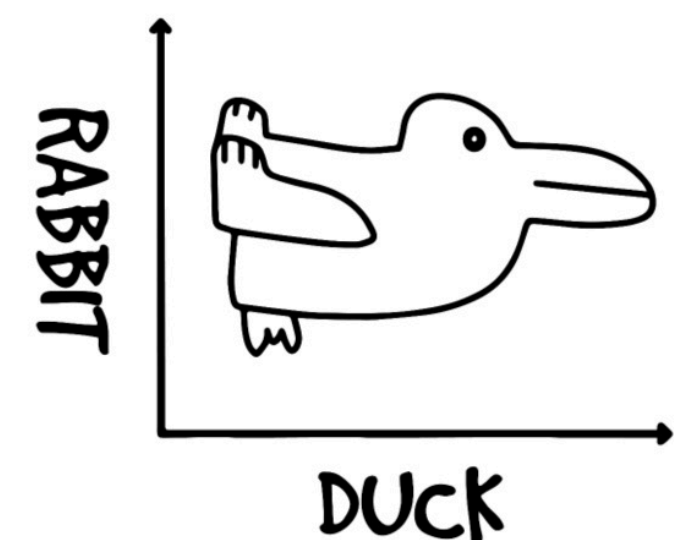


# Machine Learning and (Large-N) Field Theory

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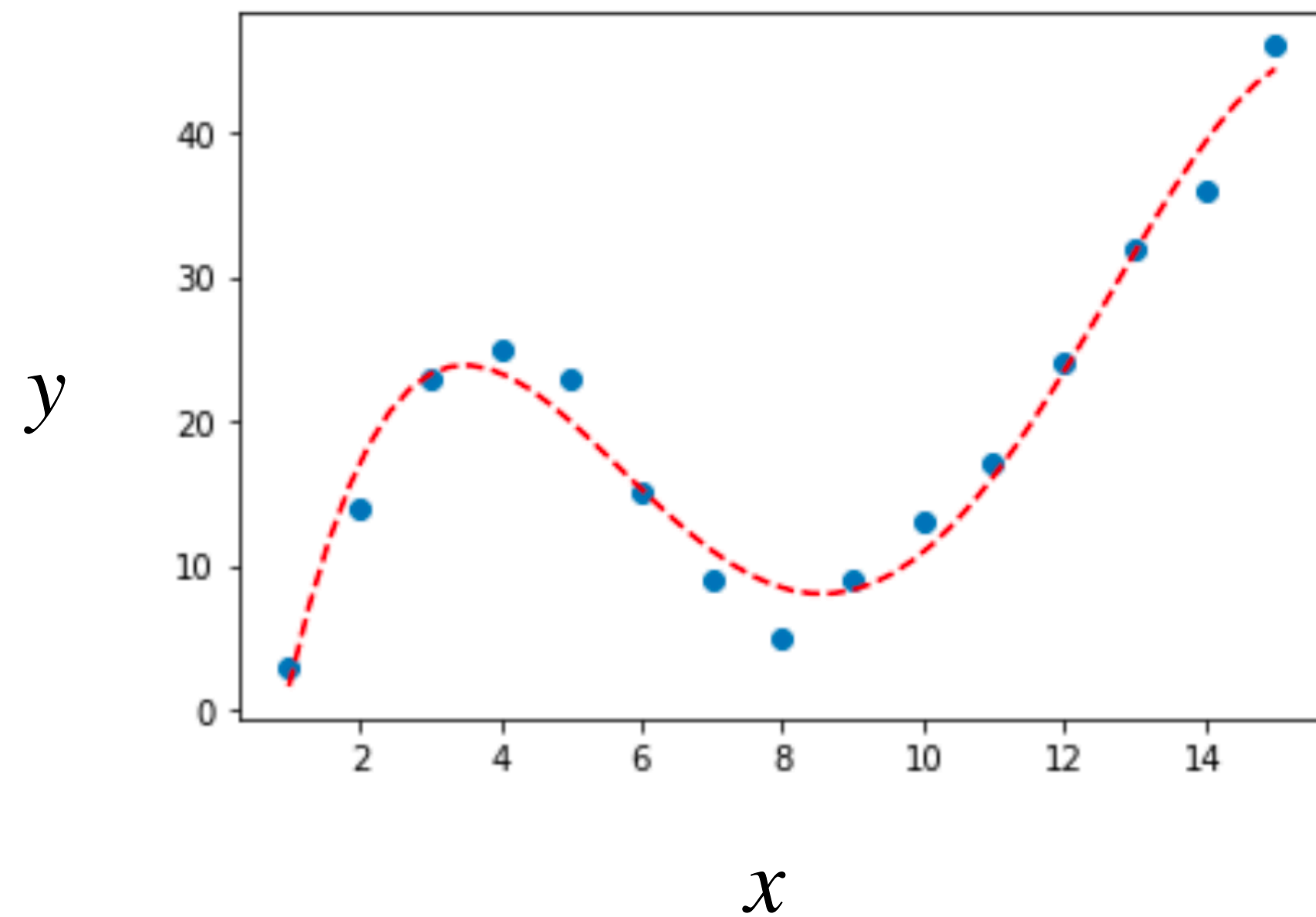
I. Banta, T. Cai, N. Craig, ZZ, 2305.02334 + ZZ, to appear.



# What is a (deep) neural network?

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Goal (supervised learning): learn a function  $y = f(\vec{x})$  from training dataset  $(\vec{x}_\alpha, y_\alpha)$ .

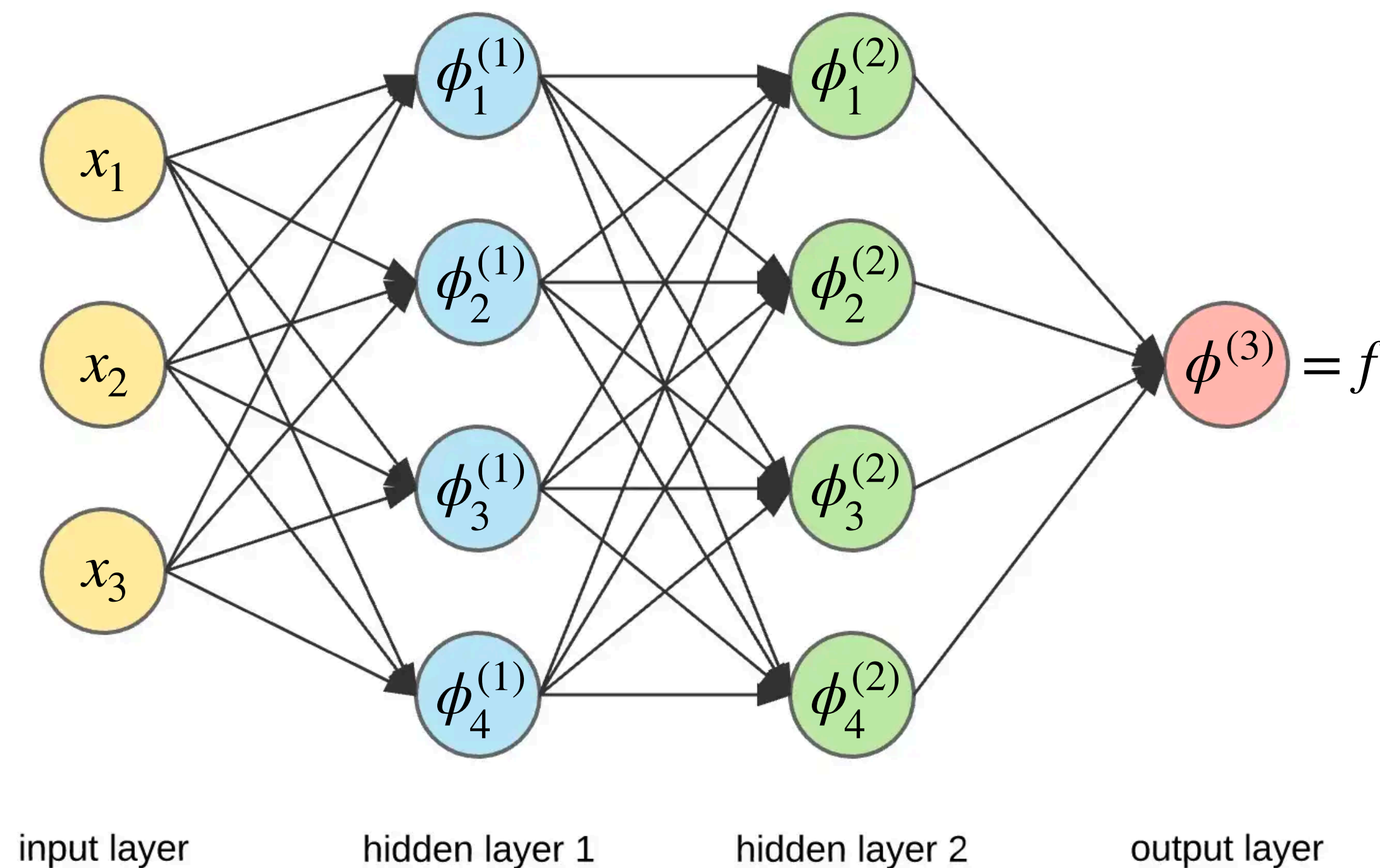


$x$ Image	$y$ Label
	Cat
	Cat
	Dog
	Dog

# What is a (deep) neural network?

Goal (supervised learning): learn a function  $y = f(\vec{x})$  from training dataset  $(\vec{x}_\alpha, y_\alpha)$ .

Neural network = parameterized function with a huge number of parameters ("expressive" enough to represent complicated functions).



$$\phi_i^{(1)}(\vec{x}) = \sum_{j=1}^{n_0} W_{ij}^{(1)} x_j + b_i^{(1)},$$

nonlinear activation function (e.g. tanh)

$$\phi_i^{(\ell)}(\vec{x}) = \sum_{j=1}^{n_{\ell-1}} W_{ij}^{(\ell)} \sigma(\phi_j^{(\ell-1)}(\vec{x})) + b_i^{(\ell)} \quad (\ell \geq 2).$$

weights                      biases

trainable parameters:

- randomly initialized
- then updated to fit training data

# Neural networks $\leftrightarrow$ field theories

Ensemble of networks, randomly initialized.

Neurons  $\leftrightarrow$  scalar fields  $\phi(\vec{x})$ .

Ensemble statistics  $\leftrightarrow$  action:  $P(\phi) = e^{-S[\phi]}$ .

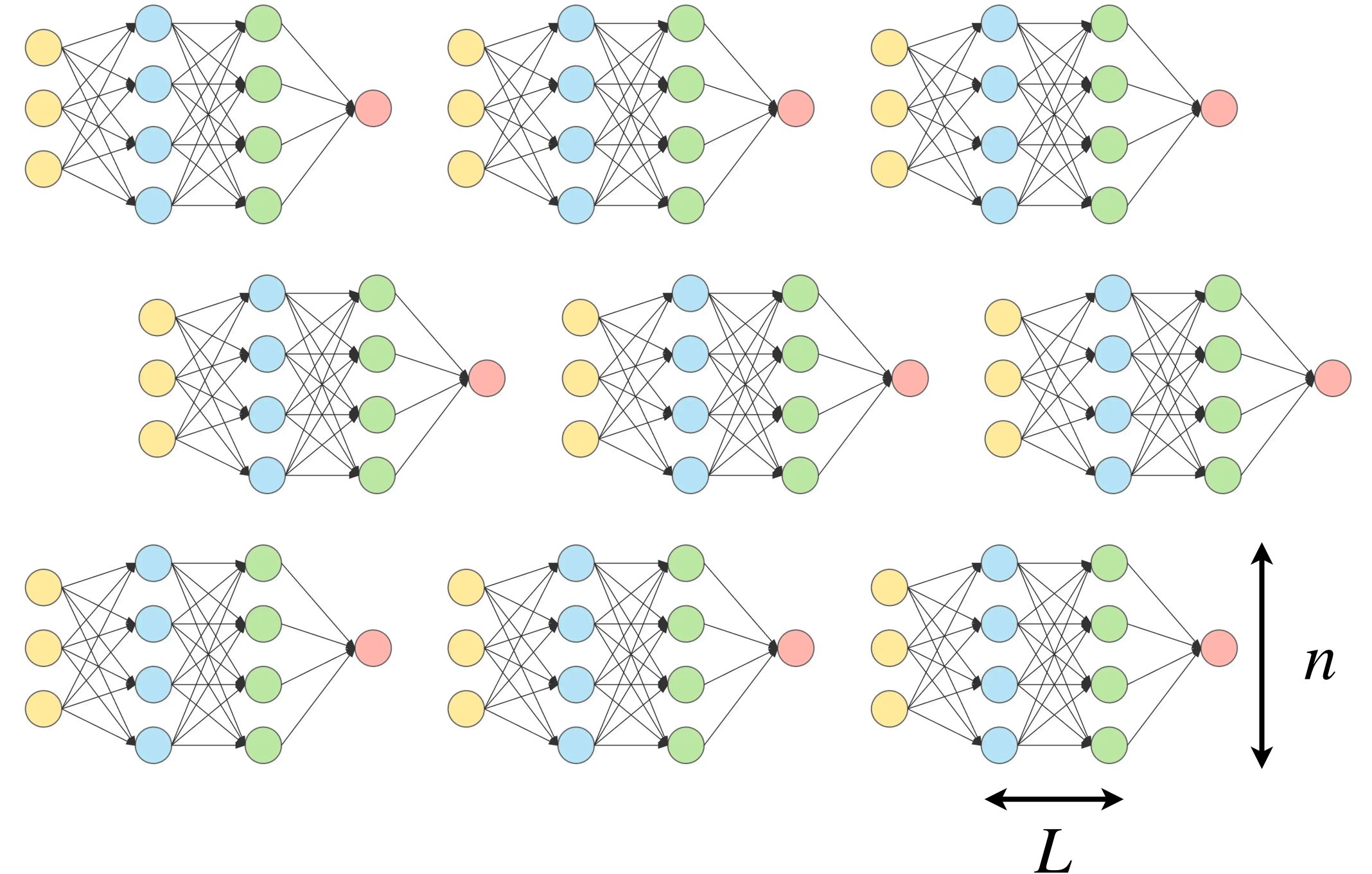
$$\langle \phi_{i_1}^{(\ell)}(\vec{x}_1) \dots \phi_{i_{2k}}^{(\ell)}(\vec{x}_{2k}) \rangle = \int \mathcal{D}\phi \phi_{i_1}^{(\ell)}(\vec{x}_1) \dots \phi_{i_{2k}}^{(\ell)}(\vec{x}_{2k}) e^{-S[\phi]}$$

Evolution with layer  $\ell \leftrightarrow$  RG flow.

Infinitely-wide networks\* ( $n \rightarrow \infty$ )  $\leftrightarrow$  free theories.

\* Neal '96. Williams '96.

Wide networks ( $n \gg L$ )  $\leftrightarrow$  weakly-interacting theories (perturbative).



# Diagrammatic framework

[I. Banta, T. Cai, N. Craig, ZZ, 2305.02334]

In addition to reproducing known results for lower-point correlators, we were able to **push 1/n calculations to higher orders** using diagrams.

## 6-point

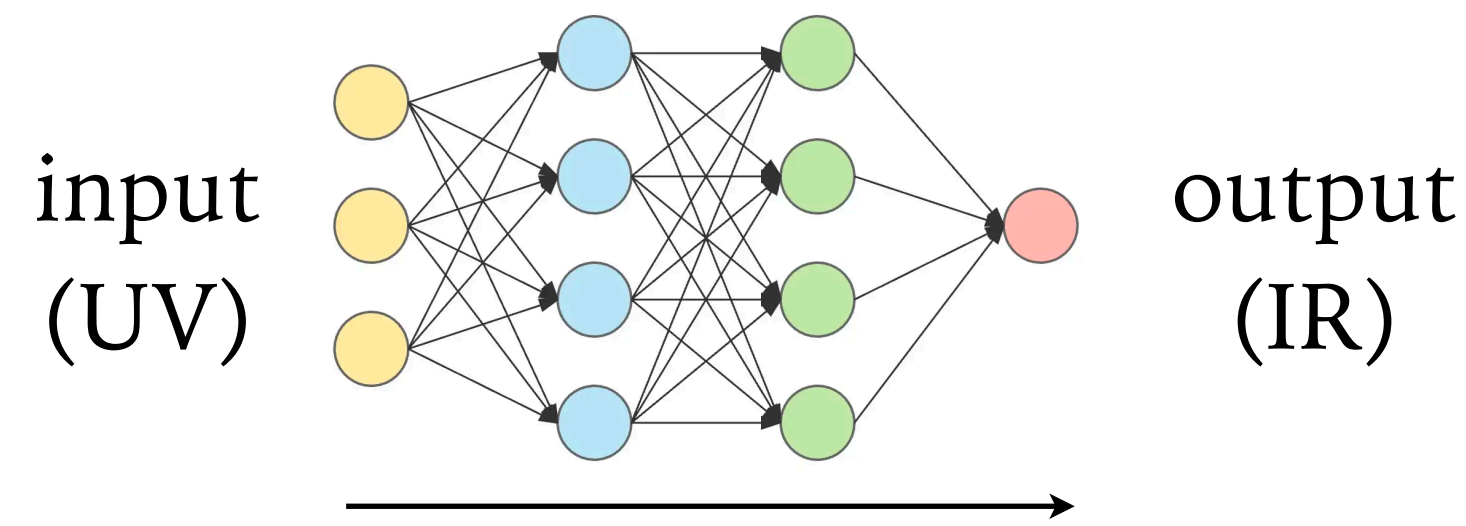
$$\begin{aligned}
 \frac{1}{n_{\ell-1}^2} V_6^{(\ell)}(\vec{x}_1, \vec{x}_2; \vec{x}_3, \vec{x}_4; \vec{x}_5, \vec{x}_6) &= \sum_{j_1, j_2, j_3} \text{diagram}_1 + \text{diagram}_2 \\
 &= \sum_j \text{diagram}_3 + \sum_{j_1, j_2} \text{diagram}_4 + \text{perms.} \\
 &+ \sum_{j_1, j_2, j_3} \text{diagram}_5 + \sum_{j_1, j_2, j_3} \text{diagram}_6 + \text{perms.}
 \end{aligned}$$


## 8-point

# of $j$ sums	diagrams	degenerate limit result $/(C_W^{(\ell)})^4$
1		$\frac{1}{n_{\ell-1}^3} (\langle \Delta^4 \rangle - 3 \langle \Delta^2 \rangle^2)$
2		$\frac{1}{4} \cdot \frac{V_4^{(\ell-1)}}{n_{\ell-1}^2 n_{\ell-2}} \cdot 4 (\langle \partial^2 \Delta^3 \rangle \langle \partial^2 \Delta \rangle - 3 \langle \partial^2 \Delta \rangle^2 \langle \Delta^2 \rangle)$
		$\frac{1}{4} \cdot \frac{V_4^{(\ell-1)}}{n_{\ell-1}^2 n_{\ell-2}} \cdot 3 \langle \partial^2 \Delta^2 \rangle^2$
3		$\frac{1}{8} \cdot \frac{V_6^{(\ell-1)}}{n_{\ell-1} n_{\ell-2}^2} \cdot 6 \langle \partial^2 \Delta^2 \rangle \langle \partial^2 \Delta \rangle^2$
		$\frac{1}{16} \cdot \frac{(V_4^{(\ell-1)})^2}{n_{\ell-1} n_{\ell-2}^2} \cdot 6 (\langle \partial^4 \Delta^2 \rangle \langle \partial^2 \Delta \rangle^2 - 2 \langle \partial^2 \Delta \rangle^4)$
		$\frac{1}{16} \cdot \frac{(V_4^{(\ell-1)})^2}{n_{\ell-1} n_{\ell-2}^2} \cdot 12 \langle \partial^4 \Delta \rangle \langle \partial^2 \Delta^2 \rangle \langle \partial^2 \Delta \rangle$
4		$\frac{1}{16} \cdot \frac{V_8^{(\ell-1)}}{n_{\ell-2}^3} \langle \partial^2 \Delta \rangle^4$
		$\frac{1}{32} \cdot \frac{V_6^{(\ell-1)} V_4^{(\ell-1)}}{n_{\ell-2}^3} \cdot 12 \langle \partial^4 \Delta \rangle \langle \partial^2 \Delta \rangle^3$
		$\frac{1}{64} \cdot \frac{(V_4^{(\ell-1)})^3}{n_{\ell-2}^3} \cdot 4 \langle \partial^6 \Delta \rangle \langle \partial^2 \Delta \rangle^3$
		$\frac{1}{64} \cdot \frac{(V_4^{(\ell-1)})^3}{n_{\ell-2}^3} \cdot 12 \langle \partial^4 \Delta \rangle^2 \langle \partial^2 \Delta \rangle^2$

# Criticality

[I. Banta, T. Cai, N. Craig, ZZ, 2305.02334]



Exponential scaling (generic)  $\leftrightarrow$  flow to trivial fixed point. 

Tune to criticality  $\Rightarrow$  power-law scaling  $\leftrightarrow$  nontrivial fixed point. 

Raghu et al '16. Poole et al '16. Schoenholz et al '16.

2-point correlator analysis:


$$\langle \mathcal{G}^{(\ell-1)}(\vec{x}_1, \vec{x}_2) \rangle \rightarrow \langle \mathcal{G}^{(\ell-1)}(\vec{x}_1, \vec{x}_2) \rangle + \delta \langle \mathcal{G}^{(\ell-1)}(\vec{x}_1, \vec{x}_2) \rangle$$

$$\Rightarrow \delta \langle \mathcal{G}^{(\ell)}(\vec{x}_1, \vec{x}_2) \rangle = \text{---} \circ \text{---} = \sum_j \int d\vec{y}_1 d\vec{y}_2 \chi^{(\ell)}(\vec{x}_1, \vec{x}_2; \vec{y}_1, \vec{y}_2) \delta \langle \mathcal{G}^{(\ell-1)}(\vec{y}_1, \vec{y}_2) \rangle$$

**susceptibility**

$$= \frac{C_W^{(\ell)}}{2} \left\langle \frac{\delta^2 \Delta(\vec{x}_1, \vec{x}_2)}{\delta \phi(\vec{y}_1) \delta \phi(\vec{y}_2)} \right\rangle_{\mathcal{K}_0^{(\ell-1)}} + \mathcal{O}\left(\frac{1}{n}\right)$$

Tune to criticality:  $\chi^{(\ell)}(\vec{x}_1, \vec{x}_2; \vec{y}_1, \vec{y}_2) \Big|_{\mathcal{K}_0^{(\ell-1)} = \mathcal{K}^*} = \frac{1}{2} \left[ \delta(\vec{x}_1 - \vec{y}_1) \delta(\vec{x}_2 - \vec{y}_2) + \delta(\vec{x}_1 - \vec{y}_2) \delta(\vec{x}_2 - \vec{y}_1) \right]$

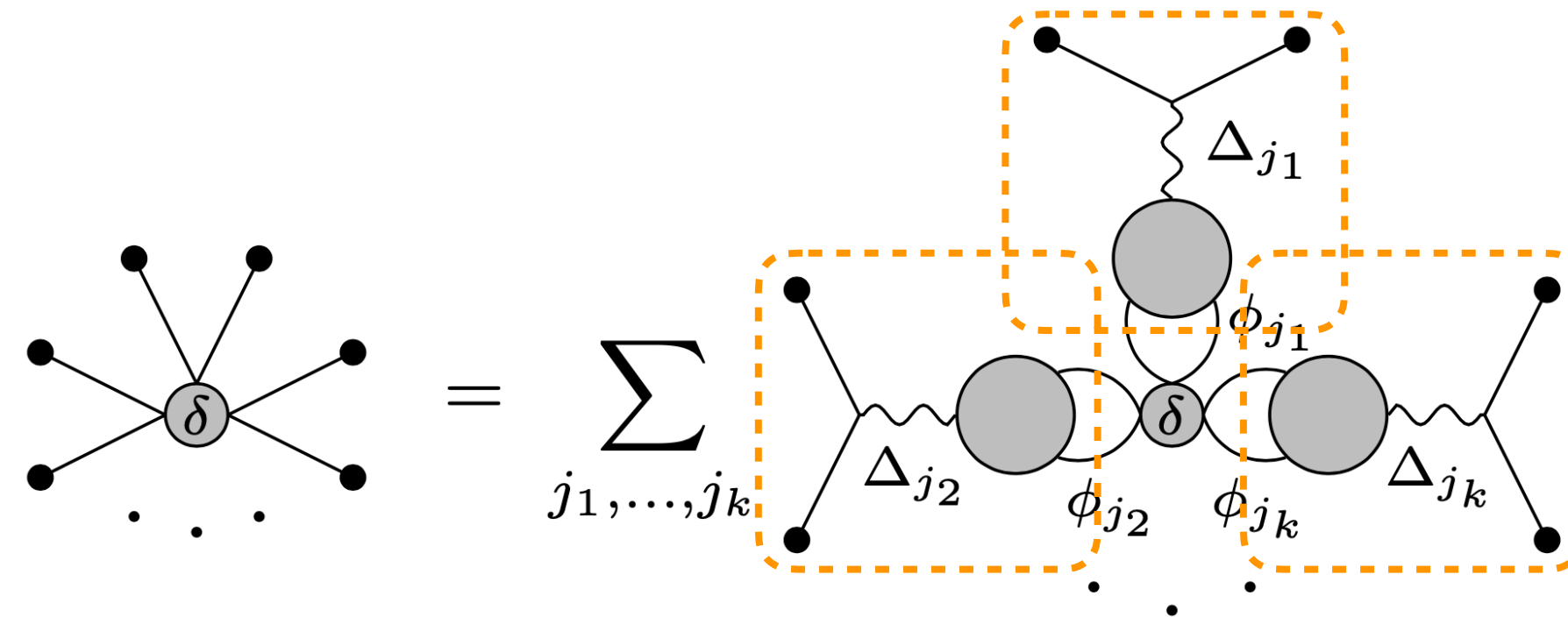
 **RG fixed point**

# Structures of RG flow

[I. Banta, T. Cai, N. Craig, ZZ, 2305.02334]

Higher-point correlators?

Common structure:



$$\Rightarrow \left( \frac{n_{\ell-2}}{n_{\ell-1}} \right)^{k-1} \frac{\delta V_{2k}^{(\ell)}(\vec{x}_1, \vec{x}_2; \dots; \vec{x}_{2k-1}, \vec{x}_{2k})}{\delta V_{2k}^{(\ell-1)}(\vec{y}_1, \vec{y}_2; \dots; \vec{y}_{2k-1}, \vec{y}_{2k})} = \text{sym.} \left[ \prod_{k'=1}^k \chi^{(\ell)}(\vec{x}_{2k'-1}, \vec{x}_{2k'}; \vec{y}_{2k'-1}, \vec{y}_{2k'}) \right]$$

same **susceptibility** that appeared in the 2-point correlator analysis!

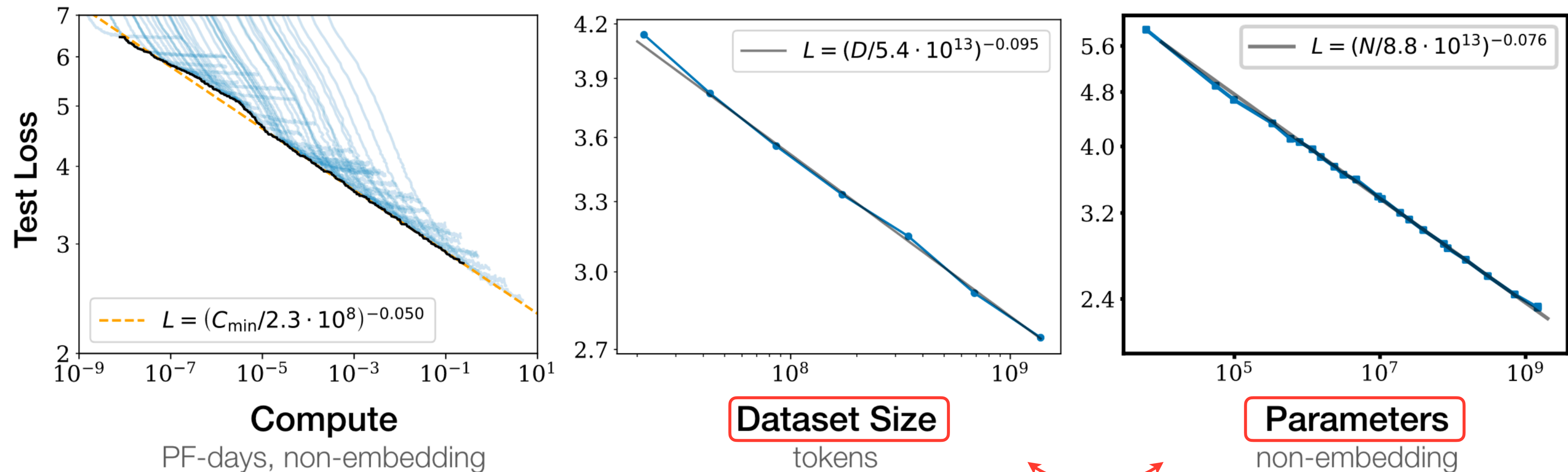
Single **criticality** condition:  $\chi^{(\ell)}(\vec{x}_1, \vec{x}_2; \vec{y}_1, \vec{y}_2) \Big|_{\mathcal{K}_0^{(\ell-1)} = \mathcal{K}^*} = \frac{1}{2} \left[ \delta(\vec{x}_1 - \vec{y}_1) \delta(\vec{x}_2 - \vec{y}_2) + \delta(\vec{x}_1 - \vec{y}_2) \delta(\vec{x}_2 - \vec{y}_1) \right]$

$\Rightarrow$  **Power-law** scaling for all connected correlators!

# Neural scaling laws & duality

Many ML models exhibit power law scaling of performance.

[Kaplan et al, 2001.08361] [Sharma, Kaplan, 2004.10802] [Bahri et al, 2102.06701]



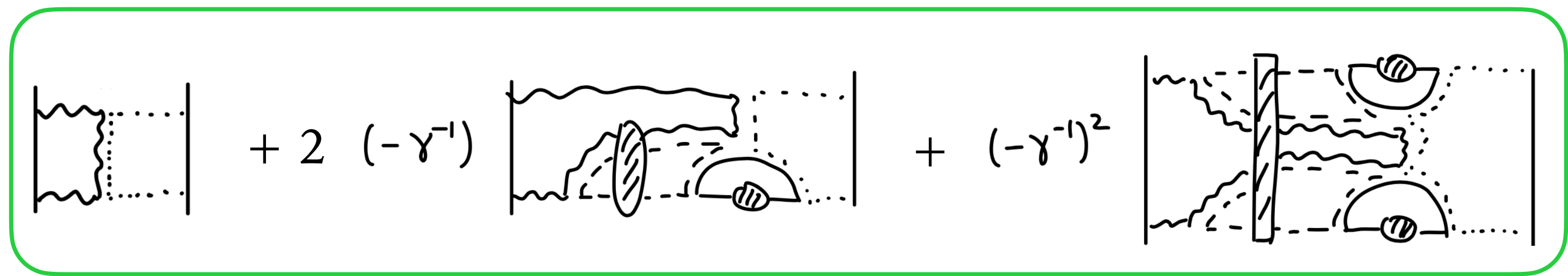
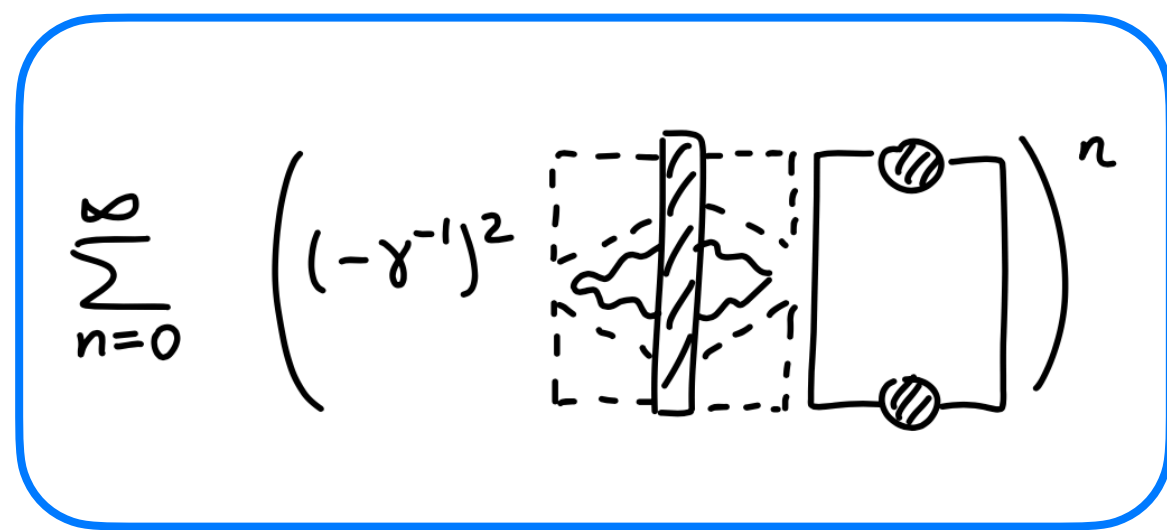
Ising model of neural scaling laws? [Maloney, Roberts, Sully, 2210.16859]



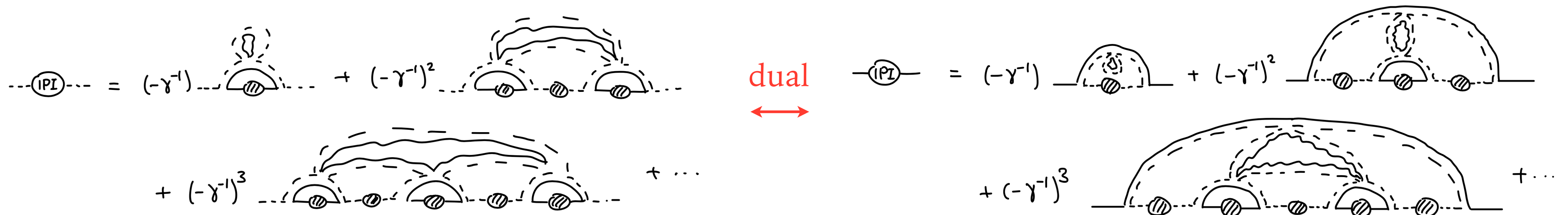
# Large-N diagrammatics for neural scaling laws

[ZZ, to appear]

$$\langle \text{Test loss} \rangle = \mathcal{R} \cdot (\mathcal{L}_1 + 2\mathcal{L}_2 + \mathcal{L}'_3)$$



Sets of diagrams are related by a **duality transformation**, e.g.



# Dreams

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A theory of ~~everything~~ deep learning (opening the black box)?

Lee et al '17-19. Matthews et al '18. Yang '19-23.

Jacot, Gabriel, Hongler '18.

Antognini '19. Huang, Yau '19.

Yaida '19, '22. Hanin, Nica '19. Hanin '21, '22.

Dyer, Gur-Ari '19. Aitken, Gur-Ari '20. Andreassen, Dyer '20.

Naveh, Ringel et al '20, '21. Zavatone-Veth et al '21.

**Roberts, Yaida, Hanin '21. (Our work is largely inspired by this book.)**

