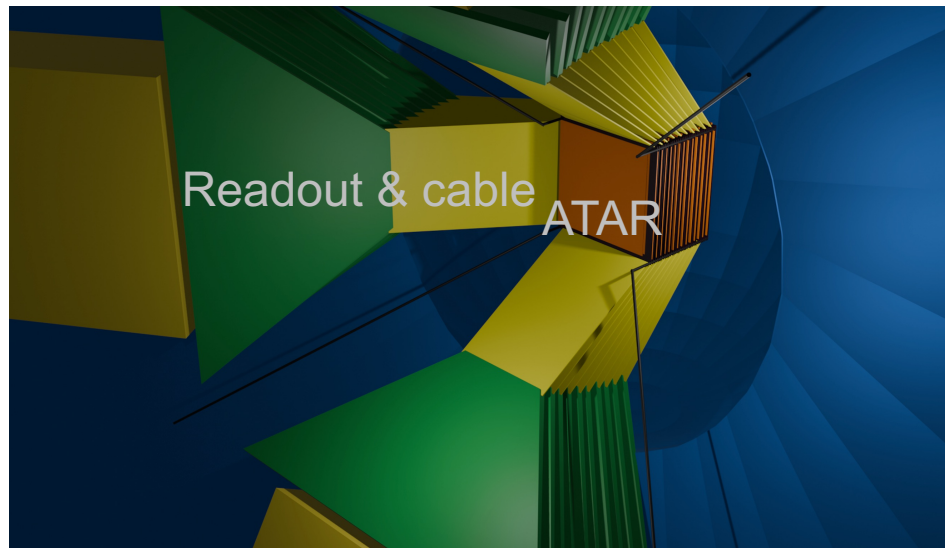
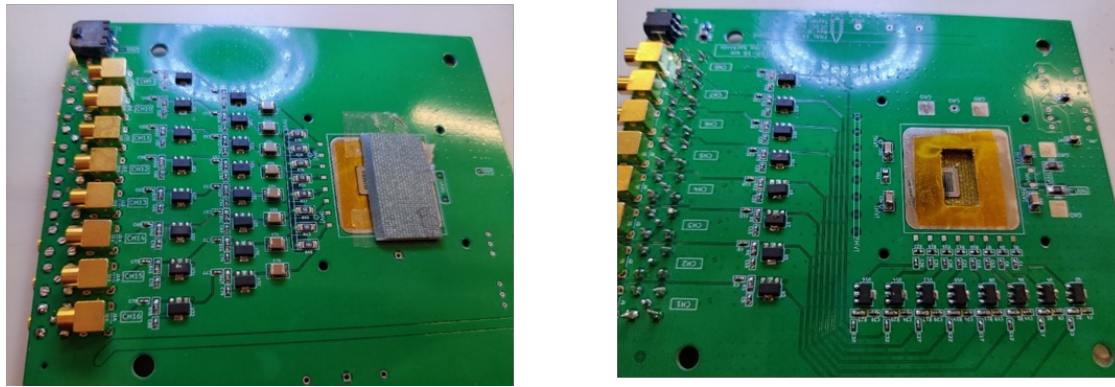
$3\pi$   
CsI  
 $12 X_0$



BC: Beam Counter  
 AD: Active Degradar  
 AT: Active Target  
 PH: Plastic Hodoscope (20 stave cylindrical)  
 MWPC: Multi-Wire Proportional Chamber (cylindrical)  
 mTPC: mini-Time Projection Chamber

Good geometry but calorimeter depth too small

# Gallery of ATAR



- (a) Standard LGAD
- (b) AC-LGAD / TI-LGAD

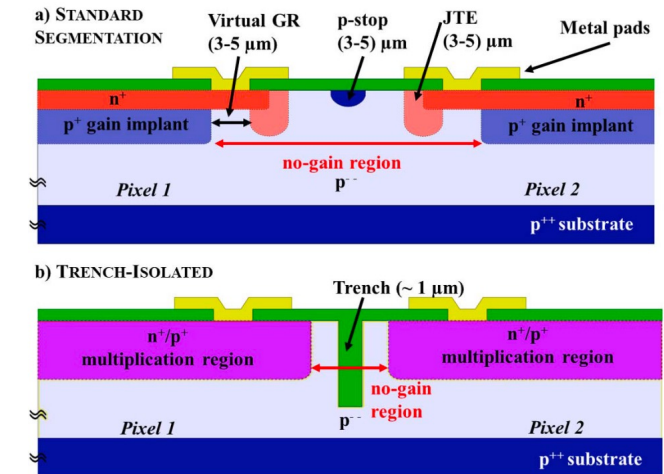
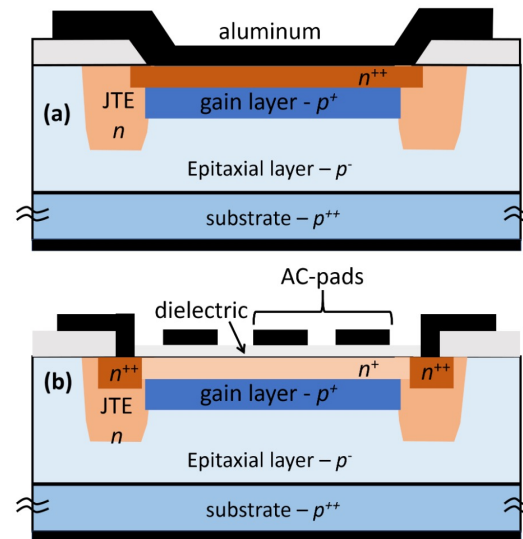
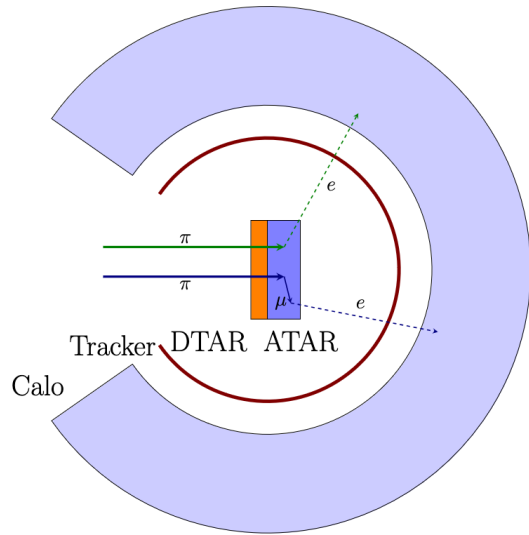


Figure 1. (a) Sketch of a section of a single-pad standard LGAD; (b) sketch of a section of a segmented AC-LGAD (not to scale).

Fig. 1. Schematic drawings of a standard LGAD (a) and of the proposed trench-isolated LGAD (b).

# Trigger design



| triggers | prescale | range<br>TR(ns) | rate<br>(kHz) |
|----------|----------|-----------------|---------------|
| PI       | 1000     | -300,700        | 0.3           |
| CaloH    | 1        | -300,700        | 0.1           |
| TRACK    | 50       | -300,700        | 3.4           |
| PROMPT   | 1        | 2,32            | 5             |

<-----> Synchronous trigger / control  
 <====> PCIe DAQ  
 <====> Internal data path

